Robinhood Markets Inc 85 Willow Road Menlo Park, CA 94025 650-940-2700



Dharma dev Punukollu 1690 FM 423, Apt 9105 Frisco, TX 75033

Master Account Number for Import: 133192989

Document ID for Import: 3RXR88Y1W78

Enclosed is your 2022 Consolidated Tax Statement. 1099 Tax Forms for the following accounts are included in this package:

133192989 - Robinhood Securities LLC 133192989C - Robinhood Crypto LLC

New for 2022 Tax Year - Where applicable, 1099 Tax Forms for Robinhood Securities, Robinhood Crypto, and Robinhood Money are being consolidated into one package and reported under our parent entity, Robinhood Markets Inc. We have done this to ensure all forms that you need are provided at the same time in this consolidated package.

Important - Please utilize the Master Account Number and Document ID listed above if filing through tax service providers such as TurboTax or H&R Block. The Document ID is necessary in order to directly import your 1099 data into the tax software so that you do not need to manually enter each transaction. This year there is only one Master Account Number and Document ID that needs to be used to import all of your data across all accounts and 1099s (including crypto). Please note that tax software providers may have limits on the total number of transactions that can be directly imported using the Document ID.

CSVs - In addition, a CSV file which includes the same information that is reported on your 1099 forms will be provided. The CSV is not a separate 1099. It is being provided as a supplement in a format that may be more helpful if you have a large number of transactions or if you are not using the Document ID to import all of your Securities, Crypto and Money activity. Tax software providers may have limits on the total number of transactions that can be uploaded and CSV files may not be compatible with tax service providers such as TurboTax or H&R Block.

Robinhood Retirements Accounts - If you had any reportable distributions or contributions within a Robinhood Retirement account, your 2022 tax forms 1099-R and/or 5498 will be delivered separately. Retirement accounts will be reported under Robinhood Securities LLC and will have their own unique Doc IDs for import to tax software providers such as TurboTax or H&R Block.



Statement Date: 02/13/2023

Robinhood Markets Inc. as agent for **Robinhood Securities LLC**

85 Willow Road Menlo Park, CA 94025

Customer Service: 650-940-2700

Tax Information Account 133192989

Dharma dev Punukollu 1690 FM 423, Apt 9105 Frisco, TX 75033

RECIPIENT'S TIN: XXX-XX-0216

PAYER'S TIN: 46-4364776

11 - [] FATCA filling requirement (see instructions) Summary Information			13 - [] FATCA filing requirement (see instruct	
DIVIDENDS AND DISTRIBUTIONS 2022 1099-DIV*	OMB No. 1545-0110	MISCELLANEOUS INFORMATION	2022 1099-MISC*	OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5, 2e)	603.36	2- Royalties		0.00
1b- Qualified dividends	455.38	3- Other income		0.02
2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)	0.00	4- Federal income tax withheld		0.00
2b- Unrecaptured Section 1250 gain	0.00	8- Substitute payments in lieu of dividends or inte	erest	14.28
2c- Section 1202 gain	0.00			
2d- Collectibles (28%) gain	0.00	SECTION 1256 CONTRACTS	2022 1099-B*	OMB No. 1545-0715
2e- Section 897 ordinary dividends	0.00			
2f- Section 897 capital gain	0.00	8- Profit or (loss) realized in 2022 on closed contr		0.00
3- Nondividend distributions	0.00	9- Unrealized profit or (loss) on open contracts-12		0.00
4- Federal income tax withheld	0.00	10- Unrealized profit or (loss) on open contracts-12	2/31/2022	0.00
5- Section 199A dividends	0.00	11- Aggregate profit or (loss) on contracts		0.00
6- Investment expenses	0.00	If applicable, proceeds from sale transactions a	annoar summarized hole	ow and are
8- Foreign country or US possession: 7- Foreign tax paid:	0.00	detailed in subsequent sections of this docume		w and are
9- Cash liquidation distributions	0.00	•		Camdaa
10- Noncash liquidation distributions	0.00	* This is important tax information and is being furnished		
12- Exempt-interest dividends (includes line 13)	0.00			
13- Specified private activity bond interest dividends (AMT)	0.00	you it this income is taxable and the IRS determines that	it nas not been report	ea.
12- Exempt-interest dividends (includes line 13)	0.00 0.00	If you are required to file a return, a negligence penalty you if this income is taxable and the IRS determines that		

SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	717,933.14	752,318.36	0.00	13,664.00	-20,721.22
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	717,933.14	752,318.36	0.00	13,664.00	-20,721.22
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	717,933.14	752,318.36	0.00	13,664.00	-20,721.22
Withholding		Amount				
Federal income	tax withheld	0.00				

Account 133192989

Summary Information

(continued) 02/13/2023

INTEREST INCOME

2022

2022 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	2.03
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
Tax-exempt obligations (covered lots)	0.00
Tax-exempt private activity obligations (AMT, covered lots)	0.00
14- Tax-exempt and tax credit bond CUSIP number	See detail
FATCA filing requirement []	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	182.86
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Proceeds from Broker and Barter Exchange Transactions

Account 133192989

2022 1099-B* OMB No. 1545-0715

02/13/2023

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*) "Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/	CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ABNB 05/06/2022 PUT \$135	5.00 / CUSIP: / Symbol:						
05/03/22	1.000	266.98	05/02/22	296.00		-29.02	Option sale to close-put ²⁵
AMD 01/07/2022 CALL \$167	7.50 / CUSIP: / Symbol:						
01/04/22	1.000	8.98	01/03/22	28.00		-19.02	Option sale to close-call ²⁵
AMZN 01/06/2023 CALL \$10	05.00 / CUSIP: / Symbol:						
	2 transactions for 12/12/	22. Total proceeds a	and cost repor	ted to the IRS.			
12/12/22	2.000 1.000 3.000	71.99 35.99 107.98	12/09/22 12/09/22 Various	76.00 38.00 114.00	 	-4.01 -2.01 -6.02	1 of 2 - Option sale to close-call ²⁵ 2 of 2 - Option sale to close-call ²⁵ Total of 2 transactions

^{*} This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Proceeds from Broker and Barter Exchange Transactions

Account 133192989

2022 1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of prop	erty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
 ATER 04/22/2022 CALL	\$6.50 / CUSIP: / Symbol:					. , ,	
	5 transactions for 04/1	9/22. Total proceeds a	and cost report	ted to the IRS.			
	1.000 1.000 1.000 1.000 1.000	43.98 43.99 43.99 43.99 43.99	04/19/22 04/19/22 04/19/22 04/19/22 04/19/22	28.00 28.00 28.00 28.00 28.00	 	15.98 15.99 15.99 15.99 15.99	1 of 5 - Option sale to close-call ²⁵ 2 of 5 - Option sale to close-call ²⁵ 3 of 5 - Option sale to close-call ²⁵ 4 of 5 - Option sale to close-call ²⁵ 5 of 5 - Option sale to close-call ²⁵
04/19/22	5.000	219.94	Various	140.00		79.94	Total of 5 transactions
ATER 04/29/2022 CALL	\$5.50 / CUSIP: / Symbol:						
04/18/22	2.000	269.98	04/14/22	220.00		49.98	Option sale to close-call ²⁵
BA 04/08/2022 CALL \$2	00.00 / CUSIP: / Symbol:						
04/06/22	20.000	159.95	04/05/22	260.00		-100.05	Option sale to close-call ²⁵
BABA 01/06/2023 CALL	\$94.00 / CUSIP: / Symbol:						
12/29/22	4.000	439.97	12/28/22	300.00		139.97	Option sale to close-call ²⁵
COST 12/16/2022 CALL	. \$540.00 / CUSIP: / Symbol:						
	2 transactions for 12/0	7/22. Total proceeds a	and cost report	ted to the IRS.			
12/07/22 12/07/22	1.000 1.000 2.000 1.000 Security total:	66.99 66.98 133.97 66.99 200.96	12/06/22 12/06/22 Various 12/06/22	64.00 59.01 123.01 68.00 191.01	 1.01 W 1.01 W	2.99 7.97 10.96 0.00 10.96	1 of 2 - Option sale to close-call ²⁵ 2 of 2 - Option sale to close-call ²⁵ Total of 2 transactions Option sale to close-call ²⁵
COST 12/30/2022 CALL	. \$560.00 / CUSIP: / Symbol:						
	2 transactions for 12/0	9/22. Total proceeds a	and cost report	ted to the IRS.			
12/09/22	1.000 1.000 2.000	25.98 25.98 51.96	12/09/22 12/09/22 Various	25.00 23.00 48.00	 	0.98 2.98 3.96	1 of 2 - Option sale to close-call ²⁵ 2 of 2 - Option sale to close-call ²⁵ Total of 2 transactions
	. \$27.50 / CUSIP: / Symbol:	405.00	04/40/00	07.00		40.00	Ontine and to the second 25
01/10/22	1.000	105.98	01/10/22	87.00		18.98	Option sale to close-call ²⁵

^{*} This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Proceeds from Broker and Barter Exchange Transactions

Account 133192989

1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of prope	erty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CRWD 12/02/2022 CALL	\$123.00 / CUSIP: / Symbol:						
11/30/22	1.000	43.98	11/30/22	18.00		25.98	Option sale to close-call ²⁵
FUBO 01/19/2024 CALL	\$18.00 / CUSIP: / Symbol:						
01/14/22	1.000	494.98	01/13/22	550.00		-55.02	Option sale to close-call ²⁵
FUBO 01/20/2023 CALL	\$22.50 / CUSIP: / Symbol:						
01/05/22	1.000	274.98	01/05/22	335.00		-60.02	Option sale to close-call ²⁵
	\$6.50 / CUSIP: / Symbol:						
	2 transactions for 04/04	1/22. Total proceeds a	and cost repor	ted to the IRS.			
	1.000	82.98	04/01/22	41.00	•••	41.98	1 of 2 - Option sale to close-call 25
	4.000	331.98	04/01/22	164.00	···	167.98	2 of 2 - Option sale to close-call 25
04/04/22	5.000	414.96	Various	205.00		209.96	Total of 2 transactions
FUBO 04/14/2022 CALL	\$7.00 / CUSIP: / Symbol:						
	8 transactions for 04/0	1/22. Total proceeds a	and cost repor	ted to the IRS.			
	1.000	38.98	04/01/22	36.00	•••	2.98	1 of 8 - Option sale to close-call 25
	1.000	38.99	04/01/22	36.00		2.99	2 of 8 - Option sale to close-call 25
	1.000	38.99	04/01/22	36.00		2.99	3 of 8 - Option sale to close-call 2
	1.000	39.00	04/01/22	36.00		3.00	4 of 8 - Option sale to close-call 2
	2.000	77.99	04/01/22	72.00		5.99	5 of 8 - Option sale to close-call 2
	2.000	77.99	04/01/22	72.00	•••	5.99	6 of 8 - Option sale to close-call 2
	3.000	116.98	04/01/22	108.00		8.98	7 of 8 - Option sale to close-call 2
	4.000	155.98	04/01/22	144.00		11.98	8 of 8 - Option sale to close-call 2
04/01/22	15.000	584.90	Various	540.00	•••	44.90	Total of 8 transactions
	\$5.50 / CUSIP: / Symbol:						
04/07/22	2.000	149.98	04/06/22	200.00		-50.02	Option sale to close-call ²⁵
HOOD 05/06/2022 PUT	\$11.00 / CUSIP: / Symbol:						
	7 transactions for 05/03	3/22. Total proceeds a	and cost repor	ted to the IRS.			
	2.000	179.99	05/02/22	198.00		-18.01	1 of 7 - Option sale to close-put 25
	1.000	90.00	05/02/22	78.00		12.00	2 of 7 - Option sale to close-put 25
	1.000	90.00	05/02/22	78.00		12.00	3 of 7 - Option sale to close-put 25
	1.000	90.00	05/02/22	77.00		13.00	4 of 7 - Option sale to close-put 25

^{*} This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Proceeds from Broker and Barter Exchange Transactions

Account 133192989

1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of property	/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HOOD 05/06/2022 PUT \$11	.00 / CUSIP: / Symbol: (co	nt'd)					
05/03/22	1.000 1.000 3.000 10.000	89.99 90.00 269.99 899.97	05/02/22 05/02/22 05/02/22 Various	76.00 76.00 255.00 838.00	 	13.99 14.00 14.99 61.97	5 of 7 - Option sale to close-put ²⁵ 6 of 7 - Option sale to close-put ²⁵ 7 of 7 - Option sale to close-put ²⁵ Total of 7 transactions
NIO 03/25/2022 PUT \$18.50	/ CUSIP: / Symbol:						
	6 transactions for 03/2	2/22. Total proceeds a	and cost repo	rted to the IRS.			
	1.000 1.000 1.000 5.000 12.000	16.00 16.00 16.00 79.99 191.97	03/22/22 03/22/22 03/22/22 03/22/22 03/22/22	15.00 15.00 15.00 75.00 180.00	 	1.00 1.00 1.00 4.99 11.97	1 of 6 - Option sale to close-put ²⁵ 2 of 6 - Option sale to close-put ²⁵ 3 of 6 - Option sale to close-put ²⁵ 4 of 6 - Option sale to close-put ²⁵ 5 of 6 - Option sale to close-put ²⁵
03/22/22	<i>30.000</i> 50.000	<i>479.93</i> 799.89	03/22/22 Various	<i>420.00</i> 720.00		<i>59.93</i> 79.89	6 of 6 - Option sale to close-put ²⁵ Total of 6 transactions
PLTR 02/18/2022 CALL \$15		799.09	v ai ious	720.00		79.09	Total of o transactions
02/18/22	2.000	0.00	02/15/22	88.00		99.00	Ontion expiration long cell
SOFI 03/04/2022 PUT \$9.00		0.00	02/13/22	86.00	•••	-88.00	Option expiration- long call
	•	0.00	00/04/00	20.00		40.00	Ontion colo to place put 25
03/02/22	1.000	0.98	03/01/22	20.00	•••	-19.02	Option sale to close-put ²⁵
SPY 04/27/2022 PUT \$412.0 04/26/22 STZ 01/14/2022 CALL \$265.	1.000	110.98	04/26/22	89.00		21.98	Option sale to close-put ²⁵
01/04/22	1.000	134.98	01/03/22	95.00		39.98	Option sale to close-call ²⁵
TSLA 12/16/2022 CALL \$21		134.90	01/03/22	93.00		39.90	Option sale to close-call
13LA 12/10/2022 CALL \$21	5 transactions for 12/1	1/22 Total proceeds	and cost rano	rted to the IPS			
12/14/22	1.000 1.000 1.000 1.000 2.000 6.000	2.98 2.99 2.99 2.99 2.99 5.99 17.94	12/13/22 12/13/22 12/13/22 12/13/22 12/13/22 12/13/22 Various	11.01 11.01 11.01 11.01 11.01 14.00 58.04	 	-8.03 -8.02 -8.02 -8.02 -8.01 -40.10	1 of 5 - Option sale to close-call ²⁵ 2 of 5 - Option sale to close-call ²⁵ 3 of 5 - Option sale to close-call ²⁵ 4 of 5 - Option sale to close-call ²⁵ 5 of 5 - Option sale to close-call ²⁵ Total of 5 transactions

^{*} This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Proceeds from Broker and Barter Exchange Transactions

Account 133192989

2022 1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of pro	perty/CosiF/Symbol						
1c- Date		1d- Proceeds &	4h Data	4- 0	1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or disposed	Quantity	6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Additional information
•	L \$210.00 / CUSIP: / Symbol: (., .,					
102/1/2/10/2022 0/12/	2 transactions for 12/1	•	and cost report	ed to the IRS			
	2.000	5.98	12/13/22	14.00	8.02 W	0.00	1 of 2 - Option sale to close-call 25
	2.000	5.98	12/13/22	14.00	8.02 W	0.00	2 of 2 - Option sale to close-call ²⁵
12/14/22	4.000	11.96	Various	28.00	16.04 W	0.00	Total of 2 transactions
12/14/22	Security total:	29.90	various	86.04	16.04 W	-40.10	Total of 2 transactions
TSLA 12/16/2022 CALI	L \$215.00 / CUSIP: / Symbol:	20.00		00.01	10.01	10110	
10LA 12/10/2022 OALI		13/22. Total proceeds a	and cost report	ed to the IRS			
	2.000	11.98	12/12/22	22.01		-10.03	1 of 6 - Option sale to close-call 25
	3.000	17.98	12/12/22	27.00	•••	-9.02	2 of 6 - Option sale to close-call ²⁵
	1.000	5.99	12/12/22	11.01		-5.02	3 of 6 - Option sale to close-call ²⁵
	1.000	5.98	12/12/22	11.00		-5.02	4 of 6 - Option sale to close-call ²⁵
	1.000	6.00	12/12/22	11.00	•••	-5.00	5 of 6 - Option sale to close-call 25
	1.000	5.99	12/12/22	9.00		-3.01	6 of 6 - Option sale to close-call 25
12/13/22	9.000	53.92	Various	91.02	•••	-37.10	Total of 6 transactions
12/13/22	6.000	35.98	12/12/22	54.00	15.02 W	-3.00	Option sale to close-call ²⁵
	Security total:	89.90		145.02	15.02 W	-40.10	·
AMC ENTERTAINMEN	IT HOLDINGS, IN C. / CUSIP: 0	0165C104 / Symbol:					
	3 transactions for 01/1	14/22. Total proceeds a	and cost report	ed to the IRS.			
	500.000	9,865.84	01/06/22	11,392.50		-1,526.66	1 of 3 - Sale ²⁵
	100.000	1,973.16	01/06/22	2,272.81		-299.65	2 of 3 - Sale ²⁵
	100.000	1,973.17	01/06/22	2,266.00		-292.83	3 of 3 - Sale ²⁵
01/14/22	700.000	13,812.17	Various	15,931.31		-2,119.14	Total of 3 transactions
AT&T INC. / CUSIP: 00	0206R102 / Symbol:						
	4 transactions for 04/1	13/22. Total proceeds a	and cost report	ed to the IRS.			
	100.000	1,937.98	04/11/22	1,924.90	···	13.08	1 of 4 - Sale ²⁵
	900.000	17,441.79	04/11/22	17,325.00		116.79	2 of 4 - Sale ²⁵
	300.000	5,813.93	04/12/22	5,892.75		-78.82	3 of 4 - Sale ²⁵
	200.000	3,875.95	04/12/22	3,914.76		-38.81	4 of 4 - Sale ²⁵
04/13/22	1,500.000	29,069.65	Various	29,057.41		12.24	Total of 4 transactions

^{*} This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Proceeds from Broker and Barter Exchange Transactions

Account 133192989

2022 1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of prope	erty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADVANCED MICRO DE	/ICES, INC. C OMMON STO	CK / CUSIP: 00790310	7 / Symbol:				
	2 transactions for 04/	11/22. Total proceeds a	and cost repor	ted to the IRS.			
04/11/22	<i>145.000</i> <i>5.000</i> 150.000	14,208.45 489.95 14,698.40	04/04/22 04/04/22 Various	15,898.53 551.18 16,449.71	 	-1,690.08 -61.23 -1,751.31	1 of 2 - Sale ²⁵ 2 of 2 - Sale ²⁵ Total of 2 transactions
AFFIRM HOLDINGS, INC	C. CLASS A COMMON STOC	CK / CUSIP: 00827B106	6 / Symbol:				
	2 transactions for 02/	10/22. Total proceeds a	and cost repor	ted to the IRS.			
02/10/22	5.000 25.000 30.000	410.36 2,051.80 2,462.16	02/10/22 02/10/22 Various	399.70 2,033.75 2,433.45	 	10.66 18.05 28.71	1 of 2 - Sale ²⁵ 2 of 2 - Sale ²⁵ Total of 2 transactions
	A COMMON S TOCK / CUSI	•					
02/01/22	2.994 3 transactions for 02/	8,942.18 '09/22. Total proceeds a	02/01/22 and cost report	8,757.70 ted to the IRS.		184.48	Sale ²⁵
02/09/22 02/25/22	0.757 1.000 5.000 6.757 6.773	2,147.65 2,837.28 14,186.22 19,171.15 18,112.53	02/09/22 02/09/22 02/09/22 Various 02/25/22	2,141.00 2,820.67 14,103.33 19,065.00 18,121.40	 	6.65 16.61 82.89 106.15 -8.87	1 of 3 - Sale ²⁵ 2 of 3 - Sale ²⁵ 3 of 3 - Sale ²⁵ Total of 3 transactions Sale ²⁵
		02/22. Total proceeds a	•			22.57	4 60 0 4 25
05/02/22	2.936 1.000 3.936 Security total:	6,739.53 2,295.98 9,035.51 55,261.37	04/29/22 04/29/22 Various	6,829.10 2,326.00 9,155.10 55,099.20	 	-89.57 -30.02 -119.59 162.17	1 of 2 - Sale ²⁵ 2 of 2 - Sale ²⁵ Total of 2 transactions
ATERIAN, INC. COMMO	N STOCK / CUSIP: 02156U1	01 / Symbol:					
		12/22. Total proceeds a	and cost repor	ted to the IRS.			
	100.000 100.000 100.000 100.000 200.000 200.000	432.10 432.10 432.11 432.10 864.21 864.21	04/11/22 04/11/22 04/11/22 04/11/22 04/11/22 04/11/22	429.00 429.00 429.00 428.50 858.00 857.00	 	3.10 3.10 3.11 3.60 6.21 7.21	1 of 7 - Sale ²⁵ 2 of 7 - Sale ²⁵ 3 of 7 - Sale ²⁵ 4 of 7 - Sale ²⁵ 5 of 7 - Sale ²⁵ 6 of 7 - Sale ²⁵

^{*} This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Proceeds from Broker and Barter Exchange Transactions

Account 133192989

2022 1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12) "Gain or loss (-)" is NOT reported to the IRS.

1a- Description of prope	erty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
•	N STOCK / CUSIP: 02156U1	. , . ,	aoquiioa	Other Buolo	aloullo Wou (11)	uloo ilot roportou (2)	/taattonar information
	200.000	864.21	04/11/22	857.00		7.21	7 of 7 - Sale ²⁵
04/12/22	1,000.000	4,321.04	Various	4,287.50		33.54	Total of 7 transactions
04/21/22	500.000	2,337.42	04/19/22	2,920.00		-582.58	Sale ²⁵
04/21/22	2.000.000	9,349.69	04/13/22	11,758.80	1,204.56 W	-1,204.55	Sale ²⁵
04/21/22		03/22. Total proceeds a			1,204.30 **	-1,204.55	Jaie
	100.000	539.48	04/29/22	643.46		-103.98	1 of 3 - Sale ²⁵
	100.000	539.48	04/29/22	628.46	***	-88.98	2 of 3 - Sale ²⁵
	800.000	4,315.88	05/02/22	5,019.64	•••	-703.76	3 of 3 - Sale ²⁵
05/03/22	1,000.000	5,394.84	Various	6,291.56	***	-896.72	Total of 3 transactions
	Security total:	21,402.99		25,257.86	1,204.56 W	-2,650.31	
AMAZON.COM, INC. CO	MMON STOCK / CUSIP: 023	135106 / Symbol:					
02/02/22	2.912	8,700.68	02/01/22	8,942.18		-241.50	Sale ²⁵
	3 transactions for 11/	10/22. Total proceeds a	and cost repor	ted to the IRS.			
	10.000	950.13	11/02/22	946.65	···	3.48	1 of 3 - Sale ²⁵
	5.000	475.07	11/09/22	430.95		44.12	2 of 3 - Sale ²⁵
	15.000	1, 4 25.20	11/09/22	1,318.80		106.40	3 of 3 - Sale ²⁵
11/10/22	30.000	2,850.40	Various	2,696.40		154.00	Total of 3 transactions
	Security total:	11,551.08		11,638.58		-87.50	
LACKLINE, INC. COM	MON STOCK / CUSIP: 09239	B109 / Symbol:					
04/11/22	50.000	3,502.48	04/11/22	3,472.84		29.64	Sale ²⁵
CITIGROUP INC. / CUSI	P: 172967424 / Symbol:						
	2 transactions for 04/	11/22. Total proceeds a	and cost repor	ted to the IRS.			
	100.000	5,127.01	03/30/22	5,571.62	•••	-444.61	1 of 2 - Sale ²⁵
	100.000	5,127.00	04/05/22	5,236.50	···	-109.50	2 of 2 - Sale ²⁵
04/11/22	200.000	10,254.01	Various	10,808.12		-554.11	Total of 2 transactions
CITI TRENDS, INC. COM	MMON STOCK / CUSIP: 1730	6X102 / Symbol:					
	2 transactions for 03/2	29/22. Total proceeds a	and cost repor	ted to the IRS.			
	300.000	10,580.67	03/22/22	10,650.00		-69.33	1 of 2 - Sale ²⁵
	50.000	1,763.44	03/22/22	1,764.75		-1.31	2 of 2 - Sale ²⁵
03/29/22	350.000	12,344.11	Various	12,414.75		-70.64	Total of 2 transactions

^{*} This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Proceeds from Broker and Barter Exchange Transactions

Account 133192989

1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of proper	rty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CLOUDFLARE, INC. CLA	SS A COMMO N STOCK, P	AR VALUE \$0.001 PEF	SHARE / CU	SIP: 18915M107 /	Symbol:		
02/10/22	100.000	11,874.07	02/09/22	11,776.00		98.07	Sale ²⁵
CONTEXTLOGIC INC. CL	ASS A COMM ON STOCK	/ CUSIP: 21077C107 / 3	Symbol:				
	4 transactions for 01/	/19/22. Total proceeds a	and cost report	ed to the IRS.			
	100.000	248.50	01/14/22	255.00	6.50 W	0.00	1 of 4 - Sale ²⁵
	100.000	248.50	01/14/22	255.00	6.50 W	0.00	2 of 4 - Sale ²⁵
	100.000	248.49	01/14/22	255.00	6.51 W	0.00	3 of 4 - Sale ²⁵
04/40/00	<i>200.000</i> 500.000	496.99	01/14/22	510.00	13.01 W	0.00	4 of 4 - Sale ²⁵
01/19/22		1,242.48 /21/22. Total proceeds a	Various and cost report	1,275.00 red to the IRS.	32.52 W	0.00	Total of 4 transactions
	100.000	257.18	01/19/22	255.51		1.67	1 of 9 - Sale ²⁵
	100.000	257.18	01/19/22	255.50		1.68	2 of 9 - Sale ²⁵
	100.000	257.18	01/19/22	255.50		1.68	3 of 9 - Sale ²⁵
	200.000	514.35	01/19/22	511.01	•••	3.34	4 of 9 - Sale ²⁵
	100.000	257.18	01/19/22	250.00	•••	7.18	5 of 9 - Sale ²⁵
	300.000	771.53	01/19/22	747.00		<i>24.53</i>	6 of 9 - Sale ²⁵
	500.000	1,285.88	01/19/22	1,250.00		35.88	7 of 9 - Sale ²⁵
	603.000	1,550.77	01/19/22	1,507.50		43.27	8 of 9 - Sale ²⁵
	1,000.000	2,571.77	01/19/22	2,500.00		71.77	9 of 9 - Sale ²⁵
01/21/22	3,003.000	7,723.02	Various	7,532.02		191.00	Total of 9 transactions
	Security total:	8,965.50		8,807.02	32.52 W	191.00	
COSTCO WHOLESALE (CORPORATION C OMMON	STOCK / CUSIP: 2216	0K105 / Symbo	ol:			
	4 transactions for 12/	/20/22. Total proceeds a	and cost report	ed to the IRS.			
	20.000	9,139.99	12/01/22	10,075.60		-935.61	1 of 4 - Sale ²⁵
	5.000	2,284.99	12/01/22	2,517.83		-232.84	2 of 4 - Sale ²⁵
	1.000	457.00	12/01/22	503.86		-46.86	3 of 4 - Sale ²⁵
	1.000	457.00	12/07/22	481.82	•••	-24.82	4 of 4 - Sale ²⁵
12/20/22	27.000	12,338.98	Various	13,579.11		-1,240.13	Total of 4 transactions
THE WALT DISNEY COM	IPANY / CUSIP: 254687106	/ Symbol:					
02/09/22	60.000	8,788.61	02/09/22	8,700.00		88.61	Sale ²⁵
12/09/22	3.000	281.71	12/09/22	282.71		-1.00	Sale ²⁵
	Security total:	9,070.32		8,982.71	···	87.61	

^{*} This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Proceeds from Broker and Barter Exchange Transactions

Account 133192989

1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1c- Date 1d- Proceeds & 1f- Accrued mkt disc (D) & Gain or loss(-) &	
sold or 6- Reported 1b- Date 1e- Cost or 1g- Wash sale loss 7- Loss not allowed (X)	
disposed Quantity (G)ross or (N)et acquired other basis disallowed (W) also not reported (Z) Ad	additional information
META PLATFORMS, INC. CLASS A C OMMON STOCK / CUSIP: 30303M102 / Symbol:	
7 transactions for 11/09/22. Total proceeds and cost reported to the IRS.	
40.000 4,094.90 10/28/22 3,995.80 99.10 1 o	of 7 - Sale ²⁵
5.000 511.86 10/31/22 482.65 29.21 2.0	of 7 - Sale 25
5.000 511.86 10/31/22 475.18 36.68 3.0	of 7 - Sale ²⁵
5.000 511.86 10/31/22 465.54 46.32 4 0	of 7 - Sale 25
5.000 511.87 10/31/22 465.25 46.62 5 0	of 7 - Sale ²⁵
10.000 1,023.72 10/31/22 962.49 61.23 6 0	of 7 - Sale ²⁵
2.000 204.75 11/02/22 186.72 18.03 7.0	of 7 - Sale ²⁵
11/09/22 72.000 7,370.82 Various 7,033.63 337.19 Tot	otal of 7 transactions
FORD MOTOR COMPANY / CUSIP: 345370860 / Symbol:	
04/12/22 100.000 1,562.48 04/11/22 1,527.00 35.48 Sal	ale ²⁵
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol:	
01/19/22 100.000 436.45 01/14/22 488.7352.28 Sal	ale ²⁵
FUBOTV INC. / CUSIP: 35953D104 / Symbol:	
01/05/22 100.000 1,555.48 01/04/22 1,526.50 28.98 Sal	ale ²⁵
2 transactions for 01/19/22. Total proceeds and cost reported to the IRS.	
100.000 1,188.10 01/10/22 1,300.00 1111.90 W 0.00 1 o	of 2 - Sale ²⁵
100.000 1,188.10 01/10/22 1,300.00 1111.90 W 0.00 2 o	of 2 - Sale ²⁵
01/19/22 200.000 2,376.20 Various 2,600.00 223.80 W 0.00 Tot	otal of 2 transactions
3 transactions for 02/15/22. Total proceeds and cost reported to the IRS.	
100.000 1,082.09 02/10/22 1,276.90 194.81 W 0.00 1 o	of 3 - Sale 25
100.000 1,082.09 02/10/22 1,276.90 194.81 W 0.00 2 o	of 3 - Sale ²⁵
300.000 3,246.28 02/10/22 3,495.00 248.72 W 0.00 3 o	of 3 - Sale ²⁵
02/15/22 500.000 5.410.46 Various 6.048.80 638.34 W 0.00 Tot	otal of 3 transactions
02/17/22 500.000 5,117.41 02/10/22 5,823.65706.24 Sal	ale ²⁵
3 transactions for 02/17/22. Total proceeds and cost reported to the IRS.	
100.000 1,023.48 02/10/22 1,359.81 336.33 W 0.00 1 o	of 3 - Sale ²⁵
100.000 1,023.48 02/10/22 1,359.81 336.33 W 0.00 2 o	of 3 - Sale ²⁵
300.000 3,070.45 02/10/22 3,743.72 673.27 W 0.00 3 o	of 3 - Sale ²⁵
02/17/22 500.000 5,117.41 Various 6,463.34 1,345.93 W 0.00 Tot	otal of 3 transactions

^{*} This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Proceeds from Broker and Barter Exchange Transactions

Account 133192989

1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of pro	perty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UBOTV INC. / CUSIP	2: 35953D104 / Symbol: (cont'd)					
	3 transactions for 03/	/14/22. Total proceeds a	and cost repo	rted to the IRS.			
	100.000	628.10	03/10/22	1,036.33	408.23 W	0.00	1 of 3 - Sale ²⁵
	100.000	628.11	03/10/22	1,036.33	408.22 W	0.00	2 of 3 - Sale ²⁵
	300.000	1,884.31	03/10/22	2,773.27	888.96 W	0.00	3 of 3 - Sale ²⁵
03/14/22	500.000	3,140.52	Various	4,845.93	1,705.41 W	0.00	Total of 3 transactions
	4 transactions for 03/	/29/22. Total proceeds a	and cost repo	rted to the IRS.	•		
	100.000	753.04	03/29/22	712.50	•••	40.54	1 of 4 - Sale ²⁵
	200.000	1,506.09	03/29/22	1,425.00		81.09	2 of 4 - Sale ²⁵
	600.000	4,518.27	03/29/22	4,275.00	•••	243.27	3 of 4 - Sale ²⁵
	600.000	4,518.27	03/29/22	4,275.00		243.27	4 of 4 - Sale ²⁵
03/29/22	1,500.000	11,295.67	Various	10,687.50	•••	608.17	Total of 4 transactions
	4 transactions for 03/	/29/22. Total proceeds a	and cost repo	rted to the IRS.			
	100.000	753.04	03/29/22	1,120.73	367.69 W	0.00	1 of 4 - Sale ²⁵
	100.000	753.04	03/29/22	1,120.72	367.68 W	0.00	2 of 4 - Sale ²⁵
	100.000	753.04	03/29/22	1,008.82	255.78 W	0.00	3 of 4 - Sale ²⁵
	200.000	1,506.09	03/29/22	2,017.64	511.55 W	0.00	4 of 4 - Sale ²⁵
03/29/22	500.000	3,765.21	Various	5,267.91	1,502.70 W	0.00	Total of 4 transactions
	5 transactions for 04/	/04/22. Total proceeds a	and cost repo	rted to the IRS.			
	100.000	746.98	03/29/22	1,134.64	387.66 W	0.00	1 of 5 - Sale ²⁵
	100.000	746.98	03/29/22	1,134.63	387.65 W	0.00	2 of 5 - Sale ²⁵
	100.000	746.98	03/29/22	1,022.73	275.75 W	0.00	3 of 5 - Sale ²⁵
	200.000	1,493.97	03/29/22	2,045.45	551.48 W	0.00	4 of 5 - Sale ²⁵
	1,500.000	11,204.77	03/29/22	11,504.25	299.48 W	0.00	5 of 5 - Sale ²⁵
04/04/22	2,000.000	14,939.68	Various	16,841.70	1,902.02 W	0.00	Total of 5 transactions
04/11/22	100.000	575.48	04/06/22	1,031.61	456.13 W	0.00	Sale ²⁵
	10 transactions for 04	4/22/22. Total proceeds	and cost rep	orted to the IRS.			
	200.000	894.13	04/21/22	1,515.48		-621.35	1 of 10 - Sale ²⁵
	100.000	447.06	04/21/22	933.97		<i>-4</i> 86.91	2 of 10 - Sale ²⁵
	100.000	447.06	04/21/22	869.65		<i>-4</i> 22.59	3 of 10 - Sale ²⁵
	500.000	2,235.33	04/21/22	2,509.23		-273.90	4 of 10 - Sale ²⁵
	400.000	1,788.26	04/21/22	1,991.22		-202.96	5 of 10 - Sale ²⁵
	300.000	1,341.20	04/21/22	1,505.90		-164.70	6 of 10 - Sale ²⁵
	51.000	228.00	04/21/22	386.45		<i>-158.4</i> 5	7 of 10 - Sale ²⁵

^{*} This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Proceeds from Broker and Barter Exchange Transactions

Account 133192989

2022 1099-B* OMB No. 1545-0715

(continued)

02/13/2023

4.98

8.21

1 of 3 - Sale ²⁵ 2 of 3 - Sale ²⁵

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*) "Gain or loss (-)" is NOT reported to the IRS.

100.000

165.000

1.503.48

2,480.74

03/01/22

03/01/22

1a- Description of property/CUSIP/Symbol 1c- Date 1d- Proceeds & 1f- Accrued mkt disc (D) & Gain or loss(-) & 1q- Wash sale loss 7- Loss not allowed (X) sold or 6- Reported 1b- Date 1e- Cost or disposed Quantity (G)ross or (N)et acquired other basis disallowed (W) also not reported (Z) Additional information FUBOTV INC. / CUSIP: 35953D104 / Symbol: (cont'd) 8 of 10 - Sale 25 49.000 04/21/22 219.06 371.30 -152.24252.000 1,126.60 04/21/22 1,264.95 -138.35 9 of 10 - Sale 25 10 of 10 - Sale 25 48.000 214.59 04/21/22 240.94 -26.35Total of 10 transactions 04/22/22 2,000.000 8,941.29 Various 11,589.09 -2,647.803 transactions for 08/18/22. Total proceeds and cost reported to the IRS. 71.000 340.79 08/18/22 345.73 4.94 W 0.00 1 of 3 - Sale 25 2 of 3 - Sale 25 3.000 14.40 08/18/22 14.61 0.21 W 0.00 201.000 964.74 08/18/22 978.77 14.03 W 0.00 3 of 3 - Sale 25 08/18/22 275.000 1.339.11 19.18 W 0.00 Total of 3 transactions 1.319.93 Various 7 transactions for 09/01/22. Total proceeds and cost reported to the IRS. 1 of 7 - Sale 25 176.000 610.95 09/01/22 642.36 -31.41 46.000 159.68 167.88 -8.20 2 of 7 - Sale 25 09/01/22 3 of 7 - Sale 25 25.000 86.78 09/01/22 91.25 -4.47 09/01/22 4 of 7 - Sale 25 23.000 79.84 83.71 -3.8725.000 86.78 09/01/22 89.50 -2.725 of 7 - Sale 25 6 of 7 - Sale 25 3.000 10.41 09/01/22 10.95 -0.54 7 of 7 - Sale 25 2.000 6.94 09/01/22 7.30 -0.36Total of 7 transactions 09/01/22 300.000 1,041.38 Various 1,092.95 -51.57 7 transactions for 11/10/22. Total proceeds and cost reported to the IRS. 1 of 7 - Sale 25 198.24 11/09/22 197.03 1.21 61.000 100.000 324.99 11/09/22 323.00 1.99 2 of 7 - Sale 25 3 of 7 - Sale 25 100.000 324.99 11/09/22 323.00 1.99 100.000 324.99 11/09/22 323.00 1.99 4 of 7 - Sale 25 5 of 7 - Sale 25 100.000 324.99 11/09/22 323.00 1.99 649.96 646.00 3.96 6 of 7 - Sale 25 200.000 11/09/22 339,000 1.102.26 11/09/22 1.094.97 7.29 7 of 7 - Sale 25 11/10/22 Total of 7 transactions 1.000.000 3.250.42 Various 3,230.00 20.42 Security total: 67,846.54 78,388.09 7,793.51 W -2,748.04 HANESBRANDS, INC. / CUSIP: 410345102 / Symbol: 3 transactions for 03/01/22. Total proceeds and cost reported to the IRS.

1.498.50

2,472.53

^{*} This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Proceeds from Broker and Barter Exchange Transactions

Account 133192989

2022 1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of prop	erty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HANESBRANDS, INC. /	/ CUSIP: 410345102 / Symbol:	(cont'd)					
	1,435.000	21,574.94	03/01/22	21,503.47		71.47	3 of 3 - Sale ²⁵
03/01/22	1,700.000	25,559.16	Various	25,474.50	•••	84.66	Total of 3 transactions
03/17/22	1,000.000	15,251.90	03/17/22	15,090.00		161.90	Sale ²⁵
		/23/22. Total proceeds a					
	50.000	335.99	11/18/22	341.50	•••	-5.51	1 of 3 - Sale ²⁵
	200.000	1,343.94	11/21/22	1,348.85	•••	-4.91	2 of 3 - Sale ²⁵
	50.000	335.98	11/21/22	331.70		<i>4.</i> 28	3 of 3 - Sale ²⁵
11/23/22	300.000	2,015.91	Various	2,022.05		-6.14	Total of 3 transactions
11/23/22	200.000	1,343.93	11/18/22	1,366.00	22.07 W	0.00	Sale ²⁵
	Security total:	44,170.90		43,952.55	22.07 W	240.42	
HUMANIGEN, INC. COI	MMON STOCK / CUSIP: 4448	63203 / Symbol:					
	15 transactions for 0	8/05/22. Total proceeds	and cost rep	orted to the IRS.			
	5.000	1.94	08/03/22	1.88	•••	0.06	1 of 15 - Sale ²⁵
	19.000	7.35	08/03/22	7.15		0.20	2 of 15 - Sale ²⁵
	95.000	36.81	08/03/22	35.73	***	1.08	3 of 15 - Sale ²⁵
	100.000	38.71	08/03/22	37.61	***	1.10	4 of 15 - Sale ²⁵
	100.000	38.75	08/03/22	37.61		1.14	5 of 15 - Sale ²⁵
	200.000	77.39	08/03/22	75.22	•••	2.17	6 of 15 - Sale ²⁵
	300.000	116.24	08/03/22	112.83	•••	3.41	7 of 15 - Sale ²⁵
	471.000	182.22	08/03/22	177.14		5.08	8 of 15 - Sale ²⁵
	600.000	232.18	08/03/22	225.66		6.52	9 of 15 - Sale ²⁵
	860.000	333.22	08/03/22	323.44		9.78	10 of 15 - Sale ²⁵
	9.000	3.48	08/05/22	3.34		0.14	11 of 15 - Sale ²⁵
	100.000	38.75	08/05/22	37.11		1.64	12 of 15 - Sale ²⁵
	300.000	116.24	08/05/22	111.33		4.91	13 of 15 - Sale ²⁵
	400.000	154.98	08/05/22	148.44		6.54	14 of 15 - Sale ²⁵
	586.000	227.05	08/05/22	217.46		9.59	15 of 15 - Sale ²⁵
08/05/22	4,145.000	1,605.31	Various	1,551.95		53.36	Total of 15 transactions
RONNET, INC. / CUSIF	P: 46323Q105 / Symbol:						
	11 transactions for 0	3/22/22. Total proceeds	and cost rep	orted to the IRS.			
	100.000	476.27	03/17/22	365.00		111.27	1 of 11 - Sale ²⁵

^{*} This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Proceeds from Broker and Barter Exchange Transactions

Account 133192989

2022 1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*) "Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol 1c- Date 1d- Proceeds & 1f- Accrued mkt disc (D) & Gain or loss(-) & 1e- Cost or 1q- Wash sale loss 7- Loss not allowed (X) sold or 6- Reported 1b- Date disposed Quantity (G)ross or (N)et acquired other basis disallowed (W) also not reported (Z) Additional information IRONNET, INC. / CUSIP: 46323Q105 / Symbol: (cont'd) 3 of 11 - Sale 25 100.000 03/17/22 476.27 364.50 111.77 200.000 952.53 03/17/22 729.80 222.73 4 of 11 - Sale 25 952.53 222.73 5 of 11 - Sale 25 200.000 03/17/22 729.80 200.000 952.53 03/17/22 729.00 223.53 6 of 11 - Sale 25 952.53 729.00 223.53 7 of 11 - Sale 25 200.000 03/17/22 8 of 11 - Sale 25 200.000 952.53 03/17/22 729.00 223.53 9 of 11 - Sale 25 200.000 952.53 03/17/22 729.00 223.53 558.83 10 of 11 - Sale 25 500.000 2.381.33 03/17/22 1.822.50 11 of 11 - Sale 25 1,000.000 4,762.65 03/17/22 3,645.00 1,117.65 03/22/22 3,000.000 14,287.96 Various 10,937.10 3,350.86 Total of 11 transactions Sale 25 04/11/22 500.000 1.599.92 04/04/22 1.874.40 -274.4815.887.88 12.811.50 3.076.38 Security total: ISHARES J.P. MORGAN EM HIGH YI ELD BOND ETF / CUSIP: 464286285 / Symbol: Sale 25 11/08/22 2.000 65.95 11/07/22 65.28 0.67 J.P. MORGAN EXCHANGE-TRADED FU ND TRUST JPMORGAN NASDAQ EQUIT Y PREMIUM INCOME ETF / CUSIP: 46654Q203 / Symbol: 11/04/22 40.91 11/03/22 40.61 0.30 Sale 25 3 transactions for 12/01/22. Total proceeds and cost reported to the IRS. 1 of 3 - Sale 25 100.000 4,393.92 11/30/22 4,359.00 34.92 2 of 3 - Sale 25 100.000 4.393.92 11/30/22 4.359.00 34.92 3 of 3 - Sale 25 100.000 4,393.93 11/30/22 4,359.00 34.93 12/01/22 13,181.77 104.77 Total of 3 transactions 300.000 Various 13,077.00 13.222.68 13.117.61 105.07 Security total: MULLEN AUTOMOTIVE, INC. COMMON STOCK / CUSIP: 62526P109 / Symbol: 26 transactions for 03/07/22. Total proceeds and cost reported to the IRS. 1,132.86 03/01/22 1 of 26 - Sale 25 1,100.000 1,133.00 -0.142 of 26 - Sale 25 1.000.000 1.029.87 03/01/22 1.030.00 -0.133 of 26 - Sale 25 1,000.000 1,029.87 03/01/22 1,030.00 -0.13 4 of 26 - Sale 25 1.000.000 1.029.88 03/01/22 1.030.00 -0.12 5 of 26 - Sale 25 03/01/22 1,030.00 -0.121,000.000 1,029.88 6 of 26 - Sale 25 1,000.000 1,029.88 03/01/22 1,030.00 -0.12700.000 720.91 721.00 -0.09 7 of 26 - Sale 25 03/01/22

^{*} This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Proceeds from Broker and Barter Exchange Transactions

Account 133192989

1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of prop	perty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MULLEN AUTOMOTIV	E, INC. COMMON STOCK / CI	USIP: 62526P109 / Sym	nbol: (cont'd)		• • • • • • • • • • • • • • • • • • • •	. , ,	
	500.000	514.94	03/01/22	515.00		-0.06	8 of 26 - Sale ²⁵
	500.000	514.94	03/01/22	515.00		-0.06	9 of 26 - Sale ²⁵
	500.000	514.94	03/01/22	515.00	•••	-0.06	10 of 26 - Sale ²⁵
	300.000	308.96	03/01/22	309.00	•••	-0.04	11 of 26 - Sale ²⁵
	200.000	205.97	03/01/22	206.00	•••	-0.03	12 of 26 - Sale ²⁵
	100.000	102.99	03/01/22	103.00	•••	-0.01	13 of 26 - Sale ²⁵
	100.000	102.99	03/01/22	103.00		-0.01	14 of 26 - Sale ²⁵
	100.000	102.99	03/01/22	103.00	***	-0.01	15 of 26 - Sale ²⁵
	100.000	102.99	03/01/22	103.00	***	-0.01	16 of 26 - Sale ²⁵
	0.148	0.15	03/01/22	0.15	***	0.00	17 of 26 - Sale ²⁵
	601.039	619.00	03/01/22	613.06	***	5.94	18 of 26 - Sale ²⁵
	1.000	1.03	03/02/22	0.97		0.06	19 of 26 - Sale ²⁵
	0. 42 8	0.44	03/04/22	0.33		0.11	20 of 26 - Sale ²⁵
	0.593	0.61	03/04/22	0.46		0.15	21 of 26 - Sale ²⁵
	3.000	3.09	03/04/22	2.33	***	0.76	22 of 26 - Sale ²⁵
	97.385	100.29	03/04/22	75.70	***	24.59	23 of 26 - Sale ²⁵
	100.000	102.99	03/04/22	77.75	***	25.24	24 of 26 - Sale ²⁵
	100.000	102.99	03/04/22	77.74	***	25.25	25 of 26 - Sale ²⁵
	100.000	102.99	03/04/22	77.74		25.25	26 of 26 - Sale ²⁵
3/07/22	10,203.593	10,508.44	Various	10,402.23		106.21	Total of 26 transactions
	2 transactions for 03/	/07/22. Total proceeds a	and cost repor				
	700.000	720.91	03/01/22	721.00	0.03 W	-0.06	1 of 2 - Sale ²⁵
	100.000	102.99	03/01/22	103.00	0.01 W	0.00	2 of 2 - Sale ²⁵
03/07/22	800.000	823.90	Various	824.00	0.04 W	-0.06	Total of 2 transactions
12/15/22	350.000	114.79	12/14/22	93.10		21.69	Sale ²⁵
	Security total:	11,447.13		11,319.33	0.04 W	127.84	
IIO INC. AMERICAN D	DEPOSITARY S HARES, EACH	H REPRESENTING ON	E C LASS A C	ORDINARY SHAR	E / CUSIP: 62914V106 / Sym	bol:	
	6 transactions for 03/	/01/22. Total proceeds a	and cost repor	ted to the IRS.			
	100.000	2,327.97	02/25/22	2,074.50		253.47	1 of 6 - Sale ²⁵
	197.000	4,586.11	02/25/22	4,086.77	···	499.34	2 of 6 - Sale ²⁵
	203.000	4,725.79	02/25/22	4,211.23		514.56	3 of 6 - Sale ²⁵
	3.000	69.84	02/28/22	63.53		6.31	4 of 6 - Sale ²⁵
	50.000	1,163.98	02/28/22	1,055.39	•••	108.59	5 of 6 - Sale ²⁵

^{*} This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Proceeds from Broker and Barter Exchange Transactions

Account 133192989

1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
•	POSITARY S HARES, EACI	H REPRESENTING ON	E C LASS A O	RDINARY SHAR	E / CUSIP: 62914V106 / Sym		
	197.000	4,586.11	02/28/22	4,171.67		414.44	6 of 6 - Sale ²⁵
03/01/22	750.000	17,459.80	Various	15,663.09		1,796.71	Total of 6 transactions
		3/14/22. Total proceeds a				.,	
	400.000	5,824.24	03/08/22	7,300.00	•••	-1,475.76	1 of 7 - Sale ²⁵
	200.000	2,912.12	03/08/22	3,650.00		-737.88	2 of 7 - Sale ²⁵
	107.000	1,557.99	03/08/22	1,952.75		-39 <i>4.76</i>	3 of 7 - Sale ²⁵
	100.000	1,456.06	03/08/22	1,825.00		-368.94	4 of 7 - Sale ²⁵
	100.000	1,456.06	03/08/22	1,825.00		-368.94	5 of 7 - Sale ²⁵
	86.000	1,252.21	03/08/22	1,569.50		-317.29	6 of 7 - Sale ²⁵
	7.000	101.92	03/08/22	127.75		-25.83	7 of 7 - Sale ²⁵
03/14/22	1,000.000	14,560.60	Various	18,250.00		-3,689.40	Total of 7 transactions
	5 transactions for 11	/30/22. Total proceeds a	and cost report	ted to the IRS.			
	47.000	<i>580.4</i> 3	11/30/22	573.87		6.56	1 of 5 - Sale ²⁵
	53.000	654.53	11/30/22	647.13		7.40	2 of 5 - Sale 25
	100.000	1,234.96	11/30/22	1,221.00		13.96	3 of 5 - Sale ²⁵
	100.000	1,234.96	11/30/22	1,221.00		13.96	4 of 5 - Sale ²⁵
	200.000	2,469.91	11/30/22	2,442.00		27.91	5 of 5 - Sale ²⁵
11/30/22	500.000	6,174.79	Various	6,105.00	•••	69.79	Total of 5 transactions
	4 transactions for 12	2/22/22. Total proceeds a	and cost report	ted to the IRS.			
	100.000	1,129.96	12/21/22	1,120.00	***	9.96	1 of 4 - Sale ²⁵
	100.000	1,129.96	12/21/22	1,120.00	•••	9.96	2 of 4 - Sale ²⁵
	100.000	1,129.96	12/21/22	1,120.00	•••	9.96	3 of 4 - Sale ²⁵
	200.000	2,259.91	12/21/22	2,240.00		19.91	4 of 4 - Sale ²⁵
12/22/22	500.000	5,649.79	Various	5,600.00		49.79	Total of 4 transactions
	Security total:	43,844.98		45,618.09		-1,773.11	
NVIDIA CORPORATION	COMMON STOC K / CUSIP	P: 67066G104 / Symbol:					
09/01/22	7.000	1,011.47	08/31/22	997.50		13.97	Sale ²⁵
PALANTIR TECHNOLOG	SIES INC. CLA SS A COMM	ON STOCK / CUSIP: 69	608A108 / Syr	mbol:			
01/04/22	500.000	8,975.34	11/17/21	11,365.00		-2,389.66	Sale ²⁵
01/04/22	2.000.000	35.901.38	11/17/21	45.700.00	979.87 W	-8,818.75	Sale ²⁵
- · · - · ·		/19/22. Total proceeds a			3.3.07	3,3 . 3.7 0	
	100.000	1,471.12	01/14/22	2,089.93		0.00	1 of 3 - Sale ²⁵

^{*} This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Proceeds from Broker and Barter Exchange Transactions

Account 133192989

2022 1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of proper	ty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
	IES INC. CLA SS A COMMO	ON STOCK / CUSIP: 69	•	ymbol: (cont'd)	,	, , ,	
	50.000	735.56	01/14/22	1.041.72	306.16 W	0.00	2 of 3 - Sale ²⁵
	50.000	735.56	01/14/22	1,041.95	306.39 W	0.00	3 of 3 - Sale ²⁵
01/19/22	200.000	2,942.24	Various	4,173.60	1,231.36 W	0.00	Total of 3 transactions
	5 transactions for 02/	17/22. Total proceeds a	and cost repo	rted to the IRS.			
	100.000	1,229.14	02/16/22	2,022.81	···	-793.67	1 of 5 - Sale ²⁵
	50.000	614.57	02/16/22	1,008.16		-393.59	2 of 5 - Sale ²⁵
	200.000	2,458.28	02/16/22	2,798.00		-339.72	3 of 5 - Sale ²⁵
	32.000	393.32	02/16/22	645.37		-252.05	4 of 5 - Sale ²⁵
	18.000	221.25	02/16/22	363.02		-141.77	5 of 5 - Sale ²⁵
02/17/22	400.000	4,916.56	Various	6,837.36		-1,920.80	Total of 5 transactions
	Security total:	52,735.52		68,075.96	2,211.23 W	-13,129.21	
PAYPAL HOLDINGS, INC	. COMMON S TOCK / CUSI	P: 70450Y103 / Symbo	l:				
	3 transactions for 03/	08/22. Total proceeds a	and cost repo	rted to the IRS.			
	236.806	22,515.96	03/07/22	22,662.31	•••	-146.35	1 of 3 - Sale ²⁵
	0.025	2.35	03/07/22	2.37	•••	-0.02	2 of 3 - Sale ²⁵
	64.000	6,085.25	03/08/22	6,008.96		76.29	3 of 3 - Sale ²⁵
03/08/22	300.831	28,603.56	Various	28,673.64		-70.08	Total of 3 transactions
PROSHARES VIX SHOR	Γ-TERM FUTUR ES ETF / C	USIP: 74347Y854 / Syl	mbol:				
	3 transactions for 04/	25/22. Total proceeds a	and cost repo	rted to the IRS.			
	300.000	5,476.43	04/25/22	5,654.70	•••	-178.27	1 of 3 - Sale ²⁵
	100.000	1,825.47	04/25/22	1,912.00	•••	-86.53	2 of 3 - Sale ²⁵
	100.000	1,825.48	04/25/22	1,912.00	•••	-86.52	3 of 3 - Sale ²⁵
04/25/22	500.000	9,127.38	Various	9,478.70	•••	-351.32	Total of 3 transactions
	2 transactions for 09/	02/22. Total proceeds a	and cost repo	rted to the IRS.			
	69.000	973.55	09/01/22	1,032.59	•••	-59.04	1 of 2 - Sale ²⁵
	0.612	8.63	09/01/22	9.15		-0.52	2 of 2 - Sale ²⁵
09/02/22	69.612	982.18	Various	1,041.74		-59.56	Total of 2 transactions
	Security total:	10,109.56		10,520.44		-410.88	

^{*} This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Proceeds from Broker and Barter Exchange Transactions

Account 133192989

2022 1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

y/CUSIP/Symbol						
Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
Symbol:						
2 transactions for 03/	/30/22. Total proceeds a	and cost report	ed to the IRS.			
15.000 5.000 20.000	5,102.52 1,700.84 6,803.36	03/30/22 03/30/22 Various	5,197.32 1,722.43 6,919.75	 	-94.80 -21.59 -116.39	1 of 2 - Sale ²⁵ 2 of 2 - Sale ²⁵ Total of 2 transactions
NT INC. CLAS S A COMMO	ON STOCK / CUSIP: 75	724T103 / Syn	nbol:			
2 transactions for 05/	/02/22. Total proceeds a	and cost report	ed to the IRS.			
100.000 200.000 300.000	969.98 1,939.96 2,909.94	05/02/22 05/02/22 Various	988.00 1,724.00 2,712.00	 	-18.02 215.96 197.94	1 of 2 - Sale ²⁵ 2 of 2 - Sale ²⁵ Total of 2 transactions
		,				
2 transactions for 01/	/19/22. Total proceeds a	and cost report	ed to the IRS.			
84.000 16.000 100.000 500.000	1,180.60 224.88 1,405.48 5,005.35	01/14/22 01/14/22 Various 04/25/22	1,302.00 248.00 1,550.00 5,180.00	 	-121.40 -23.12 -144.52 -174.65	1 of 2 - Sale ²⁵ 2 of 2 - Sale ²⁵ Total of 2 transactions Sale ²⁵
•	,		6,730.00	•••	-319.17	
	,					
2.000 4,108.000 4,110.000	4.26 8,752.79 8,757.05	01/21/22 01/21/22 Various	3.77 7,719.34 7,723.11	 	0.49 1,033.45 1,033.94	1 of 2 - Sale ²⁵ 2 of 2 - Sale ²⁵ Total of 2 transactions
0.124 0.229 0.347 58.888 3,943.641 95.000	0.22 0.42 0.63 106.64 7,141.82 172.04	02/17/22 02/17/22 02/17/22 02/17/22 02/17/22 02/18/22	0.21 0.38 0.58 99.42 6,660.81 149.15	 	0.01 0.04 0.05 7.22 481.01 22.89 24.10	1 of 10 - Sale ²⁵ 2 of 10 - Sale ²⁵ 3 of 10 - Sale ²⁵ 4 of 10 - Sale ²⁵ 5 of 10 - Sale ²⁵ 6 of 10 - Sale ²⁵ 7 of 10 - Sale ²⁵
	Quantity Symbol: 2 transactions for 03/ 15.000 5.000 20.000 NT INC. CLAS S A COMMO 200.000 300.000 INC. CLASS A COMMON S 2 transactions for 01/ 84.000 16.000 100.000 500.000 Security total: MMON STOC K / CUSIP: 7 2 transactions for 02/ 2.000 4,108.000 4,110.000 10 transactions for 02/ 0.124 0.229 0.347 58.888 3,943.641	1d- Proceeds & 6- Reported	1d- Proceeds & 6- Reported 1b- Date G- Reported 1c- Date 1c-	Tab - Proceeds & 6- Reported Tab - Date Tab - Cost or other basis	1d- Proceeds & 6- Reported Goross or (N)et 1d- Date 1e- Cost or other basis 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Tit

^{*} This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

32

Robinhood Markets Inc. as agent for Robinhood Securities LLC

Proceeds from Broker and Barter Exchange Transactions

Account 133192989

2022 1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1c- Date		1d- Proceeds &			1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or		6- Reported	1b- Date	1e- Cost or	1g- Wash sale loss	7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	also not reported (Z)	Additional information
ROOT, INC. CLASS A	COMMON STOC K / CUSIP: 77	664L108 / Symbol: (c	ont'd)				
	105.000	190.15	02/18/22	164.85		25.30	9 of 10 - Sale ²⁵
	600.000	1,086.58	02/18/22	942.00		144.58	10 of 10 - Sale ²⁵
02/25/22	5,003.229	9,060.70	Various	8,331.40	•••	729.30	Total of 10 transactions
	Security total:	17,817.75		16,054.51		1,763.24	
SPDR GOLD TRUST,	SPDR GOLD SHA RES / CUSIP	: 78463V107 / Symbol	: / Note: CL				
	3 transactions for 11/1	15/22. Total proceeds a	and cost report	ed to the IRS.			
	5.000	826.98	11/15/22	826.85	•••	0.13	1 of 3 - Sale ²⁵
	30.000	4,961.88	11/15/22	4,961.25		0.63	2 of 3 - Sale ²⁵
	30.000	4,961.88	11/15/22	4,958.10		3.78	3 of 3 - Sale ²⁵
11/15/22	65.000	10,750.74	Various	10,746.20		4.54	3- Proceeds from collectibles [X] Total of 3 transactions
SAREPTA THERAPE	UTICS, INC. COM MON STOCK	(DE) / CUSIP: 803607	100 / Symbol:				
08/03/22	11.000	1,036.17	08/02/22	1,034.00		2.17	Sale ²⁵
SHOPIFY INC. CLASS	S A SUBORDINA TE VOTING SH	HARES / CUSIP: 82509	9L107 / Symbo	ol:			
04/25/22	30.000	13,725.82	04/22/22	13,833.90		-108.08	Sale ²⁵
	2 transactions for 08/1	18/22. Total proceeds a	and cost report	ed to the IRS.			
	35.000	1,285.56	08/15/22	1,391.25		-105.69	1 of 2 - Sale ²⁵
	1.000	36.73	08/16/22	38.28		-1.55	2 of 2 - Sale ²⁵
08/18/22	36.000	1,322.29	Various	1,429.53	•••	-107.24	Total of 2 transactions
	Security total:	15,048.11		15,263.43		-215.32	
SKILLZ INC. / CUSIP:	83067L109 / Symbol:						
	2 transactions for 01/0	04/22. Total proceeds a	and cost report	ed to the IRS.			
	100.000	700.99	12/21/21	870.83	169.84 W	0.00	1 of 2 - Sale ²⁵
	100.000	700.98	12/23/21	851.82	150.84 W	0.00	2 of 2 - Sale ²⁵
01/04/22	200.000	1,401.97	Various	1,722.65	320.68 W	0.00	Total of 2 transactions
	4 transactions for 01/0	05/22. Total proceeds a	and cost report	ed to the IRS.			
	2,500.000	16,251.61	01/04/22	17,546.25	1,006.16 W	-288.48	1 of 4 - Sale ²⁵
	100.000	683.29	01/04/22	871.69	188.40 W	0.00	2 of 4 - Sale ²⁵
	100.000	683.30	01/04/22	852.69	169.39 W	0.00	3 of 4 - Sale ²⁵

^{*} This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Proceeds from Broker and Barter Exchange Transactions

Account 133192989

1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of property	//CUSIP/Symbol						
1c- Date		1d- Proceeds &			1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or	On and the	6- Reported	1b- Date	1e- Cost or	1g- Wash sale loss	7- Loss not allowed (X)	A delition of the formand on
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	also not reported (Z)	Additional information
SKILLZ INC. / CUSIP: 8306	7L109 / Symbol: (cont'd)						
	300.000	2,049.88	01/04/22	2,105.55	55.67 W	0.00	4 of 4 - Sale ²⁵
01/05/22	3,000.000	19,668.08	Various	21,376.18	1,419.62 W	-288.48	Total of 4 transactions
	4 transactions for 01/19	9/22. Total proceeds a	and cost repo	orted to the IRS.			
	100.000	547.12	01/14/22	803.24		-256.12	1 of 4 - Sale ²⁵
	100.000	547.12	01/14/22	766.21	***	-219.09	2 of 4 - Sale ²⁵
	100.000	547.13	01/14/22	615.06		-67.93	3 of 4 - Sale ²⁵
	100.000	547.12	01/14/22	612.06		-64.94	4 of 4 - Sale ²⁵
01/19/22	400.000	2,188.49	Various	2,796.57		-608.08	Total of 4 transactions
	2 transactions for 02/09	9/22. Total proceeds a		orted to the IRS.			
	1,942.941	8,512.52	02/02/22	9,280.95	***	-768.43	1 of 2 - Sale ²⁵
	100.000	438.13	02/02/22	444.44		-6.31	2 of 2 - Sale ²⁵
02/09/22	2,042.941	8,950.65	Various	9,725.39	•••	-774.74	Total of 2 transactions
	Security total:	32,209.19		35,620.79	1,740.30 W	-1,671.30	
SNAP INC. / CUSIP: 83304	A106 / Symbol:						
08/02/22	100.000	1,004.96	08/01/22	955.00		49.96	Sale ²⁵
SOFI TECHNOLOGIES, IN	C. COMMON STOCK / CUSI	P: 83406F102 / Syml	ool:				
01/19/22	100.000	1,347.26	01/14/22	1,315.00		32.26	Sale ²⁵
03/08/22	500.000	4,784.91	03/08/22	4,847.50	62.59 W	0.00	Sale ²⁵
03/09/22	500.000	4,900.72	03/08/22	4,847.50		53.22	Sale ²⁵
	2 transactions for 03/09	9/22. Total proceeds a	and cost repo	orted to the IRS.			
	300.000	2,940.43	03/08/22	2,947.55	7.12 W	0.00	1 of 2 - Sale ²⁵
	200.000	1,960.28	03/08/22	1,965.04	4.76 W	0.00	2 of 2 - Sale ²⁵
03/09/22	500.000	4,900.71	Various	4,912.59	11.88 W	0.00	Total of 2 transactions
	3 transactions for 03/10)/22. Total proceeds a	and cost repo	orted to the IRS.			
	200.000	1,864.97	03/09/22	1,944.76	79.79 W	0.00	1 of 3 - Sale ²⁵
	300.000	2,797.45	03/09/22	2,917.12	119.67 W	0.00	2 of 3 - Sale ²⁵
	500.000	4,662.41	03/09/22	4,850.00	187.59 W	0.00	3 of 3 - Sale ²⁵
03/10/22	1,000.000	9,324.83	Various	9,711.88	387.05 W	0.00	Total of 3 transactions
	8 transactions for 03/16	3/22. Total proceeds a	and cost repo	orted to the IRS.			
	100.000	836.98	03/14/22	832.39		4.59	1 of 8 - Sale ²⁵
	100.000	836.98	03/14/22	832.39		4.59	2 of 8 - Sale ²⁵

^{*} This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Proceeds from Broker and Barter Exchange Transactions

Account 133192989

1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of prope	erty/CUSIP/Symbol						
1c- Date		1d- Proceeds &			1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or		6- Reported	1b- Date	1e- Cost or	1g- Wash sale loss	7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	also not reported (Z)	Additional information
SOFI TECHNOLOGIES,	INC. COMMON STOCK / CUS	IP: 83406F102 / Sym	ool: (cont'd)				
	100.000	836.98	03/14/22	832.39		4.59	3 of 8 - Sale ²⁵
	100.000	836.98	03/14/22	830.02		6.96	4 of 8 - Sale ²⁵
	100.000	836.98	03/14/22	830.02		6.96	5 of 8 - Sale ²⁵
	200.000	1,673.97	03/14/22	1,664.79		9.18	6 of 8 - Sale ²⁵
	300.000	2,510.95	03/14/22	2,490.05		20.90	7 of 8 - Sale ²⁵
	1,000.000	8,369.85	03/14/22	7,925.00		444.85	8 of 8 - Sale ²⁵
03/16/22	2,000.000	16,739.67	Various	16,237.05		502.62	Total of 8 transactions
08/31/22	5.000	29.30	08/22/22	30.90	1.60 W	0.00	Sale ²⁵
	2 transactions for 09/02	2/22. Total proceeds a	and cost repo	rted to the IRS.			
	160.000	921.55	09/02/22	950.40		-28.85	1 of 2 - Sale ²⁵
	5.000	28.80	09/02/22	31.30		-2.50	2 of 2 - Sale ²⁵
09/02/22	165.000	950.35	Various	981.70		-31.35	Total of 2 transactions
	Security total:	42,977.75		42,884.12	463.12 W	556.75	
SPIRIT AEROSYSTEMS	HOLDINGS, I NC. / CUSIP: 84	18574109 / Symbol:					
	3 transactions for 12/2	1/22. Total proceeds a	and cost repo	rted to the IRS.			
	50.000	1,465.21	12/20/22	1,394.50		70.71	1 of 3 - Sale ²⁵
	50.000	1,465.21	12/20/22	1,392.00		73.21	2 of 3 - Sale ²⁵
	200.000	5,860.83	12/20/22	5,612.00		<i>24</i> 8.83	3 of 3 - Sale ²⁵
12/21/22	300.000	8,791.25	Various	8,398.50	···	392.75	Total of 3 transactions
SPORTS ENTERTAINM	ENT ACQUISITI ON CORP. / C	CUSIP: 84918M106 / S	Symbol:				
01/13/22	100.000	996.18	01/13/22	996.78		-0.60	Sale ²⁵
3D SYSTEMS CORP / C	USIP: 88554D205 / Symbol:						
01/19/22	100.000	1.856.21	01/14/22	1,980.00		-123.79	Sale ²⁵
•	2 transactions for 08/09						
	120.000	1,359.70	08/08/22	1,585.80	·	-226.10	1 of 2 - Sale ²⁵
	1.000	11.33	08/09/22	11.75		-0.42	2 of 2 - Sale ²⁵
08/09/22	121.000	1,371.03	Various	1,597.55		-226.52	Total of 2 transactions
	Security total:	3,227.24		3,577.55	·	-350.31	
TILRAY BRANDS, INC. (CLASS 2 CO MMON STOCK /	CUSIP: 88688T100 /	Symbol:				
04/01/22	100.000	776.48	03/30/22	844.88		-68.40	Sale ²⁵
0 1/0 1/22	100.000	770.40	33/33/22	0	•••	00.40	

^{*} This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Proceeds from Broker and Barter Exchange Transactions

Account 133192989

2022 1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of prop	berty/CUSIF/Symbol						
1c- Date sold or		1d- Proceeds & 6- Reported	1b- Date	1e- Cost or	1f- Accrued mkt disc (D) & 1g- Wash sale loss	Gain or loss(-) & 7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	also not reported (Z)	Additional information
JPSTART HOLDINGS.	INC. COMMON STOCK / CUS		ool:		` ` `	• • • • • • • • • • • • • • • • • • • •	
•		11/22. Total proceeds a		ted to the IRS.			
	5.000	450.25	04/07/22	470.75		-20.50	1 of 2 - Sale ²⁵
	5.000	450.24	04/07/22	470.55	•••	-20.31	2 of 2 - Sale ²⁵
04/11/22	10.000	900.49	Various	941.30		-40.81	Total of 2 transactions
VERIZON COMMUNICA	ATIONS / CUSIP: 92343V104 /	Symbol:					
08/08/22	35.000	1,559.74	08/05/22	1,572.20		-12.46	Sale ²⁵
WAITR HOLDINGS INC	C. COMMON STO CK / CUSIP:	•		•			
		1/06/22. Total proceeds	and cost repo	orted to the IRS.			
	40.000	27.24	01/05/22	26.88		0.36	1 of 37 - Sale ²⁵
	100.000	68.09	01/05/22	67.19		0.90	2 of 37 - Sale 25
	100.000	68.09	01/05/22	67.18		0.91	3 of 37 - Sale ²⁵
	100.000	68.09	01/05/22	67.18		0.91	4 of 37 - Sale ²⁵
	100.000	68.09	01/05/22	67.18		0.91	5 of 37 - Sale ²⁵
	150.000	102.13	01/05/22	100.79		1.34	6 of 37 - Sale ²⁵
	100.000	68.57	01/05/22	67.18		1.39	7 of 37 - Sale ²⁵
	100.000	68.57	01/05/22	67.18		1.39	8 of 37 - Sale ²⁵
	100.000	68.57	01/05/22	67.18		1.39	9 of 37 - Sale ²⁵
	100.000	68.57	01/05/22	67.18		1.39	10 of 37 - Sale ²⁵
	160.000	108.94	01/05/22	107.50		1.44	11 of 37 - Sale ²⁵
	100.000	68.67	01/05/22	67.18		1.49	12 of 37 - Sale ²⁵
	100.000	68.72	01/05/22	67.18		1.54	13 of 37 - Sale ²⁵
	100.000	68.72	01/05/22	67.18		1.54	14 of 37 - Sale ²⁵
	100.000	68.72	01/05/22	67.18		1.54	15 of 37 - Sale ²⁵
	200.000	137.11	01/05/22	134.36		2.75	16 of 37 - Sale ²⁵
	200.000	137.11	01/05/22	134.36		2.75	17 of 37 - Sale ²⁵
	200.000	137.11	01/05/22	134.36		2.75	18 of 37 - Sale ²⁵
	200.000	137.13	01/05/22	134.36		2.77	19 of 37 - Sale ²⁵
	200.000	137.44	01/05/22	134.36		3.08	20 of 37 - Sale ²⁵
	300.000	205.67	01/05/22	201.54		4.13	21 of 37 - Sale ²⁵
	300.000	205.67	01/05/22	201.54		4.13	22 of 37 - Sale ²⁵
	300.000	205.70	01/05/22	201.54		4.16	23 of 37 - Sale ²⁵
	400.000	274.67	01/05/22	268.72		5.95	24 of 37 - Sale ²⁵

^{*} This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

32

Robinhood Markets Inc. as agent for **Robinhood Securities LLC**

Proceeds from Broker and Barter Exchange Transactions

Account 133192989

2022 **1099-B*** OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12) "Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol 1c- Date 1d- Proceeds & 1f- Accrued mkt disc (D) & Gain or loss(-) & 1q- Wash sale loss 7- Loss not allowed (X) sold or 6- Reported 1b- Date 1e- Cost or disposed Quantity (G)ross or (N)et acquired other basis disallowed (W) also not reported (Z) Additional information WAITR HOLDINGS INC. COMMON STO CK / CUSIP: 930752100 / Symbol: (cont'd) 500.000 343.33 01/05/22 25 of 37 - Sale 25 335.90 7.43 500.000 343.34 01/05/22 335.90 7.44 26 of 37 - Sale 25 27 of 37 - Sale 25 600.000 411.34 01/05/22 403.08 8.26 600.000 411.34 01/05/22 403.08 8.26 28 of 37 - Sale 25 479.21 470.26 29 of 37 - Sale 25 700.000 01/05/22 8.95 700.000 479.90 01/05/22 470.26 9.64 30 of 37 - Sale 25 31 of 37 - Sale 25 700.000 479.90 01/05/22 470.26 9.64 32 of 37 - Sale 25 700.000 479.90 01/05/22 470.26 9.64 33 of 37 - Sale 25 900.000 617.01 01/05/22 604.62 12.39 900.000 617.01 604.62 12.39 34 of 37 - Sale 25 01/05/22 35 of 37 - Sale 25 900.000 617.01 604.62 12.39 01/05/22 1,500.000 1,021.31 01/05/22 1,007.70 13.61 36 of 37 - Sale 25 37 of 37 - Sale 25 2,600.000 1,770.27 01/05/22 1,746.94 23.33 01/06/22 Total of 37 transactions 15,650.000 10,708.26 Various 10,513.98 194.28 MAGIC EMPIRE GLOBAL LIMITED OR DINARY SHARES / CUSIP: G5865E105 / Symbol: 5 transactions for 08/15/22. Total proceeds and cost reported to the IRS. 1.000 15.00 08/09/22 12.24 2.76 1 of 5 - Sale 25 2 of 5 - Sale 25 5.000 75.00 08/09/22 64.95 10.05 100.000 1.499.95 08/09/22 3 of 5 - Sale 25 1.296.84 203.11 4 of 5 - Sale 25 94.972 1,453.49 08/15/22 1,591.73 -138.24 5 of 5 - Sale 25 0.027 -0.03 0.42 08/15/22 0.45 08/15/22 Total of 5 transactions 200.999 3,043.86 Various 2,966.21 77.65 NU HOLDINGS LTD. / CUSIP: G6683N103 / Symbol: 11 transactions for 11/14/22. Total proceeds and cost reported to the IRS. 100.000 501.97 11/10/22 460.00 41.97 1 of 11 - Sale 25 100.000 501.97 11/10/22 460.00 41.97 2 of 11 - Sale 25 100.000 501.97 11/10/22 460.00 41.97 3 of 11 - Sale 25 4 of 11 - Sale 25 100.000 501.97 11/10/22 460.00 41.97 5 of 11 - Sale 25 200.000 1.003.94 11/10/22 920.00 83.94 6 of 11 - Sale 25 200.000 1,003.95 11/10/22 914.00 89.95 11/10/22 7 of 11 - Sale 25 300.000 1,505.92 1,371.00 134.92 8 of 11 - Sale 25 400.000 2,007.90 11/10/22 1,840.00 167.90

^{*} This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

32

Robinhood Markets Inc. as agent for **Robinhood Securities LLC**

Proceeds from Broker and Barter Exchange Transactions

Account 133192989

1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of propo	erty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X)	Additional information
•	USIP: G6683N103 / Symbol:	., .,	acquireu	Other Dasis	uisaiioweu (W)	aiso not reported (2)	Additional information
NO HOLDINGS LTD. / C	•	` '					25
	20.000	100.40	11/14/22	91.80	•••	8.60	9 of 11 - Sale ²⁵
	113.000	567.23	11/14/22	518.67		48.56	10 of 11 - Sale ²⁵
	117.000	587.31	11/14/22	537.03		50.28	11 of 11 - Sale ²⁵
11/14/22	1,750.000	8,784.53	Various	8,032.50		752.03	Total of 11 transactions
STONECO LTD. CLASS	A COMMON SH ARES / CU	SIP: G85158106 / Symb	ool:				
	2 transactions for 08	/31/22. Total proceeds a	and cost report	ted to the IRS.			
	110.000	1,006.46	08/18/22	1,282.60	•••	-276.14	1 of 2 - Sale ²⁵
	1.000	9.15	08/22/22	8.87	•••	0.28	2 of 2 - Sale ²⁵
08/31/22	111.000	1.015.61	Various	1,291.47		-275.86	Total of 2 transactions
		1/30/22. Total proceeds					
	100.000	1,122.96	11/16/22	1,120.53		2.43	1 of 10 - Sale ²⁵
	3.000	33.69	11/17/22	31.00		2.69	2 of 10 - Sale 25
	3.000	33.69	11/17/22	30.89		2.80	3 of 10 - Sale ²⁵
	7.000	78.61	11/17/22	72.32	•••	6.29	4 of 10 - Sale ²⁵
	20.000	224.59	11/17/22	206.60	···	17.99	5 of 10 - Sale ²⁵
	47.000	527.79	11/17/22	483.88	···	43.91	6 of 10 - Sale ²⁵
	70.000	786.07	11/17/22	723.14	•••	62.93	7 of 10 - Sale ²⁵
	50.000	561.47	11/23/22	544.22	•••	17.25	8 of 10 - Sale ²⁵
	50.000	561.48	11/23/22	541.77	•••	19.71	9 of 10 - Sale ²⁵
	650.000	7,345.46	11/30/22	7,348.25		-2.79	10 of 10 - Sale ²⁵
11/30/22	1,000.000	11,275.81	Various	11,102.60		173.21	Total of 10 transactions
	6 transactions for 11	/30/22. Total proceeds a	and cost report	ted to the IRS.			
	100.000	1,122.96	11/15/22	1,153.50	30.54 W	0.00	1 of 6 - Sale ²⁵
	57.000	640.08	11/15/22	657.49	17.41 W	0.00	2 of 6 - Sale ²⁵
	20.000	224.60	11/15/22	230.70	6.10 W	0.00	3 of 6 - Sale ²⁵
	70.000	786.07	11/15/22	807.45	21.38 W	0.00	4 of 6 - Sale ²⁵
	253.000	2,841.09	11/15/22	2,918.36	77.27 W	0.00	5 of 6 - Sale ²⁵
	150.000	1,695.11	11/30/22	1,741.56	6.19 W	-40.26	6 of 6 - Sale ²⁵
11/30/22	650.000	7,309.91	Various	7,509.06	158.89 W	-40.26	Total of 6 transactions
12/19/22	20.000	183.40	12/15/22	189.09	5.69 W	0.00	Sale ²⁵
	Security total:	19,784.73		20,092.22	164.58 W	-142.91	
Totals :	-	717,933.14		752,318.36	13,664.00 W	-20,721.22	

^{*} This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for
Robinhood Securities LLC
Detail for Dividends and Distributions

2022

Account 133192989

02/13/2023

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. There is also a holder level criteria for a dividend to be treated as Qualified. If the payment is from a common stock you are required to have held it for more than 60 days during the 121-day period that begins 60 days before the ex-dividend date of the dividend is from preferred shares and covers a period greater than 366 days, you must have held the preferred stock for more than 90 days during the 181-day period that begins 90 days before the ex-dividend date of the dividend.

Amounts presented in Box 2e as Section 897 Ordinary Dividends or Box 2f as Section 897 Capital Gains apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. If you are a US citizen, you should disregard this.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
AT&T INC.	00206R102		05/02/22	416.25	Qualified dividend	
HANESBRANDS, INC.	410345102		12/13/22	33.60	Qualified dividend	
J.P. MORGAN EXCHANGE-TRADED FU ND	46654Q203		12/06/22	147.98	Nonqualified dividend	03
TRUST JPMORGAN NASDAQ EQUIT Y PREMIUM INCOME ETF			12/06/22	5.53	Qualified dividend	03
FREIMION INCOME ETF				153.51	Total Dividends & distributions	
				603.36	Total Dividends & distributions	

Robinhood Markets Inc. as agent for
Robinhood Securities LLC
Detail for Interest Income

2022

Account 133192989

02/13/2023

This section of your tax information statement contains the payment level detail of taxable interest and associated bond premium. Market discount will be shown here only if you have elected to recognize it currently rather than at the time of sale or maturity. Bond premium and market discount for covered tax lots are totaled on Form 1099-INT and reported to the IRS. For noncovered tax lots, they are totaled and presented beneath the 1099-INT for informational purposes and are not reported to the IRS.

To provide a complete picture of activity for each investment, we also include here nonreportable transactions such as accrued interest paid on purchases and payment or receipt of nonqualified interest. Other amounts, such as federal, state and foreign tax withheld and investment expenses are shown as negative amounts but do not net against the reportable income totals.

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
Interest payment		02/28/22	0.01	Interest	
		08/31/22	0.13	Interest	
		09/30/22	0.01	Interest	
		10/31/22	1.35	Interest	
		11/30/22	0.53	Interest	
		_	2.03	Total Interest	
		_	0.00	Tatal Internal	
			2.03	Total Interest	

28 of

32 Page

Robinhood Markets Inc. as agent for		Account	133192989
Robinhood Securities LLC	Detail for Miscellaneous Information		
2022		02/13/202	23

Security description HANESBRANDS, INC.	CUSIP and/or symbol 410345102	Date 12/13/22	Amount 3.90	Transaction type Substitute payment	Notes
J.P. MORGAN EXCHANGE-TRADED FU ND TRUST JPMORGAN NASDAQ EQUIT Y PREMIUM INCOME ETF	46654Q203	12/06/22	10.38	Substitute payment	
US Dollars	USDOLLARS	02/02/22	0.02	Other income	
			14.30	Total Miscellaneous income	_

32

Robinhood Markets Inc. as agent for **Robinhood Securities LLC**

2022

Fees and Expenses

02/13/2023

Account 133192989

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
Margin charge		01/07/22	-5.00	Margin interest paid	
		01/07/22	-70.09	Margin interest paid	
		01/19/22	-4.09	Margin interest paid	
		02/09/22	-5.00	Margin interest paid	
		03/11/22	-5.00	Margin interest paid	
		03/11/22	-5.81	Margin interest paid	
		04/11/22	-5.00	Margin interest paid	
		04/11/22	-15.19	Margin interest paid	
		05/03/22	-14.84	Margin interest paid	
		10/31/22	-5.00	Margin interest paid	
		11/30/22	-5.00	Margin interest paid	
		11/30/22	-12.59	Margin interest paid	
		12/30/22	-5.00	Margin interest paid	
		12/30/22	-25.25	Margin interest paid	
		-	-182.86	Total Margin interest paid	
		-	-182.86	Total Margin interest paid	

32

Robinhood Markets Inc. as agent for **Robinhood Securities LLC**

2022 End Notes for Account 133192989

- 03 The tax character of the distribution has been allocated based on information provided by the security issuer.
- Tax lot closed on a first in first out basis.
- CL This trust invests exclusively in "collectibles." Gains recognized from the sale of "collectibles" held for more than one year are taxed at a maximum federal income tax rate of 28%. This applies to gains recognized from the sale of an interest in the trust as well as gains attributable to you from the Trust's sale of "collectibles" which you - through ownership of shares in the trust - are treated as having held for more than one year.

Common Instructions for Recipient

Recipient's taxpaver identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS

Account number. May show an account or other unique number the payer assigned to distinguish your account

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.

1099-DIV Instructions for Recipient

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

Line 1b. Shows the portion of the amount in Line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in Lines 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Form 1040.

Line 2b. Shows the portion of the amount in Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2c. Shows the portion of the amount in Line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

Line 2d. Shows the portion of the amount in Line 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2e. Shows the portion of the amount in Line 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

Line 2f. Shows the portion of the amount in Line 2a that is section 897 gain attributable to disposition of LISRPI

Note: Lines 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in Line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in Line 1a. Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit

on Form 1040 or 1040-SR. See the Instructions for Form 1040. Line 8. This Line should be left blank if a RIC reported the foreign tax shown in Line 7.

Instructions for Recipient

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You may also have a filing requirement. See the Instructions for Form

Line 12. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040SR for where to report. This amount may be subject to backup withholding. See Line 4 above. Line 13. Shows exempt-interest dividends subject to the alternative minimum tax. This

amount is included in Line 12. See the Instructions for Form 6251. Lines 14-16. State income tax withheld reporting Lines.

Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

1099-INT Instructions for Recipient

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

Line 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2022 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium

Line 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction

Line 3. Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium.

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Line 5. Any amount shown is your share of investment expenses of a singleclass REMIC. This amount is included in line 1. Note: This amount is not deductible. Line 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

Line 7. Shows the country or U.S. possession to which the foreign tax was paid. Line 8. Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Forms 1040 and 1040-SR. This amount may be subject to backup withholding. See Line 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in line 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 10. For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in

accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includible in taxable income as interest income.

Line 11. For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this line for a taxable covered security acquired at a premium and the paver is reporting premium amortization, the paver has reported a net amount of interest in line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4). Line 12. For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 3. If the amount in line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

Line 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this line for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in line 8 or 9, whichever is applicable. If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

Line 14. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s).

Lines 15-17. State tax withheld reporting lines.

Future developments. For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099INT.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the cornoration is identified in hox 1a

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank. Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

1099-MISC Instructions for Recipient

Line 2. Report royalties from oil, gas, or mineral properties; copyrights; and patents on Schedule E (Form 1040). However, report payments for a working interest as explained

Instructions for Recipient

32 of

in the Schedule E (Form 1040) instructions. For royalties on timber, coal, and iron ore, see Pub. 544.

Line 3. Generally, report this amount on the "Other income" line on Form 1040 (or Form 1040NR) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).

Line 4. See "Backup Withholding" section.

Line 8. Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040).

Line 13. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You may also have a filing requirement. See the Instructions for Form 8038

Lines 16-18. Show state or local income tax withheld from the payments.

Future developments. For the latest information about developments related to Form 1099-MISC and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099MISC.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

13 - [] FATCA filing requirement (see instructions)

Robinhood Markets Inc. as agent for **Robinhood Crypto LLC**

85 Willow Rd

Menlo Park, CA 94025

Customer Service: 650-940-2700

2d- Collectibles (28%) gain

2f- Section 897 capital gain

5- Section 199A dividends

6- Investment expenses

3- Nondividend distributions

2e- Section 897 ordinary dividends

4- Federal income tax withheld

9- Cash liquidation distributions

10- Noncash liquidation distributions

8- Foreign country or US possession:

12- Exempt-interest dividends (includes line 13)

13- Specified private activity bond interest dividends (AMT)

Tax Information Account 133192989C

Dharma dev Punukollu 1690 FM 423, Apt 9105 Frisco, TX 75033

RECIPIENT'S TIN: XXX-XX-0216

0.00

0.00

0.00

0.00

0.00

0.00

0.00

0.00

0.00

0.00

0.00

0.00

PAYER'S TIN: 46-4364776 **Summary Information** 11 - [] FATCA filing requirement (see instructions)

• • • • • • • • • • • • • • • • • • • •	··········		
OMB No. 1545-0110	MISCELLANEOUS INFORMATION	2022 1099-MISC*	OMB No. 1545-011
0.00	2- Royalties		0.00
0.00	3- Other income		0.00
0.00	4- Federal income tax withheld		0.00
0.00	8- Substitute payments in lieu of dividends or int	erest	0.00
0.00	• •		
	OMB No. 1545-0110 0.00 0.00 0.00 0.00 0.00	OMB No. 1545-0110 MISCELLANEOUS INFORMATION 0.00 2- Royalties 0.00 3- Other income 0.00 4- Federal income tax withheld 0.00 8- Substitute payments in lieu of dividends or interest	OMB No. 1545-0110 MISCELLANEOUS INFORMATION 2022 1099-MISC* 0.00 2- Royalties 0.00 3- Other income 0.00 4- Federal income tax withheld 0.00 8- Substitute payments in lieu of dividends or interest

SECTION 1256 CONTRACTS	2022 1099-B*	OMB No. 1545-0715
8- Profit or (loss) realized in 2022 on close	ed contracts	0.00
9- Unrealized profit or (loss) on open contr	racts-12/31/2021	0.00
10- Unrealized profit or (loss) on open contr	racts-12/31/2022	0.00
11- Aggregate profit or (loss) on contracts		0.00

Statement Date: 02/13/2023

If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.

SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

7- Foreign tax paid:

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	B (basis not reported to the IRS)	1,709.76	1,700.27	0.00	0.00	9.49
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	1,709.76	1,700.27	0.00	0.00	9.49
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	1,709.76	1,700.27	0.00	0.00	9.49
Withholding		Amount				
Federal income	e tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2022 may require an amended tax form.

^{*} This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

Account 133192989C

Robinhood Markets Inc. as agent for **Robinhood Crypto LLC**

Summary Information

(continued) 02/13/2023

INTEREST INCOME

2022

2022 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)2- Early withdrawal penalty	0.00 0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
Tax-exempt obligations (covered lots)	0.00
Tax-exempt private activity obligations (AMT, covered lots)	0.00
14- Tax-exempt and tax credit bond CUSIP number	
FATCA filing requirement []	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid Taxable accrued Treasury interest paid Tax-exempt accrued interest paid Tax-exempt accrued interest paid (AMT) Taxable accrued nongualified interest paid	0.00 0.00 0.00 0.00 0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year Acquisition premium (covered lots) Acquisition premium (noncovered lots) Original issue discount on Treasury obligations Acquisition premium, Treasury obligations (covered lots) Acquisition premium, Treasury obligations (noncovered lots) Tax-exempt OID Tax-exempt OID (lots not reported) Acquisition premium (covered) Acquisition premium (lots not reported) Tax-exempt OID on private activity bonds Tax-exempt OID on private activity bonds (lots not reported) Acquisition premium (AMT, covered) Acquisition premium (AMT, lots not reported) Market discount (all lots)	0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.0
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Account 133192989C

3 of

6

Robinhood Markets Inc. as agent for Robinhood Crypto LLC

Proceeds from Broker and Barter Exchange Transactions

02/13/2023

2022 1099-B* OMB No. 1545-0715

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)

Report on Form 8949, Part I with Box B checked. Basis is NOT provided to the IRS. (Line 12)

"Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol							
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
Dogecoin / CUSIP: DOGEUSD / Symbol:							
01/13/22	25.050000000000000711	4.13	01/06/22	4.00		0.13	Sale ²⁵
Litecoin / CUSIP: LTCUSD / Symbol:							
2 transactions for 08/05/22. Total proceeds are reported to the IRS.							
	6.516690969999999972	400.55	08/05/22	398.17		2.38	1 of 2 - Sale ²⁵
	19.500	1,198.82	08/05/22	1,191.45		7.37	2 of 2 - Sale ²⁵
08/05/22	26.016691	1,599.37	Various	1,589.62		9.75	Total of 2 transactions
Tezos / CUSIP: XTZUSD / Symbol:							
11/12/22	100.000	106.26	11/11/22	106.65		-0.39	Sale ²⁵
Totals :		1,709.76		1,700.27		9.49	

^{*} This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for Robinhood Crypto LLC

2022 End Notes for Account 133192989C

25 Tax lot closed on a first in first out basis.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or nonSection 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Instructions for Recipient

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a harter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to 1009/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

age 5 of

This page intentionally left blank.