



Sreekanth Jakkani
1720 North McCarthy Road 8
Appleton, WI 54913

Master Account Number for Import:

974228124

Document ID for Import:

7861P71MYGP

Enclosed is your 2022 Consolidated Tax Statement. 1099 Tax Forms for the following accounts are included in this package:

974228124 - Robinhood Securities LLC

New for 2022 Tax Year - Where applicable, 1099 Tax Forms for Robinhood Securities, Robinhood Crypto, and Robinhood Money are being consolidated into one package and reported under our parent entity, Robinhood Markets Inc. We have done this to ensure all forms that you need are provided at the same time in this consolidated package.

Important - Please utilize the Master Account Number and Document ID listed above if filing through tax service providers such as TurboTax or H&R Block. The Document ID is necessary in order to directly import your 1099 data into the tax software so that you do not need to manually enter each transaction. This year there is only one Master Account Number and Document ID that needs to be used to import all of your data across all accounts and 1099s (including crypto). Please note that tax software providers may have limits on the total number of transactions that can be directly imported using the Document ID.

CSVs - In addition, a CSV file which includes the same information that is reported on your 1099 forms will be provided. The CSV is not a separate 1099. It is being provided as a supplement in a format that may be more helpful if you have a large number of transactions or if you are not using the Document ID to import all of your Securities, Crypto and Money activity. Tax software providers may have limits on the total number of transactions that can be uploaded and CSV files may not be compatible with tax service providers such as TurboTax or H&R Block.

Robinhood Retirements Accounts - If you had any reportable distributions or contributions within a Robinhood Retirement account, your 2022 tax forms 1099-R and/or 5498 will be delivered separately. Retirement accounts will be reported under Robinhood Securities LLC and will have their own unique Doc IDs for import to tax software providers such as TurboTax or H&R Block.

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|--|---|----------------------------|------|
| Robinhood Markets Inc. as agent for Robinhood Securities LLC 85 Willow Road Menlo Park, CA 94025 Customer Service: 650-940-2700 PAYER'S TIN: 46-4364776 | Tax Information Account 974228124 | Statement Date: 02/13/2023 | 2022 |
| | Sreekanth Jakkani 1720 North McCarthy Road 8 Appleton, WI 54913 RECIPIENT'S TIN: XXX-XX-7716 | | |

Summary Information

| | |
|--|---|
| <p>DIVIDENDS AND DISTRIBUTIONS 2022 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e) 0.00</p> <p>1b- Qualified dividends 0.00</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f) 0.00</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>2e- Section 897 ordinary dividends 0.00</p> <p>2f- Section 897 capital gain 0.00</p> <p>3- Nondividend distributions 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 0.00</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: 7- Foreign tax paid: 0.00</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>12- Exempt-interest dividends (includes line 13) 0.00</p> <p>13- Specified private activity bond interest dividends (AMT) 0.00</p> | <p>MISCELLANEOUS INFORMATION 2022 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.00</p> <p>SECTION 1256 CONTRACTS 2022 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2022 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2021 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2022 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</p> |
|--|---|

SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

| Term | Form 8949 type | Proceeds | Cost basis | Market discount | Wash sale loss disallowed | Net gain or loss(-) |
|--------------------|--|------------------|------------------|-----------------|---------------------------|---------------------|
| Short | A (basis reported to the IRS) | 34,219.15 | 52,524.07 | 0.00 | 4,217.07 | -14,087.85 |
| Short | B (basis not reported to the IRS) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Short | C (Form 1099-B not received) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| | Total Short-term | 34,219.15 | 52,524.07 | 0.00 | 4,217.07 | -14,087.85 |
| Long | D (basis reported to the IRS) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Long | E (basis not reported to the IRS) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Long | F (Form 1099-B not received) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| | Total Long-term | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Undetermined | B or E (basis not reported to the IRS) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Undetermined | C or F (Form 1099-B not received) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| | Total Undetermined-term | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| | Grand total | 34,219.15 | 52,524.07 | 0.00 | 4,217.07 | -14,087.85 |
| Withholding | | Amount | | | | |
| | Federal income tax withheld | 0.00 | | | | |

Changes to dividend tax classifications processed after your original tax form is issued for 2022 may require an amended tax form.

Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 974228124

Summary Information

2022

(continued)

02/13/2023

INTEREST INCOME

2022 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

| | |
|---|-------------|
| 1- Interest income (not included in line 3) | 0.00 |
| 2- Early withdrawal penalty | 0.00 |
| 3- Interest on US Savings Bonds & Treasury obligations | 0.00 |
| 4- Federal income tax withheld | 0.00 |
| 5- Investment expenses | 0.00 |
| 7- Foreign country or US possession: 6- Foreign tax paid: | 0.00 |
| 8- Tax-exempt interest (includes line 9) | 0.00 |
| 9- Specified private activity bond interest (AMT) | 0.00 |
| 10- Market discount (covered lots) | 0.00 |
| 11- Bond premium (covered lots) | 0.00 |
| 12- Bond premium on Treasury obligations (covered lots) | 0.00 |
| 13- Bond premium on tax-exempt bonds (categorized below) | 0.00 |
| <i>Tax-exempt obligations (covered lots)</i> | 0.00 |
| <i>Tax-exempt private activity obligations (AMT, covered lots)</i> | 0.00 |
| 14- Tax-exempt and tax credit bond CUSIP number FATCA filing requirement [] | |

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

| | |
|---|------|
| Taxable accrued interest paid | 0.00 |
| Taxable accrued Treasury interest paid | 0.00 |
| Tax-exempt accrued interest paid | 0.00 |
| Tax-exempt accrued interest paid (AMT) | 0.00 |
| Taxable accrued nonqualified interest paid | 0.00 |
| Tax-exempt accrued nonqualified interest paid | 0.00 |
| Tax-exempt accrued nonqualified interest paid (AMT) | 0.00 |
| Nonqualified interest | 0.00 |
| Tax-exempt nonqualified interest | 0.00 |
| Tax-exempt nonqualified interest (AMT) | 0.00 |
| Interest shortfall on contingent payment debt | 0.00 |
| Bond premium- Non Treasury obligations (noncovered lots) | 0.00 |
| Bond premium- Treasury obligations (noncovered lots) | 0.00 |
| Bond premium- Tax-exempt obligations (noncovered lots) | 0.00 |
| Bond premium- Tax-exempt obligations (AMT, noncovered lots) | 0.00 |
| Market discount (noncovered lots) | 0.00 |

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

| | |
|--------------------------|------|
| 1099-DIV total withheld | 0.00 |
| 1099-INT total withheld | 0.00 |
| 1099-OID total withheld | 0.00 |
| 1099-MISC total withheld | 0.00 |
| 1099-B total withheld | 0.00 |

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

| | |
|--|------|
| Original issue discount for the year | 0.00 |
| Acquisition premium (covered lots) | 0.00 |
| Acquisition premium (noncovered lots) | 0.00 |
| Original issue discount on Treasury obligations | 0.00 |
| Acquisition premium, Treasury obligations (covered lots) | 0.00 |
| Acquisition premium, Treasury obligations (noncovered lots) | 0.00 |
| Tax-exempt OID | 0.00 |
| Tax-exempt OID (lots not reported) | 0.00 |
| Acquisition premium (covered) | 0.00 |
| Acquisition premium (lots not reported) | 0.00 |
| Tax-exempt OID on private activity bonds | 0.00 |
| Tax-exempt OID on private activity bonds (lots not reported) | 0.00 |
| Acquisition premium (AMT, covered) | 0.00 |
| Acquisition premium (AMT, lots not reported) | 0.00 |
| Market discount (all lots) | 0.00 |
| Early withdrawal penalty | 0.00 |
| Investment expenses | 0.00 |

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

| | |
|---|------|
| Other Receipts & Reconciliations- Partnership distributions | 0.00 |
| Other Receipts & Reconciliations- Foreign tax paid- partnership | 0.00 |
| Other Receipts & Reconciliations- Return of principal | 0.00 |
| Other Receipts & Reconciliations- Deferred income payment | 0.00 |
| Other Receipts & Reconciliations- Deemed premium | 0.00 |
| Other Receipts & Reconciliations- Income accrual- UIT | 0.00 |
| Other Receipts & Reconciliations- Basis adjustments | 0.00 |
| Other Receipts & Reconciliations- Foreign tax pd beyond treaty | 0.00 |
| Fees & Expenses- Margin interest | 0.00 |
| Fees & Expenses- Dividends paid on short position | 0.00 |
| Fees & Expenses- Interest paid on short position | 0.00 |
| Fees & Expenses- Non reportable distribution expense | 0.00 |
| Fees & Expenses- Other expenses | 0.00 |
| Fees & Expenses- Severance tax | 0.00 |
| Fees & Expenses- Organizational expense | 0.00 |
| Fees & Expenses- Miscellaneous fees | 0.00 |
| Fees & Expenses- Tax-exempt investment expense | 0.00 |
| Foreign Exchange Gains & Losses- Foreign currency gain/loss | 0.00 |

Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 974228124

Proceeds from Broker and Barter Exchange Transactions

2022 1099-B* OMB No. 1545-0715

02/13/2023

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|--|---|---|-------------------|-------------------------|--|---|---------------------------------------|
| AAPL 04/22/2022 CALL \$175.00 / CUSIP: / Symbol: | | | | | | | |
| 04/22/22 | 50.000 | 0.00 | 04/21/22 | 100.00 | ... | -100.00 | Option expiration- long call |
| AAPL 04/29/2022 CALL \$177.50 / CUSIP: / Symbol: | | | | | | | |
| | 4 transactions for 04/29/22. Total proceeds and cost reported to the IRS. | | | | | | |
| | 18.000 | 0.00 | 04/26/22 | 216.00 | ... | -216.00 | 1 of 4 - Option expiration- long call |
| | 7.000 | 0.00 | 04/26/22 | 84.00 | ... | -84.00 | 2 of 4 - Option expiration- long call |
| | 4.000 | 0.00 | 04/27/22 | 32.00 | ... | -32.00 | 3 of 4 - Option expiration- long call |
| | 1.000 | 0.00 | 04/27/22 | 8.00 | ... | -8.00 | 4 of 4 - Option expiration- long call |
| 04/29/22 | 30.000 | 0.00 | Various | 340.00 | ... | -340.00 | Total of 4 transactions |

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 974228124

Proceeds from Broker and Barter Exchange Transactions

2022 1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|---|------------------------|---|-------------------|-------------------------|--|---|--|
| AAPL 05/06/2022 CALL \$177.50 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 05/05/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 1.00 | 05/04/22 | 1.00 | ... | 0.00 | 1 of 2 - Option sale to close-call ²⁵ |
| | 2.000 | 2.00 | 05/04/22 | 2.00 | ... | 0.00 | 2 of 2 - Option sale to close-call ²⁵ |
| 05/05/22 | 3.000 | 3.00 | Various | 3.00 | ... | 0.00 | Total of 2 transactions |
| 05/05/22 | 22.000 | 21.94 | 05/04/22 | 22.00 | 0.06 W | 0.00 | Option sale to close-call ²⁵ |
| 5 transactions for 05/06/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 49.000 | 0.00 | 05/04/22 | 49.00 | ... | -49.00 | 1 of 5 - Option expiration- long call |
| | 20.000 | 0.00 | 05/04/22 | 20.05 | ... | -20.05 | 2 of 5 - Option expiration- long call |
| | 4.000 | 0.00 | 05/04/22 | 4.00 | ... | -4.00 | 3 of 5 - Option expiration- long call |
| | 1.000 | 0.00 | 05/04/22 | 1.01 | ... | -1.01 | 4 of 5 - Option expiration- long call |
| | 1.000 | 0.00 | 05/04/22 | 1.00 | ... | -1.00 | 5 of 5 - Option expiration- long call |
| 05/06/22 | 75.000 | 0.00 | Various | 75.06 | ... | -75.06 | Total of 5 transactions |
| | Security total: | 24.94 | | 100.06 | 0.06 W | -75.06 | |
| AAPL 05/27/2022 CALL \$157.50 / CUSIP: / Symbol: | | | | | | | |
| 4 transactions for 05/23/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 43.000 | 171.90 | 05/20/22 | 215.00 | ... | -43.10 | 1 of 4 - Option sale to close-call ²⁵ |
| | 5.000 | 19.98 | 05/20/22 | 25.00 | ... | -5.02 | 2 of 4 - Option sale to close-call ²⁵ |
| | 1.000 | 3.98 | 05/20/22 | 5.00 | ... | -1.02 | 3 of 4 - Option sale to close-call ²⁵ |
| | 1.000 | 3.98 | 05/20/22 | 5.00 | ... | -1.02 | 4 of 4 - Option sale to close-call ²⁵ |
| 05/23/22 | 50.000 | 199.84 | Various | 250.00 | ... | -50.16 | Total of 4 transactions |
| AAPL 09/09/2022 CALL \$162.50 / CUSIP: / Symbol: | | | | | | | |
| 09/07/22 | 20.000 | 159.95 | 09/07/22 | 260.00 | ... | -100.05 | Option sale to close-call ²⁵ |
| AAPL 09/09/2022 CALL \$170.00 / CUSIP: / Symbol: | | | | | | | |
| 7 transactions for 09/07/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 6.000 | 5.98 | 09/02/22 | 48.00 | ... | -42.02 | 1 of 7 - Option sale to close-call ²⁵ |
| | 3.000 | 2.99 | 09/02/22 | 24.00 | ... | -21.01 | 2 of 7 - Option sale to close-call ²⁵ |
| | 3.000 | 2.99 | 09/02/22 | 24.00 | ... | -21.01 | 3 of 7 - Option sale to close-call ²⁵ |
| | 2.000 | 2.00 | 09/02/22 | 16.00 | ... | -14.00 | 4 of 7 - Option sale to close-call ²⁵ |
| | 2.000 | 2.00 | 09/02/22 | 16.00 | ... | -14.00 | 5 of 7 - Option sale to close-call ²⁵ |
| | 1.000 | 1.00 | 09/02/22 | 8.00 | ... | -7.00 | 6 of 7 - Option sale to close-call ²⁵ |

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 974228124

Proceeds from Broker and Barter Exchange Transactions

2022 1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|--|------------------------|---|-------------------|-------------------------|--|---|---|
| AAPL 09/09/2022 CALL \$170.00 / CUSIP: / Symbol: (cont'd) | | | | | | | |
| | 10.000 | 9.97 | 09/06/22 | 40.00 | ... | -30.03 | 7 of 7 - Option sale to close-call ²⁵ |
| 09/07/22 | 27.000 | 26.93 | Various | 176.00 | ... | -149.07 | Total of 7 transactions |
| AFRM 04/29/2022 CALL \$33.00 / CUSIP: / Symbol: | | | | | | | |
| 5 transactions for 04/29/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 2.000 | 11.98 | 04/28/22 | 14.00 | ... | -2.02 | 1 of 5 - Option sale to close-call ²⁵ |
| | 1.000 | 5.98 | 04/28/22 | 7.00 | ... | -1.02 | 2 of 5 - Option sale to close-call ²⁵ |
| | 1.000 | 5.98 | 04/28/22 | 7.00 | ... | -1.02 | 3 of 5 - Option sale to close-call ²⁵ |
| | 1.000 | 5.98 | 04/28/22 | 7.00 | ... | -1.02 | 4 of 5 - Option sale to close-call ²⁵ |
| | 10.000 | 299.97 | 04/28/22 | 70.00 | ... | 229.97 | 5 of 5 - Option sale to close-call ²⁵ |
| 04/29/22 | 15.000 | 329.89 | Various | 105.00 | ... | 224.89 | Total of 5 transactions |
| AMC 04/29/2022 CALL \$22.50 / CUSIP: / Symbol: | | | | | | | |
| 3 transactions for 04/28/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 23.000 | 45.94 | 04/26/22 | 69.00 | ... | -23.06 | 1 of 3 - Option sale to close-call ²⁵ |
| | 8.000 | 15.98 | 04/26/22 | 24.00 | ... | -8.02 | 2 of 3 - Option sale to close-call ²⁵ |
| | 2.000 | 4.00 | 04/26/22 | 6.00 | ... | -2.00 | 3 of 3 - Option sale to close-call ²⁵ |
| 04/28/22 | 33.000 | 65.92 | Various | 99.00 | ... | -33.08 | Total of 3 transactions |
| AMZN 06/17/2022 CALL \$110.00 / CUSIP: / Symbol: | | | | | | | |
| 3 transactions for 06/17/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 14.000 | 13.96 | 06/16/22 | 140.00 | ... | -126.04 | 1 of 3 - Option sale to close-call ²⁵ |
| | 5.000 | 4.99 | 06/16/22 | 75.02 | ... | -70.03 | 2 of 3 - Option sale to close-call ²⁵ |
| | 1.000 | 0.98 | 06/16/22 | 10.00 | ... | -9.02 | 3 of 3 - Option sale to close-call ²⁵ |
| 06/17/22 | 20.000 | 19.93 | Various | 225.02 | ... | -205.09 | Total of 3 transactions |
| 06/17/22 | 5.000 | 4.98 | 06/16/22 | 50.00 | 45.02 W | 0.00 | Option sale to close-call ²⁵ |
| | Security total: | 24.91 | | 275.02 | 45.02 W | -205.09 | |
| AMZN 06/17/2022 CALL \$120.00 / CUSIP: / Symbol: | | | | | | | |
| 11 transactions for 06/16/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 2.000 | 1.99 | 06/15/22 | 80.04 | ... | -78.05 | 1 of 11 - Option sale to close-call ²⁵ |
| | 1.000 | 1.00 | 06/15/22 | 43.00 | ... | -42.00 | 2 of 11 - Option sale to close-call ²⁵ |

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 974228124

Proceeds from Broker and Barter Exchange Transactions

2022 1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|---|--|---|-------------------|-------------------------|--|---|--|
| AMZN 06/17/2022 CALL \$120.00 / CUSIP: / Symbol: (cont'd) | | | | | | | |
| | 1.000 | 1.00 | 06/15/22 | 38.02 | ... | -37.02 | 3 of 11 - Option sale to close-call ₂₅ |
| | 1.000 | 0.99 | 06/15/22 | 38.00 | ... | -37.01 | 4 of 11 - Option sale to close-call ₂₅ |
| | 2.000 | 1.99 | 06/15/22 | 30.03 | ... | -28.04 | 5 of 11 - Option sale to close-call ₂₅ |
| | 1.000 | 1.00 | 06/15/22 | 18.00 | ... | -17.00 | 6 of 11 - Option sale to close-call ₂₅ |
| | 1.000 | 1.00 | 06/15/22 | 13.00 | ... | -12.00 | 7 of 11 - Option sale to close-call ₂₅ |
| | 5.000 | 4.99 | 06/15/22 | 15.00 | ... | -10.01 | 8 of 11 - Option sale to close-call ₂₅ |
| | 3.000 | 2.99 | 06/15/22 | 9.00 | ... | -6.01 | 9 of 11 - Option sale to close-call ₂₅ |
| | 1.000 | 1.00 | 06/15/22 | 6.01 | ... | -5.01 | 10 of 11 - Option sale to close-call ₂₅ |
| | 2.000 | 1.99 | 06/15/22 | 6.00 | ... | -4.01 | 11 of 11 - Option sale to close-call ₂₅ |
| 06/16/22 | 20.000 | 19.94 | Various | 296.10 | ... | -276.16 | Total of 11 transactions |
| | 16 transactions for 06/16/22. Total proceeds and cost reported to the IRS. | | | | | | |
| | 5.000 | 9.98 | 06/10/22 | 145.00 | 135.02 W | 0.00 | 1 of 16 - Option sale to close-call ₂₅ |
| | 4.000 | 7.99 | 06/13/22 | 36.00 | 28.01 W | 0.00 | 2 of 16 - Option sale to close-call ₂₅ |
| | 1.000 | 1.00 | 06/13/22 | 36.00 | 35.00 W | 0.00 | 3 of 16 - Option sale to close-call ₂₅ |
| | 3.000 | 2.98 | 06/14/22 | 99.02 | 96.04 W | 0.00 | 4 of 16 - Option sale to close-call ₂₅ |
| | 1.000 | 1.00 | 06/14/22 | 33.00 | 32.00 W | 0.00 | 5 of 16 - Option sale to close-call ₂₅ |
| | 1.000 | 1.00 | 06/14/22 | 13.00 | 12.00 W | 0.00 | 6 of 16 - Option sale to close-call ₂₅ |
| | 2.000 | 1.99 | 06/14/22 | 22.01 | 20.02 W | 0.00 | 7 of 16 - Option sale to close-call ₂₅ |

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 974228124

Proceeds from Broker and Barter Exchange Transactions

2022 1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|---|---|---|-------------------|-------------------------|--|---|--|
| AMZN 06/17/2022 CALL \$120.00 / CUSIP: / Symbol: (cont'd) | | | | | | | |
| | 1.000 | 1.00 | 06/14/22 | 39.00 | 38.00 W | 0.00 | 8 of 16 - Option sale to close-call ²⁵ |
| | 3.000 | 2.99 | 06/14/22 | 108.04 | 105.05 W | 0.00 | 9 of 16 - Option sale to close-call ²⁵ |
| | 1.000 | 1.00 | 06/14/22 | 11.00 | 10.00 W | 0.00 | 10 of 16 - Option sale to close-call ²⁵ |
| | 1.000 | 0.99 | 06/14/22 | 4.00 | 3.01 W | 0.00 | 11 of 16 - Option sale to close-call ²⁵ |
| | 1.000 | 1.00 | 06/14/22 | 36.00 | 35.00 W | 0.00 | 12 of 16 - Option sale to close-call ²⁵ |
| | 1.000 | 1.00 | 06/14/22 | 16.00 | 15.00 W | 0.00 | 13 of 16 - Option sale to close-call ²⁵ |
| | 1.000 | 1.00 | 06/15/22 | 41.00 | 40.00 W | 0.00 | 14 of 16 - Option sale to close-call ²⁵ |
| | 2.000 | 1.99 | 06/15/22 | 76.03 | 74.04 W | 0.00 | 15 of 16 - Option sale to close-call ²⁵ |
| | 2.000 | 1.99 | 06/15/22 | 26.02 | 24.03 W | 0.00 | 16 of 16 - Option sale to close-call ²⁵ |
| 06/16/22 | 30.000 | 38.90 | Various | 741.12 | 702.22 W | 0.00 | Total of 16 transactions |
| | Security total: | 58.84 | | 1,037.22 | 702.22 W | -276.16 | |
| AMZN 07/15/2022 PUT \$102.00 / CUSIP: / Symbol: | | | | | | | |
| | 4 transactions for 07/12/22. Total proceeds and cost reported to the IRS. | | | | | | |
| | 1.000 | 28.00 | 07/11/22 | 18.00 | ... | 10.00 | 1 of 4 - Option sale to close-put ²⁵ |
| | 2.000 | 55.99 | 07/11/22 | 36.00 | ... | 19.99 | 2 of 4 - Option sale to close-put ²⁵ |
| | 5.000 | 139.98 | 07/11/22 | 90.00 | ... | 49.98 | 3 of 4 - Option sale to close-put ²⁵ |
| | 7.000 | 216.97 | 07/11/22 | 126.00 | ... | 90.97 | 4 of 4 - Option sale to close-put ²⁵ |
| 07/12/22 | 15.000 | 440.94 | Various | 270.00 | ... | 170.94 | Total of 4 transactions |
| AMZN 07/22/2022 PUT \$108.00 / CUSIP: / Symbol: | | | | | | | |
| 07/19/22 | 10.000 | 359.97 | 07/18/22 | 280.00 | ... | 79.97 | Option sale to close-put ²⁵ |
| | 2 transactions for 07/20/22. Total proceeds and cost reported to the IRS. | | | | | | |
| | 5.000 | 39.98 | 07/19/22 | 115.00 | ... | -75.02 | 1 of 2 - Option sale to close-put ²⁵ |

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 974228124

Proceeds from Broker and Barter Exchange Transactions

2022 1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|------------------------|---|-------------------|-------------------------|--|---|---|
| AMZN 07/22/2022 PUT \$108.00 / CUSIP: / Symbol: (cont'd) | | | | | | | |
| | 5.000 | 39.99 | 07/19/22 | 115.00 | ... | -75.01 | 2 of 2 - Option sale to close-put ²⁵ |
| 07/20/22 | 10.000 | 79.97 | Various | 230.00 | ... | -150.03 | Total of 2 transactions |
| | Security total: | 439.94 | | 510.00 | ... | -70.06 | |
| AMZN 08/05/2022 PUT \$136.00 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 08/05/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 5.000 | 0.00 | 08/03/22 | 330.00 | ... | -330.00 | 1 of 2 - Option expiration- long put |
| | 1.000 | 0.00 | 08/03/22 | 70.00 | ... | -70.00 | 2 of 2 - Option expiration- long put |
| 08/05/22 | 6.000 | 0.00 | Various | 400.00 | ... | -400.00 | Total of 2 transactions |
| ARKK 11/04/2022 CALL \$40.50 / CUSIP: / Symbol: | | | | | | | |
| 11/04/22 | 20.000 | 0.00 | 11/02/22 | 100.00 | ... | -100.00 | Option expiration- long call |
| ARKK 12/30/2022 CALL \$33.50 / CUSIP: / Symbol: | | | | | | | |
| 12/30/22 | 9.000 | 0.00 | 12/27/22 | 27.00 | ... | -27.00 | Option expiration- long call |
| BABA 01/06/2023 CALL \$120.00 / CUSIP: / Symbol: | | | | | | | |
| 12/29/22 | 17.000 | 16.95 | 12/27/22 | 85.00 | ... | -68.05 | Option sale to close-call ²⁵ |
| BABA 05/27/2022 CALL \$105.00 / CUSIP: / Symbol: | | | | | | | |
| 05/26/22 | 20.000 | 99.95 | 05/25/22 | 220.00 | ... | -120.05 | Option sale to close-call ²⁵ |
| BABA 06/03/2022 CALL \$113.00 / CUSIP: / Symbol: | | | | | | | |
| 06/03/22 | 1.000 | 0.00 | 05/31/22 | 12.00 | ... | -12.00 | Option expiration- long call |
| BABA 07/22/2022 CALL \$111.00 / CUSIP: / Symbol: | | | | | | | |
| 07/22/22 | 5.000 | 0.00 | 07/21/22 | 70.00 | ... | -70.00 | Option expiration- long call |
| BABA 07/29/2022 CALL \$105.00 / CUSIP: / Symbol: | | | | | | | |
| 07/28/22 | 1.000 | 12.98 | 07/27/22 | 62.00 | ... | -49.02 | Option sale to close-call ²⁵ |
| BABA 08/19/2022 CALL \$95.00 / CUSIP: / Symbol: | | | | | | | |
| 08/19/22 | 1.000 | 0.00 | 08/17/22 | 32.00 | ... | -32.00 | Option expiration- long call |

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 974228124

Proceeds from Broker and Barter Exchange Transactions

2022 1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|------------------------|---|-------------------|-------------------------|--|---|--|
| BABA 09/23/2022 CALL \$86.00 / CUSIP: / Symbol: | | | | | | | |
| 4 transactions for 09/23/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 7.000 | 0.00 | 09/22/22 | 112.00 | ... | -112.00 | 1 of 4 - Option expiration- long call |
| | 5.000 | 0.00 | 09/22/22 | 80.00 | ... | -80.00 | 2 of 4 - Option expiration- long call |
| | 3.000 | 0.00 | 09/22/22 | 30.00 | ... | -30.00 | 3 of 4 - Option expiration- long call |
| | 2.000 | 0.00 | 09/22/22 | 10.00 | ... | -10.00 | 4 of 4 - Option expiration- long call |
| 09/23/22 | 17.000 | 0.00 | Various | 232.00 | ... | -232.00 | Total of 4 transactions |
| BAC 06/17/2022 CALL \$35.50 / CUSIP: / Symbol: | | | | | | | |
| 9 transactions for 06/17/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 13.000 | 0.00 | 06/14/22 | 65.00 | ... | -65.00 | 1 of 9 - Option expiration- long call |
| | 7.000 | 0.00 | 06/14/22 | 21.00 | ... | -21.00 | 2 of 9 - Option expiration- long call |
| | 3.000 | 0.00 | 06/14/22 | 15.00 | ... | -15.00 | 3 of 9 - Option expiration- long call |
| | 5.000 | 0.00 | 06/14/22 | 15.00 | ... | -15.00 | 4 of 9 - Option expiration- long call |
| | 4.000 | 0.00 | 06/14/22 | 12.00 | ... | -12.00 | 5 of 9 - Option expiration- long call |
| | 2.000 | 0.00 | 06/14/22 | 10.00 | ... | -10.00 | 6 of 9 - Option expiration- long call |
| | 2.000 | 0.00 | 06/14/22 | 10.00 | ... | -10.00 | 7 of 9 - Option expiration- long call |
| | 3.000 | 0.00 | 06/14/22 | 9.00 | ... | -9.00 | 8 of 9 - Option expiration- long call |
| | 1.000 | 0.00 | 06/14/22 | 3.00 | ... | -3.00 | 9 of 9 - Option expiration- long call |
| 06/17/22 | 40.000 | 0.00 | Various | 160.00 | ... | -160.00 | Total of 9 transactions |
| BAC 10/14/2022 CALL \$33.00 / CUSIP: / Symbol: | | | | | | | |
| 3 transactions for 10/12/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 9.000 | 26.97 | 10/10/22 | 63.00 | ... | -36.03 | 1 of 3 - Option sale to close-call ²⁵ |
| | 3.000 | 8.98 | 10/10/22 | 21.00 | ... | -12.02 | 2 of 3 - Option sale to close-call ²⁵ |
| | 1.000 | 3.00 | 10/10/22 | 11.02 | ... | -8.02 | 3 of 3 - Option sale to close-call ²⁵ |
| 10/12/22 | 13.000 | 38.95 | Various | 95.02 | ... | -56.07 | Total of 3 transactions |
| 10/12/22 | 1.000 | 2.98 | 10/10/22 | 7.00 | 4.02 W | 0.00 | Option sale to close-call ²⁵ |
| | Security total: | 41.93 | | 102.02 | 4.02 W | -56.07 | |
| BB 05/13/2022 CALL \$5.50 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 05/13/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 8.000 | 159.97 | 05/12/22 | 48.00 | ... | 111.97 | 1 of 2 - Option sale to close-call ²⁵ |
| | 10.000 | 179.97 | 05/12/22 | 60.00 | ... | 119.97 | 2 of 2 - Option sale to close-call ²⁵ |
| 05/13/22 | 18.000 | 339.94 | Various | 108.00 | ... | 231.94 | Total of 2 transactions |

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 974228124

Proceeds from Broker and Barter Exchange Transactions

2022 1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|------------------------|---|-------------------|-------------------------|--|---|--|
| BB 05/27/2022 CALL \$6.50 / CUSIP: / Symbol: | | | | | | | |
| 5 transactions for 05/27/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 18.000 | 17.95 | 05/25/22 | 36.00 | ... | -18.05 | 1 of 5 - Option sale to close-call ²⁵ |
| | 15.000 | 14.96 | 05/25/22 | 30.00 | ... | -15.04 | 2 of 5 - Option sale to close-call ²⁵ |
| | 11.000 | 10.97 | 05/25/22 | 22.00 | ... | -11.03 | 3 of 5 - Option sale to close-call ²⁵ |
| | 2.000 | 1.99 | 05/25/22 | 6.00 | ... | -4.01 | 4 of 5 - Option sale to close-call ²⁵ |
| | 2.000 | 1.98 | 05/25/22 | 4.00 | ... | -2.02 | 5 of 5 - Option sale to close-call ²⁵ |
| 05/27/22 | 48.000 | 47.85 | Various | 98.00 | ... | -50.15 | Total of 5 transactions |
| 05/27/22 | 2.000 | 2.00 | 05/25/22 | 4.00 | 2.00 W | 0.00 | Option sale to close-call ²⁵ |
| | Security total: | 49.85 | | 102.00 | 2.00 W | -50.15 | |
| BB 06/24/2022 CALL \$6.00 / CUSIP: / Symbol: | | | | | | | |
| 4 transactions for 06/24/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 16.000 | 0.00 | 06/22/22 | 96.00 | ... | -96.00 | 1 of 4 - Option expiration- long call |
| | 2.000 | 0.00 | 06/22/22 | 12.00 | ... | -12.00 | 2 of 4 - Option expiration- long call |
| | 1.000 | 0.00 | 06/22/22 | 6.00 | ... | -6.00 | 3 of 4 - Option expiration- long call |
| | 1.000 | 0.00 | 06/22/22 | 6.00 | ... | -6.00 | 4 of 4 - Option expiration- long call |
| 06/24/22 | 20.000 | 0.00 | Various | 120.00 | ... | -120.00 | Total of 4 transactions |
| BB 07/08/2022 CALL \$6.00 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 07/07/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 10.000 | 159.98 | 07/06/22 | 40.00 | ... | 119.98 | 1 of 2 - Option sale to close-call ²⁵ |
| | 15.000 | 239.96 | 07/06/22 | 60.00 | ... | 179.96 | 2 of 2 - Option sale to close-call ²⁵ |
| 07/07/22 | 25.000 | 399.94 | Various | 100.00 | ... | 299.94 | Total of 2 transactions |
| BIIB 09/30/2022 PUT \$250.00 / CUSIP: / Symbol: | | | | | | | |
| 09/30/22 | 1.000 | 0.00 | 09/28/22 | 90.00 | ... | -90.00 | Option expiration- long put |
| BOIL 12/09/2022 CALL \$35.00 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 12/06/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 6.000 | 125.98 | 12/05/22 | 390.00 | ... | -264.02 | 1 of 2 - Option sale to close-call ²⁵ |
| | 4.000 | 83.98 | 12/05/22 | 260.00 | ... | -176.02 | 2 of 2 - Option sale to close-call ²⁵ |
| 12/06/22 | 10.000 | 209.96 | Various | 650.00 | ... | -440.04 | Total of 2 transactions |

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 974228124

Proceeds from Broker and Barter Exchange Transactions

2022 1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|----------|---|-------------------|-------------------------|--|---|--|
| BOIL 12/23/2022 CALL \$35.00 / CUSIP: / Symbol: | | | | | | | |
| 3 transactions for 12/23/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 0.00 | 12/19/22 | 65.00 | ... | -65.00 | 1 of 3 - Option expiration- long call |
| | 1.000 | 0.00 | 12/19/22 | 45.00 | ... | -45.00 | 2 of 3 - Option expiration- long call |
| | 2.000 | 0.00 | 12/20/22 | 20.00 | ... | -20.00 | 3 of 3 - Option expiration- long call |
| 12/23/22 | 4.000 | 0.00 | Various | 130.00 | ... | -130.00 | Total of 3 transactions |
| BOIL 12/30/2022 CALL \$19.50 / CUSIP: / Symbol: | | | | | | | |
| 12/30/22 | 7.000 | 0.00 | 12/29/22 | 140.00 | ... | -140.00 | Option expiration- long call |
| CFVI 05/20/2022 CALL \$12.50 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 05/17/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 12.000 | 59.97 | 04/27/22 | 276.00 | ... | -216.03 | 1 of 2 - Option sale to close-call ²⁵ |
| | 10.000 | 49.97 | 05/13/22 | 50.00 | ... | -0.03 | 2 of 2 - Option sale to close-call ²⁵ |
| 05/17/22 | 22.000 | 109.94 | Various | 326.00 | ... | -216.06 | Total of 2 transactions |
| COIN 12/09/2022 CALL \$55.00 / CUSIP: / Symbol: | | | | | | | |
| 12/07/22 | 40.000 | 79.90 | 12/06/22 | 160.00 | ... | -80.10 | Option sale to close-call ²⁵ |
| COIN 12/16/2022 CALL \$53.00 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 12/13/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 2.000 | 41.99 | 12/12/22 | 26.00 | ... | 15.99 | 1 of 2 - Option sale to close-call ²⁵ |
| | 13.000 | 272.97 | 12/12/22 | 169.00 | ... | 103.97 | 2 of 2 - Option sale to close-call ²⁵ |
| 12/13/22 | 15.000 | 314.96 | Various | 195.00 | ... | 119.96 | Total of 2 transactions |
| CRM 06/03/2022 CALL \$215.00 / CUSIP: / Symbol: | | | | | | | |
| 4 transactions for 06/01/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 11.000 | 10.97 | 05/31/22 | 77.00 | ... | -66.03 | 1 of 4 - Option sale to close-call ²⁵ |
| | 4.000 | 3.99 | 05/31/22 | 28.00 | ... | -24.01 | 2 of 4 - Option sale to close-call ²⁵ |
| | 3.000 | 2.99 | 05/31/22 | 21.00 | ... | -18.01 | 3 of 4 - Option sale to close-call ²⁵ |
| | 2.000 | 2.00 | 05/31/22 | 14.00 | ... | -12.00 | 4 of 4 - Option sale to close-call ²⁵ |
| 06/01/22 | 20.000 | 19.95 | Various | 140.00 | ... | -120.05 | Total of 4 transactions |
| CRM 12/02/2022 CALL \$190.00 / CUSIP: / Symbol: | | | | | | | |
| 12/02/22 | 15.000 | 0.00 | 11/28/22 | 75.00 | ... | -75.00 | Option expiration- long call |

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Account 974228124

Proceeds from Broker and Barter Exchange Transactions

2022 1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|----------|---|-------------------|-------------------------|--|---|--|
| DKNG 09/16/2022 CALL \$21.00 / CUSIP: / Symbol: | | | | | | | |
| 4 transactions for 09/13/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 14.000 | 13.97 | 09/12/22 | 70.00 | ... | -56.03 | 1 of 4 - Option sale to close-call ²⁵ |
| | 4.000 | 3.99 | 09/12/22 | 20.00 | ... | -16.01 | 2 of 4 - Option sale to close-call ²⁵ |
| | 1.000 | 0.99 | 09/12/22 | 5.00 | ... | -4.01 | 3 of 4 - Option sale to close-call ²⁵ |
| | 1.000 | 1.00 | 09/12/22 | 5.00 | ... | -4.00 | 4 of 4 - Option sale to close-call ²⁵ |
| 09/13/22 | 20.000 | 19.95 | Various | 100.00 | ... | -80.05 | Total of 4 transactions |
| DRIP 07/15/2022 CALL \$15.00 / CUSIP: / Symbol: | | | | | | | |
| 06/13/22 | 1.000 | 259.98 | 06/07/22 | 129.00 | ... | 130.98 | Option sale to close-call ²⁵ |
| DRIP 08/19/2022 CALL \$17.00 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 08/19/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 4.000 | 0.00 | 08/18/22 | 40.00 | ... | -40.00 | 1 of 2 - Option expiration- long call |
| | 1.000 | 0.00 | 08/18/22 | 10.00 | ... | -10.00 | 2 of 2 - Option expiration- long call |
| 08/19/22 | 5.000 | 0.00 | Various | 50.00 | ... | -50.00 | Total of 2 transactions |
| DRIP 09/16/2022 CALL \$18.00 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 09/07/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 94.98 | 08/19/22 | 96.00 | ... | -1.02 | 1 of 2 - Option sale to close-call ²⁵ |
| | 1.000 | 94.98 | 08/19/22 | 96.00 | ... | -1.02 | 2 of 2 - Option sale to close-call ²⁵ |
| 09/07/22 | 2.000 | 189.96 | Various | 192.00 | ... | -2.04 | Total of 2 transactions |
| DRIP 10/21/2022 CALL \$16.00 / CUSIP: / Symbol: | | | | | | | |
| 10/21/22 | 13.000 | 0.00 | 10/18/22 | 195.00 | ... | -195.00 | Option expiration- long call |
| DRIP 11/18/2022 CALL \$12.00 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 11/17/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 8.000 | 79.98 | 11/11/22 | 192.00 | 112.02 W | 0.00 | 1 of 2 - Option sale to close-call ²⁵ |
| | 2.000 | 19.99 | 11/11/22 | 48.00 | 28.01 W | 0.00 | 2 of 2 - Option sale to close-call ²⁵ |
| 11/17/22 | 10.000 | 99.97 | Various | 240.00 | 140.03 W | 0.00 | Total of 2 transactions |
| 4 transactions for 11/18/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 3.000 | 29.99 | 11/16/22 | 69.01 | ... | -39.02 | 1 of 4 - Option sale to close-call ²⁵ |
| | 2.000 | 19.99 | 11/16/22 | 46.02 | ... | -26.03 | 2 of 4 - Option sale to close-call ²⁵ |
| | 1.000 | 30.00 | 11/16/22 | 23.01 | ... | 6.99 | 3 of 4 - Option sale to close-call ²⁵ |

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 974228124

Proceeds from Broker and Barter Exchange Transactions

2022 1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|--|---|---|-------------------|-------------------------|--|---|--|
| DRIP 11/18/2022 CALL \$12.00 / CUSIP: / Symbol: (cont'd) | | | | | | | |
| 11/18/22 | 4.000 | 119.98 | 11/16/22 | 92.02 | ... | 27.96 | 4 of 4 - Option sale to close-call ²⁵ |
| | 10.000 | 199.96 | Various | 230.06 | ... | -30.10 | Total of 4 transactions |
| | 3 transactions for 11/18/22. Total proceeds and cost reported to the IRS. | | | | | | |
| | 4.000 | 99.99 | 11/11/22 | 152.01 | 52.02 W | 0.00 | 1 of 3 - Option sale to close-call ²⁵ |
| | 2.000 | 49.99 | 11/11/22 | 76.01 | 26.02 W | 0.00 | 2 of 3 - Option sale to close-call ²⁵ |
| | 4.000 | 99.99 | 11/11/22 | 152.01 | 52.02 W | 0.00 | 3 of 3 - Option sale to close-call ²⁵ |
| 11/18/22 | 10.000 | 249.97 | Various | 380.03 | 130.06 W | 0.00 | Total of 3 transactions |
| | Security total: | 549.90 | | 850.09 | 270.09 W | -30.10 | |
| ET 04/01/2022 CALL \$12.00 / CUSIP: / Symbol: | | | | | | | |
| 04/01/22 | 33.000 | 0.00 | 03/31/22 | 66.00 | ... | -66.00 | Option expiration- long call |
| F 10/07/2022 CALL \$12.50 / CUSIP: / Symbol: | | | | | | | |
| | 4 transactions for 10/04/22. Total proceeds and cost reported to the IRS. | | | | | | |
| | 1.000 | 13.98 | 10/04/22 | 8.00 | ... | 5.98 | 1 of 4 - Option sale to close-call ²⁵ |
| | 1.000 | 13.98 | 10/04/22 | 8.00 | ... | 5.98 | 2 of 4 - Option sale to close-call ²⁵ |
| | 2.000 | 27.98 | 10/04/22 | 16.00 | ... | 11.98 | 3 of 4 - Option sale to close-call ²⁵ |
| | 16.000 | 223.96 | 10/04/22 | 128.00 | ... | 95.96 | 4 of 4 - Option sale to close-call ²⁵ |
| 10/04/22 | 20.000 | 279.90 | Various | 160.00 | ... | 119.90 | Total of 4 transactions |
| | 4 transactions for 10/05/22. Total proceeds and cost reported to the IRS. | | | | | | |
| | 1.000 | 18.98 | 10/04/22 | 8.00 | ... | 10.98 | 1 of 4 - Option sale to close-call ²⁵ |
| | 1.000 | 18.98 | 10/04/22 | 8.00 | ... | 10.98 | 2 of 4 - Option sale to close-call ²⁵ |
| | 1.000 | 18.98 | 10/04/22 | 8.00 | ... | 10.98 | 3 of 4 - Option sale to close-call ²⁵ |
| | 5.000 | 94.98 | 10/04/22 | 40.00 | ... | 54.98 | 4 of 4 - Option sale to close-call ²⁵ |
| 10/05/22 | 8.000 | 151.92 | Various | 64.00 | ... | 87.92 | Total of 4 transactions |
| | Security total: | 431.82 | | 224.00 | ... | 207.82 | |
| FB 04/08/2022 CALL \$230.00 / CUSIP: / Symbol: | | | | | | | |
| | 4 transactions for 04/08/22. Total proceeds and cost reported to the IRS. | | | | | | |
| | 3.000 | 23.98 | 04/07/22 | 24.00 | ... | -0.02 | 1 of 4 - Option sale to close-call ²⁵ |
| | 2.000 | 15.98 | 04/07/22 | 16.00 | ... | -0.02 | 2 of 4 - Option sale to close-call ²⁵ |
| | 1.000 | 7.98 | 04/07/22 | 8.00 | ... | -0.02 | 3 of 4 - Option sale to close-call ²⁵ |
| | 4.000 | 31.98 | 04/07/22 | 32.00 | ... | -0.02 | 4 of 4 - Option sale to close-call ²⁵ |
| 04/08/22 | 10.000 | 79.92 | Various | 80.00 | ... | -0.08 | Total of 4 transactions |

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Robinhood Markets Inc. as agent for
 Robinhood Securities LLC
 2022 1099-B* OMB No. 1545-0715

Proceeds from Broker and Barter Exchange Transactions
 (continued)

Account 974228124
 02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)
 "Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|---|----------|---|-------------------|-------------------------|--|---|--|
| FB 04/14/2022 CALL \$222.50 / CUSIP: / Symbol: | | | | | | | |
| 8 transactions for 04/14/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 7.000 | 0.00 | 04/13/22 | 63.00 | ... | -63.00 | 1 of 8 - Option expiration- long call |
| | 2.000 | 0.00 | 04/13/22 | 16.00 | ... | -16.00 | 2 of 8 - Option expiration- long call |
| | 1.000 | 0.00 | 04/13/22 | 9.00 | ... | -9.00 | 3 of 8 - Option expiration- long call |
| | 1.000 | 0.00 | 04/13/22 | 9.00 | ... | -9.00 | 4 of 8 - Option expiration- long call |
| | 1.000 | 0.00 | 04/13/22 | 9.00 | ... | -9.00 | 5 of 8 - Option expiration- long call |
| | 1.000 | 0.00 | 04/13/22 | 8.00 | ... | -8.00 | 6 of 8 - Option expiration- long call |
| | 1.000 | 0.00 | 04/13/22 | 8.00 | ... | -8.00 | 7 of 8 - Option expiration- long call |
| | 1.000 | 0.00 | 04/13/22 | 8.00 | ... | -8.00 | 8 of 8 - Option expiration- long call |
| 04/14/22 | 15.000 | 0.00 | Various | 130.00 | ... | -130.00 | Total of 8 transactions |
| FB 05/20/2022 CALL \$210.00 / CUSIP: / Symbol: | | | | | | | |
| 3 transactions for 05/20/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 10.000 | 0.00 | 05/19/22 | 50.00 | ... | -50.00 | 1 of 3 - Option expiration- long call |
| | 7.000 | 0.00 | 05/19/22 | 35.00 | ... | -35.00 | 2 of 3 - Option expiration- long call |
| | 3.000 | 0.00 | 05/19/22 | 15.00 | ... | -15.00 | 3 of 3 - Option expiration- long call |
| 05/20/22 | 20.000 | 0.00 | Various | 100.00 | ... | -100.00 | Total of 3 transactions |
| FB 05/27/2022 CALL \$210.00 / CUSIP: / Symbol: | | | | | | | |
| 3 transactions for 05/25/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 15.000 | 59.96 | 05/24/22 | 75.00 | ... | -15.04 | 1 of 3 - Option sale to close-call ²⁵ |
| | 4.000 | 15.99 | 05/24/22 | 20.00 | ... | -4.01 | 2 of 3 - Option sale to close-call ²⁵ |
| | 1.000 | 4.00 | 05/24/22 | 5.00 | ... | -1.00 | 3 of 3 - Option sale to close-call ²⁵ |
| 05/25/22 | 20.000 | 79.95 | Various | 100.00 | ... | -20.05 | Total of 3 transactions |
| FSLY 04/01/2022 CALL \$20.00 / CUSIP: / Symbol: | | | | | | | |
| 9 transactions for 03/29/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 9.00 | 03/28/22 | 5.00 | ... | 4.00 | 1 of 9 - Option sale to close-call ²⁵ |
| | 1.000 | 12.00 | 03/28/22 | 5.00 | ... | 7.00 | 2 of 9 - Option sale to close-call ²⁵ |
| | 5.000 | 44.99 | 03/28/22 | 25.00 | ... | 19.99 | 3 of 9 - Option sale to close-call ²⁵ |
| | 3.000 | 35.99 | 03/28/22 | 15.00 | ... | 20.99 | 4 of 9 - Option sale to close-call ²⁵ |
| | 6.000 | 53.98 | 03/28/22 | 30.00 | ... | 23.98 | 5 of 9 - Option sale to close-call ²⁵ |
| | 8.000 | 71.98 | 03/28/22 | 40.00 | ... | 31.98 | 6 of 9 - Option sale to close-call ²⁵ |
| | 5.000 | 59.99 | 03/28/22 | 25.00 | ... | 34.99 | 7 of 9 - Option sale to close-call ²⁵ |

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 974228124

Proceeds from Broker and Barter Exchange Transactions

2022 1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|---|----------|---|-------------------|-------------------------|--|---|--|
| FSLY 04/01/2022 CALL \$20.00 / CUSIP: / Symbol: (cont'd) | | | | | | | |
| | 10.000 | 89.98 | 03/28/22 | 50.00 | ... | 39.98 | 8 of 9 - Option sale to close-call ²⁵ |
| | 21.000 | 251.95 | 03/28/22 | 105.00 | ... | 146.95 | 9 of 9 - Option sale to close-call ²⁵ |
| 03/29/22 | 60.000 | 629.86 | Various | 300.00 | ... | 329.86 | Total of 9 transactions |
| FSLY 08/26/2022 CALL \$11.00 / CUSIP: / Symbol: | | | | | | | |
| 08/26/22 | 10.000 | 0.00 | 08/23/22 | 70.00 | ... | -70.00 | Option expiration- long call |
| FSLY 10/07/2022 CALL \$10.50 / CUSIP: / Symbol: | | | | | | | |
| 5 transactions for 10/07/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 9.000 | 0.00 | 10/06/22 | 45.00 | ... | -45.00 | 1 of 5 - Option expiration- long call |
| | 7.000 | 0.00 | 10/06/22 | 35.00 | ... | -35.00 | 2 of 5 - Option expiration- long call |
| | 2.000 | 0.00 | 10/06/22 | 10.00 | ... | -10.00 | 3 of 5 - Option expiration- long call |
| | 1.000 | 0.00 | 10/06/22 | 5.00 | ... | -5.00 | 4 of 5 - Option expiration- long call |
| | 1.000 | 0.00 | 10/06/22 | 5.00 | ... | -5.00 | 5 of 5 - Option expiration- long call |
| 10/07/22 | 20.000 | 0.00 | Various | 100.00 | ... | -100.00 | Total of 5 transactions |
| FSLY 10/14/2022 CALL \$11.50 / CUSIP: / Symbol: | | | | | | | |
| 10/14/22 | 2.000 | 0.00 | 10/10/22 | 4.00 | ... | -4.00 | Option expiration- long call |
| FSR 06/17/2022 CALL \$9.00 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 06/15/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 5.000 | 54.98 | 06/14/22 | 75.00 | ... | -20.02 | 1 of 2 - Option sale to close-call ²⁵ |
| | 5.000 | 54.99 | 06/14/22 | 75.00 | ... | -20.01 | 2 of 2 - Option sale to close-call ²⁵ |
| 06/15/22 | 10.000 | 109.97 | Various | 150.00 | ... | -40.03 | Total of 2 transactions |
| FSR 11/04/2022 CALL \$10.00 / CUSIP: / Symbol: | | | | | | | |
| 5 transactions for 11/02/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 5.000 | 24.98 | 10/31/22 | 25.02 | ... | -0.04 | 1 of 5 - Option sale to close-call ²⁵ |
| | 7.000 | 34.98 | 10/31/22 | 35.00 | ... | -0.02 | 2 of 5 - Option sale to close-call ²⁵ |
| | 1.000 | 4.99 | 10/31/22 | 5.00 | ... | -0.01 | 3 of 5 - Option sale to close-call ²⁵ |
| | 1.000 | 4.99 | 10/31/22 | 5.00 | ... | -0.01 | 4 of 5 - Option sale to close-call ²⁵ |
| | 1.000 | 5.00 | 10/31/22 | 5.00 | ... | 0.00 | 5 of 5 - Option sale to close-call ²⁵ |
| 11/02/22 | 15.000 | 74.94 | Various | 75.02 | ... | -0.08 | Total of 5 transactions |
| 11/02/22 | 5.000 | 24.98 | 10/31/22 | 25.00 | 0.02 W | 0.00 | Option sale to close-call ²⁵ |
| Security total: | | 99.92 | | 100.02 | 0.02 W | -0.08 | |

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 974228124

Proceeds from Broker and Barter Exchange Transactions

2022 1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|---|----------|---|-------------------|-------------------------|--|---|--|
| GLD 04/01/2022 CALL \$181.00 / CUSIP: / Symbol: | | | | | | | |
| 8 transactions for 04/01/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 20.000 | 39.96 | 04/01/22 | 280.00 | ... | -240.04 | 1 of 8 - Option sale to close-call ²⁵ |
| | 7.000 | 13.98 | 04/01/22 | 35.00 | ... | -21.02 | 2 of 8 - Option sale to close-call ²⁵ |
| | 5.000 | 9.99 | 04/01/22 | 25.00 | ... | -15.01 | 3 of 8 - Option sale to close-call ²⁵ |
| | 4.000 | 7.99 | 04/01/22 | 20.00 | ... | -12.01 | 4 of 8 - Option sale to close-call ²⁵ |
| | 1.000 | 2.00 | 04/01/22 | 14.00 | ... | -12.00 | 5 of 8 - Option sale to close-call ²⁵ |
| | 2.000 | 3.99 | 04/01/22 | 10.00 | ... | -6.01 | 6 of 8 - Option sale to close-call ²⁵ |
| | 1.000 | 2.00 | 04/01/22 | 5.00 | ... | -3.00 | 7 of 8 - Option sale to close-call ²⁵ |
| | 1.000 | 2.00 | 04/01/22 | 5.00 | ... | -3.00 | 8 of 8 - Option sale to close-call ²⁵ |
| 04/01/22 | 41.000 | 81.91 | Various | 394.00 | ... | -312.09 | Total of 8 transactions |
| GLD 06/03/2022 CALL \$174.00 / CUSIP: / Symbol: | | | | | | | |
| 06/02/22 | 1.000 | 80.98 | 06/01/22 | 19.00 | ... | 61.98 | Option sale to close-call ²⁵ |
| GLD 06/24/2022 CALL \$177.00 / CUSIP: / Symbol: | | | | | | | |
| 06/24/22 | 1.000 | 0.00 | 06/17/22 | 21.00 | ... | -21.00 | Option expiration- long call |
| GLD 07/15/2022 CALL \$167.00 / CUSIP: / Symbol: | | | | | | | |
| 3 transactions for 07/14/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 14.000 | 27.96 | 07/13/22 | 70.00 | ... | -42.04 | 1 of 3 - Option sale to close-call ²⁵ |
| | 5.000 | 9.99 | 07/13/22 | 25.00 | ... | -15.01 | 2 of 3 - Option sale to close-call ²⁵ |
| | 1.000 | 2.00 | 07/13/22 | 5.00 | ... | -3.00 | 3 of 3 - Option sale to close-call ²⁵ |
| 07/14/22 | 20.000 | 39.95 | Various | 100.00 | ... | -60.05 | Total of 3 transactions |
| GLD 07/15/2022 CALL \$169.00 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 07/12/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 11.000 | 21.97 | 07/11/22 | 66.00 | ... | -44.03 | 1 of 2 - Option sale to close-call ²⁵ |
| | 9.000 | 17.97 | 07/11/22 | 54.00 | ... | -36.03 | 2 of 2 - Option sale to close-call ²⁵ |
| 07/12/22 | 20.000 | 39.94 | Various | 120.00 | ... | -80.06 | Total of 2 transactions |
| GLD 07/22/2022 CALL \$164.00 / CUSIP: / Symbol: | | | | | | | |
| 5 transactions for 07/20/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 2.000 | 9.99 | 07/19/22 | 28.01 | ... | -18.02 | 1 of 5 - Option sale to close-call ²⁵ |
| | 1.000 | 5.00 | 07/19/22 | 17.04 | ... | -12.04 | 2 of 5 - Option sale to close-call ²⁵ |

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 974228124

Proceeds from Broker and Barter Exchange Transactions

2022 1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|---|----------|---|-------------------|-------------------------|--|---|--|
| GLD 07/22/2022 CALL \$164.00 / CUSIP: / Symbol: (cont'd) | | | | | | | |
| | 1.000 | 5.00 | 07/19/22 | 17.03 | ... | -12.03 | 3 of 5 - Option sale to close-call ²⁵ |
| | 1.000 | 5.00 | 07/19/22 | 11.00 | ... | -6.00 | 4 of 5 - Option sale to close-call ²⁵ |
| | 1.000 | 4.99 | 07/19/22 | 8.00 | ... | -3.01 | 5 of 5 - Option sale to close-call ²⁵ |
| 07/20/22 | 6.000 | 29.98 | Various | 81.08 | ... | -51.10 | Total of 5 transactions |
| 5 transactions for 07/20/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 5.000 | 24.98 | 07/19/22 | 40.00 | 15.02 W | 0.00 | 1 of 5 - Option sale to close-call ²⁵ |
| | 1.000 | 4.98 | 07/19/22 | 11.01 | 6.03 W | 0.00 | 2 of 5 - Option sale to close-call ²⁵ |
| | 3.000 | 14.99 | 07/19/22 | 33.01 | 18.02 W | 0.00 | 3 of 5 - Option sale to close-call ²⁵ |
| | 1.000 | 4.99 | 07/19/22 | 14.03 | 9.04 W | 0.00 | 4 of 5 - Option sale to close-call ²⁵ |
| | 1.000 | 4.98 | 07/19/22 | 14.01 | 9.03 W | 0.00 | 5 of 5 - Option sale to close-call ²⁵ |
| 07/20/22 | 11.000 | 54.92 | Various | 112.06 | 57.14 W | 0.00 | Total of 5 transactions |
| Security total: | | 84.90 | | 193.14 | 57.14 W | -51.10 | |
| GLD 07/22/2022 CALL \$165.00 / CUSIP: / Symbol: | | | | | | | |
| 07/18/22 | 20.000 | 159.95 | 07/15/22 | 220.00 | ... | -60.05 | Option sale to close-call ²⁵ |
| GLD 08/19/2022 CALL \$161.00 / CUSIP: / Symbol: | | | | | | | |
| 07/22/22 | 1.000 | 309.98 | 07/20/22 | 206.00 | ... | 103.98 | Option sale to close-call ²⁵ |
| GLD 08/19/2022 CALL \$164.00 / CUSIP: / Symbol: | | | | | | | |
| 8 transactions for 08/19/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 7.000 | 0.00 | 08/19/22 | 49.00 | ... | -49.00 | 1 of 8 - Option expiration- long call |
| | 6.000 | 0.00 | 08/19/22 | 42.00 | ... | -42.00 | 2 of 8 - Option expiration- long call |
| | 2.000 | 0.00 | 08/19/22 | 14.00 | ... | -14.00 | 3 of 8 - Option expiration- long call |
| | 2.000 | 0.00 | 08/19/22 | 4.00 | ... | -4.00 | 4 of 8 - Option expiration- long call |
| | 1.000 | 0.00 | 08/19/22 | 2.00 | ... | -2.00 | 5 of 8 - Option expiration- long call |
| | 1.000 | 0.00 | 08/19/22 | 2.00 | ... | -2.00 | 6 of 8 - Option expiration- long call |
| | 1.000 | 0.00 | 08/19/22 | 2.00 | ... | -2.00 | 7 of 8 - Option expiration- long call |
| | 1.000 | 0.00 | 08/19/22 | 2.00 | ... | -2.00 | 8 of 8 - Option expiration- long call |
| 08/19/22 | 21.000 | 0.00 | Various | 117.00 | ... | -117.00 | Total of 8 transactions |

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 974228124

Proceeds from Broker and Barter Exchange Transactions

2022 1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|---|------------------------|---|-------------------|-------------------------|--|---|--|
| GLD 08/26/2022 CALL \$162.00 / CUSIP: / Symbol: | | | | | | | |
| 6 transactions for 08/26/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 2.000 | 23.99 | 08/26/22 | 32.00 | ... | -8.01 | 1 of 6 - Option sale to close-call ²⁵ |
| | 1.000 | 5.99 | 08/26/22 | 13.00 | ... | -7.01 | 2 of 6 - Option sale to close-call ²⁵ |
| | 1.000 | 5.99 | 08/26/22 | 13.00 | ... | -7.01 | 3 of 6 - Option sale to close-call ²⁵ |
| | 1.000 | 12.00 | 08/26/22 | 16.00 | ... | -4.00 | 4 of 6 - Option sale to close-call ²⁵ |
| | 1.000 | 11.99 | 08/26/22 | 9.00 | ... | 2.99 | 5 of 6 - Option sale to close-call ²⁵ |
| | 2.000 | 23.99 | 08/26/22 | 18.00 | ... | 5.99 | 6 of 6 - Option sale to close-call ²⁵ |
| 08/26/22 | 8.000 | 83.95 | Various | 101.00 | ... | -17.05 | Total of 6 transactions |
| 2 transactions for 08/26/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 12.00 | 08/26/22 | 16.00 | 4.00 W | 0.00 | 1 of 2 - Option sale to close-call ²⁵ |
| | 1.000 | 12.00 | 08/26/22 | 16.00 | 4.00 W | 0.00 | 2 of 2 - Option sale to close-call ²⁵ |
| 08/26/22 | 2.000 | 24.00 | Various | 32.00 | 8.00 W | 0.00 | Total of 2 transactions |
| | Security total: | 107.95 | | 133.00 | 8.00 W | -17.05 | |
| GLD 08/26/2022 CALL \$165.00 / CUSIP: / Symbol: | | | | | | | |
| 08/25/22 | 1.000 | 22.98 | 08/23/22 | 26.00 | ... | -3.02 | Option sale to close-call ²⁵ |
| GLD 09/16/2022 CALL \$163.00 / CUSIP: / Symbol: | | | | | | | |
| 3 transactions for 09/15/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 0.98 | 09/13/22 | 7.00 | 6.02 W | 0.00 | 1 of 3 - Option sale to close-call ²⁵ |
| | 1.000 | 0.98 | 09/13/22 | 13.02 | 12.04 W | 0.00 | 2 of 3 - Option sale to close-call ²⁵ |
| | 1.000 | 0.98 | 09/13/22 | 7.00 | 6.02 W | 0.00 | 3 of 3 - Option sale to close-call ²⁵ |
| 09/15/22 | 3.000 | 2.94 | Various | 27.02 | 24.08 W | 0.00 | Total of 3 transactions |
| 6 transactions for 09/16/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 10.000 | 0.00 | 09/13/22 | 70.00 | ... | -70.00 | 1 of 6 - Option expiration- long call |
| | 3.000 | 0.00 | 09/13/22 | 21.00 | ... | -21.00 | 2 of 6 - Option expiration- long call |
| | 1.000 | 0.00 | 09/13/22 | 19.04 | ... | -19.04 | 3 of 6 - Option expiration- long call |
| | 1.000 | 0.00 | 09/13/22 | 13.02 | ... | -13.02 | 4 of 6 - Option expiration- long call |
| | 1.000 | 0.00 | 09/13/22 | 7.00 | ... | -7.00 | 5 of 6 - Option expiration- long call |
| | 1.000 | 0.00 | 09/13/22 | 7.00 | ... | -7.00 | 6 of 6 - Option expiration- long call |
| 09/16/22 | 17.000 | 0.00 | Various | 137.06 | ... | -137.06 | Total of 6 transactions |
| | Security total: | 2.94 | | 164.08 | 24.08 W | -137.06 | |

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 974228124

Proceeds from Broker and Barter Exchange Transactions

2022 1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|---|------------------------|---|-------------------|-------------------------|--|---|--|
| GLD 09/16/2022 CALL \$166.50 / CUSIP: / Symbol: | | | | | | | |
| 5 transactions for 09/12/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 8.00 | 09/09/22 | 6.00 | ... | 2.00 | 1 of 5 - Option sale to close-call ²⁵ |
| | 4.000 | 27.98 | 09/09/22 | 24.00 | ... | 3.98 | 2 of 5 - Option sale to close-call ²⁵ |
| | 6.000 | 41.98 | 09/09/22 | 36.00 | ... | 5.98 | 3 of 5 - Option sale to close-call ²⁵ |
| | 4.000 | 31.99 | 09/09/22 | 24.00 | ... | 7.99 | 4 of 5 - Option sale to close-call ²⁵ |
| | 5.000 | 39.98 | 09/09/22 | 30.00 | ... | 9.98 | 5 of 5 - Option sale to close-call ²⁵ |
| 09/12/22 | 20.000 | 149.93 | Various | 120.00 | ... | 29.93 | Total of 5 transactions |
| GLD 09/23/2022 CALL \$155.00 / CUSIP: / Symbol: | | | | | | | |
| 09/23/22 | 15.000 | 0.00 | 09/23/22 | 105.00 | ... | -105.00 | Option expiration- long call |
| GLD 10/28/2022 CALL \$158.00 / CUSIP: / Symbol: | | | | | | | |
| 8 transactions for 10/21/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 15.00 | 10/20/22 | 10.00 | ... | 5.00 | 1 of 8 - Option sale to close-call ²⁵ |
| | 1.000 | 15.00 | 10/20/22 | 10.00 | ... | 5.00 | 2 of 8 - Option sale to close-call ²⁵ |
| | 1.000 | 14.98 | 10/20/22 | 9.00 | ... | 5.98 | 3 of 8 - Option sale to close-call ²⁵ |
| | 1.000 | 14.98 | 10/20/22 | 9.00 | ... | 5.98 | 4 of 8 - Option sale to close-call ²⁵ |
| | 2.000 | 29.99 | 10/20/22 | 20.00 | ... | 9.99 | 5 of 8 - Option sale to close-call ²⁵ |
| | 2.000 | 29.99 | 10/20/22 | 20.00 | ... | 9.99 | 6 of 8 - Option sale to close-call ²⁵ |
| | 5.000 | 74.98 | 10/20/22 | 50.00 | ... | 24.98 | 7 of 8 - Option sale to close-call ²⁵ |
| | 7.000 | 104.97 | 10/20/22 | 70.00 | ... | 34.97 | 8 of 8 - Option sale to close-call ²⁵ |
| 10/21/22 | 20.000 | 299.89 | Various | 198.00 | ... | 101.89 | Total of 8 transactions |
| GOLD 09/02/2022 CALL \$16.00 / CUSIP: / Symbol: | | | | | | | |
| 3 transactions for 09/01/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 5.000 | 4.98 | 08/30/22 | 60.02 | ... | -55.04 | 1 of 3 - Option sale to close-call ²⁵ |
| | 3.000 | 2.98 | 08/30/22 | 36.01 | ... | -33.03 | 2 of 3 - Option sale to close-call ²⁵ |
| | 2.000 | 1.99 | 08/30/22 | 6.00 | ... | -4.01 | 3 of 3 - Option sale to close-call ²⁵ |
| 09/01/22 | 10.000 | 9.95 | Various | 102.03 | ... | -92.08 | Total of 3 transactions |
| 2 transactions for 09/01/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 5.000 | 4.99 | 08/26/22 | 50.00 | 27.01 W | -18.00 | 1 of 2 - Option sale to close-call ²⁵ |
| | 5.000 | 4.98 | 08/26/22 | 50.00 | 45.02 W | 0.00 | 2 of 2 - Option sale to close-call ²⁵ |
| 09/01/22 | 10.000 | 9.97 | Various | 100.00 | 72.03 W | -18.00 | Total of 2 transactions |
| | Security total: | 19.92 | | 202.03 | 72.03 W | -110.08 | |

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 974228124

Proceeds from Broker and Barter Exchange Transactions

2022 1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|---|------------------------|---|-------------------|-------------------------|--|---|--|
| GOLD 09/09/2022 CALL \$16.00 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 09/09/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 1.98 | 09/07/22 | 3.00 | ... | -1.02 | 1 of 2 - Option sale to close-call ²⁵ |
| | 24.000 | 71.94 | 09/07/22 | 72.00 | ... | -0.06 | 2 of 2 - Option sale to close-call ²⁵ |
| 09/09/22 | 25.000 | 73.92 | Various | 75.00 | ... | -1.08 | Total of 2 transactions |
| GOLD 09/23/2022 CALL \$16.00 / CUSIP: / Symbol: | | | | | | | |
| 09/22/22 | 50.000 | 99.88 | 09/21/22 | 200.00 | ... | -100.12 | Option sale to close-call ²⁵ |
| GOLD 09/23/2022 CALL \$17.00 / CUSIP: / Symbol: | | | | | | | |
| 09/20/22 | 10.000 | 19.97 | 09/19/22 | 30.00 | ... | -10.03 | Option sale to close-call ²⁵ |
| GOLD 09/30/2022 CALL \$14.50 / CUSIP: / Symbol: | | | | | | | |
| 09/28/22 | 9.000 | 224.97 | 09/27/22 | 135.00 | ... | 89.97 | Option sale to close-call ²⁵ |
| GOLD 10/07/2022 CALL \$16.50 / CUSIP: / Symbol: | | | | | | | |
| 4 transactions for 10/03/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 9.98 | 09/29/22 | 6.00 | ... | 3.98 | 1 of 4 - Option sale to close-call ²⁵ |
| | 2.000 | 19.98 | 09/29/22 | 12.00 | ... | 7.98 | 2 of 4 - Option sale to close-call ²⁵ |
| | 3.000 | 29.98 | 09/29/22 | 18.00 | ... | 11.98 | 3 of 4 - Option sale to close-call ²⁵ |
| | 4.000 | 39.98 | 09/29/22 | 24.00 | ... | 15.98 | 4 of 4 - Option sale to close-call ²⁵ |
| 10/03/22 | 10.000 | 99.92 | Various | 60.00 | ... | 39.92 | Total of 4 transactions |
| 10/04/22 | 5.000 | 59.98 | 09/29/22 | 30.00 | ... | 29.98 | Option sale to close-call ²⁵ |
| | Security total: | 159.90 | | 90.00 | ... | 69.90 | |
| GOLD 10/14/2022 CALL \$16.00 / CUSIP: / Symbol: | | | | | | | |
| 3 transactions for 10/13/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 8.000 | 7.97 | 10/12/22 | 32.00 | ... | -24.03 | 1 of 3 - Option sale to close-call ²⁵ |
| | 3.000 | 2.98 | 10/12/22 | 12.00 | ... | -9.02 | 2 of 3 - Option sale to close-call ²⁵ |
| | 2.000 | 1.98 | 10/12/22 | 8.00 | ... | -6.02 | 3 of 3 - Option sale to close-call ²⁵ |
| 10/13/22 | 13.000 | 12.93 | Various | 52.00 | ... | -39.07 | Total of 3 transactions |
| 10/14/22 | 7.000 | 0.00 | 10/12/22 | 28.00 | ... | -28.00 | Option expiration- long call |
| | Security total: | 12.93 | | 80.00 | ... | -67.07 | |

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 974228124

Proceeds from Broker and Barter Exchange Transactions

2022 1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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|---|----------|---|-------------------|-------------------------|--|---|--|
| GOLD 10/14/2022 CALL \$16.50 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 10/10/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 12.000 | 71.96 | 10/07/22 | 132.00 | ... | -60.04 | 1 of 2 - Option sale to close-call ²⁵ |
| | 5.000 | 29.99 | 10/07/22 | 55.00 | ... | -25.01 | 2 of 2 - Option sale to close-call ²⁵ |
| 10/10/22 | 17.000 | 101.95 | Various | 187.00 | ... | -85.05 | Total of 2 transactions |
| GOLD 10/21/2022 CALL \$15.50 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 10/21/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 7.000 | 0.00 | 10/14/22 | 49.00 | ... | -49.00 | 1 of 2 - Option expiration- long call |
| | 1.000 | 0.00 | 10/14/22 | 7.00 | ... | -7.00 | 2 of 2 - Option expiration- long call |
| 10/21/22 | 8.000 | 0.00 | Various | 56.00 | ... | -56.00 | Total of 2 transactions |
| GOLD 10/28/2022 CALL \$16.00 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 10/28/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 49.000 | 97.88 | 10/27/22 | 147.00 | ... | -49.12 | 1 of 2 - Option sale to close-call ²⁵ |
| | 1.000 | 2.00 | 10/27/22 | 3.00 | ... | -1.00 | 2 of 2 - Option sale to close-call ²⁵ |
| 10/28/22 | 50.000 | 99.88 | Various | 150.00 | ... | -50.12 | Total of 2 transactions |
| GOLD 11/11/2022 CALL \$16.00 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 11/10/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 10.000 | 299.97 | 11/09/22 | 80.00 | ... | 219.97 | 1 of 2 - Option sale to close-call ²⁵ |
| | 10.000 | 309.97 | 11/09/22 | 80.00 | ... | 229.97 | 2 of 2 - Option sale to close-call ²⁵ |
| 11/10/22 | 20.000 | 609.94 | Various | 160.00 | ... | 449.94 | Total of 2 transactions |
| GOLD 11/25/2022 CALL \$16.00 / CUSIP: / Symbol: | | | | | | | |
| 6 transactions for 11/22/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 17.98 | 11/21/22 | 5.00 | ... | 12.98 | 1 of 6 - Option sale to close-call ²⁵ |
| | 1.000 | 17.98 | 11/21/22 | 5.00 | ... | 12.98 | 2 of 6 - Option sale to close-call ²⁵ |
| | 2.000 | 31.99 | 11/21/22 | 10.00 | ... | 21.99 | 3 of 6 - Option sale to close-call ²⁵ |
| | 3.000 | 47.99 | 11/21/22 | 15.00 | ... | 32.99 | 4 of 6 - Option sale to close-call ²⁵ |
| | 3.000 | 53.98 | 11/21/22 | 15.00 | ... | 38.98 | 5 of 6 - Option sale to close-call ²⁵ |
| | 5.000 | 79.98 | 11/21/22 | 25.00 | ... | 54.98 | 6 of 6 - Option sale to close-call ²⁵ |
| 11/22/22 | 15.000 | 249.90 | Various | 75.00 | ... | 174.90 | Total of 6 transactions |

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 974228124

Proceeds from Broker and Barter Exchange Transactions

2022 1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|------------------------|---|-------------------|-------------------------|--|---|--|
| GOLD 11/25/2022 CALL \$16.00 / CUSIP: / Symbol: (cont'd) | | | | | | | |
| 2 transactions for 11/23/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 2.000 | 21.98 | 11/21/22 | 10.00 | ... | 11.98 | 1 of 2 - Option sale to close-call ²⁵ |
| | 3.000 | 29.98 | 11/21/22 | 15.00 | ... | 14.98 | 2 of 2 - Option sale to close-call ²⁵ |
| 11/23/22 | 5.000 | 51.96 | Various | 25.00 | ... | 26.96 | Total of 2 transactions |
| | Security total: | 301.86 | | 100.00 | ... | 201.86 | |
| GOOGL 03/25/2022 PUT \$2,800.00 / CUSIP: / Symbol: | | | | | | | |
| 03/25/22 | 1.000 | 659.98 | 03/25/22 | 300.00 | ... | 359.98 | Option sale to close-put ²⁵ |
| GOOGL 04/01/2022 PUT \$2,780.00 / CUSIP: / Symbol: | | | | | | | |
| 04/01/22 | 1.000 | 14.98 | 04/01/22 | 180.00 | ... | -165.02 | Option sale to close-put ²⁵ |
| GOOGL 05/20/2022 PUT \$2,100.00 / CUSIP: / Symbol: | | | | | | | |
| 05/17/22 | 1.000 | 124.98 | 05/13/22 | 470.00 | ... | -345.02 | Option sale to close-put ²⁵ |
| GOOGL 05/20/2022 PUT \$2,150.00 / CUSIP: / Symbol: | | | | | | | |
| 05/18/22 | 1.000 | 224.98 | 05/17/22 | 170.00 | ... | 54.98 | Option sale to close-put ²⁵ |
| GOOGL 06/24/2022 PUT \$2,115.00 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 06/24/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 0.00 | 06/23/22 | 195.00 | ... | -195.00 | 1 of 2 - Option expiration- long put |
| | 1.000 | 0.00 | 06/23/22 | 100.00 | ... | -100.00 | 2 of 2 - Option expiration- long put |
| 06/24/22 | 2.000 | 0.00 | Various | 295.00 | ... | -295.00 | Total of 2 transactions |
| GOOGL 07/08/2022 PUT \$2,130.00 / CUSIP: / Symbol: | | | | | | | |
| 07/08/22 | 1.000 | 0.00 | 07/06/22 | 120.00 | ... | -120.00 | Option expiration- long put |
| GOOGL 07/29/2022 CALL \$116.50 / CUSIP: / Symbol: | | | | | | | |
| 07/26/22 | 5.000 | 224.98 | 07/25/22 | 300.00 | 45.01 W | -30.01 | Option sale to close-call ²⁵ |
| 07/28/22 | 3.000 | 14.98 | 07/27/22 | 105.01 | ... | -90.03 | Option sale to close-call ²⁵ |
| | Security total: | 239.96 | | 405.01 | 45.01 W | -120.04 | |
| GOOGL 08/12/2022 CALL \$122.50 / CUSIP: / Symbol: | | | | | | | |
| 08/12/22 | 20.000 | 0.00 | 08/11/22 | 100.00 | ... | -100.00 | Option expiration- long call |

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Robinhood Markets Inc. as agent for
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Account 974228124

Proceeds from Broker and Barter Exchange Transactions

2022 1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|---|----------|---|-------------------|-------------------------|--|---|--|
| GOOGL 08/26/2022 CALL \$117.75 / CUSIP: / Symbol: | | | | | | | |
| 08/25/22 | 1.000 | 45.98 | 08/24/22 | 16.00 | ... | 29.98 | Option sale to close-call ²⁵ |
| GOOGL 08/26/2022 CALL \$119.50 / CUSIP: / Symbol: | | | | | | | |
| 3 transactions for 08/23/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 2.000 | 27.99 | 08/22/22 | 40.00 | ... | -12.01 | 1 of 3 - Option sale to close-call ²⁵ |
| | 2.000 | 27.99 | 08/22/22 | 40.00 | ... | -12.01 | 2 of 3 - Option sale to close-call ²⁵ |
| | 1.000 | 14.00 | 08/22/22 | 20.00 | ... | -6.00 | 3 of 3 - Option sale to close-call ²⁵ |
| 08/23/22 | 5.000 | 69.98 | Various | 100.00 | ... | -30.02 | Total of 3 transactions |
| GOOGL 09/02/2022 CALL \$120.00 / CUSIP: / Symbol: | | | | | | | |
| 4 transactions for 08/30/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 5.000 | 9.98 | 08/29/22 | 20.00 | ... | -10.02 | 1 of 4 - Option sale to close-call ²⁵ |
| | 5.000 | 9.98 | 08/29/22 | 20.00 | ... | -10.02 | 2 of 4 - Option sale to close-call ²⁵ |
| | 5.000 | 9.98 | 08/29/22 | 20.00 | ... | -10.02 | 3 of 4 - Option sale to close-call ²⁵ |
| | 5.000 | 9.98 | 08/29/22 | 20.00 | ... | -10.02 | 4 of 4 - Option sale to close-call ²⁵ |
| 08/30/22 | 20.000 | 39.92 | Various | 80.00 | ... | -40.08 | Total of 4 transactions |
| GOOGL 09/23/2022 CALL \$105.00 / CUSIP: / Symbol: | | | | | | | |
| 4 transactions for 09/23/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 5.000 | 4.98 | 09/22/22 | 25.00 | ... | -20.02 | 1 of 4 - Option sale to close-call ²⁵ |
| | 5.000 | 4.98 | 09/22/22 | 25.00 | ... | -20.02 | 2 of 4 - Option sale to close-call ²⁵ |
| | 5.000 | 4.98 | 09/22/22 | 25.00 | ... | -20.02 | 3 of 4 - Option sale to close-call ²⁵ |
| | 5.000 | 4.98 | 09/22/22 | 25.00 | ... | -20.02 | 4 of 4 - Option sale to close-call ²⁵ |
| 09/23/22 | 20.000 | 19.92 | Various | 100.00 | ... | -80.08 | Total of 4 transactions |
| GOOGL 09/30/2022 CALL \$103.00 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 09/27/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 5.000 | 89.98 | 09/27/22 | 90.00 | ... | -0.02 | 1 of 2 - Option sale to close-call ²⁵ |
| | 5.000 | 89.99 | 09/27/22 | 90.00 | ... | -0.01 | 2 of 2 - Option sale to close-call ²⁵ |
| 09/27/22 | 10.000 | 179.97 | Various | 180.00 | ... | -0.03 | Total of 2 transactions |
| GOOGL 12/09/2022 CALL \$99.00 / CUSIP: / Symbol: | | | | | | | |
| 12/09/22 | 16.000 | 0.00 | 12/08/22 | 112.00 | ... | -112.00 | Option expiration- long call |

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 974228124

Proceeds from Broker and Barter Exchange Transactions

2022 1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|---|----------|---|-------------------|-------------------------|--|---|--|
| HOOD 05/20/2022 CALL \$10.50 / CUSIP: / Symbol: | | | | | | | |
| 05/20/22 | 10.000 | 19.97 | 05/19/22 | 90.00 | ... | -70.03 | Option sale to close-call ²⁵ |
| HOOD 06/03/2022 CALL \$11.00 / CUSIP: / Symbol: | | | | | | | |
| 4 transactions for 06/03/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 32.000 | 0.00 | 06/01/22 | 160.00 | ... | -160.00 | 1 of 4 - Option expiration- long call |
| | 4.000 | 3.98 | 06/01/22 | 20.00 | ... | -16.02 | 2 of 4 - Option sale to close-call ²⁵ |
| | 3.000 | 2.98 | 06/01/22 | 15.00 | ... | -12.02 | 3 of 4 - Option sale to close-call ²⁵ |
| | 1.000 | 0.98 | 06/01/22 | 5.00 | ... | -4.02 | 4 of 4 - Option sale to close-call ²⁵ |
| 06/03/22 | 40.000 | 7.94 | Various | 200.00 | ... | -192.06 | Total of 4 transactions |
| HOOD 10/28/2022 CALL \$11.50 / CUSIP: / Symbol: | | | | | | | |
| 5 transactions for 10/26/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 16.00 | 10/21/22 | 5.00 | ... | 11.00 | 1 of 5 - Option sale to close-call ²⁵ |
| | 1.000 | 16.00 | 10/21/22 | 5.00 | ... | 11.00 | 2 of 5 - Option sale to close-call ²⁵ |
| | 3.000 | 47.99 | 10/21/22 | 15.00 | ... | 32.99 | 3 of 5 - Option sale to close-call ²⁵ |
| | 4.000 | 63.98 | 10/21/22 | 20.00 | ... | 43.98 | 4 of 5 - Option sale to close-call ²⁵ |
| | 11.000 | 175.96 | 10/21/22 | 55.00 | ... | 120.96 | 5 of 5 - Option sale to close-call ²⁵ |
| 10/26/22 | 20.000 | 319.93 | Various | 100.00 | ... | 219.93 | Total of 5 transactions |
| HOOD 12/09/2022 CALL \$10.50 / CUSIP: / Symbol: | | | | | | | |
| 12/09/22 | 14.000 | 0.00 | 12/06/22 | 70.00 | ... | -70.00 | Option expiration- long call |
| HOOD 12/23/2022 CALL \$10.00 / CUSIP: / Symbol: | | | | | | | |
| 4 transactions for 12/20/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 12.000 | 11.97 | 12/16/22 | 36.00 | ... | -24.03 | 1 of 4 - Option sale to close-call ²⁵ |
| | 11.000 | 10.97 | 12/16/22 | 33.00 | ... | -22.03 | 2 of 4 - Option sale to close-call ²⁵ |
| | 6.000 | 5.99 | 12/16/22 | 18.00 | ... | -12.01 | 3 of 4 - Option sale to close-call ²⁵ |
| | 4.000 | 3.99 | 12/16/22 | 12.00 | ... | -8.01 | 4 of 4 - Option sale to close-call ²⁵ |
| 12/20/22 | 33.000 | 32.92 | Various | 99.00 | ... | -66.08 | Total of 4 transactions |
| IPOF 04/14/2022 CALL \$10.00 / CUSIP: / Symbol: | | | | | | | |
| 4 transactions for 04/01/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 4.000 | 47.98 | 03/29/22 | 76.00 | ... | -28.02 | 1 of 4 - Option sale to close-call ²⁵ |
| | 2.000 | 23.98 | 03/29/22 | 38.00 | ... | -14.02 | 2 of 4 - Option sale to close-call ²⁵ |

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 974228124

Proceeds from Broker and Barter Exchange Transactions

2022 1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|---|----------|---|-------------------|-------------------------|--|---|--|
| IPOF 04/14/2022 CALL \$10.00 / CUSIP: / Symbol: (cont'd) | | | | | | | |
| | 2.000 | 23.98 | 03/29/22 | 38.00 | ... | -14.02 | 3 of 4 - Option sale to close-call ²⁵ |
| | 2.000 | 23.98 | 03/29/22 | 38.00 | ... | -14.02 | 4 of 4 - Option sale to close-call ²⁵ |
| 04/01/22 | 10.000 | 119.92 | Various | 190.00 | ... | -70.08 | Total of 4 transactions |
| KO 04/29/2022 CALL \$66.00 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 04/29/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 10.000 | 49.97 | 04/28/22 | 80.00 | ... | -30.03 | 1 of 2 - Option sale to close-call ²⁵ |
| | 5.000 | 134.98 | 04/28/22 | 40.00 | ... | 94.98 | 2 of 2 - Option sale to close-call ²⁵ |
| 04/29/22 | 15.000 | 184.95 | Various | 120.00 | ... | 64.95 | Total of 2 transactions |
| KO 06/10/2022 CALL \$65.00 / CUSIP: / Symbol: | | | | | | | |
| 06/10/22 | 1.000 | 0.00 | 06/03/22 | 11.00 | ... | -11.00 | Option expiration- long call |
| KOLD 08/19/2022 CALL \$11.00 / CUSIP: / Symbol: | | | | | | | |
| 08/19/22 | 1.000 | 0.00 | 08/17/22 | 31.00 | ... | -31.00 | Option expiration- long call |
| KOLD 08/19/2022 CALL \$15.00 / CUSIP: / Symbol: | | | | | | | |
| 3 transactions for 08/19/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 0.00 | 08/12/22 | 25.00 | ... | -25.00 | 1 of 3 - Option expiration- long call |
| | 1.000 | 0.00 | 08/12/22 | 25.00 | ... | -25.00 | 2 of 3 - Option expiration- long call |
| | 1.000 | 0.00 | 08/12/22 | 25.00 | ... | -25.00 | 3 of 3 - Option expiration- long call |
| 08/19/22 | 3.000 | 0.00 | Various | 75.00 | ... | -75.00 | Total of 3 transactions |
| KOLD 08/19/2022 CALL \$19.00 / CUSIP: / Symbol: | | | | | | | |
| 3 transactions for 08/19/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 0.00 | 07/20/22 | 255.00 | ... | -255.00 | 1 of 3 - Option expiration- long call |
| | 1.000 | 0.00 | 07/25/22 | 129.00 | ... | -129.00 | 2 of 3 - Option expiration- long call |
| | 1.000 | 0.00 | 08/03/22 | 65.00 | ... | -65.00 | 3 of 3 - Option expiration- long call |
| 08/19/22 | 3.000 | 0.00 | Various | 449.00 | ... | -449.00 | Total of 3 transactions |
| KOLD 09/16/2022 CALL \$13.00 / CUSIP: / Symbol: | | | | | | | |
| 09/06/22 | 2.000 | 257.98 | 08/19/22 | 190.00 | ... | 67.98 | Option sale to close-call ²⁵ |
| KOLD 12/16/2022 CALL \$16.00 / CUSIP: / Symbol: | | | | | | | |
| 12/14/22 | 1.000 | 54.98 | 12/13/22 | 20.00 | ... | 34.98 | Option sale to close-call ²⁵ |

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 974228124

Proceeds from Broker and Barter Exchange Transactions

2022 1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|----------|---|-------------------|-------------------------|--|---|--|
| LABU 01/06/2023 CALL \$7.50 / CUSIP: / Symbol: | | | | | | | |
| 12/29/22 | 5.000 | 99.98 | 12/23/22 | 125.00 | ... | -25.02 | Option sale to close-call ²⁵ |
| LABU 04/14/2022 CALL \$16.00 / CUSIP: / Symbol: | | | | | | | |
| 04/12/22 | 10.000 | 349.97 | 04/11/22 | 400.00 | ... | -50.03 | Option sale to close-call ²⁵ |
| LABU 04/22/2022 CALL \$14.00 / CUSIP: / Symbol: | | | | | | | |
| 5 transactions for 04/22/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 10.000 | 0.00 | 04/18/22 | 500.00 | ... | -500.00 | 1 of 5 - Option expiration- long call |
| | 3.000 | 0.00 | 04/19/22 | 60.00 | ... | -60.00 | 2 of 5 - Option expiration- long call |
| | 5.000 | 0.00 | 04/20/22 | 75.00 | ... | -75.00 | 3 of 5 - Option expiration- long call |
| | 3.000 | 0.00 | 04/20/22 | 30.00 | ... | -30.00 | 4 of 5 - Option expiration- long call |
| | 2.000 | 0.00 | 04/20/22 | 20.00 | ... | -20.00 | 5 of 5 - Option expiration- long call |
| 04/22/22 | 23.000 | 0.00 | Various | 685.00 | ... | -685.00 | Total of 5 transactions |
| LABU 04/29/2022 CALL \$12.00 / CUSIP: / Symbol: | | | | | | | |
| 3 transactions for 04/29/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 9.000 | 0.00 | 04/26/22 | 225.00 | ... | -225.00 | 1 of 3 - Option expiration- long call |
| | 10.000 | 0.00 | 04/26/22 | 150.00 | ... | -150.00 | 2 of 3 - Option expiration- long call |
| | 1.000 | 0.00 | 04/26/22 | 25.00 | ... | -25.00 | 3 of 3 - Option expiration- long call |
| 04/29/22 | 20.000 | 0.00 | Various | 400.00 | ... | -400.00 | Total of 3 transactions |
| LABU 05/06/2022 CALL \$10.50 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 05/03/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 4.000 | 59.98 | 04/29/22 | 60.00 | ... | -0.02 | 1 of 2 - Option sale to close-call ²⁵ |
| | 6.000 | 89.98 | 04/29/22 | 90.00 | ... | -0.02 | 2 of 2 - Option sale to close-call ²⁵ |
| 05/03/22 | 10.000 | 149.96 | Various | 150.00 | ... | -0.04 | Total of 2 transactions |
| LABU 05/13/2022 CALL \$5.00 / CUSIP: / Symbol: | | | | | | | |
| 4 transactions for 05/13/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 5.000 | 274.98 | 05/11/22 | 150.00 | ... | 124.98 | 1 of 4 - Option sale to close-call ²⁵ |
| | 5.000 | 274.99 | 05/11/22 | 150.00 | ... | 124.99 | 2 of 4 - Option sale to close-call ²⁵ |
| | 2.000 | 109.98 | 05/12/22 | 20.00 | ... | 89.98 | 3 of 4 - Option sale to close-call ²⁵ |
| | 3.000 | 164.98 | 05/12/22 | 30.00 | ... | 134.98 | 4 of 4 - Option sale to close-call ²⁵ |
| 05/13/22 | 15.000 | 824.93 | Various | 350.00 | ... | 474.93 | Total of 4 transactions |

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 974228124

Proceeds from Broker and Barter Exchange Transactions

2022 1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|------------------------|---|-------------------|-------------------------|--|---|--|
| LABU 06/10/2022 CALL \$6.50 / CUSIP: / Symbol: | | | | | | | |
| 3 transactions for 06/08/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 5.000 | 204.98 | 06/07/22 | 85.00 | ... | 119.98 | 1 of 3 - Option sale to close-call ²⁵ |
| | 5.000 | 204.98 | 06/07/22 | 85.00 | ... | 119.98 | 2 of 3 - Option sale to close-call ²⁵ |
| | 10.000 | 549.96 | 06/07/22 | 180.00 | ... | 369.96 | 3 of 3 - Option sale to close-call ²⁵ |
| 06/08/22 | 20.000 | 959.92 | Various | 350.00 | ... | 609.92 | Total of 3 transactions |
| LABU 07/29/2022 CALL \$10.00 / CUSIP: / Symbol: | | | | | | | |
| 07/29/22 | 10.000 | 0.00 | 07/26/22 | 170.00 | ... | -170.00 | Option expiration- long call |
| LABU 08/19/2022 CALL \$11.00 / CUSIP: / Symbol: | | | | | | | |
| 08/19/22 | 10.000 | 0.00 | 08/18/22 | 150.00 | ... | -150.00 | Option expiration- long call |
| LABU 08/26/2022 CALL \$12.00 / CUSIP: / Symbol: | | | | | | | |
| 08/26/22 | 1.000 | 0.00 | 08/22/22 | 10.00 | ... | -10.00 | Option expiration- long call |
| LABU 08/26/2022 CALL \$12.50 / CUSIP: / Symbol: | | | | | | | |
| 08/26/22 | 1.000 | 0.00 | 08/19/22 | 10.00 | ... | -10.00 | Option expiration- long call |
| LABU 09/02/2022 CALL \$9.50 / CUSIP: / Symbol: | | | | | | | |
| 3 transactions for 08/30/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 9.98 | 08/30/22 | 40.01 | ... | -30.03 | 1 of 3 - Option sale to close-call ²⁵ |
| | 1.000 | 11.98 | 08/30/22 | 40.00 | ... | -28.02 | 2 of 3 - Option sale to close-call ²⁵ |
| | 1.000 | 9.99 | 08/30/22 | 25.00 | ... | -15.01 | 3 of 3 - Option sale to close-call ²⁵ |
| 08/30/22 | 3.000 | 31.95 | Various | 105.01 | ... | -73.06 | Total of 3 transactions |
| 08/30/22 | 2.000 | 19.99 | 08/30/22 | 50.00 | 30.01 W | 0.00 | Option sale to close-call ²⁵ |
| | Security total: | 51.94 | | 155.01 | 30.01 W | -73.06 | |
| LABU 09/23/2022 CALL \$10.50 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 09/23/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 20.000 | 0.00 | 09/16/22 | 160.00 | ... | -160.00 | 1 of 2 - Option expiration- long call |
| | 8.000 | 0.00 | 09/19/22 | 40.00 | ... | -40.00 | 2 of 2 - Option expiration- long call |
| 09/23/22 | 28.000 | 0.00 | Various | 200.00 | ... | -200.00 | Total of 2 transactions |

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 974228124

Proceeds from Broker and Barter Exchange Transactions

2022 1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|------------------------|---|-------------------|-------------------------|--|---|--|
| LABU 10/07/2022 CALL \$8.00 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 10/05/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 4.000 | 107.98 | 10/05/22 | 100.00 | ... | 7.98 | 1 of 2 - Option sale to close-call ²⁵ |
| | 6.000 | 161.98 | 10/05/22 | 150.00 | ... | 11.98 | 2 of 2 - Option sale to close-call ²⁵ |
| 10/05/22 | 10.000 | 269.96 | Various | 250.00 | ... | 19.96 | Total of 2 transactions |
| LABU 11/25/2022 CALL \$7.50 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 11/25/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 19.000 | 0.00 | 11/21/22 | 190.00 | ... | -190.00 | 1 of 2 - Option expiration- long call |
| | 1.000 | 0.00 | 11/21/22 | 10.00 | ... | -10.00 | 2 of 2 - Option expiration- long call |
| 11/25/22 | 20.000 | 0.00 | Various | 200.00 | ... | -200.00 | Total of 2 transactions |
| LABU 12/30/2022 CALL \$6.50 / CUSIP: / Symbol: | | | | | | | |
| 12/29/22 | 20.000 | 439.94 | 12/28/22 | 200.00 | ... | 239.94 | Option sale to close-call ²⁵ |
| LAZR 09/23/2022 CALL \$11.00 / CUSIP: / Symbol: | | | | | | | |
| 6 transactions for 09/21/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 7.000 | 34.97 | 09/20/22 | 49.00 | ... | -14.03 | 1 of 6 - Option sale to close-call ²⁵ |
| | 6.000 | 29.98 | 09/20/22 | 42.00 | ... | -12.02 | 2 of 6 - Option sale to close-call ²⁵ |
| | 3.000 | 14.98 | 09/20/22 | 21.00 | ... | -6.02 | 3 of 6 - Option sale to close-call ²⁵ |
| | 1.000 | 4.98 | 09/20/22 | 9.02 | ... | -4.04 | 4 of 6 - Option sale to close-call ²⁵ |
| | 1.000 | 4.98 | 09/20/22 | 7.00 | ... | -2.02 | 5 of 6 - Option sale to close-call ²⁵ |
| | 1.000 | 4.98 | 09/20/22 | 7.00 | ... | -2.02 | 6 of 6 - Option sale to close-call ²⁵ |
| 09/21/22 | 19.000 | 94.87 | Various | 135.02 | ... | -40.15 | Total of 6 transactions |
| 09/21/22 | 1.000 | 4.98 | 09/20/22 | 7.00 | 2.02 W | 0.00 | Option sale to close-call ²⁵ |
| | Security total: | 99.85 | | 142.02 | 2.02 W | -40.15 | |
| LCID 09/30/2022 CALL \$16.50 / CUSIP: / Symbol: | | | | | | | |
| 09/29/22 | 10.000 | 19.97 | 09/27/22 | 100.00 | ... | -80.03 | Option sale to close-call ²⁵ |
| LCID 12/23/2022 CALL \$9.00 / CUSIP: / Symbol: | | | | | | | |
| 4 transactions for 12/21/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 14.000 | 13.96 | 12/20/22 | 42.00 | ... | -28.04 | 1 of 4 - Option sale to close-call ²⁵ |
| | 9.000 | 8.97 | 12/20/22 | 27.00 | ... | -18.03 | 2 of 4 - Option sale to close-call ²⁵ |
| | 8.000 | 7.97 | 12/20/22 | 24.00 | ... | -16.03 | 3 of 4 - Option sale to close-call ²⁵ |

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 974228124

Proceeds from Broker and Barter Exchange Transactions

2022 1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|---|------------------------|---|-------------------|-------------------------|--|---|--|
| LCID 12/23/2022 CALL \$9.00 / CUSIP: / Symbol: (cont'd) | | | | | | | |
| | 1.000 | 1.00 | 12/20/22 | 5.00 | ... | -4.00 | 4 of 4 - Option sale to close-call ²⁵ |
| 12/21/22 | 32.000 | 31.90 | Various | 98.00 | ... | -66.10 | Total of 4 transactions |
| 12/21/22 | 1.000 | 1.00 | 12/20/22 | 3.00 | 2.00 W | 0.00 | Option sale to close-call ²⁵ |
| | Security total: | 32.90 | | 101.00 | 2.00 W | -66.10 | |
| MARA 04/22/2022 CALL \$22.00 / CUSIP: / Symbol: | | | | | | | |
| 04/21/22 | 15.000 | 539.96 | 04/20/22 | 345.00 | ... | 194.96 | Option sale to close-call ²⁵ |
| MARA 04/29/2022 CALL \$21.50 / CUSIP: / Symbol: | | | | | | | |
| 3 transactions for 04/28/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 17.000 | 33.95 | 04/27/22 | 85.00 | ... | -51.05 | 1 of 3 - Option sale to close-call ²⁵ |
| | 2.000 | 4.00 | 04/27/22 | 10.00 | ... | -6.00 | 2 of 3 - Option sale to close-call ²⁵ |
| | 1.000 | 2.00 | 04/27/22 | 5.00 | ... | -3.00 | 3 of 3 - Option sale to close-call ²⁵ |
| 04/28/22 | 20.000 | 39.95 | Various | 100.00 | ... | -60.05 | Total of 3 transactions |
| MARA 07/08/2022 CALL \$6.50 / CUSIP: / Symbol: | | | | | | | |
| 4 transactions for 07/06/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 9.000 | 44.98 | 07/01/22 | 81.00 | ... | -36.02 | 1 of 4 - Option sale to close-call ²⁵ |
| | 6.000 | 29.98 | 07/01/22 | 54.00 | ... | -24.02 | 2 of 4 - Option sale to close-call ²⁵ |
| | 3.000 | 14.99 | 07/01/22 | 27.00 | ... | -12.01 | 3 of 4 - Option sale to close-call ²⁵ |
| | 1.000 | 5.00 | 07/01/22 | 13.02 | ... | -8.02 | 4 of 4 - Option sale to close-call ²⁵ |
| 07/06/22 | 19.000 | 94.95 | Various | 175.02 | ... | -80.07 | Total of 4 transactions |
| 07/06/22 | 1.000 | 4.98 | 07/01/22 | 9.00 | 4.02 W | 0.00 | Option sale to close-call ²⁵ |
| | Security total: | 99.93 | | 184.02 | 4.02 W | -80.07 | |
| MARA 07/22/2022 PUT \$12.00 / CUSIP: / Symbol: | | | | | | | |
| 07/22/22 | 10.000 | 49.97 | 07/21/22 | 110.00 | ... | -60.03 | Option sale to close-put ²⁵ |
| MARA 11/18/2022 CALL \$9.00 / CUSIP: / Symbol: | | | | | | | |
| 8 transactions for 11/18/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 13.000 | 25.96 | 11/17/22 | 52.00 | ... | -26.04 | 1 of 8 - Option sale to close-call ²⁵ |
| | 6.000 | 11.98 | 11/17/22 | 24.00 | ... | -12.02 | 2 of 8 - Option sale to close-call ²⁵ |
| | 1.000 | 2.00 | 11/17/22 | 4.00 | ... | -2.00 | 3 of 8 - Option sale to close-call ²⁵ |
| | 1.000 | 2.00 | 11/17/22 | 4.00 | ... | -2.00 | 4 of 8 - Option sale to close-call ²⁵ |

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 974228124

Proceeds from Broker and Barter Exchange Transactions

2022 1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|---|------------------------|---|-------------------|-------------------------|--|---|--|
| MARA 11/18/2022 CALL \$9.00 / CUSIP: / Symbol: (cont'd) | | | | | | | |
| | 1.000 | 2.00 | 11/17/22 | 4.00 | ... | -2.00 | 5 of 8 - Option sale to close-call ²⁵ |
| | 1.000 | 2.00 | 11/17/22 | 4.00 | ... | -2.00 | 6 of 8 - Option sale to close-call ²⁵ |
| | 1.000 | 2.00 | 11/17/22 | 4.00 | ... | -2.00 | 7 of 8 - Option sale to close-call ²⁵ |
| | 1.000 | 2.00 | 11/17/22 | 4.00 | ... | -2.00 | 8 of 8 - Option sale to close-call ²⁵ |
| 11/18/22 | 25.000 | 49.94 | Various | 100.00 | ... | -50.06 | Total of 8 transactions |
| MDGL 01/20/2023 PUT \$110.00 / CUSIP: / Symbol: | | | | | | | |
| 12/20/22 | 1.000 | 29.98 | 12/19/22 | 100.00 | ... | -70.02 | Option sale to close-put ²⁵ |
| META 07/22/2022 PUT \$160.00 / CUSIP: / Symbol: | | | | | | | |
| 07/21/22 | 5.000 | 14.98 | 07/20/22 | 85.00 | ... | -70.02 | Option sale to close-put ²⁵ |
| NET 06/03/2022 CALL \$64.00 / CUSIP: / Symbol: | | | | | | | |
| 4 transactions for 06/03/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 3.000 | 0.00 | 06/02/22 | 24.00 | ... | -24.00 | 1 of 4 - Option expiration- long call |
| | 1.000 | 0.00 | 06/02/22 | 20.02 | ... | -20.02 | 2 of 4 - Option expiration- long call |
| | 1.000 | 0.00 | 06/02/22 | 14.01 | ... | -14.01 | 3 of 4 - Option expiration- long call |
| | 1.000 | 0.00 | 06/02/22 | 14.01 | ... | -14.01 | 4 of 4 - Option expiration- long call |
| 06/03/22 | 6.000 | 0.00 | Various | 72.04 | ... | -72.04 | Total of 4 transactions |
| 4 transactions for 06/03/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 1.99 | 06/02/22 | 8.00 | 6.01 W | 0.00 | 1 of 4 - Option sale to close-call ²⁵ |
| | 1.000 | 1.99 | 06/02/22 | 8.00 | 6.01 W | 0.00 | 2 of 4 - Option sale to close-call ²⁵ |
| | 1.000 | 1.99 | 06/02/22 | 14.01 | 12.02 W | 0.00 | 3 of 4 - Option sale to close-call ²⁵ |
| | 1.000 | 1.99 | 06/02/22 | 8.00 | 6.01 W | 0.00 | 4 of 4 - Option sale to close-call ²⁵ |
| 06/03/22 | 4.000 | 7.96 | Various | 38.01 | 30.05 W | 0.00 | Total of 4 transactions |
| | Security total: | 7.96 | | 110.05 | 30.05 W | -72.04 | |
| NFLX 04/22/2022 CALL \$242.50 / CUSIP: / Symbol: | | | | | | | |
| 3 transactions for 04/21/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 4.000 | 79.99 | 04/21/22 | 252.00 | ... | -172.01 | 1 of 3 - Option sale to close-call ²⁵ |
| | 5.000 | 99.98 | 04/21/22 | 200.00 | ... | -100.02 | 2 of 3 - Option sale to close-call ²⁵ |
| | 1.000 | 20.00 | 04/21/22 | 63.00 | ... | -43.00 | 3 of 3 - Option sale to close-call ²⁵ |
| 04/21/22 | 10.000 | 199.97 | Various | 515.00 | ... | -315.03 | Total of 3 transactions |

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 974228124

Proceeds from Broker and Barter Exchange Transactions

2022 1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|---|----------|---|-------------------|-------------------------|--|---|--|
| NFLX 05/13/2022 CALL \$210.00 / CUSIP: / Symbol: | | | | | | | |
| 6 transactions for 05/10/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 6.000 | 65.98 | 05/09/22 | 126.00 | ... | -60.02 | 1 of 6 - Option sale to close-call ²⁵ |
| | 2.000 | 21.99 | 05/09/22 | 42.00 | ... | -20.01 | 2 of 6 - Option sale to close-call ²⁵ |
| | 2.000 | 21.99 | 05/09/22 | 42.00 | ... | -20.01 | 3 of 6 - Option sale to close-call ²⁵ |
| | 2.000 | 22.00 | 05/09/22 | 42.00 | ... | -20.00 | 4 of 6 - Option sale to close-call ²⁵ |
| | 1.000 | 11.00 | 05/09/22 | 21.00 | ... | -10.00 | 5 of 6 - Option sale to close-call ²⁵ |
| | 1.000 | 11.00 | 05/09/22 | 21.00 | ... | -10.00 | 6 of 6 - Option sale to close-call ²⁵ |
| 05/10/22 | 14.000 | 153.96 | Various | 294.00 | ... | -140.04 | Total of 6 transactions |
| NIO 08/19/2022 CALL \$25.50 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 08/09/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 12.000 | 47.96 | 08/08/22 | 72.00 | ... | -24.04 | 1 of 2 - Option sale to close-call ²⁵ |
| | 3.000 | 11.98 | 08/08/22 | 18.00 | ... | -6.02 | 2 of 2 - Option sale to close-call ²⁵ |
| 08/09/22 | 15.000 | 59.94 | Various | 90.00 | ... | -30.06 | Total of 2 transactions |
| NIO 08/19/2022 CALL \$26.00 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 08/19/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 20.000 | 0.00 | 08/12/22 | 100.00 | ... | -100.00 | 1 of 2 - Option expiration- long call |
| | 10.000 | 0.00 | 08/15/22 | 30.00 | ... | -30.00 | 2 of 2 - Option expiration- long call |
| 08/19/22 | 30.000 | 0.00 | Various | 130.00 | ... | -130.00 | Total of 2 transactions |
| NIO 08/26/2022 CALL \$20.50 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 08/25/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 5.000 | 79.98 | 08/24/22 | 30.00 | ... | 49.98 | 1 of 2 - Option sale to close-call ²⁵ |
| | 14.000 | 223.96 | 08/24/22 | 84.00 | ... | 139.96 | 2 of 2 - Option sale to close-call ²⁵ |
| 08/25/22 | 19.000 | 303.94 | Various | 114.00 | ... | 189.94 | Total of 2 transactions |
| NIO 08/26/2022 CALL \$21.00 / CUSIP: / Symbol: | | | | | | | |
| 08/23/22 | 10.000 | 29.97 | 08/22/22 | 90.00 | ... | -60.03 | Option sale to close-call ²⁵ |

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 974228124

Proceeds from Broker and Barter Exchange Transactions

2022 1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|--|------------------------|---|-------------------|-------------------------|--|---|---|
| NIO 09/09/2022 CALL \$20.00 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 09/09/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 8.000 | 39.97 | 09/06/22 | 136.00 | ... | -96.03 | 1 of 2 - Option sale to close-call ²⁵ |
| | 1.000 | 5.00 | 09/06/22 | 17.00 | ... | -12.00 | 2 of 2 - Option sale to close-call ²⁵ |
| 09/09/22 | 9.000 | 44.97 | Various | 153.00 | ... | -108.03 | Total of 2 transactions |
| NIO 09/09/2022 CALL \$25.50 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 09/02/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 7.000 | 13.97 | 09/01/22 | 70.02 | ... | -56.05 | 1 of 2 - Option sale to close-call ²⁵ |
| | 3.000 | 5.98 | 09/01/22 | 30.01 | ... | -24.03 | 2 of 2 - Option sale to close-call ²⁵ |
| 09/02/22 | 10.000 | 19.95 | Various | 100.03 | ... | -80.08 | Total of 2 transactions |
| 09/02/22 | 10.000 | 19.97 | 08/29/22 | 90.00 | 70.03 W | 0.00 | Option sale to close-call ²⁵ |
| | Security total: | 39.92 | | 190.03 | 70.03 W | -80.08 | |
| NVDA 04/08/2022 CALL \$300.00 / CUSIP: / Symbol: | | | | | | | |
| 04/06/22 | 6.000 | 23.98 | 04/05/22 | 54.00 | ... | -30.02 | Option sale to close-call ²⁵ |
| NVDA 04/29/2022 CALL \$215.00 / CUSIP: / Symbol: | | | | | | | |
| 13 transactions for 04/29/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 7.000 | 6.98 | 04/28/22 | 28.00 | ... | -21.02 | 1 of 13 - Option sale to close-call ²⁵ |
| | 2.000 | 1.99 | 04/28/22 | 8.00 | ... | -6.01 | 2 of 13 - Option sale to close-call ²⁵ |
| | 1.000 | 7.98 | 04/28/22 | 4.00 | ... | 3.98 | 3 of 13 - Option sale to close-call ²⁵ |
| | 1.000 | 7.99 | 04/28/22 | 4.00 | ... | 3.99 | 4 of 13 - Option sale to close-call ²⁵ |
| | 1.000 | 7.99 | 04/28/22 | 4.00 | ... | 3.99 | 5 of 13 - Option sale to close-call ²⁵ |
| | 1.000 | 8.00 | 04/28/22 | 4.00 | ... | 4.00 | 6 of 13 - Option sale to close-call ²⁵ |
| | 1.000 | 8.00 | 04/28/22 | 4.00 | ... | 4.00 | 7 of 13 - Option sale to close-call ²⁵ |
| | 1.000 | 8.00 | 04/28/22 | 4.00 | ... | 4.00 | 8 of 13 - Option sale to close-call ²⁵ |

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Robinhood Markets Inc. as agent for
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Account 974228124

Proceeds from Broker and Barter Exchange Transactions

2022 1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|------------------------|---|-------------------|-------------------------|--|---|--|
| NVDA 04/29/2022 CALL \$215.00 / CUSIP: / Symbol: (cont'd) | | | | | | | |
| | 1.000 | 8.00 | 04/28/22 | 4.00 | ... | 4.00 | 9 of 13 - Option sale to close-call ²⁵ |
| | 3.000 | 23.99 | 04/28/22 | 12.00 | ... | 11.99 | 10 of 13 - Option sale to close-call ²⁵ |
| | 3.000 | 23.99 | 04/28/22 | 12.00 | ... | 11.99 | 11 of 13 - Option sale to close-call ²⁵ |
| | 3.000 | 23.99 | 04/28/22 | 12.00 | ... | 11.99 | 12 of 13 - Option sale to close-call ²⁵ |
| | 4.000 | 31.98 | 04/28/22 | 16.00 | ... | 15.98 | 13 of 13 - Option sale to close-call ²⁵ |
| 04/29/22 | 29.000 | 168.88 | Various | 116.00 | ... | 52.88 | Total of 13 transactions |
| NVDA 05/13/2022 CALL \$210.00 / CUSIP: / Symbol: | | | | | | | |
| 7 transactions for 05/11/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 5.000 | 29.97 | 05/10/22 | 45.00 | ... | -15.03 | 1 of 7 - Option sale to close-call ²⁵ |
| | 5.000 | 29.98 | 05/10/22 | 45.00 | ... | -15.02 | 2 of 7 - Option sale to close-call ²⁵ |
| | 1.000 | 6.00 | 05/10/22 | 12.02 | ... | -6.02 | 3 of 7 - Option sale to close-call ²⁵ |
| | 1.000 | 5.99 | 05/10/22 | 12.00 | ... | -6.01 | 4 of 7 - Option sale to close-call ²⁵ |
| | 1.000 | 5.98 | 05/10/22 | 9.00 | ... | -3.02 | 5 of 7 - Option sale to close-call ²⁵ |
| | 1.000 | 5.98 | 05/10/22 | 9.00 | ... | -3.02 | 6 of 7 - Option sale to close-call ²⁵ |
| | 1.000 | 5.99 | 05/10/22 | 9.00 | ... | -3.01 | 7 of 7 - Option sale to close-call ²⁵ |
| 05/11/22 | 15.000 | 89.89 | Various | 141.02 | ... | -51.13 | Total of 7 transactions |
| 2 transactions for 05/11/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 5.98 | 05/10/22 | 9.00 | 3.02 W | 0.00 | 1 of 2 - Option sale to close-call ²⁵ |
| | 1.000 | 6.00 | 05/10/22 | 9.00 | 3.00 W | 0.00 | 2 of 2 - Option sale to close-call ²⁵ |
| 05/11/22 | 2.000 | 11.98 | Various | 18.00 | 6.02 W | 0.00 | Total of 2 transactions |
| | Security total: | 101.87 | | 159.02 | 6.02 W | -51.13 | |
| NVDA 05/20/2022 PUT \$150.00 / CUSIP: / Symbol: | | | | | | | |
| 05/18/22 | 5.000 | 49.98 | 05/17/22 | 95.00 | ... | -45.02 | Option sale to close-put ²⁵ |

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 974228124

Proceeds from Broker and Barter Exchange Transactions

2022 1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|---|------------------------|---|-------------------|-------------------------|--|---|--|
| ORCL 06/17/2022 CALL \$82.50 / CUSIP: / Symbol: | | | | | | | |
| 5 transactions for 06/14/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 7.000 | 6.98 | 06/13/22 | 35.03 | ... | -28.05 | 1 of 5 - Option sale to close-call ²⁵ |
| | 4.000 | 3.98 | 06/13/22 | 20.01 | ... | -16.03 | 2 of 5 - Option sale to close-call ²⁵ |
| | 3.000 | 2.99 | 06/13/22 | 15.01 | ... | -12.02 | 3 of 5 - Option sale to close-call ²⁵ |
| | 2.000 | 1.98 | 06/13/22 | 10.01 | ... | -8.03 | 4 of 5 - Option sale to close-call ²⁵ |
| | 1.000 | 1.00 | 06/13/22 | 3.00 | ... | -2.00 | 5 of 5 - Option sale to close-call ²⁵ |
| 06/14/22 | 17.000 | 16.93 | Various | 83.06 | ... | -66.13 | Total of 5 transactions |
| 2 transactions for 06/14/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 9.000 | 8.97 | 06/13/22 | 27.00 | 18.03 W | 0.00 | 1 of 2 - Option sale to close-call ²⁵ |
| | 7.000 | 6.97 | 06/13/22 | 21.00 | 14.03 W | 0.00 | 2 of 2 - Option sale to close-call ²⁵ |
| 06/14/22 | 16.000 | 15.94 | Various | 48.00 | 32.06 W | 0.00 | Total of 2 transactions |
| | Security total: | 32.87 | | 131.06 | 32.06 W | -66.13 | |
| OXY 04/01/2022 PUT \$54.00 / CUSIP: / Symbol: | | | | | | | |
| 04/01/22 | 20.000 | 0.00 | 03/31/22 | 100.00 | ... | -100.00 | Option expiration- long put |
| OXY 04/14/2022 PUT \$57.00 / CUSIP: / Symbol: | | | | | | | |
| 3 transactions for 04/14/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 4.000 | 3.98 | 04/13/22 | 48.00 | ... | -44.02 | 1 of 3 - Option sale to close-put ²⁵ |
| | 3.000 | 2.98 | 04/13/22 | 36.00 | ... | -33.02 | 2 of 3 - Option sale to close-put ²⁵ |
| | 3.000 | 2.98 | 04/13/22 | 36.00 | ... | -33.02 | 3 of 3 - Option sale to close-put ²⁵ |
| 04/14/22 | 10.000 | 9.94 | Various | 120.00 | ... | -110.06 | Total of 3 transactions |
| OXY 05/13/2022 CALL \$65.00 / CUSIP: / Symbol: | | | | | | | |
| 4 transactions for 05/13/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 9.00 | 05/12/22 | 5.02 | ... | 3.98 | 1 of 4 - Option sale to close-call ²⁵ |
| | 2.000 | 17.99 | 05/12/22 | 10.01 | ... | 7.98 | 2 of 4 - Option sale to close-call ²⁵ |
| | 7.000 | 62.98 | 05/12/22 | 28.02 | ... | 34.96 | 3 of 4 - Option sale to close-call ²⁵ |
| | 10.000 | 89.97 | 05/12/22 | 40.02 | ... | 49.95 | 4 of 4 - Option sale to close-call ²⁵ |
| 05/13/22 | 20.000 | 179.94 | Various | 83.07 | ... | 96.87 | Total of 4 transactions |

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 974228124

Proceeds from Broker and Barter Exchange Transactions

2022 1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|---|------------------------|---|-------------------|-------------------------|--|---|--|
| OXY 05/13/2022 CALL \$65.00 / CUSIP: / Symbol: (cont'd) | | | | | | | |
| 2 transactions for 05/13/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 6.98 | 05/12/22 | 8.00 | 1.02 W | 0.00 | 1 of 2 - Option sale to close-call ²⁵ |
| | 19.000 | 132.95 | 05/12/22 | 152.00 | 19.05 W | 0.00 | 2 of 2 - Option sale to close-call ²⁵ |
| 05/13/22 | 20.000 | 139.93 | Various | 160.00 | 20.07 W | 0.00 | Total of 2 transactions |
| | Security total: | 319.87 | | 243.07 | 20.07 W | 96.87 | |
| OXY 05/20/2022 PUT \$59.00 / CUSIP: / Symbol: | | | | | | | |
| 3 transactions for 05/18/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 11.00 | 05/17/22 | 5.00 | ... | 6.00 | 1 of 3 - Option sale to close-put ²⁵ |
| | 4.000 | 43.99 | 05/17/22 | 20.00 | ... | 23.99 | 2 of 3 - Option sale to close-put ²⁵ |
| | 15.000 | 164.96 | 05/17/22 | 75.00 | ... | 89.96 | 3 of 3 - Option sale to close-put ²⁵ |
| 05/18/22 | 20.000 | 219.95 | Various | 100.00 | ... | 119.95 | Total of 3 transactions |
| OXY 06/03/2022 PUT \$68.00 / CUSIP: / Symbol: | | | | | | | |
| 06/03/22 | 1.000 | 0.00 | 06/02/22 | 25.00 | ... | -25.00 | Option expiration- long put |
| OXY 07/22/2022 PUT \$59.00 / CUSIP: / Symbol: | | | | | | | |
| 4 transactions for 07/22/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 2.000 | 3.99 | 07/20/22 | 44.01 | ... | -40.02 | 1 of 4 - Option sale to close-put ²⁵ |
| | 3.000 | 5.99 | 07/20/22 | 36.00 | ... | -30.01 | 2 of 4 - Option sale to close-put ²⁵ |
| | 2.000 | 3.99 | 07/20/22 | 24.00 | ... | -20.01 | 3 of 4 - Option sale to close-put ²⁵ |
| | 1.000 | 1.98 | 07/20/22 | 12.00 | ... | -10.02 | 4 of 4 - Option sale to close-put ²⁵ |
| 07/22/22 | 8.000 | 15.95 | Various | 116.01 | ... | -100.06 | Total of 4 transactions |
| 07/22/22 | 2.000 | 3.99 | 07/20/22 | 24.00 | 20.01 W | 0.00 | Option sale to close-put ²⁵ |
| | Security total: | 19.94 | | 140.01 | 20.01 W | -100.06 | |
| OXY 08/12/2022 PUT \$57.50 / CUSIP: / Symbol: | | | | | | | |
| 3 transactions for 08/11/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 1.00 | 08/09/22 | 58.02 | ... | -57.02 | 1 of 3 - Option sale to close-put ²⁵ |
| | 8.000 | 7.98 | 08/10/22 | 72.00 | ... | -64.02 | 2 of 3 - Option sale to close-put ²⁵ |
| | 10.000 | 9.97 | 08/10/22 | 50.00 | ... | -40.03 | 3 of 3 - Option sale to close-put ²⁵ |
| 08/11/22 | 19.000 | 18.95 | Various | 180.02 | ... | -161.07 | Total of 3 transactions |
| 08/11/22 | 1.000 | 1.98 | 08/08/22 | 46.00 | 44.02 W | 0.00 | Option sale to close-put ²⁵ |
| | Security total: | 20.93 | | 226.02 | 44.02 W | -161.07 | |

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 974228124

Proceeds from Broker and Barter Exchange Transactions

2022 1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|---|----------|---|-------------------|-------------------------|--|---|--|
| OXY 08/19/2022 PUT \$70.00 / CUSIP: / Symbol: | | | | | | | |
| 08/19/22 | 10.000 | 199.97 | 08/19/22 | 200.00 | ... | -0.03 | Option sale to close-put ²⁵ |
| OXY 09/02/2022 CALL \$70.00 / CUSIP: / Symbol: | | | | | | | |
| 3 transactions for 09/02/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 11.000 | 21.97 | 09/02/22 | 143.00 | ... | -121.03 | 1 of 3 - Option sale to close-call ²⁵ |
| | 8.000 | 15.97 | 09/02/22 | 104.00 | ... | -88.03 | 2 of 3 - Option sale to close-call ²⁵ |
| | 1.000 | 1.98 | 09/02/22 | 13.00 | ... | -11.02 | 3 of 3 - Option sale to close-call ²⁵ |
| 09/02/22 | 20.000 | 39.92 | Various | 260.00 | ... | -220.08 | Total of 3 transactions |
| OXY 09/09/2022 CALL \$70.00 / CUSIP: / Symbol: | | | | | | | |
| 5 transactions for 09/09/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 14.000 | 0.00 | 09/07/22 | 154.00 | ... | -154.00 | 1 of 5 - Option expiration- long call |
| | 3.000 | 0.00 | 09/07/22 | 33.00 | ... | -33.00 | 2 of 5 - Option expiration- long call |
| | 1.000 | 0.00 | 09/07/22 | 11.00 | ... | -11.00 | 3 of 5 - Option expiration- long call |
| | 1.000 | 0.00 | 09/07/22 | 10.00 | ... | -10.00 | 4 of 5 - Option expiration- long call |
| | 10.000 | 0.00 | 09/08/22 | 20.00 | ... | -20.00 | 5 of 5 - Option expiration- long call |
| 09/09/22 | 29.000 | 0.00 | Various | 228.00 | ... | -228.00 | Total of 5 transactions |
| OXY 09/16/2022 CALL \$67.00 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 09/16/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 7.000 | 0.00 | 09/16/22 | 21.00 | ... | -21.00 | 1 of 2 - Option expiration- long call |
| | 3.000 | 0.00 | 09/16/22 | 9.00 | ... | -9.00 | 2 of 2 - Option expiration- long call |
| 09/16/22 | 10.000 | 0.00 | Various | 30.00 | ... | -30.00 | Total of 2 transactions |
| OXY 09/30/2022 PUT \$57.00 / CUSIP: / Symbol: | | | | | | | |
| 3 transactions for 09/29/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 14.000 | 69.96 | 09/28/22 | 182.00 | ... | -112.04 | 1 of 3 - Option sale to close-put ²⁵ |
| | 5.000 | 24.99 | 09/28/22 | 65.00 | ... | -40.01 | 2 of 3 - Option sale to close-put ²⁵ |
| | 1.000 | 5.00 | 09/28/22 | 13.00 | ... | -8.00 | 3 of 3 - Option sale to close-put ²⁵ |
| 09/29/22 | 20.000 | 99.95 | Various | 260.00 | ... | -160.05 | Total of 3 transactions |

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 974228124

Proceeds from Broker and Barter Exchange Transactions

2022 1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|---|------------------------|---|-------------------|-------------------------|--|---|---|
| OXY 10/14/2022 PUT \$64.00 / CUSIP: / Symbol: | | | | | | | |
| 3 transactions for 10/14/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 9.000 | 26.97 | 10/13/22 | 90.00 | ... | -63.03 | 1 of 3 - Option sale to close-put ²⁵ |
| | 5.000 | 9.98 | 10/13/22 | 50.00 | ... | -40.02 | 2 of 3 - Option sale to close-put ²⁵ |
| | 1.000 | 3.00 | 10/13/22 | 19.00 | ... | -16.00 | 3 of 3 - Option sale to close-put ²⁵ |
| 10/14/22 | 15.000 | 39.95 | Various | 159.00 | ... | -119.05 | Total of 3 transactions |
| 10/14/22 | 1.000 | 2.00 | 10/13/22 | 11.00 | 9.00 W | 0.00 | Option sale to close-put ²⁵ |
| | Security total: | 41.95 | | 170.00 | 9.00 W | -119.05 | |
| PYPL 05/06/2022 CALL \$92.00 / CUSIP: / Symbol: | | | | | | | |
| 6 transactions for 05/06/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 10.000 | 0.00 | 05/05/22 | 220.00 | ... | -220.00 | 1 of 6 - Option expiration- long call |
| | 1.000 | 0.00 | 05/05/22 | 22.00 | ... | -22.00 | 2 of 6 - Option expiration- long call |
| | 1.000 | 0.00 | 05/05/22 | 22.00 | ... | -22.00 | 3 of 6 - Option expiration- long call |
| | 1.000 | 0.00 | 05/05/22 | 22.00 | ... | -22.00 | 4 of 6 - Option expiration- long call |
| | 1.000 | 0.00 | 05/05/22 | 22.00 | ... | -22.00 | 5 of 6 - Option expiration- long call |
| | 1.000 | 0.00 | 05/05/22 | 22.00 | ... | -22.00 | 6 of 6 - Option expiration- long call |
| 05/06/22 | 15.000 | 0.00 | Various | 330.00 | ... | -330.00 | Total of 6 transactions |
| QQQ 03/28/2022 PUT \$356.00 / CUSIP: / Symbol: | | | | | | | |
| 03/28/22 | 10.000 | 239.97 | 03/28/22 | 500.00 | ... | -260.03 | Option sale to close-put ²⁵ |
| QQQ 03/30/2022 PUT \$364.00 / CUSIP: / Symbol: | | | | | | | |
| 5 transactions for 03/30/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 2.99 | 03/29/22 | 89.03 | ... | -86.04 | 1 of 5 - Option sale to close-put ²⁵ |
| | 1.000 | 2.99 | 03/29/22 | 89.03 | ... | -86.04 | 2 of 5 - Option sale to close-put ²⁵ |
| | 1.000 | 2.99 | 03/29/22 | 89.03 | ... | -86.04 | 3 of 5 - Option sale to close-put ²⁵ |
| | 1.000 | 3.00 | 03/29/22 | 89.02 | ... | -86.02 | 4 of 5 - Option sale to close-put ²⁵ |
| | 1.000 | 2.99 | 03/29/22 | 89.01 | ... | -86.02 | 5 of 5 - Option sale to close-put ²⁵ |
| 03/30/22 | 5.000 | 14.96 | Various | 445.12 | ... | -430.16 | Total of 5 transactions |
| 8 transactions for 03/30/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 2.000 | 5.98 | 03/29/22 | 96.00 | 90.02 W | 0.00 | 1 of 8 - Option sale to close-put ²⁵ |
| | 1.000 | 2.98 | 03/29/22 | 48.00 | 45.02 W | 0.00 | 2 of 8 - Option sale to close-put ²⁵ |
| | 2.000 | 5.98 | 03/29/22 | 96.00 | 90.02 W | 0.00 | 3 of 8 - Option sale to close-put ²⁵ |
| | 1.000 | 2.98 | 03/29/22 | 73.01 | 70.03 W | 0.00 | 4 of 8 - Option sale to close-put ²⁵ |

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 974228124

Proceeds from Broker and Barter Exchange Transactions

2022 1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|---|------------------------|---|-------------------|-------------------------|--|---|--|
| QQQ 03/30/2022 PUT \$364.00 / CUSIP: / Symbol: (cont'd) | | | | | | | |
| | 1.000 | 2.99 | 03/29/22 | 73.02 | 70.03 W | 0.00 | 5 of 8 - Option sale to close-put ²⁵ |
| | 1.000 | 2.99 | 03/29/22 | 73.01 | 70.02 W | 0.00 | 6 of 8 - Option sale to close-put ²⁵ |
| | 1.000 | 2.98 | 03/29/22 | 73.01 | 70.03 W | 0.00 | 7 of 8 - Option sale to close-put ²⁵ |
| | 1.000 | 3.00 | 03/29/22 | 73.01 | 70.01 W | 0.00 | 8 of 8 - Option sale to close-put ²⁵ |
| 03/30/22 | 10.000 | 29.88 | Various | 605.06 | 575.18 W | 0.00 | Total of 8 transactions |
| | Security total: | 44.84 | | 1,050.18 | 575.18 W | -430.16 | |
| QQQ 04/04/2022 PUT \$360.00 / CUSIP: / Symbol: | | | | | | | |
| 3 transactions for 04/04/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 5.000 | 19.99 | 04/04/22 | 150.00 | ... | -130.01 | 1 of 3 - Option sale to close-put ²⁵ |
| | 4.000 | 15.99 | 04/04/22 | 112.00 | ... | -96.01 | 2 of 3 - Option sale to close-put ²⁵ |
| | 5.000 | 19.98 | 04/04/22 | 85.00 | ... | -65.02 | 3 of 3 - Option sale to close-put ²⁵ |
| 04/04/22 | 14.000 | 55.96 | Various | 347.00 | ... | -291.04 | Total of 3 transactions |
| QQQ 04/06/2022 PUT \$355.00 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 04/05/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 24.99 | 04/04/22 | 28.00 | ... | -3.01 | 1 of 2 - Option sale to close-put ²⁵ |
| | 1.000 | 24.99 | 04/04/22 | 27.00 | ... | -2.01 | 2 of 2 - Option sale to close-put ²⁵ |
| 04/05/22 | 2.000 | 49.98 | Various | 55.00 | ... | -5.02 | Total of 2 transactions |
| QQQ 04/18/2022 CALL \$342.00 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 04/18/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 29.98 | 04/18/22 | 27.00 | ... | 2.98 | 1 of 2 - Option sale to close-call ²⁵ |
| | 1.000 | 29.98 | 04/18/22 | 27.00 | ... | 2.98 | 2 of 2 - Option sale to close-call ²⁵ |
| 04/18/22 | 2.000 | 59.96 | Various | 54.00 | ... | 5.96 | Total of 2 transactions |
| QQQ 04/25/2022 CALL \$340.00 / CUSIP: / Symbol: | | | | | | | |
| 5 transactions for 04/25/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 10.000 | 19.98 | 04/22/22 | 570.04 | ... | -550.06 | 1 of 5 - Option sale to close-call ²⁵ |
| | 6.000 | 11.98 | 04/22/22 | 210.00 | ... | -198.02 | 2 of 5 - Option sale to close-call ²⁵ |
| | 1.000 | 2.00 | 04/22/22 | 85.01 | ... | -83.01 | 3 of 5 - Option sale to close-call ²⁵ |
| | 1.000 | 1.99 | 04/22/22 | 52.01 | ... | -50.02 | 4 of 5 - Option sale to close-call ²⁵ |
| | 1.000 | 2.00 | 04/22/22 | 52.00 | ... | -50.00 | 5 of 5 - Option sale to close-call ²⁵ |
| 04/25/22 | 19.000 | 37.95 | Various | 969.06 | ... | -931.11 | Total of 5 transactions |

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 974228124

Proceeds from Broker and Barter Exchange Transactions

2022 1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|---|------------------------|---|-------------------|-------------------------|--|---|--|
| QQQ 04/25/2022 CALL \$340.00 / CUSIP: / Symbol: (cont'd) | | | | | | | |
| 4 transactions for 04/25/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 2.00 | 04/22/22 | 35.00 | 33.00 W | 0.00 | 1 of 4 - Option sale to close-call ²⁵ |
| | 11.000 | 21.96 | 04/22/22 | 385.00 | 363.04 W | 0.00 | 2 of 4 - Option sale to close-call ²⁵ |
| | 1.000 | 1.99 | 04/22/22 | 68.00 | 66.01 W | 0.00 | 3 of 4 - Option sale to close-call ²⁵ |
| | 1.000 | 1.99 | 04/22/22 | 35.00 | 33.01 W | 0.00 | 4 of 4 - Option sale to close-call ²⁵ |
| 04/25/22 | 14.000 | 27.94 | Various | 523.00 | 495.06 W | 0.00 | Total of 4 transactions |
| | Security total: | 65.89 | | 1,492.06 | 495.06 W | -931.11 | |
| QQQ 06/01/2022 PUT \$290.00 / CUSIP: / Symbol: | | | | | | | |
| 8 transactions for 05/31/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 7.00 | 05/27/22 | 66.02 | ... | -59.02 | 1 of 8 - Option sale to close-put ²⁵ |
| | 1.000 | 6.99 | 05/27/22 | 66.00 | ... | -59.01 | 2 of 8 - Option sale to close-put ²⁵ |
| | 1.000 | 7.00 | 05/27/22 | 59.02 | ... | -52.02 | 3 of 8 - Option sale to close-put ²⁵ |
| | 1.000 | 6.99 | 05/27/22 | 59.00 | ... | -52.01 | 4 of 8 - Option sale to close-put ²⁵ |
| | 1.000 | 6.99 | 05/27/22 | 44.01 | ... | -37.02 | 5 of 8 - Option sale to close-put ²⁵ |
| | 1.000 | 7.00 | 05/27/22 | 44.00 | ... | -37.00 | 6 of 8 - Option sale to close-put ²⁵ |
| | 1.000 | 7.00 | 05/27/22 | 44.00 | ... | -37.00 | 7 of 8 - Option sale to close-put ²⁵ |
| | 1.000 | 7.00 | 05/27/22 | 44.00 | ... | -37.00 | 8 of 8 - Option sale to close-put ²⁵ |
| 05/31/22 | 8.000 | 55.97 | Various | 426.05 | ... | -370.08 | Total of 8 transactions |
| 8 transactions for 05/31/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 7.00 | 05/27/22 | 29.00 | 22.00 W | 0.00 | 1 of 8 - Option sale to close-put ²⁵ |
| | 1.000 | 6.99 | 05/27/22 | 29.00 | 22.01 W | 0.00 | 2 of 8 - Option sale to close-put ²⁵ |
| | 3.000 | 20.99 | 05/27/22 | 87.00 | 66.01 W | 0.00 | 3 of 8 - Option sale to close-put ²⁵ |
| | 1.000 | 7.00 | 05/27/22 | 51.00 | 44.00 W | 0.00 | 4 of 8 - Option sale to close-put ²⁵ |
| | 1.000 | 6.99 | 05/27/22 | 51.01 | 44.02 W | 0.00 | 5 of 8 - Option sale to close-put ²⁵ |
| | 3.000 | 20.99 | 05/27/22 | 87.00 | 66.01 W | 0.00 | 6 of 8 - Option sale to close-put ²⁵ |
| | 1.000 | 7.00 | 05/27/22 | 44.00 | 37.00 W | 0.00 | 7 of 8 - Option sale to close-put ²⁵ |
| | 1.000 | 6.99 | 05/27/22 | 44.01 | 37.02 W | 0.00 | 8 of 8 - Option sale to close-put ²⁵ |
| 05/31/22 | 12.000 | 83.95 | Various | 422.02 | 338.07 W | 0.00 | Total of 8 transactions |
| | Security total: | 139.92 | | 848.07 | 338.07 W | -370.08 | |

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 974228124

Proceeds from Broker and Barter Exchange Transactions

2022 1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|---|------------------------|---|-------------------|-------------------------|--|---|--|
| RIOT 05/06/2022 CALL \$13.00 / CUSIP: / Symbol: | | | | | | | |
| 5 transactions for 05/03/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 25.000 | 124.94 | 05/02/22 | 150.00 | ... | -25.06 | 1 of 5 - Option sale to close-call ²⁵ |
| | 9.000 | 44.97 | 05/02/22 | 54.00 | ... | -9.03 | 2 of 5 - Option sale to close-call ²⁵ |
| | 8.000 | 39.97 | 05/02/22 | 48.00 | ... | -8.03 | 3 of 5 - Option sale to close-call ²⁵ |
| | 6.000 | 29.98 | 05/02/22 | 36.00 | ... | -6.02 | 4 of 5 - Option sale to close-call ²⁵ |
| | 2.000 | 9.98 | 05/02/22 | 12.00 | ... | -2.02 | 5 of 5 - Option sale to close-call ²⁵ |
| 05/03/22 | 50.000 | 249.84 | Various | 300.00 | ... | -50.16 | Total of 5 transactions |
| RIOT 06/10/2022 CALL \$7.00 / CUSIP: / Symbol: | | | | | | | |
| 06/10/22 | 20.000 | 0.00 | 06/07/22 | 100.00 | ... | -100.00 | Option expiration- long call |
| RIOT 08/12/2022 CALL \$11.50 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 08/09/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 19.000 | 37.95 | 08/08/22 | 95.00 | ... | -57.05 | 1 of 2 - Option sale to close-call ²⁵ |
| | 1.000 | 2.00 | 08/08/22 | 5.00 | ... | -3.00 | 2 of 2 - Option sale to close-call ²⁵ |
| 08/09/22 | 20.000 | 39.95 | Various | 100.00 | ... | -60.05 | Total of 2 transactions |
| ROKU 07/22/2022 PUT \$80.00 / CUSIP: / Symbol: | | | | | | | |
| 4 transactions for 07/21/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 2.000 | 7.99 | 07/20/22 | 32.00 | ... | -24.01 | 1 of 4 - Option sale to close-put ²⁵ |
| | 1.000 | 3.99 | 07/20/22 | 16.00 | ... | -12.01 | 2 of 4 - Option sale to close-put ²⁵ |
| | 1.000 | 4.00 | 07/20/22 | 16.00 | ... | -12.00 | 3 of 4 - Option sale to close-put ²⁵ |
| | 1.000 | 4.00 | 07/20/22 | 16.00 | ... | -12.00 | 4 of 4 - Option sale to close-put ²⁵ |
| 07/21/22 | 5.000 | 19.98 | Various | 80.00 | ... | -60.02 | Total of 4 transactions |
| SHOP 04/14/2022 CALL \$695.00 / CUSIP: / Symbol: | | | | | | | |
| 04/11/22 | 1.000 | 399.98 | 04/11/22 | 280.00 | ... | 119.98 | Option sale to close-call ²⁵ |
| SHOP 04/22/2022 CALL \$565.00 / CUSIP: / Symbol: | | | | | | | |
| 04/20/22 | 1.000 | 499.98 | 04/20/22 | 710.02 | ... | -210.04 | Option sale to close-call ²⁵ |
| 04/20/22 | 1.000 | 499.98 | 04/20/22 | 700.00 | 200.02 W | 0.00 | Option sale to close-call ²⁵ |
| | Security total: | 999.96 | | 1,410.02 | 200.02 W | -210.04 | |

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 974228124

Proceeds from Broker and Barter Exchange Transactions

2022 1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|---|----------|---|-------------------|-------------------------|--|---|--|
| SHOP 05/27/2022 CALL \$400.00 / CUSIP: / Symbol: | | | | | | | |
| 4 transactions for 05/27/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 29.98 | 05/26/22 | 20.00 | ... | 9.98 | 1 of 4 - Option sale to close-call ²⁵ |
| | 1.000 | 29.98 | 05/26/22 | 20.00 | ... | 9.98 | 2 of 4 - Option sale to close-call ²⁵ |
| | 3.000 | 89.98 | 05/26/22 | 60.00 | ... | 29.98 | 3 of 4 - Option sale to close-call ²⁵ |
| | 5.000 | 164.98 | 05/26/22 | 100.00 | ... | 64.98 | 4 of 4 - Option sale to close-call ²⁵ |
| 05/27/22 | 10.000 | 314.92 | Various | 200.00 | ... | 114.92 | Total of 4 transactions |
| SHOP 05/27/2022 CALL \$420.00 / CUSIP: / Symbol: | | | | | | | |
| 05/25/22 | 10.000 | 399.97 | 05/24/22 | 460.00 | ... | -60.03 | Option sale to close-call ²⁵ |
| SHOP 07/01/2022 CALL \$43.00 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 07/01/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 10.000 | 0.00 | 06/27/22 | 210.00 | ... | -210.00 | 1 of 2 - Option expiration- long call |
| | 10.000 | 0.00 | 06/28/22 | 60.00 | ... | -60.00 | 2 of 2 - Option expiration- long call |
| 07/01/22 | 20.000 | 0.00 | Various | 270.00 | ... | -270.00 | Total of 2 transactions |
| SHOP 09/30/2022 CALL \$31.00 / CUSIP: / Symbol: | | | | | | | |
| 09/28/22 | 20.000 | 299.95 | 09/28/22 | 180.00 | ... | 119.95 | Option sale to close-call ²⁵ |
| SLV 03/31/2022 CALL \$23.50 / CUSIP: / Symbol: | | | | | | | |
| 8 transactions for 03/31/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 30.000 | 0.00 | 03/30/22 | 90.00 | ... | -90.00 | 1 of 8 - Option expiration- long call |
| | 23.000 | 0.00 | 03/31/22 | 46.00 | ... | -46.00 | 2 of 8 - Option expiration- long call |
| | 18.000 | 0.00 | 03/31/22 | 36.00 | ... | -36.00 | 3 of 8 - Option expiration- long call |
| | 6.000 | 0.00 | 03/31/22 | 12.00 | ... | -12.00 | 4 of 8 - Option expiration- long call |
| | 10.000 | 0.00 | 03/31/22 | 10.00 | ... | -10.00 | 5 of 8 - Option expiration- long call |
| | 10.000 | 0.00 | 03/31/22 | 10.00 | ... | -10.00 | 6 of 8 - Option expiration- long call |
| | 10.000 | 0.00 | 03/31/22 | 10.00 | ... | -10.00 | 7 of 8 - Option expiration- long call |
| | 3.000 | 0.00 | 03/31/22 | 6.00 | ... | -6.00 | 8 of 8 - Option expiration- long call |
| 03/31/22 | 110.000 | 0.00 | Various | 220.00 | ... | -220.00 | Total of 8 transactions |

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 974228124

Proceeds from Broker and Barter Exchange Transactions

2022 1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|---|------------------------|---|-------------------|-------------------------|--|---|--|
| SLV 06/17/2022 CALL \$22.00 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 06/13/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 25.000 | 24.94 | 06/10/22 | 50.00 | ... | -25.06 | 1 of 2 - Option sale to close-call ²⁵ |
| | 7.000 | 6.97 | 06/10/22 | 14.00 | ... | -7.03 | 2 of 2 - Option sale to close-call ²⁵ |
| 06/13/22 | 32.000 | 31.91 | Various | 64.00 | ... | -32.09 | Total of 2 transactions |
| 06/17/22 | 18.000 | 0.00 | 06/10/22 | 36.00 | ... | -36.00 | Option expiration- long call |
| | Security total: | 31.91 | | 100.00 | ... | -68.09 | |
| SLV 06/24/2022 CALL \$20.50 / CUSIP: / Symbol: | | | | | | | |
| 06/24/22 | 20.000 | 0.00 | 06/22/22 | 80.00 | ... | -80.00 | Option expiration- long call |
| SNAP 07/29/2022 CALL \$11.50 / CUSIP: / Symbol: | | | | | | | |
| 07/27/22 | 20.000 | 59.95 | 07/26/22 | 100.00 | ... | -40.05 | Option sale to close-call ²⁵ |
| SNAP 09/02/2022 CALL \$13.00 / CUSIP: / Symbol: | | | | | | | |
| 09/01/22 | 20.000 | 79.95 | 08/31/22 | 100.00 | ... | -20.05 | Option sale to close-call ²⁵ |
| SNAP 11/04/2022 CALL \$12.00 / CUSIP: / Symbol: | | | | | | | |
| 8 transactions for 11/02/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 5.000 | 9.97 | 11/01/22 | 20.00 | ... | -10.03 | 1 of 8 - Option sale to close-call ²⁵ |
| | 4.000 | 7.98 | 11/01/22 | 16.00 | ... | -8.02 | 2 of 8 - Option sale to close-call ²⁵ |
| | 4.000 | 7.98 | 11/01/22 | 16.00 | ... | -8.02 | 3 of 8 - Option sale to close-call ²⁵ |
| | 4.000 | 7.98 | 11/01/22 | 16.00 | ... | -8.02 | 4 of 8 - Option sale to close-call ²⁵ |
| | 1.000 | 1.99 | 11/01/22 | 6.00 | ... | -4.01 | 5 of 8 - Option sale to close-call ²⁵ |
| | 2.000 | 3.99 | 11/01/22 | 8.00 | ... | -4.01 | 6 of 8 - Option sale to close-call ²⁵ |
| | 1.000 | 2.00 | 11/01/22 | 6.00 | ... | -4.00 | 7 of 8 - Option sale to close-call ²⁵ |
| | 1.000 | 2.00 | 11/01/22 | 6.00 | ... | -4.00 | 8 of 8 - Option sale to close-call ²⁵ |
| 11/02/22 | 22.000 | 43.89 | Various | 94.00 | ... | -50.11 | Total of 8 transactions |
| 3 transactions for 11/02/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 2.00 | 11/01/22 | 4.00 | 2.00 W | 0.00 | 1 of 3 - Option sale to close-call ²⁵ |
| | 1.000 | 2.00 | 11/01/22 | 4.00 | 2.00 W | 0.00 | 2 of 3 - Option sale to close-call ²⁵ |
| | 1.000 | 2.00 | 11/01/22 | 4.00 | 2.00 W | 0.00 | 3 of 3 - Option sale to close-call ²⁵ |
| 11/02/22 | 3.000 | 6.00 | Various | 12.00 | 6.00 W | 0.00 | Total of 3 transactions |
| | Security total: | 49.89 | | 106.00 | 6.00 W | -50.11 | |

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 974228124

Proceeds from Broker and Barter Exchange Transactions

2022 1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|--|------------------------|---|----------------------|----------------------------|--|---|--|
| SOXL 04/22/2022 CALL \$33.00 / CUSIP: / Symbol: | | | | | | | |
| 10 transactions for 04/20/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 28.00 | 04/14/22 | 19.00 | ... | 9.00 | 1 of 10 - Option sale to close-call ²⁵ |
| | 1.000 | 28.00 | 04/14/22 | 17.00 | ... | 11.00 | 2 of 10 - Option sale to close-call ²⁵ |
| | 1.000 | 28.00 | 04/14/22 | 15.00 | ... | 13.00 | 3 of 10 - Option sale to close-call ²⁵ |
| | 1.000 | 28.00 | 04/14/22 | 13.00 | ... | 15.00 | 4 of 10 - Option sale to close-call ²⁵ |
| | 2.000 | 55.99 | 04/14/22 | 26.00 | ... | 29.99 | 5 of 10 - Option sale to close-call ²⁵ |
| | 4.000 | 111.99 | 04/14/22 | 76.00 | ... | 35.99 | 6 of 10 - Option sale to close-call ²⁵ |
| | 5.000 | 139.99 | 04/14/22 | 85.00 | ... | 54.99 | 7 of 10 - Option sale to close-call ²⁵ |
| | 6.000 | 167.98 | 04/14/22 | 90.02 | ... | 77.96 | 8 of 10 - Option sale to close-call ²⁵ |
| | 7.000 | 195.98 | 04/18/22 | 56.00 | ... | 139.98 | 9 of 10 - Option sale to close-call ²⁵ |
| | 8.000 | 255.97 | 04/18/22 | 64.00 | ... | 191.97 | 10 of 10 - Option sale to close-call ²⁵ |
| 04/20/22 | 36.000 | 1,039.90 | Various | 461.02 | ... | 578.88 | Total of 10 transactions |
| 2 transactions for 04/20/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 28.00 | 04/14/22 | 30.00 | 2.00 W | 0.00 | 1 of 2 - Option sale to close-call ²⁵ |
| | 6.000 | 167.98 | 04/14/22 | 180.00 | 12.02 W | 0.00 | 2 of 2 - Option sale to close-call ²⁵ |
| 04/20/22 | 7.000 | 195.98 | Various | 210.00 | 14.02 W | 0.00 | Total of 2 transactions |
| | Security total: | 1,235.88 | | 671.02 | 14.02 W | 578.88 | |
| SOXL 05/27/2022 CALL \$22.50 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 05/25/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 19.000 | 284.95 | 05/24/22 | 285.00 | ... | -0.05 | 1 of 2 - Option sale to close-call ²⁵ |
| | 1.000 | 14.98 | 05/24/22 | 15.00 | ... | -0.02 | 2 of 2 - Option sale to close-call ²⁵ |
| 05/25/22 | 20.000 | 299.93 | Various | 300.00 | ... | -0.07 | Total of 2 transactions |

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 974228124

Proceeds from Broker and Barter Exchange Transactions

2022 1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|---|----------|---|----------------------|----------------------------|--|---|--|
| SOXL 06/10/2022 CALL \$23.50 / CUSIP: / Symbol: | | | | | | | |
| 06/10/22 | 15.000 | 14.96 | 06/09/22 | 120.00 | ... | -105.04 | Option sale to close-call ²⁵ |
| SOXL 06/24/2022 CALL \$16.00 / CUSIP: / Symbol: | | | | | | | |
| <i>15 transactions for 06/24/22. Total proceeds and cost reported to the IRS.</i> | | | | | | | |
| | 20.000 | 19.95 | 06/23/22 | 40.00 | ... | -20.05 | 1 of 15 - Option sale to close-call ²⁵ |
| | 4.000 | 3.98 | 06/23/22 | 12.02 | ... | -8.04 | 2 of 15 - Option sale to close-call ²⁵ |
| | 1.000 | 1.00 | 06/23/22 | 3.02 | ... | -2.02 | 3 of 15 - Option sale to close-call ²⁵ |
| | 1.000 | 14.98 | 06/23/22 | 2.00 | ... | 12.98 | 4 of 15 - Option sale to close-call ²⁵ |
| | 1.000 | 14.98 | 06/23/22 | 2.00 | ... | 12.98 | 5 of 15 - Option sale to close-call ²⁵ |
| | 1.000 | 14.98 | 06/23/22 | 2.00 | ... | 12.98 | 6 of 15 - Option sale to close-call ²⁵ |
| | 1.000 | 14.98 | 06/23/22 | 2.00 | ... | 12.98 | 7 of 15 - Option sale to close-call ²⁵ |
| | 1.000 | 14.98 | 06/23/22 | 2.00 | ... | 12.98 | 8 of 15 - Option sale to close-call ²⁵ |
| | 1.000 | 14.98 | 06/23/22 | 2.00 | ... | 12.98 | 9 of 15 - Option sale to close-call ²⁵ |
| | 1.000 | 14.99 | 06/23/22 | 2.00 | ... | 12.99 | 10 of 15 - Option sale to close-call ²⁵ |
| | 1.000 | 14.99 | 06/23/22 | 2.00 | ... | 12.99 | 11 of 15 - Option sale to close-call ²⁵ |
| | 2.000 | 29.98 | 06/23/22 | 4.00 | ... | 25.98 | 12 of 15 - Option sale to close-call ²⁵ |
| | 2.000 | 29.98 | 06/23/22 | 4.00 | ... | 25.98 | 13 of 15 - Option sale to close-call ²⁵ |
| | 3.000 | 44.98 | 06/23/22 | 6.00 | ... | 38.98 | 14 of 15 - Option sale to close-call ²⁵ |
| | 5.000 | 74.98 | 06/23/22 | 10.00 | ... | 64.98 | 15 of 15 - Option sale to close-call ²⁵ |
| 06/24/22 | 45.000 | 324.71 | Various | 95.04 | ... | 229.67 | Total of 15 transactions |

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 974228124

Proceeds from Broker and Barter Exchange Transactions

2022 1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|--|------------------------|---|----------------------|----------------------------|--|---|--|
| SOXL 06/24/2022 CALL \$16.00 / CUSIP: / Symbol: (cont'd) | | | | | | | |
| 2 transactions for 06/24/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 0.98 | 06/23/22 | 2.00 | 1.02 W | 0.00 | 1 of 2 - Option sale to close-call ²⁵ |
| | 4.000 | 3.98 | 06/23/22 | 8.00 | 4.02 W | 0.00 | 2 of 2 - Option sale to close-call ²⁵ |
| 06/24/22 | 5.000 | 4.96 | Various | 10.00 | 5.04 W | 0.00 | Total of 2 transactions |
| | Security total: | 329.67 | | 105.04 | 5.04 W | 229.67 | |
| SOXL 07/01/2022 CALL \$18.00 / CUSIP: / Symbol: | | | | | | | |
| 11 transactions for 07/01/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 8.000 | 0.00 | 06/28/22 | 64.00 | ... | -64.00 | 1 of 11 - Option expiration- long call |
| | 1.000 | 0.00 | 06/28/22 | 8.00 | ... | -8.00 | 2 of 11 - Option expiration- long call |
| | 1.000 | 0.00 | 06/28/22 | 8.00 | ... | -8.00 | 3 of 11 - Option expiration- long call |
| | 1.000 | 0.00 | 06/28/22 | 8.00 | ... | -8.00 | 4 of 11 - Option expiration- long call |
| | 1.000 | 0.00 | 06/28/22 | 8.00 | ... | -8.00 | 5 of 11 - Option expiration- long call |
| | 1.000 | 0.00 | 06/28/22 | 8.00 | ... | -8.00 | 6 of 11 - Option expiration- long call |
| | 1.000 | 0.00 | 06/28/22 | 8.00 | ... | -8.00 | 7 of 11 - Option expiration- long call |
| | 1.000 | 0.00 | 06/28/22 | 8.00 | ... | -8.00 | 8 of 11 - Option expiration- long call |
| | 1.000 | 0.00 | 06/28/22 | 8.00 | ... | -8.00 | 9 of 11 - Option expiration- long call |
| | 1.000 | 0.00 | 06/28/22 | 8.00 | ... | -8.00 | 10 of 11 - Option expiration- long call |
| | 1.000 | 0.00 | 06/28/22 | 8.00 | ... | -8.00 | 11 of 11 - Option expiration- long call |
| 07/01/22 | 18.000 | 0.00 | Various | 144.00 | ... | -144.00 | Total of 11 transactions |

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 974228124

Proceeds from Broker and Barter Exchange Transactions

2022 1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|---|------------------------|---|-------------------|-------------------------|--|---|--|
| SOXL 07/15/2022 CALL \$16.00 / CUSIP: / Symbol: | | | | | | | |
| 7 transactions for 07/15/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 6.000 | 5.99 | 07/12/22 | 30.00 | ... | -24.01 | 1 of 7 - Option sale to close-call ²⁵ |
| | 1.000 | 1.00 | 07/12/22 | 5.00 | ... | -4.00 | 2 of 7 - Option sale to close-call ²⁵ |
| | 1.000 | 1.00 | 07/12/22 | 5.00 | ... | -4.00 | 3 of 7 - Option sale to close-call ²⁵ |
| | 4.000 | 3.98 | 07/14/22 | 20.01 | ... | -16.03 | 4 of 7 - Option sale to close-call ²⁵ |
| | 1.000 | 1.00 | 07/14/22 | 9.01 | ... | -8.01 | 5 of 7 - Option sale to close-call ²⁵ |
| | 1.000 | 1.00 | 07/14/22 | 9.01 | ... | -8.01 | 6 of 7 - Option sale to close-call ²⁵ |
| | 24.000 | 23.93 | 07/14/22 | 24.00 | ... | -0.07 | 7 of 7 - Option sale to close-call ²⁵ |
| 07/15/22 | 38.000 | 37.90 | Various | 102.03 | ... | -64.13 | Total of 7 transactions |
| 4 transactions for 07/15/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 3.000 | 2.99 | 07/12/22 | 27.01 | 8.01 W | -16.01 | 1 of 4 - Option sale to close-call ²⁵ |
| | 4.000 | 3.98 | 07/12/22 | 20.00 | 16.02 W | 0.00 | 2 of 4 - Option sale to close-call ²⁵ |
| | 4.000 | 3.99 | 07/12/22 | 20.00 | 16.01 W | 0.00 | 3 of 4 - Option sale to close-call ²⁵ |
| | 1.000 | 1.00 | 07/12/22 | 9.01 | 8.01 W | 0.00 | 4 of 4 - Option sale to close-call ²⁵ |
| 07/15/22 | 12.000 | 11.96 | Various | 76.02 | 48.05 W | -16.01 | Total of 4 transactions |
| | Security total: | 49.86 | | 178.05 | 48.05 W | -80.14 | |
| SOXL 09/16/2022 CALL \$14.00 / CUSIP: / Symbol: | | | | | | | |
| 09/16/22 | 13.000 | 0.00 | 09/13/22 | 130.00 | ... | -130.00 | Option expiration- long call |
| SOXL 09/23/2022 CALL \$10.50 / CUSIP: / Symbol: | | | | | | | |
| 6 transactions for 09/23/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 10.000 | 0.00 | 09/23/22 | 50.00 | ... | -50.00 | 1 of 6 - Option expiration- long call |
| | 5.000 | 0.00 | 09/23/22 | 25.00 | ... | -25.00 | 2 of 6 - Option expiration- long call |
| | 4.000 | 0.00 | 09/23/22 | 20.00 | ... | -20.00 | 3 of 6 - Option expiration- long call |
| | 5.000 | 0.00 | 09/23/22 | 15.00 | ... | -15.00 | 4 of 6 - Option expiration- long call |
| | 1.000 | 0.00 | 09/23/22 | 3.00 | ... | -3.00 | 5 of 6 - Option expiration- long call |
| | 1.000 | 0.00 | 09/23/22 | 3.00 | ... | -3.00 | 6 of 6 - Option expiration- long call |
| 09/23/22 | 26.000 | 0.00 | Various | 116.00 | ... | -116.00 | Total of 6 transactions |
| SOXL 09/23/2022 CALL \$14.50 / CUSIP: / Symbol: | | | | | | | |
| 5 transactions for 09/21/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 7.98 | 09/20/22 | 7.00 | ... | 0.98 | 1 of 5 - Option sale to close-call ²⁵ |
| | 2.000 | 15.99 | 09/20/22 | 14.00 | ... | 1.99 | 2 of 5 - Option sale to close-call ²⁵ |

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 974228124

Proceeds from Broker and Barter Exchange Transactions

2022 1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|---|------------------------|---|-------------------|-------------------------|--|---|--|
| SOXL 09/23/2022 CALL \$14.50 / CUSIP: / Symbol: (cont'd) | | | | | | | |
| | 3.000 | 23.98 | 09/20/22 | 21.00 | ... | 2.98 | 3 of 5 - Option sale to close-call ²⁵ |
| | 4.000 | 31.99 | 09/20/22 | 28.00 | ... | 3.99 | 4 of 5 - Option sale to close-call ²⁵ |
| | 8.000 | 63.97 | 09/20/22 | 56.00 | ... | 7.97 | 5 of 5 - Option sale to close-call ²⁵ |
| 09/21/22 | 18.000 | 143.91 | Various | 126.00 | ... | 17.91 | Total of 5 transactions |
| SOXL 09/30/2022 CALL \$11.50 / CUSIP: / Symbol: | | | | | | | |
| 6 transactions for 09/27/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 3.000 | 44.99 | 09/26/22 | 81.02 | ... | -36.03 | 1 of 6 - Option sale to close-call ²⁵ |
| | 4.000 | 59.98 | 09/26/22 | 72.01 | ... | -12.03 | 2 of 6 - Option sale to close-call ²⁵ |
| | 1.000 | 15.00 | 09/26/22 | 27.00 | ... | -12.00 | 3 of 6 - Option sale to close-call ²⁵ |
| | 1.000 | 15.00 | 09/26/22 | 27.00 | ... | -12.00 | 4 of 6 - Option sale to close-call ²⁵ |
| | 1.000 | 15.00 | 09/26/22 | 18.00 | ... | -3.00 | 5 of 6 - Option sale to close-call ²⁵ |
| | 1.000 | 15.00 | 09/26/22 | 12.00 | ... | 3.00 | 6 of 6 - Option sale to close-call ²⁵ |
| 09/27/22 | 11.000 | 164.97 | Various | 237.03 | ... | -72.06 | Total of 6 transactions |
| 3 transactions for 09/27/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 15.00 | 09/26/22 | 21.00 | 6.00 W | 0.00 | 1 of 3 - Option sale to close-call ²⁵ |
| | 5.000 | 74.98 | 09/26/22 | 105.00 | 30.02 W | 0.00 | 2 of 3 - Option sale to close-call ²⁵ |
| | 4.000 | 59.99 | 09/26/22 | 84.00 | 24.01 W | 0.00 | 3 of 3 - Option sale to close-call ²⁵ |
| 09/27/22 | 10.000 | 149.97 | Various | 210.00 | 60.03 W | 0.00 | Total of 3 transactions |
| | Security total: | 314.94 | | 447.03 | 60.03 W | -72.06 | |
| SOXL 10/14/2022 CALL \$11.50 / CUSIP: / Symbol: | | | | | | | |
| 10/14/22 | 40.000 | 0.00 | 10/10/22 | 200.00 | ... | -200.00 | Option expiration- long call |
| SOXL 10/21/2022 CALL \$9.00 / CUSIP: / Symbol: | | | | | | | |
| 3 transactions for 10/21/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 98.000 | 97.78 | 10/20/22 | 98.00 | ... | -0.22 | 1 of 3 - Option sale to close-call ²⁵ |
| | 1.000 | 0.98 | 10/20/22 | 1.00 | ... | -0.02 | 2 of 3 - Option sale to close-call ²⁵ |
| | 1.000 | 0.98 | 10/20/22 | 1.00 | ... | -0.02 | 3 of 3 - Option sale to close-call ²⁵ |
| 10/21/22 | 100.000 | 99.74 | Various | 100.00 | ... | -0.26 | Total of 3 transactions |

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 974228124

Proceeds from Broker and Barter Exchange Transactions

2022 1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|---|------------------------|---|-------------------|-------------------------|--|---|--|
| SPY 04/25/2022 CALL \$425.00 / CUSIP: / Symbol: | | | | | | | |
| 8 transactions for 04/25/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 66.00 | 04/25/22 | 50.00 | ... | 16.00 | 1 of 8 - Option sale to close-call ²⁵ |
| | 1.000 | 65.99 | 04/25/22 | 35.00 | ... | 30.99 | 2 of 8 - Option sale to close-call ²⁵ |
| | 1.000 | 65.99 | 04/25/22 | 35.00 | ... | 30.99 | 3 of 8 - Option sale to close-call ²⁵ |
| | 1.000 | 66.00 | 04/25/22 | 35.00 | ... | 31.00 | 4 of 8 - Option sale to close-call ²⁵ |
| | 1.000 | 66.00 | 04/25/22 | 35.00 | ... | 31.00 | 5 of 8 - Option sale to close-call ²⁵ |
| | 1.000 | 66.00 | 04/25/22 | 35.00 | ... | 31.00 | 6 of 8 - Option sale to close-call ²⁵ |
| | 4.000 | 263.98 | 04/25/22 | 200.00 | ... | 63.98 | 7 of 8 - Option sale to close-call ²⁵ |
| | 5.000 | 269.98 | 04/25/22 | 135.00 | ... | 134.98 | 8 of 8 - Option sale to close-call ²⁵ |
| 04/25/22 | 15.000 | 929.94 | Various | 560.00 | ... | 369.94 | Total of 8 transactions |
| SPY 04/25/2022 PUT \$423.00 / CUSIP: / Symbol: | | | | | | | |
| 3 transactions for 04/25/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 3.000 | 128.99 | 04/25/22 | 171.00 | ... | -42.01 | 1 of 3 - Option sale to close-put ²⁵ |
| | 2.000 | 85.99 | 04/25/22 | 84.02 | ... | 1.97 | 2 of 3 - Option sale to close-put ²⁵ |
| | 3.000 | 128.99 | 04/25/22 | 84.00 | ... | 44.99 | 3 of 3 - Option sale to close-put ²⁵ |
| 04/25/22 | 8.000 | 343.97 | Various | 339.02 | ... | 4.95 | Total of 3 transactions |
| 04/25/22 | 2.000 | 85.98 | 04/25/22 | 114.00 | 28.02 W | 0.00 | Option sale to close-put ²⁵ |
| | Security total: | 429.95 | | 453.02 | 28.02 W | 4.95 | |
| SPY 06/15/2022 CALL \$400.00 / CUSIP: / Symbol: | | | | | | | |
| 06/14/22 | 10.000 | 109.97 | 06/13/22 | 250.00 | ... | -140.03 | Option sale to close-call ²⁵ |
| SPY 08/08/2022 PUT \$415.00 / CUSIP: / Symbol: | | | | | | | |
| 08/08/22 | 1.000 | 156.98 | 08/08/22 | 50.00 | ... | 106.98 | Option sale to close-put ²⁵ |
| SPY 08/22/2022 CALL \$420.00 / CUSIP: / Symbol: | | | | | | | |
| 08/22/22 | 3.000 | 0.00 | 08/22/22 | 99.00 | ... | -99.00 | Option expiration- long call |
| SPY 08/29/2022 CALL \$413.00 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 08/29/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 2.000 | 0.00 | 08/26/22 | 60.00 | ... | -60.00 | 1 of 2 - Option expiration- long call |
| | 1.000 | 0.00 | 08/26/22 | 30.00 | ... | -30.00 | 2 of 2 - Option expiration- long call |
| 08/29/22 | 3.000 | 0.00 | Various | 90.00 | ... | -90.00 | Total of 2 transactions |

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 974228124

Proceeds from Broker and Barter Exchange Transactions

2022 1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|------------------------|---|-------------------|-------------------------|--|---|--|
| SPY 09/07/2022 PUT \$390.00 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 09/07/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 29.98 | 09/07/22 | 29.00 | ... | 0.98 | 1 of 2 - Option sale to close-put ²⁵ |
| | 4.000 | 119.98 | 09/07/22 | 116.00 | ... | 3.98 | 2 of 2 - Option sale to close-put ²⁵ |
| 09/07/22 | 5.000 | 149.96 | Various | 145.00 | ... | 4.96 | Total of 2 transactions |
| SPY 09/12/2022 PUT \$406.00 / CUSIP: / Symbol: | | | | | | | |
| 09/12/22 | 8.000 | 199.97 | 09/12/22 | 192.00 | ... | 7.97 | Option sale to close-put ²⁵ |
| SPY 11/28/2022 PUT \$395.00 / CUSIP: / Symbol: | | | | | | | |
| 6 transactions for 11/28/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 9.00 | 11/25/22 | 9.02 | ... | -0.02 | 1 of 6 - Option sale to close-put ²⁵ |
| | 2.000 | 17.99 | 11/25/22 | 18.01 | ... | -0.02 | 2 of 6 - Option sale to close-put ²⁵ |
| | 2.000 | 17.99 | 11/25/22 | 18.01 | ... | -0.02 | 3 of 6 - Option sale to close-put ²⁵ |
| | 1.000 | 8.99 | 11/25/22 | 9.00 | ... | -0.01 | 4 of 6 - Option sale to close-put ²⁵ |
| | 1.000 | 9.00 | 11/25/22 | 9.00 | ... | 0.00 | 5 of 6 - Option sale to close-put ²⁵ |
| | 2.000 | 18.00 | 11/25/22 | 18.00 | ... | 0.00 | 6 of 6 - Option sale to close-put ²⁵ |
| 11/28/22 | 9.000 | 80.97 | Various | 81.04 | ... | -0.07 | Total of 6 transactions |
| 4 transactions for 11/28/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 8.98 | 11/25/22 | 9.00 | 0.02 W | 0.00 | 1 of 4 - Option sale to close-put ²⁵ |
| | 2.000 | 17.99 | 11/25/22 | 18.00 | 0.01 W | 0.00 | 2 of 4 - Option sale to close-put ²⁵ |
| | 1.000 | 9.00 | 11/25/22 | 9.02 | 0.02 W | 0.00 | 3 of 4 - Option sale to close-put ²⁵ |
| | 2.000 | 17.99 | 11/25/22 | 18.00 | 0.01 W | 0.00 | 4 of 4 - Option sale to close-put ²⁵ |
| 11/28/22 | 6.000 | 53.96 | Various | 54.02 | 0.06 W | 0.00 | Total of 4 transactions |
| | Security total: | 134.93 | | 135.06 | 0.06 W | -0.07 | |
| SQQQ 04/08/2022 CALL \$37.00 / CUSIP: / Symbol: | | | | | | | |
| 6 transactions for 04/08/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 9.99 | 04/08/22 | 24.01 | ... | -14.02 | 1 of 6 - Option sale to close-call ²⁵ |
| | 1.000 | 9.99 | 04/08/22 | 24.00 | ... | -14.01 | 2 of 6 - Option sale to close-call ²⁵ |
| | 1.000 | 9.99 | 04/08/22 | 17.00 | ... | -7.01 | 3 of 6 - Option sale to close-call ²⁵ |
| | 1.000 | 9.99 | 04/08/22 | 17.00 | ... | -7.01 | 4 of 6 - Option sale to close-call ²⁵ |
| | 1.000 | 10.00 | 04/08/22 | 17.00 | ... | -7.00 | 5 of 6 - Option sale to close-call ²⁵ |
| | 1.000 | 10.00 | 04/08/22 | 17.00 | ... | -7.00 | 6 of 6 - Option sale to close-call ²⁵ |
| 04/08/22 | 6.000 | 59.96 | Various | 116.01 | ... | -56.05 | Total of 6 transactions |

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 974228124

Proceeds from Broker and Barter Exchange Transactions

2022 1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|---|------------------------|---|-------------------|-------------------------|--|---|--|
| SQQQ 04/08/2022 CALL \$37.00 / CUSIP: / Symbol: (cont'd) | | | | | | | |
| 2 transactions for 04/08/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 2.000 | 19.99 | 04/08/22 | 34.00 | 7.01 W | -7.00 | 1 of 2 - Option sale to close-call ²⁵ |
| | 1.000 | 10.00 | 04/08/22 | 17.00 | 7.00 W | 0.00 | 2 of 2 - Option sale to close-call ²⁵ |
| 04/08/22 | 3.000 | 29.99 | Various | 51.00 | 14.01 W | -7.00 | Total of 2 transactions |
| | Security total: | 89.95 | | 167.01 | 14.01 W | -63.05 | |
| SQQQ 06/10/2022 CALL \$54.00 / CUSIP: / Symbol: | | | | | | | |
| 3 transactions for 06/07/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 2.000 | 129.98 | 06/06/22 | 60.00 | ... | 69.98 | 1 of 3 - Option sale to close-call ²⁵ |
| | 3.000 | 224.98 | 06/06/22 | 90.00 | ... | 134.98 | 2 of 3 - Option sale to close-call ²⁵ |
| | 5.000 | 399.98 | 06/06/22 | 150.00 | ... | 249.98 | 3 of 3 - Option sale to close-call ²⁵ |
| 06/07/22 | 10.000 | 754.94 | Various | 300.00 | ... | 454.94 | Total of 3 transactions |
| SQQQ 07/01/2022 CALL \$65.00 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 06/27/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 7.000 | 125.98 | 06/24/22 | 210.00 | ... | -84.02 | 1 of 2 - Option sale to close-call ²⁵ |
| | 3.000 | 53.99 | 06/24/22 | 90.00 | ... | -36.01 | 2 of 2 - Option sale to close-call ²⁵ |
| 06/27/22 | 10.000 | 179.97 | Various | 300.00 | ... | -120.03 | Total of 2 transactions |
| SQQQ 08/05/2022 CALL \$49.00 / CUSIP: / Symbol: | | | | | | | |
| 6 transactions for 08/03/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 10.000 | 19.97 | 08/02/22 | 70.00 | ... | -50.03 | 1 of 6 - Option sale to close-call ²⁵ |
| | 1.000 | 1.98 | 08/03/22 | 20.00 | ... | -18.02 | 2 of 6 - Option sale to close-call ²⁵ |
| | 1.000 | 1.99 | 08/03/22 | 20.00 | ... | -18.01 | 3 of 6 - Option sale to close-call ²⁵ |
| | 1.000 | 1.99 | 08/03/22 | 20.00 | ... | -18.01 | 4 of 6 - Option sale to close-call ²⁵ |
| | 1.000 | 2.00 | 08/03/22 | 20.00 | ... | -18.00 | 5 of 6 - Option sale to close-call ²⁵ |
| | 11.000 | 21.97 | 08/03/22 | 33.00 | ... | -11.03 | 6 of 6 - Option sale to close-call ²⁵ |
| 08/03/22 | 25.000 | 49.90 | Various | 183.00 | ... | -133.10 | Total of 6 transactions |
| 2 transactions for 08/03/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 9.000 | 17.98 | 07/29/22 | 171.00 | 51.00 W | -102.02 | 1 of 2 - Option sale to close-call ²⁵ |
| | 1.000 | 2.00 | 07/29/22 | 19.00 | 17.00 W | 0.00 | 2 of 2 - Option sale to close-call ²⁵ |
| 08/03/22 | 10.000 | 19.98 | Various | 190.00 | 68.00 W | -102.02 | Total of 2 transactions |
| | Security total: | 69.88 | | 373.00 | 68.00 W | -235.12 | |

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 974228124

Proceeds from Broker and Barter Exchange Transactions

2022 1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|--|------------------------|---|----------------------|----------------------------|--|---|--|
| SQQQ 08/12/2022 CALL \$39.00 / CUSIP: / Symbol: | | | | | | | |
| 08/08/22 | 1.000 | 109.98 | 08/05/22 | 96.00 | ... | 13.98 | Option sale to close-call ²⁵ |
| SQQQ 08/12/2022 CALL \$40.00 / CUSIP: / Symbol: | | | | | | | |
| 3 transactions for 08/11/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 4.000 | 11.98 | 08/10/22 | 88.00 | ... | -76.02 | 1 of 3 - Option sale to close-call ²⁵ |
| | 3.000 | 8.99 | 08/10/22 | 81.02 | ... | -72.03 | 2 of 3 - Option sale to close-call ²⁵ |
| | 3.000 | 8.99 | 08/10/22 | 66.00 | ... | -57.01 | 3 of 3 - Option sale to close-call ²⁵ |
| 08/11/22 | 10.000 | 29.96 | Various | 235.02 | ... | -205.06 | Total of 3 transactions |
| 08/11/22 | 3.000 | 8.98 | 08/10/22 | 66.00 | 57.02 W | 0.00 | Option sale to close-call ²⁵ |
| | Security total: | 38.94 | | 301.02 | 57.02 W | -205.06 | |
| SQQQ 10/07/2022 CALL \$63.00 / CUSIP: / Symbol: | | | | | | | |
| 15 transactions for 10/05/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 17.98 | 10/04/22 | 18.00 | ... | -0.02 | 1 of 15 - Option sale to close-call ²⁵ |
| | 1.000 | 17.98 | 10/04/22 | 18.00 | ... | -0.02 | 2 of 15 - Option sale to close-call ²⁵ |
| | 1.000 | 17.98 | 10/04/22 | 18.00 | ... | -0.02 | 3 of 15 - Option sale to close-call ²⁵ |
| | 1.000 | 17.98 | 10/04/22 | 18.00 | ... | -0.02 | 4 of 15 - Option sale to close-call ²⁵ |
| | 1.000 | 17.98 | 10/04/22 | 18.00 | ... | -0.02 | 5 of 15 - Option sale to close-call ²⁵ |
| | 1.000 | 17.98 | 10/04/22 | 18.00 | ... | -0.02 | 6 of 15 - Option sale to close-call ²⁵ |
| | 1.000 | 17.98 | 10/04/22 | 18.00 | ... | -0.02 | 7 of 15 - Option sale to close-call ²⁵ |
| | 1.000 | 17.98 | 10/04/22 | 18.00 | ... | -0.02 | 8 of 15 - Option sale to close-call ²⁵ |
| | 1.000 | 17.98 | 10/04/22 | 18.00 | ... | -0.02 | 9 of 15 - Option sale to close-call ²⁵ |
| | 1.000 | 17.98 | 10/04/22 | 18.00 | ... | -0.02 | 10 of 15 - Option sale to close-call ²⁵ |
| | 1.000 | 17.98 | 10/04/22 | 18.00 | ... | -0.02 | 11 of 15 - Option sale to close-call ²⁵ |

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 974228124

Proceeds from Broker and Barter Exchange Transactions

2022 1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|--|----------|---|-------------------|-------------------------|--|---|--|
| SQQQ 10/07/2022 CALL \$63.00 / CUSIP: / Symbol: (cont'd) | | | | | | | |
| | 1.000 | 17.98 | 10/04/22 | 18.00 | ... | -0.02 | 12 of 15 - Option sale to close-call ²⁵ |
| | 1.000 | 17.98 | 10/04/22 | 18.00 | ... | -0.02 | 13 of 15 - Option sale to close-call ²⁵ |
| | 1.000 | 17.98 | 10/04/22 | 18.00 | ... | -0.02 | 14 of 15 - Option sale to close-call ²⁵ |
| | 1.000 | 17.98 | 10/04/22 | 18.00 | ... | -0.02 | 15 of 15 - Option sale to close-call ²⁵ |
| 10/05/22 | 15.000 | 269.70 | Various | 270.00 | ... | -0.30 | Total of 15 transactions |
| SQQQ 11/18/2022 CALL \$51.50 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 11/15/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 9.000 | 80.97 | 11/14/22 | 180.00 | ... | -99.03 | 1 of 2 - Option sale to close-call ²⁵ |
| | 1.000 | 7.98 | 11/14/22 | 20.00 | ... | -12.02 | 2 of 2 - Option sale to close-call ²⁵ |
| 11/15/22 | 10.000 | 88.95 | Various | 200.00 | ... | -111.05 | Total of 2 transactions |
| SQQQ 12/16/2022 CALL \$52.00 / CUSIP: / Symbol: | | | | | | | |
| 20 transactions for 12/15/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 10.98 | 12/14/22 | 5.00 | ... | 5.98 | 1 of 20 - Option sale to close-call ²⁵ |
| | 1.000 | 10.98 | 12/14/22 | 5.00 | ... | 5.98 | 2 of 20 - Option sale to close-call ²⁵ |
| | 1.000 | 10.98 | 12/14/22 | 5.00 | ... | 5.98 | 3 of 20 - Option sale to close-call ²⁵ |
| | 1.000 | 10.98 | 12/14/22 | 5.00 | ... | 5.98 | 4 of 20 - Option sale to close-call ²⁵ |
| | 1.000 | 10.98 | 12/14/22 | 5.00 | ... | 5.98 | 5 of 20 - Option sale to close-call ²⁵ |
| | 1.000 | 10.98 | 12/14/22 | 5.00 | ... | 5.98 | 6 of 20 - Option sale to close-call ²⁵ |
| | 1.000 | 10.98 | 12/14/22 | 5.00 | ... | 5.98 | 7 of 20 - Option sale to close-call ²⁵ |
| | 1.000 | 10.98 | 12/14/22 | 5.00 | ... | 5.98 | 8 of 20 - Option sale to close-call ²⁵ |

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 974228124

Proceeds from Broker and Barter Exchange Transactions

2022 1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|----------|---|----------------------|----------------------------|--|---|--|
| SQQQ 12/16/2022 CALL \$52.00 / CUSIP: / Symbol: (cont'd) | | | | | | | |
| | 1.000 | 10.98 | 12/14/22 | 5.00 | ... | 5.98 | 9 of 20 - Option sale to close-call 25 |
| | 1.000 | 10.98 | 12/14/22 | 5.00 | ... | 5.98 | 10 of 20 - Option sale to close-call 25 |
| | 1.000 | 10.98 | 12/14/22 | 5.00 | ... | 5.98 | 11 of 20 - Option sale to close-call 25 |
| | 1.000 | 10.98 | 12/14/22 | 5.00 | ... | 5.98 | 12 of 20 - Option sale to close-call 25 |
| | 1.000 | 10.98 | 12/14/22 | 5.00 | ... | 5.98 | 13 of 20 - Option sale to close-call 25 |
| | 1.000 | 10.98 | 12/14/22 | 5.00 | ... | 5.98 | 14 of 20 - Option sale to close-call 25 |
| | 2.000 | 21.98 | 12/14/22 | 10.00 | ... | 11.98 | 15 of 20 - Option sale to close-call 25 |
| | 2.000 | 21.98 | 12/14/22 | 10.00 | ... | 11.98 | 16 of 20 - Option sale to close-call 25 |
| | 2.000 | 21.98 | 12/14/22 | 10.00 | ... | 11.98 | 17 of 20 - Option sale to close-call 25 |
| | 2.000 | 21.98 | 12/14/22 | 10.00 | ... | 11.98 | 18 of 20 - Option sale to close-call 25 |
| | 8.000 | 87.97 | 12/14/22 | 40.00 | ... | 47.97 | 19 of 20 - Option sale to close-call 25 |
| | 10.000 | 219.97 | 12/14/22 | 50.00 | ... | 169.97 | 20 of 20 - Option sale to close-call 25 |
| 12/15/22 | 40.000 | 549.58 | Various | 200.00 | ... | 349.58 | Total of 20 transactions |
| TGT 05/27/2022 PUT \$155.00 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 05/27/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 9.000 | 0.00 | 05/26/22 | 144.00 | ... | -144.00 | 1 of 2 - Option expiration- long put |
| | 1.000 | 0.00 | 05/26/22 | 15.00 | ... | -15.00 | 2 of 2 - Option expiration- long put |
| 05/27/22 | 10.000 | 0.00 | Various | 159.00 | ... | -159.00 | Total of 2 transactions |

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 974228124

Proceeds from Broker and Barter Exchange Transactions

2022 1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|---|----------|---|-------------------|-------------------------|--|---|--|
| TGT 12/09/2022 CALL \$172.50 / CUSIP: / Symbol: | | | | | | | |
| 3 transactions for 12/07/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 8.000 | 23.97 | 12/06/22 | 40.00 | ... | -16.03 | 1 of 3 - Option sale to close-call ²⁵ |
| | 1.000 | 3.00 | 12/06/22 | 5.00 | ... | -2.00 | 2 of 3 - Option sale to close-call ²⁵ |
| | 1.000 | 3.00 | 12/06/22 | 5.00 | ... | -2.00 | 3 of 3 - Option sale to close-call ²⁵ |
| 12/07/22 | 10.000 | 29.97 | Various | 50.00 | ... | -20.03 | Total of 3 transactions |
| TLRY 04/14/2022 CALL \$9.00 / CUSIP: / Symbol: | | | | | | | |
| 6 transactions for 04/12/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 10.000 | 9.97 | 04/08/22 | 50.00 | ... | -40.03 | 1 of 6 - Option sale to close-call ²⁵ |
| | 5.000 | 4.99 | 04/08/22 | 25.00 | ... | -20.01 | 2 of 6 - Option sale to close-call ²⁵ |
| | 4.000 | 3.99 | 04/08/22 | 20.00 | ... | -16.01 | 3 of 6 - Option sale to close-call ²⁵ |
| | 5.000 | 4.99 | 04/11/22 | 15.00 | ... | -10.01 | 4 of 6 - Option sale to close-call ²⁵ |
| | 2.000 | 1.99 | 04/11/22 | 6.00 | ... | -4.01 | 5 of 6 - Option sale to close-call ²⁵ |
| | 1.000 | 1.00 | 04/11/22 | 3.00 | ... | -2.00 | 6 of 6 - Option sale to close-call ²⁵ |
| 04/12/22 | 27.000 | 26.93 | Various | 119.00 | ... | -92.07 | Total of 6 transactions |
| TLRY 10/07/2022 CALL \$4.00 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 10/07/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 18.000 | 125.95 | 10/07/22 | 216.00 | ... | -90.05 | 1 of 2 - Option sale to close-call ²⁵ |
| | 12.000 | 83.96 | 10/07/22 | 144.00 | ... | -60.04 | 2 of 2 - Option sale to close-call ²⁵ |
| 10/07/22 | 30.000 | 209.91 | Various | 360.00 | ... | -150.09 | Total of 2 transactions |
| TLRY 11/11/2022 CALL \$5.00 / CUSIP: / Symbol: | | | | | | | |
| 3 transactions for 11/11/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 14.000 | 13.97 | 11/04/22 | 70.00 | ... | -56.03 | 1 of 3 - Option sale to close-call ²⁵ |
| | 5.000 | 4.98 | 11/04/22 | 20.00 | ... | -15.02 | 2 of 3 - Option sale to close-call ²⁵ |
| | 1.000 | 1.00 | 11/04/22 | 5.00 | ... | -4.00 | 3 of 3 - Option sale to close-call ²⁵ |
| 11/11/22 | 20.000 | 19.95 | Various | 95.00 | ... | -75.05 | Total of 3 transactions |
| TLRY 12/02/2022 CALL \$4.00 / CUSIP: / Symbol: | | | | | | | |
| 9 transactions for 12/01/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 11.00 | 11/30/22 | 5.00 | ... | 6.00 | 1 of 9 - Option sale to close-call ²⁵ |
| | 1.000 | 11.00 | 11/30/22 | 5.00 | ... | 6.00 | 2 of 9 - Option sale to close-call ²⁵ |
| | 2.000 | 21.99 | 11/30/22 | 10.00 | ... | 11.99 | 3 of 9 - Option sale to close-call ²⁵ |

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 974228124

Proceeds from Broker and Barter Exchange Transactions

2022 1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|---|---|-------------------|-------------------------|--|---|--|
| TLRY 12/02/2022 CALL \$4.00 / CUSIP: / Symbol: (cont'd) | | | | | | | |
| | 2.000 | 21.99 | 11/30/22 | 10.00 | ... | 11.99 | 4 of 9 - Option sale to close-call ²⁵ |
| | 2.000 | 22.00 | 11/30/22 | 10.00 | ... | 12.00 | 5 of 9 - Option sale to close-call ²⁵ |
| | 2.000 | 22.00 | 11/30/22 | 10.00 | ... | 12.00 | 6 of 9 - Option sale to close-call ²⁵ |
| | 3.000 | 32.99 | 11/30/22 | 15.00 | ... | 17.99 | 7 of 9 - Option sale to close-call ²⁵ |
| | 3.000 | 32.99 | 11/30/22 | 15.00 | ... | 17.99 | 8 of 9 - Option sale to close-call ²⁵ |
| | 4.000 | 43.99 | 11/30/22 | 20.00 | ... | 23.99 | 9 of 9 - Option sale to close-call ²⁵ |
| 12/01/22 | 20.000 | 219.95 | Various | 100.00 | ... | 119.95 | Total of 9 transactions |
| TSLA 08/05/2022 PUT \$875.00 / CUSIP: / Symbol: | | | | | | | |
| 08/05/22 | 1.000 | 349.98 | 08/04/22 | 220.02 | ... | 129.96 | Option sale to close-put ²⁵ |
| 08/05/22 | 1.000 | 319.98 | 08/03/22 | 340.00 | 20.02 W | 0.00 | Option sale to close-put ²⁵ |
| | Security total: | 669.96 | | 560.02 | 20.02 W | 129.96 | |
| TSLA 08/12/2022 PUT \$830.00 / CUSIP: / Symbol: | | | | | | | |
| 08/11/22 | 1.000 | 213.98 | 08/11/22 | 179.02 | ... | 34.96 | Option sale to close-put ²⁵ |
| 08/11/22 | 1.000 | 185.98 | 08/10/22 | 260.00 | 74.02 W | 0.00 | Option sale to close-put ²⁵ |
| | Security total: | 399.96 | | 439.02 | 74.02 W | 34.96 | |
| TSLA 08/12/2022 PUT \$870.00 / CUSIP: / Symbol: | | | | | | | |
| 08/12/22 | 1.000 | 35.98 | 08/12/22 | 315.02 | ... | -279.04 | Option sale to close-put ²⁵ |
| 08/12/22 | 1.000 | 35.98 | 08/12/22 | 250.00 | 214.02 W | 0.00 | Option sale to close-put ²⁵ |
| | Security total: | 71.96 | | 565.02 | 214.02 W | -279.04 | |
| TSLA 08/26/2022 PUT \$296.67 / CUSIP: / Symbol: | | | | | | | |
| 08/26/22 | 1.000 | 399.98 | 08/26/22 | 84.00 | ... | 315.98 | Option sale to close-put ²⁵ |
| TWTR 04/08/2022 PUT \$47.50 / CUSIP: / Symbol: | | | | | | | |
| 04/07/22 | 1.000 | 86.98 | 04/06/22 | 24.00 | ... | 62.98 | Option sale to close-put ²⁵ |
| TWTR 04/22/2022 PUT \$41.00 / CUSIP: / Symbol: | | | | | | | |
| | 3 transactions for 04/22/22. Total proceeds and cost reported to the IRS. | | | | | | |
| | 21.000 | 0.00 | 04/21/22 | 63.00 | ... | -63.00 | 1 of 3 - Option expiration- long put |
| | 8.000 | 0.00 | 04/21/22 | 24.00 | ... | -24.00 | 2 of 3 - Option expiration- long put |
| | 4.000 | 0.00 | 04/21/22 | 12.00 | ... | -12.00 | 3 of 3 - Option expiration- long put |
| 04/22/22 | 33.000 | 0.00 | Various | 99.00 | ... | -99.00 | Total of 3 transactions |

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 974228124

Proceeds from Broker and Barter Exchange Transactions

2022 1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|----------|---|-------------------|-------------------------|--|---|--|
| TWTR 04/29/2022 PUT \$48.00 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 04/26/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 21.98 | 04/25/22 | 20.00 | ... | 1.98 | 1 of 2 - Option sale to close-put ²⁵ |
| | 4.000 | 87.98 | 04/25/22 | 80.00 | ... | 7.98 | 2 of 2 - Option sale to close-put ²⁵ |
| 04/26/22 | 5.000 | 109.96 | Various | 100.00 | ... | 9.96 | Total of 2 transactions |
| TWTR 05/06/2022 PUT \$48.00 / CUSIP: / Symbol: | | | | | | | |
| 6 transactions for 05/06/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 5.000 | 0.00 | 05/02/22 | 90.00 | ... | -90.00 | 1 of 6 - Option expiration- long put |
| | 2.000 | 0.00 | 05/02/22 | 34.00 | ... | -34.00 | 2 of 6 - Option expiration- long put |
| | 1.000 | 0.00 | 05/02/22 | 18.00 | ... | -18.00 | 3 of 6 - Option expiration- long put |
| | 1.000 | 0.00 | 05/02/22 | 18.00 | ... | -18.00 | 4 of 6 - Option expiration- long put |
| | 1.000 | 0.00 | 05/02/22 | 18.00 | ... | -18.00 | 5 of 6 - Option expiration- long put |
| | 10.000 | 0.00 | 05/04/22 | 150.00 | ... | -150.00 | 6 of 6 - Option expiration- long put |
| 05/06/22 | 20.000 | 0.00 | Various | 328.00 | ... | -328.00 | Total of 6 transactions |
| UVXY 07/29/2022 CALL \$12.00 / CUSIP: / Symbol: | | | | | | | |
| 4 transactions for 07/29/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 4.000 | 0.00 | 07/28/22 | 48.00 | ... | -48.00 | 1 of 4 - Option expiration- long call |
| | 3.000 | 0.00 | 07/28/22 | 36.00 | ... | -36.00 | 2 of 4 - Option expiration- long call |
| | 1.000 | 0.00 | 07/28/22 | 12.00 | ... | -12.00 | 3 of 4 - Option expiration- long call |
| | 1.000 | 0.00 | 07/28/22 | 12.00 | ... | -12.00 | 4 of 4 - Option expiration- long call |
| 07/29/22 | 9.000 | 0.00 | Various | 108.00 | ... | -108.00 | Total of 4 transactions |
| VLO 06/17/2022 CALL \$147.00 / CUSIP: / Symbol: | | | | | | | |
| 6 transactions for 06/16/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 2.000 | 1.99 | 06/15/22 | 30.02 | ... | -28.03 | 1 of 6 - Option sale to close-call ²⁵ |
| | 4.000 | 3.99 | 06/15/22 | 32.00 | ... | -28.01 | 2 of 6 - Option sale to close-call ²⁵ |
| | 1.000 | 1.00 | 06/15/22 | 15.02 | ... | -14.02 | 3 of 6 - Option sale to close-call ²⁵ |
| | 1.000 | 1.00 | 06/15/22 | 14.02 | ... | -13.02 | 4 of 6 - Option sale to close-call ²⁵ |
| | 1.000 | 1.00 | 06/15/22 | 14.02 | ... | -13.02 | 5 of 6 - Option sale to close-call ²⁵ |
| | 1.000 | 0.99 | 06/15/22 | 8.00 | ... | -7.01 | 6 of 6 - Option sale to close-call ²⁵ |
| 06/16/22 | 10.000 | 9.97 | Various | 113.08 | ... | -103.11 | Total of 6 transactions |

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 974228124

Proceeds from Broker and Barter Exchange Transactions

2022 1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|------------------------|---|-------------------|-------------------------|--|---|--|
| VLO 06/17/2022 CALL \$147.00 / CUSIP: / Symbol: (cont'd) | | | | | | | |
| 4 transactions for 06/16/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 1.98 | 06/15/22 | 8.00 | 6.02 W | 0.00 | 1 of 4 - Option sale to close-call ²⁵ |
| | 1.000 | 1.98 | 06/15/22 | 8.00 | 6.02 W | 0.00 | 2 of 4 - Option sale to close-call ²⁵ |
| | 1.000 | 0.98 | 06/15/22 | 8.00 | 7.02 W | 0.00 | 3 of 4 - Option sale to close-call ²⁵ |
| | 2.000 | 1.98 | 06/15/22 | 16.00 | 14.02 W | 0.00 | 4 of 4 - Option sale to close-call ²⁵ |
| 06/16/22 | 5.000 | 6.92 | Various | 40.00 | 33.08 W | 0.00 | Total of 4 transactions |
| | Security total: | 16.89 | | 153.08 | 33.08 W | -103.11 | |
| VXX 03/25/2022 CALL \$25.50 / CUSIP: / Symbol: | | | | | | | |
| 7 transactions for 03/25/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 10.000 | 79.97 | 03/25/22 | 90.00 | ... | -10.03 | 1 of 7 - Option sale to close-call ²⁵ |
| | 3.000 | 23.99 | 03/25/22 | 30.01 | ... | -6.02 | 2 of 7 - Option sale to close-call ²⁵ |
| | 3.000 | 23.99 | 03/25/22 | 30.01 | ... | -6.02 | 3 of 7 - Option sale to close-call ²⁵ |
| | 1.000 | 8.00 | 03/25/22 | 10.01 | ... | -2.01 | 4 of 7 - Option sale to close-call ²⁵ |
| | 1.000 | 8.00 | 03/25/22 | 10.00 | ... | -2.00 | 5 of 7 - Option sale to close-call ²⁵ |
| | 1.000 | 8.00 | 03/25/22 | 10.00 | ... | -2.00 | 6 of 7 - Option sale to close-call ²⁵ |
| | 1.000 | 8.00 | 03/25/22 | 10.00 | ... | -2.00 | 7 of 7 - Option sale to close-call ²⁵ |
| 03/25/22 | 20.000 | 159.95 | Various | 190.03 | ... | -30.08 | Total of 7 transactions |
| 3 transactions for 03/25/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 6.000 | 47.98 | 03/25/22 | 54.00 | 6.02 W | 0.00 | 1 of 3 - Option sale to close-call ²⁵ |
| | 1.000 | 8.00 | 03/25/22 | 9.00 | 1.00 W | 0.00 | 2 of 3 - Option sale to close-call ²⁵ |
| | 3.000 | 23.99 | 03/25/22 | 27.00 | 3.01 W | 0.00 | 3 of 3 - Option sale to close-call ²⁵ |
| 03/25/22 | 10.000 | 79.97 | Various | 90.00 | 10.03 W | 0.00 | Total of 3 transactions |
| | Security total: | 239.92 | | 280.03 | 10.03 W | -30.08 | |
| VXX 04/08/2022 CALL \$24.50 / CUSIP: / Symbol: | | | | | | | |
| 4 transactions for 04/08/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 9.000 | 80.97 | 04/08/22 | 225.00 | ... | -144.03 | 1 of 4 - Option sale to close-call ²⁵ |
| | 5.000 | 44.99 | 04/08/22 | 100.00 | ... | -55.01 | 2 of 4 - Option sale to close-call ²⁵ |
| | 1.000 | 9.00 | 04/08/22 | 25.00 | ... | -16.00 | 3 of 4 - Option sale to close-call ²⁵ |
| | 2.000 | 17.99 | 04/08/22 | 30.00 | ... | -12.01 | 4 of 4 - Option sale to close-call ²⁵ |
| 04/08/22 | 17.000 | 152.95 | Various | 380.00 | ... | -227.05 | Total of 4 transactions |

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 974228124

Proceeds from Broker and Barter Exchange Transactions

2022 1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|---|------------------------|---|-------------------|-------------------------|--|---|--|
| VXX 04/14/2022 CALL \$26.00 / CUSIP: / Symbol: | | | | | | | |
| 4 transactions for 04/13/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 4.000 | 135.98 | 04/12/22 | 144.00 | ... | -8.02 | 1 of 4 - Option sale to close-call ²⁵ |
| | 1.000 | 33.98 | 04/12/22 | 36.00 | ... | -2.02 | 2 of 4 - Option sale to close-call ²⁵ |
| | 1.000 | 55.98 | 04/12/22 | 36.00 | ... | 19.98 | 3 of 4 - Option sale to close-call ²⁵ |
| | 4.000 | 223.98 | 04/12/22 | 144.00 | ... | 79.98 | 4 of 4 - Option sale to close-call ²⁵ |
| 04/13/22 | 10.000 | 449.92 | Various | 360.00 | ... | 89.92 | Total of 4 transactions |
| VXX 05/13/2022 CALL \$33.00 / CUSIP: / Symbol: | | | | | | | |
| 3 transactions for 05/12/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 10.000 | 39.97 | 05/11/22 | 50.00 | ... | -10.03 | 1 of 3 - Option sale to close-call ²⁵ |
| | 8.000 | 31.97 | 05/11/22 | 40.00 | ... | -8.03 | 2 of 3 - Option sale to close-call ²⁵ |
| | 1.000 | 4.00 | 05/11/22 | 6.00 | ... | -2.00 | 3 of 3 - Option sale to close-call ²⁵ |
| 05/12/22 | 19.000 | 75.94 | Various | 96.00 | ... | -20.06 | Total of 3 transactions |
| 05/12/22 | 1.000 | 4.00 | 05/11/22 | 5.00 | 1.00 W | 0.00 | Option sale to close-call ²⁵ |
| | Security total: | 79.94 | | 101.00 | 1.00 W | -20.06 | |
| VXX 05/20/2022 CALL \$25.50 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 05/18/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 5.000 | 149.98 | 05/16/22 | 175.00 | ... | -25.02 | 1 of 2 - Option sale to close-call ²⁵ |
| | 5.000 | 149.99 | 05/16/22 | 175.00 | ... | -25.01 | 2 of 2 - Option sale to close-call ²⁵ |
| 05/18/22 | 10.000 | 299.97 | Various | 350.00 | ... | -50.03 | Total of 2 transactions |
| VXX 06/03/2022 CALL \$26.00 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 06/02/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 8.000 | 15.97 | 06/01/22 | 72.00 | ... | -56.03 | 1 of 2 - Option sale to close-call ²⁵ |
| | 2.000 | 3.98 | 06/01/22 | 18.00 | ... | -14.02 | 2 of 2 - Option sale to close-call ²⁵ |
| 06/02/22 | 10.000 | 19.95 | Various | 90.00 | ... | -70.05 | Total of 2 transactions |
| 06/03/22 | 1.000 | 0.00 | 06/01/22 | 9.00 | ... | -9.00 | Option expiration- long call |
| | Security total: | 19.95 | | 99.00 | ... | -79.05 | |

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 974228124

Proceeds from Broker and Barter Exchange Transactions

2022 1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|---|------------------------|---|-------------------|-------------------------|--|---|--|
| VXX 06/10/2022 CALL \$25.00 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 06/09/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 9.000 | 17.97 | 06/08/22 | 72.03 | ... | -54.06 | 1 of 2 - Option sale to close-call ²⁵ |
| | 1.000 | 2.00 | 06/08/22 | 8.00 | ... | -6.00 | 2 of 2 - Option sale to close-call ²⁵ |
| 06/09/22 | 10.000 | 19.97 | Various | 80.03 | ... | -60.06 | Total of 2 transactions |
| 2 transactions for 06/09/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 9.000 | 17.97 | 06/08/22 | 45.00 | 27.03 W | 0.00 | 1 of 2 - Option sale to close-call ²⁵ |
| | 1.000 | 2.00 | 06/08/22 | 5.00 | 3.00 W | 0.00 | 2 of 2 - Option sale to close-call ²⁵ |
| 06/09/22 | 10.000 | 19.97 | Various | 50.00 | 30.03 W | 0.00 | Total of 2 transactions |
| | Security total: | 39.94 | | 130.03 | 30.03 W | -60.06 | |
| VXX 08/05/2022 CALL \$25.00 / CUSIP: / Symbol: | | | | | | | |
| 6 transactions for 07/25/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 3.000 | 38.99 | 07/22/22 | 66.00 | ... | -27.01 | 1 of 6 - Option sale to close-call ²⁵ |
| | 1.000 | 12.99 | 07/22/22 | 33.02 | ... | -20.03 | 2 of 6 - Option sale to close-call ²⁵ |
| | 1.000 | 13.00 | 07/22/22 | 33.02 | ... | -20.02 | 3 of 6 - Option sale to close-call ²⁵ |
| | 1.000 | 13.00 | 07/22/22 | 33.02 | ... | -20.02 | 4 of 6 - Option sale to close-call ²⁵ |
| | 2.000 | 25.99 | 07/22/22 | 44.00 | ... | -18.01 | 5 of 6 - Option sale to close-call ²⁵ |
| | 1.000 | 13.00 | 07/22/22 | 22.00 | ... | -9.00 | 6 of 6 - Option sale to close-call ²⁵ |
| 07/25/22 | 9.000 | 116.97 | Various | 231.06 | ... | -114.09 | Total of 6 transactions |
| 3 transactions for 07/25/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 10.98 | 07/22/22 | 22.00 | 11.02 W | 0.00 | 1 of 3 - Option sale to close-call ²⁵ |
| | 1.000 | 10.98 | 07/22/22 | 22.00 | 11.02 W | 0.00 | 2 of 3 - Option sale to close-call ²⁵ |
| | 1.000 | 10.98 | 07/22/22 | 22.00 | 11.02 W | 0.00 | 3 of 3 - Option sale to close-call ²⁵ |
| 07/25/22 | 3.000 | 32.94 | Various | 66.00 | 33.06 W | 0.00 | Total of 3 transactions |
| | Security total: | 149.91 | | 297.06 | 33.06 W | -114.09 | |
| VXX 12/23/2022 CALL \$15.50 / CUSIP: / Symbol: | | | | | | | |
| 6 transactions for 12/23/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 5.000 | 14.98 | 12/22/22 | 25.02 | ... | -10.04 | 1 of 6 - Option sale to close-call ²⁵ |
| | 2.000 | 5.99 | 12/22/22 | 10.01 | ... | -4.02 | 2 of 6 - Option sale to close-call ²⁵ |
| | 2.000 | 5.99 | 12/22/22 | 10.00 | ... | -4.01 | 3 of 6 - Option sale to close-call ²⁵ |
| | 1.000 | 3.00 | 12/22/22 | 6.02 | ... | -3.02 | 4 of 6 - Option sale to close-call ²⁵ |
| | 1.000 | 3.00 | 12/22/22 | 6.02 | ... | -3.02 | 5 of 6 - Option sale to close-call ²⁵ |

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 974228124

Proceeds from Broker and Barter Exchange Transactions

2022 1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|---|---|---|-------------------|-------------------------|--|---|--|
| VXX 12/23/2022 CALL \$15.50 / CUSIP: / Symbol: (cont'd) | | | | | | | |
| | 1.000 | 3.00 | 12/22/22 | 4.00 | ... | -1.00 | 6 of 6 - Option sale to close-call ²⁵ |
| 12/23/22 | 12.000 | 35.96 | Various | 61.07 | ... | -25.11 | Total of 6 transactions |
| | 6 transactions for 12/23/22. Total proceeds and cost reported to the IRS. | | | | | | |
| | 1.000 | 2.98 | 12/22/22 | 4.00 | 1.02 W | 0.00 | 1 of 6 - Option sale to close-call ²⁵ |
| | 1.000 | 2.98 | 12/22/22 | 4.00 | 1.02 W | 0.00 | 2 of 6 - Option sale to close-call ²⁵ |
| | 4.000 | 11.99 | 12/22/22 | 16.00 | 4.01 W | 0.00 | 3 of 6 - Option sale to close-call ²⁵ |
| | 1.000 | 3.00 | 12/22/22 | 5.02 | 2.02 W | 0.00 | 4 of 6 - Option sale to close-call ²⁵ |
| | 1.000 | 3.00 | 12/22/22 | 5.02 | 2.02 W | 0.00 | 5 of 6 - Option sale to close-call ²⁵ |
| | 5.000 | 14.98 | 12/22/22 | 20.00 | 5.02 W | 0.00 | 6 of 6 - Option sale to close-call ²⁵ |
| 12/23/22 | 13.000 | 38.93 | Various | 54.04 | 15.11 W | 0.00 | Total of 6 transactions |
| | Security total: | 74.89 | | 115.11 | 15.11 W | -25.11 | |
| WMT 07/15/2022 CALL \$132.00 / CUSIP: / Symbol: | | | | | | | |
| | 7 transactions for 07/14/22. Total proceeds and cost reported to the IRS. | | | | | | |
| | 4.000 | 11.99 | 07/12/22 | 40.02 | ... | -28.03 | 1 of 7 - Option sale to close-call ²⁵ |
| | 2.000 | 5.99 | 07/12/22 | 12.00 | ... | -6.01 | 2 of 7 - Option sale to close-call ²⁵ |
| | 2.000 | 5.99 | 07/12/22 | 12.00 | ... | -6.01 | 3 of 7 - Option sale to close-call ²⁵ |
| | 1.000 | 3.00 | 07/12/22 | 9.00 | ... | -6.00 | 4 of 7 - Option sale to close-call ²⁵ |
| | 1.000 | 2.99 | 07/12/22 | 6.00 | ... | -3.01 | 5 of 7 - Option sale to close-call ²⁵ |
| | 1.000 | 3.00 | 07/12/22 | 6.00 | ... | -3.00 | 6 of 7 - Option sale to close-call ²⁵ |
| | 1.000 | 3.00 | 07/13/22 | 2.00 | ... | 1.00 | 7 of 7 - Option sale to close-call ²⁵ |
| 07/14/22 | 12.000 | 35.96 | Various | 87.02 | ... | -51.06 | Total of 7 transactions |
| | 2 transactions for 07/14/22. Total proceeds and cost reported to the IRS. | | | | | | |
| | 1.000 | 2.00 | 07/12/22 | 5.00 | 3.00 W | 0.00 | 1 of 2 - Option sale to close-call ²⁵ |
| | 4.000 | 7.98 | 07/12/22 | 24.00 | 16.02 W | 0.00 | 2 of 2 - Option sale to close-call ²⁵ |
| 07/14/22 | 5.000 | 9.98 | Various | 29.00 | 19.02 W | 0.00 | Total of 2 transactions |
| | Security total: | 45.94 | | 116.02 | 19.02 W | -51.06 | |
| WMT 08/19/2022 PUT \$122.00 / CUSIP: / Symbol: | | | | | | | |
| | 2 transactions for 08/15/22. Total proceeds and cost reported to the IRS. | | | | | | |
| | 1.000 | 38.99 | 08/15/22 | 36.00 | ... | 2.99 | 1 of 2 - Option sale to close-put ²⁵ |
| | 2.000 | 77.99 | 08/15/22 | 72.00 | ... | 5.99 | 2 of 2 - Option sale to close-put ²⁵ |
| 08/15/22 | 3.000 | 116.98 | Various | 108.00 | ... | 8.98 | Total of 2 transactions |

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 974228124

Proceeds from Broker and Barter Exchange Transactions

2022 1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|--|------------------------|---|-------------------|-------------------------|--|---|---|
| WMT 08/19/2022 PUT \$122.00 / CUSIP: / Symbol: (cont'd) | | | | | | | |
| 2 transactions for 08/19/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 0.00 | 08/15/22 | 36.00 | ... | -36.00 | 1 of 2 - Option expiration- long put |
| | 1.000 | 0.00 | 08/15/22 | 36.00 | ... | -36.00 | 2 of 2 - Option expiration- long put |
| 08/19/22 | 2.000 | 0.00 | Various | 72.00 | ... | -72.00 | Total of 2 transactions |
| | Security total: | 116.98 | | 180.00 | ... | -63.02 | |
| WMT 08/19/2022 PUT \$135.00 / CUSIP: / Symbol: | | | | | | | |
| 08/17/22 | 6.000 | 47.98 | 08/16/22 | 102.00 | ... | -54.02 | Option sale to close-put ²⁵ |
| WMT 08/26/2022 CALL \$137.00 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 08/25/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 2.000 | 41.99 | 08/24/22 | 30.00 | ... | 11.99 | 1 of 2 - Option sale to close-call ²⁵ |
| | 3.000 | 62.99 | 08/24/22 | 45.00 | ... | 17.99 | 2 of 2 - Option sale to close-call ²⁵ |
| 08/25/22 | 5.000 | 104.98 | Various | 75.00 | ... | 29.98 | Total of 2 transactions |
| WMT 09/09/2022 CALL \$140.00 / CUSIP: / Symbol: | | | | | | | |
| 09/09/22 | 18.000 | 0.00 | 09/08/22 | 90.00 | ... | -90.00 | Option expiration- long call |
| WMT 09/16/2022 CALL \$137.00 / CUSIP: / Symbol: | | | | | | | |
| 10 transactions for 09/16/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 2.000 | 1.99 | 09/15/22 | 66.01 | ... | -64.02 | 1 of 10 - Option sale to close-call ²⁵ |
| | 2.000 | 1.99 | 09/15/22 | 38.01 | ... | -36.02 | 2 of 10 - Option sale to close-call ²⁵ |
| | 1.000 | 0.99 | 09/15/22 | 33.01 | ... | -32.02 | 3 of 10 - Option sale to close-call ²⁵ |
| | 1.000 | 1.00 | 09/15/22 | 33.01 | ... | -32.01 | 4 of 10 - Option sale to close-call ²⁵ |
| | 1.000 | 1.00 | 09/15/22 | 33.00 | ... | -32.00 | 5 of 10 - Option sale to close-call ²⁵ |
| | 1.000 | 1.00 | 09/15/22 | 33.00 | ... | -32.00 | 6 of 10 - Option sale to close-call ²⁵ |
| | 1.000 | 0.99 | 09/15/22 | 9.00 | ... | -8.01 | 7 of 10 - Option sale to close-call ²⁵ |

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Robinhood Markets Inc. as agent for
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Account 974228124

Proceeds from Broker and Barter Exchange Transactions

2022 1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | 7- Loss not allowed (X) also not reported (Z) | Gain or loss(-) & Additional information |
|--|---|---|-------------------|-------------------------|--|---|--|
| WMT 09/16/2022 CALL \$137.00 / CUSIP: / Symbol: (cont'd) | | | | | | | |
| | 1.000 | 1.00 | 09/15/22 | 9.00 | ... | -8.00 | 8 of 10 - Option sale to close-call ²⁵ |
| | 1.000 | 1.00 | 09/15/22 | 9.00 | ... | -8.00 | 9 of 10 - Option sale to close-call ²⁵ |
| | 1.000 | 1.00 | 09/15/22 | 9.00 | ... | -8.00 | 10 of 10 - Option sale to close-call ²⁵ |
| 09/16/22 | 12.000 | 11.96 | Various | 272.04 | ... | -260.08 | Total of 10 transactions |
| | 9 transactions for 09/16/22. Total proceeds and cost reported to the IRS. | | | | | | |
| | 7.000 | 6.98 | 09/15/22 | 35.00 | 12.00 W | -16.02 | 1 of 9 - Option sale to close-call ²⁵ |
| | 3.000 | 2.99 | 09/15/22 | 45.00 | 42.01 W | 0.00 | 2 of 9 - Option sale to close-call ²⁵ |
| | 3.000 | 2.99 | 09/15/22 | 45.00 | 42.01 W | 0.00 | 3 of 9 - Option sale to close-call ²⁵ |
| | 1.000 | 1.00 | 09/15/22 | 29.00 | 28.00 W | 0.00 | 4 of 9 - Option sale to close-call ²⁵ |
| | 1.000 | 1.00 | 09/15/22 | 29.01 | 28.01 W | 0.00 | 5 of 9 - Option sale to close-call ²⁵ |
| | 1.000 | 1.00 | 09/15/22 | 29.00 | 28.00 W | 0.00 | 6 of 9 - Option sale to close-call ²⁵ |
| | 3.000 | 2.99 | 09/15/22 | 87.01 | 84.02 W | 0.00 | 7 of 9 - Option sale to close-call ²⁵ |
| | 2.000 | 1.99 | 09/15/22 | 30.00 | 28.01 W | 0.00 | 8 of 9 - Option sale to close-call ²⁵ |
| | 1.000 | 1.00 | 09/15/22 | 5.00 | 4.00 W | 0.00 | 9 of 9 - Option sale to close-call ²⁵ |
| 09/16/22 | 22.000 | 21.94 | Various | 334.02 | 296.06 W | -16.02 | Total of 9 transactions |
| | Security total: | 33.90 | | 606.06 | 296.06 W | -276.10 | |
| WMT 09/23/2022 CALL \$137.00 / CUSIP: / Symbol: | | | | | | | |
| | 2 transactions for 09/23/22. Total proceeds and cost reported to the IRS. | | | | | | |
| | 4.000 | 0.00 | 09/22/22 | 40.00 | ... | -40.00 | 1 of 2 - Option expiration- long call |
| | 1.000 | 0.00 | 09/22/22 | 10.00 | ... | -10.00 | 2 of 2 - Option expiration- long call |
| 09/23/22 | 5.000 | 0.00 | Various | 50.00 | ... | -50.00 | Total of 2 transactions |
| WMT 09/23/2022 CALL \$138.00 / CUSIP: / Symbol: | | | | | | | |
| | 2 transactions for 09/19/22. Total proceeds and cost reported to the IRS. | | | | | | |
| | 2.000 | 47.99 | 09/19/22 | 32.00 | ... | 15.99 | 1 of 2 - Option sale to close-call ²⁵ |
| | 8.000 | 191.98 | 09/19/22 | 136.00 | ... | 55.98 | 2 of 2 - Option sale to close-call ²⁵ |
| 09/19/22 | 10.000 | 239.97 | Various | 168.00 | ... | 71.97 | Total of 2 transactions |

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Robinhood Securities LLC

Account 974228124

Proceeds from Broker and Barter Exchange Transactions

2022 1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | 7- Loss not allowed (X) also not reported (Z) | Gain or loss(-) & Additional information |
|---|----------|---|-------------------|-------------------------|--|---|--|
| WMT 10/07/2022 CALL \$132.00 / CUSIP: / Symbol: | | | | | | | |
| 3 transactions for 10/07/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 23.000 | 0.00 | 10/07/22 | 161.00 | ... | -161.00 | 1 of 3 - Option expiration- long call |
| | 1.000 | 0.98 | 10/07/22 | 7.00 | ... | -6.02 | 2 of 3 - Option sale to close-call ²⁵ |
| | 1.000 | 2.98 | 10/07/22 | 7.00 | ... | -4.02 | 3 of 3 - Option sale to close-call ²⁵ |
| 10/07/22 | 25.000 | 3.96 | Various | 175.00 | ... | -171.04 | Total of 3 transactions |
| X 06/03/2022 CALL \$29.00 / CUSIP: / Symbol: | | | | | | | |
| 06/03/22 | 30.000 | 0.00 | 05/31/22 | 150.00 | ... | -150.00 | Option expiration- long call |
| X 06/10/2022 CALL \$25.50 / CUSIP: / Symbol: | | | | | | | |
| 06/09/22 | 10.000 | 29.97 | 06/08/22 | 110.00 | ... | -80.03 | Option sale to close-call ²⁵ |
| X 06/17/2022 CALL \$26.00 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 06/13/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 11.000 | 32.97 | 06/10/22 | 99.00 | ... | -66.03 | 1 of 2 - Option sale to close-call ²⁵ |
| | 1.000 | 2.98 | 06/10/22 | 9.00 | ... | -6.02 | 2 of 2 - Option sale to close-call ²⁵ |
| 06/13/22 | 12.000 | 35.95 | Various | 108.00 | ... | -72.05 | Total of 2 transactions |
| X 07/15/2022 CALL \$18.00 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 07/15/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 15.000 | 29.96 | 07/14/22 | 75.00 | ... | -45.04 | 1 of 2 - Option sale to close-call ²⁵ |
| | 5.000 | 14.98 | 07/14/22 | 25.00 | ... | -10.02 | 2 of 2 - Option sale to close-call ²⁵ |
| 07/15/22 | 20.000 | 44.94 | Various | 100.00 | ... | -55.06 | Total of 2 transactions |
| X 07/29/2022 CALL \$24.00 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 07/28/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 19.000 | 75.95 | 07/25/22 | 95.00 | ... | -19.05 | 1 of 2 - Option sale to close-call ²⁵ |
| | 1.000 | 3.98 | 07/25/22 | 5.00 | ... | -1.02 | 2 of 2 - Option sale to close-call ²⁵ |
| 07/28/22 | 20.000 | 79.93 | Various | 100.00 | ... | -20.07 | Total of 2 transactions |
| X 09/23/2022 CALL \$22.50 / CUSIP: / Symbol: | | | | | | | |
| 5 transactions for 09/22/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 7.000 | 6.98 | 09/21/22 | 63.02 | ... | -56.04 | 1 of 5 - Option sale to close-call ²⁵ |
| | 4.000 | 3.99 | 09/21/22 | 36.01 | ... | -32.02 | 2 of 5 - Option sale to close-call ²⁵ |

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 974228124

Proceeds from Broker and Barter Exchange Transactions

2022 1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | 7- Loss not allowed (X) also not reported (Z) | Gain or loss(-) & Additional information |
|---|------------------------|---|-------------------|-------------------------|--|---|--|
| X 09/23/2022 CALL \$22.50 / CUSIP: / Symbol: (cont'd) | | | | | | | |
| | 3.000 | 2.99 | 09/21/22 | 27.01 | ... | -24.02 | 3 of 5 - Option sale to close-call ²⁵ |
| | 4.000 | 3.99 | 09/21/22 | 20.00 | ... | -16.01 | 4 of 5 - Option sale to close-call ²⁵ |
| | 2.000 | 1.99 | 09/21/22 | 18.00 | ... | -16.01 | 5 of 5 - Option sale to close-call ²⁵ |
| 09/22/22 | 20.000 | 19.94 | Various | 164.04 | ... | -144.10 | Total of 5 transactions |
| 09/22/22 | 20.000 | 19.95 | 09/21/22 | 100.00 | 64.04 W | -16.01 | Option sale to close-call ²⁵ |
| | Security total: | 39.89 | | 264.04 | 64.04 W | -160.11 | |
| X 10/07/2022 CALL \$21.00 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 10/03/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 9.000 | 62.97 | 09/29/22 | 63.00 | ... | -0.03 | 1 of 2 - Option sale to close-call ²⁵ |
| | 1.000 | 6.98 | 09/29/22 | 7.00 | ... | -0.02 | 2 of 2 - Option sale to close-call ²⁵ |
| 10/03/22 | 10.000 | 69.95 | Various | 70.00 | ... | -0.05 | Total of 2 transactions |
| 10/04/22 | 20.000 | 199.95 | 09/29/22 | 140.00 | ... | 59.95 | Option sale to close-call ²⁵ |
| | Security total: | 269.90 | | 210.00 | ... | 59.90 | |
| X 10/14/2022 CALL \$22.50 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 10/14/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 9.000 | 0.00 | 10/07/22 | 36.00 | ... | -36.00 | 1 of 2 - Option expiration- long call |
| | 4.000 | 0.00 | 10/07/22 | 16.00 | ... | -16.00 | 2 of 2 - Option expiration- long call |
| 10/14/22 | 13.000 | 0.00 | Various | 52.00 | ... | -52.00 | Total of 2 transactions |
| X 10/21/2022 CALL \$21.00 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 10/20/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 5.000 | 64.98 | 10/19/22 | 35.00 | ... | 29.98 | 1 of 2 - Option sale to close-call ²⁵ |
| | 10.000 | 199.97 | 10/19/22 | 70.00 | ... | 129.97 | 2 of 2 - Option sale to close-call ²⁵ |
| 10/20/22 | 15.000 | 264.95 | Various | 105.00 | ... | 159.95 | Total of 2 transactions |
| XOM 12/09/2022 CALL \$119.00 / CUSIP: / Symbol: | | | | | | | |
| 5 transactions for 12/09/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 14.000 | 0.00 | 12/05/22 | 42.00 | ... | -42.00 | 1 of 5 - Option expiration- long call |
| | 8.000 | 0.00 | 12/05/22 | 24.00 | ... | -24.00 | 2 of 5 - Option expiration- long call |
| | 6.000 | 0.00 | 12/05/22 | 18.00 | ... | -18.00 | 3 of 5 - Option expiration- long call |
| | 3.000 | 0.00 | 12/05/22 | 9.00 | ... | -9.00 | 4 of 5 - Option expiration- long call |

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 974228124

Proceeds from Broker and Barter Exchange Transactions

2022 1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|--|-----------------|--|------------------------------|------------------------------------|---|--|---------------------------------------|
| XOM 12/09/2022 CALL \$119.00 / CUSIP: / Symbol: (cont'd) | | | | | | | |
| | 2.000 | 0.00 | 12/05/22 | 6.00 | ... | -6.00 | 5 of 5 - Option expiration- long call |
| 12/09/22 | 33.000 | 0.00 | Various | 99.00 | ... | -99.00 | Total of 5 transactions |
| ZM 05/20/2022 CALL \$102.00 / CUSIP: / Symbol: | | | | | | | |
| 05/20/22 | 20.000 | 0.00 | 05/18/22 | 100.00 | ... | -100.00 | Option expiration- long call |
| AMTD IDEA GROUP / CUSIP: 00180G106 / Symbol: | | | | | | | |
| 08/03/22 | 100.000 | 774.97 | 08/03/22 | 838.00 | ... | -63.03 | Sale ²⁵ |
| Totals : | | 34,219.15 | | 52,524.07 | | 4,217.07 W | -14,087.85 |

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC
2022

Non-Reportable Actions

Account 974228124

02/13/2023

| Security Description | CUSIP and/or symbol | Date | Quantity | Amount | Transaction type | Notes |
|-------------------------------|-------------------------|----------|----------|--------|------------------|-------|
| SHOP 07/01/2022 CALL \$43.00 | SHOP 07/01/22 C 43.000 | 06/29/22 | 20.00 | 0.00 | Merger | |
| SHOP 07/01/2022 CALL \$430.00 | SHOP 07/01/22 C 430.000 | 06/29/22 | -2.00 | 0.00 | Merger | |

25 Tax lot closed on a first in first out basis.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Instructions for Recipient

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.