

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 134300896	Statement Date: 02/11/2022 Document ID: C8VE Y5M GH45	2021
	Gurusainadhareddy Chilakala 2401 Bottlebrush Dr Prosper, TX 75078 RECIPIENT'S TIN: XXX-XX-1694		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2021 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e) 0.00</p> <p>1b- Qualified dividends 0.00</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f) 0.00</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>2e- Section 897 ordinary dividends 0.00</p> <p>2f- Section 897 capital gain 0.00</p> <p>3- Nondividend distributions 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 0.00</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: 7- Foreign tax paid: 0.00</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p>MISCELLANEOUS INFORMATION 2021 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 50.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.00</p> <p>SECTION 1256 CONTRACTS 2021 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2021 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2020 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2021 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</p>
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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	773,568.33	979,170.07	0.00	16,014.69	-189,587.05
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	773,568.33	979,170.07	0.00	16,014.69	-189,587.05
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	773,568.33	979,170.07	0.00	16,014.69	-189,587.05
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

Robinhood Securities LLC

Account 134300896

Summary Information

2021

(continued)

02/11/2022

INTEREST INCOME

2021 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	60.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

02/11/2022

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 02/19/2021 CALL \$133.00 / CUSIP: / Symbol:							
02/03/21	1.000	464.97	01/29/21	510.00	...	-45.03	Option sale to close-call ²⁵
ABBV 10/08/2021 CALL \$112.00 / CUSIP: / Symbol:							
2 transactions for 10/07/21. Total proceeds and cost reported to the IRS.							
	8.000	159.98	09/01/21	2,840.00	...	-2,680.02	1 of 2 - Option sale to close-call ²⁵
	7.000	139.98	09/07/21	1,120.00	...	-980.02	2 of 2 - Option sale to close-call ²⁵
10/07/21	15.000	299.96	Various	3,960.00	...	-3,660.04	Total of 2 transactions
AI 02/19/2021 CALL \$135.00 / CUSIP: / Symbol:							
01/25/21	5.000	11,299.74	01/22/21	4,150.00	...	7,149.74	Option sale to close-call ²⁵
ALK 08/20/2021 CALL \$57.50 / CUSIP: / Symbol:							
06/28/21	5.000	2,699.97	06/28/21	2,750.00	...	-50.03	Option sale to close-call ²⁵

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Account 134300896

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC 02/05/2021 CALL \$8.00 / CUSIP: / Symbol:							
6 transactions for 01/27/21. Total proceeds and cost reported to the IRS.							
	3.000	3,209.92	01/27/21	3,450.00	...	-240.08	1 of 6 - Option sale to close-call ²⁵
	1.000	1,069.97	01/27/21	1,090.04	...	-20.07	2 of 6 - Option sale to close-call ²⁵
	1.000	1,069.97	01/27/21	1,025.00	...	44.97	3 of 6 - Option sale to close-call ²⁵
	1.000	1,069.97	01/27/21	1,025.00	...	44.97	4 of 6 - Option sale to close-call ²⁵
	3.000	3,209.93	01/27/21	3,075.00	...	134.93	5 of 6 - Option sale to close-call ²⁵
	4.000	4,279.90	01/27/21	4,100.00	...	179.90	6 of 6 - Option sale to close-call ²⁵
01/27/21	13.000	13,909.66	Various	13,765.04	...	144.62	Total of 6 transactions
01/27/21	1.000	1,084.96	01/27/21	1,150.00	65.04 W	0.00	Option sale to close-call ²⁵
	Security total:	14,994.62		14,915.04	65.04 W	144.62	
ARCT 01/15/2021 CALL \$50.00 / CUSIP: / Symbol:							
01/06/21	1.000	339.98	12/29/20	310.00	...	29.98	Option sale to close-call ²⁵
ARKK 01/15/2021 CALL \$122.96 / CUSIP: / Symbol:							
01/07/21	1.000	1,099.96	12/29/20	490.00	...	609.96	Option sale to close-call ²⁵
ARKK 02/12/2021 CALL \$143.00 / CUSIP: / Symbol:							
01/22/21	2.000	1,639.95	01/21/21	1,700.00	...	-60.05	Option sale to close-call ²⁵
ASAN 08/20/2021 CALL \$62.50 / CUSIP: / Symbol:							
07/02/21	4.000	2,799.97	06/28/21	2,000.00	...	799.97	Option sale to close-call ²⁵
ASAN 08/20/2021 CALL \$70.00 / CUSIP: / Symbol:							
2 transactions for 07/29/21. Total proceeds and cost reported to the IRS.							
	2.000	1,079.98	07/27/21	620.00	...	459.98	1 of 2 - Option sale to close-call ²⁵
	2.000	1,079.98	07/27/21	620.00	...	459.98	2 of 2 - Option sale to close-call ²⁵
07/29/21	4.000	2,159.96	Various	1,240.00	...	919.96	Total of 2 transactions
ASAN 10/15/2021 PUT \$82.50 / CUSIP: / Symbol:							
08/25/21	4.000	4,519.96	08/23/21	4,000.00	...	519.96	Option sale to close-put ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BABA 01/29/2021 CALL \$230.00 / CUSIP: / Symbol:							
01/07/21	1.000	1,029.96	12/24/20	995.00	...	34.96	Option sale to close-call ²⁵
	9 transactions for 01/27/21. Total proceeds and cost reported to the IRS.						
	1.000	3,299.91	12/24/20	895.00	...	2,404.91	1 of 9 - Option sale to close-call ²⁵
	2.000	6,529.85	01/06/21	2,010.00	...	4,519.85	2 of 9 - Option sale to close-call ²⁵
	2.000	6,529.85	01/06/21	2,000.00	...	4,529.85	3 of 9 - Option sale to close-call ²⁵
	1.000	3,264.93	01/07/21	730.00	...	2,534.93	4 of 9 - Option sale to close-call ²⁵
	1.000	3,264.92	01/07/21	660.00	...	2,604.92	5 of 9 - Option sale to close-call ²⁵
	3.000	9,794.78	01/07/21	2,250.00	...	7,544.78	6 of 9 - Option sale to close-call ²⁵
	2.000	6,529.85	01/11/21	2,030.00	...	4,499.85	7 of 9 - Option sale to close-call ²⁵
	2.000	6,529.85	01/11/21	1,990.00	...	4,539.85	8 of 9 - Option sale to close-call ²⁵
	2.000	6,529.85	01/12/21	1,630.00	...	4,899.85	9 of 9 - Option sale to close-call ²⁵
01/27/21	16.000	52,273.79	Various	14,195.00	...	38,078.79	Total of 9 transactions
	Security total:	53,303.75		15,190.00	...	38,113.75	
BABA 02/19/2021 CALL \$262.50 / CUSIP: / Symbol:							
01/25/21	2.000	2,149.94	01/21/21	2,230.00	...	-80.06	Option sale to close-call ²⁵
BABA 09/24/2021 CALL \$165.00 / CUSIP: / Symbol:							
09/24/21	10.000	0.00	08/20/21	8,600.00	...	-8,600.00	Option expiration- long call
BAC 07/30/2021 CALL \$37.50 / CUSIP: / Symbol:							
	10 transactions for 07/29/21. Total proceeds and cost reported to the IRS.						
	1.000	120.00	07/16/21	105.00	...	15.00	1 of 10 - Option sale to close-call ²⁵
	1.000	120.00	07/16/21	105.00	...	15.00	2 of 10 - Option sale to close-call ²⁵
	6.000	719.98	07/16/21	630.00	...	89.98	3 of 10 - Option sale to close-call ²⁵
	16.000	1,919.96	07/16/21	1,744.00	...	175.96	4 of 10 - Option sale to close-call ²⁵
	17.000	2,039.95	07/16/21	1,853.00	...	186.95	5 of 10 - Option sale to close-call ²⁵
	22.000	2,639.94	07/16/21	2,398.00	...	241.94	6 of 10 - Option sale to close-call ²⁵

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Account 134300896

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BAC 07/30/2021 CALL \$37.50 / CUSIP: / Symbol: (cont'd)							
	100.000	11,999.74	07/16/21	10,800.00	...	1,199.74	7 of 10 - Option sale to close-call ²⁵
	1.000	145.00	07/19/21	50.00	...	95.00	8 of 10 - Option sale to close-call ²⁵
	36.000	4,319.90	07/19/21	1,764.00	...	2,555.90	9 of 10 - Option sale to close-call ²⁵
	78.000	11,309.78	07/19/21	3,822.00	...	7,487.78	10 of 10 - Option sale to close-call ²⁵
07/29/21	278.000	35,334.25	Various	23,271.00	...	12,063.25	Total of 10 transactions
07/29/21	1.000	120.00	07/14/21	121.00	1.00 W	0.00	Option sale to close-call ²⁵
	Security total:	35,454.25		23,392.00	1.00 W	12,063.25	
BAC 08/20/2021 PUT \$39.50 / CUSIP: / Symbol:							
08/20/21	1.000	0.00	08/06/21	58.00	...	-58.00	Option expiration- long put
BAC 09/10/2021 CALL \$42.00 / CUSIP: / Symbol:							
4 transactions for 08/20/21. Total proceeds and cost reported to the IRS.							
	10.000	319.97	08/19/21	410.00	...	-90.03	1 of 4 - Option sale to close-call ²⁵
	7.000	223.98	08/19/21	287.00	...	-63.02	2 of 4 - Option sale to close-call ²⁵
	7.000	223.98	08/19/21	287.00	...	-63.02	3 of 4 - Option sale to close-call ²⁵
	1.000	31.98	08/19/21	41.00	...	-9.02	4 of 4 - Option sale to close-call ²⁵
08/20/21	25.000	799.91	Various	1,025.00	...	-225.09	Total of 4 transactions
BAC 09/24/2021 CALL \$42.00 / CUSIP: / Symbol:							
12 transactions for 09/24/21. Total proceeds and cost reported to the IRS.							
	12.000	251.97	08/30/21	1,068.00	...	-816.03	1 of 12 - Option sale to close-call ²⁵
	12.000	251.97	08/30/21	1,068.00	...	-816.03	2 of 12 - Option sale to close-call ²⁵
	4.000	83.98	08/30/21	356.00	...	-272.02	3 of 12 - Option sale to close-call ²⁵
	4.000	83.98	08/30/21	356.00	...	-272.02	4 of 12 - Option sale to close-call ²⁵
	4.000	83.98	08/30/21	356.00	...	-272.02	5 of 12 - Option sale to close-call ²⁵

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2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BAC 09/24/2021 CALL \$42.00 / CUSIP: / Symbol: (cont'd)							
	3.000	62.98	08/30/21	267.00	...	-204.02	6 of 12 - Option sale to close-call ₂₅
	3.000	62.98	08/30/21	267.00	...	-204.02	7 of 12 - Option sale to close-call ₂₅
	1.000	20.98	08/30/21	89.00	...	-68.02	8 of 12 - Option sale to close-call ₂₅
	1.000	20.98	08/30/21	89.00	...	-68.02	9 of 12 - Option sale to close-call ₂₅
	1.000	20.98	08/30/21	89.00	...	-68.02	10 of 12 - Option sale to close-call ₂₅
	1.000	20.98	08/30/21	89.00	...	-68.02	11 of 12 - Option sale to close-call ₂₅
	1.000	20.98	08/30/21	89.00	...	-68.02	12 of 12 - Option sale to close-call ₂₅
09/24/21	47.000	986.74	Various	4,183.00	...	-3,196.26	Total of 12 transactions
BAC 10/08/2021 CALL \$41.00 / CUSIP: / Symbol:							
24 transactions for 10/08/21. Total proceeds and cost reported to the IRS.							
	1.000	339.98	09/14/21	70.00	...	269.98	1 of 24 - Option sale to close-call ₂₅
	1.000	339.98	09/14/21	70.00	...	269.98	2 of 24 - Option sale to close-call ₂₅
	1.000	339.98	09/14/21	70.00	...	269.98	3 of 24 - Option sale to close-call ₂₅
	1.000	339.98	09/14/21	70.00	...	269.98	4 of 24 - Option sale to close-call ₂₅
	1.000	339.98	09/14/21	70.00	...	269.98	5 of 24 - Option sale to close-call ₂₅
	1.000	339.98	09/14/21	70.00	...	269.98	6 of 24 - Option sale to close-call ₂₅
	1.000	340.00	09/14/21	70.00	...	270.00	7 of 24 - Option sale to close-call ₂₅
	2.000	679.98	09/14/21	140.00	...	539.98	8 of 24 - Option sale to close-call ₂₅

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Robinhood Securities LLC

Account 134300896

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BAC 10/08/2021 CALL \$41.00 / CUSIP: / Symbol: (cont'd)							
	3.000	1,019.99	09/14/21	210.00	...	809.99	9 of 24 - Option sale to close-call ₂₅
	4.000	1,359.98	09/14/21	280.00	...	1,079.98	10 of 24 - Option sale to close-call ₂₅
	4.000	1,359.98	09/14/21	280.00	...	1,079.98	11 of 24 - Option sale to close-call ₂₅
	4.000	1,359.98	09/14/21	280.00	...	1,079.98	12 of 24 - Option sale to close-call ₂₅
	4.000	1,359.98	09/14/21	280.00	...	1,079.98	13 of 24 - Option sale to close-call ₂₅
	4.000	1,359.98	09/14/21	280.00	...	1,079.98	14 of 24 - Option sale to close-call ₂₅
	5.000	1,699.98	09/14/21	350.00	...	1,349.98	15 of 24 - Option sale to close-call ₂₅
	5.000	1,699.98	09/14/21	350.00	...	1,349.98	16 of 24 - Option sale to close-call ₂₅
	5.000	1,699.98	09/14/21	350.00	...	1,349.98	17 of 24 - Option sale to close-call ₂₅
	7.000	2,379.97	09/14/21	490.00	...	1,889.97	18 of 24 - Option sale to close-call ₂₅
	8.000	2,719.96	09/14/21	560.00	...	2,159.96	19 of 24 - Option sale to close-call ₂₅
	9.000	3,059.96	09/14/21	630.00	...	2,429.96	20 of 24 - Option sale to close-call ₂₅
	4.000	1,359.98	09/20/21	160.00	...	1,199.98	21 of 24 - Option sale to close-call ₂₅
	5.000	1,699.98	09/20/21	200.00	...	1,499.98	22 of 24 - Option sale to close-call ₂₅
	5.000	1,699.98	09/20/21	185.00	...	1,514.98	23 of 24 - Option sale to close-call ₂₅
	91.000	30,939.66	09/20/21	3,640.00	...	27,299.66	24 of 24 - Option sale to close-call ₂₅
10/08/21	176.000	59,839.18	Various	9,155.00	...	50,684.18	Total of 24 transactions

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Robinhood Securities LLC

Account 134300896

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BAC 11/05/2021 CALL \$42.00 / CUSIP: / Symbol:							
<i>4 transactions for 10/14/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	277.98	10/11/21	270.00	...	7.98	1 of 4 - Option sale to close-call ²⁵
	1.000	279.98	10/11/21	270.00	...	9.98	2 of 4 - Option sale to close-call ²⁵
	1.000	279.98	10/11/21	270.00	...	9.98	3 of 4 - Option sale to close-call ²⁵
	1.000	280.98	10/11/21	270.00	...	10.98	4 of 4 - Option sale to close-call ²⁵
10/14/21	4.000	1,118.92	Various	1,080.00	...	38.92	Total of 4 transactions
10/15/21	1.000	404.98	10/11/21	270.00	...	134.98	Option sale to close-call ²⁵
<i>2 transactions for 10/20/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	464.98	10/11/21	270.00	...	194.98	1 of 2 - Option sale to close-call ²⁵
	1.000	489.98	10/11/21	270.00	...	219.98	2 of 2 - Option sale to close-call ²⁵
10/20/21	2.000	954.96	Various	540.00	...	414.96	Total of 2 transactions
10/29/21	1.000	599.98	10/11/21	270.00	...	329.98	Option sale to close-call ²⁵
<i>11 transactions for 11/05/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	491.00	10/11/21	270.00	...	221.00	1 of 11 - Option sale to close-call ²⁵
	1.000	494.98	10/11/21	270.00	...	224.98	2 of 11 - Option sale to close-call ²⁵
	1.000	490.98	10/11/21	264.00	...	226.98	3 of 11 - Option sale to close-call ²⁵
	1.000	490.98	10/11/21	264.00	...	226.98	4 of 11 - Option sale to close-call ²⁵
	1.000	499.98	10/11/21	270.00	...	229.98	5 of 11 - Option sale to close-call ²⁵
	7.000	3,436.97	10/11/21	1,848.00	...	1,588.97	6 of 11 - Option sale to close-call ²⁵
	9.000	4,418.96	10/11/21	2,376.00	...	2,042.96	7 of 11 - Option sale to close-call ²⁵
	37.000	18,166.82	10/11/21	9,990.00	...	8,176.82	8 of 11 - Option sale to close-call ²⁵
	43.000	21,112.79	10/11/21	11,610.00	...	9,502.79	9 of 11 - Option sale to close-call ²⁵
	44.000	21,603.79	10/11/21	11,616.00	...	9,987.79	10 of 11 - Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 134300896

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BAC 11/05/2021 CALL \$42.00 / CUSIP: / Symbol: (cont'd)							
	80.000	39,279.63	10/13/21	13,200.00	...	26,079.63	11 of 11 - Option sale to close-call ₂₅
11/05/21	225.000	110,486.88	Various	51,978.00	...	58,508.88	Total of 11 transactions
	Security total:	113,565.72		54,138.00	...	59,427.72	
BAC 11/26/2021 CALL \$46.00 / CUSIP: / Symbol:							
19 transactions for 11/11/21. Total proceeds and cost reported to the IRS.							
	1.000	191.00	11/05/21	175.00	...	16.00	1 of 19 - Option sale to close-call ₂₅
	1.000	191.00	11/05/21	160.00	...	31.00	2 of 19 - Option sale to close-call ₂₅
	2.000	381.99	11/05/21	350.01	...	31.98	3 of 19 - Option sale to close-call ₂₅
	2.000	381.99	11/05/21	320.00	...	61.99	4 of 19 - Option sale to close-call ₂₅
	8.000	1,527.98	11/05/21	1,400.03	...	127.95	5 of 19 - Option sale to close-call ₂₅
	7.000	1,336.98	11/05/21	1,120.00	...	216.98	6 of 19 - Option sale to close-call ₂₅
	18.000	3,437.94	11/05/21	3,150.05	...	287.89	7 of 19 - Option sale to close-call ₂₅
	19.000	3,628.94	11/05/21	3,325.06	...	303.88	8 of 19 - Option sale to close-call ₂₅
	12.000	2,291.96	11/05/21	1,920.00	...	371.96	9 of 19 - Option sale to close-call ₂₅
	13.000	2,482.95	11/05/21	2,080.00	...	402.95	10 of 19 - Option sale to close-call ₂₅
	18.000	3,437.95	11/05/21	2,880.00	...	557.95	11 of 19 - Option sale to close-call ₂₅
	19.000	3,628.94	11/05/21	3,040.00	...	588.94	12 of 19 - Option sale to close-call ₂₅
	20.000	3,819.94	11/05/21	3,200.00	...	619.94	13 of 19 - Option sale to close-call ₂₅
	20.000	3,819.94	11/05/21	3,200.00	...	619.94	14 of 19 - Option sale to close-call ₂₅

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Robinhood Securities LLC

Account 134300896

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BAC 11/26/2021 CALL \$46.00 / CUSIP: / Symbol: (cont'd)							
	20.000	3,819.94	11/05/21	3,200.00	...	619.94	15 of 19 - Option sale to close-call ²⁵
	20.000	3,819.95	11/05/21	3,200.00	...	619.95	16 of 19 - Option sale to close-call ²⁵
	5.000	954.98	11/09/21	760.00	...	194.98	17 of 19 - Option sale to close-call ²⁵
	6.000	1,145.98	11/09/21	912.00	...	233.98	18 of 19 - Option sale to close-call ²⁵
	14.000	2,673.96	11/09/21	2,128.00	...	545.96	19 of 19 - Option sale to close-call ²⁵
11/11/21	225.000	42,974.31	Various	36,520.15	...	6,454.16	Total of 19 transactions
	2 transactions for 11/11/21. Total proceeds and cost reported to the IRS.						
	28.000	5,347.91	10/27/21	5,768.00	420.09 W	0.00	1 of 2 - Option sale to close-call ²⁵
	20.000	3,819.94	10/27/21	4,120.00	300.06 W	0.00	2 of 2 - Option sale to close-call ²⁵
11/11/21	48.000	9,167.85	Various	9,888.00	720.15 W	0.00	Total of 2 transactions
	Security total:	52,142.16		46,408.15	720.15 W	6,454.16	
BAC 12/10/2021 CALL \$47.00 / CUSIP: / Symbol:							
12/01/21	1.000	27.98	11/12/21	133.00	105.02 W	0.00	Option sale to close-call ²⁵
	17 transactions for 12/09/21. Total proceeds and cost reported to the IRS.						
	5.000	4.98	11/12/21	665.00	660.02 W	0.00	1 of 17 - Option sale to close-call ²⁵
	1.000	0.98	11/12/21	133.00	132.02 W	0.00	2 of 17 - Option sale to close-call ²⁵
	1.000	0.98	11/12/21	133.00	132.02 W	0.00	3 of 17 - Option sale to close-call ²⁵
	1.000	0.98	11/12/21	133.00	132.02 W	0.00	4 of 17 - Option sale to close-call ²⁵
	30.000	29.93	11/12/21	3,990.00	3,960.07 W	0.00	5 of 17 - Option sale to close-call ²⁵
	1.000	0.98	11/12/21	133.00	132.02 W	0.00	6 of 17 - Option sale to close-call ²⁵
	3.000	2.98	11/12/21	399.00	396.02 W	0.00	7 of 17 - Option sale to close-call ²⁵

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(continued)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BAC 12/10/2021 CALL \$47.00 / CUSIP: / Symbol: (cont'd)							
	1.000	0.98	11/12/21	133.00	132.02 W	0.00	8 of 17 - Option sale to close-call ₂₅
	13.000	12.96	11/12/21	1,729.00	1,716.04 W	0.00	9 of 17 - Option sale to close-call ₂₅
	1.000	0.98	11/12/21	133.00	132.02 W	0.00	10 of 17 - Option sale to close-call ₂₅
	1.000	0.98	11/12/21	133.00	132.02 W	0.00	11 of 17 - Option sale to close-call ₂₅
	2.000	1.98	11/12/21	266.00	264.02 W	0.00	12 of 17 - Option sale to close-call ₂₅
	2.000	1.98	11/12/21	266.00	264.02 W	0.00	13 of 17 - Option sale to close-call ₂₅
	2.000	1.98	11/12/21	266.00	264.02 W	0.00	14 of 17 - Option sale to close-call ₂₅
	3.000	2.98	11/12/21	399.00	396.02 W	0.00	15 of 17 - Option sale to close-call ₂₅
	3.000	2.98	11/12/21	399.00	396.02 W	0.00	16 of 17 - Option sale to close-call ₂₅
	26.000	25.94	11/12/21	3,458.00	3,432.06 W	0.00	17 of 17 - Option sale to close-call ₂₅
12/09/21	96.000	95.55	Various	12,768.00	12,672.45 W	0.00	Total of 17 transactions
	36 transactions for 12/10/21. Total proceeds and cost reported to the IRS.						
	103.000	0.00	11/12/21	13,699.00	...	-13,699.00	1 of 36 - Option expiration- long call
	103.000	0.00	11/12/21	13,493.00	...	-13,493.00	2 of 36 - Option expiration- long call
	87.000	0.00	11/12/21	10,266.00	...	-10,266.00	3 of 36 - Option expiration- long call
	30.000	0.00	11/12/21	7,890.07	...	-7,890.07	4 of 36 - Option expiration- long call
	26.000	0.00	11/12/21	6,838.06	...	-6,838.06	5 of 36 - Option expiration- long call
	13.000	0.00	11/12/21	3,419.04	...	-3,419.04	6 of 36 - Option expiration- long call

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BAC 12/10/2021 CALL \$47.00 / CUSIP: / Symbol: (cont'd)							
	16.000	0.00	11/12/21	1,840.00	...	-1,840.00	7 of 36 - Option expiration- long call
	5.000	0.00	11/12/21	1,315.02	...	-1,315.02	8 of 36 - Option expiration- long call
	3.000	0.00	11/12/21	789.02	...	-789.02	9 of 36 - Option expiration- long call
	3.000	0.00	11/12/21	789.02	...	-789.02	10 of 36 - Option expiration- long call
	3.000	0.00	11/12/21	789.02	...	-789.02	11 of 36 - Option expiration- long call
	2.000	0.00	11/12/21	526.02	...	-526.02	12 of 36 - Option expiration- long call
	2.000	0.00	11/12/21	526.02	...	-526.02	13 of 36 - Option expiration- long call
	2.000	0.00	11/12/21	526.02	...	-526.02	14 of 36 - Option expiration- long call
	4.000	0.00	11/12/21	492.00	...	-492.00	15 of 36 - Option expiration- long call
	1.000	0.00	11/12/21	263.02	...	-263.02	16 of 36 - Option expiration- long call
	1.000	0.00	11/12/21	263.02	...	-263.02	17 of 36 - Option expiration- long call
	1.000	0.00	11/12/21	263.02	...	-263.02	18 of 36 - Option expiration- long call
	1.000	0.00	11/12/21	263.02	...	-263.02	19 of 36 - Option expiration- long call
	1.000	0.00	11/12/21	263.02	...	-263.02	20 of 36 - Option expiration- long call
	1.000	0.00	11/12/21	263.02	...	-263.02	21 of 36 - Option expiration- long call
	1.000	0.00	11/12/21	263.02	...	-263.02	22 of 36 - Option expiration- long call
	1.000	0.00	11/12/21	236.02	...	-236.02	23 of 36 - Option expiration- long call
	105.000	0.00	11/17/21	9,870.00	...	-9,870.00	24 of 36 - Option expiration- long call

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Account 134300896

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BAC 12/10/2021 CALL \$47.00 / CUSIP: / Symbol: (cont'd)							
	180.000	0.00	11/19/21	6,480.00	...	-6,480.00	25 of 36 - Option expiration- long call
	100.000	0.00	11/19/21	3,600.00	...	-3,600.00	26 of 36 - Option expiration- long call
	100.000	0.00	11/19/21	3,600.00	...	-3,600.00	27 of 36 - Option expiration- long call
	20.000	0.00	11/19/21	720.00	...	-720.00	28 of 36 - Option expiration- long call
	3.000	0.00	11/30/21	57.00	...	-57.00	29 of 36 - Option expiration- long call
	3.000	0.00	11/30/21	57.00	...	-57.00	30 of 36 - Option expiration- long call
	3.000	0.00	11/30/21	57.00	...	-57.00	31 of 36 - Option expiration- long call
	2.000	0.00	11/30/21	38.00	...	-38.00	32 of 36 - Option expiration- long call
	1.000	0.00	11/30/21	19.00	...	-19.00	33 of 36 - Option expiration- long call
	1.000	0.00	11/30/21	19.00	...	-19.00	34 of 36 - Option expiration- long call
	1.000	0.00	11/30/21	19.00	...	-19.00	35 of 36 - Option expiration- long call
	1.000	0.00	11/30/21	19.00	...	-19.00	36 of 36 - Option expiration- long call
12/10/21	930.000	0.00	Various	89,829.47	...	-89,829.47	Total of 36 transactions
	Security total:	123.53		102,730.47	12,777.47 W	-89,829.47	
BAC 12/31/2021 CALL \$43.50 / CUSIP: / Symbol:							
12/31/21	1.000	104.98	12/17/21	119.00	...	-14.02	Option sale to close-call ²⁵
BLK 01/29/2021 CALL \$770.00 / CUSIP: / Symbol:							
01/29/21	1.000	0.00	01/14/21	800.00	...	-800.00	Option expiration- long call
BLNK 01/15/2021 CALL \$40.00 / CUSIP: / Symbol:							
01/06/21	1.000	634.97	01/04/21	375.00	...	259.97	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 134300896

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CGC 01/22/2021 PUT \$34.00 / CUSIP: / Symbol:							
01/06/21	1.000	414.98	01/06/21	425.00	...	-10.02	Option sale to close-put ²⁵
CODX 07/30/2021 CALL \$5.00 / CUSIP: / Symbol:							
06/17/21	10.000	3,099.96	06/17/21	3,000.00	...	99.96	Option sale to close-call ²⁵
CRWD 02/19/2021 CALL \$185.00 / CUSIP: / Symbol:							
2 transactions for 01/27/21. Total proceeds and cost reported to the IRS.							
	2.000	5,119.88	01/26/21	5,000.00	...	119.88	1 of 2 - Option sale to close-call ²⁵
	2.000	5,119.88	01/26/21	5,000.00	...	119.88	2 of 2 - Option sale to close-call ²⁵
01/27/21	4.000	10,239.76	Various	10,000.00	...	239.76	Total of 2 transactions
CRWD 06/18/2021 CALL \$222.50 / CUSIP: / Symbol:							
06/11/21	1.000	999.98	06/04/21	250.00	...	749.98	Option sale to close-call ²⁵
CRWD 12/31/2021 CALL \$250.00 / CUSIP: / Symbol:							
2 transactions for 12/31/21. Total proceeds and cost reported to the IRS.							
	9.000	0.00	11/29/21	5,985.00	...	-5,985.00	1 of 2 - Option expiration- long call
	1.000	0.00	11/29/21	665.00	...	-665.00	2 of 2 - Option expiration- long call
12/31/21	10.000	0.00	Various	6,650.00	...	-6,650.00	Total of 2 transactions
CVAC 08/20/2021 CALL \$70.00 / CUSIP: / Symbol:							
2 transactions for 08/20/21. Total proceeds and cost reported to the IRS.							
	5.000	0.00	07/01/21	3,200.00	...	-3,200.00	1 of 2 - Option expiration- long call
	2.000	0.00	07/02/21	980.00	...	-980.00	2 of 2 - Option expiration- long call
08/20/21	7.000	0.00	Various	4,180.00	...	-4,180.00	Total of 2 transactions
CVM 08/20/2021 CALL \$10.00 / CUSIP: / Symbol:							
2 transactions for 06/30/21. Total proceeds and cost reported to the IRS.							
	1.000	199.98	06/30/21	195.00	...	4.98	1 of 2 - Option sale to close-call ²⁵
	2.000	399.98	06/30/21	390.00	...	9.98	2 of 2 - Option sale to close-call ²⁵
06/30/21	3.000	599.96	Various	585.00	...	14.96	Total of 2 transactions
CVM 10/15/2021 CALL \$20.00 / CUSIP: / Symbol:							
06/30/21	1.000	84.98	06/30/21	95.00	...	-10.02	Option sale to close-call ²⁵

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(continued)

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DLTR 09/17/2021 CALL \$95.00 / CUSIP: / Symbol:							
5 transactions for 09/15/21. Total proceeds and cost reported to the IRS.							
	10.000	19.98	08/26/21	2,880.00	...	-2,860.02	1 of 5 - Option sale to close-call ²⁵
	11.000	21.98	08/26/21	2,728.00	...	-2,706.02	2 of 5 - Option sale to close-call ²⁵
	10.000	19.98	08/26/21	2,250.00	...	-2,230.02	3 of 5 - Option sale to close-call ²⁵
	80.000	159.83	08/27/21	6,640.00	...	-6,480.17	4 of 5 - Option sale to close-call ²⁵
	19.000	37.96	08/27/21	2,185.00	...	-2,147.04	5 of 5 - Option sale to close-call ²⁵
09/15/21	130.000	259.73	Various	16,683.00	...	-16,423.27	Total of 5 transactions
DOCS 11/19/2021 CALL \$70.00 / CUSIP: / Symbol:							
2 transactions for 11/01/21. Total proceeds and cost reported to the IRS.							
	1.000	770.98	10/21/21	660.00	...	110.98	1 of 2 - Option sale to close-call ²⁵
	2.000	1,541.98	10/21/21	1,320.00	...	221.98	2 of 2 - Option sale to close-call ²⁵
11/01/21	3.000	2,312.96	Various	1,980.00	...	332.96	Total of 2 transactions
DXCM 06/18/2021 CALL \$400.00 / CUSIP: / Symbol:							
05/28/21	1.000	389.98	04/30/21	1,650.00	...	-1,260.02	Option sale to close-call ²⁵
EBIX 03/19/2021 CALL \$45.00 / CUSIP: / Symbol:							
03/19/21	2.000	0.00	02/22/21	330.00	...	-330.00	Option expiration- long call
EBS 03/19/2021 CALL \$125.00 / CUSIP: / Symbol:							
02/10/21	1.000	739.97	02/09/21	770.00	...	-30.03	Option sale to close-call ²⁵
EFX 03/19/2021 CALL \$175.00 / CUSIP: / Symbol:							
02/18/21	1.000	639.97	02/11/21	610.00	...	29.97	Option sale to close-call ²⁵
EXPE 07/30/2021 CALL \$165.00 / CUSIP: / Symbol:							
3 transactions for 07/29/21. Total proceeds and cost reported to the IRS.							
	2.000	127.99	06/28/21	1,320.00	...	-1,192.01	1 of 3 - Option sale to close-call ²⁵
	2.000	128.00	06/28/21	1,220.00	...	-1,092.00	2 of 3 - Option sale to close-call ²⁵
	2.000	127.99	06/29/21	1,180.00	...	-1,052.01	3 of 3 - Option sale to close-call ²⁵
07/29/21	6.000	383.98	Various	3,720.00	...	-3,336.02	Total of 3 transactions
EXPI 02/19/2021 CALL \$155.00 / CUSIP: / Symbol:							
02/11/21	1.000	1,259.96	02/10/21	610.00	...	649.96	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 134300896

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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EXPI 03/19/2021 CALL \$110.00 / CUSIP: / Symbol:							
02/12/21	1.000	5,199.87	02/10/21	4,010.00	...	1,189.87	Option sale to close-call ²⁵
EXPI 03/19/2021 CALL \$65.00 / CUSIP: / Symbol:							
02/22/21	2.000	2,179.94	02/16/21	4,400.00	...	-2,220.06	Option sale to close-call ²⁵
FB 02/05/2021 CALL \$250.00 / CUSIP: / Symbol:							
01/21/21	1.000	2,244.94	01/14/21	1,200.00	...	1,044.94	Option sale to close-call ²⁵
FB 11/12/2021 CALL \$345.00 / CUSIP: / Symbol:							
11/12/21	1.000	0.00	10/04/21	925.00	...	-925.00	Option expiration- long call
FLGT 02/19/2021 CALL \$65.00 / CUSIP: / Symbol:							
01/11/21	2.000	1,839.94	01/08/21	1,400.00	...	439.94	Option sale to close-call ²⁵
FLGT 03/19/2021 CALL \$120.00 / CUSIP: / Symbol:							
<i>2 transactions for 02/03/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	2,939.92	02/02/21	1,660.00	...	1,279.92	1 of 2 - Option sale to close-call ²⁵
	1.000	2,939.92	02/02/21	1,660.00	...	1,279.92	2 of 2 - Option sale to close-call ²⁵
02/03/21	2.000	5,879.84	Various	3,320.00	...	2,559.84	Total of 2 transactions
FLGT 03/19/2021 CALL \$145.00 / CUSIP: / Symbol:							
02/25/21	1.000	479.98	02/22/21	840.00	...	-360.02	Option sale to close-call ²⁵
FLGT 05/21/2021 CALL \$95.00 / CUSIP: / Symbol:							
04/21/21	2.000	1,219.98	04/07/21	1,660.00	440.02 W	0.00	Option sale to close-call ²⁵
05/21/21	2.000	0.00	04/07/21	1,940.02	...	-1,940.02	Option expiration- long call
Security total:		1,219.98		3,600.02	440.02 W	-1,940.02	
FUBO 02/05/2021 CALL \$30.00 / CUSIP: / Symbol:							
<i>2 transactions for 01/25/21. Total proceeds and cost reported to the IRS.</i>							
	2.000	2,349.93	01/22/21	1,220.00	...	1,129.93	1 of 2 - Option sale to close-call ²⁵
	4.000	4,699.88	01/22/21	2,440.00	...	2,259.88	2 of 2 - Option sale to close-call ²⁵
01/25/21	6.000	7,049.81	Various	3,660.00	...	3,389.81	Total of 2 transactions

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Account 134300896

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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FUBO 02/26/2021 CALL \$45.00 / CUSIP: / Symbol:							
2 transactions for 02/12/21. Total proceeds and cost reported to the IRS.							
	1.000	569.98	02/11/21	520.00	...	49.98	1 of 2 - Option sale to close-call ²⁵
	1.000	569.98	02/11/21	515.00	...	54.98	2 of 2 - Option sale to close-call ²⁵
02/12/21	2.000	1,139.96	Various	1,035.00	...	104.96	Total of 2 transactions
FUBO 04/23/2021 CALL \$20.00 / CUSIP: / Symbol:							
2 transactions for 04/23/21. Total proceeds and cost reported to the IRS.							
	2.000	159.99	03/26/21	660.00	...	-500.01	1 of 2 - Option sale to close-call ²⁵
	1.000	79.99	03/26/21	330.00	...	-250.01	2 of 2 - Option sale to close-call ²⁵
04/23/21	3.000	239.98	Various	990.00	...	-750.02	Total of 2 transactions
GBT 08/20/2021 CALL \$30.00 / CUSIP: / Symbol:							
3 transactions for 07/01/21. Total proceeds and cost reported to the IRS.							
	1.000	699.98	06/28/21	448.00	...	251.98	1 of 3 - Option sale to close-call ²⁵
	2.000	1,419.98	06/28/21	896.00	...	523.98	2 of 3 - Option sale to close-call ²⁵
	2.000	1,419.99	06/28/21	896.00	...	523.99	3 of 3 - Option sale to close-call ²⁵
07/01/21	5.000	3,539.95	Various	2,240.00	...	1,299.95	Total of 3 transactions
GLBE 11/19/2021 CALL \$50.00 / CUSIP: / Symbol:							
11/12/21	5.000	1,849.98	10/11/21	6,050.00	...	-4,200.02	Option sale to close-call ²⁵
GME 01/29/2021 CALL \$115.00 / CUSIP: / Symbol:							
3 transactions for 01/26/21. Total proceeds and cost reported to the IRS.							
	2.000	9,999.77	01/25/21	3,420.00	...	6,579.77	1 of 3 - Option sale to close-call ²⁵
	4.000	19,999.55	01/25/21	3,660.00	...	16,339.55	2 of 3 - Option sale to close-call ²⁵
	5.000	24,999.44	01/25/21	5,075.00	...	19,924.44	3 of 3 - Option sale to close-call ²⁵
01/26/21	11.000	54,998.76	Various	12,155.00	...	42,843.76	Total of 3 transactions
GME 02/05/2021 CALL \$275.00 / CUSIP: / Symbol:							
01/27/21	1.000	12,044.72	01/27/21	10,600.00	...	1,444.72	Option sale to close-call ²⁵
5 transactions for 02/05/21. Total proceeds and cost reported to the IRS.							
	4.000	0.00	01/27/21	50,800.00	...	-50,800.00	1 of 5 - Option expiration- long call
	2.000	0.00	01/27/21	29,000.00	...	-29,000.00	2 of 5 - Option expiration- long call
	1.000	0.00	01/27/21	14,000.00	...	-14,000.00	3 of 5 - Option expiration- long call

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2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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GME 02/05/2021 CALL \$275.00 / CUSIP: / Symbol: (cont'd)							
	1.000	0.00	01/27/21	14,000.00	...	-14,000.00	4 of 5 - Option expiration- long call
	1.000	0.00	01/27/21	10,600.00	...	-10,600.00	5 of 5 - Option expiration- long call
02/05/21	9.000	0.00	Various	118,400.00	...	-118,400.00	Total of 5 transactions
	Security total:	12,044.72		129,000.00	...	-116,955.28	
GME 02/05/2021 CALL \$67.00 / CUSIP: / Symbol:							
01/26/21	1.000	3,594.91	01/26/21	3,200.00	...	394.91	Option sale to close-call ²⁵
GME 02/05/2021 PUT \$60.00 / CUSIP: / Symbol:							
01/25/21	1.000	1,399.95	01/22/21	1,610.00	...	-210.05	Option sale to close-put ²⁵
IIPR 03/19/2021 CALL \$195.00 / CUSIP: / Symbol:							
03/19/21	1.000	0.00	02/25/21	490.00	...	-490.00	Option expiration- long call
IIVI 06/18/2021 CALL \$60.00 / CUSIP: / Symbol:							
05/28/21	1.000	809.98	04/30/21	950.00	...	-140.02	Option sale to close-call ²⁵
JMIA 08/20/2021 CALL \$24.00 / CUSIP: / Symbol:							
07/14/21	1.000	297.98	07/12/21	330.00	...	-32.02	Option sale to close-call ²⁵
LIZI 07/16/2021 PUT \$10.00 / CUSIP: / Symbol:							
05/27/21	1.000	449.98	05/26/21	540.00	...	-90.02	Option sale to close-put ²⁵
LOVE 08/20/2021 CALL \$70.00 / CUSIP: / Symbol:							
06/25/21	1.000	1,019.98	06/17/21	1,020.00	...	-0.02	Option sale to close-call ²⁵
LULU 02/19/2021 CALL \$337.50 / CUSIP: / Symbol:							
	4 transactions for 02/10/21. Total proceeds and cost reported to the IRS.						
	1.000	1,024.97	02/03/21	800.00	...	224.97	1 of 4 - Option sale to close-call ²⁵
	1.000	1,024.97	02/03/21	800.00	...	224.97	2 of 4 - Option sale to close-call ²⁵
	1.000	1,024.97	02/03/21	800.00	...	224.97	3 of 4 - Option sale to close-call ²⁵
	3.000	3,074.92	02/03/21	2,430.00	...	644.92	4 of 4 - Option sale to close-call ²⁵
02/10/21	6.000	6,149.83	Various	4,830.00	...	1,319.83	Total of 4 transactions

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(continued)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MARA 02/12/2021 CALL \$12.00 / CUSIP: / Symbol:							
2 transactions for 01/26/21. Total proceeds and cost reported to the IRS.							
	2.000	1,229.97	01/26/21	1,180.00	...	49.97	1 of 2 - Option sale to close-call ²⁵
	13.000	7,994.79	01/26/21	7,670.00	...	324.79	2 of 2 - Option sale to close-call ²⁵
01/26/21	15.000	9,224.76	Various	8,850.00	...	374.76	Total of 2 transactions
MARA 02/19/2021 CALL \$40.00 / CUSIP: / Symbol:							
01/11/21	1.000	314.98	01/11/21	350.00	...	-35.02	Option sale to close-call ²⁵
MSFT 01/22/2021 CALL \$195.00 / CUSIP: / Symbol:							
01/06/21	1.000	1,969.94	01/04/21	2,165.00	...	-195.06	Option sale to close-call ²⁵
MSFT 10/15/2021 CALL \$280.00 / CUSIP: / Symbol:							
07/14/21	1.000	1,319.98	07/09/21	1,040.00	...	279.98	Option sale to close-call ²⁵
2 transactions for 07/16/21. Total proceeds and cost reported to the IRS.							
	3.000	3,884.97	07/09/21	3,120.00	...	764.97	1 of 2 - Option sale to close-call ²⁵
	6.000	7,769.95	07/12/21	6,270.00	...	1,499.95	2 of 2 - Option sale to close-call ²⁵
07/16/21	9.000	11,654.92	Various	9,390.00	...	2,264.92	Total of 2 transactions
	Security total:	12,974.90		10,430.00	...	2,544.90	
MVIS 06/18/2021 CALL \$18.00 / CUSIP: / Symbol:							
3 transactions for 05/27/21. Total proceeds and cost reported to the IRS.							
	7.000	769.97	04/30/21	1,750.00	...	-980.03	1 of 3 - Option sale to close-call ²⁵
	1.000	110.00	04/30/21	390.01	...	-280.01	2 of 3 - Option sale to close-call ²⁵
	1.000	110.00	04/30/21	370.01	...	-260.01	3 of 3 - Option sale to close-call ²⁵
05/27/21	9.000	989.97	Various	2,510.02	...	-1,520.05	Total of 3 transactions
2 transactions for 05/27/21. Total proceeds and cost reported to the IRS.							
	1.000	109.99	04/30/21	230.00	120.01 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	109.99	04/30/21	250.00	140.01 W	0.00	2 of 2 - Option sale to close-call ²⁵
05/27/21	2.000	219.98	Various	480.00	260.02 W	0.00	Total of 2 transactions
	Security total:	1,209.95		2,990.02	260.02 W	-1,520.05	
MVIS 07/30/2021 CALL \$15.50 / CUSIP: / Symbol:							
07/30/21	3.000	0.00	06/30/21	960.00	...	-960.00	Option expiration- long call

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Robinhood Securities LLC

Account 134300896

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NCTY 03/19/2021 CALL \$130.00 / CUSIP: / Symbol:							
03/19/21	1.000	0.00	02/25/21	180.00	...	-180.00	Option expiration- long call
NCTY 04/16/2021 CALL \$30.00 / CUSIP: / Symbol:							
04/13/21	1.000	50.98	03/31/21	450.00	...	-399.02	Option sale to close-call ²⁵
NET 01/07/2022 CALL \$210.00 / CUSIP: / Symbol:							
11/29/21	8.000	6,759.94	11/29/21	6,760.00	...	-0.06	Option sale to close-call ²⁵
NET 12/03/2021 CALL \$200.00 / CUSIP: / Symbol:							
11/12/21	10.000	10,249.92	11/05/21	10,400.00	...	-150.08	Option sale to close-call ²⁵
NVDA 08/20/2021 CALL \$187.50 / CUSIP: / Symbol:							
08/20/21	8.000	11,999.91	06/21/21	7,500.00	...	4,499.91	Option sale to close-call ²⁵
NVDA 12/31/2021 CALL \$290.00 / CUSIP: / Symbol:							
2 transactions for 12/31/21. Total proceeds and cost reported to the IRS.							
	1.000	504.98	12/16/21	1,995.02	...	-1,490.04	1 of 2 - Option sale to close-call ²⁵
	1.000	504.98	12/16/21	1,995.02	...	-1,490.04	2 of 2 - Option sale to close-call ²⁵
12/31/21	2.000	1,009.96	Various	3,990.04	...	-2,980.08	Total of 2 transactions
2 transactions for 12/31/21. Total proceeds and cost reported to the IRS.							
	1.000	504.98	12/16/21	1,250.00	745.02 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	504.98	12/16/21	1,250.00	745.02 W	0.00	2 of 2 - Option sale to close-call ²⁵
12/31/21	2.000	1,009.96	Various	2,500.00	1,490.04 W	0.00	Total of 2 transactions
Security total:		2,019.92		6,490.04	1,490.04 W	-2,980.08	
PDD 04/30/2021 CALL \$134.00 / CUSIP: / Symbol:							
04/30/21	1.000	96.98	04/07/21	980.00	...	-883.02	Option sale to close-call ²⁵
PENN 04/23/2021 CALL \$101.00 / CUSIP: / Symbol:							
04/23/21	1.000	0.00	03/26/21	1,090.00	...	-1,090.00	Option expiration- long call
PLTR 02/26/2021 CALL \$29.50 / CUSIP: / Symbol:							
8 transactions for 02/25/21. Total proceeds and cost reported to the IRS.							
	10.000	59.98	02/16/21	2,240.00	...	-2,180.02	1 of 8 - Option sale to close-call ²⁵
	1.000	6.00	02/16/21	170.00	...	-164.00	2 of 8 - Option sale to close-call ²⁵

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Account 134300896

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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PLTR 02/26/2021 CALL \$29.50 / CUSIP: / Symbol: (cont'd)							
	1.000	6.00	02/16/21	170.00	...	-164.00	3 of 8 - Option sale to close-call ²⁵
	6.000	35.98	02/18/21	582.00	...	-546.02	4 of 8 - Option sale to close-call ²⁵
	7.000	41.98	02/22/21	735.00	...	-693.02	5 of 8 - Option sale to close-call ²⁵
	4.000	23.99	02/22/21	520.00	...	-496.01	6 of 8 - Option sale to close-call ²⁵
	3.000	17.99	02/22/21	315.00	...	-297.01	7 of 8 - Option sale to close-call ²⁵
	1.000	6.00	02/22/21	130.00	...	-124.00	8 of 8 - Option sale to close-call ²⁵
02/25/21	33.000	197.92	Various	4,862.00	...	-4,664.08	Total of 8 transactions
PTON 05/14/2021 CALL \$90.00 / CUSIP: / Symbol:							
05/14/21	1.000	699.98	04/21/21	1,390.00	...	-690.02	Option sale to close-call ²⁵
QCOM 03/05/2021 CALL \$152.50 / CUSIP: / Symbol:							
02/09/21	2.000	599.97	02/04/21	1,000.00	...	-400.03	Option sale to close-call ²⁵
QDEL 02/19/2021 CALL \$210.00 / CUSIP: / Symbol:							
01/08/21	1.000	1,559.95	01/07/21	1,000.00	...	559.95	Option sale to close-call ²⁵
QLYS 02/19/2021 CALL \$120.00 / CUSIP: / Symbol:							
01/07/21	2.000	1,319.96	01/05/21	1,260.00	...	59.96	Option sale to close-call ²⁵
QLYS 03/19/2021 CALL \$130.00 / CUSIP: / Symbol:							
02/04/21	1.000	699.97	02/03/21	1,060.00	...	-360.03	Option sale to close-call ²⁵
RAAS 05/21/2021 CALL \$10.00 / CUSIP: / Symbol:							
03/31/21	1.000	450.98	03/26/21	280.00	...	170.98	Option sale to close-call ²⁵
RBLX 11/26/2021 CALL \$60.00 / CUSIP: / Symbol:							
2 transactions for 10/12/21. Total proceeds and cost reported to the IRS.							
	1.000	1,274.98	10/08/21	1,255.00	...	19.98	1 of 2 - Option sale to close-call ²⁵
	9.000	11,474.92	10/08/21	11,295.00	...	179.92	2 of 2 - Option sale to close-call ²⁵
10/12/21	10.000	12,749.90	Various	12,550.00	...	199.90	Total of 2 transactions
REGI 08/20/2021 CALL \$60.00 / CUSIP: / Symbol:							
6 transactions for 07/01/21. Total proceeds and cost reported to the IRS.							
	1.000	799.98	06/25/21	640.00	...	159.98	1 of 6 - Option sale to close-call ²⁵
	1.000	809.98	06/25/21	640.00	...	169.98	2 of 6 - Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 134300896

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
REGI 08/20/2021 CALL \$60.00 / CUSIP: / Symbol: (cont'd)							
	1.000	819.98	06/25/21	640.00	...	179.98	3 of 6 - Option sale to close-call ²⁵
	1.000	819.98	06/25/21	640.00	...	179.98	4 of 6 - Option sale to close-call ²⁵
	1.000	839.98	06/25/21	640.00	...	199.98	5 of 6 - Option sale to close-call ²⁵
	1.000	839.98	06/25/21	640.00	...	199.98	6 of 6 - Option sale to close-call ²⁵
07/01/21	6.000	4,929.88	Various	3,840.00	...	1,089.88	Total of 6 transactions
07/08/21	3.000	1,799.98	06/25/21	1,920.00	120.02 W	0.00	Option sale to close-call ²⁵
	2 transactions for 08/20/21. Total proceeds and cost reported to the IRS.						
	17.000	0.00	08/03/21	4,250.00	...	-4,250.00	1 of 2 - Option expiration- long call
	3.000	0.00	08/03/21	870.02	...	-870.02	2 of 2 - Option expiration- long call
08/20/21	20.000	0.00	Various	5,120.02	...	-5,120.02	Total of 2 transactions
	Security total:	6,729.86		10,880.02	120.02 W	-4,030.14	
ROKU 07/30/2021 CALL \$472.50 / CUSIP: / Symbol:							
07/23/21	2.000	889.98	07/01/21	2,480.00	...	-1,590.02	Option sale to close-call ²⁵
ROKU 08/06/2021 PUT \$425.00 / CUSIP: / Symbol:							
07/27/21	1.000	1,319.98	07/26/21	900.00	...	419.98	Option sale to close-put ²⁵
RUN 03/19/2021 CALL \$140.00 / CUSIP: / Symbol:							
02/09/21	1.000	109.98	02/05/21	105.00	...	4.98	Option sale to close-call ²⁵
RVNC 11/19/2021 CALL \$20.00 / CUSIP: / Symbol:							
10/13/21	10.000	4,599.95	10/12/21	3,500.00	...	1,099.95	Option sale to close-call ²⁵
SAIC 05/21/2021 CALL \$75.00 / CUSIP: / Symbol:							
03/29/21	2.000	1,599.98	03/26/21	1,100.00	...	499.98	Option sale to close-call ²⁵
SAM 08/20/2021 CALL \$1,060.00 / CUSIP: / Symbol:							
07/12/21	1.000	3,199.97	07/01/21	2,930.00	...	269.97	Option sale to close-call ²⁵
SAVA 02/19/2021 CALL \$135.00 / CUSIP: / Symbol:							
02/19/21	1.000	0.00	02/04/21	736.00	...	-736.00	Option expiration- long call

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SAVA 08/20/2021 CALL \$130.00 / CUSIP: / Symbol:							
<i>15 transactions for 08/19/21. Total proceeds and cost reported to the IRS.</i>							
	15.000	449.94	07/29/21	10,050.00	...	-9,600.06	1 of 15 - Option sale to close-call ₂₅
	5.000	149.99	07/29/21	8,440.00	...	-8,290.01	2 of 15 - Option sale to close-call ₂₅
	4.000	119.99	07/29/21	4,400.00	...	-4,280.01	3 of 15 - Option sale to close-call ₂₅
	1.000	30.00	07/29/21	1,700.00	...	-1,670.00	4 of 15 - Option sale to close-call ₂₅
	1.000	30.00	07/29/21	1,700.00	...	-1,670.00	5 of 15 - Option sale to close-call ₂₅
	1.000	30.00	07/29/21	1,700.00	...	-1,670.00	6 of 15 - Option sale to close-call ₂₅
	1.000	30.00	07/29/21	1,700.00	...	-1,670.00	7 of 15 - Option sale to close-call ₂₅
	1.000	30.00	07/29/21	1,700.00	...	-1,670.00	8 of 15 - Option sale to close-call ₂₅
	1.000	30.00	07/29/21	1,520.00	...	-1,490.00	9 of 15 - Option sale to close-call ₂₅
	1.000	30.00	07/29/21	1,100.00	...	-1,070.00	10 of 15 - Option sale to close-call ₂₅
	1.000	30.00	07/29/21	900.00	...	-870.00	11 of 15 - Option sale to close-call ₂₅
	1.000	30.00	07/29/21	900.00	...	-870.00	12 of 15 - Option sale to close-call ₂₅
	1.000	30.00	07/29/21	870.00	...	-840.00	13 of 15 - Option sale to close-call ₂₅
	1.000	30.00	07/29/21	670.00	...	-640.00	14 of 15 - Option sale to close-call ₂₅
	1.000	30.00	07/29/21	670.00	...	-640.00	15 of 15 - Option sale to close-call ₂₅
08/19/21	36.000	1,079.92	Various	38,020.00	...	-36,940.08	Total of 15 transactions
SAVA 09/17/2021 CALL \$97.00 / CUSIP: / Symbol:							
09/17/21	5.000	0.00	08/25/21	5,050.00	...	-5,050.00	Option expiration- long call

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(continued)

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SBE 02/19/2021 CALL \$45.00 / CUSIP: / Symbol:							
2 transactions for 01/06/21. Total proceeds and cost reported to the IRS.							
	1.000	429.98	01/05/21	310.00	...	119.98	1 of 2 - Option sale to close-call ²⁵
	1.000	449.98	01/05/21	310.00	...	139.98	2 of 2 - Option sale to close-call ²⁵
01/06/21	2.000	879.96	Various	620.00	...	259.96	Total of 2 transactions
SEDG 02/19/2021 CALL \$330.00 / CUSIP: / Symbol:							
01/21/21	1.000	1,679.95	01/15/21	1,480.00	...	199.95	Option sale to close-call ²⁵
SOFI 11/05/2021 CALL \$19.50 / CUSIP: / Symbol:							
11/05/21	10.000	3,049.96	10/15/21	1,080.00	...	1,969.96	Option sale to close-call ²⁵
STX 09/10/2021 CALL \$81.00 / CUSIP: / Symbol:							
7 transactions for 08/27/21. Total proceeds and cost reported to the IRS.							
	1.000	599.99	08/24/21	560.00	...	39.99	1 of 7 - Option sale to close-call ²⁵
	2.000	1,199.98	08/24/21	1,120.00	...	79.98	2 of 7 - Option sale to close-call ²⁵
	3.000	1,799.98	08/24/21	1,680.00	...	119.98	3 of 7 - Option sale to close-call ²⁵
	3.000	1,799.98	08/24/21	1,680.00	...	119.98	4 of 7 - Option sale to close-call ²⁵
	3.000	1,799.98	08/24/21	1,680.00	...	119.98	5 of 7 - Option sale to close-call ²⁵
	3.000	1,799.98	08/24/21	1,680.00	...	119.98	6 of 7 - Option sale to close-call ²⁵
	3.000	1,799.98	08/24/21	1,680.00	...	119.98	7 of 7 - Option sale to close-call ²⁵
08/27/21	18.000	10,799.87	Various	10,080.00	...	719.87	Total of 7 transactions
STZ 07/30/2021 CALL \$230.00 / CUSIP: / Symbol:							
07/30/21	2.000	0.00	06/28/21	1,380.00	...	-1,380.00	Option expiration- long call
TEVA 03/05/2021 CALL \$9.50 / CUSIP: / Symbol:							
02/12/21	1.000	99.98	02/11/21	216.00	...	-116.02	Option sale to close-call ²⁵
3 transactions for 02/22/21. Total proceeds and cost reported to the IRS.							
	2.000	321.98	02/11/21	432.00	...	-110.02	1 of 3 - Option sale to close-call ²⁵
	1.000	160.98	02/11/21	216.00	...	-55.02	2 of 3 - Option sale to close-call ²⁵
	1.000	160.98	02/11/21	216.00	...	-55.02	3 of 3 - Option sale to close-call ²⁵
02/22/21	4.000	643.94	Various	864.00	...	-220.06	Total of 3 transactions
	Security total:	743.92		1,080.00	...	-336.08	

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2021 1099-B* OMB No. 1545-0715

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TSLA 03/26/2021 CALL \$645.00 / CUSIP: / Symbol:							
03/25/21	1.000	744.98	03/04/21	3,600.00	...	-2,855.02	Option sale to close-call ²⁵
TSLA 04/09/2021 CALL \$737.50 / CUSIP: / Symbol:							
04/05/21	1.000	819.98	03/26/21	370.00	...	449.98	Option sale to close-call ²⁵
TSLA 04/16/2021 PUT \$625.00 / CUSIP: / Symbol:							
04/07/21	1.000	859.98	04/05/21	830.00	...	29.98	Option sale to close-put ²⁵
TSLA 07/16/2021 CALL \$735.00 / CUSIP: / Symbol:							
06/28/21	1.000	1,459.98	06/25/21	1,235.00	...	224.98	Option sale to close-call ²⁵
TSLA 07/23/2021 CALL \$790.00 / CUSIP: / Symbol:							
06/30/21	1.000	1,009.98	06/29/21	1,000.00	...	9.98	Option sale to close-call ²⁵
TSLA 08/20/2021 CALL \$645.00 / CUSIP: / Symbol:							
2 transactions for 08/20/21. Total proceeds and cost reported to the IRS.							
	1.000	3,494.98	08/16/21	4,800.00	...	-1,305.02	1 of 2 - Option sale to close-call ²⁵
	2.000	6,989.95	08/17/21	5,400.00	...	1,589.95	2 of 2 - Option sale to close-call ²⁵
08/20/21	3.000	10,484.93	Various	10,200.00	...	284.93	Total of 2 transactions
TSLA 11/26/2021 CALL \$1,160.00 / CUSIP: / Symbol:							
3 transactions for 11/26/21. Total proceeds and cost reported to the IRS.							
	5.000	0.00	11/08/21	38,925.00	...	-38,925.00	1 of 3 - Option expiration- long call
	3.000	0.00	11/08/21	22,560.00	...	-22,560.00	2 of 3 - Option expiration- long call
	5.000	0.00	11/09/21	24,500.00	...	-24,500.00	3 of 3 - Option expiration- long call
11/26/21	13.000	0.00	Various	85,985.00	...	-85,985.00	Total of 3 transactions
TTD 01/22/2021 CALL \$817.50 / CUSIP: / Symbol:							
01/07/21	1.000	659.97	01/04/21	2,120.00	...	-1,460.03	Option sale to close-call ²⁵
TTD 01/29/2021 CALL \$800.00 / CUSIP: / Symbol:							
01/06/21	1.000	2,209.94	01/06/21	2,200.00	...	9.94	Option sale to close-call ²⁵
TTD 04/23/2021 CALL \$680.00 / CUSIP: / Symbol:							
04/07/21	1.000	3,299.97	03/29/21	1,590.00	...	1,709.97	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 134300896

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TTD 12/03/2021 CALL \$70.00 / CUSIP: / Symbol:							
6 transactions for 11/09/21. Total proceeds and cost reported to the IRS.							
	1.000	2,639.97	11/05/21	550.00	...	2,089.97	1 of 6 - Option sale to close-call ²⁵
	1.000	2,639.97	11/05/21	550.00	...	2,089.97	2 of 6 - Option sale to close-call ²⁵
	2.000	5,279.96	11/05/21	1,100.00	...	4,179.96	3 of 6 - Option sale to close-call ²⁵
	2.000	5,279.96	11/05/21	1,100.00	...	4,179.96	4 of 6 - Option sale to close-call ²⁵
	2.000	5,279.96	11/05/21	1,100.00	...	4,179.96	5 of 6 - Option sale to close-call ²⁵
	2.000	5,279.96	11/05/21	1,100.00	...	4,179.96	6 of 6 - Option sale to close-call ²⁵
11/09/21	10.000	26,399.78	Various	5,500.00	...	20,899.78	Total of 6 transactions
TWST 02/19/2021 CALL \$160.00 / CUSIP: / Symbol:							
2 transactions for 01/26/21. Total proceeds and cost reported to the IRS.							
	3.000	6,089.86	01/26/21	6,960.00	...	-870.14	1 of 2 - Option sale to close-call ²⁵
	1.000	2,029.95	01/26/21	2,150.00	...	-120.05	2 of 2 - Option sale to close-call ²⁵
01/26/21	4.000	8,119.81	Various	9,110.00	...	-990.19	Total of 2 transactions
UPST 11/26/2021 CALL \$265.00 / CUSIP: / Symbol:							
11/26/21	10.000	0.00	11/10/21	19,500.00	...	-19,500.00	Option expiration- long call
VIAC 04/23/2021 CALL \$45.00 / CUSIP: / Symbol:							
04/14/21	1.000	40.98	03/26/21	630.00	...	-589.02	Option sale to close-call ²⁵
VKTX 08/20/2021 CALL \$6.00 / CUSIP: / Symbol:							
05/26/21	15.000	674.96	05/25/21	675.00	...	-0.04	Option sale to close-call ²⁵
VRM 04/16/2021 CALL \$30.00 / CUSIP: / Symbol:							
03/26/21	1.000	649.98	03/04/21	340.00	...	309.98	Option sale to close-call ²⁵
W 01/15/2021 CALL \$280.00 / CUSIP: / Symbol:							
2 transactions for 01/12/21. Total proceeds and cost reported to the IRS.							
	1.000	826.98	12/23/20	1,080.00	...	-253.02	1 of 2 - Option sale to close-call ²⁵
	1.000	826.97	12/23/20	770.00	...	56.97	2 of 2 - Option sale to close-call ²⁵
01/12/21	2.000	1,653.95	Various	1,850.00	...	-196.05	Total of 2 transactions

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Robinhood Securities LLC

Account 134300896

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
W 07/23/2021 CALL \$310.00 / CUSIP: / Symbol:							
2 transactions for 07/23/21. Total proceeds and cost reported to the IRS.							
	2.000	0.00	06/29/21	2,340.00	...	-2,340.00	1 of 2 - Option expiration- long call
	2.000	0.00	07/02/21	1,840.00	...	-1,840.00	2 of 2 - Option expiration- long call
07/23/21	4.000	0.00	Various	4,180.00	...	-4,180.00	Total of 2 transactions
YY 08/20/2021 CALL \$85.00 / CUSIP: / Symbol:							
3 transactions for 07/09/21. Total proceeds and cost reported to the IRS.							
	3.000	104.99	05/28/21	1,740.00	...	-1,635.01	1 of 3 - Option sale to close-call ²⁵
	1.000	34.99	05/28/21	500.00	...	-465.01	2 of 3 - Option sale to close-call ²⁵
	1.000	35.00	05/28/21	500.00	...	-465.00	3 of 3 - Option sale to close-call ²⁵
07/09/21	5.000	174.98	Various	2,740.00	...	-2,565.02	Total of 3 transactions
ZM 01/22/2021 CALL \$437.50 / CUSIP: / Symbol:							
01/15/21	1.000	519.97	12/23/20	1,130.00	...	-610.03	Option sale to close-call ²⁵
ARDELYX, INC. COMMON STOCK / CUSIP: 039697107 / Symbol:							
2 transactions for 10/21/21. Total proceeds and cost reported to the IRS.							
	229.000	263.71	10/13/21	254.19	...	9.52	1 of 2 - Sale ²⁵
	571.000	657.54	10/13/21	632.50	...	25.04	2 of 2 - Sale ²⁵
10/21/21	800.000	921.25	Various	886.69	...	34.56	Total of 2 transactions
ATOSSA THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 04962H506 / Symbol:							
06/25/21	3.000	23.19	06/25/21	27.08	...	-3.89	Sale ²⁵
GAMESTOP CORP. CLASS A / CUSIP: 36467W109 / Symbol:							
6 transactions for 01/27/21. Total proceeds and cost reported to the IRS.							
	40.000	11,598.33	01/27/21	11,600.00	...	-1.67	1 of 6 - Sale ²⁵
	20.000	5,799.17	01/27/21	5,800.83	...	-1.66	2 of 6 - Sale ²⁵
	10.000	2,899.58	01/27/21	2,900.00	...	-0.42	3 of 6 - Sale ²⁵
	2.000	579.91	01/27/21	580.00	...	-0.09	4 of 6 - Sale ²⁵
	1.000	289.96	01/27/21	290.04	...	-0.08	5 of 6 - Sale ²⁵
	1.000	289.96	01/27/21	290.00	...	-0.04	6 of 6 - Sale ²⁵
01/27/21	74.000	21,456.91	Various	21,460.87	...	-3.96	Total of 6 transactions

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Robinhood Securities LLC

Account 134300896

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GAMESTOP CORP. CLASS A / CUSIP: 36467W109 / Symbol: (cont'd)							
2 transactions for 01/27/21. Total proceeds and cost reported to the IRS.							
	25.000	7,248.96	01/27/21	7,250.00	0.83 W	-0.21	1 of 2 - Sale ²⁵
	1.000	289.96	01/27/21	290.00	0.04 W	0.00	2 of 2 - Sale ²⁵
01/27/21	26.000	7,538.92	Various	7,540.00	0.87 W	-0.21	Total of 2 transactions
	Security total:	28,995.83		29,000.87	0.87 W	-4.17	
JAGUAR HEALTH, INC. COMMON STO CK / CUSIP: 47010C607 / Symbol:							
10/21/21	200.000	392.98	10/15/21	401.02	...	-8.04	Sale ²⁵
MICROVISION, INC. COMMON STOCK / CUSIP: 594960304 / Symbol:							
11 transactions for 10/11/21. Total proceeds and cost reported to the IRS.							
	658.000	6,395.65	10/08/21	6,527.47	...	-131.82	1 of 11 - Sale ²⁵
	500.000	4,859.91	10/08/21	4,960.09	...	-100.18	2 of 11 - Sale ²⁵
	158.000	1,535.74	10/08/21	1,567.39	...	-31.65	3 of 11 - Sale ²⁵
	100.000	971.98	10/08/21	992.02	...	-20.04	4 of 11 - Sale ²⁵
	100.000	971.98	10/08/21	992.01	...	-20.03	5 of 11 - Sale ²⁵
	80.000	777.59	10/08/21	793.61	...	-16.02	6 of 11 - Sale ²⁵
	42.000	408.23	10/08/21	416.65	...	-8.42	7 of 11 - Sale ²⁵
	42.000	408.23	10/08/21	416.65	...	-8.42	8 of 11 - Sale ²⁵
	84.000	824.86	10/08/21	831.60	...	-6.74	9 of 11 - Sale ²⁵
	300.000	2,945.95	10/08/21	2,952.00	...	-6.05	10 of 11 - Sale ²⁵
	20.000	194.40	10/08/21	198.40	...	-4.00	11 of 11 - Sale ²⁵
10/11/21	2,084.000	20,294.52	Various	20,647.89	...	-353.37	Total of 11 transactions
5 transactions for 10/11/21. Total proceeds and cost reported to the IRS.							
	616.000	6,049.01	10/08/21	6,098.40	16.04 W	-33.35	1 of 5 - Sale ²⁵
	20.000	196.40	10/08/21	198.00	1.60 W	0.00	2 of 5 - Sale ²⁵
	80.000	785.59	10/08/21	792.00	6.41 W	0.00	3 of 5 - Sale ²⁵
	700.000	6,873.88	10/08/21	6,930.00	56.12 W	0.00	4 of 5 - Sale ²⁵
	700.000	6,873.88	10/08/21	6,930.00	56.12 W	0.00	5 of 5 - Sale ²⁵
10/11/21	2,116.000	20,778.76	Various	20,948.40	136.29 W	-33.35	Total of 5 transactions
	Security total:	41,073.28		41,596.29	136.29 W	-386.72	

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Robinhood Securities LLC

Account 134300896

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol:							
01/26/21	1,600.000	3,073.50	01/26/21	3,102.24	3.77 W	-24.97	Sale ²⁵
	<i>2 transactions for 02/11/21. Total proceeds and cost reported to the IRS.</i>						
	150.000	1,428.24	02/10/21	1,592.69	...	-164.45	1 of 2 - Sale ²⁵
	60.000	571.29	02/10/21	672.77	...	-101.48	2 of 2 - Sale ²⁵
02/11/21	210.000	1,999.53	Various	2,265.46	...	-265.93	Total of 2 transactions
	Security total:	5,073.03		5,367.70	3.77 W	-290.90	
UNIVERSAL SECURITY INSTRUMENTS, INC. / CUSIP: 913821302 / Symbol:							
	<i>4 transactions for 07/12/21. Total proceeds and cost reported to the IRS.</i>						
	937.000	6,671.29	07/09/21	7,027.50	...	-356.21	1 of 4 - Sale ²⁵
	50.000	355.99	07/09/21	375.00	...	-19.01	2 of 4 - Sale ²⁵
	12.000	85.44	07/09/21	89.88	...	-4.44	3 of 4 - Sale ²⁵
	1.000	7.12	07/09/21	7.50	...	-0.38	4 of 4 - Sale ²⁵
07/12/21	1,000.000	7,119.84	Various	7,499.88	...	-380.04	Total of 4 transactions
NEWEGG COMMERCE, INC. COMMON SHARES / CUSIP: G6483G100 / Symbol:							
	<i>3 transactions for 07/09/21. Total proceeds and cost reported to the IRS.</i>						
	54.000	2,837.68	07/08/21	2,754.00	...	83.68	1 of 3 - Sale ²⁵
	37.000	1,944.33	07/08/21	1,783.40	...	160.93	2 of 3 - Sale ²⁵
	134.000	7,041.64	07/08/21	6,834.00	...	207.64	3 of 3 - Sale ²⁵
07/09/21	225.000	11,823.65	Various	11,371.40	...	452.25	Total of 3 transactions
RECON TECHNOLOGY, LTD. CLASS A ORDINARY SHARES / CUSIP: G7415M124 / Symbol:							
	<i>7 transactions for 07/16/21. Total proceeds and cost reported to the IRS.</i>						
	800.000	2,719.89	06/11/21	6,000.00	...	-3,280.11	1 of 7 - Sale ²⁵
	524.000	1,781.52	06/11/21	3,497.70	...	-1,716.18	2 of 7 - Sale ²⁵
	225.000	764.97	06/11/21	1,363.50	...	-598.53	3 of 7 - Sale ²⁵
	90.000	305.99	06/11/21	450.00	...	-144.01	4 of 7 - Sale ²⁵
	64.000	217.59	06/11/21	320.00	...	-102.41	5 of 7 - Sale ²⁵
	46.000	156.39	06/11/21	230.00	...	-73.61	6 of 7 - Sale ²⁵
	23.000	78.20	06/11/21	139.38	...	-61.18	7 of 7 - Sale ²⁵
07/16/21	1,772.000	6,024.55	Various	12,000.58	...	-5,976.03	Total of 7 transactions

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Robinhood Securities LLC

Account 134300896

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
UTIME LIMITED ORDINARY SHARES / CUSIP: G9411M108 / Symbol:							
<i>3 transactions for 07/14/21. Total proceeds and cost reported to the IRS.</i>							
	250.000	2,513.70	06/17/21	5,375.00	...	-2,861.30	1 of 3 - Sale ²⁵
	10.000	100.55	06/17/21	200.80	...	-100.25	2 of 3 - Sale ²⁵
	140.000	1,407.67	06/18/21	2,478.00	...	-1,070.33	3 of 3 - Sale ²⁵
07/14/21	400.000	4,021.92	Various	8,053.80	...	-4,031.88	Total of 3 transactions
Totals :		773,568.33		979,170.07	16,014.69 W	-189,587.05	

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Robinhood Securities LLC 2021	Detail for Miscellaneous Information	Account 134300896 02/11/2022
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Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		04/07/21	50.00	Other income	
			50.00	Total Miscellaneous income	

Robinhood Securities LLC

Account 134300896

Fees and Expenses

2021

02/11/2022

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		01/29/21	-5.00	Margin interest paid	
		03/01/21	-5.00	Margin interest paid	
		03/30/21	-5.00	Margin interest paid	
		04/29/21	-5.00	Margin interest paid	
		06/01/21	-5.00	Margin interest paid	
		06/28/21	-5.00	Margin interest paid	
		07/28/21	-5.00	Margin interest paid	
			-35.00	Total Margin interest paid	
Margin charge		08/27/21	-5.00	Margin interest paid	
		09/27/21	-5.00	Margin interest paid	
		10/26/21	-5.00	Margin interest paid	
		11/26/21	-5.00	Margin interest paid	
		12/27/21	-5.00	Margin interest paid	
			-25.00	Total Margin interest paid	
			-60.00	Total Margin interest paid	

Robinhood Securities LLC

Account 134300896

Non-Reportable Actions

2021

02/11/2022

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
NVDA 08/20/2021 CALL \$750.00	NVDA 08/20/21 C 750.000	07/20/21	-2.00	0.00	Merger	
NVDA 08/20/2021 CALL \$187.50	NVDA 08/20/21 C 187.500	07/20/21	8.00	0.00	Merger	

25 Tax lot closed on a first in first out basis.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is

Instructions for Recipient

checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

1099-MISC Instructions for Recipient

Line 2. Report royalties from oil, gas, or mineral properties; copyrights; and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the Schedule E (Form 1040) instructions. For royalties on timber, coal, and iron ore, see Pub. 544.

Line 3. Generally, report this amount on the "Other income" line on Form 1040 (or Form 1040NR) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).

Line 4. See "Backup Withholding" section.

Line 8. Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040) (or Form 1040NR).

Lines 15-17. Show state or local income tax withheld from the payments.

Future developments. For the latest information about developments related to Form 1099-MISC and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099MISC.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.