

Apex Clearing
One Dallas Center
350 North St Paul, Ste 1300
Dallas, TX 75201

RAJIV ADUSUMALLI
9209 RAVENWING DR
CHARLOTTE, NC 28262-2449

IN ACCOUNT WITH
WEBULL FINANCIAL LLC
(888) 828-0618

IMPORTANT YEAR END MESSAGES

This document includes your Consolidated Form 1099 that we are required to provide to you and contains information that we are providing to the Internal Revenue Service and additional information that may be helpful to you for filing your tax return. You may wish to seek the advice of a tax professional when preparing your return.

Please verify your personal information for accuracy and contact us to correct any discrepancies. If you own Mutual Funds, Real Estate Trusts (REITs), REMIC Securities or certain fixed investment trusts (WHFITs), income distributions may be reclassified by the issuer after the original 1099 is sent. If you hold these securities or another security that is subject to a reclassification event, you may receive a corrected Form 1099.

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Apex Clearing One Dallas Center 350 North St Paul, Ste 1300 Dallas, TX 75201 Customer Service: 214-765-1009 Payer's Federal ID No: 13-2967453 IN ACCOUNT WITH WEBULL FINANCIAL LLC (888) 828-0618	Account 5NF96787	Form 1099 Composite	2022
	RAJIV ADUSUMALLI 9209 RAVENWING DR CHARLOTTE, NC 28262-2449 RECIPIENT'S ID No: XXX-XX-1950	Statement Date: 02/09/2023 <input type="checkbox"/> Corrected	

FATCA filing Requirement

Tax Reporting Statement

Dividends and Distributions	2022 Form1099DIV	OMB No. 1545-0110
1a- Total Ordinary Dividends (includes amount shown on 1b)	0.28	
1b- Qualified Dividends	0.28	
2a- Total Capital Gain Distributions (includes lines 2b, 2c, 2d)	0.00	
2b- Unrecaptured Section 1250 Gain	0.00	
2c- Section 1202 Gain	0.00	
2d- Collectibles (28%) Gain	0.00	
2e- Section 897 ordinary dividends	0.00	
2f- Section 897 capital gain	0.00	
3- Nondividend Distributions	0.20	
4- Federal Income Tax Withheld	0.00	
5- Section 199A Dividends	0.00	
6- Investment Expenses	0.00	
7- Foreign Tax Paid	0.04	
8- Foreign Country or U.S. Possessions	CA	
9- Cash Liquidation Distribution	0.00	
10- Noncash Liquidation Distributions	0.00	
12- Exempt-Interest Dividends	0.00	
13- Specified Private Activity Bond Interest Dividends	0.00	

Interest Income	2022 Form1099INT	OMB No. 1545-0112
1- Interest Income	0.00	
2- Early Withdrawal Penalty	0.00	
3- Interest on US Savings Bonds & Treasury Obligations	0.00	
4- Federal Income Tax Withheld	0.00	
5- Investment Expenses	0.00	
6- Foreign Tax Paid	0.00	
7- Foreign Country or U.S. Possession		
8- Tax-Exempt Interest (includes box 9)	0.00	
9- Specified Private Activity Bond Interest	0.00	
10- Market Discount	0.00	
11- Bond Premium	0.00	
12- Bond Premium on Treasury Obligations	0.00	
13- Bond Premium on Tax-Exempt bonds	0.00	
14- Tax-Exempt and Tax Credit Bond CUSIP no.		

Miscellaneous Information	2022 Form1099MISC	OMB No. 1545-0115
2- Royalties	0.00	
3- Other Income	0.00	
4- Federal Income Tax Withheld	0.00	
8- Substitute Payments in Lieu of Dividends or Interest	0.00	

REGULATED FUTURES CONTRACTS & SECTION 1256 OPTIONS	
	2022 Form1099B
	OMB No. 1545-0715
8- Profit or (loss) realized in 2022 on closed contracts	-6,760.19
9- Unrealized profit or (loss) on open contracts - 12/31/2021	0.00
10- Unrealized profit or (loss) on open contracts - 12/31/2022	0.00
11- Aggregate profit or (loss) on contracts	-6,760.19
<i>As applicable, proceeds from other sale transactions are summarized below and details are provided in subsequent sections of this document. More details on futures and section 1256 options transactions are also provided in other sections of this document.</i>	

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Dividends may be reclassified for tax purposes after the original Form 1099 is sent in certain cases where information from issuers is received late. This may require us to provide you an amended tax form.

C - Corrected

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Tax Summary

2022

5NF96787

02/09/2023

Summary Of Sale Proceeds

Proceeds from sales of securities are reported individually by trade to the Internal Revenue Service. Please refer to the Proceeds from Broker and Barter Exchange Transactions details sections to determine correct amounts to include in your tax return. The summary transaction amounts shown below are for informational purposes.

Section	Total Proceeds	Total Cost Basis	Total Market Discount	Wash Sale Loss Disallowed	Total Net Gain or Loss(-)
Short-term transactions for covered tax lots	183,386.45	202,316.76	0.00	1,036.51	-17,893.80
Short-term transactions for noncovered tax lots	0.00	0.00	0.00	0.00	0.00
Total Short-term	183,386.45	202,316.76	0.00	1,036.51	-17,893.80
Long-term transactions for covered tax lots	0.00	0.00	0.00	0.00	0.00
Long-term transactions for noncovered tax lots	0.00	0.00	0.00	0.00	0.00
Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined transactions for noncovered tax lots	0.00	0.00	0.00	0.00	0.00
Total Undetermined-term	0.00	0.00	0.00	0.00	0.00

Original Issue Discount

Original Issue Discount (Non-US Treasury Obligations)	0.00
Original Issue Discount on U.S. Treasury Obligations	0.00
Other Periodic Interest	0.00
Market Discount - Covered Positions	0.00
Acquisition Premium - Covered Positions	0.00
Bond Premium - Covered Positions	0.00
Tax-Exempt OID - Covered Positions	0.00
Tax Exempt OID - Specified Private Activity Bonds - Covered Positions	0.00
Investment Expenses	0.00

Amounts shown in this section are summary totals for your reference. For bond-by-bond detail, use the Form1099-OID section of this document.

FEDERAL TAX WITHHELD

Form	Federal Income Tax Withheld
1099-B Total (aggregate):	0.00
1099-DIV Total:	0.00
1099-INT Total:	0.00
1099-MISC Total:	0.00
1099-OID Total:	0.00

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Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

5NF96787

02/09/2023

OMB No. 1545-0715

The following information is being provided to assist in your review and the preparation of your tax return.

This Form 1099-B - Proceeds from Broker and Barter Exchange Transactions provides information relating to sales of securities in your account. The sales details are organized into potentially five separate sections depending on your holding period in the security for the sale (short-term vs long-term) and whether the security is considered a "covered security" for tax reporting purpose. For covered securities, cost basis (adjusted as required under tax regulations) is being reported to the Internal Revenue Service (IRS), whereas for noncovered securities, cost basis if set forth below is provided only for your information.

A separate category is provided for sales of securities for which we do not know your holding period. For such tax lots, you will need to use your historical documents to determine your holding period (whether short-term or long-term) and your tax basis.

Unless noted otherwise under the column "Additional Notes", cost basis and corresponding gain or loss is determined by treating tax lots acquired first as being sold first. We have also assumed that you have made an election to amortize premium on the purchase of taxable bonds.

As brokers, we are only required to apply wash sales loss disallowance rules to securities with the same CUSIP or identifier and purchased and sold within the same account. We are also not required to apply wash sales rules across covered and noncovered securities. You as the taxpayer, however, generally have broader obligations under the wash sales rules to determine disallowed losses, and you may wish to consult with a tax adviser as to the application of such rules to you.

Sales of certain contingent payment debt instruments give rise to ordinary income rather than short-term or long-term capital gain or loss. Such ordinary income treatment is indicated in the Additional Notes column as "Box 2 - Ordinary." In addition, certain assets classified as collectibles are subject to special tax treatment. Any such classification is noted in the Additional Notes column as "Box 3 - Collectibles Box Checked." Loss disallowed for certain transactions involving change in control or capital structure is noted in the Additional Notes column as "Box 7 - Loss Disallowed Box Checked." Disposition of a QOF investment is noted in the Additional Notes column as "Box 3 - QOF."

Information in the transaction detail sections below that have a Box number is being transmitted to the IRS, whereas additional information that is not being transmitted to the IRS is not headed by a Box number. Such additional information may be helpful to you in the preparation of your tax return. Remember that taxpayers are ultimately responsible for the accuracy of their tax returns.

FATCA filing requirement []

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL FB 03/18/22	210	META PLATFORMS INC CL A	CUSIP: *8NHJTX1 Symbol: FB----	220318C00210000			
2022-03-15	2.000000	29.88	2022-03-15	18.10	0.00	11.78	
CALL AMD 02/25/22	125	ADVANCED MICRO DEVICES INC	CUSIP: *8SKGFJ0 Symbol: AMD---	220225C00125000			
2022-02-25	20.000000	98.97	2022-02-25	120.98	0.00	-22.01	
PUT QQQ 01/31/22	356	INVESCO QQQ TRUST SERIES 1	CUSIP: *8PJLYK4 Symbol: QQQ---	220131P00356000			
2022-01-31	2.000000	45.90	2022-01-31	86.08	0.00	-40.18	

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Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT NVDA 02/25/22	230	NVIDIA CORPORATION CUSIP: *8SKTRS1 Symbol: NVDA--220225P00230000	2022-02-23	404.93	360.05	0.00	44.88
PUT NVDA 02/25/22	200	NVIDIA CORPORATION CUSIP: *8SLBVY5 Symbol: NVDA--220225P00200000	2022-02-24	34.93	98.05	0.00	-63.12
PUT AAPL 07/15/22	145	APPLE INC CUSIP: *8BRSKJ4 Symbol: AAPL--220715P00145000	2022-07-14	445.87	440.10	0.00	5.77
CALL RBLX 04/14/22	55	ROBLOX CORPORATION CUSIP: *8KDYKC2 Symbol: RBLX--220414C00055000	2022-04-07	29.88	90.10	0.00	-60.22
CALL MQ 03/18/22	10	MARQETA INC CUSIP: *8PFBQV3 Symbol: MQ----220318C00010000	2022-03-10	139.93	165.05	0.00	-25.12
CALL AMD 02/25/22	140	ADVANCED MICRO DEVICES INC CUSIP: *8SKGFL9 Symbol: AMD---220225C00140000	2022-02-14	31.93	180.05	0.00	-148.12
PUT MU 02/25/22	90	MICRON TECHNOLOGY INC CUSIP: *8SKTGW1 Symbol: MU----220225P00090000	2022-02-22	99.93	86.05	0.00	13.88
CALL NVDA 02/25/22	240	NVIDIA CORPORATION CUSIP: *8SKTRS4 Symbol: NVDA--220225C00240000	2022-02-23	214.93	242.05	0.00	-27.12
CALL COIN 04/14/22	210	COINBASE GLOBAL INC CUSIP: *8SKXGG0 Symbol: COIN--220414C00210000	2022-04-05	109.93	200.05	0.00	-90.12
PUT RBLX 01/28/22	65	ROBLOX CORPORATION CUSIP: *8SLFPJ6 Symbol: RBLX--220128P00065000	2022-01-25	211.94	320.04	0.00	-108.10
PUT TSLA 02/25/22	700	TESLA INC CUSIP: *8SLCNR1 Symbol: TSLA--220225P00700000	2022-02-24	434.93	325.05	0.00	109.88
PUT TWTR 04/14/22	44	TWITTER INC CUSIP: *8PHPDZ3 Symbol: TWTR--220414P00044000	2022-04-12	211.78	151.20	0.00	60.58
PUT TDOC 01/28/22	70	TELADOC HEALTH INC CUSIP: *8SLFTZ7 Symbol: TDOC--220128P00070000	2022-01-25	324.94	290.04	0.00	34.90

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Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL DOCN 08/19/22	55	DIGITALOCEAN HOLDINGS INC CUSIP: *8GGBSF9 Symbol: DOCN--220819C00055000					
2022-08-08	1.000000	149.93	2022-08-08	135.05	0.00	14.88	
CALL TSLA 05/20/22	780	TESLA INC CUSIP: *8NMJLT0 Symbol: TSLA--220520C00780000					
2022-05-17	1.000000	864.92	2022-05-17	1,100.05	0.00	-235.13	
PUT KHC 01/21/22	37.50	KRAFT HEINZ COMPANY (THE) CUSIP: *8NSYGP2 Symbol: KHC---220121P00037500					
2022-01-18	1.000000	52.94	2022-01-18	32.04	0.00	20.90	
CALL NVDA 03/04/22	240	NVIDIA CORPORATION CUSIP: *8C27042 Symbol: NVDA--220304C00240000					
2022-03-02	1.000000	499.93	2022-03-02	415.05	0.00	84.88	
CALL SNAP 03/18/22	35	SNAP INC CUSIP: *8NJRZQ9 Symbol: SNAP--220318C00035000					
2022-03-10	1.000000	54.93	2022-03-09	130.05	0.00	-75.12	
CALL AMD 03/04/22	110	ADVANCED MICRO DEVICES INC CUSIP: *8GJCPX4 Symbol: AMD---220304C00110000					
2022-03-04	2.000000	85.88	2022-03-04	106.10	0.00	-20.22	
CALL SPY 01/28/22	435	STANDARD & POORS DEPOSITORY CUSIP: *8SJQRJ4 Symbol: SPY---220128C00435000					
2022-01-27	1.000000	217.94	2022-01-27	270.04	0.00	-52.10	
PUT TTD 02/25/22	78	TRADE DESK INC CLASS A CUSIP: *8SKWLM3 Symbol: TTD---220225P00078000					
2022-02-18	1.000000	249.93	2022-02-16	330.05	0.00	-80.12	
PUT AMD 05/20/22	95	ADVANCED MICRO DEVICES INC CUSIP: *8SKWYC9 Symbol: AMD---220520P00095000					
2022-05-17	2.000000	219.87	2022-05-17	284.10	0.00	-64.23	
PUT SOXL 01/28/22	39	DIREXION DLY SEMICONDUCTOR BUL CUSIP: *8PHVKN7 Symbol: SOXL--220128P00039000					
2022-01-25	1.000000	279.94	2022-01-25	267.04	0.00	12.90	
CALL SPY 03/04/22	434	STANDARD & POORS DEPOSITORY CUSIP: *8GNHRN5 Symbol: SPY---220304C00434000					
2022-03-04	2.000000	85.88	2022-03-04	54.10	0.00	31.78	
PUT SPY 07/15/22	375	STANDARD & POORS DEPOSITORY CUSIP: *8PHZFC6 Symbol: SPY---220715P00375000					
2022-07-14	2.000000	265.88	2022-07-14	314.10	0.00	-48.22	
CALL AMD 02/25/22	120	ADVANCED MICRO DEVICES INC CUSIP: *8SKYZB0 Symbol: AMD---220225C00120000					
2022-02-22	1.000000	222.93	2022-02-22	172.05	0.00	50.88	

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Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT SPY 01/26/22	439	STANDARD & POORS DEPOSITORY CUSIP: *8PJWKZ4 Symbol: SPY---220126P00439000					
2022-01-26	1.000000	362.94	2022-01-26	372.04	0.00	-9.10	
PUT TQQQ 03/04/22	50	PROSHARES ULTRAPRO QQQ CUSIP: *8PJZNQ2 Symbol: TQQQ--220304P00050000					
2022-02-25	1.000000	211.93	2022-02-25	211.05	0.00	0.88	
CALL RIVN 02/25/22	70	RIVIAN AUTOMOTIVE INC CLASS A CUSIP: *8SLCDG0 Symbol: RIVN--220225C00070000					
2022-02-22	1.000000	171.93	2022-02-22	194.05	0.00	-22.12	
CALL MA 02/04/22	360	MASTERCARD INC CUSIP: *8NSZQB6 Symbol: MA----220204C00360000					
2022-01-27	1.000000	609.94	2022-01-27	645.04	0.00	-35.10	
PUT QQQ 03/02/22	330	INVESCO QQQ TRUST SERIES 1 CUSIP: *8PJSJQ5 Symbol: QQQ---220302P00330000					
2022-02-25	1.000000	117.93	2022-02-25	136.05	0.00	-18.12	
CALL SPY 03/02/22	440	STANDARD & POORS DEPOSITORY CUSIP: *8PJWXB1 Symbol: SPY---220302C00440000					
2022-03-02	3.000000	68.83	2022-03-02	105.15	0.00	-36.32	
PUT TGTX 02/18/22	10	TG THERAPEUTICS INC CUSIP: *8NNZFG7 Symbol: TGTX--220218P00010000					
2022-02-03	3.000000	269.83	2022-02-03	195.15	0.00	74.68	
CALL TSLA 03/11/22	1000	TESLA INC CUSIP: *8SLPXF6 Symbol: TSLA--220311C01000000					
2022-03-03	1.000000	139.93	2022-03-03	175.05	0.00	-35.12	
PUT V 02/04/22	230	VISA INC CUSIP: *8PHGGB1 Symbol: V-----220204P00230000					
2022-02-01	1.000000	194.93	2022-02-01	230.05	0.00	-35.12	
CALL RIVN 03/04/22	52	RIVIAN AUTOMOTIVE INC CLASS A CUSIP: *8PHWQV8 Symbol: RIVN--220304C00052000					
2022-03-04	3.000000	80.83	2022-03-04	159.15	0.00	-78.32	
CALL SPY 03/02/22	436	STANDARD & POORS DEPOSITORY CUSIP: *8PJWWP4 Symbol: SPY---220302C00436000					
2022-03-01	1.000000	351.93	2022-03-01	345.05	0.00	6.88	
CALL U 02/04/22	95	UNITY SOFTWARE INC CUSIP: *8PKDKF6 Symbol: U-----220204C00095000					
2022-02-03	1.000000	699.93	2022-02-03	710.05	0.00	-10.12	
PUT DIS 03/11/22	130	WALT DISNEY CO CUSIP: *8SLKPG9 Symbol: DIS---220311P00130000					
2022-03-09	1.000000	47.93	2022-03-09	54.05	0.00	-6.12	

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Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL AAPL 03/11/22	170	APPLE INC CUSIP: *8SLHVM0 Symbol: AAPL--220311C00170000					
2022-03-03	1.000000	168.93	2022-03-03	207.05	0.00	-38.12	
CALL TSLA 03/11/22	950	TESLA INC CUSIP: *8SLPXC6 Symbol: TSLA--220311C00950000					
2022-03-07	1.000000	241.93	2022-03-07	290.05	0.00	-48.12	
CALL CHWY 03/11/22	50	CHEWY INC CLASS A CUSIP: *8SLJYF6 Symbol: CHWY--220311C00050000					
2022-03-07	1.000000	34.93	2022-03-04	53.05	0.00	-18.12	
CALL RBLX 04/14/22	50	ROBLOX CORPORATION CUSIP: *8KDYKC0 Symbol: RBLX--220414C00050000					
2022-04-01	1.000000	109.93	2022-03-31	168.05	0.00	-58.12	
PUT SPY 03/09/22	420	STANDARD & POORS DEPOSITORY CUSIP: *8SMBLB6 Symbol: SPY---220309P00420000					
2022-03-09	3.000000	50.83	2022-03-09	108.15	0.00	-57.32	
CALL FB 03/18/22	230	META PLATFORMS INC CL A CUSIP: *8NHJVM3 Symbol: FB----220318C00230000					
2022-03-01	1.000000	160.93	2022-02-28	160.05	0.00	0.88	
CALL AMZN 05/20/22	2500	AMAZON.COM INC CUSIP: *8SHGNH4 Symbol: AMZN--220520C02500000					
2022-05-17	1.000000	160.93	2022-05-17	160.05	0.00	0.88	
CALL FB 03/04/22	215	META PLATFORMS INC CL A CUSIP: *8SLKZC1 Symbol: FB----220304C00215000					
2022-03-03	3.000000	101.83	2022-03-03	201.15	0.00	-99.32	
CALL FB 03/25/22	230	META PLATFORMS INC CL A CUSIP: *8SMDJW1 Symbol: FB----220325C00230000					
2022-03-25	2.000000	35.88	2022-03-25	52.10	0.00	-16.22	
PUT AMD 03/25/22	110	ADVANCED MICRO DEVICES INC CUSIP: *8SMBVF2 Symbol: AMD---220325P00110000					
2022-03-24	2.000000	17.88	2022-03-22	182.10	0.00	-164.22	
PUT FB 03/25/22	205	META PLATFORMS INC CL A CUSIP: *8SMDJV2 Symbol: FB----220325P00205000					
2022-03-24	2.000000	15.88	2022-03-22	244.10	0.00	-228.22	
PUT MSFT 03/25/22	280	MICROSOFT CORP CUSIP: *8SMFTG4 Symbol: MSFT--220325P00280000					
2022-03-17	1.000000	137.93	2022-03-17	179.05	41.12 D	-41.12	
2022-03-21	10.000000	619.48	2022-03-18	791.61	0.00	-172.13	
Security Totals:		757.41		970.66		-213.25	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL PYPL 04/14/22	115	PAYPAL HOLDINGS INC CUSIP: *8SHSMG1 Symbol: PYPL--220414C00115000					
2022-04-12	4.000000	187.78	2022-04-12	280.20	0.00	-92.42	
CALL SPY 03/25/22	451	STANDARD & POORS DEPOSITORY CUSIP: *8SMGZC9 Symbol: SPY---220325C00451000					
2022-03-25	8.000000	391.58	2022-03-25	548.39	0.00	-156.81	
PUT DIS 02/11/22	152.50	WALT DISNEY CO CUSIP: *8NRWKT9 Symbol: DIS---220211P00152500					
2022-02-10	1.000000	140.93	2022-02-10	107.05	0.00	33.88	
PUT DIS 03/25/22	135	WALT DISNEY CO CUSIP: *8SMCXB6 Symbol: DIS---220325P00135000					
2022-03-24	15.000000	164.22	Various	1,005.74	0.00	-841.52	
PUT NVAX 02/18/22	86	NOVAVAX INC CUSIP: *8PFXRK8 Symbol: NVAX--220218P00086000					
2022-02-17	1.000000	222.93	2022-02-17	265.05	0.00	-42.12	
CALL UA 02/18/22	19	UNDER ARMOUR INC CLASS C CUSIP: *8PJBWW4 Symbol: UA----220218C00019000					
2022-02-18	2.000000	0.00	2022-02-09	120.10	0.00	-120.10	
CALL PLUG 03/25/22	28	PLUG POWER INC CUSIP: *8SMRKP2 Symbol: PLUG--220325C00028000					
2022-03-21	10.000000	329.48	2022-03-21	650.49	0.00	-321.01	
CALL AMD 04/01/22	127	ADVANCED MICRO DEVICES INC CUSIP: *8SMVRG0 Symbol: AMD---220401C00127000					
2022-03-30	2.000000	283.88	2022-03-30	242.10	0.00	41.78	
CALL NVDA 04/01/22	300	NVIDIA CORPORATION CUSIP: *8SNCBF4 Symbol: NVDA--220401C00300000					
2022-03-28	1.000000	159.93	2022-03-28	200.05	40.12 D	-40.12	
2022-03-29	1.000000	140.93	2022-03-29	220.17	0.00	-79.24	
Security Totals:		300.86		420.22		-119.36	
CALL AMC 04/01/22	25	AMC ENTERTAINMENT HOLDINGS INC CUSIP: *8SMVQT8 Symbol: AMC---220401C00025000					
2022-03-28	5.000000	649.73	2022-03-28	492.25	0.00	157.48	
CALL FB 04/01/22	250	META PLATFORMS INC CL A CUSIP: *8SMYGX2 Symbol: FB----220401C00250000					
2022-03-28	5.000000	119.73	2022-03-25	128.25	0.00	-8.52	
CALL AMD 04/01/22	120	ADVANCED MICRO DEVICES INC CUSIP: *8SMVRD6 Symbol: AMD---220401C00120000					
2022-03-21	1.000000	174.93	2022-03-21	215.05	0.00	-40.12	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT TSLA 04/01/22	1000	TESLA INC CUSIP: *8SNFBH9 Symbol: TSLA--220401P01000000					
2022-04-01	4.000000	43.78	2022-03-31	222.20	0.00	-178.42	
PUT SPY 05/20/22	419	STANDARD & POORS DEPOSITORY CUSIP: *8SMGZT2 Symbol: SPY---220520P00419000					
2022-04-22	1.000000	643.93	2022-04-14	536.05	0.00	107.88	
CALL AMZN 04/01/22	3350	AMAZON.COM INC CUSIP: *8SMVTV4 Symbol: AMZN--220401C03350000					
2022-04-01	1.000000	210.93	2022-04-01	217.05	0.00	-6.12	
CALL AMZN 04/01/22	3500	AMAZON.COM INC CUSIP: *8SMVTX2 Symbol: AMZN--220401C03500000					
2022-03-30	1.000000	299.93	2022-03-29	790.05	0.00	-490.12	
CALL AMD 04/01/22	125	ADVANCED MICRO DEVICES INC CUSIP: *8SMVRF6 Symbol: AMD---220401C00125000					
2022-03-28	6.000000	899.69	2022-03-25	780.29	0.00	119.40	
PUT PYPL 04/01/22	115	PAYPAL HOLDINGS INC CUSIP: *8SNCNK5 Symbol: PYPL--220401P00115000					
2022-03-31	1.000000	101.93	2022-03-30	51.05	0.00	50.88	
CALL NVDA 04/01/22	295	NVIDIA CORPORATION CUSIP: *8SNCBF2 Symbol: NVDA--220401C00295000					
2022-03-31	5.000000	59.73	2022-03-31	100.25	0.00	-40.52	
CALL PYPL 04/01/22	125	PAYPAL HOLDINGS INC CUSIP: *8SNCNK8 Symbol: PYPL--220401C00125000					
2022-03-28	6.000000	95.69	2022-03-28	288.30	0.00	-192.61	
CALL TSLA 04/01/22	1200	TESLA INC CUSIP: *8SNFBP8 Symbol: TSLA--220401C01200000					
2022-03-31	2.000000	70.88	2022-03-30	357.10	0.00	-286.22	
CALL AAPL 04/01/22	180	APPLE INC CUSIP: *8SMVGM4 Symbol: AAPL--220401C00180000					
2022-03-31	2.000000	75.89	2022-03-30	144.10	0.00	-68.21	
PUT BABA 04/01/22	110	ALIBABA GROUP HOLDING LIMITED CUSIP: *8SMWFF5 Symbol: BABA--220401P00110000					
2022-04-01	5.000000	24.73	2022-04-01	60.25	0.00	-35.52	
PUT SPY 03/23/22	445	STANDARD & POORS DEPOSITORY CUSIP: *8BSHCJ2 Symbol: SPY---220323P00445000					
2022-03-23	1.000000	68.93	2022-03-22	120.05	0.00	-51.12	
CALL AMZN 03/25/22	3340	AMAZON.COM INC CUSIP: *8SMJHJ2 Symbol: AMZN--220325C03340000					
2022-03-25	1.000000	131.93	2022-03-25	148.05	0.00	-16.12	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL NVDA 04/01/22	290	NVIDIA CORPORATION CUSIP: *8SNCBF0 Symbol: NVDA--220401C00290000					
2022-03-30	1.000000	125.93	2022-03-30	190.05	0.00	-64.12	
CALL IWM 04/01/22	207	ISHARES RUSSELL 2000 ETF CUSIP: *8SMZNP2 Symbol: IWM---220401C00207000					
2022-04-01	1.000000	95.93	2022-04-01	96.05	0.00	-0.12	
CALL SHOP 04/14/22	850	SHOPIFY INC CUSIP: *8LFLRL3 Symbol: SHOP--220414C00850000					
2022-04-01	1.000000	439.93	2022-03-31	410.05	0.00	29.88	
CALL HOOD 04/01/22	17.50	ROBINHOOD MARKET INC CUSIP: *8SMZCH0 Symbol: HOOD--220401C00017500					
2022-03-31	1.000000	2.93	2022-03-29	55.05	0.00	-52.12	
CALL QQQ 04/01/22	361	INVESCO QQQ TRUST SERIES 1 CUSIP: *8SNCPP0 Symbol: QQQ---220401C00361000					
2022-04-01	2.000000	123.88	2022-04-01	208.10	0.00	-84.22	
CALL RBLX 04/01/22	55	ROBLOX CORPORATION CUSIP: *8SNCQW8 Symbol: RBLX--220401C00055000					
2022-03-30	1.000000	59.93	2022-03-29	40.05	0.00	19.88	
PUT SPY 04/01/22	457	STANDARD & POORS DEPOSITORY CUSIP: *8SNDMP1 Symbol: SPY---220401P00457000					
2022-03-31	1.000000	233.93	2022-03-31	190.05	0.00	43.88	
CALL MSFT 04/01/22	315	MICROSOFT CORP CUSIP: *8SNBNN4 Symbol: MSFT--220401C00315000					
2022-03-29	1.000000	194.93	2022-03-29	146.05	0.00	48.88	
CALL AG 07/15/22	17	FIRST MAJESTIC SILVER CORP CUSIP: *8BSTSK3 Symbol: AG----220715C00017000					
2022-07-15	2.000000	0.00	2022-04-18	140.10	0.00	-140.10	
CALL SHOP 04/14/22	650	SHOPIFY INC CUSIP: *8LFLQT4 Symbol: SHOP--220414C00650000					
2022-04-11	1.000000	989.93	2022-04-11	1,020.05	0.00	-30.12	
CALL AMZN 03/25/22	3295	AMAZON.COM INC CUSIP: *8SMVSW7 Symbol: AMZN--220325C03295000					
2022-03-25	2.000000	1,499.88	2022-03-25	657.10	0.00	842.78	
CALL SE 04/01/22	135	SEA LTD CUSIP: *8SNCZB2 Symbol: SE----220401C00135000					
2022-03-30	1.000000	117.93	2022-03-30	159.05	0.00	-41.12	
CALL SPY 03/30/22	460	STANDARD & POORS DEPOSITORY CUSIP: *8KFNV2 Symbol: SPY---220330C00460000					
2022-03-30	3.000000	245.83	2022-03-30	190.15	0.00	55.68	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS

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1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT PYPL 07/15/22	75	PAYPAL HOLDINGS INC CUSIP: *8SMGKZ6 Symbol: PYPL--220715P00075000					
2022-06-13	1.000000	564.92	2022-06-13	520.05	0.00	44.87	
PUT AMD 04/08/22	105	ADVANCED MICRO DEVICES INC CUSIP: *8KXWJR7 Symbol: AMD---220408P00105000					
2022-04-05	1.000000	141.93	2022-04-05	113.05	0.00	28.88	
CALL AAPL 04/08/22	180	APPLE INC CUSIP: *8KXPTY6 Symbol: AAPL--220408C00180000					
2022-04-04	2.000000	181.88	2022-04-04	150.10	0.00	31.78	
CALL AMD 04/08/22	115	ADVANCED MICRO DEVICES INC CUSIP: *8KXWKX0 Symbol: AMD---220408C00115000					
2022-04-04	1.000000	104.93	2022-04-04	84.05	0.00	20.88	
CALL MSFT 04/08/22	305	MICROSOFT CORP CUSIP: *8LGGKV5 Symbol: MSFT--220408C00305000					
2022-04-06	1.000000	118.93	2022-04-06	200.05	0.00	-81.12	
CALL NVDA 04/08/22	300	NVIDIA CORPORATION CUSIP: *8LGJCC4 Symbol: NVDA--220408C00300000					
2022-04-08	1.000000	0.00	2022-03-31	180.05	0.00	-180.05	
CALL SPY 04/08/22	455	STANDARD & POORS DEPOSITORY CUSIP: *8LKBPW6 Symbol: SPY---220408C00455000					
2022-04-05	1.000000	137.93	2022-04-05	183.05	0.00	-45.12	
CALL FXI 06/17/22	34	ISHARES CHINA LARGE CAP ETF CUSIP: *8NXFV6 Symbol: FXI---220617C00034000					
2022-06-17	1.000000	0.00	2022-06-09	39.05	0.00	-39.05	
CALL BA 04/01/22	195	BOEING CO CUSIP: *8SMWGD6 Symbol: BA----220401C00195000					
2022-03-29	1.000000	232.93	2022-03-29	210.05	0.00	22.88	
CALL BABA 04/08/22	125	ALIBABA GROUP HOLDING LIMITED CUSIP: *8KYC8Y1 Symbol: BABA--220408C00125000					
2022-03-29	1.000000	199.93	2022-03-28	167.05	0.00	32.88	
CALL MSFT 04/08/22	320	MICROSOFT CORP CUSIP: *8LGGKW5 Symbol: MSFT--220408C00320000					
2022-03-31	1.000000	181.93	2022-03-31	156.05	0.00	25.88	
CALL SHOP 04/08/22	800	SHOPIFY INC CUSIP: *8LJGDY8 Symbol: SHOP--220408C00800000					
2022-04-05	1.000000	409.93	2022-04-05	640.05	0.00	-230.12	
CALL SQ 04/01/22	150	BLOCK INC CUSIP: *8SNDNM2 Symbol: SQ----220401C00150000					
2022-03-30	1.000000	149.93	2022-03-30	127.05	0.00	22.88	

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Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL QQQ 03/30/22	370	INVESTCO QQQ TRUST SERIES 1	CUSIP: *8KDGCH4 Symbol: QQQ---220330C00370000				
2022-03-29	1.000000	165.93	2022-03-29	206.05	40.12 D	-40.12	
2022-03-30	1.000000	26.93	2022-03-30	135.17	0.00	-108.24	
Security Totals:		192.86		341.22		-148.36	
PUT DWAC 04/08/22	50	DIGITAL WORLD ACQUISITION CORP	CUSIP: *8LDBSM5 Symbol: DWAC--220408P00050000				
2022-04-05	1.000000	274.93	2022-04-05	233.05	0.00	41.88	
PUT NVDA 04/08/22	240	NVIDIA CORPORATION	CUSIP: *8LGJBS2 Symbol: NVDA--220408P00240000				
2022-04-07	1.000000	509.93	2022-04-06	370.05	0.00	139.88	
CALL GLD 04/08/22	181	SPDR GOLD TR	CUSIP: *8LFVKB1 Symbol: GLD---220408C00181000				
2022-04-05	2.000000	237.88	2022-04-04	194.10	0.00	43.78	
PUT MRNA 04/08/22	155	MODERNA INC	CUSIP: *8LGGGV4 Symbol: MRNA--220408P00155000				
2022-04-06	1.000000	219.93	2022-04-06	285.05	0.00	-65.12	
CALL CCJ 05/20/22	30	CAMECO CORP	CUSIP: *8BVKGM2 Symbol: CCJ---220520C00030000				
2022-05-20	10.000000	0.00	2022-05-05	360.49	0.00	-360.49	
CALL NFLX 04/08/22	425	NETFLIX INC	CUSIP: *8LGGZG9 Symbol: NFLX--220408C00425000				
2022-04-08	1.000000	0.00	2022-03-29	180.05	0.00	-180.05	
CALL BA 04/08/22	200	BOEING CO	CUSIP: *8KYCKY5 Symbol: BA----220408C00200000				
2022-04-05	5.000000	80.71	2022-04-05	296.46	82.21 D	-215.75	
CALL AAPL 04/22/22	170	APPLE INC	CUSIP: *8NQXCR5 Symbol: AAPL--220422C00170000				
2022-04-20	2.000000	97.88	2022-04-19	100.10	0.00	-2.22	
CALL AMD 04/22/22	120	ADVANCED MICRO DEVICES INC	CUSIP: *8NQYFV5 Symbol: AMD---220422C00120000				
2022-04-04	1.000000	126.93	2022-04-04	160.05	0.00	-33.12	
CALL AMZN 04/22/22	3210	AMAZON.COM INC	CUSIP: *8NQYVF9 Symbol: AMZN--220422C03210000				
2022-04-21	1.000000	659.93	2022-04-20	310.05	0.00	349.88	
CALL CCL 04/22/22	20	CARNIVAL CORP	CUSIP: *8NRFWG7 Symbol: CCL---220422C00020000				
2022-04-13	5.000000	224.73	2022-04-13	201.25	0.00	23.48	

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Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL SPY 04/22/22	450 STANDARD & POORS DEPOSITORY CUSIP: *8PFRFG7 Symbol: SPY---220422C00450000						
2022-04-21	2.000000	259.88	2022-04-21	354.10	0.00	-94.22	
CALL TSLA 04/22/22	1200 TESLA INC CUSIP: *8PJBKJ9 Symbol: TSLA--220422C01200000						
2022-04-20	2.000000	299.88	2022-04-20	200.10	0.00	99.78	
CALL AMZN 04/22/22	3200 AMAZON.COM INC CUSIP: *8NQYVF5 Symbol: AMZN--220422C03200000						
2022-04-20	1.000000	534.93	2022-04-20	450.05	0.00	84.88	
CALL QQQ 04/22/22	345 INVESCO QQQ TRUST SERIES 1 CUSIP: *8PBHMP7 Symbol: QQQ---220422C00345000						
2022-04-20	2.000000	401.86	2022-04-20	402.10	0.00	-0.24	
PUT CVX 04/08/22	160 CHEVRON CORPORATION CUSIP: *8LBQJB5 Symbol: CVX---220408P00160000						
2022-04-04	2.000000	139.88	2022-04-04	190.10	0.00	-50.22	
CALL MCD 04/08/22	250 MCDONALDS CORP CUSIP: *8LGFFT0 Symbol: MCD---220408C00250000						
2022-04-06	1.000000	199.93	2022-04-06	120.05	0.00	79.88	
PUT VIR 04/14/22	20 VIR BIOTECHNOLOGY INC CUSIP: *8LWDFH5 Symbol: VIR---220414P00020000						
2022-04-06	1.000000	49.93	2022-04-05	40.05	0.00	9.88	
PUT MSFT 04/22/22	275 MICROSOFT CORP CUSIP: *8NTNSC1 Symbol: MSFT--220422P00275000						
2022-04-18	1.000000	229.93	2022-04-18	170.05	0.00	59.88	
PUT TSLA 04/22/22	1000 TESLA INC CUSIP: *8PJB DY1 Symbol: TSLA--220422P01000000						
2022-04-22	2.000000	59.88	2022-04-22	698.10	0.00	-638.22	
PUT RIVN 04/08/22	40 RIVIAN AUTOMOTIVE INC CLASS A CUSIP: *8LHNSP4 Symbol: RIVN--220408P00040000						
2022-04-06	2.000000	239.88	2022-04-06	162.10	0.00	77.78	
CALL AMD 04/22/22	100 ADVANCED MICRO DEVICES INC CUSIP: *8NQYFK4 Symbol: AMD---220422C00100000						
2022-04-19	2.000000	150.86	Various	114.10	0.00	36.76	
CALL MSFT 04/22/22	290 MICROSOFT CORP CUSIP: *8NTN WY5 Symbol: MSFT--220422C00290000						
2022-04-22	1.000000	0.00	2022-04-21	300.05	0.00	-300.05	
CALL NVDA 04/22/22	225 NVIDIA CORPORATION CUSIP: *8NWL LH7 Symbol: NVDA--220422C00225000						
2022-04-19	2.000000	709.88	2022-04-19	710.10	0.00	-0.22	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT FB 04/22/22	205	META PLATFORMS INC CL A CUSIP: *8NRNHP7 Symbol: FB----		220422P00205000			
2022-04-20	1.000000	284.93	2022-04-19	142.05	0.00	142.88	
PUT QQQ 04/04/22	365	INVESCO QQQ TRUST SERIES 1 CUSIP: *8NSVBM6 Symbol: QQQ---		220404P00365000			
2022-04-04	2.000000	17.88	2022-04-04	36.10	0.00	-18.22	
CALL AAPL 03/25/22	167.50	APPLE INC CUSIP: *8PLGKV0 Symbol: AAPL--		220325C00167500			
2022-03-22	2.000000	237.88	2022-03-22	277.10	0.00	-39.22	
CALL AMZN 04/22/22	3100	AMAZON.COM INC CUSIP: *8NQYTN2 Symbol: AMZN--		220422C03100000			
2022-04-22	1.000000	0.00	2022-04-21	150.05	0.00	-150.05	
CALL AAPL 04/29/22	175	APPLE INC CUSIP: *8SPPVY9 Symbol: AAPL--		220429C00175000			
2022-04-21	1.000000	88.93	2022-04-21	202.05	113.12 D	-113.12	
2022-04-29	2.000000	0.00	2022-04-22	261.22	0.00	-261.22	
Security Totals:		88.93		463.27		-374.34	
CALL QQQ 04/13/22	350	INVESCO QQQ TRUST SERIES 1 CUSIP: *8PLDLR4 Symbol: QQQ---		220413C00350000			
2022-04-12	1.000000	49.93	2022-04-12	78.05	0.00	-28.12	
CALL SPY 04/29/22	427	STANDARD & POORS DEPOSITORY CUSIP: *8SPXDP7 Symbol: SPY---		220429C00427000			
2022-04-28	1.000000	134.93	2022-04-26	293.05	158.12 D	-158.12	
2022-04-29	2.000000	319.88	2022-04-29	336.22	0.00	-16.34	
Security Totals:		454.81		629.27		-174.46	
CALL SNOW 04/08/22	270	SNOWFLAKE INC CLASS A CUSIP: *8LJMCT8 Symbol: SNOW--		220408C00270000			
2022-03-28	2.000000	479.88	2022-03-28	360.10	0.00	119.78	
PUT SPY 04/29/22	419	STANDARD & POORS DEPOSITORY CUSIP: *8SPXDN2 Symbol: SPY---		220429P00419000			
2022-04-28	2.000000	785.88	2022-04-28	676.10	0.00	109.78	
CALL PINS 04/29/22	25	PINTEREST INC CLASS A CUSIP: *8SPWCH3 Symbol: PINS--		220429C00025000			
2022-04-19	5.000000	349.73	2022-04-18	255.25	0.00	94.48	
CALL TSLA 04/29/22	940	TESLA INC CUSIP: *8SPXRF1 Symbol: TSLA--		220429C00940000			
2022-04-28	3.000000	260.83	2022-04-27	2,495.15	0.00	-2,234.32	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL QQQ 04/20/22	345	INVESTCO QQQ TRUST SERIES 1 CUSIP: *8BZRXM7 Symbol: QQQ---220420C00345000					
2022-04-20	2.000000	61.88	2022-04-20	64.10	0.00	-2.22	
PUT SNAP 04/22/22	22	SNAP INC CUSIP: *8CRWYG0 Symbol: SNAP--220422P00022000					
2022-04-22	2.000000	0.00	2022-04-21	144.10	0.00	-144.10	
CALL AAPL 04/01/22	177.50	APPLE INC CUSIP: *8BRRWV2 Symbol: AAPL--220401C00177500					
2022-03-24	2.000000	169.88	2022-03-24	130.10	0.00	39.78	
CALL SPY 04/20/22	446	STANDARD & POORS DEPOSITORY CUSIP: *8CVMHM5 Symbol: SPY---220420C00446000					
2022-04-20	2.000000	195.88	2022-04-20	190.10	0.00	5.78	
CALL MSFT 04/22/22	295	MICROSOFT CORP CUSIP: *8NTPDY5 Symbol: MSFT--220422C00295000					
2022-04-21	3.000000	185.83	2022-04-21	165.15	0.00	20.68	
CALL TSLA 04/29/22	1100	TESLA INC CUSIP: *8SPXRL7 Symbol: TSLA--220429C01100000					
2022-04-18	1.000000	1,474.93	2022-04-18	1,100.05	0.00	374.88	
PUT TSLA 04/29/22	840	TESLA INC CUSIP: *8SPXQZ2 Symbol: TSLA--220429P00840000					
2022-04-29	2.000000	39.88	2022-04-29	69.10	0.00	-29.22	
CALL TSM 04/29/22	110	TAIWAN SEMICONDUCTOR MFG CO CUSIP: *8SPXRT5 Symbol: TSM---220429C00110000					
2022-04-14	2.000000	53.88	2022-04-13	190.10	0.00	-136.22	
CALL SPY 04/18/22	438	STANDARD & POORS DEPOSITORY CUSIP: *8CSHRL0 Symbol: SPY---220418C00438000					
2022-04-18	2.000000	135.88	2022-04-18	160.10	0.00	-24.22	
CALL SPY 04/18/22	444	STANDARD & POORS DEPOSITORY CUSIP: *8CVMDS9 Symbol: SPY---220418C00444000					
2022-04-11	1.000000	240.93	2022-04-11	335.05	0.00	-94.12	
CALL BBBY 04/01/22	33	BED BATH & BEYOND INC CUSIP: *8PKGTH7 Symbol: BBBY--220401C00033000					
2022-03-31	1.000000	2.93	2022-03-29	71.05	0.00	-68.12	
PUT SPY 03/23/22	443	STANDARD & POORS DEPOSITORY CUSIP: *8BSHCH8 Symbol: SPY---220323P00443000					
2022-03-21	2.000000	637.88	2022-03-21	578.10	0.00	59.78	
PUT SPY 03/30/22	448	STANDARD & POORS DEPOSITORY CUSIP: *8KFNJT1 Symbol: SPY---220330P00448000					
2022-03-28	3.000000	497.81	2022-03-28	400.15	0.00	97.66	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL SPY 04/20/22	448	STANDARD & POORS DEPOSITORY CUSIP: *8LKYFL1 Symbol: SPY---220420C00448000					
2022-04-20	2.000000	93.88	2022-04-20	60.10	0.00	33.78	
CALL AAPL 04/14/22	172.50	APPLE INC CUSIP: *8PKVTD1 Symbol: AAPL--220414C00172500					
2022-04-13	1.000000	31.93	2022-04-13	45.05	0.00	-13.12	
CALL SQ 04/14/22	128	BLOCK INC CUSIP: *8PNBQZ9 Symbol: SQ---220414C00128000					
2022-04-11	2.000000	399.88	2022-04-11	520.10	0.00	-120.22	
PUT TWTR 07/15/22	36	TWITTER INC CUSIP: *8SMTXT4 Symbol: TWTR--220715P00036000					
2022-07-14	10.000000	299.48	2022-07-13	380.50	0.00	-81.02	
CALL TSLA 04/29/22	1030	TESLA INC CUSIP: *8SPXRJ7 Symbol: TSLA--220429C01030000					
2022-04-25	1.000000	1,624.93	2022-04-25	1,369.05	0.00	255.88	
PUT SPY 04/27/22	420	STANDARD & POORS DEPOSITORY CUSIP: *8LGSQC4 Symbol: SPY---220427P00420000					
2022-04-26	1.000000	213.93	2022-04-26	185.05	0.00	28.88	
CALL PDD 04/01/22	46.50	PINDUODUO INC ADS CUSIP: *8PMWWY7 Symbol: PDD---220401C00046500					
2022-03-28	1.000000	57.93	2022-03-28	59.05	0.00	-1.12	
CALL ABNB 04/08/22	177.50	AIRBNB INC CUSIP: *8PKVXH3 Symbol: ABNB--220408C00177500					
2022-04-04	2.000000	383.88	2022-04-04	492.10	0.00	-108.22	
CALL MSFT 04/29/22	285	MICROSOFT CORP CUSIP: *8SPVKJ5 Symbol: MSFT--220429C00285000					
2022-04-25	1.000000	604.93	2022-04-25	480.05	0.00	124.88	
PUT AAPL 05/06/22	160	APPLE INC CUSIP: *8LGVXR0 Symbol: AAPL--220506P00160000					
2022-05-04	2.000000	519.88	2022-05-04	508.10	0.00	11.78	
PUT AAPL 05/06/22	150	APPLE INC CUSIP: *8LGVXQ6 Symbol: AAPL--220506P00150000					
2022-05-02	1.000000	169.93	2022-05-02	120.05	0.00	49.88	
CALL TLRY 04/08/22	10	TILRAY BRANDS INC CUSIP: *8LKPQ2 Symbol: TLRY--220408C00010000					
2022-04-01	3.000000	86.83	Various	196.15	0.00	-109.32	
CALL AAPL 05/06/22	160	APPLE INC CUSIP: *8LGVXQ9 Symbol: AAPL--220506C00160000					
2022-05-03	2.000000	491.88	2022-05-03	358.10	0.00	133.78	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL AMD 05/06/22	100	ADVANCED MICRO DEVICES INC CUSIP: *8LHBTH9 Symbol: AMD---220506C00100000					
2022-05-05	3.000000	65.83	2022-05-05	57.15	0.00	8.68	
PUT TSLA 04/29/22	880	TESLA INC CUSIP: *8SPXRB8 Symbol: TSLA--220429P00880000					
2022-04-26	1.000000	2,219.92	2022-04-26	1,865.05	0.00	354.87	
PUT TSLA 05/06/22	750	TESLA INC CUSIP: *8PKTHF7 Symbol: TSLA--220506P00750000					
2022-04-28	1.000000	554.93	2022-04-28	1,393.05	0.00	-838.12	
CALL MS 07/15/22	80	MORGAN STANLEY CUSIP: *8KJSLT3 Symbol: MS----220715C00080000					
2022-07-14	20.000000	58.97	2022-07-13	640.99	0.00	-582.02	
CALL SBUX 05/06/22	110	STARBUCKS CORPORATION CUSIP: *8NSKPJ2 Symbol: SBUX--220506C00110000					
2022-04-04	5.000000	44.69	2022-04-01	65.25	0.00	-20.56	
CALL MSFT 11/18/22	250	MICROSOFT CORP CUSIP: *8PJJBV9 Symbol: MSFT--221118C00250000					
2022-11-16	2.000000	99.89	2022-11-16	142.08	0.00	-42.19	
CALL TSLA 05/06/22	930	TESLA INC CUSIP: *8PKTHR7 Symbol: TSLA--220506C00930000					
2022-05-05	1.000000	564.93	2022-05-05	1,005.05	440.12 D	-440.12	
2022-05-06	2.000000	43.88	2022-05-05	1,100.22	0.00	-1,056.34	
Security Totals:		608.81		2,105.27		-1,496.46	
PUT AAPL 05/13/22	135	APPLE INC CUSIP: *8SPPGB9 Symbol: AAPL--220513P00135000					
2022-05-13	7.000000	0.00	2022-05-12	396.35	0.00	-396.35	
CALL AAPL 05/13/22	170	APPLE INC CUSIP: *8SPPGD2 Symbol: AAPL--220513C00170000					
2022-05-04	6.000000	545.69	2022-05-04	414.30	0.00	131.39	
PUT AAPL 05/13/22	155	APPLE INC CUSIP: *8SPPGC7 Symbol: AAPL--220513P00155000					
2022-05-11	1.000000	369.93	2022-05-10	325.05	0.00	44.88	
PUT FB 05/13/22	195	META PLATFORMS INC CL A CUSIP: *8SQBXD9 Symbol: FB----220513P00195000					
2022-05-09	1.000000	501.93	2022-05-09	385.05	0.00	116.88	
CALL AMD 04/14/22	106	ADVANCED MICRO DEVICES INC CUSIP: *8PKXGD4 Symbol: AMD---220414C00106000					
2022-04-07	1.000000	172.93	2022-04-07	285.05	0.00	-112.12	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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PUT CRM 07/15/22	160	SALESFORCE INC CUSIP: *8SMLLD6 Symbol: CRM---	220715P00160000				
2022-07-14	2.000000	409.88	2022-07-13	360.10	0.00	49.78	
CALL AAPL 10/21/22	145	APPLE INC CUSIP: *8GSSVR3 Symbol: AAPL--	221021C00145000				
2022-10-12	10.000000	1,329.45	2022-10-12	1,550.49	0.00	-221.04	
CALL TWTR 04/08/22	55	TWITTER INC CUSIP: *8NQP6H6 Symbol: TWTR--	220408C00055000				
2022-04-05	2.000000	259.88	2022-04-05	332.10	0.00	-72.22	
CALL TWTR 04/22/22	55	TWITTER INC CUSIP: *8PLXZW2 Symbol: TWTR--	220422C00055000				
2022-04-21	2.000000	9.88	2022-04-21	18.10	0.00	-8.22	
PUT AAPL 04/22/22	162.50	APPLE INC CUSIP: *8PPRCV5 Symbol: AAPL--	220422P00162500				
2022-04-20	1.000000	42.93	2022-04-18	113.05	70.12 D	-70.12	
2022-04-22	4.000000	119.78	2022-04-20	214.32	0.00	-94.54	
Security Totals:		162.71		327.37		-164.66	
CALL AAPL 04/22/22	167.50	APPLE INC CUSIP: *8PPRCV7 Symbol: AAPL--	220422C00167500				
2022-04-22	2.000000	11.88	2022-04-22	50.10	0.00	-38.22	
CALL LMT 04/22/22	485	LOCKHEED MARTIN CORP CUSIP: *8NSCFQ3 Symbol: LMT---	220422C00485000				
2022-04-12	1.000000	429.93	2022-04-12	470.05	0.00	-40.12	
PUT TSLA 05/13/22	800	TESLA INC CUSIP: *8PPKRH3 Symbol: TSLA--	220513P00800000				
2022-05-09	1.000000	1,558.93	2022-05-09	2,370.05	0.00	-811.12	
CALL LCID 04/22/22	22.50	LUCID GROUP INC CUSIP: *8SNZZK0 Symbol: LCID--	220422C00022500				
2022-04-22	5.000000	0.00	2022-04-19	100.25	0.00	-100.25	
CALL AMD 07/15/22	80	ADVANCED MICRO DEVICES INC CUSIP: *8KQVTL7 Symbol: AMD---	220715C00080000				
2022-07-15	2.000000	119.88	2022-07-15	98.10	0.00	21.78	
CALL ORCL 04/22/22	81	ORACLE CORP CUSIP: *8NYTDP8 Symbol: ORCL--	220422C00081000				
2022-04-20	4.000000	239.78	2022-04-20	140.20	0.00	99.58	
PUT SPY 05/27/22	365	STANDARD & POORS DEPOSITORY CUSIP: *8SRGRG0 Symbol: SPY---	220527P00365000				
2022-05-24	5.000000	199.73	2022-05-23	110.25	0.00	89.48	

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Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT AAPL 05/27/22	135	APPLE INC CUSIP: *8SPHCQ4 Symbol: AAPL--220527P00135000					
2022-05-25	1.000000	75.93	2022-05-24	135.05	0.00	-59.12	
CALL AMD 05/27/22	95	ADVANCED MICRO DEVICES INC CUSIP: *8SPHMK9 Symbol: AMD---220527C00095000					
2022-05-23	1.000000	283.93	2022-05-23	260.05	0.00	23.88	
CALL NVDA 05/06/22	205	NVIDIA CORPORATION CUSIP: *8PKRBD5 Symbol: NVDA--220506C00205000					
2022-05-03	1.000000	326.93	2022-05-03	360.05	0.00	-33.12	
PUT OXY 05/27/22	60	OCCIDENTAL PETROLEUM CORP CUSIP: *8SQMYX1 Symbol: OXY---220527P00060000					
2022-05-25	3.000000	50.83	2022-05-23	248.15	0.00	-197.32	
PUT SPY 04/25/22	421	STANDARD & POORS DEPOSITORY CUSIP: *8BZZRG2 Symbol: SPY---220425P00421000					
2022-04-25	3.000000	374.83	2022-04-25	264.15	0.00	110.68	
CALL JWN 04/22/22	29.50	NORDSTROM INC CUSIP: *8NRZWG3 Symbol: JWN---220422C00029500					
2022-04-22	1.000000	0.00	2022-04-19	53.05	0.00	-53.05	
CALL FB 05/27/22	210	META PLATFORMS INC CL A CUSIP: *8SPLXZ0 Symbol: FB----220527C00210000					
2022-05-24	1.000000	4.93	2022-05-23	51.05	0.00	-46.12	
CALL AMD 04/29/22	93	ADVANCED MICRO DEVICES INC CUSIP: *8SRPYV3 Symbol: AMD---220429C00093000					
2022-04-26	1.000000	56.93	2022-04-22	193.05	0.00	-136.12	
PUT SHOP 05/20/22	360	SHOPIFY INC CUSIP: *8TKVS9 Symbol: SHOP--220520P00360000					
2022-05-16	1.000000	1,609.90	2022-05-16	1,750.05	0.00	-140.15	
CALL CVNA 04/22/22	105	CARVANA COMPANY CUSIP: *8NRHVH2 Symbol: CVNA--220422C00105000					
2022-04-21	1.000000	19.93	2022-04-20	440.05	0.00	-420.12	
PUT SPY 05/04/22	414	STANDARD & POORS DEPOSITORY CUSIP: *8SPBYT5 Symbol: SPY---220504P00414000					
2022-05-04	1.000000	159.93	2022-05-04	152.05	0.00	7.88	
CALL SNAP 04/29/22	28.50	SNAP INC CUSIP: *8NTTKY8 Symbol: SNAP--220429C00028500					
2022-04-28	1.000000	88.93	2022-04-27	52.05	0.00	36.88	
CALL NVDA 05/06/22	200	NVIDIA CORPORATION CUSIP: *8NNKLW9 Symbol: NVDA--220506C00200000					
2022-05-02	1.000000	316.93	2022-05-02	320.05	0.00	-3.12	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT SPY 05/25/22	390	STANDARD & POORS DEPOSITORY CUSIP: *8PJSVF1 Symbol: SPY---220525P00390000					
2022-05-25	1.000000	39.93	2022-05-25	95.05	0.00	-55.12	
PUT JD 05/20/22	48	JD.COM INC CUSIP: *8F17858 Symbol: #8F17858					
2022-05-16	1.000000	163.93	2022-05-16	146.05	0.00	17.88	
CALL RIVN 05/06/22	33	RIVIAN AUTOMOTIVE INC CLASS A CUSIP: *8GCBKV4 Symbol: RIVN--220506C00033000					
2022-05-03	2.000000	339.88	2022-05-03	240.10	0.00	99.78	
CALL SQ 05/06/22	105	BLOCK INC CUSIP: *8NSMPD0 Symbol: SQ----220506C00105000					
2022-05-06	1.000000	11.93	2022-05-05	377.05	0.00	-365.12	
CALL SPY 05/13/22	390	STANDARD & POORS DEPOSITORY CUSIP: *8PPJTS7 Symbol: SPY---220513C00390000					
2022-05-12	1.000000	293.93	2022-05-12	230.05	0.00	63.88	
CALL NVDA 04/29/22	195	NVIDIA CORPORATION CUSIP: *8SPVVQ4 Symbol: NVDA--220429C00195000					
2022-04-28	2.000000	321.87	2022-04-28	572.10	0.00	-250.23	
CALL RIVN 05/27/22	35	RIVIAN AUTOMOTIVE INC CLASS A CUSIP: *8SQNNS8 Symbol: RIVN--220527C00035000					
2022-05-24	22.000000	64.86	2022-05-23	257.08	0.00	-192.22	
CALL RBLX 05/27/22	35	ROBLOX CORPORATION CUSIP: *8SQNMJ4 Symbol: RBLX--220527C00035000					
2022-05-17	1.000000	101.93	2022-05-17	85.05	0.00	16.88	
PUT NVDA 05/27/22	150	NVIDIA CORPORATION CUSIP: *8SRGDX8 Symbol: NVDA--220527P00150000					
2022-05-26	1.000000	124.93	2022-05-25	285.05	0.00	-160.12	
CALL AAPL 06/03/22	150	APPLE INC CUSIP: *8PPCMY2 Symbol: AAPL--220603C00150000					
2022-06-02	1.000000	144.93	2022-06-02	84.05	0.00	60.88	
CALL SPY 05/04/22	420	STANDARD & POORS DEPOSITORY CUSIP: *8PPBLZ1 Symbol: SPY---220504C00420000					
2022-05-02	1.000000	157.93	2022-05-02	171.05	13.12 D	-13.12	
2022-05-04	10.000000	1,999.47	2022-05-04	923.62	0.00	1,075.85	
Security Totals:		2,157.40		1,094.67		1,062.73	
CALL QQQ 05/04/22	320	INVESCO QQQ TRUST SERIES 1 CUSIP: *8PNZYT1 Symbol: QQQ---220504C00320000					
2022-05-03	1.000000	282.93	2022-05-03	298.05	0.00	-15.12	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT SQ 05/27/22	75 BLOCK INC CUSIP: *8SRGRV9 Symbol: SQ---220527P00075000						
2022-05-26	2.000000	181.87	2022-05-25	453.10	0.00	-271.23	
CALL RIVN 05/06/22	32 RIVIAN AUTOMOTIVE INC CLASS A CUSIP: *8GCBKV1 Symbol: RIVN--220506C00032000						
2022-05-03	5.000000	549.72	2022-05-02	455.25	0.00	94.47	
CALL BA 04/29/22	157.50 BOEING CO CUSIP: *8SRQCD3 Symbol: BA---220429C00157500						
2022-04-29	3.000000	29.83	2022-04-29	69.15	0.00	-39.32	
PUT FB 06/10/22	200 META PLATFORMS INC CL A CUSIP: *8PNWVX5 Symbol: #8PNWVX5						
2022-06-08	1.000000	404.93	2022-06-08	300.05	0.00	104.88	
PUT BA 06/10/22	135 BOEING CO CUSIP: *8PNSHH6 Symbol: BA---220610P00135000						
2022-06-06	1.000000	159.93	2022-06-06	165.05	0.00	-5.12	
CALL XOM 05/27/22	94 EXXON MOBIL CORP CUSIP: *8PQZHF4 Symbol: XOM---220527C00094000						
2022-05-20	2.000000	243.88	2022-05-17	277.10	0.00	-33.22	
PUT NFLX 06/10/22	200 NETFLIX INC CUSIP: *8PRRHL1 Symbol: NFLX--220610P00200000						
2022-06-06	1.000000	599.92	2022-06-06	522.05	0.00	77.87	
CALL AMZN 05/06/22	2650 AMAZON.COM INC CUSIP: *8NTWJQ2 Symbol: AMZN--220506C02650000						
2022-05-03	1.000000	759.93	2022-05-03	800.05	0.00	-40.12	
CALL AMZN 05/06/22	2410 AMAZON.COM INC CUSIP: *8PLYZZ8 Symbol: AMZN--220506C02410000						
2022-05-06	1.000000	129.93	2022-05-06	180.05	0.00	-50.12	
CALL SPY 05/13/22	410 STANDARD & POORS DEPOSITORY CUSIP: *8PPJTY9 Symbol: SPY---220513C00410000						
2022-05-11	2.000000	463.88	2022-05-10	380.10	0.00	83.78	
PUT IWM 06/17/22	172 ISHARES RUSSELL 2000 ETF CUSIP: *8SQZFW6 Symbol: IWM---220617P00172000						
2022-05-18	1.000000	381.93	2022-05-17	372.05	0.00	9.88	
PUT AMD 06/03/22	103 ADVANCED MICRO DEVICES INC CUSIP: *8PPKLP3 Symbol: AMD---220603P00103000						
2022-05-31	2.000000	563.87	2022-05-31	496.10	0.00	67.77	
PUT PLTR 05/13/22	7 PALANTIR TECHNOLOGIES INC CL A CUSIP: *8SQFPW1 Symbol: PLTR--220513P00007000						
2022-05-11	2.000000	79.87	2022-05-09	46.10	0.00	33.77	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT AMZN 05/13/22	2170	AMAZON.COM INC CUSIP: *8SSBC55 Symbol: AMZN--220513P02170000					
2022-05-13	1.000000	341.93	2022-05-13	390.05	0.00	-48.12	
PUT TSLA 06/10/22	735	TESLA INC CUSIP: *8SSFDP7 Symbol: TSLA--220610P00735000					
2022-06-08	1.000000	1,634.90	2022-06-08	1,335.05	0.00	299.85	
CALL SPY 05/18/22	406	STANDARD & POORS DEPOSITORY CUSIP: *8GCHQ51 Symbol: SPY---220518C00406000					
2022-05-18	2.000000	0.00	2022-05-18	108.10	0.00	-108.10	
PUT TSLA 05/27/22	690	TESLA INC CUSIP: *8SRBHQ6 Symbol: TSLA--220527P00690000					
2022-05-26	1.000000	819.92	2022-05-26	485.05	0.00	334.87	
PUT DDOG 05/06/22	97	DATADOG INC CL A CUSIP: *8PMFCH4 Symbol: DDOG--220506P00097000					
2022-05-06	4.000000	0.00	2022-05-06	288.20	0.00	-288.20	
PUT JPM 06/10/22	130	JPMORGAN CHASE & CO CUSIP: *8PRCWF7 Symbol: JPM---220610P00130000					
2022-06-07	1.000000	220.93	2022-06-06	155.05	0.00	65.88	
CALL DDOG 07/15/22	100	DATADOG INC CL A CUSIP: *8KQZWG9 Symbol: DDOG--220715C00100000					
2022-07-12	1.000000	279.93	2022-07-12	275.05	0.00	4.88	
CALL QQQ 05/09/22	305	INVESCO QQQ TRUST SERIES 1 CUSIP: *8SPGGC8 Symbol: QQQ---220509C00305000					
2022-05-09	2.000000	153.88	2022-05-09	116.10	0.00	37.78	
CALL EBAY 05/13/22	52	EBAY INC CUSIP: *8SQBNG5 Symbol: EBAY--220513C00052000					
2022-05-10	5.000000	149.72	2022-05-10	75.25	0.00	74.47	
PUT PYPL 06/10/22	86	PAYPAL HOLDINGS INC CUSIP: *8SQVVJ0 Symbol: PYPL--220610P00086000					
2022-06-09	3.000000	494.82	2022-06-09	406.15	0.00	88.67	
PUT TSLA 06/24/22	650	TESLA INC CUSIP: *8SRPNG4 Symbol: TSLA--220624P00650000					
2022-06-24	1.000000	1.93	2022-06-21	435.05	0.00	-433.12	
PUT TSLA 06/24/22	700	TESLA INC CUSIP: *8SRPNG6 Symbol: TSLA--220624P00700000					
2022-06-21	2.000000	3,425.79	2022-06-21	3,545.10	0.00	-119.31	
CALL PYPL 05/27/22	82	PAYPAL HOLDINGS INC CUSIP: *8SQSYV5 Symbol: PYPL--220527C00082000					
2022-05-26	2.000000	101.88	2022-05-26	178.10	0.00	-76.22	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT KO 06/24/22	58 COCA COLA CO CUSIP: *8SRLXC8 Symbol: KO---220624P00058000						
2022-06-21	20.000000	478.95	2022-06-21	680.98	0.00	-202.03	
CALL XOM 06/24/22	100 EXXON MOBIL CORP CUSIP: *8SRTGK0 Symbol: XOM---220624C00100000						
2022-06-24	1.000000	0.00	2022-06-14	180.05	0.00	-180.05	
PUT TSLA 05/27/22	730 TESLA INC CUSIP: *8SSFDH7 Symbol: TSLA--220527P00730000						
2022-05-27	5.000000	14.73	2022-05-27	267.25	0.00	-252.52	
CALL ASO 06/10/22	37 ACADEMY SPORTS AND OUTDORS INC CUSIP: *8PNRXG9 Symbol: ASO---220610C00037000						
2022-06-07	1.000000	116.93	2022-06-06	115.05	0.00	1.88	
PUT CRM 06/10/22	190 SALESFORCE INC CUSIP: *8PNTVN1 Symbol: CRM---220610P00190000						
2022-06-09	1.000000	269.93	2022-06-08	300.05	0.00	-30.12	
CALL FCX 05/13/22	37 FREEPORT MCMORAN INC CUSIP: *8SQRPH3 Symbol: FCX---220513C00037000						
2022-05-11	2.000000	203.87	2022-05-09	150.10	0.00	53.77	
CALL SPY 05/16/22	403 STANDARD & POORS DEPOSITORY CUSIP: *8SRBFC7 Symbol: SPY---220516C00403000						
2022-05-16	2.000000	209.87	2022-05-16	156.10	0.00	53.77	
PUT QQQ 06/30/22	282 INVESCO QQQ TRUST SERIES 1 CUSIP: *8SRTSL8 Symbol: QQQ---220630P00282000						
2022-06-30	2.000000	259.88	2022-06-30	190.10	0.00	69.78	
PUT COIN 06/10/22	65 COINBASE GLOBAL INC CUSIP: *8SRVBX2 Symbol: COIN--220610P00065000						
2022-06-09	2.000000	319.87	2022-06-07	640.10	0.00	-320.23	
PUT COIN 05/13/22	55 COINBASE GLOBAL INC CUSIP: *8SRVBT8 Symbol: COIN--220513P00055000						
2022-05-11	1.000000	669.93	2022-05-11	360.05	0.00	309.88	
CALL QQQ 05/20/22	291 INVESCO QQQ TRUST SERIES 1 CUSIP: *8PMSLC9 Symbol: QQQ---220520C00291000						
2022-05-20	1.000000	89.93	2022-05-20	181.05	0.00	-91.12	
PUT SNOW 05/27/22	120 SNOWFLAKE INC CLASS A CUSIP: *8SRGPH9 Symbol: SNOW--220527P00120000						
2022-05-26	1.000000	549.92	2022-05-25	470.05	0.00	79.87	
PUT SPY 06/17/22	364 STANDARD & POORS DEPOSITORY CUSIP: *8SRWXT6 Symbol: SPY---220617P00364000						
2022-06-13	25.000000	6,423.56	Various	1,657.25	0.00	4,766.31	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT SPY 06/17/22	366	STANDARD & POORS DEPOSITORY CUSIP: *8SRWXT8 Symbol: SPY---220617P00366000					
2022-06-17	2.000000	149.88	2022-06-17	160.10	0.00	-10.22	
CALL SNOW 06/10/22	140	SNOWFLAKE INC CLASS A CUSIP: *8SQWJS1 Symbol: SNOW--220610C00140000					
2022-06-09	1.000000	189.93	2022-06-09	110.05	0.00	79.88	
CALL DIS 06/10/22	109	WALT DISNEY CO CUSIP: *8PNVQ50 Symbol: DIS---220610C00109000					
2022-06-09	1.000000	15.93	2022-06-08	89.05	0.00	-73.12	
CALL QQQ 07/15/22	285	INVESCO QQQ TRUST SERIES 1 CUSIP: *8SQNJN2 Symbol: QQQ---220715C00285000					
2022-07-14	2.000000	345.88	2022-07-14	268.10	0.00	77.78	
PUT NFLX 06/24/22	175	NETFLIX INC CUSIP: *8SRMSL8 Symbol: NFLX--220624P00175000					
2022-06-21	1.000000	494.92	2022-06-21	450.05	0.00	44.87	
CALL TSLA 06/24/22	815	TESLA INC CUSIP: *8SRPNH9 Symbol: TSLA--220624C00815000					
2022-06-21	1.000000	161.93	2022-06-21	183.05	0.00	-21.12	
PUT CVNA 05/27/22	27	CARVANA COMPANY CUSIP: *8SRTM22 Symbol: CVNA--220527P00027000					
2022-05-26	2.000000	49.88	2022-05-25	160.10	0.00	-110.22	
CALL TSLA 06/10/22	715	TESLA INC CUSIP: *8SSFDN8 Symbol: TSLA--220610C00715000					
2022-06-07	1.000000	2,039.89	2022-06-07	1,875.05	0.00	164.84	
CALL AAPL 05/27/22	143	APPLE INC CUSIP: *8SSPWB6 Symbol: AAPL--220527C00143000					
2022-05-23	1.000000	219.93	2022-05-23	170.05	0.00	49.88	
CALL MSTR 05/13/22	215	MICROSTRATEGY INC CUSIP: *8SSWQJ6 Symbol: MSTR--220513C00215000					
2022-05-12	1.000000	54.93	2022-05-12	540.05	0.00	-485.12	
PUT NVDA 07/01/22	150	NVIDIA CORPORATION CUSIP: *8SSXGC7 Symbol: NVDA--220701P00150000					
2022-06-30	2.000000	255.88	2022-06-30	224.10	0.00	31.78	
PUT TSLA 07/01/22	735	TESLA INC CUSIP: *8SSZMF3 Symbol: TSLA--220701P00735000					
2022-06-27	1.000000	2,459.88	2022-06-27	2,300.05	0.00	159.83	
PUT SPY 07/01/22	370	STANDARD & POORS DEPOSITORY CUSIP: *8SSYVK1 Symbol: SPY---220701P00370000					
2022-06-30	2.000000	399.88	2022-06-30	300.10	0.00	99.78	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL GOOG 06/10/22	2450	ALPHABET INC CLASS C CUSIP: *8PNYNZ2 Symbol: GOOG--220610C02450000					
2022-06-10	1.000000	0.00	2022-06-09	170.05	0.00	-170.05	
CALL PYPL 06/10/22	79	PAYPAL HOLDINGS INC CUSIP: *8SQVVG1 Symbol: PYPL--220610C00079000					
2022-06-10	1.000000	27.93	2022-06-10	65.05	0.00	-37.12	
PUT TSLA 07/01/22	700	TESLA INC CUSIP: *8SSZMC9 Symbol: TSLA--220701P00700000					
2022-06-24	1.000000	1,394.90	2022-06-24	1,385.05	0.00	9.85	
PUT AAPL 05/20/22	142	APPLE INC CUSIP: *8STFHB1 Symbol: AAPL--220520P00142000					
2022-05-18	2.000000	121.87	2022-05-17	110.10	0.00	11.77	
PUT TSLA 06/24/22	730	TESLA INC CUSIP: *8SSZLV7 Symbol: TSLA--220624P00730000					
2022-06-24	1.000000	309.93	2022-06-24	207.05	0.00	102.88	
PUT TSLA 06/10/22	670	TESLA INC CUSIP: *8STLKF6 Symbol: TSLA--220610P00670000					
2022-06-10	2.000000	71.88	2022-06-10	238.10	0.00	-166.22	
PUT MSFT 07/01/22	255	MICROSOFT CORP CUSIP: *8SSWPP5 Symbol: MSFT--220701P00255000					
2022-06-30	3.000000	1,019.81	2022-06-30	714.15	0.00	305.66	
2022-07-01	1.000000	0.00	2022-06-30	124.05	0.00	-124.05	
Security Totals:		1,019.81		838.20		181.61	
PUT NVDA 07/01/22	165	NVIDIA CORPORATION CUSIP: *8SSXGD3 Symbol: NVDA--220701P00165000					
2022-06-27	1.000000	219.93	2022-06-24	345.05	0.00	-125.12	
CALL AMD 06/10/22	106	ADVANCED MICRO DEVICES INC CUSIP: *8SVDHN2 Symbol: AMD---220610C00106000					
2022-06-07	2.000000	539.87	2022-06-07	460.10	0.00	79.77	
2022-06-08	1.000000	77.93	2022-06-08	209.05	0.00	-131.12	
Security Totals:		617.80		669.15		-51.35	
CALL KR 06/24/22	54	KROGER CO CUSIP: *8SRLXS1 Symbol: KR----220624C00054000					
2022-06-24	1.000000	0.00	2022-06-15	140.05	0.00	-140.05	
PUT M 07/01/22	18	MACYS INC CUSIP: *8SSWTH7 Symbol: M-----220701P00018000					
2022-06-30	3.000000	89.83	2022-06-30	120.15	0.00	-30.32	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT TSLA 07/01/22	675 TESLA INC CUSIP: *8SSZMB9 Symbol: TSLA--220701P00675000						
2022-07-01	4.000000	2,859.71	2022-07-01	2,222.20	0.00	637.51	
PUT TSLA 07/01/22	680 TESLA INC CUSIP: *8SSZMC1 Symbol: TSLA--220701P00680000						
2022-06-28	1.000000	824.92	2022-06-28	500.05	0.00	324.87	
2022-06-30	1.000000	1,404.90	2022-06-30	1,200.05	0.00	204.85	
Security Totals:		2,229.82		1,700.10		529.72	
PUT TSLA 07/01/22	670 TESLA INC CUSIP: *8STLKZ1 Symbol: TSLA--220701P00670000						
2022-07-01	2.000000	9.88	2022-07-01	600.10	0.00	-590.22	
PUT AMZN 05/27/22	2235 AMAZON.COM INC CUSIP: *8SVDJM1 Symbol: AMZN--220527P02235000						
2022-05-27	1.000000	5.93	2022-05-27	215.05	0.00	-209.12	
PUT TSLA 07/15/22	720 TESLA INC CUSIP: *8STLLN6 Symbol: TSLA--220715P00720000						
2022-07-15	1.000000	699.92	2022-07-15	650.05	0.00	49.87	
PUT TSLA 07/15/22	715 TESLA INC CUSIP: *8STLLN4 Symbol: TSLA--220715P00715000						
2022-07-11	1.000000	2,499.88	2022-07-11	2,090.05	0.00	409.83	
PUT QQQ 07/01/22	278 INVESCO QQQ TRUST SERIES 1 CUSIP: *8SSXWL9 Symbol: QQQ--220701P00278000						
2022-07-01	2.000000	409.88	2022-07-01	234.10	0.00	175.78	
PUT AMZN 05/27/22	1870 AMAZON.COM INC CUSIP: *8KGNMJ8 Symbol: AMZN--220527P01870000						
2022-05-25	1.000000	309.93	2022-05-23	650.05	0.00	-340.12	
CALL BBY 07/01/22	7 BED BATH & BEYOND INC CUSIP: *8SSQVC2 Symbol: BBY--220701C00007000						
2022-06-29	1.000000	4.93	2022-06-28	44.05	0.00	-39.12	
CALL NVDA 07/01/22	150 NVIDIA CORPORATION CUSIP: *8SSXGC6 Symbol: NVDA--220701C00150000						
2022-06-30	1.000000	274.93	2022-06-30	220.05	0.00	54.88	
CALL TSLA 07/01/22	700 TESLA INC CUSIP: *8SSZMC8 Symbol: TSLA--220701C00700000						
2022-07-01	1.000000	399.93	2022-07-01	280.05	0.00	119.88	
PUT JWN 05/27/22	20.50 NORDSTROM INC CUSIP: *8STHZM9 Symbol: JWN--220527P00020500						
2022-05-25	1.000000	80.93	2022-05-24	198.05	0.00	-117.12	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT TSLA 07/01/22	660	TESLA INC CUSIP: *8STLKY7 Symbol: TSLA--220701P00660000					
2022-06-30	2.000000	2,359.82	2022-06-30	1,700.10	0.00	659.72	
CALL TSLA 06/17/22	645	TESLA INC CUSIP: *8STLKL5 Symbol: TSLA--220617C00645000					
2022-06-17	1.000000	1,102.91	2022-06-17	1,295.05	0.00	-192.14	
CALL TSLA 06/17/22	655	TESLA INC CUSIP: *8STLKL7 Symbol: TSLA--220617C00655000					
2022-06-17	1.000000	479.92	2022-06-17	390.05	0.00	89.87	
CALL AAPL 06/10/22	147	APPLE INC CUSIP: *8KNCQQ6 Symbol: AAPL--220610C00147000					
2022-06-06	1.000000	222.94	2022-06-06	197.05	0.00	25.89	
CALL TSLA 07/01/22	680	TESLA INC CUSIP: *8SSZMC0 Symbol: TSLA--220701C00680000					
2022-07-01	1.000000	419.93	2022-07-01	360.05	0.00	59.88	
CALL AAPL 06/17/22	134	APPLE INC CUSIP: *8KNCRF4 Symbol: AAPL--220617C00134000					
2022-06-15	2.000000	529.86	2022-06-14	404.10	0.00	125.76	
CALL AMD 06/17/22	97	ADVANCED MICRO DEVICES INC CUSIP: *8KNKGW1 Symbol: AMD---220617C00097000					
2022-06-10	1.000000	264.93	2022-06-10	273.05	8.12 D	-8.12	
2022-06-13	2.000000	261.88	2022-06-10	528.22	0.00	-266.34	
Security Totals:		526.81		801.27		-274.46	
PUT NVDA 07/08/22	155	NVIDIA CORPORATION CUSIP: *8NFGXZ7 Symbol: NVDA--220708P00155000					
2022-06-30	1.000000	839.92	2022-06-30	800.05	0.00	39.87	
PUT TSLA 07/08/22	600	TESLA INC CUSIP: *8NHJZK2 Symbol: TSLA--220708P00600000					
2022-07-05	1.000000	299.93	2022-07-05	500.05	0.00	-200.12	
CALL MDB 06/10/22	310	MONGODB INC CUSIP: *8PRHDX3 Symbol: MDB---220610C00310000					
2022-06-08	1.000000	509.92	2022-06-08	400.05	0.00	109.87	
CALL JD 09/16/22	65	JD.COM INC ADS CUSIP: *8LKCSJ7 Symbol: JD----220916C00065000					
2022-09-13	2.000000	31.88	2022-09-12	88.10	0.00	-56.22	
PUT TSLA 07/08/22	680	TESLA INC CUSIP: *8NHKGY0 Symbol: TSLA--220708P00680000					
2022-07-07	1.000000	179.93	2022-07-05	2,000.05	0.00	-1,820.12	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT SPY 07/08/22	378	STANDARD & POORS DEPOSITORY CUSIP: *8PQRJW3 Symbol: SPY---220708P00378000					
2022-07-07	1.000000	54.93	2022-07-05	328.05	0.00	-273.12	
PUT BA 06/17/22	117	BOEING CO CUSIP: *8KPBKC7 Symbol: BA---220617P00117000					
2022-06-13	1.000000	354.93	2022-06-13	295.05	0.00	59.88	
PUT TSLA 07/08/22	700	TESLA INC CUSIP: *8NHKHF5 Symbol: TSLA--220708P00700000					
2022-07-08	2.000000	107.87	2022-07-07	785.10	0.00	-677.23	
CALL GOOGL 07/08/22	2300	ALPHABET INC CLASS A CUSIP: *8PPWQM9 Symbol: GOOGL-220708C02300000					
2022-07-06	1.000000	1,669.90	2022-07-06	1,870.05	0.00	-200.15	
PUT MDB 06/03/22	285	MONGODB INC CUSIP: *8SRMJB9 Symbol: MDB---220603P00285000					
2022-06-03	1.000000	1,079.91	2022-06-02	370.05	0.00	709.86	
PUT LI 06/17/22	28.50	LI AUTO INC ADS CUSIP: *8LBNT8 Symbol: LI---220617P00028500					
2022-06-13	1.000000	126.93	2022-06-13	93.05	0.00	33.88	
CALL AMZN 06/10/22	129	AMAZON.COM INC ADJ 20:1 STOCK SPLIT CUSIP: *8STYXS5 Symbol: AMZN--220610C00129000					
2022-06-06	2.000000	591.87	2022-06-06	520.10	0.00	71.77	
PUT AMZN 06/17/22	104	AMAZON.COM INC ADJ 20:1 STOCK SPLIT CUSIP: *8STYZM6 Symbol: AMZN--220617P00104000					
2022-06-16	2.000000	445.87	2022-06-16	420.10	0.00	25.77	
CALL AMZN 06/10/22	121.25	AMAZON.COM INC ADJ 20:1 STOCK SPLIT CUSIP: *8STYXM5 Symbol: AMZN--220610C00121250					
2022-06-09	1.000000	121.93	2022-06-08	174.05	0.00	-52.12	
CALL AMZN 06/17/22	105	AMAZON.COM INC ADJ 20:1 STOCK SPLIT CUSIP: *8STYZN3 Symbol: AMZN--220617C00105000					
2022-06-17	2.000000	139.88	2022-06-17	260.10	0.00	-120.22	
CALL AMZN 07/15/22	113	AMAZON.COM INC ADJ 20:1 STOCK SPLIT CUSIP: *8STZKK7 Symbol: AMZN--220715C00113000					
2022-07-15	2.000000	203.88	2022-07-15	180.10	0.00	23.78	
PUT AMZN 07/15/22	105	AMAZON.COM INC ADJ 20:1 STOCK SPLIT CUSIP: *8STZKJ2 Symbol: AMZN--220715P00105000					
2022-07-12	2.000000	165.88	2022-07-12	110.10	0.00	55.78	
PUT AMZN 07/08/22	113	AMAZON.COM INC ADJ 20:1 STOCK SPLIT CUSIP: *8STZHP3 Symbol: AMZN--220708P00113000					
2022-07-07	2.000000	131.88	2022-07-07	192.10	0.00	-60.22	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT AMZN 08/19/22	140	AMAZON.COM INC ADJ 20:1 STOCK SPLIT CUSIP: *8STZND6 Symbol: AMZN--220819P00140000					
2022-08-18	1.000000	82.93	2022-08-18	79.05	0.00	3.88	
PUT AMZN 09/16/22	128	AMAZON.COM INC ADJ 20:1 STOCK SPLIT CUSIP: *8STZPK4 Symbol: AMZN--220916P00128000					
2022-09-13	10.000000	1,599.45	2022-09-13	1,480.49	0.00	118.96	
PUT OKTA 06/10/22	98.50	OKTA INC CUSIP: *8SVCV56 Symbol: OKTA--220610P00098500					
2022-06-06	1.000000	319.93	2022-06-06	280.05	0.00	39.88	
PUT BA 06/24/22	137	BOEING CO CUSIP: *8SVBKL2 Symbol: BA----220624P00137000					
2022-06-22	1.000000	194.93	2022-06-21	355.05	0.00	-160.12	
PUT BA 07/22/22	135	BOEING CO CUSIP: *8NVJCJ3 Symbol: BA----220722P00135000					
2022-07-14	2.000000	479.87	2022-07-13	360.10	0.00	119.77	
PUT GOOG 07/01/22	2140	ALPHABET INC CLASS C CUSIP: *8SVFXP3 Symbol: GOOG--220701P02140000					
2022-07-01	1.000000	409.93	2022-07-01	560.05	0.00	-150.12	
PUT GOOGL 07/01/22	2140	ALPHABET INC CLASS A CUSIP: *8SVFWD9 Symbol: GOOGL-220701P02140000					
2022-07-01	2.000000	9.88	2022-07-01	1,170.10	0.00	-1,160.22	
CALL TSLA 07/22/22	825	TESLA INC CUSIP: *8SSNXD7 Symbol: TSLA--220722C00825000					
2022-07-22	10.000000	85.45	2022-07-22	300.49	0.00	-215.04	
CALL META 06/24/22	185	META PLATFORMS INC CL A CUSIP: *8PSMLY7 Symbol: META--220624C00185000					
2022-06-17	1.000000	27.93	2022-06-17	26.05	0.00	1.88	
2022-06-21	7.000000	97.63	2022-06-17	169.35	0.00	-71.72	
Security Totals:		125.56		195.40		-69.84	
PUT TSLA 07/29/22	800	TESLA INC CUSIP: *8STSSM7 Symbol: #8STSSM7					
2022-07-25	1.000000	1,779.89	2022-07-25	1,800.05	0.00	-20.16	
CALL TSLA 07/29/22	880	TESLA INC CUSIP: *8STSSQ8 Symbol: #8STSSQ8					
2022-07-29	10.000000	1,249.46	2022-07-29	610.49	0.00	638.97	
CALL AMD 06/17/22	84	ADVANCED MICRO DEVICES INC CUSIP: *8KNKFY8 Symbol: AMD---220617C00084000					
2022-06-17	2.000000	95.88	2022-06-16	118.10	0.00	-22.22	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL ORCL 06/17/22	66 ORACLE CORP CUSIP: *8LJGCN4 Symbol: ORCL--220617C00066000						
2022-06-14	1.000000	429.93	2022-06-13	220.05	0.00	209.88	
PUT SNOW 06/17/22	106 SNOWFLAKE INC CLASS A CUSIP: *8PRDBP5 Symbol: SNOW--220617P00106000						
2022-06-15	1.000000	94.93	2022-06-13	300.05	0.00	-205.12	
CALL META 07/01/22	160 META PLATFORMS INC CL A CUSIP: *8PSMMV2 Symbol: META--220701C00160000						
2022-07-01	3.000000	44.83	2022-07-01	60.15	0.00	-15.32	
CALL AMD 07/29/22	93 ADVANCED MICRO DEVICES INC CUSIP: *8STPLR5 Symbol: AMD---220729C00093000						
2022-07-28	10.000000	399.48	2022-07-28	680.50	0.00	-281.02	
CALL META 06/24/22	160 META PLATFORMS INC CL A CUSIP: *8PSMLR9 Symbol: META--220624C00160000						
2022-06-23	1.000000	119.93	2022-06-21	400.05	0.00	-280.12	
PUT FDX 06/17/22	227.50 FEDEX CORP CUSIP: *8SVBYZ6 Symbol: FDX---220617P00227500						
2022-06-15	1.000000	294.93	2022-06-14	610.05	0.00	-315.12	
PUT ZM 06/17/22	107 ZOOM VIDEO COMMUNICATIONS INC CUSIP: *8SVQKP8 Symbol: ZM----220617P00107000						
2022-06-16	2.000000	709.87	2022-06-15	700.10	0.00	9.77	
PUT TSLA 07/22/22	815 TESLA INC CUSIP: *8SSNXD4 Symbol: TSLA--220722P00815000						
2022-07-22	12.000000	3,873.27	2022-07-22	2,370.59	0.00	1,502.68	
PUT RBLX 07/08/22	35 ROBLOX CORPORATION CUSIP: *8PQQKN1 Symbol: RBLX--220708P00035000						
2022-07-08	2.000000	1.88	2022-07-07	20.10	0.00	-18.22	
PUT NIO 06/24/22	22 NIO INC ADS CUSIP: *8SRMSV2 Symbol: NIO---220624P00022000						
2022-06-24	2.000000	0.00	2022-06-23	78.10	0.00	-78.10	
PUT BABA 07/15/22	106 ALIBABA GROUP HOLDING LIMITED CUSIP: *8PSLPS8 Symbol: BABA--220715P00106000						
2022-07-14	1.000000	339.93	2022-07-14	130.05	0.00	209.88	
CALL COIN 07/15/22	52 COINBASE GLOBAL INC CUSIP: *8PSRXF1 Symbol: COIN--220715C00052000						
2022-07-14	1.000000	121.93	2022-07-14	155.05	0.00	-33.12	
PUT QQQ 06/30/22	281 INVESCO QQQ TRUST SERIES 1 CUSIP: *8STPDG6 Symbol: QQQ---220630P00281000						
2022-06-30	3.000000	290.83	2022-06-30	248.15	0.00	42.68	

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Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL PDD 08/19/22	55 PINDUODUO INC ADS	CUSIP: *8KPNLR4 Symbol: PDD---220819C00055000					
2022-08-15	2.000000	95.88	2022-08-15	66.10	0.00	29.78	
CALL TSLA 07/01/22	717.50 TESLA INC	CUSIP: *8NCTJS1 Symbol: TSLA--220701C00717500					
2022-06-30	2.000000	201.88	2022-06-30	220.10	0.00	-18.22	
PUT MSFT 07/08/22	262.50 MICROSOFT CORP	CUSIP: *8PTDKS1 Symbol: MSFT--220708P00262500					
2022-07-08	2.000000	29.88	2022-07-08	140.10	0.00	-110.22	
CALL AMD 07/01/22	78 ADVANCED MICRO DEVICES INC	CUSIP: *8SSPRG8 Symbol: AMD---220701C00078000					
2022-06-30	3.000000	164.83	2022-06-30	237.15	0.00	-72.32	
PUT SPY 06/29/22	387 STANDARD & POORS DEPOSITORY	CUSIP: *8GDPHV6 Symbol: SPY---220629P00387000					
2022-06-28	5.000000	914.70	2022-06-28	890.25	0.00	24.45	
PUT PYPL 07/29/22	83 PAYPAL HOLDINGS INC	CUSIP: *8PSQBZ1 Symbol: PYPL--220729P00083000					
2022-07-28	5.000000	334.73	2022-07-28	530.25	0.00	-195.52	
PUT OKTA 07/01/22	96 OKTA INC	CUSIP: *8SVPCM8 Symbol: OKTA--220701P00096000					
2022-06-28	3.000000	1,199.81	2022-06-24	955.15	0.00	244.66	
PUT RBLX 07/08/22	38 ROBLOX CORPORATION	CUSIP: *8PQQKP0 Symbol: RBLX--220708P00038000					
2022-07-07	10.000000	799.47	2022-07-05	1,500.49	0.00	-701.02	
CALL AAPL 07/22/22	147 APPLE INC	CUSIP: *8SXJZG8 Symbol: AAPL--220722C00147000					
2022-07-13	2.000000	523.87	2022-07-13	460.10	0.00	63.77	
CALL VERU 07/15/22	18 VERU INC	CUSIP: *8PTKWB8 Symbol: VERU--220715C00018000					
2022-07-14	3.000000	59.83	2022-07-13	150.15	0.00	-90.32	
CALL SPY 08/08/22	418 STANDARD & POORS DEPOSITORY	CUSIP: *8SVWXD8 Symbol: SPY---220808C00418000					
2022-08-08	10.000000	349.46	2022-08-08	650.49	0.00	-301.03	
PUT SPY 08/05/22	412 STANDARD & POORS DEPOSITORY	CUSIP: *8PRXCK1 Symbol: SPY---220805P00412000					
2022-08-05	10.000000	1,239.46	2022-08-04	1,250.49	0.00	-11.03	
PUT IWM 07/20/22	167 ISHARES RUSSELL 2000 ETF	CUSIP: *8BVJWN9 Symbol: IWM---220720P00167000					
2022-07-14	1.000000	136.93	2022-07-14	247.05	0.00	-110.12	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT NET 07/15/22	49 CLOUDFLARE INC CL A CUSIP: *8PTDQJ1 Symbol: NET---220715P00049000						
2022-07-12	2.000000	429.87	2022-07-11	340.10	0.00	89.77	
CALL CRM 07/15/22	167.50 SALESFORCE INC CUSIP: *8PSSFC2 Symbol: CRM---220715C00167500						
2022-07-12	2.000000	559.87	2022-07-12	554.10	0.00	5.77	
PUT MU 08/12/22	62 MICRON TECHNOLOGY INC CUSIP: *8PVGVS6 Symbol: MU---220812P00062000						
2022-08-12	10.000000	109.44	2022-08-12	253.50	0.00	-144.06	
PUT NVDA 08/12/22	180 NVIDIA CORPORATION CUSIP: *8PVNGV0 Symbol: NVDA--220812P00180000						
2022-08-12	2.000000	183.88	2022-08-12	358.10	0.00	-174.22	
PUT TWTR 07/29/22	38.50 TWITTER INC CUSIP: *8SWMNY4 Symbol: TWTR--220729P00038500						
2022-07-26	10.000000	299.47	2022-07-25	450.50	0.00	-151.03	
PUT TSLA 07/22/22	790 TESLA INC CUSIP: *8PPLZW2 Symbol: TSLA--220722P00790000						
2022-07-21	1.000000	979.91	2022-07-21	990.05	0.00	-10.14	
CALL ASAN 09/16/22	25 ASANA INC CL A CUSIP: *8GQPPH2 Symbol: ASAN--220916C00025000						
2022-09-16	10.000000	49.47	2022-09-16	50.49	0.00	-1.02	
PUT TSLA 07/22/22	830 TESLA INC CUSIP: *8SSNXF0 Symbol: TSLA--220722P00830000						
2022-07-22	3.000000	1,799.79	2022-07-22	1,350.15	0.00	449.64	
CALL NFLX 08/26/22	240 NETFLIX INC CUSIP: *8SVVJJ4 Symbol: NFLX--220826C00240000						
2022-08-26	1.000000	24.93	2022-08-22	180.05	0.00	-155.12	
CALL AAPL 07/15/22	150 APPLE INC CUSIP: *8BRSKP1 Symbol: AAPL--220715C00150000						
2022-07-11	10.000000	349.48	2022-07-11	640.49	29.10 D	-291.01	
2022-07-15	1.000000	67.93	2022-07-15	75.15	0.00	-7.22	
Security Totals:		417.41		715.64		-298.23	
CALL NFLX 09/02/22	230 NETFLIX INC CUSIP: *8NXSPZ9 Symbol: NFLX--220902C00230000						
2022-08-30	3.000000	399.81	2022-08-30	388.15	0.00	11.66	
CALL TWTR 09/02/22	40 TWITTER INC CUSIP: *8NZFSQ4 Symbol: TWTR--220902C00040000						
2022-08-31	10.000000	159.45	2022-08-31	430.50	0.00	-271.05	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL RIOT 01/21/22	21	RIOT BLOCKCHAIN INC CUSIP: *8BVVSV2 Symbol: RIOT--220121C00021000					
2022-01-18	1.000000	38.94	2022-01-18	47.04	0.00	-8.10	
CALL PFE 01/21/22	55	PFIZER INC CUSIP: *8BXHSW5 Symbol: PFE---220121C00055000					
2022-01-18	1.000000	38.94	2022-01-18	57.04	0.00	-18.10	
PUT AAPL 04/14/22	170	APPLE INC CUSIP: *8BYGSZ0 Symbol: AAPL--220414P00170000					
2022-04-14	1.000000	54.93	2022-04-13	95.05	0.00	-40.12	
PUT AMD 04/14/22	90	ADVANCED MICRO DEVICES INC CUSIP: *8BZZCN3 Symbol: AMD---220414P00090000					
2022-04-07	1.000000	24.93	2022-04-07	44.05	0.00	-19.12	
CALL AMD 04/14/22	125	ADVANCED MICRO DEVICES INC CUSIP: *8BZZCQ6 Symbol: AMD---220414C00125000					
2022-01-31	1.000000	539.94	2022-01-28	370.04	0.00	169.90	
PUT CAT 08/05/22	185	CATERPILLAR INC CUSIP: *8LKSFX0 Symbol: CAT---220805P00185000					
2022-08-05	3.000000	98.82	2022-08-05	90.15	0.00	8.67	
CALL NFLX 09/02/22	240	NETFLIX INC CUSIP: *8NXSQB8 Symbol: NFLX--220902C00240000					
2022-08-30	10.000000	1,229.43	2022-08-29	1,000.49	0.00	228.94	
CALL RIVN 09/02/22	34	RIVIAN AUTOMOTIVE INC CLASS A CUSIP: *8NYPPF2 Symbol: RIVN--220902C00034000					
2022-08-30	2.000000	43.88	2022-08-30	90.10	0.00	-46.22	
CALL SPY 09/02/22	393	STANDARD & POORS DEPOSITORY CUSIP: *8NYVTJ0 Symbol: SPY---220902C00393000					
2022-09-01	2.000000	411.88	2022-09-01	390.10	0.00	21.78	
CALL GME 08/19/22	51.25	GAMESTOP CORPORATION ADJ 4:1 STOCK SPLIT CUSIP: *8PJYBR5 Symbol: GME---220819C00051250					
2022-08-17	2.000000	95.87	2022-08-17	174.10	0.00	-78.23	
CALL BABA 08/26/22	105	ALIBABA GROUP HOLDING LIMITED CUSIP: *8SVLBH2 Symbol: BABA--220826C00105000					
2022-08-17	2.000000	57.87	2022-08-16	76.10	0.00	-18.23	
PUT TSLA 08/12/22	820	TESLA INC CUSIP: *8SWLSY2 Symbol: TSLA--220812P00820000					
2022-08-11	1.000000	126.93	2022-08-11	117.05	0.00	9.88	
CALL SPY 08/17/22	428	STANDARD & POORS DEPOSITORY CUSIP: *8NVFLK8 Symbol: SPY---220817C00428000					
2022-08-17	1.000000	34.93	2022-08-17	55.05	0.00	-20.12	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT XOM 09/02/22	93 EXXON MOBIL CORP CUSIP: *8NZQXT1 Symbol: XOM---220902P00093000						
2022-09-01	15.000000	1,349.19	2022-08-31	1,050.74	0.00	298.45	
CALL SPY 08/31/22	402 STANDARD & POORS DEPOSITORY CUSIP: *8SWQFM1 Symbol: SPY---220831C00402000						
2022-08-31	2.000000	37.88	2022-08-31	206.10	0.00	-168.22	
CALL MSFT 06/17/22	250 MICROSOFT CORP CUSIP: *8CXCXV2 Symbol: MSFT--220617C00250000						
2022-06-17	2.000000	59.88	2022-06-17	190.10	0.00	-130.22	
PUT BA 02/18/22	215 BOEING CO CUSIP: *8CYCYZ1 Symbol: BA----220218P00215000						
2022-02-16	1.000000	286.93	2022-02-15	215.05	0.00	71.88	
CALL BAC 02/18/22	47 BANK OF AMERICA CORP CUSIP: *8CXZPF8 Symbol: BAC---220218C00047000						
2022-02-17	2.000000	23.88	2022-02-17	50.10	0.00	-26.22	
CALL COST 02/18/22	550 COSTCO WHOLESALE CORPORATION CUSIP: *8E00688 Symbol: COST--220218C00550000						
2022-02-18	1.000000	0.00	2022-02-03	280.05	0.00	-280.05	
PUT ZM 09/09/22	80 ZOOM VIDEO COMMUNICATIONS INC CUSIP: *8PTVKY7 Symbol: ZM----220909P00080000						
2022-08-30	1.000000	256.93	2022-08-29	246.05	0.00	10.88	
PUT MSFT 08/12/22	285 MICROSOFT CORP CUSIP: *8PVGMM4 Symbol: MSFT--220812P00285000						
2022-08-11	3.000000	224.83	2022-08-11	180.15	0.00	44.68	
PUT SPY 09/09/22	393 STANDARD & POORS DEPOSITORY CUSIP: *8SXYLS9 Symbol: SPY---220909P00393000						
2022-09-07	1.000000	258.93	2022-09-07	300.05	0.00	-41.12	
CALL TSLA 06/17/22	770 TESLA INC CUSIP: *8GJTTG0 Symbol: TSLA--220617C00770000						
2022-06-15	2.000000	179.88	2022-06-15	190.10	0.00	-10.22	
CALL DIS 06/17/22	130 WALT DISNEY CO CUSIP: *8GLPTG7 Symbol: DIS---220617C00130000						
2022-05-04	2.000000	249.88	2022-05-03	296.10	0.00	-46.22	
CALL CCL 09/16/22	30 CARNIVAL CORP CUSIP: *8GWYNF3 Symbol: CCL---220916C00030000						
2022-04-05	1.000000	51.93	2022-04-05	63.05	0.00	-11.12	
CALL EWU 01/21/22	35 ISHARES MSCI UNITED KNGDM ETF CUSIP: *8G28975 Symbol: EWU---220121C00035000						
2022-01-21	2.000000	0.00	2021-10-26	70.08	0.00	-70.08	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT SPY 08/12/22	422 STANDARD & POORS DEPOSITORY CUSIP: *8SVWXH5 Symbol: SPY---220812P00422000						
2022-08-12	2.000000	99.88	2022-08-12	190.10	0.00	-90.22	
PUT INTC 07/15/22	42.50 INTEL CORP CUSIP: *8G52966 Symbol: INTC--220715P00042500						
2022-05-18	1.000000	242.93	2022-05-11	217.05	0.00	25.88	
CALL BABA 09/23/22	90 ALIBABA GROUP HOLDING LIMITED CUSIP: *8SWRCM8 Symbol: BABA--220923C00090000						
2022-09-20	1.000000	59.93	2022-09-20	135.05	0.00	-75.12	
PUT SPY 09/12/22	398 STANDARD & POORS DEPOSITORY CUSIP: *8SXCRL0 Symbol: SPY---220912P00398000						
2022-09-12	2.000000	0.00	2022-09-08	520.10	0.00	-520.10	
CALL SNOW 09/02/22	200 SNOWFLAKE INC CLASS A CUSIP: *8NYSTM8 Symbol: SNOW--220902C00200000						
2022-08-31	2.000000	39.87	2022-08-31	200.10	0.00	-160.23	
PUT RBLX 04/14/22	40 ROBLOX CORPORATION CUSIP: *8KDYKB7 Symbol: RBLX--220414P00040000						
2022-04-11	5.000000	174.73	2022-04-11	139.25	0.00	35.48	
CALL LCID 01/07/22	38 LUCID GROUP INC CUSIP: *8KHZRP9 Symbol: LCID--220107C00038000						
2022-01-06	1.000000	87.94	2022-01-06	70.04	0.00	17.90	
PUT BABA 09/30/22	80 ALIBABA GROUP HOLDING LIMITED CUSIP: *8SYJXG5 Symbol: BABA--220930P00080000						
2022-09-30	10.000000	439.46	2022-09-30	800.49	0.00	-361.03	
CALL SQ 09/02/22	70 BLOCK INC CUSIP: *8NYWCK3 Symbol: SQ----220902C00070000						
2022-08-30	8.000000	1,365.53	Various	1,235.40	0.00	130.13	
PUT BA 09/09/22	152.50 BOEING CO CUSIP: *8GMSMF6 Symbol: BA----220909P00152500						
2022-08-31	1.000000	162.93	2022-08-31	140.05	0.00	22.88	
CALL RIVN 09/16/22	39 RIVIAN AUTOMOTIVE INC CLASS A CUSIP: *8KYCLK0 Symbol: RIVN--220916C00039000						
2022-09-16	2.000000	129.87	2022-09-16	90.10	0.00	39.77	
PUT CRWD 09/09/22	170 CROWDSTRIKE HOLDINGS INC CL A CUSIP: *8SXSFQ7 Symbol: CRWD--220909P00170000						
2022-09-07	3.000000	1,379.80	2022-09-02	1,200.15	0.00	179.65	
CALL AAPL 09/16/22	152.50 APPLE INC CUSIP: *8GKZLP6 Symbol: AAPL--220916C00152500						
2022-09-16	10.000000	29.46	2022-09-16	140.49	0.00	-111.03	

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Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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CALL AAPL 10/07/22	145 APPLE INC CUSIP: *8LQRRF1 Symbol: AAPL--221007C00145000						
2022-10-05	10.000000	1,999.44	2022-10-05	1,600.49	0.00	398.95	
CALL TSLA 09/09/22	295 TESLA INC ADJ 3:1 STOCK SPLIT CUSIP: *8NJZDW3 Symbol: TSLA--220909C00295000						
2022-09-09	2.000000	359.88	2022-09-09	372.10	0.00	-12.22	
PUT TSLA 09/02/22	285 TESLA INC ADJ 3:1 STOCK SPLIT CUSIP: *8NJYQX5 Symbol: TSLA--220902P00285000						
2022-08-30	1.000000	724.92	2022-08-30	650.05	0.00	74.87	
CALL TSLA 09/30/22	295 TESLA INC ADJ 3:1 STOCK SPLIT CUSIP: *8NKCQW5 Symbol: TSLA--220930C00295000						
2022-09-27	3.000000	1,064.81	2022-09-27	930.15	0.00	134.66	
CALL TSLA 09/16/22	305 TESLA INC ADJ 3:1 STOCK SPLIT CUSIP: *8NKBQF9 Symbol: TSLA--220916C00305000						
2022-09-14	2.000000	399.88	2022-09-14	580.10	0.00	-180.22	
CALL TSLA 09/30/22	290 TESLA INC ADJ 3:1 STOCK SPLIT CUSIP: *8NKCQV7 Symbol: TSLA--220930C00290000						
2022-09-28	3.000000	1,124.81	2022-09-28	945.15	0.00	179.66	
CALL TSLA 09/23/22	305 TESLA INC ADJ 3:1 STOCK SPLIT CUSIP: *8NKCKB4 Symbol: TSLA--220923C00305000						
2022-09-22	1.000000	102.93	2022-09-22	200.05	0.00	-97.12	
CALL BLNK 01/21/22	25 BLINK CHARGING CO CUSIP: *8K96225 Symbol: BLNK--220121C00025000						
2022-01-18	2.000000	145.90	2022-01-18	116.08	0.00	29.82	
CALL TSLA 04/14/22	1100 TESLA INC CUSIP: *8LCG NR5 Symbol: TSLA--220414C01100000						
2022-04-12	2.000000	319.88	2022-04-12	246.10	0.00	73.78	
CALL NFLX 10/07/22	270 NETFLIX INC CUSIP: *8NHFFF3 Symbol: NFLX--221007C00270000						
2022-09-29	10.000000	2,399.41	2022-09-29	1,704.49	0.00	694.92	
2022-09-30	5.000000	359.73	2022-09-29	770.25	0.00	-410.52	
Security Totals:		2,759.14		2,474.74		284.40	
PUT TSLA 10/07/22	230 TESLA INC CUSIP: *8PMBHS4 Symbol: TSLA--221007P00230000						
2022-10-04	1.000000	196.93	2022-10-04	140.05	0.00	56.88	
CALL TSLA 10/07/22	270 TESLA INC CUSIP: *8PMBJL9 Symbol: TSLA--221007C00270000						
2022-10-03	3.000000	329.83	2022-10-03	900.15	0.00	-570.32	

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Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL TSLA 10/07/22	245 TESLA INC CUSIP: *8PMBJD4 Symbol: TSLA--221007C00245000						
2022-10-07	5.000000	14.73	2022-10-06	1,575.25	0.00	-1,560.52	
CALL NVDA 09/23/22	140 NVIDIA CORPORATION CUSIP: *8SWWDV8 Symbol: NVDA--220923C00140000						
2022-09-19	1.000000	164.93	2022-09-19	162.05	0.00	2.88	
CALL YY 09/02/22	32 JOYY INC ADS CUSIP: *8BTWPS6 Symbol: YY----220902C00032000						
2022-09-02	1.000000	0.00	2022-08-29	170.05	0.00	-170.05	
PUT GOOGL 09/23/22	103 ALPHABET INC CLASS A CUSIP: *8NCPQJ8 Symbol: GOOGL-220923P00103000						
2022-09-15	1.000000	229.93	2022-09-14	212.05	0.00	17.88	
CALL AAPL 10/07/22	147 APPLE INC CUSIP: *8LQRRG0 Symbol: AAPL--221007C00147000						
2022-10-05	10.000000	1,039.46	2022-10-05	1,100.49	0.00	-61.03	
PUT WBA 09/09/22	35 WALGREENS BOOTS ALLIANCE INC CUSIP: *8SXZWS7 Symbol: WBA---220909P00035000						
2022-09-09	20.000000	0.00	Various	817.99	0.00	-817.99	
PUT TSLA 10/14/22	205 TESLA INC CUSIP: *8PXKRH7 Symbol: TSLA--221014P00205000						
2022-10-14	10.000000	149.47	2022-10-13	3,300.49	0.00	-3,151.02	
PUT SPY 01/26/22	425 STANDARD & POORS DEPOSITORY CUSIP: *8LRVCX0 Symbol: SPY---220126P00425000						
2022-01-25	1.000000	384.94	2022-01-25	311.04	0.00	73.90	
PUT SQ 03/18/22	130 BLOCK INC CUSIP: *8LSVXY0 Symbol: SQ----220318P00130000						
2022-03-18	2.000000	241.88	2022-03-18	240.10	0.00	1.78	
CALL NVDA 09/23/22	134 NVIDIA CORPORATION CUSIP: *8PXSVJ1 Symbol: NVDA--220923C00134000						
2022-09-19	1.000000	394.93	2022-09-19	375.05	0.00	19.88	
PUT QQQ 10/12/22	260 INVESCO QQQ TRUST SERIES 1 CUSIP: *8PXTHJ3 Symbol: QQQ---221012P00260000						
2022-10-12	5.000000	0.00	2022-10-11	780.25	0.00	-780.25	
CALL SPY 09/14/22	397 STANDARD & POORS DEPOSITORY CUSIP: *8PYLFN0 Symbol: SPY---220914C00397000						
2022-09-14	10.000000	489.47	2022-09-14	880.49	0.00	-391.02	
CALL MDB 09/16/22	290 MONGODB INC CUSIP: *8GCPMC7 Symbol: MDB---220916C00290000						
2022-09-12	3.000000	1,049.81	2022-09-12	927.15	0.00	122.66	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL FSLR 09/16/22	142	FIRST SOLAR INC CUSIP: *8PXYBS4 Symbol: FSLR--220916C00142000					
2022-09-13	10.000000	1,772.42	2022-09-13	1,450.49	0.00	321.93	
PUT TSLA 06/17/22	630	TESLA INC CUSIP: *8NBKQR7 Symbol: TSLA--220617P00630000					
2022-06-14	1.000000	1,279.91	2022-06-14	2,055.05	0.00	-775.14	
CALL PBR 10/28/22	15	PETROLEO BRASILEIRO SA CUSIP: *8SYXDZ3 Symbol: PBR---221028C00015000					
2022-10-26	10.000000	29.48	2022-10-25	90.49	0.00	-61.01	
PUT NFLX 09/30/22	217.50	NETFLIX INC CUSIP: *8SZJCH0 Symbol: NFLX--220930P00217500					
2022-09-27	1.000000	419.93	2022-09-27	355.05	0.00	64.88	
PUT BABA 06/17/22	100	ALIBABA GROUP HOLDING LIMITED CUSIP: *8NFPTR7 Symbol: BABA--220617P00100000					
2022-06-13	1.000000	514.92	2022-06-13	435.05	0.00	79.87	
CALL FB 03/18/22	200	META PLATFORMS INC CL A CUSIP: *8NHJTG1 Symbol: FB----220318C00200000					
2022-03-08	1.000000	291.93	2022-03-08	234.05	0.00	57.88	
PUT AMD 03/18/22	100	ADVANCED MICRO DEVICES INC CUSIP: *8NHBSG9 Symbol: AMD---220318P00100000					
2022-03-14	1.000000	236.93	2022-03-14	233.05	0.00	3.88	
2022-03-15	1.000000	64.93	2022-03-15	61.05	0.00	3.88	
Security Totals:		301.86		294.10		7.76	
PUT NVDA 06/17/22	150	NVIDIA CORPORATION ADJ 4:1 STOCK SPLIT CUSIP: *8NKSWV1 Symbol: NVDA--220617P00150000					
2022-06-14	1.000000	281.93	2022-06-14	330.05	0.00	-48.12	
CALL AAPL 02/04/22	160	APPLE INC CUSIP: *8NNLDJ4 Symbol: AAPL--220204C00160000					
2022-01-27	1.000000	529.94	2022-01-27	470.04	0.00	59.90	
CALL SPY 10/07/22	379	STANDARD & POORS DEPOSITORY CUSIP: *8CYHSC7 Symbol: SPY---221007C00379000					
2022-10-06	11.000000	2,111.39	2022-10-06	1,549.54	0.00	561.85	
CALL CVS 06/17/22	95	CVS HEALTH CORPORATION CUSIP: *8NSBJW0 Symbol: CVS---220617C00095000					
2022-06-09	2.000000	253.88	2022-06-09	214.10	0.00	39.78	
CALL BA 09/30/22	130	BOEING CO CUSIP: *8SYJXJ4 Symbol: BA----220930C00130000					
2022-09-27	1.000000	179.93	2022-09-27	263.05	0.00	-83.12	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL META 11/18/22	120	META PLATFORMS INC CL A CUSIP: *8PSMZG7 Symbol: META--221118C00120000					
2022-11-15	1.000000	169.94	2022-11-15	119.04	0.00	50.90	
CALL QQQ 09/26/22	279	INVESCO QQQ TRUST SERIES 1 CUSIP: *8PWXP3 Symbol: QQQ---220926C00279000					
2022-09-26	5.000000	199.73	2022-09-26	460.25	0.00	-260.52	
PUT RIVN 10/21/22	29	RIVIAN AUTOMOTIVE INC CLASS A CUSIP: *8SZQSG7 Symbol: RIVN--221021P00029000					
2022-10-18	2.000000	55.88	2022-10-17	122.10	0.00	-66.22	
CALL XOM 10/07/22	90	EXXON MOBIL CORP CUSIP: *8NLFDW7 Symbol: XOM---221007C00090000					
2022-09-28	10.000000	1,369.45	2022-09-28	1,200.49	0.00	168.96	
2022-10-03	1.000000	226.93	2022-09-30	119.05	0.00	107.88	
Security Totals:		1,596.38		1,319.54		276.84	
PUT SPY 02/18/22	440	STANDARD & POORS DEPOSITORY CUSIP: *8NTGGM7 Symbol: SPY---220218P00440000					
2022-02-16	1.000000	103.93	2022-02-16	255.05	0.00	-151.12	
CALL BA 06/17/22	150	BOEING CO CUSIP: *8NYQCJ4 Symbol: BA----220617C00150000					
2022-05-26	1.000000	47.93	2022-05-25	40.05	0.00	7.88	
CALL AAPL 10/07/22	142	APPLE INC CUSIP: *8PVXLJ3 Symbol: AAPL--221007C00142000					
2022-10-03	10.000000	1,999.43	2022-10-03	1,500.49	0.00	498.94	
PUT MU 01/14/22	90	MICRON TECHNOLOGY INC CUSIP: *8PDKQJ7 Symbol: MU----220114P00090000					
2022-01-10	1.000000	120.94	2022-01-10	107.04	0.00	13.90	
PUT TSLA 07/15/22	700	TESLA INC CUSIP: *8PDZHS2 Symbol: TSLA--220715P00700000					
2022-07-12	1.000000	1,924.89	2022-07-12	1,789.05	0.00	135.84	
2022-07-14	1.000000	1,424.90	2022-07-13	1,645.05	0.00	-220.15	
Security Totals:		3,349.79		3,434.10		-84.31	
PUT HOOD 02/18/22	13	ROBINHOOD MARKET INC CUSIP: *8PFBGS7 Symbol: HOOD--220218P00013000					
2022-02-04	2.000000	105.88	2022-02-03	138.10	0.00	-32.22	
CALL PM 10/07/22	90	PHILIP MORRIS INTERNATIONAL CUSIP: *8NHPWB6 Symbol: PM----221007C00090000					
2022-10-07	1.000000	0.00	2022-10-04	10.05	0.00	-10.05	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes		
PUT PG 02/04/22	160 PROCTER & GAMBLE CO CUSIP: *8PGYQH3 Symbol: PG----	220204P00160000	2022-02-01	1.000000	134.93	2022-01-31	120.04	0.00	14.89
PUT SPOT 02/04/22	190 SPOTIFY TECHNOLOGY S A CUSIP: *8PHBZV6 Symbol: SPOT--	220204P00190000	2022-01-31	1.000000	699.94	2022-01-31	800.04	0.00	-100.10
PUT SPY 02/04/22	440 STANDARD & POORS DEPOSITORY CUSIP: *8PHCDP3 Symbol: SPY---	220204P00440000	2022-02-04	5.000000	89.73	2022-02-04	140.25	0.00	-50.52
PUT TSLA 06/17/22	650 TESLA INC CUSIP: *8PJKGR7 Symbol: TSLA--	220617P00650000	2022-06-17	1.000000	324.93	2022-06-17	280.05	0.00	44.88
CALL PDD 10/28/22	55 PINDUODUO INC ADS CUSIP: *8SYXFW9 Symbol: PDD---	221028C00055000	2022-10-28	3.000000	17.83	2022-10-27	240.15	0.00	-222.32
CALL SPY 03/31/22	460 STANDARD & POORS DEPOSITORY CUSIP: *8PTKJZ4 Symbol: SPY---	220331C00460000	2022-03-31	1.000000	44.93	2022-03-31	38.05	0.00	6.88
CALL LCID 01/21/22	45 LUCID GROUP INC CUSIP: *8PYLHZ5 Symbol: LCID--	220121C00045000	2022-01-11	1.000000	259.94	2022-01-11	250.04	0.00	9.90
CALL XPEV 11/18/22	7 XPENG INC ADR CLASS A CUSIP: *8SCBQS5 Symbol: XPEV--	221118C00007000	2022-11-04	1.000000	141.94	2022-11-03	47.04	0.00	94.90
CALL MSTR 10/28/22	285 MICROSTRATEGY INC CUSIP: *8SYWQZ5 Symbol: MSTR--	221028C00285000	2022-10-28	1.000000	0.00	2022-10-28	60.05	0.00	-60.05
PUT VERU 04/14/22	15 VERU INC CUSIP: *8SDMMN6 Symbol: VERU--	220414P00015000	2022-04-14	10.000000	499.48	2022-04-14	582.49	0.00	-83.01
PUT HOOD 11/04/22	11 ROBINHOOD MARKET INC CUSIP: *8LNLSG4 Symbol: HOOD--	221104P00011000	2022-11-02	1.000000	44.94	2022-10-31	39.05	0.00	5.89
CALL SPY 12/07/22	395 STANDARD & POORS DEPOSITORY CUSIP: *8W86764 Symbol: SPY---	221207C00395000	2022-12-07	1.000000	28.94	2022-12-07	41.04	0.00	-12.10
PUT PYPL 01/28/22	155 PAYPAL HOLDINGS INC CUSIP: *8SJPWY5 Symbol: PYPL--	220128P00155000	2022-01-24	1.000000	474.94	2022-01-24	465.04	0.00	9.90

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Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL GLD 02/11/22	175 SPDR GOLD TR CUSIP: *8SJVVT9 Symbol: GLD---220211C00175000						
2022-01-25	1.000000	101.94	2022-01-25	132.04	0.00	-30.10	
PUT NVDA 02/11/22	260 NVIDIA CORPORATION CUSIP: *8SJXPM4 Symbol: NVDA--220211P00260000						
2022-02-09	1.000000	269.93	2022-02-09	327.05	0.00	-57.12	
CALL HOOD 01/28/22	15 ROBINHOOD MARKET INC CUSIP: *8SKNMD9 Symbol: HOOD--220128C00015000						
2022-01-24	1.000000	22.94	2022-01-20	83.04	0.00	-60.10	
CALL COIN 12/09/22	55 COINBASE GLOBAL INC CUSIP: *8572587 Symbol: COIN--221209C00055000						
2022-12-06	1.000000	4.94	2022-12-05	46.04	0.00	-41.10	
PUT NVDA 01/28/22	200 NVIDIA CORPORATION CUSIP: *8SKPFR4 Symbol: NVDA--220128P00200000						
2022-01-25	1.000000	166.94	2022-01-25	269.04	0.00	-102.10	
CALL SPY 06/17/22	430 STANDARD & POORS DEPOSITORY CUSIP: *8569943 Symbol: SPY---220617C00430000						
2022-05-09	1.000000	444.93	2022-05-09	446.05	1.12 D	-1.12	
2022-05-23	18.000000	1,439.04	Various	1,113.02	0.00	326.02	
Security Totals:		1,883.97		1,559.07		324.90	
CALL DKNG 02/04/22	25 DRAFTKINGS INC CL A CUSIP: *8NPVGS2 Symbol: DKNG--220204C00025000						
2022-01-26	3.000000	95.86	2022-01-26	102.12	0.00	-6.26	
CALL TSLA 07/15/22	750 TESLA INC CUSIP: *8PDZHS6 Symbol: TSLA--220715C00750000						
2022-07-15	2.000000	167.88	2022-07-15	276.10	0.00	-108.22	
PUT SPY 02/02/22	447 STANDARD & POORS DEPOSITORY CUSIP: *8PHXTN8 Symbol: SPY---220202P00447000						
2022-02-01	1.000000	168.93	2022-02-01	246.05	0.00	-77.12	
CALL NVDA 03/18/22	225 NVIDIA CORPORATION ADJ 4:1 STOCK SPLIT CUSIP: *8NKRXC9 Symbol: NVDA--220318C00225000						
2022-03-15	1.000000	204.93	2022-03-15	248.05	0.00	-43.12	
Totals:		183,386.45		202,316.76		-18,930.31	

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Apex Clearing

Regulated Futures Contracts & Section 1256 Options

2022

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02/09/2023

The detailed transactional information below is furnished only for your information. Only the aggregate profit/loss information in each section is reported to the IRS. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL SPXW 04/08/22 4650 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	04/08/2022						
CUSIP:	@4,650.00	04/04/2022	1.00	Sell	330.63	409.37	78.74
CALL SPXW 03/30/22 4635 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	03/30/2022						
CUSIP:	@4,635.00	03/29/2022	1.00	Sell	610.63	699.37	88.74
CALL SPXW 03/31/22 4620 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	03/31/2022						
CUSIP:	@4,620.00	03/31/2022	1.00	Sell	390.63	209.37	-181.26
PUT SPXW 07/15/22 3830 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	07/15/2022						
CUSIP:	@3,830.00	07/15/2022	8.00	Sell	3,125.04	2,954.97	-170.07
CALL SPXW 04/29/22 4325 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	04/29/2022						
CUSIP:	@4,325.00	04/28/2022	2.00	Sell	781.26	818.74	37.48
CALL SPXW 04/29/22 4300 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	04/29/2022						
CUSIP:	@4,300.00	04/29/2022	1.00	Sell	480.63	859.37	378.74
CALL SPXW 05/03/22 4220 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	05/03/2022						
CUSIP:	@4,220.00	05/03/2022	2.00	Sell	541.26	738.74	197.48
CALL SPXW 05/06/22 4300 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	05/06/2022						
CUSIP:	@4,300.00	05/05/2022	1.00	Sell	440.63	259.37	-181.26
CALL SPXW 05/06/22 4350 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	05/06/2022						
CUSIP:	@4,350.00	05/05/2022	1.00	Sell	240.63	209.37	-31.26
CALL SPXW 07/27/22 4050 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	07/27/2022						
CUSIP:	@4,050.00	07/27/2022	9.00	Sell	589.85	445.14	-144.71
	07/27/2022						
CUSIP:	@4,050.00	07/27/2022	1.00	Sell	65.54	64.46	-1.08

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Regulated Futures Contracts & Section 1256 Options

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PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL SPXW 05/11/22 4060 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	05/11/2022						
CUSIP:	@4,060.00	05/11/2022	1.00	Sell	1,700.63	1,269.37	-431.26
CALL SPXW 04/22/22 4400 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	04/22/2022						
CUSIP:	@4,400.00	04/22/2022	1.00	Sell	105.63	89.46	-16.17
CALL SPXW 05/13/22 4050 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	05/13/2022						
CUSIP:	@4,050.00	05/13/2022	1.00	Sell	360.63	439.37	78.74
CALL SPXW 05/11/22 4150 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	05/11/2022						
CUSIP:	@4,150.00	05/10/2022	2.00	Sell	621.26	368.74	-252.52
CALL SPXW 05/06/22 4350 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	05/06/2022						
CUSIP:	@4,350.00	05/05/2022	1.00	Sell	250.63	209.37	-41.26
CALL SPXW 05/18/22 4100 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	05/18/2022						
CUSIP:	@4,100.00	05/18/2022	1.00	Sell	180.63	164.37	-16.26
CALL SPXW 05/18/22 4150 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	05/18/2022						
CUSIP:	@4,150.00	05/16/2022	1.00	Sell	350.63	269.37	-81.26
CALL SPXW 05/16/22 4150 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	05/16/2022						
CUSIP:	@4,150.00	05/16/2022	1.00	Sell	255.63	4.46	-251.17
CALL SPXW 05/18/22 4050 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	05/18/2022						
CUSIP:	@4,050.00	05/18/2022	1.00	Sell	790.63	154.37	-636.26
CALL SPXW 05/18/22 4100 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	05/18/2022						
CUSIP:	@4,100.00	05/18/2022	1.00	Sell	180.63	164.37	-16.26
CALL VIX 05/18/22 27 CBOE VOLATILITY INDEX CLOSING CONTRACT A/E 8BQMLP1 3 EXERCISED	05/18/2022						
CUSIP:	@27.00	05/18/2022	3.00	Sell	195.90	204.00	8.10

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Regulated Futures Contracts & Section 1256 Options

2022

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PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL SPXW 05/16/22 4150 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	05/16/2022						
CUSIP:	@4,150.00	05/16/2022	1.00	Sell	240.63	4.46	-236.17
PUT SPXW 05/16/22 3920 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	05/16/2022						
CUSIP:	@3,920.00	05/16/2022	1.00	Sell	120.63	154.37	33.74
CALL SPXW 05/13/22 4100 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	05/13/2022						
CUSIP:	@4,100.00	05/12/2022	2.00	Sell	391.26	538.74	147.48
CALL SPXW 05/13/22 4075 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	05/13/2022						
CUSIP:	@4,075.00	05/13/2022	1.00	Sell	155.63	144.37	-11.26
CALL SPXW 05/09/22 4150 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	05/09/2022						
CUSIP:	@4,150.00	05/09/2022	1.00	Sell	390.63	449.37	58.74
	05/09/2022						
CUSIP:	@4,150.00	05/09/2022	2.00	Sell	581.26	528.74	-52.52
CALL SPXW 05/24/22 4080 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	05/24/2022						
CUSIP:	@4,080.00	05/23/2022	1.00	Sell	185.63	99.37	-86.26
PUT SPXW 05/26/22 4020 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	05/26/2022						
CUSIP:	@4,020.00	05/26/2022	1.00	Sell	470.63	489.37	18.74
PUT SPXW 05/25/22 3850 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	05/25/2022						
CUSIP:	@3,850.00	05/25/2022	1.00	Sell	215.63	179.37	-36.26
CALL SPXW 05/25/22 4000 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	05/25/2022						
CUSIP:	@4,000.00	05/24/2022	1.00	Sell	350.63	299.37	-51.26
PUT SPXW 05/25/22 3750 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	05/25/2022						
CUSIP:	@3,750.00	05/24/2022	1.00	Sell	255.63	124.37	-131.26

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Apex Clearing

Regulated Futures Contracts & Section 1256 Options

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PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL SPXW 05/27/22 4100 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	05/27/2022						
CUSIP:	@4,100.00	05/25/2022	1.00	Sell	205.63	224.37	18.74
PUT SPXW 05/24/22 3850 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	05/24/2022						
CUSIP:	@3,850.00	05/24/2022	1.00	Sell	310.63	329.37	18.74
PUT SPXW 06/02/22 4080 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	06/02/2022						
CUSIP:	@4,080.00	06/02/2022	1.00	Sell	265.63	299.37	33.74
PUT SPXW 06/13/22 3700 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	06/13/2022						
CUSIP:	@3,700.00	06/13/2022	1.00	Sell	330.63	369.37	38.74
CALL SPXW 06/21/22 3700 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	06/21/2022						
CUSIP:	@3,700.00	06/17/2022	1.00	Sell	2,300.63	2,699.37	398.74
PUT SPXW 06/06/22 4120 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	06/06/2022						
CUSIP:	@4,120.00	06/06/2022	1.00	Sell	750.63	349.37	-401.26
PUT SPXW 06/29/22 3800 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	06/29/2022						
CUSIP:	@3,800.00	06/29/2022	1.00	Sell	680.63	749.37	68.74
CALL SPXW 05/13/22 4100 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	05/13/2022						
CUSIP:	@4,100.00	05/12/2022	1.00	Sell	240.63	244.37	3.74
PUT SPXW 06/29/22 3800 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	06/29/2022						
CUSIP:	@3,800.00	06/29/2022	1.00	Sell	650.63	609.37	-41.26
PUT SPXW 07/05/22 3770 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	07/05/2022						
CUSIP:	@3,770.00	07/05/2022	1.00	Sell	910.63	799.37	-111.26
PUT SPXW 06/14/22 3700 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	06/14/2022						
CUSIP:	@3,700.00	06/14/2022	1.00	Sell	440.63	519.37	78.74

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PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
PUT SPXW 07/21/22 3900 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	07/21/2022						
CUSIP:	@3,900.00	07/21/2022	10.00	Sell	2,006.29	3,293.71	1,287.42
CALL SPXW 07/14/22 3780 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	07/14/2022						
CUSIP:	@3,780.00	07/14/2022	1.00	Sell	360.63	219.37	-141.26
CALL SPXW 07/12/22 3870 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	07/12/2022						
CUSIP:	@3,870.00	07/12/2022	1.00	Sell	400.63	469.37	68.74
CALL SPXW 07/11/22 3905 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	07/11/2022						
CUSIP:	@3,905.00	07/11/2022	2.00	Sell	321.26	398.74	77.48
PUT SPXW 07/01/22 3750 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	07/01/2022						
CUSIP:	@3,750.00	06/30/2022	1.00	Sell	850.63	899.37	48.74
CALL SPXW 06/30/22 3820 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	06/30/2022						
CUSIP:	@3,820.00	06/30/2022	1.00	Sell	740.63	509.37	-231.26
PUT SPXW 07/22/22 3940 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	07/22/2022						
CUSIP:	@3,940.00	07/22/2022	10.00	Sell	1,506.30	894.61	-611.69
PUT SPXW 08/01/22 4070 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	08/01/2022						
CUSIP:	@4,070.00	08/01/2022	10.00	Sell	1,606.29	1,743.71	137.42
PUT SPXW 07/20/22 3930 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	07/20/2022						
CUSIP:	@3,930.00	07/20/2022	2.00	Sell	461.26	238.74	-222.52
PUT SPXW 07/21/22 3950 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	07/21/2022						
CUSIP:	@3,950.00	07/21/2022	1.00	Sell	125.63	134.37	8.74
CALL SPXW 08/03/22 4165 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	08/03/2022						
CUSIP:	@4,165.00	08/03/2022	10.00	Sell	1,156.30	1,243.71	87.41

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PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
PUT SPXW 07/29/22 3750 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	07/29/2022						
CUSIP:	@3,750.00	07/25/2022	2.00	Sell	401.26	408.74	7.48
PUT SPXW 07/27/22 3880 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	07/27/2022						
CUSIP:	@3,880.00	07/27/2022	10.00	Sell	1,756.29	1,143.71	-612.58
PUT SPXW 07/29/22 4070 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	07/29/2022						
CUSIP:	@4,070.00	07/29/2022	5.00	Sell	1,803.15	47.30	-1,755.85
PUT SPXW 07/28/22 4050 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	07/28/2022						
CUSIP:	@4,050.00	07/28/2022	1.00	Sell	710.63	4.46	-706.17
CALL SPXW 08/03/22 4175 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	08/03/2022						
CUSIP:	@4,175.00	08/03/2022	3.00	Sell	226.62	298.11	71.49
PUT SPXW 08/01/22 4070 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	08/01/2022						
CUSIP:	@4,070.00	08/01/2022	10.00	Sell	205.39	194.61	-10.78
CALL SPXW 07/26/22 3950 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	07/26/2022						
CUSIP:	@3,950.00	07/26/2022	10.00	Sell	1,606.29	1,293.70	-312.59
CALL SPXW 08/05/22 4155 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	08/05/2022						
CUSIP:	@4,155.00	08/05/2022	2.00	Sell	181.08	28.92	-152.16
PUT SPXW 08/15/22 4250 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	08/15/2022						
CUSIP:	@4,250.00	08/15/2022	1.00	Sell	390.63	154.37	-236.26
CALL SPXW 07/28/22 4050 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	07/28/2022						
CUSIP:	@4,050.00	07/28/2022	11.00	Sell	1,546.92	1,039.07	-507.85
CALL SPXW 07/28/22 4045 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	07/28/2022						
CUSIP:	@4,045.00	07/28/2022	1.00	Sell	1,090.63	1,199.37	108.74

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PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
PUT SPXW 07/12/22 3835 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	07/12/2022						
CUSIP:	@3,835.00	07/12/2022	1.00	Sell	205.63	1,529.37	1,323.74
PUT SPXW 08/09/22 4110 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	08/09/2022						
CUSIP:	@4,110.00	08/09/2022	1.00	Sell	750.63	249.37	-501.26
CALL SPXW 08/09/22 4130 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	08/09/2022						
CUSIP:	@4,130.00	08/09/2022	1.00	Sell	320.63	309.37	-11.26
PUT SPXW 08/12/22 4220 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	08/12/2022						
CUSIP:	@4,220.00	08/12/2022	1.00	Sell	30.54	9.46	-21.08
CALL SPXW 08/08/22 4155 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	08/08/2022						
CUSIP:	@4,155.00	08/08/2022	2.00	Sell	181.08	38.92	-142.16
CALL SPXW 08/26/22 4110 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	08/26/2022						
CUSIP:	@4,110.00	08/26/2022	1.00	Sell	300.63	154.37	-146.26
PUT SPXW 08/11/22 4225 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	08/11/2022						
CUSIP:	@4,225.00	08/11/2022	1.00	Sell	350.63	379.37	28.74
PUT SPXW 09/14/22 3900 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	09/14/2022						
CUSIP:	@3,900.00	09/14/2022	10.00	Sell	855.39	1,043.71	188.32
PUT SPXW 09/16/22 3800 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	09/16/2022						
CUSIP:	@3,800.00	09/16/2022	5.00	Sell	928.15	1,496.85	568.70
CALL SPXW 09/14/22 3965 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	09/14/2022						
CUSIP:	@3,965.00	09/14/2022	1.00	Sell	900.63	569.37	-331.26
CALL SPXW 09/14/22 4000 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	09/14/2022						
CUSIP:	@4,000.00	09/14/2022	5.00	Sell	828.15	546.85	-281.30

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PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
PUT SPXW 09/15/22 3920 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	09/15/2022						
CUSIP:	@3,920.00	09/15/2022	1.00	Sell	850.63	1,199.37	348.74
CALL SPXW 09/16/22 3900 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	09/16/2022						
CUSIP:	@3,900.00	09/16/2022	1.00	Sell	400.63	589.37	188.74
CALL SPXW 09/16/22 3900 CBOE S&P 500 CLOSE/EURO INDEX OPTION EXPIRATION - EXPIRED							
	09/16/2022						
CUSIP:	@3,900.00	09/16/2022	1.00	OptionExpiration	70.54	0.00	-70.54
PUT SPXW 09/14/22 3900 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	09/14/2022						
CUSIP:	@3,900.00	09/14/2022	1.00	Sell	290.63	104.37	-186.26
CALL SPXW 09/16/22 3920 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	09/16/2022						
CUSIP:	@3,920.00	09/16/2022	2.00	Sell	311.26	78.92	-232.34
PUT SPXW 09/12/22 4090 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	09/12/2022						
CUSIP:	@4,090.00	09/12/2022	1.00	Sell	650.63	969.37	318.74
PUT SPXW 07/27/22 3950 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	07/27/2022						
CUSIP:	@3,950.00	07/27/2022	1.00	Sell	1,070.63	429.37	-641.26
CALL SPXW 07/26/22 3930 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT AVERAGE PRICE TRADE DETAILS AVAILABLE UPON REQUEST							
	07/26/2022						
CUSIP:	@3,930.00	07/26/2022	4.00	Sell	2,242.52	2,287.48	44.96
PUT SPXW 08/03/22 4120 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	08/03/2022						
CUSIP:	@4,120.00	08/03/2022	1.00	Sell	300.63	254.37	-46.26
PUT SPXW 07/28/22 4050 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	07/28/2022						
CUSIP:	@4,050.00	07/28/2022	1.00	Sell	590.63	4.46	-586.17
CALL SPXW 08/02/22 4160 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	08/02/2022						
CUSIP:	@4,160.00	08/02/2022	10.00	Sell	755.40	544.61	-210.79

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PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL SPXW 08/02/22 4135 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	08/02/2022						
CUSIP:	@4,135.00	08/02/2022	2.00	Sell	721.26	698.74	-22.52
PUT SPXW 08/30/22 4000 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	08/30/2022						
CUSIP:	@4,000.00	08/30/2022	1.00	Sell	320.63	459.37	138.74
PUT SPXW 08/31/22 3960 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	08/31/2022						
CUSIP:	@3,960.00	08/31/2022	1.00	Sell	670.63	869.37	198.74
PUT SPXW 09/07/22 3850 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	09/07/2022						
CUSIP:	@3,850.00	09/06/2022	1.00	Sell	690.63	759.37	68.74
CALL SPXW 09/08/22 4020 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	09/08/2022						
CUSIP:	@4,020.00	09/08/2022	1.00	Sell	260.63	264.37	3.74
CALL SPXW 09/09/22 4060 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	09/09/2022						
CUSIP:	@4,060.00	09/09/2022	1.00	Sell	490.63	779.37	288.74
PUT SPXW 09/08/22 4000 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	09/08/2022						
CUSIP:	@4,000.00	09/08/2022	1.00	Sell	1,000.63	2,619.37	1,618.74
PUT SPXW 09/09/22 4040 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	09/09/2022						
CUSIP:	@4,040.00	09/09/2022	10.00	Sell	1,506.29	944.61	-561.68
CALL SPXW 09/12/22 4130 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	09/12/2022						
CUSIP:	@4,130.00	09/12/2022	2.00	Sell	621.26	798.74	177.48
PUT SPXW 09/14/22 3900 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	09/14/2022						
CUSIP:	@3,900.00	09/14/2022	1.00	Sell	230.63	104.37	-126.26
CALL SPXW 09/14/22 4000 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	09/14/2022						
CUSIP:	@4,000.00	09/14/2022	2.00	Sell	461.26	398.74	-62.52

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PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL SPXW 09/15/22 3980 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	09/15/2022						
CUSIP:	@3,980.00	09/15/2022	3.00	Sell	796.89	1,138.11	341.22
PUT SPXW 09/12/22 4080 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	09/12/2022						
CUSIP:	@4,080.00	09/12/2022	2.00	Sell	581.26	798.74	217.48
CALL SPXW 09/15/22 3960 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	09/15/2022						
CUSIP:	@3,960.00	09/15/2022	2.00	Sell	531.26	128.92	-402.34
CALL SPXW 09/19/22 3900 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	09/19/2022						
CUSIP:	@3,900.00	09/19/2022	2.00	Sell	381.26	488.74	107.48
CUSIP:	@3,900.00	09/19/2022	2.00	Sell	701.26	978.74	277.48
CUSIP:	@3,900.00	09/19/2022	2.00	Sell	531.26	478.74	-52.52
CUSIP:	@3,900.00	09/19/2022	1.00	Sell	265.63	399.37	133.74
CALL SPXW 09/23/22 3740 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	09/23/2022						
CUSIP:	@3,740.00	09/23/2022	1.00	Sell	950.63	799.37	-151.26
CALL SPXW 09/22/22 3800 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	09/22/2022						
CUSIP:	@3,800.00	09/22/2022	1.00	Sell	270.63	299.37	28.74
CALL SPXW 09/19/22 3900 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	09/19/2022						
CUSIP:	@3,900.00	09/19/2022	1.00	Sell	175.63	244.37	68.74
PUT SPXW 09/22/22 3730 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT AVERAGE PRICE TRADE DETAILS AVAILABLE UPON REQUEST	09/22/2022						
CUSIP:	@3,730.00	09/22/2022	2.00	Sell	291.26	403.74	112.48
CALL SPXW 09/20/22 3950 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	09/20/2022						
CUSIP:	@3,950.00	09/19/2022	2.00	Sell	421.26	548.74	127.48

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Regulated Futures Contracts & Section 1256 Options

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PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL SPXW 09/20/22 3920 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	09/20/2022						
CUSIP:	@3,920.00	09/20/2022	1.00	Sell	215.63	139.37	-76.26
CALL SPXW 09/22/22 3780 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	09/22/2022						
CUSIP:	@3,780.00	09/22/2022	1.00	Sell	730.63	899.37	168.74
CALL SPXW 09/22/22 3870 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	09/22/2022						
CUSIP:	@3,870.00	09/22/2022	2.00	Sell	171.08	48.92	-122.16
PUT SPXW 09/19/22 3850 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	09/19/2022						
CUSIP:	@3,850.00	09/19/2022	1.00	Sell	205.63	4.46	-201.17
CALL SPXW 09/20/22 3900 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	09/20/2022						
CUSIP:	@3,900.00	09/20/2022	2.00	Sell	1,001.26	178.92	-822.34
CALL SPXW 09/21/22 3980 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	09/21/2022						
CUSIP:	@3,980.00	09/21/2022	2.00	Sell	431.26	398.74	-32.52
PUT SPXW 09/19/22 3850 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	09/19/2022						
CUSIP:	@3,850.00	09/19/2022	1.00	Sell	100.63	4.46	-96.17
CALL SPXW 09/20/22 3865 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	09/20/2022						
CUSIP:	@3,865.00	09/20/2022	1.00	Sell	210.63	319.37	108.74
CALL SPXW 09/23/22 3750 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	09/23/2022						
CUSIP:	@3,750.00	09/23/2022	1.00	Sell	520.63	279.37	-241.26
PUT SPXW 09/19/22 3840 CBOE S&P 500 CLOSE/EURO INDEX OPTION EXPIRATION - EXPIRED	09/19/2022						
CUSIP:	@3,840.00	09/19/2022	3.00	OptionExpiration	256.62	0.00	-256.62
PUT SPXW 09/19/22 3850 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	09/19/2022						
CUSIP:	@3,850.00	09/19/2022	2.00	Sell	451.26	8.92	-442.34

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PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL SPXW 09/27/22 3720 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	09/27/2022						
CUSIP:	@3,720.00	09/27/2022	1.00	Sell	180.63	94.46	-86.17
PUT SPXW 09/26/22 3650 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	09/26/2022						
CUSIP:	@3,650.00	09/26/2022	1.00	Sell	380.63	289.37	-91.26
CUSIP:	@3,650.00	09/26/2022	1.00	Sell	500.63	519.37	18.74
CALL SPXW 09/26/22 3750 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	09/26/2022						
CUSIP:	@3,750.00	09/26/2022	2.00	Sell	591.26	598.74	7.48
CALL SPXW 09/26/22 3720 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	09/26/2022						
CUSIP:	@3,720.00	09/26/2022	1.00	Sell	310.63	399.37	88.74
PUT SPXW 09/26/22 3650 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	09/26/2022						
CUSIP:	@3,650.00	09/26/2022	1.00	Sell	450.63	254.37	-196.26
CALL SPXW 09/28/22 3730 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	09/28/2022						
CUSIP:	@3,730.00	09/28/2022	2.00	Sell	301.26	318.74	17.48
PUT SPXW 08/26/22 4050 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	08/26/2022						
CUSIP:	@4,050.00	08/26/2022	10.00	Sell	605.40	394.61	-210.79
CALL SPXW 08/23/22 4200 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	08/23/2022						
CUSIP:	@4,200.00	08/23/2022	1.00	Sell	30.54	4.46	-26.08
CALL SPXW 10/05/22 3800 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	10/05/2022						
CUSIP:	@3,800.00	10/05/2022	2.00	Sell	281.26	558.74	277.48
PUT SPXW 10/07/22 3620 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	10/07/2022						
CUSIP:	@3,620.00	10/07/2022	10.00	Sell	2,306.29	2,593.71	287.42

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Regulated Futures Contracts & Section 1256 Options

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PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL SPXW 10/14/22 3750 CBOE S&P 500 CLOSE/EURO INDEX OPTION EXPIRATION - EXPIRED	10/14/2022						
CUSIP:	@3,750.00	10/14/2022	1.00	OptionExpiration	500.63	0.00	-500.63
PUT SPXW 10/13/22 3480 CBOE S&P 500 CLOSE/EURO INDEX OPTION EXPIRATION - EXPIRED	10/13/2022						
CUSIP:	@3,480.00	10/13/2022	1.00	OptionExpiration	1,400.63	0.00	-1,400.63
PUT SPXW 10/11/22 3590 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	10/11/2022						
CUSIP:	@3,590.00	10/11/2022	10.00	Sell	2,086.30	5,293.71	3,207.41
PUT SPXW 10/21/22 3650 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	10/21/2022						
CUSIP:	@3,650.00	10/21/2022	10.00	Sell	1,206.30	44.61	-1,161.69
PUT SPXW 10/20/22 3675 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	10/20/2022						
CUSIP:	@3,675.00	10/20/2022	10.00	Sell	2,006.29	1,993.71	-12.58
CALL SPXW 10/25/22 3865 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	10/25/2022						
CUSIP:	@3,865.00	10/25/2022	2.00	Sell	201.26	328.74	127.48
CALL SPXW 10/24/22 3820 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	10/24/2022						
CUSIP:	@3,820.00	10/24/2022	3.00	Sell	901.89	208.38	-693.51
CALL SPXW 09/26/22 3750 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	09/26/2022						
CUSIP:	@3,750.00	09/26/2022	1.00	Sell	270.63	299.37	28.74
CALL SPXW 09/27/22 3740 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	09/27/2022						
CUSIP:	@3,740.00	09/27/2022	2.00	Sell	521.26	268.74	-252.52
PUT SPXW 09/21/22 3770 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	09/21/2022						
CUSIP:	@3,770.00	09/21/2022	1.00	Sell	340.63	339.37	-1.26
CALL SPXW 12/05/22 4070 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	12/05/2022						
CUSIP:	@4,070.00	12/05/2022	2.00	Sell	91.06	48.94	-42.12

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PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
PUT SPXW 12/28/22 3760 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	12/28/2022						
CUSIP:	@3,760.00	12/28/2022	1.00	Sell	46.08	48.92	2.84
CALL SPXW 09/16/22 3920 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	09/16/2022						
CUSIP:	@3,920.00	09/16/2022	8.00	Sell	1,085.03	315.68	-769.35
PUT SPXW 10/24/22 3740 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	10/24/2022						
CUSIP:	@3,740.00	10/24/2022	1.00	Sell	105.63	69.46	-36.17
PUT SPXW 10/26/22 3850 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	10/26/2022						
CUSIP:	@3,850.00	10/26/2022	1.00	Sell	240.63	199.37	-41.26
Short-Term Profit/(Loss) Total: 40%							-2,704.08
Long-Term Profit/(Loss) Total: 60%							-4,056.11
Total:							-6,760.19

Aggregate Short-Term Profit or (Loss): 40%	-2,704.08
Aggregate Long-Term Profit or (Loss): 60%	-4,056.11
11 - Aggregate Profit or (Loss) on Contracts	-6,760.19

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Dividends and Distributions - Details of Form 1099-DIV

2022

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This section of the information statement provides payment-level details for dividend-related distributions reported in aggregate on Form 1099-DIV. The information provided below is for your reference only. The break-out details provide payment-level information (including payment date and issuer) for different categories of distributions reported on Form 1099-DIV: ordinary dividends, including portions classified as qualified dividends and section 199A dividends, non-dividend distributions, exempt-interest dividends and liquidation distributions.

Taxes withheld for federal, state or foreign tax purposes are shown as negative numbers but do not offset the income amounts.

For dividends classified as "qualified dividends," the classification is made only from the issuer perspective without accounting for your holding period. Under IRS rules, classification as a qualified dividend for a taxpayer also depends on the taxpayer's holding period in the relevant stock, and a taxpayer is responsible for confirming such qualification based on the taxpayer's actual holding period. Taxpayers are reminded that they are ultimately responsible for the accuracy of their own tax returns.

Description | CUSIP | Symbol

Date	Total Ordinary Dividends (Box 1a)	Qualified Dividends (Box 1b)	Nondividend Distributions (Box 3)	Section 199A Dividends (Box 5)	Investment Expenses (Box 6)	Foreign Tax paid (Box 7)	Foreign Country or US Possession (Box 8)
***ALAMOS GOLD INC COM CL A CUSIP: 011532108 Symbol: AGI							
2022-03-31	0.05	0.05	0.00	0.00	0.00	-0.01	CA
2022-06-30	0.05	0.05	0.00	0.00	0.00	-0.01	CA
2022-09-29	0.05	0.05	0.00	0.00	0.00	-0.01	CA
2022-12-20	0.05	0.05	0.00	0.00	0.00	-0.01	CA
Security Totals:	0.20	0.20	0.00	0.00	0.00	-0.04	
ADT INC COMMON STOCK CUSIP: 00090Q103 Symbol: ADT							
2022-01-04	0.02	0.02	0.05	0.00	0.00	0.00	
2022-04-04	0.02	0.02	0.05	0.00	0.00	0.00	
2022-07-05	0.02	0.02	0.05	0.00	0.00	0.00	
2022-10-04	0.02	0.02	0.05	0.00	0.00	0.00	
Security Totals:	0.08	0.08	0.20	0.00	0.00	0.00	
Totals:	0.28	0.28	0.20	0.00	0.00	-0.04	

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (SSN, ITIN, ATIN, or EIN). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) (with a Form 1096) to the IRS for each of the other owners to show their share of the income, and you must furnish the respective Copy B Form(s) to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2022 General Instructions for Certain Information Returns.

FreeFile. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

Future developments. For the latest information about developments related to forms and instructions, such as legislation enacted after they were published, go to www.irs.gov/forms-instructions.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Box 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

Box 1b. Shows the portion of the amount in box 1a that may be eligible for reduced capital gains rates. See the Instructions for Form 1040 for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Box 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in boxes 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in box 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Form 1040.

Box 2b. Shows the portion of the amount in box 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

Box 2c. Shows the portion of the amount in box 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

Box 2d. Shows the portion of the amount in box 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

Box 2e. Shows the portion of the amount in box 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

Box 2f. Shows the portion of the amount in box 2a that is section 897 gain attributable to disposition of USRPI. Note: Boxes 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

Box 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Box 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5. Shows the portion of the amount in box 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Box 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in box 1a.

Box 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Form 1040.

Box 8. This box should be left blank if a RIC reported the foreign tax shown in box 7.

Boxes 9 and 10. Show cash and noncash liquidation distributions.

Box 11. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You may also have a filing requirement. See the Instructions for Form 8938.

Box 12. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Form 1040 for where to report. This amount may be subject to backup withholding. See Box 4 above.

Box 13. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in box 12. See the Instructions for Form 6251.

Boxes 14-16. State income tax withheld reporting boxes.

1099-INT Instructions for Recipient

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer must generally report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

Box 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in box 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during the calendar year on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

Box 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Form 1040 to see where to take the deduction.

Box 3. Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in box 1. See the instructions above for a taxable covered security acquired at a premium.

Box 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Box 5. Any amount shown is your share of investment expenses of a single-class REMIC. This amount is included in box 1. Note: This amount is not deductible.

Box 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

Box 7. Shows the country or U.S. possession to which the foreign tax was paid.

Box 8. Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Form 1040. This amount may be subject to backup withholding. See Box 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

Box 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in box 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

Box 10. For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Form 1040. Market discount on a tax-exempt security is includible in taxable income as interest income.

Box 11. For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this box for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 1. If the amount in box 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

Box 12. For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this box for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 3. If the amount in box 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

Box 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this box, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this box for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in box 8 or 9, whichever is applicable. If the amount in box 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

Box 14. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s).

Boxes 15-17. State tax withheld reporting boxes.

1099-B Instructions to Recipients

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040) and/or Form 8949.

Box 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Box 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

- Box 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.
- Box 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040).
- Box 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040), or Pub. 550 for details.
- Box 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank. Box 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.
- Box 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method is generally treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.
- Box 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).
- Box 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.
- Box 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.
- Box 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "Net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.
- Box 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040) instructions. The broker should advise you of any losses on a separate statement.
- Regulated Futures Contracts, Foreign Currency Contracts, and Section 1256 Option Contracts (Boxes 8 Through 11)**
- Box 8.** Shows the profit or (loss) realized on regulated futures, foreign currency, or Section 1256 option contracts closed during 2022.
- Box 9.** Shows any year-end adjustment to the profit or (loss) shown in box 8 due to open contracts on December 31, 2021.
- Box 10.** Shows the unrealized profit or (loss) on open contracts held in your account on December 31, 2022. These are considered closed out as of that date. This will become an adjustment reported as unrealized profit or (loss) on open contracts—12/31/2022 in 2023.
- Box 11.** Boxes 8, 9, and 10 are all used to figure the aggregate profit or (loss) on regulated futures, foreign currency, or Section 1256 option contracts for the year. Include this amount on your 2022 Form 6781.
- Box 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040) as you may be able to report your transaction directly on Schedule D (Form 1040). If the "Ordinary" box in box 2 is checked, an adjustment may be required.
- Box 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.
- Boxes 14–16.** Show state(s)/local income tax information.

1099-MISC Instructions to Recipients

- Amounts shown may be subject to self-employment (SE) tax.** Individuals should see the Instructions for Schedule SE (Form 1040). Corporations, fiduciaries, or partnerships must report the amounts on the appropriate line of their tax returns.
- Form 1099-MISC incorrect?** If this form is incorrect or has been issued in error, contact the payer. If you cannot get this form corrected, attach an explanation to your tax return and report your information correctly.
- Box 1.** Report rents from real estate on Schedule E (Form 1040). However, report rents on Schedule C (Form 1040) if you provided significant services to the tenant, sold real estate as a business, or rented personal property as a business. See Pub. 527.
- Box 2.** Report royalties from oil, gas, or mineral properties; copyrights; and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the Schedule E (Form 1040) instructions. For royalties on timber, coal, and iron ore, see Pub. 544.
- Box 3.** Generally, report this amount on the "Other income" line of Schedule 1 (Form 1040) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).
- Box 4.** Shows backup withholding or withholding on Indian gaming profits. Generally, a payer must backup withhold if you did not furnish your TIN. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.
- Box 5.** Shows the amount paid to you as a fishing boat crew member by the operator, who considers you to be self-employed. Self-employed individuals must report this amount on Schedule C (Form 1040). See Pub. 334.
- Box 6.** For individuals, report on Schedule C (Form 1040).
- Box 7.** If checked, consumer products totaling \$5,000 or more were sold to you for resale, on a buy-sell, a deposit-commission, or other basis. Generally, report any income from your sale of these products on Schedule C (Form 1040).
- Box 8.** Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040).
- Box 9.** Report this amount on Schedule F (Form 1040).
- Box 10.** Shows gross proceeds paid to an attorney in connection with legal services. Report only the taxable part as income on your return.
- Box 11.** Shows the amount of cash you received for the sale of fish if you are in the trade or business of catching fish.
- Box 12.** May show current year deferrals as a nonemployee under a nonqualified deferred compensation (NQDC) plan that is subject to the requirements of section 409A plus any earnings on current and prior year deferrals.
- Box 13.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You may also have a filing requirement. See the Instructions for Form 8938.
- Box 14.** Shows your total compensation of excess golden parachute payments subject to a 20% excise tax. See your tax return instructions for where to report.
- Box 15.** Shows income as a nonemployee under an NQDC plan that does not meet the requirements of section 409A. Any amount included in box 12 that is currently taxable is also included in this box. Report this amount as income on your tax return. This income is also subject to a substantial additional tax to be reported on Form 1040, 1040-SR, or 1040-NR. See the instructions for your tax return.
- Boxes 16–18.** Show state or local income tax withheld from the payments.

1099-OID Instructions to Recipients

Original issue discount (OID) is the excess of an obligation's stated redemption price at maturity over its issue price (acquisition price for a stripped bond or coupon). OID on a taxable obligation is taxable as interest over the life of the obligation. If you are the holder of a taxable OID obligation, you generally must include an amount of OID in your gross income each year you hold the obligation. Obligations that may have OID include a bond, debenture, note, certificate, or other evidence of indebtedness having a term of more than 1 year. For example, the OID rules may apply to certificates of deposit (CDs), time deposits, bonus savings plans, and other deposit arrangements, especially if the payment of interest is deferred until maturity. In addition, the OID rules apply to Treasury inflation-protected securities. See Pub. 550 for more information.

If, as the record holder, you receive Form 1099-OID showing amounts belonging to another person, you are considered a nominee recipient. Complete a Form 1099-OID for each of the other owners showing the amounts allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner as the "recipient." File Form(s) 1099-OID with Form 1096 with the Internal Revenue Service Center for your area. On Form 1096, list yourself as the "filer." A spouse is not required to file a nominee return to show amounts owned by the other spouse. If you bought or sold an obligation during the year and you are not a nominee, you are not required to issue or file Form 1099-OID showing the OID or stated interest allocable to the seller/buyer of the obligation.

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a covered security acquired with acquisition premium, your payer generally must report either (1) a net amount of OID that reflects the offset of OID by the amount of acquisition premium amortization for the year, or (2) a gross amount for both the OID and the acquisition premium amortization for the year. For a noncovered security acquired with acquisition premium, your payer is only required to report the gross amount of OID.

- Box 1.** Shows the OID on a taxable obligation for the part of the year you owned it. Report the amount in box 1 as interest income on your income tax return. However, depending on the type of debt instrument, the issue or acquisition date, and other factors (for example, if you paid acquisition or bond premium, or the obligation is a stripped bond or coupon), you may have to figure the correct amount of OID to report on your return. See Pub. 1212 for details on how to figure the correct OID. See the instructions above for a covered security acquired with acquisition premium.
- Box 2.** Shows qualified stated interest on this obligation for the year, which is an amount separate from the OID. If you held the obligation the entire year, report this amount as interest income on your tax return. If you disposed of the obligation or acquired it from another holder during the year, see Pub. 550 for reporting instructions. If there is an amount in both boxes 2 and 8, the amount in box 2 is interest on a U.S. Treasury obligation and is exempt from state and local income taxes. If there is an amount in both boxes 2 and 11, the amount in box 2 is tax-exempt interest and is not included in interest income on your tax return. In general, see how to report tax-exempt interest in the Instructions for Forms 1040 and 1040-SR.
- Box 3.** Shows interest or principal forfeited if you withdrew the money before the maturity date of the obligation, such as from a CD. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction.
- Box 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.
- Box 5.** For a covered security acquired with OID, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you. For a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, and issued with OID, shows the market discount that accrued on the obligation during the year while held by you.

For a covered security acquired on or after January 1, 2015, market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). The payer may, but is not required to, report the market discount for a tax-exempt obligation that is a covered security acquired before January 1, 2017. Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includible in taxable income as interest income.

Box 6. For a taxable covered security, shows the amount of acquisition premium amortization for the year that reduces the amount of OID that is included as interest on your income tax return. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040 or 1040-SR). If an amount is not reported in this box for a covered security acquired with acquisition premium, the payer has reported a net amount of OID that reflects the offset of OID that is included in income. If the payer has reported a net amount of OID in box 1 or box 8, you must report the net amount of OID on Schedule B (Form 1040 or 1040-SR) and you must not make an adjustment to this amount for acquisition premium on Schedule B (Form 1040 or 1040-SR). If there is an amount in both boxes 6 and 11, for a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, and is issued with OID, the amount in box 6 shows the amount of acquisition premium amortization for the year that reduces the amount of your tax-exempt OID for the year. The payer may, but is not required to, report the acquisition premium for a tax-exempt obligation that is a covered security acquired before January 1, 2017, and issued with OID. See the instructions above for a covered security acquired with acquisition premium.

Box 7. Shows the identification (CUSIP) number or description of the obligation (may include the stock exchange, issuer, coupon rate, and year of maturity).

Box 8. Shows OID on a U.S. Treasury obligation for the part of the year you owned it. Report this amount as interest income on your federal income tax return and see Pub. 1212 to figure any appropriate adjustments to this amount. This OID is exempt from state and local income taxes and is not included in box 1. See the instructions above for a covered security acquired with acquisition premium. If the number in this box is negative, it represents a deflation adjustment. See Pub. 550 for further information on accounting for this adjustment.

Box 9. Any amount shown is your share of investment expenses of a single-class REMIC. This amount is included in box 2. Note: This amount is not deductible.

Box 10. For a taxable covered security, including a Treasury inflation-protected security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040 or 1040-SR). If an amount is not reported in this box for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 2. If the amount in this box is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4). If there is an amount in both boxes 10 and 11, for a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, and issued with OID, the amount in box 10 shows the amount of premium amortization for the year that reduces the amount of your tax-exempt interest for the year. The payer may, but is not required to, report the premium amortization for a tax-exempt obligation that is a covered security acquired before January 1, 2017, and issued with OID.

Box 11. For a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, shows the tax-exempt OID on the obligation for the part of the year you owned it. The payer may, but is not required to, report the OID for a tax-exempt obligation that is a covered security acquired before January 1, 2017. In general, report the amount of tax-exempt OID on Form 1040 or 1040-SR. See the instructions above for a covered security acquired with acquisition premium.

Boxes 12-14. State income tax withheld reporting.