



TD Ameritrade Clearing, Inc.

Account 234938905

**Summary Information**

2021

(continued)

01/20/2022

**INTEREST INCOME**

**2021 1099-INT**

OMB No. 1545-0112

**This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

1- Interest income (not included in line 3)	2.80
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	See detail

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

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Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

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Account 234938905

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

01/20/2022

Sales transactions are grouped by their term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. Tax lots with an additional notation of "Ordinary" represent neither short- nor long-term capital transactions. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of proceeds received when the option was written and the cost to close the position.

Several column headings describe two related items: a quantity and a qualifier. For example, proceeds and, if the sale is the result of an option exercise or assignment, whether the proceeds are gross or net (adjusted for any option premium). When these conditions exist, the qualifier is shown to the right of the amount.

Long positions are reported based on the trade date and short positions are reported based on the settlement date of the trade.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
AMERICAN EAGLE OUTFITTERS INC COM / CUSIP: 02553E106 / Symbol: AEO							
11/01/21	2,500.000	62,999.38	Various	61,070.30	...	1,929.08	Sale
CREDIT SUISSE GROUP ADR SPONSORED / CUSIP: 225401108 / Symbol: CS							
12/03/21	1,000.000	9,590.33	11/29/21	10,156.12	...	-565.79	Sale
NIO INC ADR / CUSIP: 62914V106 / Symbol: NIO							
03/12/21	100.000	4,526.31 N	03/03/21	4,410.00	...	116.31	Sale Proceeds or Cost adjusted for option premium of \$26.34
WORKHORSE GROUP INC COM / CUSIP: 98138J206 / Symbol: WKHS							
03/05/21	500.000	6,636.57 N	02/26/21	9,678.33	...	-3,041.76	Sale Proceeds or Cost adjusted for option premium of \$1,136.66
XILINX INC COM / CUSIP: 983919101 / Symbol: XLNX							
12/30/21	58.000	12,235.03	12/28/21	12,806.40	...	-571.37	Sale
XPENG INC ADR / CUSIP: 98422D105 / Symbol: XPEV							
08/04/21	300.000	11,916.90	08/02/21	12,953.07	...	-1,036.17	Short sale closed
AMERICAN EAGLE OUTFITTERS INC AEO Oct 01 2021 29.0 Call / CUSIP: / Symbol: AEO 10/01/21 C 29.000							
10/01/21	10.000	353.87	10/01/21	0.00	...	353.87	Option expiration short position

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2021 1099-B\* OMB No. 1545-0715

(continued)

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AMERICAN EAGLE OUTFITTERS INC AEO Oct 08 2021		30.0	Call / CUSIP: / Symbol: AEO 10/08/21 C	30.000			
10/08/21	10.000	303.87	10/08/21	0.00	...	303.87	Option expiration short position
AMERICAN EAGLE OUTFITTERS INC AEO Sep 17 2021		25.0	Put / CUSIP: / Symbol: AEO 09/17/21 P	25.000			
09/17/21	4.000	109.54	09/17/21	0.00	...	109.54	Option expiration short position
AMERICAN EAGLE OUTFITTERS INC AEO Sep 17 2021		26.0	Put / CUSIP: / Symbol: AEO 09/17/21 P	26.000			
09/17/21	4.000	225.54	09/17/21	0.00	...	225.54	Option expiration short position
AMERICAN EAGLE OUTFITTERS INC AEO Oct 01 2021		25.0	Put / CUSIP: / Symbol: AEO 10/01/21 P	25.000			
09/23/21	10.000	287.76	09/22/21	0.00	...	287.76	Short sale closed- option
AMERICAN EAGLE OUTFITTERS INC AEO Oct 01 2021		30.0	Put / CUSIP: / Symbol: AEO 10/01/21 P	30.000			
09/28/21	10.000	-32.25	09/27/21	0.00	...	-32.25	Short sale closed- option
AMERICAN EAGLE OUTFITTERS INC AEO Oct 08 2021		25.0	Put / CUSIP: / Symbol: AEO 10/08/21 P	25.000			
09/23/21	10.000	227.76	09/22/21	0.00	...	227.76	Short sale closed- option
AMERICAN EAGLE OUTFITTERS INC AEO Oct 15 2021		25.0	Put / CUSIP: / Symbol: AEO 10/15/21 P	25.000			
10/18/21	30.000	683.30	10/15/21	0.00	...	683.30	Short sale closed- option
AMERICAN EAGLE OUTFITTERS INC AEO Oct 29 2021		24.5	Put / CUSIP: / Symbol: AEO 10/29/21 P	24.500			
11/01/21	10.000	-332.22	10/29/21	0.00	...	-332.22	Short sale closed- option
AMC ENTERTAINMENT HOLDINGS INC AMC Jul 02 2021		145.0	Call / CUSIP: / Symbol: AMC 07/02/21 C	145.000			
07/02/21	1.000	34.34	07/02/21	0.00	...	34.34	Option expiration short position
AMC ENTERTAINMENT HOLDINGS INC AMC Jul 16 2021		145.0	Call / CUSIP: / Symbol: AMC 07/16/21 C	145.000			
07/16/21	2.000	174.64	07/15/21	0.00	...	174.64	Short sale closed- option
AMC ENTERTAINMENT HOLDINGS INC AMC Jul 23 2021		145.0	Call / CUSIP: / Symbol: AMC 07/23/21 C	145.000			
07/26/21	2.000	92.64	07/23/21	0.00	...	92.64	Short sale closed- option
ALIBABA GROUP HOLDING LTD BABA Sep 17 2021		85.0	Put / CUSIP: / Symbol: BABA 09/17/21 P	85.000			
08/30/21	10.000	706.76	08/27/21	0.00	...	706.76	Short sale closed- option
ALIBABA GROUP HOLDING LTD BABA Oct 01 2021		95.0	Put / CUSIP: / Symbol: BABA 10/01/21 P	95.000			
09/17/21	10.000	457.26	09/16/21	0.00	...	457.26	Short sale closed- option

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Account 234938905

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

01/20/2022

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ALIBABA GROUP HOLDING LTD BABA Oct 15 2021		95.0 Put / CUSIP: / Symbol: BABA	10/15/21	P 95.000			
10/15/21	4.000	173.54	10/15/21	0.00	...	173.54	Option expiration short position
ALIBABA GROUP HOLDING LTD BABA Aug 27 2021		110.0 Put / CUSIP: / Symbol: BABA	08/27/21	P 110.000			
08/26/21	14.000	460.55	08/25/21	0.00	...	460.55	Short sale closed- option
ALIBABA GROUP HOLDING LTD BABA Oct 08 2021		100.0 Put / CUSIP: / Symbol: BABA	10/08/21	P 100.000			
09/23/21	10.000	107.76	09/22/21	0.00	...	107.76	Short sale closed- option
BLACKBERRY LTD BB Sep 24 2021		11.5 Call / CUSIP: / Symbol: BB	09/24/21	C 11.500			
09/24/21	10.000	83.87	09/24/21	0.00	...	83.87	Option expiration short position
BEYOND MEAT INC BYND Dec 10 2021		61.0 Put / CUSIP: / Symbol: BYND	12/10/21	P 61.000			
12/13/21	50.000	208.96	12/10/21	0.00	...	208.96	Short sale closed- option
LUCID GROUP INC CCIV Jul 02 2021		16.0 Put / CUSIP: / Symbol: CCIV	07/02/21	P 16.000			
07/02/21	10.000	63.34	07/02/21	0.00	...	63.34	Option expiration short position
DOORDASH INC DASH Nov 12 2021		192.5 Put / CUSIP: / Symbol: DASH	11/12/21	P 192.500			
11/15/21	5.000	186.89	11/12/21	0.00	...	186.89	Short sale closed- option
3 D SYSTEMS INC DDD Aug 20 2021		19.0 Put / CUSIP: / Symbol: DDD	08/20/21	P 19.000			
08/20/21	6.000	0.00	08/10/21	33.97	...	-33.97	Option expiration
DRAFTKINGS INC DKNG Jul 02 2021		35.0 Put / CUSIP: / Symbol: DKNG	07/02/21	P 35.000			
07/02/21	5.000	41.67	07/02/21	0.00	...	41.67	Option expiration short position
FACEBOOK INC FB Jul 30 2021		312.5 Put / CUSIP: / Symbol: FB	07/30/21	P 312.500			
07/30/21	1.000	22.34	07/30/21	0.00	...	22.34	Option expiration short position
FIVE BELOW INC FIVE Sep 03 2021		170.0 Put / CUSIP: / Symbol: FIVE	09/03/21	P 170.000			
09/03/21	7.000	136.27	09/02/21	0.00	...	136.27	Short sale closed- option
LUCID GROUP INC LCID Sep 17 2021		12.5 Put / CUSIP: / Symbol: LCID	09/17/21	P 12.500			
09/10/21	4.000	57.29	09/09/21	0.00	...	57.29	Short sale closed- option
LUCID GROUP INC LCID Oct 15 2021		16.0 Put / CUSIP: / Symbol: LCID	10/15/21	P 16.000			
10/15/21	10.000	193.87	10/15/21	0.00	...	193.87	Option expiration short position

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2021 1099-B\* OMB No. 1545-0715

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LUCID GROUP INC LCID Oct 15 2021 17.5 Put / CUSIP: / Symbol: LCID 10/15/21 P 17.500							
10/15/21	10.000	303.87	10/15/21	0.00	...	303.87	Option expiration short position
LUCID GROUP INC LCID Oct 15 2021 12.5 Put / CUSIP: / Symbol: LCID 10/15/21 P 12.500							
09/23/21	9.000	308.53	Various	0.00	...	308.53	Total of 2 transactions
LUCID GROUP INC LCID Dec 17 2021 22.5 Put / CUSIP: / Symbol: LCID 12/17/21 P 22.500							
12/17/21	50.000	619.43	12/17/21	0.00	...	619.43	Option expiration short position
LAS VEGAS SANDS CORP LVS Sep 24 2021 33.0 Put / CUSIP: / Symbol: LVS 09/24/21 P 33.000							
09/23/21	10.000	57.76	09/22/21	0.00	...	57.76	Short sale closed- option
NIO INC NIO Mar 12 2021 42.0 Call / CUSIP: / Symbol: NIO 03/12/21 C 42.000							
03/10/21	3.000	310.00	03/10/21	325.99	...	-15.99	Option sale
NIO INC NIO Jul 23 2021 38.5 Put / CUSIP: / Symbol: NIO 07/23/21 P 38.500							
07/23/21	3.000	7.00	07/23/21	0.00	...	7.00	Option expiration short position
NIKE INC NKE Sep 24 2021 143.0 Put / CUSIP: / Symbol: NKE 09/24/21 P 143.000							
09/27/21	3.000	55.13	09/24/21	0.00	...	55.13	Short sale closed- option
NIKE INC NKE Oct 01 2021 115.0 Put / CUSIP: / Symbol: NKE 10/01/21 P 115.000							
09/27/21	10.000	63.76	09/24/21	0.00	...	63.76	Short sale closed- option
NVIDIA CORP NVDA Nov 05 2021 340.0 Call / CUSIP: / Symbol: NVDA 11/05/21 C 340.000							
11/05/21	10.000	703.89	11/05/21	0.00	...	703.89	Option expiration short position
NVIDIA CORP NVDA Jan 07 2022 170.0 Put / CUSIP: / Symbol: NVDA 01/07/22 P 170.000							
12/31/21	20.000	547.59	12/30/21	0.00	...	547.59	Short sale closed- option
PALANTIR TECH INC PLTR Aug 20 2021 27.0 Call / CUSIP: / Symbol: PLTR 08/20/21 C 27.000							
08/18/21	6.000	163.95	08/17/21	0.00	...	163.95	Short sale closed- option
PALANTIR TECH INC PLTR Aug 20 2021 29.0 Call / CUSIP: / Symbol: PLTR 08/20/21 C 29.000							
07/28/21	6.000	-1.97	07/27/21	0.00	...	-1.97	Short sale closed- option
PAYPAL HOLDINGS INC PYPL Dec 17 2021 180.0 Put / CUSIP: / Symbol: PYPL 12/17/21 P 180.000							
12/03/21	5.000	-2,286.11	12/02/21	0.00	...	-2,286.11	Short sale closed- option

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2021 1099-B\* OMB No. 1545-0715

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PAYPAL HOLDINGS INC PYPL Dec 23 2021 170.0 Put / CUSIP: / Symbol: PYPL 12/23/21 P 170.000							
12/08/21	5.000	938.88	12/07/21	0.00	...	938.88	Short sale closed- option
ROBLOX CORP RBLX Jul 16 2021 60.0 Put / CUSIP: / Symbol: RBLX 07/16/21 P 60.000							
07/19/21	5.000	41.60	07/16/21	0.00	...	41.60	Short sale closed- option
ROBLOX CORP RBLX Jul 16 2021 65.0 Put / CUSIP: / Symbol: RBLX 07/16/21 P 65.000							
07/12/21	6.000	163.93	07/09/21	0.00	...	163.93	Short sale closed- option
ROBLOX CORP RBLX Jul 23 2021 70.0 Put / CUSIP: / Symbol: RBLX 07/23/21 P 70.000							
07/15/21	5.000	-107.65	Various	0.00	...	-107.65	Total of 2 transactions
ROBLOX CORP RBLX Jul 30 2021 70.0 Put / CUSIP: / Symbol: RBLX 07/30/21 P 70.000							
07/15/21	5.000	-206.65	07/14/21	0.00	...	-206.65	Short sale closed- option
ROBLOX CORP RBLX Aug 13 2021 60.0 Put / CUSIP: / Symbol: RBLX 08/13/21 P 60.000							
07/26/21	5.000	278.35	07/23/21	0.00	...	278.35	Short sale closed- option
ROBLOX CORP RBLX Aug 13 2021 65.0 Put / CUSIP: / Symbol: RBLX 08/13/21 P 65.000							
08/05/21	8.000	230.40	08/04/21	0.00	...	230.40	Short sale closed- option
ROBLOX CORP RBLX Aug 20 2021 75.0 Put / CUSIP: / Symbol: RBLX 08/20/21 P 75.000							
08/23/21	10.000	419.25	Various	0.00	...	419.25	Total of 2 transactions
ROBLOX CORP RBLX Oct 15 2021 65.0 Put / CUSIP: / Symbol: RBLX 10/15/21 P 65.000							
09/23/21	3.000	38.33	09/22/21	0.00	...	38.33	Short sale closed- option
ROBLOX CORP RBLX Oct 15 2021 70.0 Put / CUSIP: / Symbol: RBLX 10/15/21 P 70.000							
09/23/21	10.000	97.76	09/22/21	0.00	...	97.76	Short sale closed- option
ROBLOX CORP RBLX Aug 20 2021 100.0 Call / CUSIP: / Symbol: RBLX 08/20/21 C 100.000							
08/20/21	11.000	113.70	08/20/21	0.00	...	113.70	Option expiration short position
08/23/21	9.000	83.93	Various	0.00	...	83.93	Total of 2 transactions
	<b>Security total:</b>	<b>197.63</b>		<b>0.00</b>	...	<b>197.63</b>	
ROBLOX CORP RBLX Aug 20 2021 105.0 Call / CUSIP: / Symbol: RBLX 08/20/21 C 105.000							
08/11/21	10.000	206.75	08/10/21	0.00	...	206.75	Short sale closed- option

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROKU INC ROKU Jul 30 2021	650.0	Call / CUSIP: / Symbol: ROKU 07/30/21 C 650.000					
07/28/21	1.000	30.68	07/27/21	0.00	...	30.68	Short sale closed- option
SNAP INC SNAP Aug 06 2021	86.0	Call / CUSIP: / Symbol: SNAP 08/06/21 C 86.000					
08/06/21	1.000	19.34	08/06/21	0.00	...	19.34	Option expiration short position
SNAP INC SNAP Aug 06 2021	50.0	Put / CUSIP: / Symbol: SNAP 08/06/21 P 50.000					
07/28/21	10.000	53.20	07/27/21	0.00	...	53.20	Short sale closed- option
SNAP INC SNAP Sep 03 2021	59.0	Put / CUSIP: / Symbol: SNAP 09/03/21 P 59.000					
09/03/21	3.000	84.98	09/02/21	0.00	...	84.98	Short sale closed- option
SNOWFLAKE INC SNOW Aug 20 2021	232.5	Put / CUSIP: / Symbol: SNOW 08/20/21 P 232.500					
08/20/21	3.000	88.01	08/20/21	0.00	...	88.01	Option expiration short position
VIRGIN GALACTIC HLDGS INC SPCE Jul 09 2021	29.0	Put / CUSIP: / Symbol: SPCE 07/09/21 P 29.000					
07/07/21	5.000	33.60	07/06/21	0.00	...	33.60	Short sale closed- option
VIRGIN GALACTIC HLDGS INC SPCE Jul 16 2021	13.0	Put / CUSIP: / Symbol: SPCE 07/16/21 P 13.000					
07/13/21	5.000	31.60	07/12/21	0.00	...	31.60	Short sale closed- option
VIRGIN GALACTIC HLDGS INC SPCE Oct 15 2021	20.0	Put / CUSIP: / Symbol: SPCE 10/15/21 P 20.000					
10/18/21	10.000	178.78	10/15/21	0.00	...	178.78	Short sale closed- option
VIRGIN GALACTIC HLDGS INC SPCE Oct 15 2021	21.0	Put / CUSIP: / Symbol: SPCE 10/15/21 P 21.000					
10/18/21	10.000	-417.22	10/15/21	0.00	...	-417.22	Short sale closed- option
VIRGIN GALACTIC HLDGS INC SPCE Oct 29 2021	18.0	Put / CUSIP: / Symbol: SPCE 10/29/21 P 18.000					
10/29/21	5.000	176.94	10/29/21	0.00	...	176.94	Option expiration short position
VIRGIN GALACTIC HLDGS INC SPCE Jul 09 2021	100.0	Call / CUSIP: / Symbol: SPCE 07/09/21 C 100.000					
07/09/21	1.000	34.34	07/09/21	0.00	...	34.34	Option expiration short position
VIRGIN GALACTIC HLDGS INC SPCE Jul 16 2021	125.0	Call / CUSIP: / Symbol: SPCE 07/16/21 C 125.000					
07/12/21	1.000	5.68	07/09/21	0.00	...	5.68	Short sale closed- option
THE TRADE DESK INC TTD Nov 19 2021	70.0	Put / CUSIP: / Symbol: TTD 11/19/21 P 70.000					
11/19/21	10.000	293.89	11/19/21	0.00	...	293.89	Option expiration short position

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



TD Ameritrade Clearing, Inc.

Account 234938905

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

01/20/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VIMEO INC VME0 Nov 19 2021 22.5 Put / CUSIP: / Symbol: VME0 11/19/21 P 22.500							
11/22/21	5.000	-691.11	11/19/21	0.00	...	-691.11	Short sale closed- option
WORKHORSE GROUP INC WKHS Feb 26 2021 60.0 Call / CUSIP: / Symbol: WKHS 02/26/21 C 60.000							
02/26/21	10.000	73.34	02/26/21	0.00	...	73.34	Option expiration short position
WORKHORSE GROUP INC WKHS Mar 05 2021 15.0 Call / CUSIP: / Symbol: WKHS 03/05/21 C 15.000							
03/08/21	5.000	696.60	03/05/21	0.00	...	696.60	Short sale closed- option
WORKHORSE GROUP INC WKHS Mar 05 2021 18.5 Call / CUSIP: / Symbol: WKHS 03/05/21 C 18.500							
03/03/21	5.000	88.35	03/02/21	0.00	...	88.35	Short sale closed- option
WORKHORSE GROUP INC WKHS Mar 05 2021 19.0 Call / CUSIP: / Symbol: WKHS 03/05/21 C 19.000							
03/02/21	4.000	78.67	03/01/21	0.00	...	78.67	Short sale closed- option
WORKHORSE GROUP INC WKHS Jul 09 2021 15.0 Call / CUSIP: / Symbol: WKHS 07/09/21 C 15.000							
07/09/21	3.000	19.00	07/09/21	0.00	...	19.00	Option expiration short position
WORKHORSE GROUP INC WKHS Feb 19 2021 22.0 Put / CUSIP: / Symbol: WKHS 02/19/21 P 22.000							
02/22/21	5.000	41.60	02/19/21	0.00	...	41.60	Short sale closed- option
WORKHORSE GROUP INC WKHS Feb 26 2021 19.0 Put / CUSIP: / Symbol: WKHS 02/26/21 P 19.000							
02/24/21	5.000	-3,076.65	02/23/21	0.00	...	-3,076.65	Short sale closed- option
WORKHORSE GROUP INC WKHS Jul 30 2021 10.0 Put / CUSIP: / Symbol: WKHS 07/30/21 P 10.000							
07/26/21	4.000	-13.33	07/23/21	0.00	...	-13.33	Short sale closed- option
XILINX INC XLNX Dec 31 2021 200.0 Put / CUSIP: / Symbol: XLNX 12/31/21 P 200.000							
12/31/21	10.000	233.89	12/31/21	0.00	...	233.89	Option expiration short position
XPENG INC XPEV Jul 16 2021 22.5 Put / CUSIP: / Symbol: XPEV 07/16/21 P 22.500							
07/07/21	30.000	159.60	07/06/21	0.00	...	159.60	Short sale closed- option
XPENG INC XPEV Jul 16 2021 34.0 Put / CUSIP: / Symbol: XPEV 07/16/21 P 34.000							
07/19/21	5.000	101.60	07/16/21	0.00	...	101.60	Short sale closed- option
XPENG INC XPEV Jul 30 2021 34.0 Put / CUSIP: / Symbol: XPEV 07/30/21 P 34.000							
07/30/21	5.000	61.60	07/29/21	0.00	...	61.60	Short sale closed- option

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TD Ameritrade Clearing, Inc.

Account 234938905

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

01/20/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
XPENG INC XPEV Jul 30 2021 34.5 Put / CUSIP: / Symbol: XPEV 07/30/21 P 34.500							
07/30/21	6.000	103.93	07/29/21	0.00	...	103.93	Short sale closed- option
XPENG INC XPEV Jul 30 2021 36.0 Put / CUSIP: / Symbol: XPEV 07/30/21 P 36.000							
07/26/21	5.000	-6.65	07/23/21	0.00	...	-6.65	Short sale closed- option
XPENG INC XPEV Aug 06 2021 35.0 Put / CUSIP: / Symbol: XPEV 08/06/21 P 35.000							
07/26/21	5.000	-11.65	07/23/21	0.00	11.65 W	0.00	Short sale closed- option
08/06/21	10.000	0.00	08/02/21	68.26	...	-68.26	Option expiration
<b>Security total:</b>		<b>-11.65</b>		<b>68.26</b>	<b>11.65 W</b>	<b>-68.26</b>	
XPENG INC XPEV Aug 20 2021 29.0 Put / CUSIP: / Symbol: XPEV 08/20/21 P 29.000							
08/03/21	4.000	-1.32	08/02/21	0.00	...	-1.32	Short sale closed- option
XPENG INC XPEV Aug 20 2021 30.0 Put / CUSIP: / Symbol: XPEV 08/20/21 P 30.000							
08/03/21	5.000	-36.64	08/02/21	0.00	...	-36.64	Short sale closed- option
XPENG INC XPEV Aug 20 2021 31.0 Put / CUSIP: / Symbol: XPEV 08/20/21 P 31.000							
08/03/21	5.000	13.36	08/02/21	0.00	...	13.36	Short sale closed- option
YATSEN HOLDING LIMITED YSG Feb 19 2021 15.0 Put / CUSIP: / Symbol: YSG 02/19/21 P 15.000							
02/19/21	5.000	21.67	02/19/21	0.00	...	21.67	Option expiration short position
ZILLOW GROUP INC Z Aug 13 2021 85.0 Put / CUSIP: / Symbol: Z 08/13/21 P 85.000							
08/09/21	10.000	606.76	08/06/21	0.00	...	606.76	Short sale closed- option
ZILLOW GROUP INC Z Sep 03 2021 80.0 Put / CUSIP: / Symbol: Z 09/03/21 P 80.000							
09/03/21	4.000	313.29	09/02/21	0.00	...	313.29	Short sale closed- option
ZILLOW GROUP INC Z Oct 15 2021 80.0 Put / CUSIP: / Symbol: Z 10/15/21 P 80.000							
10/15/21	10.000	583.87	10/15/21	0.00	...	583.87	Option expiration short position
ZILLOW GROUP INC Z Oct 22 2021 75.0 Put / CUSIP: / Symbol: Z 10/22/21 P 75.000							
10/22/21	10.000	663.87	10/22/21	0.00	...	663.87	Option expiration short position
ZILLOW GROUP INC Z Nov 12 2021 70.0 Put / CUSIP: / Symbol: Z 11/12/21 P 70.000							
11/04/21	10.000	-618.22	Various	0.00	...	-618.22	Total of 2 transactions

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TD Ameritrade Clearing, Inc.

Account 234938905

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

01/20/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ZILLOW GROUP INC Z Nov 19 2021 50.0 Put / CUSIP: / Symbol: Z 11/19/21 P 50.000 11/04/21	40.000	-1,483.84	Various	0.00	...	-1,483.84	Total of 3 transactions
ZILLOW GROUP INC Z Nov 19 2021 65.0 Put / CUSIP: / Symbol: Z 11/19/21 P 65.000 11/04/21	10.000	-3,532.20	11/03/21	0.00	...	-3,532.20	Short sale closed- option
ZILLOW GROUP INC Z Nov 19 2021 70.0 Put / CUSIP: / Symbol: Z 11/19/21 P 70.000 11/04/21	20.000	-998.42	11/03/21	0.00	...	-998.42	Short sale closed- option
ZILLOW GROUP INC Z Nov 26 2021 50.0 Put / CUSIP: / Symbol: Z 11/26/21 P 50.000 11/26/21	40.000	1,735.53	11/26/21	0.00	...	1,735.53	Option expiration short position
ZILLOW GROUP INC Z Nov 26 2021 65.0 Put / CUSIP: / Symbol: Z 11/26/21 P 65.000 11/04/21	10.000	-3,882.20	11/03/21	0.00	...	-3,882.20	Short sale closed- option
ZILLOW GROUP INC Z Dec 17 2021 45.0 Put / CUSIP: / Symbol: Z 12/17/21 P 45.000 12/17/21	1.000	17.39	12/17/21	0.00	...	17.39	Option expiration short position
<b>Totals :</b>		<b>107,960.60</b>		<b>111,502.44</b>	<b>11.65 W</b>	<b>-3,530.19</b>	

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TD Ameritrade Clearing, Inc.

Account 234938905

**Detail for Interest Income**

2021

01/20/2022

*This section of your tax information statement contains the payment level detail of taxable interest and associated bond premium. Market discount will be shown here only if you have elected to recognize it currently rather than at the time of sale or maturity. Bond premium and market discount for covered tax lots are totaled on Form 1099-INT and reported to the IRS. For noncovered tax lots, they are totaled in the Adjustments to Interest and Original Issue Discount and are not reported to the IRS.*

*To provide a complete picture of activity for each investment, we also include here nonreportable transactions such as accrued interest paid on purchases and payment or receipt of nonqualified interest. Other amounts, such as federal, state and foreign tax withheld and investment expenses are shown as negative amounts but do not net against the reportable income totals.*

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
Interest Credited		02/26/21	0.03	Credit interest	
		03/31/21	0.06	Credit interest	
		04/30/21	0.01	Credit interest	
		05/28/21	0.01	Credit interest	
		06/30/21	0.12	Credit interest	
		07/30/21	0.35	Credit interest	
		08/31/21	0.39	Credit interest	
		09/30/21	0.39	Credit interest	
		10/29/21	0.44	Credit interest	
		11/30/21	0.50	Credit interest	
		12/31/21	0.50	Credit interest	
			2.80	Total Interest	
			<b>2.80</b>	<b>Total Interest</b>	

TD Ameritrade Clearing, Inc.

Account 234938905

**Section 1256 Contracts - Regulated Futures and Nonequity Options**

2021

01/20/2022

*This section of your tax information statement provides contract-by-contract detail of regulated futures and section 1256 contracts. The total for each contract is the sum of the current year's realized and unrealized profit or loss minus the prior year unrealized profit or loss. The annual total for your account is found in Regulated Futures Contracts 1099-B in the Summary Information at the beginning of this statement.*

<b>Security description</b>	<b>CUSIP and/or symbol</b>	<b>Date</b>	<b>Quantity</b>	<b>Amount</b>	<b>Transaction type</b>	<b>Notes</b>
PROSHARES TRUST II UVXY Jul 30 2021 72.0 Call UVXY 07/30/21 C 72.000		07/28/21	-3.00	54.96	Realized profit/loss	
PROSHARES TRUST II UVXY Aug 27 2021 72.0 UVXY 08/27/21 C 72.000		08/27/21	-4.00	89.34	Realized profit/loss	
				<b>144.30</b>	<b>Total Realized profit/loss</b>	
				<b>144.30</b>	<b>Total Aggregate profit or (loss) on contracts</b>	

### Instructions for Recipient

#### Common Instructions for Recipient

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

#### Keep tax documents for your records.

#### 1099-INT Instructions for Recipient

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

**Line 1.** Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2021 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

**Line 2.** Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction.

**Line 3.** Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium.

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

**Line 5.** Any amount shown is your share of investment expenses of a singleclass REMIC. This amount is included in line 1. Note: This amount is not deductible.

**Line 6.** Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

**Line 7.** Shows the country or U.S. possession to which the foreign tax was paid.

**Line 8.** Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Forms 1040 and 1040-SR. This amount may be subject to backup withholding. See Line 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

**Line 9.** Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in line 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

**Line 10.** For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includable in taxable income as interest income.

**Line 11.** For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includable in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

**Line 12.** For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includable in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 3. If the amount in line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

**Line 13.** For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this line for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in line 8 or 9, whichever is applicable. If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

**Line 14.** Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s).

**Lines 15-17.** State tax withheld reporting lines.

**Future developments.** For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099INT](http://www.irs.gov/Form1099INT).

#### 1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

**Line 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

#### Regulated Futures Contracts, Foreign Currency Contracts, and Section 1256 Option Contracts (Lines 8 Through 11)

**Instructions for Recipient**

**Line 8.** Shows the profit or (loss) realized on regulated futures, foreign currency, or Section 1256 option contracts closed during 2021.

**Line 9.** Shows any year-end adjustment to the profit or (loss) shown in line 8 due to open contracts on December 31, 2020.

**Line 10.** Shows the unrealized profit or (loss) on open contracts held in your account on December 31, 2021. These are considered closed out as of that date. This will become an adjustment reported as unrealized profit or (loss) on open contracts--12/31/2021 in 2022.

**Line 11.** Lines 8, 9, and 10 are all used to figure the aggregate profit or (loss) on regulated futures, foreign currency, or Section 1256 option contracts for the year. Include this amount on your 2021 Form 6781.

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