

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 997271424	Statement Date: 02/11/2022 Document ID: B0E3 WV5 U230	2021
	Vivek Vijayakumar 3 Lancelot Court apt 10 Salem, NH 03079 RECIPIENT'S TIN: XXX-XX-6047		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2021 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e) 5.86</p> <p>1b- Qualified dividends 5.86</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f) 0.00</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>2e- Section 897 ordinary dividends 0.00</p> <p>2f- Section 897 capital gain 0.00</p> <p>3- Nondividend distributions 27.35</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 0.00</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: 7- Foreign tax paid: 0.00</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p>MISCELLANEOUS INFORMATION 2021 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.00</p> <p>SECTION 1256 CONTRACTS 2021 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2021 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2020 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2021 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</p>
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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	107,891.18	116,054.60	0.00	1,886.59	-6,276.83
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	10.49	33.49	0.00	0.00	-23.00
	Total Short-term	107,901.67	116,088.09	0.00	1,886.59	-6,299.83
Long	D (basis reported to the IRS)	87.83	164.40	0.00	0.00	-76.57
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	87.83	164.40	0.00	0.00	-76.57
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	107,989.50	116,252.49	0.00	1,886.59	-6,376.40
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

Robinhood Securities LLC

Account 997271424

Summary Information

2021

(continued)

02/11/2022

INTEREST INCOME**2021 1099-INT**

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	73.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

02/11/2022

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 03/17/2023 CALL \$200.00 / CUSIP: / Symbol:							
4 transactions for 07/06/21. Total proceeds and cost reported to the IRS.							
	1.000	659.99	03/01/21	875.00	...	-215.01	1 of 4 - Option sale to close-call ²⁵
	1.000	659.99	03/19/21	690.00	...	-30.01	2 of 4 - Option sale to close-call ²⁵
	1.000	659.99	03/23/21	655.00	...	4.99	3 of 4 - Option sale to close-call ²⁵
	1.000	660.00	03/25/21	570.00	...	90.00	4 of 4 - Option sale to close-call ²⁵
07/06/21	4.000	2,639.97	Various	2,790.00	...	-150.03	Total of 4 transactions
07/09/21	1.000	774.98	03/30/21	475.00	...	299.98	Option sale to close-call ²⁵
	Security total:	3,414.95		3,265.00	...	149.95	
AAPL 04/30/2021 CALL \$140.00 / CUSIP: / Symbol:							
04/30/21	1.000	0.00	04/28/21	58.00	...	-58.00	Option expiration- long call
AHT 07/16/2021 CALL \$5.00 / CUSIP: / Symbol:							
06/08/21	1.000	194.98	06/02/21	190.00	...	4.98	Option sale to close-call ²⁵

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Robinhood Securities LLC

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AHT 12/17/2021 CALL \$7.50 / CUSIP: / Symbol:							
05/28/21	3.000	254.98	05/26/21	210.00	...	44.98	Option sale to close-call ²⁵
ALZN 10/15/2021 CALL \$2.50 / CUSIP: / Symbol:							
2 transactions for 09/30/21. Total proceeds and cost reported to the IRS.							
	3.000	239.98	09/30/21	345.00	...	-105.02	1 of 2 - Option sale to close-call ²⁵
	2.000	159.98	09/30/21	230.00	...	-70.02	2 of 2 - Option sale to close-call ²⁵
09/30/21	5.000	399.96	Various	575.00	...	-175.04	Total of 2 transactions
BAC 05/07/2021 CALL \$37.00 / CUSIP: / Symbol:							
04/14/21	1.000	329.98	03/25/21	198.00	...	131.98	Option sale to close-call ²⁵
BAC 07/02/2021 CALL \$43.00 / CUSIP: / Symbol:							
2 transactions for 06/18/21. Total proceeds and cost reported to the IRS.							
	2.000	13.99	06/16/21	100.00	...	-86.01	1 of 2 - Option sale to close-call ²⁵
	1.000	6.99	06/16/21	50.00	...	-43.01	2 of 2 - Option sale to close-call ²⁵
06/18/21	3.000	20.98	Various	150.00	...	-129.02	Total of 2 transactions
BBIG 06/18/2021 CALL \$5.50 / CUSIP: / Symbol:							
2 transactions for 06/18/21. Total proceeds and cost reported to the IRS.							
	6.000	0.00	06/08/21	420.00	...	-420.00	1 of 2 - Option expiration- long call
	4.000	0.00	06/08/21	280.00	...	-280.00	2 of 2 - Option expiration- long call
06/18/21	10.000	0.00	Various	700.00	...	-700.00	Total of 2 transactions
BBIG 07/16/2021 CALL \$5.00 / CUSIP: / Symbol:							
07/16/21	2.000	0.00	06/11/21	270.00	...	-270.00	Option expiration- long call
BBIG 07/16/2021 CALL \$5.50 / CUSIP: / Symbol:							
06/08/21	5.000	614.98	06/07/21	475.00	...	139.98	Option sale to close-call ²⁵
BBIG 09/17/2021 CALL \$9.00 / CUSIP: / Symbol:							
08/30/21	2.000	549.98	08/30/21	820.00	...	-270.02	Option sale to close-call ²⁵
ENG 10/15/2021 CALL \$2.50 / CUSIP: / Symbol:							
10/15/21	1.000	81.98	10/15/21	0.00	...	81.98	Short sale closed- call expired Option written: 09/29/21

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

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02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
F 01/21/2022 CALL \$10.00 / CUSIP: / Symbol:							
03/05/21	1.000	334.98	02/25/21	325.00	...	9.98	Option sale to close-call ²⁵
F 03/12/2021 CALL \$12.50 / CUSIP: / Symbol:							
03/11/21	1.000	51.98	03/11/21	50.00	...	1.98	Option sale to close-call ²⁵
F 09/17/2021 CALL \$11.00 / CUSIP: / Symbol:							
<i>2 transactions for 05/21/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	287.99	03/16/21	283.00	...	4.99	1 of 2 - Option sale to close-call ²⁵
	1.000	287.99	03/23/21	225.00	...	62.99	2 of 2 - Option sale to close-call ²⁵
05/21/21	2.000	575.98	Various	508.00	...	67.98	Total of 2 transactions
FUBO 05/28/2021 CALL \$24.50 / CUSIP: / Symbol:							
04/21/21	1.000	147.98	04/19/21	108.00	...	39.98	Option sale to close-call ²⁵
FUBO 06/18/2021 CALL \$40.00 / CUSIP: / Symbol:							
<i>2 transactions for 06/18/21. Total proceeds and cost reported to the IRS.</i>							
	3.000	0.00	06/08/21	231.00	...	-231.00	1 of 2 - Option expiration- long call
	3.000	0.00	06/15/21	12.00	...	-12.00	2 of 2 - Option expiration- long call
06/18/21	6.000	0.00	Various	243.00	...	-243.00	Total of 2 transactions
FUBO 07/09/2021 CALL \$32.00 / CUSIP: / Symbol:							
06/21/21	1.000	292.98	06/11/21	292.00	...	0.98	Option sale to close-call ²⁵
FUBO 07/16/2021 CALL \$26.00 / CUSIP: / Symbol:							
<i>2 transactions for 05/26/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	230.99	05/26/21	135.00	...	95.99	1 of 2 - Option sale to close-call ²⁵
	2.000	461.99	05/26/21	270.00	...	191.99	2 of 2 - Option sale to close-call ²⁵
05/26/21	3.000	692.98	Various	405.00	...	287.98	Total of 2 transactions
05/27/21	2.000	435.98	05/26/21	270.00	...	165.98	Option sale to close-call ²⁵
	Security total:	1,128.96		675.00	...	453.96	
FUBO 08/20/2021 CALL \$38.00 / CUSIP: / Symbol:							
06/02/21	5.000	944.98	06/02/21	895.00	...	49.98	Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUBO 08/20/2021 CALL \$39.00 / CUSIP: / Symbol:							
06/03/21	1.000	232.98	05/28/21	176.00	...	56.98	Option sale to close-call ²⁵
FUTU 08/20/2021 CALL \$260.00 / CUSIP: / Symbol:							
<i>4 transactions for 06/02/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	124.99	05/26/21	105.00	...	19.99	1 of 4 - Option sale to close-call ²⁵
	1.000	125.00	05/26/21	105.00	...	20.00	2 of 4 - Option sale to close-call ²⁵
	1.000	125.00	05/26/21	105.00	...	20.00	3 of 4 - Option sale to close-call ²⁵
	2.000	249.99	05/26/21	210.00	...	39.99	4 of 4 - Option sale to close-call ²⁵
06/02/21	5.000	624.98	Various	525.00	...	99.98	Total of 4 transactions
FUTU 11/05/2021 CALL \$56.00 / CUSIP: / Symbol:							
11/01/21	1.000	329.98	11/01/21	305.00	...	24.98	Option sale to close-call ²⁵
GNUS 10/15/2021 CALL \$1.00 / CUSIP: / Symbol:							
<i>2 transactions for 07/21/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	66.99	03/16/21	153.00	...	-86.01	1 of 2 - Option sale to close-call ²⁵
	1.000	66.99	03/17/21	156.00	...	-89.01	2 of 2 - Option sale to close-call ²⁵
07/21/21	2.000	133.98	Various	309.00	...	-175.02	Total of 2 transactions
IPA 08/20/2021 CALL \$10.00 / CUSIP: / Symbol:							
07/22/21	1.000	294.98	07/22/21	290.00	...	4.98	Option sale to close-call ²⁵
LIVX 04/16/2021 CALL \$12.50 / CUSIP: / Symbol:							
04/16/21	1.000	0.00	03/26/21	70.00	...	-70.00	Option expiration- long call
MRKR 04/16/2021 CALL \$2.50 / CUSIP: / Symbol:							
<i>2 transactions for 03/22/21. Total proceeds and cost reported to the IRS.</i>							
	2.000	199.99	03/19/21	90.00	...	109.99	1 of 2 - Option sale to close-call ²⁵
	2.000	199.99	03/19/21	80.00	...	119.99	2 of 2 - Option sale to close-call ²⁵
03/22/21	4.000	399.98	Various	170.00	...	229.98	Total of 2 transactions

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2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO 08/20/2021 CALL \$46.00 / CUSIP: / Symbol:							
2 transactions for 05/28/21. Total proceeds and cost reported to the IRS.							
	1.000	198.98	05/27/21	186.00	...	12.98	1 of 2 - Option sale to close-call ²⁵
	1.000	198.98	05/27/21	186.00	...	12.98	2 of 2 - Option sale to close-call ²⁵
05/28/21	2.000	397.96	Various	372.00	...	25.96	Total of 2 transactions
NVDA 01/21/2022 CALL \$1,210.00 / CUSIP: / Symbol:							
06/18/21	1.000	1,069.98	06/07/21	780.00	...	289.98	Option sale to close-call ²⁵
NVDA 07/30/2021 CALL \$191.88 / CUSIP: / Symbol:							
07/22/21	1.000	859.98	07/21/21	560.00	...	299.98	Option sale to close-call ²⁵
PLUG 05/14/2021 CALL \$31.00 / CUSIP: / Symbol:							
05/14/21	3.000	0.00	04/26/21	558.00	...	-558.00	Option expiration- long call
POWW 04/16/2021 CALL \$7.50 / CUSIP: / Symbol:							
04/01/21	1.000	14.98	03/31/21	15.00	...	-0.02	Option sale to close-call ²⁵
SGOC 09/17/2021 CALL \$7.50 / CUSIP: / Symbol:							
08/27/21	1.000	349.98	08/27/21	320.00	...	29.98	Option sale to close-call ²⁵
SOFI 10/15/2021 CALL \$17.50 / CUSIP: / Symbol:							
10/11/21	1.000	121.98	10/11/21	121.00	...	0.98	Option sale to close-call ²⁵
TME 07/09/2021 CALL \$19.00 / CUSIP: / Symbol:							
2 transactions for 07/09/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	06/09/21	32.00	...	-32.00	1 of 2 - Option expiration- long call
	1.000	0.00	06/09/21	32.00	...	-32.00	2 of 2 - Option expiration- long call
07/09/21	2.000	0.00	Various	64.00	...	-64.00	Total of 2 transactions
TPGY 03/19/2021 CALL \$17.50 / CUSIP: / Symbol:							
03/15/21	1.000	459.98	03/12/21	340.00	...	119.98	Option sale to close-call ²⁵
TSLA 07/02/2021 CALL \$750.00 / CUSIP: / Symbol:							
06/24/21	1.000	459.98	06/09/21	299.00	...	160.98	Option sale to close-call ²⁵
TSLA 07/30/2021 CALL \$715.00 / CUSIP: / Symbol:							
07/28/21	1.000	12.98	07/26/21	455.00	...	-442.02	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 997271424

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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TSLA 07/30/2021 CALL \$900.00 / CUSIP: / Symbol:							
07/15/21	1.000	60.98	07/02/21	375.00	...	-314.02	Option sale to close-call ²⁵
TSLA 10/08/2021 CALL \$850.00 / CUSIP: / Symbol:							
10/04/21	1.000	319.98	10/04/21	365.00	...	-45.02	Option sale to close-call ²⁵
TSLA 11/26/2021 CALL \$1,275.00 / CUSIP: / Symbol:							
11/19/21	1.000	499.98	11/19/21	345.00	...	154.98	Option sale to close-call ²⁵
11/23/21	1.000	384.98	11/23/21	465.00	...	-80.02	Option sale to close-call ²⁵
	Security total:	884.96		810.00	...	74.96	
TTD 07/09/2021 CALL \$75.00 / CUSIP: / Symbol:							
06/29/21	1.000	519.98	06/24/21	490.00	...	29.98	Option sale to close-call ²⁵
TTD 07/30/2021 CALL \$78.00 / CUSIP: / Symbol:							
07/23/21	1.000	339.98	07/23/21	235.00	...	104.98	Option sale to close-call ²⁵
WISH 09/24/2021 CALL \$6.00 / CUSIP: / Symbol:							
	<i>3 transactions for 09/20/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	47.00	09/17/21	89.02	...	-42.02	1 of 3 - Option sale to close-call ²⁵
	2.000	93.99	09/17/21	136.00	...	-42.01	2 of 3 - Option sale to close-call ²⁵
	1.000	46.99	09/17/21	68.00	...	-21.01	3 of 3 - Option sale to close-call ²⁵
09/20/21	4.000	187.98	Various	293.02	...	-105.04	Total of 3 transactions
09/20/21	1.000	46.98	09/17/21	68.00	21.02 W	0.00	Option sale to close-call ²⁵
	Security total:	234.96		361.02	21.02 W	-105.04	
XELA 10/15/2021 CALL \$2.00 / CUSIP: / Symbol:							
	<i>2 transactions for 10/15/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	18.98	10/15/21	0.00	...	18.98	1 of 2 - Short sale closed- call expired Option written: 09/29/21
	1.000	18.98	10/15/21	0.00	...	18.98	2 of 2 - Short sale closed- call expired Option written: 09/29/21
10/15/21	2.000	37.96	Various	0.00	...	37.96	Total of 2 transactions

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AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol:							
2 transactions for 06/14/21. Total proceeds and cost reported to the IRS.							
	2.000	115.28	06/11/21	93.30	...	21.98	1 of 2 - Sale ²⁵
	3.000	172.98	06/11/21	139.95	...	33.03	2 of 2 - Sale ²⁵
06/14/21	5.000	288.26	Various	233.25	...	55.01	Total of 2 transactions
ADAMIS PHARMACEUTICALS CORPORATION COMMON STOCK / CUSIP: 00547W208 / Symbol:							
5 transactions for 09/01/21. Total proceeds and cost reported to the IRS.							
	150.000	169.48	08/27/21	172.52	...	-3.04	1 of 5 - Sale ²⁵
	114.000	128.81	08/27/21	131.04	...	-2.23	2 of 5 - Sale ²⁵
	100.000	112.99	08/27/21	115.01	...	-2.02	3 of 5 - Sale ²⁵
	86.000	97.17	08/27/21	98.85	...	-1.68	4 of 5 - Sale ²⁵
	50.000	56.49	08/27/21	57.48	...	-0.99	5 of 5 - Sale ²⁵
09/01/21	500.000	564.94	Various	574.90	...	-9.96	Total of 5 transactions
3 transactions for 09/01/21. Total proceeds and cost reported to the IRS.							
	250.000	282.47	06/09/21	297.50	15.03 W	0.00	1 of 3 - Sale ²⁵
	50.000	56.49	06/09/21	59.47	2.98 W	0.00	2 of 3 - Sale ²⁵
	200.000	225.97	06/09/21	237.86	11.89 W	0.00	3 of 3 - Sale ²⁵
09/01/21	500.000	564.93	Various	594.83	29.90 W	0.00	Total of 3 transactions
	Security total:	1,129.87		1,169.73	29.90 W	-9.96	
ADIAL PHARMACEUTICALS, INC COMMON STOCK / CUSIP: 00688A106 / Symbol:							
3 transactions for 08/13/21. Total proceeds and cost reported to the IRS.							
	100.000	332.98	06/22/21	357.00	...	-24.02	1 of 3 - Sale ²⁵
	50.000	166.49	06/22/21	178.50	...	-12.01	2 of 3 - Sale ²⁵
	100.000	332.99	07/09/21	290.59	...	42.40	3 of 3 - Sale ²⁵
08/13/21	250.000	832.46	Various	826.09	...	6.37	Total of 3 transactions
AEHR TEST SYSTEMS COMMON STOCK / CUSIP: 00760J108 / Symbol:							
10/13/21	50.000	1,035.24	10/11/21	995.00	...	40.24	Sale ²⁵
ADVAXIS INC COMMON STOCK / CUSIP: 007624307 / Symbol:							
09/16/21	1,000.000	531.27	09/10/21	594.90	63.63 W	0.00	Sale ²⁵
3 transactions for 12/22/21. Total proceeds and cost reported to the IRS.							
	500.000	143.39	09/10/21	326.81	...	-183.42	1 of 3 - Sale ²⁵

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Robinhood Securities LLC

Account 997271424

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

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02/11/2022

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ADVAXIS INC COMMON STOCK / CUSIP: 007624307 / Symbol: (cont'd)							
	300.000	86.03	09/10/21	196.09	...	-110.06	2 of 3 - Sale ²⁵
	200.000	57.36	09/10/21	130.73	...	-73.37	3 of 3 - Sale ²⁵
12/22/21	1,000.000	286.78	Various	653.63	...	-366.85	Total of 3 transactions
	Security total:	818.05		1,248.53	63.63 W	-366.85	
AETHLON MEDICAL, INC. COMMON STOCK / CUSIP: 00808Y307 / Symbol:							
02/09/21	25.000	75.03	01/29/21	71.68	...	3.35	Sale ²⁵
AERPIO PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 00810B105 / Symbol:							
2 transactions for 05/19/21. Total proceeds and cost reported to the IRS.							
	5.000	10.05	03/23/21	9.85	...	0.20	1 of 2 - Sale ²⁵
	95.000	190.94	03/23/21	186.94	...	4.00	2 of 2 - Sale ²⁵
05/19/21	100.000	200.99	Various	196.79	...	4.20	Total of 2 transactions
ALSET EHOME INTERNATIONAL INC. COMMON STOCK / CUSIP: 02116A104 / Symbol:							
2 transactions for 08/06/21. Total proceeds and cost reported to the IRS.							
	100.000	197.99	08/06/21	205.50	...	-7.51	1 of 2 - Sale ²⁵
	50.000	98.99	08/06/21	102.75	...	-3.76	2 of 2 - Sale ²⁵
08/06/21	150.000	296.98	Various	308.25	...	-11.27	Total of 2 transactions
09/08/21	100.000	258.99	09/07/21	248.85	...	10.14	Sale ²⁵
	Security total:	555.97		557.10	...	-1.13	
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
2 transactions for 02/23/21. Total proceeds and cost reported to the IRS.							
	0.007	0.85	09/04/20	0.85	...	0.00	1 of 2 - Sale ²⁵
	2.993	356.15	09/04/20	352.38	...	3.77	2 of 2 - Sale ²⁵
02/23/21	3.000	357.00	Various	353.23	...	3.77	Total of 2 transactions
ARTIUS ACQUISITION INC. CLASS A COMMON STOCK / CUSIP: 04316G105 / Symbol:							
02/23/21	22.000	261.25	02/18/21	285.12	...	-23.87	Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

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(continued)

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ASHFORD HOSPITALITY TRUST, INC. / CUSIP: 044103869 / Symbol:							
<i>7 transactions for 08/13/21. Total proceeds and cost reported to the IRS.</i>							
	9.500	130.24	06/08/21	592.72	...	-462.48	1 of 7 - Sale ²⁵
	2.500	34.27	06/11/21	153.73	...	-119.46	2 of 7 - Sale ²⁵
	10.000	137.10	06/18/21	515.50	...	-378.40	3 of 7 - Sale ²⁵
	5.000	68.55	06/18/21	260.89	...	-192.34	4 of 7 - Sale ²⁵
	5.000	68.55	06/22/21	232.36	...	-163.81	5 of 7 - Sale ²⁵
	5.000	68.55	06/24/21	232.36	...	-163.81	6 of 7 - Sale ²⁵
	10.000	137.10	06/29/21	484.75	...	-347.65	7 of 7 - Sale ²⁵
08/13/21	47.000	644.36	Various	2,472.31	...	-1,827.95	Total of 7 transactions
ASHFORD HOSPITALITY TRUST, INC. / CUSIP: 044103877 / Symbol:							
05/18/21	50.000	162.99	05/05/21	145.00	...	17.99	Sale ²⁵
05/18/21	150.000	488.98	05/03/21	504.00	15.02 W	0.00	Sale ²⁵
<i>2 transactions for 05/28/21. Total proceeds and cost reported to the IRS.</i>							
	100.000	398.29	05/19/21	311.70	...	86.59	1 of 2 - Sale ²⁵
	50.000	199.14	05/26/21	185.99	...	13.15	2 of 2 - Sale ²⁵
05/28/21	150.000	597.43	Various	497.69	...	99.74	Total of 2 transactions
<i>3 transactions for 06/08/21. Total proceeds and cost reported to the IRS.</i>							
	100.000	535.99	05/28/21	405.00	...	130.99	1 of 3 - Sale ²⁵
	500.000	2,679.92	06/01/21	2,269.00	...	410.92	2 of 3 - Sale ²⁵
	150.000	938.97	06/08/21	935.88	...	3.09	3 of 3 - Sale ²⁵
06/08/21	750.000	4,154.88	Various	3,609.88	...	545.00	Total of 3 transactions
	Security total:	5,404.28		4,756.57	15.02 W	662.73	
ASSERTIO HOLDINGS, INC. COMMON STOCK / CUSIP: 04546C205 / Symbol:							
<i>2 transactions for 05/27/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	1.82	05/26/21	1.77	...	0.05	1 of 2 - Sale ²⁵
	199.000	361.07	05/26/21	351.57	...	9.50	2 of 2 - Sale ²⁵
05/27/21	200.000	362.89	Various	353.34	...	9.55	Total of 2 transactions
ATHERSYS, INC. COMMON STOCK / CUSIP: 04744L106 / Symbol:							
02/09/21	5.000	13.93	11/27/20	9.33	...	4.60	Sale ²⁵

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2021 1099-B* OMB No. 1545-0715

(continued)

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ATLAS CREST INVESTMENT CORP. / CUSIP: 049284102 / Symbol:							
02/23/21	25.000	297.81	02/18/21	450.75	...	-152.94	Sale ²⁵
ATOSSA THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 04962H506 / Symbol:							
05/05/21	100.000	251.09	05/03/21	246.00	...	5.09	Sale ²⁵
AYRO, INC. COMMON STOCK / CUSIP: 054748108 / Symbol:							
02/10/21	10.000	100.00	11/27/20	90.60	...	9.40	Sale ²⁵
BALLARD POWER SYSTEMS, INC. CO MMON SHARES / CUSIP: 058586108 / Symbol:							
09/29/21	10.000	142.40	01/13/21	372.75	...	-230.35	Sale ²⁵
BIOLASE, INC. COMMON STOCK / CUSIP: 090911207 / Symbol:							
2 transactions for 10/01/21. Total proceeds and cost reported to the IRS.							
	100.000	60.39	02/10/21	137.00	...	-76.61	1 of 2 - Sale ²⁵
	100.000	60.39	08/13/21	88.61	...	-28.22	2 of 2 - Sale ²⁵
10/01/21	200.000	120.78	Various	225.61	...	-104.83	Total of 2 transactions
CAMBER ENERGY, INC / CUSIP: 13200M508 / Symbol:							
5 transactions for 09/02/21. Total proceeds and cost reported to the IRS.							
	200.000	135.98	08/27/21	96.78	...	39.20	1 of 5 - Sale ²⁵
	30.000	20.40	09/01/21	18.75	...	1.65	2 of 5 - Sale ²⁵
	250.000	169.97	09/01/21	154.95	...	15.02	3 of 5 - Sale ²⁵
	200.000	135.97	09/01/21	98.48	...	37.49	4 of 5 - Sale ²⁵
	200.000	135.97	09/01/21	98.40	...	37.57	5 of 5 - Sale ²⁵
09/02/21	880.000	598.29	Various	467.36	...	130.93	Total of 5 transactions
2 transactions for 09/02/21. Total proceeds and cost reported to the IRS.							
	50.000	33.99	02/24/21	91.25	57.26 W	0.00	1 of 2 - Sale ²⁵
	70.000	47.59	03/11/21	94.49	46.90 W	0.00	2 of 2 - Sale ²⁵
09/02/21	120.000	81.58	Various	185.74	104.16 W	0.00	Total of 2 transactions
3 transactions for 09/03/21. Total proceeds and cost reported to the IRS.							
	50.000	34.04	09/02/21	92.14	58.10 W	0.00	1 of 3 - Sale ²⁵
	70.000	47.66	09/02/21	95.72	48.06 W	0.00	2 of 3 - Sale ²⁵
	880.000	599.17	09/02/21	613.80	14.63 W	0.00	3 of 3 - Sale ²⁵
09/03/21	1,000.000	680.87	Various	801.66	120.79 W	0.00	Total of 3 transactions

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CAMBER ENERGY, INC / CUSIP: 13200M508 / Symbol: (cont'd)							
2 transactions for 09/09/21. Total proceeds and cost reported to the IRS.							
	380.000	493.95	09/07/21	380.65	...	113.30	1 of 2 - Sale ²⁵
	200.000	259.98	09/09/21	203.80	...	56.18	2 of 2 - Sale ²⁵
09/09/21	580.000	753.93	Various	584.45	...	169.48	Total of 2 transactions
2 transactions for 09/09/21. Total proceeds and cost reported to the IRS.							
	50.000	64.99	09/07/21	107.36	42.37 W	0.00	1 of 2 - Sale ²⁵
	70.000	90.99	09/07/21	117.02	26.03 W	0.00	2 of 2 - Sale ²⁵
09/09/21	120.000	155.98	Various	224.38	68.40 W	0.00	Total of 2 transactions
2 transactions for 09/10/21. Total proceeds and cost reported to the IRS.							
	80.000	112.84	09/09/21	84.71	...	28.13	1 of 2 - Sale ²⁵
	300.000	423.17	09/09/21	305.71	...	117.46	2 of 2 - Sale ²⁵
09/10/21	380.000	536.01	Various	390.42	...	145.59	Total of 2 transactions
2 transactions for 09/10/21. Total proceeds and cost reported to the IRS.							
	50.000	70.53	09/09/21	95.32	24.79 W	0.00	1 of 2 - Sale ²⁵
	70.000	98.74	09/09/21	100.15	1.41 W	0.00	2 of 2 - Sale ²⁵
09/10/21	120.000	169.27	Various	195.47	26.20 W	0.00	Total of 2 transactions
23 transactions for 10/06/21. Total proceeds and cost reported to the IRS.							
	200.000	231.97	09/10/21	304.00	...	-72.03	1 of 23 - Sale ²⁵
	200.000	231.97	09/10/21	282.00	...	-50.03	2 of 23 - Sale ²⁵
	50.000	57.99	09/10/21	99.29	...	-41.30	3 of 23 - Sale ²⁵
	80.000	92.79	09/10/21	129.82	...	-37.03	4 of 23 - Sale ²⁵
	100.000	115.99	09/10/21	145.00	...	-29.01	5 of 23 - Sale ²⁵
	100.000	115.99	09/10/21	145.00	...	-29.01	6 of 23 - Sale ²⁵
	100.000	115.99	09/10/21	138.00	...	-22.01	7 of 23 - Sale ²⁵
	50.000	57.99	09/10/21	75.51	...	-17.52	8 of 23 - Sale ²⁵
	20.000	23.20	09/10/21	32.86	...	-9.66	9 of 23 - Sale ²⁵
	100.000	115.99	09/13/21	131.95	...	-15.96	10 of 23 - Sale ²⁵
	143.000	165.86	09/15/21	234.52	...	-68.66	11 of 23 - Sale ²⁵
	7.000	8.12	09/15/21	11.48	...	-3.36	12 of 23 - Sale ²⁵
	100.000	115.99	09/16/21	171.00	...	-55.01	13 of 23 - Sale ²⁵
	50.000	57.99	09/16/21	83.50	...	-25.51	14 of 23 - Sale ²⁵
	50.000	57.99	09/16/21	82.50	...	-24.51	15 of 23 - Sale ²⁵
	49.000	56.83	09/16/21	80.85	...	-24.02	16 of 23 - Sale ²⁵

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Robinhood Securities LLC

Account 997271424

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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CAMBER ENERGY, INC / CUSIP: 13200M508 / Symbol: (cont'd)							
	1.000	1.16	09/16/21	1.65	...	-0.49	17 of 23 - Sale ²⁵
	100.000	115.99	09/17/21	165.00	...	-49.01	18 of 23 - Sale ²⁵
	100.000	115.99	09/20/21	148.77	...	-32.78	19 of 23 - Sale ²⁵
	100.000	115.99	09/22/21	156.00	...	-40.01	20 of 23 - Sale ²⁵
	100.000	115.99	09/28/21	301.80	...	-185.81	21 of 23 - Sale ²⁵
	200.000	231.97	10/01/21	644.00	...	-412.03	22 of 23 - Sale ²⁵
	100.000	115.99	10/05/21	175.64	...	-59.65	23 of 23 - Sale ²⁵
10/06/21	2,100.000	2,435.73	Various	3,740.14	...	-1,304.41	Total of 23 transactions
	Security total:	5,411.66		6,589.62	319.55 W	-858.41	
CANCER GENETICS, INC. COMMON S TOCK / CUSIP: 13739U203 / Symbol:							
02/23/21	3.000	13.53	02/11/21	21.84	...	-8.31	Sale ²⁵
CAPRICOR THERAPEUTICS, INC. CO MMON STOCK / CUSIP: 14070B309 / Symbol:							
3 transactions for 01/26/21. Total proceeds and cost reported to the IRS.							
	0.010	0.06	01/12/21	0.06	...	0.00	1 of 3 - Sale ²⁵
	0.088	0.54	01/12/21	0.51	...	0.03	2 of 3 - Sale ²⁵
	5.990	36.78	01/12/21	34.86	...	1.92	3 of 3 - Sale ²⁵
01/26/21	6.088	37.38	Various	35.43	...	1.95	Total of 3 transactions
CASI PHARMACEUTICALS, INC. COM MON STOCK / CUSIP: 14757U109 / Symbol:							
04/28/21	20.000	38.60	04/26/21	37.40	...	1.20	Sale ²⁵
CBAK ENERGY TECHNOLOGY, INC. C OMMON STOCK / CUSIP: 14986C102 / Symbol:							
09/10/21	100.000	281.99	06/08/21	485.99	...	-204.00	Sale ²⁵
CEL-SCI CORPORATION / CUSIP: 150837607 / Symbol:							
07/08/21	100.000	855.24	07/07/21	845.64	...	9.60	Sale ²⁵
CHURCHILL CAPITAL CORP IV / CUSIP: 171439102 / Symbol:							
2 transactions for 02/23/21. Total proceeds and cost reported to the IRS.							
	4.000	129.54	02/03/21	133.54	...	-4.00	1 of 2 - Sale ²⁵
	1.000	32.39	02/18/21	59.65	...	-27.26	2 of 2 - Sale ²⁵
02/23/21	5.000	161.93	Various	193.19	...	-31.26	Total of 2 transactions

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Robinhood Securities LLC

Account 997271424

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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CLEVELAND-CLIFFS INC. / CUSIP: 185899101 / Symbol:							
02/23/21	1.000	16.05	07/13/20	5.74	...	10.31	Sale ²⁵
CLOVER HEALTH INVESTMENTS, COR P. CLASS A COMMON STOCK / CUSIP: 18914F103 / Symbol:							
4 transactions for 04/28/21. Total proceeds and cost reported to the IRS.							
	10.000	107.00	02/04/21	127.85	...	-20.85	1 of 4 - Sale ²⁵
	5.000	53.50	02/04/21	65.14	...	-11.64	2 of 4 - Sale ²⁵
	5.000	53.50	02/24/21	51.80	...	1.70	3 of 4 - Sale ²⁵
	10.000	107.00	04/16/21	75.25	...	31.75	4 of 4 - Sale ²⁵
04/28/21	30.000	321.00	Various	320.04	...	0.96	Total of 4 transactions
CLOUDFLARE, INC. CLASS A COMMON STOCK, PAR VALUE \$0.001 PER SHARE / CUSIP: 18915M107 / Symbol:							
03/02/21	2.000	151.27	03/01/21	150.70	...	0.57	Sale ²⁵
COMSOVEREIGN HOLDING CORP. COMMON STOCK / CUSIP: 205650203 / Symbol:							
03/16/21	50.000	162.00	03/11/21	158.00	...	4.00	Sale ²⁵
CONTEXT THERAPEUTICS INC. COMMON STOCK / CUSIP: 21077P108 / Symbol:							
2 transactions for 11/30/21. Total proceeds and cost reported to the IRS.							
	50.000	316.99	10/27/21	325.50	...	-8.51	1 of 2 - Sale ²⁵
	50.000	316.99	11/02/21	304.50	...	12.49	2 of 2 - Sale ²⁵
11/30/21	100.000	633.98	Various	630.00	...	3.98	Total of 2 transactions
DATCHAT, INC. COMMON STOCK / CUSIP: 23816M107 / Symbol:							
3 transactions for 11/23/21. Total proceeds and cost reported to the IRS.							
	10.000	44.36	09/29/21	148.50	...	-104.14	1 of 3 - Sale ²⁵
	5.000	22.18	09/29/21	73.05	...	-50.87	2 of 3 - Sale ²⁵
	15.000	66.53	09/30/21	202.05	...	-135.52	3 of 3 - Sale ²⁵
11/23/21	30.000	133.07	Various	423.60	...	-290.53	Total of 3 transactions
EHANG HOLDINGS LIMITED ADS / CUSIP: 26853E102 / Symbol:							
05/18/21	5.000	119.40	05/06/21	118.90	...	0.50	Sale ²⁵
05/26/21	2.000	49.22	05/25/21	48.38	...	0.84	Sale ²⁵
	Security total:	168.62		167.28	...	1.34	

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Account 997271424

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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ELECTRAMECCANICA VEHICLES CORP . LTD. COMMON STOCK / CUSIP: 284849205 / Symbol:							
2 transactions for 02/09/21. Total proceeds and cost reported to the IRS.							
	10.000	87.61	11/25/20	89.65	...	-2.04	1 of 2 - Sale ²⁵
	6.000	52.57	11/25/20	49.38	...	3.19	2 of 2 - Sale ²⁵
02/09/21	16.000	140.18	Various	139.03	...	1.15	Total of 2 transactions
ENERGY TRANSFER LP COMMON UNIT S REPRESENTING LIMITED PARTNER INTERESTS / CUSIP: 29273V100 / Symbol:							
04/28/21	100.000	870.30	04/27/21	836.78	...	33.52	Sale ²⁵
EXELA TECHNOLOGIES, INC. COMMON STOCK / CUSIP: 30162V409 / Symbol:							
07/12/21	100.000	329.99	07/08/21	319.50	...	10.49	Sale ²⁵
09/02/21	150.000	381.73	08/31/21	383.96	2.23 W	0.00	Sale ²⁵
2 transactions for 11/23/21. Total proceeds and cost reported to the IRS.							
	150.000	198.22	09/07/21	390.73	...	-192.51	1 of 2 - Sale ²⁵
	100.000	132.15	09/07/21	259.00	...	-126.85	2 of 2 - Sale ²⁵
11/23/21	250.000	330.37	Various	649.73	...	-319.36	Total of 2 transactions
	Security total:	1,042.09		1,353.19	2.23 W	-308.87	
EZFILL HOLDINGS, INC. COMMON S TOCK / CUSIP: 302314208 / Symbol:							
10/11/21	150.000	635.97	10/08/21	526.50	...	109.47	Sale ²⁵
FISKER INC. / CUSIP: 33813J106 / Symbol:							
02/23/21	5.000	80.10	01/27/21	79.45	...	0.65	Sale ²⁵
FORD MOTOR COMPANY / CUSIP: 345370860 / Symbol:							
03/23/21	20.000	243.02	02/24/21	241.94	...	1.08	Sale ²⁵
FORESIGHT AUTONOMOUS HOLDINGS LTD. AMERICAN DEPOSITARY SHARE S / CUSIP: 345523104 / Symbol:							
09/10/21	50.000	156.00	08/25/21	258.48	...	-102.48	Sale ²⁵
09/10/21	100.000	312.04	06/08/21	484.00	85.98 W	-85.98	Sale ²⁵
	Security total:	468.04		742.48	85.98 W	-188.46	
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol:							
5 transactions for 02/23/21. Total proceeds and cost reported to the IRS.							
	0.249	3.83	11/24/20	2.28	...	1.55	1 of 5 - Sale ²⁵
	1.751	26.63	11/24/20	16.01	...	10.62	2 of 5 - Sale ²⁵

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Account 997271424

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
	0.006	0.09	12/08/20	0.05	...	0.04	3 of 5 - Sale ²⁵
	0.243	3.70	12/08/20	2.10	...	1.60	4 of 5 - Sale ²⁵
	9.000	136.89	12/08/20	77.85	...	59.04	5 of 5 - Sale ²⁵
02/23/21	11.249	171.14	Various	98.29	...	72.85	Total of 5 transactions
FUBOTV INC. / CUSIP: 35953D104 / Symbol:							
4 transactions for 02/01/21. Total proceeds and cost reported to the IRS.							
	0.095	4.26	12/17/20	3.31	...	0.95	1 of 4 - Sale ²⁵
	0.209	9.35	12/17/20	7.27	...	2.08	2 of 4 - Sale ²⁵
	1.154	51.64	12/17/20	40.15	...	11.49	3 of 4 - Sale ²⁵
	1.846	82.62	12/17/20	64.25	...	18.37	4 of 4 - Sale ²⁵
02/01/21	3.304	147.87	Various	114.98	...	32.89	Total of 4 transactions
3 transactions for 02/01/21. Total proceeds and cost reported to the IRS.							
	0.633	28.32	12/22/20	36.06	7.74 W	0.00	1 of 3 - Sale ²⁵
	0.004	0.19	12/22/20	0.24	0.05 W	0.00	2 of 3 - Sale ²⁵
	2.000	89.50	12/22/20	113.70	24.20 W	0.00	3 of 3 - Sale ²⁵
02/01/21	2.637	118.01	Various	150.00	31.99 W	0.00	Total of 3 transactions
3 transactions for 04/20/21. Total proceeds and cost reported to the IRS.							
	0.004	0.08	02/09/21	0.25	0.17 W	0.00	1 of 3 - Sale ²⁵
	0.633	12.06	02/09/21	37.67	25.61 W	0.00	2 of 3 - Sale ²⁵
	0.363	6.91	02/09/21	21.55	14.64 W	0.00	3 of 3 - Sale ²⁵
04/20/21	1.000	19.05	Various	59.47	40.42 W	0.00	Total of 3 transactions
11 transactions for 05/28/21. Total proceeds and cost reported to the IRS.							
	0.633	15.63	04/16/21	37.64	...	-22.01	1 of 11 - Sale ²⁵
	0.363	8.96	04/16/21	21.54	...	-12.58	2 of 11 - Sale ²⁵
	0.004	0.10	04/16/21	0.25	...	-0.15	3 of 11 - Sale ²⁵
	4.000	98.80	04/16/21	76.04	...	22.76	4 of 11 - Sale ²⁵
	4.000	98.80	04/16/21	75.99	...	22.81	5 of 11 - Sale ²⁵
	15.000	370.50	04/16/21	285.90	...	84.60	6 of 11 - Sale ²⁵
	1.637	40.44	05/04/21	87.10	...	-46.66	7 of 11 - Sale ²⁵
	2.363	58.36	05/04/21	97.10	...	-38.74	8 of 11 - Sale ²⁵
	5.000	123.50	05/04/21	161.43	...	-37.93	9 of 11 - Sale ²⁵
	4.000	98.80	05/04/21	129.02	...	-30.22	10 of 11 - Sale ²⁵

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Account 997271424

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2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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FUBOTV INC. / CUSIP: 35953D104 / Symbol: (cont'd)							
	12.000	296.40	05/04/21	222.06	...	74.34	11 of 11 - Sale ²⁵
05/28/21	49.000	1,210.29	Various	1,194.07	...	16.22	Total of 11 transactions
	4 transactions for 05/28/21. Total proceeds and cost reported to the IRS.						
	1.637	40.44	02/09/21	97.24	56.80 W	0.00	1 of 4 - Sale ²⁵
	2.363	58.36	02/09/21	111.74	53.38 W	0.00	2 of 4 - Sale ²⁵
	5.000	123.50	02/24/21	192.25	55.00 W	-13.75	3 of 4 - Sale ²⁵
	5.000	123.50	02/24/21	192.40	68.90 W	0.00	4 of 4 - Sale ²⁵
05/28/21	14.000	345.80	Various	593.63	234.08 W	-13.75	Total of 4 transactions
08/11/21	10.000	320.61	07/08/21	285.01	...	35.60	Sale ²⁵
	Security total:	2,161.63		2,397.16	306.49 W	70.96	
FUTU HOLDINGS LIMITED AMERICAN DEPOSITARY SHARES / CUSIP: 36118L106 / Symbol:							
	2 transactions for 12/22/21. Total proceeds and cost reported to the IRS.						
	1.000	40.37	07/08/21	134.39	...	-94.02	1 of 2 - Sale ²⁵
	2.000	80.75	11/12/21	116.65	...	-35.90	2 of 2 - Sale ²⁵
12/22/21	3.000	121.12	Various	251.04	...	-129.92	Total of 2 transactions
GENERAL MOTORS COMPANY / CUSIP: 37045V100 / Symbol:							
03/02/21	6.000	322.50	01/19/21	319.11	...	3.39	Sale ²⁵
GENETIC TECHNOLOGIES LTD ADS / CUSIP: 37185R307 / Symbol:							
09/10/21	100.000	336.36	06/09/21	470.85	...	-134.49	Sale ²⁵
HALL OF FAME RESORT & ENTERTAINMENT COMPANY COMMON STOCK / CUSIP: 40619L102 / Symbol:							
11/23/21	100.000	210.16	06/08/21	460.00	...	-249.84	Sale ²⁵
HECLA MINING COMPANY / CUSIP: 422704106 / Symbol:							
02/23/21	1.000	6.46	01/20/21	5.38	...	1.08	Sale ²⁵
HUTTIG BUILDING PRODUCTS, INC. COMMON STOCK / CUSIP: 448451104 / Symbol:							
08/02/21	50.000	319.00	07/12/21	284.11	...	34.89	Sale ²⁵

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IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol:							
02/23/21	2.000	5.78	11/27/20	5.95	0.17 W	0.00	Sale ²⁵
	<i>2 transactions for 03/01/21. Total proceeds and cost reported to the IRS.</i>						
	2.000	7.52	02/24/21	7.21	...	0.31	1 of 2 - Sale ²⁵
	98.000	368.47	02/24/21	344.91	...	23.56	2 of 2 - Sale ²⁵
03/01/21	100.000	375.99	Various	352.12	...	23.87	Total of 2 transactions
	Security total:	381.77		358.07	0.17 W	23.87	
INSPIREMD INC. COMMON STOCK / CUSIP: 45779A846 / Symbol:							
	<i>3 transactions for 09/29/21. Total proceeds and cost reported to the IRS.</i>						
	4.000	17.03	02/08/21	50.50	...	-33.47	1 of 3 - Sale ²⁵
	10.000	42.57	07/09/21	48.06	...	-5.49	2 of 3 - Sale ²⁵
	20.000	85.14	09/14/21	83.15	...	1.99	3 of 3 - Sale ²⁵
09/29/21	34.000	144.74	Various	181.71	...	-36.97	Total of 3 transactions
INPIXON COMMON STOCK / CUSIP: 45790J800 / Symbol:							
	<i>6 transactions for 09/01/21. Total proceeds and cost reported to the IRS.</i>						
	70.000	72.09	03/01/21	107.02	...	-34.93	1 of 6 - Sale ²⁵
	30.000	30.90	08/26/21	45.27	...	-14.37	2 of 6 - Sale ²⁵
	43.000	44.28	08/26/21	43.43	...	0.85	3 of 6 - Sale ²⁵
	227.000	233.78	08/26/21	229.27	...	4.51	4 of 6 - Sale ²⁵
	100.000	102.99	08/27/21	99.70	...	3.29	5 of 6 - Sale ²⁵
	400.000	411.95	08/27/21	398.80	...	13.15	6 of 6 - Sale ²⁵
09/01/21	870.000	895.99	Various	923.49	...	-27.50	Total of 6 transactions
09/01/21	30.000	30.90	03/01/21	45.87	14.97 W	0.00	Sale ²⁵
	Security total:	926.89		969.36	14.97 W	-27.50	
JAGUAR HEALTH, INC. COMMON STO CK / CUSIP: 47010C409 / Symbol:							
06/03/21	150.000	273.73	06/02/21	271.16	...	2.57	Sale ²⁵
KELSO TECHNOLOGIES INC. / CUSIP: 48826D201 / Symbol:							
	<i>2 transactions for 09/29/21. Total proceeds and cost reported to the IRS.</i>						
	100.000	62.31	02/09/21	127.00	...	-64.69	1 of 2 - Sale ²⁵
	50.000	31.15	02/09/21	64.50	...	-33.35	2 of 2 - Sale ²⁵
09/29/21	150.000	93.46	Various	191.50	...	-98.04	Total of 2 transactions

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Robinhood Securities LLC

Account 997271424

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LI AUTO INC. AMERICAN DEPOSITA RY SHARES / CUSIP: 50202M102 / Symbol:							
01/13/21	5.000	176.10	01/13/21	175.73	...	0.37	Sale ²⁵
02/10/21	5.000	161.56	01/29/21	159.95	...	1.61	Sale ²⁵
02/10/21	7.000	226.17	01/19/21	239.54	9.55 W	-3.82	Sale ²⁵
06/28/21	5.000	166.33	01/29/21	169.50	...	-3.17	Sale ²⁵
	Security total:	730.16		744.72	9.55 W	-5.01	
LIVENT CORPORATION / CUSIP: 53814L108 / Symbol:							
01/13/21	10.000	214.15	01/13/21	211.75	...	2.40	Sale ²⁵
02/23/21	10.000	170.75	01/19/21	228.20	...	-57.45	Sale ²⁵
	Security total:	384.90		439.95	...	-55.05	
MAGNITE, INC. COMMON STOCK / CUSIP: 55955D100 / Symbol:							
08/06/21	15.000	533.51	06/17/21	479.51	...	54.00	Sale ²⁵
MARPAI, INC. CLASS A COMMON ST OCK / CUSIP: 571354109 / Symbol:							
12/29/21	25.000	116.25	12/22/21	112.75	...	3.50	Sale ²⁵
MEDAVAIL HOLDINGS, INC. COMMON STOCK / CUSIP: 58406B103 / Symbol:							
08/31/21	100.000	341.99	08/30/21	336.00	...	5.99	Sale ²⁵
META MATERIALS INC. COMMON STO CK / CUSIP: 59134N104 / Symbol:							
09/02/21	100.000	507.19	08/30/21	532.49	...	-25.30	Sale ²⁵
MICROVISION, INC. COMMON STOCK / CUSIP: 594960304 / Symbol:							
02/23/21	5.000	82.50	02/05/21	56.58	...	25.92	Sale ²⁵
03/01/21	10.000	173.62	02/25/21	162.86	...	10.76	Sale ²⁵
	Security total:	256.12		219.44	...	36.68	
NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE C LASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
02/23/21	10.000	425.90	01/19/21	589.30	147.06 W	-16.34	Sale ²⁵
04/26/21	80.000	3,305.57	04/23/21	3,247.80	...	57.77	Sale ²⁵
	<i>3 transactions for 04/26/21. Total proceeds and cost reported to the IRS.</i>						
	3.000	123.96	03/01/21	198.72	74.76 W	0.00	1 of 3 - Sale ²⁵
	3.000	123.96	03/25/21	160.32	36.36 W	0.00	2 of 3 - Sale ²⁵
	3.000	123.96	03/25/21	160.17	36.21 W	0.00	3 of 3 - Sale ²⁵
04/26/21	9.000	371.88	Various	519.21	147.33 W	0.00	Total of 3 transactions

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Robinhood Securities LLC

Account 997271424

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
2 transactions for 04/30/21. Total proceeds and cost reported to the IRS.							
	25.000	1,014.53	04/29/21	977.25	...	37.28	1 of 2 - Sale ²⁵
	41.000	1,663.82	04/29/21	1,624.01	...	39.81	2 of 2 - Sale ²⁵
04/30/21	66.000	2,678.35	Various	2,601.26	...	77.09	Total of 2 transactions
3 transactions for 04/30/21. Total proceeds and cost reported to the IRS.							
	3.000	121.74	04/29/21	193.59	71.85 W	0.00	1 of 3 - Sale ²⁵
	3.000	121.74	04/29/21	155.19	33.45 W	0.00	2 of 3 - Sale ²⁵
	3.000	121.74	04/29/21	155.04	33.30 W	0.00	3 of 3 - Sale ²⁵
04/30/21	9.000	365.22	Various	503.82	138.60 W	0.00	Total of 3 transactions
6 transactions for 05/28/21. Total proceeds and cost reported to the IRS.							
	3.000	116.70	05/04/21	185.28	...	-68.58	1 of 6 - Sale ²⁵
	3.000	116.70	05/04/21	146.88	...	-30.18	2 of 6 - Sale ²⁵
	3.000	116.70	05/04/21	146.73	...	-30.03	3 of 6 - Sale ²⁵
	16.000	622.39	05/04/21	604.96	...	17.43	4 of 6 - Sale ²⁵
	25.000	972.49	05/04/21	943.48	...	29.01	5 of 6 - Sale ²⁵
	25.000	972.49	05/04/21	926.25	...	46.24	6 of 6 - Sale ²⁵
05/28/21	75.000	2,917.47	Various	2,953.58	...	-36.11	Total of 6 transactions
	Security total:	10,064.39		10,414.97	432.99 W	82.41	
NETFLIX, INC. COMMON STOCK / CUSIP: 64110L106 / Symbol:							
01/13/21	3.000	1,486.37	09/04/20	1,513.20	...	-26.83	Sale ²⁵
NEW PROVIDENCE ACQUISITION CORP. CLASS A COMMON STOCK / CUSIP: 64822P106 / Symbol:							
02/23/21	1.000	14.24	02/09/21	24.25	...	-10.01	Sale ²⁵
NOKIA CORPORATION / CUSIP: 654902204 / Symbol:							
04/29/21	5.000	23.91	01/29/21	22.90	...	1.01	Sale ²⁵
NORTHERN DYNASTY MINERALS, LTD. / CUSIP: 66510M204 / Symbol:							
02/09/21	25.000	18.58	02/01/21	18.39	...	0.19	Sale ²⁵
NOVABAY PHARMACEUTICALS, INC. / CUSIP: 66987P201 / Symbol:							
02/23/21	50.000	59.00	02/08/21	60.00	...	-1.00	Sale ²⁵

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Robinhood Securities LLC

Account 997271424

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol:							
05/05/21	2.000	361.53	05/04/21	349.55	...	11.98	Sale ²⁵
NVIDIA CORPORATION COMMON STOC K / CUSIP: 67066G104 / Symbol:							
2 transactions for 01/15/21. Total proceeds and cost reported to the IRS.							
	0.196	102.48	09/04/20	101.51	...	0.97	1 of 2 - Sale ²⁵
	1.804	945.61	09/04/20	884.84	...	60.77	2 of 2 - Sale ²⁵
01/15/21	2.000	1,048.09	Various	986.35	...	61.74	Total of 2 transactions
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol:							
3 transactions for 09/02/21. Total proceeds and cost reported to the IRS.							
	10.000	76.11	05/04/21	128.40	...	-52.29	1 of 3 - Sale ²⁵
	15.000	114.16	06/21/21	111.58	...	2.58	2 of 3 - Sale ²⁵
	50.000	380.55	08/30/21	368.98	...	11.57	3 of 3 - Sale ²⁵
09/02/21	75.000	570.82	Various	608.96	...	-38.14	Total of 3 transactions
ONCONOVA THERAPEUTICS, INC. CO MMON STOCK / CUSIP: 68232V405 / Symbol:							
02/23/21	5.000	5.65	02/03/21	4.41	...	1.24	Sale ²⁵
ONCONOVA THERAPEUTICS, INC. CO MMON STOCK / CUSIP: 68232V801 / Symbol:							
2 transactions for 10/06/21. Total proceeds and cost reported to the IRS.							
	6.667	23.67	02/24/21	166.01	...	-142.34	1 of 2 - Sale ²⁵
	13.333	47.33	05/20/21	178.26	...	-130.93	2 of 2 - Sale ²⁵
10/06/21	20.000	71.00	Various	344.27	...	-273.27	Total of 2 transactions
OPKO HEALTH, INC. COMMON STOCK / CUSIP: 68375N103 / Symbol:							
08/12/21	100.000	375.49	06/16/21	374.58	...	0.91	Sale ²⁵
ORAGENICS INC. / CUSIP: 684023302 / Symbol:							
2 transactions for 02/23/21. Total proceeds and cost reported to the IRS.							
	45.000	44.10	02/08/21	58.45	...	-14.35	1 of 2 - Sale ²⁵
	75.000	73.49	02/09/21	128.99	...	-55.50	2 of 2 - Sale ²⁵
02/23/21	120.000	117.59	Various	187.44	...	-69.85	Total of 2 transactions
PALATIN TECHNOLOGIES, INC. / CUSIP: 696077403 / Symbol:							
02/23/21	60.000	44.40	02/10/21	71.10	...	-26.70	Sale ²⁵

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(continued)

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PALANTIR TECHNOLOGIES INC. CLA SS A COMMON STOCK / CUSIP: 69608A108 / Symbol:							
3 transactions for 01/15/21. Total proceeds and cost reported to the IRS.							
	1.727	47.20	12/22/20	49.98	...	-2.78	1 of 3 - Sale ²⁵
	0.001	0.02	12/22/20	0.02	...	0.00	2 of 3 - Sale ²⁵
	10.000	273.30	01/13/21	268.50	...	4.80	3 of 3 - Sale ²⁵
01/15/21	11.728	320.52	Various	318.50	...	2.02	Total of 3 transactions
PAVMED INC. COMMON STOCK / CUSIP: 70387R106 / Symbol:							
4 transactions for 02/22/21. Total proceeds and cost reported to the IRS.							
	9.000	35.46	02/10/21	24.21	...	11.25	1 of 4 - Sale ²⁵
	16.000	63.04	02/10/21	43.04	...	20.00	2 of 4 - Sale ²⁵
	10.000	39.40	02/11/21	30.45	...	8.95	3 of 4 - Sale ²⁵
	75.000	295.49	02/11/21	243.38	...	52.11	4 of 4 - Sale ²⁵
02/22/21	110.000	433.39	Various	341.08	...	92.31	Total of 4 transactions
08/02/21	5.000	34.40	07/09/21	29.55	...	4.85	Sale ²⁵
08/12/21	25.000	186.53	08/11/21	173.44	...	13.09	Sale ²⁵
08/25/21	25.000	175.33	08/11/21	173.44	...	1.89	Sale ²⁵
	Security total:	829.65		717.51	...	112.14	
PERSHING SQUARE TONTINE HOLDIN GS, LTD. / CUSIP: 71531R109 / Symbol:							
11/23/21	25.000	503.37	06/17/21	566.61	...	-63.24	Sale ²⁵
PHIO PHARMACEUTICALS CORP. COM MON STOCK / CUSIP: 71880W303 / Symbol:							
09/28/21	100.000	204.00	05/07/21	262.00	...	-58.00	Sale ²⁵
PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol:							
5 transactions for 09/29/21. Total proceeds and cost reported to the IRS.							
	5.000	131.70	01/19/21	314.25	...	-182.55	1 of 5 - Sale ²⁵
	5.000	131.70	02/16/21	295.45	...	-163.75	2 of 5 - Sale ²⁵
	3.000	79.02	02/22/21	159.12	...	-80.10	3 of 5 - Sale ²⁵
	5.000	131.71	03/03/21	220.09	...	-88.38	4 of 5 - Sale ²⁵
	18.000	474.14	04/16/21	494.46	...	-20.32	5 of 5 - Sale ²⁵
09/29/21	36.000	948.27	Various	1,483.37	...	-535.10	Total of 5 transactions

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POLARITYTE, INC. COMMON STOCK / CUSIP: 731094108 / Symbol:							
2 transactions for 02/23/21. Total proceeds and cost reported to the IRS.							
	50.000	60.74	02/09/21	77.45	...	-16.71	1 of 2 - Sale ²⁵
	50.000	60.75	02/09/21	77.45	...	-16.70	2 of 2 - Sale ²⁵
02/23/21	100.000	121.49	Various	154.90	...	-33.41	Total of 2 transactions
PROFESSIONAL DIVERSITY NETWORK , INC. COMMON STOCK / CUSIP: 74312Y202 / Symbol:							
02/23/21	9.000	24.98	02/22/21	39.74	...	-14.76	Sale ²⁵
PROVENTION BIO, INC. COMMON STOCK / CUSIP: 74374N102 / Symbol:							
05/26/21	100.000	1,028.59	05/26/21	1,064.95	...	-36.36	Sale ²⁵
PURPLE BIOTECH LTD. AMERICAN DEPOSITARY SHARES / CUSIP: 74638P109 / Symbol:							
02/23/21	10.000	49.10	02/08/21	61.50	...	-12.40	Sale ²⁵
REGIONAL HEALTH PROPERTIES, INC. / CUSIP: 75903M309 / Symbol:							
05/03/21	100.000	1,403.98	05/03/21	1,164.63	...	239.35	Sale ²⁵
REMARK HOLDINGS, INC. COMMON STOCK / CUSIP: 75955K102 / Symbol:							
11/23/21	100.000	128.32	11/02/21	207.83	...	-79.51	Sale ²⁵
RILEY EXPLORATION PERMIAN, INC. / CUSIP: 76665T102 / Symbol:							
5 transactions for 06/21/21. Total proceeds and cost reported to the IRS.							
	4.111	217.22	02/22/21	224.90	...	-7.68	1 of 5 - Sale ²⁵
	0.139	7.35	02/22/21	7.60	...	-0.25	2 of 5 - Sale ²⁵
	8.500	449.11	02/24/21	445.00	...	4.11	3 of 5 - Sale ²⁵
	4.250	224.55	02/24/21	203.50	...	21.05	4 of 5 - Sale ²⁵
	0.139	7.33	05/10/21	4.76	...	2.57	5 of 5 - Sale ²⁵
06/21/21	17.139	905.56	Various	885.76	...	19.80	Total of 5 transactions
ROBINHOOD MARKETS, INC. CLASS A COMMON STOCK / CUSIP: 770700102 / Symbol:							
3 transactions for 08/03/21. Total proceeds and cost reported to the IRS.							
	1.000	43.59	07/29/21	38.00	...	5.59	1 of 3 - Sale ²⁵
	1.000	44.75	07/29/21	38.00	...	6.75	2 of 3 - Sale ²⁵
	2.000	89.50	07/29/21	76.00	...	13.50	3 of 3 - Sale ²⁵
08/03/21	4.000	177.84	Various	152.00	...	25.84	Total of 3 transactions

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ROOT, INC. CLASS A COMMON STOC K / CUSIP: 77664L108 / Symbol:							
09/09/21	200.000	1,246.47	09/09/21	1,349.66	...	-103.19	Sale ²⁵
SEACHANGE INTERNATIONAL, INC. COMMON STOCK / CUSIP: 811699107 / Symbol:							
04/19/21	100.000	103.42	04/16/21	96.01	...	7.41	Sale ²⁵
SENSEONICS HOLDINGS, INC. / CUSIP: 81727U105 / Symbol:							
02/23/21	50.000	139.65	01/28/21	122.95	...	16.70	Sale ²⁵
08/26/21	100.000	384.99	02/25/21	380.01	...	4.98	Sale ²⁵
	Security total:	524.64		502.96	...	21.68	
SESEN BIO, INC. COMMON STOCK / CUSIP: 817763105 / Symbol:							
10/20/21	50.000	65.75	09/10/21	49.21	...	16.54	Sale ²⁵
SHIFTPIXY, INC. COMMON STOCK / CUSIP: 82452L203 / Symbol:							
06/04/21	20.000	70.26	05/06/21	61.17	...	9.09	Sale ²⁵
SHIFT TECHNOLOGIES, INC. CLASS A COMMON STOCK / CUSIP: 82452T107 / Symbol:							
08/11/21	100.000	908.85	07/09/21	888.50	...	20.35	Sale ²⁵
SHINECO, INC. COMMON STOCK / CUSIP: 824567309 / Symbol:							
	2 transactions for 07/13/21. Total proceeds and cost reported to the IRS.						
	50.000	350.55	07/12/21	331.75	...	18.80	1 of 2 - Sale ²⁵
	50.000	350.92	07/12/21	331.75	...	19.17	2 of 2 - Sale ²⁵
07/13/21	100.000	701.47	Various	663.50	...	37.97	Total of 2 transactions
SIEBERT FINANCIAL CORP. COMMON STOCK / CUSIP: 826176109 / Symbol:							
09/10/21	200.000	731.97	08/23/21	919.16	...	-187.19	Sale ²⁵
SKILLZ INC. / CUSIP: 83067L109 / Symbol:							
05/18/21	5.000	83.85	05/04/21	83.59	...	0.26	Sale ²⁵
SOFI TECHNOLOGIES, INC. COMMON STOCK / CUSIP: 83406F102 / Symbol:							
08/04/21	50.000	791.49	08/04/21	791.00	...	0.49	Sale ²⁵

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SONIM TECHNOLOGIES, INC. COMMO N STOCK / CUSIP: 83548F101 / Symbol:							
2 transactions for 09/10/21. Total proceeds and cost reported to the IRS.							
	100.000	66.36	09/08/21	50.00	...	16.36	1 of 2 - Sale ²⁵
	200.000	132.71	09/08/21	100.00	...	32.71	2 of 2 - Sale ²⁵
09/10/21	300.000	199.07	Various	150.00	...	49.07	Total of 2 transactions
SONIM TECHNOLOGIES, INC. COMMO N STOCK / CUSIP: 83548F200 / Symbol:							
3 transactions for 11/23/21. Total proceeds and cost reported to the IRS.							
	20.000	29.43	09/10/21	128.00	...	-98.57	1 of 3 - Sale ²⁵
	25.000	36.78	09/16/21	92.50	...	-55.72	2 of 3 - Sale ²⁵
	10.000	14.71	09/16/21	36.80	...	-22.09	3 of 3 - Sale ²⁵
11/23/21	55.000	80.92	Various	257.30	...	-176.38	Total of 3 transactions
SOS LIMITED / CUSIP: 83587W106 / Symbol:							
02/11/21	10.000	65.20	02/02/21	31.90	...	33.30	Sale ²⁵
2 transactions for 02/26/21. Total proceeds and cost reported to the IRS.							
	50.000	243.50	02/24/21	387.50	...	-144.00	1 of 2 - Sale ²⁵
	50.000	243.50	02/25/21	303.00	...	-59.50	2 of 2 - Sale ²⁵
02/26/21	100.000	487.00	Various	690.50	...	-203.50	Total of 2 transactions
	Security total:	552.20		722.40	...	-170.20	
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol:							
8 transactions for 02/09/21. Total proceeds and cost reported to the IRS.							
	0.458	0.73	11/27/20	0.14	...	0.59	1 of 8 - Sale ²⁵
	6.542	10.37	11/27/20	2.05	...	8.32	2 of 8 - Sale ²⁵
	25.000	39.62	02/01/21	28.50	...	11.12	3 of 8 - Sale ²⁵
	0.532	0.84	02/04/21	0.62	...	0.22	4 of 8 - Sale ²⁵
	24.926	39.51	02/04/21	29.38	...	10.13	5 of 8 - Sale ²⁵
	25.000	39.62	02/08/21	30.75	...	8.87	6 of 8 - Sale ²⁵
	55.000	87.17	02/08/21	65.45	...	21.72	7 of 8 - Sale ²⁵
	150.000	237.74	02/08/21	186.00	...	51.74	8 of 8 - Sale ²⁵
02/09/21	287.458	455.60	Various	342.89	...	112.71	Total of 8 transactions
TARENA INTERNATIONAL, INC. AME RICAN DEPOSITARY SHARES / CUSIP: 876108101 / Symbol:							
08/10/21	100.000	180.99	08/09/21	180.00	...	0.99	Sale ²⁵

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Robinhood Securities LLC

Account 997271424

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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TELLURIAN INC. / CUSIP: 87968A104 / Symbol:							
09/27/21	100.000	407.99	06/21/21	406.50	...	1.49	Sale ²⁵
TENGASCO, INC. / CUSIP: 88033R502 / Symbol:							
2 transactions for 01/28/21. Total proceeds and cost reported to the IRS.							
	15.000	57.75	01/28/21	34.35	...	23.40	1 of 2 - Sale ²⁵
	25.000	96.25	01/28/21	65.00	...	31.25	2 of 2 - Sale ²⁵
01/28/21	40.000	154.00	Various	99.35	...	54.65	Total of 2 transactions
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
10 transactions for 01/25/21. Total proceeds and cost reported to the IRS.							
	0.005	4.36	12/09/20	3.33	...	1.03	1 of 10 - Sale ²⁵
	0.032	26.91	12/09/20	20.57	...	6.34	2 of 10 - Sale ²⁵
	0.032	26.88	12/09/20	20.38	...	6.50	3 of 10 - Sale ²⁵
	0.176	150.37	12/09/20	114.06	...	36.31	4 of 10 - Sale ²⁵
	0.234	199.89	12/09/20	152.48	...	47.41	5 of 10 - Sale ²⁵
	0.537	457.22	12/09/20	349.00	...	108.22	6 of 10 - Sale ²⁵
	0.820	699.06	12/09/20	500.00	...	199.06	7 of 10 - Sale ²⁵
	6.841	5,829.64	12/09/20	4,132.01	...	1,697.63	8 of 10 - Sale ²⁵
	0.317	270.45	12/17/20	200.00	...	70.45	9 of 10 - Sale ²⁵
	0.068	57.62	01/11/21	57.11	...	0.51	10 of 10 - Sale ²⁵
01/25/21	9.062	7,722.40	Various	5,548.94	...	2,173.46	Total of 10 transactions
01/25/21	0.178	151.37	01/08/21	154.63	3.26 W	0.00	Sale ²⁵
2 transactions for 02/23/21. Total proceeds and cost reported to the IRS.							
	8.822	5,629.22	01/25/21	7,628.31	...	-1,999.09	1 of 2 - Sale ²⁵
	0.058	36.69	01/25/21	50.79	...	-14.10	2 of 2 - Sale ²⁵
02/23/21	8.880	5,665.91	Various	7,679.10	...	-2,013.19	Total of 2 transactions
02/23/21	0.120	76.65	01/25/21	105.93	26.40 W	-2.88	Sale ²⁵
2 transactions for 05/26/21. Total proceeds and cost reported to the IRS.							
	0.108	66.81	05/19/21	113.49	...	-46.68	1 of 2 - Sale ²⁵
	1.892	1,166.94	05/19/21	1,052.54	...	114.40	2 of 2 - Sale ²⁵
05/26/21	2.000	1,233.75	Various	1,166.03	...	67.72	Total of 2 transactions
05/26/21	0.108	66.81	01/25/21	120.04	53.23 W	0.00	Sale ²⁵
	Security total:	14,916.89		14,774.67	82.89 W	225.11	

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Robinhood Securities LLC

Account 997271424

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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THE TRADE DESK, INC. CLASS A C OMMON STOCK / CUSIP: 88339J105 / Symbol:							
06/22/21	4.000	255.76	06/17/21	241.65	...	14.11	Sale ²⁵
07/23/21	1.000	80.12	06/17/21	60.41	...	19.71	Sale ²⁵
	Security total:	335.88		302.06	...	33.82	
TILRAY BRANDS, INC. CLASS 2 CO MMON STOCK / CUSIP: 88688T100 / Symbol:							
02/09/21	5.000	187.97	01/13/21	72.98	...	114.99	Sale ²⁵
TONIX PHARMACEUTICALS HOLDING CORP. COMMON STOCK / CUSIP: 890260706 / Symbol:							
<i>2 transactions for 02/23/21. Total proceeds and cost reported to the IRS.</i>							
	68.000	68.21	02/09/21	110.84	...	-42.63	1 of 2 - Sale ²⁵
	50.000	50.15	02/09/21	81.45	...	-31.30	2 of 2 - Sale ²⁵
02/23/21	118.000	118.36	Various	192.29	...	-73.93	Total of 2 transactions
TORCHLIGHT ENERGY RESOURCES, I NC. COMMON STOCK / CUSIP: 89102U103 / Symbol:							
<i>3 transactions for 06/15/21. Total proceeds and cost reported to the IRS.</i>							
	45.000	201.25	02/16/21	190.58	...	10.67	1 of 3 - Sale ²⁵
	50.000	223.62	02/22/21	175.00	...	48.62	2 of 3 - Sale ²⁵
	50.000	223.61	02/24/21	152.45	...	71.16	3 of 3 - Sale ²⁵
06/15/21	145.000	648.48	Various	518.03	...	130.45	Total of 3 transactions
<i>4 transactions for 06/17/21. Total proceeds and cost reported to the IRS.</i>							
	25.000	136.25	06/15/21	131.13	...	5.12	1 of 4 - Sale ²⁵
	26.000	141.70	06/15/21	136.37	...	5.33	2 of 4 - Sale ²⁵
	26.000	141.70	06/15/21	136.37	...	5.33	3 of 4 - Sale ²⁵
	73.000	397.84	06/15/21	383.25	...	14.59	4 of 4 - Sale ²⁵
06/17/21	150.000	817.49	Various	787.12	...	30.37	Total of 4 transactions
	Security total:	1,465.97		1,305.15	...	160.82	
TOUGHBUILT INDUSTRIES, INC. CO MMON STOCK / CUSIP: 89157G504 / Symbol:							
<i>6 transactions for 07/09/21. Total proceeds and cost reported to the IRS.</i>							
	100.000	119.99	04/16/21	73.69	...	46.30	1 of 6 - Sale ²⁵
	100.000	119.98	04/16/21	73.67	...	46.31	2 of 6 - Sale ²⁵
	100.000	119.99	04/16/21	73.67	...	46.32	3 of 6 - Sale ²⁵
	55.000	61.59	07/09/21	74.11	...	-12.52	4 of 6 - Sale ²⁵
	20.000	22.40	07/09/21	26.89	...	-4.49	5 of 6 - Sale ²⁵

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Robinhood Securities LLC

Account 997271424

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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TOUGHBUILT INDUSTRIES, INC. CO MMON STOCK / CUSIP: 89157G504 / Symbol: (cont'd)							
	200.000	239.97	07/09/21	231.86	...	8.11	6 of 6 - Sale ²⁵
07/09/21	575.000	683.92	Various	553.89	...	130.03	Total of 6 transactions
	2 transactions for 07/09/21. Total proceeds and cost reported to the IRS.						
	20.000	24.00	01/28/21	27.70	3.70 W	0.00	1 of 2 - Sale ²⁵
	80.000	95.99	01/28/21	111.05	15.06 W	0.00	2 of 2 - Sale ²⁵
07/09/21	100.000	119.99	Various	138.75	18.76 W	0.00	Total of 2 transactions
	Security total:	803.91		692.64	18.76 W	130.03	
TRANSCODE THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 89357L105 / Symbol:							
	2 transactions for 09/10/21. Total proceeds and cost reported to the IRS.						
	10.000	28.00	07/09/21	58.94	...	-30.94	1 of 2 - Sale ²⁵
	15.000	42.00	07/12/21	86.77	...	-44.77	2 of 2 - Sale ²⁵
09/10/21	25.000	70.00	Various	145.71	...	-75.71	Total of 2 transactions
VBI VACCINES, INC. NEW COMMON STOCK (CANADA) / CUSIP: 91822J103 / Symbol:							
02/23/21	20.000	62.24	11/25/20	54.36	...	7.88	Sale ²⁵
VINCO VENTURES, INC. COMMON ST OCK / CUSIP: 927330100 / Symbol:							
	2 transactions for 06/08/21. Total proceeds and cost reported to the IRS.						
	1.000	4.72	05/25/21	3.43	...	1.29	1 of 2 - Sale ²⁵
	199.000	940.25	05/25/21	682.43	...	257.82	2 of 2 - Sale ²⁵
06/08/21	200.000	944.97	Various	685.86	...	259.11	Total of 2 transactions
	6 transactions for 08/27/21. Total proceeds and cost reported to the IRS.						
	150.000	605.98	06/09/21	757.20	...	-151.22	1 of 6 - Sale ²⁵
	100.000	403.98	06/15/21	403.00	...	0.98	2 of 6 - Sale ²⁵
	50.000	201.99	07/02/21	196.25	...	5.74	3 of 6 - Sale ²⁵
	100.000	403.98	07/26/21	333.00	...	70.98	4 of 6 - Sale ²⁵
	20.000	80.80	08/27/21	77.60	...	3.20	5 of 6 - Sale ²⁵
	130.000	525.18	08/27/21	504.40	...	20.78	6 of 6 - Sale ²⁵
08/27/21	550.000	2,221.91	Various	2,271.45	...	-49.54	Total of 6 transactions
	Security total:	3,166.88		2,957.31	...	209.57	
VISLINK TECHNOLOGIES, INC. COM MON STOCK / CUSIP: 92836Y300 / Symbol:							
03/02/21	15.000	56.63	02/05/21	53.18	...	3.45	Sale ²⁵

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Account 997271424

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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WASHINGTON PRIME GROUP INC NEW COMMON SHARES / CUSIP: 93964W405 / Symbol:							
3 transactions for 07/14/21. Total proceeds and cost reported to the IRS.							
	78.000	154.43	06/21/21	191.72	...	-37.29	1 of 3 - Sale ²⁵
	50.000	99.00	06/21/21	122.90	...	-23.90	2 of 3 - Sale ²⁵
	22.000	43.56	06/21/21	54.07	...	-10.51	3 of 3 - Sale ²⁵
07/14/21	150.000	296.99	Various	368.69	...	-71.70	Total of 3 transactions
WISEKEY INTERNATIONAL HOLDING AG AMERICAN DEPOSITARY SHARES / CUSIP: 97727L200 / Symbol:							
02/23/21	10.000	86.80	02/08/21	78.58	...	8.22	Sale ²⁵
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol:							
3 transactions for 03/01/21. Total proceeds and cost reported to the IRS.							
	1.000	16.97	01/27/21	34.21	...	-17.24	1 of 3 - Sale ²⁵
	5.000	84.85	02/25/21	76.59	...	8.26	2 of 3 - Sale ²⁵
	10.000	169.70	02/25/21	153.50	...	16.20	3 of 3 - Sale ²⁵
03/01/21	16.000	271.52	Various	264.30	...	7.22	Total of 3 transactions
XPENG INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING TWO CLASS A ORDINARY SHARES / CUSIP: 98422D105 / Symbol:							
01/13/21	3.000	156.90	01/13/21	156.71	...	0.19	Sale ²⁵
ZOMEDICA CORP. / CUSIP: 98980M109 / Symbol:							
5 transactions for 01/13/21. Total proceeds and cost reported to the IRS.							
	0.213	0.21	01/08/21	0.09	...	0.12	1 of 5 - Sale ²⁵
	0.349	0.30	01/08/21	0.15	...	0.15	2 of 5 - Sale ²⁵
	105.000	102.36	01/08/21	47.75	...	54.61	3 of 5 - Sale ²⁵
	113.438	110.59	01/08/21	47.28	...	63.31	4 of 5 - Sale ²⁵
	123.562	120.46	01/11/21	112.46	...	8.00	5 of 5 - Sale ²⁵
01/13/21	342.562	333.92	Various	207.73	...	126.19	Total of 5 transactions
7 transactions for 02/23/21. Total proceeds and cost reported to the IRS.							
	0.401	0.65	01/11/21	0.37	...	0.28	1 of 7 - Sale ²⁵
	33.037	53.51	01/11/21	30.06	...	23.45	2 of 7 - Sale ²⁵
	80.000	129.59	01/12/21	95.60	...	33.99	3 of 7 - Sale ²⁵
	172.000	278.61	01/20/21	173.72	...	104.89	4 of 7 - Sale ²⁵
	100.000	161.98	02/01/21	107.99	...	53.99	5 of 7 - Sale ²⁵
	0.234	0.38	02/04/21	0.34	...	0.04	6 of 7 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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ZOMEDICA CORP. / CUSIP: 98980M109 / Symbol: (cont'd)							
	68.729	111.33	02/04/21	99.66	...	11.67	7 of 7 - Sale ²⁵
02/23/21	454.401	736.05	Various	507.74	...	228.31	Total of 7 transactions
02/23/21	195.000	315.87	02/10/21	477.54	161.67 W	0.00	Sale ²⁵
	Security total:	1,385.84		1,193.01	161.67 W	354.50	
ZYNERBA PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 98986X109 / Symbol:							
05/28/21	15.000	81.78	02/05/21	80.84	...	0.94	Sale ²⁵
BIT DIGITAL, INC. ORDINARY SHA RES / CUSIP: G1144A105 / Symbol:							
02/09/21	6.907	199.76	01/04/21	200.00	...	-0.24	Sale ²⁵
DOGNESS (INTERNATIONAL) CORPOR ATION CLASS A COMMON STOCK / CUSIP: G2788T103 / Symbol:							
2 transactions for 01/29/21. Total proceeds and cost reported to the IRS.							
	100.000	187.00	01/14/21	315.70	...	-128.70	1 of 2 - Sale ²⁵
	100.000	187.00	01/14/21	293.56	...	-106.56	2 of 2 - Sale ²⁵
01/29/21	200.000	374.00	Various	609.26	...	-235.26	Total of 2 transactions
FARMMI, INC. ORDINARY SHARES / CUSIP: G33277107 / Symbol:							
2 transactions for 09/13/21. Total proceeds and cost reported to the IRS.							
	250.000	72.02	09/10/21	124.23	...	-52.21	1 of 2 - Sale ²⁵
	150.000	43.21	09/10/21	77.00	...	-33.79	2 of 2 - Sale ²⁵
09/13/21	400.000	115.23	Various	201.23	...	-86.00	Total of 2 transactions
EBANG INTERNATIONAL HOLDINGS I NC. CLASS A ORDINARY SHARES / CUSIP: G3R33A106 / Symbol:							
2 transactions for 09/02/21. Total proceeds and cost reported to the IRS.							
	50.000	139.49	06/09/21	181.90	...	-42.41	1 of 2 - Sale ²⁵
	150.000	418.48	09/01/21	396.00	...	22.48	2 of 2 - Sale ²⁵
09/02/21	200.000	557.97	Various	577.90	...	-19.93	Total of 2 transactions
METEN HOLDING GROUP LTD. ORDIN ARY SHARES / CUSIP: G6055H114 / Symbol:							
3 transactions for 02/04/21. Total proceeds and cost reported to the IRS.							
	0.032	0.07	01/07/21	0.06	...	0.01	1 of 3 - Sale ²⁵
	0.715	1.47	01/07/21	1.36	...	0.11	2 of 3 - Sale ²⁵
	12.285	25.18	01/07/21	25.00	...	0.18	3 of 3 - Sale ²⁵
02/04/21	13.032	26.72	Various	26.42	...	0.30	Total of 3 transactions

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2021 1099-B* OMB No. 1545-0715

(continued)

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METEN HOLDING GROUP LTD. ORDINARY SHARES / CUSIP: G6055H114 / Symbol: (cont'd)							
2 transactions for 08/10/21. Total proceeds and cost reported to the IRS.							
	55.000	34.10	08/09/21	33.44	...	0.66	1 of 2 - Sale ²⁵
08/10/21	145.000	89.89	08/09/21	88.16	...	1.73	2 of 2 - Sale ²⁵
	200.000	123.99	Various	121.60	...	2.39	Total of 2 transactions
7 transactions for 09/09/21. Total proceeds and cost reported to the IRS.							
	800.000	305.50	09/01/21	616.08	...	-310.58	1 of 7 - Sale ²⁵
	200.000	76.37	09/01/21	229.32	...	-152.95	2 of 7 - Sale ²⁵
	100.000	38.19	09/01/21	115.68	...	-77.49	3 of 7 - Sale ²⁵
	100.000	38.19	09/01/21	114.82	...	-76.63	4 of 7 - Sale ²⁵
	100.000	38.19	09/01/21	114.66	...	-76.47	5 of 7 - Sale ²⁵
	100.000	38.19	09/01/21	114.66	...	-76.47	6 of 7 - Sale ²⁵
	200.000	76.37	09/02/21	98.82	...	-22.45	7 of 7 - Sale ²⁵
09/09/21	1,600.000	611.00	Various	1,404.04	...	-793.04	Total of 7 transactions
5 transactions for 09/09/21. Total proceeds and cost reported to the IRS.							
	100.000	38.19	09/01/21	76.00	37.81 W	0.00	1 of 5 - Sale ²⁵
	200.000	76.38	09/01/21	152.00	75.62 W	0.00	2 of 5 - Sale ²⁵
	100.000	38.19	09/01/21	76.00	37.81 W	0.00	3 of 5 - Sale ²⁵
	100.000	38.19	09/01/21	76.00	37.81 W	0.00	4 of 5 - Sale ²⁵
	100.000	38.18	09/01/21	76.85	38.67 W	0.00	5 of 5 - Sale ²⁵
09/09/21	600.000	229.13	Various	456.85	227.72 W	0.00	Total of 5 transactions
	Security total:	990.84		2,008.91	227.72 W	-790.35	
ITERUM THERAPEUTICS PLC ORDINARY SHARE / CUSIP: G6333L101 / Symbol:							
5 transactions for 02/23/21. Total proceeds and cost reported to the IRS.							
	31.000	48.42	02/09/21	90.08	...	-41.66	1 of 5 - Sale ²⁵
	19.000	29.67	02/09/21	42.16	...	-12.49	2 of 5 - Sale ²⁵
	13.000	20.30	02/09/21	29.24	...	-8.94	3 of 5 - Sale ²⁵
	13.483	21.06	02/10/21	36.00	...	-14.94	4 of 5 - Sale ²⁵
	9.000	14.06	02/19/21	19.07	...	-5.01	5 of 5 - Sale ²⁵
02/23/21	85.483	133.51	Various	216.55	...	-83.04	Total of 5 transactions
02/23/21	31.000	48.42	02/09/21	69.71	21.29 W	0.00	Sale ²⁵
	Security total:	181.93		286.26	21.29 W	-83.04	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 997271424

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RECON TECHNOLOGY, LTD. CLASS A ORDINARY SHARES / CUSIP: G7415M124 / Symbol:							
03/22/21	2.000	8.70	01/25/21	7.01	...	1.69	Sale ²⁵
11/23/21	25.000	47.88	07/14/21	99.00	...	-51.12	Sale ²⁵
	Security total:	56.58		106.01	...	-49.43	
SPI ENERGY CO., LTD. ORDINARY SHARES / CUSIP: G8651P110 / Symbol:							
<i>2 transactions for 09/08/21. Total proceeds and cost reported to the IRS.</i>							
	200.000	1,066.71	09/07/21	1,196.78	...	-130.07	1 of 2 - Sale ²⁵
	100.000	533.35	09/07/21	575.00	...	-41.65	2 of 2 - Sale ²⁵
09/08/21	300.000	1,600.06	Various	1,771.78	...	-171.72	Total of 2 transactions
NLS PHARMACEUTICS LTD. ORDINARY SHARES / CUSIP: H57830103 / Symbol:							
11/30/21	100.000	188.15	11/30/21	251.90	...	-63.75	Sale ²⁵
SUPERCOM, LTD. ORDINARY SHARES (ISRAEL) / CUSIP: M87095119 / Symbol:							
02/23/21	10.000	14.35	02/03/21	14.68	...	-0.33	Sale ²⁵
NAKED BRAND GROUP LIMITED ORDINARY SHARES / CUSIP: Q6519T117 / Symbol:							
01/28/21	92.000	251.15	01/27/21	77.65	...	173.50	Sale ²⁵
02/23/21	150.000	135.58	02/10/21	208.34	72.76 W	0.00	Sale ²⁵
<i>10 transactions for 11/09/21. Total proceeds and cost reported to the IRS.</i>							
	150.000	123.34	02/24/21	240.01	...	-116.67	1 of 10 - Sale ²⁵
	50.000	41.11	02/24/21	69.00	...	-27.89	2 of 10 - Sale ²⁵
	83.000	68.25	03/24/21	66.32	...	1.93	3 of 10 - Sale ²⁵
	17.000	13.98	09/10/21	10.60	...	3.38	4 of 10 - Sale ²⁵
	300.000	246.68	09/10/21	187.11	...	59.57	5 of 10 - Sale ²⁵
	100.000	82.23	09/27/21	76.89	...	5.34	6 of 10 - Sale ²⁵
	100.000	82.22	09/29/21	75.92	...	6.30	7 of 10 - Sale ²⁵
	100.000	82.23	09/29/21	75.91	...	6.32	8 of 10 - Sale ²⁵
	100.000	82.23	09/29/21	75.18	...	7.05	9 of 10 - Sale ²⁵
	100.000	82.23	10/01/21	67.94	...	14.29	10 of 10 - Sale ²⁵
11/09/21	1,100.000	904.50	Various	944.88	...	-40.38	Total of 10 transactions
	Security total:	1,291.23		1,230.87	72.76 W	133.12	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 997271424

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
CASTOR MARITIME INC. COMMON SH ARES / CUSIP: Y1146L109 / Symbol:							
02/23/21	100.000	90.00	02/08/21	88.21	...	1.79	Sale ²⁵
Totals :		107,891.18		116,054.60	1,886.59 W	-6,276.83	

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
FOSSIL GROUP, INC. COMMON STOC K / CUSIP: 34988V106 / Symbol:							
02/23/21	1.000	14.80	01/17/19	18.25	...	-3.45	Sale ²⁵
TILRAY BRANDS, INC. CLASS 2 CO MMON STOCK / CUSIP: 88688T100 / Symbol:							
02/09/21	1.000	37.60	02/04/19	86.14	...	-48.54	Sale ²⁵
TRIPADVISOR, INC. COMMON STOCK / CUSIP: 896945201 / Symbol:							
01/12/21	1.000	35.43	02/12/19	60.01	...	-24.58	Sale ²⁵
Totals :		87.83		164.40		-76.57	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 997271424

Proceeds Not Reported to the IRS

2021

02/11/2022

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
ASHFORD HOSPITALITY TRUST, INC . / CUSIP: 044103869 / Symbol:							
07/26/21	0.500	8.07	06/08/21	31.20	...	-23.13	Gain or loss
VYNE THERAPEUTICS INC. COMMON STOCK / CUSIP: 92941V209 / Symbol:							
02/22/21	0.250	2.42	01/27/21	2.29	...	0.13	Gain or loss
Totals:		10.49		33.49	...	-23.00	

Robinhood Securities LLC

Account 997271424

Detail for Dividends and Distributions

2021

02/11/2022

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. There is also a holder level criteria for a dividend to be treated as Qualified. If the payment is from a common stock you are required to have held it for more than 60 days during the 121-day period that begins 60 days before the ex-dividend date of the dividend. If the dividend is from preferred shares and covers a period greater than 366 days, you must have held the preferred stock for more than 90 days during the 181-day period that begins 90 days before the ex-dividend date of the dividend.

Amounts presented in Box 2e as Section 897 Ordinary Dividends or Box 2f as Section 897 Capital Gains apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. If you are a US citizen, you should disregard this.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
APPLE INC. COMMON STOCK	037833100		02/11/21	0.62	Qualified dividend	
INVESCO MORTGAGE CAPITAL INC.	46131B100		07/27/21	13.50	Nondividend distribution	03
			10/26/21	13.85	Nondividend distribution	03
				27.35	Total Dividends & distributions	
RILEY EXPLORATION PERMIAN, INC .	76665T102		05/07/21	4.76	Qualified dividend	
WENDY'S COMPANY (THE) COMMON S TOCK	95058W100		09/15/21	0.24	Qualified dividend	
			12/15/21	0.24	Qualified dividend	
				0.48	Total Dividends & distributions	
				33.21	Total Dividends & distributions	

Robinhood Securities LLC

Account 997271424

Fees and Expenses

2021

02/11/2022

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		05/24/21	-2.30	Margin interest paid	
		05/24/21	-5.00	Margin interest paid	
		06/22/21	-2.03	Margin interest paid	
		06/22/21	-5.00	Margin interest paid	
		07/22/21	-5.00	Margin interest paid	
		07/22/21	-5.81	Margin interest paid	
			-25.14	Total Margin interest paid	
Margin charge		08/23/21	-5.00	Margin interest paid	
		08/23/21	-5.97	Margin interest paid	
		09/20/21	-4.50	Margin interest paid	
		09/20/21	-5.00	Margin interest paid	
		10/20/21	-5.00	Margin interest paid	
		10/20/21	-5.92	Margin interest paid	
		11/19/21	-5.00	Margin interest paid	
		11/19/21	-5.04	Margin interest paid	
		12/20/21	-1.43	Margin interest paid	
		12/20/21	-5.00	Margin interest paid	
			-47.86	Total Margin interest paid	
			-73.00	Total Margin interest paid	

- 03 The tax character of the distribution has been allocated based on information provided by the security issuer.

 - 25 Tax lot closed on a first in first out basis.
-

Instructions for Recipient

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or ORD) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.

1099-DIV Instructions for Recipient

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

Line 1b. Shows the portion of the amount in Line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in Lines 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2c. Shows the portion of the amount in Line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

Line 2d. Shows the portion of the amount in Line 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2e. Shows the portion of the amount in Line 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

Line 2f. Shows the portion of the amount in Line 2a that is section 897 gain attributable to disposition of USRPI.

Note: Lines 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in Line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in Line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040SR.

Line 8. This Line should be left blank if a RIC reported the foreign tax shown in Line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040SR for where to report. This amount may be subject to backup withholding. See Line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in Line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting Lines.

Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to

special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

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