



Siva Mannuru  
36621 Grand River Avenue 103  
Farmington, MI 48335

**Master Account Number for Import:**  
894822881  
**Document ID for Import:**  
3XV11TW2V46

**Enclosed is your 2022 Consolidated Tax Statement. 1099 Tax Forms for the following accounts are included in this package:**

894822881 - Robinhood Securities LLC

**New for 2022 Tax Year** - Where applicable, 1099 Tax Forms for Robinhood Securities, Robinhood Crypto, and Robinhood Money are being consolidated into one package and reported under our parent entity, Robinhood Markets Inc. We have done this to ensure all forms that you need are provided at the same time in this consolidated package.

**Important** - Please utilize the Master Account Number and Document ID listed above if filing through tax service providers such as TurboTax or H&R Block. The Document ID is necessary in order to directly import your 1099 data into the tax software so that you do not need to manually enter each transaction. This year there is only one Master Account Number and Document ID that needs to be used to import all of your data across all accounts and 1099s (including crypto). Please note that tax software providers may have limits on the total number of transactions that can be directly imported using the Document ID.

**CSVs** - In addition, a CSV file which includes the same information that is reported on your 1099 forms will be provided. The CSV is not a separate 1099. It is being provided as a supplement in a format that may be more helpful if you have a large number of transactions or if you are not using the Document ID to import all of your Securities, Crypto and Money activity. Tax software providers may have limits on the total number of transactions that can be uploaded and CSV files may not be compatible with tax service providers such as TurboTax or H&R Block.

**Robinhood Retirements Accounts** - If you had any reportable distributions or contributions within a Robinhood Retirement account, your 2022 tax forms 1099-R and/or 5498 will be delivered separately. Retirement accounts will be reported under Robinhood Securities LLC and will have their own unique Doc IDs for import to tax software providers such as TurboTax or H&R Block.

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<b>Robinhood Markets Inc. as agent for Robinhood Securities LLC</b> 85 Willow Road Menlo Park, CA 94025 Customer Service: 650-940-2700  PAYER'S TIN: 46-4364776	<b>Tax Information</b> <b>Account 894822881</b>	Statement Date: 02/13/2023	2022
	Siva Mannuru 36621 Grand River Avenue 103 Farmington, MI 48335  RECIPIENT'S TIN: XXX-XX-6859		

**Summary Information**

<p><b>DIVIDENDS AND DISTRIBUTIONS</b>      <b>2022 1099-DIV*</b>      OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e)      0.00</p> <p>1b- Qualified dividends      0.00</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)      0.00</p> <p>2b- Unrecaptured Section 1250 gain      0.00</p> <p>2c- Section 1202 gain      0.00</p> <p>2d- Collectibles (28%) gain      0.00</p> <p>2e- Section 897 ordinary dividends      0.00</p> <p>2f- Section 897 capital gain      0.00</p> <p>3- Nondividend distributions      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>5- Section 199A dividends      0.00</p> <p>6- Investment expenses      0.00</p> <p>8- Foreign country or US possession:      7- Foreign tax paid:      0.00</p> <p>9- Cash liquidation distributions      0.00</p> <p>10- Noncash liquidation distributions      0.00</p> <p>12- Exempt-interest dividends (includes line 13)      0.00</p> <p>13- Specified private activity bond interest dividends (AMT)      0.00</p>	<p><b>MISCELLANEOUS INFORMATION</b>      <b>2022 1099-MISC*</b>      OMB No. 1545-0115</p> <p>2- Royalties      0.00</p> <p>3- Other income      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>8- Substitute payments in lieu of dividends or interest      0.00</p> <p><b>SECTION 1256 CONTRACTS</b>      <b>2022 1099-B*</b>      OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2022 on closed contracts      0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2021      0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2022      0.00</p> <p>11- Aggregate profit or (loss) on contracts      0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p><b>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</b></p>
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**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

*Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.*

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	97,975.14	109,408.95	0.00	1,483.87	-9,949.94
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Short-term</b>	<b>97,975.14</b>	<b>109,408.95</b>	<b>0.00</b>	<b>1,483.87</b>	<b>-9,949.94</b>
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>97,975.14</b>	<b>109,408.95</b>	<b>0.00</b>	<b>1,483.87</b>	<b>-9,949.94</b>
<b>Withholding</b>		<b>Amount</b>				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2022 may require an amended tax form.

Robinhood Markets Inc. as agent for  
 Robinhood Securities LLC  
 2022

Account 894822881

**Summary Information**  
 (continued)

02/13/2023

**INTEREST INCOME**

2022 1099-INT

OMB No. 1545-0112

**This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

1- Interest income (not included in line 3)	0.64
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	See detail
FATCA filing requirement [ ]	

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	20.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 894822881

**Proceeds from Broker and Barter Exchange Transactions**

2022 1099-B\* OMB No. 1545-0715

02/13/2023

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ATER 04/14/2022 CALL \$6.50 / CUSIP: / Symbol:							
6 transactions for 04/13/22. Total proceeds and cost reported to the IRS.							
	24.000	1,031.94	04/13/22	1,104.00	...	-72.06	1 of 6 - Option sale to close-call <sup>25</sup>
	12.000	515.96	04/13/22	552.00	...	-36.04	2 of 6 - Option sale to close-call <sup>25</sup>
	6.000	257.98	04/13/22	276.00	...	-18.02	3 of 6 - Option sale to close-call <sup>25</sup>
	5.000	214.98	04/13/22	230.00	...	-15.02	4 of 6 - Option sale to close-call <sup>25</sup>
	2.000	85.98	04/13/22	92.00	...	-6.02	5 of 6 - Option sale to close-call <sup>25</sup>
	1.000	42.98	04/13/22	46.00	...	-3.02	6 of 6 - Option sale to close-call <sup>25</sup>
04/13/22	50.000	2,149.82	Various	2,300.00	...	-150.18	Total of 6 transactions
BIIB 01/07/2022 CALL \$245.00 / CUSIP: / Symbol:							
01/03/22	4.000	1,679.98	12/30/21	1,280.00	...	399.98	Option sale to close-call <sup>25</sup>

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Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 894822881

**Proceeds from Broker and Barter Exchange Transactions**

2022 1099-B\* OMB No. 1545-0715

(continued)

02/13/2023

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HOOD 01/14/2022 PUT \$16.00 / CUSIP: / Symbol:							
8 transactions for 01/13/22. Total proceeds and cost reported to the IRS.							
	1.000	41.98	01/12/22	39.00	...	2.98	1 of 8 - Option sale to close-put <sup>25</sup>
	1.000	41.98	01/12/22	39.00	...	2.98	2 of 8 - Option sale to close-put <sup>25</sup>
	3.000	125.99	01/12/22	117.00	...	8.99	3 of 8 - Option sale to close-put <sup>25</sup>
	1.000	42.00	01/12/22	28.00	...	14.00	4 of 8 - Option sale to close-put <sup>25</sup>
	5.000	209.98	01/12/22	195.00	...	14.98	5 of 8 - Option sale to close-put <sup>25</sup>
	2.000	83.99	01/12/22	56.00	...	27.99	6 of 8 - Option sale to close-put <sup>25</sup>
	5.000	209.99	01/12/22	140.00	...	69.99	7 of 8 - Option sale to close-put <sup>25</sup>
	40.000	1,679.91	01/12/22	1,560.00	...	119.91	8 of 8 - Option sale to close-put <sup>25</sup>
01/13/22	58.000	2,435.82	Various	2,174.00	...	261.82	Total of 8 transactions
HOOD 02/18/2022 CALL \$22.00 / CUSIP: / Symbol:							
3 transactions for 01/11/22. Total proceeds and cost reported to the IRS.							
	17.000	1,036.96	01/03/22	1,870.00	...	-833.04	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	121.98	01/03/22	220.00	...	-98.02	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	60.98	01/03/22	110.00	...	-49.02	3 of 3 - Option sale to close-call <sup>25</sup>
01/11/22	20.000	1,219.92	Various	2,200.00	...	-980.08	Total of 3 transactions
HOOD 07/08/2022 CALL \$11.00 / CUSIP: / Symbol:							
3 transactions for 06/28/22. Total proceeds and cost reported to the IRS.							
	40.000	879.89	06/27/22	1,600.00	...	-720.11	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	21.99	06/27/22	39.00	...	-17.01	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	22.00	06/27/22	39.00	...	-17.00	3 of 3 - Option sale to close-call <sup>25</sup>
06/28/22	42.000	923.88	Various	1,678.00	...	-754.12	Total of 3 transactions
LMND 01/14/2022 PUT \$39.00 / CUSIP: / Symbol:							
2 transactions for 01/12/22. Total proceeds and cost reported to the IRS.							
	3.000	719.99	01/11/22	405.00	...	314.99	1 of 2 - Option sale to close-put <sup>25</sup>
	6.000	1,439.97	01/11/22	810.00	...	629.97	2 of 2 - Option sale to close-put <sup>25</sup>
01/12/22	9.000	2,159.96	Various	1,215.00	...	944.96	Total of 2 transactions

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Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 894822881

Proceeds from Broker and Barter Exchange Transactions

2022 1099-B\* OMB No. 1545-0715

(continued)

02/13/2023

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PYPL 01/21/2022 CALL \$190.00 / CUSIP: / Symbol:							
4 transactions for 01/21/22. Total proceeds and cost reported to the IRS.							
	12.000	0.00	01/13/22	2,112.00	...	-2,112.00	1 of 4 - Option expiration- long call
	1.000	0.00	01/14/22	67.00	...	-67.00	2 of 4 - Option expiration- long call
	1.000	0.00	01/14/22	67.00	...	-67.00	3 of 4 - Option expiration- long call
	3.000	0.00	01/20/22	15.00	...	-15.00	4 of 4 - Option expiration- long call
01/21/22	17.000	0.00	Various	2,261.00	...	-2,261.00	Total of 4 transactions
TSLA 04/22/2022 CALL \$1,045.00 / CUSIP: / Symbol:							
04/13/22	1.000	2,639.97	04/12/22	2,125.00	...	514.97	Option sale to close-call <sup>25</sup>
TSLA 04/22/2022 CALL \$1,160.00 / CUSIP: / Symbol:							
04/06/22	1.000	1,999.97	04/06/22	1,530.00	...	469.97	Option sale to close-call <sup>25</sup>
TSLA 04/22/2022 CALL \$1,200.00 / CUSIP: / Symbol:							
04/06/22	1.000	1,479.98	04/05/22	2,430.00	...	-950.02	Option sale to close-call <sup>25</sup>
TSLA 04/29/2022 CALL \$1,055.00 / CUSIP: / Symbol:							
04/19/22	1.000	2,304.97	04/18/22	2,515.00	...	-210.03	Option sale to close-call <sup>25</sup>
TSLA 04/29/2022 CALL \$1,070.00 / CUSIP: / Symbol:							
04/21/22	1.000	3,999.96	04/19/22	2,265.00	...	1,734.96	Option sale to close-call <sup>25</sup>
TSLA 04/29/2022 CALL \$1,075.00 / CUSIP: / Symbol:							
04/14/22	1.000	1,784.98	04/14/22	1,830.00	...	-45.02	Option sale to close-call <sup>25</sup>
TSLA 04/29/2022 CALL \$1,090.00 / CUSIP: / Symbol:							
04/14/22	1.000	1,799.98	04/13/22	2,445.00	...	-645.02	Option sale to close-call <sup>25</sup>
TSLA 05/13/2022 PUT \$550.00 / CUSIP: / Symbol:							
04/26/22	10.000	959.97	04/26/22	860.00	...	99.97	Option sale to close-put <sup>25</sup>
TSLA 05/20/2022 CALL \$1,190.00 / CUSIP: / Symbol:							
04/27/22	2.000	699.98	04/26/22	830.00	...	-130.02	Option sale to close-call <sup>25</sup>
TSLA 05/27/2022 CALL \$750.00 / CUSIP: / Symbol:							
05/26/22	8.000	455.96	05/26/22	688.00	...	-232.04	Option sale to close-call <sup>25</sup>

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Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 894822881

### Proceeds from Broker and Barter Exchange Transactions

2022 1099-B\* OMB No. 1545-0715

(continued)

02/13/2023

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

#### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 06/10/2022 CALL \$765.00 / CUSIP: / Symbol:							
06/06/22	1.000	1,399.95	06/03/22	870.00	...	529.95	Option sale to close-call <sup>25</sup>
TSLA 06/10/2022 CALL \$845.00 / CUSIP: / Symbol:							
06/02/22	2.000	1,019.96	06/02/22	1,080.00	...	-60.04	Option sale to close-call <sup>25</sup>
TSLA 06/17/2022 CALL \$740.00 / CUSIP: / Symbol:							
06/14/22	1.000	252.98	06/13/22	495.00	...	-242.02	Option sale to close-call <sup>25</sup>
TSLA 06/17/2022 CALL \$975.00 / CUSIP: / Symbol:							
2 transactions for 06/07/22. Total proceeds and cost reported to the IRS.							
	14.000	559.95	06/06/22	1,330.00	...	-770.05	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	40.00	06/06/22	79.00	...	-39.00	2 of 2 - Option sale to close-call <sup>25</sup>
06/07/22	15.000	599.95	Various	1,409.00	...	-809.05	Total of 2 transactions
TWTR 04/22/2022 CALL \$55.00 / CUSIP: / Symbol:							
3 transactions for 04/08/22. Total proceeds and cost reported to the IRS.							
	8.000	263.97	04/06/22	1,280.00	...	-1,016.03	1 of 3 - Option sale to close-call <sup>25</sup>
	3.000	98.98	04/06/22	480.00	...	-381.02	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	32.98	04/06/22	160.00	...	-127.02	3 of 3 - Option sale to close-call <sup>25</sup>
04/08/22	12.000	395.93	Various	1,920.00	...	-1,524.07	Total of 3 transactions
TWTR 04/29/2022 CALL \$100.00 / CUSIP: / Symbol:							
3 transactions for 04/21/22. Total proceeds and cost reported to the IRS.							
	13.000	25.96	04/19/22	39.00	...	-13.04	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	2.00	04/19/22	3.00	...	-1.00	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	2.00	04/19/22	3.00	...	-1.00	3 of 3 - Option sale to close-call <sup>25</sup>
04/21/22	15.000	29.96	Various	45.00	...	-15.04	Total of 3 transactions
TWTR 04/29/2022 CALL \$57.00 / CUSIP: / Symbol:							
2 transactions for 04/18/22. Total proceeds and cost reported to the IRS.							
	6.000	419.98	04/14/22	300.00	...	119.98	1 of 2 - Option sale to close-call <sup>25</sup>
	30.000	2,099.92	04/14/22	1,500.00	...	599.92	2 of 2 - Option sale to close-call <sup>25</sup>
04/18/22	36.000	2,519.90	Various	1,800.00	...	719.90	Total of 2 transactions

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Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 894822881

## Proceeds from Broker and Barter Exchange Transactions

2022 1099-B\* OMB No. 1545-0715

(continued)

02/13/2023

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TWTR 05/06/2022 CALL \$54.00 / CUSIP: / Symbol:							
5 transactions for 04/22/22. Total proceeds and cost reported to the IRS.							
	1.000	149.99	04/21/22	124.00	...	25.99	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	150.00	04/21/22	123.00	...	27.00	2 of 5 - Option sale to close-call <sup>25</sup>
	10.000	1,499.97	04/21/22	1,320.00	...	179.97	3 of 5 - Option sale to close-call <sup>25</sup>
	10.000	1,499.97	04/21/22	1,310.00	...	189.97	4 of 5 - Option sale to close-call <sup>25</sup>
	9.000	1,349.97	04/21/22	1,116.00	...	233.97	5 of 5 - Option sale to close-call <sup>25</sup>
04/22/22	31.000	4,649.90	Various	3,993.00	...	656.90	Total of 5 transactions

TWTR 05/13/2022 CALL \$55.00 / CUSIP: / Symbol:

15 transactions for 04/26/22. Total proceeds and cost reported to the IRS.

	3.000	44.98	04/22/22	570.00	...	-525.02	1 of 15 - Option sale to close-call <sup>25</sup>
	3.000	134.98	04/22/22	570.00	...	-435.02	2 of 15 - Option sale to close-call <sup>25</sup>
	3.000	134.98	04/22/22	570.00	...	-435.02	3 of 15 - Option sale to close-call <sup>25</sup>
	2.000	29.98	04/22/22	380.00	...	-350.02	4 of 15 - Option sale to close-call <sup>25</sup>
	2.000	89.98	04/22/22	380.00	...	-290.02	5 of 15 - Option sale to close-call <sup>25</sup>
	2.000	89.98	04/22/22	380.00	...	-290.02	6 of 15 - Option sale to close-call <sup>25</sup>
	1.000	14.98	04/22/22	190.00	...	-175.02	7 of 15 - Option sale to close-call <sup>25</sup>
	1.000	14.98	04/22/22	190.00	...	-175.02	8 of 15 - Option sale to close-call <sup>25</sup>
	1.000	14.98	04/22/22	190.00	...	-175.02	9 of 15 - Option sale to close-call <sup>25</sup>
	1.000	14.98	04/22/22	190.00	...	-175.02	10 of 15 - Option sale to close-call <sup>25</sup>
	1.000	44.98	04/22/22	190.00	...	-145.02	11 of 15 - Option sale to close-call <sup>25</sup>
	1.000	44.98	04/22/22	190.00	...	-145.02	12 of 15 - Option sale to close-call <sup>25</sup>

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Proceeds from Broker and Barter Exchange Transactions

2022 1099-B\* OMB No. 1545-0715

(continued)

02/13/2023

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TWTR 05/13/2022 CALL \$55.00 / CUSIP: / Symbol: (cont'd)							
	1.000	44.98	04/22/22	190.00	...	-145.02	13 of 15 - Option sale to close-call <sup>25</sup>
	1.000	44.98	04/22/22	190.00	...	-145.02	14 of 15 - Option sale to close-call <sup>25</sup>
	1.000	14.98	04/25/22	192.01	...	-177.03	15 of 15 - Option sale to close-call <sup>25</sup>
04/26/22	24.000	779.70	Various	4,562.01	...	-3,782.31	Total of 15 transactions
04/26/22	2.000	89.98	04/22/22	380.00	145.01 W	-145.01	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>869.68</b>		<b>4,942.01</b>	<b>145.01 W</b>	<b>-3,927.32</b>	
V 04/29/2022 CALL \$232.50 / CUSIP: / Symbol:							
04/27/22	14.000	27.96	04/27/22	840.00	...	-812.04	Option sale to close-call <sup>25</sup>
AVALO THERAPEUTICS, INC. COMMO N STOCK / CUSIP: 05338F108 / Symbol:							
5 transactions for 06/03/22. Total proceeds and cost reported to the IRS.							
	600.000	228.52	06/03/22	253.56	...	-25.04	1 of 5 - Sale <sup>25</sup>
	250.000	95.22	06/03/22	116.13	...	-20.91	2 of 5 - Sale <sup>25</sup>
	100.000	38.09	06/03/22	42.26	...	-4.17	3 of 5 - Sale <sup>25</sup>
	50.000	19.05	06/03/22	23.09	...	-4.04	4 of 5 - Sale <sup>25</sup>
	5.000	1.90	06/03/22	2.35	...	-0.45	5 of 5 - Sale <sup>25</sup>
06/03/22	1,005.000	382.78	Various	437.39	...	-54.61	Total of 5 transactions
06/03/22	1,300.000	495.13	06/03/22	549.38	12.73 W	-41.52	Sale <sup>25</sup>
	<b>Security total:</b>	<b>877.91</b>		<b>986.77</b>	<b>12.73 W</b>	<b>-96.13</b>	
AVAYA HOLDINGS CORP. COMMON ST OCK / CUSIP: 05351X101 / Symbol:							
5 transactions for 12/27/22. Total proceeds and cost reported to the IRS.							
	15.000	3.18	12/23/22	2.79	...	0.39	1 of 5 - Sale <sup>25</sup>
	300.000	63.56	12/23/22	56.01	...	7.55	2 of 5 - Sale <sup>25</sup>
	1,500.000	317.80	12/23/22	294.45	...	23.35	3 of 5 - Sale <sup>25</sup>
	1,000.000	211.86	12/23/22	186.20	...	25.66	4 of 5 - Sale <sup>25</sup>
	4,900.000	1,038.13	12/23/22	960.40	...	77.73	5 of 5 - Sale <sup>25</sup>
12/27/22	7,715.000	1,634.53	Various	1,499.85	...	134.68	Total of 5 transactions

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## Proceeds from Broker and Barter Exchange Transactions

2022 1099-B\* OMB No. 1545-0715

(continued)

02/13/2023

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AVAYA HOLDINGS CORP. COMMON ST OCK / CUSIP: 05351X101 / Symbol: (cont'd)							
68 transactions for 12/27/22. Total proceeds and cost reported to the IRS.							
100.000		21.19	12/20/22	28.88	7.69 W	0.00	1 of 68 - Sale <sup>25</sup>
2,900.000		614.41	12/20/22	837.23	222.82 W	0.00	2 of 68 - Sale <sup>25</sup>
500.000		105.93	12/20/22	144.99	39.06 W	0.00	3 of 68 - Sale <sup>25</sup>
80.000		16.95	12/20/22	23.60	6.65 W	0.00	4 of 68 - Sale <sup>25</sup>
19.000		4.03	12/20/22	4.88	0.85 W	0.00	5 of 68 - Sale <sup>25</sup>
1.000		0.21	12/20/22	0.25	0.04 W	0.00	6 of 68 - Sale <sup>25</sup>
100.000		20.18	12/27/22	29.03	8.85 W	0.00	7 of 68 - Sale <sup>25</sup>
100.000		20.19	12/27/22	29.02	8.83 W	0.00	8 of 68 - Sale <sup>25</sup>
200.000		40.37	12/27/22	58.05	17.68 W	0.00	9 of 68 - Sale <sup>25</sup>
200.000		40.37	12/27/22	58.05	17.68 W	0.00	10 of 68 - Sale <sup>25</sup>
1,900.000		383.55	12/27/22	551.44	167.89 W	0.00	11 of 68 - Sale <sup>25</sup>
100.000		20.22	12/27/22	29.02	8.80 W	0.00	12 of 68 - Sale <sup>25</sup>
300.000		60.56	12/27/22	87.07	26.51 W	0.00	13 of 68 - Sale <sup>25</sup>
200.000		40.37	12/27/22	58.30	17.93 W	0.00	14 of 68 - Sale <sup>25</sup>
100.000		20.19	12/27/22	29.03	8.84 W	0.00	15 of 68 - Sale <sup>25</sup>
300.000		60.56	12/27/22	87.46	26.90 W	0.00	16 of 68 - Sale <sup>25</sup>
1.000		0.20	12/27/22	0.25	0.05 W	0.00	17 of 68 - Sale <sup>25</sup>
19.000		3.84	12/27/22	4.90	1.06 W	0.00	18 of 68 - Sale <sup>25</sup>
80.000		16.15	12/27/22	23.72	7.57 W	0.00	19 of 68 - Sale <sup>25</sup>
200.000		40.37	12/27/22	42.68	2.31 W	0.00	20 of 68 - Sale <sup>25</sup>
300.000		60.56	12/27/22	64.02	3.46 W	0.00	21 of 68 - Sale <sup>25</sup>
300.000		60.56	12/27/22	64.02	3.46 W	0.00	22 of 68 - Sale <sup>25</sup>
300.000		60.56	12/27/22	64.02	3.46 W	0.00	23 of 68 - Sale <sup>25</sup>
300.000		60.56	12/27/22	64.02	3.46 W	0.00	24 of 68 - Sale <sup>25</sup>
300.000		60.56	12/27/22	64.02	3.46 W	0.00	25 of 68 - Sale <sup>25</sup>
300.000		60.56	12/27/22	64.02	3.46 W	0.00	26 of 68 - Sale <sup>25</sup>
300.000		60.56	12/27/22	64.02	3.46 W	0.00	27 of 68 - Sale <sup>25</sup>
300.000		60.56	12/27/22	64.02	3.46 W	0.00	28 of 68 - Sale <sup>25</sup>
100.000		20.19	12/27/22	21.34	1.15 W	0.00	29 of 68 - Sale <sup>25</sup>
100.000		20.19	12/27/22	21.34	1.15 W	0.00	30 of 68 - Sale <sup>25</sup>
200.000		40.37	12/27/22	42.68	2.31 W	0.00	31 of 68 - Sale <sup>25</sup>
100.000		20.19	12/27/22	21.34	1.15 W	0.00	32 of 68 - Sale <sup>25</sup>
100.000		20.19	12/27/22	21.34	1.15 W	0.00	33 of 68 - Sale <sup>25</sup>

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Robinhood Markets Inc. as agent for  
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**Proceeds from Broker and Barter Exchange Transactions**

2022 1099-B\* OMB No. 1545-0715

(continued)

02/13/2023

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
AVAYA HOLDINGS CORP. COMMON ST OCK / CUSIP: 05351X101 / Symbol: (cont'd)							
	200.000	40.37	12/27/22	42.68	2.31 W	0.00	34 of 68 - Sale <sup>25</sup>
	100.000	20.19	12/27/22	21.34	1.15 W	0.00	35 of 68 - Sale <sup>25</sup>
	100.000	20.19	12/27/22	21.34	1.15 W	0.00	36 of 68 - Sale <sup>25</sup>
	100.000	20.19	12/27/22	21.34	1.15 W	0.00	37 of 68 - Sale <sup>25</sup>
	100.000	20.19	12/27/22	21.34	1.15 W	0.00	38 of 68 - Sale <sup>25</sup>
	100.000	20.19	12/27/22	21.34	1.15 W	0.00	39 of 68 - Sale <sup>25</sup>
	100.000	20.19	12/27/22	21.34	1.15 W	0.00	40 of 68 - Sale <sup>25</sup>
	100.000	20.19	12/27/22	21.34	1.15 W	0.00	41 of 68 - Sale <sup>25</sup>
	100.000	20.19	12/27/22	21.34	1.15 W	0.00	42 of 68 - Sale <sup>25</sup>
	100.000	20.19	12/27/22	21.34	1.15 W	0.00	43 of 68 - Sale <sup>25</sup>
	100.000	20.19	12/27/22	21.34	1.15 W	0.00	44 of 68 - Sale <sup>25</sup>
	100.000	20.19	12/27/22	21.34	1.15 W	0.00	45 of 68 - Sale <sup>25</sup>
	100.000	20.19	12/27/22	21.34	1.15 W	0.00	46 of 68 - Sale <sup>25</sup>
	100.000	20.19	12/27/22	21.34	1.15 W	0.00	47 of 68 - Sale <sup>25</sup>
	100.000	20.19	12/27/22	21.34	1.15 W	0.00	48 of 68 - Sale <sup>25</sup>
	100.000	20.22	12/27/22	21.34	1.12 W	0.00	49 of 68 - Sale <sup>25</sup>
	100.000	20.19	12/27/22	21.34	1.15 W	0.00	50 of 68 - Sale <sup>25</sup>
	100.000	20.19	12/27/22	21.34	1.15 W	0.00	51 of 68 - Sale <sup>25</sup>
	100.000	20.19	12/27/22	21.34	1.15 W	0.00	52 of 68 - Sale <sup>25</sup>
	100.000	20.19	12/27/22	21.35	1.16 W	0.00	53 of 68 - Sale <sup>25</sup>
	100.000	20.19	12/27/22	21.34	1.15 W	0.00	54 of 68 - Sale <sup>25</sup>
	100.000	20.19	12/27/22	30.19	10.00 W	0.00	55 of 68 - Sale <sup>25</sup>
	100.000	20.19	12/27/22	30.17	9.98 W	0.00	56 of 68 - Sale <sup>25</sup>
	100.000	20.19	12/27/22	30.18	9.99 W	0.00	57 of 68 - Sale <sup>25</sup>
	100.000	20.19	12/27/22	30.18	9.99 W	0.00	58 of 68 - Sale <sup>25</sup>
	100.000	20.19	12/27/22	30.18	9.99 W	0.00	59 of 68 - Sale <sup>25</sup>
	100.000	20.19	12/27/22	30.18	9.99 W	0.00	60 of 68 - Sale <sup>25</sup>
	100.000	20.19	12/27/22	30.18	9.99 W	0.00	61 of 68 - Sale <sup>25</sup>
	100.000	20.19	12/27/22	30.18	9.99 W	0.00	62 of 68 - Sale <sup>25</sup>
	100.000	20.19	12/27/22	30.18	9.99 W	0.00	63 of 68 - Sale <sup>25</sup>
	100.000	20.19	12/27/22	30.17	9.98 W	0.00	64 of 68 - Sale <sup>25</sup>
	100.000	20.19	12/27/22	30.24	10.05 W	0.00	65 of 68 - Sale <sup>25</sup>
	30.000	6.06	12/27/22	9.07	3.01 W	0.00	66 of 68 - Sale <sup>25</sup>
	11.000	2.22	12/27/22	3.20	0.98 W	0.00	67 of 68 - Sale <sup>25</sup>

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### Proceeds from Broker and Barter Exchange Transactions

2022 1099-B\* OMB No. 1545-0715

(continued)

02/13/2023

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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## 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AVAYA HOLDINGS CORP. COMMON ST OCK / CUSIP: 05351X101 / Symbol: (cont'd)							
	1.000	0.20	12/27/22	0.29		0.00	68 of 68 - Sale <sup>25</sup>
12/27/22	13,742.000	2,810.22	Various	3,582.13	0.09 W 771.91 W	0.00	Total of 68 transactions
	72 transactions for 12/29/22. Total proceeds and cost reported to the IRS.						
	517.000	99.71	12/27/22	145.05	...	-45.34	1 of 72 - Sale <sup>25</sup>
	300.000	57.86	12/27/22	84.56	...	-26.70	2 of 72 - Sale <sup>25</sup>
	300.000	57.86	12/27/22	84.17	...	-26.31	3 of 72 - Sale <sup>25</sup>
	200.000	38.57	12/27/22	56.37	...	-17.80	4 of 72 - Sale <sup>25</sup>
	141.000	27.19	12/27/22	39.56	...	-12.37	5 of 72 - Sale <sup>25</sup>
	100.000	19.28	12/27/22	29.27	...	-9.99	6 of 72 - Sale <sup>25</sup>
	100.000	19.29	12/27/22	29.22	...	-9.93	7 of 72 - Sale <sup>25</sup>
	100.000	19.28	12/27/22	29.21	...	-9.93	8 of 72 - Sale <sup>25</sup>
	100.000	19.28	12/27/22	29.21	...	-9.93	9 of 72 - Sale <sup>25</sup>
	100.000	19.28	12/27/22	29.21	...	-9.93	10 of 72 - Sale <sup>25</sup>
	100.000	19.28	12/27/22	29.21	...	-9.93	11 of 72 - Sale <sup>25</sup>
	100.000	19.29	12/27/22	29.21	...	-9.92	12 of 72 - Sale <sup>25</sup>
	100.000	19.29	12/27/22	29.21	...	-9.92	13 of 72 - Sale <sup>25</sup>
	100.000	19.29	12/27/22	29.20	...	-9.91	14 of 72 - Sale <sup>25</sup>
	100.000	19.29	12/27/22	29.20	...	-9.91	15 of 72 - Sale <sup>25</sup>
	100.000	19.29	12/27/22	28.06	...	-8.77	16 of 72 - Sale <sup>25</sup>
	100.000	19.29	12/27/22	28.05	...	-8.76	17 of 72 - Sale <sup>25</sup>
	100.000	19.29	12/27/22	28.05	...	-8.76	18 of 72 - Sale <sup>25</sup>
	100.000	19.29	12/27/22	28.02	...	-8.73	19 of 72 - Sale <sup>25</sup>
	100.000	19.29	12/27/22	27.99	...	-8.70	20 of 72 - Sale <sup>25</sup>
	100.000	19.29	12/27/22	27.99	...	-8.70	21 of 72 - Sale <sup>25</sup>
	100.000	19.29	12/27/22	27.99	...	-8.70	22 of 72 - Sale <sup>25</sup>
	100.000	19.29	12/27/22	27.99	...	-8.70	23 of 72 - Sale <sup>25</sup>
	100.000	19.29	12/27/22	27.98	...	-8.69	24 of 72 - Sale <sup>25</sup>
	80.000	15.43	12/27/22	22.95	...	-7.52	25 of 72 - Sale <sup>25</sup>
	58.000	11.19	12/27/22	16.94	...	-5.75	26 of 72 - Sale <sup>25</sup>
	42.000	8.10	12/27/22	12.27	...	-4.17	27 of 72 - Sale <sup>25</sup>
	300.000	57.86	12/27/22	61.12	...	-3.26	28 of 72 - Sale <sup>25</sup>
	300.000	57.86	12/27/22	61.12	...	-3.26	29 of 72 - Sale <sup>25</sup>
	300.000	57.86	12/27/22	61.12	...	-3.26	30 of 72 - Sale <sup>25</sup>
	300.000	57.86	12/27/22	61.12	...	-3.26	31 of 72 - Sale <sup>25</sup>

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Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 894822881

**Proceeds from Broker and Barter Exchange Transactions**

2022 1099-B\* OMB No. 1545-0715

(continued)

02/13/2023

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AVAYA HOLDINGS CORP. COMMON ST OCK / CUSIP: 05351X101 / Symbol: (cont'd)							
	300.000	57.86	12/27/22	61.12	...	-3.26	32 of 72 - Sale <sup>25</sup>
	300.000	57.86	12/27/22	61.12	...	-3.26	33 of 72 - Sale <sup>25</sup>
	300.000	57.86	12/27/22	61.12	...	-3.26	34 of 72 - Sale <sup>25</sup>
	30.000	5.79	12/27/22	8.78	...	-2.99	35 of 72 - Sale <sup>25</sup>
	242.000	46.67	12/27/22	49.30	...	-2.63	36 of 72 - Sale <sup>25</sup>
	200.000	38.57	12/27/22	40.75	...	-2.18	37 of 72 - Sale <sup>25</sup>
	200.000	38.57	12/27/22	40.75	...	-2.18	38 of 72 - Sale <sup>25</sup>
	142.000	27.39	12/27/22	28.93	...	-1.54	39 of 72 - Sale <sup>25</sup>
	100.000	19.28	12/27/22	20.37	...	-1.09	40 of 72 - Sale <sup>25</sup>
	100.000	19.28	12/27/22	20.37	...	-1.09	41 of 72 - Sale <sup>25</sup>
	100.000	19.28	12/27/22	20.37	...	-1.09	42 of 72 - Sale <sup>25</sup>
	100.000	19.28	12/27/22	20.37	...	-1.09	43 of 72 - Sale <sup>25</sup>
	100.000	19.28	12/27/22	20.37	...	-1.09	44 of 72 - Sale <sup>25</sup>
	100.000	19.28	12/27/22	20.37	...	-1.09	45 of 72 - Sale <sup>25</sup>
	100.000	19.28	12/27/22	20.37	...	-1.09	46 of 72 - Sale <sup>25</sup>
	100.000	19.29	12/27/22	20.38	...	-1.09	47 of 72 - Sale <sup>25</sup>
	100.000	19.28	12/27/22	20.37	...	-1.09	48 of 72 - Sale <sup>25</sup>
	100.000	19.29	12/27/22	20.37	...	-1.08	49 of 72 - Sale <sup>25</sup>
	100.000	19.29	12/27/22	20.37	...	-1.08	50 of 72 - Sale <sup>25</sup>
	100.000	19.29	12/27/22	20.37	...	-1.08	51 of 72 - Sale <sup>25</sup>
	100.000	19.29	12/27/22	20.37	...	-1.08	52 of 72 - Sale <sup>25</sup>
	100.000	19.29	12/27/22	20.37	...	-1.08	53 of 72 - Sale <sup>25</sup>
	100.000	19.29	12/27/22	20.37	...	-1.08	54 of 72 - Sale <sup>25</sup>
	100.000	19.29	12/27/22	20.37	...	-1.08	55 of 72 - Sale <sup>25</sup>
	100.000	19.29	12/27/22	20.37	...	-1.08	56 of 72 - Sale <sup>25</sup>
	100.000	19.29	12/27/22	20.37	...	-1.08	57 of 72 - Sale <sup>25</sup>
	100.000	19.29	12/27/22	20.37	...	-1.08	58 of 72 - Sale <sup>25</sup>
	100.000	19.29	12/27/22	20.37	...	-1.08	59 of 72 - Sale <sup>25</sup>
	100.000	19.29	12/27/22	20.37	...	-1.08	60 of 72 - Sale <sup>25</sup>
	100.000	19.29	12/27/22	20.37	...	-1.08	61 of 72 - Sale <sup>25</sup>
	19.000	3.66	12/27/22	4.71	...	-1.05	62 of 72 - Sale <sup>25</sup>
	100.000	19.29	12/27/22	20.34	...	-1.05	63 of 72 - Sale <sup>25</sup>
	11.000	2.12	12/27/22	3.09	...	-0.97	64 of 72 - Sale <sup>25</sup>
	58.000	11.19	12/27/22	11.82	...	-0.63	65 of 72 - Sale <sup>25</sup>

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Account 894822881

### Proceeds from Broker and Barter Exchange Transactions

2022 1099-B\* OMB No. 1545-0715

(continued)

02/13/2023

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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#### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AVAYA HOLDINGS CORP. COMMON ST OCK / CUSIP: 05351X101 / Symbol: (cont'd)							
	58.000	11.19	12/27/22	11.82	...	-0.63	66 of 72 - Sale <sup>25</sup>
	58.000	11.19	12/27/22	11.82	...	-0.63	67 of 72 - Sale <sup>25</sup>
	42.000	8.10	12/27/22	8.55	...	-0.45	68 of 72 - Sale <sup>25</sup>
	1.000	0.19	12/27/22	0.28	...	-0.09	69 of 72 - Sale <sup>25</sup>
	1.000	0.19	12/27/22	0.24	...	-0.05	70 of 72 - Sale <sup>25</sup>
	3.000	0.58	12/27/22	0.57	...	0.01	71 of 72 - Sale <sup>25</sup>
	1,000.000	192.85	12/27/22	192.20	...	0.65	72 of 72 - Sale <sup>25</sup>
12/29/22	10,003.000	1,929.23	Various	2,316.08	...	-386.85	Total of 72 transactions
	<b>Security total:</b>	<b>6,373.98</b>		<b>7,398.06</b>	<b>771.91 W</b>	<b>-252.17</b>	
AULT ALLIANCE, INC. / CUSIP: 09175M101 / Symbol:							
9 transactions for 12/09/22. Total proceeds and cost reported to the IRS.							
	8,400.000	1,079.12	12/09/22	1,123.92	...	-44.80	1 of 9 - Sale <sup>25</sup>
	1,000.000	128.46	12/09/22	138.53	...	-10.07	2 of 9 - Sale <sup>25</sup>
	1,400.000	179.84	12/09/22	189.00	...	-9.16	3 of 9 - Sale <sup>25</sup>
	100.000	12.85	12/09/22	13.85	...	-1.00	4 of 9 - Sale <sup>25</sup>
	100.000	12.85	12/09/22	13.85	...	-1.00	5 of 9 - Sale <sup>25</sup>
	100.000	12.85	12/09/22	13.85	...	-1.00	6 of 9 - Sale <sup>25</sup>
	100.000	12.85	12/09/22	13.85	...	-1.00	7 of 9 - Sale <sup>25</sup>
	100.000	12.85	12/09/22	13.85	...	-1.00	8 of 9 - Sale <sup>25</sup>
	100.000	12.85	12/09/22	13.85	...	-1.00	9 of 9 - Sale <sup>25</sup>
12/09/22	11,400.000	1,464.52	Various	1,534.55	...	-70.03	Total of 9 transactions
7 transactions for 12/09/22. Total proceeds and cost reported to the IRS.							
	100.000	13.03	12/09/22	13.38	0.35 W	0.00	1 of 7 - Sale <sup>25</sup>
	100.000	13.03	12/09/22	13.38	0.35 W	0.00	2 of 7 - Sale <sup>25</sup>
	1,000.000	130.27	12/09/22	133.80	3.53 W	0.00	3 of 7 - Sale <sup>25</sup>
	100.000	13.03	12/09/22	13.38	0.35 W	0.00	4 of 7 - Sale <sup>25</sup>
	100.000	13.03	12/09/22	13.38	0.35 W	0.00	5 of 7 - Sale <sup>25</sup>
	100.000	13.03	12/09/22	13.38	0.35 W	0.00	6 of 7 - Sale <sup>25</sup>
	100.000	13.03	12/09/22	13.38	0.35 W	0.00	7 of 7 - Sale <sup>25</sup>
12/09/22	1,600.000	208.45	Various	214.08	5.63 W	0.00	Total of 7 transactions
	<b>Security total:</b>	<b>1,672.97</b>		<b>1,748.63</b>	<b>5.63 W</b>	<b>-70.03</b>	

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**Proceeds from Broker and Barter Exchange Transactions**

2022 1099-B\* OMB No. 1545-0715

(continued)

02/13/2023

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BOQII HOLDING LIMITED AMERICAN DEPOSITARY SHARES (EACH REPRE SENTING FOUR AND A HALF (4.5) / CUSIP: 09950L203 / Symbol:							
<i>7 transactions for 06/03/22. Total proceeds and cost reported to the IRS.</i>							
	100.000	219.98	06/03/22	251.00	...	-31.02	1 of 7 - Sale <sup>25</sup>
	100.000	219.98	06/03/22	251.00	...	-31.02	2 of 7 - Sale <sup>25</sup>
	100.000	219.98	06/03/22	251.00	...	-31.02	3 of 7 - Sale <sup>25</sup>
	63.000	138.59	06/03/22	158.13	...	-19.54	4 of 7 - Sale <sup>25</sup>
	27.000	59.39	06/03/22	67.77	...	-8.38	5 of 7 - Sale <sup>25</sup>
	3.000	6.60	06/03/22	6.39	...	0.21	6 of 7 - Sale <sup>25</sup>
	50.000	109.99	06/03/22	107.00	...	2.99	7 of 7 - Sale <sup>25</sup>
06/03/22	443.000	974.51	Various	1,092.29	...	-117.78	Total of 7 transactions
CYBIN INC. / CUSIP: 23256X100 / Symbol:							
<i>15 transactions for 05/13/22. Total proceeds and cost reported to the IRS.</i>							
	5.000	2.00	05/12/22	2.10	0.10 W	0.00	1 of 15 - Sale <sup>25</sup>
	195.000	77.97	05/12/22	82.06	4.09 W	0.00	2 of 15 - Sale <sup>25</sup>
	5.000	2.00	05/12/22	2.20	0.20 W	0.00	3 of 15 - Sale <sup>25</sup>
	195.000	77.97	05/12/22	82.06	4.09 W	0.00	4 of 15 - Sale <sup>25</sup>
	530.000	211.93	05/12/22	223.02	11.09 W	0.00	5 of 15 - Sale <sup>25</sup>
	5.000	2.00	05/12/22	2.10	0.10 W	0.00	6 of 15 - Sale <sup>25</sup>
	94.000	37.59	05/12/22	39.56	1.97 W	0.00	7 of 15 - Sale <sup>25</sup>
	300.000	118.46	05/12/22	126.24	7.78 W	0.00	8 of 15 - Sale <sup>25</sup>
	100.000	39.49	05/12/22	42.08	2.59 W	0.00	9 of 15 - Sale <sup>25</sup>
	150.000	59.23	05/12/22	63.12	3.89 W	0.00	10 of 15 - Sale <sup>25</sup>
	50.000	19.75	05/12/22	21.04	1.29 W	0.00	11 of 15 - Sale <sup>25</sup>
	376.000	146.71	05/12/22	158.22	11.51 W	0.00	12 of 15 - Sale <sup>25</sup>
	150.000	58.52	05/12/22	66.27	7.75 W	0.00	13 of 15 - Sale <sup>25</sup>
	45.000	17.56	05/12/22	19.88	2.32 W	0.00	14 of 15 - Sale <sup>25</sup>
	3.000	1.17	05/12/22	1.38	0.21 W	0.00	15 of 15 - Sale <sup>25</sup>
05/13/22	2,203.000	872.35	Various	931.33	58.98 W	0.00	Total of 15 transactions
<i>12 transactions for 05/19/22. Total proceeds and cost reported to the IRS.</i>							
	2.000	1.07	05/19/22	1.18	0.11 W	0.00	1 of 12 - Sale <sup>25</sup>
	98.000	52.55	05/19/22	55.96	3.41 W	0.00	2 of 12 - Sale <sup>25</sup>
	97.000	52.01	05/19/22	55.38	3.37 W	0.00	3 of 12 - Sale <sup>25</sup>
	123.000	65.95	05/19/22	70.22	4.27 W	0.00	4 of 12 - Sale <sup>25</sup>
	5.000	2.67	05/19/22	2.85	0.18 W	0.00	5 of 12 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2022 1099-B\* OMB No. 1545-0715

(continued)

02/13/2023

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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CYBIN INC. / CUSIP: 23256X100 / Symbol: (cont'd)							
	50.000	26.74	05/19/22	28.79	2.05 W	0.00	6 of 12 - Sale <sup>25</sup>
	94.000	50.28	05/19/22	53.67	3.39 W	0.00	7 of 12 - Sale <sup>25</sup>
	94.000	50.28	05/19/22	54.58	4.30 W	0.00	8 of 12 - Sale <sup>25</sup>
	100.000	53.48	05/19/22	57.59	4.11 W	0.00	9 of 12 - Sale <sup>25</sup>
	150.000	80.23	05/19/22	86.39	6.16 W	0.00	10 of 12 - Sale <sup>25</sup>
	300.000	160.46	05/19/22	172.78	12.32 W	0.00	11 of 12 - Sale <sup>25</sup>
	407.000	217.68	05/19/22	232.37	14.69 W	0.00	12 of 12 - Sale <sup>25</sup>
05/19/22	1,520.000	813.40	Various	871.76	58.36 W	0.00	Total of 12 transactions
	5 transactions for 05/25/22. Total proceeds and cost reported to the IRS.						
	5.000	3.21	05/20/22	3.21	...	0.00	1 of 5 - Sale <sup>25</sup>
	4.000	2.57	05/20/22	2.57	...	0.00	2 of 5 - Sale <sup>25</sup>
	7.000	4.50	05/20/22	4.49	...	0.01	3 of 5 - Sale <sup>25</sup>
	13.000	8.35	05/20/22	8.33	...	0.02	4 of 5 - Sale <sup>25</sup>
	282.000	181.14	05/20/22	180.17	...	0.97	5 of 5 - Sale <sup>25</sup>
05/25/22	311.000	199.77	Various	198.77	...	1.00	Total of 5 transactions
	9 transactions for 05/25/22. Total proceeds and cost reported to the IRS.						
	3.000	1.93	05/20/22	2.03	0.10 W	0.00	1 of 9 - Sale <sup>25</sup>
	2.000	1.28	05/20/22	1.33	0.05 W	0.00	2 of 9 - Sale <sup>25</sup>
	45.000	28.91	05/20/22	29.69	0.78 W	0.00	3 of 9 - Sale <sup>25</sup>
	150.000	96.35	05/20/22	99.00	2.65 W	0.00	4 of 9 - Sale <sup>25</sup>
	97.000	62.31	05/20/22	62.38	0.07 W	0.00	5 of 9 - Sale <sup>25</sup>
	98.000	62.95	05/20/22	63.02	0.07 W	0.00	6 of 9 - Sale <sup>25</sup>
	123.000	79.01	05/20/22	79.09	0.08 W	0.00	7 of 9 - Sale <sup>25</sup>
	200.000	128.47	05/20/22	128.88	0.41 W	0.00	8 of 9 - Sale <sup>25</sup>
	200.000	128.47	05/20/22	128.88	0.41 W	0.00	9 of 9 - Sale <sup>25</sup>
05/25/22	918.000	589.68	Various	594.30	4.62 W	0.00	Total of 9 transactions
	19 transactions for 05/26/22. Total proceeds and cost reported to the IRS.						
	3.000	1.86	05/25/22	1.96	0.10 W	0.00	1 of 19 - Sale <sup>25</sup>
	97.000	60.13	05/25/22	61.89	1.76 W	0.00	2 of 19 - Sale <sup>25</sup>
	2.000	1.24	05/25/22	1.29	0.05 W	0.00	3 of 19 - Sale <sup>25</sup>
	45.000	27.89	05/25/22	28.69	0.80 W	0.00	4 of 19 - Sale <sup>25</sup>
	53.000	32.85	05/25/22	33.81	0.96 W	0.00	5 of 19 - Sale <sup>25</sup>
	11.000	6.82	05/25/22	6.84	0.02 W	0.00	6 of 19 - Sale <sup>25</sup>

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(continued)

02/13/2023

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CYBIN INC. / CUSIP: 23256X100 / Symbol: (cont'd)							
	97.000	60.12	05/25/22	60.24	0.12 W	0.00	7 of 19 - Sale <sup>25</sup>
	98.000	60.75	05/25/22	60.86	0.11 W	0.00	8 of 19 - Sale <sup>25</sup>
	123.000	76.24	05/25/22	76.38	0.14 W	0.00	9 of 19 - Sale <sup>25</sup>
	77.000	47.73	05/25/22	50.54	2.81 W	0.00	10 of 19 - Sale <sup>25</sup>
	94.000	58.27	05/25/22	62.17	3.90 W	0.00	11 of 19 - Sale <sup>25</sup>
	300.000	185.95	05/25/22	198.41	12.46 W	0.00	12 of 19 - Sale <sup>25</sup>
	50.000	30.51	05/25/22	33.06	2.55 W	0.00	13 of 19 - Sale <sup>25</sup>
	94.000	57.37	05/25/22	62.61	5.24 W	0.00	14 of 19 - Sale <sup>25</sup>
	6.000	3.66	05/25/22	3.97	0.31 W	0.00	15 of 19 - Sale <sup>25</sup>
	150.000	91.54	05/25/22	99.21	7.67 W	0.00	16 of 19 - Sale <sup>25</sup>
	60.000	36.62	05/25/22	37.33	0.71 W	0.00	17 of 19 - Sale <sup>25</sup>
	15.000	9.15	05/25/22	9.33	0.18 W	0.00	18 of 19 - Sale <sup>25</sup>
	2.000	1.22	05/25/22	1.31	0.09 W	0.00	19 of 19 - Sale <sup>25</sup>
05/26/22	1,377.000	849.92	Various	889.90	39.98 W	0.00	Total of 19 transactions
	32 transactions for 06/01/22. Total proceeds and cost reported to the IRS.						
	97.000	64.60	05/26/22	65.78	...	-1.18	1 of 32 - Sale <sup>25</sup>
	53.000	35.30	05/26/22	35.94	...	-0.64	2 of 32 - Sale <sup>25</sup>
	45.000	29.97	05/26/22	30.50	...	-0.53	3 of 32 - Sale <sup>25</sup>
	16.000	10.65	05/26/22	11.13	...	-0.48	4 of 32 - Sale <sup>25</sup>
	5.000	3.33	05/26/22	3.46	...	-0.13	5 of 32 - Sale <sup>25</sup>
	2.000	1.33	05/26/22	1.39	...	-0.06	6 of 32 - Sale <sup>25</sup>
	2.000	1.33	05/26/22	1.37	...	-0.04	7 of 32 - Sale <sup>25</sup>
	1.000	0.67	05/26/22	0.69	...	-0.02	8 of 32 - Sale <sup>25</sup>
	11.000	7.33	05/26/22	7.28	...	0.05	9 of 32 - Sale <sup>25</sup>
	28.000	18.65	05/26/22	18.49	...	0.16	10 of 32 - Sale <sup>25</sup>
	112.000	74.59	05/26/22	74.16	...	0.43	11 of 32 - Sale <sup>25</sup>
	95.000	63.27	05/26/22	62.81	...	0.46	12 of 32 - Sale <sup>25</sup>
	97.000	64.60	05/26/22	64.14	...	0.46	13 of 32 - Sale <sup>25</sup>
	98.000	65.26	05/26/22	64.79	...	0.47	14 of 32 - Sale <sup>25</sup>
	200.000	133.19	05/26/22	132.41	...	0.78	15 of 32 - Sale <sup>25</sup>
	300.000	199.78	05/27/22	204.85	...	-5.07	16 of 32 - Sale <sup>25</sup>
	150.000	99.89	05/27/22	103.87	...	-3.98	17 of 32 - Sale <sup>25</sup>
	94.000	62.60	05/27/22	65.52	...	-2.92	18 of 32 - Sale <sup>25</sup>
	94.000	62.60	05/27/22	64.18	...	-1.58	19 of 32 - Sale <sup>25</sup>

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Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 894822881

**Proceeds from Broker and Barter Exchange Transactions**

2022 1099-B\* OMB No. 1545-0715

(continued)

02/13/2023

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CYBIN INC. / CUSIP: 23256X100 / Symbol: (cont'd)							
	50.000	33.30	05/27/22	34.61	...	-1.31	20 of 32 - Sale <sup>25</sup>
	54.000	35.96	05/27/22	36.61	...	-0.65	21 of 32 - Sale <sup>25</sup>
	6.000	4.00	05/27/22	4.16	...	-0.16	22 of 32 - Sale <sup>25</sup>
	2.000	1.33	05/27/22	1.39	...	-0.06	23 of 32 - Sale <sup>25</sup>
	8.000	5.33	05/27/22	5.29	...	0.04	24 of 32 - Sale <sup>25</sup>
	15.000	9.99	05/27/22	9.93	...	0.06	25 of 32 - Sale <sup>25</sup>
	4.000	2.66	05/27/22	2.58	...	0.08	26 of 32 - Sale <sup>25</sup>
	30.000	19.98	05/27/22	19.46	...	0.52	27 of 32 - Sale <sup>25</sup>
	52.000	34.63	05/27/22	33.97	...	0.66	28 of 32 - Sale <sup>25</sup>
	75.000	49.94	05/27/22	48.75	...	1.19	29 of 32 - Sale <sup>25</sup>
	300.000	199.78	05/31/22	208.50	...	-8.72	30 of 32 - Sale <sup>25</sup>
	50.000	33.30	05/31/22	34.92	...	-1.62	31 of 32 - Sale <sup>25</sup>
	9.000	5.99	05/31/22	6.25	...	-0.26	32 of 32 - Sale <sup>25</sup>
06/01/22	2,155.000	1,435.13	Various	1,459.18	...	-24.05	Total of 32 transactions
	10 transactions for 12/09/22. Total proceeds and cost reported to the IRS.						
	2.000	0.65	12/09/22	0.65	...	0.00	1 of 10 - Sale <sup>25</sup>
	25.000	8.16	12/09/22	8.12	...	0.04	2 of 10 - Sale <sup>25</sup>
	100.000	32.59	12/09/22	32.49	...	0.10	3 of 10 - Sale <sup>25</sup>
	100.000	32.59	12/09/22	32.49	...	0.10	4 of 10 - Sale <sup>25</sup>
	100.000	32.59	12/09/22	32.49	...	0.10	5 of 10 - Sale <sup>25</sup>
	100.000	32.59	12/09/22	32.49	...	0.10	6 of 10 - Sale <sup>25</sup>
	200.000	65.25	12/09/22	64.70	...	0.55	7 of 10 - Sale <sup>25</sup>
	300.000	97.88	12/09/22	97.05	...	0.83	8 of 10 - Sale <sup>25</sup>
	1,300.000	424.13	12/09/22	422.37	...	1.76	9 of 10 - Sale <sup>25</sup>
	3,300.000	1,075.34	12/09/22	1,072.17	...	3.17	10 of 10 - Sale <sup>25</sup>
12/09/22	5,527.000	1,801.77	Various	1,795.02	...	6.75	Total of 10 transactions
	15 transactions for 12/12/22. Total proceeds and cost reported to the IRS.						
	100.000	31.84	12/09/22	32.94	1.10 W	0.00	1 of 15 - Sale <sup>25</sup>
	100.000	31.84	12/09/22	32.94	1.10 W	0.00	2 of 15 - Sale <sup>25</sup>
	100.000	31.84	12/09/22	32.94	1.10 W	0.00	3 of 15 - Sale <sup>25</sup>
	100.000	31.84	12/09/22	32.94	1.10 W	0.00	4 of 15 - Sale <sup>25</sup>
	100.000	31.84	12/09/22	32.94	1.10 W	0.00	5 of 15 - Sale <sup>25</sup>
	200.000	63.67	12/09/22	65.88	2.21 W	0.00	6 of 15 - Sale <sup>25</sup>
	200.000	63.67	12/09/22	65.88	2.21 W	0.00	7 of 15 - Sale <sup>25</sup>

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Robinhood Markets Inc. as agent for  
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Account 894822881

## Proceeds from Broker and Barter Exchange Transactions

2022 1099-B\* OMB No. 1545-0715

(continued)

02/13/2023

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CYBIN INC. / CUSIP: 23256X100 / Symbol: (cont'd)							
	100.000	31.79	12/09/22	32.94	1.15 W	0.00	8 of 15 - Sale <sup>25</sup>
	4,000.000	1,271.44	12/09/22	1,317.60	46.16 W	0.00	9 of 15 - Sale <sup>25</sup>
	100.000	31.79	12/09/22	34.11	2.32 W	0.00	10 of 15 - Sale <sup>25</sup>
	100.000	31.79	12/09/22	34.11	2.32 W	0.00	11 of 15 - Sale <sup>25</sup>
	100.000	31.78	12/09/22	34.10	2.32 W	0.00	12 of 15 - Sale <sup>25</sup>
	90.000	28.61	12/09/22	30.87	2.26 W	0.00	13 of 15 - Sale <sup>25</sup>
	8.000	2.54	12/09/22	2.75	0.21 W	0.00	14 of 15 - Sale <sup>25</sup>
	1.000	0.32	12/09/22	0.34	0.02 W	0.00	15 of 15 - Sale <sup>25</sup>
12/12/22	5,399.000	1,716.60	Various	1,783.28	66.68 W	0.00	Total of 15 transactions
	20 transactions for 12/14/22. Total proceeds and cost reported to the IRS.						
	4,000.000	1,179.84	12/13/22	1,314.16	...	-134.32	1 of 20 - Sale <sup>25</sup>
	176.000	51.91	12/13/22	57.74	...	-5.83	2 of 20 - Sale <sup>25</sup>
	200.000	59.97	12/13/22	65.61	...	-5.64	3 of 20 - Sale <sup>25</sup>
	235.000	69.32	12/13/22	74.49	...	-5.17	4 of 20 - Sale <sup>25</sup>
	90.000	26.99	12/13/22	30.79	...	-3.80	5 of 20 - Sale <sup>25</sup>
	100.000	29.50	12/13/22	32.85	...	-3.35	6 of 20 - Sale <sup>25</sup>
	76.000	22.79	12/13/22	25.86	...	-3.07	7 of 20 - Sale <sup>25</sup>
	76.000	22.79	12/13/22	25.86	...	-3.07	8 of 20 - Sale <sup>25</sup>
	76.000	22.79	12/13/22	25.86	...	-3.07	9 of 20 - Sale <sup>25</sup>
	100.000	29.98	12/13/22	32.80	...	-2.82	10 of 20 - Sale <sup>25</sup>
	24.000	7.20	12/13/22	8.16	...	-0.96	11 of 20 - Sale <sup>25</sup>
	24.000	7.20	12/13/22	8.16	...	-0.96	12 of 20 - Sale <sup>25</sup>
	24.000	7.20	12/13/22	7.87	...	-0.67	13 of 20 - Sale <sup>25</sup>
	11.000	3.31	12/13/22	3.74	...	-0.43	14 of 20 - Sale <sup>25</sup>
	8.000	2.40	12/13/22	2.75	...	-0.35	15 of 20 - Sale <sup>25</sup>
	8.000	2.40	12/13/22	2.72	...	-0.32	16 of 20 - Sale <sup>25</sup>
	4.000	1.20	12/13/22	1.36	...	-0.16	17 of 20 - Sale <sup>25</sup>
	1.000	0.30	12/13/22	0.34	...	-0.04	18 of 20 - Sale <sup>25</sup>
	1.000	0.30	12/13/22	0.34	...	-0.04	19 of 20 - Sale <sup>25</sup>
	1.000	0.30	12/13/22	0.33	...	-0.03	20 of 20 - Sale <sup>25</sup>
12/14/22	5,235.000	1,547.69	Various	1,721.79	...	-174.10	Total of 20 transactions
	<b>Security total:</b>	<b>9,826.31</b>		<b>10,245.33</b>	<b>228.62 W</b>	<b>-190.40</b>	

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Account 894822881

### Proceeds from Broker and Barter Exchange Transactions

2022 1099-B\* OMB No. 1545-0715

(continued)

02/13/2023

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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#### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ENERGY FOCUS, INC. COMMON STOC K / CUSIP: 29268T409 / Symbol:							
5 transactions for 01/03/22. Total proceeds and cost reported to the IRS.							
	200.000	885.97	01/03/22	913.00	...	-27.03	1 of 5 - Sale <sup>25</sup>
	100.000	442.99	01/03/22	456.50	...	-13.51	2 of 5 - Sale <sup>25</sup>
	45.000	199.34	01/03/22	211.51	...	-12.17	3 of 5 - Sale <sup>25</sup>
	50.000	221.50	01/03/22	228.25	...	-6.75	4 of 5 - Sale <sup>25</sup>
	5.000	22.15	01/03/22	23.48	...	-1.33	5 of 5 - Sale <sup>25</sup>
01/03/22	400.000	1,771.95	Various	1,832.74	...	-60.79	Total of 5 transactions
01/03/22	100.000	442.99	01/03/22	456.50	6.76 W	-6.75	Sale <sup>25</sup>
5 transactions for 06/02/22. Total proceeds and cost reported to the IRS.							
	500.000	544.92	06/01/22	660.00	...	-115.08	1 of 5 - Sale <sup>25</sup>
	500.000	544.92	06/01/22	654.75	...	-109.83	2 of 5 - Sale <sup>25</sup>
	50.000	54.49	06/01/22	65.50	...	-11.01	3 of 5 - Sale <sup>25</sup>
	40.000	43.60	06/01/22	52.40	...	-8.80	4 of 5 - Sale <sup>25</sup>
	2.000	2.18	06/01/22	2.60	...	-0.42	5 of 5 - Sale <sup>25</sup>
06/02/22	1,092.000	1,190.11	Various	1,435.25	...	-245.14	Total of 5 transactions
	<b>Security total:</b>	<b>3,405.05</b>		<b>3,724.49</b>	<b>6.76 W</b>	<b>-312.68</b>	
ENSERVCO CORP. / CUSIP: 29358Y201 / Symbol:							
3 transactions for 06/03/22. Total proceeds and cost reported to the IRS.							
	450.000	1,034.91	06/02/22	1,070.37	...	-35.46	1 of 3 - Sale <sup>25</sup>
	20.000	46.00	06/02/22	47.20	...	-1.20	2 of 3 - Sale <sup>25</sup>
	5.000	11.50	06/02/22	11.89	...	-0.39	3 of 3 - Sale <sup>25</sup>
06/03/22	475.000	1,092.41	Various	1,129.46	...	-37.05	Total of 3 transactions
EXELA TECHNOLOGIES, INC. COMMO N STOCK / CUSIP: 30162V706 / Symbol:							
6 transactions for 12/30/22. Total proceeds and cost reported to the IRS.							
	17,400.000	1,466.27	12/29/22	1,520.76	...	-54.49	1 of 6 - Sale <sup>25</sup>
	1,500.000	126.40	12/29/22	133.40	...	-7.00	2 of 6 - Sale <sup>25</sup>
	500.000	42.13	12/29/22	44.56	...	-2.43	3 of 6 - Sale <sup>25</sup>
	80.000	6.74	12/29/22	7.11	...	-0.37	4 of 6 - Sale <sup>25</sup>
	5.000	0.42	12/29/22	0.45	...	-0.03	5 of 6 - Sale <sup>25</sup>
	1.000	0.09	12/29/22	0.09	...	0.00	6 of 6 - Sale <sup>25</sup>
12/30/22	19,486.000	1,642.05	Various	1,706.37	...	-64.32	Total of 6 transactions

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**Proceeds from Broker and Barter Exchange Transactions**

2022 1099-B\* OMB No. 1545-0715

(continued)

02/13/2023

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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EXELA TECHNOLOGIES, INC. COMMO N STOCK / CUSIP: 30162V706 / Symbol: (cont'd)							
12/30/22	2,600.000	221.96	12/29/22	227.24	4.23 W	-1.05	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,864.01</b>		<b>1,933.61</b>	<b>4.23 W</b>	<b>-65.37</b>	
GAIN THERAPEUTICS, INC. COMMON STOCK / CUSIP: 36269B105 / Symbol:							
6 transactions for 04/12/22. Total proceeds and cost reported to the IRS.							
	250.000	943.44	04/12/22	1,075.00	...	-131.56	1 of 6 - Sale <sup>25</sup>
	150.000	566.06	04/12/22	618.00	...	-51.94	2 of 6 - Sale <sup>25</sup>
	80.000	301.90	04/12/22	340.66	...	-38.76	3 of 6 - Sale <sup>25</sup>
	35.000	132.08	04/12/22	151.19	...	-19.11	4 of 6 - Sale <sup>25</sup>
	50.000	188.69	04/12/22	206.00	...	-17.31	5 of 6 - Sale <sup>25</sup>
	6.000	22.64	04/12/22	25.96	...	-3.32	6 of 6 - Sale <sup>25</sup>
04/12/22	571.000	2,154.81	Various	2,416.81	...	-262.00	Total of 6 transactions
HEALTHCARE TRIANGLE, INC. COMM ON STOCK / CUSIP: 42227W108 / Symbol:							
6 transactions for 12/13/22. Total proceeds and cost reported to the IRS.							
	1,434.000	544.72	12/12/22	563.13	...	-18.41	1 of 6 - Sale <sup>25</sup>
	300.000	113.96	12/12/22	122.69	...	-8.73	2 of 6 - Sale <sup>25</sup>
	600.000	227.98	12/12/22	235.62	...	-7.64	3 of 6 - Sale <sup>25</sup>
	60.000	22.79	12/12/22	24.44	...	-1.65	4 of 6 - Sale <sup>25</sup>
	100.000	38.07	12/12/22	39.27	...	-1.20	5 of 6 - Sale <sup>25</sup>
	8.000	3.04	12/12/22	3.21	...	-0.17	6 of 6 - Sale <sup>25</sup>
12/13/22	2,502.000	950.56	Various	988.36	...	-37.80	Total of 6 transactions
12/13/22	1,866.000	709.01	12/12/22	732.78	4.68 W	-19.09	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,659.57</b>		<b>1,721.14</b>	<b>4.68 W</b>	<b>-56.89</b>	
KINTARA THERAPEUTICS, INC. COM MON STOCK / CUSIP: 49720K101 / Symbol:							
4 transactions for 06/10/22. Total proceeds and cost reported to the IRS.							
	2,000.000	406.53	06/09/22	430.00	23.47 W	0.00	1 of 4 - Sale <sup>25</sup>
	700.000	142.28	06/09/22	150.43	8.15 W	0.00	2 of 4 - Sale <sup>25</sup>
	50.000	10.16	06/09/22	10.75	0.59 W	0.00	3 of 4 - Sale <sup>25</sup>
	14.000	2.85	06/09/22	3.01	0.16 W	0.00	4 of 4 - Sale <sup>25</sup>
06/10/22	2,764.000	561.82	Various	594.19	32.37 W	0.00	Total of 4 transactions

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Proceeds from Broker and Barter Exchange Transactions

2022 1099-B\* OMB No. 1545-0715

(continued)

02/13/2023

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KINTARA THERAPEUTICS, INC. COM MON STOCK / CUSIP: 49720K101 / Symbol: (cont'd)							
24 transactions for 06/27/22. Total proceeds and cost reported to the IRS.							
	1,465.000	430.35	06/24/22	468.80	...	-38.45	1 of 24 - Sale <sup>25</sup>
	700.000	205.63	06/24/22	225.01	...	-19.38	2 of 24 - Sale <sup>25</sup>
	100.000	29.89	06/24/22	34.30	...	-4.41	3 of 24 - Sale <sup>25</sup>
	100.000	29.88	06/24/22	33.82	...	-3.94	4 of 24 - Sale <sup>25</sup>
	100.000	29.89	06/24/22	33.82	...	-3.93	5 of 24 - Sale <sup>25</sup>
	100.000	29.38	06/24/22	33.24	...	-3.86	6 of 24 - Sale <sup>25</sup>
	100.000	29.38	06/24/22	33.24	...	-3.86	7 of 24 - Sale <sup>25</sup>
	236.000	69.33	06/24/22	73.11	...	-3.78	8 of 24 - Sale <sup>25</sup>
	100.000	29.38	06/24/22	32.98	...	-3.60	9 of 24 - Sale <sup>25</sup>
	72.000	21.15	06/24/22	23.75	...	-2.60	10 of 24 - Sale <sup>25</sup>
	155.000	45.53	06/24/22	47.61	...	-2.08	11 of 24 - Sale <sup>25</sup>
	100.000	29.38	06/24/22	31.00	...	-1.62	12 of 24 - Sale <sup>25</sup>
	100.000	29.38	06/24/22	30.99	...	-1.61	13 of 24 - Sale <sup>25</sup>
	50.000	14.69	06/24/22	16.08	...	-1.39	14 of 24 - Sale <sup>25</sup>
	100.000	29.37	06/24/22	30.72	...	-1.35	15 of 24 - Sale <sup>25</sup>
	33.000	9.86	06/24/22	11.16	...	-1.30	16 of 24 - Sale <sup>25</sup>
	67.000	19.68	06/24/22	20.80	...	-1.12	17 of 24 - Sale <sup>25</sup>
	20.000	5.88	06/24/22	6.65	...	-0.77	18 of 24 - Sale <sup>25</sup>
	15.000	4.48	06/24/22	5.15	...	-0.67	19 of 24 - Sale <sup>25</sup>
	14.000	4.11	06/24/22	4.50	...	-0.39	20 of 24 - Sale <sup>25</sup>
	8.000	2.35	06/24/22	2.66	...	-0.31	21 of 24 - Sale <sup>25</sup>
	5.000	1.47	06/24/22	1.60	...	-0.13	22 of 24 - Sale <sup>25</sup>
	2.000	0.60	06/24/22	0.69	...	-0.09	23 of 24 - Sale <sup>25</sup>
	3.000	0.88	06/24/22	0.96	...	-0.08	24 of 24 - Sale <sup>25</sup>
06/27/22	3,745.000	1,101.92	Various	1,202.64	...	-100.72	Total of 24 transactions
5 transactions for 06/27/22. Total proceeds and cost reported to the IRS.							
	1,600.000	470.02	06/24/22	514.47	9.74 W	-34.71	1 of 5 - Sale <sup>25</sup>
	100.000	29.89	06/24/22	32.15	2.26 W	0.00	2 of 5 - Sale <sup>25</sup>
	100.000	29.89	06/24/22	32.15	2.26 W	0.00	3 of 5 - Sale <sup>25</sup>
	100.000	29.89	06/24/22	32.15	2.26 W	0.00	4 of 5 - Sale <sup>25</sup>
	100.000	29.89	06/24/22	32.15	2.26 W	0.00	5 of 5 - Sale <sup>25</sup>
06/27/22	2,000.000	589.58	Various	643.07	18.78 W	-34.71	Total of 5 transactions
	<b>Security total:</b>	<b>2,253.32</b>		<b>2,439.90</b>	<b>51.15 W</b>	<b>-135.43</b>	

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Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 894822881

### Proceeds from Broker and Barter Exchange Transactions

2022 1099-B\* OMB No. 1545-0715

(continued)

02/13/2023

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

#### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MOLECULAR DATA INC. AMERICAN D EPOSITARY SHARES / CUSIP: 60852L106 / Symbol:							
4 transactions for 05/12/22. Total proceeds and cost reported to the IRS.							
	3.000	0.30	05/12/22	0.30	...	0.00	1 of 4 - Sale <sup>25</sup>
	100.000	10.00	05/12/22	9.66	...	0.34	2 of 4 - Sale <sup>25</sup>
	1,000.000	99.97	05/12/22	98.40	...	1.57	3 of 4 - Sale <sup>25</sup>
	7,900.000	789.76	05/12/22	763.14	...	26.62	4 of 4 - Sale <sup>25</sup>
05/12/22	9,003.000	900.03	Various	871.50	...	28.53	Total of 4 transactions
05/12/22	250.000	24.99	05/12/22	26.23	1.24 W	0.00	Sale <sup>25</sup>
9 transactions for 05/13/22. Total proceeds and cost reported to the IRS.							
	250.000	30.07	05/13/22	32.49	2.42 W	0.00	1 of 9 - Sale <sup>25</sup>
	680.000	81.78	05/13/22	85.00	3.22 W	0.00	2 of 9 - Sale <sup>25</sup>
	5,070.000	607.73	05/13/22	633.75	26.02 W	0.00	3 of 9 - Sale <sup>25</sup>
	250.000	29.97	05/13/22	33.67	3.70 W	0.00	4 of 9 - Sale <sup>25</sup>
	650.000	77.91	05/13/22	84.33	6.42 W	0.00	5 of 9 - Sale <sup>25</sup>
	30.000	3.60	05/13/22	4.04	0.44 W	0.00	6 of 9 - Sale <sup>25</sup>
	20.000	2.40	05/13/22	2.60	0.20 W	0.00	7 of 9 - Sale <sup>25</sup>
	25.000	2.99	05/13/22	3.25	0.26 W	0.00	8 of 9 - Sale <sup>25</sup>
	1.000	0.12	05/13/22	0.13	0.01 W	0.00	9 of 9 - Sale <sup>25</sup>
05/13/22	6,976.000	836.57	Various	879.26	42.69 W	0.00	Total of 9 transactions
11 transactions for 05/20/22. Total proceeds and cost reported to the IRS.							
	5,070.000	446.50	05/19/22	529.98	...	-83.48	1 of 11 - Sale <sup>25</sup>
	1,954.000	172.09	05/19/22	194.23	...	-22.14	2 of 11 - Sale <sup>25</sup>
	650.000	57.24	05/19/22	71.03	...	-13.79	3 of 11 - Sale <sup>25</sup>
	250.000	22.02	05/19/22	28.55	...	-6.53	4 of 11 - Sale <sup>25</sup>
	400.000	35.23	05/19/22	39.84	...	-4.61	5 of 11 - Sale <sup>25</sup>
	85.000	7.48	05/19/22	8.65	...	-1.17	6 of 11 - Sale <sup>25</sup>
	30.000	2.64	05/19/22	3.42	...	-0.78	7 of 11 - Sale <sup>25</sup>
	25.000	2.20	05/19/22	2.74	...	-0.54	8 of 11 - Sale <sup>25</sup>
	20.000	1.76	05/19/22	2.19	...	-0.43	9 of 11 - Sale <sup>25</sup>
	7.000	0.62	05/19/22	0.71	...	-0.09	10 of 11 - Sale <sup>25</sup>
	1.000	0.09	05/19/22	0.11	...	-0.02	11 of 11 - Sale <sup>25</sup>
05/20/22	8,492.000	747.87	Various	881.45	...	-133.58	Total of 11 transactions
	<b>Security total:</b>	<b>2,509.46</b>		<b>2,658.44</b>	<b>43.93 W</b>	<b>-105.05</b>	

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Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 894822881

**Proceeds from Broker and Barter Exchange Transactions**

2022 1099-B\* OMB No. 1545-0715

(continued)

02/13/2023

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NEUROMETRIX, INC. COMMON STOCK / CUSIP: 641255807 / Symbol:							
3 transactions for 05/19/22. Total proceeds and cost reported to the IRS.							
	6.000	26.34	05/19/22	25.38	...	0.96	1 of 3 - Sale <sup>25</sup>
	75.000	329.24	05/19/22	317.25	...	11.99	2 of 3 - Sale <sup>25</sup>
	109.000	478.50	05/19/22	461.07	...	17.43	3 of 3 - Sale <sup>25</sup>
05/19/22	190.000	834.08	Various	803.70	...	30.38	Total of 3 transactions
PALATIN TECHNOLOGIES, INC. / CUSIP: 696077403 / Symbol:							
04/22/22	153.000	67.61	04/21/22	67.49	...	0.12	Sale <sup>25</sup>
RA MEDICAL SYSTEMS, INC / CUSIP: 74933X302 / Symbol:							
5 transactions for 12/09/22. Total proceeds and cost reported to the IRS.							
	10.000	60.80	12/09/22	59.25	...	1.55	1 of 5 - Sale <sup>25</sup>
	40.000	243.19	12/09/22	234.72	...	8.47	2 of 5 - Sale <sup>25</sup>
	60.000	364.78	12/09/22	355.50	...	9.28	3 of 5 - Sale <sup>25</sup>
	90.000	547.17	12/09/22	533.25	...	13.92	4 of 5 - Sale <sup>25</sup>
	90.000	547.17	12/09/22	533.25	...	13.92	5 of 5 - Sale <sup>25</sup>
12/09/22	290.000	1,763.11	Various	1,715.97	...	47.14	Total of 5 transactions
REGULUS THERAPEUTICS INC. COMM ON STOCK / CUSIP: 75915K200 / Symbol:							
9 transactions for 05/12/22. Total proceeds and cost reported to the IRS.							
	2,618.000	627.97	05/11/22	731.21	...	-103.24	1 of 9 - Sale <sup>25</sup>
	282.000	67.75	05/11/22	78.76	...	-11.01	2 of 9 - Sale <sup>25</sup>
	70.000	16.79	05/11/22	21.16	...	-4.37	3 of 9 - Sale <sup>25</sup>
	100.000	24.14	05/11/22	27.93	...	-3.79	4 of 9 - Sale <sup>25</sup>
	100.000	24.17	05/11/22	27.93	...	-3.76	5 of 9 - Sale <sup>25</sup>
	100.000	24.21	05/11/22	27.93	...	-3.72	6 of 9 - Sale <sup>25</sup>
	100.000	24.24	05/11/22	27.93	...	-3.69	7 of 9 - Sale <sup>25</sup>
	100.000	24.99	05/11/22	27.93	...	-2.94	8 of 9 - Sale <sup>25</sup>
	12.000	2.88	05/11/22	3.71	...	-0.83	9 of 9 - Sale <sup>25</sup>
05/12/22	3,482.000	837.14	Various	974.49	...	-137.35	Total of 9 transactions
05/12/22	100.000	24.99	05/11/22	27.93	2.41 W	-0.53	Sale <sup>25</sup>
<b>Security total:</b>		<b>862.13</b>		<b>1,002.42</b>	<b>2.41 W</b>	<b>-137.88</b>	

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Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 894822881

Proceeds from Broker and Barter Exchange Transactions

2022 1099-B\* OMB No. 1545-0715

(continued)

02/13/2023

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CONNEXA SPORTS TECHNOLOGIES IN C. COMMON STOCK / CUSIP: 831445200 / Symbol:							
6 transactions for 12/27/22. Total proceeds and cost reported to the IRS.							
	3,200.000	913.15	12/27/22	1,184.00	...	-270.85	1 of 6 - Sale <sup>25</sup>
	1,100.000	313.91	12/27/22	407.00	...	-93.09	2 of 6 - Sale <sup>25</sup>
	1,017.000	290.22	12/27/22	376.29	...	-86.07	3 of 6 - Sale <sup>25</sup>
	200.000	57.07	12/27/22	91.73	...	-34.66	4 of 6 - Sale <sup>25</sup>
	100.000	28.54	12/27/22	45.86	...	-17.32	5 of 6 - Sale <sup>25</sup>
	100.000	28.54	12/27/22	37.00	...	-8.46	6 of 6 - Sale <sup>25</sup>
12/27/22	5,717.000	1,631.43	Various	2,141.88	...	-510.45	Total of 6 transactions
2 transactions for 12/27/22. Total proceeds and cost reported to the IRS.							
	383.000	111.02	12/27/22	141.71	8.01 W	-22.68	1 of 2 - Sale <sup>25</sup>
	200.000	57.97	12/27/22	74.00	16.03 W	0.00	2 of 2 - Sale <sup>25</sup>
12/27/22	583.000	168.99	Various	215.71	24.04 W	-22.68	Total of 2 transactions
	<b>Security total:</b>	<b>1,800.42</b>		<b>2,357.59</b>	<b>24.04 W</b>	<b>-533.13</b>	
SMART SHARE GLOBAL LIMITED AME RICAN DEPOSITARY SHARES / CUSIP: 83193E102 / Symbol:							
4 transactions for 01/03/22. Total proceeds and cost reported to the IRS.							
	6.000	13.62	01/03/22	10.91	...	2.71	1 of 4 - Sale <sup>25</sup>
	100.000	226.98	01/03/22	181.80	...	45.18	2 of 4 - Sale <sup>25</sup>
	250.000	567.47	01/03/22	455.00	...	112.47	3 of 4 - Sale <sup>25</sup>
	650.000	1,475.41	01/03/22	1,180.34	...	295.07	4 of 4 - Sale <sup>25</sup>
01/03/22	1,006.000	2,283.48	Various	1,828.05	...	455.43	Total of 4 transactions
TAKUNG ART CO., LTD. / CUSIP: 87407Q207 / Symbol:							
4 transactions for 01/13/22. Total proceeds and cost reported to the IRS.							
	500.000	1,989.92	01/13/22	2,145.00	...	-155.08	1 of 4 - Sale <sup>25</sup>
	50.000	198.99	01/13/22	216.93	...	-17.94	2 of 4 - Sale <sup>25</sup>
	15.000	59.70	01/13/22	65.70	...	-6.00	3 of 4 - Sale <sup>25</sup>
	3.000	11.94	01/13/22	12.62	...	-0.68	4 of 4 - Sale <sup>25</sup>
01/13/22	568.000	2,260.55	Various	2,440.25	...	-179.70	Total of 4 transactions

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Robinhood Markets Inc. as agent for  
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## Proceeds from Broker and Barter Exchange Transactions

2022 1099-B\* OMB No. 1545-0715

(continued)

02/13/2023

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TROIKA MEDIA GROUP, INC. COMMO N STOCK / CUSIP: 89689F305 / Symbol:							
33 transactions for 12/27/22. Total proceeds and cost reported to the IRS.							
125.000		18.11	12/27/22	19.30	1.19 W	0.00	1 of 33 - Sale <sup>25</sup>
5.000		0.73	12/27/22	0.77	0.04 W	0.00	2 of 33 - Sale <sup>25</sup>
370.000		54.49	12/27/22	57.13	2.64 W	0.00	3 of 33 - Sale <sup>25</sup>
5.000		0.74	12/27/22	0.81	0.07 W	0.00	4 of 33 - Sale <sup>25</sup>
125.000		18.41	12/27/22	20.49	2.08 W	0.00	5 of 33 - Sale <sup>25</sup>
70.000		10.31	12/27/22	10.81	0.50 W	0.00	6 of 33 - Sale <sup>25</sup>
100.000		14.72	12/27/22	15.44	0.72 W	0.00	7 of 33 - Sale <sup>25</sup>
30.000		4.42	12/27/22	4.63	0.21 W	0.00	8 of 33 - Sale <sup>25</sup>
250.000		36.19	12/27/22	38.27	2.08 W	0.00	9 of 33 - Sale <sup>25</sup>
70.000		10.13	12/27/22	11.31	1.18 W	0.00	10 of 33 - Sale <sup>25</sup>
100.000		14.48	12/27/22	16.15	1.67 W	0.00	11 of 33 - Sale <sup>25</sup>
100.000		14.48	12/27/22	16.16	1.68 W	0.00	12 of 33 - Sale <sup>25</sup>
5.000		0.72	12/27/22	0.84	0.12 W	0.00	13 of 33 - Sale <sup>25</sup>
30.000		4.34	12/27/22	4.84	0.50 W	0.00	14 of 33 - Sale <sup>25</sup>
70.000		10.13	12/27/22	11.31	1.18 W	0.00	15 of 33 - Sale <sup>25</sup>
100.000		14.48	12/27/22	16.15	1.67 W	0.00	16 of 33 - Sale <sup>25</sup>
100.000		14.48	12/27/22	16.16	1.68 W	0.00	17 of 33 - Sale <sup>25</sup>
125.000		18.10	12/27/22	21.38	3.28 W	0.00	18 of 33 - Sale <sup>25</sup>
70.000		10.13	12/27/22	10.81	0.68 W	0.00	19 of 33 - Sale <sup>25</sup>
100.000		14.48	12/27/22	15.44	0.96 W	0.00	20 of 33 - Sale <sup>25</sup>
100.000		14.48	12/27/22	15.44	0.96 W	0.00	21 of 33 - Sale <sup>25</sup>
500.000		72.38	12/27/22	77.20	4.82 W	0.00	22 of 33 - Sale <sup>25</sup>
500.000		72.38	12/27/22	77.20	4.82 W	0.00	23 of 33 - Sale <sup>25</sup>
9,200.000		1,331.84	12/27/22	1,420.48	88.64 W	0.00	24 of 33 - Sale <sup>25</sup>
44.000		6.37	12/27/22	6.77	0.40 W	0.00	25 of 33 - Sale <sup>25</sup>
100.000		14.48	12/27/22	15.39	0.91 W	0.00	26 of 33 - Sale <sup>25</sup>
64.000		9.26	12/27/22	9.85	0.59 W	0.00	27 of 33 - Sale <sup>25</sup>
100.000		14.48	12/27/22	15.39	0.91 W	0.00	28 of 33 - Sale <sup>25</sup>
100.000		14.48	12/27/22	15.39	0.91 W	0.00	29 of 33 - Sale <sup>25</sup>
56.000		8.11	12/27/22	8.62	0.51 W	0.00	30 of 33 - Sale <sup>25</sup>
100.000		14.48	12/27/22	15.40	0.92 W	0.00	31 of 33 - Sale <sup>25</sup>
436.000		63.11	12/27/22	67.10	3.99 W	0.00	32 of 33 - Sale <sup>25</sup>

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Robinhood Markets Inc. as agent for  
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Account 894822881

**Proceeds from Broker and Barter Exchange Transactions**

2022 1099-B\* OMB No. 1545-0715

(continued)

02/13/2023

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
TROIKA MEDIA GROUP, INC. COMMO N STOCK / CUSIP: 89689F305 / Symbol: (cont'd)							
	15.000	2.17	12/27/22	2.28		0.00	33 of 33 - Sale <sup>25</sup>
12/27/22	13,265.000	1,922.09	Various	2,054.71	0.11 W 132.62 W	0.00	Total of 33 transactions
TWITTER, INC. / CUSIP: 90184L102 / Symbol:							
2 transactions for 04/22/22. Total proceeds and cost reported to the IRS.							
	8.000	390.68	04/22/22	384.00	...	6.68	1 of 2 - Sale <sup>25</sup>
	90.000	4,395.13	04/22/22	4,310.80	...	84.33	2 of 2 - Sale <sup>25</sup>
04/22/22	98.000	4,785.81	Various	4,694.80	...	91.01	Total of 2 transactions
ZOMEDICA CORP. / CUSIP: 98980M109 / Symbol:							
5 transactions for 06/09/22. Total proceeds and cost reported to the IRS.							
	2,000.000	523.52	06/07/22	529.00	...	-5.48	1 of 5 - Sale <sup>25</sup>
	200.000	52.35	06/07/22	52.92	...	-0.57	2 of 5 - Sale <sup>25</sup>
	55.000	14.39	06/07/22	14.55	...	-0.16	3 of 5 - Sale <sup>25</sup>
	14.000	3.67	06/07/22	3.70	...	-0.03	4 of 5 - Sale <sup>25</sup>
	1.000	0.26	06/07/22	0.26	...	0.00	5 of 5 - Sale <sup>25</sup>
06/09/22	2,270.000	594.19	Various	600.43	...	-6.24	Total of 5 transactions
FARMMI, INC. ORDINARY SHARES / CUSIP: G33277107 / Symbol:							
22 transactions for 04/12/22. Total proceeds and cost reported to the IRS.							
	9,000.000	1,206.62	04/08/22	1,242.00	...	-35.38	1 of 22 - Sale <sup>25</sup>
	2,000.000	268.13	04/08/22	276.00	...	-7.87	2 of 22 - Sale <sup>25</sup>
	700.000	93.85	04/08/22	100.41	...	-6.56	3 of 22 - Sale <sup>25</sup>
	200.000	26.81	04/08/22	29.78	...	-2.97	4 of 22 - Sale <sup>25</sup>
	134.000	17.96	04/08/22	19.74	...	-1.78	5 of 22 - Sale <sup>25</sup>
	100.000	13.41	04/08/22	14.88	...	-1.47	6 of 22 - Sale <sup>25</sup>
	100.000	13.41	04/08/22	14.87	...	-1.46	7 of 22 - Sale <sup>25</sup>
	100.000	13.41	04/08/22	14.87	...	-1.46	8 of 22 - Sale <sup>25</sup>
	100.000	13.41	04/08/22	14.73	...	-1.32	9 of 22 - Sale <sup>25</sup>
	100.000	13.41	04/08/22	14.73	...	-1.32	10 of 22 - Sale <sup>25</sup>
	100.000	13.41	04/08/22	14.73	...	-1.32	11 of 22 - Sale <sup>25</sup>
	125.000	16.74	04/08/22	17.93	...	-1.19	12 of 22 - Sale <sup>25</sup>
	100.000	13.40	04/08/22	14.34	...	-0.94	13 of 22 - Sale <sup>25</sup>
	100.000	13.41	04/08/22	14.34	...	-0.93	14 of 22 - Sale <sup>25</sup>

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Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 894822881

**Proceeds from Broker and Barter Exchange Transactions**

2022 1099-B\* OMB No. 1545-0715

(continued)

02/13/2023

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FARMMI, INC. ORDINARY SHARES / CUSIP: G33277107 / Symbol: (cont'd)							
	100.000	13.41	04/08/22	14.34	...	-0.93	15 of 22 - Sale <sup>25</sup>
	56.000	7.51	04/08/22	8.24	...	-0.73	16 of 22 - Sale <sup>25</sup>
	40.000	5.36	04/08/22	5.96	...	-0.60	17 of 22 - Sale <sup>25</sup>
	56.000	7.51	04/08/22	8.03	...	-0.52	18 of 22 - Sale <sup>25</sup>
	43.000	5.76	04/08/22	6.17	...	-0.41	19 of 22 - Sale <sup>25</sup>
	3.000	0.40	04/08/22	0.45	...	-0.05	20 of 22 - Sale <sup>25</sup>
	3.000	0.40	04/08/22	0.44	...	-0.04	21 of 22 - Sale <sup>25</sup>
	1.000	0.13	04/08/22	0.16	...	-0.03	22 of 22 - Sale <sup>25</sup>
04/12/22	13,261.000	1,777.86	Various	1,847.14	...	-69.28	Total of 22 transactions
	22 transactions for 04/12/22. Total proceeds and cost reported to the IRS.						
	876.000	117.44	04/08/22	125.64	5.97 W	-2.23	1 of 22 - Sale <sup>25</sup>
	100.000	13.42	04/08/22	13.95	0.53 W	0.00	2 of 22 - Sale <sup>25</sup>
	100.000	13.42	04/08/22	13.95	0.53 W	0.00	3 of 22 - Sale <sup>25</sup>
	100.000	13.41	04/08/22	13.95	0.54 W	0.00	4 of 22 - Sale <sup>25</sup>
	200.000	26.81	04/08/22	27.90	1.09 W	0.00	5 of 22 - Sale <sup>25</sup>
	100.000	13.41	04/08/22	13.95	0.54 W	0.00	6 of 22 - Sale <sup>25</sup>
	100.000	13.41	04/08/22	13.95	0.54 W	0.00	7 of 22 - Sale <sup>25</sup>
	100.000	13.41	04/08/22	13.95	0.54 W	0.00	8 of 22 - Sale <sup>25</sup>
	100.000	13.41	04/08/22	13.95	0.54 W	0.00	9 of 22 - Sale <sup>25</sup>
	876.000	117.45	04/08/22	122.20	4.75 W	0.00	10 of 22 - Sale <sup>25</sup>
	1,224.000	164.10	04/08/22	170.75	6.65 W	0.00	11 of 22 - Sale <sup>25</sup>
	100.000	13.41	04/08/22	14.48	1.07 W	0.00	12 of 22 - Sale <sup>25</sup>
	100.000	13.41	04/08/22	14.48	1.07 W	0.00	13 of 22 - Sale <sup>25</sup>
	100.000	13.41	04/08/22	14.49	1.08 W	0.00	14 of 22 - Sale <sup>25</sup>
	200.000	26.81	04/08/22	28.99	2.18 W	0.00	15 of 22 - Sale <sup>25</sup>
	40.000	5.36	04/08/22	5.80	0.44 W	0.00	16 of 22 - Sale <sup>25</sup>
	3.000	0.40	04/08/22	0.44	0.04 W	0.00	17 of 22 - Sale <sup>25</sup>
	1.000	0.13	04/08/22	0.15	0.02 W	0.00	18 of 22 - Sale <sup>25</sup>
	56.000	7.51	04/08/22	8.02	0.51 W	0.00	19 of 22 - Sale <sup>25</sup>
	100.000	13.41	04/08/22	14.34	0.93 W	0.00	20 of 22 - Sale <sup>25</sup>
	100.000	13.41	04/08/22	14.34	0.93 W	0.00	21 of 22 - Sale <sup>25</sup>
	100.000	13.41	04/08/22	14.34	0.93 W	0.00	22 of 22 - Sale <sup>25</sup>
04/12/22	4,776.000	640.36	Various	674.01	31.42 W	-2.23	Total of 22 transactions

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Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 894822881

Proceeds from Broker and Barter Exchange Transactions

2022 1099-B\* OMB No. 1545-0715

(continued)

02/13/2023

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
FARMMI, INC. ORDINARY SHARES / CUSIP: G33277107 / Symbol: (cont'd)							
4 transactions for 05/12/22. Total proceeds and cost reported to the IRS.							
	100.000	7.53	05/11/22	8.81	1.28 W	0.00	1 of 4 - Sale <sup>25</sup>
	100.000	7.54	05/11/22	8.81	1.27 W	0.00	2 of 4 - Sale <sup>25</sup>
	300.000	22.52	05/11/22	26.41	3.89 W	0.00	3 of 4 - Sale <sup>25</sup>
	1.000	0.08	05/11/22	0.09	0.01 W	0.00	4 of 4 - Sale <sup>25</sup>
05/12/22	501.000	37.67	Various	44.12	6.45 W	0.00	Total of 4 transactions
4 transactions for 05/25/22. Total proceeds and cost reported to the IRS.							
	100.000	7.16	05/25/22	6.58	...	0.58	1 of 4 - Sale <sup>25</sup>
	300.000	21.47	05/25/22	19.74	...	1.73	2 of 4 - Sale <sup>25</sup>
	1,500.000	107.35	05/25/22	98.70	...	8.65	3 of 4 - Sale <sup>25</sup>
	9,499.000	679.82	05/25/22	628.83	...	50.99	4 of 4 - Sale <sup>25</sup>
05/25/22	11,399.000	815.80	Various	753.85	...	61.95	Total of 4 transactions
4 transactions for 05/25/22. Total proceeds and cost reported to the IRS.							
	1.000	0.07	05/25/22	0.08	0.01 W	0.00	1 of 4 - Sale <sup>25</sup>
	100.000	7.16	05/25/22	7.90	0.74 W	0.00	2 of 4 - Sale <sup>25</sup>
	100.000	7.16	05/25/22	7.89	0.73 W	0.00	3 of 4 - Sale <sup>25</sup>
	300.000	21.47	05/25/22	23.75	2.28 W	0.00	4 of 4 - Sale <sup>25</sup>
05/25/22	501.000	35.86	Various	39.62	3.76 W	0.00	Total of 4 transactions
18 transactions for 05/26/22. Total proceeds and cost reported to the IRS.							
	3,842.000	275.74	05/26/22	292.76	...	-17.02	1 of 18 - Sale <sup>25</sup>
	300.000	21.53	05/26/22	26.35	...	-4.82	2 of 18 - Sale <sup>25</sup>
	298.000	21.39	05/26/22	23.90	...	-2.51	3 of 18 - Sale <sup>25</sup>
	100.000	7.18	05/26/22	8.75	...	-1.57	4 of 18 - Sale <sup>25</sup>
	75.000	5.38	05/26/22	6.58	...	-1.20	5 of 18 - Sale <sup>25</sup>
	100.000	7.18	05/26/22	8.06	...	-0.88	6 of 18 - Sale <sup>25</sup>
	83.000	5.95	05/26/22	6.69	...	-0.74	7 of 18 - Sale <sup>25</sup>
	76.000	5.46	05/26/22	6.09	...	-0.63	8 of 18 - Sale <sup>25</sup>
	100.000	7.17	05/26/22	7.62	...	-0.45	9 of 18 - Sale <sup>25</sup>
	100.000	7.18	05/26/22	7.62	...	-0.44	10 of 18 - Sale <sup>25</sup>
	50.000	3.59	05/26/22	4.03	...	-0.44	11 of 18 - Sale <sup>25</sup>
	100.000	7.20	05/26/22	7.62	...	-0.42	12 of 18 - Sale <sup>25</sup>
	25.000	1.79	05/26/22	2.18	...	-0.39	13 of 18 - Sale <sup>25</sup>
	20.000	1.44	05/26/22	1.60	...	-0.16	14 of 18 - Sale <sup>25</sup>

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Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 894822881

Proceeds from Broker and Barter Exchange Transactions

2022 1099-B\* OMB No. 1545-0715

(continued)

02/13/2023

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FARMMI, INC. ORDINARY SHARES / CUSIP: G33277107 / Symbol: (cont'd)							
	17.000	1.22	05/26/22	1.38	...	-0.16	15 of 18 - Sale <sup>25</sup>
	8.000	0.57	05/26/22	0.65	...	-0.08	16 of 18 - Sale <sup>25</sup>
	5.000	0.36	05/26/22	0.40	...	-0.04	17 of 18 - Sale <sup>25</sup>
	1.000	0.07	05/26/22	0.10	...	-0.03	18 of 18 - Sale <sup>25</sup>
05/26/22	5,300.000	380.40	Various	412.38	...	-31.98	Total of 18 transactions
	9 transactions for 05/26/22. Total proceeds and cost reported to the IRS.						
	4,941.000	354.62	05/26/22	376.50	1.07 W	-20.81	1 of 9 - Sale <sup>25</sup>
	25.000	1.81	05/26/22	2.09	0.28 W	0.00	2 of 9 - Sale <sup>25</sup>
	1.000	0.07	05/26/22	0.09	0.02 W	0.00	3 of 9 - Sale <sup>25</sup>
	75.000	5.41	05/26/22	6.27	0.86 W	0.00	4 of 9 - Sale <sup>25</sup>
	100.000	7.22	05/26/22	8.35	1.13 W	0.00	5 of 9 - Sale <sup>25</sup>
	300.000	21.65	05/26/22	25.14	3.49 W	0.00	6 of 9 - Sale <sup>25</sup>
	374.000	27.00	05/26/22	28.50	1.50 W	0.00	7 of 9 - Sale <sup>25</sup>
	20.000	1.45	05/26/22	1.52	0.07 W	0.00	8 of 9 - Sale <sup>25</sup>
	22.000	1.58	05/26/22	1.68	0.10 W	0.00	9 of 9 - Sale <sup>25</sup>
05/26/22	5,858.000	420.81	Various	450.14	8.52 W	-20.81	Total of 9 transactions
	<b>Security total:</b>	<b>4,108.76</b>		<b>4,221.26</b>	<b>50.15 W</b>	<b>-62.35</b>	
TANTECH HOLDINGS LTD. COMMON S HARES / CUSIP: G8675X123 / Symbol:							
	3 transactions for 06/13/22. Total proceeds and cost reported to the IRS.						
	1,500.000	494.79	06/13/22	524.70	...	-29.91	1 of 3 - Sale <sup>25</sup>
	100.000	32.98	06/13/22	34.87	...	-1.89	2 of 3 - Sale <sup>25</sup>
	6.000	1.98	06/13/22	2.10	...	-0.12	3 of 3 - Sale <sup>25</sup>
06/13/22	1,606.000	529.75	Various	561.67	...	-31.92	Total of 3 transactions
MEIWU TECHNOLOGY COMPANY LIMITED ORDINARY SHARES / CUSIP: G9604C107 / Symbol:							
	4 transactions for 12/20/22. Total proceeds and cost reported to the IRS.						
	500.000	827.42	12/14/22	1,229.80	...	-402.38	1 of 4 - Sale <sup>25</sup>
	100.000	165.48	12/14/22	248.00	...	-82.52	2 of 4 - Sale <sup>25</sup>
	20.000	33.10	12/14/22	50.40	...	-17.30	3 of 4 - Sale <sup>25</sup>
	7.000	11.58	12/14/22	17.47	...	-5.89	4 of 4 - Sale <sup>25</sup>
12/20/22	627.000	1,037.58	Various	1,545.67	...	-508.09	Total of 4 transactions
<b>Totals :</b>		<b>97,975.14</b>		<b>109,408.95</b>	<b>1,483.87 W</b>	<b>-9,949.94</b>	

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Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 894822881

### Detail for Interest Income

2022

02/13/2023

*This section of your tax information statement contains the payment level detail of taxable interest and associated bond premium. Market discount will be shown here only if you have elected to recognize it currently rather than at the time of sale or maturity. Bond premium and market discount for covered tax lots are totaled on Form 1099-INT and reported to the IRS. For noncovered tax lots, they are totaled and presented beneath the 1099-INT for informational purposes and are not reported to the IRS.*

*To provide a complete picture of activity for each investment, we also include here nonreportable transactions such as accrued interest paid on purchases and payment or receipt of nonqualified interest. Other amounts, such as federal, state and foreign tax withheld and investment expenses are shown as negative amounts but do not net against the reportable income totals.*

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
Interest payment		01/31/22	0.01	Interest	
		04/29/22	0.03	Interest	
		05/31/22	0.15	Interest	
		06/30/22	0.08	Interest	
		12/30/22	0.37	Interest	
			0.64	Total Interest	
			<b>0.64</b>	<b>Total Interest</b>	



Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 894822881

## Fees and Expenses

2022

02/13/2023

*This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.*

*These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.*

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
Margin charge		01/03/22	-5.00	Margin interest paid	
		04/05/22	-5.00	Margin interest paid	
		06/24/22	-5.00	Margin interest paid	
		12/09/22	-5.00	Margin interest paid	
			-20.00	Total Margin interest paid	
			<b>-20.00</b>	<b>Total Margin interest paid</b>	

25 Tax lot closed on a first in first out basis.

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**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.****1099-INT Instructions for Recipient**

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

**Line 1.** Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2022 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

**Line 2.** Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction.

**Line 3.** Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium.

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

**Line 5.** Any amount shown is your share of investment expenses of a singleclass REMIC. This amount is included in line 1. Note: This amount is not deductible.

**Line 6.** Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

**Line 7.** Shows the country or U.S. possession to which the foreign tax was paid.

**Line 8.** Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Forms 1040 and 1040-SR. This amount may be subject to backup withholding. See Line 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

**Line 9.** Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in line 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

**Line 10.** For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your

**Instructions for Recipient**

payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includible in taxable income as interest income.

**Line 11.** For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

**Line 12.** For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 3. If the amount in line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

**Line 13.** For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this line for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in line 8 or 9, whichever is applicable. If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

**Line 14.** Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s).

**Lines 15-17.** State tax withheld reporting lines.

**Future developments.** For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099INT](http://www.irs.gov/Form1099INT).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

**Line 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

**Instructions for Recipient**

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

