

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 926323635	Statement Date: 02/11/2022 Document ID: B493 211 T3P3	2021
	Jaswanth Mogalipuvvu 1716 Empress Dr NW 8J Roanoke, VA 24012 RECIPIENT'S TIN: XXX-XX-4564		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2021 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e) 7.00</p> <p>1b- Qualified dividends 3.08</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f) 0.00</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>2e- Section 897 ordinary dividends 0.00</p> <p>2f- Section 897 capital gain 0.00</p> <p>3- Nondividend distributions 17.08</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 3.92</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: 7- Foreign tax paid: 0.00</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p>MISCELLANEOUS INFORMATION 2021 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 6.50</p> <p>SECTION 1256 CONTRACTS 2021 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2021 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2020 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2021 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</p>
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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	148,968.56	161,104.40	0.00	14,255.45	2,119.61
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	7.80	11.31	0.00	0.00	-3.51
	Total Short-term	148,976.36	161,115.71	0.00	14,255.45	2,116.10
Long	D (basis reported to the IRS)	166.99	242.91	0.00	55.99	-19.93
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	166.99	242.91	0.00	55.99	-19.93
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	149,143.35	161,358.62	0.00	14,311.44	2,096.17
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

Robinhood Securities LLC

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Summary Information

2021

(continued)

02/11/2022

INTEREST INCOME

2021 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	123.49
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

02/11/2022

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 02/05/2021 CALL \$144.00 / CUSIP: / Symbol:							
2 transactions for 02/02/21. Total proceeds and cost reported to the IRS.							
	1.000	13.99	01/27/21	520.00	...	-506.01	1 of 2 - Option sale to close-call ²⁵
	1.000	13.99	01/28/21	150.00	...	-136.01	2 of 2 - Option sale to close-call ²⁵
02/02/21	2.000	27.98	Various	670.00	...	-642.02	Total of 2 transactions
AAPL 02/05/2021 CALL \$145.00 / CUSIP: / Symbol:							
01/25/21	1.000	499.97	01/25/21	370.00	...	129.97	Option sale to close-call ²⁵
01/27/21	1.000	519.97	01/25/21	470.00	...	49.97	Option sale to close-call ²⁵
	Security total:	1,019.94		840.00	...	179.94	
AAPL 03/19/2021 CALL \$121.00 / CUSIP: / Symbol:							
03/15/21	1.000	203.98	03/12/21	187.00	...	16.98	Option sale to close-call ²⁵

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Account 926323635

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AEZS 02/19/2021 CALL \$2.50 / CUSIP: / Symbol:							
2 transactions for 02/16/21. Total proceeds and cost reported to the IRS.							
	1.000	9.99	02/09/21	60.00	...	-50.01	1 of 2 - Option sale to close-call ²⁵
	1.000	9.99	02/09/21	40.00	...	-30.01	2 of 2 - Option sale to close-call ²⁵
02/16/21	2.000	19.98	Various	100.00	...	-80.02	Total of 2 transactions
AMD 02/12/2021 CALL \$100.00 / CUSIP: / Symbol:							
02/12/21	3.000	0.00	02/09/21	30.00	...	-30.00	Option expiration- long call
ASB 04/16/2021 CALL \$22.50 / CUSIP: / Symbol:							
2 transactions for 04/16/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	03/26/21	60.00	...	-60.00	1 of 2 - Option expiration- long call
	1.000	0.00	04/05/21	20.00	...	-20.00	2 of 2 - Option expiration- long call
04/16/21	2.000	0.00	Various	80.00	...	-80.00	Total of 2 transactions
BABA 01/15/2021 CALL \$285.00 / CUSIP: / Symbol:							
7 transactions for 01/13/21. Total proceeds and cost reported to the IRS.							
	1.000	10.00	12/11/20	360.00	...	-350.00	1 of 7 - Option sale to close-call ²⁵
	1.000	10.00	12/14/20	264.00	...	-254.00	2 of 7 - Option sale to close-call ²⁵
	1.000	9.99	12/23/20	133.00	...	-123.01	3 of 7 - Option sale to close-call ²⁵
	1.000	10.00	12/24/20	51.00	...	-41.00	4 of 7 - Option sale to close-call ²⁵
	1.000	9.99	12/31/20	19.00	...	-9.01	5 of 7 - Option sale to close-call ²⁵
	1.000	10.00	01/04/21	8.00	...	2.00	6 of 7 - Option sale to close-call ²⁵
	2.000	19.99	01/08/21	20.00	...	-0.01	7 of 7 - Option sale to close-call ²⁵
01/13/21	8.000	79.97	Various	855.00	...	-775.03	Total of 7 transactions
2 transactions for 01/13/21. Total proceeds and cost reported to the IRS.							
	1.000	10.00	11/24/20	1,285.00	1,275.00 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	10.00	11/30/20	670.00	660.00 W	0.00	2 of 2 - Option sale to close-call ²⁵
01/13/21	2.000	20.00	Various	1,955.00	1,935.00 W	0.00	Total of 2 transactions
2 transactions for 01/15/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	01/08/21	1,285.00	...	-1,285.00	1 of 2 - Option expiration- long call
	1.000	0.00	01/08/21	670.00	...	-670.00	2 of 2 - Option expiration- long call
01/15/21	2.000	0.00	Various	1,955.00	...	-1,955.00	Total of 2 transactions
	Security total:	99.97		4,765.00	1,935.00 W	-2,730.03	

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BABA 01/22/2021 CALL \$267.50 / CUSIP: / Symbol:							
01/14/21	1.000	73.98	01/13/21	50.00	...	23.98	Option sale to close-call ²⁵
BABA 03/05/2021 CALL \$242.50 / CUSIP: / Symbol:							
03/05/21	1.000	0.00	03/01/21	310.00	...	-310.00	Option expiration- long call
BNGO 04/16/2021 CALL \$10.00 / CUSIP: / Symbol:							
2 transactions for 04/12/21. Total proceeds and cost reported to the IRS.							
	1.000	4.99	03/22/21	96.00	...	-91.01	1 of 2 - Option sale to close-call ²⁵
	1.000	4.99	03/24/21	29.00	...	-24.01	2 of 2 - Option sale to close-call ²⁵
04/12/21	2.000	9.98	Various	125.00	...	-115.02	Total of 2 transactions
BNGO 09/17/2021 CALL \$7.00 / CUSIP: / Symbol:							
3 transactions for 09/17/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	08/09/21	49.00	...	-49.00	1 of 3 - Option expiration- long call
	1.000	0.00	08/18/21	17.00	...	-17.00	2 of 3 - Option expiration- long call
	1.000	0.00	08/31/21	8.00	...	-8.00	3 of 3 - Option expiration- long call
09/17/21	3.000	0.00	Various	74.00	...	-74.00	Total of 3 transactions
BYND 04/16/2021 CALL \$175.00 / CUSIP: / Symbol:							
03/22/21	1.000	102.98	03/19/21	97.00	...	5.98	Option sale to close-call ²⁵
CCS 04/16/2021 CALL \$75.00 / CUSIP: / Symbol:							
03/04/21	1.000	54.98	03/03/21	45.00	...	9.98	Option sale to close-call ²⁵
CGEN 02/19/2021 CALL \$15.00 / CUSIP: / Symbol:							
2 transactions for 02/08/21. Total proceeds and cost reported to the IRS.							
	1.000	34.99	02/03/21	35.00	0.01 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	34.99	02/03/21	45.00	10.01 W	0.00	2 of 2 - Option sale to close-call ²⁵
02/08/21	2.000	69.98	Various	80.00	10.02 W	0.00	Total of 2 transactions
5 transactions for 02/19/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	02/03/21	40.01	...	-40.01	1 of 5 - Option expiration- long call
	1.000	0.00	02/04/21	40.01	...	-40.01	2 of 5 - Option expiration- long call
	1.000	0.00	02/04/21	20.00	...	-20.00	3 of 5 - Option expiration- long call
	1.000	0.00	02/04/21	20.00	...	-20.00	4 of 5 - Option expiration- long call

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
CGEN 02/19/2021 CALL \$15.00 / CUSIP: / Symbol: (cont'd)							
	1.000	0.00	02/10/21	20.00	...	-20.00	5 of 5 - Option expiration- long call
02/19/21	5.000	0.00	Various	140.02	...	-140.02	Total of 5 transactions
	Security total:	69.98		220.02	10.02 W	-140.02	
CLVS 04/30/2021 CALL \$7.00 / CUSIP: / Symbol:							
03/31/21	1.000	74.98	03/30/21	67.00	...	7.98	Option sale to close-call ²⁵
D 04/16/2021 CALL \$77.50 / CUSIP: / Symbol:							
03/15/21	1.000	79.98	03/09/21	61.00	...	18.98	Option sale to close-call ²⁵
DBI 04/16/2021 CALL \$12.50 / CUSIP: / Symbol:							
2 transactions for 01/25/21. Total proceeds and cost reported to the IRS.							
	1.000	219.99	01/15/21	90.00	...	129.99	1 of 2 - Option sale to close-call ²⁵
	1.000	219.99	01/25/21	255.00	...	-35.01	2 of 2 - Option sale to close-call ²⁵
01/25/21	2.000	439.98	Various	345.00	...	94.98	Total of 2 transactions
DKNG 03/19/2021 CALL \$85.00 / CUSIP: / Symbol:							
3 transactions for 03/10/21. Total proceeds and cost reported to the IRS.							
	1.000	25.99	03/05/21	14.00	...	11.99	1 of 3 - Option sale to close-call ²⁵
	1.000	25.99	03/09/21	74.07	...	-48.08	2 of 3 - Option sale to close-call ²⁵
	1.000	25.99	03/09/21	10.00	...	15.99	3 of 3 - Option sale to close-call ²⁵
03/10/21	3.000	77.97	Various	98.07	...	-20.10	Total of 3 transactions
4 transactions for 03/10/21. Total proceeds and cost reported to the IRS.							
	1.000	20.98	03/03/21	59.00	38.02 W	0.00	1 of 4 - Option sale to close-call ²⁵
	1.000	21.98	03/03/21	88.02	66.04 W	0.00	2 of 4 - Option sale to close-call ²⁵
	1.000	22.98	03/03/21	88.04	65.06 W	0.00	3 of 4 - Option sale to close-call ²⁵
	1.000	25.99	03/04/21	84.06	58.07 W	0.00	4 of 4 - Option sale to close-call ²⁵
03/10/21	4.000	91.93	Various	319.12	227.19 W	0.00	Total of 4 transactions
	Security total:	169.90		417.19	227.19 W	-20.10	

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2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DPW 04/16/2021 CALL \$4.00 / CUSIP: / Symbol:							
2 transactions for 04/16/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	03/08/21	70.00	...	-70.00	1 of 2 - Option expiration- long call
	1.000	0.00	03/22/21	30.00	...	-30.00	2 of 2 - Option expiration- long call
04/16/21	2.000	0.00	Various	100.00	...	-100.00	Total of 2 transactions
EXPR 07/16/2021 CALL \$5.00 / CUSIP: / Symbol:							
03/15/21	1.000	173.98	03/10/21	170.00	...	3.98	Option sale to close-call ²⁵
F 02/26/2021 CALL \$12.50 / CUSIP: / Symbol:							
4 transactions for 02/26/21. Total proceeds and cost reported to the IRS.							
	5.000	0.00	02/24/21	50.00	...	-50.00	1 of 4 - Option expiration- long call
	1.000	0.00	02/24/21	7.00	...	-7.00	2 of 4 - Option expiration- long call
	1.000	0.00	02/24/21	7.00	...	-7.00	3 of 4 - Option expiration- long call
	3.000	0.00	02/25/21	9.00	...	-9.00	4 of 4 - Option expiration- long call
02/26/21	10.000	0.00	Various	73.00	...	-73.00	Total of 4 transactions
F 03/05/2021 CALL \$12.50 / CUSIP: / Symbol:							
03/02/21	1.000	27.98	02/24/21	31.00	...	-3.02	Option sale to close-call ²⁵
F 04/23/2021 CALL \$13.00 / CUSIP: / Symbol:							
3 transactions for 04/23/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	03/10/21	88.00	...	-88.00	1 of 3 - Option expiration- long call
	1.000	0.00	03/23/21	38.00	...	-38.00	2 of 3 - Option expiration- long call
	1.000	0.00	04/01/21	20.00	...	-20.00	3 of 3 - Option expiration- long call
04/23/21	3.000	0.00	Various	146.00	...	-146.00	Total of 3 transactions
FB 01/15/2021 CALL \$260.00 / CUSIP: / Symbol:							
01/15/21	1.000	0.00	01/13/21	66.00	...	-66.00	Option expiration- long call
FE 02/19/2021 CALL \$34.00 / CUSIP: / Symbol:							
2 transactions for 02/04/21. Total proceeds and cost reported to the IRS.							
	1.000	24.99	01/27/21	21.00	...	3.99	1 of 2 - Option sale to close-call ²⁵
	1.000	24.99	01/27/21	18.00	...	6.99	2 of 2 - Option sale to close-call ²⁵
02/04/21	2.000	49.98	Various	39.00	...	10.98	Total of 2 transactions

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Robinhood Securities LLC

Account 926323635

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FE 02/19/2021 CALL \$34.00 / CUSIP: / Symbol: (cont'd)							
3 transactions for 02/18/21. Total proceeds and cost reported to the IRS.							
	1.000	29.98	01/28/21	25.00	...	4.98	1 of 3 - Option sale to close-call ²⁵
	1.000	39.98	01/29/21	20.00	...	19.98	2 of 3 - Option sale to close-call ²⁵
	1.000	49.98	02/03/21	20.00	...	29.98	3 of 3 - Option sale to close-call ²⁵
02/18/21	3.000	119.94	Various	65.00	...	54.94	Total of 3 transactions
	Security total:	169.92		104.00	...	65.92	
FTCH 04/16/2021 PUT \$50.00 / CUSIP: / Symbol:							
03/17/21	1.000	91.98	03/01/21	158.00	...	-66.02	Option sale to close-put ²⁵
FTCH 04/16/2021 PUT \$60.00 / CUSIP: / Symbol:							
03/18/21	1.000	85.98	03/17/21	0.00	...	85.98	Short sale closed- put ²⁵ Option written: 03/01/21
FTI 04/16/2021 CALL \$8.00 / CUSIP: / Symbol:							
4 transactions for 04/16/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	03/16/21	95.00	...	-95.00	1 of 4 - Option expiration- long call
	1.000	0.00	03/18/21	60.00	...	-60.00	2 of 4 - Option expiration- long call
	1.000	0.00	03/19/21	45.00	...	-45.00	3 of 4 - Option expiration- long call
	1.000	0.00	03/23/21	25.00	...	-25.00	4 of 4 - Option expiration- long call
04/16/21	4.000	0.00	Various	225.00	...	-225.00	Total of 4 transactions
FUBO 04/09/2021 CALL \$24.00 / CUSIP: / Symbol:							
2 transactions for 04/06/21. Total proceeds and cost reported to the IRS.							
	1.000	27.99	04/01/21	120.00	...	-92.01	1 of 2 - Option sale to close-call ²⁵
	1.000	27.99	04/05/21	38.00	...	-10.01	2 of 2 - Option sale to close-call ²⁵
04/06/21	2.000	55.98	Various	158.00	...	-102.02	Total of 2 transactions
GBT 04/16/2021 CALL \$50.00 / CUSIP: / Symbol:							
2 transactions for 04/16/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	03/22/21	95.00	...	-95.00	1 of 2 - Option expiration- long call
	1.000	0.00	03/25/21	19.00	...	-19.00	2 of 2 - Option expiration- long call
04/16/21	2.000	0.00	Various	114.00	...	-114.00	Total of 2 transactions

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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GCI 04/16/2021 CALL \$7.50 / CUSIP: / Symbol:							
3 transactions for 02/22/21. Total proceeds and cost reported to the IRS.							
	1.000	37.99	02/19/21	30.00	...	7.99	1 of 3 - Option sale to close-call ²⁵
	1.000	37.99	02/19/21	30.00	...	7.99	2 of 3 - Option sale to close-call ²⁵
	1.000	38.00	02/19/21	30.00	...	8.00	3 of 3 - Option sale to close-call ²⁵
02/22/21	3.000	113.98	Various	90.00	...	23.98	Total of 3 transactions
6 transactions for 04/16/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	02/22/21	35.00	...	-35.00	1 of 6 - Option expiration- long call
	1.000	0.00	02/24/21	25.00	...	-25.00	2 of 6 - Option expiration- long call
	1.000	0.00	02/25/21	15.00	...	-15.00	3 of 6 - Option expiration- long call
	1.000	0.00	03/11/21	15.00	...	-15.00	4 of 6 - Option expiration- long call
	1.000	0.00	03/15/21	15.00	...	-15.00	5 of 6 - Option expiration- long call
	1.000	0.00	03/23/21	9.00	...	-9.00	6 of 6 - Option expiration- long call
04/16/21	6.000	0.00	Various	114.00	...	-114.00	Total of 6 transactions
	Security total:	113.98		204.00	...	-90.02	
GCI 05/21/2021 CALL \$5.00 / CUSIP: / Symbol:							
05/14/21	1.000	29.98	03/30/21	80.00	...	-50.02	Option sale to close-call ²⁵
GE 03/19/2021 CALL \$13.00 / CUSIP: / Symbol:							
2 transactions for 03/16/21. Total proceeds and cost reported to the IRS.							
	1.000	45.99	03/15/21	31.00	...	14.99	1 of 2 - Option sale to close-call ²⁵
	1.000	45.99	03/15/21	31.00	...	14.99	2 of 2 - Option sale to close-call ²⁵
03/16/21	2.000	91.98	Various	62.00	...	29.98	Total of 2 transactions
GE 08/06/2021 CALL \$13.50 / CUSIP: / Symbol:							
06/29/21	1.000	44.98	06/28/21	38.00	...	6.98	Option sale to close-call ²⁵
GEVO 03/19/2021 CALL \$12.50 / CUSIP: / Symbol:							
02/19/21	1.000	259.98	02/18/21	175.00	...	84.98	Option sale to close-call ²⁵
GILD 02/05/2021 PUT \$64.50 / CUSIP: / Symbol:							
02/03/21	1.000	118.98	02/02/21	104.00	...	14.98	Option sale to close-put ²⁵
GME 02/05/2021 CALL \$60.00 / CUSIP: / Symbol:							
01/22/21	1.000	1,499.95	01/22/21	1,400.00	...	99.95	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 926323635

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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GOLD 03/05/2021 CALL \$20.00 / CUSIP: / Symbol:							
8 transactions for 03/02/21. Total proceeds and cost reported to the IRS.							
	1.000	27.00	03/02/21	24.00	...	3.00	1 of 8 - Option sale to close-call ²⁵
	2.000	53.99	03/02/21	48.00	...	5.99	2 of 8 - Option sale to close-call ²⁵
	3.000	80.99	03/02/21	72.00	...	8.99	3 of 8 - Option sale to close-call ²⁵
	4.000	107.99	03/02/21	96.00	...	11.99	4 of 8 - Option sale to close-call ²⁵
	2.000	53.98	03/02/21	38.00	...	15.98	5 of 8 - Option sale to close-call ²⁵
	2.000	53.99	03/02/21	38.00	...	15.99	6 of 8 - Option sale to close-call ²⁵
	2.000	54.00	03/02/21	38.00	...	16.00	7 of 8 - Option sale to close-call ²⁵
	4.000	107.99	03/02/21	76.00	...	31.99	8 of 8 - Option sale to close-call ²⁵
03/02/21	20.000	539.93	Various	430.00	...	109.93	Total of 8 transactions
HEXO 04/16/2021 CALL \$7.00 / CUSIP: / Symbol:							
03/31/21	1.000	35.98	03/30/21	35.00	...	0.98	Option sale to close-call ²⁵
HPE 04/30/2021 CALL \$16.00 / CUSIP: / Symbol:							
04/23/21	1.000	49.98	04/05/21	50.00	...	-0.02	Option sale to close-call ²⁵
IPOD 03/19/2021 CALL \$15.00 / CUSIP: / Symbol:							
2 transactions for 03/15/21. Total proceeds and cost reported to the IRS.							
	1.000	4.99	02/25/21	95.00	...	-90.01	1 of 2 - Option sale to close-call ²⁵
	1.000	4.98	03/05/21	345.01	...	-340.03	2 of 2 - Option sale to close-call ²⁵
03/15/21	2.000	9.97	Various	440.01	...	-430.04	Total of 2 transactions
03/15/21	1.000	4.99	02/03/21	330.00	325.01 W	0.00	Option sale to close-call ²⁵
	Security total:	14.96		770.01	325.01 W	-430.04	
IPOF 03/19/2021 CALL \$15.00 / CUSIP: / Symbol:							
02/26/21	1.000	76.98	02/03/21	260.00	183.02 W	0.00	Option sale to close-call ²⁵
2 transactions for 03/15/21. Total proceeds and cost reported to the IRS.							
	1.000	4.99	02/25/21	244.02	...	-239.03	1 of 2 - Option sale to close-call ²⁵
	1.000	4.99	02/25/21	62.00	...	-57.01	2 of 2 - Option sale to close-call ²⁵
03/15/21	2.000	9.98	Various	306.02	...	-296.04	Total of 2 transactions
	Security total:	86.96		566.02	183.02 W	-296.04	

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Robinhood Securities LLC

Account 926323635

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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JETS 06/18/2021 CALL \$30.00 / CUSIP: / Symbol:							
03/26/21	1.000	82.98	03/15/21	216.00	133.02 W	0.00	Option sale to close-call ²⁵
	6 transactions for 06/02/21. Total proceeds and cost reported to the IRS.						
	1.000	5.00	03/22/21	282.02	...	-277.02	1 of 6 - Option sale to close-call ²⁵
	1.000	5.00	03/23/21	81.00	...	-76.00	2 of 6 - Option sale to close-call ²⁵
	1.000	5.00	03/23/21	79.00	...	-74.00	3 of 6 - Option sale to close-call ²⁵
	1.000	4.99	04/08/21	81.00	...	-76.01	4 of 6 - Option sale to close-call ²⁵
	1.000	5.00	04/20/21	31.00	...	-26.00	5 of 6 - Option sale to close-call ²⁵
	1.000	4.99	05/04/21	15.00	...	-10.01	6 of 6 - Option sale to close-call ²⁵
06/02/21	6.000	29.98	Various	569.02	...	-539.04	Total of 6 transactions
	Security total:	112.96		785.02	133.02 W	-539.04	
JPM 08/20/2021 CALL \$145.00 / CUSIP: / Symbol:							
06/23/21	1.000	919.98	06/21/21	820.00	...	99.98	Option sale to close-call ²⁵
KNDI 06/18/2021 CALL \$7.00 / CUSIP: / Symbol:							
	3 transactions for 06/02/21. Total proceeds and cost reported to the IRS.						
	1.000	5.99	03/09/21	138.00	...	-132.01	1 of 3 - Option sale to close-call ²⁵
	1.000	6.00	03/24/21	73.00	...	-67.00	2 of 3 - Option sale to close-call ²⁵
	1.000	5.99	05/20/21	6.00	...	-0.01	3 of 3 - Option sale to close-call ²⁵
06/02/21	3.000	17.98	Various	217.00	...	-199.02	Total of 3 transactions
LKQ 03/19/2021 CALL \$42.50 / CUSIP: / Symbol:							
02/22/21	1.000	49.98	02/18/21	50.00	...	-0.02	Option sale to close-call ²⁵
LKQ 04/16/2021 CALL \$42.50 / CUSIP: / Symbol:							
02/25/21	1.000	104.98	02/18/21	97.00	...	7.98	Option sale to close-call ²⁵
LL 04/16/2021 CALL \$27.00 / CUSIP: / Symbol:							
03/19/21	1.000	99.98	03/15/21	200.00	100.02 W	0.00	Option sale to close-call ²⁵
03/22/21	1.000	164.98	03/18/21	205.02	40.04 W	0.00	Option sale to close-call ²⁵
04/06/21	1.000	89.98	03/18/21	120.04	30.06 W	0.00	Option sale to close-call ²⁵
04/16/21	1.000	35.98	04/05/21	80.06	...	-44.08	Option sale to close-call ²⁵
	Security total:	390.92		605.12	170.12 W	-44.08	

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Robinhood Securities LLC

Account 926323635

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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LUMN 03/19/2021 CALL \$12.50 / CUSIP: / Symbol:							
01/26/21	1.000	249.98	01/14/21	40.00	...	209.98	Option sale to close-call ²⁵
01/27/21	1.000	399.98	01/19/21	30.00	...	369.98	Option sale to close-call ²⁵
	Security total:	649.96		70.00	...	579.96	
MMM 04/23/2021 CALL \$187.50 / CUSIP: / Symbol:							
03/23/21	1.000	589.98	03/05/21	300.00	...	289.98	Option sale to close-call ²⁵
MSFT 03/19/2021 CALL \$250.00 / CUSIP: / Symbol:							
02/05/21	1.000	519.97	02/04/21	490.00	...	29.97	Option sale to close-call ²⁵
02/09/21	1.000	499.97	02/05/21	500.00	...	-0.03	Option sale to close-call ²⁵
	Security total:	1,019.94		990.00	...	29.94	
MVIS 05/21/2021 CALL \$17.00 / CUSIP: / Symbol:							
02/08/21	1.000	499.97	02/05/21	310.00	...	189.97	Option sale to close-call ²⁵
MYE 05/21/2021 CALL \$22.50 / CUSIP: / Symbol:							
04/21/21	1.000	64.98	04/01/21	65.00	...	-0.02	Option sale to close-call ²⁵
NET 02/19/2021 CALL \$78.00 / CUSIP: / Symbol:							
01/28/21	1.000	669.97	01/26/21	600.00	...	69.97	Option sale to close-call ²⁵
NET 02/19/2021 CALL \$80.00 / CUSIP: / Symbol:							
01/04/21	1.000	529.97	12/28/20	800.00	270.03 W	0.00	Option sale to close-call ²⁵
	<i>2 transactions for 01/08/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	839.97	01/04/21	740.03	...	99.94	1 of 2 - Option sale to close-call ²⁵
	1.000	859.97	01/08/21	770.00	...	89.97	2 of 2 - Option sale to close-call ²⁵
01/08/21	2.000	1,699.94	Various	1,510.03	...	189.91	Total of 2 transactions
01/11/21	1.000	799.97	01/08/21	740.00	...	59.97	Option sale to close-call ²⁵
01/13/21	1.000	749.97	01/11/21	740.00	...	9.97	Option sale to close-call ²⁵
	Security total:	3,779.85		3,790.03	270.03 W	259.85	
NFLX 03/05/2021 PUT \$500.00 / CUSIP: / Symbol:							
03/05/21	1.000	199.98	03/04/21	200.00	...	-0.02	Option sale to close-put ²⁵
NGL 02/19/2021 CALL \$2.50 / CUSIP: / Symbol:							
02/03/21	1.000	44.98	01/28/21	50.00	...	-5.02	Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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NIO 02/19/2021 CALL \$57.00 / CUSIP: / Symbol:							
02/08/21	1.000	374.98	02/05/21	314.00	...	60.98	Option sale to close-call ²⁵
NIO 03/12/2021 CALL \$45.00 / CUSIP: / Symbol:							
03/11/21	1.000	59.98	03/10/21	57.00	...	2.98	Option sale to close-call ²⁵
NIO 04/01/2021 CALL \$44.00 / CUSIP: / Symbol:							
03/09/21	1.000	269.98	03/05/21	177.00	...	92.98	Option sale to close-call ²⁵
NIO 05/14/2021 CALL \$45.00 / CUSIP: / Symbol:							
4 transactions for 05/14/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	04/28/21	124.00	...	-124.00	1 of 4 - Option expiration- long call
	1.000	0.00	04/29/21	69.00	...	-69.00	2 of 4 - Option expiration- long call
	1.000	0.00	05/03/21	36.00	...	-36.00	3 of 4 - Option expiration- long call
	1.000	0.00	05/04/21	14.00	...	-14.00	4 of 4 - Option expiration- long call
05/14/21	4.000	0.00	Various	243.00	...	-243.00	Total of 4 transactions
NKLA 04/16/2021 CALL \$20.00 / CUSIP: / Symbol:							
01/13/21	1.000	499.97	12/21/20	340.00	...	159.97	Option sale to close-call ²⁵
NKLA 04/16/2021 CALL \$22.50 / CUSIP: / Symbol:							
01/14/21	1.000	389.98	01/13/21	340.00	...	49.98	Option sale to close-call ²⁵
01/25/21	1.000	399.98	01/15/21	330.00	...	69.98	Option sale to close-call ²⁵
	Security total:	789.96		670.00	...	119.96	
NNDM 03/19/2021 CALL \$15.00 / CUSIP: / Symbol:							
03/15/21	1.000	5.98	03/11/21	24.02	...	-18.04	Option sale to close-call ²⁵
03/15/21	1.000	5.98	03/11/21	15.00	9.02 W	0.00	Option sale to close-call ²⁵
	Security total:	11.96		39.02	9.02 W	-18.04	
NOK 02/19/2021 CALL \$6.00 / CUSIP: / Symbol:							
02/19/21	1.000	0.00	01/27/21	198.00	...	-198.00	Option expiration- long call
OCGN 04/16/2021 CALL \$17.50 / CUSIP: / Symbol:							
4 transactions for 04/16/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	03/15/21	125.00	...	-125.00	1 of 4 - Option expiration- long call
	1.000	0.00	03/17/21	100.00	...	-100.00	2 of 4 - Option expiration- long call

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Robinhood Securities LLC

Account 926323635

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
OCGN 04/16/2021 CALL \$17.50 / CUSIP: / Symbol: (cont'd)							
	1.000	0.00	03/22/21	40.00	...	-40.00	3 of 4 - Option expiration- long call
	1.000	0.00	03/22/21	40.00	...	-40.00	4 of 4 - Option expiration- long call
04/16/21	4.000	0.00	Various	305.00	...	-305.00	Total of 4 transactions
OCGN 04/16/2021 CALL \$20.00 / CUSIP: / Symbol:							
02/23/21	1.000	169.98	02/18/21	510.00	340.02 W	0.00	Option sale to close-call ²⁵
03/03/21	1.000	399.98	02/23/21	470.02	70.04 W	0.00	Option sale to close-call ²⁵
03/12/21	1.000	119.98	03/05/21	170.04	50.06 W	0.00	Option sale to close-call ²⁵
04/14/21	1.000	4.98	03/12/21	165.06	160.08 W	0.00	Option sale to close-call ²⁵
04/16/21	1.000	0.00	03/22/21	210.08	...	-210.08	Option expiration- long call
	Security total:	694.92		1,525.20	620.20 W	-210.08	
OCGN 06/18/2021 CALL \$12.50 / CUSIP: / Symbol:							
04/26/21	1.000	334.98	04/23/21	233.00	...	101.98	Option sale to close-call ²⁵
OSUR 04/16/2021 CALL \$20.00 / CUSIP: / Symbol:							
03/12/21	1.000	14.98	02/23/21	13.00	...	1.98	Option sale to close-call ²⁵
	6 transactions for 04/16/21. Total proceeds and cost reported to the IRS.						
	2.000	0.00	02/23/21	24.00	...	-24.00	1 of 6 - Option expiration- long call
	1.000	0.00	02/25/21	10.00	...	-10.00	2 of 6 - Option expiration- long call
	1.000	0.00	03/11/21	5.00	...	-5.00	3 of 6 - Option expiration- long call
	1.000	0.00	03/11/21	5.00	...	-5.00	4 of 6 - Option expiration- long call
	1.000	0.00	03/16/21	5.00	...	-5.00	5 of 6 - Option expiration- long call
	3.000	0.00	03/17/21	15.00	...	-15.00	6 of 6 - Option expiration- long call
04/16/21	9.000	0.00	Various	64.00	...	-64.00	Total of 6 transactions
	Security total:	14.98		77.00	...	-62.02	
OVV 05/21/2021 CALL \$27.00 / CUSIP: / Symbol:							
05/21/21	1.000	0.00	04/06/21	130.00	...	-130.00	Option expiration- long call
PDD 03/26/2021 CALL \$165.00 / CUSIP: / Symbol:							
03/09/21	1.000	499.98	03/08/21	470.00	...	29.98	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 926323635

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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PFE 01/22/2021 CALL \$40.00 / CUSIP: / Symbol:							
2 transactions for 01/07/21. Total proceeds and cost reported to the IRS.							
	1.000	12.99	12/15/20	139.00	...	-126.01	1 of 2 - Option sale to close-call ²⁵
	1.000	13.00	12/21/20	62.00	...	-49.00	2 of 2 - Option sale to close-call ²⁵
01/07/21	2.000	25.99	Various	201.00	...	-175.01	Total of 2 transactions
01/07/21	1.000	12.99	12/14/20	290.00	277.01 W	0.00	Option sale to close-call ²⁵
01/14/21	1.000	4.98	12/22/20	317.01	...	-312.03	Option sale to close-call ²⁵
	Security total:	43.96		808.01	277.01 W	-487.04	
PINS 09/17/2021 CALL \$62.50 / CUSIP: / Symbol:							
3 transactions for 09/17/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	08/09/21	183.00	...	-183.00	1 of 3 - Option expiration- long call
	1.000	0.00	08/23/21	30.00	...	-30.00	2 of 3 - Option expiration- long call
	1.000	0.00	08/31/21	23.00	...	-23.00	3 of 3 - Option expiration- long call
09/17/21	3.000	0.00	Various	236.00	...	-236.00	Total of 3 transactions
PLTR 01/29/2021 CALL \$35.00 / CUSIP: / Symbol:							
01/27/21	1.000	319.98	01/25/21	256.02	...	63.96	Option sale to close-call ²⁵
01/27/21	1.000	279.98	01/25/21	345.00	65.02 W	0.00	Option sale to close-call ²⁵
	Security total:	599.96		601.02	65.02 W	63.96	
PLTR 01/29/2021 CALL \$36.00 / CUSIP: / Symbol:							
01/27/21	1.000	78.98	01/26/21	195.00	...	-116.02	Option sale to close-call ²⁵
PLTR 02/19/2021 PUT \$27.00 / CUSIP: / Symbol:							
01/28/21	1.000	147.98	01/27/21	98.00	...	49.98	Option sale to close-put ²⁵
PLX 02/19/2021 CALL \$8.00 / CUSIP: / Symbol:							
02/11/21	1.000	24.98	02/10/21	50.00	...	-25.02	Option sale to close-call ²⁵
PTON 02/05/2021 CALL \$182.50 / CUSIP: / Symbol:							
02/04/21	1.000	103.98	02/04/21	104.00	...	-0.02	Option sale to close-call ²⁵
QCOM 03/05/2021 CALL \$147.00 / CUSIP: / Symbol:							
02/04/21	1.000	649.97	02/04/21	600.00	...	49.97	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 926323635

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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RIOT 03/05/2021 CALL \$39.00 / CUSIP: / Symbol:							
3 transactions for 03/05/21. Total proceeds and cost reported to the IRS.							
	1.000	100.00	03/05/21	92.00	...	8.00	1 of 3 - Option sale to close-call ²⁵
	1.000	99.99	03/05/21	86.00	...	13.99	2 of 3 - Option sale to close-call ²⁵
	1.000	99.99	03/05/21	78.00	...	21.99	3 of 3 - Option sale to close-call ²⁵
03/05/21	3.000	299.98	Various	256.00	...	43.98	Total of 3 transactions
RMO 04/16/2021 CALL \$10.00 / CUSIP: / Symbol:							
04/06/21	1.000	149.98	04/06/21	100.00	...	49.98	Option sale to close-call ²⁵
RTX 04/16/2021 CALL \$76.00 / CUSIP: / Symbol:							
03/29/21	1.000	369.98	03/23/21	180.00	...	189.98	Option sale to close-call ²⁵
04/09/21	1.000	279.98	03/31/21	251.00	...	28.98	Option sale to close-call ²⁵
	Security total:	649.96		431.00	...	218.96	
SAVA 03/19/2021 CALL \$60.00 / CUSIP: / Symbol:							
03/01/21	1.000	369.98	02/26/21	290.00	...	79.98	Option sale to close-call ²⁵
SCHN 04/16/2021 CALL \$48.00 / CUSIP: / Symbol:							
04/16/21	1.000	0.00	03/29/21	90.00	...	-90.00	Option expiration- long call
SIFY 04/16/2021 CALL \$5.00 / CUSIP: / Symbol:							
02/10/21	1.000	59.98	02/04/21	55.00	...	4.98	Option sale to close-call ²⁵
SNAP 02/19/2021 CALL \$50.00 / CUSIP: / Symbol:							
01/15/21	1.000	509.97	01/14/21	440.00	...	69.97	Option sale to close-call ²⁵
SNAP 02/19/2021 CALL \$55.00 / CUSIP: / Symbol:							
01/28/21	1.000	459.97	01/25/21	460.00	...	-0.03	Option sale to close-call ²⁵
SNAP 03/26/2021 CALL \$59.00 / CUSIP: / Symbol:							
2 transactions for 03/22/21. Total proceeds and cost reported to the IRS.							
	1.000	82.99	03/19/21	145.00	...	-62.01	1 of 2 - Option sale to close-call ²⁵
	1.000	82.99	03/22/21	59.00	...	23.99	2 of 2 - Option sale to close-call ²⁵
03/22/21	2.000	165.98	Various	204.00	...	-38.02	Total of 2 transactions

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Account 926323635

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SNDL 03/12/2021 CALL \$1.50 / CUSIP: / Symbol:							
3 transactions for 03/12/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	03/11/21	8.00	...	-8.00	1 of 3 - Option expiration- long call
	8.000	0.00	03/12/21	8.00	...	-8.00	2 of 3 - Option expiration- long call
	2.000	0.00	03/12/21	2.00	...	-2.00	3 of 3 - Option expiration- long call
03/12/21	11.000	0.00	Various	18.00	...	-18.00	Total of 3 transactions
SNDL 03/12/2021 CALL \$2.00 / CUSIP: / Symbol:							
03/12/21	20.000	0.00	03/11/21	20.00	...	-20.00	Option expiration- long call
SNDL 04/16/2021 CALL \$1.50 / CUSIP: / Symbol:							
03/17/21	1.000	34.98	03/10/21	32.00	...	2.98	Option sale to close-call ²⁵
SPCE 04/09/2021 CALL \$31.00 / CUSIP: / Symbol:							
03/30/21	1.000	156.98	03/30/21	115.00	...	41.98	Option sale to close-call ²⁵
SPG 04/16/2021 CALL \$115.00 / CUSIP: / Symbol:							
04/06/21	1.000	274.98	03/23/21	230.00	...	44.98	Option sale to close-call ²⁵
04/07/21	1.000	339.98	04/05/21	203.00	...	136.98	Option sale to close-call ²⁵
04/08/21	1.000	266.98	04/07/21	260.00	...	6.98	Option sale to close-call ²⁵
04/09/21	1.000	239.98	04/08/21	210.00	...	29.98	Option sale to close-call ²⁵
	Security total:	1,121.92		903.00	...	218.92	
SPY 02/26/2021 CALL \$380.00 / CUSIP: / Symbol:							
2 transactions for 02/26/21. Total proceeds and cost reported to the IRS.							
	1.000	314.99	02/26/21	211.00	...	103.99	1 of 2 - Option sale to close-call ²⁵
	2.000	629.99	02/26/21	460.00	...	169.99	2 of 2 - Option sale to close-call ²⁵
02/26/21	3.000	944.98	Various	671.00	...	273.98	Total of 2 transactions
SPY 03/17/2021 PUT \$394.00 / CUSIP: / Symbol:							
03/15/21	1.000	274.98	03/15/21	218.00	...	56.98	Option sale to close-put ²⁵
SPY 03/22/2021 CALL \$388.00 / CUSIP: / Symbol:							
03/19/21	1.000	314.98	03/19/21	260.00	...	54.98	Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SPY 03/26/2021 PUT \$385.00 / CUSIP: / Symbol:							
03/24/21	1.000	77.98	03/23/21	253.04	...	-175.06	Option sale to close-put ²⁵
2 transactions for 03/24/21. Total proceeds and cost reported to the IRS.							
	1.000	73.98	03/23/21	134.00	60.02 W	0.00	1 of 2 - Option sale to close-put ²⁵
	1.000	74.98	03/23/21	194.02	119.04 W	0.00	2 of 2 - Option sale to close-put ²⁵
03/24/21	2.000	148.96	Various	328.02	179.06 W	0.00	Total of 2 transactions
	Security total:	226.94		581.06	179.06 W	-175.06	
SPY 03/29/2021 CALL \$395.00 / CUSIP: / Symbol:							
03/29/21	1.000	114.98	03/29/21	64.00	...	50.98	Option sale to close-call ²⁵
SPY 04/23/2021 CALL \$417.00 / CUSIP: / Symbol:							
04/22/21	1.000	93.98	04/21/21	77.00	...	16.98	Option sale to close-call ²⁵
SPY 04/28/2021 CALL \$420.00 / CUSIP: / Symbol:							
04/28/21	1.000	19.98	04/26/21	112.02	...	-92.04	Option sale to close-call ²⁵
04/28/21	1.000	19.98	04/26/21	75.00	55.02 W	0.00	Option sale to close-call ²⁵
	Security total:	39.96		187.02	55.02 W	-92.04	
SWBI 04/16/2021 CALL \$20.00 / CUSIP: / Symbol:							
3 transactions for 04/16/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	03/10/21	87.00	...	-87.00	1 of 3 - Option expiration- long call
	1.000	0.00	03/23/21	45.00	...	-45.00	2 of 3 - Option expiration- long call
	1.000	0.00	04/05/21	15.00	...	-15.00	3 of 3 - Option expiration- long call
04/16/21	3.000	0.00	Various	147.00	...	-147.00	Total of 3 transactions
TGH 04/16/2021 CALL \$35.00 / CUSIP: / Symbol:							
2 transactions for 04/16/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	03/29/21	45.00	...	-45.00	1 of 2 - Option expiration- long call
	1.000	0.00	04/06/21	15.00	...	-15.00	2 of 2 - Option expiration- long call
04/16/21	2.000	0.00	Various	60.00	...	-60.00	Total of 2 transactions

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Account 926323635

Proceeds from Broker and Barter Exchange Transactions

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(continued)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 02/26/2021 CALL \$700.00 / CUSIP: / Symbol:							
3 transactions for 02/26/21. Total proceeds and cost reported to the IRS.							
	2.000	699.99	02/26/21	560.00	...	139.99	1 of 3 - Option sale to close-call ²⁵
	1.000	350.00	02/26/21	200.00	...	150.00	2 of 3 - Option sale to close-call ²⁵
	1.000	349.99	02/26/21	170.00	...	179.99	3 of 3 - Option sale to close-call ²⁵
02/26/21	4.000	1,399.98	Various	930.00	...	469.98	Total of 3 transactions
TSLA 03/05/2021 CALL \$595.00 / CUSIP: / Symbol:							
03/05/21	1.000	449.98	03/05/21	350.00	...	99.98	Option sale to close-call ²⁵
TSLA 03/12/2021 CALL \$700.00 / CUSIP: / Symbol:							
3 transactions for 03/12/21. Total proceeds and cost reported to the IRS.							
	1.000	67.98	03/12/21	242.01	...	-174.03	1 of 3 - Option sale to close-call ²⁵
	1.000	67.99	03/12/21	130.00	...	-62.01	2 of 3 - Option sale to close-call ²⁵
	1.000	449.98	03/12/21	300.00	...	149.98	3 of 3 - Option sale to close-call ²⁵
03/12/21	3.000	585.95	Various	672.01	...	-86.06	Total of 3 transactions
03/12/21	1.000	67.99	03/12/21	230.00	162.01 W	0.00	Option sale to close-call ²⁵
	Security total:	653.94		902.01	162.01 W	-86.06	
TSLA 04/01/2021 CALL \$900.00 / CUSIP: / Symbol:							
03/18/21	1.000	118.98	03/17/21	153.00	...	-34.02	Option sale to close-call ²⁵
UAL 02/12/2021 CALL \$40.00 / CUSIP: / Symbol:							
02/05/21	1.000	304.98	01/29/21	262.00	...	42.98	Option sale to close-call ²⁵
UBER 04/09/2021 CALL \$63.00 / CUSIP: / Symbol:							
3 transactions for 04/08/21. Total proceeds and cost reported to the IRS.							
	1.000	2.99	03/19/21	75.00	...	-72.01	1 of 3 - Option sale to close-call ²⁵
	1.000	2.99	03/23/21	16.00	...	-13.01	2 of 3 - Option sale to close-call ²⁵
	1.000	3.00	03/23/21	16.00	...	-13.00	3 of 3 - Option sale to close-call ²⁵
04/08/21	3.000	8.98	Various	107.00	...	-98.02	Total of 3 transactions
UNM 05/21/2021 CALL \$30.00 / CUSIP: / Symbol:							
04/21/21	1.000	54.98	04/09/21	55.00	...	-0.02	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 926323635

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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UVXY 02/12/2021 CALL \$10.50 / CUSIP: / Symbol:							
02/12/21	1.000	5.98	02/11/21	45.00	...	-39.02	Option sale to close-call ²⁵
VERU 02/19/2021 CALL \$20.00 / CUSIP: / Symbol:							
<i>3 transactions for 02/10/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	409.98	02/09/21	470.00	...	-60.02	1 of 3 - Option sale to close-call ²⁵
	1.000	449.98	02/09/21	470.00	...	-20.02	2 of 3 - Option sale to close-call ²⁵
	1.000	399.98	02/09/21	210.00	...	189.98	3 of 3 - Option sale to close-call ²⁵
02/10/21	3.000	1,259.94	Various	1,150.00	...	109.94	Total of 3 transactions
VSH 05/21/2021 CALL \$30.00 / CUSIP: / Symbol:							
05/21/21	1.000	0.00	04/06/21	40.00	...	-40.00	Option expiration- long call
WLL 04/16/2021 CALL \$35.00 / CUSIP: / Symbol:							
04/01/21	1.000	249.98	03/22/21	215.00	...	34.98	Option sale to close-call ²⁵
X 03/05/2021 CALL \$17.50 / CUSIP: / Symbol:							
02/17/21	1.000	193.98	02/16/21	94.00	...	99.98	Option sale to close-call ²⁵
02/22/21	1.000	207.98	02/17/21	208.00	...	-0.02	Option sale to close-call ²⁵
	Security total:	401.96		302.00	...	99.96	
XEL 04/16/2021 CALL \$65.00 / CUSIP: / Symbol:							
03/24/21	1.000	189.98	03/23/21	131.00	...	58.98	Option sale to close-call ²⁵
XL 04/16/2021 CALL \$10.00 / CUSIP: / Symbol:							
04/16/21	1.000	0.00	04/07/21	70.00	...	-70.00	Option expiration- long call
XLI 09/17/2021 CALL \$100.00 / CUSIP: / Symbol:							
03/12/21	1.000	459.98	03/01/21	360.00	...	99.98	Option sale to close-call ²⁵
XOM 03/05/2021 CALL \$58.00 / CUSIP: / Symbol:							
<i>2 transactions for 03/02/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	34.99	03/01/21	78.00	...	-43.01	1 of 2 - Option sale to close-call ²⁵
	1.000	34.99	03/01/21	43.00	...	-8.01	2 of 2 - Option sale to close-call ²⁵
03/02/21	2.000	69.98	Various	121.00	...	-51.02	Total of 2 transactions

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(continued)

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XPEV 03/12/2021 PUT \$22.50 / CUSIP: / Symbol:							
03/09/21	2.000	9.98	03/08/21	28.00	...	-18.02	Option sale to close-put ²⁵
XPEV 03/26/2021 CALL \$37.00 / CUSIP: / Symbol:							
03/19/21	1.000	187.98	03/19/21	133.00	...	54.98	Option sale to close-call ²⁵
ZM 03/05/2021 CALL \$447.50 / CUSIP: / Symbol:							
03/03/21	1.000	30.98	03/02/21	910.02	...	-879.04	Option sale to close-call ²⁵
03/03/21	1.000	34.98	03/01/21	842.00	807.02 W	0.00	Option sale to close-call ²⁵
	Security total:	65.96		1,752.02	807.02 W	-879.04	
ZM 03/05/2021 CALL \$450.00 / CUSIP: / Symbol:							
03/03/21	1.000	65.98	03/02/21	0.00	...	65.98	Short sale closed- call ²⁵ Option written: 03/01/21
ZM 03/05/2021 CALL \$455.00 / CUSIP: / Symbol:							
	<i>2 transactions for 03/04/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	47.98	03/03/21	0.00	...	47.98	1 of 2 - Short sale closed- call ²⁵ Option written: 03/02/21
	1.000	650.98	03/03/21	0.00	...	650.98	2 of 2 - Short sale closed- call ²⁵ Option written: 03/01/21
03/04/21	2.000	698.96	Various	0.00	...	698.96	Total of 2 transactions
ZM 03/05/2021 CALL \$460.00 / CUSIP: / Symbol:							
03/02/21	1.000	616.98	03/01/21	762.00	...	-145.02	Option sale to close-call ²⁵
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol:							
	<i>7 transactions for 01/19/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	2.95	12/15/20	2.83	...	0.12	1 of 7 - Sale ²⁵
	1.000	2.95	12/22/20	2.90	...	0.05	2 of 7 - Sale ²⁵
	24.000	70.80	12/22/20	67.20	...	3.60	3 of 7 - Sale ²⁵
	24.000	70.80	12/29/20	60.49	...	10.31	4 of 7 - Sale ²⁵
	2.000	5.90	12/30/20	4.75	...	1.15	5 of 7 - Sale ²⁵
	15.000	44.25	12/30/20	32.53	...	11.72	6 of 7 - Sale ²⁵
	32.000	94.39	12/30/20	69.38	...	25.01	7 of 7 - Sale ²⁵
01/19/21	99.000	292.04	Various	240.08	...	51.96	Total of 7 transactions

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Robinhood Securities LLC

Account 926323635

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
<i>4 transactions for 01/19/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	2.82	11/11/20	3.13	0.31 W	0.00	1 of 4 - Sale ²⁵
	15.000	42.30	12/15/20	42.43	0.13 W	0.00	2 of 4 - Sale ²⁵
	34.000	95.88	12/15/20	96.16	0.28 W	0.00	3 of 4 - Sale ²⁵
	50.000	140.99	12/15/20	151.75	10.76 W	0.00	4 of 4 - Sale ²⁵
01/19/21	100.000	281.99	Various	293.47	11.48 W	0.00	Total of 4 transactions
<i>3 transactions for 01/22/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	3.41	12/30/20	2.17	...	1.24	1 of 3 - Sale ²⁵
	1.000	3.41	01/20/21	2.88	...	0.53	2 of 3 - Sale ²⁵
	48.000	163.78	01/20/21	137.74	...	26.04	3 of 3 - Sale ²⁵
01/22/21	50.000	170.60	Various	142.79	...	27.81	Total of 3 transactions
01/28/21	1.000	12.29	01/20/21	2.87	...	9.42	Sale ²⁵
06/07/21	2.000	112.20	06/04/21	102.66	...	9.54	Sale ²⁵
	Security total:	869.12		781.87	11.48 W	98.73	
ARK INNOVATION ETF / CUSIP: 00214Q104 / Symbol:							
06/11/21	2.000	230.36	05/14/21	203.16	...	27.20	Sale ²⁵
ACASTI PHARMA, INC. CLASS A CO MMON STOCK / CUSIP: 00430K402 / Symbol:							
02/09/21	100.000	92.99	01/29/21	70.00	...	22.99	Sale ²⁵
AETERNA ZENTARIS INC. COMMON S TOCK / CUSIP: 007975402 / Symbol:							
<i>2 transactions for 02/09/21. Total proceeds and cost reported to the IRS.</i>							
	19.000	49.78	02/08/21	41.42	...	8.36	1 of 2 - Sale ²⁵
	81.000	212.21	02/08/21	176.58	...	35.63	2 of 2 - Sale ²⁵
02/09/21	100.000	261.99	Various	218.00	...	43.99	Total of 2 transactions
AETHLON MEDICAL, INC. COMMON S TOCK / CUSIP: 00808Y307 / Symbol:							
<i>6 transactions for 06/08/21. Total proceeds and cost reported to the IRS.</i>							
	25.000	87.50	06/01/21	53.20	...	34.30	1 of 6 - Sale ²⁵
	25.000	96.25	06/01/21	53.20	...	43.05	2 of 6 - Sale ²⁵
	50.000	150.00	06/01/21	106.75	...	43.25	3 of 6 - Sale ²⁵
	25.000	100.00	06/01/21	53.20	...	46.80	4 of 6 - Sale ²⁵
	25.000	100.25	06/01/21	53.21	...	47.04	5 of 6 - Sale ²⁵

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Robinhood Securities LLC

Account 926323635

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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AETHLON MEDICAL, INC. COMMON S TOCK / CUSIP: 00808Y307 / Symbol: (cont'd)							
	50.000	157.50	06/01/21	106.75	...	50.75	6 of 6 - Sale ²⁵
06/08/21	200.000	691.50	Various	426.31	...	265.19	Total of 6 transactions
AGEAGLE AERIAL SYSTEMS, INC. / CUSIP: 00848K101 / Symbol:							
01/26/21	10.000	126.70	01/19/21	123.10	...	3.60	Sale ²⁵
2 transactions for 01/28/21. Total proceeds and cost reported to the IRS.							
	1.000	10.69	01/19/21	12.31	1.62 W	0.00	1 of 2 - Sale ²⁵
	9.000	96.21	01/19/21	110.79	14.58 W	0.00	2 of 2 - Sale ²⁵
01/28/21	10.000	106.90	Various	123.10	16.20 W	0.00	Total of 2 transactions
2 transactions for 02/01/21. Total proceeds and cost reported to the IRS.							
	10.000	112.50	01/19/21	123.10	10.60 W	0.00	1 of 2 - Sale ²⁵
	10.000	112.50	01/19/21	133.30	20.80 W	0.00	2 of 2 - Sale ²⁵
02/01/21	20.000	225.00	Various	256.40	31.40 W	0.00	Total of 2 transactions
02/09/21	5.000	62.50	01/19/21	61.35	...	1.15	Sale ²⁵
3 transactions for 02/09/21. Total proceeds and cost reported to the IRS.							
	1.000	11.81	01/19/21	13.89	2.08 W	0.00	1 of 3 - Sale ²⁵
	9.000	106.29	01/19/21	125.01	18.72 W	0.00	2 of 3 - Sale ²⁵
	5.000	62.51	01/25/21	70.03	7.52 W	0.00	3 of 3 - Sale ²⁵
02/09/21	15.000	180.61	Various	208.93	28.32 W	0.00	Total of 3 transactions
02/10/21	5.000	85.00	01/27/21	62.60	...	22.40	Sale ²⁵
3 transactions for 02/17/21. Total proceeds and cost reported to the IRS.							
	1.000	14.29	01/27/21	12.25	...	2.04	1 of 3 - Sale ²⁵
	4.000	57.16	01/27/21	49.00	...	8.16	2 of 3 - Sale ²⁵
	5.000	71.45	01/29/21	57.52	...	13.93	3 of 3 - Sale ²⁵
02/17/21	10.000	142.90	Various	118.77	...	24.13	Total of 3 transactions
3 transactions for 02/19/21. Total proceeds and cost reported to the IRS.							
	1.000	11.20	01/29/21	12.08	0.88 W	0.00	1 of 3 - Sale ²⁵
	4.000	44.81	01/29/21	48.32	3.51 W	0.00	2 of 3 - Sale ²⁵
	5.000	56.02	02/02/21	56.25	0.23 W	0.00	3 of 3 - Sale ²⁵
02/19/21	10.000	112.03	Various	116.65	4.62 W	0.00	Total of 3 transactions
3 transactions for 04/05/21. Total proceeds and cost reported to the IRS.							
	1.000	8.29	02/02/21	12.13	3.84 W	0.00	1 of 3 - Sale ²⁵
	4.000	33.16	02/02/21	48.51	15.35 W	0.00	2 of 3 - Sale ²⁵

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(continued)

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AGEAGLE AERIAL SYSTEMS, INC. / CUSIP: 00848K101 / Symbol: (cont'd)							
04/05/21	5.000	41.45	02/08/21	54.93	13.48 W	0.00	3 of 3 - Sale ²⁵
	10.000	82.90	Various	115.57	32.67 W	0.00	Total of 3 transactions
	2 transactions for 04/21/21. Total proceeds and cost reported to the IRS.						
	5.000	28.75	02/08/21	54.69	25.94 W	0.00	1 of 2 - Sale ²⁵
	5.000	28.75	02/18/21	56.74	27.99 W	0.00	2 of 2 - Sale ²⁵
04/21/21	10.000	57.50	Various	111.43	53.93 W	0.00	Total of 2 transactions
	3 transactions for 06/03/21. Total proceeds and cost reported to the IRS.						
	5.000	28.50	02/18/21	49.00	20.50 W	0.00	1 of 3 - Sale ²⁵
	5.000	28.50	02/19/21	51.15	22.65 W	0.00	2 of 3 - Sale ²⁵
	5.000	28.50	03/04/21	33.50	5.00 W	0.00	3 of 3 - Sale ²⁵
06/03/21	15.000	85.50	Various	133.65	48.15 W	0.00	Total of 3 transactions
	5 transactions for 06/23/21. Total proceeds and cost reported to the IRS.						
	5.000	27.41	03/05/21	29.09	1.68 W	0.00	1 of 5 - Sale ²⁵
	10.000	54.82	03/05/21	58.20	3.38 W	0.00	2 of 5 - Sale ²⁵
	1.000	5.48	03/16/21	11.84	6.36 W	0.00	3 of 5 - Sale ²⁵
	4.000	21.93	03/16/21	47.35	25.42 W	0.00	4 of 5 - Sale ²⁵
	5.000	27.41	03/16/21	53.48	26.07 W	0.00	5 of 5 - Sale ²⁵
06/23/21	25.000	137.05	Various	199.96	62.91 W	0.00	Total of 5 transactions
	Security total:	1,404.59		1,631.51	278.20 W	51.28	
AKEBIA THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 00972D105 / Symbol:							
02/12/21	30.000	141.90	02/08/21	137.70	...	4.20	Sale ²⁵
ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTS EIGHT ORDINARY S / CUSIP: 01609W102 / Symbol:							
01/06/21	1.000	227.76	01/04/21	232.13	4.37 W	0.00	Sale ²⁵
01/11/21	1.000	226.84	01/07/21	226.82	...	0.02	Sale ²⁵
02/03/21	1.000	263.61	01/14/21	243.07	...	20.54	Sale ²⁵
10/12/21	4.000	652.04	10/06/21	573.26	...	78.78	Sale ²⁵
10/22/21	1.000	181.28	10/06/21	143.32	...	37.96	Sale ²⁵
	Security total:	1,551.53		1,418.60	4.37 W	137.30	

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ALLIANCE RESOURCE PARTNERS, L. P. COMMON UNITS REPRESENTING L LIMITED PARTNERS INTERESTS / CUSIP: 01877R108 / Symbol:							
04/21/21	25.000	150.78	02/17/21	156.38	...	-5.60	Sale ²⁵
06/30/21	20.000	144.40	06/09/21	144.20	...	0.20	Sale ²⁵
07/01/21	5.000	36.69	06/09/21	36.05	...	0.64	Sale ²⁵
	Security total:	331.87		336.63	...	-4.76	
ALZAMEND NEURO, INC. COMMON STOCK / CUSIP: 02262M308 / Symbol:							
06/25/21	10.000	91.10	06/15/21	210.20	119.10 W	0.00	Sale ²⁵
06/28/21	10.000	103.00	06/15/21	262.10	159.10 W	0.00	Sale ²⁵
	3 transactions for 06/30/21. Total proceeds and cost reported to the IRS.						
	5.000	58.50	06/18/21	46.85	...	11.65	1 of 3 - Sale ²⁵
	5.000	58.50	06/21/21	39.95	...	18.55	2 of 3 - Sale ²⁵
	5.000	58.50	06/21/21	39.90	...	18.60	3 of 3 - Sale ²⁵
06/30/21	15.000	175.50	Various	126.70	...	48.80	Total of 3 transactions
	3 transactions for 06/30/21. Total proceeds and cost reported to the IRS.						
	10.000	117.00	06/15/21	288.10	171.10 W	0.00	1 of 3 - Sale ²⁵
	5.000	60.00	06/21/21	125.45	65.45 W	0.00	2 of 3 - Sale ²⁵
	5.000	60.00	06/25/21	128.75	68.75 W	0.00	3 of 3 - Sale ²⁵
06/30/21	20.000	237.00	Various	542.30	305.30 W	0.00	Total of 3 transactions
	Security total:	606.60		1,141.30	583.50 W	48.80	
APHRIA INC. COMMON SHARES / CUSIP: 03765K104 / Symbol:							
02/09/21	5.000	116.73	01/13/21	48.05	...	68.68	Sale ²⁵
02/16/21	5.000	111.00	01/13/21	48.05	...	62.95	Sale ²⁵
02/18/21	5.000	110.36	01/13/21	48.05	...	62.31	Sale ²⁵
02/24/21	5.000	103.50	01/13/21	48.05	...	55.45	Sale ²⁵
03/15/21	5.000	111.13	01/13/21	48.05	...	63.08	Sale ²⁵
04/21/21	1.000	13.80	02/11/21	21.70	...	-7.90	Sale ²⁵
	4 transactions for 04/28/21. Total proceeds and cost reported to the IRS.						
	4.000	62.18	02/11/21	86.80	...	-24.62	1 of 4 - Sale ²⁵
	2.000	31.09	02/11/21	41.00	...	-9.91	2 of 4 - Sale ²⁵
	2.000	31.09	02/11/21	40.00	...	-8.91	3 of 4 - Sale ²⁵
	1.000	15.54	02/11/21	21.00	...	-5.46	4 of 4 - Sale ²⁵
04/28/21	9.000	139.90	Various	188.80	...	-48.90	Total of 4 transactions
	Security total:	706.42		450.75	...	255.67	

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Robinhood Securities LLC

Account 926323635

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
02/08/21	5.000	680.53	09/17/20	547.68	...	132.85	Sale ²⁵
	2 transactions for 02/24/21. Total proceeds and cost reported to the IRS.						
	1.000	125.30	11/30/20	126.41	...	-1.11	1 of 2 - Sale ²⁵
	2.000	250.60	11/30/20	247.40	...	3.20	2 of 2 - Sale ²⁵
02/24/21	3.000	375.90	Various	373.81	...	2.09	Total of 2 transactions
	2 transactions for 02/25/21. Total proceeds and cost reported to the IRS.						
	1.000	125.74	11/30/20	126.41	...	-0.67	1 of 2 - Sale ²⁵
	1.000	125.74	11/30/20	118.46	...	7.28	2 of 2 - Sale ²⁵
02/25/21	2.000	251.48	Various	244.87	...	6.61	Total of 2 transactions
02/26/21	5.000	607.82	11/30/20	592.29	...	15.53	Sale ²⁵
	2 transactions for 11/18/21. Total proceeds and cost reported to the IRS.						
	2.000	308.78	11/11/21	295.72	...	13.06	1 of 2 - Sale ²⁵
	3.000	463.16	11/11/21	443.55	...	19.61	2 of 2 - Sale ²⁵
11/18/21	5.000	771.94	Various	739.27	...	32.67	Total of 2 transactions
	Security total:	2,687.67		2,497.92	...	189.75	
ASHFORD HOSPITALITY TRUST, INC. / CUSIP: 044103877 / Symbol:							
	2 transactions for 06/02/21. Total proceeds and cost reported to the IRS.						
	50.000	269.60	06/01/21	242.50	...	27.10	1 of 2 - Sale ²⁵
	50.000	271.56	06/01/21	243.75	...	27.81	2 of 2 - Sale ²⁵
06/02/21	100.000	541.16	Various	486.25	...	54.91	Total of 2 transactions
AURORA CANNABIS INC. COMMON SH ARES / CUSIP: 05156X884 / Symbol:							
01/14/21	1.000	12.02	01/06/21	10.47	...	1.55	Sale ²⁵
	7 transactions for 05/21/21. Total proceeds and cost reported to the IRS.						
	9.000	68.40	02/16/21	123.21	54.81 W	0.00	1 of 7 - Sale ²⁵
	10.000	76.00	02/16/21	136.65	60.65 W	0.00	2 of 7 - Sale ²⁵
	1.000	7.60	02/17/21	12.99	5.39 W	0.00	3 of 7 - Sale ²⁵
	5.000	38.00	02/19/21	61.35	23.35 W	0.00	4 of 7 - Sale ²⁵
	5.000	38.00	02/23/21	52.74	14.74 W	0.00	5 of 7 - Sale ²⁵
	5.000	38.00	03/16/21	54.28	16.28 W	0.00	6 of 7 - Sale ²⁵
	5.000	38.00	03/22/21	48.80	10.80 W	0.00	7 of 7 - Sale ²⁵
05/21/21	40.000	304.00	Various	490.02	186.02 W	0.00	Total of 7 transactions

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Robinhood Securities LLC

Account 926323635

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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AURORA CANNABIS INC. COMMON SH ARES / CUSIP: 05156X884 / Symbol: (cont'd)							
05/28/21	10.000	94.00	03/22/21	97.60	1.80 W	-1.80	Sale ²⁵
	3 transactions for 06/24/21. Total proceeds and cost reported to the IRS.						
	5.000	46.88	04/14/21	40.20	...	6.68	1 of 3 - Sale ²⁵
	5.000	46.87	05/14/21	64.92	...	-18.05	2 of 3 - Sale ²⁵
	5.000	46.88	05/14/21	64.42	...	-17.54	3 of 3 - Sale ²⁵
06/24/21	15.000	140.63	Various	169.54	...	-28.91	Total of 3 transactions
	3 transactions for 11/11/21. Total proceeds and cost reported to the IRS.						
	5.000	38.00	05/14/21	50.37	...	-12.37	1 of 3 - Sale ²⁵
	5.000	38.00	05/14/21	48.84	...	-10.84	2 of 3 - Sale ²⁵
	5.000	38.00	05/14/21	35.89	...	2.11	3 of 3 - Sale ²⁵
11/11/21	15.000	114.00	Various	135.10	...	-21.10	Total of 3 transactions
	3 transactions for 11/11/21. Total proceeds and cost reported to the IRS.						
	1.000	7.60	05/14/21	12.21	4.61 W	0.00	1 of 3 - Sale ²⁵
	5.000	38.00	05/14/21	57.45	19.45 W	0.00	2 of 3 - Sale ²⁵
	9.000	68.40	05/14/21	116.18	47.78 W	0.00	3 of 3 - Sale ²⁵
11/11/21	15.000	114.00	Various	185.84	71.84 W	0.00	Total of 3 transactions
	5 transactions for 11/12/21. Total proceeds and cost reported to the IRS.						
	5.000	40.40	05/14/21	44.90	...	-4.50	1 of 5 - Sale ²⁵
	5.000	40.40	10/19/21	63.73	...	-23.33	2 of 5 - Sale ²⁵
	4.000	32.32	11/10/21	50.83	...	-18.51	3 of 5 - Sale ²⁵
	5.000	40.40	11/10/21	56.44	...	-16.04	4 of 5 - Sale ²⁵
	1.000	8.08	11/10/21	12.01	...	-3.93	5 of 5 - Sale ²⁵
11/12/21	20.000	161.60	Various	227.91	...	-66.31	Total of 5 transactions
	Security total:	940.25		1,316.48	259.66 W	-116.57	
AZUL S.A. AMERICAN DEPOSITARY SHARES (EACH REPRESENTING THREE PREFERRED SHARES) / CUSIP: 05501U106 / Symbol:							
01/27/21	1.000	21.60	08/19/20	11.86	...	9.74	Sale ²⁵
BEYOND MEAT, INC. COMMON STOCK / CUSIP: 08862E109 / Symbol:							
	4 transactions for 01/14/21. Total proceeds and cost reported to the IRS.						
	0.436	62.24	01/04/21	54.06	...	8.18	1 of 4 - Sale ²⁵
	0.564	79.50	01/04/21	69.88	...	9.62	2 of 4 - Sale ²⁵

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Robinhood Securities LLC

Account 926323635

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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BEYOND MEAT, INC. COMMON STOCK / CUSIP: 08862E109 / Symbol: (cont'd)							
	2.000	282.00	01/06/21	240.00	...	42.00	3 of 4 - Sale ²⁵
	0.436	61.50	01/11/21	50.00	...	11.50	4 of 4 - Sale ²⁵
01/14/21	3.436	485.24	Various	413.94	...	71.30	Total of 4 transactions
BIONANO GENOMICS, INC. COMMON STOCK / CUSIP: 09075F107 / Symbol:							
4 transactions for 01/20/21. Total proceeds and cost reported to the IRS.							
	5.000	39.70	01/08/21	21.15	...	18.55	1 of 4 - Sale ²⁵
	5.000	39.70	01/08/21	20.53	...	19.17	2 of 4 - Sale ²⁵
	15.000	119.10	01/08/21	61.63	...	57.47	3 of 4 - Sale ²⁵
	4.000	31.76	01/12/21	21.66	...	10.10	4 of 4 - Sale ²⁵
01/20/21	29.000	230.26	Various	124.97	...	105.29	Total of 4 transactions
2 transactions for 02/02/21. Total proceeds and cost reported to the IRS.							
	1.000	12.52	01/12/21	5.42	...	7.10	1 of 2 - Sale ²⁵
	8.000	100.16	01/26/21	98.16	...	2.00	2 of 2 - Sale ²⁵
02/02/21	9.000	112.68	Various	103.58	...	9.10	Total of 2 transactions
2 transactions for 02/11/21. Total proceeds and cost reported to the IRS.							
	1.000	11.44	01/26/21	12.27	...	-0.83	1 of 2 - Sale ²⁵
	9.000	102.96	02/05/21	98.64	...	4.32	2 of 2 - Sale ²⁵
02/11/21	10.000	114.40	Various	110.91	...	3.49	Total of 2 transactions
06/04/21	10.000	70.20	04/28/21	69.38	...	0.82	Sale ²⁵
7 transactions for 06/04/21. Total proceeds and cost reported to the IRS.							
	2.000	13.68	03/22/21	17.72	4.04 W	0.00	1 of 7 - Sale ²⁵
	5.000	35.10	03/22/21	44.30	9.20 W	0.00	2 of 7 - Sale ²⁵
	18.000	123.13	03/22/21	159.46	36.33 W	0.00	3 of 7 - Sale ²⁵
	5.000	35.10	04/06/21	39.74	4.64 W	0.00	4 of 7 - Sale ²⁵
	2.000	14.04	05/14/21	14.68	0.64 W	0.00	5 of 7 - Sale ²⁵
	3.000	21.06	05/14/21	22.02	0.96 W	0.00	6 of 7 - Sale ²⁵
	5.000	35.10	05/27/21	40.02	4.92 W	0.00	7 of 7 - Sale ²⁵
06/04/21	40.000	277.21	Various	337.94	60.73 W	0.00	Total of 7 transactions
7 transactions for 06/08/21. Total proceeds and cost reported to the IRS.							
	2.000	18.08	05/27/21	12.37	...	5.71	1 of 7 - Sale ²⁵
	5.000	45.20	05/27/21	38.54	...	6.66	2 of 7 - Sale ²⁵
	3.000	27.12	05/27/21	18.56	...	8.56	3 of 7 - Sale ²⁵

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Account 926323635

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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BIONANO GENOMICS, INC. COMMON STOCK / CUSIP: 09075F107 / Symbol: (cont'd)							
	5.000	44.75	05/27/21	33.97	...	10.78	4 of 7 - Sale ²⁵
	5.000	45.20	05/27/21	33.98	...	11.22	5 of 7 - Sale ²⁵
	10.000	90.40	05/27/21	78.86	...	11.54	6 of 7 - Sale ²⁵
	20.000	179.00	06/01/21	120.70	...	58.30	7 of 7 - Sale ²⁵
06/08/21	50.000	449.75	Various	336.98	...	112.77	Total of 7 transactions
	Security total:	1,254.50		1,083.76	60.73 W	231.47	
BIOLASE, INC. COMMON STOCK / CUSIP: 090911207 / Symbol:							
01/26/21	99.000	133.64	01/15/21	83.40	...	50.24	Sale ²⁵
	2 transactions for 04/08/21. Total proceeds and cost reported to the IRS.						
	1.000	1.01	01/15/21	0.84	...	0.17	1 of 2 - Sale ²⁵
	49.000	49.53	02/02/21	51.40	...	-1.87	2 of 2 - Sale ²⁵
04/08/21	50.000	50.54	Various	52.24	...	-1.70	Total of 2 transactions
	Security total:	184.18		135.64	...	48.54	
BLACKBERRY LIMITED / CUSIP: 09228F103 / Symbol:							
06/02/21	25.000	326.25	06/01/21	273.70	...	52.55	Sale ²⁵
CANAAN INC. AMERICAN DEPOSITARY SHARES / CUSIP: 134748102 / Symbol:							
	2 transactions for 06/03/21. Total proceeds and cost reported to the IRS.						
	25.000	266.50	06/01/21	251.89	...	14.61	1 of 2 - Sale ²⁵
	5.000	53.30	06/02/21	51.14	...	2.16	2 of 2 - Sale ²⁵
06/03/21	30.000	319.80	Various	303.03	...	16.77	Total of 2 transactions
	2 transactions for 06/14/21. Total proceeds and cost reported to the IRS.						
	10.000	109.83	06/07/21	103.69	...	6.14	1 of 2 - Sale ²⁵
	10.000	109.83	06/11/21	95.08	...	14.75	2 of 2 - Sale ²⁵
06/14/21	20.000	219.66	Various	198.77	...	20.89	Total of 2 transactions
	3 transactions for 06/14/21. Total proceeds and cost reported to the IRS.						
	5.000	54.92	06/03/21	56.45	1.53 W	0.00	1 of 3 - Sale ²⁵
	20.000	215.00	06/03/21	225.80	10.80 W	0.00	2 of 3 - Sale ²⁵
	25.000	275.00	06/03/21	282.25	7.25 W	0.00	3 of 3 - Sale ²⁵
06/14/21	50.000	544.92	Various	564.50	19.58 W	0.00	Total of 3 transactions

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Account 926323635

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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CANAAN INC. AMERICAN DEPOSITARY SHARES / CUSIP: 134748102 / Symbol: (cont'd)							
<i>4 transactions for 08/09/21. Total proceeds and cost reported to the IRS.</i>							
	20.000	202.20	06/14/21	209.99	...	-7.79	1 of 4 - Sale ²⁵
	5.000	51.30	06/14/21	52.50	...	-1.20	2 of 4 - Sale ²⁵
	5.000	50.31	06/18/21	48.81	...	1.50	3 of 4 - Sale ²⁵
	20.000	201.23	06/18/21	189.12	...	12.11	4 of 4 - Sale ²⁵
08/09/21	50.000	505.04	Various	500.42	...	4.62	Total of 4 transactions
	Security total:	1,589.42		1,566.72	19.58 W	42.28	
CARDIFF ONCOLOGY, INC. COMMON STOCK / CUSIP: 14147L108 / Symbol:							
<i>2 transactions for 09/08/21. Total proceeds and cost reported to the IRS.</i>							
	10.000	90.00	02/11/21	139.50	49.50 W	0.00	1 of 2 - Sale ²⁵
	10.000	91.00	02/12/21	131.87	40.87 W	0.00	2 of 2 - Sale ²⁵
09/08/21	20.000	181.00	Various	271.37	90.37 W	0.00	Total of 2 transactions
CARNIVAL PLC / CUSIP: 14365C103 / Symbol:							
01/28/21	1.000	17.02	09/18/20	12.75	...	4.27	Sale ²⁵
01/28/21	9.000	153.18	12/10/20	174.68	21.50 W	0.00	Sale ²⁵
02/03/21	10.000	175.25	12/10/20	194.09	18.84 W	0.00	Sale ²⁵
<i>2 transactions for 02/04/21. Total proceeds and cost reported to the IRS.</i>							
	5.000	91.00	12/17/20	92.85	1.85 W	0.00	1 of 2 - Sale ²⁵
	5.000	91.00	01/13/21	97.92	1.38 W	-5.54	2 of 2 - Sale ²⁵
02/04/21	10.000	182.00	Various	190.77	3.23 W	-5.54	Total of 2 transactions
<i>7 transactions for 02/05/21. Total proceeds and cost reported to the IRS.</i>							
	4.000	75.24	01/19/21	81.26	...	-6.02	1 of 7 - Sale ²⁵
	1.000	18.81	01/19/21	19.81	...	-1.00	2 of 7 - Sale ²⁵
	5.000	94.06	01/25/21	93.52	...	0.54	3 of 7 - Sale ²⁵
	1.000	18.81	01/25/21	17.67	...	1.14	4 of 7 - Sale ²⁵
	4.000	75.24	01/25/21	73.88	...	1.36	5 of 7 - Sale ²⁵
	1.000	18.81	01/25/21	16.96	...	1.85	6 of 7 - Sale ²⁵
	4.000	75.25	01/25/21	66.63	...	8.62	7 of 7 - Sale ²⁵
02/05/21	20.000	376.22	Various	369.73	...	6.49	Total of 7 transactions
	Security total:	903.67		942.02	43.57 W	5.22	

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Account 926323635

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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CATABASIS PHARMACEUTICALS, INC . COMMON STOCK / CUSIP: 14875P206 / Symbol:							
2 transactions for 06/15/21. Total proceeds and cost reported to the IRS.							
	5.000	14.00	05/28/21	10.59	...	3.41	1 of 2 - Sale ²⁵
06/15/21	10.000	28.00	05/28/21	21.57	...	6.43	2 of 2 - Sale ²⁵
	15.000	42.00	Various	32.16	...	9.84	Total of 2 transactions
5 transactions for 06/15/21. Total proceeds and cost reported to the IRS.							
	30.000	85.50	02/19/21	112.80	27.30 W	0.00	1 of 5 - Sale ²⁵
	10.000	28.50	02/24/21	29.00	0.50 W	0.00	2 of 5 - Sale ²⁵
	10.000	28.50	02/26/21	28.60	0.10 W	0.00	3 of 5 - Sale ²⁵
	3.000	8.40	05/28/21	9.03	0.63 W	0.00	4 of 5 - Sale ²⁵
06/15/21	27.000	75.60	05/28/21	81.45	5.85 W	0.00	5 of 5 - Sale ²⁵
06/25/21	80.000	226.50	Various	260.88	34.38 W	0.00	Total of 5 transactions
	5.000	11.40	05/28/21	10.58	...	0.82	Sale ²⁵
2 transactions for 06/25/21. Total proceeds and cost reported to the IRS.							
	2.000	4.56	06/16/21	5.21	0.65 W	0.00	1 of 2 - Sale ²⁵
06/25/21	3.000	6.84	06/16/21	7.80	0.96 W	0.00	2 of 2 - Sale ²⁵
	5.000	11.40	Various	13.01	1.61 W	0.00	Total of 2 transactions
	Security total:	291.30		316.63	35.99 W	10.66	
CHARGEPOINT HOLDINGS, INC. / CUSIP: 15961R105 / Symbol:							
7 transactions for 10/19/21. Total proceeds and cost reported to the IRS.							
	20.000	423.40	07/28/21	460.77	37.37 W	0.00	1 of 7 - Sale ²⁵
	5.000	104.07	08/12/21	121.57	17.50 W	0.00	2 of 7 - Sale ²⁵
	5.000	105.85	08/12/21	121.57	15.72 W	0.00	3 of 7 - Sale ²⁵
	2.000	41.63	08/17/21	42.68	1.05 W	0.00	4 of 7 - Sale ²⁵
	5.000	104.07	08/17/21	107.08	3.01 W	0.00	5 of 7 - Sale ²⁵
	3.000	62.45	08/23/21	64.07	1.62 W	0.00	6 of 7 - Sale ²⁵
10/19/21	10.000	208.15	08/23/21	214.91	6.76 W	0.00	7 of 7 - Sale ²⁵
	50.000	1,049.62	Various	1,132.65	83.03 W	0.00	Total of 7 transactions
5 transactions for 10/21/21. Total proceeds and cost reported to the IRS.							
	10.000	217.50	09/08/21	216.90	...	0.60	1 of 5 - Sale ²⁵
	5.000	108.75	09/10/21	103.60	...	5.15	2 of 5 - Sale ²⁵
	10.000	217.50	09/10/21	207.40	...	10.10	3 of 5 - Sale ²⁵
	5.000	110.45	09/15/21	102.80	...	7.65	4 of 5 - Sale ²⁵

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Robinhood Securities LLC

Account 926323635

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
CHARGEPOINT HOLDINGS, INC. / CUSIP: 15961R105 / Symbol: (cont'd)							
10/21/21	20.000	441.80	09/15/21	410.94	...	30.86	5 of 5 - Sale ²⁵
	50.000	1,096.00	Various	1,041.64	...	54.36	Total of 5 transactions
	3 transactions for 10/28/21. Total proceeds and cost reported to the IRS.						
	10.000	238.20	09/15/21	205.08	...	33.12	1 of 3 - Sale ²⁵
	4.000	95.28	09/24/21	87.49	...	7.79	2 of 3 - Sale ²⁵
	6.000	142.92	09/24/21	130.46	...	12.46	3 of 3 - Sale ²⁵
10/28/21	20.000	476.40	Various	423.03	...	53.37	Total of 3 transactions
	7 transactions for 11/08/21. Total proceeds and cost reported to the IRS.						
	2.000	55.80	09/24/21	43.49	...	12.31	1 of 7 - Sale ²⁵
	8.000	223.20	09/24/21	173.95	...	49.25	2 of 7 - Sale ²⁵
	2.000	54.86	09/30/21	40.28	...	14.58	3 of 7 - Sale ²⁵
	5.000	137.15	09/30/21	115.57	...	21.58	4 of 7 - Sale ²⁵
	3.000	82.28	09/30/21	60.46	...	21.82	5 of 7 - Sale ²⁵
	5.000	137.15	09/30/21	113.80	...	23.35	6 of 7 - Sale ²⁵
	5.000	137.15	09/30/21	101.09	...	36.06	7 of 7 - Sale ²⁵
11/08/21	30.000	827.59	Various	648.64	...	178.95	Total of 7 transactions
	3 transactions for 11/23/21. Total proceeds and cost reported to the IRS.						
	10.000	257.80	10/01/21	199.56	...	58.24	1 of 3 - Sale ²⁵
	10.000	257.79	10/01/21	192.80	...	64.99	2 of 3 - Sale ²⁵
	10.000	257.80	10/01/21	192.80	...	65.00	3 of 3 - Sale ²⁵
11/23/21	30.000	773.39	Various	585.16	...	188.23	Total of 3 transactions
	Security total:	4,223.00		3,831.12	83.03 W	474.91	
CHURCHILL CAPITAL CORP IV / CUSIP: 171439102 / Symbol:							
03/11/21	2.000	52.36	02/23/21	77.23	24.87 W	0.00	Sale ²⁵
	2 transactions for 03/16/21. Total proceeds and cost reported to the IRS.						
	2.000	61.60	02/23/21	95.87	34.27 W	0.00	1 of 2 - Sale ²⁵
	3.000	92.40	02/23/21	115.85	23.45 W	0.00	2 of 2 - Sale ²⁵
03/16/21	5.000	154.00	Various	211.72	57.72 W	0.00	Total of 2 transactions
	13 transactions for 06/01/21. Total proceeds and cost reported to the IRS.						
	1.000	21.17	02/24/21	37.81	16.64 W	0.00	1 of 13 - Sale ²⁵
	1.000	21.17	02/24/21	38.26	17.09 W	0.00	2 of 13 - Sale ²⁵
	1.000	21.17	02/24/21	42.17	21.00 W	0.00	3 of 13 - Sale ²⁵

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Robinhood Securities LLC

Account 926323635

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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CHURCHILL CAPITAL CORP IV / CUSIP: 171439102 / Symbol: (cont'd)							
	1.000	21.17	02/25/21	26.92	5.75 W	0.00	4 of 13 - Sale ²⁵
	2.000	42.34	02/25/21	90.80	48.46 W	0.00	5 of 13 - Sale ²⁵
	3.000	63.51	02/25/21	84.80	21.29 W	0.00	6 of 13 - Sale ²⁵
	1.000	21.18	03/03/21	26.57	5.39 W	0.00	7 of 13 - Sale ²⁵
	3.000	64.85	03/03/21	72.54	7.69 W	0.00	8 of 13 - Sale ²⁵
	1.000	21.61	03/09/21	23.37	1.76 W	0.00	9 of 13 - Sale ²⁵
	1.000	21.62	03/09/21	23.90	2.28 W	0.00	10 of 13 - Sale ²⁵
	1.000	22.00	03/17/21	28.71	6.71 W	0.00	11 of 13 - Sale ²⁵
	1.000	22.00	03/17/21	28.71	6.71 W	0.00	12 of 13 - Sale ²⁵
	3.000	66.00	03/18/21	85.95	19.95 W	0.00	13 of 13 - Sale ²⁵
06/01/21	20.000	429.79	Various	610.51	180.72 W	0.00	Total of 13 transactions
	2 transactions for 06/07/21. Total proceeds and cost reported to the IRS.						
	5.000	128.23	03/23/21	125.70	...	2.53	1 of 2 - Sale ²⁵
	5.000	128.22	04/21/21	89.44	...	38.78	2 of 2 - Sale ²⁵
06/07/21	10.000	256.45	Various	215.14	...	41.31	Total of 2 transactions
	3 transactions for 06/24/21. Total proceeds and cost reported to the IRS.						
	3.000	76.80	05/12/21	75.32	...	1.48	1 of 3 - Sale ²⁵
	1.000	25.60	05/19/21	24.51	...	1.09	2 of 3 - Sale ²⁵
	1.000	25.61	05/19/21	24.15	...	1.46	3 of 3 - Sale ²⁵
06/24/21	5.000	128.01	Various	123.98	...	4.03	Total of 3 transactions
	4 transactions for 06/24/21. Total proceeds and cost reported to the IRS.						
	1.000	25.60	05/04/21	35.68	10.08 W	0.00	1 of 4 - Sale ²⁵
	1.000	25.60	05/04/21	36.13	10.53 W	0.00	2 of 4 - Sale ²⁵
	1.000	25.60	05/04/21	40.04	14.44 W	0.00	3 of 4 - Sale ²⁵
	2.000	51.20	05/04/21	86.54	35.34 W	0.00	4 of 4 - Sale ²⁵
06/24/21	5.000	128.00	Various	198.39	70.39 W	0.00	Total of 4 transactions
	Security total:	1,148.61		1,436.97	333.70 W	45.34	
CINEMARK HOLDINGS, INC. / CUSIP: 17243V102 / Symbol:							
02/03/21	1.000	19.87	12/22/20	15.54	...	4.33	Sale ²⁵

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Robinhood Securities LLC

Account 926323635

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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CLEAN ENERGY FUELS CORP. COMMO N STOCK / CUSIP: 184499101 / Symbol:							
2 transactions for 01/25/21. Total proceeds and cost reported to the IRS.							
	9.000	111.60	01/14/21	89.87	...	21.73	1 of 2 - Sale ²⁵
01/25/21	10.000	124.00	01/14/21	99.70	...	24.30	2 of 2 - Sale ²⁵
	19.000	235.60	Various	189.57	...	46.03	Total of 2 transactions
4 transactions for 02/03/21. Total proceeds and cost reported to the IRS.							
	1.000	11.47	01/14/21	9.98	...	1.49	1 of 4 - Sale ²⁵
	10.000	114.70	01/26/21	115.80	...	-1.10	2 of 4 - Sale ²⁵
	9.000	103.23	01/27/21	97.91	...	5.32	3 of 4 - Sale ²⁵
02/03/21	10.000	114.70	01/28/21	102.30	...	12.40	4 of 4 - Sale ²⁵
	30.000	344.10	Various	325.99	...	18.11	Total of 4 transactions
	Security total:	579.70		515.56	...	64.14	
CLOUDFLARE, INC. CLASS A COMMO N STOCK, PAR VALUE \$0.001 PER SHARE / CUSIP: 18915M107 / Symbol:							
2 transactions for 01/13/21. Total proceeds and cost reported to the IRS.							
	1.000	82.48	09/24/20	39.22	...	43.26	1 of 2 - Sale ²⁵
01/13/21	4.000	329.92	11/10/20	237.42	...	92.50	2 of 2 - Sale ²⁵
	5.000	412.40	Various	276.64	...	135.76	Total of 2 transactions
5 transactions for 02/16/21. Total proceeds and cost reported to the IRS.							
	1.000	84.68	11/10/20	59.35	...	25.33	1 of 5 - Sale ²⁵
	3.000	254.03	11/10/20	178.42	...	75.61	2 of 5 - Sale ²⁵
	1.000	84.68	11/16/20	60.32	...	24.36	3 of 5 - Sale ²⁵
	1.000	84.68	12/23/20	82.84	...	1.84	4 of 5 - Sale ²⁵
02/16/21	3.000	254.03	01/04/21	223.77	...	30.26	5 of 5 - Sale ²⁵
	9.000	762.10	Various	604.70	...	157.40	Total of 5 transactions
02/18/21	1.000	83.00	01/04/21	74.59	...	8.41	Sale ²⁵
03/01/21	5.000	374.45	02/23/21	377.55	...	-3.10	Sale ²⁵
	Security total:	1,631.95		1,333.48	...	298.47	
COMSCORE, INC. COMMON STOCK / CUSIP: 20564W105 / Symbol:							
06/04/21	50.000	238.05	06/03/21	213.73	...	24.32	Sale ²⁵

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Account 926323635

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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COMSOVEREIGN HOLDING CORP. COM MON STOCK / CUSIP: 205650203 / Symbol:							
2 transactions for 10/25/21. Total proceeds and cost reported to the IRS.							
	1.000	2.26	05/26/21	2.17	...	0.09	1 of 2 - Sale ²⁵
	9.000	20.34	05/26/21	19.53	...	0.81	2 of 2 - Sale ²⁵
10/25/21	10.000	22.60	Various	21.70	...	0.90	Total of 2 transactions
3 transactions for 10/25/21. Total proceeds and cost reported to the IRS.							
	5.000	11.30	02/18/21	25.50	14.20 W	0.00	1 of 3 - Sale ²⁵
	25.000	56.50	02/18/21	127.50	71.00 W	0.00	2 of 3 - Sale ²⁵
	10.000	22.60	03/17/21	31.60	9.00 W	0.00	3 of 3 - Sale ²⁵
10/25/21	40.000	90.40	Various	184.60	94.20 W	0.00	Total of 3 transactions
	Security total:	113.00		206.30	94.20 W	0.90	
ELECTRAMECCANICA VEHICLES CORP. LTD. COMMON STOCK / CUSIP: 284849205 / Symbol:							
2 transactions for 01/05/21. Total proceeds and cost reported to the IRS.							
	1.000	6.71	12/09/20	7.01	0.30 W	0.00	1 of 2 - Sale ²⁵
	9.000	60.41	12/28/20	62.97	2.28 W	-0.28	2 of 2 - Sale ²⁵
01/05/21	10.000	67.12	Various	69.98	2.58 W	-0.28	Total of 2 transactions
3 transactions for 01/06/21. Total proceeds and cost reported to the IRS.							
	4.000	28.08	12/28/20	27.99	...	0.09	1 of 3 - Sale ²⁵
	7.000	49.14	12/28/20	48.97	...	0.17	2 of 3 - Sale ²⁵
	5.000	35.10	12/29/20	34.08	...	1.02	3 of 3 - Sale ²⁵
01/06/21	16.000	112.32	Various	111.04	...	1.28	Total of 3 transactions
2 transactions for 01/06/21. Total proceeds and cost reported to the IRS.							
	1.000	7.02	12/28/20	7.14	0.12 W	0.00	1 of 2 - Sale ²⁵
	3.000	21.06	12/28/20	21.35	0.29 W	0.00	2 of 2 - Sale ²⁵
01/06/21	4.000	28.08	Various	28.49	0.41 W	0.00	Total of 2 transactions
4 transactions for 02/04/21. Total proceeds and cost reported to the IRS.							
	1.000	9.19	12/29/20	6.65	...	2.54	1 of 4 - Sale ²⁵
	1.000	9.19	12/29/20	6.53	...	2.66	2 of 4 - Sale ²⁵
	3.000	27.57	12/29/20	19.88	...	7.69	3 of 4 - Sale ²⁵
	5.000	45.96	12/29/20	31.53	...	14.43	4 of 4 - Sale ²⁵
02/04/21	10.000	91.91	Various	64.59	...	27.32	Total of 4 transactions

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Account 926323635

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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ELECTRAMECCANICA VEHICLES CORP . LTD. COMMON STOCK / CUSIP: 284849205 / Symbol: (cont'd)							
14 transactions for 10/28/21. Total proceeds and cost reported to the IRS.							
	10.000	35.61	12/29/20	63.05	...	-27.44	1 of 14 - Sale ²⁵
	10.000	35.61	01/08/21	67.58	...	-31.97	2 of 14 - Sale ²⁵
	10.000	35.61	01/27/21	80.95	...	-45.34	3 of 14 - Sale ²⁵
	10.000	35.61	01/28/21	77.45	...	-41.84	4 of 14 - Sale ²⁵
	10.000	35.61	02/09/21	82.18	...	-46.57	5 of 14 - Sale ²⁵
	10.000	35.61	02/18/21	73.90	...	-38.29	6 of 14 - Sale ²⁵
	10.000	35.61	02/22/21	70.18	...	-34.57	7 of 14 - Sale ²⁵
	10.000	35.61	02/23/21	60.59	...	-24.98	8 of 14 - Sale ²⁵
	5.000	17.80	02/24/21	32.64	...	-14.84	9 of 14 - Sale ²⁵
	5.000	17.81	03/02/21	31.65	...	-13.84	10 of 14 - Sale ²⁵
	10.000	35.61	03/03/21	56.79	...	-21.18	11 of 14 - Sale ²⁵
	10.000	35.61	03/23/21	51.60	...	-15.99	12 of 14 - Sale ²⁵
	15.000	53.41	04/14/21	68.25	...	-14.84	13 of 14 - Sale ²⁵
	25.000	89.03	05/07/21	90.50	...	-1.47	14 of 14 - Sale ²⁵
10/28/21	150.000	534.15	Various	907.31	...	-373.16	Total of 14 transactions
	Security total:	833.58		1,181.41	2.99 W	-344.84	
ENERGY FOCUS, INC. COMMON STOCK / CUSIP: 29268T409 / Symbol:							
2 transactions for 06/03/21. Total proceeds and cost reported to the IRS.							
	453.000	2,944.43	06/03/21	3,283.51	...	-339.08	1 of 2 - Sale ²⁵
	47.000	305.49	06/03/21	340.67	...	-35.18	2 of 2 - Sale ²⁵
06/03/21	500.000	3,249.92	Various	3,624.18	...	-374.26	Total of 2 transactions
06/03/21	500.000	3,349.92	06/03/21	3,574.10	224.18 W	0.00	Sale ²⁵
	Security total:	6,599.84		7,198.28	224.18 W	-374.26	
ENVERIC BIOSCIENCES, INC. COMMON STOCK / CUSIP: 29405E109 / Symbol:							
3 transactions for 05/20/21. Total proceeds and cost reported to the IRS.							
	150.000	424.48	05/20/21	420.00	...	4.48	1 of 3 - Sale ²⁵
	250.000	694.96	05/20/21	675.00	...	19.96	2 of 3 - Sale ²⁵
	250.000	704.96	05/20/21	662.50	...	42.46	3 of 3 - Sale ²⁵
05/20/21	650.000	1,824.40	Various	1,757.50	...	66.90	Total of 3 transactions

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Account 926323635

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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ENVERIC BIOSCIENCES, INC. COMM ON STOCK / CUSIP: 29405E109 / Symbol: (cont'd)							
<i>4 transactions for 06/07/21. Total proceeds and cost reported to the IRS.</i>							
	30.000	80.47	05/20/21	84.00	...	-3.53	1 of 4 - Sale ²⁵
	10.000	26.82	05/26/21	23.28	...	3.54	2 of 4 - Sale ²⁵
	5.000	13.41	05/28/21	12.05	...	1.36	3 of 4 - Sale ²⁵
	5.000	13.42	05/28/21	12.05	...	1.37	4 of 4 - Sale ²⁵
06/07/21	50.000	134.12	Various	131.38	...	2.74	Total of 4 transactions
<i>2 transactions for 06/07/21. Total proceeds and cost reported to the IRS.</i>							
	55.000	149.04	05/20/21	154.00	0.45 W	-4.51	1 of 2 - Sale ²⁵
	15.000	40.65	05/20/21	42.00	1.35 W	0.00	2 of 2 - Sale ²⁵
06/07/21	70.000	189.69	Various	196.00	1.80 W	-4.51	Total of 2 transactions
	Security total:	2,148.21		2,084.88	1.80 W	65.13	
EXELA TECHNOLOGIES, INC. COMM O N STOCK / CUSIP: 30162V409 / Symbol:							
01/27/21	32.000	73.61	01/12/21	58.81	...	14.80	Sale ²⁵
02/08/21	1.000	2.83	01/12/21	1.84	...	0.99	Sale ²⁵
	Security total:	76.44		60.65	...	15.79	
EXPRESS, INC. / CUSIP: 30219E103 / Symbol:							
06/07/21	30.000	163.83	06/02/21	165.45	...	-1.62	Sale ²⁵
META PLATFORMS, INC. CLASS A C OMMON STOCK / CUSIP: 30303M102 / Symbol:							
02/04/21	1.000	266.66	02/01/21	255.91	...	10.75	Sale ²⁵
12/08/21	1.000	326.81	11/01/21	330.20	...	-3.39	Sale ²⁵
	Security total:	593.47		586.11	...	7.36	
FTC SOLAR, INC. COMMON STOCK / CUSIP: 30320C103 / Symbol:							
06/14/21	10.000	113.98	04/28/21	147.00	33.02 W	0.00	Sale ²⁵
<i>6 transactions for 06/17/21. Total proceeds and cost reported to the IRS.</i>							
	5.000	62.13	04/28/21	73.50	...	-11.37	1 of 6 - Sale ²⁵
	5.000	62.13	05/04/21	64.00	...	-1.87	2 of 6 - Sale ²⁵
	5.000	62.14	05/12/21	47.55	...	14.59	3 of 6 - Sale ²⁵
	5.000	62.13	06/07/21	70.96	...	-8.83	4 of 6 - Sale ²⁵
	5.000	62.14	06/09/21	69.16	...	-7.02	5 of 6 - Sale ²⁵

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Robinhood Securities LLC

Account 926323635

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FTC SOLAR, INC. COMMON STOCK / CUSIP: 30320C103 / Symbol: (cont'd)							
	5.000	62.13	06/09/21	52.64	...	9.49	6 of 6 - Sale ²⁵
06/17/21	30.000	372.80	Various	377.81	...	-5.01	Total of 6 transactions
	Security total:	486.78		524.81	33.02 W	-5.01	
FLEXSHOPPER, INC. COMMON STOCK / CUSIP: 33939J303 / Symbol:							
06/04/21	50.000	145.75	06/02/21	142.00	...	3.75	Sale ²⁵
FUBOTV INC. / CUSIP: 35953D104 / Symbol:							
2 transactions for 01/21/21. Total proceeds and cost reported to the IRS.							
	1.000	32.91	01/12/21	30.68	...	2.23	1 of 2 - Sale ²⁵
	4.000	131.62	01/12/21	121.68	...	9.94	2 of 2 - Sale ²⁵
01/21/21	5.000	164.53	Various	152.36	...	12.17	Total of 2 transactions
01/22/21	4.000	146.33	01/12/21	132.00	...	14.33	Sale ²⁵
01/27/21	1.000	51.10	01/12/21	33.00	...	18.10	Sale ²⁵
02/17/21	5.000	224.00	02/16/21	221.81	...	2.19	Sale ²⁵
02/17/21	5.000	221.55	02/16/21	221.81	0.26 W	0.00	Sale ²⁵
02/18/21	5.000	221.00	02/16/21	221.80	0.80 W	0.00	Sale ²⁵
9 transactions for 04/28/21. Total proceeds and cost reported to the IRS.							
	5.000	110.57	02/16/21	220.26	109.69 W	0.00	1 of 9 - Sale ²⁵
	1.000	22.11	02/23/21	33.93	11.82 W	0.00	2 of 9 - Sale ²⁵
	4.000	88.46	02/26/21	141.05	52.59 W	0.00	3 of 9 - Sale ²⁵
	1.000	22.12	03/03/21	35.83	13.71 W	0.00	4 of 9 - Sale ²⁵
	2.000	44.23	03/05/21	59.12	14.89 W	0.00	5 of 9 - Sale ²⁵
	2.000	44.23	03/05/21	59.30	15.07 W	0.00	6 of 9 - Sale ²⁵
	2.000	44.23	03/17/21	60.88	16.65 W	0.00	7 of 9 - Sale ²⁵
	3.000	66.34	03/17/21	91.32	24.98 W	0.00	8 of 9 - Sale ²⁵
	5.000	110.58	03/23/21	150.20	39.62 W	0.00	9 of 9 - Sale ²⁵
04/28/21	25.000	552.87	Various	851.89	299.02 W	0.00	Total of 9 transactions
8 transactions for 05/11/21. Total proceeds and cost reported to the IRS.							
	5.000	105.00	03/24/21	135.70	30.70 W	0.00	1 of 8 - Sale ²⁵
	2.000	42.00	04/06/21	85.80	43.80 W	0.00	2 of 8 - Sale ²⁵
	3.000	63.00	04/06/21	133.57	70.57 W	0.00	3 of 8 - Sale ²⁵
	1.000	21.00	04/09/21	36.37	15.37 W	0.00	4 of 8 - Sale ²⁵
	1.000	21.00	04/09/21	38.26	17.26 W	0.00	5 of 8 - Sale ²⁵

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Robinhood Securities LLC

Account 926323635

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUBOTV INC. / CUSIP: 35953D104 / Symbol: (cont'd)							
	2.000	42.00	04/09/21	63.99	21.99 W	0.00	6 of 8 - Sale ²⁵
	2.000	42.00	04/09/21	64.17	22.17 W	0.00	7 of 8 - Sale ²⁵
	4.000	84.00	04/09/21	150.79	66.79 W	0.00	8 of 8 - Sale ²⁵
05/11/21	20.000	420.00	Various	708.65	288.65 W	0.00	Total of 8 transactions
	6 transactions for 05/26/21. Total proceeds and cost reported to the IRS.						
	2.000	45.40	04/09/21	65.83	20.43 W	0.00	1 of 6 - Sale ²⁵
	3.000	68.10	04/09/21	98.75	30.65 W	0.00	2 of 6 - Sale ²⁵
	5.000	113.51	04/14/21	137.34	23.83 W	0.00	3 of 6 - Sale ²⁵
	5.000	121.75	04/14/21	146.27	24.52 W	0.00	4 of 6 - Sale ²⁵
	2.000	48.70	04/15/21	84.36	35.66 W	0.00	5 of 6 - Sale ²⁵
	3.000	73.05	04/15/21	131.41	58.36 W	0.00	6 of 6 - Sale ²⁵
05/26/21	20.000	470.51	Various	663.96	193.45 W	0.00	Total of 6 transactions
	5 transactions for 05/27/21. Total proceeds and cost reported to the IRS.						
	1.000	25.00	04/15/21	35.65	10.65 W	0.00	1 of 5 - Sale ²⁵
	4.000	100.00	04/15/21	147.91	47.91 W	0.00	2 of 5 - Sale ²⁵
	1.000	25.00	04/16/21	36.09	11.09 W	0.00	3 of 5 - Sale ²⁵
	2.000	50.00	04/16/21	59.64	9.64 W	0.00	4 of 5 - Sale ²⁵
	2.000	50.00	04/16/21	59.82	9.82 W	0.00	5 of 5 - Sale ²⁵
05/27/21	10.000	250.00	Various	339.11	89.11 W	0.00	Total of 5 transactions
	2 transactions for 06/07/21. Total proceeds and cost reported to the IRS.						
	2.000	60.00	04/28/21	79.20	19.20 W	0.00	1 of 2 - Sale ²⁵
	3.000	90.00	04/28/21	123.67	33.67 W	0.00	2 of 2 - Sale ²⁵
06/07/21	5.000	150.00	Various	202.87	52.87 W	0.00	Total of 2 transactions
	2 transactions for 06/08/21. Total proceeds and cost reported to the IRS.						
	1.000	31.33	04/28/21	32.42	1.09 W	0.00	1 of 2 - Sale ²⁵
	4.000	125.32	04/28/21	134.98	9.66 W	0.00	2 of 2 - Sale ²⁵
06/08/21	5.000	156.65	Various	167.40	10.75 W	0.00	Total of 2 transactions
06/21/21	5.000	154.35	04/28/21	132.68	...	21.67	Sale ²⁵
	2 transactions for 08/11/21. Total proceeds and cost reported to the IRS.						
	2.000	65.40	04/28/21	63.97	...	1.43	1 of 2 - Sale ²⁵
	3.000	98.10	04/28/21	95.96	...	2.14	2 of 2 - Sale ²⁵
08/11/21	5.000	163.50	Various	159.93	...	3.57	Total of 2 transactions

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Robinhood Securities LLC

Account 926323635

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUBOTV INC. / CUSIP: 35953D104 / Symbol: (cont'd)							
5 transactions for 10/07/21. Total proceeds and cost reported to the IRS.							
	2.000	49.78	05/07/21	44.34	...	5.44	1 of 5 - Sale ²⁵
	2.000	49.79	05/07/21	44.16	...	5.63	2 of 5 - Sale ²⁵
	1.000	25.43	05/12/21	20.86	...	4.57	3 of 5 - Sale ²⁵
	2.000	50.86	05/12/21	44.37	...	6.49	4 of 5 - Sale ²⁵
	2.000	50.86	05/12/21	44.36	...	6.50	5 of 5 - Sale ²⁵
10/07/21	9.000	226.72	Various	198.09	...	28.63	Total of 5 transactions
4 transactions for 10/07/21. Total proceeds and cost reported to the IRS.							
	5.000	124.47	04/28/21	133.37	8.90 W	0.00	1 of 4 - Sale ²⁵
	1.000	24.90	05/07/21	28.35	3.45 W	0.00	2 of 4 - Sale ²⁵
	2.000	50.86	05/12/21	58.74	7.88 W	0.00	3 of 4 - Sale ²⁵
	3.000	76.29	05/12/21	92.98	16.69 W	0.00	4 of 4 - Sale ²⁵
10/07/21	11.000	276.52	Various	313.44	36.92 W	0.00	Total of 4 transactions
2 transactions for 10/14/21. Total proceeds and cost reported to the IRS.							
	5.000	142.60	06/10/21	139.85	...	2.75	1 of 2 - Sale ²⁵
	5.000	142.60	06/10/21	139.06	...	3.54	2 of 2 - Sale ²⁵
10/14/21	10.000	285.20	Various	278.91	...	6.29	Total of 2 transactions
2 transactions for 11/01/21. Total proceeds and cost reported to the IRS.							
	5.000	164.65	07/27/21	127.75	...	36.90	1 of 2 - Sale ²⁵
	5.000	164.66	09/23/21	139.80	...	24.86	2 of 2 - Sale ²⁵
11/01/21	10.000	329.31	Various	267.55	...	61.76	Total of 2 transactions
11/11/21	4.000	103.24	10/04/21	92.12	...	11.12	Sale ²⁵
11/11/21	1.000	25.81	09/29/21	27.20	1.39 W	0.00	Sale ²⁵
	Security total:	4,593.19		5,386.58	973.22 W	179.83	
GAMESTOP CORP. CLASS A / CUSIP: 36467W109 / Symbol:							
01/22/21	9.000	410.94	01/19/21	353.39	...	57.55	Sale ²⁵
3 transactions for 01/25/21. Total proceeds and cost reported to the IRS.							
	1.000	103.00	01/19/21	39.26	...	63.74	1 of 3 - Sale ²⁵
	2.000	205.99	01/22/21	131.80	...	74.19	2 of 3 - Sale ²⁵
	2.000	205.99	01/22/21	127.52	...	78.47	3 of 3 - Sale ²⁵
01/25/21	5.000	514.98	Various	298.58	...	216.40	Total of 3 transactions
02/03/21	0.003	0.25	01/27/21	1.00	...	-0.75	Sale ²⁵

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Robinhood Securities LLC

Account 926323635

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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GAMESTOP CORP. CLASS A / CUSIP: 36467W109 / Symbol: (cont'd)							
Security total:		926.17		652.97	...	273.20	
GANNETT CO., INC. / CUSIP: 36472T109 / Symbol:							
03/02/21	30.000	162.00	02/19/21	166.65	...	-4.65	Sale ²⁵
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol:							
03/19/21	20.000	45.20	03/10/21	43.50	...	1.70	Sale ²⁵
10/25/21	24.000	49.92	06/07/21	46.44	...	3.48	Sale ²⁵
10/25/21	25.000	38.30	06/07/21	48.38	10.08 W	0.00	Sale ²⁵
4 transactions for 11/03/21. Total proceeds and cost reported to the IRS.							
	1.000	1.44	06/07/21	1.93	...	-0.49	1 of 4 - Sale ²⁵
	25.000	36.00	10/28/21	46.80	...	-10.80	2 of 4 - Sale ²⁵
	25.000	35.99	10/28/21	36.72	...	-0.73	3 of 4 - Sale ²⁵
	19.000	27.36	10/28/21	27.91	...	-0.55	4 of 4 - Sale ²⁵
11/03/21	70.000	100.79	Various	113.36	...	-12.57	Total of 4 transactions
Security total:		234.21		251.68	10.08 W	-7.39	
GEVO, INC. COMMON STOCK / CUSIP: 374396406 / Symbol:							
01/14/21	10.000	66.65	01/08/21	44.55	...	22.10	Sale ²⁵
02/09/21	10.000	129.30	01/13/21	71.15	...	58.15	Sale ²⁵
03/08/21	10.000	79.91	01/13/21	71.15	...	8.76	Sale ²⁵
03/19/21	10.000	93.30	01/13/21	69.85	...	23.45	Sale ²⁵
04/23/21	10.000	66.45	01/13/21	69.90	3.45 W	0.00	Sale ²⁵
6 transactions for 05/26/21. Total proceeds and cost reported to the IRS.							
	10.000	73.00	01/25/21	123.60	50.60 W	0.00	1 of 6 - Sale ²⁵
	2.000	14.60	01/28/21	21.30	6.70 W	0.00	2 of 6 - Sale ²⁵
	8.000	58.40	01/28/21	85.12	26.72 W	0.00	3 of 6 - Sale ²⁵
	10.000	73.00	02/17/21	116.29	43.29 W	0.00	4 of 6 - Sale ²⁵
	10.000	75.91	02/18/21	109.69	33.78 W	0.00	5 of 6 - Sale ²⁵
	10.000	75.91	02/23/21	93.09	17.18 W	0.00	6 of 6 - Sale ²⁵
05/26/21	50.000	370.82	Various	549.09	178.27 W	0.00	Total of 6 transactions

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Account 926323635

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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GEVO, INC. COMMON STOCK / CUSIP: 374396406 / Symbol: (cont'd)							
<i>2 transactions for 05/27/21. Total proceeds and cost reported to the IRS.</i>							
	5.000	38.95	03/04/21	36.99	...	1.96	1 of 2 - Sale ²⁵
	10.000	77.90	03/05/21	63.19	...	14.71	2 of 2 - Sale ²⁵
05/27/21	15.000	116.85	Various	100.18	...	16.67	Total of 2 transactions
<i>2 transactions for 05/27/21. Total proceeds and cost reported to the IRS.</i>							
	5.000	38.95	03/03/21	41.45	2.50 W	0.00	1 of 2 - Sale ²⁵
	10.000	78.03	03/03/21	83.88	5.85 W	0.00	2 of 2 - Sale ²⁵
05/27/21	15.000	116.98	Various	125.33	8.35 W	0.00	Total of 2 transactions
<i>2 transactions for 06/02/21. Total proceeds and cost reported to the IRS.</i>							
	10.000	81.50	03/17/21	88.29	6.79 W	0.00	1 of 2 - Sale ²⁵
	10.000	81.50	04/09/21	87.55	6.05 W	0.00	2 of 2 - Sale ²⁵
06/02/21	20.000	163.00	Various	175.84	12.84 W	0.00	Total of 2 transactions
06/07/21	10.000	81.65	04/21/21	59.20	...	22.45	Sale ²⁵
06/07/21	10.000	81.65	05/12/21	103.09	21.44 W	0.00	Sale ²⁵
06/23/21	10.000	82.50	05/18/21	73.50	...	9.00	Sale ²⁵
<i>6 transactions for 06/23/21. Total proceeds and cost reported to the IRS.</i>							
	2.000	16.50	05/18/21	19.78	3.28 W	0.00	1 of 6 - Sale ²⁵
	3.000	24.75	05/18/21	29.64	4.89 W	0.00	2 of 6 - Sale ²⁵
	5.000	41.25	05/18/21	51.09	9.84 W	0.00	3 of 6 - Sale ²⁵
	10.000	82.50	05/18/21	84.83	2.33 W	0.00	4 of 6 - Sale ²⁵
	10.000	82.50	05/18/21	102.57	20.07 W	0.00	5 of 6 - Sale ²⁵
	10.000	82.50	05/18/21	112.08	29.58 W	0.00	6 of 6 - Sale ²⁵
06/23/21	40.000	330.00	Various	399.99	69.99 W	0.00	Total of 6 transactions
<i>3 transactions for 06/28/21. Total proceeds and cost reported to the IRS.</i>							
	5.000	41.55	05/18/21	37.22	...	4.33	1 of 3 - Sale ²⁵
	5.000	41.55	05/18/21	36.33	...	5.22	2 of 3 - Sale ²⁵
	10.000	83.10	06/01/21	82.64	...	0.46	3 of 3 - Sale ²⁵
06/28/21	20.000	166.20	Various	156.19	...	10.01	Total of 3 transactions
06/28/21	5.000	41.55	06/01/21	49.02	7.47 W	0.00	Sale ²⁵
<i>13 transactions for 08/27/21. Total proceeds and cost reported to the IRS.</i>							
	5.000	31.75	06/01/21	41.69	9.94 W	0.00	1 of 13 - Sale ²⁵
	2.000	12.70	06/03/21	18.72	6.02 W	0.00	2 of 13 - Sale ²⁵
	3.000	19.05	06/03/21	28.05	9.00 W	0.00	3 of 13 - Sale ²⁵

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Account 926323635

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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GEVO, INC. COMMON STOCK / CUSIP: 374396406 / Symbol: (cont'd)							
	5.000	31.75	06/03/21	48.43	16.68 W	0.00	4 of 13 - Sale ²⁵
	5.000	31.75	06/03/21	49.32	17.57 W	0.00	5 of 13 - Sale ²⁵
	5.000	31.75	06/03/21	53.38	21.63 W	0.00	6 of 13 - Sale ²⁵
	5.000	31.75	06/09/21	45.94	14.19 W	0.00	7 of 13 - Sale ²⁵
	5.000	31.75	06/09/21	52.24	20.49 W	0.00	8 of 13 - Sale ²⁵
	5.000	31.75	06/09/21	59.57	27.82 W	0.00	9 of 13 - Sale ²⁵
	5.000	32.00	06/09/21	45.94	13.94 W	0.00	10 of 13 - Sale ²⁵
	10.000	63.50	06/09/21	109.62	46.12 W	0.00	11 of 13 - Sale ²⁵
	20.000	128.00	06/09/21	179.10	51.10 W	0.00	12 of 13 - Sale ²⁵
	25.000	160.00	06/09/21	220.19	60.19 W	0.00	13 of 13 - Sale ²⁵
08/27/21	100.000	637.50	Various	952.19	314.69 W	0.00	Total of 13 transactions
	10 transactions for 09/09/21. Total proceeds and cost reported to the IRS.						
	25.000	175.00	06/15/21	200.20	...	-25.20	1 of 10 - Sale ²⁵
	25.000	175.00	06/25/21	197.75	...	-22.75	2 of 10 - Sale ²⁵
	25.000	177.50	06/25/21	198.00	...	-20.50	3 of 10 - Sale ²⁵
	20.000	145.00	06/28/21	162.80	...	-17.80	4 of 10 - Sale ²⁵
	5.000	36.00	06/28/21	40.70	...	-4.70	5 of 10 - Sale ²⁵
	25.000	185.00	06/29/21	195.99	...	-10.99	6 of 10 - Sale ²⁵
	25.000	187.50	06/29/21	195.99	...	-8.49	7 of 10 - Sale ²⁵
	25.000	200.00	06/29/21	195.99	...	4.01	8 of 10 - Sale ²⁵
	10.000	80.00	07/02/21	70.18	...	9.82	9 of 10 - Sale ²⁵
	15.000	120.00	07/02/21	105.30	...	14.70	10 of 10 - Sale ²⁵
09/09/21	200.000	1,481.00	Various	1,562.90	...	-81.90	Total of 10 transactions
	2 transactions for 09/09/21. Total proceeds and cost reported to the IRS.						
	25.000	170.00	06/10/21	209.70	39.70 W	0.00	1 of 2 - Sale ²⁵
	25.000	170.00	06/15/21	200.20	30.20 W	0.00	2 of 2 - Sale ²⁵
09/09/21	50.000	340.00	Various	409.90	69.90 W	0.00	Total of 2 transactions
09/27/21	50.000	362.63	07/02/21	350.92	...	11.71	Sale ²⁵
10/08/21	25.000	172.50	07/07/21	168.21	...	4.29	Sale ²⁵
	2 transactions for 10/08/21. Total proceeds and cost reported to the IRS.						
	9.000	62.10	07/02/21	63.27	1.17 W	0.00	1 of 2 - Sale ²⁵
	16.000	110.40	07/02/21	112.47	2.07 W	0.00	2 of 2 - Sale ²⁵
10/08/21	25.000	172.50	Various	175.74	3.24 W	0.00	Total of 2 transactions

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Robinhood Securities LLC

Account 926323635

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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GEVO, INC. COMMON STOCK / CUSIP: 374396406 / Symbol: (cont'd)							
10/12/21	50.000	350.00	07/13/21	317.49	...	32.51	Sale ²⁵
	2 transactions for 10/21/21. Total proceeds and cost reported to the IRS.						
	10.000	70.10	07/14/21	58.99	...	11.11	1 of 2 - Sale ²⁵
	15.000	105.16	07/19/21	82.61	...	22.55	2 of 2 - Sale ²⁵
10/21/21	25.000	175.26	Various	141.60	...	33.66	Total of 2 transactions
	2 transactions for 10/25/21. Total proceeds and cost reported to the IRS.						
	25.000	181.25	07/19/21	137.69	...	43.56	1 of 2 - Sale ²⁵
	40.000	276.00	07/19/21	220.30	...	55.70	2 of 2 - Sale ²⁵
10/25/21	65.000	457.25	Various	357.99	...	99.26	Total of 2 transactions
	4 transactions for 10/29/21. Total proceeds and cost reported to the IRS.						
	10.000	70.00	07/19/21	55.08	...	14.92	1 of 4 - Sale ²⁵
	15.000	105.00	07/19/21	82.61	...	22.39	2 of 4 - Sale ²⁵
	25.000	175.00	07/19/21	137.68	...	37.32	3 of 4 - Sale ²⁵
	50.000	364.75	07/19/21	275.36	...	89.39	4 of 4 - Sale ²⁵
10/29/21	100.000	714.75	Various	550.73	...	164.02	Total of 4 transactions
	2 transactions for 11/01/21. Total proceeds and cost reported to the IRS.						
	10.000	77.00	07/19/21	55.07	...	21.93	1 of 2 - Sale ²⁵
	5.000	38.50	08/06/21	38.44	...	0.06	2 of 2 - Sale ²⁵
11/01/21	15.000	115.50	Various	93.51	...	21.99	Total of 2 transactions
	8 transactions for 11/01/21. Total proceeds and cost reported to the IRS.						
	2.000	15.40	08/06/21	17.42	2.02 W	0.00	1 of 8 - Sale ²⁵
	3.000	23.10	08/06/21	26.10	3.00 W	0.00	2 of 8 - Sale ²⁵
	5.000	38.50	08/06/21	45.18	6.68 W	0.00	3 of 8 - Sale ²⁵
	5.000	38.50	08/06/21	46.07	7.57 W	0.00	4 of 8 - Sale ²⁵
	5.000	38.50	08/09/21	50.24	11.74 W	0.00	5 of 8 - Sale ²⁵
	5.000	38.50	08/09/21	51.38	12.88 W	0.00	6 of 8 - Sale ²⁵
	5.000	38.50	08/09/21	53.06	14.56 W	0.00	7 of 8 - Sale ²⁵
	5.000	38.50	08/09/21	57.82	19.32 W	0.00	8 of 8 - Sale ²⁵
11/01/21	35.000	269.50	Various	347.27	77.77 W	0.00	Total of 8 transactions
	5 transactions for 11/11/21. Total proceeds and cost reported to the IRS.						
	5.000	38.10	08/09/21	42.78	4.68 W	0.00	1 of 5 - Sale ²⁵
	5.000	38.10	08/09/21	42.87	4.77 W	0.00	2 of 5 - Sale ²⁵

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Account 926323635

Proceeds from Broker and Barter Exchange Transactions

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(continued)

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GEVO, INC. COMMON STOCK / CUSIP: 374396406 / Symbol: (cont'd)							
	5.000	38.10	08/09/21	43.94	5.84 W	0.00	3 of 5 - Sale ²⁵
	5.000	38.10	08/09/21	44.19	6.09 W	0.00	4 of 5 - Sale ²⁵
	5.000	38.10	08/09/21	53.06	14.96 W	0.00	5 of 5 - Sale ²⁵
11/11/21	25.000	190.50	Various	226.84	36.34 W	0.00	Total of 5 transactions
	Security total:	7,425.70		7,773.32	803.75 W	456.13	
GLOBALSTAR, INC. / CUSIP: 378973408 / Symbol:							
02/09/21	100.000	127.99	01/29/21	108.00	...	19.99	Sale ²⁵
GLU MOBILE INC. COMMON STOCK / CUSIP: 379890106 / Symbol:							
5 transactions for 02/09/21. Total proceeds and cost reported to the IRS.							
	5.000	62.55	02/08/21	63.40	...	-0.85	1 of 5 - Sale ²⁵
	2.000	25.02	02/08/21	25.36	...	-0.34	2 of 5 - Sale ²⁵
	1.000	12.51	02/08/21	12.68	...	-0.17	3 of 5 - Sale ²⁵
	1.000	12.51	02/08/21	12.68	...	-0.17	4 of 5 - Sale ²⁵
	1.000	12.51	02/08/21	12.68	...	-0.17	5 of 5 - Sale ²⁵
02/09/21	10.000	125.10	Various	126.80	...	-1.70	Total of 5 transactions
GOLDEN NUGGET ONLINE GAMING, I NC. CLASS A COMMON STOCK / CUSIP: 38113L107 / Symbol:							
2 transactions for 08/09/21. Total proceeds and cost reported to the IRS.							
	10.000	184.51	06/24/21	131.30	...	53.21	1 of 2 - Sale ²⁵
	10.000	186.50	06/24/21	131.30	...	55.20	2 of 2 - Sale ²⁵
08/09/21	20.000	371.01	Various	262.60	...	108.41	Total of 2 transactions
2 transactions for 08/10/21. Total proceeds and cost reported to the IRS.							
	5.000	94.35	06/24/21	65.65	...	28.70	1 of 2 - Sale ²⁵
	5.000	94.35	07/27/21	56.35	...	38.00	2 of 2 - Sale ²⁵
08/10/21	10.000	188.70	Various	122.00	...	66.70	Total of 2 transactions
	Security total:	559.71		384.60	...	175.11	
GOOD WORKS ACQUISITION CORP. C OMMON STOCK / CUSIP: 38216X107 / Symbol:							
03/19/21	10.000	113.42	03/12/21	118.70	...	-5.28	Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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GRAN TIERRA ENERGY INC. / CUSIP: 38500T101 / Symbol:							
03/16/21	50.000	40.16	02/04/21	32.10	...	8.06	Sale ²⁵
	2 transactions for 04/28/21. Total proceeds and cost reported to the IRS.						
	50.000	34.49	02/04/21	32.09	...	2.40	1 of 2 - Sale ²⁵
	50.000	34.50	02/18/21	46.64	...	-12.14	2 of 2 - Sale ²⁵
04/28/21	100.000	68.99	Various	78.73	...	-9.74	Total of 2 transactions
	Security total:	109.15		110.83	...	-1.68	
GUARDION HEALTH SCIENCES, INC. COMMON STOCK / CUSIP: 40145Q203 / Symbol:							
02/09/21	50.000	50.00	01/29/21	42.76	...	7.24	Sale ²⁵
GUARDION HEALTH SCIENCES, INC. COMMON STOCK / CUSIP: 40145Q401 / Symbol:							
	9 transactions for 10/26/21. Total proceeds and cost reported to the IRS.						
	8.500	11.13	01/29/21	42.75	...	-31.62	1 of 9 - Sale ²⁵
	8.500	11.13	02/02/21	34.99	...	-23.86	2 of 9 - Sale ²⁵
	8.500	11.14	02/23/21	39.67	...	-28.53	3 of 9 - Sale ²⁵
	8.500	11.13	02/26/21	27.73	...	-16.60	4 of 9 - Sale ²⁵
	6.000	7.86	04/14/21	11.40	...	-3.54	5 of 9 - Sale ²⁵
	10.000	13.10	05/18/21	17.30	...	-4.20	6 of 9 - Sale ²⁵
	10.000	13.10	05/18/21	17.30	...	-4.20	7 of 9 - Sale ²⁵
	10.000	13.10	05/18/21	17.29	...	-4.19	8 of 9 - Sale ²⁵
	30.000	39.30	07/21/21	44.91	...	-5.61	9 of 9 - Sale ²⁵
10/26/21	100.000	130.99	Various	253.34	...	-122.35	Total of 9 transactions
HIMS & HERS HEALTH, INC. / CUSIP: 433000106 / Symbol:							
	2 transactions for 02/04/21. Total proceeds and cost reported to the IRS.						
	10.000	222.00	02/02/21	211.64	...	10.36	1 of 2 - Sale ²⁵
	5.000	111.00	02/02/21	100.05	...	10.95	2 of 2 - Sale ²⁵
02/04/21	15.000	333.00	Various	311.69	...	21.31	Total of 2 transactions
HYLIION HOLDINGS CORP. / CUSIP: 449109107 / Symbol:							
04/22/21	10.000	95.00	04/15/21	93.88	...	1.12	Sale ²⁵
	2 transactions for 04/27/21. Total proceeds and cost reported to the IRS.						
	5.000	53.85	04/15/21	46.10	...	7.75	1 of 2 - Sale ²⁵

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(continued)

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HYLIION HOLDINGS CORP. / CUSIP: 449109107 / Symbol: (cont'd)							
	15.000	161.55	04/15/21	140.83	...	20.72	2 of 2 - Sale ²⁵
04/27/21	20.000	215.40	Various	186.93	...	28.47	Total of 2 transactions
06/25/21	5.000	62.25	06/03/21	60.53	...	1.72	Sale ²⁵
	Security total:	372.65		341.34	...	31.31	
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol:							
2 transactions for 01/07/21. Total proceeds and cost reported to the IRS.							
	1.000	2.82	11/30/20	3.65	0.83 W	0.00	1 of 2 - Sale ²⁵
	49.000	138.18	12/11/20	168.87	30.69 W	0.00	2 of 2 - Sale ²⁵
01/07/21	50.000	141.00	Various	172.52	31.52 W	0.00	Total of 2 transactions
2 transactions for 04/26/21. Total proceeds and cost reported to the IRS.							
	1.000	2.84	12/11/20	2.64	...	0.20	1 of 2 - Sale ²⁵
	14.000	39.69	12/17/20	34.20	...	5.49	2 of 2 - Sale ²⁵
04/26/21	15.000	42.53	Various	36.84	...	5.69	Total of 2 transactions
05/28/21	20.000	60.44	12/17/20	48.86	...	11.58	Sale ²⁵
	Security total:	243.97		258.22	31.52 W	17.27	
INDIA GLOBALIZATION CAPITAL, I NC. / CUSIP: 45408X308 / Symbol:							
2 transactions for 02/09/21. Total proceeds and cost reported to the IRS.							
	1.000	2.08	02/03/21	2.07	...	0.01	1 of 2 - Sale ²⁵
	49.000	101.92	02/03/21	100.94	...	0.98	2 of 2 - Sale ²⁵
02/09/21	50.000	104.00	Various	103.01	...	0.99	Total of 2 transactions
INTERNATIONAL BUSINESS MACHINE S CORPORATION / CUSIP: 459200101 / Symbol:							
01/27/21	3.000	362.34	01/22/21	354.56	...	7.78	Sale ²⁵
INVESCO MORTGAGE CAPITAL INC. / CUSIP: 46131B100 / Symbol:							
7 transactions for 02/01/21. Total proceeds and cost reported to the IRS.							
	41.000	165.22	11/19/20	170.01	...	-4.79	1 of 7 - Sale ²⁵
	26.000	104.78	11/19/20	107.86	...	-3.08	2 of 7 - Sale ²⁵
	9.000	36.27	11/19/20	37.31	...	-1.04	3 of 7 - Sale ²⁵
	7.000	28.21	11/19/20	29.02	...	-0.81	4 of 7 - Sale ²⁵
	3.000	12.09	11/19/20	12.43	...	-0.34	5 of 7 - Sale ²⁵
	3.000	12.09	11/19/20	12.43	...	-0.34	6 of 7 - Sale ²⁵

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INVESCO MORTGAGE CAPITAL INC. / CUSIP: 46131B100 / Symbol: (cont'd)							
	1.000	4.03	11/19/20	4.15	...	-0.12	7 of 7 - Sale ²⁵
02/01/21	90.000	362.69	Various	373.21	...	-10.52	Total of 7 transactions
02/08/21	1.000	3.89	11/19/20	4.15	...	-0.26	Sale ²⁵
	Security total:	366.58		377.36	...	-10.78	
ISHARES GLOBAL CLEAN ENERGY ET F / CUSIP: 464288224 / Symbol:							
04/14/21	15.000	357.38	03/17/21	354.00	...	3.38	Sale ²⁵
IT TECH PACKAGING, INC. / CUSIP: 46527C100 / Symbol:							
11/04/21	50.000	28.29	05/27/21	23.78	...	4.51	Sale ²⁵
	5 transactions for 11/04/21. Total proceeds and cost reported to the IRS.						
	100.000	56.60	02/16/21	149.00	92.40 W	0.00	1 of 5 - Sale ²⁵
	50.000	28.30	02/17/21	64.00	35.70 W	0.00	2 of 5 - Sale ²⁵
	10.000	5.66	02/24/21	8.60	2.94 W	0.00	3 of 5 - Sale ²⁵
	40.000	22.64	02/25/21	31.84	9.20 W	0.00	4 of 5 - Sale ²⁵
	50.000	28.30	03/08/21	33.73	5.43 W	0.00	5 of 5 - Sale ²⁵
11/04/21	250.000	141.50	Various	287.17	145.67 W	0.00	Total of 5 transactions
	Security total:	169.79		310.95	145.67 W	4.51	
KOPIN CORPORATION COMMON STOCK / CUSIP: 500600101 / Symbol:							
10/28/21	15.000	83.29	06/28/21	130.35	47.06 W	0.00	Sale ²⁵
	3 transactions for 11/03/21. Total proceeds and cost reported to the IRS.						
	10.000	62.25	06/28/21	86.90	...	-24.65	1 of 3 - Sale ²⁵
	15.000	93.38	10/29/21	121.69	...	-28.31	2 of 3 - Sale ²⁵
	5.000	31.12	10/29/21	24.87	...	6.25	3 of 3 - Sale ²⁵
11/03/21	30.000	186.75	Various	233.46	...	-46.71	Total of 3 transactions
	Security total:	270.04		363.81	47.06 W	-46.71	
KOSS CORPORATION COMMON STOCK / CUSIP: 500692108 / Symbol:							
	2 transactions for 01/28/21. Total proceeds and cost reported to the IRS.						
	1.000	28.00	01/27/21	33.83	...	-5.83	1 of 2 - Sale ²⁵
	4.000	440.00	01/27/21	135.32	...	304.68	2 of 2 - Sale ²⁵
01/28/21	5.000	468.00	Various	169.15	...	298.85	Total of 2 transactions

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LI AUTO INC. AMERICAN DEPOSITA RY SHARES / CUSIP: 50202M102 / Symbol:							
01/06/21	4.000	125.25	12/22/20	125.34	0.09 W	0.00	Sale ²⁵
01/13/21	1.000	35.69	12/22/20	31.34	...	4.35	Sale ²⁵
2 transactions for 02/11/21. Total proceeds and cost reported to the IRS.							
	1.000	32.40	02/05/21	30.34	...	2.06	1 of 2 - Sale ²⁵
	4.000	129.57	02/05/21	121.43	...	8.14	2 of 2 - Sale ²⁵
02/11/21	5.000	161.97	Various	151.77	...	10.20	Total of 2 transactions
	Security total:	322.91		308.45	0.09 W	14.55	
LIVEXLIVE MEDIA, INC. COMMON S TOCK / CUSIP: 53839L208 / Symbol:							
02/11/21	20.000	93.50	02/08/21	90.00	...	3.50	Sale ²⁵
02/12/21	30.000	140.70	02/08/21	135.00	...	5.70	Sale ²⁵
	Security total:	234.20		225.00	...	9.20	
LLOYDS BANKING GROUP PLC / CUSIP: 539439109 / Symbol:							
02/23/21	50.000	109.00	02/16/21	107.50	...	1.50	Sale ²⁵
03/02/21	50.000	107.00	02/16/21	107.50	0.50 W	0.00	Sale ²⁵
03/24/21	50.000	113.50	02/18/21	102.50	...	11.00	Sale ²⁵
	Security total:	329.50		317.50	0.50 W	12.50	
LUCID GROUP, INC. COMMON STOCK / CUSIP: 549498103 / Symbol:							
3 transactions for 07/26/21. Total proceeds and cost reported to the IRS.							
	3.000	81.90	05/19/21	64.51	...	17.39	1 of 3 - Sale ²⁵
	1.000	27.30	05/26/21	22.59	...	4.71	2 of 3 - Sale ²⁵
	1.000	27.30	05/26/21	22.07	...	5.23	3 of 3 - Sale ²⁵
07/26/21	5.000	136.50	Various	109.17	...	27.33	Total of 3 transactions
7 transactions for 08/10/21. Total proceeds and cost reported to the IRS.							
	1.000	24.85	05/26/21	26.77	1.92 W	0.00	1 of 7 - Sale ²⁵
	1.000	24.85	05/26/21	26.77	1.92 W	0.00	2 of 7 - Sale ²⁵
	3.000	74.55	05/26/21	80.13	5.58 W	0.00	3 of 7 - Sale ²⁵
	1.000	24.85	06/15/21	32.88	8.03 W	0.00	4 of 7 - Sale ²⁵
	1.000	24.85	06/15/21	37.24	12.39 W	0.00	5 of 7 - Sale ²⁵
	1.000	24.86	06/15/21	33.33	8.47 W	0.00	6 of 7 - Sale ²⁵
	2.000	49.70	06/15/21	80.94	31.24 W	0.00	7 of 7 - Sale ²⁵
08/10/21	10.000	248.51	Various	318.06	69.55 W	0.00	Total of 7 transactions

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Robinhood Securities LLC

Account 926323635

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LUCID GROUP, INC. COMMON STOCK / CUSIP: 549498103 / Symbol: (cont'd)							
09/16/21	5.000	105.80	06/15/21	113.99	8.19 W	0.00	Sale ²⁵
09/17/21	10.000	233.52	07/19/21	214.15	...	19.37	Sale ²⁵
	9 transactions for 09/20/21. Total proceeds and cost reported to the IRS.						
	5.000	117.38	08/05/21	114.20	...	3.18	1 of 9 - Sale ²⁵
	2.000	46.95	09/01/21	67.19	...	-20.24	2 of 9 - Sale ²⁵
	1.000	23.47	09/01/21	30.36	...	-6.89	3 of 9 - Sale ²⁵
	1.000	23.48	09/01/21	26.44	...	-2.96	4 of 9 - Sale ²⁵
	1.000	23.47	09/01/21	26.01	...	-2.54	5 of 9 - Sale ²⁵
	1.000	23.47	09/01/21	21.19	...	2.28	6 of 9 - Sale ²⁵
	1.000	23.48	09/01/21	21.19	...	2.29	7 of 9 - Sale ²⁵
	3.000	70.42	09/01/21	63.37	...	7.05	8 of 9 - Sale ²⁵
	5.000	117.38	09/01/21	92.72	...	24.66	9 of 9 - Sale ²⁵
09/20/21	20.000	469.50	Various	462.67	...	6.83	Total of 9 transactions
	7 transactions for 09/20/21. Total proceeds and cost reported to the IRS.						
	1.000	21.77	08/05/21	24.76	2.99 W	0.00	1 of 7 - Sale ²⁵
	1.000	21.77	08/05/21	24.76	2.99 W	0.00	2 of 7 - Sale ²⁵
	1.000	21.77	08/05/21	30.87	9.10 W	0.00	3 of 7 - Sale ²⁵
	1.000	21.77	08/05/21	31.31	9.54 W	0.00	4 of 7 - Sale ²⁵
	1.000	21.77	08/05/21	35.23	13.46 W	0.00	5 of 7 - Sale ²⁵
	2.000	43.54	08/05/21	76.92	33.38 W	0.00	6 of 7 - Sale ²⁵
	3.000	65.31	08/05/21	74.10	8.79 W	0.00	7 of 7 - Sale ²⁵
09/20/21	10.000	217.70	Various	297.95	80.25 W	0.00	Total of 7 transactions
	Security total:	1,411.53		1,515.99	157.99 W	53.53	
MARATHON DIGITAL HOLDINGS, INC . COMMON STOCK / CUSIP: 565788106 / Symbol:							
03/01/21	5.000	185.10	02/22/21	214.00	28.90 W	0.00	Sale ²⁵
	2 transactions for 03/03/21. Total proceeds and cost reported to the IRS.						
	2.000	73.42	02/23/21	68.92	...	4.50	1 of 2 - Sale ²⁵
	3.000	110.13	02/23/21	104.03	...	6.10	2 of 2 - Sale ²⁵
03/03/21	5.000	183.55	Various	172.95	...	10.60	Total of 2 transactions
	Security total:	368.65		386.95	28.90 W	10.60	

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Robinhood Securities LLC

Account 926323635

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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MARATHON PATENT GROUP, INC. CO MMON STOCK / CUSIP: 56585W401 / Symbol:							
2 transactions for 02/01/21. Total proceeds and cost reported to the IRS.							
	5.000	105.00	01/20/21	97.08	...	7.92	1 of 2 - Sale ²⁵
	5.000	105.00	01/21/21	80.38	...	24.62	2 of 2 - Sale ²⁵
02/01/21	10.000	210.00	Various	177.46	...	32.54	Total of 2 transactions
02/03/21	5.000	117.40	01/28/21	98.90	...	18.50	Sale ²⁵
3 transactions for 02/08/21. Total proceeds and cost reported to the IRS.							
	5.000	145.32	02/04/21	111.67	...	33.65	1 of 3 - Sale ²⁵
	1.000	34.21	02/05/21	22.85	...	11.36	2 of 3 - Sale ²⁵
	4.000	116.26	02/05/21	91.40	...	24.86	3 of 3 - Sale ²⁵
02/08/21	10.000	295.79	Various	225.92	...	69.87	Total of 3 transactions
2 transactions for 02/12/21. Total proceeds and cost reported to the IRS.							
	5.000	193.65	02/10/21	176.05	...	17.60	1 of 2 - Sale ²⁵
	5.000	193.65	02/10/21	168.25	...	25.40	2 of 2 - Sale ²⁵
02/12/21	10.000	387.30	Various	344.30	...	43.00	Total of 2 transactions
02/16/21	5.000	215.90	02/11/21	184.10	...	31.80	Sale ²⁵
02/24/21	5.000	180.00	02/11/21	184.10	4.10 W	0.00	Sale ²⁵
02/25/21	5.000	180.20	02/12/21	198.65	18.45 W	0.00	Sale ²⁵
	Security total:	1,586.59		1,413.43	22.55 W	195.71	
MEDICINOVA INC COMMON STOCK / CUSIP: 58468P206 / Symbol:							
06/30/21	25.000	106.50	06/21/21	103.63	...	2.87	Sale ²⁵
MICROVISION, INC. COMMON STOCK / CUSIP: 594960304 / Symbol:							
2 transactions for 02/08/21. Total proceeds and cost reported to the IRS.							
	5.000	65.10	02/05/21	57.40	...	7.70	1 of 2 - Sale ²⁵
	10.000	130.20	02/05/21	114.40	...	15.80	2 of 2 - Sale ²⁵
02/08/21	15.000	195.30	Various	171.80	...	23.50	Total of 2 transactions
MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol:							
2 transactions for 01/15/21. Total proceeds and cost reported to the IRS.							
	0.386	50.81	12/22/20	50.00	...	0.81	1 of 2 - Sale ²⁵
	0.435	57.35	12/28/20	50.00	...	7.35	2 of 2 - Sale ²⁵
01/15/21	0.821	108.16	Various	100.00	...	8.16	Total of 2 transactions

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Robinhood Securities LLC

Account 926323635

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
<i>2 transactions for 01/11/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	64.61	12/01/20	44.09	...	20.52	1 of 2 - Sale ²⁵
	4.000	258.45	12/11/20	175.41	...	83.04	2 of 2 - Sale ²⁵
01/11/21	5.000	323.06	Various	219.50	...	103.56	Total of 2 transactions
03/11/21	1.000	45.42	12/11/20	42.42	...	3.00	Sale ²⁵
<i>3 transactions for 03/15/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	45.98	12/11/20	42.42	...	3.56	1 of 3 - Sale ²⁵
	4.000	184.41	12/11/20	169.68	...	14.73	2 of 3 - Sale ²⁵
	4.000	183.93	12/28/20	183.66	...	0.27	3 of 3 - Sale ²⁵
03/15/21	9.000	414.32	Various	395.76	...	18.56	Total of 3 transactions
03/30/21	1.000	37.56	01/14/21	61.62	24.06 W	0.00	Sale ²⁵
<i>2 transactions for 04/01/21. Total proceeds and cost reported to the IRS.</i>							
	2.000	83.54	01/15/21	112.09	28.55 W	0.00	1 of 2 - Sale ²⁵
	2.000	83.54	01/15/21	112.10	28.56 W	0.00	2 of 2 - Sale ²⁵
04/01/21	4.000	167.08	Various	224.19	57.11 W	0.00	Total of 2 transactions
<i>3 transactions for 05/26/21. Total proceeds and cost reported to the IRS.</i>							
	2.000	75.49	02/01/21	109.74	...	-34.25	1 of 3 - Sale ²⁵
	1.000	37.74	02/02/21	55.68	...	-17.94	2 of 3 - Sale ²⁵
	2.000	75.49	02/23/21	87.66	...	-12.17	3 of 3 - Sale ²⁵
05/26/21	5.000	188.72	Various	253.08	...	-64.36	Total of 3 transactions
<i>2 transactions for 05/26/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	37.74	02/01/21	56.01	18.27 W	0.00	1 of 2 - Sale ²⁵
	1.000	37.75	02/01/21	56.01	18.26 W	0.00	2 of 2 - Sale ²⁵
05/26/21	2.000	75.49	Various	112.02	36.53 W	0.00	Total of 2 transactions
<i>7 transactions for 06/11/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	45.18	02/23/21	43.83	...	1.35	1 of 7 - Sale ²⁵
	2.000	90.35	02/23/21	85.13	...	5.22	2 of 7 - Sale ²⁵
	1.000	45.17	03/02/21	67.03	...	-21.86	3 of 7 - Sale ²⁵
	1.000	45.18	03/03/21	55.58	...	-10.40	4 of 7 - Sale ²⁵
	2.000	90.35	03/04/21	105.48	...	-15.13	5 of 7 - Sale ²⁵
	1.000	45.17	03/04/21	54.66	...	-9.49	6 of 7 - Sale ²⁵

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Robinhood Securities LLC

Account 926323635

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
06/11/21	2.000	90.35	03/05/21	71.90	...	18.45	7 of 7 - Sale ²⁵
	10.000	451.75	Various	483.61	...	-31.86	Total of 7 transactions
	6 transactions for 06/18/21. Total proceeds and cost reported to the IRS.						
	1.000	48.05	03/05/21	35.95	...	12.10	1 of 6 - Sale ²⁵
	1.000	48.05	03/08/21	36.40	...	11.65	2 of 6 - Sale ²⁵
	1.000	48.05	03/10/21	41.90	...	6.15	3 of 6 - Sale ²⁵
	2.000	96.10	03/16/21	89.25	...	6.85	4 of 6 - Sale ²⁵
	2.000	96.10	03/16/21	87.59	...	8.51	5 of 6 - Sale ²⁵
	3.000	144.15	03/16/21	134.66	...	9.49	6 of 6 - Sale ²⁵
06/18/21	10.000	480.50	Various	425.75	...	54.75	Total of 6 transactions
	4 transactions for 06/28/21. Total proceeds and cost reported to the IRS.						
	1.000	47.92	03/16/21	43.22	...	4.70	1 of 4 - Sale ²⁵
	2.000	95.85	03/17/21	85.38	...	10.47	2 of 4 - Sale ²⁵
	5.000	239.61	03/23/21	209.85	...	29.76	3 of 4 - Sale ²⁵
	2.000	95.84	03/24/21	74.14	...	21.70	4 of 4 - Sale ²⁵
06/28/21	10.000	479.22	Various	412.59	...	66.63	Total of 4 transactions
	4 transactions for 08/02/21. Total proceeds and cost reported to the IRS.						
	1.000	46.40	03/24/21	37.07	...	9.33	1 of 4 - Sale ²⁵
	2.000	92.80	03/29/21	70.22	...	22.58	2 of 4 - Sale ²⁵
	1.000	46.40	04/01/21	39.83	...	6.57	3 of 4 - Sale ²⁵
	1.000	46.40	04/01/21	39.78	...	6.62	4 of 4 - Sale ²⁵
08/02/21	5.000	232.00	Various	186.90	...	45.10	Total of 4 transactions
	2 transactions for 11/01/21. Total proceeds and cost reported to the IRS.						
	1.000	40.27	04/01/21	39.78	...	0.49	1 of 2 - Sale ²⁵
	2.000	80.54	04/07/21	75.54	...	5.00	2 of 2 - Sale ²⁵
11/01/21	3.000	120.81	Various	115.32	...	5.49	Total of 2 transactions
	2 transactions for 11/01/21. Total proceeds and cost reported to the IRS.						
	1.000	40.27	05/19/21	51.32	11.05 W	0.00	1 of 2 - Sale ²⁵
	1.000	40.27	05/19/21	51.34	11.07 W	0.00	2 of 2 - Sale ²⁵
11/01/21	2.000	80.54	Various	102.66	22.12 W	0.00	Total of 2 transactions

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Robinhood Securities LLC

Account 926323635

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
2 transactions for 11/08/21. Total proceeds and cost reported to the IRS.							
	5.000	214.05	07/27/21	199.63	...	14.42	1 of 2 - Sale ²⁵
	3.000	128.43	10/22/21	116.05	...	12.38	2 of 2 - Sale ²⁵
11/08/21	8.000	342.48	Various	315.68	...	26.80	Total of 2 transactions
2 transactions for 11/08/21. Total proceeds and cost reported to the IRS.							
	1.000	42.81	10/22/21	49.74	6.93 W	0.00	1 of 2 - Sale ²⁵
	1.000	42.81	10/22/21	49.76	6.95 W	0.00	2 of 2 - Sale ²⁵
11/08/21	2.000	85.62	Various	99.50	13.88 W	0.00	Total of 2 transactions
5 transactions for 12/01/21. Total proceeds and cost reported to the IRS.							
	1.000	40.02	10/22/21	45.63	...	-5.61	1 of 5 - Sale ²⁵
	1.000	40.01	10/29/21	46.75	...	-6.74	2 of 5 - Sale ²⁵
	3.000	120.05	10/29/21	119.44	...	0.61	3 of 5 - Sale ²⁵
	2.000	80.03	10/29/21	78.74	...	1.29	4 of 5 - Sale ²⁵
	3.000	120.04	11/01/21	116.61	...	3.43	5 of 5 - Sale ²⁵
12/01/21	10.000	400.15	Various	407.17	...	-7.02	Total of 5 transactions
	Security total:	3,924.72		3,857.77	153.70 W	220.65	
NIKE, INC. / CUSIP: 654106103 / Symbol:							
2 transactions for 04/22/21. Total proceeds and cost reported to the IRS.							
	2.000	258.76	04/21/21	259.35	...	-0.59	1 of 2 - Sale ²⁵
	2.000	258.78	04/21/21	259.35	...	-0.57	2 of 2 - Sale ²⁵
04/22/21	4.000	517.54	Various	518.70	...	-1.16	Total of 2 transactions
NIKOLA CORPORATION COMMON STOCK / CUSIP: 654110105 / Symbol:							
01/08/21	10.000	183.60	12/03/20	215.06	31.46 W	0.00	Sale ²⁵
3 transactions for 01/12/21. Total proceeds and cost reported to the IRS.							
	1.000	18.50	12/09/20	23.78	5.28 W	0.00	1 of 3 - Sale ²⁵
	1.000	18.50	12/09/20	43.73	25.23 W	0.00	2 of 3 - Sale ²⁵
	3.000	55.50	12/09/20	95.16	39.66 W	0.00	3 of 3 - Sale ²⁵
01/12/21	5.000	92.50	Various	162.67	70.17 W	0.00	Total of 3 transactions
01/25/21	5.000	107.40	12/10/20	106.84	...	0.56	Sale ²⁵
01/25/21	5.000	107.40	12/09/20	118.18	10.78 W	0.00	Sale ²⁵

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Account 926323635

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol: (cont'd)							
<i>2 transactions for 01/26/21. Total proceeds and cost reported to the IRS.</i>							
	5.000	115.00	12/10/20	106.84	...	8.16	1 of 2 - Sale ²⁵
	1.000	23.00	12/21/20	21.92	...	1.08	2 of 2 - Sale ²⁵
01/26/21	6.000	138.00	Various	128.76	...	9.24	Total of 2 transactions
<i>2 transactions for 01/26/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	23.00	12/21/20	41.87	18.87 W	0.00	1 of 2 - Sale ²⁵
	3.000	69.00	12/21/20	89.58	20.58 W	0.00	2 of 2 - Sale ²⁵
01/26/21	4.000	92.00	Various	131.45	39.45 W	0.00	Total of 2 transactions
<i>3 transactions for 01/27/21. Total proceeds and cost reported to the IRS.</i>							
	5.000	124.55	12/21/20	83.20	...	41.35	1 of 3 - Sale ²⁵
	3.000	74.73	01/07/21	74.10	...	0.63	2 of 3 - Sale ²⁵
	1.000	24.91	01/07/21	20.00	...	4.91	3 of 3 - Sale ²⁵
01/27/21	9.000	224.19	Various	177.30	...	46.89	Total of 3 transactions
01/27/21	1.000	24.91	01/07/21	36.71	11.80 W	0.00	Sale ²⁵
<i>3 transactions for 02/01/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	23.29	01/07/21	17.84	...	5.45	1 of 3 - Sale ²⁵
	4.000	93.16	01/07/21	79.98	...	13.18	2 of 3 - Sale ²⁵
	3.000	69.87	01/11/21	50.81	...	19.06	3 of 3 - Sale ²⁵
02/01/21	8.000	186.32	Various	148.63	...	37.69	Total of 3 transactions
02/01/21	1.000	23.29	01/11/21	28.74	5.45 W	0.00	Sale ²⁵
02/22/21	1.000	21.80	01/11/21	16.94	...	4.86	Sale ²⁵
<i>2 transactions for 02/22/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	21.80	02/09/21	27.74	5.94 W	0.00	1 of 2 - Sale ²⁵
	3.000	65.40	02/09/21	66.87	1.47 W	0.00	2 of 2 - Sale ²⁵
02/22/21	4.000	87.20	Various	94.61	7.41 W	0.00	Total of 2 transactions
<i>2 transactions for 03/10/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	15.89	02/09/21	28.26	12.37 W	0.00	1 of 2 - Sale ²⁵
	1.000	15.90	02/09/21	22.81	6.91 W	0.00	2 of 2 - Sale ²⁵
03/10/21	2.000	31.79	Various	51.07	19.28 W	0.00	Total of 2 transactions

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Robinhood Securities LLC

Account 926323635

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol: (cont'd)							
2 transactions for 03/11/21. Total proceeds and cost reported to the IRS.							
	1.000	16.76	02/09/21	22.32	5.56 W	0.00	1 of 2 - Sale ²⁵
	2.000	33.52	02/09/21	45.62	12.10 W	0.00	2 of 2 - Sale ²⁵
03/11/21	3.000	50.28	Various	67.94	17.66 W	0.00	Total of 2 transactions
8 transactions for 05/12/21. Total proceeds and cost reported to the IRS.							
	1.000	12.39	02/12/21	28.93	16.54 W	0.00	1 of 8 - Sale ²⁵
	1.000	12.40	02/12/21	27.58	15.18 W	0.00	2 of 8 - Sale ²⁵
	2.000	24.79	02/12/21	56.14	31.35 W	0.00	3 of 8 - Sale ²⁵
	2.000	24.79	02/23/21	37.36	12.57 W	0.00	4 of 8 - Sale ²⁵
	3.000	37.18	02/25/21	60.20	23.02 W	0.00	5 of 8 - Sale ²⁵
	5.000	61.98	03/03/21	83.03	21.05 W	0.00	6 of 8 - Sale ²⁵
	5.000	61.97	03/16/21	79.95	17.98 W	0.00	7 of 8 - Sale ²⁵
	5.000	61.98	04/01/21	69.25	7.27 W	0.00	8 of 8 - Sale ²⁵
05/12/21	24.000	297.48	Various	442.44	144.96 W	0.00	Total of 8 transactions
5 transactions for 05/26/21. Total proceeds and cost reported to the IRS.							
	1.000	12.99	04/22/21	26.63	13.64 W	0.00	1 of 5 - Sale ²⁵
	1.000	12.99	04/22/21	27.98	14.99 W	0.00	2 of 5 - Sale ²⁵
	2.000	25.98	04/22/21	35.46	9.48 W	0.00	3 of 5 - Sale ²⁵
	2.000	25.98	04/22/21	54.24	28.26 W	0.00	4 of 5 - Sale ²⁵
	3.000	38.98	04/22/21	57.35	18.37 W	0.00	5 of 5 - Sale ²⁵
05/26/21	9.000	116.92	Various	201.66	84.74 W	0.00	Total of 5 transactions
3 transactions for 05/27/21. Total proceeds and cost reported to the IRS.							
	2.000	28.94	04/22/21	25.67	...	3.27	1 of 3 - Sale ²⁵
	3.000	43.41	04/22/21	38.95	...	4.46	2 of 3 - Sale ²⁵
	5.000	72.35	04/22/21	56.90	...	15.45	3 of 3 - Sale ²⁵
05/27/21	10.000	144.70	Various	121.52	...	23.18	Total of 3 transactions
2 transactions for 05/27/21. Total proceeds and cost reported to the IRS.							
	5.000	68.50	04/22/21	75.20	6.70 W	0.00	1 of 2 - Sale ²⁵
	5.000	68.51	04/22/21	78.28	9.77 W	0.00	2 of 2 - Sale ²⁵
05/27/21	10.000	137.01	Various	153.48	16.47 W	0.00	Total of 2 transactions
05/28/21	10.000	154.35	04/22/21	113.80	...	40.55	Sale ²⁵

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Robinhood Securities LLC

Account 926323635

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol: (cont'd)							
2 transactions for 06/02/21. Total proceeds and cost reported to the IRS.							
	5.000	87.43	04/22/21	56.90	...	30.53	1 of 2 - Sale ²⁵
	5.000	87.42	04/23/21	57.50	...	29.92	2 of 2 - Sale ²⁵
06/02/21	10.000	174.85	Various	114.40	...	60.45	Total of 2 transactions
8 transactions for 11/01/21. Total proceeds and cost reported to the IRS.							
	2.000	25.10	05/21/21	52.32	...	-27.22	1 of 8 - Sale ²⁵
	3.000	37.65	05/21/21	54.46	...	-16.81	2 of 8 - Sale ²⁵
	1.000	12.55	05/21/21	27.02	...	-14.47	3 of 8 - Sale ²⁵
	1.000	12.55	05/21/21	25.67	...	-13.12	4 of 8 - Sale ²⁵
	2.000	25.10	05/21/21	33.54	...	-8.44	5 of 8 - Sale ²⁵
	5.000	62.75	05/21/21	69.92	...	-7.17	6 of 8 - Sale ²⁵
	5.000	62.75	05/21/21	66.84	...	-4.09	7 of 8 - Sale ²⁵
	5.000	63.05	07/20/21	68.50	...	-5.45	8 of 8 - Sale ²⁵
11/01/21	24.000	301.50	Various	398.27	...	-96.77	Total of 8 transactions
2 transactions for 11/04/21. Total proceeds and cost reported to the IRS.							
	5.000	69.55	07/20/21	68.50	...	1.05	1 of 2 - Sale ²⁵
	20.000	278.20	08/02/21	225.38	...	52.82	2 of 2 - Sale ²⁵
11/04/21	25.000	347.75	Various	293.88	...	53.87	Total of 2 transactions
	Security total:	3,045.24		3,324.35	459.63 W	180.52	
NOKIA CORPORATION / CUSIP: 654902204 / Symbol:							
02/08/21	50.000	214.00	02/01/21	248.50	...	-34.50	Sale ²⁵
NORTHERN DYNASTY MINERALS, LTD . / CUSIP: 66510M204 / Symbol:							
03/16/21	50.000	36.50	02/05/21	31.61	...	4.89	Sale ²⁵
2 transactions for 04/06/21. Total proceeds and cost reported to the IRS.							
	50.000	33.65	02/05/21	31.61	...	2.04	1 of 2 - Sale ²⁵
	50.000	33.57	02/18/21	38.10	...	-4.53	2 of 2 - Sale ²⁵
04/06/21	100.000	67.22	Various	69.71	...	-2.49	Total of 2 transactions
	Security total:	103.72		101.32	...	2.40	

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Robinhood Securities LLC

Account 926323635

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol:							
2 transactions for 06/01/21. Total proceeds and cost reported to the IRS.							
	1.000	144.93	05/14/21	120.18	...	24.75	1 of 2 - Sale ²⁵
	1.000	144.93	05/14/21	119.33	...	25.60	2 of 2 - Sale ²⁵
06/01/21	2.000	289.86	Various	239.51	...	50.35	Total of 2 transactions
OCEAN POWER TECHNOLOGIES, INC. / CUSIP: 674870506 / Symbol:							
2 transactions for 07/02/21. Total proceeds and cost reported to the IRS.							
	50.000	132.99	06/11/21	125.43	...	7.56	1 of 2 - Sale ²⁵
	50.000	133.00	06/11/21	125.00	...	8.00	2 of 2 - Sale ²⁵
07/02/21	100.000	265.99	Various	250.43	...	15.56	Total of 2 transactions
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol:							
6 transactions for 02/22/21. Total proceeds and cost reported to the IRS.							
	15.000	152.99	02/03/21	41.85	...	111.14	1 of 6 - Sale ²⁵
	25.000	254.99	02/03/21	70.00	...	184.99	2 of 6 - Sale ²⁵
	10.000	101.20	02/05/21	52.45	...	48.75	3 of 6 - Sale ²⁵
	10.000	102.00	02/05/21	33.70	...	68.30	4 of 6 - Sale ²⁵
	10.000	101.20	02/05/21	31.40	...	69.80	5 of 6 - Sale ²⁵
	30.000	303.58	02/05/21	218.70	...	84.88	6 of 6 - Sale ²⁵
02/22/21	100.000	1,015.96	Various	448.10	...	567.86	Total of 6 transactions
03/02/21	10.000	102.60	02/11/21	120.59	17.99 W	0.00	Sale ²⁵
03/11/21	10.000	90.00	02/11/21	120.59	30.59 W	0.00	Sale ²⁵
2 transactions for 04/07/21. Total proceeds and cost reported to the IRS.							
	10.000	68.20	02/11/21	120.59	52.39 W	0.00	1 of 2 - Sale ²⁵
	20.000	150.00	02/11/21	241.18	91.18 W	0.00	2 of 2 - Sale ²⁵
04/07/21	30.000	218.20	Various	361.77	143.57 W	0.00	Total of 2 transactions
2 transactions for 04/22/21. Total proceeds and cost reported to the IRS.							
	1.000	7.50	02/11/21	12.06	4.56 W	0.00	1 of 2 - Sale ²⁵
	10.000	100.00	02/11/21	120.59	20.59 W	0.00	2 of 2 - Sale ²⁵
04/22/21	11.000	107.50	Various	132.65	25.15 W	0.00	Total of 2 transactions
05/03/21	15.000	237.00	02/11/21	180.88	...	56.12	Sale ²⁵
06/21/21	10.000	75.00	02/11/21	120.59	45.59 W	0.00	Sale ²⁵

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Robinhood Securities LLC

Account 926323635

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol: (cont'd)							
2 transactions for 06/23/21. Total proceeds and cost reported to the IRS.							
	14.000	105.00	02/11/21	168.82	63.82 W	0.00	1 of 2 - Sale ²⁵
	1.000	7.50	02/23/21	9.12	1.62 W	0.00	2 of 2 - Sale ²⁵
06/23/21	15.000	112.50	Various	177.94	65.44 W	0.00	Total of 2 transactions
3 transactions for 06/24/21. Total proceeds and cost reported to the IRS.							
	4.000	31.65	02/23/21	26.56	...	5.09	1 of 3 - Sale ²⁵
	6.000	46.20	02/23/21	39.84	...	6.36	2 of 3 - Sale ²⁵
	6.000	47.47	02/23/21	39.48	...	7.99	3 of 3 - Sale ²⁵
06/24/21	16.000	125.32	Various	105.88	...	19.44	Total of 3 transactions
3 transactions for 06/24/21. Total proceeds and cost reported to the IRS.							
	1.000	7.70	02/23/21	9.77	2.07 W	0.00	1 of 3 - Sale ²⁵
	9.000	69.30	02/23/21	82.07	12.77 W	0.00	2 of 3 - Sale ²⁵
	9.000	69.30	02/23/21	87.92	18.62 W	0.00	3 of 3 - Sale ²⁵
06/24/21	19.000	146.30	Various	179.76	33.46 W	0.00	Total of 3 transactions
2 transactions for 07/08/21. Total proceeds and cost reported to the IRS.							
	14.000	105.29	02/23/21	92.12	...	13.17	1 of 2 - Sale ²⁵
	1.000	7.52	03/29/21	11.21	...	-3.69	2 of 2 - Sale ²⁵
07/08/21	15.000	112.81	Various	103.33	...	9.48	Total of 2 transactions
4 transactions for 07/08/21. Total proceeds and cost reported to the IRS.							
	10.000	75.20	03/02/21	100.75	25.55 W	0.00	1 of 4 - Sale ²⁵
	10.000	75.21	03/05/21	77.35	2.14 W	0.00	2 of 4 - Sale ²⁵
	10.000	75.20	03/25/21	122.39	47.19 W	0.00	3 of 4 - Sale ²⁵
	5.000	37.60	03/26/21	58.78	4.24 W	-16.94	4 of 4 - Sale ²⁵
07/08/21	35.000	263.21	Various	359.27	79.12 W	-16.94	Total of 4 transactions
7 transactions for 07/19/21. Total proceeds and cost reported to the IRS.							
	14.000	105.00	03/29/21	157.00	...	-52.00	1 of 7 - Sale ²⁵
	9.000	67.50	04/08/21	80.00	...	-12.50	2 of 7 - Sale ²⁵
	1.000	7.50	04/08/21	11.39	...	-3.89	3 of 7 - Sale ²⁵
	1.000	7.50	04/16/21	7.93	...	-0.43	4 of 7 - Sale ²⁵
	9.000	67.50	04/16/21	52.78	...	14.72	5 of 7 - Sale ²⁵
	10.000	75.00	04/19/21	55.15	...	19.85	6 of 7 - Sale ²⁵

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Robinhood Securities LLC

Account 926323635

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol: (cont'd)							
07/19/21	6.000	45.00	04/21/21	36.84	...	8.16	7 of 7 - Sale ²⁵
	50.000	375.00	Various	401.09	...	-26.09	Total of 7 transactions
	9 transactions for 08/05/21. Total proceeds and cost reported to the IRS.						
	14.000	107.81	04/21/21	85.96	...	21.85	1 of 9 - Sale ²⁵
	10.000	77.00	04/22/21	97.00	...	-20.00	2 of 9 - Sale ²⁵
	1.000	7.70	04/22/21	7.05	...	0.65	3 of 9 - Sale ²⁵
	5.000	38.50	05/26/21	60.90	...	-22.40	4 of 9 - Sale ²⁵
	5.000	38.50	05/26/21	60.18	...	-21.68	5 of 9 - Sale ²⁵
	4.000	30.80	05/26/21	48.15	...	-17.35	6 of 9 - Sale ²⁵
	1.000	7.70	05/26/21	12.04	...	-4.34	7 of 9 - Sale ²⁵
	9.000	69.32	07/02/21	106.64	...	-37.32	8 of 9 - Sale ²⁵
	1.000	7.70	07/02/21	8.91	...	-1.21	9 of 9 - Sale ²⁵
08/05/21	50.000	385.03	Various	486.83	...	-101.80	Total of 9 transactions
	5 transactions for 08/10/21. Total proceeds and cost reported to the IRS.						
	10.000	90.10	07/13/21	114.39	...	-24.29	1 of 5 - Sale ²⁵
	1.000	9.01	07/13/21	10.96	...	-1.95	2 of 5 - Sale ²⁵
	1.000	9.01	07/13/21	8.79	...	0.22	3 of 5 - Sale ²⁵
	9.000	81.09	07/13/21	79.10	...	1.99	4 of 5 - Sale ²⁵
	4.000	36.04	07/13/21	32.56	...	3.48	5 of 5 - Sale ²⁵
08/10/21	25.000	225.25	Various	245.80	...	-20.55	Total of 5 transactions
	3 transactions for 08/17/21. Total proceeds and cost reported to the IRS.						
	10.000	70.40	07/13/21	92.75	...	-22.35	1 of 3 - Sale ²⁵
	5.000	35.20	07/13/21	40.69	...	-5.49	2 of 3 - Sale ²⁵
	10.000	70.40	07/13/21	69.34	...	1.06	3 of 3 - Sale ²⁵
08/17/21	25.000	176.00	Various	202.78	...	-26.78	Total of 3 transactions
	Security total:	3,767.68		3,747.85	440.91 W	460.74	
ONCOCYTE CORPORATION COMMON ST OCK / CUSIP: 68235C107 / Symbol:							
	3 transactions for 02/12/21. Total proceeds and cost reported to the IRS.						
02/12/21	7.000	39.55	01/29/21	37.10	...	2.45	1 of 3 - Sale ²⁵
	8.000	45.20	01/29/21	42.40	...	2.80	2 of 3 - Sale ²⁵
	15.000	84.75	02/08/21	83.48	...	1.27	3 of 3 - Sale ²⁵
02/12/21	30.000	169.50	Various	162.98	...	6.52	Total of 3 transactions

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Account 926323635

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ORBITAL ENERGY GROUP, INC. COM MON STOCK / CUSIP: 68559A109 / Symbol:							
6 transactions for 06/01/21. Total proceeds and cost reported to the IRS.							
	10.000	70.00	06/01/21	61.19	...	8.81	1 of 6 - Sale ²⁵
	10.000	70.00	06/01/21	58.60	...	11.40	2 of 6 - Sale ²⁵
	10.000	70.00	06/01/21	57.39	...	12.61	3 of 6 - Sale ²⁵
	10.000	80.00	06/01/21	60.00	...	20.00	4 of 6 - Sale ²⁵
	40.000	279.99	06/01/21	246.78	...	33.21	5 of 6 - Sale ²⁵
	20.000	160.00	06/01/21	117.20	...	42.80	6 of 6 - Sale ²⁵
06/01/21	100.000	729.99	Various	601.16	...	128.83	Total of 6 transactions
06/01/21	40.000	244.00	06/01/21	244.78	0.78 W	0.00	Sale ²⁵
	Security total:	973.99		845.94	0.78 W	128.83	
ORCHID ISLAND CAPITAL, INC. / CUSIP: 68571X103 / Symbol:							
2 transactions for 12/08/21. Total proceeds and cost reported to the IRS.							
	25.000	112.56	06/11/21	134.88	...	-22.32	1 of 2 - Sale ²⁵
	25.000	112.56	06/11/21	134.72	...	-22.16	2 of 2 - Sale ²⁵
12/08/21	50.000	225.12	Various	269.60	...	-44.48	Total of 2 transactions
ORGANOGENESIS HOLDINGS INC. CL ASS A COMMON STOCK / CUSIP: 68621F102 / Symbol:							
02/01/21	15.000	157.50	01/20/21	155.81	...	1.69	Sale ²⁵
2 transactions for 02/02/21. Total proceeds and cost reported to the IRS.							
	5.000	56.83	01/20/21	51.94	...	4.89	1 of 2 - Sale ²⁵
	10.000	113.65	01/25/21	105.34	...	8.31	2 of 2 - Sale ²⁵
02/02/21	15.000	170.48	Various	157.28	...	13.20	Total of 2 transactions
	Security total:	327.98		313.09	...	14.89	
PG&E CORPORATION / CUSIP: 69331C108 / Symbol:							
07/07/21	25.000	261.83	06/04/21	260.75	...	1.08	Sale ²⁵
PALATIN TECHNOLOGIES, INC. / CUSIP: 696077403 / Symbol:							
02/09/21	50.000	54.00	01/27/21	44.86	...	9.14	Sale ²⁵
02/09/21	50.000	53.99	01/21/21	58.00	4.01 W	0.00	Sale ²⁵
2 transactions for 04/01/21. Total proceeds and cost reported to the IRS.							
	50.000	36.27	01/27/21	43.94	...	-7.67	1 of 2 - Sale ²⁵

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Robinhood Securities LLC

Account 926323635

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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PALATIN TECHNOLOGIES, INC. / CUSIP: 696077403 / Symbol: (cont'd)							
	50.000	36.28	02/10/21	62.00	...	-25.72	2 of 2 - Sale ²⁵
04/01/21	100.000	72.55	Various	105.94	...	-33.39	Total of 2 transactions
04/01/21	50.000	36.28	01/27/21	47.96	11.68 W	0.00	Sale ²⁵
	10 transactions for 11/29/21. Total proceeds and cost reported to the IRS.						
	150.000	119.98	02/10/21	186.00	...	-66.02	1 of 10 - Sale ²⁵
	44.000	35.19	02/10/21	54.56	...	-19.37	2 of 10 - Sale ²⁵
	6.000	4.80	02/10/21	7.44	...	-2.64	3 of 10 - Sale ²⁵
	50.000	40.00	02/11/21	61.38	...	-21.38	4 of 10 - Sale ²⁵
	50.000	39.99	02/17/21	49.03	...	-9.04	5 of 10 - Sale ²⁵
	50.000	40.00	02/22/21	45.01	...	-5.01	6 of 10 - Sale ²⁵
	50.000	39.99	03/04/21	46.72	...	-6.73	7 of 10 - Sale ²⁵
	94.000	75.19	07/07/21	56.39	...	18.80	8 of 10 - Sale ²⁵
	106.000	84.79	07/07/21	63.59	...	21.20	9 of 10 - Sale ²⁵
	199.000	159.16	07/07/21	119.38	...	39.78	10 of 10 - Sale ²⁵
11/29/21	799.000	639.09	Various	689.50	...	-50.41	Total of 10 transactions
	Security total:	855.91		946.26	15.69 W	-74.66	
PAVMED INC. COMMON STOCK / CUSIP: 70387R106 / Symbol:							
02/19/21	50.000	239.00	02/16/21	205.25	...	33.75	Sale ²⁵
PELTON INTERACTIVE, INC. CLAS S A COMMON STOCK / CUSIP: 70614W100 / Symbol:							
11/10/21	5.000	248.75	11/08/21	247.06	...	1.69	Sale ²⁵
PINTEREST, INC. CLASS A COMMON STOCK / CUSIP: 72352L106 / Symbol:							
	3 transactions for 10/20/21. Total proceeds and cost reported to the IRS.						
	5.000	312.20	07/30/21	291.94	...	20.26	1 of 3 - Sale ²⁵
	2.000	124.88	08/11/21	114.02	...	10.86	2 of 3 - Sale ²⁵
	3.000	187.32	08/12/21	168.72	...	18.60	3 of 3 - Sale ²⁵
10/20/21	10.000	624.40	Various	574.68	...	49.72	Total of 3 transactions

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Robinhood Securities LLC

Account 926323635

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol:							
05/26/21	5.000	146.80	03/22/21	192.03	45.23 W	0.00	Sale ²⁵
06/22/21	3.000	99.48	04/15/21	79.14	...	20.34	Sale ²⁵
	2 transactions for 06/22/21. Total proceeds and cost reported to the IRS.						
	5.000	165.80	03/23/21	184.60	18.80 W	0.00	1 of 2 - Sale ²⁵
	2.000	66.32	04/07/21	66.74	0.42 W	0.00	2 of 2 - Sale ²⁵
06/22/21	7.000	232.12	Various	251.34	19.22 W	0.00	Total of 2 transactions
	2 transactions for 08/10/21. Total proceeds and cost reported to the IRS.						
	4.000	117.80	04/26/21	147.08	29.28 W	0.00	1 of 2 - Sale ²⁵
	1.000	29.45	05/04/21	33.74	4.29 W	0.00	2 of 2 - Sale ²⁵
08/10/21	5.000	147.25	Various	180.82	33.57 W	0.00	Total of 2 transactions
	2 transactions for 09/23/21. Total proceeds and cost reported to the IRS.						
	1.000	28.35	05/04/21	24.65	...	3.70	1 of 2 - Sale ²⁵
	4.000	113.40	05/04/21	98.74	...	14.66	2 of 2 - Sale ²⁵
09/23/21	5.000	141.75	Various	123.39	...	18.36	Total of 2 transactions
	2 transactions for 09/27/21. Total proceeds and cost reported to the IRS.						
	4.000	113.56	07/12/21	144.44	...	-30.88	1 of 2 - Sale ²⁵
	1.000	28.39	07/12/21	33.08	...	-4.69	2 of 2 - Sale ²⁵
09/27/21	5.000	141.95	Various	177.52	...	-35.57	Total of 2 transactions
	3 transactions for 09/27/21. Total proceeds and cost reported to the IRS.						
	3.000	85.17	06/15/21	88.34	1.06 W	-2.11	1 of 3 - Sale ²⁵
	2.000	56.78	06/15/21	59.32	2.54 W	0.00	2 of 3 - Sale ²⁵
	5.000	141.63	06/15/21	166.05	24.42 W	0.00	3 of 3 - Sale ²⁵
09/27/21	10.000	283.58	Various	313.71	28.02 W	-2.11	Total of 3 transactions
	2 transactions for 10/12/21. Total proceeds and cost reported to the IRS.						
	5.000	144.05	07/13/21	141.24	...	2.81	1 of 2 - Sale ²⁵
	5.000	144.05	07/16/21	130.05	...	14.00	2 of 2 - Sale ²⁵
10/12/21	10.000	288.10	Various	271.29	...	16.81	Total of 2 transactions
	2 transactions for 10/21/21. Total proceeds and cost reported to the IRS.						
	5.000	168.88	07/27/21	128.50	...	40.38	1 of 2 - Sale ²⁵
	5.000	168.87	08/11/21	138.60	...	30.27	2 of 2 - Sale ²⁵
10/21/21	10.000	337.75	Various	267.10	...	70.65	Total of 2 transactions

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Robinhood Securities LLC

Account 926323635

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol: (cont'd)							
5 transactions for 11/26/21. Total proceeds and cost reported to the IRS.							
	2.000	80.41	08/13/21	51.34	...	29.07	1 of 5 - Sale ²⁵
	3.000	120.61	09/15/21	87.48	...	33.13	2 of 5 - Sale ²⁵
	1.000	40.20	09/23/21	28.42	...	11.78	3 of 5 - Sale ²⁵
	2.000	80.41	09/23/21	64.49	...	15.92	4 of 5 - Sale ²⁵
	2.000	80.41	09/23/21	57.26	...	23.15	5 of 5 - Sale ²⁵
11/26/21	10.000	402.04	Various	288.99	...	113.05	Total of 5 transactions
	Security total:	2,220.82		2,145.33	126.04 W	201.53	
PLUS THERAPEUTICS, INC. COMMON STOCK / CUSIP: 72941H400 / Symbol:							
02/19/21	20.000	69.40	02/11/21	68.66	...	0.74	Sale ²⁵
02/22/21	30.000	132.00	02/11/21	102.98	...	29.02	Sale ²⁵
	Security total:	201.40		171.64	...	29.76	
POLAR POWER, INC. COMMON STOCK / CUSIP: 73102V105 / Symbol:							
2 transactions for 03/31/21. Total proceeds and cost reported to the IRS.							
	2.000	28.60	02/16/21	34.63	6.03 W	0.00	1 of 2 - Sale ²⁵
	8.000	114.40	02/16/21	138.52	24.12 W	0.00	2 of 2 - Sale ²⁵
03/31/21	10.000	143.00	Various	173.15	30.15 W	0.00	Total of 2 transactions
PROFESSIONAL DIVERSITY NETWORK, INC. COMMON STOCK / CUSIP: 74312Y202 / Symbol:							
03/15/21	20.000	68.00	02/17/21	114.00	46.00 W	0.00	Sale ²⁵
2 transactions for 03/18/21. Total proceeds and cost reported to the IRS.							
	5.000	20.50	03/09/21	19.25	...	1.25	1 of 2 - Sale ²⁵
	5.000	21.85	03/09/21	13.55	...	8.30	2 of 2 - Sale ²⁵
03/18/21	10.000	42.35	Various	32.80	...	9.55	Total of 2 transactions
3 transactions for 03/18/21. Total proceeds and cost reported to the IRS.							
	10.000	43.70	02/24/21	55.10	11.40 W	0.00	1 of 3 - Sale ²⁵
	10.000	43.70	03/09/21	50.10	6.40 W	0.00	2 of 3 - Sale ²⁵
	5.000	20.50	03/16/21	22.20	1.70 W	0.00	3 of 3 - Sale ²⁵
03/18/21	25.000	107.90	Various	127.40	19.50 W	0.00	Total of 3 transactions

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Robinhood Securities LLC

Account 926323635

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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PROFESSIONAL DIVERSITY NETWORK , INC. COMMON STOCK / CUSIP: 74312Y202 / Symbol: (cont'd)							
4 transactions for 03/22/21. Total proceeds and cost reported to the IRS.							
	10.000	33.45	03/16/21	39.40	...	-5.95	1 of 4 - Sale ²⁵
	5.000	16.72	03/16/21	16.50	...	0.22	2 of 4 - Sale ²⁵
	5.000	16.73	03/19/21	17.03	...	-0.30	3 of 4 - Sale ²⁵
	10.000	33.45	03/19/21	30.65	...	2.80	4 of 4 - Sale ²⁵
03/22/21	30.000	100.35	Various	103.58	...	-3.23	Total of 4 transactions
	Security total:	318.60		377.78	65.50 W	6.32	
PROSHARES ULTRA VIX SHORT-TERM FUTURES ETF / CUSIP: 74347W148 / Symbol:							
2 transactions for 01/04/21. Total proceeds and cost reported to the IRS.							
	1.000	12.38	12/22/20	12.46	0.08 W	0.00	1 of 2 - Sale ²⁵
	9.000	111.42	12/22/20	125.69	14.27 W	0.00	2 of 2 - Sale ²⁵
01/04/21	10.000	123.80	Various	138.15	14.35 W	0.00	Total of 2 transactions
2 transactions for 01/11/21. Total proceeds and cost reported to the IRS.							
	1.000	10.68	12/22/20	12.38	1.70 W	0.00	1 of 2 - Sale ²⁵
	5.000	53.37	01/06/21	60.53	7.16 W	0.00	2 of 2 - Sale ²⁵
01/11/21	6.000	64.05	Various	72.91	8.86 W	0.00	Total of 2 transactions
5 transactions for 01/20/21. Total proceeds and cost reported to the IRS.							
	1.000	10.27	01/06/21	12.22	1.95 W	0.00	1 of 5 - Sale ²⁵
	4.000	41.08	01/06/21	48.42	7.34 W	0.00	2 of 5 - Sale ²⁵
	5.000	51.35	01/06/21	52.60	1.25 W	0.00	3 of 5 - Sale ²⁵
	5.000	51.35	01/13/21	51.93	0.58 W	0.00	4 of 5 - Sale ²⁵
	5.000	51.35	01/13/21	59.06	7.71 W	0.00	5 of 5 - Sale ²⁵
01/20/21	20.000	205.40	Various	224.23	18.83 W	0.00	Total of 5 transactions
5 transactions for 02/12/21. Total proceeds and cost reported to the IRS.							
	4.000	38.68	02/04/21	49.18	...	-10.50	1 of 5 - Sale ²⁵
	5.000	48.35	02/04/21	53.55	...	-5.20	2 of 5 - Sale ²⁵
	1.000	9.67	02/04/21	12.41	...	-2.74	3 of 5 - Sale ²⁵
	5.000	48.35	02/10/21	59.46	...	-11.11	4 of 5 - Sale ²⁵
	5.000	48.35	02/10/21	52.33	...	-3.98	5 of 5 - Sale ²⁵
02/12/21	20.000	193.40	Various	226.93	...	-33.53	Total of 5 transactions
03/19/21	50.000	363.75	03/18/21	354.50	...	9.25	Sale ²⁵
	Security total:	950.40		1,016.72	42.04 W	-24.28	

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Robinhood Securities LLC

Account 926323635

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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PROSHARES ULTRAPRO QQQ / CUSIP: 74347X831 / Symbol:							
12/28/21	6.000	1,028.81	10/06/21	734.87	...	293.94	Sale ²⁵
PROTALIX BIOTHERAPEUTICS, INC. COMMON STOCK / CUSIP: 74365A309 / Symbol:							
02/11/21	25.000	142.03	02/10/21	175.75	33.72 W	0.00	Sale ²⁵
04/05/21	10.000	50.70	02/10/21	83.79	...	-33.09	Sale ²⁵
<i>2 transactions for 04/16/21. Total proceeds and cost reported to the IRS.</i>							
	15.000	95.55	02/10/21	120.73	...	-25.18	1 of 2 - Sale ²⁵
	5.000	31.85	03/04/21	20.28	...	11.57	2 of 2 - Sale ²⁵
04/16/21	20.000	127.40	Various	141.01	...	-13.61	Total of 2 transactions
	Security total:	320.13		400.55	33.72 W	-46.70	
QUANTUMSCAPE CORPORATION / CUSIP: 74767V109 / Symbol:							
<i>2 transactions for 02/11/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	44.70	02/03/21	43.67	...	1.03	1 of 2 - Sale ²⁵
	4.000	178.80	02/03/21	175.40	...	3.40	2 of 2 - Sale ²⁵
02/11/21	5.000	223.50	Various	219.07	...	4.43	Total of 2 transactions
02/11/21	5.000	223.50	02/05/21	229.79	6.29 W	0.00	Sale ²⁵
<i>2 transactions for 02/17/21. Total proceeds and cost reported to the IRS.</i>							
	2.000	109.42	02/11/21	92.59	...	16.83	1 of 2 - Sale ²⁵
	3.000	164.13	02/11/21	138.25	...	25.88	2 of 2 - Sale ²⁵
02/17/21	5.000	273.55	Various	230.84	...	42.71	Total of 2 transactions
02/23/21	5.000	290.61	02/11/21	225.18	...	65.43	Sale ²⁵
02/25/21	5.000	322.85	02/23/21	273.85	...	49.00	Sale ²⁵
06/28/21	10.000	310.50	04/14/21	433.22	122.72 W	0.00	Sale ²⁵
<i>6 transactions for 09/23/21. Total proceeds and cost reported to the IRS.</i>							
	5.000	130.00	04/15/21	180.95	50.95 W	0.00	1 of 6 - Sale ²⁵
	5.000	130.00	04/15/21	185.03	55.03 W	0.00	2 of 6 - Sale ²⁵
	1.000	26.00	04/27/21	36.78	10.78 W	0.00	3 of 6 - Sale ²⁵
	5.000	129.99	05/04/21	168.18	38.19 W	0.00	4 of 6 - Sale ²⁵
	2.000	52.00	05/14/21	53.46	1.46 W	0.00	5 of 6 - Sale ²⁵
	2.000	52.00	05/14/21	53.71	1.71 W	0.00	6 of 6 - Sale ²⁵
09/23/21	20.000	519.99	Various	678.11	158.12 W	0.00	Total of 6 transactions

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Account 926323635

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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QUANTUMSCAPE CORPORATION / CUSIP: 74767V109 / Symbol: (cont'd)							
4 transactions for 09/24/21. Total proceeds and cost reported to the IRS.							
	5.000	141.90	05/19/21	139.24	...	2.66	1 of 4 - Sale ²⁵
	2.000	56.76	05/28/21	52.48	...	4.28	2 of 4 - Sale ²⁵
	2.000	56.76	05/28/21	52.43	...	4.33	3 of 4 - Sale ²⁵
	1.000	28.39	06/10/21	28.38	...	0.01	4 of 4 - Sale ²⁵
09/24/21	10.000	283.81	Various	272.53	...	11.28	Total of 4 transactions
3 transactions for 09/24/21. Total proceeds and cost reported to the IRS.							
	1.000	28.38	06/02/21	39.16	10.78 W	0.00	1 of 3 - Sale ²⁵
	5.000	141.90	06/08/21	208.57	66.67 W	0.00	2 of 3 - Sale ²⁵
	4.000	113.52	06/10/21	162.61	49.09 W	0.00	3 of 3 - Sale ²⁵
09/24/21	10.000	283.80	Various	410.34	126.54 W	0.00	Total of 3 transactions
2 transactions for 10/19/21. Total proceeds and cost reported to the IRS.							
	5.000	126.25	08/03/21	109.79	...	16.46	1 of 2 - Sale ²⁵
	10.000	252.51	08/13/21	217.45	...	35.06	2 of 2 - Sale ²⁵
10/19/21	15.000	378.76	Various	327.24	...	51.52	Total of 2 transactions
10/19/21	5.000	126.26	07/02/21	136.50	10.24 W	0.00	Sale ²⁵
10/21/21	10.000	261.20	08/13/21	217.44	...	43.76	Sale ²⁵
4 transactions for 10/21/21. Total proceeds and cost reported to the IRS.							
	5.000	130.60	09/24/21	184.79	54.19 W	0.00	1 of 4 - Sale ²⁵
	5.000	128.00	09/28/21	183.43	55.43 W	0.00	2 of 4 - Sale ²⁵
	1.000	25.60	10/04/21	33.61	8.01 W	0.00	3 of 4 - Sale ²⁵
	4.000	102.40	10/04/21	134.67	32.27 W	0.00	4 of 4 - Sale ²⁵
10/21/21	15.000	386.60	Various	536.50	149.90 W	0.00	Total of 4 transactions
4 transactions for 10/25/21. Total proceeds and cost reported to the IRS.							
	1.000	25.60	10/04/21	30.47	...	-4.87	1 of 4 - Sale ²⁵
	5.000	128.00	10/04/21	124.39	...	3.61	2 of 4 - Sale ²⁵
	2.000	51.20	10/04/21	47.37	...	3.83	3 of 4 - Sale ²⁵
	2.000	51.20	10/04/21	47.12	...	4.08	4 of 4 - Sale ²⁵
10/25/21	10.000	256.00	Various	249.35	...	6.65	Total of 4 transactions
7 transactions for 10/25/21. Total proceeds and cost reported to the IRS.							
	1.000	24.67	10/04/21	33.67	9.00 W	0.00	1 of 7 - Sale ²⁵
	1.000	25.38	10/04/21	33.61	8.23 W	0.00	2 of 7 - Sale ²⁵

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Robinhood Securities LLC

Account 926323635

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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QUANTUMSCAPE CORPORATION / CUSIP: 74767V109 / Symbol: (cont'd)							
	1.000	25.38	10/04/21	36.16	10.78 W	0.00	3 of 7 - Sale ²⁵
	4.000	98.68	10/04/21	144.66	45.98 W	0.00	4 of 7 - Sale ²⁵
	4.000	101.53	10/04/21	121.87	20.34 W	0.00	5 of 7 - Sale ²⁵
	4.000	101.53	10/04/21	140.41	38.88 W	0.00	6 of 7 - Sale ²⁵
	5.000	123.36	10/04/21	169.58	46.22 W	0.00	7 of 7 - Sale ²⁵
10/25/21	20.000	500.53	Various	679.96	179.43 W	0.00	Total of 7 transactions
	3 transactions for 10/26/21. Total proceeds and cost reported to the IRS.						
	5.000	132.29	10/04/21	114.15	...	18.14	1 of 3 - Sale ²⁵
	4.000	105.84	10/06/21	122.21	...	-16.37	2 of 3 - Sale ²⁵
	1.000	26.46	10/06/21	30.49	...	-4.03	3 of 3 - Sale ²⁵
10/26/21	10.000	264.59	Various	266.85	...	-2.26	Total of 3 transactions
	3 transactions for 10/29/21. Total proceeds and cost reported to the IRS.						
	4.000	113.62	10/22/21	138.41	...	-24.79	1 of 3 - Sale ²⁵
	5.000	142.03	10/22/21	161.76	...	-19.73	2 of 3 - Sale ²⁵
	1.000	28.41	10/22/21	32.11	...	-3.70	3 of 3 - Sale ²⁵
10/29/21	10.000	284.06	Various	332.28	...	-48.22	Total of 3 transactions
	2 transactions for 11/01/21. Total proceeds and cost reported to the IRS.						
	4.000	119.91	10/22/21	131.32	...	-11.41	1 of 2 - Sale ²⁵
	1.000	29.98	10/22/21	33.89	...	-3.91	2 of 2 - Sale ²⁵
11/01/21	5.000	149.89	Various	165.21	...	-15.32	Total of 2 transactions
	2 transactions for 11/04/21. Total proceeds and cost reported to the IRS.						
	1.000	33.29	10/22/21	31.34	...	1.95	1 of 2 - Sale ²⁵
	4.000	133.17	10/22/21	112.77	...	20.40	2 of 2 - Sale ²⁵
11/04/21	5.000	166.46	Various	144.11	...	22.35	Total of 2 transactions
	Security total:	5,506.46		6,028.37	753.24 W	231.33	
REDFIN CORPORATION COMMON STOC K / CUSIP: 75737F108 / Symbol:							
11/04/21	10.000	498.70	10/22/21	512.18	...	-13.48	Sale ²⁵
RIOT BLOCKCHAIN, INC. COMMON STOCK / CUSIP: 767292105 / Symbol:							
	3 transactions for 02/05/21. Total proceeds and cost reported to the IRS.						
	1.000	23.12	01/28/21	20.35	...	2.77	1 of 3 - Sale ²⁵
	4.000	92.46	01/28/21	81.40	...	11.06	2 of 3 - Sale ²⁵

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Robinhood Securities LLC

Account 926323635

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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RIOT BLOCKCHAIN, INC. COMMON STOCK / CUSIP: 767292105 / Symbol: (cont'd)							
	10.000	231.15	01/28/21	186.80	...	44.35	3 of 3 - Sale ²⁵
02/05/21	15.000	346.73	Various	288.55	...	58.18	Total of 3 transactions
3 transactions for 06/01/21. Total proceeds and cost reported to the IRS.							
	2.000	57.23	05/19/21	45.22	...	12.01	1 of 3 - Sale ²⁵
	1.000	28.62	05/21/21	22.70	...	5.92	2 of 3 - Sale ²⁵
	1.000	28.61	05/27/21	27.46	...	1.15	3 of 3 - Sale ²⁵
06/01/21	4.000	114.46	Various	95.38	...	19.08	Total of 3 transactions
	Security total:	461.19		383.93	...	77.26	
ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol:							
5 transactions for 02/16/21. Total proceeds and cost reported to the IRS.							
	56.000	79.51	02/02/21	77.84	...	1.67	1 of 5 - Sale ²⁵
	49.000	69.58	02/02/21	67.62	...	1.96	2 of 5 - Sale ²⁵
	51.000	72.41	02/02/21	70.38	...	2.03	3 of 5 - Sale ²⁵
	150.000	212.98	02/02/21	208.50	...	4.48	4 of 5 - Sale ²⁵
	194.000	275.45	02/02/21	269.66	...	5.79	5 of 5 - Sale ²⁵
02/16/21	500.000	709.93	Various	694.00	...	15.93	Total of 5 transactions
SCYNEXIS, INC. COMMON STOCK / CUSIP: 811292200 / Symbol:							
2 transactions for 02/16/21. Total proceeds and cost reported to the IRS.							
	4.000	38.24	02/10/21	36.57	...	1.67	1 of 2 - Sale ²⁵
	20.000	186.40	02/10/21	182.83	...	3.57	2 of 2 - Sale ²⁵
02/16/21	24.000	224.64	Various	219.40	...	5.24	Total of 2 transactions
02/24/21	1.000	8.54	02/10/21	9.14	...	-0.60	Sale ²⁵
11/15/21	20.000	134.71	06/02/21	174.97	...	-40.26	Sale ²⁵
11/19/21	5.000	36.58	06/02/21	43.74	...	-7.16	Sale ²⁵
	Security total:	404.47		447.25	...	-42.78	
SENSEONICS HOLDINGS, INC. / CUSIP: 81727U105 / Symbol:							
4 transactions for 06/03/21. Total proceeds and cost reported to the IRS.							
	50.000	112.50	02/25/21	190.00	77.50 W	0.00	1 of 4 - Sale ²⁵
	10.000	22.50	03/04/21	29.19	6.69 W	0.00	2 of 4 - Sale ²⁵
	10.000	22.50	03/16/21	30.85	8.35 W	0.00	3 of 4 - Sale ²⁵

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Robinhood Securities LLC

Account 926323635

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SENSEONICS HOLDINGS, INC. / CUSIP: 81727U105 / Symbol: (cont'd)							
	30.000	67.49	04/09/21	74.04	6.55 W	0.00	4 of 4 - Sale ²⁵
06/03/21	100.000	224.99	Various	324.08	99.09 W	0.00	Total of 4 transactions
	2 transactions for 11/03/21. Total proceeds and cost reported to the IRS.						
	50.000	191.66	04/22/21	102.36	...	89.30	1 of 2 - Sale ²⁵
	50.000	191.66	05/07/21	171.85	...	19.81	2 of 2 - Sale ²⁵
11/03/21	100.000	383.32	Various	274.21	...	109.11	Total of 2 transactions
	Security total:	608.31		598.29	99.09 W	109.11	
SHIFT TECHNOLOGIES, INC. CLASS A COMMON STOCK / CUSIP: 82452T107 / Symbol:							
	4 transactions for 01/13/21. Total proceeds and cost reported to the IRS.						
	19.000	161.88	12/17/20	166.35	...	-4.47	1 of 4 - Sale ²⁵
	1.000	8.52	12/17/20	8.76	...	-0.24	2 of 4 - Sale ²⁵
	5.000	42.60	01/05/21	40.70	...	1.90	3 of 4 - Sale ²⁵
	5.000	42.60	01/06/21	39.39	...	3.21	4 of 4 - Sale ²⁵
01/13/21	30.000	255.60	Various	255.20	...	0.40	Total of 4 transactions
SIO GENE THERAPIES INC. COMMON STOCK / CUSIP: 829399104 / Symbol:							
04/28/21	25.000	67.75	03/16/21	68.63	0.88 W	0.00	Sale ²⁵
06/09/21	20.000	46.60	05/14/21	43.00	...	3.60	Sale ²⁵
	2 transactions for 06/09/21. Total proceeds and cost reported to the IRS.						
	25.000	58.25	03/16/21	68.62	10.37 W	0.00	1 of 2 - Sale ²⁵
	5.000	11.65	04/22/21	11.98	0.33 W	0.00	2 of 2 - Sale ²⁵
06/09/21	30.000	69.90	Various	80.60	10.70 W	0.00	Total of 2 transactions
	3 transactions for 06/10/21. Total proceeds and cost reported to the IRS.						
	25.000	60.62	06/04/21	64.82	...	-4.20	1 of 3 - Sale ²⁵
	5.000	12.13	06/04/21	11.22	...	0.91	2 of 3 - Sale ²⁵
	20.000	48.50	06/04/21	43.56	...	4.94	3 of 3 - Sale ²⁵
06/10/21	50.000	121.25	Various	119.60	...	1.65	Total of 3 transactions
	Security total:	305.50		311.83	11.58 W	5.25	

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Account 926323635

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SKILLZ INC. / CUSIP: 83067L109 / Symbol:							
04/23/21	15.000	269.40	04/14/21	240.30	...	29.10	Sale ²⁵
05/14/21	7.000	112.00	04/14/21	112.14	0.14 W	0.00	Sale ²⁵
7 transactions for 06/01/21. Total proceeds and cost reported to the IRS.							
	3.000	50.10	04/14/21	48.06	...	2.04	1 of 7 - Sale ²⁵
	5.000	83.50	04/14/21	80.09	...	3.41	2 of 7 - Sale ²⁵
	2.000	33.40	04/22/21	33.12	...	0.28	3 of 7 - Sale ²⁵
	3.000	50.10	04/22/21	49.62	...	0.48	4 of 7 - Sale ²⁵
	2.000	33.40	05/12/21	27.84	...	5.56	5 of 7 - Sale ²⁵
	8.000	131.77	05/14/21	121.74	...	10.03	6 of 7 - Sale ²⁵
	1.000	16.47	05/21/21	15.29	...	1.18	7 of 7 - Sale ²⁵
06/01/21	24.000	398.74	Various	375.76	...	22.98	Total of 7 transactions
06/02/21	1.000	19.00	05/21/21	15.29	...	3.71	Sale ²⁵
06/28/21	1.000	22.01	06/28/21	21.93	...	0.08	Sale ²⁵
3 transactions for 09/01/21. Total proceeds and cost reported to the IRS.							
	5.000	68.10	07/07/21	89.35	21.25 W	0.00	1 of 3 - Sale ²⁵
	15.000	204.30	07/12/21	268.35	64.05 W	0.00	2 of 3 - Sale ²⁵
	10.000	136.20	07/16/21	145.00	8.80 W	0.00	3 of 3 - Sale ²⁵
09/01/21	30.000	408.60	Various	502.70	94.10 W	0.00	Total of 3 transactions
4 transactions for 09/22/21. Total proceeds and cost reported to the IRS.							
	10.000	112.51	07/27/21	141.70	29.19 W	0.00	1 of 4 - Sale ²⁵
	5.000	56.25	08/03/21	88.48	32.23 W	0.00	2 of 4 - Sale ²⁵
	5.000	56.25	08/03/21	88.79	32.54 W	0.00	3 of 4 - Sale ²⁵
	10.000	112.51	08/03/21	177.58	65.07 W	0.00	4 of 4 - Sale ²⁵
09/22/21	30.000	337.52	Various	496.55	159.03 W	0.00	Total of 4 transactions
4 transactions for 10/21/21. Total proceeds and cost reported to the IRS.							
	10.000	100.00	08/09/21	128.70	28.70 W	0.00	1 of 4 - Sale ²⁵
	30.000	300.00	08/09/21	359.70	59.70 W	0.00	2 of 4 - Sale ²⁵
	5.000	50.00	08/27/21	68.68	18.68 W	0.00	3 of 4 - Sale ²⁵
	5.000	50.00	08/30/21	85.23	35.23 W	0.00	4 of 4 - Sale ²⁵
10/21/21	50.000	500.00	Various	642.31	142.31 W	0.00	Total of 4 transactions
5 transactions for 10/25/21. Total proceeds and cost reported to the IRS.							
	5.000	56.00	08/30/21	67.59	11.59 W	0.00	1 of 5 - Sale ²⁵
	5.000	56.00	08/30/21	85.54	29.54 W	0.00	2 of 5 - Sale ²⁵

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Account 926323635

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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SKILLZ INC. / CUSIP: 83067L109 / Symbol: (cont'd)							
	10.000	112.00	08/30/21	171.06	59.06 W	0.00	3 of 5 - Sale ²⁵
	20.000	225.00	09/01/21	252.00	13.50 W	-13.50	4 of 5 - Sale ²⁵
	10.000	112.00	09/01/21	126.00	14.00 W	0.00	5 of 5 - Sale ²⁵
10/25/21	50.000	561.00	Various	702.19	127.69 W	-13.50	Total of 5 transactions
	3 transactions for 10/28/21. Total proceeds and cost reported to the IRS.						
	5.000	57.60	09/01/21	61.88	...	-4.28	1 of 3 - Sale ²⁵
	5.000	57.60	09/01/21	61.63	...	-4.03	2 of 3 - Sale ²⁵
	10.000	115.20	09/15/21	108.10	...	7.10	3 of 3 - Sale ²⁵
10/28/21	20.000	230.40	Various	231.61	...	-1.21	Total of 3 transactions
11/01/21	20.000	240.00	09/15/21	216.19	...	23.81	Sale ²⁵
	2 transactions for 11/03/21. Total proceeds and cost reported to the IRS.						
	10.000	124.70	09/15/21	108.20	...	16.50	1 of 2 - Sale ²⁵
	10.000	124.70	09/28/21	137.00	...	-12.30	2 of 2 - Sale ²⁵
11/03/21	20.000	249.40	Various	245.20	...	4.20	Total of 2 transactions
	2 transactions for 11/08/21. Total proceeds and cost reported to the IRS.						
	20.000	233.20	09/28/21	256.39	...	-23.19	1 of 2 - Sale ²⁵
	10.000	120.80	09/28/21	128.19	...	-7.39	2 of 2 - Sale ²⁵
11/08/21	30.000	354.00	Various	384.58	...	-30.58	Total of 2 transactions
	Security total:	3,702.07		4,186.75	523.27 W	38.59	
SMILEDIRECTCLUB, INC. CLASS A COMMON STOCK / CUSIP: 83192H106 / Symbol:							
	3 transactions for 08/30/21. Total proceeds and cost reported to the IRS.						
	25.000	141.79	06/09/21	263.21	121.42 W	0.00	1 of 3 - Sale ²⁵
	5.000	28.36	06/21/21	45.68	17.32 W	0.00	2 of 3 - Sale ²⁵
	20.000	113.42	08/09/21	120.00	6.58 W	0.00	3 of 3 - Sale ²⁵
08/30/21	50.000	283.57	Various	428.89	145.32 W	0.00	Total of 3 transactions
	4 transactions for 09/17/21. Total proceeds and cost reported to the IRS.						
	5.000	35.00	08/10/21	42.50	7.50 W	0.00	1 of 4 - Sale ²⁵
	5.000	35.00	08/10/21	49.47	14.47 W	0.00	2 of 4 - Sale ²⁵
	10.000	70.00	08/10/21	98.97	28.97 W	0.00	3 of 4 - Sale ²⁵
	10.000	70.00	08/10/21	98.97	28.97 W	0.00	4 of 4 - Sale ²⁵
09/17/21	30.000	210.00	Various	289.91	79.91 W	0.00	Total of 4 transactions
10/06/21	20.000	127.00	08/10/21	107.32	...	19.68	Sale ²⁵

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Robinhood Securities LLC

Account 926323635

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SMILEDIRECTCLUB, INC. CLASS A COMMON STOCK / CUSIP: 83192H106 / Symbol: (cont'd)							
10/06/21	10.000	63.50	08/31/21	82.55	19.05 W	0.00	Sale ²⁵
	3 transactions for 10/08/21. Total proceeds and cost reported to the IRS.						
	5.000	33.55	09/17/21	39.69	6.14 W	0.00	1 of 3 - Sale ²⁵
	5.000	33.56	09/17/21	46.66	13.10 W	0.00	2 of 3 - Sale ²⁵
	10.000	67.11	09/17/21	93.35	26.24 W	0.00	3 of 3 - Sale ²⁵
10/08/21	20.000	134.22	Various	179.70	45.48 W	0.00	Total of 3 transactions
	Security total:	818.29		1,088.37	289.76 W	19.68	
SNAP INC. / CUSIP: 83304A106 / Symbol:							
	3 transactions for 01/11/21. Total proceeds and cost reported to the IRS.						
	1.000	54.70	07/22/20	22.80	...	31.90	1 of 3 - Sale ²⁵
	1.000	54.70	11/16/20	39.76	...	14.94	2 of 3 - Sale ²⁵
	8.000	437.60	11/16/20	318.08	...	119.52	3 of 3 - Sale ²⁵
01/11/21	10.000	547.00	Various	380.64	...	166.36	Total of 3 transactions
02/03/21	5.000	295.40	11/30/20	216.95	...	78.45	Sale ²⁵
02/11/21	5.000	313.71	11/30/20	216.95	...	96.76	Sale ²⁵
	3 transactions for 04/20/21. Total proceeds and cost reported to the IRS.						
	2.000	114.46	12/24/20	101.63	...	12.83	1 of 3 - Sale ²⁵
	3.000	171.69	12/24/20	150.45	...	21.24	2 of 3 - Sale ²⁵
	4.000	228.91	01/14/21	209.52	...	19.39	3 of 3 - Sale ²⁵
04/20/21	9.000	515.06	Various	461.60	...	53.46	Total of 3 transactions
04/29/21	1.000	62.02	01/14/21	52.38	...	9.64	Sale ²⁵
05/18/21	4.000	221.76	04/23/21	234.12	...	-12.36	Sale ²⁵
11/08/21	4.000	220.08	10/21/21	232.00	11.92 W	0.00	Sale ²⁵
11/15/21	5.000	285.00	10/21/21	290.00	5.00 W	0.00	Sale ²⁵
	3 transactions for 12/08/21. Total proceeds and cost reported to the IRS.						
	1.000	54.80	10/21/21	58.00	3.20 W	0.00	1 of 3 - Sale ²⁵
	1.000	54.80	10/22/21	59.19	4.39 W	0.00	2 of 3 - Sale ²⁵
	3.000	164.40	10/22/21	183.51	19.11 W	0.00	3 of 3 - Sale ²⁵
12/08/21	5.000	274.00	Various	300.70	26.70 W	0.00	Total of 3 transactions
	Security total:	2,734.03		2,385.34	43.62 W	392.31	

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Robinhood Securities LLC

Account 926323635

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SOFI TECHNOLOGIES, INC. COMMON STOCK / CUSIP: 83406F102 / Symbol:							
11/03/21	4.000	93.92	10/26/21	79.24	...	14.68	Sale ²⁵
11/08/21	1.000	22.63	10/26/21	19.81	...	2.82	Sale ²⁵
11/15/21	10.000	238.85	11/09/21	218.70	...	20.15	Sale ²⁵
	Security total:	355.40		317.75	...	37.65	
SOLARWINDS CORPORATION COMMON STOCK / CUSIP: 83417Q105 / Symbol:							
01/14/21	10.000	150.92	12/18/20	167.00	16.08 W	0.00	Sale ²⁵
	3 transactions for 01/15/21. Total proceeds and cost reported to the IRS.						
	10.000	161.50	12/18/20	174.93	...	-13.43	1 of 3 - Sale ²⁵
	5.000	80.75	12/29/20	74.26	...	6.49	2 of 3 - Sale ²⁵
	5.000	80.75	01/06/21	71.00	...	9.75	3 of 3 - Sale ²⁵
01/15/21	20.000	323.00	Various	320.19	...	2.81	Total of 3 transactions
	Security total:	473.92		487.19	16.08 W	2.81	
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol:							
06/24/21	5.000	49.65	03/23/21	47.25	...	2.40	Sale ²⁵
	3 transactions for 06/24/21. Total proceeds and cost reported to the IRS.						
	5.000	49.65	02/12/21	73.89	24.24 W	0.00	1 of 3 - Sale ²⁵
	10.000	99.30	02/12/21	149.70	50.40 W	0.00	2 of 3 - Sale ²⁵
	5.000	49.65	02/16/21	68.00	18.35 W	0.00	3 of 3 - Sale ²⁵
06/24/21	20.000	198.60	Various	291.59	92.99 W	0.00	Total of 3 transactions
07/01/21	5.000	54.96	04/28/21	43.40	...	11.56	Sale ²⁵
	5 transactions for 08/12/21. Total proceeds and cost reported to the IRS.						
	10.000	93.50	06/04/21	132.90	...	-39.40	1 of 5 - Sale ²⁵
	5.000	46.75	06/04/21	65.49	...	-18.74	2 of 5 - Sale ²⁵
	5.000	46.75	06/04/21	59.60	...	-12.85	3 of 5 - Sale ²⁵
	10.000	93.50	07/07/21	82.70	...	10.80	4 of 5 - Sale ²⁵
	20.000	187.00	07/07/21	165.40	...	21.60	5 of 5 - Sale ²⁵
08/12/21	50.000	467.50	Various	506.09	...	-38.59	Total of 5 transactions
	Security total:	770.71		888.33	92.99 W	-24.63	
SOS LIMITED / CUSIP: 83587W106 / Symbol:							
06/14/21	50.000	211.53	06/04/21	182.00	...	29.53	Sale ²⁵

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Robinhood Securities LLC

Account 926323635

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol:							
01/28/21	100.000	74.10	01/13/21	66.49	...	7.61	Sale ²⁵
	2 transactions for 01/29/21. Total proceeds and cost reported to the IRS.						
	50.000	40.00	01/13/21	33.24	...	6.76	1 of 2 - Sale ²⁵
	50.000	40.00	01/19/21	34.40	...	5.60	2 of 2 - Sale ²⁵
01/29/21	100.000	80.00	Various	67.64	...	12.36	Total of 2 transactions
	3 transactions for 02/09/21. Total proceeds and cost reported to the IRS.						
	1.000	1.48	02/03/21	1.15	...	0.33	1 of 3 - Sale ²⁵
	50.000	74.05	02/03/21	62.41	...	11.64	2 of 3 - Sale ²⁵
	49.000	72.57	02/05/21	57.58	...	14.99	3 of 3 - Sale ²⁵
02/09/21	100.000	148.10	Various	121.14	...	26.96	Total of 3 transactions
	3 transactions for 02/10/21. Total proceeds and cost reported to the IRS.						
	1.000	2.42	02/05/21	1.18	...	1.24	1 of 3 - Sale ²⁵
	48.000	116.16	02/05/21	55.20	...	60.96	2 of 3 - Sale ²⁵
	50.000	120.99	02/05/21	57.41	...	63.58	3 of 3 - Sale ²⁵
02/10/21	99.000	239.57	Various	113.79	...	125.78	Total of 3 transactions
	2 transactions for 02/11/21. Total proceeds and cost reported to the IRS.						
	2.000	7.44	02/05/21	2.30	...	5.14	1 of 2 - Sale ²⁵
	48.000	178.56	02/10/21	126.24	...	52.32	2 of 2 - Sale ²⁵
02/11/21	50.000	186.00	Various	128.54	...	57.46	Total of 2 transactions
	2 transactions for 06/02/21. Total proceeds and cost reported to the IRS.						
	50.000	53.49	02/24/21	76.00	22.51 W	0.00	1 of 2 - Sale ²⁵
	50.000	53.50	02/24/21	75.00	21.50 W	0.00	2 of 2 - Sale ²⁵
06/02/21	100.000	106.99	Various	151.00	44.01 W	0.00	Total of 2 transactions
06/07/21	25.000	29.55	04/05/21	27.50	...	2.05	Sale ²⁵
	3 transactions for 06/07/21. Total proceeds and cost reported to the IRS.						
	25.000	29.54	05/12/21	29.74	0.20 W	0.00	1 of 3 - Sale ²⁵
	25.000	29.54	05/26/21	30.73	1.19 W	0.00	2 of 3 - Sale ²⁵
	25.000	29.55	05/26/21	31.23	1.68 W	0.00	3 of 3 - Sale ²⁵
06/07/21	75.000	88.63	Various	91.70	3.07 W	0.00	Total of 3 transactions

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Robinhood Securities LLC

Account 926323635

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol: (cont'd)							
2 transactions for 10/21/21. Total proceeds and cost reported to the IRS.							
	25.000	18.78	05/27/21	22.31	...	-3.53	1 of 2 - Sale ²⁵
	25.000	18.78	05/27/21	21.82	...	-3.04	2 of 2 - Sale ²⁵
10/21/21	50.000	37.56	Various	44.13	...	-6.57	Total of 2 transactions
2 transactions for 10/21/21. Total proceeds and cost reported to the IRS.							
	25.000	18.77	05/27/21	31.46	12.69 W	0.00	1 of 2 - Sale ²⁵
	25.000	18.78	05/27/21	20.90	2.12 W	0.00	2 of 2 - Sale ²⁵
10/21/21	50.000	37.55	Various	52.36	14.81 W	0.00	Total of 2 transactions
3 transactions for 11/12/21. Total proceeds and cost reported to the IRS.							
	100.000	93.99	06/04/21	109.00	...	-15.01	1 of 3 - Sale ²⁵
	25.000	23.50	10/26/21	29.38	...	-5.88	2 of 3 - Sale ²⁵
	25.000	23.50	10/26/21	18.82	...	4.68	3 of 3 - Sale ²⁵
11/12/21	150.000	140.99	Various	157.20	...	-16.21	Total of 3 transactions
	Security total:	1,169.04		1,021.49	61.89 W	209.44	
SWITCH, INC. CLASS A COMMON STOCK / CUSIP: 87105L104 / Symbol:							
3 transactions for 03/12/21. Total proceeds and cost reported to the IRS.							
	5.000	76.31	03/03/21	71.35	...	4.96	1 of 3 - Sale ²⁵
	5.000	76.38	03/03/21	71.34	...	5.04	2 of 3 - Sale ²⁵
	10.000	152.62	03/03/21	142.69	...	9.93	3 of 3 - Sale ²⁵
03/12/21	20.000	305.31	Various	285.38	...	19.93	Total of 3 transactions
TATTOOED CHEF, INC CLASS A COMMON STOCK / CUSIP: 87663X102 / Symbol:							
10/26/21	10.000	182.09	10/20/21	181.69	...	0.40	Sale ²⁵
11/01/21	5.000	90.55	10/20/21	90.85	0.30 W	0.00	Sale ²⁵
4 transactions for 11/11/21. Total proceeds and cost reported to the IRS.							
	15.000	250.74	10/20/21	272.54	21.80 W	0.00	1 of 4 - Sale ²⁵
	5.000	83.58	10/26/21	89.22	5.64 W	0.00	2 of 4 - Sale ²⁵
	10.000	167.15	11/04/21	186.20	19.05 W	0.00	3 of 4 - Sale ²⁵
	5.000	83.58	11/10/21	85.19	1.61 W	0.00	4 of 4 - Sale ²⁵
11/11/21	35.000	585.05	Various	633.15	48.10 W	0.00	Total of 4 transactions

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Account 926323635

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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TATTOOED CHEF, INC CLASS A COM MON STOCK / CUSIP: 87663X102 / Symbol: (cont'd)							
3 transactions for 11/17/21. Total proceeds and cost reported to the IRS.							
	5.000	90.05	11/11/21	82.25	...	7.80	1 of 3 - Sale ²⁵
	5.000	90.05	11/11/21	80.69	...	9.36	2 of 3 - Sale ²⁵
	10.000	180.10	11/11/21	161.28	...	18.82	3 of 3 - Sale ²⁵
11/17/21	20.000	360.20	Various	324.22	...	35.98	Total of 3 transactions
5 transactions for 11/17/21. Total proceeds and cost reported to the IRS.							
	5.000	90.05	11/10/21	90.94	0.89 W	0.00	1 of 5 - Sale ²⁵
	5.000	90.05	11/10/21	92.45	2.40 W	0.00	2 of 5 - Sale ²⁵
	5.000	90.05	11/10/21	94.82	4.77 W	0.00	3 of 5 - Sale ²⁵
	10.000	180.10	11/10/21	185.12	5.02 W	0.00	4 of 5 - Sale ²⁵
	5.000	90.05	11/11/21	90.16	0.11 W	0.00	5 of 5 - Sale ²⁵
11/17/21	30.000	540.30	Various	553.49	13.19 W	0.00	Total of 5 transactions
7 transactions for 11/19/21. Total proceeds and cost reported to the IRS.							
	5.000	87.22	11/11/21	83.09	...	4.13	1 of 7 - Sale ²⁵
	5.000	87.22	11/11/21	81.58	...	5.64	2 of 7 - Sale ²⁵
	1.000	17.44	11/16/21	15.92	...	1.52	3 of 7 - Sale ²⁵
	5.000	87.22	11/16/21	82.94	...	4.28	4 of 7 - Sale ²⁵
	5.000	87.23	11/16/21	78.28	...	8.95	5 of 7 - Sale ²⁵
	9.000	157.01	11/16/21	145.23	...	11.78	6 of 7 - Sale ²⁵
	10.000	174.45	11/16/21	156.34	...	18.11	7 of 7 - Sale ²⁵
11/19/21	40.000	697.79	Various	643.38	...	54.41	Total of 7 transactions
3 transactions for 12/03/21. Total proceeds and cost reported to the IRS.							
	7.000	108.46	11/16/21	109.97	...	-1.51	1 of 3 - Sale ²⁵
	2.000	30.99	11/16/21	31.42	...	-0.43	2 of 3 - Sale ²⁵
	1.000	15.49	11/16/21	15.63	...	-0.14	3 of 3 - Sale ²⁵
12/03/21	10.000	154.94	Various	157.02	...	-2.08	Total of 3 transactions
	Security total:	2,610.92		2,583.80	61.59 W	88.71	
TELADOC HEALTH, INC. / CUSIP: 87918A105 / Symbol:							
03/22/21	2.000	389.07	03/17/21	367.80	...	21.27	Sale ²⁵
03/23/21	3.000	588.31	03/17/21	551.70	...	36.61	Sale ²⁵
06/01/21	2.000	305.92	05/11/21	283.07	...	22.85	Sale ²⁵
	Security total:	1,283.30		1,202.57	...	80.73	

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Robinhood Securities LLC

Account 926323635

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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TELLURIAN INC. / CUSIP: 87968A104 / Symbol:							
06/30/21	25.000	107.63	06/21/21	100.50	...	7.13	Sale ²⁵
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
3 transactions for 02/01/21. Total proceeds and cost reported to the IRS.							
	0.355	289.67	01/28/21	299.99	...	-10.32	1 of 3 - Sale ²⁵
	0.000	0.01	01/28/21	0.01	...	0.00	2 of 3 - Sale ²⁵
	0.504	410.76	01/29/21	399.51	...	11.25	3 of 3 - Sale ²⁵
02/01/21	0.860	700.44	Various	699.51	...	0.93	Total of 3 transactions
02/01/21	0.118	96.52	01/28/21	100.00	0.02 W	-3.46	Sale ²⁵
02/02/21	0.001	0.52	01/29/21	0.51	...	0.01	Sale ²⁵
05/18/21	1.000	584.29	05/14/21	582.05	...	2.24	Sale ²⁵
	Security total:	1,381.77		1,382.07	0.02 W	-0.28	
TILRAY BRANDS, INC. CLASS 2 COMMON STOCK / CUSIP: 88688T100 / Symbol:							
02/05/21	10.000	273.20	02/04/21	246.46	...	26.74	Sale ²⁵
TORCHLIGHT ENERGY RESOURCES, INC. COMMON STOCK / CUSIP: 89102U103 / Symbol:							
03/02/21	50.000	143.00	02/22/21	185.50	42.50 W	0.00	Sale ²⁵
06/02/21	50.000	146.50	02/22/21	185.50	...	-39.00	Sale ²⁵
06/04/21	50.000	139.75	02/23/21	145.91	...	-6.16	Sale ²⁵
4 transactions for 06/07/21. Total proceeds and cost reported to the IRS.							
	25.000	74.51	03/15/21	62.50	...	12.01	1 of 4 - Sale ²⁵
	25.000	74.52	03/15/21	61.25	...	13.27	2 of 4 - Sale ²⁵
	20.000	63.83	03/16/21	45.50	...	18.33	3 of 4 - Sale ²⁵
	30.000	95.74	03/16/21	68.25	...	27.49	4 of 4 - Sale ²⁵
06/07/21	100.000	308.60	Various	237.50	...	71.10	Total of 4 transactions
	Security total:	737.85		754.41	42.50 W	25.94	
TRILLIUM THERAPEUTICS INC. COMMON SHARES / CUSIP: 89620X506 / Symbol:							
5 transactions for 08/23/21. Total proceeds and cost reported to the IRS.							
	25.000	442.50	02/10/21	339.75	...	102.75	1 of 5 - Sale ²⁵
	5.000	88.70	02/11/21	65.95	...	22.75	2 of 5 - Sale ²⁵
	10.000	177.40	02/11/21	134.60	...	42.80	3 of 5 - Sale ²⁵
	1.000	17.70	06/09/21	10.30	...	7.40	4 of 5 - Sale ²⁵

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Robinhood Securities LLC

Account 926323635

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TRILLIUM THERAPEUTICS INC. COM MON SHARES / CUSIP: 89620X506 / Symbol: (cont'd)							
	9.000	159.75	06/09/21	92.70	...	67.05	5 of 5 - Sale ²⁵
08/23/21	50.000	886.05	Various	643.30	...	242.75	Total of 5 transactions
U.S. WELL SERVICES, INC. CLASS A COMMON STOCK / CUSIP: 91274U101 / Symbol:							
04/28/21	50.000	49.00	02/17/21	101.00	52.00 W	0.00	Sale ²⁵
	3 transactions for 05/17/21. Total proceeds and cost reported to the IRS.						
	25.000	25.77	02/18/21	66.00	40.23 W	0.00	1 of 3 - Sale ²⁵
	25.000	25.77	02/19/21	62.22	36.45 W	0.00	2 of 3 - Sale ²⁵
	50.000	51.55	02/26/21	91.50	39.95 W	0.00	3 of 3 - Sale ²⁵
05/17/21	100.000	103.09	Various	219.72	116.63 W	0.00	Total of 3 transactions
05/24/21	20.000	22.20	03/16/21	28.70	6.50 W	0.00	Sale ²⁵
06/30/21	20.000	26.00	03/23/21	22.20	...	3.80	Sale ²⁵
06/30/21	30.000	39.00	03/16/21	43.05	4.05 W	0.00	Sale ²⁵
09/29/21	100.000	115.99	06/04/21	107.50	...	8.49	Sale ²⁵
	11 transactions for 09/29/21. Total proceeds and cost reported to the IRS.						
	30.000	30.00	03/23/21	33.30	3.30 W	0.00	1 of 11 - Sale ²⁵
	25.000	24.99	04/28/21	59.25	34.26 W	0.00	2 of 11 - Sale ²⁵
	25.000	25.00	04/28/21	63.04	38.04 W	0.00	3 of 11 - Sale ²⁵
	50.000	49.99	04/28/21	97.71	47.72 W	0.00	4 of 11 - Sale ²⁵
	10.000	10.00	05/18/21	17.99	7.99 W	0.00	5 of 11 - Sale ²⁵
	10.000	10.00	05/24/21	17.56	7.56 W	0.00	6 of 11 - Sale ²⁵
	20.000	20.00	06/04/21	24.96	4.96 W	0.00	7 of 11 - Sale ²⁵
	20.000	20.00	06/04/21	31.45	11.45 W	0.00	8 of 11 - Sale ²⁵
	30.000	30.00	06/04/21	41.48	11.48 W	0.00	9 of 11 - Sale ²⁵
	30.000	30.00	06/04/21	61.40	31.40 W	0.00	10 of 11 - Sale ²⁵
	100.000	99.98	06/04/21	106.96	6.98 W	0.00	11 of 11 - Sale ²⁵
09/29/21	350.000	349.96	Various	555.10	205.14 W	0.00	Total of 11 transactions
	Security total:	705.24		1,077.27	384.32 W	12.29	
U.S. WELL SERVICES, INC. CLASS A COMMON STOCK / CUSIP: 91274U200 / Symbol:							
	9 transactions for 10/04/21. Total proceeds and cost reported to the IRS.						
	0.933	2.73	09/10/21	2.47	...	0.26	1 of 9 - Sale ²⁵
	7.667	22.46	09/10/21	20.33	...	2.13	2 of 9 - Sale ²⁵
	5.733	18.32	09/30/21	40.54	...	-22.22	3 of 9 - Sale ²⁵

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Robinhood Securities LLC

Account 926323635

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
U.S. WELL SERVICES, INC. CLASS A COMMON STOCK / CUSIP: 91274U200 / Symbol: (cont'd)							
	8.600	27.48	09/30/21	43.79	...	-16.31	4 of 9 - Sale ²⁵
	1.433	4.58	09/30/21	10.53	...	-5.95	5 of 9 - Sale ²⁵
	1.233	3.94	09/30/21	8.40	...	-4.46	6 of 9 - Sale ²⁵
	6.333	18.55	09/30/21	20.41	...	-1.86	7 of 9 - Sale ²⁵
	8.000	25.56	09/30/21	25.79	...	-0.23	8 of 9 - Sale ²⁵
	28.667	83.98	09/30/21	77.98	...	6.00	9 of 9 - Sale ²⁵
10/04/21	68.600	207.60	Various	250.24	...	-42.64	Total of 9 transactions
	11 transactions for 10/04/21. Total proceeds and cost reported to the IRS.						
	14.333	42.00	07/02/21	53.43	11.43 W	0.00	1 of 11 - Sale ²⁵
	5.733	16.80	09/10/21	43.43	26.63 W	0.00	2 of 11 - Sale ²⁵
	14.333	42.00	09/10/21	80.22	38.22 W	0.00	3 of 11 - Sale ²⁵
	1.433	4.20	09/24/21	11.25	7.05 W	0.00	4 of 11 - Sale ²⁵
	2.867	8.40	09/24/21	14.84	6.44 W	0.00	5 of 11 - Sale ²⁵
	2.867	8.40	09/24/21	15.26	6.86 W	0.00	6 of 11 - Sale ²⁵
	7.167	21.00	09/24/21	52.44	31.44 W	0.00	7 of 11 - Sale ²⁵
	5.733	16.80	09/30/21	19.16	2.33 W	-0.03	8 of 11 - Sale ²⁵
	5.733	16.80	09/30/21	25.65	8.85 W	0.00	9 of 11 - Sale ²⁵
	8.600	25.20	09/30/21	32.78	7.58 W	0.00	10 of 11 - Sale ²⁵
	8.600	25.20	09/30/21	52.70	40.29 W	12.79	11 of 11 - Sale ²⁵
10/04/21	77.400	226.80	Various	401.16	187.12 W	12.76	Total of 11 transactions
	Security total:	434.40		651.40	187.12 W	-29.88	
UWM HOLDINGS CORPORATION / CUSIP: 91823B109 / Symbol:							
02/05/21	10.000	100.00	02/03/21	117.00	...	-17.00	Sale ²⁵
VIKING THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 92686J106 / Symbol:							
	2 transactions for 09/01/21. Total proceeds and cost reported to the IRS.						
	25.000	176.06	02/08/21	242.50	...	-66.44	1 of 2 - Sale ²⁵
	5.000	35.22	02/08/21	48.50	...	-13.28	2 of 2 - Sale ²⁵
09/01/21	30.000	211.28	Various	291.00	...	-79.72	Total of 2 transactions

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Robinhood Securities LLC

Account 926323635

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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VISLINK TECHNOLOGIES, INC. COM MON STOCK / CUSIP: 92836Y300 / Symbol:							
2 transactions for 02/09/21. Total proceeds and cost reported to the IRS.							
	5.000	20.40	02/05/21	18.20	...	2.20	1 of 2 - Sale ²⁵
	25.000	102.00	02/05/21	90.50	...	11.50	2 of 2 - Sale ²⁵
02/09/21	30.000	122.40	Various	108.70	...	13.70	Total of 2 transactions
2 transactions for 03/18/21. Total proceeds and cost reported to the IRS.							
	20.000	77.81	02/16/21	85.58	7.77 W	0.00	1 of 2 - Sale ²⁵
	20.000	80.00	02/16/21	85.58	5.58 W	0.00	2 of 2 - Sale ²⁵
03/18/21	40.000	157.81	Various	171.16	13.35 W	0.00	Total of 2 transactions
3 transactions for 03/19/21. Total proceeds and cost reported to the IRS.							
	10.000	38.90	02/16/21	42.79	3.89 W	0.00	1 of 3 - Sale ²⁵
	5.000	19.45	02/18/21	24.23	4.78 W	0.00	2 of 3 - Sale ²⁵
	5.000	19.45	02/22/21	21.37	1.92 W	0.00	3 of 3 - Sale ²⁵
03/19/21	20.000	77.80	Various	88.39	10.59 W	0.00	Total of 3 transactions
	Security total:	358.01		368.25	23.94 W	13.70	
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol:							
2 transactions for 01/04/21. Total proceeds and cost reported to the IRS.							
	1.000	21.42	12/21/20	20.56	...	0.86	1 of 2 - Sale ²⁵
	5.000	107.10	12/29/20	104.50	...	2.60	2 of 2 - Sale ²⁵
01/04/21	6.000	128.52	Various	125.06	...	3.46	Total of 2 transactions
2 transactions for 01/04/21. Total proceeds and cost reported to the IRS.							
	4.000	85.68	12/28/20	88.66	2.98 W	0.00	1 of 2 - Sale ²⁵
	10.000	214.20	12/28/20	227.40	13.20 W	0.00	2 of 2 - Sale ²⁵
01/04/21	14.000	299.88	Various	316.06	16.18 W	0.00	Total of 2 transactions
2 transactions for 01/06/21. Total proceeds and cost reported to the IRS.							
	5.000	120.00	12/29/20	110.60	...	9.40	1 of 2 - Sale ²⁵
	5.000	120.00	12/31/20	107.10	...	12.90	2 of 2 - Sale ²⁵
01/06/21	10.000	240.00	Various	217.70	...	22.30	Total of 2 transactions
3 transactions for 01/07/21. Total proceeds and cost reported to the IRS.							
	1.000	25.50	12/31/20	19.95	...	5.55	1 of 3 - Sale ²⁵
	4.000	102.00	12/31/20	82.78	...	19.22	2 of 3 - Sale ²⁵

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Robinhood Securities LLC

Account 926323635

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
01/07/21	4.000	102.00	12/31/20	79.68	...	22.32	3 of 3 - Sale ²⁵
	9.000	229.50	Various	182.41	...	47.09	Total of 3 transactions
	3 transactions for 01/26/21. Total proceeds and cost reported to the IRS.						
	1.000	26.80	12/31/20	19.92	...	6.88	1 of 3 - Sale ²⁵
	4.000	107.22	01/14/21	96.64	...	10.58	2 of 3 - Sale ²⁵
	4.000	112.16	01/20/21	91.00	...	21.16	3 of 3 - Sale ²⁵
01/26/21	9.000	246.18	Various	207.56	...	38.62	Total of 3 transactions
02/08/21	1.000	37.86	01/20/21	22.75	...	15.11	Sale ²⁵
02/24/21	5.000	77.50	02/23/21	85.00	7.50 W	0.00	Sale ²⁵
02/25/21	5.000	77.88	02/23/21	84.00	6.12 W	0.00	Sale ²⁵
	4 transactions for 06/02/21. Total proceeds and cost reported to the IRS.						
	3.000	37.11	04/15/21	35.99	...	1.12	1 of 4 - Sale ²⁵
	1.000	12.37	05/12/21	9.10	...	3.27	2 of 4 - Sale ²⁵
	5.000	61.85	05/12/21	53.15	...	8.70	3 of 4 - Sale ²⁵
	4.000	49.48	05/12/21	36.41	...	13.07	4 of 4 - Sale ²⁵
06/02/21	13.000	160.81	Various	134.65	...	26.16	Total of 4 transactions
	8 transactions for 06/02/21. Total proceeds and cost reported to the IRS.						
	1.000	10.54	02/23/21	16.22	5.68 W	0.00	1 of 8 - Sale ²⁵
	4.000	42.16	02/23/21	64.90	22.74 W	0.00	2 of 8 - Sale ²⁵
	5.000	52.70	02/23/21	67.50	14.80 W	0.00	3 of 8 - Sale ²⁵
	5.000	52.71	02/25/21	88.35	35.64 W	0.00	4 of 8 - Sale ²⁵
	5.000	52.70	03/23/21	74.68	21.98 W	0.00	5 of 8 - Sale ²⁵
	2.000	24.74	03/26/21	25.84	1.10 W	0.00	6 of 8 - Sale ²⁵
	1.000	12.37	05/12/21	13.80	1.43 W	0.00	7 of 8 - Sale ²⁵
	4.000	49.48	05/12/21	55.21	5.73 W	0.00	8 of 8 - Sale ²⁵
06/02/21	27.000	297.40	Various	406.50	109.10 W	0.00	Total of 8 transactions
	2 transactions for 06/08/21. Total proceeds and cost reported to the IRS.						
	5.000	79.90	05/12/21	73.99	...	5.91	1 of 2 - Sale ²⁵
	5.000	80.00	05/12/21	60.33	...	19.67	2 of 2 - Sale ²⁵
06/08/21	10.000	159.90	Various	134.32	...	25.58	Total of 2 transactions
	3 transactions for 09/01/21. Total proceeds and cost reported to the IRS.						
	2.000	20.97	05/12/21	16.44	...	4.53	1 of 3 - Sale ²⁵
	3.000	31.46	05/12/21	23.01	...	8.45	2 of 3 - Sale ²⁵

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Robinhood Securities LLC

Account 926323635

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	5.000	52.42	05/12/21	38.35	...	14.07	3 of 3 - Sale ²⁵
09/01/21	10.000	104.85	Various	77.80	...	27.05	Total of 3 transactions
	4 transactions for 10/28/21. Total proceeds and cost reported to the IRS.						
	10.000	70.80	05/12/21	76.70	5.90 W	0.00	1 of 4 - Sale ²⁵
	10.000	70.80	07/22/21	111.80	41.00 W	0.00	2 of 4 - Sale ²⁵
	10.000	70.75	08/09/21	95.40	24.65 W	0.00	3 of 4 - Sale ²⁵
	10.000	70.75	09/09/21	88.50	17.75 W	0.00	4 of 4 - Sale ²⁵
10/28/21	40.000	283.10	Various	372.40	89.30 W	0.00	Total of 4 transactions
11/02/21	10.000	82.00	09/22/21	74.98	...	7.02	Sale ²⁵
11/02/21	10.000	82.00	09/15/21	83.10	1.10 W	0.00	Sale ²⁵
11/11/21	10.000	72.00	10/06/21	69.15	...	2.85	Sale ²⁵
11/11/21	40.000	288.00	09/22/21	299.91	11.91 W	0.00	Sale ²⁵
	Security total:	2,867.38		2,893.35	241.21 W	215.24	
YALLA GROUP LIMITED AMERICAN D EPOSITARY SHARES, EACH REPRES NTING ONE CLASS A ORDINARY SHA / CUSIP: 98459U103 / Symbol:							
06/30/21	15.000	294.08	06/21/21	281.55	...	12.53	Sale ²⁵
ZOMEDICA CORP. / CUSIP: 98980M109 / Symbol:							
02/05/21	25.000	47.38	01/29/21	23.00	...	24.38	Sale ²⁵
02/08/21	74.000	180.75	01/29/21	68.08	...	112.67	Sale ²⁵
	3 transactions for 02/09/21. Total proceeds and cost reported to the IRS.						
	1.000	2.30	01/29/21	0.92	...	1.38	1 of 3 - Sale ²⁵
	1.000	2.33	02/08/21	2.24	...	0.09	2 of 3 - Sale ²⁵
	49.000	112.70	02/08/21	109.76	...	2.94	3 of 3 - Sale ²⁵
02/09/21	51.000	117.33	Various	112.92	...	4.41	Total of 3 transactions
	Security total:	345.46		204.00	...	141.46	
ZYNERBA PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 98986X109 / Symbol:							
	2 transactions for 02/10/21. Total proceeds and cost reported to the IRS.						
	16.000	107.04	02/08/21	90.72	...	16.32	1 of 2 - Sale ²⁵
	33.000	220.77	02/08/21	187.11	...	33.66	2 of 2 - Sale ²⁵
02/10/21	49.000	327.81	Various	277.83	...	49.98	Total of 2 transactions
	2 transactions for 02/24/21. Total proceeds and cost reported to the IRS.						
	1.000	4.97	02/08/21	5.67	0.70 W	0.00	1 of 2 - Sale ²⁵

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Robinhood Securities LLC

Account 926323635

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ZYNERBA PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 98986X109 / Symbol: (cont'd)							
	9.000	44.73	02/10/21	62.55	17.82 W	0.00	2 of 2 - Sale ²⁵
02/24/21	10.000	49.70	Various	68.22	18.52 W	0.00	Total of 2 transactions
	Security total:	377.51		346.05	18.52 W	49.98	
ALTAMIRA THERAPEUTICS LTD. COM MON SHARES 0.01 SF (BERMUDA) / CUSIP: G0360L100 / Symbol:							
2 transactions for 08/03/21. Total proceeds and cost reported to the IRS.							
	1.000	3.73	06/21/21	3.74	...	-0.01	1 of 2 - Sale ²⁵
	49.000	182.77	06/21/21	182.77	...	0.00	2 of 2 - Sale ²⁵
08/03/21	50.000	186.50	Various	186.51	...	-0.01	Total of 2 transactions
COLOR STAR TECHNOLOGY CO. LTD. ORDINARY SHARES / CUSIP: G2287A100 / Symbol:							
02/09/21	100.000	100.99	02/08/21	101.00	...	-0.01	Sale ²⁵
JOBY AVIATION, INC. / CUSIP: G65163100 / Symbol:							
2 transactions for 08/11/21. Total proceeds and cost reported to the IRS.							
	5.000	67.50	02/24/21	67.50	...	0.00	1 of 2 - Sale ²⁵
	5.000	67.50	02/24/21	67.43	...	0.07	2 of 2 - Sale ²⁵
08/11/21	10.000	135.00	Various	134.93	...	0.07	Total of 2 transactions
REINVENT TECHNOLOGY PARTNERS / CUSIP: G7483N129 / Symbol:							
08/05/21	10.000	106.00	02/24/21	135.00	...	-29.00	Sale ²⁵
SOCIAL CAPITAL HEDOSOPHIA HOLD INGS CORP. IV / CUSIP: G82514103 / Symbol:							
3 transactions for 11/10/21. Total proceeds and cost reported to the IRS.							
	10.000	103.90	02/22/21	163.70	...	-59.80	1 of 3 - Sale ²⁵
	10.000	103.90	03/16/21	121.50	...	-17.60	2 of 3 - Sale ²⁵
	5.000	51.95	04/26/21	54.55	...	-2.60	3 of 3 - Sale ²⁵
11/10/21	25.000	259.75	Various	339.75	...	-80.00	Total of 3 transactions
SOCIAL CAPITAL HEDOSOPHIA HOLD INGS CORP. VI / CUSIP: G8251L105 / Symbol:							
4 transactions for 11/10/21. Total proceeds and cost reported to the IRS.							
	10.000	104.50	02/22/21	167.30	...	-62.80	1 of 4 - Sale ²⁵
	10.000	104.50	03/16/21	115.90	...	-11.40	2 of 4 - Sale ²⁵

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Robinhood Securities LLC

Account 926323635

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SOCIAL CAPITAL HEDOSOPHIA HOLD INGS CORP. VI / CUSIP: G8251L105 / Symbol: (cont'd)							
	5.000	52.25	04/26/21	53.38	...	-1.13	3 of 4 - Sale ²⁵
	5.000	52.25	05/27/21	50.18	...	2.07	4 of 4 - Sale ²⁵
11/10/21	30.000	313.50	Various	386.76	...	-73.26	Total of 4 transactions
OBSEVA SA ORDINARY SHARES / CUSIP: H5861P103 / Symbol:							
5 transactions for 07/27/21. Total proceeds and cost reported to the IRS.							
	10.000	33.10	05/07/21	28.00	...	5.10	1 of 5 - Sale ²⁵
	30.000	99.30	05/07/21	84.00	...	15.30	2 of 5 - Sale ²⁵
	30.000	102.29	05/07/21	84.00	...	18.29	3 of 5 - Sale ²⁵
	50.000	170.49	05/07/21	140.00	...	30.49	4 of 5 - Sale ²⁵
	10.000	33.10	05/19/21	27.80	...	5.30	5 of 5 - Sale ²⁵
07/27/21	130.000	438.28	Various	363.80	...	74.48	Total of 5 transactions
3 transactions for 07/27/21. Total proceeds and cost reported to the IRS.							
	50.000	170.49	02/22/21	242.50	72.01 W	0.00	1 of 3 - Sale ²⁵
	20.000	68.20	03/16/21	69.97	1.77 W	0.00	2 of 3 - Sale ²⁵
	25.000	80.51	07/19/21	98.22	3.54 W	-14.17	3 of 3 - Sale ²⁵
07/27/21	95.000	319.20	Various	410.69	77.32 W	-14.17	Total of 3 transactions
4 transactions for 08/31/21. Total proceeds and cost reported to the IRS.							
	25.000	74.75	07/19/21	98.22	...	-23.47	1 of 4 - Sale ²⁵
	15.000	44.85	07/29/21	41.20	...	3.65	2 of 4 - Sale ²⁵
	5.000	14.95	08/09/21	16.96	...	-2.01	3 of 4 - Sale ²⁵
	4.000	11.96	08/09/21	11.10	...	0.86	4 of 4 - Sale ²⁵
08/31/21	49.000	146.51	Various	167.48	...	-20.97	Total of 4 transactions
6 transactions for 12/08/21. Total proceeds and cost reported to the IRS.							
	1.000	2.27	08/09/21	2.77	...	-0.50	1 of 6 - Sale ²⁵
	29.000	65.88	10/20/21	78.30	...	-12.42	2 of 6 - Sale ²⁵
	5.000	11.36	10/21/21	13.45	...	-2.09	3 of 6 - Sale ²⁵
	10.000	22.72	10/28/21	25.86	...	-3.14	4 of 6 - Sale ²⁵
	5.000	11.36	10/28/21	12.94	...	-1.58	5 of 6 - Sale ²⁵
	10.000	22.72	11/08/21	24.60	...	-1.88	6 of 6 - Sale ²⁵
12/08/21	60.000	136.31	Various	157.92	...	-21.61	Total of 6 transactions
	Security total:	1,040.30		1,099.89	77.32 W	17.73	

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Robinhood Securities LLC

Account 926323635

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WIX.COM LTD. ORDINARY SHARES / CUSIP: M98068105 / Symbol:							
11/10/21	5.000	989.28	11/02/21	932.31	...	56.97	Sale ²⁵
NAKED BRAND GROUP LIMITED ORDINARY SHARES / CUSIP: Q6519T117 / Symbol:							
4 transactions for 01/28/21. Total proceeds and cost reported to the IRS.							
	10.000	16.50	01/27/21	9.27	...	7.23	1 of 4 - Sale ²⁵
	50.000	74.50	01/27/21	46.37	...	28.13	2 of 4 - Sale ²⁵
	100.000	158.99	01/27/21	92.73	...	66.26	3 of 4 - Sale ²⁵
	40.000	124.00	01/27/21	37.09	...	86.91	4 of 4 - Sale ²⁵
01/28/21	200.000	373.99	Various	185.46	...	188.53	Total of 4 transactions
09/28/21	100.000	88.49	02/24/21	146.00	46.01 W	-11.50	Sale ²⁵
4 transactions for 11/08/21. Total proceeds and cost reported to the IRS.							
	50.000	42.50	03/22/21	42.50	...	0.00	1 of 4 - Sale ²⁵
	20.000	17.00	04/14/21	11.13	...	5.87	2 of 4 - Sale ²⁵
	100.000	84.99	06/02/21	81.46	...	3.53	3 of 4 - Sale ²⁵
	30.000	25.49	06/02/21	21.27	...	4.22	4 of 4 - Sale ²⁵
11/08/21	200.000	169.98	Various	156.36	...	13.62	Total of 4 transactions
11/08/21	30.000	26.25	10/28/21	35.57	9.32 W	0.00	Sale ²⁵
2 transactions for 11/16/21. Total proceeds and cost reported to the IRS.							
	9.000	6.75	11/11/21	6.06	...	0.69	1 of 2 - Sale ²⁵
	10.000	7.49	11/11/21	6.75	...	0.74	2 of 2 - Sale ²⁵
11/16/21	19.000	14.24	Various	12.81	...	1.43	Total of 2 transactions
17 transactions for 11/16/21. Total proceeds and cost reported to the IRS.							
	50.000	36.49	10/28/21	59.29	22.80 W	0.00	1 of 17 - Sale ²⁵
	10.000	7.30	11/09/21	7.99	0.69 W	0.00	2 of 17 - Sale ²⁵
	10.000	7.30	11/09/21	8.00	0.70 W	0.00	3 of 17 - Sale ²⁵
	10.000	7.30	11/09/21	11.11	3.81 W	0.00	4 of 17 - Sale ²⁵
	20.000	14.60	11/09/21	22.61	8.01 W	0.00	5 of 17 - Sale ²⁵
	20.000	14.84	11/09/21	24.50	9.66 W	0.00	6 of 17 - Sale ²⁵
	1.000	0.75	11/10/21	0.76	0.01 W	0.00	7 of 17 - Sale ²⁵
	9.000	6.67	11/10/21	6.81	0.14 W	0.00	8 of 17 - Sale ²⁵
	10.000	7.42	11/10/21	7.58	0.16 W	0.00	9 of 17 - Sale ²⁵
	10.000	7.42	11/10/21	10.69	3.27 W	0.00	10 of 17 - Sale ²⁵
	20.000	14.84	11/10/21	21.78	6.94 W	0.00	11 of 17 - Sale ²⁵

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Robinhood Securities LLC

Account 926323635

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NAKED BRAND GROUP LIMITED ORDINARY SHARES / CUSIP: Q6519T117 / Symbol: (cont'd)							
	20.000	15.00	11/10/21	21.81	6.81 W	0.00	12 of 17 - Sale ²⁵
	20.000	15.00	11/10/21	23.43	8.43 W	0.00	13 of 17 - Sale ²⁵
	30.000	22.26	11/10/21	34.33	12.07 W	0.00	14 of 17 - Sale ²⁵
	10.000	7.50	11/11/21	9.85	2.35 W	0.00	15 of 17 - Sale ²⁵
	10.000	7.50	11/11/21	10.60	3.10 W	0.00	16 of 17 - Sale ²⁵
	20.000	15.00	11/11/21	20.10	5.10 W	0.00	17 of 17 - Sale ²⁵
11/16/21	280.000	207.19	Various	301.24	94.05 W	0.00	Total of 17 transactions
	8 transactions for 11/29/21. Total proceeds and cost reported to the IRS.						
	19.000	13.24	11/19/21	20.83	...	-7.59	1 of 8 - Sale ²⁵
	20.000	13.93	11/19/21	20.30	...	-6.37	2 of 8 - Sale ²⁵
	20.000	13.94	11/19/21	18.59	...	-4.65	3 of 8 - Sale ²⁵
	10.000	6.97	11/19/21	9.85	...	-2.88	4 of 8 - Sale ²⁵
	10.000	6.97	11/19/21	9.10	...	-2.13	5 of 8 - Sale ²⁵
	1.000	0.70	11/19/21	1.05	...	-0.35	6 of 8 - Sale ²⁵
	1.000	0.70	11/19/21	0.68	...	0.02	7 of 8 - Sale ²⁵
	19.000	13.23	11/19/21	12.82	...	0.41	8 of 8 - Sale ²⁵
11/29/21	100.000	69.68	Various	93.22	...	-23.54	Total of 8 transactions
11/29/21	1.000	0.70	11/11/21	1.08	0.38 W	0.00	Sale ²⁵
	Security total:	950.52		931.74	149.76 W	168.54	
SEANERGY MARITIME HOLDINGS CORP COMMON STOCK / CUSIP: Y73760194 / Symbol:							
	3 transactions for 09/15/21. Total proceeds and cost reported to the IRS.						
	100.000	145.99	02/12/21	169.89	...	-23.90	1 of 3 - Sale ²⁵
	50.000	73.00	02/17/21	87.00	...	-14.00	2 of 3 - Sale ²⁵
	50.000	72.99	08/05/21	48.66	...	24.33	3 of 3 - Sale ²⁵
09/15/21	200.000	291.98	Various	305.55	...	-13.57	Total of 3 transactions
Totals :		148,968.56		161,104.40	14,255.45 W	2,119.61	

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Robinhood Securities LLC

Account 926323635

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
FUBOTV INC. / CUSIP: 35953D104 / Symbol:							
<i>3 transactions for 11/11/21. Total proceeds and cost reported to the IRS.</i>							
	2.000	51.62	09/29/21	55.38	3.76 W	0.00	1 of 3 - Sale ²⁵
	2.000	51.62	09/29/21	58.63	7.01 W	0.00	2 of 3 - Sale ²⁵
	1.000	25.81	10/04/21	28.59	2.78 W	0.00	3 of 3 - Sale ²⁵
11/11/21	5.000	129.05	Various	142.60	13.55 W	0.00	Total of 3 transactions
NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol:							
05/12/21	1.000	12.40	02/12/21	34.39	21.99 W	0.00	Sale ²⁵
05/26/21	1.000	12.99	04/22/21	33.44	20.45 W	0.00	Sale ²⁵
11/01/21	1.000	12.55	05/21/21	32.48	...	-19.93	Sale ²⁵
	Security total:	37.94		100.31	42.44 W	-19.93	
Totals :		166.99		242.91	55.99 W	-19.93	

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Robinhood Securities LLC

Account 926323635

Proceeds Not Reported to the IRS

2021

02/11/2022

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
CATABASIS PHARMACEUTICALS, INC . COMMON STOCK / CUSIP: 14875P305 / Symbol:							
08/26/21	0.333	2.15	06/16/21	5.21	...	-3.06	Gain or loss
EXELA TECHNOLOGIES, INC. COMMO N STOCK / CUSIP: 30162V409 / Symbol:							
02/01/21	0.333	0.81	01/12/21	0.61	...	0.20	Gain or loss
VYNE THERAPEUTICS INC. COMMON STOCK / CUSIP: 92941V209 / Symbol:							
02/22/21	0.500	4.84	02/08/21	5.49	...	-0.65	Gain or loss
Totals:		7.80		11.31	...	-3.51	

Robinhood Securities LLC

Account 926323635

Detail for Dividends and Distributions

2021

02/11/2022

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. There is also a holder level criteria for a dividend to be treated as Qualified. If the payment is from a common stock you are required to have held it for more than 60 days during the 121-day period that begins 60 days before the ex-dividend date of the dividend. If the dividend is from preferred shares and covers a period greater than 366 days, you must have held the preferred stock for more than 90 days during the 181-day period that begins 90 days before the ex-dividend date of the dividend.

Amounts presented in Box 2e as Section 897 Ordinary Dividends or Box 2f as Section 897 Capital Gains apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. If you are a US citizen, you should disregard this.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
APPLE INC. COMMON STOCK	037833100		02/11/21	3.08	Qualified dividend	
INVESCO MORTGAGE CAPITAL INC.	46131B100		01/26/21	8.00	Nondividend distribution	03
ORCHID ISLAND CAPITAL, INC.	68571X103		07/28/21	2.27	Nondividend distribution	03
			07/28/21	0.98	Section 199A dividend	03
			10/27/21	2.27	Nondividend distribution	03
			10/27/21	0.98	Section 199A dividend	03
			11/26/21	2.27	Nondividend distribution	03
			11/26/21	0.98	Section 199A dividend	03
			12/29/21	2.27	Nondividend distribution	03
			12/29/21	0.98	Section 199A dividend	03
				13.00	Total Dividends & distributions	
				24.08	Total Dividends & distributions	

Robinhood Securities LLC

Account 926323635

Detail for Miscellaneous Information

2021

02/11/2022

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
ORCHID ISLAND CAPITAL, INC.	68571X103	08/27/21	3.25	Substitute payment	
		09/28/21	3.25	Substitute payment	
			6.50	Total Miscellaneous income	
			6.50	Total Miscellaneous income	

Robinhood Securities LLC

Account 926323635

Fees and Expenses

2021

02/11/2022

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		02/18/21	-5.00	Margin interest paid	
		03/22/21	-0.79	Margin interest paid	
		03/22/21	-5.00	Margin interest paid	
		04/19/21	-3.51	Margin interest paid	
		04/19/21	-5.00	Margin interest paid	
		05/19/21	-4.14	Margin interest paid	
		05/19/21	-5.00	Margin interest paid	
		06/18/21	-2.22	Margin interest paid	
		06/18/21	-5.00	Margin interest paid	
		07/19/21	-0.84	Margin interest paid	
		07/19/21	-5.00	Margin interest paid	
			-41.50	Total Margin interest paid	
Margin charge		08/17/21	-5.00	Margin interest paid	
		08/17/21	-10.29	Margin interest paid	
		09/16/21	-5.00	Margin interest paid	
		09/16/21	-10.87	Margin interest paid	
		10/18/21	-5.00	Margin interest paid	
		10/18/21	-11.28	Margin interest paid	
		11/15/21	-5.00	Margin interest paid	
		11/15/21	-8.27	Margin interest paid	
		12/15/21	-5.00	Margin interest paid	
		12/15/21	-16.28	Margin interest paid	
			-81.99	Total Margin interest paid	
			-123.49	Total Margin interest paid	

Robinhood Securities LLC

Account 926323635

Non-Reportable Actions

2021

02/11/2022

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
ASTRIA THERAPEUTICS, INC. COMM ON STOCK	04635X102	09/09/21	33.00	0.00	Exchange	
CATABASIS PHARMACEUTICALS, INC . COMMON STOCK	14875P305	09/09/21	-33.00	0.00	Exchange	
CHURCHILL CAPITAL CORP IV	171439102	07/26/21	-30.00	0.00	Exchange	
LUCID GROUP, INC. COMMON STOCK	549498103	07/26/21	30.00	0.00	Exchange	
MARATHON DIGITAL HOLDINGS, INC . COMMON STOCK	565788106	03/01/21	10.00	0.00	Exchange	
MARATHON PATENT GROUP, INC. CO MMON STOCK	56585W401	03/01/21	-10.00	0.00	Exchange	
ALTAMIRA THERAPEUTICS LTD. COM MON SHARES 0.01 SF (BERMUDA)	G0360L100	07/26/21	50.00	0.00	Exchange	
AURIS MEDICAL HOLDING LTD. COM MON SHARES 0.01 SF (BERMUDA)	G07025201	07/26/21	-50.00	0.00	Exchange	
JOBY AVIATION, INC.	G65163100	08/11/21	10.00	0.00	Exchange	
REINVENT TECHNOLOGY PARTNERS	G7483N129	08/11/21	-10.00	0.00	Exchange	
LUMN 03/19/2021 CALL \$12.50	LUMN 03/19/21 C 12.500	01/22/21	2.00	0.00	Merger	
		01/22/21	-2.00	0.00	Merger	
		01/26/21	2.00	0.00	Merger	
		01/26/21	-2.00	0.00	Merger	

- 03 The tax character of the distribution has been allocated based on information provided by the security issuer.

 - 25 Tax lot closed on a first in first out basis.
-

Instructions for Recipient

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or ORD) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.

1099-DIV Instructions for Recipient

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

Line 1b. Shows the portion of the amount in Line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in Lines 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2c. Shows the portion of the amount in Line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

Line 2d. Shows the portion of the amount in Line 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2e. Shows the portion of the amount in Line 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

Line 2f. Shows the portion of the amount in Line 2a that is section 897 gain attributable to disposition of USRPI.

Note: Lines 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in Line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in Line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040SR.

Line 8. This Line should be left blank if a RIC reported the foreign tax shown in Line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040SR for where to report. This amount may be subject to backup withholding. See Line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in Line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting Lines.

Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to

special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

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1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on

Instructions for Recipient

forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

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1099-MISC Instructions for Recipient

Line 2. Report royalties from oil, gas, or mineral properties; copyrights; and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the Schedule E (Form 1040) instructions. For royalties on timber, coal, and iron ore, see Pub. 544.

Line 3. Generally, report this amount on the "Other income" line on Form 1040 (or Form 1040NR) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).

Line 4. See "Backup Withholding" section.

Line 8. Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040) (or Form 1040NR).

Lines 15-17. Show state or local income tax withheld from the payments.

Future developments. For the latest information about developments related to Form 1099-MISC and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099MISC.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.