

 Member FINRA/SIPC PO BOX 2209 OMAHA, NE 68103-2209 Client Services: 800-669-3900	Tax Information Account 497856796	Statement Date: 02/03/2022 Document ID: 6YY8 933 Q155	2021
	NIRANJAN PARIPELLI 2232 Gadwall Ct Conroe, TX 77384-2711		
PAYER'S TIN: 47-0533629	RECIPIENT'S TIN: XXX-XX-2144		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2021 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e) 245.50</p> <p>1b- Qualified dividends 245.50</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f) 0.00</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>2e- Section 897 ordinary dividends 0.00</p> <p>2f- Section 897 capital gain 0.00</p> <p>3- Nondividend distributions 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 0.00</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: 7- Foreign tax paid: 0.00</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p>MISCELLANEOUS INFORMATION 2021 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.00</p> <p>SECTION 1256 CONTRACTS 2021 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2021 on closed contracts 3,776.40</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2020 3,586.40</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2021 0.00</p> <p>11- Aggregate profit or (loss) on contracts 190.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</p>
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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	6,888,004.22	7,360,998.89	0.00	360,116.35	-112,878.32
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	6,888,004.22	7,360,998.89	0.00	360,116.35	-112,878.32
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	6,888,004.22	7,360,998.89	0.00	360,116.35	-112,878.32
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

TD Ameritrade Clearing, Inc.

Account 497856796

Summary Information

2021

(continued)

02/03/2022

INTEREST INCOME

2021 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.81
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	See detail

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	1,364.16
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	30.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

TD Ameritrade Clearing, Inc.

Account 497856796

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

02/03/2022

Sales transactions are grouped by their term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. Tax lots with an additional notation of "Ordinary" represent neither short- nor long-term capital transactions. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of proceeds received when the option was written and the cost to close the position.

Several column headings describe two related items: a quantity and a qualifier. For example, proceeds and, if the sale is the result of an option exercise or assignment, whether the proceeds are gross or net (adjusted for any option premium). When these conditions exist, the qualifier is shown to the right of the amount.

Long positions are reported based on the trade date and short positions are reported based on the settlement date of the trade.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS INC COM CL A / CUSIP: 00165C104 / Symbol: AMC							
04/05/21	375.000	3,843.69	Various	3,807.50	...	36.19	Sale
05/25/21	10.000	156.60	05/17/21	155.00	...	1.60	Sale
06/07/21	25.000	1,188.26	Various	1,062.50	...	125.76	Total of 2 transactions
	Security total:	5,188.55		5,025.00	...	163.55	
AT&T INC COM / CUSIP: 00206R102 / Symbol: T							
03/09/21	500.000	14,999.86	03/09/21	14,993.85	...	6.01	Sale
ARK ETF TR INNOVATION ETF / CUSIP: 00214Q104 / Symbol: ARKK							
03/11/21	100.000	12,661.92	Various	12,625.00	...	36.92	Total of 2 transactions
03/12/21	25.000	3,127.48	03/12/21	3,100.00	...	27.48	Sale
	Security total:	15,789.40		15,725.00	...	64.40	
ADOMANI INC N/C 10/11/21 29414V100 / CUSIP: 00726A100 / Symbol:							
05/14/21	500.000	92.99	05/14/21	103.20	...	-10.21	Sale
ADVANCED MICRO DEVICES INC COM / CUSIP: 007903107 / Symbol: AMD							
01/04/21	50.000	4,732.39	12/29/20	4,550.00	...	182.39	Sale
01/12/21	50.000	4,750.89	01/12/21	4,725.00	...	25.89	Sale
01/29/21	50.000	4,408.39	01/26/21	4,850.00	441.61 W	0.00	Sale
02/01/21	50.000	4,352.39	01/26/21	4,850.00	497.61 W	0.00	Sale
02/10/21	100.000	9,218.79	01/27/21	9,723.16	504.37 W	0.00	Sale

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Account 497856796

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/03/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADVANCED MICRO DEVICES INC COM / CUSIP: 007903107 / Symbol: AMD (cont'd)							
02/11/21	100.000	9,284.78	01/29/21	9,154.37	...	130.41	Sale
03/15/21	75.000	6,187.46	03/15/21	6,131.25	...	56.21	Sale
03/17/21	100.000	8,238.95	03/17/21	8,092.00	...	146.95	Sale
03/30/21	100.000	7,594.95	03/30/21	7,575.00	...	19.95	Sale
05/28/21	20.000	1,582.59	05/27/21	1,567.60	...	14.99	Sale
	Security total:	60,351.58		61,218.38	1,443.59 W	576.79	
AFFIRM HLDGS INC COM CL A / CUSIP: 00827B106 / Symbol: AFRM							
01/13/21	50.000	4,899.90	Various	4,837.50	...	62.40	Total of 2 transactions
AIRBNB INC COM CL A / CUSIP: 009066101 / Symbol: ABNB							
05/27/21	10.000	1,439.99	05/27/21	1,420.00	...	19.99	Sale
06/10/21	25.000	3,668.73	06/09/21	3,625.00	...	43.73	Sale
	Security total:	5,108.72		5,045.00	...	63.72	
ALIBABA GROUP HOLDING LTD ADR SPONSORED / CUSIP: 01609W102 / Symbol: BABA							
01/04/21	25.000	5,640.88	12/29/20	5,925.00	284.12 W	0.00	Sale
01/14/21	25.000	6,081.12	01/13/21	6,171.62	...	-90.50	Sale
06/04/21	25.000	5,468.97	06/04/21	5,450.00	...	18.97	Sale
07/07/21	5.000	1,047.40	06/30/21	1,130.00	82.60 W	0.00	Sale
07/13/21	25.000	5,231.24	Various	5,415.70	...	-184.46	Sale
	Security total:	23,469.61		24,092.32	366.72 W	-255.99	
ALTERYX INC COM CL A / CUSIP: 02156B103 / Symbol: AYY							
03/16/21	50.000	4,359.97	03/15/21	4,325.00	...	34.97	Sale
03/30/21	100.000	7,910.05	03/30/21	7,900.00	...	10.05	Sale
	Security total:	12,270.02		12,225.00	...	45.02	
AMAZON COM INC COM / CUSIP: 023135106 / Symbol: AMZN							
01/04/21	25.000	78,923.26	Various	79,243.53	504.28 W	184.01	Sale
01/06/21	30.000	95,097.90	01/06/21	94,854.28	309.28 W	552.90	Sale
01/07/21	60.000	190,772.39	Various	189,533.15	217.64 W	1,456.88	Total of 2 transactions
01/12/21	60.000	188,155.84	Various	187,131.28	100.68 W	1,125.24	Total of 2 transactions
01/13/21	30.000	95,307.89	01/13/21	93,785.97	...	1,521.92	Sale
01/19/21	30.000	94,186.30	Various	94,644.30	264.57 W	-193.43	Total of 2 transactions
01/20/21	15.000	48,120.04	Various	47,319.81	...	800.23	Total of 2 transactions

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Account 497856796

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/03/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMAZON COM INC COM / CUSIP: 023135106 / Symbol: AMZN (cont'd)							
02/02/21	2.000	6,719.85	02/02/21	6,679.76	...	40.09	Sale
03/04/21	1.000	2,985.14	03/04/21	2,955.00	...	30.14	Sale
04/22/21	1.000	3,356.98	04/22/21	3,355.00	...	1.98	Sale
05/10/21	5.000	15,979.92	05/03/21	16,900.00	...	-920.08	Sale
06/28/21	2.000	6,879.96	Various	6,850.00	...	29.96	Sale
08/16/21	4.000	12,923.57	Various	13,410.00	...	-486.43	Sale
	Security total:	839,409.04		836,662.08	1,396.45 W	4,143.41	
AMERICAN AIRLINES GROUP INC COM / CUSIP: 02376R102 / Symbol: AAL							
01/04/21	100.000	1,505.46	12/16/20	1,675.00	...	-169.54	Sale
03/05/21	100.000	2,050.98	03/05/21	2,143.00	92.02 W	0.00	Sale
03/10/21	250.000	5,407.94	Various	5,467.02	...	-59.08	Total of 2 transactions
	Security total:	8,964.38		9,285.02	92.02 W	-228.62	
APPLE INC COM / CUSIP: 037833100 / Symbol: AAPL							
01/04/21	500.000	63,778.53	Various	64,022.00	2,529.88 W	2,286.41	Sale
01/07/21	300.000	39,074.10	01/06/21	40,479.88	1,405.78 W	0.00	Sale
01/13/21	300.000	39,374.09	01/11/21	40,105.78	731.69 W	0.00	Sale
01/20/21	500.000	66,113.58	01/14/21	65,831.69	213.54 W	495.43	Sale
02/01/21	500.000	67,160.96	Various	71,588.54	4,427.58 W	0.00	Total of 2 transactions
02/22/21	800.000	102,175.81	Various	118,228.06	16,052.25 W	0.00	Total of 5 transactions
03/03/21	1,000.000	124,251.77	Various	156,222.35	31,970.58 W	0.00	Total of 3 transactions
03/29/21	1,000.000	121,919.26	03/29/21	140,750.00	14,955.23 W	-3,875.51	Sale
03/31/21	100.000	12,261.94	03/31/21	14,173.19	1,911.25 W	0.00	Sale
04/01/21	150.000	18,487.54	03/31/21	23,526.51	...	-5,038.97	Sale
04/27/21	100.000	13,464.92	04/27/21	17,167.92	...	-3,703.00	Sale
04/28/21	100.000	13,734.92	04/28/21	17,458.61	...	-3,723.69	Sale
04/29/21	100.000	13,429.92	04/29/21	15,336.25	...	-1,906.33	Sale
	Security total:	695,227.34		784,890.78	74,197.78 W	-15,465.66	
APPLIED MATERIALS INC COM / CUSIP: 038222105 / Symbol: AMAT							
05/20/21	10.000	1,303.09	05/20/21	1,329.10	...	-26.01	Sale
AQUABOUNTY TECHNOLOGIES INC COM / CUSIP: 03842K200 / Symbol: AQB							
06/17/21	250.000	1,489.96	06/15/21	1,462.50	...	27.46	Sale

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/03/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ASHFORD HOSPITALITY TRUST INC 1:10 R/S 7/19/21 044103869 / CUSIP: 044103877 / Symbol:							
06/08/21	325.000	2,047.45	Various	2,005.50	...	41.95	Total of 2 transactions
ATHIRA PHARMA INC COM / CUSIP: 04746L104 / Symbol: ATHA							
06/23/21	100.000	1,049.98	06/22/21	1,035.00	...	14.98	Sale
ATOSSA THERAPEUTICS INC COM / CUSIP: 04962H506 / Symbol: ATOS							
02/02/21	111.000	321.89	01/26/21	299.70	...	22.19	Sale
AURINIA PHARMACEUTICALS INC COM / CUSIP: 05156V102 / Symbol: AUPH							
03/03/21	150.000	2,154.23	Various	2,713.95	...	-559.72	Total of 2 transactions
AYRO INC COM / CUSIP: 054748108 / Symbol: AYRO							
05/19/21	300.000	1,451.95	Various	1,398.00	...	53.95	Sale
05/20/21	100.000	479.99	05/19/21	450.00	...	29.99	Sale
06/01/21	250.000	1,382.46	06/01/21	1,357.50	...	24.96	Sale
06/15/21	500.000	2,684.93	Various	2,848.92	142.21 W	-21.78	Sale
06/23/21	250.000	1,259.96	06/23/21	1,392.21	...	-132.25	Sale
	Security total:	7,259.29		7,446.63	142.21 W	-45.13	
BAIDU INC ADR / CUSIP: 056752108 / Symbol: BIDU							
03/26/21	15.000	2,924.99	03/26/21	2,922.88	...	2.11	Sale
05/10/21	25.000	4,664.98	04/28/21	5,437.50	...	-772.52	Sale
	Security total:	7,589.97		8,360.38	...	-770.41	
BANK OF AMERICA CORPORATION COM / CUSIP: 060505104 / Symbol: BAC							
03/17/21	250.000	9,415.97	Various	9,410.00	...	5.97	Total of 2 transactions
03/29/21	2,250.000	86,479.28	Various	86,247.80	...	231.48	Total of 3 transactions
03/29/21	1,000.000	38,349.68	03/29/21	38,390.00	40.32 W	0.00	Sale
03/30/21	1,000.000	39,339.68	03/30/21	39,290.00	...	49.68	Sale
04/08/21	750.000	29,634.36	04/08/21	29,625.00	...	9.36	Sale
04/08/21	500.000	19,724.89	04/08/21	19,697.50	12.55 W	39.94	Sale
04/20/21	250.000	9,527.42	04/20/21	9,597.50	70.08 W	0.00	Sale
04/21/21	1,000.000	38,012.18	Various	38,369.53	...	-357.35	Total of 2 transactions
06/21/21	50.000	1,969.48	06/17/21	2,046.85	77.37 W	0.00	Sale

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TD Ameritrade Clearing, Inc.

Account 497856796

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/03/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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BANK OF AMERICA CORPORATION COM / CUSIP: 060505104 / Symbol: BAC (cont'd)							
06/23/21	100.000	4,029.97	Various	4,018.00	...	11.97	Sale
06/23/21	175.000	7,043.69	06/17/21	7,140.47	118.00 W	21.22	Sale
	Security total:	283,526.60		283,832.65	318.32 W	12.27	
BED BATH AND BEYOND COM / CUSIP: 075896100 / Symbol: BBBY							
04/15/21	100.000	2,454.98	04/14/21	2,385.97	...	69.01	Sale
06/07/21	100.000	3,499.97	Various	3,312.04	...	187.93	Sale
06/07/21	225.000	7,612.43	Various	7,892.52	337.57 W	57.48	Total of 2 transactions
06/28/21	100.000	2,973.97	06/25/21	2,927.99	...	45.98	Sale
	Security total:	16,541.35		16,518.52	337.57 W	360.40	
BEYOND MEAT INC COM / CUSIP: 08862E109 / Symbol: BYND							
03/11/21	25.000	3,537.48	03/11/21	3,527.50	...	9.98	Sale
05/17/21	10.000	1,017.29	05/17/21	1,019.50	2.21 W	0.00	Sale
05/24/21	10.000	1,114.99	05/21/21	1,072.21	...	42.78	Sale
11/22/21	75.000	5,737.46	Various	6,115.00	...	-377.54	Sale
	Security total:	11,407.22		11,734.21	2.21 W	-324.78	
BIGCOMMERCE HLDGS INC COM / CUSIP: 08975P108 / Symbol: BIGC							
01/04/21	100.000	5,830.87	Various	7,637.50	...	-1,806.63	Sale
03/04/21	250.000	14,537.40	03/04/21	15,095.00	557.60 W	0.00	Sale
03/05/21	250.000	14,789.89	03/04/21	14,753.85	32.08 W	68.12	Sale
03/10/21	100.000	5,868.96	03/10/21	5,832.08	...	36.88	Sale
	Security total:	41,027.12		43,318.43	589.68 W	-1,701.63	
BIONANO GENOMICS INC COM / CUSIP: 09075F107 / Symbol: BNGO							
06/25/21	200.000	1,495.97	06/25/21	1,490.00	...	5.97	Sale
BLACKBERRY LTD COM / CUSIP: 09228F103 / Symbol: BB							
06/17/21	100.000	1,364.98	06/17/21	1,345.00	...	19.98	Sale
BLADE AIR MOBILITY INC COM CL A / CUSIP: 092667104 / Symbol: BLDE							
07/08/21	50.000	449.99	06/29/21	558.98	108.99 W	0.00	Sale
07/19/21	150.000	1,067.97	Various	1,701.97	...	-634.00	Sale
	Security total:	1,517.96		2,260.95	108.99 W	-634.00	

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2021 1099-B* OMB No. 1545-0715

(continued)

02/03/2022

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BLINK CHARGING CO COM / CUSIP: 09354A100 / Symbol: BLNK							
05/20/21	100.000	3,220.39	Various	3,175.00	...	45.39	Total of 2 transactions
05/21/21	50.000	1,671.98	05/20/21	1,600.00	...	71.98	Sale
05/25/21	100.000	3,224.97	05/25/21	3,200.00	...	24.97	Sale
05/26/21	50.000	1,699.98	05/25/21	1,600.00	...	99.98	Sale
06/09/21	100.000	4,159.97	06/08/21	4,093.75	...	66.22	Sale
06/14/21	100.000	4,124.97	06/09/21	4,000.00	...	124.97	Sale
07/02/21	100.000	3,999.97	07/01/21	3,950.00	...	49.97	Sale
07/06/21	100.000	3,884.97	07/02/21	3,775.00	...	109.97	Sale
	Security total:	25,987.20		25,393.75	...	593.45	
BOEING CO COM / CUSIP: 097023105 / Symbol: BA							
03/08/21	100.000	22,724.87	03/08/21	22,697.80	...	27.07	Sale
03/29/21	10.000	2,508.09	03/29/21	2,500.00	...	8.09	Sale
	Security total:	25,232.96		25,197.80	...	35.16	
BUMBLE INC COM CL A / CUSIP: 12047B105 / Symbol: BMBL							
03/11/21	100.000	7,099.95	03/11/21	7,075.00	...	24.95	Sale
BUTTERFLY NETWORK INC COM CL A / CUSIP: 124155102 / Symbol: BFLY							
04/01/21	750.000	12,487.35	04/01/21	12,487.50	0.05 W	-0.10	Sale
04/05/21	500.000	8,449.90	04/01/21	8,225.05	...	224.85	Sale
	Security total:	20,937.25		20,712.55	0.05 W	224.75	
C3.AI INC COM CL A / CUSIP: 12468P104 / Symbol: AI							
05/20/21	25.000	1,449.99	05/20/21	1,443.75	...	6.24	Sale
CBDMD INC COM / CUSIP: 12482W101 / Symbol: YCBD							
03/11/21	250.000	1,069.72	Various	1,061.23	...	8.49	Total of 2 transactions
CPS TECHNOLOGIES CORPORATION COM / CUSIP: 12619F104 / Symbol: CPSH							
05/28/21	100.000	644.99	05/28/21	630.00	...	14.99	Sale
06/01/21	150.000	974.98	05/28/21	945.00	...	29.98	Sale
06/03/21	70.000	468.29	06/02/21	460.60	...	7.69	Sale
06/04/21	250.000	1,649.96	Various	1,633.10	...	16.86	Sale
06/14/21	275.000	2,307.21	06/09/21	2,244.00	...	63.21	Sale
	Security total:	6,045.43		5,912.70	...	132.73	

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2021 1099-B* OMB No. 1545-0715

(continued)

02/03/2022

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CARLOTZ INC COM CL A / CUSIP: 142552108 / Symbol: LOTZ							
06/10/21	200.000	1,219.97	06/10/21	1,198.00	...	21.97	Sale
CARVANA CO COM CL A / CUSIP: 146869102 / Symbol: CVNA							
05/10/21	10.000	2,419.99	04/29/21	2,925.00	...	-505.01	Sale
CBAK ENERGY TECHNOLOGY INC COM / CUSIP: 14986C102 / Symbol: CBAT							
02/04/21	100.000	824.97	01/26/21	825.44	...	-0.47	Sale
CENTERRA GOLD INC COM / CUSIP: 152006102 / Symbol: CGAU							
05/20/21	250.000	1,933.96	Various	1,925.00	...	8.96	Total of 2 transactions
CHARGEPOINT HOLDINGS INC COM CL A / CUSIP: 15961R105 / Symbol: CHPT							
05/24/21	50.000	1,182.49	05/21/21	1,175.00	...	7.49	Sale
05/25/21	100.000	2,322.98	05/25/21	2,300.00	...	22.98	Sale
06/01/21	75.000	1,931.23	05/28/21	1,868.51	...	62.72	Sale
06/01/21	75.000	1,841.23	05/28/21	1,875.00	33.77 W	0.00	Sale
06/14/21	250.000	7,399.93	Various	7,171.26	7.52 W	236.19	Sale
06/25/21	100.000	3,154.98	06/25/21	3,132.52	...	22.46	Sale
	Security total:	17,832.84		17,522.29	41.29 W	351.84	
CHURCHILL CAPITAL CORP IV 1:1 EXC 7/26/21 549498103 / CUSIP: 171439102 / Symbol:							
01/11/21	100.000	1,404.96	01/11/21	1,350.00	...	54.96	Sale
01/12/21	100.000	1,476.96	01/11/21	1,438.00	...	38.96	Sale
06/08/21	200.000	5,239.98	Various	5,185.00	...	54.98	Total of 2 transactions
	Security total:	8,121.90		7,973.00	...	148.90	
CISCO SYSTEMS INC COM / CUSIP: 17275R102 / Symbol: CSCO							
02/10/21	100.000	4,669.89	02/09/21	4,666.50	...	3.39	Sale
CLOVER HEALTH INVESTMENTS CORP COM CL A / CUSIP: 18914F103 / Symbol: CLOV							
04/28/21	100.000	1,028.99	04/27/21	938.99	...	90.00	Sale
05/14/21	100.000	754.01	05/12/21	782.00	27.99 W	0.00	Sale
05/17/21	150.000	1,031.97	05/17/21	1,047.99	20.01 W	3.99	Sale
05/27/21	250.000	1,889.99	05/27/21	1,807.51	...	82.48	Sale
06/01/21	250.000	1,949.99	Various	1,937.50	...	12.49	Total of 2 transactions
06/14/21	250.000	3,662.95	Various	3,602.49	...	60.46	Total of 2 transactions

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CLOVER HEALTH INVESTMENTS CORP COM CL A / CUSIP: 18914F103 / Symbol: CLOV (cont'd)							
06/15/21	100.000	1,465.99	06/14/21	1,450.00	...	15.99	Sale
06/17/21	150.000	1,957.47	06/16/21	1,927.50	...	29.97	Sale
06/29/21	100.000	1,316.98	06/29/21	1,290.00	...	26.98	Sale
08/16/21	500.000	4,069.92	Various	5,115.00	...	-1,045.08	Sale
	Security total:	19,128.26		19,898.98	48.00 W	-722.72	
CLOUDERA INC CSH MRG \$16.00/SH 10/8/21 / CUSIP: 18914U100 / Symbol: CLDR							
03/11/21	25.000	325.00	03/11/21	322.68	...	2.32	Sale
03/11/21	575.000	7,459.67	Various	7,674.88	241.86 W	26.65	Total of 2 transactions
04/15/21	200.000	2,561.97	Various	2,640.67	...	-78.70	Sale
	Security total:	10,346.64		10,638.23	241.86 W	-49.73	
COINBASE GLOBAL INC COM CL A / CUSIP: 19260Q107 / Symbol: COIN							
06/09/21	15.000	3,389.98	06/08/21	3,322.75	...	67.23	Sale
CONTEXTLOGIC INC COM CL A / CUSIP: 21077C107 / Symbol: WISH							
06/14/21	100.000	1,149.98	06/14/21	1,098.00	...	51.98	Sale
06/16/21	100.000	1,134.98	06/16/21	1,070.00	...	64.98	Sale
06/17/21	250.000	2,804.96	06/17/21	2,785.00	4.52 W	24.48	Sale
06/18/21	300.000	3,493.42	Various	3,395.30	...	98.12	Total of 3 transactions
06/29/21	100.000	1,399.98	06/29/21	1,375.00	...	24.98	Sale
08/16/21	400.000	2,919.94	Various	5,012.00	...	-2,092.06	Sale
	Security total:	12,903.26		14,735.30	4.52 W	-1,827.52	
COSTCO WHOLESALE CORP COM / CUSIP: 22160K105 / Symbol: COST							
03/29/21	100.000	35,474.81	03/29/21	35,448.99	...	25.82	Sale
COUPANG INC COM CL A / CUSIP: 22266T109 / Symbol: CPNG							
03/11/21	400.000	21,544.84	Various	21,399.99	...	144.85	Total of 4 transactions
03/11/21	400.000	20,809.84	Various	20,870.06	386.14 W	325.92	Total of 2 transactions
03/12/21	150.000	7,259.94	03/12/21	7,200.12	...	59.82	Sale
03/12/21	100.000	5,250.96	03/11/21	5,311.08	60.12 W	0.00	Sale
	Security total:	54,865.58		54,781.25	446.26 W	530.59	

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CROWDSTRIKE HOLDINGS INC COM CL A / CUSIP: 22788C105 / Symbol: CRWD							
06/07/21	50.000	10,751.15	Various	10,394.75	...	356.40	Total of 2 transactions
11/22/21	25.000	6,162.47	11/17/21	6,607.25	...	-444.78	Sale
	Security total:	16,913.62		17,002.00	...	-88.38	
DAVE & BUSTER'S ENTERTAINMENT COM / CUSIP: 238337109 / Symbol: PLAY							
03/31/21	75.000	3,637.47	Various	3,600.00	...	37.47	Sale
DELTA AIR LINES INC COM / CUSIP: 247361702 / Symbol: DAL							
01/04/21	50.000	1,928.45	12/17/20	2,054.50	...	-126.05	Sale
DIGITAL ALLY INC COM / CUSIP: 25382P208 / Symbol: DGLY							
01/26/21	500.000	1,455.81	01/26/21	1,424.30	...	31.51	Sale
DIREXION SHARES ETF TRUST DLY FIN BULL NEW ETF / CUSIP: 25459Y694 / Symbol: FAS							
01/06/21	50.000	3,192.42	01/06/21	3,085.00	...	107.42	Sale
03/03/21	100.000	8,724.95	03/03/21	8,500.00	...	224.95	Sale
03/05/21	50.000	4,357.47	03/05/21	4,290.00	...	67.47	Sale
03/24/21	100.000	8,755.45	03/24/21	8,700.00	...	55.45	Sale
	Security total:	25,030.29		24,575.00	...	455.29	
DIREXION SHARES ETF TRUST DIREXION DLY GLD MIN IDX 2X / CUSIP: 25460G781 / Symbol: NUGT							
01/20/21	50.000	3,468.41	01/13/21	3,395.68	...	72.73	Sale
01/20/21	100.000	6,902.82	Various	7,027.59	124.77 W	0.00	Total of 2 transactions
05/06/21	25.000	1,674.99	04/26/21	1,662.50	...	12.49	Sale
06/21/21	100.000	6,098.44	Various	6,154.28	93.05 W	37.21	Total of 2 transactions
07/02/21	25.000	1,473.74	06/28/21	1,480.27	...	-6.53	Sale
	Security total:	19,618.40		19,720.32	217.82 W	115.90	
WALT DISNEY COMPANY (THE) COM / CUSIP: 254687106 / Symbol: DIS							
03/30/21	10.000	1,855.09	03/26/21	1,849.50	...	5.59	Sale
DISCOVERY INC COM / CUSIP: 25470F104 / Symbol: DISCA							
05/17/21	50.000	1,688.75	Various	1,709.05	20.30 W	0.00	Total of 2 transactions
06/04/21	100.000	3,134.97	06/04/21	3,154.29	...	-19.32	Sale
	Security total:	4,823.72		4,863.34	20.30 W	-19.32	

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DOCUSIGN INC COM / CUSIP: 256163106 / Symbol: DOCU							
06/03/21	25.000	5,085.22	Various	4,926.10	...	159.12	Sale
DRAFTKINGS INC COM CL A / CUSIP: 26142R104 / Symbol: DKNG							
01/04/21	100.000	4,581.89	12/29/20	4,834.60	252.71 W	0.00	Sale
01/07/21	200.000	9,959.76	Various	10,162.49	192.19 W	-10.54	Total of 2 transactions
02/09/21	25.000	1,579.55	02/05/21	1,641.33	61.78 W	0.00	Sale
02/26/21	150.000	9,240.03	Various	9,211.96	154.68 W	182.75	Total of 2 transactions
03/26/21	100.000	6,109.96	03/26/21	6,246.61	141.63 W	4.98	Sale
04/01/21	50.000	3,140.47	03/29/21	3,116.63	15.54 W	39.38	Sale
05/10/21	450.000	20,744.89	Various	23,076.13	1,998.19 W	-333.05	Total of 2 transactions
05/17/21	50.000	2,173.98	05/17/21	2,196.51	22.53 W	0.00	Sale
05/19/21	1.000	43.26	05/19/21	53.93	10.67 W	0.00	Sale
05/20/21	49.000	2,137.36	05/19/21	2,658.13	520.77 W	0.00	Sale
06/02/21	50.000	2,527.01	06/01/21	2,759.03	...	-232.02	Sale
06/15/21	100.000	4,704.97	06/15/21	5,103.97	...	-399.00	Sale
	Security total:	66,943.13		71,061.32	3,370.69 W	-747.50	
EHANG HOLDINGS LIMITED ADR / CUSIP: 26853E102 / Symbol: EH							
05/27/21	100.000	2,599.98	05/27/21	2,500.00	...	99.98	Sale
ETF SERIES SOLUTIONS US GLB JETS ETF / CUSIP: 26922A842 / Symbol: JETS							
03/03/21	100.000	2,649.99	03/03/21	2,648.00	...	1.99	Sale
ETF MANAGERS TRUST ETFMG ALTR HRVST / CUSIP: 26924G508 / Symbol: MJ							
01/06/21	100.000	1,675.26	01/06/21	1,645.00	...	30.26	Sale
ECOSCIENCES INC COM / CUSIP: 279219208 / Symbol: ECEZ							
06/08/21	500,000.000	437.10	06/08/21	406.95	...	30.15	Sale
EXPRESS INC COM / CUSIP: 30219E103 / Symbol: EXPR							
05/18/21	100.000	395.99	05/17/21	395.00	...	0.99	Sale
META PLATFORMS INC COM CL A / CUSIP: 30303M102 / Symbol: FB							
01/07/21	50.000	13,457.22	01/06/21	13,210.00	...	247.22	Sale
01/12/21	75.000	18,944.57	Various	19,047.50	132.64 W	29.71	Sale
01/19/21	75.000	19,218.32	Various	18,957.64	...	260.68	Sale
03/04/21	25.000	6,412.72	03/04/21	6,393.75	...	18.97	Sale

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Account 497856796

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/03/2022

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META PLATFORMS INC COM CL A / CUSIP: 30303M102 / Symbol: FB (cont'd)							
03/30/21	100.000	28,799.84	03/30/21	28,800.00	0.16 W	0.00	Sale
04/07/21	100.000	31,164.83	04/06/21	30,635.16	...	529.67	Sale
06/21/21	25.000	8,299.96	06/18/21	8,249.75	...	50.21	Sale
08/04/21	10.000	3,599.98	07/28/21	3,604.80	...	-4.82	Sale
	Security total:	129,897.44		128,898.60	132.80 W	1,131.64	
FASTLY INC COM CL A / CUSIP: 31188V100 / Symbol: FSLY							
03/03/21	400.000	26,885.81	03/03/21	27,637.50	751.69 W	0.00	Sale
03/05/21	150.000	9,772.43	03/05/21	9,951.44	303.60 W	124.59	Sale
03/11/21	100.000	7,474.95	03/11/21	7,673.55	198.60 W	0.00	Sale
03/12/21	100.000	7,524.95	03/12/21	7,653.55	128.60 W	0.00	Sale
03/25/21	1,000.000	65,059.55	03/25/21	65,657.57	636.73 W	38.71	Sale
03/26/21	250.000	16,324.89	03/25/21	16,951.58	626.69 W	0.00	Sale
03/31/21	100.000	6,899.95	03/31/21	6,762.65	...	137.30	Sale
04/01/21	100.000	7,092.75	03/31/21	7,017.66	24.98 W	100.07	Sale
04/05/21	75.000	5,212.46	04/05/21	5,615.76	403.30 W	0.00	Sale
04/06/21	100.000	6,899.95	04/05/21	7,173.25	273.30 W	0.00	Sale
04/09/21	100.000	6,874.95	04/07/21	7,215.79	347.09 W	6.25	Sale
04/22/21	125.000	8,468.71	Various	8,890.96	422.25 W	0.00	Sale
04/26/21	100.000	6,999.95	04/22/21	7,077.75	29.44 W	-48.36	Sale
05/14/21	25.000	1,060.24	05/12/21	1,151.47	91.23 W	0.00	Sale
05/20/21	50.000	2,151.48	Various	2,228.90	77.42 W	0.00	Total of 2 transactions
06/02/21	50.000	2,402.66	Various	2,498.20	95.54 W	0.00	Total of 2 transactions
06/04/21	50.000	2,374.98	06/04/21	2,459.02	84.04 W	0.00	Sale
06/24/21	100.000	5,919.96	06/23/21	5,811.01	...	108.95	Sale
	Security total:	195,400.62		199,427.61	4,494.50 W	467.51	
FEDEX CORP COM / CUSIP: 31428X106 / Symbol: FDX							
06/25/21	25.000	7,293.71	06/25/21	7,269.65	...	24.06	Sale
FISKER INC COM CL A / CUSIP: 33813J106 / Symbol: FSR							
04/21/21	100.000	1,402.00	04/20/21	1,357.00	...	45.00	Sale
05/18/21	100.000	1,210.09	05/18/21	1,195.00	...	15.09	Sale
	Security total:	2,612.09		2,552.00	...	60.09	

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(continued)

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FUELCELL ENERGY INC COM / CUSIP: 35952H601 / Symbol: FCEL							
03/31/21	750.000	10,769.88	Various	10,472.50	...	297.38	Total of 3 transactions
05/17/21	100.000	769.00	05/14/21	776.74	7.74 W	0.00	Sale
06/16/21	250.000	2,302.49	06/16/21	2,295.24	...	7.25	Sale
	Security total:	13,841.37		13,544.48	7.74 W	304.63	
FUBOTV INC COM / CUSIP: 35953D104 / Symbol: FUBO							
04/09/21	200.000	4,428.96	Various	4,220.99	...	207.97	Total of 2 transactions
05/14/21	50.000	947.49	05/13/21	917.50	...	29.99	Sale
05/20/21	50.000	1,027.48	05/20/21	1,033.00	5.52 W	0.00	Sale
05/27/21	50.000	1,212.48	05/27/21	1,180.52	...	31.96	Sale
06/01/21	150.000	3,659.96	Various	3,652.51	12.53 W	19.98	Total of 2 transactions
06/03/21	100.000	2,675.99	06/03/21	2,655.02	...	20.97	Sale
06/04/21	200.000	5,477.96	Various	5,439.99	...	37.97	Total of 2 transactions
06/23/21	50.000	1,652.48	06/23/21	1,582.50	...	69.98	Sale
06/24/21	100.000	3,241.97	06/23/21	3,293.00	...	-51.03	Sale
	Security total:	24,324.77		23,975.03	18.05 W	367.79	
FUTU HOLDINGS LIMITED ADR / CUSIP: 36118L106 / Symbol: FUTU							
03/15/21	50.000	7,574.95	03/15/21	7,500.00	...	74.95	Sale
05/13/21	10.000	1,125.89	05/12/21	1,110.00	...	15.89	Sale
05/27/21	10.000	1,404.99	05/27/21	1,375.00	...	29.99	Sale
06/02/21	10.000	1,447.19	06/02/21	1,424.00	...	23.19	Sale
	Security total:	11,553.02		11,409.00	...	144.02	
GAOTU TECHEDU INC ADR / CUSIP: 36257Y109 / Symbol: GOTU							
06/09/21	100.000	1,700.99	06/08/21	1,569.00	...	131.99	Sale
GAMESTOP CORPORATION COM CL A / CUSIP: 36467W109 / Symbol: GME							
01/25/21	10.000	876.18	01/25/21	837.50	...	38.68	Sale
03/23/21	1.000	185.00	03/23/21	178.40	...	6.60	Sale
04/15/21	10.000	1,640.99	Various	1,598.35	...	42.64	Sale
	Security total:	2,702.17		2,614.25	...	87.92	
GENERAL ELECTRIC CO 1:8 R/S 8/2/21 369604301 / CUSIP: 369604103 / Symbol:							
06/02/21	100.000	1,411.27	06/02/21	1,409.00	...	2.27	Sale

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(continued)

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GENERAL MOTORS CO COM / CUSIP: 37045V100 / Symbol: GM							
03/03/21	250.000	13,455.33	03/03/21	13,599.98	57.86 W	-86.79	Sale
03/11/21	100.000	5,699.96	03/11/21	5,730.36	...	-30.40	Sale
	Security total:	19,155.29		19,330.34	57.86 W	-117.19	
GEVO INC COM / CUSIP: 374396406 / Symbol: GEVO							
04/28/21	200.000	1,443.97	04/28/21	1,410.00	...	33.97	Sale
05/25/21	100.000	718.99	05/25/21	718.00	...	0.99	Sale
06/08/21	500.000	4,489.95	Various	4,387.50	...	102.45	Total of 2 transactions
07/01/21	250.000	1,842.46	06/30/21	1,819.80	...	22.66	Sale
	Security total:	8,495.37		8,335.30	...	160.07	
GLOBAL X FDS US INFR DEV ETF / CUSIP: 37954Y673 / Symbol: PAVE							
04/05/21	200.000	5,129.95	03/31/21	5,121.50	...	8.45	Sale
HOLICITY INC 1:1 EXC 7/1/21 04634X103 / CUSIP: 435063102 / Symbol: HOL							
06/08/21	100.000	1,099.98	06/08/21	1,085.00	...	14.98	Sale
HUMBL INC COM / CUSIP: 44501Q104 / Symbol: HMBL							
04/29/21	500.000	792.99	Various	781.40	...	11.59	Sale
HYLIION HOLDINGS CORP COM / CUSIP: 449109107 / Symbol: HYLN							
06/15/21	150.000	1,789.47	Various	1,949.52	...	-160.05	Sale
06/15/21	100.000	1,192.98	06/09/21	1,280.00	87.02 W	0.00	Sale
	Security total:	2,982.45		3,229.52	87.02 W	-160.05	
INOVIO PHARMACEUTICALS INC COM / CUSIP: 45773H201 / Symbol: INO							
01/04/21	75.000	715.48	12/09/20	909.00	...	-193.52	Sale
INTEL CORP COM / CUSIP: 458140100 / Symbol: INTC							
03/24/21	100.000	6,384.96	03/24/21	6,380.00	...	4.96	Sale
05/27/21	50.000	2,874.98	05/26/21	2,837.50	...	37.48	Sale
05/28/21	50.000	2,859.98	05/28/21	2,850.00	...	9.98	Sale
	Security total:	12,119.92		12,067.50	...	52.42	

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INVESCO EXCHANGE TRADED FUND T SOLAR ETF / CUSIP: 46138G706 / Symbol: TAN							
04/06/21	100.000	8,999.94	04/06/21	9,000.00	...	-0.06	Sale
04/21/21	1.000	84.55	04/12/21	83.50	...	1.05	Sale
	Security total:	9,084.49		9,083.50	...	0.99	
INVITAE CORP COM / CUSIP: 46185L103 / Symbol: NVTA							
03/15/21	150.000	6,464.97	03/12/21	6,443.45	...	21.52	Sale
IPOWER INC COM CL A / CUSIP: 46265P107 / Symbol: IPW							
05/12/21	100.000	649.99	05/12/21	550.00	...	99.99	Sale
05/19/21	250.000	1,404.96	05/19/21	1,375.00	...	29.96	Sale
05/20/21	350.000	1,889.95	05/19/21	1,875.00	25.04 W	39.99	Sale
05/25/21	102.000	504.91	Various	499.80	...	5.11	Total of 2 transactions
05/25/21	298.000	1,475.05	05/25/21	1,475.22	7.55 W	7.38	Sale
05/26/21	500.000	2,652.42	Various	2,467.57	...	184.85	Total of 2 transactions
	Security total:	8,577.28		8,242.59	32.59 W	367.28	
IQIYI INC ADR / CUSIP: 46267X108 / Symbol: IQ							
07/19/21	150.000	1,816.47	07/01/21	2,309.50	...	-493.03	Sale
JD.COM INC ADR / CUSIP: 47215P106 / Symbol: JD							
03/16/21	100.000	8,609.95	03/11/21	9,320.45	710.50 W	0.00	Sale
03/17/21	125.000	10,749.94	03/11/21	11,966.75	1,216.81 W	0.00	Sale
03/24/21	17.000	1,357.27	03/17/21	1,835.47	...	-478.20	Sale
03/24/21	308.000	24,591.39	Various	27,392.74	403.90 W	-2,397.45	Sale
	Security total:	45,308.55		50,515.41	2,331.21 W	-2,875.65	
JOHNSON & JOHNSON COM / CUSIP: 478160104 / Symbol: JNJ							
03/01/21	1.000	161.50	02/26/21	161.50	...	0.00	Sale
JUMIA TECHNOLOGIES AG ADR SPONSORED / CUSIP: 48138M105 / Symbol: JMIA							
02/08/21	50.000	3,134.92	02/05/21	3,085.00	...	49.92	Sale
03/09/21	500.000	20,694.83	03/09/21	20,675.00	...	19.83	Sale
03/10/21	200.000	8,477.94	Various	8,350.03	...	127.91	Total of 2 transactions
03/10/21	200.000	8,799.94	03/10/21	8,700.00	0.03 W	99.97	Sale
03/11/21	700.000	33,264.77	Various	33,049.99	...	214.78	Total of 5 transactions
03/11/21	350.000	16,922.37	Various	16,995.99	83.65 W	10.03	Total of 2 transactions

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JUMIA TECHNOLOGIES AG ADR SPONSORED / CUSIP: 48138M105 / Symbol: JMIA (cont'd)							
03/24/21	2,000.000	69,619.51	Various	81,269.88	11,650.37 W	0.00	Total of 2 transactions
03/26/21	250.000	8,749.93	03/26/21	10,978.71	2,228.78 W	0.00	Sale
03/29/21	155.000	4,973.92	03/26/21	6,421.98	1,448.06 W	0.00	Sale
03/30/21	2,000.000	65,649.43	Various	77,480.24	11,830.81 W	0.00	Total of 3 transactions
04/01/21	100.000	3,782.97	04/01/21	3,977.10	...	-194.13	Sale
04/01/21	300.000	11,237.96	Various	12,915.82	1,562.10 W	-115.76	Total of 2 transactions
04/05/21	250.000	9,224.95	Various	10,509.78	...	-1,284.83	Total of 2 transactions
04/06/21	375.000	13,829.89	04/05/21	17,046.62	...	-3,216.73	Sale
04/09/21	200.000	7,525.94	Various	8,572.26	...	-1,046.32	Total of 2 transactions
04/26/21	50.000	1,663.48	04/26/21	1,855.30	191.82 W	0.00	Sale
05/17/21	2.000	52.06	05/17/21	51.00	...	1.06	Sale
05/17/21	98.000	2,548.96	05/17/21	2,690.82	166.33 W	24.47	Sale
05/20/21	50.000	1,388.98	05/20/21	1,541.33	152.35 W	0.00	Sale
05/26/21	125.000	3,576.87	Various	3,609.33	139.97 W	107.51	Total of 2 transactions
05/28/21	75.000	2,264.23	05/27/21	2,391.49	130.50 W	3.24	Sale
06/02/21	100.000	3,214.97	06/02/21	3,330.50	123.02 W	7.49	Sale
06/03/21	250.000	7,770.03	Various	8,123.02	352.99 W	0.00	Total of 3 transactions
06/07/21	100.000	3,339.98	06/04/21	3,228.69	...	111.29	Sale
06/07/21	75.000	2,452.48	06/04/21	2,452.52	0.04 W	0.00	Sale
06/08/21	100.000	3,249.97	06/08/21	3,417.80	174.06 W	6.23	Sale
06/17/21	250.000	7,549.93	06/15/21	7,266.04	92.76 W	376.65	Sale
06/24/21	750.000	22,567.29	Various	21,656.01	88.27 W	999.55	Sale
06/25/21	250.000	7,384.96	06/25/21	7,450.77	83.78 W	17.97	Sale
06/30/21	100.000	3,039.97	06/30/21	3,123.18	83.50 W	0.29	Sale
07/01/21	100.000	3,009.97	07/01/21	3,073.50	73.52 W	9.99	Sale
08/17/21	250.000	4,462.70	07/01/21	7,529.52	3,066.82 W	0.00	Sale
11/17/21	1,000.000	14,710.40	Various	29,745.57	...	-15,035.17	Sale
	Security total:	380,136.50		432,564.79	33,723.53 W	-18,704.76	
KAZIA THERAPEUTICS LIMITED ADR SPONSORED / CUSIP: 48669G105 / Symbol: KZIA							
03/29/21	10.000	123.30	03/29/21	128.40	...	-5.10	Sale
KELSO TECHNOLOGIES COM / CUSIP: 48826D201 / Symbol: KIQ							
03/25/21	1,500.000	1,484.96	Various	1,482.35	...	2.61	Sale

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KOHLS CORPORATION COM / CUSIP: 500255104 / Symbol: KSS							
03/08/21	100.000	5,739.96	03/08/21	5,735.00	...	4.96	Sale
03/29/21	100.000	5,719.97	03/29/21	5,700.00	...	19.97	Sale
	Security total:	11,459.93		11,435.00	...	24.93	
KOSS CORP COM / CUSIP: 500692108 / Symbol: KOSS							
06/03/21	50.000	1,484.98	06/03/21	1,450.00	...	34.98	Sale
LEMONADE INC COM / CUSIP: 52567D107 / Symbol: LMND							
06/15/21	35.000	3,405.48	06/15/21	3,400.00	17.51 W	22.99	Sale
06/17/21	50.000	4,899.97	06/17/21	4,777.51	...	122.46	Sale
08/04/21	35.000	3,123.73	Various	3,626.25	...	-502.52	Sale
	Security total:	11,429.18		11,803.76	17.51 W	-357.07	
MP MATERIALS CORP COM CL A / CUSIP: 553368101 / Symbol: MP							
05/05/21	50.000	1,631.48	04/28/21	1,575.00	...	56.48	Sale
05/13/21	40.000	1,005.59	05/12/21	991.55	...	14.04	Sale
	Security total:	2,637.07		2,566.55	...	70.52	
MACY'S INC COM / CUSIP: 55616P104 / Symbol: M							
03/03/21	250.000	3,975.40	03/03/21	3,980.00	...	-4.60	Sale
05/20/21	50.000	906.67	05/20/21	892.50	...	14.17	Sale
05/21/21	50.000	907.99	05/20/21	899.00	...	8.99	Sale
	Security total:	5,790.06		5,771.50	...	18.56	
MICROSOFT CORP COM / CUSIP: 594918104 / Symbol: MSFT							
01/07/21	50.000	10,887.25	01/06/21	10,642.00	...	245.25	Sale
01/19/21	75.000	16,199.79	Various	16,192.30	...	7.49	Sale
03/05/21	25.000	5,721.97	03/04/21	5,675.00	...	46.97	Sale
03/11/21	50.000	11,749.93	03/11/21	11,725.00	...	24.93	Sale
03/15/21	25.000	5,874.97	03/15/21	5,825.00	...	49.97	Sale
03/26/21	250.000	58,749.67	03/26/21	58,437.50	...	312.17	Sale
03/29/21	250.000	58,602.17	Various	58,455.00	...	147.17	Total of 2 transactions
03/30/21	600.000	139,284.24	Various	139,175.00	...	109.24	Total of 3 transactions
03/31/21	100.000	23,603.27	03/31/21	23,471.00	...	132.27	Sale
04/07/21	100.000	25,050.13	04/07/21	24,835.00	...	215.13	Sale
04/30/21	25.000	6,249.97	04/28/21	6,368.75	71.27 W	-47.51	Sale

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Account 497856796

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/03/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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MICROSOFT CORP COM / CUSIP: 594918104 / Symbol: MSFT (cont'd)							
05/10/21	25.000	6,201.22	04/28/21	6,368.75	67.01 W	-100.52	Sale
06/03/21	25.000	6,124.97	Various	6,372.03	...	-247.06	Sale
	Security total:	374,299.55		373,542.33	138.28 W	895.50	
MICROVISION INC WASHINGTON COM / CUSIP: 594960304 / Symbol: MVIS							
04/26/21	25.000	687.75	04/26/21	662.00	...	25.75	Sale
NIO INC ADR / CUSIP: 62914V106 / Symbol: NIO							
01/06/21	50.000	2,710.43	01/06/21	2,700.00	...	10.43	Sale
03/03/21	250.000	10,709.92	Various	12,816.25	2,106.33 W	0.00	Sale
03/05/21	200.000	7,715.94	03/04/21	9,884.06	2,168.12 W	0.00	Sale
03/09/21	150.000	5,986.47	03/09/21	7,817.33	1,830.86 W	0.00	Sale
03/11/21	100.000	4,377.98	03/11/21	4,738.06	360.08 W	0.00	Sale
03/15/21	100.000	4,524.97	03/15/21	5,475.32	950.35 W	0.00	Sale
03/16/21	100.000	4,525.98	03/15/21	5,525.58	999.60 W	0.00	Sale
03/17/21	100.000	4,475.98	03/16/21	4,639.33	163.35 W	0.00	Sale
03/25/21	4,000.000	153,138.73	Various	161,104.05	8,174.45 W	209.13	Total of 4 transactions
03/26/21	250.000	8,737.43	03/25/21	11,534.31	2,796.88 W	0.00	Sale
03/29/21	500.000	17,498.80	Various	23,028.64	5,529.84 W	0.00	Total of 2 transactions
03/31/21	650.000	25,378.89	Various	30,249.01	4,905.41 W	35.29	Total of 2 transactions
04/01/21	250.000	10,188.92	Various	12,286.98	2,098.06 W	0.00	Total of 2 transactions
04/06/21	250.000	9,712.42	04/01/21	12,085.16	2,372.74 W	0.00	Sale
04/09/21	125.000	4,818.72	04/07/21	5,558.72	740.00 W	0.00	Sale
04/12/21	250.000	9,317.42	04/12/21	11,572.77	2,255.35 W	0.00	Sale
04/19/21	1,500.000	54,289.55	Various	59,345.85	5,251.15 W	194.85	Total of 2 transactions
04/21/21	250.000	9,124.92	04/20/21	11,575.56	...	-2,450.64	Sale
04/21/21	500.000	18,269.86	Various	21,103.15	2,781.74 W	-51.55	Total of 2 transactions
05/17/21	200.000	6,775.94	Various	6,906.18	...	-130.24	Total of 2 transactions
11/16/21	250.000	10,077.72	Various	9,638.50	...	439.22	Sale
	Security total:	382,356.99		429,584.81	45,484.31 W	-1,743.51	
NANO DIMENSION LTD ADR SPONSORED / CUSIP: 63008G203 / Symbol: NNDM							
03/11/21	200.000	2,185.97	03/11/21	2,150.00	...	35.97	Sale
05/17/21	350.000	2,166.56	Various	2,100.00	...	66.56	Total of 2 transactions
05/19/21	250.000	1,557.46	05/19/21	1,557.23	...	0.23	Sale

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2021 1099-B* OMB No. 1545-0715

(continued)

02/03/2022

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NANO DIMENSION LTD ADR SPONSORED / CUSIP: 63008G203 / Symbol: NNDM (cont'd)							
06/08/21	250.000	2,049.96	06/08/21	2,035.00	...	14.96	Sale
06/29/21	250.000	2,137.46	06/29/21	2,125.00	...	12.46	Sale
07/15/21	125.000	804.99	06/30/21	1,031.25	...	-226.26	Sale
07/19/21	125.000	802.50	06/30/21	1,031.25	...	-228.75	Sale
	Security total:	11,704.90		12,029.73	...	-324.83	
NETFLIX INC COM / CUSIP: 64110L106 / Symbol: NFLX							
01/07/21	25.000	12,730.97	01/06/21	12,618.51	...	112.46	Sale
01/13/21	50.000	25,313.93	Various	24,759.75	...	554.18	Sale
01/19/21	50.000	25,299.43	01/14/21	25,250.00	...	49.43	Sale
	Security total:	63,344.33		62,628.26	...	716.07	
NIKE INC COM CL B / CUSIP: 654106103 / Symbol: NKE							
03/29/21	10.000	1,327.39	03/29/21	1,320.00	...	7.39	Sale
NOKIA OYJ ADR SPONSORED / CUSIP: 654902204 / Symbol: NOK							
03/03/21	100.000	398.49	01/27/21	511.00	...	-112.51	Sale
NOVAVAX INC COM / CUSIP: 670002401 / Symbol: NVAX							
06/15/21	15.000	3,029.98	06/14/21	3,285.00	...	-255.02	Sale
NUCOR CORP COM / CUSIP: 670346105 / Symbol: NUE							
03/30/21	100.000	8,039.95	03/29/21	7,951.25	...	88.70	Sale
NVIDIA CORP COM / CUSIP: 67066G104 / Symbol: NVDA							
01/04/21	50.000	26,799.40	Various	26,299.54	67.27 W	567.13	Sale
01/07/21	25.000	13,157.71	01/06/21	12,792.27	...	365.44	Sale
	Security total:	39,957.11		39,091.81	67.27 W	932.57	
OCUGEN INC COM / CUSIP: 67577C105 / Symbol: OCGN							
05/27/21	250.000	2,287.46	Various	2,075.52	...	211.94	Sale
05/27/21	150.000	1,366.47	05/20/21	1,374.00	18.02 W	10.49	Sale
05/28/21	250.000	2,138.71	05/28/21	2,125.00	...	13.71	Sale
06/01/21	250.000	2,149.99	06/01/21	2,125.00	...	24.99	Sale
06/02/21	340.000	3,197.48	Various	2,849.53	...	347.95	Total of 2 transactions

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(continued)

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OCUGEN INC COM / CUSIP: 67577C105 / Symbol: OCGN (cont'd)							
06/04/21	250.000	2,199.96	06/04/21	2,174.63	...	25.33	Sale
06/07/21	250.000	2,208.71	Various	2,175.00	...	33.71	Total of 2 transactions
	Security total:	15,548.78		14,898.68	18.02 W	668.12	
OPENDOOR TECHNOLOGIES INC COM / CUSIP: 683712103 / Symbol: OPEN							
05/14/21	150.000	2,252.99	Various	2,279.00	...	-26.01	Sale
ORBITAL ENERGY GROUP INC COM / CUSIP: 68559A109 / Symbol: OEG							
06/15/21	250.000	1,265.00	Various	1,305.00	...	-40.00	Total of 2 transactions
PALANTIR TECH INC COM CL A / CUSIP: 69608A108 / Symbol: PLTR							
01/11/21	100.000	2,574.93	01/08/21	2,516.00	...	58.93	Sale
03/03/21	600.000	14,483.92	Various	17,308.66	2,864.32 W	39.58	Sale
03/04/21	250.000	6,069.94	03/04/21	7,952.53	1,882.59 W	0.00	Sale
03/05/21	250.000	6,037.44	03/05/21	6,690.54	653.10 W	0.00	Sale
03/09/21	500.000	11,874.88	03/09/21	14,371.42	2,496.54 W	0.00	Sale
03/11/21	842.000	22,301.69	Various	26,505.87	4,204.18 W	0.00	Total of 4 transactions
03/24/21	1,000.000	21,969.77	Various	26,950.34	4,980.57 W	0.00	Sale
03/25/21	250.000	5,504.94	03/24/21	7,474.27	1,969.33 W	0.00	Sale
03/29/21	4,000.000	86,549.28	Various	107,252.52	20,703.24 W	0.00	Total of 5 transactions
03/30/21	2,600.000	55,827.29	Various	70,630.48	14,803.19 W	0.00	Total of 5 transactions
04/01/21	500.000	11,509.88	03/30/21	14,011.20	2,501.32 W	0.00	Sale
04/05/21	1,250.000	29,923.21	Various	33,631.17	3,852.05 W	144.09	Total of 5 transactions
04/06/21	1,000.000	23,449.86	04/05/21	27,628.95	4,179.09 W	0.00	Sale
04/07/21	1,000.000	23,019.76	04/07/21	27,194.90	4,175.14 W	0.00	Sale
04/09/21	250.000	5,894.94	04/07/21	6,324.57	449.84 W	20.21	Sale
04/14/21	750.000	18,959.81	04/12/21	21,050.57	2,638.65 W	547.89	Sale
04/19/21	650.000	14,475.35	Various	18,945.47	597.85 W	-3,872.27	Sale
05/14/21	50.000	996.49	05/12/21	1,537.35	540.86 W	0.00	Sale
05/20/21	50.000	1,035.48	05/20/21	1,565.86	...	-530.38	Sale
07/14/21	50.000	1,076.48	07/01/21	1,272.50	196.02 W	0.00	Sale
08/13/21	200.000	4,981.95	07/01/21	5,193.52	...	-211.57	Sale
11/22/21	100.000	2,127.98	11/17/21	2,304.85	176.87 W	0.00	Sale
12/28/21	250.000	4,654.98	11/17/21	5,762.13	...	-1,107.15	Sale
	Security total:	375,300.25		454,075.67	73,864.75 W	-4,910.67	

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PAYPAL HOLDINGS INC COM / CUSIP: 70450Y103 / Symbol: PYPL							
04/21/21	100.000	26,404.86	04/21/21	26,374.00	...	30.86	Sale
04/22/21	100.000	26,492.01	Various	26,400.00	...	92.01	Total of 4 transactions
06/10/21	10.000	2,692.59	06/10/21	2,680.00	...	12.59	Sale
08/04/21	10.000	2,749.99	07/30/21	2,750.00	...	-0.01	Sale
	Security total:	58,339.45		58,204.00	...	135.45	
PELTON INTERACTIVE INC COM CL A / CUSIP: 70614W100 / Symbol: PTON							
04/07/21	50.000	5,799.96	04/07/21	5,798.50	...	1.46	Sale
05/25/21	10.000	1,024.99	05/24/21	997.50	...	27.49	Sale
06/03/21	20.000	2,123.79	06/02/21	2,170.00	...	-46.21	Sale
11/16/21	25.000	1,355.24	11/04/21	1,675.00	319.76 W	0.00	Sale
11/17/21	100.000	5,169.96	Various	6,087.51	...	-917.55	Sale
	Security total:	15,473.94		16,728.51	319.76 W	-934.81	
PENN NATIONAL GAMING INC COM / CUSIP: 707569109 / Symbol: PENN							
05/05/21	100.000	9,153.14	04/30/21	8,975.00	...	178.14	Sale
06/03/21	30.000	2,355.59	Various	2,470.50	...	-114.91	Sale
	Security total:	11,508.73		11,445.50	...	63.23	
PETMED EXPRESS INC COM / CUSIP: 716382106 / Symbol: PETS							
06/10/21	50.000	1,799.98	06/09/21	1,780.00	...	19.98	Sale
PIERIS PHARMACEUTICALS INC COM / CUSIP: 720795103 / Symbol: PIRS							
06/15/21	500.000	1,799.93	06/14/21	1,795.00	...	4.93	Sale
PINDUODUO INC ADR / CUSIP: 722304102 / Symbol: PDD							
04/08/21	35.000	4,971.72	04/07/21	4,826.25	...	145.47	Sale
04/20/21	75.000	9,637.44	Various	10,115.25	...	-477.81	Sale
05/25/21	10.000	1,329.99	05/24/21	1,297.50	...	32.49	Sale
05/27/21	10.000	1,232.99	05/26/21	1,230.00	...	2.99	Sale
06/15/21	25.000	3,046.23	06/14/21	3,042.50	...	3.73	Sale
	Security total:	20,218.37		20,511.50	...	-293.13	

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PINTEREST INC COM CL A / CUSIP: 72352L106 / Symbol: PINS							
02/05/21	50.000	4,299.89	02/04/21	3,797.41	...	502.48	Sale
04/30/21	125.000	8,358.70	Various	8,645.00	286.30 W	0.00	Sale
05/10/21	400.000	23,063.83	Various	25,714.05	...	-2,650.22	Sale
	Security total:	35,722.42		38,156.46	286.30 W	-2,147.74	
PLUG POWER INC COM / CUSIP: 72919P202 / Symbol: PLUG							
03/16/21	700.000	26,594.78	Various	29,461.04	3,118.16 W	251.90	Total of 2 transactions
03/17/21	100.000	3,964.97	03/17/21	3,955.00	...	9.97	Sale
03/17/21	2,250.000	84,989.24	Various	98,119.84	13,135.58 W	4.98	Total of 9 transactions
03/24/21	750.000	26,797.08	Various	30,984.06	4,186.98 W	0.00	Total of 2 transactions
03/29/21	500.000	15,775.58	Various	20,171.56	4,395.98 W	0.00	Total of 3 transactions
04/09/21	100.000	3,265.97	04/07/21	3,216.04	...	49.93	Sale
04/09/21	4.000	129.80	04/09/21	130.08	0.28 W	0.00	Sale
04/19/21	1,100.000	29,908.83	Various	35,842.45	...	-5,933.62	Sale
05/20/21	75.000	2,060.23	05/20/21	2,050.00	1.51 W	11.74	Sale
05/25/21	100.000	2,780.48	05/24/21	2,720.51	...	59.97	Sale
06/02/21	100.000	3,054.97	06/02/21	2,994.00	...	60.97	Sale
06/08/21	100.000	3,322.97	06/08/21	3,275.00	...	47.97	Sale
06/15/21	275.000	8,181.69	Various	8,721.01	539.32 W	0.00	Total of 3 transactions
06/23/21	100.000	3,362.97	06/23/21	3,501.27	138.30 W	0.00	Sale
06/24/21	200.000	6,567.95	Various	6,849.82	206.30 W	-75.57	Sale
06/28/21	100.000	3,410.97	06/25/21	3,406.21	...	4.76	Sale
	Security total:	224,168.48		255,397.89	25,722.41 W	-5,507.00	
POLAR POWER INC COM / CUSIP: 73102V105 / Symbol: POLA							
06/08/21	100.000	1,278.99	06/08/21	1,260.00	...	18.99	Sale
06/24/21	150.000	1,610.97	Various	1,875.00	264.03 W	0.00	Total of 3 transactions
07/02/21	250.000	2,500.81	Various	3,156.46	655.65 W	0.00	Sale
07/06/21	500.000	5,499.91	Various	5,727.65	...	-227.74	Sale
	Security total:	10,890.68		12,019.11	919.68 W	-208.75	
QUALCOMM INC COM / CUSIP: 747525103 / Symbol: QCOM							
05/10/21	100.000	13,169.92	05/10/21	13,176.00	...	-6.08	Sale

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QUANTUMSCAPE CORP COM CL A / CUSIP: 74767V109 / Symbol: QS							
02/03/21	100.000	4,624.89	01/13/21	5,642.50	1,017.61 W	0.00	Sale
02/12/21	300.000	16,664.59	Various	14,786.61	287.75 W	2,165.73	Sale
02/16/21	250.000	14,124.66	02/16/21	12,775.25	...	1,349.41	Sale
03/01/21	150.000	8,594.94	02/26/21	8,310.00	...	284.94	Sale
03/03/21	100.000	5,095.96	03/03/21	5,255.00	159.04 W	0.00	Sale
03/05/21	350.000	15,838.01	Various	15,874.86	871.78 W	834.93	Total of 2 transactions
04/01/21	266.000	13,237.67	Various	12,885.96	...	351.71	Total of 2 transactions
04/01/21	334.000	17,461.39	03/31/21	15,856.46	48.96 W	1,653.89	Sale
04/06/21	375.000	18,299.87	04/05/21	18,968.75	668.88 W	0.00	Sale
04/09/21	200.000	9,569.93	04/07/21	9,972.57	402.64 W	0.00	Sale
04/15/21	750.000	27,341.27	Various	36,315.92	4,875.82 W	-4,098.83	Total of 3 transactions
04/21/21	100.000	3,212.97	04/21/21	4,249.61	1,036.64 W	0.00	Sale
05/14/21	40.000	1,103.59	05/12/21	1,784.49	680.90 W	0.00	Sale
05/19/21	100.000	2,834.98	05/19/21	3,861.64	1,026.66 W	0.00	Sale
05/20/21	150.000	4,142.46	Various	5,999.97	1,857.51 W	0.00	Total of 2 transactions
05/26/21	125.000	3,291.48	Various	4,602.93	1,311.45 W	0.00	Total of 2 transactions
05/27/21	250.000	6,592.17	Various	8,096.87	1,517.87 W	13.17	Total of 4 transactions
05/28/21	100.000	2,656.99	Various	3,731.66	1,074.67 W	0.00	Total of 2 transactions
06/01/21	150.000	3,895.50	Various	5,562.92	1,667.42 W	0.00	Total of 3 transactions
06/08/21	450.000	13,453.16	Various	18,322.00	4,869.14 W	0.30	Total of 5 transactions
06/15/21	325.000	8,677.42	Various	10,682.02	2,009.59 W	4.99	Sale
06/16/21	125.000	3,399.97	06/16/21	5,034.86	1,634.89 W	0.00	Sale
06/17/21	100.000	2,725.98	06/17/21	3,002.47	276.49 W	0.00	Sale
06/23/21	100.000	2,879.98	06/23/21	3,326.13	477.38 W	31.23	Sale
07/01/21	350.000	9,944.91	Various	13,007.93	3,065.02 W	2.00	Total of 2 transactions
08/16/21	400.000	8,175.91	Various	12,204.48	...	-4,028.57	Sale
Security total:		227,840.65		260,113.86	30,838.11 W	-1,435.10	
RACKSPACE TECHNOLOGY INC COM / CUSIP: 750102105 / Symbol: RXT							
05/14/21	100.000	1,910.76	Various	1,895.00	...	15.76	Total of 2 transactions
REGIONAL HEALTH PROPERTIES INC COM / CUSIP: 75903M309 / Symbol: RHE							
06/07/21	75.000	1,065.73	06/07/21	1,087.50	21.77 W	0.00	Sale
06/08/21	300.000	4,507.44	Various	4,341.27	...	166.17	Total of 3 transactions
Security total:		5,573.17		5,428.77	21.77 W	166.17	

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/03/2022

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RESONANT INC COM / CUSIP: 76118L102 / Symbol: RESN							
06/04/21	500.000	1,897.43	06/04/21	1,875.00	...	22.43	Sale
RING ENERGY INC COM / CUSIP: 76680V108 / Symbol: REI							
02/24/21	250.000	632.50	02/10/21	597.50	...	35.00	Sale
RIOT BLOCKCHAIN INC COM / CUSIP: 767292105 / Symbol: RIOT							
04/28/21	50.000	2,087.48	04/27/21	2,060.00	...	27.48	Sale
RITE AID CORP COM / CUSIP: 767754872 / Symbol: RAD							
05/17/21	50.000	984.98	05/17/21	981.69	...	3.29	Sale
05/21/21	100.000	1,921.98	05/20/21	1,879.00	...	42.98	Sale
05/26/21	100.000	1,776.98	05/26/21	1,745.00	...	31.98	Sale
07/19/21	350.000	4,696.94	Various	5,894.00	...	-1,197.06	Sale
	Security total:	9,380.88		10,499.69	...	-1,118.81	
ROBLOX CORP COM CL A / CUSIP: 771049103 / Symbol: RBLX							
03/10/21	50.000	3,537.47	03/10/21	3,487.50	...	49.97	Sale
03/11/21	150.000	10,842.38	Various	10,795.50	...	46.88	Total of 4 transactions
03/15/21	50.000	3,632.47	Various	3,512.03	...	120.44	Sale
03/15/21	100.000	7,199.95	03/11/21	7,374.01	87.03 W	-87.03	Sale
06/07/21	75.000	7,049.95	06/07/21	7,012.50	...	37.45	Sale
06/11/21	35.000	3,289.99	Various	3,244.00	...	45.99	Total of 2 transactions
06/14/21	10.000	898.50	06/14/21	892.60	...	5.90	Sale
06/15/21	25.000	2,204.49	06/14/21	2,218.75	14.26 W	0.00	Sale
06/17/21	25.000	2,114.99	06/16/21	2,071.76	...	43.23	Sale
06/18/21	10.000	835.99	06/17/21	828.63	...	7.36	Sale
06/18/21	40.000	3,345.20	Various	3,335.44	2.69 W	12.45	Total of 4 transactions
06/21/21	25.000	2,058.74	06/18/21	2,051.12	...	7.62	Sale
07/01/21	50.000	4,337.47	07/01/21	4,300.00	...	37.47	Sale
	Security total:	51,347.59		51,123.84	103.98 W	327.73	

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ROCKET COMPANIES INC COM CL A / CUSIP: 77311W101 / Symbol: RKT							
01/04/21	100.000	1,988.17	12/15/20	3,204.38	...	-1,216.21	Sale
05/20/21	100.000	1,717.99	05/19/21	1,716.99	...	1.00	Sale
05/21/21	75.000	1,285.49	05/20/21	1,273.71	...	11.78	Sale
05/26/21	100.000	1,752.98	05/25/21	1,703.77	...	49.21	Sale
	Security total:	6,744.63		7,898.85	...	-1,154.22	
ROKU INC COM CL A / CUSIP: 77543R102 / Symbol: ROKU							
04/20/21	50.000	17,959.90	04/19/21	17,900.00	...	59.90	Sale
06/04/21	10.000	3,301.98	06/03/21	3,237.50	...	64.48	Sale
06/08/21	15.000	5,084.97	06/08/21	5,050.00	...	34.97	Sale
06/17/21	25.000	8,687.46	Various	8,395.58	...	291.88	Sale
06/17/21	25.000	8,649.96	06/15/21	8,638.06	38.08 W	49.98	Sale
	Security total:	43,684.27		43,221.14	38.08 W	501.21	
ROOT INC COM CL A / CUSIP: 77664L108 / Symbol: ROOT							
05/17/21	100.000	930.99	05/14/21	940.00	...	-9.01	Sale
06/23/21	20.000	216.80	06/23/21	214.20	...	2.60	Sale
	Security total:	1,147.79		1,154.20	...	-6.41	
RUMBLEON INC COM CL B / CUSIP: 781386305 / Symbol: RMBL							
04/28/21	50.000	1,911.48	04/26/21	1,900.00	...	11.48	Sale
SALESFORCE.COM INC COM / CUSIP: 79466L302 / Symbol: CRM							
01/04/21	25.000	5,410.02	Various	5,735.68	325.66 W	0.00	Total of 3 transactions
01/20/21	15.000	3,348.67	01/14/21	3,296.52	...	52.15	Sale
01/20/21	35.000	7,813.75	Various	7,938.91	49.02 W	-76.14	Sale
	Security total:	16,572.44		16,971.11	374.68 W	-23.99	
SEMILEDS CORPORATION COM / CUSIP: 816645204 / Symbol: LEDS							
05/25/21	100.000	971.99	05/25/21	970.00	...	1.99	Sale
SENSEONICS HOLDINGS INC COM / CUSIP: 81727U105 / Symbol: SENS							
06/15/21	250.000	937.47	06/15/21	927.54	...	9.93	Sale
06/15/21	350.000	1,326.45	06/14/21	1,365.00	27.54 W	-11.01	Sale
	Security total:	2,263.92		2,292.54	27.54 W	-1.08	

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(continued)

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SHOPIFY INC COM CL A / CUSIP: 82509L107 / Symbol: SHOP							
03/10/21	15.000	16,484.91	Various	16,400.03	...	84.88	Total of 2 transactions
03/10/21	10.000	10,939.94	03/10/21	10,900.00	5.03 W	44.97	Sale
	Security total:	27,424.85		27,300.03	5.03 W	129.85	
SKILLZ INC COM / CUSIP: 83067L109 / Symbol: SKLZ							
04/19/21	1,000.000	14,769.80	Various	17,087.98	1,552.58 W	-765.60	Sale
04/26/21	100.000	2,118.98	04/26/21	2,310.01	...	-191.03	Sale
04/26/21	250.000	4,587.45	04/22/21	5,370.03	469.54 W	-313.04	Sale
05/20/21	150.000	2,329.47	Various	2,779.02	449.55 W	0.00	Total of 2 transactions
05/26/21	100.000	1,684.98	05/25/21	1,888.04	203.06 W	0.00	Sale
06/01/21	125.000	2,128.73	Various	2,504.39	375.66 W	0.00	Sale
06/02/21	100.000	1,862.98	06/02/21	2,042.56	179.58 W	0.00	Sale
06/04/21	350.000	7,159.95	Various	8,000.02	840.07 W	0.00	Total of 2 transactions
06/07/21	100.000	2,199.98	06/04/21	2,258.03	65.64 W	7.59	Sale
06/15/21	200.000	3,891.96	Various	4,245.92	353.96 W	0.00	Total of 2 transactions
06/16/21	1.000	19.00	06/16/21	19.26	0.26 W	0.00	Sale
06/24/21	150.000	3,068.96	Various	3,058.97	54.83 W	64.82	Sale
11/17/21	500.000	5,685.36	Various	8,337.18	2,696.89 W	45.07	Sale
12/01/21	500.000	4,324.92	11/30/21	7,196.89	...	-2,871.97	Sale
	Security total:	55,832.52		67,098.30	7,241.62 W	-4,024.16	
SNAP INC COM CL A / CUSIP: 83304A106 / Symbol: SNAP							
01/04/21	200.000	9,663.75	12/29/20	10,442.48	778.73 W	0.00	Sale
01/20/21	100.000	5,151.88	01/14/21	5,243.11	...	-91.23	Sale
01/20/21	100.000	5,155.59	Various	5,707.12	440.90 W	-110.63	Sale
02/05/21	75.000	4,462.39	02/04/21	4,828.40	...	-366.01	Sale
03/11/21	100.000	5,851.96	03/11/21	5,815.00	...	36.96	Sale
04/26/21	50.000	3,062.47	04/22/21	2,900.00	...	162.47	Sale
05/21/21	50.000	2,852.57	05/21/21	2,850.00	...	2.57	Sale
06/09/21	25.000	1,509.99	06/08/21	1,495.25	...	14.74	Sale
	Security total:	37,710.60		39,281.36	1,219.63 W	-351.13	

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(continued)

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SNOWFLAKE INC COM CL A / CUSIP: 833445109 / Symbol: SNOW							
03/05/21	75.000	17,949.66	Various	18,251.74	655.29 W	353.21	Total of 3 transactions
03/15/21	25.000	5,916.15	03/12/21	6,178.95	262.80 W	0.00	Sale
03/24/21	150.000	31,825.02	Various	34,268.07	2,443.05 W	0.00	Sale
04/05/21	100.000	23,466.87	04/05/21	25,780.39	...	-2,313.52	Sale
04/06/21	25.000	5,964.22	04/05/21	5,895.83	...	68.39	Sale
04/09/21	25.000	5,649.97	04/09/21	5,670.83	...	-20.86	Sale
	Security total:	90,771.89		96,045.81	3,361.14 W	-1,912.78	
SOS LIMITED ADR / CUSIP: 83587W106 / Symbol: SOS							
03/03/21	1,000.000	9,157.34	Various	9,034.95	...	122.39	Total of 3 transactions
06/04/21	1,000.000	3,649.86	06/04/21	3,639.90	...	9.96	Sale
	Security total:	12,807.20		12,674.85	...	132.35	
SPIRIT AIRLINES INC COM / CUSIP: 848577102 / Symbol: SAVE							
05/20/21	50.000	1,756.48	05/20/21	1,760.00	...	-3.52	Sale
SPLUNK INC COM / CUSIP: 848637104 / Symbol: SPLK							
05/26/21	10.000	1,218.99	05/25/21	1,185.00	...	33.99	Sale
06/07/21	25.000	2,866.24	06/03/21	2,825.00	...	41.24	Sale
	Security total:	4,085.23		4,010.00	...	75.23	
BLOCK INC COM CL A / CUSIP: 852234103 / Symbol: SQ							
01/13/21	25.000	5,783.37	01/11/21	5,717.50	...	65.87	Sale
01/14/21	50.000	11,825.90	Various	11,696.50	...	129.40	Total of 2 transactions
01/28/21	150.000	32,999.25	Various	32,678.24	...	321.01	Total of 2 transactions
01/28/21	150.000	32,699.26	Various	34,175.00	1,475.74 W	0.00	Sale
03/26/21	10.000	2,139.99	03/26/21	2,096.10	...	43.89	Sale
04/22/21	100.000	24,531.86	04/21/21	24,400.00	...	131.86	Sale
	Security total:	109,979.63		110,763.34	1,475.74 W	692.03	
STARBUCKS CORP COM / CUSIP: 855244109 / Symbol: SBUX							
03/16/21	50.000	5,600.47	03/16/21	5,537.50	...	62.97	Sale
04/21/21	100.000	11,601.93	04/20/21	11,642.00	...	-40.07	Sale
	Security total:	17,202.40		17,179.50	...	22.90	

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STITCH FIX INC COM CL A / CUSIP: 860897107 / Symbol: SFIX							
06/07/21	25.000	1,625.24	06/07/21	1,450.00	...	175.24	Sale
SUNHYDROGEN INC COM / CUSIP: 86738R108 / Symbol: HYSR							
06/04/21	5,000.000	482.45	06/04/21	486.95	...	-4.50	Sale
TAKUNG ART CO LTD COM / CUSIP: 87407Q207 / Symbol: TKAT							
06/10/21	100.000	1,916.98	06/10/21	1,907.85	...	9.13	Sale
TAPESTRY INC COM / CUSIP: 876030107 / Symbol: TPR							
01/25/21	50.000	1,737.45	01/25/21	1,732.50	...	4.95	Sale
TARGET CORP COM / CUSIP: 87612E106 / Symbol: TGT							
03/29/21	50.000	9,926.45	03/29/21	9,900.00	...	26.45	Sale
TELADOC HEALTH INC COM / CUSIP: 87918A105 / Symbol: TDOC							
03/10/21	450.000	86,752.38	Various	86,204.26	...	548.12	Total of 8 transactions
03/10/21	150.000	28,799.83	Various	28,725.00	12.59 W	87.42	Total of 2 transactions
03/11/21	525.000	102,368.43	Various	101,061.25	...	1,307.18	Total of 13 transactions
03/12/21	100.000	19,512.38	Various	19,475.00	...	37.38	Total of 2 transactions
03/17/21	100.000	18,914.90	03/17/21	18,750.59	...	164.31	Sale
03/17/21	175.000	32,566.06	Various	32,554.28	1.12 W	12.90	Total of 2 transactions
03/24/21	50.000	9,424.94	03/24/21	9,350.00	...	74.94	Sale
03/29/21	15.000	2,654.99	03/26/21	2,639.34	14.35 W	30.00	Sale
04/01/21	50.000	9,149.94	04/01/21	9,159.85	...	-9.91	Sale
05/27/21	10.000	1,479.99	05/27/21	1,450.00	...	29.99	Sale
	Security total:	311,623.84		309,369.57	28.06 W	2,282.33	
TESLA INC COM / CUSIP: 88160R101 / Symbol: TSLA							
03/03/21	35.000	23,770.13	Various	27,443.95	3,634.56 W	-39.26	Sale
03/09/21	10.000	6,739.97	03/09/21	8,420.83	1,680.86 W	0.00	Sale
03/11/21	20.000	13,799.93	Various	15,671.03	1,871.10 W	0.00	Total of 2 transactions
04/07/21	50.000	33,854.82	04/07/21	37,301.96	3,489.07 W	41.93	Sale
04/08/21	60.000	40,619.78	04/07/21	43,959.07	3,435.35 W	96.06	Sale
04/09/21	25.000	16,949.91	04/09/21	20,185.35	3,235.44 W	0.00	Sale
04/22/21	10.000	7,179.96	04/22/21	8,739.98	1,560.02 W	0.00	Sale
04/29/21	15.000	10,064.95	04/27/21	12,222.96	2,158.01 W	0.00	Sale

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TD Ameritrade Clearing, Inc.

Account 497856796

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/03/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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TESLA INC COM / CUSIP: 88160R101 / Symbol: TSLA (cont'd)							
04/30/21	35.000	23,274.88	Various	28,080.53	4,805.65 W	0.00	Sale
05/10/21	175.000	110,799.42	Various	124,291.55	6,535.96 W	-6,956.17	Total of 2 transactions
06/02/21	5.000	3,019.43	06/02/21	3,049.57	...	-30.14	Sale
06/03/21	5.000	2,954.98	06/02/21	3,055.01	...	-100.03	Sale
06/04/21	10.000	5,959.97	06/03/21	5,820.03	...	139.94	Sale
	Security total:	298,988.13		338,241.82	32,406.02 W	-6,847.67	
THE TRADE DESK INC COM CL A / CUSIP: 88339J105 / Symbol: TTD							
06/03/21	5.000	2,860.73	Various	2,925.23	64.50 W	0.00	Total of 2 transactions
06/11/21	10.000	6,039.97	06/09/21	5,943.87	6.53 W	102.63	Sale
06/17/21	250.000	15,548.74	Various	14,999.03	...	549.71	Total of 4 transactions
	Security total:	24,449.44		23,868.13	71.03 W	652.34	
3 D SYSTEMS INC COM / CUSIP: 88554D205 / Symbol: DDD							
06/01/21	50.000	1,472.98	06/01/21	1,450.00	...	22.98	Sale
07/01/21	100.000	3,849.97	07/01/21	3,800.00	...	49.97	Sale
	Security total:	5,322.95		5,250.00	...	72.95	
TILRAY BRANDS INC COM / CUSIP: 88688T100 / Symbol: TLRY							
05/17/21	200.000	3,019.07	Various	2,962.91	...	56.16	Total of 2 transactions
06/03/21	100.000	2,199.98	06/03/21	2,088.00	...	111.98	Sale
06/04/21	250.000	4,774.95	06/04/21	4,745.00	...	29.95	Sale
06/07/21	100.000	1,921.98	06/04/21	1,865.51	...	56.47	Sale
06/07/21	100.000	1,894.98	06/04/21	1,897.99	3.01 W	0.00	Sale
	Security total:	13,810.96		13,559.41	3.01 W	254.56	
TWITTER INC COM / CUSIP: 90184L102 / Symbol: TWTR							
04/30/21	75.000	4,218.72	04/29/21	4,409.25	190.53 W	0.00	Sale
05/10/21	200.000	10,533.93	Various	11,511.28	349.78 W	-627.57	Sale
06/03/21	50.000	2,819.98	06/01/21	3,216.28	...	-396.30	Sale
	Security total:	17,572.63		19,136.81	540.31 W	-1,023.87	

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UNITED STATES STEEL CORP COM / CUSIP: 912909108 / Symbol: X							
03/31/21	100.000	2,707.99	03/30/21	2,607.80	...	100.19	Sale
04/05/21	100.000	2,629.98	03/31/21	2,593.00	...	36.98	Sale
	Security total:	5,337.97		5,200.80	...	137.17	
UPSTART HLDGS INC COM / CUSIP: 91680M107 / Symbol: UPST							
05/04/21	25.000	2,671.24	05/04/21	2,550.00	...	121.24	Sale
URBAN ONE INC COM CL A / CUSIP: 91705J105 / Symbol: UONE							
03/03/21	100.000	596.49	01/27/21	767.00	...	-170.51	Sale
VALE S.A. ADR SPONSORED / CUSIP: 91912E105 / Symbol: VALE							
03/11/21	100.000	1,794.99	03/11/21	1,790.84	...	4.15	Sale
03/29/21	2,000.000	33,868.88	Various	33,808.70	...	60.18	Total of 2 transactions
03/31/21	250.000	4,327.45	03/31/21	4,312.50	...	14.95	Sale
	Security total:	39,991.32		39,912.04	...	79.28	
VELODYNE LIDAR INC COM / CUSIP: 92259F101 / Symbol: VLDR							
06/10/21	100.000	1,124.98	06/10/21	1,111.85	...	13.13	Sale
VIACOMCBS INC COM CL B / CUSIP: 92556H206 / Symbol: VIAC							
06/04/21	50.000	2,082.48	06/04/21	2,075.00	...	7.48	Sale
VIRGIN GALACTIC HLDGS INC COM / CUSIP: 92766K106 / Symbol: SPCE							
02/08/21	50.000	2,784.93	02/05/21	2,724.50	...	60.43	Sale
02/11/21	100.000	5,549.87	02/10/21	5,450.00	...	99.87	Sale
03/03/21	400.000	13,425.88	Various	17,137.64	...	-3,711.76	Sale
05/13/21	100.000	1,664.98	05/12/21	1,610.00	...	54.98	Sale
05/17/21	65.000	1,041.33	05/14/21	1,056.25	...	-14.92	Sale
	Security total:	24,466.99		27,978.39	...	-3,511.40	
VIZIO HLDG CORP COM CL A / CUSIP: 92858V101 / Symbol: VZIO							
04/22/21	100.000	2,295.98	04/21/21	2,294.00	...	1.98	Sale
05/14/21	50.000	1,107.48	05/13/21	1,075.00	...	32.48	Sale
05/20/21	20.000	430.00	05/20/21	430.00	...	0.00	Sale

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VIZIO HLDG CORP COM CL A / CUSIP: 92858V101 / Symbol: VZIO (cont'd)							
06/01/21	50.000	1,099.98	05/27/21	1,116.00	16.02 W	0.00	Sale
06/07/21	250.000	5,655.94	Various	5,459.27	...	196.67	Total of 2 transactions
	Security total:	10,589.38		10,374.27	16.02 W	231.13	
VULCAN MATERIALS CO COM / CUSIP: 929160109 / Symbol: VMC							
04/09/21	50.000	8,624.95	Various	8,654.50	...	-29.55	Sale
WESTWATER RESOURCES INC COM / CUSIP: 961684206 / Symbol: WWR							
02/04/21	100.000	817.97	01/26/21	808.50	...	9.47	Sale
WORKHORSE GROUP INC COM / CUSIP: 98138J206 / Symbol: WKHS							
03/10/21	100.000	1,669.98	03/10/21	1,655.00	...	14.98	Sale
05/10/21	250.000	2,249.96	Various	2,839.80	589.84 W	0.00	Sale
05/14/21	100.000	795.13	05/12/21	1,195.02	399.89 W	0.00	Sale
06/03/21	300.000	4,631.94	Various	5,179.38	547.44 W	0.00	Total of 3 transactions
07/19/21	250.000	2,652.05	Various	4,403.27	...	-1,751.22	Total of 2 transactions
	Security total:	11,999.06		15,272.47	1,537.17 W	-1,736.24	
XL FLEET CORP COM CL A / CUSIP: 9837FR100 / Symbol: XL							
05/14/21	150.000	873.00	05/14/21	886.50	13.50 W	0.00	Sale
05/19/21	195.000	1,333.77	05/19/21	1,324.80	0.01 W	8.98	Sale
05/20/21	305.000	2,091.10	Various	2,048.71	...	42.39	Total of 2 transactions
05/26/21	250.000	1,722.46	05/25/21	1,626.01	...	96.45	Sale
05/26/21	250.000	1,712.49	05/25/21	1,715.00	2.51 W	0.00	Sale
06/01/21	125.000	899.99	05/28/21	875.00	...	24.99	Sale
06/01/21	125.000	861.24	05/28/21	875.00	13.76 W	0.00	Sale
06/08/21	250.000	2,319.96	06/08/21	2,263.76	...	56.20	Sale
06/09/21	100.000	924.99	06/09/21	875.00	...	49.99	Sale
06/10/21	150.000	1,363.47	06/09/21	1,312.50	...	50.97	Sale
	Security total:	14,102.47		13,802.28	29.78 W	329.97	
XPENG INC ADR / CUSIP: 98422D105 / Symbol: XPEV							
05/17/21	50.000	1,305.48	05/17/21	1,287.46	...	18.02	Sale
06/02/21	100.000	3,499.97	06/02/21	3,453.67	...	46.30	Sale
06/30/21	50.000	2,199.98	06/30/21	2,175.00	...	24.98	Sale
	Security total:	7,005.43		6,916.13	...	89.30	

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YAMANA GOLD INC COM / CUSIP: 98462Y100 / Symbol: AUJ							
06/24/21	750.000	3,269.89	Various	3,334.98	...	-65.09	Sale
ZILLOW GROUP INC COM CL C / CUSIP: 98954M200 / Symbol: Z							
06/14/21	25.000	2,843.74	06/09/21	2,781.25	...	62.49	Sale
ZOOM VIDEO COMMUNICATIONS INC COM CL A / CUSIP: 98980L101 / Symbol: ZM							
01/04/21	5.000	1,740.96	12/01/20	2,210.04	...	-469.08	Sale
06/09/21	10.000	3,359.98	06/09/21	3,339.05	...	20.93	Sale
	Security total:	5,100.94		5,549.09	...	-448.15	
ZOMEDICA CORP COM / CUSIP: 98980M109 / Symbol: ZOM							
04/27/21	500.000	584.99	04/26/21	560.00	...	24.99	Sale
06/08/21	1,500.000	1,476.26	06/08/21	1,464.95	...	11.31	Sale
	Security total:	2,061.25		2,024.95	...	36.30	
ZYNGA INC COM CL A / CUSIP: 98986T108 / Symbol: ZNGA							
02/10/21	100.000	1,158.96	02/09/21	1,129.00	...	29.96	Sale
05/28/21	100.000	1,085.98	05/27/21	1,075.00	...	10.98	Sale
11/16/21	200.000	1,473.99	Various	1,631.00	...	-157.01	Sale
	Security total:	3,718.93		3,835.00	...	-116.07	
APPLE INC AAPL Mar 19 2021 130.0 Call / CUSIP: / Symbol: AAPL 03/19/21 C 130.000							
01/12/21	5.000	3,896.58	01/12/21	3,853.32	...	43.26	Option sale
APPLE INC AAPL Jun 18 2021 160.0 Call / CUSIP: / Symbol: AAPL 06/18/21 C 160.000							
02/01/21	10.000	5,143.23	01/29/21	4,756.64	...	386.59	Option sale
02/03/21	10.000	4,043.25	02/03/21	4,006.64	...	36.61	Option sale
02/25/21	25.000	4,033.33	Various	9,441.60	5,408.27 W	0.00	Option sale
03/03/21	47.000	5,796.67	Various	15,804.13	...	-10,007.46	Option sale
03/03/21	5.000	611.67	02/16/21	2,824.98	2,213.31 W	0.00	Option sale
	Security total:	19,628.15		36,833.99	7,621.58 W	-9,584.26	
APPLE INC AAPL Jul 16 2021 145.0 Call / CUSIP: / Symbol: AAPL 07/16/21 C 145.000							
04/28/21	10.000	2,963.32	04/28/21	2,906.64	...	56.68	Option sale
04/29/21	20.000	5,826.65	Various	5,443.28	...	383.37	Total of 2 transactions
	Security total:	8,789.97		8,349.92	...	440.05	

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ALASKA AIR GROUP INC ALK Apr 16 2021 75.0 Call / CUSIP: / Symbol: ALK 04/16/21 C 75.000							
03/17/21	2.000	448.67	03/16/21	441.33	...	7.34	Option sale
ADVANCED MICRO DEVICES INC AMD Jan 15 2021 105.0 Call / CUSIP: / Symbol: AMD 01/15/21 C 105.000							
01/04/21	3.000	100.00	Various	326.99	...	-226.99	Option sale
ADVANCED MICRO DEVICES INC AMD Feb 19 2021 100.0 Call / CUSIP: / Symbol: AMD 02/19/21 C 100.000							
01/12/21	5.000	2,496.61	01/12/21	2,378.32	...	118.29	Option sale
ADVANCED MICRO DEVICES INC AMD Feb 19 2021 105.0 Call / CUSIP: / Symbol: AMD 02/19/21 C 105.000							
01/04/21	2.000	736.65	12/23/20	691.32	...	45.33	Option sale
AMAZON COM INC AMZN Apr 16 2021 3100.0 Call / CUSIP: / Symbol: AMZN 04/16/21 C 3100.000							
02/26/21	2.000	25,762.54	02/25/21	28,851.32	...	-3,088.78	Option sale
ALTERYX INC AYX Apr 16 2021 90.0 Call / CUSIP: / Symbol: AYX 04/16/21 C 90.000							
04/06/21	7.000	751.34	03/15/21	3,032.64	...	-2,281.30	Option sale
ALTERYX INC AYX Apr 16 2021 95.0 Call / CUSIP: / Symbol: AYX 04/16/21 C 95.000							
04/07/21	7.000	1,780.69	04/06/21	0.00	...	1,780.69	Short sale closed- option
BED BATH AND BEYOND BBBY Jan 21 2022 25.0 Call / CUSIP: / Symbol: BBBY 01/21/22 C 25.000							
04/20/21	2.000	1,134.66	04/14/21	1,111.33	...	23.33	Option sale
BEST BUY CO INC BBY Feb 19 2021 145.0 Call / CUSIP: / Symbol: BBY 02/19/21 C 145.000							
01/04/21	5.000	46.67	12/23/20	138.32	...	-91.65	Option sale
CHEGG INC CHGG Apr 16 2021 100.0 Call / CUSIP: / Symbol: CHGG 04/16/21 C 100.000							
02/09/21	4.000	4,237.24	02/09/21	3,602.66	...	634.58	Option sale
02/10/21	1.000	1,049.32	02/09/21	900.66	...	148.66	Option sale
	Security total:	5,286.56		4,503.32	...	783.24	
CLOUDERA INC CLDR Feb 19 2021 15.0 Call / CUSIP: / Symbol: CLDR 02/19/21 C 15.000							
01/04/21	2.000	122.67	12/23/20	319.33	...	-196.66	Option sale
SALESFORCE.COM INC CRM Feb 19 2021 260.0 Call / CUSIP: / Symbol: CRM 02/19/21 C 260.000							
01/04/21	3.000	324.99	Various	981.98	...	-656.99	Option sale

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CROWDSTRIKE HOLDINGS INC CRWD Jul 16 2021 200.0 Call / CUSIP: / Symbol: CRWD 07/16/21 C 200.000							
06/04/21	1.000	1,484.33	06/04/21	1,626.99	...	-142.66	Option sale
06/04/21	10.000	14,843.26	06/04/21	14,916.64	151.33 W	77.95	Option sale
	Security total:	16,327.59		16,543.63	151.33 W	-64.71	
DROPBOX INC DBX Feb 19 2021 26.0 Call / CUSIP: / Symbol: DBX 02/19/21 C 26.000							
01/04/21	2.000	112.67	12/23/20	335.33	...	-222.66	Option sale
DATADOG INC DDOG Apr 30 2021 82.5 Put / CUSIP: / Symbol: DDOG 04/30/21 P 82.500							
04/29/21	2.000	16.67	04/23/21	71.33	...	-54.66	Option sale
DISCOVERY INC DISCA Jan 21 2022 40.0 Call / CUSIP: / Symbol: DISCA 01/21/22 C 40.000							
04/06/21	2.000	1,958.66	04/05/21	1,786.32	...	172.34	Option sale
DRAFTKINGS INC DKNG May 14 2021 55.0 Call / CUSIP: / Symbol: DKNG 05/14/21 C 55.000							
05/11/21	2.000	17.34	05/10/21	0.00	...	17.34	Short sale closed- option
DRAFTKINGS INC DKNG Jun 18 2021 55.0 Call / CUSIP: / Symbol: DKNG 06/18/21 C 55.000							
05/10/21	1.000	2.68	05/07/21	0.00	...	2.68	Short sale closed- option
DRAFTKINGS INC DKNG Aug 20 2021 80.0 Call / CUSIP: / Symbol: DKNG 08/20/21 C 80.000							
01/08/21	2.000	868.65	01/06/21	771.33	...	97.32	Option sale
DRAFTKINGS INC DKNG Apr 30 2021 55.0 Put / CUSIP: / Symbol: DKNG 04/30/21 P 55.000							
04/28/21	2.000	34.67	04/23/21	101.33	...	-66.66	Option sale
FORD MOTOR CO F Jun 18 2021 13.0 Call / CUSIP: / Symbol: F 06/18/21 C 13.000							
03/18/21	5.000	671.67	03/16/21	648.32	...	23.35	Option sale
FACEBOOK INC FB Feb 19 2021 300.0 Call / CUSIP: / Symbol: FB 02/19/21 C 300.000							
02/02/21	20.000	1,226.65	Various	3,593.27	...	-2,366.62	Option sale
FACEBOOK INC FB Apr 16 2021 270.0 Call / CUSIP: / Symbol: FB 04/16/21 C 270.000							
02/11/21	6.000	8,995.80	Various	8,853.99	...	141.81	Total of 3 transactions
02/12/21	2.000	2,998.60	02/12/21	2,851.33	...	147.27	Option sale
	Security total:	11,994.40		11,705.32	...	289.08	

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TD Ameritrade Clearing, Inc.

Account 497856796

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/03/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FEDEX CORP FDX Aug 20 2021 300.0 Call / CUSIP: / Symbol: FDX 08/20/21 C 300.000							
06/25/21	1.000	824.34	06/25/21	690.66	...	133.68	Option sale
FASTLY INC FSLY Mar 19 2021 120.0 Call / CUSIP: / Symbol: FSLY 03/19/21 C 120.000							
01/04/21	5.000	1,616.63	Various	3,703.31	...	-2,086.68	Option sale
TECHNIPFMC PLC FTI Apr 16 2021 8.0 Call / CUSIP: / Symbol: FTI 04/16/21 C 8.000							
04/16/21	5.000	0.00	03/16/21	478.32	...	-478.32	Option expiration
FUBOTV INC FUBO Jan 21 2022 20.0 Call / CUSIP: / Symbol: FUBO 01/21/22 C 20.000							
04/06/21	1.000	824.34	04/06/21	800.66	...	23.68	Option sale
RECON TECHNOLOGY LTD COM CL A / CUSIP: G7415M124 / Symbol: RCON							
06/21/21	500.000	2,149.93	Various	2,211.63	107.43 W	45.73	Sale
06/24/21	250.000	1,087.46	06/18/21	1,092.41	...	-4.95	Sale
	Security total:	3,237.39		3,304.04	107.43 W	40.78	
TIAN RUIXIANG HLDGS LTD COM / CUSIP: G8884K102 / Symbol: TIRX							
04/27/21	50.000	849.99	04/27/21	825.00	...	24.99	Sale
GENERAL ELECTRIC CO GE Jun 18 2021 15.0 Call / CUSIP: / Symbol: GE 06/18/21 C 15.000							
03/09/21	10.000	1,053.33	03/09/21	1,066.64	...	-13.31	Option sale
CRISPR THERAPEUTICS AG COM / CUSIP: H17182108 / Symbol: CRSP							
04/26/21	10.000	1,357.09	04/26/21	1,337.70	...	19.39	Sale
HYLIION HOLDINGS CORP HYLN Apr 16 2021 25.0 Call / CUSIP: / Symbol: HYLN 04/16/21 C 25.000							
01/04/21	3.000	462.99	11/18/20	1,266.99	...	-804.00	Option sale
JUMIA TECHNOLOGIES AG JMIA Dec 17 2021 20.0 Call / CUSIP: / Symbol: JMIA 12/17/21 C 20.000							
11/17/21	35.000	1,201.84	Various	8,678.12	...	-7,476.28	Option sale
JUMIA TECHNOLOGIES AG JMIA Dec 17 2021 25.0 Put / CUSIP: / Symbol: JMIA 12/17/21 P 25.000							
04/27/21	2.000	-2.66	04/26/21	0.00	...	-2.66	Short sale closed- option

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/03/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ARRIVAL GROUP COM / CUSIP: L0423Q108 / Symbol: ARVL							
05/21/21	50.000	965.49	05/20/21	945.00	...	20.49	Sale
06/15/21	100.000	2,044.98	06/15/21	1,975.00	...	69.98	Sale
	Security total:	3,010.47		2,920.00	...	90.47	
SOUTHWEST AIRLINES CO LUV Jan 15 2021 52.5 Call / CUSIP: / Symbol: LUV 01/15/21 C 52.500							
01/04/21	2.000	16.67	Various	74.32	...	-57.65	Option sale
FIVERR INTERNATIONAL LTD COM / CUSIP: M4R82T106 / Symbol: FVRR							
03/03/21	150.000	37,049.79	03/03/21	37,000.00	75.04 W	124.83	Sale
03/15/21	25.000	6,049.97	03/15/21	6,075.04	...	-25.07	Sale
06/04/21	10.000	2,010.69	06/03/21	1,996.00	...	14.69	Sale
	Security total:	45,110.45		45,071.04	75.04 W	114.45	
NANO X IMAGING LTD COM / CUSIP: M70700105 / Symbol: NNOX							
06/24/21	100.000	2,989.97	06/24/21	2,950.00	...	39.97	Sale
MARATHON DIGITAL HOLDINGS INC MARA Mar 12 2021 37.0 Call / CUSIP: / Symbol: MARA 03/12/21 C 37.000							
03/09/21	2.000	478.67	03/09/21	481.33	...	-2.66	Option sale
MICROSOFT CORP MSFT Jun 18 2021 265.0 Call / CUSIP: / Symbol: MSFT 06/18/21 C 265.000							
05/14/21	2.000	202.67	04/29/21	491.33	...	-288.66	Option sale
MICRON TECHNOLOGY INC MU Mar 19 2021 94.0 Call / CUSIP: / Symbol: MU 03/19/21 C 94.000							
03/16/21	2.000	358.67	03/16/21	311.33	...	47.34	Option sale
NETFLIX INC NFLX Apr 23 2021 505.0 Call / CUSIP: / Symbol: NFLX 04/23/21 C 505.000							
04/22/21	1.000	955.34	04/21/21	732.66	...	222.68	Option sale
NETFLIX INC NFLX Apr 23 2021 515.0 Call / CUSIP: / Symbol: NFLX 04/23/21 C 515.000							
04/23/21	1.000	-133.32	04/22/21	0.00	...	-133.32	Short sale closed- option
NIO INC NIO Jun 18 2021 45.0 Call / CUSIP: / Symbol: NIO 06/18/21 C 45.000							
05/10/21	7.000	359.34	Various	1,172.65	...	-813.31	Option sale
NIO INC NIO Aug 20 2021 50.0 Call / CUSIP: / Symbol: NIO 08/20/21 C 50.000							
08/20/21	5.000	0.00	08/11/21	228.31	...	-228.31	Option expiration

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/03/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NIO INC NIO Apr 30 2021 37.0 Put / CUSIP: / Symbol: NIO 04/30/21 P 37.000							
04/29/21	1.000	54.34	04/29/21	55.66	...	-1.32	Option sale
NANO X IMAGING LTD NNOX May 07 2021 28.0 Put / CUSIP: / Symbol: NNOX 05/07/21 P 28.000							
04/29/21	2.000	18.67	04/23/21	91.33	...	-72.66	Option sale
DIREXION SHARES ETF TRUST NUGT Jun 18 2021 80.0 Call / CUSIP: / Symbol: NUGT 06/18/21 C 80.000							
01/08/21	2.000	2,268.62	01/08/21	2,201.33	...	67.29	Option sale
ON SEMICONDUCTOR CORP ON Apr 16 2021 43.0 Call / CUSIP: / Symbol: ON 04/16/21 C 43.000							
03/16/21	2.000	318.67	03/16/21	295.33	...	23.34	Option sale
PINDUODUO INC PDD Mar 26 2021 177.5 Call / CUSIP: / Symbol: PDD 03/26/21 C 177.500							
03/26/21	1.000	0.00	03/16/21	540.66	...	-540.66	Option expiration
PINTEREST INC PINS May 14 2021 65.0 Call / CUSIP: / Symbol: PINS 05/14/21 C 65.000							
05/11/21	4.000	18.67	05/10/21	0.00	...	18.67	Short sale closed- option
PINTEREST INC PINS Jun 18 2021 62.5 Call / CUSIP: / Symbol: PINS 06/18/21 C 62.500							
05/10/21	3.000	11.01	05/07/21	0.00	...	11.01	Short sale closed- option
PALANTIR TECH INC PLTR Jan 15 2021 35.0 Call / CUSIP: / Symbol: PLTR 01/15/21 C 35.000							
01/04/21	2.000	14.67	Various	211.32	...	-196.65	Option sale
PLUG POWER INC PLUG Aug 20 2021 50.0 Call / CUSIP: / Symbol: PLUG 08/20/21 C 50.000							
06/24/21	5.000	371.67	06/24/21	378.32	...	-6.65	Option sale
NAKED BRAND GROUP LTD 1:15 R/S 12/22/21 Q6519V120 / CUSIP: Q6519T117 / Symbol:							
05/26/21	250.000	138.97	05/25/21	137.50	...	1.47	Sale
QUALCOMM INC QCOM Apr 01 2021 139.0 Call / CUSIP: / Symbol: QCOM 04/01/21 C 139.000							
03/17/21	2.000	398.67	03/16/21	379.33	...	19.34	Option sale
QUANTUMSCAPE CORP QS May 07 2021 30.0 Put / CUSIP: / Symbol: QS 05/07/21 P 30.000							
04/26/21	1.000	-4.32	04/23/21	0.00	4.32 W	0.00	Short sale closed- option
04/28/21	1.000	24.34	04/23/21	124.98	...	-100.64	Option sale
Security total:		20.02		124.98	4.32 W	-100.64	

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/03/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SQUARE INC SQ Mar 19 2021 230.0 Call / CUSIP: / Symbol: SQ 03/19/21 C 230.000							
01/28/21	2.000	2,672.61	01/26/21	2,501.33	...	171.28	Option sale
INVESCO EXCHANGE TRADED FUND T TAN May 21 2021 90.0 Call / CUSIP: / Symbol: TAN 05/21/21 C 90.000							
04/14/21	5.000	1,796.66	04/06/21	3,253.32	1,456.66 W	0.00	Option sale
04/20/21	20.000	3,486.66	Various	8,904.93	...	-5,418.27	Option sale
	Security total:	5,283.32		12,158.25	1,456.66 W	-5,418.27	
TECK RESOURCES LIMITED TECK Apr 16 2021 24.0 Call / CUSIP: / Symbol: TECK 04/16/21 C 24.000							
03/16/21	4.000	361.33	03/16/21	258.66	...	102.67	Option sale
PROSHARES TRUST TQQQ Mar 05 2021 95.0 Call / CUSIP: / Symbol: TQQQ 03/05/21 C 95.000							
03/03/21	2.000	100.67	03/03/21	109.33	...	-8.66	Option sale
TESLA INC TSLA Jan 08 2021 890.0 Call / CUSIP: / Symbol: TSLA 01/08/21 C 890.000							
01/08/21	1.000	31.34	01/08/21	18.66	...	12.68	Option sale
TESLA INC TSLA Apr 16 2021 750.0 Call / CUSIP: / Symbol: TSLA 04/16/21 C 750.000							
02/25/21	1.000	5,224.31	02/25/21	5,000.66	...	223.65	Option sale
02/25/21	1.000	4,999.31	02/25/21	5,250.66	251.35 W	0.00	Option sale
03/03/21	1.000	3,359.32	03/02/21	4,502.01	...	-1,142.69	Option sale
	Security total:	13,582.94		14,753.33	251.35 W	-919.04	
TESLA INC TSLA Apr 16 2021 800.0 Call / CUSIP: / Symbol: TSLA 04/16/21 C 800.000							
02/16/21	1.000	8,409.15	02/16/21	8,400.66	...	8.49	Option sale
02/26/21	3.000	8,397.96	Various	16,551.98	...	-8,154.02	Option sale
	Security total:	16,807.11		24,952.64	...	-8,145.53	
TESLA INC TSLA Apr 16 2021 820.0 Call / CUSIP: / Symbol: TSLA 04/16/21 C 820.000							
02/11/21	2.000	18,468.26	02/10/21	17,301.32	...	1,166.94	Option sale
TESLA INC TSLA May 14 2021 675.0 Call / CUSIP: / Symbol: TSLA 05/14/21 C 675.000							
05/11/21	1.000	3.68	05/10/21	0.00	...	3.68	Short sale closed- option
TESLA INC TSLA May 21 2021 900.0 Call / CUSIP: / Symbol: TSLA 05/21/21 C 900.000							
05/10/21	10.000	133.34	Various	1,650.63	...	-1,517.29	Option sale

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2021 1099-B* OMB No. 1545-0715

(continued)

02/03/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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TESLA INC TSLA Jan 15 2021 680.0 Put / CUSIP: / Symbol: TSLA 01/15/21 P 680.000							
01/11/21	1.000	324.33	01/07/21	300.66	...	23.67	Option sale
TESLA INC TSLA May 07 2021 695.0 Put / CUSIP: / Symbol: TSLA 05/07/21 P 695.000							
05/07/21	1.000	2,239.33	05/05/21	2,935.66	...	-696.33	Option sale
TESLA INC TSLA May 07 2021 700.0 Put / CUSIP: / Symbol: TSLA 05/07/21 P 700.000							
05/10/21	1.000	603.66	05/07/21	0.00	...	603.66	Short sale closed- option
TATTOOED CHEF INC TTCF May 21 2021 15.0 Put / CUSIP: / Symbol: TTCF 05/21/21 P 15.000							
04/28/21	2.000	58.67	04/23/21	97.33	...	-38.66	Option sale
TWITTER INC TWTR May 14 2021 59.0 Call / CUSIP: / Symbol: TWTR 05/14/21 C 59.000							
05/11/21	2.000	5.34	05/10/21	0.00	...	5.34	Short sale closed- option
TWITTER INC TWTR Jun 18 2021 57.5 Call / CUSIP: / Symbol: TWTR 06/18/21 C 57.500							
05/10/21	2.000	-60.66	05/07/21	0.00	...	-60.66	Short sale closed- option
VIACOMCBS INC VIAC Jan 21 2022 42.5 Call / CUSIP: / Symbol: VIAC 01/21/22 C 42.500							
04/06/21	1.000	924.34	04/05/21	885.66	...	38.68	Option sale
UNITED STATES STEEL CORP X Apr 16 2021 24.0 Call / CUSIP: / Symbol: X 04/16/21 C 24.000							
03/30/21	5.000	1,251.66	03/29/21	863.32	...	388.34	Option sale
UNITED STATES STEEL CORP X Apr 16 2021 25.0 Call / CUSIP: / Symbol: X 04/16/21 C 25.000							
03/31/21	5.000	-346.65	03/30/21	0.00	...	-346.65	Short sale closed- option
SELECT SECTOR SPDR TRUST XLI Mar 26 2021 97.0 Call / CUSIP: / Symbol: XLI 03/26/21 C 97.000							
03/17/21	3.000	358.00	03/16/21	343.99	...	14.01	Option sale
ZOOM VIDEO COMMUNICATIONS INC ZM Feb 19 2021 430.0 Call / CUSIP: / Symbol: ZM 02/19/21 C 430.000							
01/04/21	7.000	5,189.23	Various	11,082.63	...	-5,893.40	Option sale
Totals :		6,888,004.22		7,360,998.89		360,116.35 W	-112,878.32

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Detail for Dividends and Distributions

2021

02/03/2022

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. Reallocation of a dividend and its tax character is determined by the issuer.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
APPLE INC COM	037833100 AAPL		02/11/21	205.00	Qualified dividend	
NUCOR CORP COM	670346105 NUE		05/11/21	40.50	Qualified dividend	
				245.50	Total Dividends & distributions	

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Detail for Interest Income

2021

02/03/2022

This section of your tax information statement contains the payment level detail of taxable interest and associated bond premium. Market discount will be shown here only if you have elected to recognize it currently rather than at the time of sale or maturity. Bond premium and market discount for covered tax lots are totaled on Form 1099-INT and reported to the IRS. For noncovered tax lots, they are totaled in the Adjustments to Interest and Original Issue Discount and are not reported to the IRS.

To provide a complete picture of activity for each investment, we also include here nonreportable transactions such as accrued interest paid on purchases and payment or receipt of nonqualified interest. Other amounts, such as federal, state and foreign tax withheld and investment expenses are shown as negative amounts but do not net against the reportable income totals.

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
Interest Credited		01/29/21	0.21	Credit interest	
		03/31/21	0.24	Credit interest	
		04/30/21	0.08	Credit interest	
		05/28/21	0.18	Credit interest	
		06/30/21	0.07	Credit interest	
		07/30/21	0.03	Credit interest	
			0.81	Total Interest	
			0.81	Total Interest	

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Section 1256 Contracts - Regulated Futures and Nonequity Options

2021

02/03/2022

This section of your tax information statement provides contract-by-contract detail of regulated futures and section 1256 contracts. The total for each contract is the sum of the current year's realized and unrealized profit or loss minus the prior year unrealized profit or loss. The annual total for your account is found in Regulated Futures Contracts 1099-B in the Summary Information at the beginning of this statement.

Security description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
ISHARES SILVER TRUST SLV Mar 19 2021 25.0	SLV 03/19/21 C 25.000	01/11/21	25.00	66.69	Realized profit/loss	
ISHARES SILVER TRUST SLV Jan 21 2022 25.0	SLV 01/21/22 C 25.000	01/05/21	3.00	571.98	Realized profit/loss	
		01/05/21	3.00	544.01	Unrealized profit/loss prior year	
		01/05/21	2.00	471.32	Realized profit/loss	
		01/05/21	2.00	362.67	Unrealized profit/loss prior year	
		01/29/21	2.00	98.61	Realized profit/loss	
		01/29/21	2.00	97.32	Realized profit/loss	
		01/29/21	1.00	49.32	Realized profit/loss	
				1,288.55	Total Realized profit/loss	
				906.68	Total Unrealized profit/loss prior year	
ISHARES SILVER TRUST SLV Jan 21 2022 27.0	SLV 01/21/22 C 27.000	01/29/21	35.00	2,679.72	Unrealized profit/loss prior year	
		01/29/21	35.00	2,421.16	Realized profit/loss	
				2,421.16	Total Realized profit/loss	
				2,679.72	Total Unrealized profit/loss prior year	
				3,776.40	Total Realized profit/loss	
				3,586.40	Total Unrealized profit/loss prior year	
				190.00	Total Aggregate profit or (loss) on contracts	

TD Ameritrade Clearing, Inc.

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Fees and Expenses

2021

02/03/2022

This section of your tax information statement contains the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
Interest Charged		01/29/21	-300.44	Margin interest paid	
		02/26/21	-404.42	Margin interest paid	
		03/31/21	-78.69	Margin interest paid	
		04/30/21	-87.36	Margin interest paid	
		05/28/21	-68.52	Margin interest paid	
		06/30/21	-10.48	Margin interest paid	
		07/30/21	-104.23	Margin interest paid	
		08/31/21	-114.70	Margin interest paid	
		09/30/21	-64.13	Margin interest paid	
		10/29/21	-69.05	Margin interest paid	
		11/30/21	-57.06	Margin interest paid	
		12/31/21	-5.08	Margin interest paid	
			-1,364.16	Total Margin interest paid	
JUMIA TECHNOLOGIES AG ADR SPONSORED	48138M105 JMIA	10/08/21	-30.00	Depository receipt fee	
			-30.00	Total Depository receipt fee	
			-1,364.16	Total Margin interest paid	

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

Line 1b. Shows the portion of the amount in Line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in Lines 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2c. Shows the portion of the amount in Line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

Line 2d. Shows the portion of the amount in Line 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2e. Shows the portion of the amount in Line 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

Line 2f. Shows the portion of the amount in Line 2a that is section 897 gain attributable to disposition of USRPI.

Note: Lines 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in Line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in Line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040SR.

Instructions for Recipient

Line 8. This Line should be left blank if a RIC reported the foreign tax shown in Line 7. **Lines 9 and 10.** Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040SR for where to report. This amount may be subject to backup withholding. See Line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in Line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting Lines.

Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

1099-INT Instructions for Recipient

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

Line 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2021 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

Line 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction.

Line 3. Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium.

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Line 5. Any amount shown is your share of investment expenses of a singleclass REMIC. This amount is included in line 1. Note: This amount is not deductible.

Line 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

Line 7. Shows the country or U.S. possession to which the foreign tax was paid.

Line 8. Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Forms 1040 and 1040-SR. This amount may be subject to backup withholding. See Line 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in line 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 10. For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includable in taxable income as interest income.

Line 11. For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you

notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includable in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4). **Line 12.** For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includable in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 3. If the amount in line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

Line 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this line for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in line 8 or 9, whichever is applicable. If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

Line 14. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s).

Lines 15-17. State tax withheld reporting lines.

Future developments. For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099INT.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or nonSection 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR)

Instructions for Recipient

(whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Regulated Futures Contracts, Foreign Currency Contracts, and Section 1256

Option Contracts (Lines 8 Through 11)

Line 8. Shows the profit or (loss) realized on regulated futures, foreign currency, or Section 1256 option contracts closed during 2021.

Line 9. Shows any year-end adjustment to the profit or (loss) shown in line 8 due to open contracts on December 31, 2020.

Line 10. Shows the unrealized profit or (loss) on open contracts held in your account on December 31, 2021. These are considered closed out as of that date. This will become an adjustment reported as unrealized profit or (loss) on open contracts--12/31/2021 in 2022.

Line 11. Lines 8, 9, and 10 are all used to figure the aggregate profit or (loss) on regulated futures, foreign currency, or Section 1256 option contracts for the year. Include this amount on your 2021 Form 6781.