

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 153570429	Statement Date: 02/11/2022 Document ID: 0701 8YM RH90	2021
	Amarnath Kotha 6301, Jefferson CT Alpharetta, GA 30005 RECIPIENT'S TIN: XXX-XX-7811		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2021 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e) 15.51</p> <p>1b- Qualified dividends 7.32</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f) 0.27</p> <p>2b- Unrecaptured Section 1250 gain 0.03</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>2e- Section 897 ordinary dividends 0.00</p> <p>2f- Section 897 capital gain 0.00</p> <p>3- Nondividend distributions 0.15</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 0.68</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: See detail 1.45</p> <p>7- Foreign tax paid: 1.45</p> <p>9- Cash liquidation distributions 16.45</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p>MISCELLANEOUS INFORMATION 2021 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.00</p> <p>SECTION 1256 CONTRACTS 2021 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2021 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2020 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2021 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</p>
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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	1,551.82	1,861.70	0.00	0.04	-309.84
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	6.01	9.25	0.00	0.00	-3.24
	Total Short-term	1,557.83	1,870.95	0.00	0.04	-313.08
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	1,557.83	1,870.95	0.00	0.04	-313.08
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

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Summary Information

2021

(continued)

02/11/2022

INTEREST INCOME

2021 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

02/11/2022

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADT INC. / CUSIP: 00090Q103 / Symbol:							
6 transactions for 11/01/21. Total proceeds and cost reported to the IRS.							
	1.522	12.75	01/15/21	13.29	...	-0.54	1 of 6 - Sale ²⁵
	0.478	4.00	01/15/21	4.18	...	-0.18	2 of 6 - Sale ²⁵
	0.290	2.42	01/15/21	2.53	...	-0.11	3 of 6 - Sale ²⁵
	0.009	0.08	04/05/21	0.08	...	0.00	4 of 6 - Sale ²⁵
	0.007	0.06	07/02/21	0.08	...	-0.02	5 of 6 - Sale ²⁵
	0.010	0.08	10/06/21	0.08	...	0.00	6 of 6 - Sale ²⁵
11/01/21	2.316	19.39	Various	20.24	...	-0.85	Total of 6 transactions

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AECOM / CUSIP: 00766T100 / Symbol:							
2 transactions for 11/01/21. Total proceeds and cost reported to the IRS.							
	0.147	10.08	01/15/21	7.81	...	2.27	1 of 2 - Sale ²⁵
	0.229	15.72	01/15/21	12.19	...	3.53	2 of 2 - Sale ²⁵
11/01/21	0.376	25.80	Various	20.00	...	5.80	Total of 2 transactions
AURORA CANNABIS INC. COMMON SH ARES / CUSIP: 05156X884 / Symbol:							
3 transactions for 11/01/21. Total proceeds and cost reported to the IRS.							
	1.000	6.68	01/15/21	12.65	...	-5.97	1 of 3 - Sale ²⁵
	0.580	3.87	01/15/21	7.33	...	-3.46	2 of 3 - Sale ²⁵
	0.001	0.01	01/15/21	0.01	...	0.00	3 of 3 - Sale ²⁵
11/01/21	1.581	10.56	Various	19.99	...	-9.43	Total of 3 transactions
BLINK CHARGING CO. COMMON STOC K / CUSIP: 09354A100 / Symbol:							
11/05/21	1.963	62.38	11/01/21	64.68	...	-2.30	Sale ²⁵
11/05/21	0.037	1.17	11/01/21	1.21	0.04 W	0.00	Sale ²⁵
	Security total:	63.55		65.89	0.04 W	-2.30	
BROADRIDGE FINANCIAL SOLUTIONS INC / CUSIP: 11133T103 / Symbol:							
4 transactions for 11/01/21. Total proceeds and cost reported to the IRS.							
	0.132	23.59	01/15/21	20.00	...	3.59	1 of 4 - Sale ²⁵
	0.001	0.09	04/06/21	0.08	...	0.01	2 of 4 - Sale ²⁵
	0.000	0.09	07/06/21	0.08	...	0.01	3 of 4 - Sale ²⁵
	0.001	0.10	10/06/21	0.09	...	0.01	4 of 4 - Sale ²⁵
11/01/21	0.134	23.87	Various	20.25	...	3.62	Total of 4 transactions
CK HUTCHISON HOLDINGS LTD AMER ICAN DEPOSITARY RECEIPTS - UNS PONSORED / CUSIP: 12562Y100 / Symbol:							
11/01/21	1.000	6.86	01/22/21	7.26	...	-0.40	Sale ²⁵
CANOPY GROWTH CORPORATION COMM ON SHARES / CUSIP: 138035100 / Symbol:							
11/01/21	0.582	7.42	01/15/21	20.00	...	-12.58	Sale ²⁵
CENTERPOINT ENERGY, INC. / CUSIP: 15189T107 / Symbol:							
6 transactions for 11/01/21. Total proceeds and cost reported to the IRS.							
	0.000	0.01	01/22/21	0.00	...	0.01	1 of 6 - Sale ²⁵
	0.198	5.17	01/22/21	4.22	...	0.95	2 of 6 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CENTERPOINT ENERGY, INC. / CUSIP: 15189T107 / Symbol: (cont'd)							
	0.802	20.91	01/22/21	17.11	...	3.80	3 of 6 - Sale ²⁵
	0.007	0.19	03/12/21	0.16	...	0.03	4 of 6 - Sale ²⁵
	0.006	0.16	06/11/21	0.16	...	0.00	5 of 6 - Sale ²⁵
	0.006	0.16	09/10/21	0.16	...	0.00	6 of 6 - Sale ²⁵
11/01/21	1.020	26.60	Various	21.81	...	4.79	Total of 6 transactions
CHINA SHENHUA ENERGY CO LTD UN SPONSORED ADR REPRESENTING A S HS (CHINA) / CUSIP: 16942A302 / Symbol:							
11/01/21	2.000	16.70	01/22/21	15.88	...	0.82	Sale ²⁵
CINTAS CORPORATION COMMON STOCK / CUSIP: 172908105 / Symbol:							
4 transactions for 11/01/21. Total proceeds and cost reported to the IRS.							
	0.061	26.55	01/15/21	20.00	...	6.55	1 of 4 - Sale ²⁵
	0.000	0.06	03/16/21	0.05	...	0.01	2 of 4 - Sale ²⁵
	0.000	0.06	06/16/21	0.05	...	0.01	3 of 4 - Sale ²⁵
	0.000	0.07	09/16/21	0.06	...	0.01	4 of 4 - Sale ²⁵
11/01/21	0.062	26.74	Various	20.16	...	6.58	Total of 4 transactions
CLEAN ENERGY FUELS CORP. COMMON STOCK / CUSIP: 184499101 / Symbol:							
2 transactions for 11/01/21. Total proceeds and cost reported to the IRS.							
	1.990	18.54	01/15/21	19.33	...	-0.79	1 of 2 - Sale ²⁵
	0.069	0.65	01/15/21	0.67	...	-0.02	2 of 2 - Sale ²⁵
11/01/21	2.059	19.19	Various	20.00	...	-0.81	Total of 2 transactions
CONTL AG ORD AMERICAN DEPOSITARY SHARES / CUSIP: 210771200 / Symbol:							
11/01/21	1.000	11.71	01/22/21	14.44	...	-2.73	Sale ²⁵
CRONOS GROUP INC. COMMON SHARE / CUSIP: 22717L101 / Symbol:							
11/01/21	1.749	9.09	01/15/21	20.00	...	-10.91	Sale ²⁵
DAIMLER AG AMERICAN DEPOSITARY RECEIPTS - UNSPONSORED (GERMANY) / CUSIP: 233825207 / Symbol:							
11/01/21	1.000	25.01	01/22/21	17.98	...	7.03	Sale ²⁵
DENSO CORP LTD ORD AMERICAN DEPOSITARY SHARES / CUSIP: 24872B100 / Symbol:							
11/01/21	1.000	36.60	01/22/21	29.11	...	7.49	Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EAST JAPAN RAILWAY CO UNSPONSO RED ADR (JAPAN) / CUSIP: 273202101 / Symbol:							
11/01/21	2.000	20.38	01/22/21	21.66	...	-1.28	Sale ²⁵
ENEL AMERICAS S.A. AMERICAN DE POSITARY SHARES (EACH REPRESENTING 50 SHARES OF COMMON STOCK / CUSIP: 29274F104 / Symbol:							
4 transactions for 11/01/21. Total proceeds and cost reported to the IRS.							
	1.960	11.15	01/22/21	15.05	...	-3.90	1 of 4 - Sale ²⁵
	0.039	0.22	01/22/21	0.30	...	-0.08	2 of 4 - Sale ²⁵
	0.020	0.11	01/22/21	0.15	...	-0.04	3 of 4 - Sale ²⁵
	0.040	0.23	06/10/21	0.27	...	-0.04	4 of 4 - Sale ²⁵
11/01/21	2.058	11.71	Various	15.77	...	-4.06	Total of 4 transactions
EXPERIAN PLC SPONSORED ADR (UK) / CUSIP: 30215C101 / Symbol:							
11/01/21	1.000	46.27	01/15/21	36.01	...	10.26	Sale ²⁵
FAIR ISAAC CORPORATION / CUSIP: 303250104 / Symbol:							
11/01/21	0.042	16.88	01/15/21	20.00	...	-3.12	Sale ²⁵
FINVOLUTION GROUP AMERICAN DEPOSITARY SHARES, EACH REPRESENTING FIVE CLASS A ORDINARY SHAR / CUSIP: 31810T101 / Symbol:							
11/01/21	1.000	6.17	04/15/21	6.00	...	0.17	Sale ²⁵
FUJIFILM HOLDINGS CORPORATION AMERICAN DEPOSITARY SHARES / CUSIP: 35958N107 / Symbol:							
11/11/21	1.000	81.12	01/15/21	58.97	...	22.15	Sale ²⁵
HEXO CORP. COMMON SHARES / CUSIP: 428304307 / Symbol:							
11/01/21	4.000	5.84	12/21/20	16.00	...	-10.16	Sale ²⁵
HOME DEPOT, INC. / CUSIP: 437076102 / Symbol:							
4 transactions for 11/01/21. Total proceeds and cost reported to the IRS.							
	0.039	14.47	01/22/21	11.00	...	3.47	1 of 4 - Sale ²⁵
	0.000	0.08	03/26/21	0.06	...	0.02	2 of 4 - Sale ²⁵
	0.000	0.07	06/18/21	0.06	...	0.01	3 of 4 - Sale ²⁵
	0.000	0.07	09/17/21	0.06	...	0.01	4 of 4 - Sale ²⁵
11/01/21	0.039	14.69	Various	11.18	...	3.51	Total of 4 transactions

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SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

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HONDA MOTOR CO., LTD. / CUSIP: 438128308 / Symbol:							
2 transactions for 11/01/21. Total proceeds and cost reported to the IRS.							
	0.400	12.04	01/22/21	11.00	...	1.04	1 of 2 - Sale ²⁵
	0.005	0.16	06/15/21	0.17	...	-0.01	2 of 2 - Sale ²⁵
11/01/21	0.406	12.20	Various	11.17	...	1.03	Total of 2 transactions
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol:							
11/01/21	1.000	2.05	03/17/21	2.95	...	-0.90	Sale ²⁵
IRON MOUNTAIN INC. / CUSIP: 46284V101 / Symbol:							
4 transactions for 11/01/21. Total proceeds and cost reported to the IRS.							
	0.668	30.53	01/15/21	19.85	...	10.68	1 of 4 - Sale ²⁵
	0.011	0.50	04/07/21	0.41	...	0.09	2 of 4 - Sale ²⁵
	0.010	0.45	07/07/21	0.42	...	0.03	3 of 4 - Sale ²⁵
	0.010	0.44	10/07/21	0.43	...	0.01	4 of 4 - Sale ²⁵
11/01/21	0.699	31.92	Various	21.11	...	10.81	Total of 4 transactions
JAPAN TOBACCO UNSPONSORED AMER ICAN DEPOSITORY RECEIPT (JAPA N) / CUSIP: 471105205 / Symbol:							
11/01/21	2.000	20.06	01/22/21	19.68	...	0.38	Sale ²⁵
KAO CORPORATION ADR REPSTG 1/5 COM - UNSPONSORED (JAPAN) / CUSIP: 485537401 / Symbol:							
11/01/21	1.000	11.15	01/22/21	14.87	...	-3.72	Sale ²⁵
KONE OYJ UNSPONSORED ADR REPRE SENTING B SHARES (FINLAND) / CUSIP: 50048H101 / Symbol:							
11/01/21	1.000	33.89	01/15/21	39.46	...	-5.57	Sale ²⁵
LUMINAR TECHNOLOGIES, INC. CLA SS A COMMON STOCK / CUSIP: 550424105 / Symbol:							
2 transactions for 11/01/21. Total proceeds and cost reported to the IRS.							
	2.996	49.56	01/06/21	94.62	...	-45.06	1 of 2 - Sale ²⁵
	0.171	2.82	01/06/21	5.38	...	-2.56	2 of 2 - Sale ²⁵
11/01/21	3.167	52.38	Various	100.00	...	-47.62	Total of 2 transactions
MORNINGSTAR, INC. COMMON STOCK / CUSIP: 617700109 / Symbol:							
4 transactions for 11/01/21. Total proceeds and cost reported to the IRS.							
	0.092	29.19	01/15/21	20.00	...	9.19	1 of 4 - Sale ²⁵
	0.000	0.03	05/03/21	0.03	...	0.00	2 of 4 - Sale ²⁵

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Robinhood Securities LLC

Account 153570429

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MORNINGSTAR, INC. COMMON STOCK / CUSIP: 617700109 / Symbol: (cont'd)							
	0.000	0.04	08/02/21	0.03	...	0.01	3 of 4 - Sale ²⁵
	0.000	0.03	11/01/21	0.03	...	0.00	4 of 4 - Sale ²⁵
11/01/21	0.092	29.29	Various	20.09	...	9.20	Total of 4 transactions
NATIONAL GRID PLC / CUSIP: 636274409 / Symbol:							
2 transactions for 11/01/21. Total proceeds and cost reported to the IRS.							
	0.167	10.80	01/22/21	10.00	...	0.80	1 of 2 - Sale ²⁵
	0.006	0.37	08/19/21	0.38	...	-0.01	2 of 2 - Sale ²⁵
11/01/21	0.173	11.17	Various	10.38	...	0.79	Total of 2 transactions
NORTHERN DYNASTY MINERALS, LTD. / CUSIP: 66510M204 / Symbol:							
11/01/21	20.000	8.74	03/15/21	15.40	...	-6.66	Sale ²⁵
ORGANIGRAM HOLDINGS INC. COMMON SHARES / CUSIP: 68620P101 / Symbol:							
3 transactions for 11/01/21. Total proceeds and cost reported to the IRS.							
	0.107	0.24	01/15/21	0.20	...	0.04	1 of 3 - Sale ²⁵
	0.610	1.35	01/15/21	1.14	...	0.21	2 of 3 - Sale ²⁵
	10.000	22.20	01/15/21	18.65	...	3.55	3 of 3 - Sale ²⁵
11/01/21	10.717	23.79	Various	19.99	...	3.80	Total of 3 transactions
ORLA MINING LTD. / CUSIP: 68634K106 / Symbol:							
11/01/21	1.000	3.57	03/22/21	3.70	...	-0.13	Sale ²⁵
PG&E CORPORATION / CUSIP: 69331C108 / Symbol:							
3 transactions for 11/01/21. Total proceeds and cost reported to the IRS.							
	0.627	7.29	01/22/21	7.28	...	0.01	1 of 3 - Sale ²⁵
	0.033	0.39	01/22/21	0.38	...	0.01	2 of 3 - Sale ²⁵
	0.373	4.35	01/22/21	4.34	...	0.01	3 of 3 - Sale ²⁵
11/01/21	1.033	12.03	Various	12.00	...	0.03	Total of 3 transactions
PALANTIR TECHNOLOGIES INC. CLASS A COMMON STOCK / CUSIP: 69608A108 / Symbol:							
11/01/21	0.726	18.95	01/15/21	20.00	...	-1.05	Sale ²⁵

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Robinhood Securities LLC

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Proceeds from Broker and Barter Exchange Transactions

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(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PAYCHEX, INC. COMMON STOCK / CUSIP: 704326107 / Symbol:							
4 transactions for 11/01/21. Total proceeds and cost reported to the IRS.							
	0.229	28.10	01/15/21	20.00	...	8.10	1 of 4 - Sale ²⁵
	0.002	0.19	02/26/21	0.14	...	0.05	2 of 4 - Sale ²⁵
	0.001	0.18	05/28/21	0.15	...	0.03	3 of 4 - Sale ²⁵
	0.001	0.16	08/27/21	0.15	...	0.01	4 of 4 - Sale ²⁵
11/01/21	0.233	28.63	Various	20.44	...	8.19	Total of 4 transactions
PIEDMONT LITHIUM INC. COMMON S TOCK / CUSIP: 72016P105 / Symbol:							
11/01/21	0.346	21.77	01/27/21	20.00	...	1.77	Sale ²⁵
RIOT BLOCKCHAIN, INC. COMMON STOCK / CUSIP: 767292105 / Symbol:							
11/01/21	1.000	27.30	02/24/21	53.42	...	-26.12	Sale ²⁵
ROLLINS, INC. / CUSIP: 775711104 / Symbol:							
4 transactions for 11/01/21. Total proceeds and cost reported to the IRS.							
	0.534	18.86	01/15/21	20.00	...	-1.14	1 of 4 - Sale ²⁵
	0.001	0.04	03/11/21	0.04	...	0.00	2 of 4 - Sale ²⁵
	0.001	0.04	06/11/21	0.04	...	0.00	3 of 4 - Sale ²⁵
	0.001	0.04	09/13/21	0.04	...	0.00	4 of 4 - Sale ²⁵
11/01/21	0.537	18.98	Various	20.12	...	-1.14	Total of 4 transactions
ROYAL DUTCH SHELL PLC ADS CLAS S A / CUSIP: 780259206 / Symbol:							
4 transactions for 11/01/21. Total proceeds and cost reported to the IRS.							
	0.280	13.02	01/22/21	11.00	...	2.02	1 of 4 - Sale ²⁵
	0.002	0.09	03/30/21	0.08	...	0.01	2 of 4 - Sale ²⁵
	0.002	0.09	06/22/21	0.08	...	0.01	3 of 4 - Sale ²⁵
	0.003	0.14	09/21/21	0.12	...	0.02	4 of 4 - Sale ²⁵
11/01/21	0.287	13.34	Various	11.28	...	2.06	Total of 4 transactions
SENSEONICS HOLDINGS, INC. / CUSIP: 81727U105 / Symbol:							
11/01/21	1.000	3.57	03/16/21	3.00	...	0.57	Sale ²⁵
SHARP CORP (OSAKA) AMERICAN DE POSITARY RECEIPTS - UNSPONSORE D / CUSIP: 819882309 / Symbol:							
11/01/21	2.000	5.66	01/22/21	10.20	...	-4.54	Sale ²⁵

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Robinhood Securities LLC

Account 153570429

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
STANLEY BLACK & DECKER, INC. / CUSIP: 854502101 / Symbol:							
4 transactions for 11/01/21. Total proceeds and cost reported to the IRS.							
	0.118	21.08	01/15/21	20.00	...	1.08	1 of 4 - Sale ²⁵
	0.000	0.07	03/24/21	0.08	...	-0.01	2 of 4 - Sale ²⁵
	0.000	0.07	06/23/21	0.08	...	-0.01	3 of 4 - Sale ²⁵
	0.000	0.09	09/22/21	0.09	...	0.00	4 of 4 - Sale ²⁵
11/01/21	0.120	21.31	Various	20.25	...	1.06	Total of 4 transactions
SUBARU CORPORATION AMERICAN DE POSITARY RECEIPTS - UNSPONSORE D / CUSIP: 86428V104 / Symbol:							
11/01/21	2.000	19.35	01/22/21	19.78	...	-0.43	Sale ²⁵
SUNDIAL GROWERS INC. COMMON SHARES / CUSIP: 86730L109 / Symbol:							
3 transactions for 11/01/21. Total proceeds and cost reported to the IRS.							
	25.000	16.36	01/15/21	18.42	...	-2.06	1 of 3 - Sale ²⁵
	427.573	279.80	02/23/21	499.79	...	-219.99	2 of 3 - Sale ²⁵
	0.185	0.12	02/23/21	0.21	...	-0.09	3 of 3 - Sale ²⁵
11/01/21	452.757	296.28	Various	518.42	...	-222.14	Total of 3 transactions
TETRA TECHNOLOGIES, INC. / CUSIP: 88162F105 / Symbol:							
2 transactions for 11/01/21. Total proceeds and cost reported to the IRS.							
	0.873	2.87	01/15/21	1.10	...	1.77	1 of 2 - Sale ²⁵
	15.000	49.05	01/15/21	18.90	...	30.15	2 of 2 - Sale ²⁵
11/01/21	15.873	51.92	Various	20.00	...	31.92	Total of 2 transactions
THERAPEUTICSMD, INC. COMMON STOCK / CUSIP: 88338N107 / Symbol:							
11/01/21	1.000	0.72	03/16/21	1.68	...	-0.96	Sale ²⁵
TILRAY BRANDS, INC. CLASS 2 COMMON STOCK / CUSIP: 88688T100 / Symbol:							
6 transactions for 11/01/21. Total proceeds and cost reported to the IRS.							
	0.927	9.48	01/15/21	19.97	...	-10.49	1 of 6 - Sale ²⁵
	0.838	8.56	01/15/21	13.63	...	-5.07	2 of 6 - Sale ²⁵
	0.102	1.04	01/15/21	1.65	...	-0.61	3 of 6 - Sale ²⁵
	0.059	0.61	01/15/21	0.97	...	-0.36	4 of 6 - Sale ²⁵
	0.001	0.01	01/15/21	0.03	...	-0.02	5 of 6 - Sale ²⁵

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Robinhood Securities LLC

Account 153570429

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TILRAY BRANDS, INC. CLASS 2 CO MMON STOCK / CUSIP: 88688T100 / Symbol: (cont'd)							
11/01/21	0.001	0.01	01/15/21	0.01	...	0.00	6 of 6 - Sale ²⁵
	1.928	19.71	Various	36.26	...	-16.55	Total of 6 transactions
TRANSUNION / CUSIP: 89400J107 / Symbol:							
4 transactions for 11/01/21. Total proceeds and cost reported to the IRS.							
	0.220	25.32	01/15/21	20.00	...	5.32	1 of 4 - Sale ²⁵
	0.000	0.03	03/29/21	0.02	...	0.01	2 of 4 - Sale ²⁵
	0.000	0.02	06/11/21	0.02	...	0.00	3 of 4 - Sale ²⁵
	0.000	0.02	09/10/21	0.02	...	0.00	4 of 4 - Sale ²⁵
11/01/21	0.220	25.39	Various	20.06	...	5.33	Total of 4 transactions
UNITED UTILITIES GROUP PLC SPO NSORED ADR (UK) / CUSIP: 91311E102 / Symbol:							
11/01/21	1.000	28.86	01/15/21	25.42	...	3.44	Sale ²⁵
UNITY SOFTWARE INC. / CUSIP: 91332U101 / Symbol:							
11/01/21	0.069	10.50	01/11/21	10.00	...	0.50	Sale ²⁵
VERISK ANALYTICS, INC. COMMON STOCK / CUSIP: 92345Y106 / Symbol:							
4 transactions for 11/01/21. Total proceeds and cost reported to the IRS.							
	0.105	21.82	01/15/21	20.00	...	1.82	1 of 4 - Sale ²⁵
	0.000	0.03	04/01/21	0.03	...	0.00	2 of 4 - Sale ²⁵
	0.000	0.04	07/01/21	0.03	...	0.01	3 of 4 - Sale ²⁵
	0.000	0.03	10/01/21	0.03	...	0.00	4 of 4 - Sale ²⁵
11/01/21	0.105	21.92	Various	20.09	...	1.83	Total of 4 transactions
Z HOLDINGS CORPORATION AMERICA N DEPOSITARY RECEIPTS - UNSPON SORED / CUSIP: 98877X101 / Symbol:							
11/01/21	2.000	24.98	01/22/21	26.96	...	-1.98	Sale ²⁵
AON PLC CLASS A / CUSIP: G0403H108 / Symbol:							
4 transactions for 11/01/21. Total proceeds and cost reported to the IRS.							
	0.096	30.65	01/15/21	20.00	...	10.65	1 of 4 - Sale ²⁵
	0.000	0.06	02/16/21	0.04	...	0.02	2 of 4 - Sale ²⁵
	0.000	0.06	05/17/21	0.05	...	0.01	3 of 4 - Sale ²⁵
	0.000	0.06	08/16/21	0.05	...	0.01	4 of 4 - Sale ²⁵
11/01/21	0.097	30.83	Various	20.14	...	10.69	Total of 4 transactions

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Robinhood Securities LLC

Account 153570429

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GENPACT LIMITED / CUSIP: G3922B107 / Symbol:							
4 transactions for 11/01/21. Total proceeds and cost reported to the IRS.							
	0.490	24.26	01/15/21	20.00	...	4.26	1 of 4 - Sale ²⁵
	0.001	0.06	03/22/21	0.05	...	0.01	2 of 4 - Sale ²⁵
	0.001	0.05	06/24/21	0.05	...	0.00	3 of 4 - Sale ²⁵
	0.001	0.05	09/27/21	0.05	...	0.00	4 of 4 - Sale ²⁵
11/01/21	0.493	24.42	Various	20.15	...	4.27	Total of 4 transactions
NIELSEN HOLDINGS PLC / CUSIP: G6518L108 / Symbol:							
4 transactions for 11/01/21. Total proceeds and cost reported to the IRS.							
	0.908	18.45	01/15/21	20.00	...	-1.55	1 of 4 - Sale ²⁵
	0.002	0.04	03/19/21	0.05	...	-0.01	2 of 4 - Sale ²⁵
	0.002	0.04	06/18/21	0.05	...	-0.01	3 of 4 - Sale ²⁵
	0.002	0.05	09/03/21	0.05	...	0.00	4 of 4 - Sale ²⁵
11/01/21	0.915	18.58	Various	20.15	...	-1.57	Total of 4 transactions
VIVOPOWER INTERNATIONAL PLC OR DINARY SHARES / CUSIP: G9376R100 / Symbol:							
3 transactions for 11/01/21. Total proceeds and cost reported to the IRS.							
	0.970	4.82	01/15/21	11.15	...	-6.33	1 of 3 - Sale ²⁵
	0.739	3.64	01/15/21	8.50	...	-4.86	2 of 3 - Sale ²⁵
	0.030	0.15	01/15/21	0.35	...	-0.20	3 of 3 - Sale ²⁵
11/01/21	1.739	8.61	Various	20.00	...	-11.39	Total of 3 transactions
CASTOR MARITIME INC. COMMON SH ARES / CUSIP: Y1146L125 / Symbol:							
11/01/21	11.000	25.85	02/23/21	94.48	...	-68.63	Sale ²⁵
Totals :		1,551.82		1,861.70	0.04 W	-309.84	

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Robinhood Securities LLC

Account 153570429

Proceeds Not Reported to the IRS

2021

02/11/2022

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
TILRAY BRANDS, INC. CLASS 2 CO MMON STOCK / CUSIP: 88688T100 / Symbol:							
05/10/21	0.230	3.91	01/15/21	3.73	...	0.18	Gain or loss
CASTOR MARITIME INC. COMMON SH ARES / CUSIP: Y1146L125 / Symbol:							
06/07/21	0.643	2.10	02/23/21	5.52	...	-3.42	Gain or loss
Totals:		6.01		9.25	...	-3.24	

Robinhood Securities LLC

Account 153570429

Detail for Dividends and Distributions

2021

02/11/2022

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. There is also a holder level criteria for a dividend to be treated as Qualified. If the payment is from a common stock you are required to have held it for more than 60 days during the 121-day period that begins 60 days before the ex-dividend date of the dividend. If the dividend is from preferred shares and covers a period greater than 366 days, you must have held the preferred stock for more than 90 days during the 181-day period that begins 90 days before the ex-dividend date of the dividend.

Amounts presented in Box 2e as Section 897 Ordinary Dividends or Box 2f as Section 897 Capital Gains apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. If you are a US citizen, you should disregard this.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
ADT INC.	00090Q103		04/01/21	0.08	Qualified dividend	
			07/01/21	0.08	Qualified dividend	
			10/05/21	0.08	Qualified dividend	
				0.24	Total Dividends & distributions	
AUTOMATIC DATA PROCESSING, INC . COMMON STOCK	053015103		04/01/21	0.12	Qualified dividend	
			07/01/21	0.12	Qualified dividend	
			10/01/21	0.12	Qualified dividend	
				0.36	Total Dividends & distributions	
BROADRIDGE FINANCIAL SOLUTIONS INC	11133T103		04/05/21	0.08	Qualified dividend	
			07/02/21	0.08	Qualified dividend	
			10/05/21	0.09	Qualified dividend	
				0.25	Total Dividends & distributions	
CK HUTCHISON HOLDINGS LTD AMER ICAN DEPOSITARY RECEIPTS - UNS PONSORED	12562Y100		06/17/21	0.22	Nonqualified dividend	
			09/30/21	0.10	Nonqualified dividend	
				0.32	Total Dividends & distributions	
CENTERPOINT ENERGY, INC.	15189T107		03/11/21	0.16	Qualified dividend	
			06/10/21	0.16	Qualified dividend	
			09/09/21	0.16	Qualified dividend	
				0.48	Total Dividends & distributions	

Robinhood Securities LLC

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Detail for Dividends and Distributions

2021

(continued)

02/11/2022

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
CHINA SHENHUA ENERGY CO LTD UN SPONSORED ADR REPRESENTING A S HS (CHINA)	16942A302		09/08/21	2.22	Nonqualified dividend	
			09/08/21	-0.22	Foreign tax withheld-CH	
				2.22	Total Dividends & distributions	
				-0.22	Total Foreign tax withheld	
CINTAS CORPORATION COMMON STOC K	172908105		03/15/21	0.05	Qualified dividend	
			06/15/21	0.05	Qualified dividend	
			09/15/21	0.06	Qualified dividend	
				0.16	Total Dividends & distributions	
DAIMLER AG AMERICAN DEPOSITARY RECEIPTS - UNSPONSORED (GERMA NY)	233825207		04/21/21	0.40	Nonqualified dividend	
			04/21/21	-0.11	Foreign tax withheld-GM	
				0.40	Total Dividends & distributions	
			-0.11	Total Foreign tax withheld		
DENSO CORP LTD ORD AMERICAN DE POSITARY SHARES	24872B100		06/09/21	0.32	Nonqualified dividend	
			06/09/21	-0.05	Foreign tax withheld-JA	
			12/10/21	0.35	Nonqualified dividend	
			12/10/21	-0.05	Foreign tax withheld-JA	
				0.67	Total Dividends & distributions	
			-0.10	Total Foreign tax withheld		
EAST JAPAN RAILWAY CO UNSPONSO RED ADR (JAPAN)	273202101		07/14/21	0.15	Nonqualified dividend	
			07/14/21	-0.02	Foreign tax withheld-JA	
			12/07/21	0.15	Nonqualified dividend	
			12/07/21	-0.02	Foreign tax withheld-JA	
				0.30	Total Dividends & distributions	
			-0.04	Total Foreign tax withheld		
ENEL AMERICAS S.A. AMERICAN DE POSITARY SHARES (EACH REPRES ENTING 50 SHARES OF COMMON STOCK	29274F104		06/09/21	0.31	Qualified dividend	
			06/09/21	-0.01	Foreign tax withheld-CI	
				0.31	Total Dividends & distributions	
			-0.01	Total Foreign tax withheld		

Robinhood Securities LLC

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Detail for Dividends and Distributions

2021

(continued)

02/11/2022

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
EQUIFAX, INCORPORATED	294429105		03/15/21	0.04	Qualified dividend	
			06/15/21	0.04	Qualified dividend	
			09/17/21	0.04	Qualified dividend	
			12/15/21	0.04	Qualified dividend	
				0.16	Total Dividends & distributions	
EXPERIAN PLC SPONSORED ADR (UK)	30215C101		07/30/21	0.33	Nonqualified dividend	
FUJIFILM HOLDINGS CORPORATION AMERICAN DEPOSITARY SHARES	35958N107		07/15/21	0.47	Nonqualified dividend	
			07/15/21	-0.07	Foreign tax withheld-JA	
			12/16/21	0.49	Nonqualified dividend	
			12/16/21	-0.08	Foreign tax withheld-JA	
				0.96	Total Dividends & distributions	
				-0.15	Total Foreign tax withheld	
GFL ENVIRONMENTAL INC. SUBORDI NATE VOTING SHARES	36168Q104		04/30/21	0.01	Nonqualified dividend	
			07/30/21	0.01	Nonqualified dividend	
			10/29/21	0.01	Nonqualified dividend	
				0.03	Total Dividends & distributions	
HOME DEPOT, INC.	437076102		03/25/21	0.06	Qualified dividend	
			06/17/21	0.06	Qualified dividend	
			09/16/21	0.06	Qualified dividend	
				0.18	Total Dividends & distributions	
HONDA MOTOR CO., LTD.	438128308		06/14/21	0.20	Qualified dividend	
			06/14/21	-0.03	Foreign tax withheld-JA	
			12/08/21	0.20	Qualified dividend	
			12/08/21	-0.03	Foreign tax withheld-JA	
				0.40	Total Dividends & distributions	
				-0.06	Total Foreign tax withheld	
IRON MOUNTAIN INC.	46284V101		04/06/21	0.22	Section 199A dividend	03
			04/06/21	0.06	Long-term capital gain	03
			04/06/21	0.05	Qualified dividend	03
			04/06/21	0.05	Nondividend distribution	03
			04/06/21	0.02	Long-term capital gain	03
			04/06/21	0.01	Unrecaptured section 1250 gain	03
			07/06/21	0.23	Section 199A dividend	03
			07/06/21	0.06	Long-term capital gain	03
07/06/21	0.05	Qualified dividend	03			

Robinhood Securities LLC

Account 153570429

Detail for Dividends and Distributions

2021

(continued)

02/11/2022

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
IRON MOUNTAIN INC. (cont'd)			07/06/21	0.05	Nondividend distribution	03
			07/06/21	0.02	Long-term capital gain	03
			07/06/21	0.01	Unrecaptured section 1250 gain	03
			10/06/21	0.23	Section 199A dividend	03
			10/06/21	0.06	Qualified dividend	03
			10/06/21	0.06	Long-term capital gain	03
			10/06/21	0.05	Nondividend distribution	03
			10/06/21	0.02	Long-term capital gain	03
			10/06/21	0.01	Unrecaptured section 1250 gain	03
						1.26
JAPAN TOBACCO UNSPONSORED AMER ICAN DEPOSITORY RECEIPT (JAPA N)	471105205		09/16/21	0.59	Nonqualified dividend	
			09/16/21	-0.09	Foreign tax withheld-JA	
				0.59	Total Dividends & distributions	
			-0.09	Total Foreign tax withheld		
JARDINE STRATEGIC HOLDINGS LTD BERMUDA UNSPONSORED ADR	471122200		05/10/21	16.45	Cash liquidation	
KAO CORPORATION ADR REPSTG 1/5 COM - UNSPONSORED (JAPAN)	485537401		09/16/21	0.13	Nonqualified dividend	
			09/16/21	-0.02	Foreign tax withheld-JA	
				0.13	Total Dividends & distributions	
			-0.02	Total Foreign tax withheld		
KONE OYJ UNSPONSORED ADR REPRE SENTING B SHARES (FINLAND)	50048H101		03/26/21	1.34	Qualified dividend	
			03/26/21	-0.47	Foreign tax withheld-FI	
				1.34	Total Dividends & distributions	
			-0.47	Total Foreign tax withheld		
MORNINGSTAR, INC. COMMON STOCK	617700109		04/30/21	0.03	Qualified dividend	
			07/30/21	0.03	Qualified dividend	
			10/29/21	0.03	Qualified dividend	
				0.09	Total Dividends & distributions	
NATIONAL GRID PLC	636274409		08/18/21	0.38	Nonqualified dividend	
PAYCHEX, INC. COMMON STOCK	704326107		02/25/21	0.14	Qualified dividend	
			05/27/21	0.15	Qualified dividend	
			08/26/21	0.15	Qualified dividend	
			11/29/21	0.15	Qualified dividend	
				0.59	Total Dividends & distributions	

Robinhood Securities LLC

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Detail for Dividends and Distributions

2021

(continued)

02/11/2022

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
ROLLINS, INC.	775711104		03/10/21	0.04	Qualified dividend	
			06/10/21	0.04	Qualified dividend	
			09/10/21	0.04	Qualified dividend	
				0.12	Total Dividends & distributions	
ROYAL DUTCH SHELL PLC ADS CLAS S A	780259206		03/29/21	0.09	Qualified dividend	
			03/29/21	-0.01	Foreign tax withheld-NL	
			06/21/21	0.10	Qualified dividend	
			06/21/21	-0.02	Foreign tax withheld-NL	
			09/20/21	0.14	Qualified dividend	
			09/20/21	-0.02	Foreign tax withheld-NL	
				0.33	Total Dividends & distributions	
	-0.05	Total Foreign tax withheld				
SHARP CORP (OSAKA) AMERICAN DE POSITARY RECEIPTS - UNSPONSORE D	819882309		06/21/21	0.14	Nonqualified dividend	
			06/21/21	-0.02	Foreign tax withheld-JA	
				0.14	Total Dividends & distributions	
	-0.02	Total Foreign tax withheld				
STANLEY BLACK & DECKER, INC.	854502101		03/23/21	0.08	Qualified dividend	
			06/22/21	0.08	Qualified dividend	
			09/21/21	0.09	Qualified dividend	
				0.25	Total Dividends & distributions	
SUBARU CORPORATION AMERICAN DE POSITARY RECEIPTS - UNSPONSORE D	86428V104		07/09/21	0.25	Nonqualified dividend	
			07/09/21	-0.04	Foreign tax withheld-JA	
			12/15/21	0.25	Nonqualified dividend	
			12/15/21	-0.04	Foreign tax withheld-JA	
				0.50	Total Dividends & distributions	
	-0.08	Total Foreign tax withheld				
TRANSUNION	89400J107		03/26/21	0.02	Qualified dividend	
			06/10/21	0.02	Qualified dividend	
			09/09/21	0.02	Qualified dividend	
				0.06	Total Dividends & distributions	
UNITED UTILITIES GROUP PLC SPO NSORED ADR (UK)	91311E102		08/09/21	0.80	Qualified dividend	

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Detail for Dividends and Distributions

2021

(continued)

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Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
VERISK ANALYTICS, INC. COMMON STOCK	92345Y106		03/31/21	0.03	Qualified dividend	
			06/30/21	0.03	Qualified dividend	
			09/30/21	0.03	Qualified dividend	
				0.09	Total Dividends & distributions	
WASTE MANAGEMENT, INC.	94106L109		03/26/21	0.20	Qualified dividend	
			06/18/21	0.20	Qualified dividend	
			09/17/21	0.20	Qualified dividend	
			12/17/21	0.20	Qualified dividend	
				0.80	Total Dividends & distributions	
Z HOLDINGS CORPORATION AMERICA N DEPOSITARY RECEIPTS - UNSPON SORED	98877X101		06/21/21	0.20	Nonqualified dividend	
			06/21/21	-0.03	Foreign tax withheld-JA	
				0.20	Total Dividends & distributions	
				-0.03	Total Foreign tax withheld	
AON PLC CLASS A	G0403H108		02/12/21	0.04	Nonqualified dividend	
			05/14/21	0.05	Nonqualified dividend	
			08/13/21	0.05	Nonqualified dividend	
			11/15/21	0.05	Nonqualified dividend	
				0.19	Total Dividends & distributions	
GENPACT LIMITED	G3922B107		03/19/21	0.05	Nonqualified dividend	
			06/23/21	0.05	Nonqualified dividend	
			09/24/21	0.05	Nonqualified dividend	
				0.15	Total Dividends & distributions	
NIELSEN HOLDINGS PLC	G6518L108		03/18/21	0.05	Qualified dividend	
			06/17/21	0.05	Qualified dividend	
			09/02/21	0.05	Qualified dividend	
				0.15	Total Dividends & distributions	
				32.38	Total Dividends & distributions	
				-1.45	Total Foreign tax withheld	

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Foreign Income and Taxes Summary

2021

02/11/2022

This schedule lists all income and foreign tax by country. Mutual Funds and Unit Investment Trusts (UITs) structured as Regulated Investment Companies (RICs) are listed separately with a country designation of "RIC."

Country	Security Description	CUSIP	Nonqualified	Dividends		Interest	Total Income	Tax
				Qualified				
BD	JARDINE STRATEGIC HOLDINGS LTD BERMUDA UNSPONSORED ADR	471122200	0.00	0.00	0.00	0.00	0.00	0.00
BD	GENPACT LIMITED	G3922B107	0.15	0.00	0.00	0.15	0.00	0.00
Total BERMUDA			0.15	0.00	0.00	0.15	0.00	0.00
CA	GFL ENVIRONMENTAL INC. SUBORDI NATE VOTING SHARES	36168Q104	0.03	0.00	0.00	0.03	0.00	0.00
Total CANADA			0.03	0.00	0.00	0.03	0.00	0.00
CH	CHINA SHENHUA ENERGY CO LTD UN SPONSORED ADR REPRESENTING A S HS (CHINA)	16942A302	2.22	0.00	0.00	2.22	0.00	-0.22
Total CHINA			2.22	0.00	0.00	2.22	0.00	-0.22
CI	ENEL AMERICAS S.A. AMERICAN DE POSITARY SHARES (EACH REPRES ENTING 50 SHARES OF COMMON STOCK	29274F104	0.00	0.31	0.00	0.31	0.00	-0.01
Total CHILE			0.00	0.31	0.00	0.31	0.00	-0.01
EI	EXPERIAN PLC SPONSORED ADR (UK)	30215C101	0.33	0.00	0.00	0.33	0.00	0.00
EI	AON PLC CLASS A	G0403H108	0.19	0.00	0.00	0.19	0.00	0.00
Total IRELAND			0.52	0.00	0.00	0.52	0.00	0.00
FI	KONE OYJ UNSPONSORED ADR REPRESENTING B SHARES (FINLAND)	50048H101	0.00	1.34	0.00	1.34	0.00	-0.47
Total FINLAND			0.00	1.34	0.00	1.34	0.00	-0.47
GM	DAIMLER AG AMERICAN DEPOSITARY RECEIPTS - UNSPONSORED (GERMA NY)	233825207	0.40	0.00	0.00	0.40	0.00	-0.11
Total GERMANY			0.40	0.00	0.00	0.40	0.00	-0.11

Robinhood Securities LLC

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Foreign Income and Taxes Summary

2021

(continued)

02/11/2022

Country	Security Description	CUSIP	Dividends		Interest	Total Income	Tax
			Nonqualified	Qualified			
HK	CK HUTCHISON HOLDINGS LTD AMER ICAN DEPOSITARY RECEIPTS - UNS PONSORED	12562Y100	0.32	0.00	0.00	0.32	0.00
Total HONG KONG			0.32	0.00	0.00	0.32	0.00
JA	DENSO CORP LTD ORD AMERICAN DE POSITARY SHARES	24872B100	0.67	0.00	0.00	0.67	-0.10
JA	EAST JAPAN RAILWAY CO UNSPONS RED ADR (JAPAN)	273202101	0.30	0.00	0.00	0.30	-0.04
JA	FUJIFILM HOLDINGS CORPORATION AMERICAN DEPOSITARY SHARES	35958N107	0.96	0.00	0.00	0.96	-0.15
JA	HONDA MOTOR CO., LTD.	438128308	0.00	0.40	0.00	0.40	-0.06
JA	JAPAN TOBACCO UNSPONSORED AMER ICAN DEPOSITARY RECEIPT (J APAN)	471105205	0.59	0.00	0.00	0.59	-0.09
JA	KAO CORPORATION ADR REPSTG 1/5 COM - UNSPONSORED (JAPAN)	485537401	0.13	0.00	0.00	0.13	-0.02
JA	SHARP CORP (OSAKA) AMERICAN DE POSITARY RECEIPTS - UNSPONSORE D	819882309	0.14	0.00	0.00	0.14	-0.02
JA	SUBARU CORPORATION AMERICAN DE POSITARY RECEIPTS - UNSPONSORE D	86428V104	0.50	0.00	0.00	0.50	-0.08
JA	Z HOLDINGS CORPORATION AMERICA N DEPOSITARY RECEIPTS - UNSPON SORED	98877X101	0.20	0.00	0.00	0.20	-0.03
Total JAPAN			3.49	0.40	0.00	3.89	-0.59
NL	ROYAL DUTCH SHELL PLC ADS CLAS S A	780259206	0.00	0.33	0.00	0.33	-0.05
Total NETHERLANDS			0.00	0.33	0.00	0.33	-0.05
UK	NATIONAL GRID PLC	636274409	0.38	0.00	0.00	0.38	0.00
UK	UNITED UTILITIES GROUP PLC SPO NSORED ADR (UK)	91311E102	0.00	0.80	0.00	0.80	0.00
Total UNITED KINGDOM			0.38	0.80	0.00	1.18	0.00

Robinhood Securities LLC

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Foreign Income and Taxes Summary

2021

(continued)

02/11/2022

Grand Total	Total Foreign Source Income*	10.69
	Qualified Foreign Source Income**	3.18
	Foreign Tax	-1.45

* Total Foreign Source Income utilizes the "Total" column for RICs which may not always be supplied.

** Qualified Foreign Source Income utilizes the "Qualified" column for RICs which may not always be supplied.

Robinhood Securities LLC

Account 153570429

Non-Reportable Actions

2021

02/11/2022

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
APHRIA INC. COMMON SHARES	03765K104	05/03/21	-1.47	0.00	Merger	
CHURCHILL CAPITAL CORP IV	171439102	07/26/21	-3.13	0.00	Exchange	
JARDINE STRATEGIC HOLDINGS LTD BERMUDA UNSPONSORED ADR	471122200	05/10/21	-1.00	0.00	Exchange	
LUCID GROUP, INC. COMMON STOCK	549498103	07/26/21	3.13	0.00	Exchange	
PIEDMONT LITHIUM LIMITED AMERI CAN DEPOSITARY RECEIPTS	720162106	05/18/21	-0.35	0.00	Exchange	
PIEDMONT LITHIUM INC. COMMON S TOCK	72016P105	05/18/21	0.35	0.00	Exchange	
TILRAY BRANDS, INC. CLASS 2 CO MMON STOCK	88688T100	05/03/21	1.00	0.00	Merger	

03 The tax character of the distribution has been allocated based on information provided by the security issuer.

25 Tax lot closed on a first in first out basis.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or ORD) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

Line 1b. Shows the portion of the amount in Line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in Lines 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2c. Shows the portion of the amount in Line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

Line 2d. Shows the portion of the amount in Line 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2e. Shows the portion of the amount in Line 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

Line 2f. Shows the portion of the amount in Line 2a that is section 897 gain attributable to disposition of USRPI.

Note: Lines 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in Line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in Line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040SR.

Instructions for Recipient

Line 8. This Line should be left blank if a RIC reported the foreign tax shown in Line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040SR for where to report. This amount may be subject to backup withholding. See Line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in Line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting Lines.

Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to

special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

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