

<b>Robinhood Securities LLC</b> 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700  PAYER'S TIN: 38-4019216	<b>Tax Information</b> <b>Account 685320095</b>	Statement Date: 02/11/2022 Document ID: Q8UX 30R 888N	2021
	Sai prasad Soma 100 Preston Woods Trail Apt826 Sandy Springs, GA 30328  RECIPIENT'S TIN: XXX-XX-0528		

[ ] FATCA filing requirement (see instructions) **Summary Information**

<b>DIVIDENDS AND DISTRIBUTIONS</b> <b>2021 1099-DIV*</b> OMB No. 1545-0110	<b>MISCELLANEOUS INFORMATION</b> <b>2021 1099-MISC*</b> OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5, 2e)     0.00 1b- Qualified dividends     0.00 2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)     0.00 2b- Unrecaptured Section 1250 gain     0.00 2c- Section 1202 gain     0.00 2d- Collectibles (28%) gain     0.00 2e- Section 897 ordinary dividends     0.00 2f- Section 897 capital gain     0.00 3- Nondividend distributions     0.00 <b>4- Federal income tax withheld</b> <b>0.00</b> 5- Section 199A dividends     0.00 6- Investment expenses     0.00 8- Foreign country or US possession:     7- Foreign tax paid:     0.00 9- Cash liquidation distributions     0.00 10- Noncash liquidation distributions     0.00 11- Exempt-interest dividends (includes line 12)     0.00 12- Specified private activity bond interest dividends (AMT)     0.00	2- Royalties     0.00 3- Other income     0.00 <b>4- Federal income tax withheld</b> <b>0.00</b> 8- Substitute payments in lieu of dividends or interest     0.00  <b>SECTION 1256 CONTRACTS</b> <b>2021 1099-B*</b> OMB No. 1545-0715 8- Profit or (loss) realized in 2021 on closed contracts     0.00 9- Unrealized profit or (loss) on open contracts-12/31/2020     0.00 10- Unrealized profit or (loss) on open contracts-12/31/2021     0.00 11- Aggregate profit or (loss) on contracts     0.00  <i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i>  <b>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</b>

**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

*Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.*

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	2,618,675.14	2,821,402.41	0.00	206,711.29	3,984.02
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	2.75	4.73	0.00	0.00	-1.98
	<b>Total Short-term</b>	<b>2,618,677.89</b>	<b>2,821,407.14</b>	<b>0.00</b>	<b>206,711.29</b>	<b>3,982.04</b>
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>2,618,677.89</b>	<b>2,821,407.14</b>	<b>0.00</b>	<b>206,711.29</b>	<b>3,982.04</b>
<b>Withholding</b>		<b>Amount</b>				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

Robinhood Securities LLC

Account 685320095

**Summary Information**

2021

(continued)

02/11/2022

**INTEREST INCOME**

2021 1099-INT

OMB No. 1545-0112

**This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	106.74
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

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## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

02/11/2022

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 09/17/2021 CALL \$155.00 / CUSIP: / Symbol:							
09/13/21	10.000	779.97	09/10/21	720.00	...	59.97	Option sale to close-call <sup>25</sup>
AAPL 09/17/2021 CALL \$160.00 / CUSIP: / Symbol:							
09/13/21	9.000	134.97	09/10/21	216.00	...	-81.03	Option sale to close-call <sup>25</sup>
ABNB 11/05/2021 CALL \$187.50 / CUSIP: / Symbol:							
11/04/21	5.000	1,674.98	11/04/21	1,045.00	...	629.98	Option sale to close-call <sup>25</sup>
ABNB 11/05/2021 CALL \$192.50 / CUSIP: / Symbol:							
	2 transactions for 11/04/21. Total proceeds and cost reported to the IRS.						
	1.000	170.98	11/04/21	82.00	...	88.98	1 of 2 - Option sale to close-call <sup>25</sup>
	9.000	1,538.97	11/04/21	738.00	...	800.97	2 of 2 - Option sale to close-call <sup>25</sup>
11/04/21	10.000	1,709.95	Various	820.00	...	889.95	Total of 2 transactions

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AFRM 11/12/2021 CALL \$200.00 / CUSIP: / Symbol:							
2 transactions for 11/11/21. Total proceeds and cost reported to the IRS.							
	4.000	199.98	11/09/21	480.00	...	-280.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	50.00	11/09/21	120.00	...	-70.00	2 of 2 - Option sale to close-call <sup>25</sup>
11/11/21	5.000	249.98	Various	600.00	...	-350.02	Total of 2 transactions
AGTC 02/19/2021 CALL \$7.50 / CUSIP: / Symbol:							
7 transactions for 02/16/21. Total proceeds and cost reported to the IRS.							
	7.000	594.97	02/16/21	770.00	...	-175.03	1 of 7 - Option sale to close-call <sup>25</sup>
	2.000	179.98	02/16/21	230.02	...	-50.04	2 of 7 - Option sale to close-call <sup>25</sup>
	1.000	89.98	02/16/21	110.00	...	-20.02	3 of 7 - Option sale to close-call <sup>25</sup>
	1.000	64.98	02/16/21	60.00	...	4.98	4 of 7 - Option sale to close-call <sup>25</sup>
	1.000	64.98	02/16/21	60.00	...	4.98	5 of 7 - Option sale to close-call <sup>25</sup>
	2.000	129.98	02/16/21	120.00	...	9.98	6 of 7 - Option sale to close-call <sup>25</sup>
	4.000	259.98	02/16/21	240.00	...	19.98	7 of 7 - Option sale to close-call <sup>25</sup>
02/16/21	18.000	1,384.85	Various	1,590.02	...	-205.17	Total of 7 transactions
02/16/21	2.000	109.98	02/16/21	120.00	10.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,494.83</b>		<b>1,710.02</b>	<b>10.02 W</b>	<b>-205.17</b>	
AMC 02/05/2021 CALL \$17.00 / CUSIP: / Symbol:							
02/04/21	5.000	34.98	02/03/21	200.00	...	-165.02	Option sale to close-call <sup>25</sup>
AMC 02/12/2021 PUT \$5.00 / CUSIP: / Symbol:							
01/28/21	1.000	108.98	01/27/21	156.00	...	-47.02	Option sale to close-put <sup>25</sup>
AMC 02/26/2021 CALL \$12.00 / CUSIP: / Symbol:							
02/25/21	5.000	189.98	02/25/21	440.00	...	-250.02	Option sale to close-call <sup>25</sup>
AMC 02/26/2021 PUT \$9.00 / CUSIP: / Symbol:							
3 transactions for 02/25/21. Total proceeds and cost reported to the IRS.							
	3.000	197.98	02/25/21	201.00	...	-3.02	1 of 3 - Option sale to close-put <sup>25</sup>
	1.000	66.98	02/25/21	67.00	...	-0.02	2 of 3 - Option sale to close-put <sup>25</sup>
	6.000	401.98	02/25/21	402.00	...	-0.02	3 of 3 - Option sale to close-put <sup>25</sup>
02/25/21	10.000	666.94	Various	670.00	...	-3.06	Total of 3 transactions

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC 06/11/2021 CALL \$60.00 / CUSIP: / Symbol:							
06/08/21	1.000	584.98	06/08/21	635.00	...	-50.02	Option sale to close-call <sup>25</sup>
AMC 06/11/2021 PUT \$30.00 / CUSIP: / Symbol:							
2 transactions for 06/03/21. Total proceeds and cost reported to the IRS.							
	1.000	319.98	06/02/21	320.00	...	-0.02	1 of 2 - Option sale to close-put <sup>25</sup>
	2.000	649.98	06/02/21	640.00	...	9.98	2 of 2 - Option sale to close-put <sup>25</sup>
06/03/21	3.000	969.96	Various	960.00	...	9.96	Total of 2 transactions
AMC 10/22/2021 CALL \$44.00 / CUSIP: / Symbol:							
4 transactions for 10/20/21. Total proceeds and cost reported to the IRS.							
	2.000	117.99	10/19/21	250.02	...	-132.03	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	58.99	10/19/21	125.01	...	-66.02	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	58.99	10/19/21	125.01	...	-66.02	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	59.00	10/19/21	125.01	...	-66.01	4 of 4 - Option sale to close-call <sup>25</sup>
10/20/21	5.000	294.97	Various	625.05	...	-330.08	Total of 4 transactions
3 transactions for 10/20/21. Total proceeds and cost reported to the IRS.							
	1.000	58.99	10/19/21	92.00	33.01 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	117.98	10/19/21	184.00	66.02 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	117.98	10/19/21	184.00	66.02 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
10/20/21	5.000	294.95	Various	460.00	165.05 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>589.92</b>		<b>1,085.05</b>	<b>165.05 W</b>	<b>-330.08</b>	
AMC 11/05/2021 CALL \$39.00 / CUSIP: / Symbol:							
11/01/21	5.000	544.98	11/01/21	410.00	...	134.98	Option sale to close-call <sup>25</sup>
AMC 12/03/2021 CALL \$39.00 / CUSIP: / Symbol:							
2 transactions for 11/30/21. Total proceeds and cost reported to the IRS.							
	5.000	304.98	11/29/21	460.00	...	-155.02	1 of 2 - Option sale to close-call <sup>25</sup>
	5.000	304.99	11/29/21	460.00	...	-155.01	2 of 2 - Option sale to close-call <sup>25</sup>
11/30/21	10.000	609.97	Various	920.00	...	-310.03	Total of 2 transactions

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## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
AMC 12/03/2021 CALL \$50.00 / CUSIP: / Symbol:							
2 transactions for 11/30/21. Total proceeds and cost reported to the IRS.							
	4.000	31.98	11/18/21	720.00	...	-688.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	7.98	11/18/21	180.00	...	-172.02	2 of 2 - Option sale to close-call <sup>25</sup>
11/30/21	5.000	39.96	Various	900.00	...	-860.04	Total of 2 transactions
AMD 10/15/2021 CALL \$110.00 / CUSIP: / Symbol:							
3 transactions for 09/30/21. Total proceeds and cost reported to the IRS.							
	1.000	131.99	09/28/21	298.02	...	-166.03	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	144.99	09/28/21	304.01	...	-159.02	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	131.99	09/28/21	232.00	...	-100.01	3 of 3 - Option sale to close-call <sup>25</sup>
09/30/21	3.000	408.97	Various	834.03	...	-425.06	Total of 3 transactions
2 transactions for 09/30/21. Total proceeds and cost reported to the IRS.							
	3.000	434.98	09/27/21	714.00	279.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	144.99	09/28/21	304.01	159.02 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
09/30/21	4.000	579.97	Various	1,018.01	438.04 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>988.94</b>		<b>1,852.04</b>	<b>438.04 W</b>	<b>-425.06</b>	
AMD 11/26/2021 CALL \$157.50 / CUSIP: / Symbol:							
11/19/21	5.000	1,649.98	11/18/21	1,330.00	...	319.98	Option sale to close-call <sup>25</sup>
2 transactions for 11/22/21. Total proceeds and cost reported to the IRS.							
	1.000	378.98	11/19/21	274.00	...	104.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	378.98	11/19/21	274.00	...	104.98	2 of 2 - Option sale to close-call <sup>25</sup>
11/22/21	2.000	757.96	Various	548.00	...	209.96	Total of 2 transactions
	<b>Security total:</b>	<b>2,407.94</b>		<b>1,878.00</b>	...	<b>529.94</b>	
AMZN 10/29/2021 CALL \$3,500.00 / CUSIP: / Symbol:							
2 transactions for 10/28/21. Total proceeds and cost reported to the IRS.							
	1.000	5,154.96	10/25/21	1,315.00	...	3,839.96	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	5,049.96	10/28/21	4,370.00	...	679.96	2 of 2 - Option sale to close-call <sup>25</sup>
10/28/21	2.000	10,204.92	Various	5,685.00	...	4,519.92	Total of 2 transactions

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Robinhood Securities LLC

Account 685320095

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMZN 10/29/2021 CALL \$3,505.00 / CUSIP: / Symbol:							
10/27/21	1.000	2,209.97	10/27/21	1,745.00	...	464.97	Option sale to close-call <sup>25</sup>
		<i>2 transactions for 10/28/21. Total proceeds and cost reported to the IRS.</i>					
	1.000	3,254.97	10/27/21	2,725.00	...	529.97	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	4,314.96	10/28/21	3,400.00	...	914.96	2 of 2 - Option sale to close-call <sup>25</sup>
10/28/21	2.000	7,569.93	Various	6,125.00	...	1,444.93	Total of 2 transactions
	<b>Security total:</b>	<b>9,779.90</b>		<b>7,870.00</b>	...	<b>1,909.90</b>	
AMZN 11/05/2021 CALL \$3,700.00 / CUSIP: / Symbol:							
10/29/21	2.000	399.98	10/26/21	1,370.00	...	-970.02	Option sale to close-call <sup>25</sup>
AMZN 11/12/2021 CALL \$3,600.00 / CUSIP: / Symbol:							
11/05/21	1.000	1,944.98	11/05/21	3,780.00	1,835.02 W	0.00	Option sale to close-call <sup>25</sup>
11/08/21	1.000	4,009.96	11/05/21	2,475.06	...	1,534.90	Option sale to close-call <sup>25</sup>
11/08/21	1.000	4,399.96	11/05/21	4,495.02	95.06 W	0.00	Option sale to close-call <sup>25</sup>
11/09/21	1.000	2,804.97	11/08/21	1,349.00	...	1,455.97	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>13,159.87</b>		<b>12,099.08</b>	<b>1,930.08 W</b>	<b>2,990.87</b>	
AMZN 11/19/2021 CALL \$3,630.00 / CUSIP: / Symbol:							
		<i>2 transactions for 11/17/21. Total proceeds and cost reported to the IRS.</i>					
	1.000	1,329.99	11/16/21	1,730.02	...	-400.03	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	1,329.98	11/16/21	1,015.00	...	314.98	2 of 2 - Option sale to close-call <sup>25</sup>
11/17/21	2.000	2,659.97	Various	2,745.02	...	-85.05	Total of 2 transactions
11/17/21	1.000	1,684.98	11/16/21	2,320.00	635.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>4,344.95</b>		<b>5,065.02</b>	<b>635.02 W</b>	<b>-85.05</b>	
AMZN 11/19/2021 CALL \$3,750.00 / CUSIP: / Symbol:							
11/18/21	1.000	874.98	11/18/21	665.00	...	209.98	Option sale to close-call <sup>25</sup>
AMZN 11/26/2021 CALL \$3,620.00 / CUSIP: / Symbol:							
11/12/21	1.000	3,489.97	11/11/21	3,380.00	...	109.97	Option sale to close-call <sup>25</sup>
11/15/21	1.000	5,044.96	11/12/21	1,845.00	...	3,199.96	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>8,534.93</b>		<b>5,225.00</b>	...	<b>3,309.93</b>	
AMZN 11/26/2021 CALL \$3,750.00 / CUSIP: / Symbol:							
11/19/21	1.000	4,849.96	11/18/21	2,895.00	...	1,954.96	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMZN 11/26/2021 CALL \$3,800.00 / CUSIP: / Symbol:							
11/22/21	1.000	1,874.98	11/19/21	2,565.00	690.02 W	0.00	Option sale to close-call <sup>25</sup>
11/23/21	1.000	309.98	11/22/21	3,585.06	...	-3,275.08	Option sale to close-call <sup>25</sup>
2 transactions for 11/23/21. Total proceeds and cost reported to the IRS.							
	1.000	309.98	11/19/21	2,400.02	2,090.04 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	309.98	11/22/21	3,185.04	2,875.06 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
11/23/21	2.000	619.96	Various	5,585.06	4,965.10 W	0.00	Total of 2 transactions
<b>Security total:</b>		<b>2,804.92</b>		<b>11,735.12</b>	<b>5,655.12 W</b>	<b>-3,275.08</b>	
AMZN 12/03/2021 CALL \$3,650.00 / CUSIP: / Symbol:							
3 transactions for 12/02/21. Total proceeds and cost reported to the IRS.							
	1.000	88.99	11/26/21	2,970.00	...	-2,881.01	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	89.00	11/30/21	740.00	...	-651.00	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	88.99	11/30/21	555.00	...	-466.01	3 of 3 - Option sale to close-call <sup>25</sup>
12/02/21	3.000	266.98	Various	4,265.00	...	-3,998.02	Total of 3 transactions
AMZN 12/03/2021 CALL \$3,700.00 / CUSIP: / Symbol:							
11/08/21	1.000	4,184.96	11/08/21	4,480.00	295.04 W	0.00	Option sale to close-call <sup>25</sup>
2 transactions for 11/09/21. Total proceeds and cost reported to the IRS.							
	1.000	5,644.97	11/08/21	4,550.04	...	1,094.93	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	5,644.96	11/09/21	3,170.00	...	2,474.96	2 of 2 - Option sale to close-call <sup>25</sup>
11/09/21	2.000	11,289.93	Various	7,720.04	...	3,569.89	Total of 2 transactions
2 transactions for 11/09/21. Total proceeds and cost reported to the IRS.							
	1.000	4,664.96	11/09/21	5,050.04	385.08 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	5,194.96	11/09/21	5,275.00	80.04 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
11/09/21	2.000	9,859.92	Various	10,325.04	465.12 W	0.00	Total of 2 transactions
11/10/21	1.000	6,039.95	11/09/21	5,315.08	...	724.87	Option sale to close-call <sup>25</sup>
11/10/21	1.000	4,899.96	11/10/21	5,215.00	315.04 W	0.00	Option sale to close-call <sup>25</sup>
2 transactions for 11/12/21. Total proceeds and cost reported to the IRS.							
	1.000	2,724.97	11/10/21	5,295.07	2,570.10 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	3,064.97	11/10/21	5,580.04	2,515.07 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
11/12/21	2.000	5,789.94	Various	10,875.11	5,085.17 W	0.00	Total of 2 transactions

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
AMZN 12/03/2021 CALL \$3,700.00 / CUSIP: / Symbol: (cont'd)							
2 transactions for 11/15/21. Total proceeds and cost reported to the IRS.							
	1.000	4,284.97	11/11/21	3,130.14	...	1,154.83	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	4,284.97	11/12/21	2,780.00	...	1,504.97	2 of 2 - Option sale to close-call <sup>25</sup>
11/15/21	2.000	8,569.94	Various	5,910.14	...	2,659.80	Total of 2 transactions
11/15/21	1.000	4,034.96	11/11/21	5,035.10	1,000.14 W	0.00	Option sale to close-call <sup>25</sup>
4 transactions for 11/17/21. Total proceeds and cost reported to the IRS.							
	1.000	3,699.97	11/15/21	3,575.00	...	124.97	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	3,684.97	11/16/21	2,860.00	...	824.97	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	3,379.97	11/17/21	3,335.03	...	44.94	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	3,579.97	11/17/21	2,630.00	...	949.97	4 of 4 - Option sale to close-call <sup>25</sup>
11/17/21	4.000	14,344.88	Various	12,400.03	...	1,944.85	Total of 4 transactions
11/17/21	1.000	3,419.97	11/17/21	3,505.00	85.03 W	0.00	Option sale to close-call <sup>25</sup>
3 transactions for 11/18/21. Total proceeds and cost reported to the IRS.							
	1.000	3,194.97	11/17/21	2,870.00	...	324.97	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	3,319.97	11/17/21	2,845.00	...	474.97	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	4,289.96	11/18/21	4,450.00	...	-160.04	3 of 3 - Option sale to close-call <sup>25</sup>
11/18/21	3.000	10,804.90	Various	10,165.00	...	639.90	Total of 3 transactions
	<b>Security total:</b>	<b>83,239.31</b>		<b>80,945.54</b>	<b>7,245.54 W</b>	<b>9,539.31</b>	
AMZN 12/03/2021 CALL \$3,800.00 / CUSIP: / Symbol:							
11/19/21	1.000	5,704.96	11/18/21	4,195.00	...	1,509.96	Option sale to close-call <sup>25</sup>
11/24/21	1.000	1,779.98	11/23/21	1,620.02	...	159.96	Option sale to close-call <sup>25</sup>
3 transactions for 11/24/21. Total proceeds and cost reported to the IRS.							
	1.000	1,789.99	11/19/21	3,610.00	1,820.01 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	1,779.99	11/22/21	3,700.01	1,920.02 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	1,789.98	11/22/21	1,995.00	205.02 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
11/24/21	3.000	5,359.96	Various	9,305.01	3,945.05 W	0.00	Total of 3 transactions
4 transactions for 11/26/21. Total proceeds and cost reported to the IRS.							
	1.000	2,049.98	11/23/21	1,235.00	...	814.98	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	1,849.99	11/24/21	1,720.06	...	129.93	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	1,849.99	11/24/21	1,285.00	...	564.99	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	1,849.98	11/24/21	1,180.00	...	669.98	4 of 4 - Option sale to close-call <sup>25</sup>
11/26/21	4.000	7,599.94	Various	5,420.06	...	2,179.88	Total of 4 transactions

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Robinhood Securities LLC

Account 685320095

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMZN 12/03/2021 CALL \$3,800.00 / CUSIP: / Symbol: (cont'd)							
2 transactions for 11/26/21. Total proceeds and cost reported to the IRS.							
	1.000	2,049.98	11/23/21	3,155.02	1,105.04 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
11/26/21	1.000	1,849.98	11/24/21	2,390.04	540.06 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
	2.000	3,899.96	Various	5,545.06	1,645.10 W	0.00	Total of 2 transactions
3 transactions for 11/29/21. Total proceeds and cost reported to the IRS.							
	1.000	439.99	11/24/21	1,135.00	...	-695.01	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	440.00	11/26/21	1,685.02	...	-1,245.02	2 of 3 - Option sale to close-call <sup>25</sup>
11/29/21	1.000	439.99	11/26/21	990.00	...	-550.01	3 of 3 - Option sale to close-call <sup>25</sup>
	3.000	1,319.98	Various	3,810.02	...	-2,490.04	Total of 3 transactions
11/29/21	1.000	439.98	11/24/21	1,135.00	695.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>26,104.76</b>		<b>31,030.17</b>	<b>6,285.17 W</b>	<b>1,359.76</b>	
AMZN 12/10/2021 CALL \$3,500.00 / CUSIP: / Symbol:							
2 transactions for 12/06/21. Total proceeds and cost reported to the IRS.							
	1.000	1,789.98	12/06/21	1,685.02	...	104.96	1 of 2 - Option sale to close-call <sup>25</sup>
12/06/21	1.000	2,959.97	12/06/21	1,640.00	...	1,319.97	2 of 2 - Option sale to close-call <sup>25</sup>
12/06/21	2.000	4,749.95	Various	3,325.02	...	1,424.93	Total of 2 transactions
	1.000	1,564.98	12/03/21	2,145.00	580.02 W	0.00	Option sale to close-call <sup>25</sup>
2 transactions for 12/07/21. Total proceeds and cost reported to the IRS.							
	1.000	3,274.97	12/06/21	2,340.00	...	934.97	1 of 2 - Option sale to close-call <sup>25</sup>
12/07/21	1.000	3,274.97	12/06/21	1,775.00	...	1,499.97	2 of 2 - Option sale to close-call <sup>25</sup>
	2.000	6,549.94	Various	4,115.00	...	2,434.94	Total of 2 transactions
	<b>Security total:</b>	<b>12,864.87</b>		<b>9,585.02</b>	<b>580.02 W</b>	<b>3,859.87</b>	
AMZN 12/10/2021 CALL \$3,530.00 / CUSIP: / Symbol:							
12/06/21	1.000	1,824.98	12/06/21	1,955.02	...	-130.04	Option sale to close-call <sup>25</sup>
12/06/21	1.000	1,779.98	12/06/21	1,880.00	100.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>3,604.96</b>		<b>3,835.02</b>	<b>100.02 W</b>	<b>-130.04</b>	
AMZN 12/10/2021 CALL \$3,550.00 / CUSIP: / Symbol:							
12/06/21	1.000	1,364.98	12/06/21	1,495.00	130.02 W	0.00	Option sale to close-call <sup>25</sup>
12/07/21	1.000	1,889.98	12/07/21	2,095.02	...	-205.04	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>3,254.96</b>		<b>3,590.02</b>	<b>130.02 W</b>	<b>-205.04</b>	

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMZN 12/10/2021 CALL \$3,600.00 / CUSIP: / Symbol:							
12/08/21	1.000	624.98	12/08/21	765.00	...	-140.02	Option sale to close-call <sup>25</sup>
AMZN 12/10/2021 CALL \$3,620.00 / CUSIP: / Symbol:							
<i>2 transactions for 12/07/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	1,049.98	11/30/21	3,190.00	...	-2,140.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	1,049.99	12/01/21	2,280.00	...	-1,230.01	2 of 2 - Option sale to close-call <sup>25</sup>
12/07/21	2.000	2,099.97	Various	5,470.00	...	-3,370.03	Total of 2 transactions
AMZN 12/10/2021 CALL \$3,720.00 / CUSIP: / Symbol:							
<i>8 transactions for 12/03/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	289.00	11/24/21	3,805.00	...	-3,516.00	1 of 8 - Option sale to close-call <sup>25</sup>
	1.000	289.00	11/26/21	3,860.00	...	-3,571.00	2 of 8 - Option sale to close-call <sup>25</sup>
	1.000	288.99	11/26/21	2,940.00	...	-2,651.01	3 of 8 - Option sale to close-call <sup>25</sup>
	1.000	289.00	11/29/21	2,775.00	...	-2,486.00	4 of 8 - Option sale to close-call <sup>25</sup>
	1.000	288.99	11/29/21	1,945.00	...	-1,656.01	5 of 8 - Option sale to close-call <sup>25</sup>
	1.000	289.00	11/29/21	1,935.00	...	-1,646.00	6 of 8 - Option sale to close-call <sup>25</sup>
	1.000	248.98	11/30/21	5,236.00	...	-4,987.02	7 of 8 - Option sale to close-call <sup>25</sup>
	3.000	866.98	11/30/21	3,915.00	...	-3,048.02	8 of 8 - Option sale to close-call <sup>25</sup>
12/03/21	10.000	2,849.94	Various	26,411.00	...	-23,561.06	Total of 8 transactions
12/03/21	1.000	289.00	11/22/21	4,295.00	4,006.00 W	0.00	Option sale to close-call <sup>25</sup>
<b>Security total:</b>		<b>3,138.94</b>		<b>30,706.00</b>	<b>4,006.00 W</b>	<b>-23,561.06</b>	
AMZN 12/10/2021 PUT \$3,480.00 / CUSIP: / Symbol:							
<i>2 transactions for 12/07/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	2,114.97	12/07/21	1,950.00	...	164.97	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	1,916.98	12/07/21	1,739.00	...	177.98	2 of 2 - Option sale to close-put <sup>25</sup>
12/07/21	2.000	4,031.95	Various	3,689.00	...	342.95	Total of 2 transactions
12/08/21	1.000	1,634.98	12/08/21	1,670.00	...	-35.02	Option sale to close-put <sup>25</sup>
<b>Security total:</b>		<b>5,666.93</b>		<b>5,359.00</b>	...	<b>307.93</b>	
AMZN 12/10/2021 PUT \$3,500.00 / CUSIP: / Symbol:							
12/09/21	1.000	1,699.98	12/09/21	1,460.00	...	239.98	Option sale to close-put <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMZN 12/17/2021 CALL \$3,600.00 / CUSIP: / Symbol:							
3 transactions for 12/14/21. Total proceeds and cost reported to the IRS.							
	1.000	138.00	12/09/21	4,203.02	...	-4,065.02	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	137.99	12/09/21	1,700.00	...	-1,562.01	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	275.99	12/10/21	1,140.00	...	-864.01	3 of 3 - Option sale to close-call <sup>25</sup>
12/14/21	4.000	551.98	Various	7,043.02	...	-6,491.04	Total of 3 transactions
12/14/21	1.000	136.98	12/09/21	2,310.00	2,173.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>688.96</b>		<b>9,353.02</b>	<b>2,173.02 W</b>	<b>-6,491.04</b>	
AMZN 12/17/2021 CALL \$3,615.00 / CUSIP: / Symbol:							
3 transactions for 12/08/21. Total proceeds and cost reported to the IRS.							
	1.000	2,379.98	12/08/21	2,135.00	...	244.98	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	2,444.97	12/08/21	2,125.00	...	319.97	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	2,379.98	12/08/21	1,835.00	...	544.98	3 of 3 - Option sale to close-call <sup>25</sup>
12/08/21	3.000	7,204.93	Various	6,095.00	...	1,109.93	Total of 3 transactions
2 transactions for 12/09/21. Total proceeds and cost reported to the IRS.							
	1.000	2,329.98	12/08/21	2,595.00	...	-265.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	2,329.98	12/08/21	2,210.00	...	119.98	2 of 2 - Option sale to close-call <sup>25</sup>
12/09/21	2.000	4,659.96	Various	4,805.00	...	-145.04	Total of 2 transactions
	<b>Security total:</b>	<b>11,864.89</b>		<b>10,900.00</b>	...	<b>964.89</b>	
AMZN 12/17/2021 CALL \$3,640.00 / CUSIP: / Symbol:							
2 transactions for 12/07/21. Total proceeds and cost reported to the IRS.							
	2.000	3,609.97	12/07/21	3,320.00	...	289.97	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	2,334.97	12/07/21	1,810.00	...	524.97	2 of 2 - Option sale to close-call <sup>25</sup>
12/07/21	3.000	5,944.94	Various	5,130.00	...	814.94	Total of 2 transactions
AMZN 12/17/2021 CALL \$3,700.00 / CUSIP: / Symbol:							
2 transactions for 11/04/21. Total proceeds and cost reported to the IRS.							
	1.000	4,599.96	11/01/21	1,430.00	...	3,169.96	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	4,424.96	11/04/21	4,100.00	...	324.96	2 of 2 - Option sale to close-call <sup>25</sup>
11/04/21	2.000	9,024.92	Various	5,530.00	...	3,494.92	Total of 2 transactions
AMZN 12/17/2021 CALL \$3,750.00 / CUSIP: / Symbol:							
12/03/21	1.000	814.98	11/29/21	3,420.00	...	-2,605.02	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMZN 12/23/2021 CALL \$3,900.00 / CUSIP: / Symbol:							
12/03/21	1.000	459.98	11/26/21	6,155.02	...	-5,695.04	Option sale to close-call <sup>25</sup>
12/03/21	1.000	459.98	11/22/21	3,905.00	3,445.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>919.96</b>		<b>10,060.02</b>	<b>3,445.02 W</b>	<b>-5,695.04</b>	
ATHA 07/16/2021 CALL \$17.50 / CUSIP: / Symbol:							
2 transactions for 07/06/21. Total proceeds and cost reported to the IRS.							
	2.000	15.98	06/18/21	170.00	...	-154.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	7.99	06/18/21	85.00	...	-77.01	2 of 2 - Option sale to close-call <sup>25</sup>
07/06/21	3.000	23.97	Various	255.00	...	-231.03	Total of 2 transactions
07/06/21	1.000	7.99	06/18/21	85.00	77.01 W	0.00	Option sale to close-call <sup>25</sup>
2 transactions for 07/16/21. Total proceeds and cost reported to the IRS.							
	6.000	0.00	06/18/21	510.00	...	-510.00	1 of 2 - Option expiration- long call
	1.000	0.00	06/18/21	162.01	...	-162.01	2 of 2 - Option expiration- long call
07/16/21	7.000	0.00	Various	672.01	...	-672.01	Total of 2 transactions
	<b>Security total:</b>	<b>31.96</b>		<b>1,012.01</b>	<b>77.01 W</b>	<b>-903.04</b>	
ATOS 03/19/2021 CALL \$3.50 / CUSIP: / Symbol:							
2 transactions for 03/02/21. Total proceeds and cost reported to the IRS.							
	8.000	159.97	02/25/21	440.00	...	-280.03	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	39.98	02/25/21	110.00	...	-70.02	2 of 2 - Option sale to close-call <sup>25</sup>
03/02/21	10.000	199.95	Various	550.00	...	-350.05	Total of 2 transactions
ATOS 03/19/2021 CALL \$4.00 / CUSIP: / Symbol:							
4 transactions for 02/26/21. Total proceeds and cost reported to the IRS.							
	5.000	99.98	02/18/21	275.00	...	-175.02	1 of 4 - Option sale to close-call <sup>25</sup>
	2.000	39.98	02/18/21	110.00	...	-70.02	2 of 4 - Option sale to close-call <sup>25</sup>
	2.000	39.98	02/18/21	110.00	...	-70.02	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	19.98	02/18/21	55.00	...	-35.02	4 of 4 - Option sale to close-call <sup>25</sup>
02/26/21	10.000	199.92	Various	550.00	...	-350.08	Total of 4 transactions
BABA 02/05/2021 CALL \$275.00 / CUSIP: / Symbol:							
02/03/21	1.000	94.98	02/01/21	319.00	...	-224.02	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BABA 02/05/2021 CALL \$300.00 / CUSIP: / Symbol:							
2 transactions for 02/03/21. Total proceeds and cost reported to the IRS.							
	8.000	47.98	02/01/21	416.00	...	-368.02	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	11.99	02/01/21	104.00	...	-92.01	2 of 2 - Option sale to close-call <sup>25</sup>
02/03/21	10.000	59.97	Various	520.00	...	-460.03	Total of 2 transactions
BABA 06/04/2021 CALL \$217.50 / CUSIP: / Symbol:							
05/27/21	2.000	435.98	05/26/21	300.00	...	135.98	Option sale to close-call <sup>25</sup>
BABA 11/05/2021 CALL \$180.00 / CUSIP: / Symbol:							
11/01/21	10.000	1,049.97	10/29/21	730.00	...	319.97	Option sale to close-call <sup>25</sup>
BABA 11/12/2021 CALL \$165.00 / CUSIP: / Symbol:							
4 transactions for 11/08/21. Total proceeds and cost reported to the IRS.							
	2.000	507.99	11/05/21	518.00	...	-10.01	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	253.99	11/05/21	259.00	...	-5.01	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	254.00	11/05/21	259.00	...	-5.00	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	254.00	11/05/21	259.00	...	-5.00	4 of 4 - Option sale to close-call <sup>25</sup>
11/08/21	5.000	1,269.98	Various	1,295.00	...	-25.02	Total of 4 transactions
BABA 11/19/2021 CALL \$172.50 / CUSIP: / Symbol:							
11/11/21	5.000	2,024.97	11/10/21	1,435.00	...	589.97	Option sale to close-call <sup>25</sup>
BABA 11/19/2021 CALL \$180.00 / CUSIP: / Symbol:							
2 transactions for 11/19/21. Total proceeds and cost reported to the IRS.							
	5.000	9.99	11/16/21	790.00	...	-780.01	1 of 2 - Option sale to close-call <sup>25</sup>
	5.000	9.98	11/17/21	185.00	...	-175.02	2 of 2 - Option sale to close-call <sup>25</sup>
11/19/21	10.000	19.97	Various	975.00	...	-955.03	Total of 2 transactions
BABA 11/26/2021 CALL \$190.00 / CUSIP: / Symbol:							
3 transactions for 11/19/21. Total proceeds and cost reported to the IRS.							
	6.000	11.98	11/10/21	564.00	...	-552.02	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	1.99	11/10/21	278.02	...	-276.03	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	1.99	11/10/21	94.00	...	-92.01	3 of 3 - Option sale to close-call <sup>25</sup>
11/19/21	8.000	15.96	Various	936.02	...	-920.06	Total of 3 transactions

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BABA 11/26/2021 CALL \$190.00 / CUSIP: / Symbol: (cont'd)							
2 transactions for 11/19/21. Total proceeds and cost reported to the IRS.							
	1.000	1.98	11/10/21	94.00	92.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	2.00	11/10/21	186.02	184.02 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
11/19/21	2.000	3.98	Various	280.02	276.04 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>19.94</b>		<b>1,216.04</b>	<b>276.04 W</b>	<b>-920.06</b>	
BBIG 10/15/2021 PUT \$7.50 / CUSIP: / Symbol:							
7 transactions for 10/14/21. Total proceeds and cost reported to the IRS.							
	4.000	103.98	10/14/21	112.00	...	-8.02	1 of 7 - Option sale to close-put <sup>25</sup>
	1.000	25.98	10/14/21	28.00	...	-2.02	2 of 7 - Option sale to close-put <sup>25</sup>
	1.000	25.98	10/14/21	28.00	...	-2.02	3 of 7 - Option sale to close-put <sup>25</sup>
	1.000	25.98	10/14/21	28.00	...	-2.02	4 of 7 - Option sale to close-put <sup>25</sup>
	1.000	25.98	10/14/21	28.00	...	-2.02	5 of 7 - Option sale to close-put <sup>25</sup>
	1.000	25.98	10/14/21	28.00	...	-2.02	6 of 7 - Option sale to close-put <sup>25</sup>
	1.000	25.98	10/14/21	28.00	...	-2.02	7 of 7 - Option sale to close-put <sup>25</sup>
10/14/21	10.000	259.86	Various	280.00	...	-20.14	Total of 7 transactions
BGFV 11/19/2021 CALL \$40.00 / CUSIP: / Symbol:							
11/09/21	2.000	979.98	11/08/21	760.00	...	219.98	Option sale to close-call <sup>25</sup>
BKKT 11/19/2021 PUT \$7.50 / CUSIP: / Symbol:							
3 transactions for 10/29/21. Total proceeds and cost reported to the IRS.							
	6.000	29.98	10/25/21	90.00	...	-60.02	1 of 3 - Option sale to close-put <sup>25</sup>
	3.000	14.98	10/25/21	45.00	...	-30.02	2 of 3 - Option sale to close-put <sup>25</sup>
	1.000	4.98	10/25/21	15.00	...	-10.02	3 of 3 - Option sale to close-put <sup>25</sup>
10/29/21	10.000	49.94	Various	150.00	...	-100.06	Total of 3 transactions
BURL 11/12/2021 CALL \$300.00 / CUSIP: / Symbol:							
11/04/21	1.000	399.98	11/03/21	330.00	...	69.98	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BYND 10/15/2021 CALL \$109.00 / CUSIP: / Symbol:							
2 transactions for 10/14/21. Total proceeds and cost reported to the IRS.							
	6.000	329.98	10/14/21	372.00	...	-42.02	1 of 2 - Option sale to close-call <sup>25</sup>
	4.000	219.98	10/14/21	248.00	...	-28.02	2 of 2 - Option sale to close-call <sup>25</sup>
10/14/21	10.000	549.96	Various	620.00	...	-70.04	Total of 2 transactions
CCXI 10/15/2021 PUT \$25.00 / CUSIP: / Symbol:							
2 transactions for 10/11/21. Total proceeds and cost reported to the IRS.							
	4.000	123.98	10/08/21	196.02	...	-72.04	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	31.00	10/08/21	45.02	...	-14.02	2 of 2 - Option sale to close-put <sup>25</sup>
10/11/21	5.000	154.98	Various	241.04	...	-86.06	Total of 2 transactions
2 transactions for 10/11/21. Total proceeds and cost reported to the IRS.							
	1.000	34.98	10/08/21	40.00	5.02 W	0.00	1 of 2 - Option sale to close-put <sup>25</sup>
	4.000	123.98	10/08/21	160.00	36.02 W	0.00	2 of 2 - Option sale to close-put <sup>25</sup>
10/11/21	5.000	158.96	Various	200.00	41.04 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>313.94</b>		<b>441.04</b>	<b>41.04 W</b>	<b>-86.06</b>	
CEI 10/15/2021 CALL \$2.00 / CUSIP: / Symbol:							
7 transactions for 10/08/21. Total proceeds and cost reported to the IRS.							
	1.000	74.98	10/07/21	30.00	...	44.98	1 of 7 - Option sale to close-call <sup>25</sup>
	1.000	74.98	10/07/21	25.00	...	49.98	2 of 7 - Option sale to close-call <sup>25</sup>
	1.000	75.00	10/07/21	25.00	...	50.00	3 of 7 - Option sale to close-call <sup>25</sup>
	1.000	75.00	10/07/21	25.00	...	50.00	4 of 7 - Option sale to close-call <sup>25</sup>
	1.000	75.00	10/07/21	25.00	...	50.00	5 of 7 - Option sale to close-call <sup>25</sup>
	2.000	149.98	10/07/21	60.00	...	89.98	6 of 7 - Option sale to close-call <sup>25</sup>
	7.000	524.97	10/07/21	210.00	...	314.97	7 of 7 - Option sale to close-call <sup>25</sup>
10/08/21	14.000	1,049.91	Various	400.00	...	649.91	Total of 7 transactions
4 transactions for 10/11/21. Total proceeds and cost reported to the IRS.							
	4.000	75.98	10/08/21	160.00	...	-84.02	1 of 4 - Option sale to close-call <sup>25</sup>
	3.000	56.99	10/08/21	120.00	...	-63.01	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	19.00	10/08/21	62.02	...	-43.02	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	19.00	10/08/21	40.00	...	-21.00	4 of 4 - Option sale to close-call <sup>25</sup>
10/11/21	9.000	170.97	Various	382.02	...	-211.05	Total of 4 transactions
10/11/21	1.000	17.98	10/08/21	40.00	22.02 W	0.00	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CEI 10/15/2021 CALL \$2.00 / CUSIP: / Symbol: (cont'd)							
<b>Security total:</b>		<b>1,238.86</b>		<b>822.02</b>	<b>22.02 W</b>	<b>438.86</b>	
CERE 08/20/2021 PUT \$12.50 / CUSIP: / Symbol:							
2 transactions for 07/12/21. Total proceeds and cost reported to the IRS.							
	13.000	64.96	06/29/21	949.00	...	-884.04	1 of 2 - Option sale to close-put <sup>25</sup>
	12.000	59.97	06/29/21	876.00	...	-816.03	2 of 2 - Option sale to close-put <sup>25</sup>
07/12/21	25.000	124.93	Various	1,825.00	...	-1,700.07	Total of 2 transactions
CERE 08/20/2021 PUT \$5.00 / CUSIP: / Symbol:							
3 transactions for 08/20/21. Total proceeds and cost reported to the IRS.							
	10.000	0.00	06/29/21	50.00	...	-50.00	1 of 3 - Option expiration- long put
	10.000	0.00	06/29/21	50.00	...	-50.00	2 of 3 - Option expiration- long put
	9.000	0.00	06/29/21	45.00	...	-45.00	3 of 3 - Option expiration- long put
08/20/21	29.000	0.00	Various	145.00	...	-145.00	Total of 3 transactions
CNET 03/19/2021 CALL \$5.00 / CUSIP: / Symbol:							
3 transactions for 02/17/21. Total proceeds and cost reported to the IRS.							
	1.000	131.98	02/17/21	115.00	...	16.98	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	131.98	02/17/21	115.00	...	16.98	2 of 3 - Option sale to close-call <sup>25</sup>
	3.000	449.98	02/17/21	345.00	...	104.98	3 of 3 - Option sale to close-call <sup>25</sup>
02/17/21	5.000	713.94	Various	575.00	...	138.94	Total of 3 transactions
COIN 06/11/2021 CALL \$250.00 / CUSIP: / Symbol:							
05/25/21	1.000	809.98	05/24/21	740.00	...	69.98	Option sale to close-call <sup>25</sup>
CPNG 11/19/2021 CALL \$30.00 / CUSIP: / Symbol:							
11/11/21	5.000	739.98	11/11/21	770.00	...	-30.02	Option sale to close-call <sup>25</sup>
DIS 12/03/2021 CALL \$185.00 / CUSIP: / Symbol:							
2 transactions for 11/19/21. Total proceeds and cost reported to the IRS.							
	5.000	24.99	11/10/21	1,330.00	...	-1,305.01	1 of 2 - Option sale to close-call <sup>25</sup>
	5.000	24.98	11/11/21	190.00	...	-165.02	2 of 2 - Option sale to close-call <sup>25</sup>
11/19/21	10.000	49.97	Various	1,520.00	...	-1,470.03	Total of 2 transactions

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Robinhood Securities LLC

Account 685320095

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DKNG 11/05/2021 CALL \$44.00 / CUSIP: / Symbol:							
2 transactions for 11/04/21. Total proceeds and cost reported to the IRS.							
	1.000	172.98	11/04/21	159.00	...	13.98	1 of 2 - Option sale to close-call <sup>25</sup>
	4.000	691.98	11/04/21	636.00	...	55.98	2 of 2 - Option sale to close-call <sup>25</sup>
11/04/21	5.000	864.96	Various	795.00	...	69.96	Total of 2 transactions
DKNG 11/05/2021 CALL \$46.00 / CUSIP: / Symbol:							
3 transactions for 11/04/21. Total proceeds and cost reported to the IRS.							
	1.000	124.98	11/04/21	97.00	...	27.98	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	249.98	11/04/21	194.00	...	55.98	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	249.98	11/04/21	194.00	...	55.98	3 of 3 - Option sale to close-call <sup>25</sup>
11/04/21	5.000	624.94	Various	485.00	...	139.94	Total of 3 transactions
DKNG 11/12/2021 PUT \$42.00 / CUSIP: / Symbol:							
7 transactions for 11/04/21. Total proceeds and cost reported to the IRS.							
	1.000	92.99	11/04/21	50.00	...	42.99	1 of 7 - Option sale to close-put <sup>25</sup>
	1.000	93.00	11/04/21	50.00	...	43.00	2 of 7 - Option sale to close-put <sup>25</sup>
	1.000	93.00	11/04/21	50.00	...	43.00	3 of 7 - Option sale to close-put <sup>25</sup>
	1.000	93.00	11/04/21	50.00	...	43.00	4 of 7 - Option sale to close-put <sup>25</sup>
	1.000	93.00	11/04/21	50.00	...	43.00	5 of 7 - Option sale to close-put <sup>25</sup>
	1.000	93.00	11/04/21	50.00	...	43.00	6 of 7 - Option sale to close-put <sup>25</sup>
	4.000	371.98	11/04/21	200.00	...	171.98	7 of 7 - Option sale to close-put <sup>25</sup>
11/04/21	10.000	929.97	Various	500.00	...	429.97	Total of 7 transactions
DOCU 10/22/2021 CALL \$300.00 / CUSIP: / Symbol:							
5 transactions for 10/20/21. Total proceeds and cost reported to the IRS.							
	2.000	7.99	10/19/21	18.00	...	-10.01	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	3.99	10/19/21	9.00	...	-5.01	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	4.00	10/19/21	9.00	...	-5.00	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	4.00	10/19/21	9.00	...	-5.00	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	4.00	10/19/21	9.00	...	-5.00	5 of 5 - Option sale to close-call <sup>25</sup>
10/20/21	6.000	23.98	Various	54.00	...	-30.02	Total of 5 transactions
DVAX 09/17/2021 CALL \$20.00 / CUSIP: / Symbol:							
09/08/21	5.000	169.98	09/03/21	250.00	...	-80.02	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ETSY 11/05/2021 PUT \$227.50 / CUSIP: / Symbol:							
11/04/21	1.000	27.98	11/03/21	740.00	...	-712.02	Option sale to close-put <sup>25</sup>
EXPE 11/12/2021 CALL \$195.00 / CUSIP: / Symbol:							
11/09/21	10.000	599.97	11/05/21	930.00	...	-330.03	Option sale to close-call <sup>25</sup>
EXPE 11/12/2021 CALL \$200.00 / CUSIP: / Symbol:							
2 transactions for 11/09/21. Total proceeds and cost reported to the IRS.							
	4.000	151.98	11/05/21	312.00	...	-160.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	37.98	11/05/21	78.00	...	-40.02	2 of 2 - Option sale to close-call <sup>25</sup>
11/09/21	5.000	189.96	Various	390.00	...	-200.04	Total of 2 transactions
EXPE 11/19/2021 CALL \$195.00 / CUSIP: / Symbol:							
11/08/21	10.000	3,699.96	11/05/21	1,580.00	...	2,119.96	Option sale to close-call <sup>25</sup>
FB 10/29/2021 CALL \$352.50 / CUSIP: / Symbol:							
6 transactions for 10/25/21. Total proceeds and cost reported to the IRS.							
	3.000	569.98	10/22/21	756.00	...	-186.02	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	189.98	10/22/21	252.00	...	-62.02	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	189.98	10/22/21	252.00	...	-62.02	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	195.98	10/22/21	252.00	...	-56.02	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	195.98	10/22/21	252.00	...	-56.02	5 of 6 - Option sale to close-call <sup>25</sup>
	1.000	195.98	10/22/21	252.00	...	-56.02	6 of 6 - Option sale to close-call <sup>25</sup>
10/25/21	8.000	1,537.88	Various	2,016.00	...	-478.12	Total of 6 transactions
10/29/21	2.000	0.00	10/22/21	504.00	...	-504.00	Option expiration- long call
	<b>Security total:</b>	<b>1,537.88</b>		<b>2,520.00</b>	...	<b>-982.12</b>	
FDX 12/10/2021 CALL \$250.00 / CUSIP: / Symbol:							
11/04/21	2.000	889.98	11/03/21	596.00	...	293.98	Option sale to close-call <sup>25</sup>
FSLY 11/12/2021 CALL \$53.00 / CUSIP: / Symbol:							
11/03/21	1.000	424.98	11/02/21	240.00	...	184.98	Option sale to close-call <sup>25</sup>
11/04/21	1.000	489.98	11/02/21	240.00	...	249.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>914.96</b>		<b>480.00</b>	...	<b>434.96</b>	

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FSLY 11/19/2021 CALL \$60.00 / CUSIP: / Symbol:							
11/01/21	5.000	719.98	11/01/21	765.00	...	-45.02	Option sale to close-call <sup>25</sup>
FSR 02/26/2021 CALL \$25.00 / CUSIP: / Symbol:							
02/25/21	10.000	1,089.97	02/25/21	1,680.00	...	-590.03	Option sale to close-call <sup>25</sup>
FUBO 01/08/2021 CALL \$37.00 / CUSIP: / Symbol:							
01/04/21	3.000	131.98	12/30/20	855.00	...	-723.02	Option sale to close-call <sup>25</sup>
FUBO 10/08/2021 CALL \$28.00 / CUSIP: / Symbol:							
09/30/21	5.000	79.98	09/24/21	290.00	...	-210.02	Option sale to close-call <sup>25</sup>
FUBO 11/12/2021 CALL \$38.00 / CUSIP: / Symbol:							
2 transactions for 11/09/21. Total proceeds and cost reported to the IRS.							
	2.000	245.98	11/03/21	278.00	...	-32.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	123.98	11/03/21	139.00	...	-15.02	2 of 2 - Option sale to close-call <sup>25</sup>
11/09/21	3.000	369.96	Various	417.00	...	-47.04	Total of 2 transactions
11/12/21	2.000	0.00	11/03/21	278.00	...	-278.00	Option expiration- long call
	<b>Security total:</b>	<b>369.96</b>		<b>695.00</b>	...	<b>-325.04</b>	
GEVO 02/19/2021 CALL \$12.50 / CUSIP: / Symbol:							
2 transactions for 02/02/21. Total proceeds and cost reported to the IRS.							
	1.000	169.99	01/29/21	215.00	...	-45.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	169.99	01/29/21	215.00	...	-45.01	2 of 2 - Option sale to close-call <sup>25</sup>
02/02/21	2.000	339.98	Various	430.00	...	-90.02	Total of 2 transactions
GEVO 03/19/2021 CALL \$12.50 / CUSIP: / Symbol:							
2 transactions for 02/19/21. Total proceeds and cost reported to the IRS.							
	5.000	1,304.96	02/18/21	975.00	...	329.96	1 of 2 - Option sale to close-call <sup>25</sup>
	5.000	1,304.96	02/18/21	975.00	...	329.96	2 of 2 - Option sale to close-call <sup>25</sup>
02/19/21	10.000	2,609.92	Various	1,950.00	...	659.92	Total of 2 transactions
03/03/21	10.000	289.97	02/23/21	1,500.00	...	-1,210.03	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>2,899.89</b>		<b>3,450.00</b>	...	<b>-550.11</b>	
GEVO 03/19/2021 PUT \$12.50 / CUSIP: / Symbol:							
02/23/21	5.000	1,599.95	02/23/21	1,850.00	...	-250.05	Option sale to close-put <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GME 03/19/2021 PUT \$125.00 / CUSIP: / Symbol:							
03/11/21	1.000	929.98	03/10/21	1,100.00	...	-170.02	Option sale to close-put <sup>25</sup>
GME 11/05/2021 CALL \$205.00 / CUSIP: / Symbol:							
2 transactions for 11/02/21. Total proceeds and cost reported to the IRS.							
	1.000	534.98	11/02/21	281.00	...	253.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	534.98	11/02/21	281.00	...	253.98	2 of 2 - Option sale to close-call <sup>25</sup>
11/02/21	2.000	1,069.96	Various	562.00	...	507.96	Total of 2 transactions
GME 11/05/2021 CALL \$210.00 / CUSIP: / Symbol:							
5 transactions for 11/01/21. Total proceeds and cost reported to the IRS.							
	1.000	664.99	11/01/21	590.00	...	74.99	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	499.99	11/01/21	400.00	...	99.99	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	664.99	11/01/21	465.00	...	199.99	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	499.99	11/01/21	241.00	...	258.99	4 of 5 - Option sale to close-call <sup>25</sup>
	2.000	799.98	11/01/21	392.00	...	407.98	5 of 5 - Option sale to close-call <sup>25</sup>
11/01/21	6.000	3,129.94	Various	2,088.00	...	1,041.94	Total of 5 transactions
3 transactions for 11/02/21. Total proceeds and cost reported to the IRS.							
	1.000	994.99	11/02/21	865.01	...	129.98	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	994.99	11/02/21	650.01	...	344.98	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	1,989.98	11/02/21	960.00	...	1,029.98	3 of 3 - Option sale to close-call <sup>25</sup>
11/02/21	4.000	3,979.96	Various	2,475.02	...	1,504.94	Total of 3 transactions
2 transactions for 11/02/21. Total proceeds and cost reported to the IRS.							
	1.000	404.99	11/01/21	465.00	60.01 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	404.99	11/01/21	680.00	275.01 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
11/02/21	2.000	809.98	Various	1,145.00	335.02 W	0.00	Total of 2 transactions
2 transactions for 11/03/21. Total proceeds and cost reported to the IRS.							
	1.000	1,499.99	11/02/21	765.00	...	734.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	1,499.98	11/02/21	645.00	...	854.98	2 of 2 - Option sale to close-call <sup>25</sup>
11/03/21	2.000	2,999.97	Various	1,410.00	...	1,589.97	Total of 2 transactions
	<b>Security total:</b>	<b>10,919.85</b>		<b>7,118.02</b>	<b>335.02 W</b>	<b>4,136.85</b>	
GME 11/05/2021 CALL \$212.50 / CUSIP: / Symbol:							
11/03/21	1.000	2,044.97	11/02/21	730.00	...	1,314.97	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
GME 11/05/2021 CALL \$230.00 / CUSIP: / Symbol:							
11/03/21	1.000	2,349.97	11/03/21	940.00	...	1,409.97	Option sale to close-call <sup>25</sup>
GME 11/05/2021 CALL \$240.00 / CUSIP: / Symbol:							
2 transactions for 11/04/21. Total proceeds and cost reported to the IRS.							
	1.000	419.99	11/03/21	975.00	...	-555.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	419.99	11/03/21	380.00	...	39.99	2 of 2 - Option sale to close-call <sup>25</sup>
11/04/21	2.000	839.98	Various	1,355.00	...	-515.02	Total of 2 transactions
GME 11/05/2021 CALL \$250.00 / CUSIP: / Symbol:							
11/03/21	1.000	1,079.98	11/03/21	1,095.00	...	-15.02	Option sale to close-call <sup>25</sup>
GME 11/12/2021 CALL \$230.00 / CUSIP: / Symbol:							
11/08/21	1.000	809.98	11/04/21	1,550.01	...	-740.03	Option sale to close-call <sup>25</sup>
11/08/21	2.000	1,179.98	11/03/21	2,230.00	1,050.02 W	0.00	Option sale to close-call <sup>25</sup>
11/09/21	1.000	494.98	11/05/21	1,170.01	...	-675.03	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>2,484.94</b>		<b>4,950.02</b>	<b>1,050.02 W</b>	<b>-1,415.06</b>	
GME 11/12/2021 CALL \$240.00 / CUSIP: / Symbol:							
11/08/21	1.000	459.98	11/04/21	1,040.00	...	-580.02	Option sale to close-call <sup>25</sup>
GME 11/19/2021 CALL \$230.00 / CUSIP: / Symbol:							
11/15/21	2.000	699.98	11/09/21	1,260.00	560.02 W	0.00	Option sale to close-call <sup>25</sup>
11/16/21	2.000	265.98	11/12/21	1,022.02	...	-756.04	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>965.96</b>		<b>2,282.02</b>	<b>560.02 W</b>	<b>-756.04</b>	
GME 11/26/2021 CALL \$250.00 / CUSIP: / Symbol:							
11/19/21	1.000	784.98	11/19/21	455.00	...	329.98	Option sale to close-call <sup>25</sup>
GME 12/03/2021 CALL \$250.00 / CUSIP: / Symbol:							
11/19/21	2.000	1,489.98	11/18/21	1,260.00	...	229.98	Option sale to close-call <sup>25</sup>
GOOG 10/29/2021 CALL \$2,950.00 / CUSIP: / Symbol:							
10/27/21	1.000	274.98	10/26/21	1,040.00	...	-765.02	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HOOD 10/29/2021 CALL \$42.00 / CUSIP: / Symbol:							
4 transactions for 10/26/21. Total proceeds and cost reported to the IRS.							
	1.000	96.98	10/26/21	87.00	...	9.98	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	96.98	10/26/21	87.00	...	9.98	2 of 4 - Option sale to close-call <sup>25</sup>
	2.000	193.98	10/26/21	174.00	...	19.98	3 of 4 - Option sale to close-call <sup>25</sup>
	4.000	387.98	10/26/21	348.00	...	39.98	4 of 4 - Option sale to close-call <sup>25</sup>
10/26/21	8.000	775.92	Various	696.00	...	79.92	Total of 4 transactions
IBKR 12/17/2021 CALL \$80.00 / CUSIP: / Symbol:							
4 transactions for 11/22/21. Total proceeds and cost reported to the IRS.							
	4.000	279.99	11/05/21	360.00	...	-80.01	1 of 4 - Option sale to close-call <sup>25</sup>
	3.000	209.99	11/05/21	270.00	...	-60.01	2 of 4 - Option sale to close-call <sup>25</sup>
	2.000	139.99	11/05/21	180.00	...	-40.01	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	70.00	11/05/21	90.00	...	-20.00	4 of 4 - Option sale to close-call <sup>25</sup>
11/22/21	10.000	699.97	Various	900.00	...	-200.03	Total of 4 transactions
JD 12/03/2021 CALL \$88.00 / CUSIP: / Symbol:							
11/18/21	4.000	1,279.98	11/18/21	1,004.00	...	275.98	Option sale to close-call <sup>25</sup>
KALV 01/15/2021 PUT \$17.50 / CUSIP: / Symbol:							
2 transactions for 01/04/21. Total proceeds and cost reported to the IRS.							
	1.000	229.98	12/30/20	340.00	...	-110.02	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	249.98	12/30/20	340.00	...	-90.02	2 of 2 - Option sale to close-put <sup>25</sup>
01/04/21	2.000	479.96	Various	680.00	...	-200.04	Total of 2 transactions
KPLT 11/19/2021 CALL \$5.00 / CUSIP: / Symbol:							
2 transactions for 11/09/21. Total proceeds and cost reported to the IRS.							
	3.000	194.98	11/09/21	156.00	...	38.98	1 of 2 - Option sale to close-call <sup>25</sup>
	7.000	454.98	11/09/21	364.00	...	90.98	2 of 2 - Option sale to close-call <sup>25</sup>
11/09/21	10.000	649.96	Various	520.00	...	129.96	Total of 2 transactions
LCID 10/22/2021 CALL \$24.00 / CUSIP: / Symbol:							
5 transactions for 10/14/21. Total proceeds and cost reported to the IRS.							
	2.000	118.00	10/13/21	90.00	...	28.00	1 of 5 - Option sale to close-call <sup>25</sup>
	3.000	176.99	10/13/21	135.00	...	41.99	2 of 5 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
LCID 10/22/2021 CALL \$24.00 / CUSIP: / Symbol: (cont'd)							
	3.000	176.99	10/13/21	135.00	...	41.99	3 of 5 - Option sale to close-call <sup>25</sup>
	4.000	235.99	10/13/21	180.00	...	55.99	4 of 5 - Option sale to close-call <sup>25</sup>
	8.000	471.98	10/13/21	360.00	...	111.98	5 of 5 - Option sale to close-call <sup>25</sup>
10/14/21	20.000	1,179.95	Various	900.00	...	279.95	Total of 5 transactions
10/18/21	10.000	1,399.97	10/14/21	620.00	...	779.97	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>2,579.92</b>		<b>1,520.00</b>	...	<b>1,059.92</b>	
LCID 11/05/2021 CALL \$35.00 / CUSIP: / Symbol:							
10/28/21	5.000	2,399.97	10/28/21	1,090.00	...	1,309.97	Option sale to close-call <sup>25</sup>
LCID 11/05/2021 CALL \$37.00 / CUSIP: / Symbol:							
2 transactions for 11/03/21. Total proceeds and cost reported to the IRS.							
	1.000	132.98	11/03/21	108.00	...	24.98	1 of 2 - Option sale to close-call <sup>25</sup>
	4.000	531.98	11/03/21	432.00	...	99.98	2 of 2 - Option sale to close-call <sup>25</sup>
11/03/21	5.000	664.96	Various	540.00	...	124.96	Total of 2 transactions
LCID 11/05/2021 CALL \$40.00 / CUSIP: / Symbol:							
4 transactions for 10/29/21. Total proceeds and cost reported to the IRS.							
	2.000	789.99	10/28/21	780.00	...	9.99	1 of 4 - Option sale to close-call <sup>25</sup>
	2.000	789.99	10/28/21	714.00	...	75.99	2 of 4 - Option sale to close-call <sup>25</sup>
	2.000	789.99	10/28/21	662.00	...	127.99	3 of 4 - Option sale to close-call <sup>25</sup>
	2.000	789.99	10/28/21	358.00	...	431.99	4 of 4 - Option sale to close-call <sup>25</sup>
10/29/21	8.000	3,159.96	Various	2,514.00	...	645.96	Total of 4 transactions
2 transactions for 10/29/21. Total proceeds and cost reported to the IRS.							
	1.000	394.99	10/28/21	404.00	9.01 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	395.00	10/28/21	404.00	9.00 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
10/29/21	2.000	789.99	Various	808.00	18.01 W	0.00	Total of 2 transactions
3 transactions for 11/01/21. Total proceeds and cost reported to the IRS.							
	1.000	370.99	10/29/21	289.01	...	81.98	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	370.99	10/29/21	251.03	...	119.96	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	370.98	10/29/21	239.03	...	131.95	3 of 3 - Option sale to close-call <sup>25</sup>
11/01/21	3.000	1,112.96	Various	779.07	...	333.89	Total of 3 transactions

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LCID 11/05/2021 CALL \$40.00 / CUSIP: / Symbol: (cont'd)							
7 transactions for 11/01/21. Total proceeds and cost reported to the IRS.							
	1.000	369.99	10/29/21	445.00	75.01 W	0.00	1 of 7 - Option sale to close-call <sup>25</sup>
	1.000	369.99	10/29/21	509.00	139.01 W	0.00	2 of 7 - Option sale to close-call <sup>25</sup>
	1.000	369.99	10/29/21	529.01	159.02 W	0.00	3 of 7 - Option sale to close-call <sup>25</sup>
	1.000	369.99	10/29/21	529.01	159.02 W	0.00	4 of 7 - Option sale to close-call <sup>25</sup>
	1.000	370.00	10/29/21	509.01	139.01 W	0.00	5 of 7 - Option sale to close-call <sup>25</sup>
	1.000	370.99	10/29/21	373.02	2.03 W	0.00	6 of 7 - Option sale to close-call <sup>25</sup>
	1.000	370.99	10/29/21	408.02	37.03 W	0.00	7 of 7 - Option sale to close-call <sup>25</sup>
11/01/21	7.000	2,591.94	Various	3,302.07	710.13 W	0.00	Total of 7 transactions
3 transactions for 11/02/21. Total proceeds and cost reported to the IRS.							
	1.000	56.99	11/01/21	261.02	...	-204.03	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	56.99	11/01/21	159.00	...	-102.01	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	57.00	11/01/21	159.00	...	-102.00	3 of 3 - Option sale to close-call <sup>25</sup>
11/02/21	3.000	170.98	Various	579.02	...	-408.04	Total of 3 transactions
11/02/21	1.000	56.98	11/01/21	159.00	102.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>7,882.81</b>		<b>8,141.16</b>	<b>830.16 W</b>	<b>571.81</b>	
LCID 11/12/2021 CALL \$35.00 / CUSIP: / Symbol:							
2 transactions for 10/28/21. Total proceeds and cost reported to the IRS.							
	1.000	255.98	10/28/21	77.00	...	178.98	1 of 2 - Option sale to close-call <sup>25</sup>
	4.000	1,023.98	10/28/21	308.00	...	715.98	2 of 2 - Option sale to close-call <sup>25</sup>
10/28/21	5.000	1,279.96	Various	385.00	...	894.96	Total of 2 transactions
LCID 11/12/2021 PUT \$36.00 / CUSIP: / Symbol:							
11/05/21	10.000	1,319.97	11/05/21	1,390.00	...	-70.03	Option sale to close-put <sup>25</sup>
LCID 11/19/2021 CALL \$46.00 / CUSIP: / Symbol:							
11/11/21	5.000	1,799.98	11/11/21	1,825.00	25.02 W	0.00	Option sale to close-call <sup>25</sup>
3 transactions for 11/15/21. Total proceeds and cost reported to the IRS.							
	5.000	1,649.98	11/11/21	1,825.00	...	-175.02	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	277.00	11/12/21	218.00	...	59.00	2 of 3 - Option sale to close-call <sup>25</sup>
	4.000	1,107.98	11/12/21	872.02	...	235.96	3 of 3 - Option sale to close-call <sup>25</sup>
11/15/21	10.000	3,034.96	Various	2,915.02	...	119.94	Total of 3 transactions
	<b>Security total:</b>	<b>4,834.94</b>		<b>4,740.02</b>	<b>25.02 W</b>	<b>119.94</b>	

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Robinhood Securities LLC

Account 685320095

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LCID 11/19/2021 CALL \$47.00 / CUSIP: / Symbol:							
3 transactions for 11/08/21. Total proceeds and cost reported to the IRS.							
	1.000	569.98	11/08/21	375.00	...	194.98	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	1,129.98	11/08/21	750.00	...	379.98	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	1,129.98	11/08/21	750.00	...	379.98	3 of 3 - Option sale to close-call <sup>25</sup>
11/08/21	5.000	2,829.94	Various	1,875.00	...	954.94	Total of 3 transactions
LCID 11/19/2021 CALL \$48.00 / CUSIP: / Symbol:							
3 transactions for 11/11/21. Total proceeds and cost reported to the IRS.							
	2.000	549.99	11/10/21	674.02	...	-124.03	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	549.99	11/10/21	622.01	...	-72.02	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	275.00	11/10/21	311.01	...	-36.01	3 of 3 - Option sale to close-call <sup>25</sup>
11/11/21	5.000	1,374.98	Various	1,607.04	...	-232.06	Total of 3 transactions
3 transactions for 11/11/21. Total proceeds and cost reported to the IRS.							
	5.000	1,284.98	11/08/21	2,075.00	790.02 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	639.99	11/09/21	844.01	204.02 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	3.000	959.99	11/09/21	1,188.01	228.02 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
11/11/21	10.000	2,884.96	Various	4,107.02	1,222.06 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>4,259.94</b>		<b>5,714.06</b>	<b>1,222.06 W</b>	<b>-232.06</b>	
LCID 11/19/2021 CALL \$50.00 / CUSIP: / Symbol:							
3 transactions for 11/08/21. Total proceeds and cost reported to the IRS.							
	1.000	509.98	11/05/21	160.00	...	349.98	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	509.98	11/05/21	160.00	...	349.98	2 of 3 - Option sale to close-call <sup>25</sup>
	3.000	1,529.98	11/05/21	480.00	...	1,049.98	3 of 3 - Option sale to close-call <sup>25</sup>
11/08/21	5.000	2,549.94	Various	800.00	...	1,749.94	Total of 3 transactions
LCID 11/19/2021 PUT \$38.00 / CUSIP: / Symbol:							
11/16/21	5.000	54.98	11/15/21	300.00	...	-245.02	Option sale to close-put <sup>25</sup>
LCID 11/19/2021 PUT \$48.00 / CUSIP: / Symbol:							
11/17/21	10.000	889.97	11/16/21	930.00	...	-40.03	Option sale to close-put <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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LCID 11/26/2021 CALL \$45.00 / CUSIP: / Symbol:							
11/11/21	5.000	2,299.97	11/10/21	1,310.00	...	989.97	Option sale to close-call <sup>25</sup>
	2 transactions for 11/15/21. Total proceeds and cost reported to the IRS.						
	1.000	383.98	11/11/21	505.00	...	-121.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	383.98	11/11/21	505.00	...	-121.02	2 of 2 - Option sale to close-call <sup>25</sup>
11/15/21	2.000	767.96	Various	1,010.00	...	-242.04	Total of 2 transactions
	<b>Security total:</b>	<b>3,067.93</b>		<b>2,320.00</b>	...	<b>747.93</b>	
LCID 11/26/2021 CALL \$55.00 / CUSIP: / Symbol:							
11/19/21	3.000	410.98	11/19/21	465.00	...	-54.02	Option sale to close-call <sup>25</sup>
11/19/21	2.000	273.98	11/19/21	310.00	36.02 W	0.00	Option sale to close-call <sup>25</sup>
11/22/21	2.000	619.98	11/22/21	558.02	...	61.96	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,304.94</b>		<b>1,333.02</b>	<b>36.02 W</b>	<b>7.94</b>	
LCID 11/26/2021 PUT \$50.00 / CUSIP: / Symbol:							
	3 transactions for 11/17/21. Total proceeds and cost reported to the IRS.						
	3.000	974.98	11/16/21	810.00	...	164.98	1 of 3 - Option sale to close-put <sup>25</sup>
	2.000	739.99	11/16/21	540.00	...	199.99	2 of 3 - Option sale to close-put <sup>25</sup>
	3.000	1,109.99	11/16/21	636.00	...	473.99	3 of 3 - Option sale to close-put <sup>25</sup>
11/17/21	8.000	2,824.96	Various	1,986.00	...	838.96	Total of 3 transactions
LGHL 11/19/2021 CALL \$3.00 / CUSIP: / Symbol:							
	2 transactions for 11/05/21. Total proceeds and cost reported to the IRS.						
	5.000	49.98	11/04/21	45.00	...	4.98	1 of 2 - Option sale to close-call <sup>25</sup>
	5.000	49.98	11/04/21	45.00	...	4.98	2 of 2 - Option sale to close-call <sup>25</sup>
11/05/21	10.000	99.96	Various	90.00	...	9.96	Total of 2 transactions
MRNA 11/12/2021 CALL \$310.00 / CUSIP: / Symbol:							
11/05/21	2.000	199.98	11/04/21	1,420.00	...	-1,220.02	Option sale to close-call <sup>25</sup>
MSFT 10/29/2021 CALL \$312.50 / CUSIP: / Symbol:							
	4 transactions for 10/26/21. Total proceeds and cost reported to the IRS.						
	1.000	288.00	10/25/21	266.00	...	22.00	1 of 4 - Option sale to close-call <sup>25</sup>
	4.000	1,151.98	10/25/21	1,064.00	...	87.98	2 of 4 - Option sale to close-call <sup>25</sup>
	2.000	711.98	10/26/21	498.00	...	213.98	3 of 4 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
MSFT 10/29/2021 CALL \$312.50 / CUSIP: / Symbol: (cont'd)							
	3.000	1,067.98	10/26/21	747.00	...	320.98	4 of 4 - Option sale to close-call <sup>25</sup>
10/26/21	10.000	3,219.94	Various	2,575.00	...	644.94	Total of 4 transactions
10/27/21	5.000	3,049.97	10/26/21	1,785.00	...	1,264.97	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>6,269.91</b>		<b>4,360.00</b>	...	<b>1,909.91</b>	
MSFT 10/29/2021 CALL \$325.00 / CUSIP: / Symbol:							
2 transactions for 10/27/21. Total proceeds and cost reported to the IRS.							
	3.000	458.98	10/27/21	525.00	...	-66.02	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	305.98	10/27/21	350.00	...	-44.02	2 of 2 - Option sale to close-call <sup>25</sup>
10/27/21	5.000	764.96	Various	875.00	...	-110.04	Total of 2 transactions
MSTR 06/18/2021 PUT \$540.00 / CUSIP: / Symbol:							
06/16/21	1.000	224.98	06/14/21	700.00	...	-475.02	Option sale to close-put <sup>25</sup>
NEON 11/19/2021 CALL \$12.50 / CUSIP: / Symbol:							
11/15/21	3.000	29.98	11/02/21	105.00	...	-75.02	Option sale to close-call <sup>25</sup>
11/18/21	7.000	62.98	11/02/21	245.00	...	-182.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>92.96</b>		<b>350.00</b>	...	<b>-257.04</b>	
NFLX 10/22/2021 PUT \$560.00 / CUSIP: / Symbol:							
10/20/21	5.000	74.98	10/18/21	490.00	...	-415.02	Option sale to close-put <sup>25</sup>
NIO 03/12/2021 CALL \$60.00 / CUSIP: / Symbol:							
02/25/21	4.000	587.98	02/22/21	1,080.00	...	-492.02	Option sale to close-call <sup>25</sup>
NIO 03/19/2021 CALL \$45.00 / CUSIP: / Symbol:							
03/11/21	3.000	641.98	03/10/21	441.00	...	200.98	Option sale to close-call <sup>25</sup>
NIO 11/12/2021 CALL \$45.00 / CUSIP: / Symbol:							
5 transactions for 11/09/21. Total proceeds and cost reported to the IRS.							
	4.000	335.98	11/08/21	468.00	...	-132.02	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	83.98	11/08/21	117.00	...	-33.02	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	83.98	11/08/21	117.00	...	-33.02	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	83.98	11/08/21	117.00	...	-33.02	4 of 5 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NIO 11/12/2021 CALL \$45.00 / CUSIP: / Symbol: (cont'd)							
	1.000	83.98	11/08/21	117.00	...	-33.02	5 of 5 - Option sale to close-call <sup>25</sup>
11/09/21	8.000	671.90	Various	936.00	...	-264.10	Total of 5 transactions
11/10/21	2.000	61.98	11/08/21	234.00	...	-172.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>733.88</b>		<b>1,170.00</b>	...	<b>-436.12</b>	
NNDM 03/19/2021 CALL \$12.50 / CUSIP: / Symbol:							
5 transactions for 03/16/21. Total proceeds and cost reported to the IRS.							
	4.000	103.99	03/11/21	160.00	...	-56.01	1 of 5 - Option sale to close-call <sup>25</sup>
	3.000	77.98	03/11/21	120.00	...	-42.02	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	26.00	03/11/21	40.00	...	-14.00	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	26.00	03/11/21	40.00	...	-14.00	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	26.00	03/11/21	40.00	...	-14.00	5 of 5 - Option sale to close-call <sup>25</sup>
03/16/21	10.000	259.97	Various	400.00	...	-140.03	Total of 5 transactions
NNDM 03/19/2021 CALL \$15.00 / CUSIP: / Symbol:							
2 transactions for 03/04/21. Total proceeds and cost reported to the IRS.							
	8.000	95.98	02/23/21	576.00	...	-480.02	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	23.99	02/23/21	144.00	...	-120.01	2 of 2 - Option sale to close-call <sup>25</sup>
03/04/21	10.000	119.97	Various	720.00	...	-600.03	Total of 2 transactions
NVAX 02/19/2021 PUT \$100.00 / CUSIP: / Symbol:							
5 transactions for 02/02/21. Total proceeds and cost reported to the IRS.							
	1.000	74.98	01/29/21	161.02	...	-86.04	1 of 5 - Option sale to close-put <sup>25</sup>
	1.000	74.98	01/29/21	161.02	...	-86.04	2 of 5 - Option sale to close-put <sup>25</sup>
	1.000	74.98	01/29/21	161.02	...	-86.04	3 of 5 - Option sale to close-put <sup>25</sup>
	1.000	74.98	01/29/21	161.01	...	-86.03	4 of 5 - Option sale to close-put <sup>25</sup>
	1.000	74.98	01/29/21	161.01	...	-86.03	5 of 5 - Option sale to close-put <sup>25</sup>
02/02/21	5.000	374.90	Various	805.08	...	-430.18	Total of 5 transactions
4 transactions for 02/02/21. Total proceeds and cost reported to the IRS.							
	1.000	74.98	01/29/21	118.00	43.02 W	0.00	1 of 4 - Option sale to close-put <sup>25</sup>
	1.000	74.98	01/29/21	118.00	43.02 W	0.00	2 of 4 - Option sale to close-put <sup>25</sup>
	1.000	74.98	01/29/21	118.00	43.02 W	0.00	3 of 4 - Option sale to close-put <sup>25</sup>
	2.000	149.98	01/29/21	236.00	86.02 W	0.00	4 of 4 - Option sale to close-put <sup>25</sup>
02/02/21	5.000	374.92	Various	590.00	215.08 W	0.00	Total of 4 transactions

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Robinhood Securities LLC

Account 685320095

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NVAX 02/19/2021 PUT \$100.00 / CUSIP: / Symbol: (cont'd)							
<b>Security total:</b>		<b>749.82</b>		<b>1,395.08</b>	<b>215.08 W</b>	<b>-430.18</b>	
NVAX 02/19/2021 PUT \$120.00 / CUSIP: / Symbol:							
02/02/21	5.000	454.97	01/29/21	1,070.00	...	-615.03	Option sale to close-put <sup>25</sup>
NVDA 10/08/2021 CALL \$210.00 / CUSIP: / Symbol:							
3 transactions for 10/05/21. Total proceeds and cost reported to the IRS.							
	1.000	68.00	10/04/21	58.00	...	10.00	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	135.99	10/04/21	116.00	...	19.99	2 of 3 - Option sale to close-call <sup>25</sup>
	7.000	475.98	10/04/21	406.00	...	69.98	3 of 3 - Option sale to close-call <sup>25</sup>
10/05/21	10.000	679.97	Various	580.00	...	99.97	Total of 3 transactions
NVDA 10/29/2021 CALL \$232.50 / CUSIP: / Symbol:							
6 transactions for 10/25/21. Total proceeds and cost reported to the IRS.							
	3.000	770.98	10/22/21	582.01	...	188.97	1 of 6 - Option sale to close-call <sup>25</sup>
	2.000	423.99	10/25/21	550.03	...	-126.04	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	211.99	10/25/21	275.02	...	-63.03	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	211.98	10/25/21	262.01	...	-50.03	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	212.00	10/25/21	262.00	...	-50.00	5 of 6 - Option sale to close-call <sup>25</sup>
	1.000	208.98	10/25/21	254.00	...	-45.02	6 of 6 - Option sale to close-call <sup>25</sup>
10/25/21	9.000	2,039.92	Various	2,185.07	...	-145.15	Total of 6 transactions
3 transactions for 10/25/21. Total proceeds and cost reported to the IRS.							
	10.000	2,209.96	10/22/21	2,530.00	320.04 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	3.000	626.98	10/25/21	762.02	90.03 W	-45.01	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	208.98	10/25/21	254.00	45.02 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
10/25/21	14.000	3,045.92	Various	3,546.02	455.09 W	-45.01	Total of 3 transactions
<b>Security total:</b>		<b>5,085.84</b>		<b>5,731.09</b>	<b>455.09 W</b>	<b>-190.16</b>	
NVDA 10/29/2021 CALL \$250.00 / CUSIP: / Symbol:							
4 transactions for 10/27/21. Total proceeds and cost reported to the IRS.							
	2.000	553.98	10/27/21	578.00	...	-24.02	1 of 4 - Option sale to close-call <sup>25</sup>
	3.000	854.98	10/27/21	867.00	...	-12.02	2 of 4 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NVDA 10/29/2021 CALL \$250.00 / CUSIP: / Symbol: (cont'd)							
	1.000	313.99	10/27/21	270.00	...	43.99	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	313.99	10/27/21	270.00	...	43.99	4 of 4 - Option sale to close-call <sup>25</sup>
10/27/21	7.000	2,036.94	Various	1,985.00	...	51.94	Total of 4 transactions
NVDA 10/29/2021 PUT \$227.50 / CUSIP: / Symbol:							
10/25/21	5.000	1,089.98	10/25/21	1,125.00	...	-35.02	Option sale to close-put <sup>25</sup>
NVDA 10/29/2021 PUT \$230.00 / CUSIP: / Symbol:							
10/25/21	5.000	1,024.98	10/25/21	1,135.00	...	-110.02	Option sale to close-put <sup>25</sup>
NVDA 10/29/2021 PUT \$245.00 / CUSIP: / Symbol:							
10/26/21	5.000	1,664.98	10/26/21	1,700.00	...	-35.02	Option sale to close-put <sup>25</sup>
NVDA 11/05/2021 CALL \$310.00 / CUSIP: / Symbol:							
2 transactions for 11/04/21. Total proceeds and cost reported to the IRS.							
	1.000	484.98	11/04/21	420.02	...	64.96	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	484.98	11/04/21	420.00	...	64.98	2 of 2 - Option sale to close-call <sup>25</sup>
11/04/21	2.000	969.96	Various	840.02	...	129.94	Total of 2 transactions
11/04/21	1.000	494.98	11/04/21	495.00	0.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,464.94</b>		<b>1,335.02</b>	<b>0.02 W</b>	<b>129.94</b>	
NVDA 11/12/2021 CALL \$305.00 / CUSIP: / Symbol:							
11/04/21	2.000	1,859.98	11/04/21	1,620.00	...	239.98	Option sale to close-call <sup>25</sup>
NVDA 11/12/2021 CALL \$312.50 / CUSIP: / Symbol:							
11/05/21	1.000	1,264.98	11/05/21	1,143.04	...	121.94	Option sale to close-call <sup>25</sup>
2 transactions for 11/05/21. Total proceeds and cost reported to the IRS.							
	1.000	971.98	11/05/21	1,085.02	113.04 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	1,169.98	11/05/21	1,225.00	55.02 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
11/05/21	2.000	2,141.96	Various	2,310.02	168.06 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>3,406.94</b>		<b>3,453.06</b>	<b>168.06 W</b>	<b>121.94</b>	

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NVDA 11/12/2021 CALL \$325.00 / CUSIP: / Symbol:							
2 transactions for 11/11/21. Total proceeds and cost reported to the IRS.							
	2.000	89.99	11/09/21	1,060.00	...	-970.01	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	89.99	11/09/21	476.00	...	-386.01	2 of 2 - Option sale to close-call <sup>25</sup>
11/11/21	4.000	179.98	Various	1,536.00	...	-1,356.02	Total of 2 transactions
NVDA 11/19/2021 CALL \$305.00 / CUSIP: / Symbol:							
2 transactions for 11/16/21. Total proceeds and cost reported to the IRS.							
	2.000	1,459.98	11/10/21	2,120.00	660.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	724.98	11/12/21	1,211.01	486.03 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
11/16/21	3.000	2,184.96	Various	3,331.01	1,146.05 W	0.00	Total of 2 transactions
2 transactions for 11/17/21. Total proceeds and cost reported to the IRS.							
	1.000	549.99	11/15/21	1,061.03	...	-511.04	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	549.99	11/17/21	1,075.03	...	-525.04	2 of 2 - Option sale to close-call <sup>25</sup>
11/17/21	2.000	1,099.98	Various	2,136.06	...	-1,036.08	Total of 2 transactions
11/17/21	1.000	639.98	11/15/21	1,125.01	485.03 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>3,924.92</b>		<b>6,592.08</b>	<b>1,631.08 W</b>	<b>-1,036.08</b>	
NVDA 11/19/2021 CALL \$310.00 / CUSIP: / Symbol:							
11/15/21	2.000	1,119.98	11/10/21	1,560.00	...	-440.02	Option sale to close-call <sup>25</sup>
NVDA 11/26/2021 CALL \$315.00 / CUSIP: / Symbol:							
11/18/21	2.000	3,319.97	11/10/21	1,300.00	...	2,019.97	Option sale to close-call <sup>25</sup>
NVDA 11/26/2021 CALL \$325.00 / CUSIP: / Symbol:							
4 transactions for 11/19/21. Total proceeds and cost reported to the IRS.							
	1.000	934.98	11/18/21	815.00	...	119.98	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	934.98	11/18/21	815.00	...	119.98	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	929.98	11/18/21	611.00	...	318.98	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	899.98	11/19/21	745.02	...	154.96	4 of 4 - Option sale to close-call <sup>25</sup>
11/19/21	4.000	3,699.92	Various	2,986.02	...	713.90	Total of 4 transactions
11/19/21	1.000	694.98	11/19/21	720.00	25.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>4,394.90</b>		<b>3,706.02</b>	<b>25.02 W</b>	<b>713.90</b>	

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Robinhood Securities LLC

Account 685320095

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NVDA 11/26/2021 CALL \$335.00 / CUSIP: / Symbol:							
2 transactions for 11/18/21. Total proceeds and cost reported to the IRS.							
	1.000	599.99	11/18/21	470.00	...	129.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	599.99	11/18/21	469.00	...	130.99	2 of 2 - Option sale to close-call <sup>25</sup>
11/18/21	2.000	1,199.98	Various	939.00	...	260.98	Total of 2 transactions
NVDA 11/26/2021 CALL \$340.00 / CUSIP: / Symbol:							
11/24/21	5.000	134.98	11/22/21	780.00	...	-645.02	Option sale to close-call <sup>25</sup>
NVDA 11/26/2021 CALL \$350.00 / CUSIP: / Symbol:							
11/22/21	1.000	454.98	11/22/21	460.00	5.02 W	0.00	Option sale to close-call <sup>25</sup>
11/24/21	1.000	11.98	11/22/21	931.04	...	-919.06	Option sale to close-call <sup>25</sup>
11/24/21	1.000	11.98	11/22/21	474.02	462.04 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>478.94</b>		<b>1,865.06</b>	<b>467.06 W</b>	<b>-919.06</b>	
NVDA 11/26/2021 PUT \$260.00 / CUSIP: / Symbol:							
11/18/21	2.000	33.98	11/17/21	538.00	...	-504.02	Option sale to close-put <sup>25</sup>
NVDA 12/03/2021 CALL \$350.00 / CUSIP: / Symbol:							
4 transactions for 11/29/21. Total proceeds and cost reported to the IRS.							
	1.000	206.00	11/22/21	590.00	384.00 W	0.00	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	206.00	11/22/21	590.00	384.00 W	0.00	2 of 4 - Option sale to close-call <sup>25</sup>
	2.000	411.99	11/22/21	650.00	238.01 W	0.00	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	205.99	11/23/21	211.00	5.01 W	0.00	4 of 4 - Option sale to close-call <sup>25</sup>
11/29/21	5.000	1,029.98	Various	2,041.00	1,011.02 W	0.00	Total of 4 transactions
4 transactions for 11/30/21. Total proceeds and cost reported to the IRS.							
	1.000	36.00	11/23/21	595.00	...	-559.00	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	35.99	11/26/21	512.00	...	-476.01	2 of 4 - Option sale to close-call <sup>25</sup>
	2.000	71.99	11/26/21	494.01	...	-422.02	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	36.00	11/26/21	133.01	...	-97.01	4 of 4 - Option sale to close-call <sup>25</sup>
11/30/21	5.000	179.98	Various	1,734.02	...	-1,554.04	Total of 4 transactions
	<b>Security total:</b>	<b>1,209.96</b>		<b>3,775.02</b>	<b>1,011.02 W</b>	<b>-1,554.04</b>	

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NVDA 12/17/2021 PUT \$310.00 / CUSIP: / Symbol:							
2 transactions for 12/07/21. Total proceeds and cost reported to the IRS.							
	1.000	619.99	12/07/21	620.00	...	-0.01	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	619.99	12/07/21	620.00	...	-0.01	2 of 2 - Option sale to close-put <sup>25</sup>
12/07/21	2.000	1,239.98	Various	1,240.00	...	-0.02	Total of 2 transactions
OCGN 11/05/2021 CALL \$14.00 / CUSIP: / Symbol:							
11/02/21	3.000	980.98	11/02/21	555.00	...	425.98	Option sale to close-call <sup>25</sup>
11/03/21	2.000	397.98	11/02/21	370.00	...	27.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,378.96</b>		<b>925.00</b>	...	<b>453.96</b>	
OCGN 11/05/2021 CALL \$22.00 / CUSIP: / Symbol:							
3 transactions for 11/03/21. Total proceeds and cost reported to the IRS.							
	1.000	135.99	11/02/21	101.00	...	34.99	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	136.00	11/02/21	101.00	...	35.00	2 of 3 - Option sale to close-call <sup>25</sup>
	3.000	407.99	11/02/21	303.00	...	104.99	3 of 3 - Option sale to close-call <sup>25</sup>
11/03/21	5.000	679.98	Various	505.00	...	174.98	Total of 3 transactions
OGI 03/19/2021 CALL \$4.00 / CUSIP: / Symbol:							
03/02/21	10.000	359.97	02/18/21	700.00	...	-340.03	Option sale to close-call <sup>25</sup>
OKTA 09/03/2021 PUT \$230.00 / CUSIP: / Symbol:							
2 transactions for 09/02/21. Total proceeds and cost reported to the IRS.							
	6.000	59.98	09/01/21	216.00	...	-156.02	1 of 2 - Option sale to close-put <sup>25</sup>
	4.000	39.98	09/01/21	144.00	...	-104.02	2 of 2 - Option sale to close-put <sup>25</sup>
09/02/21	10.000	99.96	Various	360.00	...	-260.04	Total of 2 transactions
OSTK 02/26/2021 CALL \$100.00 / CUSIP: / Symbol:							
2 transactions for 02/24/21. Total proceeds and cost reported to the IRS.							
	1.000	32.99	02/23/21	220.00	...	-187.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	32.99	02/23/21	220.00	...	-187.01	2 of 2 - Option sale to close-call <sup>25</sup>
02/24/21	2.000	65.98	Various	440.00	...	-374.02	Total of 2 transactions

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Robinhood Securities LLC

Account 685320095

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PLTR 02/26/2021 CALL \$28.00 / CUSIP: / Symbol:							
7 transactions for 02/23/21. Total proceeds and cost reported to the IRS.							
	6.000	401.98	02/23/21	510.00	...	-108.02	1 of 7 - Option sale to close-call <sup>25</sup>
	2.000	133.98	02/23/21	170.00	...	-36.02	2 of 7 - Option sale to close-call <sup>25</sup>
	2.000	133.98	02/23/21	170.00	...	-36.02	3 of 7 - Option sale to close-call <sup>25</sup>
	1.000	73.00	02/23/21	71.00	...	2.00	4 of 7 - Option sale to close-call <sup>25</sup>
	1.000	73.00	02/23/21	71.00	...	2.00	5 of 7 - Option sale to close-call <sup>25</sup>
	1.000	73.00	02/23/21	71.00	...	2.00	6 of 7 - Option sale to close-call <sup>25</sup>
	7.000	510.96	02/23/21	497.00	...	13.96	7 of 7 - Option sale to close-call <sup>25</sup>
02/23/21	20.000	1,399.90	Various	1,560.00	...	-160.10	Total of 7 transactions
PLTR 02/26/2021 CALL \$28.50 / CUSIP: / Symbol:							
4 transactions for 02/25/21. Total proceeds and cost reported to the IRS.							
	4.000	63.99	02/23/21	316.00	...	-252.01	1 of 4 - Option sale to close-call <sup>25</sup>
	3.000	47.99	02/23/21	237.00	...	-189.01	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	16.00	02/23/21	142.02	...	-126.02	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	15.99	02/23/21	79.00	...	-63.01	4 of 4 - Option sale to close-call <sup>25</sup>
02/25/21	9.000	143.97	Various	774.02	...	-630.05	Total of 4 transactions
02/25/21	1.000	15.98	02/23/21	79.00	63.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>159.95</b>		<b>853.02</b>	<b>63.02 W</b>	<b>-630.05</b>	
PLTR 11/12/2021 CALL \$28.00 / CUSIP: / Symbol:							
3 transactions for 11/12/21. Total proceeds and cost reported to the IRS.							
	5.000	0.00	11/08/21	440.00	...	-440.00	1 of 3 - Option expiration- long call
	3.000	0.00	11/08/21	264.00	...	-264.00	2 of 3 - Option expiration- long call
	2.000	0.00	11/08/21	176.00	...	-176.00	3 of 3 - Option expiration- long call
11/12/21	10.000	0.00	Various	880.00	...	-880.00	Total of 3 transactions
PYPL 12/31/2021 CALL \$225.00 / CUSIP: / Symbol:							
11/17/21	4.000	1,239.98	11/16/21	2,260.00	...	-1,020.02	Option sale to close-call <sup>25</sup>
REAL 11/19/2021 CALL \$17.50 / CUSIP: / Symbol:							
11/15/21	10.000	299.97	11/09/21	470.00	...	-170.03	Option sale to close-call <sup>25</sup>
RIDE 03/19/2021 CALL \$15.00 / CUSIP: / Symbol:							
03/15/21	3.000	590.98	03/12/21	456.00	...	134.98	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RIG 11/12/2021 CALL \$4.50 / CUSIP: / Symbol:							
8 transactions for 11/12/21. Total proceeds and cost reported to the IRS.							
	37.000	0.00	11/02/21	148.00	...	-148.00	1 of 8 - Option expiration- long call
	4.000	0.00	11/02/21	16.00	...	-16.00	2 of 8 - Option expiration- long call
	4.000	0.00	11/02/21	12.00	...	-12.00	3 of 8 - Option expiration- long call
	1.000	0.00	11/02/21	4.00	...	-4.00	4 of 8 - Option expiration- long call
	1.000	0.00	11/02/21	4.00	...	-4.00	5 of 8 - Option expiration- long call
	1.000	0.00	11/02/21	4.00	...	-4.00	6 of 8 - Option expiration- long call
	1.000	0.00	11/02/21	4.00	...	-4.00	7 of 8 - Option expiration- long call
	1.000	0.00	11/02/21	4.00	...	-4.00	8 of 8 - Option expiration- long call
11/12/21	50.000	0.00	Various	196.00	...	-196.00	Total of 8 transactions
RIOT 11/19/2021 CALL \$40.00 / CUSIP: / Symbol:							
3 transactions for 11/08/21. Total proceeds and cost reported to the IRS.							
	1.000	320.00	11/08/21	172.00	...	148.00	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	639.99	11/08/21	344.00	...	295.99	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	639.99	11/08/21	344.00	...	295.99	3 of 3 - Option sale to close-call <sup>25</sup>
11/08/21	5.000	1,599.98	Various	860.00	...	739.98	Total of 3 transactions
5 transactions for 11/09/21. Total proceeds and cost reported to the IRS.							
	1.000	504.98	11/08/21	202.00	...	302.98	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	504.99	11/08/21	202.00	...	302.99	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	504.99	11/08/21	202.00	...	302.99	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	504.99	11/08/21	202.00	...	302.99	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	505.00	11/08/21	202.00	...	303.00	5 of 5 - Option sale to close-call <sup>25</sup>
11/09/21	5.000	2,524.95	Various	1,010.00	...	1,514.95	Total of 5 transactions
	<b>Security total:</b>	<b>4,124.93</b>		<b>1,870.00</b>	...	<b>2,254.93</b>	
RIOT 11/26/2021 CALL \$48.00 / CUSIP: / Symbol:							
2 transactions for 11/19/21. Total proceeds and cost reported to the IRS.							
	1.000	33.98	11/15/21	286.00	...	-252.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	33.98	11/15/21	286.00	...	-252.02	2 of 2 - Option sale to close-call <sup>25</sup>
11/19/21	2.000	67.96	Various	572.00	...	-504.04	Total of 2 transactions

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Robinhood Securities LLC

Account 685320095

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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RKL B 11/19/2021 CALL \$18.00 / CUSIP: / Symbol:							
2 transactions for 11/15/21. Total proceeds and cost reported to the IRS.							
	3.000	74.98	11/04/21	285.00	...	-210.02	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	49.98	11/04/21	190.00	...	-140.02	2 of 2 - Option sale to close-call <sup>25</sup>
11/15/21	5.000	124.96	Various	475.00	...	-350.04	Total of 2 transactions
ROKU 11/05/2021 CALL \$325.00 / CUSIP: / Symbol:							
11/04/21	1.000	29.98	11/03/21	850.00	...	-820.02	Option sale to close-call <sup>25</sup>
SAVA 09/10/2021 CALL \$70.00 / CUSIP: / Symbol:							
08/27/21	1.000	1,029.98	08/27/21	700.00	...	329.98	Option sale to close-call <sup>25</sup>
SAVA 09/10/2021 CALL \$75.00 / CUSIP: / Symbol:							
09/07/21	1.000	9.98	08/30/21	945.02	...	-935.04	Option sale to close-call <sup>25</sup>
09/07/21	1.000	9.98	08/27/21	670.00	660.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>19.96</b>		<b>1,615.02</b>	<b>660.02 W</b>	<b>-935.04</b>	
SHOP 12/10/2021 CALL \$1,700.00 / CUSIP: / Symbol:							
11/26/21	1.000	4,999.96	11/23/21	3,450.00	...	1,549.96	Option sale to close-call <sup>25</sup>
2 transactions for 11/30/21. Total proceeds and cost reported to the IRS.							
	1.000	2,649.97	11/26/21	4,130.03	1,480.06 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	2,809.97	11/26/21	4,030.00	1,220.03 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
11/30/21	2.000	5,459.94	Various	8,160.03	2,700.09 W	0.00	Total of 2 transactions
2 transactions for 12/03/21. Total proceeds and cost reported to the IRS.							
	1.000	139.99	11/29/21	2,820.06	...	-2,680.07	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	139.99	11/30/21	990.00	...	-850.01	2 of 2 - Option sale to close-call <sup>25</sup>
12/03/21	2.000	279.98	Various	3,810.06	...	-3,530.08	Total of 2 transactions
	<b>Security total:</b>	<b>10,739.88</b>		<b>15,420.09</b>	<b>2,700.09 W</b>	<b>-1,980.12</b>	
SNAP 11/26/2021 CALL \$53.00 / CUSIP: / Symbol:							
11/22/21	10.000	219.97	11/19/21	640.00	...	-420.03	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SNDL 03/05/2021 CALL \$2.00 / CUSIP: / Symbol:							
3 transactions for 02/19/21. Total proceeds and cost reported to the IRS.							
	10.000	99.97	02/18/21	230.00	...	-130.03	1 of 3 - Option sale to close-call <sup>25</sup>
	8.000	79.98	02/18/21	184.00	...	-104.02	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	19.99	02/18/21	46.00	...	-26.01	3 of 3 - Option sale to close-call <sup>25</sup>
02/19/21	20.000	199.94	Various	460.00	...	-260.06	Total of 3 transactions
SOFI 07/09/2021 CALL \$21.00 / CUSIP: / Symbol:							
2 transactions for 07/02/21. Total proceeds and cost reported to the IRS.							
	1.000	13.98	06/29/21	307.02	...	-293.04	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	13.98	06/29/21	152.00	...	-138.02	2 of 2 - Option sale to close-call <sup>25</sup>
07/02/21	2.000	27.96	Various	459.02	...	-431.06	Total of 2 transactions
07/02/21	1.000	13.98	06/29/21	169.00	155.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>41.94</b>		<b>628.02</b>	<b>155.02 W</b>	<b>-431.06</b>	
SOS 03/19/2021 CALL \$8.00 / CUSIP: / Symbol:							
03/16/21	3.000	194.98	03/15/21	300.00	...	-105.02	Option sale to close-call <sup>25</sup>
SPY 10/15/2021 CALL \$435.00 / CUSIP: / Symbol:							
10/13/21	2.000	373.98	10/13/21	386.00	...	-12.02	Option sale to close-call <sup>25</sup>
SPY 10/27/2021 PUT \$452.00 / CUSIP: / Symbol:							
2 transactions for 10/25/21. Total proceeds and cost reported to the IRS.							
	6.000	389.98	10/25/21	402.00	...	-12.02	1 of 2 - Option sale to close-put <sup>25</sup>
	4.000	259.99	10/25/21	268.00	...	-8.01	2 of 2 - Option sale to close-put <sup>25</sup>
10/25/21	10.000	649.97	Various	670.00	...	-20.03	Total of 2 transactions
SQ 11/05/2021 CALL \$270.00 / CUSIP: / Symbol:							
10/27/21	1.000	384.98	10/27/21	411.00	...	-26.02	Option sale to close-call <sup>25</sup>
TSLA 01/08/2021 PUT \$642.50 / CUSIP: / Symbol:							
2 transactions for 01/04/21. Total proceeds and cost reported to the IRS.							
	1.000	268.99	12/30/20	800.00	...	-531.01	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	268.98	12/31/20	650.00	...	-381.02	2 of 2 - Option sale to close-put <sup>25</sup>
01/04/21	2.000	537.97	Various	1,450.00	...	-912.03	Total of 2 transactions

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 01/08/2021 PUT \$677.50 / CUSIP: / Symbol:							
01/04/21	1.000	549.97	12/31/20	1,245.00	...	-695.03	Option sale to close-put <sup>25</sup>
TSLA 01/15/2021 PUT \$685.00 / CUSIP: / Symbol:							
01/05/21	1.000	1,552.95	01/04/21	1,360.00	...	192.95	Option sale to close-put <sup>25</sup>
TSLA 01/15/2021 PUT \$700.00 / CUSIP: / Symbol:							
2 transactions for 01/08/21. Total proceeds and cost reported to the IRS.							
	1.000	250.99	01/06/21	875.00	...	-624.01	1 of 2 - Option sale to close-put <sup>25</sup>
	2.000	501.98	01/06/21	1,090.00	...	-588.02	2 of 2 - Option sale to close-put <sup>25</sup>
01/08/21	3.000	752.97	Various	1,965.00	...	-1,212.03	Total of 2 transactions
TSLA 01/15/2021 PUT \$720.00 / CUSIP: / Symbol:							
01/08/21	2.000	689.97	01/07/21	1,460.00	...	-770.03	Option sale to close-put <sup>25</sup>
TSLA 01/22/2021 PUT \$747.50 / CUSIP: / Symbol:							
01/08/21	1.000	1,412.95	01/07/21	1,925.00	...	-512.05	Option sale to close-put <sup>25</sup>
TSLA 02/19/2021 CALL \$910.00 / CUSIP: / Symbol:							
02/11/21	1.000	439.98	02/10/21	590.00	...	-150.02	Option sale to close-call <sup>25</sup>
TSLA 02/26/2021 CALL \$715.00 / CUSIP: / Symbol:							
02/23/21	3.000	1,769.95	02/23/21	1,725.00	...	44.95	Option sale to close-call <sup>25</sup>
TSLA 02/26/2021 CALL \$730.00 / CUSIP: / Symbol:							
02/25/21	10.000	2,859.96	02/25/21	3,200.00	...	-340.04	Option sale to close-call <sup>25</sup>
TSLA 02/26/2021 CALL \$735.00 / CUSIP: / Symbol:							
02/23/21	10.000	4,099.88	02/23/21	3,950.00	...	149.88	Option sale to close-call <sup>25</sup>
TSLA 02/26/2021 CALL \$740.00 / CUSIP: / Symbol:							
3 transactions for 02/23/21. Total proceeds and cost reported to the IRS.							
	10.000	2,759.91	02/23/21	2,950.00	...	-190.09	1 of 3 - Option sale to close-call <sup>25</sup>
	4.000	1,155.96	02/23/21	1,120.00	...	35.96	2 of 3 - Option sale to close-call <sup>25</sup>
	6.000	1,733.95	02/23/21	1,680.00	...	53.95	3 of 3 - Option sale to close-call <sup>25</sup>
02/23/21	20.000	5,649.82	Various	5,750.00	...	-100.18	Total of 3 transactions

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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TSLA 02/26/2021 CALL \$745.00 / CUSIP: / Symbol:							
02/23/21	10.000	2,869.91	02/23/21	3,100.00	230.09 W	0.00	Option sale to close-call <sup>25</sup>
	6 transactions for 02/25/21. Total proceeds and cost reported to the IRS.						
	5.000	554.97	02/25/21	1,260.16	...	-705.19	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	111.00	02/25/21	252.04	...	-141.04	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	111.00	02/25/21	252.02	...	-141.02	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	111.00	02/25/21	252.01	...	-141.01	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	111.00	02/25/21	252.01	...	-141.01	5 of 6 - Option sale to close-call <sup>25</sup>
	1.000	111.00	02/25/21	252.01	...	-141.01	6 of 6 - Option sale to close-call <sup>25</sup>
02/25/21	10.000	1,109.97	Various	2,520.25	...	-1,410.28	Total of 6 transactions
	18 transactions for 02/25/21. Total proceeds and cost reported to the IRS.						
	1.000	187.00	02/25/21	241.01	54.01 W	0.00	1 of 18 - Option sale to close-call <sup>25</sup>
	1.000	187.00	02/25/21	241.01	54.01 W	0.00	2 of 18 - Option sale to close-call <sup>25</sup>
	1.000	187.00	02/25/21	241.01	54.01 W	0.00	3 of 18 - Option sale to close-call <sup>25</sup>
	1.000	187.00	02/25/21	241.02	54.02 W	0.00	4 of 18 - Option sale to close-call <sup>25</sup>
	1.000	187.00	02/25/21	241.04	54.04 W	0.00	5 of 18 - Option sale to close-call <sup>25</sup>
	1.000	191.98	02/25/21	239.02	47.04 W	0.00	6 of 18 - Option sale to close-call <sup>25</sup>
	1.000	192.00	02/25/21	239.01	47.01 W	0.00	7 of 18 - Option sale to close-call <sup>25</sup>
	1.000	192.00	02/25/21	239.01	47.01 W	0.00	8 of 18 - Option sale to close-call <sup>25</sup>
	1.000	192.00	02/25/21	239.01	47.01 W	0.00	9 of 18 - Option sale to close-call <sup>25</sup>
	1.000	192.00	02/25/21	239.02	47.02 W	0.00	10 of 18 - Option sale to close-call <sup>25</sup>
	1.000	236.00	02/25/21	272.01	36.01 W	0.00	11 of 18 - Option sale to close-call <sup>25</sup>
	1.000	236.00	02/25/21	272.01	36.01 W	0.00	12 of 18 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 02/26/2021 CALL \$745.00 / CUSIP: / Symbol: (cont'd)							
	1.000	236.00	02/25/21	272.01	36.01 W	0.00	13 of 18 - Option sale to close-call <sup>25</sup>
	2.000	471.99	02/25/21	544.03	72.04 W	0.00	14 of 18 - Option sale to close-call <sup>25</sup>
	5.000	934.97	02/25/21	1,205.13	270.16 W	0.00	15 of 18 - Option sale to close-call <sup>25</sup>
	5.000	959.97	02/25/21	1,195.10	235.13 W	0.00	16 of 18 - Option sale to close-call <sup>25</sup>
	5.000	1,179.97	02/25/21	1,360.07	180.10 W	0.00	17 of 18 - Option sale to close-call <sup>25</sup>
	10.000	2,119.96	02/25/21	2,490.09	370.13 W	0.00	18 of 18 - Option sale to close-call <sup>25</sup>
02/25/21	40.000	8,269.84	Various	10,010.61	1,740.77 W	0.00	Total of 18 transactions
	<b>Security total:</b>	<b>12,249.72</b>		<b>15,630.86</b>	<b>1,970.86 W</b>	<b>-1,410.28</b>	
TSLA 02/26/2021 CALL \$747.50 / CUSIP: / Symbol:							
	3 transactions for 02/23/21. Total proceeds and cost reported to the IRS.						
	3.000	1,034.97	02/23/21	915.00	...	119.97	1 of 3 - Option sale to close-call <sup>25</sup>
	7.000	2,414.93	02/23/21	2,135.00	...	279.93	2 of 3 - Option sale to close-call <sup>25</sup>
	10.000	3,349.90	02/23/21	2,710.00	...	639.90	3 of 3 - Option sale to close-call <sup>25</sup>
02/23/21	20.000	6,799.80	Various	5,760.00	...	1,039.80	Total of 3 transactions
TSLA 02/26/2021 CALL \$750.00 / CUSIP: / Symbol:							
	6 transactions for 02/23/21. Total proceeds and cost reported to the IRS.						
	1.000	364.98	02/23/21	301.01	...	63.97	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	364.98	02/23/21	301.01	...	63.97	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	364.99	02/23/21	301.01	...	63.98	3 of 6 - Option sale to close-call <sup>25</sup>
	3.000	1,094.97	02/23/21	903.03	...	191.94	4 of 6 - Option sale to close-call <sup>25</sup>
	10.000	3,349.90	02/23/21	3,100.00	...	249.90	5 of 6 - Option sale to close-call <sup>25</sup>
	4.000	1,459.95	02/23/21	1,204.03	...	255.92	6 of 6 - Option sale to close-call <sup>25</sup>
02/23/21	20.000	6,999.77	Various	6,110.09	...	889.68	Total of 6 transactions
	4 transactions for 02/23/21. Total proceeds and cost reported to the IRS.						
	1.000	271.99	02/23/21	285.00	13.01 W	0.00	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	271.99	02/23/21	285.00	13.01 W	0.00	2 of 4 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 &amp; 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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## 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 02/26/2021 CALL \$750.00 / CUSIP: / Symbol: (cont'd)							
	4.000	1,087.96	02/23/21	1,140.00	52.04 W	0.00	3 of 4 - Option sale to close-call <sup>25</sup>
	4.000	1,087.97	02/23/21	1,140.00	52.03 W	0.00	4 of 4 - Option sale to close-call <sup>25</sup>
02/23/21	10.000	2,719.91	Various	2,850.00	130.09 W	0.00	Total of 4 transactions
	4 transactions for 02/25/21. Total proceeds and cost reported to the IRS.						
	4.000	995.98	02/25/21	1,192.02	...	-196.04	1 of 4 - Option sale to close-call <sup>25</sup>
	4.000	995.98	02/25/21	1,192.02	...	-196.04	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	249.00	02/25/21	298.00	...	-49.00	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	249.00	02/25/21	298.00	...	-49.00	4 of 4 - Option sale to close-call <sup>25</sup>
02/25/21	10.000	2,489.96	Various	2,980.04	...	-490.08	Total of 4 transactions
02/25/21	10.000	2,799.96	02/25/21	2,900.00	100.04 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>15,009.60</b>		<b>14,840.13</b>	<b>230.13 W</b>	<b>399.60</b>	
TSLA 02/26/2021 CALL \$752.50 / CUSIP: / Symbol:							
	6 transactions for 02/23/21. Total proceeds and cost reported to the IRS.						
	1.000	286.98	02/23/21	286.02	...	0.96	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	286.99	02/23/21	286.02	...	0.97	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	286.99	02/23/21	286.02	...	0.97	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	286.99	02/23/21	286.02	...	0.97	4 of 6 - Option sale to close-call <sup>25</sup>
	2.000	573.98	02/23/21	572.03	...	1.95	5 of 6 - Option sale to close-call <sup>25</sup>
	4.000	1,147.97	02/23/21	1,144.04	...	3.93	6 of 6 - Option sale to close-call <sup>25</sup>
02/23/21	10.000	2,869.90	Various	2,860.15	...	9.75	Total of 6 transactions
	7 transactions for 02/23/21. Total proceeds and cost reported to the IRS.						
	1.000	276.98	02/23/21	281.00	4.02 W	0.00	1 of 7 - Option sale to close-call <sup>25</sup>
	1.000	276.98	02/23/21	281.00	4.02 W	0.00	2 of 7 - Option sale to close-call <sup>25</sup>
	1.000	276.98	02/23/21	281.00	4.02 W	0.00	3 of 7 - Option sale to close-call <sup>25</sup>
	1.000	276.98	02/23/21	281.00	4.02 W	0.00	4 of 7 - Option sale to close-call <sup>25</sup>
	2.000	553.97	02/23/21	562.00	8.03 W	0.00	5 of 7 - Option sale to close-call <sup>25</sup>
	4.000	1,107.96	02/23/21	1,124.00	16.04 W	0.00	6 of 7 - Option sale to close-call <sup>25</sup>
	10.000	2,789.91	02/23/21	2,830.00	40.09 W	0.00	7 of 7 - Option sale to close-call <sup>25</sup>
02/23/21	20.000	5,559.76	Various	5,640.00	80.24 W	0.00	Total of 7 transactions
02/25/21	10.000	2,369.96	02/25/21	2,700.09	...	-330.13	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>10,799.62</b>		<b>11,200.24</b>	<b>80.24 W</b>	<b>-320.38</b>	

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Robinhood Securities LLC

Account 685320095

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 02/26/2021 CALL \$755.00 / CUSIP: / Symbol:							
02/23/21	5.000	1,899.94	02/23/21	1,765.04	...	134.90	Option sale to close-call <sup>25</sup>
	6 transactions for 02/23/21. Total proceeds and cost reported to the IRS.						
	1.000	379.98	02/23/21	404.02	24.04 W	0.00	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	379.98	02/23/21	404.02	24.04 W	0.00	2 of 6 - Option sale to close-call <sup>25</sup>
	3.000	1,139.97	02/23/21	1,212.04	72.07 W	0.00	3 of 6 - Option sale to close-call <sup>25</sup>
	5.000	1,229.96	02/23/21	1,600.00	370.04 W	0.00	4 of 6 - Option sale to close-call <sup>25</sup>
	5.000	1,229.96	02/23/21	1,855.04	625.08 W	0.00	5 of 6 - Option sale to close-call <sup>25</sup>
	5.000	1,294.96	02/23/21	1,550.00	255.04 W	0.00	6 of 6 - Option sale to close-call <sup>25</sup>
02/23/21	20.000	5,654.81	Various	7,025.12	1,370.31 W	0.00	Total of 6 transactions
	5 transactions for 02/25/21. Total proceeds and cost reported to the IRS.						
	2.000	525.99	02/25/21	658.07	...	-132.08	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	263.00	02/25/21	329.05	...	-66.05	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	263.00	02/25/21	329.05	...	-66.05	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	263.00	02/25/21	308.02	...	-45.02	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	262.99	02/25/21	284.00	...	-21.01	5 of 5 - Option sale to close-call <sup>25</sup>
02/25/21	6.000	1,577.98	Various	1,908.19	...	-330.21	Total of 5 transactions
	3 transactions for 02/25/21. Total proceeds and cost reported to the IRS.						
	1.000	262.99	02/25/21	308.04	45.05 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	262.99	02/25/21	308.04	45.05 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	525.98	02/25/21	616.05	90.07 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
02/25/21	4.000	1,051.96	Various	1,232.13	180.17 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>10,184.69</b>		<b>11,930.48</b>	<b>1,550.48 W</b>	<b>-195.31</b>	
TSLA 02/26/2021 CALL \$757.50 / CUSIP: / Symbol:							
	2 transactions for 02/23/21. Total proceeds and cost reported to the IRS.						
	10.000	3,249.90	02/23/21	2,860.00	...	389.90	1 of 2 - Option sale to close-call <sup>25</sup>
	10.000	5,149.86	02/23/21	4,150.00	...	999.86	2 of 2 - Option sale to close-call <sup>25</sup>
02/23/21	20.000	8,399.76	Various	7,010.00	...	1,389.76	Total of 2 transactions
	5 transactions for 02/25/21. Total proceeds and cost reported to the IRS.						
	3.000	659.98	02/25/21	795.00	...	-135.02	1 of 5 - Option sale to close-call <sup>25</sup>
	3.000	659.98	02/25/21	795.00	...	-135.02	2 of 5 - Option sale to close-call <sup>25</sup>
	2.000	439.98	02/25/21	530.00	...	-90.02	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	219.98	02/25/21	265.00	...	-45.02	4 of 5 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 02/26/2021 CALL \$757.50 / CUSIP: / Symbol: (cont'd)							
	1.000	219.98	02/25/21	265.00	...	-45.02	5 of 5 - Option sale to close-call <sup>25</sup>
02/25/21	10.000	2,199.90	Various	2,650.00	...	-450.10	Total of 5 transactions
	<b>Security total:</b>	<b>10,599.66</b>		<b>9,660.00</b>	...	<b>939.66</b>	
TSLA 02/26/2021 CALL \$760.00 / CUSIP: / Symbol:							
2 transactions for 02/23/21. Total proceeds and cost reported to the IRS.							
	1.000	429.99	02/23/21	305.00	...	124.99	1 of 2 - Option sale to close-call <sup>25</sup>
	9.000	3,869.89	02/23/21	2,745.00	...	1,124.89	2 of 2 - Option sale to close-call <sup>25</sup>
02/23/21	10.000	4,299.88	Various	3,050.00	...	1,249.88	Total of 2 transactions
02/23/21	10.000	2,609.92	02/23/21	2,820.00	105.04 W	-105.04	Option sale to close-call <sup>25</sup>
2 transactions for 02/25/21. Total proceeds and cost reported to the IRS.							
	4.000	1,047.98	02/25/21	1,204.03	...	-156.05	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	261.98	02/25/21	301.01	...	-39.03	2 of 2 - Option sale to close-call <sup>25</sup>
02/25/21	5.000	1,309.96	Various	1,505.04	...	-195.08	Total of 2 transactions
	<b>Security total:</b>	<b>8,219.76</b>		<b>7,375.04</b>	<b>105.04 W</b>	<b>949.76</b>	
TSLA 02/26/2021 CALL \$762.50 / CUSIP: / Symbol:							
02/23/21	10.000	4,949.87	02/23/21	3,700.00	...	1,249.87	Option sale to close-call <sup>25</sup>
4 transactions for 02/24/21. Total proceeds and cost reported to the IRS.							
	1.000	309.99	02/24/21	308.02	...	1.97	1 of 4 - Option sale to close-call <sup>25</sup>
	2.000	619.98	02/24/21	616.03	...	3.95	2 of 4 - Option sale to close-call <sup>25</sup>
	7.000	2,169.94	02/24/21	2,156.06	...	13.88	3 of 4 - Option sale to close-call <sup>25</sup>
	10.000	3,649.89	02/24/21	2,990.00	...	659.89	4 of 4 - Option sale to close-call <sup>25</sup>
02/24/21	20.000	6,749.80	Various	6,070.11	...	679.69	Total of 4 transactions
3 transactions for 02/24/21. Total proceeds and cost reported to the IRS.							
	1.000	268.98	02/24/21	292.00	23.02 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	537.97	02/24/21	584.00	46.03 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	7.000	1,882.94	02/24/21	2,044.00	161.06 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
02/24/21	10.000	2,689.89	Various	2,920.00	230.11 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>14,389.56</b>		<b>12,690.11</b>	<b>230.11 W</b>	<b>1,929.56</b>	

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Robinhood Securities LLC

Account 685320095

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 02/26/2021 CALL \$765.00 / CUSIP: / Symbol:							
02/23/21	10.000	3,849.89	02/23/21	3,450.00	...	399.89	Option sale to close-call <sup>25</sup>
	2 transactions for 02/24/21. Total proceeds and cost reported to the IRS.						
	10.000	3,079.91	02/24/21	2,960.17	...	119.74	1 of 2 - Option sale to close-call <sup>25</sup>
	10.000	3,549.90	02/24/21	2,780.00	...	769.90	2 of 2 - Option sale to close-call <sup>25</sup>
02/24/21	20.000	6,629.81	Various	5,740.17	...	889.64	Total of 2 transactions
	2 transactions for 02/24/21. Total proceeds and cost reported to the IRS.						
	10.000	2,609.92	02/24/21	2,830.00	220.08 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	10.000	2,889.91	02/24/21	3,060.08	170.17 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
02/24/21	20.000	5,499.83	Various	5,890.08	390.25 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>15,979.53</b>		<b>15,080.25</b>	<b>390.25 W</b>	<b>1,289.53</b>	
TSLA 02/26/2021 CALL \$767.50 / CUSIP: / Symbol:							
	4 transactions for 02/24/21. Total proceeds and cost reported to the IRS.						
	7.000	1,959.94	02/24/21	2,184.05	...	-224.11	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	279.99	02/24/21	335.03	...	-55.04	2 of 4 - Option sale to close-call <sup>25</sup>
	2.000	559.98	02/24/21	608.02	...	-48.04	3 of 4 - Option sale to close-call <sup>25</sup>
	10.000	2,969.91	02/24/21	2,910.00	...	59.91	4 of 4 - Option sale to close-call <sup>25</sup>
02/24/21	20.000	5,769.82	Various	6,037.10	...	-267.28	Total of 4 transactions
	4 transactions for 02/24/21. Total proceeds and cost reported to the IRS.						
	9.000	2,366.93	02/24/21	2,574.00	46.02 W	-161.05	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	262.98	02/24/21	294.00	31.02 W	0.00	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	262.99	02/24/21	317.02	54.03 W	0.00	3 of 4 - Option sale to close-call <sup>25</sup>
	7.000	1,840.95	02/24/21	2,058.00	217.05 W	0.00	4 of 4 - Option sale to close-call <sup>25</sup>
02/24/21	18.000	4,733.85	Various	5,243.02	348.12 W	-161.05	Total of 4 transactions
	<b>Security total:</b>	<b>10,503.67</b>		<b>11,280.12</b>	<b>348.12 W</b>	<b>-428.33</b>	
TSLA 02/26/2021 CALL \$770.00 / CUSIP: / Symbol:							
02/23/21	10.000	3,349.90	02/23/21	3,650.00	300.10 W	0.00	Option sale to close-call <sup>25</sup>
	6 transactions for 02/24/21. Total proceeds and cost reported to the IRS.						
	5.000	1,399.96	02/24/21	1,390.00	...	9.96	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	384.98	02/24/21	300.01	...	84.97	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	384.99	02/24/21	300.01	...	84.98	3 of 6 - Option sale to close-call <sup>25</sup>
	10.000	3,649.89	02/24/21	3,440.19	...	209.70	4 of 6 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 02/26/2021 CALL \$770.00 / CUSIP: / Symbol: (cont'd)							
	3.000	1,154.97	02/24/21	900.03	...	254.94	5 of 6 - Option sale to close-call <sup>25</sup>
	5.000	1,924.94	02/24/21	1,485.00	...	439.94	6 of 6 - Option sale to close-call <sup>25</sup>
02/24/21	25.000	8,899.73	Various	7,815.24	...	1,084.49	Total of 6 transactions
	2 transactions for 02/24/21. Total proceeds and cost reported to the IRS.						
	5.000	1,399.95	02/24/21	1,415.00	15.05 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	10.000	2,789.91	02/24/21	3,180.10	390.19 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
02/24/21	15.000	4,189.86	Various	4,595.10	405.24 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>16,439.49</b>		<b>16,060.34</b>	<b>705.34 W</b>	<b>1,084.49</b>	
TSLA 02/26/2021 CALL \$772.50 / CUSIP: / Symbol:							
	7 transactions for 02/24/21. Total proceeds and cost reported to the IRS.						
	1.000	299.98	02/24/21	274.00	...	25.98	1 of 7 - Option sale to close-call <sup>25</sup>
	1.000	299.98	02/24/21	274.00	...	25.98	2 of 7 - Option sale to close-call <sup>25</sup>
	2.000	599.97	02/24/21	548.00	...	51.97	3 of 7 - Option sale to close-call <sup>25</sup>
	2.000	599.97	02/24/21	548.00	...	51.97	4 of 7 - Option sale to close-call <sup>25</sup>
	4.000	1,199.96	02/24/21	1,096.00	...	103.96	5 of 7 - Option sale to close-call <sup>25</sup>
	10.000	2,749.91	02/24/21	2,570.00	...	179.91	6 of 7 - Option sale to close-call <sup>25</sup>
	10.000	3,149.91	02/24/21	2,740.00	...	409.91	7 of 7 - Option sale to close-call <sup>25</sup>
02/24/21	30.000	8,899.68	Various	8,050.00	...	849.68	Total of 7 transactions
	11 transactions for 02/24/21. Total proceeds and cost reported to the IRS.						
	1.000	243.99	02/24/21	326.03	82.04 W	0.00	1 of 11 - Option sale to close-call <sup>25</sup>
	1.000	250.99	02/24/21	307.02	56.03 W	0.00	2 of 11 - Option sale to close-call <sup>25</sup>
	1.000	278.99	02/24/21	302.01	23.02 W	0.00	3 of 11 - Option sale to close-call <sup>25</sup>
	4.000	975.97	02/24/21	1,304.10	328.13 W	0.00	4 of 11 - Option sale to close-call <sup>25</sup>
	4.000	1,003.97	02/24/21	1,228.07	224.10 W	0.00	5 of 11 - Option sale to close-call <sup>25</sup>
	4.000	1,115.96	02/24/21	1,208.03	92.07 W	0.00	6 of 11 - Option sale to close-call <sup>25</sup>
	5.000	1,219.96	02/24/21	1,630.12	410.16 W	0.00	7 of 11 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
TSLA 02/26/2021 CALL \$772.50 / CUSIP: / Symbol: (cont'd)							
	5.000	1,254.96	02/24/21	1,535.08	280.12 W	0.00	8 of 11 - Option sale to close-call <sup>25</sup>
	5.000	1,349.96	02/24/21	1,470.00	120.04 W	0.00	9 of 11 - Option sale to close-call <sup>25</sup>
	5.000	1,349.96	02/24/21	1,470.00	120.04 W	0.00	10 of 11 - Option sale to close-call <sup>25</sup>
	5.000	1,394.96	02/24/21	1,510.04	115.08 W	0.00	11 of 11 - Option sale to close-call <sup>25</sup>
02/24/21	40.000	10,439.67	Various	12,290.50	1,850.83 W	0.00	Total of 11 transactions
	3 transactions for 02/25/21. Total proceeds and cost reported to the IRS.						
	5.000	1,029.98	02/25/21	1,750.16	...	-720.18	1 of 3 - Option sale to close-call <sup>25</sup>
	4.000	823.98	02/25/21	1,400.13	...	-576.15	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	206.00	02/25/21	350.04	...	-144.04	3 of 3 - Option sale to close-call <sup>25</sup>
02/25/21	10.000	2,059.96	Various	3,500.33	...	-1,440.37	Total of 3 transactions
	<b>Security total:</b>	<b>21,399.31</b>		<b>23,840.83</b>	<b>1,850.83 W</b>	<b>-590.69</b>	
TSLA 02/26/2021 CALL \$775.00 / CUSIP: / Symbol:							
02/23/21	10.000	2,639.92	02/23/21	2,940.00	300.08 W	0.00	Option sale to close-call <sup>25</sup>
	5 transactions for 02/24/21. Total proceeds and cost reported to the IRS.						
	2.000	521.98	02/24/21	802.04	...	-280.06	1 of 5 - Option sale to close-call <sup>25</sup>
	5.000	1,304.96	02/24/21	1,560.03	...	-255.07	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	260.99	02/24/21	401.02	...	-140.03	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	260.99	02/24/21	401.02	...	-140.03	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	261.00	02/24/21	401.01	...	-140.01	5 of 5 - Option sale to close-call <sup>25</sup>
02/24/21	10.000	2,609.92	Various	3,565.12	...	-955.20	Total of 5 transactions
	4 transactions for 02/24/21. Total proceeds and cost reported to the IRS.						
	1.000	204.99	02/24/21	324.01	119.02 W	0.00	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	204.99	02/24/21	324.01	119.02 W	0.00	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	205.00	02/24/21	324.01	119.01 W	0.00	3 of 4 - Option sale to close-call <sup>25</sup>
	2.000	409.98	02/24/21	648.02	238.04 W	0.00	4 of 4 - Option sale to close-call <sup>25</sup>
02/24/21	5.000	1,024.96	Various	1,620.05	595.09 W	0.00	Total of 4 transactions
	<b>Security total:</b>	<b>6,274.80</b>		<b>8,125.17</b>	<b>895.17 W</b>	<b>-955.20</b>	

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TSLA 02/26/2021 CALL \$777.50 / CUSIP: / Symbol:							
9 transactions for 02/24/21. Total proceeds and cost reported to the IRS.							
	2.000	515.99	02/24/21	624.05	...	-108.06	1 of 9 - Option sale to close-call <sup>25</sup>
	1.000	257.98	02/24/21	312.02	...	-54.04	2 of 9 - Option sale to close-call <sup>25</sup>
	1.000	257.99	02/24/21	312.03	...	-54.04	3 of 9 - Option sale to close-call <sup>25</sup>
	1.000	257.99	02/24/21	312.03	...	-54.04	4 of 9 - Option sale to close-call <sup>25</sup>
	1.000	257.99	02/24/21	312.03	...	-54.04	5 of 9 - Option sale to close-call <sup>25</sup>
	1.000	257.99	02/24/21	312.03	...	-54.04	6 of 9 - Option sale to close-call <sup>25</sup>
	1.000	257.99	02/24/21	312.02	...	-54.03	7 of 9 - Option sale to close-call <sup>25</sup>
	1.000	257.99	02/24/21	312.02	...	-54.03	8 of 9 - Option sale to close-call <sup>25</sup>
	1.000	257.99	02/24/21	312.02	...	-54.03	9 of 9 - Option sale to close-call <sup>25</sup>
02/24/21	10.000	2,579.90	Various	3,120.25	...	-540.35	Total of 9 transactions
12 transactions for 02/24/21. Total proceeds and cost reported to the IRS.							
	1.000	264.99	02/24/21	286.00	21.01 W	0.00	1 of 12 - Option sale to close-call <sup>25</sup>
	1.000	281.99	02/24/21	326.01	44.02 W	0.00	2 of 12 - Option sale to close-call <sup>25</sup>
	1.000	292.99	02/24/21	337.02	44.03 W	0.00	3 of 12 - Option sale to close-call <sup>25</sup>
	1.000	292.99	02/24/21	337.02	44.03 W	0.00	4 of 12 - Option sale to close-call <sup>25</sup>
	3.000	794.97	02/24/21	858.00	63.03 W	0.00	5 of 12 - Option sale to close-call <sup>25</sup>
	3.000	794.98	02/24/21	858.00	63.02 W	0.00	6 of 12 - Option sale to close-call <sup>25</sup>
	3.000	794.98	02/24/21	858.00	63.02 W	0.00	7 of 12 - Option sale to close-call <sup>25</sup>
	3.000	845.97	02/24/21	978.02	132.05 W	0.00	8 of 12 - Option sale to close-call <sup>25</sup>
	3.000	845.97	02/24/21	978.03	132.06 W	0.00	9 of 12 - Option sale to close-call <sup>25</sup>
	3.000	845.98	02/24/21	978.02	132.04 W	0.00	10 of 12 - Option sale to close-call <sup>25</sup>
	3.000	878.97	02/24/21	1,011.04	132.07 W	0.00	11 of 12 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TSLA 02/26/2021 CALL \$777.50 / CUSIP: / Symbol: (cont'd)							
	3.000	878.97	02/24/21	1,011.05	132.08 W	0.00	12 of 12 - Option sale to close-call <sup>25</sup>
02/24/21	28.000	7,813.75	Various	8,816.21	1,002.46 W	0.00	Total of 12 transactions
	<b>Security total:</b>	<b>10,393.65</b>		<b>11,936.46</b>	<b>1,002.46 W</b>	<b>-540.35</b>	
TSLA 02/26/2021 CALL \$780.00 / CUSIP: / Symbol:							
10 transactions for 02/24/21. Total proceeds and cost reported to the IRS.							
	3.000	881.97	02/24/21	960.02	...	-78.05	1 of 10 - Option sale to close-call <sup>25</sup>
	1.000	293.98	02/24/21	320.01	...	-26.03	2 of 10 - Option sale to close-call <sup>25</sup>
	1.000	299.99	02/24/21	310.00	...	-10.01	3 of 10 - Option sale to close-call <sup>25</sup>
	1.000	284.99	02/24/21	278.00	...	6.99	4 of 10 - Option sale to close-call <sup>25</sup>
	1.000	284.99	02/24/21	278.00	...	6.99	5 of 10 - Option sale to close-call <sup>25</sup>
	1.000	284.99	02/24/21	278.00	...	6.99	6 of 10 - Option sale to close-call <sup>25</sup>
	1.000	284.99	02/24/21	278.00	...	6.99	7 of 10 - Option sale to close-call <sup>25</sup>
	1.000	284.99	02/24/21	278.00	...	6.99	8 of 10 - Option sale to close-call <sup>25</sup>
	1.000	284.99	02/24/21	278.00	...	6.99	9 of 10 - Option sale to close-call <sup>25</sup>
	4.000	1,139.97	02/24/21	1,112.00	...	27.97	10 of 10 - Option sale to close-call <sup>25</sup>
02/24/21	15.000	4,325.85	Various	4,370.03	...	-44.18	Total of 10 transactions
02/24/21	5.000	1,499.96	02/24/21	1,550.00	40.03 W	-10.01	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>5,825.81</b>		<b>5,920.03</b>	<b>40.03 W</b>	<b>-54.19</b>	
TSLA 02/26/2021 CALL \$782.50 / CUSIP: / Symbol:							
02/23/21	10.000	2,909.91	02/23/21	3,150.00	...	-240.09	Option sale to close-call <sup>25</sup>

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Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 02/26/2021 CALL \$785.00 / CUSIP: / Symbol:							
4 transactions for 02/23/21. Total proceeds and cost reported to the IRS.							
	5.000	1,269.96	02/23/21	1,420.00	...	-150.04	1 of 4 - Option sale to close-call <sup>25</sup>
	3.000	761.98	02/23/21	852.00	...	-90.02	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	253.99	02/23/21	284.00	...	-30.01	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	253.99	02/23/21	284.00	...	-30.01	4 of 4 - Option sale to close-call <sup>25</sup>
02/23/21	10.000	2,539.92	Various	2,840.00	...	-300.08	Total of 4 transactions
TSLA 02/26/2021 PUT \$420.00 / CUSIP: / Symbol:							
2 transactions for 02/23/21. Total proceeds and cost reported to the IRS.							
	5.000	1,154.96	02/23/21	1,325.00	...	-170.04	1 of 2 - Option sale to close-put <sup>25</sup>
	5.000	1,154.96	02/23/21	1,325.00	...	-170.04	2 of 2 - Option sale to close-put <sup>25</sup>
02/23/21	10.000	2,309.92	Various	2,650.00	...	-340.08	Total of 2 transactions
TSLA 02/26/2021 PUT \$450.00 / CUSIP: / Symbol:							
02/23/21	10.000	3,899.89	02/23/21	3,050.00	...	849.89	Option sale to close-put <sup>25</sup>
TSLA 02/26/2021 PUT \$480.00 / CUSIP: / Symbol:							
02/23/21	10.000	4,349.88	02/23/21	2,770.00	...	1,579.88	Option sale to close-put <sup>25</sup>
TSLA 02/26/2021 PUT \$520.00 / CUSIP: / Symbol:							
02/23/21	2.000	869.97	02/23/21	558.00	...	311.97	Option sale to close-put <sup>25</sup>
TSLA 02/26/2021 PUT \$530.00 / CUSIP: / Symbol:							
3 transactions for 02/23/21. Total proceeds and cost reported to the IRS.							
	6.000	1,187.96	02/23/21	1,470.05	...	-282.09	1 of 3 - Option sale to close-put <sup>25</sup>
	3.000	593.97	02/23/21	735.02	...	-141.05	2 of 3 - Option sale to close-put <sup>25</sup>
	1.000	197.98	02/23/21	245.01	...	-47.03	3 of 3 - Option sale to close-put <sup>25</sup>
02/23/21	10.000	1,979.91	Various	2,450.08	...	-470.17	Total of 3 transactions
02/23/21	10.000	2,479.92	02/23/21	2,810.00	330.08 W	0.00	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>4,459.83</b>		<b>5,260.08</b>	<b>330.08 W</b>	<b>-470.17</b>	
TSLA 02/26/2021 PUT \$550.00 / CUSIP: / Symbol:							
3 transactions for 02/23/21. Total proceeds and cost reported to the IRS.							
	6.000	1,607.95	02/23/21	2,010.10	...	-402.15	1 of 3 - Option sale to close-put <sup>25</sup>
	3.000	803.98	02/23/21	1,005.05	...	-201.07	2 of 3 - Option sale to close-put <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 02/26/2021 PUT \$550.00 / CUSIP: / Symbol: (cont'd)							
	1.000	267.99	02/23/21	335.03	...	-67.04	3 of 3 - Option sale to close-put <sup>25</sup>
02/23/21	10.000	2,679.92	Various	3,350.18	...	-670.26	Total of 3 transactions
	6 transactions for 02/23/21. Total proceeds and cost reported to the IRS.						
	1.000	245.99	02/23/21	302.02	56.03 W	0.00	1 of 6 - Option sale to close-put <sup>25</sup>
	1.000	262.98	02/23/21	285.00	22.02 W	0.00	2 of 6 - Option sale to close-put <sup>25</sup>
	3.000	737.98	02/23/21	906.03	168.05 W	0.00	3 of 6 - Option sale to close-put <sup>25</sup>
	3.000	788.97	02/23/21	855.00	66.03 W	0.00	4 of 6 - Option sale to close-put <sup>25</sup>
	6.000	1,475.95	02/23/21	1,812.05	336.10 W	0.00	5 of 6 - Option sale to close-put <sup>25</sup>
	6.000	1,577.95	02/23/21	1,710.00	132.05 W	0.00	6 of 6 - Option sale to close-put <sup>25</sup>
02/23/21	20.000	5,089.82	Various	5,870.10	780.28 W	0.00	Total of 6 transactions
	<b>Security total:</b>	<b>7,769.74</b>		<b>9,220.28</b>	<b>780.28 W</b>	<b>-670.26</b>	
TSLA 02/26/2021 PUT \$560.00 / CUSIP: / Symbol:							
02/23/21	10.000	2,949.91	02/23/21	2,660.00	...	289.91	Option sale to close-put <sup>25</sup>
TSLA 02/26/2021 PUT \$570.00 / CUSIP: / Symbol:							
	7 transactions for 02/23/21. Total proceeds and cost reported to the IRS.						
	2.000	659.97	02/23/21	764.05	...	-104.08	1 of 7 - Option sale to close-put <sup>25</sup>
	2.000	659.97	02/23/21	764.05	...	-104.08	2 of 7 - Option sale to close-put <sup>25</sup>
	2.000	659.97	02/23/21	764.05	...	-104.08	3 of 7 - Option sale to close-put <sup>25</sup>
	1.000	329.98	02/23/21	382.05	...	-52.07	4 of 7 - Option sale to close-put <sup>25</sup>
	1.000	329.98	02/23/21	382.03	...	-52.05	5 of 7 - Option sale to close-put <sup>25</sup>
	1.000	329.99	02/23/21	382.04	...	-52.05	6 of 7 - Option sale to close-put <sup>25</sup>
	1.000	329.98	02/23/21	382.02	...	-52.04	7 of 7 - Option sale to close-put <sup>25</sup>
02/23/21	10.000	3,299.84	Various	3,820.29	...	-520.45	Total of 7 transactions
	9 transactions for 02/23/21. Total proceeds and cost reported to the IRS.						
	1.000	206.98	02/23/21	244.01	37.03 W	0.00	1 of 9 - Option sale to close-put <sup>25</sup>
	1.000	206.98	02/23/21	244.01	37.03 W	0.00	2 of 9 - Option sale to close-put <sup>25</sup>
	1.000	264.98	02/23/21	307.03	42.05 W	0.00	3 of 9 - Option sale to close-put <sup>25</sup>
	1.000	264.99	02/23/21	307.03	42.04 W	0.00	4 of 9 - Option sale to close-put <sup>25</sup>
	3.000	620.97	02/23/21	732.02	111.05 W	0.00	5 of 9 - Option sale to close-put <sup>25</sup>
	3.000	794.97	02/23/21	921.05	126.08 W	0.00	6 of 9 - Option sale to close-put <sup>25</sup>
	5.000	1,034.96	02/23/21	1,220.04	185.08 W	0.00	7 of 9 - Option sale to close-put <sup>25</sup>
	5.000	1,324.96	02/23/21	1,535.08	210.12 W	0.00	8 of 9 - Option sale to close-put <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TSLA 02/26/2021 PUT \$570.00 / CUSIP: / Symbol: (cont'd)							
	10.000	2,599.92	02/23/21	2,710.00	110.08 W	0.00	9 of 9 - Option sale to close-put <sup>25</sup>
02/23/21	30.000	7,319.71	Various	8,220.27	900.56 W	0.00	Total of 9 transactions
	<b>Security total:</b>	<b>10,619.55</b>		<b>12,040.56</b>	<b>900.56 W</b>	<b>-520.45</b>	
TSLA 02/26/2021 PUT \$580.00 / CUSIP: / Symbol:							
4 transactions for 02/23/21. Total proceeds and cost reported to the IRS.							
	2.000	517.98	02/23/21	492.00	...	25.98	1 of 4 - Option sale to close-put <sup>25</sup>
	2.000	517.98	02/23/21	492.00	...	25.98	2 of 4 - Option sale to close-put <sup>25</sup>
	3.000	776.98	02/23/21	738.00	...	38.98	3 of 4 - Option sale to close-put <sup>25</sup>
	3.000	776.98	02/23/21	738.00	...	38.98	4 of 4 - Option sale to close-put <sup>25</sup>
02/23/21	10.000	2,589.92	Various	2,460.00	...	129.92	Total of 4 transactions
TSLA 02/26/2021 PUT \$590.00 / CUSIP: / Symbol:							
02/24/21	10.000	1,959.93	02/24/21	2,650.00	...	-690.07	Option sale to close-put <sup>25</sup>
TSLA 02/26/2021 PUT \$640.00 / CUSIP: / Symbol:							
02/25/21	10.000	1,889.97	02/25/21	1,960.00	...	-70.03	Option sale to close-put <sup>25</sup>
TSLA 02/26/2021 PUT \$645.00 / CUSIP: / Symbol:							
2 transactions for 02/25/21. Total proceeds and cost reported to the IRS.							
	8.000	2,111.97	02/25/21	2,248.00	...	-136.03	1 of 2 - Option sale to close-put <sup>25</sup>
	2.000	527.99	02/25/21	562.00	...	-34.01	2 of 2 - Option sale to close-put <sup>25</sup>
02/25/21	10.000	2,639.96	Various	2,810.00	...	-170.04	Total of 2 transactions
TSLA 02/26/2021 PUT \$655.00 / CUSIP: / Symbol:							
02/24/21	10.000	2,059.93	02/24/21	2,150.00	90.07 W	0.00	Option sale to close-put <sup>25</sup>
2 transactions for 02/25/21. Total proceeds and cost reported to the IRS.							
	9.000	2,042.96	02/25/21	2,394.06	...	-351.10	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	226.98	02/25/21	266.01	...	-39.03	2 of 2 - Option sale to close-put <sup>25</sup>
02/25/21	10.000	2,269.94	Various	2,660.07	...	-390.13	Total of 2 transactions
	<b>Security total:</b>	<b>4,329.87</b>		<b>4,810.07</b>	<b>90.07 W</b>	<b>-390.13</b>	

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Robinhood Securities LLC

Account 685320095

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TSLA 02/26/2021 PUT \$660.00 / CUSIP: / Symbol:							
5 transactions for 02/25/21. Total proceeds and cost reported to the IRS.							
	9.000	2,123.96	02/25/21	2,790.00	...	-666.04	1 of 5 - Option sale to close-put <sup>25</sup>
	1.000	277.98	02/25/21	310.00	...	-32.02	2 of 5 - Option sale to close-put <sup>25</sup>
	2.000	617.98	02/25/21	590.00	...	27.98	3 of 5 - Option sale to close-put <sup>25</sup>
	3.000	926.98	02/25/21	885.00	...	41.98	4 of 5 - Option sale to close-put <sup>25</sup>
	10.000	2,989.96	02/25/21	2,820.00	...	169.96	5 of 5 - Option sale to close-put <sup>25</sup>
02/25/21	25.000	6,936.86	Various	7,395.00	...	-458.14	Total of 5 transactions
TSLA 02/26/2021 PUT \$665.00 / CUSIP: / Symbol:							
02/24/21	10.000	2,049.93	02/24/21	2,170.00	120.07 W	0.00	Option sale to close-put <sup>25</sup>
3 transactions for 02/25/21. Total proceeds and cost reported to the IRS.							
	5.000	1,364.98	02/25/21	1,525.03	...	-160.05	1 of 3 - Option sale to close-put <sup>25</sup>
	4.000	1,091.98	02/25/21	1,220.03	...	-128.05	2 of 3 - Option sale to close-put <sup>25</sup>
	1.000	272.98	02/25/21	305.01	...	-32.03	3 of 3 - Option sale to close-put <sup>25</sup>
02/25/21	10.000	2,729.94	Various	3,050.07	...	-320.13	Total of 3 transactions
	<b>Security total:</b>	<b>4,779.87</b>		<b>5,220.07</b>	<b>120.07 W</b>	<b>-320.13</b>	
TSLA 02/26/2021 PUT \$670.00 / CUSIP: / Symbol:							
3 transactions for 02/25/21. Total proceeds and cost reported to the IRS.							
	7.000	1,854.98	02/25/21	1,911.00	...	-56.02	1 of 3 - Option sale to close-put <sup>25</sup>
	2.000	529.98	02/25/21	546.00	...	-16.02	2 of 3 - Option sale to close-put <sup>25</sup>
	1.000	264.98	02/25/21	273.00	...	-8.02	3 of 3 - Option sale to close-put <sup>25</sup>
02/25/21	10.000	2,649.94	Various	2,730.00	...	-80.06	Total of 3 transactions
TSLA 02/26/2021 PUT \$700.00 / CUSIP: / Symbol:							
3 transactions for 02/24/21. Total proceeds and cost reported to the IRS.							
	1.000	489.97	02/24/21	469.00	...	20.97	1 of 3 - Option sale to close-put <sup>25</sup>
	1.000	492.97	02/24/21	469.00	...	23.97	2 of 3 - Option sale to close-put <sup>25</sup>
	3.000	1,469.95	02/24/21	1,407.00	...	62.95	3 of 3 - Option sale to close-put <sup>25</sup>
02/24/21	5.000	2,452.89	Various	2,345.00	...	107.89	Total of 3 transactions

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TSLA 03/05/2021 CALL \$775.00 / CUSIP: / Symbol:							
03/01/21	1.000	298.00	02/25/21	1,042.02	...	-744.02	Option sale to close-call <sup>25</sup>
	2 transactions for 03/01/21. Total proceeds and cost reported to the IRS.						
	4.000	1,191.98	02/25/21	2,760.00	784.01 W	-784.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	297.98	02/25/21	690.00	392.02 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
03/01/21	5.000	1,489.96	Various	3,450.00	1,176.03 W	-784.01	Total of 2 transactions
	2 transactions for 03/02/21. Total proceeds and cost reported to the IRS.						
	1.000	195.99	02/26/21	972.01	...	-776.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	195.99	02/26/21	792.00	...	-596.01	2 of 2 - Option sale to close-call <sup>25</sup>
03/02/21	2.000	391.98	Various	1,764.01	...	-1,372.03	Total of 2 transactions
	<b>Security total:</b>	<b>2,179.94</b>		<b>6,256.03</b>	<b>1,176.03 W</b>	<b>-2,900.06</b>	
TSLA 03/05/2021 CALL \$785.00 / CUSIP: / Symbol:							
02/23/21	1.000	854.97	02/23/21	795.00	...	59.97	Option sale to close-call <sup>25</sup>
TSLA 03/05/2021 CALL \$787.50 / CUSIP: / Symbol:							
02/25/21	2.000	1,079.98	02/25/21	1,250.01	...	-170.03	Option sale to close-call <sup>25</sup>
02/25/21	3.000	1,574.98	02/25/21	1,770.00	130.01 W	-65.01	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>2,654.96</b>		<b>3,020.01</b>	<b>130.01 W</b>	<b>-235.04</b>	
TSLA 03/05/2021 CALL \$805.00 / CUSIP: / Symbol:							
02/17/21	1.000	2,434.93	02/17/21	2,295.00	...	139.93	Option sale to close-call <sup>25</sup>
TSLA 03/05/2021 CALL \$812.50 / CUSIP: / Symbol:							
02/23/21	1.000	281.98	02/22/21	565.00	...	-283.02	Option sale to close-call <sup>25</sup>
TSLA 03/05/2021 PUT \$400.00 / CUSIP: / Symbol:							
	2 transactions for 03/05/21. Total proceeds and cost reported to the IRS.						
	3.000	14.98	03/04/21	99.00	...	-84.02	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	5.00	03/04/21	61.02	...	-56.02	2 of 2 - Option sale to close-put <sup>25</sup>
03/05/21	4.000	19.98	Various	160.02	...	-140.04	Total of 2 transactions
03/05/21	1.000	4.98	03/04/21	33.00	28.02 W	0.00	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>24.96</b>		<b>193.02</b>	<b>28.02 W</b>	<b>-140.04</b>	
TSLA 03/05/2021 PUT \$480.00 / CUSIP: / Symbol:							
03/05/21	1.000	9.98	03/04/21	45.00	...	-35.02	Option sale to close-put <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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TSLA 03/12/2021 CALL \$652.50 / CUSIP: / Symbol:							
2 transactions for 03/09/21. Total proceeds and cost reported to the IRS.							
	1.000	650.99	03/08/21	1,460.00	...	-809.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	650.99	03/08/21	785.00	...	-134.01	2 of 2 - Option sale to close-call <sup>25</sup>
03/09/21	2.000	1,301.98	Various	2,245.00	...	-943.02	Total of 2 transactions
TSLA 03/12/2021 CALL \$675.00 / CUSIP: / Symbol:							
03/10/21	2.000	7,697.95	03/09/21	1,120.00	...	6,577.95	Option sale to close-call <sup>25</sup>
TSLA 03/12/2021 CALL \$775.00 / CUSIP: / Symbol:							
03/03/21	2.000	769.98	03/01/21	1,910.00	570.01 W	-570.01	Option sale to close-call <sup>25</sup>
03/04/21	1.000	196.98	03/02/21	1,325.01	...	-1,128.03	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>966.96</b>		<b>3,235.01</b>	<b>570.01 W</b>	<b>-1,698.04</b>	
TSLA 03/12/2021 CALL \$780.00 / CUSIP: / Symbol:							
2 transactions for 03/05/21. Total proceeds and cost reported to the IRS.							
	3.000	329.99	03/04/21	777.00	...	-447.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	105.98	03/04/21	494.01	...	-388.03	2 of 2 - Option sale to close-call <sup>25</sup>
03/05/21	4.000	435.97	Various	1,271.01	...	-835.04	Total of 2 transactions
03/05/21	2.000	219.99	03/03/21	690.00	235.01 W	-235.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>655.96</b>		<b>1,961.01</b>	<b>235.01 W</b>	<b>-1,070.04</b>	
TSLA 03/12/2021 CALL \$800.00 / CUSIP: / Symbol:							
2 transactions for 02/23/21. Total proceeds and cost reported to the IRS.							
	1.000	699.98	02/22/21	1,895.00	...	-1,195.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	699.97	02/22/21	1,750.00	...	-1,050.03	2 of 2 - Option sale to close-call <sup>25</sup>
02/23/21	2.000	1,399.95	Various	3,645.00	...	-2,245.05	Total of 2 transactions
TSLA 03/19/2021 CALL \$745.00 / CUSIP: / Symbol:							
03/12/21	1.000	834.98	03/10/21	1,360.00	...	-525.02	Option sale to close-call <sup>25</sup>
TSLA 03/19/2021 CALL \$760.00 / CUSIP: / Symbol:							
03/11/21	1.000	1,034.98	03/10/21	1,260.00	...	-225.02	Option sale to close-call <sup>25</sup>
TSLA 03/19/2021 CALL \$780.00 / CUSIP: / Symbol:							
03/11/21	1.000	759.98	03/10/21	860.00	...	-100.02	Option sale to close-call <sup>25</sup>

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Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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TSLA 03/26/2021 CALL \$740.00 / CUSIP: / Symbol:							
03/26/21	1.000	0.00	03/22/21	730.00	...	-730.00	Option expiration- long call
TSLA 03/26/2021 CALL \$745.00 / CUSIP: / Symbol:							
03/18/21	1.000	794.98	03/16/21	1,015.00	...	-220.02	Option sale to close-call <sup>25</sup>
TSLA 06/18/2021 CALL \$650.00 / CUSIP: / Symbol:							
06/14/21	2.000	869.98	06/09/21	890.00	20.02 W	0.00	Option sale to close-call <sup>25</sup>
06/17/21	1.000	15.98	06/14/21	289.01	...	-273.03	Option sale to close-call <sup>25</sup>
06/18/21	1.000	15.98	06/14/21	291.01	...	-275.03	Option sale to close-call <sup>25</sup>
<b>Security total:</b>		<b>901.94</b>		<b>1,470.02</b>	<b>20.02 W</b>	<b>-548.06</b>	
TSLA 07/09/2021 PUT \$660.00 / CUSIP: / Symbol:							
06/29/21	1.000	1,459.98	06/28/21	1,490.00	...	-30.02	Option sale to close-put <sup>25</sup>
TSLA 09/10/2021 CALL \$720.00 / CUSIP: / Symbol:							
08/30/21	1.000	1,679.98	08/26/21	1,425.00	...	254.98	Option sale to close-call <sup>25</sup>
TSLA 09/10/2021 CALL \$722.50 / CUSIP: / Symbol:							
08/30/21	1.000	1,679.98	08/30/21	1,465.00	...	214.98	Option sale to close-call <sup>25</sup>
TSLA 09/10/2021 CALL \$730.00 / CUSIP: / Symbol:							
08/31/21	1.000	2,026.97	08/30/21	1,360.00	...	666.97	Option sale to close-call <sup>25</sup>
TSLA 09/10/2021 CALL \$735.00 / CUSIP: / Symbol:							
09/01/21	1.000	1,509.98	08/31/21	1,295.00	...	214.98	Option sale to close-call <sup>25</sup>
TSLA 09/10/2021 CALL \$740.00 / CUSIP: / Symbol:							
09/07/21	1.000	1,424.98	09/03/21	775.00	...	649.98	Option sale to close-call <sup>25</sup>
TSLA 09/10/2021 PUT \$750.00 / CUSIP: / Symbol:							
09/08/21	1.000	471.98	09/07/21	770.00	...	-298.02	Option sale to close-put <sup>25</sup>
TSLA 09/17/2021 CALL \$740.00 / CUSIP: / Symbol:							
<i>2 transactions for 09/14/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	1,694.98	09/13/21	701.00	...	993.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	1,694.99	09/13/21	678.00	...	1,016.99	2 of 2 - Option sale to close-call <sup>25</sup>
09/14/21	2.000	3,389.97	Various	1,379.00	...	2,010.97	Total of 2 transactions

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 09/17/2021 CALL \$750.00 / CUSIP: / Symbol:							
6 transactions for 09/15/21. Total proceeds and cost reported to the IRS.							
	1.000	449.99	09/14/21	450.00	0.01 W	0.00	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	449.99	09/14/21	900.04	450.05 W	0.00	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	484.98	09/14/21	725.00	240.02 W	0.00	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	484.98	09/14/21	875.02	390.04 W	0.00	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	434.99	09/15/21	450.01	15.02 W	0.00	5 of 6 - Option sale to close-call <sup>25</sup>
	1.000	434.99	09/15/21	900.05	465.06 W	0.00	6 of 6 - Option sale to close-call <sup>25</sup>
09/15/21	6.000	2,739.92	Various	4,300.12	1,560.20 W	0.00	Total of 6 transactions
2 transactions for 09/16/21. Total proceeds and cost reported to the IRS.							
	1.000	739.99	09/15/21	910.06	...	-170.07	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	739.99	09/15/21	460.02	...	279.97	2 of 2 - Option sale to close-call <sup>25</sup>
09/16/21	2.000	1,479.98	Various	1,370.08	...	109.90	Total of 2 transactions
	<b>Security total:</b>	<b>4,219.90</b>		<b>5,670.20</b>	<b>1,560.20 W</b>	<b>109.90</b>	
TSLA 09/17/2021 CALL \$760.00 / CUSIP: / Symbol:							
09/08/21	1.000	989.98	09/08/21	1,030.00	40.02 W	0.00	Option sale to close-call <sup>25</sup>
2 transactions for 09/09/21. Total proceeds and cost reported to the IRS.							
	1.000	1,314.99	09/08/21	1,050.02	...	264.97	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	1,314.98	09/08/21	985.00	...	329.98	2 of 2 - Option sale to close-call <sup>25</sup>
09/09/21	2.000	2,629.97	Various	2,035.02	...	594.95	Total of 2 transactions
09/13/21	1.000	379.98	09/10/21	740.00	...	-360.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>3,999.93</b>		<b>3,805.02</b>	<b>40.02 W</b>	<b>234.93</b>	
TSLA 09/24/2021 CALL \$745.00 / CUSIP: / Symbol:							
09/22/21	2.000	1,559.98	09/21/21	1,380.00	...	179.98	Option sale to close-call <sup>25</sup>
TSLA 09/24/2021 CALL \$755.00 / CUSIP: / Symbol:							
09/20/21	1.000	614.98	09/20/21	870.00	...	-255.02	Option sale to close-call <sup>25</sup>
TSLA 09/24/2021 CALL \$765.00 / CUSIP: / Symbol:							
09/17/21	1.000	854.98	09/16/21	694.00	...	160.98	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 09/24/2021 PUT \$725.00 / CUSIP: / Symbol:							
2 transactions for 09/17/21. Total proceeds and cost reported to the IRS.							
	1.000	704.99	09/17/21	695.00	...	9.99	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	704.99	09/17/21	650.00	...	54.99	2 of 2 - Option sale to close-put <sup>25</sup>
09/17/21	2.000	1,409.98	Various	1,345.00	...	64.98	Total of 2 transactions
09/20/21	1.000	969.98	09/17/21	740.00	...	229.98	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>2,379.96</b>		<b>2,085.00</b>	...	<b>294.96</b>	
TSLA 10/01/2021 CALL \$760.00 / CUSIP: / Symbol:							
09/23/21	1.000	1,104.98	09/22/21	705.00	...	399.98	Option sale to close-call <sup>25</sup>
TSLA 10/01/2021 CALL \$785.00 / CUSIP: / Symbol:							
09/27/21	1.000	1,209.98	09/27/21	910.00	...	299.98	Option sale to close-call <sup>25</sup>
TSLA 10/01/2021 CALL \$800.00 / CUSIP: / Symbol:							
5 transactions for 09/24/21. Total proceeds and cost reported to the IRS.							
	1.000	234.99	09/22/21	79.00	...	155.99	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	234.99	09/22/21	79.00	...	155.99	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	235.00	09/22/21	79.00	...	156.00	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	235.00	09/22/21	79.00	...	156.00	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	235.00	09/22/21	79.00	...	156.00	5 of 5 - Option sale to close-call <sup>25</sup>
09/24/21	5.000	1,174.98	Various	395.00	...	779.98	Total of 5 transactions
3 transactions for 09/27/21. Total proceeds and cost reported to the IRS.							
	1.000	459.98	09/22/21	79.00	...	380.98	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	460.00	09/22/21	79.00	...	381.00	2 of 3 - Option sale to close-call <sup>25</sup>
	3.000	1,379.98	09/22/21	237.00	...	1,142.98	3 of 3 - Option sale to close-call <sup>25</sup>
09/27/21	5.000	2,299.96	Various	395.00	...	1,904.96	Total of 3 transactions
09/28/21	1.000	1,119.98	09/27/21	945.00	...	174.98	Option sale to close-call <sup>25</sup>
2 transactions for 09/29/21. Total proceeds and cost reported to the IRS.							
	1.000	689.99	09/28/21	554.01	...	135.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	689.99	09/28/21	540.01	...	149.98	2 of 2 - Option sale to close-call <sup>25</sup>
09/29/21	2.000	1,379.98	Various	1,094.02	...	285.96	Total of 2 transactions

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 10/01/2021 CALL \$800.00 / CUSIP: / Symbol: (cont'd)							
2 transactions for 09/29/21. Total proceeds and cost reported to the IRS.							
	1.000	624.99	09/28/21	645.00	20.01 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	624.99	09/28/21	675.00	50.01 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
09/29/21	2.000	1,249.98	Various	1,320.00	70.02 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>7,224.88</b>		<b>4,149.02</b>	<b>70.02 W</b>	<b>3,145.88</b>	
TSLA 10/08/2021 CALL \$805.00 / CUSIP: / Symbol:							
6 transactions for 10/04/21. Total proceeds and cost reported to the IRS.							
	1.000	1,430.99	09/29/21	1,245.00	...	185.99	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	1,430.99	09/30/21	1,100.00	...	330.99	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	1,430.98	10/01/21	905.00	...	525.98	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	1,429.98	10/01/21	685.00	...	744.98	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	1,428.98	10/01/21	680.00	...	748.98	5 of 6 - Option sale to close-call <sup>25</sup>
	1.000	1,719.98	10/04/21	1,185.00	...	534.98	6 of 6 - Option sale to close-call <sup>25</sup>
10/04/21	6.000	8,871.90	Various	5,800.00	...	3,071.90	Total of 6 transactions
4 transactions for 10/05/21. Total proceeds and cost reported to the IRS.							
	1.000	774.98	10/04/21	1,250.00	475.02 W	0.00	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	819.98	10/04/21	1,535.02	715.04 W	0.00	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	889.98	10/04/21	1,472.06	582.08 W	0.00	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	889.98	10/04/21	1,547.04	657.06 W	0.00	4 of 4 - Option sale to close-call <sup>25</sup>
10/05/21	4.000	3,374.92	Various	5,804.12	2,429.20 W	0.00	Total of 4 transactions
4 transactions for 10/07/21. Total proceeds and cost reported to the IRS.							
	1.000	167.99	10/05/21	1,468.08	...	-1,300.09	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	169.00	10/05/21	605.00	...	-436.00	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	168.99	10/05/21	555.00	...	-386.01	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	167.99	10/06/21	587.01	...	-419.02	4 of 4 - Option sale to close-call <sup>25</sup>
10/07/21	4.000	673.97	Various	3,215.09	...	-2,541.12	Total of 4 transactions
2 transactions for 10/07/21. Total proceeds and cost reported to the IRS.							
	1.000	168.99	10/04/21	540.00	371.01 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	169.00	10/04/21	1,172.08	1,003.08 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
10/07/21	2.000	337.99	Various	1,712.08	1,374.09 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>13,258.78</b>		<b>16,531.29</b>	<b>3,803.29 W</b>	<b>530.78</b>	

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Robinhood Securities LLC

Account 685320095

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 10/08/2021 CALL \$830.00 / CUSIP: / Symbol:							
10/08/21	2.000	83.98	10/07/21	162.00	...	-78.02	Option sale to close-call <sup>25</sup>
TSLA 10/08/2021 PUT \$760.00 / CUSIP: / Symbol:							
10/08/21	2.000	231.98	10/07/21	336.00	...	-104.02	Option sale to close-put <sup>25</sup>
TSLA 10/15/2021 CALL \$805.00 / CUSIP: / Symbol:							
2 transactions for 10/12/21. Total proceeds and cost reported to the IRS.							
	1.000	1,129.99	10/11/21	800.00	...	329.99	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	2,259.98	10/11/21	1,580.00	...	679.98	2 of 2 - Option sale to close-call <sup>25</sup>
10/12/21	3.000	3,389.97	Various	2,380.00	...	1,009.97	Total of 2 transactions
TSLA 10/15/2021 CALL \$810.00 / CUSIP: / Symbol:							
3 transactions for 10/11/21. Total proceeds and cost reported to the IRS.							
	1.000	674.99	10/08/21	790.00	...	-115.01	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	674.99	10/08/21	759.00	...	-84.01	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	674.99	10/08/21	645.00	...	29.99	3 of 3 - Option sale to close-call <sup>25</sup>
10/11/21	3.000	2,024.97	Various	2,194.00	...	-169.03	Total of 3 transactions
TSLA 10/15/2021 CALL \$815.00 / CUSIP: / Symbol:							
10/07/21	1.000	1,294.98	10/07/21	740.00	...	554.98	Option sale to close-call <sup>25</sup>
10/12/21	2.000	1,353.98	10/11/21	1,030.00	...	323.98	Option sale to close-call <sup>25</sup>
5 transactions for 10/13/21. Total proceeds and cost reported to the IRS.							
	1.000	509.98	10/13/21	505.00	...	4.98	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	509.98	10/13/21	505.00	...	4.98	2 of 5 - Option sale to close-call <sup>25</sup>
	2.000	989.98	10/13/21	980.00	...	9.98	3 of 5 - Option sale to close-call <sup>25</sup>
	2.000	1,089.98	10/13/21	1,060.00	...	29.98	4 of 5 - Option sale to close-call <sup>25</sup>
	2.000	1,169.98	10/13/21	1,020.00	...	149.98	5 of 5 - Option sale to close-call <sup>25</sup>
10/13/21	8.000	4,269.90	Various	4,070.00	...	199.90	Total of 5 transactions
	<b>Security total:</b>	<b>6,918.86</b>		<b>5,840.00</b>	...	<b>1,078.86</b>	

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Robinhood Securities LLC

Account 685320095

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TSLA 10/15/2021 CALL \$820.00 / CUSIP: / Symbol:							
2 transactions for 10/07/21. Total proceeds and cost reported to the IRS.							
	2.000	1,779.98	10/06/21	1,340.00	...	439.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	889.99	10/07/21	585.00	...	304.99	2 of 2 - Option sale to close-call <sup>25</sup>
10/07/21	3.000	2,669.97	Various	1,925.00	...	744.97	Total of 2 transactions
10/11/21	2.000	889.98	10/11/21	950.00	60.02 W	0.00	Option sale to close-call <sup>25</sup>
10/14/21	2.000	749.98	10/14/21	750.02	...	-0.04	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>4,309.93</b>		<b>3,625.02</b>	<b>60.02 W</b>	<b>744.93</b>	
TSLA 10/15/2021 CALL \$830.00 / CUSIP: / Symbol:							
10/08/21	1.000	569.98	10/07/21	580.00	...	-10.02	Option sale to close-call <sup>25</sup>
TSLA 10/15/2021 PUT \$790.00 / CUSIP: / Symbol:							
2 transactions for 10/13/21. Total proceeds and cost reported to the IRS.							
	2.000	1,019.99	10/12/21	1,450.00	...	-430.01	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	509.99	10/12/21	555.00	...	-45.01	2 of 2 - Option sale to close-put <sup>25</sup>
10/13/21	3.000	1,529.98	Various	2,005.00	...	-475.02	Total of 2 transactions
TSLA 10/15/2021 PUT \$810.00 / CUSIP: / Symbol:							
3 transactions for 10/13/21. Total proceeds and cost reported to the IRS.							
	1.000	693.98	10/13/21	645.00	...	48.98	1 of 3 - Option sale to close-put <sup>25</sup>
	1.000	693.98	10/13/21	645.00	...	48.98	2 of 3 - Option sale to close-put <sup>25</sup>
	2.000	1,387.98	10/13/21	1,290.00	...	97.98	3 of 3 - Option sale to close-put <sup>25</sup>
10/13/21	4.000	2,775.94	Various	2,580.00	...	195.94	Total of 3 transactions
2 transactions for 10/14/21. Total proceeds and cost reported to the IRS.							
	2.000	919.98	10/14/21	950.00	...	-30.02	1 of 2 - Option sale to close-put <sup>25</sup>
	2.000	1,179.98	10/14/21	1,080.00	...	99.98	2 of 2 - Option sale to close-put <sup>25</sup>
10/14/21	4.000	2,099.96	Various	2,030.00	...	69.96	Total of 2 transactions
	<b>Security total:</b>	<b>4,875.90</b>		<b>4,610.00</b>	...	<b>265.90</b>	
TSLA 10/15/2021 PUT \$815.00 / CUSIP: / Symbol:							
2 transactions for 10/14/21. Total proceeds and cost reported to the IRS.							
	1.000	404.99	10/14/21	430.00	...	-25.01	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	404.99	10/14/21	430.00	...	-25.01	2 of 2 - Option sale to close-put <sup>25</sup>
10/14/21	2.000	809.98	Various	860.00	...	-50.02	Total of 2 transactions

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 10/22/2021 CALL \$840.00 / CUSIP: / Symbol:							
10/14/21	2.000	2,549.97	10/14/21	2,520.00	...	29.97	Option sale to close-call <sup>25</sup>
TSLA 10/22/2021 CALL \$845.00 / CUSIP: / Symbol:							
4 transactions for 10/15/21. Total proceeds and cost reported to the IRS.							
	1.000	1,414.98	10/15/21	1,330.00	...	84.98	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	1,414.98	10/15/21	1,260.00	...	154.98	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	1,719.98	10/15/21	1,525.00	...	194.98	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	1,719.98	10/15/21	1,425.00	...	294.98	4 of 4 - Option sale to close-call <sup>25</sup>
10/15/21	4.000	6,269.92	Various	5,540.00	...	729.92	Total of 4 transactions
10/18/21	1.000	3,064.97	10/15/21	1,935.00	...	1,129.97	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>9,334.89</b>		<b>7,475.00</b>	...	<b>1,859.89</b>	
TSLA 10/22/2021 CALL \$870.00 / CUSIP: / Symbol:							
10/20/21	1.000	1,414.98	10/20/21	1,485.00	...	-70.02	Option sale to close-call <sup>25</sup>
TSLA 10/22/2021 CALL \$890.00 / CUSIP: / Symbol:							
10/18/21	1.000	1,164.98	10/18/21	1,310.00	145.02 W	0.00	Option sale to close-call <sup>25</sup>
2 transactions for 10/19/21. Total proceeds and cost reported to the IRS.							
	2.000	1,839.98	10/19/21	2,210.00	...	-370.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	1,294.98	10/19/21	1,265.08	...	29.90	2 of 2 - Option sale to close-call <sup>25</sup>
10/19/21	3.000	3,134.96	Various	3,475.08	...	-340.12	Total of 2 transactions
3 transactions for 10/19/21. Total proceeds and cost reported to the IRS.							
	1.000	1,209.98	10/19/21	1,335.02	125.04 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	1,279.98	10/19/21	1,290.06	10.08 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	1,279.98	10/19/21	1,345.04	65.06 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
10/19/21	3.000	3,769.94	Various	3,970.12	200.18 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>8,069.88</b>		<b>8,755.20</b>	<b>345.20 W</b>	<b>-340.12</b>	
TSLA 10/22/2021 CALL \$900.00 / CUSIP: / Symbol:							
2 transactions for 10/18/21. Total proceeds and cost reported to the IRS.							
	2.000	1,409.98	10/15/21	568.00	...	841.98	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	1,409.99	10/15/21	568.00	...	841.99	2 of 2 - Option sale to close-call <sup>25</sup>
10/18/21	4.000	2,819.97	Various	1,136.00	...	1,683.97	Total of 2 transactions

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
TSLA 10/22/2021 CALL \$900.00 / CUSIP: / Symbol: (cont'd)							
10/19/21	1.000	982.98	10/18/21	744.00	...	238.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>3,802.95</b>		<b>1,880.00</b>	...	<b>1,922.95</b>	
TSLA 10/22/2021 PUT \$800.00 / CUSIP: / Symbol:							
10/20/21	1.000	354.98	10/19/21	440.00	...	-85.02	Option sale to close-put <sup>25</sup>
TSLA 10/22/2021 PUT \$825.00 / CUSIP: / Symbol:							
10/15/21	1.000	2,224.97	10/15/21	2,235.00	...	-10.03	Option sale to close-put <sup>25</sup>
TSLA 10/22/2021 PUT \$840.00 / CUSIP: / Symbol:							
	2 transactions for 10/21/21. Total proceeds and cost reported to the IRS.						
	1.000	122.99	10/20/21	880.00	...	-757.01	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	122.99	10/20/21	810.00	...	-687.01	2 of 2 - Option sale to close-put <sup>25</sup>
10/21/21	2.000	245.98	Various	1,690.00	...	-1,444.02	Total of 2 transactions
TSLA 10/29/2021 CALL \$1,070.00 / CUSIP: / Symbol:							
10/27/21	1.000	939.98	10/27/21	1,230.08	...	-290.10	Option sale to close-call <sup>25</sup>
	4 transactions for 10/27/21. Total proceeds and cost reported to the IRS.						
	1.000	864.98	10/27/21	1,170.06	305.08 W	0.00	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	1,054.98	10/27/21	1,375.02	320.04 W	0.00	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	1,109.98	10/27/21	1,355.04	245.06 W	0.00	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	1,184.98	10/27/21	1,420.00	235.02 W	0.00	4 of 4 - Option sale to close-call <sup>25</sup>
10/27/21	4.000	4,214.92	Various	5,320.12	1,105.20 W	0.00	Total of 4 transactions
	<b>Security total:</b>	<b>5,154.90</b>		<b>6,550.20</b>	<b>1,105.20 W</b>	<b>-290.10</b>	
TSLA 10/29/2021 CALL \$1,100.00 / CUSIP: / Symbol:							
	2 transactions for 10/27/21. Total proceeds and cost reported to the IRS.						
	1.000	1,029.98	10/26/21	990.00	...	39.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	1,029.99	10/26/21	660.00	...	369.99	2 of 2 - Option sale to close-call <sup>25</sup>
10/27/21	2.000	2,059.97	Various	1,650.00	...	409.97	Total of 2 transactions
TSLA 10/29/2021 CALL \$1,110.00 / CUSIP: / Symbol:							
10/26/21	1.000	1,304.98	10/26/21	1,390.00	...	-85.02	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
TSLA 10/29/2021 CALL \$980.00 / CUSIP: / Symbol: 2 transactions for 10/25/21. Total proceeds and cost reported to the IRS.							
	1.000	1,469.98	10/25/21	1,390.00	...	79.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	1,499.98	10/25/21	1,375.00	...	124.98	2 of 2 - Option sale to close-call <sup>25</sup>
10/25/21	2.000	2,969.96	Various	2,765.00	...	204.96	Total of 2 transactions
TSLA 10/29/2021 PUT \$890.00 / CUSIP: / Symbol: 2 transactions for 10/21/21. Total proceeds and cost reported to the IRS.							
	1.000	1,699.98	10/21/21	1,620.00	...	79.98	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	1,829.98	10/21/21	1,725.00	...	104.98	2 of 2 - Option sale to close-put <sup>25</sup>
10/21/21	2.000	3,529.96	Various	3,345.00	...	184.96	Total of 2 transactions
10/22/21	1.000	1,359.98	10/22/21	1,530.00	...	-170.02	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>4,889.94</b>		<b>4,875.00</b>	...	<b>14.94</b>	
TSLA 10/29/2021 PUT \$940.00 / CUSIP: / Symbol: 2 transactions for 10/25/21. Total proceeds and cost reported to the IRS.							
	1.000	789.98	10/25/21	845.00	...	-55.02	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	789.98	10/25/21	845.00	...	-55.02	2 of 2 - Option sale to close-put <sup>25</sup>
10/25/21	2.000	1,579.96	Various	1,690.00	...	-110.04	Total of 2 transactions
TSLA 10/29/2021 PUT \$970.00 / CUSIP: / Symbol: 10/25/21 1.000 1,414.98 10/25/21 1,450.00 ... -35.02 Option sale to close-put <sup>25</sup>							
TSLA 10/29/2021 PUT \$980.00 / CUSIP: / Symbol: 10/25/21 1.000 1,394.98 10/25/21 1,735.02 ... -340.04 Option sale to close-put <sup>25</sup> 10/25/21 1.000 1,754.98 10/25/21 1,780.00 25.02 W 0.00 Option sale to close-put <sup>25</sup> <b>Security total: 3,149.96 3,515.02 25.02 W -340.04</b>							
TSLA 10/29/2021 PUT \$990.00 / CUSIP: / Symbol: 10/25/21 1.000 2,304.97 10/25/21 2,135.00 ... 169.97 Option sale to close-put <sup>25</sup>							
TSLA 11/05/2021 CALL \$1,180.00 / CUSIP: / Symbol: 11/03/21 1.000 1,549.98 11/03/21 1,645.00 ... -95.02 Option sale to close-call <sup>25</sup>							

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Robinhood Securities LLC

Account 685320095

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
TSLA 11/05/2021 CALL \$1,190.00 / CUSIP: / Symbol: 2 transactions for 11/03/21. Total proceeds and cost reported to the IRS.							
	1.000	1,249.98	11/03/21	2,105.00	...	-855.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	2,274.97	11/03/21	2,135.00	...	139.97	2 of 2 - Option sale to close-call <sup>25</sup>
11/03/21	2.000	3,524.95	Various	4,240.00	...	-715.05	Total of 2 transactions
TSLA 11/05/2021 CALL \$1,200.00 / CUSIP: / Symbol: 3 transactions for 11/01/21. Total proceeds and cost reported to the IRS.							
	1.000	1,484.98	10/29/21	945.00	...	539.98	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	1,659.98	10/29/21	1,065.00	...	594.98	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	2,334.97	10/29/21	1,215.00	...	1,119.97	3 of 3 - Option sale to close-call <sup>25</sup>
11/01/21	3.000	5,479.93	Various	3,225.00	...	2,254.93	Total of 3 transactions
2 transactions for 11/01/21. Total proceeds and cost reported to the IRS.							
	1.000	1,474.98	11/01/21	1,530.00	55.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	2,429.97	11/01/21	2,595.02	165.05 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
11/01/21	2.000	3,904.95	Various	4,125.02	220.07 W	0.00	Total of 2 transactions
6 transactions for 11/02/21. Total proceeds and cost reported to the IRS.							
	1.000	2,379.98	11/02/21	2,190.05	...	189.93	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	3,499.97	11/02/21	3,294.05	...	205.92	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	2,319.97	11/02/21	2,040.02	...	279.95	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	2,669.98	11/02/21	2,200.00	...	469.98	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	2,379.98	11/02/21	1,780.00	...	599.98	5 of 6 - Option sale to close-call <sup>25</sup>
	1.000	2,914.97	11/02/21	2,285.00	...	629.97	6 of 6 - Option sale to close-call <sup>25</sup>
11/02/21	6.000	16,164.85	Various	13,789.12	...	2,375.73	Total of 6 transactions
3 transactions for 11/02/21. Total proceeds and cost reported to the IRS.							
	1.000	1,939.98	11/02/21	1,985.00	45.02 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	2,669.98	11/02/21	2,685.03	15.05 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	3,099.97	11/02/21	3,150.00	50.03 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
11/02/21	3.000	7,709.93	Various	7,820.03	110.10 W	0.00	Total of 3 transactions
<b>Security total:</b>		<b>33,259.66</b>		<b>28,959.17</b>	<b>330.17 W</b>	<b>4,630.66</b>	
TSLA 11/05/2021 PUT \$1,100.00 / CUSIP: / Symbol:							
11/02/21	1.000	1,444.98	11/01/21	1,035.00	...	409.98	Option sale to close-put <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 11/05/2021 PUT \$1,170.00 / CUSIP: / Symbol:							
11/04/21	1.000	579.98	11/03/21	1,010.00	...	-430.02	Option sale to close-put <sup>25</sup>
TSLA 11/12/2021 CALL \$1,150.00 / CUSIP: / Symbol:							
11/10/21	1.000	479.98	11/09/21	695.00	...	-215.02	Option sale to close-call <sup>25</sup>
TSLA 11/19/2021 CALL \$1,200.00 / CUSIP: / Symbol:							
11/11/21	1.000	1,124.98	11/10/21	1,220.00	...	-95.02	Option sale to close-call <sup>25</sup>
TSLA 11/19/2021 PUT \$980.00 / CUSIP: / Symbol:							
11/12/21	1.000	1,969.97	11/12/21	1,655.00	...	314.97	Option sale to close-put <sup>25</sup>
TSLA 11/26/2021 CALL \$1,170.00 / CUSIP: / Symbol:							
11/19/21	1.000	2,309.97	11/17/21	1,665.01	...	644.96	Option sale to close-call <sup>25</sup>
	2 transactions for 11/19/21. Total proceeds and cost reported to the IRS.						
	1.000	1,749.98	11/17/21	1,850.00	100.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	1,749.99	11/17/21	1,990.00	240.01 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
11/19/21	2.000	3,499.97	Various	3,840.00	340.03 W	0.00	Total of 2 transactions
11/22/21	1.000	3,659.97	11/18/21	1,255.02	...	2,404.95	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>9,469.91</b>		<b>6,760.03</b>	<b>340.03 W</b>	<b>3,049.91</b>	
TSLA 11/26/2021 CALL \$1,200.00 / CUSIP: / Symbol:							
11/19/21	1.000	734.98	11/17/21	1,195.00	460.02 W	0.00	Option sale to close-call <sup>25</sup>
11/22/21	1.000	2,429.97	11/22/21	2,590.02	...	-160.05	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>3,164.95</b>		<b>3,785.02</b>	<b>460.02 W</b>	<b>-160.05</b>	
TSLA 12/03/2021 CALL \$1,170.00 / CUSIP: / Symbol:							
11/29/21	1.000	1,779.98	11/23/21	2,395.00	615.02 W	0.00	Option sale to close-call <sup>25</sup>
11/30/21	1.000	2,454.97	11/26/21	2,215.02	...	239.95	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>4,234.95</b>		<b>4,610.02</b>	<b>615.02 W</b>	<b>239.95</b>	
TSLA 12/10/2021 CALL \$1,100.00 / CUSIP: / Symbol:							
12/06/21	1.000	659.98	12/03/21	1,195.00	535.02 W	0.00	Option sale to close-call <sup>25</sup>
	2 transactions for 12/07/21. Total proceeds and cost reported to the IRS.						
	1.000	859.99	12/07/21	935.01	...	-75.02	1 of 2 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 12/10/2021 CALL \$1,100.00 / CUSIP: / Symbol: (cont'd)							
	1.000	859.99	12/07/21	830.03	...	29.96	2 of 2 - Option sale to close-call <sup>25</sup>
12/07/21	2.000	1,719.98	Various	1,765.04	...	-45.06	Total of 2 transactions
	2 transactions for 12/07/21. Total proceeds and cost reported to the IRS.						
	1.000	869.99	12/03/21	1,195.00	325.01 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	869.99	12/06/21	1,105.02	235.03 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
12/07/21	2.000	1,739.98	Various	2,300.02	560.04 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>4,119.94</b>		<b>5,260.06</b>	<b>1,095.06 W</b>	<b>-45.06</b>	
TSLA 12/10/2021 PUT \$990.00 / CUSIP: / Symbol:							
12/03/21	1.000	2,489.97	12/03/21	2,195.00	...	294.97	Option sale to close-put <sup>25</sup>
TSLA 12/17/2021 CALL \$1,100.00 / CUSIP: / Symbol:							
	2 transactions for 12/16/21. Total proceeds and cost reported to the IRS.						
	1.000	27.99	12/09/21	1,610.00	...	-1,582.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	27.99	12/09/21	1,095.00	...	-1,067.01	2 of 2 - Option sale to close-call <sup>25</sup>
12/16/21	2.000	55.98	Various	2,705.00	...	-2,649.02	Total of 2 transactions
TSLA 12/23/2021 CALL \$1,060.00 / CUSIP: / Symbol:							
12/17/21	1.000	334.98	12/15/21	745.00	...	-410.02	Option sale to close-call <sup>25</sup>
UBER 11/05/2021 CALL \$46.00 / CUSIP: / Symbol:							
11/04/21	4.000	583.98	11/03/21	556.00	...	27.98	Option sale to close-call <sup>25</sup>
11/05/21	1.000	147.98	11/03/21	139.00	...	8.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>731.96</b>		<b>695.00</b>	...	<b>36.96</b>	
UPST 04/16/2021 PUT \$55.00 / CUSIP: / Symbol:							
04/16/21	5.000	0.00	03/18/21	950.00	...	-950.00	Option expiration- long put
WATT 03/19/2021 PUT \$2.50 / CUSIP: / Symbol:							
03/19/21	5.000	0.00	02/19/21	25.00	...	-25.00	Option expiration- long put
WATT 03/19/2021 PUT \$3.00 / CUSIP: / Symbol:							
02/19/21	1.000	9.98	02/19/21	9.00	...	0.98	Option sale to close-put <sup>25</sup>
	4 transactions for 02/22/21. Total proceeds and cost reported to the IRS.						
	20.000	299.95	02/19/21	300.00	...	-0.05	1 of 4 - Option sale to close-put <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WATT 03/19/2021 PUT \$3.00 / CUSIP: / Symbol: (cont'd)							
	1.000	14.98	02/19/21	9.00	...	5.98	2 of 4 - Option sale to close-put <sup>25</sup>
	3.000	44.99	02/19/21	27.00	...	17.99	3 of 4 - Option sale to close-put <sup>25</sup>
	15.000	224.96	02/19/21	135.00	...	89.96	4 of 4 - Option sale to close-put <sup>25</sup>
02/22/21	39.000	584.88	Various	471.00	...	113.88	Total of 4 transactions
	<b>Security total:</b>	<b>594.86</b>		<b>480.00</b>	...	<b>114.86</b>	
WISH 10/22/2021 CALL \$7.00 / CUSIP: / Symbol:							
10/20/21	10.000	169.97	10/20/21	80.00	...	89.97	Option sale to close-call <sup>25</sup>
WKHS 03/05/2021 CALL \$25.50 / CUSIP: / Symbol:							
3 transactions for 03/04/21. Total proceeds and cost reported to the IRS.							
	6.000	11.98	02/25/21	942.00	...	-930.02	1 of 3 - Option sale to close-call <sup>25</sup>
	3.000	5.99	02/25/21	471.00	...	-465.01	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	2.00	02/25/21	157.00	...	-155.00	3 of 3 - Option sale to close-call <sup>25</sup>
03/04/21	10.000	19.97	Various	1,570.00	...	-1,550.03	Total of 3 transactions
WKHS 06/11/2021 CALL \$18.00 / CUSIP: / Symbol:							
2 transactions for 06/04/21. Total proceeds and cost reported to the IRS.							
	1.000	119.98	06/03/21	395.00	...	-275.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	119.98	06/03/21	395.00	...	-275.02	2 of 2 - Option sale to close-call <sup>25</sup>
06/04/21	2.000	239.96	Various	790.00	...	-550.04	Total of 2 transactions
WWE 02/19/2021 CALL \$65.00 / CUSIP: / Symbol:							
2 transactions for 02/05/21. Total proceeds and cost reported to the IRS.							
	4.000	19.98	02/01/21	360.00	...	-340.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	4.98	02/01/21	90.00	...	-85.02	2 of 2 - Option sale to close-call <sup>25</sup>
02/05/21	5.000	24.96	Various	450.00	...	-425.04	Total of 2 transactions
XFOR 12/17/2021 CALL \$7.50 / CUSIP: / Symbol:							
11/10/21	1.000	14.98	11/04/21	25.00	...	-10.02	Option sale to close-call <sup>25</sup>
ZM 03/05/2021 CALL \$610.00 / CUSIP: / Symbol:							
9 transactions for 03/02/21. Total proceeds and cost reported to the IRS.							
	2.000	15.99	03/01/21	50.00	...	-34.01	1 of 9 - Option sale to close-call <sup>25</sup>
	1.000	7.99	03/01/21	25.00	...	-17.01	2 of 9 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ZM 03/05/2021 CALL \$610.00 / CUSIP: / Symbol: (cont'd)							
	1.000	7.99	03/01/21	25.00	...	-17.01	3 of 9 - Option sale to close-call <sup>25</sup>
	1.000	8.00	03/01/21	25.00	...	-17.00	4 of 9 - Option sale to close-call <sup>25</sup>
	1.000	8.00	03/01/21	25.00	...	-17.00	5 of 9 - Option sale to close-call <sup>25</sup>
	1.000	8.00	03/01/21	25.00	...	-17.00	6 of 9 - Option sale to close-call <sup>25</sup>
	1.000	8.00	03/01/21	25.00	...	-17.00	7 of 9 - Option sale to close-call <sup>25</sup>
	1.000	8.00	03/01/21	25.00	...	-17.00	8 of 9 - Option sale to close-call <sup>25</sup>
	1.000	8.00	03/01/21	25.00	...	-17.00	9 of 9 - Option sale to close-call <sup>25</sup>
03/02/21	10.000	79.97	Various	250.00	...	-170.03	Total of 9 transactions
ZM 06/04/2021 CALL \$350.00 / CUSIP: / Symbol:							
05/26/21	1.000	709.98	05/25/21	530.00	...	179.98	Option sale to close-call <sup>25</sup>
05/28/21	1.000	919.98	05/26/21	710.00	...	209.98	Option sale to close-call <sup>25</sup>
06/03/21	1.000	29.98	05/28/21	850.00	...	-820.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,659.94</b>		<b>2,090.00</b>	...	<b>-430.06</b>	
ZM 06/04/2021 CALL \$357.50 / CUSIP: / Symbol:							
06/03/21	1.000	9.98	05/28/21	550.00	...	-540.02	Option sale to close-call <sup>25</sup>
ZM 09/03/2021 CALL \$360.00 / CUSIP: / Symbol:							
08/30/21	1.000	929.98	08/26/21	910.00	...	19.98	Option sale to close-call <sup>25</sup>
09/03/21	1.000	0.00	08/27/21	660.00	...	-660.00	Option expiration- long call
	<b>Security total:</b>	<b>929.98</b>		<b>1,570.00</b>	...	<b>-640.02</b>	
ZM 09/03/2021 CALL \$380.00 / CUSIP: / Symbol:							
09/03/21	1.000	0.00	08/30/21	318.00	...	-318.00	Option expiration- long call
ZM 10/29/2021 CALL \$300.00 / CUSIP: / Symbol:							
	2 transactions for 10/22/21. Total proceeds and cost reported to the IRS.						
	5.000	724.98	10/22/21	450.00	...	274.98	1 of 2 - Option sale to close-call <sup>25</sup>
	5.000	724.98	10/22/21	450.00	...	274.98	2 of 2 - Option sale to close-call <sup>25</sup>
10/22/21	10.000	1,449.96	Various	900.00	...	549.96	Total of 2 transactions
10/25/21	5.000	324.98	10/22/21	425.00	...	-100.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,774.94</b>		<b>1,325.00</b>	...	<b>449.94</b>	

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol:							
3 transactions for 01/20/21. Total proceeds and cost reported to the IRS.							
	100.000	331.98	01/19/21	280.00	...	51.98	1 of 3 - Sale <sup>25</sup>
	259.000	859.83	01/19/21	680.70	...	179.13	2 of 3 - Sale <sup>25</sup>
	500.000	1,680.00	01/19/21	1,400.00	...	280.00	3 of 3 - Sale <sup>25</sup>
01/20/21	859.000	2,871.81	Various	2,360.70	...	511.11	Total of 3 transactions
02/03/21	1.000	9.00	01/19/21	2.63	...	6.37	Sale <sup>25</sup>
18 transactions for 02/03/21. Total proceeds and cost reported to the IRS.							
	1.000	9.00	01/27/21	18.60	9.60 W	0.00	1 of 18 - Sale <sup>25</sup>
	1.000	9.00	01/27/21	29.03	20.03 W	0.00	2 of 18 - Sale <sup>25</sup>
	2.000	18.00	01/27/21	58.06	40.06 W	0.00	3 of 18 - Sale <sup>25</sup>
	5.000	45.00	01/27/21	93.00	48.00 W	0.00	4 of 18 - Sale <sup>25</sup>
	5.000	45.00	01/27/21	93.00	48.00 W	0.00	5 of 18 - Sale <sup>25</sup>
	5.000	45.00	01/27/21	93.00	48.00 W	0.00	6 of 18 - Sale <sup>25</sup>
	5.000	45.00	01/27/21	93.00	48.00 W	0.00	7 of 18 - Sale <sup>25</sup>
	5.000	45.00	01/27/21	145.15	100.15 W	0.00	8 of 18 - Sale <sup>25</sup>
	5.000	45.00	01/27/21	145.15	100.15 W	0.00	9 of 18 - Sale <sup>25</sup>
	6.000	54.00	01/27/21	111.60	57.60 W	0.00	10 of 18 - Sale <sup>25</sup>
	6.000	54.00	01/27/21	111.60	57.60 W	0.00	11 of 18 - Sale <sup>25</sup>
	6.000	54.00	01/27/21	174.18	120.18 W	0.00	12 of 18 - Sale <sup>25</sup>
	9.000	81.00	01/27/21	167.40	86.40 W	0.00	13 of 18 - Sale <sup>25</sup>
	9.000	81.00	01/27/21	261.27	180.27 W	0.00	14 of 18 - Sale <sup>25</sup>
	11.000	99.00	01/27/21	319.33	220.33 W	0.00	15 of 18 - Sale <sup>25</sup>
	28.000	251.99	01/27/21	520.80	268.81 W	0.00	16 of 18 - Sale <sup>25</sup>
	30.000	270.00	01/27/21	558.00	288.00 W	0.00	17 of 18 - Sale <sup>25</sup>
	50.000	450.00	01/27/21	930.00	480.00 W	0.00	18 of 18 - Sale <sup>25</sup>
02/03/21	189.000	1,700.99	Various	3,922.17	2,221.18 W	0.00	Total of 18 transactions
38 transactions for 02/04/21. Total proceeds and cost reported to the IRS.							
	1.000	7.78	01/27/21	36.40	28.62 W	0.00	1 of 38 - Sale <sup>25</sup>
	2.000	15.56	01/27/21	72.80	57.24 W	0.00	2 of 38 - Sale <sup>25</sup>
	5.000	36.20	01/27/21	174.60	138.40 W	0.00	3 of 38 - Sale <sup>25</sup>
	5.000	36.20	01/27/21	174.60	138.40 W	0.00	4 of 38 - Sale <sup>25</sup>
	5.000	38.90	01/27/21	145.15	106.25 W	0.00	5 of 38 - Sale <sup>25</sup>
	5.000	38.90	01/27/21	145.15	106.25 W	0.00	6 of 38 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
	5.000	38.90	01/27/21	182.00	143.10 W	0.00	7 of 38 - Sale <sup>25</sup>
	5.000	38.90	01/27/21	182.00	143.10 W	0.00	8 of 38 - Sale <sup>25</sup>
	6.000	43.44	01/27/21	209.52	166.08 W	0.00	9 of 38 - Sale <sup>25</sup>
	6.000	43.44	01/27/21	209.52	166.08 W	0.00	10 of 38 - Sale <sup>25</sup>
	6.000	46.68	01/27/21	174.18	127.50 W	0.00	11 of 38 - Sale <sup>25</sup>
	6.000	46.68	01/27/21	174.18	127.50 W	0.00	12 of 38 - Sale <sup>25</sup>
	6.000	46.68	01/27/21	218.40	171.72 W	0.00	13 of 38 - Sale <sup>25</sup>
	8.000	57.92	01/27/21	279.36	221.44 W	0.00	14 of 38 - Sale <sup>25</sup>
	8.000	57.92	01/27/21	281.72	223.80 W	0.00	15 of 38 - Sale <sup>25</sup>
	9.000	70.02	01/27/21	327.60	257.58 W	0.00	16 of 38 - Sale <sup>25</sup>
	10.000	72.40	01/27/21	376.20	303.80 W	0.00	17 of 38 - Sale <sup>25</sup>
	11.000	79.64	01/27/21	413.82	334.18 W	0.00	18 of 38 - Sale <sup>25</sup>
	11.000	85.58	01/27/21	319.33	233.75 W	0.00	19 of 38 - Sale <sup>25</sup>
	11.000	85.58	01/27/21	400.40	314.82 W	0.00	20 of 38 - Sale <sup>25</sup>
	20.000	155.60	01/27/21	327.40	171.80 W	0.00	21 of 38 - Sale <sup>25</sup>
	28.000	217.84	01/27/21	812.85	595.01 W	0.00	22 of 38 - Sale <sup>25</sup>
	30.000	233.40	01/27/21	582.90	349.50 W	0.00	23 of 38 - Sale <sup>25</sup>
	40.000	289.59	01/27/21	1,396.80	1,107.21 W	0.00	24 of 38 - Sale <sup>25</sup>
	50.000	389.00	01/27/21	1,451.50	1,062.50 W	0.00	25 of 38 - Sale <sup>25</sup>
	1.000	7.24	02/02/21	36.75	29.51 W	0.00	26 of 38 - Sale <sup>25</sup>
	2.000	14.48	02/02/21	73.50	59.02 W	0.00	27 of 38 - Sale <sup>25</sup>
	4.000	28.96	02/02/21	32.52	3.56 W	0.00	28 of 38 - Sale <sup>25</sup>
	5.000	36.20	02/02/21	147.40	111.20 W	0.00	29 of 38 - Sale <sup>25</sup>
	5.000	36.20	02/02/21	183.75	147.55 W	0.00	30 of 38 - Sale <sup>25</sup>
	5.000	36.20	02/02/21	183.75	147.55 W	0.00	31 of 38 - Sale <sup>25</sup>
	6.000	43.44	02/02/21	220.50	177.06 W	0.00	32 of 38 - Sale <sup>25</sup>
	7.000	50.68	02/02/21	205.67	154.99 W	0.00	33 of 38 - Sale <sup>25</sup>
	9.000	65.16	02/02/21	330.75	265.59 W	0.00	34 of 38 - Sale <sup>25</sup>
	11.000	79.64	02/02/21	404.24	324.60 W	0.00	35 of 38 - Sale <sup>25</sup>
	20.000	144.79	02/02/21	334.39	189.60 W	0.00	36 of 38 - Sale <sup>25</sup>
	30.000	217.19	02/02/21	593.39	376.20 W	0.00	37 of 38 - Sale <sup>25</sup>
	96.000	695.01	02/03/21	877.32	182.31 W	0.00	38 of 38 - Sale <sup>25</sup>
02/04/21	500.000	3,727.94	Various	12,692.31	8,964.37 W	0.00	Total of 38 transactions

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
31 transactions for 02/05/21. Total proceeds and cost reported to the IRS.							
	3.000	23.82	02/03/21	111.35	87.53 W	0.00	1 of 31 - Sale <sup>25</sup>
	5.000	39.70	02/03/21	184.09	144.39 W	0.00	2 of 31 - Sale <sup>25</sup>
	5.000	39.70	02/03/21	184.10	144.40 W	0.00	3 of 31 - Sale <sup>25</sup>
	6.000	47.64	02/03/21	220.91	173.27 W	0.00	4 of 31 - Sale <sup>25</sup>
	6.000	47.64	02/03/21	220.91	173.27 W	0.00	5 of 31 - Sale <sup>25</sup>
	8.000	63.52	02/03/21	294.55	231.03 W	0.00	6 of 31 - Sale <sup>25</sup>
	10.000	79.40	02/03/21	395.19	315.79 W	0.00	7 of 31 - Sale <sup>25</sup>
	11.000	87.34	02/03/21	434.71	347.37 W	0.00	8 of 31 - Sale <sup>25</sup>
	40.000	317.60	02/03/21	1,472.76	1,155.16 W	0.00	9 of 31 - Sale <sup>25</sup>
	1.000	7.94	02/05/21	36.80	28.86 W	0.00	10 of 31 - Sale <sup>25</sup>
	2.000	15.88	02/05/21	73.60	57.72 W	0.00	11 of 31 - Sale <sup>25</sup>
	4.000	31.76	02/05/21	32.72	0.96 W	0.00	12 of 31 - Sale <sup>25</sup>
	5.000	36.50	02/05/21	180.84	144.34 W	0.00	13 of 31 - Sale <sup>25</sup>
	5.000	36.50	02/05/21	180.85	144.35 W	0.00	14 of 31 - Sale <sup>25</sup>
	5.000	39.70	02/05/21	147.65	107.95 W	0.00	15 of 31 - Sale <sup>25</sup>
	5.000	39.70	02/05/21	176.32	136.62 W	0.00	16 of 31 - Sale <sup>25</sup>
	5.000	39.70	02/05/21	184.00	144.30 W	0.00	17 of 31 - Sale <sup>25</sup>
	5.000	39.70	02/05/21	184.00	144.30 W	0.00	18 of 31 - Sale <sup>25</sup>
	6.000	43.80	02/05/21	217.01	173.21 W	0.00	19 of 31 - Sale <sup>25</sup>
	6.000	43.80	02/05/21	217.01	173.21 W	0.00	20 of 31 - Sale <sup>25</sup>
	6.000	47.64	02/05/21	220.80	173.16 W	0.00	21 of 31 - Sale <sup>25</sup>
	7.000	51.09	02/05/21	253.18	202.09 W	0.00	22 of 31 - Sale <sup>25</sup>
	7.000	55.58	02/05/21	206.02	150.44 W	0.00	23 of 31 - Sale <sup>25</sup>
	9.000	71.46	02/05/21	331.20	259.74 W	0.00	24 of 31 - Sale <sup>25</sup>
	10.000	73.00	02/05/21	388.69	315.69 W	0.00	25 of 31 - Sale <sup>25</sup>
	11.000	80.30	02/05/21	427.56	347.26 W	0.00	26 of 31 - Sale <sup>25</sup>
	11.000	87.34	02/05/21	404.79	317.45 W	0.00	27 of 31 - Sale <sup>25</sup>
	20.000	158.80	02/05/21	335.40	176.60 W	0.00	28 of 31 - Sale <sup>25</sup>
	30.000	238.20	02/05/21	594.90	356.70 W	0.00	29 of 31 - Sale <sup>25</sup>
	40.000	291.98	02/05/21	1,446.76	1,154.78 W	0.00	30 of 31 - Sale <sup>25</sup>
	96.000	762.23	02/05/21	882.15	119.92 W	0.00	31 of 31 - Sale <sup>25</sup>
02/05/21	390.000	3,038.96	Various	10,640.82	7,601.86 W	0.00	Total of 31 transactions

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
2 transactions for 02/09/21. Total proceeds and cost reported to the IRS.							
	1.000	5.44	02/05/21	36.17	30.73 W	0.00	1 of 2 - Sale <sup>25</sup>
	3.000	16.32	02/05/21	109.40	93.08 W	0.00	2 of 2 - Sale <sup>25</sup>
02/09/21	4.000	21.76	Various	145.57	123.81 W	0.00	Total of 2 transactions
26 transactions for 02/10/21. Total proceeds and cost reported to the IRS.							
	1.000	6.49	02/10/21	35.36	28.87 W	0.00	1 of 26 - Sale <sup>25</sup>
	1.000	6.49	02/10/21	37.22	30.73 W	0.00	2 of 26 - Sale <sup>25</sup>
	1.000	6.50	02/10/21	35.37	28.87 W	0.00	3 of 26 - Sale <sup>25</sup>
	2.000	12.99	02/10/21	70.72	57.73 W	0.00	4 of 26 - Sale <sup>25</sup>
	3.000	19.49	02/10/21	112.55	93.06 W	0.00	5 of 26 - Sale <sup>25</sup>
	4.000	25.98	02/10/21	26.96	0.98 W	0.00	6 of 26 - Sale <sup>25</sup>
	5.000	32.47	02/10/21	140.45	107.98 W	0.00	7 of 26 - Sale <sup>25</sup>
	5.000	32.47	02/10/21	169.12	136.65 W	0.00	8 of 26 - Sale <sup>25</sup>
	5.000	32.47	02/10/21	176.80	144.33 W	0.00	9 of 26 - Sale <sup>25</sup>
	5.000	32.47	02/10/21	176.80	144.33 W	0.00	10 of 26 - Sale <sup>25</sup>
	5.000	32.47	02/10/21	176.80	144.33 W	0.00	11 of 26 - Sale <sup>25</sup>
	5.000	32.47	02/10/21	176.83	144.36 W	0.00	12 of 26 - Sale <sup>25</sup>
	5.000	32.47	02/10/21	176.85	144.38 W	0.00	13 of 26 - Sale <sup>25</sup>
	6.000	38.97	02/10/21	46.49	7.52 W	0.00	14 of 26 - Sale <sup>25</sup>
	6.000	38.97	02/10/21	212.16	173.19 W	0.00	15 of 26 - Sale <sup>25</sup>
	6.000	38.97	02/10/21	212.21	173.24 W	0.00	16 of 26 - Sale <sup>25</sup>
	6.000	38.97	02/10/21	212.21	173.24 W	0.00	17 of 26 - Sale <sup>25</sup>
	7.000	45.46	02/10/21	195.94	150.48 W	0.00	18 of 26 - Sale <sup>25</sup>
	9.000	58.45	02/10/21	318.24	259.79 W	0.00	19 of 26 - Sale <sup>25</sup>
	10.000	64.95	02/10/21	380.69	315.74 W	0.00	20 of 26 - Sale <sup>25</sup>
	11.000	71.44	02/10/21	388.95	317.51 W	0.00	21 of 26 - Sale <sup>25</sup>
	11.000	71.44	02/10/21	418.76	347.32 W	0.00	22 of 26 - Sale <sup>25</sup>
	20.000	129.90	02/10/21	306.60	176.70 W	0.00	23 of 26 - Sale <sup>25</sup>
	30.000	194.84	02/10/21	551.70	356.86 W	0.00	24 of 26 - Sale <sup>25</sup>
	40.000	259.79	02/10/21	1,414.76	1,154.97 W	0.00	25 of 26 - Sale <sup>25</sup>
	90.000	584.53	02/10/21	697.43	112.90 W	0.00	26 of 26 - Sale <sup>25</sup>
02/10/21	299.000	1,941.91	Various	6,867.97	4,926.06 W	0.00	Total of 26 transactions
02/11/21	1.000	5.75	02/10/21	35.36	29.61 W	0.00	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

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AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
28 transactions for 02/22/21. Total proceeds and cost reported to the IRS.							
	40.000	239.99	02/22/21	1,400.12	...	-1,160.13	1 of 28 - Sale <sup>25</sup>
	30.000	179.99	02/22/21	540.73	...	-360.74	2 of 28 - Sale <sup>25</sup>
	11.000	66.00	02/22/21	414.74	...	-348.74	3 of 28 - Sale <sup>25</sup>
	11.000	66.00	02/22/21	384.93	...	-318.93	4 of 28 - Sale <sup>25</sup>
	10.000	60.00	02/22/21	377.03	...	-317.03	5 of 28 - Sale <sup>25</sup>
	9.000	54.00	02/22/21	314.95	...	-260.95	6 of 28 - Sale <sup>25</sup>
	20.000	119.99	02/22/21	299.28	...	-179.29	7 of 28 - Sale <sup>25</sup>
	6.000	36.00	02/22/21	210.01	...	-174.01	8 of 28 - Sale <sup>25</sup>
	6.000	36.00	02/22/21	210.01	...	-174.01	9 of 28 - Sale <sup>25</sup>
	6.000	36.00	02/22/21	209.96	...	-173.96	10 of 28 - Sale <sup>25</sup>
	7.000	42.00	02/22/21	193.38	...	-151.38	11 of 28 - Sale <sup>25</sup>
	5.000	30.00	02/22/21	175.03	...	-145.03	12 of 28 - Sale <sup>25</sup>
	5.000	30.00	02/22/21	175.01	...	-145.01	13 of 28 - Sale <sup>25</sup>
	5.000	30.00	02/22/21	174.98	...	-144.98	14 of 28 - Sale <sup>25</sup>
	5.000	30.00	02/22/21	174.98	...	-144.98	15 of 28 - Sale <sup>25</sup>
	5.000	30.00	02/22/21	174.98	...	-144.98	16 of 28 - Sale <sup>25</sup>
	5.000	30.00	02/22/21	167.30	...	-137.30	17 of 28 - Sale <sup>25</sup>
	90.000	539.97	02/22/21	664.50	...	-124.53	18 of 28 - Sale <sup>25</sup>
	5.000	30.00	02/22/21	138.63	...	-108.63	19 of 28 - Sale <sup>25</sup>
	3.000	18.00	02/22/21	111.45	...	-93.45	20 of 28 - Sale <sup>25</sup>
	2.000	12.00	02/22/21	69.99	...	-57.99	21 of 28 - Sale <sup>25</sup>
	1.000	6.00	02/22/21	36.86	...	-30.86	22 of 28 - Sale <sup>25</sup>
	1.000	6.00	02/22/21	35.74	...	-29.74	23 of 28 - Sale <sup>25</sup>
	1.000	6.00	02/22/21	35.00	...	-29.00	24 of 28 - Sale <sup>25</sup>
	1.000	6.00	02/22/21	35.00	...	-29.00	25 of 28 - Sale <sup>25</sup>
	200.000	1,199.93	02/22/21	1,225.77	...	-25.84	26 of 28 - Sale <sup>25</sup>
	6.000	36.00	02/22/21	44.29	...	-8.29	27 of 28 - Sale <sup>25</sup>
	4.000	24.00	02/22/21	25.50	...	-1.50	28 of 28 - Sale <sup>25</sup>
02/22/21	500.000	2,999.87	Various	8,020.15	...	-5,020.28	Total of 28 transactions
28 transactions for 02/22/21. Total proceeds and cost reported to the IRS.							
	1.000	6.17	02/22/21	35.10	28.93 W	0.00	1 of 28 - Sale <sup>25</sup>
	1.000	6.17	02/22/21	35.10	28.93 W	0.00	2 of 28 - Sale <sup>25</sup>
	1.000	6.17	02/22/21	35.84	29.67 W	0.00	3 of 28 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
	1.000	6.17	02/22/21	36.96	30.79 W	0.00	4 of 28 - Sale <sup>25</sup>
	2.000	12.34	02/22/21	70.19	57.85 W	0.00	5 of 28 - Sale <sup>25</sup>
	3.000	18.51	02/22/21	111.75	93.24 W	0.00	6 of 28 - Sale <sup>25</sup>
	4.000	24.68	02/22/21	25.90	1.22 W	0.00	7 of 28 - Sale <sup>25</sup>
	5.000	30.85	02/22/21	139.13	108.28 W	0.00	8 of 28 - Sale <sup>25</sup>
	5.000	30.85	02/22/21	167.80	136.95 W	0.00	9 of 28 - Sale <sup>25</sup>
	5.000	30.85	02/22/21	175.48	144.63 W	0.00	10 of 28 - Sale <sup>25</sup>
	5.000	30.85	02/22/21	175.48	144.63 W	0.00	11 of 28 - Sale <sup>25</sup>
	5.000	30.85	02/22/21	175.48	144.63 W	0.00	12 of 28 - Sale <sup>25</sup>
	5.000	30.85	02/22/21	175.51	144.66 W	0.00	13 of 28 - Sale <sup>25</sup>
	5.000	30.85	02/22/21	175.53	144.68 W	0.00	14 of 28 - Sale <sup>25</sup>
	6.000	37.03	02/22/21	44.90	7.87 W	0.00	15 of 28 - Sale <sup>25</sup>
	6.000	37.03	02/22/21	210.57	173.54 W	0.00	16 of 28 - Sale <sup>25</sup>
	6.000	37.03	02/22/21	210.62	173.59 W	0.00	17 of 28 - Sale <sup>25</sup>
	6.000	37.03	02/22/21	210.62	173.59 W	0.00	18 of 28 - Sale <sup>25</sup>
	7.000	43.20	02/22/21	194.09	150.89 W	0.00	19 of 28 - Sale <sup>25</sup>
	9.000	55.54	02/22/21	315.86	260.32 W	0.00	20 of 28 - Sale <sup>25</sup>
	10.000	61.71	02/22/21	378.04	316.33 W	0.00	21 of 28 - Sale <sup>25</sup>
	11.000	67.88	02/22/21	386.04	318.16 W	0.00	22 of 28 - Sale <sup>25</sup>
	11.000	67.88	02/22/21	415.85	347.97 W	0.00	23 of 28 - Sale <sup>25</sup>
	20.000	123.42	02/22/21	301.30	177.88 W	0.00	24 of 28 - Sale <sup>25</sup>
	30.000	185.13	02/22/21	543.76	358.63 W	0.00	25 of 28 - Sale <sup>25</sup>
	40.000	246.84	02/22/21	1,404.16	1,157.32 W	0.00	26 of 28 - Sale <sup>25</sup>
	90.000	555.39	02/22/21	673.59	118.20 W	0.00	27 of 28 - Sale <sup>25</sup>
	200.000	1,234.20	02/22/21	1,245.97	11.77 W	0.00	28 of 28 - Sale <sup>25</sup>
02/22/21	500.000	3,085.47	Various	8,070.62	4,985.15 W	0.00	Total of 28 transactions
	<b>Security total:</b>	<b>19,403.46</b>		<b>52,758.30</b>	<b>28,852.04 W</b>	<b>-4,502.80</b>	

ATA CREATIVITY GLOBAL AMERICAN DEPOSITARY SHARES / CUSIP: 00211V106 / Symbol:

10 transactions for 02/04/21. Total proceeds and cost reported to the IRS.

105.000	1,612.00	02/04/21	1,877.43	...	-265.43	1 of 10 - Sale <sup>25</sup>
100.000	1,531.95	02/04/21	1,788.03	...	-256.08	2 of 10 - Sale <sup>25</sup>
95.000	1,455.36	02/04/21	1,698.62	...	-243.26	3 of 10 - Sale <sup>25</sup>
50.000	649.98	02/04/21	824.00	...	-174.02	4 of 10 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ATA CREATIVITY GLOBAL AMERICAN DEPOSITARY SHARES / CUSIP: 00211V106 / Symbol: (cont'd)							
	18.000	197.99	02/04/21	194.94	...	3.05	5 of 10 - Sale <sup>25</sup>
	82.000	901.97	02/04/21	888.06	...	13.91	6 of 10 - Sale <sup>25</sup>
	50.000	834.97	02/04/21	612.25	...	222.72	7 of 10 - Sale <sup>25</sup>
	50.000	835.48	02/04/21	612.27	...	223.21	8 of 10 - Sale <sup>25</sup>
	150.000	2,504.93	02/04/21	2,272.47	...	232.46	9 of 10 - Sale <sup>25</sup>
	100.000	1,669.95	02/04/21	1,224.53	...	445.42	10 of 10 - Sale <sup>25</sup>
02/04/21	800.000	12,194.58	Various	11,992.60	...	201.98	Total of 10 transactions
	4 transactions for 02/04/21. Total proceeds and cost reported to the IRS.						
	100.000	1,509.96	02/04/21	1,650.00	140.04 W	0.00	1 of 4 - Sale <sup>25</sup>
	150.000	1,649.94	02/04/21	2,085.66	435.72 W	0.00	2 of 4 - Sale <sup>25</sup>
	150.000	1,649.95	02/04/21	1,650.00	0.05 W	0.00	3 of 4 - Sale <sup>25</sup>
	200.000	3,019.93	02/04/21	3,300.00	280.07 W	0.00	4 of 4 - Sale <sup>25</sup>
02/04/21	600.000	7,829.78	Various	8,685.66	855.88 W	0.00	Total of 4 transactions
	<b>Security total:</b>	<b>20,024.36</b>		<b>20,678.26</b>	<b>855.88 W</b>	<b>201.98</b>	
AETHLON MEDICAL, INC. COMMON S TOCK / CUSIP: 00808Y307 / Symbol:							
09/22/21	700.000	3,576.90	09/22/21	3,636.50	...	-59.60	Sale <sup>25</sup>
AKERNA CORP. COMMON STOCK / CUSIP: 00973W102 / Symbol:							
	3 transactions for 02/05/21. Total proceeds and cost reported to the IRS.						
	50.000	388.36	02/05/21	365.27	...	23.09	1 of 3 - Sale <sup>25</sup>
	50.000	388.36	02/05/21	365.26	...	23.10	2 of 3 - Sale <sup>25</sup>
	200.000	1,553.46	02/05/21	1,461.06	...	92.40	3 of 3 - Sale <sup>25</sup>
02/05/21	300.000	2,330.18	Various	2,191.59	...	138.59	Total of 3 transactions
	3 transactions for 02/05/21. Total proceeds and cost reported to the IRS.						
	50.000	347.48	02/05/21	354.50	7.02 W	0.00	1 of 3 - Sale <sup>25</sup>
	50.000	347.49	02/05/21	354.50	7.01 W	0.00	2 of 3 - Sale <sup>25</sup>
	200.000	1,389.94	02/05/21	1,418.00	28.06 W	0.00	3 of 3 - Sale <sup>25</sup>
02/05/21	300.000	2,084.91	Various	2,127.00	42.09 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>4,415.09</b>		<b>4,318.59</b>	<b>42.09 W</b>	<b>138.59</b>	
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol:							
10/28/21	5.000	16,554.26	10/28/21	16,750.00	...	-195.74	Sale <sup>25</sup>

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Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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AMERICAN RESOURCES CORPORATION CLASS A COMMON STOCK / CUSIP: 02927U208 / Symbol:							
4 transactions for 02/05/21. Total proceeds and cost reported to the IRS.							
	100.000	637.97	02/05/21	651.89	...	-13.92	1 of 4 - Sale <sup>25</sup>
	100.000	637.97	02/05/21	651.89	...	-13.92	2 of 4 - Sale <sup>25</sup>
	100.000	637.97	02/05/21	651.89	...	-13.92	3 of 4 - Sale <sup>25</sup>
	100.000	637.97	02/05/21	651.89	...	-13.92	4 of 4 - Sale <sup>25</sup>
02/05/21	400.000	2,551.88	Various	2,607.56	...	-55.68	Total of 4 transactions
ANAVEX LIFE SCIENCES CORP. COM MON STOCK / CUSIP: 032797300 / Symbol:							
3 transactions for 02/04/21. Total proceeds and cost reported to the IRS.							
	9.000	184.67	02/03/21	145.17	...	39.50	1 of 3 - Sale <sup>25</sup>
	20.000	410.39	02/03/21	322.00	...	88.39	2 of 3 - Sale <sup>25</sup>
	49.000	1,005.45	02/03/21	788.90	...	216.55	3 of 3 - Sale <sup>25</sup>
02/04/21	78.000	1,600.51	Various	1,256.07	...	344.44	Total of 3 transactions
4 transactions for 02/12/21. Total proceeds and cost reported to the IRS.							
	1.000	13.27	02/03/21	16.10	2.83 W	0.00	1 of 4 - Sale <sup>25</sup>
	3.000	39.80	02/04/21	59.13	19.33 W	0.00	2 of 4 - Sale <sup>25</sup>
	16.000	212.29	02/04/21	304.46	92.17 W	0.00	3 of 4 - Sale <sup>25</sup>
	80.000	1,061.42	02/04/21	1,593.60	532.18 W	0.00	4 of 4 - Sale <sup>25</sup>
02/12/21	100.000	1,326.78	Various	1,973.29	646.51 W	0.00	Total of 4 transactions
8 transactions for 02/19/21. Total proceeds and cost reported to the IRS.							
	50.000	631.73	02/04/21	1,117.99	...	-486.26	1 of 8 - Sale <sup>25</sup>
	3.000	37.90	02/04/21	77.05	...	-39.15	2 of 8 - Sale <sup>25</sup>
	2.000	25.27	02/04/21	46.97	...	-21.70	3 of 8 - Sale <sup>25</sup>
	1.000	12.63	02/04/21	21.86	...	-9.23	4 of 8 - Sale <sup>25</sup>
	25.000	315.86	02/11/21	474.52	...	-158.66	5 of 8 - Sale <sup>25</sup>
	16.000	202.16	02/11/21	289.42	...	-87.26	6 of 8 - Sale <sup>25</sup>
	3.000	37.90	02/11/21	56.31	...	-18.41	7 of 8 - Sale <sup>25</sup>
	56.000	707.54	02/11/21	690.39	...	17.15	8 of 8 - Sale <sup>25</sup>
02/19/21	156.000	1,970.99	Various	2,774.51	...	-803.52	Total of 8 transactions
	<b>Security total:</b>	<b>4,898.28</b>		<b>6,003.87</b>	<b>646.51 W</b>	<b>-459.08</b>	
APHRIA INC. COMMON SHARES / CUSIP: 03765K104 / Symbol:							
02/16/21	100.000	1,881.22	02/16/21	1,943.00	...	-61.78	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPLIED GENETIC TECHNOLOGIES CORPORATION COMMON STOCK / CUSIP: 03820J100 / Symbol:							
02/16/21	200.000	1,532.94	02/16/21	1,514.78	...	18.16	Sale <sup>25</sup>
APPLIED UV, INC. COMMON STOCK / CUSIP: 03828V105 / Symbol:							
<i>8 transactions for 02/09/21. Total proceeds and cost reported to the IRS.</i>							
	5.000	137.55	02/09/21	128.67	...	8.88	1 of 8 - Sale <sup>25</sup>
	10.000	274.33	02/09/21	231.98	...	42.35	2 of 8 - Sale <sup>25</sup>
	25.000	687.73	02/09/21	629.29	...	58.44	3 of 8 - Sale <sup>25</sup>
	90.000	2,285.03	02/09/21	2,186.10	...	98.93	4 of 8 - Sale <sup>25</sup>
	25.000	685.98	02/09/21	576.25	...	109.73	5 of 8 - Sale <sup>25</sup>
	75.000	2,063.19	02/09/21	1,930.08	...	133.11	6 of 8 - Sale <sup>25</sup>
	35.000	960.17	02/09/21	806.75	...	153.42	7 of 8 - Sale <sup>25</sup>
	75.000	2,057.94	02/09/21	1,887.85	...	170.09	8 of 8 - Sale <sup>25</sup>
02/09/21	340.000	9,151.92	Various	8,376.97	...	774.95	Total of 8 transactions
ATLANTIC AMERICAN CORPORATION COMMON STOCK / CUSIP: 048209100 / Symbol:							
<i>6 transactions for 02/05/21. Total proceeds and cost reported to the IRS.</i>							
	100.000	1,325.71	02/05/21	1,329.00	...	-3.29	1 of 6 - Sale <sup>25</sup>
	100.000	1,175.72	02/05/21	1,118.82	...	56.90	2 of 6 - Sale <sup>25</sup>
	100.000	1,325.71	02/05/21	1,257.06	...	68.65	3 of 6 - Sale <sup>25</sup>
	200.000	2,399.92	02/05/21	2,248.56	...	151.36	4 of 6 - Sale <sup>25</sup>
	100.000	1,215.59	02/05/21	1,016.06	...	199.53	5 of 6 - Sale <sup>25</sup>
	100.000	1,469.95	02/05/21	1,231.29	...	238.66	6 of 6 - Sale <sup>25</sup>
02/05/21	700.000	8,912.60	Various	8,200.79	...	711.81	Total of 6 transactions
<i>4 transactions for 02/05/21. Total proceeds and cost reported to the IRS.</i>							
	100.000	930.96	02/05/21	1,087.29	156.33 W	0.00	1 of 4 - Sale <sup>25</sup>
	100.000	1,330.96	02/05/21	1,367.03	36.07 W	0.00	2 of 4 - Sale <sup>25</sup>
	100.000	1,469.96	02/05/21	1,507.99	38.03 W	0.00	3 of 4 - Sale <sup>25</sup>
	200.000	2,351.44	02/05/21	2,400.00	48.56 W	0.00	4 of 4 - Sale <sup>25</sup>
02/05/21	500.000	6,083.32	Various	6,362.31	278.99 W	0.00	Total of 4 transactions
	<b>Security total:</b>	<b>14,995.92</b>		<b>14,563.10</b>	<b>278.99 W</b>	<b>711.81</b>	

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ATOSSA THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 04962H506 / Symbol:							
01/26/21	250.000	903.70	01/25/21	597.50	...	306.20	Sale <sup>25</sup>
	9 transactions for 01/27/21. Total proceeds and cost reported to the IRS.						
	7.000	16.10	01/25/21	16.73	0.63 W	0.00	1 of 9 - Sale <sup>25</sup>
	7.000	16.10	01/25/21	18.55	2.45 W	0.00	2 of 9 - Sale <sup>25</sup>
	25.000	57.50	01/25/21	59.75	2.25 W	0.00	3 of 9 - Sale <sup>25</sup>
	25.000	57.50	01/25/21	66.25	8.75 W	0.00	4 of 9 - Sale <sup>25</sup>
	68.000	156.39	01/25/21	174.08	17.69 W	0.00	5 of 9 - Sale <sup>25</sup>
	100.000	229.98	01/25/21	265.01	35.03 W	0.00	6 of 9 - Sale <sup>25</sup>
	100.000	229.99	01/25/21	239.00	9.01 W	0.00	7 of 9 - Sale <sup>25</sup>
	118.000	271.38	01/25/21	282.02	10.64 W	0.00	8 of 9 - Sale <sup>25</sup>
	139.000	319.67	01/25/21	336.31	16.64 W	0.00	9 of 9 - Sale <sup>25</sup>
01/27/21	589.000	1,354.61	Various	1,457.70	103.09 W	0.00	Total of 9 transactions
	9 transactions for 02/02/21. Total proceeds and cost reported to the IRS.						
	1.000	2.97	01/28/21	2.58	...	0.39	1 of 9 - Sale <sup>25</sup>
	1.000	2.97	01/28/21	2.24	...	0.73	2 of 9 - Sale <sup>25</sup>
	7.000	20.79	01/28/21	18.06	...	2.73	3 of 9 - Sale <sup>25</sup>
	25.000	74.25	01/28/21	64.50	...	9.75	4 of 9 - Sale <sup>25</sup>
	30.000	89.09	01/28/21	69.61	...	19.48	5 of 9 - Sale <sup>25</sup>
	68.000	201.27	01/28/21	169.33	...	31.94	6 of 9 - Sale <sup>25</sup>
	87.000	257.50	01/28/21	201.85	...	55.65	7 of 9 - Sale <sup>25</sup>
	139.000	411.41	01/28/21	326.61	...	84.80	8 of 9 - Sale <sup>25</sup>
	442.000	1,308.24	01/28/21	985.66	...	322.58	9 of 9 - Sale <sup>25</sup>
02/02/21	800.000	2,368.49	Various	1,840.44	...	528.05	Total of 9 transactions
	2 transactions for 02/02/21. Total proceeds and cost reported to the IRS.						
	1.000	2.50	01/25/21	2.51	0.01 W	0.00	1 of 2 - Sale <sup>25</sup>
	99.000	247.49	01/28/21	255.45	7.96 W	0.00	2 of 2 - Sale <sup>25</sup>
02/02/21	100.000	249.99	Various	257.96	7.97 W	0.00	Total of 2 transactions
	4 transactions for 02/25/21. Total proceeds and cost reported to the IRS.						
	1.000	3.20	01/28/21	2.23	...	0.97	1 of 4 - Sale <sup>25</sup>
	901.000	2,883.07	02/11/21	2,874.19	...	8.88	2 of 4 - Sale <sup>25</sup>
	1.000	2.89	02/23/21	2.49	...	0.40	3 of 4 - Sale <sup>25</sup>
	499.000	1,596.73	02/23/21	1,207.38	...	389.35	4 of 4 - Sale <sup>25</sup>
02/25/21	1,402.000	4,485.89	Various	4,086.29	...	399.60	Total of 4 transactions

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Robinhood Securities LLC

Account 685320095

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ATOSSA THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 04962H506 / Symbol: (cont'd)							
3 transactions for 02/25/21. Total proceeds and cost reported to the IRS.							
	99.000	316.79	02/11/21	323.77	6.98 W	0.00	1 of 3 - Sale <sup>25</sup>
	1,401.000	4,055.70	02/25/21	4,609.29	356.42 W	-197.17	2 of 3 - Sale <sup>25</sup>
	98.000	283.70	02/25/21	329.33	45.63 W	0.00	3 of 3 - Sale <sup>25</sup>
02/25/21	1,598.000	4,656.19	Various	5,262.39	409.03 W	-197.17	Total of 3 transactions
3 transactions for 06/14/21. Total proceeds and cost reported to the IRS.							
	1.000	4.55	02/25/21	3.35	...	1.20	1 of 3 - Sale <sup>25</sup>
	1.000	4.55	02/25/21	3.29	...	1.26	2 of 3 - Sale <sup>25</sup>
	98.000	446.14	02/25/21	335.63	...	110.51	3 of 3 - Sale <sup>25</sup>
06/14/21	100.000	455.24	Various	342.27	...	112.97	Total of 3 transactions
3 transactions for 06/22/21. Total proceeds and cost reported to the IRS.							
	100.000	712.48	02/25/21	335.44	...	377.04	1 of 3 - Sale <sup>25</sup>
	100.000	712.48	02/25/21	335.43	...	377.05	2 of 3 - Sale <sup>25</sup>
	700.000	4,984.59	02/25/21	2,348.05	...	2,636.54	3 of 3 - Sale <sup>25</sup>
06/22/21	900.000	6,409.55	Various	3,018.92	...	3,390.63	Total of 3 transactions
6 transactions for 06/24/21. Total proceeds and cost reported to the IRS.							
	1.000	7.84	02/25/21	3.35	...	4.49	1 of 6 - Sale <sup>25</sup>
	1.000	7.99	06/22/21	7.31	...	0.68	2 of 6 - Sale <sup>25</sup>
	799.000	6,264.02	06/22/21	5,840.69	...	423.33	3 of 6 - Sale <sup>25</sup>
	102.000	814.96	06/24/21	808.86	...	6.10	4 of 6 - Sale <sup>25</sup>
	324.000	2,588.70	06/24/21	2,569.32	...	19.38	5 of 6 - Sale <sup>25</sup>
	373.000	2,980.21	06/24/21	2,957.89	...	22.32	6 of 6 - Sale <sup>25</sup>
06/24/21	1,600.000	12,663.72	Various	12,187.42	...	476.30	Total of 6 transactions
2 transactions for 06/29/21. Total proceeds and cost reported to the IRS.							
	1.000	7.76	06/24/21	7.93	0.17 W	0.00	1 of 2 - Sale <sup>25</sup>
	99.000	768.29	06/25/21	947.20	177.10 W	-1.81	2 of 2 - Sale <sup>25</sup>
06/29/21	100.000	776.05	Various	955.13	177.27 W	-1.81	Total of 2 transactions
2 transactions for 07/16/21. Total proceeds and cost reported to the IRS.							
	20.000	92.43	06/25/21	191.35	98.92 W	0.00	1 of 2 - Sale <sup>25</sup>
	50.000	231.08	06/25/21	478.39	247.31 W	0.00	2 of 2 - Sale <sup>25</sup>
07/16/21	70.000	323.51	Various	669.74	346.23 W	0.00	Total of 2 transactions

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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ATOSSA THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 04962H506 / Symbol: (cont'd)							
12 transactions for 08/04/21. Total proceeds and cost reported to the IRS.							
	0.541	1.76	06/25/21	5.17	3.41 W	0.00	1 of 12 - Sale <sup>25</sup>
	1.000	3.24	06/25/21	9.35	6.11 W	0.00	2 of 12 - Sale <sup>25</sup>
	1.000	3.24	06/25/21	14.13	10.89 W	0.00	3 of 12 - Sale <sup>25</sup>
	20.000	64.80	06/25/21	274.12	209.32 W	0.00	4 of 12 - Sale <sup>25</sup>
	31.000	100.43	06/25/21	271.56	171.13 W	0.00	5 of 12 - Sale <sup>25</sup>
	49.000	158.75	06/25/21	671.60	512.85 W	0.00	6 of 12 - Sale <sup>25</sup>
	98.000	317.51	06/25/21	1,076.69	759.18 W	0.00	7 of 12 - Sale <sup>25</sup>
	100.000	323.98	06/25/21	886.77	562.79 W	0.00	8 of 12 - Sale <sup>25</sup>
	100.000	323.99	06/25/21	760.00	436.01 W	0.00	9 of 12 - Sale <sup>25</sup>
	130.459	422.67	06/25/21	1,248.20	825.53 W	0.00	10 of 12 - Sale <sup>25</sup>
	70.541	228.54	06/28/21	526.94	298.40 W	0.00	11 of 12 - Sale <sup>25</sup>
	200.000	647.97	06/28/21	1,494.00	846.03 W	0.00	12 of 12 - Sale <sup>25</sup>
08/04/21	801.541	2,596.88	Various	7,238.53	4,641.65 W	0.00	Total of 12 transactions
08/26/21	99.000	364.50	06/28/21	739.53	...	-375.03	Sale <sup>25</sup>
	<b>Security total:</b>	<b>37,608.32</b>		<b>38,653.82</b>	<b>5,685.24 W</b>	<b>4,639.74</b>	
AURINIA PHARMACEUTICALS INC OR DINARY SHARES / CUSIP: 05156V102 / Symbol:							
01/26/21	99.000	1,775.02	01/25/21	2,009.70	...	-234.68	Sale <sup>25</sup>
01/26/21	150.000	2,689.42	01/25/21	3,109.50	2.80 W	-417.28	Sale <sup>25</sup>
01/28/21	1.000	16.74	01/25/21	23.10	...	-6.36	Sale <sup>25</sup>
	<b>Security total:</b>	<b>4,481.18</b>		<b>5,142.30</b>	<b>2.80 W</b>	<b>-658.32</b>	
AURORA MOBILE LIMITED AMERICAN DEPOSITARY SHARES / CUSIP: 051857100 / Symbol:							
3 transactions for 02/05/21. Total proceeds and cost reported to the IRS.							
	100.000	1,179.96	02/05/21	1,200.04	...	-20.08	1 of 3 - Sale <sup>25</sup>
	100.000	1,179.96	02/05/21	1,200.00	...	-20.04	2 of 3 - Sale <sup>25</sup>
	200.000	2,499.91	02/05/21	2,400.00	...	99.91	3 of 3 - Sale <sup>25</sup>
02/05/21	400.000	4,859.83	Various	4,800.04	...	59.79	Total of 3 transactions
02/05/21	100.000	1,249.96	02/05/21	1,250.00	0.04 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>6,109.79</b>		<b>6,050.04</b>	<b>0.04 W</b>	<b>59.79</b>	
AYTU BIOPHARMA, INC. COMMON ST OCK / CUSIP: 054754874 / Symbol:							
02/09/21	300.000	2,659.64	02/09/21	2,718.00	...	-58.36	Sale <sup>25</sup>

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Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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RENOVARE ENVIRONMENTAL, INC. C OMMON STOCK / CUSIP: 09074B107 / Symbol:							
02/09/21	300.000	845.94	02/09/21	845.64	...	0.30	Sale <sup>25</sup>
	6 transactions for 02/09/21. Total proceeds and cost reported to the IRS.						
	100.000	273.03	02/09/21	288.00	14.97 W	0.00	1 of 6 - Sale <sup>25</sup>
	200.000	546.06	02/09/21	563.76	17.70 W	0.00	2 of 6 - Sale <sup>25</sup>
	200.000	546.06	02/09/21	576.00	29.94 W	0.00	3 of 6 - Sale <sup>25</sup>
	300.000	819.10	02/09/21	870.00	50.90 W	0.00	4 of 6 - Sale <sup>25</sup>
	400.000	1,092.13	02/09/21	1,160.00	67.87 W	0.00	5 of 6 - Sale <sup>25</sup>
	1,000.000	2,730.32	02/09/21	2,959.20	228.88 W	0.00	6 of 6 - Sale <sup>25</sup>
02/09/21	2,200.000	6,006.70	Various	6,416.96	410.26 W	0.00	Total of 6 transactions
	33 transactions for 02/10/21. Total proceeds and cost reported to the IRS.						
	1.000	4.26	02/09/21	2.36	...	1.90	1 of 33 - Sale <sup>25</sup>
	2.000	8.52	02/09/21	4.71	...	3.81	2 of 33 - Sale <sup>25</sup>
	25.000	106.49	02/09/21	71.45	...	35.04	3 of 33 - Sale <sup>25</sup>
	35.000	149.10	02/09/21	100.04	...	49.06	4 of 33 - Sale <sup>25</sup>
	30.000	127.80	02/09/21	70.67	...	57.13	5 of 33 - Sale <sup>25</sup>
	55.000	234.29	02/09/21	129.57	...	104.72	6 of 33 - Sale <sup>25</sup>
	100.000	425.99	02/09/21	285.82	...	140.17	7 of 33 - Sale <sup>25</sup>
	100.000	425.97	02/09/21	282.91	...	143.06	8 of 33 - Sale <sup>25</sup>
	100.000	425.98	02/09/21	282.91	...	143.07	9 of 33 - Sale <sup>25</sup>
	100.000	425.98	02/09/21	280.91	...	145.07	10 of 33 - Sale <sup>25</sup>
	100.000	425.98	02/09/21	280.91	...	145.07	11 of 33 - Sale <sup>25</sup>
	100.000	425.97	02/09/21	280.59	...	145.38	12 of 33 - Sale <sup>25</sup>
	100.000	426.99	02/09/21	280.91	...	146.08	13 of 33 - Sale <sup>25</sup>
	100.000	425.98	02/09/21	264.89	...	161.09	14 of 33 - Sale <sup>25</sup>
	97.000	413.20	02/09/21	228.51	...	184.69	15 of 33 - Sale <sup>25</sup>
	100.000	425.99	02/09/21	235.58	...	190.41	16 of 33 - Sale <sup>25</sup>
	200.000	851.96	02/09/21	559.79	...	292.17	17 of 33 - Sale <sup>25</sup>
	200.000	853.96	02/09/21	549.58	...	304.38	18 of 33 - Sale <sup>25</sup>
	215.000	915.84	02/09/21	506.50	...	409.34	19 of 33 - Sale <sup>25</sup>
	300.000	1,277.93	02/09/21	848.72	...	429.21	20 of 33 - Sale <sup>25</sup>
	640.000	2,726.26	02/09/21	1,829.23	...	897.03	21 of 33 - Sale <sup>25</sup>
	200.000	829.95	02/10/21	868.00	...	-38.05	22 of 33 - Sale <sup>25</sup>
	87.000	361.03	02/10/21	398.49	...	-37.46	23 of 33 - Sale <sup>25</sup>
	88.000	365.18	02/10/21	397.78	...	-32.60	24 of 33 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RENOVARE ENVIRONMENTAL, INC. C OMMON STOCK / CUSIP: 09074B107 / Symbol: (cont'd)							
	100.000	414.99	02/10/21	434.00	...	-19.01	25 of 33 - Sale <sup>25</sup>
	100.000	414.99	02/10/21	434.00	...	-19.01	26 of 33 - Sale <sup>25</sup>
	50.000	207.50	02/10/21	217.00	...	-9.50	27 of 33 - Sale <sup>25</sup>
	33.000	136.95	02/10/21	143.22	...	-6.27	28 of 33 - Sale <sup>25</sup>
	12.000	49.80	02/10/21	54.96	...	-5.16	29 of 33 - Sale <sup>25</sup>
	25.000	103.75	02/10/21	108.50	...	-4.75	30 of 33 - Sale <sup>25</sup>
	2.000	8.30	02/10/21	9.04	...	-0.74	31 of 33 - Sale <sup>25</sup>
	1.000	4.15	02/10/21	4.59	...	-0.44	32 of 33 - Sale <sup>25</sup>
	1.000	4.15	02/10/21	4.51	...	-0.36	33 of 33 - Sale <sup>25</sup>
02/10/21	3,399.000	14,405.18	Various	10,450.65	...	3,954.53	Total of 33 transactions
	7 transactions for 02/10/21. Total proceeds and cost reported to the IRS.						
	11.000	45.65	02/10/21	47.63	0.36 W	-1.62	1 of 7 - Sale <sup>25</sup>
	89.000	369.33	02/10/21	385.37	15.86 W	-0.18	2 of 7 - Sale <sup>25</sup>
	1.000	4.15	02/10/21	4.33	0.18 W	0.00	3 of 7 - Sale <sup>25</sup>
	12.000	49.80	02/10/21	52.80	3.00 W	0.00	4 of 7 - Sale <sup>25</sup>
	12.000	51.12	02/10/21	51.96	0.84 W	0.00	5 of 7 - Sale <sup>25</sup>
	88.000	365.18	02/10/21	387.21	22.03 W	0.00	6 of 7 - Sale <sup>25</sup>
	88.000	374.87	02/10/21	381.04	6.17 W	0.00	7 of 7 - Sale <sup>25</sup>
02/10/21	301.000	1,260.10	Various	1,310.34	48.44 W	-1.80	Total of 7 transactions
	<b>Security total:</b>	<b>22,517.92</b>		<b>19,023.59</b>	<b>458.70 W</b>	<b>3,953.03</b>	
BIONANO GENOMICS, INC. COMMON STOCK / CUSIP: 09075F107 / Symbol:							
	3 transactions for 01/04/21. Total proceeds and cost reported to the IRS.						
	48.000	247.67	12/31/20	137.05	...	110.62	1 of 3 - Sale <sup>25</sup>
	49.000	252.83	12/31/20	137.94	...	114.89	2 of 3 - Sale <sup>25</sup>
	170.000	877.15	12/31/20	476.04	...	401.11	3 of 3 - Sale <sup>25</sup>
01/04/21	267.000	1,377.65	Various	751.03	...	626.62	Total of 3 transactions
	4 transactions for 01/11/21. Total proceeds and cost reported to the IRS.						
	1.000	5.80	12/31/20	2.82	...	2.98	1 of 4 - Sale <sup>25</sup>
	13.000	75.40	01/07/21	65.72	...	9.68	2 of 4 - Sale <sup>25</sup>
	112.000	649.57	01/07/21	565.62	...	83.95	3 of 4 - Sale <sup>25</sup>
	99.000	574.17	01/08/21	413.33	...	160.84	4 of 4 - Sale <sup>25</sup>
01/11/21	225.000	1,304.94	Various	1,047.49	...	257.45	Total of 4 transactions

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BIONANO GENOMICS, INC. COMMON STOCK / CUSIP: 09075F107 / Symbol: (cont'd)							
4 transactions for 01/21/21. Total proceeds and cost reported to the IRS.							
	1.000	8.41	01/08/21	4.18	...	4.23	1 of 4 - Sale <sup>25</sup>
	7.000	58.87	01/20/21	54.92	...	3.95	2 of 4 - Sale <sup>25</sup>
	30.000	252.30	01/20/21	234.30	...	18.00	3 of 4 - Sale <sup>25</sup>
	92.000	773.79	01/20/21	718.52	...	55.27	4 of 4 - Sale <sup>25</sup>
01/21/21	130.000	1,093.37	Various	1,011.92	...	81.45	Total of 4 transactions
02/24/21	1.000	11.04	01/20/21	7.81	...	3.23	Sale <sup>25</sup>
	<b>Security total:</b>	<b>3,787.00</b>		<b>2,818.25</b>	<b>...</b>	<b>968.75</b>	
BIOLASE, INC. COMMON STOCK / CUSIP: 090911207 / Symbol:							
6 transactions for 01/19/21. Total proceeds and cost reported to the IRS.							
	100.000	84.99	01/15/21	92.69	...	-7.70	1 of 6 - Sale <sup>25</sup>
	91.000	77.34	01/15/21	84.35	...	-7.01	2 of 6 - Sale <sup>25</sup>
	46.000	39.09	01/15/21	42.63	...	-3.54	3 of 6 - Sale <sup>25</sup>
	25.000	21.25	01/15/21	23.17	...	-1.92	4 of 6 - Sale <sup>25</sup>
	10.000	8.50	01/15/21	9.27	...	-0.77	5 of 6 - Sale <sup>25</sup>
	107.000	90.93	01/15/21	90.91	...	0.02	6 of 6 - Sale <sup>25</sup>
01/19/21	379.000	322.10	Various	343.02	...	-20.92	Total of 6 transactions
5 transactions for 01/19/21. Total proceeds and cost reported to the IRS.							
	1,819.000	1,545.90	01/13/21	1,686.58	7.12 W	-133.56	1 of 5 - Sale <sup>25</sup>
	10.000	8.50	01/13/21	9.27	0.77 W	0.00	2 of 5 - Sale <sup>25</sup>
	25.000	21.25	01/13/21	23.18	1.93 W	0.00	3 of 5 - Sale <sup>25</sup>
	46.000	39.10	01/13/21	42.65	3.55 W	0.00	4 of 5 - Sale <sup>25</sup>
	100.000	84.99	01/13/21	92.72	7.73 W	0.00	5 of 5 - Sale <sup>25</sup>
01/19/21	2,000.000	1,699.74	Various	1,854.40	21.10 W	-133.56	Total of 5 transactions
01/28/21	1.000	1.16	01/15/21	0.93	...	0.23	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,023.00</b>		<b>2,198.35</b>	<b>21.10 W</b>	<b>-154.25</b>	
BSQUARE CORPORATION COMMON STOCK / CUSIP: 11776U300 / Symbol:							
2 transactions for 02/05/21. Total proceeds and cost reported to the IRS.							
	37.000	195.73	02/05/21	216.07	...	-20.34	1 of 2 - Sale <sup>25</sup>
	300.000	2,462.96	02/05/21	2,279.76	...	183.20	2 of 2 - Sale <sup>25</sup>
02/05/21	337.000	2,658.69	Various	2,495.83	...	162.86	Total of 2 transactions

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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BSQUARE CORPORATION COMMON STO CK / CUSIP: 11776U300 / Symbol: (cont'd)							
<i>5 transactions for 02/05/21. Total proceeds and cost reported to the IRS.</i>							
	15.000	79.20	02/05/21	87.59	1.12 W	-7.27	1 of 5 - Sale <sup>25</sup>
	45.000	237.59	02/05/21	262.80	25.21 W	0.00	2 of 5 - Sale <sup>25</sup>
	50.000	263.99	02/05/21	318.98	54.99 W	0.00	3 of 5 - Sale <sup>25</sup>
	50.000	265.00	02/05/21	291.99	26.99 W	0.00	4 of 5 - Sale <sup>25</sup>
	103.000	543.81	02/05/21	601.49	57.68 W	0.00	5 of 5 - Sale <sup>25</sup>
02/05/21	263.000	1,389.59	Various	1,562.85	165.99 W	-7.27	Total of 5 transactions
<i>4 transactions for 02/09/21. Total proceeds and cost reported to the IRS.</i>							
	50.000	395.01	02/09/21	440.94	...	-45.93	1 of 4 - Sale <sup>25</sup>
	103.000	813.72	02/09/21	852.75	...	-39.03	2 of 4 - Sale <sup>25</sup>
	45.000	355.51	02/09/21	372.57	...	-17.06	3 of 4 - Sale <sup>25</sup>
	2.000	15.80	02/09/21	16.56	...	-0.76	4 of 4 - Sale <sup>25</sup>
02/09/21	200.000	1,580.04	Various	1,682.82	...	-102.78	Total of 4 transactions
	<b>Security total:</b>	<b>5,628.32</b>		<b>5,741.50</b>	<b>165.99 W</b>	<b>52.81</b>	
CAMBER ENERGY, INC / CUSIP: 13200M508 / Symbol:							
<i>8 transactions for 09/07/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	0.91	09/01/21	0.65	...	0.26	1 of 8 - Sale <sup>25</sup>
	5.000	4.55	09/01/21	3.25	...	1.30	2 of 8 - Sale <sup>25</sup>
	50.000	45.50	09/01/21	32.50	...	13.00	3 of 8 - Sale <sup>25</sup>
	126.000	114.65	09/01/21	81.90	...	32.75	4 of 8 - Sale <sup>25</sup>
	188.000	171.06	09/01/21	122.20	...	48.86	5 of 8 - Sale <sup>25</sup>
	500.000	454.94	09/01/21	325.00	...	129.94	6 of 8 - Sale <sup>25</sup>
	600.000	545.92	09/01/21	390.00	...	155.92	7 of 8 - Sale <sup>25</sup>
	1,029.000	936.26	09/01/21	668.85	...	267.41	8 of 8 - Sale <sup>25</sup>
09/07/21	2,499.000	2,273.79	Various	1,624.35	...	649.44	Total of 8 transactions
<i>5 transactions for 09/10/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	1.41	09/01/21	0.65	...	0.76	1 of 5 - Sale <sup>25</sup>
	71.000	100.46	09/07/21	66.85	...	33.61	2 of 5 - Sale <sup>25</sup>
	191.000	269.29	09/07/21	179.85	...	89.44	3 of 5 - Sale <sup>25</sup>
	500.000	704.93	09/07/21	470.80	...	234.13	4 of 5 - Sale <sup>25</sup>
	1,638.000	2,309.37	09/07/21	1,542.34	...	767.03	5 of 5 - Sale <sup>25</sup>
09/10/21	2,401.000	3,385.46	Various	2,260.49	...	1,124.97	Total of 5 transactions

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Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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CAMBER ENERGY, INC / CUSIP: 13200M508 / Symbol: (cont'd)							
<i>3 transactions for 09/10/21. Total proceeds and cost reported to the IRS.</i>							
	137.000	193.15	09/10/21	203.10	9.95 W	0.00	1 of 3 - Sale <sup>25</sup>
	362.000	510.37	09/10/21	536.67	26.30 W	0.00	2 of 3 - Sale <sup>25</sup>
	500.000	704.93	09/10/21	741.25	36.32 W	0.00	3 of 3 - Sale <sup>25</sup>
09/10/21	999.000	1,408.45	Various	1,481.02	72.57 W	0.00	Total of 3 transactions
<i>12 transactions for 09/13/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	1.30	09/10/21	1.48	0.18 W	0.00	1 of 12 - Sale <sup>25</sup>
	1.000	1.30	09/13/21	1.52	0.22 W	0.00	2 of 12 - Sale <sup>25</sup>
	1.000	1.30	09/13/21	1.63	0.33 W	0.00	3 of 12 - Sale <sup>25</sup>
	37.000	48.09	09/13/21	56.34	8.25 W	0.00	4 of 12 - Sale <sup>25</sup>
	37.000	48.10	09/13/21	63.01	14.91 W	0.00	5 of 12 - Sale <sup>25</sup>
	39.000	50.69	09/13/21	59.38	8.69 W	0.00	6 of 12 - Sale <sup>25</sup>
	98.000	127.39	09/13/21	149.22	21.83 W	0.00	7 of 12 - Sale <sup>25</sup>
	161.000	209.28	09/13/21	245.15	35.87 W	0.00	8 of 12 - Sale <sup>25</sup>
	301.000	391.26	09/13/21	458.31	67.05 W	0.00	9 of 12 - Sale <sup>25</sup>
	362.000	470.55	09/13/21	551.20	80.65 W	0.00	10 of 12 - Sale <sup>25</sup>
	362.000	470.55	09/13/21	616.41	145.86 W	0.00	11 of 12 - Sale <sup>25</sup>
	600.000	779.92	09/13/21	888.00	108.08 W	0.00	12 of 12 - Sale <sup>25</sup>
09/13/21	2,000.000	2,599.73	Various	3,091.65	491.92 W	0.00	Total of 12 transactions
<i>7 transactions for 09/17/21. Total proceeds and cost reported to the IRS.</i>							
	3.000	5.46	09/13/21	4.87	...	0.59	1 of 7 - Sale <sup>25</sup>
	37.000	67.39	09/13/21	66.71	...	0.68	2 of 7 - Sale <sup>25</sup>
	36.000	60.47	09/13/21	58.42	...	2.05	3 of 7 - Sale <sup>25</sup>
	362.000	659.34	09/13/21	652.66	...	6.68	4 of 7 - Sale <sup>25</sup>
	161.000	270.46	09/13/21	261.27	...	9.19	5 of 7 - Sale <sup>25</sup>
	301.000	505.64	09/13/21	488.45	...	17.19	6 of 7 - Sale <sup>25</sup>
	98.000	178.49	09/13/21	159.03	...	19.46	7 of 7 - Sale <sup>25</sup>
09/17/21	998.000	1,747.25	Various	1,691.41	...	55.84	Total of 7 transactions
<i>2 transactions for 09/17/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	1.68	09/13/21	1.70	0.02 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	1.68	09/13/21	1.73	0.05 W	0.00	2 of 2 - Sale <sup>25</sup>
09/17/21	2.000	3.36	Various	3.43	0.07 W	0.00	Total of 2 transactions
09/20/21	1.000	1.59	09/13/21	1.58	...	0.01	Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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CAMBER ENERGY, INC / CUSIP: 13200M508 / Symbol: (cont'd)							
4 transactions for 09/20/21. Total proceeds and cost reported to the IRS.							
	1.000	1.59	09/17/21	1.80	0.21 W	0.00	1 of 4 - Sale <sup>25</sup>
	1.000	1.59	09/17/21	1.83	0.24 W	0.00	2 of 4 - Sale <sup>25</sup>
	398.000	632.77	09/17/21	709.68	76.91 W	0.00	3 of 4 - Sale <sup>25</sup>
	599.000	952.33	09/17/21	1,175.98	223.65 W	0.00	4 of 4 - Sale <sup>25</sup>
09/20/21	999.000	1,588.28	Various	1,889.29	301.01 W	0.00	Total of 4 transactions
8 transactions for 09/22/21. Total proceeds and cost reported to the IRS.							
	1.000	1.52	09/17/21	1.78	0.26 W	0.00	1 of 8 - Sale <sup>25</sup>
	1.000	1.52	09/21/21	1.86	0.34 W	0.00	2 of 8 - Sale <sup>25</sup>
	1.000	1.52	09/21/21	1.89	0.37 W	0.00	3 of 8 - Sale <sup>25</sup>
	21.000	31.92	09/21/21	42.39	10.47 W	0.00	4 of 8 - Sale <sup>25</sup>
	101.000	153.51	09/21/21	203.86	50.35 W	0.00	5 of 8 - Sale <sup>25</sup>
	199.000	302.45	09/21/21	365.81	63.36 W	0.00	6 of 8 - Sale <sup>25</sup>
	199.000	302.45	09/21/21	365.81	63.36 W	0.00	7 of 8 - Sale <sup>25</sup>
	477.000	724.98	09/21/21	962.76	237.78 W	0.00	8 of 8 - Sale <sup>25</sup>
09/22/21	1,000.000	1,519.87	Various	1,946.16	426.29 W	0.00	Total of 8 transactions
9 transactions for 09/28/21. Total proceeds and cost reported to the IRS.							
	1.000	2.90	09/21/21	1.90	...	1.00	1 of 9 - Sale <sup>25</sup>
	1.000	2.90	09/23/21	2.26	...	0.64	2 of 9 - Sale <sup>25</sup>
	1.000	2.90	09/23/21	2.23	...	0.67	3 of 9 - Sale <sup>25</sup>
	21.000	60.90	09/23/21	50.24	...	10.66	4 of 9 - Sale <sup>25</sup>
	67.000	194.29	09/23/21	148.22	...	46.07	5 of 9 - Sale <sup>25</sup>
	101.000	292.88	09/23/21	241.62	...	51.26	6 of 9 - Sale <sup>25</sup>
	119.000	345.08	09/23/21	263.26	...	81.82	7 of 9 - Sale <sup>25</sup>
	132.000	382.78	09/23/21	292.01	...	90.77	8 of 9 - Sale <sup>25</sup>
	477.000	1,383.23	09/23/21	1,141.13	...	242.10	9 of 9 - Sale <sup>25</sup>
09/28/21	920.000	2,667.86	Various	2,142.87	...	524.99	Total of 9 transactions
8 transactions for 09/29/21. Total proceeds and cost reported to the IRS.							
	1.000	3.57	09/23/21	2.21	...	1.36	1 of 8 - Sale <sup>25</sup>
	1.000	3.37	09/28/21	3.00	...	0.37	2 of 8 - Sale <sup>25</sup>
	20.000	71.40	09/28/21	59.96	...	11.44	3 of 8 - Sale <sup>25</sup>
	79.000	282.02	09/28/21	262.01	...	20.01	4 of 8 - Sale <sup>25</sup>
	46.000	164.22	09/28/21	137.92	...	26.30	5 of 8 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CAMBER ENERGY, INC / CUSIP: 13200M508 / Symbol: (cont'd)							
	100.000	356.99	09/28/21	299.82	...	57.17	6 of 8 - Sale <sup>25</sup>
	100.000	356.99	09/28/21	299.82	...	57.17	7 of 8 - Sale <sup>25</sup>
	654.000	2,334.68	09/28/21	1,960.82	...	373.86	8 of 8 - Sale <sup>25</sup>
09/29/21	1,001.000	3,573.24	Various	3,025.56	...	547.68	Total of 8 transactions
	2 transactions for 09/29/21. Total proceeds and cost reported to the IRS.						
	99.000	333.62	09/29/21	342.54	8.92 W	0.00	1 of 2 - Sale <sup>25</sup>
	900.000	3,032.87	09/29/21	3,114.00	81.13 W	0.00	2 of 2 - Sale <sup>25</sup>
09/29/21	999.000	3,366.49	Various	3,456.54	90.05 W	0.00	Total of 2 transactions
	9 transactions for 09/30/21. Total proceeds and cost reported to the IRS.						
	3.000	11.04	09/29/21	10.83	...	0.21	1 of 9 - Sale <sup>25</sup>
	1.000	3.68	09/29/21	3.46	...	0.22	2 of 9 - Sale <sup>25</sup>
	24.000	88.32	09/29/21	86.63	...	1.69	3 of 9 - Sale <sup>25</sup>
	76.000	279.67	09/29/21	274.32	...	5.35	4 of 9 - Sale <sup>25</sup>
	79.000	290.71	09/29/21	285.14	...	5.57	5 of 9 - Sale <sup>25</sup>
	100.000	367.99	09/29/21	360.94	...	7.05	6 of 9 - Sale <sup>25</sup>
	217.000	798.53	09/29/21	783.25	...	15.28	7 of 9 - Sale <sup>25</sup>
	99.000	364.30	09/29/21	315.32	...	48.98	8 of 9 - Sale <sup>25</sup>
	401.000	1,475.62	09/29/21	1,277.25	...	198.37	9 of 9 - Sale <sup>25</sup>
09/30/21	1,000.000	3,679.86	Various	3,397.14	...	282.72	Total of 9 transactions
10/08/21	562.000	1,208.22	10/05/21	952.81	...	255.41	Sale <sup>25</sup>
	7 transactions for 10/08/21. Total proceeds and cost reported to the IRS.						
	1.000	2.15	09/29/21	3.52	1.37 W	0.00	1 of 7 - Sale <sup>25</sup>
	1,000.000	2,149.87	09/30/21	3,699.50	1,549.63 W	0.00	2 of 7 - Sale <sup>25</sup>
	1.000	2.15	10/05/21	3.07	0.92 W	0.00	3 of 7 - Sale <sup>25</sup>
	87.000	187.04	10/05/21	282.32	95.28 W	0.00	4 of 7 - Sale <sup>25</sup>
	300.000	644.96	10/05/21	818.40	173.44 W	0.00	5 of 7 - Sale <sup>25</sup>
	40.000	85.99	10/07/21	115.55	29.56 W	0.00	6 of 7 - Sale <sup>25</sup>
	459.000	986.79	10/07/21	1,325.92	339.13 W	0.00	7 of 7 - Sale <sup>25</sup>
10/08/21	1,888.000	4,058.95	Various	6,248.28	2,189.33 W	0.00	Total of 7 transactions
10/19/21	499.000	815.80	10/12/21	738.02	...	77.78	Sale <sup>25</sup>
	9 transactions for 10/19/21. Total proceeds and cost reported to the IRS.						
	1.000	1.63	10/07/21	2.89	1.26 W	0.00	1 of 9 - Sale <sup>25</sup>
	1.000	1.63	10/08/21	3.12	1.49 W	0.00	2 of 9 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CAMBER ENERGY, INC / CUSIP: 13200M508 / Symbol: (cont'd)							
	40.000	65.40	10/08/21	117.55	52.15 W	0.00	3 of 9 - Sale <sup>25</sup>
	87.000	142.23	10/08/21	286.65	144.42 W	0.00	4 of 9 - Sale <sup>25</sup>
	159.000	259.95	10/08/21	467.23	207.28 W	0.00	5 of 9 - Sale <sup>25</sup>
	200.000	326.98	10/08/21	437.64	110.66 W	0.00	6 of 9 - Sale <sup>25</sup>
	300.000	490.46	10/08/21	833.35	342.89 W	0.00	7 of 9 - Sale <sup>25</sup>
	300.000	490.46	10/08/21	878.11	387.65 W	0.00	8 of 9 - Sale <sup>25</sup>
	413.000	675.20	10/08/21	1,548.48	873.28 W	0.00	9 of 9 - Sale <sup>25</sup>
10/19/21	1,501.000	2,453.94	Various	4,575.02	2,121.08 W	0.00	Total of 9 transactions
	10 transactions for 11/22/21. Total proceeds and cost reported to the IRS.						
	1.000	1.48	10/12/21	2.74	...	-1.26	1 of 10 - Sale <sup>25</sup>
	213.000	316.28	10/19/21	827.22	...	-510.94	2 of 10 - Sale <sup>25</sup>
	159.000	236.10	10/19/21	488.58	...	-252.48	3 of 10 - Sale <sup>25</sup>
	87.000	129.18	10/19/21	298.34	...	-169.16	4 of 10 - Sale <sup>25</sup>
	40.000	59.40	10/19/21	122.92	...	-63.52	5 of 10 - Sale <sup>25</sup>
	1.000	1.48	10/19/21	3.26	...	-1.78	6 of 10 - Sale <sup>25</sup>
	200.000	296.97	10/20/21	792.90	...	-495.93	7 of 10 - Sale <sup>25</sup>
	300.000	445.46	10/20/21	897.89	...	-452.43	8 of 10 - Sale <sup>25</sup>
	300.000	445.46	11/02/21	765.65	...	-320.19	9 of 10 - Sale <sup>25</sup>
	200.000	296.98	11/02/21	362.66	...	-65.68	10 of 10 - Sale <sup>25</sup>
11/22/21	1,501.000	2,228.79	Various	4,562.16	...	-2,333.37	Total of 10 transactions
	<b>Security total:</b>	<b>38,580.93</b>		<b>43,087.78</b>	<b>5,692.32 W</b>	<b>1,185.47</b>	
CASSAVA SCIENCES, INC. COMMON STOCK / CUSIP: 14817C107 / Symbol:							
	6 transactions for 02/03/21. Total proceeds and cost reported to the IRS.						
	1.000	115.00	02/03/21	61.70	...	53.30	1 of 6 - Sale <sup>25</sup>
	8.000	591.99	02/03/21	493.60	...	98.39	2 of 6 - Sale <sup>25</sup>
	10.000	739.98	02/03/21	590.00	...	149.98	3 of 6 - Sale <sup>25</sup>
	21.000	1,553.96	02/03/21	1,295.70	...	258.26	4 of 6 - Sale <sup>25</sup>
	9.000	1,034.97	02/03/21	697.77	...	337.20	5 of 6 - Sale <sup>25</sup>
	10.000	1,149.97	02/03/21	689.39	...	460.58	6 of 6 - Sale <sup>25</sup>
02/03/21	59.000	5,185.87	Various	3,828.16	...	1,357.71	Total of 6 transactions
02/18/21	17.000	854.56	02/11/21	824.50	...	30.06	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CASSAVA SCIENCES, INC. COMMON STOCK / CUSIP: 14817C107 / Symbol: (cont'd)							
7 transactions for 02/18/21. Total proceeds and cost reported to the IRS.							
	1.000	50.27	02/03/21	77.53	27.26 W	0.00	1 of 7 - Sale <sup>25</sup>
	7.000	351.88	02/03/21	805.00	453.12 W	0.00	2 of 7 - Sale <sup>25</sup>
	2.000	100.54	02/04/21	149.70	49.16 W	0.00	3 of 7 - Sale <sup>25</sup>
	3.000	150.81	02/04/21	304.80	153.99 W	0.00	4 of 7 - Sale <sup>25</sup>
	2.000	100.54	02/08/21	109.56	9.02 W	0.00	5 of 7 - Sale <sup>25</sup>
	18.000	904.83	02/08/21	977.94	73.11 W	0.00	6 of 7 - Sale <sup>25</sup>
	10.000	502.69	02/09/21	577.82	75.13 W	0.00	7 of 7 - Sale <sup>25</sup>
02/18/21	43.000	2,161.56	Various	3,002.35	840.79 W	0.00	Total of 7 transactions
11 transactions for 02/22/21. Total proceeds and cost reported to the IRS.							
	5.000	294.99	02/22/21	650.27	...	-355.28	1 of 11 - Sale <sup>25</sup>
	3.000	177.00	02/22/21	349.95	...	-172.95	2 of 11 - Sale <sup>25</sup>
	2.000	118.00	02/22/21	229.56	...	-111.56	3 of 11 - Sale <sup>25</sup>
	8.000	471.98	02/22/21	552.50	...	-80.52	4 of 11 - Sale <sup>25</sup>
	2.000	118.00	02/22/21	179.80	...	-61.80	5 of 11 - Sale <sup>25</sup>
	3.000	176.99	02/22/21	208.15	...	-31.16	6 of 11 - Sale <sup>25</sup>
	2.000	118.00	02/22/21	139.66	...	-21.66	7 of 11 - Sale <sup>25</sup>
	2.000	118.00	02/22/21	137.12	...	-19.12	8 of 11 - Sale <sup>25</sup>
	1.000	59.00	02/22/21	77.31	...	-18.31	9 of 11 - Sale <sup>25</sup>
	1.000	59.00	02/22/21	70.11	...	-11.11	10 of 11 - Sale <sup>25</sup>
	1.000	59.00	02/22/21	65.61	...	-6.61	11 of 11 - Sale <sup>25</sup>
02/22/21	30.000	1,769.96	Various	2,660.04	...	-890.08	Total of 11 transactions
8 transactions for 02/22/21. Total proceeds and cost reported to the IRS.							
	1.000	60.00	02/11/21	75.76	15.76 W	0.00	1 of 8 - Sale <sup>25</sup>
	2.000	120.00	02/11/21	226.46	106.46 W	0.00	2 of 8 - Sale <sup>25</sup>
	12.000	719.98	02/22/21	822.75	8.56 W	-94.21	3 of 8 - Sale <sup>25</sup>
	2.000	120.00	02/22/21	136.56	16.56 W	0.00	4 of 8 - Sale <sup>25</sup>
	2.000	120.00	02/22/21	176.70	56.70 W	0.00	5 of 8 - Sale <sup>25</sup>
	3.000	179.99	02/22/21	203.49	23.50 W	0.00	6 of 8 - Sale <sup>25</sup>
	3.000	180.00	02/22/21	345.30	165.30 W	0.00	7 of 8 - Sale <sup>25</sup>
	5.000	299.99	02/22/21	642.51	342.52 W	0.00	8 of 8 - Sale <sup>25</sup>
02/22/21	30.000	1,799.96	Various	2,629.53	735.36 W	-94.21	Total of 8 transactions
02/25/21	2.000	110.26	02/22/21	138.13	...	-27.87	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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CASSAVA SCIENCES, INC. COMMON STOCK / CUSIP: 14817C107 / Symbol: (cont'd)							
<b>Security total:</b>		<b>11,882.17</b>		<b>13,082.71</b>	<b>1,576.15 W</b>	<b>375.61</b>	
CELSION CORPORATION COMMON STO CK / CUSIP: 15117N503 / Symbol:							
<i>17 transactions for 02/22/21. Total proceeds and cost reported to the IRS.</i>							
	100.000	289.98	02/11/21	285.02	...	4.96	1 of 17 - Sale <sup>25</sup>
	100.000	289.98	02/11/21	284.02	...	5.96	2 of 17 - Sale <sup>25</sup>
	200.000	579.96	02/11/21	550.04	...	29.92	3 of 17 - Sale <sup>25</sup>
	100.000	289.98	02/16/21	246.02	...	43.96	4 of 17 - Sale <sup>25</sup>
	300.000	869.95	02/16/21	752.73	...	117.22	5 of 17 - Sale <sup>25</sup>
	79.000	236.99	02/22/21	236.99	...	0.00	6 of 17 - Sale <sup>25</sup>
	1.000	3.15	02/22/21	3.11	...	0.04	7 of 17 - Sale <sup>25</sup>
	1.000	3.15	02/22/21	3.05	...	0.10	8 of 17 - Sale <sup>25</sup>
	1.000	3.15	02/22/21	3.02	...	0.13	9 of 17 - Sale <sup>25</sup>
	28.000	88.19	02/22/21	85.84	...	2.35	10 of 17 - Sale <sup>25</sup>
	49.000	154.34	02/22/21	146.50	...	7.84	11 of 17 - Sale <sup>25</sup>
	122.000	384.28	02/22/21	373.95	...	10.33	12 of 17 - Sale <sup>25</sup>
	79.000	236.98	02/22/21	222.76	...	14.22	13 of 17 - Sale <sup>25</sup>
	191.000	601.61	02/22/21	585.44	...	16.17	14 of 17 - Sale <sup>25</sup>
	200.000	629.96	02/22/21	613.04	...	16.92	15 of 17 - Sale <sup>25</sup>
	200.000	629.96	02/22/21	613.03	...	16.93	16 of 17 - Sale <sup>25</sup>
	120.000	359.98	02/22/21	338.36	...	21.62	17 of 17 - Sale <sup>25</sup>
02/22/21	1,871.000	5,651.59	Various	5,342.92	...	308.67	Total of 17 transactions
<i>95 transactions for 02/22/21. Total proceeds and cost reported to the IRS.</i>							
	91.000	263.89	02/11/21	277.57	13.68 W	0.00	1 of 95 - Sale <sup>25</sup>
	109.000	316.08	02/11/21	332.47	16.39 W	0.00	2 of 95 - Sale <sup>25</sup>
	500.000	1,599.90	02/11/21	1,700.00	100.10 W	0.00	3 of 95 - Sale <sup>25</sup>
	500.000	1,599.90	02/11/21	1,705.00	105.10 W	0.00	4 of 95 - Sale <sup>25</sup>
	743.000	2,154.56	02/22/21	2,280.48	41.35 W	-84.57	5 of 95 - Sale <sup>25</sup>
	1.000	2.80	02/22/21	3.37	0.57 W	0.00	6 of 95 - Sale <sup>25</sup>
	1.000	2.88	02/22/21	3.30	0.42 W	0.00	7 of 95 - Sale <sup>25</sup>
	1.000	2.90	02/22/21	3.23	0.33 W	0.00	8 of 95 - Sale <sup>25</sup>
	1.000	2.97	02/22/21	3.00	0.03 W	0.00	9 of 95 - Sale <sup>25</sup>
	1.000	2.97	02/22/21	3.09	0.12 W	0.00	10 of 95 - Sale <sup>25</sup>
	1.000	2.97	02/22/21	3.37	0.40 W	0.00	11 of 95 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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CELSION CORPORATION COMMON STO CK / CUSIP: 15117N503 / Symbol: (cont'd)							
	1.000	3.00	02/22/21	3.05	0.05 W	0.00	12 of 95 - Sale <sup>25</sup>
	1.000	3.00	02/22/21	3.32	0.32 W	0.00	13 of 95 - Sale <sup>25</sup>
	1.000	3.15	02/22/21	3.39	0.24 W	0.00	14 of 95 - Sale <sup>25</sup>
	6.000	16.80	02/22/21	18.30	1.50 W	0.00	15 of 95 - Sale <sup>25</sup>
	6.000	16.80	02/22/21	20.71	3.91 W	0.00	16 of 95 - Sale <sup>25</sup>
	6.000	17.28	02/22/21	20.11	2.83 W	0.00	17 of 95 - Sale <sup>25</sup>
	6.000	17.40	02/22/21	19.41	2.01 W	0.00	18 of 95 - Sale <sup>25</sup>
	6.000	17.40	02/22/21	20.32	2.92 W	0.00	19 of 95 - Sale <sup>25</sup>
	6.000	17.82	02/22/21	19.77	1.95 W	0.00	20 of 95 - Sale <sup>25</sup>
	6.000	17.82	02/22/21	20.68	2.86 W	0.00	21 of 95 - Sale <sup>25</sup>
	6.000	18.00	02/22/21	20.41	2.41 W	0.00	22 of 95 - Sale <sup>25</sup>
	6.000	18.90	02/22/21	19.89	0.99 W	0.00	23 of 95 - Sale <sup>25</sup>
	6.000	18.90	02/22/21	20.80	1.90 W	0.00	24 of 95 - Sale <sup>25</sup>
	19.000	55.10	02/22/21	61.94	6.84 W	0.00	25 of 95 - Sale <sup>25</sup>
	20.000	56.00	02/22/21	69.00	13.00 W	0.00	26 of 95 - Sale <sup>25</sup>
	20.000	57.61	02/22/21	67.01	9.40 W	0.00	27 of 95 - Sale <sup>25</sup>
	20.000	58.00	02/22/21	66.01	8.01 W	0.00	28 of 95 - Sale <sup>25</sup>
	20.000	58.00	02/22/21	67.69	9.69 W	0.00	29 of 95 - Sale <sup>25</sup>
	20.000	59.40	02/22/21	68.90	9.50 W	0.00	30 of 95 - Sale <sup>25</sup>
	19.000	59.85	02/22/21	63.47	3.62 W	0.00	31 of 95 - Sale <sup>25</sup>
	20.000	60.00	02/22/21	68.00	8.00 W	0.00	32 of 95 - Sale <sup>25</sup>
	20.000	63.00	02/22/21	69.30	6.30 W	0.00	33 of 95 - Sale <sup>25</sup>
	25.000	69.99	02/22/21	86.27	16.28 W	0.00	34 of 95 - Sale <sup>25</sup>
	25.000	72.01	02/22/21	83.78	11.77 W	0.00	35 of 95 - Sale <sup>25</sup>
	25.000	72.50	02/22/21	84.63	12.13 W	0.00	36 of 95 - Sale <sup>25</sup>
	25.000	74.25	02/22/21	86.15	11.90 W	0.00	37 of 95 - Sale <sup>25</sup>
	25.000	75.00	02/22/21	85.03	10.03 W	0.00	38 of 95 - Sale <sup>25</sup>
	25.000	78.75	02/22/21	86.65	7.90 W	0.00	39 of 95 - Sale <sup>25</sup>
	28.000	83.15	02/22/21	85.27	2.12 W	0.00	40 of 95 - Sale <sup>25</sup>
	31.000	89.89	02/22/21	102.33	12.44 W	0.00	41 of 95 - Sale <sup>25</sup>
	40.000	111.99	02/22/21	138.02	26.03 W	0.00	42 of 95 - Sale <sup>25</sup>
	40.000	115.21	02/22/21	134.03	18.82 W	0.00	43 of 95 - Sale <sup>25</sup>
	40.000	115.99	02/22/21	132.02	16.03 W	0.00	44 of 95 - Sale <sup>25</sup>
	40.000	115.99	02/22/21	135.43	19.44 W	0.00	45 of 95 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CELSION CORPORATION COMMON STO CK / CUSIP: 15117N503 / Symbol: (cont'd)							
	40.000	118.79	02/22/21	137.84	19.05 W	0.00	46 of 95 - Sale <sup>25</sup>
	40.000	119.99	02/22/21	136.03	16.04 W	0.00	47 of 95 - Sale <sup>25</sup>
	40.000	125.99	02/22/21	138.65	12.66 W	0.00	48 of 95 - Sale <sup>25</sup>
	48.000	134.39	02/22/21	152.39	18.00 W	0.00	49 of 95 - Sale <sup>25</sup>
	48.000	138.26	02/22/21	147.61	9.35 W	0.00	50 of 95 - Sale <sup>25</sup>
	48.000	139.19	02/22/21	149.27	10.08 W	0.00	51 of 95 - Sale <sup>25</sup>
	48.000	142.55	02/22/21	152.17	9.62 W	0.00	52 of 95 - Sale <sup>25</sup>
	48.000	143.99	02/22/21	150.00	6.01 W	0.00	53 of 95 - Sale <sup>25</sup>
	50.000	144.99	02/22/21	157.22	12.23 W	0.00	54 of 95 - Sale <sup>25</sup>
	50.000	148.50	02/22/21	152.25	3.75 W	0.00	55 of 95 - Sale <sup>25</sup>
	48.000	151.19	02/22/21	153.13	1.94 W	0.00	56 of 95 - Sale <sup>25</sup>
	64.000	179.19	02/22/21	203.19	24.00 W	0.00	57 of 95 - Sale <sup>25</sup>
	64.000	184.34	02/22/21	196.81	12.47 W	0.00	58 of 95 - Sale <sup>25</sup>
	64.000	185.59	02/22/21	193.60	8.01 W	0.00	59 of 95 - Sale <sup>25</sup>
	64.000	185.59	02/22/21	199.01	13.42 W	0.00	60 of 95 - Sale <sup>25</sup>
	64.000	190.07	02/22/21	202.89	12.82 W	0.00	61 of 95 - Sale <sup>25</sup>
	64.000	191.99	02/22/21	200.00	8.01 W	0.00	62 of 95 - Sale <sup>25</sup>
	64.000	201.59	02/22/21	204.17	2.58 W	0.00	63 of 95 - Sale <sup>25</sup>
	88.000	246.39	02/22/21	268.37	21.98 W	0.00	64 of 95 - Sale <sup>25</sup>
	88.000	255.18	02/22/21	284.63	29.45 W	0.00	65 of 95 - Sale <sup>25</sup>
	89.000	258.08	02/22/21	290.16	32.08 W	0.00	66 of 95 - Sale <sup>25</sup>
	88.000	261.34	02/22/21	289.94	28.60 W	0.00	67 of 95 - Sale <sup>25</sup>
	91.000	263.88	02/22/21	288.96	25.08 W	0.00	68 of 95 - Sale <sup>25</sup>
	96.000	268.78	02/22/21	304.79	36.01 W	0.00	69 of 95 - Sale <sup>25</sup>
	96.000	276.51	02/22/21	295.22	18.71 W	0.00	70 of 95 - Sale <sup>25</sup>
	88.000	277.18	02/22/21	291.71	14.53 W	0.00	71 of 95 - Sale <sup>25</sup>
	96.000	278.38	02/22/21	298.55	20.17 W	0.00	72 of 95 - Sale <sup>25</sup>
	89.000	280.33	02/22/21	297.32	16.99 W	0.00	73 of 95 - Sale <sup>25</sup>
	96.000	285.10	02/22/21	304.35	19.25 W	0.00	74 of 95 - Sale <sup>25</sup>
	96.000	287.98	02/22/21	300.01	12.03 W	0.00	75 of 95 - Sale <sup>25</sup>
	100.000	299.98	02/22/21	299.99	0.01 W	0.00	76 of 95 - Sale <sup>25</sup>
	96.000	302.38	02/22/21	306.28	3.90 W	0.00	77 of 95 - Sale <sup>25</sup>
	108.000	302.38	02/22/21	359.12	56.74 W	0.00	78 of 95 - Sale <sup>25</sup>
	108.000	311.07	02/22/21	348.35	37.28 W	0.00	79 of 95 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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CELSION CORPORATION COMMON STO CK / CUSIP: 15117N503 / Symbol: (cont'd)							
	109.000	316.08	02/22/21	346.11	30.03 W	0.00	80 of 95 - Sale <sup>25</sup>
	108.000	320.74	02/22/21	358.62	37.88 W	0.00	81 of 95 - Sale <sup>25</sup>
	108.000	323.98	02/22/21	353.74	29.76 W	0.00	82 of 95 - Sale <sup>25</sup>
	122.000	362.32	02/22/21	371.50	9.18 W	0.00	83 of 95 - Sale <sup>25</sup>
	144.000	417.57	02/22/21	435.60	18.03 W	0.00	84 of 95 - Sale <sup>25</sup>
	191.000	567.23	02/22/21	581.60	14.37 W	0.00	85 of 95 - Sale <sup>25</sup>
	200.000	593.96	02/22/21	609.01	15.05 W	0.00	86 of 95 - Sale <sup>25</sup>
	200.000	593.96	02/22/21	609.02	15.06 W	0.00	87 of 95 - Sale <sup>25</sup>
	205.000	594.46	02/22/21	663.06	68.60 W	0.00	88 of 95 - Sale <sup>25</sup>
	200.000	599.96	02/22/21	599.97	0.01 W	0.00	89 of 95 - Sale <sup>25</sup>
	200.000	599.96	02/22/21	599.98	0.02 W	0.00	90 of 95 - Sale <sup>25</sup>
	205.000	608.81	02/22/21	675.45	66.64 W	0.00	91 of 95 - Sale <sup>25</sup>
	205.000	645.71	02/22/21	679.57	33.86 W	0.00	92 of 95 - Sale <sup>25</sup>
	313.000	938.94	02/22/21	938.96	0.02 W	0.00	93 of 95 - Sale <sup>25</sup>
	1,098.000	3,074.19	02/22/21	3,348.55	274.36 W	0.00	94 of 95 - Sale <sup>25</sup>
	1,392.000	4,009.40	02/22/21	4,106.40	97.00 W	0.00	95 of 95 - Sale <sup>25</sup>
02/22/21	10,028.000	29,588.17	Various	31,497.09	1,824.35 W	-84.57	Total of 95 transactions
	16 transactions for 03/01/21. Total proceeds and cost reported to the IRS.						
	205.000	442.77	02/22/21	615.95	...	-173.18	1 of 16 - Sale <sup>25</sup>
	244.000	527.02	02/22/21	692.83	...	-165.81	2 of 16 - Sale <sup>25</sup>
	89.000	192.23	02/22/21	269.71	...	-77.48	3 of 16 - Sale <sup>25</sup>
	96.000	207.36	02/22/21	276.49	...	-69.13	4 of 16 - Sale <sup>25</sup>
	64.000	138.24	02/22/21	184.30	...	-46.06	5 of 16 - Sale <sup>25</sup>
	49.000	105.84	02/22/21	147.23	...	-41.39	6 of 16 - Sale <sup>25</sup>
	40.000	86.39	02/22/21	126.24	...	-39.85	7 of 16 - Sale <sup>25</sup>
	50.000	107.99	02/22/21	145.73	...	-37.74	8 of 16 - Sale <sup>25</sup>
	48.000	103.68	02/22/21	138.24	...	-34.56	9 of 16 - Sale <sup>25</sup>
	39.000	84.23	02/22/21	117.18	...	-32.95	10 of 16 - Sale <sup>25</sup>
	25.000	54.00	02/22/21	78.88	...	-24.88	11 of 16 - Sale <sup>25</sup>
	20.000	43.20	02/22/21	63.09	...	-19.89	12 of 16 - Sale <sup>25</sup>
	19.000	41.04	02/22/21	57.57	...	-16.53	13 of 16 - Sale <sup>25</sup>
	6.000	12.96	02/22/21	18.94	...	-5.98	14 of 16 - Sale <sup>25</sup>
	6.000	12.96	02/22/21	18.03	...	-5.07	15 of 16 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CELSION CORPORATION COMMON STO CK / CUSIP: 15117N503 / Symbol: (cont'd)							
	1.000	2.16	02/22/21	3.40	...	-1.24	16 of 16 - Sale <sup>25</sup>
03/01/21	1,001.000	2,162.07	Various	2,953.81	...	-791.74	Total of 16 transactions
	<b>Security total:</b>	<b>37,401.83</b>		<b>39,793.82</b>	<b>1,824.35 W</b>	<b>-567.64</b>	
CENTREX INC. COMMON STOCK / CUSIP: 15130G600 / Symbol:							
11 transactions for 10/14/21. Total proceeds and cost reported to the IRS.							
	500.000	664.93	10/14/21	695.00	...	-30.07	1 of 11 - Sale <sup>25</sup>
	400.000	531.94	10/14/21	556.00	...	-24.06	2 of 11 - Sale <sup>25</sup>
	100.000	132.99	10/14/21	139.00	...	-6.01	3 of 11 - Sale <sup>25</sup>
	50.000	66.56	10/14/21	65.00	...	1.56	4 of 11 - Sale <sup>25</sup>
	70.000	93.19	10/14/21	91.00	...	2.19	5 of 11 - Sale <sup>25</sup>
	87.000	115.82	10/14/21	113.10	...	2.72	6 of 11 - Sale <sup>25</sup>
	100.000	133.13	10/14/21	130.00	...	3.13	7 of 11 - Sale <sup>25</sup>
	100.000	133.13	10/14/21	130.00	...	3.13	8 of 11 - Sale <sup>25</sup>
	200.000	266.25	10/14/21	260.00	...	6.25	9 of 11 - Sale <sup>25</sup>
	393.000	523.19	10/14/21	513.53	...	9.66	10 of 11 - Sale <sup>25</sup>
	1,000.000	1,341.47	10/14/21	1,278.60	...	62.87	11 of 11 - Sale <sup>25</sup>
10/14/21	3,000.000	4,002.60	Various	3,971.23	...	31.37	Total of 11 transactions
CHURCHILL CAPITAL CORP IV / CUSIP: 171439102 / Symbol:							
2 transactions for 02/12/21. Total proceeds and cost reported to the IRS.							
	1.000	41.00	02/11/21	35.10	...	5.90	1 of 2 - Sale <sup>25</sup>
	99.000	4,020.29	02/11/21	3,474.90	...	545.39	2 of 2 - Sale <sup>25</sup>
02/12/21	100.000	4,061.29	Various	3,510.00	...	551.29	Total of 2 transactions
02/12/21	98.000	4,017.90	02/12/21	4,116.00	98.10 W	0.00	Sale <sup>25</sup>
11 transactions for 02/16/21. Total proceeds and cost reported to the IRS.							
	1.000	49.13	02/12/21	43.53	...	5.60	1 of 11 - Sale <sup>25</sup>
	1.000	49.13	02/12/21	42.28	...	6.85	2 of 11 - Sale <sup>25</sup>
	2.000	98.26	02/12/21	81.50	...	16.76	3 of 11 - Sale <sup>25</sup>
	17.000	835.19	02/12/21	692.77	...	142.42	4 of 11 - Sale <sup>25</sup>
	1.000	49.13	02/16/21	40.74	...	8.39	5 of 11 - Sale <sup>25</sup>
	1.000	49.13	02/16/21	40.49	...	8.64	6 of 11 - Sale <sup>25</sup>
	1.000	49.13	02/16/21	40.40	...	8.73	7 of 11 - Sale <sup>25</sup>
	4.000	196.51	02/16/21	162.10	...	34.41	8 of 11 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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CHURCHILL CAPITAL CORP IV / CUSIP: 171439102 / Symbol: (cont'd)							
	6.000	294.77	02/16/21	229.83	...	64.94	9 of 11 - Sale <sup>25</sup>
	23.000	1,129.96	02/16/21	931.31	...	198.65	10 of 11 - Sale <sup>25</sup>
	43.000	2,112.54	02/16/21	1,751.98	...	360.56	11 of 11 - Sale <sup>25</sup>
02/16/21	100.000	4,912.88	Various	4,056.93	...	855.95	Total of 11 transactions
	5 transactions for 02/16/21. Total proceeds and cost reported to the IRS.						
	1.000	38.22	02/12/21	42.00	3.78 W	0.00	1 of 5 - Sale <sup>25</sup>
	6.000	229.31	02/12/21	244.51	15.20 W	0.00	2 of 5 - Sale <sup>25</sup>
	7.000	284.61	02/12/21	285.26	0.65 W	0.00	3 of 5 - Sale <sup>25</sup>
	23.000	935.16	02/12/21	937.27	2.11 W	0.00	4 of 5 - Sale <sup>25</sup>
	43.000	1,643.42	02/12/21	1,752.29	108.87 W	0.00	5 of 5 - Sale <sup>25</sup>
02/16/21	80.000	3,130.72	Various	3,261.33	130.61 W	0.00	Total of 5 transactions
	6 transactions for 02/17/21. Total proceeds and cost reported to the IRS.						
	2.000	112.44	02/16/21	99.88	...	12.56	1 of 6 - Sale <sup>25</sup>
	2.000	112.40	02/16/21	80.80	...	31.60	2 of 6 - Sale <sup>25</sup>
	3.000	168.66	02/16/21	121.20	...	47.46	3 of 6 - Sale <sup>25</sup>
	10.000	561.98	02/16/21	499.40	...	62.58	4 of 6 - Sale <sup>25</sup>
	40.000	2,247.95	02/16/21	1,997.60	...	250.35	5 of 6 - Sale <sup>25</sup>
	43.000	2,416.54	02/16/21	2,147.42	...	269.12	6 of 6 - Sale <sup>25</sup>
02/17/21	100.000	5,619.97	Various	4,946.30	...	673.67	Total of 6 transactions
	5 transactions for 02/19/21. Total proceeds and cost reported to the IRS.						
	5.000	304.00	02/16/21	249.70	...	54.30	1 of 5 - Sale <sup>25</sup>
	3.000	182.40	02/17/21	167.97	...	14.43	2 of 5 - Sale <sup>25</sup>
	5.000	299.64	02/17/21	279.95	...	19.69	3 of 5 - Sale <sup>25</sup>
	92.000	5,593.46	02/17/21	5,151.08	...	442.38	4 of 5 - Sale <sup>25</sup>
	59.000	3,535.78	02/19/21	3,410.20	...	125.58	5 of 5 - Sale <sup>25</sup>
02/19/21	164.000	9,915.28	Various	9,258.90	...	656.38	Total of 5 transactions
	2 transactions for 02/19/21. Total proceeds and cost reported to the IRS.						
	1.000	57.77	02/19/21	57.80	0.03 W	0.00	1 of 2 - Sale <sup>25</sup>
	99.000	5,718.80	02/19/21	5,837.93	119.13 W	0.00	2 of 2 - Sale <sup>25</sup>
02/19/21	100.000	5,776.57	Various	5,895.73	119.16 W	0.00	Total of 2 transactions
	4 transactions for 02/22/21. Total proceeds and cost reported to the IRS.						
	1.000	61.52	02/19/21	59.00	...	2.52	1 of 4 - Sale <sup>25</sup>
	10.000	615.11	02/19/21	540.45	...	74.66	2 of 4 - Sale <sup>25</sup>

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Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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CHURCHILL CAPITAL CORP IV / CUSIP: 171439102 / Symbol: (cont'd)							
	40.000	2,460.74	02/19/21	2,161.80	...	298.94	3 of 4 - Sale <sup>25</sup>
	49.000	3,014.09	02/19/21	2,648.20	...	365.89	4 of 4 - Sale <sup>25</sup>
02/22/21	100.000	6,151.46	Various	5,409.45	...	742.01	Total of 4 transactions
	8 transactions for 02/23/21. Total proceeds and cost reported to the IRS.						
	1.000	36.00	02/19/21	52.84	16.84 W	0.00	1 of 8 - Sale <sup>25</sup>
	1.000	42.54	02/22/21	78.29	35.75 W	0.00	2 of 8 - Sale <sup>25</sup>
	99.000	3,563.91	02/22/21	6,083.55	2,519.64 W	0.00	3 of 8 - Sale <sup>25</sup>
	1.000	37.40	02/23/21	71.35	33.95 W	0.00	4 of 8 - Sale <sup>25</sup>
	1.000	38.32	02/23/21	72.62	34.30 W	0.00	5 of 8 - Sale <sup>25</sup>
	99.000	3,702.33	02/23/21	5,660.74	1,958.41 W	0.00	6 of 8 - Sale <sup>25</sup>
	99.000	3,793.58	02/23/21	5,883.76	2,090.18 W	0.00	7 of 8 - Sale <sup>25</sup>
	99.000	4,211.88	02/23/21	6,044.54	1,832.66 W	0.00	8 of 8 - Sale <sup>25</sup>
02/23/21	400.000	15,425.96	Various	23,947.69	8,521.73 W	0.00	Total of 8 transactions
	3 transactions for 02/24/21. Total proceeds and cost reported to the IRS.						
	1.000	27.40	02/23/21	73.95	46.55 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	27.40	02/23/21	84.78	57.38 W	0.00	2 of 3 - Sale <sup>25</sup>
	48.000	1,315.17	02/23/21	2,848.46	1,533.29 W	0.00	3 of 3 - Sale <sup>25</sup>
02/24/21	50.000	1,369.97	Various	3,007.19	1,637.22 W	0.00	Total of 3 transactions
	11 transactions for 02/25/21. Total proceeds and cost reported to the IRS.						
	51.000	1,542.22	02/23/21	3,026.49	1,484.27 W	0.00	1 of 11 - Sale <sup>25</sup>
	1.000	27.89	02/24/21	60.71	32.82 W	0.00	2 of 11 - Sale <sup>25</sup>
	1.000	30.24	02/24/21	35.08	4.84 W	0.00	3 of 11 - Sale <sup>25</sup>
	1.000	30.24	02/24/21	92.47	62.23 W	0.00	4 of 11 - Sale <sup>25</sup>
	10.000	302.40	02/24/21	333.00	30.60 W	0.00	5 of 11 - Sale <sup>25</sup>
	39.000	1,179.34	02/24/21	1,232.79	53.45 W	0.00	6 of 11 - Sale <sup>25</sup>
	50.000	1,394.49	02/24/21	2,907.17	1,512.68 W	0.00	7 of 11 - Sale <sup>25</sup>
	48.000	1,451.51	02/24/21	3,217.37	1,765.86 W	0.00	8 of 11 - Sale <sup>25</sup>
	1.000	27.89	02/25/21	91.90	64.01 W	0.00	9 of 11 - Sale <sup>25</sup>
	6.000	167.34	02/25/21	398.75	231.41 W	0.00	10 of 11 - Sale <sup>25</sup>
	42.000	1,171.37	02/25/21	2,790.85	1,619.48 W	0.00	11 of 11 - Sale <sup>25</sup>
02/25/21	250.000	7,324.93	Various	14,186.58	6,861.65 W	0.00	Total of 11 transactions

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CHURCHILL CAPITAL CORP IV / CUSIP: 171439102 / Symbol: (cont'd)							
3 transactions for 03/08/21. Total proceeds and cost reported to the IRS.							
	1.000	23.46	02/25/21	34.50	11.04 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	23.46	02/25/21	63.06	39.60 W	0.00	2 of 3 - Sale <sup>25</sup>
	3.000	70.38	02/25/21	181.48	111.10 W	0.00	3 of 3 - Sale <sup>25</sup>
03/08/21	5.000	117.30	Various	279.04	161.74 W	0.00	Total of 3 transactions
6 transactions for 03/15/21. Total proceeds and cost reported to the IRS.							
	10.000	280.30	02/25/21	333.00	52.70 W	0.00	1 of 6 - Sale <sup>25</sup>
	27.000	756.80	02/25/21	1,633.33	876.53 W	0.00	2 of 6 - Sale <sup>25</sup>
	39.000	1,093.16	02/25/21	1,232.81	139.65 W	0.00	3 of 6 - Sale <sup>25</sup>
	1.000	28.03	02/26/21	95.94	67.91 W	0.00	4 of 6 - Sale <sup>25</sup>
	3.000	84.09	02/26/21	211.50	127.41 W	0.00	5 of 6 - Sale <sup>25</sup>
	20.000	560.59	02/26/21	1,243.67	683.08 W	0.00	6 of 6 - Sale <sup>25</sup>
03/15/21	100.000	2,802.97	Various	4,750.25	1,947.28 W	0.00	Total of 6 transactions
4 transactions for 03/16/21. Total proceeds and cost reported to the IRS.							
	33.000	1,049.72	03/15/21	2,217.24	...	-1,167.52	1 of 4 - Sale <sup>25</sup>
	3.000	95.43	03/15/21	196.99	...	-101.56	2 of 4 - Sale <sup>25</sup>
	1.000	31.81	03/15/21	68.23	...	-36.42	3 of 4 - Sale <sup>25</sup>
	1.000	31.81	03/15/21	39.67	...	-7.86	4 of 4 - Sale <sup>25</sup>
03/16/21	38.000	1,208.77	Various	2,522.13	...	-1,313.36	Total of 4 transactions
6 transactions for 03/16/21. Total proceeds and cost reported to the IRS.							
	1.000	31.81	02/26/21	70.49	38.68 W	0.00	1 of 6 - Sale <sup>25</sup>
	2.000	63.62	02/26/21	140.98	77.36 W	0.00	2 of 6 - Sale <sup>25</sup>
	3.000	95.43	02/26/21	211.49	116.06 W	0.00	3 of 6 - Sale <sup>25</sup>
	19.000	604.38	03/15/21	612.00	5.21 W	-2.41	4 of 6 - Sale <sup>25</sup>
	10.000	318.10	03/15/21	339.00	20.90 W	0.00	5 of 6 - Sale <sup>25</sup>
	27.000	858.86	03/15/21	1,649.54	790.68 W	0.00	6 of 6 - Sale <sup>25</sup>
03/16/21	62.000	1,972.20	Various	3,023.50	1,048.89 W	-2.41	Total of 6 transactions
5 transactions for 07/15/21. Total proceeds and cost reported to the IRS.							
	6.000	138.30	03/15/21	403.13	264.83 W	0.00	1 of 5 - Sale <sup>25</sup>
	1.000	23.05	03/16/21	65.76	42.71 W	0.00	2 of 5 - Sale <sup>25</sup>
	1.000	23.05	03/16/21	99.52	76.47 W	0.00	3 of 5 - Sale <sup>25</sup>
	15.000	345.60	03/16/21	986.46	640.86 W	0.00	4 of 5 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 &amp; 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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## 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CHURCHILL CAPITAL CORP IV / CUSIP: 171439102 / Symbol: (cont'd)							
07/15/21	27.000	622.34	03/16/21	1,644.15	1,021.81 W	0.00	5 of 5 - Sale <sup>25</sup>
	50.000	1,152.34	Various	3,199.02	2,046.68 W	0.00	Total of 5 transactions
	6 transactions for 07/16/21. Total proceeds and cost reported to the IRS.						
	19.000	425.02	03/16/21	668.63	...	-243.61	1 of 6 - Sale <sup>25</sup>
	3.000	67.11	03/16/21	222.24	...	-155.13	2 of 6 - Sale <sup>25</sup>
	13.000	290.80	03/16/21	416.14	...	-125.34	3 of 6 - Sale <sup>25</sup>
	10.000	223.70	03/16/21	337.00	...	-113.30	4 of 6 - Sale <sup>25</sup>
	2.000	44.74	03/16/21	140.58	...	-95.84	5 of 6 - Sale <sup>25</sup>
	1.000	22.37	03/16/21	70.29	...	-47.92	6 of 6 - Sale <sup>25</sup>
07/16/21	48.000	1,073.74	Various	1,854.88	...	-781.14	Total of 6 transactions
	2 transactions for 07/16/21. Total proceeds and cost reported to the IRS.						
	3.000	67.11	03/16/21	210.89	143.78 W	0.00	1 of 2 - Sale <sup>25</sup>
	4.000	89.48	03/16/21	263.06	173.58 W	0.00	2 of 2 - Sale <sup>25</sup>
07/16/21	7.000	156.59	Various	473.95	317.36 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>76,190.84</b>		<b>97,698.87</b>	<b>22,890.42 W</b>	<b>1,382.39</b>	
CITIUS PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 17322U207 / Symbol:							
	20 transactions for 02/22/21. Total proceeds and cost reported to the IRS.						
	202.000	480.72	02/22/21	566.61	...	-85.89	1 of 20 - Sale <sup>25</sup>
	116.000	276.06	02/22/21	325.37	...	-49.31	2 of 20 - Sale <sup>25</sup>
	102.000	242.74	02/22/21	286.12	...	-43.38	3 of 20 - Sale <sup>25</sup>
	100.000	237.98	02/22/21	280.51	...	-42.53	4 of 20 - Sale <sup>25</sup>
	100.000	237.98	02/22/21	280.51	...	-42.53	5 of 20 - Sale <sup>25</sup>
	100.000	237.98	02/22/21	280.50	...	-42.52	6 of 20 - Sale <sup>25</sup>
	100.000	237.98	02/22/21	280.50	...	-42.52	7 of 20 - Sale <sup>25</sup>
	100.000	237.99	02/22/21	280.50	...	-42.51	8 of 20 - Sale <sup>25</sup>
	100.000	237.99	02/22/21	280.48	...	-42.49	9 of 20 - Sale <sup>25</sup>
	82.000	195.14	02/22/21	230.00	...	-34.86	10 of 20 - Sale <sup>25</sup>
	62.000	147.55	02/22/21	173.92	...	-26.37	11 of 20 - Sale <sup>25</sup>
	60.000	142.79	02/22/21	168.30	...	-25.51	12 of 20 - Sale <sup>25</sup>
	60.000	142.79	02/22/21	168.30	...	-25.51	13 of 20 - Sale <sup>25</sup>
	50.000	119.00	02/22/21	140.26	...	-21.26	14 of 20 - Sale <sup>25</sup>
	40.000	95.19	02/22/21	112.20	...	-17.01	15 of 20 - Sale <sup>25</sup>
	40.000	95.20	02/22/21	112.20	...	-17.00	16 of 20 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CITIUS PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 17322U207 / Symbol: (cont'd)							
	38.000	90.43	02/22/21	106.58	...	-16.15	17 of 20 - Sale <sup>25</sup>
	38.000	90.43	02/22/21	106.58	...	-16.15	18 of 20 - Sale <sup>25</sup>
	10.000	23.80	02/22/21	28.05	...	-4.25	19 of 20 - Sale <sup>25</sup>
	1,500.000	4,246.07	02/22/21	3,855.00	...	391.07	20 of 20 - Sale <sup>25</sup>
02/22/21	3,000.000	7,815.81	Various	8,062.49	...	-246.68	Total of 20 transactions
	43 transactions for 02/22/21. Total proceeds and cost reported to the IRS.						
	38.000	91.19	02/22/21	106.37	15.18 W	0.00	1 of 43 - Sale <sup>25</sup>
	38.000	92.38	02/22/21	105.91	13.53 W	0.00	2 of 43 - Sale <sup>25</sup>
	38.000	92.38	02/22/21	105.91	13.53 W	0.00	3 of 43 - Sale <sup>25</sup>
	38.000	95.04	02/22/21	107.09	12.05 W	0.00	4 of 43 - Sale <sup>25</sup>
	62.000	148.79	02/22/21	173.55	24.76 W	0.00	5 of 43 - Sale <sup>25</sup>
	62.000	150.72	02/22/21	172.81	22.09 W	0.00	6 of 43 - Sale <sup>25</sup>
	62.000	155.06	02/22/21	174.73	19.67 W	0.00	7 of 43 - Sale <sup>25</sup>
	100.000	239.98	02/22/21	279.91	39.93 W	0.00	8 of 43 - Sale <sup>25</sup>
	100.000	239.98	02/22/21	279.92	39.94 W	0.00	9 of 43 - Sale <sup>25</sup>
	100.000	239.98	02/22/21	279.92	39.94 W	0.00	10 of 43 - Sale <sup>25</sup>
	100.000	239.98	02/22/21	279.92	39.94 W	0.00	11 of 43 - Sale <sup>25</sup>
	100.000	243.10	02/22/21	278.70	35.60 W	0.00	12 of 43 - Sale <sup>25</sup>
	100.000	243.10	02/22/21	278.72	35.62 W	0.00	13 of 43 - Sale <sup>25</sup>
	100.000	243.10	02/22/21	278.73	35.63 W	0.00	14 of 43 - Sale <sup>25</sup>
	100.000	243.10	02/22/21	278.73	35.63 W	0.00	15 of 43 - Sale <sup>25</sup>
	100.000	243.10	02/22/21	278.73	35.63 W	0.00	16 of 43 - Sale <sup>25</sup>
	100.000	250.09	02/22/21	281.81	31.72 W	0.00	17 of 43 - Sale <sup>25</sup>
	100.000	250.09	02/22/21	281.82	31.73 W	0.00	18 of 43 - Sale <sup>25</sup>
	100.000	250.09	02/22/21	281.82	31.73 W	0.00	19 of 43 - Sale <sup>25</sup>
	100.000	250.09	02/22/21	281.82	31.73 W	0.00	20 of 43 - Sale <sup>25</sup>
	116.000	278.38	02/22/21	324.70	46.32 W	0.00	21 of 43 - Sale <sup>25</sup>
	116.000	282.00	02/22/21	323.31	41.31 W	0.00	22 of 43 - Sale <sup>25</sup>
	116.000	290.11	02/22/21	326.90	36.79 W	0.00	23 of 43 - Sale <sup>25</sup>
	122.000	296.59	02/22/21	340.04	43.45 W	0.00	24 of 43 - Sale <sup>25</sup>
	138.000	331.18	02/22/21	386.27	55.09 W	0.00	25 of 43 - Sale <sup>25</sup>
	138.000	344.98	02/22/21	379.35	34.37 W	0.00	26 of 43 - Sale <sup>25</sup>
	138.000	345.13	02/22/21	388.88	43.75 W	0.00	27 of 43 - Sale <sup>25</sup>
	138.000	367.06	02/22/21	383.64	16.58 W	0.00	28 of 43 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CITIUS PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 17322U207 / Symbol: (cont'd)							
	162.000	388.77	02/22/21	453.47	64.70 W	0.00	29 of 43 - Sale <sup>25</sup>
	162.000	393.83	02/22/21	451.54	57.71 W	0.00	30 of 43 - Sale <sup>25</sup>
	162.000	405.15	02/22/21	456.55	51.40 W	0.00	31 of 43 - Sale <sup>25</sup>
	200.000	479.97	02/22/21	559.83	79.86 W	0.00	32 of 43 - Sale <sup>25</sup>
	200.000	486.20	02/22/21	557.44	71.24 W	0.00	33 of 43 - Sale <sup>25</sup>
	200.000	499.96	02/22/21	549.80	49.84 W	0.00	34 of 43 - Sale <sup>25</sup>
	200.000	500.18	02/22/21	563.62	63.44 W	0.00	35 of 43 - Sale <sup>25</sup>
	200.000	531.96	02/22/21	556.00	24.04 W	0.00	36 of 43 - Sale <sup>25</sup>
	262.000	636.93	02/22/21	730.25	93.32 W	0.00	37 of 43 - Sale <sup>25</sup>
	384.000	921.54	02/22/21	1,074.88	153.34 W	0.00	38 of 43 - Sale <sup>25</sup>
	384.000	960.35	02/22/21	1,082.16	121.81 W	0.00	39 of 43 - Sale <sup>25</sup>
	500.000	1,249.91	02/22/21	1,374.49	124.58 W	0.00	40 of 43 - Sale <sup>25</sup>
	500.000	1,329.91	02/22/21	1,390.00	60.09 W	0.00	41 of 43 - Sale <sup>25</sup>
	662.000	1,654.88	02/22/21	1,819.83	164.95 W	0.00	42 of 43 - Sale <sup>25</sup>
	662.000	1,760.80	02/22/21	1,840.36	79.56 W	0.00	43 of 43 - Sale <sup>25</sup>
02/22/21	7,500.000	18,737.11	Various	20,900.23	2,163.12 W	0.00	Total of 43 transactions
	<b>Security total:</b>	<b>26,552.92</b>		<b>28,962.72</b>	<b>2,163.12 W</b>	<b>-246.68</b>	
COMSTOCK HOLDING COMPANIES, IN C. CLASS A COMMON STOCK / CUSIP: 205684202 / Symbol:							
2 transactions for 02/09/21. Total proceeds and cost reported to the IRS.							
	100.000	1,119.98	02/09/21	1,389.50	...	-269.32	1 of 2 - Sale <sup>25</sup>
	100.000	1,119.97	02/09/21	1,380.30	...	-260.33	2 of 2 - Sale <sup>25</sup>
02/09/21	200.000	2,239.95	Various	2,769.60	...	-529.65	Total of 2 transactions
02/09/21	250.000	2,999.90	02/09/21	3,398.15	318.60 W	-79.65	Sale <sup>25</sup>
	<b>Security total:</b>	<b>5,239.85</b>		<b>6,167.75</b>	<b>318.60 W</b>	<b>-609.30</b>	
COMSTOCK MINING INC. / CUSIP: 205750300 / Symbol:							
02/17/21	300.000	1,739.92	02/17/21	1,829.52	...	-89.60	Sale <sup>25</sup>
CORBUS PHARMACEUTICALS HOLDING S, INC. COMMON STOCK / CUSIP: 21833P103 / Symbol:							
02/09/21	700.000	2,142.64	02/09/21	2,093.00	...	49.64	Sale <sup>25</sup>

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Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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EHANG HOLDINGS LIMITED ADS / CUSIP: 26853E102 / Symbol:							
5 transactions for 02/16/21. Total proceeds and cost reported to the IRS.							
	1.000	57.50	02/16/21	50.00	...	7.50	1 of 5 - Sale <sup>25</sup>
	10.000	542.98	02/16/21	500.00	...	42.98	2 of 5 - Sale <sup>25</sup>
	99.000	5,692.36	02/16/21	5,600.43	...	91.93	3 of 5 - Sale <sup>25</sup>
	25.000	1,357.47	02/16/21	1,222.00	...	135.47	4 of 5 - Sale <sup>25</sup>
	74.000	4,018.10	02/16/21	3,700.00	...	318.10	5 of 5 - Sale <sup>25</sup>
02/16/21	209.000	11,668.41	Various	11,072.43	...	595.98	Total of 5 transactions
29 transactions for 02/17/21. Total proceeds and cost reported to the IRS.							
	2.000	148.80	02/17/21	148.60	...	0.20	1 of 29 - Sale <sup>25</sup>
	1.000	74.40	02/17/21	73.99	...	0.41	2 of 29 - Sale <sup>25</sup>
	1.000	74.40	02/17/21	73.78	...	0.62	3 of 29 - Sale <sup>25</sup>
	1.000	72.56	02/17/21	71.92	...	0.64	4 of 29 - Sale <sup>25</sup>
	1.000	73.00	02/17/21	71.98	...	1.02	5 of 29 - Sale <sup>25</sup>
	1.000	73.00	02/17/21	71.98	...	1.02	6 of 29 - Sale <sup>25</sup>
	1.000	74.40	02/17/21	72.87	...	1.53	7 of 29 - Sale <sup>25</sup>
	1.000	71.82	02/17/21	70.03	...	1.79	8 of 29 - Sale <sup>25</sup>
	1.000	67.48	02/17/21	63.21	...	4.27	9 of 29 - Sale <sup>25</sup>
	28.000	2,083.14	02/17/21	2,078.76	...	4.38	10 of 29 - Sale <sup>25</sup>
	1.000	64.20	02/17/21	59.03	...	5.17	11 of 29 - Sale <sup>25</sup>
	46.000	3,422.32	02/17/21	3,416.80	...	5.52	12 of 29 - Sale <sup>25</sup>
	1.000	57.56	02/17/21	51.56	...	6.00	13 of 29 - Sale <sup>25</sup>
	1.000	71.02	02/17/21	63.97	...	7.05	14 of 29 - Sale <sup>25</sup>
	8.000	583.99	02/17/21	575.84	...	8.15	15 of 29 - Sale <sup>25</sup>
	1.000	79.83	02/17/21	71.24	...	8.59	16 of 29 - Sale <sup>25</sup>
	99.000	6,941.93	02/17/21	6,932.82	...	9.11	17 of 29 - Sale <sup>25</sup>
	1.000	65.80	02/17/21	53.61	...	12.19	18 of 29 - Sale <sup>25</sup>
	3.000	159.00	02/17/21	143.97	...	15.03	19 of 29 - Sale <sup>25</sup>
	10.000	729.98	02/17/21	700.79	...	29.19	20 of 29 - Sale <sup>25</sup>
	29.000	1,930.19	02/17/21	1,899.21	...	30.98	21 of 29 - Sale <sup>25</sup>
	79.000	6,306.39	02/17/21	6,267.77	...	38.62	22 of 29 - Sale <sup>25</sup>
	10.000	575.59	02/17/21	536.10	...	39.49	23 of 29 - Sale <sup>25</sup>
	99.000	6,692.24	02/17/21	6,594.12	...	98.12	24 of 29 - Sale <sup>25</sup>
	51.000	3,722.90	02/17/21	3,570.00	...	152.90	25 of 29 - Sale <sup>25</sup>
	39.000	2,244.79	02/17/21	2,089.62	...	155.17	26 of 29 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EHANG HOLDINGS LIMITED ADS / CUSIP: 26853E102 / Symbol: (cont'd)							
	99.000	6,680.53	02/17/21	6,332.67	...	347.86	27 of 29 - Sale <sup>25</sup>
	75.000	3,974.89	02/17/21	3,600.00	...	374.89	28 of 29 - Sale <sup>25</sup>
	99.000	6,514.32	02/17/21	5,843.98	...	670.34	29 of 29 - Sale <sup>25</sup>
02/17/21	789.000	53,630.47	Various	51,600.22	...	2,030.25	Total of 29 transactions
	36 transactions for 02/17/21. Total proceeds and cost reported to the IRS.						
	1.000	53.00	02/16/21	56.57	3.57 W	0.00	1 of 36 - Sale <sup>25</sup>
	1.000	62.00	02/17/21	66.10	4.10 W	0.00	2 of 36 - Sale <sup>25</sup>
	1.000	63.16	02/17/21	72.15	8.99 W	0.00	3 of 36 - Sale <sup>25</sup>
	1.000	66.56	02/17/21	69.26	2.70 W	0.00	4 of 36 - Sale <sup>25</sup>
	1.000	66.56	02/17/21	73.22	6.66 W	0.00	5 of 36 - Sale <sup>25</sup>
	1.000	67.58	02/17/21	68.40	0.82 W	0.00	6 of 36 - Sale <sup>25</sup>
	1.000	67.58	02/17/21	70.79	3.21 W	0.00	7 of 36 - Sale <sup>25</sup>
	1.000	67.60	02/17/21	67.88	0.28 W	0.00	8 of 36 - Sale <sup>25</sup>
	1.000	67.82	02/17/21	68.69	0.87 W	0.00	9 of 36 - Sale <sup>25</sup>
	1.000	67.82	02/17/21	69.81	1.99 W	0.00	10 of 36 - Sale <sup>25</sup>
	1.000	67.82	02/17/21	72.20	4.38 W	0.00	11 of 36 - Sale <sup>25</sup>
	1.000	69.07	02/17/21	71.57	2.50 W	0.00	12 of 36 - Sale <sup>25</sup>
	1.000	70.08	02/17/21	74.36	4.28 W	0.00	13 of 36 - Sale <sup>25</sup>
	1.000	70.12	02/17/21	75.95	5.83 W	0.00	14 of 36 - Sale <sup>25</sup>
	1.000	71.82	02/17/21	72.43	0.61 W	0.00	15 of 36 - Sale <sup>25</sup>
	1.000	71.82	02/17/21	73.55	1.73 W	0.00	16 of 36 - Sale <sup>25</sup>
	1.000	71.82	02/17/21	75.94	4.12 W	0.00	17 of 36 - Sale <sup>25</sup>
	1.000	73.00	02/17/21	74.24	1.24 W	0.00	18 of 36 - Sale <sup>25</sup>
	1.000	74.40	02/17/21	76.38	1.98 W	0.00	19 of 36 - Sale <sup>25</sup>
	2.000	143.60	02/17/21	147.68	4.08 W	0.00	20 of 36 - Sale <sup>25</sup>
	8.000	574.39	02/17/21	590.23	15.84 W	0.00	21 of 36 - Sale <sup>25</sup>
	10.000	743.98	02/17/21	744.77	0.79 W	0.00	22 of 36 - Sale <sup>25</sup>
	19.000	1,288.52	02/17/21	1,330.67	42.15 W	0.00	23 of 36 - Sale <sup>25</sup>
	30.000	2,034.51	02/17/21	2,101.12	66.61 W	0.00	24 of 36 - Sale <sup>25</sup>
	30.000	2,153.94	02/17/21	2,213.42	59.48 W	0.00	25 of 36 - Sale <sup>25</sup>
	48.000	3,255.22	02/17/21	3,364.53	109.31 W	0.00	26 of 36 - Sale <sup>25</sup>
	46.000	3,303.64	02/17/21	3,396.53	92.89 W	0.00	27 of 36 - Sale <sup>25</sup>
	99.000	6,137.85	02/17/21	6,501.89	364.04 W	0.00	28 of 36 - Sale <sup>25</sup>
	99.000	6,253.05	02/17/21	6,934.77	681.72 W	0.00	29 of 36 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
EHANG HOLDINGS LIMITED ADS / CUSIP: 26853E102 / Symbol: (cont'd)							
	99.000	6,356.04	02/17/21	6,543.52	187.48 W	0.00	30 of 36 - Sale <sup>25</sup>
	99.000	6,589.27	02/17/21	7,020.16	430.89 W	0.00	31 of 36 - Sale <sup>25</sup>
	98.000	6,622.74	02/17/21	6,731.24	108.50 W	0.00	32 of 36 - Sale <sup>25</sup>
	99.000	6,838.27	02/17/21	7,001.25	162.98 W	0.00	33 of 36 - Sale <sup>25</sup>
	99.000	6,937.61	02/17/21	7,362.37	424.76 W	0.00	34 of 36 - Sale <sup>25</sup>
	99.000	7,030.81	02/17/21	7,119.72	88.91 W	0.00	35 of 36 - Sale <sup>25</sup>
	99.000	7,183.66	02/17/21	7,450.86	267.20 W	0.00	36 of 36 - Sale <sup>25</sup>
02/17/21	1,102.000	74,736.73	Various	77,904.22	3,167.49 W	0.00	Total of 36 transactions
	3 transactions for 02/18/21. Total proceeds and cost reported to the IRS.						
	18.000	1,376.96	02/18/21	1,331.28	...	45.68	1 of 3 - Sale <sup>25</sup>
	39.000	2,983.43	02/18/21	2,884.44	...	98.99	2 of 3 - Sale <sup>25</sup>
	41.000	3,136.43	02/18/21	3,032.36	...	104.07	3 of 3 - Sale <sup>25</sup>
02/18/21	98.000	7,496.82	Various	7,248.08	...	248.74	Total of 3 transactions
	14 transactions for 02/18/21. Total proceeds and cost reported to the IRS.						
	1.000	76.50	02/17/21	79.34	2.84 W	0.00	1 of 14 - Sale <sup>25</sup>
	1.000	66.00	02/18/21	78.18	12.18 W	0.00	2 of 14 - Sale <sup>25</sup>
	1.000	66.00	02/18/21	80.07	14.07 W	0.00	3 of 14 - Sale <sup>25</sup>
	1.000	69.33	02/18/21	75.39	6.06 W	0.00	4 of 14 - Sale <sup>25</sup>
	1.000	69.33	02/18/21	76.77	7.44 W	0.00	5 of 14 - Sale <sup>25</sup>
	1.000	69.93	02/18/21	73.05	3.12 W	0.00	6 of 14 - Sale <sup>25</sup>
	1.000	69.93	02/18/21	73.96	4.03 W	0.00	7 of 14 - Sale <sup>25</sup>
	1.000	70.20	02/18/21	76.62	6.42 W	0.00	8 of 14 - Sale <sup>25</sup>
	1.000	70.20	02/18/21	79.71	9.51 W	0.00	9 of 14 - Sale <sup>25</sup>
	1.000	76.50	02/18/21	76.80	0.30 W	0.00	10 of 14 - Sale <sup>25</sup>
	48.000	3,167.92	02/18/21	3,738.57	570.65 W	0.00	11 of 14 - Sale <sup>25</sup>
	98.000	6,794.09	02/18/21	7,359.17	565.08 W	0.00	12 of 14 - Sale <sup>25</sup>
	98.000	6,852.71	02/18/21	7,129.01	276.30 W	0.00	13 of 14 - Sale <sup>25</sup>
	98.000	6,879.44	02/18/21	7,479.88	600.44 W	0.00	14 of 14 - Sale <sup>25</sup>
02/18/21	352.000	24,398.08	Various	26,476.52	2,078.44 W	0.00	Total of 14 transactions
	14 transactions for 02/22/21. Total proceeds and cost reported to the IRS.						
	47.000	2,584.93	02/22/21	3,270.99	...	-686.06	1 of 14 - Sale <sup>25</sup>
	10.000	549.98	02/22/21	744.96	...	-194.98	2 of 14 - Sale <sup>25</sup>
	8.000	439.99	02/22/21	602.85	...	-162.86	3 of 14 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EHANG HOLDINGS LIMITED ADS / CUSIP: 26853E102 / Symbol: (cont'd)							
	5.000	275.00	02/22/21	372.48	...	-97.48	4 of 14 - Sale <sup>25</sup>
	36.000	1,979.95	02/22/21	2,030.78	...	-50.83	5 of 14 - Sale <sup>25</sup>
	3.000	165.00	02/22/21	208.04	...	-43.04	6 of 14 - Sale <sup>25</sup>
	2.000	110.00	02/22/21	144.48	...	-34.48	7 of 14 - Sale <sup>25</sup>
	1.000	55.00	02/22/21	79.30	...	-24.30	8 of 14 - Sale <sup>25</sup>
	1.000	55.00	02/22/21	78.29	...	-23.29	9 of 14 - Sale <sup>25</sup>
	1.000	55.00	02/22/21	77.54	...	-22.54	10 of 14 - Sale <sup>25</sup>
	1.000	55.00	02/22/21	69.35	...	-14.35	11 of 14 - Sale <sup>25</sup>
	1.000	55.00	02/22/21	69.34	...	-14.34	12 of 14 - Sale <sup>25</sup>
	1.000	55.00	02/22/21	69.34	...	-14.34	13 of 14 - Sale <sup>25</sup>
	4.000	219.99	02/22/21	229.82	...	-9.83	14 of 14 - Sale <sup>25</sup>
02/22/21	121.000	6,654.84	Various	8,047.56	...	-1,392.72	Total of 14 transactions
	13 transactions for 02/22/21. Total proceeds and cost reported to the IRS.						
	1.000	59.00	02/18/21	77.89	18.89 W	0.00	1 of 13 - Sale <sup>25</sup>
	1.000	55.00	02/19/21	77.43	22.43 W	0.00	2 of 13 - Sale <sup>25</sup>
	1.000	55.00	02/19/21	78.44	23.44 W	0.00	3 of 13 - Sale <sup>25</sup>
	1.000	59.00	02/19/21	76.88	17.88 W	0.00	4 of 13 - Sale <sup>25</sup>
	1.000	59.00	02/19/21	78.77	19.77 W	0.00	5 of 13 - Sale <sup>25</sup>
	2.000	110.00	02/19/21	142.76	32.76 W	0.00	6 of 13 - Sale <sup>25</sup>
	6.000	353.99	02/19/21	428.62	74.63 W	0.00	7 of 13 - Sale <sup>25</sup>
	40.000	2,359.94	02/19/21	2,381.96	22.02 W	0.00	8 of 13 - Sale <sup>25</sup>
	42.000	2,477.93	02/19/21	3,216.72	738.79 W	0.00	9 of 13 - Sale <sup>25</sup>
	49.000	2,890.93	02/19/21	3,470.52	579.59 W	0.00	10 of 13 - Sale <sup>25</sup>
	27.000	1,484.96	02/22/21	2,011.38	233.96 W	-292.46	11 of 13 - Sale <sup>25</sup>
	1.000	55.00	02/22/21	76.68	21.68 W	0.00	12 of 13 - Sale <sup>25</sup>
	47.000	2,584.93	02/22/21	3,230.50	645.57 W	0.00	13 of 13 - Sale <sup>25</sup>
02/22/21	219.000	12,604.68	Various	15,348.55	2,451.41 W	-292.46	Total of 13 transactions
02/24/21	4.000	191.37	02/22/21	301.43	...	-110.06	Sale <sup>25</sup>
	<b>Security total:</b>	<b>191,381.40</b>		<b>197,999.01</b>	<b>7,697.34 W</b>	<b>1,079.73</b>	
ELECTRAMECCANICA VEHICLES CORP . LTD. COMMON STOCK / CUSIP: 284849205 / Symbol:							
01/08/21	1.000	7.00	11/24/20	9.56	...	-2.56	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ENERGY FOCUS, INC. COMMON STOC K / CUSIP: 29268T409 / Symbol:							
02/09/21	300.000	2,357.81	02/09/21	2,409.81	52.00 W	0.00	Sale <sup>25</sup>
	<i>4 transactions for 02/18/21. Total proceeds and cost reported to the IRS.</i>						
	200.000	1,094.95	02/09/21	1,817.67	...	-722.72	1 of 4 - Sale <sup>25</sup>
	100.000	547.48	02/16/21	579.83	...	-32.35	2 of 4 - Sale <sup>25</sup>
	41.000	224.46	02/18/21	221.40	...	3.06	3 of 4 - Sale <sup>25</sup>
	59.000	323.01	02/18/21	318.60	...	4.41	4 of 4 - Sale <sup>25</sup>
02/18/21	400.000	2,189.90	Various	2,937.50	...	-747.60	Total of 4 transactions
	<b>Security total:</b>	<b>4,547.71</b>		<b>5,347.31</b>	<b>52.00 W</b>	<b>-747.60</b>	
ENERGOUS CORPORATION COMMON ST OCK / CUSIP: 29272C103 / Symbol:							
	<i>19 transactions for 02/19/21. Total proceeds and cost reported to the IRS.</i>						
	250.000	1,747.43	02/19/21	1,911.35	...	-163.92	1 of 19 - Sale <sup>25</sup>
	200.000	1,397.95	02/19/21	1,498.86	...	-100.91	2 of 19 - Sale <sup>25</sup>
	100.000	698.97	02/19/21	769.46	...	-70.49	3 of 19 - Sale <sup>25</sup>
	100.000	698.97	02/19/21	764.55	...	-65.58	4 of 19 - Sale <sup>25</sup>
	50.000	349.49	02/19/21	382.27	...	-32.78	5 of 19 - Sale <sup>25</sup>
	1.000	6.34	02/19/21	6.25	...	0.09	6 of 19 - Sale <sup>25</sup>
	1.000	6.34	02/19/21	6.03	...	0.31	7 of 19 - Sale <sup>25</sup>
	11.000	69.76	02/19/21	68.93	...	0.83	8 of 19 - Sale <sup>25</sup>
	1.000	6.02	02/19/21	5.14	...	0.88	9 of 19 - Sale <sup>25</sup>
	88.000	558.05	02/19/21	550.68	...	7.37	10 of 19 - Sale <sup>25</sup>
	100.000	634.14	02/19/21	626.76	...	7.38	11 of 19 - Sale <sup>25</sup>
	100.000	634.14	02/19/21	626.76	...	7.38	12 of 19 - Sale <sup>25</sup>
	150.000	1,116.33	02/19/21	1,102.50	...	13.83	13 of 19 - Sale <sup>25</sup>
	150.000	1,116.33	02/19/21	1,102.50	...	13.83	14 of 19 - Sale <sup>25</sup>
	200.000	1,268.28	02/19/21	1,253.51	...	14.77	15 of 19 - Sale <sup>25</sup>
	50.000	280.99	02/19/21	256.50	...	24.49	16 of 19 - Sale <sup>25</sup>
	50.000	280.99	02/19/21	256.50	...	24.49	17 of 19 - Sale <sup>25</sup>
	300.000	1,685.92	02/19/21	1,542.00	...	143.92	18 of 19 - Sale <sup>25</sup>
	399.000	2,242.28	02/19/21	2,050.86	...	191.42	19 of 19 - Sale <sup>25</sup>
02/19/21	2,301.000	14,798.72	Various	14,781.41	...	17.31	Total of 19 transactions
	<i>17 transactions for 02/19/21. Total proceeds and cost reported to the IRS.</i>						
	300.000	2,183.91	02/19/21	2,272.50	59.06 W	-29.53	1 of 17 - Sale <sup>25</sup>
	11.000	66.11	02/19/21	66.32	0.21 W	0.00	2 of 17 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ENERGOUS CORPORATION COMMON ST OCK / CUSIP: 29272C103 / Symbol: (cont'd)							
	50.000	363.99	02/19/21	386.31	22.32 W	0.00	3 of 17 - Sale <sup>25</sup>
	50.000	372.11	02/19/21	379.67	7.56 W	0.00	4 of 17 - Sale <sup>25</sup>
	88.000	529.73	02/19/21	530.60	0.87 W	0.00	5 of 17 - Sale <sup>25</sup>
	100.000	600.97	02/19/21	602.95	1.98 W	0.00	6 of 17 - Sale <sup>25</sup>
	100.000	600.97	02/19/21	602.95	1.98 W	0.00	7 of 17 - Sale <sup>25</sup>
	100.000	713.98	02/19/21	743.23	29.25 W	0.00	8 of 17 - Sale <sup>25</sup>
	100.000	727.97	02/19/21	772.62	44.65 W	0.00	9 of 17 - Sale <sup>25</sup>
	100.000	727.97	02/19/21	777.53	49.56 W	0.00	10 of 17 - Sale <sup>25</sup>
	100.000	744.22	02/19/21	759.34	15.12 W	0.00	11 of 17 - Sale <sup>25</sup>
	100.000	744.22	02/19/21	764.25	20.03 W	0.00	12 of 17 - Sale <sup>25</sup>
	200.000	1,201.95	02/19/21	1,205.90	3.95 W	0.00	13 of 17 - Sale <sup>25</sup>
	250.000	1,819.93	02/19/21	1,931.53	111.60 W	0.00	14 of 17 - Sale <sup>25</sup>
	250.000	1,860.55	02/19/21	1,898.33	37.78 W	0.00	15 of 17 - Sale <sup>25</sup>
	500.000	3,237.36	02/19/21	3,295.00	57.64 W	0.00	16 of 17 - Sale <sup>25</sup>
	500.000	3,299.86	02/19/21	3,421.54	121.68 W	0.00	17 of 17 - Sale <sup>25</sup>
02/19/21	2,899.000	19,795.80	Various	20,410.57	585.24 W	-29.53	Total of 17 transactions
	<b>Security total:</b>	<b>34,594.52</b>		<b>35,191.98</b>	<b>585.24 W</b>	<b>-12.22</b>	
ESSA PHARMA INC. COMMON STOCK / CUSIP: 29668H708 / Symbol:							
02/11/21	100.000	2,869.92	02/11/21	2,906.00	...	-36.08	Sale <sup>25</sup>
EVOLUS, INC. COMMON STOCK / CUSIP: 30052C107 / Symbol:							
02/19/21	300.000	3,903.17	02/19/21	4,029.00	...	-125.83	Sale <sup>25</sup>
EXPRESS, INC. / CUSIP: 30219E103 / Symbol:							
5 transactions for 01/27/21. Total proceeds and cost reported to the IRS.							
	100.000	1,234.96	01/27/21	805.00	...	429.96	1 of 5 - Sale <sup>25</sup>
	100.000	1,234.96	01/27/21	805.00	...	429.96	2 of 5 - Sale <sup>25</sup>
	100.000	1,234.96	01/27/21	805.00	...	429.96	3 of 5 - Sale <sup>25</sup>
	100.000	1,235.13	01/27/21	805.00	...	430.13	4 of 5 - Sale <sup>25</sup>
	100.000	1,235.13	01/27/21	612.50	...	622.63	5 of 5 - Sale <sup>25</sup>
01/27/21	500.000	6,175.14	Various	3,832.50	...	2,342.64	Total of 5 transactions

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Robinhood Securities LLC

Account 685320095

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EXPRESS, INC. / CUSIP: 30219E103 / Symbol: (cont'd)							
3 transactions for 01/28/21. Total proceeds and cost reported to the IRS.							
	110.000	786.47	01/27/21	1,039.50	...	-253.03	1 of 3 - Sale <sup>25</sup>
	5.000	35.75	01/27/21	51.84	...	-16.09	2 of 3 - Sale <sup>25</sup>
	1.000	7.15	01/27/21	12.34	...	-5.19	3 of 3 - Sale <sup>25</sup>
01/28/21	116.000	829.37	Various	1,103.68	...	-274.31	Total of 3 transactions
	<b>Security total:</b>	<b>7,004.51</b>		<b>4,936.18</b>	...	<b>2,068.33</b>	
META PLATFORMS, INC. CLASS A COMMON STOCK / CUSIP: 30303M102 / Symbol:							
10/21/21	10.000	3,198.68	10/21/21	3,301.00	...	-102.32	Sale <sup>25</sup>
FISKER INC. / CUSIP: 33813J106 / Symbol:							
2 transactions for 02/12/21. Total proceeds and cost reported to the IRS.							
	20.000	379.99	02/12/21	384.60	...	-4.61	1 of 2 - Sale <sup>25</sup>
	25.000	466.74	02/12/21	446.75	...	19.99	2 of 2 - Sale <sup>25</sup>
02/12/21	45.000	846.73	Various	831.35	...	15.38	Total of 2 transactions
4 transactions for 02/12/21. Total proceeds and cost reported to the IRS.							
	2.000	37.34	02/12/21	37.90	0.56 W	0.00	1 of 4 - Sale <sup>25</sup>
	2.000	38.00	02/12/21	39.02	1.02 W	0.00	2 of 4 - Sale <sup>25</sup>
	98.000	1,829.61	02/12/21	1,857.10	27.49 W	0.00	3 of 4 - Sale <sup>25</sup>
	98.000	1,861.94	02/12/21	1,912.03	50.09 W	0.00	4 of 4 - Sale <sup>25</sup>
02/12/21	200.000	3,766.89	Various	3,846.05	79.16 W	0.00	Total of 4 transactions
2 transactions for 02/24/21. Total proceeds and cost reported to the IRS.							
	98.000	1,814.47	02/24/21	1,947.37	...	-132.90	1 of 2 - Sale <sup>25</sup>
	2.000	37.03	02/24/21	39.74	...	-2.71	2 of 2 - Sale <sup>25</sup>
02/24/21	100.000	1,851.50	Various	1,987.11	...	-135.61	Total of 2 transactions
	<b>Security total:</b>	<b>6,465.12</b>		<b>6,664.51</b>	<b>79.16 W</b>	<b>-120.23</b>	
FOREST ROAD ACQUISITION CORP. / CUSIP: 34619R102 / Symbol:							
2 transactions for 02/19/21. Total proceeds and cost reported to the IRS.							
	75.000	1,110.72	02/19/21	1,109.25	...	1.47	1 of 2 - Sale <sup>25</sup>
	125.000	1,851.19	02/19/21	1,848.75	...	2.44	2 of 2 - Sale <sup>25</sup>
02/19/21	200.000	2,961.91	Various	2,958.00	...	3.91	Total of 2 transactions

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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FOREST ROAD ACQUISITION CORP. / CUSIP: 34619R102 / Symbol: (cont'd)							
02/22/21	100.000	1,721.45	02/22/21	1,739.50	...	-18.05	Sale <sup>25</sup>
	<b>Security total:</b>	<b>4,683.36</b>		<b>4,697.50</b>	...	<b>-14.14</b>	
FORWARD INDUSTRIES, INC. COMMO N STOCK / CUSIP: 349862300 / Symbol:							
10 transactions for 02/12/21. Total proceeds and cost reported to the IRS.							
	85.000	616.22	02/12/21	737.85	...	-121.63	1 of 10 - Sale <sup>25</sup>
	98.000	710.47	02/12/21	792.88	...	-82.41	2 of 10 - Sale <sup>25</sup>
	47.000	340.74	02/12/21	381.67	...	-40.93	3 of 10 - Sale <sup>25</sup>
	38.000	275.49	02/12/21	308.58	...	-33.09	4 of 10 - Sale <sup>25</sup>
	50.000	337.98	02/12/21	363.50	...	-25.52	5 of 10 - Sale <sup>25</sup>
	15.000	108.74	02/12/21	129.30	...	-20.56	6 of 10 - Sale <sup>25</sup>
	12.000	87.00	02/12/21	104.16	...	-17.16	7 of 10 - Sale <sup>25</sup>
	15.000	101.40	02/12/21	109.50	...	-8.10	8 of 10 - Sale <sup>25</sup>
	3.000	21.75	02/12/21	26.04	...	-4.29	9 of 10 - Sale <sup>25</sup>
	2.000	14.50	02/12/21	16.18	...	-1.68	10 of 10 - Sale <sup>25</sup>
02/12/21	365.000	2,614.29	Various	2,969.66	...	-355.37	Total of 10 transactions
10 transactions for 02/12/21. Total proceeds and cost reported to the IRS.							
	35.000	236.59	02/12/21	254.10	7.50 W	-10.01	1 of 10 - Sale <sup>25</sup>
	3.000	19.89	02/12/21	23.85	3.96 W	0.00	2 of 10 - Sale <sup>25</sup>
	12.000	79.56	02/12/21	95.40	15.84 W	0.00	3 of 10 - Sale <sup>25</sup>
	15.000	99.45	02/12/21	117.00	17.55 W	0.00	4 of 10 - Sale <sup>25</sup>
	15.000	108.90	02/12/21	127.80	18.90 W	0.00	5 of 10 - Sale <sup>25</sup>
	85.000	563.52	02/12/21	675.77	112.25 W	0.00	6 of 10 - Sale <sup>25</sup>
	85.000	563.53	02/12/21	620.50	56.97 W	0.00	7 of 10 - Sale <sup>25</sup>
	85.000	617.07	02/12/21	681.72	64.65 W	0.00	8 of 10 - Sale <sup>25</sup>
	100.000	726.97	02/12/21	800.03	73.06 W	0.00	9 of 10 - Sale <sup>25</sup>
	200.000	1,329.95	02/12/21	1,460.00	130.05 W	0.00	10 of 10 - Sale <sup>25</sup>
02/12/21	635.000	4,345.43	Various	4,856.17	500.73 W	-10.01	Total of 10 transactions
	<b>Security total:</b>	<b>6,959.72</b>		<b>7,825.83</b>	<b>500.73 W</b>	<b>-365.38</b>	
FSD PHARMA INC. CLASS B SUBORD INATE VOTING SHARES / CUSIP: 35954B206 / Symbol:							
5 transactions for 02/10/21. Total proceeds and cost reported to the IRS.							
	200.000	1,025.95	02/10/21	1,028.00	...	-2.05	1 of 5 - Sale <sup>25</sup>
	46.000	235.97	02/10/21	236.44	...	-0.47	2 of 5 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FSD PHARMA INC. CLASS B SUBORD INATE VOTING SHARES / CUSIP: 35954B206 / Symbol: (cont'd)							
	100.000	512.97	02/10/21	513.00	...	-0.03	3 of 5 - Sale <sup>25</sup>
	54.000	277.01	02/10/21	276.48	...	-0.53	4 of 5 - Sale <sup>25</sup>
	100.000	512.98	02/10/21	512.00	...	0.98	5 of 5 - Sale <sup>25</sup>
02/10/21	500.000	2,564.88	Various	2,565.92	...	-1.04	Total of 5 transactions
FUTURE FINTECH GROUP INC. COMM ON STOCK / CUSIP: 36117V105 / Symbol:							
4 transactions for 01/15/21. Total proceeds and cost reported to the IRS.							
	27.000	180.90	01/08/21	327.79	...	-146.89	1 of 4 - Sale <sup>25</sup>
	22.000	147.40	01/08/21	267.08	...	-119.68	2 of 4 - Sale <sup>25</sup>
	1.000	6.70	01/08/21	12.14	...	-5.44	3 of 4 - Sale <sup>25</sup>
	8.000	53.60	01/13/21	85.34	...	-31.74	4 of 4 - Sale <sup>25</sup>
01/15/21	58.000	388.60	Various	692.35	...	-303.75	Total of 4 transactions
2 transactions for 01/15/21. Total proceeds and cost reported to the IRS.							
	42.000	281.39	01/08/21	470.40	4.50 W	-184.51	1 of 2 - Sale <sup>25</sup>
	58.000	394.39	01/08/21	649.60	255.21 W	0.00	2 of 2 - Sale <sup>25</sup>
01/15/21	100.000	675.78	Various	1,120.00	259.71 W	-184.51	Total of 2 transactions
01/20/21	1.000	6.04	01/13/21	10.77	...	-4.73	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,070.42</b>		<b>1,823.12</b>	<b>259.71 W</b>	<b>-492.99</b>	
GAMESTOP CORP. CLASS A / CUSIP: 36467W109 / Symbol:							
02/03/21	4.000	374.80	02/02/21	417.96	43.16 W	0.00	Sale <sup>25</sup>
5 transactions for 02/24/21. Total proceeds and cost reported to the IRS.							
	15.000	2,222.95	02/24/21	2,632.97	...	-410.02	1 of 5 - Sale <sup>25</sup>
	4.000	685.18	02/24/21	668.60	...	16.58	2 of 5 - Sale <sup>25</sup>
	6.000	689.98	02/24/21	668.46	...	21.52	3 of 5 - Sale <sup>25</sup>
	15.000	2,819.93	02/24/21	2,750.10	...	69.83	4 of 5 - Sale <sup>25</sup>
	11.000	1,884.26	02/24/21	1,759.43	...	124.83	5 of 5 - Sale <sup>25</sup>
02/24/21	51.000	8,302.30	Various	8,479.56	...	-177.26	Total of 5 transactions
16 transactions for 02/24/21. Total proceeds and cost reported to the IRS.							
	4.000	453.47	02/24/21	519.45	65.98 W	0.00	1 of 16 - Sale <sup>25</sup>
	4.000	459.99	02/24/21	488.80	28.81 W	0.00	2 of 16 - Sale <sup>25</sup>
	4.000	459.99	02/24/21	561.34	101.35 W	0.00	3 of 16 - Sale <sup>25</sup>
	4.000	461.23	02/24/21	558.37	97.14 W	0.00	4 of 16 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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GAMESTOP CORP. CLASS A / CUSIP: 36467W109 / Symbol: (cont'd)							
	4.000	468.39	02/24/21	558.76	90.37 W	0.00	5 of 16 - Sale <sup>25</sup>
	4.000	509.99	02/24/21	589.35	79.36 W	0.00	6 of 16 - Sale <sup>25</sup>
	4.000	640.66	02/24/21	689.14	48.48 W	0.00	7 of 16 - Sale <sup>25</sup>
	11.000	1,247.04	02/24/21	1,349.26	102.22 W	0.00	8 of 16 - Sale <sup>25</sup>
	11.000	1,264.97	02/24/21	1,464.46	199.49 W	0.00	9 of 16 - Sale <sup>25</sup>
	11.000	1,268.38	02/24/21	1,456.31	187.93 W	0.00	10 of 16 - Sale <sup>25</sup>
	11.000	1,288.07	02/24/21	1,457.38	169.31 W	0.00	11 of 16 - Sale <sup>25</sup>
	11.000	1,402.46	02/24/21	1,541.49	139.03 W	0.00	12 of 16 - Sale <sup>25</sup>
	11.000	1,761.83	02/24/21	1,815.93	54.10 W	0.00	13 of 16 - Sale <sup>25</sup>
	15.000	2,219.95	02/24/21	2,543.22	323.27 W	0.00	14 of 16 - Sale <sup>25</sup>
	15.000	2,376.24	02/24/21	2,572.26	196.02 W	0.00	15 of 16 - Sale <sup>25</sup>
	15.000	2,554.74	02/24/21	2,673.90	119.16 W	0.00	16 of 16 - Sale <sup>25</sup>
02/24/21	139.000	18,837.40	Various	20,839.42	2,002.02 W	0.00	Total of 16 transactions
	<b>Security total:</b>	<b>27,514.50</b>		<b>29,736.94</b>	<b>2,045.18 W</b>	<b>-177.26</b>	
GEVO, INC. COMMON STOCK / CUSIP: 374396406 / Symbol:							
8 transactions for 01/19/21. Total proceeds and cost reported to the IRS.							
	1.000	9.81	01/19/21	8.99	...	0.82	1 of 8 - Sale <sup>25</sup>
	1.000	10.73	01/19/21	8.99	...	1.74	2 of 8 - Sale <sup>25</sup>
	144.000	1,545.06	01/19/21	1,488.97	...	56.09	3 of 8 - Sale <sup>25</sup>
	155.000	1,663.09	01/19/21	1,602.72	...	60.37	4 of 8 - Sale <sup>25</sup>
	99.000	971.15	01/19/21	889.96	...	81.19	5 of 8 - Sale <sup>25</sup>
	99.000	971.16	01/19/21	889.96	...	81.20	6 of 8 - Sale <sup>25</sup>
	100.000	980.96	01/19/21	898.50	...	82.46	7 of 8 - Sale <sup>25</sup>
	100.000	981.46	01/19/21	898.50	...	82.96	8 of 8 - Sale <sup>25</sup>
01/19/21	699.000	7,133.42	Various	6,686.59	...	446.83	Total of 8 transactions
11 transactions for 01/22/21. Total proceeds and cost reported to the IRS.							
	15.000	148.04	01/20/21	145.96	...	2.08	1 of 11 - Sale <sup>25</sup>
	53.000	523.09	01/20/21	517.83	...	5.26	2 of 11 - Sale <sup>25</sup>
	97.000	957.36	01/20/21	947.72	...	9.64	3 of 11 - Sale <sup>25</sup>
	15.000	148.04	01/20/21	138.39	...	9.65	4 of 11 - Sale <sup>25</sup>
	14.000	138.18	01/20/21	127.19	...	10.99	5 of 11 - Sale <sup>25</sup>
	35.000	345.44	01/20/21	317.98	...	27.46	6 of 11 - Sale <sup>25</sup>
	20.000	197.39	01/20/21	166.50	...	30.89	7 of 11 - Sale <sup>25</sup>

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Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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GEVO, INC. COMMON STOCK / CUSIP: 374396406 / Symbol: (cont'd)							
	50.000	493.48	01/20/21	458.27	...	35.21	8 of 11 - Sale <sup>25</sup>
	85.000	838.92	01/20/21	803.28	...	35.64	9 of 11 - Sale <sup>25</sup>
	86.000	848.79	01/20/21	715.95	...	132.84	10 of 11 - Sale <sup>25</sup>
	194.000	1,914.72	01/21/21	1,780.92	...	133.80	11 of 11 - Sale <sup>25</sup>
01/22/21	664.000	6,553.45	Various	6,119.99	...	433.46	Total of 11 transactions
	7 transactions for 01/22/21. Total proceeds and cost reported to the IRS.						
	1.000	9.24	01/19/21	10.34	1.10 W	0.00	1 of 7 - Sale <sup>25</sup>
	1.000	9.87	01/19/21	11.10	1.23 W	0.00	2 of 7 - Sale <sup>25</sup>
	35.000	345.44	01/19/21	391.31	45.87 W	0.00	3 of 7 - Sale <sup>25</sup>
	49.000	452.75	01/19/21	490.00	37.25 W	0.00	4 of 7 - Sale <sup>25</sup>
	50.000	461.98	01/19/21	504.00	42.02 W	0.00	5 of 7 - Sale <sup>25</sup>
	100.000	923.96	01/19/21	1,014.00	90.04 W	0.00	6 of 7 - Sale <sup>25</sup>
	200.000	1,847.93	01/19/21	2,084.00	236.07 W	0.00	7 of 7 - Sale <sup>25</sup>
01/22/21	436.000	4,051.17	Various	4,504.75	453.58 W	0.00	Total of 7 transactions
	5 transactions for 01/25/21. Total proceeds and cost reported to the IRS.						
	1.000	13.03	01/21/21	10.41	...	2.62	1 of 5 - Sale <sup>25</sup>
	4.000	52.12	01/21/21	36.38	...	15.74	2 of 5 - Sale <sup>25</sup>
	10.000	130.31	01/21/21	104.06	...	26.25	3 of 5 - Sale <sup>25</sup>
	25.000	325.78	01/21/21	262.26	...	63.52	4 of 5 - Sale <sup>25</sup>
	660.000	8,600.52	01/22/21	6,890.40	...	1,710.12	5 of 5 - Sale <sup>25</sup>
01/25/21	700.000	9,121.76	Various	7,303.51	...	1,818.25	Total of 5 transactions
	14 transactions for 01/26/21. Total proceeds and cost reported to the IRS.						
	40.000	534.38	01/22/21	417.60	...	116.78	1 of 14 - Sale <sup>25</sup>
	20.000	267.20	01/25/21	266.60	...	0.60	2 of 14 - Sale <sup>25</sup>
	40.000	534.38	01/25/21	533.20	...	1.18	3 of 14 - Sale <sup>25</sup>
	40.000	534.38	01/25/21	533.20	...	1.18	4 of 14 - Sale <sup>25</sup>
	100.000	1,335.96	01/25/21	1,333.00	...	2.96	5 of 14 - Sale <sup>25</sup>
	42.000	563.83	01/25/21	560.28	...	3.55	6 of 14 - Sale <sup>25</sup>
	2.000	26.83	01/25/21	21.75	...	5.08	7 of 14 - Sale <sup>25</sup>
	58.000	778.63	01/25/21	773.14	...	5.49	8 of 14 - Sale <sup>25</sup>
	3.000	40.24	01/25/21	32.67	...	7.57	9 of 14 - Sale <sup>25</sup>
	260.000	3,473.49	01/25/21	3,465.80	...	7.69	10 of 14 - Sale <sup>25</sup>
	140.000	1,877.83	01/25/21	1,867.60	...	10.23	11 of 14 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GEVO, INC. COMMON STOCK / CUSIP: 374396406 / Symbol: (cont'd)							
	15.000	201.20	01/25/21	185.40	...	15.80	12 of 14 - Sale <sup>25</sup>
	48.000	643.83	01/25/21	515.52	...	128.31	13 of 14 - Sale <sup>25</sup>
	192.000	2,575.30	01/25/21	2,060.16	...	515.14	14 of 14 - Sale <sup>25</sup>
01/26/21	1,000.000	13,387.48	Various	12,565.92	...	821.56	Total of 14 transactions
01/27/21	7.000	79.45	01/25/21	76.13	...	3.32	Sale <sup>25</sup>
01/27/21	593.000	6,730.32	01/26/21	7,631.91	901.59 W	0.00	Sale <sup>25</sup>
4 transactions for 02/02/21. Total proceeds and cost reported to the IRS.							
	100.000	1,179.96	01/28/21	1,345.04	...	-165.08	1 of 4 - Sale <sup>25</sup>
	100.000	1,179.96	01/28/21	1,345.04	...	-165.08	2 of 4 - Sale <sup>25</sup>
	7.000	82.60	01/28/21	94.08	...	-11.48	3 of 4 - Sale <sup>25</sup>
	43.000	507.38	02/01/21	498.31	...	9.07	4 of 4 - Sale <sup>25</sup>
02/02/21	250.000	2,949.90	Various	3,282.47	...	-332.57	Total of 4 transactions
6 transactions for 02/02/21. Total proceeds and cost reported to the IRS.							
	7.000	82.74	01/26/21	90.09	7.35 W	0.00	1 of 6 - Sale <sup>25</sup>
	93.000	1,099.22	01/28/21	1,249.96	81.04 W	-69.70	2 of 6 - Sale <sup>25</sup>
	7.000	82.74	01/28/21	94.01	11.27 W	0.00	3 of 6 - Sale <sup>25</sup>
	7.000	82.74	01/28/21	94.08	11.34 W	0.00	4 of 6 - Sale <sup>25</sup>
	93.000	1,099.22	01/28/21	1,249.03	149.81 W	0.00	5 of 6 - Sale <sup>25</sup>
	93.000	1,099.22	01/28/21	1,249.96	150.74 W	0.00	6 of 6 - Sale <sup>25</sup>
02/02/21	300.000	3,545.88	Various	4,027.13	411.55 W	-69.70	Total of 6 transactions
02/03/21	6.000	71.59	02/01/21	69.53	...	2.06	Sale <sup>25</sup>
8 transactions for 02/05/21. Total proceeds and cost reported to the IRS.							
	1.000	11.68	02/01/21	11.59	...	0.09	1 of 8 - Sale <sup>25</sup>
	93.000	1,086.35	02/04/21	1,271.39	...	-185.04	2 of 8 - Sale <sup>25</sup>
	93.000	1,086.35	02/04/21	1,270.46	...	-184.11	3 of 8 - Sale <sup>25</sup>
	50.000	584.06	02/04/21	683.54	...	-99.48	4 of 8 - Sale <sup>25</sup>
	42.000	490.61	02/04/21	569.95	...	-79.34	5 of 8 - Sale <sup>25</sup>
	7.000	81.77	02/04/21	95.69	...	-13.92	6 of 8 - Sale <sup>25</sup>
	7.000	81.77	02/04/21	95.62	...	-13.85	7 of 8 - Sale <sup>25</sup>
	7.000	81.77	02/04/21	91.70	...	-9.93	8 of 8 - Sale <sup>25</sup>
02/05/21	300.000	3,504.36	Various	4,089.94	...	-585.58	Total of 8 transactions
02/25/21	1.000	10.38	02/04/21	13.57	...	-3.19	Sale <sup>25</sup>
	<b>Security total:</b>	<b>57,139.16</b>		<b>56,371.44</b>	<b>1,766.72 W</b>	<b>2,534.44</b>	

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Robinhood Securities LLC

Account 685320095

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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GRAN TIERRA ENERGY INC. / CUSIP: 38500T101 / Symbol:							
2 transactions for 02/08/21. Total proceeds and cost reported to the IRS.							
	160.000	115.19	01/28/21	100.29	...	14.90	1 of 2 - Sale <sup>25</sup>
	193.000	138.95	02/02/21	123.52	...	15.43	2 of 2 - Sale <sup>25</sup>
02/08/21	353.000	254.14	Various	223.81	...	30.33	Total of 2 transactions
20 transactions for 02/08/21. Total proceeds and cost reported to the IRS.							
	1.000	0.72	01/28/21	1.05	0.33 W	0.00	1 of 20 - Sale <sup>25</sup>
	1.000	0.72	01/28/21	1.22	0.50 W	0.00	2 of 20 - Sale <sup>25</sup>
	15.000	10.80	01/28/21	13.29	2.49 W	0.00	3 of 20 - Sale <sup>25</sup>
	34.000	24.48	01/28/21	30.13	5.65 W	0.00	4 of 20 - Sale <sup>25</sup>
	50.000	36.00	01/28/21	52.54	16.54 W	0.00	5 of 20 - Sale <sup>25</sup>
	50.000	36.00	01/28/21	60.71	24.71 W	0.00	6 of 20 - Sale <sup>25</sup>
	50.000	36.11	01/28/21	44.33	8.22 W	0.00	7 of 20 - Sale <sup>25</sup>
	50.000	36.11	01/28/21	52.50	16.39 W	0.00	8 of 20 - Sale <sup>25</sup>
	50.000	36.13	01/28/21	44.32	8.19 W	0.00	9 of 20 - Sale <sup>25</sup>
	100.000	72.00	01/28/21	88.61	16.61 W	0.00	10 of 20 - Sale <sup>25</sup>
	100.000	72.00	01/28/21	105.02	33.02 W	0.00	11 of 20 - Sale <sup>25</sup>
	249.000	179.27	01/28/21	261.64	82.37 W	0.00	12 of 20 - Sale <sup>25</sup>
	350.000	252.75	01/28/21	310.20	57.45 W	0.00	13 of 20 - Sale <sup>25</sup>
	400.000	287.99	01/28/21	354.64	66.65 W	0.00	14 of 20 - Sale <sup>25</sup>
	450.000	323.98	01/28/21	472.60	148.62 W	0.00	15 of 20 - Sale <sup>25</sup>
	450.000	324.97	01/28/21	398.74	73.77 W	0.00	16 of 20 - Sale <sup>25</sup>
	13.000	9.36	02/02/21	12.62	3.26 W	0.00	17 of 20 - Sale <sup>25</sup>
	49.000	35.28	02/02/21	47.57	12.29 W	0.00	18 of 20 - Sale <sup>25</sup>
	50.000	36.00	02/02/21	48.54	12.54 W	0.00	19 of 20 - Sale <sup>25</sup>
	135.000	97.18	02/02/21	131.06	33.88 W	0.00	20 of 20 - Sale <sup>25</sup>
02/08/21	2,647.000	1,907.85	Various	2,531.33	623.48 W	0.00	Total of 20 transactions
16 transactions for 02/09/21. Total proceeds and cost reported to the IRS.							
	2.000	1.62	02/02/21	1.94	0.32 W	0.00	1 of 16 - Sale <sup>25</sup>
	58.000	46.97	02/02/21	56.27	9.30 W	0.00	2 of 16 - Sale <sup>25</sup>
	1.000	0.81	02/09/21	1.33	0.52 W	0.00	3 of 16 - Sale <sup>25</sup>
	13.000	10.53	02/09/21	13.98	3.45 W	0.00	4 of 16 - Sale <sup>25</sup>
	15.000	12.15	02/09/21	14.86	2.71 W	0.00	5 of 16 - Sale <sup>25</sup>
	34.000	27.54	02/09/21	33.70	6.16 W	0.00	6 of 16 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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GRAN TIERRA ENERGY INC. / CUSIP: 38500T101 / Symbol: (cont'd)							
	42.000	34.01	02/09/21	48.52	14.51 W	0.00	7 of 16 - Sale <sup>25</sup>
	49.000	39.68	02/09/21	52.71	13.03 W	0.00	8 of 16 - Sale <sup>25</sup>
	50.000	40.49	02/09/21	53.79	13.30 W	0.00	9 of 16 - Sale <sup>25</sup>
	50.000	40.49	02/09/21	57.79	17.30 W	0.00	10 of 16 - Sale <sup>25</sup>
	50.000	40.49	02/09/21	65.96	25.47 W	0.00	11 of 16 - Sale <sup>25</sup>
	100.000	80.99	02/09/21	99.11	18.12 W	0.00	12 of 16 - Sale <sup>25</sup>
	111.000	89.89	02/09/21	91.58	1.69 W	0.00	13 of 16 - Sale <sup>25</sup>
	135.000	109.33	02/09/21	145.26	35.93 W	0.00	14 of 16 - Sale <sup>25</sup>
	400.000	323.95	02/09/21	396.65	72.70 W	0.00	15 of 16 - Sale <sup>25</sup>
	450.000	364.44	02/09/21	519.87	155.43 W	0.00	16 of 16 - Sale <sup>25</sup>
02/09/21	1,560.000	1,263.38	Various	1,653.32	389.94 W	0.00	Total of 16 transactions
48 transactions for 02/10/21. Total proceeds and cost reported to the IRS.							
	1.000	0.92	02/10/21	0.95	0.03 W	0.00	1 of 48 - Sale <sup>25</sup>
	1.000	0.92	02/10/21	1.50	0.58 W	0.00	2 of 48 - Sale <sup>25</sup>
	1.000	0.92	02/10/21	1.50	0.58 W	0.00	3 of 48 - Sale <sup>25</sup>
	1.000	0.93	02/10/21	1.48	0.55 W	0.00	4 of 48 - Sale <sup>25</sup>
	2.000	1.84	02/10/21	2.28	0.44 W	0.00	5 of 48 - Sale <sup>25</sup>
	2.000	1.86	02/10/21	2.25	0.39 W	0.00	6 of 48 - Sale <sup>25</sup>
	13.000	11.96	02/10/21	16.21	4.25 W	0.00	7 of 48 - Sale <sup>25</sup>
	13.000	12.09	02/10/21	15.99	3.90 W	0.00	8 of 48 - Sale <sup>25</sup>
	15.000	13.80	02/10/21	17.44	3.64 W	0.00	9 of 48 - Sale <sup>25</sup>
	15.000	13.95	02/10/21	17.19	3.24 W	0.00	10 of 48 - Sale <sup>25</sup>
	34.000	31.28	02/10/21	39.56	8.28 W	0.00	11 of 48 - Sale <sup>25</sup>
	34.000	31.62	02/10/21	38.99	7.37 W	0.00	12 of 48 - Sale <sup>25</sup>
	42.000	38.63	02/10/21	55.75	17.12 W	0.00	13 of 48 - Sale <sup>25</sup>
	42.000	39.05	02/10/21	55.03	15.98 W	0.00	14 of 48 - Sale <sup>25</sup>
	45.000	41.39	02/10/21	59.74	18.35 W	0.00	15 of 48 - Sale <sup>25</sup>
	45.000	41.84	02/10/21	58.97	17.13 W	0.00	16 of 48 - Sale <sup>25</sup>
	49.000	45.07	02/10/21	61.15	16.08 W	0.00	17 of 48 - Sale <sup>25</sup>
	49.000	45.07	02/10/21	73.04	27.97 W	0.00	18 of 48 - Sale <sup>25</sup>
	49.000	45.56	02/10/21	60.31	14.75 W	0.00	19 of 48 - Sale <sup>25</sup>
	50.000	45.99	02/10/21	62.35	16.36 W	0.00	20 of 48 - Sale <sup>25</sup>
	50.000	45.99	02/10/21	66.43	20.44 W	0.00	21 of 48 - Sale <sup>25</sup>
	50.000	46.49	02/10/21	61.50	15.01 W	0.00	22 of 48 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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GRAN TIERRA ENERGY INC. / CUSIP: 38500T101 / Symbol: (cont'd)							
	50.000	46.49	02/10/21	65.57	19.08 W	0.00	23 of 48 - Sale <sup>25</sup>
	50.000	46.49	02/10/21	73.67	27.18 W	0.00	24 of 48 - Sale <sup>25</sup>
	57.000	52.43	02/10/21	71.09	18.66 W	0.00	25 of 48 - Sale <sup>25</sup>
	57.000	53.00	02/10/21	70.12	17.12 W	0.00	26 of 48 - Sale <sup>25</sup>
	58.000	53.35	02/10/21	66.20	12.85 W	0.00	27 of 48 - Sale <sup>25</sup>
	58.000	53.93	02/10/21	65.21	11.28 W	0.00	28 of 48 - Sale <sup>25</sup>
	78.000	71.75	02/10/21	97.34	25.59 W	0.00	29 of 48 - Sale <sup>25</sup>
	78.000	72.53	02/10/21	96.01	23.48 W	0.00	30 of 48 - Sale <sup>25</sup>
	86.000	79.11	02/10/21	100.13	21.02 W	0.00	31 of 48 - Sale <sup>25</sup>
	86.000	79.97	02/10/21	98.67	18.70 W	0.00	32 of 48 - Sale <sup>25</sup>
	87.000	80.03	02/10/21	115.53	35.50 W	0.00	33 of 48 - Sale <sup>25</sup>
	87.000	80.90	02/10/21	114.05	33.15 W	0.00	34 of 48 - Sale <sup>25</sup>
	99.000	91.07	02/10/21	97.16	6.09 W	0.00	35 of 48 - Sale <sup>25</sup>
	100.000	91.98	02/10/21	116.39	24.41 W	0.00	36 of 48 - Sale <sup>25</sup>
	99.000	92.06	02/10/21	95.47	3.41 W	0.00	37 of 48 - Sale <sup>25</sup>
	100.000	92.98	02/10/21	114.68	21.70 W	0.00	38 of 48 - Sale <sup>25</sup>
	111.000	102.10	02/10/21	110.77	8.67 W	0.00	39 of 48 - Sale <sup>25</sup>
	111.000	103.21	02/10/21	108.87	5.66 W	0.00	40 of 48 - Sale <sup>25</sup>
	137.000	126.02	02/10/21	134.44	8.42 W	0.00	41 of 48 - Sale <sup>25</sup>
	137.000	127.39	02/10/21	132.10	4.71 W	0.00	42 of 48 - Sale <sup>25</sup>
	203.000	186.73	02/10/21	199.48	12.75 W	0.00	43 of 48 - Sale <sup>25</sup>
	203.000	188.76	02/10/21	196.02	7.26 W	0.00	44 of 48 - Sale <sup>25</sup>
	314.000	288.84	02/10/21	365.59	76.75 W	0.00	45 of 48 - Sale <sup>25</sup>
	314.000	291.98	02/10/21	360.24	68.26 W	0.00	46 of 48 - Sale <sup>25</sup>
	318.000	292.52	02/10/21	422.06	129.54 W	0.00	47 of 48 - Sale <sup>25</sup>
	318.000	295.70	02/10/21	416.65	120.95 W	0.00	48 of 48 - Sale <sup>25</sup>
02/10/21	3,999.000	3,698.49	Various	4,673.12	974.63 W	0.00	Total of 48 transactions
	7 transactions for 02/11/21. Total proceeds and cost reported to the IRS.						
	2.000	2.32	02/10/21	2.28	...	0.04	1 of 7 - Sale <sup>25</sup>
	1.000	1.16	02/10/21	0.95	...	0.21	2 of 7 - Sale <sup>25</sup>
	58.000	67.27	02/10/21	66.09	...	1.18	3 of 7 - Sale <sup>25</sup>
	99.000	114.83	02/10/21	96.96	...	17.87	4 of 7 - Sale <sup>25</sup>
	111.000	128.74	02/10/21	110.55	...	18.19	5 of 7 - Sale <sup>25</sup>
	137.000	158.90	02/10/21	134.17	...	24.73	6 of 7 - Sale <sup>25</sup>

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Account 685320095

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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GRAN TIERRA ENERGY INC. / CUSIP: 38500T101 / Symbol: (cont'd)							
	203.000	235.45	02/10/21	199.08	...	36.37	7 of 7 - Sale <sup>25</sup>
02/11/21	611.000	708.67	Various	610.08	...	98.59	Total of 7 transactions
	58 transactions for 02/11/21. Total proceeds and cost reported to the IRS.						
	1.000	1.10	02/10/21	1.28	0.18 W	0.00	1 of 58 - Sale <sup>25</sup>
	1.000	1.16	02/10/21	1.50	0.34 W	0.00	2 of 58 - Sale <sup>25</sup>
	1.000	1.16	02/10/21	1.52	0.36 W	0.00	3 of 58 - Sale <sup>25</sup>
	13.000	15.08	02/10/21	16.18	1.10 W	0.00	4 of 58 - Sale <sup>25</sup>
	15.000	17.40	02/10/21	17.41	0.01 W	0.00	5 of 58 - Sale <sup>25</sup>
	34.000	39.44	02/10/21	39.49	0.05 W	0.00	6 of 58 - Sale <sup>25</sup>
	42.000	48.71	02/10/21	55.67	6.96 W	0.00	7 of 58 - Sale <sup>25</sup>
	45.000	52.19	02/10/21	59.66	7.47 W	0.00	8 of 58 - Sale <sup>25</sup>
	49.000	56.83	02/10/21	61.06	4.23 W	0.00	9 of 58 - Sale <sup>25</sup>
	49.000	56.83	02/10/21	72.95	16.12 W	0.00	10 of 58 - Sale <sup>25</sup>
	50.000	57.99	02/10/21	62.25	4.26 W	0.00	11 of 58 - Sale <sup>25</sup>
	50.000	57.99	02/10/21	66.33	8.34 W	0.00	12 of 58 - Sale <sup>25</sup>
	57.000	66.11	02/10/21	70.98	4.87 W	0.00	13 of 58 - Sale <sup>25</sup>
	78.000	90.47	02/10/21	97.19	6.72 W	0.00	14 of 58 - Sale <sup>25</sup>
	86.000	99.75	02/10/21	99.96	0.21 W	0.00	15 of 58 - Sale <sup>25</sup>
	87.000	100.91	02/10/21	115.36	14.45 W	0.00	16 of 58 - Sale <sup>25</sup>
	100.000	115.98	02/10/21	116.20	0.22 W	0.00	17 of 58 - Sale <sup>25</sup>
	314.000	364.20	02/10/21	364.97	0.77 W	0.00	18 of 58 - Sale <sup>25</sup>
	318.000	368.83	02/10/21	421.43	52.60 W	0.00	19 of 58 - Sale <sup>25</sup>
	1.000	1.05	02/11/21	1.37	0.32 W	0.00	20 of 58 - Sale <sup>25</sup>
	1.000	1.05	02/11/21	1.54	0.49 W	0.00	21 of 58 - Sale <sup>25</sup>
	1.000	1.10	02/11/21	1.53	0.43 W	0.00	22 of 58 - Sale <sup>25</sup>
	13.000	13.65	02/11/21	16.69	3.04 W	0.00	23 of 58 - Sale <sup>25</sup>
	13.000	14.30	02/11/21	16.57	2.27 W	0.00	24 of 58 - Sale <sup>25</sup>
	15.000	15.75	02/11/21	17.99	2.24 W	0.00	25 of 58 - Sale <sup>25</sup>
	15.000	16.50	02/11/21	17.86	1.36 W	0.00	26 of 58 - Sale <sup>25</sup>
	34.000	35.70	02/11/21	40.81	5.11 W	0.00	27 of 58 - Sale <sup>25</sup>
	34.000	37.40	02/11/21	40.51	3.11 W	0.00	28 of 58 - Sale <sup>25</sup>
	38.000	39.89	02/11/21	45.62	5.73 W	0.00	29 of 58 - Sale <sup>25</sup>
	38.000	41.79	02/11/21	45.27	3.48 W	0.00	30 of 58 - Sale <sup>25</sup>
	42.000	44.09	02/11/21	57.32	13.23 W	0.00	31 of 58 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GRAN TIERRA ENERGY INC. / CUSIP: 38500T101 / Symbol: (cont'd)							
	42.000	46.19	02/11/21	56.94	10.75 W	0.00	32 of 58 - Sale <sup>25</sup>
	45.000	47.24	02/11/21	61.43	14.19 W	0.00	33 of 58 - Sale <sup>25</sup>
	45.000	49.49	02/11/21	61.02	11.53 W	0.00	34 of 58 - Sale <sup>25</sup>
	48.000	50.39	02/11/21	57.68	7.29 W	0.00	35 of 58 - Sale <sup>25</sup>
	49.000	51.44	02/11/21	62.99	11.55 W	0.00	36 of 58 - Sale <sup>25</sup>
	49.000	51.44	02/11/21	74.88	23.44 W	0.00	37 of 58 - Sale <sup>25</sup>
	50.000	52.49	02/11/21	64.21	11.72 W	0.00	38 of 58 - Sale <sup>25</sup>
	50.000	52.49	02/11/21	68.30	15.81 W	0.00	39 of 58 - Sale <sup>25</sup>
	48.000	52.79	02/11/21	57.24	4.45 W	0.00	40 of 58 - Sale <sup>25</sup>
	49.000	53.89	02/11/21	62.54	8.65 W	0.00	41 of 58 - Sale <sup>25</sup>
	49.000	53.89	02/11/21	74.43	20.54 W	0.00	42 of 58 - Sale <sup>25</sup>
	50.000	54.99	02/11/21	63.76	8.77 W	0.00	43 of 58 - Sale <sup>25</sup>
	50.000	54.99	02/11/21	67.84	12.85 W	0.00	44 of 58 - Sale <sup>25</sup>
	57.000	59.84	02/11/21	73.22	13.38 W	0.00	45 of 58 - Sale <sup>25</sup>
	57.000	62.69	02/11/21	72.70	10.01 W	0.00	46 of 58 - Sale <sup>25</sup>
	78.000	81.89	02/11/21	100.24	18.35 W	0.00	47 of 58 - Sale <sup>25</sup>
	78.000	85.79	02/11/21	99.54	13.75 W	0.00	48 of 58 - Sale <sup>25</sup>
	87.000	91.34	02/11/21	118.76	27.42 W	0.00	49 of 58 - Sale <sup>25</sup>
	87.000	95.69	02/11/21	117.98	22.29 W	0.00	50 of 58 - Sale <sup>25</sup>
	100.000	104.99	02/11/21	120.01	15.02 W	0.00	51 of 58 - Sale <sup>25</sup>
	100.000	109.99	02/11/21	119.11	9.12 W	0.00	52 of 58 - Sale <sup>25</sup>
	314.000	329.65	02/11/21	377.28	47.63 W	0.00	53 of 58 - Sale <sup>25</sup>
	318.000	333.86	02/11/21	433.89	100.03 W	0.00	54 of 58 - Sale <sup>25</sup>
	314.000	345.35	02/11/21	374.43	29.08 W	0.00	55 of 58 - Sale <sup>25</sup>
	318.000	349.76	02/11/21	431.02	81.26 W	0.00	56 of 58 - Sale <sup>25</sup>
	611.000	641.47	02/11/21	731.93	90.46 W	0.00	57 of 58 - Sale <sup>25</sup>
	611.000	672.02	02/11/21	726.42	54.40 W	0.00	58 of 58 - Sale <sup>25</sup>
02/11/21	5,389.000	5,910.45	Various	6,774.26	863.81 W	0.00	Total of 58 transactions
	27 transactions for 02/18/21. Total proceeds and cost reported to the IRS.						
	314.000	310.81	02/17/21	367.91	...	-57.10	1 of 27 - Sale <sup>25</sup>
	157.000	155.41	02/17/21	209.53	...	-54.12	2 of 27 - Sale <sup>25</sup>
	299.000	295.98	02/17/21	349.25	...	-53.27	3 of 27 - Sale <sup>25</sup>
	101.000	99.98	02/17/21	134.79	...	-34.81	4 of 27 - Sale <sup>25</sup>
	87.000	86.12	02/17/21	116.16	...	-30.04	5 of 27 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
GRAN TIERRA ENERGY INC. / CUSIP: 38500T101 / Symbol: (cont'd)							
	161.000	159.37	02/17/21	187.90	...	-28.53	6 of 27 - Sale <sup>25</sup>
	49.000	48.50	02/17/21	74.88	...	-26.38	7 of 27 - Sale <sup>25</sup>
	60.000	59.41	02/17/21	80.07	...	-20.66	8 of 27 - Sale <sup>25</sup>
	112.000	110.86	02/17/21	130.82	...	-19.96	9 of 27 - Sale <sup>25</sup>
	100.000	98.99	02/17/21	117.02	...	-18.03	10 of 27 - Sale <sup>25</sup>
	50.000	49.49	02/17/21	66.81	...	-17.32	11 of 27 - Sale <sup>25</sup>
	57.000	56.42	02/17/21	73.22	...	-16.80	12 of 27 - Sale <sup>25</sup>
	45.000	44.54	02/17/21	60.09	...	-15.55	13 of 27 - Sale <sup>25</sup>
	50.000	49.49	02/17/21	64.21	...	-14.72	14 of 27 - Sale <sup>25</sup>
	42.000	41.59	02/17/21	56.07	...	-14.48	15 of 27 - Sale <sup>25</sup>
	49.000	48.52	02/17/21	61.53	...	-13.01	16 of 27 - Sale <sup>25</sup>
	32.000	31.68	02/17/21	41.12	...	-9.44	17 of 27 - Sale <sup>25</sup>
	48.000	47.51	02/17/21	56.25	...	-8.74	18 of 27 - Sale <sup>25</sup>
	39.000	38.60	02/17/21	45.55	...	-6.95	19 of 27 - Sale <sup>25</sup>
	38.000	37.61	02/17/21	44.49	...	-6.88	20 of 27 - Sale <sup>25</sup>
	34.000	33.66	02/17/21	39.79	...	-6.13	21 of 27 - Sale <sup>25</sup>
	13.000	12.87	02/17/21	16.30	...	-3.43	22 of 27 - Sale <sup>25</sup>
	15.000	14.85	02/17/21	17.54	...	-2.69	23 of 27 - Sale <sup>25</sup>
	9.000	8.91	02/17/21	11.57	...	-2.66	24 of 27 - Sale <sup>25</sup>
	1.000	0.99	02/17/21	1.54	...	-0.55	25 of 27 - Sale <sup>25</sup>
	1.000	0.99	02/17/21	1.46	...	-0.47	26 of 27 - Sale <sup>25</sup>
	1.000	0.99	02/17/21	1.29	...	-0.30	27 of 27 - Sale <sup>25</sup>
02/18/21	1,964.000	1,944.14	Various	2,427.16	...	-483.02	Total of 27 transactions
	6 transactions for 02/18/21. Total proceeds and cost reported to the IRS.						
	1.000	0.99	02/11/21	1.43	0.44 W	0.00	1 of 6 - Sale <sup>25</sup>
	78.000	77.23	02/17/21	97.91	11.40 W	-9.28	2 of 6 - Sale <sup>25</sup>
	1.000	0.99	02/17/21	1.51	0.52 W	0.00	3 of 6 - Sale <sup>25</sup>
	49.000	48.52	02/17/21	73.42	24.90 W	0.00	4 of 6 - Sale <sup>25</sup>
	50.000	49.51	02/17/21	62.72	13.21 W	0.00	5 of 6 - Sale <sup>25</sup>
	57.000	56.44	02/17/21	71.52	15.08 W	0.00	6 of 6 - Sale <sup>25</sup>
02/18/21	236.000	233.68	Various	308.51	65.55 W	-9.28	Total of 6 transactions
02/25/21	1.000	0.91	02/17/21	1.28	...	-0.37	Sale <sup>25</sup>
	<b>Security total:</b>	<b>15,921.71</b>		<b>19,202.87</b>	<b>2,917.41 W</b>	<b>-363.75</b>	

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HEXO CORP. COMMON SHARES / CUSIP: 428304307 / Symbol:							
02/16/21	100.000	869.97	02/16/21	895.00	...	-25.03	Sale <sup>25</sup>
HIGHPOINT RESOURCES CORPORATIO N / CUSIP: 43114K207 / Symbol:							
01/08/21	1.000	9.55	12/22/20	11.82	...	-2.27	Sale <sup>25</sup>
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol:							
<i>3 transactions for 01/05/21. Total proceeds and cost reported to the IRS.</i>							
	310.000	657.21	12/31/20	637.05	...	20.16	1 of 3 - Sale <sup>25</sup>
	90.000	190.80	01/04/21	173.48	...	17.32	2 of 3 - Sale <sup>25</sup>
	200.000	425.98	01/04/21	385.50	...	40.48	3 of 3 - Sale <sup>25</sup>
01/05/21	600.000	1,273.99	Various	1,196.03	...	77.96	Total of 3 transactions
<i>2 transactions for 01/06/21. Total proceeds and cost reported to the IRS.</i>							
	30.000	72.30	01/04/21	57.82	...	14.48	1 of 2 - Sale <sup>25</sup>
	179.000	431.37	01/04/21	345.02	...	86.35	2 of 2 - Sale <sup>25</sup>
01/06/21	209.000	503.67	Various	402.84	...	100.83	Total of 2 transactions
<i>4 transactions for 01/13/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	2.78	01/04/21	1.93	...	0.85	1 of 4 - Sale <sup>25</sup>
	5.000	13.91	01/08/21	15.94	...	-2.03	2 of 4 - Sale <sup>25</sup>
	109.000	303.14	01/11/21	318.07	...	-14.93	3 of 4 - Sale <sup>25</sup>
	10.000	27.81	01/11/21	30.58	...	-2.77	4 of 4 - Sale <sup>25</sup>
01/13/21	125.000	347.64	Various	366.52	...	-18.88	Total of 4 transactions
<i>4 transactions for 01/13/21. Total proceeds and cost reported to the IRS.</i>							
	100.000	278.11	01/08/21	331.89	40.87 W	-12.91	1 of 4 - Sale <sup>25</sup>
	25.000	69.53	01/08/21	89.19	19.66 W	0.00	2 of 4 - Sale <sup>25</sup>
	200.000	556.22	01/08/21	767.00	210.78 W	0.00	3 of 4 - Sale <sup>25</sup>
	300.000	834.33	01/08/21	1,150.50	316.17 W	0.00	4 of 4 - Sale <sup>25</sup>
01/13/21	625.000	1,738.19	Various	2,338.58	587.48 W	-12.91	Total of 4 transactions
<i>2 transactions for 01/15/21. Total proceeds and cost reported to the IRS.</i>							
	6.000	21.66	01/11/21	23.83	...	-2.17	1 of 2 - Sale <sup>25</sup>
	94.000	339.33	01/14/21	385.30	...	-45.97	2 of 2 - Sale <sup>25</sup>
01/15/21	100.000	360.99	Various	409.13	...	-48.14	Total of 2 transactions

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
6 transactions for 01/29/21. Total proceeds and cost reported to the IRS.							
	1.000	4.10	01/14/21	4.10	...	0.00	1 of 6 - Sale <sup>25</sup>
	200.000	819.95	01/28/21	1,040.78	...	-220.83	2 of 6 - Sale <sup>25</sup>
	100.000	409.98	01/28/21	520.39	...	-110.41	3 of 6 - Sale <sup>25</sup>
	99.000	405.89	01/28/21	515.19	...	-109.30	4 of 6 - Sale <sup>25</sup>
	75.000	307.48	01/28/21	351.58	...	-44.10	5 of 6 - Sale <sup>25</sup>
	25.000	102.50	01/28/21	123.41	...	-20.91	6 of 6 - Sale <sup>25</sup>
01/29/21	500.000	2,049.90	Various	2,555.45	...	-505.55	Total of 6 transactions
02/24/21	1.000	3.47	01/28/21	4.69	...	-1.22	Sale <sup>25</sup>
	<b>Security total:</b>	<b>6,277.85</b>		<b>7,273.24</b>	<b>587.48 W</b>	<b>-407.91</b>	
IMMUNOME, INC. COMMON STOCK / CUSIP: 45257U108 / Symbol:							
5 transactions for 02/18/21. Total proceeds and cost reported to the IRS.							
	37.000	1,421.27	02/18/21	1,547.13	...	-125.86	1 of 5 - Sale <sup>25</sup>
	21.000	806.67	02/18/21	877.88	...	-71.21	2 of 5 - Sale <sup>25</sup>
	16.000	614.61	02/18/21	669.03	...	-54.42	3 of 5 - Sale <sup>25</sup>
	15.000	576.19	02/18/21	627.21	...	-51.02	4 of 5 - Sale <sup>25</sup>
	1.000	38.41	02/18/21	41.80	...	-3.39	5 of 5 - Sale <sup>25</sup>
02/18/21	90.000	3,457.15	Various	3,763.05	...	-305.90	Total of 5 transactions
5 transactions for 02/18/21. Total proceeds and cost reported to the IRS.							
	25.000	1,574.71	02/18/21	1,622.25	28.52 W	-19.02	1 of 5 - Sale <sup>25</sup>
	1.000	63.00	02/18/21	64.89	1.89 W	0.00	2 of 5 - Sale <sup>25</sup>
	16.000	1,007.81	02/18/21	1,038.24	30.43 W	0.00	3 of 5 - Sale <sup>25</sup>
	21.000	1,322.97	02/18/21	1,362.69	39.72 W	0.00	4 of 5 - Sale <sup>25</sup>
	37.000	2,330.57	02/18/21	2,400.93	70.36 W	0.00	5 of 5 - Sale <sup>25</sup>
02/18/21	100.000	6,299.06	Various	6,489.00	170.92 W	-19.02	Total of 5 transactions
	<b>Security total:</b>	<b>9,756.21</b>		<b>10,252.05</b>	<b>170.92 W</b>	<b>-324.92</b>	
INUVO, INC. / CUSIP: 46122W204 / Symbol:							
01/22/21	999.000	1,578.36	01/21/21	1,597.30	...	-18.94	Sale <sup>25</sup>
01/28/21	1.000	1.36	01/21/21	1.60	...	-0.24	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,579.72</b>		<b>1,598.90</b>	...	<b>-19.18</b>	

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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ISORAY, INC. / CUSIP: 46489V104 / Symbol:							
2 transactions for 01/06/21. Total proceeds and cost reported to the IRS.							
	13.000	20.80	01/06/21	20.35	...	0.45	1 of 2 - Sale <sup>25</sup>
	320.000	511.95	01/06/21	505.60	...	6.35	2 of 2 - Sale <sup>25</sup>
01/06/21	333.000	532.75	Various	525.95	...	6.80	Total of 2 transactions
3 transactions for 01/06/21. Total proceeds and cost reported to the IRS.							
	60.000	95.99	01/06/21	99.00	3.01 W	0.00	1 of 3 - Sale <sup>25</sup>
	75.000	119.99	01/06/21	123.75	3.76 W	0.00	2 of 3 - Sale <sup>25</sup>
	465.000	743.92	01/06/21	767.25	23.33 W	0.00	3 of 3 - Sale <sup>25</sup>
01/06/21	600.000	959.90	Various	990.00	30.10 W	0.00	Total of 3 transactions
2 transactions for 01/07/21. Total proceeds and cost reported to the IRS.							
	1.000	1.47	01/06/21	1.61	0.14 W	0.00	1 of 2 - Sale <sup>25</sup>
	290.000	426.27	01/06/21	439.40	13.13 W	0.00	2 of 2 - Sale <sup>25</sup>
01/07/21	291.000	427.74	Various	441.01	13.27 W	0.00	Total of 2 transactions
7 transactions for 01/20/21. Total proceeds and cost reported to the IRS.							
	1.000	2.69	01/19/21	2.29	...	0.40	1 of 7 - Sale <sup>25</sup>
	19.000	51.10	01/19/21	39.99	...	11.11	2 of 7 - Sale <sup>25</sup>
	50.000	134.49	01/19/21	107.50	...	26.99	3 of 7 - Sale <sup>25</sup>
	60.000	161.39	01/19/21	132.01	...	29.38	4 of 7 - Sale <sup>25</sup>
	75.000	201.74	01/19/21	165.01	...	36.73	5 of 7 - Sale <sup>25</sup>
	174.000	468.03	01/19/21	382.83	...	85.20	6 of 7 - Sale <sup>25</sup>
	290.000	780.05	01/19/21	636.63	...	143.42	7 of 7 - Sale <sup>25</sup>
01/20/21	669.000	1,799.49	Various	1,466.26	...	333.23	Total of 7 transactions
6 transactions for 02/16/21. Total proceeds and cost reported to the IRS.							
	1.000	1.76	02/11/21	1.66	...	0.10	1 of 6 - Sale <sup>25</sup>
	99.000	174.23	02/11/21	164.34	...	9.89	2 of 6 - Sale <sup>25</sup>
	221.000	388.93	02/11/21	366.86	...	22.07	3 of 6 - Sale <sup>25</sup>
	270.000	475.17	02/11/21	448.20	...	26.97	4 of 6 - Sale <sup>25</sup>
	707.000	1,244.21	02/11/21	1,173.62	...	70.59	5 of 6 - Sale <sup>25</sup>
	1,200.000	2,111.81	02/11/21	1,992.00	...	119.81	6 of 6 - Sale <sup>25</sup>
02/16/21	2,498.000	4,396.11	Various	4,146.68	...	249.43	Total of 6 transactions

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Robinhood Securities LLC

Account 685320095

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ISORAY, INC. / CUSIP: 46489V104 / Symbol: (cont'd)							
<i>2 transactions for 02/16/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	1.76	01/19/21	2.10	0.34 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	1.76	02/11/21	2.00	0.24 W	0.00	2 of 2 - Sale <sup>25</sup>
02/16/21	2.000	3.52	Various	4.10	0.58 W	0.00	Total of 2 transactions
<i>6 transactions for 02/17/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	1.75	02/11/21	1.66	...	0.09	1 of 6 - Sale <sup>25</sup>
	2,293.000	4,012.39	02/16/21	4,081.54	...	-69.15	2 of 6 - Sale <sup>25</sup>
	175.000	306.23	02/16/21	311.50	...	-5.27	3 of 6 - Sale <sup>25</sup>
	15.000	26.25	02/16/21	26.70	...	-0.45	4 of 6 - Sale <sup>25</sup>
	15.000	26.25	02/16/21	26.70	...	-0.45	5 of 6 - Sale <sup>25</sup>
	1.000	1.75	02/16/21	2.02	...	-0.27	6 of 6 - Sale <sup>25</sup>
02/17/21	2,500.000	4,374.62	Various	4,450.12	...	-75.50	Total of 6 transactions
02/22/21	1.000	1.47	02/16/21	1.78	...	-0.31	Sale <sup>25</sup>
	<b>Security total:</b>	<b>12,495.60</b>		<b>12,025.90</b>	<b>43.95 W</b>	<b>513.65</b>	
JAGUAR HEALTH, INC. COMMON STO CK / CUSIP: 47010C409 / Symbol:							
<i>4 transactions for 01/05/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	2.31	12/31/20	0.93	...	1.38	1 of 4 - Sale <sup>25</sup>
	11.000	25.41	01/04/21	14.64	...	10.77	2 of 4 - Sale <sup>25</sup>
	17.000	39.27	01/04/21	24.54	...	14.73	3 of 4 - Sale <sup>25</sup>
	381.000	880.03	01/04/21	467.77	...	412.26	4 of 4 - Sale <sup>25</sup>
01/05/21	410.000	947.02	Various	507.88	...	439.14	Total of 4 transactions
<i>4 transactions for 01/06/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	2.11	01/04/21	1.23	...	0.88	1 of 4 - Sale <sup>25</sup>
	128.000	270.05	01/05/21	360.14	...	-90.09	2 of 4 - Sale <sup>25</sup>
	99.000	208.87	01/05/21	274.82	...	-65.95	3 of 4 - Sale <sup>25</sup>
	72.000	151.91	01/05/21	199.85	...	-47.94	4 of 4 - Sale <sup>25</sup>
01/06/21	300.000	632.94	Various	836.04	...	-203.10	Total of 4 transactions
<i>2 transactions for 01/07/21. Total proceeds and cost reported to the IRS.</i>							
	172.000	353.43	01/05/21	483.93	...	-130.50	1 of 2 - Sale <sup>25</sup>
	128.000	263.01	01/05/21	357.15	...	-94.14	2 of 2 - Sale <sup>25</sup>
01/07/21	300.000	616.44	Various	841.08	...	-224.64	Total of 2 transactions

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Robinhood Securities LLC

Account 685320095

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
JAGUAR HEALTH, INC. COMMON STO CK / CUSIP: 47010C409 / Symbol: (cont'd)							
2 transactions for 02/09/21. Total proceeds and cost reported to the IRS.							
	1.000	3.07	01/05/21	2.79	...	0.28	1 of 2 - Sale <sup>25</sup>
	499.000	1,532.53	02/09/21	1,586.82	...	-54.29	2 of 2 - Sale <sup>25</sup>
02/09/21	500.000	1,535.60	Various	1,589.61	...	-54.01	Total of 2 transactions
02/24/21	1.000	2.43	02/09/21	3.18	...	-0.75	Sale <sup>25</sup>
	<b>Security total:</b>	<b>3,734.43</b>		<b>3,777.79</b>	...	<b>-43.36</b>	
KAIVAL BRANDS INNOVATIONS GROU P, INC. COMMON STOCK / CUSIP: 483104204 / Symbol:							
15 transactions for 10/19/21. Total proceeds and cost reported to the IRS.							
	400.000	911.94	10/19/21	948.00	...	-36.06	1 of 15 - Sale <sup>25</sup>
	100.000	227.99	10/19/21	237.00	...	-9.01	2 of 15 - Sale <sup>25</sup>
	100.000	257.10	10/19/21	256.99	...	0.11	3 of 15 - Sale <sup>25</sup>
	400.000	1,028.38	10/19/21	1,028.00	...	0.38	4 of 15 - Sale <sup>25</sup>
	55.000	141.40	10/19/21	140.80	...	0.60	5 of 15 - Sale <sup>25</sup>
	245.000	629.88	10/19/21	627.20	...	2.68	6 of 15 - Sale <sup>25</sup>
	100.000	228.99	10/19/21	216.00	...	12.99	7 of 15 - Sale <sup>25</sup>
	100.000	266.98	10/19/21	252.01	...	14.97	8 of 15 - Sale <sup>25</sup>
	100.000	266.98	10/19/21	252.01	...	14.97	9 of 15 - Sale <sup>25</sup>
	100.000	266.99	10/19/21	252.01	...	14.98	10 of 15 - Sale <sup>25</sup>
	100.000	266.99	10/19/21	252.01	...	14.98	11 of 15 - Sale <sup>25</sup>
	100.000	266.99	10/19/21	252.01	...	14.98	12 of 15 - Sale <sup>25</sup>
	200.000	457.98	10/19/21	432.00	...	25.98	13 of 15 - Sale <sup>25</sup>
	300.000	686.95	10/19/21	648.00	...	38.95	14 of 15 - Sale <sup>25</sup>
	400.000	915.94	10/19/21	864.00	...	51.94	15 of 15 - Sale <sup>25</sup>
10/19/21	2,800.000	6,821.48	Various	6,658.04	...	163.44	Total of 15 transactions
5 transactions for 10/19/21. Total proceeds and cost reported to the IRS.							
	100.000	225.99	10/19/21	230.00	4.01 W	0.00	1 of 5 - Sale <sup>25</sup>
	100.000	225.99	10/19/21	230.00	4.01 W	0.00	2 of 5 - Sale <sup>25</sup>
	100.000	225.99	10/19/21	230.00	4.01 W	0.00	3 of 5 - Sale <sup>25</sup>
	100.000	225.99	10/19/21	230.00	4.01 W	0.00	4 of 5 - Sale <sup>25</sup>
	100.000	225.99	10/19/21	230.00	4.01 W	0.00	5 of 5 - Sale <sup>25</sup>
10/19/21	500.000	1,129.95	Various	1,150.00	20.05 W	0.00	Total of 5 transactions
	<b>Security total:</b>	<b>7,951.43</b>		<b>7,808.04</b>	<b>20.05 W</b>	<b>163.44</b>	

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Robinhood Securities LLC

Account 685320095

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
KELSO TECHNOLOGIES INC. / CUSIP: 48826D201 / Symbol:							
6 transactions for 02/04/21. Total proceeds and cost reported to the IRS.							
	399.000	335.11	02/03/21	358.22	...	-23.11	1 of 6 - Sale <sup>25</sup>
	112.000	94.07	02/03/21	100.79	...	-6.72	2 of 6 - Sale <sup>25</sup>
	38.000	31.91	02/03/21	36.30	...	-4.39	3 of 6 - Sale <sup>25</sup>
	62.000	52.07	02/03/21	55.49	...	-3.42	4 of 6 - Sale <sup>25</sup>
	38.000	31.92	02/03/21	34.12	...	-2.20	5 of 6 - Sale <sup>25</sup>
	1.000	0.84	02/03/21	0.96	...	-0.12	6 of 6 - Sale <sup>25</sup>
02/04/21	650.000	545.92	Various	585.88	...	-39.96	Total of 6 transactions
2 transactions for 02/04/21. Total proceeds and cost reported to the IRS.							
	99.000	83.15	02/03/21	88.61	2.10 W	-3.36	1 of 2 - Sale <sup>25</sup>
	1.000	0.84	02/03/21	0.90	0.06 W	0.00	2 of 2 - Sale <sup>25</sup>
02/04/21	100.000	83.99	Various	89.51	2.16 W	-3.36	Total of 2 transactions
	<b>Security total:</b>	<b>629.91</b>		<b>675.39</b>	<b>2.16 W</b>	<b>-43.32</b>	
KOSS CORPORATION COMMON STOCK / CUSIP: 500692108 / Symbol:							
2 transactions for 01/26/21. Total proceeds and cost reported to the IRS.							
	100.000	1,069.96	01/26/21	1,044.27	...	25.69	1 of 2 - Sale <sup>25</sup>
	100.000	1,069.97	01/26/21	907.33	...	162.64	2 of 2 - Sale <sup>25</sup>
01/26/21	200.000	2,139.93	Various	1,951.60	...	188.33	Total of 2 transactions
3 transactions for 01/26/21. Total proceeds and cost reported to the IRS.							
	100.000	1,069.96	01/26/21	1,106.00	36.04 W	0.00	1 of 3 - Sale <sup>25</sup>
	200.000	2,139.93	01/26/21	2,196.72	56.79 W	0.00	2 of 3 - Sale <sup>25</sup>
	200.000	2,139.93	01/26/21	2,210.00	70.07 W	0.00	3 of 3 - Sale <sup>25</sup>
01/26/21	500.000	5,349.82	Various	5,512.72	162.90 W	0.00	Total of 3 transactions
2 transactions for 02/18/21. Total proceeds and cost reported to the IRS.							
	62.000	856.81	02/18/21	924.66	67.85 W	0.00	1 of 2 - Sale <sup>25</sup>
	88.000	1,214.36	02/18/21	1,312.43	98.07 W	0.00	2 of 2 - Sale <sup>25</sup>
02/18/21	150.000	2,071.17	Various	2,237.09	165.92 W	0.00	Total of 2 transactions
4 transactions for 02/24/21. Total proceeds and cost reported to the IRS.							
	10.000	190.69	02/24/21	204.54	13.85 W	0.00	1 of 4 - Sale <sup>25</sup>
	14.000	266.97	02/24/21	283.98	17.01 W	0.00	2 of 4 - Sale <sup>25</sup>
	26.000	495.81	02/24/21	544.28	48.47 W	0.00	3 of 4 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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KOSS CORPORATION COMMON STOCK / CUSIP: 500692108 / Symbol: (cont'd)							
	58.000	1,106.03	02/24/21	1,218.02	111.99 W	0.00	4 of 4 - Sale <sup>25</sup>
02/24/21	108.000	2,059.50	Various	2,250.82	191.32 W	0.00	Total of 4 transactions
	23 transactions for 02/25/21. Total proceeds and cost reported to the IRS.						
	1.000	34.11	02/24/21	21.00	...	13.11	1 of 23 - Sale <sup>25</sup>
	1.000	33.65	02/24/21	20.43	...	13.22	2 of 23 - Sale <sup>25</sup>
	99.000	3,376.86	02/24/21	2,022.61	...	1,354.25	3 of 23 - Sale <sup>25</sup>
	58.000	1,681.98	02/25/21	1,903.34	...	-221.36	4 of 23 - Sale <sup>25</sup>
	31.000	685.40	02/25/21	893.59	...	-208.19	5 of 23 - Sale <sup>25</sup>
	35.000	909.99	02/25/21	1,103.97	...	-193.98	6 of 23 - Sale <sup>25</sup>
	39.000	1,013.99	02/25/21	1,200.74	...	-186.75	7 of 23 - Sale <sup>25</sup>
	23.000	597.99	02/25/21	725.46	...	-127.47	8 of 23 - Sale <sup>25</sup>
	26.000	753.99	02/25/21	851.49	...	-97.50	9 of 23 - Sale <sup>25</sup>
	13.000	288.60	02/25/21	374.99	...	-86.39	10 of 23 - Sale <sup>25</sup>
	11.000	243.21	02/25/21	317.30	...	-74.09	11 of 23 - Sale <sup>25</sup>
	9.000	275.08	02/25/21	325.18	...	-50.10	12 of 23 - Sale <sup>25</sup>
	14.000	406.00	02/25/21	449.40	...	-43.40	13 of 23 - Sale <sup>25</sup>
	3.000	65.85	02/25/21	86.54	...	-20.69	14 of 23 - Sale <sup>25</sup>
	2.000	52.00	02/25/21	69.18	...	-17.18	15 of 23 - Sale <sup>25</sup>
	1.000	26.00	02/25/21	34.59	...	-8.59	16 of 23 - Sale <sup>25</sup>
	1.000	29.00	02/25/21	32.39	...	-3.39	17 of 23 - Sale <sup>25</sup>
	1.000	29.00	02/25/21	32.27	...	-3.27	18 of 23 - Sale <sup>25</sup>
	1.000	30.90	02/25/21	32.38	...	-1.48	19 of 23 - Sale <sup>25</sup>
	1.000	29.73	02/25/21	29.62	...	0.11	20 of 23 - Sale <sup>25</sup>
	2.000	59.46	02/25/21	59.11	...	0.35	21 of 23 - Sale <sup>25</sup>
	39.000	1,159.48	02/25/21	1,153.08	...	6.40	22 of 23 - Sale <sup>25</sup>
	58.000	1,724.35	02/25/21	1,715.42	...	8.93	23 of 23 - Sale <sup>25</sup>
02/25/21	469.000	13,506.62	Various	13,454.08	...	52.54	Total of 23 transactions
	9 transactions for 02/25/21. Total proceeds and cost reported to the IRS.						
	62.000	1,895.01	02/25/21	2,222.08	163.54 W	-163.53	1 of 9 - Sale <sup>25</sup>
	1.000	30.56	02/25/21	35.85	5.29 W	0.00	2 of 9 - Sale <sup>25</sup>
	1.000	33.61	02/25/21	35.16	1.55 W	0.00	3 of 9 - Sale <sup>25</sup>
	23.000	773.02	02/25/21	824.65	51.63 W	0.00	4 of 9 - Sale <sup>25</sup>
	29.000	886.38	02/25/21	1,039.95	153.57 W	0.00	5 of 9 - Sale <sup>25</sup>
	35.000	1,176.34	02/25/21	1,254.91	78.57 W	0.00	6 of 9 - Sale <sup>25</sup>

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Account 685320095

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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KOSS CORPORATION COMMON STOCK / CUSIP: 500692108 / Symbol: (cont'd)							
	41.000	1,377.99	02/25/21	1,439.12	61.13 W	0.00	7 of 9 - Sale <sup>25</sup>
	41.000	1,379.64	02/25/21	1,441.16	61.52 W	0.00	8 of 9 - Sale <sup>25</sup>
	58.000	1,951.68	02/25/21	2,039.30	87.62 W	0.00	9 of 9 - Sale <sup>25</sup>
02/25/21	291.000	9,504.23	Various	10,332.18	664.42 W	-163.53	Total of 9 transactions
10/14/21	100.000	1,809.98	10/13/21	2,162.00	...	-352.02	Sale <sup>25</sup>
	<b>Security total:</b>	<b>36,441.25</b>		<b>37,900.49</b>	<b>1,184.56 W</b>	<b>-274.68</b>	
LAIX INC. / CUSIP: 50736W105 / Symbol:							
5 transactions for 02/04/21. Total proceeds and cost reported to the IRS.							
	20.000	86.00	02/04/21	80.80	...	5.20	1 of 5 - Sale <sup>25</sup>
	80.000	343.98	02/04/21	323.20	...	20.78	2 of 5 - Sale <sup>25</sup>
	100.000	429.98	02/04/21	405.00	...	24.98	3 of 5 - Sale <sup>25</sup>
	100.000	429.98	02/04/21	405.00	...	24.98	4 of 5 - Sale <sup>25</sup>
	200.000	859.95	02/04/21	810.00	...	49.95	5 of 5 - Sale <sup>25</sup>
02/04/21	500.000	2,149.89	Various	2,024.00	...	125.89	Total of 5 transactions
4 transactions for 02/04/21. Total proceeds and cost reported to the IRS.							
	36.000	160.56	02/04/21	168.84	8.28 W	0.00	1 of 4 - Sale <sup>25</sup>
	64.000	286.07	02/04/21	300.16	14.09 W	0.00	2 of 4 - Sale <sup>25</sup>
	130.000	579.76	02/04/21	609.70	29.94 W	0.00	3 of 4 - Sale <sup>25</sup>
	270.000	1,206.84	02/04/21	1,266.30	59.46 W	0.00	4 of 4 - Sale <sup>25</sup>
02/04/21	500.000	2,233.23	Various	2,345.00	111.77 W	0.00	Total of 4 transactions
9 transactions for 02/05/21. Total proceeds and cost reported to the IRS.							
	200.000	1,239.95	02/05/21	2,040.07	...	-800.12	1 of 9 - Sale <sup>25</sup>
	100.000	619.97	02/05/21	1,025.44	...	-405.47	2 of 9 - Sale <sup>25</sup>
	100.000	619.97	02/05/21	863.43	...	-243.46	3 of 9 - Sale <sup>25</sup>
	36.000	388.98	02/05/21	340.92	...	48.06	4 of 9 - Sale <sup>25</sup>
	100.000	948.01	02/05/21	892.02	...	55.99	5 of 9 - Sale <sup>25</sup>
	100.000	948.02	02/05/21	892.02	...	56.00	6 of 9 - Sale <sup>25</sup>
	64.000	691.52	02/05/21	605.45	...	86.07	7 of 9 - Sale <sup>25</sup>
	70.000	756.35	02/05/21	662.22	...	94.13	8 of 9 - Sale <sup>25</sup>
	130.000	1,404.65	02/05/21	1,231.14	...	173.51	9 of 9 - Sale <sup>25</sup>
02/05/21	900.000	7,617.42	Various	8,552.71	...	-935.29	Total of 9 transactions

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Robinhood Securities LLC

Account 685320095

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
LAIX INC. / CUSIP: 50736W105 / Symbol: (cont'd)							
3 transactions for 02/05/21. Total proceeds and cost reported to the IRS.							
	100.000	899.97	02/05/21	1,045.00	145.03 W	0.00	1 of 3 - Sale <sup>25</sup>
	300.000	2,174.94	02/05/21	3,096.07	921.13 W	0.00	2 of 3 - Sale <sup>25</sup>
	300.000	2,699.90	02/05/21	3,447.00	747.10 W	0.00	3 of 3 - Sale <sup>25</sup>
02/05/21	700.000	5,774.81	Various	7,588.07	1,813.26 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>17,775.35</b>		<b>20,509.78</b>	<b>1,925.03 W</b>	<b>-809.40</b>	
LUCID GROUP, INC. COMMON STOCK / CUSIP: 549498103 / Symbol:							
9 transactions for 07/26/21. Total proceeds and cost reported to the IRS.							
	1.000	26.38	03/16/21	35.19	...	-8.81	1 of 9 - Sale <sup>25</sup>
	22.000	580.32	07/26/21	1,431.33	...	-851.01	2 of 9 - Sale <sup>25</sup>
	14.000	369.29	07/26/21	979.15	...	-609.86	3 of 9 - Sale <sup>25</sup>
	6.000	158.27	07/26/21	428.18	...	-269.91	4 of 9 - Sale <sup>25</sup>
	5.000	131.89	07/26/21	325.34	...	-193.45	5 of 9 - Sale <sup>25</sup>
	4.000	105.51	07/26/21	282.44	...	-176.93	6 of 9 - Sale <sup>25</sup>
	3.000	79.13	07/26/21	225.42	...	-146.29	7 of 9 - Sale <sup>25</sup>
	1.000	26.38	07/26/21	103.70	...	-77.32	8 of 9 - Sale <sup>25</sup>
	1.000	26.38	07/26/21	69.93	...	-43.55	9 of 9 - Sale <sup>25</sup>
07/26/21	57.000	1,503.55	Various	3,880.68	...	-2,377.13	Total of 9 transactions
09/08/21	48.000	947.99	09/01/21	779.51	...	168.48	Sale <sup>25</sup>
3 transactions for 09/08/21. Total proceeds and cost reported to the IRS.							
	1.000	19.88	07/26/21	69.94	50.06 W	0.00	1 of 3 - Sale <sup>25</sup>
	65.000	1,292.18	08/30/21	1,342.22	50.04 W	0.00	2 of 3 - Sale <sup>25</sup>
	1.000	19.75	09/01/21	65.53	45.78 W	0.00	3 of 3 - Sale <sup>25</sup>
09/08/21	67.000	1,331.81	Various	1,477.69	145.88 W	0.00	Total of 3 transactions
4 transactions for 09/20/21. Total proceeds and cost reported to the IRS.							
	1.000	21.82	09/01/21	16.24	...	5.58	1 of 4 - Sale <sup>25</sup>
	16.000	349.12	09/13/21	335.41	...	13.71	2 of 4 - Sale <sup>25</sup>
	283.000	6,174.99	09/13/21	5,714.71	...	460.28	3 of 4 - Sale <sup>25</sup>
	99.000	2,160.15	09/14/21	1,889.91	...	270.24	4 of 4 - Sale <sup>25</sup>
09/20/21	399.000	8,706.08	Various	7,956.27	...	749.81	Total of 4 transactions
09/20/21	1.000	21.82	09/13/21	65.97	44.15 W	0.00	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LUCID GROUP, INC. COMMON STOCK / CUSIP: 549498103 / Symbol: (cont'd)							
<i>2 transactions for 10/28/21. Total proceeds and cost reported to the IRS.</i>							
	10.000	384.10	09/20/21	220.20	...	163.90	1 of 2 - Sale <sup>25</sup>
	89.000	3,418.46	09/20/21	1,959.78	...	1,458.68	2 of 2 - Sale <sup>25</sup>
10/28/21	99.000	3,802.56	Various	2,179.98	...	1,622.58	Total of 2 transactions
10/28/21	1.000	38.41	09/14/21	63.24	24.83 W	0.00	Sale <sup>25</sup>
11/01/21	100.000	3,767.19	09/20/21	2,202.00	...	1,565.19	Sale <sup>25</sup>
<i>2 transactions for 11/15/21. Total proceeds and cost reported to the IRS.</i>							
	11.000	498.05	09/20/21	242.22	...	255.83	1 of 2 - Sale <sup>25</sup>
	89.000	4,029.72	09/20/21	1,959.78	...	2,069.94	2 of 2 - Sale <sup>25</sup>
11/15/21	100.000	4,527.77	Various	2,202.00	...	2,325.77	Total of 2 transactions
<i>4 transactions for 11/16/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	51.56	09/20/21	22.02	...	29.54	1 of 4 - Sale <sup>25</sup>
	23.000	1,185.80	11/05/21	974.74	...	211.06	2 of 4 - Sale <sup>25</sup>
	75.000	3,866.75	11/05/21	3,178.50	...	688.25	3 of 4 - Sale <sup>25</sup>
	99.000	5,342.09	11/15/21	4,702.50	...	639.59	4 of 4 - Sale <sup>25</sup>
11/16/21	198.000	10,446.20	Various	8,877.76	...	1,568.44	Total of 4 transactions
<i>2 transactions for 11/16/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	51.56	11/05/21	67.21	15.65 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	53.96	11/05/21	58.03	4.07 W	0.00	2 of 2 - Sale <sup>25</sup>
11/16/21	2.000	105.52	Various	125.24	19.72 W	0.00	Total of 2 transactions
<i>2 transactions for 11/19/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	54.66	11/15/21	51.57	...	3.09	1 of 2 - Sale <sup>25</sup>
	99.000	5,411.30	11/18/21	4,666.70	...	744.60	2 of 2 - Sale <sup>25</sup>
11/19/21	100.000	5,465.96	Various	4,718.27	...	747.69	Total of 2 transactions
11/24/21	1.000	50.72	11/18/21	47.14	...	3.58	Sale <sup>25</sup>
11/24/21	99.000	5,021.24	11/22/21	5,195.99	174.75 W	0.00	Sale <sup>25</sup>
<i>2 transactions for 12/06/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	44.52	11/22/21	52.48	7.96 W	0.00	1 of 2 - Sale <sup>25</sup>
	9.000	400.68	12/03/21	426.14	25.46 W	0.00	2 of 2 - Sale <sup>25</sup>
12/06/21	10.000	445.20	Various	478.62	33.42 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>46,182.02</b>		<b>40,250.36</b>	<b>442.75 W</b>	<b>6,374.41</b>	

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Robinhood Securities LLC

Account 685320095

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
MICT, INC. COMMON STOCK / CUSIP: 55328R109 / Symbol:							
<i>2 transactions for 02/17/21. Total proceeds and cost reported to the IRS.</i>							
	499.000	1,252.40	02/16/21	1,297.35	...	-44.95	1 of 2 - Sale <sup>25</sup>
	1.000	2.51	02/16/21	2.60	...	-0.09	2 of 2 - Sale <sup>25</sup>
02/17/21	500.000	1,254.91	Various	1,299.95	...	-45.04	Total of 2 transactions
MACY'S INC. / CUSIP: 55616P104 / Symbol:							
01/14/21	1.000	13.46	12/11/20	12.36	...	1.10	Sale <sup>25</sup>
MARATHON PATENT GROUP, INC. COMMON STOCK / CUSIP: 56585W401 / Symbol:							
02/24/21	100.000	3,180.91	02/23/21	2,995.00	...	185.91	Sale <sup>25</sup>
MEDICINOVA INC COMMON STOCK / CUSIP: 58468P206 / Symbol:							
<i>2 transactions for 01/25/21. Total proceeds and cost reported to the IRS.</i>							
	500.000	2,909.87	01/22/21	3,025.00	...	-115.13	1 of 2 - Sale <sup>25</sup>
	300.000	1,745.92	01/22/21	1,814.85	...	-68.93	2 of 2 - Sale <sup>25</sup>
01/25/21	800.000	4,655.79	Various	4,839.85	...	-184.06	Total of 2 transactions
MOLECULIN BIOTECH, INC. COMMON STOCK / CUSIP: 60855D200 / Symbol:							
02/03/21	28.000	134.15	02/02/21	234.64	...	-100.49	Sale <sup>25</sup>
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
03/04/21	2.000	83.84	03/02/21	92.15	...	-8.31	Sale <sup>25</sup>
03/05/21	19.000	687.60	03/02/21	875.43	...	-187.83	Sale <sup>25</sup>
	<b>Security total:</b>	<b>771.44</b>		<b>967.58</b>	...	<b>-196.14</b>	
NEOLEUKIN THERAPEUTICS, INC. COMMON STOCK / CUSIP: 64049K104 / Symbol:							
01/15/21	1.000	13.40	12/30/20	16.36	...	-2.96	Sale <sup>25</sup>
NEOVASC INC. COMMON SHARES / CUSIP: 64065J304 / Symbol:							
<i>9 transactions for 02/09/21. Total proceeds and cost reported to the IRS.</i>							
	100.000	342.98	02/09/21	384.04	...	-41.06	1 of 9 - Sale <sup>25</sup>
	90.000	308.68	02/09/21	345.64	...	-36.96	2 of 9 - Sale <sup>25</sup>
	90.000	308.68	02/09/21	345.64	...	-36.96	3 of 9 - Sale <sup>25</sup>
	90.000	308.69	02/09/21	345.64	...	-36.95	4 of 9 - Sale <sup>25</sup>
	60.000	205.79	02/09/21	230.42	...	-24.63	5 of 9 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NEOVASC INC. COMMON SHARES / CUSIP: 64065J304 / Symbol: (cont'd)							
	40.000	137.19	02/09/21	153.62	...	-16.43	6 of 9 - Sale <sup>25</sup>
	10.000	34.29	02/09/21	39.10	...	-4.81	7 of 9 - Sale <sup>25</sup>
	10.000	34.30	02/09/21	38.40	...	-4.10	8 of 9 - Sale <sup>25</sup>
	10.000	34.30	02/09/21	37.70	...	-3.40	9 of 9 - Sale <sup>25</sup>
02/09/21	500.000	1,714.90	Various	1,920.20	...	-205.30	Total of 9 transactions
	14 transactions for 02/09/21. Total proceeds and cost reported to the IRS.						
	10.000	33.10	02/09/21	34.20	1.10 W	0.00	1 of 14 - Sale <sup>25</sup>
	10.000	33.10	02/09/21	35.60	2.50 W	0.00	2 of 14 - Sale <sup>25</sup>
	10.000	33.60	02/09/21	35.00	1.40 W	0.00	3 of 14 - Sale <sup>25</sup>
	40.000	132.39	02/09/21	139.61	7.22 W	0.00	4 of 14 - Sale <sup>25</sup>
	60.000	198.59	02/09/21	209.41	10.82 W	0.00	5 of 14 - Sale <sup>25</sup>
	90.000	297.88	02/09/21	314.12	16.24 W	0.00	6 of 14 - Sale <sup>25</sup>
	90.000	297.88	02/09/21	314.12	16.24 W	0.00	7 of 14 - Sale <sup>25</sup>
	90.000	302.38	02/09/21	308.70	6.32 W	0.00	8 of 14 - Sale <sup>25</sup>
	100.000	330.98	02/09/21	349.02	18.04 W	0.00	9 of 14 - Sale <sup>25</sup>
	100.000	330.98	02/09/21	349.02	18.04 W	0.00	10 of 14 - Sale <sup>25</sup>
	100.000	335.98	02/09/21	343.00	7.02 W	0.00	11 of 14 - Sale <sup>25</sup>
	100.000	335.98	02/09/21	343.00	7.02 W	0.00	12 of 14 - Sale <sup>25</sup>
	100.000	335.98	02/09/21	343.00	7.02 W	0.00	13 of 14 - Sale <sup>25</sup>
	100.000	335.98	02/09/21	343.00	7.02 W	0.00	14 of 14 - Sale <sup>25</sup>
02/09/21	1,000.000	3,334.80	Various	3,460.80	126.00 W	0.00	Total of 14 transactions
	<b>Security total:</b>	<b>5,049.70</b>		<b>5,381.00</b>	<b>126.00 W</b>	<b>-205.30</b>	
NOKIA CORPORATION / CUSIP: 654902204 / Symbol:							
	5 transactions for 02/05/21. Total proceeds and cost reported to the IRS.						
	100.000	422.13	01/27/21	711.82	...	-289.69	1 of 5 - Sale <sup>25</sup>
	100.000	422.13	01/27/21	650.00	...	-227.87	2 of 5 - Sale <sup>25</sup>
	100.000	422.13	01/27/21	595.50	...	-173.37	3 of 5 - Sale <sup>25</sup>
	65.000	274.38	02/01/21	321.43	...	-47.05	4 of 5 - Sale <sup>25</sup>
	184.000	776.72	02/02/21	820.42	...	-43.70	5 of 5 - Sale <sup>25</sup>
02/05/21	549.000	2,317.49	Various	3,099.17	...	-781.68	Total of 5 transactions

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Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NOKIA CORPORATION / CUSIP: 654902204 / Symbol: (cont'd)							
2 transactions for 02/05/21. Total proceeds and cost reported to the IRS.							
	200.000	844.26	01/27/21	1,412.00	42.58 W	-525.16	1 of 2 - Sale <sup>25</sup>
	1.000	4.22	01/27/21	8.60	4.38 W	0.00	2 of 2 - Sale <sup>25</sup>
02/05/21	201.000	848.48	Various	1,420.60	46.96 W	-525.16	Total of 2 transactions
2 transactions for 02/09/21. Total proceeds and cost reported to the IRS.							
	15.000	63.30	02/02/21	109.46	...	-46.16	1 of 2 - Sale <sup>25</sup>
	1.000	4.22	02/02/21	8.84	...	-4.62	2 of 2 - Sale <sup>25</sup>
02/09/21	16.000	67.52	Various	118.30	...	-50.78	Total of 2 transactions
	<b>Security total:</b>	<b>3,233.49</b>		<b>4,638.07</b>	<b>46.96 W</b>	<b>-1,357.62</b>	
NVIDIA CORPORATION COMMON STOC K / CUSIP: 67066G104 / Symbol:							
3 transactions for 11/30/21. Total proceeds and cost reported to the IRS.							
	5.000	1,613.09	10/19/21	1,116.51	...	496.58	1 of 3 - Sale <sup>25</sup>
	5.000	1,613.09	10/19/21	1,108.89	...	504.20	2 of 3 - Sale <sup>25</sup>
	5.000	1,613.09	11/12/21	1,518.65	...	94.44	3 of 3 - Sale <sup>25</sup>
11/30/21	15.000	4,839.27	Various	3,744.05	...	1,095.22	Total of 3 transactions
NXT-ID, INC. COMMON STOCK / CUSIP: 67091J206 / Symbol:							
4 transactions for 02/03/21. Total proceeds and cost reported to the IRS.							
	227.000	285.99	01/29/21	467.65	...	-181.66	1 of 4 - Sale <sup>25</sup>
	100.000	125.98	01/29/21	207.01	...	-81.03	2 of 4 - Sale <sup>25</sup>
	200.000	251.97	01/29/21	332.00	...	-80.03	3 of 4 - Sale <sup>25</sup>
	399.000	502.68	02/02/21	498.75	...	3.93	4 of 4 - Sale <sup>25</sup>
02/03/21	926.000	1,166.62	Various	1,505.41	...	-338.79	Total of 4 transactions
3 transactions for 02/03/21. Total proceeds and cost reported to the IRS.							
	73.000	91.97	01/29/21	150.39	0.80 W	-57.62	1 of 3 - Sale <sup>25</sup>
	100.000	124.99	01/29/21	166.00	41.01 W	0.00	2 of 3 - Sale <sup>25</sup>
	300.000	374.96	01/29/21	495.00	120.04 W	0.00	3 of 3 - Sale <sup>25</sup>
02/03/21	473.000	591.92	Various	811.39	161.85 W	-57.62	Total of 3 transactions
02/05/21	1.000	1.27	02/02/21	2.05	...	-0.78	Sale <sup>25</sup>
5 transactions for 10/15/21. Total proceeds and cost reported to the IRS.							
	800.000	292.70	10/15/21	390.66	...	-97.96	1 of 5 - Sale <sup>25</sup>
	900.000	329.38	10/15/21	403.02	...	-73.64	2 of 5 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NXT-ID, INC. COMMON STOCK / CUSIP: 67091J206 / Symbol: (cont'd)							
	100.000	36.59	10/15/21	48.83	...	-12.24	3 of 5 - Sale <sup>25</sup>
	100.000	36.60	10/15/21	48.68	...	-12.08	4 of 5 - Sale <sup>25</sup>
	100.000	36.74	10/15/21	44.78	...	-8.04	5 of 5 - Sale <sup>25</sup>
10/15/21	2,000.000	732.01	Various	935.97	...	-203.96	Total of 5 transactions
	3 transactions for 10/15/21. Total proceeds and cost reported to the IRS.						
	100.000	36.59	10/15/21	44.78	8.19 W	0.00	1 of 3 - Sale <sup>25</sup>
	100.000	36.74	10/15/21	44.78	8.04 W	0.00	2 of 3 - Sale <sup>25</sup>
	800.000	292.70	10/15/21	358.24	65.54 W	0.00	3 of 3 - Sale <sup>25</sup>
10/15/21	1,000.000	366.03	Various	447.80	81.77 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>2,857.85</b>		<b>3,702.62</b>	<b>243.62 W</b>	<b>-601.15</b>	
OBALON THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 67424L209 / Symbol:							
	3 transactions for 01/22/21. Total proceeds and cost reported to the IRS.						
	55.000	403.13	01/22/21	342.10	...	61.03	1 of 3 - Sale <sup>25</sup>
	189.000	1,385.32	01/22/21	1,292.76	...	92.56	2 of 3 - Sale <sup>25</sup>
	250.000	1,832.42	01/22/21	1,602.50	...	229.92	3 of 3 - Sale <sup>25</sup>
01/22/21	494.000	3,620.87	Various	3,237.36	...	383.51	Total of 3 transactions
01/25/21	274.000	1,504.19	01/22/21	1,805.66	...	-301.47	Sale <sup>25</sup>
01/25/21	1.000	5.49	01/22/21	6.84	1.35 W	0.00	Sale <sup>25</sup>
01/28/21	1.000	4.69	01/22/21	7.94	...	-3.25	Sale <sup>25</sup>
	<b>Security total:</b>	<b>5,135.24</b>		<b>5,057.80</b>	<b>1.35 W</b>	<b>78.79</b>	
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol:							
	4 transactions for 01/05/21. Total proceeds and cost reported to the IRS.						
	45.000	126.67	01/04/21	149.40	22.73 W	0.00	1 of 4 - Sale <sup>25</sup>
	55.000	154.82	01/04/21	202.38	47.56 W	0.00	2 of 4 - Sale <sup>25</sup>
	100.000	281.49	01/04/21	332.00	50.51 W	0.00	3 of 4 - Sale <sup>25</sup>
	200.000	571.96	01/04/21	664.00	92.04 W	0.00	4 of 4 - Sale <sup>25</sup>
01/05/21	400.000	1,134.94	Various	1,347.78	212.84 W	0.00	Total of 4 transactions
	4 transactions for 01/13/21. Total proceeds and cost reported to the IRS.						
	24.000	63.84	01/13/21	59.73	...	4.11	1 of 4 - Sale <sup>25</sup>
	100.000	265.99	01/13/21	248.89	...	17.10	2 of 4 - Sale <sup>25</sup>
	100.000	268.99	01/13/21	248.89	...	20.10	3 of 4 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol: (cont'd)							
01/13/21	250.000	672.45	01/13/21	622.23	...	50.22	4 of 4 - Sale <sup>25</sup>
01/13/21	474.000	1,271.27	Various	1,179.74	...	91.53	Total of 4 transactions
	7 transactions for 01/13/21. Total proceeds and cost reported to the IRS.						
	5.000	13.45	01/04/21	18.40	4.95 W	0.00	1 of 7 - Sale <sup>25</sup>
	5.000	13.45	01/13/21	14.97	1.52 W	0.00	2 of 7 - Sale <sup>25</sup>
	5.000	13.45	01/13/21	17.39	3.94 W	0.00	3 of 7 - Sale <sup>25</sup>
	45.000	121.04	01/13/21	134.73	13.69 W	0.00	4 of 7 - Sale <sup>25</sup>
	55.000	147.94	01/13/21	184.45	36.51 W	0.00	5 of 7 - Sale <sup>25</sup>
	95.000	255.54	01/13/21	284.43	28.89 W	0.00	6 of 7 - Sale <sup>25</sup>
	140.000	376.57	01/13/21	412.88	36.31 W	0.00	7 of 7 - Sale <sup>25</sup>
01/13/21	350.000	941.44	Various	1,067.25	125.81 W	0.00	Total of 7 transactions
	4 transactions for 02/04/21. Total proceeds and cost reported to the IRS.						
	1.000	3.28	01/13/21	2.49	...	0.79	1 of 4 - Sale <sup>25</sup>
	10.000	32.85	02/02/21	30.39	...	2.46	2 of 4 - Sale <sup>25</sup>
	45.000	145.84	02/02/21	136.18	...	9.66	3 of 4 - Sale <sup>25</sup>
	155.000	502.34	02/02/21	432.28	...	70.06	4 of 4 - Sale <sup>25</sup>
02/04/21	211.000	684.31	Various	601.34	...	82.97	Total of 4 transactions
	13 transactions for 02/04/21. Total proceeds and cost reported to the IRS.						
	5.000	16.20	02/02/21	18.59	2.39 W	0.00	1 of 13 - Sale <sup>25</sup>
	5.000	16.20	02/02/21	21.36	5.16 W	0.00	2 of 13 - Sale <sup>25</sup>
	5.000	16.42	02/02/21	20.17	3.75 W	0.00	3 of 13 - Sale <sup>25</sup>
	5.000	16.42	02/02/21	22.59	6.17 W	0.00	4 of 13 - Sale <sup>25</sup>
	45.000	145.84	02/02/21	176.77	30.93 W	0.00	5 of 13 - Sale <sup>25</sup>
	45.000	147.82	02/02/21	150.44	2.62 W	0.00	6 of 13 - Sale <sup>25</sup>
	50.000	162.05	02/02/21	185.87	23.82 W	0.00	7 of 13 - Sale <sup>25</sup>
	55.000	178.25	02/02/21	213.59	35.34 W	0.00	8 of 13 - Sale <sup>25</sup>
	55.000	178.25	02/02/21	228.13	49.88 W	0.00	9 of 13 - Sale <sup>25</sup>
	55.000	180.67	02/02/21	241.66	60.99 W	0.00	10 of 13 - Sale <sup>25</sup>
	85.000	275.48	02/02/21	318.20	42.72 W	0.00	11 of 13 - Sale <sup>25</sup>
	95.000	312.06	02/02/21	383.24	71.18 W	0.00	12 of 13 - Sale <sup>25</sup>
	140.000	459.87	02/02/21	558.51	98.64 W	0.00	13 of 13 - Sale <sup>25</sup>
02/04/21	645.000	2,105.53	Various	2,539.12	433.59 W	0.00	Total of 13 transactions

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol: (cont'd)							
57 transactions for 02/05/21. Total proceeds and cost reported to the IRS.							
5.000		18.76	02/02/21	16.48	...	2.28	1 of 57 - Sale <sup>25</sup>
45.000		168.81	02/02/21	165.93	...	2.88	2 of 57 - Sale <sup>25</sup>
55.000		206.33	02/02/21	203.27	...	3.06	3 of 57 - Sale <sup>25</sup>
55.000		206.33	02/02/21	200.34	...	5.99	4 of 57 - Sale <sup>25</sup>
50.000		187.57	02/02/21	164.77	...	22.80	5 of 57 - Sale <sup>25</sup>
85.000		318.87	02/02/21	279.78	...	39.09	6 of 57 - Sale <sup>25</sup>
45.000		168.81	02/02/21	126.85	...	41.96	7 of 57 - Sale <sup>25</sup>
55.000		206.33	02/03/21	144.93	...	61.40	8 of 57 - Sale <sup>25</sup>
100.000		375.14	02/03/21	279.00	...	96.14	9 of 57 - Sale <sup>25</sup>
1.000		4.53	02/05/21	4.50	...	0.03	10 of 57 - Sale <sup>25</sup>
1.000		5.79	02/05/21	5.74	...	0.05	11 of 57 - Sale <sup>25</sup>
1.000		5.45	02/05/21	5.38	...	0.07	12 of 57 - Sale <sup>25</sup>
1.000		4.33	02/05/21	4.22	...	0.11	13 of 57 - Sale <sup>25</sup>
1.000		5.65	02/05/21	5.52	...	0.13	14 of 57 - Sale <sup>25</sup>
1.000		5.33	02/05/21	5.18	...	0.15	15 of 57 - Sale <sup>25</sup>
1.000		4.15	02/05/21	3.92	...	0.23	16 of 57 - Sale <sup>25</sup>
1.000		4.16	02/05/21	3.85	...	0.31	17 of 57 - Sale <sup>25</sup>
1.000		5.02	02/05/21	4.67	...	0.35	18 of 57 - Sale <sup>25</sup>
1.000		4.86	02/05/21	4.50	...	0.36	19 of 57 - Sale <sup>25</sup>
1.000		5.75	02/05/21	5.37	...	0.38	20 of 57 - Sale <sup>25</sup>
1.000		5.77	02/05/21	5.38	...	0.39	21 of 57 - Sale <sup>25</sup>
1.000		4.65	02/05/21	4.25	...	0.40	22 of 57 - Sale <sup>25</sup>
1.000		5.47	02/05/21	4.99	...	0.48	23 of 57 - Sale <sup>25</sup>
4.000		16.64	02/05/21	15.95	...	0.69	24 of 57 - Sale <sup>25</sup>
52.000		235.65	02/05/21	234.00	...	1.65	25 of 57 - Sale <sup>25</sup>
158.000		684.39	02/05/21	682.20	...	2.19	26 of 57 - Sale <sup>25</sup>
170.000		736.37	02/05/21	734.01	...	2.36	27 of 57 - Sale <sup>25</sup>
100.000		453.18	02/05/21	450.00	...	3.18	28 of 57 - Sale <sup>25</sup>
9.000		67.23	02/05/21	63.90	...	3.33	29 of 57 - Sale <sup>25</sup>
250.000		1,082.89	02/05/21	1,079.43	...	3.46	30 of 57 - Sale <sup>25</sup>
125.000		566.47	02/05/21	562.50	...	3.97	31 of 57 - Sale <sup>25</sup>
42.000		237.29	02/05/21	233.06	...	4.23	32 of 57 - Sale <sup>25</sup>
26.000		194.73	02/05/21	189.81	...	4.92	33 of 57 - Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol: (cont'd)							
	421.000	1,823.60	02/05/21	1,817.76	...	5.84	34 of 57 - Sale <sup>25</sup>
	49.000	276.84	02/05/21	270.98	...	5.86	35 of 57 - Sale <sup>25</sup>
	32.000	239.67	02/05/21	230.41	...	9.26	36 of 57 - Sale <sup>25</sup>
	100.000	533.15	02/05/21	523.76	...	9.39	37 of 57 - Sale <sup>25</sup>
	74.000	554.24	02/05/21	540.24	...	14.00	38 of 57 - Sale <sup>25</sup>
	99.000	460.84	02/05/21	445.50	...	15.34	39 of 57 - Sale <sup>25</sup>
	199.000	1,060.96	02/05/21	1,042.30	...	18.66	40 of 57 - Sale <sup>25</sup>
	68.000	509.30	02/05/21	489.62	...	19.68	41 of 57 - Sale <sup>25</sup>
	622.000	2,818.76	02/05/21	2,799.00	...	19.76	42 of 57 - Sale <sup>25</sup>
	99.000	573.68	02/05/21	538.77	...	34.91	43 of 57 - Sale <sup>25</sup>
	300.000	1,694.92	02/05/21	1,659.06	...	35.86	44 of 57 - Sale <sup>25</sup>
	100.000	747.97	02/05/21	710.00	...	37.97	45 of 57 - Sale <sup>25</sup>
	150.000	862.46	02/05/21	823.36	...	39.10	46 of 57 - Sale <sup>25</sup>
	99.000	571.20	02/05/21	531.52	...	39.68	47 of 57 - Sale <sup>25</sup>
	151.000	853.11	02/05/21	809.36	...	43.75	48 of 57 - Sale <sup>25</sup>
	208.000	865.51	02/05/21	815.36	...	50.15	49 of 57 - Sale <sup>25</sup>
	457.000	2,581.94	02/05/21	2,531.37	...	50.57	50 of 57 - Sale <sup>25</sup>
	699.000	3,726.69	02/05/21	3,661.13	...	65.56	51 of 57 - Sale <sup>25</sup>
	287.000	1,194.23	02/05/21	1,125.04	...	69.19	52 of 57 - Sale <sup>25</sup>
	999.000	5,464.28	02/05/21	5,379.62	...	84.66	53 of 57 - Sale <sup>25</sup>
	500.000	2,080.54	02/05/21	1,993.77	...	86.77	54 of 57 - Sale <sup>25</sup>
	346.000	2,584.52	02/05/21	2,456.60	...	127.92	55 of 57 - Sale <sup>25</sup>
	345.000	2,583.95	02/05/21	2,449.50	...	134.45	56 of 57 - Sale <sup>25</sup>
	999.000	4,855.81	02/05/21	4,664.33	...	191.48	57 of 57 - Sale <sup>25</sup>
02/05/21	8,848.000	45,720.87	Various	44,202.04	...	1,518.83	Total of 57 transactions
	34 transactions for 02/05/21. Total proceeds and cost reported to the IRS.						
	5.000	18.76	02/02/21	19.10	0.34 W	0.00	1 of 34 - Sale <sup>25</sup>
	1.000	4.90	02/05/21	5.10	0.20 W	0.00	2 of 34 - Sale <sup>25</sup>
	1.000	4.90	02/05/21	5.14	0.24 W	0.00	3 of 34 - Sale <sup>25</sup>
	1.000	5.18	02/05/21	5.55	0.37 W	0.00	4 of 34 - Sale <sup>25</sup>
	1.000	5.18	02/05/21	5.76	0.58 W	0.00	5 of 34 - Sale <sup>25</sup>
	1.000	5.33	02/05/21	5.34	0.01 W	0.00	6 of 34 - Sale <sup>25</sup>
	1.000	5.35	02/05/21	5.71	0.36 W	0.00	7 of 34 - Sale <sup>25</sup>
	1.000	5.62	02/05/21	5.64	0.02 W	0.00	8 of 34 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol: (cont'd)							
	1.000	5.62	02/05/21	5.90	0.28 W	0.00	9 of 34 - Sale <sup>25</sup>
	1.000	5.79	02/05/21	5.80	0.01 W	0.00	10 of 34 - Sale <sup>25</sup>
	1.000	5.79	02/05/21	5.95	0.16 W	0.00	11 of 34 - Sale <sup>25</sup>
	26.000	158.60	02/05/21	163.81	5.21 W	0.00	12 of 34 - Sale <sup>25</sup>
	42.000	217.60	02/05/21	243.43	25.83 W	0.00	13 of 34 - Sale <sup>25</sup>
	42.000	243.38	02/05/21	251.32	7.94 W	0.00	14 of 34 - Sale <sup>25</sup>
	68.000	414.78	02/05/21	421.60	6.82 W	0.00	15 of 34 - Sale <sup>25</sup>
	74.000	451.38	02/05/21	466.22	14.84 W	0.00	16 of 34 - Sale <sup>25</sup>
	100.000	489.98	02/05/21	515.74	25.76 W	0.00	17 of 34 - Sale <sup>25</sup>
	100.000	502.09	02/05/21	508.00	5.91 W	0.00	18 of 34 - Sale <sup>25</sup>
	99.000	512.93	02/05/21	520.18	7.25 W	0.00	19 of 34 - Sale <sup>25</sup>
	99.000	556.44	02/05/21	562.81	6.37 W	0.00	20 of 34 - Sale <sup>25</sup>
	132.000	805.16	02/05/21	818.40	13.24 W	0.00	21 of 34 - Sale <sup>25</sup>
	199.000	975.05	02/05/21	1,026.33	51.28 W	0.00	22 of 34 - Sale <sup>25</sup>
	199.000	999.15	02/05/21	1,010.92	11.77 W	0.00	23 of 34 - Sale <sup>25</sup>
	199.000	1,153.16	02/05/21	1,187.02	33.86 W	0.00	24 of 34 - Sale <sup>25</sup>
	300.000	1,738.42	02/05/21	1,789.48	51.06 W	0.00	25 of 34 - Sale <sup>25</sup>
	500.000	1,875.68	02/05/21	1,909.45	33.77 W	0.00	26 of 34 - Sale <sup>25</sup>
	457.000	2,367.75	02/05/21	2,644.22	276.47 W	0.00	27 of 34 - Sale <sup>25</sup>
	457.000	2,648.21	02/05/21	2,730.06	81.85 W	0.00	28 of 34 - Sale <sup>25</sup>
	699.000	3,424.94	02/05/21	3,605.05	180.11 W	0.00	29 of 34 - Sale <sup>25</sup>
	700.000	3,514.60	02/05/21	3,556.00	41.40 W	0.00	30 of 34 - Sale <sup>25</sup>
	999.000	4,146.93	02/05/21	4,214.58	67.65 W	0.00	31 of 34 - Sale <sup>25</sup>
	999.000	5,344.41	02/05/21	5,939.85	595.44 W	0.00	32 of 34 - Sale <sup>25</sup>
	999.000	5,445.20	02/05/21	5,632.26	187.06 W	0.00	33 of 34 - Sale <sup>25</sup>
	999.000	5,614.93	02/05/21	6,081.16	466.23 W	0.00	34 of 34 - Sale <sup>25</sup>
02/05/21	8,503.000	43,673.19	Various	45,872.88	2,199.69 W	0.00	Total of 34 transactions
	8 transactions for 02/09/21. Total proceeds and cost reported to the IRS.						
	1.000	13.03	02/08/21	8.97	...	4.06	1 of 8 - Sale <sup>25</sup>
	1.000	13.87	02/09/21	13.12	...	0.75	2 of 8 - Sale <sup>25</sup>
	4.000	55.48	02/09/21	53.95	...	1.53	3 of 8 - Sale <sup>25</sup>
	6.000	83.22	02/09/21	80.93	...	2.29	4 of 8 - Sale <sup>25</sup>
	30.000	416.10	02/09/21	407.35	...	8.75	5 of 8 - Sale <sup>25</sup>
	59.000	818.30	02/09/21	801.11	...	17.19	6 of 8 - Sale <sup>25</sup>

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<b>Robinhood Securities LLC</b>	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 685320095
2021 1099-B* OMB No. 1545-0715	(continued)	02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol: (cont'd)							
	100.000	1,386.95	02/09/21	1,348.90	...	38.05	7 of 8 - Sale <sup>25</sup>
	100.000	1,386.95	02/09/21	1,348.89	...	38.06	8 of 8 - Sale <sup>25</sup>
02/09/21	301.000	4,173.90	Various	4,063.22	...	110.68	Total of 8 transactions
02/09/21	89.000	1,159.72	02/09/21	1,167.67	7.95 W	0.00	Sale <sup>25</sup>
	10 transactions for 02/11/21. Total proceeds and cost reported to the IRS.						
	1.000	12.13	02/11/21	12.05	...	0.08	1 of 10 - Sale <sup>25</sup>
	1.000	11.96	02/11/21	11.79	...	0.17	2 of 10 - Sale <sup>25</sup>
	1.000	13.07	02/11/21	12.34	...	0.73	3 of 10 - Sale <sup>25</sup>
	1.000	13.22	02/11/21	11.68	...	1.54	4 of 10 - Sale <sup>25</sup>
	499.000	6,027.92	02/11/21	6,012.45	...	15.47	5 of 10 - Sale <sup>25</sup>
	94.000	1,140.18	02/11/21	1,108.26	...	31.92	6 of 10 - Sale <sup>25</sup>
	404.000	4,900.36	02/11/21	4,763.16	...	137.20	7 of 10 - Sale <sup>25</sup>
	500.000	6,004.80	02/11/21	5,790.00	...	214.80	8 of 10 - Sale <sup>25</sup>
	499.000	5,698.39	02/11/21	5,383.26	...	315.13	9 of 10 - Sale <sup>25</sup>
	499.000	6,519.72	02/11/21	6,015.19	...	504.53	10 of 10 - Sale <sup>25</sup>
02/11/21	2,499.000	30,341.75	Various	29,120.18	...	1,221.57	Total of 10 transactions
	28 transactions for 02/11/21. Total proceeds and cost reported to the IRS.						
	1.000	11.42	02/09/21	13.49	2.07 W	0.00	1 of 28 - Sale <sup>25</sup>
	1.000	10.51	02/11/21	11.35	0.84 W	0.00	2 of 28 - Sale <sup>25</sup>
	1.000	10.51	02/11/21	14.09	3.58 W	0.00	3 of 28 - Sale <sup>25</sup>
	1.000	10.56	02/11/21	11.63	1.07 W	0.00	4 of 28 - Sale <sup>25</sup>
	1.000	10.56	02/11/21	12.50	1.94 W	0.00	5 of 28 - Sale <sup>25</sup>
	1.000	10.58	02/11/21	14.52	3.94 W	0.00	6 of 28 - Sale <sup>25</sup>
	1.000	11.50	02/11/21	13.75	2.25 W	0.00	7 of 28 - Sale <sup>25</sup>
	1.000	11.70	02/11/21	13.49	1.79 W	0.00	8 of 28 - Sale <sup>25</sup>
	1.000	12.01	02/11/21	12.86	0.85 W	0.00	9 of 28 - Sale <sup>25</sup>
	1.000	12.08	02/11/21	12.90	0.82 W	0.00	10 of 28 - Sale <sup>25</sup>
	1.000	12.13	02/11/21	12.39	0.26 W	0.00	11 of 28 - Sale <sup>25</sup>
	4.000	42.28	02/11/21	49.50	7.22 W	0.00	12 of 28 - Sale <sup>25</sup>
	4.000	42.28	02/11/21	49.54	7.26 W	0.00	13 of 28 - Sale <sup>25</sup>
	4.000	42.32	02/11/21	49.54	7.22 W	0.00	14 of 28 - Sale <sup>25</sup>
	10.000	105.80	02/11/21	123.75	17.95 W	0.00	15 of 28 - Sale <sup>25</sup>
	30.000	317.10	02/11/21	371.55	54.45 W	0.00	16 of 28 - Sale <sup>25</sup>
	66.000	696.94	02/11/21	817.42	120.48 W	0.00	17 of 28 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol: (cont'd)							
	88.000	931.00	02/11/21	1,089.01	158.01 W	0.00	18 of 28 - Sale <sup>25</sup>
	96.000	1,014.68	02/11/21	1,188.01	173.33 W	0.00	19 of 28 - Sale <sup>25</sup>
	96.000	1,014.68	02/11/21	1,188.97	174.29 W	0.00	20 of 28 - Sale <sup>25</sup>
	99.000	1,040.45	02/11/21	1,083.53	43.08 W	0.00	21 of 28 - Sale <sup>25</sup>
	99.000	1,045.40	02/11/21	1,107.33	61.93 W	0.00	22 of 28 - Sale <sup>25</sup>
	100.000	1,055.96	02/11/21	1,245.51	189.55 W	0.00	23 of 28 - Sale <sup>25</sup>
	499.000	5,244.32	02/11/21	6,095.17	850.85 W	0.00	24 of 28 - Sale <sup>25</sup>
	499.000	5,738.31	02/11/21	6,170.93	432.62 W	0.00	25 of 28 - Sale <sup>25</sup>
	499.000	5,838.11	02/11/21	6,102.78	264.67 W	0.00	26 of 28 - Sale <sup>25</sup>
	499.000	5,967.84	02/11/21	6,155.81	187.97 W	0.00	27 of 28 - Sale <sup>25</sup>
	499.000	6,595.07	02/11/21	6,730.96	135.89 W	0.00	28 of 28 - Sale <sup>25</sup>
02/11/21	3,202.000	36,856.10	Various	39,762.28	2,906.18 W	0.00	Total of 28 transactions
	10 transactions for 02/12/21. Total proceeds and cost reported to the IRS.						
	1.000	9.82	02/11/21	14.69	4.87 W	0.00	1 of 10 - Sale <sup>25</sup>
	2.000	19.56	02/12/21	23.57	4.01 W	0.00	2 of 10 - Sale <sup>25</sup>
	2.000	19.56	02/12/21	23.57	4.01 W	0.00	3 of 10 - Sale <sup>25</sup>
	4.000	39.12	02/12/21	47.14	8.02 W	0.00	4 of 10 - Sale <sup>25</sup>
	10.000	98.20	02/12/21	117.75	19.55 W	0.00	5 of 10 - Sale <sup>25</sup>
	17.000	166.25	02/12/21	200.35	34.10 W	0.00	6 of 10 - Sale <sup>25</sup>
	19.000	186.58	02/12/21	223.74	37.16 W	0.00	7 of 10 - Sale <sup>25</sup>
	27.000	264.05	02/12/21	318.48	54.43 W	0.00	8 of 10 - Sale <sup>25</sup>
	69.000	674.79	02/12/21	812.51	137.72 W	0.00	9 of 10 - Sale <sup>25</sup>
	69.000	674.80	02/12/21	813.89	139.09 W	0.00	10 of 10 - Sale <sup>25</sup>
02/12/21	220.000	2,152.73	Various	2,595.69	442.96 W	0.00	Total of 10 transactions
	6 transactions for 02/18/21. Total proceeds and cost reported to the IRS.						
	100.000	1,048.14	02/18/21	1,241.33	...	-193.19	1 of 6 - Sale <sup>25</sup>
	66.000	691.78	02/18/21	814.65	...	-122.87	2 of 6 - Sale <sup>25</sup>
	99.000	1,037.67	02/18/21	1,103.19	...	-65.52	3 of 6 - Sale <sup>25</sup>
	30.000	314.44	02/18/21	369.98	...	-55.54	4 of 6 - Sale <sup>25</sup>
	1.000	10.48	02/18/21	12.46	...	-1.98	5 of 6 - Sale <sup>25</sup>
	1.000	10.48	02/18/21	11.59	...	-1.11	6 of 6 - Sale <sup>25</sup>
02/18/21	297.000	3,112.99	Various	3,553.20	...	-440.21	Total of 6 transactions

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Robinhood Securities LLC

Account 685320095

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol: (cont'd)							
4 transactions for 02/18/21. Total proceeds and cost reported to the IRS.							
	1.000	10.48	02/12/21	11.79	1.31 W	0.00	1 of 4 - Sale <sup>25</sup>
	4.000	41.93	02/18/21	49.33	1.85 W	-5.55	2 of 4 - Sale <sup>25</sup>
	1.000	10.48	02/18/21	15.39	4.91 W	0.00	3 of 4 - Sale <sup>25</sup>
	78.000	817.55	02/18/21	961.22	143.67 W	0.00	4 of 4 - Sale <sup>25</sup>
02/18/21	84.000	880.44	Various	1,037.73	151.74 W	-5.55	Total of 4 transactions
10 transactions for 02/23/21. Total proceeds and cost reported to the IRS.							
	69.000	716.19	02/23/21	887.74	...	-171.55	1 of 10 - Sale <sup>25</sup>
	38.000	394.43	02/23/21	475.22	...	-80.79	2 of 10 - Sale <sup>25</sup>
	27.000	280.25	02/23/21	347.38	...	-67.13	3 of 10 - Sale <sup>25</sup>
	30.000	311.39	02/23/21	375.18	...	-63.79	4 of 10 - Sale <sup>25</sup>
	19.000	197.21	02/23/21	236.85	...	-39.64	5 of 10 - Sale <sup>25</sup>
	10.000	103.80	02/23/21	124.65	...	-20.85	6 of 10 - Sale <sup>25</sup>
	2.000	20.76	02/23/21	25.71	...	-4.95	7 of 10 - Sale <sup>25</sup>
	2.000	20.76	02/23/21	25.71	...	-4.95	8 of 10 - Sale <sup>25</sup>
	2.000	20.76	02/23/21	25.03	...	-4.27	9 of 10 - Sale <sup>25</sup>
	1.000	10.38	02/23/21	12.51	...	-2.13	10 of 10 - Sale <sup>25</sup>
02/23/21	200.000	2,075.93	Various	2,535.98	...	-460.05	Total of 10 transactions
6 transactions for 02/24/21. Total proceeds and cost reported to the IRS.							
	78.000	728.91	02/23/21	911.97	...	-183.06	1 of 6 - Sale <sup>25</sup>
	17.000	158.87	02/23/21	201.55	...	-42.68	2 of 6 - Sale <sup>25</sup>
	1.000	9.35	02/23/21	14.76	...	-5.41	3 of 6 - Sale <sup>25</sup>
	2.000	18.69	02/23/21	23.71	...	-5.02	4 of 6 - Sale <sup>25</sup>
	1.000	9.35	02/23/21	11.70	...	-2.35	5 of 6 - Sale <sup>25</sup>
	1.000	9.35	02/23/21	11.16	...	-1.81	6 of 6 - Sale <sup>25</sup>
02/24/21	100.000	934.52	Various	1,174.85	...	-240.33	Total of 6 transactions
2 transactions for 10/12/21. Total proceeds and cost reported to the IRS.							
	96.000	871.66	10/11/21	710.40	...	161.26	1 of 2 - Sale <sup>25</sup>
	404.000	3,668.25	10/11/21	2,989.60	...	678.65	2 of 2 - Sale <sup>25</sup>
10/12/21	500.000	4,539.91	Various	3,700.00	...	839.91	Total of 2 transactions
10/13/21	300.000	2,513.97	10/12/21	2,705.79	...	-191.82	Sale <sup>25</sup>
	<b>Security total:</b>	<b>184,273.51</b>		<b>188,226.74</b>	<b>6,480.76 W</b>	<b>2,527.53</b>	

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Robinhood Securities LLC

Account 685320095

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ONCONOVA THERAPEUTICS, INC. CO MMON STOCK / CUSIP: 68232V405 / Symbol:							
<i>2 transactions for 02/04/21. Total proceeds and cost reported to the IRS.</i>							
	200.000	196.38	02/03/21	167.34	...	29.04	1 of 2 - Sale <sup>25</sup>
	800.000	785.48	02/03/21	669.36	...	116.12	2 of 2 - Sale <sup>25</sup>
02/04/21	1,000.000	981.86	Various	836.70	...	145.16	Total of 2 transactions
02/17/21	2,000.000	3,379.68	02/17/21	3,420.00	...	-40.32	Sale <sup>25</sup>
	<b>Security total:</b>	<b>4,361.54</b>		<b>4,256.70</b>	...	<b>104.84</b>	
ORGANIGRAM HOLDINGS INC. COMMO N SHARES / CUSIP: 68620P101 / Symbol:							
<i>3 transactions for 02/09/21. Total proceeds and cost reported to the IRS.</i>							
	15.000	72.30	02/09/21	67.78	...	4.52	1 of 3 - Sale <sup>25</sup>
	200.000	963.96	02/09/21	922.00	...	41.96	2 of 3 - Sale <sup>25</sup>
	335.000	1,614.62	02/09/21	1,513.84	...	100.78	3 of 3 - Sale <sup>25</sup>
02/09/21	550.000	2,650.88	Various	2,503.62	...	147.26	Total of 3 transactions
02/09/21	500.000	2,194.94	02/09/21	2,330.00	61.05 W	-74.01	Sale <sup>25</sup>
<i>2 transactions for 03/12/21. Total proceeds and cost reported to the IRS.</i>							
	200.000	758.96	03/11/21	822.03	...	-63.07	1 of 2 - Sale <sup>25</sup>
	26.000	98.67	03/11/21	110.50	...	-11.83	2 of 2 - Sale <sup>25</sup>
03/12/21	226.000	857.63	Various	932.53	...	-74.90	Total of 2 transactions
	<b>Security total:</b>	<b>5,703.45</b>		<b>5,766.15</b>	<b>61.05 W</b>	<b>-1.65</b>	
OUTLOOK THERAPEUTICS, INC. COM MON STOCK / CUSIP: 69012T206 / Symbol:							
<i>9 transactions for 02/04/21. Total proceeds and cost reported to the IRS.</i>							
	2.000	4.30	02/03/21	3.32	...	0.98	1 of 9 - Sale <sup>25</sup>
	98.000	210.68	02/03/21	162.70	...	47.98	2 of 9 - Sale <sup>25</sup>
	100.000	214.98	02/03/21	166.02	...	48.96	3 of 9 - Sale <sup>25</sup>
	93.000	199.94	02/03/21	148.80	...	51.14	4 of 9 - Sale <sup>25</sup>
	100.000	214.98	02/03/21	163.01	...	51.97	5 of 9 - Sale <sup>25</sup>
	107.000	230.03	02/03/21	171.20	...	58.83	6 of 9 - Sale <sup>25</sup>
	10.000	21.50	02/04/21	19.90	...	1.60	7 of 9 - Sale <sup>25</sup>
	231.000	496.61	02/04/21	459.69	...	36.92	8 of 9 - Sale <sup>25</sup>
	259.000	556.81	02/04/21	515.41	...	41.40	9 of 9 - Sale <sup>25</sup>
02/04/21	1,000.000	2,149.83	Various	1,810.05	...	339.78	Total of 9 transactions

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OUTLOOK THERAPEUTICS, INC. COM MON STOCK / CUSIP: 69012T206 / Symbol: (cont'd)							
7 transactions for 02/04/21. Total proceeds and cost reported to the IRS.							
	2.000	3.14	02/03/21	3.20	0.06 W	0.00	1 of 7 - Sale <sup>25</sup>
	2.000	3.14	02/03/21	3.26	0.12 W	0.00	2 of 7 - Sale <sup>25</sup>
	98.000	153.85	02/03/21	156.80	2.95 W	0.00	3 of 7 - Sale <sup>25</sup>
	98.000	153.85	02/03/21	159.75	5.90 W	0.00	4 of 7 - Sale <sup>25</sup>
	100.000	156.99	02/03/21	160.00	3.01 W	0.00	5 of 7 - Sale <sup>25</sup>
	100.000	156.99	02/03/21	160.00	3.01 W	0.00	6 of 7 - Sale <sup>25</sup>
	100.000	156.99	02/03/21	163.01	6.02 W	0.00	7 of 7 - Sale <sup>25</sup>
02/04/21	500.000	784.95	Various	806.02	21.07 W	0.00	Total of 7 transactions
2 transactions for 02/05/21. Total proceeds and cost reported to the IRS.							
	1.000	2.09	02/04/21	1.99	...	0.10	1 of 2 - Sale <sup>25</sup>
	499.000	1,083.44	02/04/21	993.01	...	90.43	2 of 2 - Sale <sup>25</sup>
02/05/21	500.000	1,085.53	Various	995.00	...	90.53	Total of 2 transactions
2 transactions for 02/09/21. Total proceeds and cost reported to the IRS.							
	1.000	3.80	02/08/21	3.00	...	0.80	1 of 2 - Sale <sup>25</sup>
	499.000	1,856.17	02/08/21	1,496.55	...	359.62	2 of 2 - Sale <sup>25</sup>
02/09/21	500.000	1,859.97	Various	1,499.55	...	360.42	Total of 2 transactions
02/09/21	230.000	873.95	02/09/21	977.50	103.55 W	0.00	Sale <sup>25</sup>
3 transactions for 02/25/21. Total proceeds and cost reported to the IRS.							
	570.000	1,231.64	02/10/21	1,527.60	...	-295.96	1 of 3 - Sale <sup>25</sup>
	230.000	496.97	02/10/21	719.95	...	-222.98	2 of 3 - Sale <sup>25</sup>
	200.000	432.15	02/22/21	465.00	...	-32.85	3 of 3 - Sale <sup>25</sup>
02/25/21	1,000.000	2,160.76	Various	2,712.55	...	-551.79	Total of 3 transactions
	<b>Security total:</b>	<b>8,914.99</b>		<b>8,800.67</b>	<b>124.62 W</b>	<b>238.94</b>	
THE PECK COMPANY HOLDINGS, INC . COMMON STOCK / CUSIP: 705163103 / Symbol:							
01/06/21	38.000	376.57	01/05/21	420.92	...	-44.35	Sale <sup>25</sup>
01/06/21	72.000	713.49	01/05/21	943.20	3.19 W	-226.52	Sale <sup>25</sup>
01/13/21	1.000	15.70	01/05/21	14.27	...	1.43	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,105.76</b>		<b>1,378.39</b>	<b>3.19 W</b>	<b>-269.44</b>	

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Robinhood Securities LLC

Account 685320095

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROFESSIONAL DIVERSITY NETWORK , INC. COMMON STOCK / CUSIP: 74312Y202 / Symbol:							
<i>6 transactions for 02/17/21. Total proceeds and cost reported to the IRS.</i>							
	200.000	1,001.95	02/17/21	1,104.00	...	-102.05	1 of 6 - Sale <sup>25</sup>
	115.000	576.12	02/17/21	633.65	...	-57.53	2 of 6 - Sale <sup>25</sup>
	110.000	551.08	02/17/21	605.00	...	-53.92	3 of 6 - Sale <sup>25</sup>
	15.000	75.15	02/17/21	82.80	...	-7.65	4 of 6 - Sale <sup>25</sup>
	10.000	50.10	02/17/21	57.10	...	-7.00	5 of 6 - Sale <sup>25</sup>
	10.000	53.00	02/17/21	55.00	...	-2.00	6 of 6 - Sale <sup>25</sup>
02/17/21	460.000	2,307.40	Various	2,537.55	...	-230.15	Total of 6 transactions
02/17/21	40.000	212.00	02/17/21	220.00	2.00 W	-6.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,519.40</b>		<b>2,757.55</b>	<b>2.00 W</b>	<b>-236.15</b>	
PROSHARES ULTRA VIX SHORT-TERM FUTURES ETF / CUSIP: 74347W148 / Symbol:							
02/10/21	400.000	4,123.85	02/10/21	4,195.80	...	-71.95	Sale <sup>25</sup>
PURPLE BIOTECH LTD. AMERICAN D EPOSITARY SHARES / CUSIP: 74638P109 / Symbol:							
<i>4 transactions for 02/05/21. Total proceeds and cost reported to the IRS.</i>							
	12.000	65.52	02/05/21	65.40	...	0.12	1 of 4 - Sale <sup>25</sup>
	88.000	480.46	02/05/21	479.60	...	0.86	2 of 4 - Sale <sup>25</sup>
	188.000	1,026.43	02/05/21	1,024.60	...	1.83	3 of 4 - Sale <sup>25</sup>
	212.000	1,157.46	02/05/21	1,155.40	...	2.06	4 of 4 - Sale <sup>25</sup>
02/05/21	500.000	2,729.87	Various	2,725.00	...	4.87	Total of 4 transactions
<i>4 transactions for 02/16/21. Total proceeds and cost reported to the IRS.</i>							
	100.000	596.58	02/10/21	763.00	...	-166.42	1 of 4 - Sale <sup>25</sup>
	100.000	596.58	02/10/21	763.00	...	-166.42	2 of 4 - Sale <sup>25</sup>
	99.000	590.62	02/10/21	755.37	...	-164.75	3 of 4 - Sale <sup>25</sup>
	1.000	5.97	02/10/21	7.64	...	-1.67	4 of 4 - Sale <sup>25</sup>
02/16/21	300.000	1,789.75	Various	2,289.01	...	-499.26	Total of 4 transactions
	<b>Security total:</b>	<b>4,519.62</b>		<b>5,014.01</b>	...	<b>-494.39</b>	
RADIUS HEALTH, INC. COMMON STO CK / CUSIP: 750469207 / Symbol:							
10/20/21	200.000	4,198.51	10/20/21	4,200.00	...	-1.49	Sale <sup>25</sup>
RED CAT HOLDINGS, INC. COMMON STOCK / CUSIP: 75644T100 / Symbol:							
09/28/21	500.000	1,574.93	09/27/21	1,820.00	...	-245.07	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RENESOLA LTD AMERICAN DEPOSITA RY (EACH REPRESENTING TEN SHAR ES) / CUSIP: 75971T301 / Symbol:							
<i>2 transactions for 02/19/21. Total proceeds and cost reported to the IRS.</i>							
	100.000	1,799.96	02/19/21	1,855.00	...	-55.04	1 of 2 - Sale <sup>25</sup>
	50.000	899.97	02/19/21	929.50	...	-29.53	2 of 2 - Sale <sup>25</sup>
02/19/21	150.000	2,699.93	Various	2,784.50	...	-84.57	Total of 2 transactions
RIOT BLOCKCHAIN, INC. COMMON STOCK / CUSIP: 767292105 / Symbol:							
11/18/21	500.000	16,014.55	11/18/21	16,041.40	13.43 W	-13.42	Sale <sup>25</sup>
11/22/21	250.000	9,194.92	11/19/21	8,563.43	...	631.49	Sale <sup>25</sup>
	<b>Security total:</b>	<b>25,209.47</b>		<b>24,604.83</b>	<b>13.43 W</b>	<b>618.07</b>	
ROBLOX CORPORATION / CUSIP: 771049103 / Symbol:							
03/11/21	10.000	747.49	03/10/21	648.80	...	98.69	Sale <sup>25</sup>
RODGERS SILICON VALLEY ACQUISITION CORP. COMMON STOCK / CUSIP: 774873103 / Symbol:							
<i>3 transactions for 02/22/21. Total proceeds and cost reported to the IRS.</i>							
	97.000	2,122.30	02/22/21	2,231.00	...	-108.70	1 of 3 - Sale <sup>25</sup>
	3.000	65.55	02/22/21	69.00	...	-3.45	2 of 3 - Sale <sup>25</sup>
	100.000	2,588.49	02/22/21	2,370.00	...	218.49	3 of 3 - Sale <sup>25</sup>
02/22/21	200.000	4,776.34	Various	4,670.00	...	106.34	Total of 3 transactions
ROOT, INC. CLASS A COMMON STOCK / CUSIP: 77664L108 / Symbol:							
10/07/21	200.000	1,046.33	08/31/21	1,333.04	286.71 W	0.00	Sale <sup>25</sup>
10/15/21	300.000	1,554.49	08/31/21	1,999.56	296.71 W	-148.36	Sale <sup>25</sup>
<i>5 transactions for 11/11/21. Total proceeds and cost reported to the IRS.</i>							
	100.000	627.16	09/14/21	750.10	...	-122.94	1 of 5 - Sale <sup>25</sup>
	100.000	627.17	09/14/21	744.06	...	-116.89	2 of 5 - Sale <sup>25</sup>
	200.000	1,254.33	09/14/21	1,213.48	...	40.85	3 of 5 - Sale <sup>25</sup>
	100.000	627.17	09/30/21	678.07	...	-50.90	4 of 5 - Sale <sup>25</sup>
	100.000	627.16	10/29/21	633.85	...	-6.69	5 of 5 - Sale <sup>25</sup>
11/11/21	600.000	3,762.99	Various	4,019.56	...	-256.57	Total of 5 transactions
	<b>Security total:</b>	<b>6,363.81</b>		<b>7,352.16</b>	<b>583.42 W</b>	<b>-404.93</b>	

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SENSEONICS HOLDINGS, INC. / CUSIP: 81727U105 / Symbol:							
02/05/21	1,000.000	3,139.81	02/05/21	2,988.70	...	151.11	Sale <sup>25</sup>
10/20/21	500.000	1,817.28	10/20/21	1,818.85	...	-1.57	Sale <sup>25</sup>
	<b>Security total:</b>	<b>4,957.09</b>		<b>4,807.55</b>	...	<b>149.54</b>	
SIEBERT FINANCIAL CORP. COMMON STOCK / CUSIP: 826176109 / Symbol:							
02/09/21	300.000	1,931.91	02/09/21	2,010.00	...	-78.09	Sale <sup>25</sup>
SMILEDIRECTCLUB, INC. CLASS A COMMON STOCK / CUSIP: 83192H106 / Symbol:							
8 transactions for 09/20/21. Total proceeds and cost reported to the IRS.							
	100.000	708.98	09/17/21	649.80	...	59.18	1 of 8 - Sale <sup>25</sup>
	100.000	708.98	09/17/21	649.80	...	59.18	2 of 8 - Sale <sup>25</sup>
	100.000	708.98	09/17/21	649.80	...	59.18	3 of 8 - Sale <sup>25</sup>
	100.000	708.98	09/17/21	649.80	...	59.18	4 of 8 - Sale <sup>25</sup>
	100.000	708.98	09/17/21	649.80	...	59.18	5 of 8 - Sale <sup>25</sup>
	100.000	708.98	09/17/21	649.80	...	59.18	6 of 8 - Sale <sup>25</sup>
	100.000	708.98	09/17/21	649.80	...	59.18	7 of 8 - Sale <sup>25</sup>
	100.000	708.98	09/17/21	649.80	...	59.18	8 of 8 - Sale <sup>25</sup>
09/20/21	800.000	5,671.84	Various	5,198.40	...	473.44	Total of 8 transactions
SNAP INC. / CUSIP: 83304A106 / Symbol:							
2 transactions for 10/26/21. Total proceeds and cost reported to the IRS.							
	50.000	2,762.48	10/21/21	2,695.00	...	67.48	1 of 2 - Sale <sup>25</sup>
	50.000	2,762.48	10/21/21	2,695.00	...	67.48	2 of 2 - Sale <sup>25</sup>
10/26/21	100.000	5,524.96	Various	5,390.00	...	134.96	Total of 2 transactions
10/26/21	49.000	2,705.80	10/21/21	2,777.81	72.01 W	0.00	Sale <sup>25</sup>
2 transactions for 11/24/21. Total proceeds and cost reported to the IRS.							
	49.000	2,424.50	11/22/21	2,436.58	...	-12.08	1 of 2 - Sale <sup>25</sup>
	50.000	2,473.98	11/22/21	2,412.83	...	61.15	2 of 2 - Sale <sup>25</sup>
11/24/21	99.000	4,898.48	Various	4,849.41	...	49.07	Total of 2 transactions
11/24/21	1.000	49.48	10/21/21	56.69	7.21 W	0.00	Sale <sup>25</sup>
2 transactions for 11/29/21. Total proceeds and cost reported to the IRS.							
	1.000	50.82	11/22/21	55.47	...	-4.65	1 of 2 - Sale <sup>25</sup>
	99.000	5,031.12	11/22/21	4,777.40	...	253.72	2 of 2 - Sale <sup>25</sup>
11/29/21	100.000	5,081.94	Various	4,832.87	...	249.07	Total of 2 transactions

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SNAP INC. / CUSIP: 83304A106 / Symbol: (cont'd)							
12/01/21	301.000	15,149.78	11/22/21	14,525.24	...	624.54	Sale <sup>25</sup>
	<b>Security total:</b>	<b>33,410.44</b>		<b>32,432.02</b>	<b>79.22 W</b>	<b>1,057.64</b>	
SOCKET MOBILE, INC. COMMON STO CK / CUSIP: 83368E200 / Symbol:							
<i>8 transactions for 02/16/21. Total proceeds and cost reported to the IRS.</i>							
	35.000	1,035.27	02/16/21	996.12	...	39.15	1 of 8 - Sale <sup>25</sup>
	40.000	925.57	02/16/21	870.99	...	54.58	2 of 8 - Sale <sup>25</sup>
	44.000	999.65	02/16/21	935.70	...	63.95	3 of 8 - Sale <sup>25</sup>
	24.000	791.98	02/16/21	722.47	...	69.51	4 of 8 - Sale <sup>25</sup>
	65.000	1,922.65	02/16/21	1,849.95	...	72.70	5 of 8 - Sale <sup>25</sup>
	26.000	857.97	02/16/21	782.67	...	75.30	6 of 8 - Sale <sup>25</sup>
	100.000	2,271.93	02/16/21	2,112.00	...	159.93	7 of 8 - Sale <sup>25</sup>
	50.000	1,649.96	02/16/21	1,462.88	...	187.08	8 of 8 - Sale <sup>25</sup>
02/16/21	384.000	10,454.98	Various	9,732.78	...	722.20	Total of 8 transactions
<i>25 transactions for 02/16/21. Total proceeds and cost reported to the IRS.</i>							
	9.000	215.99	02/16/21	254.72	38.73 W	0.00	1 of 25 - Sale <sup>25</sup>
	10.000	239.99	02/16/21	283.53	43.54 W	0.00	2 of 25 - Sale <sup>25</sup>
	24.000	687.34	02/16/21	708.78	21.44 W	0.00	3 of 25 - Sale <sup>25</sup>
	24.000	699.82	02/16/21	740.72	40.90 W	0.00	4 of 25 - Sale <sup>25</sup>
	31.000	743.98	02/16/21	877.38	133.40 W	0.00	5 of 25 - Sale <sup>25</sup>
	26.000	744.62	02/16/21	767.85	23.23 W	0.00	6 of 25 - Sale <sup>25</sup>
	26.000	758.14	02/16/21	802.45	44.31 W	0.00	7 of 25 - Sale <sup>25</sup>
	26.000	838.02	02/16/21	839.28	1.26 W	0.00	8 of 25 - Sale <sup>25</sup>
	40.000	959.98	02/16/21	1,132.10	172.12 W	0.00	9 of 25 - Sale <sup>25</sup>
	41.000	1,103.36	02/16/21	1,185.41	82.05 W	0.00	10 of 25 - Sale <sup>25</sup>
	49.000	1,232.32	02/16/21	1,461.25	228.93 W	0.00	11 of 25 - Sale <sup>25</sup>
	49.000	1,255.26	02/16/21	1,355.81	100.55 W	0.00	12 of 25 - Sale <sup>25</sup>
	51.000	1,282.61	02/16/21	1,520.90	238.29 W	0.00	13 of 25 - Sale <sup>25</sup>
	51.000	1,306.49	02/16/21	1,411.16	104.67 W	0.00	14 of 25 - Sale <sup>25</sup>
	49.000	1,318.64	02/16/21	1,416.69	98.05 W	0.00	15 of 25 - Sale <sup>25</sup>
	49.000	1,371.96	02/16/21	1,556.90	184.94 W	0.00	16 of 25 - Sale <sup>25</sup>
	49.000	1,375.37	02/16/21	1,588.34	212.97 W	0.00	17 of 25 - Sale <sup>25</sup>
	51.000	1,427.95	02/16/21	1,620.45	192.50 W	0.00	18 of 25 - Sale <sup>25</sup>
	51.000	1,431.50	02/16/21	1,653.18	221.68 W	0.00	19 of 25 - Sale <sup>25</sup>

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Account 685320095

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SOCKET MOBILE, INC. COMMON STO CK / CUSIP: 83368E200 / Symbol: (cont'd)							
	50.000	1,457.96	02/16/21	1,500.91	42.95 W	0.00	20 of 25 - Sale <sup>25</sup>
	49.000	1,472.90	02/16/21	1,640.24	167.34 W	0.00	21 of 25 - Sale <sup>25</sup>
	51.000	1,533.02	02/16/21	1,707.20	174.18 W	0.00	22 of 25 - Sale <sup>25</sup>
	74.000	2,385.15	02/16/21	2,388.72	3.57 W	0.00	23 of 25 - Sale <sup>25</sup>
	100.000	2,550.93	02/16/21	2,555.00	4.07 W	0.00	24 of 25 - Sale <sup>25</sup>
	100.000	3,279.15	02/16/21	3,335.00	55.85 W	0.00	25 of 25 - Sale <sup>25</sup>
02/16/21	1,130.000	31,672.45	Various	34,303.97	2,631.52 W	0.00	Total of 25 transactions
	5 transactions for 02/18/21. Total proceeds and cost reported to the IRS.						
	40.000	581.98	02/18/21	763.32	...	-181.34	1 of 5 - Sale <sup>25</sup>
	31.000	451.03	02/18/21	591.57	...	-140.54	2 of 5 - Sale <sup>25</sup>
	10.000	145.50	02/18/21	191.34	...	-45.84	3 of 5 - Sale <sup>25</sup>
	9.000	130.95	02/18/21	171.75	...	-40.80	4 of 5 - Sale <sup>25</sup>
	10.000	145.50	02/18/21	167.81	...	-22.31	5 of 5 - Sale <sup>25</sup>
02/18/21	100.000	1,454.96	Various	1,885.79	...	-430.83	Total of 5 transactions
	<b>Security total:</b>	<b>43,582.39</b>		<b>45,922.54</b>	<b>2,631.52 W</b>	<b>291.37</b>	
SOS LIMITED / CUSIP: 83587W106 / Symbol:							
	2 transactions for 02/11/21. Total proceeds and cost reported to the IRS.						
	1,000.000	5,640.15	02/11/21	5,980.25	68.02 W	-272.08	1 of 2 - Sale <sup>25</sup>
	1,000.000	5,649.75	02/11/21	5,770.00	120.25 W	0.00	2 of 2 - Sale <sup>25</sup>
02/11/21	2,000.000	11,289.90	Various	11,750.25	188.27 W	-272.08	Total of 2 transactions
	2 transactions for 02/17/21. Total proceeds and cost reported to the IRS.						
	100.000	1,429.97	02/17/21	1,540.51	...	-110.54	1 of 2 - Sale <sup>25</sup>
	100.000	1,238.46	02/17/21	1,325.00	...	-86.54	2 of 2 - Sale <sup>25</sup>
02/17/21	200.000	2,668.43	Various	2,865.51	...	-197.08	Total of 2 transactions
	<b>Security total:</b>	<b>13,958.33</b>		<b>14,615.76</b>	<b>188.27 W</b>	<b>-469.16</b>	
SPHERE 3D CORP. COMMON SHARES / CUSIP: 84841L308 / Symbol:							
	2 transactions for 10/13/21. Total proceeds and cost reported to the IRS.						
	300.000	2,462.98	10/13/21	2,519.85	...	-56.87	1 of 2 - Sale <sup>25</sup>
	100.000	820.99	10/13/21	849.77	...	-28.78	2 of 2 - Sale <sup>25</sup>
10/13/21	400.000	3,283.97	Various	3,369.62	...	-85.65	Total of 2 transactions

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Robinhood Securities LLC

Account 685320095

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
SPHERE 3D CORP. COMMON SHARES / CUSIP: 84841L308 / Symbol: (cont'd)							
10/13/21	200.000	1,641.97	10/13/21	1,679.90	18.97 W	-18.96	Sale <sup>25</sup>
	<b>Security total:</b>	<b>4,925.94</b>		<b>5,049.52</b>	<b>18.97 W</b>	<b>-104.61</b>	
SUNDIAL GROWERS INC. COMMON SHARES / CUSIP: 86730L109 / Symbol:							
<i>10 transactions for 01/05/21. Total proceeds and cost reported to the IRS.</i>							
	61.000	33.05	12/24/20	35.70	2.65 W	0.00	1 of 10 - Sale <sup>25</sup>
	1.000	0.54	01/04/21	0.57	0.03 W	0.00	2 of 10 - Sale <sup>25</sup>
	1.000	0.54	01/04/21	0.69	0.15 W	0.00	3 of 10 - Sale <sup>25</sup>
	1.000	0.54	01/04/21	0.90	0.36 W	0.00	4 of 10 - Sale <sup>25</sup>
	11.000	5.96	01/04/21	9.03	3.07 W	0.00	5 of 10 - Sale <sup>25</sup>
	21.000	11.38	01/04/21	16.86	5.48 W	0.00	6 of 10 - Sale <sup>25</sup>
	28.000	15.17	01/04/21	22.45	7.28 W	0.00	7 of 10 - Sale <sup>25</sup>
	200.000	108.37	01/04/21	122.27	13.90 W	0.00	8 of 10 - Sale <sup>25</sup>
	277.000	150.10	01/04/21	179.12	29.02 W	0.00	9 of 10 - Sale <sup>25</sup>
	409.000	221.63	01/04/21	250.04	28.41 W	0.00	10 of 10 - Sale <sup>25</sup>
01/05/21	1,010.000	547.28	Various	637.63	90.35 W	0.00	Total of 10 transactions
<i>32 transactions for 01/07/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	0.64	01/04/21	0.65	0.01 W	0.00	1 of 32 - Sale <sup>25</sup>
	1.000	0.64	01/06/21	0.75	0.11 W	0.00	2 of 32 - Sale <sup>25</sup>
	1.000	0.64	01/06/21	0.75	0.11 W	0.00	3 of 32 - Sale <sup>25</sup>
	1.000	0.64	01/06/21	0.75	0.11 W	0.00	4 of 32 - Sale <sup>25</sup>
	9.000	5.75	01/06/21	6.73	0.98 W	0.00	5 of 32 - Sale <sup>25</sup>
	9.000	5.75	01/06/21	6.73	0.98 W	0.00	6 of 32 - Sale <sup>25</sup>
	9.000	5.75	01/06/21	6.73	0.98 W	0.00	7 of 32 - Sale <sup>25</sup>
	39.000	24.93	01/06/21	30.05	5.12 W	0.00	8 of 32 - Sale <sup>25</sup>
	40.000	25.56	01/06/21	29.91	4.35 W	0.00	9 of 32 - Sale <sup>25</sup>
	41.000	26.20	01/06/21	30.65	4.45 W	0.00	10 of 32 - Sale <sup>25</sup>
	49.000	31.32	01/06/21	36.18	4.86 W	0.00	11 of 32 - Sale <sup>25</sup>
	50.000	31.96	01/06/21	37.38	5.42 W	0.00	12 of 32 - Sale <sup>25</sup>
	59.000	37.71	01/06/21	44.12	6.41 W	0.00	13 of 32 - Sale <sup>25</sup>
	60.000	38.35	01/06/21	45.89	7.54 W	0.00	14 of 32 - Sale <sup>25</sup>
	61.000	38.99	01/06/21	56.52	17.53 W	0.00	15 of 32 - Sale <sup>25</sup>
	79.000	50.49	01/06/21	58.33	7.84 W	0.00	16 of 32 - Sale <sup>25</sup>
	79.000	50.49	01/06/21	73.43	22.94 W	0.00	17 of 32 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol: (cont'd)							
	99.000	63.27	01/06/21	74.02	10.75 W	0.00	18 of 32 - Sale <sup>25</sup>
	99.000	63.28	01/06/21	74.01	10.73 W	0.00	19 of 32 - Sale <sup>25</sup>
	99.000	63.28	01/06/21	91.74	28.46 W	0.00	20 of 32 - Sale <sup>25</sup>
	100.000	63.91	01/06/21	74.76	10.85 W	0.00	21 of 32 - Sale <sup>25</sup>
	100.000	63.91	01/06/21	74.77	10.86 W	0.00	22 of 32 - Sale <sup>25</sup>
	100.000	63.91	01/06/21	74.77	10.86 W	0.00	23 of 32 - Sale <sup>25</sup>
	100.000	63.92	01/06/21	92.94	29.02 W	0.00	24 of 32 - Sale <sup>25</sup>
	140.000	89.48	01/06/21	129.71	40.23 W	0.00	25 of 32 - Sale <sup>25</sup>
	160.000	102.26	01/06/21	119.62	17.36 W	0.00	26 of 32 - Sale <sup>25</sup>
	160.000	102.27	01/06/21	148.25	45.98 W	0.00	27 of 32 - Sale <sup>25</sup>
	162.000	103.53	01/06/21	121.13	17.60 W	0.00	28 of 32 - Sale <sup>25</sup>
	191.000	122.07	01/06/21	142.81	20.74 W	0.00	29 of 32 - Sale <sup>25</sup>
	201.000	128.47	01/06/21	154.89	26.42 W	0.00	30 of 32 - Sale <sup>25</sup>
	260.000	166.18	01/06/21	200.36	34.18 W	0.00	31 of 32 - Sale <sup>25</sup>
	441.000	281.87	01/06/21	329.65	47.78 W	0.00	32 of 32 - Sale <sup>25</sup>
01/07/21	3,000.000	1,917.42	Various	2,368.98	451.56 W	0.00	Total of 32 transactions
	9 transactions for 01/11/21. Total proceeds and cost reported to the IRS.						
	1.000	0.70	01/06/21	0.74	0.04 W	0.00	1 of 9 - Sale <sup>25</sup>
	38.000	26.60	01/06/21	27.88	1.28 W	0.00	2 of 9 - Sale <sup>25</sup>
	91.000	63.71	01/06/21	66.76	3.05 W	0.00	3 of 9 - Sale <sup>25</sup>
	421.000	294.73	01/06/21	308.88	14.15 W	0.00	4 of 9 - Sale <sup>25</sup>
	9.000	6.30	01/07/21	6.89	0.59 W	0.00	5 of 9 - Sale <sup>25</sup>
	57.000	39.90	01/07/21	43.60	3.70 W	0.00	6 of 9 - Sale <sup>25</sup>
	61.000	42.70	01/07/21	46.65	3.95 W	0.00	7 of 9 - Sale <sup>25</sup>
	243.000	170.12	01/07/21	185.79	15.67 W	0.00	8 of 9 - Sale <sup>25</sup>
	279.000	195.32	01/07/21	213.37	18.05 W	0.00	9 of 9 - Sale <sup>25</sup>
01/11/21	1,200.000	840.08	Various	900.56	60.48 W	0.00	Total of 9 transactions
01/19/21	1.000	0.66	01/15/21	0.66	...	0.00	Sale <sup>25</sup>
	16 transactions for 01/19/21. Total proceeds and cost reported to the IRS.						
	1.000	0.66	01/07/21	0.76	0.10 W	0.00	1 of 16 - Sale <sup>25</sup>
	1.000	0.66	01/15/21	0.78	0.12 W	0.00	2 of 16 - Sale <sup>25</sup>
	1.000	0.66	01/15/21	0.99	0.33 W	0.00	3 of 16 - Sale <sup>25</sup>
	11.000	7.31	01/15/21	9.99	2.68 W	0.00	4 of 16 - Sale <sup>25</sup>
	12.000	7.98	01/15/21	8.76	0.78 W	0.00	5 of 16 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol: (cont'd)							
	21.000	13.96	01/15/21	18.69	4.73 W	0.00	6 of 16 - Sale <sup>25</sup>
	28.000	18.62	01/15/21	24.89	6.27 W	0.00	7 of 16 - Sale <sup>25</sup>
	38.000	25.26	01/15/21	27.72	2.46 W	0.00	8 of 16 - Sale <sup>25</sup>
	49.000	32.58	01/15/21	35.24	2.66 W	0.00	9 of 16 - Sale <sup>25</sup>
	61.000	40.56	01/15/21	41.02	0.46 W	0.00	10 of 16 - Sale <sup>25</sup>
	91.000	60.50	01/15/21	66.40	5.90 W	0.00	11 of 16 - Sale <sup>25</sup>
	100.000	66.49	01/15/21	73.02	6.53 W	0.00	12 of 16 - Sale <sup>25</sup>
	109.000	72.47	01/15/21	79.61	7.14 W	0.00	13 of 16 - Sale <sup>25</sup>
	129.000	85.77	01/15/21	93.50	7.73 W	0.00	14 of 16 - Sale <sup>25</sup>
	147.000	97.74	01/15/21	102.67	4.93 W	0.00	15 of 16 - Sale <sup>25</sup>
	200.000	132.98	01/15/21	139.70	6.72 W	0.00	16 of 16 - Sale <sup>25</sup>
01/19/21	999.000	664.20	Various	723.74	59.54 W	0.00	Total of 16 transactions
01/22/21	1.000	0.62	01/15/21	0.70	0.08 W	0.00	Sale <sup>25</sup>
	11 transactions for 01/29/21. Total proceeds and cost reported to the IRS.						
	1.000	0.81	01/27/21	0.77	...	0.04	1 of 11 - Sale <sup>25</sup>
	39.000	31.66	01/27/21	30.86	...	0.80	2 of 11 - Sale <sup>25</sup>
	160.000	127.98	01/27/21	126.63	...	1.35	3 of 11 - Sale <sup>25</sup>
	60.000	48.71	01/27/21	47.14	...	1.57	4 of 11 - Sale <sup>25</sup>
	201.000	160.77	01/27/21	159.08	...	1.69	5 of 11 - Sale <sup>25</sup>
	41.000	33.29	01/27/21	31.51	...	1.78	6 of 11 - Sale <sup>25</sup>
	100.000	81.19	01/27/21	79.15	...	2.04	7 of 11 - Sale <sup>25</sup>
	59.000	47.90	01/27/21	45.35	...	2.55	8 of 11 - Sale <sup>25</sup>
	99.000	80.37	01/27/21	76.07	...	4.30	9 of 11 - Sale <sup>25</sup>
	160.000	129.90	01/27/21	122.96	...	6.94	10 of 11 - Sale <sup>25</sup>
	441.000	358.03	01/27/21	338.84	...	19.19	11 of 11 - Sale <sup>25</sup>
01/29/21	1,361.000	1,100.61	Various	1,058.36	...	42.25	Total of 11 transactions
	6 transactions for 01/29/21. Total proceeds and cost reported to the IRS.						
	61.000	48.79	01/27/21	57.79	9.00 W	0.00	1 of 6 - Sale <sup>25</sup>
	79.000	63.19	01/27/21	75.08	11.89 W	0.00	2 of 6 - Sale <sup>25</sup>
	99.000	79.18	01/27/21	93.80	14.62 W	0.00	3 of 6 - Sale <sup>25</sup>
	100.000	79.99	01/27/21	95.02	15.03 W	0.00	4 of 6 - Sale <sup>25</sup>
	140.000	111.98	01/27/21	132.63	20.65 W	0.00	5 of 6 - Sale <sup>25</sup>
	160.000	127.98	01/27/21	151.58	23.60 W	0.00	6 of 6 - Sale <sup>25</sup>
01/29/21	639.000	511.11	Various	605.90	94.79 W	0.00	Total of 6 transactions

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol: (cont'd)							
<i>13 transactions for 02/01/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	1.12	01/27/21	0.77	...	0.35	1 of 13 - Sale <sup>25</sup>
	1.000	1.12	01/27/21	0.77	...	0.35	2 of 13 - Sale <sup>25</sup>
	1.000	1.12	01/27/21	0.67	...	0.45	3 of 13 - Sale <sup>25</sup>
	9.000	10.08	01/27/21	6.92	...	3.16	4 of 13 - Sale <sup>25</sup>
	9.000	10.08	01/27/21	6.92	...	3.16	5 of 13 - Sale <sup>25</sup>
	14.000	15.68	01/27/21	10.76	...	4.92	6 of 13 - Sale <sup>25</sup>
	40.000	44.79	01/27/21	30.75	...	14.04	7 of 13 - Sale <sup>25</sup>
	50.000	55.99	01/27/21	38.42	...	17.57	8 of 13 - Sale <sup>25</sup>
	61.000	68.31	01/27/21	46.88	...	21.43	9 of 13 - Sale <sup>25</sup>
	85.000	95.19	01/27/21	65.33	...	29.86	10 of 13 - Sale <sup>25</sup>
	191.000	213.89	01/27/21	146.80	...	67.09	11 of 13 - Sale <sup>25</sup>
	276.000	309.08	01/27/21	211.08	...	98.00	12 of 13 - Sale <sup>25</sup>
	261.000	292.28	01/27/21	190.39	...	101.89	13 of 13 - Sale <sup>25</sup>
02/01/21	999.000	1,118.73	Various	756.46	...	362.27	Total of 13 transactions
02/03/21	1.000	1.16	01/27/21	0.76	...	0.40	Sale <sup>25</sup>
<i>14 transactions for 02/03/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	1.16	02/01/21	1.28	0.12 W	0.00	1 of 14 - Sale <sup>25</sup>
	1.000	1.16	02/01/21	1.35	0.19 W	0.00	2 of 14 - Sale <sup>25</sup>
	9.000	10.45	02/01/21	12.19	1.74 W	0.00	3 of 14 - Sale <sup>25</sup>
	19.000	22.07	02/01/21	24.58	2.51 W	0.00	4 of 14 - Sale <sup>25</sup>
	38.000	44.14	02/01/21	48.59	4.45 W	0.00	5 of 14 - Sale <sup>25</sup>
	38.000	44.14	02/01/21	51.44	7.30 W	0.00	6 of 14 - Sale <sup>25</sup>
	49.000	56.91	02/01/21	65.86	8.95 W	0.00	7 of 14 - Sale <sup>25</sup>
	52.000	60.40	02/01/21	70.39	9.99 W	0.00	8 of 14 - Sale <sup>25</sup>
	79.000	91.77	02/01/21	106.20	14.43 W	0.00	9 of 14 - Sale <sup>25</sup>
	91.000	105.70	02/01/21	116.35	10.65 W	0.00	10 of 14 - Sale <sup>25</sup>
	100.000	116.15	02/01/21	135.36	19.21 W	0.00	11 of 14 - Sale <sup>25</sup>
	100.000	116.16	02/01/21	135.35	19.19 W	0.00	12 of 14 - Sale <sup>25</sup>
	110.000	127.77	02/01/21	148.90	21.13 W	0.00	13 of 14 - Sale <sup>25</sup>
	202.000	234.63	02/01/21	258.28	23.65 W	0.00	14 of 14 - Sale <sup>25</sup>
02/03/21	889.000	1,032.61	Various	1,176.12	143.51 W	0.00	Total of 14 transactions

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol: (cont'd)							
26 transactions for 02/05/21. Total proceeds and cost reported to the IRS.							
	1.000	1.15	02/01/21	1.29	0.14 W	0.00	1 of 26 - Sale <sup>25</sup>
	1.000	1.15	02/05/21	1.25	0.10 W	0.00	2 of 26 - Sale <sup>25</sup>
	1.000	1.15	02/05/21	1.27	0.12 W	0.00	3 of 26 - Sale <sup>25</sup>
	1.000	1.15	02/05/21	1.29	0.14 W	0.00	4 of 26 - Sale <sup>25</sup>
	1.000	1.15	02/05/21	1.50	0.35 W	0.00	5 of 26 - Sale <sup>25</sup>
	9.000	10.35	02/05/21	11.11	0.76 W	0.00	6 of 26 - Sale <sup>25</sup>
	11.000	12.65	02/05/21	15.54	2.89 W	0.00	7 of 26 - Sale <sup>25</sup>
	12.000	13.80	02/05/21	14.81	1.01 W	0.00	8 of 26 - Sale <sup>25</sup>
	21.000	24.15	02/05/21	29.28	5.13 W	0.00	9 of 26 - Sale <sup>25</sup>
	28.000	32.20	02/05/21	39.00	6.80 W	0.00	10 of 26 - Sale <sup>25</sup>
	38.000	43.69	02/05/21	46.88	3.19 W	0.00	11 of 26 - Sale <sup>25</sup>
	49.000	56.34	02/05/21	59.94	3.60 W	0.00	12 of 26 - Sale <sup>25</sup>
	57.000	65.54	02/05/21	70.33	4.79 W	0.00	13 of 26 - Sale <sup>25</sup>
	61.000	70.14	02/05/21	71.76	1.62 W	0.00	14 of 26 - Sale <sup>25</sup>
	61.000	70.14	02/05/21	75.25	5.11 W	0.00	15 of 26 - Sale <sup>25</sup>
	73.000	83.94	02/05/21	96.30	12.36 W	0.00	16 of 26 - Sale <sup>25</sup>
	79.000	90.84	02/05/21	104.23	13.39 W	0.00	17 of 26 - Sale <sup>25</sup>
	91.000	104.64	02/05/21	112.27	7.63 W	0.00	18 of 26 - Sale <sup>25</sup>
	100.000	114.98	02/05/21	123.42	8.44 W	0.00	19 of 26 - Sale <sup>25</sup>
	109.000	125.33	02/05/21	134.55	9.22 W	0.00	20 of 26 - Sale <sup>25</sup>
	129.000	148.33	02/05/21	158.52	10.19 W	0.00	21 of 26 - Sale <sup>25</sup>
	146.000	167.88	02/05/21	175.55	7.67 W	0.00	22 of 26 - Sale <sup>25</sup>
	199.000	228.82	02/05/21	239.30	10.48 W	0.00	23 of 26 - Sale <sup>25</sup>
	200.000	229.97	02/05/21	240.50	10.53 W	0.00	24 of 26 - Sale <sup>25</sup>
	243.000	279.41	02/05/21	299.71	20.30 W	0.00	25 of 26 - Sale <sup>25</sup>
	279.000	320.81	02/05/21	344.17	23.36 W	0.00	26 of 26 - Sale <sup>25</sup>
02/05/21	2,000.000	2,299.70	Various	2,469.02	169.32 W	0.00	Total of 26 transactions
36 transactions for 02/09/21. Total proceeds and cost reported to the IRS.							
	1.000	1.62	02/05/21	1.20	...	0.42	1 of 36 - Sale <sup>25</sup>
	1.000	1.62	02/08/21	1.49	...	0.13	2 of 36 - Sale <sup>25</sup>
	1.000	1.62	02/08/21	1.33	...	0.29	3 of 36 - Sale <sup>25</sup>
	1.000	1.62	02/08/21	1.28	...	0.34	4 of 36 - Sale <sup>25</sup>
	1.000	1.62	02/08/21	1.28	...	0.34	5 of 36 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol: (cont'd)							
	1.000	1.62	02/08/21	1.26	...	0.36	6 of 36 - Sale <sup>25</sup>
	1.000	1.62	02/08/21	1.26	...	0.36	7 of 36 - Sale <sup>25</sup>
	1.000	1.68	02/08/21	1.32	...	0.36	8 of 36 - Sale <sup>25</sup>
	2.000	3.24	02/08/21	2.58	...	0.66	9 of 36 - Sale <sup>25</sup>
	3.000	4.86	02/08/21	4.00	...	0.86	10 of 36 - Sale <sup>25</sup>
	7.000	11.35	02/08/21	9.32	...	2.03	11 of 36 - Sale <sup>25</sup>
	11.000	17.83	02/08/21	15.43	...	2.40	12 of 36 - Sale <sup>25</sup>
	9.000	14.59	02/08/21	12.00	...	2.59	13 of 36 - Sale <sup>25</sup>
	9.000	14.59	02/08/21	11.61	...	2.98	14 of 36 - Sale <sup>25</sup>
	13.000	21.07	02/08/21	17.31	...	3.76	15 of 36 - Sale <sup>25</sup>
	14.000	22.69	02/08/21	18.65	...	4.04	16 of 36 - Sale <sup>25</sup>
	21.000	34.04	02/08/21	29.07	...	4.97	17 of 36 - Sale <sup>25</sup>
	18.000	29.18	02/08/21	23.23	...	5.95	18 of 36 - Sale <sup>25</sup>
	19.000	30.80	02/08/21	24.17	...	6.63	19 of 36 - Sale <sup>25</sup>
	28.000	45.39	02/08/21	38.72	...	6.67	20 of 36 - Sale <sup>25</sup>
	28.000	45.39	02/08/21	37.30	...	8.09	21 of 36 - Sale <sup>25</sup>
	27.000	43.77	02/08/21	34.77	...	9.00	22 of 36 - Sale <sup>25</sup>
	38.000	61.60	02/08/21	50.62	...	10.98	23 of 36 - Sale <sup>25</sup>
	38.000	61.59	02/08/21	47.77	...	13.82	24 of 36 - Sale <sup>25</sup>
	48.000	77.81	02/08/21	63.49	...	14.32	25 of 36 - Sale <sup>25</sup>
	60.000	97.26	02/08/21	78.57	...	18.69	26 of 36 - Sale <sup>25</sup>
	61.000	98.88	02/08/21	78.54	...	20.34	27 of 36 - Sale <sup>25</sup>
	79.000	128.05	02/08/21	104.49	...	23.56	28 of 36 - Sale <sup>25</sup>
	72.000	116.71	02/08/21	92.71	...	24.00	29 of 36 - Sale <sup>25</sup>
	87.000	141.02	02/08/21	115.88	...	25.14	30 of 36 - Sale <sup>25</sup>
	100.000	162.09	02/08/21	133.21	...	28.88	31 of 36 - Sale <sup>25</sup>
	110.000	178.30	02/08/21	146.53	...	31.77	32 of 36 - Sale <sup>25</sup>
	91.000	147.50	02/08/21	114.39	...	33.11	33 of 36 - Sale <sup>25</sup>
	140.000	226.93	02/08/21	180.25	...	46.68	34 of 36 - Sale <sup>25</sup>
	158.000	256.11	02/08/21	203.42	...	52.69	35 of 36 - Sale <sup>25</sup>
	202.000	327.43	02/08/21	253.93	...	73.50	36 of 36 - Sale <sup>25</sup>
02/09/21	1,501.000	2,433.09	Various	1,952.38	...	480.71	Total of 36 transactions

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol: (cont'd)							
8 transactions for 02/09/21. Total proceeds and cost reported to the IRS.							
	2.000	3.36	02/09/21	3.57	0.21 W	0.00	1 of 8 - Sale <sup>25</sup>
	9.000	15.12	02/09/21	16.05	0.93 W	0.00	2 of 8 - Sale <sup>25</sup>
	19.000	31.92	02/09/21	35.50	3.58 W	0.00	3 of 8 - Sale <sup>25</sup>
	57.000	95.75	02/09/21	101.61	5.86 W	0.00	4 of 8 - Sale <sup>25</sup>
	61.000	102.47	02/09/21	105.24	2.77 W	0.00	5 of 8 - Sale <sup>25</sup>
	73.000	122.63	02/09/21	136.36	13.73 W	0.00	6 of 8 - Sale <sup>25</sup>
	199.000	334.29	02/09/21	348.52	14.23 W	0.00	7 of 8 - Sale <sup>25</sup>
	279.000	468.67	02/09/21	497.30	28.63 W	0.00	8 of 8 - Sale <sup>25</sup>
02/09/21	699.000	1,174.21	Various	1,244.15	69.94 W	0.00	Total of 8 transactions
50 transactions for 02/10/21. Total proceeds and cost reported to the IRS.							
	1.000	2.14	02/09/21	1.78	...	0.36	1 of 50 - Sale <sup>25</sup>
	1.000	2.43	02/10/21	2.38	...	0.05	2 of 50 - Sale <sup>25</sup>
	1.000	2.43	02/10/21	2.36	...	0.07	3 of 50 - Sale <sup>25</sup>
	1.000	2.40	02/10/21	2.25	...	0.15	4 of 50 - Sale <sup>25</sup>
	1.000	3.37	02/10/21	3.21	...	0.16	5 of 50 - Sale <sup>25</sup>
	1.000	2.40	02/10/21	2.23	...	0.17	6 of 50 - Sale <sup>25</sup>
	2.000	5.24	02/10/21	5.07	...	0.17	7 of 50 - Sale <sup>25</sup>
	1.000	2.40	02/10/21	2.16	...	0.24	8 of 50 - Sale <sup>25</sup>
	1.000	2.95	02/10/21	2.66	...	0.29	9 of 50 - Sale <sup>25</sup>
	1.000	3.13	02/10/21	2.84	...	0.29	10 of 50 - Sale <sup>25</sup>
	1.000	2.62	02/10/21	2.30	...	0.32	11 of 50 - Sale <sup>25</sup>
	1.000	2.84	02/10/21	2.43	...	0.41	12 of 50 - Sale <sup>25</sup>
	1.000	3.13	02/10/21	2.70	...	0.43	13 of 50 - Sale <sup>25</sup>
	19.000	45.60	02/10/21	44.89	...	0.71	14 of 50 - Sale <sup>25</sup>
	9.000	23.58	02/10/21	22.76	...	0.82	15 of 50 - Sale <sup>25</sup>
	1.000	3.55	02/10/21	2.70	...	0.85	16 of 50 - Sale <sup>25</sup>
	12.000	29.17	02/10/21	28.30	...	0.87	17 of 50 - Sale <sup>25</sup>
	25.000	65.50	02/10/21	64.37	...	1.13	18 of 50 - Sale <sup>25</sup>
	99.000	259.36	02/10/21	256.91	...	2.45	19 of 50 - Sale <sup>25</sup>
	73.000	175.19	02/10/21	172.44	...	2.75	20 of 50 - Sale <sup>25</sup>
	38.000	92.37	02/10/21	89.61	...	2.76	21 of 50 - Sale <sup>25</sup>
	39.000	94.81	02/10/21	91.99	...	2.82	22 of 50 - Sale <sup>25</sup>
	28.000	73.36	02/10/21	69.41	...	3.95	23 of 50 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol: (cont'd)							
	49.000	119.11	02/10/21	115.03	...	4.08	24 of 50 - Sale <sup>25</sup>
	30.000	78.59	02/10/21	74.16	...	4.43	25 of 50 - Sale <sup>25</sup>
	61.000	148.29	02/10/21	143.86	...	4.43	26 of 50 - Sale <sup>25</sup>
	61.000	148.29	02/10/21	143.83	...	4.46	27 of 50 - Sale <sup>25</sup>
	57.000	149.33	02/10/21	144.17	...	5.16	28 of 50 - Sale <sup>25</sup>
	31.000	74.39	02/10/21	68.81	...	5.58	29 of 50 - Sale <sup>25</sup>
	91.000	221.21	02/10/21	214.57	...	6.64	30 of 50 - Sale <sup>25</sup>
	109.000	264.97	02/10/21	257.10	...	7.87	31 of 50 - Sale <sup>25</sup>
	115.000	279.56	02/10/21	271.12	...	8.44	32 of 50 - Sale <sup>25</sup>
	129.000	313.59	02/10/21	303.54	...	10.05	33 of 50 - Sale <sup>25</sup>
	169.000	410.82	02/10/21	393.20	...	17.62	34 of 50 - Sale <sup>25</sup>
	45.000	140.84	02/10/21	121.50	...	19.34	35 of 50 - Sale <sup>25</sup>
	47.000	147.10	02/10/21	126.90	...	20.20	36 of 50 - Sale <sup>25</sup>
	146.000	382.49	02/10/21	361.94	...	20.55	37 of 50 - Sale <sup>25</sup>
	199.000	521.34	02/10/21	497.08	...	24.26	38 of 50 - Sale <sup>25</sup>
	279.000	730.93	02/10/21	705.60	...	25.33	39 of 50 - Sale <sup>25</sup>
	266.000	784.94	02/10/21	755.44	...	29.50	40 of 50 - Sale <sup>25</sup>
	100.000	354.98	02/10/21	321.00	...	33.98	41 of 50 - Sale <sup>25</sup>
	106.000	331.76	02/10/21	286.20	...	45.56	42 of 50 - Sale <sup>25</sup>
	199.000	706.41	02/10/21	638.79	...	67.62	43 of 50 - Sale <sup>25</sup>
	200.000	709.96	02/10/21	642.00	...	67.96	44 of 50 - Sale <sup>25</sup>
	499.000	1,197.51	02/10/21	1,096.93	...	100.58	45 of 50 - Sale <sup>25</sup>
	625.000	1,637.39	02/10/21	1,518.75	...	118.64	46 of 50 - Sale <sup>25</sup>
	1,233.000	3,638.47	02/10/21	3,501.72	...	136.75	47 of 50 - Sale <sup>25</sup>
	400.000	1,251.92	02/10/21	1,080.00	...	171.92	48 of 50 - Sale <sup>25</sup>
	1,479.000	4,207.48	02/10/21	3,932.51	...	274.97	49 of 50 - Sale <sup>25</sup>
	900.000	2,816.83	02/10/21	2,430.00	...	386.83	50 of 50 - Sale <sup>25</sup>
02/10/21	7,982.000	22,668.47	Various	21,023.50	...	1,644.97	Total of 50 transactions
	63 transactions for 02/10/21. Total proceeds and cost reported to the IRS.						
	1.000	2.13	02/10/21	2.28	0.15 W	0.00	1 of 63 - Sale <sup>25</sup>
	1.000	2.16	02/10/21	2.33	0.17 W	0.00	2 of 63 - Sale <sup>25</sup>
	1.000	2.22	02/10/21	2.28	0.06 W	0.00	3 of 63 - Sale <sup>25</sup>
	1.000	2.22	02/10/21	2.35	0.13 W	0.00	4 of 63 - Sale <sup>25</sup>
	1.000	2.22	02/10/21	2.37	0.15 W	0.00	5 of 63 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol: (cont'd)							
	1.000	2.22	02/10/21	2.50	0.28 W	0.00	6 of 63 - Sale <sup>25</sup>
	1.000	2.40	02/10/21	2.60	0.20 W	0.00	7 of 63 - Sale <sup>25</sup>
	1.000	2.43	02/10/21	2.50	0.07 W	0.00	8 of 63 - Sale <sup>25</sup>
	1.000	2.43	02/10/21	2.52	0.09 W	0.00	9 of 63 - Sale <sup>25</sup>
	2.000	4.26	02/10/21	4.95	0.69 W	0.00	10 of 63 - Sale <sup>25</sup>
	2.000	4.44	02/10/21	5.25	0.81 W	0.00	11 of 63 - Sale <sup>25</sup>
	2.000	4.80	02/10/21	5.01	0.21 W	0.00	12 of 63 - Sale <sup>25</sup>
	9.000	19.18	02/10/21	22.26	3.08 W	0.00	13 of 63 - Sale <sup>25</sup>
	9.000	19.98	02/10/21	23.58	3.60 W	0.00	14 of 63 - Sale <sup>25</sup>
	9.000	21.60	02/10/21	22.49	0.89 W	0.00	15 of 63 - Sale <sup>25</sup>
	12.000	25.92	02/10/21	27.71	1.79 W	0.00	16 of 63 - Sale <sup>25</sup>
	9.000	30.33	02/10/21	30.96	0.63 W	0.00	17 of 63 - Sale <sup>25</sup>
	19.000	40.49	02/10/21	48.61	8.12 W	0.00	18 of 63 - Sale <sup>25</sup>
	19.000	42.18	02/10/21	47.19	5.01 W	0.00	19 of 63 - Sale <sup>25</sup>
	19.000	46.19	02/10/21	50.09	3.90 W	0.00	20 of 63 - Sale <sup>25</sup>
	25.000	53.27	02/10/21	62.97	9.70 W	0.00	21 of 63 - Sale <sup>25</sup>
	25.000	55.50	02/10/21	66.65	11.15 W	0.00	22 of 63 - Sale <sup>25</sup>
	25.000	60.00	02/10/21	63.62	3.62 W	0.00	23 of 63 - Sale <sup>25</sup>
	28.000	62.16	02/10/21	71.96	9.80 W	0.00	24 of 63 - Sale <sup>25</sup>
	30.000	63.93	02/10/21	72.46	8.53 W	0.00	25 of 63 - Sale <sup>25</sup>
	30.000	63.93	02/10/21	72.68	8.75 W	0.00	26 of 63 - Sale <sup>25</sup>
	31.000	66.06	02/10/21	74.88	8.82 W	0.00	27 of 63 - Sale <sup>25</sup>
	30.000	66.59	02/10/21	76.87	10.28 W	0.00	28 of 63 - Sale <sup>25</sup>
	28.000	67.20	02/10/21	68.57	1.37 W	0.00	29 of 63 - Sale <sup>25</sup>
	31.000	68.81	02/10/21	72.55	3.74 W	0.00	30 of 63 - Sale <sup>25</sup>
	30.000	71.99	02/10/21	73.25	1.26 W	0.00	31 of 63 - Sale <sup>25</sup>
	31.000	75.36	02/10/21	77.29	1.93 W	0.00	32 of 63 - Sale <sup>25</sup>
	38.000	82.07	02/10/21	87.74	5.67 W	0.00	33 of 63 - Sale <sup>25</sup>
	39.000	84.23	02/10/21	90.07	5.84 W	0.00	34 of 63 - Sale <sup>25</sup>
	49.000	105.83	02/10/21	112.62	6.79 W	0.00	35 of 63 - Sale <sup>25</sup>
	57.000	121.46	02/10/21	140.95	19.49 W	0.00	36 of 63 - Sale <sup>25</sup>
	57.000	126.53	02/10/21	149.34	22.81 W	0.00	37 of 63 - Sale <sup>25</sup>
	61.000	131.75	02/10/21	140.84	9.09 W	0.00	38 of 63 - Sale <sup>25</sup>
	61.000	131.75	02/10/21	140.87	9.12 W	0.00	39 of 63 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol: (cont'd)							
	57.000	136.79	02/10/21	142.45	5.66 W	0.00	40 of 63 - Sale <sup>25</sup>
	73.000	155.56	02/10/21	186.74	31.18 W	0.00	41 of 63 - Sale <sup>25</sup>
	73.000	162.05	02/10/21	181.27	19.22 W	0.00	42 of 63 - Sale <sup>25</sup>
	73.000	177.46	02/10/21	192.43	14.97 W	0.00	43 of 63 - Sale <sup>25</sup>
	91.000	196.54	02/10/21	210.10	13.56 W	0.00	44 of 63 - Sale <sup>25</sup>
	99.000	210.97	02/10/21	251.33	40.36 W	0.00	45 of 63 - Sale <sup>25</sup>
	99.000	211.85	02/10/21	228.55	16.70 W	0.00	46 of 63 - Sale <sup>25</sup>
	99.000	219.76	02/10/21	265.89	46.13 W	0.00	47 of 63 - Sale <sup>25</sup>
	109.000	235.42	02/10/21	251.75	16.33 W	0.00	48 of 63 - Sale <sup>25</sup>
	99.000	237.58	02/10/21	253.92	16.34 W	0.00	49 of 63 - Sale <sup>25</sup>
	129.000	278.62	02/10/21	297.21	18.59 W	0.00	50 of 63 - Sale <sup>25</sup>
	141.000	304.53	02/10/21	325.50	20.97 W	0.00	51 of 63 - Sale <sup>25</sup>
	146.000	311.11	02/10/21	353.69	42.58 W	0.00	52 of 63 - Sale <sup>25</sup>
	146.000	324.09	02/10/21	375.18	51.09 W	0.00	53 of 63 - Sale <sup>25</sup>
	146.000	350.37	02/10/21	357.53	7.16 W	0.00	54 of 63 - Sale <sup>25</sup>
	169.000	365.02	02/10/21	384.92	19.90 W	0.00	55 of 63 - Sale <sup>25</sup>
	199.000	424.05	02/10/21	485.86	61.81 W	0.00	56 of 63 - Sale <sup>25</sup>
	199.000	441.75	02/10/21	515.15	73.40 W	0.00	57 of 63 - Sale <sup>25</sup>
	199.000	477.57	02/10/21	491.08	13.51 W	0.00	58 of 63 - Sale <sup>25</sup>
	279.000	594.53	02/10/21	689.86	95.33 W	0.00	59 of 63 - Sale <sup>25</sup>
	279.000	619.33	02/10/21	730.92	111.59 W	0.00	60 of 63 - Sale <sup>25</sup>
	279.000	669.55	02/10/21	697.18	27.63 W	0.00	61 of 63 - Sale <sup>25</sup>
	499.000	1,107.69	02/10/21	1,157.28	49.59 W	0.00	62 of 63 - Sale <sup>25</sup>
	490.000	1,651.20	02/10/21	1,685.60	34.40 W	0.00	63 of 63 - Sale <sup>25</sup>
02/10/21	4,998.000	11,701.61	Various	12,737.40	1,035.79 W	0.00	Total of 63 transactions
	4 transactions for 02/11/21. Total proceeds and cost reported to the IRS.						
	1.000	3.75	02/11/21	3.67	...	0.08	1 of 4 - Sale <sup>25</sup>
	1.000	3.75	02/11/21	3.66	...	0.09	2 of 4 - Sale <sup>25</sup>
	69.000	258.74	02/11/21	254.22	...	4.52	3 of 4 - Sale <sup>25</sup>
	399.000	1,496.16	02/11/21	1,470.12	...	26.04	4 of 4 - Sale <sup>25</sup>
02/11/21	470.000	1,762.40	Various	1,731.67	...	30.73	Total of 4 transactions
	29 transactions for 02/11/21. Total proceeds and cost reported to the IRS.						
	1.000	3.20	02/10/21	3.44	0.24 W	0.00	1 of 29 - Sale <sup>25</sup>
	1.000	3.39	02/10/21	3.71	0.32 W	0.00	2 of 29 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol: (cont'd)							
	9.000	28.80	02/10/21	31.86	3.06 W	0.00	3 of 29 - Sale <sup>25</sup>
	490.000	1,567.90	02/10/21	1,734.70	166.80 W	0.00	4 of 29 - Sale <sup>25</sup>
	1.000	2.51	02/11/21	2.95	0.44 W	0.00	5 of 29 - Sale <sup>25</sup>
	1.000	2.51	02/11/21	3.84	1.33 W	0.00	6 of 29 - Sale <sup>25</sup>
	1.000	2.77	02/11/21	3.87	1.10 W	0.00	7 of 29 - Sale <sup>25</sup>
	1.000	2.77	02/11/21	4.05	1.28 W	0.00	8 of 29 - Sale <sup>25</sup>
	1.000	3.39	02/11/21	3.64	0.25 W	0.00	9 of 29 - Sale <sup>25</sup>
	1.000	3.42	02/11/21	3.68	0.26 W	0.00	10 of 29 - Sale <sup>25</sup>
	8.000	20.08	02/11/21	25.54	5.46 W	0.00	11 of 29 - Sale <sup>25</sup>
	8.000	22.16	02/11/21	27.22	5.06 W	0.00	12 of 29 - Sale <sup>25</sup>
	8.000	27.16	02/11/21	29.28	2.12 W	0.00	13 of 29 - Sale <sup>25</sup>
	8.000	27.36	02/11/21	30.99	3.63 W	0.00	14 of 29 - Sale <sup>25</sup>
	21.000	52.71	02/11/21	67.07	14.36 W	0.00	15 of 29 - Sale <sup>25</sup>
	21.000	58.17	02/11/21	71.48	13.31 W	0.00	16 of 29 - Sale <sup>25</sup>
	21.000	71.82	02/11/21	81.37	9.55 W	0.00	17 of 29 - Sale <sup>25</sup>
	50.000	125.49	02/11/21	136.96	11.47 W	0.00	18 of 29 - Sale <sup>25</sup>
	50.000	138.49	02/11/21	147.45	8.96 W	0.00	19 of 29 - Sale <sup>25</sup>
	91.000	308.94	02/11/21	333.10	24.16 W	0.00	20 of 29 - Sale <sup>25</sup>
	223.000	559.69	02/11/21	610.82	51.13 W	0.00	21 of 29 - Sale <sup>25</sup>
	226.000	567.22	02/11/21	619.04	51.82 W	0.00	22 of 29 - Sale <sup>25</sup>
	223.000	617.66	02/11/21	657.60	39.94 W	0.00	23 of 29 - Sale <sup>25</sup>
	226.000	625.97	02/11/21	666.45	40.48 W	0.00	24 of 29 - Sale <sup>25</sup>
	470.000	1,179.61	02/11/21	1,376.21	196.60 W	0.00	25 of 29 - Sale <sup>25</sup>
	500.000	1,254.91	02/11/21	1,280.00	25.09 W	0.00	26 of 29 - Sale <sup>25</sup>
	470.000	1,301.80	02/11/21	1,474.81	173.01 W	0.00	27 of 29 - Sale <sup>25</sup>
	399.000	1,354.52	02/11/21	1,460.50	105.98 W	0.00	28 of 29 - Sale <sup>25</sup>
	470.000	1,607.35	02/11/21	1,696.18	88.83 W	0.00	29 of 29 - Sale <sup>25</sup>
02/11/21	4,000.000	11,541.77	Various	12,587.81	1,046.04 W	0.00	Total of 29 transactions
02/16/21	1.000	2.20	02/16/21	2.18	...	0.02	Sale <sup>25</sup>
14 transactions for 02/16/21. Total proceeds and cost reported to the IRS.							
	1.000	2.18	02/11/21	3.00	0.82 W	0.00	1 of 14 - Sale <sup>25</sup>
	1.000	2.18	02/16/21	3.46	1.28 W	0.00	2 of 14 - Sale <sup>25</sup>
	1.000	2.20	02/16/21	3.05	0.85 W	0.00	3 of 14 - Sale <sup>25</sup>
	1.000	2.20	02/16/21	3.51	1.31 W	0.00	4 of 14 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol: (cont'd)							
	8.000	17.44	02/16/21	22.50	5.06 W	0.00	5 of 14 - Sale <sup>25</sup>
	8.000	17.60	02/16/21	22.90	5.30 W	0.00	6 of 14 - Sale <sup>25</sup>
	21.000	45.78	02/16/21	59.09	13.31 W	0.00	7 of 14 - Sale <sup>25</sup>
	21.000	46.20	02/16/21	60.14	13.94 W	0.00	8 of 14 - Sale <sup>25</sup>
	50.000	108.99	02/16/21	117.97	8.98 W	0.00	9 of 14 - Sale <sup>25</sup>
	223.000	486.10	02/16/21	526.12	40.02 W	0.00	10 of 14 - Sale <sup>25</sup>
	226.000	492.64	02/16/21	533.20	40.56 W	0.00	11 of 14 - Sale <sup>25</sup>
	470.000	1,024.52	02/16/21	1,197.70	173.18 W	0.00	12 of 14 - Sale <sup>25</sup>
	469.000	1,031.72	02/16/21	1,218.68	186.96 W	0.00	13 of 14 - Sale <sup>25</sup>
	499.000	1,097.71	02/16/21	1,137.81	40.10 W	0.00	14 of 14 - Sale <sup>25</sup>
02/16/21	1,999.000	4,377.46	Various	4,909.13	531.67 W	0.00	Total of 14 transactions
22 transactions for 02/18/21. Total proceeds and cost reported to the IRS.							
	1.000	1.75	02/16/21	2.60	0.85 W	0.00	1 of 22 - Sale <sup>25</sup>
	1.000	1.75	02/17/21	1.81	0.06 W	0.00	2 of 22 - Sale <sup>25</sup>
	1.000	1.75	02/17/21	2.66	0.91 W	0.00	3 of 22 - Sale <sup>25</sup>
	1.000	1.75	02/17/21	3.12	1.37 W	0.00	4 of 22 - Sale <sup>25</sup>
	1.000	1.78	02/17/21	2.66	0.88 W	0.00	5 of 22 - Sale <sup>25</sup>
	8.000	14.00	02/17/21	19.78	5.78 W	0.00	6 of 22 - Sale <sup>25</sup>
	21.000	36.75	02/17/21	51.95	15.20 W	0.00	7 of 22 - Sale <sup>25</sup>
	50.000	87.49	02/17/21	99.48	11.99 W	0.00	8 of 22 - Sale <sup>25</sup>
	223.000	390.21	02/17/21	443.65	53.44 W	0.00	9 of 22 - Sale <sup>25</sup>
	226.000	395.46	02/17/21	449.62	54.16 W	0.00	10 of 22 - Sale <sup>25</sup>
	469.000	820.68	02/17/21	1,035.85	215.17 W	0.00	11 of 22 - Sale <sup>25</sup>
	499.000	873.17	02/17/21	943.29	70.12 W	0.00	12 of 22 - Sale <sup>25</sup>
	1.000	1.78	02/18/21	1.87	0.09 W	0.00	13 of 22 - Sale <sup>25</sup>
	1.000	1.78	02/18/21	2.73	0.95 W	0.00	14 of 22 - Sale <sup>25</sup>
	1.000	1.78	02/18/21	3.18	1.40 W	0.00	15 of 22 - Sale <sup>25</sup>
	8.000	14.24	02/18/21	20.30	6.06 W	0.00	16 of 22 - Sale <sup>25</sup>
	21.000	37.38	02/18/21	53.31	15.93 W	0.00	17 of 22 - Sale <sup>25</sup>
	50.000	88.99	02/18/21	102.74	13.75 W	0.00	18 of 22 - Sale <sup>25</sup>
	223.000	396.90	02/18/21	458.19	61.29 W	0.00	19 of 22 - Sale <sup>25</sup>
	226.000	402.24	02/18/21	464.35	62.11 W	0.00	20 of 22 - Sale <sup>25</sup>
	469.000	834.75	02/18/21	1,066.41	231.66 W	0.00	21 of 22 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol: (cont'd)							
02/18/21	499.000	888.14	02/18/21	975.80	87.66 W	0.00	22 of 22 - Sale <sup>25</sup>
	3,000.000	5,294.52	Various	6,205.35	910.83 W	0.00	Total of 22 transactions
	13 transactions for 02/19/21. Total proceeds and cost reported to the IRS.						
	200.000	299.98	02/19/21	432.81	...	-132.83	1 of 13 - Sale <sup>25</sup>
	100.000	149.99	02/19/21	216.40	...	-66.41	2 of 13 - Sale <sup>25</sup>
	39.000	58.49	02/19/21	84.40	...	-25.91	3 of 13 - Sale <sup>25</sup>
	35.000	52.50	02/19/21	75.74	...	-23.24	4 of 13 - Sale <sup>25</sup>
	30.000	45.00	02/19/21	64.92	...	-19.92	5 of 13 - Sale <sup>25</sup>
	30.000	45.00	02/19/21	64.92	...	-19.92	6 of 13 - Sale <sup>25</sup>
	99.000	148.49	02/19/21	165.34	...	-16.85	7 of 13 - Sale <sup>25</sup>
	19.000	28.50	02/19/21	41.12	...	-12.62	8 of 13 - Sale <sup>25</sup>
	10.000	15.00	02/19/21	21.64	...	-6.64	9 of 13 - Sale <sup>25</sup>
	2.000	3.00	02/19/21	4.33	...	-1.33	10 of 13 - Sale <sup>25</sup>
	2.000	3.00	02/19/21	4.33	...	-1.33	11 of 13 - Sale <sup>25</sup>
	2.000	3.00	02/19/21	4.33	...	-1.33	12 of 13 - Sale <sup>25</sup>
	1.000	1.50	02/19/21	1.76	...	-0.26	13 of 13 - Sale <sup>25</sup>
02/19/21	569.000	853.45	Various	1,182.04	...	-328.59	Total of 13 transactions
	43 transactions for 02/19/21. Total proceeds and cost reported to the IRS.						
	1.000	1.62	02/18/21	2.70	1.08 W	0.00	1 of 43 - Sale <sup>25</sup>
	189.000	283.48	02/19/21	348.86	34.95 W	-30.43	2 of 43 - Sale <sup>25</sup>
	1.000	1.50	02/19/21	1.72	0.22 W	0.00	3 of 43 - Sale <sup>25</sup>
	1.000	1.50	02/19/21	2.62	1.12 W	0.00	4 of 43 - Sale <sup>25</sup>
	1.000	1.50	02/19/21	2.72	1.22 W	0.00	5 of 43 - Sale <sup>25</sup>
	1.000	1.50	02/19/21	3.07	1.57 W	0.00	6 of 43 - Sale <sup>25</sup>
	1.000	1.62	02/19/21	1.73	0.11 W	0.00	7 of 43 - Sale <sup>25</sup>
	1.000	1.62	02/19/21	2.59	0.97 W	0.00	8 of 43 - Sale <sup>25</sup>
	1.000	1.62	02/19/21	3.04	1.42 W	0.00	9 of 43 - Sale <sup>25</sup>
	1.000	1.65	02/19/21	1.81	0.16 W	0.00	10 of 43 - Sale <sup>25</sup>
	1.000	1.65	02/19/21	2.67	1.02 W	0.00	11 of 43 - Sale <sup>25</sup>
	1.000	1.65	02/19/21	2.72	1.07 W	0.00	12 of 43 - Sale <sup>25</sup>
	1.000	1.65	02/19/21	2.77	1.12 W	0.00	13 of 43 - Sale <sup>25</sup>
	1.000	1.65	02/19/21	3.12	1.47 W	0.00	14 of 43 - Sale <sup>25</sup>
	8.000	12.00	02/19/21	19.42	7.42 W	0.00	15 of 43 - Sale <sup>25</sup>
	8.000	12.96	02/19/21	19.18	6.22 W	0.00	16 of 43 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol: (cont'd)							
	8.000	13.20	02/19/21	19.82	6.62 W	0.00	17 of 43 - Sale <sup>25</sup>
	21.000	31.50	02/19/21	51.00	19.50 W	0.00	18 of 43 - Sale <sup>25</sup>
	21.000	34.02	02/19/21	50.37	16.35 W	0.00	19 of 43 - Sale <sup>25</sup>
	21.000	34.65	02/19/21	52.05	17.40 W	0.00	20 of 43 - Sale <sup>25</sup>
	29.000	43.50	02/19/21	53.52	10.02 W	0.00	21 of 43 - Sale <sup>25</sup>
	38.000	57.00	02/19/21	70.14	13.14 W	0.00	22 of 43 - Sale <sup>25</sup>
	42.000	62.99	02/19/21	77.52	14.53 W	0.00	23 of 43 - Sale <sup>25</sup>
	50.000	74.99	02/19/21	97.25	22.26 W	0.00	24 of 43 - Sale <sup>25</sup>
	50.000	81.00	02/19/21	95.75	14.75 W	0.00	25 of 43 - Sale <sup>25</sup>
	50.000	82.49	02/19/21	99.75	17.26 W	0.00	26 of 43 - Sale <sup>25</sup>
	67.000	110.54	02/19/21	127.01	16.47 W	0.00	27 of 43 - Sale <sup>25</sup>
	80.000	119.99	02/19/21	147.67	27.68 W	0.00	28 of 43 - Sale <sup>25</sup>
	79.000	130.34	02/19/21	135.89	5.55 W	0.00	29 of 43 - Sale <sup>25</sup>
	121.000	181.48	02/19/21	223.34	41.86 W	0.00	30 of 43 - Sale <sup>25</sup>
	122.000	201.28	02/19/21	231.28	30.00 W	0.00	31 of 43 - Sale <sup>25</sup>
	223.000	334.46	02/19/21	433.73	99.27 W	0.00	32 of 43 - Sale <sup>25</sup>
	226.000	338.96	02/19/21	439.56	100.60 W	0.00	33 of 43 - Sale <sup>25</sup>
	223.000	361.25	02/19/21	427.01	65.76 W	0.00	34 of 43 - Sale <sup>25</sup>
	220.000	362.96	02/19/21	378.41	15.45 W	0.00	35 of 43 - Sale <sup>25</sup>
	226.000	366.11	02/19/21	432.75	66.64 W	0.00	36 of 43 - Sale <sup>25</sup>
	223.000	367.91	02/19/21	444.86	76.95 W	0.00	37 of 43 - Sale <sup>25</sup>
	226.000	372.86	02/19/21	450.84	77.98 W	0.00	38 of 43 - Sale <sup>25</sup>
	300.000	485.98	02/19/21	492.00	6.02 W	0.00	39 of 43 - Sale <sup>25</sup>
	310.000	511.45	02/19/21	587.68	76.23 W	0.00	40 of 43 - Sale <sup>25</sup>
	469.000	759.75	02/19/21	1,000.82	241.07 W	0.00	41 of 43 - Sale <sup>25</sup>
	469.000	773.78	02/19/21	1,038.37	264.59 W	0.00	42 of 43 - Sale <sup>25</sup>
	499.000	808.35	02/19/21	906.02	97.67 W	0.00	43 of 43 - Sale <sup>25</sup>
02/19/21	4,631.000	7,431.96	Various	8,985.15	1,522.76 W	-30.43	Total of 43 transactions
02/24/21	1.000	1.33	02/19/21	1.67	...	-0.34	Sale <sup>25</sup>
20 transactions for 03/18/21. Total proceeds and cost reported to the IRS.							
	219.000	320.00	03/15/21	415.03	...	-95.03	1 of 20 - Sale <sup>25</sup>
	50.000	73.06	03/15/21	94.76	...	-21.70	2 of 20 - Sale <sup>25</sup>
	21.000	30.68	03/15/21	49.95	...	-19.27	3 of 20 - Sale <sup>25</sup>
	8.000	11.69	03/15/21	19.02	...	-7.33	4 of 20 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol: (cont'd)							
	119.000	173.88	03/15/21	180.91	...	-7.03	5 of 20 - Sale <sup>25</sup>
	79.000	115.43	03/15/21	120.10	...	-4.67	6 of 20 - Sale <sup>25</sup>
	1.000	1.46	03/15/21	3.02	...	-1.56	7 of 20 - Sale <sup>25</sup>
	1.000	1.46	03/15/21	2.67	...	-1.21	8 of 20 - Sale <sup>25</sup>
	1.000	1.46	03/15/21	2.57	...	-1.11	9 of 20 - Sale <sup>25</sup>
	1.000	1.46	03/15/21	1.67	...	-0.21	10 of 20 - Sale <sup>25</sup>
	1.000	1.46	03/15/21	1.51	...	-0.05	11 of 20 - Sale <sup>25</sup>
	223.000	325.84	03/17/21	447.15	...	-121.31	12 of 20 - Sale <sup>25</sup>
	121.000	176.80	03/17/21	230.62	...	-53.82	13 of 20 - Sale <sup>25</sup>
	99.000	144.66	03/17/21	188.69	...	-44.03	14 of 20 - Sale <sup>25</sup>
	80.000	116.89	03/17/21	152.48	...	-35.59	15 of 20 - Sale <sup>25</sup>
	42.000	61.37	03/17/21	80.05	...	-18.68	16 of 20 - Sale <sup>25</sup>
	38.000	55.53	03/17/21	72.42	...	-16.89	17 of 20 - Sale <sup>25</sup>
	29.000	42.37	03/17/21	55.26	...	-12.89	18 of 20 - Sale <sup>25</sup>
	6.913	10.10	03/17/21	13.86	...	-3.76	19 of 20 - Sale <sup>25</sup>
	0.087	0.13	03/17/21	0.17	...	-0.04	20 of 20 - Sale <sup>25</sup>
03/18/21	1,140.000	1,665.73	Various	2,131.91	...	-466.18	Total of 20 transactions
	2 transactions for 03/25/21. Total proceeds and cost reported to the IRS.						
	2.000	2.26	03/17/21	3.81	...	-1.55	1 of 2 - Sale <sup>25</sup>
	0.029	0.03	03/17/21	0.06	...	-0.03	2 of 2 - Sale <sup>25</sup>
03/25/21	2.029	2.29	Various	3.87	...	-1.58	Total of 2 transactions
	7 transactions for 10/01/21. Total proceeds and cost reported to the IRS.						
	400.000	268.47	09/30/21	272.96	...	-4.49	1 of 7 - Sale <sup>25</sup>
	300.000	201.35	09/30/21	204.72	...	-3.37	2 of 7 - Sale <sup>25</sup>
	300.000	201.35	09/30/21	204.72	...	-3.37	3 of 7 - Sale <sup>25</sup>
	300.000	201.35	09/30/21	204.72	...	-3.37	4 of 7 - Sale <sup>25</sup>
	300.000	201.35	09/30/21	204.72	...	-3.37	5 of 7 - Sale <sup>25</sup>
	200.000	134.24	09/30/21	136.48	...	-2.24	6 of 7 - Sale <sup>25</sup>
	200.000	134.24	09/30/21	136.48	...	-2.24	7 of 7 - Sale <sup>25</sup>
10/01/21	2,000.000	1,342.35	Various	1,364.80	...	-22.45	Total of 7 transactions
	<b>Security total:</b>	<b>82,287.02</b>		<b>86,761.90</b>	<b>6,186.66 W</b>	<b>1,711.78</b>	
TANZANIAN GOLD CORPORATION / CUSIP: 87601A107 / Symbol:							
02/10/21	5,000.000	4,639.29	02/10/21	4,800.00	...	-160.71	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
TILRAY BRANDS, INC. CLASS 2 CO MMON STOCK / CUSIP: 88688T100 / Symbol:							
02/09/21	25.000	1,089.97	02/09/21	1,096.25	...	-6.28	Sale <sup>25</sup>
TONIX PHARMACEUTICALS HOLDING CORP. COMMON STOCK / CUSIP: 890260706 / Symbol:							
01/08/21	1.000	0.82	08/13/20	2.15	...	-1.33	Sale <sup>25</sup>
	5 transactions for 02/11/21. Total proceeds and cost reported to the IRS.						
	1.000	2.10	02/08/21	1.65	...	0.45	1 of 5 - Sale <sup>25</sup>
	499.000	1,007.90	02/08/21	823.35	...	184.55	2 of 5 - Sale <sup>25</sup>
	1,300.000	2,625.78	02/08/21	2,067.00	...	558.78	3 of 5 - Sale <sup>25</sup>
	152.000	319.18	02/11/21	323.76	...	-4.58	4 of 5 - Sale <sup>25</sup>
	100.000	209.98	02/11/21	213.00	...	-3.02	5 of 5 - Sale <sup>25</sup>
02/11/21	2,052.000	4,164.94	Various	3,428.76	...	736.18	Total of 5 transactions
02/11/21	147.000	308.67	02/11/21	313.11	0.03 W	-4.41	Sale <sup>25</sup>
02/22/21	1.000	1.34	02/11/21	2.16	...	-0.82	Sale <sup>25</sup>
	<b>Security total:</b>	<b>4,475.77</b>		<b>3,746.18</b>	<b>0.03 W</b>	<b>729.62</b>	
TORCHLIGHT ENERGY RESOURCES, I NC. COMMON STOCK / CUSIP: 89102U103 / Symbol:							
	7 transactions for 02/11/21. Total proceeds and cost reported to the IRS.						
	100.000	249.98	02/10/21	294.89	44.91 W	0.00	1 of 7 - Sale <sup>25</sup>
	100.000	249.98	02/10/21	312.90	62.92 W	0.00	2 of 7 - Sale <sup>25</sup>
	100.000	249.98	02/10/21	312.90	62.92 W	0.00	3 of 7 - Sale <sup>25</sup>
	100.000	249.99	02/10/21	294.89	44.90 W	0.00	4 of 7 - Sale <sup>25</sup>
	100.000	249.99	02/10/21	294.89	44.90 W	0.00	5 of 7 - Sale <sup>25</sup>
	200.000	499.97	02/10/21	592.80	92.83 W	0.00	6 of 7 - Sale <sup>25</sup>
	200.000	499.98	02/10/21	589.78	89.80 W	0.00	7 of 7 - Sale <sup>25</sup>
02/11/21	900.000	2,249.87	Various	2,693.05	443.18 W	0.00	Total of 7 transactions
	7 transactions for 02/16/21. Total proceeds and cost reported to the IRS.						
	95.000	426.05	02/16/21	516.75	...	-90.70	1 of 7 - Sale <sup>25</sup>
	93.000	417.09	02/16/21	505.87	...	-88.78	2 of 7 - Sale <sup>25</sup>
	100.000	448.48	02/16/21	527.94	...	-79.46	3 of 7 - Sale <sup>25</sup>
	100.000	448.48	02/16/21	525.93	...	-77.45	4 of 7 - Sale <sup>25</sup>
	7.000	31.39	02/16/21	38.10	...	-6.71	5 of 7 - Sale <sup>25</sup>
	5.000	22.42	02/16/21	27.20	...	-4.78	6 of 7 - Sale <sup>25</sup>
	5.000	20.00	02/16/21	23.47	...	-3.47	7 of 7 - Sale <sup>25</sup>
02/16/21	405.000	1,813.91	Various	2,165.26	...	-351.35	Total of 7 transactions

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Robinhood Securities LLC

Account 685320095

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TORCHLIGHT ENERGY RESOURCES, I NC. COMMON STOCK / CUSIP: 89102U103 / Symbol: (cont'd)							
<i>6 transactions for 02/16/21. Total proceeds and cost reported to the IRS.</i>							
	195.000	779.95	02/16/21	915.36	69.44 W	-65.97	1 of 6 - Sale <sup>25</sup>
	5.000	20.00	02/16/21	24.28	4.28 W	0.00	2 of 6 - Sale <sup>25</sup>
	7.000	28.00	02/16/21	34.01	6.01 W	0.00	3 of 6 - Sale <sup>25</sup>
	93.000	371.98	02/16/21	451.44	79.46 W	0.00	4 of 6 - Sale <sup>25</sup>
	95.000	379.98	02/16/21	461.15	81.17 W	0.00	5 of 6 - Sale <sup>25</sup>
	100.000	399.98	02/16/21	467.41	67.43 W	0.00	6 of 6 - Sale <sup>25</sup>
02/16/21	495.000	1,979.89	Various	2,353.65	307.79 W	-65.97	Total of 6 transactions
	<b>Security total:</b>	<b>6,043.67</b>		<b>7,211.96</b>	<b>750.97 W</b>	<b>-417.32</b>	
TYME TECHNOLOGIES, INC. COMMON STOCK / CUSIP: 90238J103 / Symbol:							
<i>3 transactions for 02/03/21. Total proceeds and cost reported to the IRS.</i>							
	14.000	61.88	02/03/21	48.71	...	13.17	1 of 3 - Sale <sup>25</sup>
	20.000	88.40	02/03/21	69.58	...	18.82	2 of 3 - Sale <sup>25</sup>
	480.000	2,121.49	02/03/21	1,564.32	...	557.17	3 of 3 - Sale <sup>25</sup>
02/03/21	514.000	2,271.77	Various	1,682.61	...	589.16	Total of 3 transactions
<i>2 transactions for 04/08/21. Total proceeds and cost reported to the IRS.</i>							
	7.000	11.83	02/03/21	33.18	...	-21.35	1 of 2 - Sale <sup>25</sup>
	1.000	1.69	02/03/21	3.48	...	-1.79	2 of 2 - Sale <sup>25</sup>
04/08/21	8.000	13.52	Various	36.66	...	-23.14	Total of 2 transactions
	<b>Security total:</b>	<b>2,285.29</b>		<b>1,719.27</b>	...	<b>566.02</b>	
URBAN ONE, INC. CLASS A COMMON STOCK / CUSIP: 91705J105 / Symbol:							
<i>2 transactions for 06/25/21. Total proceeds and cost reported to the IRS.</i>							
	100.000	974.98	06/24/21	1,059.85	...	-84.87	1 of 2 - Sale <sup>25</sup>
	45.000	438.74	06/24/21	463.10	...	-24.36	2 of 2 - Sale <sup>25</sup>
06/25/21	145.000	1,413.72	Various	1,522.95	...	-109.23	Total of 2 transactions
UWM HOLDINGS CORPORATION / CUSIP: 91823B109 / Symbol:							
<i>2 transactions for 01/22/21. Total proceeds and cost reported to the IRS.</i>							
	199.000	2,338.17	01/20/21	2,481.53	...	-143.36	1 of 2 - Sale <sup>25</sup>
	1.000	11.75	01/20/21	12.47	...	-0.72	2 of 2 - Sale <sup>25</sup>
01/22/21	200.000	2,349.92	Various	2,494.00	...	-144.08	Total of 2 transactions

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Account 685320095

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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VERU INC. COMMON STOCK / CUSIP: 92536C103 / Symbol:							
2 transactions for 02/09/21. Total proceeds and cost reported to the IRS.							
	64.000	1,549.26	02/09/21	1,560.28	...	-11.02	1 of 2 - Sale <sup>25</sup>
	1.000	24.21	02/09/21	24.35	...	-0.14	2 of 2 - Sale <sup>25</sup>
02/09/21	65.000	1,573.47	Various	1,584.63	...	-11.16	Total of 2 transactions
VINCO VENTURES, INC. COMMON STOCK / CUSIP: 927330100 / Symbol:							
4 transactions for 10/15/21. Total proceeds and cost reported to the IRS.							
	100.000	785.11	10/14/21	823.00	...	-37.89	1 of 4 - Sale <sup>25</sup>
	100.000	785.11	10/14/21	813.00	...	-27.89	2 of 4 - Sale <sup>25</sup>
	78.000	612.39	10/14/21	638.04	...	-25.65	3 of 4 - Sale <sup>25</sup>
	22.000	172.72	10/14/21	179.96	...	-7.24	4 of 4 - Sale <sup>25</sup>
10/15/21	300.000	2,355.33	Various	2,454.00	...	-98.67	Total of 4 transactions
VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol:							
09/27/21	220.000	5,557.51	09/22/21	5,585.62	28.11 W	0.00	Sale <sup>25</sup>
4 transactions for 10/14/21. Total proceeds and cost reported to the IRS.							
	94.000	2,000.30	10/14/21	2,013.27	...	-12.97	1 of 4 - Sale <sup>25</sup>
	69.000	1,468.30	10/14/21	1,477.83	...	-9.53	2 of 4 - Sale <sup>25</sup>
	57.000	1,212.94	10/14/21	1,220.81	...	-7.87	3 of 4 - Sale <sup>25</sup>
	80.000	1,702.38	10/14/21	1,703.20	...	-0.82	4 of 4 - Sale <sup>25</sup>
10/14/21	300.000	6,383.92	Various	6,415.11	...	-31.19	Total of 4 transactions
	<b>Security total:</b>	<b>11,941.43</b>		<b>12,000.73</b>	<b>28.11 W</b>	<b>-31.19</b>	
VISLINK TECHNOLOGIES, INC. COMMON STOCK / CUSIP: 92836Y300 / Symbol:							
2 transactions for 02/05/21. Total proceeds and cost reported to the IRS.							
	400.000	1,479.91	02/05/21	1,536.00	...	-56.09	1 of 2 - Sale <sup>25</sup>
	100.000	369.98	02/05/21	383.00	...	-13.02	2 of 2 - Sale <sup>25</sup>
02/05/21	500.000	1,849.89	Various	1,919.00	...	-69.11	Total of 2 transactions
VOR BIOPHARMA INC. COMMON STOCK / CUSIP: 929033108 / Symbol:							
3 transactions for 02/05/21. Total proceeds and cost reported to the IRS.							
	40.000	1,459.96	02/05/21	1,723.16	...	-263.20	1 of 3 - Sale <sup>25</sup>
	10.000	364.99	02/05/21	429.08	...	-64.09	2 of 3 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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VOR BIOPHARMA INC. COMMON STOC K / CUSIP: 929033108 / Symbol: (cont'd)							
	10.000	364.99	02/05/21	414.00	...	-49.01	3 of 3 - Sale <sup>25</sup>
02/05/21	60.000	2,189.94	Various	2,566.24	...	-376.30	Total of 3 transactions
	2 transactions for 02/05/21. Total proceeds and cost reported to the IRS.						
	50.000	1,825.45	02/05/21	2,070.00	195.64 W	-48.91	1 of 2 - Sale <sup>25</sup>
	10.000	366.80	02/05/21	414.00	47.20 W	0.00	2 of 2 - Sale <sup>25</sup>
02/05/21	60.000	2,192.25	Various	2,484.00	242.84 W	-48.91	Total of 2 transactions
	<b>Security total:</b>	<b>4,382.19</b>		<b>5,050.24</b>	<b>242.84 W</b>	<b>-425.21</b>	
VYNE THERAPEUTICS INC. COMMON STOCK / CUSIP: 92941V100 / Symbol:							
	4 transactions for 01/27/21. Total proceeds and cost reported to the IRS.						
	193.000	468.96	01/25/21	623.41	...	-154.45	1 of 4 - Sale <sup>25</sup>
	100.000	242.98	01/25/21	323.01	...	-80.03	2 of 4 - Sale <sup>25</sup>
	149.000	362.04	01/25/21	399.25	...	-37.21	3 of 4 - Sale <sup>25</sup>
	7.000	17.01	01/25/21	19.67	...	-2.66	4 of 4 - Sale <sup>25</sup>
01/27/21	449.000	1,090.99	Various	1,365.34	...	-274.35	Total of 4 transactions
	3 transactions for 01/27/21. Total proceeds and cost reported to the IRS.						
	107.000	259.99	01/25/21	304.95	0.42 W	-44.54	1 of 3 - Sale <sup>25</sup>
	100.000	242.99	01/25/21	285.00	42.01 W	0.00	2 of 3 - Sale <sup>25</sup>
	193.000	468.97	01/25/21	550.05	81.08 W	0.00	3 of 3 - Sale <sup>25</sup>
01/27/21	400.000	971.95	Various	1,140.00	123.51 W	-44.54	Total of 3 transactions
	<b>Security total:</b>	<b>2,062.94</b>		<b>2,505.34</b>	<b>123.51 W</b>	<b>-318.89</b>	
WESTPORT FUEL SYSTEMS INC COMM ON SHARES / CUSIP: 960908309 / Symbol:							
02/05/21	200.000	2,671.92	02/05/21	2,650.00	...	21.92	Sale <sup>25</sup>
XL FLEET CORP. / CUSIP: 9837FR100 / Symbol:							
	2 transactions for 02/05/21. Total proceeds and cost reported to the IRS.						
	45.000	923.37	02/04/21	970.76	...	-47.39	1 of 2 - Sale <sup>25</sup>
	50.000	1,025.97	02/04/21	1,069.00	...	-43.03	2 of 2 - Sale <sup>25</sup>
02/05/21	95.000	1,949.34	Various	2,039.76	...	-90.42	Total of 2 transactions

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Robinhood Securities LLC

Account 685320095

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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YUNHONG CTI LTD. COMMON STOCK / CUSIP: 98873Q100 / Symbol:							
6 transactions for 02/22/21. Total proceeds and cost reported to the IRS.							
	78.000	234.76	02/22/21	248.06	...	-13.30	1 of 6 - Sale <sup>25</sup>
	100.000	300.98	02/22/21	310.00	...	-9.02	2 of 6 - Sale <sup>25</sup>
	51.000	153.50	02/22/21	162.19	...	-8.69	3 of 6 - Sale <sup>25</sup>
	49.000	147.48	02/22/21	155.83	...	-8.35	4 of 6 - Sale <sup>25</sup>
	21.000	63.21	02/22/21	66.99	...	-3.78	5 of 6 - Sale <sup>25</sup>
	1.000	3.01	02/22/21	3.18	...	-0.17	6 of 6 - Sale <sup>25</sup>
02/22/21	300.000	902.94	Various	946.25	...	-43.31	Total of 6 transactions
3 transactions for 02/22/21. Total proceeds and cost reported to the IRS.							
	21.000	63.21	02/22/21	65.10	1.89 W	0.00	1 of 3 - Sale <sup>25</sup>
	50.000	150.49	02/22/21	154.50	4.01 W	0.00	2 of 3 - Sale <sup>25</sup>
	129.000	388.26	02/22/21	398.61	10.35 W	0.00	3 of 3 - Sale <sup>25</sup>
02/22/21	200.000	601.96	Various	618.21	16.25 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>1,504.90</b>		<b>1,564.46</b>	<b>16.25 W</b>	<b>-43.31</b>	
ZOOM VIDEO COMMUNICATIONS, INC . CLASS A COMMON STOCK / CUSIP: 98980L101 / Symbol:							
11/29/21	25.000	5,459.73	11/22/21	5,975.00	...	-515.27	Sale <sup>25</sup>
11/30/21	5.000	1,059.87	11/22/21	1,195.00	...	-135.13	Sale <sup>25</sup>
12/03/21	20.000	3,604.65	11/22/21	4,780.00	...	-1,175.35	Sale <sup>25</sup>
	<b>Security total:</b>	<b>10,124.25</b>		<b>11,950.00</b>	...	<b>-1,825.75</b>	
ZOMEDICA CORP. / CUSIP: 98980M109 / Symbol:							
6 transactions for 01/08/21. Total proceeds and cost reported to the IRS.							
	99.000	47.77	01/08/21	44.80	...	2.97	1 of 6 - Sale <sup>25</sup>
	200.000	96.50	01/08/21	90.50	...	6.00	2 of 6 - Sale <sup>25</sup>
	500.000	241.24	01/08/21	226.25	...	14.99	3 of 6 - Sale <sup>25</sup>
	500.000	241.24	01/08/21	226.25	...	14.99	4 of 6 - Sale <sup>25</sup>
	500.000	241.24	01/08/21	226.25	...	14.99	5 of 6 - Sale <sup>25</sup>
	500.000	241.24	01/08/21	226.25	...	14.99	6 of 6 - Sale <sup>25</sup>
01/08/21	2,299.000	1,109.23	Various	1,040.30	...	68.93	Total of 6 transactions
01/21/21	1.000	1.00	01/08/21	0.45	...	0.55	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ZOMEDICA CORP. / CUSIP: 98980M109 / Symbol: (cont'd)							
2 transactions for 02/04/21. Total proceeds and cost reported to the IRS.							
	150.000	221.98	02/04/21	197.25	...	24.73	1 of 2 - Sale <sup>25</sup>
02/04/21	800.000	1,183.88	02/04/21	1,112.00	...	71.88	2 of 2 - Sale <sup>25</sup>
	950.000	1,405.86	Various	1,309.25	...	96.61	Total of 2 transactions
4 transactions for 02/04/21. Total proceeds and cost reported to the IRS.							
	228.000	337.40	02/04/21	346.56	9.16 W	0.00	1 of 4 - Sale <sup>25</sup>
	535.000	791.72	02/04/21	813.20	21.48 W	0.00	2 of 4 - Sale <sup>25</sup>
	599.000	886.43	02/04/21	910.48	24.05 W	0.00	3 of 4 - Sale <sup>25</sup>
02/04/21	688.000	1,018.13	02/04/21	1,045.76	27.63 W	0.00	4 of 4 - Sale <sup>25</sup>
	2,050.000	3,033.68	Various	3,116.00	82.32 W	0.00	Total of 4 transactions
12 transactions for 02/05/21. Total proceeds and cost reported to the IRS.							
	351.000	610.69	02/04/21	547.62	...	63.07	1 of 12 - Sale <sup>25</sup>
	599.000	1,042.16	02/04/21	934.53	...	107.63	2 of 12 - Sale <sup>25</sup>
	862.000	1,598.87	02/05/21	1,715.38	...	-116.51	3 of 12 - Sale <sup>25</sup>
	188.000	348.72	02/05/21	374.12	...	-25.40	4 of 12 - Sale <sup>25</sup>
	50.000	92.74	02/05/21	100.44	...	-7.70	5 of 12 - Sale <sup>25</sup>
	50.000	91.06	02/05/21	90.51	...	0.55	6 of 12 - Sale <sup>25</sup>
	28.000	52.11	02/05/21	50.69	...	1.42	7 of 12 - Sale <sup>25</sup>
	50.000	86.99	02/05/21	85.01	...	1.98	8 of 12 - Sale <sup>25</sup>
	100.000	186.09	02/05/21	183.02	...	3.07	9 of 12 - Sale <sup>25</sup>
	50.000	93.05	02/05/21	85.01	...	8.04	10 of 12 - Sale <sup>25</sup>
	287.000	534.09	02/05/21	519.56	...	14.53	11 of 12 - Sale <sup>25</sup>
02/05/21	535.000	995.59	02/05/21	968.52	...	27.07	12 of 12 - Sale <sup>25</sup>
	3,150.000	5,732.16	Various	5,654.41	...	77.75	Total of 12 transactions
8 transactions for 02/05/21. Total proceeds and cost reported to the IRS.							
	850.000	1,576.61	02/05/21	1,733.04	149.62 W	-6.81	1 of 8 - Sale <sup>25</sup>
	50.000	92.74	02/05/21	101.70	8.96 W	0.00	2 of 8 - Sale <sup>25</sup>
	50.000	94.75	02/05/21	95.69	0.94 W	0.00	3 of 8 - Sale <sup>25</sup>
	78.000	131.03	02/05/21	132.61	1.58 W	0.00	4 of 8 - Sale <sup>25</sup>
	100.000	182.11	02/05/21	186.50	4.39 W	0.00	5 of 8 - Sale <sup>25</sup>
	287.000	482.11	02/05/21	487.94	5.83 W	0.00	6 of 8 - Sale <sup>25</sup>
	535.000	898.71	02/05/21	909.58	10.87 W	0.00	7 of 8 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ZOMEDICA CORP. / CUSIP: 98980M109 / Symbol: (cont'd)							
02/05/21	850.000	1,547.96	02/05/21	1,589.50	41.54 W	0.00	8 of 8 - Sale <sup>25</sup>
	2,800.000	5,006.02	Various	5,236.56	223.73 W	-6.81	Total of 8 transactions
	2 transactions for 02/11/21. Total proceeds and cost reported to the IRS.						
	50.000	135.05	02/11/21	143.46	8.41 W	0.00	1 of 2 - Sale <sup>25</sup>
02/11/21	450.000	1,215.41	02/11/21	1,293.32	77.91 W	0.00	2 of 2 - Sale <sup>25</sup>
	500.000	1,350.46	Various	1,436.78	86.32 W	0.00	Total of 2 transactions
	7 transactions for 03/16/21. Total proceeds and cost reported to the IRS.						
	18.000	44.37	03/02/21	43.09	...	1.28	1 of 7 - Sale <sup>25</sup>
	160.000	394.38	03/02/21	379.55	...	14.83	2 of 7 - Sale <sup>25</sup>
	40.000	98.59	03/03/21	93.72	...	4.87	3 of 7 - Sale <sup>25</sup>
	145.000	357.41	03/04/21	314.95	...	42.46	4 of 7 - Sale <sup>25</sup>
	50.000	123.24	03/10/21	113.34	...	9.90	5 of 7 - Sale <sup>25</sup>
	450.000	1,109.19	03/10/21	1,022.33	...	86.86	6 of 7 - Sale <sup>25</sup>
	437.000	1,077.15	03/10/21	917.13	...	160.02	7 of 7 - Sale <sup>25</sup>
03/16/21	1,300.000	3,204.33	Various	2,884.11	...	320.22	Total of 7 transactions
	3 transactions for 07/20/21. Total proceeds and cost reported to the IRS.						
	63.000	39.52	03/10/21	132.22	92.70 W	0.00	1 of 3 - Sale <sup>25</sup>
	16.529	10.37	03/16/21	39.98	29.61 W	0.00	2 of 3 - Sale <sup>25</sup>
	50.000	31.54	03/16/21	120.95	89.41 W	0.00	3 of 3 - Sale <sup>25</sup>
07/20/21	129.529	81.43	Various	293.15	211.72 W	0.00	Total of 3 transactions
08/26/21	700.000	471.02	03/16/21	1,693.23	1,222.21 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>21,395.19</b>		<b>22,664.24</b>	<b>1,826.30 W</b>	<b>557.25</b>	
ANTELOPE ENTERPRISE HOLDINGS L LIMITED COMMON STOCK (0.024 PAR ) / CUSIP: G041JN106 / Symbol:							
	2 transactions for 02/10/21. Total proceeds and cost reported to the IRS.						
	90.000	323.99	02/05/21	404.87	80.88 W	0.00	1 of 2 - Sale <sup>25</sup>
02/10/21	710.000	2,555.86	02/05/21	3,193.93	638.07 W	0.00	2 of 2 - Sale <sup>25</sup>
	800.000	2,879.85	Various	3,598.80	718.95 W	0.00	Total of 2 transactions
	7 transactions for 02/11/21. Total proceeds and cost reported to the IRS.						
	210.000	814.76	02/05/21	968.87	...	-154.11	1 of 7 - Sale <sup>25</sup>
	190.000	737.16	02/05/21	854.71	...	-117.55	2 of 7 - Sale <sup>25</sup>
	200.000	775.95	02/05/21	883.54	...	-107.59	3 of 7 - Sale <sup>25</sup>
	200.000	775.96	02/05/21	883.54	...	-107.58	4 of 7 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ANTELOPE ENTERPRISE HOLDINGS L LIMITED COMMON STOCK (0.024 PAR ) / CUSIP: G041JN106 / Symbol: (cont'd)							
	90.000	349.18	02/05/21	415.23	...	-66.05	5 of 7 - Sale <sup>25</sup>
	10.000	39.00	02/05/21	44.99	...	-5.99	6 of 7 - Sale <sup>25</sup>
	100.000	387.98	02/08/21	431.87	...	-43.89	7 of 7 - Sale <sup>25</sup>
02/11/21	1,000.000	3,879.99	Various	4,482.75	...	-602.76	Total of 7 transactions
	<b>Security total:</b>	<b>6,759.84</b>		<b>8,081.55</b>	<b>718.95 W</b>	<b>-602.76</b>	
ARYA SCIENCES ACQUISITION CORP III CLASS A ORDINARY SHARES / CUSIP: G3166W106 / Symbol:							
4 transactions for 02/09/21. Total proceeds and cost reported to the IRS.							
	62.000	1,311.26	02/08/21	1,331.14	...	-19.88	1 of 4 - Sale <sup>25</sup>
	30.000	634.48	02/08/21	644.78	...	-10.30	2 of 4 - Sale <sup>25</sup>
	3.000	63.45	02/08/21	65.35	...	-1.90	3 of 4 - Sale <sup>25</sup>
	1.000	21.15	02/08/21	21.77	...	-0.62	4 of 4 - Sale <sup>25</sup>
02/09/21	96.000	2,030.34	Various	2,063.04	...	-32.70	Total of 4 transactions
02/09/21	4.000	84.72	02/08/21	85.88	1.16 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,115.06</b>		<b>2,148.92</b>	<b>1.16 W</b>	<b>-32.70</b>	
KAIXIN AUTO HOLDINGS ORDINARY SHARES / CUSIP: G5223X100 / Symbol:							
02/16/21	500.000	2,230.99	02/16/21	2,270.00	...	-39.01	Sale <sup>25</sup>
LUOKUNG TECHNOLOGY CORP ORDINARY SHARES / CUSIP: G56981106 / Symbol:							
02/16/21	800.000	2,119.85	02/16/21	2,223.12	...	-103.27	Sale <sup>25</sup>
MINGZHU LOGISTICS HOLDINGS LIMITED ORDINARY SHARES / CUSIP: G6180C105 / Symbol:							
4 transactions for 02/05/21. Total proceeds and cost reported to the IRS.							
	15.000	183.89	02/05/21	203.35	...	-19.46	1 of 4 - Sale <sup>25</sup>
	25.000	319.59	02/05/21	312.25	...	7.34	2 of 4 - Sale <sup>25</sup>
	125.000	1,597.97	02/05/21	1,561.25	...	36.72	3 of 4 - Sale <sup>25</sup>
	200.000	2,556.74	02/05/21	2,465.00	...	91.74	4 of 4 - Sale <sup>25</sup>
02/05/21	365.000	4,658.19	Various	4,541.85	...	116.34	Total of 4 transactions
02/05/21	350.000	4,360.86	02/05/21	4,611.71	10.75 W	-240.10	Sale <sup>25</sup>
	<b>Security total:</b>	<b>9,019.05</b>		<b>9,153.56</b>	<b>10.75 W</b>	<b>-123.76</b>	

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Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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OXBRIDGE RE HOLDINGS LIMITED ORDINARY SHARES / CUSIP: G6856M106 / Symbol:							
14 transactions for 01/27/21. Total proceeds and cost reported to the IRS.							
	80.000	315.98	01/26/21	511.40	...	-195.42	1 of 14 - Sale <sup>25</sup>
	49.000	193.54	01/26/21	329.33	...	-135.79	2 of 14 - Sale <sup>25</sup>
	160.000	628.75	01/26/21	760.04	...	-131.29	3 of 14 - Sale <sup>25</sup>
	20.000	78.99	01/26/21	158.84	...	-79.85	4 of 14 - Sale <sup>25</sup>
	51.000	201.44	01/26/21	260.34	...	-58.90	5 of 14 - Sale <sup>25</sup>
	21.000	82.95	01/26/21	133.48	...	-50.53	6 of 14 - Sale <sup>25</sup>
	19.000	74.67	01/26/21	120.77	...	-46.10	7 of 14 - Sale <sup>25</sup>
	15.000	58.95	01/26/21	95.34	...	-36.39	8 of 14 - Sale <sup>25</sup>
	10.000	39.30	01/26/21	63.56	...	-24.26	9 of 14 - Sale <sup>25</sup>
	29.000	113.96	01/26/21	137.47	...	-23.51	10 of 14 - Sale <sup>25</sup>
	4.000	15.80	01/26/21	25.42	...	-9.62	11 of 14 - Sale <sup>25</sup>
	1.000	3.95	01/26/21	6.36	...	-2.41	12 of 14 - Sale <sup>25</sup>
	1.000	3.95	01/26/21	6.36	...	-2.41	13 of 14 - Sale <sup>25</sup>
	40.000	203.20	01/26/21	190.01	...	13.19	14 of 14 - Sale <sup>25</sup>
01/27/21	500.000	2,015.43	Various	2,798.72	...	-783.29	Total of 14 transactions
11 transactions for 01/27/21. Total proceeds and cost reported to the IRS.							
	5.000	19.75	01/26/21	35.68	15.93 W	0.00	1 of 11 - Sale <sup>25</sup>
	10.000	39.50	01/26/21	71.36	31.86 W	0.00	2 of 11 - Sale <sup>25</sup>
	15.000	59.25	01/26/21	107.04	47.79 W	0.00	3 of 11 - Sale <sup>25</sup>
	20.000	79.00	01/26/21	142.32	63.32 W	0.00	4 of 11 - Sale <sup>25</sup>
	25.000	98.75	01/26/21	139.15	40.40 W	0.00	5 of 11 - Sale <sup>25</sup>
	25.000	98.75	01/26/21	139.15	40.40 W	0.00	6 of 11 - Sale <sup>25</sup>
	29.000	114.54	01/26/21	160.08	45.54 W	0.00	7 of 11 - Sale <sup>25</sup>
	41.000	161.94	01/26/21	292.58	130.64 W	0.00	8 of 11 - Sale <sup>25</sup>
	41.000	161.95	01/26/21	228.21	66.26 W	0.00	9 of 11 - Sale <sup>25</sup>
	129.000	509.52	01/26/21	718.01	208.49 W	0.00	10 of 11 - Sale <sup>25</sup>
	200.000	789.95	01/26/21	1,104.00	314.05 W	0.00	11 of 11 - Sale <sup>25</sup>
01/27/21	540.000	2,132.90	Various	3,137.58	1,004.68 W	0.00	Total of 11 transactions
	<b>Security total:</b>	<b>4,148.33</b>		<b>5,936.30</b>	<b>1,004.68 W</b>	<b>-783.29</b>	
TAOPING INC. ORDINARY SHARES / CUSIP: G8675V119 / Symbol:							
02/22/21	100.000	1,316.97	02/22/21	1,278.22	...	38.75	Sale <sup>25</sup>

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Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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MEIWU TECHNOLOGY COMPANY LIMITED ORDINARY SHARES / CUSIP: G9604C107 / Symbol:							
20 transactions for 02/12/21. Total proceeds and cost reported to the IRS.							
	11.000	398.41	02/12/21	398.21	...	0.20	1 of 20 - Sale <sup>25</sup>
	80.000	2,299.93	02/12/21	2,299.72	...	0.21	2 of 20 - Sale <sup>25</sup>
	29.000	1,050.35	02/12/21	1,049.83	...	0.52	3 of 20 - Sale <sup>25</sup>
	40.000	1,448.76	02/12/21	1,448.03	...	0.73	4 of 20 - Sale <sup>25</sup>
	100.000	3,650.90	02/12/21	3,650.00	...	0.90	5 of 20 - Sale <sup>25</sup>
	4.000	124.00	02/12/21	118.40	...	5.60	6 of 20 - Sale <sup>25</sup>
	11.000	392.70	02/12/21	385.00	...	7.70	7 of 20 - Sale <sup>25</sup>
	6.000	186.00	02/12/21	176.40	...	9.60	8 of 20 - Sale <sup>25</sup>
	20.000	724.38	02/12/21	712.00	...	12.38	9 of 20 - Sale <sup>25</sup>
	14.000	456.95	02/12/21	434.00	...	22.95	10 of 20 - Sale <sup>25</sup>
	89.000	3,177.21	02/12/21	3,115.00	...	62.21	11 of 20 - Sale <sup>25</sup>
	39.000	1,208.97	02/12/21	1,146.63	...	62.34	12 of 20 - Sale <sup>25</sup>
	80.000	2,319.94	02/12/21	2,247.20	...	72.74	13 of 20 - Sale <sup>25</sup>
	31.000	960.97	02/12/21	881.91	...	79.06	14 of 20 - Sale <sup>25</sup>
	50.000	1,631.95	02/12/21	1,550.00	...	81.95	15 of 20 - Sale <sup>25</sup>
	24.000	743.98	02/12/21	644.35	...	99.63	16 of 20 - Sale <sup>25</sup>
	25.000	778.23	02/12/21	671.20	...	107.03	17 of 20 - Sale <sup>25</sup>
	100.000	3,589.91	02/12/21	3,440.00	...	149.91	18 of 20 - Sale <sup>25</sup>
	80.000	2,611.13	02/12/21	2,400.00	...	211.13	19 of 20 - Sale <sup>25</sup>
	80.000	2,616.74	02/12/21	2,330.40	...	286.34	20 of 20 - Sale <sup>25</sup>
02/12/21	913.000	30,371.41	Various	29,098.28	...	1,273.13	Total of 20 transactions
5 transactions for 02/12/21. Total proceeds and cost reported to the IRS.							
	11.000	316.79	02/12/21	323.40	6.61 W	0.00	1 of 5 - Sale <sup>25</sup>
	29.000	835.17	02/12/21	852.60	17.43 W	0.00	2 of 5 - Sale <sup>25</sup>
	40.000	1,151.97	02/12/21	1,176.00	24.03 W	0.00	3 of 5 - Sale <sup>25</sup>
	80.000	2,159.94	02/12/21	2,287.99	128.05 W	0.00	4 of 5 - Sale <sup>25</sup>
	100.000	3,014.92	02/12/21	3,124.00	109.08 W	0.00	5 of 5 - Sale <sup>25</sup>
02/12/21	260.000	7,478.79	Various	7,763.99	285.20 W	0.00	Total of 5 transactions
11 transactions for 02/16/21. Total proceeds and cost reported to the IRS.							
	1.000	28.00	02/16/21	36.53	8.53 W	0.00	1 of 11 - Sale <sup>25</sup>
	2.000	56.00	02/16/21	72.62	16.62 W	0.00	2 of 11 - Sale <sup>25</sup>
	2.000	56.00	02/16/21	72.62	16.62 W	0.00	3 of 11 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MEIWU TECHNOLOGY COMPANY LIMITED ORDINARY SHARES / CUSIP: G9604C107 / Symbol: (cont'd)							
	2.000	56.00	02/16/21	73.05	17.05 W	0.00	4 of 11 - Sale <sup>25</sup>
	8.000	223.99	02/16/21	274.29	50.30 W	0.00	5 of 11 - Sale <sup>25</sup>
	8.000	224.00	02/16/21	290.49	66.49 W	0.00	6 of 11 - Sale <sup>25</sup>
	10.000	294.99	02/16/21	328.41	33.42 W	0.00	7 of 11 - Sale <sup>25</sup>
	13.000	364.00	02/16/21	472.06	108.06 W	0.00	8 of 11 - Sale <sup>25</sup>
	22.000	615.98	02/16/21	754.28	138.30 W	0.00	9 of 11 - Sale <sup>25</sup>
	22.000	615.99	02/16/21	803.59	187.60 W	0.00	10 of 11 - Sale <sup>25</sup>
	60.000	1,769.95	02/16/21	1,969.85	199.90 W	0.00	11 of 11 - Sale <sup>25</sup>
02/16/21	150.000	4,304.90	Various	5,147.79	842.89 W	0.00	Total of 11 transactions
	22 transactions for 02/18/21. Total proceeds and cost reported to the IRS.						
	1.000	22.00	02/18/21	28.67	6.67 W	0.00	1 of 22 - Sale <sup>25</sup>
	1.000	22.50	02/18/21	28.30	5.80 W	0.00	2 of 22 - Sale <sup>25</sup>
	2.000	44.00	02/18/21	56.90	12.90 W	0.00	3 of 22 - Sale <sup>25</sup>
	2.000	44.00	02/18/21	56.90	12.90 W	0.00	4 of 22 - Sale <sup>25</sup>
	2.000	44.00	02/18/21	57.33	13.33 W	0.00	5 of 22 - Sale <sup>25</sup>
	2.000	45.00	02/18/21	56.16	11.16 W	0.00	6 of 22 - Sale <sup>25</sup>
	2.000	45.00	02/18/21	56.16	11.16 W	0.00	7 of 22 - Sale <sup>25</sup>
	2.000	45.00	02/18/21	56.59	11.59 W	0.00	8 of 22 - Sale <sup>25</sup>
	8.000	176.01	02/18/21	211.42	35.41 W	0.00	9 of 22 - Sale <sup>25</sup>
	8.000	176.01	02/18/21	227.62	51.61 W	0.00	10 of 22 - Sale <sup>25</sup>
	8.000	179.99	02/18/21	224.65	44.66 W	0.00	11 of 22 - Sale <sup>25</sup>
	8.000	180.00	02/18/21	208.46	28.46 W	0.00	12 of 22 - Sale <sup>25</sup>
	10.000	220.01	02/18/21	234.73	14.72 W	0.00	13 of 22 - Sale <sup>25</sup>
	10.000	220.01	02/18/21	234.83	14.82 W	0.00	14 of 22 - Sale <sup>25</sup>
	10.000	224.99	02/18/21	231.02	6.03 W	0.00	15 of 22 - Sale <sup>25</sup>
	10.000	224.99	02/18/21	231.12	6.13 W	0.00	16 of 22 - Sale <sup>25</sup>
	13.000	286.03	02/18/21	369.90	83.87 W	0.00	17 of 22 - Sale <sup>25</sup>
	13.000	292.49	02/18/21	365.07	72.58 W	0.00	18 of 22 - Sale <sup>25</sup>
	22.000	484.03	02/18/21	581.39	97.36 W	0.00	19 of 22 - Sale <sup>25</sup>
	22.000	484.04	02/18/21	630.69	146.65 W	0.00	20 of 22 - Sale <sup>25</sup>
	22.000	494.99	02/18/21	573.24	78.25 W	0.00	21 of 22 - Sale <sup>25</sup>
	22.000	494.99	02/18/21	622.54	127.55 W	0.00	22 of 22 - Sale <sup>25</sup>
02/18/21	200.000	4,450.08	Various	5,343.69	893.61 W	0.00	Total of 22 transactions

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MEIWU TECHNOLOGY COMPANY LIMITED ORDINARY SHARES / CUSIP: G9604C107 / Symbol: (cont'd)							
20 transactions for 02/19/21. Total proceeds and cost reported to the IRS.							
	15.000	260.50	02/19/21	458.02	...	-197.52	1 of 20 - Sale <sup>25</sup>
	13.000	225.77	02/19/21	383.24	...	-157.47	2 of 20 - Sale <sup>25</sup>
	12.000	208.40	02/19/21	356.32	...	-147.92	3 of 20 - Sale <sup>25</sup>
	10.000	173.67	02/19/21	306.85	...	-133.18	4 of 20 - Sale <sup>25</sup>
	10.000	173.67	02/19/21	304.25	...	-130.58	5 of 20 - Sale <sup>25</sup>
	10.000	173.67	02/19/21	304.14	...	-130.47	6 of 20 - Sale <sup>25</sup>
	18.000	312.61	02/19/21	426.85	...	-114.24	7 of 20 - Sale <sup>25</sup>
	8.000	138.94	02/19/21	235.85	...	-96.91	8 of 20 - Sale <sup>25</sup>
	5.000	86.83	02/19/21	166.83	...	-80.00	9 of 20 - Sale <sup>25</sup>
	11.000	191.04	02/19/21	265.71	...	-74.67	10 of 20 - Sale <sup>25</sup>
	5.000	86.84	02/19/21	142.22	...	-55.38	11 of 20 - Sale <sup>25</sup>
	7.000	121.57	02/19/21	163.72	...	-42.15	12 of 20 - Sale <sup>25</sup>
	3.000	52.10	02/19/21	91.59	...	-39.49	13 of 20 - Sale <sup>25</sup>
	2.000	34.73	02/19/21	66.74	...	-32.01	14 of 20 - Sale <sup>25</sup>
	4.000	69.47	02/19/21	96.62	...	-27.15	15 of 20 - Sale <sup>25</sup>
	2.000	34.73	02/19/21	59.38	...	-24.65	16 of 20 - Sale <sup>25</sup>
	2.000	34.73	02/19/21	58.96	...	-24.23	17 of 20 - Sale <sup>25</sup>
	2.000	34.73	02/19/21	58.95	...	-24.22	18 of 20 - Sale <sup>25</sup>
	1.000	17.37	02/19/21	29.70	...	-12.33	19 of 20 - Sale <sup>25</sup>
	27.000	468.90	02/19/21	471.83	...	-2.93	20 of 20 - Sale <sup>25</sup>
02/19/21	167.000	2,900.27	Various	4,447.77	...	-1,547.50	Total of 20 transactions
45 transactions for 02/19/21. Total proceeds and cost reported to the IRS.							
	1.000	17.80	02/18/21	30.02	12.22 W	0.00	1 of 45 - Sale <sup>25</sup>
	1.000	18.50	02/18/21	29.41	10.91 W	0.00	2 of 45 - Sale <sup>25</sup>
	2.000	35.60	02/18/21	59.60	24.00 W	0.00	3 of 45 - Sale <sup>25</sup>
	2.000	35.60	02/18/21	59.61	24.01 W	0.00	4 of 45 - Sale <sup>25</sup>
	2.000	35.60	02/18/21	60.03	24.43 W	0.00	5 of 45 - Sale <sup>25</sup>
	2.000	35.60	02/18/21	65.41	29.81 W	0.00	6 of 45 - Sale <sup>25</sup>
	2.000	37.00	02/18/21	54.33	17.33 W	0.00	7 of 45 - Sale <sup>25</sup>
	2.000	37.00	02/18/21	58.37	21.37 W	0.00	8 of 45 - Sale <sup>25</sup>
	2.000	37.00	02/18/21	58.38	21.38 W	0.00	9 of 45 - Sale <sup>25</sup>
	2.000	37.00	02/18/21	58.80	21.80 W	0.00	10 of 45 - Sale <sup>25</sup>
	2.000	37.00	02/18/21	64.18	27.18 W	0.00	11 of 45 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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**1a- Description of property/CUSIP/Symbol**

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MEIWU TECHNOLOGY COMPANY LIMITED ORDINARY SHARES / CUSIP: G9604C107 / Symbol: (cont'd)							
	3.000	53.40	02/18/21	89.60	36.20 W	0.00	12 of 45 - Sale <sup>25</sup>
	5.000	89.00	02/18/21	138.89	49.89 W	0.00	13 of 45 - Sale <sup>25</sup>
	5.000	89.00	02/18/21	163.51	74.51 W	0.00	14 of 45 - Sale <sup>25</sup>
	5.000	92.50	02/18/21	135.82	43.32 W	0.00	15 of 45 - Sale <sup>25</sup>
	5.000	92.50	02/18/21	160.44	67.94 W	0.00	16 of 45 - Sale <sup>25</sup>
	8.000	142.39	02/18/21	238.44	96.05 W	0.00	17 of 45 - Sale <sup>25</sup>
	8.000	147.99	02/18/21	233.51	85.52 W	0.00	18 of 45 - Sale <sup>25</sup>
	8.000	148.00	02/18/21	217.31	69.31 W	0.00	19 of 45 - Sale <sup>25</sup>
	10.000	177.99	02/18/21	297.58	119.59 W	0.00	20 of 45 - Sale <sup>25</sup>
	10.000	178.00	02/18/21	297.48	119.48 W	0.00	21 of 45 - Sale <sup>25</sup>
	10.000	184.99	02/18/21	291.33	106.34 W	0.00	22 of 45 - Sale <sup>25</sup>
	10.000	184.99	02/18/21	291.43	106.44 W	0.00	23 of 45 - Sale <sup>25</sup>
	10.000	185.00	02/18/21	242.10	57.10 W	0.00	24 of 45 - Sale <sup>25</sup>
	10.000	185.00	02/18/21	242.20	57.20 W	0.00	25 of 45 - Sale <sup>25</sup>
	13.000	231.39	02/18/21	387.46	156.07 W	0.00	26 of 45 - Sale <sup>25</sup>
	13.000	240.49	02/18/21	379.46	138.97 W	0.00	27 of 45 - Sale <sup>25</sup>
	15.000	266.99	02/18/21	448.02	181.03 W	0.00	28 of 45 - Sale <sup>25</sup>
	15.000	277.49	02/18/21	407.44	129.95 W	0.00	29 of 45 - Sale <sup>25</sup>
	18.000	320.39	02/18/21	432.69	112.30 W	0.00	30 of 45 - Sale <sup>25</sup>
	22.000	391.59	02/18/21	660.40	268.81 W	0.00	31 of 45 - Sale <sup>25</sup>
	22.000	406.99	02/18/21	646.88	239.89 W	0.00	32 of 45 - Sale <sup>25</sup>
	73.000	1,350.46	02/18/21	1,709.90	359.44 W	0.00	33 of 45 - Sale <sup>25</sup>
	2.000	35.60	02/19/21	67.39	31.79 W	0.00	34 of 45 - Sale <sup>25</sup>
	3.000	53.40	02/19/21	92.57	39.17 W	0.00	35 of 45 - Sale <sup>25</sup>
	4.000	71.20	02/19/21	97.92	26.72 W	0.00	36 of 45 - Sale <sup>25</sup>
	5.000	89.00	02/19/21	143.84	54.84 W	0.00	37 of 45 - Sale <sup>25</sup>
	5.000	89.00	02/19/21	168.46	79.46 W	0.00	38 of 45 - Sale <sup>25</sup>
	7.000	124.60	02/19/21	166.00	41.40 W	0.00	39 of 45 - Sale <sup>25</sup>
	10.000	177.99	02/19/21	307.38	129.39 W	0.00	40 of 45 - Sale <sup>25</sup>
	10.000	177.99	02/19/21	307.49	129.50 W	0.00	41 of 45 - Sale <sup>25</sup>
	10.000	177.99	02/19/21	310.09	132.10 W	0.00	42 of 45 - Sale <sup>25</sup>
	11.000	195.79	02/19/21	269.27	73.48 W	0.00	43 of 45 - Sale <sup>25</sup>
	15.000	266.99	02/19/21	462.88	195.89 W	0.00	44 of 45 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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MEIWU TECHNOLOGY COMPANY LIMITED ORDINARY SHARES / CUSIP: G9604C107 / Symbol: (cont'd)							
	33.000	573.11	02/19/21	782.55	209.44 W	0.00	45 of 45 - Sale <sup>25</sup>
02/19/21	433.000	7,832.90	Various	11,885.87	4,052.97 W	0.00	Total of 45 transactions
	2 transactions for 02/22/21. Total proceeds and cost reported to the IRS.						
	30.000	507.43	02/19/21	714.65	...	-207.22	1 of 2 - Sale <sup>25</sup>
	3.000	48.21	02/19/21	71.46	...	-23.25	2 of 2 - Sale <sup>25</sup>
02/22/21	33.000	555.64	Various	786.11	...	-230.47	Total of 2 transactions
	<b>Security total:</b>	<b>57,893.99</b>		<b>64,473.50</b>	<b>6,074.67 W</b>	<b>-504.84</b>	
NAKED BRAND GROUP LIMITED ORDINARY SHARES / CUSIP: Q6519T117 / Symbol:							
	16 transactions for 01/06/21. Total proceeds and cost reported to the IRS.						
	10.000	3.40	12/30/20	2.31	...	1.09	1 of 16 - Sale <sup>25</sup>
	355.000	120.66	12/30/20	81.98	...	38.68	2 of 16 - Sale <sup>25</sup>
	45.000	15.29	01/05/21	14.43	...	0.86	3 of 16 - Sale <sup>25</sup>
	100.000	33.99	01/05/21	32.06	...	1.93	4 of 16 - Sale <sup>25</sup>
	100.000	33.99	01/05/21	32.06	...	1.93	5 of 16 - Sale <sup>25</sup>
	80.000	27.19	01/05/21	25.09	...	2.10	6 of 16 - Sale <sup>25</sup>
	100.000	33.99	01/05/21	28.69	...	5.30	7 of 16 - Sale <sup>25</sup>
	100.000	33.99	01/05/21	28.69	...	5.30	8 of 16 - Sale <sup>25</sup>
	100.000	33.99	01/05/21	28.69	...	5.30	9 of 16 - Sale <sup>25</sup>
	278.000	94.49	01/05/21	88.13	...	6.36	10 of 16 - Sale <sup>25</sup>
	800.000	271.90	01/05/21	242.34	...	29.56	11 of 16 - Sale <sup>25</sup>
	2,090.000	710.34	01/05/21	670.11	...	40.23	12 of 16 - Sale <sup>25</sup>
	1,957.000	665.13	01/05/21	620.37	...	44.76	13 of 16 - Sale <sup>25</sup>
	885.000	300.79	01/05/21	253.11	...	47.68	14 of 16 - Sale <sup>25</sup>
	1,000.000	339.87	01/05/21	288.73	...	51.14	15 of 16 - Sale <sup>25</sup>
	2,000.000	679.74	01/05/21	575.00	...	104.74	16 of 16 - Sale <sup>25</sup>
01/06/21	10,000.000	3,398.75	Various	3,011.79	...	386.96	Total of 16 transactions
01/07/21	415.000	133.58	01/05/21	131.55	...	2.03	Sale <sup>25</sup>
	9 transactions for 01/07/21. Total proceeds and cost reported to the IRS.						
	100.000	32.19	01/06/21	35.28	3.09 W	0.00	1 of 9 - Sale <sup>25</sup>
	100.000	32.19	01/06/21	35.28	3.09 W	0.00	2 of 9 - Sale <sup>25</sup>
	100.000	32.19	01/06/21	35.28	3.09 W	0.00	3 of 9 - Sale <sup>25</sup>
	200.000	64.37	01/06/21	70.56	6.19 W	0.00	4 of 9 - Sale <sup>25</sup>
	200.000	64.37	01/06/21	70.56	6.19 W	0.00	5 of 9 - Sale <sup>25</sup>

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Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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NAKED BRAND GROUP LIMITED ORDINARY SHARES / CUSIP: Q6519T117 / Symbol: (cont'd)							
	200.000	64.37	01/06/21	70.56	6.19 W	0.00	6 of 9 - Sale <sup>25</sup>
	500.000	160.94	01/06/21	176.40	15.46 W	0.00	7 of 9 - Sale <sup>25</sup>
	1,585.000	510.17	01/06/21	554.27	44.10 W	0.00	8 of 9 - Sale <sup>25</sup>
	1,600.000	514.99	01/06/21	564.48	49.49 W	0.00	9 of 9 - Sale <sup>25</sup>
01/07/21	4,585.000	1,475.78	Various	1,612.67	136.89 W	0.00	Total of 9 transactions
	27 transactions for 01/11/21. Total proceeds and cost reported to the IRS.						
	15.000	5.43	01/08/21	5.41	...	0.02	1 of 27 - Sale <sup>25</sup>
	200.000	72.43	01/08/21	72.05	...	0.38	2 of 27 - Sale <sup>25</sup>
	85.000	30.78	01/08/21	29.94	...	0.84	3 of 27 - Sale <sup>25</sup>
	100.000	36.22	01/08/21	35.25	...	0.97	4 of 27 - Sale <sup>25</sup>
	100.000	36.22	01/08/21	35.23	...	0.99	5 of 27 - Sale <sup>25</sup>
	100.000	36.22	01/08/21	35.23	...	0.99	6 of 27 - Sale <sup>25</sup>
	100.000	36.22	01/08/21	35.22	...	1.00	7 of 27 - Sale <sup>25</sup>
	100.000	36.22	01/08/21	35.22	...	1.00	8 of 27 - Sale <sup>25</sup>
	200.000	72.43	01/08/21	70.46	...	1.97	9 of 27 - Sale <sup>25</sup>
	200.000	72.43	01/08/21	70.45	...	1.98	10 of 27 - Sale <sup>25</sup>
	300.000	108.65	01/08/21	105.67	...	2.98	11 of 27 - Sale <sup>25</sup>
	415.000	150.30	01/08/21	141.80	...	8.50	12 of 27 - Sale <sup>25</sup>
	215.000	88.17	01/08/21	75.78	...	12.39	13 of 27 - Sale <sup>25</sup>
	265.000	108.67	01/08/21	93.40	...	15.27	14 of 27 - Sale <sup>25</sup>
	785.000	321.91	01/08/21	276.52	...	45.39	15 of 27 - Sale <sup>25</sup>
	15.000	6.15	01/11/21	5.51	...	0.64	16 of 27 - Sale <sup>25</sup>
	15.000	6.15	01/11/21	5.51	...	0.64	17 of 27 - Sale <sup>25</sup>
	85.000	34.86	01/11/21	31.25	...	3.61	18 of 27 - Sale <sup>25</sup>
	100.000	41.01	01/11/21	36.76	...	4.25	19 of 27 - Sale <sup>25</sup>
	115.000	47.16	01/11/21	42.63	...	4.53	20 of 27 - Sale <sup>25</sup>
	120.000	49.21	01/11/21	44.49	...	4.72	21 of 27 - Sale <sup>25</sup>
	185.000	75.87	01/11/21	68.01	...	7.86	22 of 27 - Sale <sup>25</sup>
	200.000	82.01	01/11/21	73.53	...	8.48	23 of 27 - Sale <sup>25</sup>
	200.000	82.01	01/11/21	73.50	...	8.51	24 of 27 - Sale <sup>25</sup>
	300.000	123.02	01/11/21	110.27	...	12.75	25 of 27 - Sale <sup>25</sup>
	485.000	198.88	01/11/21	178.26	...	20.62	26 of 27 - Sale <sup>25</sup>
	915.000	375.22	01/11/21	329.49	...	45.73	27 of 27 - Sale <sup>25</sup>
01/11/21	5,915.000	2,333.85	Various	2,116.84	...	217.01	Total of 27 transactions

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NAKED BRAND GROUP LIMITED ORDINARY SHARES / CUSIP: Q6519T117 / Symbol: (cont'd)							
<i>19 transactions for 01/11/21. Total proceeds and cost reported to the IRS.</i>							
	15.000	5.43	01/06/21	5.71	0.28 W	0.00	1 of 19 - Sale <sup>25</sup>
	200.000	72.42	01/06/21	76.13	3.71 W	0.00	2 of 19 - Sale <sup>25</sup>
	85.000	30.77	01/08/21	31.41	0.64 W	0.00	3 of 19 - Sale <sup>25</sup>
	85.000	30.78	01/08/21	31.67	0.89 W	0.00	4 of 19 - Sale <sup>25</sup>
	100.000	36.20	01/08/21	36.95	0.75 W	0.00	5 of 19 - Sale <sup>25</sup>
	100.000	36.20	01/08/21	37.27	1.07 W	0.00	6 of 19 - Sale <sup>25</sup>
	100.000	36.21	01/08/21	37.26	1.05 W	0.00	7 of 19 - Sale <sup>25</sup>
	100.000	36.22	01/08/21	37.26	1.04 W	0.00	8 of 19 - Sale <sup>25</sup>
	100.000	36.22	01/08/21	37.26	1.04 W	0.00	9 of 19 - Sale <sup>25</sup>
	115.000	41.63	01/08/21	42.85	1.22 W	0.00	10 of 19 - Sale <sup>25</sup>
	200.000	72.40	01/08/21	73.90	1.50 W	0.00	11 of 19 - Sale <sup>25</sup>
	200.000	72.40	01/08/21	73.91	1.51 W	0.00	12 of 19 - Sale <sup>25</sup>
	200.000	72.43	01/08/21	73.91	1.48 W	0.00	13 of 19 - Sale <sup>25</sup>
	200.000	72.43	01/08/21	74.53	2.10 W	0.00	14 of 19 - Sale <sup>25</sup>
	300.000	108.62	01/08/21	110.86	2.24 W	0.00	15 of 19 - Sale <sup>25</sup>
	500.000	181.04	01/08/21	184.76	3.72 W	0.00	16 of 19 - Sale <sup>25</sup>
	500.000	181.09	01/08/21	186.31	5.22 W	0.00	17 of 19 - Sale <sup>25</sup>
	600.000	217.19	01/08/21	223.58	6.39 W	0.00	18 of 19 - Sale <sup>25</sup>
	885.000	320.52	01/08/21	329.78	9.26 W	0.00	19 of 19 - Sale <sup>25</sup>
01/11/21	4,585.000	1,660.20	Various	1,705.31	45.11 W	0.00	Total of 19 transactions
<i>4 transactions for 01/12/21. Total proceeds and cost reported to the IRS.</i>							
	22.000	11.27	01/11/21	8.80	...	2.47	1 of 4 - Sale <sup>25</sup>
	213.000	109.09	01/11/21	85.20	...	23.89	2 of 4 - Sale <sup>25</sup>
	765.000	391.80	01/11/21	275.48	...	116.32	3 of 4 - Sale <sup>25</sup>
	3,000.000	1,536.50	01/11/21	1,080.30	...	456.20	4 of 4 - Sale <sup>25</sup>
01/12/21	4,000.000	2,048.66	Various	1,449.78	...	598.88	Total of 4 transactions
<i>4 transactions for 01/14/21. Total proceeds and cost reported to the IRS.</i>							
	765.000	378.65	01/11/21	306.00	...	72.65	1 of 4 - Sale <sup>25</sup>
	990.000	490.02	01/11/21	396.00	...	94.02	2 of 4 - Sale <sup>25</sup>
	1,000.000	494.97	01/11/21	400.00	...	94.97	3 of 4 - Sale <sup>25</sup>
	1,000.000	494.97	01/11/21	400.00	...	94.97	4 of 4 - Sale <sup>25</sup>
01/14/21	3,755.000	1,858.61	Various	1,502.00	...	356.61	Total of 4 transactions

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Robinhood Securities LLC

Account 685320095

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NAKED BRAND GROUP LIMITED ORDINARY SHARES / CUSIP: Q6519T117 / Symbol: (cont'd)							
01/14/21	2,245.000	1,111.21	01/12/21	1,144.95	33.74 W	0.00	Sale <sup>25</sup>
01/19/21	500.000	236.99	01/13/21	232.57	...	4.42	Sale <sup>25</sup>
	5 transactions for 01/19/21. Total proceeds and cost reported to the IRS.						
	140.000	64.41	01/12/21	71.40	6.99 W	0.00	1 of 5 - Sale <sup>25</sup>
	255.000	120.86	01/12/21	133.88	13.02 W	0.00	2 of 5 - Sale <sup>25</sup>
	360.000	165.63	01/12/21	189.01	23.38 W	0.00	3 of 5 - Sale <sup>25</sup>
	1,000.000	460.08	01/12/21	510.00	49.92 W	0.00	4 of 5 - Sale <sup>25</sup>
	245.000	116.13	01/14/21	135.72	19.59 W	0.00	5 of 5 - Sale <sup>25</sup>
01/19/21	2,000.000	927.11	Various	1,040.01	112.90 W	0.00	Total of 5 transactions
	7 transactions for 01/21/21. Total proceeds and cost reported to the IRS.						
	115.000	66.73	01/14/21	63.70	...	3.03	1 of 7 - Sale <sup>25</sup>
	140.000	81.24	01/14/21	75.45	...	5.79	2 of 7 - Sale <sup>25</sup>
	255.000	147.97	01/14/21	137.72	...	10.25	3 of 7 - Sale <sup>25</sup>
	1,000.000	580.26	01/14/21	538.92	...	41.34	4 of 7 - Sale <sup>25</sup>
	1,130.000	655.70	01/14/21	569.55	...	86.15	5 of 7 - Sale <sup>25</sup>
	1,360.000	789.16	01/14/21	665.04	...	124.12	6 of 7 - Sale <sup>25</sup>
	1,500.000	862.30	01/14/21	733.50	...	128.80	7 of 7 - Sale <sup>25</sup>
01/21/21	5,500.000	3,183.36	Various	2,783.88	...	399.48	Total of 7 transactions
	6 transactions for 01/22/21. Total proceeds and cost reported to the IRS.						
	255.000	117.73	01/14/21	124.69	6.96 W	0.00	1 of 6 - Sale <sup>25</sup>
	100.000	46.17	01/21/21	54.40	8.23 W	0.00	2 of 6 - Sale <sup>25</sup>
	245.000	113.11	01/21/21	152.40	39.29 W	0.00	3 of 6 - Sale <sup>25</sup>
	352.000	162.50	01/21/21	191.49	28.99 W	0.00	4 of 6 - Sale <sup>25</sup>
	745.000	343.94	01/21/21	384.57	40.63 W	0.00	5 of 6 - Sale <sup>25</sup>
	1,303.000	601.55	01/21/21	706.36	104.81 W	0.00	6 of 6 - Sale <sup>25</sup>
01/22/21	3,000.000	1,385.00	Various	1,613.91	228.91 W	0.00	Total of 6 transactions
01/25/21	254.000	107.97	01/21/21	138.05	30.08 W	0.00	Sale <sup>25</sup>
	18 transactions for 01/28/21. Total proceeds and cost reported to the IRS.						
	1.000	1.62	01/21/21	0.54	...	1.08	1 of 18 - Sale <sup>25</sup>
	2.000	3.24	01/27/21	1.40	...	1.84	2 of 18 - Sale <sup>25</sup>
	20.000	32.40	01/27/21	14.91	...	17.49	3 of 18 - Sale <sup>25</sup>
	21.000	34.02	01/27/21	14.45	...	19.57	4 of 18 - Sale <sup>25</sup>
	48.000	77.75	01/27/21	33.62	...	44.13	5 of 18 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NAKED BRAND GROUP LIMITED ORDINARY SHARES / CUSIP: Q6519T117 / Symbol: (cont'd)							
	100.000	161.98	01/27/21	70.22	...	91.76	6 of 18 - Sale <sup>25</sup>
	100.000	161.98	01/27/21	70.00	...	91.98	7 of 18 - Sale <sup>25</sup>
	200.000	323.97	01/27/21	156.02	...	167.95	8 of 18 - Sale <sup>25</sup>
	225.000	364.46	01/27/21	167.79	...	196.67	9 of 18 - Sale <sup>25</sup>
	254.000	411.44	01/27/21	205.65	...	205.79	10 of 18 - Sale <sup>25</sup>
	245.000	396.86	01/27/21	188.18	...	208.68	11 of 18 - Sale <sup>25</sup>
	254.000	411.44	01/27/21	174.79	...	236.65	12 of 18 - Sale <sup>25</sup>
	350.000	566.95	01/27/21	270.75	...	296.20	13 of 18 - Sale <sup>25</sup>
	500.000	809.92	01/27/21	372.87	...	437.05	14 of 18 - Sale <sup>25</sup>
	471.000	762.95	01/27/21	325.55	...	437.40	15 of 18 - Sale <sup>25</sup>
	980.000	1,587.44	01/27/21	677.38	...	910.06	16 of 18 - Sale <sup>25</sup>
	980.000	1,587.44	01/27/21	674.37	...	913.07	17 of 18 - Sale <sup>25</sup>
	999.000	1,618.22	01/27/21	655.84	...	962.38	18 of 18 - Sale <sup>25</sup>
01/28/21	5,750.000	9,314.08	Various	4,074.33	...	5,239.75	Total of 18 transactions
	2 transactions for 02/03/21. Total proceeds and cost reported to the IRS.						
	1.000	1.30	01/27/21	0.66	...	0.64	1 of 2 - Sale <sup>25</sup>
	28.000	36.39	02/02/21	25.75	...	10.64	2 of 2 - Sale <sup>25</sup>
02/03/21	29.000	37.69	Various	26.41	...	11.28	Total of 2 transactions
	11 transactions for 02/03/21. Total proceeds and cost reported to the IRS.						
	2.000	2.60	01/29/21	4.20	1.60 W	0.00	1 of 11 - Sale <sup>25</sup>
	20.000	26.00	01/29/21	42.00	16.00 W	0.00	2 of 11 - Sale <sup>25</sup>
	99.000	128.69	01/29/21	207.90	79.21 W	0.00	3 of 11 - Sale <sup>25</sup>
	229.000	297.67	01/29/21	480.90	183.23 W	0.00	4 of 11 - Sale <sup>25</sup>
	250.000	324.97	01/29/21	525.00	200.03 W	0.00	5 of 11 - Sale <sup>25</sup>
	2.000	2.60	02/02/21	3.44	0.84 W	0.00	6 of 11 - Sale <sup>25</sup>
	20.000	26.00	02/02/21	34.39	8.39 W	0.00	7 of 11 - Sale <sup>25</sup>
	71.000	92.29	02/02/21	122.10	29.81 W	0.00	8 of 11 - Sale <sup>25</sup>
	100.000	118.99	02/02/21	171.97	52.98 W	0.00	9 of 11 - Sale <sup>25</sup>
	99.000	128.69	02/02/21	170.25	41.56 W	0.00	10 of 11 - Sale <sup>25</sup>
	179.000	232.67	02/02/21	307.83	75.16 W	0.00	11 of 11 - Sale <sup>25</sup>
02/03/21	1,071.000	1,381.17	Various	2,069.98	688.81 W	0.00	Total of 11 transactions

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NAKED BRAND GROUP LIMITED ORDINARY SHARES / CUSIP: Q6519T117 / Symbol: (cont'd)							
<i>9 transactions for 02/05/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	1.20	02/02/21	1.72	0.52 W	0.00	1 of 9 - Sale <sup>25</sup>
	2.000	2.40	02/05/21	3.40	1.00 W	0.00	2 of 9 - Sale <sup>25</sup>
	20.000	24.02	02/05/21	33.97	9.95 W	0.00	3 of 9 - Sale <sup>25</sup>
	71.000	85.27	02/05/21	120.61	35.34 W	0.00	4 of 9 - Sale <sup>25</sup>
	99.000	118.89	02/05/21	168.17	49.28 W	0.00	5 of 9 - Sale <sup>25</sup>
	100.000	120.10	02/05/21	180.87	60.77 W	0.00	6 of 9 - Sale <sup>25</sup>
	128.000	153.72	02/05/21	266.12	112.40 W	0.00	7 of 9 - Sale <sup>25</sup>
	179.000	214.97	02/05/21	304.08	89.11 W	0.00	8 of 9 - Sale <sup>25</sup>
	900.000	1,080.86	02/05/21	1,151.01	70.15 W	0.00	9 of 9 - Sale <sup>25</sup>
02/05/21	1,500.000	1,801.43	Various	2,229.95	428.52 W	0.00	Total of 9 transactions
<i>19 transactions for 02/09/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	1.12	02/05/21	1.80	0.68 W	0.00	1 of 19 - Sale <sup>25</sup>
	1.000	1.17	02/08/21	1.25	0.08 W	0.00	2 of 19 - Sale <sup>25</sup>
	2.000	2.24	02/08/21	3.34	1.10 W	0.00	3 of 19 - Sale <sup>25</sup>
	20.000	22.42	02/08/21	33.35	10.93 W	0.00	4 of 19 - Sale <sup>25</sup>
	71.000	79.59	02/08/21	118.41	38.82 W	0.00	5 of 19 - Sale <sup>25</sup>
	99.000	110.97	02/08/21	165.11	54.14 W	0.00	6 of 19 - Sale <sup>25</sup>
	100.000	112.10	02/08/21	177.77	65.67 W	0.00	7 of 19 - Sale <sup>25</sup>
	128.000	143.48	02/08/21	262.16	118.68 W	0.00	8 of 19 - Sale <sup>25</sup>
	179.000	200.65	02/08/21	298.54	97.89 W	0.00	9 of 19 - Sale <sup>25</sup>
	400.000	448.38	02/08/21	499.18	50.80 W	0.00	10 of 19 - Sale <sup>25</sup>
	1.000	1.17	02/09/21	1.87	0.70 W	0.00	11 of 19 - Sale <sup>25</sup>
	2.000	2.34	02/09/21	3.48	1.14 W	0.00	12 of 19 - Sale <sup>25</sup>
	20.000	23.42	02/09/21	34.73	11.31 W	0.00	13 of 19 - Sale <sup>25</sup>
	29.000	33.96	02/09/21	61.40	27.44 W	0.00	14 of 19 - Sale <sup>25</sup>
	71.000	83.14	02/09/21	123.31	40.17 W	0.00	15 of 19 - Sale <sup>25</sup>
	99.000	115.92	02/09/21	171.95	56.03 W	0.00	16 of 19 - Sale <sup>25</sup>
	100.000	117.10	02/09/21	184.67	67.57 W	0.00	17 of 19 - Sale <sup>25</sup>
	179.000	209.60	02/09/21	310.90	101.30 W	0.00	18 of 19 - Sale <sup>25</sup>
	498.000	583.13	02/09/21	631.43	48.30 W	0.00	19 of 19 - Sale <sup>25</sup>
02/09/21	2,000.000	2,291.90	Various	3,084.65	792.75 W	0.00	Total of 19 transactions

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NAKED BRAND GROUP LIMITED ORDINARY SHARES / CUSIP: Q6519T117 / Symbol: (cont'd)							
6 transactions for 02/10/21. Total proceeds and cost reported to the IRS.							
	1.000	1.40	02/10/21	1.38	...	0.02	1 of 6 - Sale <sup>25</sup>
	1.000	1.58	02/10/21	1.52	...	0.06	2 of 6 - Sale <sup>25</sup>
	1.000	1.40	02/10/21	1.31	...	0.09	3 of 6 - Sale <sup>25</sup>
	1.000	1.69	02/10/21	1.55	...	0.14	4 of 6 - Sale <sup>25</sup>
	97.000	153.24	02/10/21	145.20	...	8.04	5 of 6 - Sale <sup>25</sup>
	400.000	631.94	02/10/21	598.77	...	33.17	6 of 6 - Sale <sup>25</sup>
02/10/21	501.000	791.25	Various	749.73	...	41.52	Total of 6 transactions
93 transactions for 02/10/21. Total proceeds and cost reported to the IRS.							
	1.000	1.23	02/09/21	1.27	0.04 W	0.00	1 of 93 - Sale <sup>25</sup>
	1.000	1.23	02/10/21	1.35	0.12 W	0.00	2 of 93 - Sale <sup>25</sup>
	1.000	1.23	02/10/21	1.97	0.74 W	0.00	3 of 93 - Sale <sup>25</sup>
	1.000	1.35	02/10/21	1.48	0.13 W	0.00	4 of 93 - Sale <sup>25</sup>
	1.000	1.35	02/10/21	1.54	0.19 W	0.00	5 of 93 - Sale <sup>25</sup>
	1.000	1.35	02/10/21	2.16	0.81 W	0.00	6 of 93 - Sale <sup>25</sup>
	1.000	1.40	02/10/21	1.46	0.06 W	0.00	7 of 93 - Sale <sup>25</sup>
	1.000	1.40	02/10/21	1.50	0.10 W	0.00	8 of 93 - Sale <sup>25</sup>
	1.000	1.40	02/10/21	2.08	0.68 W	0.00	9 of 93 - Sale <sup>25</sup>
	1.000	1.40	02/10/21	2.12	0.72 W	0.00	10 of 93 - Sale <sup>25</sup>
	1.000	1.58	02/10/21	2.23	0.65 W	0.00	11 of 93 - Sale <sup>25</sup>
	1.000	1.69	02/10/21	1.73	0.04 W	0.00	12 of 93 - Sale <sup>25</sup>
	1.000	1.69	02/10/21	1.79	0.10 W	0.00	13 of 93 - Sale <sup>25</sup>
	1.000	1.69	02/10/21	1.83	0.14 W	0.00	14 of 93 - Sale <sup>25</sup>
	1.000	1.69	02/10/21	2.25	0.56 W	0.00	15 of 93 - Sale <sup>25</sup>
	1.000	1.69	02/10/21	2.29	0.60 W	0.00	16 of 93 - Sale <sup>25</sup>
	1.000	1.69	02/10/21	2.29	0.60 W	0.00	17 of 93 - Sale <sup>25</sup>
	2.000	2.46	02/10/21	3.68	1.22 W	0.00	18 of 93 - Sale <sup>25</sup>
	2.000	2.70	02/10/21	4.06	1.36 W	0.00	19 of 93 - Sale <sup>25</sup>
	2.000	2.80	02/10/21	3.90	1.10 W	0.00	20 of 93 - Sale <sup>25</sup>
	2.000	2.80	02/10/21	3.98	1.18 W	0.00	21 of 93 - Sale <sup>25</sup>
	2.000	3.16	02/10/21	4.20	1.04 W	0.00	22 of 93 - Sale <sup>25</sup>
	2.000	3.38	02/10/21	3.67	0.29 W	0.00	23 of 93 - Sale <sup>25</sup>
	2.000	3.38	02/10/21	3.75	0.37 W	0.00	24 of 93 - Sale <sup>25</sup>
	2.000	3.38	02/10/21	4.24	0.86 W	0.00	25 of 93 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NAKED BRAND GROUP LIMITED ORDINARY SHARES / CUSIP: Q6519T117 / Symbol: (cont'd)							
	2.000	3.38	02/10/21	4.32	0.94 W	0.00	26 of 93 - Sale <sup>25</sup>
	20.000	24.60	02/10/21	36.69	12.09 W	0.00	27 of 93 - Sale <sup>25</sup>
	20.000	27.00	02/10/21	40.43	13.43 W	0.00	28 of 93 - Sale <sup>25</sup>
	20.000	28.00	02/10/21	38.87	10.87 W	0.00	29 of 93 - Sale <sup>25</sup>
	20.000	28.00	02/10/21	39.65	11.65 W	0.00	30 of 93 - Sale <sup>25</sup>
	20.000	31.60	02/10/21	41.81	10.21 W	0.00	31 of 93 - Sale <sup>25</sup>
	20.000	33.80	02/10/21	42.21	8.41 W	0.00	32 of 93 - Sale <sup>25</sup>
	20.000	33.80	02/10/21	43.01	9.21 W	0.00	33 of 93 - Sale <sup>25</sup>
	29.000	35.67	02/10/21	64.24	28.57 W	0.00	34 of 93 - Sale <sup>25</sup>
	29.000	39.15	02/10/21	69.66	30.51 W	0.00	35 of 93 - Sale <sup>25</sup>
	29.000	40.60	02/10/21	67.40	26.80 W	0.00	36 of 93 - Sale <sup>25</sup>
	29.000	40.60	02/10/21	68.53	27.93 W	0.00	37 of 93 - Sale <sup>25</sup>
	30.000	47.39	02/10/21	66.03	18.64 W	0.00	38 of 93 - Sale <sup>25</sup>
	29.000	49.01	02/10/21	76.91	27.90 W	0.00	39 of 93 - Sale <sup>25</sup>
	29.000	49.01	02/10/21	78.07	29.06 W	0.00	40 of 93 - Sale <sup>25</sup>
	30.000	50.70	02/10/21	66.64	15.94 W	0.00	41 of 93 - Sale <sup>25</sup>
	30.000	50.70	02/10/21	67.84	17.14 W	0.00	42 of 93 - Sale <sup>25</sup>
	30.000	50.70	02/10/21	72.66	21.96 W	0.00	43 of 93 - Sale <sup>25</sup>
	39.000	65.90	02/10/21	94.46	28.56 W	0.00	44 of 93 - Sale <sup>25</sup>
	71.000	87.33	02/10/21	130.26	42.93 W	0.00	45 of 93 - Sale <sup>25</sup>
	71.000	95.84	02/10/21	143.52	47.68 W	0.00	46 of 93 - Sale <sup>25</sup>
	71.000	99.39	02/10/21	137.99	38.60 W	0.00	47 of 93 - Sale <sup>25</sup>
	71.000	99.39	02/10/21	140.76	41.37 W	0.00	48 of 93 - Sale <sup>25</sup>
	71.000	112.17	02/10/21	148.42	36.25 W	0.00	49 of 93 - Sale <sup>25</sup>
	69.000	116.60	02/10/21	124.48	7.88 W	0.00	50 of 93 - Sale <sup>25</sup>
	70.000	118.29	02/10/21	166.73	48.44 W	0.00	51 of 93 - Sale <sup>25</sup>
	71.000	119.98	02/10/21	149.85	29.87 W	0.00	52 of 93 - Sale <sup>25</sup>
	71.000	119.98	02/10/21	152.70	32.72 W	0.00	53 of 93 - Sale <sup>25</sup>
	99.000	121.76	02/10/21	217.41	95.65 W	0.00	54 of 93 - Sale <sup>25</sup>
	99.000	121.77	02/10/21	181.65	59.88 W	0.00	55 of 93 - Sale <sup>25</sup>
	100.000	122.99	02/10/21	194.46	71.47 W	0.00	56 of 93 - Sale <sup>25</sup>
	99.000	133.63	02/10/21	200.16	66.53 W	0.00	57 of 93 - Sale <sup>25</sup>
	99.000	133.64	02/10/21	235.91	102.27 W	0.00	58 of 93 - Sale <sup>25</sup>
	100.000	134.98	02/10/21	213.17	78.19 W	0.00	59 of 93 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NAKED BRAND GROUP LIMITED ORDINARY SHARES / CUSIP: Q6519T117 / Symbol: (cont'd)							
	98.000	137.18	02/10/21	144.83	7.65 W	0.00	60 of 93 - Sale <sup>25</sup>
	99.000	138.58	02/10/21	192.43	53.85 W	0.00	61 of 93 - Sale <sup>25</sup>
	99.000	138.58	02/10/21	196.30	57.72 W	0.00	62 of 93 - Sale <sup>25</sup>
	99.000	138.59	02/10/21	228.20	89.61 W	0.00	63 of 93 - Sale <sup>25</sup>
	99.000	138.59	02/10/21	232.06	93.47 W	0.00	64 of 93 - Sale <sup>25</sup>
	100.000	139.98	02/10/21	205.36	65.38 W	0.00	65 of 93 - Sale <sup>25</sup>
	100.000	139.98	02/10/21	209.27	69.29 W	0.00	66 of 93 - Sale <sup>25</sup>
	99.000	156.40	02/10/21	207.00	50.60 W	0.00	67 of 93 - Sale <sup>25</sup>
	99.000	167.29	02/10/21	209.00	41.71 W	0.00	68 of 93 - Sale <sup>25</sup>
	99.000	167.29	02/10/21	212.98	45.69 W	0.00	69 of 93 - Sale <sup>25</sup>
	99.000	167.29	02/10/21	260.67	93.38 W	0.00	70 of 93 - Sale <sup>25</sup>
	99.000	167.29	02/10/21	264.65	97.36 W	0.00	71 of 93 - Sale <sup>25</sup>
	130.000	219.68	02/10/21	243.51	23.83 W	0.00	72 of 93 - Sale <sup>25</sup>
	179.000	220.16	02/10/21	328.43	108.27 W	0.00	73 of 93 - Sale <sup>25</sup>
	179.000	241.62	02/10/21	361.89	120.27 W	0.00	74 of 93 - Sale <sup>25</sup>
	179.000	250.57	02/10/21	347.93	97.36 W	0.00	75 of 93 - Sale <sup>25</sup>
	179.000	250.57	02/10/21	354.92	104.35 W	0.00	76 of 93 - Sale <sup>25</sup>
	179.000	282.79	02/10/21	374.25	91.46 W	0.00	77 of 93 - Sale <sup>25</sup>
	179.000	302.48	02/10/21	377.86	75.38 W	0.00	78 of 93 - Sale <sup>25</sup>
	179.000	302.48	02/10/21	385.05	82.57 W	0.00	79 of 93 - Sale <sup>25</sup>
	200.000	337.96	02/10/21	360.82	22.86 W	0.00	80 of 93 - Sale <sup>25</sup>
	229.000	386.97	02/10/21	413.14	26.17 W	0.00	81 of 93 - Sale <sup>25</sup>
	268.000	452.88	02/10/21	502.01	49.13 W	0.00	82 of 93 - Sale <sup>25</sup>
	400.000	491.98	02/10/21	558.36	66.38 W	0.00	83 of 93 - Sale <sup>25</sup>
	400.000	539.94	02/10/21	633.14	93.20 W	0.00	84 of 93 - Sale <sup>25</sup>
	400.000	559.93	02/10/21	591.14	31.21 W	0.00	85 of 93 - Sale <sup>25</sup>
	400.000	559.94	02/10/21	601.94	42.00 W	0.00	86 of 93 - Sale <sup>25</sup>
	400.000	559.94	02/10/21	617.56	57.62 W	0.00	87 of 93 - Sale <sup>25</sup>
	498.000	612.52	02/10/21	680.21	67.69 W	0.00	88 of 93 - Sale <sup>25</sup>
	500.000	614.97	02/10/21	634.45	19.48 W	0.00	89 of 93 - Sale <sup>25</sup>
	498.000	672.22	02/10/21	753.86	81.64 W	0.00	90 of 93 - Sale <sup>25</sup>
	398.000	672.55	02/10/21	729.53	56.98 W	0.00	91 of 93 - Sale <sup>25</sup>
	498.000	697.12	02/10/21	734.46	37.34 W	0.00	92 of 93 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NAKED BRAND GROUP LIMITED ORDINARY SHARES / CUSIP: Q6519T117 / Symbol: (cont'd)							
	498.000	841.54	02/10/21	878.44	36.90 W	0.00	93 of 93 - Sale <sup>25</sup>
02/10/21	9,899.000	14,384.32	Various	17,705.37	3,321.05 W	0.00	Total of 93 transactions
	27 transactions for 02/11/21. Total proceeds and cost reported to the IRS.						
	1.000	1.80	02/10/21	2.33	0.53 W	0.00	1 of 27 - Sale <sup>25</sup>
	1.000	1.80	02/11/21	2.08	0.28 W	0.00	2 of 27 - Sale <sup>25</sup>
	1.000	1.80	02/11/21	2.46	0.66 W	0.00	3 of 27 - Sale <sup>25</sup>
	1.000	1.80	02/11/21	2.47	0.67 W	0.00	4 of 27 - Sale <sup>25</sup>
	1.000	1.80	02/11/21	2.84	1.04 W	0.00	5 of 27 - Sale <sup>25</sup>
	2.000	3.60	02/11/21	4.25	0.65 W	0.00	6 of 27 - Sale <sup>25</sup>
	2.000	3.60	02/11/21	4.66	1.06 W	0.00	7 of 27 - Sale <sup>25</sup>
	7.000	12.60	02/11/21	14.86	2.26 W	0.00	8 of 27 - Sale <sup>25</sup>
	20.000	36.00	02/11/21	46.41	10.41 W	0.00	9 of 27 - Sale <sup>25</sup>
	28.000	50.40	02/11/21	79.62	29.22 W	0.00	10 of 27 - Sale <sup>25</sup>
	29.000	52.20	02/11/21	85.29	33.09 W	0.00	11 of 27 - Sale <sup>25</sup>
	30.000	53.99	02/11/21	72.94	18.95 W	0.00	12 of 27 - Sale <sup>25</sup>
	30.000	54.00	02/11/21	77.76	23.76 W	0.00	13 of 27 - Sale <sup>25</sup>
	32.000	57.60	02/11/21	65.70	8.10 W	0.00	14 of 27 - Sale <sup>25</sup>
	39.000	70.19	02/11/21	101.10	30.91 W	0.00	15 of 27 - Sale <sup>25</sup>
	48.000	86.40	02/11/21	101.87	15.47 W	0.00	16 of 27 - Sale <sup>25</sup>
	69.000	124.19	02/11/21	141.66	17.47 W	0.00	17 of 27 - Sale <sup>25</sup>
	70.000	125.99	02/11/21	204.56	78.57 W	0.00	18 of 27 - Sale <sup>25</sup>
	71.000	127.79	02/11/21	164.78	36.99 W	0.00	19 of 27 - Sale <sup>25</sup>
	75.000	134.99	02/11/21	159.16	24.17 W	0.00	20 of 27 - Sale <sup>25</sup>
	82.000	147.59	02/11/21	168.36	20.77 W	0.00	21 of 27 - Sale <sup>25</sup>
	82.000	147.59	02/11/21	168.36	20.77 W	0.00	22 of 27 - Sale <sup>25</sup>
	99.000	178.18	02/11/21	229.83	51.65 W	0.00	23 of 27 - Sale <sup>25</sup>
	115.000	206.99	02/11/21	236.12	29.13 W	0.00	24 of 27 - Sale <sup>25</sup>
	118.000	212.39	02/11/21	242.28	29.89 W	0.00	25 of 27 - Sale <sup>25</sup>
	179.000	322.17	02/11/21	415.51	93.34 W	0.00	26 of 27 - Sale <sup>25</sup>
	268.000	482.35	02/11/21	568.75	86.40 W	0.00	27 of 27 - Sale <sup>25</sup>
02/11/21	1,500.000	2,699.80	Various	3,366.01	666.21 W	0.00	Total of 27 transactions
	28 transactions for 02/17/21. Total proceeds and cost reported to the IRS.						
	1.000	1.40	02/11/21	1.98	0.58 W	0.00	1 of 28 - Sale <sup>25</sup>
	3,000.000	4,199.53	02/17/21	4,258.49	45.22 W	-13.74	2 of 28 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NAKED BRAND GROUP LIMITED ORDINARY SHARES / CUSIP: Q6519T117 / Symbol: (cont'd)							
	1.000	1.40	02/17/21	1.70	0.30 W	0.00	3 of 28 - Sale <sup>25</sup>
	1.000	1.40	02/17/21	2.08	0.68 W	0.00	4 of 28 - Sale <sup>25</sup>
	1.000	1.40	02/17/21	2.09	0.69 W	0.00	5 of 28 - Sale <sup>25</sup>
	1.000	1.40	02/17/21	2.46	1.06 W	0.00	6 of 28 - Sale <sup>25</sup>
	2.000	2.80	02/17/21	3.49	0.69 W	0.00	7 of 28 - Sale <sup>25</sup>
	2.000	2.80	02/17/21	3.90	1.10 W	0.00	8 of 28 - Sale <sup>25</sup>
	7.000	9.80	02/17/21	12.20	2.40 W	0.00	9 of 28 - Sale <sup>25</sup>
	20.000	28.00	02/17/21	38.80	10.80 W	0.00	10 of 28 - Sale <sup>25</sup>
	28.000	39.20	02/17/21	68.97	29.77 W	0.00	11 of 28 - Sale <sup>25</sup>
	29.000	40.60	02/17/21	74.26	33.66 W	0.00	12 of 28 - Sale <sup>25</sup>
	30.000	42.00	02/17/21	61.54	19.54 W	0.00	13 of 28 - Sale <sup>25</sup>
	30.000	42.00	02/17/21	66.34	24.34 W	0.00	14 of 28 - Sale <sup>25</sup>
	32.000	44.79	02/17/21	53.52	8.73 W	0.00	15 of 28 - Sale <sup>25</sup>
	39.000	54.59	02/17/21	86.27	31.68 W	0.00	16 of 28 - Sale <sup>25</sup>
	48.000	67.19	02/17/21	83.61	16.42 W	0.00	17 of 28 - Sale <sup>25</sup>
	69.000	96.59	02/17/21	115.42	18.83 W	0.00	18 of 28 - Sale <sup>25</sup>
	70.000	97.99	02/17/21	177.93	79.94 W	0.00	19 of 28 - Sale <sup>25</sup>
	71.000	99.39	02/17/21	137.77	38.38 W	0.00	20 of 28 - Sale <sup>25</sup>
	75.000	104.99	02/17/21	130.63	25.64 W	0.00	21 of 28 - Sale <sup>25</sup>
	82.000	114.79	02/17/21	137.17	22.38 W	0.00	22 of 28 - Sale <sup>25</sup>
	82.000	114.79	02/17/21	137.17	22.38 W	0.00	23 of 28 - Sale <sup>25</sup>
	99.000	138.59	02/17/21	192.18	53.59 W	0.00	24 of 28 - Sale <sup>25</sup>
	115.000	160.98	02/17/21	192.37	31.39 W	0.00	25 of 28 - Sale <sup>25</sup>
	118.000	165.18	02/17/21	197.39	32.21 W	0.00	26 of 28 - Sale <sup>25</sup>
	179.000	250.57	02/17/21	347.43	96.86 W	0.00	27 of 28 - Sale <sup>25</sup>
	268.000	375.16	02/17/21	466.83	91.67 W	0.00	28 of 28 - Sale <sup>25</sup>
02/17/21	4,500.000	6,299.32	Various	7,053.99	740.93 W	-13.74	Total of 28 transactions
	17 transactions for 02/22/21. Total proceeds and cost reported to the IRS.						
	1.000	1.16	02/17/21	2.00	...	-0.84	1 of 17 - Sale <sup>25</sup>
	179.000	207.61	02/18/21	308.08	...	-100.47	2 of 17 - Sale <sup>25</sup>
	70.000	81.19	02/18/21	162.54	...	-81.35	3 of 17 - Sale <sup>25</sup>
	197.000	228.49	02/18/21	299.85	...	-71.36	4 of 17 - Sale <sup>25</sup>
	99.000	114.82	02/18/21	170.41	...	-55.59	5 of 17 - Sale <sup>25</sup>
	71.000	82.35	02/18/21	122.16	...	-39.81	6 of 17 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NAKED BRAND GROUP LIMITED ORDINARY SHARES / CUSIP: Q6519T117 / Symbol: (cont'd)							
	29.000	33.63	02/18/21	67.88	...	-34.25	7 of 17 - Sale <sup>25</sup>
	39.000	45.23	02/18/21	77.70	...	-32.47	8 of 17 - Sale <sup>25</sup>
	28.000	32.48	02/18/21	62.81	...	-30.33	9 of 17 - Sale <sup>25</sup>
	30.000	34.80	02/18/21	59.74	...	-24.94	10 of 17 - Sale <sup>25</sup>
	30.000	34.79	02/18/21	54.94	...	-20.15	11 of 17 - Sale <sup>25</sup>
	20.000	23.20	02/18/21	34.40	...	-11.20	12 of 17 - Sale <sup>25</sup>
	2.000	2.32	02/18/21	3.46	...	-1.14	13 of 17 - Sale <sup>25</sup>
	1.000	1.16	02/18/21	2.24	...	-1.08	14 of 17 - Sale <sup>25</sup>
	2.000	2.32	02/18/21	3.05	...	-0.73	15 of 17 - Sale <sup>25</sup>
	1.000	1.16	02/18/21	1.87	...	-0.71	16 of 17 - Sale <sup>25</sup>
	1.000	1.16	02/18/21	1.86	...	-0.70	17 of 17 - Sale <sup>25</sup>
02/22/21	800.000	927.87	Various	1,434.99	...	-507.12	Total of 17 transactions
	13 transactions for 02/24/21. Total proceeds and cost reported to the IRS.						
	1.000	1.33	02/18/21	1.52	...	-0.19	1 of 13 - Sale <sup>25</sup>
	75.000	99.74	02/23/21	102.13	...	-2.39	2 of 13 - Sale <sup>25</sup>
	70.000	93.09	02/23/21	95.33	...	-2.24	3 of 13 - Sale <sup>25</sup>
	48.000	63.83	02/23/21	65.38	...	-1.55	4 of 13 - Sale <sup>25</sup>
	7.000	9.31	02/23/21	9.54	...	-0.23	5 of 13 - Sale <sup>25</sup>
	1.000	1.33	02/23/21	1.32	...	0.01	6 of 13 - Sale <sup>25</sup>
	32.000	42.55	02/23/21	41.37	...	1.18	7 of 13 - Sale <sup>25</sup>
	69.000	91.76	02/23/21	89.20	...	2.56	8 of 13 - Sale <sup>25</sup>
	82.000	109.05	02/23/21	106.01	...	3.04	9 of 13 - Sale <sup>25</sup>
	82.000	109.05	02/23/21	106.01	...	3.04	10 of 13 - Sale <sup>25</sup>
	115.000	152.93	02/23/21	148.68	...	4.25	11 of 13 - Sale <sup>25</sup>
	118.000	156.92	02/23/21	152.56	...	4.36	12 of 13 - Sale <sup>25</sup>
	300.000	398.96	02/23/21	311.87	...	87.09	13 of 13 - Sale <sup>25</sup>
02/24/21	1,000.000	1,329.85	Various	1,230.92	...	98.93	Total of 13 transactions
	3 transactions for 02/26/21. Total proceeds and cost reported to the IRS.						
	1.000	1.12	02/23/21	1.04	...	0.08	1 of 3 - Sale <sup>25</sup>
	200.000	209.98	02/24/21	281.93	...	-71.95	2 of 3 - Sale <sup>25</sup>
	99.000	111.37	02/24/21	139.56	...	-28.19	3 of 3 - Sale <sup>25</sup>
02/26/21	300.000	322.47	Various	422.53	...	-100.06	Total of 3 transactions
07/20/21	31.000	18.39	02/24/21	43.70	...	-25.31	Sale <sup>25</sup>
	<b>Security total:</b>	<b>61,460.61</b>		<b>61,975.87</b>	<b>7,225.90 W</b>	<b>6,710.64</b>	

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
CASTOR MARITIME INC. COMMON SH ARES / CUSIP: Y1146L109 / Symbol:							
01/13/21	4,000.000	1,488.68	01/11/21	855.60	...	633.08	Sale <sup>25</sup>
	<i>2 transactions for 01/19/21. Total proceeds and cost reported to the IRS.</i>						
	1,100.000	384.86	01/11/21	235.29	...	149.57	1 of 2 - Sale <sup>25</sup>
	2,000.000	699.75	01/11/21	497.20	...	202.55	2 of 2 - Sale <sup>25</sup>
01/19/21	3,100.000	1,084.61	Various	732.49	...	352.12	Total of 2 transactions
01/19/21	3,900.000	1,364.50	01/13/21	1,477.32	112.82 W	0.00	Sale <sup>25</sup>
	<i>2 transactions for 01/21/21. Total proceeds and cost reported to the IRS.</i>						
	100.000	37.22	01/13/21	37.88	0.66 W	0.00	1 of 2 - Sale <sup>25</sup>
	2,400.000	893.20	01/20/21	899.83	6.63 W	0.00	2 of 2 - Sale <sup>25</sup>
01/21/21	2,500.000	930.42	Various	937.71	7.29 W	0.00	Total of 2 transactions
	<i>5 transactions for 01/22/21. Total proceeds and cost reported to the IRS.</i>						
	40.000	16.39	01/20/21	13.84	...	2.55	1 of 5 - Sale <sup>25</sup>
	100.000	40.99	01/20/21	35.26	...	5.73	2 of 5 - Sale <sup>25</sup>
	1,500.000	614.80	01/20/21	562.39	...	52.41	3 of 5 - Sale <sup>25</sup>
	960.000	393.48	01/20/21	332.16	...	61.32	4 of 5 - Sale <sup>25</sup>
	2,400.000	983.69	01/21/21	939.03	...	44.66	5 of 5 - Sale <sup>25</sup>
01/22/21	5,000.000	2,049.35	Various	1,882.68	...	166.67	Total of 5 transactions
	<i>2 transactions for 01/25/21. Total proceeds and cost reported to the IRS.</i>						
	2,650.000	1,072.64	01/21/21	1,063.97	...	8.67	1 of 2 - Sale <sup>25</sup>
	100.000	40.48	01/22/21	39.35	...	1.13	2 of 2 - Sale <sup>25</sup>
01/25/21	2,750.000	1,113.12	Various	1,103.32	...	9.80	Total of 2 transactions
	<i>2 transactions for 01/25/21. Total proceeds and cost reported to the IRS.</i>						
	250.000	101.19	01/22/21	102.50	1.31 W	0.00	1 of 2 - Sale <sup>25</sup>
	5,000.000	2,023.86	01/22/21	2,155.00	131.14 W	0.00	2 of 2 - Sale <sup>25</sup>
01/25/21	5,250.000	2,125.05	Various	2,257.50	132.45 W	0.00	Total of 2 transactions
01/27/21	99.000	46.05	01/22/21	39.47	...	6.58	Sale <sup>25</sup>
01/28/21	1.000	0.58	01/22/21	0.40	...	0.18	Sale <sup>25</sup>
	<i>6 transactions for 02/05/21. Total proceeds and cost reported to the IRS.</i>						
	100.000	75.27	02/05/21	79.02	3.75 W	0.00	1 of 6 - Sale <sup>25</sup>
	150.000	113.01	02/05/21	115.39	2.38 W	0.00	2 of 6 - Sale <sup>25</sup>
	250.000	188.34	02/05/21	197.56	9.22 W	0.00	3 of 6 - Sale <sup>25</sup>
	600.000	452.03	02/05/21	474.14	22.11 W	0.00	4 of 6 - Sale <sup>25</sup>
	800.000	602.28	02/05/21	632.18	29.90 W	0.00	5 of 6 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CASTOR MARITIME INC. COMMON SH ARES / CUSIP: Y1146L109 / Symbol: (cont'd)							
02/05/21	1,100.000	827.93	02/05/21	869.25	41.32 W	0.00	6 of 6 - Sale <sup>25</sup>
	3,000.000	2,258.86	Various	2,367.54	108.68 W	0.00	Total of 6 transactions
	8 transactions for 02/09/21. Total proceeds and cost reported to the IRS.						
	19.000	21.49	02/08/21	16.22	...	5.27	1 of 8 - Sale <sup>25</sup>
	150.000	169.64	02/08/21	143.57	...	26.07	2 of 8 - Sale <sup>25</sup>
	96.000	108.57	02/08/21	81.92	...	26.65	3 of 8 - Sale <sup>25</sup>
	150.000	169.65	02/08/21	142.01	...	27.64	4 of 8 - Sale <sup>25</sup>
	100.000	113.10	02/08/21	85.34	...	27.76	5 of 8 - Sale <sup>25</sup>
	200.000	226.19	02/08/21	193.56	...	32.63	6 of 8 - Sale <sup>25</sup>
	885.000	1,000.89	02/08/21	755.38	...	245.51	7 of 8 - Sale <sup>25</sup>
	900.000	1,017.85	02/08/21	768.08	...	249.77	8 of 8 - Sale <sup>25</sup>
02/09/21	2,500.000	2,827.38	Various	2,186.08	...	641.30	Total of 8 transactions
	6 transactions for 02/09/21. Total proceeds and cost reported to the IRS.						
	50.000	52.49	02/09/21	55.79	3.30 W	0.00	1 of 6 - Sale <sup>25</sup>
	50.000	52.49	02/09/21	55.79	3.30 W	0.00	2 of 6 - Sale <sup>25</sup>
	100.000	104.99	02/09/21	111.64	6.65 W	0.00	3 of 6 - Sale <sup>25</sup>
	550.000	577.42	02/09/21	613.66	36.24 W	0.00	4 of 6 - Sale <sup>25</sup>
	800.000	839.89	02/09/21	893.02	53.13 W	0.00	5 of 6 - Sale <sup>25</sup>
	850.000	892.37	02/09/21	948.99	56.62 W	0.00	6 of 6 - Sale <sup>25</sup>
02/09/21	2,400.000	2,519.65	Various	2,678.89	159.24 W	0.00	Total of 6 transactions
02/10/21	100.000	112.99	02/09/21	111.65	...	1.34	Sale <sup>25</sup>
	30 transactions for 02/10/21. Total proceeds and cost reported to the IRS.						
	1.000	1.10	02/10/21	1.33	0.23 W	0.00	1 of 30 - Sale <sup>25</sup>
	1.000	1.10	02/10/21	1.33	0.23 W	0.00	2 of 30 - Sale <sup>25</sup>
	1.000	1.13	02/10/21	1.32	0.19 W	0.00	3 of 30 - Sale <sup>25</sup>
	1.000	1.13	02/10/21	1.32	0.19 W	0.00	4 of 30 - Sale <sup>25</sup>
	15.000	16.52	02/10/21	18.11	1.59 W	0.00	5 of 30 - Sale <sup>25</sup>
	33.000	36.33	02/10/21	43.78	7.45 W	0.00	6 of 30 - Sale <sup>25</sup>
	33.000	37.29	02/10/21	43.45	6.16 W	0.00	7 of 30 - Sale <sup>25</sup>
	50.000	55.05	02/10/21	61.80	6.75 W	0.00	8 of 30 - Sale <sup>25</sup>
	50.000	55.05	02/10/21	61.80	6.75 W	0.00	9 of 30 - Sale <sup>25</sup>
	50.000	55.05	02/10/21	66.29	11.24 W	0.00	10 of 30 - Sale <sup>25</sup>
	50.000	56.49	02/10/21	61.30	4.81 W	0.00	11 of 30 - Sale <sup>25</sup>

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Account 685320095

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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CASTOR MARITIME INC. COMMON SH ARES / CUSIP: Y1146L109 / Symbol: (cont'd)							
	50.000	56.49	02/10/21	61.30	4.81 W	0.00	12 of 30 - Sale <sup>25</sup>
	50.000	56.49	02/10/21	65.78	9.29 W	0.00	13 of 30 - Sale <sup>25</sup>
	100.000	110.10	02/10/21	127.64	17.54 W	0.00	14 of 30 - Sale <sup>25</sup>
	100.000	110.11	02/10/21	127.63	17.52 W	0.00	15 of 30 - Sale <sup>25</sup>
	100.000	110.11	02/10/21	131.65	21.54 W	0.00	16 of 30 - Sale <sup>25</sup>
	100.000	112.99	02/10/21	126.63	13.64 W	0.00	17 of 30 - Sale <sup>25</sup>
	100.000	112.99	02/10/21	126.64	13.65 W	0.00	18 of 30 - Sale <sup>25</sup>
	135.000	148.64	02/10/21	153.88	5.24 W	0.00	19 of 30 - Sale <sup>25</sup>
	150.000	169.48	02/10/21	179.63	10.15 W	0.00	20 of 30 - Sale <sup>25</sup>
	280.000	308.30	02/10/21	352.50	44.20 W	0.00	21 of 30 - Sale <sup>25</sup>
	280.000	316.36	02/10/21	349.69	33.33 W	0.00	22 of 30 - Sale <sup>25</sup>
	535.000	589.07	02/10/21	673.54	84.47 W	0.00	23 of 30 - Sale <sup>25</sup>
	535.000	604.47	02/10/21	668.16	63.69 W	0.00	24 of 30 - Sale <sup>25</sup>
	550.000	605.58	02/10/21	679.77	74.19 W	0.00	25 of 30 - Sale <sup>25</sup>
	550.000	621.42	02/10/21	674.24	52.82 W	0.00	26 of 30 - Sale <sup>25</sup>
	700.000	770.74	02/10/21	865.52	94.78 W	0.00	27 of 30 - Sale <sup>25</sup>
	700.000	790.90	02/10/21	858.49	67.59 W	0.00	28 of 30 - Sale <sup>25</sup>
	800.000	880.84	02/10/21	1,021.25	140.41 W	0.00	29 of 30 - Sale <sup>25</sup>
	800.000	903.88	02/10/21	1,013.21	109.33 W	0.00	30 of 30 - Sale <sup>25</sup>
02/10/21	6,900.000	7,695.20	Various	8,618.98	923.78 W	0.00	Total of 30 transactions
24 transactions for 02/11/21. Total proceeds and cost reported to the IRS.							
	1.000	1.54	02/10/21	1.36	...	0.18	1 of 24 - Sale <sup>25</sup>
	1.000	1.54	02/10/21	1.36	...	0.18	2 of 24 - Sale <sup>25</sup>
	15.000	23.10	02/10/21	18.52	...	4.58	3 of 24 - Sale <sup>25</sup>
	33.000	50.81	02/10/21	44.70	...	6.11	4 of 24 - Sale <sup>25</sup>
	50.000	76.99	02/10/21	67.68	...	9.31	5 of 24 - Sale <sup>25</sup>
	50.000	76.99	02/10/21	63.20	...	13.79	6 of 24 - Sale <sup>25</sup>
	50.000	76.99	02/10/21	63.20	...	13.79	7 of 24 - Sale <sup>25</sup>
	100.000	153.98	02/10/21	135.53	...	18.45	8 of 24 - Sale <sup>25</sup>
	85.000	130.89	02/10/21	107.47	...	23.42	9 of 24 - Sale <sup>25</sup>
	100.000	153.98	02/10/21	130.43	...	23.55	10 of 24 - Sale <sup>25</sup>
	100.000	153.98	02/10/21	130.41	...	23.57	11 of 24 - Sale <sup>25</sup>
	100.000	140.98	02/10/21	112.89	...	28.09	12 of 24 - Sale <sup>25</sup>
	135.000	207.88	02/10/21	157.64	...	50.24	13 of 24 - Sale <sup>25</sup>

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Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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CASTOR MARITIME INC. COMMON SH ARES / CUSIP: Y1146L109 / Symbol: (cont'd)							
	280.000	431.16	02/10/21	360.29	...	70.87	14 of 24 - Sale <sup>25</sup>
	535.000	823.82	02/10/21	688.44	...	135.38	15 of 24 - Sale <sup>25</sup>
	550.000	846.92	02/10/21	695.08	...	151.84	16 of 24 - Sale <sup>25</sup>
	615.000	947.01	02/10/21	777.54	...	169.47	17 of 24 - Sale <sup>25</sup>
	800.000	1,231.88	02/10/21	1,043.53	...	188.35	18 of 24 - Sale <sup>25</sup>
	35.000	59.18	02/11/21	58.06	...	1.12	19 of 24 - Sale <sup>25</sup>
	50.000	92.03	02/11/21	89.91	...	2.12	20 of 24 - Sale <sup>25</sup>
	100.000	175.99	02/11/21	172.88	...	3.11	21 of 24 - Sale <sup>25</sup>
	200.000	338.14	02/11/21	299.78	...	38.36	22 of 24 - Sale <sup>25</sup>
	3,315.000	5,604.81	02/11/21	5,499.75	...	105.06	23 of 24 - Sale <sup>25</sup>
	3,450.000	6,349.85	02/11/21	5,964.36	...	385.49	24 of 24 - Sale <sup>25</sup>
02/11/21	10,750.000	18,150.44	Various	16,684.01	...	1,466.43	Total of 24 transactions
	213 transactions for 02/11/21. Total proceeds and cost reported to the IRS.						
	1.000	1.58	02/11/21	2.11	0.53 W	0.00	1 of 213 - Sale <sup>25</sup>
	1.000	1.58	02/11/21	2.11	0.53 W	0.00	2 of 213 - Sale <sup>25</sup>
	1.000	1.66	02/11/21	2.01	0.35 W	0.00	3 of 213 - Sale <sup>25</sup>
	1.000	1.66	02/11/21	2.07	0.41 W	0.00	4 of 213 - Sale <sup>25</sup>
	1.000	1.72	02/11/21	2.19	0.47 W	0.00	5 of 213 - Sale <sup>25</sup>
	1.000	1.72	02/11/21	2.19	0.47 W	0.00	6 of 213 - Sale <sup>25</sup>
	1.000	1.76	02/11/21	2.15	0.39 W	0.00	7 of 213 - Sale <sup>25</sup>
	1.000	1.76	02/11/21	2.15	0.39 W	0.00	8 of 213 - Sale <sup>25</sup>
	1.000	1.76	02/11/21	2.29	0.53 W	0.00	9 of 213 - Sale <sup>25</sup>
	2.000	3.16	02/11/21	4.21	1.05 W	0.00	10 of 213 - Sale <sup>25</sup>
	2.000	3.16	02/11/21	4.22	1.06 W	0.00	11 of 213 - Sale <sup>25</sup>
	2.000	3.24	02/11/21	3.86	0.62 W	0.00	12 of 213 - Sale <sup>25</sup>
	2.000	3.26	02/11/21	3.82	0.56 W	0.00	13 of 213 - Sale <sup>25</sup>
	2.000	3.32	02/11/21	4.01	0.69 W	0.00	14 of 213 - Sale <sup>25</sup>
	2.000	3.32	02/11/21	4.02	0.70 W	0.00	15 of 213 - Sale <sup>25</sup>
	2.000	3.44	02/11/21	4.37	0.93 W	0.00	16 of 213 - Sale <sup>25</sup>
	2.000	3.50	02/11/21	3.76	0.26 W	0.00	17 of 213 - Sale <sup>25</sup>
	2.000	3.52	02/11/21	4.29	0.77 W	0.00	18 of 213 - Sale <sup>25</sup>
	2.000	3.52	02/11/21	4.30	0.78 W	0.00	19 of 213 - Sale <sup>25</sup>
	2.000	3.52	02/11/21	4.38	0.86 W	0.00	20 of 213 - Sale <sup>25</sup>
	3.000	4.74	02/11/21	6.33	1.59 W	0.00	21 of 213 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CASTOR MARITIME INC. COMMON SH ARES / CUSIP: Y1146L109 / Symbol: (cont'd)							
	3.000	4.86	02/11/21	5.79	0.93 W	0.00	22 of 213 - Sale <sup>25</sup>
	3.000	4.89	02/11/21	5.73	0.84 W	0.00	23 of 213 - Sale <sup>25</sup>
	3.000	4.98	02/11/21	6.03	1.05 W	0.00	24 of 213 - Sale <sup>25</sup>
	3.000	5.25	02/11/21	5.64	0.39 W	0.00	25 of 213 - Sale <sup>25</sup>
	3.000	5.28	02/11/21	6.45	1.17 W	0.00	26 of 213 - Sale <sup>25</sup>
	3.000	5.28	02/11/21	6.57	1.29 W	0.00	27 of 213 - Sale <sup>25</sup>
	4.000	6.88	02/11/21	8.74	1.86 W	0.00	28 of 213 - Sale <sup>25</sup>
	5.000	7.90	02/11/21	10.55	2.65 W	0.00	29 of 213 - Sale <sup>25</sup>
	5.000	7.90	02/11/21	10.55	2.65 W	0.00	30 of 213 - Sale <sup>25</sup>
	5.000	8.10	02/11/21	9.66	1.56 W	0.00	31 of 213 - Sale <sup>25</sup>
	5.000	8.15	02/11/21	9.56	1.41 W	0.00	32 of 213 - Sale <sup>25</sup>
	5.000	8.28	02/11/21	10.03	1.75 W	0.00	33 of 213 - Sale <sup>25</sup>
	5.000	8.30	02/11/21	10.05	1.75 W	0.00	34 of 213 - Sale <sup>25</sup>
	5.000	8.73	02/11/21	9.40	0.67 W	0.00	35 of 213 - Sale <sup>25</sup>
	5.000	8.80	02/11/21	10.75	1.95 W	0.00	36 of 213 - Sale <sup>25</sup>
	5.000	8.80	02/11/21	10.75	1.95 W	0.00	37 of 213 - Sale <sup>25</sup>
	5.000	8.80	02/11/21	10.95	2.15 W	0.00	38 of 213 - Sale <sup>25</sup>
	5.000	8.80	02/11/21	10.95	2.15 W	0.00	39 of 213 - Sale <sup>25</sup>
	7.000	11.06	02/11/21	14.74	3.68 W	0.00	40 of 213 - Sale <sup>25</sup>
	7.000	11.62	02/11/21	14.04	2.42 W	0.00	41 of 213 - Sale <sup>25</sup>
	7.000	12.04	02/11/21	15.29	3.25 W	0.00	42 of 213 - Sale <sup>25</sup>
	7.000	12.32	02/11/21	15.01	2.69 W	0.00	43 of 213 - Sale <sup>25</sup>
	8.000	12.64	02/11/21	16.88	4.24 W	0.00	44 of 213 - Sale <sup>25</sup>
	8.000	13.24	02/11/21	16.04	2.80 W	0.00	45 of 213 - Sale <sup>25</sup>
	8.000	14.08	02/11/21	17.19	3.11 W	0.00	46 of 213 - Sale <sup>25</sup>
	8.000	14.08	02/11/21	17.51	3.43 W	0.00	47 of 213 - Sale <sup>25</sup>
	9.000	14.22	02/11/21	18.99	4.77 W	0.00	48 of 213 - Sale <sup>25</sup>
	9.000	14.22	02/11/21	18.99	4.77 W	0.00	49 of 213 - Sale <sup>25</sup>
	9.000	14.90	02/11/21	18.05	3.15 W	0.00	50 of 213 - Sale <sup>25</sup>
	9.000	14.90	02/11/21	18.05	3.15 W	0.00	51 of 213 - Sale <sup>25</sup>
	9.000	15.48	02/11/21	19.70	4.22 W	0.00	52 of 213 - Sale <sup>25</sup>
	10.000	15.80	02/11/21	21.10	5.30 W	0.00	53 of 213 - Sale <sup>25</sup>
	9.000	15.84	02/11/21	19.34	3.50 W	0.00	54 of 213 - Sale <sup>25</sup>
	9.000	15.84	02/11/21	19.34	3.50 W	0.00	55 of 213 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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CASTOR MARITIME INC. COMMON SH ARES / CUSIP: Y1146L109 / Symbol: (cont'd)							
	9.000	15.84	02/11/21	19.66	3.82 W	0.00	56 of 213 - Sale <sup>25</sup>
	9.000	15.84	02/11/21	19.70	3.86 W	0.00	57 of 213 - Sale <sup>25</sup>
	10.000	16.21	02/11/21	19.32	3.11 W	0.00	58 of 213 - Sale <sup>25</sup>
	10.000	16.31	02/11/21	19.13	2.82 W	0.00	59 of 213 - Sale <sup>25</sup>
	10.000	16.60	02/11/21	20.10	3.50 W	0.00	60 of 213 - Sale <sup>25</sup>
	10.000	17.45	02/11/21	18.79	1.34 W	0.00	61 of 213 - Sale <sup>25</sup>
	10.000	17.60	02/11/21	21.49	3.89 W	0.00	62 of 213 - Sale <sup>25</sup>
	10.000	17.60	02/11/21	21.89	4.29 W	0.00	63 of 213 - Sale <sup>25</sup>
	16.000	25.28	02/11/21	33.76	8.48 W	0.00	64 of 213 - Sale <sup>25</sup>
	16.000	26.48	02/11/21	32.08	5.60 W	0.00	65 of 213 - Sale <sup>25</sup>
	16.000	28.16	02/11/21	34.39	6.23 W	0.00	66 of 213 - Sale <sup>25</sup>
	16.000	28.16	02/11/21	35.03	6.87 W	0.00	67 of 213 - Sale <sup>25</sup>
	18.000	28.44	02/11/21	37.99	9.55 W	0.00	68 of 213 - Sale <sup>25</sup>
	18.000	29.79	02/11/21	36.10	6.31 W	0.00	69 of 213 - Sale <sup>25</sup>
	18.000	30.96	02/11/21	39.41	8.45 W	0.00	70 of 213 - Sale <sup>25</sup>
	18.000	31.68	02/11/21	38.70	7.02 W	0.00	71 of 213 - Sale <sup>25</sup>
	18.000	31.68	02/11/21	39.42	7.74 W	0.00	72 of 213 - Sale <sup>25</sup>
	20.000	35.20	02/11/21	43.79	8.59 W	0.00	73 of 213 - Sale <sup>25</sup>
	21.000	36.12	02/11/21	45.87	9.75 W	0.00	74 of 213 - Sale <sup>25</sup>
	23.000	36.34	02/11/21	48.42	12.08 W	0.00	75 of 213 - Sale <sup>25</sup>
	23.000	36.34	02/11/21	48.42	12.08 W	0.00	76 of 213 - Sale <sup>25</sup>
	23.000	38.18	02/11/21	46.12	7.94 W	0.00	77 of 213 - Sale <sup>25</sup>
	23.000	38.18	02/11/21	46.12	7.94 W	0.00	78 of 213 - Sale <sup>25</sup>
	25.000	39.50	02/11/21	52.63	13.13 W	0.00	79 of 213 - Sale <sup>25</sup>
	23.000	40.48	02/11/21	49.32	8.84 W	0.00	80 of 213 - Sale <sup>25</sup>
	23.000	40.48	02/11/21	49.32	8.84 W	0.00	81 of 213 - Sale <sup>25</sup>
	23.000	40.48	02/11/21	50.24	9.76 W	0.00	82 of 213 - Sale <sup>25</sup>
	23.000	40.48	02/11/21	50.24	9.76 W	0.00	83 of 213 - Sale <sup>25</sup>
	25.000	41.50	02/11/21	51.66	10.16 W	0.00	84 of 213 - Sale <sup>25</sup>
	27.000	42.66	02/11/21	56.98	14.32 W	0.00	85 of 213 - Sale <sup>25</sup>
	25.000	44.00	02/11/21	53.61	9.61 W	0.00	86 of 213 - Sale <sup>25</sup>
	25.000	44.00	02/11/21	57.13	13.13 W	0.00	87 of 213 - Sale <sup>25</sup>
	27.000	44.69	02/11/21	54.15	9.46 W	0.00	88 of 213 - Sale <sup>25</sup>
	27.000	47.52	02/11/21	58.04	10.52 W	0.00	89 of 213 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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CASTOR MARITIME INC. COMMON SH ARES / CUSIP: Y1146L109 / Symbol: (cont'd)							
	27.000	47.52	02/11/21	59.12	11.60 W	0.00	90 of 213 - Sale <sup>25</sup>
	35.000	49.35	02/11/21	54.95	5.60 W	0.00	91 of 213 - Sale <sup>25</sup>
	37.000	58.45	02/11/21	77.90	19.45 W	0.00	92 of 213 - Sale <sup>25</sup>
	37.000	58.46	02/11/21	77.89	19.43 W	0.00	93 of 213 - Sale <sup>25</sup>
	37.000	61.41	02/11/21	76.45	15.04 W	0.00	94 of 213 - Sale <sup>25</sup>
	37.000	61.42	02/11/21	76.45	15.03 W	0.00	95 of 213 - Sale <sup>25</sup>
	37.000	63.63	02/11/21	80.84	17.21 W	0.00	96 of 213 - Sale <sup>25</sup>
	37.000	63.64	02/11/21	80.85	17.21 W	0.00	97 of 213 - Sale <sup>25</sup>
	37.000	65.11	02/11/21	79.35	14.24 W	0.00	98 of 213 - Sale <sup>25</sup>
	37.000	65.11	02/11/21	79.36	14.25 W	0.00	99 of 213 - Sale <sup>25</sup>
	37.000	65.11	02/11/21	84.55	19.44 W	0.00	100 of 213 - Sale <sup>25</sup>
	37.000	65.11	02/11/21	84.56	19.45 W	0.00	101 of 213 - Sale <sup>25</sup>
	41.000	70.51	02/11/21	89.77	19.26 W	0.00	102 of 213 - Sale <sup>25</sup>
	41.000	72.15	02/11/21	89.57	17.42 W	0.00	103 of 213 - Sale <sup>25</sup>
	50.000	78.99	02/11/21	105.24	26.25 W	0.00	104 of 213 - Sale <sup>25</sup>
	50.000	78.99	02/11/21	105.25	26.26 W	0.00	105 of 213 - Sale <sup>25</sup>
	50.000	78.99	02/11/21	105.51	26.52 W	0.00	106 of 213 - Sale <sup>25</sup>
	50.000	81.05	02/11/21	96.34	15.29 W	0.00	107 of 213 - Sale <sup>25</sup>
	50.000	81.55	02/11/21	95.40	13.85 W	0.00	108 of 213 - Sale <sup>25</sup>
	50.000	82.75	02/11/21	100.27	17.52 W	0.00	109 of 213 - Sale <sup>25</sup>
	50.000	82.99	02/11/21	100.23	17.24 W	0.00	110 of 213 - Sale <sup>25</sup>
	50.000	83.00	02/11/21	100.26	17.26 W	0.00	111 of 213 - Sale <sup>25</sup>
	50.000	84.54	02/11/21	88.01	3.47 W	0.00	112 of 213 - Sale <sup>25</sup>
	50.000	87.50	02/11/21	93.96	6.46 W	0.00	113 of 213 - Sale <sup>25</sup>
	50.000	87.99	02/11/21	107.21	19.22 W	0.00	114 of 213 - Sale <sup>25</sup>
	50.000	87.99	02/11/21	107.22	19.23 W	0.00	115 of 213 - Sale <sup>25</sup>
	50.000	87.99	02/11/21	107.48	19.49 W	0.00	116 of 213 - Sale <sup>25</sup>
	50.000	87.99	02/11/21	109.22	21.23 W	0.00	117 of 213 - Sale <sup>25</sup>
	50.000	87.99	02/11/21	109.49	21.50 W	0.00	118 of 213 - Sale <sup>25</sup>
	60.000	94.79	02/11/21	126.63	31.84 W	0.00	119 of 213 - Sale <sup>25</sup>
	60.000	99.29	02/11/21	120.33	21.04 W	0.00	120 of 213 - Sale <sup>25</sup>
	60.000	105.59	02/11/21	128.99	23.40 W	0.00	121 of 213 - Sale <sup>25</sup>
	60.000	105.59	02/11/21	131.40	25.81 W	0.00	122 of 213 - Sale <sup>25</sup>
	72.000	113.75	02/11/21	151.94	38.19 W	0.00	123 of 213 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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CASTOR MARITIME INC. COMMON SH ARES / CUSIP: Y1146L109 / Symbol: (cont'd)							
	73.000	115.33	02/11/21	153.70	38.37 W	0.00	124 of 213 - Sale <sup>25</sup>
	75.000	118.49	02/11/21	158.28	39.79 W	0.00	125 of 213 - Sale <sup>25</sup>
	73.000	121.17	02/11/21	146.40	25.23 W	0.00	126 of 213 - Sale <sup>25</sup>
	75.000	124.11	02/11/21	150.40	26.29 W	0.00	127 of 213 - Sale <sup>25</sup>
	72.000	126.71	02/11/21	154.77	28.06 W	0.00	128 of 213 - Sale <sup>25</sup>
	72.000	126.71	02/11/21	157.66	30.95 W	0.00	129 of 213 - Sale <sup>25</sup>
	73.000	128.47	02/11/21	156.57	28.10 W	0.00	130 of 213 - Sale <sup>25</sup>
	73.000	128.47	02/11/21	159.50	31.03 W	0.00	131 of 213 - Sale <sup>25</sup>
	75.000	131.99	02/11/21	161.23	29.24 W	0.00	132 of 213 - Sale <sup>25</sup>
	75.000	131.99	02/11/21	164.24	32.25 W	0.00	133 of 213 - Sale <sup>25</sup>
	82.000	144.30	02/11/21	179.55	35.25 W	0.00	134 of 213 - Sale <sup>25</sup>
	100.000	157.98	02/11/21	211.00	53.02 W	0.00	135 of 213 - Sale <sup>25</sup>
	100.000	157.98	02/11/21	211.01	53.03 W	0.00	136 of 213 - Sale <sup>25</sup>
	100.000	157.98	02/11/21	211.01	53.03 W	0.00	137 of 213 - Sale <sup>25</sup>
	100.000	162.09	02/11/21	203.73	41.64 W	0.00	138 of 213 - Sale <sup>25</sup>
	100.000	162.10	02/11/21	192.70	30.60 W	0.00	139 of 213 - Sale <sup>25</sup>
	100.000	163.09	02/11/21	188.93	25.84 W	0.00	140 of 213 - Sale <sup>25</sup>
	100.000	163.10	02/11/21	190.81	27.71 W	0.00	141 of 213 - Sale <sup>25</sup>
	100.000	165.48	02/11/21	200.49	35.01 W	0.00	142 of 213 - Sale <sup>25</sup>
	100.000	165.49	02/11/21	200.51	35.02 W	0.00	143 of 213 - Sale <sup>25</sup>
	100.000	165.49	02/11/21	200.51	35.02 W	0.00	144 of 213 - Sale <sup>25</sup>
	100.000	171.98	02/11/21	218.97	46.99 W	0.00	145 of 213 - Sale <sup>25</sup>
	100.000	171.98	02/11/21	218.97	46.99 W	0.00	146 of 213 - Sale <sup>25</sup>
	100.000	174.98	02/11/21	180.91	5.93 W	0.00	147 of 213 - Sale <sup>25</sup>
	100.000	174.99	02/11/21	187.91	12.92 W	0.00	148 of 213 - Sale <sup>25</sup>
	100.000	175.98	02/11/21	214.94	38.96 W	0.00	149 of 213 - Sale <sup>25</sup>
	100.000	175.98	02/11/21	214.95	38.97 W	0.00	150 of 213 - Sale <sup>25</sup>
	100.000	175.98	02/11/21	214.95	38.97 W	0.00	151 of 213 - Sale <sup>25</sup>
	119.000	188.00	02/11/21	251.11	63.11 W	0.00	152 of 213 - Sale <sup>25</sup>
	119.000	209.42	02/11/21	255.79	46.37 W	0.00	153 of 213 - Sale <sup>25</sup>
	119.000	209.42	02/11/21	260.57	51.15 W	0.00	154 of 213 - Sale <sup>25</sup>
	143.000	225.92	02/11/21	301.05	75.13 W	0.00	155 of 213 - Sale <sup>25</sup>
	143.000	237.35	02/11/21	286.73	49.38 W	0.00	156 of 213 - Sale <sup>25</sup>
	153.000	241.72	02/11/21	322.86	81.14 W	0.00	157 of 213 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CASTOR MARITIME INC. COMMON SH ARES / CUSIP: Y1146L109 / Symbol: (cont'd)							
143.000		245.94	02/11/21	312.43	66.49 W	0.00	158 of 213 - Sale <sup>25</sup>
152.000		246.38	02/11/21	292.97	46.59 W	0.00	159 of 213 - Sale <sup>25</sup>
152.000		247.90	02/11/21	290.09	42.19 W	0.00	160 of 213 - Sale <sup>25</sup>
143.000		251.65	02/11/21	306.68	55.03 W	0.00	161 of 213 - Sale <sup>25</sup>
150.000		257.98	02/11/21	328.44	70.46 W	0.00	162 of 213 - Sale <sup>25</sup>
152.000		265.93	02/11/21	285.63	19.70 W	0.00	163 of 213 - Sale <sup>25</sup>
153.000		269.26	02/11/21	328.88	59.62 W	0.00	164 of 213 - Sale <sup>25</sup>
153.000		269.26	02/11/21	335.02	65.76 W	0.00	165 of 213 - Sale <sup>25</sup>
175.000		276.47	02/11/21	368.45	91.98 W	0.00	166 of 213 - Sale <sup>25</sup>
175.000		290.48	02/11/21	350.95	60.47 W	0.00	167 of 213 - Sale <sup>25</sup>
190.000		300.17	02/11/21	400.94	100.77 W	0.00	168 of 213 - Sale <sup>25</sup>
191.000		301.75	02/11/21	403.02	101.27 W	0.00	169 of 213 - Sale <sup>25</sup>
175.000		307.97	02/11/21	375.34	67.37 W	0.00	170 of 213 - Sale <sup>25</sup>
175.000		307.97	02/11/21	382.37	74.40 W	0.00	171 of 213 - Sale <sup>25</sup>
191.000		316.08	02/11/21	382.96	66.88 W	0.00	172 of 213 - Sale <sup>25</sup>
200.000		324.19	02/11/21	385.42	61.23 W	0.00	173 of 213 - Sale <sup>25</sup>
200.000		326.19	02/11/21	381.63	55.44 W	0.00	174 of 213 - Sale <sup>25</sup>
190.000		334.37	02/11/21	408.42	74.05 W	0.00	175 of 213 - Sale <sup>25</sup>
190.000		334.37	02/11/21	416.05	81.68 W	0.00	176 of 213 - Sale <sup>25</sup>
191.000		336.13	02/11/21	410.54	74.41 W	0.00	177 of 213 - Sale <sup>25</sup>
200.000		349.98	02/11/21	375.83	25.85 W	0.00	178 of 213 - Sale <sup>25</sup>
225.000		372.35	02/11/21	451.17	78.82 W	0.00	179 of 213 - Sale <sup>25</sup>
245.000		387.06	02/11/21	516.98	129.92 W	0.00	180 of 213 - Sale <sup>25</sup>
225.000		395.96	02/11/21	492.67	96.71 W	0.00	181 of 213 - Sale <sup>25</sup>
248.000		401.99	02/11/21	477.95	75.96 W	0.00	182 of 213 - Sale <sup>25</sup>
248.000		404.47	02/11/21	473.24	68.77 W	0.00	183 of 213 - Sale <sup>25</sup>
245.000		405.45	02/11/21	491.25	85.80 W	0.00	184 of 213 - Sale <sup>25</sup>
245.000		431.16	02/11/21	526.62	95.46 W	0.00	185 of 213 - Sale <sup>25</sup>
248.000		433.96	02/11/21	466.03	32.07 W	0.00	186 of 213 - Sale <sup>25</sup>
300.000		473.95	02/11/21	631.58	157.63 W	0.00	187 of 213 - Sale <sup>25</sup>
300.000		486.28	02/11/21	578.17	91.89 W	0.00	188 of 213 - Sale <sup>25</sup>
300.000		489.28	02/11/21	572.48	83.20 W	0.00	189 of 213 - Sale <sup>25</sup>
300.000		497.95	02/11/21	601.56	103.61 W	0.00	190 of 213 - Sale <sup>25</sup>
309.000		511.34	02/11/21	619.58	108.24 W	0.00	191 of 213 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CASTOR MARITIME INC. COMMON SH ARES / CUSIP: Y1146L109 / Symbol: (cont'd)							
	300.000	524.94	02/11/21	563.75	38.81 W	0.00	192 of 213 - Sale <sup>25</sup>
	300.000	527.95	02/11/21	643.39	115.44 W	0.00	193 of 213 - Sale <sup>25</sup>
	300.000	527.95	02/11/21	655.44	127.49 W	0.00	194 of 213 - Sale <sup>25</sup>
	348.000	564.09	02/11/21	670.77	106.68 W	0.00	195 of 213 - Sale <sup>25</sup>
	348.000	567.57	02/11/21	664.17	96.60 W	0.00	196 of 213 - Sale <sup>25</sup>
	348.000	608.84	02/11/21	653.95	45.11 W	0.00	197 of 213 - Sale <sup>25</sup>
	429.000	677.76	02/11/21	907.41	229.65 W	0.00	198 of 213 - Sale <sup>25</sup>
	429.000	707.78	02/11/21	860.20	152.42 W	0.00	199 of 213 - Sale <sup>25</sup>
	429.000	737.81	02/11/21	941.52	203.71 W	0.00	200 of 213 - Sale <sup>25</sup>
	429.000	754.97	02/11/21	924.29	169.32 W	0.00	201 of 213 - Sale <sup>25</sup>
	557.000	879.97	02/11/21	1,172.58	292.61 W	0.00	202 of 213 - Sale <sup>25</sup>
	557.000	924.53	02/11/21	1,116.85	192.32 W	0.00	203 of 213 - Sale <sup>25</sup>
	557.000	980.22	02/11/21	1,216.87	236.65 W	0.00	204 of 213 - Sale <sup>25</sup>
	557.000	980.23	02/11/21	1,194.50	214.27 W	0.00	205 of 213 - Sale <sup>25</sup>
	782.000	1,267.58	02/11/21	1,507.05	239.47 W	0.00	206 of 213 - Sale <sup>25</sup>
	782.000	1,275.40	02/11/21	1,492.23	216.83 W	0.00	207 of 213 - Sale <sup>25</sup>
	782.000	1,368.37	02/11/21	1,469.50	101.13 W	0.00	208 of 213 - Sale <sup>25</sup>
	1,200.000	1,945.14	02/11/21	2,312.60	367.46 W	0.00	209 of 213 - Sale <sup>25</sup>
	1,200.000	1,957.14	02/11/21	2,289.86	332.72 W	0.00	210 of 213 - Sale <sup>25</sup>
	1,200.000	2,099.81	02/11/21	2,254.99	155.18 W	0.00	211 of 213 - Sale <sup>25</sup>
	3,365.000	4,744.15	02/11/21	5,283.05	538.90 W	0.00	212 of 213 - Sale <sup>25</sup>
	3,400.000	5,983.80	02/11/21	6,150.94	167.14 W	0.00	213 of 213 - Sale <sup>25</sup>
02/11/21	31,450.000	52,145.52	Various	61,390.22	9,244.70 W	0.00	Total of 213 transactions
	24 transactions for 02/16/21. Total proceeds and cost reported to the IRS.						
	1.000	1.36	02/11/21	2.14	0.78 W	0.00	1 of 24 - Sale <sup>25</sup>
	125.000	170.12	02/16/21	218.11	17.66 W	-30.33	2 of 24 - Sale <sup>25</sup>
	2.000	2.72	02/16/21	4.02	1.30 W	0.00	3 of 24 - Sale <sup>25</sup>
	3.000	4.08	02/16/21	6.03	1.95 W	0.00	4 of 24 - Sale <sup>25</sup>
	5.000	6.80	02/16/21	10.05	3.25 W	0.00	5 of 24 - Sale <sup>25</sup>
	5.000	6.80	02/16/21	10.05	3.25 W	0.00	6 of 24 - Sale <sup>25</sup>
	8.000	10.89	02/16/21	16.07	5.18 W	0.00	7 of 24 - Sale <sup>25</sup>
	9.000	12.25	02/16/21	18.08	5.83 W	0.00	8 of 24 - Sale <sup>25</sup>
	10.000	13.61	02/16/21	20.09	6.48 W	0.00	9 of 24 - Sale <sup>25</sup>
	17.000	23.14	02/16/21	31.87	8.73 W	0.00	10 of 24 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 685320095

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CASTOR MARITIME INC. COMMON SH ARES / CUSIP: Y1146L109 / Symbol: (cont'd)							
	18.000	24.50	02/16/21	36.18	11.68 W	0.00	11 of 24 - Sale <sup>25</sup>
	22.000	29.94	02/16/21	44.10	14.16 W	0.00	12 of 24 - Sale <sup>25</sup>
	27.000	36.75	02/16/21	54.26	17.51 W	0.00	13 of 24 - Sale <sup>25</sup>
	33.000	44.91	02/16/21	66.15	21.24 W	0.00	14 of 24 - Sale <sup>25</sup>
	50.000	68.05	02/16/21	100.50	32.45 W	0.00	15 of 24 - Sale <sup>25</sup>
	60.000	81.66	02/16/21	120.61	38.95 W	0.00	16 of 24 - Sale <sup>25</sup>
	72.000	97.99	02/16/21	144.71	46.72 W	0.00	17 of 24 - Sale <sup>25</sup>
	73.000	99.35	02/16/21	146.37	47.02 W	0.00	18 of 24 - Sale <sup>25</sup>
	75.000	102.07	02/16/21	150.75	48.68 W	0.00	19 of 24 - Sale <sup>25</sup>
	153.000	208.23	02/16/21	307.50	99.27 W	0.00	20 of 24 - Sale <sup>25</sup>
	174.000	236.80	02/16/21	302.74	65.94 W	0.00	21 of 24 - Sale <sup>25</sup>
	175.000	238.16	02/16/21	350.90	112.74 W	0.00	22 of 24 - Sale <sup>25</sup>
	300.000	408.28	02/16/21	601.49	193.21 W	0.00	23 of 24 - Sale <sup>25</sup>
	383.000	521.25	02/16/21	718.07	196.82 W	0.00	24 of 24 - Sale <sup>25</sup>
02/16/21	1,800.000	2,449.71	Various	3,480.84	1,000.80 W	-30.33	Total of 24 transactions
	13 transactions for 02/18/21. Total proceeds and cost reported to the IRS.						
	1.000	1.13	02/16/21	1.74	...	-0.61	1 of 13 - Sale <sup>25</sup>
	190.000	214.67	02/17/21	302.08	...	-87.41	2 of 13 - Sale <sup>25</sup>
	119.000	134.45	02/17/21	189.19	...	-54.74	3 of 13 - Sale <sup>25</sup>
	99.000	111.86	02/17/21	157.39	...	-45.53	4 of 13 - Sale <sup>25</sup>
	82.000	92.65	02/17/21	130.37	...	-37.72	5 of 13 - Sale <sup>25</sup>
	41.000	46.32	02/17/21	66.82	...	-20.50	6 of 13 - Sale <sup>25</sup>
	41.000	46.32	02/17/21	66.82	...	-20.50	7 of 13 - Sale <sup>25</sup>
	41.000	46.32	02/17/21	64.98	...	-18.66	8 of 13 - Sale <sup>25</sup>
	23.000	25.99	02/17/21	36.44	...	-10.45	9 of 13 - Sale <sup>25</sup>
	20.000	22.60	02/17/21	31.79	...	-9.19	10 of 13 - Sale <sup>25</sup>
	18.000	20.34	02/17/21	29.33	...	-8.99	11 of 13 - Sale <sup>25</sup>
	16.000	18.08	02/17/21	25.43	...	-7.35	12 of 13 - Sale <sup>25</sup>
	9.000	10.17	02/17/21	14.26	...	-4.09	13 of 13 - Sale <sup>25</sup>
02/18/21	700.000	790.90	Various	1,116.64	...	-325.74	Total of 13 transactions
	<b>Security total:</b>	<b>99,153.01</b>		<b>107,921.34</b>	<b>11,689.76 W</b>	<b>2,921.43</b>	
<b>Totals :</b>		<b>2,618,675.14</b>		<b>2,821,402.41</b>	<b>206,711.29 W</b>	<b>3,984.02</b>	

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Robinhood Securities LLC

Account 685320095

**Proceeds Not Reported to the IRS**

2021

02/11/2022

*This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.*

*Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.*

*Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.*

**SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]**

Report on Form 8949, Part I, with Box C checked.

**Description of property**

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
VYNE THERAPEUTICS INC. COMMON STOCK / CUSIP: 92941V209 / Symbol:							
02/22/21	0.250	2.42	01/25/21	3.10	...	-0.68	Gain or loss
CASTOR MARITIME INC. COMMON SH ARES / CUSIP: Y1146L125 / Symbol:							
06/07/21	0.100	0.33	02/17/21	1.63	...	-1.30	Gain or loss
<b>Totals:</b>		<b>2.75</b>		<b>4.73</b>	...	<b>-1.98</b>	

Robinhood Securities LLC

Account 685320095

**Fees and Expenses**

2021

02/11/2022

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		01/08/21	-0.33	Margin interest paid	
		01/08/21	-5.00	Margin interest paid	
		02/08/21	-1.44	Margin interest paid	
		02/08/21	-5.00	Margin interest paid	
		03/09/21	-5.00	Margin interest paid	
		04/08/21	-5.00	Margin interest paid	
		05/10/21	-5.00	Margin interest paid	
		06/07/21	-5.00	Margin interest paid	
		07/07/21	-1.58	Margin interest paid	
		07/07/21	-5.00	Margin interest paid	
		08/06/21	-5.00	Margin interest paid	
		08/06/21	-7.35	Margin interest paid	
			-50.70	Total Margin interest paid	
Margin charge		09/07/21	-0.45	Margin interest paid	
		09/07/21	-5.00	Margin interest paid	
		10/05/21	-5.00	Margin interest paid	
		10/05/21	-13.49	Margin interest paid	
		11/04/21	-5.00	Margin interest paid	
		11/04/21	-7.84	Margin interest paid	
		12/06/21	-5.00	Margin interest paid	
		12/06/21	-14.26	Margin interest paid	
			-56.04	Total Margin interest paid	
			<b>-106.74</b>	<b>Total Margin interest paid</b>	



Robinhood Securities LLC

Account 685320095

## Non-Reportable Actions

2021

02/11/2022

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
CHURCHILL CAPITAL CORP IV	171439102	07/26/21	-1.00	0.00	Exchange	
GORES HOLDINGS IV, INC. CLASS A COMMON STOCK	382865103	01/22/21	-200.00	0.00	Exchange	
LUCID GROUP, INC. COMMON STOCK	549498103	07/26/21	1.00	0.00	Exchange	
UWM HOLDINGS CORPORATION	91823B109	01/22/21	200.00	0.00	Exchange	

25 Tax lot closed on a first in first out basis.

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**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.****1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is

**Instructions for Recipient**

checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

**Line 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

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