

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 154431787	Statement Date: 02/14/2021 Document ID: T015 10D 156H	2020
	Vishal Attal 1750 N Interstate 35 East Service Rd Lancaster, TX 75146 RECIPIENT'S TIN: XXX-XX-7667		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2020 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5) 392.43</p> <p>1b- Qualified dividends 292.76</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d) 1.37</p> <p>2b- Unrecaptured Section 1250 gain 0.14</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>3- Nondividend distributions 1.75</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 46.66</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: See detail 8.24</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p style="text-align: center;">MISCELLANEOUS INCOME 2020 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.00</p> <p style="text-align: center;">SECTION 1256 CONTRACTS 2020 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2020 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2019 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2020 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p>
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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	331,481.77	338,092.07	0.00	10,021.71	3,411.41
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	331,481.77	338,092.07	0.00	10,021.71	3,411.41
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.11	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.11	0.00	0.00	0.00	0.00
	Grand total	331,481.88	338,092.07	0.00	10,021.71	3,411.41

Withholding	Amount
Federal income tax withheld	0.00

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

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Summary Information

2020

(continued)

02/14/2021

INTEREST INCOME

2020 1099-INT

OMB No. 1545-0112

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1- Interest income (not included in line 3)	17.58
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	See detail

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.11
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

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Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol:							
12/31/20	100.000	211.99	12/31/20	212.00	...	-0.01	Sale ²⁵
ACTIVISION BLIZZARD, INC. COMM ON STOCK / CUSIP: 00507V109 / Symbol:							
06/10/20	10.000	735.18	05/26/20	699.00	...	36.18	Sale ²⁵
ADOBE INC. COMMON STOCK / CUSIP: 00724F101 / Symbol:							
11/27/20	2.000	956.37	09/16/20	951.80	...	4.57	Sale ²⁵
AIRBUS SE AMERICAN DEPOSITARY RECEIPTS - UNSPONSORED / CUSIP: 009279100 / Symbol:							
14 transactions for 08/12/20. Total proceeds and cost reported to the IRS.							
	29.000	646.68	01/15/20	1,083.15	...	-436.47	1 of 14 - Sale ²⁵
	21.000	468.29	01/16/20	782.25	...	-313.96	2 of 14 - Sale ²⁵
	1.000	22.30	02/27/20	31.50	...	-9.20	3 of 14 - Sale ²⁵
	8.000	178.39	03/03/20	246.96	...	-68.57	4 of 14 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AIRBUS SE AMERICAN DEPOSITARY RECEIPTS - UNSPONSORED / CUSIP: 009279100 / Symbol: (cont'd)							
	30.000	668.98	03/24/20	441.00	...	227.98	5 of 14 - Sale ²⁵
	11.000	245.29	04/17/20	168.85	...	76.44	6 of 14 - Sale ²⁵
	20.000	445.99	06/04/20	405.40	...	40.59	7 of 14 - Sale ²⁵
	30.000	668.98	06/04/20	608.10	...	60.88	8 of 14 - Sale ²⁵
	39.000	869.68	06/05/20	885.30	...	-15.62	9 of 14 - Sale ²⁵
	8.000	178.40	06/05/20	181.60	...	-3.20	10 of 14 - Sale ²⁵
	2.000	44.60	06/05/20	45.40	...	-0.80	11 of 14 - Sale ²⁵
	1.000	22.30	06/05/20	22.70	...	-0.40	12 of 14 - Sale ²⁵
	100.000	2,229.93	06/10/20	2,110.00	...	119.93	13 of 14 - Sale ²⁵
	100.000	2,229.94	06/18/20	1,849.00	...	380.94	14 of 14 - Sale ²⁵
08/12/20	400.000	8,919.75	Various	8,861.21	...	58.54	Total of 14 transactions
	3 transactions for 11/11/20. Total proceeds and cost reported to the IRS.						
	2.000	51.10	06/24/20	36.18	...	14.92	1 of 3 - Sale ²⁵
	23.000	587.64	06/24/20	416.07	...	171.57	2 of 3 - Sale ²⁵
	25.000	638.73	06/24/20	452.00	...	186.73	3 of 3 - Sale ²⁵
11/11/20	50.000	1,277.47	Various	904.25	...	373.22	Total of 3 transactions
	Security total:	10,197.22		9,765.46	...	431.76	
ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTS EIGHT ORDINARY S / CUSIP: 01609W102 / Symbol:							
	3 transactions for 03/04/20. Total proceeds and cost reported to the IRS.						
	5.000	1,054.97	01/21/20	1,109.25	...	-54.28	1 of 3 - Sale ²⁵
	5.000	1,054.98	01/27/20	1,032.50	...	22.48	2 of 3 - Sale ²⁵
	2.000	421.99	01/31/20	412.00	...	9.99	3 of 3 - Sale ²⁵
03/04/20	12.000	2,531.94	Various	2,553.75	...	-21.81	Total of 3 transactions
03/05/20	5.000	1,054.97	01/31/20	1,030.00	...	24.97	Sale ²⁵
	Security total:	3,586.91		3,583.75	...	3.16	
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol:							
	2 transactions for 03/10/20. Total proceeds and cost reported to the IRS.						
	2.000	3,661.91	11/21/19	3,470.00	...	191.91	1 of 2 - Sale ²⁵
	3.000	5,609.87	11/21/19	5,205.00	...	404.87	2 of 2 - Sale ²⁵
03/10/20	5.000	9,271.78	Various	8,675.00	...	596.78	Total of 2 transactions
07/20/20	1.000	3,196.92	07/20/20	3,196.42	...	0.50	Sale ²⁵
	Security total:	12,468.70		11,871.42	...	597.28	

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
23 transactions for 12/01/20. Total proceeds and cost reported to the IRS.							
	20.000	2,429.94	09/02/20	2,616.00	...	-186.06	1 of 23 - Sale ²⁵
	20.000	2,429.94	09/03/20	2,460.00	...	-30.06	2 of 23 - Sale ²⁵
	10.000	1,214.97	09/04/20	1,160.00	...	54.97	3 of 23 - Sale ²⁵
	20.000	2,429.94	09/16/20	2,290.00	...	139.94	4 of 23 - Sale ²⁵
	1.000	121.50	09/18/20	106.90	...	14.60	5 of 23 - Sale ²⁵
	10.000	1,214.97	10/19/20	1,165.00	...	49.97	6 of 23 - Sale ²⁵
	55.000	6,682.35	10/19/20	6,407.50	...	274.85	7 of 23 - Sale ²⁵
	1.000	121.50	10/23/20	115.44	...	6.06	8 of 23 - Sale ²⁵
	8.000	971.98	10/26/20	912.00	...	59.98	9 of 23 - Sale ²⁵
	9.000	1,093.47	10/28/20	1,004.31	...	89.16	10 of 23 - Sale ²⁵
	9.000	1,093.47	10/29/20	982.80	...	110.67	11 of 23 - Sale ²⁵
	2.000	242.99	11/09/20	232.80	...	10.19	12 of 23 - Sale ²⁵
	7.000	850.48	11/09/20	831.60	...	18.88	13 of 23 - Sale ²⁵
	48.000	5,831.87	11/09/20	5,587.20	...	244.67	14 of 23 - Sale ²⁵
	9.000	1,093.48	11/18/20	1,061.55	...	31.93	15 of 23 - Sale ²⁵
	20.000	2,429.94	11/18/20	2,360.00	...	69.94	16 of 23 - Sale ²⁵
	21.000	2,551.44	11/18/20	2,476.95	...	74.49	17 of 23 - Sale ²⁵
	20.000	2,429.94	11/19/20	2,340.00	...	89.94	18 of 23 - Sale ²⁵
	10.000	1,214.97	11/20/20	1,172.50	...	42.47	19 of 23 - Sale ²⁵
	20.000	2,429.95	11/20/20	2,346.00	...	83.95	20 of 23 - Sale ²⁵
	10.000	1,214.97	11/23/20	1,144.00	...	70.97	21 of 23 - Sale ²⁵
	20.000	2,429.94	11/23/20	2,288.00	...	141.94	22 of 23 - Sale ²⁵
	10.000	1,214.98	11/24/20	1,130.00	...	84.98	23 of 23 - Sale ²⁵
12/01/20	360.000	43,738.98	Various	42,190.55	...	1,548.43	Total of 23 transactions
3 transactions for 12/01/20. Total proceeds and cost reported to the IRS.							
	30.000	3,644.92	09/02/20	3,990.00	230.05 W	-115.03	1 of 3 - Sale ²⁵
	50.000	6,074.86	09/02/20	6,700.00	625.14 W	0.00	2 of 3 - Sale ²⁵
	50.000	6,074.86	09/02/20	6,650.00	575.14 W	0.00	3 of 3 - Sale ²⁵
12/01/20	130.000	15,794.64	Various	17,340.00	1,430.33 W	-115.03	Total of 3 transactions

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Account 154431787

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd)							
2 transactions for 12/04/20. Total proceeds and cost reported to the IRS.							
	50.000	6,124.86	12/04/20	6,710.14	...	-585.28	1 of 2 - Sale ²⁵
	50.000	6,124.85	12/04/20	6,660.14	...	-535.29	2 of 2 - Sale ²⁵
12/04/20	100.000	12,249.71	Various	13,370.28	...	-1,120.57	Total of 2 transactions
	Security total:	71,783.33		72,900.83	1,430.33 W	312.83	
APPLIED MATERIALS, INC. COMMON STOCK / CUSIP: 038222105 / Symbol:							
2 transactions for 03/10/20. Total proceeds and cost reported to the IRS.							
	20.000	1,113.97	12/03/19	1,105.00	...	8.97	1 of 2 - Sale ²⁵
	30.000	1,670.96	12/03/19	1,659.00	...	11.96	2 of 2 - Sale ²⁵
03/10/20	50.000	2,784.93	Various	2,764.00	...	20.93	Total of 2 transactions
2 transactions for 04/08/20. Total proceeds and cost reported to the IRS.							
	6.000	305.99	03/27/20	276.00	...	29.99	1 of 2 - Sale ²⁵
	14.000	713.99	03/27/20	644.00	...	69.99	2 of 2 - Sale ²⁵
04/08/20	20.000	1,019.98	Various	920.00	...	99.98	Total of 2 transactions
04/08/20	30.000	1,529.96	12/03/19	1,657.50	127.54 W	0.00	Sale ²⁵
4 transactions for 05/07/20. Total proceeds and cost reported to the IRS.							
	3.000	154.20	03/31/20	152.25	...	1.95	1 of 4 - Sale ²⁵
	17.000	873.78	03/31/20	862.77	...	11.01	2 of 4 - Sale ²⁵
	10.000	515.99	04/17/20	530.00	...	-14.01	3 of 4 - Sale ²⁵
	10.000	515.98	05/01/20	529.94	...	-13.96	4 of 4 - Sale ²⁵
05/07/20	40.000	2,059.95	Various	2,074.96	...	-15.01	Total of 4 transactions
05/07/20	10.000	513.98	04/17/20	572.52	58.54 W	0.00	Sale ²⁵
08/24/20	10.000	636.98	08/20/20	633.00	...	3.98	Sale ²⁵
08/24/20	10.000	636.99	08/20/20	640.00	3.01 W	0.00	Sale ²⁵
3 transactions for 10/01/20. Total proceeds and cost reported to the IRS.							
	42.000	2,536.74	09/01/20	2,583.00	...	-46.26	1 of 3 - Sale ²⁵
	7.000	422.79	09/01/20	430.50	...	-7.71	2 of 3 - Sale ²⁵
	1.000	60.40	09/01/20	63.30	...	-2.90	3 of 3 - Sale ²⁵
10/01/20	50.000	3,019.93	Various	3,076.80	...	-56.87	Total of 3 transactions
3 transactions for 10/01/20. Total proceeds and cost reported to the IRS.							
	30.000	1,811.95	08/31/20	1,845.00	12.12 W	-20.93	1 of 3 - Sale ²⁵
	10.000	603.98	08/31/20	622.01	18.03 W	0.00	2 of 3 - Sale ²⁵

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Robinhood Securities LLC

Account 154431787

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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APPLIED MATERIALS, INC. COMMON STOCK / CUSIP: 038222105 / Symbol: (cont'd)							
	10.000	603.99	08/31/20	619.00	15.01 W	0.00	3 of 3 - Sale ²⁵
10/01/20	50.000	3,019.92	Various	3,086.01	45.16 W	-20.93	Total of 3 transactions
	Security total:	15,222.62		15,424.79	234.25 W	32.08	
ARISTA NETWORKS / CUSIP: 040413106 / Symbol:							
2 transactions for 03/24/20. Total proceeds and cost reported to the IRS.							
	4.000	739.18	02/13/20	862.00	...	-122.82	1 of 2 - Sale ²⁵
	5.000	923.98	03/23/20	800.00	...	123.98	2 of 2 - Sale ²⁵
03/24/20	9.000	1,663.16	Various	1,662.00	...	1.16	Total of 2 transactions
10/08/20	5.000	1,104.97	08/13/20	1,075.00	...	29.97	Sale ²⁵
	Security total:	2,768.13		2,737.00	...	31.13	
BANK OF AMERICA CORPORATION / CUSIP: 060505104 / Symbol:							
02/05/20	30.000	1,040.97	01/15/20	1,038.00	...	2.97	Sale ²⁵
3 transactions for 04/07/20. Total proceeds and cost reported to the IRS.							
	2.000	45.60	03/26/20	45.40	...	0.20	1 of 3 - Sale ²⁵
	5.000	114.00	03/26/20	113.50	...	0.50	2 of 3 - Sale ²⁵
	13.000	296.40	03/26/20	295.10	...	1.30	3 of 3 - Sale ²⁵
04/07/20	20.000	456.00	Various	454.00	...	2.00	Total of 3 transactions
	Security total:	1,496.97		1,492.00	...	4.97	
BARRICK GOLD CORP. / CUSIP: 067901108 / Symbol:							
09/02/20	100.000	2,934.92	09/01/20	2,927.00	...	7.92	Sale ²⁵
4 transactions for 11/05/20. Total proceeds and cost reported to the IRS.							
	50.000	1,434.96	10/02/20	1,400.00	...	34.96	1 of 4 - Sale ²⁵
	50.000	1,434.96	10/06/20	1,391.95	...	43.01	2 of 4 - Sale ²⁵
	50.000	1,434.96	10/16/20	1,381.95	...	53.01	3 of 4 - Sale ²⁵
	50.000	1,439.96	10/19/20	1,372.50	...	67.46	4 of 4 - Sale ²⁵
11/05/20	200.000	5,744.84	Various	5,546.40	...	198.44	Total of 4 transactions
2 transactions for 11/05/20. Total proceeds and cost reported to the IRS.							
	50.000	1,434.96	09/02/20	1,439.41	4.45 W	0.00	1 of 2 - Sale ²⁵
	50.000	1,434.96	09/02/20	1,439.41	4.45 W	0.00	2 of 2 - Sale ²⁵
11/05/20	100.000	2,869.92	Various	2,878.82	8.90 W	0.00	Total of 2 transactions

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Account 154431787

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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BARRICK GOLD CORP. / CUSIP: 067901108 / Symbol: (cont'd)							
Security total:		11,549.68		11,352.22		8.90 W	206.36
BOEING COMPANY / CUSIP: 097023105 / Symbol:							
3 transactions for 01/08/20. Total proceeds and cost reported to the IRS.							
	5.000	1,664.96	12/27/19	1,663.54	...	1.42	1 of 3 - Sale ²⁵
	2.000	665.98	12/27/19	659.60	...	6.38	2 of 3 - Sale ²⁵
	3.000	998.98	12/27/19	989.40	...	9.58	3 of 3 - Sale ²⁵
01/08/20	10.000	3,329.92	Various	3,312.54	...	17.38	Total of 3 transactions
3 transactions for 04/29/20. Total proceeds and cost reported to the IRS.							
	10.000	1,440.07	04/17/20	1,490.00	...	-49.93	1 of 3 - Sale ²⁵
	4.000	576.02	04/22/20	556.00	...	20.02	2 of 3 - Sale ²⁵
	6.000	864.04	04/22/20	834.00	...	30.04	3 of 3 - Sale ²⁵
04/29/20	20.000	2,880.13	Various	2,880.00	...	0.13	Total of 3 transactions
09/04/20	10.000	1,720.46	08/31/20	1,720.00	...	0.46	Sale ²⁵
Security total:		7,930.51		7,912.54	...	17.97	
CISCO SYSTEMS, INC. COMMON STO CK (DE) / CUSIP: 17275R102 / Symbol:							
3 transactions for 11/16/20. Total proceeds and cost reported to the IRS.							
	20.000	850.58	08/13/20	852.00	...	-1.42	1 of 3 - Sale ²⁵
	20.000	850.58	08/31/20	843.60	...	6.98	2 of 3 - Sale ²⁵
	10.000	425.29	09/16/20	404.98	...	20.31	3 of 3 - Sale ²⁵
11/16/20	50.000	2,126.45	Various	2,100.58	...	25.87	Total of 3 transactions
CITIGROUP INC. / CUSIP: 172967424 / Symbol:							
2 transactions for 09/30/20. Total proceeds and cost reported to the IRS.							
	8.000	345.60	09/22/20	345.20	...	0.40	1 of 2 - Sale ²⁵
	12.000	518.38	09/22/20	517.80	...	0.58	2 of 2 - Sale ²⁵
09/30/20	20.000	863.98	Various	863.00	...	0.98	Total of 2 transactions
DELTA AIR LINES, INC. / CUSIP: 247361702 / Symbol:							
13 transactions for 08/10/20. Total proceeds and cost reported to the IRS.							
	50.000	1,457.46	06/10/20	1,550.00	...	-92.54	1 of 13 - Sale ²⁵
	29.000	845.33	06/16/20	885.95	...	-40.62	2 of 13 - Sale ²⁵

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(continued)

02/14/2021

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DELTA AIR LINES, INC. / CUSIP: 247361702 / Symbol: (cont'd)							
	21.000	612.13	06/16/20	641.55	...	-29.42	3 of 13 - Sale ²⁵
	100.000	2,914.92	06/22/20	2,880.00	...	34.92	4 of 13 - Sale ²⁵
	100.000	2,914.93	07/07/20	2,700.00	...	214.93	5 of 13 - Sale ²⁵
	200.000	5,829.85	07/07/20	5,420.00	...	409.85	6 of 13 - Sale ²⁵
	20.000	582.98	07/27/20	1,123.82	...	-540.84	7 of 13 - Sale ²⁵
	3.000	87.45	07/27/20	129.57	...	-42.12	8 of 13 - Sale ²⁵
	1.000	29.15	07/27/20	28.59	...	0.56	9 of 13 - Sale ²⁵
	3.000	87.45	07/27/20	85.77	...	1.68	10 of 13 - Sale ²⁵
	7.000	204.04	07/27/20	200.14	...	3.90	11 of 13 - Sale ²⁵
	46.000	1,340.87	07/27/20	1,315.17	...	25.70	12 of 13 - Sale ²⁵
	20.000	582.98	07/27/20	517.82	...	65.16	13 of 13 - Sale ²⁵
08/10/20	600.000	17,489.54	Various	17,478.38	...	11.16	Total of 13 transactions
	7 transactions for 08/10/20. Total proceeds and cost reported to the IRS.						
	20.000	582.98	01/21/20	1,196.00	613.02 W	0.00	1 of 7 - Sale ²⁵
	3.000	87.45	02/28/20	140.40	52.95 W	0.00	2 of 7 - Sale ²⁵
	20.000	582.98	03/25/20	590.00	7.02 W	0.00	3 of 7 - Sale ²⁵
	1.000	29.15	06/04/20	32.20	3.05 W	0.00	4 of 7 - Sale ²⁵
	3.000	87.45	06/04/20	96.60	9.15 W	0.00	5 of 7 - Sale ²⁵
	46.000	1,340.87	06/04/20	1,481.20	140.33 W	0.00	6 of 7 - Sale ²⁵
	7.000	204.04	06/04/20	225.40	21.36 W	0.00	7 of 7 - Sale ²⁵
08/10/20	100.000	2,914.92	Various	3,761.80	846.88 W	0.00	Total of 7 transactions
11/05/20	2.000	63.40	10/26/20	63.58	...	-0.18	Sale ²⁵
	Security total:	20,467.86		21,303.76	846.88 W	10.98	

ESPERION THERAPEUTICS, INC. CO MMON STOCK / CUSIP: 29664W105 / Symbol:

19 transactions for 08/31/20. Total proceeds and cost reported to the IRS.

20.000	693.98	08/10/20	924.04	...	-230.06	1 of 19 - Sale ²⁵
19.000	659.28	08/10/20	823.11	...	-163.83	2 of 19 - Sale ²⁵
21.000	728.68	08/10/20	867.32	...	-138.64	3 of 19 - Sale ²⁵
10.000	346.99	08/10/20	460.02	...	-113.03	4 of 19 - Sale ²⁵
30.000	1,040.97	08/10/20	1,140.00	...	-99.03	5 of 19 - Sale ²⁵
29.000	1,006.27	08/10/20	1,102.00	...	-95.73	6 of 19 - Sale ²⁵
10.000	346.99	08/10/20	375.20	...	-28.21	7 of 19 - Sale ²⁵
1.000	34.70	08/10/20	40.02	...	-5.32	8 of 19 - Sale ²⁵

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(continued)

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ESPERION THERAPEUTICS, INC. CO MMON STOCK / CUSIP: 29664W105 / Symbol: (cont'd)							
	40.000	1,387.97	08/11/20	1,430.00	...	-42.03	9 of 19 - Sale ²⁵
	50.000	1,734.96	08/11/20	1,675.00	...	59.96	10 of 19 - Sale ²⁵
	100.000	3,469.91	08/11/20	3,400.00	...	69.91	11 of 19 - Sale ²⁵
	100.000	3,469.91	08/11/20	3,380.00	...	89.91	12 of 19 - Sale ²⁵
	100.000	3,469.91	08/13/20	3,320.00	...	149.91	13 of 19 - Sale ²⁵
	12.000	416.39	08/14/20	393.00	...	23.39	14 of 19 - Sale ²⁵
	88.000	3,053.53	08/14/20	2,882.00	...	171.53	15 of 19 - Sale ²⁵
	25.000	867.48	08/21/20	797.50	...	69.98	16 of 19 - Sale ²⁵
	100.000	3,469.91	08/21/20	3,330.00	...	139.91	17 of 19 - Sale ²⁵
	25.000	867.48	08/24/20	775.00	...	92.48	18 of 19 - Sale ²⁵
	80.000	2,775.93	08/28/20	2,696.00	...	79.93	19 of 19 - Sale ²⁵
08/31/20	860.000	29,841.24	Various	29,810.21	...	31.03	Total of 19 transactions
	7 transactions for 08/31/20. Total proceeds and cost reported to the IRS.						
	20.000	693.98	05/27/20	792.00	98.02 W	0.00	1 of 7 - Sale ²⁵
	10.000	346.99	07/24/20	394.00	47.01 W	0.00	2 of 7 - Sale ²⁵
	20.000	693.98	08/10/20	734.40	40.42 W	0.00	3 of 7 - Sale ²⁵
	21.000	728.68	08/10/20	798.00	69.32 W	0.00	4 of 7 - Sale ²⁵
	20.000	693.98	08/10/20	858.02	164.04 W	0.00	5 of 7 - Sale ²⁵
	10.000	346.99	08/10/20	427.01	80.02 W	0.00	6 of 7 - Sale ²⁵
	19.000	659.29	08/10/20	760.40	101.11 W	0.00	7 of 7 - Sale ²⁵
08/31/20	120.000	4,163.89	Various	4,763.83	599.94 W	0.00	Total of 7 transactions
	Security total:	34,005.13		34,574.04	599.94 W	31.03	
FORD MOTOR COMPANY / CUSIP: 345370860 / Symbol:							
01/21/20	18.000	165.06	01/16/20	164.88	...	0.18	Sale ²⁵
04/07/20	0.020	0.10	03/26/20	0.10	...	0.00	Sale ²⁵
04/07/20	5.000	24.65	03/26/20	26.20	1.55 W	0.00	Sale ²⁵
	4 transactions for 04/08/20. Total proceeds and cost reported to the IRS.						
	14.980	75.20	03/26/20	78.50	...	-3.30	1 of 4 - Sale ²⁵
	5.000	25.10	03/31/20	25.30	...	-0.20	2 of 4 - Sale ²⁵
	15.000	75.30	03/31/20	71.25	...	4.05	3 of 4 - Sale ²⁵
	0.020	0.10	04/07/20	0.10	...	0.00	4 of 4 - Sale ²⁵
04/08/20	35.000	175.70	Various	175.15	...	0.55	Total of 4 transactions
07/14/20	100.000	633.97	06/18/20	628.00	...	5.97	Sale ²⁵

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FORD MOTOR COMPANY / CUSIP: 345370860 / Symbol: (cont'd)							
Security total:		999.48		994.33	1.55 W		6.70
FORTINET, INC. COMMON STOCK / CUSIP: 34959E109 / Symbol:							
<i>2 transactions for 11/25/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	604.99	08/10/20	632.50	...	-27.51	1 of 2 - Sale ²⁵
	5.000	604.98	09/08/20	577.00	...	27.98	2 of 2 - Sale ²⁵
11/25/20	10.000	1,209.97	Various	1,209.50	...	0.47	Total of 2 transactions
HDFC BANK LIMITED / CUSIP: 40415F101 / Symbol:							
02/11/20	20.000	1,199.97	01/21/20	1,193.00	...	6.97	Sale ²⁵
HEXO CORP. / CUSIP: 428304109 / Symbol:							
<i>49 transactions for 01/02/20. Total proceeds and cost reported to the IRS.</i>							
	64.000	105.59	12/18/19	220.20	114.61 W	0.00	1 of 49 - Sale ²⁵
	86.000	141.89	12/18/19	295.92	154.03 W	0.00	2 of 49 - Sale ²⁵
	58.000	95.69	12/18/19	222.18	126.49 W	0.00	3 of 49 - Sale ²⁵
	15.000	24.75	12/18/19	53.53	28.78 W	0.00	4 of 49 - Sale ²⁵
	74.000	122.09	12/18/19	259.05	136.96 W	0.00	5 of 49 - Sale ²⁵
	2.000	3.30	12/18/19	6.84	3.54 W	0.00	6 of 49 - Sale ²⁵
	98.000	161.68	12/18/19	335.24	173.56 W	0.00	7 of 49 - Sale ²⁵
	76.000	125.39	12/26/19	230.34	104.95 W	0.00	8 of 49 - Sale ²⁵
	24.000	39.60	12/26/19	53.78	14.18 W	0.00	9 of 49 - Sale ²⁵
	24.000	39.60	12/26/19	52.58	12.98 W	0.00	10 of 49 - Sale ²⁵
	35.000	57.74	12/26/19	78.42	20.68 W	0.00	11 of 49 - Sale ²⁵
	41.000	67.64	12/26/19	91.88	24.24 W	0.00	12 of 49 - Sale ²⁵
	50.000	82.49	12/26/19	109.55	27.06 W	0.00	13 of 49 - Sale ²⁵
	100.000	164.98	12/26/19	303.09	138.11 W	0.00	14 of 49 - Sale ²⁵
	26.000	42.90	12/26/19	56.96	14.06 W	0.00	15 of 49 - Sale ²⁵
	1.000	1.65	12/26/19	2.19	0.54 W	0.00	16 of 49 - Sale ²⁵
	1.000	1.65	12/26/19	2.19	0.54 W	0.00	17 of 49 - Sale ²⁵
	3.000	4.95	12/26/19	6.57	1.62 W	0.00	18 of 49 - Sale ²⁵
	15.000	24.75	12/26/19	32.85	8.10 W	0.00	19 of 49 - Sale ²⁵
	4.000	6.60	12/26/19	8.44	1.84 W	0.00	20 of 49 - Sale ²⁵
	11.000	18.15	12/26/19	23.21	5.06 W	0.00	21 of 49 - Sale ²⁵

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HEXO CORP. / CUSIP: 428304109 / Symbol: (cont'd)							
	89.000	146.84	12/26/19	172.73	25.89 W	0.00	22 of 49 - Sale ²⁵
	4.000	6.60	12/26/19	13.60	7.00 W	0.00	23 of 49 - Sale ²⁵
	10.000	16.50	12/26/19	34.01	17.51 W	0.00	24 of 49 - Sale ²⁵
	13.000	21.45	12/26/19	44.21	22.76 W	0.00	25 of 49 - Sale ²⁵
	18.000	29.70	12/26/19	61.22	31.52 W	0.00	26 of 49 - Sale ²⁵
	22.000	36.30	12/26/19	74.83	38.53 W	0.00	27 of 49 - Sale ²⁵
	18.000	29.70	12/26/19	58.52	28.82 W	0.00	28 of 49 - Sale ²⁵
	61.000	100.64	12/26/19	198.31	97.67 W	0.00	29 of 49 - Sale ²⁵
	23.000	37.95	12/26/19	47.38	9.43 W	0.00	30 of 49 - Sale ²⁵
	67.000	110.54	12/26/19	137.40	26.86 W	0.00	31 of 49 - Sale ²⁵
	76.000	125.39	12/26/19	155.88	30.49 W	0.00	32 of 49 - Sale ²⁵
	188.000	310.17	12/26/19	362.99	52.82 W	0.00	33 of 49 - Sale ²⁵
	58.000	95.69	12/30/19	216.39	120.70 W	0.00	34 of 49 - Sale ²⁵
	5.000	8.25	12/30/19	17.00	8.75 W	0.00	35 of 49 - Sale ²⁵
	15.000	24.75	12/30/19	52.03	27.28 W	0.00	36 of 49 - Sale ²⁵
	22.000	36.30	12/30/19	72.40	36.10 W	0.00	37 of 49 - Sale ²⁵
	31.000	51.14	12/30/19	103.56	52.42 W	0.00	38 of 49 - Sale ²⁵
	69.000	113.83	12/30/19	234.66	120.83 W	0.00	39 of 49 - Sale ²⁵
	33.000	54.44	12/30/19	110.25	55.81 W	0.00	40 of 49 - Sale ²⁵
	67.000	110.54	12/30/19	223.85	113.31 W	0.00	41 of 49 - Sale ²⁵
	2.000	3.30	12/30/19	6.64	3.34 W	0.00	42 of 49 - Sale ²⁵
	19.000	31.35	12/30/19	63.48	32.13 W	0.00	43 of 49 - Sale ²⁵
	98.000	161.68	12/30/19	325.46	163.78 W	0.00	44 of 49 - Sale ²⁵
	31.000	51.14	12/30/19	90.86	39.72 W	0.00	45 of 49 - Sale ²⁵
	100.000	164.98	12/30/19	293.11	128.13 W	0.00	46 of 49 - Sale ²⁵
	45.000	74.24	12/30/19	131.89	57.65 W	0.00	47 of 49 - Sale ²⁵
	24.000	39.60	12/30/19	51.38	11.78 W	0.00	48 of 49 - Sale ²⁵
	31.000	51.14	12/30/19	66.37	15.23 W	0.00	49 of 49 - Sale ²⁵
01/02/20	2,047.000	3,377.23	Various	5,865.42	2,488.19 W	0.00	Total of 49 transactions
51 transactions for 01/14/20. Total proceeds and cost reported to the IRS.							
	38.000	59.65	01/03/20	126.20	...	-66.55	1 of 51 - Sale ²⁵
	31.000	48.67	01/03/20	101.10	...	-52.43	2 of 51 - Sale ²⁵
	31.000	48.67	01/03/20	101.09	...	-52.42	3 of 51 - Sale ²⁵
	76.000	119.31	01/03/20	149.81	...	-30.50	4 of 51 - Sale ²⁵

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Robinhood Securities LLC

Account 154431787

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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HEXO CORP. / CUSIP: 428304109 / Symbol: (cont'd)							
	43.000	67.50	01/03/20	84.75	...	-17.25	5 of 51 - Sale ²⁵
	24.000	37.68	01/03/20	47.30	...	-9.62	6 of 51 - Sale ²⁵
	23.000	36.11	01/03/20	45.54	...	-9.43	7 of 51 - Sale ²⁵
	54.000	84.76	01/06/20	192.77	...	-108.01	8 of 51 - Sale ²⁵
	65.000	102.04	01/06/20	205.39	...	-103.35	9 of 51 - Sale ²⁵
	65.000	102.04	01/06/20	180.05	...	-78.01	10 of 51 - Sale ²⁵
	46.000	72.22	01/06/20	146.27	...	-74.05	11 of 51 - Sale ²⁵
	48.000	75.35	01/06/20	148.33	...	-72.98	12 of 51 - Sale ²⁵
	33.000	51.80	01/06/20	104.28	...	-52.48	13 of 51 - Sale ²⁵
	31.000	48.67	01/06/20	100.44	...	-51.77	14 of 51 - Sale ²⁵
	41.000	64.37	01/06/20	113.56	...	-49.19	15 of 51 - Sale ²⁵
	31.000	48.67	01/06/20	85.87	...	-37.20	16 of 51 - Sale ²⁵
	31.000	48.67	01/06/20	85.87	...	-37.20	17 of 51 - Sale ²⁵
	22.000	34.54	01/06/20	71.28	...	-36.74	18 of 51 - Sale ²⁵
	22.000	34.54	01/06/20	68.85	...	-34.31	19 of 51 - Sale ²⁵
	21.000	32.96	01/06/20	66.78	...	-33.82	20 of 51 - Sale ²⁵
	19.000	29.83	01/06/20	60.42	...	-30.59	21 of 51 - Sale ²⁵
	18.000	28.26	01/06/20	58.32	...	-30.06	22 of 51 - Sale ²⁵
	18.000	28.26	01/06/20	55.63	...	-27.37	23 of 51 - Sale ²⁵
	15.000	23.55	01/06/20	49.61	...	-26.06	24 of 51 - Sale ²⁵
	50.000	78.49	01/06/20	101.51	...	-23.02	25 of 51 - Sale ²⁵
	13.000	20.41	01/06/20	42.11	...	-21.70	26 of 51 - Sale ²⁵
	41.000	64.36	01/06/20	85.29	...	-20.93	27 of 51 - Sale ²⁵
	13.000	20.41	01/06/20	40.16	...	-19.75	28 of 51 - Sale ²⁵
	89.000	139.72	01/06/20	158.41	...	-18.69	29 of 51 - Sale ²⁵
	10.000	15.70	01/06/20	32.40	...	-16.70	30 of 51 - Sale ²⁵
	31.000	48.66	01/06/20	61.38	...	-12.72	31 of 51 - Sale ²⁵
	22.000	34.54	01/06/20	44.65	...	-10.11	32 of 51 - Sale ²⁵
	24.000	37.68	01/06/20	47.51	...	-9.83	33 of 51 - Sale ²⁵
	5.000	7.85	01/06/20	16.19	...	-8.34	34 of 51 - Sale ²⁵
	4.000	6.28	01/06/20	14.28	...	-8.00	35 of 51 - Sale ²⁵
	35.000	54.94	01/06/20	61.94	...	-7.00	36 of 51 - Sale ²⁵
	15.000	23.55	01/06/20	30.43	...	-6.88	37 of 51 - Sale ²⁵
	4.000	6.28	01/06/20	12.95	...	-6.67	38 of 51 - Sale ²⁵

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Robinhood Securities LLC

Account 154431787

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HEXO CORP. / CUSIP: 428304109 / Symbol: (cont'd)							
	14.000	21.98	01/06/20	28.41	...	-6.43	39 of 51 - Sale ²⁵
	4.000	6.28	01/06/20	11.08	...	-4.80	40 of 51 - Sale ²⁵
	4.000	6.28	01/06/20	11.08	...	-4.80	41 of 51 - Sale ²⁵
	10.000	15.70	01/06/20	20.30	...	-4.60	42 of 51 - Sale ²⁵
	11.000	17.27	01/06/20	21.44	...	-4.17	43 of 51 - Sale ²⁵
	2.000	3.14	01/06/20	6.36	...	-3.22	44 of 51 - Sale ²⁵
	2.000	3.14	01/06/20	6.32	...	-3.18	45 of 51 - Sale ²⁵
	4.000	6.28	01/06/20	8.31	...	-2.03	46 of 51 - Sale ²⁵
	4.000	6.28	01/06/20	8.11	...	-1.83	47 of 51 - Sale ²⁵
	4.000	6.28	01/06/20	7.79	...	-1.51	48 of 51 - Sale ²⁵
	3.000	4.71	01/06/20	6.09	...	-1.38	49 of 51 - Sale ²⁵
	1.000	1.57	01/06/20	2.03	...	-0.46	50 of 51 - Sale ²⁵
	1.000	1.57	01/06/20	2.03	...	-0.46	51 of 51 - Sale ²⁵
01/14/20	1,266.000	1,987.47	Various	3,338.07	...	-1,350.60	Total of 51 transactions
	27 transactions for 01/14/20. Total proceeds and cost reported to the IRS.						
	188.000	295.12	01/03/20	347.98	9.84 W	-43.02	1 of 27 - Sale ²⁵
	4.000	6.28	01/03/20	13.28	7.00 W	0.00	2 of 27 - Sale ²⁵
	10.000	15.70	01/03/20	33.21	17.51 W	0.00	3 of 27 - Sale ²⁵
	13.000	20.41	01/03/20	43.17	22.76 W	0.00	4 of 27 - Sale ²⁵
	18.000	28.26	01/03/20	59.78	31.52 W	0.00	5 of 27 - Sale ²⁵
	22.000	34.54	01/03/20	73.07	38.53 W	0.00	6 of 27 - Sale ²⁵
	18.000	28.25	01/03/20	57.08	28.83 W	0.00	7 of 27 - Sale ²⁵
	48.000	75.35	01/03/20	152.22	76.87 W	0.00	8 of 27 - Sale ²⁵
	13.000	20.41	01/03/20	41.22	20.81 W	0.00	9 of 27 - Sale ²⁵
	58.000	91.05	01/03/20	211.76	120.71 W	0.00	10 of 27 - Sale ²⁵
	5.000	7.85	01/03/20	16.60	8.75 W	0.00	11 of 27 - Sale ²⁵
	15.000	23.55	01/03/20	50.83	27.28 W	0.00	12 of 27 - Sale ²⁵
	31.000	48.67	01/03/20	102.96	54.29 W	0.00	13 of 27 - Sale ²⁵
	22.000	34.54	01/03/20	70.64	36.10 W	0.00	14 of 27 - Sale ²⁵
	4.000	6.28	01/03/20	8.64	2.36 W	0.00	15 of 27 - Sale ²⁵
	24.000	37.68	01/03/20	50.66	12.98 W	0.00	16 of 27 - Sale ²⁵
	4.000	6.28	01/03/20	8.44	2.16 W	0.00	17 of 27 - Sale ²⁵
	41.000	64.36	01/03/20	88.61	24.25 W	0.00	18 of 27 - Sale ²⁵
	50.000	78.49	01/03/20	105.56	27.07 W	0.00	19 of 27 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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HEXO CORP. / CUSIP: 428304109 / Symbol: (cont'd)							
	22.000	34.54	01/03/20	46.44	11.90 W	0.00	20 of 27 - Sale ²⁵
	1.000	1.57	01/03/20	2.11	0.54 W	0.00	21 of 27 - Sale ²⁵
	1.000	1.57	01/03/20	2.11	0.54 W	0.00	22 of 27 - Sale ²⁵
	3.000	4.71	01/03/20	6.33	1.62 W	0.00	23 of 27 - Sale ²⁵
	15.000	23.55	01/03/20	31.65	8.10 W	0.00	24 of 27 - Sale ²⁵
	4.000	6.28	01/03/20	8.12	1.84 W	0.00	25 of 27 - Sale ²⁵
	11.000	17.27	01/03/20	22.33	5.06 W	0.00	26 of 27 - Sale ²⁵
	89.000	139.71	01/03/20	165.62	25.91 W	0.00	27 of 27 - Sale ²⁵
01/14/20	734.000	1,152.27	Various	1,820.42	625.13 W	-43.02	Total of 27 transactions
	Security total:	6,516.97		11,023.91	3,113.32 W	-1,393.62	
ISHARES SILVER TRUST / CUSIP: 46428Q109 / Symbol: / Note: CL							
04/03/20	20.000	269.20	03/26/20	269.00	...	0.20	3- Proceeds from collectibles [X] Sale ²⁵
KOHL'S CORPORATION / CUSIP: 500255104 / Symbol:							
4 transactions for 08/24/20. Total proceeds and cost reported to the IRS.							
	10.000	202.99	08/18/20	200.00	...	2.99	1 of 4 - Sale ²⁵
	20.000	405.99	08/18/20	396.00	...	9.99	2 of 4 - Sale ²⁵
	20.000	405.99	08/18/20	390.00	...	15.99	3 of 4 - Sale ²⁵
	25.000	507.48	08/20/20	479.25	...	28.23	4 of 4 - Sale ²⁵
08/24/20	75.000	1,522.45	Various	1,465.25	...	57.20	Total of 4 transactions
LYFT, INC. CLASS A COMMON STOC K / CUSIP: 55087P104 / Symbol:							
9 transactions for 08/06/20. Total proceeds and cost reported to the IRS.							
	20.000	633.98	03/27/20	560.00	...	73.98	1 of 9 - Sale ²⁵
	10.000	316.99	04/15/20	285.00	...	31.99	2 of 9 - Sale ²⁵
	14.000	443.79	07/13/20	688.39	...	-244.60	3 of 9 - Sale ²⁵
	6.000	190.19	07/13/20	295.02	...	-104.83	4 of 9 - Sale ²⁵
	20.000	633.98	07/13/20	641.19	...	-7.21	5 of 9 - Sale ²⁵
	2.000	63.40	07/13/20	57.54	...	5.86	6 of 9 - Sale ²⁵
	15.000	475.49	07/13/20	433.07	...	42.42	7 of 9 - Sale ²⁵
	38.000	1,204.56	07/13/20	1,093.26	...	111.30	8 of 9 - Sale ²⁵

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(continued)

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LYFT, INC. CLASS A COMMON STOC K / CUSIP: 55087P104 / Symbol: (cont'd)							
	36.000	1,141.17	07/30/20	1,040.40	...	100.77	9 of 9 - Sale ²⁵
08/06/20	161.000	5,103.55	Various	5,093.87	...	9.68	Total of 9 transactions
	6 transactions for 08/06/20. Total proceeds and cost reported to the IRS.						
	6.000	190.20	02/11/20	312.00	121.80 W	0.00	1 of 6 - Sale ²⁵
	14.000	443.80	02/11/20	728.00	284.20 W	0.00	2 of 6 - Sale ²⁵
	20.000	633.98	06/19/20	699.77	65.79 W	0.00	3 of 6 - Sale ²⁵
	6.000	190.20	07/07/20	312.60	122.40 W	0.00	4 of 6 - Sale ²⁵
	14.000	443.79	07/07/20	729.40	285.61 W	0.00	5 of 6 - Sale ²⁵
	15.000	475.48	07/07/20	477.00	1.52 W	0.00	6 of 6 - Sale ²⁵
08/06/20	75.000	2,377.45	Various	3,258.77	881.32 W	0.00	Total of 6 transactions
	Security total:	7,481.00		8,352.64	881.32 W	9.68	
MASTERCARD INCORPORATED / CUSIP: 57636Q104 / Symbol:							
03/25/20	10.000	2,629.94	03/23/20	2,050.00	...	579.94	Sale ²⁵
	3 transactions for 03/25/20. Total proceeds and cost reported to the IRS.						
	5.000	1,314.97	01/27/20	1,585.00	270.03 W	0.00	1 of 3 - Sale ²⁵
	4.000	1,051.97	02/24/20	1,274.96	222.99 W	0.00	2 of 3 - Sale ²⁵
	2.000	525.99	03/03/20	593.60	67.61 W	0.00	3 of 3 - Sale ²⁵
03/25/20	11.000	2,892.93	Various	3,453.56	560.63 W	0.00	Total of 3 transactions
	6 transactions for 04/06/20. Total proceeds and cost reported to the IRS.						
	5.000	1,296.47	03/25/20	1,590.03	293.56 W	0.00	1 of 6 - Sale ²⁵
	4.000	1,037.17	03/25/20	1,278.99	241.82 W	0.00	2 of 6 - Sale ²⁵
	2.000	518.59	03/25/20	595.61	77.02 W	0.00	3 of 6 - Sale ²⁵
	5.000	1,297.47	03/26/20	1,571.06	273.59 W	0.00	4 of 6 - Sale ²⁵
	4.000	1,037.98	03/26/20	1,263.82	225.84 W	0.00	5 of 6 - Sale ²⁵
	1.000	259.49	03/26/20	294.01	34.52 W	0.00	6 of 6 - Sale ²⁵
04/06/20	21.000	5,447.17	Various	6,593.52	1,146.35 W	0.00	Total of 6 transactions
	Security total:	10,970.04		12,097.08	1,706.98 W	579.94	

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MATCH GROUP, INC. COMMON STOCK / CUSIP: 57665R106 / Symbol:							
2 transactions for 02/11/20. Total proceeds and cost reported to the IRS.							
	3.000	228.89	02/10/20	228.60	...	0.29	1 of 2 - Sale ²⁵
	7.000	534.09	02/10/20	533.40	...	0.69	2 of 2 - Sale ²⁵
02/11/20	10.000	762.98	Various	762.00	...	0.98	Total of 2 transactions
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol:							
03/13/20	10.000	1,484.96	12/03/19	1,475.00	...	9.96	Sale ²⁵
03/19/20	10.000	1,479.96	12/03/19	1,475.00	...	4.96	Sale ²⁵
	Security total:	2,964.92		2,950.00	...	14.92	
MICRON TECHNOLOGY, INC. COMMON STOCK / CUSIP: 595112103 / Symbol:							
2 transactions for 05/15/20. Total proceeds and cost reported to the IRS.							
	10.000	444.00	04/20/20	434.00	...	10.00	1 of 2 - Sale ²⁵
	10.000	444.00	04/20/20	434.00	...	10.00	2 of 2 - Sale ²⁵
05/15/20	20.000	888.00	Various	868.00	...	20.00	Total of 2 transactions
MONGODB, INC. CLASS A COMMON S TOCK / CUSIP: 60937P106 / Symbol:							
01/08/20	10.000	1,399.97	12/30/19	1,295.00	...	104.97	Sale ²⁵
2 transactions for 01/15/20. Total proceeds and cost reported to the IRS.							
	1.000	152.50	01/15/20	152.00	...	0.50	1 of 2 - Sale ²⁵
	1.000	152.50	01/15/20	152.00	...	0.50	2 of 2 - Sale ²⁵
01/15/20	2.000	305.00	Various	304.00	...	1.00	Total of 2 transactions
01/27/20	2.000	304.60	01/15/20	304.00	...	0.60	Sale ²⁵
	Security total:	2,009.57		1,903.00	...	106.57	
NETFLIX, INC. COMMON STOCK / CUSIP: 64110L106 / Symbol:							
11/18/20	1.000	486.00	11/09/20	477.03	...	8.97	Sale ²⁵
11/18/20	2.000	971.97	10/21/20	982.42	5.23 W	-5.22	Sale ²⁵
	Security total:	1,457.97		1,459.45	5.23 W	3.75	

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Robinhood Securities LLC

Account 154431787

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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NETEASE, INC. AMERICAN DEPOSIT ARY SHARES / CUSIP: 64110W102 / Symbol:							
2 transactions for 07/29/20. Total proceeds and cost reported to the IRS.							
	1.000	456.29	07/14/20	462.00	...	-5.71	1 of 2 - Sale ²⁵
	1.000	456.29	07/16/20	450.50	...	5.79	2 of 2 - Sale ²⁵
07/29/20	2.000	912.58	Various	912.50	...	0.08	Total of 2 transactions
NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol:							
5 transactions for 08/05/20. Total proceeds and cost reported to the IRS.							
	10.000	359.99	07/23/20	370.00	...	-10.01	1 of 5 - Sale ²⁵
	10.000	359.99	07/23/20	360.00	...	-0.01	2 of 5 - Sale ²⁵
	10.000	359.99	07/23/20	353.00	...	6.99	3 of 5 - Sale ²⁵
	10.000	359.99	07/23/20	340.00	...	19.99	4 of 5 - Sale ²⁵
	10.000	359.99	07/24/20	305.00	...	54.99	5 of 5 - Sale ²⁵
08/05/20	50.000	1,799.95	Various	1,728.00	...	71.95	Total of 5 transactions
2 transactions for 08/05/20. Total proceeds and cost reported to the IRS.							
	10.000	359.99	07/20/20	400.00	40.01 W	0.00	1 of 2 - Sale ²⁵
	10.000	359.99	07/20/20	385.00	25.01 W	0.00	2 of 2 - Sale ²⁵
08/05/20	20.000	719.98	Various	785.00	65.02 W	0.00	Total of 2 transactions
	Security total:	2,519.93		2,513.00	65.02 W	71.95	
NINTENDO CO LTD ADR / CUSIP: 654445303 / Symbol:							
4 transactions for 04/06/20. Total proceeds and cost reported to the IRS.							
	1.000	49.30	12/19/19	50.00	...	-0.70	1 of 4 - Sale ²⁵
	4.000	197.19	01/14/20	196.04	...	1.15	2 of 4 - Sale ²⁵
	16.000	788.78	01/14/20	784.16	...	4.62	3 of 4 - Sale ²⁵
	30.000	1,478.96	01/14/20	1,470.30	...	8.66	4 of 4 - Sale ²⁵
04/06/20	51.000	2,514.23	Various	2,500.50	...	13.73	Total of 4 transactions
NORTHROP GRUMMAN CORP. / CUSIP: 666807102 / Symbol:							
07/21/20	4.000	1,231.97	07/07/20	1,231.20	...	0.77	Sale ²⁵

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(continued)

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OLLIE'S BARGAIN OUTLET HOLDING S, INC. COMMON STOCK / CUSIP: 681116109 / Symbol:							
2 transactions for 02/14/20. Total proceeds and cost reported to the IRS.							
	1.000	56.50	01/13/20	55.65	...	0.85	1 of 2 - Sale ²⁵
	4.000	226.00	01/13/20	222.60	...	3.40	2 of 2 - Sale ²⁵
02/14/20	5.000	282.50	Various	278.25	...	4.25	Total of 2 transactions
3 transactions for 02/14/20. Total proceeds and cost reported to the IRS.							
	2.000	113.00	12/16/19	135.60	22.60 W	0.00	1 of 3 - Sale ²⁵
	1.000	56.50	12/16/19	67.80	11.30 W	0.00	2 of 3 - Sale ²⁵
	4.000	226.00	12/16/19	271.20	45.20 W	0.00	3 of 3 - Sale ²⁵
02/14/20	7.000	395.50	Various	474.60	79.10 W	0.00	Total of 3 transactions
5 transactions for 02/20/20. Total proceeds and cost reported to the IRS.							
	8.000	457.59	01/13/20	445.20	...	12.39	1 of 5 - Sale ²⁵
	4.000	228.79	02/10/20	235.20	...	-6.41	2 of 5 - Sale ²⁵
	2.000	114.40	02/10/20	117.60	...	-3.20	3 of 5 - Sale ²⁵
	1.000	57.20	02/10/20	58.80	...	-1.60	4 of 5 - Sale ²⁵
	2.000	114.39	02/10/20	95.00	...	19.39	5 of 5 - Sale ²⁵
02/20/20	17.000	972.37	Various	951.80	...	20.57	Total of 5 transactions
09/29/20	10.000	888.78	09/16/20	870.00	...	18.78	Sale ²⁵
09/29/20	10.000	888.78	08/31/20	943.00	54.22 W	0.00	Sale ²⁵
11/04/20	10.000	889.98	09/16/20	904.22	14.24 W	0.00	Sale ²⁵
	Security total:	4,317.91		4,421.87	147.56 W	43.60	
PAN AMERICAN SILVER CORP. COMM ON STOCK / CUSIP: 697900108 / Symbol:							
05/07/20	2.000	44.80	05/05/20	43.80	...	1.00	Sale ²⁵
PARSLEY ENERGY INC / CUSIP: 701877102 / Symbol:							
3 transactions for 05/08/20. Total proceeds and cost reported to the IRS.							
	50.000	482.48	05/04/20	475.00	...	7.48	1 of 3 - Sale ²⁵
	50.000	481.00	05/04/20	470.00	...	11.00	2 of 3 - Sale ²⁵
	50.000	482.48	05/04/20	470.00	...	12.48	3 of 3 - Sale ²⁵
05/08/20	150.000	1,445.96	Various	1,415.00	...	30.96	Total of 3 transactions
05/18/20	100.000	959.16	05/12/20	888.00	...	71.16	Sale ²⁵

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Robinhood Securities LLC

Account 154431787

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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PARSLEY ENERGY INC / CUSIP: 701877102 / Symbol: (cont'd)							
2 transactions for 09/03/20. Total proceeds and cost reported to the IRS.							
	100.000	1,060.96	05/27/20	911.00	...	149.96	1 of 2 - Sale ²⁵
	100.000	1,060.96	05/27/20	910.00	...	150.96	2 of 2 - Sale ²⁵
09/03/20	200.000	2,121.92	Various	1,821.00	...	300.92	Total of 2 transactions
09/10/20	50.000	496.00	09/09/20	495.00	...	1.00	Sale ²⁵
10/06/20	100.000	981.14	10/01/20	917.00	...	64.14	Sale ²⁵
	Security total:	6,004.18		5,536.00	...	468.18	
PROSHARES ULTRA BLOOMBERG CRUD E OIL / CUSIP: 74347Y888 / Symbol:							
06/19/20	20.000	605.98	06/05/20	596.00	...	9.98	Sale ²⁵
07/02/20	10.000	300.20	06/05/20	298.00	...	2.20	Sale ²⁵
	Security total:	906.18		894.00	...	12.18	
SEA LIMITED AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 81141R100 / Symbol:							
01/15/20	30.000	1,219.47	01/09/20	1,215.00	...	4.47	Sale ²⁵
03/10/20	5.000	245.00	02/24/20	241.00	...	4.00	Sale ²⁵
	Security total:	1,464.47		1,456.00	...	8.47	
SHOPIFY INC. CLASS A SUBORDINATE VOTING SHARES / CUSIP: 82509L107 / Symbol:							
07/24/20	1.000	929.97	07/23/20	925.00	...	4.97	Sale ²⁵
SOFTBANK GROUP AMERICAN DEPOSITARY RECEIPTS - UNSPONSORED / CUSIP: 83404D109 / Symbol:							
5 transactions for 08/21/20. Total proceeds and cost reported to the IRS.							
	1.000	29.83	08/20/20	29.55	...	0.28	1 of 5 - Sale ²⁵
	2.000	59.66	08/20/20	59.10	...	0.56	2 of 5 - Sale ²⁵
	4.000	119.32	08/20/20	118.20	...	1.12	3 of 5 - Sale ²⁵
	16.000	477.12	08/20/20	472.80	...	4.32	4 of 5 - Sale ²⁵
	27.000	805.12	08/20/20	797.85	...	7.27	5 of 5 - Sale ²⁵
08/21/20	50.000	1,491.05	Various	1,477.50	...	13.55	Total of 5 transactions
TARGET CORPORATION / CUSIP: 87612E106 / Symbol:							
02/10/20	10.000	1,169.97	01/15/20	1,169.40	...	0.57	Sale ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

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SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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TWILIO INC. / CUSIP: 90138F102 / Symbol:							
4 transactions for 01/02/20. Total proceeds and cost reported to the IRS.							
	10.000	1,024.97	12/27/19	1,005.00	...	19.97	1 of 4 - Sale ²⁵
	20.000	2,049.96	12/27/19	2,020.00	...	29.96	2 of 4 - Sale ²⁵
	2.000	205.00	12/30/19	195.00	...	10.00	3 of 4 - Sale ²⁵
	8.000	819.98	12/30/19	780.00	...	39.98	4 of 4 - Sale ²⁵
01/02/20	40.000	4,099.91	Various	4,000.00	...	99.91	Total of 4 transactions
3 transactions for 01/08/20. Total proceeds and cost reported to the IRS.							
	4.000	441.19	12/30/19	390.00	...	51.19	1 of 3 - Sale ²⁵
	6.000	661.78	12/30/19	585.00	...	76.78	2 of 3 - Sale ²⁵
	10.000	1,102.97	12/30/19	975.00	...	127.97	3 of 3 - Sale ²⁵
01/08/20	20.000	2,205.94	Various	1,950.00	...	255.94	Total of 3 transactions
02/05/20	10.000	1,234.97	01/16/20	1,190.00	...	44.97	Sale ²⁵
6 transactions for 05/04/20. Total proceeds and cost reported to the IRS.							
	10.000	1,099.97	02/05/20	1,215.00	...	-115.03	1 of 6 - Sale ²⁵
	9.000	989.98	02/05/20	1,080.00	...	-90.02	2 of 6 - Sale ²⁵
	1.000	111.50	03/27/20	96.50	...	15.00	3 of 6 - Sale ²⁵
	3.000	334.49	03/27/20	289.50	...	44.99	4 of 6 - Sale ²⁵
	6.000	668.98	03/27/20	579.00	...	89.98	5 of 6 - Sale ²⁵
	10.000	1,114.98	03/31/20	897.50	...	217.48	6 of 6 - Sale ²⁵
05/04/20	39.000	4,319.90	Various	4,157.50	...	162.40	Total of 6 transactions
	Security total:	11,860.72		11,297.50	...	563.22	
TWITTER, INC. / CUSIP: 90184L102 / Symbol:							
05/07/20	50.000	1,429.96	04/21/20	1,287.25	...	142.71	Sale ²⁵
UBER TECHNOLOGIES, INC. / CUSIP: 90353T100 / Symbol:							
09/30/20	20.000	721.98	08/20/20	575.00	...	146.98	Sale ²⁵
UNITED AIRLINES HOLDINGS, INC. COMMON STOCK / CUSIP: 910047109 / Symbol:							
10 transactions for 07/01/20. Total proceeds and cost reported to the IRS.							
	46.000	1,729.56	06/09/20	2,070.00	...	-340.44	1 of 10 - Sale ²⁵
	4.000	150.40	06/09/20	180.00	...	-29.60	2 of 10 - Sale ²⁵
	36.000	1,353.56	06/10/20	1,440.00	...	-86.44	3 of 10 - Sale ²⁵
	14.000	526.39	06/10/20	560.00	...	-33.61	4 of 10 - Sale ²⁵

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(continued)

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UNITED AIRLINES HOLDINGS, INC. COMMON STOCK / CUSIP: 910047109 / Symbol: (cont'd)							
	5.000	187.99	06/11/20	177.50	...	10.49	5 of 10 - Sale ²⁵
	45.000	1,691.96	06/11/20	1,621.80	...	70.16	6 of 10 - Sale ²⁵
	50.000	1,879.95	06/19/20	1,795.00	...	84.95	7 of 10 - Sale ²⁵
	50.000	1,879.95	06/19/20	1,795.00	...	84.95	8 of 10 - Sale ²⁵
	50.000	1,879.95	06/23/20	1,740.00	...	139.95	9 of 10 - Sale ²⁵
	50.000	1,879.95	06/23/20	1,725.00	...	154.95	10 of 10 - Sale ²⁵
07/01/20	350.000	13,159.66	Various	13,104.30	...	55.36	Total of 10 transactions
UNITED STATES OIL FUND, LP / CUSIP: 91232N207 / Symbol:							
3 transactions for 05/18/20. Total proceeds and cost reported to the IRS.							
	6.250	152.50	04/21/20	144.50	...	8.00	1 of 3 - Sale ²⁵
	6.250	152.50	04/22/20	144.50	...	8.00	2 of 3 - Sale ²⁵
	6.250	152.50	04/27/20	109.50	...	43.00	3 of 3 - Sale ²⁵
05/18/20	18.750	457.50	Various	398.50	...	59.00	Total of 3 transactions
05/18/20	6.250	152.50	04/20/20	190.00	37.50 W	0.00	Sale ²⁵
4 transactions for 07/02/20. Total proceeds and cost reported to the IRS.							
	3.750	107.62	06/03/20	101.25	...	6.37	1 of 4 - Sale ²⁵
	15.000	430.49	06/04/20	404.10	...	26.39	2 of 4 - Sale ²⁵
	28.000	803.58	06/08/20	795.76	...	7.82	3 of 4 - Sale ²⁵
	30.000	860.98	06/08/20	852.60	...	8.38	4 of 4 - Sale ²⁵
07/02/20	76.750	2,202.67	Various	2,153.71	...	48.96	Total of 4 transactions
3 transactions for 07/02/20. Total proceeds and cost reported to the IRS.							
	6.250	179.37	06/03/20	206.25	26.88 W	0.00	1 of 3 - Sale ²⁵
	7.000	200.89	06/05/20	203.00	2.11 W	0.00	2 of 3 - Sale ²⁵
	10.000	286.99	06/05/20	290.00	3.01 W	0.00	3 of 3 - Sale ²⁵
07/02/20	23.250	667.25	Various	699.25	32.00 W	0.00	Total of 3 transactions
4 transactions for 10/06/20. Total proceeds and cost reported to the IRS.							
	3.750	108.00	07/10/20	107.82	...	0.18	1 of 4 - Sale ²⁵
	3.250	93.60	07/13/20	93.35	...	0.25	2 of 4 - Sale ²⁵
	10.000	287.99	07/13/20	287.21	...	0.78	3 of 4 - Sale ²⁵
	66.750	1,922.34	07/13/20	1,897.03	...	25.31	4 of 4 - Sale ²⁵
10/06/20	83.750	2,411.93	Various	2,385.41	...	26.52	Total of 4 transactions
10/06/20	6.250	180.00	07/10/20	204.69	24.69 W	0.00	Sale ²⁵

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UNITED STATES OIL FUND, LP / CUSIP: 91232N207 / Symbol: (cont'd)							
Security total:		6,071.85		6,031.56	94.19 W	134.48	
VISA INC. / CUSIP: 92826C839 / Symbol:							
<i>3 transactions for 03/25/20. Total proceeds and cost reported to the IRS.</i>							
	7.000	1,165.47	12/31/19	1,311.10	145.63 W	0.00	1 of 3 - Sale ²⁵
	5.000	832.48	01/27/20	1,012.00	179.52 W	0.00	2 of 3 - Sale ²⁵
	8.000	1,331.97	01/31/20	1,615.20	283.23 W	0.00	3 of 3 - Sale ²⁵
03/25/20	20.000	3,329.92	Various	3,938.30	608.38 W	0.00	Total of 3 transactions
04/06/20	7.000	1,165.47	03/23/20	1,097.63	...	67.84	Sale ²⁵
<i>3 transactions for 04/06/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	832.48	03/23/20	859.52	27.04 W	0.00	1 of 3 - Sale ²⁵
	3.000	499.49	03/23/20	514.21	14.72 W	0.00	2 of 3 - Sale ²⁵
	5.000	832.98	03/23/20	857.02	24.04 W	0.00	3 of 3 - Sale ²⁵
04/06/20	13.000	2,164.95	Various	2,230.75	65.80 W	0.00	Total of 3 transactions
<i>5 transactions for 05/05/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	361.99	04/16/20	329.62	...	32.37	1 of 5 - Sale ²⁵
	3.000	542.99	04/16/20	494.72	...	48.27	2 of 5 - Sale ²⁵
	5.000	904.98	04/16/20	827.04	...	77.94	3 of 5 - Sale ²⁵
	3.000	542.98	04/17/20	518.12	...	24.86	4 of 5 - Sale ²⁵
	7.000	1,266.97	04/17/20	1,175.30	...	91.67	5 of 5 - Sale ²⁵
05/05/20	20.000	3,619.91	Various	3,344.80	...	275.11	Total of 5 transactions
Security total:		10,280.25		10,611.48	674.18 W	342.95	
WALMART INC. / CUSIP: 931142103 / Symbol:							
01/21/20	30.000	3,455.92	01/14/20	3,462.00	4.05 W	-2.03	Sale ²⁵
<i>4 transactions for 01/27/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	115.40	01/15/20	114.90	...	0.50	1 of 4 - Sale ²⁵
	4.000	461.19	01/15/20	459.61	...	1.58	2 of 4 - Sale ²⁵
	6.000	691.78	01/15/20	689.42	...	2.36	3 of 4 - Sale ²⁵
	9.000	1,038.57	01/15/20	1,034.12	...	4.45	4 of 4 - Sale ²⁵
01/27/20	20.000	2,306.94	Various	2,298.05	...	8.89	Total of 4 transactions
Security total:		5,762.86		5,760.05	4.05 W	6.86	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 154431787

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ZSCALER, INC. COMMON STOCK / CUSIP: 98980G102 / Symbol:							
03/24/20	20.000	1,219.97	02/20/20	1,174.60	...	45.37	Sale ²⁵
ZYNGA INC. CLASS A COMMON STOCK / CUSIP: 98986T108 / Symbol:							
02/05/20	50.000	310.00	02/05/20	299.00	...	11.00	Sale ²⁵
<i>5 transactions for 09/28/20. Total proceeds and cost reported to the IRS.</i>							
	13.000	117.39	08/31/20	115.66	...	1.73	1 of 5 - Sale ²⁵
	87.000	785.58	08/31/20	774.04	...	11.54	2 of 5 - Sale ²⁵
	3.000	27.09	09/16/20	24.90	...	2.19	3 of 5 - Sale ²⁵
	4.000	36.12	09/16/20	33.20	...	2.92	4 of 5 - Sale ²⁵
	13.000	117.39	09/16/20	107.90	...	9.49	5 of 5 - Sale ²⁵
09/28/20	120.000	1,083.57	Various	1,055.70	...	27.87	Total of 5 transactions
12/04/20	50.000	439.50	11/06/20	436.00	...	3.50	Sale ²⁵
	Security total:	1,833.07		1,790.70	...	42.37	
UBS GROUP AG / CUSIP: H42097107 / Symbol:							
<i>6 transactions for 09/01/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	1,220.96	09/01/20	1,209.75	...	11.21	1 of 6 - Sale ²⁵
	100.000	1,220.96	09/01/20	1,209.75	...	11.21	2 of 6 - Sale ²⁵
	200.000	2,441.92	09/01/20	2,419.50	...	22.42	3 of 6 - Sale ²⁵
	200.000	2,441.92	09/01/20	2,419.50	...	22.42	4 of 6 - Sale ²⁵
	200.000	2,441.92	09/01/20	2,419.50	...	22.42	5 of 6 - Sale ²⁵
	200.000	2,441.92	09/01/20	2,419.50	...	22.42	6 of 6 - Sale ²⁵
09/01/20	1,000.000	12,209.60	Various	12,097.50	...	112.10	Total of 6 transactions
09/30/20	100.000	1,120.96	09/22/20	1,117.00	...	3.96	Sale ²⁵
	Security total:	13,330.56		13,214.50	...	116.06	
ROYAL CARIBBEAN GROUP / CUSIP: V7780T103 / Symbol:							
<i>9 transactions for 07/10/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	511.99	06/16/20	575.00	...	-63.01	1 of 9 - Sale ²⁵
	10.000	511.99	06/22/20	523.00	...	-11.01	2 of 9 - Sale ²⁵
	5.000	255.99	06/24/20	255.00	...	0.99	3 of 9 - Sale ²⁵
	30.000	1,535.96	07/02/20	1,510.50	...	25.46	4 of 9 - Sale ²⁵
	10.000	512.28	07/06/20	689.01	...	-176.73	5 of 9 - Sale ²⁵
	1.000	51.20	07/06/20	48.10	...	3.10	6 of 9 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 154431787

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ROYAL CARIBBEAN GROUP / CUSIP: V7780T103 / Symbol: (cont'd)							
	2.000	102.40	07/06/20	95.80	...	6.60	7 of 9 - Sale ²⁵
	33.000	1,689.56	07/06/20	1,580.70	...	108.86	8 of 9 - Sale ²⁵
	39.000	1,996.74	07/06/20	1,875.90	...	120.84	9 of 9 - Sale ²⁵
07/10/20	140.000	7,168.11	Various	7,153.01	...	15.10	Total of 9 transactions
07/10/20	10.000	511.99	06/05/20	720.00	208.01 W	0.00	Sale ²⁵
	Security total:	7,680.10		7,873.01	208.01 W	15.10	
Totals :		331,481.77		338,092.07	10,021.71 W	3,411.41	

UNDETERMINED TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)

Report on Form 8949, Part I with Box B checked or Part II with Box E checked. Basis is NOT provided to the IRS. (Line 12)

"Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ISHARES SILVER TRUST / CUSIP: 46428Q109 / Symbol: / Note: CL							
03/31/20	0.000	0.11	N/A	Principal payment ¹⁶ Cost Basis Factor: 0.000426927
Totals :		0.11		

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 154431787

Detail for Dividends and Distributions

2020

02/14/2021

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
APPLE INC. COMMON STOCK	037833100		11/12/20	60.07	Qualified dividend	
APPLIED MATERIALS, INC. COMMON STOCK	038222105		03/11/20 12/10/20	16.80 6.60	Qualified dividend Qualified dividend	
				23.40	Total Dividends & distributions	
BANK OF AMERICA CORPORATION	060505104		06/26/20 09/25/20 12/24/20	18.00 18.00 36.14	Qualified dividend Qualified dividend Qualified dividend	
				72.14	Total Dividends & distributions	
BARRICK GOLD CORP.	067901108		12/15/20 12/16/20	4.50 -0.68	Nonqualified dividend Foreign tax withheld-CA	
				4.50	Total Dividends & distributions	
				-0.68	Total Foreign tax withheld	
CISCO SYSTEMS, INC. COMMON STOCK (DE)	17275R102		10/21/20	18.00	Qualified dividend	
CITIGROUP INC.	172967424		11/25/20	10.20	Qualified dividend	
DELTA AIR LINES, INC.	247361702		03/12/20	8.05	Qualified dividend	
INVESCO MORTGAGE CAPITAL INC.	46131B100		07/28/20 10/27/20	0.50 1.25	Nondividend distribution Nondividend distribution	03 03
				1.75	Total Dividends & distributions	
MASTERCARD INCORPORATED	57636Q104		08/07/20 11/09/20	8.00 8.00	Qualified dividend Qualified dividend	
				16.00	Total Dividends & distributions	

Robinhood Securities LLC

Account 154431787

Detail for Dividends and Distributions

2020

(continued)

02/14/2021

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
MICROSOFT CORPORATION COMMON S TOCK	594918104		03/12/20	10.20	Qualified dividend	
			06/11/20	10.20	Qualified dividend	
			09/10/20	10.20	Qualified dividend	
			12/10/20	11.23	Qualified dividend	
				41.83	Total Dividends & distributions	
NINTENDO CO LTD ADR	654445303		07/14/20	48.51	Nonqualified dividend	
			07/14/20	-7.43	Foreign tax withheld-JA	
				48.51	Total Dividends & distributions	
				-7.43	Total Foreign tax withheld	
NVIDIA CORPORATION COMMON STOC K	67066G104		12/29/20	0.32	Qualified dividend	
PARSLEY ENERGY INC	701877102		06/19/20	10.00	Qualified dividend	
SIMON PROPERTY GROUP, INC.	828806109		01/22/21	46.66	Section 199A dividend	03
			01/22/21	3.97	Qualified dividend	03
			01/22/21	1.23	Long-term capital gain	03
			01/22/21	0.14	Unrecaptured section 1250 gain	03
				52.00	Total Dividends & distributions	
STARBUCKS CORPORATION COMMON S TOCK	855244109		05/22/20	4.10	Qualified dividend	
			08/21/20	4.12	Qualified dividend	
			11/27/20	4.52	Qualified dividend	
				12.74	Total Dividends & distributions	
STMICROELECTRONICS N.V.	861012102		12/22/20	0.84	Qualified dividend	
			12/22/20	-0.13	Foreign tax withheld-SZ	
				0.84	Total Dividends & distributions	
				-0.13	Total Foreign tax withheld	
VISA INC.	92826C839		03/03/20	6.00	Qualified dividend	
			06/02/20	3.00	Qualified dividend	
			09/01/20	3.00	Qualified dividend	
			12/01/20	3.20	Qualified dividend	
				15.20	Total Dividends & distributions	
				395.55	Total Dividends & distributions	
				-8.24	Total Foreign tax withheld	

Robinhood Securities LLC

Account 154431787

Detail for Interest Income

2020

02/14/2021

This section of your tax information statement contains the payment level detail of taxable interest and associated bond premium. Market discount will be shown here only if you have elected to recognize it currently rather than at the time of sale or maturity. Bond premium and market discount for covered tax lots are totaled on Form 1099-INT and reported to the IRS. For noncovered tax lots, they are totaled and presented beneath the 1099-INT for informational purposes and are not reported to the IRS.

To provide a complete picture of activity for each investment, we also include here nonreportable transactions such as accrued interest paid on purchases and payment or receipt of nonqualified interest. Other amounts, such as federal, state and foreign tax withheld and investment expenses are shown as negative amounts but do not net against the reportable income totals.

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		04/24/20	2.64	Interest	
		04/30/20	0.15	Interest	
		05/29/20	2.33	Interest	
		06/23/20	1.40	Interest	
		06/30/20	0.15	Interest	
		07/27/20	0.79	Interest	
		07/31/20	0.09	Interest	
		08/20/20	0.70	Interest	
		08/31/20	0.48	Interest	
		09/24/20	0.54	Interest	
		09/30/20	0.12	Interest	
		10/30/20	1.72	Interest	
		11/30/20	0.76	Interest	
		12/15/20	3.53	Interest	
		12/31/20	2.18	Interest	
			17.58	Total Interest	
			17.58	Total Interest	

Robinhood Securities LLC

Account 154431787

Fees and Expenses

2020

02/14/2021

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
ISHARES SILVER TRUST	46428Q109 Note: CL	03/31/20	-0.11	Gross proceeds investment expense	15
			-0.11	Total Gross proceeds investmnt exp	

Robinhood Securities LLC

Account 154431787

Foreign Income and Taxes Summary

2020

02/14/2021

This schedule lists all income and foreign tax by country. Mutual Funds and Unit Investment Trusts (UITs) structured as Regulated Investment Companies (RICs) are listed separately with a country designation of "RIC."

Country	Security Description	CUSIP	Nonqualified	Dividends		Interest	Total Income	Tax
				Qualified				
CA	BARRICK GOLD CORP.	067901108	4.50	0.00	0.00	4.50	-0.68	
Total CANADA			4.50	0.00	0.00	4.50	-0.68	
JA	NINTENDO CO LTD ADR	654445303	48.51	0.00	0.00	48.51	-7.43	
Total JAPAN			48.51	0.00	0.00	48.51	-7.43	
SZ	STMICROELECTRONICS N.V.	861012102	0.00	0.84	0.00	0.84	-0.13	
Total SWITZERLAND			0.00	0.84	0.00	0.84	-0.13	
Grand Total	Total Foreign Source Income*				53.85			
	Qualified Foreign Source Income**				0.84			
	Foreign Tax				-8.24			

* Total Foreign Source Income utilizes the "Total" column for RICs which may not always be supplied.

** Qualified Foreign Source Income utilizes the "Qualified" column for RICs which may not always be supplied.

- 03 The tax character of the distribution has been allocated based on information provided by the security issuer.
- 15 Income or expense adjustment. This security is a widely held fixed investment trust (WHFIT). Items of income, deduction, and credit, and any other information shown on this statement must be taken into account in computing taxable income and credits on your income tax return(s).
- 16 This transaction represents the sale of assets from a Widely Held Fixed Investment Trust (WHFIT). The cost basis allocation factor is the value of the assets sold divided by the total net asset value of the trust. If you know your cost of the assets sold, use that to determine your gain/loss. Otherwise, determine your cost basis by multiplying your adjusted cost basis by the cost basis allocation factor. For example, if your adjusted basis is \$1,000 and the cost basis allocation factor is 0.005 your cost basis allocated to that sale is $\$1,000 * 0.005$ or \$5. If there are subsequent sales of trust assets, your adjusted cost basis for the next sale is \$995. Sales are reported based on when and for how much the trust sold the asset. This may differ both in timing and amount from what is distributed. There are cases where the proceeds are used to pay expenses and there is no corresponding distribution. For more information refer to regulations section 1.671-5.
- 25 Tax lot closed on a first in first out basis.
- CL This trust invests exclusively in "collectibles." Gains recognized from the sale of "collectibles" held for more than one year are taxed at a maximum federal income tax rate of 28%. This applies to gains recognized from the sale of an interest in the trust as well as gains attributable to you from the Trust's sale of "collectibles" which you - through ownership of shares in the trust - are treated as having held for more than one year.
-

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

Line 1b. Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 2c. Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

Line 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Instructions for Recipient

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

Line 8. This line should be left blank if a RIC reported the foreign tax shown in line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

1099-INT Instructions for Recipient

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement line is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Line 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2020 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

Line 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction.

Line 3. Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium.

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Line 5. Any amount shown is your share of investment expenses of a singleclass REMIC. This amount is included in line 1. Note: This amount is not deductible.

Line 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

Line 7. Shows the country or U.S. possession to which the foreign tax was paid.

Line 8. Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Forms 1040 and 1040-SR. This

amount may be subject to backup withholding. See Line 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in line 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 10. For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includable in taxable income as interest income.

Line 11. For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includable in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

Line 12. For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includable in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 3. If the amount in line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

Line 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this line for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in line 8 or 9, whichever is applicable. If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

Line 14. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s). **Lines 15-17.** State tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person(s), you are considered a nominee recipient. Complete a Form 1099-INT for each of the other owners showing the income allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner(s) as the "recipient." File Form(s) 1099-INT with Form 1096 with the Internal Revenue Service Center for your area. On Form 1096, list yourself as the "filer." A spouse is not required to file a nominee return to show amounts owned by the other spouse. Future developments. For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099INT.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Instructions for Recipient

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You may also have a filing requirement. See the Instructions for Form 8938.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

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