

<b>Robinhood Securities LLC</b> 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700  PAYER'S TIN: 38-4019216	<b>Tax Information</b> <b>Account 128952868</b>	Statement Date: <b>03/02/2022 AMENDED</b> Document ID: <b>5343 1HU G05J</b>	<b>2021</b>
	Ganesh Nomula 157 Stonebridge Blvd 2724 Edmond, OK 73013  RECIPIENT'S TIN: XXX-XX-5739		

**Summary Information**

<p><b>DIVIDENDS AND DISTRIBUTIONS</b>      <b>2021 1099-DIV*</b>      OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e)      141.51</p> <p>1b- Qualified dividends      141.51</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)      0.00</p> <p>2b- Unrecaptured Section 1250 gain      0.00</p> <p>2c- Section 1202 gain      0.00</p> <p>2d- Collectibles (28%) gain      0.00</p> <p>2e- Section 897 ordinary dividends      0.00</p> <p>2f- Section 897 capital gain      0.00</p> <p>3- Nondividend distributions      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>5- Section 199A dividends      0.00</p> <p>6- Investment expenses      0.00</p> <p>8- Foreign country or US possession: See detail      2.56</p> <p>7- Foreign tax paid:      2.56</p> <p>9- Cash liquidation distributions      0.00</p> <p>10- Noncash liquidation distributions      0.00</p> <p>11- Exempt-interest dividends (includes line 12)      0.00</p> <p>12- Specified private activity bond interest dividends (AMT)      0.00</p>	<p><b>MISCELLANEOUS INFORMATION</b>      <b>2021 1099-MISC*</b>      OMB No. 1545-0115</p> <p>2- Royalties      0.00</p> <p>3- Other income      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>8- Substitute payments in lieu of dividends or interest      0.00</p> <p><b>SECTION 1256 CONTRACTS</b>      <b>2021 1099-B*</b>      OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2021 on closed contracts      0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2020      0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2021      0.00</p> <p>11- Aggregate profit or (loss) on contracts      0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p><b>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</b></p>
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**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

*Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.*

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	153,998.37	164,308.19	0.00	9,642.66	-667.16 C
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.87	3.93	0.00	0.00	-3.06
	<b>Total Short-term</b>	<b>153,999.24</b>	<b>164,312.12</b>	<b>0.00</b>	<b>9,642.66</b>	<b>-670.22</b>
Long	D (basis reported to the IRS)	41,620.41	6,862.10	0.00	0.00	34,758.31 C
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>41,620.41</b>	<b>6,862.10</b>	<b>0.00</b>	<b>0.00</b>	<b>34,758.31</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>195,619.65</b>	<b>171,174.22</b>	<b>0.00</b>	<b>9,642.66</b>	<b>34,088.09</b>
<b>Withholding</b>		<b>Amount</b>				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

Robinhood Securities LLC

Account 128952868

**Summary Information**

2021

(continued)

03/02/2022 AMENDED

**INTEREST INCOME**

2021 1099-INT

OMB No. 1545-0112

**This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	170.20
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	65.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 128952868

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

03/02/2022 AMENDED

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAL 01/21/2022 CALL \$30.00 / CUSIP: / Symbol:							
12/02/21	1.000	3.98	11/10/21	16.00	...	-12.02	Option sale to close-call <sup>25</sup>
AAPL 12/31/2021 CALL \$182.50 / CUSIP: / Symbol:							
2 transactions for 12/29/21. Total proceeds and cost reported to the IRS.							
	1.000	89.98	12/28/21	78.00	...	11.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	89.98	12/28/21	78.00	...	11.98	2 of 2 - Option sale to close-call <sup>25</sup>
12/29/21	2.000	179.96	Various	156.00	...	23.96	Total of 2 transactions
ADBE 11/12/2021 CALL \$680.00 / CUSIP: / Symbol:							
11/10/21	1.000	124.98	11/09/21	269.00	...	-144.02	Option sale to close-call <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADMP 11/19/2021 CALL \$1.50 / CUSIP: / Symbol:							
3 transactions for 11/19/21. Total proceeds and cost reported to the IRS.							
	10.000	0.00	10/18/21	150.00	...	-150.00	1 of 3 - Option expiration- long call
	3.000	0.00	11/01/21	12.00	...	-12.00	2 of 3 - Option expiration- long call
	3.000	0.00	11/04/21	9.00	...	-9.00	3 of 3 - Option expiration- long call
11/19/21	16.000	0.00	Various	171.00	...	-171.00	Total of 3 transactions
ALGN 11/12/2021 CALL \$720.00 / CUSIP: / Symbol:							
11/09/21	1.000	503.98	11/08/21	280.00	...	223.98	Option sale to close-call <sup>25</sup>
ALGN 12/10/2021 CALL \$685.00 / CUSIP: / Symbol:							
12/09/21	4.000	2,999.97	12/07/21	2,240.00	...	759.97	Option sale to close-call <sup>25</sup>
AMBA 11/05/2021 CALL \$200.00 / CUSIP: / Symbol:							
11/05/21	1.000	144.98	11/01/21	240.00	...	-95.02	Option sale to close-call <sup>25</sup>
AMBA 12/10/2021 CALL \$220.00 / CUSIP: / Symbol:							
2 transactions for 12/07/21. Total proceeds and cost reported to the IRS.							
	2.000	1,399.98	12/07/21	760.00	...	639.98	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	1,399.99	12/07/21	760.00	...	639.99	2 of 2 - Option sale to close-call <sup>25</sup>
12/07/21	4.000	2,799.97	Various	1,520.00	...	1,279.97	Total of 2 transactions
AMD 11/05/2021 CALL \$124.00 / CUSIP: / Symbol:							
2 transactions for 11/01/21. Total proceeds and cost reported to the IRS.							
	1.000	216.99	11/01/21	175.00	...	41.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	216.99	11/01/21	142.00	...	74.99	2 of 2 - Option sale to close-call <sup>25</sup>
11/01/21	2.000	433.98	Various	317.00	...	116.98	Total of 2 transactions
AMD 11/05/2021 CALL \$133.00 / CUSIP: / Symbol:							
6 transactions for 11/04/21. Total proceeds and cost reported to the IRS.							
	1.000	560.00	11/03/21	65.00	...	495.00	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	559.99	11/03/21	63.00	...	496.99	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	560.00	11/03/21	59.00	...	501.00	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	559.99	11/03/21	50.00	...	509.99	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	560.00	11/03/21	47.00	...	513.00	5 of 6 - Option sale to close-call <sup>25</sup>

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Account 128952868

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMD 11/05/2021 CALL \$133.00 / CUSIP: / Symbol: (cont'd)							
	2.000	1,119.99	11/03/21	142.00	...	977.99	6 of 6 - Option sale to close-call <sup>25</sup>
11/04/21	7.000	3,919.97	Various	426.00	...	3,493.97	Total of 6 transactions
AMD 11/12/2021 CALL \$160.00 / CUSIP: / Symbol:							
11/09/21	1.000	283.98	11/08/21	280.00	...	3.98	Option sale to close-call <sup>25</sup>
APRE 10/15/2021 CALL \$15.00 / CUSIP: / Symbol:							
09/28/21	10.000	49.97	09/23/21	50.00	...	-0.03	Option sale to close-call <sup>25</sup>
APRE 10/15/2021 CALL \$7.50 / CUSIP: / Symbol:							
09/28/21	1.000	15.00	09/23/21	15.00	...	0.00	Option sale to close-call <sup>25</sup>
09/28/21	9.000	134.97	09/23/21	135.00	0.03 W	0.00	Option sale to close-call <sup>25</sup>
11 transactions for 10/15/21. Total proceeds and cost reported to the IRS.							
	91.000	0.00	10/01/21	1,820.00	...	-1,820.00	1 of 11 - Option expiration- long call
	2.000	0.00	10/01/21	40.01	...	-40.01	2 of 11 - Option expiration- long call
	2.000	0.00	10/01/21	40.01	...	-40.01	3 of 11 - Option expiration- long call
	2.000	0.00	10/01/21	40.01	...	-40.01	4 of 11 - Option expiration- long call
	1.000	0.00	10/01/21	20.00	...	-20.00	5 of 11 - Option expiration- long call
	1.000	0.00	10/01/21	20.00	...	-20.00	6 of 11 - Option expiration- long call
	1.000	0.00	10/01/21	20.00	...	-20.00	7 of 11 - Option expiration- long call
	1.000	0.00	10/01/21	20.00	...	-20.00	8 of 11 - Option expiration- long call
	1.000	0.00	10/07/21	15.00	...	-15.00	9 of 11 - Option expiration- long call
	1.000	0.00	10/08/21	15.00	...	-15.00	10 of 11 - Option expiration- long call
	15.000	0.00	10/11/21	150.00	...	-150.00	11 of 11 - Option expiration- long call
10/15/21	118.000	0.00	Various	2,200.03	...	-2,200.03	Total of 11 transactions

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## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APRE 10/15/2021 CALL \$7.50 / CUSIP: / Symbol: (cont'd)							
<b>Security total:</b>		<b>149.97</b>		<b>2,350.03</b>		<b>0.03 W</b>	<b>-2,200.03</b>
APRE 11/19/2021 CALL \$5.00 / CUSIP: / Symbol:							
11/09/21	1.000	57.98	10/26/21	90.00	...	-32.02	Option sale to close-call <sup>25</sup>
APRE 11/19/2021 CALL \$7.50 / CUSIP: / Symbol:							
2 transactions for 11/09/21. Total proceeds and cost reported to the IRS.							
	1.000	9.99	10/26/21	30.00	...	-20.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	9.99	11/05/21	20.00	...	-10.01	2 of 2 - Option sale to close-call <sup>25</sup>
11/09/21	2.000	19.98	Various	50.00	...	-30.02	Total of 2 transactions
APRE 12/17/2021 CALL \$5.00 / CUSIP: / Symbol:							
8 transactions for 12/17/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	12/01/21	21.00	...	-21.00	1 of 8 - Option expiration- long call
	1.000	0.00	12/02/21	18.00	...	-18.00	2 of 8 - Option expiration- long call
	2.000	0.00	12/06/21	30.00	...	-30.00	3 of 8 - Option expiration- long call
	10.000	0.00	12/13/21	80.00	...	-80.00	4 of 8 - Option expiration- long call
	10.000	0.00	12/15/21	40.00	...	-40.00	5 of 8 - Option expiration- long call
	10.000	0.00	12/15/21	40.00	...	-40.00	6 of 8 - Option expiration- long call
	5.000	0.00	12/15/21	25.00	...	-25.00	7 of 8 - Option expiration- long call
	1.000	0.00	12/15/21	5.00	...	-5.00	8 of 8 - Option expiration- long call
12/17/21	40.000	0.00	Various	259.00	...	-259.00	Total of 8 transactions
APRE 12/17/2021 CALL \$7.50 / CUSIP: / Symbol:							
7 transactions for 12/17/21. Total proceeds and cost reported to the IRS.							
	50.000	0.00	12/07/21	250.00	...	-250.00	1 of 7 - Option expiration- long call
	40.000	0.00	12/07/21	200.00	...	-200.00	2 of 7 - Option expiration- long call
	10.000	0.00	12/07/21	50.00	...	-50.00	3 of 7 - Option expiration- long call
	1.000	0.00	12/07/21	10.00	...	-10.00	4 of 7 - Option expiration- long call
	5.000	0.00	12/10/21	25.00	...	-25.00	5 of 7 - Option expiration- long call
	5.000	0.00	12/10/21	25.00	...	-25.00	6 of 7 - Option expiration- long call
	4.000	0.00	12/10/21	20.00	...	-20.00	7 of 7 - Option expiration- long call
12/17/21	115.000	0.00	Various	580.00	...	-580.00	Total of 7 transactions

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Robinhood Securities LLC

Account 128952868

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ATVI 11/05/2021 CALL \$80.00 / CUSIP: / Symbol:							
11/02/21	1.000	129.98	11/02/21	126.00	...	3.98	Option sale to close-call <sup>25</sup>
BABA 10/08/2021 CALL \$145.00 / CUSIP: / Symbol:							
2 transactions for 10/07/21. Total proceeds and cost reported to the IRS.							
	5.000	3,499.97	10/01/21	1,750.00	...	1,749.97	1 of 2 - Option sale to close-call <sup>25</sup>
	5.000	4,749.96	10/01/21	1,750.00	...	2,999.96	2 of 2 - Option sale to close-call <sup>25</sup>
10/07/21	10.000	8,249.93	Various	3,500.00	...	4,749.93	Total of 2 transactions
BABA 10/08/2021 CALL \$162.50 / CUSIP: / Symbol:							
11 transactions for 10/08/21. Total proceeds and cost reported to the IRS.							
	1.000	61.98	10/08/21	61.00	...	0.98	1 of 11 - Option sale to close-call <sup>25</sup>
	1.000	62.00	10/08/21	61.00	...	1.00	2 of 11 - Option sale to close-call <sup>25</sup>
	1.000	62.00	10/08/21	61.00	...	1.00	3 of 11 - Option sale to close-call <sup>25</sup>
	1.000	62.00	10/08/21	61.00	...	1.00	4 of 11 - Option sale to close-call <sup>25</sup>
	2.000	123.98	10/08/21	122.00	...	1.98	5 of 11 - Option sale to close-call <sup>25</sup>
	2.000	123.98	10/08/21	122.00	...	1.98	6 of 11 - Option sale to close-call <sup>25</sup>
	2.000	124.00	10/08/21	122.00	...	2.00	7 of 11 - Option sale to close-call <sup>25</sup>
	5.000	309.98	10/08/21	305.00	...	4.98	8 of 11 - Option sale to close-call <sup>25</sup>
	27.000	1,673.93	10/08/21	1,647.00	...	26.93	9 of 11 - Option sale to close-call <sup>25</sup>
	29.000	1,797.93	10/08/21	1,769.00	...	28.93	10 of 11 - Option sale to close-call <sup>25</sup>
	29.000	1,797.93	10/08/21	1,769.00	...	28.93	11 of 11 - Option sale to close-call <sup>25</sup>
10/08/21	100.000	6,199.71	Various	6,100.00	...	99.71	Total of 11 transactions

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Robinhood Securities LLC

Account 128952868

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BABA 10/15/2021 CALL \$170.00 / CUSIP: / Symbol:							
4 transactions for 10/15/21. Total proceeds and cost reported to the IRS.							
	5.000	149.99	10/11/21	1,800.00	...	-1,650.01	1 of 4 - Option sale to close-call <sup>25</sup>
	3.000	89.99	10/11/21	1,080.00	...	-990.01	2 of 4 - Option sale to close-call <sup>25</sup>
	2.000	59.99	10/11/21	720.00	...	-660.01	3 of 4 - Option sale to close-call <sup>25</sup>
	5.000	149.99	10/12/21	450.00	...	-300.01	4 of 4 - Option sale to close-call <sup>25</sup>
10/15/21	15.000	449.96	Various	4,050.00	...	-3,600.04	Total of 4 transactions
BABA 10/15/2021 PUT \$155.00 / CUSIP: / Symbol:							
10/15/21	1.000	1.98	10/12/21	90.00	...	-88.02	Option sale to close-put <sup>25</sup>
BABA 10/29/2021 PUT \$150.00 / CUSIP: / Symbol:							
5 transactions for 10/26/21. Total proceeds and cost reported to the IRS.							
	1.000	17.99	10/26/21	13.00	...	4.99	1 of 5 - Option sale to close-put <sup>25</sup>
	1.000	17.99	10/26/21	13.00	...	4.99	2 of 5 - Option sale to close-put <sup>25</sup>
	1.000	18.00	10/26/21	13.00	...	5.00	3 of 5 - Option sale to close-put <sup>25</sup>
	2.000	35.99	10/26/21	26.00	...	9.99	4 of 5 - Option sale to close-put <sup>25</sup>
	5.000	89.98	10/26/21	65.00	...	24.98	5 of 5 - Option sale to close-put <sup>25</sup>
10/26/21	10.000	179.95	Various	130.00	...	49.95	Total of 5 transactions
BABA 11/05/2021 PUT \$130.00 / CUSIP: / Symbol:							
10 transactions for 11/04/21. Total proceeds and cost reported to the IRS.							
	2.000	2.00	10/29/21	12.00	...	-10.00	1 of 10 - Option sale to close-put <sup>25</sup>
	1.000	1.00	10/29/21	5.00	...	-4.00	2 of 10 - Option sale to close-put <sup>25</sup>
	2.000	1.99	11/01/21	10.00	...	-8.01	3 of 10 - Option sale to close-put <sup>25</sup>
	3.000	2.99	11/02/21	51.01	...	-48.02	4 of 10 - Option sale to close-put <sup>25</sup>
	2.000	1.99	11/02/21	34.01	...	-32.02	5 of 10 - Option sale to close-put <sup>25</sup>
	2.000	1.99	11/02/21	34.01	...	-32.02	6 of 10 - Option sale to close-put <sup>25</sup>
	1.000	1.00	11/02/21	17.00	...	-16.00	7 of 10 - Option sale to close-put <sup>25</sup>
	1.000	1.00	11/02/21	17.00	...	-16.00	8 of 10 - Option sale to close-put <sup>25</sup>
	1.000	1.00	11/02/21	17.00	...	-16.00	9 of 10 - Option sale to close-put <sup>25</sup>
	5.000	4.99	11/02/21	15.00	...	-10.01	10 of 10 - Option sale to close-put <sup>25</sup>
11/04/21	20.000	19.95	Various	212.03	...	-192.08	Total of 10 transactions

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Robinhood Securities LLC

Account 128952868

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BABA 11/05/2021 PUT \$130.00 / CUSIP: / Symbol: (cont'd)							
4 transactions for 11/04/21. Total proceeds and cost reported to the IRS.							
	1.000	1.00	10/26/21	15.00	14.00 W	0.00	1 of 4 - Option sale to close-put <sup>25</sup>
	3.000	2.99	10/26/21	45.00	42.01 W	0.00	2 of 4 - Option sale to close-put <sup>25</sup>
	3.000	2.99	10/26/21	45.00	42.01 W	0.00	3 of 4 - Option sale to close-put <sup>25</sup>
	3.000	2.99	10/26/21	45.00	42.01 W	0.00	4 of 4 - Option sale to close-put <sup>25</sup>
11/04/21	10.000	9.97	Various	150.00	140.03 W	0.00	Total of 4 transactions
	<b>Security total:</b>	<b>29.92</b>		<b>362.03</b>	<b>140.03 W</b>	<b>-192.08</b>	
BABA 11/12/2021 CALL \$165.00 / CUSIP: / Symbol:							
11/10/21	2.000	463.98	11/10/21	690.00	...	-226.02	Option sale to close-call <sup>25</sup>
BABA 11/12/2021 CALL \$170.00 / CUSIP: / Symbol:							
11/10/21	1.000	71.98	11/10/21	120.00	...	-48.02	Option sale to close-call <sup>25</sup>
BABA 11/12/2021 CALL \$175.00 / CUSIP: / Symbol:							
5 transactions for 11/10/21. Total proceeds and cost reported to the IRS.							
	4.000	71.98	11/10/21	120.00	...	-48.02	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	18.00	11/10/21	52.04	...	-34.04	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	17.99	11/10/21	30.00	...	-12.01	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	18.00	11/10/21	30.00	...	-12.00	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	18.00	11/10/21	30.00	...	-12.00	5 of 5 - Option sale to close-call <sup>25</sup>
11/10/21	8.000	143.97	Various	262.04	...	-118.07	Total of 5 transactions
4 transactions for 11/10/21. Total proceeds and cost reported to the IRS.							
	1.000	17.98	11/10/21	28.00	10.02 W	0.00	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	17.98	11/10/21	28.00	10.02 W	0.00	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	17.98	11/10/21	40.02	22.04 W	0.00	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	18.00	11/10/21	40.02	22.02 W	0.00	4 of 4 - Option sale to close-call <sup>25</sup>
11/10/21	4.000	71.94	Various	136.04	64.10 W	0.00	Total of 4 transactions
11/11/21	1.000	19.98	11/11/21	73.02	...	-53.04	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>235.89</b>		<b>471.10</b>	<b>64.10 W</b>	<b>-171.11</b>	
BABA 11/12/2021 PUT \$130.00 / CUSIP: / Symbol:							
11/10/21	2.000	7.98	11/05/21	36.00	...	-28.02	Option sale to close-put <sup>25</sup>

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Robinhood Securities LLC

Account 128952868

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BABA 11/12/2021 PUT \$140.00 / CUSIP: / Symbol:							
11/05/21	1.000	37.98	11/05/21	37.00	...	0.98	Option sale to close-put <sup>25</sup>
BABA 12/03/2021 PUT \$110.00 / CUSIP: / Symbol:							
12/03/21	2.000	561.98	12/03/21	258.00	...	303.98	Option sale to close-put <sup>25</sup>
BABA 12/03/2021 PUT \$90.00 / CUSIP: / Symbol:							
3 transactions for 12/02/21. Total proceeds and cost reported to the IRS.							
	3.000	53.98	12/02/21	24.00	...	29.98	1 of 3 - Option sale to close-put <sup>25</sup>
	3.000	53.98	12/02/21	24.00	...	29.98	2 of 3 - Option sale to close-put <sup>25</sup>
	4.000	71.98	12/02/21	32.00	...	39.98	3 of 3 - Option sale to close-put <sup>25</sup>
12/02/21	10.000	179.94	Various	80.00	...	99.94	Total of 3 transactions
12/03/21	2.000	43.98	12/03/21	40.00	...	3.98	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>223.92</b>		<b>120.00</b>	...	<b>103.92</b>	
BABA 12/23/2021 PUT \$115.00 / CUSIP: / Symbol:							
12/20/21	2.000	689.98	12/20/21	690.00	...	-0.02	Option sale to close-put <sup>25</sup>
BBIG 10/15/2021 CALL \$10.00 / CUSIP: / Symbol:							
7 transactions for 10/15/21. Total proceeds and cost reported to the IRS.							
	9.000	26.98	10/15/21	135.00	...	-108.02	1 of 7 - Option sale to close-call <sup>25</sup>
	1.000	3.00	10/15/21	15.00	...	-12.00	2 of 7 - Option sale to close-call <sup>25</sup>
	5.000	14.98	10/15/21	15.00	...	-0.02	3 of 7 - Option sale to close-call <sup>25</sup>
	2.000	5.99	10/15/21	6.00	...	-0.01	4 of 7 - Option sale to close-call <sup>25</sup>
	1.000	3.00	10/15/21	3.00	...	0.00	5 of 7 - Option sale to close-call <sup>25</sup>
	1.000	3.00	10/15/21	3.00	...	0.00	6 of 7 - Option sale to close-call <sup>25</sup>
	1.000	3.00	10/15/21	3.00	...	0.00	7 of 7 - Option sale to close-call <sup>25</sup>
10/15/21	20.000	59.95	Various	180.00	...	-120.05	Total of 7 transactions
BBIG 12/10/2021 CALL \$4.00 / CUSIP: / Symbol:							
12/06/21	1.000	0.98	12/01/21	6.00	5.02 W	0.00	Option sale to close-call <sup>25</sup>
5 transactions for 12/07/21. Total proceeds and cost reported to the IRS.							
	1.000	7.00	12/07/21	9.02	...	-2.02	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	7.00	12/07/21	5.00	...	2.00	2 of 5 - Option sale to close-call <sup>25</sup>
	3.000	17.98	12/07/21	15.00	...	2.98	3 of 5 - Option sale to close-call <sup>25</sup>
	2.000	13.99	12/07/21	10.00	...	3.99	4 of 5 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 128952868

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BBIG 12/10/2021 CALL \$4.00 / CUSIP: / Symbol: (cont'd)							
	2.000	13.99	12/07/21	10.00	...	3.99	5 of 5 - Option sale to close-call <sup>25</sup>
12/07/21	9.000	59.96	Various	49.02	...	10.94	Total of 5 transactions
12/07/21	1.000	6.00	12/07/21	10.02	4.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>66.94</b>		<b>65.04</b>	<b>9.04 W</b>	<b>10.94</b>	
CEI 10/15/2021 CALL \$4.00 / CUSIP: / Symbol:							
6 transactions for 10/04/21. Total proceeds and cost reported to the IRS.							
	4.000	279.98	10/04/21	460.00	...	-180.02	1 of 6 - Option sale to close-call <sup>25</sup>
	2.000	139.98	10/04/21	230.00	...	-90.02	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	69.98	10/04/21	115.00	...	-45.02	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	69.98	10/04/21	115.00	...	-45.02	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	69.98	10/04/21	115.00	...	-45.02	5 of 6 - Option sale to close-call <sup>25</sup>
	1.000	69.98	10/04/21	115.00	...	-45.02	6 of 6 - Option sale to close-call <sup>25</sup>
10/04/21	10.000	699.88	Various	1,150.00	...	-450.12	Total of 6 transactions
COIN 11/19/2021 PUT \$325.00 / CUSIP: / Symbol:							
11/15/21	1.000	229.98	11/15/21	284.00	...	-54.02	Option sale to close-put <sup>25</sup>
COST 12/10/2021 CALL \$550.00 / CUSIP: / Symbol:							
4 transactions for 12/10/21. Total proceeds and cost reported to the IRS.							
	1.000	104.98	12/10/21	103.01	...	1.97	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	104.98	12/10/21	103.01	...	1.97	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	104.98	12/10/21	103.00	...	1.98	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	104.99	12/10/21	103.01	...	1.98	4 of 4 - Option sale to close-call <sup>25</sup>
12/10/21	4.000	419.93	Various	412.03	...	7.90	Total of 4 transactions
2 transactions for 12/10/21. Total proceeds and cost reported to the IRS.							
	2.000	209.98	12/10/21	250.00	40.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	209.99	12/10/21	250.00	40.01 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
12/10/21	4.000	419.97	Various	500.00	80.03 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>839.90</b>		<b>912.03</b>	<b>80.03 W</b>	<b>7.90</b>	
COST 12/17/2021 PUT \$540.00 / CUSIP: / Symbol:							
12/14/21	10.000	3,349.96	12/13/21	2,580.00	...	769.96	Option sale to close-put <sup>25</sup>

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Robinhood Securities LLC

Account 128952868

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CVS 11/05/2021 CALL \$95.00 / CUSIP: / Symbol:							
2 transactions for 11/03/21. Total proceeds and cost reported to the IRS.							
	1.000	169.99	11/02/21	56.00	...	113.99	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	339.99	11/02/21	112.00	...	227.99	2 of 2 - Option sale to close-call <sup>25</sup>
11/03/21	3.000	509.98	Various	168.00	...	341.98	Total of 2 transactions
DASH 12/03/2021 PUT \$150.00 / CUSIP: / Symbol:							
12/03/21	1.000	153.98	12/03/21	174.00	...	-20.02	Option sale to close-put <sup>25</sup>
DPZ 12/17/2021 CALL \$555.00 / CUSIP: / Symbol:							
12/17/21	1.000	0.00	12/13/21	140.00	...	-140.00	Option expiration- long call
ESSC 01/21/2022 PUT \$10.00 / CUSIP: / Symbol:							
12/14/21	5.000	274.98	12/14/21	250.00	...	24.98	Option sale to close-put <sup>25</sup>
ESSC 12/17/2021 CALL \$15.00 / CUSIP: / Symbol:							
12/02/21	1.000	119.98	12/02/21	135.00	...	-15.02	Option sale to close-call <sup>25</sup>
ESSC 12/17/2021 PUT \$10.00 / CUSIP: / Symbol:							
7 transactions for 12/17/21. Total proceeds and cost reported to the IRS.							
	33.000	0.00	12/14/21	165.00	...	-165.00	1 of 7 - Option expiration- long put
	20.000	0.00	12/14/21	100.00	...	-100.00	2 of 7 - Option expiration- long put
	17.000	0.00	12/14/21	85.00	...	-85.00	3 of 7 - Option expiration- long put
	12.000	0.00	12/14/21	60.00	...	-60.00	4 of 7 - Option expiration- long put
	7.000	0.00	12/14/21	35.00	...	-35.00	5 of 7 - Option expiration- long put
	6.000	0.00	12/14/21	30.00	...	-30.00	6 of 7 - Option expiration- long put
	5.000	0.00	12/14/21	25.00	...	-25.00	7 of 7 - Option expiration- long put
12/17/21	100.000	0.00	Various	500.00	...	-500.00	Total of 7 transactions
ESSC 12/17/2021 PUT \$12.50 / CUSIP: / Symbol:							
12/16/21	2.000	119.98	12/16/21	120.00	...	-0.02	Option sale to close-put <sup>25</sup>
ETSY 11/12/2021 PUT \$247.50 / CUSIP: / Symbol:							
11/10/21	1.000	304.98	11/09/21	245.00	...	59.98	Option sale to close-put <sup>25</sup>
FB 10/29/2021 CALL \$325.00 / CUSIP: / Symbol:							
10/29/21	1.000	205.98	10/29/21	192.00	...	13.98	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 128952868

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FB 11/05/2021 CALL \$345.00 / CUSIP: / Symbol:							
2 transactions for 11/05/21. Total proceeds and cost reported to the IRS.							
	1.000	107.99	11/05/21	73.00	...	34.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	107.99	11/05/21	73.00	...	34.99	2 of 2 - Option sale to close-call <sup>25</sup>
11/05/21	2.000	215.98	Various	146.00	...	69.98	Total of 2 transactions
FB 11/12/2021 CALL \$360.00 / CUSIP: / Symbol:							
13 transactions for 11/12/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	11/05/21	139.00	...	-139.00	1 of 13 - Option expiration- long call
	1.000	0.00	11/08/21	62.00	...	-62.00	2 of 13 - Option expiration- long call
	1.000	0.00	11/09/21	53.00	...	-53.00	3 of 13 - Option expiration- long call
	1.000	0.00	11/09/21	38.00	...	-38.00	4 of 13 - Option expiration- long call
	1.000	0.00	11/09/21	24.00	...	-24.00	5 of 13 - Option expiration- long call
	1.000	0.00	11/09/21	22.00	...	-22.00	6 of 13 - Option expiration- long call
	5.000	0.00	11/10/21	25.00	...	-25.00	7 of 13 - Option expiration- long call
	5.000	0.00	11/10/21	25.00	...	-25.00	8 of 13 - Option expiration- long call
	4.000	0.00	11/10/21	24.00	...	-24.00	9 of 13 - Option expiration- long call
	3.000	0.00	11/10/21	9.00	...	-9.00	10 of 13 - Option expiration- long call
	1.000	0.00	11/10/21	6.00	...	-6.00	11 of 13 - Option expiration- long call
	1.000	0.00	11/10/21	3.00	...	-3.00	12 of 13 - Option expiration- long call
	1.000	0.00	11/10/21	3.00	...	-3.00	13 of 13 - Option expiration- long call
11/12/21	26.000	0.00	Various	433.00	...	-433.00	Total of 13 transactions

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Robinhood Securities LLC

Account 128952868

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FB 12/17/2021 CALL \$345.00 / CUSIP: / Symbol:							
2 transactions for 12/13/21. Total proceeds and cost reported to the IRS.							
	4.000	947.98	12/13/21	1,560.00	...	-612.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	237.00	12/13/21	350.00	...	-113.00	2 of 2 - Option sale to close-call <sup>25</sup>
12/13/21	5.000	1,184.98	Various	1,910.00	...	-725.02	Total of 2 transactions
FDX 12/17/2021 PUT \$200.00 / CUSIP: / Symbol:							
3 transactions for 12/16/21. Total proceeds and cost reported to the IRS.							
	1.000	36.99	12/16/21	40.00	...	-3.01	1 of 3 - Option sale to close-put <sup>25</sup>
	1.000	36.99	12/16/21	40.00	...	-3.01	2 of 3 - Option sale to close-put <sup>25</sup>
	1.000	37.00	12/16/21	40.00	...	-3.00	3 of 3 - Option sale to close-put <sup>25</sup>
12/16/21	3.000	110.98	Various	120.00	...	-9.02	Total of 3 transactions
GGPI 12/17/2021 CALL \$15.00 / CUSIP: / Symbol:							
2 transactions for 12/06/21. Total proceeds and cost reported to the IRS.							
	1.000	24.99	12/03/21	24.00	...	0.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	24.99	12/03/21	24.00	...	0.99	2 of 2 - Option sale to close-call <sup>25</sup>
12/06/21	2.000	49.98	Various	48.00	...	1.98	Total of 2 transactions
HOOD 10/01/2021 CALL \$44.00 / CUSIP: / Symbol:							
09/28/21	1.000	84.98	09/28/21	65.00	...	19.98	Option sale to close-call <sup>25</sup>
INTU 11/05/2021 CALL \$635.00 / CUSIP: / Symbol:							
3 transactions for 11/05/21. Total proceeds and cost reported to the IRS.							
	2.000	31.99	11/04/21	360.00	...	-328.01	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	16.00	11/04/21	75.00	...	-59.00	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	15.99	11/04/21	60.00	...	-44.01	3 of 3 - Option sale to close-call <sup>25</sup>
11/05/21	4.000	63.98	Various	495.00	...	-431.02	Total of 3 transactions
INTU 12/03/2021 PUT \$645.00 / CUSIP: / Symbol:							
12/03/21	1.000	239.98	12/03/21	240.00	...	-0.02	Option sale to close-put <sup>25</sup>

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Robinhood Securities LLC

Account 128952868

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
JETS 01/21/2022 CALL \$30.00 / CUSIP: / Symbol:							
3 transactions for 12/02/21. Total proceeds and cost reported to the IRS.							
	10.000	19.97	11/10/21	150.00	...	-130.03	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	4.00	11/15/21	20.00	...	-16.00	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	3.99	11/16/21	16.00	...	-12.01	3 of 3 - Option sale to close-call <sup>25</sup>
12/02/21	14.000	27.96	Various	186.00	...	-158.04	Total of 3 transactions
LCID 10/29/2021 PUT \$26.00 / CUSIP: / Symbol:							
11 transactions for 10/28/21. Total proceeds and cost reported to the IRS.							
	29.000	86.93	10/28/21	145.00	...	-58.07	1 of 11 - Option sale to close-put <sup>25</sup>
	29.000	115.93	10/28/21	145.00	...	-29.07	2 of 11 - Option sale to close-put <sup>25</sup>
	7.000	20.98	10/28/21	42.02	...	-21.04	3 of 11 - Option sale to close-put <sup>25</sup>
	10.000	39.97	10/28/21	50.02	...	-10.05	4 of 11 - Option sale to close-put <sup>25</sup>
	8.000	31.98	10/28/21	40.02	...	-8.04	5 of 11 - Option sale to close-put <sup>25</sup>
	1.000	3.00	10/28/21	6.00	...	-3.00	6 of 11 - Option sale to close-put <sup>25</sup>
	1.000	3.00	10/28/21	6.00	...	-3.00	7 of 11 - Option sale to close-put <sup>25</sup>
	1.000	3.00	10/28/21	6.00	...	-3.00	8 of 11 - Option sale to close-put <sup>25</sup>
	1.000	4.00	10/28/21	6.01	...	-2.01	9 of 11 - Option sale to close-put <sup>25</sup>
	1.000	4.00	10/28/21	6.01	...	-2.01	10 of 11 - Option sale to close-put <sup>25</sup>
	1.000	4.00	10/28/21	6.00	...	-2.00	11 of 11 - Option sale to close-put <sup>25</sup>
10/28/21	89.000	316.79	Various	458.08	...	-141.29	Total of 11 transactions
11 transactions for 10/28/21. Total proceeds and cost reported to the IRS.							
	1.000	3.99	10/28/21	5.00	1.01 W	0.00	1 of 11 - Option sale to close-put <sup>25</sup>
	1.000	3.99	10/28/21	5.00	1.01 W	0.00	2 of 11 - Option sale to close-put <sup>25</sup>
	1.000	4.00	10/28/21	5.00	1.00 W	0.00	3 of 11 - Option sale to close-put <sup>25</sup>
	1.000	4.00	10/28/21	5.00	1.00 W	0.00	4 of 11 - Option sale to close-put <sup>25</sup>
	1.000	4.00	10/28/21	5.00	1.00 W	0.00	5 of 11 - Option sale to close-put <sup>25</sup>
	1.000	4.00	10/28/21	5.00	1.00 W	0.00	6 of 11 - Option sale to close-put <sup>25</sup>
	1.000	4.00	10/28/21	5.01	1.01 W	0.00	7 of 11 - Option sale to close-put <sup>25</sup>
	1.000	4.00	10/28/21	5.01	1.01 W	0.00	8 of 11 - Option sale to close-put <sup>25</sup>
	7.000	27.98	10/28/21	35.00	7.02 W	0.00	9 of 11 - Option sale to close-put <sup>25</sup>
	8.000	31.98	10/28/21	32.00	0.02 W	0.00	10 of 11 - Option sale to close-put <sup>25</sup>

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Robinhood Securities LLC

Account 128952868

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LCID 10/29/2021 PUT \$26.00 / CUSIP: / Symbol: (cont'd)							
	10.000	39.98	10/28/21	40.00	0.02 W	0.00	11 of 11 - Option sale to close-put <sup>25</sup>
10/28/21	33.000	131.92	Various	147.02	15.10 W	0.00	Total of 11 transactions
	<b>Security total:</b>	<b>448.71</b>		<b>605.10</b>	<b>15.10 W</b>	<b>-141.29</b>	
LULU 11/05/2021 CALL \$475.00 / CUSIP: / Symbol:							
11/04/21	2.000	789.98	11/04/21	760.00	...	29.98	Option sale to close-call <sup>25</sup>
MNDY 11/19/2021 CALL \$410.00 / CUSIP: / Symbol:							
11/17/21	1.000	770.98	11/16/21	340.00	...	430.98	Option sale to close-call <sup>25</sup>
MSFT 10/29/2021 CALL \$327.50 / CUSIP: / Symbol:							
	2 transactions for 10/29/21. Total proceeds and cost reported to the IRS.						
	1.000	48.98	10/29/21	53.04	...	-4.06	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	48.99	10/29/21	37.03	...	11.96	2 of 2 - Option sale to close-call <sup>25</sup>
10/29/21	2.000	97.97	Various	90.07	...	7.90	Total of 2 transactions
	5 transactions for 10/29/21. Total proceeds and cost reported to the IRS.						
	1.000	48.98	10/29/21	65.01	16.03 W	0.00	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	48.98	10/29/21	80.00	31.02 W	0.00	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	48.99	10/29/21	80.00	31.01 W	0.00	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	48.99	10/29/21	82.03	33.04 W	0.00	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	48.99	10/29/21	100.02	51.03 W	0.00	5 of 5 - Option sale to close-call <sup>25</sup>
10/29/21	5.000	244.93	Various	407.06	162.13 W	0.00	Total of 5 transactions
	<b>Security total:</b>	<b>342.90</b>		<b>497.13</b>	<b>162.13 W</b>	<b>7.90</b>	
MSFT 10/29/2021 CALL \$330.00 / CUSIP: / Symbol:							
	2 transactions for 10/29/21. Total proceeds and cost reported to the IRS.						
	2.000	23.99	10/29/21	24.00	...	-0.01	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	23.99	10/29/21	22.00	...	1.99	2 of 2 - Option sale to close-call <sup>25</sup>
10/29/21	4.000	47.98	Various	46.00	...	1.98	Total of 2 transactions

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Robinhood Securities LLC

Account 128952868

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MSFT 10/29/2021 CALL \$335.00 / CUSIP: / Symbol:							
<i>23 transactions for 10/29/21. Total proceeds and cost reported to the IRS.</i>							
28.000		27.94	10/29/21	84.00	...	-56.06	1 of 23 - Option sale to close-call 25
22.000		21.95	10/29/21	66.00	...	-44.05	2 of 23 - Option sale to close-call 25
10.000		9.98	10/29/21	30.00	...	-20.02	3 of 23 - Option sale to close-call 25
6.000		5.98	10/29/21	18.00	...	-12.02	4 of 23 - Option sale to close-call 25
3.000		2.99	10/29/21	15.00	...	-12.01	5 of 23 - Option sale to close-call 25
10.000		9.97	10/29/21	20.00	...	-10.03	6 of 23 - Option sale to close-call 25
5.000		4.99	10/29/21	15.00	...	-10.01	7 of 23 - Option sale to close-call 25
5.000		4.99	10/29/21	15.00	...	-10.01	8 of 23 - Option sale to close-call 25
3.000		2.99	10/29/21	9.00	...	-6.01	9 of 23 - Option sale to close-call 25
3.000		2.99	10/29/21	9.00	...	-6.01	10 of 23 - Option sale to close-call 25
1.000		1.00	10/29/21	6.00	...	-5.00	11 of 23 - Option sale to close-call 25
2.000		2.00	10/29/21	6.01	...	-4.01	12 of 23 - Option sale to close-call 25
2.000		2.00	10/29/21	6.00	...	-4.00	13 of 23 - Option sale to close-call 25
1.000		1.00	10/29/21	3.01	...	-2.01	14 of 23 - Option sale to close-call 25
1.000		1.00	10/29/21	3.00	...	-2.00	15 of 23 - Option sale to close-call 25
1.000		1.00	10/29/21	3.00	...	-2.00	16 of 23 - Option sale to close-call 25
1.000		1.00	10/29/21	3.00	...	-2.00	17 of 23 - Option sale to close-call 25

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Robinhood Securities LLC

Account 128952868

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
MSFT 10/29/2021 CALL \$335.00 / CUSIP: / Symbol: (cont'd)							
	1.000	1.00	10/29/21	3.00	...	-2.00	18 of 23 - Option sale to close-call <sup>25</sup>
	1.000	1.00	10/29/21	3.00	...	-2.00	19 of 23 - Option sale to close-call <sup>25</sup>
	1.000	1.00	10/29/21	3.00	...	-2.00	20 of 23 - Option sale to close-call <sup>25</sup>
	1.000	1.00	10/29/21	3.00	...	-2.00	21 of 23 - Option sale to close-call <sup>25</sup>
	1.000	1.00	10/29/21	3.00	...	-2.00	22 of 23 - Option sale to close-call <sup>25</sup>
	1.000	1.00	10/29/21	3.00	...	-2.00	23 of 23 - Option sale to close-call <sup>25</sup>
10/29/21	110.000	109.77	Various	329.02	...	-219.25	Total of 23 transactions
10/29/21	4.000	11.98	10/29/21	12.00	0.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>121.75</b>		<b>341.02</b>	<b>0.02 W</b>	<b>-219.25</b>	
MSFT 10/29/2021 CALL \$340.00 / CUSIP: / Symbol:							
5 transactions for 10/29/21. Total proceeds and cost reported to the IRS.							
	5.000	0.00	10/29/21	10.00	...	-10.00	1 of 5 - Option expiration- long call
	2.000	0.00	10/29/21	4.00	...	-4.00	2 of 5 - Option expiration- long call
	1.000	0.00	10/29/21	2.00	...	-2.00	3 of 5 - Option expiration- long call
	1.000	0.00	10/29/21	2.00	...	-2.00	4 of 5 - Option expiration- long call
	1.000	0.00	10/29/21	2.00	...	-2.00	5 of 5 - Option expiration- long call
10/29/21	10.000	0.00	Various	20.00	...	-20.00	Total of 5 transactions
MSFT 12/31/2021 CALL \$345.00 / CUSIP: / Symbol:							
2 transactions for 12/10/21. Total proceeds and cost reported to the IRS.							
	2.000	967.98	12/10/21	940.00	...	27.98	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	967.98	12/10/21	940.00	...	27.98	2 of 2 - Option sale to close-call <sup>25</sup>
12/10/21	4.000	1,935.96	Various	1,880.00	...	55.96	Total of 2 transactions

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Robinhood Securities LLC

Account 128952868

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

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"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
MSFT 12/31/2021 CALL \$355.00 / CUSIP: / Symbol:							
2 transactions for 12/13/21. Total proceeds and cost reported to the IRS.							
	2.000	407.98	12/10/21	390.00	...	17.98	1 of 2 - Option sale to close-call <sup>25</sup>
	3.000	611.98	12/10/21	585.00	...	26.98	2 of 2 - Option sale to close-call <sup>25</sup>
12/13/21	5.000	1,019.96	Various	975.00	...	44.96	Total of 2 transactions
MSFT 12/31/2021 CALL \$370.00 / CUSIP: / Symbol:							
9 transactions for 12/28/21. Total proceeds and cost reported to the IRS.							
	1.000	2.00	12/10/21	49.00	47.00 W	0.00	1 of 9 - Option sale to close-call <sup>25</sup>
	2.000	4.00	12/10/21	98.00	94.00 W	0.00	2 of 9 - Option sale to close-call <sup>25</sup>
	3.000	5.99	12/10/21	147.00	141.01 W	0.00	3 of 9 - Option sale to close-call <sup>25</sup>
	4.000	7.99	12/10/21	196.00	188.01 W	0.00	4 of 9 - Option sale to close-call <sup>25</sup>
	1.000	1.99	12/14/21	22.00	20.01 W	0.00	5 of 9 - Option sale to close-call <sup>25</sup>
	1.000	2.00	12/14/21	22.00	20.00 W	0.00	6 of 9 - Option sale to close-call <sup>25</sup>
	1.000	2.00	12/14/21	22.00	20.00 W	0.00	7 of 9 - Option sale to close-call <sup>25</sup>
	2.000	3.99	12/14/21	44.00	40.01 W	0.00	8 of 9 - Option sale to close-call <sup>25</sup>
	1.000	2.00	12/15/21	18.00	16.00 W	0.00	9 of 9 - Option sale to close-call <sup>25</sup>
12/28/21	16.000	31.96	Various	618.00	586.04 W	0.00	Total of 9 transactions
55 transactions for 12/29/21. Total proceeds and cost reported to the IRS.							
	2.000	2.00	12/15/21	136.01	...	-134.01	1 of 55 - Option sale to close-call <sup>25</sup>
	2.000	2.00	12/15/21	136.00	...	-134.00	2 of 55 - Option sale to close-call <sup>25</sup>
	2.000	2.00	12/15/21	130.01	...	-128.01	3 of 55 - Option sale to close-call <sup>25</sup>
	2.000	2.00	12/15/21	130.00	...	-128.00	4 of 55 - Option sale to close-call <sup>25</sup>
	2.000	2.00	12/15/21	82.01	...	-80.01	5 of 55 - Option sale to close-call <sup>25</sup>
	1.000	1.00	12/15/21	68.00	...	-67.00	6 of 55 - Option sale to close-call <sup>25</sup>
	1.000	1.00	12/15/21	68.00	...	-67.00	7 of 55 - Option sale to close-call <sup>25</sup>
	1.000	1.00	12/15/21	41.00	...	-40.00	8 of 55 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 128952868

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MSFT 12/31/2021 CALL \$370.00 / CUSIP: / Symbol: (cont'd)							
	1.000	1.00	12/15/21	41.00	...	-40.00	9 of 55 - Option sale to close-call <sub>25</sub>
	1.000	1.00	12/16/21	37.01	...	-36.01	10 of 55 - Option sale to close-call <sub>25</sub>
	1.000	1.00	12/16/21	33.00	...	-32.00	11 of 55 - Option sale to close-call <sub>25</sub>
	2.000	2.00	12/17/21	20.00	...	-18.00	12 of 55 - Option sale to close-call <sub>25</sub>
	1.000	1.00	12/17/21	10.00	...	-9.00	13 of 55 - Option sale to close-call <sub>25</sub>
	1.000	1.00	12/17/21	10.00	...	-9.00	14 of 55 - Option sale to close-call <sub>25</sub>
	10.000	9.98	12/20/21	40.00	...	-30.02	15 of 55 - Option sale to close-call <sub>25</sub>
	2.000	2.00	12/20/21	8.00	...	-6.00	16 of 55 - Option sale to close-call <sub>25</sub>
	1.000	1.00	12/20/21	4.00	...	-3.00	17 of 55 - Option sale to close-call <sub>25</sub>
	1.000	1.00	12/20/21	4.00	...	-3.00	18 of 55 - Option sale to close-call <sub>25</sub>
	1.000	1.00	12/20/21	4.00	...	-3.00	19 of 55 - Option sale to close-call <sub>25</sub>
	1.000	1.00	12/20/21	4.00	...	-3.00	20 of 55 - Option sale to close-call <sub>25</sub>
	11.000	10.97	12/21/21	44.00	...	-33.03	21 of 55 - Option sale to close-call <sub>25</sub>
	10.000	9.98	12/21/21	40.00	...	-30.02	22 of 55 - Option sale to close-call <sub>25</sub>
	9.000	8.98	12/21/21	36.00	...	-27.02	23 of 55 - Option sale to close-call <sub>25</sub>
	7.000	6.98	12/21/21	28.00	...	-21.02	24 of 55 - Option sale to close-call <sub>25</sub>
	7.000	6.98	12/21/21	28.00	...	-21.02	25 of 55 - Option sale to close-call <sub>25</sub>

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Robinhood Securities LLC

Account 128952868

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MSFT 12/31/2021 CALL \$370.00 / CUSIP: / Symbol: (cont'd)							
	7.000	6.98	12/21/21	28.00	...	-21.02	26 of 55 - Option sale to close-call 25
	7.000	6.98	12/21/21	28.00	...	-21.02	27 of 55 - Option sale to close-call 25
	6.000	5.99	12/21/21	24.00	...	-18.01	28 of 55 - Option sale to close-call 25
	6.000	5.99	12/21/21	24.00	...	-18.01	29 of 55 - Option sale to close-call 25
	5.000	4.99	12/21/21	20.00	...	-15.01	30 of 55 - Option sale to close-call 25
	4.000	3.99	12/21/21	16.00	...	-12.01	31 of 55 - Option sale to close-call 25
	4.000	3.99	12/21/21	16.00	...	-12.01	32 of 55 - Option sale to close-call 25
	3.000	2.99	12/21/21	12.00	...	-9.01	33 of 55 - Option sale to close-call 25
	2.000	1.99	12/21/21	8.00	...	-6.01	34 of 55 - Option sale to close-call 25
	2.000	2.00	12/21/21	8.00	...	-6.00	35 of 55 - Option sale to close-call 25
	2.000	2.00	12/21/21	8.00	...	-6.00	36 of 55 - Option sale to close-call 25
	2.000	2.00	12/21/21	8.00	...	-6.00	37 of 55 - Option sale to close-call 25
	1.000	1.00	12/21/21	4.00	...	-3.00	38 of 55 - Option sale to close-call 25
	1.000	1.00	12/21/21	4.00	...	-3.00	39 of 55 - Option sale to close-call 25
	1.000	1.00	12/21/21	4.00	...	-3.00	40 of 55 - Option sale to close-call 25
	1.000	1.00	12/21/21	4.00	...	-3.00	41 of 55 - Option sale to close-call 25
	2.000	1.99	12/22/21	8.00	...	-6.01	42 of 55 - Option sale to close-call 25

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Robinhood Securities LLC

Account 128952868

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
MSFT 12/31/2021 CALL \$370.00 / CUSIP: / Symbol: (cont'd)							
	2.000	2.00	12/22/21	6.00	...	-4.00	43 of 55 - Option sale to close-call <sup>25</sup>
	1.000	1.00	12/22/21	4.00	...	-3.00	44 of 55 - Option sale to close-call <sup>25</sup>
	2.000	1.99	12/23/21	8.00	...	-6.01	45 of 55 - Option sale to close-call <sup>25</sup>
	2.000	1.99	12/23/21	8.00	...	-6.01	46 of 55 - Option sale to close-call <sup>25</sup>
	1.000	1.00	12/23/21	4.00	...	-3.00	47 of 55 - Option sale to close-call <sup>25</sup>
	23.000	22.94	12/27/21	92.00	...	-69.06	48 of 55 - Option sale to close-call <sup>25</sup>
	14.000	13.96	12/27/21	56.00	...	-42.04	49 of 55 - Option sale to close-call <sup>25</sup>
	5.000	4.99	12/27/21	20.00	...	-15.01	50 of 55 - Option sale to close-call <sup>25</sup>
	4.000	3.99	12/27/21	16.00	...	-12.01	51 of 55 - Option sale to close-call <sup>25</sup>
	4.000	3.99	12/27/21	16.00	...	-12.01	52 of 55 - Option sale to close-call <sup>25</sup>
	3.000	2.99	12/27/21	9.00	...	-6.01	53 of 55 - Option sale to close-call <sup>25</sup>
	2.000	2.00	12/28/21	4.00	...	-2.00	54 of 55 - Option sale to close-call <sup>25</sup>
	1.000	1.00	12/28/21	2.00	...	-1.00	55 of 55 - Option sale to close-call <sup>25</sup>
12/29/21	200.000	199.59	Various	1,651.04	...	-1,451.45	Total of 55 transactions
	<b>Security total:</b>	<b>231.55</b>		<b>2,269.04</b>	<b>586.04 W</b>	<b>-1,451.45</b>	
MU 12/03/2021 CALL \$75.00 / CUSIP: / Symbol:							
2 transactions for 11/09/21. Total proceeds and cost reported to the IRS.							
	1.000	309.99	11/08/21	232.00	...	77.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	309.99	11/08/21	230.00	...	79.99	2 of 2 - Option sale to close-call <sup>25</sup>
11/09/21	2.000	619.98	Various	462.00	...	157.98	Total of 2 transactions

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Robinhood Securities LLC

Account 128952868

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NET 12/10/2021 CALL \$165.00 / CUSIP: / Symbol:							
12/07/21	1.000	223.98	12/07/21	210.00	...	13.98	Option sale to close-call <sup>25</sup>
NFLX 11/05/2021 CALL \$700.00 / CUSIP: / Symbol:							
11/03/21	1.000	210.98	11/03/21	190.00	...	20.98	Option sale to close-call <sup>25</sup>
NFLX 12/23/2021 CALL \$640.00 / CUSIP: / Symbol:							
12/20/21	1.000	42.98	12/20/21	120.00	...	-77.02	Option sale to close-call <sup>25</sup>
NIO 11/12/2021 CALL \$41.00 / CUSIP: / Symbol:							
11/11/21	2.000	225.98	11/09/21	416.00	...	-190.02	Option sale to close-call <sup>25</sup>
NIO 11/12/2021 CALL \$44.00 / CUSIP: / Symbol:							
<i>2 transactions for 11/08/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	181.99	11/08/21	165.00	...	16.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	181.99	11/08/21	165.00	...	16.99	2 of 2 - Option sale to close-call <sup>25</sup>
11/08/21	2.000	363.98	Various	330.00	...	33.98	Total of 2 transactions
NIO 11/12/2021 PUT \$40.00 / CUSIP: / Symbol:							
11/10/21	1.000	60.98	11/09/21	167.00	...	-106.02	Option sale to close-put <sup>25</sup>
NKLA 09/10/2021 CALL \$11.00 / CUSIP: / Symbol:							
<i>3 transactions for 09/10/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	1.00	09/08/21	7.00	...	-6.00	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	0.98	09/09/21	48.01	...	-47.03	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	0.99	09/09/21	5.00	...	-4.01	3 of 3 - Option sale to close-call <sup>25</sup>
09/10/21	3.000	2.97	Various	60.01	...	-57.04	Total of 3 transactions
09/10/21	2.000	1.99	09/02/21	88.00	43.01 W	-43.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>4.96</b>		<b>148.01</b>	<b>43.01 W</b>	<b>-100.04</b>	
NKLA 09/10/2021 PUT \$10.50 / CUSIP: / Symbol:							
09/09/21	1.000	44.98	09/08/21	65.00	...	-20.02	Option sale to close-put <sup>25</sup>
NOW 12/03/2021 CALL \$630.00 / CUSIP: / Symbol:							
12/02/21	1.000	279.98	12/02/21	400.00	...	-120.02	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 128952868

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NRXP 09/17/2021 CALL \$12.50 / CUSIP: / Symbol:							
6 transactions for 09/17/21. Total proceeds and cost reported to the IRS.							
	10.000	0.00	09/10/21	750.00	...	-750.00	1 of 6 - Option expiration- long call
	1.000	0.00	09/13/21	60.00	...	-60.00	2 of 6 - Option expiration- long call
	1.000	0.00	09/13/21	60.00	...	-60.00	3 of 6 - Option expiration- long call
	10.000	0.00	09/14/21	450.00	...	-450.00	4 of 6 - Option expiration- long call
	10.000	0.00	09/15/21	400.00	...	-400.00	5 of 6 - Option expiration- long call
	10.000	0.00	09/16/21	160.00	...	-160.00	6 of 6 - Option expiration- long call
09/17/21	42.000	0.00	Various	1,880.00	...	-1,880.00	Total of 6 transactions
NRXP 09/17/2021 CALL \$15.00 / CUSIP: / Symbol:							
3 transactions for 09/17/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	09/10/21	40.00	...	-40.00	1 of 3 - Option expiration- long call
	1.000	0.00	09/13/21	20.00	...	-20.00	2 of 3 - Option expiration- long call
	1.000	0.00	09/13/21	20.00	...	-20.00	3 of 3 - Option expiration- long call
09/17/21	3.000	0.00	Various	80.00	...	-80.00	Total of 3 transactions
NRXP 10/15/2021 CALL \$12.50 / CUSIP: / Symbol:							
10 transactions for 10/14/21. Total proceeds and cost reported to the IRS.							
	1.000	55.00	09/20/21	90.00	...	-35.00	1 of 10 - Option sale to close-call 25
	2.000	110.00	09/24/21	140.00	...	-30.00	2 of 10 - Option sale to close-call 25
	5.000	274.99	09/28/21	300.00	...	-25.01	3 of 10 - Option sale to close-call 25
	2.000	110.00	09/28/21	120.00	...	-10.00	4 of 10 - Option sale to close-call 25
	1.000	55.00	09/28/21	60.00	...	-5.00	5 of 10 - Option sale to close-call 25
	1.000	55.00	09/28/21	60.00	...	-5.00	6 of 10 - Option sale to close-call 25
	1.000	55.00	09/28/21	60.00	...	-5.00	7 of 10 - Option sale to close-call 25
	10.000	549.97	10/01/21	300.00	...	249.97	8 of 10 - Option sale to close-call 25

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Robinhood Securities LLC

Account 128952868

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NRXP 10/15/2021 CALL \$12.50 / CUSIP: / Symbol: (cont'd)							
	18.000	989.95	10/08/21	180.00	...	809.95	9 of 10 - Option sale to close-call <sup>25</sup>
	97.000	5,334.76	10/08/21	485.00	...	4,849.76	10 of 10 - Option sale to close-call <sup>25</sup>
10/14/21	138.000	7,589.67	Various	1,795.00	...	5,794.67	Total of 10 transactions
3 transactions for 10/14/21. Total proceeds and cost reported to the IRS.							
	1.000	55.00	09/10/21	155.00	100.00 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	55.00	09/10/21	165.00	110.00 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	10.000	549.98	09/13/21	1,350.00	80.00 W	-720.02	3 of 3 - Option sale to close-call <sup>25</sup>
10/14/21	12.000	659.98	Various	1,670.00	290.00 W	-720.02	Total of 3 transactions
3 transactions for 10/15/21. Total proceeds and cost reported to the IRS.							
	1.000	4.99	10/08/21	115.00	...	-110.01	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	5.00	10/08/21	105.00	...	-100.00	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	4.99	10/08/21	85.00	...	-80.01	3 of 3 - Option sale to close-call <sup>25</sup>
10/15/21	3.000	14.98	Various	305.00	...	-290.02	Total of 3 transactions
	<b>Security total:</b>	<b>8,264.63</b>		<b>3,770.00</b>	<b>290.00 W</b>	<b>4,784.63</b>	
NRXP 11/19/2021 CALL \$12.50 / CUSIP: / Symbol:							
10/15/21	1.000	149.98	10/13/21	149.00	...	0.98	Option sale to close-call <sup>25</sup>
NVDA 11/05/2021 CALL \$265.00 / CUSIP: / Symbol:							
11/03/21	2.000	739.98	11/02/21	398.00	...	341.98	Option sale to close-call <sup>25</sup>
NVDA 11/05/2021 CALL \$375.00 / CUSIP: / Symbol:							
5 transactions for 11/04/21. Total proceeds and cost reported to the IRS.							
	1.000	24.99	11/04/21	14.00	...	10.99	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	24.99	11/04/21	9.00	...	15.99	2 of 5 - Option sale to close-call <sup>25</sup>
	2.000	49.98	11/04/21	28.00	...	21.98	3 of 5 - Option sale to close-call <sup>25</sup>
	3.000	74.98	11/04/21	42.00	...	32.98	4 of 5 - Option sale to close-call <sup>25</sup>
	4.000	99.98	11/04/21	56.00	...	43.98	5 of 5 - Option sale to close-call <sup>25</sup>
11/04/21	11.000	274.92	Various	149.00	...	125.92	Total of 5 transactions

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Robinhood Securities LLC

Account 128952868

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NVDA 11/05/2021 CALL \$375.00 / CUSIP: / Symbol: (cont'd)							
<i>10 transactions for 11/05/21. Total proceeds and cost reported to the IRS.</i>							
	10.000	0.00	11/04/21	170.00	...	-170.00	1 of 10 - Option expiration- long call
	10.000	0.00	11/04/21	60.00	...	-60.00	2 of 10 - Option expiration- long call
	1.000	0.00	11/04/21	17.00	...	-17.00	3 of 10 - Option expiration- long call
	1.000	0.00	11/04/21	17.00	...	-17.00	4 of 10 - Option expiration- long call
	1.000	0.00	11/04/21	16.00	...	-16.00	5 of 10 - Option expiration- long call
	10.000	0.00	11/05/21	10.00	...	-10.00	6 of 10 - Option expiration- long call
	9.000	0.00	11/05/21	9.00	...	-9.00	7 of 10 - Option expiration- long call
	1.000	0.00	11/05/21	2.00	...	-2.00	8 of 10 - Option expiration- long call
	1.000	0.00	11/05/21	2.00	...	-2.00	9 of 10 - Option expiration- long call
	1.000	0.00	11/05/21	1.00	...	-1.00	10 of 10 - Option expiration- long call
11/05/21	45.000	0.00	Various	304.00	...	-304.00	Total of 10 transactions
	<b>Security total:</b>	<b>274.92</b>		<b>453.00</b>	...	<b>-178.08</b>	
NVDA 11/19/2021 CALL \$375.00 / CUSIP: / Symbol:							
<i>2 transactions for 11/17/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	43.99	11/16/21	36.00	...	7.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	43.99	11/16/21	21.00	...	22.99	2 of 2 - Option sale to close-call <sup>25</sup>
11/17/21	2.000	87.98	Various	57.00	...	30.98	Total of 2 transactions
NVDA 11/19/2021 PUT \$265.00 / CUSIP: / Symbol:							
11/17/21	1.000	70.98	11/16/21	45.00	...	25.98	Option sale to close-put <sup>25</sup>
NVDA 12/23/2021 CALL \$300.00 / CUSIP: / Symbol:							
12/23/21	10.000	1,029.97	12/23/21	1,440.00	...	-410.03	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 128952868

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OCGN 10/29/2021 PUT \$6.50 / CUSIP: / Symbol:							
9 transactions for 10/28/21. Total proceeds and cost reported to the IRS.							
	10.000	19.98	10/26/21	110.00	...	-90.02	1 of 9 - Option sale to close-put <sup>25</sup>
	5.000	9.99	10/26/21	35.00	...	-25.01	2 of 9 - Option sale to close-put <sup>25</sup>
	4.000	7.99	10/26/21	28.00	...	-20.01	3 of 9 - Option sale to close-put <sup>25</sup>
	1.000	2.00	10/26/21	7.00	...	-5.00	4 of 9 - Option sale to close-put <sup>25</sup>
	2.000	3.99	10/27/21	4.00	...	-0.01	5 of 9 - Option sale to close-put <sup>25</sup>
	2.000	3.99	10/27/21	4.00	...	-0.01	6 of 9 - Option sale to close-put <sup>25</sup>
	4.000	7.99	10/27/21	8.00	...	-0.01	7 of 9 - Option sale to close-put <sup>25</sup>
	1.000	2.00	10/27/21	2.00	...	0.00	8 of 9 - Option sale to close-put <sup>25</sup>
	1.000	2.00	10/27/21	2.00	...	0.00	9 of 9 - Option sale to close-put <sup>25</sup>
10/28/21	30.000	59.93	Various	200.00	...	-140.07	Total of 9 transactions
OCGN 10/29/2021 PUT \$7.00 / CUSIP: / Symbol:							
4 transactions for 10/28/21. Total proceeds and cost reported to the IRS.							
	4.000	3.99	10/27/21	36.02	...	-32.03	1 of 4 - Option sale to close-put <sup>25</sup>
	2.000	1.99	10/27/21	22.03	...	-20.04	2 of 4 - Option sale to close-put <sup>25</sup>
	2.000	1.99	10/27/21	22.02	...	-20.03	3 of 4 - Option sale to close-put <sup>25</sup>
	2.000	1.99	10/27/21	18.02	...	-16.03	4 of 4 - Option sale to close-put <sup>25</sup>
10/28/21	10.000	9.96	Various	98.09	...	-88.13	Total of 4 transactions
5 transactions for 10/28/21. Total proceeds and cost reported to the IRS.							
	2.000	1.98	10/26/21	16.00	14.02 W	0.00	1 of 5 - Option sale to close-put <sup>25</sup>
	4.000	3.98	10/26/21	32.00	28.02 W	0.00	2 of 5 - Option sale to close-put <sup>25</sup>
	4.000	3.98	10/26/21	32.00	28.02 W	0.00	3 of 5 - Option sale to close-put <sup>25</sup>
	2.000	1.98	10/27/21	20.01	18.03 W	0.00	4 of 5 - Option sale to close-put <sup>25</sup>
	2.000	1.99	10/27/21	20.01	18.02 W	0.00	5 of 5 - Option sale to close-put <sup>25</sup>
10/28/21	14.000	13.91	Various	120.02	106.11 W	0.00	Total of 5 transactions
<b>Security total:</b>		<b>23.87</b>		<b>218.11</b>	<b>106.11 W</b>	<b>-88.13</b>	
OCGN 11/05/2021 CALL \$18.00 / CUSIP: / Symbol:							
4 transactions for 11/03/21. Total proceeds and cost reported to the IRS.							
	1.000	69.00	10/27/21	45.00	...	24.00	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	68.99	10/27/21	44.00	...	24.99	2 of 4 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 128952868

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OCGN 11/05/2021 CALL \$18.00 / CUSIP: / Symbol: (cont'd)							
	1.000	69.00	10/27/21	44.00	...	25.00	3 of 4 - Option sale to close-call <sup>25</sup>
	2.000	137.99	10/27/21	80.00	...	57.99	4 of 4 - Option sale to close-call <sup>25</sup>
11/03/21	5.000	344.98	Various	213.00	...	131.98	Total of 4 transactions
OCGN 11/05/2021 CALL \$29.00 / CUSIP: / Symbol:							
3 transactions for 11/04/21. Total proceeds and cost reported to the IRS.							
	1.000	1.99	11/02/21	126.04	...	-124.05	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	2.00	11/02/21	68.02	...	-66.02	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	1.99	11/03/21	40.00	...	-38.01	3 of 3 - Option sale to close-call <sup>25</sup>
11/04/21	3.000	5.98	Various	234.06	...	-228.08	Total of 3 transactions
3 transactions for 11/04/21. Total proceeds and cost reported to the IRS.							
	1.000	1.98	11/02/21	35.00	33.02 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	1.98	11/02/21	60.00	58.02 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	1.98	11/02/21	93.02	91.04 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
11/04/21	3.000	5.94	Various	188.02	182.08 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>11.92</b>		<b>422.08</b>	<b>182.08 W</b>	<b>-228.08</b>	
OCGN 11/05/2021 PUT \$3.50 / CUSIP: / Symbol:							
11/05/21	10.000	0.00	11/02/21	10.00	...	-10.00	Option expiration- long put
OCGN 11/05/2021 PUT \$5.50 / CUSIP: / Symbol:							
11/05/21	10.000	0.00	11/02/21	40.00	...	-40.00	Option expiration- long put
OCGN 11/05/2021 PUT \$7.50 / CUSIP: / Symbol:							
2 transactions for 11/05/21. Total proceeds and cost reported to the IRS.							
	6.000	0.00	11/03/21	24.00	...	-24.00	1 of 2 - Option expiration- long put
	4.000	0.00	11/03/21	16.00	...	-16.00	2 of 2 - Option expiration- long put
11/05/21	10.000	0.00	Various	40.00	...	-40.00	Total of 2 transactions
PANW 12/23/2021 CALL \$560.00 / CUSIP: / Symbol:							
2 transactions for 12/23/21. Total proceeds and cost reported to the IRS.							
	1.000	199.98	12/23/21	166.00	...	33.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	199.98	12/23/21	166.00	...	33.98	2 of 2 - Option sale to close-call <sup>25</sup>
12/23/21	2.000	399.96	Various	332.00	...	67.96	Total of 2 transactions

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Robinhood Securities LLC

Account 128952868

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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PFE 12/31/2021 CALL \$59.50 / CUSIP: / Symbol:							
12/22/21	10.000	1,699.97	12/22/21	1,900.00	...	-200.03	Option sale to close-call <sup>25</sup>
PROG 01/21/2022 CALL \$2.50 / CUSIP: / Symbol:							
<i>10 transactions for 10/21/21. Total proceeds and cost reported to the IRS.</i>							
	5.000	374.99	10/12/21	400.00	...	-25.01	1 of 10 - Option sale to close-call <sup>25</sup>
	1.000	74.99	10/15/21	70.00	...	4.99	2 of 10 - Option sale to close-call <sup>25</sup>
	1.000	74.99	10/15/21	70.00	...	4.99	3 of 10 - Option sale to close-call <sup>25</sup>
	1.000	75.00	10/15/21	70.00	...	5.00	4 of 10 - Option sale to close-call <sup>25</sup>
	1.000	75.00	10/15/21	70.00	...	5.00	5 of 10 - Option sale to close-call <sup>25</sup>
	1.000	75.00	10/15/21	70.00	...	5.00	6 of 10 - Option sale to close-call <sup>25</sup>
	1.000	75.00	10/15/21	70.00	...	5.00	7 of 10 - Option sale to close-call <sup>25</sup>
	1.000	75.00	10/15/21	70.00	...	5.00	8 of 10 - Option sale to close-call <sup>25</sup>
	4.000	299.99	10/15/21	280.00	...	19.99	9 of 10 - Option sale to close-call <sup>25</sup>
	9.000	674.98	10/15/21	630.00	...	44.98	10 of 10 - Option sale to close-call <sup>25</sup>
10/21/21	25.000	1,874.94	Various	1,800.00	...	74.94	Total of 10 transactions
QQQ 11/17/2021 PUT \$363.00 / CUSIP: / Symbol:							
<i>10 transactions for 11/16/21. Total proceeds and cost reported to the IRS.</i>							
	4.000	7.98	11/11/21	64.00	...	-56.02	1 of 10 - Option sale to close-put <sup>25</sup>
	1.000	2.00	11/12/21	72.00	...	-70.00	2 of 10 - Option sale to close-put <sup>25</sup>
	1.000	2.00	11/12/21	65.00	...	-63.00	3 of 10 - Option sale to close-put <sup>25</sup>
	1.000	2.00	11/12/21	64.00	...	-62.00	4 of 10 - Option sale to close-put <sup>25</sup>
	1.000	1.99	11/12/21	63.02	...	-61.03	5 of 10 - Option sale to close-put <sup>25</sup>
	1.000	1.99	11/12/21	49.01	...	-47.02	6 of 10 - Option sale to close-put <sup>25</sup>
	1.000	1.99	11/12/21	49.01	...	-47.02	7 of 10 - Option sale to close-put <sup>25</sup>

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Robinhood Securities LLC

Account 128952868

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
QQQ 11/17/2021 PUT \$363.00 / CUSIP: / Symbol: (cont'd)							
	1.000	2.00	11/12/21	49.00	...	-47.00	8 of 10 - Option sale to close-put <sup>25</sup>
	1.000	2.00	11/12/21	49.00	...	-47.00	9 of 10 - Option sale to close-put <sup>25</sup>
	2.000	3.99	11/12/21	16.00	...	-12.01	10 of 10 - Option sale to close-put <sup>25</sup>
11/16/21	14.000	27.94	Various	540.04	...	-512.10	Total of 10 transactions
	15 transactions for 11/16/21. Total proceeds and cost reported to the IRS.						
	1.000	2.00	11/09/21	25.00	23.00 W	0.00	1 of 15 - Option sale to close-put <sup>25</sup>
	1.000	2.00	11/10/21	52.00	50.00 W	0.00	2 of 15 - Option sale to close-put <sup>25</sup>
	3.000	5.99	11/10/21	87.00	81.01 W	0.00	3 of 15 - Option sale to close-put <sup>25</sup>
	6.000	11.98	11/10/21	174.00	162.02 W	0.00	4 of 15 - Option sale to close-put <sup>25</sup>
	3.000	5.99	11/11/21	129.01	41.01 W	-82.01	5 of 15 - Option sale to close-put <sup>25</sup>
	1.000	1.99	11/11/21	43.01	41.02 W	0.00	6 of 15 - Option sale to close-put <sup>25</sup>
	1.000	2.00	11/11/21	43.00	41.00 W	0.00	7 of 15 - Option sale to close-put <sup>25</sup>
	1.000	2.00	11/11/21	43.00	41.00 W	0.00	8 of 15 - Option sale to close-put <sup>25</sup>
	1.000	2.00	11/11/21	43.01	41.01 W	0.00	9 of 15 - Option sale to close-put <sup>25</sup>
	1.000	2.00	11/11/21	44.00	42.00 W	0.00	10 of 15 - Option sale to close-put <sup>25</sup>
	1.000	2.00	11/11/21	45.00	43.00 W	0.00	11 of 15 - Option sale to close-put <sup>25</sup>
	1.000	2.00	11/11/21	57.02	55.02 W	0.00	12 of 15 - Option sale to close-put <sup>25</sup>
	1.000	2.00	11/11/21	58.00	56.00 W	0.00	13 of 15 - Option sale to close-put <sup>25</sup>
	1.000	2.00	11/11/21	59.00	57.00 W	0.00	14 of 15 - Option sale to close-put <sup>25</sup>
	1.000	2.00	11/11/21	66.00	64.00 W	0.00	15 of 15 - Option sale to close-put <sup>25</sup>
11/16/21	24.000	47.95	Various	968.05	838.09 W	-82.01	Total of 15 transactions
	<b>Security total:</b>	<b>75.89</b>		<b>1,508.09</b>	<b>838.09 W</b>	<b>-594.11</b>	

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Robinhood Securities LLC

Account 128952868

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
QQQ 11/17/2021 PUT \$370.00 / CUSIP: / Symbol:							
2 transactions for 11/16/21. Total proceeds and cost reported to the IRS.							
	1.000	1.99	11/11/21	24.00	...	-22.01	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	1.99	11/11/21	24.00	...	-22.01	2 of 2 - Option sale to close-put <sup>25</sup>
11/16/21	2.000	3.98	Various	48.00	...	-44.02	Total of 2 transactions
QQQ 11/19/2021 PUT \$363.00 / CUSIP: / Symbol:							
11/16/21	1.000	4.98	11/11/21	31.00	...	-26.02	Option sale to close-put <sup>25</sup>
QS 11/12/2021 CALL \$39.00 / CUSIP: / Symbol:							
8 transactions for 11/11/21. Total proceeds and cost reported to the IRS.							
	1.000	171.00	11/08/21	314.00	...	-143.02	1 of 8 - Option sale to close-call <sup>25</sup>
	1.000	171.00	11/08/21	253.00	...	-82.00	2 of 8 - Option sale to close-call <sup>25</sup>
	1.000	171.00	11/08/21	175.00	...	-4.00	3 of 8 - Option sale to close-call <sup>25</sup>
	1.000	170.99	11/09/21	92.00	...	78.99	4 of 8 - Option sale to close-call <sup>25</sup>
	1.000	170.99	11/09/21	87.00	...	83.99	5 of 8 - Option sale to close-call <sup>25</sup>
	1.000	171.00	11/09/21	59.00	...	112.00	6 of 8 - Option sale to close-call <sup>25</sup>
	1.000	171.00	11/10/21	37.00	...	134.00	7 of 8 - Option sale to close-call <sup>25</sup>
	1.000	170.99	11/11/21	19.00	...	151.99	8 of 8 - Option sale to close-call <sup>25</sup>
11/11/21	8.000	1,367.97	Various	1,036.02	...	331.95	Total of 8 transactions
11/11/21	1.000	170.98	11/08/21	253.00	82.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,538.95</b>		<b>1,289.02</b>	<b>82.02 W</b>	<b>331.95</b>	
RIDE 11/12/2021 PUT \$6.00 / CUSIP: / Symbol:							
11/12/21	1.000	13.98	11/11/21	21.00	...	-7.02	Option sale to close-put <sup>25</sup>
RIVN 12/23/2021 PUT \$91.00 / CUSIP: / Symbol:							
12/20/21	2.000	799.98	12/20/21	792.00	...	7.98	Option sale to close-put <sup>25</sup>
ROKU 12/10/2021 CALL \$250.00 / CUSIP: / Symbol:							
12/09/21	10.000	1,999.96	12/09/21	3,450.00	...	-1,450.04	Option sale to close-call <sup>25</sup>
ROKU 12/10/2021 PUT \$237.50 / CUSIP: / Symbol:							
12/09/21	10.000	5,499.95	12/09/21	4,550.00	...	949.95	Option sale to close-put <sup>25</sup>
ROKU 12/17/2021 CALL \$232.50 / CUSIP: / Symbol:							
12/17/21	2.000	189.98	12/17/21	190.00	...	-0.02	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 128952868

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
SE 12/10/2021 PUT \$235.00 / CUSIP: / Symbol:							
12/10/21	10.000	779.97	12/10/21	720.00	...	59.97	Option sale to close-put <sup>25</sup>
SE 12/10/2021 PUT \$245.00 / CUSIP: / Symbol:							
12/07/21	1.000	354.98	12/07/21	350.00	...	4.98	Option sale to close-put <sup>25</sup>
SHOP 11/05/2021 CALL \$1,590.00 / CUSIP: / Symbol:							
11/05/21	1.000	39.98	11/04/21	230.00	...	-190.02	Option sale to close-call <sup>25</sup>
SNAP 01/28/2022 CALL \$47.00 / CUSIP: / Symbol:							
12/23/21	1.000	369.98	12/14/21	370.00	...	-0.02	Option sale to close-call <sup>25</sup>
SNAP 10/22/2021 CALL \$77.00 / CUSIP: / Symbol:							
10/22/21	5.000	0.00	10/18/21	1,750.00	...	-1,750.00	Option expiration- long call
SNOW 12/03/2021 PUT \$300.00 / CUSIP: / Symbol:							
2 transactions for 12/02/21. Total proceeds and cost reported to the IRS.							
	1.000	69.99	12/02/21	185.00	...	-115.01	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	69.99	12/02/21	75.00	...	-5.01	2 of 2 - Option sale to close-put <sup>25</sup>
12/02/21	2.000	139.98	Various	260.00	...	-120.02	Total of 2 transactions
SNOW 12/17/2021 CALL \$400.00 / CUSIP: / Symbol:							
6 transactions for 12/17/21. Total proceeds and cost reported to the IRS.							
	1.000	4.99	12/14/21	215.00	...	-210.01	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	4.99	12/15/21	460.00	...	-455.01	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	4.99	12/15/21	415.00	...	-410.01	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	0.00	12/15/21	310.02	...	-310.02	4 of 6 - Option expiration- long call
	1.000	0.00	12/15/21	309.00	...	-309.00	5 of 6 - Option expiration- long call
	1.000	0.00	12/15/21	230.01	...	-230.01	6 of 6 - Option expiration- long call
12/17/21	6.000	14.97	Various	1,939.03	...	-1,924.06	Total of 6 transactions
7 transactions for 12/17/21. Total proceeds and cost reported to the IRS.							
	1.000	4.99	12/10/21	180.00	175.01 W	0.00	1 of 7 - Option sale to close-call <sup>25</sup>
	1.000	5.00	12/10/21	190.00	185.00 W	0.00	2 of 7 - Option sale to close-call <sup>25</sup>
	1.000	5.00	12/10/21	365.00	360.00 W	0.00	3 of 7 - Option sale to close-call <sup>25</sup>
	4.000	19.99	12/10/21	920.00	900.01 W	0.00	4 of 7 - Option sale to close-call <sup>25</sup>
	1.000	5.00	12/13/21	410.00	405.00 W	0.00	5 of 7 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 128952868

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
SNOW 12/17/2021 CALL \$400.00 / CUSIP: / Symbol: (cont'd)							
	1.000	4.99	12/14/21	260.01	255.02 W	0.00	6 of 7 - Option sale to close-call <sup>25</sup>
	1.000	5.00	12/14/21	259.00	254.00 W	0.00	7 of 7 - Option sale to close-call <sup>25</sup>
12/17/21	10.000	49.97	Various	2,584.01	2,534.04 W	0.00	Total of 7 transactions
	<b>Security total:</b>	<b>64.94</b>		<b>4,523.04</b>	<b>2,534.04 W</b>	<b>-1,924.06</b>	
SNOW 12/17/2021 PUT \$315.00 / CUSIP: / Symbol:							
12/16/21	1.000	609.98	12/16/21	285.00	...	324.98	Option sale to close-put <sup>25</sup>
SNOW 12/31/2021 CALL \$370.00 / CUSIP: / Symbol:							
12/21/21	2.000	599.98	12/20/21	700.00	...	-100.02	Option sale to close-call <sup>25</sup>
SPY 10/01/2021 CALL \$439.00 / CUSIP: / Symbol:							
09/28/21	1.000	142.98	09/28/21	143.00	...	-0.02	Option sale to close-call <sup>25</sup>
SPY 10/27/2021 PUT \$440.00 / CUSIP: / Symbol:							
	8 transactions for 10/27/21. Total proceeds and cost reported to the IRS.						
	5.000	0.00	10/22/21	75.00	...	-75.00	1 of 8 - Option expiration- long put
	5.000	0.00	10/22/21	55.00	...	-55.00	2 of 8 - Option expiration- long put
	1.000	0.00	10/22/21	20.00	...	-20.00	3 of 8 - Option expiration- long put
	4.000	0.00	10/25/21	20.00	...	-20.00	4 of 8 - Option expiration- long put
	2.000	0.00	10/25/21	16.00	...	-16.00	5 of 8 - Option expiration- long put
	2.000	0.00	10/25/21	8.00	...	-8.00	6 of 8 - Option expiration- long put
	1.000	0.00	10/25/21	5.00	...	-5.00	7 of 8 - Option expiration- long put
	10.000	0.00	10/26/21	30.00	...	-30.00	8 of 8 - Option expiration- long put
10/27/21	30.000	0.00	Various	229.00	...	-229.00	Total of 8 transactions
SPY 10/29/2021 CALL \$462.00 / CUSIP: / Symbol:							
	3 transactions for 10/29/21. Total proceeds and cost reported to the IRS.						
	5.000	0.00	10/28/21	30.00	...	-30.00	1 of 3 - Option expiration- long call
	5.000	0.00	10/28/21	30.00	...	-30.00	2 of 3 - Option expiration- long call
	10.000	0.00	10/29/21	20.00	...	-20.00	3 of 3 - Option expiration- long call
10/29/21	20.000	0.00	Various	80.00	...	-80.00	Total of 3 transactions

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Robinhood Securities LLC

Account 128952868

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
SPY 10/29/2021 CALL \$467.00 / CUSIP: / Symbol:							
2 transactions for 10/29/21. Total proceeds and cost reported to the IRS.							
	75.000	0.00	10/28/21	75.00	...	-75.00	1 of 2 - Option expiration- long call
	25.000	0.00	10/28/21	25.00	...	-25.00	2 of 2 - Option expiration- long call
10/29/21	100.000	0.00	Various	100.00	...	-100.00	Total of 2 transactions
SPY 10/29/2021 PUT \$440.00 / CUSIP: / Symbol:							
10/27/21	20.000	79.94	10/27/21	100.00	...	-20.06	Option sale to close-put <sup>25</sup>
11 transactions for 10/27/21. Total proceeds and cost reported to the IRS.							
	1.000	4.00	10/27/21	5.00	1.00 W	0.00	1 of 11 - Option sale to close-put <sup>25</sup>
	1.000	4.00	10/27/21	5.00	1.00 W	0.00	2 of 11 - Option sale to close-put <sup>25</sup>
	1.000	4.00	10/27/21	5.00	1.00 W	0.00	3 of 11 - Option sale to close-put <sup>25</sup>
	1.000	4.00	10/27/21	5.00	1.00 W	0.00	4 of 11 - Option sale to close-put <sup>25</sup>
	1.000	4.00	10/27/21	5.00	1.00 W	0.00	5 of 11 - Option sale to close-put <sup>25</sup>
	1.000	4.00	10/27/21	5.00	1.00 W	0.00	6 of 11 - Option sale to close-put <sup>25</sup>
	4.000	15.99	10/27/21	20.00	4.01 W	0.00	7 of 11 - Option sale to close-put <sup>25</sup>
	5.000	19.98	10/27/21	25.00	5.02 W	0.00	8 of 11 - Option sale to close-put <sup>25</sup>
	5.000	19.99	10/27/21	25.00	5.01 W	0.00	9 of 11 - Option sale to close-put <sup>25</sup>
	5.000	19.99	10/27/21	25.00	5.01 W	0.00	10 of 11 - Option sale to close-put <sup>25</sup>
	5.000	19.99	10/27/21	30.02	10.03 W	0.00	11 of 11 - Option sale to close-put <sup>25</sup>
10/27/21	30.000	119.94	Various	155.02	35.08 W	0.00	Total of 11 transactions
14 transactions for 10/28/21. Total proceeds and cost reported to the IRS.							
	20.000	79.95	10/27/21	100.00	...	-20.05	1 of 14 - Option sale to close-put <sup>25</sup>
	5.000	19.98	10/27/21	30.01	...	-10.03	2 of 14 - Option sale to close-put <sup>25</sup>
	5.000	19.99	10/27/21	30.01	...	-10.02	3 of 14 - Option sale to close-put <sup>25</sup>
	3.000	11.99	10/27/21	21.02	...	-9.03	4 of 14 - Option sale to close-put <sup>25</sup>
	4.000	15.99	10/27/21	24.01	...	-8.02	5 of 14 - Option sale to close-put <sup>25</sup>
	5.000	19.98	10/27/21	25.00	...	-5.02	6 of 14 - Option sale to close-put <sup>25</sup>
	1.000	3.98	10/27/21	7.01	...	-3.03	7 of 14 - Option sale to close-put <sup>25</sup>
	1.000	3.98	10/27/21	7.00	...	-3.02	8 of 14 - Option sale to close-put <sup>25</sup>
	1.000	3.99	10/27/21	6.00	...	-2.01	9 of 14 - Option sale to close-put <sup>25</sup>
	1.000	3.99	10/27/21	6.00	...	-2.01	10 of 14 - Option sale to close-put <sup>25</sup>

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Robinhood Securities LLC

Account 128952868

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 10/29/2021 PUT \$440.00 / CUSIP: / Symbol: (cont'd)							
	1.000	4.00	10/27/21	6.00	...	-2.00	11 of 14 - Option sale to close-put <sup>25</sup>
	1.000	4.00	10/27/21	6.00	...	-2.00	12 of 14 - Option sale to close-put <sup>25</sup>
	1.000	4.00	10/27/21	6.00	...	-2.00	13 of 14 - Option sale to close-put <sup>25</sup>
	1.000	4.00	10/27/21	6.00	...	-2.00	14 of 14 - Option sale to close-put <sup>25</sup>
10/28/21	50.000	199.82	Various	280.06	...	-80.24	Total of 14 transactions
	<b>Security total:</b>	<b>399.70</b>		<b>535.08</b>	<b>35.08 W</b>	<b>-100.30</b>	
SPY 11/05/2021 CALL \$470.00 / CUSIP: / Symbol:							
2 transactions for 11/05/21. Total proceeds and cost reported to the IRS.							
	10.000	999.97	11/03/21	90.00	...	909.97	1 of 2 - Option sale to close-call <sup>25</sup>
	5.000	499.99	11/04/21	115.00	...	384.99	2 of 2 - Option sale to close-call <sup>25</sup>
11/05/21	15.000	1,499.96	Various	205.00	...	1,294.96	Total of 2 transactions
SPY 11/05/2021 PUT \$440.00 / CUSIP: / Symbol:							
4 transactions for 11/03/21. Total proceeds and cost reported to the IRS.							
	4.000	15.98	11/02/21	32.00	...	-16.02	1 of 4 - Option sale to close-put <sup>25</sup>
	4.000	15.98	11/02/21	32.00	...	-16.02	2 of 4 - Option sale to close-put <sup>25</sup>
	1.000	3.98	11/02/21	8.00	...	-4.02	3 of 4 - Option sale to close-put <sup>25</sup>
	1.000	3.98	11/02/21	8.00	...	-4.02	4 of 4 - Option sale to close-put <sup>25</sup>
11/03/21	10.000	39.92	Various	80.00	...	-40.08	Total of 4 transactions
SPY 11/12/2021 CALL \$469.00 / CUSIP: / Symbol:							
2 transactions for 11/12/21. Total proceeds and cost reported to the IRS.							
	1.000	9.98	11/12/21	5.00	...	4.98	1 of 2 - Option sale to close-call <sup>25</sup>
	9.000	89.97	11/12/21	45.00	...	44.97	2 of 2 - Option sale to close-call <sup>25</sup>
11/12/21	10.000	99.95	Various	50.00	...	49.95	Total of 2 transactions

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Robinhood Securities LLC

Account 128952868

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 &amp; 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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## 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 11/12/2021 CALL \$470.00 / CUSIP: / Symbol:							
4 transactions for 11/12/21. Total proceeds and cost reported to the IRS.							
	20.000	79.95	11/11/21	60.00	...	19.95	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	4.00	11/12/21	3.00	...	1.00	2 of 4 - Option sale to close-call <sup>25</sup>
	2.000	7.99	11/12/21	6.00	...	1.99	3 of 4 - Option sale to close-call <sup>25</sup>
	7.000	27.99	11/12/21	21.00	...	6.99	4 of 4 - Option sale to close-call <sup>25</sup>
11/12/21	30.000	119.93	Various	90.00	...	29.93	Total of 4 transactions
SPY 11/12/2021 CALL \$471.00 / CUSIP: / Symbol:							
3 transactions for 11/12/21. Total proceeds and cost reported to the IRS.							
	10.000	9.98	11/12/21	20.02	...	-10.04	1 of 3 - Option sale to close-call <sup>25</sup>
	7.000	6.98	11/12/21	14.02	...	-7.04	2 of 3 - Option sale to close-call <sup>25</sup>
	3.000	2.99	11/12/21	6.01	...	-3.02	3 of 3 - Option sale to close-call <sup>25</sup>
11/12/21	20.000	19.95	Various	40.05	...	-20.10	Total of 3 transactions
3 transactions for 11/12/21. Total proceeds and cost reported to the IRS.							
	3.000	5.99	11/11/21	6.00	0.01 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	7.000	13.98	11/11/21	14.00	0.02 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	10.000	19.98	11/12/21	20.00	0.02 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
11/12/21	20.000	39.95	Various	40.00	0.05 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>59.90</b>		<b>80.05</b>	<b>0.05 W</b>	<b>-20.10</b>	
SPY 11/12/2021 PUT \$457.00 / CUSIP: / Symbol:							
11/12/21	10.000	0.00	11/11/21	90.00	...	-90.00	Option expiration- long put
SPY 11/12/2021 PUT \$460.00 / CUSIP: / Symbol:							
2 transactions for 11/10/21. Total proceeds and cost reported to the IRS.							
	1.000	25.99	11/09/21	69.00	43.01 W	0.00	1 of 2 - Option sale to close-put <sup>25</sup>
	2.000	51.99	11/09/21	160.00	108.01 W	0.00	2 of 2 - Option sale to close-put <sup>25</sup>
11/10/21	3.000	77.98	Various	229.00	151.02 W	0.00	Total of 2 transactions
16 transactions for 11/12/21. Total proceeds and cost reported to the IRS.							
	10.000	9.98	11/10/21	600.00	...	-590.02	1 of 16 - Option sale to close-put <sup>25</sup>
	7.000	6.98	11/10/21	532.00	...	-525.02	2 of 16 - Option sale to close-put <sup>25</sup>
	2.000	2.00	11/10/21	260.01	...	-258.01	3 of 16 - Option sale to close-put <sup>25</sup>
	1.000	1.00	11/10/21	119.01	...	-118.01	4 of 16 - Option sale to close-put <sup>25</sup>

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Robinhood Securities LLC

Account 128952868

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
SPY 11/12/2021 PUT \$460.00 / CUSIP: / Symbol: (cont'd)							
	2.000	2.00	11/11/21	78.00	...	-76.00	5 of 16 - Option sale to close-put <sup>25</sup>
	2.000	2.00	11/11/21	52.00	...	-50.00	6 of 16 - Option sale to close-put <sup>25</sup>
	1.000	1.00	11/11/21	26.00	...	-25.00	7 of 16 - Option sale to close-put <sup>25</sup>
	1.000	1.00	11/11/21	19.00	...	-18.00	8 of 16 - Option sale to close-put <sup>25</sup>
	5.000	4.99	11/12/21	45.00	...	-40.01	9 of 16 - Option sale to close-put <sup>25</sup>
	5.000	4.99	11/12/21	45.00	...	-40.01	10 of 16 - Option sale to close-put <sup>25</sup>
	4.000	3.99	11/12/21	24.00	...	-20.01	11 of 16 - Option sale to close-put <sup>25</sup>
	10.000	9.97	11/12/21	20.00	...	-10.03	12 of 16 - Option sale to close-put <sup>25</sup>
	5.000	4.98	11/12/21	15.00	...	-10.02	13 of 16 - Option sale to close-put <sup>25</sup>
	4.000	3.99	11/12/21	12.00	...	-8.01	14 of 16 - Option sale to close-put <sup>25</sup>
	1.000	1.00	11/12/21	6.00	...	-5.00	15 of 16 - Option sale to close-put <sup>25</sup>
	1.000	1.00	11/12/21	3.00	...	-2.00	16 of 16 - Option sale to close-put <sup>25</sup>
11/12/21	61.000	60.87	Various	1,856.02	...	-1,795.15	Total of 16 transactions
	<b>Security total:</b>	<b>138.85</b>		<b>2,085.02</b>	<b>151.02 W</b>	<b>-1,795.15</b>	
SPY 11/17/2021 CALL \$470.00 / CUSIP: / Symbol:							
11/16/21	1.000	124.98	11/15/21	39.00	...	85.98	Option sale to close-call <sup>25</sup>
SPY 11/17/2021 CALL \$471.00 / CUSIP: / Symbol:							
11/16/21	1.000	26.98	11/15/21	26.00	...	0.98	Option sale to close-call <sup>25</sup>
	2 transactions for 11/17/21. Total proceeds and cost reported to the IRS.						
	64.000	255.86	11/17/21	448.00	...	-192.14	1 of 2 - Option sale to close-call <sup>25</sup>
	36.000	143.92	11/17/21	252.00	...	-108.08	2 of 2 - Option sale to close-call <sup>25</sup>
11/17/21	100.000	399.78	Various	700.00	...	-300.22	Total of 2 transactions
	<b>Security total:</b>	<b>426.76</b>		<b>726.00</b>	...	<b>-299.24</b>	

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Robinhood Securities LLC

Account 128952868

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 11/17/2021 CALL \$474.00 / CUSIP: / Symbol:							
2 transactions for 11/16/21. Total proceeds and cost reported to the IRS.							
	7.000	34.98	11/15/21	35.00	...	-0.02	1 of 2 - Option sale to close-call <sup>25</sup>
	3.000	14.99	11/15/21	15.00	...	-0.01	2 of 2 - Option sale to close-call <sup>25</sup>
11/16/21	10.000	49.97	Various	50.00	...	-0.03	Total of 2 transactions
SPY 11/17/2021 CALL \$475.00 / CUSIP: / Symbol:							
2 transactions for 11/16/21. Total proceeds and cost reported to the IRS.							
	10.000	29.98	11/15/21	30.00	0.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	12.000	35.97	11/16/21	36.00	0.02 W	-0.01	2 of 2 - Option sale to close-call <sup>25</sup>
11/16/21	22.000	65.95	Various	66.00	0.04 W	-0.01	Total of 2 transactions
4 transactions for 11/17/21. Total proceeds and cost reported to the IRS.							
	78.000	0.00	11/16/21	234.00	...	-234.00	1 of 4 - Option expiration- long call
	10.000	0.00	11/16/21	30.02	...	-30.02	2 of 4 - Option expiration- long call
	9.000	0.00	11/16/21	18.02	...	-18.02	3 of 4 - Option expiration- long call
	1.000	0.00	11/16/21	2.00	...	-2.00	4 of 4 - Option expiration- long call
11/17/21	98.000	0.00	Various	284.04	...	-284.04	Total of 4 transactions
	<b>Security total:</b>	<b>65.95</b>		<b>350.04</b>	<b>0.04 W</b>	<b>-284.05</b>	
SPY 11/17/2021 PUT \$460.00 / CUSIP: / Symbol:							
11/16/21	1.000	7.98	11/15/21	18.00	...	-10.02	Option sale to close-put <sup>25</sup>
SPY 11/17/2021 PUT \$463.00 / CUSIP: / Symbol:							
11/17/21	1.000	4.98	11/16/21	7.00	...	-2.02	Option sale to close-put <sup>25</sup>
SPY 11/19/2021 PUT \$440.00 / CUSIP: / Symbol:							
7 transactions for 11/15/21. Total proceeds and cost reported to the IRS.							
	2.000	9.99	11/11/21	68.00	...	-58.01	1 of 7 - Option sale to close-put <sup>25</sup>
	2.000	10.00	11/11/21	64.00	...	-54.00	2 of 7 - Option sale to close-put <sup>25</sup>
	1.000	5.00	11/11/21	34.00	...	-29.00	3 of 7 - Option sale to close-put <sup>25</sup>
	1.000	5.00	11/11/21	31.00	...	-26.00	4 of 7 - Option sale to close-put <sup>25</sup>
	1.000	5.00	11/11/21	26.00	...	-21.00	5 of 7 - Option sale to close-put <sup>25</sup>
	2.000	11.98	11/15/21	94.01	...	-82.03	6 of 7 - Option sale to close-put <sup>25</sup>
	2.000	9.99	11/15/21	16.00	...	-6.01	7 of 7 - Option sale to close-put <sup>25</sup>
11/15/21	11.000	56.96	Various	333.01	...	-276.05	Total of 7 transactions

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Robinhood Securities LLC

Account 128952868

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

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SPY 11/19/2021 PUT \$440.00 / CUSIP: / Symbol: (cont'd)							
11/15/21	10.000	49.97	11/10/21	440.00	78.01 W	-312.02	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>106.93</b>		<b>773.01</b>	<b>78.01 W</b>	<b>-588.07</b>	
SPY 12/03/2021 PUT \$450.00 / CUSIP: / Symbol:							
3 transactions for 12/03/21. Total proceeds and cost reported to the IRS.							
	1.000	102.99	12/03/21	78.00	...	24.99	1 of 3 - Option sale to close-put <sup>25</sup>
	1.000	103.00	12/03/21	78.00	...	25.00	2 of 3 - Option sale to close-put <sup>25</sup>
	1.000	102.99	12/03/21	27.00	...	75.99	3 of 3 - Option sale to close-put <sup>25</sup>
12/03/21	3.000	308.98	Various	183.00	...	125.98	Total of 3 transactions
SPY 12/20/2021 PUT \$450.00 / CUSIP: / Symbol:							
12/20/21	5.000	454.98	12/20/21	455.00	...	-0.02	Option sale to close-put <sup>25</sup>
SPY 12/23/2021 CALL \$472.00 / CUSIP: / Symbol:							
2 transactions for 12/23/21. Total proceeds and cost reported to the IRS.							
	5.000	219.98	12/23/21	220.00	...	-0.02	1 of 2 - Option sale to close-call <sup>25</sup>
	5.000	219.99	12/23/21	220.00	...	-0.01	2 of 2 - Option sale to close-call <sup>25</sup>
12/23/21	10.000	439.97	Various	440.00	...	-0.03	Total of 2 transactions
SPY 12/27/2021 CALL \$473.00 / CUSIP: / Symbol:							
2 transactions for 12/23/21. Total proceeds and cost reported to the IRS.							
	1.000	91.99	12/23/21	90.00	...	1.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	91.99	12/23/21	90.00	...	1.99	2 of 2 - Option sale to close-call <sup>25</sup>
12/23/21	2.000	183.98	Various	180.00	...	3.98	Total of 2 transactions
SPY 12/29/2021 PUT \$476.00 / CUSIP: / Symbol:							
12/28/21	2.000	139.98	12/28/21	184.00	...	-44.02	Option sale to close-put <sup>25</sup>
SPY 12/31/2021 CALL \$474.00 / CUSIP: / Symbol:							
12/27/21	2.000	589.98	12/27/21	536.00	...	53.98	Option sale to close-call <sup>25</sup>
SQQQ 12/17/2021 CALL \$6.50 / CUSIP: / Symbol:							
12/03/21	1.000	62.98	12/02/21	42.00	...	20.98	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 128952868

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

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TSLA 10/29/2021 CALL \$1,120.00 / CUSIP: / Symbol:							
10/28/21	1.000	324.98	10/28/21	375.00	...	-50.02	Option sale to close-call <sup>25</sup>
TSLA 10/29/2021 CALL \$2,000.00 / CUSIP: / Symbol:							
2 transactions for 10/26/21. Total proceeds and cost reported to the IRS.							
	2.000	33.99	10/26/21	66.00	...	-32.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	16.99	10/26/21	15.00	...	1.99	2 of 2 - Option sale to close-call <sup>25</sup>
10/26/21	3.000	50.98	Various	81.00	...	-30.02	Total of 2 transactions
TSLA 11/05/2021 CALL \$2,000.00 / CUSIP: / Symbol:							
9 transactions for 11/05/21. Total proceeds and cost reported to the IRS.							
	10.000	0.00	11/01/21	60.00	...	-60.00	1 of 9 - Option expiration- long call
	1.000	0.00	11/01/21	6.00	...	-6.00	2 of 9 - Option expiration- long call
	2.000	0.00	11/03/21	6.00	...	-6.00	3 of 9 - Option expiration- long call
	2.000	0.00	11/03/21	6.00	...	-6.00	4 of 9 - Option expiration- long call
	2.000	0.00	11/03/21	6.00	...	-6.00	5 of 9 - Option expiration- long call
	1.000	0.00	11/03/21	3.00	...	-3.00	6 of 9 - Option expiration- long call
	1.000	0.00	11/03/21	3.00	...	-3.00	7 of 9 - Option expiration- long call
	1.000	0.00	11/03/21	3.00	...	-3.00	8 of 9 - Option expiration- long call
	1.000	0.00	11/03/21	3.00	...	-3.00	9 of 9 - Option expiration- long call
11/05/21	21.000	0.00	Various	96.00	...	-96.00	Total of 9 transactions
TSLA 11/12/2021 PUT \$900.00 / CUSIP: / Symbol:							
11/09/21	1.000	509.98	11/09/21	500.00	...	9.98	Option sale to close-put <sup>25</sup>
TSLA 12/03/2021 CALL \$1,250.00 / CUSIP: / Symbol:							
11/30/21	1.000	349.98	11/30/21	350.00	...	-0.02	Option sale to close-call <sup>25</sup>
TSLA 12/10/2021 CALL \$1,145.00 / CUSIP: / Symbol:							
12/06/21	1.000	272.98	12/06/21	272.00	...	0.98	Option sale to close-call <sup>25</sup>
TSLA 12/17/2021 PUT \$840.00 / CUSIP: / Symbol:							
12/15/21	10.000	729.97	12/15/21	1,690.00	...	-960.03	Option sale to close-put <sup>25</sup>

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Robinhood Securities LLC

Account 128952868

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TSLA 12/17/2021 PUT \$845.00 / CUSIP: / Symbol:							
3 transactions for 12/14/21. Total proceeds and cost reported to the IRS.							
	1.000	92.98	12/14/21	360.00	267.02 W	0.00	1 of 3 - Option sale to close-put <sup>25</sup>
	1.000	92.98	12/14/21	360.00	267.02 W	0.00	2 of 3 - Option sale to close-put <sup>25</sup>
	8.000	743.97	12/14/21	2,880.00	2,136.03 W	0.00	3 of 3 - Option sale to close-put <sup>25</sup>
12/14/21	10.000	929.93	Various	3,600.00	2,670.07 W	0.00	Total of 3 transactions
3 transactions for 12/15/21. Total proceeds and cost reported to the IRS.							
	8.000	1,295.98	12/14/21	2,968.03	...	-1,672.05	1 of 3 - Option sale to close-put <sup>25</sup>
	1.000	161.99	12/14/21	371.02	...	-209.03	2 of 3 - Option sale to close-put <sup>25</sup>
	1.000	162.00	12/14/21	371.02	...	-209.02	3 of 3 - Option sale to close-put <sup>25</sup>
12/15/21	10.000	1,619.97	Various	3,710.07	...	-2,090.10	Total of 3 transactions
	<b>Security total:</b>	<b>2,549.90</b>		<b>7,310.07</b>	<b>2,670.07 W</b>	<b>-2,090.10</b>	
TSLA 12/17/2021 PUT \$850.00 / CUSIP: / Symbol:							
4 transactions for 12/14/21. Total proceeds and cost reported to the IRS.							
	7.000	2,729.97	12/14/21	2,730.00	...	-0.03	1 of 4 - Option sale to close-put <sup>25</sup>
	1.000	389.99	12/14/21	390.00	...	-0.01	2 of 4 - Option sale to close-put <sup>25</sup>
	1.000	390.00	12/14/21	390.00	...	0.00	3 of 4 - Option sale to close-put <sup>25</sup>
	1.000	390.00	12/14/21	390.00	...	0.00	4 of 4 - Option sale to close-put <sup>25</sup>
12/14/21	10.000	3,899.96	Various	3,900.00	...	-0.04	Total of 4 transactions
TSLA 12/23/2021 CALL \$1,010.00 / CUSIP: / Symbol:							
2 transactions for 12/22/21. Total proceeds and cost reported to the IRS.							
	1.000	1,254.98	12/22/21	1,255.00	...	-0.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	1,604.98	12/22/21	1,555.00	...	49.98	2 of 2 - Option sale to close-call <sup>25</sup>
12/22/21	2.000	2,859.96	Various	2,810.00	...	49.96	Total of 2 transactions
TSLA 12/23/2021 CALL \$1,050.00 / CUSIP: / Symbol:							
3 transactions for 12/15/21. Total proceeds and cost reported to the IRS.							
	1.000	469.98	12/15/21	480.00	10.02 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	469.98	12/15/21	480.00	10.02 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	939.98	12/15/21	960.00	20.02 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
12/15/21	4.000	1,879.94	Various	1,920.00	40.06 W	0.00	Total of 3 transactions
12/20/21	1.000	47.98	12/20/21	96.02	48.04 W	0.00	Option sale to close-call <sup>25</sup>

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Account 128952868

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

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TSLA 12/23/2021 CALL \$1,050.00 / CUSIP: / Symbol: (cont'd)							
5 transactions for 12/22/21. Total proceeds and cost reported to the IRS.							
	1.000	181.00	12/21/21	87.04	...	93.96	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	181.00	12/21/21	49.02	...	131.98	2 of 5 - Option sale to close-call <sup>25</sup>
	2.000	361.99	12/21/21	98.02	...	263.97	3 of 5 - Option sale to close-call <sup>25</sup>
	3.000	542.98	12/21/21	90.00	...	452.98	4 of 5 - Option sale to close-call <sup>25</sup>
	6.000	1,085.98	12/21/21	234.00	...	851.98	5 of 5 - Option sale to close-call <sup>25</sup>
12/22/21	13.000	2,352.95	Various	558.08	...	1,794.87	Total of 5 transactions
	<b>Security total:</b>	<b>4,280.87</b>		<b>2,574.10</b>	<b>88.10 W</b>	<b>1,794.87</b>	
TSLA 12/23/2021 CALL \$1,060.00 / CUSIP: / Symbol:							
12/15/21	4.000	1,771.98	12/15/21	1,760.00	...	11.98	Option sale to close-call <sup>25</sup>
TSLA 12/31/2021 CALL \$1,310.00 / CUSIP: / Symbol:							
12/27/21	2.000	459.98	12/27/21	402.00	...	57.98	Option sale to close-call <sup>25</sup>
TSM 12/17/2021 CALL \$120.00 / CUSIP: / Symbol:							
12/06/21	1.000	246.98	12/06/21	238.00	...	8.98	Option sale to close-call <sup>25</sup>
U 12/17/2021 CALL \$155.00 / CUSIP: / Symbol:							
4 transactions for 12/17/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	12/10/21	220.00	...	-220.00	1 of 4 - Option expiration- long call
	1.000	0.00	12/10/21	115.00	...	-115.00	2 of 4 - Option expiration- long call
	1.000	0.00	12/10/21	100.00	...	-100.00	3 of 4 - Option expiration- long call
	1.000	0.00	12/10/21	95.00	...	-95.00	4 of 4 - Option expiration- long call
12/17/21	4.000	0.00	Various	530.00	...	-530.00	Total of 4 transactions
UNH 12/17/2021 CALL \$500.00 / CUSIP: / Symbol:							
12/16/21	1.000	105.98	12/15/21	181.00	...	-75.02	Option sale to close-call <sup>25</sup>
UNH 12/23/2021 CALL \$495.00 / CUSIP: / Symbol:							
2 transactions for 12/22/21. Total proceeds and cost reported to the IRS.							
	9.000	1,349.97	12/22/21	1,791.00	...	-441.03	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	149.98	12/22/21	199.00	...	-49.02	2 of 2 - Option sale to close-call <sup>25</sup>
12/22/21	10.000	1,499.95	Various	1,990.00	...	-490.05	Total of 2 transactions

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Account 128952868

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

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UPS 12/17/2021 CALL \$220.00 / CUSIP: / Symbol:							
11/11/21	2.000	589.98	11/10/21	542.00	...	47.98	Option sale to close-call <sup>25</sup>
UPST 01/21/2022 CALL \$250.00 / CUSIP: / Symbol:							
12/27/21	1.000	79.98	12/08/21	822.00	742.02 W	0.00	Option sale to close-call <sup>25</sup>
UPST 12/10/2021 CALL \$200.00 / CUSIP: / Symbol:							
12/07/21	4.000	1,459.98	12/07/21	1,280.00	...	179.98	Option sale to close-call <sup>25</sup>
UPST 12/10/2021 PUT \$160.00 / CUSIP: / Symbol:							
12/10/21	10.000	1,399.97	12/10/21	1,390.00	...	9.97	Option sale to close-put <sup>25</sup>
UPST 12/17/2021 PUT \$130.00 / CUSIP: / Symbol:							
12/17/21	2.000	119.98	12/17/21	660.00	...	-540.02	Option sale to close-put <sup>25</sup>
UPST 12/31/2021 CALL \$165.00 / CUSIP: / Symbol:							
12/27/21	2.000	819.98	12/27/21	760.00	...	59.98	Option sale to close-call <sup>25</sup>
W 12/03/2021 PUT \$225.00 / CUSIP: / Symbol:							
12/02/21	1.000	74.98	12/02/21	260.00	...	-185.02	Option sale to close-put <sup>25</sup>
X 01/07/2022 CALL \$25.00 / CUSIP: / Symbol:							
12/27/21	5.000	144.98	12/23/21	125.00	...	19.98	Option sale to close-call <sup>25</sup>
ABVC BIOPHARMA, INC. COMMON ST OCK / CUSIP: 00091F106 / Symbol:							
<i>2 transactions for 08/23/21. Total proceeds and cost reported to the IRS.</i>							
	10.000	31.72	08/11/21	40.99	...	-9.27	1 of 2 - Sale <sup>25</sup>
	10.000	31.72	08/16/21	21.09	...	10.63	2 of 2 - Sale <sup>25</sup>
08/23/21	20.000	63.44	Various	62.08	...	1.36	Total of 2 transactions
ASOS PLC UNSPONSORED ADR (UNIT ED KINGDOM) / CUSIP: 00212V105 / Symbol:							
01/05/21	30.000	2,072.40	03/17/20	398.40	...	1,674.00	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 128952868

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ARK AUTONOMOUS TECHNOLOGY & RO BOTICS ETF / CUSIP: 00214Q203 / Symbol:							
3 transactions for 03/04/21. Total proceeds and cost reported to the IRS.							
	0.084	6.91	12/28/20	6.41	...	0.50	1 of 3 - Sale <sup>25</sup>
	9.916	814.98	12/28/20	756.38	...	58.60	2 of 3 - Sale <sup>25</sup>
	0.084	6.91	01/04/21	6.56	...	0.35	3 of 3 - Sale <sup>25</sup>
03/04/21	10.084	828.80	Various	769.35	...	59.45	Total of 3 transactions
ARK GENOMIC REVOLUTION ETF / CUSIP: 00214Q302 / Symbol:							
5 transactions for 06/28/21. Total proceeds and cost reported to the IRS.							
	9.916	922.49	12/28/20	1,048.52	...	-126.03	1 of 5 - Sale <sup>25</sup>
	10.000	930.30	12/29/20	913.70	...	16.60	2 of 5 - Sale <sup>25</sup>
	0.084	7.80	01/04/21	7.91	...	-0.11	3 of 5 - Sale <sup>25</sup>
	0.084	7.80	06/17/21	8.25	...	-0.45	4 of 5 - Sale <sup>25</sup>
	19.916	1,852.79	06/17/21	1,703.62	...	149.17	5 of 5 - Sale <sup>25</sup>
06/28/21	40.000	3,721.18	Various	3,682.00	...	39.18	Total of 5 transactions
06/28/21	0.084	7.80	12/28/20	8.87	1.07 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>3,728.98</b>		<b>3,690.87</b>	<b>1.07 W</b>	<b>39.18</b>	
ARDELYX, INC. COMMON STOCK / CUSIP: 039697107 / Symbol:							
07/21/21	100.000	195.99	07/20/21	193.50	...	2.49	Sale <sup>25</sup>
ARCTURUS THERAPEUTICS HOLDINGS INC. COMMON STOCK / CUSIP: 03969T109 / Symbol:							
03/03/21	10.000	507.04	12/29/20	429.70	...	77.34	Sale <sup>25</sup>
ATOSSA THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 04962H506 / Symbol:							
08/17/21	10.000	32.20	08/02/21	30.37	...	1.83	Sale <sup>25</sup>
AVINGER, INC. COMMON STOCK / CUSIP: 053734604 / Symbol:							
03/04/21	100.000	144.39	01/11/21	132.00	...	12.39	Sale <sup>25</sup>
BIOMERICA, INC. COMMON STOCK / CUSIP: 09061H307 / Symbol:							
08/30/21	10.000	43.80	07/21/21	43.35	...	0.45	Sale <sup>25</sup>
BIOLASE, INC. COMMON STOCK / CUSIP: 090911207 / Symbol:							
03/04/21	100.000	80.22	01/12/21	78.39	...	1.83	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 128952868

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CELLECT BIOTECHNOLOGY LTD. AME RICAN DEPOSITARY SHARES / CUSIP: 15116C201 / Symbol:							
08/17/21	10.000	41.20	07/28/21	40.78	...	0.42	Sale <sup>25</sup>
CHEVRON CORPORATION / CUSIP: 166764100 / Symbol:							
05/14/21	14.000	1,531.57	05/11/21	1,533.98	...	-2.41	Sale <sup>25</sup>
05/18/21	0.723	77.38	05/11/21	79.27	...	-1.89	Cash in lieu
	<b>Security total:</b>	<b>1,608.95</b>		<b>1,613.25</b>	...	<b>-4.30</b>	
CLOVER HEALTH INVESTMENTS, COR P. CLASS A COMMON STOCK / CUSIP: 18914F103 / Symbol:							
6 transactions for 08/16/21. Total proceeds and cost reported to the IRS.							
	1.204	10.18	10/08/20	12.80	...	-2.62	1 of 6 - Sale <sup>25</sup>
	0.796	6.73	10/08/20	8.46	...	-1.73	2 of 6 - Sale <sup>25</sup>
	10.000	84.55	03/01/21	98.65	...	-14.10	3 of 6 - Sale <sup>25</sup>
	12.796	108.19	03/04/21	100.00	...	8.19	4 of 6 - Sale <sup>25</sup>
	10.000	84.55	03/05/21	68.40	...	16.15	5 of 6 - Sale <sup>25</sup>
	10.000	84.55	07/15/21	83.70	...	0.85	6 of 6 - Sale <sup>25</sup>
08/16/21	44.796	378.75	Various	372.01	...	6.74	Total of 6 transactions
CONOCOPHILLIPS / CUSIP: 20825C104 / Symbol:							
4 transactions for 09/24/21. Total proceeds and cost reported to the IRS.							
	0.225	14.67	12/02/20	8.78	...	5.89	1 of 4 - Sale <sup>25</sup>
	0.169	11.05	03/02/21	8.88	...	2.17	2 of 4 - Sale <sup>25</sup>
	0.153	9.99	06/02/21	8.95	...	1.04	3 of 4 - Sale <sup>25</sup>
	0.163	10.66	09/02/21	9.02	...	1.64	4 of 4 - Sale <sup>25</sup>
09/24/21	0.710	46.37	Various	35.63	...	10.74	Total of 4 transactions
DENISON MINES CORP / CUSIP: 248356107 / Symbol:							
06/02/21	50.000	66.25	02/16/21	62.75	...	3.50	Sale <sup>25</sup>
DIAMONDBACK ENERGY, INC. COMMM ON STOCK / CUSIP: 25278X109 / Symbol:							
4 transactions for 10/01/21. Total proceeds and cost reported to the IRS.							
	0.291	28.10	11/20/20	11.46	...	16.64	1 of 4 - Sale <sup>25</sup>
	0.148	14.28	03/12/21	12.34	...	1.94	2 of 4 - Sale <sup>25</sup>
	0.159	15.34	05/21/21	12.39	...	2.95	3 of 4 - Sale <sup>25</sup>
	0.209	20.15	08/20/21	14.02	...	6.13	4 of 4 - Sale <sup>25</sup>
10/01/21	0.806	77.87	Various	50.21	...	27.66	Total of 4 transactions

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Robinhood Securities LLC

Account 128952868

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ENERGY TRANSFER LP COMMON UNIT S REPRESENTING LIMITED PARTNER INTERESTS / CUSIP: 29273V100 / Symbol:							
4 transactions for 12/06/21. Total proceeds and cost reported to the IRS.							
	4.554	36.76	03/02/21	36.44	...	0.32	1 of 4 - Sale <sup>25</sup>
	4.126	33.30	08/25/21	38.05	...	-4.75	2 of 4 - Sale <sup>25</sup>
	8.598	69.40	11/15/21	77.85	...	-8.45	3 of 4 - Sale <sup>25</sup>
	3.309	26.71	11/18/21	29.83	...	-3.12	4 of 4 - Sale <sup>25</sup>
12/06/21	20.587	166.17	Various	182.17	...	-16.00	Total of 4 transactions
12/06/21	3.807	30.73	05/26/21	37.32	1.73 W	-4.86	Sale <sup>25</sup>
	<b>Security total:</b>	<b>196.90</b>		<b>219.49</b>	<b>1.73 W</b>	<b>-20.86</b>	

ENSYSCE BIOSCIENCES, INC. COMM ON STOCK / CUSIP: 293602108 / Symbol:

45 transactions for 12/09/21. Total proceeds and cost reported to the IRS.

30.000	141.59	08/03/21	143.40	...	-1.81	1 of 45 - Sale <sup>25</sup>
10.000	47.20	08/04/21	46.00	...	1.20	2 of 45 - Sale <sup>25</sup>
50.000	235.99	08/06/21	210.00	...	25.99	3 of 45 - Sale <sup>25</sup>
10.000	47.20	08/09/21	41.00	...	6.20	4 of 45 - Sale <sup>25</sup>
40.000	188.80	08/09/21	164.00	...	24.80	5 of 45 - Sale <sup>25</sup>
10.000	47.20	08/10/21	39.00	...	8.20	6 of 45 - Sale <sup>25</sup>
40.000	188.79	08/10/21	156.00	...	32.79	7 of 45 - Sale <sup>25</sup>
50.000	235.99	08/10/21	201.50	...	34.49	8 of 45 - Sale <sup>25</sup>
10.000	47.20	08/12/21	35.70	...	11.50	9 of 45 - Sale <sup>25</sup>
50.000	235.99	08/12/21	177.50	...	58.49	10 of 45 - Sale <sup>25</sup>
50.000	235.99	08/12/21	177.50	...	58.49	11 of 45 - Sale <sup>25</sup>
10.000	47.20	08/13/21	31.80	...	15.40	12 of 45 - Sale <sup>25</sup>
40.000	188.79	08/16/21	118.00	...	70.79	13 of 45 - Sale <sup>25</sup>
40.000	188.80	08/16/21	116.40	...	72.40	14 of 45 - Sale <sup>25</sup>
60.000	283.19	08/16/21	177.00	...	106.19	15 of 45 - Sale <sup>25</sup>
60.000	282.59	08/16/21	174.60	...	107.99	16 of 45 - Sale <sup>25</sup>
100.000	471.99	08/16/21	297.00	...	174.99	17 of 45 - Sale <sup>25</sup>
50.000	235.49	08/24/21	156.50	...	78.99	18 of 45 - Sale <sup>25</sup>
90.000	423.89	08/25/21	283.50	...	140.39	19 of 45 - Sale <sup>25</sup>
100.000	470.99	09/20/21	302.90	...	168.09	20 of 45 - Sale <sup>25</sup>
100.000	470.98	09/30/21	300.00	...	170.98	21 of 45 - Sale <sup>25</sup>
1.000	4.71	10/01/21	2.83	...	1.88	22 of 45 - Sale <sup>25</sup>
200.000	941.97	10/01/21	586.00	...	355.97	23 of 45 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 128952868

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ENSYSCE BIOSCIENCES, INC. COMM ON STOCK / CUSIP: 293602108 / Symbol: (cont'd)							
	9.000	42.39	10/07/21	22.41	...	19.98	24 of 45 - Sale <sup>25</sup>
	46.000	216.65	10/07/21	114.54	...	102.11	25 of 45 - Sale <sup>25</sup>
	54.000	254.33	10/07/21	134.46	...	119.87	26 of 45 - Sale <sup>25</sup>
	91.000	428.60	10/07/21	226.59	...	202.01	27 of 45 - Sale <sup>25</sup>
	99.000	466.28	10/07/21	246.51	...	219.77	28 of 45 - Sale <sup>25</sup>
	100.000	470.98	10/07/21	249.00	...	221.98	29 of 45 - Sale <sup>25</sup>
	200.000	941.97	10/07/21	498.00	...	443.97	30 of 45 - Sale <sup>25</sup>
	20.000	94.20	10/12/21	46.80	...	47.40	31 of 45 - Sale <sup>25</sup>
	30.000	141.30	10/12/21	70.18	...	71.12	32 of 45 - Sale <sup>25</sup>
	1.000	4.71	10/22/21	2.33	...	2.38	33 of 45 - Sale <sup>25</sup>
	99.000	466.27	10/22/21	230.18	...	236.09	34 of 45 - Sale <sup>25</sup>
	10.000	47.10	10/25/21	22.00	...	25.10	35 of 45 - Sale <sup>25</sup>
	20.000	94.20	10/26/21	43.00	...	51.20	36 of 45 - Sale <sup>25</sup>
	20.000	94.20	11/02/21	43.95	...	50.25	37 of 45 - Sale <sup>25</sup>
	10.000	47.10	11/15/21	33.18	...	13.92	38 of 45 - Sale <sup>25</sup>
	10.000	47.10	11/16/21	31.45	...	15.65	39 of 45 - Sale <sup>25</sup>
	10.000	47.10	11/16/21	28.79	...	18.31	40 of 45 - Sale <sup>25</sup>
	10.000	47.10	11/16/21	27.50	...	19.60	41 of 45 - Sale <sup>25</sup>
	40.000	188.39	11/17/21	76.80	...	111.59	42 of 45 - Sale <sup>25</sup>
	60.000	282.58	11/17/21	167.42	...	115.16	43 of 45 - Sale <sup>25</sup>
	10.000	47.10	12/02/21	16.78	...	30.32	44 of 45 - Sale <sup>25</sup>
	10.000	47.10	12/06/21	16.40	...	30.70	45 of 45 - Sale <sup>25</sup>
12/09/21	2,160.000	10,179.28	Various	6,286.40	...	3,892.88	Total of 45 transactions
	3 transactions for 12/09/21. Total proceeds and cost reported to the IRS.						
	10.000	47.20	07/30/21	58.50	11.30 W	0.00	1 of 3 - Sale <sup>25</sup>
	90.000	424.78	07/30/21	526.50	101.72 W	0.00	2 of 3 - Sale <sup>25</sup>
	100.000	471.99	08/03/21	498.00	15.60 W	-10.41	3 of 3 - Sale <sup>25</sup>
12/09/21	200.000	943.97	Various	1,083.00	128.62 W	-10.41	Total of 3 transactions
	<b>Security total:</b>	<b>11,123.25</b>		<b>7,369.40</b>	<b>128.62 W</b>	<b>3,882.47</b>	

EXXON MOBIL CORPORATION / CUSIP: 30231G102 / Symbol:

4 transactions for 11/01/21. Total proceeds and cost reported to the IRS.

0.209	13.74	12/11/20	9.07	...	4.67	1 of 4 - Sale <sup>25</sup>
0.150	9.87	03/11/21	9.25	...	0.62	2 of 4 - Sale <sup>25</sup>

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Account 128952868

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EXXON MOBIL CORPORATION / CUSIP: 30231G102 / Symbol: (cont'd)							
	0.149	9.83	06/11/21	9.38	...	0.45	3 of 4 - Sale <sup>25</sup>
	0.071	4.68	09/13/21	3.90	...	0.78	4 of 4 - Sale <sup>25</sup>
11/01/21	0.578	38.12	Various	31.60	...	6.52	Total of 4 transactions
GLOBALSTAR, INC. / CUSIP: 378973408 / Symbol:							
01/12/21	1,000.000	862.76	01/12/21	811.00	...	51.76	Sale <sup>25</sup>
GRAN TIERRA ENERGY INC. / CUSIP: 38500T101 / Symbol:							
6 transactions for 06/18/21. Total proceeds and cost reported to the IRS.							
	100.000	73.61	02/01/21	68.88	...	4.73	1 of 6 - Sale <sup>25</sup>
	100.000	73.61	02/01/21	68.88	...	4.73	2 of 6 - Sale <sup>25</sup>
	100.000	73.30	02/24/21	94.41	...	-21.11	3 of 6 - Sale <sup>25</sup>
	300.000	219.89	03/03/21	229.92	...	-10.03	4 of 6 - Sale <sup>25</sup>
	100.000	73.30	04/23/21	60.01	...	13.29	5 of 6 - Sale <sup>25</sup>
	300.000	219.88	06/17/21	213.48	...	6.40	6 of 6 - Sale <sup>25</sup>
06/18/21	1,000.000	733.59	Various	735.58	...	-1.99	Total of 6 transactions
HANCOCK JAFFE LABORATORIES, IN C. COMMON STOCK / CUSIP: 41015N304 / Symbol:							
14 transactions for 08/13/21. Total proceeds and cost reported to the IRS.							
	20.000	138.80	08/03/21	144.00	...	-5.20	1 of 14 - Sale <sup>25</sup>
	10.000	69.40	08/03/21	72.60	...	-3.20	2 of 14 - Sale <sup>25</sup>
	10.000	69.40	08/03/21	70.50	...	-1.10	3 of 14 - Sale <sup>25</sup>
	10.000	69.40	08/03/21	70.00	...	-0.60	4 of 14 - Sale <sup>25</sup>
	10.000	69.40	08/03/21	68.50	...	0.90	5 of 14 - Sale <sup>25</sup>
	10.000	69.40	08/03/21	67.50	...	1.90	6 of 14 - Sale <sup>25</sup>
	20.000	138.79	08/03/21	134.80	...	3.99	7 of 14 - Sale <sup>25</sup>
	3.000	20.82	08/04/21	20.58	...	0.24	8 of 14 - Sale <sup>25</sup>
	10.000	69.40	08/04/21	68.70	...	0.70	9 of 14 - Sale <sup>25</sup>
	24.000	166.56	08/04/21	164.65	...	1.91	10 of 14 - Sale <sup>25</sup>
	53.000	367.81	08/04/21	363.59	...	4.22	11 of 14 - Sale <sup>25</sup>
	10.000	69.40	08/04/21	61.10	...	8.30	12 of 14 - Sale <sup>25</sup>
	2.000	13.88	08/06/21	13.70	...	0.18	13 of 14 - Sale <sup>25</sup>
	8.000	55.52	08/06/21	54.80	...	0.72	14 of 14 - Sale <sup>25</sup>
08/13/21	200.000	1,387.98	Various	1,375.02	...	12.96	Total of 14 transactions

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Robinhood Securities LLC

Account 128952868

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HANCOCK JAFFE LABORATORIES, IN C. COMMON STOCK / CUSIP: 41015N304 / Symbol: (cont'd)							
2 transactions for 08/13/21. Total proceeds and cost reported to the IRS.							
	100.000	693.98	08/03/21	780.00	77.42 W	-8.60	1 of 2 - Sale <sup>25</sup>
	10.000	69.40	08/03/21	78.10	8.70 W	0.00	2 of 2 - Sale <sup>25</sup>
08/13/21	110.000	763.38	Various	858.10	86.12 W	-8.60	Total of 2 transactions
	<b>Security total:</b>	<b>2,151.36</b>		<b>2,233.12</b>	<b>86.12 W</b>	<b>4.36</b>	
HEAT BIOLOGICS, INC. / CUSIP: 42237K409 / Symbol:							
5 transactions for 12/16/21. Total proceeds and cost reported to the IRS.							
	10.000	40.72	02/11/21	116.30	...	-75.58	1 of 5 - Sale <sup>25</sup>
	10.000	40.72	03/02/21	81.30	...	-40.58	2 of 5 - Sale <sup>25</sup>
	10.000	40.72	03/31/21	71.40	...	-30.68	3 of 5 - Sale <sup>25</sup>
	10.000	40.72	07/09/21	67.58	...	-26.86	4 of 5 - Sale <sup>25</sup>
	10.000	40.72	07/15/21	62.55	...	-21.83	5 of 5 - Sale <sup>25</sup>
12/16/21	50.000	203.60	Various	399.13	...	-195.53	Total of 5 transactions
LIGHTNING EMOTORS, INC. / CUSIP: 53228T101 / Symbol:							
4 transactions for 08/10/21. Total proceeds and cost reported to the IRS.							
	10.000	96.60	04/01/21	107.90	...	-11.30	1 of 4 - Sale <sup>25</sup>
	10.000	96.60	04/19/21	85.10	...	11.50	2 of 4 - Sale <sup>25</sup>
	10.000	96.60	05/12/21	67.40	...	29.20	3 of 4 - Sale <sup>25</sup>
	10.000	96.60	07/15/21	106.47	...	-9.87	4 of 4 - Sale <sup>25</sup>
08/10/21	40.000	386.40	Various	366.87	...	19.53	Total of 4 transactions
08/10/21	10.000	96.60	03/01/21	138.79	42.19 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>483.00</b>		<b>505.66</b>	<b>42.19 W</b>	<b>19.53</b>	
LORDSTOWN MOTORS CORP. CLASS A COMMON STOCK / CUSIP: 54405Q100 / Symbol:							
02/11/21	10.000	298.85	01/11/21	254.55	...	44.30	Sale <sup>25</sup>
MARIN SOFTWARE INCORPORATED CO MMON STOCK / CUSIP: 56804T205 / Symbol:							
7 transactions for 08/27/21. Total proceeds and cost reported to the IRS.							
	100.000	672.98	08/02/21	700.00	...	-27.02	1 of 7 - Sale <sup>25</sup>
	10.000	67.30	08/02/21	71.60	...	-4.30	2 of 7 - Sale <sup>25</sup>
	10.000	67.30	08/03/21	65.00	...	2.30	3 of 7 - Sale <sup>25</sup>
	10.000	67.30	08/05/21	58.89	...	8.41	4 of 7 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 128952868

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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MARIN SOFTWARE INCORPORATED CO MMON STOCK / CUSIP: 56804T205 / Symbol: (cont'd)							
	10.000	67.30	08/16/21	50.50	...	16.80	5 of 7 - Sale <sup>25</sup>
	10.000	67.29	08/19/21	49.25	...	18.04	6 of 7 - Sale <sup>25</sup>
	10.000	67.30	08/19/21	47.59	...	19.71	7 of 7 - Sale <sup>25</sup>
08/27/21	160.000	1,076.77	Various	1,042.83	...	33.94	Total of 7 transactions
MEDIGUS LTD. AMERICAN DEPOSITA RY SHARES / CUSIP: 58471G300 / Symbol:							
12 transactions for 09/22/21. Total proceeds and cost reported to the IRS.							
	100.000	162.13	07/23/21	200.00	...	-37.87	1 of 12 - Sale <sup>25</sup>
	10.000	16.21	07/23/21	22.25	...	-6.04	2 of 12 - Sale <sup>25</sup>
	10.000	16.21	07/23/21	20.90	...	-4.69	3 of 12 - Sale <sup>25</sup>
	50.000	81.06	07/28/21	80.25	...	0.81	4 of 12 - Sale <sup>25</sup>
	10.000	16.21	07/30/21	16.00	...	0.21	5 of 12 - Sale <sup>25</sup>
	10.000	16.21	08/02/21	16.00	...	0.21	6 of 12 - Sale <sup>25</sup>
	10.000	16.21	08/04/21	15.60	...	0.61	7 of 12 - Sale <sup>25</sup>
	20.000	32.43	08/06/21	31.00	...	1.43	8 of 12 - Sale <sup>25</sup>
	30.000	48.64	08/12/21	43.05	...	5.59	9 of 12 - Sale <sup>25</sup>
	100.000	162.13	08/16/21	141.99	...	20.14	10 of 12 - Sale <sup>25</sup>
	100.000	162.12	08/16/21	141.00	...	21.12	11 of 12 - Sale <sup>25</sup>
	100.000	162.13	09/15/21	140.00	...	22.13	12 of 12 - Sale <sup>25</sup>
09/22/21	550.000	891.69	Various	868.04	...	23.65	Total of 12 transactions
META MATERIALS INC. COMMON STO CK / CUSIP: 59134N104 / Symbol:							
07/21/21	100.000	411.05	07/21/21	395.62	...	15.43	Sale <sup>25</sup>
NRX PHARMACEUTICALS, INC. COMM ON STOCK / CUSIP: 629444100 / Symbol:							
9 transactions for 08/17/21. Total proceeds and cost reported to the IRS.							
	50.000	658.12	07/19/21	625.90	...	32.22	1 of 9 - Sale <sup>25</sup>
	1.000	13.16	07/20/21	11.00	...	2.16	2 of 9 - Sale <sup>25</sup>
	14.000	184.27	07/20/21	150.50	...	33.77	3 of 9 - Sale <sup>25</sup>
	19.000	250.09	07/20/21	209.00	...	41.09	4 of 9 - Sale <sup>25</sup>
	18.000	236.92	07/20/21	193.50	...	43.42	5 of 9 - Sale <sup>25</sup>
	18.000	236.93	07/20/21	193.50	...	43.43	6 of 9 - Sale <sup>25</sup>
	30.000	394.87	07/20/21	330.00	...	64.87	7 of 9 - Sale <sup>25</sup>
	50.000	658.12	07/20/21	560.00	...	98.12	8 of 9 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 128952868

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NRX PHARMACEUTICALS, INC. COMM ON STOCK / CUSIP: 629444100 / Symbol: (cont'd)							
	50.000	658.12	07/20/21	550.00	...	108.12	9 of 9 - Sale <sup>25</sup>
08/17/21	250.000	3,290.60	Various	2,823.40	...	467.20	Total of 9 transactions
	3 transactions for 08/17/21. Total proceeds and cost reported to the IRS.						
	50.000	658.12	07/19/21	663.86	5.74 W	0.00	1 of 3 - Sale <sup>25</sup>
	100.000	1,316.24	07/19/21	1,375.00	58.76 W	0.00	2 of 3 - Sale <sup>25</sup>
	100.000	1,316.24	07/19/21	1,377.95	61.71 W	0.00	3 of 3 - Sale <sup>25</sup>
08/17/21	250.000	3,290.60	Various	3,416.81	126.21 W	0.00	Total of 3 transactions
08/23/21	100.000	1,280.98	08/19/21	1,174.90	...	106.08	Sale <sup>25</sup>
08/23/21	100.000	1,280.98	08/18/21	1,502.99	222.01 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>9,143.16</b>		<b>8,918.10</b>	<b>348.22 W</b>	<b>573.28</b>	
NANO DIMENSION LTD. AMERICAN D EPOSITARY SHARES / CUSIP: 63008G203 / Symbol:							
	5 transactions for 12/21/21. Total proceeds and cost reported to the IRS.						
	40.000	163.32	03/11/21	439.60	...	-276.28	1 of 5 - Sale <sup>25</sup>
	10.000	40.83	04/14/21	80.60	...	-39.77	2 of 5 - Sale <sup>25</sup>
	10.000	40.83	04/15/21	73.65	...	-32.82	3 of 5 - Sale <sup>25</sup>
	10.000	40.83	05/10/21	64.00	...	-23.17	4 of 5 - Sale <sup>25</sup>
	10.000	40.83	07/15/21	65.58	...	-24.75	5 of 5 - Sale <sup>25</sup>
12/21/21	80.000	326.64	Various	723.43	...	-396.79	Total of 5 transactions
NANO VIBRONIX, INC. COMMON STOCK / CUSIP: 63008J108 / Symbol:							
	2 transactions for 08/16/21. Total proceeds and cost reported to the IRS.						
	10.000	37.70	07/28/21	19.29	...	18.41	1 of 2 - Sale <sup>25</sup>
	10.000	37.70	08/16/21	38.30	...	-0.60	2 of 2 - Sale <sup>25</sup>
08/16/21	20.000	75.40	Various	57.59	...	17.81	Total of 2 transactions
NET ELEMENT, INC. COMMON STOCK / CUSIP: 64111R300 / Symbol:							
08/31/21	10.000	107.08	08/23/21	106.57	...	0.51	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 128952868

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 &amp; 5)

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NOBLE MIDSTREAM PARTNERS LP CO MMON UNITS REPRESENTING LIMITE D PARTNER INTERESTS / CUSIP: 65506L105 / Symbol:							
3 transactions for 05/11/21. Total proceeds and cost reported to the IRS.							
	1.957	29.87	08/17/20	18.75	...	11.12	1 of 3 - Merger
	2.315	35.33	11/16/20	19.12	...	16.21	2 of 3 - Merger
	1.424	21.74	02/16/21	19.55	...	2.19	3 of 3 - Merger
05/11/21	5.696	86.94	Various	57.42	...	29.52	Total of 3 transactions
NXT-ID, INC. COMMON STOCK / CUSIP: 67091J206 / Symbol:							
13 transactions for 08/19/21. Total proceeds and cost reported to the IRS.							
	200.000	141.61	08/17/21	233.18	...	-91.57	1 of 13 - Sale <sup>25</sup>
	100.000	70.81	08/17/21	83.13	...	-12.32	2 of 13 - Sale <sup>25</sup>
	100.000	70.81	08/17/21	72.38	...	-1.57	3 of 13 - Sale <sup>25</sup>
	100.000	70.81	08/17/21	71.60	...	-0.79	4 of 13 - Sale <sup>25</sup>
	100.000	70.81	08/17/21	71.59	...	-0.78	5 of 13 - Sale <sup>25</sup>
	20.000	14.16	08/17/21	14.70	...	-0.54	6 of 13 - Sale <sup>25</sup>
	200.000	141.61	08/17/21	141.19	...	0.42	7 of 13 - Sale <sup>25</sup>
	100.000	70.81	08/17/21	69.57	...	1.24	8 of 13 - Sale <sup>25</sup>
	100.000	70.81	08/17/21	68.59	...	2.22	9 of 13 - Sale <sup>25</sup>
	100.000	70.80	08/17/21	68.55	...	2.25	10 of 13 - Sale <sup>25</sup>
	80.000	56.64	08/17/21	53.92	...	2.72	11 of 13 - Sale <sup>25</sup>
	257.000	181.97	08/17/21	173.22	...	8.75	12 of 13 - Sale <sup>25</sup>
	2,643.000	1,871.45	08/17/21	1,781.38	...	90.07	13 of 13 - Sale <sup>25</sup>
08/19/21	4,100.000	2,903.10	Various	2,903.00	...	0.10	Total of 13 transactions
9 transactions for 08/19/21. Total proceeds and cost reported to the IRS.							
	200.000	141.62	07/26/21	240.00	98.38 W	0.00	1 of 9 - Sale <sup>25</sup>
	100.000	70.81	07/27/21	75.01	4.20 W	0.00	2 of 9 - Sale <sup>25</sup>
	100.000	70.81	07/27/21	86.54	15.73 W	0.00	3 of 9 - Sale <sup>25</sup>
	100.000	70.81	07/29/21	75.00	4.19 W	0.00	4 of 9 - Sale <sup>25</sup>
	100.000	70.81	07/29/21	75.79	4.98 W	0.00	5 of 9 - Sale <sup>25</sup>
	200.000	141.61	08/03/21	148.00	6.39 W	0.00	6 of 9 - Sale <sup>25</sup>
	20.000	14.16	08/12/21	15.38	1.22 W	0.00	7 of 9 - Sale <sup>25</sup>
	100.000	70.81	08/16/21	72.00	1.19 W	0.00	8 of 9 - Sale <sup>25</sup>
	100.000	70.81	08/16/21	72.98	2.17 W	0.00	9 of 9 - Sale <sup>25</sup>
08/19/21	1,020.000	722.25	Various	860.70	138.45 W	0.00	Total of 9 transactions
	<b>Security total:</b>	<b>3,625.35</b>		<b>3,763.70</b>	<b>138.45 W</b>	<b>0.10</b>	

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Account 128952868

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

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OPENDOOR TECHNOLOGIES INC COMM ON STOCK / CUSIP: 683712103 / Symbol:							
3 transactions for 08/12/21. Total proceeds and cost reported to the IRS.							
	0.001	0.01	10/02/20	0.01	...	0.00	1 of 3 - Sale <sup>25</sup>
	0.405	7.28	10/02/20	7.21	...	0.07	2 of 3 - Sale <sup>25</sup>
	0.999	17.94	10/02/20	17.78	...	0.16	3 of 3 - Sale <sup>25</sup>
08/12/21	1.405	25.23	Various	25.00	...	0.23	Total of 3 transactions
NEXTPLAT CORP COMMON STOCK / CUSIP: 68557F209 / Symbol:							
07/28/21	10.000	68.85	07/27/21	66.90	...	1.95	Sale <sup>25</sup>
ROYAL DUTCH SHELL PLC ADS CLAS S B / CUSIP: 780259107 / Symbol:							
4 transactions for 12/06/21. Total proceeds and cost reported to the IRS.							
	0.150	6.65	12/17/20	5.47	...	1.18	1 of 4 - Sale <sup>25</sup>
	0.146	6.51	03/30/21	5.52	...	0.99	2 of 4 - Sale <sup>25</sup>
	0.150	6.66	06/22/21	5.81	...	0.85	3 of 4 - Sale <sup>25</sup>
	0.114	5.06	09/21/21	4.64	...	0.42	4 of 4 - Sale <sup>25</sup>
12/06/21	0.560	24.88	Various	21.44	...	3.44	Total of 4 transactions
RUBIUS THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 78116T103 / Symbol:							
03/09/21	20.000	291.80	03/12/20	70.66	...	221.14	Sale <sup>25</sup>
SCWORX CORP. COMMON STOCK / CUSIP: 78396V109 / Symbol:							
5 transactions for 08/11/21. Total proceeds and cost reported to the IRS.							
	23.000	70.61	07/30/21	60.69	...	9.92	1 of 5 - Sale <sup>25</sup>
	300.000	920.95	07/30/21	791.64	...	129.31	2 of 5 - Sale <sup>25</sup>
	300.000	920.95	07/30/21	791.64	...	129.31	3 of 5 - Sale <sup>25</sup>
	377.000	1,157.34	07/30/21	994.83	...	162.51	4 of 5 - Sale <sup>25</sup>
	100.000	306.99	08/02/21	215.00	...	91.99	5 of 5 - Sale <sup>25</sup>
08/11/21	1,100.000	3,376.84	Various	2,853.80	...	523.04	Total of 5 transactions
SM ENERGY COMPANY / CUSIP: 78454L100 / Symbol:							
2 transactions for 10/01/21. Total proceeds and cost reported to the IRS.							
	1.648	44.24	11/05/20	3.00	...	41.24	1 of 2 - Sale <sup>25</sup>
	0.167	4.49	05/10/21	3.02	...	1.47	2 of 2 - Sale <sup>25</sup>
10/01/21	1.816	48.73	Various	6.02	...	42.71	Total of 2 transactions

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Account 128952868

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

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SOFI TECHNOLOGIES, INC. COMMON STOCK / CUSIP: 83406F102 / Symbol:							
2 transactions for 06/09/21. Total proceeds and cost reported to the IRS.							
	10.000	238.24	02/01/21	273.28	...	-35.04	1 of 2 - Sale <sup>25</sup>
	10.000	238.23	02/23/21	194.20	...	44.03	2 of 2 - Sale <sup>25</sup>
06/09/21	20.000	476.47	Various	467.48	...	8.99	Total of 2 transactions
SOS LIMITED / CUSIP: 83587W106 / Symbol:							
3 transactions for 03/03/21. Total proceeds and cost reported to the IRS.							
	10.000	72.30	02/16/21	96.00	...	-23.70	1 of 3 - Sale <sup>25</sup>
	10.000	72.30	02/26/21	62.00	...	10.30	2 of 3 - Sale <sup>25</sup>
	10.000	72.31	02/26/21	47.40	...	24.91	3 of 3 - Sale <sup>25</sup>
03/03/21	30.000	216.91	Various	205.40	...	11.51	Total of 3 transactions
SPHERE 3D CORP. COMMON SHARES / CUSIP: 84841L308 / Symbol:							
2 transactions for 07/20/21. Total proceeds and cost reported to the IRS.							
	50.000	127.74	07/16/21	126.43	...	1.31	1 of 2 - Sale <sup>25</sup>
	500.000	1,277.43	07/16/21	1,265.00	...	12.43	2 of 2 - Sale <sup>25</sup>
07/20/21	550.000	1,405.17	Various	1,391.43	...	13.74	Total of 2 transactions
TFF PHARMACEUTICALS, INC. COMM ON STOCK / CUSIP: 87241J104 / Symbol:							
08/31/21	10.000	85.88	08/20/21	81.66	...	4.22	Sale <sup>25</sup>
TALKSPACE, INC. COMMON STOCK / CUSIP: 87427V103 / Symbol:							
7 transactions for 12/21/21. Total proceeds and cost reported to the IRS.							
	10.000	19.35	03/02/21	104.30	...	-84.95	1 of 7 - Sale <sup>25</sup>
	10.000	19.35	04/06/21	99.55	...	-80.20	2 of 7 - Sale <sup>25</sup>
	10.000	19.35	07/14/21	60.30	...	-40.95	3 of 7 - Sale <sup>25</sup>
	10.000	19.35	07/15/21	58.10	...	-38.75	4 of 7 - Sale <sup>25</sup>
	10.000	19.35	08/06/21	56.50	...	-37.15	5 of 7 - Sale <sup>25</sup>
	10.000	19.35	08/10/21	51.95	...	-32.60	6 of 7 - Sale <sup>25</sup>
	10.000	19.34	10/12/21	36.20	...	-16.86	7 of 7 - Sale <sup>25</sup>
12/21/21	70.000	135.44	Various	466.90	...	-331.46	Total of 7 transactions
TETRA TECHNOLOGIES, INC. / CUSIP: 88162F105 / Symbol:							
09/28/21	10.000	34.10	08/03/21	32.88	...	1.22	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 128952868

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TROIKA MEDIA GROUP, INC. COMMO N STOCK / CUSIP: 89689F305 / Symbol:							
09/29/21	100.000	155.99	08/25/21	170.00	...	-14.01	Sale <sup>25</sup>
XL FLEET CORP. / CUSIP: 9837FR100 / Symbol:							
03/18/21	10.000	124.80	03/09/21	118.45	...	6.35	Sale <sup>25</sup>
ZOMEDICA CORP. / CUSIP: 98980M109 / Symbol:							
<i>3 transactions for 04/14/21. Total proceeds and cost reported to the IRS.</i>							
	100.000	93.32	01/11/21	89.50	...	3.82	1 of 3 - Sale <sup>25</sup>
	100.000	93.32	01/11/21	89.50	...	3.82	2 of 3 - Sale <sup>25</sup>
	800.000	746.53	01/11/21	715.92	...	30.61	3 of 3 - Sale <sup>25</sup>
04/14/21	1,000.000	933.17	Various	894.92	...	38.25	Total of 3 transactions
ARCLIGHT CLEAN TRANSITION CORP. CLASS A ORDINARY SHARES / CUSIP: G04561109 / Symbol:							
03/18/21	10.000	178.30	03/09/21	177.80	...	0.50	Sale <sup>25</sup>
KAIXIN AUTO HOLDINGS ORDINARY SHARES / CUSIP: G5223X100 / Symbol:							
02/24/21	30.000	113.25	02/23/21	111.84	...	1.41	Sale <sup>25</sup>
TAOPING INC. ORDINARY SHARES / CUSIP: G8675V119 / Symbol:							
<i>3 transactions for 08/25/21. Total proceeds and cost reported to the IRS.</i>							
	10.000	36.87	07/30/21	38.05	...	-1.18	1 of 3 - Sale <sup>25</sup>
	100.000	368.75	08/11/21	364.49	...	4.26	2 of 3 - Sale <sup>25</sup>
	10.000	36.87	08/19/21	31.36	...	5.51	3 of 3 - Sale <sup>25</sup>
08/25/21	120.000	442.49	Various	433.90	...	8.59	Total of 3 transactions
<b>Totals :</b>		<b>153,998.37</b>		<b>164,308.19</b>		<b>9,642.66 W</b>	<b>-667.16</b>

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Robinhood Securities LLC

Account 128952868

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

<b>1c- Date sold or disposed</b>	<b>Quantity</b>	<b>1d- Proceeds &amp; 6- Reported (G)ross or (N)et</b>	<b>1b- Date acquired</b>	<b>1e- Cost or other basis</b>	<b>1f- Accrued mkt disc (D) &amp; 1g- Wash sale loss disallowed (W)</b>	<b>Gain or loss(-) &amp; 7- Loss not allowed (X) also not reported (Z)</b>	<b>Additional information</b>
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol:							
06/02/21	30.000	750.29	04/15/20	357.00	...	393.29	Sale <sup>25</sup>
CENTENNIAL RESOURCE DEVELOPMEN T, INC. CLASS A COMMON STOCK / CUSIP: 15136A102 / Symbol:							
<i>9 transactions for 06/23/21. Total proceeds and cost reported to the IRS.</i>							
	200.000	1,475.97	03/11/20	111.14	...	1,364.83	1 of 9 - Sale <sup>25</sup>
	200.000	1,475.97	03/11/20	100.20	...	1,375.77	2 of 9 - Sale <sup>25</sup>
	1,000.000	7,379.84	03/31/20	292.05	...	7,087.79	3 of 9 - Sale <sup>25</sup>
	100.000	737.98	04/01/20	24.45	...	713.53	4 of 9 - Sale <sup>25</sup>
	100.000	737.98	04/01/20	24.45	...	713.53	5 of 9 - Sale <sup>25</sup>
	176.000	1,298.85	04/01/20	43.03	...	1,255.82	6 of 9 - Sale <sup>25</sup>
	200.000	1,475.96	04/01/20	48.90	...	1,427.06	7 of 9 - Sale <sup>25</sup>
	200.000	1,475.97	04/01/20	48.90	...	1,427.07	8 of 9 - Sale <sup>25</sup>
	224.000	1,653.09	04/01/20	54.77	...	1,598.32	9 of 9 - Sale <sup>25</sup>
06/23/21	2,400.000	17,711.61	Various	747.89	...	16,963.72	Total of 9 transactions
CONOCOPHILLIPS / CUSIP: 20825C104 / Symbol:							
<i>4 transactions for 09/24/21. Total proceeds and cost reported to the IRS.</i>							
	10.000	653.20	03/10/20	356.61	...	296.59	1 of 4 - Sale <sup>25</sup>
	10.000	653.19	03/12/20	296.70	...	356.49	2 of 4 - Sale <sup>25</sup>
	0.191	12.46	06/02/20	8.40	...	4.06	3 of 4 - Sale <sup>25</sup>
	0.229	14.97	09/02/20	8.48	...	6.49	4 of 4 - Sale <sup>25</sup>
09/24/21	20.420	1,333.82	Various	670.19	...	663.63	Total of 4 transactions
DIAMONDBACK ENERGY, INC. COMMM ON STOCK / CUSIP: 25278X109 / Symbol:							
<i>5 transactions for 10/01/21. Total proceeds and cost reported to the IRS.</i>							
	0.353	34.12	03/10/20	10.01	...	24.11	1 of 5 - Sale <sup>25</sup>
	9.647	931.85	03/10/20	273.12	...	658.73	2 of 5 - Sale <sup>25</sup>
	20.000	1,931.99	03/12/20	454.90	...	1,477.09	3 of 5 - Sale <sup>25</sup>
	0.265	25.59	05/22/20	11.25	...	14.34	4 of 5 - Sale <sup>25</sup>
	0.282	27.28	08/21/20	11.35	...	15.93	5 of 5 - Sale <sup>25</sup>
10/01/21	30.547	2,950.83	Various	760.63	...	2,190.20	Total of 5 transactions

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Robinhood Securities LLC

Account 128952868

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
ENERGY TRANSFER LP COMMON UNIT S REPRESENTING LIMITED PARTNER INTERESTS / CUSIP: 29273V100 / Symbol:							
<i>5 transactions for 12/06/21. Total proceeds and cost reported to the IRS.</i>							
	85.984	694.03	03/24/20	188.50	...	505.53	1 of 5 - Sale <sup>25</sup>
	85.984	694.03	03/24/20	185.99	...	508.04	2 of 5 - Sale <sup>25</sup>
	6.687	53.97	05/28/20	33.05	...	20.92	3 of 5 - Sale <sup>25</sup>
	5.198	41.96	08/26/20	34.34	...	7.62	4 of 5 - Sale <sup>25</sup>
	5.753	46.44	11/25/20	35.33	...	11.11	5 of 5 - Sale <sup>25</sup>
12/06/21	189.606	1,530.43	Various	477.21	...	1,053.22	Total of 5 transactions
EXXON MOBIL CORPORATION / CUSIP: 30231G102 / Symbol:							
<i>3 transactions for 11/01/21. Total proceeds and cost reported to the IRS.</i>							
	10.000	659.09	03/13/20	353.48	...	305.61	1 of 3 - Sale <sup>25</sup>
	0.183	12.06	06/11/20	8.70	...	3.36	2 of 3 - Sale <sup>25</sup>
	0.239	15.73	09/11/20	8.86	...	6.87	3 of 3 - Sale <sup>25</sup>
11/01/21	10.422	686.88	Various	371.04	...	315.84	Total of 3 transactions
HEXO CORP. COMMON SHARES / CUSIP: 428304307 / Symbol:							
<i>2 transactions for 09/15/21. Total proceeds and cost reported to the IRS.</i>							
	50.000	101.07	04/08/20	98.02	...	3.05	1 of 2 - Sale <sup>25</sup>
	200.000	404.27	04/08/20	392.08	...	12.19	2 of 2 - Sale <sup>25</sup>
09/15/21	250.000	505.34	Various	490.10	...	15.24	Total of 2 transactions
NOBLE MIDSTREAM PARTNERS LP CO MMON UNITS REPRESENTING LIMITE D PARTNER INTERESTS / CUSIP: 65506L105 / Symbol:							
05/11/21	100.000	1,526.31	04/15/20	439.59	...	1,086.72	Merger
ROYAL DUTCH SHELL PLC ADS CLAS S B / CUSIP: 780259107 / Symbol:							
<i>5 transactions for 12/06/21. Total proceeds and cost reported to the IRS.</i>							
	10.000	444.30	04/15/20	333.40	...	110.90	1 of 5 - Sale <sup>25</sup>
	0.096	4.27	06/23/20	3.20	...	1.07	2 of 5 - Sale <sup>25</sup>
	0.126	5.61	09/22/20	3.23	...	2.38	3 of 5 - Sale <sup>25</sup>
	0.218	9.67	10/07/20	5.25	...	4.42	4 of 5 - Sale <sup>25</sup>
	6.000	266.58	10/07/20	144.75	...	121.83	5 of 5 - Sale <sup>25</sup>
12/06/21	16.440	730.43	Various	489.83	...	240.60	Total of 5 transactions
ROYAL DUTCH SHELL PLC ADS CLAS S A / CUSIP: 780259206 / Symbol:							
12/06/21	10.000	445.50	03/12/20	308.80	...	136.70	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 128952868

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

<b>1c- Date sold or disposed</b>	<b>Quantity</b>	<b>1d- Proceeds &amp; 6- Reported (G)ross or (N)et</b>	<b>1b- Date acquired</b>	<b>1e- Cost or other basis</b>	<b>1f- Accrued mkt disc (D) &amp; 1g- Wash sale loss disallowed (W)</b>	<b>7- Loss not allowed (X) also not reported (Z)</b>	<b>Additional information</b>
SM ENERGY COMPANY / CUSIP: 78454L100 / Symbol:							
<i>10 transactions for 10/01/21. Total proceeds and cost reported to the IRS.</i>							
	0.816	21.82	03/10/20	1.42	...	20.40	1 of 10 - Sale <sup>25</sup>
	7.184	192.83	03/10/20	12.49	...	180.34	2 of 10 - Sale <sup>25</sup>
	38.000	1,019.91	03/10/20	66.09	...	953.82	3 of 10 - Sale <sup>25</sup>
	54.000	1,449.34	03/10/20	93.92	...	1,355.42	4 of 10 - Sale <sup>25</sup>
	0.816	21.89	09/25/20	1.39	...	20.50	5 of 10 - Sale <sup>25</sup>
	14.000	375.76	09/25/20	23.91	...	351.85	6 of 10 - Sale <sup>25</sup>
	35.184	944.34	09/25/20	60.09	...	884.25	7 of 10 - Sale <sup>25</sup>
	50.000	1,341.99	09/25/20	85.40	...	1,256.59	8 of 10 - Sale <sup>25</sup>
	50.000	1,341.99	09/25/20	85.40	...	1,256.59	9 of 10 - Sale <sup>25</sup>
	50.000	1,341.99	09/25/20	85.39	...	1,256.60	10 of 10 - Sale <sup>25</sup>
10/01/21	300.000	8,051.86	Various	515.50	...	7,536.36	Total of 10 transactions
SASOL LIMITED / CUSIP: 803866300 / Symbol:							
<i>4 transactions for 09/13/21. Total proceeds and cost reported to the IRS.</i>							
	32.000	544.31	03/11/20	107.49	...	436.82	1 of 4 - Sale <sup>25</sup>
	68.000	1,156.67	03/11/20	228.42	...	928.25	2 of 4 - Sale <sup>25</sup>
	32.000	544.31	03/12/20	72.32	...	471.99	3 of 4 - Sale <sup>25</sup>
	68.000	1,156.66	03/12/20	153.68	...	1,002.98	4 of 4 - Sale <sup>25</sup>
09/13/21	200.000	3,401.95	Various	561.91	...	2,840.04	Total of 4 transactions
TILRAY BRANDS, INC. CLASS 2 CO MMON STOCK / CUSIP: 88688T100 / Symbol:							
12/20/21	100.000	750.18	03/16/20	335.48	...	414.70	Sale <sup>25</sup>
TUTOR PERINI CORPORATION / CUSIP: 901109108 / Symbol:							
09/22/21	100.000	1,244.98	03/17/20	336.93	...	908.05	Sale <sup>25</sup>
<b>Totals :</b>		<b>41,620.41</b>		<b>6,862.10</b>		<b>34,758.31</b>	

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Robinhood Securities LLC

Account 128952868

**Proceeds Not Reported to the IRS**

2021

03/02/2022 AMENDED

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

**SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]**

Report on Form 8949, Part I, with Box C checked.

**Description of property**

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
JAGUAR HEALTH, INC. COMMON STO CK / CUSIP: 47010C607 / Symbol:							
09/14/21	0.333	0.87	01/08/21	3.93	...	-3.06	Gain or loss
<b>Totals:</b>		<b>0.87</b>		<b>3.93</b>	...	<b>-3.06</b>	

Robinhood Securities LLC

Account 128952868

## Detail for Dividends and Distributions

2021

03/02/2022 AMENDED

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. There is also a holder level criteria for a dividend to be treated as Qualified. If the payment is from a common stock you are required to have held it for more than 60 days during the 121-day period that begins 60 days before the ex-dividend date of the dividend. If the dividend is from preferred shares and covers a period greater than 366 days, you must have held the preferred stock for more than 90 days during the 181-day period that begins 90 days before the ex-dividend date of the dividend.

Amounts presented in Box 2e as Section 897 Ordinary Dividends or Box 2f as Section 897 Capital Gains apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. If you are a US citizen, you should disregard this.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
CONOCOPHILLIPS	20825C104		03/01/21	8.88	Qualified dividend	
			06/01/21	8.95	Qualified dividend	
			09/01/21	9.02	Qualified dividend	
				<b>26.85</b>	<b>Total Dividends &amp; distributions</b>	
DIAMONDBACK ENERGY, INC. COMMM ON STOCK	25278X109		03/11/21	12.34	Qualified dividend	
			05/20/21	12.39	Qualified dividend	
			08/19/21	14.02	Qualified dividend	
	<b>38.75</b>	<b>Total Dividends &amp; distributions</b>				
EXXON MOBIL CORPORATION	30231G102		03/10/21	9.25	Qualified dividend	
			06/10/21	9.38	Qualified dividend	
			09/10/21	9.51	Qualified dividend	
			12/10/21	0.09	Qualified dividend	
	<b>28.23</b>	<b>Total Dividends &amp; distributions</b>				
ROYAL DUTCH SHELL PLC ADS CLAS S B	780259107		03/29/21	5.52	Qualified dividend	
			06/21/21	5.81	Qualified dividend	
			09/20/21	8.11	Qualified dividend	
			12/20/21	8.20	Qualified dividend	
	<b>27.64</b>	<b>Total Dividends &amp; distributions</b>				
ROYAL DUTCH SHELL PLC ADS CLAS S A	780259206		03/29/21	3.41	Qualified dividend	
			03/29/21	-0.51	Foreign tax withheld-NL	
			06/21/21	3.58	Qualified dividend	
			06/21/21	-0.54	Foreign tax withheld-NL	
			09/20/21	4.99	Qualified dividend	
			09/20/21	-0.75	Foreign tax withheld-NL	

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## Detail for Dividends and Distributions

2021

(continued)

03/02/2022 AMENDED

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
ROYAL DUTCH SHELL PLC ADS CLAS S A (cont'd)			12/20/21	5.04	Qualified dividend	
			12/20/21	-0.76	Foreign tax withheld-NL	
				<b>17.02</b>	<b>Total Dividends &amp; distributions</b>	
				<b>-2.56</b>	<b>Total Foreign tax withheld</b>	
SM ENERGY COMPANY	78454L100		05/07/21	3.02	Qualified dividend	
				<b>141.51</b>	<b>Total Dividends &amp; distributions</b>	
				<b>-2.56</b>	<b>Total Foreign tax withheld</b>	

Robinhood Securities LLC

Account 128952868

**Other Receipts and Reconciliations**

2021

03/02/2022 AMENDED

*This section of your tax information statement contains the detail of the year-to-year adjustments between cash distributions and reportable income for Unit Investment Trusts, reflecting the fact that income is recognized at the time it is received by the trust, rather than when a distribution is made.*

*Also shown are distributions whose tax impact will be defined by other documentation. In the case of partnership distributions, you will receive Schedule K-1 (Form 1065) directly from the partnership(s). For deferred income, the Form 1099-DIV issued in the following year will characterize the amounts received.*

*These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.*

<b>Security description</b>	<b>CUSIP and/or symbol</b>	<b>Date</b>	<b>Amount</b>	<b>Transaction type</b>	<b>Notes</b>
ENABLE MIDSTREAM PARTNERS, LP	292480100	03/01/21	36.44	Partnership distribution	
		05/25/21	37.32	Partnership distribution	
		08/24/21	38.05	Partnership distribution	
		11/17/21	38.84	Partnership distribution	
			150.65	Total Partnership distribution	
NOBLE MIDSTREAM PARTNERS LP COMMON UNITS REPRESENTING LIMITED PARTNER INTERESTS	65506L105	02/12/21	19.55	Partnership distribution	
			<b>170.20</b>	<b>Total Partnership distribution</b>	

Robinhood Securities LLC

Account 128952868

**Fees and Expenses**

2021

03/02/2022 AMENDED

*This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.*

*These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.*

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		01/04/21	-5.00	Margin interest paid	
		02/03/21	-5.00	Margin interest paid	
		03/05/21	-5.00	Margin interest paid	
		04/05/21	-5.00	Margin interest paid	
		05/04/21	-5.00	Margin interest paid	
		06/03/21	-5.00	Margin interest paid	
		07/06/21	-5.00	Margin interest paid	
		08/02/21	-5.00	Margin interest paid	
			-40.00	Total Margin interest paid	
Margin charge		09/01/21	-5.00	Margin interest paid	
		10/01/21	-5.00	Margin interest paid	
		11/01/21	-5.00	Margin interest paid	
		11/30/21	-5.00	Margin interest paid	
		12/30/21	-5.00	Margin interest paid	
			-25.00	Total Margin interest paid	
			<b>-65.00</b>	<b>Total Margin interest paid</b>	

Robinhood Securities LLC

Account 128952868

**Foreign Income and Taxes Summary**

2021

03/02/2022 AMENDED

*This schedule lists all income and foreign tax by country. Mutual Funds and Unit Investment Trusts (UITs) structured as Regulated Investment Companies (RICs) are listed separately with a country designation of "RIC."*

Country	Security Description	CUSIP	Nonqualified	Dividends		Interest	Total Income	Tax
				Qualified				
NL	ROYAL DUTCH SHELL PLC ADS CLAS S B	780259107	0.00	27.64		0.00	27.64	0.00
NL	ROYAL DUTCH SHELL PLC ADS CLAS S A	780259206	0.00	17.02		0.00	17.02	-2.56
<b>Total NETHERLANDS</b>			<b>0.00</b>	<b>44.66</b>		<b>0.00</b>	<b>44.66</b>	<b>-2.56</b>
<b>Grand Total</b>	<b>Total Foreign Source Income*</b>			<b>44.66</b>				
	<b>Qualified Foreign Source Income**</b>			<b>44.66</b>				
	<b>Foreign Tax</b>							<b>-2.56</b>

\* Total Foreign Source Income utilizes the "Total" column for RICs which may not always be supplied.

\*\* Qualified Foreign Source Income utilizes the "Qualified" column for RICs which may not always be supplied.



Robinhood Securities LLC

Account 128952868

## Non-Reportable Actions

2021

03/02/2022 AMENDED

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
CHEVRON CORPORATION	166764100	05/11/21	14.00	0.00	Merger	
CLOVER HEALTH INVESTMENTS, COR P. CLASS A COMMON STOCK	18914F103	01/08/21	2.00	0.00	Exchange	
ENABLE MIDSTREAM PARTNERS, LP	292480100	12/06/21	-250.05	0.00	Merger	
ENERGY TRANSFER LP COMMON UNIT S REPRESENTING LIMITED PARTNER INTERESTS	29273V100	12/06/21	215.00	0.00	Merger	
GIGCAPITAL3, INC.	37519D107	05/07/21	-30.00	0.00	Exchange	
HUDSON EXECUTIVE INVESTMENT CO RP. CLASS A COMMON STOCK	443761101	06/23/21	-20.00	0.00	Exchange	
LIGHTNING EMOTORS, INC.	53228T101	05/07/21	30.00	0.00	Exchange	
NOBLE MIDSTREAM PARTNERS LP CO MMON UNITS REPRESENTING LIMITE D PARTNER INTERESTS	65506L105	05/11/21	-105.70	0.00	Merger	
SOFI TECHNOLOGIES, INC. COMMON STOCK	83406F102	06/01/21	20.00	0.00	Exchange	
TALKSPACE, INC. COMMON STOCK	87427V103	06/23/21	20.00	0.00	Exchange	
SOCIAL CAPITAL HEDOSOPHIA HOLD INGS CORP. III	G8251K107	01/08/21	-2.00	0.00	Exchange	
SOCIAL CAPITAL HEDOSOPHIA HOLD INGS CORP. V	G8252P105	06/01/21	-20.00	0.00	Exchange	

25 Tax lot closed on a first in first out basis.

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### Instructions for Recipient

#### Common Instructions for Recipient

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

#### Keep tax documents for your records.

#### 1099-DIV Instructions for Recipient

**Line 1a.** Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

**Line 1b.** Shows the portion of the amount in Line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

**Line 2a.** Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in Lines 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

**Line 2b.** Shows the portion of the amount in Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

**Line 2c.** Shows the portion of the amount in Line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

**Line 2d.** Shows the portion of the amount in Line 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

**Line 2e.** Shows the portion of the amount in Line 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

**Line 2f.** Shows the portion of the amount in Line 2a that is section 897 gain attributable to disposition of USRPI.

Note: Lines 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

**Line 3.** Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

**Line 4.** Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** Shows the portion of the amount in Line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

**Line 6.** Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in Line 1a.

**Line 7.** Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040SR.

**Line 8.** This Line should be left blank if a RIC reported the foreign tax shown in Line 7.

**Lines 9 and 10.** Show cash and noncash liquidation distributions.

**Line 11.** Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040SR for where to report. This amount may be subject to backup withholding. See Line 4 above.

**Line 12.** Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in Line 11. See the Instructions for Form 6251.

**Lines 13-15.** State income tax withheld reporting Lines.

**Future developments.** For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099DIV](http://www.irs.gov/Form1099DIV).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

#### 1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

**Line 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to

special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

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