

**Apex Clearing**  
One Dallas Center  
350 North St Paul, Ste 1300  
Dallas, TX 75201

ARAVIND KUMAR BODALA  
2847 CHARTER DR  
TROY, MI 48083-1348

IN ACCOUNT WITH  
**WEBULL FINANCIAL LLC**  
**(888) 828-0618**

**IMPORTANT YEAR END MESSAGES**

This document includes your Consolidated Form 1099 that we are required to provide to you and contains information that we are providing to the Internal Revenue Service and additional information that may be helpful to you for filing your tax return. You may wish to seek the advice of a tax professional when preparing your return.

Please verify your personal information for accuracy and contact us to correct any discrepancies. If you own Mutual Funds, Real Estate Trusts (REITs), REMIC Securities or certain fixed investment trusts (WHFITs), income distributions may be reclassified by the issuer after the original 1099 is sent. If you hold these securities or another security that is subject to a reclassification event, you may receive a corrected Form 1099.

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**Apex Clearing**

One Dallas Center  
350 North St Paul, Ste 1300  
Dallas, TX 75201

Customer Service: 214-765-1009  
Payer's Federal ID No: 13-2967453

IN ACCOUNT WITH  
**WEBULL FINANCIAL LLC**  
**(888) 828-0618**

**Account 5NE15717**

ARAVIND KUMAR BODALA  
2847 CHARTER DR  
TROY, MI 48083-1348

RECIPIENT'S ID No: XXX-XX-8181

**Form 1099 Composite****2022**

Statement Date: 02/09/2023

 CorrectedFATCA filing Requirement **Tax Reporting Statement**

<b>Dividends and Distributions</b>	<b>2022 Form1099DIV</b>	OMB No. 1545-0110
1a- Total Ordinary Dividends (includes amount shown on 1b)	0.00	
1b- Qualified Dividends	0.00	
2a- Total Capital Gain Distributions (includes lines 2b, 2c, 2d)	0.00	
2b- Unrecaptured Section 1250 Gain	0.00	
2c- Section 1202 Gain	0.00	
2d- Collectibles (28%) Gain	0.00	
2e- Section 897 ordinary dividends	0.00	
2f- Section 897 capital gain	0.00	
3- Nondividend Distributions	0.00	
<b>4- Federal Income Tax Withheld</b>	<b>0.00</b>	
5- Section 199A Dividends	0.00	
6- Investment Expenses	0.00	
7- Foreign Tax Paid	0.00	
8- Foreign Country or U.S. Possessions		
9- Cash Liquidation Distribution	0.00	
10- Noncash Liquidation Distributions	0.00	
12- Exempt-Interest Dividends	0.00	
13- Specified Private Activity Bond Interest Dividends	0.00	

<b>Interest Income</b>	<b>2022 Form1099INT</b>	OMB No. 1545-0112
1- Interest Income	0.00	
2- Early Withdrawal Penalty	0.00	
3- Interest on US Savings Bonds & Treasury Obligations	0.00	
<b>4- Federal Income Tax Withheld</b>	<b>0.00</b>	
5- Investment Expenses	0.00	
6- Foreign Tax Paid	0.00	
7- Foreign Country or U.S. Possession		
8- Tax-Exempt Interest (includes box 9)	0.00	
9- Specified Private Activity Bond Interest	0.00	
10- Market Discount	0.00	
11- Bond Premium	0.00	
12- Bond Premium on Treasury Obligations	0.00	
13- Bond Premium on Tax-Exempt bonds	0.00	
14- Tax-Exempt and Tax Credit Bond CUSIP no.		

<b>Miscellaneous Information</b>	<b>2022 Form1099MISC</b>	OMB No. 1545-0115
2- Royalties	0.00	
3- Other Income	0.00	
<b>4- Federal Income Tax Withheld</b>	<b>0.00</b>	
8- Substitute Payments in Lieu of Dividends or Interest	0.00	

<b>REGULATED FUTURES CONTRACTS &amp; SECTION 1256 OPTIONS</b>	
	<b>2022 Form1099B</b>
	OMB No. 1545-0715
8- Profit or (loss) realized in 2022 on closed contracts	-4,645.78
9- Unrealized profit or (loss) on open contracts - 12/31/2021	0.00
10- Unrealized profit or (loss) on open contracts - 12/31/2022	0.00
11- Aggregate profit or (loss) on contracts	-4,645.78
<i>As applicable, proceeds from other sale transactions are summarized below and details are provided in subsequent sections of this document. More details on futures and section 1256 options transactions are also provided in other sections of this document.</i>	

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Dividends may be reclassified for tax purposes after the original Form 1099 is sent in certain cases where information from issuers is received late. This may require us to provide you an amended tax form.

C - Corrected

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## Tax Summary

2022

5NE15717

02/09/2023

## Summary Of Sale Proceeds

Proceeds from sales of securities are reported individually by trade to the Internal Revenue Service. Please refer to the Proceeds from Broker and Barter Exchange Transactions details sections to determine correct amounts to include in your tax return. The summary transaction amounts shown below are for informational purposes.

Section	Total Proceeds	Total Cost Basis	Total Market Discount	Wash Sale Loss Disallowed	Total Net Gain or Loss(-)
Short-term transactions for covered tax lots	569,373.75	610,102.31	0.00	29,809.12	-10,919.44
Short-term transactions for noncovered tax lots	98,769.86	99,402.25	0.00	0.00	-632.39
<b>Total Short-term</b>	<b>668,143.61</b>	<b>709,504.56</b>	<b>0.00</b>	<b>29,809.12</b>	<b>-11,551.83</b>
Long-term transactions for covered tax lots	0.00	0.00	0.00	0.00	0.00
Long-term transactions for noncovered tax lots	0.00	0.00	0.00	0.00	0.00
<b>Total Long-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
Undetermined transactions for noncovered tax lots	0.00	0.00	0.00	0.00	0.00
<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>

### Original Issue Discount

Original Issue Discount (Non-US Treasury Obligations)	0.00
Original Issue Discount on U.S. Treasury Obligations	0.00
Other Periodic Interest	0.00
Market Discount - Covered Positions	0.00
Acquisition Premium - Covered Positions	0.00
Bond Premium - Covered Positions	0.00
Tax-Exempt OID - Covered Positions	0.00
Tax Exempt OID - Specified Private Activity Bonds - Covered Positions	0.00
Investment Expenses	0.00

Amounts shown in this section are summary totals for your reference. For bond-by-bond detail, use the Form1099-OID section of this document.

### FEDERAL TAX WITHHELD

Form	Federal Income Tax Withheld
1099-B Total (aggregate):	<b>0.00</b>
1099-DIV Total:	<b>0.00</b>
1099-INT Total:	<b>0.00</b>
1099-MISC Total:	<b>0.00</b>
1099-OID Total:	<b>0.00</b>

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**Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B\***

5NE15717

02/09/2023

OMB No. 1545-0715

The following information is being provided to assist in your review and the preparation of your tax return.

This Form 1099-B - Proceeds from Broker and Barter Exchange Transactions provides information relating to sales of securities in your account. The sales details are organized into potentially five separate sections depending on your holding period in the security for the sale (short-term vs long-term) and whether the security is considered a "covered security" for tax reporting purpose. For covered securities, cost basis (adjusted as required under tax regulations) is being reported to the Internal Revenue Service (IRS), whereas for noncovered securities, cost basis if set forth below is provided only for your information.

A separate category is provided for sales of securities for which we do not know your holding period. For such tax lots, you will need to use your historical documents to determine your holding period (whether short-term or long-term) and your tax basis.

Unless noted otherwise under the column "Additional Notes", cost basis and corresponding gain or loss is determined by treating tax lots acquired first as being sold first. We have also assumed that you have made an election to amortize premium on the purchase of taxable bonds.

As brokers, we are only required to apply wash sales loss disallowance rules to securities with the same CUSIP or identifier and purchased and sold within the same account. We are also not required to apply wash sales rules across covered and noncovered securities. You as the taxpayer, however, generally have broader obligations under the wash sales rules to determine disallowed losses, and you may wish to consult with a tax adviser as to the application of such rules to you.

Sales of certain contingent payment debt instruments give rise to ordinary income rather than short-term or long-term capital gain or loss. Such ordinary income treatment is indicated in the Additional Notes column as "Box 2 - Ordinary." In addition, certain assets classified as collectibles are subject to special tax treatment. Any such classification is noted in the Additional Notes column as "Box 3 - Collectibles Box Checked." Loss disallowed for certain transactions involving change in control or capital structure is noted in the Additional Notes column as "Box 7 - Loss Disallowed Box Checked." Disposition of a QOF investment is noted in the Additional Notes column as "Box 3 - QOF."

Information in the transaction detail sections below that have a Box number is being transmitted to the IRS, whereas additional information that is not being transmitted to the IRS is not headed by a Box number. Such additional information may be helpful to you in the preparation of your tax return. Remember that taxpayers are ultimately responsible for the accuracy of their tax returns.

FATCA filing requirement [ ]

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

**Box 5:** Box Not Checked (Covered Security)

**Box 6:** Gross (unless indicated as Net in Additional Notes Column)

**Box 12:** Basis Reported to the IRS

**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.**

**1a - Description of property | CUSIP | Symbol**

<b>1c - Date Sold or Disposed</b>	<b>Quantity</b>	<b>1d - Proceeds</b>	<b>Date Acquired</b>	<b>Cost or Other Basis</b>	<b>1f - Accrued Market Discount (M) &amp; 1g - Wash Sale Loss Disallowed (D)</b>	<b>Gain or Loss (-)</b>	<b>Additional Notes</b>
PROSHARES TR PROSHARES ULTRAPRO SHORT QQQ   CUSIP: 74347G432   Symbol: SQQQ							
2022-11-17	1.000000	45.74	2022-11-16	44.77	0.00	0.97	
CALL UPST 05/20/22 85 UPSTART HOLDINGS INC   CUSIP: *8SKWRN8   Symbol: UPST--220520C00085000							
2022-05-09	1.000000	1,129.93	2022-05-06	1,165.05	0.00	-35.12	
PUT ZM 03/04/22 120 ZOOM VIDEO COMMUNICATIONS INC   CUSIP: *8GSPGS5   Symbol: ZM---220304P00120000							
2022-02-28	1.000000	734.93	2022-02-24	1,050.05	0.00	-315.12	

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## Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B\*

5NE15717

(continued)

02/09/2023

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

<b>1c - Date Sold or Disposed</b>	<b>Quantity</b>	<b>1d - Proceeds</b>	<b>Date Acquired</b>	<b>Cost or Other Basis</b>	<b>1f - Accrued Market Discount (M) &amp; 1g - Wash Sale Loss Disallowed (D)</b>	<b>Gain or Loss (-)</b>	<b>Additional Notes</b>
CALL LAC 05/20/22	22.50	LITHIUM AMERICAS CORP   CUSIP: *8KFTMJ6   Symbol: LAC---220520C00022500					
2022-05-16	1.000000	107.93	2022-05-13	110.05	0.00	-2.12	
***PUXIN LTD AMERICAN DEPOSITARY RECEIPTS SPONSORED   CUSIP: 74704P405   Symbol: NEWYY							
2022-03-21	500.000000	879.93	2022-03-18	980.00	100.07 D	-100.07	
2022-03-22	999.000000	2,327.52	Various	1,953.12	0.00	374.40	
2022-03-25	1000.000000	2,300.75	Various	2,479.47	0.18 D	-178.72	
2022-04-12	1.000000	1.66	2022-03-25	2.47	0.00	-0.81	
<b>Security Totals:</b>		<b>5,509.86</b>		<b>5,415.06</b>		<b>94.80</b>	
CALL METV 12/16/22	15	ROUNDHILL BALL METAVERSE ETF   CUSIP: *8SLYZB8   Symbol: METV--221216C00015000					
2022-05-26	10.000000	99.48	Various	460.49	361.01 D	-361.01	
2022-05-31	10.000000	149.48	2022-05-09	461.50	312.02 D	-312.02	
2022-06-07	10.000000	99.48	2022-05-20	412.51	0.00	-313.03	
2022-06-27	10.000000	49.48	2022-05-20	100.49	0.00	-51.01	
<b>Security Totals:</b>		<b>397.92</b>		<b>1,434.99</b>		<b>-1,037.07</b>	
PUT TSLA 03/11/22	825	TESLA INC   CUSIP: *8SLZQX3   Symbol: TSLA--220311P00825000					
2022-03-04	1.000000	2,399.92	2022-03-03	2,400.05	0.00	-0.13	
PUT TSLA 03/25/22	900	TESLA INC   CUSIP: *8SMHJN6   Symbol: TSLA--220325P00900000					
2022-03-21	1.000000	1,514.93	2022-03-21	1,750.05	235.12 D	-235.12	
2022-03-22	1.000000	744.93	2022-03-21	1,735.17	0.00	-990.24	
<b>Security Totals:</b>		<b>2,259.86</b>		<b>3,485.22</b>		<b>-1,225.36</b>	
PUT TSLA 03/25/22	835	TESLA INC   CUSIP: *8SMHJL0   Symbol: TSLA--220325P00835000					
2022-03-18	1.000000	899.93	2022-03-17	2,750.05	0.00	-1,850.12	
PUT ZM 03/11/22	130	ZOOM VIDEO COMMUNICATIONS INC   CUSIP: *8SLYHL5   Symbol: ZM----220311P00130000					
2022-03-01	1.000000	709.93	2022-02-28	1,240.05	0.00	-530.12	
PUT TSLA 03/25/22	870	TESLA INC   CUSIP: *8SMHJM4   Symbol: TSLA--220325P00870000					
2022-03-18	1.000000	1,749.93	2022-03-17	2,673.05	0.00	-923.12	

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## Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B\*

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(continued)

02/09/2023

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

<b>1c - Date Sold or Disposed</b>	<b>Quantity</b>	<b>1d - Proceeds</b>	<b>Date Acquired</b>	<b>Cost or Other Basis</b>	<b>1f - Accrued Market Discount (M) &amp; 1g - Wash Sale Loss Disallowed (D)</b>	<b>Gain or Loss (-)</b>	<b>Additional Notes</b>
HEARTCORE ENTERPRISES INC COMMON STOCK   CUSIP: 42240Q104   Symbol: HTCR							
2022-03-03	1000.000000	2,562.25	2022-03-03	2,710.00	0.00	-147.75	
PUT ZM 03/11/22 120 ZOOM VIDEO COMMUNICATIONS INC   CUSIP: *8SLYHL1   Symbol: ZM----220311P00120000							
2022-03-01	1.000000	319.93	2022-02-28	860.05	0.00	-540.12	
PUT ZM 03/11/22 125 ZOOM VIDEO COMMUNICATIONS INC   CUSIP: *8SLYHL3   Symbol: ZM----220311P00125000							
2022-02-24	1.000000	1,364.93	2022-02-22	1,100.05	0.00	264.88	
CALL TSLA 03/11/22 875 TESLA INC   CUSIP: *8SLZQY2   Symbol: TSLA--220311C00875000							
2022-03-07	1.000000	1,594.93	2022-03-04	1,600.05	0.00	-5.12	
PUT UPST 02/25/22 138 UPSTART HOLDINGS INC   CUSIP: *8SNLWF3   Symbol: UPST--220225P00138000							
2022-02-18	1.000000	744.93	2022-02-17	745.05	0.00	-0.12	
CALL FVRR 04/14/22 80 FIVERR INTERNATIONAL LTD   CUSIP: *8LBYSB1   Symbol: FVRR--220414C00080000							
2022-03-23	1.000000	319.93	2022-03-22	380.05	60.12 D	-60.12	
2022-04-05	1.000000	299.93	2022-04-04	410.17	0.00	-110.24	
<b>Security Totals:</b>		<b>619.86</b>		<b>790.22</b>		<b>-170.36</b>	
PUT UPST 02/25/22 134 UPSTART HOLDINGS INC   CUSIP: *8SNLWD7   Symbol: UPST--220225P00134000							
2022-02-18	1.000000	924.93	2022-02-18	895.05	0.00	29.88	
CALL LMND 04/14/22 30 LEMONADE INC   CUSIP: *8GBWZG1   Symbol: LMND--220414C00030000							
2022-03-30	1.000000	150.93	2022-03-23	207.05	0.00	-56.12	
PUT TSLA 04/08/22 1100 TESLA INC   CUSIP: *8LKVKQ0   Symbol: TSLA--220408P01100000							
2022-04-05	1.000000	1,514.93	2022-04-05	1,475.05	0.00	39.88	
CALL TSLA 03/25/22 775 TESLA INC   CUSIP: *8SMSFP2   Symbol: TSLA--220325C00775000							
2022-03-15	1.000000	4,547.91	2022-03-14	3,350.05	0.00	1,197.86	
CALL SE 04/08/22 130 SEA LTD   CUSIP: *8LJFNK3   Symbol: SE----220408C00130000							
2022-03-23	1.000000	559.93	2022-03-22	710.05	0.00	-150.12	
CALL PYPL 04/22/22 125 PAYPAL HOLDINGS INC   CUSIP: *8PBGLD5   Symbol: PYPL--220422C00125000							
2022-03-30	1.000000	319.93	2022-03-29	265.05	0.00	54.88	

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## Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B\*

5NE15717

(continued)

02/09/2023

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

<b>1c - Date Sold or Disposed</b>	<b>Quantity</b>	<b>1d - Proceeds</b>	<b>Date Acquired</b>	<b>Cost or Other Basis</b>	<b>1f - Accrued Market Discount (M) &amp; 1g - Wash Sale Loss Disallowed (D)</b>	<b>Gain or Loss (-)</b>	<b>Additional Notes</b>
CALL SE 04/22/22	125 SEA LTD   CUSIP: *8PDKYM0   Symbol: SE----						
2022-03-30	1.000000	934.93	2022-03-29	800.05	0.00	134.88	
2022-04-05	1.000000	1,094.93	2022-04-01	710.05	0.00	384.88	
<b>Security Totals:</b>		<b>2,029.86</b>		<b>1,510.10</b>		<b>519.76</b>	
CALL TSLA 04/22/22	1035 TESLA INC   CUSIP: *8PLXWY9   Symbol: TSLA--						
2022-04-20	1.000000	2,699.92	2022-04-19	2,400.05	0.00	299.87	
CALL NVDA 04/22/22	220 NVIDIA CORPORATION   CUSIP: *8NWLKF2   Symbol: NVDA--						
2022-04-14	1.000000	974.93	2022-04-13	765.05	0.00	209.88	
PUT TSLA 04/22/22	1000 TESLA INC   CUSIP: *8PJB DY1   Symbol: TSLA--						
2022-04-20	1.000000	3,474.92	2022-04-20	2,100.05	0.00	1,374.87	
CALL UPST 04/01/22	115 UPSTART HOLDINGS INC   CUSIP: *8SNFLH4   Symbol: UPST--						
2022-03-10	2.000000	2,878.87	Various	2,880.10	0.00	-1.23	
CALL UPST 04/22/22	95 UPSTART HOLDINGS INC   CUSIP: *8PLYFK0   Symbol: UPST--						
2022-04-11	1.000000	419.93	2022-04-08	750.05	0.00	-330.12	
PUT AAPL 11/18/22	145 APPLE INC   CUSIP: *8GSSWR7   Symbol: AAPL--						
2022-11-18	1.000000	0.94	2022-11-17	60.04	0.00	-59.10	
CALL UPST 04/22/22	105 UPSTART HOLDINGS INC   CUSIP: *8PJWCX9   Symbol: UPST--						
2022-03-28	1.000000	1,049.93	2022-03-25	1,160.05	0.00	-110.12	
CALL AAPL 11/18/22	145 APPLE INC   CUSIP: *8GSSWR6   Symbol: AAPL--						
2022-11-11	1.000000	334.94	2022-11-10	219.04	0.00	115.90	
2022-11-14	1.000000	479.93	2022-11-11	330.04	0.00	149.89	
<b>Security Totals:</b>		<b>814.87</b>		<b>549.08</b>		<b>265.79</b>	
CALL UPST 04/08/22	100 UPSTART HOLDINGS INC   CUSIP: *8LLHJS2   Symbol: UPST--						
2022-03-16	1.000000	1,399.93	2022-03-14	940.05	0.00	459.88	

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Apex Clearing

## Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B\*

5NE15717

(continued)

02/09/2023

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

<b>1c - Date Sold or Disposed</b>	<b>Quantity</b>	<b>1d - Proceeds</b>	<b>Date Acquired</b>	<b>Cost or Other Basis</b>	<b>1f - Accrued Market Discount (M) &amp; 1g - Wash Sale Loss Disallowed (D)</b>	<b>Gain or Loss (-)</b>	<b>Additional Notes</b>
CALL UPST 04/22/22	110	UPSTART HOLDINGS INC   CUSIP: *8PJWCY1   Symbol: UPST--220422C00110000					
2022-03-30	1.000000	1,599.93	2022-03-28	1,130.05	0.00	469.88	
2022-04-01	1.000000	886.93	2022-04-01	1,030.05	0.00	-143.12	
<b>Security Totals:</b>		<b>2,486.86</b>		<b>2,160.10</b>		<b>326.76</b>	
CALL AFRM 05/20/22	25	AFFIRM HOLDINGS INC CL A   CUSIP: *8SNGNB5   Symbol: AFRM--220520C00025000					
2022-05-17	1.000000	144.93	2022-05-16	150.05	0.00	-5.12	
CALL NVDA 04/29/22	225	NVIDIA CORPORATION   CUSIP: *8SPVVR6   Symbol: NVDA--220429C00225000					
2022-04-11	1.000000	899.93	2022-04-11	980.05	80.12 D	-80.12	
2022-04-12	1.000000	859.93	2022-04-12	1,175.17	0.00	-315.24	
<b>Security Totals:</b>		<b>1,759.86</b>		<b>2,155.22</b>		<b>-395.36</b>	
CALL UPST 05/20/22	80	UPSTART HOLDINGS INC   CUSIP: *8SKWRN6   Symbol: UPST--220520C00080000					
2022-05-18	1.000000	1.93	2022-05-09	1,080.05	0.00	-1,078.12	
CALL NVDA 04/29/22	200	NVIDIA CORPORATION   CUSIP: *8SPVVQ6   Symbol: NVDA--220429C00200000					
2022-04-26	1.000000	494.93	2022-04-25	600.05	0.00	-105.12	
CALL CFLT 05/20/22	35	CONFLUENT INC   CUSIP: *8CYSKL4   Symbol: CFLT--220520C00035000					
2022-05-05	1.000000	309.93	2022-05-04	280.05	0.00	29.88	
CALL FSLY 05/20/22	20	FASTLY INC CLASS A   CUSIP: *8F41638   Symbol: FSLY--220520C00020000					
2022-04-12	1.000000	184.93	2022-04-11	163.05	0.00	21.88	
CALL FVRR 05/20/22	70	FIVERR INTERNATIONAL LTD   CUSIP: *8F75662   Symbol: FVRR--220520C00070000					
2022-04-08	1.000000	719.93	2022-04-07	850.05	0.00	-130.12	
CALL ABNB 05/20/22	140	AIRBNB INC   CUSIP: *8LSNDN7   Symbol: ABNB--220520C00140000					
2022-05-09	1.000000	244.93	2022-05-06	690.05	0.00	-445.12	

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Apex Clearing

## Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B\*

5NE15717

(continued)

02/09/2023

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

<b>1c - Date Sold or Disposed</b>	<b>Quantity</b>	<b>1d - Proceeds</b>	<b>Date Acquired</b>	<b>Cost or Other Basis</b>	<b>1f - Accrued Market Discount (M) &amp; 1g - Wash Sale Loss Disallowed (D)</b>	<b>Gain or Loss (-)</b>	<b>Additional Notes</b>
<b>1a - Description of property   CUSIP   Symbol</b>							
PUT TSLA 04/29/22	975	TESLA INC   CUSIP: *8SPXRG6   Symbol: TSLA--220429P00975000					
2022-04-21	1.000000	549.93	2022-04-20	4,200.05	3,650.12 D	-3,650.12	
2022-04-22	1.000000	1,374.93	2022-04-21	4,250.17	2,875.24 D	-2,875.24	
2022-04-27	1.000000	7,139.90	2022-04-26	4,925.29	0.00	2,214.61	
<b>Security Totals:</b>		<b>9,064.76</b>		<b>13,375.51</b>		<b>-4,310.75</b>	
<b>CALL SE 04/22/22 130 SEA LTD   CUSIP: *8PDKYM2   Symbol: SE----220422C00130000</b>							
2022-03-30	1.000000	549.93	2022-03-30	800.05	0.00	-250.12	
<b>CALL MSFT 04/29/22 280 MICROSOFT CORP   CUSIP: *8SPVKJ3   Symbol: MSFT--220429C00280000</b>							
2022-04-26	1.000000	749.93	2022-04-25	785.05	0.00	-35.12	
<b>PUT TSLA 04/29/22 1000 TESLA INC   CUSIP: *8SPXRH6   Symbol: TSLA--220429P01000000</b>							
2022-04-22	1.000000	2,454.92	2022-04-22	2,475.05	0.00	-20.13	
<b>CALL AAPL 05/06/22 160 APPLE INC   CUSIP: *8LGVXQ9   Symbol: AAPL--220506C00160000</b>							
2022-04-28	1.000000	529.93	2022-04-27	455.05	0.00	74.88	
<b>PUT TSLA 05/06/22 830 TESLA INC   CUSIP: *8PKTHJ7   Symbol: TSLA--220506P00830000</b>							
2022-04-28	2.000000	4,639.86	2022-04-28	6,455.10	0.00	-1,815.24	
<b>PUT MELI 04/29/22 1000 MERCADOLIBRE INC   CUSIP: *8SPVFK8   Symbol: MELI--220429P01000000</b>							
2022-04-27	1.000000	1,749.93	2022-04-26	2,250.05	0.00	-500.12	
<b>PUT TSLA 04/08/22 1050 TESLA INC   CUSIP: *8LKVKL5   Symbol: TSLA--220408P01050000</b>							
2022-04-07	1.000000	1,599.93	2022-04-06	1,750.05	0.00	-150.12	
<b>PUT TSLA 05/06/22 880 TESLA INC   CUSIP: *8PKTHP7   Symbol: TSLA--220506P00880000</b>							
2022-05-02	1.000000	3,509.92	2022-04-29	2,000.05	0.00	1,509.87	
<b>PUT TSLA 05/06/22 900 TESLA INC   CUSIP: *8PKTHQ5   Symbol: TSLA--220506P00900000</b>							
2022-05-04	1.000000	2,249.92	2022-05-03	2,250.05	0.00	-0.13	
<b>PUT TSLA 05/06/22 850 TESLA INC   CUSIP: *8PKTHL1   Symbol: TSLA--220506P00850000</b>							
2022-05-03	1.000000	998.93	2022-05-02	2,250.05	0.00	-1,251.12	

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Apex Clearing

## Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B\*

5NE15717

(continued)

02/09/2023

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

<b>1c - Date Sold or Disposed</b>	<b>Quantity</b>	<b>1d - Proceeds</b>	<b>Date Acquired</b>	<b>Cost or Other Basis</b>	<b>1f - Accrued Market Discount (M) &amp; 1g - Wash Sale Loss Disallowed (D)</b>	<b>Gain or Loss (-)</b>	<b>Additional Notes</b>
CALL MSFT 11/18/22	250	MICROSOFT CORP   CUSIP: *8PJJBV9   Symbol: MSFT--221118C00250000					
2022-11-16	1.000000	74.94	2022-11-15	143.04	0.00	-68.10	
PUT TSLA 05/06/22	890	TESLA INC   CUSIP: *8PKTHQ1   Symbol: TSLA--220506P00890000					
2022-05-04	1.000000	1,529.93	2022-05-04	2,200.05	0.00	-670.12	
CALL PATH 06/17/22	25	UIPATH INC CLASS A   CUSIP: *8SFDSN0   Symbol: PATH--220617C00025000					
2022-04-04	2.000000	439.88	2022-04-01	460.10	0.00	-20.22	
2022-04-05	2.000000	367.88	2022-04-01	460.10	0.00	-92.22	
<b>Security Totals:</b>		<b>807.76</b>		<b>920.20</b>		<b>-112.44</b>	
CALL TSM 04/29/22	106	TAIWAN SEMICONDUCTOR MFG CO   CUSIP: *8SPXRS7   Symbol: TSM---220429C00106000					
2022-04-05	1.000000	223.93	2022-04-04	315.05	0.00	-91.12	
PUT TSLA 05/13/22	700	TESLA INC   CUSIP: *8PPKRF3   Symbol: TSLA--220513P00700000					
2022-05-12	1.000000	549.93	2022-05-12	750.05	200.12 D	-200.12	
2022-05-13	1.000000	114.93	2022-05-12	1,200.17	0.00	-1,085.24	
<b>Security Totals:</b>		<b>664.86</b>		<b>1,950.22</b>		<b>-1,285.36</b>	
CALL UPST 04/29/22	90	UPSTART HOLDINGS INC   CUSIP: *8SPXZH1   Symbol: UPST--220429C00090000					
2022-04-12	1.000000	809.93	2022-04-11	815.05	0.00	-5.12	
CALL SE 04/22/22	122	SEA LTD   CUSIP: *8SPBTF2   Symbol: SE----220422C00122000					
2022-04-07	1.000000	479.93	2022-04-07	700.05	0.00	-220.12	
CALL UPST 05/06/22	90	UPSTART HOLDINGS INC   CUSIP: *8NTFNK3   Symbol: UPST--220506C00090000					
2022-05-05	1.000000	374.93	2022-05-04	315.05	0.00	59.88	
CALL TWTR 05/06/22	51	TWITTER INC   CUSIP: *8SPGVC6   Symbol: TWTR--220506C00051000					
2022-04-26	2.000000	205.88	2022-04-25	391.10	0.00	-185.22	
ZOSANO PHARMA CORPORATION COMMON STOCK   CUSIP: 98979H301   Symbol: #Y009557							
2022-04-12	2.000000	6.34	2022-04-05	11.25	0.00	-4.91	

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Apex Clearing

## Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B\*

5NE15717

(continued)

02/09/2023

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

<b>1c - Date Sold or Disposed</b>	<b>Quantity</b>	<b>1d - Proceeds</b>	<b>Date Acquired</b>	<b>Cost or Other Basis</b>	<b>1f - Accrued Market Discount (M) &amp; 1g - Wash Sale Loss Disallowed (D)</b>	<b>Gain or Loss (-)</b>	<b>Additional Notes</b>
CALL CFLT 05/20/22	40	CONFLUENT INC   CUSIP: *8CYSKL6   Symbol: CFLT--220520C00040000					
2022-04-12	1.000000	509.93	2022-04-11	440.05	0.00	69.88	
2022-04-14	1.000000	409.93	2022-04-13	440.05	0.00	-30.12	
<b>Security Totals:</b>		<b>919.86</b>		<b>880.10</b>		<b>39.76</b>	
CALL NVDA 04/29/22	217.50	NVIDIA CORPORATION   CUSIP: *8SRRJC5   Symbol: NVDA--220429C00217500					
2022-04-20	1.000000	1,159.93	2022-04-19	775.05	0.00	384.88	
CALL UPST 04/29/22	86	UPSTART HOLDINGS INC   CUSIP: *8SRSDW1   Symbol: UPST--220429C00086000					
2022-04-14	1.000000	669.93	2022-04-13	730.05	0.00	-60.12	
PUT MELI 04/22/22	1100	MERCADOLIBRE INC   CUSIP: *8NSDGX1   Symbol: MELI--220422P01100000					
2022-04-20	1.000000	1,899.93	2022-04-20	2,000.05	0.00	-100.12	
CALL DMTK 05/20/22	12.50	DERMTECH INC   CUSIP: *8C63685   Symbol: DMTK--220520C00012500					
2022-04-21	2.000000	109.88	2022-04-18	158.10	0.00	-48.22	
CALL UPST 04/29/22	83	UPSTART HOLDINGS INC   CUSIP: *8SRSDV7   Symbol: UPST--220429C00083000					
2022-04-20	1.000000	629.93	2022-04-19	550.05	0.00	79.88	
CALL FVRR 05/20/22	60	FIVERR INTERNATIONAL LTD   CUSIP: *8F76720   Symbol: FVRR--220520C00060000					
2022-05-02	1.000000	349.93	2022-04-29	410.05	60.12 D	-60.12	
2022-05-05	1.000000	369.93	2022-05-04	510.17	0.00	-140.24	
<b>Security Totals:</b>		<b>719.86</b>		<b>920.22</b>		<b>-200.36</b>	
CALL FVRR 05/20/22	55	FIVERR INTERNATIONAL LTD   CUSIP: *8F76701   Symbol: FVRR--220520C00055000					
2022-04-28	1.000000	529.93	2022-04-28	550.05	0.00	-20.12	
CALL FVRR 05/20/22	65	FIVERR INTERNATIONAL LTD   CUSIP: *8F75607   Symbol: FVRR--220520C00065000					
2022-04-20	1.000000	459.93	2022-04-19	618.05	0.00	-158.12	
CALL SE 05/13/22	90	SEA LTD   CUSIP: *8SQGCC5   Symbol: SE----220513C00090000					
2022-05-05	1.000000	291.93	2022-05-04	475.05	0.00	-183.12	

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Apex Clearing

## Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B\*

5NE15717

(continued)

02/09/2023

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

<b>1c - Date Sold or Disposed</b>	<b>Quantity</b>	<b>1d - Proceeds</b>	<b>Date Acquired</b>	<b>Cost or Other Basis</b>	<b>1f - Accrued Market Discount (M) &amp; 1g - Wash Sale Loss Disallowed (D)</b>	<b>Gain or Loss (-)</b>	<b>Additional Notes</b>
<b>CALL UPST 04/29/22 76 UPSTART HOLDINGS INC   CUSIP: *8CSBZT2   Symbol: UPST--220429C00076000</b>							
2022-04-25	1.000000	439.93	2022-04-22	445.05	5.12 D	-5.12	
2022-04-26	1.000000	514.93	2022-04-25	555.17	0.00	-40.24	
<b>Security Totals:</b>		<b>954.86</b>		<b>1,000.22</b>		<b>-45.36</b>	
<b>CALL FSLY 05/06/22 17.50 FASTLY INC CLASS A   CUSIP: *8CZTQ53   Symbol: FSLY--220506C00017500</b>							
2022-04-26	1.000000	189.93	2022-04-25	173.05	0.00	16.88	
2022-04-29	5.000000	780.71	2022-04-28	790.25	0.00	-9.54	
<b>Security Totals:</b>		<b>970.64</b>		<b>963.30</b>		<b>7.34</b>	
<b>CALL SE 05/06/22 85 SEA LTD   CUSIP: *8NSLBL1   Symbol: SE----220506C00085000</b>							
2022-04-28	1.000000	389.93	2022-04-27	460.05	0.00	-70.12	
<b>CALL TSLA 05/13/22 850 TESLA INC   CUSIP: *8PPKRQ7   Symbol: TSLA--220513C00850000</b>							
2022-05-10	2.000000	1,728.86	2022-05-10	3,204.22	584.12 D	-1,475.36	
<b>PUT TSLA 06/03/22 700 TESLA INC   CUSIP: *8PQTFS6   Symbol: TSLA--220603P00700000</b>							
2022-05-31	1.000000	781.92	2022-05-31	866.05	84.13 D	-84.13	
2022-06-02	1.000000	159.93	2022-06-01	694.18	0.00	-534.25	
<b>Security Totals:</b>		<b>941.85</b>		<b>1,560.23</b>		<b>-618.38</b>	
<b>TOUGHBUILT INDUSTRIES INC COMMON STOCK   CUSIP: 89157G884   Symbol: TBLT</b>							
2022-11-16	1.000000	2.40	2022-11-15	3.14	0.00	-0.74	
<b>CALL SE 04/29/22 88 SEA LTD   CUSIP: *8NTSPQ6   Symbol: SE----220429C00088000</b>							
2022-04-25	1.000000	349.93	2022-04-22	430.05	80.12 D	-80.12	
2022-04-26	1.000000	399.93	2022-04-25	470.17	0.00	-70.24	
<b>Security Totals:</b>		<b>749.86</b>		<b>900.22</b>		<b>-150.36</b>	
<b>CALL TSLA 05/06/22 925 TESLA INC   CUSIP: *8PKTHR5   Symbol: TSLA--220506C00925000</b>							
2022-05-05	1.000000	2,784.92	2022-05-04	2,150.05	0.00	634.87	
<b>CALL SE 05/20/22 80 SEA LTD   CUSIP: *8PJTRY0   Symbol: SE----220520C00080000</b>							
2022-05-18	1.000000	135.93	2022-05-18	228.05	0.00	-92.12	

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## Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B\*

5NE15717

(continued)

02/09/2023

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

<b>1c - Date Sold or Disposed</b>	<b>Quantity</b>	<b>1d - Proceeds</b>	<b>Date Acquired</b>	<b>Cost or Other Basis</b>	<b>1f - Accrued Market Discount (M) &amp; 1g - Wash Sale Loss Disallowed (D)</b>	<b>Gain or Loss (-)</b>	<b>Additional Notes</b>
PUT TSLA 06/10/22	700 TESLA INC   CUSIP: *8SQXCQ5   Symbol: TSLA--220610P00700000						
2022-06-09	1.000000	303.93	2022-06-09	400.05	0.00	-96.12	
CALL TEAM 05/06/22	250 ATLISSIAN CORPORATION PLC   CUSIP: *8NSPLY1   Symbol: TEAM--220506C00250000						
2022-04-29	1.000000	629.93	2022-04-29	980.05	0.00	-350.12	
PUT TSLA 06/10/22	705 TESLA INC   CUSIP: *8SSFND5   Symbol: TSLA--220610P00705000						
2022-06-09	1.000000	209.93	2022-06-09	285.05	75.12 D	-75.12	
2022-06-10	1.000000	899.91	2022-06-09	303.17	0.00	596.74	
<b>Security Totals:</b>		<b>1,109.84</b>		<b>588.22</b>		<b>521.62</b>	
CALL CRWD 05/13/22	195 CROWDSTRIKE HOLDINGS INC CL A   CUSIP: *8SPZZY1   Symbol: CRWD--220513C00195000						
2022-05-05	1.000000	849.93	2022-05-04	610.05	0.00	239.88	
CALL CRWD 05/13/22	175 CROWDSTRIKE HOLDINGS INC CL A   CUSIP: *8SPZZX3   Symbol: CRWD--220513C00175000						
2022-05-09	1.000000	75.93	2022-05-06	575.05	0.00	-499.12	
PUT TSLA 06/24/22	650 TESLA INC   CUSIP: *8SRPNG4   Symbol: TSLA--220624P00650000						
2022-06-21	1.000000	400.93	2022-06-21	475.05	0.00	-74.12	
CALL CFLT 05/20/22	25 CONFLUENT INC   CUSIP: *8CYSKL0   Symbol: CFLT--220520C00025000						
2022-05-09	1.000000	119.93	2022-05-06	230.05	0.00	-110.12	
CALL SQQQ 12/16/22	50 PROSHARES ULTRAPRO SHORT QQQ   CUSIP: *8LHYGS5   Symbol: SQQQ--221216C00050000						
2022-11-17	1.000000	314.94	2022-11-16	330.04	0.00	-15.10	
CALL SE 05/27/22	80 SEA LTD   CUSIP: *8SQNVF4   Symbol: SE----220527C00080000						
2022-05-20	1.000000	359.93	2022-05-19	419.05	0.00	-59.12	
CALL UPWK 06/17/22	20 UPWORK INC   CUSIP: *8LLXXF5   Symbol: UPWK--220617C00020000						
2022-05-16	1.000000	74.93	2022-05-13	103.05	0.00	-28.12	
CALL UPST 05/20/22	40 UPSTART HOLDINGS INC   CUSIP: *8PKCJC3   Symbol: UPST--220520C00040000						
2022-05-17	1.000000	276.93	2022-05-16	300.05	0.00	-23.12	

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## Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B\*

5NE15717

(continued)

02/09/2023

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

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<b>1c - Date Sold or Disposed</b>	<b>Quantity</b>	<b>1d - Proceeds</b>	<b>Date Acquired</b>	<b>Cost or Other Basis</b>	<b>1f - Accrued Market Discount (M) &amp; 1g - Wash Sale Loss Disallowed (D)</b>	<b>Gain or Loss (-)</b>	<b>Additional Notes</b>
CALL TSLA 06/03/22	800 TESLA INC   CUSIP: *8PQTFW7   Symbol: TSLA--220603C00800000						
2022-05-27	1.000000	899.91	2022-05-27	920.05	20.14 D	-20.14	
2022-05-31	1.000000	1,199.91	2022-05-27	870.19	0.00	329.72	
<b>Security Totals:</b>		<b>2,099.82</b>		<b>1,790.24</b>		<b>309.58</b>	
PUT SPY 11/18/22	390 STANDARD & POORS DEPOSITORY   CUSIP: *8SRVLL8   Symbol: SPY---221118P00390000						
2022-11-18	1.000000	11.94	2022-11-17	177.04	0.00	-165.10	
CALL UPWK 06/17/22	17.50 UPWORK INC   CUSIP: *8LLXVT2   Symbol: UPWK--220617C00017500						
2022-05-19	1.000000	89.93	2022-05-18	127.05	0.00	-37.12	
CALL SHOP 05/27/22	350 SHOPIFY INC   CUSIP: *8SQTGP4   Symbol: SHOP--220527C00350000						
2022-05-25	1.000000	549.92	2022-05-25	600.05	0.00	-50.13	
PUT COIN 12/16/22	45 COINBASE GLOBAL INC   CUSIP: *8SRXWP1   Symbol: COIN--221216P00045000						
2022-11-21	1.000000	774.93	2022-11-18	640.04	0.00	134.89	
PUT TSLA 07/01/22	650 TESLA INC   CUSIP: *8SSZMB7   Symbol: TSLA--220701P00650000						
2022-06-29	1.000000	741.92	2022-06-29	820.05	0.00	-78.13	
PUT TSLA 06/17/22	595 TESLA INC   CUSIP: *8STLKK6   Symbol: TSLA--220617P00595000						
2022-06-13	1.000000	919.91	2022-06-13	845.05	0.00	74.86	
PUT TSLA 06/17/22	575 TESLA INC   CUSIP: *8STLKK2   Symbol: TSLA--220617P00575000						
2022-06-14	1.000000	574.92	2022-06-13	670.05	95.13 D	-95.13	
2022-06-15	1.000000	229.93	2022-06-14	750.18	0.00	-520.25	
<b>Security Totals:</b>		<b>804.85</b>		<b>1,420.23</b>		<b>-615.38</b>	
PUT TSLA 07/01/22	670 TESLA INC   CUSIP: *8STLKZ1   Symbol: TSLA--220701P00670000						
2022-06-29	1.000000	1,099.91	2022-06-28	440.05	0.00	659.86	
CALL TSLA 07/01/22	715 TESLA INC   CUSIP: *8SSZMD4   Symbol: TSLA--220701C00715000						
2022-06-30	1.000000	308.93	2022-06-29	400.05	0.00	-91.12	
CALL PLTR 07/01/22	8 PALANTIR TECHNOLOGIES INC CL A   CUSIP: *8SSXPT0   Symbol: PLTR--220701C00008000						
2022-06-14	1.000000	37.93	2022-06-13	39.05	0.00	-1.12	

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Apex Clearing

## Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B\*

5NE15717

(continued)

02/09/2023

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

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CALL FSLY 05/27/22	12.50	FASTLY INC CLASS A   CUSIP: *8STHFH2   Symbol: FSLY--220527C00012500					
2022-05-19	10.000000	659.47	2022-05-19	600.50	0.00	58.97	
CALL TSLA 07/01/22	700	TESLA INC   CUSIP: *8SSZMC8   Symbol: TSLA--220701C00700000					
2022-06-29	2.000000	1,064.84	2022-06-29	1,471.23	133.13 D	-406.39	
CALL MELI 06/03/22	815	MERCADOLIBRE INC   CUSIP: *8SVGNP2   Symbol: MELI--220603C00815000					
2022-06-01	1.000000	699.92	2022-05-31	1,540.05	0.00	-840.13	
PUT NFLX 07/22/22	205	NETFLIX INC   CUSIP: *8PHNLX5   Symbol: NFLX--220722P00205000					
2022-07-22	1.000000	0.00	2022-07-20	287.05	0.00	-287.05	
PUT BRKB 12/16/22	300	BERKSHIRE HATHAWAY INC - CL B   CUSIP: *8NGGRF0   Symbol: BRKB--221216P00300000					
2022-11-17	1.000000	504.93	2022-11-16	465.04	0.00	39.89	
CALL PLTR 07/29/22	10	PALANTIR TECHNOLOGIES INC CL A   CUSIP: *8PSPXS9   Symbol: PLTR--220729C00010000					
2022-07-28	3.000000	47.83	2022-07-27	54.15	0.00	-6.32	
CALL UPST 07/01/22	35	UPSTART HOLDINGS INC   CUSIP: *8SSZWN0   Symbol: UPST--220701C00035000					
2022-06-21	1.000000	399.93	2022-06-17	312.05	0.00	87.88	
PUT AMZN 12/16/22	85	AMAZON.COM INC ADJ 20:1 STOCK SPLIT   CUSIP: *8STZVG0   Symbol: AMZN--221216P00085000					
2022-12-15	10.000000	129.55	2022-12-14	90.38	0.00	39.17	
CALL UPST 07/08/22	35	UPSTART HOLDINGS INC   CUSIP: *8NNXXK5   Symbol: UPST--220708C00035000					
2022-06-30	2.000000	173.86	2022-06-29	282.10	108.24 D	-108.24	
2022-07-05	4.000000	187.78	2022-07-01	560.44	0.00	-372.66	
<b>Security Totals:</b>		<b>361.64</b>		<b>842.54</b>		<b>-480.90</b>	
CALL AMZN 12/16/22	100	AMAZON.COM INC ADJ 20:1 STOCK SPLIT   CUSIP: *8STZVJ9   Symbol: AMZN--221216C00100000					
2022-12-14	10.000000	59.59	2022-12-13	355.39	0.00	-295.80	
CALL UPST 07/08/22	33.50	UPSTART HOLDINGS INC   CUSIP: *8PTKPH8   Symbol: UPST--220708C00033500					
2022-07-06	1.000000	239.93	2022-07-05	174.05	0.00	65.88	
CALL PYPL 07/01/22	77.50	PAYPAL HOLDINGS INC   CUSIP: *8SVPHM9   Symbol: PYPL--220701C00077500					
2022-06-27	1.000000	186.93	2022-06-24	242.05	0.00	-55.12	

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Apex Clearing

## Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B\*

5NE15717

(continued)

02/09/2023

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

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<b>1c - Date Sold or Disposed</b>	<b>Quantity</b>	<b>1d - Proceeds</b>	<b>Date Acquired</b>	<b>Cost or Other Basis</b>	<b>1f - Accrued Market Discount (M) &amp; 1g - Wash Sale Loss Disallowed (D)</b>	<b>Gain or Loss (-)</b>	<b>Additional Notes</b>
<b>APPLIED UV INC COMMON STOCK   CUSIP: 03828V105   Symbol: AUVI</b>							
2022-03-16	1000.000000	1,599.86	Various	1,619.81	0.00	-19.95	
2022-04-12	1.000000	1.38	2022-03-16	1.62	0.00	-0.24	
<b>Security Totals:</b>		<b>1,601.24</b>		<b>1,621.43</b>		<b>-20.19</b>	
<b>APPHARVEST INC COMMON STOCK   CUSIP: 03783T103   Symbol: APPH</b>							
2022-03-18	1.000000	6.42	2022-02-17	3.28	0.00	3.14	
2022-10-21	10.000000	15.88	2022-10-20	16.20	0.00	-0.32	
<b>Security Totals:</b>		<b>22.30</b>		<b>19.48</b>		<b>2.82</b>	
<b>APTINYX INC COMMON STOCK   CUSIP: 03836N103   Symbol: APTX</b>							
2022-04-12	1.000000	1.16	2022-04-11	1.27	0.00	-0.11	
<b>ADITXT INC COMMON STOCK   CUSIP: 007025109   Symbol: #A051556</b>							
2022-03-21	2000.000000	874.72	2022-03-18	870.20	0.00	4.52	
<b>BRICKELL BIOTECH INC COMMON STOCK   CUSIP: 10802T105   Symbol: #B058828</b>							
2022-01-05	150.000000	34.49	2021-12-31	34.49	0.00	0.00	
2022-02-14	8890.000000	2,043.52	2022-02-11	2,254.50	210.98 D	-210.98	
2022-03-10	10000.000000	2,344.21	Various	2,343.79	15.09 D	0.42	
2022-03-23	5000.000000	1,402.84	Various	1,329.27	0.00	73.57	
2022-04-12	100.000000	24.48	2022-03-22	26.61	2.13 D	-2.13	
2022-04-29	4000.000000	931.87	2022-04-28	938.93	3.97 D	-7.06	
2022-05-04	2500.000000	649.41	Various	659.94	0.00	-10.53	
<b>Security Totals:</b>		<b>7,430.82</b>		<b>7,587.53</b>		<b>-156.71</b>	
<b>BEAM GLOBAL COMMON STOCK   CUSIP: 07373B109   Symbol: BEEM</b>							
2022-10-25	500.000000	6,074.79	2022-10-24	5,031.25	0.00	1,043.54	
<b>***CREDIT SUISSE GROUP SPONSORED ADR   CUSIP: 225401108   Symbol: CS</b>							
2022-12-05	1000.000000	3,449.89	2022-12-02	3,329.90	0.00	119.99	
<b>CALL UPST 07/22/22 25 UPSTART HOLDINGS INC   CUSIP: *85SPBV1   Symbol: UPST--220722C00025000</b>							
2022-07-18	2.000000	649.86	2022-07-15	266.10	0.00	383.76	

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## Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B\*

5NE15717

(continued)

02/09/2023

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

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<b>CLOVIS ONCOLOGY INC COMMON STOCK   CUSIP: 189464100   Symbol: CLVSQ</b>							
2022-10-18	1000.000000	1,170.34	2022-10-17	1,129.90	0.00	40.44	
2022-10-19	1.000000	1.12	2022-10-18	1.18	0.00	-0.06	
<b>Security Totals:</b>		<b>1,171.46</b>		<b>1,131.08</b>		<b>40.38</b>	
<b>***CARDIOL THERAPEUTICS INC CLASS A COMMON   CUSIP: 14161Y200   Symbol: CRDL</b>							
2022-04-29	20.000000	28.18	Various	36.30	8.12 D	-8.12	
2022-05-03	1000.000000	1,372.56	2022-05-02	1,428.02	29.42 D	-55.46	
2022-05-05	450.000000	602.93	2022-05-04	663.92	0.00	-60.99	
<b>Security Totals:</b>		<b>2,003.67</b>		<b>2,128.24</b>		<b>-124.57</b>	
<b>COMMUNICATIONS SYSTEMS INC   CUSIP: 203900105   Symbol: #C566131</b>							
2022-03-01	1000.000000	2,019.85	2022-02-28	2,070.00	50.15 D	-50.15	
2022-03-03	1000.000000	2,099.95	Various	2,167.08	0.00	-67.13	
<b>Security Totals:</b>		<b>4,119.80</b>		<b>4,237.08</b>		<b>-117.28</b>	
<b>CONTEXTLOGIC INC CLASS A COMMON STOCK   CUSIP: 21077C107   Symbol: WISH</b>							
2022-11-30	100.000000	65.98	2022-11-29	67.68	0.00	-1.70	
<b>CAMBER ENERGY INC COMMON STOCK   CUSIP: 13200M508   Symbol: #C079490</b>							
2022-03-07	1000.000000	1,329.86	2022-03-07	1,365.00	0.00	-35.14	
2022-11-16	10000.000000	1,408.68	2022-11-15	1,333.60	0.00	75.08	
2022-11-30	1000.000000	114.76	2022-11-29	111.90	0.00	2.86	
<b>Security Totals:</b>		<b>2,853.30</b>		<b>2,810.50</b>		<b>42.80</b>	
<b>COMSOVEREIGN HOLDING CORP COMMON STOCK   CUSIP: 205650203   Symbol: COMS</b>							
2022-10-21	100.000000	9.68	2022-10-19	10.24	0.00	-0.56	
2022-12-06	100.000000	13.70	2022-12-05	13.80	0.00	-0.10	
<b>Security Totals:</b>		<b>23.38</b>		<b>24.04</b>		<b>-0.66</b>	
<b>CONFLUENT INC CLASS A COMMON STOCK   CUSIP: 20717M103   Symbol: CFLT</b>							
2022-11-14	500.000000	11,499.66	2022-11-14	11,634.90	0.00	-135.24	

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## Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B\*

5NE15717

(continued)

02/09/2023

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

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CALL ABNB 07/15/22 94 AIRBNB INC   CUSIP: *8PSKQJ0   Symbol: ABNB--220715C00094000							
2022-07-08	1.000000	409.93	2022-07-07	320.05	0.00	89.88	
DANIMER SCIENTIFIC INC CLASS A COMMON STOCK   CUSIP: 236272100   Symbol: DNMR							
2022-03-21	1.000000	5.59	2022-03-03	4.86	0.00	0.73	
2022-12-13	1.000000	2.03	2022-12-08	2.06	0.00	-0.03	
<b>Security Totals:</b>		<b>7.62</b>		<b>6.92</b>		<b>0.70</b>	
DIREXION SHARES ETF TRUST DIREXION DAILY S&P 500 BEAR 3X SHARES   CUSIP: 25460E265   Symbol: SPXS							
2022-10-28	100.000000	2,395.93	2022-10-27	2,453.00	0.00	-57.07	
DERMTECH INC COMMON STOCK   CUSIP: 24984K105   Symbol: DMTK							
2022-03-03	1.000000	13.34	2022-03-02	14.08	0.00	-0.74	
2022-10-19	254.000000	872.33	Various	986.28	0.01 D	-113.95	
2022-12-13	1.000000	2.26	2022-12-08	2.35	0.00	-0.09	
<b>Security Totals:</b>		<b>887.93</b>		<b>1,002.71</b>		<b>-114.78</b>	
DECIBEL THERAPEUTICS INC COMMON STOCK   CUSIP: 24343R106   Symbol: DBTX							
2022-10-19	510.000000	1,841.02	2022-10-18	1,934.90	0.00	-93.88	
***DINGDONG CAYMAN LIMITED AMERICAN DEPOSITARY SHARES ECH TWO RPRSNTNG THREE ORD   CUSIP: 25445D101   Symbol: DDL							
2022-03-22	1.000000	4.11	2022-03-16	3.92	0.00	0.19	
ENGLOBAL CORPORATION   CUSIP: 293306106   Symbol: ENG							
2022-03-03	1.000000	1.55	2022-03-02	1.48	0.00	0.07	
EXICURE INC COMMON STOCK   CUSIP: 30205M101   Symbol: #E024082							
2022-01-05	10000.000000	2,078.68	2022-01-03	2,204.45	0.00	-125.77	

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## Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B\*

5NE15717

(continued)

02/09/2023

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

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<b>***FARMMI INC ORDINARY SHARES   CUSIP: G33277107   Symbol: #F034733</b>							
2022-03-01	10000.000000	1,827.69	2022-02-25	1,675.00	0.00	152.69	
2022-03-02	10000.000000	1,758.69	2022-03-01	1,790.00	15.97 D	-31.31	
2022-03-03	5100.000000	900.02	Various	950.87	0.00	-50.85	
2022-04-12	10000.000000	1,356.22	2022-04-11	1,374.00	8.89 D	-17.78	
2022-04-29	5000.000000	613.34	2022-04-28	606.89	0.00	6.45	
<b>Security Totals:</b>		<b>6,455.96</b>		<b>6,396.76</b>		<b>59.20</b>	
<b>***GOLDMINING INC   CUSIP: 38149E101   Symbol: GLDG</b>							
2022-12-13	1.000000	1.36	2022-12-08	1.35	0.00	0.01	
<b>GALERA THERAPEUTICS INC COMMON STOCK   CUSIP: 36338D108   Symbol: GRTX</b>							
2022-04-12	1.000000	2.13	2022-03-11	2.28	0.00	-0.15	
<b>INTRUSION INC COMMON STOCK   CUSIP: 46121E205   Symbol: INTZ</b>							
2022-03-03	1.000000	3.76	2022-02-28	3.38	0.00	0.38	
<b>INVITAE CORPORATION COM   CUSIP: 46185L103   Symbol: NVTA</b>							
2022-10-24	1000.000000	2,070.62	2022-10-21	2,087.20	16.58 D	-16.58	
2022-10-25	1000.000000	2,180.42	2022-10-24	2,085.88	0.00	94.54	
2022-10-26	1000.000000	2,219.81	2022-10-25	2,337.83	118.02 D	-118.02	
2022-11-11	1000.000000	3,129.79	2022-11-10	2,707.52	0.00	422.27	
2022-12-01	500.000000	1,484.89	2022-11-30	1,480.00	0.00	4.89	
2022-12-13	1.000000	2.47	2022-12-08	2.46	0.00	0.01	
<b>Security Totals:</b>		<b>11,088.00</b>		<b>10,700.89</b>		<b>387.11</b>	
<b>HOOKIPA PHARMA INC COMMON STOCK   CUSIP: 43906K100   Symbol: HOOK</b>							
2022-02-17	500.000000	939.92	Various	996.42	62.50 D	-56.50	
2022-02-22	499.000000	1,312.30	2022-02-18	1,290.04	0.00	22.26	
2022-03-04	10.000000	17.48	Various	19.02	0.00	-1.54	
<b>Security Totals:</b>		<b>2,269.70</b>		<b>2,305.48</b>		<b>-35.78</b>	

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Apex Clearing

**Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B\***

5NE15717

(continued)

02/09/2023

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

<b>1c - Date Sold or Disposed</b>	<b>Quantity</b>	<b>1d - Proceeds</b>	<b>Date Acquired</b>	<b>Cost or Other Basis</b>	<b>1f - Accrued Market Discount (M) &amp; 1g - Wash Sale Loss Disallowed (D)</b>	<b>Gain or Loss (-)</b>	<b>Additional Notes</b>
<b>IDEANOMICS INC COMMON STOCK   CUSIP: 45166V106   Symbol: IDEX</b>							
2022-10-27	500.000000	141.37	2022-10-26	169.37	0.00	-28.00	
<b>CALL PLTR 08/12/22 11 PALANTIR TECHNOLOGIES INC CL A   CUSIP: *8SRZQK9   Symbol: PLTR--220812C00011000</b>							
2022-08-08	2.000000	17.88	2022-08-08	32.10	0.00	-14.22	
<b>MERCADOLIBRE INC   CUSIP: 58733R102   Symbol: MELI</b>							
2022-11-03	24.000000	21,652.53	2022-11-03	21,599.76	0.00	52.77	
<b>MEDAVAIL HOLDINGS INC COMMON STOCK   CUSIP: 58406B103   Symbol: MDVL</b>							
2022-02-24	1950.000000	2,104.83	Various	2,542.16	437.33 D	-437.33	
2022-02-25	990.000000	1,098.76	2022-02-24	1,343.62	0.00	-244.86	
2022-03-04	10.000000	9.49	2022-02-24	13.68	0.00	-4.19	
2022-04-08	1000.000000	1,929.86	2022-04-07	1,470.00	0.00	459.86	
2022-04-18	1000.000000	2,734.85	2022-04-14	1,570.00	0.00	1,164.85	
2022-04-19	1000.000000	2,124.85	2022-04-18	3,100.00	975.15 D	-975.15	
2022-04-20	200.000000	522.96	2022-04-19	703.01	180.05 D	-180.05	
2022-05-03	500.000000	915.42	2022-05-02	1,437.53	522.11 D	-522.11	
2022-05-10	1000.000000	2,159.85	2022-05-09	2,997.20	385.16 D	-837.35	
2022-05-11	500.000000	1,074.92	2022-05-10	1,535.16	0.00	-460.24	
<b>Security Totals:</b>		<b>14,675.79</b>		<b>16,712.36</b>		<b>-2,036.57</b>	
<b>MARKER THERAPEUTICS INC COMMON STOCK   CUSIP: 57055L107   Symbol: MRKR</b>							
2022-03-03	10000.000000	4,448.67	2022-03-01	4,365.95	0.00	82.72	
2022-03-18	100.000000	43.02	2022-03-03	44.56	0.00	-1.54	
<b>Security Totals:</b>		<b>4,491.69</b>		<b>4,410.51</b>		<b>81.18</b>	

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Apex Clearing

## Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B\*

5NE15717

(continued)

02/09/2023

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

<b>1c - Date Sold or Disposed</b>	<b>Quantity</b>	<b>1d - Proceeds</b>	<b>Date Acquired</b>	<b>Cost or Other Basis</b>	<b>1f - Accrued Market Discount (M) &amp; 1g - Wash Sale Loss Disallowed (D)</b>	<b>Gain or Loss (-)</b>	<b>Additional Notes</b>
<b>MULLEN AUTOMOTIVE INC COMMON STOCK   CUSIP: 62526P109   Symbol: MULN</b>							
2022-03-08	1000.000000	1,099.86	2022-03-07	1,112.07	12.21 D	-12.21	
2022-03-09	500.000000	604.97	Various	570.09	0.00	34.88	
2022-03-14	1000.000000	1,564.86	Various	1,291.21	0.00	273.65	
2022-03-21	7.000000	22.66	2022-03-11	8.96	0.00	13.70	
<b>Security Totals:</b>		<b>3,292.35</b>		<b>2,982.33</b>		<b>310.02</b>	
<b>***NORWEGIAN CRUISE LINE HOLDINGS LTD ORDINARY SHARES   CUSIP: G66721104   Symbol: NCLH</b>							
2022-11-01	1.000000	17.17	2022-10-31	16.66	0.00	0.51	
<b>NOVABAY PHARMACEUTICALS INC COM   CUSIP: 66987P201   Symbol: #N022774</b>							
2022-05-09	100.000000	22.48	2022-04-21	26.00	0.00	-3.52	
<b>***NIO INC AMERICAN DEPOSITARY SHARES ECH RPRSNTNG ONE CL A ORD SHARE   CUSIP: 62914V106   Symbol: NIO</b>							
2022-10-18	500.000000	6,409.78	2022-10-17	6,250.00	0.00	159.78	
2022-10-21	1.000000	10.75	2022-10-20	10.99	0.00	-0.24	
2022-11-29	500.000000	5,249.80	2022-11-29	5,298.75	0.00	-48.95	
<b>Security Totals:</b>		<b>11,670.33</b>		<b>11,559.74</b>		<b>110.59</b>	
<b>PUT FDX 12/16/22 170 FEDEX CORP   CUSIP: *8NXGWX1   Symbol: FDX---221216P00170000</b>							
2022-12-16	4.000000	111.83	2022-12-16	132.16	0.00	-20.33	
<b>***CENNTRO ELECTRIC GROUP LIMITED ORDINARY SHARES   CUSIP: Q6519V120   Symbol: CENN</b>							
2022-03-10	500.000000	674.92	2022-03-07	815.00	0.00	-140.08	

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Apex Clearing

## Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B\*

5NE15717

(continued)

02/09/2023

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

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<b>1c - Date Sold or Disposed</b>	<b>Quantity</b>	<b>1d - Proceeds</b>	<b>Date Acquired</b>	<b>Cost or Other Basis</b>	<b>1f - Accrued Market Discount (M) &amp; 1g - Wash Sale Loss Disallowed (D)</b>	<b>Gain or Loss (-)</b>	<b>Additional Notes</b>
<b>NEOLEUKIN THERAPEUTICS INC COMMON STOCK   CUSIP: 64049K104   Symbol: NLTX</b>							
2022-03-07	500.000000	1,159.92	Various	1,172.60	16.64 D	-12.68	
2022-03-08	500.000000	1,149.92	2022-03-04	1,181.59	31.67 D	-31.67	
2022-03-10	990.000000	2,405.65	Various	2,367.42	15.64 D	38.23	
2022-03-18	1000.000000	2,099.85	Various	2,375.66	275.81 D	-275.81	
2022-03-21	1000.000000	2,019.95	Various	2,457.45	437.50 D	-437.50	
2022-03-23	1000.000000	1,889.86	Various	2,379.90	490.04 D	-490.04	
2022-03-29	1000.000000	1,749.86	Various	2,349.78	599.92 D	-599.92	
2022-03-31	1000.000000	1,876.86	Various	2,568.81	691.95 D	-691.95	
2022-04-05	500.000000	1,074.92	Various	1,483.15	408.23 D	-408.23	
2022-04-12	1000.000000	1,589.86	Various	2,801.13	1,211.27 D	-1,211.27	
2022-04-14	2346.000000	3,284.08	Various	4,771.41	1,528.01 D	-1,487.33	
2022-04-18	1000.000000	1,303.96	2022-04-14	2,974.90	1,670.94 D	-1,670.94	
2022-04-19	1000.000000	1,339.86	2022-04-18	3,068.17	892.95 D	-1,728.31	
2022-04-21	500.000000	739.92	2022-04-20	1,658.83	0.00	-918.91	
<b>Security Totals:</b>		<b>23,684.47</b>		<b>33,610.80</b>		<b>-9,926.33</b>	
<b>NEXTPLAY TECHNOLOGIES INC COMMON STOCK   CUSIP: 65344G102   Symbol: NXTP</b>							
2022-01-03	1100.000000	940.79	Various	898.08	0.00	42.71	
<b>***NU HOLDINGS LTD CLASS A ORDINARY SHARES   CUSIP: G6683N103   Symbol: NU</b>							
2022-11-15	1000.000000	4,909.85	2022-11-14	4,637.01	0.00	272.84	
2022-11-16	1000.000000	4,839.75	2022-11-15	5,049.20	0.00	-209.45	
<b>Security Totals:</b>		<b>9,749.60</b>		<b>9,686.21</b>		<b>63.39</b>	
<b>PLUG POWER INC   CUSIP: 72919P202   Symbol: PLUG</b>							
2022-11-28	250.000000	3,884.88	2022-11-25	3,937.48	0.00	-52.60	
<b>CALL PLL 07/15/22 40 PIEDMONT LITHIUM INC   CUSIP: *8GNQHN8   Symbol: PLL---220715C00040000</b>							
2022-07-11	2.000000	49.87	2022-07-08	220.10	0.00	-170.23	

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Apex Clearing

# Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B\*

5NE15717

(continued)

02/09/2023

OMB No. 1545-0715

## SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS

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### 1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PALANTIR TECHNOLOGIES INC CLASS A COMMON STOCK   CUSIP: 69608A108   Symbol: PLTR							
2022-03-03	1.000000	11.44	2022-02-22	10.41	0.00	1.03	
CALL UPST 07/15/22 27.50 UPSTART HOLDINGS INC   CUSIP: *8STXLT6   Symbol: UPST--220715C00027500							
2022-07-11	1.000000	137.93	2022-07-08	153.05	0.00	-15.12	
PROSHARES TRUST PROSHARES BITCOIN STRATEGY ETF   CUSIP: 74347G440   Symbol: BITO							
2022-10-18	1.000000	12.03	2022-10-17	12.06	0.00	-0.03	
***PAYSAFE LIMITED COMMON SHARES   CUSIP: G6964L107   Symbol: #P055710							
2022-03-03	1.000000	3.11	2022-03-02	3.16	0.00	-0.05	
RED CAT HOLDINGS INC COMMON STOCK   CUSIP: 75644T100   Symbol: RCAT							
2022-12-13	1.000000	1.18	2022-12-09	1.17	0.00	0.01	
***STANDARD LITHIUM LTD COM   CUSIP: 853606101   Symbol: SLI							
2022-03-22	100.000000	705.98	2022-03-21	670.00	0.00	35.98	
2022-05-16	100.000000	551.97	2022-05-13	572.80	0.00	-20.83	
<b>Security Totals:</b>		<b>1,257.95</b>		<b>1,242.80</b>		<b>15.15</b>	
SNAP INC CLASS A COMMON STOCK   CUSIP: 83304A106   Symbol: SNAP							
2022-11-14	1000.000000	11,369.60	2022-11-11	10,910.00	0.00	459.60	
2022-11-21	1000.000000	9,939.84	2022-11-21	10,119.90	0.00	-180.06	
<b>Security Totals:</b>		<b>21,309.44</b>		<b>21,029.90</b>		<b>279.54</b>	

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Apex Clearing

## Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B\*

5NE15717

(continued)

02/09/2023

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

<b>1c - Date Sold or Disposed</b>	<b>Quantity</b>	<b>1d - Proceeds</b>	<b>Date Acquired</b>	<b>Cost or Other Basis</b>	<b>1f - Accrued Market Discount (M) &amp; 1g - Wash Sale Loss Disallowed (D)</b>	<b>Gain or Loss (-)</b>	<b>Additional Notes</b>
<b>SENSEONICS HOLDINGS INC COMMON STOCK \$0.001 PAR VALUE   CUSIP: 81727U105   Symbol: SENS</b>							
2022-02-15	158.000000	317.93	2022-02-15	334.96	17.03 D	-17.03	
2022-02-17	1000.000000	1,949.84	Various	2,099.74	149.90 D	-149.90	
2022-02-25	1000.000000	1,764.86	Various	1,878.40	113.89 D	-113.54	
2022-02-28	1000.000000	1,809.86	Various	1,983.65	173.79 D	-173.79	
2022-03-01	1000.000000	1,874.86	Various	2,083.65	0.26 D	-208.79	
2022-03-21	1.000000	1.81	2022-03-01	2.17	0.36 D	-0.36	
2022-04-05	1000.000000	1,934.86	2022-04-04	1,998.96	64.10 D	-64.10	
2022-04-28	1000.000000	1,459.86	2022-04-27	1,604.00	0.00	-144.14	
2022-11-16	1000.000000	1,219.85	2022-11-15	1,279.40	0.00	-59.55	
<b>Security Totals:</b>		<b>12,333.73</b>		<b>13,264.93</b>		<b>-931.20</b>	
<b>***SHOPIFY INC CL A   CUSIP: 82509L107   Symbol: SHOP</b>							
2022-11-11	250.000000	9,137.26	2022-11-10	8,812.50	0.00	324.76	
<b>SKILLZ INC CLASS A COMMON STOCK   CUSIP: 83067L109   Symbol: SKLZ</b>							
2022-03-03	1.000000	2.65	2022-02-14	4.04	0.00	-1.39	
2022-04-20	500.000000	1,154.92	2022-04-19	1,164.10	9.18 D	-9.18	
2022-04-26	500.000000	1,154.92	2022-04-25	1,164.13	0.00	-9.21	
<b>Security Totals:</b>		<b>2,312.49</b>		<b>2,332.27</b>		<b>-19.78</b>	
<b>***STONECO LTD CLASS A COMMON SHARES   CUSIP: G85158106   Symbol: STNE</b>							
2022-10-18	1.000000	10.98	2022-10-17	10.85	0.00	0.13	
2022-11-09	500.000000	5,324.80	2022-11-08	5,409.75	0.00	-84.95	
2022-12-20	500.000000	4,614.82	2022-12-19	4,630.00	0.00	-15.18	
<b>Security Totals:</b>		<b>9,950.60</b>		<b>10,050.60</b>		<b>-100.00</b>	
<b>STEM INC COMMON STOCK   CUSIP: 85859N102   Symbol: STEM</b>							
2022-05-09	100.000000	838.98	2022-05-06	884.00	0.00	-45.02	

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Apex Clearing

## Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B\*

5NE15717

(continued)

02/09/2023

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

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<b>SONIM TECHNOLOGIES INC COMMON STOCK   CUSIP: 83548F200   Symbol: SONM</b>							
2022-03-07	95.000000	55.21	2022-03-01	66.50	0.00	-11.29	
2022-03-18	5.000000	7.06	2022-03-01	3.50	0.00	3.56	
<b>Security Totals:</b>		<b>62.27</b>		<b>70.00</b>		<b>-7.73</b>	
<b>***SNOW LAKE RES LTD COM NEW   CUSIP: 83336J208   Symbol: LITM</b>							
2022-12-13	1002.000000	2,268.39	Various	2,454.80	0.20 D	-186.41	
<b>***SHARPLINK GAMING LTD ORDINARY SHARES   CUSIP: M8273L102   Symbol: SBET</b>							
2022-10-27	10000.000000	7,948.57	2022-10-26	7,948.81	0.00	-0.24	
<b>THERAPEUTICSMD INC COMMON STOCK   CUSIP: 88338N107   Symbol: #T014812</b>							
2022-02-16	1075.000000	268.82	Various	270.06	0.00	-1.24	
2022-03-03	25.000000	5.50	2022-02-15	6.29	0.00	-0.79	
<b>Security Totals:</b>		<b>274.32</b>		<b>276.35</b>		<b>-2.03</b>	
<b>TONIX PHARMACEUTICALS HOLDING CORP COMMON STOCK   CUSIP: 890260706   Symbol: #T032968</b>							
2022-03-04	100.000000	21.05	2022-03-03	27.10	0.00	-6.05	
<b>UPSTART HOLDINGS INC COMMON STOCK   CUSIP: 91680M107   Symbol: UPST</b>							
2022-10-18	1.000000	24.55	2022-10-17	23.45	0.00	1.10	
2022-10-21	10.000000	224.88	2022-10-20	229.70	0.00	-4.82	
<b>Security Totals:</b>		<b>249.43</b>		<b>253.15</b>		<b>-3.72</b>	
<b>UIPATH INC CLASS A COMMON STOCK   CUSIP: 90364P105   Symbol: PATH</b>							
2022-04-06	49.000000	1,019.18	2022-04-05	1,125.45	0.00	-106.27	
2022-04-12	1.000000	20.28	2022-04-05	22.97	0.00	-2.69	
2022-11-14	1000.000000	12,449.58	2022-11-11	12,499.90	0.00	-50.32	
<b>Security Totals:</b>		<b>13,489.04</b>		<b>13,648.32</b>		<b>-159.28</b>	

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Apex Clearing

## Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B\*

5NE15717

(continued)

02/09/2023

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

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1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis			
VERB TECHNOLOGY COMPANY INC COMMON STOCK   CUSIP: 92337U104   Symbol: VERB							
2022-05-16	1000.000000	325.46	Various	451.45	136.17 D	-125.99	
2022-05-18	1500.000000	669.38	Various	648.67	65.54 D	20.71	
2022-05-19	1500.000000	719.93	Various	774.44	54.51 D	-54.51	
2022-05-20	400.000000	200.26	2022-05-18	216.01	0.00	-15.75	
2022-05-26	100.000000	51.98	2022-05-18	54.00	0.00	-2.02	
2022-06-16	1000.000000	401.86	Various	464.98	0.00	-63.12	
2022-10-19	1000.000000	359.56	2022-10-18	356.03	0.39 D	3.53	
2022-10-24	1000.000000	341.96	2022-10-18	357.19	0.00	-15.23	
<b>Security Totals:</b>		<b>3,070.39</b>		<b>3,322.77</b>		<b>-252.38</b>	
***VEON LTD ADS   CUSIP: 91822M106   Symbol: VEON							
2022-03-04	500.000000	184.92	Various	160.37	0.00	24.55	
VYNE THERAPEUTICS INC COMMON STOCK   CUSIP: 92941V209   Symbol: VYNE							
2022-04-12	100.000000	53.98	2022-04-11	60.00	0.00	-6.02	
WM TECHNOLOGY INC CLASS A COMMON STOCK   CUSIP: 92971A109   Symbol: MAPS							
2022-10-19	500.000000	881.61	2022-10-18	949.60	0.00	-67.99	
***XPENG INC ADS EACH REPRESENTING TWO CLASS A ORDINARY SHARES   CUSIP: 98422D105   Symbol: XPEV							
2022-12-01	1.000000	9.89	2022-11-30	10.55	0.00	-0.66	
PUT WMT 12/16/22 145 WALMART INC   CUSIP: *8NQRLT5   Symbol: WMT---221216P00145000							
2022-12-12	1.000000	128.94	2022-12-09	139.04	0.00	-10.10	
CALL GOOGL 11/18/22 100 ALPHABET INC CLASS A ADJ 20:1 STOCK SPLIT   CUSIP: *8GKTBW4   Symbol: GOOGL-221118C00100000							
2022-11-11	10.000000	399.59	2022-11-10	160.38	0.00	239.21	
2022-11-14	10.000000	259.59	2022-11-11	420.38	0.00	-160.79	
<b>Security Totals:</b>		<b>659.18</b>		<b>580.76</b>		<b>78.42</b>	
CALL AMZN 11/18/22 100 AMAZON.COM INC ADJ 20:1 STOCK SPLIT   CUSIP: *8STZSM1   Symbol: AMZN--221118C00100000							
2022-11-11	10.000000	1,879.55	2022-11-10	370.38	0.00	1,509.17	

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Apex Clearing

## Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B\*

5NE15717

(continued)

02/09/2023

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

<b>1c - Date Sold or Disposed</b>	<b>Quantity</b>	<b>1d - Proceeds</b>	<b>Date Acquired</b>	<b>Cost or Other Basis</b>	<b>1f - Accrued Market Discount (M) &amp; 1g - Wash Sale Loss Disallowed (D)</b>	<b>Gain or Loss (-)</b>	<b>Additional Notes</b>
EXELA TECHNOLOGIES INC COMMON STOCK   CUSIP: 30162V706   Symbol: XELA							
2022-08-05	25.000000	38.23	2022-08-03	39.75	0.00	-1.52	
PUT META 11/18/22 105 META PLATFORMS INC CL A   CUSIP: *8PSMZ5   Symbol: META--221118P00105000							
2022-11-18	1.000000	0.94	2022-11-17	25.04	0.00	-24.10	
CALL META 12/16/22 17 ROUNDHILL BALL METaverse ETF   CUSIP: *8CTBXH6   Symbol: #8CTBXH6							
2022-01-05	3.000000	329.84	Various	690.14	129.08 D	-360.30	
PUT GS 12/16/22 360 GOLDMAN SACHS GROUP INC   CUSIP: *8SVFYS9   Symbol: GS----221216P00360000							
2022-11-17	1.000000	534.93	2022-11-16	540.04	0.00	-5.11	
PUT GS 12/16/22 375 GOLDMAN SACHS GROUP INC   CUSIP: *8SVFY5   Symbol: GS----221216P00375000							
2022-12-01	1.000000	369.94	2022-11-30	710.04	0.00	-340.10	
CALL SDOW 03/17/23 26 PROSHARES ULTRAPRO SHORT DOW30   CUSIP: *8LKVXH7   Symbol: SDOW--230317C00026000							
2022-11-29	1.000000	329.94	2022-11-21	377.04	0.00	-47.10	
CALL TSLA 11/18/22 200 TESLA INC ADJ 3:1 STOCK SPLIT   CUSIP: *8NKDRJ4   Symbol: TSLA--221118C00200000							
2022-11-11	1.000000	233.94	2022-11-10	335.04	0.00	-101.10	
PUT TSLA 04/14/22 1050 TESLA INC   CUSIP: *8LCGNR2   Symbol: TSLA--220414P01050000							
2022-04-06	1.000000	3,049.92	2022-04-05	1,875.05	0.00	1,174.87	
PUT TSLA 04/14/22 1000 TESLA INC   CUSIP: *8LCGNQ7   Symbol: TSLA--220414P01000000							
2022-04-08	1.000000	1,699.93	2022-04-07	1,960.05	0.00	-260.12	
CALL TSLA 11/18/22 210 TESLA INC ADJ 3:1 STOCK SPLIT   CUSIP: *8NKDRV3   Symbol: TSLA--221118C00210000							
2022-11-16	3.000000	92.87	2022-11-15	559.12	0.00	-466.25	
CALL UPST 04/14/22 110 UPSTART HOLDINGS INC   CUSIP: *8LTRGW8   Symbol: UPST--220414C00110000							
2022-04-05	1.000000	799.93	2022-04-01	840.05	0.00	-40.12	

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Apex Clearing

## Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B\*

5NE15717

(continued)

02/09/2023

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

<b>1c - Date Sold or Disposed</b>	<b>Quantity</b>	<b>1d - Proceeds</b>	<b>Date Acquired</b>	<b>Cost or Other Basis</b>	<b>1f - Accrued Market Discount (M) &amp; 1g - Wash Sale Loss Disallowed (D)</b>	<b>Gain or Loss (-)</b>	<b>Additional Notes</b>
CALL LAC 05/20/22	27.50	LITHIUM AMERICAS CORP   CUSIP: *8LVTQS2   Symbol: LAC---220520C00027500					
2022-05-02	1.000000	129.93	2022-04-28	170.05	40.12 D	-40.12	
2022-05-04	3.000000	479.82	2022-05-03	580.27	60.23 D	-100.45	
2022-05-05	1.000000	204.93	2022-05-04	240.28	0.00	-35.35	
<b>Security Totals:</b>		<b>814.68</b>		<b>990.60</b>		<b>-175.92</b>	
CALL MSFT 10/28/22	255	MICROSOFT CORP   CUSIP: *8SYWQK3   Symbol: MSFT--221028C00255000					
2022-10-26	1.000000	7.93	2022-10-25	355.05	0.00	-347.12	
CALL VXX 12/16/22	15	IPATH SRS B S&P 500 VIX SHRT   CUSIP: *8LTSWT8   Symbol: VXX--221216C00015000					
2022-12-06	1.000000	56.94	2022-12-05	70.04	0.00	-13.10	
PUT AAPL 10/28/22	146	APPLE INC   CUSIP: *8SYPQD4   Symbol: AAPL--221028P00146000					
2022-10-28	1.000000	12.93	2022-10-27	325.05	0.00	-312.12	
PUT MELI 10/28/22	800	MERCADOLIBRE INC   CUSIP: *8SYWLN0   Symbol: MELI--221028P00800000					
2022-10-20	1.000000	2,769.87	2022-10-20	3,250.05	480.18 D	-480.18	
2022-10-25	1.000000	1,219.91	2022-10-24	2,290.23	0.00	-1,070.32	
<b>Security Totals:</b>		<b>3,989.78</b>		<b>5,540.28</b>		<b>-1,550.50</b>	
PUT META 10/28/22	125	META PLATFORMS INC CL A   CUSIP: *8SYWLX4   Symbol: META--221028P00125000					
2022-10-27	1.000000	2,574.88	2022-10-26	455.05	0.00	2,119.83	
PUT NVDA 01/20/23	150	NVIDIA CORPORATION ADJ 4:1 STOCK SPLIT   CUSIP: *8NKVWS1   Symbol: NVDA--230120P00150000					
2022-12-07	1.000000	746.93	2022-12-06	785.04	0.00	-38.11	
CALL COST 12/16/22	500	COSTCO WHOLESALE CORPORATION   CUSIP: *8GYDX8   Symbol: COST--221216C00500000					
2022-12-14	2.000000	273.90	2022-12-13	740.08	0.00	-466.18	
PUT NFLX 10/28/22	285	NETFLIX INC   CUSIP: *8SYWTV6   Symbol: NFLX--221028P00285000					
2022-10-25	1.000000	534.92	2022-10-24	715.05	0.00	-180.13	
PUT TSLA 05/20/22	700	TESLA INC   CUSIP: *8NMJJG5   Symbol: TSLA--220520P00700000					
2022-05-18	1.000000	536.92	2022-05-18	700.05	0.00	-163.13	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B\*

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(continued)

02/09/2023

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

**Box 5:** Box Not Checked (Covered Security)

**Box 6:** Gross (unless indicated as Net in Additional Notes Column)

**Box 12:** Basis Reported to the IRS

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**1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT PINS 10/28/22	21	PINTEREST INC CLASS A   CUSIP: *8SYXHK8   Symbol: PINS--221028P00021000					
2022-10-28	1.000000	0.93	2022-10-27	147.05	0.00	-146.12	
PUT SPY 10/24/22	365	STANDARD & POORS DEPOSITORY   CUSIP: *8PWYTS6   Symbol: SPY---221024P00365000					
2022-10-21	1.000000	159.93	2022-10-20	281.05	0.00	-121.12	
CALL ABNB 10/28/22	120	AIRBNB INC   CUSIP: *8SYPRH1   Symbol: ABNB--221028C00120000					
2022-10-26	1.000000	162.93	2022-10-25	175.05	0.00	-12.12	
CALL UPST 10/28/22	22	UPSTART HOLDINGS INC   CUSIP: *8SYYWC4   Symbol: UPST--221028C00022000					
2022-10-24	10.000000	769.47	2022-10-21	1,283.50	514.03 D	-514.03	
2022-10-25	10.000000	1,939.44	2022-10-25	1,447.53	0.00	491.91	
<b>Security Totals:</b>		<b>2,708.91</b>		<b>2,731.03</b>		<b>-22.12</b>	
PUT SPY 10/26/22	375	STANDARD & POORS DEPOSITORY   CUSIP: *8GPPXY0   Symbol: SPY---221026P00375000					
2022-10-25	1.000000	140.93	2022-10-24	361.05	0.00	-220.12	
PUT SPY 11/04/22	378	STANDARD & POORS DEPOSITORY   CUSIP: *8LWNVF2   Symbol: SPY---221104P00378000					
2022-11-03	1.000000	724.93	2022-11-02	357.04	0.00	367.89	
PUT SPY 11/04/22	380	STANDARD & POORS DEPOSITORY   CUSIP: *8LWNXC4   Symbol: SPY---221104P00380000					
2022-10-28	1.000000	543.92	2022-10-27	548.05	0.00	-4.13	
PUT SPY 11/04/22	370	STANDARD & POORS DEPOSITORY   CUSIP: *8LWNJV0   Symbol: SPY---221104P00370000					
2022-11-03	1.000000	275.94	2022-11-02	156.04	0.00	119.90	
PUT SPY 11/04/22	365	STANDARD & POORS DEPOSITORY   CUSIP: *8LWNJT6   Symbol: SPY---221104P00365000					
2022-11-04	1.000000	5.94	2022-11-03	140.04	0.00	-134.10	
CALL UPS 11/18/22	185	UNITED PARCEL SVC INC   CUSIP: *8D58085   Symbol: UPS---221118C00185000					
2022-11-14	12.000000	787.42	Various	1,133.31	98.84 D	-345.89	
PUT AMZN 10/28/22	108	AMAZON.COM INC   CUSIP: *8GVKPN5   Symbol: AMZN--221028P00108000					
2022-10-28	1.000000	774.92	2022-10-27	315.05	0.00	459.87	
CALL MELI 11/04/22	900	MERCADOLIBRE INC   CUSIP: *8LPHJX5   Symbol: MELI--221104C00900000					
2022-10-27	1.000000	2,529.88	2022-10-26	3,360.05	0.00	-830.17	

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Apex Clearing

## Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B\*

5NE15717

(continued)

02/09/2023

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

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PUT SPY 11/04/22	384	STANDARD & POORS DEPOSITORY   CUSIP: *8LWNYJ6   Symbol: SPY---221104P00384000					
2022-11-02	1.000000	541.93	2022-11-01	350.04	0.00	191.89	
PUT TSLA 11/04/22	215	TESLA INC   CUSIP: *8NZCBB3   Symbol: TSLA--221104P00215000					
2022-11-01	2.000000	153.90	Various	844.10	0.00	-690.20	
PUT TSLA 11/04/22	220	TESLA INC   CUSIP: *8NZCBB5   Symbol: TSLA--221104P00220000					
2022-10-28	1.000000	534.92	2022-10-27	615.05	0.00	-80.13	
CALL UPST 11/04/22	25	UPSTART HOLDINGS INC   CUSIP: *8NDXCJ4   Symbol: UPST--221104C00025000					
2022-10-31	2.000000	147.88	2022-10-28	181.10	0.00	-33.22	
CALL MELI 10/28/22	820	MERCADOLIBRE INC   CUSIP: *8SYWLN7   Symbol: MELI--221028C00820000					
2022-10-20	1.000000	4,749.83	2022-10-20	3,620.05	0.00	1,129.78	
2022-10-24	1.000000	3,479.86	2022-10-21	3,000.05	0.00	479.81	
2022-10-25	1.000000	5,179.82	2022-10-24	2,810.05	0.00	2,369.77	
<b>Security Totals:</b>		<b>13,409.51</b>		<b>9,430.15</b>		<b>3,979.36</b>	
PUT SPY 11/02/22	385	STANDARD & POORS DEPOSITORY   CUSIP: *8W35961   Symbol: SPY---221102P00385000					
2022-11-02	1.000000	415.94	2022-11-01	232.04	0.00	183.90	
PUT MELI 10/28/22	835	MERCADOLIBRE INC   CUSIP: *8SYWLP4   Symbol: MELI--221028P00835000					
2022-10-28	1.000000	1,519.90	2022-10-27	1,080.05	0.00	439.85	
PUT SPY 11/11/22	375	STANDARD & POORS DEPOSITORY   CUSIP: *8X40757   Symbol: SPY---221111P00375000					
2022-11-09	1.000000	266.94	2022-11-08	380.04	0.00	-113.10	
AMERICAN VIRTUAL CLOUD TECHNOLOGIES INC COM NEW		CUSIP: 030382204   Symbol: AVCT					
2022-10-18	1.000000	2.60	2022-10-18	2.22	0.00	0.38	
PUT SPY 11/07/22	365	STANDARD & POORS DEPOSITORY   CUSIP: *8W60352   Symbol: SPY---221107P00365000					
2022-11-04	1.000000	20.94	2022-11-03	203.04	0.00	-182.10	
CALL TQQQ 11/11/22	19	PROSHARES ULTRAPRO QQQ   CUSIP: *8X44417   Symbol: TQQQ--221111C00019000					
2022-11-07	10.000000	619.58	2022-11-04	710.39	0.00	-90.81	

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## Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B\*

5NE15717

(continued)

02/09/2023

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

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CALL TSLA 03/18/22	800 TESLA INC ADJ 5:1 STOCK SPLIT   CUSIP: *8PDSSR4   Symbol: TSLA--220318C00800000						
2022-03-16	1.000000	4,299.91	2022-03-15	2,100.05	0.00	2,199.86	
CALL APPN 11/18/22	45 APPIAN CORPORATION   CUSIP: *8CWNLG0   Symbol: APPN--221118C00045000						
2022-11-16	1.000000	4.94	2022-11-15	35.04	0.00	-30.10	
CALL TSLA 10/28/22	220 TESLA INC   CUSIP: *8SYYPZ9   Symbol: TSLA--221028C00220000						
2022-10-21	1.000000	274.93	2022-10-20	575.05	300.12 D	-300.12	
2022-10-24	1.000000	194.93	2022-10-21	740.17	0.00	-545.24	
<b>Security Totals:</b>		<b>469.86</b>		<b>1,315.22</b>		<b>-845.36</b>	
CALL AMZN 12/16/22	95 AMAZON.COM INC ADJ 20:1 STOCK SPLIT   CUSIP: *8STZVH9   Symbol: AMZN--221216C00095000						
2022-12-13	10.000000	1,819.55	2022-12-13	1,885.38	0.00	-65.83	
CALL SHOP 11/25/22	35 SHOPIFY INC CL A   CUSIP: *8503380   Symbol: SHOP--221125C00035000						
2022-11-04	10.000000	1,929.55	2022-11-03	2,328.40	0.00	-398.85	
PUT META 11/25/22	105 META PLATFORMS INC CL A   CUSIP: *8PXYS9   Symbol: META--221125P00105000						
2022-11-18	5.000000	224.78	2022-11-17	510.19	0.00	-285.41	
CALL NIO 11/25/22	12 NIO INC ADS   CUSIP: *8487680   Symbol: NIO---221125C00012000						
2022-11-15	5.000000	234.78	2022-11-14	313.20	78.42 D	-78.42	
2022-11-16	10.000000	299.59	2022-11-15	603.80	0.00	-304.21	
<b>Security Totals:</b>		<b>534.37</b>		<b>917.00</b>		<b>-382.63</b>	
CALL CRWD 11/18/22	145 CROWDSTRIKE HOLDINGS INC CL A   CUSIP: *8LLRDT2   Symbol: CRWD--221118C00145000						
2022-11-15	1.000000	494.93	2022-11-14	283.04	0.00	211.89	
CALL PLUG 11/25/22	20 PLUG POWER INC   CUSIP: *8493452   Symbol: PLUG--221125C00020000						
2022-11-14	5.000000	64.79	2022-11-11	144.19	0.00	-79.40	
CALL NIO 10/28/22	11.50 NIO INC ADS   CUSIP: *8PYBNP3   Symbol: NIO---221028C00011500						
2022-10-21	10.000000	229.48	2022-10-20	590.49	0.00	-361.01	

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Apex Clearing

## Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B\*

5NE15717

(continued)

02/09/2023

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

<b>1c - Date Sold or Disposed</b>	<b>Quantity</b>	<b>1d - Proceeds</b>	<b>Date Acquired</b>	<b>Cost or Other Basis</b>	<b>1f - Accrued Market Discount (M) &amp; 1g - Wash Sale Loss Disallowed (D)</b>	<b>Gain or Loss (-)</b>	<b>Additional Notes</b>
PUT DIA 11/11/22	320	SPDR DOW JONES INDL AVERAGE	CUSIP: *8X08561   Symbol: DIA---221111P00320000				
2022-11-03	1.000000	509.93	2022-11-02	370.04	0.00	139.89	
2022-11-04	1.000000	274.94	2022-11-03	600.04	0.00	-325.10	
<b>Security Totals:</b>		<b>784.87</b>		<b>970.08</b>		<b>-185.21</b>	
CALL VXX 11/11/22	18.50	IPATH SRS B S&P 500 VIX SHRT	CUSIP: *8X48799   Symbol: VXX---221111C00018500				
2022-11-10	5.000000	19.79	2022-11-09	195.19	0.00	-175.40	
PUT NFLX 10/28/22	270	NETFLIX INC	CUSIP: *8SYWTV0   Symbol: NFLX--221028P00270000				
2022-10-24	1.000000	216.93	2022-10-21	790.05	0.00	-573.12	
CALL MELI 10/28/22	850	MERCADOLIBRE INC	CUSIP: *8SYWLP9   Symbol: MELI--221028C00850000				
2022-10-27	1.000000	1,119.91	2022-10-26	2,210.05	0.00	-1,090.14	
PUT NCLH 11/04/22	16.50	NORWEIGIAN CRUISE LINE HOLDING	CUSIP: *8LRDZT4   Symbol: NCLH--221104P00016500				
2022-11-02	10.000000	399.59	2022-11-01	409.38	0.00	-9.79	
CALL MELI 10/28/22	845	MERCADOLIBRE INC	CUSIP: *8SYWLP7   Symbol: MELI--221028C00845000				
2022-10-26	1.000000	1,989.89	2022-10-25	2,780.05	0.00	-790.16	
CALL PLUG 11/25/22	17.50	PLUG POWER INC	CUSIP: *8493442   Symbol: PLUG--221125C00017500				
2022-11-15	5.000000	554.76	2022-11-14	346.20	0.00	208.56	
CALL UPST 11/25/22	25	UPSTART HOLDINGS INC	CUSIP: *8522460   Symbol: UPST--221125C00025000				
2022-11-16	1.000000	35.94	2022-11-15	76.04	0.00	-40.10	
CALL CFLT 11/18/22	22.50	CONFLUENT INC	CUSIP: *8J26342   Symbol: CFLT--221118C00022500				
2022-11-14	5.000000	824.78	2022-11-11	490.19	0.00	334.59	
PUT GD 10/21/22	235	GENERAL DYNAMICS	CUSIP: *8SBPBC5   Symbol: GD----221021P00235000				
2022-10-21	1.000000	14.93	2022-10-20	89.05	0.00	-74.12	
PUT MELI 10/28/22	825	MERCADOLIBRE INC	CUSIP: *8SYWLP0   Symbol: MELI--221028P00825000				
2022-10-21	1.000000	4,229.84	2022-10-20	3,020.05	0.00	1,209.79	
PUT SQQQ 11/11/22	58	PROSHARES ULTRAPRO SHORT QQQ	CUSIP: *8X40863   Symbol: SQQQ--221111P00058000				
2022-11-07	10.000000	2,669.53	2022-11-04	2,417.39	0.00	252.14	

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Apex Clearing

## Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B\*

5NE15717

(continued)

02/09/2023

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

<b>1c - Date Sold or Disposed</b>	<b>Quantity</b>	<b>1d - Proceeds</b>	<b>Date Acquired</b>	<b>Cost or Other Basis</b>	<b>1f - Accrued Market Discount (M) &amp; 1g - Wash Sale Loss Disallowed (D)</b>	<b>Gain or Loss (-)</b>	<b>Additional Notes</b>
PUT TQQQ 11/25/22	21 PROSHARES ULTRAPRO QQQ   CUSIP: *8518531   Symbol: TQQQ--221125P00021000						
2022-11-11	1.000000	114.94	2022-11-10	169.04	0.00	-54.10	
CALL PLUG 12/02/22	16.50 PLUG POWER INC   CUSIP: *8E57741   Symbol: PLUG--221202C00016500						
2022-11-18	1.000000	85.94	2022-11-17	95.04	0.00	-9.10	
CALL PLUG 12/02/22	17 PLUG POWER INC   CUSIP: *8E57766   Symbol: PLUG--221202C00017000						
2022-10-26	1.000000	165.93	2022-10-25	179.05	0.00	-13.12	
PUT NFLX 11/04/22	290 NETFLIX INC   CUSIP: *8LRVHF8   Symbol: NFLX--221104P00290000						
2022-11-02	1.000000	1,119.92	2022-11-01	535.04	0.00	584.88	
PUT ZM 11/25/22	80 ZOOM VIDEO COMMUNICATIONS INC   CUSIP: *8PWLDPO   Symbol: ZM----221125P00080000						
2022-11-22	1.000000	639.93	2022-11-21	520.04	0.00	119.89	
CALL PLUG 11/25/22	16 PLUG POWER INC   CUSIP: *8E57441   Symbol: PLUG--221125C00016000						
2022-11-11	10.000000	1,509.56	2022-11-10	876.38	0.00	633.18	
CALL AAPL 11/18/22	148 APPLE INC   CUSIP: *8PYXPX2   Symbol: AAPL--221118C00148000						
2022-11-15	1.000000	489.93	2022-11-14	255.04	0.00	234.89	
CALL TSLA 11/18/22	202.50 TESLA INC   CUSIP: *8SBMQB7   Symbol: TSLA--221118C00202500						
2022-11-15	1.000000	364.94	2022-11-14	260.04	0.00	104.90	
PUT GS 11/11/22	340 GOLDMAN SACHS GROUP INC   CUSIP: *8X16378   Symbol: GS----221111P00340000						
2022-11-04	1.000000	163.94	2022-11-03	480.04	0.00	-316.10	
PUT PLUG 12/02/22	16.50 PLUG POWER INC   CUSIP: *8E57753   Symbol: PLUG--221202P00016500						
2022-10-26	1.000000	165.93	2022-10-25	166.05	0.00	-0.12	
CALL LAC 12/16/22	25 LITHIUM AMERICAS CORP   CUSIP: *8KZLYS5   Symbol: LAC---221216C00025000						
2022-12-01	10.000000	1,199.57	2022-11-30	1,100.38	0.00	99.19	
2022-12-02	5.000000	574.78	2022-12-01	661.20	0.00	-86.42	
<b>Security Totals:</b>		<b>1,774.35</b>		<b>1,761.58</b>		<b>12.77</b>	
CALL RTX 11/18/22	96 RAYTHEON TECHNOLOGIES CORP   CUSIP: *8SBKBT2   Symbol: RTX---221118C00096000						
2022-11-16	1.000000	89.94	2022-11-15	95.04	0.00	-5.10	

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Apex Clearing

## Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B\*

5NE15717

(continued)

02/09/2023

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

<b>1c - Date Sold or Disposed</b>	<b>Quantity</b>	<b>1d - Proceeds</b>	<b>Date Acquired</b>	<b>Cost or Other Basis</b>	<b>1f - Accrued Market Discount (M) &amp; 1g - Wash Sale Loss Disallowed (D)</b>	<b>Gain or Loss (-)</b>	<b>Additional Notes</b>			
PUT MELI 10/28/22	865	MERCADOLIBRE INC   CUSIP: *8SYWLQ6   Symbol: MELI--221028P00865000	2022-10-26	1.000000	3,109.86	2022-10-25	2,340.05	0.00	769.81	
PUT MELI 10/28/22	845	MERCADOLIBRE INC   CUSIP: *8SYWLP8   Symbol: MELI--221028P00845000	2022-10-27	2.000000	3,789.79	Various	4,360.26	200.16 D	-570.47	
CALL MELI 11/04/22	850	MERCADOLIBRE INC   CUSIP: *8LPHHR7   Symbol: MELI--221104C00850000	2022-10-28	1.000000	4,059.84	2022-10-28	4,000.05	0.00	59.79	
CALL MRK 11/18/22	102	MERCK & CO INC   CUSIP: *8PZVWN5   Symbol: MRK---221118C00102000	2022-11-15	5.000000	224.79	2022-11-14	441.20	0.00	-216.41	
CALL AAPL 12/09/22	150	APPLE INC   CUSIP: *8SDLKM1   Symbol: AAPL--221209C00150000	2022-12-02	5.000000	504.78	2022-12-01	995.19	0.00	-490.41	
PUT META 12/09/22	119	META PLATFORMS INC CL A   CUSIP: *8V00589   Symbol: META--221209P00119000	2022-12-07	1.000000	504.93	2022-12-06	204.04	0.00	300.89	
CALL TSLA 12/09/22	200	TESLA INC   CUSIP: *8W45336   Symbol: TSLA--221209C00200000	2022-12-02	1.000000	379.94	2022-12-01	620.04	0.00	-240.10	
PUT DIA 11/11/22	325	SPDR DOW JONES INDL AVERAGE   CUSIP: *8X08565   Symbol: DIA---221111P00325000	2022-11-10	1.000000	25.94	2022-11-09	320.04	0.00	-294.10	
CALL AMZN 12/02/22	100	AMAZON.COM INC   CUSIP: *8BVLWH4   Symbol: AMZN--221202C00100000	2022-12-01	10.000000	229.59	2022-11-30	160.38	0.00	69.21	
CALL VXX 11/04/22	17.50	IPATH SRS B S&P 500 VIX SHRT   CUSIP: *8NGSWH9   Symbol: VXX---221104C00017500	2022-11-01	10.000000	379.56	2022-10-31	543.50	163.94 D	-163.94	
			2022-11-02	10.000000	463.56	2022-11-01	594.33	0.00	-130.77	
<b>Security Totals:</b>				<b>843.12</b>			<b>1,137.83</b>		<b>-294.71</b>	
PUT SPY 11/28/22	390	STANDARD & POORS DEPOSITORY   CUSIP: *8PWMZV5   Symbol: SPY---221128P00390000	2022-11-21	1.000000	161.94	2022-11-21	204.04	0.00	-42.10	
CALL PLUG 12/02/22	16	PLUG POWER INC   CUSIP: *8E57683   Symbol: PLUG--221202C00016000	2022-11-21	1.000000	36.94	2022-11-18	84.04	0.00	-47.10	

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Apex Clearing

## Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B\*

5NE15717

(continued)

02/09/2023

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

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PUT MELI 11/04/22	850	MERCADOLIBRE INC   CUSIP: *8LPHHS6   Symbol: MELI--221104P00850000					
2022-11-01	1.000000	1,609.91	2022-10-31	3,350.05	0.00	-1,740.14	
PUT BLK 11/04/22	645	BLACKROCK INC   CUSIP: *8PBWNB9   Symbol: BLK---221104P00645000					
2022-11-01	1.000000	661.93	2022-10-31	940.05	0.00	-278.12	
PUT NCLH 11/11/22	16.50	NORWEIGIAN CRUISE LINE HOLDING   CUSIP: *8X28231   Symbol: NCLH--221111P00016500					
2022-11-08	5.000000	119.77	2022-11-07	370.19	0.00	-250.42	
PUT BLK 11/04/22	650	BLACKROCK INC   CUSIP: *8GXBQH1   Symbol: BLK---221104P00650000					
2022-11-01	1.000000	769.93	2022-11-01	872.04	0.00	-102.11	
CALL MELI 11/04/22	875	MERCADOLIBRE INC   CUSIP: *8LPHJF9   Symbol: MELI--221104C00875000					
2022-11-03	1.000000	3,579.86	2022-11-03	3,740.04	0.00	-160.18	
CALL VXX 12/09/22	17.50	IPATH SRS B S&P 500 VIX SHRT   CUSIP: *8W48491   Symbol: VXX---221209C00017500					
2022-11-09	5.000000	749.78	2022-11-08	630.20	0.00	119.58	
PUT NCLH 11/11/22	16	NORWEIGIAN CRUISE LINE HOLDING   CUSIP: *8X28224   Symbol: NCLH--221111P00016000					
2022-11-03	5.000000	374.79	2022-11-02	365.20	0.00	9.59	
PUT BLK 11/04/22	635	BLACKROCK INC   CUSIP: *8PBWNB0   Symbol: BLK---221104P00635000					
2022-11-03	1.000000	819.93	2022-11-02	680.04	0.00	139.89	
PUT AAPL 12/23/22	130	APPLE INC   CUSIP: *8221479   Symbol: AAPL--221223P00130000					
2022-12-20	1.000000	169.94	2022-12-19	113.04	0.00	56.90	
CALL MELI 11/11/22	925	MERCADOLIBRE INC   CUSIP: *8482652   Symbol: MELI--221111C00925000					
2022-11-03	1.000000	2,959.88	2022-11-03	3,500.04	0.00	-540.16	
CALL UPST 11/25/22	20	UPSTART HOLDINGS INC   CUSIP: *8522380   Symbol: UPST--221125C00020000					
2022-11-07	5.000000	1,019.77	2022-11-04	1,196.19	176.42 D	-176.42	
2022-11-11	10.000000	2,169.55	2022-11-10	1,236.81	0.00	932.74	
<b>Security Totals:</b>		<b>3,189.32</b>		<b>2,433.00</b>		<b>756.32</b>	
CALL TEAM 11/18/22	140	ATLASSIAN CORPORATION CL A   CUSIP: *8PWJQS4   Symbol: TEAM--221118C00140000					
2022-11-15	1.000000	499.93	2022-11-14	285.04	0.00	214.89	

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Apex Clearing

## Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B\*

5NE15717

(continued)

02/09/2023

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

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CALL AAPL 12/09/22	146	APPLE INC   CUSIP: *8SDLKL1   Symbol: AAPL--221209C00146000					
2022-12-01	5.000000	1,999.75	2022-11-30	1,336.19	0.00	663.56	
CALL PLUG 12/09/22	16	PLUG POWER INC   CUSIP: *8V12223   Symbol: PLUG--221209C00016000					
2022-12-01	10.000000	659.58	2022-11-30	570.38	0.00	89.20	
PUT MELI 11/11/22	900	MERCADOLIBRE INC   CUSIP: *8X25877   Symbol: MELI--221111P00900000					
2022-11-10	1.000000	149.94	2022-11-09	1,680.04	0.00	-1,530.10	
PUT MELI 11/11/22	925	MERCADOLIBRE INC   CUSIP: *8482656   Symbol: MELI--221111P00925000					
2022-11-07	4.000000	7,899.62	2022-11-07	10,340.58	2,440.96 D	-2,440.96	
2022-11-08	1.000000	2,549.89	2022-11-07	3,250.45	0.00	-700.56	
2022-11-09	1.000000	2,969.88	2022-11-08	1,850.17	0.00	1,119.71	
<b>Security Totals:</b>		<b>13,419.39</b>		<b>15,441.20</b>		<b>-2,021.81</b>	
CALL VXX 12/23/22	17.50	IPATH SRS B S&P 500 VIX SHRT   CUSIP: *8564149   Symbol: VXX---221223C00017500					
2022-11-08	1.000000	157.94	2022-11-07	138.04	0.00	19.90	
PUT DIA 12/02/22	330	SPDR DOW JONES INDL AVERAGE   CUSIP: *8CWBYZ1   Symbol: DIA---221202P00330000					
2022-11-30	10.000000	639.58	2022-11-30	640.39	0.00	-0.81	
CALL PLUG 12/02/22	15.50	PLUG POWER INC   CUSIP: *8E57672   Symbol: PLUG--221202C00015500					
2022-11-22	10.000000	529.55	2022-11-21	586.39	0.00	-56.84	
PUT DIA 12/09/22	337	SPDR DOW JONES INDL AVERAGE   CUSIP: *8237033   Symbol: DIA---221209P00337000					
2022-12-07	1.000000	240.94	2022-12-06	204.04	0.00	36.90	
CALL UPST 12/23/22	17	UPSTART HOLDINGS INC   CUSIP: *8562320   Symbol: UPST--221223C00017000					
2022-12-08	1.000000	126.94	2022-12-06	135.04	0.00	-8.10	
PUT DIA 11/18/22	333	SPDR DOW JONES INDL AVERAGE   CUSIP: *8573971   Symbol: DIA---221118P00333000					
2022-11-18	1.000000	10.94	2022-11-17	196.04	0.00	-185.10	
CALL SPY 11/18/22	411	STANDARD & POORS DEPOSITORY   CUSIP: *8PYPMX7   Symbol: SPY---221118C00411000					
2022-11-16	3.000000	41.87	2022-11-15	255.11	0.00	-213.24	

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## Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B\*

5NE15717

(continued)

02/09/2023

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

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CALL UPST 11/25/22	22	UPSTART HOLDINGS INC   CUSIP: *8522437   Symbol: UPST--221125C00022000					
2022-11-15	5.000000	574.78	2022-11-14	515.20	0.00	59.58	
PUT DIA 12/02/22	335	SPDR DOW JONES INDL AVERAGE   CUSIP: *8CWBYZ3   Symbol: DIA---221202P00335000					
2022-11-30	1.000000	129.94	2022-11-29	208.04	0.00	-78.10	
PUT GLD 11/25/22	163.50	SPDR GOLD TR   CUSIP: *8PXCHS5   Symbol: GLD---221125P00163500					
2022-11-18	1.000000	111.94	2022-11-17	112.04	0.00	-0.10	
PUT GS 12/02/22	375	GOLDMAN SACHS GROUP INC   CUSIP: *8GDSJF8   Symbol: GS----221202P00375000					
2022-11-18	1.000000	569.93	2022-11-17	670.04	0.00	-100.11	
PUT AXON 12/16/22	165	AXON ENTERPRISE INC   CUSIP: *8GJQKV1   Symbol: AXON--221216P00165000					
2022-12-12	1.000000	174.94	2022-12-09	225.04	0.00	-50.10	
PUT BLK 12/16/22	700	BLACKROCK INC   CUSIP: *8H80243   Symbol: BLK---221216P00700000					
2022-12-13	1.000000	194.94	2022-12-12	1,350.04	1,155.10 D	-1,155.10	
2022-12-14	1.000000	309.94	2022-12-13	1,360.14	0.00	-1,050.20	
<b>Security Totals:</b>		<b>504.88</b>		<b>2,710.18</b>		<b>-2,205.30</b>	
PUT BLK 12/16/22	710	BLACKROCK INC   CUSIP: *8H80246   Symbol: BLK---221216P00710000					
2022-12-09	1.000000	1,619.91	2022-12-09	1,420.04	0.00	199.87	
CALL QCOM 11/18/22	131	QUALCOMM INC   CUSIP: *8PYPDJ2   Symbol: QCOM--221118C00131000					
2022-11-16	5.000000	69.78	2022-11-15	445.20	0.00	-375.42	
PUT FICO 12/16/22	580	FAIR ISAAC INC   CUSIP: *8SDLSC8   Symbol: FICO--221216P00580000					
2022-11-14	1.000000	2,399.89	2022-11-11	2,110.04	0.00	289.85	
PUT MELI 12/30/22	850	MERCADOLIBRE INC   CUSIP: *8UBDJG1   Symbol: MELI--221230P00850000					
2022-12-28	1.000000	1,019.92	2022-12-27	1,350.04	0.00	-330.12	
PUT BLK 11/25/22	700	BLACKROCK INC   CUSIP: *8451127   Symbol: BLK---221125P00700000					
2022-11-21	1.000000	134.94	2022-11-17	910.04	0.00	-775.10	
CALL BLK 11/18/22	745	BLACKROCK INC   CUSIP: *8PBGRF1   Symbol: BLK---221118C00745000					
2022-11-14	1.000000	1,089.92	2022-11-14	980.04	0.00	109.88	

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Apex Clearing

## Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B\*

5NE15717

(continued)

02/09/2023

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

<b>1c - Date Sold or Disposed</b>	<b>Quantity</b>	<b>1d - Proceeds</b>	<b>Date Acquired</b>	<b>Cost or Other Basis</b>	<b>1f - Accrued Market Discount (M) &amp; 1g - Wash Sale Loss Disallowed (D)</b>	<b>Gain or Loss (-)</b>	<b>Additional Notes</b>
CALL SE 11/25/22	46.50 SEA LTD   CUSIP: *8PYBRF6   Symbol: SE----						
2022-11-15	1.000000	1,239.92	2022-11-14	400.04	0.00	839.88	
CALL BLK 12/23/22	700 BLACKROCK INC   CUSIP: *8229783   Symbol: BLK---						
2022-12-21	1.000000	1,099.92	2022-12-20	620.04	0.00	479.88	
PUT BLK 11/18/22	725 BLACKROCK INC   CUSIP: *8570198   Symbol: BLK---						
2022-11-15	1.000000	349.94	2022-11-14	670.04	0.00	-320.10	
PUT FICO 12/16/22	600 FAIR ISAAC INC   CUSIP: *8SBZSC1   Symbol: FICO--						
2022-11-16	1.000000	1,999.90	2022-11-15	2,150.04	150.14 D	-150.14	
2022-12-06	1.000000	1,149.92	2022-12-06	1,650.18	500.26 D	-500.26	
2022-12-08	1.000000	879.92	2022-12-07	1,750.30	870.38 D	-870.38	
2022-12-09	1.000000	649.93	2022-12-08	1,520.42	0.00	-870.49	
<b>Security Totals:</b>		<b>4,679.67</b>		<b>7,070.94</b>		<b>-2,391.27</b>	
PUT GS 12/30/22	350 GOLDMAN SACHS GROUP INC   CUSIP: *8UBCJL4   Symbol: GS----						
2022-12-16	1.000000	844.93	2022-12-15	525.04	0.00	319.89	
CALL SPY 11/17/22	400 STANDARD & POORS DEPOSITORY   CUSIP: *8C72429   Symbol: SPY---						
2022-11-17	1.000000	3.94	2022-11-16	51.04	0.00	-47.10	
PUT SPY 11/17/22	390 STANDARD & POORS DEPOSITORY   CUSIP: *8C72161   Symbol: SPY---						
2022-11-17	1.000000	125.94	2022-11-16	48.04	0.00	77.90	
PUT AXON 12/16/22	190 AXON ENTERPRISE INC   CUSIP: *8GDYQG7   Symbol: AXON--						
2022-11-18	1.000000	859.93	2022-11-17	878.04	0.00	-18.11	
PUT FICO 12/16/22	570 FAIR ISAAC INC   CUSIP: *8SDLSC6   Symbol: FICO--						
2022-11-18	1.000000	2,289.89	2022-11-17	2,130.04	0.00	159.85	
2022-11-23	1.000000	1,159.92	2022-11-22	1,900.04	0.00	-740.12	
<b>Security Totals:</b>		<b>3,449.81</b>		<b>4,030.08</b>		<b>-580.27</b>	
CALL UPST 12/09/22	17.50 UPSTART HOLDINGS INC   CUSIP: *8595384   Symbol: UPST--						
2022-12-08	10.000000	149.59	2022-12-07	380.38	0.00	-230.79	

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Apex Clearing

## Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B\*

5NE15717

(continued)

02/09/2023

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

<b>1c - Date Sold or Disposed</b>	<b>Quantity</b>	<b>1d - Proceeds</b>	<b>Date Acquired</b>	<b>Cost or Other Basis</b>	<b>1f - Accrued Market Discount (M) &amp; 1g - Wash Sale Loss Disallowed (D)</b>	<b>Gain or Loss (-)</b>	<b>Additional Notes</b>
PUT AXON 12/16/22	185 AXON ENTERPRISE INC   CUSIP: *8GDYPS6   Symbol: AXON--221216P00185000						
2022-11-21	1.000000	779.93	2022-11-18	680.04	0.00	99.89	
PUT FICO 12/16/22	550 FAIR ISAAC INC   CUSIP: *8SDLSC2   Symbol: FICO--221216P00550000						
2022-11-21	1.000000	1,239.92	2022-11-18	1,720.04	0.00	-480.12	
CALL BABA 12/16/22	91 ALIBABA GROUP HOLDING LIMITED   CUSIP: *8GHGZS7   Symbol: BABA--221216C00091000						
2022-12-01	1.000000	235.94	2022-11-30	420.04	0.00	-184.10	
CALL UPST 12/16/22	19 UPSTART HOLDINGS INC   CUSIP: *8GTSPQ3   Symbol: UPST--221216C00019000						
2022-12-14	1.000000	18.94	2022-12-13	75.04	0.00	-56.10	
PUT NKE 12/09/22	106 NIKE INC CLASS B   CUSIP: *8BXWLS4   Symbol: NKE---221209P00106000						
2022-12-06	10.000000	509.58	2022-12-06	765.38	0.00	-255.80	
PUT MELI 12/02/22	945 MERCADOLIBRE INC   CUSIP: *8GFHJF6   Symbol: MELI--221202P00945000						
2022-11-22	1.000000	2,809.88	2022-11-22	3,312.04	502.16 D	-502.16	
2022-11-23	1.000000	2,639.88	2022-11-22	3,352.20	0.00	-712.32	
<b>Security Totals:</b>		<b>5,449.76</b>		<b>6,664.24</b>		<b>-1,214.48</b>	
PUT MELI 12/02/22	940 MERCADOLIBRE INC   CUSIP: *8GFHJF2   Symbol: MELI--221202P00940000						
2022-11-25	1.000000	2,359.89	2022-11-23	2,600.04	0.00	-240.15	
PUT BLK 12/02/22	730 BLACKROCK INC   CUSIP: *8GCWBM9   Symbol: BLK---221202P00730000						
2022-11-29	3.000000	4,009.75	Various	3,260.12	0.00	749.63	
CALL BLK 12/09/22	715 BLACKROCK INC   CUSIP: *8GHMDC1   Symbol: BLK---221209C00715000						
2022-12-01	1.000000	1,069.92	2022-11-30	1,000.04	0.00	69.88	
CALL SDOW 12/16/22	25.50 PROSHARES ULTRAPRO SHORT DOW30   CUSIP: *8NXXFY6   Symbol: SDOW--221216C00025500						
2022-12-15	1.000000	124.94	2022-12-14	35.04	0.00	89.90	
PUT MELI 12/09/22	850 MERCADOLIBRE INC   CUSIP: *8V00539   Symbol: MELI--221209P00850000						
2022-12-07	1.000000	999.92	2022-12-06	1,020.04	0.00	-20.12	
PUT BLK 12/02/22	720 BLACKROCK INC   CUSIP: *8GCWBF3   Symbol: BLK---221202P00720000						
2022-11-30	2.000000	2,399.85	2022-11-29	1,900.08	0.00	499.77	

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Apex Clearing

## Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B\*

5NE15717

(continued)

02/09/2023

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

<b>1c - Date Sold or Disposed</b>	<b>Quantity</b>	<b>1d - Proceeds</b>	<b>Date Acquired</b>	<b>Cost or Other Basis</b>	<b>1f - Accrued Market Discount (M) &amp; 1g - Wash Sale Loss Disallowed (D)</b>	<b>Gain or Loss (-)</b>	<b>Additional Notes</b>
PUT BLK 12/02/22	690	BLACKROCK INC   CUSIP: *8GCVYH9   Symbol: BLK---221202P00690000					
2022-12-01	1.000000	29.94	2022-11-30	600.04	0.00	-570.10	
CALL SDOW 12/09/22	26.50	PROSHARES ULTRAPRO SHORT DOW30   CUSIP: *8LQRZT1   Symbol: SDOW--221209C00026500					
2022-12-01	1.000000	9.94	2022-11-30	80.04	0.00	-70.10	
PUT BLK 12/02/22	700	BLACKROCK INC   CUSIP: *8GCVYJ1   Symbol: BLK---221202P00700000					
2022-12-01	1.000000	129.94	2022-11-30	860.04	0.00	-730.10	
CALL MELI 12/02/22	920	MERCADOLIBRE INC   CUSIP: *8GFHHZ8   Symbol: MELI--221202C00920000					
2022-12-01	1.000000	2,809.88	2022-11-30	1,700.04	0.00	1,109.84	
CALL BLK 12/09/22	725	BLACKROCK INC   CUSIP: *8GHMDC5   Symbol: BLK---221209C00725000					
2022-12-01	1.000000	649.93	2022-11-30	620.04	0.00	29.89	
2022-12-02	1.000000	299.94	2022-12-01	919.04	0.00	-619.10	
<b>Security Totals:</b>		<b>949.87</b>		<b>1,539.08</b>		<b>-589.21</b>	
PUT MELI 12/09/22	925	MERCADOLIBRE INC   CUSIP: *8583375   Symbol: MELI--221209P00925000					
2022-12-02	1.000000	2,499.89	2022-12-01	2,956.04	456.15 D	-456.15	
2022-12-06	1.000000	4,779.84	2022-12-05	2,606.19	0.00	2,173.65	
<b>Security Totals:</b>		<b>7,279.73</b>		<b>5,562.23</b>		<b>1,717.50</b>	
PUT BLK 12/30/22	700	BLACKROCK INC   CUSIP: *8SCFWB3   Symbol: BLK---221230P00700000					
2022-12-27	1.000000	679.93	2022-12-23	720.04	40.11 D	-40.11	
2022-12-28	1.000000	372.94	2022-12-27	600.15	0.00	-227.21	
<b>Security Totals:</b>		<b>1,052.87</b>		<b>1,320.19</b>		<b>-267.32</b>	
PUT BLK 12/09/22	700	BLACKROCK INC   CUSIP: *8SZYGY3   Symbol: BLK---221209P00700000					
2022-12-07	1.000000	549.93	2022-12-06	950.04	0.00	-400.11	
CALL BLK 12/09/22	710	BLACKROCK INC   CUSIP: *8SZYGY4   Symbol: BLK---221209C00710000					
2022-12-05	1.000000	599.93	2022-12-02	690.04	0.00	-90.11	
PUT MELI 12/09/22	930	MERCADOLIBRE INC   CUSIP: *8583377   Symbol: MELI--221209P00930000					
2022-12-05	1.000000	2,199.89	2022-12-02	2,000.04	0.00	199.85	

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Apex Clearing

## Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B\*

5NE15717

(continued)

02/09/2023

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

<b>1c - Date Sold or Disposed</b>	<b>Quantity</b>	<b>1d - Proceeds</b>	<b>Date Acquired</b>	<b>Cost or Other Basis</b>	<b>1f - Accrued Market Discount (M) &amp; 1g - Wash Sale Loss Disallowed (D)</b>	<b>Gain or Loss (-)</b>	<b>Additional Notes</b>
PUT DIA 12/23/22	338 SPDR DOW JONES INDL AVERAGE	CUSIP: *8237187   Symbol: DIA---221223P00338000					
2022-12-15	1.000000	634.93	2022-12-14	345.04	0.00	289.89	
PUT BLK 01/06/23	700 BLACKROCK INC	CUSIP: *8LPYYD2   Symbol: BLK---230106P00700000					
2022-12-29	1.000000	949.92	2022-12-28	1,030.04	0.00	-80.12	
PUT MRNA 12/23/22	200 MODERNA INC	CUSIP: *8NVPZJ3   Symbol: MRNA--221223P00200000					
2022-12-16	1.000000	350.94	2022-12-15	574.04	223.10 D	-223.10	
2022-12-19	1.000000	934.92	2022-12-16	828.14	0.00	106.78	
<b>Security Totals:</b>		<b>1,285.86</b>		<b>1,402.18</b>		<b>-116.32</b>	
CALL UPST 12/23/22	15 UPSTART HOLDINGS INC	CUSIP: *8NZSLJ3   Symbol: UPST--221223C00015000					
2022-12-20	10.000000	379.59	2022-12-20	395.37	0.00	-15.78	
PUT TEAM 12/16/22	148 ATLISSIAN CORPORATION CL A	CUSIP: *8SCLZX9   Symbol: TEAM--221216P00148000					
2022-12-16	1.000000	224.94	2022-12-15	340.04	0.00	-115.10	
PUT BLK 12/23/22	690 BLACKROCK INC	CUSIP: *8229781   Symbol: BLK---221223P00690000					
2022-12-16	1.000000	1,149.92	2022-12-15	1,050.04	0.00	99.88	
2022-12-19	1.000000	759.93	2022-12-16	1,150.04	0.00	-390.11	
<b>Security Totals:</b>		<b>1,909.85</b>		<b>2,200.08</b>		<b>-290.23</b>	
PUT MELI 12/23/22	825 MERCADOLIBRE INC	CUSIP: *8537975   Symbol: MELI--221223P00825000					
2022-12-16	1.000000	2,009.90	2022-12-15	2,405.04	0.00	-395.14	
CALL UPST 12/23/22	15.50 UPSTART HOLDINGS INC	CUSIP: *8UBMKV6   Symbol: UPST--221223C00015500					
2022-12-19	5.000000	184.79	2022-12-16	299.19	0.00	-114.40	
CALL AAPL 01/06/23	129 APPLE INC	CUSIP: *8LPSPY4   Symbol: AAPL--230106C00129000					
2022-12-30	1.000000	250.94	2022-12-29	296.04	0.00	-45.10	
PUT MELI 12/23/22	870 MERCADOLIBRE INC	CUSIP: *8538015   Symbol: MELI--221223P00870000					
2022-12-20	1.000000	799.93	2022-12-20	1,100.04	0.00	-300.11	
PUT MELI 12/23/22	880 MERCADOLIBRE INC	CUSIP: *8538019   Symbol: MELI--221223P00880000					
2022-12-20	1.000000	1,199.92	2022-12-19	2,000.04	0.00	-800.12	

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Apex Clearing

**Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B\***

5NE15717

(continued)

02/09/2023

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

<b>1c - Date Sold or Disposed</b>	<b>Quantity</b>	<b>1d - Proceeds</b>	<b>Date Acquired</b>	<b>Cost or Other Basis</b>	<b>1f - Accrued Market Discount (M) &amp; 1g - Wash Sale Loss Disallowed (D)</b>	<b>Gain or Loss (-)</b>	<b>Additional Notes</b>
PUT MELI 12/23/22	890	MERCADOLIBRE INC   CUSIP: *8538045   Symbol: MELI--221223P00890000					
2022-12-21	2.000000	3,199.83	Various	3,870.08	0.00	-670.25	
PUT BLK 12/30/22	710	BLACKROCK INC   CUSIP: *8SCFWB5   Symbol: BLK---221230P00710000					
2022-12-22	1.000000	1,169.92	2022-12-21	950.04	0.00	219.88	
2022-12-23	1.000000	1,299.92	2022-12-22	1,250.04	0.00	49.88	
<b>Security Totals:</b>		<b>2,469.84</b>		<b>2,200.08</b>		<b>269.76</b>	
PUT MELI 12/30/22	870	MERCADOLIBRE INC   CUSIP: *8UBDJG9   Symbol: MELI--221230P00870000					
2022-12-23	1.000000	2,609.89	2022-12-22	2,040.04	0.00	569.85	
PUT MELI 01/06/23	845	MERCADOLIBRE INC   CUSIP: *8NGVHW4   Symbol: MELI--230106P00845000					
2022-12-29	1.000000	2,239.89	2022-12-28	2,100.04	0.00	139.85	
CALL TEAM 01/06/23	129	ATLASSIAN CORPORATION CL A   CUSIP: *8PFSQD6   Symbol: TEAM--230106C00129000					
2022-12-30	1.000000	339.94	2022-12-29	400.04	0.00	-60.10	
CALL BLK 01/06/23	725	BLACKROCK INC   CUSIP: *8UBNYY9   Symbol: BLK---230106C00725000					
2022-12-30	1.000000	459.93	2022-12-29	750.04	0.00	-290.11	
<b>Totals:</b>		<b>569,373.75</b>		<b>610,102.31</b>		<b>-40,728.56</b>	

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Apex Clearing

# Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B\*

5NE15717

(continued)

02/09/2023

OMB No. 1545-0715

## SHORT-TERM TRANSACTIONS FOR NONCOVERED TAX LOTS

Report on Form 8949, Part I with Box B checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Checked (Noncovered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Not Reported to the IRS

**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.**

### 1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PROSHARES TR II ULTRA VIX SHORT TERM FUTURES ETF NEW 2021   CUSIP: 74347Y839   Symbol: UVXY							
2022-10-20	500.000000	6,169.78	Various	6,163.21	0.00	6.57	
2022-10-26	500.000000	5,580.55	2022-10-25	5,595.00	0.00	-14.45	
2022-10-28	1000.000000	10,379.73	2022-10-27	10,414.27	0.00	-34.54	
2022-10-31	1000.000000	9,919.74	2022-10-28	10,139.65	0.00	-219.91	
2022-11-01	1000.000000	9,629.64	2022-10-31	9,999.60	0.00	-369.96	
2022-11-02	1000.000000	9,740.44	2022-11-01	9,629.80	0.00	110.64	
2022-11-03	1000.000000	10,109.63	2022-11-02	10,060.00	0.00	49.63	
2022-11-08	250.000000	2,375.64	2022-11-07	2,366.23	0.00	9.41	
2022-11-16	250.000000	2,293.89	Various	2,286.11	0.00	7.78	
2022-11-17	1001.000000	9,199.64	Various	9,239.20	0.00	-39.56	
2022-11-29	1000.000000	8,076.75	2022-11-28	7,999.90	0.00	76.85	
2022-11-30	1000.000000	8,044.68	2022-11-29	8,154.95	0.00	-110.27	
2022-12-06	1000.000000	7,249.75	2022-12-05	7,354.33	0.00	-104.58	
<b>Security Totals:</b>		<b>98,769.86</b>		<b>99,402.25</b>		<b>-632.39</b>	
<b>Totals:</b>		<b>98,769.86</b>		<b>99,402.25</b>		<b>-632.39</b>	

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The detailed transactional information below is furnished only for your information. Only the aggregate profit/loss information in each section is reported to the IRS. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

**PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS**

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL UVXY 07/29/22 13 PROSHARES ULTRA VIX SHORT TERM CLOSING CONTRACT	07/29/2022						
CUSIP:	@13.00	07/28/2022	1.00	Sell	4.05	0.94	-3.11
CALL UVXY 07/29/22 13 PROSHARES ULTRA VIX SHORT TERM CLOSING CONTRACT	07/29/2022						
CUSIP:	@13.00	07/27/2022	1.00	Sell	59.05	8.95	-50.10
CALL UVXY 05/20/22 16.50 PROSHARES ULTRA VIX SHORT TERM CLOSING CONTRACT	05/20/2022						
CUSIP:	@16.50	05/18/2022	1.00	Sell	86.05	34.93	-51.12
CALL UVXY 05/27/22 16.50 PROSHARES ULTRA VIX SHORT TERM CLOSING CONTRACT	05/27/2022						
CUSIP:	@16.50	05/19/2022	1.00	Sell	141.05	247.93	106.88
CALL UVXY 07/29/22 13 PROSHARES ULTRA VIX SHORT TERM CLOSING CONTRACT	07/29/2022						
CUSIP:	@13.00	07/28/2022	1.00	Sell	2.05	0.94	-1.11
CALL UVXY 11/11/22 11.50 PROSHARES ULTRA VIX SHORT TERM CLOSING CONTRACT	11/11/2022						
CUSIP:	@11.50	10/28/2022	1.00	Sell	59.05	30.95	-28.10
CALL UVXY 11/18/22 12 PROSHARES ULTRA VIX SHORT TERM CLOSING CONTRACT	11/18/2022						
CUSIP:	@12.00	10/25/2022	5.00	Sell	665.25	474.72	-190.53
CALL UVXY 11/11/22 12 PROSHARES ULTRA VIX SHORT TERM CLOSING CONTRACT	11/11/2022						
CUSIP:	@12.00	10/28/2022	2.00	Sell	132.10	51.87	-80.23
CALL UVXY 11/18/22 12 PROSHARES ULTRA VIX SHORT TERM CLOSING CONTRACT	11/18/2022						
CUSIP:	@12.00	10/25/2022	1.00	Sell	133.05	94.95	-38.10
CALL UVXY 11/25/22 10.50 PROSHARES ULTRA VIX SHORT TERM CLOSING CONTRACT	11/25/2022						
CUSIP:	@10.50	10/31/2022	5.00	Sell	400.25	289.73	-110.52
CALL UVXY 12/16/22 10 PROSHARES ULTRA VIX SHORT TERM CLOSING CONTRACT	12/16/2022						
CUSIP:	@10.00	11/03/2022	5.00	Sell	575.19	614.77	39.58

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## Regulated Futures Contracts &amp; Section 1256 Options

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## PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL UVXY 11/11/22 10.50 PROSHARES ULTRA VIX SHORT TERM CLOSING CONTRACT							
	11/11/2022						
CUSIP:	@10.50	11/04/2022	10.00	Sell	400.39	159.59	-240.80
CALL UVXY 07/29/22 13 PROSHARES ULTRA VIX SHORT TERM CLOSING CONTRACT							
	07/29/2022						
CUSIP:	@13.00	07/27/2022	1.00	Sell	60.05	8.95	-51.10
	07/29/2022						
CUSIP:	@13.00	07/27/2022	1.00	Sell	68.05	8.95	-59.10
	07/29/2022						
CUSIP:	@13.00	07/27/2022	1.00	Sell	59.05	8.95	-50.10
	07/29/2022						
CUSIP:	@13.00	07/27/2022	1.00	Sell	68.05	8.95	-59.10
	07/29/2022						
CUSIP:	@13.00	07/27/2022	1.00	Sell	48.05	8.94	-39.11
CALL UVXY 11/18/22 12 PROSHARES ULTRA VIX SHORT TERM CLOSING CONTRACT							
	11/18/2022						
CUSIP:	@12.00	10/25/2022	2.00	Sell	258.10	189.89	-68.21
	11/18/2022						
CUSIP:	@12.00	10/25/2022	2.00	Sell	252.10	189.89	-62.21
CALL UVXY 12/16/22 10 PROSHARES ULTRA VIX SHORT TERM CLOSING CONTRACT							
	12/16/2022						
CUSIP:	@10.00	11/03/2022	1.00	Sell	113.04	122.96	9.92
CALL UVXY 11/04/22 10 PROSHARES ULTRA VIX SHORT TERM CLOSING CONTRACT							
	11/04/2022						
CUSIP:	@10.00	11/02/2022	4.00	Sell	100.15	103.84	3.69
CALL UVXY 12/16/22 10 PROSHARES ULTRA VIX SHORT TERM CLOSING CONTRACT							
	12/16/2022						
CUSIP:	@10.00	11/10/2022	1.00	Sell	107.04	85.96	-21.08
	12/16/2022						
CUSIP:	@10.00	11/10/2022	4.00	Sell	428.16	343.83	-84.33
CALL UVXY 12/23/22 9.50 PROSHARES ULTRA VIX SHORT TERM CLOSING CONTRACT							
	12/23/2022						
CUSIP:	@9.50	11/18/2022	3.00	Sell	326.12	236.87	-89.25
	12/23/2022						
CUSIP:	@9.50	11/18/2022	5.00	Sell	490.19	394.77	-95.42

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## Regulated Futures Contracts &amp; Section 1256 Options

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## PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL UVXY 12/23/22 9 PROSHARES ULTRA VIX SHORT TERM CLOSING CONTRACT	12/23/2022						
CUSIP:	@9.00	11/22/2022	5.00	Sell	380.20	264.78	-115.42
CALL UVXY 12/23/22 8.50 PROSHARES ULTRA VIX SHORT TERM CLOSING CONTRACT	12/23/2022						
CUSIP:	@8.50	11/25/2022	3.00	Sell	216.12	167.87	-48.25
CALL UVXY 12/16/22 8.50 PROSHARES ULTRA VIX SHORT TERM CLOSING CONTRACT	12/16/2022						
CUSIP:	@8.50	12/02/2022	5.00	Sell	160.19	109.80	-50.39
CALL UVXY 12/23/22 8 PROSHARES ULTRA VIX SHORT TERM CLOSING CONTRACT	12/23/2022						
CUSIP:	@8.00	12/15/2022	1.00	Sell	20.04	12.95	-7.09
CALL UVXY 03/17/23 8 PROSHARES ULTRA VIX SHORT TERM CLOSING CONTRACT	03/17/2023						
CUSIP:	@8.00	12/15/2022	3.00	Sell	381.11	443.86	62.75
CALL UVXY 01/27/23 8 PROSHARES ULTRA VIX SHORT TERM CLOSING CONTRACT	01/27/2023						
CUSIP:	@8.00	12/19/2022	1.00	Sell	97.04	64.95	-32.09
CUSIP:	@8.00	12/19/2022	1.00	Sell	90.04	64.96	-25.08
CUSIP:	@8.00	12/19/2022	1.00	Sell	94.04	64.96	-29.08
CUSIP:	@8.00	12/19/2022	1.00	Sell	98.04	64.96	-33.08
CUSIP:	@8.00	12/19/2022	1.00	Sell	92.04	64.96	-27.08
CALL VIX 11/16/22 31 CBOE VOLATILITY INDEX CLOSING CONTRACT	11/16/2022						
CUSIP:	@31.00	10/21/2022	1.00	Sell	211.50	199.50	-12.00
CALL VIXW 11/09/22 28 CBOE VOLATILITY INDEX CLOSING CONTRACT	11/09/2022						
CUSIP:	@28.00	10/28/2022	1.00	Sell	115.45	69.70	-45.75

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## Regulated Futures Contracts &amp; Section 1256 Options

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## PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL VIXW 11/09/22 29 CBOE VOLATILITY INDEX CLOSING CONTRACT							
	11/09/2022						
CUSIP:	@29.00	10/28/2022	1.00	Sell	130.45	57.70	-72.75
	11/09/2022						
CUSIP:	@29.00	10/28/2022	1.00	Sell	75.30	57.70	-17.60
CALL VIXW 11/09/22 28 CBOE VOLATILITY INDEX CLOSING CONTRACT							
	11/09/2022						
CUSIP:	@28.00	10/28/2022	1.00	Sell	126.45	69.70	-56.75
CALL UVXY 11/11/22 12 PROSHARES ULTRA VIX SHORT TERM CLOSING CONTRACT							
	11/11/2022						
CUSIP:	@12.00	10/28/2022	1.00	Sell	49.05	25.94	-23.11
CALL VIXW 11/09/22 29 CBOE VOLATILITY INDEX CLOSING CONTRACT							
	11/09/2022						
CUSIP:	@29.00	10/28/2022	1.00	Sell	102.45	57.70	-44.75
CALL UVXY 11/11/22 11.50 PROSHARES ULTRA VIX SHORT TERM CLOSING CONTRACT							
	11/11/2022						
CUSIP:	@11.50	10/28/2022	2.00	Sell	118.10	61.89	-56.21
CALL UVXY 12/16/22 10 PROSHARES ULTRA VIX SHORT TERM CLOSING CONTRACT							
	12/16/2022						
CUSIP:	@10.00	11/03/2022	4.00	Sell	452.15	491.82	39.67
CALL UVXY 11/18/22 10 PROSHARES ULTRA VIX SHORT TERM CLOSING CONTRACT AVERAGE PRICE TRADE DETAILS AVAILABLE UPON REQUEST							
	11/18/2022						
CUSIP:	@10.00	11/09/2022	4.00	Sell	220.16	249.42	29.26
CALL UVXY 12/16/22 10 PROSHARES ULTRA VIX SHORT TERM CLOSING CONTRACT							
	12/16/2022						
CUSIP:	@10.00	11/10/2022	5.00	Sell	535.20	429.78	-105.42
CALL UVXY 12/23/22 9.50 PROSHARES ULTRA VIX SHORT TERM CLOSING CONTRACT							
	12/23/2022						
CUSIP:	@9.50	11/21/2022	10.00	Sell	850.38	599.58	-250.80
	12/23/2022						
CUSIP:	@9.50	11/21/2022	10.00	Sell	880.38	609.57	-270.81
CALL UVXY 12/23/22 8.50 PROSHARES ULTRA VIX SHORT TERM CLOSING CONTRACT							
	12/23/2022						
CUSIP:	@8.50	11/25/2022	1.00	Sell	72.04	55.96	-16.08

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## Regulated Futures Contracts &amp; Section 1256 Options

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## PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL UVXY 12/16/22 8.50 PROSHARES ULTRA VIX SHORT TERM CLOSING CONTRACT	12/16/2022						
CUSIP:	@8.50	12/02/2022	2.00	Sell	106.08	43.92	-62.16
CALL UVXY 12/23/22 8 PROSHARES ULTRA VIX SHORT TERM CLOSING CONTRACT	12/23/2022						
CUSIP:	@8.00	12/15/2022	1.00	Sell	22.04	12.95	-9.09
CALL UVXY 03/17/23 8 PROSHARES ULTRA VIX SHORT TERM CLOSING CONTRACT	03/17/2023						
CUSIP:	@8.00	12/15/2022	1.00	Sell	185.04	147.96	-37.08
	03/17/2023						
CUSIP:	@8.00	12/15/2022	1.00	Sell	180.04	147.96	-32.08
	03/17/2023						
CUSIP:	@8.00	12/12/2022	1.00	Sell	182.04	167.94	-14.10
CALL UVXY 11/18/22 13 PROSHARES ULTRA VIX SHORT TERM CLOSING CONTRACT	11/18/2022						
CUSIP:	@13.00	10/21/2022	1.00	Sell	121.05	93.93	-27.12
CALL VIX 11/16/22 31 CBOE VOLATILITY INDEX CLOSING CONTRACT	11/16/2022						
CUSIP:	@31.00	10/21/2022	1.00	Sell	254.50	199.50	-55.00
	11/16/2022						
CUSIP:	@31.00	10/21/2022	1.00	Sell	252.50	199.50	-53.00
CALL VIXW 11/09/22 28 CBOE VOLATILITY INDEX CLOSING CONTRACT	11/09/2022						
CUSIP:	@28.00	10/28/2022	1.00	Sell	95.30	69.70	-25.60
CALL UVXY 11/04/22 10 PROSHARES ULTRA VIX SHORT TERM CLOSING CONTRACT	11/04/2022						
CUSIP:	@10.00	11/02/2022	5.00	Sell	140.20	129.79	-10.41
	11/04/2022						
CUSIP:	@10.00	11/02/2022	1.00	Sell	29.04	25.96	-3.08
CALL VIXW 11/30/22 25 CBOE VOLATILITY INDEX CLOSING CONTRACT	11/30/2022						
CUSIP:	@25.00	11/18/2022	1.00	Sell	180.44	95.71	-84.73

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## Regulated Futures Contracts &amp; Section 1256 Options

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## PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL VIX 11/16/22 31 CBOE VOLATILITY INDEX CLOSING CONTRACT							
	11/16/2022						
CUSIP:	@31.00	10/26/2022	1.00	Sell	196.45	129.55	-66.90
CALL VIXW 11/09/22 28 CBOE VOLATILITY INDEX CLOSING CONTRACT							
	11/09/2022						
CUSIP:	@28.00	10/28/2022	1.00	Sell	120.45	69.70	-50.75
CALL VIXW 11/09/22 29 CBOE VOLATILITY INDEX CLOSING CONTRACT							
	11/09/2022						
CUSIP:	@29.00	10/28/2022	1.00	Sell	102.45	57.70	-44.75
CALL VIX 11/16/22 31 CBOE VOLATILITY INDEX CLOSING CONTRACT							
	11/16/2022						
CUSIP:	@31.00	10/26/2022	1.00	Sell	174.45	129.55	-44.90
CALL UVXY 11/11/22 11.50 PROSHARES ULTRA VIX SHORT TERM CLOSING CONTRACT							
	11/11/2022						
CUSIP:	@11.50	10/28/2022	1.00	Sell	62.05	31.93	-30.12
	11/11/2022						
CUSIP:	@11.50	10/28/2022	2.00	Sell	100.10	61.89	-38.21
CALL VIXW 11/09/22 29 CBOE VOLATILITY INDEX CLOSING CONTRACT							
	11/09/2022						
CUSIP:	@29.00	10/28/2022	1.00	Sell	105.45	57.70	-47.75
CALL VIX 11/16/22 31 CBOE VOLATILITY INDEX CLOSING CONTRACT							
	11/16/2022						
CUSIP:	@31.00	10/26/2022	1.00	Sell	230.50	129.55	-100.95
CALL UVXY 11/25/22 10.50 PROSHARES ULTRA VIX SHORT TERM CLOSING CONTRACT							
	11/25/2022						
CUSIP:	@10.50	10/31/2022	4.00	Sell	324.20	235.78	-88.42
CALL VIXW 11/09/22 26 CBOE VOLATILITY INDEX CLOSING CONTRACT AVERAGE PRICE TRADE DETAILS AVAILABLE UPON REQUEST							
	11/09/2022						
CUSIP:	@26.00	11/02/2022	1.00	Sell	105.45	90.21	-15.24
CALL VIXW 11/09/22 26 CBOE VOLATILITY INDEX CLOSING CONTRACT							
	11/09/2022						
CUSIP:	@26.00	11/03/2022	6.00	Sell	752.63	574.27	-178.36

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## Regulated Futures Contracts &amp; Section 1256 Options

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## PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL UVXY 11/25/22 10.50 PROSHARES ULTRA VIX SHORT TERM CLOSING CONTRACT							
	11/25/2022						
CUSIP:	@10.50	10/31/2022	1.00	Sell	80.05	58.95	-21.10
CALL VIXW 11/09/22 26 CBOE VOLATILITY INDEX CLOSING CONTRACT AVERAGE PRICE TRADE DETAILS AVAILABLE UPON REQUEST							
	11/09/2022						
CUSIP:	@26.00	11/02/2022	5.00	Sell	426.50	451.06	24.56
	11/09/2022						
CUSIP:	@26.00	11/02/2022	4.00	Sell	549.80	360.84	-188.96
CALL VIXW 11/09/22 26 CBOE VOLATILITY INDEX CLOSING CONTRACT							
	11/09/2022						
CUSIP:	@26.00	11/03/2022	4.00	Sell	501.76	382.85	-118.91
CALL UVXY 11/18/22 10 PROSHARES ULTRA VIX SHORT TERM CLOSING CONTRACT AVERAGE PRICE TRADE DETAILS AVAILABLE UPON REQUEST							
	11/18/2022						
CUSIP:	@10.00	11/09/2022	5.00	Sell	280.19	311.78	31.59
CALL VIX 12/21/22 25 CBOE VOLATILITY INDEX CLOSING CONTRACT							
	12/21/2022						
CUSIP:	@25.00	11/09/2022	2.00	Sell	570.98	649.02	78.04
CALL VIX 11/16/22 26 CBOE VOLATILITY INDEX CLOSING CONTRACT							
	11/16/2022						
CUSIP:	@26.00	11/10/2022	5.00	Sell	817.19	348.56	-468.63
CALL UVXY 11/18/22 10 PROSHARES ULTRA VIX SHORT TERM CLOSING CONTRACT AVERAGE PRICE TRADE DETAILS AVAILABLE UPON REQUEST							
	11/18/2022						
CUSIP:	@10.00	11/09/2022	1.00	Sell	55.04	62.36	7.32
CALL VIX 12/21/22 25 CBOE VOLATILITY INDEX CLOSING CONTRACT							
	12/21/2022						
CUSIP:	@25.00	11/09/2022	1.00	Sell	279.49	324.51	45.02
	12/21/2022						
CUSIP:	@25.00	11/09/2022	2.00	Sell	568.98	649.03	80.05
CALL UVXY 12/23/22 9.50 PROSHARES ULTRA VIX SHORT TERM CLOSING CONTRACT							
	12/23/2022						
CUSIP:	@9.50	11/18/2022	1.00	Sell	92.04	78.96	-13.08
PUT VIX 12/21/22 24 CBOE VOLATILITY INDEX CLOSING CONTRACT							
	12/21/2022						
CUSIP:	@24.00	11/16/2022	1.00	Sell	135.44	144.56	9.12

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**Regulated Futures Contracts & Section 1256 Options**

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**PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS**

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL UVXY 12/23/22 9.50 PROSHARES ULTRA VIX SHORT TERM CLOSING CONTRACT	12/23/2022						
CUSIP:	@9.50	11/18/2022	1.00	Sell	116.04	78.96	-37.08
PUT VIX 12/21/22 24 CBOE VOLATILITY INDEX CLOSING CONTRACT	12/21/2022						
CUSIP:	@24.00	11/16/2022	1.00	Sell	129.44	144.57	15.13
CALL VIX 12/21/22 22 CBOE VOLATILITY INDEX CLOSING CONTRACT	12/21/2022						
CUSIP:	@22.00	11/25/2022	1.00	Sell	234.49	199.51	-34.98
CALL VIXW 11/30/22 25 CBOE VOLATILITY INDEX CLOSING CONTRACT	11/30/2022						
CUSIP:	@25.00	11/18/2022	1.00	Sell	141.44	95.71	-45.73
PUT VIX 12/21/22 24 CBOE VOLATILITY INDEX CLOSING CONTRACT	12/21/2022						
CUSIP:	@24.00	11/16/2022	1.00	Sell	160.44	144.56	-15.88
CALL UVXY 12/23/22 8.50 PROSHARES ULTRA VIX SHORT TERM CLOSING CONTRACT	12/23/2022						
CUSIP:	@8.50	11/25/2022	1.00	Sell	71.04	55.96	-15.08
CALL VIX 12/21/22 22 CBOE VOLATILITY INDEX CLOSING CONTRACT	12/21/2022						
CUSIP:	@22.00	11/25/2022	1.00	Sell	228.49	199.51	-28.98
CALL UVXY 12/16/22 8.50 PROSHARES ULTRA VIX SHORT TERM CLOSING CONTRACT	12/16/2022						
CUSIP:	@8.50	12/02/2022	8.00	Sell	384.30	175.67	-208.63
CALL VIX 12/21/22 21 CBOE VOLATILITY INDEX CLOSING CONTRACT	12/21/2022						
CUSIP:	@21.00	11/28/2022	1.00	Sell	260.49	255.51	-4.98
CALL UVXY 12/16/22 8.50 PROSHARES ULTRA VIX SHORT TERM CLOSING CONTRACT	12/16/2022						
CUSIP:	@8.50	12/01/2022	2.00	Sell	96.08	53.89	-42.19
CALL VIX 12/21/22 21 CBOE VOLATILITY INDEX CLOSING CONTRACT	12/21/2022						
CUSIP:	@21.00	11/28/2022	1.00	Sell	250.49	255.51	5.02

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.



**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (SSN, ITIN, ATIN, or EIN). However, the issuer has reported your complete TIN to the IRS.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You also may have a filing requirement. See the Instructions for Form 8938.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Nominees.** If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) (with a Form 1096) to the IRS for each of the other owners to show their share of the income, and you must furnish the respective Copy B Form(s) to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2022 General Instructions for Certain Information Returns.

**FreeFile.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

**Future developments.** For the latest information about developments related to forms and instructions, such as legislation enacted after they were published, go to [www.irs.gov/forms-instructions](http://www.irs.gov/forms-instructions).

**Keep tax documents for your records.****1099-DIV Instructions for Recipient**

**Box 1a.** Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

**Box 1b.** Shows the portion of the amount in box 1a that may be eligible for reduced capital gains rates. See the Instructions for Form 1040 for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

**Box 2a.** Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in boxes 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in box 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Form 1040.

**Box 2b.** Shows the portion of the amount in box 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

**Box 2c.** Shows the portion of the amount in box 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

**Box 2d.** Shows the portion of the amount in box 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

**Box 2e.** Shows the portion of the amount in box 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

**Box 2f.** Shows the portion of the amount in box 2a that is section 897 gain attributable to disposition of USRPI. Note: Boxes 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

**Box 3.** Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

**Box 4.** Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Box 5.** Shows the portion of the amount in box 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

**Box 6.** Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in box 1a.

**Box 7.** Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Form 1040.

**Box 8.** This box should be left blank if a RIC reported the foreign tax shown in box 7.

**Boxes 9 and 10.** Show cash and noncash liquidation distributions.

**Box 11.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You may also have a filing requirement. See the Instructions for Form 8938.

**Box 12.** Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Form 1040 for where to report. This amount may be subject to backup withholding. See Box 4 above.

**Box 13.** Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in box 12. See the Instructions for Form 6251.

**Boxes 14-16.** State income tax withheld reporting boxes.

**1099-INT Instructions for Recipient**

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer must generally report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

**Box 1.** Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in box 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during the calendar year on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

**Box 2.** Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Form 1040 to see where to take the deduction.

**Box 3.** Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in box 1. See the instructions above for a taxable covered security acquired at a premium.

**Box 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

**Box 5.** Any amount shown is your share of investment expenses of a single-class REMIC. This amount is included in box 1. Note: This amount is not deductible.

**Box 6.** Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

**Box 7.** Shows the country or U.S. possession to which the foreign tax was paid.

**Box 8.** Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Form 1040. This amount may be subject to backup withholding. See Box 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

**Box 9.** Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in box 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

**Box 10.** For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Form 1040. Market discount on a tax-exempt security is includible in taxable income as interest income.

**Box 11.** For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this box for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 1. If the amount in box 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

**Box 12.** For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this box for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 3. If the amount in box 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

**Box 13.** For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this box, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this box for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in box 8 or 9, whichever is applicable. If the amount in box 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

**Box 14.** Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s).

**Boxes 15-17.** State tax withheld reporting boxes.

**1099-B Instructions to Recipients**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040) and/or Form 8949.

**Box 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Box 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

- Box 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.
- Box 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040).
- Box 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040), or Pub. 550 for details.
- Box 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank. Box 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.
- Box 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method is generally treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.
- Box 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).
- Box 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.
- Box 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.
- Box 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "Net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.
- Box 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040) instructions. The broker should advise you of any losses on a separate statement.
- Regulated Futures Contracts, Foreign Currency Contracts, and Section 1256 Option Contracts (Boxes 8 Through 11)**
- Box 8.** Shows the profit or (loss) realized on regulated futures, foreign currency, or Section 1256 option contracts closed during 2022.
- Box 9.** Shows any year-end adjustment to the profit or (loss) shown in box 8 due to open contracts on December 31, 2021.
- Box 10.** Shows the unrealized profit or (loss) on open contracts held in your account on December 31, 2022. These are considered closed out as of that date. This will become an adjustment reported as unrealized profit or (loss) on open contracts—12/31/2022 in 2023.
- Box 11.** Boxes 8, 9, and 10 are all used to figure the aggregate profit or (loss) on regulated futures, foreign currency, or Section 1256 option contracts for the year. Include this amount on your 2022 Form 6781.
- Box 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040) as you may be able to report your transaction directly on Schedule D (Form 1040). If the "Ordinary" box in box 2 is checked, an adjustment may be required.
- Box 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.
- Boxes 14–16.** Show state(s)/local income tax information.

#### 1099-MISC Instructions to Recipients

**Amounts shown may be subject to self-employment (SE) tax.** Individuals should see the Instructions for Schedule SE (Form 1040). Corporations, fiduciaries, or partnerships must report the amounts on the appropriate line of their tax returns.

**Form 1099-MISC incorrect?** If this form is incorrect or has been issued in error, contact the payer. If you cannot get this form corrected, attach an explanation to your tax return and report your information correctly.

- Box 1.** Report rents from real estate on Schedule E (Form 1040). However, report rents on Schedule C (Form 1040) if you provided significant services to the tenant, sold real estate as a business, or rented personal property as a business. See Pub. 527.
- Box 2.** Report royalties from oil, gas, or mineral properties; copyrights; and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the Schedule E (Form 1040) instructions. For royalties on timber, coal, and iron ore, see Pub. 544.
- Box 3.** Generally, report this amount on the "Other income" line of Schedule 1 (Form 1040) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).
- Box 4.** Shows backup withholding or withholding on Indian gaming profits. Generally, a payer must backup withhold if you did not furnish your TIN. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.
- Box 5.** Shows the amount paid to you as a fishing boat crew member by the operator, who considers you to be self-employed. Self-employed individuals must report this amount on Schedule C (Form 1040). See Pub. 334.
- Box 6.** For individuals, report on Schedule C (Form 1040).
- Box 7.** If checked, consumer products totaling \$5,000 or more were sold to you for resale, on a buy-sell, a deposit-commission, or other basis. Generally, report any income from your sale of these products on Schedule C (Form 1040).
- Box 8.** Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040).
- Box 9.** Report this amount on Schedule F (Form 1040).
- Box 10.** Shows gross proceeds paid to an attorney in connection with legal services. Report only the taxable part as income on your return.
- Box 11.** Shows the amount of cash you received for the sale of fish if you are in the trade or business of catching fish.
- Box 12.** May show current year deferrals as a nonemployee under a nonqualified deferred compensation (NQDC) plan that is subject to the requirements of section 409A plus any earnings on current and prior year deferrals.
- Box 13.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You may also have a filing requirement. See the Instructions for Form 8938.
- Box 14.** Shows your total compensation of excess golden parachute payments subject to a 20% excise tax. See your tax return instructions for where to report.
- Box 15.** Shows income as a nonemployee under an NQDC plan that does not meet the requirements of section 409A. Any amount included in box 12 that is currently taxable is also included in this box. Report this amount as income on your tax return. This income is also subject to a substantial additional tax to be reported on Form 1040, 1040-SR, or 1040-NR. See the instructions for your tax return.
- Boxes 16–18.** Show state or local income tax withheld from the payments.

#### 1099-OID Instructions to Recipients

Original issue discount (OID) is the excess of an obligation's stated redemption price at maturity over its issue price (acquisition price for a stripped bond or coupon). OID on a taxable obligation is taxable as interest over the life of the obligation. If you are the holder of a taxable OID obligation, you generally must include an amount of OID in your gross income each year you hold the obligation. Obligations that may have OID include a bond, debenture, note, certificate, or other evidence of indebtedness having a term of more than 1 year. For example, the OID rules may apply to certificates of deposit (CDs), time deposits, bonus savings plans, and other deposit arrangements, especially if the payment of interest is deferred until maturity. In addition, the OID rules apply to Treasury inflation-protected securities. See Pub. 550 for more information.

If, as the record holder, you receive Form 1099-OID showing amounts belonging to another person, you are considered a nominee recipient. Complete a Form 1099-OID for each of the other owners showing the amounts allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner as the "recipient." File Form(s) 1099-OID with Form 1096 with the Internal Revenue Service Center for your area. On Form 1096, list yourself as the "filer." A spouse is not required to file a nominee return to show amounts owned by the other spouse. If you bought or sold an obligation during the year and you are not a nominee, you are not required to issue or file Form 1099-OID showing the OID or stated interest allocable to the seller/buyer of the obligation.

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a covered security acquired with acquisition premium, your payer generally must report either (1) a net amount of OID that reflects the offset of OID by the amount of acquisition premium amortization for the year, or (2) a gross amount for both the OID and the acquisition premium amortization for the year. For a noncovered security acquired with acquisition premium, your payer is only required to report the gross amount of OID.

- Box 1.** Shows the OID on a taxable obligation for the part of the year you owned it. Report the amount in box 1 as interest income on your income tax return. However, depending on the type of debt instrument, the issue or acquisition date, and other factors (for example, if you paid acquisition or bond premium, or the obligation is a stripped bond or coupon), you may have to figure the correct amount of OID to report on your return. See Pub. 1212 for details on how to figure the correct OID. See the instructions above for a covered security acquired with acquisition premium.
- Box 2.** Shows qualified stated interest on this obligation for the year, which is an amount separate from the OID. If you held the obligation the entire year, report this amount as interest income on your tax return. If you disposed of the obligation or acquired it from another holder during the year, see Pub. 550 for reporting instructions. If there is an amount in both boxes 2 and 8, the amount in box 2 is interest on a U.S. Treasury obligation and is exempt from state and local income taxes. If there is an amount in both boxes 2 and 11, the amount in box 2 is tax-exempt interest and is not included in interest income on your tax return. In general, see how to report tax-exempt interest in the Instructions for Forms 1040 and 1040-SR.
- Box 3.** Shows interest or principal forfeited if you withdrew the money before the maturity date of the obligation, such as from a CD. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction.
- Box 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.
- Box 5.** For a covered security acquired with OID, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you. For a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, and issued with OID, shows the market discount that accrued on the obligation during the year while held by you.

For a covered security acquired on or after January 1, 2015, market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). The payer may, but is not required to, report the market discount for a tax-exempt obligation that is a covered security acquired before January 1, 2017. Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includible in taxable income as interest income.

**Box 6.** For a taxable covered security, shows the amount of acquisition premium amortization for the year that reduces the amount of OID that is included as interest on your income tax return. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040 or 1040-SR). If an amount is not reported in this box for a covered security acquired with acquisition premium, the payer has reported a net amount of OID that reflects the offset of OID that is included in income. If the payer has reported a net amount of OID in box 1 or box 8, you must report the net amount of OID on Schedule B (Form 1040 or 1040-SR) and you must not make an adjustment to this amount for acquisition premium on Schedule B (Form 1040 or 1040-SR). If there is an amount in both boxes 6 and 11, for a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, and is issued with OID, the amount in box 6 shows the amount of acquisition premium amortization for the year that reduces the amount of your tax-exempt OID for the year. The payer may, but is not required to, report the acquisition premium for a tax-exempt obligation that is a covered security acquired before January 1, 2017, and issued with OID. See the instructions above for a covered security acquired with acquisition premium.

**Box 7.** Shows the identification (CUSIP) number or description of the obligation (may include the stock exchange, issuer, coupon rate, and year of maturity).

**Box 8.** Shows OID on a U.S. Treasury obligation for the part of the year you owned it. Report this amount as interest income on your federal income tax return and see Pub. 1212 to figure any appropriate adjustments to this amount. This OID is exempt from state and local income taxes and is not included in box 1. See the instructions above for a covered security acquired with acquisition premium. If the number in this box is negative, it represents a deflation adjustment. See Pub. 550 for further information on accounting for this adjustment.

**Box 9.** Any amount shown is your share of investment expenses of a single-class REMIC. This amount is included in box 2. Note: This amount is not deductible.

**Box 10.** For a taxable covered security, including a Treasury inflation-protected security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040 or 1040-SR). If an amount is not reported in this box for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 2. If the amount in this box is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4). If there is an amount in both boxes 10 and 11, for a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, and issued with OID, the amount in box 10 shows the amount of premium amortization for the year that reduces the amount of your tax-exempt interest for the year. The payer may, but is not required to, report the premium amortization for a tax-exempt obligation that is a covered security acquired before January 1, 2017, and issued with OID.

**Box 11.** For a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, shows the tax-exempt OID on the obligation for the part of the year you owned it. The payer may, but is not required to, report the OID for a tax-exempt obligation that is a covered security acquired before January 1, 2017. In general, report the amount of tax-exempt OID on Form 1040 or 1040-SR. See the instructions above for a covered security acquired with acquisition premium.

**Boxes 12-14.** State income tax withheld reporting.