

<b>Robinhood Securities LLC</b> 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700  PAYER'S TIN: 38-4019216	<b>Tax Information</b> <b>Account 516302080</b>	Statement Date: 02/11/2022 Document ID: Y6F7 J9T X7N1	2021
	Harish reddy Palugulla 2000 Walnut Ave P203 Fremont, CA 94538  RECIPIENT'S TIN: XXX-XX-3453		

**Summary Information**

<p><b>DIVIDENDS AND DISTRIBUTIONS</b>      <b>2021 1099-DIV*</b>      OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e)      0.00</p> <p>1b- Qualified dividends      0.00</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)      0.00</p> <p>2b- Unrecaptured Section 1250 gain      0.00</p> <p>2c- Section 1202 gain      0.00</p> <p>2d- Collectibles (28%) gain      0.00</p> <p>2e- Section 897 ordinary dividends      0.00</p> <p>2f- Section 897 capital gain      0.00</p> <p>3- Nondividend distributions      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>5- Section 199A dividends      0.00</p> <p>6- Investment expenses      0.00</p> <p>8- Foreign country or US possession:      7- Foreign tax paid:      0.00</p> <p>9- Cash liquidation distributions      0.00</p> <p>10- Noncash liquidation distributions      0.00</p> <p>11- Exempt-interest dividends (includes line 12)      0.00</p> <p>12- Specified private activity bond interest dividends (AMT)      0.00</p>	<p><b>MISCELLANEOUS INFORMATION</b>      <b>2021 1099-MISC*</b>      OMB No. 1545-0115</p> <p>2- Royalties      0.00</p> <p>3- Other income      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>8- Substitute payments in lieu of dividends or interest      0.00</p> <p><b>SECTION 1256 CONTRACTS</b>      <b>2021 1099-B*</b>      OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2021 on closed contracts      0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2020      0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2021      0.00</p> <p>11- Aggregate profit or (loss) on contracts      0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p><b>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</b></p>
--	---

**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

*Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.*

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	111,480.68	131,995.25	0.00	455.21	-20,059.36
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Short-term</b>	<b>111,480.68</b>	<b>131,995.25</b>	<b>0.00</b>	<b>455.21</b>	<b>-20,059.36</b>
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>111,480.68</b>	<b>131,995.25</b>	<b>0.00</b>	<b>455.21</b>	<b>-20,059.36</b>
<b>Withholding</b>		<b>Amount</b>				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

Robinhood Securities LLC

Account 516302080

**Summary Information**

2021

(continued)

02/11/2022

**INTEREST INCOME**

2021 1099-INT

OMB No. 1545-0112

**This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	202.32
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 516302080

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

02/11/2022

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAL 01/08/2021 CALL \$20.00 / CUSIP: / Symbol:							
01/08/21	9.000	0.00	12/29/20	27.00	...	-27.00	Option expiration- long call
AAPL 01/29/2021 CALL \$135.00 / CUSIP: / Symbol:							
01/20/21	1.000	237.98	01/12/21	276.00	...	-38.02	Option sale to close-call <sup>25</sup>
AAPL 02/05/2021 CALL \$135.00 / CUSIP: / Symbol:							
01/13/21	1.000	419.98	01/12/21	330.00	...	89.98	Option sale to close-call <sup>25</sup>
AAPL 02/19/2021 CALL \$150.00 / CUSIP: / Symbol:							
02/19/21	2.000	0.00	01/28/21	448.00	...	-448.00	Option expiration- long call
AAPL 04/16/2021 CALL \$130.00 / CUSIP: / Symbol:							
04/07/21	1.000	76.98	03/18/21	168.00	...	-91.02	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 516302080

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADBE 02/05/2021 CALL \$500.00 / CUSIP: / Symbol:							
01/28/21	1.000	154.98	01/27/21	135.00	...	19.98	Option sale to close-call <sup>25</sup>
AHT1 09/17/2021 CALL \$7.50 / CUSIP: / Symbol:							
09/17/21	5.000	0.00	06/28/21	500.00	...	-500.00	Option expiration- long call
AI 04/14/2022 CALL \$75.00 / CUSIP: / Symbol:							
09/03/21	1.000	449.98	09/02/21	380.00	...	69.98	Option sale to close-call <sup>25</sup>
AI 09/17/2021 CALL \$80.00 / CUSIP: / Symbol:							
2 transactions for 09/17/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	09/02/21	5.00	...	-5.00	1 of 2 - Option expiration- long call
	1.000	0.00	09/02/21	5.00	...	-5.00	2 of 2 - Option expiration- long call
09/17/21	2.000	0.00	Various	10.00	...	-10.00	Total of 2 transactions
AMC 02/12/2021 CALL \$40.00 / CUSIP: / Symbol:							
02/03/21	1.000	37.98	02/02/21	49.00	...	-11.02	Option sale to close-call <sup>25</sup>
AMC 02/19/2021 CALL \$10.00 / CUSIP: / Symbol:							
02/10/21	1.000	28.98	02/08/21	31.00	...	-2.02	Option sale to close-call <sup>25</sup>
AMC 02/19/2021 CALL \$5.00 / CUSIP: / Symbol:							
01/27/21	1.000	1,199.96	01/25/21	155.00	...	1,044.96	Option sale to close-call <sup>25</sup>
AMC 03/19/2021 CALL \$10.00 / CUSIP: / Symbol:							
02/10/21	1.000	116.98	02/08/21	84.00	...	32.98	Option sale to close-call <sup>25</sup>
02/23/21	2.000	131.98	02/08/21	168.00	...	-36.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>248.96</b>		<b>252.00</b>	...	<b>-3.04</b>	
AMC 03/19/2021 CALL \$15.00 / CUSIP: / Symbol:							
02/25/21	1.000	170.98	02/24/21	61.00	...	109.98	Option sale to close-call <sup>25</sup>
03/01/21	1.000	98.98	02/24/21	61.00	...	37.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>269.96</b>		<b>122.00</b>	...	<b>147.96</b>	
AMC 03/19/2021 CALL \$5.00 / CUSIP: / Symbol:							
01/26/21	1.000	189.98	01/26/21	193.00	...	-3.02	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 516302080

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC 06/11/2021 CALL \$60.00 / CUSIP: / Symbol:							
06/04/21	1.000	1,309.98	06/03/21	965.00	...	344.98	Option sale to close-call <sup>25</sup>
AMC 07/02/2021 CALL \$130.00 / CUSIP: / Symbol:							
<i>3 transactions for 07/02/21. Total proceeds and cost reported to the IRS.</i>							
	2.000	0.00	06/29/21	20.00	...	-20.00	1 of 3 - Option expiration- long call
	2.000	0.00	06/29/21	20.00	...	-20.00	2 of 3 - Option expiration- long call
	1.000	0.00	06/29/21	10.00	...	-10.00	3 of 3 - Option expiration- long call
07/02/21	5.000	0.00	Various	50.00	...	-50.00	Total of 3 transactions
AMD 01/15/2021 CALL \$100.00 / CUSIP: / Symbol:							
01/04/21	1.000	152.98	12/18/20	325.00	...	-172.02	Option sale to close-call <sup>25</sup>
AMD 01/22/2021 CALL \$95.00 / CUSIP: / Symbol:							
01/20/21	1.000	31.98	01/13/21	203.00	...	-171.02	Option sale to close-call <sup>25</sup>
AMD 01/29/2021 CALL \$95.00 / CUSIP: / Symbol:							
01/14/21	1.000	359.98	01/13/21	355.00	...	4.98	Option sale to close-call <sup>25</sup>
AMD 08/20/2021 PUT \$110.00 / CUSIP: / Symbol:							
08/05/21	1.000	299.98	08/03/21	305.00	...	-5.02	Option sale to close-put <sup>25</sup>
AMZN 01/15/2021 CALL \$3,400.00 / CUSIP: / Symbol:							
01/08/21	1.000	439.98	01/08/21	465.00	...	-25.02	Option sale to close-call <sup>25</sup>
AMZN 01/22/2021 CALL \$3,500.00 / CUSIP: / Symbol:							
01/14/21	1.000	304.98	01/14/21	310.00	...	-5.02	Option sale to close-call <sup>25</sup>
AMZN 02/19/2021 CALL \$4,000.00 / CUSIP: / Symbol:							
02/01/21	1.000	844.97	01/27/21	1,360.00	...	-515.03	Option sale to close-call <sup>25</sup>
ARVL 08/20/2021 CALL \$25.00 / CUSIP: / Symbol:							
<i>2 transactions for 08/20/21. Total proceeds and cost reported to the IRS.</i>							
	5.000	0.00	06/18/21	375.00	...	-375.00	1 of 2 - Option expiration- long call
	5.000	0.00	06/25/21	275.00	...	-275.00	2 of 2 - Option expiration- long call
08/20/21	10.000	0.00	Various	650.00	...	-650.00	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 516302080

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BA 08/20/2021 CALL \$250.00 / CUSIP: / Symbol:							
07/28/21	1.000	242.98	06/29/21	840.00	...	-597.02	Option sale to close-call <sup>25</sup>
BABA 02/05/2021 CALL \$280.00 / CUSIP: / Symbol:							
02/01/21	1.000	162.98	01/27/21	350.00	...	-187.02	Option sale to close-call <sup>25</sup>
BABA 08/20/2021 CALL \$220.00 / CUSIP: / Symbol:							
08/20/21	1.000	0.00	07/23/21	287.00	...	-287.00	Option expiration- long call
BABA 12/17/2021 CALL \$200.00 / CUSIP: / Symbol:							
07/28/21	1.000	1,624.98	07/27/21	1,380.00	...	244.98	Option sale to close-call <sup>25</sup>
BB 07/16/2021 CALL \$15.00 / CUSIP: / Symbol:							
06/28/21	4.000	167.98	06/25/21	148.00	...	19.98	Option sale to close-call <sup>25</sup>
07/16/21	1.000	0.00	06/25/21	37.00	...	-37.00	Option expiration- long call
	<b>Security total:</b>	<b>167.98</b>		<b>185.00</b>	...	<b>-17.02</b>	
BIGC 01/15/2021 CALL \$80.00 / CUSIP: / Symbol:							
01/05/21	1.000	29.98	12/18/20	430.00	...	-400.02	Option sale to close-call <sup>25</sup>
BIGC 01/21/2022 CALL \$70.00 / CUSIP: / Symbol:							
11/05/21	1.000	219.98	08/06/21	770.00	...	-550.02	Option sale to close-call <sup>25</sup>
BIGC 02/19/2021 CALL \$100.00 / CUSIP: / Symbol:							
01/28/21	1.000	509.97	01/28/21	435.00	...	74.97	Option sale to close-call <sup>25</sup>
02/08/21	1.000	246.98	02/05/21	238.00	...	8.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>756.95</b>		<b>673.00</b>	...	<b>83.95</b>	
BLNK 02/19/2021 CALL \$50.00 / CUSIP: / Symbol:							
02/03/21	1.000	654.97	02/02/21	565.00	...	89.97	Option sale to close-call <sup>25</sup>
BNGO 03/19/2021 CALL \$15.00 / CUSIP: / Symbol:							
02/12/21	1.000	274.98	02/04/21	202.00	...	72.98	Option sale to close-call <sup>25</sup>
BTWN 02/19/2021 CALL \$20.00 / CUSIP: / Symbol:							
01/14/21	1.000	190.98	01/11/21	110.00	...	80.98	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 516302080

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
CCIV 03/19/2021 CALL \$40.00 / CUSIP: / Symbol:							
02/02/21	1.000	839.97	02/02/21	640.00	...	199.97	Option sale to close-call <sup>25</sup>
02/26/21	1.000	250.98	02/24/21	195.00	...	55.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,090.95</b>		<b>835.00</b>	...	<b>255.95</b>	
CCIV 08/20/2021 CALL \$30.00 / CUSIP: / Symbol:							
06/23/21	2.000	393.98	06/15/21	386.00	...	7.98	Option sale to close-call <sup>25</sup>
CLOV 02/19/2021 CALL \$20.00 / CUSIP: / Symbol:							
01/26/21	1.000	84.98	01/13/21	96.00	...	-11.02	Option sale to close-call <sup>25</sup>
CLOV 03/19/2021 CALL \$12.50 / CUSIP: / Symbol:							
01/27/21	1.000	369.98	01/26/21	380.00	...	-10.02	Option sale to close-call <sup>25</sup>
CLOV 03/19/2021 CALL \$20.00 / CUSIP: / Symbol:							
02/09/21	1.000	85.98	02/04/21	85.00	...	0.98	Option sale to close-call <sup>25</sup>
CLOV 06/18/2021 CALL \$30.00 / CUSIP: / Symbol:							
06/18/21	1.000	0.00	06/09/21	205.00	...	-205.00	Option expiration- long call
CLOV 06/18/2021 PUT \$10.00 / CUSIP: / Symbol:							
	<i>2 transactions for 06/09/21. Total proceeds and cost reported to the IRS.</i>						
	3.000	62.99	06/08/21	75.00	...	-12.01	1 of 2 - Option sale to close-put <sup>25</sup>
	2.000	41.99	06/08/21	50.00	...	-8.01	2 of 2 - Option sale to close-put <sup>25</sup>
06/09/21	5.000	104.98	Various	125.00	...	-20.02	Total of 2 transactions
CLOV 07/30/2021 CALL \$15.00 / CUSIP: / Symbol:							
07/30/21	2.000	0.00	07/06/21	92.00	...	-92.00	Option expiration- long call
CLOV 09/10/2021 CALL \$13.00 / CUSIP: / Symbol:							
09/09/21	1.000	70.98	09/08/21	0.00	...	70.98	Short sale closed- call <sup>25</sup> Option written: 09/07/21

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 516302080

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CLOV 09/17/2021 CALL \$10.00 / CUSIP: / Symbol:							
2 transactions for 09/17/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	09/08/21	62.00	...	-62.00	1 of 2 - Option expiration- long call
	1.000	0.00	09/08/21	62.00	...	-62.00	2 of 2 - Option expiration- long call
09/17/21	2.000	0.00	Various	124.00	...	-124.00	Total of 2 transactions
CLOV 09/17/2021 CALL \$15.00 / CUSIP: / Symbol:							
2 transactions for 09/17/21. Total proceeds and cost reported to the IRS.							
	4.000	0.00	09/08/21	80.00	...	-80.00	1 of 2 - Option expiration- long call
	1.000	0.00	09/08/21	20.00	...	-20.00	2 of 2 - Option expiration- long call
09/17/21	5.000	0.00	Various	100.00	...	-100.00	Total of 2 transactions
CRBP 02/19/2021 CALL \$3.00 / CUSIP: / Symbol:							
01/15/21	10.000	109.97	01/14/21	100.00	...	9.97	Option sale to close-call <sup>25</sup>
CSCO 02/19/2021 CALL \$50.50 / CUSIP: / Symbol:							
02/08/21	1.000	80.98	02/04/21	46.00	...	34.98	Option sale to close-call <sup>25</sup>
CSCO 03/19/2021 CALL \$50.00 / CUSIP: / Symbol:							
03/05/21	11.000	87.97	02/16/21	286.00	...	-198.03	Option sale to close-call <sup>25</sup>
CVNA 01/15/2021 CALL \$300.00 / CUSIP: / Symbol:							
01/05/21	1.000	35.98	12/28/20	205.00	...	-169.02	Option sale to close-call <sup>25</sup>
CVNA 02/19/2021 CALL \$300.00 / CUSIP: / Symbol:							
01/28/21	1.000	489.97	01/27/21	350.00	...	139.97	Option sale to close-call <sup>25</sup>
DKNG 02/19/2021 CALL \$60.00 / CUSIP: / Symbol:							
02/12/21	1.000	216.98	02/11/21	182.00	...	34.98	Option sale to close-call <sup>25</sup>
02/17/21	1.000	60.98	02/11/21	182.00	...	-121.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>277.96</b>		<b>364.00</b>	...	<b>-86.04</b>	
DKNG 02/19/2021 CALL \$65.00 / CUSIP: / Symbol:							
02/17/21	1.000	6.98	02/10/21	231.02	...	-224.04	Option sale to close-call <sup>25</sup>
02/17/21	1.000	6.98	02/10/21	119.00	112.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>13.96</b>		<b>350.02</b>	<b>112.02 W</b>	<b>-224.04</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 516302080

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DPW 01/15/2021 CALL \$10.00 / CUSIP: / Symbol:							
01/07/21	1.000	4.98	12/28/20	40.00	...	-35.02	Option sale to close-call <sup>25</sup>
FB 01/29/2021 CALL \$300.00 / CUSIP: / Symbol:							
01/15/21	1.000	103.98	01/14/21	101.00	...	2.98	Option sale to close-call <sup>25</sup>
FB 02/19/2021 CALL \$300.00 / CUSIP: / Symbol:							
2 transactions for 02/02/21. Total proceeds and cost reported to the IRS.							
	2.000	139.99	01/28/21	396.00	...	-256.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	69.99	01/28/21	295.00	...	-225.01	2 of 2 - Option sale to close-call <sup>25</sup>
02/02/21	3.000	209.98	Various	691.00	...	-481.02	Total of 2 transactions
FB 09/10/2021 CALL \$455.00 / CUSIP: / Symbol:							
09/10/21	1.000	0.00	09/02/21	2.00	...	-2.00	Option expiration- long call
FSLY 02/19/2021 CALL \$120.00 / CUSIP: / Symbol:							
02/17/21	1.000	79.98	02/12/21	243.00	...	-163.02	Option sale to close-call <sup>25</sup>
FSR 02/19/2021 CALL \$20.00 / CUSIP: / Symbol:							
01/26/21	1.000	47.98	01/14/21	52.00	4.02 W	0.00	Option sale to close-call <sup>25</sup>
01/27/21	1.000	65.98	01/14/21	58.02	...	7.96	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>113.96</b>		<b>110.02</b>	<b>4.02 W</b>	<b>7.96</b>	
FSR 03/05/2021 PUT \$15.00 / CUSIP: / Symbol:							
03/04/21	5.000	19.98	02/24/21	80.00	...	-60.02	Option sale to close-put <sup>25</sup>
FSR 07/02/2021 CALL \$24.00 / CUSIP: / Symbol:							
5 transactions for 07/02/21. Total proceeds and cost reported to the IRS.							
	13.000	0.00	06/29/21	65.00	...	-65.00	1 of 5 - Option expiration- long call
	4.000	0.00	06/29/21	20.00	...	-20.00	2 of 5 - Option expiration- long call
	1.000	0.00	06/29/21	5.00	...	-5.00	3 of 5 - Option expiration- long call
	1.000	0.00	06/29/21	5.00	...	-5.00	4 of 5 - Option expiration- long call
	1.000	0.00	06/29/21	5.00	...	-5.00	5 of 5 - Option expiration- long call
07/02/21	20.000	0.00	Various	100.00	...	-100.00	Total of 5 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 516302080

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FSR 07/16/2021 CALL \$22.50 / CUSIP: / Symbol:							
3 transactions for 06/17/21. Total proceeds and cost reported to the IRS.							
	1.000	71.98	06/15/21	49.00	...	22.98	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	72.98	06/15/21	49.00	...	23.98	2 of 3 - Option sale to close-call <sup>25</sup>
	4.000	287.98	06/15/21	196.00	...	91.98	3 of 3 - Option sale to close-call <sup>25</sup>
06/17/21	6.000	432.94	Various	294.00	...	138.94	Total of 3 transactions
06/23/21	2.000	101.98	06/15/21	98.00	...	3.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>534.92</b>		<b>392.00</b>	...	<b>142.92</b>	
FSR 07/16/2021 CALL \$25.00 / CUSIP: / Symbol:							
2 transactions for 06/23/21. Total proceeds and cost reported to the IRS.							
	4.000	115.98	06/18/21	116.00	...	-0.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	29.00	06/18/21	29.00	...	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
06/23/21	5.000	144.98	Various	145.00	...	-0.02	Total of 2 transactions
FSR 07/30/2021 CALL \$20.00 / CUSIP: / Symbol:							
06/23/21	2.000	303.98	06/18/21	252.00	...	51.98	Option sale to close-call <sup>25</sup>
FSR 08/20/2021 CALL \$20.00 / CUSIP: / Symbol:							
2 transactions for 08/10/21. Total proceeds and cost reported to the IRS.							
	1.000	114.98	07/01/21	179.00	...	-64.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	137.98	07/01/21	179.00	...	-41.02	2 of 2 - Option sale to close-call <sup>25</sup>
08/10/21	2.000	252.96	Various	358.00	...	-105.04	Total of 2 transactions
FSR 11/26/2021 CALL \$30.00 / CUSIP: / Symbol:							
11/26/21	1.000	61.98	11/26/21	0.00	...	61.98	Short sale closed- call expired Option written: 11/16/21
FUBO 01/29/2021 CALL \$40.00 / CUSIP: / Symbol:							
01/21/21	1.000	109.98	01/14/21	310.00	...	-200.02	Option sale to close-call <sup>25</sup>
FUBO 02/19/2021 CALL \$40.00 / CUSIP: / Symbol:							
01/12/21	1.000	354.98	01/08/21	244.00	...	110.98	Option sale to close-call <sup>25</sup>
FUBO 02/19/2021 CALL \$60.00 / CUSIP: / Symbol:							
02/10/21	1.000	162.98	02/05/21	335.00	...	-172.02	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 516302080

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FVRR 11/19/2021 CALL \$200.00 / CUSIP: / Symbol:							
08/09/21	1.000	1,459.98	08/06/21	1,480.00	...	-20.02	Option sale to close-call <sup>25</sup>
GE 01/15/2021 CALL \$12.00 / CUSIP: / Symbol:							
01/06/21	5.000	69.98	12/18/20	95.00	...	-25.02	Option sale to close-call <sup>25</sup>
	<i>2 transactions for 01/07/21. Total proceeds and cost reported to the IRS.</i>						
	3.000	29.98	12/18/20	57.00	...	-27.02	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	19.98	12/18/20	38.00	...	-18.02	2 of 2 - Option sale to close-call <sup>25</sup>
01/07/21	5.000	49.96	Various	95.00	...	-45.04	Total of 2 transactions
	<b>Security total:</b>	<b>119.94</b>		<b>190.00</b>	...	<b>-70.06</b>	
GE 02/19/2021 CALL \$12.00 / CUSIP: / Symbol:							
01/05/21	4.000	123.98	12/18/20	184.00	...	-60.02	Option sale to close-call <sup>25</sup>
GE 03/19/2021 CALL \$13.00 / CUSIP: / Symbol:							
01/26/21	8.000	287.97	01/21/21	208.00	...	79.97	Option sale to close-call <sup>25</sup>
GE 06/18/2021 CALL \$10.00 / CUSIP: / Symbol:							
01/25/21	1.000	178.98	01/21/21	195.00	16.02 W	0.00	Option sale to close-call <sup>25</sup>
01/26/21	1.000	192.98	01/21/21	211.02	...	-18.04	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>371.96</b>		<b>406.02</b>	<b>16.02 W</b>	<b>-18.04</b>	
GME 02/12/2021 CALL \$55.00 / CUSIP: / Symbol:							
02/12/21	1.000	9.98	02/11/21	122.00	...	-112.02	Option sale to close-call <sup>25</sup>
GME 02/19/2021 CALL \$110.00 / CUSIP: / Symbol:							
02/10/21	1.000	225.98	02/09/21	192.00	...	33.98	Option sale to close-call <sup>25</sup>
GME 06/18/2021 CALL \$350.00 / CUSIP: / Symbol:							
	<i>2 transactions for 06/11/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	789.98	06/10/21	655.00	...	134.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	530.98	06/11/21	345.00	...	185.98	2 of 2 - Option sale to close-call <sup>25</sup>
06/11/21	2.000	1,320.96	Various	1,000.00	...	320.96	Total of 2 transactions
GME 06/25/2021 CALL \$400.00 / CUSIP: / Symbol:							
06/22/21	1.000	114.98	06/15/21	425.00	...	-310.02	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 516302080

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GPORQ 01/15/2021 CALL \$0.50 / CUSIP: / Symbol:							
01/15/21	2.000	0.00	11/18/20	10.00	...	-10.00	Option expiration- long call
HCA 01/29/2021 CALL \$190.00 / CUSIP: / Symbol:							
01/06/21	1.000	119.98	12/14/20	105.00	...	14.98	Option sale to close-call <sup>25</sup>
01/29/21	1.000	0.00	12/14/20	105.00	...	-105.00	Option expiration- long call
	<b>Security total:</b>	<b>119.98</b>		<b>210.00</b>	...	<b>-90.02</b>	
HCA 01/29/2021 CALL \$192.50 / CUSIP: / Symbol:							
01/06/21	1.000	89.98	12/22/20	65.00	...	24.98	Option sale to close-call <sup>25</sup>
01/29/21	3.000	0.00	12/22/20	195.00	...	-195.00	Option expiration- long call
	<b>Security total:</b>	<b>89.98</b>		<b>260.00</b>	...	<b>-170.02</b>	
HEXO 03/19/2021 CALL \$10.00 / CUSIP: / Symbol:							
02/08/21	2.000	163.98	01/27/21	94.00	...	69.98	Option sale to close-call <sup>25</sup>
02/09/21	1.000	139.98	01/27/21	47.00	...	92.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>303.96</b>		<b>141.00</b>	...	<b>162.96</b>	
HIMS 03/19/2021 CALL \$25.00 / CUSIP: / Symbol:							
02/26/21	1.000	14.98	02/17/21	140.00	...	-125.02	Option sale to close-call <sup>25</sup>
HYLN 02/19/2021 CALL \$21.00 / CUSIP: / Symbol:							
02/11/21	1.000	29.98	01/28/21	105.00	...	-75.02	Option sale to close-call <sup>25</sup>
HYLN 02/19/2021 CALL \$22.00 / CUSIP: / Symbol:							
02/11/21	1.000	249.98	01/28/21	98.00	...	151.98	Option sale to close-call <sup>25</sup>
HYLN 03/19/2021 CALL \$18.00 / CUSIP: / Symbol:							
01/27/21	1.000	324.98	01/26/21	292.00	...	32.98	Option sale to close-call <sup>25</sup>
IBM 01/22/2021 CALL \$135.00 / CUSIP: / Symbol:							
01/22/21	1.000	0.00	01/21/21	152.00	...	-152.00	Option expiration- long call

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 516302080

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IDEX 02/19/2021 CALL \$5.00 / CUSIP: / Symbol:							
2 transactions for 01/14/21. Total proceeds and cost reported to the IRS.							
	1.000	51.98	01/11/21	53.01	...	-1.03	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	41.99	01/11/21	37.00	...	4.99	2 of 2 - Option sale to close-call <sup>25</sup>
01/14/21	2.000	93.97	Various	90.01	...	3.96	Total of 2 transactions
01/14/21	1.000	41.99	01/08/21	58.00	16.01 W	0.00	Option sale to close-call <sup>25</sup>
01/15/21	2.000	103.98	01/11/21	74.00	...	29.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>239.94</b>		<b>222.01</b>	<b>16.01 W</b>	<b>33.94</b>	
IDEX 02/19/2021 CALL \$6.00 / CUSIP: / Symbol:							
01/05/21	2.000	21.98	12/22/20	40.00	...	-18.02	Option sale to close-call <sup>25</sup>
IPOC 01/15/2021 CALL \$20.00 / CUSIP: / Symbol:							
01/07/21	1.000	69.98	12/10/20	75.00	...	-5.02	Option sale to close-call <sup>25</sup>
IPOE 01/15/2021 PUT \$12.50 / CUSIP: / Symbol:							
01/15/21	1.000	0.00	01/07/21	5.00	...	-5.00	Option expiration- long put
IPOE 01/15/2021 PUT \$15.00 / CUSIP: / Symbol:							
01/11/21	5.000	24.98	01/07/21	125.00	...	-100.02	Option sale to close-put <sup>25</sup>
IPOE 02/19/2021 CALL \$30.00 / CUSIP: / Symbol:							
02/19/21	2.000	0.00	02/05/21	108.00	...	-108.00	Option expiration- long call
IPOE 03/19/2021 CALL \$30.00 / CUSIP: / Symbol:							
03/19/21	1.000	0.00	02/04/21	235.00	...	-235.00	Option expiration- long call
IPOF 02/19/2021 CALL \$20.00 / CUSIP: / Symbol:							
02/04/21	1.000	68.98	01/26/21	38.00	...	30.98	Option sale to close-call <sup>25</sup>
02/19/21	1.000	0.00	01/26/21	38.00	...	-38.00	Option expiration- long call
	<b>Security total:</b>	<b>68.98</b>		<b>76.00</b>	...	<b>-7.02</b>	
IPOF 03/19/2021 CALL \$20.00 / CUSIP: / Symbol:							
02/22/21	1.000	166.98	01/26/21	101.00	...	65.98	Option sale to close-call <sup>25</sup>
03/19/21	1.000	0.00	02/05/21	148.00	...	-148.00	Option expiration- long call
	<b>Security total:</b>	<b>166.98</b>		<b>249.00</b>	...	<b>-82.02</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 516302080

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
IPOF 04/16/2021 CALL \$20.00 / CUSIP: / Symbol:							
4 transactions for 01/07/21. Total proceeds and cost reported to the IRS.							
	1.000	124.98	01/07/21	85.00	...	39.98	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	124.98	01/07/21	85.00	...	39.98	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	129.98	01/07/21	85.00	...	44.98	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	134.98	01/07/21	85.00	...	49.98	4 of 4 - Option sale to close-call <sup>25</sup>
01/07/21	4.000	514.92	Various	340.00	...	174.92	Total of 4 transactions
2 transactions for 01/08/21. Total proceeds and cost reported to the IRS.							
	1.000	164.98	01/07/21	85.00	...	79.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	169.98	01/07/21	85.00	...	84.98	2 of 2 - Option sale to close-call <sup>25</sup>
01/08/21	2.000	334.96	Various	170.00	...	164.96	Total of 2 transactions
01/13/21	1.000	154.98	01/07/21	85.00	...	69.98	Option sale to close-call <sup>25</sup>
01/15/21	1.000	174.98	01/07/21	85.00	...	89.98	Option sale to close-call <sup>25</sup>
01/19/21	1.000	164.98	01/07/21	85.00	...	79.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,344.82</b>		<b>765.00</b>	...	<b>579.82</b>	
IPOF 07/16/2021 CALL \$15.00 / CUSIP: / Symbol:							
07/16/21	3.000	0.00	03/04/21	405.00	...	-405.00	Option expiration- long call
ITP 03/19/2021 CALL \$1.00 / CUSIP: / Symbol:							
03/09/21	9.000	62.97	02/25/21	171.00	...	-108.03	Option sale to close-call <sup>25</sup>
JMIA 09/17/2021 CALL \$25.00 / CUSIP: / Symbol:							
09/17/21	1.000	0.00	07/22/21	244.00	...	-244.00	Option expiration- long call
LMND 07/30/2021 CALL \$110.00 / CUSIP: / Symbol:							
06/17/21	1.000	449.98	06/16/21	360.00	...	89.98	Option sale to close-call <sup>25</sup>
LMND 09/17/2021 CALL \$90.00 / CUSIP: / Symbol:							
2 transactions for 09/01/21. Total proceeds and cost reported to the IRS.							
	1.000	99.98	08/12/21	185.00	85.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	104.98	08/12/21	270.02	165.04 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
09/01/21	2.000	204.96	Various	455.02	250.06 W	0.00	Total of 2 transactions
09/02/21	1.000	114.98	08/12/21	350.04	...	-235.06	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>319.94</b>		<b>805.06</b>	<b>250.06 W</b>	<b>-235.06</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 516302080

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MARA 01/15/2021 CALL \$25.00 / CUSIP: / Symbol:							
01/14/21	1.000	211.98	01/13/21	89.00	...	122.98	Option sale to close-call <sup>25</sup>
MARA 01/15/2021 CALL \$30.00 / CUSIP: / Symbol:							
01/12/21	1.000	116.98	01/11/21	213.00	...	-96.02	Option sale to close-call <sup>25</sup>
MARA 02/26/2021 CALL \$40.00 / CUSIP: / Symbol:							
02/24/21	1.000	64.98	02/23/21	20.00	...	44.98	Option sale to close-call <sup>25</sup>
02/25/21	1.000	108.98	02/23/21	20.00	...	88.98	Option sale to close-call <sup>25</sup>
02/26/21	1.000	0.00	02/23/21	20.00	...	-20.00	Option expiration- long call
<b>Security total:</b>		<b>173.96</b>		<b>60.00</b>	...	<b>113.96</b>	
MRNA 07/16/2021 CALL \$250.00 / CUSIP: / Symbol:							
	<i>2 transactions for 06/24/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	249.98	06/23/21	145.00	...	104.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	258.98	06/23/21	145.00	...	113.98	2 of 2 - Option sale to close-call <sup>25</sup>
06/24/21	2.000	508.96	Various	290.00	...	218.96	Total of 2 transactions
MRNA 08/13/2021 CALL \$450.00 / CUSIP: / Symbol:							
08/06/21	1.000	309.98	08/06/21	260.00	...	49.98	Option sale to close-call <sup>25</sup>
MRNA 08/13/2021 PUT \$400.00 / CUSIP: / Symbol:							
08/10/21	1.000	137.98	08/09/21	222.00	...	-84.02	Option sale to close-put <sup>25</sup>
MRNA 08/20/2021 CALL \$600.00 / CUSIP: / Symbol:							
08/10/21	1.000	509.98	08/10/21	300.00	...	209.98	Option sale to close-call <sup>25</sup>
MRNA 08/20/2021 PUT \$400.00 / CUSIP: / Symbol:							
08/10/21	1.000	489.98	08/09/21	480.00	...	9.98	Option sale to close-put <sup>25</sup>
MRNA 09/10/2021 CALL \$730.00 / CUSIP: / Symbol:							
	<i>2 transactions for 09/10/21. Total proceeds and cost reported to the IRS.</i>						
	8.000	0.00	09/02/21	8.00	...	-8.00	1 of 2 - Option expiration- long call
	1.000	0.00	09/02/21	1.00	...	-1.00	2 of 2 - Option expiration- long call
09/10/21	9.000	0.00	Various	9.00	...	-9.00	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 516302080

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
MRNA 12/10/2021 CALL \$300.00 / CUSIP: / Symbol:							
11/22/21	1.000	689.98	11/05/21	450.00	...	239.98	Option sale to close-call <sup>25</sup>
NFLX 02/05/2021 CALL \$600.00 / CUSIP: / Symbol:							
<i>2 transactions for 01/28/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	139.98	01/27/21	149.00	...	-9.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	140.98	01/27/21	149.00	...	-8.02	2 of 2 - Option sale to close-call <sup>25</sup>
01/28/21	2.000	280.96	Various	298.00	...	-17.04	Total of 2 transactions
NGA 01/15/2021 CALL \$30.00 / CUSIP: / Symbol:							
01/13/21	1.000	209.98	01/12/21	115.00	...	94.98	Option sale to close-call <sup>25</sup>
NIO 01/15/2021 CALL \$50.00 / CUSIP: / Symbol:							
01/07/21	1.000	574.97	12/22/20	490.00	...	84.97	Option sale to close-call <sup>25</sup>
NIO 01/15/2021 CALL \$60.00 / CUSIP: / Symbol:							
01/07/21	1.000	199.98	01/06/21	227.00	...	-27.02	Option sale to close-call <sup>25</sup>
NIO 02/19/2021 CALL \$60.00 / CUSIP: / Symbol:							
<i>2 transactions for 02/03/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	326.98	02/02/21	272.00	...	54.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	350.98	02/02/21	272.00	...	78.98	2 of 2 - Option sale to close-call <sup>25</sup>
02/03/21	2.000	677.96	Various	544.00	...	133.96	Total of 2 transactions
NIO 02/26/2021 CALL \$60.00 / CUSIP: / Symbol:							
02/26/21	3.000	0.00	02/17/21	528.00	...	-528.00	Option expiration- long call
NIO 03/05/2021 CALL \$50.00 / CUSIP: / Symbol:							
03/05/21	1.000	0.00	02/26/21	232.00	...	-232.00	Option expiration- long call
NIO 03/19/2021 CALL \$65.00 / CUSIP: / Symbol:							
02/09/21	1.000	711.97	02/04/21	530.00	...	181.97	Option sale to close-call <sup>25</sup>
NIO 04/16/2021 CALL \$50.00 / CUSIP: / Symbol:							
04/16/21	1.000	0.00	03/18/21	148.00	...	-148.00	Option expiration- long call
NIO 09/17/2021 CALL \$50.00 / CUSIP: / Symbol:							
09/17/21	1.000	0.00	07/22/21	310.00	...	-310.00	Option expiration- long call

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 516302080

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NNOX 02/12/2021 CALL \$90.00 / CUSIP: / Symbol:							
02/12/21	1.000	0.00	02/10/21	75.00	...	-75.00	Option expiration- long call
NVDA 01/22/2021 CALL \$550.00 / CUSIP: / Symbol:							
01/20/21	1.000	137.98	01/15/21	251.00	...	-113.02	Option sale to close-call <sup>25</sup>
PLTR 02/05/2021 CALL \$30.00 / CUSIP: / Symbol:							
01/19/21	1.000	169.98	01/15/21	159.00	...	10.98	Option sale to close-call <sup>25</sup>
PLTR 02/19/2021 CALL \$35.00 / CUSIP: / Symbol:							
02/03/21	1.000	258.98	02/02/21	174.00	...	84.98	Option sale to close-call <sup>25</sup>
02/05/21	1.000	321.98	02/02/21	174.00	...	147.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>580.96</b>		<b>348.00</b>	...	<b>232.96</b>	
PTON 02/12/2021 PUT \$135.00 / CUSIP: / Symbol:							
02/05/21	1.000	188.98	02/04/21	189.00	...	-0.02	Option sale to close-put <sup>25</sup>
PTON 02/19/2021 CALL \$160.00 / CUSIP: / Symbol:							
02/02/21	1.000	719.97	02/01/21	605.00	...	114.97	Option sale to close-call <sup>25</sup>
PYPL 02/19/2021 CALL \$300.00 / CUSIP: / Symbol:							
02/04/21	1.000	177.98	02/03/21	143.00	...	34.98	Option sale to close-call <sup>25</sup>
QS 03/19/2021 CALL \$60.00 / CUSIP: / Symbol:							
02/17/21	1.000	749.97	02/16/21	640.00	...	109.97	Option sale to close-call <sup>25</sup>
QS 08/20/2021 CALL \$30.00 / CUSIP: / Symbol:							
08/20/21	1.000	0.00	06/30/21	400.00	...	-400.00	Option expiration- long call
QS 08/20/2021 CALL \$40.00 / CUSIP: / Symbol:							
06/30/21	2.000	439.98	06/10/21	460.00	...	-20.02	Option sale to close-call <sup>25</sup>
RMO 08/13/2021 CALL \$7.50 / CUSIP: / Symbol:							
08/10/21	1.000	4.98	08/09/21	4.00	...	0.98	Option sale to close-call <sup>25</sup>
ROKU 09/10/2021 CALL \$700.00 / CUSIP: / Symbol:							
09/10/21	1.000	0.00	09/02/21	1.00	...	-1.00	Option expiration- long call

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 516302080

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SAVA 09/24/2021 CALL \$60.00 / CUSIP: / Symbol:							
2 transactions for 09/22/21. Total proceeds and cost reported to the IRS.							
	1.000	104.99	09/03/21	800.00	...	-695.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	104.99	09/03/21	680.00	...	-575.01	2 of 2 - Option sale to close-call <sup>25</sup>
09/22/21	2.000	209.98	Various	1,480.00	...	-1,270.02	Total of 2 transactions
SE 02/05/2021 CALL \$250.00 / CUSIP: / Symbol:							
2 transactions for 01/27/21. Total proceeds and cost reported to the IRS.							
	1.000	104.98	01/26/21	102.00	...	2.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	167.98	01/26/21	101.00	...	66.98	2 of 2 - Option sale to close-call <sup>25</sup>
01/27/21	2.000	272.96	Various	203.00	...	69.96	Total of 2 transactions
SKLZ 04/16/2021 CALL \$35.00 / CUSIP: / Symbol:							
3 transactions for 04/16/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	03/04/21	250.00	...	-250.00	1 of 3 - Option expiration- long call
	2.000	0.00	03/18/21	240.00	...	-240.00	2 of 3 - Option expiration- long call
	8.000	0.00	03/19/21	560.00	...	-560.00	3 of 3 - Option expiration- long call
04/16/21	11.000	0.00	Various	1,050.00	...	-1,050.00	Total of 3 transactions
SKLZ 08/20/2021 CALL \$25.00 / CUSIP: / Symbol:							
08/20/21	1.000	0.00	07/01/21	145.00	...	-145.00	Option expiration- long call
SKLZ 09/03/2021 CALL \$15.00 / CUSIP: / Symbol:							
2 transactions for 09/03/21. Total proceeds and cost reported to the IRS.							
	2.000	-4.02	09/02/21	0.00	...	-4.02	1 of 2 - Short sale closed- call <sup>25</sup> Option written: 09/02/21
	2.000	97.98	09/02/21	0.00	...	97.98	2 of 2 - Short sale closed- call <sup>25</sup> Option written: 09/01/21
09/03/21	4.000	93.96	Various	0.00	...	93.96	Total of 2 transactions
SKLZ 11/19/2021 CALL \$40.00 / CUSIP: / Symbol:							
06/28/21	3.000	479.98	06/14/21	450.00	...	29.98	Option sale to close-call <sup>25</sup>
11/19/21	2.000	0.00	06/14/21	300.00	...	-300.00	Option expiration- long call
	<b>Security total:</b>	<b>479.98</b>		<b>750.00</b>	...	<b>-270.02</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 516302080

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SKLZ 12/17/2021 CALL \$15.00 / CUSIP: / Symbol:							
07/23/21	1.000	289.98	07/22/21	310.00	...	-20.02	Option sale to close-call <sup>25</sup>
SOFI 11/12/2021 CALL \$25.00 / CUSIP: / Symbol:							
11/12/21	1.000	43.98	11/11/21	0.00	...	43.98	Short sale closed- call <sup>25</sup> Option written: 11/01/21
SOLO 02/19/2021 CALL \$10.00 / CUSIP: / Symbol:							
01/15/21	1.000	120.98	01/13/21	114.00	...	6.98	Option sale to close-call <sup>25</sup>
SOS 02/19/2021 CALL \$5.00 / CUSIP: / Symbol:							
<i>5 transactions for 01/11/21. Total proceeds and cost reported to the IRS.</i>							
	2.000	51.98	01/08/21	50.00	...	1.98	1 of 5 - Option sale to close-call <sup>25</sup>
	2.000	51.98	01/08/21	50.00	...	1.98	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	39.98	01/08/21	25.00	...	14.98	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	39.98	01/08/21	25.00	...	14.98	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	39.98	01/08/21	25.00	...	14.98	5 of 5 - Option sale to close-call <sup>25</sup>
01/11/21	7.000	223.90	Various	175.00	...	48.90	Total of 5 transactions
SOS 03/19/2021 CALL \$5.00 / CUSIP: / Symbol:							
02/11/21	1.000	241.98	02/09/21	70.00	...	171.98	Option sale to close-call <sup>25</sup>
02/16/21	1.000	649.97	02/09/21	70.00	...	579.97	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>891.95</b>		<b>140.00</b>	...	<b>751.95</b>	
SOS 03/19/2021 CALL \$7.50 / CUSIP: / Symbol:							
02/26/21	1.000	59.98	02/25/21	140.00	...	-80.02	Option sale to close-call <sup>25</sup>
SPCE 07/02/2021 CALL \$50.00 / CUSIP: / Symbol:							
07/02/21	1.000	0.00	06/29/21	171.00	...	-171.00	Option expiration- long call
SPCE 09/10/2021 CALL \$30.00 / CUSIP: / Symbol:							
09/03/21	1.000	-3.02	09/02/21	0.00	...	-3.02	Short sale closed- call <sup>25</sup> Option written: 08/31/21

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 516302080

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPCE 09/17/2021 CALL \$35.00 / CUSIP: / Symbol:							
<i>3 transactions for 08/31/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	28.00	08/10/21	209.00	...	-181.00	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	28.00	08/10/21	209.00	...	-181.00	2 of 3 - Option sale to close-call <sup>25</sup>
	5.000	139.98	08/11/21	505.00	...	-365.02	3 of 3 - Option sale to close-call <sup>25</sup>
08/31/21	7.000	195.98	Various	923.00	...	-727.02	Total of 3 transactions
SPY 01/29/2021 CALL \$382.00 / CUSIP: / Symbol:							
01/28/21	1.000	94.98	01/27/21	91.00	...	3.98	Option sale to close-call <sup>25</sup>
SQ 04/16/2021 CALL \$290.00 / CUSIP: / Symbol:							
04/08/21	1.000	30.98	03/18/21	234.00	...	-203.02	Option sale to close-call <sup>25</sup>
TEVA 06/18/2021 CALL \$14.00 / CUSIP: / Symbol:							
02/09/21	1.000	115.98	02/04/21	109.00	...	6.98	Option sale to close-call <sup>25</sup>
TEVA 06/18/2021 CALL \$15.00 / CUSIP: / Symbol:							
02/26/21	3.000	77.98	02/10/21	189.00	...	-111.02	Option sale to close-call <sup>25</sup>
TLRY 02/19/2021 CALL \$55.00 / CUSIP: / Symbol:							
02/19/21	1.000	0.00	02/11/21	287.00	...	-287.00	Option expiration- long call
TSLA 02/19/2021 CALL \$900.00 / CUSIP: / Symbol:							
02/19/21	1.000	0.00	02/10/21	715.00	...	-715.00	Option expiration- long call
TSLA 02/26/2021 CALL \$750.00 / CUSIP: / Symbol:							
02/23/21	1.000	634.97	02/23/21	276.00	...	358.97	Option sale to close-call <sup>25</sup>
TSLA 02/26/2021 CALL \$800.00 / CUSIP: / Symbol:							
02/26/21	1.000	0.00	02/22/21	218.00	...	-218.00	Option expiration- long call
TSLA 03/05/2021 CALL \$800.00 / CUSIP: / Symbol:							
03/05/21	1.000	0.00	02/25/21	385.00	...	-385.00	Option expiration- long call
TSLA 03/05/2021 CALL \$815.00 / CUSIP: / Symbol:							
02/24/21	1.000	574.97	02/23/21	390.00	...	184.97	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 516302080

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
TSLA 07/02/2021 CALL \$700.00 / CUSIP: / Symbol:							
06/17/21	1.000	260.98	06/15/21	288.00	...	-27.02	Option sale to close-call <sup>25</sup>
TSLA 08/20/2021 CALL \$750.00 / CUSIP: / Symbol:							
07/26/21	1.000	899.98	07/21/21	940.00	40.02 W	0.00	Option sale to close-call <sup>25</sup>
<i>2 transactions for 07/29/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	593.98	07/27/21	555.02	...	38.96	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	689.98	07/27/21	355.00	...	334.98	2 of 2 - Option sale to close-call <sup>25</sup>
07/29/21	2.000	1,283.96	Various	910.02	...	373.94	Total of 2 transactions
	<b>Security total:</b>	<b>2,183.94</b>		<b>1,850.02</b>	<b>40.02 W</b>	<b>373.94</b>	
TSLA 08/20/2021 CALL \$800.00 / CUSIP: / Symbol:							
<i>2 transactions for 08/09/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	176.98	08/06/21	148.00	...	28.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	236.98	08/06/21	148.00	...	88.98	2 of 2 - Option sale to close-call <sup>25</sup>
08/09/21	2.000	413.96	Various	296.00	...	117.96	Total of 2 transactions
TTD 04/16/2021 CALL \$900.00 / CUSIP: / Symbol:							
03/19/21	1.000	669.98	03/18/21	650.00	...	19.98	Option sale to close-call <sup>25</sup>
UPS 02/05/2021 CALL \$165.00 / CUSIP: / Symbol:							
01/20/21	1.000	287.98	01/19/21	275.00	...	12.98	Option sale to close-call <sup>25</sup>
UPS 02/05/2021 CALL \$170.00 / CUSIP: / Symbol:							
01/11/21	1.000	228.98	01/08/21	224.00	...	4.98	Option sale to close-call <sup>25</sup>
01/12/21	1.000	364.98	01/08/21	224.00	...	140.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>593.96</b>		<b>448.00</b>	...	<b>145.96</b>	
UWMC 02/19/2021 CALL \$15.00 / CUSIP: / Symbol:							
<i>2 transactions for 01/27/21. Total proceeds and cost reported to the IRS.</i>							
	2.000	119.98	01/20/21	132.00	...	-12.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	64.98	01/20/21	66.00	...	-1.02	2 of 2 - Option sale to close-call <sup>25</sup>
01/27/21	3.000	184.96	Various	198.00	...	-13.04	Total of 2 transactions
UWMC 02/19/2021 CALL \$17.50 / CUSIP: / Symbol:							
01/27/21	1.000	39.98	01/20/21	45.00	...	-5.02	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 516302080

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UWMC 03/19/2021 CALL \$20.00 / CUSIP: / Symbol:							
2 transactions for 01/27/21. Total proceeds and cost reported to the IRS.							
	1.000	35.98	01/05/21	120.00	...	-84.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	35.98	01/05/21	120.00	...	-84.02	2 of 2 - Option sale to close-call <sup>25</sup>
01/27/21	2.000	71.96	Various	240.00	...	-168.04	Total of 2 transactions
VGAC 02/19/2021 CALL \$20.00 / CUSIP: / Symbol:							
02/19/21	1.000	0.00	02/05/21	90.00	...	-90.00	Option expiration- long call
VGAC 03/19/2021 CALL \$15.00 / CUSIP: / Symbol:							
02/04/21	1.000	329.98	02/04/21	195.00	...	134.98	Option sale to close-call <sup>25</sup>
VLDR 02/19/2021 CALL \$30.00 / CUSIP: / Symbol:							
01/26/21	2.000	439.98	01/14/21	320.00	...	119.98	Option sale to close-call <sup>25</sup>
W 02/05/2021 CALL \$325.00 / CUSIP: / Symbol:							
01/27/21	1.000	439.98	01/26/21	235.00	...	204.98	Option sale to close-call <sup>25</sup>
W 02/19/2021 CALL \$360.00 / CUSIP: / Symbol:							
01/21/21	1.000	629.97	01/20/21	490.00	...	139.97	Option sale to close-call <sup>25</sup>
W 07/16/2021 CALL \$350.00 / CUSIP: / Symbol:							
2 transactions for 06/22/21. Total proceeds and cost reported to the IRS.							
	1.000	204.98	06/18/21	210.00	...	-5.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	204.98	06/18/21	210.00	...	-5.02	2 of 2 - Option sale to close-call <sup>25</sup>
06/22/21	2.000	409.96	Various	420.00	...	-10.04	Total of 2 transactions
W 07/30/2021 CALL \$350.00 / CUSIP: / Symbol:							
06/22/21	1.000	389.98	06/21/21	360.00	...	29.98	Option sale to close-call <sup>25</sup>
2 transactions for 06/30/21. Total proceeds and cost reported to the IRS.							
	1.000	429.98	06/29/21	310.00	...	119.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	429.98	06/29/21	310.00	...	119.98	2 of 2 - Option sale to close-call <sup>25</sup>
06/30/21	2.000	859.96	Various	620.00	...	239.96	Total of 2 transactions
07/30/21	1.000	0.00	07/01/21	381.00	...	-381.00	Option expiration- long call
<b>Security total:</b>		<b>1,249.94</b>		<b>1,361.00</b>	...	<b>-111.00</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 516302080

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
W 08/20/2021 CALL \$300.00 / CUSIP: / Symbol:							
3 transactions for 08/05/21. Total proceeds and cost reported to the IRS.							
	1.000	659.98	07/29/21	580.00	...	79.98	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	579.98	07/30/21	275.00	...	304.98	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	619.98	07/30/21	275.00	...	344.98	3 of 3 - Option sale to close-call <sup>25</sup>
08/05/21	3.000	1,859.94	Various	1,130.00	...	729.94	Total of 3 transactions
2 transactions for 08/06/21. Total proceeds and cost reported to the IRS.							
	1.000	159.99	08/06/21	95.00	...	64.99	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	319.99	08/06/21	250.00	...	69.99	2 of 2 - Option sale to close-call <sup>25</sup>
08/06/21	3.000	479.98	Various	345.00	...	134.98	Total of 2 transactions
2 transactions for 08/09/21. Total proceeds and cost reported to the IRS.							
	1.000	114.98	08/06/21	95.00	...	19.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	174.98	08/06/21	95.00	...	79.98	2 of 2 - Option sale to close-call <sup>25</sup>
08/09/21	2.000	289.96	Various	190.00	...	99.96	Total of 2 transactions
	<b>Security total:</b>	<b>2,629.88</b>		<b>1,665.00</b>	...	<b>964.88</b>	
WDAY 03/19/2021 CALL \$350.00 / CUSIP: / Symbol:							
2 transactions for 02/11/21. Total proceeds and cost reported to the IRS.							
	1.000	101.98	02/10/21	107.00	...	-5.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	104.98	02/10/21	107.00	...	-2.02	2 of 2 - Option sale to close-call <sup>25</sup>
02/11/21	2.000	206.96	Various	214.00	...	-7.04	Total of 2 transactions
WISH 07/16/2021 CALL \$20.00 / CUSIP: / Symbol:							
07/16/21	3.000	0.00	06/28/21	366.00	...	-366.00	Option expiration- long call
WISH 07/30/2021 CALL \$20.00 / CUSIP: / Symbol:							
07/30/21	1.000	0.00	06/29/21	134.00	...	-134.00	Option expiration- long call
WKHS 04/16/2021 CALL \$20.00 / CUSIP: / Symbol:							
02/25/21	1.000	216.98	02/24/21	211.00	...	5.98	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 516302080

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
XL 01/15/2021 CALL \$25.00 / CUSIP: / Symbol:							
2 transactions for 01/04/21. Total proceeds and cost reported to the IRS.							
	2.000	233.98	01/04/21	306.00	...	-72.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	116.98	01/04/21	153.00	...	-36.02	2 of 2 - Option sale to close-call <sup>25</sup>
01/04/21	3.000	350.96	Various	459.00	...	-108.04	Total of 2 transactions
XL 01/15/2021 CALL \$40.00 / CUSIP: / Symbol:							
01/05/21	1.000	19.98	12/28/20	125.00	...	-105.02	Option sale to close-call <sup>25</sup>
XL 02/19/2021 CALL \$25.00 / CUSIP: / Symbol:							
01/26/21	1.000	199.98	01/15/21	235.00	...	-35.02	Option sale to close-call <sup>25</sup>
XL 03/19/2021 CALL \$15.00 / CUSIP: / Symbol:							
03/11/21	2.000	79.98	03/05/21	58.00	...	21.98	Option sale to close-call <sup>25</sup>
03/15/21	1.000	35.98	03/05/21	29.00	...	6.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>115.96</b>		<b>87.00</b>	...	<b>28.96</b>	
XL 03/19/2021 CALL \$20.00 / CUSIP: / Symbol:							
03/02/21	2.000	175.98	02/23/21	98.00	...	77.98	Option sale to close-call <sup>25</sup>
2 transactions for 03/19/21. Total proceeds and cost reported to the IRS.							
	3.000	0.00	02/23/21	147.00	...	-147.00	1 of 2 - Option expiration- long call
	1.000	0.00	03/05/21	12.00	...	-12.00	2 of 2 - Option expiration- long call
03/19/21	4.000	0.00	Various	159.00	...	-159.00	Total of 2 transactions
	<b>Security total:</b>	<b>175.98</b>		<b>257.00</b>	...	<b>-81.02</b>	
XL 04/16/2021 CALL \$20.00 / CUSIP: / Symbol:							
04/16/21	3.000	0.00	03/04/21	285.00	...	-285.00	Option expiration- long call
ZM 01/08/2021 CALL \$400.00 / CUSIP: / Symbol:							
01/05/21	1.000	79.98	01/04/21	170.00	...	-90.02	Option sale to close-call <sup>25</sup>
ZM 02/19/2021 CALL \$450.00 / CUSIP: / Symbol:							
02/04/21	1.000	244.98	02/03/21	300.00	...	-55.02	Option sale to close-call <sup>25</sup>
ZM 02/26/2021 CALL \$500.00 / CUSIP: / Symbol:							
02/26/21	1.000	0.00	02/17/21	190.00	...	-190.00	Option expiration- long call

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 516302080

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ZM 03/19/2021 CALL \$460.00 / CUSIP: / Symbol:							
03/19/21	1.000	0.00	03/03/21	160.00	...	-160.00	Option expiration- long call
ZM 03/19/2021 CALL \$500.00 / CUSIP: / Symbol:							
03/19/21	1.000	0.00	03/02/21	300.00	...	-300.00	Option expiration- long call
ZM 08/20/2021 CALL \$400.00 / CUSIP: / Symbol:							
08/20/21	3.000	0.00	08/11/21	345.00	...	-345.00	Option expiration- long call
ZM 11/19/2021 CALL \$400.00 / CUSIP: / Symbol:							
	<i>2 transactions for 09/01/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	229.98	08/31/21	200.00	...	29.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	239.98	08/31/21	200.00	...	39.98	2 of 2 - Option sale to close-call <sup>25</sup>
09/01/21	2.000	469.96	Various	400.00	...	69.96	Total of 2 transactions
09/03/21	1.000	184.98	08/31/21	200.00	...	-15.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>654.94</b>		<b>600.00</b>	...	<b>54.94</b>	
ATERIAN, INC. COMMON STOCK / CUSIP: 02156U101 / Symbol:							
08/12/21	250.000	1,102.48	08/11/21	998.90	...	103.58	Sale <sup>25</sup>
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol:							
	<i>6 transactions for 06/15/21. Total proceeds and cost reported to the IRS.</i>						
	0.030	102.31	05/07/21	100.00	...	2.31	1 of 6 - Sale <sup>25</sup>
	0.031	105.26	05/14/21	100.00	...	5.26	2 of 6 - Sale <sup>25</sup>
	0.031	105.24	05/21/21	100.00	...	5.24	3 of 6 - Sale <sup>25</sup>
	0.031	104.57	05/28/21	100.00	...	4.57	4 of 6 - Sale <sup>25</sup>
	0.031	105.13	06/04/21	100.00	...	5.13	5 of 6 - Sale <sup>25</sup>
	0.030	101.25	06/11/21	100.00	...	1.25	6 of 6 - Sale <sup>25</sup>
06/15/21	0.185	623.76	Various	600.00	...	23.76	Total of 6 transactions
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
	<i>7 transactions for 06/16/21. Total proceeds and cost reported to the IRS.</i>						
	0.036	4.70	05/07/21	4.75	...	-0.05	1 of 7 - Sale <sup>25</sup>
	0.787	101.38	05/14/21	100.00	...	1.38	2 of 7 - Sale <sup>25</sup>
	0.064	8.20	05/21/21	8.01	...	0.19	3 of 7 - Sale <sup>25</sup>
	0.731	94.17	05/21/21	93.06	...	1.11	4 of 7 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 516302080

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd)							
	0.800	103.11	05/28/21	100.00	...	3.11	5 of 7 - Sale <sup>25</sup>
	0.796	102.50	06/04/21	100.00	...	2.50	6 of 7 - Sale <sup>25</sup>
	0.786	101.22	06/11/21	100.00	...	1.22	7 of 7 - Sale <sup>25</sup>
06/16/21	4.000	515.28	Various	505.82	...	9.46	Total of 7 transactions
06/16/21	0.731	94.18	05/07/21	95.25	1.07 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>609.46</b>		<b>601.07</b>	<b>1.07 W</b>	<b>9.46</b>	
C3.AI, INC. / CUSIP: 12468P104 / Symbol:							
3 transactions for 08/09/21. Total proceeds and cost reported to the IRS.							
	10.000	511.10	07/19/21	496.12	...	14.98	1 of 3 - Sale <sup>25</sup>
	10.000	511.10	07/23/21	515.34	...	-4.24	2 of 3 - Sale <sup>25</sup>
	5.000	255.55	07/23/21	259.02	...	-3.47	3 of 3 - Sale <sup>25</sup>
08/09/21	25.000	1,277.75	Various	1,270.48	...	7.27	Total of 3 transactions
4 transactions for 12/03/21. Total proceeds and cost reported to the IRS.							
	43.000	1,229.02	11/22/21	1,669.69	...	-440.67	1 of 4 - Sale <sup>25</sup>
	14.000	403.48	11/22/21	543.62	...	-140.14	2 of 4 - Sale <sup>25</sup>
	0.713	20.57	11/22/21	27.69	...	-7.12	3 of 4 - Sale <sup>25</sup>
	0.379	10.84	11/22/21	14.72	...	-3.88	4 of 4 - Sale <sup>25</sup>
12/03/21	58.092	1,663.91	Various	2,255.72	...	-591.81	Total of 4 transactions
12/22/21	41.908	1,398.87	11/22/21	1,627.28	...	-228.41	Sale <sup>25</sup>
	<b>Security total:</b>	<b>4,340.53</b>		<b>5,153.48</b>	...	<b>-812.95</b>	
CEL-SCI CORPORATION / CUSIP: 150837607 / Symbol:							
07/01/21	150.000	1,360.47	06/30/21	1,291.17	...	69.30	Sale <sup>25</sup>
CHARGEPOINT HOLDINGS, INC. / CUSIP: 15961R105 / Symbol:							
2 transactions for 08/09/21. Total proceeds and cost reported to the IRS.							
	25.000	639.52	07/23/21	575.21	...	64.31	1 of 2 - Sale <sup>25</sup>
	25.000	641.53	07/23/21	575.21	...	66.32	2 of 2 - Sale <sup>25</sup>
08/09/21	50.000	1,281.05	Various	1,150.42	...	130.63	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 516302080

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CLEAN ENERGY FUELS CORP. COMMON STOCK / CUSIP: 184499101 / Symbol:							
08/09/21	50.000	387.50	07/19/21	363.42	...	24.08	Sale <sup>25</sup>
	2 transactions for 09/29/21. Total proceeds and cost reported to the IRS.						
	50.000	410.99	07/19/21	363.41	...	47.58	1 of 2 - Sale <sup>25</sup>
	50.000	410.99	07/23/21	376.89	...	34.10	2 of 2 - Sale <sup>25</sup>
09/29/21	100.000	821.98	Various	740.30	...	81.68	Total of 2 transactions
	<b>Security total:</b>	<b>1,209.48</b>		<b>1,103.72</b>	...	<b>105.76</b>	
CLOVER HEALTH INVESTMENTS, CORP. CLASS A COMMON STOCK / CUSIP: 18914F103 / Symbol:							
	2 transactions for 12/03/21. Total proceeds and cost reported to the IRS.						
	100.000	428.55	07/19/21	802.75	...	-374.20	1 of 2 - Sale <sup>25</sup>
	100.000	428.56	08/11/21	812.81	...	-384.25	2 of 2 - Sale <sup>25</sup>
12/03/21	200.000	857.11	Various	1,615.56	...	-758.45	Total of 2 transactions
CONTEXTLOGIC INC. CLASS A COMMON STOCK / CUSIP: 21077C107 / Symbol:							
08/09/21	50.000	524.49	07/23/21	465.36	...	59.13	Sale <sup>25</sup>
	7 transactions for 12/03/21. Total proceeds and cost reported to the IRS.						
	50.000	160.74	07/23/21	465.36	...	-304.62	1 of 7 - Sale <sup>25</sup>
	100.000	321.49	07/27/21	906.29	...	-584.80	2 of 7 - Sale <sup>25</sup>
	50.000	160.74	07/27/21	452.25	...	-291.51	3 of 7 - Sale <sup>25</sup>
	50.000	160.74	08/11/21	495.00	...	-334.26	4 of 7 - Sale <sup>25</sup>
	200.000	642.17	08/12/21	1,554.00	...	-911.83	5 of 7 - Sale <sup>25</sup>
	50.000	160.74	08/12/21	480.25	...	-319.51	6 of 7 - Sale <sup>25</sup>
	250.000	802.72	08/13/21	1,709.28	...	-906.56	7 of 7 - Sale <sup>25</sup>
12/03/21	750.000	2,409.34	Various	6,062.43	...	-3,653.09	Total of 7 transactions
	<b>Security total:</b>	<b>2,933.83</b>		<b>6,527.79</b>	...	<b>-3,593.96</b>	
COUPANG, INC. / CUSIP: 22266T109 / Symbol:							
08/13/21	50.000	1,794.49	08/12/21	1,710.57	...	83.92	Sale <sup>25</sup>
DOCUMENT SECURITY SYSTEMS, INC. / CUSIP: 25614T309 / Symbol:							
09/08/21	500.000	699.93	07/23/21	725.00	...	-25.07	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 516302080

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EVGO INC. CLASS A COMMON STOCK / CUSIP: 30052F100 / Symbol:							
08/09/21	50.000	519.02	08/02/21	520.50	1.48 W	0.00	Sale <sup>25</sup>
	<i>2 transactions for 11/08/21. Total proceeds and cost reported to the IRS.</i>						
	50.000	690.04	08/02/21	520.50	...	169.54	1 of 2 - Sale <sup>25</sup>
	25.000	344.34	08/11/21	265.71	...	78.63	2 of 2 - Sale <sup>25</sup>
11/08/21	75.000	1,034.38	Various	786.21	...	248.17	Total of 2 transactions
11/09/21	25.000	442.50	08/11/21	265.71	...	176.79	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,995.90</b>		<b>1,572.42</b>	<b>1.48 W</b>	<b>424.96</b>	
META PLATFORMS, INC. CLASS A C OMMON STOCK / CUSIP: 30303M102 / Symbol:							
	<i>6 transactions for 06/15/21. Total proceeds and cost reported to the IRS.</i>						
	0.313	105.40	05/07/21	100.00	...	5.40	1 of 6 - Sale <sup>25</sup>
	0.318	107.38	05/14/21	100.00	...	7.38	2 of 6 - Sale <sup>25</sup>
	0.314	105.95	05/21/21	100.00	...	5.95	3 of 6 - Sale <sup>25</sup>
	0.302	101.90	05/28/21	100.00	...	1.90	4 of 6 - Sale <sup>25</sup>
	0.302	101.90	06/04/21	100.00	...	1.90	5 of 6 - Sale <sup>25</sup>
	0.303	102.08	06/11/21	100.00	...	2.08	6 of 6 - Sale <sup>25</sup>
06/15/21	1.852	624.61	Various	600.00	...	24.61	Total of 6 transactions
FASTLY, INC. / CUSIP: 31188V100 / Symbol:							
08/05/21	50.000	1,928.99	08/04/21	1,810.00	...	118.99	Sale <sup>25</sup>
FISKER INC. / CUSIP: 33813J106 / Symbol:							
12/22/21	100.000	1,702.39	08/12/21	1,573.50	...	128.89	Sale <sup>25</sup>
FOREST ROAD ACQUISITION CORP. / CUSIP: 34619R102 / Symbol:							
06/10/21	200.000	2,049.96	06/09/21	2,094.00	...	-44.04	Sale <sup>25</sup>
FUBOTV INC. / CUSIP: 35953D104 / Symbol:							
12/03/21	10.814	183.49	11/10/21	279.71	...	-96.22	Sale <sup>25</sup>
	<i>2 transactions for 12/22/21. Total proceeds and cost reported to the IRS.</i>						
	89.000	1,471.30	11/10/21	2,302.11	...	-830.81	1 of 2 - Sale <sup>25</sup>
	0.186	3.08	11/10/21	4.82	...	-1.74	2 of 2 - Sale <sup>25</sup>
12/22/21	89.186	1,474.38	Various	2,306.93	...	-832.55	Total of 2 transactions
	<b>Security total:</b>	<b>1,657.87</b>		<b>2,586.64</b>	...	<b>-928.77</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 516302080

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
THE GEO GROUP, INC. / CUSIP: 36162J106 / Symbol:							
2 transactions for 09/29/21. Total proceeds and cost reported to the IRS.							
	50.000	377.50	07/19/21	319.91	...	57.59	1 of 2 - Sale <sup>25</sup>
	50.000	377.49	07/23/21	331.50	...	45.99	2 of 2 - Sale <sup>25</sup>
09/29/21	100.000	754.99	Various	651.41	...	103.58	Total of 2 transactions
GAOTU TECHEDU INC. AMERICAN DE POSITARY SHARES, THREE OF WHIC H REPRESENTING TWO CLASS A ORD / CUSIP: 36257Y109 / Symbol:							
09/29/21	300.000	894.64	07/23/21	1,173.00	...	-278.36	Sale <sup>25</sup>
HIMS & HERS HEALTH, INC. / CUSIP: 433000106 / Symbol:							
07/21/21	300.000	2,621.97	07/20/21	2,619.00	...	2.97	Sale <sup>25</sup>
HYLIION HOLDINGS CORP. / CUSIP: 449109107 / Symbol:							
08/09/21	50.000	498.50	07/19/21	451.94	...	46.56	Sale <sup>25</sup>
3 transactions for 11/22/21. Total proceeds and cost reported to the IRS.							
	48.000	333.60	07/23/21	451.68	...	-118.08	1 of 3 - Sale <sup>25</sup>
	2.000	13.90	07/23/21	18.82	...	-4.92	2 of 3 - Sale <sup>25</sup>
	50.000	347.49	08/11/21	460.97	...	-113.48	3 of 3 - Sale <sup>25</sup>
11/22/21	100.000	694.99	Various	931.47	...	-236.48	Total of 3 transactions
	<b>Security total:</b>	<b>1,193.49</b>		<b>1,383.41</b>	...	<b>-189.92</b>	
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol:							
2 transactions for 12/03/21. Total proceeds and cost reported to the IRS.							
	300.000	417.47	07/19/21	695.43	...	-277.96	1 of 2 - Sale <sup>25</sup>
	200.000	278.31	07/23/21	495.00	...	-216.69	2 of 2 - Sale <sup>25</sup>
12/03/21	500.000	695.78	Various	1,190.43	...	-494.65	Total of 2 transactions
INSEEGO CORP. COMMON STOCK / CUSIP: 45782B104 / Symbol:							
2 transactions for 03/18/21. Total proceeds and cost reported to the IRS.							
	10.000	102.42	03/03/21	96.50	...	5.92	1 of 2 - Sale <sup>25</sup>
	190.000	1,945.57	03/03/21	1,833.50	...	112.07	2 of 2 - Sale <sup>25</sup>
03/18/21	200.000	2,047.99	Various	1,930.00	...	117.99	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 516302080

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OPENDOOR TECHNOLOGIES INC COMM ON STOCK / CUSIP: 683712103 / Symbol:							
2 transactions for 08/09/21. Total proceeds and cost reported to the IRS.							
	50.000	756.72	07/19/21	699.96	...	56.76	1 of 2 - Sale <sup>25</sup>
	25.000	382.55	07/23/21	351.50	...	31.05	2 of 2 - Sale <sup>25</sup>
08/09/21	75.000	1,139.27	Various	1,051.46	...	87.81	Total of 2 transactions
2 transactions for 08/12/21. Total proceeds and cost reported to the IRS.							
	25.000	451.00	07/23/21	351.50	...	99.50	1 of 2 - Sale <sup>25</sup>
	25.000	450.99	08/11/21	362.63	...	88.36	2 of 2 - Sale <sup>25</sup>
08/12/21	50.000	901.99	Various	714.13	...	187.86	Total of 2 transactions
2 transactions for 12/22/21. Total proceeds and cost reported to the IRS.							
	100.000	1,473.24	11/09/21	2,100.81	...	-627.57	1 of 2 - Sale <sup>25</sup>
	150.000	2,209.86	11/10/21	2,998.50	...	-788.64	2 of 2 - Sale <sup>25</sup>
12/22/21	250.000	3,683.10	Various	5,099.31	...	-1,416.21	Total of 2 transactions
	<b>Security total:</b>	<b>5,724.36</b>		<b>6,864.90</b>	...	<b>-1,140.54</b>	
QUANTUMSCAPE CORPORATION / CUSIP: 74767V109 / Symbol:							
08/09/21	50.000	1,202.08	07/23/21	1,132.72	...	69.36	Sale <sup>25</sup>
SKILLZ INC. / CUSIP: 83067L109 / Symbol:							
4 transactions for 06/21/21. Total proceeds and cost reported to the IRS.							
	0.259	4.99	05/14/21	4.01	...	0.98	1 of 4 - Sale <sup>25</sup>
	6.193	119.30	05/14/21	95.99	...	23.31	2 of 4 - Sale <sup>25</sup>
	6.411	123.51	05/21/21	100.00	...	23.51	3 of 4 - Sale <sup>25</sup>
	5.767	111.10	05/28/21	100.00	...	11.10	4 of 4 - Sale <sup>25</sup>
06/21/21	18.630	358.90	Various	300.00	...	58.90	Total of 4 transactions
2 transactions for 06/21/21. Total proceeds and cost reported to the IRS.							
	4.876	93.93	06/04/21	100.00	6.07 W	0.00	1 of 2 - Sale <sup>25</sup>
	4.753	91.56	06/11/21	100.00	8.44 W	0.00	2 of 2 - Sale <sup>25</sup>
06/21/21	9.629	185.49	Various	200.00	14.51 W	0.00	Total of 2 transactions
8 transactions for 11/08/21. Total proceeds and cost reported to the IRS.							
	40.371	506.73	07/19/21	570.77	...	-64.04	1 of 8 - Sale <sup>25</sup>
	4.753	59.66	07/19/21	75.64	...	-15.98	2 of 8 - Sale <sup>25</sup>
	4.876	61.20	07/19/21	75.01	...	-13.81	3 of 8 - Sale <sup>25</sup>
	50.000	627.60	07/27/21	726.50	...	-98.90	4 of 8 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 516302080

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SKILLZ INC. / CUSIP: 83067L109 / Symbol: (cont'd)							
	50.000	627.60	07/27/21	709.00	...	-81.40	5 of 8 - Sale <sup>25</sup>
	50.000	627.59	08/06/21	599.50	...	28.09	6 of 8 - Sale <sup>25</sup>
	50.000	631.54	08/06/21	599.50	...	32.04	7 of 8 - Sale <sup>25</sup>
	50.000	637.74	08/06/21	599.50	...	38.24	8 of 8 - Sale <sup>25</sup>
11/08/21	300.000	3,779.66	Various	3,955.42	...	-175.76	Total of 8 transactions
12/22/21	100.000	847.48	08/17/21	1,072.81	...	-225.33	Sale <sup>25</sup>
	<b>Security total:</b>	<b>5,171.53</b>		<b>5,528.23</b>	<b>14.51 W</b>	<b>-342.19</b>	
SOFI TECHNOLOGIES, INC. COMMON STOCK / CUSIP: 83406F102 / Symbol:							
2 transactions for 08/12/21. Total proceeds and cost reported to the IRS.							
	50.000	872.49	07/23/21	779.98	...	92.51	1 of 2 - Sale <sup>25</sup>
	50.000	872.49	07/23/21	777.75	...	94.74	2 of 2 - Sale <sup>25</sup>
08/12/21	100.000	1,744.98	Various	1,557.73	...	187.25	Total of 2 transactions
TMC THE METALS COMPANY INC. CO MMON STOCK / CUSIP: 87261Y106 / Symbol:							
11/04/21	700.000	2,484.90	09/29/21	3,364.62	...	-879.72	Sale <sup>25</sup>
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
6 transactions for 06/17/21. Total proceeds and cost reported to the IRS.							
	0.150	92.51	05/07/21	100.00	...	-7.49	1 of 6 - Sale <sup>25</sup>
	0.173	107.19	05/14/21	100.00	...	7.19	2 of 6 - Sale <sup>25</sup>
	0.170	105.36	05/21/21	100.00	...	5.36	3 of 6 - Sale <sup>25</sup>
	0.159	98.07	05/28/21	100.00	...	-1.93	4 of 6 - Sale <sup>25</sup>
	0.167	103.26	06/04/21	100.00	...	3.26	5 of 6 - Sale <sup>25</sup>
	0.164	101.15	06/11/21	100.00	...	1.15	6 of 6 - Sale <sup>25</sup>
06/17/21	0.982	607.54	Various	600.00	...	7.54	Total of 6 transactions
VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol:							
6 transactions for 12/16/21. Total proceeds and cost reported to the IRS.							
	52.582	770.93	08/11/21	1,416.81	...	-645.88	1 of 6 - Sale <sup>25</sup>
	36.744	531.38	08/11/21	990.07	...	-458.69	2 of 6 - Sale <sup>25</sup>
	10.674	151.36	08/11/21	287.62	...	-136.26	3 of 6 - Sale <sup>25</sup>
	12.000	170.18	08/12/21	308.16	...	-137.98	4 of 6 - Sale <sup>25</sup>
	7.917	112.27	08/12/21	203.31	...	-91.04	5 of 6 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 516302080

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol: (cont'd)							
	0.068	0.96	08/12/21	1.74	...	-0.78	6 of 6 - Sale <sup>25</sup>
12/16/21	119.985	1,737.08	Various	3,207.71	...	-1,470.63	Total of 6 transactions
	2 transactions for 12/22/21. Total proceeds and cost reported to the IRS.						
	30.000	436.58	08/12/21	770.40	...	-333.82	1 of 2 - Sale <sup>25</sup>
	0.015	0.22	08/12/21	0.39	...	-0.17	2 of 2 - Sale <sup>25</sup>
12/22/21	30.015	436.80	Various	770.79	...	-333.99	Total of 2 transactions
	<b>Security total:</b>	<b>2,173.88</b>		<b>3,978.50</b>	...	<b>-1,804.62</b>	
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol:							
11/10/21	400.000	2,656.33	11/09/21	2,591.56	...	64.77	Sale <sup>25</sup>
11/22/21	100.000	629.98	11/09/21	647.89	...	-17.91	Sale <sup>25</sup>
	<b>Security total:</b>	<b>3,286.31</b>		<b>3,239.45</b>	...	<b>46.86</b>	
XL FLEET CORP. / CUSIP: 9837FR100 / Symbol:							
	3 transactions for 11/22/21. Total proceeds and cost reported to the IRS.						
	190.000	894.87	07/23/21	1,259.36	...	-364.49	1 of 3 - Sale <sup>25</sup>
	100.000	471.04	07/23/21	662.82	...	-191.78	2 of 3 - Sale <sup>25</sup>
	10.000	47.10	07/23/21	66.28	...	-19.18	3 of 3 - Sale <sup>25</sup>
11/22/21	300.000	1,413.01	Various	1,988.46	...	-575.45	Total of 3 transactions
MEIWU TECHNOLOGY COMPANY LIMITED ORDINARY SHARES / CUSIP: G9604C107 / Symbol:							
	2 transactions for 08/03/21. Total proceeds and cost reported to the IRS.						
	185.000	1,030.61	07/23/21	1,037.85	...	-7.24	1 of 2 - Sale <sup>25</sup>
	15.000	83.70	07/23/21	84.15	...	-0.45	2 of 2 - Sale <sup>25</sup>
08/03/21	200.000	1,114.31	Various	1,122.00	...	-7.69	Total of 2 transactions
<b>Totals :</b>		<b>111,480.68</b>		<b>131,995.25</b>	<b>455.21 W</b>	<b>-20,059.36</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 516302080

**Fees and Expenses**

2021

02/11/2022

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		01/05/21	-5.00	Margin interest paid	
		03/03/21	-5.00	Margin interest paid	
		04/05/21	-5.00	Margin interest paid	
		05/03/21	-5.00	Margin interest paid	
		06/01/21	-5.00	Margin interest paid	
		07/01/21	-0.37	Margin interest paid	
		07/01/21	-5.00	Margin interest paid	
		08/02/21	-1.49	Margin interest paid	
		08/02/21	-5.00	Margin interest paid	
			-36.86	Total Margin interest paid	
Margin charge		08/30/21	-5.00	Margin interest paid	
		08/30/21	-20.52	Margin interest paid	
		09/29/21	-5.00	Margin interest paid	
		09/29/21	-28.41	Margin interest paid	
		10/29/21	-5.00	Margin interest paid	
		10/29/21	-29.90	Margin interest paid	
		11/29/21	-5.00	Margin interest paid	
		11/29/21	-31.00	Margin interest paid	
		12/28/21	-5.00	Margin interest paid	
		12/28/21	-30.63	Margin interest paid	
			-165.46	Total Margin interest paid	
			<b>-202.32</b>	<b>Total Margin interest paid</b>	

Robinhood Securities LLC

Account 516302080

## Non-Reportable Actions

2021

02/11/2022

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
AHT 09/17/2021 CALL \$7.50	AHT 09/17/21 C 7.500	07/19/21	-5.00	0.00	Merger	
AHT1 09/17/2021 CALL \$7.50	AHT1 09/17/21 C 7.500	07/19/21	5.00	0.00	Merger	
GHIV 02/19/2021 CALL \$15.00	GHIV 02/19/21 C 15.000	01/22/21	-3.00	0.00	Merger	
GHIV 02/19/2021 CALL \$17.50	GHIV 02/19/21 C 17.500	01/22/21	-1.00	0.00	Merger	
GHIV 03/19/2021 CALL \$20.00	GHIV 03/19/21 C 20.000	01/22/21	-2.00	0.00	Merger	
UWMC 02/19/2021 CALL \$15.00	UWMC 02/19/21 C 15.000	01/22/21	3.00	0.00	Merger	
UWMC 02/19/2021 CALL \$17.50	UWMC 02/19/21 C 17.500	01/22/21	1.00	0.00	Merger	
UWMC 03/19/2021 CALL \$20.00	UWMC 03/19/21 C 20.000	01/22/21	2.00	0.00	Merger	

25 Tax lot closed on a first in first out basis.

---

**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.****1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is

**Instructions for Recipient**

checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

**Line 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.