



Ashok kumar Aalla  
1312 Colbury Rd Apt H  
Towson, MD 21239

**Master Account Number for Import:**

537367468

**Document ID for Import:**

611POF64H5T

**Enclosed is your 2022 Consolidated Tax Statement. 1099 Tax Forms for the following accounts are included in this package:**

537367468 - Robinhood Securities LLC

537367468C - Robinhood Crypto LLC

**New for 2022 Tax Year** - Where applicable, 1099 Tax Forms for Robinhood Securities, Robinhood Crypto, and Robinhood Money are being consolidated into one package and reported under our parent entity, Robinhood Markets Inc. We have done this to ensure all forms that you need are provided at the same time in this consolidated package.

**Important** - Please utilize the Master Account Number and Document ID listed above if filing through tax service providers such as TurboTax or H&R Block. The Document ID is necessary in order to directly import your 1099 data into the tax software so that you do not need to manually enter each transaction. This year there is only one Master Account Number and Document ID that needs to be used to import all of your data across all accounts and 1099s (including crypto). Please note that tax software providers may have limits on the total number of transactions that can be directly imported using the Document ID.

**CSVs** - In addition, a CSV file which includes the same information that is reported on your 1099 forms will be provided. The CSV is not a separate 1099. It is being provided as a supplement in a format that may be more helpful if you have a large number of transactions or if you are not using the Document ID to import all of your Securities, Crypto and Money activity. Tax software providers may have limits on the total number of transactions that can be uploaded and CSV files may not be compatible with tax service providers such as TurboTax or H&R Block.

**Robinhood Retirements Accounts** - If you had any reportable distributions or contributions within a Robinhood Retirement account, your 2022 tax forms 1099-R and/or 5498 will be delivered separately. Retirement accounts will be reported under Robinhood Securities LLC and will have their own unique Doc IDs for import to tax software providers such as TurboTax or H&R Block.

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<b>Robinhood Markets Inc. as agent for Robinhood Securities LLC</b> 85 Willow Road Menlo Park, CA 94025 Customer Service: 650-940-2700  PAYER'S TIN: 46-4364776	<b>Tax Information</b> <b>Account 537367468</b>  Ashok kumar Aalla 1312 Colbury Rd Apt H Towson, MD 21239  RECIPIENT'S TIN: XXX-XX-8911	Statement Date: 02/01/2023	2022
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**Summary Information**

<p><b>DIVIDENDS AND DISTRIBUTIONS</b>      <b>2022 1099-DIV*</b>      OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e)      0.00</p> <p>1b- Qualified dividends      0.00</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)      0.00</p> <p>2b- Unrecaptured Section 1250 gain      0.00</p> <p>2c- Section 1202 gain      0.00</p> <p>2d- Collectibles (28%) gain      0.00</p> <p>2e- Section 897 ordinary dividends      0.00</p> <p>2f- Section 897 capital gain      0.00</p> <p>3- Nondividend distributions      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>5- Section 199A dividends      0.00</p> <p>6- Investment expenses      0.00</p> <p>8- Foreign country or US possession:      7- Foreign tax paid:      0.00</p> <p>9- Cash liquidation distributions      0.00</p> <p>10- Noncash liquidation distributions      0.00</p> <p>12- Exempt-interest dividends (includes line 13)      0.00</p> <p>13- Specified private activity bond interest dividends (AMT)      0.00</p>	<p><b>MISCELLANEOUS INFORMATION</b>      <b>2022 1099-MISC*</b>      OMB No. 1545-0115</p> <p>2- Royalties      0.00</p> <p>3- Other income      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>8- Substitute payments in lieu of dividends or interest      0.00</p> <p><b>SECTION 1256 CONTRACTS</b>      <b>2022 1099-B*</b>      OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2022 on closed contracts      0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2021      0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2022      0.00</p> <p>11- Aggregate profit or (loss) on contracts      0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p><b>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</b></p>
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**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

*Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.*

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	26,859.50	32,506.42	0.00	2,868.52	-2,778.40
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Short-term</b>	<b>26,859.50</b>	<b>32,506.42</b>	<b>0.00</b>	<b>2,868.52</b>	<b>-2,778.40</b>
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>26,859.50</b>	<b>32,506.42</b>	<b>0.00</b>	<b>2,868.52</b>	<b>-2,778.40</b>
<b>Withholding</b>		<b>Amount</b>				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2022 may require an amended tax form.

Robinhood Markets Inc. as agent for  
 Robinhood Securities LLC  
 2022

**Summary Information**  
 (continued)

Account 537367468  
 02/01/2023

**INTEREST INCOME**

2022 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
Tax-exempt obligations (covered lots)	0.00
Tax-exempt private activity obligations (AMT, covered lots)	0.00
14- Tax-exempt and tax credit bond CUSIP number FATCA filing requirement [ ]	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	15.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 537367468

**Proceeds from Broker and Barter Exchange Transactions**

2022 1099-B\* OMB No. 1545-0715

02/01/2023

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ASSERTIO HOLDINGS, INC. COMMON STOCK / CUSIP: 04546C205 / Symbol:							
04/07/22	100.000	319.53	04/05/22	323.90	...	-4.37	Sale <sup>25</sup>
BED BATH & BEYOND INC. COMMON STOCK / CUSIP: 075896100 / Symbol:							
2 transactions for 03/09/22. Total proceeds and cost reported to the IRS.							
	5.000	114.30	03/07/22	126.23	...	-11.93	1 of 2 - Sale <sup>25</sup>
	6.000	137.17	03/08/22	137.43	...	-0.26	2 of 2 - Sale <sup>25</sup>
03/09/22	11.000	251.47	Various	263.66	...	-12.19	Total of 2 transactions
CAMBER ENERGY, INC / CUSIP: 13200M508 / Symbol:							
03/24/22	100.000	92.93	03/22/22	90.46	...	2.47	Sale <sup>25</sup>
03/24/22	140.000	130.10	03/23/22	139.97	9.87 W	0.00	Sale <sup>25</sup>
03/31/22	130.000	110.75	03/30/22	125.16	14.41 W	0.00	Sale <sup>25</sup>
5 transactions for 04/21/22. Total proceeds and cost reported to the IRS.							
	560.000	541.88	04/20/22	587.89	...	-46.01	1 of 5 - Sale <sup>25</sup>

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Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 537367468

**Proceeds from Broker and Barter Exchange Transactions**

2022 1099-B\* OMB No. 1545-0715

(continued)

02/01/2023

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CAMBER ENERGY, INC / CUSIP: 13200M508 / Symbol: (cont'd)							
	50.000	48.38	04/20/22	58.03	...	-9.65	2 of 5 - Sale <sup>25</sup>
	40.000	38.71	04/20/22	46.44	...	-7.73	3 of 5 - Sale <sup>25</sup>
	40.000	38.71	04/20/22	46.43	...	-7.72	4 of 5 - Sale <sup>25</sup>
	10.000	9.68	04/20/22	11.21	...	-1.53	5 of 5 - Sale <sup>25</sup>
04/21/22	700.000	677.36	Various	750.00	...	-72.64	Total of 5 transactions
	<b>Security total:</b>	<b>1,011.14</b>		<b>1,105.59</b>	<b>24.28 W</b>	<b>-70.17</b>	
CHARGEPOINT HOLDINGS, INC. / CUSIP: 15961R105 / Symbol:							
03/17/22	1.000	17.47	03/16/22	16.26	...	1.21	Sale <sup>25</sup>
DATADOG, INC. CLASS A COMMON S TOCK / CUSIP: 23804L103 / Symbol:							
3 transactions for 05/10/22. Total proceeds and cost reported to the IRS.							
	13.000	1,295.47	05/02/22	1,524.64	229.17 W	0.00	1 of 3 - Sale <sup>25</sup>
	3.000	298.95	05/03/22	347.94	48.99 W	0.00	2 of 3 - Sale <sup>25</sup>
	1.000	99.65	05/03/22	115.36	15.71 W	0.00	3 of 3 - Sale <sup>25</sup>
05/10/22	17.000	1,694.07	Various	1,987.94	293.87 W	0.00	Total of 3 transactions
7 transactions for 05/11/22. Total proceeds and cost reported to the IRS.							
	2.000	196.53	05/03/22	265.98	...	-69.45	1 of 7 - Sale <sup>25</sup>
	4.000	393.06	05/04/22	503.33	...	-110.27	2 of 7 - Sale <sup>25</sup>
	3.000	294.79	05/04/22	378.17	...	-83.38	3 of 7 - Sale <sup>25</sup>
	4.000	393.05	05/05/22	525.65	...	-132.60	4 of 7 - Sale <sup>25</sup>
	3.000	294.79	05/05/22	390.35	...	-95.56	5 of 7 - Sale <sup>25</sup>
	3.000	294.79	05/05/22	341.35	...	-46.56	6 of 7 - Sale <sup>25</sup>
	1.000	98.27	05/05/22	129.50	...	-31.23	7 of 7 - Sale <sup>25</sup>
05/11/22	20.000	1,965.28	Various	2,534.33	...	-569.05	Total of 7 transactions
	<b>Security total:</b>	<b>3,659.35</b>		<b>4,522.27</b>	<b>293.87 W</b>	<b>-569.05</b>	
EQT CORP / CUSIP: 26884L109 / Symbol:							
05/03/22	11.000	466.59	05/02/22	436.48	...	30.11	Sale <sup>25</sup>
HUDSON TECHNOLOGIES, INC. COMM ON STOCK / CUSIP: 444144109 / Symbol:							
04/07/22	70.000	442.65	04/05/22	450.10	...	-7.45	Sale <sup>25</sup>

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Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 537367468

### Proceeds from Broker and Barter Exchange Transactions

2022 1099-B\* OMB No. 1545-0715

(continued)

02/01/2023

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

## 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LORDSTOWN MOTORS CORP. CLASS A COMMON STOCK / CUSIP: 54405Q100 / Symbol:							
04/11/22	55.000	157.57	04/04/22	194.62	37.05 W	0.00	Sale <sup>25</sup>
04/12/22	49.000	143.57	04/04/22	173.39	29.82 W	0.00	Sale <sup>25</sup>
	2 transactions for 04/27/22. Total proceeds and cost reported to the IRS.						
	166.000	370.41	04/04/22	587.41	217.00 W	0.00	1 of 2 - Sale <sup>25</sup>
	19.000	42.40	04/06/22	73.02	30.62 W	0.00	2 of 2 - Sale <sup>25</sup>
04/27/22	185.000	412.81	Various	660.43	247.62 W	0.00	Total of 2 transactions
	18 transactions for 05/02/22. Total proceeds and cost reported to the IRS.						
	36.000	75.06	04/07/22	191.16	...	-116.10	1 of 18 - Sale <sup>25</sup>
	46.000	95.90	04/07/22	202.16	...	-106.26	2 of 18 - Sale <sup>25</sup>
	31.000	64.63	04/07/22	146.83	...	-82.20	3 of 18 - Sale <sup>25</sup>
	27.000	56.29	04/07/22	124.82	...	-68.53	4 of 18 - Sale <sup>25</sup>
	22.000	45.87	04/07/22	101.89	...	-56.02	5 of 18 - Sale <sup>25</sup>
	19.000	39.61	04/07/22	89.29	...	-49.68	6 of 18 - Sale <sup>25</sup>
	13.000	27.10	04/07/22	69.18	...	-42.08	7 of 18 - Sale <sup>25</sup>
	10.000	20.85	04/07/22	52.58	...	-31.73	8 of 18 - Sale <sup>25</sup>
	7.000	14.59	04/07/22	37.30	...	-22.71	9 of 18 - Sale <sup>25</sup>
	5.000	10.42	04/07/22	23.49	...	-13.07	10 of 18 - Sale <sup>25</sup>
	5.000	10.42	04/07/22	22.13	...	-11.71	11 of 18 - Sale <sup>25</sup>
	44.000	91.73	04/11/22	221.33	...	-129.60	12 of 18 - Sale <sup>25</sup>
	35.000	72.97	04/12/22	96.19	...	-23.22	13 of 18 - Sale <sup>25</sup>
	5.000	10.42	04/12/22	24.89	...	-14.47	14 of 18 - Sale <sup>25</sup>
	50.000	104.25	04/18/22	121.25	...	-17.00	15 of 18 - Sale <sup>25</sup>
	50.000	104.24	04/20/22	119.48	...	-15.24	16 of 18 - Sale <sup>25</sup>
	40.000	83.40	04/20/22	95.13	...	-11.73	17 of 18 - Sale <sup>25</sup>
	55.000	114.67	04/21/22	130.87	...	-16.20	18 of 18 - Sale <sup>25</sup>
05/02/22	500.000	1,042.42	Various	1,869.97	...	-827.55	Total of 18 transactions
	6 transactions for 05/02/22. Total proceeds and cost reported to the IRS.						
	31.000	68.04	04/06/22	119.15	51.11 W	0.00	1 of 6 - Sale <sup>25</sup>
	22.000	48.29	04/06/22	82.25	33.96 W	0.00	2 of 6 - Sale <sup>25</sup>
	5.000	10.97	04/06/22	19.02	8.05 W	0.00	3 of 6 - Sale <sup>25</sup>
	20.000	43.90	04/06/22	88.73	44.83 W	0.00	4 of 6 - Sale <sup>25</sup>
	27.000	59.26	04/06/22	100.93	41.67 W	0.00	5 of 6 - Sale <sup>25</sup>

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Robinhood Markets Inc. as agent for  
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Account 537367468

### Proceeds from Broker and Barter Exchange Transactions

2022 1099-B\* OMB No. 1545-0715

(continued)

02/01/2023

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

#### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LORDSTOWN MOTORS CORP. CLASS A COMMON STOCK / CUSIP: 54405Q100 / Symbol: (cont'd)							
	95.000	208.51	04/07/22	420.38	211.87 W	0.00	6 of 6 - Sale <sup>25</sup>
05/02/22	200.000	438.97	Various	830.46	391.49 W	0.00	Total of 6 transactions
	<b>Security total:</b>	<b>2,195.34</b>		<b>3,728.87</b>	<b>705.98 W</b>	<b>-827.55</b>	
LUCID GROUP, INC. COMMON STOCK / CUSIP: 549498103 / Symbol:							
01/12/22	18.000	835.77	08/27/21	378.36	...	457.41	Sale <sup>25</sup>
	6 transactions for 01/25/22. Total proceeds and cost reported to the IRS.						
	2.000	71.51	08/27/21	42.04	...	29.47	1 of 6 - Sale <sup>25</sup>
	24.000	858.17	10/29/21	947.52	...	-89.35	2 of 6 - Sale <sup>25</sup>
	1.000	35.76	10/29/21	41.19	...	-5.43	3 of 6 - Sale <sup>25</sup>
	15.000	536.35	11/05/21	616.92	...	-80.57	4 of 6 - Sale <sup>25</sup>
	6.000	214.54	11/05/21	247.86	...	-33.32	5 of 6 - Sale <sup>25</sup>
	12.000	429.08	12/13/21	460.80	...	-31.72	6 of 6 - Sale <sup>25</sup>
01/25/22	60.000	2,145.41	Various	2,356.33	...	-210.92	Total of 6 transactions
	<b>Security total:</b>	<b>2,981.18</b>		<b>2,734.69</b>	...	<b>246.49</b>	
MULLEN AUTOMOTIVE, INC. COMMON STOCK / CUSIP: 62526P109 / Symbol:							
	2 transactions for 03/30/22. Total proceeds and cost reported to the IRS.						
	3.000	6.93	03/17/22	6.75	...	0.18	1 of 2 - Sale <sup>25</sup>
	49.000	113.22	03/17/22	110.25	...	2.97	2 of 2 - Sale <sup>25</sup>
03/30/22	52.000	120.15	Various	117.00	...	3.15	Total of 2 transactions
	7 transactions for 04/04/22. Total proceeds and cost reported to the IRS.						
	2.761	8.16	03/17/22	5.96	...	2.20	1 of 7 - Sale <sup>25</sup>
	14.239	41.86	03/17/22	30.76	...	11.10	2 of 7 - Sale <sup>25</sup>
	48.000	141.83	03/17/22	108.00	...	33.83	3 of 7 - Sale <sup>25</sup>
	40.000	117.59	03/25/22	102.37	...	15.22	4 of 7 - Sale <sup>25</sup>
	74.000	217.55	03/25/22	196.80	...	20.75	5 of 7 - Sale <sup>25</sup>
	65.000	191.09	03/28/22	158.57	...	32.52	6 of 7 - Sale <sup>25</sup>
	43.000	126.42	03/29/22	100.52	...	25.90	7 of 7 - Sale <sup>25</sup>
04/04/22	287.000	844.50	Various	702.98	...	141.52	Total of 7 transactions
04/04/22	183.000	537.99	03/18/22	558.13	20.14 W	0.00	Sale <sup>25</sup>
04/07/22	10.000	25.10	04/06/22	26.80	...	-1.70	Sale <sup>25</sup>
04/07/22	150.000	376.48	04/05/22	426.76	24.47 W	-25.81	Sale <sup>25</sup>

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Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 537367468

### Proceeds from Broker and Barter Exchange Transactions

2022 1099-B\* OMB No. 1545-0715

(continued)

02/01/2023

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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## 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MULLEN AUTOMOTIVE, INC. COMMON STOCK / CUSIP: 62526P109 / Symbol: (cont'd)							
3 transactions for 04/11/22. Total proceeds and cost reported to the IRS.							
	3.000	7.69	04/08/22	6.98	...	0.71	1 of 3 - Sale <sup>25</sup>
	73.000	187.24	04/08/22	186.48	...	0.76	2 of 3 - Sale <sup>25</sup>
	20.000	51.30	04/08/22	46.59	...	4.71	3 of 3 - Sale <sup>25</sup>
04/11/22	96.000	246.23	Various	240.05	...	6.18	Total of 3 transactions
4 transactions for 10/28/22. Total proceeds and cost reported to the IRS.							
	80.000	38.80	10/19/22	31.30	...	7.50	1 of 4 - Sale <sup>25</sup>
	250.000	121.24	10/20/22	97.00	...	24.24	2 of 4 - Sale <sup>25</sup>
	100.000	48.49	10/21/22	34.11	...	14.38	3 of 4 - Sale <sup>25</sup>
	100.000	48.50	10/21/22	34.01	...	14.49	4 of 4 - Sale <sup>25</sup>
10/28/22	530.000	257.03	Various	196.42	...	60.61	Total of 4 transactions
	<b>Security total:</b>	<b>2,407.48</b>		<b>2,268.14</b>	<b>44.61 W</b>	<b>183.95</b>	
PALANTIR TECHNOLOGIES INC. CLA SS A COMMON STOCK / CUSIP: 69608A108 / Symbol:							
03/28/22	12.000	159.96	03/09/22	138.95	...	21.01	Sale <sup>25</sup>
04/11/22	10.000	121.95	03/09/22	115.79	...	6.16	Sale <sup>25</sup>
2 transactions for 05/04/22. Total proceeds and cost reported to the IRS.							
	28.000	289.10	03/09/22	324.23	35.13 W	0.00	1 of 2 - Sale <sup>25</sup>
	4.000	41.30	03/09/22	46.34	5.04 W	0.00	2 of 2 - Sale <sup>25</sup>
05/04/22	32.000	330.40	Various	370.57	40.17 W	0.00	Total of 2 transactions
6 transactions for 05/05/22. Total proceeds and cost reported to the IRS.							
	14.000	147.16	03/09/22	162.19	15.03 W	0.00	1 of 6 - Sale <sup>25</sup>
	23.000	241.76	03/09/22	268.87	27.11 W	0.00	2 of 6 - Sale <sup>25</sup>
	70.000	735.79	03/09/22	827.05	91.26 W	0.00	3 of 6 - Sale <sup>25</sup>
	3.000	31.53	03/10/22	34.65	3.12 W	0.00	4 of 6 - Sale <sup>25</sup>
	17.000	178.70	03/10/22	196.01	17.31 W	0.00	5 of 6 - Sale <sup>25</sup>
	1.000	10.51	03/11/22	11.61	1.10 W	0.00	6 of 6 - Sale <sup>25</sup>
05/05/22	128.000	1,345.45	Various	1,500.38	154.93 W	0.00	Total of 6 transactions
5 transactions for 05/10/22. Total proceeds and cost reported to the IRS.							
	32.000	227.24	03/16/22	353.28	...	-126.04	1 of 5 - Sale <sup>25</sup>
	27.000	191.73	04/26/22	339.17	...	-147.44	2 of 5 - Sale <sup>25</sup>
	10.000	71.02	04/26/22	123.93	...	-52.91	3 of 5 - Sale <sup>25</sup>
	1.000	7.10	04/26/22	12.58	...	-5.48	4 of 5 - Sale <sup>25</sup>

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Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 537367468

### Proceeds from Broker and Barter Exchange Transactions

2022 1099-B\* OMB No. 1545-0715

(continued)

02/01/2023

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

#### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PALANTIR TECHNOLOGIES INC. CLA SS A COMMON STOCK / CUSIP: 69608A108 / Symbol: (cont'd)							
	1.000	7.10	04/26/22	12.57	...	-5.47	5 of 5 - Sale <sup>25</sup>
05/10/22	71.000	504.19	Various	841.53	...	-337.34	Total of 5 transactions
	5 transactions for 05/10/22. Total proceeds and cost reported to the IRS.						
	67.000	475.79	03/11/22	777.80	302.01 W	0.00	1 of 5 - Sale <sup>25</sup>
	2.000	14.20	03/11/22	22.97	8.77 W	0.00	2 of 5 - Sale <sup>25</sup>
	18.000	127.82	03/14/22	199.17	71.35 W	0.00	3 of 5 - Sale <sup>25</sup>
	46.000	326.66	03/15/22	494.48	124.04 W	-43.78	4 of 5 - Sale <sup>25</sup>
	5.000	35.51	03/15/22	53.60	18.09 W	0.00	5 of 5 - Sale <sup>25</sup>
05/10/22	138.000	979.98	Various	1,548.02	524.26 W	-43.78	Total of 5 transactions
	17 transactions for 06/03/22. Total proceeds and cost reported to the IRS.						
	3.000	27.06	04/26/22	37.73	...	-10.67	1 of 17 - Sale <sup>25</sup>
	23.000	207.45	04/27/22	270.85	...	-63.40	2 of 17 - Sale <sup>25</sup>
	12.000	108.24	04/27/22	142.81	...	-34.57	3 of 17 - Sale <sup>25</sup>
	4.000	36.08	04/27/22	46.68	...	-10.60	4 of 17 - Sale <sup>25</sup>
	29.000	261.57	04/28/22	356.81	...	-95.24	5 of 17 - Sale <sup>25</sup>
	15.000	135.29	05/02/22	177.14	...	-41.85	6 of 17 - Sale <sup>25</sup>
	5.000	45.10	05/04/22	58.25	...	-13.15	7 of 17 - Sale <sup>25</sup>
	9.000	81.18	05/05/22	105.11	...	-23.93	8 of 17 - Sale <sup>25</sup>
	67.000	604.31	05/12/22	764.98	...	-160.67	9 of 17 - Sale <sup>25</sup>
	32.000	288.63	05/12/22	337.86	...	-49.23	10 of 17 - Sale <sup>25</sup>
	18.000	162.35	05/12/22	195.73	...	-33.38	11 of 17 - Sale <sup>25</sup>
	5.000	45.10	05/12/22	52.64	...	-7.54	12 of 17 - Sale <sup>25</sup>
	2.000	18.04	05/12/22	22.59	...	-4.55	13 of 17 - Sale <sup>25</sup>
	1.000	9.02	05/12/22	8.01	...	1.01	14 of 17 - Sale <sup>25</sup>
	3.000	27.06	05/12/22	23.85	...	3.21	15 of 17 - Sale <sup>25</sup>
	17.000	153.33	05/12/22	134.78	...	18.55	16 of 17 - Sale <sup>25</sup>
	2.000	18.04	05/24/22	22.32	...	-4.28	17 of 17 - Sale <sup>25</sup>
06/03/22	247.000	2,227.85	Various	2,758.14	...	-530.29	Total of 17 transactions
	<b>Security total:</b>	<b>5,669.78</b>		<b>7,273.38</b>	<b>719.36 W</b>	<b>-884.24</b>	
RIVIAN AUTOMOTIVE, INC. CLASS A COMMON STOCK / CUSIP: 76954A103 / Symbol:							
01/24/22	10.000	579.07	01/12/22	860.71	...	-281.64	Sale <sup>25</sup>

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Robinhood Markets Inc. as agent for  
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Account 537367468

### Proceeds from Broker and Barter Exchange Transactions

2022 1099-B\* OMB No. 1545-0715

(continued)

02/01/2023

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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#### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SNOWFLAKE INC. / CUSIP: 833445109 / Symbol:							
10/04/22	2.000	366.55	09/26/22	352.93	...	13.62	Sale <sup>25</sup>
	3 transactions for 10/31/22. Total proceeds and cost reported to the IRS.						
	2.000	319.79	10/10/22	318.65	...	1.14	1 of 3 - Sale <sup>25</sup>
	1.000	159.89	10/11/22	149.39	...	10.50	2 of 3 - Sale <sup>25</sup>
	1.000	159.89	10/11/22	148.23	...	11.66	3 of 3 - Sale <sup>25</sup>
10/31/22	4.000	639.57	Various	616.27	...	23.30	Total of 3 transactions
	<b>Security total:</b>	<b>1,006.12</b>		<b>969.20</b>	...	<b>36.92</b>	
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
03/09/22	1.000	850.18	02/25/22	813.26	...	36.92	Sale <sup>25</sup>
UIPATH, INC. / CUSIP: 90364P105 / Symbol:							
09/26/22	27.000	361.53	09/13/22	395.99	...	-34.46	Sale <sup>25</sup>
VEON LTD. ADS / CUSIP: 91822M106 / Symbol:							
	3 transactions for 03/23/22. Total proceeds and cost reported to the IRS.						
	65.000	39.06	03/18/22	47.23	...	-8.17	1 of 3 - Sale <sup>25</sup>
	135.000	81.12	03/22/22	83.78	...	-2.66	2 of 3 - Sale <sup>25</sup>
	40.000	24.03	03/22/22	25.06	...	-1.03	3 of 3 - Sale <sup>25</sup>
03/23/22	240.000	144.21	Various	156.07	...	-11.86	Total of 3 transactions
VERIZON COMMUNICATIONS / CUSIP: 92343V104 / Symbol:							
03/07/22	2.000	109.84	03/03/22	109.14	...	0.70	Sale <sup>25</sup>
VERU INC. COMMON STOCK / CUSIP: 92536C103 / Symbol:							
	2 transactions for 06/03/22. Total proceeds and cost reported to the IRS.						
	67.000	956.06	05/16/22	913.72	...	42.34	1 of 2 - Sale <sup>25</sup>
	2.000	28.54	05/17/22	31.09	...	-2.55	2 of 2 - Sale <sup>25</sup>
06/03/22	69.000	984.60	Various	944.81	...	39.79	Total of 2 transactions
WALMART INC. / CUSIP: 931142103 / Symbol:							
03/08/22	1.000	140.60	03/03/22	139.38	...	1.22	Sale <sup>25</sup>
03/11/22	5.000	716.99	03/03/22	696.91	...	20.08	Sale <sup>25</sup>
	<b>Security total:</b>	<b>857.59</b>		<b>836.29</b>	...	<b>21.30</b>	

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**Proceeds from Broker and Barter Exchange Transactions**

2022 1099-B\* OMB No. 1545-0715

(continued)

02/01/2023

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
YATSEN HOLDING LIMITED AMERICA N DEPOSITARY SHARES, EACH REPR ESENTING FOUR CLASS A ORDINARY / CUSIP: 985194109 / Symbol:							
2 transactions for 04/06/22. Total proceeds and cost reported to the IRS.							
	55.000	44.72	04/04/22	49.10	...	-4.38	1 of 2 - Sale <sup>25</sup>
	45.000	36.58	04/05/22	38.25	...	-1.67	2 of 2 - Sale <sup>25</sup>
04/06/22	100.000	81.30	Various	87.35	...	-6.05	Total of 2 transactions
LILIUM N.V. CLASS A ORDINARY S HARES / CUSIP: N52586109 / Symbol:							
6 transactions for 04/26/22. Total proceeds and cost reported to the IRS.							
	28.000	92.68	04/04/22	115.57	22.89 W	0.00	1 of 6 - Sale <sup>25</sup>
	28.000	92.68	04/04/22	142.52	49.84 W	0.00	2 of 6 - Sale <sup>25</sup>
	0.224	0.74	04/04/22	1.11	0.37 W	0.00	3 of 6 - Sale <sup>25</sup>
	43.776	144.89	04/04/22	216.63	71.74 W	0.00	4 of 6 - Sale <sup>25</sup>
	28.000	92.82	04/04/22	161.45	68.63 W	0.00	5 of 6 - Sale <sup>25</sup>
	22.000	72.93	04/04/22	148.03	75.10 W	0.00	6 of 6 - Sale <sup>25</sup>
04/26/22	150.000	496.74	Various	785.31	288.57 W	0.00	Total of 6 transactions
3 transactions for 04/28/22. Total proceeds and cost reported to the IRS.							
	0.224	0.73	04/04/22	1.48	0.75 W	0.00	1 of 3 - Sale <sup>25</sup>
	6.000	19.50	04/04/22	40.37	20.87 W	0.00	2 of 3 - Sale <sup>25</sup>
	91.776	298.32	04/04/22	454.16	155.84 W	0.00	3 of 3 - Sale <sup>25</sup>
04/28/22	98.000	318.55	Various	496.01	177.46 W	0.00	Total of 3 transactions
10 transactions for 05/02/22. Total proceeds and cost reported to the IRS.							
	10.078	32.65	04/04/22	49.87	17.22 W	0.00	1 of 10 - Sale <sup>25</sup>
	2.224	7.21	04/07/22	17.96	10.75 W	0.00	2 of 10 - Sale <sup>25</sup>
	22.000	71.28	04/07/22	176.19	104.91 W	0.00	3 of 10 - Sale <sup>25</sup>
	28.000	90.71	04/07/22	197.29	106.58 W	0.00	4 of 10 - Sale <sup>25</sup>
	43.776	141.82	04/07/22	272.89	131.07 W	0.00	5 of 10 - Sale <sup>25</sup>
	0.224	0.73	04/07/22	1.66	0.93 W	0.00	6 of 10 - Sale <sup>25</sup>
	3.776	12.23	04/07/22	28.48	16.25 W	0.00	7 of 10 - Sale <sup>25</sup>
	21.000	68.04	04/07/22	121.03	52.99 W	0.00	8 of 10 - Sale <sup>25</sup>
	6.922	22.43	04/11/22	37.89	11.49 W	-3.97	9 of 10 - Sale <sup>25</sup>
	62.000	200.86	04/11/22	345.84	144.98 W	0.00	10 of 10 - Sale <sup>25</sup>
05/02/22	200.000	647.96	Various	1,249.10	597.17 W	-3.97	Total of 10 transactions

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### Proceeds from Broker and Barter Exchange Transactions

2022 1099-B\* OMB No. 1545-0715

(continued)

02/01/2023

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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#### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LILIUM N.V. CLASS A ORDINARY S HARES / CUSIP: N52586109 / Symbol: (cont'd)							
<i>17 transactions for 05/03/22. Total proceeds and cost reported to the IRS.</i>							
	22.000	75.24	04/11/22	188.25	...	-113.01	1 of 17 - Sale <sup>25</sup>
	19.922	68.13	04/11/22	151.04	...	-82.91	2 of 17 - Sale <sup>25</sup>
	11.922	40.77	04/11/22	80.86	...	-40.09	3 of 17 - Sale <sup>25</sup>
	8.078	27.62	04/11/22	61.35	...	-33.73	4 of 17 - Sale <sup>25</sup>
	10.078	34.46	04/11/22	55.26	...	-20.80	5 of 17 - Sale <sup>25</sup>
	2.224	7.61	04/11/22	19.15	...	-11.54	6 of 17 - Sale <sup>25</sup>
	1.853	6.34	04/11/22	10.14	...	-3.80	7 of 17 - Sale <sup>25</sup>
	18.776	64.22	04/12/22	127.00	...	-62.78	8 of 17 - Sale <sup>25</sup>
	19.853	67.90	04/12/22	121.53	...	-53.63	9 of 17 - Sale <sup>25</sup>
	13.078	44.72	04/12/22	88.46	...	-43.74	10 of 17 - Sale <sup>25</sup>
	7.147	24.44	04/12/22	42.43	...	-17.99	11 of 17 - Sale <sup>25</sup>
	3.776	12.91	04/12/22	30.48	...	-17.57	12 of 17 - Sale <sup>25</sup>
	1.147	3.92	04/12/22	7.21	...	-3.29	13 of 17 - Sale <sup>25</sup>
	0.224	0.77	04/12/22	1.78	...	-1.01	14 of 17 - Sale <sup>25</sup>
	54.853	187.59	04/21/22	310.33	...	-122.74	15 of 17 - Sale <sup>25</sup>
	5.069	17.34	04/21/22	28.14	...	-10.80	16 of 17 - Sale <sup>25</sup>
	0.078	0.27	04/21/22	0.43	...	-0.16	17 of 17 - Sale <sup>25</sup>
05/03/22	200.078	684.25	Various	1,323.84	...	-639.59	Total of 17 transactions
	<b>Security total:</b>	<b>2,147.50</b>		<b>3,854.26</b>	<b>1,063.20 W</b>	<b>-643.56</b>	
CENNTRO ELECTRIC GROUP LIMITED ORDINARY SHARES / CUSIP: Q6519V120 / Symbol:							
<i>2 transactions for 03/25/22. Total proceeds and cost reported to the IRS.</i>							
	3.000	7.02	03/23/22	8.13	1.11 W	0.00	1 of 2 - Sale <sup>25</sup>
	81.000	189.53	03/24/22	214.63	16.11 W	-8.99	2 of 2 - Sale <sup>25</sup>
03/25/22	84.000	196.55	Various	222.76	17.22 W	-8.99	Total of 2 transactions
<i>2 transactions for 04/04/22. Total proceeds and cost reported to the IRS.</i>							
	52.000	112.54	04/01/22	125.80	...	-13.26	1 of 2 - Sale <sup>25</sup>
	3.000	6.49	04/01/22	7.44	...	-0.95	2 of 2 - Sale <sup>25</sup>
04/04/22	55.000	119.03	Various	133.24	...	-14.21	Total of 2 transactions
	<b>Security total:</b>	<b>315.58</b>		<b>356.00</b>	<b>17.22 W</b>	<b>-23.20</b>	
<b>Totals :</b>		<b>26,859.50</b>		<b>32,506.42</b>	<b>2,868.52 W</b>	<b>-2,778.40</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 537367468

## Fees and Expenses

2022

02/01/2023

*This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.*

*These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.*

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
Margin charge		10/24/22	-5.00	Margin interest paid	
		11/22/22	-5.00	Margin interest paid	
		12/22/22	-5.00	Margin interest paid	
			-15.00	Total Margin interest paid	
			<b>-15.00</b>	<b>Total Margin interest paid</b>	

25 Tax lot closed on a first in first out basis.

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**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.****1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

**Instructions for Recipient**

**Line 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.



<b>Robinhood Markets Inc. as agent for Robinhood Crypto LLC</b> 85 Willow Rd Menlo Park, CA 94025 Customer Service: 650-940-2700  PAYER'S TIN: 46-4364776	<b>Tax Information</b> <b>Account 537367468C</b>	<b>Statement Date: 02/01/2023</b>	<b>2022</b>
	Ashok kumar Aalla 1312 Colbury Rd Apt H Towson, MD 21239  RECIPIENT'S TIN: XXX-XX-8911		

11 - [ ] FATCA filing requirement (see instructions) **Summary Information** 13 - [ ] FATCA filing requirement (see instructions)

DIVIDENDS AND DISTRIBUTIONS		2022 1099-DIV*	OMB No. 1545-0110	MISCELLANEOUS INFORMATION		2022 1099-MISC*	OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5, 2e)		0.00		2- Royalties		0.00	
1b- Qualified dividends		0.00		3- Other income		0.00	
2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)		0.00		<b>4- Federal income tax withheld</b>		<b>0.00</b>	
2b- Unrecaptured Section 1250 gain		0.00		8- Substitute payments in lieu of dividends or interest		0.00	
2c- Section 1202 gain		0.00					
2d- Collectibles (28%) gain		0.00		<b>SECTION 1256 CONTRACTS</b>		<b>2022 1099-B*</b>	OMB No. 1545-0715
2e- Section 897 ordinary dividends		0.00		8- Profit or (loss) realized in 2022 on closed contracts		0.00	
2f- Section 897 capital gain		0.00		9- Unrealized profit or (loss) on open contracts-12/31/2021		0.00	
3- Nondividend distributions		0.00		10- Unrealized profit or (loss) on open contracts-12/31/2022		0.00	
<b>4- Federal income tax withheld</b>		<b>0.00</b>		11- Aggregate profit or (loss) on contracts		0.00	
5- Section 199A dividends		0.00					
6- Investment expenses		0.00		<i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i>			
8- Foreign country or US possession:	7- Foreign tax paid:	0.00		<b>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</b>			
9- Cash liquidation distributions		0.00					
10- Noncash liquidation distributions		0.00					
12- Exempt-interest dividends (includes line 13)		0.00					
13- Specified private activity bond interest dividends (AMT)		0.00					

**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	B (basis not reported to the IRS)	2.13	5.02	0.00	0.00	-2.89
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Short-term</b>	<b>2.13</b>	<b>5.02</b>	<b>0.00</b>	<b>0.00</b>	<b>-2.89</b>
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>2.13</b>	<b>5.02</b>	<b>0.00</b>	<b>0.00</b>	<b>-2.89</b>
<b>Withholding</b>		<b>Amount</b>				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2022 may require an amended tax form.

**Robinhood Markets Inc. as agent for  
Robinhood Crypto LLC**  
2022

**Summary Information**  
(continued)

Account 537367468C  
02/01/2023

**INTEREST INCOME**

2022 1099-INT

OMB No. 1545-0112

**This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	
FATCA filing requirement [ ]	

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Markets Inc. as agent for  
Robinhood Crypto LLC

Account 537367468C

**Proceeds from Broker and Barter Exchange Transactions**

2022 1099-B\* OMB No. 1545-0715

02/01/2023

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)**

Report on Form 8949, Part I with Box B checked. Basis is NOT provided to the IRS. (Line 12)

"Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
Dogecoin / CUSIP: DOGEUSD / Symbol:							
2 transactions for 03/11/22. Total proceeds are reported to the IRS.							
	0.020	0.00	08/13/21	0.01	...	-0.01	1 of 2 - Zero proceeds / negative sale <sup>25</sup>
	18.46999999999998863	2.13	11/10/21	5.01	...	-2.88	2 of 2 - Sale <sup>25</sup>
03/11/22	18.490	2.13	Various	5.02	...	-2.89	Total of 2 transactions
<b>Totals :</b>		<b>2.13</b>		<b>5.02</b>		<b>-2.89</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

25 Tax lot closed on a first in first out basis.

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**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.****1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

**Instructions for Recipient**

**Line 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

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