Robinhood Markets Inc 85 Willow Road Menlo Park, CA 94025 650-940-2700



Santhosh Alladi 1928 Interface Ln 301 Charlotte, NC 28262

Master Account Number for Import: 135552552 **Document ID for Import:** 65CD4441J33

Enclosed is your 2022 Consolidated Tax Statement. 1099 Tax Forms for the following accounts are included in this package:

135552552 - Robinhood Securities LLC 135552552C - Robinhood Crypto LLC

New for 2022 Tax Year - Where applicable, 1099 Tax Forms for Robinhood Securities, Robinhood Crypto, and Robinhood Money are being consolidated into one package and reported under our parent entity, Robinhood Markets Inc. We have done this to ensure all forms that you need are provided at the same time in this consolidated package.

Important - Please utilize the Master Account Number and Document ID listed above if filing through tax service providers such as TurboTax or H&R Block. The Document ID is necessary in order to directly import your 1099 data into the tax software so that you do not need to manually enter each transaction. This year there is only one Master Account Number and Document ID that needs to be used to import all of your data across all accounts and 1099s (including crypto). Please note that tax software providers may have limits on the total number of transactions that can be directly imported using the Document ID

CSVs - In addition, a CSV file which includes the same information that is reported on your 1099 forms will be provided. The CSV is not a separate 1099. It is being provided as a supplement in a format that may be more helpful if you have a large number of transactions or if you are not using the Document ID to import all of your Securities, Crypto and Money activity. Tax software providers may have limits on the total number of transactions that can be uploaded and CSV files may not be compatible with tax service providers such as TurboTax or H&R Block.

Robinhood Retirements Accounts - If you had any reportable distributions or contributions within a Robinhood Retirement account, your 2022 tax forms 1099-R and/or 5498 will be delivered separately. Retirement accounts will be reported under Robinhood Securities LLC and will have their own unique Doc IDs for import to tax software providers such as TurboTax or H&R Block.



0.00

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Robinhood Markets Inc. as agent for

Tax Information Account 135552552

Robinhood Securities LLC	ACCOUNT 135552552	
85 Willow Road Menlo Park, CA 94025	Santhosh Alladi 1928 Interface Ln 301 Charlotte, NC 28262	
PAYER'S TIN: 46-4364776	RECIPIENT'S TIN: XXX-XX-9154	

11 - [] FATCA filing requirement (see instructions) **DIVIDENDS AND DISTRIBUTIONS** 2022 1099-DIV* OMB No. 1545-0110 1a- Total ordinary dividends (includes lines 1b, 5, 2e) 0.00 1b- Qualified dividends 0.00 2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f) 0.00 2b- Unrecaptured Section 1250 gain 0.00 2c- Section 1202 gain 0.00 2d- Collectibles (28%) gain 0.00 2e- Section 897 ordinary dividends 0.00 2f- Section 897 capital gain 0.00 3- Nondividend distributions 0.00 4- Federal income tax withheld 0.00 5- Section 199A dividends 0.00 6- Investment expenses 0.00 8- Foreign country or US possession: 7- Foreign tax paid: 0.00 9- Cash liquidation distributions 0.00 10- Noncash liquidation distributions 0.00 12- Exempt-interest dividends (includes line 13) 0.00 13- Specified private activity bond interest dividends (AMT) 0.00

Summary Information

13 - [] FATCA filing requirement (see instructions) **2022 1099-MISC*** OMB No. 1545-0115

2022 1099-B*

SECTION 1256 CONTRACTS	2022 1099-B*	OMB No. 1545-0715
8- Profit or (loss) realized in 2022 on close	ed contracts	0.00
9- Unrealized profit or (loss) on open contr	acts-12/31/2021	0.00
10- Unrealized profit or (loss) on open contr	acts-12/31/2022	0.00
11- Aggregate profit or (loss) on contracts		0.00
If applicable, proceeds from sale transac	ctions appear summarized b	elow and are

MISCELLANEOUS INFORMATION

8- Substitute payments in lieu of dividends or interest

4- Federal income tax withheld

SECTION 1256 CONTRACTS

2- Royalties

3- Other income

Statement Date: 02/13/2023

detailed in subsequent sections of this document. * This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	52,582.34	54,680.90	0.00	1,022.26	-1,076.30
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	52,582.34	54,680.90	0.00	1,022.26	-1,076.30
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	52,582.34	54,680.90	0.00	1,022.26	-1,076.30
Withholding		Amount				
Federal income	tax withheld	0.00				

Account 135552552

Robinhood Markets Inc. as agent for **Robinhood Securities LLC**

Summary Information

(continued) 02/13/2023

INTEREST INCOME

2022

2022 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.09
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
Tax-exempt obligations (covered lots)	0.00
Tax-exempt private activity obligations (AMT, covered lots)	0.00
14- Tax-exempt and tax credit bond CUSIP number	See detail
FATCA filing requirement []	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid Taxable accrued Treasury interest paid Tax-exempt accrued interest paid Tax-exempt accrued interest paid (AMT) Taxable accrued nonqualified interest paid Tax-exempt nonqualified interest Tax-exempt nonqualified interest Tax-exempt nonqualified interest (AMT) Interest shortfall on contingent payment debt Bond premium- Non Treasury obligations (noncovered lots) Bond premium- Tax-exempt obligations (noncovered lots)	0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.0
market diodourit (noncovorou loto)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	20.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Proceeds from Broker and Barter Exchange Transactions

20/42/2022

Account 135552552

2022 1099-B* OMB No. 1545-0715

02/13/2023

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12) "Gain or loss (-)" is NOT reported to the IRS.

1a- Description of prope	rty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 01/06/2023 CALL S	\$134.00 / CUSIP: / Symbol:						
	3 transactions for 12/3	0/22. Total proceeds a	and cost repor	ted to the IRS.			
12/30/22	2.000 1.000 1.000 4.000	121.99 60.99 61.00 243.98	12/29/22 12/29/22 12/29/22 Various	190.00 95.00 95.00 380.00	 	-68.01 -34.01 -34.00 -136.02	1 of 3 - Option sale to close-call ²⁵ 2 of 3 - Option sale to close-call ²⁵ 3 of 3 - Option sale to close-call ²⁵ Total of 3 transactions
01/12/22	\$175.00 / CUSIP: / Symbol: 3.000 \$180.00 / CUSIP: / Symbol:	989.98	01/11/22	606.00		383.98	Option sale to close-call ²⁵
	4 transactions for 01/1	1/22. Total proceeds a	and cost repor	ted to the IRS.			
	1.000 1.000	69.98 69.98	01/10/22 01/10/22	47.00 47.00		22.98 22.98	1 of 4 - Option sale to close-call ²⁵ 2 of 4 - Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

Account 135552552

2022 **1099-B*** OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of proper	rty/CUSIP/Symbol						
1c- Date		1d- Proceeds &			1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or		6- Reported	1b- Date	1e- Cost or	1g- Wash sale loss	7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	also not reported (Z)	Additional information
AAPL 01/21/2022 CALL \$	180.00 / CUSIP: / Symbol: (co	ont'd)					
	2.000	139.98	01/10/22	94.00	•••	45.98	3 of 4 - Option sale to close-call 25
	5.000	349.98	01/10/22	235.00	***	114.98	4 of 4 - Option sale to close-call ²⁵
01/11/22	9.000	629.92	Various	423.00		206.92	Total of 4 transactions
	2 transactions for 01/12						
	2.000	169.98	01/12/22	216.00	•••	-46.02	1 of 2 - Option sale to close-call 25
0.1.14.0.10.0	2.000	169.98	01/12/22	216.00	•••	-46.02	2 of 2 - Option sale to close-call 25
01/12/22	4.000	339.96	Various	432.00	•••	-92.04	Total of 2 transactions
	Security total:	969.88		855.00		114.88	
AAPL 01/21/2022 CALL \$	185.00 / CUSIP: / Symbol:						
	5 transactions for 01/12	2/22. Total proceeds a	and cost repo	orted to the IRS.			
	1.000	29.98	01/12/22	42.01		-12.03	1 of 5 - Option sale to close-call ²⁵
	2.000	59.98	01/12/22	72.00	•••	-12.02	2 of 5 - Option sale to close-call 25
	2.000	59.98	01/12/22	72.00	•••	-12.02	3 of 5 - Option sale to close-call 25
	1.000	29.98	01/12/22	36.00	•••	-6.02	4 of 5 - Option sale to close-call ²⁵
04/40/00	1.000	29.98	01/12/22	36.00	•••	-6.02	5 of 5 - Option sale to close-call ²⁵
01/12/22	7.000	209.90 89.98	Various	258.01	6.01 W	-48.11 -12.01	Total of 5 transactions
01/12/22	3.000	299.88	01/12/22	108.00 366.01	6.01 W		Option sale to close-call ²⁵
A A DI . 00/40/0000 O A I I . 0	Security total:	299.88		366.01	6.U1 VV	-60.12	
	172.50 / CUSIP: / Symbol:						
02/14/22	4.000	295.98	02/14/22	352.00		-56.02	Option sale to close-call ²⁵
AAPL 02/18/2022 CALL \$	175.00 / CUSIP: / Symbol:						
	2 transactions for 02/15	5/22. Total proceeds a	and cost repo	orted to the IRS.			
	3.000	101.98	02/15/22	111.00		-9.02	1 of 2 - Option sale to close-call ²⁵
	2.000	65.98	02/15/22	74.00	•••	-8.02	2 of 2 - Option sale to close-call ²⁵
02/15/22	5.000	167.96	Various	185.00	•••	-17.04	Total of 2 transactions
AAPL 02/18/2022 CALL \$	177.50 / CUSIP: / Symbol:						
02/11/22	2.000	107.98	02/10/22	250.00	***	-142.02	Option sale to close-call ²⁵
AAPL 02/18/2022 CALL \$	182.50 / CUSIP: / Symbol:						
02/11/22	1.000	9.98	02/10/22	32.00		-22.02	Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

Account 135552552

2022 1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of proper	ty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 02/25/2022 PUT \$1	52.50 / CUSIP: / Symbol:						
02/11/22	4.000	267.98	02/11/22	268.00		-0.02	Option sale to close-put 25
AAPL 03/04/2022 CALL \$	160.00 / CUSIP: / Symbol:						
	2 transactions for 02/25	5/22. Total proceeds	and cost repor	ted to the IRS.			
02/25/22	3. <i>000</i> 3. <i>000</i> 6.000	1,325.99 1,325.98 2,651.97	02/24/22 02/24/22 Various	810.00 777.00 1,587.00	 	<i>515</i> .99 <i>548</i> .98 1,064.97	1 of 2 - Option sale to close-call ²⁵ 2 of 2 - Option sale to close-call ²⁵ Total of 2 transactions
AAPL 03/04/2022 CALL \$	162.50 / CUSIP: / Symbol:						
	3 transactions for 02/28	3/22. Total proceeds	and cost repor	ted to the IRS.			
02/28/22	1.000 2.000 3.000 6.000	329.99 659.99 989.99 1,979.97	02/25/22 02/25/22 02/25/22 Various	296.00 576.00 888.00 1,760.00	 	33.99 83.99 101.99 219.97	1 of 3 - Option sale to close-call ²⁵ 2 of 3 - Option sale to close-call ²⁵ 3 of 3 - Option sale to close-call ²⁵ Total of 3 transactions
	167.50 / CUSIP: / Symbol:	1,070.07	Various	1,700.00	•••	210.07	rotal of o transactions
70 ti 2 00/11/2022 0/122 \$	2 transactions for 03/01	1/22 Total proceeds	and cost renor	ted to the IRS			
03/01/22	2.000 4.000 6.000	481.98 1,039.98 1,521.96	02/28/22 02/28/22 Various	368.00 736.00 1,104.00	 	113.98 303.98 417.96	1 of 2 - Option sale to close-call ²⁵ 2 of 2 - Option sale to close-call ²⁵ Total of 2 transactions
AAPL 03/18/2022 CALL \$	162.50 / CUSIP: / Symbol:						
03/10/22 AAPL 03/18/2022 CALL \$	3.000 165.00 / CUSIP: / Symbol:	677.98	03/09/22	750.00		-72.02	Option sale to close-call ²⁵
03/09/22 AAPL 03/18/2022 CALL \$	4.000 180.00 / CUSIP: / Symbol:	739.98	03/08/22	576.00		163.98	Option sale to close-call ²⁵
	2 transactions for 03/08	3/22. Total proceeds	and cost repor	ted to the IRS.			
03/08/22 AAPI 06/24/2022 CALL \$	1.000 1.000 2.000 144.00 / CUSIP: / Symbol:	5.99 5.99 11.98	03/04/22 03/04/22 Various	26.00 17.00 43.00	 	-20.01 -11.01 -31.02	1 of 2 - Option sale to close-call ²⁵ 2 of 2 - Option sale to close-call ²⁵ Total of 2 transactions
06/16/22	4.000	87.98	06/10/22	1,000.00		-912.02	Option sale to close-call ²⁵
00, 10,22	4.000	07.00	33/10/22	1,000.00	····	012.02	Space date to diode date

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Proceeds from Broker and Barter Exchange Transactions

Account 135552552

2022 1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS 1a- Description of property/CUSIP/Symbol 1c- Date 1d- Proceeds & 1f- Accrued mkt disc (D) & Gain or loss(-) & sold or 6- Reported 1e- Cost or 1q- Wash sale loss 7- Loss not allowed (X) 1b- Date also not reported (Z) Additional information disposed Quantity (G)ross or (N)et acquired other basis disallowed (W) AAPL 06/24/2022 PUT \$115.00 / CUSIP: / Symbol: 06/17/22 3.000 06/16/22 -27.02 Option sale to close-put 25 53.98 81.00 AAPL 07/08/2022 CALL \$150.00 / CUSIP: / Symbol: 3 transactions for 06/28/22. Total proceeds and cost reported to the IRS. 3.000 116.98 06/27/22 183.00 1 of 3 - Option sale to close-call 25 -66.02 2 of 3 - Option sale to close-call 25 1.000 38 98 06/27/22 61.00 -22.02 1.000 39.98 06/27/22 61.00 -21.02 3 of 3 - Option sale to close-call 25 06/28/22 5.000 195.94 Various 305.00 -109.06 Total of 3 transactions AAPL 07/15/2022 CALL \$152.50 / CUSIP: / Symbol: 135.00 Option sale to close-call 25 07/07/22 5.000 164.98 07/06/22 29.98 AAPL 07/22/2022 CALL \$150.00 / CUSIP: / Symbol: 07/14/22 3.000 278.98 07/12/22 612.00 333.02 W 0.00 Option sale to close-call 25 07/15/22 3.000 Option sale to close-call 25 824.97 07/14/22 582.02 242.95 Security total: 1,103.95 1,194.02 333.02 W 242.95 AAPL 07/29/2022 CALL \$157.50 / CUSIP: / Symbol: 07/15/22 5.000 764.97 07/15/22 830.00 -65.03Option sale to close-call ²⁵ AAPL 07/29/2022 CALL \$160.00 / CUSIP: / Symbol: 07/21/22 133.98 07/20/22 128.00 5.98 Option sale to close-call 25 AAPL 07/29/2022 CALL \$162.50 / CUSIP: / Symbol: 2 transactions for 07/26/22. Total proceeds and cost reported to the IRS. 5.000 149.98 07/22/22 550.00 -400.02 1 of 2 - Option sale to close-call 25 2.000 59.99 220.00 -160.01 2 of 2 - Option sale to close-call 25 07/22/22 Total of 2 transactions 07/26/22 7.000 209.97 Various 770.00 -560.03 AAPL 07/29/2022 CALL \$165.00 / CUSIP: / Symbol: 3 transactions for 07/29/22. Total proceeds and cost reported to the IRS. 5.000 44.98 07/27/22 130.00 -85.02 1 of 3 - Option sale to close-call 25 2 of 3 - Option sale to close-call 25 2.000 17.99 07/27/22 52.00 -34.01 1.000 9.00 07/27/22 26.00 -17.00 3 of 3 - Option sale to close-call 25 Total of 3 transactions 07/29/22 8.000 71.97 Various 208.00 -136.03

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Proceeds from Broker and Barter Exchange Transactions

Account 135552552

2022 **1099-B*** OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of pro	perty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 08/12/2022 CAL	L \$165.00 / CUSIP: / Symbol:						
08/03/22	4.000	747.97	08/01/22	612.00		135.97	Option sale to close-call ²⁵
AAPL 08/12/2022 CAL	L \$167.50 / CUSIP: / Symbol:						
	3 transactions for 08/0	03/22. Total proceeds a	and cost repor	ted to the IRS.			
08/03/22	1.000 1.000 5.000 7.000	119.98 122.00 609.97 851.95	08/03/22 08/03/22 08/03/22 Various	110.00 110.00 550.00 770.00	 	9.98 12.00 59.97 81.95	1 of 3 - Option sale to close-call ²⁵ 2 of 3 - Option sale to close-call ²⁵ 3 of 3 - Option sale to close-call ²⁵ Total of 3 transactions
AAPL 08/12/2022 CAL	L \$170.00 / CUSIP: / Symbol:						
	2 transactions for 08/0	04/22. Total proceeds a	and cost repor	ted to the IRS.			
08/04/22	5.000 1.000 6.000	399.98 79.98 479.96	08/03/22 08/03/22 Various	515.00 103.00 618.00	 	-115.02 -23.02 -138.04	1 of 2 - Option sale to close-call ²⁵ 2 of 2 - Option sale to close-call ²⁵ Total of 2 transactions
AAPL 11/25/2022 CAL	L \$155.00 / CUSIP: / Symbol:						
11/18/22	1.000 2.000 3.000	18/22. Total proceeds a 92.99 185.99 278.98 21/22. Total proceeds a	11/16/22 11/17/22 Various	74.00 146.00 220.00	 	18.99 39.99 58.98	1 of 2 - Option sale to close-call ²⁵ 2 of 2 - Option sale to close-call ²⁵ Total of 2 transactions
11/21/22	4.000 2.000 1.000 7.000	155.98 77.99 39.00 272.97	11/18/22 11/18/22 11/18/22 Various	364.00 182.00 75.00 621.00	 	-208.02 -104.01 -36.00 -348.03	1 of 3 - Option sale to close-call ²⁵ 2 of 3 - Option sale to close-call ²⁵ 3 of 3 - Option sale to close-call ²⁵ Total of 3 transactions
	Security total:	551.95	1 41.104.0	841.00		-289.05	. otal or o transactions
AAPL 12/02/2022 CAL	L \$157.50 / CUSIP: / Symbol:						
	·	28/22. Total proceeds a	and cost repor	ted to the IRS.			
	1.000 1.000 1.000 1.000	5.99 5.99 6.00 6.00	11/23/22 11/23/22 11/23/22 11/23/22	52.00 52.00 52.00 52.00	 	-46.01 -46.01 -46.00 -46.00	1 of 5 - Option sale to close-call ²⁵ 2 of 5 - Option sale to close-call ²⁵ 3 of 5 - Option sale to close-call ²⁵ 4 of 5 - Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

Account 135552552

2022 1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of propert	y/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 12/02/2022 CALL \$1	57.50 / CUSIP: / Symbol: (co	nt'd)					
11/28/22	1.000 5.000	6.00 29.98	11/23/22 Various	<i>52.00</i> 260.00	 	<i>-46.00</i> -230.02	5 of 5 - Option sale to close-call ²⁵ Total of 5 transactions
AAPL 12/16/2022 CALL \$1	44.00 / CUSIP: / Symbol:						
12/12/22	1.000	243.98	12/12/22	247.00		-3.02	Option sale to close-call ²⁵
AAPL 12/16/2022 CALL \$1	48.00 / CUSIP: / Symbol:						
12/12/22 12/12/22	1.000 2.000 Security total:	91.98 195.98 287.96	12/09/22 12/09/22	127.01 258.00 385.01	 31.01 W 31.01 W	-35.03 -31.01 -66.04	Option sale to close-call ²⁵ Option sale to close-call ²⁵
AAPL 12/16/2022 CALL \$1	•				• • • • • • • • • • • • • • • • • • • •		
12/06/22	2.000	133.98	12/05/22	166.00	•••	-32.02	Option sale to close-call ²⁵
AAPL 12/23/2022 CALL \$1	52.50 / CUSIP: / Symbol:						
	2 transactions for 12/15/	/22. Total proceeds a	and cost repor	ted to the IRS.			
12/15/22	2.000 1.000 3.000	25.99 12.99 38.98	12/14/22 12/14/22 Various	172.00 86.00 258.00	 	-146.01 -73.01 -219.02	1 of 2 - Option sale to close-call ²⁵ 2 of 2 - Option sale to close-call ²⁵ Total of 2 transactions
AAPL 12/30/2022 CALL \$1	34.00 / CUSIP: / Symbol:						
12/27/22	2.000	147.98	12/22/22	280.00		-132.02	Option sale to close-call ²⁵
AMZN 03/18/2022 CALL \$3	3,155.00 / CUSIP: / Symbol:						
03/11/22	1.000	1,259.98	03/10/22	670.00		589.98	Option sale to close-call ²⁵
AMZN 03/18/2022 CALL \$3	3,200.00 / CUSIP: / Symbol:						
03/11/22	1.000	899.98	03/10/22	770.00	•••	129.98	Option sale to close-call ²⁵
AMZN 06/24/2022 CALL \$1	112.00 / CUSIP: / Symbol:						
06/21/22	1.000	91.98	06/17/22	59.00		32.98	Option sale to close-call ²⁵
AMZN 07/08/2022 CALL \$1	114.25 / CUSIP: / Symbol:						
07/06/22	1.000	158.98	06/28/22	222.00		-63.02	Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

Account 135552552

2022 **1099-B*** OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMZN 07/22/2022 CALL S	\$117.00 / CUSIP: / Symbol:						
	3 transactions for 07/	14/22. Total proceeds a	and cost report	ted to the IRS.			
07/14/22	2.000 3.000 1.000 6.000	83.99 125.99 42.00 251.98	07/12/22 07/14/22 07/14/22 Various	188.00 207.00 69.00 464.00	 	-104.01 -81.01 -27.00 -212.02	1 of 3 - Option sale to close-call ²⁵ 2 of 3 - Option sale to close-call ²⁵ 3 of 3 - Option sale to close-call ²⁵ Total of 3 transactions
AMZN 07/29/2022 CALL S	\$118.00 / CUSIP: / Symbol:						
	•	18/22. Total proceeds a	and cost report	ted to the IRS.			
07/18/22	1.000 1.000 2.000	, 397.98 397.98 795.96	07/15/22 07/15/22 Various	305.00 305.00 610.00	 	92.98 92.98 185.96	1 of 2 - Option sale to close-call ²⁵ 2 of 2 - Option sale to close-call ²⁵ Total of 2 transactions
AMZN 07/29/2022 CALL S	\$119.00 / CUSIP: / Symbol:						
07/20/22	2.000	979.96	07/19/22	650.00		329.96	Option sale to close-call ²⁵
AMZN 07/29/2022 CALL S	\$127.00 / CUSIP: / Symbol:						
	2 transactions for 07/2	21/22. Total proceeds a	and cost report	ted to the IRS.			
07/21/22	1.000 1.000 2.000	304.98 304.99 609.97	07/20/22 07/20/22 Various	298.00 298.00 596.00	 	6.98 6.99 13.97	1 of 2 - Option sale to close-call ²⁵ 2 of 2 - Option sale to close-call ²⁵ Total of 2 transactions
AMZN 11/25/2022 CALL S	\$103.00 / CUSIP: / Symbol:						
11/18/22	1.000	30.98	11/17/22	49.00		-18.02	Option sale to close-call ²⁵
AMZN 12/09/2022 CALL S	\$101.00 / CUSIP: / Symbol:						
	3 transactions for 11/2	23/22. Total proceeds a	and cost report	ted to the IRS.			
11/23/22	1.000 1.000 1.000 3.000	92.98 92.98 92.98 278.94	11/22/22 11/22/22 11/22/22 Various	69.00 69.00 69.00 207.00	 	23.98 23.98 23.98 71.94	1 of 3 - Option sale to close-call ²⁵ 2 of 3 - Option sale to close-call ²⁵ 3 of 3 - Option sale to close-call ²⁵ Total of 3 transactions
	\$102.00 / CUSIP: / Symbol:						
11/29/22	1.000	38.98	11/28/22	56.00		-17.02	Option sale to close-call ²⁵

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Robinhood Markets Inc. as agent for **Robinhood Securities LLC**

Proceeds from Broker and Barter Exchange Transactions

Account 135552552

2022 **1099-B*** OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of proper	rty/CUSIP/Symbol						
1c- Date sold or		1d- Proceeds & 6- Reported	1b- Date	1e- Cost or	1f- Accrued mkt disc (D) & 1g- Wash sale loss	Gain or loss(-) & 7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	also not reported (Z)	Additional information
AMZN 12/09/2022 CALL \$	\$99.00 / CUSIP: / Symbol:						
12/06/22	1.000	5.98	12/05/22	18.00		-12.02	Option sale to close-call ²⁵
COST 03/04/2022 CALL \$	\$540.00 / CUSIP: / Symbol:						
	2 transactions for 03/04	/22. Total proceeds a	and cost repo	rted to the IRS.			
	1.000	4.98	03/03/22	755.00		-750.02	1 of 2 - Option sale to close-call 25
	1.000	4.98	03/03/22	755.00	•••	-750.02	2 of 2 - Option sale to close-call ²⁵
03/04/22	2.000	9.96	Various	1,510.00		-1,500.04	Total of 2 transactions
CRM 12/02/2022 CALL \$1	170.00 / CUSIP: / Symbol:						
11/29/22	2.000	143.98	11/28/22	198.00		-54.02	Option sale to close-call ²⁵
DIS 02/11/2022 PUT \$138	8.00 / CUSIP: / Symbol:						
	2 transactions for 02/09	1/22. Total proceeds a	and cost repo	rted to the IRS.			
	1.000	276.99	02/08/22	333.00		-56.01	1 of 2 - Option sale to close-put 25
	1.000	276.99	02/08/22	333.00		-56.01	2 of 2 - Option sale to close-put ²⁵
02/09/22	2.000	553.98	Various	666.00		-112.02	Total of 2 transactions
F 12/02/2022 CALL \$14.5	0 / CUSIP: / Symbol:						
	3 transactions for 11/21	/22. Total proceeds a	and cost repo	rted to the IRS.			
	2.000	23.99	11/18/22	48.00	···	-24.01	1 of 3 - Option sale to close-call ²⁵
	1.000	11.99	11/18/22	24.00		-12.01	2 of 3 - Option sale to close-call ²⁵
	1.000	12.00	11/18/22	24.00		-12.00	3 of 3 - Option sale to close-call ²⁵
11/21/22	4.000	47.98	Various	96.00		-48.02	Total of 3 transactions
F 12/16/2022 PUT \$13.00	/ CUSIP: / Symbol:						
	3 transactions for 12/16	7/22. Total proceeds a	and cost repo	rted to the IRS.			
	1.000	22.98	12/15/22	7.00		15.98	1 of 3 - Option sale to close-put ²⁵
	1.000	23.00	12/15/22	7.00	···	16.00	2 of 3 - Option sale to close-put 25
	5.000	114.98	12/15/22	35.00		79.98	3 of 3 - Option sale to close-put ²⁵
12/16/22	7.000	160.96	Various	49.00		111.96	Total of 3 transactions

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Proceeds from Broker and Barter Exchange Transactions

Account 135552552

2022 1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of property	/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
F 12/23/2022 PUT \$11.00 /	CUSIP: / Symbol:						
	2 transactions for 12/2	0/22. Total proceeds a	and cost repo	rted to the IRS.			
40/00/00	4.000 1.000	11.98 3.00	12/19/22 12/19/22	16.00 4.00	 	-4.02 -1.00	1 of 2 - Option sale to close-put ²⁵ 2 of 2 - Option sale to close-put ²⁵
12/20/22	5.000	14.98	Various	20.00		-5.02	Total of 2 transactions
FB 01/21/2022 CALL \$342.5	•						
01/12/22	2.000	355.98	01/12/22	620.00		-264.02	Option sale to close-call ²⁵
FB 02/04/2022 CALL \$347.5	50 / CUSIP: / Symbol:						
02/02/22 02/02/22	1.000 1.000	187.98 187.98	02/02/22 02/02/22	234.02 211.00	 23.02 W 23.02 W	-46.04 0.00 -46.04	Option sale to close-call ²⁵ Option sale to close-call ²⁵
ED 00/04/0000 04/4 00==	Security total:	375.96		445.02	23.U2 W	-46.04	
FB 02/04/2022 CALL \$355.0	•						
02/02/22	1.000	115.98	02/02/22	79.00		36.98	Option sale to close-call ²⁵
KO 02/18/2022 CALL \$61.00	0 / CUSIP: / Symbol:						
	2 transactions for 02/0	8/22. Total proceeds a	and cost repo	rted to the IRS.			
02/08/22	1.000 2.000 3.000	155.98 311.98 467.96	02/07/22 02/07/22 Various	97.00 194.00 291.00		<i>5</i> 8.98 <i>117</i> .98 176.96	1 of 2 - Option sale to close-call ²⁵ 2 of 2 - Option sale to close-call ²⁵ Total of 2 transactions
KO 02/18/2022 CALL \$62.50		407.30	various	291.00	•••	170.30	Total of 2 transactions
	•	74.00	00/07/00	40.00		24.00	Ontion colo to place call 25
02/08/22	1.000	74.98	02/07/22	40.00	•••	34.98	Option sale to close-call ²⁵
KO 07/29/2022 CALL \$62.50	•						
	4 transactions for 07/2	•	•				-
	4.000 4.000 1.000 1.000	299.98 299.98 74.98 74.98	07/20/22 07/20/22 07/20/22 07/20/22	404.00 404.00 101.00 101.00	 	-104.02 -104.02 -26.02 -26.02	1 of 4 - Option sale to close-call ²⁵ 2 of 4 - Option sale to close-call ²⁵ 3 of 4 - Option sale to close-call ²⁵ 4 of 4 - Option sale to close-call ²⁵
07/20/22	10.000	749.92	Various	1,010.00		-260.08	Total of 4 transactions

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Robinhood Markets Inc. as agent for **Robinhood Securities LLC**

Proceeds from Broker and Barter Exchange Transactions

Account 135552552

2022 **1099-B*** OMB No. 1545-0715

03/09/22

(continued)

02/13/2023

367.98

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12) "Gain or loss (-)" is NOT reported to the IRS

6.000

1.667.98

Various

1a- Description of property/CUSIP/Symbol 1c- Date 1d- Proceeds & 1f- Accrued mkt disc (D) & Gain or loss(-) & sold or 6- Reported 1e- Cost or 1q- Wash sale loss 7- Loss not allowed (X) 1b- Date also not reported (Z) Additional information disposed Quantity (G)ross or (N)et acquired other basis disallowed (W) MRO 12/16/2022 CALL \$29.50 / CUSIP: / Symbol: 3 transactions for 12/09/22. Total proceeds and cost reported to the IRS. 1.000 9.98 12/08/22 27.00 -17.021 of 3 - Option sale to close-call 25 1.000 9.98 12/08/22 27.00 -17.02 2 of 3 - Option sale to close-call 25 1.000 9.98 12/08/22 27.00 -17.02 3 of 3 - Option sale to close-call 25 Total of 3 transactions 12/09/22 3.000 29.94 81.00 -51.06 Various MSFT 02/11/2022 CALL \$320.00 / CUSIP: / Symbol: 2 transactions for 02/07/22. Total proceeds and cost reported to the IRS. 140.99 3.000 02/03/22 567.00 -426.011 of 2 - Option sale to close-call 25 4.000 187.99 02/04/22 308.00 -120.01 2 of 2 - Option sale to close-call 25 Total of 2 transactions 02/07/22 7.000 328.98 Various 875.00 -546.02 MSFT 03/04/2022 CALL \$295.00 / CUSIP: / Symbol: 2 transactions for 02/24/22. Total proceeds and cost reported to the IRS. 3.000 899.98 02/24/22 1 of 2 - Option sale to close-call 25 720.00 179.98 2.000 548.00 2 of 2 - Option sale to close-call 25 799.98 02/24/22 251.98 02/24/22 1.699.96 Total of 2 transactions 5.000 Various 1.268.00 431.96 MSFT 03/04/2022 CALL \$300.00 / CUSIP: / Symbol: 03/01/22 4.000 1.047.98 02/28/22 908 00 139.98 Option sale to close-call 25 MSFT 03/11/2022 CALL \$302.50 / CUSIP: / Symbol: 2 transactions for 03/03/22. Total proceeds and cost reported to the IRS. 1 of 2 - Option sale to close-call 25 3.000 1,529.98 03/01/22 1,365.00 164.98 2.000 03/02/22 2 of 2 - Option sale to close-call 25 1.019.99 650.00 369.99 2,549.97 534.97 Total of 2 transactions 03/03/22 5.000 Various 2,015.00 MSFT 03/18/2022 CALL \$292.50 / CUSIP: / Symbol: 2 transactions for 03/09/22. Total proceeds and cost reported to the IRS. 2.000 555.99 03/08/22 392.00 163.99 1 of 2 - Option sale to close-call 25 4.000 1.111.99 03/08/22 908.00 203.99 2 of 2 - Option sale to close-call 25 Total of 2 transactions

1.300.00

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Proceeds from Broker and Barter Exchange Transactions

Account 135552552

2022 **1099-B*** OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of prope	erty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MSFT 03/18/2022 CALL	\$295.00 / CUSIP: / Symbol:						
	2 transactions for 03/1	0/22. Total proceeds a	and cost repo	ted to the IRS.			
03/10/22	5.000 1.000 6.000	<i>874.98</i> <i>175.00</i> 1,049.98	03/09/22 03/09/22 Various	1,125.00 175.00 1,300.00	 	-250.02 0.00 -250.02	1 of 2 - Option sale to close-call ²⁵ 2 of 2 - Option sale to close-call ²⁵ Total of 2 transactions
MSFT 11/25/2022 CALL	\$245.00 / CUSIP: / Symbol:						
	2 transactions for 11/1	8/22. Total proceeds a	and cost repo	ted to the IRS.			
11/18/22 11/18/22	1.000 1.000 2.000 2.000 Security total:	151.98 151.98 303.96 303.98 607.94	11/17/22 11/18/22 Various 11/17/22	239.00 289.01 528.01 478.00 1,006.01	 87.01 W 87.01 W	-87.02 -137.03 -224.05 -87.01 -311.06	1 of 2 - Option sale to close-call ²⁵ 2 of 2 - Option sale to close-call ²⁵ Total of 2 transactions Option sale to close-call ²⁵
MSFT 11/25/2022 CALL	\$250.00 / CUSIP: / Symbol:						
11/22/22	1.000 2 transactions for 11/2	22.98 22/22. Total proceeds a	11/21/22 and cost repor	227.04 ted to the IRS.		-204.06	Option sale to close-call ²⁵
11/22/22	1.000 1.000 2.000 Security total:	22.98 22.98 45.96 68.94	11/21/22 11/21/22 Various	91.00 159.02 250.02 477.06	68.02 W 136.04 W 204.06 W 204.06 W	0.00 0.00 0.00 - 204.06	1 of 2 - Option sale to close-call ²⁵ 2 of 2 - Option sale to close-call ²⁵ Total of 2 transactions
MSFT 12/02/2022 CALL	\$257.50 / CUSIP: / Symbol:						
11/28/22	1.000	10.98	11/28/22	28.00		-17.02	Option sale to close-call ²⁵
MSFT 12/09/2022 CALL	\$252.50 / CUSIP: / Symbol:						
	2 transactions for 11/3	30/22. Total proceeds a	and cost repoi	ted to the IRS.			
11/30/22	1.000 1.000 2.000 2 transactions for 12/0	529.97 529.97 1,059.94 08/22. Total proceeds a	11/30/22 11/30/22 Various and cost repor	103.00 103.00 206.00 ted to the IRS.	 	426.97 426.97 853.94	1 of 2 - Option sale to close-call ²⁵ 2 of 2 - Option sale to close-call ²⁵ Total of 2 transactions
12/08/22	1.000 3.000 4.000	28.00 83.98 111.98	12/07/22 12/07/22 Various	61.00 102.00 163.00	 	-33.00 -18.02 -51.02	1 of 2 - Option sale to close-call ²⁵ 2 of 2 - Option sale to close-call ²⁵ Total of 2 transactions

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Proceeds from Broker and Barter Exchange Transactions

Account 135552552

2022 1099-B* OMB No. 1545-0715

1a- Description of property/CUSIP/Symbol

12/12/22

(continued)

02/13/2023

113.98

113.98

153.98

381.94

1 of 3 - Option sale to close-call ²⁵ 2 of 3 - Option sale to close-call ²⁵

3 of 3 - Option sale to close-call 25

Total of 3 transactions

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12) "Gain or loss (-)" is NOT reported to the IRS.

1.000

1.000

1.000

3.000

MSFT 12/16/2022 CALL \$267.50 / CUSIP: / Symbol:

1c- Date 1d- Proceeds & 1f- Accrued mkt disc (D) & Gain or loss(-) & sold or 6- Reported 1e- Cost or 1q-Wash sale loss 7- Loss not allowed (X) 1b- Date also not reported (Z) Additional information disposed Quantity (G)ross or (N)et acquired other basis disallowed (W) MSFT 12/09/2022 CALL \$252.50 / CUSIP: / Symbol: (cont'd) 1.171.92 369.00 802.92 Security total: MSFT 12/09/2022 CALL \$255.00 / CUSIP: / Symbol: 3 transactions for 11/28/22. Total proceeds and cost reported to the IRS. 82.99 11/28/22 150.00 1 of 3 - Option sale to close-call 25 1.000 -67.01 82.99 2 of 3 - Option sale to close-call 25 1.000 11/28/22 150.00 -67.01 1.000 83.00 11/28/22 150.00 -67.00 3 of 3 - Option sale to close-call 25 11/28/22 3.000 248.98 450.00 -201.02 Total of 3 transactions Various MSFT 12/09/2022 CALL \$257.50 / CUSIP: / Symbol: 2 transactions for 12/07/22. Total proceeds and cost reported to the IRS. 1 of 2 - Option sale to close-call 25 2 000 27.99 12/06/22 178.00 -150.01 2 of 2 - Option sale to close-call 25 3.000 41.99 12/06/22 81.00 -39.01 Total of 2 transactions 12/07/22 5.000 69.98 Various 259.00 -189.02 MSFT 12/16/2022 CALL \$257.50 / CUSIP: / Symbol:

3 transactions for 12/12/22. Total proceeds and cost reported to the IRS.

255.98

255.98

255.98

767.94

12/09/22

12/09/22

12/09/22

Various

12/08/22 12/08/22	1.000 1.000 Security total:	38.98 38.98 77.96	12/08/22 12/08/22	57.02 48.00 105.02	9.02 W 9.02 W	-18.04 0.00 -18.04	Option sale to close-call ²⁵ Option sale to close-call ²⁵
MSFT 12/16/2022 CALL \$	\$270.00 / CUSIP: / Symbol:						
12/01/22	5.000	419.98	11/30/22	505.00	85.02 W	0.00	Option sale to close-call ²⁵
	3 transactions for 12/05/	22. Total proceeds	and cost reported	I to the IRS.			
	4.000	203.98	12/02/22	304.02		-100.04	1 of 3 - Option sale to close-call 25
	1.000	50.98	12/02/22	76.00		-25.02	2 of 3 - Option sale to close-call ²⁵
	1.000	51.00	12/02/22	59.00		-8.00	3 of 3 - Option sale to close-call 25
12/05/22	6.000	305.96	Various	439.02		-133.06	Total of 3 transactions

142.00

142.00

102.00

386.00

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NFLX 01/06/2023 CALL \$310.00 / CUSIP: / Symbol:

3.000

425.98

12/28/22

12/29/22

Proceeds from Broker and Barter Exchange Transactions

Account 135552552

2022 1099-B* OMB No. 1545-0715

(continued)

02/13/2023

164.98

Option sale to close-call 25

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12) "Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol 1c- Date 1d- Proceeds & 1f- Accrued mkt disc (D) & Gain or loss(-) & sold or 6- Reported 1e- Cost or 1q-Wash sale loss 7- Loss not allowed (X) 1b- Date also not reported (Z) Additional information disposed Quantity (G)ross or (N)et acquired other basis disallowed (W) MSFT 12/16/2022 CALL \$270.00 / CUSIP: / Symbol: (cont'd) 725.94 944.02 85.02 W -133.06 Security total: MSFT 12/23/2022 CALL \$255.00 / CUSIP: / Symbol: 12/22/22 1.000 0.98 12/20/22 13.00 -12.02Option sale to close-call 25 MSFT 12/23/2022 CALL \$260.00 / CUSIP: / Symbol: 12/13/22 4.000 2.599.93 12/12/22 1.032.00 1.567.93 Option sale to close-call 25 MSFT 12/23/2022 CALL \$262.50 / CUSIP: / Symbol: Option sale to close-call 25 12/19/22 3.000 20.98 12/16/22 162.00 -141.02 MSFT 12/23/2022 CALL \$270.00 / CUSIP: / Symbol: 12/13/22 12/13/22 333.04 Option sale to close-call 25 146.98 -186.06 1.000 2 transactions for 12/13/22. Total proceeds and cost reported to the IRS. 1.000 146.98 12/13/22 209.00 62.02 W 0.00 1 of 2 - Option sale to close-call 25 2 of 2 - Option sale to close-call 25 1.000 146.98 12/13/22 271.02 124.04 W 0.00 12/13/22 2.000 293.96 Various 480.02 186.06 W 0.00 Total of 2 transactions Security total: 440.94 813.06 186.06 W -186.06 MSFT 12/30/2022 CALL \$245.00 / CUSIP: / Symbol: 3 transactions for 12/28/22. Total proceeds and cost reported to the IRS. 3.000 119.99 12/22/22 288.00 -168.01 1 of 3 - Option sale to close-call 25 1.000 39.99 12/27/22 2 of 3 - Option sale to close-call 25 140.02 -100.03 1.000 40.00 12/27/22 84.00 -44.00 3 of 3 - Option sale to close-call 25 12/28/22 5.000 199.98 -312.04 Total of 3 transactions Various 512.02 12/28/22 1.000 39.98 12/22/22 96.00 56.02 W 0.00 Option sale to close-call ²⁵ Security total: 239.96 608.02 56.02 W -312.04

261.00

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Proceeds from Broker and Barter Exchange Transactions

Account 135552552

1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of property/CUSIP/Syn	nbol						
1c- Date sold or disposed Q	uantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NFLX 12/09/2022 CALL \$312.50 / CUSI	P: / Symbol:						
3 trans	actions for 11/30/2	2. Total proceeds a	and cost repo	rted to the IRS.			
11/30/22	1.000 1.000 1.000 3.000	68.99 68.99 69.00 206.98	11/29/22 11/29/22 11/29/22 Various	48.00 48.00 48.00 144.00	 	20.99 20.99 21.00 62.98	1 of 3 - Option sale to close-call ²⁵ 2 of 3 - Option sale to close-call ²⁵ 3 of 3 - Option sale to close-call ²⁵ Total of 3 transactions
NKE 07/29/2022 CALL \$110.00 / CUSIF	: / Symbol:						
07/19/22 NVDA 02/11/2022 CALL \$270.00 / CUS	8.000 IP: / Symbol:	639.96	07/18/22	832.00		-192.04	Option sale to close-call ²⁵
·	,	22. Total proceeds a	and cost repoi	rted to the IRS.			
02/03/22	1.000 2.000 3.000	248.99 497.99 746.98	02/02/22 02/02/22 Various	253.00 436.00 689.00		-4.01 61.99 57.98	1 of 2 - Option sale to close-call ²⁵ 2 of 2 - Option sale to close-call ²⁵ Total of 2 transactions
PLUG 03/04/2022 CALL \$26.50 / CUSIF			7 01.1000	333.33		000	
03/02/22	1.000	18.98	03/01/22	82.00	•••	-63.02	Option sale to close-call ²⁵
PTON 02/18/2022 CALL \$45.00 / CUSIF	P: / Symbol:						·
3 trans	actions for 02/08/2	2. Total proceeds a	and cost repoi	rted to the IRS.			
02/08/22	2.000 2.000 2.000 6.000	67.99 67.99 68.00 203.98	02/07/22 02/07/22 02/07/22 Various	116.00 112.00 112.00 340.00	 	-48.01 -44.01 -44.00 -136.02	1 of 3 - Option sale to close-call ²⁵ 2 of 3 - Option sale to close-call ²⁵ 3 of 3 - Option sale to close-call ²⁵ Total of 3 transactions
QCOM 02/04/2022 CALL \$210.00 / CUS	SIP: / Symbol:						
2 trans	actions for 02/02/2	2. Total proceeds a	and cost repo	rted to the IRS.			
02/02/22 SNOW 03/04/2022 CALL \$277.50 / CUS	1.000 3.000 4.000 SIP: / Symbol:	149.00 446.98 595.98	02/02/22 02/02/22 Various	117.00 369.00 486.00	 	32.00 77.98 109.98	1 of 2 - Option sale to close-call ²⁵ 2 of 2 - Option sale to close-call ²⁵ Total of 2 transactions
03/02/22	1.000	748.98	03/01/22	1,130.00		-381.02	Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

Account 135552552

2022 1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of property/CUSIP/Sy	mbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 02/11/2022 PUT \$285.00 / CUSIF	: / Symbol:		-				
02/11/22	1.000	0.00	02/10/22	1.00	•••	-1.00	Option expiration- long put
TSLA 01/14/2022 CALL \$1,140.00 / CU	JSIP: / Symbol:						
01/14/22	1.000	0.00	01/13/22	350.00		-350.00	Option expiration- long call
TSLA 02/11/2022 CALL \$965.00 / CUS	IP: / Symbol:						
02/10/22	1.000	244.98	02/09/22	600.00		-355.02	Option sale to close-call ²⁵
TSLA 02/18/2022 CALL \$950.00 / CUS	IP: / Symbol:						
02/15/22	1.000	549.98	02/14/22	293.00		256.98	Option sale to close-call ²⁵
TSLA 02/18/2022 CALL \$965.00 / CUS	IP: / Symbol:						
02/14/22	1.000	363.98	02/11/22	256.00		107.98	Option sale to close-call ²⁵
TSLA 02/25/2022 PUT \$680.00 / CUSI	,						
02/11/22	1.000	265.98	02/11/22	120.00		145.98	Option sale to close-put ²⁵
TSLA 03/04/2022 CALL \$825.00 / CUS	•						
02/24/22	1.000	1,264.98	02/24/22	1,215.00		49.98	Option sale to close-call ²⁵
TSLA 03/11/2022 CALL \$910.00 / CUS	,						
02/25/22	1.000	569.98	02/25/22	770.00		-200.02	Option sale to close-call ²⁵
TSLA 03/11/2022 PUT \$815.00 / CUSI	,						
03/10/22	1.000	669.98	03/10/22	800.00		-130.02	Option sale to close-put ²⁵
TSLA 03/18/2022 CALL \$900.00 / CUS	•						
03/10/22	1.000	854.98	03/10/22	1,005.00		-150.02	Option sale to close-call ²⁵
TSLA 07/01/2022 CALL \$840.00 / CUS	,						
06/27/22	1.000	329.98	06/23/22	87.00		242.98	Option sale to close-call ²⁵
TSLA 07/22/2022 CALL \$795.00 / CUS	,						
07/15/22	1.000	644.97	07/15/22	720.00		-75.03	Option sale to close-call ²⁵

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WFC 12/16/2022 CALL \$45.00 / CUSIP: / Symbol:

WFC 12/16/2022 CALL \$46.50 / CUSIP: / Symbol:

1.000

1.000

13.98

5.98

12/08/22

12/07/22

12/09/22

12/08/22

Proceeds from Broker and Barter Exchange Transactions

Account 135552552

2022 1099-B* OMB No. 1545-0715

(continued)

02/13/2023

-6.02

-4.02

Option sale to close-call ²⁵

Option sale to close-call ²⁵

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12) "Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol 1c- Date 1d- Proceeds & 1f- Accrued mkt disc (D) & Gain or loss(-) & sold or 6- Reported 1e- Cost or 1q-Wash sale loss 7- Loss not allowed (X) 1b- Date also not reported (Z) Additional information disposed Quantity (G)ross or (N)et acquired other basis disallowed (W) TSLA 12/09/2022 CALL \$207.50 / CUSIP: / Symbol: 2 transactions for 12/02/22. Total proceeds and cost reported to the IRS. 1 of 2 - Option sale to close-call 25 1.000 165.99 12/01/22 191.00 -25.011.000 165.99 12/01/22 191.00 -25.01 2 of 2 - Option sale to close-call 25 12/02/22 2.000 331.98 Various 382.00 -50.02 Total of 2 transactions TSLA 12/23/2022 CALL \$182.50 / CUSIP: / Symbol: Option sale to close-call ²⁵ 12/14/22 257.98 12/13/22 502.00 -244.02 TSLA 12/23/2022 CALL \$200.00 / CUSIP: / Symbol: 12/12/22 237.98 12/12/22 262.00 -24.02 Option sale to close-call ²⁵ 2.000 V 08/05/2022 CALL \$215.00 / CUSIP: / Symbol: 21.98 08/02/22 26.01 -4.03 Option sale to close-call 25 08/03/22 1.000 08/03/22 2 000 43.98 08/02/22 48.00 2.01 W -2.01 Option sale to close-call ²⁵ 65.96 74.01 2.01 W -6.04Security total: WFC 07/15/2022 CALL \$44.50 / CUSIP: / Symbol: 2 transactions for 07/07/22. Total proceeds and cost reported to the IRS. 18.000 125.95 07/07/22 1 of 2 - Option sale to close-call 25 180.00 -54.05 1.000 7.00 07/07/22 10.00 -3.00 2 of 2 - Option sale to close-call 25 07/07/22 Total of 2 transactions 19.000 132.95 Various 190.00 -57.05 WFC 11/25/2022 CALL \$47.50 / CUSIP: / Symbol: Option sale to close-call ²⁵ 11/17/22 1.000 13.98 11/16/22 31.00 -17.02

20.00

10.00

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Robinhood Markets Inc. as agent for **Robinhood Securities LLC**

Proceeds from Broker and Barter Exchange Transactions

Account 135552552

2022 **1099-B*** OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADVANCED MICRO [DEVICES, INC. C OMMON STO	CK / CUSIP: 00790310	7 / Symbol:				
	2 transactions for 02/	25/22. Total proceeds a	and cost report	ed to the IRS.			
02/25/22	6.995 0.782 7.777	826.06 92.36 918.42	02/24/22 02/24/22 Various	827.54 92.46 920.00	 	-1.48 -0.10 -1.58	1 of 2 - Sale ²⁵ 2 of 2 - Sale ²⁵ Total of 2 transactions
AMAZON.COM, INC.	COMMON STOCK / CUSIP: 023	3135106 / Symbol:					
	2 transactions for 08/	02/22. Total proceeds a	and cost report	ted to the IRS.			
08/02/22	0.046 0.612 0.658	6.16 82.54 88.70	07/25/22 08/01/22 Various	5.58 83.00 88.58	 	<i>0.58</i> - <i>0.46</i> 0.12	1 of 2 - Sale ²⁵ 2 of 2 - Sale ²⁵ Total of 2 transactions
HEXO CORP. COMM	ON SHARES / CUSIP: 42830430	07 / Symbol:					
02/01/22 02/24/22	13.000 26.000 Security total :	8.00 14.52 22.52	01/19/22 02/15/22	7.49 16.57 24.06	 	0.51 -2.05 -1.54	Sale ²⁵ Sale ²⁵
Totals :		52,582.34		54,680.90	1,022.26 W	-1,076.30	

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Robinhood Markets Inc. as agent for **Robinhood Securities LLC**

Detail for Interest Income

Account 135552552

2022 02/13/2023

This section of your tax information statement contains the payment level detail of taxable interest and associated bond premium. Market discount will be shown here only if you have elected to recognize it currently rather than at the time of sale or maturity. Bond premium and market discount for covered tax lots are totaled on Form 1099-INT and reported to the IRS. For noncovered tax lots, they are totaled and presented beneath the 1099-INT for informational purposes and are not reported to the IRS.

To provide a complete picture of activity for each investment, we also include here nonreportable transactions such as accrued interest paid on purchases and payment or receipt of nonqualified interest. Other amounts, such as federal, state and foreign tax withheld and investment expenses are shown as negative amounts but do not net against the reportable income totals.

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
Interest payment		07/29/22	0.06	Interest	
		11/30/22	0.03	Interest	
		_	0.09	Total Interest	
		_	0.09	Total Interest	

Robinhood Markets Inc. as agent for		Account	135552552
Robinhood Securities LLC	Fees and Expenses		
2022		02/13/202	23

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
Margin charge		01/12/22	-5.00	Margin interest paid	
		02/07/22	-5.00	Margin interest paid	
		02/23/22	-5.00	Margin interest paid	
		08/01/22	-5.00	Margin interest paid	
			-20.00	Total Margin interest paid	
		_			
			-20.00	Total Margin interest paid	

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Robinhood Markets Inc. as agent for Robinhood Securities LLC

2022 End Notes for Account 135552552

25 Tax lot closed on a first in first out basis.

Common Instructions for Recipient

Recipient's taxpaver identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS

Account number. May show an account or other unique number the payer assigned to distinguish your account

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filling requirement. If the FATCA filling requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.

1099-INT Instructions for Recipient

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you

Line 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2022 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

Line 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the

Line 3. Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium.

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Line 5. Any amount shown is your share of investment expenses of a singleclass REMIC. This amount is included in line 1. Note: This amount is not deductible. Line 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

Line 7. Shows the country or U.S. possession to which the foreign tax was paid. Line 8. Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Forms 1040 and 1040-SR. This amount may be subject to backup withholding. See Line 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in line 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 10. For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your

Instructions for Recipient

payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5). shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includible in taxable income as interest income.

Line 11. For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4). Line 12. For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 3. If the amount in line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

Line 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this line for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in line 8 or 9, whichever is applicable. If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

Line 14. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s)

Lines 15-17. State tax withheld reporting lines.

Future developments. For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099INT.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or nonSection 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank. Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014. options granted or acquired before 2014, and securities futures contracts entered into

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

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Instructions for Recipient

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

2022 1099-MISC* OMB No. 1545-0115

0.00

0.00

0.00

Robinhood Markets Inc. as agent for **Robinhood Crypto LLC**

85 Willow Rd

Menlo Park, CA 94025

Customer Service: 650-940-2700

Tax Information Account 135552552C

Santhosh Alladi 1928 Interface Ln 301 Charlotte. NC 28262

RECIPIENT'S TIN: XXX-XX-9154

PAYER'S TIN: 46-4364776 **Summary Information** 11 - [] FATCA filing requirement (see instructions)

13 - [] FATCA filing requirement (see instructions)

MISCELLANEOUS INFORMATION

4- Federal income tax withheld

2- Royalties

3- Other income

Statement Date: 02/13/2023

			_
DIVIDENDS AND DISTRIBUTIONS 20)22 1099-DIV*	OMB No. 1545-0110	
1a- Total ordinary dividends (includes lines 1b, 5, 2e))	0.00	
1b- Qualified dividends		0.00	
2a- Total capital gain distributions (includes lines 2b,	2c, 2d, 2f)	0.00	
2b- Unrecaptured Section 1250 gain	,	0.00	
2c- Section 1202 gain		0.00	
2d- Collectibles (28%) gain		0.00	
2e- Section 897 ordinary dividends		0.00	-
2f- Section 897 capital gain		0.00	
3- Nondividend distributions		0.00	
4- Federal income tax withheld		0.00	
5- Section 199A dividends		0.00	
6- Investment expenses		0.00	
8- Foreign country or US possession: 7- Foreign	ın tax paid:	0.00	
9- Cash liquidation distributions		0.00	* TL:- :-
10- Noncash liquidation distributions		0.00	* This is
12- Exempt-interest dividends (includes line 13)		0.00	If you ar
13- Specified private activity bond interest dividends	(AMT)	0.00	you if th

⁸⁻ Substitute payments in lieu of dividends or interest 0.00 **SECTION 1256 CONTRACTS** 2022 1099-B* OMB No. 1545-0715 8- Profit or (loss) realized in 2022 on closed contracts 0.00 9- Unrealized profit or (loss) on open contracts-12/31/2021 0.00 10- Unrealized profit or (loss) on open contracts-12/31/2022 0.00 11- Aggregate profit or (loss) on contracts 0.00

If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.

SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	B (basis not reported to the IRS)	617.38	642.79	0.00	0.00	-25.41
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	617.38	642.79	0.00	0.00	-25.41
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	617.38	642.79	0.00	0.00	-25.41
Withholding		Amount				
Federal income	tax withheld	0.00				

s important tax information and is being furnished to the Internal Revenue Service. are required to file a return, a negligence penalty or other sanction may be imposed on his income is taxable and the IRS determines that it has not been reported.

Account 135552552C

Robinhood Markets Inc. as agent for **Robinhood Crypto LLC**

Summary Information

2022 (continued) 02/13/2023

INTEREST INCOME

2022 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
Tax-exempt obligations (covered lots)	0.00
Tax-exempt private activity obligations (AMT, covered lots)	0.00
14- Tax-exempt and tax credit bond CUSIP number	
FATCA filing requirement []	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid Taxable accrued Treasury interest paid Tax-exempt accrued interest paid Tax-exempt accrued interest paid (AMT)	0.00 0.00 0.00 0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

Other Descipts & Descriptions Destroyable distributions

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partners	hip distributions (0.00
Other Receipts & Reconciliations- Foreign t	tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of	of principal (0.00
Other Receipts & Reconciliations- Deferred	l income payment	0.00
Other Receipts & Reconciliations- Deemed	premium	0.00
Other Receipts & Reconciliations- Income a	accrual- UIT	0.00
Other Receipts & Reconciliations- Basis ad	justments	0.00
Other Receipts & Reconciliations- Foreign t	tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	(0.00
Fees & Expenses- Dividends paid on short		0.00
Fees & Expenses- Interest paid on short po	osition	0.00
Fees & Expenses- Non reportable distributi	on expense	0.00
Fees & Expenses- Other expenses	(0.00
Fees & Expenses- Severance tax		0.00
Fees & Expenses- Organizational expense		0.00
Fees & Expenses- Miscellaneous fees		0.00
Fees & Expenses- Tax-exempt investment		0.00
Foreign Exchange Gains & Losses- Foreigr	n currency gain/loss (0.00

Robinhood Markets Inc. as agent for **Robinhood Crypto LLC**

Proceeds from Broker and Barter Exchange Transactions

02/13/2023

Account 135552552C

2022 1099-B* OMB No. 1545-0715

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - IXI Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)

Report on Form 8949. Part I with Box B checked. Basis is NOT provided to the IRS. (Line 12)

"Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS.

a- Description	of property/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et		Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
Dogecoin / CUSI	P: DOGEUSD / Symbol:						
	2 transactions for 06/23	/22. Total proceeds ar	e reported to	the IRS.			
06/23/22	750.000 674.37000000000004547 1,424.370	46.53 41.83 88.36	06/21/22 06/21/22 Various	49.12 44.17 93.29	 	-2.59 -2.34 -4.93	1 of 2 - Sale ²⁵ 2 of 2 - Sale ²⁵ Total of 2 transactions
thereum Classi	c / CUSIP: ETCUSD / Symbol:						
01/30/22	18.953752999999998963 2 transactions for 11/30	477.49 /22. Total proceeds ar	01/22/22 re reported to	498.16 the IRS.		-20.67	Sale ²⁵
	0.101792999999999995 2.000	2.01 39.56	11/29/22 11/29/22	2.01 39.32	 	0.00 0.24	1 of 2 - Sale ²⁵ 2 of 2 - Sale ²⁵
11/30/22	2.101793 Security total:	41.57 519.06	Various	41.33 539.49	 	0.24 -20.43	Total of 2 transactions

^{*} This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpavers are ultimately responsible for the accuracy of their tax return(s).

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Robinhood Markets Inc. as agent for Robinhood Crypto LLC

Proceeds from Broker and Barter Exchange Transactions

Account 135552552C

2022 1099-B* OME

1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)

Report on Form 8949, Part I with Box B checked. Basis is NOT provided to the IRS. (Line 12)

"Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS.

1a- Description	of property/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	Date	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
Litecoin / CUSIP:	LTCUSD / Symbol:						
	2 transactions for 02/14	4/22. Total proceeds ar	re reported to	the IRS.			
	0.00002396	0.00	04/28/21	0.01		-0.01	1 of 2 - Zero proceeds / negative sale ²⁵
	0.079001420000000003	9.96	02/14/22	10.00	•••	-0.04	2 of 2 - Sale ²⁵
02/14/22	0.079025	9.96	Various	10.01		-0.05	Total of 2 transactions
Totals :		617.38		642.79		-25.41	

^{*} This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

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Robinhood Markets Inc. as agent for Robinhood Crypto LLC

2022 End Notes for Account 135552552C

25 Tax lot closed on a first in first out basis.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or nonSection 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR)

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Instructions for Recipient

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949. and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank. Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to 1009/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

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