

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 866257256	Statement Date: 02/11/2022 Document ID: 7Q81 G30 0475	2021
	Shiva kumar Vavilapalli 11539 Huebner Rd Apt 3409 San Antonio, TX 78230 RECIPIENT'S TIN: XXX-XX-9175		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2021 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e) 0.00</p> <p>1b- Qualified dividends 0.00</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f) 0.00</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>2e- Section 897 ordinary dividends 0.00</p> <p>2f- Section 897 capital gain 0.00</p> <p>3- Nondividend distributions 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 0.00</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: 7- Foreign tax paid: 0.00</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p>MISCELLANEOUS INFORMATION 2021 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.00</p> <p>SECTION 1256 CONTRACTS 2021 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2021 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2020 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2021 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</p>
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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	304,716.95	316,207.48	0.00	4,746.83	-6,743.70
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	304,716.95	316,207.48	0.00	4,746.83	-6,743.70
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	304,716.95	316,207.48	0.00	4,746.83	-6,743.70
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

Robinhood Securities LLC

Account 866257256

Summary Information

2021

(continued)

02/11/2022

INTEREST INCOME

2021 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	2.28
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	See detail

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 866257256

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

02/11/2022

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC 05/14/2021 CALL \$12.50 / CUSIP: / Symbol:							
05/14/21	1.000	19.98	05/13/21	108.00	...	-88.02	Option sale to close-call ²⁵
AMC 05/21/2021 CALL \$12.00 / CUSIP: / Symbol:							
05/19/21	1.000	84.98	05/18/21	255.00	...	-170.02	Option sale to close-call ²⁵
AMC 07/02/2021 CALL \$54.00 / CUSIP: / Symbol:							
	2 transactions for 07/02/21. Total proceeds and cost reported to the IRS.						
	1.000	38.98	07/01/21	174.00	...	-135.02	1 of 2 - Option sale to close-call ²⁵
	1.000	58.98	07/01/21	174.00	...	-115.02	2 of 2 - Option sale to close-call ²⁵
07/02/21	2.000	97.96	Various	348.00	...	-250.04	Total of 2 transactions
ATIP 11/19/2021 PUT \$2.50 / CUSIP: / Symbol:							
11/19/21	2.000	0.00	11/10/21	6.00	...	-6.00	Option expiration- long put

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Account 866257256

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BTBT 08/20/2021 CALL \$5.00 / CUSIP: / Symbol:							
07/27/21	1.000	264.98	07/26/21	124.00	...	140.98	Option sale to close-call ²⁵
BTX 07/16/2021 PUT \$12.50 / CUSIP: / Symbol:							
07/13/21	1.000	64.98	07/12/21	125.00	...	-60.02	Option sale to close-put ²⁵
BTX 07/16/2021 PUT \$17.50 / CUSIP: / Symbol:							
07/01/21	3.000	674.98	07/01/21	750.00	...	-75.02	Option sale to close-put ²⁵
CVM 07/16/2021 CALL \$10.00 / CUSIP: / Symbol:							
06/30/21	1.000	79.98	06/28/21	540.00	...	-460.02	Option sale to close-call ²⁵
F 05/21/2021 CALL \$11.50 / CUSIP: / Symbol:							
2 transactions for 05/18/21. Total proceeds and cost reported to the IRS.							
	1.000	80.98	05/17/21	64.00	...	16.98	1 of 2 - Option sale to close-call ²⁵
	1.000	80.98	05/17/21	64.00	...	16.98	2 of 2 - Option sale to close-call ²⁵
05/18/21	2.000	161.96	Various	128.00	...	33.96	Total of 2 transactions
F 07/02/2021 PUT \$15.00 / CUSIP: / Symbol:							
07/02/21	1.000	16.98	07/01/21	14.00	...	2.98	Option sale to close-put ²⁵
GDYN 07/16/2021 CALL \$17.50 / CUSIP: / Symbol:							
07/02/21	1.000	174.98	07/01/21	165.00	...	9.98	Option sale to close-call ²⁵
GNUS 07/09/2021 PUT \$2.00 / CUSIP: / Symbol:							
07/09/21	1.000	32.98	07/08/21	33.00	...	-0.02	Option sale to close-put ²⁵
INFI 08/20/2021 CALL \$2.00 / CUSIP: / Symbol:							
07/29/21	1.000	44.98	07/28/21	80.00	...	-35.02	Option sale to close-call ²⁵
INTZ 08/20/2021 CALL \$10.00 / CUSIP: / Symbol:							
07/27/21	1.000	49.98	07/27/21	110.00	...	-60.02	Option sale to close-call ²⁵
MRK 03/05/2021 CALL \$73.50 / CUSIP: / Symbol:							
03/03/21	1.000	33.98	03/03/21	45.00	...	-11.02	Option sale to close-call ²⁵
NEGG 07/16/2021 PUT \$25.00 / CUSIP: / Symbol:							
07/08/21	1.000	134.98	07/07/21	400.00	...	-265.02	Option sale to close-put ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO 07/02/2021 PUT \$51.00 / CUSIP: / Symbol:							
2 transactions for 07/01/21. Total proceeds and cost reported to the IRS.							
	7.000	545.98	07/01/21	924.00	...	-378.02	1 of 2 - Option sale to close-put ²⁵
	2.000	155.99	07/01/21	264.00	...	-108.01	2 of 2 - Option sale to close-put ²⁵
07/01/21	9.000	701.97	Various	1,188.00	...	-486.03	Total of 2 transactions
NIO 07/09/2021 CALL \$51.00 / CUSIP: / Symbol:							
2 transactions for 06/30/21. Total proceeds and cost reported to the IRS.							
	1.000	299.98	06/30/21	273.00	...	26.98	1 of 2 - Option sale to close-call ²⁵
	3.000	944.98	06/30/21	819.00	...	125.98	2 of 2 - Option sale to close-call ²⁵
06/30/21	4.000	1,244.96	Various	1,092.00	...	152.96	Total of 2 transactions
NIO 07/16/2021 CALL \$52.00 / CUSIP: / Symbol:							
07/12/21	1.000	15.98	07/01/21	315.00	...	-299.02	Option sale to close-call ²⁵
NIO 07/16/2021 CALL \$53.00 / CUSIP: / Symbol:							
2 transactions for 07/01/21. Total proceeds and cost reported to the IRS.							
	4.000	711.99	06/30/21	1,196.00	...	-484.01	1 of 2 - Option sale to close-call ²⁵
	2.000	355.99	06/30/21	598.00	...	-242.01	2 of 2 - Option sale to close-call ²⁵
07/01/21	6.000	1,067.98	Various	1,794.00	...	-726.02	Total of 2 transactions
PLUG 07/09/2021 CALL \$35.50 / CUSIP: / Symbol:							
06/30/21	1.000	136.98	06/29/21	116.00	...	20.98	Option sale to close-call ²⁵
SNDL 01/20/2023 CALL \$0.50 / CUSIP: / Symbol:							
02/11/21	1.000	299.98	02/09/21	140.00	...	159.98	Option sale to close-call ²⁵
SNDL 07/30/2021 PUT \$1.00 / CUSIP: / Symbol:							
07/28/21	1.000	14.98	07/27/21	22.00	...	-7.02	Option sale to close-put ²⁵
SPCE 07/09/2021 CALL \$55.00 / CUSIP: / Symbol:							
06/28/21	1.000	554.98	06/25/21	460.00	...	94.98	Option sale to close-call ²⁵
SPCE 07/16/2021 CALL \$49.00 / CUSIP: / Symbol:							
07/01/21	1.000	289.98	06/29/21	640.00	...	-350.02	Option sale to close-call ²⁵

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Account 866257256

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
WKHS 07/02/2021 CALL \$16.50 / CUSIP: / Symbol:							
06/29/21	1.000	125.98	06/28/21	167.00	...	-41.02	Option sale to close-call ²⁵
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol:							
14 transactions for 05/13/21. Total proceeds and cost reported to the IRS.							
	0.013	0.17	05/13/21	0.16	...	0.01	1 of 14 - Sale ²⁵
	0.065	0.87	05/13/21	0.79	...	0.08	2 of 14 - Sale ²⁵
	0.416	5.50	05/13/21	5.03	...	0.47	3 of 14 - Sale ²⁵
	26.000	344.23	05/13/21	314.60	...	29.63	4 of 14 - Sale ²⁵
	69.000	913.55	05/13/21	834.87	...	78.68	5 of 14 - Sale ²⁵
	69.000	913.55	05/13/21	834.87	...	78.68	6 of 14 - Sale ²⁵
	95.000	1,257.78	05/13/21	1,149.50	...	108.28	7 of 14 - Sale ²⁵
	100.000	1,323.98	05/13/21	1,210.00	...	113.98	8 of 14 - Sale ²⁵
	100.000	1,323.98	05/13/21	1,210.00	...	113.98	9 of 14 - Sale ²⁵
	100.000	1,323.99	05/13/21	1,210.00	...	113.99	10 of 14 - Sale ²⁵
	99.935	1,323.12	05/13/21	1,208.71	...	114.41	11 of 14 - Sale ²⁵
	100.000	1,323.98	05/13/21	1,209.50	...	114.48	12 of 14 - Sale ²⁵
	100.000	1,323.98	05/13/21	1,209.50	...	114.48	13 of 14 - Sale ²⁵
	299.650	3,967.30	05/13/21	3,625.76	...	341.54	14 of 14 - Sale ²⁵
05/13/21	1,159.078	15,345.98	Various	14,023.29	...	1,322.69	Total of 14 transactions
6 transactions for 05/19/21. Total proceeds and cost reported to the IRS.							
	0.205	2.53	05/18/21	2.90	0.37 W	0.00	1 of 6 - Sale ²⁵
	128.392	1,585.63	05/18/21	1,818.03	232.40 W	0.00	2 of 6 - Sale ²⁵
	0.205	2.53	05/18/21	3.27	0.74 W	0.00	3 of 6 - Sale ²⁵
	506.795	6,258.88	05/18/21	7,176.17	917.29 W	0.00	4 of 6 - Sale ²⁵
	0.052	0.64	05/18/21	0.72	0.08 W	0.00	5 of 6 - Sale ²⁵
	71.556	883.71	05/18/21	999.28	115.57 W	0.00	6 of 6 - Sale ²⁵
05/19/21	707.205	8,733.92	Various	10,000.37	1,266.45 W	0.00	Total of 6 transactions
5 transactions for 05/28/21. Total proceeds and cost reported to the IRS.							
	0.045	1.18	05/26/21	1.04	...	0.14	1 of 5 - Sale ²⁵
	0.160	4.17	05/26/21	3.66	...	0.51	2 of 5 - Sale ²⁵
	0.225	5.87	05/26/21	4.76	...	1.11	3 of 5 - Sale ²⁵
	128.392	3,352.75	05/26/21	2,714.03	...	638.72	4 of 5 - Sale ²⁵
	233.338	6,093.23	05/26/21	4,932.40	...	1,160.83	5 of 5 - Sale ²⁵
05/28/21	362.160	9,457.20	Various	7,655.89	...	1,801.31	Total of 5 transactions

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Account 866257256

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
<i>4 transactions for 05/28/21. Total proceeds and cost reported to the IRS.</i>							
	0.052	1.33	05/28/21	1.49	0.16 W	0.00	1 of 4 - Sale ²⁵
	71.556	1,841.85	05/28/21	2,073.34	231.49 W	0.00	2 of 4 - Sale ²⁵
	273.233	7,032.96	05/28/21	7,970.16	937.20 W	0.00	3 of 4 - Sale ²⁵
	0.818	21.06	05/28/21	22.39	1.33 W	0.00	4 of 4 - Sale ²⁵
05/28/21	345.659	8,897.20	Various	10,067.38	1,170.18 W	0.00	Total of 4 transactions
<i>2 transactions for 06/03/21. Total proceeds and cost reported to the IRS.</i>							
	0.285	12.52	06/02/21	11.75	...	0.77	1 of 2 - Sale ²⁵
	254.000	11,122.60	06/02/21	10,458.46	...	664.14	2 of 2 - Sale ²⁵
06/03/21	254.285	11,135.12	Various	10,470.21	...	664.91	Total of 2 transactions
<i>6 transactions for 06/04/21. Total proceeds and cost reported to the IRS.</i>							
	0.170	8.09	06/03/21	8.80	0.71 W	0.00	1 of 6 - Sale ²⁵
	0.052	2.46	06/03/21	2.66	0.20 W	0.00	2 of 6 - Sale ²⁵
	18.778	896.01	06/03/21	974.36	78.35 W	0.00	3 of 6 - Sale ²⁵
	71.556	3,414.45	06/03/21	3,699.05	284.60 W	0.00	4 of 6 - Sale ²⁵
	0.818	39.05	06/03/21	40.99	1.94 W	0.00	5 of 6 - Sale ²⁵
	138.407	6,604.38	06/03/21	6,707.11	102.73 W	0.00	6 of 6 - Sale ²⁵
06/04/21	229.781	10,964.44	Various	11,432.97	468.53 W	0.00	Total of 6 transactions
<i>2 transactions for 06/07/21. Total proceeds and cost reported to the IRS.</i>							
	0.352	19.28	06/07/21	19.23	...	0.05	1 of 2 - Sale ²⁵
	107.726	5,904.43	06/07/21	5,895.36	...	9.07	2 of 2 - Sale ²⁵
06/07/21	108.078	5,923.71	Various	5,914.59	...	9.12	Total of 2 transactions
<i>6 transactions for 06/07/21. Total proceeds and cost reported to the IRS.</i>							
	0.130	7.10	06/07/21	7.53	0.43 W	0.00	1 of 6 - Sale ²⁵
	0.040	2.20	06/07/21	2.33	0.13 W	0.00	2 of 6 - Sale ²⁵
	0.052	2.83	06/07/21	2.98	0.15 W	0.00	3 of 6 - Sale ²⁵
	18.778	1,029.19	06/07/21	1,092.02	62.83 W	0.00	4 of 6 - Sale ²⁵
	71.556	3,921.97	06/07/21	4,147.45	225.48 W	0.00	5 of 6 - Sale ²⁵
	0.818	44.85	06/07/21	46.12	1.27 W	0.00	6 of 6 - Sale ²⁵
06/07/21	91.373	5,008.14	Various	5,298.43	290.29 W	0.00	Total of 6 transactions
06/08/21	62.723	3,691.83	06/07/21	3,684.95	...	6.88	Sale ²⁵

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Robinhood Securities LLC

Account 866257256

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
8 transactions for 06/08/21. Total proceeds and cost reported to the IRS.							
	0.040	2.36	06/07/21	2.49	0.13 W	0.00	1 of 8 - Sale ²⁵
	0.130	7.63	06/07/21	8.04	0.41 W	0.00	2 of 8 - Sale ²⁵
	0.256	15.08	06/07/21	15.91	0.83 W	0.00	3 of 8 - Sale ²⁵
	0.052	3.03	06/07/21	3.18	0.15 W	0.00	4 of 8 - Sale ²⁵
	18.521	1,090.16	06/07/21	1,150.10	59.94 W	0.00	5 of 8 - Sale ²⁵
	71.556	4,211.78	06/07/21	4,429.41	217.63 W	0.00	6 of 8 - Sale ²⁵
	0.818	48.17	06/07/21	49.35	1.18 W	0.00	7 of 8 - Sale ²⁵
	30.330	1,785.19	06/07/21	1,804.37	19.18 W	0.00	8 of 8 - Sale ²⁵
06/08/21	121.703	7,163.40	Various	7,462.85	299.45 W	0.00	Total of 8 transactions
9 transactions for 06/16/21. Total proceeds and cost reported to the IRS.							
	16.235	884.29	06/15/21	976.99	51.42 W	-41.28	1 of 9 - Sale ²⁵
	0.014	0.79	06/15/21	0.91	0.12 W	0.00	2 of 9 - Sale ²⁵
	0.040	2.18	06/15/21	2.52	0.34 W	0.00	3 of 9 - Sale ²⁵
	0.115	6.27	06/15/21	7.21	0.94 W	0.00	4 of 9 - Sale ²⁵
	0.256	13.96	06/15/21	16.09	2.13 W	0.00	5 of 9 - Sale ²⁵
	0.364	19.82	06/15/21	22.84	3.02 W	0.00	6 of 9 - Sale ²⁵
	0.052	2.81	06/15/21	3.22	0.41 W	0.00	7 of 9 - Sale ²⁵
	18.158	989.04	06/15/21	1,139.99	150.95 W	0.00	8 of 9 - Sale ²⁵
	71.556	3,897.65	06/15/21	4,478.59	580.94 W	0.00	9 of 9 - Sale ²⁵
06/16/21	106.790	5,816.81	Various	6,648.36	790.27 W	-41.28	Total of 9 transactions
12 transactions for 06/18/21. Total proceeds and cost reported to the IRS.							
	48.638	3,057.86	06/17/21	3,458.10	...	-400.24	1 of 12 - Sale ²⁵
	18.158	1,141.55	06/17/21	1,294.51	...	-152.96	2 of 12 - Sale ²⁵
	13.506	849.10	06/17/21	859.13	...	-10.03	3 of 12 - Sale ²⁵
	0.364	22.87	06/17/21	25.93	...	-3.06	4 of 12 - Sale ²⁵
	0.256	16.11	06/17/21	18.27	...	-2.16	5 of 12 - Sale ²⁵
	0.818	51.45	06/17/21	52.72	...	-1.27	6 of 12 - Sale ²⁵
	0.115	7.24	06/17/21	8.19	...	-0.95	7 of 12 - Sale ²⁵
	0.052	3.24	06/17/21	3.66	...	-0.42	8 of 12 - Sale ²⁵
	0.550	34.63	06/17/21	34.99	...	-0.36	9 of 12 - Sale ²⁵
	0.040	2.52	06/17/21	2.87	...	-0.35	10 of 12 - Sale ²⁵
	0.014	0.91	06/17/21	1.03	...	-0.12	11 of 12 - Sale ²⁵

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Robinhood Securities LLC

Account 866257256

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
	0.039	2.45	06/17/21	2.48	...	-0.03	12 of 12 - Sale ²⁵
06/18/21	82.550	5,189.93	Various	5,761.88	...	-571.95	Total of 12 transactions
	2 transactions for 06/28/21. Total proceeds and cost reported to the IRS.						
	2.000	114.66	06/25/21	124.48	...	-9.82	1 of 2 - Sale ²⁵
	0.584	33.48	06/25/21	36.35	...	-2.87	2 of 2 - Sale ²⁵
06/28/21	2.584	148.14	Various	160.83	...	-12.69	Total of 2 transactions
	3 transactions for 07/15/21. Total proceeds and cost reported to the IRS.						
	19.995	680.07	07/15/21	903.16	...	-223.09	1 of 3 - Sale ²⁵
	9.005	306.26	07/15/21	385.04	...	-78.78	2 of 3 - Sale ²⁵
	0.339	11.81	07/15/21	15.30	...	-3.49	3 of 3 - Sale ²⁵
07/15/21	29.339	998.14	Various	1,303.50	...	-305.36	Total of 3 transactions
	Security total:	98,473.96		99,885.50	4,285.17 W	2,873.63	
ATI PHYSICAL THERAPY, INC. / CUSIP: 00216W109 / Symbol:							
	4 transactions for 11/10/21. Total proceeds and cost reported to the IRS.						
	99.977	392.90	11/10/21	410.91	...	-18.01	1 of 4 - Sale ²⁵
	99.735	391.95	11/10/21	409.91	...	-17.96	2 of 4 - Sale ²⁵
	18.735	73.63	11/10/21	77.00	...	-3.37	3 of 4 - Sale ²⁵
	0.265	1.04	11/10/21	1.14	...	-0.10	4 of 4 - Sale ²⁵
11/10/21	218.712	859.52	Various	898.96	...	-39.44	Total of 4 transactions
11/10/21	0.265	1.04	11/10/21	1.09	0.05 W	0.00	Sale ²⁵
	Security total:	860.56		900.05	0.05 W	-39.44	
AGENUS INC. COMMON STOCK / CUSIP: 00847G705 / Symbol:							
	3 transactions for 06/11/21. Total proceeds and cost reported to the IRS.						
	1.000	5.12	05/19/21	3.24	...	1.88	1 of 3 - Sale ²⁵
	391.397	2,004.44	06/10/21	2,000.00	...	4.44	2 of 3 - Sale ²⁵
	588.535	3,014.04	06/10/21	3,000.00	...	14.04	3 of 3 - Sale ²⁵
06/11/21	980.932	5,023.60	Various	5,003.24	...	20.36	Total of 3 transactions

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ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTS EIGHT ORDINARY S / CUSIP: 01609W102 / Symbol:							
2 transactions for 05/20/21. Total proceeds and cost reported to the IRS.							
	0.626	135.45	05/20/21	135.07	...	0.38	1 of 2 - Sale ²⁵
	44.999	9,741.00	05/20/21	9,713.93	...	27.07	2 of 2 - Sale ²⁵
05/20/21	45.625	9,876.45	Various	9,849.00	...	27.45	Total of 2 transactions
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol:							
06/11/21	0.301	1,007.97	06/10/21	1,000.00	...	7.97	Sale ²⁵
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
2 transactions for 01/04/21. Total proceeds and cost reported to the IRS.							
	0.406	52.43	08/03/20	0.00	...	52.43	1 of 2 - Sale ²⁵
	1.000	129.14	08/31/20	127.98	...	1.16	2 of 2 - Sale ²⁵
01/04/21	1.406	181.57	Various	127.98	...	53.59	Total of 2 transactions
ATOSSA THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 04962H506 / Symbol:							
2 transactions for 06/30/21. Total proceeds and cost reported to the IRS.							
	75.954	502.11	06/24/21	622.06	...	-119.95	1 of 2 - Sale ²⁵
	1.016	6.72	06/24/21	8.32	...	-1.60	2 of 2 - Sale ²⁵
06/30/21	76.970	508.83	Various	630.38	...	-121.55	Total of 2 transactions
BEST INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 08653C106 / Symbol:							
8 transactions for 09/21/21. Total proceeds and cost reported to the IRS.							
	100.000	158.98	09/21/21	163.01	...	-4.03	1 of 8 - Sale ²⁵
	88.000	139.91	09/21/21	143.45	...	-3.54	2 of 8 - Sale ²⁵
	87.000	138.32	09/21/21	141.82	...	-3.50	3 of 8 - Sale ²⁵
	37.888	60.24	09/21/21	61.76	...	-1.52	4 of 8 - Sale ²⁵
	12.000	19.08	09/21/21	19.56	...	-0.48	5 of 8 - Sale ²⁵
	12.000	19.08	09/21/21	19.56	...	-0.48	6 of 8 - Sale ²⁵
	12.000	19.08	09/21/21	19.56	...	-0.48	7 of 8 - Sale ²⁵
	1.000	1.59	09/21/21	1.63	...	-0.04	8 of 8 - Sale ²⁵
09/21/21	349.888	556.28	Various	570.35	...	-14.07	Total of 8 transactions
4 transactions for 09/21/21. Total proceeds and cost reported to the IRS.							
	288.000	457.88	09/21/21	463.68	1.00 W	-4.80	1 of 4 - Sale ²⁵
	100.000	158.99	09/21/21	161.00	2.01 W	0.00	2 of 4 - Sale ²⁵

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BEST INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 08653C106 / Symbol: (cont'd)							
	100.000	158.99	09/21/21	161.00	2.01 W	0.00	3 of 4 - Sale ²⁵
	100.000	158.99	09/21/21	161.00	2.01 W	0.00	4 of 4 - Sale ²⁵
09/21/21	588.000	934.85	Various	946.68	7.03 W	-4.80	Total of 4 transactions
	Security total:	1,491.13		1,517.03	7.03 W	-18.87	
BLACKBERRY LIMITED / CUSIP: 09228F103 / Symbol:							
2 transactions for 06/09/21. Total proceeds and cost reported to the IRS.							
	588.000	8,737.56	06/08/21	9,994.88	...	-1,257.32	1 of 2 - Sale ²⁵
	0.301	4.48	06/08/21	5.12	...	-0.64	2 of 2 - Sale ²⁵
06/09/21	588.301	8,742.04	Various	10,000.00	...	-1,257.96	Total of 2 transactions
BOEING COMPANY / CUSIP: 097023105 / Symbol:							
01/07/21	1.000	213.33	06/04/20	186.21	...	27.12	Sale ²⁵
BOMBARDIER INC. CLASS B SHARES (CANADA) / CUSIP: 097751200 / Symbol:							
12/02/21	1,185.000	1,533.36	12/02/21	1,516.44	...	16.92	Sale ²⁵
BROOKLYN IMMUNOTHERAPEUTICS, I NC. COMMON STOCK / CUSIP: 114082100 / Symbol:							
5 transactions for 04/28/21. Total proceeds and cost reported to the IRS.							
	9.174	374.10	04/28/21	353.85	...	20.25	1 of 5 - Sale ²⁵
	20.000	815.59	04/28/21	771.46	...	44.13	2 of 5 - Sale ²⁵
	30.000	1,223.39	04/28/21	1,156.50	...	66.89	3 of 5 - Sale ²⁵
	41.000	1,671.96	04/28/21	1,581.49	...	90.47	4 of 5 - Sale ²⁵
	94.000	3,833.29	04/28/21	3,623.70	...	209.59	5 of 5 - Sale ²⁵
04/28/21	194.174	7,918.33	Various	7,487.00	...	431.33	Total of 5 transactions
BUILD-A-BEAR WORKSHOP, INC. / CUSIP: 120076104 / Symbol:							
3 transactions for 12/01/21. Total proceeds and cost reported to the IRS.							
	73.406	1,581.88	12/01/21	1,676.22	...	-94.34	1 of 3 - Sale ²⁵
	0.448	9.66	12/01/21	10.80	...	-1.14	2 of 3 - Sale ²⁵
	0.146	3.14	12/01/21	3.32	...	-0.18	3 of 3 - Sale ²⁵
12/01/21	74.000	1,594.68	Various	1,690.34	...	-95.66	Total of 3 transactions
12/01/21	0.448	9.66	12/01/21	10.22	0.56 W	0.00	Sale ²⁵
	Security total:	1,604.34		1,700.56	0.56 W	-95.66	

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(continued)

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CANO HEALTH, INC. / CUSIP: 13781Y103 / Symbol:							
09/01/21	42.909	594.43	09/01/21	596.00	...	-1.57	Sale ²⁵
CANOO INC. CLASS A COMMON STOC K / CUSIP: 13803R102 / Symbol:							
<i>3 transactions for 08/23/21. Total proceeds and cost reported to the IRS.</i>							
	0.050	0.36	08/23/21	0.35	...	0.01	1 of 3 - Sale ²⁵
	0.303	2.22	08/23/21	2.11	...	0.11	2 of 3 - Sale ²⁵
	92.950	680.43	08/23/21	650.54	...	29.89	3 of 3 - Sale ²⁵
08/23/21	93.303	683.01	Various	653.00	...	30.01	Total of 3 transactions
<i>3 transactions for 09/24/21. Total proceeds and cost reported to the IRS.</i>							
	0.014	0.12	09/24/21	0.12	...	0.00	1 of 3 - Sale ²⁵
	0.137	1.14	09/24/21	1.12	...	0.02	2 of 3 - Sale ²⁵
	174.863	1,456.84	09/24/21	1,438.76	...	18.08	3 of 3 - Sale ²⁵
09/24/21	175.014	1,458.10	Various	1,440.00	...	18.10	Total of 3 transactions
	Security total:	2,141.11		2,093.00	...	48.11	
CARNIVAL CORPORATION / CUSIP: 143658300 / Symbol:							
01/04/21	6.000	124.86	06/08/20	147.29	...	-22.43	Sale ²⁵
CHEMOCENTRYX, INC. COMMON STOC K / CUSIP: 16383L106 / Symbol:							
<i>2 transactions for 10/08/21. Total proceeds and cost reported to the IRS.</i>							
	0.662	24.82	10/08/21	23.08	...	1.74	1 of 2 - Sale ²⁵
	28.000	1,049.43	10/08/21	976.92	...	72.51	2 of 2 - Sale ²⁵
10/08/21	28.662	1,074.25	Various	1,000.00	...	74.25	Total of 2 transactions
CHURCHILL CAPITAL CORP IV / CUSIP: 171439102 / Symbol:							
<i>2 transactions for 06/28/21. Total proceeds and cost reported to the IRS.</i>							
	0.002	0.04	06/24/21	0.04	...	0.00	1 of 2 - Sale ²⁵
	3.956	106.96	06/24/21	99.96	...	7.00	2 of 2 - Sale ²⁵
06/28/21	3.957	107.00	Various	100.00	...	7.00	Total of 2 transactions
CLOVER HEALTH INVESTMENTS, COR P. CLASS A COMMON STOCK / CUSIP: 18914F103 / Symbol:							
06/09/21	348.485	6,060.26	06/09/21	8,742.00	...	-2,681.74	Sale ²⁵

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Robinhood Securities LLC

Account 866257256

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CONN'S, INC. COMMON STOCK / CUSIP: 208242107 / Symbol:							
<i>2 transactions for 12/15/21. Total proceeds and cost reported to the IRS.</i>							
	0.415	8.44	12/15/21	8.32	...	0.12	1 of 2 - Sale ²⁵
	89.000	1,812.02	12/15/21	1,786.68	...	25.34	2 of 2 - Sale ²⁵
12/15/21	89.415	1,820.46	Various	1,795.00	...	25.46	Total of 2 transactions
COSTCO WHOLESALE CORPORATION C OMMON STOCK / CUSIP: 22160K105 / Symbol:							
03/26/21	8.692	3,008.42	03/25/21	2,984.00	...	24.42	Sale ²⁵
THE WALT DISNEY COMPANY / CUSIP: 254687106 / Symbol:							
<i>3 transactions for 02/22/21. Total proceeds and cost reported to the IRS.</i>							
	6.895	1,298.00	02/22/21	1,300.33	...	-2.33	1 of 3 - Sale ²⁵
	0.105	19.76	02/22/21	19.83	...	-0.07	2 of 3 - Sale ²⁵
	0.000	0.07	02/22/21	0.07	...	0.00	3 of 3 - Sale ²⁵
02/22/21	7.000	1,317.83	Various	1,320.23	...	-2.40	Total of 3 transactions
02/22/21	0.105	19.77	02/22/21	19.80	0.03 W	0.00	Sale ²⁵
	Security total:	1,337.60		1,340.03	0.03 W	-2.40	
EARTHSTONE ENERGY INC. CLASS A COMMON STOCK / CUSIP: 27032D304 / Symbol:							
<i>3 transactions for 10/04/21. Total proceeds and cost reported to the IRS.</i>							
	99.818	1,081.57	10/04/21	1,098.00	...	-16.43	1 of 3 - Sale ²⁵
	0.182	1.97	10/04/21	2.00	...	-0.03	2 of 3 - Sale ²⁵
	0.000	0.00	10/04/21	0.00	...	0.00	3 of 3 - Zero proceeds / negative sale ²⁵
10/04/21	100.000	1,083.54	Various	1,100.00	...	-16.46	Total of 3 transactions
EMBRAER S.A. / CUSIP: 29082A107 / Symbol:							
12/21/21	92.365	1,491.21	12/21/21	1,500.00	...	-8.79	Sale ²⁵
ENTHUSIAST GAMING HOLDINGS INC . COMMON STOCK / CUSIP: 29385B109 / Symbol:							
<i>2 transactions for 11/15/21. Total proceeds and cost reported to the IRS.</i>							
	498.000	1,917.63	11/15/21	1,946.78	...	-29.15	1 of 2 - Sale ²⁵
	0.312	1.20	11/15/21	1.22	...	-0.02	2 of 2 - Sale ²⁵
11/15/21	498.312	1,918.83	Various	1,948.00	...	-29.17	Total of 2 transactions

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Account 866257256

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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EVELO BIOSCIENCES, INC. COMMON STOCK / CUSIP: 299734103 / Symbol:							
2 transactions for 09/17/21. Total proceeds and cost reported to the IRS.							
	171.686	1,495.36	09/17/21	1,515.99	...	-20.63	1 of 2 - Sale ²⁵
	0.681	5.93	09/17/21	6.01	...	-0.08	2 of 2 - Sale ²⁵
09/17/21	172.367	1,501.29	Various	1,522.00	...	-20.71	Total of 2 transactions
2 transactions for 11/01/21. Total proceeds and cost reported to the IRS.							
	37.000	361.12	11/01/21	396.95	...	-35.83	1 of 2 - Sale ²⁵
	0.284	2.77	11/01/21	3.05	...	-0.28	2 of 2 - Sale ²⁵
11/01/21	37.284	363.89	Various	400.00	...	-36.11	Total of 2 transactions
	Security total:	1,865.18		1,922.00	...	-56.82	
EXELA TECHNOLOGIES, INC. COMMON STOCK / CUSIP: 30162V409 / Symbol:							
3 transactions for 07/13/21. Total proceeds and cost reported to the IRS.							
	0.283	1.24	07/13/21	1.16	...	0.08	1 of 3 - Sale ²⁵
	0.445	1.97	07/13/21	1.81	...	0.16	2 of 3 - Sale ²⁵
	364.555	1,611.79	07/13/21	1,491.03	...	120.76	3 of 3 - Sale ²⁵
07/13/21	365.283	1,615.00	Various	1,494.00	...	121.00	Total of 3 transactions
3 transactions for 07/15/21. Total proceeds and cost reported to the IRS.							
	307.506	1,083.90	07/14/21	1,611.33	...	-527.43	1 of 3 - Sale ²⁵
	0.206	0.73	07/14/21	1.43	...	-0.70	2 of 3 - Sale ²⁵
	0.288	1.02	07/14/21	1.51	...	-0.49	3 of 3 - Sale ²⁵
07/15/21	308.000	1,085.65	Various	1,614.27	...	-528.62	Total of 3 transactions
07/15/21	0.206	0.73	07/14/21	1.08	0.35 W	0.00	Sale ²⁵
	Security total:	2,701.38		3,109.35	0.35 W	-407.62	
FERRO CORPORATION / CUSIP: 315405100 / Symbol:							
6 transactions for 05/11/21. Total proceeds and cost reported to the IRS.							
	200.000	4,333.95	05/11/21	4,393.00	...	-59.05	1 of 6 - Sale ²⁵
	114.000	2,470.35	05/11/21	2,504.01	...	-33.66	2 of 6 - Sale ²⁵
	100.000	2,166.97	05/11/21	2,196.50	...	-29.53	3 of 6 - Sale ²⁵
	99.814	2,162.94	05/11/21	2,192.42	...	-29.48	4 of 6 - Sale ²⁵
	99.000	2,145.30	05/11/21	2,174.54	...	-29.24	5 of 6 - Sale ²⁵
	99.000	2,145.30	05/11/21	2,174.53	...	-29.23	6 of 6 - Sale ²⁵
05/11/21	711.814	15,424.81	Various	15,635.00	...	-210.19	Total of 6 transactions

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Robinhood Securities LLC

Account 866257256

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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FORD MOTOR COMPANY / CUSIP: 345370860 / Symbol:							
3 transactions for 01/22/21. Total proceeds and cost reported to the IRS.							
	0.035	0.40	01/21/21	0.40	...	0.00	1 of 3 - Sale ²⁵
	0.791	9.05	01/21/21	8.88	...	0.17	2 of 3 - Sale ²⁵
	60.988	697.84	01/21/21	684.72	...	13.12	3 of 3 - Sale ²⁵
01/22/21	61.814	707.29	Various	694.00	...	13.29	Total of 3 transactions
2 transactions for 03/26/21. Total proceeds and cost reported to the IRS.							
	245.000	3,006.71	03/26/21	3,007.38	...	-0.67	1 of 2 - Sale ²⁵
	0.051	0.62	03/26/21	0.62	...	0.00	2 of 2 - Sale ²⁵
03/26/21	245.051	3,007.33	Various	3,008.00	...	-0.67	Total of 2 transactions
2 transactions for 05/05/21. Total proceeds and cost reported to the IRS.							
	19.000	216.68	05/05/21	218.24	...	-1.56	1 of 2 - Sale ²⁵
	0.676	7.71	05/05/21	7.76	...	-0.05	2 of 2 - Sale ²⁵
05/05/21	19.676	224.39	Various	226.00	...	-1.61	Total of 2 transactions
2 transactions for 06/07/21. Total proceeds and cost reported to the IRS.							
	317.000	4,972.08	06/07/21	4,992.75	...	-20.67	1 of 2 - Sale ²⁵
	0.460	7.22	06/07/21	7.25	...	-0.03	2 of 2 - Sale ²⁵
06/07/21	317.460	4,979.30	Various	5,000.00	...	-20.70	Total of 2 transactions
	Security total:	8,918.31		8,928.00	...	-9.69	
GAOTU TECHEDU INC. AMERICAN DE POSITARY SHARES, THREE OF WHIC H REPRESENTING TWO CLASS A ORD / CUSIP: 36257Y109 / Symbol:							
12/30/21	877.929	1,650.40	12/30/21	1,611.00	...	39.40	Sale ²⁵
GAMESTOP CORP. CLASS A / CUSIP: 36467W109 / Symbol:							
2 transactions for 09/16/21. Total proceeds and cost reported to the IRS.							
	0.171	36.68	09/16/21	36.35	...	0.33	1 of 2 - Sale ²⁵
	7.000	1,497.75	09/16/21	1,484.65	...	13.10	2 of 2 - Sale ²⁵
09/16/21	7.171	1,534.43	Various	1,521.00	...	13.43	Total of 2 transactions
HARMONY BIOSCIENCES HOLDINGS, INC. COMMON STOCK / CUSIP: 413197104 / Symbol:							
10/19/21	20.749	893.23	10/19/21	909.00	...	-15.77	Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

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(continued)

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HYZON MOTORS INC. CLASS A COMM ON STOCK / CUSIP: 44951Y102 / Symbol:							
2 transactions for 09/09/21. Total proceeds and cost reported to the IRS.							
	0.612	6.40	09/09/21	6.25	...	0.15	1 of 2 - Sale ²⁵
	149.897	1,566.40	09/09/21	1,530.75	...	35.65	2 of 2 - Sale ²⁵
09/09/21	150.510	1,572.80	Various	1,537.00	...	35.80	Total of 2 transactions
THE JOINT CORP. COMMON STOCK / CUSIP: 47973J102 / Symbol:							
05/25/21	120.609	8,227.89	05/25/21	8,357.00	...	-129.11	Sale ²⁵
KRISPY KREME, INC. COMMON STOC K / CUSIP: 50101L106 / Symbol:							
4 transactions for 12/28/21. Total proceeds and cost reported to the IRS.							
	31.773	560.14	12/28/21	560.15	...	-0.01	1 of 4 - Sale ²⁵
	33.000	581.78	12/28/21	581.79	...	-0.01	2 of 4 - Sale ²⁵
	20.083	354.06	12/28/21	354.06	...	0.00	3 of 4 - Sale ²⁵
	0.227	4.01	12/28/21	4.00	...	0.01	4 of 4 - Sale ²⁵
12/28/21	85.083	1,499.99	Various	1,500.00	...	-0.01	Total of 4 transactions
LEXINFINTech HOLDINGS LTD. AME RICAN DEPOSITARY SHARES / CUSIP: 528877103 / Symbol:							
4 transactions for 06/02/21. Total proceeds and cost reported to the IRS.							
	791.497	8,888.37	06/01/21	9,063.99	...	-175.62	1 of 4 - Sale ²⁵
	0.088	0.99	06/01/21	1.01	...	-0.02	2 of 4 - Sale ²⁵
	0.263	2.95	06/01/21	2.90	...	0.05	3 of 4 - Sale ²⁵
	62.915	706.53	06/01/21	697.10	...	9.43	4 of 4 - Sale ²⁵
06/02/21	854.763	9,598.84	Various	9,765.00	...	-166.16	Total of 4 transactions
LIMELIGHT NETWORKS, INC. COMM O N STOCK / CUSIP: 53261M104 / Symbol:							
06/28/21	1.000	3.28	05/19/21	3.03	...	0.25	Sale ²⁵
MEI PHARMA, INC. COMMON STOCK / CUSIP: 55279B202 / Symbol:							
5 transactions for 11/30/21. Total proceeds and cost reported to the IRS.							
	45.000	144.06	11/30/21	142.63	...	1.43	1 of 5 - Sale ²⁵
	100.000	320.13	11/30/21	316.95	...	3.18	2 of 5 - Sale ²⁵
	100.000	320.13	11/30/21	316.95	...	3.18	3 of 5 - Sale ²⁵
	100.000	320.13	11/30/21	316.95	...	3.18	4 of 5 - Sale ²⁵

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MEI PHARMA, INC. COMMON STOCK / CUSIP: 55279B202 / Symbol: (cont'd)							
	198.618	635.82	11/30/21	629.52	...	6.30	5 of 5 - Sale ²⁵
11/30/21	543.618	1,740.27	Various	1,723.00	...	17.27	Total of 5 transactions
MARQETA, INC. CLASS A COMMON S TOCK / CUSIP: 57142B104 / Symbol:							
2 transactions for 10/27/21. Total proceeds and cost reported to the IRS.							
	19.000	653.97	10/27/21	695.21	...	-41.24	1 of 2 - Sale ²⁵
	0.131	4.51	10/27/21	4.79	...	-0.28	2 of 2 - Sale ²⁵
10/27/21	19.131	658.48	Various	700.00	...	-41.52	Total of 2 transactions
MECHEL PAO AMERICAN DEPOSITORY SHARES (EACH REPRESENTING ONE -HALF OF A PREFERRED SHARE) / CUSIP: 583840509 / Symbol:							
4 transactions for 08/31/21. Total proceeds and cost reported to the IRS.							
	210.000	319.18	08/30/21	354.90	...	-35.72	1 of 4 - Sale ²⁵
	100.000	151.99	08/30/21	169.00	...	-17.01	2 of 4 - Sale ²⁵
	100.000	151.99	08/30/21	169.00	...	-17.01	3 of 4 - Sale ²⁵
	0.651	0.99	08/30/21	1.10	...	-0.11	4 of 4 - Sale ²⁵
08/31/21	410.651	624.15	Various	694.00	...	-69.85	Total of 4 transactions
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol:							
3 transactions for 01/22/21. Total proceeds and cost reported to the IRS.							
	2.891	656.38	01/22/21	657.44	...	-1.06	1 of 3 - Sale ²⁵
	0.109	24.71	01/22/21	24.77	...	-0.06	2 of 3 - Sale ²⁵
	0.000	0.07	01/22/21	0.07	...	0.00	3 of 3 - Sale ²⁵
01/22/21	3.000	681.16	Various	682.28	...	-1.12	Total of 3 transactions
01/22/21	0.109	24.72	01/22/21	24.74	0.02 W	0.00	Sale ²⁵
	Security total:	705.88		707.02	0.02 W	-1.12	
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE C LASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
2 transactions for 04/01/21. Total proceeds and cost reported to the IRS.							
	0.530	21.06	03/30/21	19.74	...	1.32	1 of 2 - Sale ²⁵
	1.000	39.73	03/30/21	37.26	...	2.47	2 of 2 - Sale ²⁵
04/01/21	1.530	60.79	Various	57.00	...	3.79	Total of 2 transactions

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NRX PHARMACEUTICALS, INC. COMM ON STOCK / CUSIP: 629444100 / Symbol:							
3 transactions for 07/19/21. Total proceeds and cost reported to the IRS.							
	0.738	9.86	07/19/21	9.73	...	0.13	1 of 3 - Sale ²⁵
	24.655	329.14	07/19/21	326.64	...	2.50	2 of 3 - Sale ²⁵
	49.607	662.23	07/19/21	657.29	...	4.94	3 of 3 - Sale ²⁵
07/19/21	75.000	1,001.23	Various	993.66	...	7.57	Total of 3 transactions
07/26/21	0.345	7.24	07/19/21	4.57	...	2.67	Sale ²⁵
	Security total:	1,008.47		998.23	...	10.24	
NEURONETICS, INC. COMMON STOCK / CUSIP: 64131A105 / Symbol:							
2 transactions for 05/05/21. Total proceeds and cost reported to the IRS.							
	0.263	3.56	05/04/21	3.57	...	-0.01	1 of 2 - Sale ²⁵
	0.056	0.75	05/04/21	0.75	...	0.00	2 of 2 - Sale ²⁵
05/05/21	0.318	4.31	Various	4.32	...	-0.01	Total of 2 transactions
NEW ORIENTAL EDUCATION AND TEC HNOLOGY GROUP, INC. / CUSIP: 647581107 / Symbol:							
08/24/21	322.489	703.82	08/24/21	683.00	...	20.82	Sale ²⁵
NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol:							
2 transactions for 08/04/21. Total proceeds and cost reported to the IRS.							
	3.348	757.40	08/04/21	772.98	...	-15.58	1 of 2 - Sale ²⁵
	0.000	0.02	08/04/21	0.02	...	0.00	2 of 2 - Sale ²⁵
08/04/21	3.348	757.42	Various	773.00	...	-15.58	Total of 2 transactions
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol:							
7 transactions for 05/03/21. Total proceeds and cost reported to the IRS.							
	0.073	1.12	05/03/21	1.12	...	0.00	1 of 7 - Sale ²⁵
	0.078	1.19	05/03/21	1.18	...	0.01	2 of 7 - Sale ²⁵
	0.547	8.36	05/03/21	8.34	...	0.02	3 of 7 - Sale ²⁵
	99.453	1,521.12	05/03/21	1,516.66	...	4.46	4 of 7 - Sale ²⁵
	100.000	1,529.48	05/03/21	1,525.00	...	4.48	5 of 7 - Sale ²⁵
	100.000	1,529.48	05/03/21	1,525.00	...	4.48	6 of 7 - Sale ²⁵
	286.547	4,382.67	05/03/21	4,372.70	...	9.97	7 of 7 - Sale ²⁵
05/03/21	586.698	8,973.42	Various	8,950.00	...	23.42	Total of 7 transactions

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ORACLE CORP / CUSIP: 68389X105 / Symbol:							
2 transactions for 05/06/21. Total proceeds and cost reported to the IRS.							
	0.856	67.96	05/05/21	67.94	...	0.02	1 of 2 - Sale ²⁵
	2.000	158.82	05/05/21	158.79	...	0.03	2 of 2 - Sale ²⁵
05/06/21	2.856	226.78	Various	226.73	...	0.05	Total of 2 transactions
ORPHAZYME A/S AMERICAN DEPOSIT ARY SHARES / CUSIP: 687305102 / Symbol:							
06/14/21	142.857	1,573.38	06/11/21	2,000.00	426.62 W	0.00	Sale ²⁵
5 transactions for 06/16/21. Total proceeds and cost reported to the IRS.							
	105.906	1,914.76	06/16/21	2,076.82	...	-162.06	1 of 5 - Sale ²⁵
	46.143	835.17	06/16/21	904.86	...	-69.69	2 of 5 - Sale ²⁵
	0.906	16.39	06/16/21	21.80	...	-5.41	3 of 5 - Sale ²⁵
	0.639	11.56	06/16/21	15.35	...	-3.79	4 of 5 - Sale ²⁵
	0.188	3.39	06/16/21	4.52	...	-1.13	5 of 5 - Sale ²⁵
06/16/21	153.781	2,781.27	Various	3,023.35	...	-242.08	Total of 5 transactions
3 transactions for 06/16/21. Total proceeds and cost reported to the IRS.							
	141.312	2,557.73	06/16/21	3,193.15	0.84 W	-634.58	1 of 3 - Sale ²⁵
	0.639	11.56	06/16/21	14.39	2.83 W	0.00	2 of 3 - Sale ²⁵
	0.906	16.40	06/16/21	20.42	4.02 W	0.00	3 of 3 - Sale ²⁵
06/16/21	142.857	2,585.69	Various	3,227.96	7.69 W	-634.58	Total of 3 transactions
3 transactions for 07/21/21. Total proceeds and cost reported to the IRS.							
	152.676	863.35	07/21/21	899.26	...	-35.91	1 of 3 - Sale ²⁵
	17.000	96.13	07/21/21	100.34	...	-4.21	2 of 3 - Sale ²⁵
	0.277	1.57	07/21/21	1.63	...	-0.06	3 of 3 - Sale ²⁵
07/21/21	169.953	961.05	Various	1,001.23	...	-40.18	Total of 3 transactions
	Security total:	7,901.39		9,252.54	434.31 W	-916.84	
PARDES BIOSCIENCES, INC. COMMO N STOCK / CUSIP: 69945Q105 / Symbol:							
3 transactions for 12/29/21. Total proceeds and cost reported to the IRS.							
	0.607	8.51	12/29/21	7.87	...	0.64	1 of 3 - Sale ²⁵
	15.000	210.31	12/29/21	195.00	...	15.31	2 of 3 - Sale ²⁵
	99.856	1,400.08	12/29/21	1,297.13	...	102.95	3 of 3 - Sale ²⁵
12/29/21	115.463	1,618.90	Various	1,500.00	...	118.90	Total of 3 transactions

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Robinhood Securities LLC

Account 866257256

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2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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PAVMED INC. COMMON STOCK / CUSIP: 70387R106 / Symbol:							
09/23/21	150.725	1,455.97	09/23/21	1,439.00	...	16.97	Sale ²⁵
PEABODY ENERGY CORPORATION / CUSIP: 704551100 / Symbol:							
<i>3 transactions for 10/18/21. Total proceeds and cost reported to the IRS.</i>							
	0.022	0.43	10/18/21	0.42	...	0.01	1 of 3 - Sale ²⁵
	0.216	4.22	10/18/21	4.10	...	0.12	2 of 3 - Sale ²⁵
	41.978	817.79	10/18/21	795.48	...	22.31	3 of 3 - Sale ²⁵
10/18/21	42.216	822.44	Various	800.00	...	22.44	Total of 3 transactions
PORTILLO'S INC. CLASS A COMMON STOCK / CUSIP: 73642K106 / Symbol:							
<i>3 transactions for 10/22/21. Total proceeds and cost reported to the IRS.</i>							
	18.802	697.72	10/22/21	784.96	...	-87.24	1 of 3 - Sale ²⁵
	0.162	6.01	10/22/21	7.51	...	-1.50	2 of 3 - Sale ²⁵
	0.036	1.35	10/22/21	1.52	...	-0.17	3 of 3 - Sale ²⁵
10/22/21	19.000	705.08	Various	793.99	...	-88.91	Total of 3 transactions
10/22/21	0.162	6.01	10/22/21	6.75	0.74 W	0.00	Sale ²⁵
	Security total:	711.09		800.74	0.74 W	-88.91	
PROTAGONIST THERAPEUTICS, INC. COMMON STOCK / CUSIP: 74366E102 / Symbol:							
<i>2 transactions for 10/11/21. Total proceeds and cost reported to the IRS.</i>							
	0.197	7.00	10/11/21	6.98	...	0.02	1 of 2 - Sale ²⁵
	28.000	995.39	10/11/21	993.02	...	2.37	2 of 2 - Sale ²⁵
10/11/21	28.197	1,002.39	Various	1,000.00	...	2.39	Total of 2 transactions
RELAY THERAPEUTICS, INC. COMMO N STOCK / CUSIP: 75943R102 / Symbol:							
<i>4 transactions for 10/15/21. Total proceeds and cost reported to the IRS.</i>							
	19.090	655.40	10/14/21	692.84	...	-37.44	1 of 4 - Sale ²⁵
	4.090	140.42	10/14/21	148.45	...	-8.03	2 of 4 - Sale ²⁵
	0.910	31.23	10/14/21	34.78	...	-3.55	3 of 4 - Sale ²⁵
	0.901	30.93	10/14/21	32.70	...	-1.77	4 of 4 - Sale ²⁵
10/15/21	24.991	857.98	Various	908.77	...	-50.79	Total of 4 transactions
10/15/21	0.910	31.23	10/14/21	33.00	1.77 W	0.00	Sale ²⁵
	Security total:	889.21		941.77	1.77 W	-50.79	

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ROKU, INC. CLASS A COMMON STOC K / CUSIP: 77543R102 / Symbol:							
2 transactions for 01/12/21. Total proceeds and cost reported to the IRS.							
	1.000	405.67	01/11/21	411.47	...	-5.80	1 of 2 - Sale ²⁵
	0.679	277.10	01/11/21	279.53	...	-2.43	2 of 2 - Sale ²⁵
01/12/21	1.679	682.77	Various	691.00	...	-8.23	Total of 2 transactions
SIERRA METALS INC. COMMON STOC K / CUSIP: 82639W106 / Symbol:							
5 transactions for 10/01/21. Total proceeds and cost reported to the IRS.							
	0.011	0.03	10/01/21	0.02	...	0.01	1 of 5 - Sale ²⁵
	0.086	0.21	10/01/21	0.20	...	0.01	2 of 5 - Sale ²⁵
	38.914	93.97	10/01/21	90.67	...	3.30	3 of 5 - Sale ²⁵
	100.000	241.49	10/01/21	233.00	...	8.49	4 of 5 - Sale ²⁵
	367.000	886.25	10/01/21	855.11	...	31.14	5 of 5 - Sale ²⁵
10/01/21	506.011	1,221.95	Various	1,179.00	...	42.95	Total of 5 transactions
STANDARD LITHIUM LTD. / CUSIP: 853606101 / Symbol:							
2 transactions for 09/01/21. Total proceeds and cost reported to the IRS.							
	95.845	582.86	09/01/21	594.24	...	-11.38	1 of 2 - Sale ²⁵
	0.123	0.75	09/01/21	0.76	...	-0.01	2 of 2 - Sale ²⁵
09/01/21	95.968	583.61	Various	595.00	...	-11.39	Total of 2 transactions
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol:							
3 transactions for 03/30/21. Total proceeds and cost reported to the IRS.							
	556.329	634.13	03/25/21	678.72	...	-44.59	1 of 3 - Sale ²⁵
	0.384	0.44	03/25/21	0.49	...	-0.05	2 of 3 - Sale ²⁵
	0.287	0.33	03/25/21	0.35	...	-0.02	3 of 3 - Sale ²⁵
03/30/21	557.000	634.90	Various	679.56	...	-44.66	Total of 3 transactions
03/30/21	0.384	0.44	03/25/21	0.46	0.02 W	0.00	Sale ²⁵
	Security total:	635.34		680.02	0.02 W	-44.66	
TABULA RASA HEALTHCARE, INC. C OMMON STOCK / CUSIP: 873379101 / Symbol:							
2 transactions for 12/14/21. Total proceeds and cost reported to the IRS.							
	0.343	4.72	12/14/21	4.64	...	0.08	1 of 2 - Sale ²⁵
	134.000	1,845.93	12/14/21	1,814.36	...	31.57	2 of 2 - Sale ²⁵
12/14/21	134.343	1,850.65	Various	1,819.00	...	31.65	Total of 2 transactions

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2021 1099-B* OMB No. 1545-0715

(continued)

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TARGET HOSPITALITY CORP. COMMO N STOCK / CUSIP: 87615L107 / Symbol:							
3 transactions for 06/23/21. Total proceeds and cost reported to the IRS.							
	610.000	2,728.20	06/23/21	2,829.97	...	-101.77	1 of 3 - Sale ²⁵
	0.435	1.96	06/23/21	2.02	...	-0.06	2 of 3 - Sale ²⁵
	0.003	0.01	06/23/21	0.01	...	0.00	3 of 3 - Sale ²⁵
06/23/21	610.438	2,730.17	Various	2,832.00	...	-101.83	Total of 3 transactions
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
7 transactions for 01/11/21. Total proceeds and cost reported to the IRS.							
	0.019	16.08	09/01/20	9.47	...	6.61	1 of 7 - Sale ²⁵
	0.005	3.81	12/24/20	3.00	...	0.81	2 of 7 - Sale ²⁵
	0.000	0.01	01/04/21	0.01	...	0.00	3 of 7 - Sale ²⁵
	0.017	14.40	01/04/21	12.55	...	1.85	4 of 7 - Sale ²⁵
	0.152	128.93	01/04/21	112.44	...	16.49	5 of 7 - Sale ²⁵
	0.206	174.01	01/04/21	149.80	...	24.21	6 of 7 - Sale ²⁵
	0.297	251.78	01/07/21	240.00	...	11.78	7 of 7 - Sale ²⁵
01/11/21	0.696	589.02	Various	527.27	...	61.75	Total of 7 transactions
2 transactions for 01/11/21. Total proceeds and cost reported to the IRS.							
	0.099	83.49	01/08/21	85.94	2.45 W	0.00	1 of 2 - Sale ²⁵
	0.022	18.52	01/08/21	19.06	0.54 W	0.00	2 of 2 - Sale ²⁵
01/11/21	0.120	102.01	Various	105.00	2.99 W	0.00	Total of 2 transactions
01/21/21	0.700	592.38	01/12/21	581.05	...	11.33	Sale ²⁵
2 transactions for 01/21/21. Total proceeds and cost reported to the IRS.							
	0.022	18.50	01/12/21	18.68	0.18 W	0.00	1 of 2 - Sale ²⁵
	0.099	83.40	01/12/21	84.26	0.86 W	0.00	2 of 2 - Sale ²⁵
01/21/21	0.120	101.90	Various	102.94	1.04 W	0.00	Total of 2 transactions
3 transactions for 01/29/21. Total proceeds and cost reported to the IRS.							
	0.667	548.98	01/25/21	598.02	...	-49.04	1 of 3 - Sale ²⁵
	0.099	81.13	01/25/21	89.24	...	-8.11	2 of 3 - Sale ²⁵
	0.022	17.99	01/25/21	19.78	...	-1.79	3 of 3 - Sale ²⁵
01/29/21	0.788	648.10	Various	707.04	...	-58.94	Total of 3 transactions
4 transactions for 03/30/21. Total proceeds and cost reported to the IRS.							
	0.006	3.43	03/30/21	3.47	0.04 W	0.00	1 of 4 - Sale ²⁵
	0.000	0.11	03/30/21	0.12	0.01 W	0.00	2 of 4 - Sale ²⁵

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.006	3.43	03/30/21	3.51	0.08 W	0.00	3 of 4 - Sale ²⁵
	0.994	619.63	03/30/21	627.94	8.31 W	0.00	4 of 4 - Sale ²⁵
03/30/21	1.006	626.60	Various	635.04	8.44 W	0.00	Total of 4 transactions
	4 transactions for 04/01/21. Total proceeds and cost reported to the IRS.						
	0.994	659.38	03/31/21	666.69	...	-7.31	1 of 4 - Sale ²⁵
	0.006	3.65	03/31/21	3.72	...	-0.07	2 of 4 - Sale ²⁵
	0.000	0.12	03/31/21	0.13	...	-0.01	3 of 4 - Sale ²⁵
	0.556	368.43	03/31/21	367.86	...	0.57	4 of 4 - Sale ²⁵
04/01/21	1.556	1,031.58	Various	1,038.40	...	-6.82	Total of 4 transactions
	Security total:	3,691.59		3,696.74	12.47 W	7.32	
THE TRADE DESK, INC. CLASS A COMMON STOCK / CUSIP: 88339J105 / Symbol:							
11/08/21	14.735	1,317.93	11/08/21	1,306.00	...	11.93	Sale ²⁵
TORCHLIGHT ENERGY RESOURCES, INC. COMMON STOCK / CUSIP: 89102U103 / Symbol:							
	3 transactions for 06/21/21. Total proceeds and cost reported to the IRS.						
	0.243	2.44	06/21/21	2.33	...	0.11	1 of 3 - Sale ²⁵
	200.000	2,012.16	06/21/21	1,921.00	...	91.16	2 of 3 - Sale ²⁵
	265.557	2,671.45	06/21/21	2,550.67	...	120.78	3 of 3 - Sale ²⁵
06/21/21	465.799	4,686.05	Various	4,474.00	...	212.05	Total of 3 transactions
TUPPERWARE BRANDS CORPORATION / CUSIP: 899896104 / Symbol:							
	2 transactions for 06/22/21. Total proceeds and cost reported to the IRS.						
	131.228	3,010.99	06/22/21	3,039.24	...	-28.25	1 of 2 - Sale ²⁵
	0.772	17.71	06/22/21	18.05	...	-0.34	2 of 2 - Sale ²⁵
06/22/21	132.000	3,028.70	Various	3,057.29	...	-28.59	Total of 2 transactions
06/22/21	0.772	17.71	06/22/21	17.88	0.17 W	0.00	Sale ²⁵
	Security total:	3,046.41		3,075.17	0.17 W	-28.59	
23ANDME HOLDING CO. CLASS A COMMON STOCK / CUSIP: 90138Q108 / Symbol:							
	2 transactions for 10/15/21. Total proceeds and cost reported to the IRS.						
	93.958	878.58	10/15/21	881.25	...	-2.67	1 of 2 - Sale ²⁵
	0.827	7.73	10/15/21	7.75	...	-0.02	2 of 2 - Sale ²⁵
10/15/21	94.785	886.31	Various	889.00	...	-2.69	Total of 2 transactions

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TYRA BIOSCIENCES, INC. COMMON STOCK / CUSIP: 90240B106 / Symbol:							
3 transactions for 10/26/21. Total proceeds and cost reported to the IRS.							
	29.552	640.25	10/26/21	680.22	...	-39.97	1 of 3 - Sale ²⁵
	0.412	8.92	10/26/21	9.99	...	-1.07	2 of 3 - Sale ²⁵
	0.036	0.78	10/26/21	0.83	...	-0.05	3 of 3 - Sale ²⁵
10/26/21	30.000	649.95	Various	691.04	...	-41.09	Total of 3 transactions
10/26/21	0.412	8.96	10/26/21	9.47	0.51 W	0.00	Sale ²⁵
	Security total:	658.91		700.51	0.51 W	-41.09	
UNITY BIOTECHNOLOGY, INC. COMM ON STOCK / CUSIP: 91381U101 / Symbol:							
06/28/21	1.000	4.46	05/19/21	4.60	...	-0.14	Sale ²⁵
VIANT TECHNOLOGY INC. CLASS A COMMON STOCK / CUSIP: 92557A101 / Symbol:							
02/11/21	0.221	10.36	02/10/21	10.00	...	0.36	Sale ²⁵
VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol:							
3 transactions for 06/01/21. Total proceeds and cost reported to the IRS.							
	20.667	593.78	05/28/21	667.93	...	-74.15	1 of 3 - Sale ²⁵
	0.331	9.50	05/28/21	11.87	...	-2.37	2 of 3 - Sale ²⁵
	0.002	0.07	05/28/21	0.08	...	-0.01	3 of 3 - Sale ²⁵
06/01/21	21.000	603.35	Various	679.88	...	-76.53	Total of 3 transactions
06/01/21	0.331	9.50	05/28/21	10.68	1.18 W	0.00	Sale ²⁵
3 transactions for 07/09/21. Total proceeds and cost reported to the IRS.							
	0.683	35.68	07/08/21	33.72	...	1.96	1 of 3 - Sale ²⁵
	1.000	52.25	07/08/21	49.37	...	2.88	2 of 3 - Sale ²⁵
	6.000	313.38	07/08/21	296.21	...	17.17	3 of 3 - Sale ²⁵
07/09/21	7.683	401.31	Various	379.30	...	22.01	Total of 3 transactions
	Security total:	1,014.16		1,069.86	1.18 W	-54.52	
VOLKSWAGEN A G UNSPONSORED ADR REPRESENT 1/10TH SH / CUSIP: 928662600 / Symbol:							
3 transactions for 03/31/21. Total proceeds and cost reported to the IRS.							
	13.000	465.00	03/30/21	487.63	...	-22.63	1 of 3 - Sale ²⁵
	12.000	429.24	03/30/21	443.04	...	-13.80	2 of 3 - Sale ²⁵
	2.000	71.54	03/30/21	75.02	...	-3.48	3 of 3 - Sale ²⁵
03/31/21	27.000	965.78	Various	1,005.69	...	-39.91	Total of 3 transactions

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
XPONENTIAL FITNESS, INC. / CUSIP: 98422X101 / Symbol:							
3 transactions for 11/12/21. Total proceeds and cost reported to the IRS.							
	0.078	1.87	11/12/21	1.80	...	0.07	1 of 3 - Sale ²⁵
	14.000	338.24	11/12/21	325.22	...	13.02	2 of 3 - Sale ²⁵
	66.895	1,616.18	11/12/21	1,553.98	...	62.20	3 of 3 - Sale ²⁵
11/12/21	80.973	1,956.29	Various	1,881.00	...	75.29	Total of 3 transactions
ALAUNOS THERAPEUTICS, INC. COM MON STOCK / CUSIP: 98973P101 / Symbol:							
3 transactions for 09/07/21. Total proceeds and cost reported to the IRS.							
	240.161	502.64	09/07/21	522.81	...	-20.17	1 of 3 - Sale ²⁵
	0.631	1.32	09/07/21	1.42	...	-0.10	2 of 3 - Sale ²⁵
	0.208	0.44	09/07/21	0.45	...	-0.01	3 of 3 - Sale ²⁵
09/07/21	241.000	504.40	Various	524.68	...	-20.28	Total of 3 transactions
09/07/21	0.631	1.32	09/07/21	1.37	0.05 W	0.00	Sale ²⁵
	Security total:	505.72		526.05	0.05 W	-20.28	
ZYMERGEN INC. COMMON STOCK / CUSIP: 98985X100 / Symbol:							
3 transactions for 08/06/21. Total proceeds and cost reported to the IRS.							
	50.626	626.22	08/05/21	746.73	...	-120.51	1 of 3 - Sale ²⁵
	0.323	3.99	08/05/21	5.51	...	-1.52	2 of 3 - Sale ²⁵
	0.052	0.64	08/05/21	0.76	...	-0.12	3 of 3 - Sale ²⁵
08/06/21	51.000	630.85	Various	753.00	...	-122.15	Total of 3 transactions
08/06/21	0.323	4.00	08/05/21	4.75	0.75 W	0.00	Sale ²⁵
	Security total:	634.85		757.75	0.75 W	-122.15	
BIT DIGITAL, INC. ORDINARY SHA RES / CUSIP: G1144A105 / Symbol:							
6 transactions for 06/14/21. Total proceeds and cost reported to the IRS.							
	295.942	2,719.95	06/14/21	2,875.82	...	-155.87	1 of 6 - Sale ²⁵
	200.000	1,838.17	06/14/21	1,943.50	...	-105.33	2 of 6 - Sale ²⁵
	197.806	1,818.00	06/14/21	1,922.18	...	-104.18	3 of 6 - Sale ²⁵
	1.524	14.01	06/14/21	14.44	...	-0.43	4 of 6 - Sale ²⁵
	0.670	6.16	06/14/21	6.50	...	-0.34	5 of 6 - Sale ²⁵
	702.000	6,748.07	06/14/21	6,653.56	...	94.51	6 of 6 - Sale ²⁵
06/14/21	1,397.942	13,144.36	Various	13,416.00	...	-271.64	Total of 6 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 866257256

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BIT DIGITAL, INC. ORDINARY SHA RES / CUSIP: G1144A105 / Symbol: (cont'd)							
2 transactions for 07/26/21. Total proceeds and cost reported to the IRS.							
	160.000	904.61	07/26/21	906.61	...	-2.00	1 of 2 - Sale ²⁵
	0.246	1.39	07/26/21	0.00	2 of 2 - Sale ²⁵
07/26/21	160.246	906.00	Various	908.00	...	-2.00	Total of 2 transactions
	Security total:	14,050.36		14,324.00	...	-273.64	
KAIXIN AUTO HOLDINGS ORDINARY SHARES / CUSIP: G5223X100 / Symbol:							
2 transactions for 08/06/21. Total proceeds and cost reported to the IRS.							
	218.750	593.14	08/06/21	627.44	...	-34.30	1 of 2 - Sale ²⁵
	2.640	7.16	08/06/21	7.56	...	-0.40	2 of 2 - Sale ²⁵
08/06/21	221.390	600.30	Various	635.00	...	-34.70	Total of 2 transactions
NAM TAI PROPERTY INC. / CUSIP: G63907102 / Symbol:							
2 transactions for 05/20/21. Total proceeds and cost reported to the IRS.							
	0.293	6.58	05/18/21	6.52	...	0.06	1 of 2 - Sale ²⁵
	32.000	713.59	05/18/21	712.48	...	1.11	2 of 2 - Sale ²⁵
05/20/21	32.293	720.17	Various	719.00	...	1.17	Total of 2 transactions
SGOCO GROUP, LTD ORDINARY SHAR ES (CAYMAN ISLANDS) / CUSIP: G80751129 / Symbol:							
3 transactions for 07/12/21. Total proceeds and cost reported to the IRS.							
	1.260	31.64	07/12/21	29.16	...	2.48	1 of 3 - Sale ²⁵
	13.740	345.01	07/12/21	319.04	...	25.97	2 of 3 - Sale ²⁵
	42.000	1,051.67	07/12/21	975.23	...	76.44	3 of 3 - Sale ²⁵
07/12/21	57.000	1,428.32	Various	1,323.43	...	104.89	Total of 3 transactions
07/13/21	0.067	1.14	07/12/21	1.57	0.43 W	0.00	Sale ²⁵
4 transactions for 07/29/21. Total proceeds and cost reported to the IRS.							
	75.313	748.59	07/29/21	884.63	...	-136.04	1 of 4 - Sale ²⁵
	0.386	3.84	07/29/21	5.21	...	-1.37	2 of 4 - Sale ²⁵
	0.067	0.67	07/29/21	1.34	...	-0.67	3 of 4 - Sale ²⁵
	0.233	2.32	07/29/21	2.73	...	-0.41	4 of 4 - Sale ²⁵
07/29/21	76.000	755.42	Various	893.91	...	-138.49	Total of 4 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 866257256

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SGOCO GROUP, LTD ORDINARY SHAR ES (CAYMAN ISLANDS) / CUSIP: G80751129 / Symbol: (cont'd)							
2 transactions for 07/29/21. Total proceeds and cost reported to the IRS.							
	0.067	0.67	07/29/21	1.22	0.55 W	0.00	1 of 2 - Sale ²⁵
	0.386	3.85	07/29/21	4.52	0.67 W	0.00	2 of 2 - Sale ²⁵
07/29/21	0.454	4.52	Various	5.74	1.22 W	0.00	Total of 2 transactions
	Security total:	2,189.40		2,224.65	1.65 W	-33.60	
TDH HOLDINGS, INC. COMMON SHAR ES / CUSIP: G87084102 / Symbol:							
2 transactions for 11/10/21. Total proceeds and cost reported to the IRS.							
	154.265	920.94	11/09/21	1,302.00	...	-381.06	1 of 2 - Sale ²⁵
	0.357	2.13	11/09/21	3.00	...	-0.87	2 of 2 - Sale ²⁵
11/10/21	154.623	923.07	Various	1,305.00	...	-381.93	Total of 2 transactions
TECNOGLASS INC. ORDINARY SHARE S / CUSIP: G87264100 / Symbol:							
2 transactions for 12/13/21. Total proceeds and cost reported to the IRS.							
	0.636	13.20	12/13/21	13.12	...	0.08	1 of 2 - Sale ²⁵
	91.000	1,887.32	12/13/21	1,876.88	...	10.44	2 of 2 - Sale ²⁵
12/13/21	91.636	1,900.52	Various	1,890.00	...	10.52	Total of 2 transactions
ZIM INTEGRATED SHIPPING SERVIC ES LTD. / CUSIP: M9T951109 / Symbol:							
2 transactions for 05/20/21. Total proceeds and cost reported to the IRS.							
	178.865	7,700.98	05/19/21	8,353.00	...	-652.02	1 of 2 - Sale ²⁵
	10.000	430.55	05/19/21	467.00	...	-36.45	2 of 2 - Sale ²⁵
05/20/21	188.865	8,131.53	Various	8,820.00	...	-688.47	Total of 2 transactions
LAVA THERAPEUTICS N.V. ORDINAR Y SHARES / CUSIP: N51517105 / Symbol:							
3 transactions for 08/03/21. Total proceeds and cost reported to the IRS.							
	0.032	0.26	08/03/21	0.25	...	0.01	1 of 3 - Sale ²⁵
	0.552	4.49	08/03/21	4.25	...	0.24	2 of 3 - Sale ²⁵
	94.448	767.95	08/03/21	731.50	...	36.45	3 of 3 - Sale ²⁵
08/03/21	95.032	772.70	Various	736.00	...	36.70	Total of 3 transactions
Totals :		304,716.95		316,207.48	4,746.83 W	-6,743.70	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 866257256

Detail for Interest Income

2021

02/11/2022

This section of your tax information statement contains the payment level detail of taxable interest and associated bond premium. Market discount will be shown here only if you have elected to recognize it currently rather than at the time of sale or maturity. Bond premium and market discount for covered tax lots are totaled on Form 1099-INT and reported to the IRS. For noncovered tax lots, they are totaled and presented beneath the 1099-INT for informational purposes and are not reported to the IRS.

To provide a complete picture of activity for each investment, we also include here nonreportable transactions such as accrued interest paid on purchases and payment or receipt of nonqualified interest. Other amounts, such as federal, state and foreign tax withheld and investment expenses are shown as negative amounts but do not net against the reportable income totals.

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		06/22/21	0.43	Interest	
		06/30/21	0.19	Interest	
		07/23/21	0.06	Interest	
		07/30/21	0.10	Interest	
		11/23/21	0.40	Interest	
		11/23/21	0.20	Interest	
		11/23/21	0.04	Interest	
			1.42	Total Interest	
Interest payment		08/19/21	0.04	Interest	
		08/31/21	0.05	Interest	
		09/27/21	0.16	Interest	
		09/30/21	0.06	Interest	
		10/14/21	0.12	Interest	
		10/29/21	0.09	Interest	
		11/30/21	0.11	Interest	
		12/31/21	0.23	Interest	
			0.86	Total Interest	
			2.28	Total Interest	

25 Tax lot closed on a first in first out basis.

Instructions for Recipient

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.

1099-INT Instructions for Recipient

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

Line 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2021 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

Line 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction.

Line 3. Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium.

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Line 5. Any amount shown is your share of investment expenses of a singleclass REMIC. This amount is included in line 1. Note: This amount is not deductible.

Line 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

Line 7. Shows the country or U.S. possession to which the foreign tax was paid.

Line 8. Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Forms 1040 and 1040-SR. This amount may be subject to backup withholding. See Line 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in line 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 10. For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includible in taxable income as interest income.

Line 11. For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

Line 12. For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 3. If the amount in line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

Line 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this line for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in line 8 or 9, whichever is applicable. If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

Line 14. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s).

Lines 15-17. State tax withheld reporting lines.

Future developments. For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099INT.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Instructions for Recipient

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

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