Robinhood Markets Inc 85 Willow Road Menlo Park, CA 94025 650-940-2700



Sridhar Pavithrapu 1990 Trento loop Milipitas, CA 95035 Master Account Number for Import: 713752996 Document ID for Import: KP95025Q5F7

Enclosed is your 2022 Consolidated Tax Statement. 1099 Tax Forms for the following accounts are included in this package:

713752996 - Robinhood Securities LLC

713752996C - Robinhood Crypto LLC

**New for 2022 Tax Year** - Where applicable, 1099 Tax Forms for Robinhood Securities, Robinhood Crypto, and Robinhood Money are being consolidated into one package and reported under our parent entity, Robinhood Markets Inc. We have done this to ensure all forms that you need are provided at the same time in this consolidated package.

**Important** - Please utilize the Master Account Number and Document ID listed above if filing through tax service providers such as TurboTax or H&R Block. The Document ID is necessary in order to directly import your 1099 data into the tax software so that you do not need to manually enter each transaction. This year there is only one Master Account Number and Document ID that needs to be used to import all of your data across all accounts and 1099s (including crypto). Please note that tax software providers may have limits on the total number of transactions that can be directly imported using the Document ID.

**CSVs** - In addition, a CSV file which includes the same information that is reported on your 1099 forms will be provided. The CSV is not a separate 1099. It is being provided as a supplement in a format that may be more helpful if you have a large number of transactions or if you are not using the Document ID to import all of your Securities, Crypto and Money activity. Tax software providers may have limits on the total number of transactions that can be uploaded and CSV files may not be compatible with tax service providers such as TurboTax or H&R Block.

**Robinhood Retirements Accounts** - If you had any reportable distributions or contributions within a Robinhood Retirement account, your 2022 tax forms 1099-R and/or 5498 will be delivered separately. Retirement accounts will be reported under Robinhood Securities LLC and will have their own unique Doc IDs for import to tax software providers such as TurboTax or H&R Block.

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Robinhood Markets Inc. as agent for Robinhood Securities LLC		Information Int 713752996	Statement Date:	02/15/2023	2022
85 Willow Road Menlo Park, CA 94025	Sridhar Pavithrapu 1990 Trento loop Milipitas, CA 95035				
PAYER'S TIN: 46-4364776	RECIPIENT'S TIN: XXX				
11 - [] FATCA filing requirement (see instructions)	Summary	y Information		13 - [] FATCA filing requirem	ent (see instructions)
DIVIDENDS AND DISTRIBUTIONS 2022 1099-DIV*	OMB No. 1545-0110	MISCELLANEOUS	INFORMATION	2022 1099-MISC*	OMB No. 1545-011
1a- Total ordinary dividends (includes lines 1b, 5, 2e)	410.59	2- Royalties			0.00
1b- Qualified dividends	248.83	3- Other income			0.24
2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)	2.98	4- Federal income tax	withheld		0.00
2b- Unrecaptured Section 1250 gain	1.31	8- Substitute payments	in lieu of dividends of	r interest	268.76
2c- Section 1202 gain	0.00				
2d- Collectibles (28%) gain	0.00	SECTION 1256 CON	NTRACTS	2022 1099-В*	OMB No. 1545-071
2e- Section 897 ordinary dividends	0.00	8- Profit or (loss) realize	ed in 2022 on closed	contracts	0.00
2f- Section 897 capital gain 3- Nondividend distributions	0.00 59.82	9- Unrealized profit or (			0.00
4- Federal income tax withheld	0.00	10- Unrealized profit or (			0.00
5- Section 199A dividends	119.44	11- Aggregate profit or (			0.00
6- Investment expenses	0.00				
8- Foreign country or US possession: See detail 7- Foreign tax pa				ons appear summarized belo	w and are
9- Cash liquidation distributions	0.00	detailed in subseque			
10- Noncash liquidation distributions	0.00	* This is important tax information			
12- Exempt-interest dividends (includes line 13)	0.00	If you are required to file a return you if this income is taxable and			
<ol> <li>Specified private activity bond interest dividends (AMT)</li> </ol>	0.00	you if this income is taxable and	the into determines	that it has not been report	eu.

### SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	2,753.50	11,969.57	0.00	4,747.25	-4,468.82
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	2,753.50	11,969.57	0.00	4,747.25	-4,468.82
Long	D (basis reported to the IRS)	7,996.44	5,056.03	0.00	0.00	2,940.41
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	7,996.44	5,056.03	0.00	0.00	2,940.41
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	10,749.94	17,025.60	0.00	4,747.25	-1,528.41
Withholding		Amount				
Federal income	tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2022 may require an amended tax form.

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## **Summary Information**

2022

#### (continued)

OMB No. 1545-0112

02/15/2023

Account 713752996

#### INTEREST INCOME 2022

2022 1099-INT

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3) 2- Early withdrawal penalty	0.00 0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
<ol> <li>Foreign country or US possession:</li> <li>6- Foreign tax paid:</li> </ol>	0.00
8- Tax-exempt interest (includes line 9)	0.00
<ol><li>Specified private activity bond interest (AMT)</li></ol>	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
<ol><li>Bond premium on Treasury obligations (covered lots)</li></ol>	0.00
<ol><li>Bond premium on tax-exempt bonds (categorized below)</li></ol>	0.00
Tax-exempt obligations (covered lots)	0.00
Tax-exempt private activity obligations (AMT, covered lots)	0.00
14- Tax-exempt and tax credit bond CUSIP number	
FATCA filing requirement []	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid Taxable accrued Treasury interest paid	0.00 0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

#### STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

### ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

#### **RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	4.92
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	787.29
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

#### Robinhood Markets Inc. as agent for Robinhood Securities LLC

## **Proceeds from Broker and Barter Exchange Transactions**

02/15/2023

Account 713752996

#### **2022 1099-B\*** OMB No. 1545-0715

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

#### FATCA filing requirement []

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*) "Gain or loss (-)" is NOT reported to the IRS.

#### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BABA 01/21/2022 CALL \$2	200.00 / CUSIP: / Symbol:						
	2 transactions for 01/	21/22. Total proceeds a	and cost repor	ted to the IRS.			
	1.000	0.00	07/26/21	2,080.00		-2,080.00	1 of 2 - Option expiration- long call
	1.000	0.00	11/05/21	300.00		-300.00	2 of 2 - Option expiration- long call
01/21/22	2.000	0.00	Various	2,380.00		-2,380.00	Total of 2 transactions
C 01/14/2022 CALL \$62.00	) / CUSIP: / Symbol:						
01/03/22	1.000	109.98	12/28/21	88.00		21.98	Option sale to close-call <sup>25</sup>
C 02/18/2022 CALL \$67.50	) / CUSIP: / Symbol:						
01/07/22	1.000	162.98	01/06/22	125.00		37.98	Option sale to close-call <sup>25</sup>

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

02/15/2023

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## **Proceeds from Broker and Barter Exchange Transactions** (continued)

2022 **1099-B\*** OMB No. 1545-0715

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (*Lines 2 & 5*) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of prope 1c- Date	rty/CUSIP/Symbol	1d- Proceeds &			1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or disposed	Quantity	6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1g- Wash sale loss disallowed (W)	7- Loss not allowed (X)	Additional information
CSCO 02/18/2022 CALL	\$65.00 / CUSIP: / Symbol:						
	3 transactions for 02/1	18/22. Total proceeds a	and cost report	ted to the IRS.			
02/18/22	1.000 1.000 1.000 3.000	0.00 0.00 0.00 0.00	01/11/22 01/20/22 01/26/22 Various	90.00 21.00 5.00 116.00	  	-90.00 -21.00 -5.00 -116.00	1 of 3 - Option expiration- long call 2 of 3 - Option expiration- long call 3 of 3 - Option expiration- long call Total of 3 transactions
CVS 02/18/2022 CALL \$1	08.00 / CUSIP: / Symbol:						
01/28/22	1.000	265.98	01/27/22	235.00		30.98	Option sale to close-call <sup>25</sup>
EDU 01/21/2022 CALL \$7	7.50 / CUSIP: / Symbol:						
	2 transactions for 01/2	21/22. Total proceeds a	and cost report	ted to the IRS.			
01/21/22	1.000 1.000 2.000	0.00 0.00 0.00	07/16/21 08/13/21 Various	110.00 10.00 120.00	  	-110.00 -10.00 -120.00	1 of 2 - Option expiration- long call 2 of 2 - Option expiration- long call Total of 2 transactions
IMV 03/18/2022 CALL \$2	.50 / CUSIP: / Symbol:						
	2 transactions for 03/1	18/22. Total proceeds a	and cost report	ted to the IRS.			
03/18/22	1.000 1.000 2.000	0.00 0.00 0.00	07/15/21 07/19/21 Various	260.00 45.00 305.00	 	-260.00 -45.00 -305.00	1 of 2 - Option expiration- long call 2 of 2 - Option expiration- long call Total of 2 transactions
META 06/16/2023 CALL S	310.00 / CUSIP: / Symbol:						
07/15/22 08/03/22 08/08/22 10/19/22 11/11/22	1.000 1.000 1.000 1.000 1.000 Security total:	329.98 254.98 314.98 62.98 19.98 <b>982.90</b>	02/08/22 06/10/22 07/14/22 07/28/22 08/05/22	1,370.00 480.00 1,330.02 405.02 1,250.04 <b>4,835.08</b>	1,040.02 W 225.02 W 1,015.04 W 342.04 W 1,230.06 W <b>3,852.18 W</b>	0.00 0.00 0.00 0.00 0.00 <b>0.00</b>	Option sale to close-call <sup>25</sup> Option sale to close-call <sup>25</sup> Option sale to close-call <sup>25</sup> Option sale to close-call <sup>25</sup> Option sale to close-call <sup>25</sup>
MTTR 01/20/2023 CALL S	\$17.50 / CUSIP: / Symbol:			,	-,		
	2 transactions for 08/1	1/22. Total proceeds a	and cost report	ted to the IRS.			
08/11/22	1.000 1.000 2.000	9.98 19.98 29.96	01/13/22 02/17/22 Various	520.00 80.00 600.00	510.02 W 60.02 W 570.04 W	0.00 0.00 0.00	1 of 2 - Option sale to close-call <sup>25</sup> 2 of 2 - Option sale to close-call <sup>25</sup> Total of 2 transactions

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## **Proceeds from Broker and Barter Exchange Transactions** (continued)

2022 **1099-B\*** OMB No. 1545-0715

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (*Lines 2 & 5*) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*)

#### "Gain or loss (-)" is NOT reported to the IRS. 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO 01/21/2022 CALL \$60	0.00 / CUSIP: / Symbol:						
	2 transactions for 01/2	1/22. Total proceeds a	and cost repor	ted to the IRS.			
01/21/22	1.000 1.000 2.000	0.00 0.00 0.00	07/01/21 07/16/21 Various	870.00 334.00 1,204.00	 	-870.00 -334.00 -1,204.00	1 of 2 - Option expiration- long call 2 of 2 - Option expiration- long call Total of 2 transactions
O 06/17/2022 CALL \$80.0	00 / CUSIP: / Symbol:						
04/18/22	1.000	19.98	01/04/22	75.00		-55.02	Option sale to close-call <sup>25</sup>
OPK 02/18/2022 CALL \$4	4.00 / CUSIP: / Symbol:						
01/18/22	1.000	84.98	01/06/22	80.00		4.98	Option sale to close-call <sup>25</sup>
OPK 03/18/2022 CALL \$2	2.50 / CUSIP: / Symbol:						
01/31/22	1.000	66.98	01/27/22	60.00		6.98	Option sale to close-call <sup>25</sup>
PEP 02/18/2022 CALL \$1	80.00 / CUSIP: / Symbol:						
02/02/22	1.000	109.98	02/02/22	90.00		19.98	Option sale to close-call <sup>25</sup>
PHM 03/18/2022 CALL \$5	55.00 / CUSIP: / Symbol:						
03/18/22	1.000	0.00	02/10/22	90.00		-90.00	Option expiration- long call
REXR 02/18/2022 CALL \$	\$80.00 / CUSIP: / Symbol:						
02/18/22	1.000	0.00	01/12/22	70.00		-70.00	Option expiration- long call
SFT 02/18/2022 CALL \$1	0.00 / CUSIP: / Symbol:						
02/18/22	1.000	0.00	07/09/21	185.00		-185.00	Option expiration- long call
T 03/18/2022 PUT \$24.00	) / CUSIP: / Symbol:						
02/04/22	1.000	78.98	02/03/22	65.00		13.98	Option sale to close-put <sup>25</sup>
XLF 01/21/2022 CALL \$4	1.50 / CUSIP: / Symbol:						
01/11/22	1.000	40.98	01/11/22	35.00		5.98	Option sale to close-call <sup>25</sup>
XLF 02/18/2022 CALL \$42	2.00 / CUSIP: / Symbol:						
01/13/22	1.000	81.98	01/07/22	77.00		4.98	Option sale to close-call <sup>25</sup>
XLP 03/18/2022 CALL \$8	0.00 / CUSIP: / Symbol:						
03/18/22	1.000	0.00	01/10/22	62.00		-62.00	Option expiration- long call

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

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## **Proceeds from Broker and Barter Exchange Transactions** (continued)

2022 **1099-B\*** OMB No. 1545-0715

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (*Lines 2 & 5*) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*)

"Gain or loss (-)" is NOT reported to the IRS. 1a-Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BARRICK GOLD CORP	P. / CUSIP: 067901108 / Symbo	ol:					
	4 transactions for 02/	17/22. Total proceeds a	and cost report	ted to the IRS.			
	0.526	11.86	02/19/21	10.20		1.66	1 of 4 - Sale <sup>25</sup>
	2.000	45.09	02/19/21	38.75		6.34	2 of 4 - Sale <sup>25</sup>
	0.236	5.32	03/23/21	4.68		0.64	3 of 4 - Sale <sup>25</sup>
	1.000	22.55	03/23/21	19.81		2.74	4 of 4 - Sale <sup>25</sup>
02/17/22	3.762	84.82	Various	73.44		11.38	Total of 4 transactions
CONTEXTLOGIC INC.	CLASS A COMM ON STOCK	/ CUSIP: 21077C107 / 3	Symbol:				
	2 transactions for 06/	22/22. Total proceeds a	and cost report	ted to the IRS.			
	41.000	77.15	06/30/21	547.35	315.87 W	-154.33	1 of 2 - Sale <sup>25</sup>
	0.799	1.51	06/30/21	10.67	9.16 W	0.00	2 of 2 - Sale <sup>25</sup>
06/22/22	41.799	78.66	Various	558.02	325.03 W	-154.33	Total of 2 transactions
DYNATRACE, INC. / CL	JSIP: 268150109 / Symbol:						
	2 transactions for 11/	15/22. Total proceeds a	and cost report	ted to the IRS.			
	0.000	0.01	05/18/22	0.01		0.00	1 of 2 - Sale <sup>25</sup>
	0.751	28.84	05/18/22	24.99		3.85	2 of 2 - Sale <sup>25</sup>
11/15/22	0.751	28.85	Various	25.00		3.85	Total of 2 transactions
LUG POWER, INC. CO	OMMON STOCK / CUSIP: 729	19P202 / Symbol:					
	6 transactions for 04/	04/22. Total proceeds a	and cost report	ted to the IRS.			
	0.856	27.08	04/12/21	25.00		2.08	1 of 6 - Sale <sup>25</sup>
	0.874	27.65	04/14/21	25.00		2.65	2 of 6 - Sale <sup>25</sup>
	0.943	29.82	04/22/21	25.00		4.82	3 of 6 - Sale <sup>25</sup>
	0.238	7.52	01/19/22	5.31		2.21	4 of 6 - Sale <sup>25</sup>
	2.000	63.26	01/19/22	44.69		18.57	5 of 6 - Sale <sup>25</sup>
	2.462	77.88	02/24/22	50.00		27.88	6 of 6 - Sale <sup>25</sup>
04/04/22	7.373	233.21	Various	175.00		58.21	Total of 6 transactions
SAREPTA THERAPEU	TICS, INC. COM MON STOCK	(DE) / CUSIP: 803607	100 / Symbol:				
03/08/22	0.357	29.58	04/12/21	25.00		4.58	Sale <sup>25</sup>
TARGET CORPORATIO	ON / CUSIP: 87612E106 / Sym	ibol:					
11/11/22	0.158	26.93	05/18/22	25.00		1.93	Sale <sup>25</sup>
· · · · · · ·	0.100	20.00		_0.00			

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### Proceeds from Broker and Barter Exchange Transactions (continued)

2022 1099-B\* OMB No. 1545-0715

### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

## "Gain or loss (-)" is NOT reported to the IRS.

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
THERAPEUTICSMD, IN	IC. COMMON ST OCK / CUS	P: 88338N107 / Symbo	ol:			· · · ·	
	5 transactions for 03	/18/22. Total proceeds a	and cost report	ted to the IRS.			
	19.591	8.39	04/12/21	25.00		-16.61	1 of 5 - Sale <sup>25</sup>
	32.000	13.71	08/16/21	24.47		-10.76	2 of 5 - Sale <sup>25</sup>
	0.690	0.30	08/16/21	0.53		-0.23	3 of 5 - Sale <sup>25</sup>
	268.000	114.84	01/13/22	93.53		21.31	4 of 5 - Sale <sup>25</sup>
	230.000	98.55	02/24/22	47.50		51.05	5 of 5 - Sale <sup>25</sup>
03/18/22	550.281	235.79	Various	191.03		44.76	Total of 5 transactions
Totals :		2,753.50		11,969.57	4,747.25 W	-4,468.82	

#### LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et		1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BARRICK GOLD COR	P. / CUSIP: 067901108 / Symbol:						
	11 transactions for 02/1	7/22. Total proceeds a	and cost repor	ted to the IRS.			
	2.153	48.55	01/12/21	49.04		-0.49	1 of 11 - Sale <sup>25</sup>
	2.221	50.09	01/29/21	49.07		1.02	2 of 11 - Sale <sup>25</sup>
	0.229	5.16	02/02/21	5.04		0.12	3 of 11 - Sale <sup>25</sup>
	2.000	45.09	02/02/21	44.03		1.06	4 of 11 - Sale 25
	0.276	6.23	02/04/21	5.95		0.28	5 of 11 - Sale <sup>25</sup>
	2.000	45.09	02/04/21	43.09		2.00	6 of 11 - Sale 25
	0.002	0.05	02/09/21	0.05		0.00	7 of 11 - Sale <sup>25</sup>
	0.226	5.09	02/09/21	4.97		0.12	8 of 11 - Sale <sup>25</sup>
	2.000	45.10	02/09/21	44.04		1.06	9 of 11 - Sale <sup>25</sup>
	0.000	0.01	02/16/21	0.01		0.00	10 of 11 - Sale <sup>25</sup>
	2.270	51.19	02/16/21	49.03		2.16	11 of 11 - Sale <sup>25</sup>
02/17/22	13.377	301.65	Various	294.32		7.33	Total of 11 transactions

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

**Proceeds from Broker and Barter Exchange Transactions** (continued)

2022 **1099-B\*** OMB No. 1545-0715

## LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/ 1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et		1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
COSTCO WHOLESALE COP	RPORATION C OMMON S	TOCK / CUSIP: 22160	<105 / Symbo	l:			
10/11/22	3.000	1,424.30	03/02/20	883.32		540.98	Sale <sup>25</sup>
DYNATRACE, INC. / CUSIP:	: 268150109 / Symbol:						
	2 transactions for 11/1	5/22. Total proceeds ar	d cost reporte	ed to the IRS.			
11/15/22	<i>4.999</i> <i>0.151</i> 5.150	192.06 5.81 197.87	12/14/20 12/14/20 Various	194.13 5.87 200.00	 	-2.07 -0.06 -2.13	1 of 2 - Sale <sup>25</sup> 2 of 2 - Sale <sup>25</sup> Total of 2 transactions
IRHYTHM TECHNOLOGIES	. INC. COM MON STOCK					-	
		7/22. Total proceeds ar		ed to the IRS.			
09/27/22	0.000 0.207 1.000	0.02 24.67 118.98	04/12/21 04/12/21 04/12/21	0.02 17.16 82.82	 	0.00 7.51 36.16 43.67	1 of 3 - Sale <sup>25</sup> 2 of 3 - Sale <sup>25</sup> 3 of 3 - Sale <sup>25</sup> Total - 5 2 torono stinger
	1.207	143.67	Various	100.00		43.07	Total of 3 transactions
CICI BANK LIMITED / CUSI		1/22. Total proceeds ar	d agat ranget	nd to the IPS			
10/11/22 LOCKHEED MARTIN CORP	1.495 30.120 31.615	31.74 639.59 671.33	05/26/20 05/26/20 Various	11.82 238.18 250.00	  	19.92 401.41 421.33	1 of 2 - Sale $25$ 2 of 2 - Sale $25$ Total of 2 transactions
		1/22. Total proceeds ar	nd cost reporte	ad to the IRS			
	1.000 0.138 0.138 0.143 0.282 0.134 0.154 0.148	415.49 57.28 57.46 59.52 117.10 55.65 64.19 61.47	11/05/20 12/02/20 12/08/20 12/22/20 12/28/20 01/05/21 01/29/21 02/09/21	370.55 50.00 50.00 100.00 46.44 50.00 50.00	     	44.94 7.28 7.46 9.52 17.10 9.21 14.19 11.47	1 of 8 - Sale $^{25}$ 2 of 8 - Sale $^{25}$ 3 of 8 - Sale $^{25}$ 4 of 8 - Sale $^{25}$ 5 of 8 - Sale $^{25}$ 6 of 8 - Sale $^{25}$ 7 of 8 - Sale $^{25}$ 8 of 8 - Sale $^{25}$
10/11/22	2.138	888.16	Various	766.99		11.47 121.17	Total of 8 transactions

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<b>2022 1099-B</b> * ON	MB No. 1545-0715			(continued)		02/1	5/2023
	NSACTIONS FOR COVE			ains or losses	are identified in the Add	tional information co	<b>[umn]</b> (Lines 2 & 5)
eport on Form 8949, Pa ain or loss (-)" is NOT	art II with Box D checked. Basis reported to the IRS.	s is provided to the IRS.	(Line 12)				
- Description of prope	•						
c- Date	· · · · · · ·	1d- Proceeds &			1f- Accrued mkt disc (D) &	Gain or loss(-) &	
old or		6- Reported	1b- Date	1e- Cost or	1g- Wash sale loss	7- Loss not allowed (X)	
lisposed	Quantity	(G)ross or (N)et		other basis	disallowed (W)		Additional information
NG IDENTITY HOLDIN	NG CORP. / CUSIP: 72341T10	3 / Symbol:	-				
	2 transactions for 03/2	9/22. Total proceeds ar	nd cost reporte	ed to the IRS.			
	0.901	24.07	12/10/20	23.70		0.37	1 of 2 - Sale <sup>25</sup>
	1.000	26.70	12/10/20	26.30		0.40	2 of 2 - Sale 25
3/29/22	1.901	50.77	Various	50.00		0.77	Total of 2 transactions
UG POWER, INC. CO	MMON STOCK / CUSIP: 7291	9P202 / Symbol:					
	8 transactions for 04/0	4/22. Total proceeds an	d cost reporte	ed to the IRS.			
	0.960	30.37	01/08/21	50.00		-19.63	1 of 8 - Sale <sup>25</sup>
	0.038	1.19	01/12/21	2.32		-1.13	2 of 8 - Sale 25
	0.997	31.52	02/23/21	43.54		-12.02	3 of 8 - Sale 25
	0.149	4.70	02/23/21	6.46		-1.76	4 of 8 - Sale 25
	0.999	31.61	02/25/21	45.47		-13.86	5 of 8 - Sale 25
	0.100	3.16	02/25/21	4.53		-1.37	6 of 8 - Sale 25
	0.678	21.43	03/18/21	25.00		-3.57	7 of 8 - Sale <sup>25</sup>
	0.527	16.67	03/23/21	20.00		-3.33	8 of 8 - Sale <sup>25</sup>
4/04/22	4.447	140.65	Various	197.32		-56.67	Total of 8 transactions
EFERRED APARTME	ENT COMMUNITIE S, INC. / CI	USIP: 74039L103 / Sym	ibol:				
	8 transactions for 05/2	6/22. Total proceeds an	nd cost reporte	ed to the IRS.			
	0.846	21.10	07/01/20	5.76		15.34	1 of 8 - Sale <sup>25</sup>
	12.175	303.53	07/01/20	82.89		220.64	2 of 8 - Sale 25
	10.000	249.31	07/14/20	63.52		185.79	3 of 8 - Sale <sup>25</sup>
	6.714	167.38	07/21/20	44.10		123.28	4 of 8 - Sale 25
	15.000	373.97	07/27/20	92.30		281.67	5 of 8 - Sale <sup>25</sup>
	10.000	249.31	09/21/20	50.90		198.41	6 of 8 - Sale <sup>25</sup>
	9.262	230.90	10/01/20	43.52		187.38	7 of 8 - Sale <sup>25</sup>
	0.850	21.18	10/06/20	4.23		16.95	8 of 8 - Sale <sup>25</sup>
5/26/22	64.846	1,616.68	Various	387.22		1,229.46	Total of 8 transactions
REPTA THERAPEUT	ICS, INC. COM MON STOCK	(DE) / CUSIP: 8036071	00 / Symbol:				
	5 transactions for 03/0	8/22. Total proceeds an	d cost reporte	ed to the IRS.			
	1.000	82.85	01/08/21	85.72		-2.87	1 of 5 - Sale <sup>25</sup>
	0.126	10.45	01/08/21	10.79		-0.34	2 of 5 - Sale <sup>25</sup>
	0.041	3.38	01/08/21	3.49		-0.11	3 of 5 - Sale <sup>25</sup>

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Proceeds from Broker and Barter Exchange Transactions

Robinhood Markets Inc. as agent for

Robinhood Securities LLC

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

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## **Proceeds from Broker and Barter Exchange Transactions** (continued)

2022 **1099-B\*** OMB No. 1545-0715

## LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS. 1. Description of property/CUSID/Symbol

1c- Date		1d- Proceeds &			1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or disposed	Quantity	6- Reported (G)ross or (N)et		1e- Cost or other basis	1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (7)	Additional information
•	FICS, INC. COM MON STOCK (DE	() ()	•				
			•	. ,		0.00	4 of 5 - Sale <sup>25</sup>
	0.585 0.018	48.51 1.46	01/08/21 01/08/21	48.54 1.46		-0.03 0.00	4 of 5 - Sale <sup>25</sup> 5 of 5 - Sale <sup>25</sup>
03/08/22	1.769	146.65	Various	150.00		-3.35	Total of 5 transactions
	IERICAN DEPOSI TARY RECEIP						
	3 transactions for 10/11/2	2. Total proceeds an	d cost report	ed to the IRS.			
	15.000	280.80	03/13/20	272.70		8.10	1 of 3 - Sale <sup>25</sup>
	3.000	56.16	03/18/20	40.65		15.51	2 of 3 - Sale <sup>25</sup>
	7.000	131.04	03/18/20	95.13		35.91	3 of 3 - Sale <sup>25</sup>
10/11/22	25.000	468.00	Various	408.48		59.52	Total of 3 transactions
BLOCK, INC. / CUSIP: 8	352234103 / Symbol:						
11/11/22	1.000	68.05	04/30/20	65.74		2.31	Sale <sup>25</sup>
T-MOBILE US, INC. CO	MMON STOCK / CUSIP: 8725901	04 / Symbol:					
10/19/22	5.000	681.92	03/17/20	390.90		291.02	Sale <sup>25</sup>
THERAPEUTICSMD, IN	IC. COMMON ST OCK / CUSIP: 8	8338N107 / Symbol:					
	2 transactions for 03/18/2	2. Total proceeds an	d cost report	ed to the IRS.			
	36.971	15.84	01/12/21	49.77		-33.93	1 of 2 - Sale <sup>25</sup>
	0.175	0.07	01/12/21	0.23		-0.16	2 of 2 - Sale 25
03/18/22	37.145	15.91	Various	50.00		-34.09	Total of 2 transactions
TWITTER, INC. / CUSIF	2: 90184L102 / Symbol:						
	3 transactions for 10/27/2	2. Total proceeds an	nd cost report	ed to the IRS.			
	5.000	271.00	11/13/19	145.15		125.85	1 of 3 - Merger
	10.000	542.00	03/18/20	207.76		334.24	2 of 3 - Merger
	2.000	108.40	04/02/20	46.72		61.68	3 of 3 - Merger
10/27/22	17.000	921.40	Various	399.63		521.77	Total of 3 transactions
WARNER BROS. DISC	OVERY, INC. S ERIES A COMMC	N STOCK / CUSIP: 9	934423104 /	Symbol:			
04/18/22	0.887		01/09/20	33.36		-12.22	Cash in lieu
	15 transactions for 06/23,	22. Total proceeds a	ina cost repoi	rtea to the IRS.			
	0.323	4.56	01/09/20	12.14		-7.58	1 of 15 - Sale <sup>25</sup>
	0.484	6.83	03/27/20	13.99		-7.16	2 of 15 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions** (continued)

2022 1099-B\* OMB No. 1545-0715

## LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

### "Gain or loss (-)" is NOT reported to the IRS.

Ia- Description of property, 1c- Date	CUSIP/Symbol	1d- Proceeds &			1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or	Quantity	6- Reported (G)ross or (N)et		1e- Cost or	1g- Wash sale loss disallowed (W)	7- Loss not allowed (X)	Additional information
disposed	,	() ()	•	other basis	( )	also not reported (Z)	Additional information
VARNER BROS. DISCOVE	RY, INC. SERIES A COMP	MON STOCK / CUSIP:	934423104/	Symbol: (cont'd)			
	0.484	6.83	04/01/20	13.19		-6.36	3 of 15 - Sale <sup>25</sup>
	1.210	17.07	04/02/20	33.31		-16.24	4 of 15 - Sale 25
	0.242	3.41	04/03/20	6.46		-3.05	5 of 15 - Sale <sup>25</sup>
	1.935	27.31	04/15/20	56.52		-29.21	6 of 15 - Sale <sup>25</sup>
	0.484	6.83	04/15/20	14.13		-7.30	7 of 15 - Sale <sup>25</sup>
	1.210	17.07	04/21/20	35.22		-18.15	8 of 15 - Sale <sup>25</sup>
	0.484	6.83	04/30/20	14.26		-7.43	9 of 15 - Sale <sup>25</sup>
	1.210	17.07	06/11/20	35.91		-18.84	10 of 15 - Sale <sup>25</sup>
	0.726	10.24	06/11/20	21.54		-11.30	11 of 15 - Sale <sup>25</sup>
	2.419	34.14	06/15/20	70.56		-36.42	12 of 15 - Sale <sup>25</sup>
	0.726	10.24	06/24/20	20.70		-10.46	13 of 15 - Sale <sup>25</sup>
	0.098	1.38	06/24/20	2.78		-1.40	14 of 15 - Sale <sup>25</sup>
	0.968	13.65	07/13/20	28.04		-14.39	15 of 15 - Sale <sup>25</sup>
06/23/22	13.000	183.46	Various	378.75		-195.29	Total of 15 transactions
	Security total:	204.60		412.11		-207.51	
AMANA GOLD, INC. / CUS	SIP: 98462Y100 / Symbol:						
	3 transactions for 10/1	1/22. Total proceeds an	nd cost reporte	ed to the IRS.			
	0.011	0.05	02/19/21	0.05		0.00	1 of 3 - Sale <sup>25</sup>
	0.339	1.64	02/19/21	1.49		0.15	2 of 3 - Sale 25
	10.989	53.14	02/19/21	48.46		4.68	3 of 3 - Sale <sup>25</sup>
10/11/22	11.339	54.83	Various	50.00		4.83	Total of 3 transactions
Totals :		7,996.44		5,056.03		2,940.41	

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Account 713752996

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## Robinhood Markets Inc. as agent for Robinhood Securities LLC

2022

## **Detail for Dividends and Distributions**

Account 713752996

02/15/2023

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. There is also a holder level criteria for a dividend to be treated as Qualified. If the payment is from a common stock you are required to have held it for more than 60 days during the 121-day period that begins 60 days before the ex-dividend date of the dividend. If the dividend is from preferred shares and covers a period greater than 366 days, you must have held the preferred stock for more than 90 days during the 181-day period that begins 90 days before the ex-dividend date of the dividend.

Amounts presented in Box 2e as Section 897 Ordinary Dividends or Box 2f as Section 897 Capital Gains apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. If you are a US citizen, you should disregard this.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Note
T INC.	00206R102		02/01/22	14.25	Qualified dividend	
			05/02/22	15.93	Qualified dividend	
			08/01/22	16.59	Qualified dividend	
			11/01/22	16.59	Qualified dividend	
				63.36	Total Dividends & distributions	
INC. COMMON STOCK	037833100		02/10/22	2.65	Qualified dividend	
			05/12/22	2.77	Qualified dividend	
			08/11/22	2.77	Qualified dividend	
			11/10/22	2.77	Qualified dividend	
				10.96	Total Dividends & distributions	
OUP INC.	172967424		02/25/22	5.10	Qualified dividend	
			05/27/22	5.10	Qualified dividend	
			08/26/22	5.10	Qualified dividend	
			11/23/22	5.10	Qualified dividend	
				20.40	Total Dividends & distributions	
WHOLESALE CORPORATION C	22160K105		02/18/22	2.37	Qualified dividend	
N STOCK			05/13/22	2.70	Qualified dividend	
			08/12/22	2.70	Qualified dividend	
				7.77	Total Dividends & distributions	
RAL ELECTRIC COMPANY	369604301		01/25/22	0.40	Qualified dividend	
			04/25/22	0.32	Qualified dividend	
			07/25/22	0.40	Qualified dividend	
			10/25/22	0.40	Qualified dividend	
				1.52	Total Dividends & distributions	

Robinhood Markets Inc. as agent for Robinhood Securities LLC	De	tail for	Dividends a	nd Distribu		count 713752996
2022			(continue	ed)	02	/15/2023
Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
GLOBAL MEDICAL REIT INC.	37954A204		12/30/21	2.73	Section 199A dividend	03
			12/30/21	1.96	Nondividend distribution	03
			04/08/22	2.67	Section 199A dividend	03
			04/08/22	1.92	Nondividend distribution	03
			07/08/22	2.80	Section 199A dividend	03
			07/08/22	2.00	Nondividend distribution	03
			10/11/22	2.80	Section 199A dividend	03
			10/11/22	2.00	Nondividend distribution	03
				18.88	Total Dividends & distributions	
OLDMAN SACHS GROUP INC.	38141G104		03/30/22	8.00	Qualified dividend	
CEDMAN CACHO CROCH INC.	301410104		06/29/22	10.00	Qualified dividend	
			09/29/22	12.50	Qualified dividend	
			12/29/22	12.50	Qualified dividend	
			12/20/22	43.00	Total Dividends & distributions	
CICI BANK LIMITED	45104G104		09/07/22	3.97	Nonqualified dividend	
	431040104		09/07/22	-0.43	Foreign tax withheld-IN	
			03/01/22	3.97	Total Dividends & distributions	
				-0.43	Total Foreign tax withheld	
ITEL CORPORATION COMMON STOCK	458140100		03/01/22	4.78	Qualified dividend	
			06/01/22	5.18	Qualified dividend	
			09/01/22	5.61	Qualified dividend	
			12/01/22	5.61	Qualified dividend	
				21.18	Total Dividends & distributions	
VESCO MORTGAGE CAPITAL INC.	46131B100		01/27/22	27.99	Section 199A dividend	03
			01/27/22	21.71	Nondividend distribution	03
			04/27/22	21.34	Section 199A dividend	03
			04/27/22	16.54	Nondividend distribution	03
			·	87.58	Total Dividends & distributions	00
VESCO MORTGAGE CAPITAL INC.	46131B704		07/27/22	0.16	Section 199A dividend	03
VEGOO WONTOAGE CAFITAL INC.	-01010104		07/27/22	0.18	Nondividend distribution	03
			10/27/22	12.93	Section 199A dividend	03
			10/27/22	12.93	Nondividend distribution	03
			10/21/22	10.02		03

23.23

**Total Dividends & distributions** 

## Robinhood Markets Inc. as agent for Robinhood Securities LLC

2022

## Detail for Dividends and Distributions

## (continued)

02/15/2023

Account 713752996

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
JD.COM, INC. AMERICAN DEPOSITA RY SHARES	47215P106		06/14/22	2.18	Nonqualified dividend	
LOANDEPOT, INC.	53946R106		01/18/22	0.70	Nondividend distribution	03
			04/18/22	1.36	Nondividend distribution	03
				2.06	Total Dividends & distributions	
LOCKHEED MARTIN CORP.	539830109		03/25/22	0.39	Qualified dividend	
			06/24/22	5.99	Qualified dividend	
			09/23/22	5.99	Qualified dividend	
				12.37	Total Dividends & distributions	
MERCK & CO., INC.	58933Y105		01/07/22	2.70	Qualified dividend	
			04/07/22	2.70	Qualified dividend	
			07/08/22	2.70	Qualified dividend	
			10/07/22	2.70	Qualified dividend	
				10.80	Total Dividends & distributions	
MICROSOFT CORPORATION COMMON S	594918104		03/10/22	2.48	Qualified dividend	
TOCK			06/09/22	2.48	Qualified dividend	
			09/08/22	2.48	Qualified dividend	
			12/08/22	2.72	Qualified dividend	
				10.16	Total Dividends & distributions	
MICRON TECHNOLOGY, INC. COMMON	595112103		01/18/22	0.10	Qualified dividend	
STOCK			04/26/22	0.10	Qualified dividend	
			07/26/22	0.12	Qualified dividend	
			10/26/22	0.12	Qualified dividend	
				0.44	Total Dividends & distributions	
NVIDIA CORPORATION COMMON STOC K	67066G104		03/24/22	0.09	Qualified dividend	
			07/01/22	0.10	Qualified dividend	
			09/29/22	0.10	Qualified dividend	
			12/22/22	0.09	Qualified dividend	
				0.38	Total Dividends & distributions	

## Robinhood Markets Inc. as agent for Robinhood Securities LLC

2022

## **Detail for Dividends and Distributions**

## (continued)

02/15/2023

Account 713752996

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
ORCHID ISLAND CAPITAL, INC.	68571X103		01/27/22	0.04	Nondividend distribution	03
			01/27/22	0.01	Section 199A dividend	03
			05/27/22	0.02	Nondividend distribution	03
			05/27/22	0.01	Section 199A dividend	03
				0.08	Total Dividends & distributions	
ORCHID ISLAND CAPITAL, INC.	68571X301		12/28/22	1.43	Nondividend distribution	03
			12/28/22	0.45	Section 199A dividend	03
				1.88	Total Dividends & distributions	
OXFORD LANE CAPITAL CORP. COMM ON	691543102		01/31/22	9.19	Nonqualified dividend	03
STOCK			02/28/22	1.17	Nonqualified dividend	03
			03/31/22	0.04	Nonqualified dividend	03
			04/29/22	1.02	Nonqualified dividend	03
			05/31/22	0.19	Nonqualified dividend	03
			06/30/22	2.97	Nonqualified dividend	03
			07/29/22	0.42	Nonqualified dividend	03
			08/31/22	1.62	Nonqualified dividend	03
			11/30/22	5.22	Nonqualified dividend	03
			12/30/22	0.34	Nonqualified dividend	03
				22.18	Total Dividends & distributions	
PREFERRED APARTMENT COMMUNITIE S,	74039L103		01/14/22	11.35	Nonqualified dividend	
INC.			04/14/22	11.35	Qualified dividend	
				22.70	Total Dividends & distributions	
QUALCOMM INCORPORATED COMMON S	747525103		03/24/22	4.76	Qualified dividend	
TOCK			06/23/22	5.25	Qualified dividend	
			09/22/22	5.25	Qualified dividend	
			12/15/22	5.25	Qualified dividend	
				20.51	Total Dividends & distributions	
REALTY INCOME CORPORATION	756109104		01/14/22	3.80	Section 199A dividend	03
			01/14/22	0.14	Long-term capital gain	03
			01/14/22	0.11	Unrecaptured section 1250 gain	03
			02/15/22	3.80	Section 199A dividend	03
			02/15/22	0.14	Long-term capital gain	03
			02/15/22	0.11	Unrecaptured section 1250 gain	03
			03/15/22	3.80	Section 199A dividend	03
			03/15/22	0.14	Long-term capital gain	03

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Robinhood Markets Inc. as agent for Robinhood Securities LLC	De	tail for	Dividends a	nd Distribut		Account 713752996
2022			(continue	ed)		02/15/2023
Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
REALTY INCOME CORPORATION (cont'd)			03/15/22	0.11	Unrecaptured section 1250 gain	03
			04/18/22	3.81	Section 199A dividend	03
			04/18/22	0.14	Long-term capital gain	03
			04/18/22	0.11	Unrecaptured section 1250 gain	03
			05/13/22	3.81	Section 199A dividend	03
			05/13/22	0.14	Long-term capital gain	03
			05/13/22	0.11	Unrecaptured section 1250 gain	03
			06/15/22	3.81	Section 199A dividend	03
			06/15/22	0.14	Long-term capital gain	03
			06/15/22	0.11	Unrecaptured section 1250 gain	03
			07/15/22	3.82	Section 199A dividend	03
			07/15/22	0.14	Long-term capital gain	03
			07/15/22	0.11	Unrecaptured section 1250 gain	03
			08/15/22	3.59	Section 199A dividend	03
			08/15/22	0.13	Long-term capital gain	03
			08/15/22	0.10	Unrecaptured section 1250 gain	03
			09/15/22	3.82	Section 199A dividend	03
			09/15/22	0.14	Long-term capital gain	03
			09/15/22	0.11	Unrecaptured section 1250 gain	03
			10/14/22	3.83	Section 199A dividend	03
			10/14/22	0.14	Long-term capital gain	03
			10/14/22	0.11	Unrecaptured section 1250 gain	03
			11/15/22	3.83	Section 199A dividend	03
			11/15/22	0.14	Long-term capital gain	03
			11/15/22	0.11	Unrecaptured section 1250 gain	03
			12/15/22	3.83	Section 199A dividend	03
			12/15/22	0.14	Long-term capital gain	03
			12/15/22	0.11	Unrecaptured section 1250 gain	03
				48.53	Total Dividends & distributions	
OFTBANK GROUP AMERICAN DEPOSI	83404D109		07/12/22	0.57	Nonqualified dividend	
ARY RECEIPTS - UNSPONSORED			07/12/22	-0.31	Foreign tax withheld-JA	
			12/23/22	0.24	Nonqualified dividend	
			12/23/22	-0.31	Foreign tax withheld-JA	
				0.81	Total Dividends & distributions	 6
				-0.62	Total Foreign tax withheld	

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## Robinhood Markets Inc. as agent for Robinhood Securities LLC

2022

## **Detail for Dividends and Distributions**

## (continued)

02/15/2023	

Account 713752996

				<b>.</b>		•• ·
SONY GROUP CORPORATION AMERICA N	CUSIP and/or symbol 835699307	State	Date 06/10/22	Amount 0.24	Transaction type Nonqualified dividend	Notes
DEPOSITARY SHARES (EACH REPR	833699307		06/10/22	-0.04	Foreign tax withheld-JA	
ESENTING ONE SHARE OF DOLLAR V			12/08/22	0.23	Nonqualified dividend	
			12/08/22	-0.04	Foreign tax withheld-JA	
			12/06/22		5	
				0.47	Total Dividends & distributions	
				-0.08	Total Foreign tax withheld	
TARBUCKS CORPORATION COMMON S	855244109		02/25/22	2.45	Qualified dividend	
CK			05/27/22	2.45	Qualified dividend	
			08/26/22	2.45	Qualified dividend	
			11/25/22	2.65	Qualified dividend	
				10.00	Total Dividends & distributions	
ARGET CORPORATION	87612E106		09/12/22	0.17	Qualified dividend	
ALMART INC.	931142103		01/03/22	1.10	Qualified dividend	
			04/04/22	1.12	Qualified dividend	
			05/31/22	1.12	Qualified dividend	
			09/06/22	1.12	Qualified dividend	
				4.46	<b>Total Dividends &amp; distributions</b>	
MANA GOLD, INC.	98462Y100		01/14/22	0.34	Nonqualified dividend	
			01/14/22	-0.05	Foreign tax withheld-CA	
			04/14/22	0.34	Nonqualified dividend	
			04/14/22	-0.05	Foreign tax withheld-CA	
			07/14/22	0.34	Nonqualified dividend	
			07/14/22	-0.05	Foreign tax withheld-CA	
			10/14/22	0.34	Nonqualified dividend	
			10/14/22	-0.05	Foreign tax withheld-CA	
				1.36	Total Dividends & distributions	
				-0.20	Total Foreign tax withheld	
				-0.20		
				473.39	Total Dividends & distributions	
				-1.33	Total Foreign tax withheld	

## **Detail for Miscellaneous Information**

Account 713752996

02/15/2023

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
AT&T INC.	00206R102	02/01/22	15.60	Substitute payment	
GENERAL ELECTRIC COMPANY	369604301	04/25/22	0.08	Substitute payment	
GLOBAL MEDICAL REIT INC.	37954A204	04/08/22	0.21	Substitute payment	
GOLDMAN SACHS GROUP INC.	38141G104	03/30/22	2.00	Substitute payment	
INVESCO MORTGAGE CAPITAL INC.	46131B100	01/27/22	1.35	Substitute payment	
		04/27/22	16.11	Substitute payment	
			17.46	Total Miscellaneous income	
INVESCO MORTGAGE CAPITAL INC.	46131B704	07/27/22	58.50	Substitute payment	
		10/27/22	19.50	Substitute payment	
			78.00	Total Miscellaneous income	
LOANDEPOT, INC.	53946R106	04/18/22	0.24	Substitute payment	
LOCKHEED MARTIN CORP.	539830109	03/25/22	5.60	Substitute payment	
NVIDIA CORPORATION COMMON STOC K	67066G104	12/22/22	0.04	Substitute payment	
ORCHID ISLAND CAPITAL, INC.	68571X103	01/27/22	1.82	Substitute payment	
		02/24/22	1.59	Substitute payment	
		03/29/22	2.35	Substitute payment	
		04/27/22	2.64	Substitute payment	
		05/27/22	2.61	Substitute payment	
		06/28/22	2.64	Substitute payment	
		07/27/22	2.64	Substitute payment	
		08/29/22	2.64	Substitute payment	
			18.93	Total Miscellaneous income	
ORCHID ISLAND CAPITAL, INC.	68571X301	09/30/22	1.88	Substitute payment	
		10/27/22	1.88	Substitute payment	
		11/28/22	1.88	Substitute payment	
		-	5.64	Total Miscellaneous income	
OXFORD LANE CAPITAL CORP. COMM ON	691543102	01/31/22	2.78	Substitute payment	
STOCK		02/28/22	10.80	Substitute payment	
		03/31/22	11.93	Substitute payment	
		04/29/22	10.95	Substitute payment	
		05/31/22	11.78	Substitute payment	
		06/30/22	9.00	Substitute payment	

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Robinhood Markets Inc. as agent for				Accour	t 713752996
Robinhood Securities LLC		<b>Detail for Miscellaneous</b>	Information		
2022		(continued)		02/15/2	2023
Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
OXFORD LANE CAPITAL CORP. COMM ON		07/29/22	11.55	Substitute payment	
STOCK (cont'd)		08/31/22	10.35	Substitute payment	
		09/30/22	11.97	Substitute payment	
		10/31/22	11.97	Substitute payment	
		11/30/22	6.75	Substitute payment	
		12/30/22	11.63	Substitute payment	
			121.46	Total Miscellaneous income	
REALTY INCOME CORPORATION	756109104	08/15/22	0.25	Substitute payment	
SOFTBANK GROUP AMERICAN DEPOSI	83404D109	07/12/22	1.47	Substitute payment	
TARY RECEIPTS - UNSPONSORED		12/23/22	1.78	Substitute payment	
			3.25	Total Miscellaneous income	
JS Dollars	USDOLLARS	02/02/22	0.24	Other income	

269.00 Total Miscellaneous income

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## Robinhood Markets Inc. as agent for Robinhood Securities LLC

2022

## **Other Receipts and Reconciliations**

Account 713752996

02/15/2023

This section of your tax information statement contains the detail of the year-to-year adjustments between cash distributions and reportable income for Unit Investment Trusts, reflecting the fact that income is recognized at the time it is received by the trust, rather than when a distribution is made.

Also shown are distributions whose tax impact will be defined by other documentation. In the case of partnership distributions, you will receive Schedule K-1 (Form 1065) directly from the partnership(s). For deferred income, the Form 1099-DIV issued in the following year will characterize the amounts received.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
GLOBAL MEDICAL REIT INC.	37954A204	01/09/23	4.80	Deferred income payment	03,24
ORCHID ISLAND CAPITAL, INC.	68571X301	01/27/23	0.12	Deferred income payment	03,24

4.92 Total Deferred income payment

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### Robinhood Markets Inc. as agent for **Robinhood Securities LLC**

2022

## **Fees and Expenses**

Account 713752996

02/15/2023

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
Margin charge		01/10/22	-5.00	Margin interest paid	
		01/10/22	-35.22	Margin interest paid	
		02/08/22	-5.00	Margin interest paid	
		02/08/22	-37.49	Margin interest paid	
		03/10/22	-5.00	Margin interest paid	
		03/10/22	-41.92	Margin interest paid	
		04/11/22	-5.00	Margin interest paid	
		04/11/22	-47.18	Margin interest paid	
		05/09/22	-5.00	Margin interest paid	
		05/09/22	-52.52	Margin interest paid	
		06/08/22	-5.00	Margin interest paid	
		06/08/22	-59.96	Margin interest paid	
		07/08/22	-5.00	Margin interest paid	
		07/08/22	-66.71	Margin interest paid	
		08/08/22	-5.00	Margin interest paid	
		08/08/22	-71.90	Margin interest paid	
		09/06/22	-5.00	Margin interest paid	
		09/06/22	-79.66	Margin interest paid	
		10/06/22	-5.00	Margin interest paid	
		10/06/22	-84.97	Margin interest paid	
		11/07/22	-5.00	Margin interest paid	
		11/07/22	-75.66	Margin interest paid	
		12/05/22	-5.00	Margin interest paid	
		12/05/22	-74.10	Margin interest paid	
		-	-787.29	Total Margin interest paid	
		-	-787.29	Total Margin interest paid	

2022

## **Foreign Income and Taxes Summary**

Account 713752996

02/15/2023

This schedule lists all income and foreign tax by country. Mutual Funds and Unit Investment Trusts (UITs) structured as Regulated Investment Companies (RICs) are listed separately with a country designation of "RIC."

				Dividends			
Country	Security Description	CUSIP	Nonqualified	Qualified	Interest	Total Income	Тах
CA	YAMANA GOLD, INC.	98462Y100	1.36	0.00	0.00	1.36	-0.20
Total CANADA			1.36	0.00	0.00	1.36	-0.20
СН	JD.COM, INC. AMERICAN DEPOSITA RY SHARES	47215P106	2.18	0.00	0.00	2.18	0.00
Total CHINA			2.18	0.00	0.00	2.18	0.00
IN	ICICI BANK LIMITED	45104G104	3.97	0.00	0.00	3.97	-0.43
Total INDIA			3.97	0.00	0.00	3.97	-0.43
JA	SOFTBANK GROUP AMERICAN DEPOSI TARY RECEIPTS - UNSPONSORED	83404D109	0.81	0.00	0.00	0.81	-0.62
JA	SONY GROUP CORPORATION AMERICA N DEPOSITARY SHARES (EACH REPR ESENTING ONE SHARE OF DOLLAR V	835699307	0.47	0.00	0.00	0.47	-0.08
Total JAPAN			1.28	0.00	0.00	1.28	-0.70
Grand Total	Total Foreign Source Income* Qualified Foreign Source Incom Foreign Tax	e**		8.79 0.00 -1.33			

\* Total Foreign Source Income utilizes the "Total" column for RICs which may not always be supplied. \*\* Qualified Foreign Source Income utilizes the "Qualified" column for RICs which may not always be supplied.

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Robinhood Markets Inc. as agent for Robinhood Securities LLC	Non-Re	eportable	Actions	Account 713752996					
2022				02/15/2023					
Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes			
TWITTER, INC.	90184L102	10/31/22	-17.00	0.00	Merger				
WARNER BROS. DISCOVERY, INC. S ERIES A COMMON STOCK	934423104	04/11/22	13.00	0.00	Stock spinoff				
FB 06/16/2023 CALL \$310.00	FB 06/16/23 C 310.000	06/09/22	-1.00	0.00	Merger				
META 06/16/2023 CALL \$310.00	META 06/16/23 C 310.000	06/09/22	1.00	0.00	Merger				

## Robinhood Markets Inc. as agent for Robinhood Securities LLC

### 2022 End Notes for Account 713752996

- 03 The tax character of the distribution has been allocated based on information provided by the security issuer.
- Although distributions by mutual funds or REITs are ordinarily reported in the year of record date (2022), the payer will not determine the tax character of this distribution until the conclusion of 2023. It will be reported on your tax statement for that year.
- 25 Tax lot closed on a first in first out basis.

#### Common Instructions for Recipient

Recipient's taxpaver identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS

Account number. May show an account or other unique number the payer assigned to distinguish your account

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

#### Keep tax documents for your records.

#### **1099-DIV Instructions for Recipient**

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

Line 1b. Shows the portion of the amount in Line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in Lines 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Form 1040.

Line 2b. Shows the portion of the amount in Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2c. Shows the portion of the amount in Line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

Line 2d. Shows the portion of the amount in Line 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2e. Shows the portion of the amount in Line 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

Line 2f. Shows the portion of the amount in Line 2a that is section 897 gain attributable to disposition of LISRPI

Note: Lines 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return. Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in Line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in Line 1a. Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Form 1040.

Line 8. This Line should be left blank if a RIC reported the foreign tax shown in Line 7.

#### Instructions for Recipient

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You may also have a filing requirement. See the Instructions for Form 8938

Line 12. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040SR for where to report. This amount may be subject to backup withholding. See Line 4 above. Line 13. Shows exempt-interest dividends subject to the alternative minimum tax. This

amount is included in Line 12. See the Instructions for Form 6251. Lines 14-16. State income tax withheld reporting Lines.

Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

#### 1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts. "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or nonSection 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount. see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank. Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

#### 1099-MISC Instructions for Recipient

Line 2. Report royalties from oil, gas, or mineral properties; copyrights; and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the Schedule E (Form 1040) instructions. For royalties on timber, coal, and iron ore, see Pub 544

Line 3. Generally, report this amount on the "Other income" line on Form 1040 (or Form 1040NR) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040). Line 4. See "Backup Withholding" section.

Line 8. Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040).

Line 13. If the FATCA filing requirement box is checked, the paver is reporting on this Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You may also have a filing requirement. See the Instructions for Form 8938

#### Lines 16-18. Show state or local income tax withheld from the payments.

Future developments. For the latest information about developments related to Form 1099-MISC and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099MISC.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

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Robinhood Markets Inc. as agent for Robinhood Crypto LLC		Information nt 713752996C	Statement Date:	02/15/2023	2022
85 Willow Rd Menlo Park, CA 94025 Customer Service: 650-940-2700	Sridhar Pavithrapu 1990 Trento loop Milipitas, CA 95035				·
PAYER'S TIN: 46-4364776	RECIPIENT'S TIN: XXX	(-XX-6826			
11 - [] FATCA filing requirement (see instructions)	Summar	y Information		13 - [] FATCA filing requirer	ment (see instructions)
DIVIDENDS AND DISTRIBUTIONS 2022 1099-DIV	* OMB No. 1545-0110	MISCELLANEOUS	INFORMATION	2022 1099-MISC*	OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5, 2e)	0.00	2- Royalties			0.00
1b- Qualified dividends	0.00	3- Other income			0.00
2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)	0.00	4- Federal income tax	withheld		0.00
2b- Unrecaptured Section 1250 gain	0.00	8- Substitute payments	in lieu of dividends or	r interest	0.00
2c- Section 1202 gain	0.00				
2d- Collectibles (28%) gain	0.00	SECTION 1256 CON	NTRACTS	2022 1099-B*	OMB No. 1545-0715
2e- Section 897 ordinary dividends 2f- Section 897 capital gain	0.00 0.00	8- Profit or (loss) realiz	ed in 2022 on closed (	contracts	0.00
3- Nondividend distributions	0.00	9- Unrealized profit or (			0.00
4- Federal income tax withheld	0.00	10- Unrealized profit or (			0.00
5- Section 199A dividends	0.00	11- Aggregate profit or (	oss) on contracts		0.00
6- Investment expenses	0.00	lf angliaghla, guagas	la fuana anta tuana atti		
8- Foreign country or US possession: 7- Foreign tax paid:	0.00		nt sections of this doc	ons appear summarized bel	ow and are
9- Cash liquidation distributions	0.00	* This is important tax informatic			nue Service
10- Noncash liquidation distributions	0.00	If you are required to file a return	•		
12- Exempt-interest dividends (includes line 13)	0.00	you if this income is taxable and			
<ol> <li>Specified private activity bond interest dividends (AMT)</li> </ol>	0.00	, et and moone to taxable and			

### SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	B (basis not reported to the IRS)	232.86	199.95	0.00	0.00	32.91
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	232.86	199.95	0.00	0.00	32.91
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	232.86	199.95	0.00	0.00	32.91
Withholding		Amount				
Federal income	tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2022 may require an amended tax form.

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## **Summary Information**

2022

#### (continued)

OMB No. 1545-0112

02/15/2023

Account 713752996C

#### **INTEREST INCOME** 2022 1099-INT

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

<ol> <li>Interest income (not included in line 3)</li> <li>Early withdrawal penalty</li> </ol>	0.00 0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
<ol><li>Bond premium on Treasury obligations (covered lots)</li></ol>	0.00
<ol><li>Bond premium on tax-exempt bonds (categorized below)</li></ol>	0.00
Tax-exempt obligations (covered lots)	0.00
Tax-exempt private activity obligations (AMT, covered lots) 14- Tax-exempt and tax credit bond CUSIP number	0.00
FATCA filing requirement []	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid Taxable accrued Treasury interest paid	0.00 0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

#### STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

### **ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

#### **RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

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### Robinhood Markets Inc. as agent for Robinhood Crypto LLC

## **Proceeds from Broker and Barter Exchange Transactions**

02/15/2023

Account 713752996C

#### **2022 1099-B\*** OMB No. 1545-0715

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

#### FATCA filing requirement []

#### SHORT TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)

Report on Form 8949, Part I with Box B checked. Basis is NOT provided to the IRS. (Line 12)

"Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS.

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et		Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
Bitcoin / CUSIP: BTCL	JSD / Symbol:						
	4 transactions for 02/0	4/22. Total proceeds a	re reported to	the IRS.			
	0.00024751	9.65	01/22/22	8.55		1.10	1 of 4 - Sale 25
	0.00025018	9.76	01/22/22	8.62		1.14	2 of 4 - Sale 25
	0.0012	46.81	01/22/22	41.43		5.38	3 of 4 - Sale 25
	0.0012	46.80	01/22/22	41.35		5.45	4 of 4 - Sale 25
02/04/22	0.002898	113.02	Various	99.95		13.07	Total of 4 transactions
Ethereum / CUSIP: ET	「HUSD / Symbol:						
	4 transactions for 02/0	2/22. Total proceeds a	re reported to	the IRS.			
	0.006183	17.31	01/22/22	14.46		2.85	1 of 4 - Sale 25
	0.00685	19.18	01/22/22	16.02		3.16	2 of 4 - Sale 25
	0.00835	23.38	01/22/22	19.52		3.86	3 of 4 - Sale 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinho	ood Markets Inc. as agent for		Account 713752996C
Robinho	ood Crypto LLC	Proceeds from Broker and Barter Exchange Transaction	IS
2022	<b>1099-B*</b> OMB No. 1545-0715	(continued)	02/15/2023

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# SHORT TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (*Line 5*) Report on Form 8949, Part I with Box B checked. Basis is NOT provided to the IRS. (*Line 12*) "Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS.

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et ad	Date cquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
Ethereum / CUSIP: ETH	USD / Symbol: (cont'd)						
		50.07	1/22/22	50.00		0.07	4 of 4 - Sale 25
	0.021418	59.97 0	1/22/22	50.00		9.97	4 01 4 - Sale
02/02/22	<i>0.021418</i> 0.042801		//////////////////////////////////////	100.00		9.97 19.84	Total of 4 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for Robinhood Crypto LLC

## 2022 End Notes for Account 713752996C

25 Tax lot closed on a first in first out basis.

#### **Common Instructions for Recipient**

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

#### Keep tax documents for your records.

#### 1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or 0 (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in contract or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or nonSection 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the sexercise of a compensatory option premium. If the securities were acquired through the exercise of a compensatory option the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

#### Instructions for Recipient

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank. Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.