

Apex Clearing
One Dallas Center
350 North St Paul, Ste 1300
Dallas, TX 75201

LAKSHMI SUDHEERA DAMA
741 MOROSGO DR NE
ATLANTA, GA 30324-3510

IN ACCOUNT WITH
WEBULL FINANCIAL LLC
(888) 828-0618

IMPORTANT YEAR END MESSAGES

This document includes your Consolidated Form 1099 that we are required to provide to you and contains information that we are providing to the Internal Revenue Service and additional information that may be helpful to you for filing your tax return. You may wish to seek the advice of a tax professional when preparing your return.

Please verify your personal information for accuracy and contact us to correct any discrepancies. If you own Mutual Funds, Real Estate Trusts (REITs), REMIC Securities or certain fixed investment trusts (WHFITs), income distributions may be reclassified by the issuer after the original 1099 is sent. If you hold these securities or another security that is subject to a reclassification event, you may receive a corrected Form 1099.

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Apex Clearing One Dallas Center 350 North St Paul, Ste 1300 Dallas, TX 75201 Customer Service: 214-765-1009 Payer's Federal ID No: 13-2967453 IN ACCOUNT WITH WEBULL FINANCIAL LLC (888) 828-0618	Account 5NB95646	Form 1099 Composite	2022
	LAKSHMI SUDHEERA DAMA 741 MOROSGO DR NE ATLANTA, GA 30324-3510 RECIPIENT'S ID No: XXX-XX-2967	Statement Date: 02/09/2023 <input type="checkbox"/> Corrected	

FATCA filing Requirement

Tax Reporting Statement

Dividends and Distributions	2022 Form1099DIV	OMB No. 1545-0110
1a- Total Ordinary Dividends (includes amount shown on 1b)	0.00	
1b- Qualified Dividends	0.00	
2a- Total Capital Gain Distributions (includes lines 2b, 2c, 2d)	0.00	
2b- Unrecaptured Section 1250 Gain	0.00	
2c- Section 1202 Gain	0.00	
2d- Collectibles (28%) Gain	0.00	
2e- Section 897 ordinary dividends	0.00	
2f- Section 897 capital gain	0.00	
3- Nondividend Distributions	0.00	
4- Federal Income Tax Withheld	0.00	
5- Section 199A Dividends	0.00	
6- Investment Expenses	0.00	
7- Foreign Tax Paid	0.00	
8- Foreign Country or U.S. Possessions		
9- Cash Liquidation Distribution	0.00	
10- Noncash Liquidation Distributions	0.00	
12- Exempt-Interest Dividends	0.00	
13- Specified Private Activity Bond Interest Dividends	0.00	

Interest Income	2022 Form1099INT	OMB No. 1545-0112
1- Interest Income	0.00	
2- Early Withdrawal Penalty	0.00	
3- Interest on US Savings Bonds & Treasury Obligations	0.00	
4- Federal Income Tax Withheld	0.00	
5- Investment Expenses	0.00	
6- Foreign Tax Paid	0.00	
7- Foreign Country or U.S. Possession		
8- Tax-Exempt Interest (includes box 9)	0.00	
9- Specified Private Activity Bond Interest	0.00	
10- Market Discount	0.00	
11- Bond Premium	0.00	
12- Bond Premium on Treasury Obligations	0.00	
13- Bond Premium on Tax-Exempt bonds	0.00	
14- Tax-Exempt and Tax Credit Bond CUSIP no.		

Miscellaneous Information	2022 Form1099MISC	OMB No. 1545-0115
2- Royalties	0.00	
3- Other Income	0.00	
4- Federal Income Tax Withheld	0.00	
8- Substitute Payments in Lieu of Dividends or Interest	0.00	

REGULATED FUTURES CONTRACTS & SECTION 1256 OPTIONS	
	2022 Form1099B
	OMB No. 1545-0715
8- Profit or (loss) realized in 2022 on closed contracts	-1,819.46
9- Unrealized profit or (loss) on open contracts - 12/31/2021	0.00
10- Unrealized profit or (loss) on open contracts - 12/31/2022	0.00
11- Aggregate profit or (loss) on contracts	-1,819.46
<i>As applicable, proceeds from other sale transactions are summarized below and details are provided in subsequent sections of this document. More details on futures and section 1256 options transactions are also provided in other sections of this document.</i>	

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Dividends may be reclassified for tax purposes after the original Form 1099 is sent in certain cases where information from issuers is received late. This may require us to provide you an amended tax form.

C - Corrected

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Tax Summary

2022

5NB95646

02/09/2023

Summary Of Sale Proceeds

Proceeds from sales of securities are reported individually by trade to the Internal Revenue Service. Please refer to the Proceeds from Broker and Barter Exchange Transactions details sections to determine correct amounts to include in your tax return. The summary transaction amounts shown below are for informational purposes.

Section	Total Proceeds	Total Cost Basis	Total Market Discount	Wash Sale Loss Disallowed	Total Net Gain or Loss(-)
Short-term transactions for covered tax lots	88,022.18	95,811.17	0.00	4,645.36	-3,143.63
Short-term transactions for noncovered tax lots	0.00	0.00	0.00	0.00	0.00
Total Short-term	88,022.18	95,811.17	0.00	4,645.36	-3,143.63
Long-term transactions for covered tax lots	0.00	0.00	0.00	0.00	0.00
Long-term transactions for noncovered tax lots	0.00	0.00	0.00	0.00	0.00
Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined transactions for noncovered tax lots	0.00	0.00	0.00	0.00	0.00
Total Undetermined-term	0.00	0.00	0.00	0.00	0.00

Original Issue Discount

Original Issue Discount (Non-US Treasury Obligations)	0.00
Original Issue Discount on U.S. Treasury Obligations	0.00
Other Periodic Interest	0.00
Market Discount - Covered Positions	0.00
Acquisition Premium - Covered Positions	0.00
Bond Premium - Covered Positions	0.00
Tax-Exempt OID - Covered Positions	0.00
Tax Exempt OID - Specified Private Activity Bonds - Covered Positions	0.00
Investment Expenses	0.00

Amounts shown in this section are summary totals for your reference. For bond-by-bond detail, use the Form1099-OID section of this document.

FEDERAL TAX WITHHELD

Form	Federal Income Tax Withheld
1099-B Total (aggregate):	0.00
1099-DIV Total:	0.00
1099-INT Total:	0.00
1099-MISC Total:	0.00
1099-OID Total:	0.00

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Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

5NB95646

02/09/2023

OMB No. 1545-0715

The following information is being provided to assist in your review and the preparation of your tax return.

This Form 1099-B - Proceeds from Broker and Barter Exchange Transactions provides information relating to sales of securities in your account. The sales details are organized into potentially five separate sections depending on your holding period in the security for the sale (short-term vs long-term) and whether the security is considered a "covered security" for tax reporting purpose. For covered securities, cost basis (adjusted as required under tax regulations) is being reported to the Internal Revenue Service (IRS), whereas for noncovered securities, cost basis if set forth below is provided only for your information.

A separate category is provided for sales of securities for which we do not know your holding period. For such tax lots, you will need to use your historical documents to determine your holding period (whether short-term or long-term) and your tax basis.

Unless noted otherwise under the column "Additional Notes", cost basis and corresponding gain or loss is determined by treating tax lots acquired first as being sold first. We have also assumed that you have made an election to amortize premium on the purchase of taxable bonds.

As brokers, we are only required to apply wash sales loss disallowance rules to securities with the same CUSIP or identifier and purchased and sold within the same account. We are also not required to apply wash sales rules across covered and noncovered securities. You as the taxpayer, however, generally have broader obligations under the wash sales rules to determine disallowed losses, and you may wish to consult with a tax adviser as to the application of such rules to you.

Sales of certain contingent payment debt instruments give rise to ordinary income rather than short-term or long-term capital gain or loss. Such ordinary income treatment is indicated in the Additional Notes column as "Box 2 - Ordinary." In addition, certain assets classified as collectibles are subject to special tax treatment. Any such classification is noted in the Additional Notes column as "Box 3 - Collectibles Box Checked." Loss disallowed for certain transactions involving change in control or capital structure is noted in the Additional Notes column as "Box 7 - Loss Disallowed Box Checked." Disposition of a QOF investment is noted in the Additional Notes column as "Box 3 - QOF."

Information in the transaction detail sections below that have a Box number is being transmitted to the IRS, whereas additional information that is not being transmitted to the IRS is not headed by a Box number. Such additional information may be helpful to you in the preparation of your tax return. Remember that taxpayers are ultimately responsible for the accuracy of their tax returns.

FATCA filing requirement []

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL UPST 01/21/22	115	UPSTART HOLDINGS INC CUSIP: *8GRPF59 Symbol: UPST--220121C00115000					
2022-01-10	1.000000	699.94	2022-01-10	630.04	0.00	69.90	
CALL CVNA 03/18/22	200	CARVANA COMPANY CUSIP: *8E25103 Symbol: CVNA--220318C00200000					
2022-02-08	1.000000	324.93	2022-02-07	455.05	0.00	-130.12	
CALL DOCU 03/18/22	115	DOCUSIGN INC CUSIP: *8PDYVW8 Symbol: DOCU--220318C00115000					
2022-03-10	1.000000	269.93	2022-03-10	248.05	0.00	21.88	

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Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL TSLA 02/04/22	920 TESLA INC CUSIP: *8PHDGY3 Symbol: TSLA--220204C00920000						
2022-02-02	1.000000	1,499.93	2022-02-02	1,780.05	0.00	-280.12	
CALL MRNA 01/14/22	222.50 MODERNA INC CUSIP: *8SKTCX1 Symbol: MRNA--220114C00222500						
2022-01-10	1.000000	1,099.94	2022-01-10	830.04	0.00	269.90	
PUT NVDA 02/25/22	230 NVIDIA CORPORATION CUSIP: *8SKTRS1 Symbol: NVDA--220225P00230000						
2022-02-18	1.000000	404.93	2022-02-18	375.05	0.00	29.88	
CALL CRWD 04/14/22	240 CROWDSTRIKE HOLDINGS INC CL A CUSIP: *8E04921 Symbol: CRWD--220414C00240000						
2022-04-12	1.000000	154.93	2022-04-12	190.05	0.00	-35.12	
CALL PLTR 02/04/22	15 PALANTIR TECHNOLOGIES INC CL A CUSIP: *8PGYSG9 Symbol: PLTR--220204C00015000						
2022-01-31	10.000000	69.56	2022-01-31	60.41	0.00	9.15	
CALL GOOGL 02/11/22	2900 ALPHABET INC CLASS A CUSIP: *8SJVXH7 Symbol: GOOGL-220211C02900000						
2022-02-08	1.000000	369.93	2022-02-08	340.05	0.00	29.88	
2022-02-09	1.000000	482.93	2022-02-08	360.05	0.00	122.88	
Security Totals:		852.86		700.10		152.76	
CALL NVDA 02/11/22	260 NVIDIA CORPORATION CUSIP: *8SJXPM3 Symbol: NVDA--220211C00260000						
2022-02-08	1.000000	119.93	2022-02-08	148.05	0.00	-28.12	
CALL AMD 02/25/22	140 ADVANCED MICRO DEVICES INC CUSIP: *8SKGFL9 Symbol: AMD---220225C00140000						
2022-02-10	1.000000	166.93	2022-02-10	185.05	0.00	-18.12	
PUT TTD 02/11/22	72 TRADE DESK INC CLASS A CUSIP: *8SKWLJ1 Symbol: TTD---220211P00072000						
2022-02-07	1.000000	169.93	2022-02-07	210.05	0.00	-40.12	
CALL PTON 02/11/22	32 PELOTON INTERACTIVE INC CL A CUSIP: *8SJZGG0 Symbol: PTON--220211C00032000						
2022-02-07	1.000000	359.93	2022-02-07	320.05	0.00	39.88	
CALL M 02/25/22	27 MACYS INC CUSIP: *8SKTHG6 Symbol: M-----220225C00027000						
2022-02-23	1.000000	5.93	2022-02-22	18.05	0.00	-12.12	
CALL HD 02/11/22	370 HOME DEPOT INC CUSIP: *8SJWCB9 Symbol: HD----220211C00370000						
2022-02-07	1.000000	145.93	2022-02-07	122.05	0.00	23.88	

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Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL ZM 03/04/22	170 ZOOM VIDEO COMMUNICATIONS INC CUSIP: *8GHXQT1 Symbol: ZM----						
2022-02-07	2.000000	899.86	2022-02-07	885.10	0.00	14.76	
CALL GOOGL 01/21/22	2755 ALPHABET INC CLASS A CUSIP: *8SLDVM2 Symbol: GOOGL-220121C02755000						
2022-01-20	1.000000	1,459.94	2022-01-20	1,370.04	0.00	89.90	
2022-01-21	1.000000	84.94	2022-01-20	155.04	0.00	-70.10	
Security Totals:		1,544.88		1,525.08		19.80	
CALL AMZN 02/25/22	3090 AMAZON.COM INC CUSIP: *8BTFKF8 Symbol: AMZN--220225C03090000						
2022-02-25	1.000000	123.93	2022-02-25	218.05	0.00	-94.12	
CALL MRNA 03/18/22	170 MODERNA INC CUSIP: *8LZQKH4 Symbol: MRNA--220318C00170000						
2022-03-15	1.000000	217.93	2022-03-14	110.05	0.00	107.88	
PUT COIN 02/25/22	150 COINBASE GLOBAL INC CUSIP: *8BSCRB4 Symbol: COIN--220225P00150000						
2022-02-23	2.000000	489.88	2022-02-23	440.10	0.00	49.78	
CALL RBLX 02/18/22	68 ROBLOX CORPORATION CUSIP: *8PHWFL9 Symbol: RBLX--220218C00068000						
2022-02-09	1.000000	569.93	2022-02-09	540.05	0.00	29.88	
PUT TSLA 02/18/22	830 TESLA INC CUSIP: *8PBJDJ5 Symbol: TSLA--220218P00830000						
2022-02-18	2.000000	380.86	2022-02-18	543.22	121.12 D	-162.36	
CALL SPY 01/24/22	425 STANDARD & POORS DEPOSITORY CUSIP: *8SJYSN8 Symbol: SPY---220124C00425000						
2022-01-24	1.000000	164.94	2022-01-24	166.04	0.00	-1.10	
CALL TSLA 02/25/22	800 TESLA INC CUSIP: *8SLCNT0 Symbol: TSLA--220225C00800000						
2022-02-23	1.000000	614.93	2022-02-23	700.05	0.00	-85.12	
CALL GOOGL 03/04/22	2800 ALPHABET INC CLASS A CUSIP: *8CTLHJ4 Symbol: GOOGL-220304C02800000						
2022-03-02	2.000000	404.86	2022-03-02	390.10	0.00	14.76	
PUT PFE 02/11/22	51.50 PFIZER INC CUSIP: *8PHQMM7 Symbol: PFE---220211P00051500						
2022-02-08	1.000000	173.93	2022-02-07	105.05	0.00	68.88	
PUT TSLA 03/11/22	800 TESLA INC CUSIP: *8SLPWX5 Symbol: TSLA--220311P00800000						
2022-03-10	4.000000	1,037.72	2022-03-10	1,020.20	0.00	17.52	

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Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL TSLA 02/25/22	810 TESLA INC CUSIP: *8SLCNT2 Symbol: TSLA--220225C00810000						
2022-02-25	3.000000	2,054.79	2022-02-25	1,645.15	0.00	409.64	
CALL BA 03/11/22	185 BOEING CO CUSIP: *8SLJNK9 Symbol: BA----220311C00185000						
2022-03-07	1.000000	178.93	2022-03-07	175.05	0.00	3.88	
CALL TSLA 03/11/22	900 TESLA INC CUSIP: *8SLPWZ6 Symbol: TSLA--220311C00900000						
2022-03-07	5.000000	1,539.65	2022-03-07	1,609.61	50.36 D	-69.96	
PUT TQQQ 03/04/22	60 PROSHARES ULTRAPRO QQQ CUSIP: *8PJZNO6 Symbol: TQQQ--220304P00060000						
2022-02-10	1.000000	389.93	2022-02-10	405.05	0.00	-15.12	
PUT SPY 02/14/22	437 STANDARD & POORS DEPOSITORY CUSIP: *8SLZLR9 Symbol: SPY---220214P00437000						
2022-02-14	2.000000	286.86	2022-02-14	230.10	0.00	56.76	
PUT UPST 02/11/22	112 UPSTART HOLDINGS INC CUSIP: *8PJCCW9 Symbol: UPST--220211P00112000						
2022-02-09	1.000000	414.93	2022-02-09	400.05	0.00	14.88	
CALL TSLA 03/11/22	895 TESLA INC CUSIP: *8SLPWZ4 Symbol: TSLA--220311C00895000						
2022-03-08	3.000000	798.79	2022-03-08	749.15	0.00	49.64	
CALL TSLA 03/11/22	850 TESLA INC CUSIP: *8SLPWY4 Symbol: TSLA--220311C00850000						
2022-03-10	2.000000	623.86	2022-03-10	550.10	0.00	73.76	
CALL TSLA 03/25/22	1090 TESLA INC CUSIP: *8SMHJT9 Symbol: TSLA--220325C01090000						
2022-03-23	1.000000	309.93	2022-03-23	285.05	0.00	24.88	
CALL TSLA 03/25/22	1040 TESLA INC CUSIP: *8SMHJS9 Symbol: TSLA--220325C01040000						
2022-03-25	1.000000	126.93	2022-03-25	280.05	0.00	-153.12	
CALL TSLA 03/25/22	1025 TESLA INC CUSIP: *8SMSFR2 Symbol: TSLA--220325C01025000						
2022-03-25	1.000000	519.93	2022-03-25	350.05	0.00	169.88	
PUT AMZN 03/25/22	3100 AMAZON.COM INC CUSIP: *8SMBXB0 Symbol: AMZN--220325P03100000						
2022-03-21	1.000000	1,299.93	2022-03-21	1,210.05	0.00	89.88	
2022-03-22	2.000000	924.86	2022-03-22	815.10	0.00	109.76	
Security Totals:		2,224.79		2,025.15		199.64	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

5NB95646

(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT SQ 02/25/22	102	BLOCK INC CUSIP: *8SNVBB1 Symbol: SQ----	220225P00102000				
2022-02-10	1.000000	399.93	2022-02-10	410.05	0.00	-10.12	
PUT SPY 02/09/22	451	STANDARD & POORS DEPOSITORY CUSIP: *8SMKRZ2 Symbol: SPY---	220209P00451000				
2022-02-08	1.000000	242.93	2022-02-08	231.05	0.00	11.88	
CALL SPY 02/09/22	449	STANDARD & POORS DEPOSITORY CUSIP: *8SMKRY9 Symbol: SPY---	220209C00449000				
2022-02-08	1.000000	167.93	2022-02-08	143.05	0.00	24.88	
CALL SQ 03/25/22	145	BLOCK INC CUSIP: *8SMRYW6 Symbol: SQ----	220325C00145000				
2022-03-22	2.000000	734.86	2022-03-22	670.10	0.00	64.76	
CALL NKE 03/25/22	150	NIKE INC CLASS B CUSIP: *8SMFXS1 Symbol: NKE---	220325C00150000				
2022-03-22	1.000000	12.93	2022-03-21	45.05	0.00	-32.12	
PUT QQQ 03/14/22	330	INVESCO QQQ TRUST SERIES 1 CUSIP: *8SMKMS5 Symbol: QQQ---	220314P00330000				
2022-03-09	1.000000	354.93	2022-03-09	413.05	0.00	-58.12	
CALL MRNA 04/01/22	190	MODERNA INC CUSIP: *8SNBMR0 Symbol: MRNA--	220401C00190000				
2022-03-28	1.000000	126.93	2022-03-24	505.05	0.00	-378.12	
CALL NIO 03/25/22	22	NIO INC ADS CUSIP: *8SMFXP3 Symbol: NIO---	220325C00022000				
2022-03-21	1.000000	41.93	2022-03-21	92.05	0.00	-50.12	
PUT SPY 02/25/22	413	STANDARD & POORS DEPOSITORY CUSIP: *8SNLLR8 Symbol: SPY---	220225P00413000				
2022-02-24	3.000000	417.81	2022-02-24	1,654.26	343.11 D	-1,236.45	
PUT SPY 04/01/22	456	STANDARD & POORS DEPOSITORY CUSIP: *8SNDMN9 Symbol: SPY---	220401P00456000				
2022-03-31	2.000000	384.86	2022-03-31	330.10	0.00	54.76	
CALL BABA 03/25/22	112	ALIBABA GROUP HOLDING LIMITED CUSIP: *8SMCCM5 Symbol: BABA--	220325C00112000				
2022-03-25	1.000000	139.93	2022-03-25	103.05	0.00	36.88	
CALL SPY 03/11/22	425	STANDARD & POORS DEPOSITORY CUSIP: *8SLPLY6 Symbol: SPY---	220311C00425000				
2022-03-10	1.000000	299.93	2022-03-10	277.05	0.00	22.88	
CALL LULU 04/14/22	400	LULULEMON ATHLETICA INC CUSIP: *8GCFZB6 Symbol: LULU--	220414C00400000				
2022-04-12	1.000000	203.93	2022-04-12	156.05	0.00	47.88	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL TSM 03/25/22	108	TAIWAN SEMICONDUCTOR MFG CO CUSIP: *8LKVLP7 Symbol: TSM---220325C00108000					
2022-03-16	1.000000	163.93	2022-03-16	147.05	0.00	16.88	
PUT TSLA 04/22/22	1000	TESLA INC CUSIP: *8PJB DY1 Symbol: TSLA--220422P01000000					
2022-04-21	1.000000	232.93	2022-04-21	186.05	0.00	46.88	
2022-04-22	1.000000	334.93	2022-04-22	274.05	0.00	60.88	
Security Totals:		567.86		460.10		107.76	
CALL LRCX 03/25/22	575	LAM RESEARCH CORP CUSIP: *8SMFMT3 Symbol: LRCX--220325C00575000					
2022-03-24	1.000000	74.93	2022-03-24	140.05	0.00	-65.12	
CALL SHOP 04/22/22	685	SHOPIFY INC CUSIP: *8PDLWM0 Symbol: SHOP--220422C00685000					
2022-04-12	1.000000	849.93	2022-04-12	840.05	0.00	9.88	
2022-04-13	1.000000	609.93	2022-04-12	670.05	60.12 D	-60.12	
2022-04-20	1.000000	15.93	2022-04-14	200.17	0.00	-184.24	
Security Totals:		1,475.79		1,710.27		-234.48	
CALL NVDA 04/01/22	277.50	NVIDIA CORPORATION CUSIP: *8PMVZT7 Symbol: NVDA--220401C00277500					
2022-04-01	1.000000	60.93	2022-04-01	90.05	0.00	-29.12	
CALL GOOGL 04/29/22	2470	ALPHABET INC CLASS A CUSIP: *8SPSTL1 Symbol: GOOGL-220429C02470000					
2022-04-28	1.000000	249.93	2022-04-28	320.05	0.00	-70.12	
PUT TSLA 05/06/22	870	TESLA INC CUSIP: *8PKTHP3 Symbol: TSLA--220506P00870000					
2022-05-05	1.000000	349.93	2022-05-05	300.05	0.00	49.88	
CALL TSLA 05/06/22	900	TESLA INC CUSIP: *8PKTHQ4 Symbol: TSLA--220506C00900000					
2022-05-06	1.000000	289.93	2022-05-06	255.05	0.00	34.88	
CALL SPY 05/06/22	430	STANDARD & POORS DEPOSITORY CUSIP: *8NSMKV4 Symbol: SPY---220506C00430000					
2022-05-05	1.000000	89.93	2022-05-05	132.05	0.00	-42.12	
PUT TSLA 05/13/22	760	TESLA INC CUSIP: *8PPKRG5 Symbol: TSLA--220513P00760000					
2022-05-13	1.000000	234.93	2022-05-13	213.05	0.00	21.88	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT AMZN 05/06/22	2240	AMAZON.COM INC CUSIP: *8BTNDF8 Symbol: AMZN--220506P02240000					
2022-05-06	1.000000	253.93	2022-05-06	205.05	0.00	48.88	
CALL MU 05/06/22	74	MICRON TECHNOLOGY INC CUSIP: *8NDFXZ3 Symbol: MU---220506C00074000					
2022-05-04	1.000000	37.93	2022-05-04	68.05	0.00	-30.12	
CALL TEAM 05/06/22	310	ATLASSIAN CORPORATION PLC CUSIP: *8NSPMD2 Symbol: TEAM--220506C00310000					
2022-04-29	1.000000	4.93	2022-04-28	340.05	0.00	-335.12	
CALL NVDA 05/27/22	180	NVIDIA CORPORATION CUSIP: *8SRGDY5 Symbol: NVDA--220527C00180000					
2022-05-24	1.000000	252.93	2022-05-24	234.05	0.00	18.88	
2022-05-25	1.000000	254.93	2022-05-24	227.05	0.00	27.88	
Security Totals:		507.86		461.10		46.76	
PUT SPY 05/13/22	386	STANDARD & POORS DEPOSITORY CUSIP: *8SQTLD1 Symbol: SPY---220513P00386000					
2022-05-12	1.000000	170.93	2022-05-12	207.05	0.00	-36.12	
CALL DOCU 06/10/22	95	DOCUSIGN INC CUSIP: *8PNVVG5 Symbol: DOCU--220610C00095000					
2022-06-06	1.000000	349.93	2022-06-03	325.05	0.00	24.88	
CALL SHOP 05/13/22	380	SHOPIFY INC CUSIP: *8PPJJV0 Symbol: SHOP--220513C00380000					
2022-05-11	1.000000	204.93	2022-05-11	270.05	0.00	-65.12	
CALL TSLA 06/10/22	790	TESLA INC CUSIP: *8SQXCR4 Symbol: TSLA--220610C00790000					
2022-05-26	1.000000	694.92	2022-05-26	600.05	0.00	94.87	
PUT SPY 06/03/22	403	STANDARD & POORS DEPOSITORY CUSIP: *8SSYSQ1 Symbol: SPY---220603P00403000					
2022-06-01	1.000000	191.93	2022-06-01	193.05	0.00	-1.12	
CALL SPY 05/25/22	397	STANDARD & POORS DEPOSITORY CUSIP: *8KLMPK4 Symbol: SPY---220525C00397000					
2022-05-25	1.000000	95.93	2022-05-25	116.05	0.00	-20.12	
CALL GOOGL 07/01/22	2170	ALPHABET INC CLASS A CUSIP: *8NDHTG7 Symbol: GOOGL-220701C02170000					
2022-07-01	1.000000	89.93	2022-07-01	130.05	0.00	-40.12	
AMAZON.COM INC CUSIP: 023135106 Symbol: AMZN							
2022-05-03	2.000000	5,015.96	2022-04-29	4,881.48	0.00	134.48	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
***GUARDFORCE AI CO LIMITED ORDINARY SHARES CUSIP: G4236L112 Symbol: GFAI							
2022-03-18	100.000000	109.98	2022-03-16	90.70	0.00	19.28	
CALL AMZN 01/21/22 3350 AMAZON.COM INC CUSIP: *8BSCCX3 Symbol: AMZN--220121C03350000							
2022-01-12	2.000000	5,824.86	2022-01-12	8,105.19	2,280.33 D	-2,280.33	
2022-01-13	1.000000	1,139.94	2022-01-13	2,505.26	0.00	-1,365.32	
Security Totals:		6,964.80		10,610.45		-3,645.65	
CALL AMD 04/14/22 135 ADVANCED MICRO DEVICES INC CUSIP: *8BZZCR3 Symbol: AMD---220414C00135000							
2022-02-09	2.000000	1,624.86	2022-02-09	1,550.10	0.00	74.76	
CALL AFRM 02/18/22 100 AFFIRM HOLDINGS INC CL A CUSIP: *8CVRQF2 Symbol: AFRM--220218C00100000							
2022-01-11	1.000000	474.94	2022-01-11	443.04	0.00	31.90	
CALL NFLX 01/21/22 550 NETFLIX INC CUSIP: *8GSFNL1 Symbol: NFLX--220121C00550000							
2022-01-12	1.000000	1,429.94	2022-01-12	1,345.04	0.00	84.90	
2022-01-20	1.000000	779.94	2022-01-19	670.04	0.00	109.90	
Security Totals:		2,209.88		2,015.08		194.80	
CALL TWTR 02/18/22 40 TWITTER INC CUSIP: *8KPKTR1 Symbol: TWTR--220218C00040000							
2022-02-09	1.000000	175.93	2022-02-07	190.05	0.00	-14.12	
PUT TSLA 02/18/22 840 TESLA INC CUSIP: *8KWBNT1 Symbol: TSLA--220218P00840000							
2022-02-18	3.000000	1,337.79	2022-02-18	1,180.15	0.00	157.64	
CALL AMZN 01/07/22 3550 AMAZON.COM INC CUSIP: *8KZYBN9 Symbol: AMZN--220107C03550000							
2022-01-04	1.000000	604.94	2022-01-03	355.04	0.00	249.90	
CALL TSLA 04/14/22 1050 TESLA INC CUSIP: *8LCGNR1 Symbol: TSLA--220414C01050000							
2022-04-13	2.000000	408.86	2022-04-13	369.10	0.00	39.76	
CALL SNOW 03/18/22 370 SNOWFLAKE INC CLASS A CUSIP: *8LQLMM7 Symbol: SNOW--220318C00370000							
2022-01-10	2.000000	1,349.88	Various	5,350.14	1,720.08 D	-4,000.26	
CALL BA 02/04/22 210 BOEING CO CUSIP: *8NPGDQ3 Symbol: BA----220204C00210000							
2022-01-31	2.000000	173.88	2022-01-31	172.08	0.00	1.80	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

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1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT FB 02/04/22	310	META PLATFORMS INC CL A CUSIP: *8NPXKY4 Symbol: FB----220204P00310000					
2022-01-31	2.000000	1,874.88	2022-01-31	1,850.08	0.00	24.80	
PUT TSLA 01/21/22	950	TESLA INC CUSIP: *8PDNXM4 Symbol: TSLA--220121P00950000					
2022-01-18	1.000000	624.94	2022-01-18	600.04	0.00	24.90	
CALL SPY 01/14/22	470	STANDARD & POORS DEPOSITORY CUSIP: *8PDTJF9 Symbol: SPY---220114C00470000					
2022-01-13	1.000000	52.94	2022-01-13	99.04	0.00	-46.10	
CALL TSLA 01/14/22	1150	TESLA INC CUSIP: *8PDVSO9 Symbol: TSLA--220114C01150000					
2022-01-12	1.000000	499.94	2022-01-12	370.04	0.00	129.90	
2022-01-13	1.000000	89.94	2022-01-12	455.04	0.00	-365.10	
Security Totals:		589.88		825.08		-235.20	
PUT TSLA 01/14/22	1050	TESLA INC CUSIP: *8PFCGR6 Symbol: TSLA--220114P01050000					
2022-01-07	1.000000	3,852.93	2022-01-07	3,570.04	0.00	282.89	
PUT TSLA 01/14/22	1030	TESLA INC CUSIP: *8PFCGQ9 Symbol: TSLA--220114P01030000					
2022-01-07	1.000000	3,699.93	2022-01-07	3,575.04	0.00	124.89	
CALL AMZN 01/14/22	3300	AMAZON.COM INC CUSIP: *8PFDFG6 Symbol: AMZN--220114C03300000					
2022-01-11	3.000000	6,574.79	2022-01-11	6,170.12	0.00	404.67	
CALL NFLX 03/18/22	450	NETFLIX INC CUSIP: *8PJNTL1 Symbol: NFLX--220318C00450000					
2022-02-07	2.000000	1,199.86	2022-02-07	1,220.22	10.12 D	-20.36	
PUT AMZN 02/11/22	3000	AMAZON.COM INC CUSIP: *8PKCGP3 Symbol: AMZN--220211P03000000					
2022-02-08	1.000000	609.93	2022-02-08	1,470.05	0.00	-860.12	
CALL TSLA 02/18/22	1050	TESLA INC CUSIP: *8SDZHG4 Symbol: TSLA--220218C01050000					
2022-02-07	5.000000	3,394.65	2022-02-07	3,340.37	60.12 D	54.28	
CALL TSLA 02/18/22	1100	TESLA INC CUSIP: *8SDZHG8 Symbol: TSLA--220218C01100000					
2022-01-31	1.000000	779.94	2022-01-31	715.04	0.00	64.90	
PUT TSLA 02/18/22	900	TESLA INC CUSIP: *8SDZHF3 Symbol: TSLA--220218P00900000					
2022-02-15	2.000000	2,784.86	2022-02-15	2,835.10	0.00	-50.24	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL NVDA 01/28/22	250	NVIDIA CORPORATION CUSIP: *8SJPNB0 Symbol: NVDA--220128C00250000					
2022-01-24	1.000000	143.94	2022-01-21	315.04	0.00	-171.10	
CALL DIS 02/11/22	155	WALT DISNEY CO CUSIP: *8SJVBC5 Symbol: DIS---220211C00155000					
2022-02-09	1.000000	128.93	2022-02-08	105.05	0.00	23.88	
PUT TSLA 02/11/22	905	TESLA INC CUSIP: *8SKFCS0 Symbol: TSLA--220211P00905000					
2022-02-10	1.000000	719.93	2022-02-10	495.05	0.00	224.88	
PUT TSLA 02/11/22	915	TESLA INC CUSIP: *8SKFCS4 Symbol: TSLA--220211P00915000					
2022-02-09	1.000000	949.93	2022-02-09	900.05	0.00	49.88	
PUT AMZN 01/28/22	2700	AMAZON.COM INC CUSIP: *8SKMDD0 Symbol: AMZN--220128P02700000					
2022-01-24	1.000000	3,899.93	2022-01-24	3,825.04	0.00	74.89	
CALL AMZN 01/14/22	3325	AMAZON.COM INC CUSIP: *8BXXLH1 Symbol: AMZN--220114C03325000					
2022-01-11	1.000000	2,644.93	2022-01-11	2,555.04	0.00	89.89	
CALL GOOGL 02/18/22	2840	ALPHABET INC CLASS A CUSIP: *8LBKKQ7 Symbol: GOOGL-220218C02840000					
2022-02-15	1.000000	199.93	2022-02-15	170.05	0.00	29.88	
PUT BIIB 02/11/22	220	BIOGEN INC CUSIP: *8PKWTB7 Symbol: BIIB--220211P00220000					
2022-02-07	1.000000	339.93	2022-02-07	300.05	0.00	39.88	
PUT ROKU 02/11/22	150	ROKU INC CUSIP: *8SKQTX0 Symbol: ROKU--220211P00150000					
2022-02-08	1.000000	374.93	2022-02-08	335.05	0.00	39.88	
Totals:		88,022.18		95,811.17		-7,788.99	

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Apex Clearing

Regulated Futures Contracts & Section 1256 Options

2022

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The detailed transactional information below is furnished only for your information. Only the aggregate profit/loss information in each section is reported to the IRS. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL SPXW 02/07/22 4580 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	02/07/2022						
CUSIP:	@4,580.00	02/07/2022	1.00	Sell	225.63	14.46	-211.17
CALL SPXW 02/11/22 4580 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	02/11/2022						
CUSIP:	@4,580.00	02/09/2022	1.00	Sell	2,740.63	2,899.37	158.74
CALL SPXW 02/02/22 4515 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	02/02/2022						
CUSIP:	@4,515.00	01/31/2022	1.00	Sell	1,750.62	1,799.38	48.76
	02/02/2022						
CUSIP:	@4,515.00	01/31/2022	1.00	Sell	1,710.62	1,719.38	8.76
	02/02/2022						
CUSIP:	@4,515.00	01/31/2022	1.00	Sell	1,810.62	1,999.38	188.76
CALL SPXW 02/09/22 4605 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	02/09/2022						
CUSIP:	@4,605.00	02/09/2022	1.00	Sell	170.63	174.37	3.74
CALL SPXW 02/11/22 4555 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	02/11/2022						
CUSIP:	@4,555.00	02/11/2022	1.00	Sell	400.63	449.37	48.74
	02/11/2022						
CUSIP:	@4,555.00	02/11/2022	1.00	Sell	370.63	329.37	-41.26
PUT SPXW 02/16/22 4300 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	02/16/2022						
CUSIP:	@4,300.00	02/14/2022	1.00	Sell	1,610.63	1,659.37	48.74

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Regulated Futures Contracts & Section 1256 Options

2022

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(continued)

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PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
PUT SPXW 02/18/22 4350 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	02/18/2022						
CUSIP:	@4,350.00	02/16/2022	1.00	Sell	1,220.63	1,229.37	8.74
	02/18/2022						
CUSIP:	@4,350.00	02/16/2022	1.00	Sell	1,200.63	1,269.37	68.74
	02/18/2022						
CUSIP:	@4,350.00	02/16/2022	1.00	Sell	1,080.63	1,149.37	68.74
	02/18/2022						
CUSIP:	@4,350.00	02/16/2022	1.00	Sell	970.63	1,269.37	298.74
	02/18/2022						
CUSIP:	@4,350.00	02/17/2022	1.00	Sell	890.63	949.37	58.74
	02/18/2022						
CUSIP:	@4,350.00	02/17/2022	1.00	Sell	820.63	889.37	68.74
PUT SPXW 02/22/22 4270 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	02/22/2022						
CUSIP:	@4,270.00	02/18/2022	1.00	Sell	1,750.63	1,029.37	-721.26
	02/22/2022						
CUSIP:	@4,270.00	02/18/2022	1.00	Sell	1,750.63	1,199.37	-551.26
PUT SPXW 02/18/22 4290 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	02/18/2022						
CUSIP:	@4,290.00	02/18/2022	1.00	Sell	125.63	24.46	-101.17
PUT SPXW 02/22/22 4270 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	02/22/2022						
CUSIP:	@4,270.00	02/18/2022	1.00	Sell	1,610.63	2,039.37	428.74
	02/22/2022						
CUSIP:	@4,270.00	02/22/2022	1.00	Sell	530.63	639.37	108.74
	02/22/2022						
CUSIP:	@4,270.00	02/22/2022	1.00	Sell	340.63	539.37	198.74
PUT SPXW 02/23/22 4250 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	02/23/2022						
CUSIP:	@4,250.00	02/23/2022	1.00	Sell	690.63	939.37	248.74
	02/23/2022						
CUSIP:	@4,250.00	02/23/2022	1.00	Sell	570.63	599.37	28.74

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Apex Clearing

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PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
PUT SPXW 02/23/22 4270 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	02/23/2022						
CUSIP:	@4,270.00	02/23/2022	1.00	Sell	620.63	809.37	188.74
PUT SPXW 02/23/22 4220 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	02/23/2022						
CUSIP:	@4,220.00	02/23/2022	1.00	Sell	245.63	124.37	-121.26
PUT SPXW 02/23/22 4250 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	02/23/2022						
CUSIP:	@4,250.00	02/23/2022	1.00	Sell	400.63	579.37	178.74
CALL SPXW 02/28/22 4400 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	02/28/2022						
CUSIP:	@4,400.00	02/28/2022	1.00	Sell	450.63	259.37	-191.26
CALL SPXW 02/28/22 4420 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	02/28/2022						
CUSIP:	@4,420.00	02/28/2022	1.00	Sell	350.63	134.37	-216.26
CALL SPXW 02/28/22 4400 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	02/28/2022						
CUSIP:	@4,400.00	02/28/2022	1.00	Sell	570.63	669.37	98.74
	02/28/2022						
CUSIP:	@4,400.00	02/28/2022	1.00	Sell	570.63	599.37	28.74
CALL SPXW 03/02/22 4450 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	03/02/2022						
CUSIP:	@4,450.00	02/28/2022	1.00	Sell	820.63	559.37	-261.26
	03/02/2022						
CUSIP:	@4,450.00	02/28/2022	1.00	Sell	540.63	629.37	88.74
PUT SPXW 02/28/22 4300 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	02/28/2022						
CUSIP:	@4,300.00	02/28/2022	1.00	Sell	650.63	659.37	8.74
CALL SPXW 02/28/22 4400 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	02/28/2022						
CUSIP:	@4,400.00	02/28/2022	1.00	Sell	600.63	649.37	48.74

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PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL SPXW 03/02/22 4420 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	03/02/2022						
CUSIP:	@4,420.00	03/02/2022	1.00	Sell	220.63	259.37	38.74
CUSIP:	@4,420.00	03/02/2022	1.00	Sell	210.63	259.37	48.74
PUT SPXW 03/04/22 4260 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	03/04/2022						
CUSIP:	@4,260.00	03/04/2022	1.00	Sell	190.63	149.37	-41.26
CALL SPXW 03/09/22 4375 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	03/09/2022						
CUSIP:	@4,375.00	03/08/2022	1.00	Sell	270.63	309.37	38.74
CALL SPXW 03/09/22 4320 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	03/09/2022						
CUSIP:	@4,320.00	03/09/2022	1.00	Sell	285.63	199.37	-86.26
PUT SPXW 03/11/22 4200 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	03/11/2022						
CUSIP:	@4,200.00	03/11/2022	1.00	Sell	360.63	269.37	-91.26
CALL SPXW 03/09/22 4320 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	03/09/2022						
CUSIP:	@4,320.00	03/09/2022	1.00	Sell	230.63	249.37	18.74
PUT SPXW 03/11/22 4200 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	03/11/2022						
CUSIP:	@4,200.00	03/11/2022	1.00	Sell	290.63	369.37	78.74
CALL SPXW 03/09/22 4320 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	03/09/2022						
CUSIP:	@4,320.00	03/09/2022	1.00	Sell	220.63	179.37	-41.26
PUT XSP 03/09/22 412 CBOE MINI S&P 500 INDEX CLOSING CONTRACT	03/09/2022						
CUSIP:	@412.00	03/08/2022	4.00	Sell	780.20	799.80	19.60
CALL SPXW 03/09/22 4320 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	03/09/2022						
CUSIP:	@4,320.00	03/09/2022	1.00	Sell	230.63	239.37	8.74

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PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
PUT SPXW 03/11/22 4195 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	03/11/2022						
CUSIP:	@4,195.00	03/11/2022	1.00	Sell	255.63	199.37	-56.26
PUT SPXW 03/11/22 4200 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	03/11/2022						
CUSIP:	@4,200.00	03/11/2022	1.00	Sell	295.63	319.37	23.74
	03/11/2022						
CUSIP:	@4,200.00	03/11/2022	1.00	Sell	240.63	109.37	-131.26
PUT SPXW 02/14/22 4400 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	02/14/2022						
CUSIP:	@4,400.00	02/11/2022	1.00	Sell	2,660.63	2,749.37	88.74
	02/14/2022						
CUSIP:	@4,400.00	02/11/2022	1.00	Sell	2,720.63	2,869.37	148.74
PUT SPXW 02/14/22 4450 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	02/14/2022						
CUSIP:	@4,450.00	02/11/2022	1.00	Sell	2,100.63	2,239.37	138.74
PUT SPXW 02/23/22 4250 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	02/23/2022						
CUSIP:	@4,250.00	02/23/2022	1.00	Sell	570.63	599.37	28.74
	02/23/2022						
CUSIP:	@4,250.00	02/23/2022	1.00	Sell	760.63	999.37	238.74
	02/23/2022						
CUSIP:	@4,250.00	02/23/2022	1.00	Sell	840.63	579.37	-261.26
	02/23/2022						
CUSIP:	@4,250.00	02/23/2022	1.00	Sell	600.63	679.37	78.74
	02/23/2022						
CUSIP:	@4,250.00	02/23/2022	1.00	Sell	620.63	679.37	58.74
	02/23/2022						
CUSIP:	@4,250.00	02/23/2022	1.00	Sell	560.63	649.37	88.74
	02/23/2022						
CUSIP:	@4,250.00	02/23/2022	1.00	Sell	630.63	719.37	88.74
PUT SPXW 02/25/22 4150 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	02/25/2022						
CUSIP:	@4,150.00	02/24/2022	1.00	Sell	1,660.63	999.37	-661.26

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PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL SPXW 02/25/22 4400 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
CUSIP:	02/25/2022 @4,400.00	02/25/2022	1.00	Sell	500.63	49.46	-451.17
CUSIP:	02/25/2022 @4,400.00	02/25/2022	1.00	Sell	530.63	559.37	28.74
CUSIP:	02/25/2022 @4,400.00	02/25/2022	1.00	Sell	300.63	359.37	58.74
CUSIP:	02/25/2022 @4,400.00	02/25/2022	1.00	Sell	290.63	369.37	78.74
CUSIP:	02/25/2022 @4,400.00	02/25/2022	1.00	Sell	290.63	349.37	58.74
PUT SPXW 02/25/22 4315 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
CUSIP:	02/25/2022 @4,315.00	02/25/2022	1.00	Sell	265.63	214.37	-51.26
CALL SPXW 02/25/22 4400 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
CUSIP:	02/25/2022 @4,400.00	02/25/2022	1.00	Sell	300.63	399.37	98.74
CUSIP:	02/25/2022 @4,400.00	02/25/2022	1.00	Sell	340.63	149.37	-191.26
CUSIP:	02/25/2022 @4,400.00	02/25/2022	1.00	Sell	290.63	399.37	108.74
PUT SPXW 02/18/22 4350 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
CUSIP:	02/18/2022 @4,350.00	02/17/2022	1.00	Sell	970.63	999.37	28.74
CUSIP:	02/18/2022 @4,350.00	02/17/2022	1.00	Sell	840.63	899.37	58.74
CUSIP:	02/18/2022 @4,350.00	02/17/2022	1.00	Sell	790.63	859.37	68.74
CUSIP:	02/18/2022 @4,350.00	02/17/2022	1.00	Sell	1,180.63	1,299.37	118.74
CUSIP:	02/18/2022 @4,350.00	02/17/2022	1.00	Sell	1,260.63	1,299.37	38.74
CUSIP:	02/18/2022 @4,350.00	02/17/2022	1.00	Sell	1,260.63	1,249.37	-11.26

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PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL SPXW 03/09/22 4320 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	03/09/2022						
CUSIP:	@4,320.00	03/09/2022	1.00	Sell	230.63	244.37	13.74
PUT SPXW 03/16/22 4100 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	03/16/2022						
CUSIP:	@4,100.00	03/15/2022	1.00	Sell	1,460.63	399.37	-1,061.26
CALL SPXW 03/16/22 4365 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	03/16/2022						
CUSIP:	@4,365.00	03/16/2022	1.00	Sell	300.63	309.37	8.74
CALL SPXW 03/18/22 4445 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	03/18/2022						
CUSIP:	@4,445.00	03/18/2022	1.00	Sell	430.63	439.37	8.74
CALL SPXW 03/18/22 4455 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	03/18/2022						
CUSIP:	@4,455.00	03/17/2022	1.00	Sell	320.63	359.37	38.74
PUT SPXW 03/14/22 4180 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	03/14/2022						
CUSIP:	@4,180.00	03/14/2022	1.00	Sell	570.63	619.37	48.74
CUSIP:	@4,180.00	03/14/2022	1.00	Sell	570.63	639.37	68.74
CALL SPXW 03/18/22 4445 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	03/18/2022						
CUSIP:	@4,445.00	03/18/2022	1.00	Sell	460.63	469.37	8.74
PUT SPXW 03/14/22 4180 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	03/14/2022						
CUSIP:	@4,180.00	03/14/2022	1.00	Sell	740.63	789.37	48.74
CALL SPXW 03/18/22 4435 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	03/18/2022						
CUSIP:	@4,435.00	03/18/2022	1.00	Sell	380.63	409.37	28.74
PUT SPXW 03/14/22 4180 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	03/14/2022						
CUSIP:	@4,180.00	03/14/2022	1.00	Sell	530.63	589.37	58.74

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PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL SPXW 03/18/22 4450 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	03/18/2022						
CUSIP:	@4,450.00	03/18/2022	1.00	Sell	210.63	134.37	-76.26
CALL SPXW 03/18/22 4455 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	03/18/2022						
CUSIP:	@4,455.00	03/17/2022	1.00	Sell	400.63	429.37	28.74
PUT SPXW 03/14/22 4200 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	03/14/2022						
CUSIP:	@4,200.00	03/14/2022	1.00	Sell	740.63	469.37	-271.26
CALL SPXW 03/18/22 4445 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	03/18/2022						
CUSIP:	@4,445.00	03/18/2022	1.00	Sell	340.63	349.37	8.74
CALL SPXW 03/23/22 4530 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	03/23/2022						
CUSIP:	@4,530.00	03/23/2022	1.00	Sell	125.63	9.46	-116.17
CALL SPXW 03/25/22 4565 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	03/25/2022						
CUSIP:	@4,565.00	03/24/2022	1.00	Sell	125.63	159.37	33.74
CALL SPXW 03/21/22 4470 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	03/21/2022						
CUSIP:	@4,470.00	03/21/2022	1.00	Sell	530.63	549.37	18.74
CALL SPXW 03/25/22 4540 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	03/25/2022						
CUSIP:	@4,540.00	03/25/2022	1.00	Sell	290.63	399.37	108.74
CALL SPXW 03/09/22 4320 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	03/09/2022						
CUSIP:	@4,320.00	03/09/2022	1.00	Sell	180.63	74.46	-106.17
PUT SPXW 03/11/22 4200 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	03/11/2022						
CUSIP:	@4,200.00	03/11/2022	1.00	Sell	250.63	199.37	-51.26
CALL SPXW 03/11/22 4290 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	03/11/2022						
CUSIP:	@4,290.00	03/11/2022	1.00	Sell	730.63	749.37	18.74

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PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL SPXW 03/09/22 4375 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	03/09/2022						
CUSIP:	@4,375.00	03/08/2022	1.00	Sell	290.63	389.37	98.74
PUT SPXW 03/14/22 4180 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	03/14/2022						
CUSIP:	@4,180.00	03/14/2022	1.00	Sell	660.63	689.37	28.74
CALL SPXW 03/18/22 4445 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	03/18/2022						
CUSIP:	@4,445.00	03/18/2022	1.00	Sell	520.63	539.37	18.74
CALL SPXW 03/14/22 4205 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	03/14/2022						
CUSIP:	@4,205.00	03/14/2022	1.00	Sell	200.63	284.37	83.74
PUT SPXW 03/14/22 4180 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	03/14/2022						
CUSIP:	@4,180.00	03/14/2022	1.00	Sell	700.63	759.37	58.74
CUSIP:	@4,180.00	03/14/2022	1.00	Sell	970.63	799.37	-171.26
CALL SPXW 03/18/22 4450 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	03/18/2022						
CUSIP:	@4,450.00	03/17/2022	1.00	Sell	300.63	309.37	8.74
CALL SPXW 03/16/22 4375 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	03/16/2022						
CUSIP:	@4,375.00	03/16/2022	1.00	Sell	270.63	179.37	-91.26
PUT SPXW 03/09/22 4150 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	03/09/2022						
CUSIP:	@4,150.00	03/07/2022	1.00	Sell	1,380.63	1,399.37	18.74
CALL SPXW 03/21/22 4470 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	03/21/2022						
CUSIP:	@4,470.00	03/21/2022	1.00	Sell	620.63	679.37	58.74
PUT SPXW 03/21/22 4430 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	03/21/2022						
CUSIP:	@4,430.00	03/21/2022	1.00	Sell	285.63	174.37	-111.26

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PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL SPXW 03/23/22 4510 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	03/23/2022						
CUSIP:	@4,510.00	03/23/2022	1.00	Sell	275.63	39.46	-236.17
CALL SPXW 03/23/22 4530 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	03/23/2022						
CUSIP:	@4,530.00	03/23/2022	1.00	Sell	100.63	124.37	23.74
CALL SPXW 03/25/22 4565 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	03/25/2022						
CUSIP:	@4,565.00	03/23/2022	1.00	Sell	210.63	209.37	-1.26
CALL SPXW 03/25/22 4540 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	03/25/2022						
CUSIP:	@4,540.00	03/25/2022	1.00	Sell	265.63	309.37	43.74
CALL SPXW 03/25/22 4565 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	03/25/2022						
CUSIP:	@4,565.00	03/24/2022	1.00	Sell	150.63	169.37	18.74
CALL SPXW 03/23/22 4530 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	03/23/2022						
CUSIP:	@4,530.00	03/23/2022	1.00	Sell	120.63	204.37	83.74
CUSIP:	@4,530.00	03/23/2022	1.00	Sell	105.63	134.37	28.74
CUSIP:	@4,530.00	03/23/2022	1.00	Sell	155.63	194.37	38.74
CUSIP:	@4,530.00	03/23/2022	1.00	Sell	170.63	194.37	23.74
CALL SPXW 03/21/22 4470 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	03/21/2022						
CUSIP:	@4,470.00	03/21/2022	1.00	Sell	620.63	639.37	18.74
CALL SPXW 03/28/22 4545 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	03/28/2022						
CUSIP:	@4,545.00	03/28/2022	1.00	Sell	300.63	339.37	38.74
CUSIP:	@4,545.00	03/28/2022	1.00	Sell	280.63	319.37	38.74

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Apex Clearing

Regulated Futures Contracts & Section 1256 Options

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PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
PUT SPXW 04/01/22 4500 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	04/01/2022						
CUSIP:	@4,500.00	04/01/2022	1.00	Sell	275.63	289.37	13.74
PUT SPXW 03/31/22 4555 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	03/31/2022						
CUSIP:	@4,555.00	03/31/2022	1.00	Sell	205.63	239.37	33.74
CALL SPXW 03/28/22 4575 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	03/28/2022						
CUSIP:	@4,575.00	03/28/2022	1.00	Sell	120.63	144.37	23.74
CALL SPXW 03/23/22 4530 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	03/23/2022						
CUSIP:	@4,530.00	03/23/2022	1.00	Sell	130.63	169.37	38.74
CALL SPXW 03/30/22 4655 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	03/30/2022						
CUSIP:	@4,655.00	03/29/2022	1.00	Sell	290.63	359.37	68.74
CALL SPXW 03/28/22 4575 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	03/28/2022						
CUSIP:	@4,575.00	03/28/2022	1.00	Sell	135.63	19.46	-116.17
CALL SPXW 03/30/22 4655 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	03/30/2022						
CUSIP:	@4,655.00	03/29/2022	1.00	Sell	400.63	459.37	58.74
PUT SPXW 03/31/22 4555 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	03/31/2022						
CUSIP:	@4,555.00	03/31/2022	1.00	Sell	215.63	214.37	-1.26
CALL SPXW 03/28/22 4575 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	03/28/2022						
CUSIP:	@4,575.00	03/28/2022	1.00	Sell	20.54	19.46	-1.08
CALL SPXW 03/30/22 4655 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	03/30/2022						
CUSIP:	@4,655.00	03/29/2022	1.00	Sell	340.63	379.37	38.74
CALL SPXW 04/04/22 4585 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	04/04/2022						
CUSIP:	@4,585.00	04/04/2022	1.00	Sell	125.63	149.37	23.74

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PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL SPXW 03/31/22 4610 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	03/31/2022						
CUSIP:	@4,610.00	03/31/2022	1.00	Sell	205.63	149.37	-56.26
CALL SPXW 03/30/22 4655 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	03/30/2022						
CUSIP:	@4,655.00	03/29/2022	1.00	Sell	350.63	319.37	-31.26
PUT SPXW 04/13/22 4380 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	04/13/2022						
CUSIP:	@4,380.00	04/12/2022	1.00	Sell	560.63	589.37	28.74
CALL SPXW 04/08/22 4540 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	04/08/2022						
CUSIP:	@4,540.00	04/08/2022	1.00	Sell	250.63	199.37	-51.26
PUT SPXW 04/25/22 4170 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	04/25/2022						
CUSIP:	@4,170.00	04/25/2022	1.00	Sell	370.63	399.37	28.74
PUT SPXW 04/11/22 4410 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	04/11/2022						
CUSIP:	@4,410.00	04/11/2022	1.00	Sell	260.63	284.37	23.74
PUT SPXW 04/13/22 4370 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	04/13/2022						
CUSIP:	@4,370.00	04/13/2022	1.00	Sell	225.63	264.37	38.74
PUT SPXW 04/11/22 4410 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	04/11/2022						
CUSIP:	@4,410.00	04/11/2022	1.00	Sell	250.63	259.37	8.74
PUT SPXW 04/13/22 4370 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	04/13/2022						
CUSIP:	@4,370.00	04/13/2022	1.00	Sell	240.63	39.46	-201.17
CALL SPXW 05/06/22 4285 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	05/06/2022						
CUSIP:	@4,285.00	05/05/2022	1.00	Sell	400.63	144.37	-256.26
PUT SPXW 05/03/22 4140 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	05/03/2022						
CUSIP:	@4,140.00	05/03/2022	1.00	Sell	420.63	254.37	-166.26

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PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL SPXW 05/06/22 4295 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	05/06/2022						
CUSIP:	@4,295.00	05/05/2022	1.00	Sell	460.63	269.37	-191.26
CALL SPXW 05/03/22 4220 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	05/03/2022						
CUSIP:	@4,220.00	05/03/2022	1.00	Sell	245.63	289.37	43.74
CUSIP:	@4,220.00	05/03/2022	1.00	Sell	370.63	429.37	58.74
CALL SPXW 04/22/22 4445 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	04/22/2022						
CUSIP:	@4,445.00	04/21/2022	1.00	Sell	400.63	459.37	58.74
PUT SPXW 04/26/22 4200 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	04/26/2022						
CUSIP:	@4,200.00	04/26/2022	1.00	Sell	330.63	359.37	28.74
CALL SPXW 05/03/22 4220 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	05/03/2022						
CUSIP:	@4,220.00	05/03/2022	1.00	Sell	270.63	339.37	68.74
CUSIP:	@4,220.00	05/03/2022	1.00	Sell	260.63	299.37	38.74
PUT SPXW 05/20/22 3800 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	05/20/2022						
CUSIP:	@3,800.00	05/19/2022	1.00	Sell	540.63	619.37	78.74
PUT SPXW 05/06/22 4075 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	05/06/2022						
CUSIP:	@4,075.00	05/06/2022	1.00	Sell	600.63	609.37	8.74
CALL SPXW 05/03/22 4220 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	05/03/2022						
CUSIP:	@4,220.00	05/03/2022	1.00	Sell	390.63	469.37	78.74

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PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL SPXW 05/27/22 4145 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	05/27/2022						
CUSIP:	@4,145.00	05/27/2022	1.00	Sell	180.63	184.37	3.74
	05/27/2022						
CUSIP:	@4,145.00	05/27/2022	1.00	Sell	185.63	229.37	43.74
	05/27/2022						
CUSIP:	@4,145.00	05/27/2022	1.00	Sell	220.63	199.37	-21.26
CALL SPXW 06/02/22 4150 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	06/02/2022						
CUSIP:	@4,150.00	06/02/2022	1.00	Sell	500.63	539.37	38.74
	06/02/2022						
CUSIP:	@4,150.00	06/02/2022	1.00	Sell	720.63	779.37	58.74
PUT SPXW 05/13/22 3760 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	05/13/2022						
CUSIP:	@3,760.00	05/12/2022	1.00	Sell	300.63	339.37	38.74
CALL SPXW 05/27/22 4145 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	05/27/2022						
CUSIP:	@4,145.00	05/27/2022	1.00	Sell	370.63	279.37	-91.26
	05/27/2022						
CUSIP:	@4,145.00	05/27/2022	1.00	Sell	280.63	299.37	18.74
CALL SPXW 06/02/22 4150 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	06/02/2022						
CUSIP:	@4,150.00	06/02/2022	1.00	Sell	770.63	809.37	38.74
CALL SPXW 06/02/22 4120 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	06/02/2022						
CUSIP:	@4,120.00	06/02/2022	1.00	Sell	400.63	429.37	28.74
CALL SPXW 06/02/22 4170 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	06/02/2022						
CUSIP:	@4,170.00	06/02/2022	1.00	Sell	300.63	359.37	58.74
CALL SPXW 05/27/22 4145 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	05/27/2022						
CUSIP:	@4,145.00	05/27/2022	1.00	Sell	285.63	199.37	-86.26

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PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL SPXW 06/03/22 4175 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	06/03/2022						
CUSIP:	@4,175.00	06/03/2022	1.00	Sell	440.63	449.37	8.74
CALL SPXW 06/02/22 4120 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	06/02/2022						
CUSIP:	@4,120.00	06/02/2022	1.00	Sell	310.63	339.37	28.74
CALL SPXW 06/02/22 4160 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	06/02/2022						
CUSIP:	@4,160.00	06/02/2022	1.00	Sell	400.63	449.37	48.74
CALL SPXW 06/02/22 4140 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	06/02/2022						
CUSIP:	@4,140.00	06/02/2022	1.00	Sell	260.63	309.37	48.74
CALL SPXW 06/02/22 4170 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	06/02/2022						
CUSIP:	@4,170.00	06/02/2022	1.00	Sell	320.63	349.37	28.74
CALL SPXW 06/02/22 4120 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	06/02/2022						
CUSIP:	@4,120.00	06/02/2022	1.00	Sell	510.63	599.37	88.74
CALL SPXW 06/02/22 4150 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	06/02/2022						
CUSIP:	@4,150.00	06/02/2022	1.00	Sell	440.63	519.37	78.74
CALL SPXW 05/26/22 4090 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	05/26/2022						
CUSIP:	@4,090.00	05/26/2022	1.00	Sell	140.63	129.37	-11.26
CALL SPXW 05/27/22 4145 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	05/27/2022						
CUSIP:	@4,145.00	05/27/2022	1.00	Sell	230.63	369.37	138.74
CALL SPXW 06/02/22 4150 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	06/02/2022						
CUSIP:	@4,150.00	06/02/2022	1.00	Sell	400.63	449.37	48.74
CALL SPXW 06/02/22 4170 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	06/02/2022						
CUSIP:	@4,170.00	06/02/2022	1.00	Sell	245.63	339.37	93.74

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PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL SPXW 05/27/22 4145 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	05/27/2022						
CUSIP:	@4,145.00	05/27/2022	1.00	Sell	360.63	389.37	28.74
CALL SPXW 06/02/22 4150 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	06/02/2022						
CUSIP:	@4,150.00	06/02/2022	1.00	Sell	410.63	439.37	28.74
CALL SPXW 05/27/22 4145 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	05/27/2022						
CUSIP:	@4,145.00	05/27/2022	1.00	Sell	265.63	244.37	-21.26
CALL SPXW 06/03/22 4175 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	06/03/2022						
CUSIP:	@4,175.00	06/03/2022	1.00	Sell	470.63	89.46	-381.17
PUT SPXW 06/14/22 3710 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	06/14/2022						
CUSIP:	@3,710.00	06/14/2022	1.00	Sell	210.63	259.37	48.74
CALL SPXW 05/16/22 4045 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	05/16/2022						
CUSIP:	@4,045.00	05/16/2022	1.00	Sell	330.63	409.37	78.74
PUT SPXW 06/14/22 3710 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	06/14/2022						
CUSIP:	@3,710.00	06/14/2022	1.00	Sell	280.63	299.37	18.74
CUSIP:	@3,710.00	06/14/2022	1.00	Sell	210.63	239.37	28.74
CALL SPXW 06/06/22 4165 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	06/06/2022						
CUSIP:	@4,165.00	06/06/2022	1.00	Sell	50.54	4.46	-46.08
CALL SPXW 06/08/22 4195 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	06/08/2022						
CUSIP:	@4,195.00	06/08/2022	1.00	Sell	310.63	9.46	-301.17
CALL SPXW 06/08/22 4135 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	06/08/2022						
CUSIP:	@4,135.00	06/08/2022	1.00	Sell	340.63	309.37	-31.26

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PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
PUT SPXW 06/23/22 3760 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	06/23/2022						
CUSIP:	@3,760.00	06/23/2022	1.00	Sell	270.63	104.37	-166.26
CALL SPXW 06/23/22 3780 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	06/23/2022						
CUSIP:	@3,780.00	06/23/2022	1.00	Sell	270.63	329.37	58.74
	06/23/2022						
CUSIP:	@3,780.00	06/23/2022	1.00	Sell	270.63	309.37	38.74
CALL SPXW 06/08/22 4195 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	06/08/2022						
CUSIP:	@4,195.00	06/08/2022	1.00	Sell	215.63	9.46	-206.17
CALL SPXW 05/18/22 4075 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	05/18/2022						
CUSIP:	@4,075.00	05/18/2022	1.00	Sell	320.63	339.37	18.74
CALL SPXW 05/16/22 4045 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	05/16/2022						
CUSIP:	@4,045.00	05/16/2022	1.00	Sell	330.63	369.37	38.74
	05/16/2022						
CUSIP:	@4,045.00	05/16/2022	1.00	Sell	390.63	399.37	8.74
CALL SPXW 05/18/22 4010 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	05/18/2022						
CUSIP:	@4,010.00	05/18/2022	1.00	Sell	280.63	94.46	-186.17
CALL SPXW 05/16/22 4045 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	05/16/2022						
CUSIP:	@4,045.00	05/16/2022	1.00	Sell	410.63	419.37	8.74
CALL SPXW 05/18/22 4075 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	05/18/2022						
CUSIP:	@4,075.00	05/18/2022	1.00	Sell	85.54	24.46	-61.08
CALL SPXW 05/20/22 3930 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	05/20/2022						
CUSIP:	@3,930.00	05/20/2022	1.00	Sell	330.63	329.37	-1.26

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

Apex Clearing

Regulated Futures Contracts & Section 1256 Options

2022

5NB95646

(continued)

02/09/2023

PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL SPXW 05/18/22 4075 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
CUSIP:	05/18/2022 @4,075.00	05/18/2022	1.00	Sell	300.63	214.37	-86.26
CUSIP:	05/18/2022 @4,075.00	05/18/2022	1.00	Sell	200.63	209.37	8.74
CALL SPXW 05/16/22 4045 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
CUSIP:	05/16/2022 @4,045.00	05/16/2022	1.00	Sell	350.63	224.37	-126.26
PUT SPXW 05/20/22 3800 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
CUSIP:	05/20/2022 @3,800.00	05/19/2022	1.00	Sell	540.63	159.37	-381.26
Short-Term Profit/(Loss) Total: 40%							-727.78
Long-Term Profit/(Loss) Total: 60%							-1,091.68
Total:							-1,819.46

Aggregate Short-Term Profit or (Loss): 40%

-727.78

Aggregate Long-Term Profit or (Loss): 60%

-1,091.68

11 - Aggregate Profit or (Loss) on Contracts**-1,819.46**

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (SSN, ITIN, ATIN, or EIN). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) (with a Form 1096) to the IRS for each of the other owners to show their share of the income, and you must furnish the respective Copy B Form(s) to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2022 General Instructions for Certain Information Returns.

FreeFile. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

Future developments. For the latest information about developments related to forms and instructions, such as legislation enacted after they were published, go to www.irs.gov/forms-instructions.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Box 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

Box 1b. Shows the portion of the amount in box 1a that may be eligible for reduced capital gains rates. See the Instructions for Form 1040 for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Box 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in boxes 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in box 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Form 1040.

Box 2b. Shows the portion of the amount in box 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

Box 2c. Shows the portion of the amount in box 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

Box 2d. Shows the portion of the amount in box 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

Box 2e. Shows the portion of the amount in box 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

Box 2f. Shows the portion of the amount in box 2a that is section 897 gain attributable to disposition of USRPI. Note: Boxes 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

Box 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Box 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5. Shows the portion of the amount in box 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Box 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in box 1a.

Box 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Form 1040.

Box 8. This box should be left blank if a RIC reported the foreign tax shown in box 7.

Boxes 9 and 10. Show cash and noncash liquidation distributions.

Box 11. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You may also have a filing requirement. See the Instructions for Form 8938.

Box 12. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Form 1040 for where to report. This amount may be subject to backup withholding. See Box 4 above.

Box 13. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in box 12. See the Instructions for Form 6251.

Boxes 14-16. State income tax withheld reporting boxes.

1099-INT Instructions for Recipient

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer must generally report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

Box 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in box 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during the calendar year on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

Box 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Form 1040 to see where to take the deduction.

Box 3. Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in box 1. See the instructions above for a taxable covered security acquired at a premium.

Box 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Box 5. Any amount shown is your share of investment expenses of a single-class REMIC. This amount is included in box 1. Note: This amount is not deductible.

Box 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

Box 7. Shows the country or U.S. possession to which the foreign tax was paid.

Box 8. Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Form 1040. This amount may be subject to backup withholding. See Box 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

Box 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in box 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

Box 10. For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Form 1040. Market discount on a tax-exempt security is includible in taxable income as interest income.

Box 11. For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this box for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 1. If the amount in box 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

Box 12. For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this box for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 3. If the amount in box 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

Box 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this box, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this box for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in box 8 or 9, whichever is applicable. If the amount in box 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

Box 14. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s).

Boxes 15-17. State tax withheld reporting boxes.

1099-B Instructions to Recipients

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040) and/or Form 8949.

Box 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Box 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

- Box 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.
- Box 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040).
- Box 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040), or Pub. 550 for details.
- Box 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank. Box 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.
- Box 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method is generally treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.
- Box 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).
- Box 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.
- Box 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.
- Box 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "Net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.
- Box 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040) instructions. The broker should advise you of any losses on a separate statement.
- Regulated Futures Contracts, Foreign Currency Contracts, and Section 1256 Option Contracts (Boxes 8 Through 11)**
- Box 8.** Shows the profit or (loss) realized on regulated futures, foreign currency, or Section 1256 option contracts closed during 2022.
- Box 9.** Shows any year-end adjustment to the profit or (loss) shown in box 8 due to open contracts on December 31, 2021.
- Box 10.** Shows the unrealized profit or (loss) on open contracts held in your account on December 31, 2022. These are considered closed out as of that date. This will become an adjustment reported as unrealized profit or (loss) on open contracts—12/31/2022 in 2023.
- Box 11.** Boxes 8, 9, and 10 are all used to figure the aggregate profit or (loss) on regulated futures, foreign currency, or Section 1256 option contracts for the year. Include this amount on your 2022 Form 6781.
- Box 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040) as you may be able to report your transaction directly on Schedule D (Form 1040). If the "Ordinary" box in box 2 is checked, an adjustment may be required.
- Box 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.
- Boxes 14–16.** Show state(s)/local income tax information.

1099-MISC Instructions to Recipients

Amounts shown may be subject to self-employment (SE) tax. Individuals should see the Instructions for Schedule SE (Form 1040). Corporations, fiduciaries, or partnerships must report the amounts on the appropriate line of their tax returns.

Form 1099-MISC incorrect? If this form is incorrect or has been issued in error, contact the payer. If you cannot get this form corrected, attach an explanation to your tax return and report your information correctly.

- Box 1.** Report rents from real estate on Schedule E (Form 1040). However, report rents on Schedule C (Form 1040) if you provided significant services to the tenant, sold real estate as a business, or rented personal property as a business. See Pub. 527.
- Box 2.** Report royalties from oil, gas, or mineral properties; copyrights; and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the Schedule E (Form 1040) instructions. For royalties on timber, coal, and iron ore, see Pub. 544.
- Box 3.** Generally, report this amount on the "Other income" line of Schedule 1 (Form 1040) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).
- Box 4.** Shows backup withholding or withholding on Indian gaming profits. Generally, a payer must backup withhold if you did not furnish your TIN. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.
- Box 5.** Shows the amount paid to you as a fishing boat crew member by the operator, who considers you to be self-employed. Self-employed individuals must report this amount on Schedule C (Form 1040). See Pub. 334.
- Box 6.** For individuals, report on Schedule C (Form 1040).
- Box 7.** If checked, consumer products totaling \$5,000 or more were sold to you for resale, on a buy-sell, a deposit-commission, or other basis. Generally, report any income from your sale of these products on Schedule C (Form 1040).
- Box 8.** Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040).
- Box 9.** Report this amount on Schedule F (Form 1040).
- Box 10.** Shows gross proceeds paid to an attorney in connection with legal services. Report only the taxable part as income on your return.
- Box 11.** Shows the amount of cash you received for the sale of fish if you are in the trade or business of catching fish.
- Box 12.** May show current year deferrals as a nonemployee under a nonqualified deferred compensation (NQDC) plan that is subject to the requirements of section 409A plus any earnings on current and prior year deferrals.
- Box 13.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You may also have a filing requirement. See the Instructions for Form 8938.
- Box 14.** Shows your total compensation of excess golden parachute payments subject to a 20% excise tax. See your tax return instructions for where to report.
- Box 15.** Shows income as a nonemployee under an NQDC plan that does not meet the requirements of section 409A. Any amount included in box 12 that is currently taxable is also included in this box. Report this amount as income on your tax return. This income is also subject to a substantial additional tax to be reported on Form 1040, 1040-SR, or 1040-NR. See the instructions for your tax return.
- Boxes 16–18.** Show state or local income tax withheld from the payments.

1099-OID Instructions to Recipients

Original issue discount (OID) is the excess of an obligation's stated redemption price at maturity over its issue price (acquisition price for a stripped bond or coupon). OID on a taxable obligation is taxable as interest over the life of the obligation. If you are the holder of a taxable OID obligation, you generally must include an amount of OID in your gross income each year you hold the obligation. Obligations that may have OID include a bond, debenture, note, certificate, or other evidence of indebtedness having a term of more than 1 year. For example, the OID rules may apply to certificates of deposit (CDs), time deposits, bonus savings plans, and other deposit arrangements, especially if the payment of interest is deferred until maturity. In addition, the OID rules apply to Treasury inflation-protected securities. See Pub. 550 for more information.

If, as the record holder, you receive Form 1099-OID showing amounts belonging to another person, you are considered a nominee recipient. Complete a Form 1099-OID for each of the other owners showing the amounts allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner as the "recipient." File Form(s) 1099-OID with Form 1096 with the Internal Revenue Service Center for your area. On Form 1096, list yourself as the "filer." A spouse is not required to file a nominee return to show amounts owned by the other spouse. If you bought or sold an obligation during the year and you are not a nominee, you are not required to issue or file Form 1099-OID showing the OID or stated interest allocable to the seller/buyer of the obligation.

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a covered security acquired with acquisition premium, your payer generally must report either (1) a net amount of OID that reflects the offset of OID by the amount of acquisition premium amortization for the year, or (2) a gross amount for both the OID and the acquisition premium amortization for the year. For a noncovered security acquired with acquisition premium, your payer is only required to report the gross amount of OID.

- Box 1.** Shows the OID on a taxable obligation for the part of the year you owned it. Report the amount in box 1 as interest income on your income tax return. However, depending on the type of debt instrument, the issue or acquisition date, and other factors (for example, if you paid acquisition or bond premium, or the obligation is a stripped bond or coupon), you may have to figure the correct amount of OID to report on your return. See Pub. 1212 for details on how to figure the correct OID. See the instructions above for a covered security acquired with acquisition premium.
- Box 2.** Shows qualified stated interest on this obligation for the year, which is an amount separate from the OID. If you held the obligation the entire year, report this amount as interest income on your tax return. If you disposed of the obligation or acquired it from another holder during the year, see Pub. 550 for reporting instructions. If there is an amount in both boxes 2 and 8, the amount in box 2 is interest on a U.S. Treasury obligation and is exempt from state and local income taxes. If there is an amount in both boxes 2 and 11, the amount in box 2 is tax-exempt interest and is not included in interest income on your tax return. In general, see how to report tax-exempt interest in the Instructions for Forms 1040 and 1040-SR.
- Box 3.** Shows interest or principal forfeited if you withdrew the money before the maturity date of the obligation, such as from a CD. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction.
- Box 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.
- Box 5.** For a covered security acquired with OID, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you. For a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, and issued with OID, shows the market discount that accrued on the obligation during the year while held by you.

For a covered security acquired on or after January 1, 2015, market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). The payer may, but is not required to, report the market discount for a tax-exempt obligation that is a covered security acquired before January 1, 2017. Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includible in taxable income as interest income.

Box 6. For a taxable covered security, shows the amount of acquisition premium amortization for the year that reduces the amount of OID that is included as interest on your income tax return. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040 or 1040-SR). If an amount is not reported in this box for a covered security acquired with acquisition premium, the payer has reported a net amount of OID that reflects the offset of OID that is included in income. If the payer has reported a net amount of OID in box 1 or box 8, you must report the net amount of OID on Schedule B (Form 1040 or 1040-SR) and you must not make an adjustment to this amount for acquisition premium on Schedule B (Form 1040 or 1040-SR). If there is an amount in both boxes 6 and 11, for a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, and is issued with OID, the amount in box 6 shows the amount of acquisition premium amortization for the year that reduces the amount of your tax-exempt OID for the year. The payer may, but is not required to, report the acquisition premium for a tax-exempt obligation that is a covered security acquired before January 1, 2017, and issued with OID. See the instructions above for a covered security acquired with acquisition premium.

Box 7. Shows the identification (CUSIP) number or description of the obligation (may include the stock exchange, issuer, coupon rate, and year of maturity).

Box 8. Shows OID on a U.S. Treasury obligation for the part of the year you owned it. Report this amount as interest income on your federal income tax return and see Pub. 1212 to figure any appropriate adjustments to this amount. This OID is exempt from state and local income taxes and is not included in box 1. See the instructions above for a covered security acquired with acquisition premium. If the number in this box is negative, it represents a deflation adjustment. See Pub. 550 for further information on accounting for this adjustment.

Box 9. Any amount shown is your share of investment expenses of a single-class REMIC. This amount is included in box 2. Note: This amount is not deductible.

Box 10. For a taxable covered security, including a Treasury inflation-protected security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040 or 1040-SR). If an amount is not reported in this box for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 2. If the amount in this box is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4). If there is an amount in both boxes 10 and 11, for a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, and issued with OID, the amount in box 10 shows the amount of premium amortization for the year that reduces the amount of your tax-exempt interest for the year. The payer may, but is not required to, report the premium amortization for a tax-exempt obligation that is a covered security acquired before January 1, 2017, and issued with OID.

Box 11. For a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, shows the tax-exempt OID on the obligation for the part of the year you owned it. The payer may, but is not required to, report the OID for a tax-exempt obligation that is a covered security acquired before January 1, 2017. In general, report the amount of tax-exempt OID on Form 1040 or 1040-SR. See the instructions above for a covered security acquired with acquisition premium.

Boxes 12-14. State income tax withheld reporting.