Robinhood Markets Inc 85 Willow Road Menlo Park, CA 94025 650-940-2700



Sandeep Gujjari 6215 Love Dr 2416 Irving, TX 75039 Master Account Number for Import: 142989722 Document ID for Import: 146U6JVJFGF

Enclosed is your 2022 Consolidated Tax Statement. 1099 Tax Forms for the following accounts are included in this package:

142989722 - Robinhood Securities LLC

142989722C - Robinhood Crypto LLC

**New for 2022 Tax Year** - Where applicable, 1099 Tax Forms for Robinhood Securities, Robinhood Crypto, and Robinhood Money are being consolidated into one package and reported under our parent entity, Robinhood Markets Inc. We have done this to ensure all forms that you need are provided at the same time in this consolidated package.

**Important** - Please utilize the Master Account Number and Document ID listed above if filing through tax service providers such as TurboTax or H&R Block. The Document ID is necessary in order to directly import your 1099 data into the tax software so that you do not need to manually enter each transaction. This year there is only one Master Account Number and Document ID that needs to be used to import all of your data across all accounts and 1099s (including crypto). Please note that tax software providers may have limits on the total number of transactions that can be directly imported using the Document ID.

**CSVs** - In addition, a CSV file which includes the same information that is reported on your 1099 forms will be provided. The CSV is not a separate 1099. It is being provided as a supplement in a format that may be more helpful if you have a large number of transactions or if you are not using the Document ID to import all of your Securities, Crypto and Money activity. Tax software providers may have limits on the total number of transactions that can be uploaded and CSV files may not be compatible with tax service providers such as TurboTax or H&R Block.

**Robinhood Retirements Accounts** - If you had any reportable distributions or contributions within a Robinhood Retirement account, your 2022 tax forms 1099-R and/or 5498 will be delivered separately. Retirement accounts will be reported under Robinhood Securities LLC and will have their own unique Doc IDs for import to tax software providers such as TurboTax or H&R Block.

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1a- Total ordinary dividends (includes lines 1b, 5, 2e)       0.00       2- Royalties         1b- Qualified dividends       0.00       3- Other income         2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)       0.00       4- Federal income tax withheld         2b- Unrecaptured Section 1250 gain       0.00       8- Substitute payments in lieu of dividends or interest         2c- Section 1202 gain       0.00       8- Substitute payments in lieu of dividends or interest         2c- Section 897 ordinary dividends       0.00       8- Section 1256 CONTRACTS       2022 1099-B*       0.00         2f- Section 897 capital gain       0.00       8- Profit or (loss) realized in 2022 on closed contracts       9- Unrealized profit or (loss) on open contracts-12/31/2021       0.00         4- Federal income tax withheld       0.00       10- Unrealized profit or (loss) on open contracts-12/31/2021       0- Unrealized profit or (loss) on open contracts-12/31/2022       0- Unrealized profit or (loss) on open contracts-12/31/2022       11- Aggregate profit or (loss) on contracts       11- Aggregate profit or (loss) on contracts       12- Aggregate profit or (loss) on contracts       12- Aggregate profit or (loss) on contracts       12- Section agare as a contracts       12- Aggregate profit or (loss) on contracts	2022
Int-[] FATCA filing requirement (see instructions)       Summary Information       13-[] FATCA filing requirement (see instructions)         DIVIDENDS AND DISTRIBUTIONS       2022 1099-DIV*       OMB No. 1545-0110       MISCELLANEOUS INFORMATION       2022 1099-MISC*       OMB         1a- Total ordinary dividends       0.00       3- Other income       2- Royalties       0.00       3- Other income         2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)       0.00       3- Other income       4- Federal income tax withheld       8- Substitute payments in lieu of dividends or interest         2b- Unrecaptured Section 1202 gain       0.00       8- Substitute payments in lieu of dividends or interest       0.00         2c- Section 897 ordinary dividends       0.00       8- Profit or (loss) realized in 2022 on closed contracts       0.00         2r- Section 897 ordinary dividends       0.00       9- Unrealized profit or (loss) on open contracts-12/31/2021       0.00         4- Federal income tax withheld       0.00       10- Unrealized profit or (loss) on open contracts-12/31/2022       11- Aggregate profit or (loss) on open contracts-12/31/2022         4- Foreign country or US possession:       7- Foreign tax paid:       0.00       11- Aggregate profit or (loss) on open contracts       11- Aggregate profit or (loss) on otheracts         9- Oxneash liquidation distributions       0.00       11- Aggregate profit or (loss) on otheracts <th></th>	
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1a- Total ordinary dividends (includes lines 1b, 5, 2e)       0.00       2- Royalties         1b- Qualified dividends       0.00       3- Other income         2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)       0.00       4- Federal income tax withheld         2b- Unrecaptured Section 1250 gain       0.00       8- Substitute payments in lieu of dividends or interest         2c- Section 1202 gain       0.00       8- Substitute payments in lieu of dividends or interest         2c- Section 897 ordinary dividends       0.00       8- Profit or (loss) realized in 2022 on closed contracts         2f- Section 897 capital gain       0.00       8- Profit or (loss) on open contracts-12/31/2021         2f- Section 199A dividends       0.00       9- Unrealized profit or (loss) on open contracts-12/31/2021         2f- Section 199A dividends       0.00       10- Unrealized profit or (loss) on open contracts-12/31/2022         5- Section 199A dividends       0.00       11- Aggregate profit or (loss) on contracts         6- Investment expenses       0.00       11- Aggregate profit or (loss) on contracts         7- Foreign tax paid:       0.00       11- Aggregate profit or (loss) on contracts         9- Cash liquidation distributions       0.00       11- Aggregate profit or (loss) on the sections of this document.         9- Noncash liquidation distributions       0.00       11- Simportant tax inf	see instructions)
1b- Qualified dividends       0.00       3- Other income         2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)       0.00       4- Federal income tax withheld         2b- Unrecaptured Section 1250 gain       0.00       8- Substitute payments in lieu of dividends or interest         2c- Section 1202 gain       0.00       8- Substitute payments in lieu of dividends or interest         2c- Section 1202 gain       0.00       8- Substitute payments in lieu of dividends or interest         2e- Section 897 capital gain       0.00       8- Profit or (loss) realized in 2022 on closed contracts         3- Nondividend distributions       0.00       9- Unrealized profit or (loss) on open contracts-12/31/2021         4- Federal income tax withheld       0.00       10- Unrealized profit or (loss) on open contracts -12/31/2022         5- Section 199A dividends       0.00       11- Aggregate profit or (loss) on contracts         6- Investment expenses       0.00       11- Aggregate profit or (loss) on contracts         8- Foreign country or US possession:       7- Foreign tax paid:       0.00         9- Cash liquidation distributions       0.00       16 applicable, proceeds from sale transactions appear summarized below and detailed in subsequent sections of this document.         * This is important tax information and is being furnished to the Internal Revenue S	/IB No. 1545-011
2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)       0.00       4- Federal income tax withheld         2b- Unrecaptured Section 1250 gain       0.00       8- Substitute payments in lieu of dividends or interest         2c- Section 1202 gain       0.00       8- Substitute payments in lieu of dividends or interest         2c- Section 1202 gain       0.00       8- Substitute payments in lieu of dividends or interest         2c- Section 1202 gain       0.00       8- Substitute payments in lieu of dividends or interest         2c- Section 897 ordinary dividends       0.00       8- Profit or (loss) realized in 2022 on closed contracts         3- Nondividend distributions       0.00       9- Unrealized profit or (loss) on open contracts-12/31/2021         4- Federal income tax withheld       0.00       10- Unrealized profit or (loss) on open contracts-12/31/2022         5- Section 199A dividends       0.00       10- Unrealized profit or (loss) on open contracts-12/31/2022         6- Investment expenses       0.00       11- Aggregate profit or (loss) on contracts         8- Foreign country or US possession:       7- Foreign tax paid:       0.00         9- Cash liquidation distributions       0.00       11 angplicable, proceeds from sale transactions appear summarized below and detailed in subsequent sections of this document.         9- Noncash liquidation distributions       0.00       10- Unrealized profit or (loss) or this docum	0.00
2b- Unrecaptured Section 1250 gain       0.00       8- Substitute payments in lieu of dividends or interest         2c- Section 1202 gain       0.00         2d- Collectibles (28%) gain       0.00         2e- Section 897 ordinary dividends       0.00         2f- Section 897 capital gain       0.00         3- Nondividend distributions       0.00         3- Nondividend distributions       0.00         4- Federal income tax withheld       0.00         5- Section 199A dividends       0.00         6- Investment expenses       0.00         8- Foreign country or US possession:       7- Foreign tax paid:         9- Cash liquidation distributions       0.00         10- Noncash liquidation distributions       0.00         10- Noncash liquidation distributions       0.00	0.09
2c- Section 1202 gain       0.00         2d- Collectibles (28%) gain       0.00         2e- Section 897 ordinary dividends       0.00         2f- Section 897 capital gain       0.00         3- Nondividend distributions       0.00         4- Federal income tax withheld       0.00         5- Section 199A dividends       0.00         6- Investment expenses       0.00         8- Foreign country or US possession:       7- Foreign tax paid:         9- Cash liquidation distributions       0.00         10- Noncash liquidation distributions       0.00         10- Noncash liquidation distributions       0.00         10- Noncash liquidation distributions       0.00	0.00
2d- Collectibles (28%) gain       0.00         2e- Section 897 ordinary dividends       0.00         2f- Section 897 capital gain       0.00         3- Nondividend distributions       0.00         4- Federal income tax withheld       0.00         5- Section 199A dividends       0.00         6- Investment expenses       0.00         8- Foreign country or US possession:       7- Foreign tax paid:         9- Cash liquidation distributions       0.00         10- Noncash liquidation distributions       0.00         10- Noncash liquidation distributions       0.00	0.00
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3- Nondividend distributions       0.00       9- Unrealized profit or (loss) on open contracts-12/31/2021         4- Federal income tax withheld       0.00       10- Unrealized profit or (loss) on open contracts-12/31/2022         5- Section 199A dividends       0.00       11- Aggregate profit or (loss) on contracts         6- Investment expenses       0.00       11- Aggregate profit or (loss) on contracts         8- Foreign country or US possession:       7- Foreign tax paid:       0.00       If applicable, proceeds from sale transactions appear summarized below an detailed in subsequent sections of this document.         9- Cash liquidation distributions       0.00       * This is important tax information and is being furnished to the Internal Revenue S	0.00
4- Federal income tax withheld       0.00       10- Unrealized profit or (loss) on open contracts-12/31/2022         5- Section 199A dividends       0.00       11- Aggregate profit or (loss) on contracts         6- Investment expenses       0.00       If applicable, proceeds from sale transactions appear summarized below as detailed in subsequent sections of this document.         9- Cash liquidation distributions       0.00       * This is important tax information and is being furnished to the Internal Revenue S	0.00
6- Investment expenses       0.00         8- Foreign country or US possession:       7- Foreign tax paid:       0.00         9- Cash liquidation distributions       0.00       If applicable, proceeds from sale transactions appear summarized below at detailed in subsequent sections of this document.         10- Noncash liquidation distributions       0.00       * This is important tax information and is being furnished to the Internal Revenue S	0.00
8- Foreign country or US possession:       7- Foreign tax paid:       0.00       If applicable, proceeds from sale transactions appear summarized below and detailed in subsequent sections of this document.         9- Cash liquidation distributions       0.00       * This is important tax information and is being furnished to the Internal Revenue S         10- Noncash liquidation distributions       0.00       * This is important tax information and is being furnished to the Internal Revenue S	0.00
8- Foreign country or US possession:       7- Foreign tax paid:       0.00       detailed in subsequent sections of this document.         9- Cash liquidation distributions       0.00       * This is important tax information and is being furnished to the Internal Revenue S         10- Noncash liquidation distributions       0.00       * This is important tax information and is being furnished to the Internal Revenue S	nd are
9- Cash liquidation distributions 0.00 * This is important tax information and is being furnished to the Internal Revenue S	nu arc
	Service.
12 Exemptinterest dividends (include line 13) 0.00 If you are required to file a return, a negligence penalty or other sanction may be	
12- Exempt-interest dividends (includes line 13) 13- Specified private activity bond interest dividends (AMT) 0.00 0.	

### SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	166,898.00	213,926.53	0.00	8,851.84	-38,176.69
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	166,898.00	213,926.53	0.00	8,851.84	-38,176.69
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	166,898.00	213,926.53	0.00	8,851.84	-38,176.69
Withholding		Amount				
Federal income	tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2022 may require an amended tax form.

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## **Summary Information**

2022

(continued)

02/13/2023

Account 142989722

### INTEREST INCOME 2022 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	1.23
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
Tax-exempt obligations (covered lots)	0.00
Tax-exempt private activity obligations (AMT, covered lots)	0.00
14- Tax-exempt and tax credit bond CUSIP number	See detail
FATCA filing requirement []	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nongualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

### STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

### ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

### **RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnershi		-
Other Receipts & Reconciliations- Foreign ta	x paid- partnership 0.00	0
Other Receipts & Reconciliations- Return of	principal 0.00	0
Other Receipts & Reconciliations- Deferred in	ncome payment 0.00	0
Other Receipts & Reconciliations- Deemed p	premium 0.00	0
Other Receipts & Reconciliations- Income ac	ccrual- UIT 0.00	0
Other Receipts & Reconciliations- Basis adju	ustments 0.00	0
Other Receipts & Reconciliations- Foreign ta	x pd beyond treaty 0.00	0
Fees & Expenses- Margin interest	15.30	6
Fees & Expenses- Dividends paid on short p	osition 0.00	0
Fees & Expenses- Interest paid on short pos	sition 0.00	0
Fees & Expenses- Non reportable distribution	n expense 0.00	0
Fees & Expenses- Other expenses	0.00	0
Fees & Expenses- Severance tax	0.00	0
Fees & Expenses- Organizational expense	0.00	0
Fees & Expenses- Miscellaneous fees	0.00	0
Fees & Expenses- Tax-exempt investment e	expense 0.00	0
Foreign Exchange Gains & Losses- Foreign	currency gain/loss 0.00	0

### Robinhood Markets Inc. as agent for Robinhood Securities LLC

## **Proceeds from Broker and Barter Exchange Transactions**

02/13/2023

Account 142989722

### **2022 1099-B\*** OMB No. 1545-0715

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

#### FATCA filing requirement []

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*) "Gain or loss (-)" is NOT reported to the IRS.

#### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 03/11/2022 CALL	_ \$170.00 / CUSIP: / Symbol:						
	3 transactions for 03/1	1/22. Total proceeds a	and cost repor	ted to the IRS.			
03/11/22	5.000 4.000 2.000 11.000	0.00 0.00 0.00 0.00	03/08/22 03/08/22 03/08/22 Various	75.00 60.00 30.00 165.00	  	-75.00 -60.00 -30.00 -165.00	1 of 3 - Option expiration- long call 2 of 3 - Option expiration- long call 3 of 3 - Option expiration- long call Total of 3 transactions
AAPL 03/11/2022 CALL	_ \$172.50 / CUSIP: / Symbol:						
	2 transactions for 03/1	1/22. Total proceeds a	and cost repor	ted to the IRS.			
03/11/22	25.000 1.000 26.000	0.00 0.00 0.00	03/04/22 03/04/22 Various	625.00 23.00 648.00	 	-625.00 -23.00 -648.00	1 of 2 - Option expiration- long call 2 of 2 - Option expiration- long call Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

1a- Description of prope	rty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 03/11/2022 CALL \$	S175.00 / CUSIP: / Symbol:						
03/07/22	1.000	3.98	03/03/22	39.00		-35.02	Option sale to close-call <sup>25</sup>
AAPL 03/18/2022 CALL \$	S170.00 / CUSIP: / Symbol:						
03/07/22	10.000	989.97	03/07/22	1,340.00		-350.03	Option sale to close-call <sup>25</sup>
AAPL 12/30/2022 PUT \$1	130.00 / CUSIP: / Symbol:						
	2 transactions for 12/20	0/22. Total proceeds a	and cost repor	ted to the IRS.			
	1.000 1.000	2 <i>09.9</i> 8 2 <i>0</i> 9.98	12/14/22 12/14/22	45.00 45.00		164.98 164.98	1 of 2 - Option sale to close-put $^{25}$ 2 of 2 - Option sale to close-put $^{25}$
12/20/22	2.000	419.96	Various	90.00		329.96	Total of 2 transactions
AFRM 02/04/2022 CALL S	\$55.00 / CUSIP: / Symbol:						
01/28/22	2.000	435.98	01/27/22	800.00		-364.02	Option sale to close-call <sup>25</sup>
	170.00 / CUSIP: / Symbol:						
01/03/22	1.000	267.98	12/30/21	217.00		50.98	Option sale to close-call <sup>25</sup>
	118.00 / CUSIP: / Symbol:						
02/02/22	4.000	3,639.97	01/31/22	632.00		3,007.97	Option sale to close-call <sup>25</sup>
	121.00 / CUSIP: / Symbol:						
03/04/22	5.000	0.00	03/02/22	405.00		-405.00	Option expiration- long call
	130.00 / CUSIP: / Symbol:						
02/11/22 03/01/22	22.000 10.000	4,421.93 449.97	02/11/22 02/28/22	4,840.00 960.03	190.03 W	-228.04 -510.06	Option sale to close-call <sup>25</sup> Option sale to close-call <sup>25</sup>
03/01/22	Security total:	4,871.90	02/20/22	<b>5.800.03</b>	 190.03 W	-310.00 -738.10	Option sale to close-call
AMD 03/11/2022 CALL \$	120.00 / CUSIP: / Symbol:	.,		-,			
• • • • • • • • • • • • • • • • • • • •	2 transactions for 03/1	1/22. Total proceeds	and cost repor	ted to the IRS.			
	61.000 14.000	0.00 0.00	03/08/22 03/08/22	793.00 182.00		-793.00 -182.00	1 of 2 - Option expiration- long call 2 of 2 - Option expiration- long call
03/11/22	75.000	0.00	Various	975.00		-975.00	Total of 2 transactions
AMZN 01/07/2022 CALL \$	\$3,440.00 / CUSIP: / Symbol:						
01/07/22	1.000	3.98	01/04/22	1,145.00		-1,141.02	Option sale to close-call <sup>25</sup>

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Robinhood Markets Inc. as agent for Robinhood Securities LLC Account 142989722

**Proceeds from Broker and Barter Exchange Transactions** 

**2022 1099-B\*** OMB No. 1545-0715

(continued)

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## **Proceeds from Broker and Barter Exchange Transactions** (continued)

2022 1099-B\* OMB No. 1545-0715

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (*Lines 2 & 5*) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/S	Symbol						
1c- Date		1d- Proceeds &			1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or disposed	Quantity	6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Additional information
AMZN 01/07/2022 CALL \$3,500.00 /	CUSIP: / Symbol:		•			, ,	
01/03/22	1.000	934.98	12/27/21	3,275.00		-2,340.02	Option sale to close-call <sup>25</sup>
AMZN 01/07/2022 CALL \$3,575.00 /	CUSIP: / Symbol:						
01/03/22	1.000	309.98	12/29/21	915.00		-605.02	Option sale to close-call <sup>25</sup>
AMZN 01/07/2022 CALL \$3,585.00 /	CUSIP: / Symbol:						
01/03/22	1.000	272.98	12/29/21	1,050.00		-777.02	Option sale to close-call <sup>25</sup>
AMZN 01/14/2022 CALL \$3,470.00 /	CUSIP: / Symbol:						
01/13/22	1.000	75.98	12/31/21	2,000.00		-1,924.02	Option sale to close-call <sup>25</sup>
AMZN 01/14/2022 CALL \$3,540.00 /	CUSIP: / Symbol:						
01/11/22	1.000	81.98	01/05/22	590.00		-508.02	Option sale to close-call <sup>25</sup>
AMZN 01/14/2022 CALL \$3,545.00 /	CUSIP: / Symbol:						
01/11/22	1.000	74.98	12/29/21	1,915.00		-1,840.02	Option sale to close-call <sup>25</sup>
AMZN 01/14/2022 CALL \$3,575.00 /	CUSIP: / Symbol:						
01/11/22	1.000	59.98	12/30/21	1,310.00		-1,250.02	Option sale to close-call <sup>25</sup>
AMZN 01/21/2022 CALL \$3,200.00 /	CUSIP: / Symbol:						
2 tra	ansactions for 01/21/	/22. Total proceeds a	and cost repor	ted to the IRS.			
	2.000	19.99	01/20/22	178.00		-158.01	1 of 2 - Option sale to close-call <sup>25</sup>
01/21/22	1.000 3.000	<i>9.99</i> 29.98	01/20/22	<i>91.00</i> 269.00		-81.01 -239.02	2 of 2 - Option sale to close-call <sup>25</sup> Total of 2 transactions
•		29.96	Various	269.00		-239.02	Total of 2 transactions
AMZN 01/21/2022 CALL \$3,480.00 /		0.00	04/40/00	405.00		405.00	Option excitation lange cell
01/21/22	1.000	0.00	01/10/22	465.00		-465.00	Option expiration- long call
AMZN 01/21/2022 CALL \$3,560.00 /							
01/21/22	1.000	0.00	12/30/21	2,177.00		-2,177.00	Option expiration- long call
AMZN 01/21/2022 CALL \$3,600.00 /	CUSIP: / Symbol:						
01/13/22	1.000	170.98	12/27/21	2,910.00	2,739.02 W	0.00	Option sale to close-call <sup>25</sup>
01/21/22	1.000	0.00	01/11/22	2,972.02		-2,972.02	Option expiration- long call
Secu	urity total:	170.98		5,882.02	2,739.02 W	-2,972.02	

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## Proceeds from Broker and Barter Exchange Transactions (continued)

2022 1099-B\* OMB No. 1545-0715

### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/	CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMZN 01/28/2022 CALL \$3,5	95.00 / CUSIP: / Symbol:						
01/24/22	1.000	14.98	01/12/22	585.00		-570.02	Option sale to close-call <sup>25</sup>
AMZN 01/28/2022 CALL \$3,7	10.00 / CUSIP: / Symbol:						
01/24/22	1.000	10.98	01/13/22	258.00		-247.02	Option sale to close-call <sup>25</sup>
AMZN 02/04/2022 CALL \$3,2	95.00 / CUSIP: / Symbol:						
02/03/22 02/04/22	1.000 1.000	849.98 120.98	01/27/22 02/03/22	470.00 905.00		379.98 -784.02	Option sale to close-call $^{25}$ Option sale to close-call $^{25}$
	Security total:	970.96		1,375.00	•••	-404.04	
AMZN 02/04/2022 CALL \$3,9	,	40.00	04/44/00	000.00		450.00	<b>O</b> a l'an a se la da se la se la 25
02/03/22		49.98	01/14/22	200.00		-150.02	Option sale to close-call <sup>25</sup>
AMZN 02/04/2022 PUT \$2,00	•	044.00	00/00/00	4 47 00		04.00	<b>O</b>
02/03/22	1.000	211.98	02/03/22	147.00		64.98	Option sale to close-put <sup>25</sup>
AMZN 02/11/2022 CALL \$3,1	•	0.040.07	04/00/00			4 00 4 07	
02/01/22		3,349.97	01/28/22	1,525.00		1,824.97	Option sale to close-call <sup>25</sup>
ATVI 02/04/2022 CALL \$100.	•						
02/04/22	3.000	0.00	02/03/22	3.00		-3.00	Option expiration- long call
ATVI 02/04/2022 CALL \$90.0	•						
02/04/22	3.000	0.00	02/03/22	15.00		-15.00	Option expiration- long call
ATVI 02/04/2022 PUT \$60.00	,						
02/04/22	3.000	0.00	02/03/22	3.00		-3.00	Option expiration- long put
ATVI 02/11/2022 CALL \$85.0	•						
	3 transactions for 02/11,						
	25.000 3.000	0.00 0.00	02/09/22 02/09/22	50.00 3.00		-50.00 -3.00	1 of 3 - Option expiration- long ca 2 of 3 - Option expiration- long ca
	1.000	0.00	02/09/22	1.00		-3.00 -1.00	3 of 3 - Option expiration- long ca
02/11/22	29.000	0.00	Various	54.00		-54.00	Total of 3 transactions
ATVI 02/18/2022 CALL \$84.0	0 / CUSIP: / Symbol:						
02/10/22	1.000	4.98	02/09/22	8.00		-3.02	Option sale to close-call <sup>25</sup>

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

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## **Proceeds from Broker and Barter Exchange Transactions** (continued)

2022 **1099-B\*** OMB No. 1545-0715

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (*Lines 2 & 5*) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*)

"Gain or loss (-)" is NOT reported to the IRS. 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BAC 03/18/2022 CALL	\$50.00 / CUSIP: / Symbol:						
	3 transactions for 02/	16/22. Total proceeds a	and cost report	ted to the IRS.			
	3.000 1.000 1.000	158.98 52.98 52.98	02/15/22 02/15/22 02/15/22	201.00 67.00 67.00	 	-42.02 -14.02 -14.02	1 of 3 - Option sale to close-call <sup>25</sup> 2 of 3 - Option sale to close-call <sup>25</sup> 3 of 3 - Option sale to close-call <sup>25</sup>
02/16/22	5.000	264.94 /16/22. Total proceeds	Various	335.00		-70.06	Total of 3 transactions
	1.000	54.98	02/15/22	67.00	12.02 W	0.00	1 of 11 - Option sale to close-call
	1.000	54.98	02/15/22	67.00	12.02 W	0.00	2 of 11 - Option sale to close-call
	13.000	688.97	02/15/22	871.00	182.03 W	0.00	3 of 11 - Option sale to close-call
	1.000	53.00	02/15/22	79.02	26.02 W	0.00	4 of 11 - Option sale to close-call
	1.000	53.00	02/15/22	79.02	26.02 W	0.00	5 of 11 - Option sale to close-call
	8.000	423.97	02/15/22	536.00	112.03 W	0.00	6 of 11 - Option sale to close-call
	8.000	423.97	02/15/22	648.02	224.05 W	0.00	7 of 11 - Option sale to close-call
	2.000	105.98	02/15/22	162.00	56.02 W	0.00	8 of 11 - Option sale to close-call
	2.000	105.98	02/15/22	162.01	56.03 W	0.00	9 of 11 - Option sale to close-call
	1.000	52.99	02/15/22	81.00	28.01 W	0.00	10 of 11 - Option sale to close-call
	1.000	52.99	02/15/22	67.00	14.01 W	0.00	11 of 11 - Option sale to close-call
02/16/22	39.000 9 transactions for 02/	2,070.81 17/22. Total proceeds a	Various and cost report	2,819.07 ted to the IRS.	748.26 W	0.00	Total of 11 transactions
	98.000 8.000 8.000 2.000	3,919.78 319.98 319.98 79.99	02/15/22 02/16/22 02/16/22 02/16/22	6,566.00 688.05 576.03 172.03	  	-2,646.22 -368.07 -256.05 -92.04	1 of 9 - Option sale to close-call <sup>25</sup> 2 of 9 - Option sale to close-call <sup>25</sup> 3 of 9 - Option sale to close-call <sup>25</sup> 4 of 9 - Option sale to close-call <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions** (continued)

2022 1099-B\* OMB No. 1545-0715

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (*Lines 2 & 5*) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description	of r	property	/CUSIP/S	vmbol
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1a- Description of prop 1c- Date	berty/CUSIP/Symbol	1d- Proceeds &			1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or		6- Reported	1b- Date	1e- Cost or	1g- Wash sale loss	7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	also not reported (Z)	Additional information
BAC 03/18/2022 CALL	\$50.00 / CUSIP: / Symbol: (cont	'd)					
	2.000	80.00	02/16/22	172.02		-92.02	5 of 9 - Option sale to close-call <sup>25</sup>
	1.000	40.00	02/16/22	86.01		-46.01	6 of 9 - Option sale to close-call <sup>25</sup>
	1.000	40.00	02/16/22	84.02		-44.02	7 of 9 - Option sale to close-call <sup>25</sup>
	1.000	40.00	02/16/22	84.02		-44.02	8 of 9 - Option sale to close-call <sup>25</sup>
00/47/00	1.000	40.00	02/16/22	72.01		-32.01	9 of 9 - Option sale to close-call <sup>25</sup>
02/17/22	122.000	4,879.73	Various	8,500.19	 748.26 W	-3,620.46	Total of 9 transactions
	Security total:	7,215.48		11,654.26	740.20 W	-3,690.52	
	L \$31.00 / CUSIP: / Symbol:						
03/04/22	8.000	1,119.97	03/03/22	800.00		319.97	Option sale to close-call <sup>25</sup>
	2 transactions for 03/07	•	•				
	3.000	239.98	03/03/22	300.00		-60.02	1 of 2 - Option sale to close-call <sup>25</sup>
00/07/00	7.000	804.98	03/03/22	700.00		104.98	2 of 2 - Option sale to close-call <sup>25</sup>
03/07/22	10.000	1,044.96	Various	1,000.00		44.96	Total of 2 transactions
	Security total:	2,164.93		1,800.00		364.93	
CHGG 02/18/2022 CAL	L \$35.00 / CUSIP: / Symbol:						
02/08/22	1.000	20.98	02/07/22	170.00		-149.02	Option sale to close-call <sup>25</sup>
CROX 02/18/2022 CAL	L \$110.00 / CUSIP: / Symbol:						
02/16/22	2.000	9.98	02/15/22	680.00		-670.02	Option sale to close-call <sup>25</sup>
CRWD 03/11/2022 CAL	L \$217.50 / CUSIP: / Symbol:						
03/11/22	1.000	1.98	03/08/22	27.00		-25.02	Option sale to close-call <sup>25</sup>
CRWD 03/11/2022 CAL	L \$220.00 / CUSIP: / Symbol:						
03/11/22	2.000	1.98	03/09/22	46.00		-44.02	Option sale to close-call <sup>25</sup>
DBD 02/18/2022 CALL	\$10.00 / CUSIP: / Symbol:						
02/15/22	5.000	104.98	02/09/22	75.00		29.98	Option sale to close-call <sup>25</sup>
DIS 02/11/2022 CALL \$	3170.00 / CUSIP: / Symbol:						
02/10/22	1.000	4.98	02/09/22	43.00		-38.02	Option sale to close-call <sup>25</sup>
DOCU 01/14/2022 CAL	L \$200.00 / CUSIP: / Symbol:						
01/04/22	1.000	3.98	12/27/21	37.00		-33.02	Option sale to close-call <sup>25</sup>

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Account 142989722

Robinhood Markets Inc. as agent for
Robinhood Securities LLC

02/13/2023

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## **Proceeds from Broker and Barter Exchange Transactions** (continued)

2022 **1099-B\*** OMB No. 1545-0715

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (*Lines 2 & 5*) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of	property/CUSIP/Symbol

1c- Date		1d- Proceeds &			1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or		6- Reported	1b- Date	1e- Cost or	1g- Wash sale loss	7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	( )	Additional information
ET 02/18/2022 PUT \$8.00	/ CUSIP: / Symbol:						
	6 transactions for 02/1	5/22. Total proceeds a	and cost repor	ted to the IRS.			
	4.000	3.99	01/24/22	92.00		-88.01	1 of 6 - Option sale to close-put <sup>25</sup>
	2.000	1.99	01/24/22	46.00		-44.01	2 of 6 - Option sale to close-put <sup>25</sup>
	1.000	0.99	01/24/22	23.00		-22.01	3 of 6 - Option sale to close-put <sup>25</sup>
	1.000	1.00	01/24/22	23.00		-22.00	4 of 6 - Option sale to close-put <sup>25</sup>
	1.000	1.00	01/24/22	23.00		-22.00	5 of 6 - Option sale to close-put <sup>25</sup>
	1.000	1.00	01/24/22	23.00		-22.00	6 of 6 - Option sale to close-put <sup>25</sup>
02/15/22	10.000	9.97	Various	230.00		-220.03	Total of 6 transactions
FB 02/04/2022 CALL \$350	.00 / CUSIP: / Symbol:						
	2 transactions for 02/0	4/22. Total proceeds a	and cost repor	ted to the IRS.			
	1.000	0.00	02/02/22	195.00		-195.00	1 of 2 - Option expiration- long call
	1.000	0.00	02/02/22	195.00		-195.00	2 of 2 - Option expiration- long call
02/04/22	2.000	0.00	Various	390.00		-390.00	Total of 2 transactions
GLD 03/18/2022 CALL \$18	36.00 / CUSIP: / Symbol:						
	6 transactions for 02/2	5/22. Total proceeds a	and cost repor	ted to the IRS.			
	9.000	440.98	02/24/22	1,557.00		-1,116.02	1 of 6 - Option sale to close-call <sup>25</sup>
	10.000	489.98	02/24/22	1,220.00		-730.02	2 of 6 - Option sale to close-call <sup>25</sup>
	8.000	391.97	02/24/22	816.00		-424.03	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	49.00	02/24/22	173.00		-124.00	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	49.00	02/24/22	102.00		-53.00	5 of 6 - Option sale to close-call <sup>25</sup>
	1.000	49.00	02/24/22	102.00		-53.00	6 of 6 - Option sale to close-call <sup>25</sup>
02/25/22	30.000	1,469.93	Various	3,970.00		-2,500.07	Total of 6 transactions
GOOGL 02/04/2022 CALL	\$2,895.0 / CUSIP: / Symbol	:					
02/02/22	1.000	10,999.93	02/01/22	1,990.00		9,009.93	Option sale to close-call <sup>25</sup>
IBM 01/28/2022 PUT \$110	.00 / CUSIP: / Symbol:						
01/25/22	1.000	10.98	01/24/22	180.00		-169.02	Option sale to close-put <sup>25</sup>
IBM 01/28/2022 PUT \$111	.00 / CUSIP: / Symbol:						
01/25/22	1.000	13.98	01/24/22	199.00		-185.02	Option sale to close-put <sup>25</sup>

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

02/13/2023

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## **Proceeds from Broker and Barter Exchange Transactions** (continued)

2022 **1099-B\*** OMB No. 1545-0715

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (*Lines 2 & 5*) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*)

"Gain or loss (-)" is NOT reported to the IRS. 1. Description of property/CUSID/Symbol

1a- Description of property	//CUSIP/Symbol						
1c- Date		1d- Proceeds &			1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or disposed	Quantity	6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1g- Wash sale loss disallowed (W)	7- Loss not allowed (X)	Additional information
•	,		acquireu	other basis	disallowed (W)	also not reported (2)	
INTC 02/04/2022 CALL \$49	0.00 / CUSIP: / Symbol:						
02/02/22	3.000	203.98	01/27/22	291.00		-87.02	Option sale to close-call <sup>25</sup>
LCID 03/04/2022 CALL \$35	.00 / CUSIP: / Symbol:						
	2 transactions for 03/0	1/22. Total proceeds a	and cost repo	rted to the IRS.			
	8.000	23.97	02/28/22	256.00		-232.03	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	5.98	02/28/22	64.00		-58.02	2 of 2 - Option sale to close-call <sup>25</sup>
03/01/22	10.000	29.95	Various	320.00		-290.05	Total of 2 transactions
LCID 03/04/2022 CALL \$50	.00 / CUSIP: / Symbol:						
	3 transactions for 03/04	4/22. Total proceeds a	and cost repo	rted to the IRS.			
	13.000	0.00	02/28/22	65.00		-65.00	1 of 3 - Option expiration- long call
	5.000	0.00	02/28/22	25.00		-25.00	2 of 3 - Option expiration- long call
	2.000	0.00	02/28/22	10.00		-10.00	3 of 3 - Option expiration- long call
03/04/22	20.000	0.00	Various	100.00		-100.00	Total of 3 transactions
LCID 03/11/2022 CALL \$50	.00 / CUSIP: / Symbol:						
	6 transactions for 03/0	1/22. Total proceeds a	and cost repo	rted to the IRS.			
	10.000	19.97	02/28/22	90.00		-70.03	1 of 6 - Option sale to close-call <sup>25</sup>
	3.000	5.98	02/28/22	27.00		-21.02	2 of 6 - Option sale to close-call <sup>25</sup>
	2.000	3.98	02/28/22	18.00		-14.02	3 of 6 - Option sale to close-call <sup>25</sup>
	2.000	3.98	02/28/22	18.00		-14.02	4 of 6 - Option sale to close-call <sup>25</sup>
	2.000	3.98	02/28/22	18.00		-14.02	5 of 6 - Option sale to close-call <sup>25</sup>
/ /	1.000	1.98	02/28/22	9.00		-7.02	6 of 6 - Option sale to close-call <sup>25</sup>
03/01/22	20.000	39.87	Various	180.00		-140.13	Total of 6 transactions
LMT 01/28/2022 PUT \$300.	.00 / CUSIP: / Symbol:						
01/25/22	1.000	4.98	01/24/22	50.00		-45.02	Option sale to close-put <sup>25</sup>
LMT 01/28/2022 PUT \$330.	.00 / CUSIP: / Symbol:						
01/25/22	2.000	49.98	01/24/22	300.00		-250.02	Option sale to close-put <sup>25</sup>
MRNA 01/07/2022 CALL \$2	250.00 / CUSIP: / Symbol:						
01/05/22	1.000	104.98	01/04/22	209.00		-104.02	Option sale to close-call <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions (continued)

**2022 1099-B\*** OMB No. 1545-0715

### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MRNA 01/07/2022 CALL \$345.00	/ CUSIP: / Symbol:						
01/05/22	1.000	0.98	12/22/21	142.00		-141.02	Option sale to close-call <sup>25</sup>
MRNA 01/21/2022 CALL \$235.00	/ CUSIP: / Symbol:						
01/04/22	1.000	1,129.98	01/03/22	1,440.00		-310.02	Option sale to close-call <sup>25</sup>
MRNA 02/25/2022 PUT \$100.00 /	CUSIP: / Symbol:						
02/24/22	2.000	7.98	02/23/22	200.00		-192.02	Option sale to close-put <sup>25</sup>
NFLX 01/07/2022 CALL \$630.00 /	CUSIP: / Symbol:						
01/05/22	3.000	86.98	01/03/22	234.00		-147.02	Option sale to close-call <sup>25</sup>
NFLX 02/04/2022 CALL \$395.00 /	CUSIP: / Symbol:						
01/31/22	1.000	1,414.98	01/28/22	525.00		889.98	Option sale to close-call <sup>25</sup>
NVDA 01/07/2022 CALL \$330.00 /	CUSIP: / Symbol:						
01/05/22	1.000	6.98	01/03/22	37.00		-30.02	Option sale to close-call <sup>25</sup>
NVDA 01/14/2022 CALL \$307.50 /	CUSIP: / Symbol:						
01/05/22	1.000	280.98	12/28/21	1,000.00		-719.02	Option sale to close-call <sup>25</sup>
NVDA 02/11/2022 CALL \$240.00 /	CUSIP: / Symbol:						
02/07/22	1.000	1,063.98	02/04/22	870.00		193.98	Option sale to close-call <sup>25</sup>
VDA 02/18/2022 CALL \$255.00 /	CUSIP: / Symbol:						
02/11/22	1.000	882.98	02/11/22	975.00		-92.02	Option sale to close-call <sup>25</sup>
NVDA 02/18/2022 CALL \$260.00 /	CUSIP: / Symbol:						
02/09/22	1.000	1,539.98	02/09/22	1,365.00		174.98	Option sale to close-call <sup>25</sup>
PLUG 01/21/2022 CALL \$36.00 / 0	CUSIP: / Symbol:						
2	transactions for 01/05	5/22. Total proceeds a	and cost report	ted to the IRS.			
	5.000 1.000	74.98	12/23/21	245.00		-170.02	1 of 2 - Option sale to close-call
		14.98	12/23/21	49.00		-34.02	2 of 2 - Option sale to close-call <sup>2</sup>

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

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## **Proceeds from Broker and Barter Exchange Transactions** (continued)

2022 **1099-B\*** OMB No. 1545-0715

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (*Lines 2 & 5*) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of prop	perty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
· · · · ·	. \$360.00 / CUSIP: / Symbol:		•			,	
		26/22. Total proceeds a	and cost repor	ted to the IRS.			
	4.000	, 51.98	01/26/22	560.00		-508.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	13.00	01/26/22	140.00		-127.00	2 of 2 - Option sale to close-call $^{25}$
01/26/22	5.000	64.98	Various	700.00		-635.02	Total of 2 transactions
QQQ 02/18/2022 PUT	\$341.00 / CUSIP: / Symbol:						
	4 transactions for 02/	15/22. Total proceeds a	and cost repor	ted to the IRS.			
	18.000	2,465.94	02/14/22	6,138.00		-3,672.06	1 of 4 - Option sale to close-put <sup>25</sup>
	9.000	1,232.97	02/14/22	3,069.00		-1,836.03	2 of 4 - Option sale to close-put <sup>25</sup>
	9.000	1,232.97	02/14/22	3,069.00		-1,836.03	3 of 4 - Option sale to close-put <sup>25</sup>
	9.000	1,232.97	02/14/22	3,069.00		-1,836.03	4 of 4 - Option sale to close-put <sup>25</sup>
02/15/22	45.000	6,164.85	Various	15,345.00		-9,180.15	Total of 4 transactions
RBLX 01/19/2024 CALI	L \$45.00 / CUSIP: / Symbol:						
02/23/22	2.000	3,899.97	02/22/22	4,200.00	300.03 W	0.00	Option sale to close-call <sup>25</sup>
02/24/22	1.000	1,758.98	02/22/22	2,100.00		-341.02	Option sale to close-call <sup>25</sup>
03/02/22	1.000	2,004.97	02/25/22	2,275.02		-270.05	Option sale to close-call <sup>25</sup>
03/03/22	1.000	1,789.98	02/25/22	2,275.01		-485.03	Option sale to close-call <sup>25</sup>
	Security total:	9,453.90		10,850.03	300.03 W	-1,096.10	
RSX 03/04/2022 PUT \$	9.00 / CUSIP: / Symbol:						
03/02/22	2.000	369.98	03/01/22	140.00		229.98	Option sale to close-put <sup>25</sup>
RSX 03/11/2022 PUT \$	5.00 / CUSIP: / Symbol:						
	5 transactions for 03/0	02/22. Total proceeds a	and cost repor	ted to the IRS.			
	1.000	54.98	03/01/22	30.00		24.98	1 of 5 - Option sale to close-put <sup>25</sup>
	1.000	54.98	03/01/22	30.00		24.98	2 of 5 - Option sale to close-put <sup>25</sup>
	1.000	54.98	03/01/22	30.00		24.98	3 of 5 - Option sale to close-put <sup>25</sup>
	2.000	129.98	03/01/22	60.00		69.98	4 of 5 - Option sale to close-put <sup>25</sup>
	5.000	274.98	03/01/22	150.00		124.98	5 of 5 - Option sale to close-put <sup>25</sup>
03/02/22	10.000	569.90	Various	300.00		269.90	Total of 5 transactions
SE 03/04/2022 CALL \$	160.00 / CUSIP: / Symbol:						
03/01/22	1.000	51.98	02/28/22	450.00		-398.02	Option sale to close-call <sup>25</sup>

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1a- Description of proper	rty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SHOP 02/18/2022 CALL \$	\$855.00 / CUSIP: / Symbol:						
02/18/22	1.000	0.00	02/15/22	5,100.00		-5,100.00	Option expiration- long call
NDL 01/19/2024 CALL \$	5.00 / CUSIP: / Symbol:						
	2 transactions for 02/0	3/22. Total proceeds a	and cost report	ted to the IRS.			
	1.000	19.98	02/02/22	0.00		19.98	1 of 2 - Short sale closed- call <sup>25</sup> Option written: 11/17/21
	1.000	19.98	02/02/22	0.00		19.98	2 of 2 - Short sale closed- call <sup>25</sup> Option written: 11/17/21
02/03/22	2.000	39.96	Various	0.00		39.96	Total of 2 transactions
3PY 01/07/2022 CALL \$4	82.00 / CUSIP: / Symbol:						
01/05/22	1.000	26.98	01/04/22	48.00		-21.02	Option sale to close-call <sup>25</sup>
SPY 01/26/2022 CALL \$4	50.00 / CUSIP: / Symbol:						
01/26/22	5.000	269.98	01/26/22	205.00		64.98	Option sale to close-call <sup>25</sup>
SPY 02/11/2022 CALL \$4	48.00 / CUSIP: / Symbol:						
02/08/22	3.000	1,622.98	02/07/22	1,164.00		458.98	Option sale to close-call <sup>25</sup>
SPY 02/16/2022 PUT \$43	4.00 / CUSIP: / Symbol:						
02/15/22	3.000	104.98	02/14/22	921.00		-816.02	Option sale to close-put <sup>25</sup>
SPY 02/16/2022 PUT \$43	8.00 / CUSIP: / Symbol:						
	6 transactions for 02/1	4/22. Total proceeds a	and cost report	ted to the IRS.			
	12.000 10.000 3.000 9.000	3,647.96 3,039.96 911.99 2,735.97	02/11/22 02/11/22 02/11/22 02/11/22	4,788.00 3,990.00 1,779.02 3,591.00	  	-1,140.04 -950.04 -867.03 -855.03	1 of 6 - Option sale to close-put <sup>2</sup> 2 of 6 - Option sale to close-put <sup>2</sup> 3 of 6 - Option sale to close-put <sup>2</sup> 4 of 6 - Option sale to close-put <sup>2</sup>
	2.000	607.99	02/11/22	1,380.03		-772.04	5 of 6 - Option sale to close-put <sup>2</sup>
02/14/22	1.000 37.000	<i>304.00</i> 11,247.87	<i>02/11/22</i> Various	<i>496.00</i> 16,024.05		<i>-192.00</i> -4,776.18	6 of 6 - Option sale to close-put <sup>2</sup> Total of 6 transactions
	5 transactions for 02/1					-4,770.10	
	5.000	, 1,519.98	02/11/22	2,005.00	485.02 W	0.00	1 of 5 - Option sale to close-put <sup>2</sup>
	2.000	607.99	02/11/22	996.01	388.02 W	0.00	2 of 5 - Option sale to close-put <sup>2</sup>
	3.000	911.99	02/11/22	1,494.01	582.02 W	0.00	3 of 5 - Option sale to close-put <sup>2</sup>

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## Robinhood Markets Inc. as agent for Robinhood Securities LLC

**2022 1099-B**\* OMB No. 1545-0715

## Proceeds from Broker and Barter Exchange Transactions (continued)

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Account 142989722

Robinhood Markets Inc. as agent for
Robinhood Securities LLC

## **Proceeds from Broker and Barter Exchange Transactions** (continued)

2022 **1099-B\*** OMB No. 1545-0715

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (*Lines 2 & 5*) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/0	CUSIP/Symbol						
1c- Date		1d- Proceeds &			1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or	Quantitu	6- Reported (G)ross or (N)et	1b- Date	1e- Cost or	1g- Wash sale loss disallowed (W)	7- Loss not allowed (X)	Additional information
disposed	Quantity	() ()	acquired	other basis	disallowed (W)	also not reported (Z)	Additional Information
SPY 02/16/2022 PUT \$438.00	) / CUSIP: / Symbol: (co	nt'd)					
	2.000	607.99	02/11/22	1,190.02	582.03 W	0.00	4 of 5 - Option sale to close-put <sup>25</sup>
	1.000	304.00	02/11/22	401.00	97.00 W	0.00	5 of 5 - Option sale to close-put <sup>25</sup>
02/14/22	13.000	3,951.95	Various	6,086.04	2,134.09 W	0.00	Total of 5 transactions
	Security total:	15,199.82		22,110.09	2,134.09 W	-4,776.18	
SPY 02/18/2022 CALL \$440.0	00 / CUSIP: / Symbol:						
	6 transactions for 02/0	04/22. Total proceeds a	and cost report	ted to the IRS.			
	1.000	1,399.98	02/04/22	1,130.00		269.98	1 of 6 - Option sale to close-call <sup>25</sup>
	2.000	2,799.97	02/04/22	2,260.00		539.97	2 of 6 - Option sale to close-call <sup>25</sup>
	3.000	4,004.96	02/04/22	3,390.00		614.96	3 of 6 - Option sale to close-call <sup>25</sup>
	3.000	4,142.96	02/04/22	3,390.00		752.96	4 of 6 - Option sale to close-call <sup>25</sup>
	4.000	5,523.96	02/04/22	4,520.00		1,003.96	5 of 6 - Option sale to close-call <sup>25</sup>
00/04/00	4.000	5,559.96	02/04/22	4,520.00		1,039.96	6 of 6 - Option sale to close-call <sup>25</sup>
02/04/22	17.000	23,431.79	Various	19,210.00		4,221.79	Total of 6 transactions
SPY 02/18/2022 PUT \$402.00							
01/27/22	2.000	783.98	01/24/22	1,624.00		-840.02	Option sale to close-put <sup>25</sup>
SPY 02/18/2022 PUT \$434.00	) / CUSIP: / Symbol:						
02/14/22	4.000	1,183.98	02/11/22	1,840.00		-656.02	Option sale to close-put <sup>25</sup>
SPY 02/18/2022 PUT \$436.00	) / CUSIP: / Symbol:						
02/16/22	10.000	749.97	02/16/22	1,700.00		-950.03	Option sale to close-put <sup>25</sup>
SPY 02/25/2022 PUT \$435.00	) / CUSIP: / Symbol:						
	3 transactions for 02/2	2/22. Total proceeds a	and cost report	ted to the IRS.			
	1.000	520.00	02/18/22	536.02		-16.02	1 of 3 - Option sale to close-put <sup>25</sup>
	1.000	519.99	02/18/22	528.00		-8.01	2 of 3 - Option sale to close-put <sup>25</sup>
	1.000	519.99	02/18/22	528.00		-8.01	3 of 3 - Option sale to close-put <sup>25</sup>
02/22/22	3.000	1,559.98	Various	1,592.02		-32.04	Total of 3 transactions
02/22/22	1.000	519.98	02/18/22	528.00	8.02 W	0.00	Option sale to close-put <sup>25</sup>
	Security total:	2,079.96		2,120.02	8.02 W	-32.04	

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

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## **Proceeds from Broker and Barter Exchange Transactions** (continued)

2022 **1099-B\*** OMB No. 1545-0715

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (*Lines 2 & 5*) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*)

"Gain or loss (-)" is NOT reported to the IRS.

#### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 03/02/2022 CALL \$44	40.00 / CUSIP: / Symbol:						
	7 transactions for 03/0	02/22. Total proceeds a	and cost report	ted to the IRS.			
	2.000	130.00	03/02/22	166.02		-36.02	1 of 7 - Option sale to close-call <sup>25</sup>
	1.000	64.99	03/02/22	43.01		21.98	2 of 7 - Option sale to close-call <sup>25</sup>
	1.000	65.00	03/02/22	43.00		22.00	3 of 7 - Option sale to close-call <sup>25</sup>
	1.000	65.00	03/02/22	43.00		22.00	4 of 7 - Option sale to close-call <sup>25</sup>
	1.000	61.98	03/02/22	35.00		26.98	5 of 7 - Option sale to close-call <sup>25</sup>
	1.000	61.98	03/02/22	35.00		26.98	6 of 7 - Option sale to close-call <sup>25</sup>
	8.000	519.97	03/02/22	280.00		239.97	7 of 7 - Option sale to close-call <sup>25</sup>
03/02/22	15.000	968.92	Various	645.03		323.89	Total of 7 transactions
	4 transactions for 03/0	02/22. Total proceeds a	and cost report	ted to the IRS.			
	2.000	129.99	03/01/22	210.00	80.01 W	0.00	1 of 4 - Option sale to close-call <sup>25</sup>
	2.000	129.99	03/01/22	146.00	16.01 W	0.00	2 of 4 - Option sale to close-call <sup>25</sup>
	2.000	129.99	03/01/22	226.01	96.02 W	0.00	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	65.00	03/01/22	73.00	8.00 W	0.00	4 of 4 - Option sale to close-call <sup>25</sup>
03/02/22	7.000	454.97	Various	655.01	200.04 W	0.00	Total of 4 transactions
	Security total:	1,423.89		1,300.04	200.04 W	323.89	
SPY 03/04/2022 PUT \$400	0.00 / CUSIP: / Symbol:						
03/02/22	2.000	37.98	03/01/22	118.00		-80.02	Option sale to close-put <sup>25</sup>
SPY 03/04/2022 PUT \$430	0.00 / CUSIP: / Symbol:						
02/22/22	10.000	7,819.94	02/22/22	7,290.00		529.94	Option sale to close-put <sup>25</sup>
SPY 03/07/2022 PUT \$402	2.00 / CUSIP: / Symbol:						
	6 transactions for 02/2	24/22. Total proceeds a	and cost report	ted to the IRS.			
	1.000	419.99	02/23/22	174.00		245.99	1 of 6 - Option sale to close-put <sup>25</sup>
	3.000	1,259.98	02/23/22	522.00		737.98	2 of 6 - Option sale to close-put $^{25}$
	4.000	1,679.98	02/23/22	696.00		983.98	3 of 6 - Option sale to close-put <sup>25</sup>
	4.000	1,679.98	02/23/22	696.00		983.98	4 of 6 - Option sale to close-put <sup>25</sup>
	4.000	1,679.98	02/23/22	696.00		983.98	5 of 6 - Option sale to close-put <sup>25</sup>
	5.000	2,099.97	02/23/22	870.00		1,229.97	6 of 6 - Option sale to close-put <sup>25</sup>
02/24/22	21.000	8,819.88	Various	3,654.00		5,165.88	Total of 6 transactions

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1a- Description of property/	CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 03/07/2022 PUT \$440.0	0 / CUSIP: / Symbol:						
02/24/22	1.000	2,355.97	02/23/22	1,300.00		1,055.97	Option sale to close-put <sup>25</sup>
SPY 03/09/2022 PUT \$400.0	0 / CUSIP: / Symbol:						
02/28/22	7.000	993.98	02/25/22	630.00		363.98	Option sale to close-put <sup>25</sup>
SPY 03/11/2022 PUT \$400.0	0 / CUSIP: / Symbol:						
	2 transactions for 03/0	7/22. Total proceeds a	and cost report	ted to the IRS.			
03/07/22 03/07/22	5.000 2.000 7.000 6.000	269.98 107.99 377.97 323.98 <b>701.95</b>	03/04/22 03/04/22 Various 03/04/22	580.02 170.00 750.02 510.00 <b>1,260.02</b>	  155.02 W <b>155.02 W</b>	-310.04 -62.01 -372.05 -31.00 <b>-403.05</b>	1 of 2 - Option sale to close-put <sup>25</sup> 2 of 2 - Option sale to close-put <sup>25</sup> Total of 2 transactions Option sale to close-put <sup>25</sup>
	Security total:	701.95		1,200.02	155.02 W	-403.05	
SPY 03/18/2022 PUT \$400.0 03/08/22	2.000	752.00	03/07/22	870.00		-116.02	Option sale to close-put <sup>25</sup>
		753.98	03/07/22	870.00		-110.02	Option sale to close-put
SPY 11/25/2022 PUT \$385.0	•	0.00	11/01/00	000.00		000.00	Ontion evolution long put
11/25/22	27.000	0.00	11/21/22	999.00		-999.00	Option expiration- long put
SPY 12/07/2022 PUT \$385.0		7/00 To (al more a da					
	2 transactions for 12/0	,	,			004.00	1 of 2 Option coloring to place put 25
	8.000 1.000	15.97 2.00	11/30/22 11/30/22	840.00 105.00		-824.03 -103.00	1 of 2 - Option sale to close-put <sup>25</sup> 2 of 2 - Option sale to close-put <sup>25</sup>
12/07/22	9.000	17.97	Various	945.00		-927.03	Total of 2 transactions
SPY 12/23/2022 CALL \$430.	00 / CUSIP: / Symbol:						
	11 transactions for 12	/14/22. Total proceeds	and cost repo	rted to the IRS.			
	11.000	98.96	12/12/22	231.00		-132.04	1 of 11 - Option sale to close-call
	10.000	89.97	12/12/22	210.00		-120.03	2 of 11 - Option sale to close-call 25
	5.000	44.99	12/12/22	105.00		-60.01	3 of 11 - Option sale to close-call
	5.000	44.99	12/12/22	105.00		-60.01	4 of 11 - Option sale to close-call

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Robinhood Markets Inc. as agent for **Robinhood Securities LLC** 

"Gain or loss (-)" is NOT reported to the IRS.

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### **Proceeds from Broker and Barter Exchange Transactions**

(continued)

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (*Lines 2 & 5*) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*)

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## Account 142989722

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1099-B\* OMB No. 1545-0715

Proceeds from Broker and Barter Exchange Transactions

2022 1099-B\* OMB No. 1545-0715

### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

(continued)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1a- Description of prope	erty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 12/23/2022 CALL \$	430.00 / CUSIP: / Symbol: (co	ont'd)					
	4.000	35.99	12/12/22	84.00		-48.01	5 of 11 - Option sale to close-call
	1.000	9.00	12/12/22	45.02		-36.02	6 of 11 - Option sale to close-call
	1.000	9.00	12/12/22	45.02		-36.02	7 of 11 - Option sale to close-call
	1.000	9.00	12/12/22	45.02		-36.02	8 of 11 - Option sale to close-call
	1.000	9.00	12/12/22	33.02		-24.02	9 of 11 - Option sale to close-call
	2.000	18.00	12/12/22	42.00		-24.00	10 of 11 - Option sale to close-call
	1.000	9.00	12/13/22	14.00		-5.00	11 of 11 - Option sale to close-call
12/14/22	42.000 6 transactions for 12/1	377.90 4/22. Total proceeds a	Various and cost repor	959.08 ted to the IRS.		-581.18	Total of 11 transactions
	2.000 1.000	17.98 8.99	12/12/22 12/12/22	42.00 21.00	24.02 W 12.01 W	0.00 0.00	1 of 6 - Option sale to close-call <sup>25</sup> 2 of 6 - Option sale to close-call <sup>25</sup>
	1.000 1.000	8.99 8.99	12/12/22 12/12/22	33.01 33.01	24.02 W 24.02 W	0.00 0.00	3 of 6 - Option sale to close-call <sup>25</sup> 4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	8.99	12/12/22	33.01	24.02 W	0.00	5 of 6 - Option sale to close-call <sup>25</sup>
10/11/00	1.000	8.98	12/12/22	21.00	12.02 W	0.00	6 of 6 - Option sale to close-call <sup>25</sup>
12/14/22	7.000 Security total:	62.92 <b>440.82</b>	Various	183.03 <b>1,142.11</b>	120.11 W <b>120.11 W</b>	0.00 <b>-581.18</b>	Total of 6 transactions
SPY 12/27/2022 CALL \$	400.00 / CUSIP: / Symbol:	440.02		1,142.11	120.11 W	-301.10	
	2 transactions for 12/2	7/22. Total proceeds	and cost repor	ted to the IRS.			
	2.000 2.000	0.00 0.00	12/20/22 12/20/22	16.00 16.00		-16.00 -16.00	1 of 2 - Option expiration- long call 2 of 2 - Option expiration- long call
12/27/22	4.000	0.00	Various	32.00		-32.00	Total of 2 transactions
SQ 01/14/2022 CALL \$1	85.00 / CUSIP: / Symbol:						
01/05/22	1.000	13.98	12/27/21	195.00		-181.02	Option sale to close-call <sup>25</sup>

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

## **Proceeds from Broker and Barter Exchange Transactions** (continued)

2022 **1099-B\*** OMB No. 1545-0715

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (*Lines 2 & 5*) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*)

"Gain or loss (-)" is NOT reported to the IRS. 1a-Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SQ 03/04/2022 CALL \$150	0.00 / CUSIP: / Symbol:						
03/01/22	3.000	41.98	02/28/22	135.00		-93.02	Option sale to close-call <sup>25</sup>
SQQQ 01/06/2023 CALL \$	50.00 / CUSIP: / Symbol:						
12/20/22	2.000	989.96	12/14/22	352.00		637.96	Option sale to close-call <sup>25</sup>
SQQQ 12/02/2022 CALL \$	50.00 / CUSIP: / Symbol:						
12/02/22	1.000	0.00	11/30/22	45.00		-45.00	Option expiration- long call
TELL 10/21/2022 CALL \$4	I.00 / CUSIP: / Symbol:						
	3 transactions for 02/2	8/22. Total proceeds a	and cost repor	ted to the IRS.			
	4.000	439.98	02/24/22	340.00		99.98	1 of 3 - Option sale to close-call <sup>25</sup>
	5.000	549.98	02/24/22	425.00		124.98	2 of 3 - Option sale to close-call <sup>25</sup>
	6.000	659.98	02/24/22	510.00		149.98	3 of 3 - Option sale to close-call <sup>25</sup>
02/28/22	15.000	1,649.94	Various	1,275.00		374.94	Total of 3 transactions
03/01/22	3.000	344.98	02/24/22	255.00		89.98	Option sale to close-call <sup>25</sup>
	2 transactions for 03/0	7/22. Total proceeds a	and cost repor	ted to the IRS.			
	1.000	126.98	02/24/22	85.00		41.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	126.98	02/24/22	85.00		41.98	2 of 2 - Option sale to close-call <sup>25</sup>
03/07/22	2.000	253.96	Various	170.00		83.96	Total of 2 transactions
	Security total:	2,248.88		1,700.00		548.88	
TMUS 02/04/2022 PUT \$1	05.00 / CUSIP: / Symbol:						
02/03/22	1.000	0.98	02/02/22	120.00		-119.02	Option sale to close-put <sup>25</sup>
TQQQ 02/25/2022 PUT \$4	15.00 / CUSIP: / Symbol:						
	2 transactions for 02/2	4/22. Total proceeds a	and cost report	ted to the IRS.			
	1.000	256.98	02/23/22	96.00		160.98	1 of 2 - Option sale to close-put <sup>25</sup>
	2.000	513.98	02/23/22	192.00		321.98	2 of 2 - Option sale to close-put <sup>25</sup>
02/24/22	3.000	770.96	Various	288.00		482.96	Total of 2 transactions
TQQQ 03/04/2022 CALL \$	60.00 / CUSIP: / Symbol:						
	4 transactions for 03/0	3/22. Total proceeds a	and cost report	ted to the IRS.			
	32.000	31.93	03/02/22	128.00		-96.07	1 of 4 - Option sale to close-call <sup>25</sup>
	10.000	9.97	03/02/22	40.00		-30.03	2 of 4 - Option sale to close-call 25

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

### **Proceeds from Broker and Barter Exchange Transactions**

2022 **1099-B\*** OMB No. 1545-0715

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (*Lines 2 & 5*) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of propert	ty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
•	60.00 / CUSIP: / Symbol: (c	() ()					
	6.000	, 5.98	03/02/22	24.00		-18.02	3 of 4 - Option sale to close-call <sup>25</sup>
	2.000	1.98	03/02/22	8.00		-6.02	4 of 4 - Option sale to close-call <sup>25</sup>
03/03/22	50.000	49.86	Various	200.00		-150.14	Total of 4 transactions
TQQQ 03/04/2022 PUT \$4	5.00 / CUSIP: / Symbol:						
	3 transactions for 03/0	1/22. Total proceeds a	and cost repo	rted to the IRS.			
	1.000	40.99	02/28/22	77.00		-36.01	1 of 3 - Option sale to close-put <sup>25</sup>
	1.000	40.99	02/28/22	77.00		-36.01	2 of 3 - Option sale to close-put <sup>25</sup>
	1.000	41.00	02/28/22	77.00		-36.00	3 of 3 - Option sale to close-put <sup>25</sup>
03/01/22	3.000	122.98	Various	231.00		-108.02	Total of 3 transactions
TQQQ 03/04/2022 PUT \$4	6.00 / CUSIP: / Symbol:						
02/23/22	1.000	150.98	02/22/22	200.00		-49.02	Option sale to close-put <sup>25</sup>
TQQQ 03/11/2022 PUT \$3	0.00 / CUSIP: / Symbol:						
03/08/22	50.000	299.89	03/07/22	250.00		49.89	Option sale to close-put <sup>25</sup>
TQQQ 03/18/2022 PUT \$4	5.00 / CUSIP: / Symbol:						
02/25/22	15.000	3,179.95	02/24/22	7,125.00		-3,945.05	Option sale to close-put <sup>25</sup>
TQQQ 03/18/2022 PUT \$4	6.00 / CUSIP: / Symbol:						
	10 transactions for 02/	22/22. Total proceeds	and cost rep	orted to the IRS.			
	2.000	699.98	02/22/22	740.00		-40.02	1 of 10 - Option sale to close-put <sup>25</sup>
	2.000	699.98	02/22/22	740.00		-40.02	2 of 10 - Option sale to close-put <sup>25</sup>
	1.000	349.98	02/22/22	370.00		-20.02	3 of 10 - Option sale to close-put <sup>25</sup>
	1.000	349.98	02/22/22	370.00		-20.02	4 of 10 - Option sale to close-put <sup>25</sup>
	1.000	349.98	02/22/22	320.02		29.96	5 of 10 - Option sale to close-put <sup>25</sup>
	1.000	349.98	02/22/22	320.01		29.97	6 of 10 - Option sale to close-put <sup>25</sup>
	1.000	349.98	02/22/22	320.01		29.97	7 of 10 - Option sale to close-put <sup>25</sup>
	1.000	349.98	02/22/22	320.01		29.97	8 of 10 - Option sale to close-put <sup>25</sup>
	1.000	349.99	02/22/22	320.01		29.98	9 of 10 - Option sale to close-put <sup>25</sup>
	2.000	699.99	02/22/22	640.01		59.98	10 of 10 - Option sale to close-put
02/22/22	13.000	4,549.82	Various	4,460.07		89.75	Total of 10 transactions

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(continued)

Robinhood Markets Inc. as agent for
Robinhood Securities LLC

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## **Proceeds from Broker and Barter Exchange Transactions** (continued)

2022 **1099-B\*** OMB No. 1545-0715

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (*Lines 2 & 5*) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*)

"Gain or loss (-)" is NOT reported to the IRS. to Description of property/CUEID/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
	Γ \$46.00 / CUSIP: / Symbol: (co	., .,	•			,	
	•	22/22. Total proceeds a	and cost report	ted to the IRS.			
	2.000	699.98	02/22/22	740.00	20.01 W	-20.01	1 of 4 - Option sale to close-put $^{25}$
	3.000	1,049.98	02/22/22	1,110.00	60.02 W	0.00	2 of 4 - Option sale to close-put <sup>25</sup>
	2.000	699.98	02/22/22	740.00	40.02 W	0.00	3 of 4 - Option sale to close-put <sup>25</sup>
	1.000	349.98	02/22/22	370.00	20.02 W	0.00	4 of 4 - Option sale to close-put <sup>25</sup>
02/22/22	8.000	2,799.92	Various	2,960.00	140.07 W	-20.01	Total of 4 transactions
	Security total:	7,349.74		7,420.07	140.07 W	69.74	
TSLA 01/28/2022 CALL	\$1,280.00 / CUSIP: / Symbol:						
	2 transactions for 01/2	26/22. Total proceeds a	and cost repor	ted to the IRS.			
	1.000	87.99	01/25/22	80.00		7.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	87.99	01/26/22	75.00		12.99	2 of 2 - Option sale to close-call <sup>25</sup>
01/26/22	2.000	175.98	Various	155.00		20.98	Total of 2 transactions
	2 transactions for 01/2	27/22. Total proceeds a	and cost repor	ted to the IRS.			
	1.000	5.98	01/26/22	75.00		-69.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	5.98	01/26/22	75.00		-69.02	2 of 2 - Option sale to close-call <sup>25</sup>
01/27/22	2.000	11.96	Various	150.00		-138.04	Total of 2 transactions
	Security total:	187.94		305.00		-117.06	
TSLA 01/28/2022 PUT	\$850.00 / CUSIP: / Symbol:						
01/27/22	1.000	919.98	01/26/22	990.00		-70.02	Option sale to close-put <sup>25</sup>
TSLA 02/04/2022 CALL	\$1,000.00 / CUSIP: / Symbol:						
02/04/22	1.000	7.98	02/03/22	98.00		-90.02	Option sale to close-call <sup>25</sup>
TSLA 02/11/2022 CALL	\$1,000.00 / CUSIP: / Symbol:						
02/09/22	1.000	283.98	02/08/22	188.00		95.98	Option sale to close-call <sup>25</sup>
TSLA 02/18/2022 CALL	\$1,015.00 / CUSIP: / Symbol:						
	2 transactions for 01/3	31/22. Total proceeds a	and cost repor	ted to the IRS.			
	1.000	1,444.98	01/28/22	850.00		594.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	1,444.98	01/28/22	850.00		594.98	2 of 2 - Option sale to close-call <sup>25</sup>
01/31/22	2.000	2,889.96	Various	1,700.00		1,189.96	Total of 2 transactions

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## **Proceeds from Broker and Barter Exchange Transactions** (continued)

2022 1099-B\* OMB No. 1545-0715

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (*Lines 2 & 5*) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*)

"Gain or loss (-)" is NOT reported to the IRS. 12- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 02/25/2022 CAL	L \$760.00 / CUSIP: / Symbol:						
02/24/22	1.000	1,554.98	02/24/22	2,350.00		-795.02	Option sale to close-call <sup>25</sup>
TSLA 02/25/2022 CAL	L \$855.00 / CUSIP: / Symbol:						
02/25/22	2.000	49.98	02/24/22	110.00		-60.02	Option sale to close-call <sup>25</sup>
TSLA 03/11/2022 CAL	L \$1,000.00 / CUSIP: / Symbol:						
03/10/22	5.000	14.98	03/02/22	1,265.00		-1,250.02	Option sale to close-call <sup>25</sup>
TSLA 03/11/2022 CAL	L \$950.00 / CUSIP: / Symbol:			,		,	
	2 transactions for 03/0	7/22. Total proceeds a	and cost report	ted to the IRS.			
	1.000	45.00	03/07/22	155.00	110.00 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	9.000	404.97	03/07/22	1,026.00	621.03 W	0.00	2 of 2 - Option sale to close-call 25
03/07/22	10.000	449.97	Various	1,181.00	731.03 W	0.00	Total of 2 transactions
	6 transactions for 03/1	1/22. Total proceeds a	and cost repor	ted to the IRS.			
	3.000	5.99	03/08/22	333.01		-327.02	1 of 6 - Option sale to close-call <sup>25</sup>
	3.000	5.99	03/08/22	333.01		-327.02	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	2.00	03/08/22	152.00		-150.00	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	1.99	03/08/22	111.01		-109.02	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	2.00	03/08/22	111.00		-109.00	5 of 6 - Option sale to close-call <sup>25</sup>
	1.000	2.00	03/08/22	111.00		-109.00	6 of 6 - Option sale to close-call <sup>25</sup>
03/11/22	10.000	19.97	Various	1,151.03		-1,131.06	Total of 6 transactions
	Security total:	469.94		2,332.03	731.03 W	-1,131.06	
TSLA 03/18/2022 CAL	L \$1,000.00 / CUSIP: / Symbol:						
03/14/22	1.000	10.98	03/11/22	38.00		-27.02	Option sale to close-call <sup>25</sup>
TSLA 08/19/2022 PUT	\$150.00 / CUSIP: / Symbol:						
02/24/22	2.000	207.98	02/23/22	186.00		21.98	Option sale to close-put <sup>25</sup>
TXN 01/28/2022 PUT	\$165.00 / CUSIP: / Symbol:						
01/26/22	1.000	11.98	01/25/22	225.00		-213.02	Option sale to close-put <sup>25</sup>
UPST 02/18/2022 CAL	L \$105.00 / CUSIP: / Symbol:						
02/16/22	1.000	3,124.97	02/15/22	1,205.00		1,919.97	Option sale to close-call <sup>25</sup>
				-		•	•

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

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## **Proceeds from Broker and Barter Exchange Transactions** (continued)

2022 **1099-B\*** OMB No. 1545-0715

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (*Lines 2 & 5*) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*)

#### "Gain or loss (-)" is NOT reported to the IRS. 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UPST 03/11/2022 CALL \$	180.00 / CUSIP: / Symbol:						
	3 transactions for 03/	10/22. Total proceeds a	and cost report	ted to the IRS.			
	3.000	2.98	03/02/22	945.00		-942.02	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	1.98	03/02/22	630.00		-628.02	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	1.98	03/02/22	315.00		-313.02	3 of 3 - Option sale to close-call <sup>25</sup>
03/10/22	6.000	6.94	Various	1,890.00		-1,883.06	Total of 3 transactions
WMT 02/11/2022 CALL \$1	138.00 / CUSIP: / Symbol:						
	4 transactions for 02/	01/22. Total proceeds a	and cost report	ted to the IRS.			
	1.000	334.99	01/31/22	200.00		134.99	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	335.00	01/31/22	200.00		135.00	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	335.00	01/31/22	200.00		135.00	3 of 4 - Option sale to close-call <sup>25</sup>
	2.000	669.99	01/31/22	400.00		269.99	4 of 4 - Option sale to close-call <sup>25</sup>
02/01/22	5.000	1,674.98	Various	1,000.00		674.98	Total of 4 transactions
WMT 02/18/2022 CALL \$1	145.00 / CUSIP: / Symbol:						
	8 transactions for 02/	11/22. Total proceeds a	and cost report	ted to the IRS.			
	6.000	227.98	02/09/22	870.05		-642.07	1 of 8 - Option sale to close-call <sup>25</sup>
	9.000	341.97	02/09/22	585.00		-243.03	2 of 8 - Option sale to close-call <sup>25</sup>
	1.000	37.99	02/09/22	145.01		-107.02	3 of 8 - Option sale to close-call <sup>25</sup>
	1.000	37.98	02/09/22	121.01		-83.03	4 of 8 - Option sale to close-call <sup>25</sup>
	1.000	37.98	02/09/22	121.00		-83.02	5 of 8 - Option sale to close-call <sup>25</sup>
	1.000	37.99	02/09/22	121.00		-83.01	6 of 8 - Option sale to close-call <sup>25</sup>
	1.000	37.99	02/09/22	121.00		-83.01	7 of 8 - Option sale to close-call <sup>25</sup>
	3.000	113.99	02/09/22	195.00		-81.01	8 of 8 - Option sale to close-call <sup>25</sup>
02/11/22	23.000	873.87	Various	2,279.07		-1,405.20	Total of 8 transactions
	5 transactions for 02/	11/22. Total proceeds a	and cost repor	ted to the IRS.			
	6.000	227.98	02/07/22	564.00	336.02 W	0.00	1 of 5 - Option sale to close-call <sup>25</sup>
	4.000	151.98	02/07/22	376.00	224.02 W	0.00	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	38.00	02/07/22	94.00	56.00 W	0.00	3 of 5 - Option sale to close-call $^{25}$
	1.000	38.00	02/09/22	118.01	80.01 W	0.00	4 of 5 - Option sale to close-call $^{25}$
	6.000	227.97	02/09/22	708.02	480.05 W	0.00	5 of 5 - Option sale to close-call $^{25}$
02/11/22	18.000	683.93	Various	1,860.03	1,176.10 W	0.00	Total of 5 transactions
	Security total:	1,557.80		4,139.10	1,176.10 W	-1,405.20	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for
Robinhood Securities LLC

02/13/2023

Page

## **Proceeds from Broker and Barter Exchange Transactions** (continued)

2022 **1099-B\*** OMB No. 1545-0715

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (*Lines 2 & 5*) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*)

#### "Gain or loss (-)" is NOT reported to the IRS. 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WMT 02/25/2022 CALL \$	140.00 / CUSIP: / Symbol:						
02/18/22	30.000	2,609.92	02/16/22	3,150.00		-540.08	Option sale to close-call <sup>25</sup>
WMT 03/18/2022 CALL \$	150.00 / CUSIP: / Symbol:						
	2 transactions for 03/0	04/22. Total proceeds a	and cost report	ted to the IRS.			
	4.000	139.99	03/02/22	16.00		123.99	1 of 2 - Option sale to close-call <sup>25</sup>
	15.000	524.96	03/02/22	60.00		464.96	2 of 2 - Option sale to close-call <sup>25</sup>
03/04/22	19.000	664.95	Various	76.00		588.95	Total of 2 transactions
03/07/22	10.000	249.97	03/02/22	40.00		209.97	Option sale to close-call <sup>25</sup>
03/08/22	10.000	209.97	03/02/22	40.00		169.97	Option sale to close-call <sup>25</sup>
	Security total:	1,124.89		156.00		968.89	
XOM 03/11/2022 CALL \$	95.00 / CUSIP: / Symbol:						
	6 transactions for 03/0	09/22. Total proceeds a	and cost report	ted to the IRS.			
	4.000	19.98	03/08/22	304.00		-284.02	1 of 6 - Option sale to close-call <sup>25</sup>
	2.000	9.98	03/08/22	152.00		-142.02	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	4.98	03/08/22	76.00		-71.02	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	4.98	03/08/22	76.00		-71.02	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	4.98	03/08/22	76.00		-71.02	5 of 6 - Option sale to close-call <sup>25</sup>
	1.000	4.98	03/08/22	76.00		-71.02	6 of 6 - Option sale to close-call <sup>25</sup>
03/09/22	10.000	49.88	Various	760.00		-710.12	Total of 6 transactions
ZM 03/04/2022 CALL \$20	00.00 / CUSIP: / Symbol:						
03/01/22	1.000	0.98	02/28/22	53.00		-52.02	Option sale to close-call <sup>25</sup>
ZM 03/04/2022 PUT \$80.	00 / CUSIP: / Symbol:						
	2 transactions for 03/0	01/22. Total proceeds a	and cost report	ted to the IRS.			
	2.000	7.98	02/28/22	258.01		-250.03	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	3.98	02/28/22	129.01		-125.03	2 of 2 - Option sale to close-put <sup>25</sup>
03/01/22	3.000	11.96	Various	387.02		-375.06	Total of 2 transactions
	2 transactions for 03/0	01/22. Total proceeds a	and cost report				
	2.000	7.99	02/28/22	148.00	140.01 W	0.00	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	3.99	02/28/22	74.00	70.01 W	0.00	2 of 2 - Option sale to close-put <sup>25</sup>
03/01/22	3.000	11.98	Various	222.00	210.02 W	0.00	Total of 2 transactions
	Security total:	23.94		609.02	210.02 W	-375.06	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for
Robinhood Securities LLC

02/13/2023

Page

## **Proceeds from Broker and Barter Exchange Transactions** (continued)

2022 **1099-B\*** OMB No. 1545-0715

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (*Lines 2 & 5*) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*)

"Gain or loss (-)" is NOT reported to the IRS. to Description of property/CUSIP/Sy und had

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ALPHABET INC. CLASS	A COMMON S TOCK / CUS	IP: 02079K305 / Symbo	ol:				
02/02/22	1.000	2,966.77	02/02/22	3,000.00		-33.23	Sale <sup>25</sup>
SUNDIAL GROWERS IN	IC. COMMON SH ARES / CL	ISIP: 86730L109 / Syml	bol:				
01/04/22	100.000 2 transactions for 02/	62.15 /02/22. Total proceeds a	11/16/21 and cost repor	84.97 ted to the IRS.		-22.82	Sale <sup>25</sup>
	100.000	47.36	11/16/21	84.97		-37.61	1 of 2 - Sale <sup>25</sup>
	100.000	47.36	11/17/21	78.75		-31.39	2 of 2 - Sale <sup>25</sup>
02/02/22	200.000	94.72	Various	163.72		-69.00	Total of 2 transactions
	Security total:	156.87		248.69		-91.82	
Totals :	-	166,898.00		213,926.53	8,851.84 W	-38,176.69	

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# Robinhood Markets Inc. as agent for Robinhood Securities LLC

2022

## **Detail for Interest Income**

Account 142989722

02/13/2023

This section of your tax information statement contains the payment level detail of taxable interest and associated bond premium. Market discount will be shown here only if you have elected to recognize it currently rather than at the time of sale or maturity. Bond premium and market discount for covered tax lots are totaled on Form 1099-INT and reported to the IRS. For noncovered tax lots, they are totaled and presented beneath the 1099-INT for informational purposes and are not reported to the IRS.

To provide a complete picture of activity for each investment, we also include here nonreportable transactions such as accrued interest paid on purchases and payment or receipt of nonqualified interest. Other amounts, such as federal, state and foreign tax withheld and investment expenses are shown as negative amounts but do not net against the reportable income totals.

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
Interest payment		01/31/22	0.13	Interest	
		02/28/22	1.10	Interest	
		-	1.23	Total Interest	
		_	1.23	Total Interest	

					Page	26 of	30
Robinhood Markets Inc. as agent for				Account 1429	989722		
Robinhood Securities LLC	D	etail for Miscellaneous Information	on				
2022				02/13/2023			
Security description	CUSIP and/or symbol	Date Amo	unt Transaction type		I	Notes	
US Dollars	USDOLLARS	02/02/22 0	.09 Other income				

0.09

**Total Miscellaneous income** 

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# Robinhood Markets Inc. as agent for Robinhood Securities LLC

2022

## **Fees and Expenses**

Account 142989722

02/13/2023

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
Margin charge		01/03/22	-0.36	Margin interest paid	
		01/03/22	-5.00	Margin interest paid	
		01/24/22	-5.00	Margin interest paid	
		02/23/22	-5.00	Margin interest paid	
			-15.36	Total Margin interest paid	
			-15.36	Total Margin interest paid	

Robinhood Markets Inc. as agent for Robinhood Securities LLC

## 2022 End Notes for Account 142989722

25 Tax lot closed on a first in first out basis.

#### **Common Instructions for Recipient**

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

#### Keep tax documents for your records.

#### 1099-INT Instructions for Recipient

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

Line 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2022 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired ta oremium.

Line 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction.

Line 3. Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium.

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Line 5. Any amount shown is your share of investment expenses of a singleclass REMIC. This amount is included in line 1. Note: This amount is not deductible. Line 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

Line 7. Shows the country or U.S. possession to which the foreign tax was paid. Line 8. Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Forms 1040 and 1040-SR. This

amount may be subject to backup withholding. See Line 4 above. See the instructions above for a tax-exempt covered security acquired at a premium. Line 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount

is included in line 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 10. For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your

#### Instructions for Recipient

payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-0ID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includible in taxable income as interest income.

Line 11. For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4). Line 12. For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 3. If the amount in line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

Line 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this line for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in line 8 or 9, whichever is applicable. If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

Line 14. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s).

#### Lines 15-17. State tax withheld reporting lines.

Future developments. For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099INT.

Free File. Go to <u>www.irs.gov/FreeFile</u> to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

#### 1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation is stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or 0 (other). Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or nonSection 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the secreise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank. Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, your security that might apply to your

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B. Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

#### 1099-MISC Instructions for Recipient

Line 2. Report royalties from oil, gas, or mineral properties; copyrights; and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the Schedule E (Form 1040) instructions. For royalties on timber, coal, and iron ore, see Pub. 544.

Line 3. Generally, report this amount on the "Other income" line on Form 1040 (or Form 1040NR) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).

Line 4. See "Backup Withholding" section.

Line 8. Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040).

Line 13. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You may also have a filing requirement. See the Instructions for Form 8938.

Lines 16-18. Show state or local income tax withheld from the payments.

Future developments. For the latest information about developments related to Form 1099-MISC and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099MISC.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

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Robinhood Markets Inc. as agent for Robinhood Crypto LLC		Information nt 142989722C	Statement Date:	02/13/2023	2022
85 Willow Rd Menlo Park, CA 94025 Customer Service: 650-940-2700	Sandeep Gujjari 6215 Love Dr 2416 Irving, TX 75039				
PAYER'S TIN: 46-4364776	RECIPIENT'S TIN: XX>	X-XX-3527			
11 - [] FATCA filing requirement (see instructions)	Summar	y Information		13 - [] FATCA filing requiren	nent (see instructions)
DIVIDENDS AND DISTRIBUTIONS 2022 1099-DI	V* OMB No. 1545-0110	MISCELLANEOUS	INFORMATION	2022 1099-MISC*	OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5, 2e)	0.00	2- Royalties			0.00
1b- Qualified dividends	0.00	3- Other income			9.27
2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)	0.00	4- Federal income tax	withheld		0.00
2b- Unrecaptured Section 1250 gain	0.00	8- Substitute payments	in lieu of dividends of	r interest	0.00
2c- Section 1202 gain	0.00				
2d- Collectibles (28%) gain	0.00	SECTION 1256 CON	NTRACTS	2022 1099-B*	OMB No. 1545-0715
2e- Section 897 ordinary dividends 2f- Section 897 capital gain	0.00 0.00	8- Profit or (loss) realize	ed in 2022 on closed (	contracts	0.00
3- Nondividend distributions	0.00	9- Unrealized profit or (			0.00
4- Federal income tax withheld	0.00	10- Unrealized profit or (			0.00
5- Section 199A dividends	0.00	11- Aggregate profit or (I	oss) on contracts		0.00
6- Investment expenses	0.00	lf annliachta nuasaa	la fuana anta tunun antis		
8- Foreign country or US possession: 7- Foreign tax paid:	0.00		nt sections of this doc	ons appear summarized belo	ow and are
9- Cash liquidation distributions	0.00	* This is important tax information			un Sarvica
10- Noncash liquidation distributions	0.00				
12- Exempt-interest dividends (includes line 13)	0.00	you if this income is toyable and the IDS determines that it has not been reported			
<ol> <li>Specified private activity bond interest dividends (AMT)</li> </ol>	0.00			that it has not been report	

### SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	B (basis not reported to the IRS)	22,448.35	23,677.42	0.00	0.00	-1,229.07
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	22,448.35	23,677.42	0.00	0.00	-1,229.07
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	22,448.35	23,677.42	0.00	0.00	-1,229.07
Withholding		Amount				
Federal income	tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2022 may require an amended tax form.

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## **Summary Information**

OMB No. 1545-0112

2022

#### (continued)

02/13/2023

Account 142989722C

### **INTEREST INCOME**

2022 1099-INT

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

<ol> <li>Interest income (not included in line 3)</li> <li>Early withdrawal penalty</li> </ol>	0.00 0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
<ol><li>Bond premium on Treasury obligations (covered lots)</li></ol>	0.00
<ol><li>Bond premium on tax-exempt bonds (categorized below)</li></ol>	0.00
Tax-exempt obligations (covered lots)	0.00
Tax-exempt private activity obligations (AMT, covered lots)	0.00
14- Tax-exempt and tax credit bond CUSIP number	
FATCA filing requirement []	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid Taxable accrued Treasury interest paid	0.00 0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

### STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

### **ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

	0.00
Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

### **RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

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### Robinhood Markets Inc. as agent for Robinhood Crypto LLC

## **Proceeds from Broker and Barter Exchange Transactions**

02/13/2023

Account 142989722C

#### **2022 1099-B**\* OMB No. 1545-0715

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

#### FATCA filing requirement []

#### SHORT TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)

Report on Form 8949, Part I with Box B checked. Basis is NOT provided to the IRS. (Line 12)

"Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS.

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et		Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
Dogecoin / CUS	IP: DOGEUSD / Symbol:						
01/04/22	805.09000000000031832 5 transactions for 02/07	137.22 /22. Total proceeds ar	12/16/21 re reported to	145.16 the IRS.		-7.94	Sale <sup>25</sup>
	331.000	56.28	02/07/22	52.90		3.38	1 of 5 - Sale <sup>25</sup>
	354.000	60.20	02/07/22	56.58		3.62	2 of 5 - Sale <sup>25</sup>
	354.000	60.20	02/07/22	56.58		3.62	3 of 5 - Sale <sup>25</sup>
	708.000	120.40	02/07/22	113.16		7.24	4 of 5 - Sale <sup>25</sup>
	7,077.000	1,203.47	02/07/22	1,131.11		72.36	5 of 5 - Sale <sup>25</sup>
02/07/22	8,824.000 32 transactions for 02/1	1,500.55 1/22. Total proceeds a	Various are reported t	1,410.33 to the IRS.		90.22	Total of 5 transactions
	14,154.000	2,122.42	02/07/22	2,262.38		-139.96	1 of 32 - Sale <sup>25</sup>
	14,154.000	2,122.42	02/07/22	2,262.37		-139.95	2 of 32 - Sale <sup>25</sup>
	14,154.000	2,122.42	02/07/22	2,262.37		-139.95	3 of 32 - Sale <sup>25</sup>
	12,492.5499999999999272404	1,873.28	02/07/22	1,996.81		-123.53	4 of 32 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for
Robinhood Crypto LLC

**Proceeds from Broker and Barter Exchange Transactions** 

2022 **1099-B\*** OMB No. 1545-0715

### (continued)

02/13/2023

Account 142989722C

# SHORT TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5) Report on Form 8949, Part I with Box B checked. Basis is NOT provided to the IRS. (Line 12)

"Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS. 1. Description of property/CUSID/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
Dogecoin / CUSIP: D	OGEUSD / Symbol: (cont'd)						
	14,154.000	2,141.96	02/07/22	2,262.37		-120.41	5 of 32 - Sale 25
1	0,995.1299999999999999	1,663.93	02/07/22	1,757.46		-93.53	6 of 32 - Sale 25
	7,077.000	1,061.21	02/07/22	1,131.19		-69.98	7 of 32 - Sale <sup>25</sup>
	7,077.000	1,061.21	02/07/22	1,131.19		-69.98	8 of 32 - Sale 25
	7,077.000	1,061.21	02/07/22	1,131.19		-69.98	9 of 32 - Sale 25
	7,077.000	1,061.21	02/07/22	1,131.18		-69.97	10 of 32 - Sale <sup>25</sup>
	7,077.000	1,061.21	02/07/22	1,131.18		-69.97	11 of 32 - Sale <sup>25</sup>
	5,745.03999999999996362	870.23	02/07/22	918.29		-48.06	12 of 32 - Sale <sup>25</sup>
	5,613.449999999999818101	850.34	02/07/22	897.18		-46.84	13 of 32 - Sale 25
	3,158.869999999999890861	473.68	02/07/22	504.91		-31.23	14 of 32 - Sale <sup>25</sup>
	976.889999999999986358	147.84	02/07/22	156.15		-8.31	15 of 32 - Sale <sup>25</sup>
	708.000	106.17	02/07/22	113.17		-7.00	16 of 32 - Sale <sup>25</sup>
	708.000	106.17	02/07/22	113.17		-7.00	17 of 32 - Sale <sup>25</sup>
	708.000	106.17	02/07/22	113.17		-7.00	18 of 32 - Sale <sup>25</sup>
	708.000	106.17	02/07/22	113.17		-7.00	19 of 32 - Sale <sup>25</sup>
	708.000	106.17	02/07/22	113.16		-6.99	20 of 32 - Sale <sup>25</sup>
	427.959999999999979536	64.83	02/07/22	68.41		-3.58	21 of 32 - Sale <sup>25</sup>
	354.000	53.08	02/07/22	56.59		-3.51	22 of 32 - Sale <sup>25</sup>
	354.000	53.08	02/07/22	56.59		-3.51	23 of 32 - Sale <sup>25</sup>
	354.000	53.08	02/07/22	56.58		-3.50	24 of 32 - Sale <sup>25</sup>
	354.000	53.08	02/07/22	56.58		-3.50	25 of 32 - Sale <sup>25</sup>
	354.000	53.57	02/07/22	56.59		-3.02	26 of 32 - Sale <sup>25</sup>
	354.000	53.62	02/07/22	56.58		-2.96	27 of 32 - Sale <sup>25</sup>
	354.000	53.63	02/07/22	56.58		-2.95	28 of 32 - Sale <sup>25</sup>
	340.000	50.98	02/07/22	53.86		-2.88	29 of 32 - Sale <sup>25</sup>
	309.000	46.81	02/07/22	49.39		-2.58	30 of 32 - Sale <sup>25</sup>
	280.040000000000020464	42.42	02/07/22	44.76		-2.34	31 of 32 - Sale <sup>25</sup>
	46.07000000000000284	6.98	02/07/22	7.36		-0.38	32 of 32 - Sale <sup>25</sup>
02/11/22	138,404.000	20,810.58	Various	22,121.93		-1,311.35	Total of 32 transactions
	Security total:	22,448.35		23,677.42		-1,229.07	
Totals :		22,448.35		23,677.42		-1,229.07	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

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Robinhood Markets Inc. as agent for Account								
Robinhood Crypto LLC	Detail	for Miscellaneous	Information					
2022					02/13/202	23		
Security description	CUSIP and/or symbol	Date	Amount	Transaction type		Ν	otes	

		Dale	Amount	Transaction type
Ethereum	ETHUSD	04/07/22	9.27	Other income

9.27 **Total Miscellaneous income**  8

Robinhood Markets Inc. as agent for Robinhood Crypto LLC

25 Tax lot closed on a first in first out basis.

#### **Common Instructions for Recipient**

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

#### Keep tax documents for your records.

#### 1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in contract or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or nonSection 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the sexercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Soft Paralis.

#### Instructions for Recipient

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank. Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For

Line 19. Shows the andounce of hondeductible loss in a wash sate transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank. Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 109-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

#### 1099-MISC Instructions for Recipient

Line 2. Report royalties from oil, gas, or mineral properties; copyrights; and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the Schedule E (Form 1040) instructions. For royalties on timber, coal, and iron ore, see Pub. 544.

Line 3. Generally, report this amount on the "Other income" line on Form 1040 (or Form 1040NR) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).

#### Line 4. See "Backup Withholding" section.

Line 8. Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040).

Line 13. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You may also have a filing requirement. See the Instructions for Form 8938.

Lines 16-18. Show state or local income tax withheld from the payments.

Future developments. For the latest information about developments related to Form 1099-MISC and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099MISC.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

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