

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 168786895	Statement Date: 02/11/2022 Document ID: G4VR BQW 8HR1	2021
	Omkar Sali 965 E El Camino Real 631 Sunnyvale, CA 94087 RECIPIENT'S TIN: XXX-XX-1887		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2021 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e) 349.93</p> <p>1b- Qualified dividends 331.56</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f) 25.19</p> <p>2b- Unrecaptured Section 1250 gain 0.07</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>2e- Section 897 ordinary dividends 0.00</p> <p>2f- Section 897 capital gain 0.00</p> <p>3- Nondividend distributions 3.24</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 2.08</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: See detail 0.98</p> <p>7- Foreign tax paid: 0.98</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p>MISCELLANEOUS INFORMATION 2021 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.00</p> <p>SECTION 1256 CONTRACTS 2021 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2021 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2020 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2021 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</p>
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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	8,759.44	7,757.72	0.00	188.91	1,190.63
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	29.53	23.29	0.00	0.00	6.24
	Total Short-term	8,788.97	7,781.01	0.00	188.91	1,196.87
Long	D (basis reported to the IRS)	1,018.96	258.31	0.00	0.00	760.65
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	1,018.96	258.31	0.00	0.00	760.65
Undetermined	B or E (basis not reported to the IRS)	0.53	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.53	0.00	0.00	0.00	0.00
	Grand total	9,808.46	8,039.32	0.00	188.91	1,957.52
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

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Summary Information

2021

(continued)

02/11/2022

INTEREST INCOME

2021 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	1.01
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	See detail

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	-0.09
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	55.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.53
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

02/11/2022

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
F 09/03/2021 CALL \$13.50 / CUSIP: / Symbol:							
09/03/21	1.000	0.00	08/31/21	5.00	...	-5.00	Option expiration- long call
NAK 09/17/2021 CALL \$1.50 / CUSIP: / Symbol:							
09/17/21	1.000	0.98	09/17/21	0.00	...	0.98	Short sale closed- call expired Option written: 09/07/21
NAK 11/19/2021 CALL \$1.00 / CUSIP: / Symbol:							
11/19/21	1.000	7.98	11/19/21	0.00	...	7.98	Short sale closed- call expired Option written: 09/20/21
SNDL 10/01/2021 CALL \$1.50 / CUSIP: / Symbol:							
10/01/21	1.000	1.98	10/01/21	0.00	...	1.98	Short sale closed- call expired Option written: 09/08/21

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

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02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol:							
05/25/21	17.864	264.39	01/29/21	259.03	...	5.36	Sale ²⁵
	3 transactions for 05/25/21. Total proceeds and cost reported to the IRS.						
	0.114	1.69	01/27/21	1.87	0.18 W	0.00	1 of 3 - Sale ²⁵
	0.022	0.32	01/27/21	0.36	0.04 W	0.00	2 of 3 - Sale ²⁵
	12.000	177.60	01/27/21	197.76	20.16 W	0.00	3 of 3 - Sale ²⁵
05/25/21	12.136	179.61	Various	199.99	20.38 W	0.00	Total of 3 transactions
	3 transactions for 05/26/21. Total proceeds and cost reported to the IRS.						
	0.136	2.58	01/29/21	1.97	...	0.61	1 of 3 - Sale ²⁵
	10.000	180.00	01/29/21	145.00	...	35.00	2 of 3 - Sale ²⁵
	22.000	417.56	01/29/21	319.00	...	98.56	3 of 3 - Sale ²⁵
05/26/21	32.136	600.14	Various	465.97	...	134.17	Total of 3 transactions
	2 transactions for 06/02/21. Total proceeds and cost reported to the IRS.						
	0.022	1.42	05/28/21	0.77	...	0.65	1 of 2 - Sale ²⁵
	4.978	323.58	05/28/21	173.83	...	149.75	2 of 2 - Sale ²⁵
06/02/21	5.000	325.00	Various	174.60	...	150.40	Total of 2 transactions
	Security total:	1,369.14		1,099.59	20.38 W	289.93	
AFFIRM HOLDINGS, INC. CLASS A COMMON STOCK / CUSIP: 00827B106 / Symbol:							
	2 transactions for 10/14/21. Total proceeds and cost reported to the IRS.						
	1.000	151.24	08/30/21	96.32	...	54.92	1 of 2 - Sale ²⁵
	1.000	151.24	09/03/21	94.73	...	56.51	2 of 2 - Sale ²⁵
10/14/21	2.000	302.48	Various	191.05	...	111.43	Total of 2 transactions
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol:							
	3 transactions for 03/08/21. Total proceeds and cost reported to the IRS.						
	1.000	21.50	01/29/21	18.84	...	2.66	1 of 3 - Sale ²⁵
	1.000	21.50	01/29/21	18.84	...	2.66	2 of 3 - Sale ²⁵
	3.000	64.50	01/29/21	56.40	...	8.10	3 of 3 - Sale ²⁵
03/08/21	5.000	107.50	Various	94.08	...	13.42	Total of 3 transactions

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Proceeds from Broker and Barter Exchange Transactions

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02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BANK OF AMERICA CORPORATION / CUSIP: 060505104 / Symbol:							
<i>4 transactions for 10/15/21. Total proceeds and cost reported to the IRS.</i>							
	0.012	0.54	12/28/20	0.36	...	0.18	1 of 4 - Sale ²⁵
	0.009	0.43	03/29/21	0.36	...	0.07	2 of 4 - Sale ²⁵
	0.009	0.40	06/28/21	0.37	...	0.03	3 of 4 - Sale ²⁵
	0.010	0.46	09/27/21	0.43	...	0.03	4 of 4 - Sale ²⁵
10/15/21	0.040	1.83	Various	1.52	...	0.31	Total of 4 transactions
BED BATH & BEYOND INC. COMMON STOCK / CUSIP: 075896100 / Symbol:							
06/02/21	2.000	75.21	01/29/21	80.56	...	-5.35	Sale ²⁵
DATADOG, INC. CLASS A COMMON S TOCK / CUSIP: 23804L103 / Symbol:							
02/08/21	0.875	101.93	02/02/21	90.86	...	11.07	Sale ²⁵
02/16/21	1.000	107.26	02/02/21	103.88	...	3.38	Sale ²⁵
08/26/21	2.000	274.98	02/02/21	210.48	...	64.50	Sale ²⁵
	Security total:	484.17		405.22	...	78.95	
DIGITAL WORLD ACQUISITION CORP . CLASS A COMMON STOCK / CUSIP: 25400Q105 / Symbol:							
10/25/21	1.000	120.00	10/22/21	85.96	...	34.04	Sale ²⁵
FITBIT, INC. CLASS A COMMON ST OCK / CUSIP: 33812L102 / Symbol:							
<i>2 transactions for 01/15/21. Total proceeds and cost reported to the IRS.</i>							
	0.305	2.24	12/22/20	2.08	...	0.16	1 of 2 - Merger
	6.996	51.42	12/22/20	47.92	...	3.50	2 of 2 - Merger
01/15/21	7.300	53.66	Various	50.00	...	3.66	Total of 2 transactions
FIVE PRIME THERAPEUTICS, INC. COMMON STOCK / CUSIP: 33830X104 / Symbol:							
04/21/21	1.000	38.00	03/04/21	37.89	...	0.11	Merger
FUBOTV INC. / CUSIP: 35953D104 / Symbol:							
<i>3 transactions for 06/11/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	29.62	01/28/21	45.00	...	-15.38	1 of 3 - Sale ²⁵
	1.000	29.62	04/05/21	21.22	...	8.40	2 of 3 - Sale ²⁵
	2.000	59.24	04/20/21	36.94	...	22.30	3 of 3 - Sale ²⁵
06/11/21	4.000	118.48	Various	103.16	...	15.32	Total of 3 transactions

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

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02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GAMESTOP CORP. CLASS A / CUSIP: 36467W109 / Symbol:							
03/08/21	1.000	200.00	01/29/21	314.96	...	-114.96	Sale ²⁵
03/08/21	1.000	200.00	01/29/21	366.37	166.37 W	0.00	Sale ²⁵
03/09/21	1.000	245.00	02/02/21	110.74	...	134.26	Sale ²⁵
<i>2 transactions for 05/17/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	172.06	02/02/21	102.79	...	69.27	1 of 2 - Sale ²⁵
	1.000	172.06	03/25/21	285.27	...	-113.21	2 of 2 - Sale ²⁵
05/17/21	2.000	344.12	Various	388.06	...	-43.94	Total of 2 transactions
	Security total:	989.12		1,180.13	166.37 W	-24.64	
GENERAL ELECTRIC COMPANY / CUSIP: 369604301 / Symbol:							
08/03/21	0.252	26.11	03/11/21	24.38	...	1.73	Cash in lieu
LYFT, INC. CLASS A COMMON STOC K / CUSIP: 55087P104 / Symbol:							
<i>2 transactions for 11/01/21. Total proceeds and cost reported to the IRS.</i>							
	8.000	369.44	07/14/21	444.71	...	-75.27	1 of 2 - Sale ²⁵
	0.002	0.11	07/14/21	0.13	...	-0.02	2 of 2 - Sale ²⁵
11/01/21	8.002	369.55	Various	444.84	...	-75.29	Total of 2 transactions
<i>3 transactions for 11/19/21. Total proceeds and cost reported to the IRS.</i>							
	0.992	47.25	07/14/21	55.16	...	-7.91	1 of 3 - Sale ²⁵
	8.000	380.97	08/04/21	399.88	...	-18.91	2 of 3 - Sale ²⁵
	0.002	0.11	08/04/21	0.12	...	-0.01	3 of 3 - Sale ²⁵
11/19/21	8.995	428.33	Various	455.16	...	-26.83	Total of 3 transactions
	Security total:	797.88		900.00	...	-102.12	
MACY'S INC. / CUSIP: 55616P104 / Symbol:							
<i>2 transactions for 03/12/21. Total proceeds and cost reported to the IRS.</i>							
	0.560	10.47	01/27/21	10.07	...	0.40	1 of 2 - Sale ²⁵
	5.000	93.46	01/27/21	89.93	...	3.53	2 of 2 - Sale ²⁵
03/12/21	5.560	103.93	Various	100.00	...	3.93	Total of 2 transactions

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2021 1099-B* OMB No. 1545-0715

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02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
MARATHON DIGITAL HOLDINGS, INC. COMMON STOCK / CUSIP: 565788106 / Symbol:							
2 transactions for 03/18/21. Total proceeds and cost reported to the IRS.							
	1.000	45.36	02/18/21	43.33	...	2.03	1 of 2 - Sale ²⁵
	1.000	45.36	03/15/21	43.10	...	2.26	2 of 2 - Sale ²⁵
03/18/21	2.000	90.72	Various	86.43	...	4.29	Total of 2 transactions
MARATHON PATENT GROUP, INC. COMMON STOCK / CUSIP: 56585W401 / Symbol:							
02/12/21	3.000	120.00	02/09/21	106.50	...	13.50	Sale ²⁵
MERCADOLIBRE, INC. COMMON STOCK / CUSIP: 58733R102 / Symbol:							
2 transactions for 11/01/21. Total proceeds and cost reported to the IRS.							
	0.054	79.78	02/08/21	101.93	...	-22.15	1 of 2 - Sale ²⁵
	0.055	81.84	02/16/21	107.26	...	-25.42	2 of 2 - Sale ²⁵
11/01/21	0.109	161.62	Various	209.19	...	-47.57	Total of 2 transactions
MICROVISION, INC. COMMON STOCK / CUSIP: 594960304 / Symbol:							
04/27/21	9.000	229.73	04/21/21	111.51	...	118.22	Sale ²⁵
MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol:							
07/20/21	1.000	303.83	01/07/21	118.13	...	185.70	Sale ²⁵
RADIAN GROUP INC. / CUSIP: 750236101 / Symbol:							
3 transactions for 02/08/21. Total proceeds and cost reported to the IRS.							
	10.000	204.73	07/14/20	135.20	...	69.53	1 of 3 - Sale ²⁵
	0.396	8.11	09/08/20	6.25	...	1.86	2 of 3 - Sale ²⁵
	0.066	1.35	12/07/20	1.30	...	0.05	3 of 3 - Sale ²⁵
02/08/21	10.462	214.19	Various	142.75	...	71.44	Total of 3 transactions
ROCKET COMPANIES, INC. / CUSIP: 77311W101 / Symbol:							
03/02/21	1.000	35.95	12/07/20	20.11	...	15.84	Sale ²⁵
THE SCOTTS MIRACLE-GRO COMPANY / CUSIP: 810186106 / Symbol:							
2 transactions for 11/01/21. Total proceeds and cost reported to the IRS.							
	0.411	60.87	02/11/21	98.75	...	-37.88	1 of 2 - Sale ²⁵
	0.004	0.52	02/11/21	0.85	...	-0.33	2 of 2 - Sale ²⁵
11/01/21	0.415	61.39	Various	99.60	...	-38.21	Total of 2 transactions

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Robinhood Securities LLC

Account 168786895

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
SHIFT TECHNOLOGIES, INC. CLASS A COMMON STOCK / CUSIP: 82452T107 / Symbol:							
2 transactions for 02/16/21. Total proceeds and cost reported to the IRS.							
	0.027	0.28	02/05/21	0.23	...	0.05	1 of 2 - Sale ²⁵
	5.935	60.06	02/05/21	49.77	...	10.29	2 of 2 - Sale ²⁵
02/16/21	5.962	60.34	Various	50.00	...	10.34	Total of 2 transactions
SHOPIFY INC. CLASS A SUBORDINA TE VOTING SHARES / CUSIP: 82509L107 / Symbol:							
11/05/21	0.177	271.50	03/17/21	200.00	...	71.50	Sale ²⁵
SILVERGATE CAPITAL CORPORATION / CUSIP: 82837P408 / Symbol:							
11/19/21	1.000	213.73	12/22/20	62.44	...	151.29	Sale ²⁵
SIRIUS XM HOLDINGS INC. COMMON STOCK / CUSIP: 82968B103 / Symbol:							
3 transactions for 03/09/21. Total proceeds and cost reported to the IRS.							
	0.998	5.92	12/08/20	6.54	...	-0.62	1 of 3 - Sale ²⁵
	0.002	0.01	12/08/20	0.01	...	0.00	2 of 3 - Sale ²⁵
	0.002	0.01	03/01/21	0.01	...	0.00	3 of 3 - Sale ²⁵
03/09/21	1.002	5.94	Various	6.56	...	-0.62	Total of 3 transactions
SKILLZ INC. / CUSIP: 83067L109 / Symbol:							
3 transactions for 11/19/21. Total proceeds and cost reported to the IRS.							
	8.952	95.78	01/07/21	196.67	...	-100.89	1 of 3 - Sale ²⁵
	0.400	4.28	01/07/21	8.79	...	-4.51	2 of 3 - Sale ²⁵
	0.048	0.52	01/29/21	1.34	...	-0.82	3 of 3 - Sale ²⁵
11/19/21	9.400	100.58	Various	206.80	...	-106.22	Total of 3 transactions
BLOCK, INC. / CUSIP: 852234103 / Symbol:							
4 transactions for 02/02/21. Total proceeds and cost reported to the IRS.							
	0.867	194.74	11/09/20	165.36	...	29.38	1 of 4 - Sale ²⁵
	1.132	254.70	11/09/20	215.83	...	38.87	2 of 4 - Sale ²⁵
	0.867	195.03	01/07/21	205.35	...	-10.32	3 of 4 - Sale ²⁵
	0.000	0.10	01/07/21	0.11	...	-0.01	4 of 4 - Sale ²⁵
02/02/21	2.867	644.57	Various	586.65	...	57.92	Total of 4 transactions
TATTOOED CHEF, INC CLASS A COM MON STOCK / CUSIP: 87663X102 / Symbol:							
09/03/21	3.000	73.95	03/02/21	64.31	...	9.64	Sale ²⁵

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Robinhood Securities LLC

Account 168786895

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
TIDEWATER, INC. / CUSIP: 88642R109 / Symbol:							
03/03/21	7.000	98.00	11/30/20	66.96	...	31.04	Sale ²⁵
TWILIO INC. / CUSIP: 90138F102 / Symbol:							
01/07/21	0.000	0.01	12/17/20	0.01	...	0.00	Sale ²⁵
<i>2 transactions for 01/07/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	348.94	12/17/20	360.21	0.16 W	-11.11	1 of 2 - Sale ²⁵
	0.178	61.98	12/17/20	63.98	2.00 W	0.00	2 of 2 - Sale ²⁵
01/07/21	1.178	410.92	Various	424.19	2.16 W	-11.11	Total of 2 transactions
	Security total:	410.93		424.20	2.16 W	-11.11	
WIDEPOINT CORPORATION / CUSIP: 967590209 / Symbol:							
02/16/21	3.724	51.21	02/05/21	50.00	...	1.21	Sale ²⁵
ZOMEDICA CORP. / CUSIP: 98980M109 / Symbol:							
03/02/21	20.000	44.50	02/26/21	35.00	...	9.50	Sale ²⁵
ZYNERBA PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 98986X109 / Symbol:							
<i>3 transactions for 04/28/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	4.50	04/19/21	3.95	...	0.55	1 of 3 - Sale ²⁵
	1.000	4.50	04/19/21	3.95	...	0.55	2 of 3 - Sale ²⁵
	1.000	4.50	04/19/21	3.95	...	0.55	3 of 3 - Sale ²⁵
04/28/21	3.000	13.50	Various	11.85	...	1.65	Total of 3 transactions
ESSENT GROUP LTD / CUSIP: G3198U102 / Symbol:							
<i>4 transactions for 02/08/21. Total proceeds and cost reported to the IRS.</i>							
	0.040	1.75	07/14/20	1.28	...	0.47	1 of 4 - Sale ²⁵
	4.960	218.65	07/14/20	160.07	...	58.58	2 of 4 - Sale ²⁵
	0.021	0.95	09/11/20	0.80	...	0.15	3 of 4 - Sale ²⁵
	0.018	0.80	12/11/20	0.80	...	0.00	4 of 4 - Sale ²⁵
02/08/21	5.040	222.15	Various	162.95	...	59.20	Total of 4 transactions
MANCHESTER UNITED PLC / CUSIP: G5784H106 / Symbol:							
<i>5 transactions for 09/23/21. Total proceeds and cost reported to the IRS.</i>							
	0.037	0.72	01/08/21	0.63	...	0.09	1 of 5 - Sale ²⁵
	0.039	0.76	07/27/21	0.62	...	0.14	2 of 5 - Sale ²⁵

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Robinhood Securities LLC

Account 168786895

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
MANCHESTER UNITED PLC / CUSIP: G5784H106 / Symbol: (cont'd)							
	0.961	18.65	07/27/21	15.22	...	3.43	3 of 5 - Sale ²⁵
	0.039	0.76	08/02/21	0.63	...	0.13	4 of 5 - Sale ²⁵
	14.000	271.77	08/27/21	264.74	...	7.03	5 of 5 - Sale ²⁵
09/23/21	15.076	292.66	Various	281.84	...	10.82	Total of 5 transactions
OXFORD IMMUNOTEC GLOBAL PLC OR DINARY SHARES / CUSIP: G6855A103 / Symbol:							
03/08/21	20.000	440.00	07/21/20	295.40	...	144.60	Merger
Totals :		8,759.44		7,757.72	188.91 W	1,190.63	

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
BANK OF AMERICA CORPORATION / CUSIP: 060505104 / Symbol:							
4 transactions for 10/15/21. Total proceeds and cost reported to the IRS.							
	0.055	2.52	10/02/18	1.64	...	0.88	1 of 4 - Sale ²⁵
	0.945	43.01	10/02/18	27.92	...	15.09	2 of 4 - Sale ²⁵
	1.000	45.53	10/19/18	28.28	...	17.25	3 of 4 - Sale ²⁵
	0.015	0.69	09/28/20	0.36	...	0.33	4 of 4 - Sale ²⁵
10/15/21	2.015	91.75	Various	58.20	...	33.55	Total of 4 transactions
GOPRO, INC. CLASS A COMMON STO CK / CUSIP: 38268T103 / Symbol:							
03/10/21	3.000	27.00	10/08/18	18.63	...	8.37	Sale ²⁵
LYFT, INC. CLASS A COMMON STOC K / CUSIP: 55087P104 / Symbol:							
03/11/21	1.000	64.44	11/15/19	42.18	...	22.26	Sale ²⁵

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Robinhood Securities LLC

Account 168786895

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE C LASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
01/08/21	2.000	115.60	10/03/19	3.00	...		112.60 Sale ²⁵
01/11/21	3.000	188.41	10/03/19	4.50	...		183.91 Sale ²⁵
04/26/21	5.000	215.25	10/03/19	7.50	...		207.75 Sale ²⁵
05/04/21	5.000	187.70	10/03/19	7.50	...		180.20 Sale ²⁵
	Security total:	706.96		22.50	...		684.46
MANCHESTER UNITED PLC / CUSIP: G5784H106 / Symbol:							
08/27/21	7.000	128.81	09/25/19	116.80	...		12.01 Sale ²⁵
Totals :		1,018.96		258.31			760.65

UNDETERMINED TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)

Report on Form 8949, Part I with Box B checked or Part II with Box E checked. Basis is NOT provided to the IRS. (Line 12)

"Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ISHARES SILVER TRUST / CUSIP: 46428Q109 / Symbol: / Note: CL							
02/28/21	0.000	0.05	N/A	Principal payment ¹⁶ Cost Basis Factor: 0.000397717
03/31/21	0.000	0.05	N/A	Principal payment ¹⁶ Cost Basis Factor: 0.000461133
04/30/21	0.000	0.05	N/A	Principal payment ¹⁶ Cost Basis Factor: 0.000393270
05/31/21	0.000	0.05	N/A	Principal payment ¹⁶ Cost Basis Factor: 0.000405766
06/30/21	0.000	0.05	N/A	Principal payment ¹⁶ Cost Basis Factor: 0.000433420
07/31/21	0.000	0.05	N/A	Principal payment ¹⁶ Cost Basis Factor: 0.000432626
08/31/21	0.000	0.05	N/A	Principal payment ¹⁶ Cost Basis Factor: 0.000427223
09/30/21	0.000	0.04	N/A	Principal payment ¹⁶ Cost Basis Factor: 0.000432880

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Robinhood Securities LLC

Account 168786895

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

UNDETERMINED TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)

Report on Form 8949, Part I with Box B checked or Part II with Box E checked. Basis is NOT provided to the IRS. (Line 12)

"Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ISHARES SILVER TRUST / CUSIP: 46428Q109 / Symbol: / Note: CL (cont'd)							
10/31/21	0.000	0.05	N/A	Principal payment ¹⁶ Cost Basis Factor: 0.000414059
11/30/21	0.000	0.05	N/A	Principal payment ¹⁶ Cost Basis Factor: 0.000434447
12/31/21	0.000	0.04	N/A	Principal payment ¹⁶ Cost Basis Factor: 0.000424936
	Security total:	0.53		
Totals :		0.53		

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Robinhood Securities LLC

Account 168786895

Proceeds Not Reported to the IRS

2021

02/11/2022

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
ORION OFFICE REIT INC. / CUSIP: 68629Y103 / Symbol: 11/19/21	0.305	6.66	11/15/21	0.00	...	6.66	Gain or loss
SURO CAPITAL CORP. COMMON STOC K / CUSIP: 86887Q109 / Symbol: <i>8 transactions for 06/30/21</i>							
	0.211	2.85	09/09/20	2.69	...	0.16	Gain or loss
	0.013	0.17	10/21/20	0.11	...	0.06	Gain or loss
	0.009	0.12	12/01/20	0.11	...	0.01	Gain or loss
	0.004	0.05	01/19/21	0.05	...	0.00	Gain or loss
	0.004	0.06	02/22/21	0.06	...	0.00	Gain or loss
	0.211	2.85	04/16/21	3.26	...	-0.41	Gain or loss
	0.004	0.05	04/16/21	0.06	...	-0.01	Gain or loss
	0.211	2.85	04/26/21	3.08	...	-0.23	Gain or loss
06/30/21	0.667	9.00	Various	9.42	...	-0.42	Total of 8 transactions
10/04/21	0.205	2.64	09/30/21	2.64	...	0.00	Gain or loss
12/30/21	0.884	11.23	12/30/21	11.23	...	0.00	Gain or loss
Security total:		22.87		23.29	...	-0.42	
Totals:		29.53		23.29	...	6.24	

Robinhood Securities LLC

Account 168786895

Detail for Dividends and Distributions

2021

02/11/2022

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. There is also a holder level criteria for a dividend to be treated as Qualified. If the payment is from a common stock you are required to have held it for more than 60 days during the 121-day period that begins 60 days before the ex-dividend date of the dividend. If the dividend is from preferred shares and covers a period greater than 366 days, you must have held the preferred stock for more than 90 days during the 181-day period that begins 90 days before the ex-dividend date of the dividend.

Amounts presented in Box 2e as Section 897 Ordinary Dividends or Box 2f as Section 897 Capital Gains apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. If you are a US citizen, you should disregard this.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
AT&T INC.	00206R102		02/01/21	2.65	Qualified dividend	
			05/03/21	2.70	Qualified dividend	
			08/02/21	2.74	Qualified dividend	
			11/01/21	2.79	Qualified dividend	
				10.88	Total Dividends & distributions	
ARK INNOVATION ETF	00214Q104		12/31/21	4.23	Short-term capital gain	03
			12/31/21	2.35	Long-term capital gain	
			12/31/21	0.56	Qualified dividend	03
	7.14	Total Dividends & distributions				
ARK GENOMIC REVOLUTION ETF	00214Q302		12/31/21	2.69	Qualified dividend	03
			12/31/21	0.01	Short-term capital gain	03
	2.70	Total Dividends & distributions				
ARK NEXT GENERATION INTERNET E TF	00214Q401		12/31/21	22.11	Long-term capital gain	
			12/31/21	0.97	Short-term capital gain	03
			12/31/21	0.42	Qualified dividend	03
	23.50	Total Dividends & distributions				
APPLE INC. COMMON STOCK	037833100		02/11/21	0.31	Qualified dividend	
			05/13/21	0.42	Qualified dividend	
			08/12/21	5.26	Qualified dividend	
			11/11/21	5.27	Qualified dividend	
	11.26	Total Dividends & distributions				

Robinhood Securities LLC

Account 168786895

Detail for Dividends and Distributions

2021

(continued)

02/11/2022

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
BANK OF AMERICA CORPORATION	060505104		03/26/21	0.36	Qualified dividend	
			06/25/21	0.37	Qualified dividend	
			09/24/21	0.43	Qualified dividend	
				1.16	Total Dividends & distributions	
FIRST MAJESTIC SILVER CORP	32076V103		06/04/21	0.02	Nonqualified dividend	
			09/16/21	0.03	Nonqualified dividend	
			11/30/21	0.02	Nonqualified dividend	
				0.07	Total Dividends & distributions	
FORD MOTOR COMPANY	345370860		12/01/21	1.40	Qualified dividend	
GENERAL ELECTRIC COMPANY	369604103		07/26/21	0.18	Qualified dividend	
GENERAL ELECTRIC COMPANY	369604301		10/25/21	0.16	Qualified dividend	
GLOBAL X SILVER MINERS ETF (NE W)	37954Y848		07/08/21	0.19	Qualified dividend	03
			07/08/21	0.17	Nonqualified dividend	03
			01/07/22	0.43	Qualified dividend	03
			01/07/22	0.39	Nonqualified dividend	03
				1.18	Total Dividends & distributions	
GOOSEHEAD INSURANCE, INC. CLAS S A COMMON STOCK	38267D109		08/23/21	1.65	Qualified dividend	
ISHARES CORE S&P SMALL-CAP ETF	464287804		03/31/21	0.28	Qualified dividend	03
			03/31/21	0.20	Nonqualified dividend	03
			03/31/21	0.05	Section 199A dividend	03
			06/16/21	0.30	Qualified dividend	03
			06/16/21	0.16	Nonqualified dividend	03
			06/16/21	0.05	Section 199A dividend	03
			09/30/21	0.81	Qualified dividend	03
			09/30/21	0.11	Nonqualified dividend	03
			09/30/21	0.09	Section 199A dividend	03
			12/17/21	1.17	Qualified dividend	03
			12/17/21	0.17	Nonqualified dividend	03
			12/17/21	0.14	Section 199A dividend	03
				3.53	Total Dividends & distributions	

Robinhood Securities LLC

Account 168786895

Detail for Dividends and Distributions

2021

(continued)

02/11/2022

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
JOHNSON & JOHNSON	478160104		03/09/21	1.02	Qualified dividend	
			06/08/21	1.07	Qualified dividend	
			09/07/21	1.08	Qualified dividend	
			12/07/21	1.09	Qualified dividend	
				4.26	Total Dividends & distributions	
MGIC INVESTMENT CORP.	552848103		03/03/21	1.21	Qualified dividend	
			05/27/21	1.22	Qualified dividend	
			08/26/21	1.63	Qualified dividend	
			11/23/21	1.64	Qualified dividend	
				5.70	Total Dividends & distributions	
MICROSOFT CORPORATION COMMON STOCK	594918104		12/09/21	0.62	Qualified dividend	
NEWELL BRANDS INC. COMMON STOCK	651229106		09/15/21	0.46	Qualified dividend	
			12/15/21	0.46	Qualified dividend	
				0.92	Total Dividends & distributions	
NVIDIA CORPORATION COMMON STOCK	67066G104		09/23/21	0.04	Qualified dividend	
			12/23/21	0.04	Qualified dividend	
				0.08	Total Dividends & distributions	
PEPSICO, INC. COMMON STOCK	713448108		03/31/21	2.05	Qualified dividend	
			06/30/21	2.17	Qualified dividend	
			09/30/21	2.18	Qualified dividend	
				6.40	Total Dividends & distributions	
PFIZER INC.	717081103		03/05/21	1.56	Qualified dividend	
			06/04/21	1.58	Qualified dividend	
			09/07/21	1.59	Qualified dividend	
			12/06/21	1.61	Qualified dividend	
				6.34	Total Dividends & distributions	
REALTY INCOME CORPORATION	756109104		06/15/21	0.31	Nondividend distribution	03
			06/15/21	0.15	Section 199A dividend	03
			06/15/21	0.01	Unrecaptured section 1250 gain	03
			07/15/21	0.48	Nondividend distribution	03
			07/15/21	0.22	Section 199A dividend	03
			07/15/21	0.01	Unrecaptured section 1250 gain	03
			08/13/21	0.48	Nondividend distribution	03

Robinhood Securities LLC

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Detail for Dividends and Distributions

2021

(continued)

02/11/2022

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
REALTY INCOME CORPORATION (cont'd)			08/13/21	0.22	Section 199A dividend	03
			08/13/21	0.01	Unrecaptured section 1250 gain	03
			09/15/21	0.48	Nondividend distribution	03
			09/15/21	0.22	Section 199A dividend	03
			09/15/21	0.01	Unrecaptured section 1250 gain	03
			10/15/21	0.49	Nondividend distribution	03
			10/15/21	0.22	Section 199A dividend	03
			10/15/21	0.01	Unrecaptured section 1250 gain	03
			11/15/21	0.49	Nondividend distribution	03
			11/15/21	0.22	Section 199A dividend	03
			11/15/21	0.01	Unrecaptured section 1250 gain	03
			12/15/21	0.51	Nondividend distribution	03
			12/15/21	0.23	Section 199A dividend	03
			12/15/21	0.01	Unrecaptured section 1250 gain	03
			4.79	Total Dividends & distributions		
ROCKET COMPANIES, INC.	77311W101		03/23/21	2.22	Qualified dividend	
SPDR S&P 500 ETF TRUST	78462F103		04/30/21	3.61	Qualified dividend	03
			04/30/21	0.25	Nonqualified dividend	03
			07/30/21	3.90	Qualified dividend	03
			07/30/21	0.27	Nonqualified dividend	03
			10/29/21	4.06	Qualified dividend	03
			10/29/21	0.28	Nonqualified dividend	03
			01/31/22	4.99	Qualified dividend	
			17.36	Total Dividends & distributions		
SCHLUMBERGER LIMITED	806857108		07/08/21	0.12	Qualified dividend	
			10/07/21	0.12	Qualified dividend	
			0.24	Total Dividends & distributions		
THE SCOTTS MIRACLE-GRO COMPANY	810186106		03/10/21	0.52	Qualified dividend	
			06/10/21	0.52	Qualified dividend	
			09/10/21	0.55	Qualified dividend	
			12/10/21	0.28	Qualified dividend	
			1.87	Total Dividends & distributions		
SIRIUS XM HOLDINGS INC. COMMON STOCK	82968B103		02/26/21	0.01	Qualified dividend	

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Detail for Dividends and Distributions

2021

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02/11/2022

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
STARBUCKS CORPORATION COMMON S TOCK	855244109		05/28/21	0.90	Qualified dividend	
			08/27/21	0.90	Qualified dividend	
			11/26/21	0.99	Qualified dividend	
				2.79	Total Dividends & distributions	
SUBARU CORPORATION AMERICAN DE POSITARY RECEIPTS - UNSPONSORE D	86428V104		07/09/21	0.89	Nonqualified dividend	
			07/09/21	-0.14	Foreign tax withheld-JA	
			12/15/21	0.87	Nonqualified dividend	
			12/15/21	-0.13	Foreign tax withheld-JA	
	1.76	Total Dividends & distributions				
	-0.27	Total Foreign tax withheld				
SURO CAPITAL CORP. COMMON STOC K	86887Q109		02/19/21	2.79	Qualified dividend	
			04/15/21	2.84	Qualified dividend	
			06/30/21	70.15	Qualified dividend	
			09/30/21	70.81	Qualified dividend	
			12/30/21	79.27	Qualified dividend	
	225.86	Total Dividends & distributions				
TAIWAN SEMICONDUCTOR MANUFACTU RING COMPANY LTD.	874039100		04/15/21	0.44	Qualified dividend	
			04/15/21	-0.09	Foreign tax withheld-TW	
			07/15/21	0.45	Qualified dividend	
			07/15/21	-0.09	Foreign tax withheld-TW	
			10/14/21	0.49	Qualified dividend	
			10/14/21	-0.10	Foreign tax withheld-TW	
	1.38	Total Dividends & distributions				
	-0.28	Total Foreign tax withheld				
UNITEDHEALTH GROUP INCORPORATE D (DELAWARE)	91324P102		03/23/21	1.25	Qualified dividend	
			06/29/21	1.46	Qualified dividend	
			09/21/21	1.47	Qualified dividend	
			12/14/21	1.47	Qualified dividend	
	5.65	Total Dividends & distributions				

Robinhood Securities LLC

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Detail for Dividends and Distributions

2021

(continued)

02/11/2022

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
VANECK VIDEO GAMING AND ESPORT S ETF	92189F114		12/27/21	4.72	Short-term capital gain	03
			12/27/21	1.24	Qualified dividend	03
			12/27/21	0.66	Long-term capital gain	
			12/27/21	0.22	Nonqualified dividend	03
			12/27/21	-0.14	Foreign tax withheld-Variou	03
				6.84	Total Dividends & distributions	
		-0.14	Total Foreign tax withheld			
VANGUARD FTSE EUROPE ETF	922042874		03/25/21	0.49	Qualified dividend	03
			03/25/21	0.09	Nonqualified dividend	03
			03/25/21	-0.03	Foreign tax withheld-Variou	03
			06/24/21	1.97	Qualified dividend	03
			06/24/21	0.39	Nonqualified dividend	03
			06/24/21	-0.10	Foreign tax withheld-Variou	03
			09/23/21	1.06	Qualified dividend	03
			09/23/21	0.21	Nonqualified dividend	03
			09/23/21	-0.06	Foreign tax withheld-Variou	03
			12/23/21	1.84	Qualified dividend	03
			12/23/21	0.36	Nonqualified dividend	03
			12/23/21	-0.10	Foreign tax withheld-Variou	03
		6.41	Total Dividends & distributions			
		-0.29	Total Foreign tax withheld			
VANGUARD S&P 500 ETF	922908363		03/31/21	1.22	Qualified dividend	03
			03/31/21	0.04	Section 199A dividend	03
			07/02/21	1.30	Qualified dividend	03
			07/02/21	0.04	Section 199A dividend	03
			10/04/21	1.28	Qualified dividend	03
			10/04/21	0.04	Section 199A dividend	03
			12/27/21	1.50	Qualified dividend	03
			12/27/21	0.05	Section 199A dividend	03
		5.47	Total Dividends & distributions			

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Detail for Dividends and Distributions

2021

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Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
VANGUARD TOTAL STOCK MARKET ET F	922908769		06/29/21	0.65	Qualified dividend	03
			06/29/21	0.03	Section 199A dividend	03
			09/29/21	0.70	Qualified dividend	03
			09/29/21	0.03	Section 199A dividend	03
			12/30/21	0.82	Qualified dividend	03
			12/30/21	0.04	Section 199A dividend	03
			2.27	Total Dividends & distributions		
VISA INC.	92826C839		06/01/21	0.96	Qualified dividend	
			09/01/21	0.96	Qualified dividend	
			12/07/21	1.13	Qualified dividend	
			3.05	Total Dividends & distributions		
MANCHESTER UNITED PLC	G5784H106		01/07/21	0.63	Nonqualified dividend	
			07/30/21	0.63	Nonqualified dividend	
			1.26	Total Dividends & distributions		
			378.36	Total Dividends & distributions		
			-0.98	Total Foreign tax withheld		

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Detail for Interest Income

2021

02/11/2022

This section of your tax information statement contains the payment level detail of taxable interest and associated bond premium. Market discount will be shown here only if you have elected to recognize it currently rather than at the time of sale or maturity. Bond premium and market discount for covered tax lots are totaled on Form 1099-INT and reported to the IRS. For noncovered tax lots, they are totaled and presented beneath the 1099-INT for informational purposes and are not reported to the IRS.

To provide a complete picture of activity for each investment, we also include here nonreportable transactions such as accrued interest paid on purchases and payment or receipt of nonqualified interest. Other amounts, such as federal, state and foreign tax withheld and investment expenses are shown as negative amounts but do not net against the reportable income totals.

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		01/29/21	0.05	Interest	
		02/23/21	0.01	Interest	
		02/25/21	0.01	Interest	
		03/29/21	0.09	Interest	
		03/31/21	0.01	Interest	
		04/26/21	0.10	Interest	
		04/30/21	0.04	Interest	
		05/20/21	0.02	Interest	
		05/28/21	0.05	Interest	
		06/30/21	0.01	Interest	
		07/30/21	0.03	Interest	
		11/12/21	0.24	Interest	
		11/12/21	0.09	Interest	
			0.75	Total Interest	
Interest payment		08/31/21	0.08	Interest	
		09/30/21	0.03	Interest	
		10/29/21	0.05	Interest	
		11/30/21	0.06	Interest	
		12/31/21	0.04	Interest	
			0.26	Total Interest	
			1.01	Total Interest	

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Other Receipts and Reconciliations

2021

02/11/2022

This section of your tax information statement contains the detail of the year-to-year adjustments between cash distributions and reportable income for Unit Investment Trusts, reflecting the fact that income is recognized at the time it is received by the trust, rather than when a distribution is made.

Also shown are distributions whose tax impact will be defined by other documentation. In the case of partnership distributions, you will receive Schedule K-1 (Form 1065) directly from the partnership(s). For deferred income, the Form 1099-DIV issued in the following year will characterize the amounts received.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
VANGUARD FTSE EUROPE ETF	922042874	03/25/21	-0.01	Increase to cost basis	03
		06/24/21	-0.03	Increase to cost basis	03
		09/23/21	-0.02	Increase to cost basis	03
		12/23/21	-0.03	Increase to cost basis	03
			-0.09	Total Increase to cost basis	
			-0.09	Total Increase to cost basis	

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Fees and Expenses

2021

02/11/2022

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		03/01/21	-5.00	Margin interest paid	
		03/30/21	-5.00	Margin interest paid	
		04/29/21	-5.00	Margin interest paid	
		06/01/21	-5.00	Margin interest paid	
		06/28/21	-5.00	Margin interest paid	
		07/28/21	-5.00	Margin interest paid	
			-30.00	Total Margin interest paid	
Margin charge		08/27/21	-5.00	Margin interest paid	
		09/27/21	-5.00	Margin interest paid	
		10/26/21	-5.00	Margin interest paid	
		11/26/21	-5.00	Margin interest paid	
		12/27/21	-5.00	Margin interest paid	
			-25.00	Total Margin interest paid	
ISHARES SILVER TRUST	46428Q109	02/28/21	-0.05	Gross proceeds investment expense	15
	Note: CL	03/31/21	-0.05	Gross proceeds investment expense	15
		04/30/21	-0.05	Gross proceeds investment expense	15
		05/31/21	-0.05	Gross proceeds investment expense	15
		06/30/21	-0.05	Gross proceeds investment expense	15
		07/31/21	-0.05	Gross proceeds investment expense	15
		08/31/21	-0.05	Gross proceeds investment expense	15
		09/30/21	-0.04	Gross proceeds investment expense	15
		10/31/21	-0.05	Gross proceeds investment expense	15
		11/30/21	-0.05	Gross proceeds investment expense	15
		12/31/21	-0.04	Gross proceeds investment expense	15
			-0.53	Total Gross proceeds investmnt exp	
			-55.00	Total Margin interest paid	
			-0.53	Total Gross proceeds investmnt exp	

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Mutual Fund and UIT Supplemental Information

2021

02/11/2022

The following information may be useful in the preparation of your federal and state income tax return(s). This information represents what was available at the time your tax statement was prepared. It may not be all inclusive and is subject to change. Please contact your mutual fund or UIT directly if you need to verify or supplement this information. Note that depending on your state of residence not all Federal Source income is exempt from state taxation. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

VANECK VIDEO GAMING AND ESPORT S ETF / 92189F114**FOREIGN SOURCE INCOME PERCENTAGES**

Fgn Source Inc Tot	18.98%	Fgn Source Inc Qual	18.98%	Fgn Source Inc Adj	0.00%
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VANGUARD FTSE EUROPE ETF / 922042874**FOREIGN SOURCE INCOME PERCENTAGES**

Fgn Source Inc Tot	93.09%	Fgn Source Inc Qual	84.76%	Fgn Source Inc Adj	0.00%
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VANGUARD S&P 500 ETF / 922908363**PERCENTAGE OF INCOME FROM US GOVERNMENT SECURITIES**

Fed Source Total	0.01%	<i>(A detailed breakdown is shown below when available)</i>			
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<u>Agency</u>	<u>%</u>	<u>Agency</u>	<u>%</u>	<u>Agency</u>	<u>%</u>
U.S. Treasury	0.01	Fed Farm Credit	0.00	TN Valley Auth	0.00
Fed Home Loan	0.00	Student Loan	0.00	Other Dir. Fed	0.00

VANGUARD TOTAL STOCK MARKET ET F / 922908769**PERCENTAGE OF INCOME FROM US GOVERNMENT SECURITIES**

Fed Source Total	0.01%	<i>(A detailed breakdown is shown below when available)</i>			
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<u>Agency</u>	<u>%</u>	<u>Agency</u>	<u>%</u>	<u>Agency</u>	<u>%</u>
U.S. Treasury	0.01	Fed Farm Credit	0.00	TN Valley Auth	0.00
Fed Home Loan	0.00	Student Loan	0.00	Other Dir. Fed	0.00

Robinhood Securities LLC

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Foreign Income and Taxes Summary

2021

02/11/2022

This schedule lists all income and foreign tax by country. Mutual Funds and Unit Investment Trusts (UITs) structured as Regulated Investment Companies (RICs) are listed separately with a country designation of "RIC."

Country	Security Description	CUSIP	Dividends		Interest	Total Income	Tax
			Nonqualified	Qualified			
	VANECK VIDEO GAMING AND ESPORTS ETF	92189F114	4.94	1.24	0.00	6.18	-0.14
	VANGUARD FTSE EUROPE ETF	922042874	1.05	5.36	0.00	6.41	-0.29
Total			5.99	6.60	0.00	12.59	-0.43
CA	FIRST MAJESTIC SILVER CORP	32076V103	0.07	0.00	0.00	0.07	0.00
Total CANADA			0.07	0.00	0.00	0.07	0.00
JA	SUBARU CORPORATION AMERICAN DEPOSITARY RECEIPTS - UNSPONSORED	86428V104	1.76	0.00	0.00	1.76	-0.27
Total JAPAN			1.76	0.00	0.00	1.76	-0.27
TW	TAIWAN SEMICONDUCTOR MANUFACTURING COMPANY LTD.	874039100	0.00	1.38	0.00	1.38	-0.28
Total TAIWAN			0.00	1.38	0.00	1.38	-0.28
UK	MANCHESTER UNITED PLC	G5784H106	1.26	0.00	0.00	1.26	0.00
Total UNITED KINGDOM			1.26	0.00	0.00	1.26	0.00
Grand Total	Total Foreign Source Income*			17.06			
	Qualified Foreign Source Income**			7.98			
	Foreign Tax			-0.98			

* Total Foreign Source Income utilizes the "Total" column for RICs which may not always be supplied.

** Qualified Foreign Source Income utilizes the "Qualified" column for RICs which may not always be supplied.

Robinhood Securities LLC

Account 168786895

Non-Reportable Actions

2021

02/11/2022

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
FITBIT, INC. CLASS A COMMON STOCK	33812L102	01/15/21	-7.30	0.00	Merger	
FIVE PRIME THERAPEUTICS, INC. COMMON STOCK	33830X104	04/21/21	-1.00	0.00	Merger	
MARATHON DIGITAL HOLDINGS, INC. COMMON STOCK	565788106	03/01/21	1.00	0.00	Exchange	
MARATHON PATENT GROUP, INC. COMMON STOCK	56585W401	03/01/21	-1.00	0.00	Exchange	
SURO CAPITAL CORP. COMMON STOCK	86887Q109	09/30/21 12/30/21	2.00 1.00	0.00 0.00	Stock dividend Stock dividend	
OXFORD IMMUNOTEC GLOBAL PLC ORDINARY SHARES	G6855A103	03/12/21	-20.00	0.00	Merger	

- 03 The tax character of the distribution has been allocated based on information provided by the security issuer.
- 15 Income or expense adjustment. This security is a widely held fixed investment trust (WHFIT). Items of income, deduction, and credit, and any other information shown on this statement must be taken into account in computing taxable income and credits on your income tax return(s).
- 16 This transaction represents the sale of assets from a Widely Held Fixed Investment Trust (WHFIT). The cost basis allocation factor is the value of the assets sold divided by the total net asset value of the trust. If you know your cost of the assets sold, use that to determine your gain/loss. Otherwise, determine your cost basis by multiplying your adjusted cost basis by the cost basis allocation factor. For example, if your adjusted basis is \$1,000 and the cost basis allocation factor is 0.005 your cost basis allocated to that sale is $\$1,000 * 0.005$ or \$5. If there are subsequent sales of trust assets, your adjusted cost basis for the next sale is \$995. Sales are reported based on when and for how much the trust sold the asset. This may differ both in timing and amount from what is distributed. There are cases where the proceeds are used to pay expenses and there is no corresponding distribution. For more information refer to regulations section 1.671-5.
- 25 Tax lot closed on a first in first out basis.
- CL This trust invests exclusively in "collectibles." Gains recognized from the sale of "collectibles" held for more than one year are taxed at a maximum federal income tax rate of 28%. This applies to gains recognized from the sale of an interest in the trust as well as gains attributable to you from the Trust's sale of "collectibles" which you - through ownership of shares in the trust - are treated as having held for more than one year.
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Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or ORD) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

Line 1b. Shows the portion of the amount in Line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in Lines 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2c. Shows the portion of the amount in Line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

Line 2d. Shows the portion of the amount in Line 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2e. Shows the portion of the amount in Line 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

Line 2f. Shows the portion of the amount in Line 2a that is section 897 gain attributable to disposition of USRPI.

Note: Lines 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in Line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in Line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040SR.

Instructions for Recipient

Line 8. This Line should be left blank if a RIC reported the foreign tax shown in Line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040SR for where to report. This amount may be subject to backup withholding. See Line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in Line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting Lines.

Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to

special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

1099-INT Instructions for Recipient

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did not notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

Line 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2021 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

Line 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction.

Line 3. Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium.

Instructions for Recipient

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Line 5. Any amount shown is your share of investment expenses of a singleclass REMIC. This amount is included in line 1. Note: This amount is not deductible.

Line 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

Line 7. Shows the country or U.S. possession to which the foreign tax was paid.

Line 8. Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Forms 1040 and 1040-SR. This amount may be subject to backup withholding. See Line 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in line 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 10. For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includible in taxable income as interest income.

Line 11. For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

Line 12. For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 3. If the amount in line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

Line 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this line for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in line 8 or 9, whichever is applicable. If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

Line 14. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s).

Lines 15-17. State tax withheld reporting lines.

Future developments. For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099INT.

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