

 Member FINRA/SIPC PO BOX 2209 OMAHA, NE 68103-2209 Client Services: 800-669-3900	Tax Information Account 235202186	Statement Date: 01/20/2022 Document ID: ECT7 JP8 PDWA	2021
	RAMESH KAPALAVAI S V NAGASIV 2416 Indian Clover Trl Leander, TX 78641-5179	PAYER'S TIN: 47-0533629	

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2021 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e) 3.48</p> <p>1b- Qualified dividends 3.48</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f) 0.00</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>2e- Section 897 ordinary dividends 0.00</p> <p>2f- Section 897 capital gain 0.00</p> <p>3- Nondividend distributions 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 0.00</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: 7- Foreign tax paid: 0.00</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p>MISCELLANEOUS INFORMATION 2021 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.00</p> <p>SECTION 1256 CONTRACTS 2021 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2021 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2020 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2021 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</p>
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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	58,398.36	59,905.59	0.00	416.67	-1,090.56
Short	B (basis not reported to the IRS)	612.12	830.01	0.00	27.51	-190.38
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	59,010.48	60,735.60	0.00	444.18	-1,280.94
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	59,010.48	60,735.60	0.00	444.18	-1,280.94
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

TD Ameritrade Clearing, Inc.

Account 235202186

Summary Information

2021

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01/20/2022

INTEREST INCOME

2021 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.05
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	See detail

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	9.20
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	78.25
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

TD Ameritrade Clearing, Inc.

Account 235202186

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

01/20/2022

Sales transactions are grouped by their term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. Tax lots with an additional notation of "Ordinary" represent neither short- nor long-term capital transactions. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of proceeds received when the option was written and the cost to close the position.

Several column headings describe two related items: a quantity and a qualifier. For example, proceeds and, if the sale is the result of an option exercise or assignment, whether the proceeds are gross or net (adjusted for any option premium). When these conditions exist, the qualifier is shown to the right of the amount.

Long positions are reported based on the trade date and short positions are reported based on the settlement date of the trade.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS INC COM CL A / CUSIP: 00165C104 / Symbol: AMC							
02/23/21	15.000	103.65	02/18/21	86.82	...	16.83	Sale ²⁶
ADAMIS PHARMAS CORP COM / CUSIP: 00547W208 / Symbol: ADMP							
06/17/21	2.000	2.08	05/04/21	1.99	...	0.09	Sale
ADVANCED MICRO DEVICES INC COM / CUSIP: 007903107 / Symbol: AMD							
06/17/21	5.000	417.45	04/30/21	414.95	...	2.50	Sale
AETERNA ZENTARIS INC COM / CUSIP: 007975402 / Symbol: AEZS							
03/15/21	250.000	364.97	03/15/21	339.98	...	24.99	Sale
AGENUS INC COM / CUSIP: 00847G705 / Symbol: AGEN							
05/21/21	100.000	411.00	05/18/21	419.99	...	-8.99	Sale
AILERON THERAPEUTICS INC COM / CUSIP: 00887A105 / Symbol: ALRN							
02/18/21	100.000	182.00	02/11/21	208.00	...	-26.00	Sale ²⁶
ALTERNET SYSTEMS INC COM / CUSIP: 02146M201 / Symbol: ALYI							
09/15/21	2,000.000	30.81	04/16/21	126.15	...	-95.34	Sale

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Account 235202186

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

01/20/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ALTITUDE ACQUISITION CORP COM CL A / CUSIP: 02156Y103 / Symbol: ALTU							
03/23/21	15.000	149.55	03/05/21	153.75	...	-4.20	Sale
03/31/21	35.000	352.87	03/05/21	358.75	...	-5.88	Sale
	Security total:	502.42		512.50	...	-10.08	
ALTITUDE ACQUISITION CORP WARRANT / CUSIP: 02156Y111 / Symbol: ALTUW							
03/31/21	100.000	169.99	03/26/21	168.99	...	1.00	Sale
AMERICAN RESOURCES CORPORATION COM CL A / CUSIP: 02927U208 / Symbol: AREC							
03/25/21	100.000	367.99	03/23/21	391.89	...	-23.90	Sale
ANPAC BIO MED SCIENCE CO LTD ADR / CUSIP: 03635R107 / Symbol: ANPC							
09/15/21	25.000	87.25	06/18/21	135.50	...	-48.25	Sale
APPLIED GENETIC TECH CORP COM / CUSIP: 03820J100 / Symbol: AGTC							
09/15/21	25.000	82.26	06/23/21	117.75	...	-35.49	Sale
ARCADIA BIOSCIENCES INC COM / CUSIP: 039014204 / Symbol: RKDA							
02/18/21	25.000	87.77	02/11/21	126.50	...	-38.73	Sale
03/31/21	175.000	500.48	03/31/21	500.06	...	0.42	Sale
	Security total:	588.25		626.56	...	-38.31	
ASLAN PHARMACEUTICALS LIMITED ADR SPONSORED / CUSIP: 04522R101 / Symbol: ASLN							
09/15/21	75.000	228.75	03/18/21	326.33	...	-97.58	Sale
BENESSERE CAP ACQUISITION CORP WARRANT / CUSIP: 08179B111 / Symbol: BENEW							
04/08/21	850.000	467.40	Various	456.92	...	10.48	Sale
BENITEC BIOPHARMA INC COM / CUSIP: 08205P100 / Symbol: BNTC							
03/23/21	100.000	744.48	Various	410.00	...	334.48	Total of 2 transactions
C3.AI INC COM CL A / CUSIP: 12468P104 / Symbol: AI							
03/09/21	3.000	259.44	03/05/21	239.70	...	19.74	Sale
03/31/21	2.000	134.50	03/18/21	156.44	...	-21.94	Sale
04/01/21	2.000	137.60	03/18/21	156.44	...	-18.84	Sale
	Security total:	531.54		552.58	...	-21.04	

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

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SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CASSAVA SCIENCES INC COM / CUSIP: 14817C107 / Symbol: SAVA							
04/26/21	1.000	41.45	04/16/21	35.25	...	6.20	Sale
CELLECT BIOTECHNOLOGY LTD 1:4 R/S 9/24/21 15116C300 / CUSIP: 15116C201 / Symbol:							
03/25/21	75.000	448.50	03/24/21	366.75	...	81.75	Sale
CELSION CORPORATION COM / CUSIP: 15117N503 / Symbol: CLSN							
02/18/21	100.000	195.00	02/11/21	295.00	100.00 W	0.00	Sale
02/22/21	150.000	457.64	02/11/21	531.99	41.45 W	-32.90	Sale ²⁶
03/03/21	100.000	208.10	02/11/21	332.94	...	-124.84	Sale
	Security total:	860.74		1,159.93	141.45 W	-157.74	
CHINA PHARMA HLGS INC COM / CUSIP: 16941T104 / Symbol: CPHI							
06/02/21	250.000	254.97	04/26/21	210.75	...	44.22	Sale
08/11/21	250.000	221.25	04/26/21	210.75	...	10.50	Sale
	Security total:	476.22		421.50	...	54.72	
CHINA HGS REAL ESTATE INC COM / CUSIP: 16948W209 / Symbol: HGSH							
03/11/21	150.000	307.48	03/11/21	337.50	...	-30.02	Sale
CHURCHILL CAPITAL CORP IV 1:1 EXC 7/26/21 549498103 / CUSIP: 171439102 / Symbol:							
03/31/21	85.000	2,000.03	Various	1,999.58	45.11 W	45.56	Sale
04/21/21	45.000	889.64	04/19/21	890.40	...	-0.76	Sale
04/21/21	50.000	992.49	Various	1,024.76	44.51 W	12.24	Sale
06/07/21	20.000	527.01	04/19/21	437.66	...	89.35	Sale
	Security total:	4,409.17		4,352.40	89.62 W	146.39	
CITIUS PHARMACEUTICALS INC COM / CUSIP: 17322U207 / Symbol: CTXR							
03/26/21	100.000	189.17	03/25/21	197.00	...	-7.83	Sale
CLEAN ENERGY FUELS CORPORATION COM / CUSIP: 184499101 / Symbol: CLNE							
09/15/21	25.000	202.00	06/10/21	325.50	...	-123.50	Sale
COCRYSTAL PHARMA INC COM / CUSIP: 19188J300 / Symbol: COCP							
09/15/21	200.000	208.00	05/04/21	443.98	...	-235.98	Sale
CONTEXTLOGIC INC COM CL A / CUSIP: 21077C107 / Symbol: WISH							
06/08/21	100.000	1,207.57	Various	769.69	...	437.88	Total of 7 transactions

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

01/20/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
COUPANG INC COM CL A / CUSIP: 22266T109 / Symbol: CPNG							
03/25/21	10.000	438.20	03/11/21	556.50	118.30 W	0.00	Sale
03/30/21	15.000	721.05	Various	782.35	...	-61.30	Sale
	Security total:	1,159.25		1,338.85	118.30 W	-61.30	
DADA NEXUS LTD ADR / CUSIP: 23344D108 / Symbol: DADA							
03/22/21	15.000	459.75	03/18/21	441.75	...	18.00	Sale
DIGITAL ALLY INC COM / CUSIP: 25382P208 / Symbol: DGLY							
06/08/21	100.000	212.99	05/03/21	192.69	...	20.30	Sale
06/14/21	100.000	194.99	05/03/21	192.69	...	2.30	Sale
	Security total:	407.98		385.38	...	22.60	
DUNXIN FIN HLDGS LTD ADR / CUSIP: 26605Q106 / Symbol: DXF							
03/25/21	150.000	214.48	03/18/21	313.44	...	-98.96	Sale
EVOFEM BIOSCIENCES INC COM / CUSIP: 30048L104 / Symbol: EVFM							
06/21/21	250.000	285.35	06/18/21	275.00	...	10.35	Sale
EXELA TECHNOLOGIES INC COM / CUSIP: 30162V409 / Symbol: XELA							
03/09/21	100.000	429.99	03/09/21	377.99	...	52.00	Sale
EXXE GROUP INC (US) COM / CUSIP: 30233C109 / Symbol: AXXA							
05/12/21	5,000.000	282.45	04/14/21	256.95	...	25.50	Sale
FINTECH ACQUISITION CORP V COM CL A / CUSIP: 31810Q107 / Symbol: FTCV							
06/28/21	40.000	479.33	Various	482.40	...	-3.07	Total of 2 transactions
FREQUENCY THERAPEUTICS INC COM / CUSIP: 35803L108 / Symbol: FREQ							
03/24/21	47.000	401.03	Various	373.89	...	27.14	Total of 3 transactions
FUELCELL ENERGY INC COM / CUSIP: 35952H601 / Symbol: FCEL							
03/10/21	25.000	425.00	03/04/21	337.50	...	87.50	Sale
03/22/21	25.000	386.00	03/19/21	361.75	...	24.25	Sale
04/21/21	50.000	461.52	04/19/21	452.50	...	9.02	Sale
	Security total:	1,272.52		1,151.75	...	120.77	

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

01/20/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUBOTV INC COM / CUSIP: 35953D104 / Symbol: FUBO							
03/10/21	10.000	304.10	03/04/21	310.00	5.90 W	0.00	Sale
04/01/21	100.000	2,394.98	04/01/21	2,334.99	...	59.99	Sale
	Security total:	2,699.08		2,644.99	5.90 W	59.99	
GS ACQUISITION HLDGS CORP II 1:1 EXC 10/21/21 60471A101 / CUSIP: 36258Q105 / Symbol: GSAH							
03/22/21	25.000	276.25	03/11/21	295.00	...	-18.75	Sale
GENETIC TECH ADR SPONSORED / CUSIP: 37185R307 / Symbol: GENE							
09/15/21	100.000	341.99	06/09/21	455.00	...	-113.01	Sale
GENIUS BRANDS INTL INC COM / CUSIP: 37229T301 / Symbol: GNUS							
03/24/21	150.000	453.00	03/23/21	392.99	...	60.01	Sale
GEVO INC COM / CUSIP: 374396406 / Symbol: GEVO							
05/28/21	50.000	393.49	04/15/21	343.88	...	49.61	Sale
GRID METALS CORP COM / CUSIP: 39814L107 / Symbol: MSMGF							
09/15/21	5,000.000	542.45	06/17/21	942.45	...	-400.00	Sale
HALL OF FAME RESORT & ENTERTAI COM / CUSIP: 40619L102 / Symbol: HOFV							
06/14/21	100.000	464.01	06/08/21	427.88	...	36.13	Sale
HOLICITY INC 1:1 EXC 7/1/21 04634X103 / CUSIP: 435063102 / Symbol: HOL							
03/22/21	50.000	685.99	03/18/21	637.50	...	48.49	Sale
HOTH THERAPEUTICS INC COM / CUSIP: 44148G105 / Symbol: HOTH							
03/31/21	125.000	241.71	Various	346.23	...	-104.52	Total of 2 transactions
HYLIION HOLDINGS CORP COM / CUSIP: 449109107 / Symbol: HYLN							
03/31/21	50.000	537.52	03/30/21	515.00	...	22.52	Sale
04/23/21	85.000	810.04	04/15/21	780.39	...	29.65	Sale
06/08/21	40.000	521.66	06/03/21	480.00	...	41.66	Sale
06/17/21	60.000	767.41	06/03/21	720.00	...	47.41	Sale
	Security total:	2,636.63		2,495.39	...	141.24	
INTEL CORP COM / CUSIP: 458140100 / Symbol: INTC							
05/07/21	10.000	577.20	04/30/21	578.00	...	-0.80	Sale

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2021 1099-B* OMB No. 1545-0715

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JAGUAR HEALTH INC COM / CUSIP: 47010C607 / Symbol: JAGX							
09/09/21	0.333	0.87	06/03/21	1.84	...	-0.97	Sale
09/15/21	83.000	198.37	06/03/21	458.16	...	-259.79	Sale
	Security total:	199.24		460.00	...	-260.76	
JAKKS PACIFIC INC COM / CUSIP: 47012E403 / Symbol: JAKK							
03/25/21	30.000	229.50	03/23/21	289.50	...	-60.00	Sale
JIAYIN GROUP INC ADR / CUSIP: 47737C104 / Symbol: JFIN							
03/18/21	65.000	533.24	Various	357.50	...	175.74	Total of 2 transactions
03/19/21	20.000	176.00	Various	135.70	...	40.30	Sale
03/22/21	20.000	226.60	03/18/21	161.40	...	65.20	Sale
	Security total:	935.84		654.60	...	281.24	
LOANDEPOT INC COM CL A / CUSIP: 53946R106 / Symbol: LDI							
03/04/21	30.000	544.50	02/22/21	636.60	61.40 W	-30.70	Sale
03/16/21	20.000	459.80	03/11/21	457.56	...	2.24	Sale
	Security total:	1,004.30		1,094.16	61.40 W	-28.46	
MEREDITH CORP CSH MRG \$16.99/SH 12/2/21 / CUSIP: 589433101 / Symbol: MDP							
05/04/21	15.000	531.75	05/03/21	527.25	...	4.50	Sale
ODONATE THERAPEUTICS INC COM / CUSIP: 676079106 / Symbol: ODT							
03/23/21	100.000	367.00	03/22/21	398.00	...	-31.00	Sale
PALANTIR TECH INC COM CL A / CUSIP: 69608A108 / Symbol: PLTR							
03/11/21	40.000	1,042.60	Various	1,000.80	...	41.80	Total of 2 transactions
PERIMETER MED IMAGING AI INC COM / CUSIP: 71385D107 / Symbol: PYNKF							
09/15/21	150.000	270.53	04/05/21	509.45	...	-238.92	Sale
PIERIS PHARMACEUTICALS INC COM / CUSIP: 720795103 / Symbol: PIRS							
06/28/21	75.000	294.75	06/24/21	289.50	...	5.25	Sale
PINTEREST INC COM CL A / CUSIP: 72352L106 / Symbol: PINS							
06/14/21	20.000	1,386.39	04/27/21	1,387.00	...	-0.61	Sale

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QUALCOMM INC COM / CUSIP: 747525103 / Symbol: QCOM							
03/05/21	2.000	253.69	03/04/21	261.60	...	-7.91	Sale
03/11/21	3.000	395.52	03/04/21	392.40	...	3.12	Sale
	Security total:	649.21		654.00	...	-4.79	
REEDS INC COM / CUSIP: 758338107 / Symbol: REED							
09/15/21	250.000	139.72	05/04/21	317.13	...	-177.41	Sale
ROOT INC COM CL A / CUSIP: 77664L108 / Symbol: ROOT							
09/15/21	30.000	177.60	06/09/21	405.00	...	-227.40	Sale
SALESFORCE.COM INC COM / CUSIP: 79466L302 / Symbol: CRM							
03/08/21	2.000	424.00	03/04/21	410.00	...	14.00	Sale
SENSEONICS HOLDINGS INC COM / CUSIP: 81727U105 / Symbol: SENS							
03/10/21	100.000	312.99	03/04/21	266.00	...	46.99	Sale
SHIFTPIXY INC COM / CUSIP: 82452L203 / Symbol: PIXY							
04/30/21	100.000	310.99	04/30/21	341.50	...	-30.51	Sale
SINTX TECHNOLOGIES INC COM / CUSIP: 829392307 / Symbol: SINT							
03/10/21	100.000	244.99	03/09/21	271.00	...	-26.01	Sale
SPONSORSONE INC COM / CUSIP: 849113105 / Symbol: SPONF							
05/24/21	10,000.000	333.35	Various	363.45	...	-30.10	Total of 2 transactions
SPORTS ENTERTAINMENT ACQ CORP COM CL A / CUSIP: 84918M106 / Symbol: SEAH							
11/17/21	10.000	114.60	10/26/21	115.90	...	-1.30	Sale
SPORTS ENTERTAINMENT ACQ CORP WARRANT / CUSIP: 84918M114 / Symbol: SEAH WS							
10/28/21	84.000	283.91	10/26/21	274.68	...	9.23	Sale
SUNDIAL GROWERS INC COM / CUSIP: 86730L109 / Symbol: SNDL							
04/29/21	500.000	460.87	Various	450.90	...	9.97	Total of 2 transactions
SURFACE ONCOLOGY INC COM / CUSIP: 86877M209 / Symbol: SURF							
03/22/21	30.000	285.00	03/17/21	270.90	...	14.10	Sale

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SURGALIGN HOLDINGS INC COM / CUSIP: 86882C105 / Symbol: SRGA							
06/28/21	100.000	151.36	06/25/21	139.00	...	12.36	Sale
06/30/21	200.000	276.00	06/25/21	278.00	...	-2.00	Sale
	Security total:	427.36		417.00	...	10.36	
TENCENT MUSIC ENTERTAINMENT GR ADR / CUSIP: 88034P109 / Symbol: TME							
06/14/21	40.000	642.09	06/09/21	631.20	...	10.89	Sale
TILRAY BRANDS INC COM / CUSIP: 88688T100 / Symbol: TLRV							
03/31/21	25.000	579.40	Various	561.75	...	17.65	Total of 2 transactions
TRILLIUM THERAPEUTICS INC CSH MRG \$18.50/SH 11/18/21 / CUSIP: 89620X506 / Symbol: TRIL							
06/22/21	50.000	517.49	06/09/21	511.46	...	6.03	Sale
VIACOMCBS INC COM CL B / CUSIP: 92556H206 / Symbol: VIAC							
04/14/21	5.000	206.60	04/12/21	209.65	...	-3.05	Sale
WORKHORSE GROUP INC COM / CUSIP: 98138J206 / Symbol: WKHS							
03/10/21	15.000	247.50	03/04/21	202.50	...	45.00	Sale
XL FLEET CORP COM CL A / CUSIP: 9837FR100 / Symbol: XL							
04/06/21	66.000	564.29	04/05/21	499.61	...	64.68	Sale
ZIVO BIOSCIENCE INC COM / CUSIP: 98978N200 / Symbol: ZIVO							
09/15/21	50.000	175.49	06/10/21	302.50	...	-127.01	Sale
AMERICAN AIRLINES GROUP INC AAL Mar 12 2021 22.5 Call / CUSIP: / Symbol: AAL 03/12/21 C 22.500							
03/11/21	3.000	73.00	03/09/21	43.99	...	29.01	Option sale ²⁶
APPLE INC AAPL Mar 12 2021 121.0 Call / CUSIP: / Symbol: AAPL 03/12/21 C 121.000							
03/09/21	1.000	214.34	03/08/21	125.66	...	88.68	Option sale
APPLE INC AAPL Mar 12 2021 125.0 Call / CUSIP: / Symbol: AAPL 03/12/21 C 125.000							
03/12/21	2.000	0.00	03/10/21	55.33	...	-55.33	Option expiration
APPLE INC AAPL May 21 2021 135.0 Call / CUSIP: / Symbol: AAPL 05/21/21 C 135.000							
03/16/21	1.000	414.34	03/11/21	320.66	...	93.68	Option sale ²⁶

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APPLE INC AAPL Mar 26 2021 118.0 Put / CUSIP: / Symbol: AAPL 03/26/21 P 118.000							
03/26/21	2.000	0.67	03/25/21	60.32	...	-59.65	Option sale ²⁶
APPLE INC AAPL Oct 29 2021 147.0 Put / CUSIP: / Symbol: AAPL 10/29/21 P 147.000							
10/29/21	2.000	138.68	Various	89.32	...	49.36	Total of 2 transactions
ABBVIE INC ABBV Sep 17 2021 125.0 Call / CUSIP: / Symbol: ABBV 09/17/21 C 125.000							
09/17/21	1.000	0.00	06/23/21	100.66	...	-100.66	Option expiration
ABBOTT LABORATORIES ABT Jan 21 2022 125.0 Call / CUSIP: / Symbol: ABT 01/21/22 C 125.000							
10/22/21	1.000	599.34	06/24/21	269.66	...	329.68	Option sale ²⁶
AMC ENTERTAINMENT HOLDINGS INC AMC Feb 26 2021 7.0 Call / CUSIP: / Symbol: AMC 02/26/21 C 7.000							
02/25/21	1.000	375.34	02/22/21	60.66	...	314.68	Option sale
ADVANCED MICRO DEVICES INC AMD May 21 2021 90.0 Call / CUSIP: / Symbol: AMD 05/21/21 C 90.000							
05/21/21	1.000	0.00	04/29/21	105.66	...	-105.66	Option expiration
ADVANCED MICRO DEVICES INC AMD Jun 25 2021 82.0 Call / CUSIP: / Symbol: AMD 06/25/21 C 82.000							
06/16/21	1.000	84.34	06/16/21	62.67	...	21.67	Option sale ²⁶
06/17/21	1.000	140.34	06/16/21	62.66	...	77.68	Option sale ²⁶
	Security total:	224.68		125.33	...	99.35	
ADVANCED MICRO DEVICES INC AMD Jun 25 2021 90.0 Call / CUSIP: / Symbol: AMD 06/25/21 C 90.000							
06/25/21	1.000	0.00	06/17/21	40.66	...	-40.66	Option expiration
CITIC CAPITAL ACQ CORP CCAC Jun 18 2021 10.0 Call / CUSIP: / Symbol: CCAC 06/18/21 C 10.000							
06/18/21	3.000	0.00	03/23/21	181.99	...	-181.99	Option expiration
LUCID GROUP INC CCIV Apr 09 2021 23.0 Put / CUSIP: / Symbol: CCIV 04/09/21 P 23.000							
04/08/21	1.000	54.34	04/06/21	44.66	...	9.68	Option sale ²⁶
CAMECO CORP CCJ Apr 16 2021 22.0 Call / CUSIP: / Symbol: CCJ 04/16/21 C 22.000							
03/18/21	1.000	61.34	03/17/21	60.67	...	0.67	Option sale ²⁶
04/16/21	1.000	0.00	03/17/21	60.66	...	-60.66	Option expiration
	Security total:	61.34		121.33	...	-59.99	

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SALESFORCE.COM INC CRM Jul 16 2021 260.0 Call / CUSIP: / Symbol: CRM 07/16/21 C 260.000							
07/16/21	4.000	0.00	06/17/21	682.66	...	-682.66	Option expiration
3 D SYSTEMS INC DDD Apr 01 2021 27.0 Call / CUSIP: / Symbol: DDD 04/01/21 C 27.000							
03/31/21	2.000	150.68	Various	121.33	...	29.35	Total of 2 transactions
DRAFTKINGS INC DKNG Jul 23 2021 50.5 Call / CUSIP: / Symbol: DKNG 07/23/21 C 50.500							
06/28/21	1.000	329.34	06/24/21	325.66	...	3.68	Option sale ²⁶
ATARI COM / CUSIP: F04280107 / Symbol: PONGF							
03/22/21	500.000	480.43	03/18/21	400.49	...	79.94	Sale
03/23/21	133.000	107.41	03/18/21	106.53	...	0.88	Sale
	Security total:	587.84		507.02	...	80.82	
META PLATFORMS INC FB Nov 19 2021 350.0 Call / CUSIP: / Symbol: FB 11/19/21 C 350.000							
10/28/21	1.000	227.34	10/28/21	170.66	...	56.68	Option sale ²⁶
MANDIANT INC FEYE Jul 16 2021 22.0 Call / CUSIP: / Symbol: FEYE 07/16/21 C 22.000							
07/07/21	4.000	157.33	06/24/21	110.66	...	46.67	Option sale ²⁶
MANDIANT INC FEYE Jan 21 2022 30.0 Call / CUSIP: / Symbol: FEYE 01/21/22 C 30.000							
05/24/21	2.000	196.67	04/12/21	191.33	...	5.34	Option sale ²⁶
COHN ROBBINS HOLDINGS CORP COM CL A / CUSIP: G23726105 / Symbol: CRHC							
03/25/21	25.000	241.50	03/23/21	249.22	...	-7.72	Sale
FARMMI INC COM / CUSIP: G33277107 / Symbol: FAMI							
04/29/21	700.000	241.21	04/29/21	210.70	...	30.51	Sale
09/15/21	1,000.000	247.78	06/08/21	513.00	...	-265.22	Sale
	Security total:	488.99		723.70	...	-234.71	
EBANG INTERNATIONAL HLDGS INC COM / CUSIP: G3R33A106 / Symbol: EBON							
06/14/21	50.000	196.70	Various	180.00	...	16.70	Total of 2 transactions
06/17/21	100.000	387.00	06/09/21	360.00	...	27.00	Sale
	Security total:	583.70		540.00	...	43.70	
INTL GNRL INSURANCE HLDNGS LTD COM / CUSIP: G4809J106 / Symbol: IGIC							
04/30/21	50.000	417.49	04/05/21	445.00	...	-27.51	Sale

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MDJM LTD COM / CUSIP: G59290109 / Symbol: MDJH							
04/29/21	100.000	474.99	04/26/21	455.00	...	19.99	Sale
METEN HOLDING GROUP LTD COM / CUSIP: G6055H114 / Symbol: METX							
09/15/21	150.000	50.71	04/29/21	324.75	...	-274.04	Sale
MODEL PERFORMANCE ACQU CORP WARRANT / CUSIP: G6246M139 / Symbol: MPACW							
12/01/21	440.000	356.35	11/02/21	342.19	...	14.16	Sale
ITERUM THERAPEUTICS PLC COM / CUSIP: G6333L101 / Symbol: ITRM							
03/16/21	150.000	268.48	03/12/21	257.99	...	10.49	Sale
06/14/21	250.000	515.99	Various	444.98	...	71.01	Total of 2 transactions
	Security total:	784.47		702.97	...	81.50	
SOARING EAGLE ACQUISITION CORP 1:1 EXC 9/17/21 37611X100 / CUSIP: G8354H100 / Symbol: SRNGU							
09/15/21	50.000	526.99	04/12/21	550.00	...	-23.01	Sale
TIAN RUIXIANG HLDGS LTD COM / CUSIP: G8884K102 / Symbol: TIRX							
04/28/21	50.000	784.74	04/27/21	807.50	...	-22.76	Sale
TORTOISE ACQUISITION CORP II 1:1 EXC 8/27/21 92873V102 / CUSIP: G89554102 / Symbol: SNPR							
03/25/21	47.000	473.75	Various	508.69	...	-34.94	Sale
ZHONGCHAO INC COM CL A / CUSIP: G9897X107 / Symbol: ZCMD							
03/17/21	150.000	392.98	03/17/21	433.50	...	-40.52	Sale
GENERAL MOTORS CO GM Mar 19 2021 58.5 Call / CUSIP: / Symbol: GM 03/19/21 C 58.500							
03/12/21	2.000	292.67	03/10/21	221.33	...	71.34	Option sale ²⁶
MIRION TECHNOLOGIES INC GSAH Mar 19 2021 15.0 Call / CUSIP: / Symbol: GSAH 03/19/21 C 15.000							
03/19/21	3.000	0.00	03/11/21	61.99	...	-61.99	Option expiration
LUMINAR TECHNOLOGIES INC LAZR Jul 16 2021 25.0 Call / CUSIP: / Symbol: LAZR 07/16/21 C 25.000							
07/16/21	1.000	0.00	06/24/21	145.66	...	-145.66	Option expiration
CYREN LTD COM / CUSIP: M26895108 / Symbol: CYRN							
06/30/21	750.000	608.91	06/08/21	600.00	...	8.91	Sale

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PINUDUO INC PDD Apr 16 2021 160.0 Call / CUSIP: / Symbol: PDD 04/16/21 C 160.000							
03/25/21	1.000	111.34	03/24/21	110.66	...	0.68	Option sale ²⁶
PINUDUO INC PDD Jul 02 2021 127.0 Call / CUSIP: / Symbol: PDD 07/02/21 C 127.000							
06/24/21	3.000	963.02	Various	719.99	...	243.03	Total of 3 transactions
PALANTIR TECH INC PLTR Apr 23 2021 24.5 Call / CUSIP: / Symbol: PLTR 04/23/21 C 24.500							
04/13/21	1.000	142.34	04/12/21	112.66	...	29.68	Option sale ²⁶
PALANTIR TECH INC PLTR Aug 20 2021 28.0 Call / CUSIP: / Symbol: PLTR 08/20/21 C 28.000							
08/12/21	1.000	14.34	04/05/21	260.66	...	-246.32	Option sale ²⁶
08/16/21	1.000	1.34	04/05/21	263.66	...	-262.32	Option sale ²⁶
	Security total:	15.68		524.32	...	-508.64	
PAYPAL HOLDINGS INC PYPL Oct 22 2021 245.0 Call / CUSIP: / Symbol: PYPL 10/22/21 C 245.000							
10/22/21	1.000	9.34	10/22/21	37.66	...	-28.32	Option sale ²⁶
PAYPAL HOLDINGS INC PYPL Nov 05 2021 235.0 Call / CUSIP: / Symbol: PYPL 11/05/21 C 235.000							
11/04/21	1.000	49.34	11/01/21	192.66	...	-143.32	Option sale ²⁶
PAYPAL HOLDINGS INC PYPL Jul 16 2021 250.0 Put / CUSIP: / Symbol: PYPL 07/16/21 P 250.000							
06/24/21	1.000	44.34	06/18/21	162.66	...	-118.32	Option sale ²⁶
NAKED BRAND GROUP LTD 1:15 R/S 12/22/21 Q6519V120 / CUSIP: Q6519T117 / Symbol:							
04/26/21	200.000	146.58	04/23/21	117.68	...	28.90	Sale
04/27/21	300.000	199.94	04/23/21	176.52	...	23.42	Sale
	Security total:	346.52		294.20	...	52.32	
ROOT INC ROOT Jun 18 2021 12.5 Call / CUSIP: / Symbol: ROOT 06/18/21 C 12.500							
06/18/21	1.000	0.00	06/10/21	95.66	...	-95.66	Option expiration
VOLTA INC SNPR Apr 16 2021 10.0 Put / CUSIP: / Symbol: SNPR 04/16/21 P 10.000							
04/16/21	3.000	0.00	03/31/21	16.99	...	-16.99	Option expiration
SPDR S&P500 ETF TRUST SPY Apr 01 2021 400.0 Call / CUSIP: / Symbol: SPY 04/01/21 C 400.000							
03/25/21	1.000	10.34	03/18/21	127.66	...	-117.32	Option sale ²⁶

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

TD Ameritrade Clearing, Inc.

Account 235202186

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

01/20/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPDR S&P500 ETF TRUST SPY Apr 14 2021 412.0 Call / CUSIP: / Symbol: SPY 04/14/21 C 412.000							
04/12/21	1.000	149.34	04/08/21	82.66	...	66.68	Option sale ²⁶
SPDR S&P500 ETF TRUST SPY Mar 26 2021 375.0 Put / CUSIP: / Symbol: SPY 03/26/21 P 375.000							
03/26/21	2.000	0.00	03/23/21	55.33	...	-55.33	Option expiration
SPDR S&P500 ETF TRUST SPY May 21 2021 420.0 Put / CUSIP: / Symbol: SPY 05/21/21 P 420.000							
05/04/21	1.000	876.34	05/03/21	545.66	...	330.68	Option sale ²⁶
05/11/21	1.000	799.34	05/06/21	505.66	...	293.68	Option sale ²⁶
05/19/21	1.000	1,249.33	05/14/21	452.66	...	796.67	Option sale ²⁶
	Security total:	2,925.01		1,503.98	...	1,421.03	
SPDR S&P500 ETF TRUST SPY May 28 2021 420.0 Put / CUSIP: / Symbol: SPY 05/28/21 P 420.000							
04/29/21	1.000	811.34	04/28/21	760.66	...	50.68	Option sale ²⁶
SPDR S&P500 ETF TRUST SPY Jun 21 2021 420.0 Put / CUSIP: / Symbol: SPY 06/21/21 P 420.000							
06/16/21	1.000	298.34	05/28/21	550.66	...	-252.32	Option sale ²⁶
SQUARE INC SQ Mar 19 2021 260.0 Call / CUSIP: / Symbol: SQ 03/19/21 C 260.000							
03/15/21	1.000	299.34	03/11/21	237.66	...	61.68	Option sale ²⁶
TILRAY INC TLRV Apr 01 2021 24.0 Call / CUSIP: / Symbol: TLRV 04/01/21 C 24.000							
03/31/21	1.000	34.34	03/31/21	56.66	...	-22.32	Option sale ²⁶
TENCENT MUSIC ENTERTAINMENT GR TME Jun 18 2021 16.0 Call / CUSIP: / Symbol: TME 06/18/21 C 16.000							
06/14/21	2.000	106.67	06/09/21	115.33	...	-8.66	Option sale ²⁶
TESLA INC TSLA Nov 19 2021 1000.0 Put / CUSIP: / Symbol: TSLA 11/19/21 P 1000.000							
11/17/21	1.000	102.34	11/17/21	120.66	...	-18.32	Option sale ²⁶
UNITY SOFTWARE INC U Jun 18 2021 120.0 Call / CUSIP: / Symbol: U 06/18/21 C 120.000							
06/18/21	1.000	0.00	04/29/21	310.66	...	-310.66	Option expiration
Totals :		58,398.36		59,905.59	416.67 W	-1,090.56	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

TD Ameritrade Clearing, Inc.

Account 235202186

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

01/20/2022

SHORT TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)

Report on Form 8949, Part I with Box B checked. Basis is NOT provided to the IRS. (Line 12)

"Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROSHARES TRUST II 1:10 R/S 5/26/21 74347Y839 / CUSIP: 74347W148 / Symbol:							
03/09/21	50.000	417.99	03/03/21	445.50	27.51 W	0.00	Sale
PROSHARES TRUST II ULTRA VIX SHT TRM FUTR ETF / CUSIP: 74347Y839 / Symbol: UVXY							
05/26/21	5.000	194.13	03/18/21	384.51	...	-190.38	Sale
Totals :		612.12		830.01	27.51 W	-190.38	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

TD Ameritrade Clearing, Inc.

Account 235202186

Detail for Dividends and Distributions

2021

01/20/2022

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. Reallocation of a dividend and its tax character is determined by the issuer.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
INTEL CORP COM	458140100 INTC		06/01/21	3.48	Qualified dividend	
				3.48	Total Dividends & distributions	

TD Ameritrade Clearing, Inc.

Account 235202186

Detail for Interest Income

2021

01/20/2022

This section of your tax information statement contains the payment level detail of taxable interest and associated bond premium. Market discount will be shown here only if you have elected to recognize it currently rather than at the time of sale or maturity. Bond premium and market discount for covered tax lots are totaled on Form 1099-INT and reported to the IRS. For noncovered tax lots, they are totaled in the Adjustments to Interest and Original Issue Discount and are not reported to the IRS.

To provide a complete picture of activity for each investment, we also include here nonreportable transactions such as accrued interest paid on purchases and payment or receipt of nonqualified interest. Other amounts, such as federal, state and foreign tax withheld and investment expenses are shown as negative amounts but do not net against the reportable income totals.

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC	9ZZZFD104 MMDA1	03/31/21	0.01	Interest- money mkt	
		04/30/21	0.02	Interest- money mkt	
		07/31/21	0.01	Interest- money mkt	
		08/31/21	0.01	Interest- money mkt	
			0.05	Total Interest	
	0.05	Total Interest			

TD Ameritrade Clearing, Inc.

Account 235202186

Fees and Expenses

2021

01/20/2022

This section of your tax information statement contains the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
REORG FEE 47010C409		09/08/21	-38.00	Miscellaneous fee	
REORG FEE 74347W148		05/26/21	-38.00	Miscellaneous fee	
Interest Charged		02/26/21	-0.10	Margin interest paid	
		03/31/21	-0.07	Margin interest paid	
		04/30/21	-0.24	Margin interest paid	
		05/28/21	-2.41	Margin interest paid	
		06/30/21	-5.44	Margin interest paid	
		07/30/21	-0.04	Margin interest paid	
		09/30/21	-0.90	Margin interest paid	
			-9.20	Total Margin interest paid	
ASLAN PHARMACEUTICALS LIMITED ADR SPONSORED	04522R101 ASLN	05/10/21	-2.25	Depository receipt fee	
			-2.25	Total Depository receipt fee	
			-76.00	Total Miscellaneous fee	
			-9.20	Total Margin interest paid	

26 Tax lot closed is a specified lot.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

Line 1b. Shows the portion of the amount in Line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in Lines 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2c. Shows the portion of the amount in Line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

Line 2d. Shows the portion of the amount in Line 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2e. Shows the portion of the amount in Line 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

Line 2f. Shows the portion of the amount in Line 2a that is section 897 gain attributable to disposition of USRPI.

Note: Lines 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in Line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in Line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040SR.

Instructions for Recipient

Line 8. This Line should be left blank if a RIC reported the foreign tax shown in Line 7. **Lines 9 and 10.** Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040SR for where to report. This amount may be subject to backup withholding. See Line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in Line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting Lines. **Future developments.** For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

1099-INT Instructions for Recipient

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

Line 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2021 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

Line 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction.

Line 3. Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium.

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Line 5. Any amount shown is your share of investment expenses of a singleclass REMIC. This amount is included in line 1. Note: This amount is not deductible.

Line 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

Line 7. Shows the country or U.S. possession to which the foreign tax was paid.

Line 8. Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Forms 1040 and 1040-SR. This amount may be subject to backup withholding. See Line 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in line 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 10. For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includable in taxable income as interest income.

Line 11. For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you

notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includable in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4). **Line 12.** For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includable in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 3. If the amount in line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

Line 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this line for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in line 8 or 9, whichever is applicable. If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(ii).

Line 14. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s).

Lines 15-17. State tax withheld reporting lines.

Future developments. For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099INT.

1099-B Instructions for Recipient
Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or nonSection 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR)

Instructions for Recipient

(whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.