

APEX CLEARING
ONE DALLAS CENTER
350 NORTH ST PAUL SUITE 1300
DALLAS, TX 75201

JAYAKKUMAR THIRUNAVUKKARASU
4400 COLLEGE PARK DRIVE
CONROE, TX 77384-4566

IN ACCOUNT WITH
WEBULL FINANCIAL LLC
917-725-2448

IMPORTANT YEAR END MESSAGES

This document includes your Consolidated Form 1099 that we are required to provide to you and contains information that we are providing to the Internal Revenue Service and additional information that may be helpful to you for filing your tax return. You may wish to seek the advice of a tax professional when preparing your return.

Please verify your personal information for accuracy and contact us to correct any discrepancies. If you own Mutual Funds, Real Estate Trusts (REITs), REMIC Securities or certain fixed investment trusts (WHFITs), income distributions may be reclassified by the issuer after the original 1099 is sent. If you hold these securities or another security that is subject to a reclassification event, you may receive a corrected Form 1099.

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APEX CLEARING ONE DALLAS CENTER 350 NORTH ST PAUL SUITE 1300 DALLAS, TX 75201 Customer Service: 214-765-1009 PAYER'S Federal ID No: 13-2967453 IN ACCOUNT WITH WEBULL FINANCIAL LLC (917) 725-2448	Account 5MQ16480	Form 1099 Composite	2021
	JAYAKKUMAR THIRUNAVUKKARASU 4400 COLLEGE PARK DRIVE CONROE, TX 77384-4566 RECIPIENT'S ID No: XXX-XX-1278	Statement Date: 01/31/2022	

FATCA filing requirement []

Tax Reporting Statement

DIVIDENDS AND DISTRIBUTIONS	2021 Form 1099-DIV*	OMB No. 1545-0110
1a- Total Ordinary Dividends (includes amount shown on 1b, 2e)	19.50	
1b- Qualified Dividends	19.50	
2a- Total Capital Gain Distributions (includes lines 2b, 2c, 2d, 2f)	0.00	
2b- Unrecaptured Section 1250 gain	0.00	
2c- Section 1202 Gain	0.00	
2d- Collectibles (28%) Gain	0.00	
2e- Section 897 Ordinary Dividends	0.00	
2f- Section 897 Capital Gain	0.00	
3- Nondividend Distributions	0.00	
4- Federal Income Tax Withheld	0.00	
5- Section 199A Dividends	0.00	
6- Investment Expenses	0.00	
7- Foreign tax Paid	0.00	
8- Foreign country or US Possession		
9- Cash Liquidation Distributions	0.00	
10- Noncash Liquidation Distribution	0.00	
11- Exempt-Interest Dividends	0.00	
12- Specified Private Activity Bond Interest Dividends	0.00	

MISCELLANEOUS INFORMATION	2021 Form 1099-MISC*	OMB No. 1545-0115
2- Royalties	0.00	
3- Other Income	0.00	
4- Federal Income Tax Withheld	0.00	
8- Substitute Payments in Lieu of Dividends or Interest	0.00	

INTEREST INCOME	2021 Form 1099-INT*	OMB No. 1545-0112
1- Interest Income		0.00
2- Early Withdrawal Penalty		0.00
3- Interest on U.S. Savings Bonds & Treasury Obligations		0.00
4- Federal Income Tax Withheld		0.00
5- Investment Expenses		0.00
6- Foreign Tax Paid		0.00
7- Foreign Country or U.S. Possession		
8- Tax-Exempt Interest (includes box 9)		0.00
9- Specified Private Activity Bond Interest		0.00
10- Market Discount		0.00
11- Bond Premium		0.00
12- Bond Premium on Treasury Obligations		0.00
13- Bond Premium on Tax-Exempt Bonds		0.00
14- Tax-Exempt and Tax Credit Bond CUSIP no.		

REGULATED FUTURES CONTRACTS & SECTION 1256 OPTIONS	2021 Form 1099-B*	OMB No. 1545-0715
8- Profit or (loss) realized in 2021 on closed contracts		0.00
9- Unrealized profit or (loss) on open contracts - 12/31/2020		0.00
10- Unrealized profit or (loss) on open contracts - 12/31/2021		0.00
11- Aggregate profit or (loss) on contracts		0.00
<i>As applicable, proceeds from other sale transactions are summarized below and details are provided in subsequent sections of this document. More details on futures and section 1256 options transactions are also provided in other sections of this document.</i>		

APEX CLEARING

Tax Summary

2021

5MQ16480

01/31/2022

Summary Of Sale Proceeds

Proceeds from sales of securities are reported individually by trade to the Internal Revenue Service. Please refer to the Proceeds from Broker and Barter Exchange Transactions details sections to determine correct amounts to include in your tax return. The summary transaction amounts shown below are for informational purposes.

Section	Total Proceeds	Total Cost Basis	Total Market Discount	Wash Sale Loss Disallowed	Total Net Gain or Loss(-)
Short-term transactions for covered tax lots	1,089,742.74	1,231,063.14	0.00	120,690.10	-20,630.30
Short-term transactions for noncovered tax lots	0.00	0.00	0.00	0.00	0.00
Total Short-term	1,089,742.74	1,231,063.14	0.00	120,690.10	-20,630.30
Long-term transactions for covered tax lots	0.00	0.00	0.00	0.00	0.00
Long-term transactions for noncovered tax lots	0.00	0.00	0.00	0.00	0.00
Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined transactions for noncovered tax lots	0.00	0.00	0.00	0.00	0.00
Total Undetermined-term	0.00	0.00	0.00	0.00	0.00

ORIGINAL ISSUE DISCOUNT SUMMARY*

Original Issue Discount (Non-US Treasury Obligations)	0.00
Original Issue Discount on U.S. Treasury Obligations	0.00
Other Periodic Interest	0.00
Market Discount – Covered Positions	0.00
Acquisition Premium – Covered Positions	0.00
Bond Premium – Covered Positions	0.00
Tax-Exempt OID – Covered Positions	0.00
Tax-Exempt OID – Specified Private Activity Bonds – Covered Positions	0.00
Investment Expenses	0.00

**Amounts shown in this section are summary totals for your reference. For bond-by-bond detail, use the OID section of this document.*

FEDERAL TAX WITHHELD

Form	Federal Income Tax Withheld
1099-B Total (aggregate):	0.00
1099-DIV Total:	0.00
1099-INT Total:	0.00
1099-MISC Total:	0.00
1099-OID Total:	0.00

APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MQ16480

01/31/2022

OMB No. 1545-0715

The following information is being provided to assist in your review and the preparation of your tax return.

This Form 1099-B – Proceeds from Broker and Barter Exchange Transactions provides information relating to sales of securities in your account. The sales details are organized into potentially five separate sections depending on your holding period in the security for the sale (short-term vs long-term) and whether the security is considered a “covered security” for tax reporting purpose. For covered securities, cost basis (adjusted as required under tax regulations) is being reported to the Internal Revenue Service (IRS), whereas for noncovered securities, cost basis if set forth below is provided only for your information.

A separate category is provided for sales of securities for which we do not know your holding period. For such tax lots, you will need to use your historical documents to determine your holding period (whether short-term or long-term) and your tax basis.

Unless noted otherwise under the column “Additional Notes”, cost basis and corresponding gain or loss is determined by treating tax lots acquired first as being sold first. We have also assumed that you have made an election to amortize premium on the purchase of taxable bonds.

As brokers, we are only required to apply wash sales loss disallowance rules to securities with the same CUSIP or identifier and purchased and sold within the same account. We are also not required to apply wash sales rules across covered and noncovered securities. You as the taxpayer, however, generally have broader obligations under the wash sales rules to determine disallowed losses, and you may wish to consult with a tax adviser as to the application of such rules to you.

Sales of certain contingent payment debt instruments give rise to ordinary income rather than short-term or long-term capital gain or loss. Such ordinary income treatment is indicated in the Additional Notes column as “Box 2 – Ordinary.” In addition, certain assets classified as collectibles are subject to special tax treatment. Any such classification is noted in the Additional Notes column as “Box 3 – Collectibles Box Checked.” Loss disallowed for certain transactions involving change in control or capital structure is noted in the Additional Notes column as “Box 7 – Loss Disallowed Box Checked.” Disposition of a QOF investment is noted in the Additional Notes column as “Box 3 – QOF.”

Information in the transaction detail sections below that have a Box number is being transmitted to the IRS, whereas additional information that is not being transmitted to the IRS is not headed by a Box number. Such additional information may be helpful to you in the preparation of your tax return. Remember that taxpayers are ultimately responsible for the accuracy of their tax returns.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.

Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
PUT AMZN 03/26/21 3045 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210326P03045000							
03/25/2021	1.00	1,549.90	03/25/2021	1,550.08	0.00	-0.18	Sell to Close
CALL SBUX 03/19/21 113 STARBUCKS CORPORATION CUSIP: Symbol(Box 1a): SBUX210319C00113000							
03/16/2021	1.00	77.90	03/16/2021	92.08	0.00	-14.18	Sell to Close
CALL AMZN 03/12/21 3300 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210312C03300000							
02/19/2021	1.00	8,299.72	02/19/2021	8,300.08	0.00	-0.36	Sell to Close

APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

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(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****Description of property | CUSIP | Symbol(Box 1a)**

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL AMZN 02/05/21 3350 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210205C03350000							
02/01/2021	1.00	8,299.72	01/28/2021	9,200.42	0.00	-900.70	Sell to Close
02/01/2021	1.00	7,499.74	01/26/2021	10,200.08	2700.34 D	0.00	Sell to Close
Security Totals:		15,799.46		19,400.50		-900.70	
CALL TWTR 05/21/21 65 TWITTER INC CUSIP: Symbol(Box 1a): TWTR210521C00065000							
05/03/2021	1.00	20.91	05/03/2021	23.08	0.00	-2.17	Sell to Close
05/03/2021	2.00	41.82	05/03/2021	46.15	0.00	-4.33	Sell to Close
Security Totals:		62.73		69.23		-6.50	
CALL PINS 01/15/21 80 PINTEREST INC CLASS A CUSIP: Symbol(Box 1a): PINS210115C00080000							
01/13/2021	1.00	44.90	01/13/2021	49.08	0.00	-4.18	Sell to Close
01/13/2021	1.00	57.90	01/13/2021	49.08	0.00	8.82	Sell to Close
Security Totals:		102.80		98.16		4.64	
CALL PRTS 02/19/21 15 CARPARTS.COM INC CUSIP: Symbol(Box 1a): PRTS210219C00015000							
01/25/2021	1.00	164.90	01/25/2021	164.08	0.00	0.82	Sell to Close
CALL UVXY 01/08/21 10.50 PROSHARES ULTRA VIX SHORT TERM CUSIP: Symbol(Box 1a): UVXY210108C00010500							
01/08/2021	5.00	59.58	01/08/2021	80.40	0.00	-20.82	Sell to Close
PUT FUTU 01/15/21 50 FUTU HOLDINGS LTD ADS CUSIP: Symbol(Box 1a): FUTU210115P00050000							
01/06/2021	1.00	106.90	01/06/2021	110.08	0.00	-3.18	Sell to Close
PUT AMZN 03/19/21 3085 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210319P03085000							
03/17/2021	1.00	899.90	03/17/2021	3,235.08	2335.18 D	0.00	Sell to Close
03/17/2021	1.00	949.90	03/17/2021	3,435.26	2485.36 D	0.00	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

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(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****Description of property | CUSIP | Symbol(Box 1a)**

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
PUT AMZN 03/19/21 3085 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210319P03085000							
03/17/2021	1.00	949.90	03/17/2021	3,185.44	2235.54 D	0.00	Sell to Close
03/18/2021	1.00	2,599.89	03/18/2021	4,635.62	0.00	-2,035.73	Sell to Close
Security Totals:		5,399.59		14,491.40		-2,035.73	
CALL AMZN 03/12/21 3280 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210312C03280000							
02/22/2021	1.00	4,924.80	02/19/2021	8,300.08	3375.28 D	0.00	Sell to Close
02/23/2021	1.00	4,199.81	02/22/2021	8,962.61	4762.8 D	0.00	Sell to Close
02/23/2021	1.00	3,239.83	02/22/2021	7,852.36	4612.53 D	0.00	Sell to Close
03/02/2021	1.00	1,199.90	02/23/2021	7,762.88	0.00	-6,562.98	Sell to Close
Security Totals:		13,564.34		32,877.93		-6,562.98	
CALL GOOGL 11/05/21 2900 ALPHABET INC CLASS A CUSIP: Symbol(Box 1a): GOOGL211105C02900000							
10/26/2021	1.00	2,349.93	10/22/2021	1,950.04	0.00	399.89	Sell to Close
CALL DG 04/01/21 200 DOLLAR GENERAL CORPORATION CUSIP: Symbol(Box 1a): DG210401C00200000							
03/23/2021	1.00	181.90	03/23/2021	155.08	0.00	26.82	Sell to Close
CALL AMZN 04/30/21 3550 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210430C03550000							
04/27/2021	1.00	4,099.89	04/27/2021	4,000.07	0.00	99.82	Sell to Close
CALL AMZN 04/16/21 3500 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210416C03500000							
04/09/2021	1.00	799.91	04/09/2021	700.07	0.00	99.84	Sell to Close
RIOT BLOCKCHAIN INC COMMON STOCK CUSIP: 767292105 Symbol(Box 1a): RIOT							
03/24/2021	1.00	54.23	03/24/2021	53.60	0.00	0.63	Sale
03/24/2021	24.00	1,281.58	Various	1,284.00	0.1 D	-2.32	Sale
Security Totals:		1,335.81		1,337.60		-1.69	

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

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(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****Description of property | CUSIP | Symbol(Box 1a)**

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
PUT AMZN 04/30/21 3500 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210430P03500000							
04/30/2021	1.00	949.91	04/30/2021	1,300.23	350.32 D	0.00	Sell to Close
04/30/2021	1.00	1,399.91	04/30/2021	1,300.07	0.00	99.84	Sell to Close
04/30/2021	1.00	999.91	04/30/2021	1,050.39	50.48 D	0.00	Sell to Close
04/30/2021	1.00	999.91	04/30/2021	900.71	0.00	99.20	Sell to Close
04/30/2021	1.00	949.91	04/30/2021	1,050.55	100.64 D	0.00	Sell to Close
04/30/2021	1.00	1,299.91	04/30/2021	1,300.07	0.16 D	0.00	Sell to Close
Security Totals:		6,599.46		6,902.02		199.04	
CALL PLTR 01/22/21 26 PALANTIR TECHNOLOGIES INC CL A CUSIP: Symbol(Box 1a): PLTR210122C00026000							
01/07/2021	1.00	109.90	01/07/2021	107.08	0.00	2.82	Sell to Close
CALL AMZN 04/30/21 3500 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210430C03500000							
04/27/2021	1.00	5,299.89	04/27/2021	5,100.07	0.00	199.82	Sell to Close
04/27/2021	1.00	5,099.89	04/27/2021	5,000.07	0.00	99.82	Sell to Close
04/28/2021	1.00	5,460.89	04/28/2021	5,400.07	0.00	60.82	Sell to Close
04/29/2021	1.00	7,504.88	04/28/2021	5,500.07	0.00	2,004.81	Sell to Close
Security Totals:		23,365.55		21,000.28		2,365.27	
PUT AMZN 02/26/21 3295 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210226P03295000							
02/17/2021	1.00	5,549.78	02/17/2021	5,000.08	0.00	549.70	Sell to Close
CALL AMD 01/29/21 90 ADVANCED MICRO DEVICES INC CUSIP: Symbol(Box 1a): AMD210129C00090000							
01/21/2021	1.00	390.90	01/20/2021	355.08	0.00	35.82	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

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(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****Description of property | CUSIP | Symbol(Box 1a)**

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL NFLX 04/16/21	575	NETFLIX INC CUSIP: Symbol(Box 1a): NFLX210416C00575000					
04/09/2021	1.00	219.91	04/09/2021	200.07	0.00	19.84	Sell to Close
PUT AMZN 05/07/21	3315	AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210507P03315000					
05/07/2021	1.00	899.91	05/07/2021	936.07	0.00	-36.16	Sell to Close
CALL NUGT 01/22/21	66	DIREXION DLY GLD MNRS INDX BUL CUSIP: Symbol(Box 1a): NUGT210122C00066000					
01/22/2021	1.00	55.90	01/22/2021	55.08	0.00	0.82	Sell to Close
CALL NOW 01/29/21	560	SERVICENOW INC CUSIP: Symbol(Box 1a): NOW210129C00560000					
01/07/2021	1.00	589.89	01/07/2021	600.08	0.00	-10.19	Sell to Close
PUT AMZN 01/29/21	3190	AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210129P03190000					
01/28/2021	1.00	367.90	01/28/2021	290.08	0.00	77.82	Sell to Close
CALL AMZN 05/07/21	3305	AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210507C03305000					
05/06/2021	1.00	1,999.90	05/06/2021	2,000.07	0.00	-0.17	Sell to Close
PUT AMZN 04/01/21	3090	AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210401P03090000					
03/31/2021	1.00	1,011.90	03/31/2021	1,200.08	0.00	-188.18	Sell to Close
PUT AMZN 05/07/21	3285	AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210507P03285000					
05/04/2021	1.00	3,649.90	05/04/2021	3,500.07	0.00	149.83	Sell to Close
05/04/2021	1.00	3,512.90	05/04/2021	3,800.24	0.00	-287.34	Sell to Close
05/04/2021	1.00	3,099.90	05/04/2021	3,400.07	300.17 D	0.00	Sell to Close
Security Totals:		10,262.70		10,700.38		-137.51	
PUT AMD 04/30/21	85	ADVANCED MICRO DEVICES INC CUSIP: Symbol(Box 1a): AMD210430P00085000					
04/28/2021	1.00	49.91	04/27/2021	240.07	0.00	-190.16	Sell to Close

APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

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(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****Description of property | CUSIP | Symbol(Box 1a)**

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL AMZN 02/05/21 3355							
AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210205C03355000							
01/26/2021	1.00	10,599.67	01/26/2021	10,000.08	0.00	599.59	Sell to Close
PUT TSLA 01/29/21 715							
TESLA INC CUSIP: Symbol(Box 1a): TSLA210129P00715000							
01/27/2021	1.00	152.90	01/27/2021	145.08	0.00	7.82	Sell to Close
CALL TSLA 03/12/21 750							
TESLA INC CUSIP: Symbol(Box 1a): TSLA210312C00750000							
03/11/2021	1.00	179.90	03/11/2021	190.08	10.18 D	0.00	Sell to Close
03/12/2021	1.00	9.90	03/11/2021	198.26	0.00	-188.36	Sell to Close
Security Totals:		189.80		388.34		-188.36	
CALL NVDA 01/22/21 550							
NVIDIA CORP CUSIP: Symbol(Box 1a): NVDA210122C00550000							
01/21/2021	1.00	249.90	01/21/2021	210.08	0.00	39.82	Sell to Close
CALL AI 04/16/21 110							
C3 AI INC CLASS A CUSIP: Symbol(Box 1a): AI210416C00110000							
03/15/2021	1.00	415.90	03/15/2021	418.08	0.00	-2.18	Sell to Close
CALL CLOV 02/19/21 15							
CLOVER HEALTH INVESTMENTS CORP CUSIP: Symbol(Box 1a): CLOV210219C00015000							
01/26/2021	1.00	237.90	01/26/2021	230.08	0.00	7.82	Sell to Close
CALL DAL 04/09/21 50							
DELTA AIR LINES INC DEL CUSIP: Symbol(Box 1a): DAL210409C00050000							
03/30/2021	1.00	70.90	03/30/2021	66.08	0.00	4.82	Sell to Close
CALL SNAP 03/19/21 55							
SNAP INC CUSIP: Symbol(Box 1a): SNAP210319C00055000							
01/28/2021	1.00	584.89	01/27/2021	490.08	0.00	94.81	Sell to Close
PUT SPY 01/27/21 384							
STANDARD & POORS DEPOSITORY CUSIP: Symbol(Box 1a): SPY210127P00384000							
01/26/2021	1.00	147.90	01/26/2021	158.08	0.00	-10.18	Sell to Close
CALL AMZN 03/12/21 3275							
AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210312C03275000							
02/19/2021	1.00	8,399.72	02/19/2021	8,300.08	0.00	99.64	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MQ16480

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL VALE 01/29/21 21 VALE S A CUSIP: Symbol(Box 1a): VALE210129C00021000							
01/13/2021	3.00	41.74	01/13/2021	51.24	0.00	-9.50	Sell to Close
01/13/2021	2.00	21.82	01/13/2021	34.16	0.00	-12.34	Sell to Close
Security Totals:		63.56		85.40		-21.84	
CALL AMZN 04/30/21 3450 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210430C03450000							
04/26/2021	1.00	7,499.88	04/26/2021	5,500.07	0.00	1,999.81	Sell to Close
CALL AMZN 01/08/21 3200 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210108C03200000							
01/06/2021	1.00	1,649.87	01/06/2021	1,517.08	0.00	132.79	Sell to Close
01/07/2021	1.00	1,804.87	01/07/2021	1,700.08	0.00	104.79	Sell to Close
Security Totals:		3,454.74		3,217.16		237.58	
PUT AMZN 04/30/21 3400 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210430P03400000							
04/29/2021	1.00	3,999.89	04/29/2021	3,900.07	0.00	99.82	Sell to Close
04/30/2021	1.00	0.00	04/29/2021	3,650.07	0.00	-3,650.07	Expiration
Security Totals:		3,999.89		7,550.14		-3,550.25	
CALL CI 07/16/21 250 CIGNA CORPORATION CUSIP: Symbol(Box 1a): CI210716C00250000							
01/07/2021	1.00	739.89	01/04/2021	580.08	0.00	159.81	Sell to Close
CALL AMZN 04/30/21 3400 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210430C03400000							
04/26/2021	1.00	4,999.89	04/26/2021	5,000.07	0.00	-0.18	Sell to Close
CALL DAL 02/26/21 45 DELTA AIR LINES INC DEL CUSIP: Symbol(Box 1a): DAL210226C00045000							
02/18/2021	1.00	81.90	02/18/2021	75.08	0.00	6.82	Sell to Close
CALL WWR 02/19/21 12.50 WESTWATER RESOURCES INC CUSIP: Symbol(Box 1a): WWR210219C00012500							
01/27/2021	1.00	134.90	01/27/2021	180.08	0.00	-45.18	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MQ16480

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
PUT AMZN 04/09/21 3225 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210409P03225000							
04/07/2021	1.00	2,199.90	04/07/2021	2,000.07	0.00	199.83	Sell to Close
CALL DLTR 02/19/21 110 DOLLAR TREE INC CUSIP: Symbol(Box 1a): DLTR210219C00110000							
01/21/2021	1.00	309.90	01/21/2021	287.08	0.00	22.82	Sell to Close
PUT AMZN 04/09/21 3220 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210409P03220000							
04/06/2021	1.00	2,399.90	04/06/2021	2,300.07	0.00	99.83	Sell to Close
04/06/2021	1.00	1,899.91	04/06/2021	2,200.07	300.16 D	0.00	Sell to Close
04/06/2021	1.00	1,949.91	04/06/2021	2,000.23	50.32 D	0.00	Sell to Close
04/07/2021	1.00	499.91	04/07/2021	2,810.55	2310.64 D	0.00	Sell to Close
04/07/2021	1.00	539.91	04/07/2021	1,850.39	1310.48 D	0.00	Sell to Close
04/08/2021	1.00	129.91	04/07/2021	4,453.87	4323.96 D	0.00	Sell to Close
04/08/2021	1.00	106.91	04/07/2021	3,560.71	3453.8 D	0.00	Sell to Close
04/09/2021	1.00	4.91	04/07/2021	4,894.03	0.00	-4,889.12	Sell to Close
Security Totals:		7,531.27		24,069.92		-4,789.29	
CALL NVDA 01/15/21 550 NVIDIA CORP CUSIP: Symbol(Box 1a): NVDA210115C00550000							
01/06/2021	1.00	229.90	01/06/2021	250.08	0.00	-20.18	Sell to Close
CALL TSLA 02/05/21 900 TESLA INC CUSIP: Symbol(Box 1a): TSLA210205C00900000							
01/22/2021	1.00	2,659.85	01/22/2021	2,650.08	0.00	9.77	Sell to Close
CALL LYFT 05/07/21 54 LYFT INC CLASS A CUSIP: Symbol(Box 1a): LYFT210507C00054000							
05/04/2021	1.00	204.91	05/04/2021	220.07	0.00	-15.16	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MQ16480

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
PUT SPY 01/22/21 383 STANDARD & POORS DEPOSITORY CUSIP: Symbol(Box 1a): SPY210122P00383000							
01/21/2021	1.00	109.90	01/21/2021	93.08	0.00	16.82	Sell to Close
01/21/2021	1.00	50.90	01/21/2021	78.08	27.18 D	0.00	Sell to Close
01/22/2021	1.00	46.90	01/22/2021	73.26	0.00	-26.36	Sell to Close
Security Totals:		207.70		244.42		-9.54	
PUT LYFT 05/07/21 54 LYFT INC CLASS A CUSIP: Symbol(Box 1a): LYFT210507P00054000							
05/04/2021	1.00	199.91	05/04/2021	220.07	0.00	-20.16	Sell to Close
PUT TSLA 01/15/21 735 TESLA INC CUSIP: Symbol(Box 1a): TSLA210115P00735000							
01/08/2021	1.00	509.89	01/08/2021	500.08	0.00	9.81	Sell to Close
01/08/2021	1.00	399.90	01/08/2021	415.08	0.00	-15.18	Sell to Close
Security Totals:		909.79		915.16		-5.37	
PUT AMZN 04/01/21 3095 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210401P03095000							
03/31/2021	1.00	1,299.90	03/31/2021	1,200.08	0.00	99.82	Sell to Close
03/31/2021	1.00	1,299.90	03/31/2021	1,200.08	0.00	99.82	Sell to Close
03/31/2021	1.00	1,449.90	03/31/2021	1,300.08	0.00	149.82	Sell to Close
Security Totals:		4,049.70		3,700.24		349.46	
CALL ZM 01/29/21 415 ZOOM VIDEO COMMUNICATIONS INC CUSIP: Symbol(Box 1a): ZM210129C00415000							
01/13/2021	1.00	589.89	01/12/2021	550.08	0.00	39.81	Sell to Close
CALL RIOT 01/08/21 25 RIOT BLOCKCHAIN INC CUSIP: Symbol(Box 1a): RIOT210108C00025000							
01/07/2021	1.00	84.90	01/07/2021	70.08	0.00	14.82	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MQ16480

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
PUT PLTR 02/19/21 25 PALANTIR TECHNOLOGIES INC CL A CUSIP: Symbol(Box 1a): PLTR210219P00025000							
01/22/2021	1.00	145.90	01/22/2021	157.26	0.00	-11.36	Sell to Close
01/22/2021	1.00	127.90	01/22/2021	140.08	12.18 D	0.00	Sell to Close
Security Totals:		273.80		297.34		-11.36	
CALL PTON 04/30/21 110 PELOTON INTERACTIVE INC CL A CUSIP: Symbol(Box 1a): PTON210430C00110000							
04/22/2021	1.00	154.91	04/22/2021	168.07	0.00	-13.16	Sell to Close
PUT TSLA 04/16/21 700 TESLA INC CUSIP: Symbol(Box 1a): TSLA210416P00700000							
04/13/2021	1.00	274.91	04/13/2021	290.07	0.00	-15.16	Sell to Close
CALL FSLY 02/19/21 120 FASTLY INC CLASS A CUSIP: Symbol(Box 1a): FSLY210219C00120000							
01/27/2021	1.00	799.89	01/26/2021	790.08	0.00	9.81	Sell to Close
CALL AMZN 04/01/21 3115 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210401C03115000							
03/26/2021	1.00	1,699.90	03/25/2021	2,000.08	300.18 D	0.00	Sell to Close
03/26/2021	1.00	1,199.90	03/26/2021	1,850.26	650.36 D	0.00	Sell to Close
03/26/2021	1.00	1,249.90	03/26/2021	2,150.44	900.54 D	0.00	Sell to Close
03/29/2021	1.00	1,399.90	03/26/2021	2,000.62	0.00	-600.72	Sell to Close
Security Totals:		5,549.60		8,001.40		-600.72	
CALL SBUX 03/19/21 111 STARBUCKS CORPORATION CUSIP: Symbol(Box 1a): SBUX210319C00111000							
03/16/2021	1.00	164.90	03/16/2021	93.08	0.00	71.82	Sell to Close
CALL JMIA 05/07/21 31 JUMIA TECHNOLOGIES AG CUSIP: Symbol(Box 1a): JMIA210507C00031000							
05/03/2021	1.00	184.91	05/03/2021	130.07	0.00	54.84	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MQ16480

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL BIDU 04/16/21	225	BAIDU INC CUSIP: Symbol(Box 1a): BIDU210416C00225000					
04/12/2021	1.00	264.91	04/09/2021	290.07	0.00	-25.16	Sell to Close
PUT TSLA 04/16/21	600	TESLA INC CUSIP: Symbol(Box 1a): TSLA210416P00600000					
04/06/2021	1.00	329.91	04/06/2021	335.07	0.00	-5.16	Sell to Close
PUT SBUX 03/19/21	111	STARBUCKS CORPORATION CUSIP: Symbol(Box 1a): SBUX210319P00111000					
03/16/2021	1.00	149.90	03/16/2021	160.08	0.00	-10.18	Sell to Close
CALL BIDU 03/12/21	275	BAIDU INC CUSIP: Symbol(Box 1a): BIDU210312C00275000					
03/11/2021	1.00	221.90	03/11/2021	239.08	0.00	-17.18	Sell to Close
CALL EBON 03/19/21	12.50	EBANG INTERNATIONAL HLDNGS INC CUSIP: Symbol(Box 1a): EBON210319C00012500					
02/17/2021	1.00	324.90	02/17/2021	330.08	0.00	-5.18	Sell to Close
CALL WKHS 02/12/21	25	WORKHORSE GROUP INC CUSIP: Symbol(Box 1a): WKHS210212C00025000					
01/25/2021	1.00	279.90	01/14/2021	295.08	0.00	-15.18	Sell to Close
CALL ON 02/05/21	37	ON SEMICONDUCTOR CORP CUSIP: Symbol(Box 1a): ON210205C00037000					
02/01/2021	1.00	79.90	02/01/2021	96.08	0.00	-16.18	Sell to Close
CALL VXX 01/15/21	17	IPATH SER B S&P 500 VIX SHORT CUSIP: Symbol(Box 1a): VXX210115C00017000					
01/11/2021	1.00	73.90	01/11/2021	74.08	0.00	-0.18	Sell to Close
01/11/2021	1.00	73.90	01/11/2021	74.08	0.00	-0.18	Sell to Close
Security Totals:		147.80		148.16		-0.36	
CALL FUBO 01/15/21	30	FUBOTV INC CUSIP: Symbol(Box 1a): FUBO210115C00030000					
01/05/2021	1.00	319.90	01/05/2021	330.08	0.00	-10.18	Sell to Close
CALL SPY 02/16/21	390	STANDARD & POORS DEPOSITORY CUSIP: Symbol(Box 1a): SPY210216C00390000					
01/22/2021	1.00	293.90	01/22/2021	300.08	0.00	-6.18	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MQ16480

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL FSLY 05/21/21 75 FASTLY INC CLASS A CUSIP: Symbol(Box 1a): FSLY210521C00075000							
04/13/2021	1.00	429.91	04/13/2021	385.07	0.00	44.84	Sell to Close
CALL DG 03/19/21 200 DOLLAR GENERAL CORPORATION CUSIP: Symbol(Box 1a): DG210319C00200000							
02/02/2021	1.00	699.89	02/02/2021	680.08	0.00	19.81	Sell to Close
PUT MRNA 05/07/21 160 MODERNA INC CUSIP: Symbol(Box 1a): MRNA210507P00160000							
05/07/2021	1.00	21.91	05/07/2021	421.23	0.00	-399.32	Sell to Close
05/07/2021	1.00	29.91	05/06/2021	350.07	320.16 D	0.00	Sell to Close
Security Totals:		51.82		771.30		-399.32	
CALL AMD 01/29/21 93.50 ADVANCED MICRO DEVICES INC CUSIP: Symbol(Box 1a): AMD210129C00093500							
01/22/2021	1.00	385.90	01/22/2021	400.08	0.00	-14.18	Sell to Close
CALL TSLA 01/08/21 850 TESLA INC CUSIP: Symbol(Box 1a): TSLA210108C00850000							
01/08/2021	1.00	1,064.88	01/08/2021	780.08	0.00	284.80	Sell to Close
CALL BILI 02/19/21 135 BILIBILI INC ADS CUSIP: Symbol(Box 1a): BILI210219C00135000							
02/02/2021	1.00	699.89	02/02/2021	700.08	0.00	-0.19	Sell to Close
CALL AMD 01/29/21 93 ADVANCED MICRO DEVICES INC CUSIP: Symbol(Box 1a): AMD210129C00093000							
01/25/2021	1.00	499.89	01/22/2021	400.08	0.00	99.81	Sell to Close
01/26/2021	1.00	499.89	01/25/2021	382.08	0.00	117.81	Sell to Close
Security Totals:		999.78		782.16		217.62	
PUT TSLA 01/08/21 750 TESLA INC CUSIP: Symbol(Box 1a): TSLA210108P00750000							
01/07/2021	1.00	117.90	01/07/2021	125.08	7.18 D	0.00	Sell to Close
01/08/2021	2.00	59.82	Various	71.34	0.00	-11.52	Sell to Close
Security Totals:		177.72		196.42		-11.52	

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Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

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(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
PUT ABNB 01/08/21 137 AIRBNB INC CUSIP: Symbol(Box 1a): ABNB210108P00137000							
01/04/2021	1.00	349.90	01/04/2021	310.08	0.00	39.82	Sell to Close
PUT NVAX 01/29/21 185 NOVAVAX INC CUSIP: Symbol(Box 1a): NVAX210129P00185000							
01/29/2021	1.00	19.90	01/29/2021	180.08	0.00	-160.18	Sell to Close
PUT BABA 01/08/21 220 ALIBABA GROUP HOLDING LIMITED CUSIP: Symbol(Box 1a): BABA210108P00220000							
01/06/2021	1.00	239.90	01/04/2021	195.08	0.00	44.82	Sell to Close
CALL DKNG 01/08/21 46 DRAFTKINGS INC CL A CUSIP: Symbol(Box 1a): DKNG210108C00046000							
01/05/2021	1.00	133.90	01/05/2021	100.08	0.00	33.82	Sell to Close
CALL GM 03/12/21 58 GENERAL MOTORS COMPANY CUSIP: Symbol(Box 1a): GM210312C00058000							
03/11/2021	1.00	26.90	03/11/2021	28.08	0.00	-1.18	Sell to Close
PUT CAT 02/05/21 177.50 CATERPILLAR INC CUSIP: Symbol(Box 1a): CAT210205P00177500							
02/02/2021	1.00	29.90	01/29/2021	240.08	0.00	-210.18	Sell to Close
PUT SPY 02/05/21 354 STANDARD & POORS DEPOSITORY CUSIP: Symbol(Box 1a): SPY210205P00354000							
01/25/2021	1.00	82.90	01/25/2021	56.08	0.00	26.82	Sell to Close
01/25/2021	1.00	51.90	01/25/2021	56.08	0.00	-4.18	Sell to Close
Security Totals:		134.80		112.16		22.64	
CALL QCOM 02/05/21 170 QUALCOMM INC CUSIP: Symbol(Box 1a): QCOM210205C00170000							
02/01/2021	1.00	204.90	02/01/2021	130.08	0.00	74.82	Sell to Close
PUT WKHS 01/29/21 30 WORKHORSE GROUP INC CUSIP: Symbol(Box 1a): WKHS210129P00030000							
01/26/2021	1.00	143.90	01/26/2021	148.08	0.00	-4.18	Sell to Close
PUT AMZN 04/30/21 3515 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210430P03515000							
04/30/2021	1.00	1,199.91	04/30/2021	1,200.23	0.00	-0.32	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MQ16480

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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Box 6: Gross (unless indicated as Net in Additional Notes Column)

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
04/30/2021	1.00	1,499.91	04/30/2021	1,500.07	0.16 D	0.00	Sell to Close
Security Totals:		2,699.82		2,700.30		-0.32	
CALL CHWY 01/15/21 95 CHEWY INC CLASS A CUSIP: Symbol(Box 1a): CHWY210115C00095000							
01/05/2021	1.00	349.90	01/05/2021	350.08	0.00	-0.18	Sell to Close
CALL CCL 03/19/21 31 CARNIVAL CORP CUSIP: Symbol(Box 1a): CCL210319C00031000							
03/15/2021	1.00	50.91	03/15/2021	52.08	0.00	-1.17	Sell to Close
03/15/2021	1.00	54.90	03/15/2021	52.08	0.00	2.82	Sell to Close
Security Totals:		105.81		104.16		1.65	
PUT AMZN 05/07/21 3280 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210507P03280000							
05/06/2021	1.00	1,499.91	05/06/2021	1,994.07	0.00	-494.16	Sell to Close
05/06/2021	1.00	2,299.90	05/06/2021	2,115.07	0.00	184.83	Sell to Close
Security Totals:		3,799.81		4,109.14		-309.33	
CALL EBAY 03/19/21 55 EBAY INC CUSIP: Symbol(Box 1a): EBAY210319C00055000							
01/08/2021	1.00	291.90	01/05/2021	210.08	0.00	81.82	Sell to Close
CALL JETS 01/22/21 22.50 ETF SERIES SOLUTIONS US GLBL CUSIP: Symbol(Box 1a): JETS210122C00022500							
01/05/2021	1.00	66.90	01/05/2021	63.08	0.00	3.82	Sell to Close
CALL TSLA 03/12/21 650 TESLA INC CUSIP: Symbol(Box 1a): TSLA210312C00650000							
03/04/2021	1.00	1,609.90	03/04/2021	1,720.08	0.00	-110.18	Sell to Close
CALL COIN 05/07/21 302.50 COINBASE GLOBAL INC CUSIP: Symbol(Box 1a): COIN210507C00302500							
05/03/2021	1.00	799.91	04/30/2021	600.07	0.00	199.84	Sell to Close
CALL RKT 01/08/21 19.50 ROCKET COMPANIES INC CL A CUSIP: Symbol(Box 1a): RKT210108C00019500							
01/07/2021	2.00	63.82	01/07/2021	58.16	0.00	5.66	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MQ16480

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

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Box 6: Gross (unless indicated as Net in Additional Notes Column)

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL TSLA 03/12/21 730 TESLA INC CUSIP: Symbol(Box 1a): TSLA210312C00730000							
03/11/2021	1.00	599.90	03/11/2021	600.08	0.18 D	0.00	Sell to Close
03/11/2021	1.00	483.90	03/11/2021	500.26	0.00	-16.36	Sell to Close
Security Totals:		1,083.80		1,100.34		-16.36	
CALL PM 02/19/21 87.50 PHILIP MORRIS INTERNATIONAL CUSIP: Symbol(Box 1a): PM210219C00087500							
01/11/2021	1.00	119.90	01/11/2021	125.08	0.00	-5.18	Sell to Close
CALL BA 02/19/21 200 BOEING CO CUSIP: Symbol(Box 1a): BA210219C00200000							
01/27/2021	1.00	794.89	01/27/2021	800.08	0.00	-5.19	Sell to Close
CALL NVDA 03/19/21 550 NVIDIA CORP CUSIP: Symbol(Box 1a): NVDA210319C00550000							
03/15/2021	1.00	205.90	03/15/2021	247.08	0.00	-41.18	Sell to Close
PUT AMZN 04/30/21 3520 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210430P03520000							
04/30/2021	1.00	3,834.90	04/30/2021	2,700.07	0.00	1,134.83	Sell to Close
PUT AMZN 02/26/21 3250 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210226P03250000							
02/04/2021	1.00	7,499.74	02/04/2021	7,100.08	0.00	399.66	Sell to Close
CALL AMZN 02/12/21 3320 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210212C03320000							
02/08/2021	1.00	3,499.83	02/08/2021	3,500.08	0.00	-0.25	Sell to Close
CALL TSLA 03/12/21 740 TESLA INC CUSIP: Symbol(Box 1a): TSLA210312C00740000							
03/11/2021	1.00	234.91	03/11/2021	200.08	0.00	34.83	Sell to Close
CALL BABA 02/19/21 310 ALIBABA GROUP HOLDING LIMITED CUSIP: Symbol(Box 1a): BABA210219C00310000							
01/20/2021	1.00	154.90	01/20/2021	153.08	0.00	1.82	Sell to Close
01/20/2021	1.00	194.90	01/20/2021	120.08	0.00	74.82	Sell to Close
Security Totals:		349.80		273.16		76.64	

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MQ16480

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL JMIA 01/29/21 55							
JUMIA TECHNOLOGIES AG CUSIP: Symbol(Box 1a): JMIA210129C00055000							
01/25/2021	1.00	559.89	01/25/2021	540.08	0.00	19.81	Sell to Close
CALL LYFT 01/15/21 50							
LYFT INC CLASS A CUSIP: Symbol(Box 1a): LYFT210115C00050000							
01/07/2021	1.00	94.90	01/07/2021	120.08	0.00	-25.18	Sell to Close
CALL NFLX 01/15/21 505							
NETFLIX INC CUSIP: Symbol(Box 1a): NFLX210115C000505000							
01/12/2021	1.00	359.90	01/12/2021	330.08	0.00	29.82	Sell to Close
CALL AMZN 01/15/21 3275							
AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210115C03275000							
01/13/2021	1.00	699.89	01/11/2021	900.08	0.00	-200.19	Sell to Close
CALL GOOG 04/30/21 2500							
ALPHABET INC CLASS C CUSIP: Symbol(Box 1a): GOOG210430C02500000							
04/26/2021	1.00	609.91	04/26/2021	650.07	0.00	-40.16	Sell to Close
PUT AMZN 03/05/21 3200							
AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210305P03200000							
02/04/2021	1.00	6,999.75	02/04/2021	6,600.08	0.00	399.67	Sell to Close
CALL TSLA 01/08/21 1020							
TESLA INC CUSIP: Symbol(Box 1a): TSLA210108C01020000							
01/07/2021	3.00	11.74	01/07/2021	6.24	0.00	5.50	Sell to Close
01/07/2021	5.00	19.58	Various	16.40	0.00	3.18	Sell to Close
Security Totals:		31.32		22.64		8.68	
CALL ZM 01/29/21 450							
ZOOM VIDEO COMMUNICATIONS INC CUSIP: Symbol(Box 1a): ZM210129C00450000							
01/14/2021	1.00	453.89	01/14/2021	410.08	0.00	43.81	Sell to Close
01/14/2021	1.00	599.89	01/14/2021	450.08	0.00	149.81	Sell to Close
Security Totals:		1,053.78		860.16		193.62	
CALL ZM 01/29/21 475							
ZOOM VIDEO COMMUNICATIONS INC CUSIP: Symbol(Box 1a): ZM210129C00475000							
01/14/2021	1.00	313.90	01/14/2021	350.08	36.18 D	0.00	Sell to Close

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2021 Form 1099-B*

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(continued)

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CALL ZM 01/29/21 475 ZOOM VIDEO COMMUNICATIONS INC CUSIP: Symbol(Box 1a): ZM210129C00475000							
01/19/2021	1.00	479.89	01/15/2021	466.26	0.00	13.63	Sell to Close
Security Totals:		793.79		816.34		13.63	
CALL AAL 02/26/21 18.50 AMERICAN AIRLINES GROUP INC CUSIP: Symbol(Box 1a): AAL210226C00018500							
02/19/2021	1.00	59.90	02/17/2021	49.08	0.00	10.82	Sell to Close
02/19/2021	1.00	59.90	02/17/2021	49.08	0.00	10.82	Sell to Close
Security Totals:		119.80		98.16		21.64	
CALL AMD 01/22/21 89.50 ADVANCED MICRO DEVICES INC CUSIP: Symbol(Box 1a): AMD210122C00089500							
01/21/2021	1.00	131.90	01/21/2021	128.08	0.00	3.82	Sell to Close
CALL GOOGL 11/05/21 2850 ALPHABET INC CLASS A CUSIP: Symbol(Box 1a): GOOGL211105C02850000							
10/27/2021	1.00	2,499.93	10/26/2021	3,500.04	0.00	-1,000.11	Sell to Close
CALL BABA 01/08/21 230 ALIBABA GROUP HOLDING LIMITED CUSIP: Symbol(Box 1a): BABA210108C00230000							
01/07/2021	1.00	159.90	01/07/2021	134.08	0.00	25.82	Sell to Close
CALL TSLA 02/19/21 880 TESLA INC CUSIP: Symbol(Box 1a): TSLA210219C00880000							
02/16/2021	1.00	197.90	02/16/2021	250.08	0.00	-52.18	Sell to Close
PUT AMZN 04/30/21 3510 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210430P03510000							
04/30/2021	1.00	1,699.91	04/30/2021	1,600.07	0.00	99.84	Sell to Close
PUT AMZN 03/26/21 3085 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210326P03085000							
03/25/2021	1.00	2,299.89	03/25/2021	2,000.08	0.00	299.81	Sell to Close
CALL AMZN 11/19/21 3500 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN211119C03500000							
10/25/2021	1.00	4,199.92	10/22/2021	4,200.04	0.00	-0.12	Sell to Close

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2021 Form 1099-B*

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(continued)

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CALL PLTR 02/19/21 32.50 PALANTIR TECHNOLOGIES INC CL A CUSIP: Symbol(Box 1a): PLTR210219C00032500							
02/17/2021	1.00	42.90	02/17/2021	60.08	0.00	-17.18	Sell to Close
CALL AMZN 04/16/21 3400 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210416C03400000							
04/09/2021	1.00	1,299.91	04/09/2021	1,200.07	0.00	99.84	Sell to Close
04/09/2021	1.00	2,549.90	04/09/2021	2,500.07	0.00	49.83	Sell to Close
04/09/2021	1.00	1,279.91	04/09/2021	1,180.07	0.00	99.84	Sell to Close
04/12/2021	1.00	2,799.90	04/09/2021	2,650.07	0.00	149.83	Sell to Close
04/12/2021	1.00	2,599.90	04/12/2021	2,400.07	0.00	199.83	Sell to Close
04/14/2021	1.00	849.91	04/14/2021	1,230.23	380.32 D	0.00	Sell to Close
04/14/2021	1.00	799.91	04/14/2021	1,150.07	350.16 D	0.00	Sell to Close
04/15/2021	1.00	949.91	04/15/2021	1,000.07	0.00	-50.16	Sell to Close
04/15/2021	2.00	2,199.81	Various	1,630.46	0.00	569.35	Sell to Close
Security Totals:		15,329.06		14,941.18		1,118.36	
CALL BA 01/15/21 215 BOEING CO CUSIP: Symbol(Box 1a): BA210115C00215000							
01/14/2021	1.00	157.90	01/12/2021	104.08	0.00	53.82	Sell to Close
CALL FUBO 02/19/21 40 FUBOTV INC CUSIP: Symbol(Box 1a): FUBO210219C00040000							
01/05/2021	1.00	388.90	01/04/2021	275.08	0.00	113.82	Sell to Close
CALL TSLA 03/12/21 700 TESLA INC CUSIP: Symbol(Box 1a): TSLA210312C00700000							
03/10/2021	1.00	1,149.90	03/10/2021	800.08	0.00	349.82	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MQ16480

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

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CALL MRNA 03/19/21 144 MODERNA INC CUSIP: Symbol(Box 1a): MRNA210319C00144000							
03/15/2021	1.00	319.90	03/15/2021	351.08	0.00	-31.18	Sell to Close
CALL AMZN 02/05/21 3450 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210205C03450000							
02/02/2021	1.00	6,519.76	02/02/2021	6,500.08	0.00	19.68	Sell to Close
02/04/2021	1.00	209.90	02/02/2021	6,600.08	0.00	-6,390.18	Sell to Close
Security Totals:		6,729.66		13,100.16		-6,370.50	
CALL SLV 07/16/21 30 ISHARES SILVER SHARES CUSIP: Symbol(Box 1a): SLV210716C00030000							
02/01/2021	1.00	354.90	01/29/2021	252.08	0.00	102.82	Sell to Close
CALL KO 04/16/21 52.50 COCA COLA CO CUSIP: Symbol(Box 1a): KO210416C00052500							
03/08/2021	1.00	107.90	03/08/2021	112.08	0.00	-4.18	Sell to Close
CALL PLTR 01/29/21 35 PALANTIR TECHNOLOGIES INC CL A CUSIP: Symbol(Box 1a): PLTR210129C00035000							
01/25/2021	1.00	349.90	01/25/2021	232.08	0.00	117.82	Sell to Close
PUT AMZN 03/19/21 3135 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210319P03135000							
03/17/2021	1.00	2,649.89	03/17/2021	2,500.08	0.00	149.81	Sell to Close
CALL VZ 03/19/21 60 VERIZON COMMUNICATIONS CUSIP: Symbol(Box 1a): VZ210319C00060000							
02/17/2021	1.00	27.90	02/17/2021	38.08	0.00	-10.18	Sell to Close
02/17/2021	1.00	28.90	02/17/2021	38.08	0.00	-9.18	Sell to Close
Security Totals:		56.80		76.16		-19.36	
CALL AMD 01/29/21 96 ADVANCED MICRO DEVICES INC CUSIP: Symbol(Box 1a): AMD210129C00096000							
01/20/2021	1.00	179.90	01/20/2021	190.08	0.00	-10.18	Sell to Close
CALL BABA 02/05/21 267.50 ALIBABA GROUP HOLDING LIMITED CUSIP: Symbol(Box 1a): BABA210205C00267500							
01/27/2021	1.00	659.89	01/26/2021	678.08	0.00	-18.19	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MQ16480

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL BABA 02/05/21 265 ALIBABA GROUP HOLDING LIMITED CUSIP: Symbol(Box 1a): BABA210205C00265000							
02/01/2021	1.00	509.89	01/29/2021	644.27	134.38 D	0.00	Sell to Close
02/01/2021	1.00	510.89	01/27/2021	780.08	269.19 D	0.00	Sell to Close
02/01/2021	1.00	505.89	02/01/2021	534.46	0.00	-28.57	Sell to Close
Security Totals:		1,526.67		1,958.81		-28.57	
CALL JMIA 02/05/21 60 JUMIA TECHNOLOGIES AG CUSIP: Symbol(Box 1a): JMIA210205C00060000							
01/25/2021	1.00	624.89	01/25/2021	620.08	0.00	4.81	Sell to Close
PUT NFLX 01/29/21 565 NETFLIX INC CUSIP: Symbol(Box 1a): NFLX210129P00565000							
01/22/2021	1.00	849.89	01/22/2021	860.08	0.00	-10.19	Sell to Close
PUT AMZN 10/29/21 3300 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN211029P03300000							
10/28/2021	1.00	1,549.94	10/28/2021	1,100.04	0.00	449.90	Sell to Close
PUT GME 03/05/21 20 GAMESTOP CORP CUSIP: Symbol(Box 1a): GME210305P00020000							
01/27/2021	1.00	319.90	01/27/2021	305.08	0.00	14.82	Sell to Close
01/27/2021	1.00	319.90	01/27/2021	315.08	0.00	4.82	Sell to Close
Security Totals:		639.80		620.16		19.64	
CALL COTY 03/19/21 9 COTY INC CUSIP: Symbol(Box 1a): COTY210319C00009000							
01/25/2021	1.00	47.90	01/25/2021	55.08	0.00	-7.18	Sell to Close
PUT TRVN 01/21/22 2.50 TREVENA INC CUSIP: Symbol(Box 1a): TRVN220121P00002500							
01/08/2021	1.00	119.90	11/18/2020	145.08	0.00	-25.18	Sell to Close
PUT AMZN 10/29/21 3200 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN211029P03200000							
10/28/2021	1.00	749.94	10/27/2021	830.04	0.00	-80.10	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MQ16480

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
PUT AMZN 04/30/21 3470 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210430P03470000							
04/30/2021	1.00	299.91	04/30/2021	700.07	0.00	-400.16	Sell to Close
CALL LULU 04/09/21 315 LULULEMON ATHLETICA INC CUSIP: Symbol(Box 1a): LULU210409C00315000							
04/06/2021	1.00	69.92	04/01/2021	435.24	0.00	-365.32	Sell to Close
04/06/2021	1.00	74.91	04/05/2021	182.23	0.00	-107.32	Sell to Close
04/06/2021	2.00	79.82	Various	502.15	422.33 D	0.00	Sell to Close
Security Totals:		224.65		1,119.62		-472.64	
CALL AAPL 04/30/21 135 APPLE INC CUSIP: Symbol(Box 1a): AAPL210430C00135000							
04/27/2021	1.00	259.91	04/26/2021	272.07	12.16 D	0.00	Sell to Close
04/28/2021	1.00	194.91	04/28/2021	219.39	24.48 D	0.00	Sell to Close
04/28/2021	1.00	208.91	04/28/2021	245.23	36.32 D	0.00	Sell to Close
04/29/2021	1.00	231.91	04/28/2021	224.55	0.00	7.36	Sell to Close
Security Totals:		895.64		961.24		7.36	
CALL ZM 02/26/21 500 ZOOM VIDEO COMMUNICATIONS INC CUSIP: Symbol(Box 1a): ZM210226C00500000							
01/21/2021	1.00	469.89	01/20/2021	450.08	0.00	19.81	Sell to Close
CALL AOS 07/16/21 55 A O SMITH CORPORATION CUSIP: Symbol(Box 1a): AOS210716C00055000							
03/08/2021	1.00	1,049.90	03/08/2021	1,048.08	0.00	1.82	Sell to Close
CALL SPOT 01/08/21 327.50 SPOTIFY TECHNOLOGY S A CUSIP: Symbol(Box 1a): SPOT210108C00327500							
01/07/2021	1.00	319.90	01/07/2021	297.08	0.00	22.82	Sell to Close
CALL GE 01/29/21 11 GENERAL ELECTRIC CO CUSIP: Symbol(Box 1a): GE210129C00011000							
01/28/2021	1.00	47.91	01/26/2021	80.08	0.00	-32.17	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MQ16480

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

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Box 6: Gross (unless indicated as Net in Additional Notes Column)

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL AMZN 02/12/21 3350 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210212C03350000							
02/09/2021	1.00	2,541.85	02/08/2021	3,210.08	0.00	-668.23	Sell to Close
PUT QQQ 04/09/21 315 INVESCO QQQ TRUST SERIES 1 CUSIP: Symbol(Box 1a): QQQ210409P00315000							
03/30/2021	1.00	580.90	03/29/2021	570.08	0.00	10.82	Sell to Close
CALL TSLA 01/08/21 940 TESLA INC CUSIP: Symbol(Box 1a): TSLA210108C00940000							
01/08/2021	1.00	69.90	01/08/2021	72.08	0.00	-2.18	Sell to Close
01/08/2021	1.00	69.90	01/08/2021	72.08	0.00	-2.18	Sell to Close
Security Totals:		139.80		144.16		-4.36	
CALL AMZN 03/12/21 3150 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210312C03150000							
03/11/2021	1.00	799.90	03/11/2021	700.08	0.00	99.82	Sell to Close
03/11/2021	1.00	614.90	03/11/2021	600.08	0.00	14.82	Sell to Close
Security Totals:		1,414.80		1,300.16		114.64	
MARATHON DIGITAL HLDGS INC COMMON STOCK CUSIP: 565788106 Symbol(Box 1a): MARA							
03/24/2021	1.00	40.13	03/24/2021	39.70	0.00	0.43	Sale
03/24/2021	49.00	1,975.16	03/24/2021	1,945.30	0.00	29.86	Sale
Security Totals:		2,015.29		1,985.00		30.29	
CALL SNAP 04/16/21 65 SNAP INC CUSIP: Symbol(Box 1a): SNAP210416C00065000							
04/15/2021	1.00	22.91	04/14/2021	160.07	0.00	-137.16	Sell to Close
CALL CMG 04/16/21 1600 CHIPOTLE MEXICAN GRILL INC CUSIP: Symbol(Box 1a): CMG210416C01600000							
04/13/2021	1.00	499.91	04/12/2021	340.07	0.00	159.84	Sell to Close
CALL TTD 02/05/21 850 TRADE DESK INC CLASS A CUSIP: Symbol(Box 1a): TTD210205C00850000							
01/28/2021	1.00	1,119.88	01/28/2021	1,100.08	0.00	19.80	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MQ16480

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL EBAY 01/29/21 56.50 EBAY INC CUSIP: Symbol(Box 1a): EBAY210129C00056500							
01/22/2021	1.00	75.90	01/20/2021	111.08	0.00	-35.18	Sell to Close
CALL AMZN 01/15/21 3260 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210115C03260000							
01/11/2021	1.00	1,034.88	01/11/2021	950.08	0.00	84.80	Sell to Close
01/11/2021	1.00	1,029.88	01/11/2021	950.08	0.00	79.80	Sell to Close
01/11/2021	1.00	999.88	01/11/2021	960.08	0.00	39.80	Sell to Close
01/13/2021	1.00	617.89	01/11/2021	950.08	0.00	-332.19	Sell to Close
Security Totals:		3,682.53		3,810.32		-127.79	
CALL TWTR 02/05/21 48.50 TWITTER INC CUSIP: Symbol(Box 1a): TWTR210205C00048500							
01/22/2021	1.00	156.90	01/22/2021	162.08	0.00	-5.18	Sell to Close
CALL MSFT 02/19/21 245 MICROSOFT CORP CUSIP: Symbol(Box 1a): MSFT210219C00245000							
02/18/2021	1.00	39.90	02/16/2021	200.08	0.00	-160.18	Sell to Close
CALL AMZN 03/05/21 3600 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210305C03600000							
02/02/2021	1.00	7,399.74	02/02/2021	7,400.08	0.34 D	0.00	Sell to Close
02/02/2021	1.00	6,699.76	02/02/2021	6,700.42	0.00	-0.66	Sell to Close
02/02/2021	1.00	7,999.73	02/02/2021	7,500.08	0.00	499.65	Sell to Close
Security Totals:		22,099.23		21,600.58		498.99	
CALL TSLA 01/08/21 920 TESLA INC CUSIP: Symbol(Box 1a): TSLA210108C00920000							
01/08/2021	1.00	159.90	01/08/2021	135.08	0.00	24.82	Sell to Close
CALL KNDI 02/19/21 11 KANDI TECHNOLOGIES CORP CUSIP: Symbol(Box 1a): KNDI210219C00011000							
01/25/2021	1.00	41.90	01/25/2021	42.08	0.00	-0.18	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MQ16480

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL KNDI 02/19/21 11 KANDI TECHNOLOGIES CORP CUSIP: Symbol(Box 1a): KNDI210219C00011000							
01/25/2021	1.00	41.90	01/25/2021	42.08	0.00	-0.18	Sell to Close
Security Totals:		83.80		84.16		-0.36	
CALL TSLA 01/29/21 1050 TESLA INC CUSIP: Symbol(Box 1a): TSLA210129C01050000							
01/25/2021	1.00	489.89	01/25/2021	600.08	0.00	-110.19	Sell to Close
01/25/2021	1.00	614.89	01/25/2021	513.08	0.00	101.81	Sell to Close
Security Totals:		1,104.78		1,113.16		-8.38	
CALL AMZN 11/12/21 4000 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN211112C04000000							
10/28/2021	1.00	589.94	10/28/2021	600.04	0.00	-10.10	Sell to Close
CALL AMZN 04/09/21 3330 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210409C03330000							
04/09/2021	1.00	2,199.90	04/09/2021	900.07	0.00	1,299.83	Sell to Close
CALL AMD 01/29/21 95 ADVANCED MICRO DEVICES INC CUSIP: Symbol(Box 1a): AMD210129C00095000							
01/07/2021	1.00	419.90	01/06/2021	385.08	0.00	34.82	Sell to Close
CALL AMZN 04/30/21 3600 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210430C03600000							
04/23/2021	1.00	949.91	04/22/2021	1,050.07	100.16 D	0.00	Sell to Close
04/23/2021	1.00	814.91	04/22/2021	940.23	125.32 D	0.00	Sell to Close
04/29/2021	1.00	3,299.90	04/29/2021	3,225.39	0.00	74.51	Sell to Close
Security Totals:		5,064.72		5,215.69		74.51	
PUT AMZN 04/09/21 3310 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210409P03310000							
04/08/2021	1.00	1,499.91	04/08/2021	1,800.07	300.16 D	0.00	Sell to Close

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2021 Form 1099-B*

5MQ16480

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
04/08/2021	1.00	1,499.91	04/08/2021	1,700.23	0.00	-200.32	Sell to Close
Security Totals:		2,999.82		3,500.30		-200.32	
PUT AMZN 03/26/21 3150 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210326P03150000							
03/23/2021	1.00	2,499.89	03/23/2021	2,500.08	0.00	-0.19	Sell to Close
CALL AMD 01/29/21 94.50 ADVANCED MICRO DEVICES INC CUSIP: Symbol(Box 1a): AMD210129C00094500							
01/29/2021	2.00	0.00	Various	524.16	0.00	-524.16	Expiration
CALL AMZN 04/09/21 3310 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210409C03310000							
04/08/2021	1.00	1,299.91	04/08/2021	1,350.07	50.16 D	0.00	Sell to Close
04/09/2021	1.00	949.91	04/09/2021	1,050.39	100.48 D	0.00	Sell to Close
04/09/2021	1.00	899.91	04/08/2021	1,150.23	250.32 D	0.00	Sell to Close
04/09/2021	1.00	1,999.90	04/09/2021	800.55	0.00	1,199.35	Sell to Close
Security Totals:		5,149.63		4,351.24		1,199.35	
CALL AMZN 03/26/21 3150 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210326C03150000							
03/24/2021	1.00	2,299.89	03/24/2021	2,200.08	0.00	99.81	Sell to Close
03/24/2021	1.00	1,499.90	03/24/2021	2,000.08	0.00	-500.18	Sell to Close
03/24/2021	1.00	2,299.89	03/24/2021	2,100.08	0.00	199.81	Sell to Close
03/24/2021	1.00	2,449.89	03/24/2021	2,250.08	0.00	199.81	Sell to Close
Security Totals:		8,549.57		8,550.32		-0.75	
CALL AMZN 03/12/21 3000 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210312C03000000							
03/09/2021	1.00	5,299.88	03/08/2021	2,900.08	0.00	2,399.80	Sell to Close

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APEX CLEARING

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2021 Form 1099-B*

5MQ16480

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL TWTR 01/29/21 50 TWITTER INC CUSIP: Symbol(Box 1a): TWTR210129C00050000							
01/21/2021	1.00	50.90	01/21/2021	69.26	0.00	-18.36	Sell to Close
01/21/2021	1.00	65.90	01/21/2021	71.08	5.18 D	0.00	Sell to Close
Security Totals:		116.80		140.34		-18.36	
CALL FSLY 01/29/21 100 FASTLY INC CLASS A CUSIP: Symbol(Box 1a): FSLY210129C00100000							
01/07/2021	1.00	229.90	01/07/2021	200.08	0.00	29.82	Sell to Close
CALL LAZR 02/19/21 50 LUMINAR TECHNOLOGIES INC CL A CUSIP: Symbol(Box 1a): LAZR210219C00050000							
01/13/2021	1.00	124.90	01/13/2021	125.08	0.00	-0.18	Sell to Close
PUT FB 11/05/21 300 META PLATFORMS INC CL A CUSIP: Symbol(Box 1a): FB211105P00300000							
10/28/2021	1.00	239.94	10/26/2021	310.04	0.00	-70.10	Sell to Close
CALL AMZN 01/22/21 3200 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210122C03200000							
01/13/2021	1.00	3,299.83	01/12/2021	3,250.08	0.00	49.75	Sell to Close
CALL AAL 02/19/21 17 AMERICAN AIRLINES GROUP INC CUSIP: Symbol(Box 1a): AAL210219C00017000							
01/14/2021	1.00	103.90	01/13/2021	76.08	0.00	27.82	Sell to Close
01/14/2021	1.00	112.90	01/13/2021	76.08	0.00	36.82	Sell to Close
Security Totals:		216.80		152.16		64.64	
CALL CGEN 02/19/21 15 COMPUGEN LIMITED CUSIP: Symbol(Box 1a): CGEN210219C00015000							
01/13/2021	1.00	94.90	01/13/2021	95.08	0.00	-0.18	Sell to Close
01/13/2021	1.00	95.90	01/13/2021	95.08	0.00	0.82	Sell to Close
Security Totals:		190.80		190.16		0.64	
CALL PLTR 05/07/21 21.50 PALANTIR TECHNOLOGIES INC CL A CUSIP: Symbol(Box 1a): PLTR210507C00021500							
05/04/2021	1.00	40.91	05/04/2021	44.07	0.00	-3.16	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MQ16480

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL AXP 02/19/21 120 AMERICAN EXPRESS COMPANY CUSIP: Symbol(Box 1a): AXP210219C00120000							
01/28/2021	1.00	389.90	01/28/2021	369.08	0.00	20.82	Sell to Close
CALL DBX 01/29/21 24 DROPBOX INC CUSIP: Symbol(Box 1a): DBX210129C00024000							
01/27/2021	1.00	79.90	01/27/2021	83.08	0.00	-3.18	Sell to Close
CALL AMD 01/29/21 100 ADVANCED MICRO DEVICES INC CUSIP: Symbol(Box 1a): AMD210129C00100000							
01/11/2021	1.00	399.90	01/11/2021	400.08	0.00	-0.18	Sell to Close
PFIZER INC CUSIP: 717081103 Symbol(Box 1a): PFE							
03/05/2021	50.00	1,713.59	12/10/2020	2,138.05	0.00	-424.46	Sale
CALL NVDA 01/29/21 600 NVIDIA CORP CUSIP: Symbol(Box 1a): NVDA210129C00600000							
01/11/2021	1.00	754.89	01/11/2021	750.08	0.00	4.81	Sell to Close
01/21/2021	1.00	268.90	01/21/2021	154.08	0.00	114.82	Sell to Close
Security Totals:		1,023.79		904.16		119.63	
PUT QQQ 01/29/21 322 INVESCO QQQ TRUST SERIES 1 CUSIP: Symbol(Box 1a): QQQ210129P00322000							
01/28/2021	1.00	142.90	01/28/2021	129.08	0.00	13.82	Sell to Close
CALL SNAP 02/12/21 55 SNAP INC CUSIP: Symbol(Box 1a): SNAP210212C00055000							
02/01/2021	1.00	444.90	01/28/2021	460.08	0.00	-15.18	Sell to Close
PUT TSLA 05/07/21 630 TESLA INC CUSIP: Symbol(Box 1a): TSLA210507P00630000							
05/06/2021	1.00	239.91	05/06/2021	260.07	0.00	-20.16	Sell to Close
PUT AMZN 04/30/21 3495 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210430P03495000							
04/30/2021	1.00	799.91	04/30/2021	5,500.55	4700.64 D	0.00	Sell to Close
04/30/2021	1.00	799.91	04/30/2021	5,485.71	4685.8 D	0.00	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MQ16480

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

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PUT AMZN 04/30/21 3495 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210430P03495000							
04/30/2021	1.00	849.91	04/30/2021	4,985.87	0.00	-4,135.96	Sell to Close
04/30/2021	1.00	549.91	04/30/2021	3,950.23	3400.32 D	0.00	Sell to Close
04/30/2021	1.00	549.91	04/30/2021	2,500.07	1950.16 D	0.00	Sell to Close
04/30/2021	1.00	699.91	04/30/2021	5,000.39	4300.48 D	0.00	Sell to Close
Security Totals:		4,249.46		27,422.82		-4,135.96	
CALL AMZN 03/26/21 3100 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210326C03100000							
03/19/2021	1.00	2,599.89	03/19/2021	2,400.08	0.00	199.81	Sell to Close
03/19/2021	1.00	2,599.89	03/19/2021	2,400.08	0.00	199.81	Sell to Close
03/19/2021	1.00	2,749.89	03/19/2021	2,500.08	0.00	249.81	Sell to Close
03/22/2021	1.00	3,099.89	03/19/2021	3,000.08	0.00	99.81	Sell to Close
03/25/2021	1.00	499.90	03/25/2021	617.44	0.00	-117.54	Sell to Close
03/25/2021	1.00	469.90	03/25/2021	617.26	147.36 D	0.00	Sell to Close
03/25/2021	1.00	462.90	03/25/2021	630.08	167.18 D	0.00	Sell to Close
Security Totals:		12,482.26		12,165.10		631.70	
CALL BBY 01/29/21 124 BEST BUY CO INC CUSIP: Symbol(Box 1a): BBY210129C00124000							
01/25/2021	1.00	23.90	01/25/2021	42.08	0.00	-18.18	Sell to Close
01/25/2021	1.00	39.90	01/25/2021	42.08	0.00	-2.18	Sell to Close
Security Totals:		63.80		84.16		-20.36	

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MQ16480

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL MSFT 01/15/21 235							
MICROSOFT CORP CUSIP: Symbol(Box 1a): MSFT210115C00235000							
01/15/2021	3.00	0.00	Various	323.24	0.00	-323.24	Expiration
CALL AAPL 01/22/21 130							
APPLE INC CUSIP: Symbol(Box 1a): AAPL210122C00130000							
01/20/2021	1.00	148.90	01/13/2021	350.08	0.00	-201.18	Sell to Close
PUT AMZN 03/26/21 3100							
AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210326P03100000							
03/24/2021	1.00	2,149.89	03/24/2021	1,640.26	0.00	509.63	Sell to Close
03/24/2021	1.00	2,499.89	03/24/2021	2,300.08	0.00	199.81	Sell to Close
03/24/2021	1.00	1,859.90	03/24/2021	2,000.08	140.18 D	0.00	Sell to Close
Security Totals:		6,509.68		5,940.42		709.44	
CALL AMZN 03/26/21 3120							
AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210326C03120000							
03/25/2021	1.00	1,399.90	03/24/2021	1,900.26	0.00	-500.36	Sell to Close
03/25/2021	1.00	1,299.90	03/24/2021	1,900.08	600.18 D	0.00	Sell to Close
Security Totals:		2,699.80		3,800.34		-500.36	
PUT AMZN 03/26/21 3110							
AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210326P03110000							
03/24/2021	1.00	2,399.89	03/24/2021	2,200.08	0.00	199.81	Sell to Close
PUT AMZN 04/30/21 3490							
AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210430P03490000							
04/30/2021	1.00	899.91	04/30/2021	700.07	0.00	199.84	Sell to Close
CALL AMZN 04/30/21 3490							
AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210430C03490000							
04/23/2021	1.00	3,063.90	04/23/2021	3,000.07	0.00	63.83	Sell to Close
04/26/2021	1.00	2,479.90	04/26/2021	2,973.24	493.34 D	0.00	Sell to Close
04/26/2021	1.00	2,399.90	04/23/2021	3,000.07	600.17 D	0.00	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MQ16480

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
04/26/2021	1.00	2,799.90	04/26/2021	2,643.41	0.00	156.49	Sell to Close
Security Totals:		10,743.60		11,616.79		220.32	
CALL JD 01/29/21 100			JD.COM INC CUSIP: Symbol(Box 1a): JD210129C00100000				
01/11/2021	1.00	134.90	01/11/2021	129.08	0.00	5.82	Sell to Close
PUT AMZN 03/26/21 3130			AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210326P03130000				
03/24/2021	1.00	2,099.89	03/24/2021	2,000.08	0.00	99.81	Sell to Close
CALL AMZN 05/28/21 3300			AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210528C03300000				
05/24/2021	1.00	999.91	05/21/2021	1,000.07	0.00	-0.16	Sell to Close
CALL AMZN 04/30/21 3480			AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210430C03480000				
04/26/2021	1.00	2,899.90	04/23/2021	3,000.07	0.00	-100.17	Sell to Close
CALL DIS 04/16/21 190			WALT DISNEY CO CUSIP: Symbol(Box 1a): DIS210416C00190000				
01/28/2021	1.00	579.89	01/28/2021	495.08	0.00	84.81	Sell to Close
04/09/2021	1.00	155.91	04/06/2021	360.07	204.16 D	0.00	Sell to Close
04/12/2021	1.00	159.91	04/09/2021	344.23	0.00	-184.32	Sell to Close
Security Totals:		895.71		1,199.38		-99.51	
CALL SPWR 01/29/21 50			SUNPOWER CORPORATION CUSIP: Symbol(Box 1a): SPWR210129C00050000				
01/26/2021	1.00	219.90	01/26/2021	200.08	0.00	19.82	Sell to Close
CALL IQ 04/01/21 17.50			IQIYI INC CUSIP: Symbol(Box 1a): IQ210401C00017500				
03/29/2021	1.00	79.90	03/29/2021	86.08	0.00	-6.18	Sell to Close
03/29/2021	1.00	80.90	03/29/2021	86.08	0.00	-5.18	Sell to Close
Security Totals:		160.80		172.16		-11.36	

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MQ16480

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

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Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
PUT AMZN 03/19/21 3105 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210319P03105000							
03/18/2021	1.00	2,599.89	03/18/2021	2,418.08	0.00	181.81	Sell to Close
CALL FUBO 01/29/21 42 FUBOTV INC CUSIP: Symbol(Box 1a): FUBO210129C00042000							
01/26/2021	1.00	223.90	01/26/2021	330.08	0.00	-106.18	Sell to Close
PUT MSFT 01/15/21 215 MICROSOFT CORP CUSIP: Symbol(Box 1a): MSFT210115P00215000							
01/11/2021	1.00	126.90	01/11/2021	121.08	0.00	5.82	Sell to Close
CALL F 02/19/21 12 FORD MOTOR COMPANY CUSIP: Symbol(Box 1a): F210219C00012000							
01/27/2021	1.00	37.90	01/27/2021	37.08	0.00	0.82	Sell to Close
01/27/2021	1.00	37.90	01/27/2021	37.08	0.00	0.82	Sell to Close
Security Totals:		75.80		74.16		1.64	
PUT AMZN 03/19/21 3115 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210319P03115000							
03/16/2021	1.00	3,199.89	03/16/2021	3,000.08	0.00	199.81	Sell to Close
03/16/2021	1.00	3,099.89	03/16/2021	2,900.08	0.00	199.81	Sell to Close
03/17/2021	1.00	1,399.90	03/17/2021	3,000.08	1600.18 D	0.00	Sell to Close
03/17/2021	1.00	1,404.90	03/17/2021	2,750.26	0.00	-1,345.36	Sell to Close
Security Totals:		9,104.58		11,650.50		-945.74	
CALL PDD 04/16/21 150 PINDUODUO INC ADS CUSIP: Symbol(Box 1a): PDD210416C00150000							
03/22/2021	1.00	384.90	03/22/2021	389.08	0.00	-4.18	Sell to Close
CALL NUGT 01/15/21 75 DIREXION DLY GLD MNRS INDX BUL CUSIP: Symbol(Box 1a): NUGT210115C00075000							
01/15/2021	1.00	0.00	01/08/2021	170.08	0.00	-170.08	Expiration

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2021 Form 1099-B*

5MQ16480

(continued)

01/31/2022

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CALL LMT 01/22/21 340 LOCKHEED MARTIN CORP CUSIP: Symbol(Box 1a): LMT210122C00340000							
01/22/2021	1.00	79.90	01/22/2021	100.08	0.00	-20.18	Sell to Close
CALL WDAY 01/15/21 235 WORKDAY INC CUSIP: Symbol(Box 1a): WDAY210115C00235000							
01/11/2021	1.00	179.90	01/11/2021	180.08	0.00	-0.18	Sell to Close
CALL BABA 05/21/21 237.50 ALIBABA GROUP HOLDING LIMITED CUSIP: Symbol(Box 1a): BABA210521C00237500							
04/21/2021	1.00	499.91	04/21/2021	500.07	0.00	-0.16	Sell to Close
PUT TSLA 05/07/21 635 TESLA INC CUSIP: Symbol(Box 1a): TSLA210507P00635000							
05/05/2021	1.00	269.91	05/05/2021	275.07	0.00	-5.16	Sell to Close
CALL ROKU 04/01/21 332.50 ROKU INC CUSIP: Symbol(Box 1a): ROKU210401C00332500							
03/25/2021	1.00	569.90	03/25/2021	550.08	0.00	19.82	Sell to Close
PUT JNJ 04/16/21 157.50 JOHNSON & JOHNSON CUSIP: Symbol(Box 1a): JNJ210416P00157500							
04/13/2021	1.00	159.91	04/13/2021	150.07	0.00	9.84	Sell to Close
CALL FB 01/22/21 252.50 FACEBOOK INC CL A CUSIP: Symbol(Box 1a): FB210122C00252500							
01/15/2021	1.00	524.89	01/14/2021	480.08	0.00	44.81	Sell to Close
CALL FSLY 01/29/21 106 FASTLY INC CLASS A CUSIP: Symbol(Box 1a): FSLY210129C00106000							
01/12/2021	1.00	201.90	01/12/2021	175.08	0.00	26.82	Sell to Close
PUT AMZN 03/26/21 3050 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210326P03050000							
03/25/2021	1.00	1,485.90	03/25/2021	1,400.08	0.00	85.82	Sell to Close
03/26/2021	1.00	1,299.90	03/26/2021	1,100.08	0.00	199.82	Sell to Close
Security Totals:		2,785.80		2,500.16		285.64	
CALL SPCE 01/29/21 60 VIRGIN GALACTIC HOLDINGS INC CUSIP: Symbol(Box 1a): SPCE210129C00060000							
01/28/2021	1.00	59.90	01/28/2021	233.08	0.00	-173.18	Sell to Close

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Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MQ16480

(continued)

01/31/2022

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SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

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PUT AMZN 03/26/21 3070 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210326P03070000							
03/25/2021	1.00	2,099.89	03/25/2021	2,100.08	0.00	-0.19	Sell to Close
CALL FB 01/22/21 255 FACEBOOK INC CL A CUSIP: Symbol(Box 1a): FB210122C00255000							
01/14/2021	1.00	446.90	01/13/2021	440.08	0.00	6.82	Sell to Close
01/19/2021	1.00	397.90	01/15/2021	385.08	0.00	12.82	Sell to Close
Security Totals:		844.80		825.16		19.64	
PUT SQ 04/09/21 255 SQUARE INC CUSIP: Symbol(Box 1a): SQ210409P00255000							
04/09/2021	1.00	19.91	04/09/2021	20.08	0.00	-0.17	Sell to Close
04/09/2021	1.00	19.91	04/09/2021	20.08	0.00	-0.17	Sell to Close
Security Totals:		39.82		40.16		-0.34	
PUT AMZN 03/26/21 3080 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210326P03080000							
03/22/2021	1.00	1,999.89	03/22/2021	3,000.08	1000.19 D	0.00	Sell to Close
03/22/2021	1.00	1,999.89	03/22/2021	3,150.27	1150.38 D	0.00	Sell to Close
03/22/2021	1.00	3,199.89	03/22/2021	3,000.08	0.00	199.81	Sell to Close
03/23/2021	1.00	1,199.90	03/23/2021	2,750.63	0.00	-1,550.73	Sell to Close
03/23/2021	1.00	1,199.90	03/23/2021	925.26	0.00	274.64	Sell to Close
03/23/2021	2.00	2,399.81	Various	4,250.54	1850.73 D	0.00	Sell to Close
Security Totals:		11,999.28		17,076.86		-1,076.28	
CALL TSLA 03/19/21 730 TESLA INC CUSIP: Symbol(Box 1a): TSLA210319C00730000							
02/23/2021	1.00	4,044.82	02/22/2021	4,800.08	755.26 D	0.00	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MQ16480

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL TSLA 03/19/21 730 TESLA INC CUSIP: Symbol(Box 1a): TSLA210319C00730000							
02/24/2021	1.00	4,027.88	02/23/2021	2,655.34	0.00	1,372.54	Sell to Close
Security Totals:		8,072.70		7,455.42		1,372.54	
PUT AMZN 03/26/21 3090 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210326P03090000							
03/24/2021	1.00	2,099.89	03/24/2021	2,000.08	0.00	99.81	Sell to Close
03/25/2021	1.00	2,599.89	03/25/2021	2,200.08	0.00	399.81	Sell to Close
Security Totals:		4,699.78		4,200.16		499.62	
CALL AMZN 03/05/21 3500 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210305C03500000							
02/02/2021	1.00	11,749.65	02/01/2021	9,650.08	0.00	2,099.57	Sell to Close
02/04/2021	1.00	4,419.81	02/03/2021	6,150.08	0.00	-1,730.27	Sell to Close
Security Totals:		16,169.46		15,800.16		369.30	
CALL AAPL 01/15/21 136 APPLE INC CUSIP: Symbol(Box 1a): AAPL210115C00136000							
01/05/2021	1.00	119.90	01/05/2021	126.08	0.00	-6.18	Sell to Close
PUT TSLA 04/23/21 725 TESLA INC CUSIP: Symbol(Box 1a): TSLA210423P00725000							
04/23/2021	1.00	104.91	04/23/2021	123.07	0.00	-18.16	Sell to Close
CALL INTC 03/19/21 63.50 INTEL CORP CUSIP: Symbol(Box 1a): INTC210319C00063500							
03/09/2021	1.00	125.90	03/09/2021	150.08	0.00	-24.18	Sell to Close
CALL CCIV 02/19/21 17.50 CHURCHILL CAPITAL CORP IV CL A CUSIP: Symbol(Box 1a): CCIV210219C00017500							
01/15/2021	1.00	549.89	01/14/2021	400.08	0.00	149.81	Sell to Close
CALL CHWY 04/16/21 80 CHEWY INC CLASS A CUSIP: Symbol(Box 1a): CHWY210416C00080000							
03/29/2021	1.00	539.90	03/26/2021	500.08	0.00	39.82	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MQ16480

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL MSFT 01/29/21 240 MICROSOFT CORP CUSIP: Symbol(Box 1a): MSFT210129C00240000							
01/26/2021	1.00	271.90	01/26/2021	282.08	10.18 D	0.00	Sell to Close
01/27/2021	1.00	282.90	01/27/2021	334.26	0.00	-51.36	Sell to Close
Security Totals:		554.80		616.34		-51.36	
CALL NVDA 05/14/21 610 NVIDIA CORP CUSIP: Symbol(Box 1a): NVDA210514C00610000							
05/05/2021	1.00	469.91	05/05/2021	400.07	0.00	69.84	Sell to Close
CALL TSLA 04/23/21 750 TESLA INC CUSIP: Symbol(Box 1a): TSLA210423C00750000							
04/19/2021	1.00	779.91	04/19/2021	955.07	175.16 D	0.00	Sell to Close
04/20/2021	1.00	1,079.91	04/19/2021	835.23	0.00	244.68	Sell to Close
04/22/2021	1.00	699.91	04/22/2021	700.07	0.00	-0.16	Sell to Close
Security Totals:		2,559.73		2,490.37		244.52	
CALL M 02/05/21 20 MACYS INC CUSIP: Symbol(Box 1a): M210205C00020000							
01/27/2021	1.00	165.90	01/27/2021	167.08	0.00	-1.18	Sell to Close
PETCO HEALTH AND WELLNESS COMPANY INC CLASS A COMMON STOCK CUSIP: 71601V105 Symbol(Box 1a): WOOF							
01/14/2021	50.00	1,496.95	Various	1,474.59	0.00	22.36	Sale
01/14/2021	99.00	2,914.09	01/14/2021	2,879.91	0.00	34.18	Sale
04/01/2021	26.00	586.28	Various	748.25	0.00	-161.97	Sale
Security Totals:		4,997.32		5,102.75		-105.43	
CALL UPST 05/21/21 115 UPSTART HOLDINGS INC CUSIP: Symbol(Box 1a): UPST210521C00115000							
04/22/2021	1.00	949.91	04/22/2021	950.07	0.00	-0.16	Sell to Close
CALL ZM 01/29/21 480 ZOOM VIDEO COMMUNICATIONS INC CUSIP: Symbol(Box 1a): ZM210129C00480000							
01/15/2021	1.00	449.90	01/15/2021	430.08	0.00	19.82	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MQ16480

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01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

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Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
POSHMARK INC CLASS A COMMON STOCK CUSIP: 73739W104 Symbol(Box 1a): POSH							
01/14/2021	15.00	1,464.85	Various	1,475.75	11.81 D	0.91	Sale
01/14/2021	16.00	1,597.23	Various	1,579.44	0.00	17.79	Sale
01/14/2021	19.00	1,916.09	Various	1,874.87	0.00	41.22	Sale
01/14/2021	29.00	2,872.19	01/14/2021	2,805.75	0.00	66.44	Sale
02/11/2021	20.00	1,480.75	Various	1,937.66	456.91 D	0.00	Sale
03/11/2021	10.00	576.98	Various	1,190.92	613.94 D	0.00	Sale
04/01/2021	10.00	428.78	Various	1,011.74	0.00	-582.96	Sale
04/01/2021	10.00	429.81	Various	1,096.39	0.00	-666.58	Sale
Security Totals:		10,766.68		12,972.52		-1,123.18	
CALL TSLA 04/23/21 735 TESLA INC CUSIP: Symbol(Box 1a): TSLA210423C00735000							
04/22/2021	1.00	699.91	04/22/2021	700.07	0.00	-0.16	Sell to Close
CALL ABNB 01/15/21 160 AIRBNB INC CUSIP: Symbol(Box 1a): ABNB210115C00160000							
01/07/2021	1.00	169.90	01/07/2021	190.08	0.00	-20.18	Sell to Close
CALL NIO 02/12/21 59.50 NIO INC ADS CUSIP: Symbol(Box 1a): NIO210212C00059500							
02/01/2021	1.00	404.90	01/29/2021	400.08	0.00	4.82	Sell to Close
CALL XP 02/19/21 42 XP INC CLASS A CUSIP: Symbol(Box 1a): XP210219C00042000							
01/14/2021	1.00	166.90	01/13/2021	167.08	0.00	-0.18	Sell to Close
CALL BABA 03/26/21 232.50 ALIBABA GROUP HOLDING LIMITED CUSIP: Symbol(Box 1a): BABA210326C00232500							
03/12/2021	1.00	669.90	03/12/2021	670.08	0.00	-0.18	Sell to Close
CALL X 04/30/21 23 UNITED STATES STL CORP NEW CUSIP: Symbol(Box 1a): X210430C00023000							
04/09/2021	1.00	169.91	04/08/2021	182.07	0.00	-12.16	Sell to Close
CALL MCD 03/26/21 220 MCDONALDS CORP CUSIP: Symbol(Box 1a): MCD210326C00220000							
03/15/2021	1.00	274.90	03/15/2021	220.08	0.00	54.82	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MQ16480

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
03/15/2021	1.00	215.90	03/15/2021	205.08	0.00	10.82	Sell to Close
Security Totals:		490.80		425.16		65.64	
CALL LULU 03/26/21	322.50	LULULEMON ATHLETICA INC CUSIP: Symbol(Box 1a): LULU210326C00322500					
03/22/2021	1.00	181.90	03/22/2021	179.08	0.00	2.82	Sell to Close
PUT FDX 01/22/21	252.50	FEDEX CORP CUSIP: Symbol(Box 1a): FDX210122P00252500					
01/21/2021	1.00	84.90	01/21/2021	110.08	0.00	-25.18	Sell to Close
CALL PLTR 02/19/21	35	PALANTIR TECHNOLOGIES INC CL A CUSIP: Symbol(Box 1a): PLTR210219C00035000					
01/11/2021	1.00	89.90	01/11/2021	89.08	0.00	0.82	Sell to Close
CALL GILD 05/21/21	70	GILEAD SCIENCES INC CUSIP: Symbol(Box 1a): GILD210521C00070000					
03/29/2021	1.00	96.90	03/26/2021	107.08	0.00	-10.18	Sell to Close
CALL TSLA 01/29/21	840	TESLA INC CUSIP: Symbol(Box 1a): TSLA210129C00840000					
01/29/2021	1.00	151.90	01/29/2021	184.08	0.00	-32.18	Sell to Close
CALL PLTR 02/05/21	27.50	PALANTIR TECHNOLOGIES INC CL A CUSIP: Symbol(Box 1a): PLTR210205C00027500					
01/22/2021	1.00	242.90	01/20/2021	235.26	0.00	7.64	Sell to Close
01/22/2021	1.00	385.90	01/21/2021	146.08	0.00	239.82	Sell to Close
01/22/2021	1.00	184.90	01/20/2021	230.08	45.18 D	0.00	Sell to Close
Security Totals:		813.70		611.42		247.46	
CALL BABA 01/15/21	237.50	ALIBABA GROUP HOLDING LIMITED CUSIP: Symbol(Box 1a): BABA210115C00237500					
01/13/2021	1.00	151.90	01/13/2021	150.08	0.00	1.82	Sell to Close
CALL FSR 02/19/21	18	FISKER INC CL A CUSIP: Symbol(Box 1a): FSR210219C00018000					
01/29/2021	1.00	84.90	01/27/2021	181.08	96.18 D	0.00	Sell to Close

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Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MQ16480

(continued)

01/31/2022

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SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

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Description of property | CUSIP | Symbol(Box 1a)

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02/09/2021	1.00	43.90	01/28/2021	186.26	0.00	-142.36	Sell to Close
Security Totals:		128.80		367.34		-142.36	
CALL SKLZ 02/19/21 25			SKILLZ INC CL A CUSIP: Symbol(Box 1a): SKLZ210219C00025000				
01/05/2021	1.00	199.90	01/05/2021	200.08	0.00	-0.18	Sell to Close
CALL MSFT 01/29/21 230			MICROSOFT CORP CUSIP: Symbol(Box 1a): MSFT210129C00230000				
01/21/2021	1.00	270.90	01/20/2021	265.08	0.00	5.82	Sell to Close
01/21/2021	1.00	299.90	01/21/2021	230.08	0.00	69.82	Sell to Close
01/25/2021	1.00	455.89	01/22/2021	450.08	0.00	5.81	Sell to Close
01/25/2021	1.00	492.89	01/25/2021	365.08	0.00	127.81	Sell to Close
01/25/2021	1.00	444.90	01/22/2021	359.08	0.00	85.82	Sell to Close
Security Totals:		1,964.48		1,669.40		295.08	
CALL AMZN 01/29/21 3490			AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210129C03490000				
01/08/2021	1.00	2,259.86	01/07/2021	2,200.08	0.00	59.78	Sell to Close
01/13/2021	1.00	2,054.86	01/13/2021	1,950.08	0.00	104.78	Sell to Close
Security Totals:		4,314.72		4,150.16		164.56	
CALL MSFT 01/29/21 232.50			MICROSOFT CORP CUSIP: Symbol(Box 1a): MSFT210129C00232500				
01/25/2021	1.00	377.90	01/22/2021	337.08	0.00	40.82	Sell to Close
01/26/2021	1.00	564.89	01/26/2021	442.08	0.00	122.81	Sell to Close
01/27/2021	1.00	755.89	01/26/2021	540.08	0.00	215.81	Sell to Close
Security Totals:		1,698.68		1,319.24		379.44	

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MQ16480

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL TSLA 05/14/21 700 TESLA INC CUSIP: Symbol(Box 1a): TSLA210514C00700000							
05/10/2021	1.00	276.91	05/10/2021	327.07	0.00	-50.16	Sell to Close
CALL OCGN 05/21/21 12.50 OCGEN INC CUSIP: Symbol(Box 1a): OCGN210521C00012500							
03/30/2021	1.00	49.90	03/30/2021	55.08	0.00	-5.18	Sell to Close
PUT CHWY 02/19/21 65 CHEWY INC CLASS A CUSIP: Symbol(Box 1a): CHWY210219P00065000							
01/15/2021	3.00	17.74	01/07/2021	105.24	0.00	-87.50	Sell to Close
CALL CHGG 05/21/21 95 CHEGG INC CUSIP: Symbol(Box 1a): CHGG210521C00095000							
05/03/2021	1.00	142.91	04/30/2021	310.07	0.00	-167.16	Sell to Close
PUT MSFT 04/30/21 260 MICROSOFT CORP CUSIP: Symbol(Box 1a): MSFT210430P00260000							
04/28/2021	1.00	499.91	04/27/2021	325.07	0.00	174.84	Sell to Close
CALL XL 02/19/21 25 XL FLEET CORPORATION CL A CUSIP: Symbol(Box 1a): XL210219C00025000							
01/29/2021	1.00	119.90	01/26/2021	200.08	80.18 D	0.00	Sell to Close
01/29/2021	1.00	109.90	01/28/2021	185.26	0.00	-75.36	Sell to Close
Security Totals:		229.80		385.34		-75.36	
CALL MSFT 04/30/21 260 MICROSOFT CORP CUSIP: Symbol(Box 1a): MSFT210430C00260000							
04/23/2021	1.00	399.91	04/22/2021	385.07	0.00	14.84	Sell to Close
CALL CRM 05/21/21 200 SALESFORCE.COM INC CUSIP: Symbol(Box 1a): CRM210521C00200000							
03/15/2021	1.00	1,789.90	03/15/2021	1,800.08	0.00	-10.18	Sell to Close
CALL FSLR 02/19/21 120 FIRST SOLAR INC CUSIP: Symbol(Box 1a): FSLR210219C00120000							
01/11/2021	1.00	324.90	01/11/2021	300.08	0.00	24.82	Sell to Close
CALL AAPL 01/29/21 143 APPLE INC CUSIP: Symbol(Box 1a): AAPL210129C00143000							
01/26/2021	1.00	522.89	01/25/2021	450.08	0.00	72.81	Sell to Close

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2021 Form 1099-B*

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01/31/2022

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SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****Description of property | CUSIP | Symbol(Box 1a)**

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
01/26/2021	1.00	491.89	01/26/2021	460.08	0.00	31.81	Sell to Close
Security Totals:		1,014.78		910.16		104.62	
CALL TRIT 03/19/21 10			TRITERRAS INC CL A CUSIP: Symbol(Box 1a): TRIT210319C00010000				
03/09/2021	1.00	14.90	01/27/2021	165.08	0.00	-150.18	Sell to Close
CALL BABA 04/30/21 232.50			ALIBABA GROUP HOLDING LIMITED CUSIP: Symbol(Box 1a): BABA210430C00232500				
04/26/2021	1.00	289.91	04/26/2021	276.07	0.00	13.84	Sell to Close
CALL AAPL 01/29/21 142			APPLE INC CUSIP: Symbol(Box 1a): AAPL210129C00142000				
01/27/2021	1.00	566.89	01/26/2021	530.08	0.00	36.81	Sell to Close
CALL JPM 01/15/21 140			JPMORGAN CHASE & CO CUSIP: Symbol(Box 1a): JPM210115C00140000				
01/11/2021	1.00	113.90	01/11/2021	115.08	0.00	-1.18	Sell to Close
CALL BABA 04/30/21 230			ALIBABA GROUP HOLDING LIMITED CUSIP: Symbol(Box 1a): BABA210430C00230000				
04/12/2021	1.00	1,199.91	04/09/2021	470.07	0.00	729.84	Sell to Close
CALL ZM 01/15/21 365			ZOOM VIDEO COMMUNICATIONS INC CUSIP: Symbol(Box 1a): ZM210115C00365000				
01/13/2021	1.00	549.89	01/13/2021	471.27	0.00	78.62	Sell to Close
01/13/2021	1.00	533.89	01/13/2021	630.08	96.19 D	0.00	Sell to Close
Security Totals:		1,083.78		1,101.35		78.62	
PUT GOOGL 04/30/21 2200			ALPHABET INC CLASS A CUSIP: Symbol(Box 1a): GOOGL210430P02200000				
04/28/2021	1.00	99.91	04/27/2021	1,000.07	0.00	-900.16	Sell to Close
CALL WFC 01/08/21 33			WELLS FARGO & CO CUSIP: Symbol(Box 1a): WFC210108C00033000				
01/06/2021	2.00	79.82	01/06/2021	50.16	0.00	29.66	Sell to Close
01/06/2021	2.00	61.82	01/06/2021	50.16	0.00	11.66	Sell to Close
Security Totals:		141.64		100.32		41.32	

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MQ16480

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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Description of property | CUSIP | Symbol(Box 1a)

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CALL CVX 02/26/21 97	CHEVRON CORPORATION CUSIP: Symbol(Box 1a): CVX210226C00097000						
02/18/2021	1.00	102.90	02/17/2021	150.08	0.00	-47.18	Sell to Close
CALL DKNG 01/29/21 55.50	DRAFTKINGS INC CL A CUSIP: Symbol(Box 1a): DKNG210129C00055500						
01/28/2021	1.00	155.90	01/28/2021	119.08	0.00	36.82	Sell to Close
CALL TSLA 01/15/21 890	TESLA INC CUSIP: Symbol(Box 1a): TSLA210115C00890000						
01/15/2021	1.00	0.00	01/15/2021	220.08	0.00	-220.08	Expiration
CALL SPY 01/25/21 377	STANDARD & POORS DEPOSITORY CUSIP: Symbol(Box 1a): SPY210125C00377000						
01/07/2021	1.00	569.89	01/07/2021	520.08	0.00	49.81	Sell to Close
PUT AMZN 02/05/21 3235	AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210205P03235000						
02/02/2021	1.00	2,249.86	02/02/2021	2,350.08	0.00	-100.22	Sell to Close
PUT AMZN 01/29/21 3180	AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210129P03180000						
01/29/2021	1.00	309.90	01/29/2021	300.08	0.00	9.82	Sell to Close
CALL TSLA 04/23/21 800	TESLA INC CUSIP: Symbol(Box 1a): TSLA210423C00800000						
04/22/2021	1.00	159.91	04/21/2021	98.07	0.00	61.84	Sell to Close
PUT TSLA 05/07/21 640	TESLA INC CUSIP: Symbol(Box 1a): TSLA210507P00640000						
05/05/2021	1.00	359.91	05/05/2021	413.07	0.00	-53.16	Sell to Close
CALL BA 03/19/21 220	BOEING CO CUSIP: Symbol(Box 1a): BA210319C00220000						
01/25/2021	1.00	771.89	01/25/2021	785.08	0.00	-13.19	Sell to Close
PUT AMZN 01/29/21 3170	AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210129P03170000						
01/29/2021	1.00	304.90	01/29/2021	291.08	0.00	13.82	Sell to Close
CALL BA 04/16/21 257.50	BOEING CO CUSIP: Symbol(Box 1a): BA210416C00257500						
04/09/2021	1.00	211.91	04/06/2021	530.07	318.16 D	0.00	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MQ16480

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL BA 04/16/21 257.50 BOEING CO CUSIP: Symbol(Box 1a): BA210416C00257500							
04/12/2021	1.00	106.91	04/09/2021	538.23	431.32 D	0.00	Sell to Close
04/13/2021	1.00	239.91	04/12/2021	566.39	0.00	-326.48	Sell to Close
Security Totals:		558.73		1,634.69		-326.48	
PUT CVX 02/05/21 85 CHEVRON CORPORATION CUSIP: Symbol(Box 1a): CVX210205P00085000							
01/29/2021	1.00	109.90	01/29/2021	160.08	50.18 D	0.00	Sell to Close
01/29/2021	1.00	189.90	01/29/2021	174.26	0.00	15.64	Sell to Close
Security Totals:		299.80		334.34		15.64	
CALL AAPL 01/29/21 145 APPLE INC CUSIP: Symbol(Box 1a): AAPL210129C00145000							
01/27/2021	1.00	399.90	01/26/2021	415.08	15.18 D	0.00	Sell to Close
01/27/2021	1.00	450.90	01/27/2021	407.26	0.00	43.64	Sell to Close
Security Totals:		850.80		822.34		43.64	
CALL NFLX 04/23/21 510 NETFLIX INC CUSIP: Symbol(Box 1a): NFLX210423C00510000							
04/22/2021	1.00	649.91	04/21/2021	470.07	0.00	179.84	Sell to Close
CALL AMZN 03/19/21 3200 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210319C03200000							
03/12/2021	1.00	1,189.90	03/11/2021	2,100.08	910.18 D	0.00	Sell to Close
03/12/2021	1.00	1,174.90	03/12/2021	2,010.26	0.00	-835.36	Sell to Close
Security Totals:		2,364.80		4,110.34		-835.36	
PUT SPY 01/29/21 370 STANDARD & POORS DEPOSITORY CUSIP: Symbol(Box 1a): SPY210129P00370000							
01/29/2021	1.00	164.90	01/29/2021	135.08	0.00	29.82	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MQ16480

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****Description of property | CUSIP | Symbol(Box 1a)**

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL AMD 01/08/21 95							
ADVANCED MICRO DEVICES INC CUSIP: Symbol(Box 1a): AMD210108C00095000							
01/07/2021	1.00	78.90	01/07/2021	60.08	0.00	18.82	Sell to Close
CALL NFLX 01/08/21 510							
NETFLIX INC CUSIP: Symbol(Box 1a): NFLX210108C00510000							
01/06/2021	1.00	414.90	01/06/2021	400.08	0.00	14.82	Sell to Close
CALL NFLX 04/23/21 505							
NETFLIX INC CUSIP: Symbol(Box 1a): NFLX210423C00505000							
04/22/2021	1.00	319.91	04/22/2021	250.07	0.00	69.84	Sell to Close
CALL AMD 04/01/21 76							
ADVANCED MICRO DEVICES INC CUSIP: Symbol(Box 1a): AMD210401C00076000							
03/30/2021	1.00	80.90	03/30/2021	80.08	0.00	0.82	Sell to Close
CALL BABA 01/29/21 240							
ALIBABA GROUP HOLDING LIMITED CUSIP: Symbol(Box 1a): BABA210129C00240000							
01/14/2021	1.00	1,099.88	01/13/2021	850.08	0.00	249.80	Sell to Close
CALL SBUX 03/26/21 110							
STARBUCKS CORPORATION CUSIP: Symbol(Box 1a): SBUX210326C00110000							
03/19/2021	1.00	79.90	03/19/2021	56.26	0.00	23.64	Sell to Close
03/19/2021	1.00	59.90	03/19/2021	69.08	9.18 D	0.00	Sell to Close
Security Totals:		139.80		125.34		23.64	
CALL AAPL 01/29/21 140							
APPLE INC CUSIP: Symbol(Box 1a): AAPL210129C00140000							
01/25/2021	1.00	504.89	01/25/2021	450.08	0.00	54.81	Sell to Close
CALL TIGR 04/16/21 30							
UP FINTECH HOLDINGS LIMITED CUSIP: Symbol(Box 1a): TIGR210416C00030000							
03/15/2021	1.00	219.90	03/15/2021	225.08	0.00	-5.18	Sell to Close
CALL PLTR 02/05/21 36							
PALANTIR TECHNOLOGIES INC CL A CUSIP: Symbol(Box 1a): PLTR210205C00036000							
01/29/2021	1.00	334.90	01/28/2021	350.08	0.00	-15.18	Sell to Close
CALL BYND 01/29/21 136							
BEYOND MEAT INC CUSIP: Symbol(Box 1a): BYND210129C00136000							
01/22/2021	1.00	419.90	01/22/2021	404.08	0.00	15.82	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MQ16480

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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CALL PLTR 01/29/21 39 PALANTIR TECHNOLOGIES INC CL A CUSIP: Symbol(Box 1a): PLTR210129C00039000							
01/27/2021	1.00	188.90	01/27/2021	190.08	0.00	-1.18	Sell to Close
CALL TSLA 03/26/21 680 TESLA INC CUSIP: Symbol(Box 1a): TSLA210326C00680000							
03/23/2021	1.00	1,199.90	03/23/2021	1,100.08	0.00	99.82	Sell to Close
CALL AAPL 04/30/21 134 APPLE INC CUSIP: Symbol(Box 1a): AAPL210430C00134000							
04/22/2021	1.00	329.91	04/21/2021	320.07	0.00	9.84	Sell to Close
CALL CRWD 04/01/21 180 CROWDSTRIKE HOLDINGS INC CL A CUSIP: Symbol(Box 1a): CRWD210401C00180000							
03/30/2021	1.00	149.90	03/30/2021	150.08	0.00	-0.18	Sell to Close
CALL AAPL 04/30/21 133 APPLE INC CUSIP: Symbol(Box 1a): AAPL210430C00133000							
04/23/2021	1.00	304.91	04/22/2021	290.07	0.00	14.84	Sell to Close
CALL TSLA 05/14/21 750 TESLA INC CUSIP: Symbol(Box 1a): TSLA210514C00750000							
05/06/2021	1.00	240.91	05/06/2021	276.07	0.00	-35.16	Sell to Close
CALL CHWY 04/01/21 80 CHEWY INC CLASS A CUSIP: Symbol(Box 1a): CHWY210401C00080000							
03/31/2021	1.00	1,124.90	03/30/2021	330.08	0.00	794.82	Sell to Close
CALL DBX 04/16/21 30 DROPBOX INC CUSIP: Symbol(Box 1a): DBX210416C00030000							
01/13/2021	1.00	94.90	01/12/2021	103.08	8.18 D	0.00	Sell to Close
01/25/2021	1.00	72.90	01/14/2021	98.26	25.36 D	0.00	Sell to Close
01/26/2021	1.00	68.90	01/14/2021	90.08	0.00	-21.18	Sell to Close
02/01/2021	1.00	85.90	01/14/2021	114.44	0.00	-28.54	Sell to Close
Security Totals:		322.60		405.86		-49.72	

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2021 Form 1099-B*

5MQ16480

(continued)

01/31/2022

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SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

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PUT AMZN 04/01/21 3045 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210401P03045000							
03/29/2021	1.00	1,999.89	03/29/2021	2,900.08	900.19 D	0.00	Sell to Close
03/30/2021	1.00	2,649.89	03/30/2021	3,200.46	550.57 D	0.00	Sell to Close
03/30/2021	1.00	2,199.89	03/29/2021	2,900.27	700.38 D	0.00	Sell to Close
03/30/2021	1.00	1,999.89	03/30/2021	2,400.84	0.00	-400.95	Sell to Close
03/30/2021	1.00	2,449.89	03/30/2021	2,850.65	400.76 D	0.00	Sell to Close
Security Totals:		11,299.45		14,252.30		-400.95	
CALL BA 01/29/21 230 BOEING CO CUSIP: Symbol(Box 1a): BA210129C00230000							
01/20/2021	1.00	170.90	01/20/2021	176.08	0.00	-5.18	Sell to Close
CALL CCL 01/22/21 21 CARNIVAL CORP CUSIP: Symbol(Box 1a): CCL210122C00021000							
01/20/2021	2.00	43.82	01/20/2021	52.16	0.00	-8.34	Sell to Close
01/21/2021	2.00	39.82	01/20/2021	52.16	0.00	-12.34	Sell to Close
Security Totals:		83.64		104.32		-20.68	
PUT AMZN 04/01/21 3040 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210401P03040000							
03/30/2021	1.00	2,099.89	03/30/2021	2,300.08	200.19 D	0.00	Sell to Close
03/30/2021	1.00	2,749.89	03/30/2021	2,600.08	0.00	149.81	Sell to Close
03/30/2021	1.00	2,799.89	03/30/2021	2,700.08	0.00	99.81	Sell to Close
03/30/2021	1.00	2,244.89	03/30/2021	1,950.27	0.00	294.62	Sell to Close
Security Totals:		9,894.56		9,550.51		544.24	

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2021 Form 1099-B*

5MQ16480

(continued)

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SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

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CALL SQQQ 01/15/21 16 PROSHARES ULTRAPRO SHORT QQQ CUSIP: Symbol(Box 1a): SQQQ210115C00016000							
01/04/2021	1.00	102.90	12/28/2020	80.08	0.00	22.82	Sell to Close
01/06/2021	1.00	80.90	12/28/2020	80.08	0.00	0.82	Sell to Close
Security Totals:		183.80		160.16		23.64	
PUT QQQ 01/15/21 309 INVESCO QQQ TRUST SERIES 1 CUSIP: Symbol(Box 1a): QQQ210115P00309000							
01/15/2021	1.00	0.00	01/07/2021	250.08	0.00	-250.08	Expiration
PUT AMZN 04/16/21 3300 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210416P03300000							
04/12/2021	1.00	1,399.91	04/12/2021	1,400.07	0.00	-0.16	Sell to Close
04/12/2021	1.00	1,499.91	04/12/2021	1,400.07	0.00	99.84	Sell to Close
Security Totals:		2,899.82		2,800.14		99.68	
CALL NFLX 01/15/21 520 NETFLIX INC CUSIP: Symbol(Box 1a): NFLX210115C00520000							
01/11/2021	1.00	394.90	01/11/2021	400.08	5.18 D	0.00	Sell to Close
01/13/2021	1.00	209.90	01/13/2021	195.26	0.00	14.64	Sell to Close
Security Totals:		604.80		595.34		14.64	
CALL TLRX 03/19/21 30 TILRAY INC CUSIP: Symbol(Box 1a): TLRX210319C00030000							
03/12/2021	1.00	260.90	03/12/2021	260.08	0.00	0.82	Sell to Close
CALL PLTR 01/29/21 33 PALANTIR TECHNOLOGIES INC CL A CUSIP: Symbol(Box 1a): PLTR210129C00033000							
01/25/2021	1.00	396.90	01/22/2021	210.08	0.00	186.82	Sell to Close
CALL TSLA 02/19/21 790 TESLA INC CUSIP: Symbol(Box 1a): TSLA210219C00790000							
02/17/2021	1.00	1,249.88	02/17/2021	1,500.08	0.00	-250.20	Sell to Close
CALL BABA 04/23/21 235 ALIBABA GROUP HOLDING LIMITED CUSIP: Symbol(Box 1a): BABA210423C00235000							
04/19/2021	1.00	274.91	04/19/2021	275.07	0.00	-0.16	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MQ16480

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
PUT TSLA 02/19/21 770 TESLA INC CUSIP: Symbol(Box 1a): TSLA210219P00770000							
02/17/2021	1.00	1,765.87	02/17/2021	1,645.08	0.00	120.79	Sell to Close
CALL V 01/22/21 215 VISA INC CUSIP: Symbol(Box 1a): V210122C00215000							
01/20/2021	1.00	9.90	01/13/2021	149.08	139.18 D	0.00	Sell to Close
01/22/2021	1.00	0.00	01/14/2021	223.26	0.00	-223.26	Expiration
Security Totals:		9.90		372.34		-223.26	
CALL ORCL 03/19/21 68 ORACLE CORP CUSIP: Symbol(Box 1a): ORCL210319C00068000							
03/16/2021	1.00	29.90	03/16/2021	35.08	0.00	-5.18	Sell to Close
03/16/2021	1.00	29.90	03/16/2021	35.08	0.00	-5.18	Sell to Close
Security Totals:		59.80		70.16		-10.36	
CALL XL 03/19/21 25 XL FLEET CORPORATION CL A CUSIP: Symbol(Box 1a): XL210319C00025000							
01/29/2021	1.00	244.90	01/28/2021	230.08	0.00	14.82	Sell to Close
CALL ED 02/19/21 75 CONSOLIDATED EDISON INC CUSIP: Symbol(Box 1a): ED210219C00075000							
01/27/2021	1.00	89.90	01/27/2021	90.08	0.00	-0.18	Sell to Close
CALL BABA 04/01/21 230 ALIBABA GROUP HOLDING LIMITED CUSIP: Symbol(Box 1a): BABA210401C00230000							
03/29/2021	1.00	369.90	03/29/2021	380.08	0.00	-10.18	Sell to Close
CALL TSLA 01/15/21 855 TESLA INC CUSIP: Symbol(Box 1a): TSLA210115C00855000							
01/14/2021	1.00	1,146.88	01/14/2021	1,100.08	0.00	46.80	Sell to Close
CALL MSFT 11/05/21 315 MICROSOFT CORP CUSIP: Symbol(Box 1a): MSFT211105C00315000							
10/27/2021	1.00	508.94	10/26/2021	290.04	0.00	218.90	Sell to Close
CALL NIO 02/26/21 70 NIO INC ADS CUSIP: Symbol(Box 1a): NIO210226C00070000							
02/02/2021	1.00	189.90	02/01/2021	200.08	0.00	-10.18	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MQ16480

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL DIS 03/19/21	200	WALT DISNEY CO CUSIP: Symbol(Box 1a): DIS210319C00200000					
03/09/2021	1.00	277.90	03/09/2021	360.08	0.00	-82.18	Sell to Close
CALL INTC 01/15/21	54	INTEL CORP CUSIP: Symbol(Box 1a): INTC210115C00054000					
01/08/2021	1.00	32.90	01/07/2021	47.08	0.00	-14.18	Sell to Close
PUT ORCL 03/19/21	68	ORACLE CORP CUSIP: Symbol(Box 1a): ORCL210319P00068000					
03/16/2021	1.00	125.90	03/16/2021	130.08	0.00	-4.18	Sell to Close
CALL AMZN 02/19/21	3260	AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210219C03260000					
02/12/2021	1.00	3,299.84	02/12/2021	3,200.08	0.00	99.76	Sell to Close
PUT SPY 01/15/21	375	STANDARD & POORS DEPOSITORY CUSIP: Symbol(Box 1a): SPY210115P00375000					
01/11/2021	1.00	200.90	01/11/2021	199.08	0.00	1.82	Sell to Close
CALL BB 02/19/21	25	BLACKBERRY LIMITED CUSIP: Symbol(Box 1a): BB210219C00025000					
01/26/2021	1.00	359.90	01/26/2021	337.08	0.00	22.82	Sell to Close
CALL VXX 01/29/21	20	IPATH SER B S&P 500 VIX SHORT CUSIP: Symbol(Box 1a): VXX210129C00020000					
01/06/2021	1.00	144.90	01/06/2021	115.08	0.00	29.82	Sell to Close
CALL CMG 04/16/21	1550	CHIPOTLE MEXICAN GRILL INC CUSIP: Symbol(Box 1a): CMG210416C01550000					
04/15/2021	1.00	529.91	04/15/2021	550.07	0.00	-20.16	Sell to Close
CALL VXX 01/29/21	19.50	IPATH SER B S&P 500 VIX SHORT CUSIP: Symbol(Box 1a): VXX210129C00019500					
01/29/2021	1.00	94.90	01/28/2021	55.08	0.00	39.82	Sell to Close
CALL INTC 02/19/21	55	INTEL CORP CUSIP: Symbol(Box 1a): INTC210219C00055000					
01/25/2021	1.00	179.90	01/25/2021	160.08	0.00	19.82	Sell to Close
CALL TSLA 03/12/21	630	TESLA INC CUSIP: Symbol(Box 1a): TSLA210312C00630000					
03/08/2021	1.00	574.90	03/08/2021	500.08	0.00	74.82	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

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(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
PUT SPY 01/29/21 380 STANDARD & POORS DEPOSITORY CUSIP: Symbol(Box 1a): SPY210129P00380000							
01/28/2021	1.00	158.90	01/28/2021	222.08	0.00	-63.18	Sell to Close
CALL AMZN 04/23/21 3460 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210423C03460000							
04/20/2021	2.00	809.82	Various	1,800.14	595.16 D	-395.16	Sell to Close
04/20/2021	1.00	399.91	04/20/2021	1,189.23	0.00	-789.32	Sell to Close
Security Totals:		1,209.73		2,989.37		-1,184.48	
PUT AMZN 04/01/21 3120 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210401P03120000							
04/01/2021	3.00	0.00	Various	740.21	0.00	-740.21	Expiration
CALL RIOT 03/12/21 63 RIOT BLOCKCHAIN INC CUSIP: Symbol(Box 1a): RIOT210312C00063000							
03/11/2021	1.00	179.90	03/11/2021	186.08	0.00	-6.18	Sell to Close
PUT AMZN 04/01/21 3130 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210401P03130000							
04/01/2021	1.00	1,499.91	04/01/2021	1,000.07	0.00	499.84	Sell to Close
04/01/2021	1.00	1,249.91	04/01/2021	1,153.07	0.00	96.84	Sell to Close
Security Totals:		2,749.82		2,153.14		596.68	
CALL ZM 03/05/21 425 ZOOM VIDEO COMMUNICATIONS INC CUSIP: Symbol(Box 1a): ZM210305C00425000							
02/18/2021	1.00	3,099.84	02/17/2021	3,000.08	0.00	99.76	Sell to Close
***ZOMEDICA CORP COM CUSIP: 98980M109 Symbol(Box 1a): ZOM							
01/25/2021	500.00	510.47	01/25/2021	580.00	0.00	-69.53	Sale
02/02/2021	250.00	277.46	01/25/2021	290.00	0.00	-12.54	Sale
02/02/2021	250.00	274.96	01/25/2021	290.00	0.00	-15.04	Sale
Security Totals:		1,062.89		1,160.00		-97.11	

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

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01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL TDOC 01/29/21 265 TELADOC HEALTH INC CUSIP: Symbol(Box 1a): TDOC210129C00265000							
01/22/2021	1.00	499.89	01/22/2021	465.08	0.00	34.81	Sell to Close
***YALLA GROUP LIMITED AMERICAN DEPOSITARY SHARES ECH REPSTG ONE CL A ORDINARY SHARE CUSIP: 98459U103 Symbol(Box 1a): YALA							
01/25/2021	50.00	964.96	01/20/2021	890.00	0.00	74.96	Sale
CALL IPOE 01/15/21 20 SOCIAL CPTL HEDOSOPHIA HLDNGS CUSIP: Symbol(Box 1a): IPOE210115C00020000							
01/11/2021	1.00	109.90	01/11/2021	110.08	0.00	-0.18	Sell to Close
CALL AMZN 04/23/21 3450 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210423C03450000							
04/19/2021	1.00	3,299.90	04/19/2021	3,000.07	0.00	299.83	Sell to Close
04/19/2021	1.00	3,199.90	04/16/2021	2,150.07	0.00	1,049.83	Sell to Close
04/19/2021	1.00	1,149.91	04/19/2021	2,700.07	1550.16 D	0.00	Sell to Close
04/19/2021	1.00	3,049.90	04/19/2021	3,000.07	0.00	49.83	Sell to Close
04/20/2021	1.00	1,199.91	04/19/2021	3,850.39	2650.48 D	0.00	Sell to Close
04/20/2021	2.00	2,599.81	Various	5,350.30	2750.49 D	0.00	Sell to Close
04/20/2021	2.00	2,599.81	Various	5,150.79	0.00	-2,550.98	Sell to Close
Security Totals:		17,099.14		25,201.76		-1,151.49	
PUT AMZN 04/01/21 3100 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210401P03100000							
03/31/2021	1.00	1,649.90	03/31/2021	1,500.08	0.00	149.82	Sell to Close
03/31/2021	1.00	1,849.90	03/31/2021	1,700.08	0.00	149.82	Sell to Close
03/31/2021	1.00	1,749.90	03/31/2021	1,600.08	0.00	149.82	Sell to Close
03/31/2021	3.00	3,899.73	Various	3,885.24	0.00	14.49	Sell to Close
Security Totals:		9,149.43		8,685.48		463.95	

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Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MQ16480

(continued)

01/31/2022

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL ORGO 02/19/21 12.50 ORGANOGENESIS HOLDINGS INC CUSIP: Symbol(Box 1a): ORGO210219C00012500							
02/01/2021	1.00	54.90	01/20/2021	90.08	0.00	-35.18	Sell to Close
CALL BABA 01/29/21 245 ALIBABA GROUP HOLDING LIMITED CUSIP: Symbol(Box 1a): BABA210129C00245000							
01/08/2021	1.00	684.89	01/08/2021	660.08	0.00	24.81	Sell to Close
CALL WFC 01/15/21 35 WELLS FARGO & CO CUSIP: Symbol(Box 1a): WFC210115C00035000							
01/07/2021	3.00	152.74	Various	194.28	42.36 D	0.82	Sell to Close
01/07/2021	2.00	123.82	08/20/2020	74.18	0.00	49.64	Sell to Close
01/12/2021	2.00	99.82	Various	82.35	0.00	17.47	Sell to Close
01/13/2021	3.00	134.74	Various	115.42	1.35 D	20.67	Sell to Close
01/14/2021	1.00	54.90	01/13/2021	35.08	0.00	19.82	Sell to Close
01/14/2021	2.00	101.82	Various	80.51	0.00	21.31	Sell to Close
01/15/2021	1.00	0.00	01/13/2021	35.08	0.00	-35.08	Expiration
Security Totals:		667.84		616.90		94.65	
CALL BABA 01/29/21 250 ALIBABA GROUP HOLDING LIMITED CUSIP: Symbol(Box 1a): BABA210129C00250000							
01/20/2021	1.00	1,899.86	01/15/2021	500.08	0.00	1,399.78	Sell to Close
CALL RKT 02/12/21 26 ROCKET COMPANIES INC CL A CUSIP: Symbol(Box 1a): RKT210212C00026000							
01/27/2021	1.00	167.90	01/27/2021	143.08	0.00	24.82	Sell to Close
PUT BIDU 03/12/21 240 BAIDU INC CUSIP: Symbol(Box 1a): BIDU210312P00240000							
03/10/2021	1.00	254.90	03/10/2021	345.08	0.00	-90.18	Sell to Close
CALL NVDA 03/19/21 600 NVIDIA CORP CUSIP: Symbol(Box 1a): NVDA210319C00600000							
02/24/2021	1.00	1,604.90	02/23/2021	1,630.08	0.00	-25.18	Sell to Close

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Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MQ16480

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
Description of property CUSIP Symbol(Box 1a): NVDA210326P00480000							
03/26/21	480						
03/25/2021	1.00	174.90	03/25/2021	190.08	0.00	-15.18	Sell to Close
Description of property CUSIP Symbol(Box 1a): AMZN210115C03250000							
01/15/21	3250						
01/11/2021	1.00	1,299.88	01/11/2021	1,200.08	0.00	99.80	Sell to Close
01/13/2021	1.00	809.89	01/13/2021	800.08	0.00	9.81	Sell to Close
Security Totals:		2,109.77		2,000.16		109.61	
Description of property CUSIP Symbol(Box 1a): AMZN210507P03450000							
05/07/21	3450						
05/03/2021	1.00	3,599.90	05/03/2021	3,500.07	0.00	99.83	Sell to Close
Description of property CUSIP Symbol(Box 1a): TSLA210507C00700000							
05/07/21	700						
05/03/2021	1.00	1,083.91	05/03/2021	1,100.07	0.00	-16.16	Sell to Close
Description of property CUSIP Symbol(Box 1a): AMZN210507C03500000							
05/07/21	3500						
05/03/2021	1.00	3,626.90	04/30/2021	3,300.07	0.00	326.83	Sell to Close
Description of property CUSIP Symbol(Box 1a): AYRO210319C00010000							
03/19/21	10						
02/10/2021	1.00	119.90	02/01/2021	113.08	0.00	6.82	Sell to Close
Description of property CUSIP Symbol(Box 1a): AMZN210326P03030000							
03/26/21	3030						
03/26/2021	1.00	799.90	03/26/2021	900.08	100.18 D	0.00	Sell to Close
03/26/2021	1.00	799.90	03/26/2021	700.26	0.00	99.64	Sell to Close
Security Totals:		1,599.80		1,600.34		99.64	
Description of property CUSIP Symbol(Box 1a): NFLX210326C00530000							
03/26/21	530						
03/22/2021	1.00	409.90	03/22/2021	365.08	0.00	44.82	Sell to Close
Description of property CUSIP Symbol(Box 1a): AMZN210326P03040000							
03/26/21	3040						
03/25/2021	1.00	1,649.90	03/25/2021	1,550.08	0.00	99.82	Sell to Close

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APEX CLEARING

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2021 Form 1099-B*

5MQ16480

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL ZM 01/22/21 390 ZOOM VIDEO COMMUNICATIONS INC CUSIP: Symbol(Box 1a): ZM210122C00390000							
01/13/2021	1.00	1,029.88	01/13/2021	1,050.08	0.00	-20.20	Sell to Close
PUT SNOW 01/08/21 282.50 SNOWFLAKE INC CLASS A CUSIP: Symbol(Box 1a): SNOW210108P00282500							
01/07/2021	1.00	469.89	01/07/2021	360.08	0.00	109.81	Sell to Close
CALL AMD 04/23/21 85 ADVANCED MICRO DEVICES INC CUSIP: Symbol(Box 1a): AMD210423C00085000							
04/16/2021	1.00	69.91	04/16/2021	71.08	0.00	-1.17	Sell to Close
04/16/2021	1.00	68.91	04/16/2021	71.08	0.00	-2.17	Sell to Close
Security Totals:		138.82		142.16		-3.34	
CALL AMZN 04/23/21 3400 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210423C03400000							
04/14/2021	1.00	2,599.90	04/14/2021	2,400.07	0.00	199.83	Sell to Close
04/14/2021	1.00	2,414.90	04/14/2021	2,400.07	0.00	14.83	Sell to Close
04/16/2021	1.00	3,099.90	04/16/2021	3,000.07	0.00	99.83	Sell to Close
04/20/2021	1.00	1,149.91	04/20/2021	2,610.87	1460.96 D	0.00	Sell to Close
04/20/2021	1.00	1,009.91	04/20/2021	2,620.55	1610.64 D	0.00	Sell to Close
04/20/2021	1.00	999.91	04/20/2021	2,610.71	1610.8 D	0.00	Sell to Close
04/20/2021	1.00	1,149.91	04/20/2021	2,750.39	1600.48 D	0.00	Sell to Close
04/20/2021	1.00	2,399.90	04/20/2021	2,300.07	0.00	99.83	Sell to Close
04/20/2021	1.00	1,149.91	04/20/2021	2,100.07	950.16 D	0.00	Sell to Close
04/20/2021	1.00	1,049.91	04/20/2021	2,700.23	1650.32 D	0.00	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MQ16480

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****Description of property | CUSIP | Symbol(Box 1a)**

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL AMZN 04/23/21 3400 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210423C03400000							
04/21/2021	1.00	699.91	04/20/2021	2,461.03	1761.12 D	0.00	Sell to Close
04/22/2021	1.00	309.91	04/22/2021	2,161.19	0.00	-1,851.28	Sell to Close
Security Totals:		18,033.88		30,115.32		-1,436.96	
PUT NFLX 04/23/21 540 NETFLIX INC CUSIP: Symbol(Box 1a): NFLX210423P00540000							
04/21/2021	1.00	3,499.90	04/20/2021	1,320.07	0.00	2,179.83	Sell to Close
CALL DIS 02/26/21 205 WALT DISNEY CO CUSIP: Symbol(Box 1a): DIS210226C00205000							
02/02/2021	1.00	109.90	02/01/2021	89.08	0.00	20.82	Sell to Close
CALL AMZN 05/07/21 3350 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210507C03350000							
05/04/2021	1.00	1,699.91	05/04/2021	1,900.07	0.00	-200.16	Sell to Close
PUT CHGG 05/21/21 80 CHEGG INC CUSIP: Symbol(Box 1a): CHGG210521P00080000							
05/04/2021	1.00	199.91	05/03/2021	300.07	0.00	-100.16	Sell to Close
PUT AMZN 05/07/21 3400 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210507P03400000							
05/03/2021	1.00	3,599.90	05/03/2021	3,450.07	0.00	149.83	Sell to Close
CALL ENPH 01/15/21 190 ENPHASE ENERGY INC CUSIP: Symbol(Box 1a): ENPH210115C00190000							
01/05/2021	1.00	819.89	12/31/2020	705.27	0.00	114.62	Sell to Close
01/05/2021	1.00	619.89	12/31/2020	550.08	0.00	69.81	Sell to Close
01/05/2021	1.00	774.89	12/31/2020	830.08	55.19 D	0.00	Sell to Close
01/06/2021	1.00	1,449.87	01/05/2021	575.08	0.00	874.79	Sell to Close
01/06/2021	1.00	1,359.87	01/05/2021	620.08	0.00	739.79	Sell to Close
Security Totals:		5,024.41		3,280.59		1,799.01	

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

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01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL CBAT 03/19/21 10 CBAK ENERGY TECHNOLOGY INC CUSIP: Symbol(Box 1a): CBAT210319C00010000							
02/18/2021	1.00	129.90	02/18/2021	120.08	0.00	9.82	Sell to Close
***BRASILAGRO BRAZILIAN AGRIC REAL ESTATE CO SPONSORED ADR CUSIP: 10554B104 Symbol(Box 1a): LND							
01/25/2021	100.00	505.97	Various	515.50	0.00	-9.53	Sale
CALL WKHS 01/08/21 23 WORKHORSE GROUP INC CUSIP: Symbol(Box 1a): WKHS210108C00023000							
01/05/2021	1.00	49.90	01/05/2021	59.08	0.00	-9.18	Sell to Close
01/05/2021	1.00	50.90	01/05/2021	59.08	8.18 D	0.00	Sell to Close
01/05/2021	1.00	55.90	01/05/2021	57.26	0.00	-1.36	Sell to Close
Security Totals:		156.70		175.42		-10.54	
CALL CHWY 03/19/21 130 CHEWY INC CLASS A CUSIP: Symbol(Box 1a): CHWY210319C00130000							
02/03/2021	1.00	220.90	02/02/2021	210.08	0.00	10.82	Sell to Close
CALL CAT 02/19/21 190 CATERPILLAR INC CUSIP: Symbol(Box 1a): CAT210219C00190000							
01/29/2021	1.00	309.90	01/28/2021	475.08	0.00	-165.18	Sell to Close
CALL ZM 01/15/21 390 ZOOM VIDEO COMMUNICATIONS INC CUSIP: Symbol(Box 1a): ZM210115C00390000							
01/13/2021	1.00	409.90	12/24/2020	1,600.08	0.00	-1,190.18	Sell to Close
CALL BABA 04/23/21 232.50 ALIBABA GROUP HOLDING LIMITED CUSIP: Symbol(Box 1a): BABA210423C00232500							
04/23/2021	1.00	91.91	04/22/2021	200.07	108.16 D	0.00	Sell to Close
04/23/2021	1.00	89.91	04/22/2021	168.23	0.00	-78.32	Sell to Close
Security Totals:		181.82		368.30		-78.32	
CALL ZI 02/19/21 50 ZOOMINFO TECHNOLOGIES INC CUSIP: Symbol(Box 1a): ZI210219C00050000							
01/07/2021	1.00	179.90	01/07/2021	165.08	0.00	14.82	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

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(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL AMZN 04/23/21	3370	AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210423C03370000					
04/23/2021	1.00	599.91	04/23/2021	600.07	0.00	-0.16	Sell to Close
CALL SNAP 01/22/21	55	SNAP INC CUSIP: Symbol(Box 1a): SNAP210122C00055000					
01/14/2021	1.00	45.90	01/14/2021	50.08	0.00	-4.18	Sell to Close
CALL UUP 03/19/21	24	INVESCO DB US DLR INDX BULLISH CUSIP: Symbol(Box 1a): UUP210319C00024000					
01/27/2021	1.00	57.90	01/27/2021	60.08	0.00	-2.18	Sell to Close
CALL AMZN 04/23/21	3350	AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210423C03350000					
04/23/2021	1.00	799.91	04/23/2021	700.07	0.00	99.84	Sell to Close
CALL COST 01/29/21	375	COSTCO WHOLESALE CORPORATION CUSIP: Symbol(Box 1a): COST210129C00375000					
01/21/2021	1.00	84.90	01/21/2021	92.08	0.00	-7.18	Sell to Close
CALL ZM 01/29/21	400	ZOOM VIDEO COMMUNICATIONS INC CUSIP: Symbol(Box 1a): ZM210129C00400000					
01/21/2021	1.00	629.89	01/21/2021	550.08	0.00	79.81	Sell to Close
01/25/2021	1.00	769.89	01/22/2021	574.08	0.00	195.81	Sell to Close
Security Totals:		1,399.78		1,124.16		275.62	
PUT BA 02/05/21	195	BOEING CO CUSIP: Symbol(Box 1a): BA210205P00195000					
02/02/2021	1.00	100.90	02/02/2021	135.08	0.00	-34.18	Sell to Close
CALL AAL 01/29/21	15.50	AMERICAN AIRLINES GROUP INC CUSIP: Symbol(Box 1a): AAL210129C00015500					
01/26/2021	1.00	44.90	01/26/2021	45.08	0.00	-0.18	Sell to Close
01/27/2021	1.00	129.90	01/26/2021	45.08	0.00	84.82	Sell to Close
01/27/2021	1.00	170.90	01/26/2021	45.08	0.00	125.82	Sell to Close
Security Totals:		345.70		135.24		210.46	

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

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01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL TIGR 02/19/21 10 UP FINTECH HOLDINGS LIMITED CUSIP: Symbol(Box 1a): TIGR210219C00010000							
01/05/2021	1.00	134.90	01/04/2021	145.08	10.18 D	0.00	Sell to Close
01/07/2021	1.00	149.90	01/06/2021	135.08	0.00	14.82	Sell to Close
01/07/2021	1.00	138.90	01/06/2021	145.26	0.00	-6.36	Sell to Close
Security Totals:		423.70		425.42		8.46	
PUT AMZN 02/19/21 3250 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210219P03250000							
02/08/2021	1.00	2,199.86	02/04/2021	5,895.08	0.00	-3,695.22	Sell to Close
CALL GE 01/15/21 11 GENERAL ELECTRIC CO CUSIP: Symbol(Box 1a): GE210115C00011000							
01/07/2021	1.00	55.90	07/30/2020	10.09	0.00	45.81	Sell to Close
CALL GSX 04/16/21 30 GSX TECHEDU INC ADS CUSIP: Symbol(Box 1a): GSX210416C00030000							
04/09/2021	1.00	134.91	04/08/2021	215.07	80.16 D	0.00	Sell to Close
04/13/2021	1.00	43.91	04/09/2021	190.23	0.00	-146.32	Sell to Close
Security Totals:		178.82		405.30		-146.32	
CALL BABA 01/29/21 265 ALIBABA GROUP HOLDING LIMITED CUSIP: Symbol(Box 1a): BABA210129C00265000							
01/21/2021	1.00	414.90	01/21/2021	430.08	0.00	-15.18	Sell to Close
CAI INTERNATIONAL INC COM CUSIP: 12477X106 Symbol(Box 1a): CAI							
02/09/2021	25.00	899.47	01/21/2021	895.00	0.00	4.47	Sale
PUT ROKU 05/07/21 250 ROKU INC CUSIP: Symbol(Box 1a): ROKU210507P00250000							
05/07/2021	1.00	0.00	05/06/2021	300.07	0.00	-300.07	Expiration
CALL DAL 01/29/21 41 DELTA AIR LINES INC DEL CUSIP: Symbol(Box 1a): DAL210129C00041000							
01/28/2021	2.00	59.82	01/28/2021	56.16	0.00	3.66	Sell to Close

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Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

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01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

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CALL WIMI 02/19/21 7.50 WIMI HOLOGRAM CLOUD INC ADS CUSIP: Symbol(Box 1a): WIMI210219C00007500							
01/21/2021	1.00	99.90	01/20/2021	90.08	0.00	9.82	Sell to Close
CALL CLVS 02/19/21 9 CLOVIS ONCOLOGY INC CUSIP: Symbol(Box 1a): CLVS210219C00009000							
01/27/2021	1.00	190.90	01/27/2021	145.08	0.00	45.82	Sell to Close
CALL AMZN 01/08/21 3155 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210108C03155000							
01/08/2021	1.00	749.89	01/08/2021	650.08	0.00	99.81	Sell to Close
01/08/2021	1.00	801.89	01/08/2021	650.08	0.00	151.81	Sell to Close
Security Totals:		1,551.78		1,300.16		251.62	
PUT PLTR 04/09/21 22 PALANTIR TECHNOLOGIES INC CL A CUSIP: Symbol(Box 1a): PLTR210409P00022000							
04/01/2021	1.00	41.91	04/01/2021	39.08	0.00	2.83	Sell to Close
04/01/2021	2.00	83.82	04/01/2021	78.15	0.00	5.67	Sell to Close
Security Totals:		125.73		117.23		8.50	
CALL RIG 01/29/21 3.50 TRANSOCEAN LTD CUSIP: Symbol(Box 1a): RIG210129C00003500							
01/14/2021	4.00	147.66	01/14/2021	116.32	0.00	31.34	Sell to Close
01/27/2021	1.00	23.90	01/14/2021	29.08	0.00	-5.18	Sell to Close
Security Totals:		171.56		145.40		26.16	
CALL EBAY 02/19/21 60 EBAY INC CUSIP: Symbol(Box 1a): EBAY210219C00060000							
01/22/2021	1.00	110.90	01/20/2021	127.08	0.00	-16.18	Sell to Close
PUT AMZN 04/01/21 3085 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210401P03085000							
03/31/2021	1.00	1,099.90	03/31/2021	1,030.08	0.00	69.82	Sell to Close
CALL NFLX 01/29/21 570 NETFLIX INC CUSIP: Symbol(Box 1a): NFLX210129C000570000							
01/25/2021	1.00	469.89	01/25/2021	660.08	0.00	-190.19	Sell to Close

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2021 Form 1099-B*

5MQ16480

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

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Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL NIO 01/08/21 60 NIO INC ADS CUSIP: Symbol(Box 1a): NIO210108C00060000							
01/06/2021	1.00	59.90	01/04/2021	100.08	40.18 D	0.00	Sell to Close
01/07/2021	1.00	10.90	01/07/2021	51.26	0.00	-40.36	Sell to Close
01/07/2021	4.00	43.66	01/07/2021	44.32	0.00	-0.66	Sell to Close
Security Totals:		114.46		195.66		-41.02	
YELP INC COMMON STOCK CUSIP: 985817105 Symbol(Box 1a): YELP							
01/25/2021	30.00	949.46	01/21/2021	952.50	0.00	-3.04	Sale
CALL CHWY 04/09/21 90 CHEWY INC CLASS A CUSIP: Symbol(Box 1a): CHWY210409C00090000							
03/31/2021	1.00	369.90	03/31/2021	425.08	55.18 D	0.00	Sell to Close
04/01/2021	1.00	169.91	03/31/2021	355.26	185.35 D	0.00	Sell to Close
04/06/2021	1.00	29.91	03/31/2021	385.43	355.52 D	0.00	Sell to Close
04/09/2021	1.00	0.00	04/01/2021	419.59	0.00	-419.59	Expiration
Security Totals:		569.72		1,585.36		-419.59	
CALL CRM 01/22/21 220 SALESFORCE.COM INC CUSIP: Symbol(Box 1a): CRM210122C00220000							
01/14/2021	1.00	349.90	01/13/2021	315.08	0.00	34.82	Sell to Close
BLOCK INC CLASS A COMMON STOCK CUSIP: 852234103 Symbol(Box 1a): SQ							
03/24/2021	5.00	1,118.53	03/24/2021	1,117.24	0.00	1.29	Sale
03/24/2021	5.00	1,117.48	03/24/2021	1,117.24	0.00	0.24	Sale
Security Totals:		2,236.01		2,234.48		1.53	
CALL CCL 02/19/21 19 CARNIVAL CORP CUSIP: Symbol(Box 1a): CCL210219C00019000							
01/26/2021	1.00	125.90	01/26/2021	128.08	0.00	-2.18	Sell to Close

APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

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01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

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CALL BA 02/19/21	250	BOEING CO CUSIP: Symbol(Box 1a): BA210219C00250000					
01/26/2021	1.00	114.90	01/26/2021	117.08	0.00	-2.18	Sell to Close
CALL AMC 01/29/21	5	AMC ENTERTAINMENT HOLDINGS INC CUSIP: Symbol(Box 1a): AMC210129C00005000					
01/25/2021	1.00	85.90	01/25/2021	105.08	19.18 D	0.00	Sell to Close
01/25/2021	1.00	79.90	01/25/2021	99.26	0.00	-19.36	Sell to Close
Security Totals:		165.80		204.34		-19.36	
CALL AMD 02/05/21	99	ADVANCED MICRO DEVICES INC CUSIP: Symbol(Box 1a): AMD210205C00099000					
01/25/2021	1.00	324.90	01/25/2021	340.08	0.00	-15.18	Sell to Close
CALL SLV 04/16/21	27	ISHARES SILVER SHARES CUSIP: Symbol(Box 1a): SLV210416C00027000					
03/17/2021	1.00	29.90	02/01/2021	310.08	280.18 D	0.00	Sell to Close
03/31/2021	1.00	4.90	03/08/2021	306.26	0.00	-301.36	Sell to Close
Security Totals:		34.80		616.34		-301.36	
CALL AMZN 02/05/21	3750	AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210205C03750000					
01/27/2021	1.00	1,899.86	01/27/2021	1,900.08	0.22 D	0.00	Sell to Close
02/01/2021	1.00	809.89	01/29/2021	2,175.69	0.00	-1,365.80	Sell to Close
02/01/2021	1.00	899.89	01/27/2021	1,900.30	1000.41 D	0.00	Sell to Close
02/01/2021	1.00	999.88	01/28/2021	2,200.49	1200.61 D	0.00	Sell to Close
Security Totals:		4,609.52		8,176.56		-1,365.80	
FTC SOLAR INC		COMMON STOCK CUSIP: 30320C103 Symbol(Box 1a): FTCL					
04/28/2021	50.00	745.03	04/28/2021	755.00	0.00	-9.97	Sale

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MQ16480

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****Description of property | CUSIP | Symbol(Box 1a)**

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
04/29/2021	50.00	735.98	04/28/2021	755.00	0.00	-19.02	Sale
Security Totals:		1,481.01		1,510.00		-28.99	
PUT AMZN 03/19/21 3140 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210319P03140000							
03/17/2021	1.00	2,599.89	03/17/2021	2,500.08	0.00	99.81	Sell to Close
CALL QS 01/15/21 65 QUANTUMSCAPE CORPORATION CL A CUSIP: Symbol(Box 1a): QS210115C00065000							
01/06/2021	1.00	779.89	01/06/2021	620.08	0.00	159.81	Sell to Close
CALL JPM 04/16/21 157.50 JPMORGAN CHASE & CO CUSIP: Symbol(Box 1a): JPM210416C00157500							
04/13/2021	1.00	132.91	04/13/2021	143.23	0.00	-10.32	Sell to Close
04/13/2021	1.00	120.91	04/12/2021	174.07	53.16 D	0.00	Sell to Close
Security Totals:		253.82		317.30		-10.32	
CALL PINS 01/22/21 82 PINTEREST INC CLASS A CUSIP: Symbol(Box 1a): PINS210122C00082000							
01/13/2021	1.00	102.90	01/13/2021	100.08	0.00	2.82	Sell to Close
CALL AMZN 01/15/21 3200 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210115C03200000							
01/08/2021	1.00	3,204.83	01/08/2021	3,000.08	0.00	204.75	Sell to Close
01/11/2021	1.00	2,241.86	01/11/2021	1,995.08	0.00	246.78	Sell to Close
Security Totals:		5,446.69		4,995.16		451.53	
CALL CRM 02/19/21 260 SALESFORCE.COM INC CUSIP: Symbol(Box 1a): CRM210219C00260000							
01/07/2021	1.00	93.90	12/29/2020	182.08	0.00	-88.18	Sell to Close
CALL TSLA 02/19/21 795 TESLA INC CUSIP: Symbol(Box 1a): TSLA210219C00795000							
02/12/2021	1.00	2,599.85	02/12/2021	2,500.08	0.00	99.77	Sell to Close
CALL NVDA 01/08/21 540 NVIDIA CORP CUSIP: Symbol(Box 1a): NVDA210108C00540000							
01/07/2021	1.00	134.90	01/07/2021	140.08	0.00	-5.18	Sell to Close

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2021 Form 1099-B*

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01/31/2022

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CALL INTC 02/05/21 61 INTEL CORP CUSIP: Symbol(Box 1a): INTC210205C00061000							
01/29/2021	1.00	18.90	01/29/2021	17.08	0.00	1.82	Sell to Close
01/29/2021	2.00	47.82	01/29/2021	34.16	0.00	13.66	Sell to Close
01/29/2021	1.00	22.90	01/29/2021	17.08	0.00	5.82	Sell to Close
Security Totals:		89.62		68.32		21.30	
CALL AMZN 06/04/21 3300 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210604C03300000							
06/04/2021	1.00	0.00	06/01/2021	550.07	0.00	-550.07	Expiration
CALL CRM 02/19/21 240 SALESFORCE.COM INC CUSIP: Symbol(Box 1a): CRM210219C00240000							
02/02/2021	1.00	339.90	02/02/2021	370.08	0.00	-30.18	Sell to Close
CALL RIOT 03/12/21 65 RIOT BLOCKCHAIN INC CUSIP: Symbol(Box 1a): RIOT210312C00065000							
03/12/2021	1.00	129.90	03/12/2021	128.08	0.00	1.82	Sell to Close
CALL BIDU 04/30/21 220 BAIDU INC CUSIP: Symbol(Box 1a): BIDU210430C00220000							
04/22/2021	1.00	454.91	04/22/2021	450.07	0.00	4.84	Sell to Close
PUT TSLA 04/09/21 500 TESLA INC CUSIP: Symbol(Box 1a): TSLA210409P00500000							
04/01/2021	1.00	86.91	03/31/2021	129.25	0.00	-42.34	Sell to Close
04/01/2021	1.00	34.91	03/31/2021	98.08	63.17 D	0.00	Sell to Close
Security Totals:		121.82		227.33		-42.34	
CALL JD 01/15/21 95 JD.COM INC CUSIP: Symbol(Box 1a): JD210115C00095000							
01/08/2021	1.00	94.90	01/08/2021	93.08	0.00	1.82	Sell to Close
CALL BLNK 02/19/21 70 BLINK CHARGING CO CUSIP: Symbol(Box 1a): BLNK210219C00070000							
01/07/2021	1.00	264.90	12/30/2020	330.08	0.00	-65.18	Sell to Close

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2021 Form 1099-B*

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(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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CALL UAA 02/19/21 20							
UNDER ARMOUR INC CL A CUSIP: Symbol(Box 1a): UAA210219C00020000							
01/20/2021	1.00	94.90	01/20/2021	97.08	0.00	-2.18	Sell to Close
CALL CYBR 02/05/21 200							
CYBERARK SOFTWARE LTD CUSIP: Symbol(Box 1a): CYBR210205C00200000							
01/12/2021	1.00	59.90	01/11/2021	59.08	0.00	0.82	Sell to Close
CALL SNDL 04/16/21 1							
SUNDIAL GROWERS INC CUSIP: Symbol(Box 1a): SNDL210416C00001000							
01/05/2021	3.00	44.74	01/04/2021	45.24	0.00	-0.50	Sell to Close
CALL AMZN 03/12/21 3100							
AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210312C03100000							
03/10/2021	1.00	1,249.90	03/10/2021	1,100.08	0.00	149.82	Sell to Close
03/10/2021	1.00	1,499.90	03/10/2021	1,500.08	0.00	-0.18	Sell to Close
Security Totals:		2,749.80		2,600.16		149.64	
CALL NIO 01/08/21 57.50							
NIO INC ADS CUSIP: Symbol(Box 1a): NIO210108C00057500							
01/08/2021	1.00	99.90	01/08/2021	81.08	0.00	18.82	Sell to Close
CALL WMT 01/15/21 147							
WALMART INC CUSIP: Symbol(Box 1a): WMT210115C00147000							
01/05/2021	1.00	210.90	01/04/2021	212.08	0.00	-1.18	Sell to Close
PUT CHGG 05/21/21 75							
CHEGG INC CUSIP: Symbol(Box 1a): CHGG210521P00075000							
05/03/2021	1.00	170.91	05/03/2021	174.07	0.00	-3.16	Sell to Close
CALL DDD 04/30/21 22.50							
3 D SYSTEMS CORP DEL CUSIP: Symbol(Box 1a): DDD210430C00022500							
04/22/2021	1.00	119.91	04/22/2021	120.07	0.00	-0.16	Sell to Close
CALL TSLA 05/14/21 650							
TESLA INC CUSIP: Symbol(Box 1a): TSLA210514C00650000							
05/12/2021	1.00	279.91	05/11/2021	413.07	0.00	-133.16	Sell to Close
PUT SPY 04/19/21 410							
STANDARD & POORS DEPOSITORY CUSIP: Symbol(Box 1a): SPY210419P00410000							
04/13/2021	1.00	166.91	04/13/2021	164.07	0.00	2.84	Sell to Close

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Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

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01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

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Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL NIO 04/16/21 45 NIO INC ADS CUSIP: Symbol(Box 1a): NIO210416C00045000							
04/05/2021	1.00	50.91	04/05/2021	49.08	0.00	1.83	Sell to Close
04/05/2021	1.00	49.91	04/05/2021	49.08	0.00	0.83	Sell to Close
Security Totals:		100.82		98.16		2.66	
CALL JKS 02/19/21 80 JINKOSOLAR HOLDING COMPANY LTD CUSIP: Symbol(Box 1a): JKS210219C00080000							
01/11/2021	1.00	419.90	01/11/2021	420.08	0.00	-0.18	Sell to Close
PUT NIO 01/08/21 58 NIO INC ADS CUSIP: Symbol(Box 1a): NIO210108P00058000							
01/08/2021	1.00	52.90	01/08/2021	45.08	0.00	7.82	Sell to Close
01/08/2021	1.00	55.90	01/08/2021	45.08	0.00	10.82	Sell to Close
Security Totals:		108.80		90.16		18.64	
CALL SNAP 02/26/21 65 SNAP INC CUSIP: Symbol(Box 1a): SNAP210226C00065000							
02/19/2021	1.00	179.90	02/18/2021	193.08	0.00	-13.18	Sell to Close
CALL AYRO 02/19/21 7.50 AYRO INC CUSIP: Symbol(Box 1a): AYRO210219C00007500							
01/26/2021	1.00	91.90	01/26/2021	105.08	0.00	-13.18	Sell to Close
CALL DDOG 01/22/21 100 DATADOG INC CL A CUSIP: Symbol(Box 1a): DDOG210122C00100000							
01/07/2021	1.00	188.90	01/07/2021	210.08	0.00	-21.18	Sell to Close
CALL TSLA 04/01/21 650 TESLA INC CUSIP: Symbol(Box 1a): TSLA210401C00650000							
03/26/2021	1.00	889.90	03/26/2021	900.08	0.00	-10.18	Sell to Close
CALL ROKU 05/07/21 350 ROKU INC CUSIP: Symbol(Box 1a): ROKU210507C00350000							
05/03/2021	1.00	1,064.91	04/30/2021	1,600.07	0.00	-535.16	Sell to Close
CALL AAPL 01/29/21 132 APPLE INC CUSIP: Symbol(Box 1a): AAPL210129C00132000							
01/21/2021	1.00	538.89	01/20/2021	395.08	0.00	143.81	Sell to Close

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2021 Form 1099-B*

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01/31/2022

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CALL AMZN 01/15/21 3150 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210115C03150000							
01/07/2021	1.00	6,500.76	01/06/2021	5,550.08	0.00	950.68	Sell to Close
CALL XPEV 04/16/21 37 XPENG INC ADR CLASS A CUSIP: Symbol(Box 1a): XPEV210416C00037000							
03/31/2021	1.00	179.90	03/31/2021	209.08	29.18 D	0.00	Sell to Close
04/01/2021	1.00	329.91	03/31/2021	224.26	0.00	105.65	Sell to Close
04/01/2021	1.00	299.91	03/31/2021	209.08	0.00	90.83	Sell to Close
Security Totals:		809.72		642.42		196.48	
PUT BA 03/12/21 250 BOEING CO CUSIP: Symbol(Box 1a): BA210312P00250000							
03/11/2021	1.00	169.90	03/11/2021	164.08	0.00	5.82	Sell to Close
03/11/2021	1.00	200.90	03/11/2021	190.08	0.00	10.82	Sell to Close
03/11/2021	1.00	254.90	03/11/2021	230.08	0.00	24.82	Sell to Close
Security Totals:		625.70		584.24		41.46	
CALL X 03/19/21 20 UNITED STATES STL CORP NEW CUSIP: Symbol(Box 1a): X210319C00020000							
02/16/2021	1.00	110.90	02/03/2021	83.08	0.00	27.82	Sell to Close
02/16/2021	1.00	88.90	02/03/2021	83.08	0.00	5.82	Sell to Close
Security Totals:		199.80		166.16		33.64	
CALL NOW 01/29/21 562.50 SERVICENOW INC CUSIP: Symbol(Box 1a): NOW210129C00562500							
01/07/2021	1.00	549.89	01/07/2021	550.08	0.00	-0.19	Sell to Close
CALL CAT 05/07/21 235 CATERPILLAR INC CUSIP: Symbol(Box 1a): CAT210507C00235000							
04/29/2021	1.00	160.91	04/29/2021	165.07	0.00	-4.16	Sell to Close

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CALL ABNB 03/12/21 190 AIRBNB INC CUSIP: Symbol(Box 1a): ABNB210312C00190000							
03/09/2021	1.00	304.90	03/09/2021	350.08	0.00	-45.18	Sell to Close
CALL MMM 04/16/21 200 3M COMPANY CUSIP: Symbol(Box 1a): MMM210416C00200000							
04/12/2021	1.00	89.91	04/12/2021	131.07	0.00	-41.16	Sell to Close
CALL FB 01/15/21 280 FACEBOOK INC CL A CUSIP: Symbol(Box 1a): FB210115C00280000							
01/05/2021	1.00	278.90	01/05/2021	294.08	15.18 D	0.00	Sell to Close
01/07/2021	1.00	120.90	01/06/2021	160.26	0.00	-39.36	Sell to Close
Security Totals:		399.80		454.34		-39.36	
CALL V 01/22/21 225 VISA INC CUSIP: Symbol(Box 1a): V210122C00225000							
01/05/2021	1.00	133.90	01/05/2021	160.08	0.00	-26.18	Sell to Close
CALL FSLY 05/07/21 65.50 FASTLY INC CLASS A CUSIP: Symbol(Box 1a): FSLY210507C00065500							
05/03/2021	1.00	251.91	04/30/2021	430.07	0.00	-178.16	Sell to Close
CALL JD 01/22/21 92 JD.COM INC CUSIP: Symbol(Box 1a): JD210122C00092000							
01/08/2021	1.00	229.90	01/07/2021	190.08	0.00	39.82	Sell to Close
CALL SNAP 02/19/21 60 SNAP INC CUSIP: Symbol(Box 1a): SNAP210219C00060000							
02/02/2021	1.00	414.90	02/01/2021	355.08	0.00	59.82	Sell to Close
CALL LPCN 01/21/22 5 LIPOCINE INC CUSIP: Symbol(Box 1a): LPCN220121C00005000							
02/05/2021	1.00	84.90	02/01/2021	85.08	0.00	-0.18	Sell to Close
CALL LYFT 05/07/21 56 LYFT INC CLASS A CUSIP: Symbol(Box 1a): LYFT210507C00056000							
05/05/2021	1.00	117.91	05/04/2021	205.07	0.00	-87.16	Sell to Close
CALL TSLA 01/29/21 920 TESLA INC CUSIP: Symbol(Box 1a): TSLA210129C000920000							
01/07/2021	1.00	1,589.87	01/07/2021	1,660.08	0.00	-70.21	Sell to Close

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CALL AAPL 01/29/21 146 APPLE INC CUSIP: Symbol(Box 1a): AAPL210129C00146000							
01/28/2021	1.00	48.90	01/27/2021	402.08	353.18 D	0.00	Sell to Close
01/29/2021	1.00	0.00	01/27/2021	708.26	0.00	-708.26	Expiration
Security Totals:		48.90		1,110.34		-708.26	
CALL QCOM 03/19/21 133 QUALCOMM INC CUSIP: Symbol(Box 1a): QCOM210319C00133000							
03/11/2021	1.00	222.90	03/11/2021	230.08	0.00	-7.18	Sell to Close
PUT AMZN 03/19/21 3100 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210319P03100000							
03/16/2021	1.00	3,199.89	03/16/2021	3,100.08	0.00	99.81	Sell to Close
03/16/2021	1.00	2,899.89	03/16/2021	2,800.08	0.00	99.81	Sell to Close
03/16/2021	1.00	2,899.89	03/16/2021	2,800.08	0.00	99.81	Sell to Close
03/16/2021	1.00	3,067.89	03/16/2021	2,900.08	0.00	167.81	Sell to Close
03/16/2021	1.00	2,999.89	03/16/2021	3,000.08	0.19 D	0.00	Sell to Close
03/16/2021	1.00	2,899.89	03/16/2021	2,900.27	0.38 D	0.00	Sell to Close
03/17/2021	1.00	3,299.89	03/17/2021	3,000.46	0.00	299.43	Sell to Close
03/18/2021	1.00	2,599.89	03/18/2021	2,400.08	0.00	199.81	Sell to Close
Security Totals:		23,867.12		22,901.21		966.48	
CALL AAPL 04/16/21 145 APPLE INC ADJ 4:1 STOCK SPLIT CUSIP: Symbol(Box 1a): AAPL210416C00145000							
02/22/2021	1.00	128.90	02/02/2021	524.08	395.18 D	0.00	Sell to Close
02/25/2021	1.00	122.90	02/12/2021	740.26	0.00	-617.36	Sell to Close
Security Totals:		251.80		1,264.34		-617.36	

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MQ16480

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.

Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL ABNB 01/29/21 190 AIRBNB INC CUSIP: Symbol(Box 1a): ABNB210129C00190000							
01/26/2021	1.00	669.89	01/26/2021	630.08	0.00	39.81	Sell to Close
CALL KGC 01/15/21 15 KINROSS GOLD CORP CUSIP: Symbol(Box 1a): KGC210115C00015000							
01/15/2021	4.00	0.00	07/10/2020	56.37	0.00	-56.37	Expiration
CALL VIPS 04/30/21 34.50 VIPSHOP HOLDINGS LIMITED CUSIP: Symbol(Box 1a): VIPS210430C00034500							
04/12/2021	1.00	31.91	04/09/2021	56.08	0.00	-24.17	Sell to Close
04/12/2021	1.00	53.91	04/09/2021	56.08	0.00	-2.17	Sell to Close
Security Totals:		85.82		112.16		-26.34	
PUT AMZN 04/01/21 3125 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210401P03125000							
04/01/2021	1.00	399.91	04/01/2021	550.23	150.32 D	0.00	Sell to Close
04/01/2021	1.00	399.91	04/01/2021	550.39	150.48 D	0.00	Sell to Close
04/01/2021	1.00	749.91	04/01/2021	650.07	0.00	99.84	Sell to Close
04/01/2021	1.00	599.91	04/01/2021	650.07	50.16 D	0.00	Sell to Close
04/01/2021	1.00	449.91	04/01/2021	470.55	0.00	-20.64	Sell to Close
Security Totals:		2,599.55		2,871.31		79.20	
PUT AMZN 03/19/21 3090 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210319P03090000							
03/17/2021	1.00	3,599.89	03/16/2021	3,100.08	0.00	499.81	Sell to Close
03/18/2021	1.00	2,699.89	03/18/2021	2,500.08	0.00	199.81	Sell to Close
Security Totals:		6,299.78		5,600.16		699.62	
CALL AMZN 03/19/21 3100 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210319C03100000							
03/08/2021	1.00	3,199.89	03/08/2021	3,000.08	0.00	199.81	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

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(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****Description of property | CUSIP | Symbol(Box 1a)**

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL AMZN 03/19/21 3100 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210319C03100000							
03/09/2021	1.00	3,699.89	03/08/2021	3,000.08	0.00	699.81	Sell to Close
03/15/2021	1.00	2,499.89	03/15/2021	2,300.08	0.00	199.81	Sell to Close
03/15/2021	1.00	2,299.89	03/15/2021	2,100.08	0.00	199.81	Sell to Close
03/15/2021	1.00	3,099.89	03/15/2021	3,000.08	0.00	99.81	Sell to Close
Security Totals:		14,799.45		13,400.40		1,399.05	
CALL LUMN 01/22/21 10.50 LUMEN TECHNOLOGIES INC CUSIP: Symbol(Box 1a): LUMN210122C00010500							
01/14/2021	1.00	92.90	01/14/2021	60.08	0.00	32.82	Sell to Close
01/14/2021	1.00	93.90	01/14/2021	60.08	0.00	33.82	Sell to Close
Security Totals:		186.80		120.16		66.64	
CALL BABA 01/15/21 245 ALIBABA GROUP HOLDING LIMITED CUSIP: Symbol(Box 1a): BABA210115C00245000							
01/14/2021	1.00	199.90	01/14/2021	296.08	0.00	-96.18	Sell to Close
CALL AMC 02/19/21 6 AMC ENTERTAINMENT HOLDINGS INC CUSIP: Symbol(Box 1a): AMC210219C00006000							
02/18/2021	1.00	13.90	02/18/2021	24.08	0.00	-10.18	Sell to Close
CALL TDOC 01/29/21 300 TELADOC HEALTH INC CUSIP: Symbol(Box 1a): TDOC210129C00300000							
01/25/2021	1.00	749.89	01/25/2021	750.08	0.00	-0.19	Sell to Close
PUT AMZN 04/16/21 3355 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210416P03355000							
04/16/2021	3.00	0.00	Various	670.21	0.00	-670.21	Expiration
CALL BA 01/22/21 210 BOEING CO CUSIP: Symbol(Box 1a): BA210122C00210000							
01/21/2021	1.00	113.90	01/21/2021	118.08	0.00	-4.18	Sell to Close
CALL MU 01/22/21 85 MICRON TECHNOLOGY INC CUSIP: Symbol(Box 1a): MU210122C00085000							
01/20/2021	1.00	64.90	01/20/2021	75.08	0.00	-10.18	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MQ16480

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL MU 01/22/21 85 MICRON TECHNOLOGY INC CUSIP: Symbol(Box 1a): MU210122C00085000							
01/20/2021	1.00	85.90	01/20/2021	85.08	0.00	0.82	Sell to Close
Security Totals:		150.80		160.16		-9.36	
CALL AMZN 05/14/21 3350 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210514C03350000							
05/10/2021	1.00	494.91	05/07/2021	1,800.07	1305.16 D	0.00	Sell to Close
05/11/2021	1.00	214.91	05/10/2021	1,870.23	0.00	-1,655.32	Sell to Close
Security Totals:		709.82		3,670.30		-1,655.32	
PUT BA 01/22/21 210 BOEING CO CUSIP: Symbol(Box 1a): BA210122P00210000							
01/20/2021	1.00	124.90	01/20/2021	104.08	0.00	20.82	Sell to Close
CALL SPOT 01/08/21 330 SPOTIFY TECHNOLOGY S A CUSIP: Symbol(Box 1a): SPOT210108C00330000							
01/07/2021	1.00	-20.18	01/07/2021	0.00	0.00	-20.18	Buy to Close
PUT AMZN 04/16/21 3350 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210416P03350000							
04/14/2021	1.00	2,099.90	04/14/2021	1,900.07	0.00	199.83	Sell to Close
04/15/2021	1.00	1,049.91	04/15/2021	1,200.23	150.32 D	0.00	Sell to Close
04/15/2021	1.00	1,049.91	04/15/2021	1,000.39	0.00	49.52	Sell to Close
04/15/2021	1.00	999.91	04/15/2021	1,200.07	200.16 D	0.00	Sell to Close
04/15/2021	1.00	999.91	04/15/2021	1,350.07	350.16 D	0.00	Sell to Close
04/15/2021	1.00	1,049.91	04/15/2021	1,450.23	400.32 D	0.00	Sell to Close
04/15/2021	1.00	649.91	04/15/2021	1,020.55	370.64 D	0.00	Sell to Close
04/15/2021	1.00	1,099.91	04/15/2021	1,320.39	220.48 D	0.00	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

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(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
PUT AMZN 04/16/21 3350 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210416P03350000							
04/16/2021	1.00	519.91	04/15/2021	1,030.71	510.8 D	0.00	Sell to Close
04/16/2021	1.00	599.91	04/15/2021	1,141.87	541.96 D	0.00	Sell to Close
04/16/2021	3.00	0.00	Various	1,035.17	0.00	-1,035.17	Expiration
Security Totals:		10,119.09		13,649.75		-785.82	
CALL VXRT 01/29/21 8 VAXART INC CUSIP: Symbol(Box 1a): VXRT210129C00008000							
01/25/2021	1.00	45.90	01/25/2021	47.08	0.00	-1.18	Sell to Close
CALL TSLA 01/29/21 1100 TESLA INC CUSIP: Symbol(Box 1a): TSLA210129C01100000							
01/25/2021	1.00	400.90	01/25/2021	502.26	101.36 D	0.00	Sell to Close
01/25/2021	1.00	339.90	01/25/2021	425.08	85.18 D	0.00	Sell to Close
01/26/2021	1.00	454.89	01/25/2021	451.44	0.00	3.45	Sell to Close
Security Totals:		1,195.69		1,378.78		3.45	
CALL C 01/15/21 62.50 CITIGROUP INC CUSIP: Symbol(Box 1a): C210115C00062500							
01/06/2021	1.00	279.90	07/20/2020	158.09	0.00	121.81	Sell to Close
PUT CCIV 02/19/21 55 CHURCHILL CAPITAL CORP IV CL A CUSIP: Symbol(Box 1a): CCIV210219P00055000							
02/19/2021	1.00	59.90	02/19/2021	40.08	0.00	19.82	Sell to Close
PUT AMZN 03/19/21 3045 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210319P03045000							
03/19/2021	1.00	1,049.90	03/19/2021	950.08	0.00	99.82	Sell to Close
03/19/2021	1.00	849.90	03/19/2021	800.08	0.00	49.82	Sell to Close
Security Totals:		1,899.80		1,750.16		149.64	
CALL PEP 03/26/21 140 PEPSICO INC CUSIP: Symbol(Box 1a): PEP210326C00140000							
03/23/2021	1.00	68.90	03/23/2021	67.08	0.00	1.82	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

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(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

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Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
03/23/2021	1.00	65.91	03/23/2021	67.08	0.00	-1.17	Sell to Close
Security Totals:		134.81		134.16		0.65	
PUT AMZN 03/19/21 3080 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210319P03080000							
03/17/2021	1.00	3,399.89	03/16/2021	3,100.08	0.00	299.81	Sell to Close
CALL TSLA 04/09/21 700 TESLA INC CUSIP: Symbol(Box 1a): TSLA210409C00700000							
04/06/2021	1.00	1,159.91	04/06/2021	1,160.07	0.00	-0.16	Sell to Close
PUT AMZN 03/19/21 3130 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210319P03130000							
03/17/2021	1.00	2,599.89	03/17/2021	2,500.08	0.00	99.81	Sell to Close
03/18/2021	1.00	4,499.88	03/17/2021	2,400.08	0.00	2,099.80	Sell to Close
Security Totals:		7,099.77		4,900.16		2,199.61	
CALL SNDL 02/19/21 1 SUNDIAL GROWERS INC CUSIP: Symbol(Box 1a): SNDL210219C00001000							
01/05/2021	5.00	49.58	01/04/2021	50.40	0.49 D	-0.33	Sell to Close
01/05/2021	3.00	29.74	01/04/2021	30.73	0.00	-0.99	Sell to Close
Security Totals:		79.32		81.13		-1.32	
CALL NIO 01/29/21 70 NIO INC ADS CUSIP: Symbol(Box 1a): NIO210129C00070000							
01/26/2021	1.00	18.90	01/25/2021	71.08	0.00	-52.18	Sell to Close
CALL KR 03/19/21 37 KROGER CO CUSIP: Symbol(Box 1a): KR210319C00037000							
01/25/2021	1.00	89.90	01/25/2021	93.08	0.00	-3.18	Sell to Close
CALL TSLA 02/12/21 1000 TESLA INC CUSIP: Symbol(Box 1a): TSLA210212C01000000							
02/04/2021	1.00	139.90	02/02/2021	535.08	0.00	-395.18	Sell to Close
CALL TSLA 04/09/21 690 TESLA INC CUSIP: Symbol(Box 1a): TSLA210409C00690000							
04/09/2021	1.00	31.91	04/09/2021	265.39	0.00	-233.48	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MQ16480

(continued)

01/31/2022

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SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

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CALL TSLA 04/09/21	690	TESLA INC CUSIP: Symbol(Box 1a): TSLA210409C00690000					
04/09/2021	1.00	34.91	04/09/2021	147.07	112.16 D	0.00	Sell to Close
04/09/2021	1.00	39.91	04/09/2021	246.23	206.32 D	0.00	Sell to Close
Security Totals:		106.73		658.69		-233.48	
CALL NET 02/19/21	95	CLOUDFLARE INC CL A CUSIP: Symbol(Box 1a): NET210219C00095000					
01/13/2021	1.00	389.90	01/13/2021	300.08	0.00	89.82	Sell to Close
CALL ROKU 04/01/21	305	ROKU INC CUSIP: Symbol(Box 1a): ROKU210401C00305000					
03/26/2021	1.00	1,049.90	03/26/2021	900.08	0.00	149.82	Sell to Close
CALL UBER 03/19/21	60	UBER TECHNOLOGIES INC CUSIP: Symbol(Box 1a): UBER210319C00060000					
02/02/2021	1.00	326.90	02/01/2021	211.08	0.00	115.82	Sell to Close
CALL WM 03/19/21	120	WASTE MANAGEMENT INC DEL CUSIP: Symbol(Box 1a): WM210319C00120000					
01/25/2021	1.00	175.90	01/25/2021	175.08	0.00	0.82	Sell to Close
PUT RIOT 02/19/21	66	RIOT BLOCKCHAIN INC CUSIP: Symbol(Box 1a): RIOT210219P00066000					
02/18/2021	1.00	394.90	02/18/2021	391.08	0.00	3.82	Sell to Close
CALL FSLY 01/22/21	105	FASTLY INC CLASS A CUSIP: Symbol(Box 1a): FSLY210122C00105000					
01/21/2021	1.00	199.90	01/21/2021	240.08	0.00	-40.18	Sell to Close
PUT TSLA 04/16/21	720	TESLA INC CUSIP: Symbol(Box 1a): TSLA210416P00720000					
04/16/2021	1.00	0.00	04/16/2021	136.07	0.00	-136.07	Expiration
CALL ROKU 04/01/21	310	ROKU INC CUSIP: Symbol(Box 1a): ROKU210401C00310000					
03/30/2021	1.00	499.90	03/30/2021	600.08	100.18 D	0.00	Sell to Close
03/30/2021	1.00	554.90	03/30/2021	441.44	0.00	113.46	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MQ16480

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01/31/2022

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SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
03/30/2021	1.00	528.90	03/30/2021	570.26	41.36 D	0.00	Sell to Close
Security Totals:		1,583.70		1,611.78		113.46	
CALL OXY 03/26/21	32.50		OCcidental Petroleum Corp CUSIP: Symbol(Box 1a): OXY210326C00032500				
03/15/2021	1.00	61.90	03/15/2021	60.08	0.00	1.82	Sell to Close
CALL SLV 01/15/21	29		ISHARES SILVER SHARES CUSIP: Symbol(Box 1a): SLV210115C00029000				
01/15/2021	4.00	0.00	Various	769.74	0.00	-769.74	Expiration
CALL JETS 02/05/21	23		ETF SERIES SOLUTIONS US GLBL CUSIP: Symbol(Box 1a): JETS210205C00023000				
01/06/2021	1.00	83.90	01/06/2021	82.08	0.00	1.82	Sell to Close
01/06/2021	1.00	82.90	01/06/2021	82.08	0.00	0.82	Sell to Close
Security Totals:		166.80		164.16		2.64	
PUT COIN 05/07/21	290		COINBASE GLOBAL INC CUSIP: Symbol(Box 1a): COIN210507P00290000				
05/03/2021	1.00	409.91	05/03/2021	340.07	0.00	69.84	Sell to Close
CALL V 06/18/21	250		VISA INC CUSIP: Symbol(Box 1a): V210618C00250000				
02/02/2021	1.00	199.90	02/01/2021	190.08	0.00	9.82	Sell to Close
CALL SHOP 02/05/21	1300		SHOPIFY INC CUSIP: Symbol(Box 1a): SHOP210205C01300000				
02/02/2021	1.00	209.90	02/02/2021	200.08	0.00	9.82	Sell to Close
PUT NFLX 02/19/21	540		NETFLIX INC CUSIP: Symbol(Box 1a): NFLX210219P00540000				
02/19/2021	1.00	99.90	02/19/2021	170.08	0.00	-70.18	Sell to Close
CALL AAPL 01/29/21	135		APPLE INC CUSIP: Symbol(Box 1a): AAPL210129C00135000				
01/21/2021	1.00	480.89	01/21/2021	420.08	0.00	60.81	Sell to Close
CALL ZM 01/15/21	380		ZOOM VIDEO COMMUNICATIONS INC CUSIP: Symbol(Box 1a): ZM210115C00380000				
01/13/2021	1.00	799.89	01/13/2021	750.08	0.00	49.81	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MQ16480

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****Description of property | CUSIP | Symbol(Box 1a)**

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CALL CRON 01/15/21 11 CRONOS GROUP INC CUSIP: Symbol(Box 1a): CRON210115C00011000							
01/07/2021	3.00	44.74	01/07/2021	54.24	0.00	-9.50	Sell to Close
01/08/2021	1.00	10.90	01/07/2021	18.08	0.00	-7.18	Sell to Close
01/08/2021	1.00	6.90	01/07/2021	18.08	0.00	-11.18	Sell to Close
Security Totals:		62.54		90.40		-27.86	
PUT AMZN 03/19/21 3120 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210319P03120000							
03/16/2021	1.00	3,199.89	03/16/2021	3,000.08	0.00	199.81	Sell to Close
PUT AMZN 03/19/21 3110 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210319P03110000							
03/16/2021	1.00	3,099.89	03/16/2021	3,000.08	0.00	99.81	Sell to Close
CALL HTHT 03/19/21 60 HUAZHU GROUP LIMITED ADS CUSIP: Symbol(Box 1a): HTHT210319C00060000							
03/16/2021	1.00	179.90	03/16/2021	180.08	0.00	-0.18	Sell to Close
PUT AMZN 04/16/21 3340 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210416P03340000							
04/14/2021	1.00	1,699.91	04/14/2021	2,300.07	600.16 D	0.00	Sell to Close
04/14/2021	1.00	1,699.91	04/14/2021	2,650.23	950.32 D	0.00	Sell to Close
04/14/2021	1.00	1,749.91	04/14/2021	2,500.39	0.00	-750.48	Sell to Close
Security Totals:		5,149.73		7,450.69		-750.48	
SHOALS TECHNOLOGIES GROUP INC CLASS A COMMON STOCK CUSIP: 82489W107 Symbol(Box 1a): SHLS							
01/27/2021	1.00	32.22	01/27/2021	31.70	0.00	0.52	Sale
01/27/2021	29.00	923.03	01/27/2021	919.30	0.00	3.73	Sale
Security Totals:		955.25		951.00		4.25	

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MQ16480

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
PUT AMZN 04/01/21 3115 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210401P03115000							
04/01/2021	1.00	699.91	04/01/2021	880.07	180.16 D	0.00	Sell to Close
04/01/2021	1.00	549.91	04/01/2021	680.39	0.00	-130.48	Sell to Close
04/01/2021	4.00	1,999.65	Various	2,280.44	380.32 D	99.53	Sell to Close
Security Totals:		3,249.47		3,840.90		-30.95	
CALL AAPL 04/16/21 125 APPLE INC ADJ 4:1 STOCK SPLIT CUSIP: Symbol(Box 1a): AAPL210416C00125000							
03/22/2021	1.00	269.90	03/22/2021	266.08	0.00	3.82	Sell to Close
CALL SBUX 04/01/21 112 STARBUCKS CORPORATION CUSIP: Symbol(Box 1a): SBUX210401C00112000							
03/26/2021	1.00	27.90	03/22/2021	102.25	0.00	-74.35	Sell to Close
03/26/2021	3.00	86.74	03/22/2021	216.24	86.34 D	-43.16	Sell to Close
03/26/2021	1.00	27.90	03/22/2021	92.25	0.00	-64.35	Sell to Close
Security Totals:		142.54		410.74		-181.86	
PUT AMZN 03/05/21 3270 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210305P03270000							
02/16/2021	1.00	7,549.74	02/16/2021	7,500.08	0.00	49.66	Sell to Close
CALL AMZN 04/01/21 3100 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210401C03100000							
03/29/2021	1.00	1,299.90	03/29/2021	1,300.08	0.00	-0.18	Sell to Close
CALL SLCA 02/19/21 12 U S SILICA HOLDINGS INC CUSIP: Symbol(Box 1a): SLCA210219C00012000							
01/25/2021	1.00	9.90	01/15/2021	55.08	0.00	-45.18	Sell to Close
02/17/2021	1.00	4.90	01/15/2021	55.08	0.00	-50.18	Sell to Close
Security Totals:		14.80		110.16		-95.36	
CALL FB 01/29/21 300 FACEBOOK INC CL A CUSIP: Symbol(Box 1a): FB210129C00300000							
01/21/2021	1.00	231.90	01/20/2021	175.08	0.00	56.82	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MQ16480

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

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Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL FB 01/29/21 300 FACEBOOK INC CL A CUSIP: Symbol(Box 1a): FB210129C00300000							
01/25/2021	1.00	323.90	01/22/2021	272.08	0.00	51.82	Sell to Close
Security Totals:		555.80		447.16		108.64	
CALL PEIX 02/19/21 9 ALTO INGREDIENTS INC CUSIP: Symbol(Box 1a): PEIX210219C00009000							
01/14/2021	1.00	40.90	01/13/2021	60.08	0.00	-19.18	Sell to Close
01/14/2021	1.00	54.90	01/13/2021	60.08	0.00	-5.18	Sell to Close
Security Totals:		95.80		120.16		-24.36	
PUT BIDU 02/19/21 170 BAIDU INC CUSIP: Symbol(Box 1a): BIDU210219P00170000							
01/13/2021	1.00	194.90	01/13/2021	197.08	0.00	-2.18	Sell to Close
CALL BABA 01/15/21 230 ALIBABA GROUP HOLDING LIMITED CUSIP: Symbol(Box 1a): BABA210115C00230000							
01/07/2021	1.00	421.90	01/07/2021	420.08	0.00	1.82	Sell to Close
CALL DIS 02/19/21 205 WALT DISNEY CO CUSIP: Symbol(Box 1a): DIS210219C00205000							
01/20/2021	1.00	75.90	01/20/2021	75.08	0.00	0.82	Sell to Close
01/20/2021	1.00	66.90	01/20/2021	75.08	0.00	-8.18	Sell to Close
Security Totals:		142.80		150.16		-7.36	
CALL F 01/15/21 11 FORD MOTOR COMPANY CUSIP: Symbol(Box 1a): F210115C00011000							
01/15/2021	5.00	0.00	07/10/2020	45.47	0.00	-45.47	Expiration
CALL FB 01/29/21 295 FACEBOOK INC CL A CUSIP: Symbol(Box 1a): FB210129C00295000							
01/26/2021	1.00	394.90	01/25/2021	372.08	0.00	22.82	Sell to Close
CALL ZM 01/22/21 420 ZOOM VIDEO COMMUNICATIONS INC CUSIP: Symbol(Box 1a): ZM210122C00420000							
01/14/2021	1.00	459.89	01/13/2021	350.26	0.00	109.63	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MQ16480

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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01/14/2021	1.00	359.90	01/13/2021	450.08	90.18 D	0.00	Sell to Close
Security Totals:		819.79		800.34		109.63	
PUT ROKU 05/07/21 280 ROKU INC CUSIP: Symbol(Box 1a): ROKU210507P00280000							
05/06/2021	1.00	619.91	05/05/2021	440.07	0.00	179.84	Sell to Close
CALL AMZN 03/05/21 4000 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210305C04000000							
01/26/2021	1.00	2,029.86	01/26/2021	1,940.08	0.00	89.78	Sell to Close
***TANTECH HOLDINGS LTD COM CUSIP: G8675X107 Symbol(Box 1a): TANH							
01/25/2021	500.00	1,014.91	01/25/2021	1,025.00	0.00	-10.09	Sale
PUT AMZN 04/01/21 3050 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210401P03050000							
03/29/2021	1.00	3,199.89	03/29/2021	3,000.08	0.00	199.81	Sell to Close
03/29/2021	1.00	2,199.89	03/29/2021	2,200.08	0.19 D	0.00	Sell to Close
03/30/2021	1.00	2,799.89	03/30/2021	2,700.08	0.00	99.81	Sell to Close
03/30/2021	1.00	2,899.89	03/30/2021	2,700.27	0.00	199.62	Sell to Close
Security Totals:		11,099.56		10,600.51		499.24	
CALL ZM 01/15/21 345 ZOOM VIDEO COMMUNICATIONS INC CUSIP: Symbol(Box 1a): ZM210115C00345000							
01/12/2021	1.00	899.89	01/11/2021	800.08	0.00	99.81	Sell to Close
PUT AMZN 04/09/21 3100 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210409P03100000							
04/06/2021	1.00	239.91	04/05/2021	1,460.23	1220.32 D	0.00	Sell to Close
04/06/2021	1.00	269.91	04/05/2021	1,720.39	1450.48 D	0.00	Sell to Close
04/06/2021	1.00	259.91	04/06/2021	1,825.71	0.00	-1,565.80	Sell to Close
04/06/2021	2.00	449.82	Various	2,150.62	1700.80 D	0.00	Sell to Close

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2021 Form 1099-B*

5MQ16480

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

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PUT AMZN 04/09/21 3100 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210409P03100000							
04/06/2021	1.00	239.91	04/05/2021	1,000.07	760.16 D	0.00	Sell to Close
04/07/2021	1.00	100.91	04/06/2021	275.23	0.00	-174.32	Sell to Close
Security Totals:		1,560.37		8,432.25		-1,740.12	
CALL VXRT 02/19/21 7.50 VAXART INC CUSIP: Symbol(Box 1a): VXRT210219C00007500							
01/25/2021	1.00	119.90	01/25/2021	150.08	0.00	-30.18	Sell to Close
CALL BYND 02/19/21 150 BEYOND MEAT INC CUSIP: Symbol(Box 1a): BYND210219C00150000							
01/06/2021	1.00	299.90	01/06/2021	255.08	0.00	44.82	Sell to Close
CALL FANG 01/15/21 65 DIAMONDBACK ENERGY INC CUSIP: Symbol(Box 1a): FANG210115C00065000							
01/15/2021	2.00	0.00	Various	337.18	0.00	-337.18	Expiration
CALL PFE 05/07/21 39.50 PFIZER INC CUSIP: Symbol(Box 1a): PFE210507C00039500							
05/05/2021	1.00	139.91	05/04/2021	61.07	0.00	78.84	Sell to Close
PUT AMZN 03/05/21 3300 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210305P03300000							
02/18/2021	1.00	7,069.75	02/18/2021	7,000.08	0.00	69.67	Sell to Close
02/19/2021	1.00	6,699.76	02/18/2021	7,000.08	0.00	-300.32	Sell to Close
Security Totals:		13,769.51		14,000.16		-230.65	
CALL TSLA 01/08/21 830 TESLA INC CUSIP: Symbol(Box 1a): TSLA210108C00830000							
01/07/2021	1.00	241.90	01/07/2021	197.08	0.00	44.82	Sell to Close
01/07/2021	1.00	374.90	01/07/2021	350.08	0.00	24.82	Sell to Close
Security Totals:		616.80		547.16		69.64	
PUT AMZN 03/05/21 3295 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210305P03295000							
02/18/2021	1.00	8,381.72	02/17/2021	7,500.08	0.00	881.64	Sell to Close

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2021 Form 1099-B*

5MQ16480

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

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CALL MU 01/15/21 90	MICRON TECHNOLOGY INC CUSIP: Symbol(Box 1a): MU210115C00090000						
01/07/2021	2.00	125.82	01/07/2021	136.16	0.00	-10.34	Sell to Close
CALL AMZN 03/05/21 3285	AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210305C03285000						
02/18/2021	1.00	7,324.74	02/18/2021	7,275.08	0.00	49.66	Sell to Close
CALL FUBO 02/19/21 60	FUBOTV INC CUSIP: Symbol(Box 1a): FUBO210219C00060000						
01/28/2021	1.00	589.89	01/28/2021	560.08	0.00	29.81	Sell to Close
CALL ROKU 01/29/21 450	ROKU INC CUSIP: Symbol(Box 1a): ROKU210129C00450000						
01/21/2021	1.00	630.89	01/21/2021	530.08	0.00	100.81	Sell to Close
01/25/2021	1.00	569.89	01/25/2021	580.08	0.00	-10.19	Sell to Close
Security Totals:		1,200.78		1,110.16		90.62	
CALL SOLO 01/15/21 6	ELECTRAMECCANICA VEHICLES CORP CUSIP: Symbol(Box 1a): SOLO210115C00006000						
01/07/2021	1.00	114.90	01/07/2021	125.08	0.00	-10.18	Sell to Close
CALL TSLA 01/08/21 810	TESLA INC CUSIP: Symbol(Box 1a): TSLA210108C00810000						
01/06/2021	1.00	189.90	01/06/2021	177.08	0.00	12.82	Sell to Close
CALL PLTR 01/29/21 36	PALANTIR TECHNOLOGIES INC CL A CUSIP: Symbol(Box 1a): PLTR210129C00036000						
01/26/2021	1.00	237.90	01/25/2021	300.08	0.00	-62.18	Sell to Close
PUT GME 04/16/21 10	GAMESTOP CORP CUSIP: Symbol(Box 1a): GME210416P00010000						
01/25/2021	1.00	103.90	01/25/2021	106.08	0.00	-2.18	Sell to Close
PUT MSFT 04/16/21 250	MICROSOFT CORP CUSIP: Symbol(Box 1a): MSFT210416P00250000						
04/06/2021	1.00	459.91	04/06/2021	419.07	0.00	40.84	Sell to Close
ETSY INC	COM CUSIP: 29786A106 Symbol(Box 1a): ETSY						
01/26/2021	5.00	1,140.66	01/26/2021	1,100.00	0.00	40.66	Sale

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MQ16480

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****Description of property | CUSIP | Symbol(Box 1a)**

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL FB 01/29/21 280 FACEBOOK INC CL A CUSIP: Symbol(Box 1a): FB210129C00280000							
01/21/2021	1.00	662.89	01/21/2021	630.08	0.00	32.81	Sell to Close
01/27/2021	1.00	859.89	01/27/2021	820.08	0.00	39.81	Sell to Close
Security Totals:		1,522.78		1,450.16		72.62	
CALL AMZN 04/23/21 3355 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210423C03355000							
04/22/2021	1.00	1,599.91	04/22/2021	1,600.07	0.16 D	0.00	Sell to Close
04/23/2021	1.00	699.91	04/23/2021	600.23	0.00	99.68	Sell to Close
04/23/2021	1.00	699.91	04/23/2021	600.07	0.00	99.84	Sell to Close
Security Totals:		2,999.73		2,800.37		199.52	
CALL FB 01/29/21 290 FACEBOOK INC CL A CUSIP: Symbol(Box 1a): FB210129C00290000							
01/26/2021	1.00	659.89	01/26/2021	563.08	0.00	96.81	Sell to Close
01/27/2021	1.00	509.89	01/26/2021	620.08	110.19 D	0.00	Sell to Close
01/28/2021	1.00	72.90	01/27/2021	635.45	0.00	-562.55	Sell to Close
01/28/2021	1.00	324.90	01/27/2021	522.27	197.37 D	0.00	Sell to Close
Security Totals:		1,567.58		2,340.88		-465.74	
CALL DBX 01/22/21 25 DROPBOX INC CUSIP: Symbol(Box 1a): DBX210122C00025000							
01/05/2021	1.00	20.90	01/04/2021	18.08	0.00	2.82	Sell to Close
01/05/2021	3.00	65.74	01/04/2021	54.24	0.00	11.50	Sell to Close
01/05/2021	1.00	23.90	01/04/2021	18.08	0.00	5.82	Sell to Close
Security Totals:		110.54		90.40		20.14	

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MQ16480

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****Description of property | CUSIP | Symbol(Box 1a)**

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL JD 01/08/21 93 JD.COM INC CUSIP: Symbol(Box 1a): JD210108C00093000							
01/05/2021	1.00	212.90	01/05/2021	182.08	0.00	30.82	Sell to Close
CALL AMZN 05/14/21 3450 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210514C03450000							
05/04/2021	1.00	1,149.91	05/04/2021	1,450.07	0.00	-300.16	Sell to Close
CALL ZM 04/23/21 350 ZOOM VIDEO COMMUNICATIONS INC CUSIP: Symbol(Box 1a): ZM210423C00350000							
04/09/2021	1.00	239.91	04/09/2021	300.07	0.00	-60.16	Sell to Close
CALL TSLA 01/29/21 1000 TESLA INC CUSIP: Symbol(Box 1a): TSLA210129C01000000							
01/25/2021	1.00	489.89	01/25/2021	345.08	0.00	144.81	Sell to Close
01/25/2021	1.00	706.89	01/25/2021	685.08	0.00	21.81	Sell to Close
01/25/2021	1.00	884.89	01/25/2021	800.08	0.00	84.81	Sell to Close
01/27/2021	1.00	778.89	01/26/2021	1,045.08	266.19 D	0.00	Sell to Close
01/27/2021	1.00	749.89	01/27/2021	771.27	21.38 D	0.00	Sell to Close
01/29/2021	1.00	0.00	01/27/2021	721.46	0.00	-721.46	Expiration
Security Totals:		3,610.45		4,368.05		-470.03	
CALL WMT 01/15/21 150 WALMART INC CUSIP: Symbol(Box 1a): WMT210115C00150000							
01/11/2021	3.00	149.74	01/11/2021	132.24	0.00	17.50	Sell to Close
CALL BIDU 04/01/21 220 BAIDU INC CUSIP: Symbol(Box 1a): BIDU210401C00220000							
03/26/2021	1.00	349.90	03/26/2021	245.44	0.00	104.46	Sell to Close
03/26/2021	1.00	499.90	03/25/2021	500.08	0.18 D	0.00	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MQ16480

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.

Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
03/26/2021	1.00	314.90	03/26/2021	320.26	5.36 D	0.00	Sell to Close
Security Totals:		1,164.70		1,065.78		104.46	
CALL XL 03/19/21 35 XL FLEET CORPORATION CL A CUSIP: Symbol(Box 1a): XL210319C00035000							
02/01/2021	1.00	194.90	02/01/2021	112.08	0.00	82.82	Sell to Close
PUT AMZN 05/14/21 3210 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210514P03210000							
05/14/2021	1.00	999.91	05/14/2021	900.07	0.00	99.84	Sell to Close
05/14/2021	1.00	649.91	05/14/2021	600.07	0.00	49.84	Sell to Close
05/14/2021	1.00	699.91	05/14/2021	600.23	0.00	99.68	Sell to Close
05/14/2021	1.00	899.91	05/14/2021	900.07	0.16 D	0.00	Sell to Close
Security Totals:		3,249.64		3,000.44		249.36	
CALL AMZN 04/16/21 3450 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210416C03450000							
04/09/2021	1.00	1,359.91	04/09/2021	1,240.23	0.00	119.68	Sell to Close
04/09/2021	1.00	1,359.91	04/09/2021	1,400.07	40.16 D	0.00	Sell to Close
04/12/2021	1.00	1,249.91	04/12/2021	1,250.07	0.16 D	0.00	Sell to Close
04/12/2021	1.00	1,279.91	04/12/2021	1,250.07	0.00	29.84	Sell to Close
04/12/2021	1.00	1,999.90	04/12/2021	1,800.07	0.00	199.83	Sell to Close
04/13/2021	1.00	1,899.91	04/13/2021	1,900.07	0.16 D	0.00	Sell to Close
04/13/2021	1.00	2,199.90	04/13/2021	2,100.07	0.00	99.83	Sell to Close
04/13/2021	1.00	2,049.90	04/13/2021	1,950.07	0.00	99.83	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

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(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.

Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL AMZN 04/16/21 3450 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210416C03450000							
04/13/2021	1.00	2,099.90	04/13/2021	2,000.07	0.00	99.83	Sell to Close
04/13/2021	1.00	2,049.90	04/13/2021	1,950.07	0.00	99.83	Sell to Close
04/13/2021	1.00	2,149.90	04/13/2021	2,000.07	0.00	149.83	Sell to Close
04/13/2021	1.00	1,799.91	04/13/2021	1,800.23	0.32 D	0.00	Sell to Close
04/13/2021	1.00	2,199.90	04/13/2021	2,000.07	0.00	199.83	Sell to Close
04/13/2021	1.00	2,099.90	04/12/2021	1,200.23	0.00	899.67	Sell to Close
04/13/2021	1.00	1,527.91	04/13/2021	1,400.39	0.00	127.52	Sell to Close
04/14/2021	1.00	799.91	04/13/2021	1,500.07	700.16 D	0.00	Sell to Close
04/14/2021	1.00	649.91	04/13/2021	2,000.23	1350.32 D	0.00	Sell to Close
04/14/2021	1.00	659.91	04/14/2021	2,250.39	0.00	-1,590.48	Sell to Close
Security Totals:		29,436.30		30,992.54		535.04	
CALL TSLA 04/30/21 750 TESLA INC CUSIP: Symbol(Box 1a): TSLA210430C00750000							
04/21/2021	1.00	2,899.90	04/16/2021	3,450.07	0.00	-550.17	Sell to Close
CALL TSLA 01/29/21 815 TESLA INC CUSIP: Symbol(Box 1a): TSLA210129C00815000							
01/29/2021	1.00	339.90	01/29/2021	215.08	0.00	124.82	Sell to Close
CALL TSLA 04/30/21 740 TESLA INC CUSIP: Symbol(Box 1a): TSLA210430C00740000							
04/26/2021	1.00	2,799.90	04/26/2021	2,890.07	90.17 D	0.00	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MQ16480

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL TSLA 04/30/21 740 TESLA INC CUSIP: Symbol(Box 1a): TSLA210430C00740000							
04/26/2021	1.00	2,854.90	04/26/2021	2,810.24	0.00	44.66	Sell to Close
Security Totals:		5,654.80		5,700.31		44.66	
PUT AMZN 05/14/21 3200 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210514P03200000							
05/14/2021	1.00	499.91	05/14/2021	400.07	0.00	99.84	Sell to Close
05/14/2021	1.00	0.00	05/14/2021	400.07	0.00	-400.07	Expiration
Security Totals:		499.91		800.14		-300.23	
CALL TSLA 02/12/21 800 TESLA INC CUSIP: Symbol(Box 1a): TSLA210212C00800000							
02/12/2021	1.00	314.90	02/12/2021	485.08	0.00	-170.18	Sell to Close
AFFIRM HOLDINGS INC CLASS A COMMON STOCK CUSIP: 00827B106 Symbol(Box 1a): AFRM							
01/14/2021	50.00	5,346.87	Various	4,879.30	0.00	467.57	Sale
CALL UBER 04/16/21 62 UBER TECHNOLOGIES INC CUSIP: Symbol(Box 1a): UBER210416C00062000							
04/13/2021	1.00	52.91	04/13/2021	45.07	0.00	7.84	Sell to Close
CALL BABA 01/22/21 240 ALIBABA GROUP HOLDING LIMITED CUSIP: Symbol(Box 1a): BABA210122C00240000							
01/13/2021	1.00	299.90	01/13/2021	235.08	0.00	64.82	Sell to Close
CALL BIDU 04/09/21 220 BAIDU INC CUSIP: Symbol(Box 1a): BIDU210409C00220000							
04/01/2021	1.00	539.91	04/01/2021	500.07	0.00	39.84	Sell to Close
CALL DIS 03/19/21 210 WALT DISNEY CO CUSIP: Symbol(Box 1a): DIS210319C00210000							
02/18/2021	2.00	199.82	02/17/2021	268.16	34.17 D	-34.17	Sell to Close
02/22/2021	1.00	131.90	02/17/2021	164.25	0.00	-32.35	Sell to Close
Security Totals:		331.72		432.41		-66.52	
CALL NOK 01/29/21 7 NOKIA CORPORATION CUSIP: Symbol(Box 1a): NOK210129C00007000							
01/27/2021	1.00	202.90	01/27/2021	145.08	0.00	57.82	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MQ16480

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL NFLX 01/22/21 600 NETFLIX INC CUSIP: Symbol(Box 1a): NFLX210122C00600000							
01/20/2021	1.00	394.90	01/20/2021	400.08	0.00	-5.18	Sell to Close
CALL MU 03/26/21 95 MICRON TECHNOLOGY INC CUSIP: Symbol(Box 1a): MU210326C00095000							
03/16/2021	1.00	199.90	03/16/2021	173.08	0.00	26.82	Sell to Close
CALL AMZN 04/16/21 3460 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210416C03460000							
04/15/2021	1.00	179.91	04/15/2021	140.07	0.00	39.84	Sell to Close
CALL TSLA 04/30/21 730 TESLA INC CUSIP: Symbol(Box 1a): TSLA210430C00730000							
04/27/2021	1.00	879.91	04/27/2021	800.07	0.00	79.84	Sell to Close
CALL AMZN 03/05/21 3100 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210305C03100000							
03/04/2021	1.00	249.90	03/04/2021	350.08	100.18 D	0.00	Sell to Close
03/04/2021	1.00	249.90	03/04/2021	260.26	0.00	-10.36	Sell to Close
03/04/2021	1.00	499.90	03/04/2021	415.26	0.00	84.64	Sell to Close
03/04/2021	1.00	534.90	03/04/2021	550.08	15.18 D	0.00	Sell to Close
Security Totals:		1,534.60		1,575.68		74.28	
CALL SLV 07/16/21 35 ISHARES SILVER SHARES CUSIP: Symbol(Box 1a): SLV210716C00035000							
01/29/2021	1.00	183.90	01/29/2021	187.08	0.00	-3.18	Sell to Close
CALL SQ 02/19/21 220 SQUARE INC CUSIP: Symbol(Box 1a): SQ210219C00220000							
01/28/2021	1.00	1,099.88	01/28/2021	1,135.08	0.00	-35.20	Sell to Close
CALL PLUG 05/14/21 30 PLUG POWER INC CUSIP: Symbol(Box 1a): PLUG210514C00030000							
04/21/2021	1.00	148.91	04/21/2021	150.07	0.00	-1.16	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

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01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL MRO 04/16/21 9 MARATHON OIL CORP CUSIP: Symbol(Box 1a): MRO210416C00009000							
01/05/2021	1.00	51.90	01/04/2021	35.08	0.00	16.82	Sell to Close
01/05/2021	2.00	83.82	01/04/2021	70.16	0.00	13.66	Sell to Close
Security Totals:		135.72		105.24		30.48	
CALL VERU 04/16/21 10 VERU INC CUSIP: Symbol(Box 1a): VERU210416C00010000							
01/07/2021	1.00	279.90	01/05/2021	292.08	0.00	-12.18	Sell to Close
CALL ORCL 03/12/21 67 ORACLE CORP CUSIP: Symbol(Box 1a): ORCL210312C00067000							
03/11/2021	1.00	49.90	03/11/2021	51.08	0.00	-1.18	Sell to Close
PUT BYND 05/07/21 110 BEYOND MEAT INC CUSIP: Symbol(Box 1a): BYND210507P00110000							
05/06/2021	1.00	239.91	05/06/2021	250.07	10.16 D	0.00	Sell to Close
05/07/2021	1.00	302.91	05/06/2021	230.23	0.00	72.68	Sell to Close
Security Totals:		542.82		480.30		72.68	
CALL TSLA 04/30/21 800 TESLA INC CUSIP: Symbol(Box 1a): TSLA210430C00800000							
04/22/2021	1.00	1,379.91	04/22/2021	1,250.07	0.00	129.84	Sell to Close
04/23/2021	1.00	949.91	04/22/2021	1,130.23	180.32 D	0.00	Sell to Close
04/23/2021	1.00	849.91	04/22/2021	1,150.07	300.16 D	0.00	Sell to Close
04/26/2021	1.00	994.91	04/23/2021	945.39	0.00	49.52	Sell to Close
Security Totals:		4,174.64		4,475.76		179.36	
CALL SOLO 01/15/21 7 ELECTRAMECANICA VEHICLES CORP CUSIP: Symbol(Box 1a): SOLO210115C00007000							
01/07/2021	2.00	133.82	01/07/2021	130.16	0.00	3.66	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MQ16480

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
PUT BYND 05/07/21 115 BEYOND MEAT INC CUSIP: Symbol(Box 1a): BYND210507P00115000							
05/06/2021	1.00	397.91	05/06/2021	400.07	0.00	-2.16	Sell to Close
CALL AMZN 02/05/21 3740 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210205C03740000							
01/25/2021	1.00	2,029.86	01/22/2021	1,714.28	0.00	315.58	Sell to Close
01/25/2021	1.00	1,800.88	01/21/2021	2,200.08	399.2 D	0.00	Sell to Close
Security Totals:		3,830.74		3,914.36		315.58	
CALL DKNG 02/05/21 60 DRAFTKINGS INC CL A CUSIP: Symbol(Box 1a): DKNG210205C00060000							
02/02/2021	1.00	159.90	02/02/2021	172.08	0.00	-12.18	Sell to Close
CALL AMZN 02/05/21 3700 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210205C03700000							
01/25/2021	1.00	1,699.87	01/25/2021	1,622.08	0.00	77.79	Sell to Close
01/25/2021	1.00	1,669.87	01/25/2021	1,550.08	0.00	119.79	Sell to Close
01/26/2021	1.00	1,719.87	01/25/2021	1,600.08	0.00	119.79	Sell to Close
Security Totals:		5,089.61		4,772.24		317.37	
CARLOTZ INC CLASS A COMMON STOCK CUSIP: 142552108 Symbol(Box 1a): LOTZ							
04/26/2021	60.00	454.78	04/26/2021	454.80	0.00	-0.02	Sale
CALL TSLA 05/07/21 670 TESLA INC CUSIP: Symbol(Box 1a): TSLA210507C00670000							
05/06/2021	1.00	549.91	05/06/2021	445.07	0.00	104.84	Sell to Close
PUT FCCEL 03/26/21 15 FUELCELL ENERGY INC CUSIP: Symbol(Box 1a): FCCEL210326P00015000							
03/16/2021	1.00	158.90	03/16/2021	159.08	0.00	-0.18	Sell to Close
CALL MRVL 03/12/21 43 MARVELL TECHNOLOGY GROUP CUSIP: Symbol(Box 1a): MRVL210312C00043000							
03/09/2021	1.00	84.90	03/08/2021	33.26	0.00	51.64	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MQ16480

(continued)

01/31/2022

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CALL MRVL 03/12/21 43 MARVELL TECHNOLOGY GROUP CUSIP: Symbol(Box 1a): MRVL210312C00043000							
03/09/2021	1.00	102.90	03/08/2021	104.08	1.18 D	0.00	Sell to Close
Security Totals:		187.80		137.34		51.64	
CALL NAK 01/21/22 1 NORTHERN DYNASTY MINERALS CUSIP: Symbol(Box 1a): NAK220121C00001000							
01/27/2021	1.00	39.90	01/27/2021	36.08	0.00	3.82	Sell to Close
01/28/2021	1.00	39.90	01/27/2021	36.08	0.00	3.82	Sell to Close
Security Totals:		79.80		72.16		7.64	
CALL NIO 01/15/21 75 NIO INC ADS CUSIP: Symbol(Box 1a): NIO210115C00075000							
01/11/2021	1.00	129.90	01/11/2021	92.08	0.00	37.82	Sell to Close
CALL TDOC 04/30/21 190 TELADOC HEALTH INC CUSIP: Symbol(Box 1a): TDOC210430C00190000							
04/23/2021	1.00	499.91	04/22/2021	560.07	0.00	-60.16	Sell to Close
CALL SNDL 01/29/21 1.50 SUNDIAL GROWERS INC CUSIP: Symbol(Box 1a): SNDL210129C00001500							
01/28/2021	2.00	9.82	01/28/2021	40.16	0.00	-30.34	Sell to Close
CALL DKNG 01/29/21 57 DRAFTKINGS INC CL A CUSIP: Symbol(Box 1a): DKNG210129C00057000							
01/26/2021	1.00	111.90	01/26/2021	153.08	0.00	-41.18	Sell to Close
CALL AAPL 01/29/21 147 APPLE INC CUSIP: Symbol(Box 1a): AAPL210129C00147000							
01/25/2021	1.00	344.90	01/25/2021	403.08	58.18 D	0.00	Sell to Close
01/25/2021	1.00	349.90	01/25/2021	372.26	0.00	-22.36	Sell to Close
Security Totals:		694.80		775.34		-22.36	
PUT MO 04/16/21 45 ALTRIA GROUP INC CUSIP: Symbol(Box 1a): MO210416P00045000							
03/16/2021	1.00	31.90	03/16/2021	37.08	0.00	-5.18	Sell to Close

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Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
PUT MO 04/16/21 45 ALTRIA GROUP INC CUSIP: Symbol(Box 1a): MO210416P00045000							
03/16/2021	1.00	34.90	03/16/2021	37.08	0.00	-2.18	Sell to Close
Security Totals:		66.80		74.16		-7.36	
PUT AMZN 03/19/21 3030 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210319P03030000							
03/19/2021	1.00	1,349.90	03/19/2021	1,500.08	150.18 D	0.00	Sell to Close
03/19/2021	1.00	1,199.90	03/19/2021	1,050.26	0.00	149.64	Sell to Close
Security Totals:		2,549.80		2,550.34		149.64	
CALL SNOW 04/16/21 240 SNOWFLAKE INC CLASS A CUSIP: Symbol(Box 1a): SNOW210416C00240000							
04/08/2021	1.00	499.91	04/08/2021	500.07	0.00	-0.16	Sell to Close
CALL TSLA 03/19/21 800 TESLA INC ADJ 5:1 STOCK SPLIT CUSIP: Symbol(Box 1a): TSLA210319C00800000							
03/11/2021	1.00	444.90	03/11/2021	525.08	0.00	-80.18	Sell to Close
CALL DBX 04/16/21 23 DROPBOX INC CUSIP: Symbol(Box 1a): DBX210416C00023000							
02/02/2021	1.00	289.90	02/01/2021	275.08	0.00	14.82	Sell to Close
CALL BABA 01/22/21 265 ALIBABA GROUP HOLDING LIMITED CUSIP: Symbol(Box 1a): BABA210122C00265000							
01/21/2021	1.00	149.90	01/21/2021	149.08	0.00	0.82	Sell to Close
PUT JD 02/19/21 85 JD.COM INC CUSIP: Symbol(Box 1a): JD210219P00085000							
01/26/2021	1.00	93.90	01/26/2021	92.08	0.00	1.82	Sell to Close
PUT SPY 01/06/21 375 STANDARD & POORS DEPOSITORY CUSIP: Symbol(Box 1a): SPY210106P00375000							
01/06/2021	1.00	99.90	01/06/2021	53.08	0.00	46.82	Sell to Close
01/06/2021	1.00	103.90	01/06/2021	53.08	0.00	50.82	Sell to Close
Security Totals:		203.80		106.16		97.64	

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2021 Form 1099-B*

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01/31/2022

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Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL BABA 01/08/21 240 ALIBABA GROUP HOLDING LIMITED CUSIP: Symbol(Box 1a): BABA210108C00240000							
01/05/2021	1.00	372.91	01/05/2021	270.08	0.00	102.83	Sell to Close
01/08/2021	1.00	79.90	01/08/2021	98.08	0.00	-18.18	Sell to Close
Security Totals:		452.81		368.16		84.65	
CALL AMZN 01/29/21 3310 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210129C03310000							
01/21/2021	1.00	6,544.76	01/21/2021	6,222.08	0.00	322.68	Sell to Close
PUT AMZN 03/19/21 3020 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210319P03020000							
03/19/2021	1.00	1,399.90	03/19/2021	2,000.08	600.18 D	0.00	Sell to Close
03/19/2021	1.00	1,699.90	03/19/2021	1,800.26	0.00	-100.36	Sell to Close
Security Totals:		3,099.80		3,800.34		-100.36	
CALL AMZN 01/22/21 3290 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210122C03290000							
01/20/2021	1.00	579.89	01/14/2021	2,830.27	2250.38 D	0.00	Sell to Close
01/20/2021	1.00	499.89	01/13/2021	2,000.08	1500.19 D	0.00	Sell to Close
01/20/2021	1.00	820.89	01/14/2021	3,300.46	0.00	-2,479.57	Sell to Close
Security Totals:		1,900.67		8,130.81		-2,479.57	
CALL GOLD 01/15/21 32 BARRICK GOLD CORPORATION CUSIP: Symbol(Box 1a): GOLD210115C00032000							
01/15/2021	2.00	0.00	09/23/2020	266.18	0.00	-266.18	Expiration
CALL JPM 03/26/21 150 JPMORGAN CHASE & CO CUSIP: Symbol(Box 1a): JPM210326C00150000							
03/23/2021	1.00	235.90	03/23/2021	250.08	0.00	-14.18	Sell to Close
CALL TSLA 01/15/21 1000 TESLA INC CUSIP: Symbol(Box 1a): TSLA210115C01000000							
01/11/2021	1.00	242.90	01/11/2021	350.08	0.00	-107.18	Sell to Close

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CALL SNDL 02/05/21 1							
SUNDIAL GROWERS INC CUSIP: Symbol(Box 1a): SNDL210205C00001000							
01/07/2021	5.00	74.58	01/07/2021	75.40	0.00	-0.82	Sell to Close
CALL SPY 03/31/21 395							
STANDARD & POORS DEPOSITORY CUSIP: Symbol(Box 1a): SPY210331C00395000							
03/31/2021	1.00	151.90	03/30/2021	123.08	0.00	28.82	Sell to Close
CALL FCEL 03/26/21 15							
FUELCELL ENERGY INC CUSIP: Symbol(Box 1a): FCEL210326C00015000							
03/16/2021	1.00	219.90	03/16/2021	159.08	0.00	60.82	Sell to Close
CALL NVDA 02/19/21 605							
NVIDIA CORP CUSIP: Symbol(Box 1a): NVDA210219C00605000							
02/19/2021	1.00	8.90	02/19/2021	40.08	0.00	-31.18	Sell to Close
CALL AMZN 02/19/21 3300							
AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210219C03300000							
02/18/2021	1.00	1,599.87	02/18/2021	1,502.08	0.00	97.79	Sell to Close
PUT BABA 02/05/21 250							
ALIBABA GROUP HOLDING LIMITED CUSIP: Symbol(Box 1a): BABA210205P00250000							
02/05/2021	1.00	0.00	02/01/2021	230.08	0.00	-230.08	Expiration
CALL MSFT 02/05/21 235							
MICROSOFT CORP CUSIP: Symbol(Box 1a): MSFT210205C00235000							
01/29/2021	1.00	384.90	01/29/2021	397.08	0.00	-12.18	Sell to Close
CALL FB 04/30/21 310							
FACEBOOK INC CL A CUSIP: Symbol(Box 1a): FB210430C00310000							
04/29/2021	1.00	1,999.90	04/28/2021	590.07	0.00	1,409.83	Sell to Close
CALL ETSY 05/07/21 210							
ETSY INC CUSIP: Symbol(Box 1a): ETSY210507C00210000							
05/03/2021	1.00	319.91	05/03/2021	1,055.55	0.00	-735.64	Sell to Close
05/03/2021	1.00	329.91	05/03/2021	820.39	490.48 D	0.00	Sell to Close
05/03/2021	1.00	529.91	04/30/2021	750.07	220.16 D	0.00	Sell to Close

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05/03/2021	1.00	549.91	04/30/2021	820.23	270.32 D	0.00	Sell to Close
Security Totals:		1,729.64		3,446.24		-735.64	
CALL ABNB 01/22/21 180 AIRBNB INC CUSIP: Symbol(Box 1a): ABNB210122C00180000							
01/21/2021	1.00	199.90	01/21/2021	180.08	0.00	19.82	Sell to Close
CALL OPK 03/19/21 5 OPKO HEALTH INC CUSIP: Symbol(Box 1a): OPK210319C00005000							
01/25/2021	1.00	46.90	01/25/2021	46.08	0.00	0.82	Sell to Close
CALL AI 02/19/21 170 C3 AI INC CLASS A CUSIP: Symbol(Box 1a): AI210219C00170000							
01/25/2021	1.00	649.89	01/25/2021	570.08	0.00	79.81	Sell to Close
CALL BILI 02/19/21 140 BILIBILI INC ADS CUSIP: Symbol(Box 1a): BIL210219C00140000							
01/20/2021	1.00	659.89	01/20/2021	670.27	0.00	-10.38	Sell to Close
01/20/2021	1.00	679.89	01/20/2021	690.08	10.19 D	0.00	Sell to Close
Security Totals:		1,339.78		1,360.35		-10.38	
CALL AAPL 04/09/21 125 APPLE INC CUSIP: Symbol(Box 1a): AAPL210409C00125000							
03/31/2021	1.00	100.90	03/31/2021	90.08	0.00	10.82	Sell to Close
03/31/2021	1.00	85.90	03/31/2021	90.08	4.18 D	0.00	Sell to Close
04/05/2021	1.00	199.91	04/05/2021	164.25	0.00	35.66	Sell to Close
Security Totals:		386.71		344.41		46.48	
PUT ATVI 02/12/21 90 ACTIVISION BLIZZARD INC CUSIP: Symbol(Box 1a): ATVI210212P00090000							
02/12/2021	1.00	0.00	02/04/2021	132.08	0.00	-132.08	Expiration
CALL BYND 01/15/21 120 BEYOND MEAT INC CUSIP: Symbol(Box 1a): BYND210115C00120000							
01/11/2021	1.00	144.90	01/11/2021	145.08	0.00	-0.18	Sell to Close

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AMC ENTERTAINMENT HOLDINGS INC CL A COM CUSIP: 00165C104 Symbol(Box 1a): AMC							
01/25/2021	500.00	2,384.88	Various	2,349.00	1.02 D	36.90	Sale
01/27/2021	100.00	1,389.95	01/27/2021	1,199.02	0.00	190.93	Sale
Security Totals:		3,774.83		3,548.02		227.83	
CALL CRM 01/15/21 217.50 SALESFORCE.COM INC CUSIP: Symbol(Box 1a): CRM210115C00217500							
01/13/2021	1.00	199.90	01/13/2021	195.08	0.00	4.82	Sell to Close
CALL FDX 01/15/21 255 FEDEX CORP CUSIP: Symbol(Box 1a): FDX210115C00255000							
01/12/2021	1.00	99.90	01/12/2021	108.08	0.00	-8.18	Sell to Close
TESLA INC COMMON STOCK CUSIP: 88160R101 Symbol(Box 1a): TSLA							
03/24/2021	15.00	10,072.43	Various	9,974.45	0.00	97.98	Sale
03/30/2021	10.00	6,359.95	Various	6,408.85	59.45 D	10.55	Sale
03/30/2021	10.00	6,371.15	03/24/2021	6,700.00	328.85 D	0.00	Sale
04/12/2021	10.00	6,859.95	Various	6,919.45	59.47 D	-0.03	Sale
05/11/2021	5.00	2,995.97	05/11/2021	2,994.47	0.00	1.50	Sale
Security Totals:		32,659.45		32,997.22		110.00	
CALL AAPL 03/05/21 133 APPLE INC CUSIP: Symbol(Box 1a): AAPL210305C00133000							
01/29/2021	1.00	699.89	01/29/2021	705.08	0.00	-5.19	Sell to Close
CALL HTZGQ 01/15/21 3.50 HERTZ GLOBAL HOLDINGS INC CUSIP: Symbol(Box 1a): HTZGQ210115C00003500							
01/15/2021	1.00	0.00	07/08/2020	15.09	0.00	-15.09	Expiration
CALL TSLA 05/07/21 725 TESLA INC CUSIP: Symbol(Box 1a): TSLA210507C00725000							
05/03/2021	1.00	659.91	04/30/2021	1,030.07	0.00	-370.16	Sell to Close
CALL CSIQ 03/26/21 45 CANADIAN SOLAR INC CUSIP: Symbol(Box 1a): CSIQ210326C00045000							
03/25/2021	1.00	54.90	03/25/2021	55.08	0.00	-0.18	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MQ16480

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****Description of property | CUSIP | Symbol(Box 1a)**

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
COINBASE GLOBAL INC CLASS A COMMON STOCK CUSIP: 19260Q107 Symbol(Box 1a): COIN							
04/14/2021	8.00	3,395.25	04/14/2021	3,195.36	0.00	199.89	Sale
04/15/2021	10.00	3,499.97	Various	3,504.80	0.00	-4.83	Sale
Security Totals:		6,895.22		6,700.16		195.06	
***ITERUM THERAPEUTICS PLC ORDINARY SHARE CUSIP: G6333L101 Symbol(Box 1a): ITRM							
01/25/2021	350.00	640.83	01/25/2021	798.00	112.26 D	-44.91	Sale
02/08/2021	400.00	883.93	Various	994.26	0.00	-110.33	Sale
Security Totals:		1,524.76		1,792.26		-155.24	
CALL GM 02/19/21 45 GENERAL MOTORS COMPANY CUSIP: Symbol(Box 1a): GM210219C00045000							
01/11/2021	1.00	210.90	01/11/2021	210.08	0.00	0.82	Sell to Close
PUT AMZN 03/26/21 3115 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210326P03115000							
03/24/2021	1.00	2,299.89	03/24/2021	2,200.08	0.00	99.81	Sell to Close
CALL GOGO 02/19/21 12 GOGO INC CUSIP: Symbol(Box 1a): GOGO210219C00012000							
01/06/2021	1.00	49.90	01/06/2021	50.08	0.00	-0.18	Sell to Close
01/06/2021	1.00	49.90	01/06/2021	50.08	0.00	-0.18	Sell to Close
Security Totals:		99.80		100.16		-0.36	
CHURCHILL CAPITAL CORP IV CLASS A COMMON STOCK CUSIP: 171439102 Symbol(Box 1a): CCIV							
01/13/2021	100.00	1,546.45	Various	1,448.07	0.00	98.38	Sale
01/13/2021	99.00	1,534.45	01/11/2021	1,244.43	0.00	290.02	Sale
01/14/2021	101.00	1,886.45	Various	1,871.50	0.00	14.95	Sale
Security Totals:		4,967.35		4,564.00		403.35	
CALL FUBO 01/29/21 35 FUBOTV INC CUSIP: Symbol(Box 1a): FUBO210129C00035000							
01/21/2021	1.00	244.90	01/21/2021	268.08	0.00	-23.18	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MQ16480

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

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Box 12: Basis Reported to the IRS

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL PRPL 01/15/21 35 PURPLE INNOVATION INC CUSIP: Symbol(Box 1a): PRPL210115C00035000							
01/13/2021	1.00	94.90	01/13/2021	85.08	0.00	9.82	Sell to Close
01/13/2021	1.00	86.90	01/13/2021	85.08	0.00	1.82	Sell to Close
Security Totals:		181.80		170.16		11.64	
PUT AMZN 05/07/21 3470 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210507P03470000							
05/03/2021	1.00	3,699.90	05/03/2021	3,600.07	0.00	99.83	Sell to Close
PUT AMZN 04/30/21 3505 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210430P03505000							
04/30/2021	1.00	3,134.90	04/30/2021	2,500.07	0.00	634.83	Sell to Close
04/30/2021	1.00	2,999.90	04/30/2021	2,600.07	0.00	399.83	Sell to Close
Security Totals:		6,134.80		5,100.14		1,034.66	
CALL AMZN 03/26/21 3135 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210326C03135000							
03/23/2021	1.00	2,599.89	03/22/2021	2,000.08	0.00	599.81	Sell to Close
CALL AMZN 01/22/21 3300 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210122C03300000							
01/13/2021	1.00	1,999.86	01/13/2021	1,900.08	0.00	99.78	Sell to Close
PUT AMZN 04/16/21 3380 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210416P03380000							
04/16/2021	1.00	599.91	04/16/2021	550.07	0.00	49.84	Sell to Close
PUT AMZN 03/26/21 3125 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210326P03125000							
03/24/2021	1.00	2,499.89	03/23/2021	2,600.08	0.00	-100.19	Sell to Close
PUT TSLA 04/30/21 690 TESLA INC CUSIP: Symbol(Box 1a): TSLA210430P00690000							
04/27/2021	1.00	654.91	04/26/2021	1,050.07	0.00	-395.16	Sell to Close
CALL CVX 01/15/21 92.50 CHEVRON CORPORATION CUSIP: Symbol(Box 1a): CVX210115C00092500							
01/08/2021	1.00	133.90	01/08/2021	135.08	0.00	-1.18	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

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(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL RUN 04/16/21	60	SUNRUN INC CUSIP: Symbol(Box 1a): RUN210416C00060000					
03/23/2021	1.00	400.90	03/23/2021	400.08	0.00	0.82	Sell to Close
CALL BP 01/08/21	22	BP P L C SPONSORED ADR CUSIP: Symbol(Box 1a): BP210108C00022000					
01/05/2021	4.00	123.66	01/05/2021	108.32	0.00	15.34	Sell to Close
CALL VXX 02/05/21	22	IPATH SER B S&P 500 VIX SHORT CUSIP: Symbol(Box 1a): VXX210205C00022000					
01/27/2021	1.00	78.90	01/27/2021	77.08	0.00	1.82	Sell to Close
CALL DDOG 01/08/21	95	DATADOG INC CL A CUSIP: Symbol(Box 1a): DDOG210108C00095000					
01/07/2021	1.00	99.90	01/07/2021	100.08	0.00	-0.18	Sell to Close
CALL VXX 03/19/21	20	IPATH SER B S&P 500 VIX SHORT CUSIP: Symbol(Box 1a): VXX210319C00020000					
01/27/2021	1.00	236.90	01/25/2021	210.08	0.00	26.82	Sell to Close
CALL NIO 02/19/21	60	NIO INC ADS CUSIP: Symbol(Box 1a): NIO210219C00060000					
02/17/2021	1.00	72.90	02/17/2021	45.08	0.00	27.82	Sell to Close
02/17/2021	1.00	38.90	02/17/2021	45.08	0.00	-6.18	Sell to Close
Security Totals:		111.80		90.16		21.64	
CALL AMZN 01/29/21	3315	AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210129C03315000					
01/21/2021	1.00	-328.31	01/21/2021	0.00	0.00	-328.31	Buy to Close
CALL NIO 01/15/21	60	NIO INC ADS CUSIP: Symbol(Box 1a): NIO210115C00060000					
01/15/2021	1.00	18.90	01/15/2021	87.08	0.00	-68.18	Sell to Close
01/15/2021	1.00	0.00	01/15/2021	87.08	0.00	-87.08	Expiration
Security Totals:		18.90		174.16		-155.26	
CALL TSLA 01/08/21	860	TESLA INC CUSIP: Symbol(Box 1a): TSLA210108C00860000					
01/08/2021	1.00	699.89	01/08/2021	780.08	0.00	-80.19	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MQ16480

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.**

Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL AMC 02/05/21	5						
AMC ENTERTAINMENT HOLDINGS INC CUSIP: Symbol(Box 1a): AMC210205C00005000							
01/26/2021	1.00	92.90	01/26/2021	110.08	0.00	-17.18	Sell to Close
PUT PLTR 01/29/21	34						
PALANTIR TECHNOLOGIES INC CL A CUSIP: Symbol(Box 1a): PLTR210129P00034000							
01/27/2021	1.00	280.90	01/26/2021	181.08	0.00	99.82	Sell to Close
PUT AMZN 02/05/21	3285						
AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210205P03285000							
02/05/2021	2.00	0.00	Various	2,950.16	0.00	-2,950.16	Expiration
CALL GME 01/22/21	43						
GAMESTOP CORP CUSIP: Symbol(Box 1a): GME210122C00043000							
01/21/2021	1.00	160.90	01/21/2021	160.08	0.00	0.82	Sell to Close
PUT SPY 01/29/21	373						
STANDARD & POORS DEPOSITORY CUSIP: Symbol(Box 1a): SPY210129P00373000							
01/29/2021	1.00	215.90	01/29/2021	145.08	0.00	70.82	Sell to Close
CALL SNOW 01/15/21	310						
SNOWFLAKE INC CLASS A CUSIP: Symbol(Box 1a): SNOW210115C00310000							
01/07/2021	1.00	499.89	12/29/2020	1,750.08	0.00	-1,250.19	Sell to Close
CALL WFC 02/19/21	30						
WELLS FARGO & CO CUSIP: Symbol(Box 1a): WFC210219C00030000							
02/02/2021	1.00	159.90	01/29/2021	172.08	0.00	-12.18	Sell to Close
CALL SPY 01/11/21	378						
STANDARD & POORS DEPOSITORY CUSIP: Symbol(Box 1a): SPY210111C00378000							
01/11/2021	1.00	146.90	01/11/2021	135.08	0.00	11.82	Sell to Close
CALL XOM 01/15/21	55						
EXXON MOBIL CORP CUSIP: Symbol(Box 1a): XOM210115C00055000							
01/15/2021	8.00	0.00	Various	572.52	0.00	-572.52	Expiration
CALL PARR 06/18/21	25						
PAR PACIFIC HOLDINGS INC CUSIP: Symbol(Box 1a): PARR210618C00025000							
03/19/2021	1.00	39.90	03/19/2021	55.08	0.00	-15.18	Sell to Close
***SUNDIAL GROWERS INC							
COM CUSIP: 86730L109 Symbol(Box 1a): SNDL							
02/03/2021	500.00	602.42	02/01/2021	620.00	0.00	-17.58	Sale

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Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MQ16480

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL AAPL 01/22/21 138 APPLE INC CUSIP: Symbol(Box 1a): AAPL210122C00138000							
01/21/2021	1.00	50.90	01/21/2021	39.08	0.00	11.82	Sell to Close
01/21/2021	1.00	47.90	01/21/2021	39.08	0.00	8.82	Sell to Close
Security Totals:		98.80		78.16		20.64	
CALL SLV 01/15/21 24 ISHARES SILVER SHARES CUSIP: Symbol(Box 1a): SLV210115C00024000							
01/08/2021	1.00	54.91	01/08/2021	53.08	0.00	1.83	Sell to Close
01/08/2021	1.00	55.90	01/08/2021	53.08	0.00	2.82	Sell to Close
Security Totals:		110.81		106.16		4.65	
CALL LMND 04/30/21 90 LEMONADE INC CUSIP: Symbol(Box 1a): LMND210430C00090000							
04/21/2021	1.00	329.91	04/21/2021	280.07	0.00	49.84	Sell to Close
CALL ABNB 01/15/21 190 AIRBNB INC CUSIP: Symbol(Box 1a): ABNB210115C00190000							
01/14/2021	1.00	139.90	01/14/2021	195.08	0.00	-55.18	Sell to Close
CALL AAPL 01/29/21 137 APPLE INC CUSIP: Symbol(Box 1a): AAPL210129C00137000							
01/25/2021	1.00	919.88	01/21/2021	445.08	0.00	474.80	Sell to Close
PUT SPY 01/11/21 373 STANDARD & POORS DEPOSITORY CUSIP: Symbol(Box 1a): SPY210111P00373000							
01/07/2021	1.00	82.90	01/07/2021	79.08	0.00	3.82	Sell to Close
01/08/2021	1.00	56.90	01/07/2021	79.08	0.00	-22.18	Sell to Close
Security Totals:		139.80		158.16		-18.36	
CALL DAL 03/19/21 50 DELTA AIR LINES INC DEL CUSIP: Symbol(Box 1a): DAL210319C00050000							
03/12/2021	1.00	99.90	03/12/2021	104.08	0.00	-4.18	Sell to Close
PUT PENN 01/29/21 100 PENN NATIONAL GAMING INC CUSIP: Symbol(Box 1a): PENN210129P00100000							
01/25/2021	1.00	219.90	01/25/2021	285.08	0.00	-65.18	Sell to Close

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2021 Form 1099-B*

5MQ16480

(continued)

01/31/2022

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SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

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CALL NOK 01/29/21 9 NOKIA CORPORATION CUSIP: Symbol(Box 1a): NOK210129C00009000							
01/27/2021	1.00	139.90	01/27/2021	157.08	0.00	-17.18	Sell to Close
CALL BAC 02/19/21 35 BANK OF AMERICA CORP CUSIP: Symbol(Box 1a): BAC210219C00035000							
01/14/2021	1.00	89.90	01/12/2021	94.08	0.00	-4.18	Sell to Close
CALL ETSY 01/15/21 185 ETSY INC CUSIP: Symbol(Box 1a): ETSY210115C00185000							
01/11/2021	1.00	359.90	01/11/2021	350.08	0.00	9.82	Sell to Close
PUT WFC 01/22/21 33 WELLS FARGO & CO CUSIP: Symbol(Box 1a): WFC210122P00033000							
01/15/2021	1.00	114.90	01/14/2021	38.08	0.00	76.82	Sell to Close
01/15/2021	3.00	320.74	Various	190.24	0.00	130.50	Sell to Close
Security Totals:		435.64		228.32		207.32	
CALL RKT 04/01/21 25.39 ROCKET COMPANIES INC CL A ADJ CASH DISTRIBUTION CUSIP: Symbol(Box 1a): RKT210401C00025390							
03/29/2021	1.00	152.90	03/29/2021	132.08	0.00	20.82	Sell to Close
CALL CCIV 03/19/21 20 CHURCHILL CAPITAL CORP IV CL A CUSIP: Symbol(Box 1a): CCIV210319C00020000							
01/14/2021	1.00	439.90	01/14/2021	460.08	0.00	-20.18	Sell to Close
PUT ETSY 05/07/21 175 ETSY INC CUSIP: Symbol(Box 1a): ETSY210507P00175000							
05/06/2021	1.00	879.91	05/05/2021	440.07	0.00	439.84	Sell to Close
CALL XPEV 02/19/21 41.50 XPENG INC ADR CLASS A CUSIP: Symbol(Box 1a): XPEV210219C00041500							
02/19/2021	1.00	15.90	02/19/2021	17.08	1.18 D	0.00	Sell to Close
02/19/2021	1.00	0.00	02/19/2021	19.26	0.00	-19.26	Expiration
Security Totals:		15.90		36.34		-19.26	
***NOKIA CORPORATION SPONSORED ADR REPSTG 1 SER A CUSIP: 654902204 Symbol(Box 1a): NOK							
01/28/2021	10.00	48.08	01/27/2021	70.59	0.00	-22.51	Sale

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MQ16480

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL AMZN 04/16/21 3375 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210416C03375000							
04/16/2021	1.00	949.91	04/16/2021	800.07	0.00	149.84	Sell to Close
CALL AMZN 01/29/21 3500 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210129C03500000							
01/08/2021	1.00	2,299.85	01/07/2021	2,400.08	100.23 D	0.00	Sell to Close
01/21/2021	1.00	1,649.87	01/14/2021	1,750.28	0.00	-100.41	Sell to Close
01/21/2021	1.00	1,689.87	01/13/2021	2,720.50	0.00	-1,030.63	Sell to Close
01/21/2021	2.00	2,599.77	Various	4,240.39	1640.62 D	0.00	Sell to Close
Security Totals:		8,239.36		11,111.25		-1,131.04	
PUT SPY 02/19/21 365 STANDARD & POORS DEPOSITORY CUSIP: Symbol(Box 1a): SPY210219P00365000							
01/04/2021	1.00	1,091.88	12/30/2020	818.27	0.00	273.61	Sell to Close
CALL ACB 01/08/21 10 AURORA CANNABIS INC CUSIP: Symbol(Box 1a): ACB210108C00010000							
01/07/2021	1.00	71.90	01/06/2021	55.08	0.00	16.82	Sell to Close
01/07/2021	1.00	64.90	01/06/2021	55.08	0.00	9.82	Sell to Close
Security Totals:		136.80		110.16		26.64	
CALL TSLA 04/30/21 710 TESLA INC CUSIP: Symbol(Box 1a): TSLA210430C00710000							
04/28/2021	1.00	959.91	04/28/2021	960.07	0.00	-0.16	Sell to Close
CALL FDX 01/29/21 275 FEDEX CORP CUSIP: Symbol(Box 1a): FDX210129C00275000							
01/07/2021	1.00	124.90	01/07/2021	155.08	0.00	-30.18	Sell to Close
PUT AMZN 04/09/21 3315 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210409P03315000							
04/08/2021	1.00	1,899.91	04/08/2021	1,800.07	0.00	99.84	Sell to Close
CALL AAPL 01/29/21 138 APPLE INC CUSIP: Symbol(Box 1a): AAPL210129C00138000							
01/22/2021	1.00	331.90	01/22/2021	350.08	18.18 D	0.00	Sell to Close

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MQ16480

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.

Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
01/25/2021	1.00	884.89	01/22/2021	433.26	0.00	451.63	Sell to Close
Security Totals:		1,216.79		783.34		451.63	
CALL ABNB 01/22/21 167.50 AIRBNB INC CUSIP: Symbol(Box 1a): ABNB210122C00167500							
01/21/2021	1.00	129.90	01/21/2021	160.08	0.00	-30.18	Sell to Close
CALL MSFT 04/30/21 262.50 MICROSOFT CORP CUSIP: Symbol(Box 1a): MSFT210430C00262500							
04/27/2021	1.00	367.91	04/26/2021	367.07	0.00	0.84	Sell to Close
CALL VALE 03/19/21 21 VALE S A CUSIP: Symbol(Box 1a): VALE210319C00021000							
03/19/2021	4.00	0.00	Various	111.32	0.00	-111.32	Expiration
CALL VALE 02/19/21 20 VALE S A CUSIP: Symbol(Box 1a): VALE210219C00020000							
02/01/2021	1.00	11.90	01/28/2021	16.08	0.00	-4.18	Sell to Close
02/01/2021	3.00	35.74	01/28/2021	48.24	0.00	-12.50	Sell to Close
Security Totals:		47.64		64.32		-16.68	
CALL AMC 02/19/21 3 AMC ENTERTAINMENT HOLDINGS INC CUSIP: Symbol(Box 1a): AMC210219C00003000							
01/22/2021	1.00	119.90	01/22/2021	118.08	0.00	1.82	Sell to Close
01/22/2021	1.00	110.90	01/22/2021	118.08	0.00	-7.18	Sell to Close
01/25/2021	1.00	176.90	01/22/2021	118.08	0.00	58.82	Sell to Close
Security Totals:		407.70		354.24		53.46	
CALL VALE 02/19/21 21 VALE S A CUSIP: Symbol(Box 1a): VALE210219C00021000							
02/01/2021	1.00	8.90	01/27/2021	10.08	0.00	-1.18	Sell to Close
02/01/2021	4.00	35.66	01/27/2021	40.32	0.00	-4.66	Sell to Close
Security Totals:		44.56		50.40		-5.84	

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MQ16480

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.

Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
GOODYEAR TIRE & RUBBER CO CUSIP: 382550101 Symbol(Box 1a): GT							
01/25/2021	75.00	863.22	01/20/2021	851.89	0.00	11.33	Sale
CALL UBER 05/07/21 52.50 UBER TECHNOLOGIES INC CUSIP: Symbol(Box 1a): UBER210507C00052500							
05/04/2021	1.00	204.91	05/04/2021	220.07	0.00	-15.16	Sell to Close
CALL AMZN 01/29/21 3350 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210129C03350000							
01/26/2021	1.00	2,809.84	01/26/2021	2,450.08	0.00	359.76	Sell to Close
PUT AMZN 10/29/21 3250 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN211029P03250000							
10/29/2021	1.00	1,014.94	10/28/2021	1,000.04	0.00	14.90	Sell to Close
CALL SNOW 01/29/21 300 SNOWFLAKE INC CLASS A CUSIP: Symbol(Box 1a): SNOW210129C00300000							
01/27/2021	1.00	348.90	01/27/2021	340.08	0.00	8.82	Sell to Close
PUT AMZN 04/16/21 3360 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210416P03360000							
04/14/2021	1.00	1,899.91	04/14/2021	1,900.07	0.16 D	0.00	Sell to Close
04/14/2021	1.00	2,199.90	04/14/2021	2,100.07	0.00	99.83	Sell to Close
04/14/2021	1.00	1,949.91	04/14/2021	1,800.23	0.00	149.68	Sell to Close
Security Totals:		6,049.72		5,800.37		249.51	
CALL AMD 04/16/21 90 ADVANCED MICRO DEVICES INC CUSIP: Symbol(Box 1a): AMD210416C00090000							
02/02/2021	1.00	679.89	02/02/2021	690.08	0.00	-10.19	Sell to Close
CALL AMZN 04/09/21 3345 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210409C03345000							
04/09/2021	1.00	1,799.91	04/09/2021	1,200.07	0.00	599.84	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MQ16480

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.

Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
PUT AMZN 02/05/21 3290 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210205P03290000							
02/04/2021	1.00	2,799.84	02/04/2021	2,590.08	0.00	209.76	Sell to Close
Totals:		1,089,742.74		1,231,063.14		-20,630.30	

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APEX CLEARING

Dividends and Distributions - Details of Form 1099-DIV

2021

5MQ16480

01/31/2022

This section of the information statement provides payment-level details for dividend-related distributions reported in aggregate on Form 1099-DIV. The information provided below is for your reference only. The break-out details provide payment-level information (including payment date and issuer) for different categories of distributions reported on Form 1099-DIV: ordinary dividends, including portions classified as qualified dividends and section 199A dividends, non-dividend distributions, exempt-interest dividends, and liquidation distributions.

Taxes withheld for federal, state, or foreign tax purposes are shown as negative numbers but do not offset the income amounts.

For dividends classified as "qualified dividends," the classification is made only from the issuer perspective without accounting for your holding period. Under IRS rules, classification as a qualified dividend for a taxpayer also depends on the taxpayer's holding period in the relevant stock, and a taxpayer is responsible for confirming such qualification based on the taxpayer's actual holding period. Taxpayers are reminded that they are ultimately responsible for the accuracy of their own tax returns.

Description CUSIP Symbol							
Date	Total Ordinary Dividends (Box 1a)	Qualified Dividends (Box 1b)	Nondividend Distributions (Box 3)	Section 199A Dividends (Box 5)	Investment Expenses (Box 6)	Foreign Tax Paid (Box 7)	Foreign Country or US Possession (Box 8)
PFIZER INC CUSIP: 717081103 Symbol: PFE							
03/05/2021	19.50	19.50	0.00	0.00	0.00	0.00	
Totals:	19.50	19.50	0.00	0.00	0.00	0.00	

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (SSN, ITIN, ATIN, or EIN). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) (with a Form 1096) to the IRS for each of the other owners to show their share of the income, and you must furnish the respective Copy B Form(s) to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2021 General Instructions for Certain Information Returns.

FreeFile. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

Keep tax documents for your records.

1099-DIV Instructions for Recipient

Box 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040), if required.

Box 1b. Shows the portion of the amount in box 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Box 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See *How To Report* in the Instructions for Schedule D (Form 1040). But, if no amount is shown in boxes 2b, 2c 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in box 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 or 1040-SR.

Box 2b. Shows the portion of the amount in box 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

Box 2c. Shows the portion of the amount in box 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

Box 2d. Shows the portion of the amount in box 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

Box 2e. Shows the portion of the amount in box 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

Box 2f. Shows the portion of the amount in box 2a that is section 897 gain attributable of USRPI.

Note: Boxes 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States.

See the instructions for your tax return.

Box 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Box 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5. Shows the portion of the amount in box 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Box 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in box 1a.

Box 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 or 104-SR.

Box 8. This box should be left blank if a RIC reported the foreign tax shown in box 7.

Boxes 9 and 10. Show cash and noncash liquidation distributions.

Box 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Form 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See Box 4 above.

Box 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in box 11. See the Instructions for Form 6251.

Boxes 13-15. State income tax withheld reporting boxes.

1099-INT Instructions for Recipient

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

Box 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in box 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2021 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

Box 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Form 1040 and 1040-SR to see where to take the deduction.

Box 3. Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in box 1. See the instructions above for a taxable covered security acquired at a premium.

Box 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Box 5. Any amount shown is your share of investment expenses of a single-class REMIC. This amount is included in box 1. **Note:** This amount is not deductible.

Box 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

Box 7. Shows the country or U.S. possession to which the foreign tax was paid.

Box 8. Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Form 1040 and 1040-SR. This amount may be subject to backup withholding. See Box 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

Box 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in box 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

Box 10. For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Form 1040 and 1040-SR. Market discount on a tax-exempt security is includable in taxable income as interest income.

Box 11. For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040) to determine the net amount of interest includable in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this box for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 1. If the amount in box 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

Box 12. For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040) to determine the net amount of interest includable in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this box for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 3. If the amount in box 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

Box 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this box, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this box for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in box 8 or 9, whichever is applicable. If the amount in box 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

Box 14. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s).

Boxes 15-17. State tax withheld reporting boxes.

Future developments. For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099INT.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting also is required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040), and which checkbox is applicable. See instructions for your Schedule D (Form 1040) and/or Form 8949.

Box 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Box 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Box 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Box 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040)(whichever is applicable) as explained in the Instructions for Schedule D (Form 1040).

Box 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, Instructions for Schedule D (Form 1040), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Box 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Box 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Box 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Box 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Box 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Box 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040) instructions. The broker should advise you of any losses on a separate statement.

Regulated Futures Contracts, Foreign Currency Contracts, and Section 1256 Option Contracts (Boxes 8 Through 11)

Box 8. Shows the profit or (loss) realized on regulated futures, foreign currency, or Section 1256 option contracts closed during 2021.

Box 9. Shows any year-end adjustment to the profit or (loss) shown in box 8 due to open contracts on December 31, 2020.

Box 10. Shows the unrealized profit or (loss) on open contracts held in your account on December 31, 2021. These are considered closed out as of that date. This will become an adjustment reported as unrealized profit or (loss) on open contracts—12/31/2021 in 2022.

Box 11. Boxes 8, 9, and 10 are all used to figure the aggregate profit or (loss) on regulated futures, foreign currency, or Section 1256 option contracts for the year. Include this amount on your 2021 Form 6781.

Box 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040) as you may be able to report your transaction directly on Schedule D (Form 1040). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Box 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Boxes 14–16. Shows state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

1099-MISC Instructions for Recipient

Amounts shown may be subject to self-employment (SE) tax. Individuals should see the Instructions for Schedule SE (Form 1040). Corporations, fiduciaries, or partnerships must report the amounts on the proper line of their tax returns.

Form 1099-MISC incorrect? If this form is incorrect or has been issued in error, contact the payer. If you cannot get this form corrected, attach an explanation to your tax return and report your income correctly.

Box 1. Report rents from real estate on Schedule E (Form 1040). However, report rents on Schedule C (Form 1040) if you provided significant services to the tenant, sold real estate as a business, or rented personal property as a business. See Pub. 527.

Box 2. Report royalties from oil, gas, or mineral properties; copyrights, and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the Schedule E (Form 1040 or Form 1040-SR) instructions. For royalties on timber, coal, and iron ore, see Pub. 544.

Box 3. Generally, report this amount on the "Other income" line of Schedule 1 (Form 1040) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).

Box 4. Shows backup withholding or withholding on Indian gaming profits. Generally, a payer must backup withhold if you did not furnish your TIN. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Box 5. Shows the amount paid to a fishing boat crew member who is considered by the operator to be self-employed. Self-employed individuals must report this amount on Schedule C (Form 1040). See Pub. 334.

Box 6. For individuals, report on Schedule C (Form 1040).

Box 7. If checked, consumer products totaling \$5,000 or more were sold to you for resale, on a buy-sell, a deposit-commission, or other basis. Generally, report any income from your sale of these products on Schedule C (Form 1040).

Box 8. Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040).

Box 9. Report this amount on Schedule F (Form 1040).

Box 10. Shows gross proceeds paid to an attorney in connection with legal services. Report only the taxable part as income on your return.

Box 12. May show current year deferrals as a nonemployee under a nonqualified deferred compensation (NQDC) plan that is subject to the requirements of section 409A, plus any earnings on current and prior year deferrals.

Box 13. Shows your total compensation of excess golden parachute payments subject to a 20% excise tax. See your tax return instructions for where to report.

Box 14. Shows income as a nonemployee under an NQDC plan that does not meet the requirements of section 409A. Any amount included in box 12 that is currently taxable is also included in this box. Report this amount as income on your tax return. This income is also subject to a substantial additional tax to be reported on Form 1040, 1040-SR, or 1040-NR. See the instructions for your tax return.

Box 15-17. Show state or local income tax withheld from the payments.

Future developments. For the latest information about developments related to Form 1099-MISC and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099MISC.

1099-OID Instructions for Recipient

Original issue discount (OID) is the excess of an obligation's stated redemption price at maturity over its issue price (acquisition price for a stripped bond or coupon). OID on a taxable obligation is taxable as interest over the life of the obligation. If you are the holder of a taxable OID

obligation, generally you must include an amount of OID in your gross income each year you hold the obligation. Obligations that may have OID include a bond, debenture, note, certificate, or other evidence of indebtedness having a term of more than 1 year. For example, the OID rules may apply to certificates of deposit (CDs), time deposits, bonus savings plans, and other deposit arrangements, especially if the payment of interest is deferred until maturity. In addition, the OID rules apply to Treasury inflation-protected securities. See Pub. 550 for more information.

If, as the record holder, you receive Form 1099-OID showing amounts belonging to another person, you are considered a nominee recipient. Complete a Form 1099-OID for each of the other owners showing the amounts allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner as the "recipient." File Form(s) 1099-OID with Form 1096, with the Internal Revenue Service Center for your area. On Form 1096, list yourself as the "filer." A spouse is not required to file a nominee return to show amounts owned by the other spouse. If you bought or sold an obligation during the year and you are not a nominee, you are not required to issue or file Form 1099-OID showing the OID or stated interest allocable to the seller/buyer of the obligation.

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a covered security acquired with acquisition premium, your payer generally must report either (1) a net amount of OID that reflects the offset of OID by the amount of acquisition premium amortization for the year, or (2) a gross amount for both the OID and the acquisition premium amortization for the year. For a noncovered security acquired with acquisition premium, your payer is only required to report the gross amount of OID.

Box 1. Shows the OID on a taxable obligation for the part of the year you owned it. Report the amount in box 1 as interest income on your income tax return. However, depending on the type of debt instrument, the issue or acquisition date, and other factors (for example, if you paid acquisition or bond premium, or the obligation is a stripped bond or coupon), you may have to figure the correct amount of OID to report on your return. See Pub. 1212 for details on how to figure the correct OID. See the instructions above for a covered security acquired with acquisition premium.

Box 2. Shows qualified stated interest on this obligation for the year, which is an amount separate from the OID. If you held the obligation the entire year, report this amount as interest income on your tax return. If you disposed of the obligation or acquired it from another holder during the year, see Pub. 550 for reporting instructions. If there is an amount in both boxes 2 and 8, the amount in box 2 is interest on a U.S. Treasury obligation and is exempt from state and local income taxes. If there is an amount in both boxes 2 and 11, the amount in box 2 is tax-exempt interest

and is not included in interest income on your tax return. In general, see how to report tax-exempt interest in the Instructions for Form 1040 and 1040-SR.

Box 3. Shows interest or principal forfeited if you withdrew the money before the maturity date of the obligation, such as from a CD. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Form 1040 and 1040-SR to see where to take the deduction.

Box 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5. For a covered security acquired with OID, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that

accrued on the debt instrument during the year while held by you. For a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, and issued with OID, shows the market discount that accrued on the obligation during the year while held by you. For a covered

security acquired on or after January 1, 2015, market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). The payer may, but is not required to, report the market discount for a tax-exempt obligation that is a covered security acquired before January 1, 2017. Report the accrued market discount on your income tax return as directed in the Instructions for Form 1040 and 1040-SR. Market discount on a tax-exempt security is includible in taxable income as interest income.

Box 6. For a taxable covered security, shows the amount of acquisition premium amortization for the year that reduces the amount of OID that is included as interest on your income tax return. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040 or 1040-SR). If an amount is not reported in this box for a covered security acquired with acquisition premium, the payer has reported a net amount of OID that reflects the offset of OID that is included in income. If the payer has reported a net amount of OID in box 1 or box 8, you must report the net amount of OID on Schedule B (Form 1040 or 1040-SR) and you must not make an adjustment to this amount for acquisition premium on Schedule B (Form 1040 or 1040-SR).

If there is an amount in both boxes 6 and 11, for a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, and issued with OID, the amount in box 6 shows the amount of acquisition premium amortization for the year that reduces the amount of your tax-exempt OID for the year. The payer may, but is not required to, report the acquisition premium for a tax-exempt obligation that is a covered security acquired before January 1, 2017, and issued with OID. See the instructions above for a covered security acquired with acquisition premium.

Box 7. Shows the identification (CUSIP) number or description of the obligation (may include the stock exchange, issuer, coupon rate, and year of maturity).

Box 8. Shows OID on a U.S. Treasury obligation for the part of the year you owned it. Report this amount as interest income on your federal income tax return, and see Pub. 1212 to figure any appropriate adjustments to this amount. This OID is exempt from state and local income taxes and is not included in box 1. See the instructions above for a covered security acquired with acquisition premium. If the number in this box is negative, it represents a deflation adjustment. See Pub. 550 for further information on accounting for this adjustment.

Box 9. Any amount shown is your share of investment expenses of a single-class REMIC. This amount is included in box 2. **Note:** This amount is not deductible.

Box 10. For a taxable covered security, including a Treasury inflation-protected security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to

amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040 or 1040-SR). If an amount is not reported in this box for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has

reported a net amount of interest in box 2. If the amount in this box is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

If there is an amount in both boxes 10 and 11, for a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, and issued with OID, the amount in box 10 shows the amount of premium amortization for the year that reduces the amount of your tax-exempt interest for the year. The payer may, but is not required to, report the premium amortization for a tax-exempt obligation that is a covered security acquired before January 1, 2017, and issued with OID.

Box 11. For a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, shows the tax-exempt OID on the obligation for the part of the year you owned it. The payer may, but is not required to, report the OID for a tax-exempt obligation that is a covered security

acquired before January 1, 2017. In general, report the amount of tax-exempt OID on Form 1040 or 1040-SR. See the instructions above for a covered security acquired with acquisition premium.

Boxes 12–14. State income tax withheld reporting.

Future developments. For the latest information about developments related to Form 1099-OID and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099OID.