Robinhood Markets Inc 85 Willow Road Menlo Park, CA 94025 650-940-2700



Shashank Singh 13401 Legendary Dr Austin, TX 78727 Master Account Number for Import: 147121396 Document ID for Import: 9MQ28RS4117

Enclosed is your 2022 Consolidated Tax Statement. 1099 Tax Forms for the following accounts are included in this package:

147121396 - Robinhood Securities LLC

147121396C - Robinhood Crypto LLC

**New for 2022 Tax Year** - Where applicable, 1099 Tax Forms for Robinhood Securities, Robinhood Crypto, and Robinhood Money are being consolidated into one package and reported under our parent entity, Robinhood Markets Inc. We have done this to ensure all forms that you need are provided at the same time in this consolidated package.

**Important** - Please utilize the Master Account Number and Document ID listed above if filing through tax service providers such as TurboTax or H&R Block. The Document ID is necessary in order to directly import your 1099 data into the tax software so that you do not need to manually enter each transaction. This year there is only one Master Account Number and Document ID that needs to be used to import all of your data across all accounts and 1099s (including crypto). Please note that tax software providers may have limits on the total number of transactions that can be directly imported using the Document ID.

**CSVs** - In addition, a CSV file which includes the same information that is reported on your 1099 forms will be provided. The CSV is not a separate 1099. It is being provided as a supplement in a format that may be more helpful if you have a large number of transactions or if you are not using the Document ID to import all of your Securities, Crypto and Money activity. Tax software providers may have limits on the total number of transactions that can be uploaded and CSV files may not be compatible with tax service providers such as TurboTax or H&R Block.

**Robinhood Retirements Accounts** - If you had any reportable distributions or contributions within a Robinhood Retirement account, your 2022 tax forms 1099-R and/or 5498 will be delivered separately. Retirement accounts will be reported under Robinhood Securities LLC and will have their own unique Doc IDs for import to tax software providers such as TurboTax or H&R Block.

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Robinhood Markets Inc. as agent for Robinhood Securities LLC		Information nt 147121396	Statement Date:	02/15/2023	2022
85 Willow Road Menlo Park, CA 94025 Customer Service: 650-940-2700	Shashank Singh 13401 Legendary Dr Austin, TX 78727				
PAYER'S TIN: 46-4364776	RECIPIENT'S TIN: XXX	-XX-4715			
11 - [ ] FATCA filing requirement (see instructions)	Summary	/ Information		13 - [] FATCA filing requirem	nent (see instructions)
DIVIDENDS AND DISTRIBUTIONS 2022 1099-DIV	* OMB No. 1545-0110	MISCELLANEOUS	INFORMATION	2022 1099-MISC*	OMB No. 1545-0115
<ul> <li>1a- Total ordinary dividends (includes lines 1b, 5, 2e)</li> <li>1b- Qualified dividends</li> <li>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)</li> <li>2b- Unrecaptured Section 1250 gain</li> </ul>	753.08 734.13 0.00 0.00	<ul> <li>2- Royalties</li> <li>3- Other income</li> <li>4- Federal income tax</li> <li>8- Substitute payments</li> </ul>		r interest	0.00 0.00 <b>0.00</b> 0.00
2c- Section 1202 gain 2d- Collectibles (28%) gain	0.00 0.00	SECTION 1256 CON	TRACTS	2022 1099-B*	OMB No. 1545-0715
2e- Section 897 ordinary dividends 2f- Section 897 capital gain 3- Nondividend distributions <b>4- Federal income tax withheld</b> 5- Section 199A dividends	0.00 0.00 14.00 <b>0.00</b> 18.95	8- Profit or (loss) realize 9- Unrealized profit or (l 10- Unrealized profit or (l 11- Aggregate profit or (l	oss) on open contrac oss) on open contrac	ts-12/31/2021	0.00 0.00 0.00 0.00
<ul> <li>6- Investment expenses</li> <li>8- Foreign country or US possession: 7- Foreign tax paid:</li> <li>9- Cash liquidation distributions</li> <li>10- Noncash liquidation distributions</li> <li>12- Exempt-interest dividends (includes line 13)</li> <li>13- Specified private activity bond interest dividends (AMT)</li> </ul>	0.00 0.00 0.00 0.00 0.00 0.00 0.00	If applicable, proceed detailed in subsequer * This is important tax informatio If you are required to file a retur you if this income is taxable and	nt sections of this doc n and is being furnis n, a negligence pen	shed to the Internal Reven alty or other sanction ma	ue Service. ly be imposed or

## SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	10,308.99	10,426.94	0.00	1.98	-115.97
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	10,308.99	10,426.94	0.00	1.98	-115.97
Long	D (basis reported to the IRS)	9,979.91	11,122.98	0.00	0.00	-1,143.07
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	9,979.91	11,122.98	0.00	0.00	-1,143.07
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	20,288.90	21,549.92	0.00	1.98	-1,259.04
Withholding		Amount				
Federal income tax withheld		0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2022 may require an amended tax form.

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## **Summary Information**

2022

### (continued)

OMB No. 1545-0112

02/15/2023

Account 147121396

### INTEREST INCOME 20

2022 1099-INT

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

<ol> <li>Interest income (not included in line 3)</li> <li>Early withdrawal penalty</li> </ol>	0.08 0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
<ol><li>Specified private activity bond interest (AMT)</li></ol>	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
Tax-exempt obligations (covered lots)	0.00
Tax-exempt private activity obligations (AMT, covered lots)	0.00
14- Tax-exempt and tax credit bond CUSIP number	See detail
FATCA filing requirement []	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nongualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

## STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

## ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

## **RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	134.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

# Robinhood Markets Inc. as agent for Robinhood Securities LLC

# Proceeds from Broker and Barter Exchange Transactions

02/15/2023

Account 147121396

### **2022 1099-B\*** OMB No. 1545-0715

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

### FATCA filing requirement []

### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*) "Gain or loss (-)" is NOT reported to the IRS.

### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMGEN INC. COMMC	ON STOCK / CUSIP: 031162100	/ Symbol:					
	2 transactions for 11/	08/22. Total proceeds a	and cost report	ted to the IRS.			
	1.000	293.28	11/26/21	204.74		88.54	1 of 2 - Sale <sup>25</sup>
	1.000	293.27	12/09/21	213.37		79.90	2 of 2 - Sale <sup>25</sup>
11/08/22	2.000	586.55	Various	418.11		168.44	Total of 2 transactions
CISCO SYSTEMS, INC	C. COMMON STO CK (DE) / CL	JSIP: 17275R102 / Sym	nbol:				
	18 transactions for 12	2/30/22. Total proceeds	and cost repo	rted to the IRS.			
	2.000	94.49	03/03/22	112.89		-18.40	1 of 18 - Sale <sup>25</sup>
	9.000	425.20	03/17/22	501.90		-76.70	2 of 18 - Sale <sup>25</sup>
	7.000	330.71	03/22/22	394.24		-63.53	3 of 18 - Sale <sup>25</sup>
	1.000	47.24	03/22/22	56.16		-8.92	4 of 18 - Sale 25
	1.000	47.24	04/01/22	56.15		-8.91	5 of 18 - Sale <sup>25</sup>
	2.000	94.49	04/18/22	101.76		-7.27	6 of 18 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

## Proceeds from Broker and Barter Exchange Transactions (continued)

2022 1099-B\* OMB No. 1545-0715

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

## 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SISCO SYSTEMS, INC.	COMMON STO CK (DE) / CL	JSIP: 17275R102 / Sym	nbol: (cont'd)				
	2.000	94.49	04/18/22	101.76		-7.27	7 of 18 - Sale <sup>25</sup>
	1.000	47.24	04/18/22	51.35		-4.11	8 of 18 - Sale <sup>25</sup>
	4.000	188.98	04/28/22	198.64		-9.66	9 of 18 - Sale <sup>25</sup>
	2.000	94.49	05/12/22	97.08		-2.59	10 of 18 - Sale <sup>25</sup>
	1.000	47.24	05/13/22	48.74		-1.50	11 of 18 - Sale <sup>25</sup>
	11.000	519.69	05/26/22	498.81		20.88	12 of 18 - Sale <sup>25</sup>
	1.000	47.25	06/08/22	45.33		1.92	13 of 18 - Sale <sup>25</sup>
	11.000	519.69	06/09/22	497.30		22.39	14 of 18 - Sale <sup>25</sup>
	2.000	94.49	06/23/22	85.94		8.55	15 of 18 - Sale <sup>25</sup>
	9.000	425.21	06/23/22	386.96		38.25	16 of 18 - Sale <sup>25</sup>
	4.000	188.98	07/07/22	170.64		18.34	17 of 18 - Sale <sup>25</sup>
	19.000	897.65	08/29/22	869.82		27.83	18 of 18 - Sale <sup>25</sup>
12/30/22	89.000	4,204.77	Various	4,275.47		-70.70	Total of 18 transactions
IEW YORK MORTGAG	E TRUST, INC. COMMON ST	OCK / CUSIP: 649604	501 / Symbol:				
	4 transactions for 12/	30/22. Total proceeds a	and cost report	ted to the IRS.			
	3.000	7.76	11/25/22	8.30	0.54 W	0.00	1 of 4 - Sale <sup>25</sup>
	2.000	5.17	12/02/22	5.71	0.54 W	0.00	2 of 4 - Sale <sup>25</sup>
	2.000	5.17	12/06/22	5.64	0.47 W	0.00	3 of 4 - Sale <sup>25</sup>
	2.000	5.17	12/07/22	5.60	0.43 W	0.00	4 of 4 - Sale <sup>25</sup>
12/30/22	9.000	23.27	Various	25.25	1.98 W	0.00	Total of 4 transactions
UALCOMM INCORPO	RATED COMMON S TOCK /	CUSIP: 747525103 / Sy	ymbol:				
	7 transactions for 12/	30/22. Total proceeds a	and cost report	ted to the IRS.			
	1.000	109.10	04/28/22	142.96		-33.86	1 of 7 - Sale 25
	2.000	218.19	10/13/22	225.94		-7.75	2 of 7 - Sale 25
	1.000	109.09	11/08/22	114.26		-5.17	3 of 7 - Sale 25
	1.000	109.10	12/19/22	114.50		-5.40	4 of 7 - Sale 25
	1.000	109.09	12/23/22	110.70		-1.61	5 of 7 - Sale <sup>25</sup>
	1.000	109.10	12/27/22	110.49		-1.39	6 of 7 - Sale <sup>25</sup>
		100.00	10/00/00	100 55		E 00	Zof Z Sala 25
	4.000	436.38	12/28/22	430.55		5.83	7 of 7 - Sale <sup>25</sup>

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Account 147121396

02/15/2023

Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 147121396

02/15/2023

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## **Proceeds from Broker and Barter Exchange Transactions** (continued)

2022 **1099-B\*** OMB No. 1545-0715

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (*Lines 2 & 5*) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*)

"Gain or loss (-)" is NOT reported to the IRS.

### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TEXAS INSTRUMENTS INCO	ORPORATED COMMON	STOCK / CUSIP: 8825	608104 / Symb	ol:			
	15 transactions for 12	2/30/22. Total proceeds	and cost repo	rted to the IRS.			
	1.000	164.24	01/07/22	182.03		-17.79	1 of 15 - Sale <sup>25</sup>
	1.000	164.25	01/07/22	182.03		-17.78	2 of 15 - Sale <sup>25</sup>
	2.000	328.49	01/20/22	357.56		-29.07	3 of 15 - Sale <sup>25</sup>
	2.000	328.49	01/20/22	346.82		-18.33	4 of 15 - Sale 25
	1.000	164.25	01/20/22	173.44		-9.19	5 of 15 - Sale <sup>25</sup>
	1.000	164.24	01/21/22	176.84		-12.60	6 of 15 - Sale <sup>25</sup>
	3.000	492.74	02/04/22	517.44		-24.70	7 of 15 - Sale <sup>25</sup>
	2.000	328.49	02/17/22	333.98		-5.49	8 of 15 - Sale <sup>25</sup>
	2.000	328.49	03/03/22	343.38		-14.89	9 of 15 - Sale <sup>25</sup>
	1.000	164.24	04/18/22	173.00		-8.76	10 of 15 - Sale <sup>25</sup>
	1.000	164.25	04/28/22	170.99		-6.74	11 of 15 - Sale <sup>25</sup>
	1.000	164.24	05/12/22	163.89		0.35	12 of 15 - Sale <sup>25</sup>
	2.000	328.49	07/07/22	305.74		22.75	13 of 15 - Sale <sup>25</sup>
	1.000	164.25	11/08/22	168.50		-4.25	14 of 15 - Sale <sup>25</sup>
	1.000	164.24	12/19/22	170.42		-6.18	15 of 15 - Sale <sup>25</sup>
2/30/22	22.000	3,613.39	Various	3,766.06		-152.67	Total of 15 transactions
WO HARBORS INVESTME	NT CORP. / CUSIP: 901	37B804 / Symbol:					
12/30/22	10.000	158.33	12/30/22	158.35		-0.02	Sale <sup>25</sup>
INITED PARCEL SERVICE,	INC. CL ASS B / CUSIP	911312106 / Symbol:					
	3 transactions for 12/	30/22. Total proceeds a	and cost report	ted to the IRS.			
	1.000	174.21	04/18/22	187.70		-13.49	1 of 3 - Sale <sup>25</sup>
	1.000	174.21	05/12/22	176.00		-1.79	2 of 3 - Sale <sup>25</sup>
	1.000	174.21	11/08/22	170.60		3.61	3 of 3 - Sale <sup>25</sup>
12/30/22	3.000	522.63	Various	534.30		-11.67	Total of 3 transactions
Totals :		10,308.99		10,426.94	1.98 W	-115.97	

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<b>2022</b> 1099-B" OMB No. 1545-0715				(continued)	02/15/2023		
	NSACTIONS FOR COVEI art II with Box D checked. Basis i reported to the IRS.			gains or losses	are identified in the Add	itional information co	<b>umn]</b> (Lines 2 & 5)
1a- Description of prop	erty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et		1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMGEN INC. COMMON	I STOCK / CUSIP: 031162100 / S	Symbol:					
	10 transactions for 11/0		and cost repo	rted to the IRS.			
11/08/22 CATERPILLAR INC. / C 12/27/22	1.000 1.000 1.000 1.000 1.000 1.000 1.000 1.000 1.000 1.000 10.000 USIP: 149123101 / Symbol: 1.000	293.33 293.28 293.28 293.28 293.28 293.28 293.28 293.27 293.28 293.27 2,932.83 240.14	06/28/21 07/06/21 08/04/21 08/19/21 09/07/21 09/09/21 09/10/21 10/04/21 10/05/21 10/18/21 Various	244.68 243.78 229.75 225.87 220.10 217.35 214.45 214.10 211.21 203.76 2,225.05	··· ··· ··· ··· ··· ··· ··· ··· ···	48.65 49.50 63.53 67.41 73.18 75.93 78.83 79.17 82.07 89.51 707.78 43.92	1 of 10 - Sale <sup>25</sup> 2 of 10 - Sale <sup>25</sup> 3 of 10 - Sale <sup>25</sup> 4 of 10 - Sale <sup>25</sup> 5 of 10 - Sale <sup>25</sup> 6 of 10 - Sale <sup>25</sup> 7 of 10 - Sale <sup>25</sup> 8 of 10 - Sale <sup>25</sup> 9 of 10 - Sale <sup>25</sup> 10 of 10 - Sale <sup>25</sup> Total of 10 transactions
CISCO SYSTEMS, INC.	COMMON STO CK (DE) / CUSI	P: 17275R102 / Symb	ool:				
	11 transactions for 12/3	0/22. Total proceeds a	and cost repo	rted to the IRS.			
	1.000 1.000 1.000 1.000 1.000 1.000 1.000 1.000 1.000 1.000	47.24 47.24 47.24 47.24 47.24 47.24 47.24 47.24 47.24 47.24 47.24	06/08/21 06/17/21 06/17/21 06/18/21 07/06/21 07/12/21 07/15/21 08/19/21 09/10/21 10/07/21	54.08 53.28 53.12 52.20 52.48 53.15 53.40 54.20 58.06 54.41	··· ··· ··· ··· ··· ··· ···	-6.84 -6.04 -5.88 -4.96 -5.24 -5.91 -6.16 -6.96 -10.82 -7.17	1 of 11 - Sale $2^{25}$ 2 of 11 - Sale $2^{25}$ 3 of 11 - Sale $2^{25}$ 4 of 11 - Sale $2^{25}$ 5 of 11 - Sale $2^{25}$ 6 of 11 - Sale $2^{25}$ 7 of 11 - Sale $2^{25}$ 9 of 11 - Sale $2^{25}$ 10 of 11 - Sale $2^{25}$
12/30/22	<i>1.000</i> 11.000	47.24 519.64	1 <i>1/26/21</i> Various	<i>54.50</i> 592.88		-7.26 -73.24	<i>11 of 11 - Sale</i> <sup>25</sup> Total of 11 transactions
				222.00		·	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

2022 **1099-B\*** OMB No. 1545-0715

Robinhood Markets Inc. as agent for

**Robinhood Securities LLC** 

# **Proceeds from Broker and Barter Exchange Transactions**

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(continued)

Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 147121396

**Proceeds from Broker and Barter Exchange Transactions** 

2022 **1099-B\*** OMB No. 1545-0715

# LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

#### "Gain or loss (-)" is NOT reported to the IRS. . -------

1a- Description of prope	erty/CUSIP/Symbol						
1c- Date		1d- Proceeds &			1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or		6- Reported		1e- Cost or	1g- Wash sale loss	7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	also not reported (Z)	Additional information
QUALCOMM INCORPOR	RATED COMMON S TOCK / C	USIP: 747525103 / Syr	nbol:				
	47 transactions for 12/	30/22. Total proceeds a	nd cost repor	ted to the IRS.			
	1.000	109.10	02/03/21	180.20		-71.10	1 of 47 - Sale <sup>25</sup>
	1.000	109.10	02/03/21	175.90		-66.80	2 of 47 - Sale <sup>25</sup>
	1.000	109.10	02/03/21	153.00		-43.90	3 of 47 - Sale <sup>25</sup>
	1.000	109.10	02/03/21	151.48		-42.38	4 of 47 - Sale <sup>25</sup>
	1.000	109.10	02/03/21	150.93		-41.83	5 of 47 - Sale <sup>25</sup>
	1.000	109.10	02/03/21	150.80		-41.70	6 of 47 - Sale <sup>25</sup>
	1.000	109.10	02/03/21	150.00		-40.90	7 of 47 - Sale <sup>25</sup>
	1.000	109.10	02/03/21	149.92		-40.82	8 of 47 - Sale 25
	1.000	109.10	02/03/21	149.70		-40.60	9 of 47 - Sale 25
	1.000	109.10	02/03/21	149.65		-40.55	10 of <b>47 - Sale</b> <sup>25</sup>
	1.000	109.10	02/03/21	149.41		-40.31	11 of 47 - Sale 25
	1.000	109.10	02/03/21	148.90		-39.80	12 of 47 - Sale 25
	1.000	109.10	02/03/21	148.88		-39.78	13 of 47 - Sale 25
	1.000	109.10	02/03/21	148.36		-39.26	14 of 47 - Sale 25
	1.000	109.10	02/03/21	148.02		-38.92	15 of 47 - Sale <sup>25</sup>
	1.000	109.10	02/03/21	147.78		-38.68	16 of 47 - Sale <sup>25</sup>
	1.000	109.10	02/04/21	148.96		-39.86	17 of 47 - Sale <sup>25</sup>
	1.000	109.10	02/04/21	146.70		-37.60	18 of 47 - Sale <sup>25</sup>
	1.000	109.10	02/04/21	146.57		-37.47	19 of 47 - Sale <sup>25</sup>
	1.000	109.10	02/04/21	146.56		-37.46	20 of 47 - Sale <sup>25</sup>
	1.000	109.10	02/04/21	145.64		-36.54	21 of 47 - Sale <sup>25</sup>
	1.000	109.10	02/04/21	145.52		-36.42	22 of 47 - Sale <sup>25</sup>
	1.000	109.10	02/04/21	146.78		-37.68	23 of 47 - Sale <sup>25</sup>
	1.000	109.10	02/05/21	145.40		-36.30	24 of 47 - Sale <sup>25</sup>
	1.000	109.10	02/05/21	145.21		-36.11	25 of 47 - Sale <sup>25</sup>
	1.000	109.10	02/03/21	145.27		-36.17	26 of 47 - Sale <sup>25</sup>
							27 of 47 - Sale <sup>25</sup>
	1.000	109.10	02/10/21	145.06		-35.96	
	1.000	109.10	02/17/21	144.21		-35.11	28 of 47 - Sale <sup>25</sup>
	1.000	109.10	02/22/21	142.36		-33.26	29 of 47 - Sale <sup>25</sup>
	1.000	109.10	02/23/21	137.60		-28.50	30 of 47 - Sale <sup>25</sup>
	1.000	109.10	02/24/21	137.10		-28.00	31 of 47 - Sale <sup>25</sup>
	1.000	109.10	02/25/21	140.09		-30.99	32 of 47 - Sale <sup>25</sup>
	1.000	109.10	03/05/21	129.75		-20.65	33 of 47 - Sale <sup>25</sup>

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## **Proceeds from Broker and Barter Exchange Transactions** (continued)

2022 1099-B\* OMB No. 1545-0715

# LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSI	P/Symbol						
1c- Date		1d- Proceeds &			1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or		6- Reported		1e- Cost or	1g- Wash sale loss	7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	also not reported (Z)	Additional information
QUALCOMM INCORPORATED C	OMMON S TOCK / CL	JSIP: 747525103 / Syr	nbol: (cont'd)				
	1.000	109.10	05/05/21	136.25		-27.15	34 of 47 - Sale <sup>25</sup>
	1.000	109.10	05/05/21	135.90		-26.80	35 of 47 - Sale <sup>25</sup>
	1.000	109.10	05/13/21	126.36		-17.26	36 of 47 - Sale 25
	1.000	109.09	06/15/21	135.75		-26.66	37 of 47 - Sale 25
	1.000	109.10	06/18/21	133.70		-24.60	38 of 47 - Sale <sup>25</sup>
	1.000	109.09	07/01/21	140.72		-31.63	39 of 47 - Sale 25
	1.000	109.10	07/06/21	140.28		-31.18	40 of 47 - Sale <sup>25</sup>
	1.000	109.09	07/08/21	138.38		-29.29	41 of 47 - Sale <sup>25</sup>
	1.000	109.10	07/13/21	140.64		-31.54	42 of 47 - Sale <sup>25</sup>
	1.000	109.09	07/15/21	141.39		-32.30	43 of 47 - Sale <sup>25</sup>
	1.000	109.10	08/17/21	144.11		-35.01	44 of 47 - Sale <sup>25</sup>
	1.000	109.09	08/19/21	141.20		-32.11	45 of 47 - Sale <sup>25</sup>
	1.000	109.10	10/05/21	126.16		-17.06	46 of 47 - Sale <sup>25</sup>
	1.000	109.09	10/18/21	128.55		-19.46	47 of 47 - Sale <sup>25</sup>
12/30/22	47.000	5,127.64	Various	6,801.10		-1,673.46	Total of 47 transactions
TEXAS INSTRUMENTS INCORPO	DRATED COMMON S	TOCK / CUSIP: 88250	8104 / Symbo	ol:			
6	transactions for 12/30,	/22. Total proceeds an	nd cost reporte	ed to the IRS.			
	1.000	164.24	06/08/21	187.48		-23.24	1 of 6 - Sale <sup>25</sup>
	1.000	164.24	06/18/21	184.26		-20.02	2 of 6 - Sale <sup>25</sup>
	1.000	164.24	07/22/21	185.25		-21.01	3 of 6 - Sale <sup>25</sup>
	1.000	164.24	08/12/21	187.44		-23.20	4 of 6 - Sale <sup>25</sup>
	1.000	164.25	08/17/21	185.78		-21.53	5 of 6 - Sale <sup>25</sup>
	1.000	164.24	08/19/21	183.75		-19.51	6 of 6 - Sale <sup>25</sup>
12/30/22	6.000	985.45	Various	1,113.96		-128.51	Total of 6 transactions
UNITED PARCEL SERVICE, INC.	CL ASS B / CUSIP: 9'	11312106 / Symbol:					
12/30/22	1.000	174.21	10/18/21	193.77		-19.56	Sale <sup>25</sup>
Totals :		9,979.91		11,122.98		-1,143.07	

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# Robinhood Markets Inc. as agent for Robinhood Securities LLC

2022

# **Detail for Dividends and Distributions**

Account 147121396

02/15/2023

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. There is also a holder level criteria for a dividend to be treated as Qualified. If the payment is from a common stock you are required to have held it for more than 60 days during the 121-day period that begins 60 days before the ex-dividend date of the dividend. If the dividend is from preferred shares and covers a period greater than 366 days, you must have held the preferred stock for more than 90 days during the 181-day period that begins 90 days before the ex-dividend date of the dividend.

Amounts presented in Box 2e as Section 897 Ordinary Dividends or Box 2f as Section 897 Capital Gains apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. If you are a US citizen, you should disregard this.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
AGNC INVESTMENT CORP. COMMON S	00123Q104		11/09/22	0.90	Nondividend distribution	03
TOCK			11/09/22	0.78	Section 199A dividend	03
			12/09/22	6.42	Nondividend distribution	03
			12/09/22	5.58	Section 199A dividend	03
			-	13.68	Total Dividends & distributions	
AT&T INC.	00206R102		11/01/22	27.20	Qualified dividend	
AMGEN INC. COMMON STOCK	031162100		03/08/22	23.28	Qualified dividend	
			06/08/22	23.28	Qualified dividend	
			09/08/22	23.28	Qualified dividend	
			=	69.84	Total Dividends & distributions	
APPLE INC. COMMON STOCK	037833100		02/10/22	5.72	Qualified dividend	
			05/12/22	5.98	Qualified dividend	
			08/11/22	5.98	Qualified dividend	
			11/10/22	5.98	Qualified dividend	
			_	23.66	Total Dividends & distributions	
BROADCOM INC. COMMON STOCK	11135F101		03/31/22	20.50	Qualified dividend	
			06/30/22	20.50	Qualified dividend	
			09/30/22	20.50	Qualified dividend	
			12/30/22	23.00	Qualified dividend	
			_	84.50	Total Dividends & distributions	

# Robinhood Markets Inc. as agent for Robinhood Securities LLC

# **Detail for Dividends and Distributions**

Account	147121396

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2022			(continue	ed)	02/15/	2023
Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
CATERPILLAR INC.	149123101		02/18/22	1.11	Qualified dividend	
			05/20/22	1.11	Qualified dividend	
			08/19/22	1.20	Qualified dividend	
			11/18/22	1.20	Qualified dividend	
				4.62	Total Dividends & distributions	
CISCO SYSTEMS, INC. COMMON STO CK	17275R102		01/26/22	4.07	Qualified dividend	
(DE)			04/27/22	11.78	Qualified dividend	
			07/27/22	29.26	Qualified dividend	
			10/26/22	38.00	Qualified dividend	
				83.11	Total Dividends & distributions	
COCA-COLA COMPANY	191216100		04/01/22	11.44	Qualified dividend	
			07/01/22	11.44	Qualified dividend	
			10/03/22	11.44	Qualified dividend	
			12/15/22	11.44	Qualified dividend	
				45.76	Total Dividends & distributions	
CROWN CASTLE INC.	22822V101		03/31/22	2.85	Section 199A dividend	03
			03/31/22	1.51	Nondividend distribution	03
			03/31/22	0.05	Qualified dividend	03
			06/30/22	2.85	Section 199A dividend	03
			06/30/22	1.51	Nondividend distribution	03
			06/30/22	0.05	Qualified dividend	03
			09/30/22	2.85	Section 199A dividend	03
			09/30/22	1.51	Nondividend distribution	03
			09/30/22	0.05	Qualified dividend	03
			12/30/22	4.04	Section 199A dividend	03
			12/30/22	2.15	Nondividend distribution	03
			12/30/22	0.07	Qualified dividend	03
				19.49	Total Dividends & distributions	
JOHNSON & JOHNSON	478160104		03/08/22	11.66	Qualified dividend	

06/07/22

09/06/22

12/06/22

Qualified dividend

Qualified dividend

Qualified dividend

**Total Dividends & distributions** 

12.43

12.43

12.43

48.95

# Robinhood Markets Inc. as agent for Robinhood Securities LLC

# Detail for Dividends and Distributions (continued)

Account 147121396

02/15/2023

2022

ecurity description	CUSIP and/or symbol	State	Date	Amount	Transaction type	No
CDONALD'S CORPORATION	580135101		03/15/22	5.52	Qualified dividend	
			06/21/22	5.52	Qualified dividend	
			09/16/22	5.52	Qualified dividend	
			12/15/22	6.08	Qualified dividend	
				22.64	Total Dividends & distributions	
CROSOFT CORPORATION COMMON S	594918104		03/10/22	6.20	Qualified dividend	
СК			06/09/22	6.20	Qualified dividend	
			09/08/22	6.20	Qualified dividend	
			12/08/22	7.48	Qualified dividend	
				26.08	Total Dividends & distributions	
YORK MORTGAGE TRUST, INC. /ION STOCK	649604501		01/26/23	7.50	Qualified dividend	
ER INC.	717081103		03/04/22	1.20	Qualified dividend	
			06/10/22	1.60	Qualified dividend	
			09/06/22	1.60	Qualified dividend	
			12/05/22	4.00	Qualified dividend	
				8.40	Total Dividends & distributions	
COMM INCORPORATED COMMON S	747525103		03/24/22	31.96	Qualified dividend	
			06/23/22	36.00	Qualified dividend	
			09/22/22	36.00	Qualified dividend	
			12/15/22	38.25	Qualified dividend	
				142.21	<b>Total Dividends &amp; distributions</b>	
INSTRUMENTS INCORPORATED	882508104		02/07/22	16.10	Qualified dividend	
MON STOCK			05/17/22	26.45	Qualified dividend	
			08/16/22	29.90	Qualified dividend	
			11/15/22	32.24	Qualified dividend	
				104.69	Total Dividends & distributions	
ED PARCEL SERVICE, INC. CL ASS B	911312106		03/10/22	1.52	Qualified dividend	
			06/02/22	4.56	Qualified dividend	
			09/01/22	4.56	Qualified dividend	
			12/01/22	6.08	Qualified dividend	
				16.72	Total Dividends & distributions	

Robinhood Markets Inc. as agen	t for				Ad	ccount	Page 147121396	12 of	1
Robinhood Securities LLC		tail for	Dividends a	nd Distribut					
2022			(continue	ed)	02	2/15/202	23		
Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type		Notes		
WALMART INC.	931142103		01/03/22	2.75	Qualified dividend				
			04/04/22	3.36	Qualified dividend				
			05/31/22	3.36	Qualified dividend				
			09/06/22	3.36	Qualified dividend				
				12.83	Total Dividends & distributions				
WASTE MANAGEMENT, INC.	94106L109		03/31/22	1.30	Qualified dividend				
			06/17/22	1.30	Qualified dividend				
			09/23/22	1.30	Qualified dividend				
			12/16/22	1.30	Qualified dividend				
				5.20	Total Dividends & distributions				
				767.08	Total Dividends & distributions				

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# Robinhood Markets Inc. as agent for Robinhood Securities LLC

2022

## **Detail for Interest Income**

Account 147121396

02/15/2023

This section of your tax information statement contains the payment level detail of taxable interest and associated bond premium. Market discount will be shown here only if you have elected to recognize it currently rather than at the time of sale or maturity. Bond premium and market discount for covered tax lots are totaled on Form 1099-INT and reported to the IRS. For noncovered tax lots, they are totaled and presented beneath the 1099-INT for informational purposes and are not reported to the IRS.

To provide a complete picture of activity for each investment, we also include here nonreportable transactions such as accrued interest paid on purchases and payment or receipt of nonqualified interest. Other amounts, such as federal, state and foreign tax withheld and investment expenses are shown as negative amounts but do not net against the reportable income totals.

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
AMGEN INC. COMMON STOCK	031162100	10/06/22	0.06	Interest	
		11/04/22	0.02	Interest	
		_	0.08	Total Interest	
		—	0.08	Total Interest	

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# Robinhood Markets Inc. as agent for Robinhood Securities LLC

2022

## **Other Receipts and Reconciliations**

Account 147121396

02/15/2023

This section of your tax information statement contains the detail of the year-to-year adjustments between cash distributions and reportable income for Unit Investment Trusts, reflecting the fact that income is recognized at the time it is received by the trust, rather than when a distribution is made.

Also shown are distributions whose tax impact will be defined by other documentation. In the case of partnership distributions, you will receive Schedule K-1 (Form 1065) directly from the partnership(s). For deferred income, the Form 1099-DIV issued in the following year will characterize the amounts received.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
AG MORTGAGE INVESTMENT TRUST, INC.	001228501	01/31/23	18.00	Deferred income payment	03,24
AGNC INVESTMENT CORP. COMMON S TOCK	00123Q104	01/11/23	12.00	Deferred income payment	03,24
ANNALY CAPITAL MANAGEMENT. INC .	035710839	01/31/23	88.00	Deferred income payment	03,24
ORCHID ISLAND CAPITAL, INC.	68571X301	01/27/23	16.00	Deferred income payment	03,24
			134.00	Total Deferred income payment	

# Robinhood Markets Inc. as agent for Robinhood Securities LLC

## 2022 End Notes for Account 147121396

- 03 The tax character of the distribution has been allocated based on information provided by the security issuer.
- Although distributions by mutual funds or REITs are ordinarily reported in the year of record date (2022), the payer will not determine the tax character of this distribution until the conclusion of 2023. It will be reported on your tax statement for that year.
- 25 Tax lot closed on a first in first out basis.

#### **Common Instructions for Recipient**

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

#### Keep tax documents for your records.

#### **1099-DIV Instructions for Recipient**

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

Line 1b. Shows the portion of the amount in Line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in Lines 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Form 1040.

Line 2b. Shows the portion of the amount in Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2c. Shows the portion of the amount in Line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

Line 2d. Shows the portion of the amount in Line 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2e. Shows the portion of the amount in Line 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

Line 2f. Shows the portion of the amount in Line 2a that is section 897 gain attributable to disposition of USRPI.

Note: Lines 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return. Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in Line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in Line 1a. Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Form 1040.

Line 8. This Line should be left blank if a RIC reported the foreign tax shown in Line 7.

#### Instructions for Recipient

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You may also have a filing requirement. See the Instructions for Form 8938.

Line 12. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040SR for where to report. This amount may be subject to backup withholding. See Line 4 above. Line 13. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in Line 12. See the Instructions for Form 6251.

Lines 14-16. State income tax withheld reporting Lines.

Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

#### **1099-INT Instructions for Recipient**

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

Line 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2022 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security accuried a to premium.

Line 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction.

Line 3. Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium. Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Line 5. Any amount shown is your share of investment expenses of a singleclass REMIC. This amount is included in line 1. Note: This amount is not deductible. Line 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

Line 7. Shows the country or U.S. possession to which the foreign tax was paid. Line 8. Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Forms 1040 and 1040-SR. This amount may be subject to backup withholding. See Line 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in line 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 10. For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-0ID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in

accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includible in taxable income as interest income.

Line 11. For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this line for a taxable covered security acquired at a premium and the paver is reporting premium amortization, the paver has reported a net amount of interest in line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4). Line 12. For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 3. If the amount in line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

Line 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this line for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in line 8 or 9, whichever is applicable. If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

Line 14. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s).

#### Lines 15-17. State tax withheld reporting lines.

Future developments. For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099INT.

Free File. Go to <u>www.irs.gov/FreeFile</u> to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

#### 1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation is identified in box 1a.

**CUSIP number**. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present. Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts. non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in contracts. Compared the contracts or nonSection 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-sr).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank. Line 2. The short-term and long-term boxes pertain to short-term gain or loss and

Ing-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

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Robinhood Markets Inc. as agent for Robinhood Crypto LLC		nformation t 147121396C	Statement Date:	02/15/2023	2022
85 Willow Rd Menlo Park, CA 94025 Customer Service: 650-940-2700	Shashank Singh 13401 Legendary Dr Austin, TX 78727				
PAYER'S TIN: 46-4364776	RECIPIENT'S TIN: XXX-	XX-4715			
11 - [] FATCA filing requirement (see instructions)	Summary	Information		13 - [] FATCA filing requiren	nent (see instructions)
DIVIDENDS AND DISTRIBUTIONS 2022 1099-DI	V* OMB No. 1545-0110	MISCELLANEOUS	INFORMATION	2022 1099-MISC*	OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5, 2e)	0.00	2- Royalties			0.00
1b- Qualified dividends	0.00	3- Other income			0.00
2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)	0.00	4- Federal income tax	withheld		0.00
2b- Unrecaptured Section 1250 gain	0.00	8- Substitute payments	in lieu of dividends or	r interest	0.00
2c- Section 1202 gain	0.00				
2d- Collectibles (28%) gain	0.00	SECTION 1256 CON	TRACTS	2022 1099-B*	OMB No. 1545-0715
2e- Section 897 ordinary dividends	0.00 0.00	8- Profit or (loss) realize	ed in 2022 on closed (	contracts	0.00
2f- Section 897 capital gain 3- Nondividend distributions	0.00	9- Unrealized profit or (			0.00
4- Federal income tax withheld	0.00	10- Unrealized profit or (			0.00
5- Section 199A dividends	0.00	11- Aggregate profit or (I	oss) on contracts		0.00
6- Investment expenses	0.00				
8- Foreign country or US possession: 7- Foreign tax paid:	0.00			ons appear summarized belo	ow and are
9- Cash liquidation distributions	0.00	detailed in subseque			Comulas
10- Noncash liquidation distributions	0.00	* This is important tax informatio			
12- Exempt-interest dividends (includes line 13)	0.00	If you are required to file a return			
13- Specified private activity bond interest dividends (AMT)	0.00	you if this income is taxable and	the into determines	that it has not been report	leu.

## SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	B (basis not reported to the IRS)	429.84	732.83	0.00	0.00	-302.99
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	429.84	732.83	0.00	0.00	-302.99
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	152.33	408.62	0.00	0.00	-256.29
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	152.33	408.62	0.00	0.00	-256.29
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	582.17	1,141.45	0.00	0.00	-559.28
Withholding		Amount				
Federal income	tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2022 may require an amended tax form.

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## **Summary Information**

2022

### (continued)

OMB No. 1545-0112

02/15/2023

Account 147121396C

#### **INTEREST INCOME** 2022 1099-INT

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3) 2- Early withdrawal penalty	0.00 0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
<ol> <li>Foreign country or US possession:</li> <li>6- Foreign tax paid:</li> </ol>	0.00
8- Tax-exempt interest (includes line 9)	0.00
<ol><li>Specified private activity bond interest (AMT)</li></ol>	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
<ol><li>Bond premium on Treasury obligations (covered lots)</li></ol>	0.00
<ol><li>Bond premium on tax-exempt bonds (categorized below)</li></ol>	0.00
Tax-exempt obligations (covered lots)	0.00
Tax-exempt private activity obligations (AMT, covered lots)	0.00
14- Tax-exempt and tax credit bond CUSIP number	
FATCA filing requirement []	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

## STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

## **ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year Acquisition premium (covered lots)	0.00 0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

## **RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

## Robinhood Markets Inc. as agent for Robinhood Crypto LLC

# Proceeds from Broker and Barter Exchange Transactions

02/15/2023

Account 147121396C

### **2022 1099-B\*** OMB No. 1545-0715

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

### FATCA filing requirement []

### SHORT TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)

Report on Form 8949, Part I with Box B checked. Basis is NOT provided to the IRS. (Line 12)

"Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS.

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et		Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
itcoin / CUSIP: BTCU	JSD / Symbol:						
	33 transactions for 12/	30/22. Total proceeds a	are reported t	o the IRS.			
	0.00014665	2.42	01/02/22	6.98		-4.56	1 of 33 - Sale 25
	0.00005905	0.97	01/04/22	2.75		-1.78	2 of 33 - Sale 25
	0.00011589	1.91	01/05/22	5.00		-3.09	3 of 33 - Sale 25
	0.00011711	1.93	01/05/22	5.00		-3.07	4 of 33 - Sale 25
	0.00006946	1.14	01/06/22	3.00		-1.86	5 of 33 - Sale 25
	0.0004794	7.90	01/07/22	20.00		-12.10	6 of 33 - Sale 25
	0.00011944	1.97	01/07/22	5.00		-3.03	7 of 33 - Sale <sup>25</sup>
	0.0007151	11.78	01/08/22	30.01		-18.23	8 of 33 - Sale <sup>25</sup>
	0.00025895	4.27	01/21/22	10.02		-5.75	9 of 33 - Sale 25
	0.00012978	2.14	01/21/22	5.00		-2.86	10 of 33 - Sale <sup>25</sup>
	0.00014164	2.33	01/28/22	5.36		-3.03	11 of 33 - Sale <sup>25</sup>
	0.00026236	4.32	02/04/22	10.01		-5.69	12 of 33 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Account 147121396C

Page

## **Proceeds from Broker and Barter Exchange Transactions**

2022 1099-B\* OMB No. 1545-0715

(continued)

02/15/2023

## SHORT TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)

Report on Form 8949, Part I with Box B checked. Basis is NOT provided to the IRS. (Line 12)

"Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS.

1c- Date sold or		1d- Proceeds & 6- Reported	Date	Cost or	Accrued mkt disc (D) & Wash sale loss	Gain or loss(-) & 7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et		other basis	disallowed (W)	( )	Additional information
Bitcoin / CUSIP: BTC	CUSD / Symbol: (cont'd)						
	0.000063	1.04	02/04/22	2.40		-1.36	13 of 33 - Sale 25
	0.0001181	1.95	02/17/22	5.01		-3.06	14 of 33 - Sale 25
	0.00013285	2.19	02/21/22	5.00		-2.81	15 of 33 - Sale <sup>25</sup>
	0.00010889	1.79	02/22/22	3.99		-2.20	16 of 33 - Sale 25
	0.00033904	5.59	03/03/22	14.72		-9.13	17 of 33 - Sale <sup>25</sup>
	0.00032446	5.34	05/13/22	10.00		-4.66	18 of 33 - Sale <sup>25</sup>
	0.00079272	13.06	06/13/22	19.99		-6.93	19 of 33 - Sale 25
	0.00032989	5.43	06/22/22	6.85		-1.42	20 of 33 - Sale 25
	0.00131984	21.74	06/23/22	26.89		-5.15	21 of 33 - Sale <sup>25</sup>
	0.00167921	27.66	06/25/22	35.65		-7.99	22 of 33 - Sale <sup>25</sup>
	0.00120593	19.87	07/01/22	24.67		-4.80	23 of 33 - Sale <sup>25</sup>
	0.00000519	0.09	07/02/22	0.11		-0.02	24 of 33 - Sale <sup>25</sup>
	0.00079436	13.08	07/29/22	19.07		-5.99	25 of 33 - Sale <sup>25</sup>
	0.00000784	0.13	07/29/22	0.19		-0.06	26 of 33 - Sale <sup>25</sup>
	0.00024638	4.06	08/12/22	5.92		-1.86	27 of 33 - Sale <sup>25</sup>
	0.00000247	0.04	08/12/22	0.06		-0.02	28 of 33 - Sale <sup>25</sup>
	0.00181025	29.82	08/29/22	37.01		-7.19	29 of 33 - Sale <sup>25</sup>
	0.00001793	0.30	08/29/22	0.37		-0.07	30 of 33 - Sale <sup>25</sup>
	0.00000193	0.03	09/02/22	0.04		-0.01	31 of 33 - Sale 25
	0.00046158	7.60	12/27/22	7.79		-0.19	32 of 33 - Sale <sup>25</sup>
	0.00000355	0.06	12/27/22	0.06		0.00	33 of 33 - Sale <sup>25</sup>
12/30/22	0.012379	203.95	Various	333.92		-129.97	Total of 33 transactions
Dogecoin / CUSIP: D	OGEUSD / Symbol:						
	31 transactions for 12/	30/22. Total proceeds a	are reported	to the IRS.			
	28.789999999999999147	1.95	01/02/22	4.98		-3.03	1 of 31 - Sale 25
	32.729999999999996874	2.22	01/05/22	5.01		-2.79	2 of 31 - Sale 25
	33.509999999999999801	2.27	01/05/22	5.00		-2.73	3 of 31 - Sale 25
	18.989999999999998437	1.29	01/06/22	3.00		-1.71	4 of 31 - Sale 25
	130.61000000000013642	8.84	01/07/22	19.99		-11.15	5 of 31 - Sale 25
	32.63000000000002558	2.21	01/07/22	5.00		-2.79	6 of 31 - Sale 25
	197.0099999999999990905	13.34	01/08/22	30.00		-16.66	7 of 31 - Sale <sup>25</sup>
	33.509999999999999801	2.27	01/11/22	5.00		-2.73	8 of 31 - Sale <sup>25</sup>
	66.959999999999993747	4.53	01/21/22	10.01		-5.48	9 of 31 - Sale <sup>25</sup>
	32.869999999999997442	2.23	01/21/22	5.01		-2.78	10 of 31 - Sale <sup>25</sup>

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Robinhood Markets Inc. as agent for Robinhood Crypto LLC

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## **Proceeds from Broker and Barter Exchange Transactions**

2022 1099-B\* OMB No. 1545-0715

(continued)

02/15/2023

## SHORT TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)

Report on Form 8949, Part I with Box B checked. Basis is NOT provided to the IRS. (Line 12)

"Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS.

•	f property/CUSIP/Symbol						
1c- Date		1d- Proceeds &	Data	Castar	Accrued mkt disc (D) &	Gain or loss(-) &	
sold or disposed	Quantity	6- Reported (G)ross or (N)et	Date	Cost or other basis	Wash sale loss disallowed (W)	7- Loss not allowed (X)	Additional information
•	· · · · ·		acquireu	other basis		also not reported (2)	Additional information
Dogecoin / CUSIF	2: DOGEUSD / Symbol: (cont'd)						
	71.15000000000005684	4.82	02/04/22	10.01		-5.19	11 of 31 - Sale <sup>25</sup>
	35.57000000000000284	2.41	02/04/22	5.00		-2.59	12 of 31 - Sale <sup>25</sup>
	10.859999999999999432	0.74	02/08/22	1.72		-0.98	13 of 31 - Sale <sup>25</sup>
	4.66000000000000142	0.32	02/11/22	0.71		-0.39	14 of 31 - Sale <sup>25</sup>
	41.27000000000003126	2.79	02/17/22	6.03		-3.24	15 of 31 - Sale <sup>25</sup>
	36.859999999999999432	2.49	02/21/22	5.00		-2.51	16 of 31 - Sale <sup>25</sup>
	8.31000000000000497	0.56	02/21/22	1.17		-0.61	17 of 31 - Sale <sup>25</sup>
	40.03000000000001137	2.71	02/22/22	5.00		-2.29	18 of 31 - Sale <sup>25</sup>
	75.769999999999996021	5.13	03/03/22	10.00		-4.87	19 of 31 - Sale <sup>25</sup>
	108.43000000000006821	7.34	05/13/22	10.00		-2.66	20 of 31 - Sale <sup>25</sup>
	167.28000000000001137	11.32	06/13/22	10.01		1.31	21 of 31 - Sale <sup>25</sup>
	4.28000000000000249	0.29	06/23/22	0.27		0.02	22 of 31 - Sale <sup>25</sup>
	3.54000000000000036	0.24	07/01/22	0.25		-0.01	23 of 31 - Sale <sup>25</sup>
	171.03000000000001137	11.58	07/02/22	11.33		0.25	24 of 31 - Sale 25
	3.1699999999999999929	0.21	07/07/22	0.22		-0.01	25 of 31 - Sale <sup>25</sup>
	72.20000000000002842	4.89	07/29/22	5.00		-0.11	26 of 31 - Sale <sup>25</sup>
	17.339999999999999858	1.17	08/20/22	1.19		-0.02	27 of 31 - Sale <sup>25</sup>
	72.049999999999997158	4.88	09/02/22	4.52		0.36	28 of 31 - Sale <sup>25</sup>
	10.49000000000000213	0.71	09/07/22	0.63		0.08	29 of 31 - Sale <sup>25</sup>
	18.67000000000001705	1.26	09/13/22	1.16		0.10	30 of 31 - Sale <sup>25</sup>
	67.12999999999995453	4.55	12/27/22	5.00		-0.45	31 of 31 - Sale <sup>25</sup>
12/30/22	1,647.700	111.56	Various	187.22		-75.66	Total of 31 transactions
Ethereum / CUSIF	P: ETHUSD / Symbol:						
	24 transactions for 12/	30/22. Total proceeds a	are reported to	o the IRS.			
	0.001332	1.59	01/02/22	5.01		-3.42	1 of 24 - Sale 25
	0.001437	1.71	01/05/22	5.00		-3.29	2 of 24 - Sale 25
	0.00144	1.71	01/05/22	4.98		-3.27	3 of 24 - Sale 25
	0.000885	1.05	01/06/22	3.01		-1.96	4 of 24 - Sale 25
	0.006182	7.36	01/07/22	20.00		-12.64	5 of 24 - Sale 25
	0.001795	2.14	01/07/22	5.77		-3.63	6 of 24 - Sale 25
	0.009637	11.48	01/08/22	30.00		-18.52	7 of 24 - Sale 25
	0.001283	1.53	01/11/22	4.00		-2.47	8 of 24 - Sale 25
	0.003527	4.20	01/21/22	10.00		-5.80	9 of 24 - Sale 25
	0.001794	2.14	01/21/22	5.01		-2.87	10 of 24 - Sale 25

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## **Proceeds from Broker and Barter Exchange Transactions**

2022 1099-B\* OMB No. 1545-0715

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## SHORT TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)

Report on Form 8949, Part I with Box B checked. Basis is NOT provided to the IRS. (Line 12)

"Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS.

1a- Description of property/	CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
Ethereum / CUSIP: ETHUSE	/ Symbol: (cont'd)						
	0.00356	4.24	02/04/22	10.01		-5.77	11 of 24 - Sale 25
	0.00178	2.12	02/04/22	5.00		-2.88	12 of 24 - Sale <sup>25</sup>
	0.001651	1.97	02/17/22	4.99		-3.02	13 of 24 - Sale <sup>25</sup>
	0.001915	2.28	02/21/22	5.00		-2.72	14 of 24 - Sale <sup>25</sup>
	0.001973	2.35	02/22/22	5.01		-2.66	15 of 24 - Sale <sup>25</sup>
	0.003467	4.13	03/03/22	10.01		-5.88	16 of 24 - Sale <sup>25</sup>
	0.003309	3.94	05/13/22	7.00		-3.06	17 of 24 - Sale <sup>25</sup>
	0.006216	7.40	06/13/22	8.24		-0.84	18 of 24 - Sale <sup>25</sup>
	0.000289	0.34	06/25/22	0.35		-0.01	19 of 24 - Sale <sup>25</sup>
0.01	97699999999999999	23.55	07/07/22	23.39		0.16	20 of 24 - Sale <sup>25</sup>
	0.002905	3.46	07/29/22	5.01		-1.55	21 of 24 - Sale <sup>25</sup>
	0.015583	18.56	08/17/22	29.61		-11.05	22 of 24 - Sale <sup>25</sup>
	0.000151	0.18	08/17/22	0.29		-0.11	23 of 24 - Sale <sup>25</sup>
	0.004115	4.90	12/27/22	5.00		-0.10	24 of 24 - Sale <sup>25</sup>
12/30/22	0.095996	114.33	Various	211.69		-97.36	Total of 24 transactions
Totals :		429.84		732.83		-302.99	

### LONG TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5) Report on Form 8949, Part II with Box E checked. Basis is NOT provided to the IRS. (Line 12)

"Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS.

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	Date	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	
tcoin / CUSIP: BTCL	JSD / Symbol:						
	18 transactions for 12/	30/22. Total proceeds a	are reported to	o the IRS.			
	0.00060961	10.04	04/23/21	30.01		-19.97	1 of 18 - Sale <sup>25</sup>
	0.00031075	5.12	06/08/21	10.00		-4.88	2 of 18 - Sale <sup>25</sup>
	0.00056009	9.23	06/12/21	20.00		-10.77	3 of 18 - Sale <sup>25</sup>
	0.00027144	4.47	06/18/21	10.00		-5.53	4 of 18 - Sale 25
		4.00	06/21/21	10.00		-5.02	5 of 18 - Sale <sup>25</sup>
	0.00030257	4.98	00/21/21	10.00			

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# Robinhood Markets Inc. as agent for Robinhood Crypto LLC

Account 147121396C

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## **Proceeds from Broker and Barter Exchange Transactions**

2022 1099-B\* OMB No. 1545-0715

## (continued)

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## LONG TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)

Report on Form 8949, Part II with Box E checked. Basis is NOT provided to the IRS. (Line 12)

"Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS.

1a- Description of prope	rty/CUSIP/Symbol						
1c- Date		1d- Proceeds &			Accrued mkt disc (D) &	Gain or loss(-) &	
sold or		6- Reported	Date	Cost or	Wash sale loss	7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	also not reported (Z)	Additional information
Bitcoin / CUSIP: BTCUSE	0 / Symbol: (cont'd)						
	0.00029203	4.81	06/28/21	10.01		-5.20	7 of 18 - Sale <sup>25</sup>
	0.00031471	5.18	07/15/21	10.01		-4.83	8 of 18 - Sale <sup>25</sup>
	0.00023787	3.92	09/21/21	9.99		-6.07	9 of 18 - Sale <sup>25</sup>
	0.00008231	1.36	11/13/21	5.29		-3.93	10 of 18 - Sale <sup>25</sup>
	0.00003594	0.59	12/08/21	1.77		-1.18	11 of 18 - Sale <sup>25</sup>
	0.00017049	2.81	12/09/21	8.50		-5.69	12 of 18 - Sale <sup>25</sup>
	0.00003366	0.55	12/09/21	1.63		-1.08	13 of 18 - Sale <sup>25</sup>
	0.0001008	1.66	12/10/21	4.99		-3.33	14 of 18 - Sale <sup>25</sup>
	0.00001212	0.20	12/10/21	0.61		-0.41	15 of 18 - Sale <sup>25</sup>
	0.00010657	1.76	12/14/21	5.00		-3.24	16 of 18 - Sale <sup>25</sup>
	0.00007217	1.19	12/16/21	3.52		-2.33	17 of 18 - Sale <sup>25</sup>
	0.00006814	1.12	12/18/21	3.12		-2.00	18 of 18 - Sale <sup>25</sup>
12/30/22	0.003876	63.83	Various	154.45		-90.62	Total of 18 transactions
Dogecoin / CUSIP: DOGE	EUSD / Symbol:						
	24 transactions for 12/	30/22. Total proceeds a	are reported to	o the IRS.			
294	4.25999999999999990905	19.92	07/17/21	50.10		-30.18	1 of 24 - Sale <sup>25</sup>
43	3.2299999999999996874	2.93	09/07/21	10.05		-7.12	2 of 24 - Sale <sup>25</sup>
44	4.060000000000002274	2.98	09/07/21	10.03		-7.05	3 of 24 - Sale <sup>25</sup>
41	1.119999999999997442	2.78	09/10/21	10.00		-7.22	4 of 24 - Sale <sup>25</sup>
15	5.8399999999999999858	1.07	10/21/21	4.00		-2.93	5 of 24 - Sale <sup>25</sup>
3	3.520000000000000018	0.24	10/21/21	0.89		-0.65	6 of 24 - Sale <sup>25</sup>
16	6.480000000000000426	1.12	10/25/21	4.41		-3.29	7 of 24 - Sale <sup>25</sup>
11	1.13000000000000782	0.75	10/28/21	3.33		-2.58	8 of 24 - Sale <sup>25</sup>
16	6.170000000000001705	1.09	11/06/21	4.24		-3.15	9 of 24 - Sale <sup>25</sup>
3	3.7299999999999999982	0.25	11/06/21	0.98		-0.73	10 of 24 - Sale <sup>25</sup>
3	3.28000000000000249	0.22	11/06/21	0.87		-0.65	11 of 24 - Sale <sup>25</sup>
11	1.2599999999999999787	0.76	11/15/21	2.91		-2.15	12 of 24 - Sale <sup>25</sup>
29	9.21000000000000853	1.98	11/17/21	6.90		-4.92	13 of 24 - Sale <sup>25</sup>
4	4.830000000000000071	0.33	11/21/21	1.12		-0.79	14 of 24 - Sale <sup>25</sup>
Ę	5.120000000000000107	0.35	11/25/21	1.15		-0.80	15 of 24 - Sale <sup>25</sup>
		1.37	12/09/21	3.48		-2.11	16 of 24 - Sale <sup>25</sup>
	20.250	1.37					
	20.250 7.38999999999999968	0.50	12/09/21	1.31		-0.81	17 of 24 - Sale <sup>25</sup>
				1.31 5.00		-0.81 -2.85	17 of 24 - Sale <sup>25</sup> 18 of 24 - Sale <sup>25</sup>

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# Robinhood Markets Inc. as agent for Robinhood Crypto LLC

Account 147121396C

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## **Proceeds from Broker and Barter Exchange Transactions**

2022 1099-B\* OMB No. 1545-0715

## (continued)

02/15/2023

## LONG TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
Dogecoin / CUSIP	: DOGEUSD / Symbol: (cont'd)						
	5.9000000000000355	0.40	12/19/21	1.02		-0.62	20 of 24 - Sale <sup>25</sup>
	5.78000000000000249	0.39	12/19/21	1.00		-0.61	21 of 24 - Sale <sup>25</sup>
	5.839999999999999858	0.40	12/19/21	1.01		-0.61	22 of 24 - Sale 25
	5.719999999999999751	0.39	12/19/21	0.98		-0.59	23 of 24 - Sale 25
	5.719999999999999751	0.39	12/19/21	0.98		-0.59	24 of 24 - Sale <sup>25</sup>
12/30/22	636.910	43.12	Various	126.73		-83.61	Total of 24 transactions
Ethereum / CUSIP	P: ETHUSD / Symbol:						
	19 transactions for 12/	30/22. Total proceeds a	are reported to	o the IRS.			
	0.015389	18.33	08/17/21	50.00		-31.67	1 of 19 - Sale <sup>25</sup>
	0.003334	3.97	08/19/21	10.00		-6.03	2 of 19 - Sale <sup>25</sup>
	0.003007	3.58	09/10/21	10.01		-6.43	3 of 19 - Sale <sup>25</sup>
	0.00059	0.70	09/10/21	1.96		-1.26	4 of 19 - Sale <sup>25</sup>
	0.003448	4.11	09/21/21	10.00		-5.89	5 of 19 - Sale <sup>25</sup>
	0.002422	2.88	09/21/21	7.07		-4.19	6 of 19 - Sale <sup>25</sup>
	0.001054	1.26	09/21/21	3.07		-1.81	7 of 19 - Sale <sup>25</sup>
	0.000554	0.66	09/30/21	1.66		-1.00	8 of 19 - Sale <sup>25</sup>
	0.000329	0.39	09/30/21	0.99		-0.60	9 of 19 - Sale <sup>25</sup>
	0.000582	0.69	10/07/21	2.09		-1.40	10 of 19 - Sale <sup>25</sup>
	0.000658	0.78	10/08/21	2.39		-1.61	11 of 19 - Sale <sup>25</sup>
	0.001156	1.38	10/24/21	4.70		-3.32	12 of 19 - Sale <sup>25</sup>
	0.000841	1.00	11/08/21	4.00		-3.00	13 of 19 - Sale <sup>25</sup>
	0.000101	0.12	11/08/21	0.48		-0.36	14 of 19 - Sale <sup>25</sup>
	0.00133	1.58	11/26/21	5.50		-3.92	15 of 19 - Sale <sup>25</sup>
	0.001191	1.42	12/09/21	5.02		-3.60	16 of 19 - Sale <sup>25</sup>
	0.000946	1.13	12/09/21	3.99		-2.86	17 of 19 - Sale <sup>25</sup>
	0.000119	0.14	12/09/21	0.50		-0.36	18 of 19 - Sale <sup>25</sup>
	0.00106	1.26	12/14/21	4.01		-2.75	19 of 19 - Sale <sup>25</sup>
12/30/22	0.038111	45.38	Various	127.44		-82.06	Total of 19 transactions
Totals :		152.33		408.62		-256.29	

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# 2022 End Notes for Account 147121396C

25 Tax lot closed on a first in first out basis.

#### **Common Instructions for Recipient**

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

#### Keep tax documents for your records.

#### 1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or 0 (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in contract or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or nonSection 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the sexercise of a compensatory option premium. If the securities were acquired through the exercise of a compensatory option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

#### Instructions for Recipient

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank. Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.