Robinhood Markets Inc 85 Willow Road Menlo Park, CA 94025 650-940-2700



Meghana Dandam 6936 Meridale Forest Dr Charlotte, NC 28269

Master Account Number for Import: 650938418 **Document ID for Import:** 1971VU11317

Enclosed is your 2022 Consolidated Tax Statement. 1099 Tax Forms for the following accounts are included in this package:

650938418 - Robinhood Securities LLC 650938418C - Robinhood Crypto LLC

**New for 2022 Tax Year** - Where applicable, 1099 Tax Forms for Robinhood Securities, Robinhood Crypto, and Robinhood Money are being consolidated into one package and reported under our parent entity, Robinhood Markets Inc. We have done this to ensure all forms that you need are provided at the same time in this consolidated package.

Important - Please utilize the Master Account Number and Document ID listed above if filing through tax service providers such as TurboTax or H&R Block. The Document ID is necessary in order to directly import your 1099 data into the tax software so that you do not need to manually enter each transaction. This year there is only one Master Account Number and Document ID that needs to be used to import all of your data across all accounts and 1099s (including crypto). Please note that tax software providers may have limits on the total number of transactions that can be directly imported using the Document ID.

**CSVs** - In addition, a CSV file which includes the same information that is reported on your 1099 forms will be provided. The CSV is not a separate 1099. It is being provided as a supplement in a format that may be more helpful if you have a large number of transactions or if you are not using the Document ID to import all of your Securities, Crypto and Money activity. Tax software providers may have limits on the total number of transactions that can be uploaded and CSV files may not be compatible with tax service providers such as TurboTax or H&R Block.

**Robinhood Retirements Accounts** - If you had any reportable distributions or contributions within a Robinhood Retirement account, your 2022 tax forms 1099-R and/or 5498 will be delivered separately. Retirement accounts will be reported under Robinhood Securities LLC and will have their own unique Doc IDs for import to tax software providers such as TurboTax or H&R Block.



85 Willow Road

Menlo Park, CA 94025 Customer Service: 650-940-2700

Tax Information Account 650938418	Statement Date: 02/01/2023	2022
Meghana Dandam 6936 Meridale Forest Dr Charlotte, NC 28269		

PAYER'S TIN: 46-4364776 RECIPIENT'S TIN: XXX-XX-5675

Summary	Information	13 - [ ] FATCA filing requirer	ment (see instructions)
* OMB No. 1545-0110	MISCELLANEOUS INFORMATION	2022 1099-MISC*	OMB No. 1545-0115
0.00	2- Royalties		0.00
0.00	3- Other income		0.00
0.00	4- Federal income tax withheld		0.00
0.00	8- Substitute payments in lieu of dividends or i	interest	0.00
0.00	, ,		
0.00	SECTION 1256 CONTRACTS	2022 1099-B*	OMB No. 1545-0715
0.00			
0.00			0.00
0.00			0.00
0.00		s-12/31/2022	0.00
0.00	<li>11- Aggregate profit or (loss) on contracts</li>		0.00
0.00	If applicable presents from sale transportion	an annor aummarized hal	au and ara
0.00			ow and are
0.00			•
0.00			
0.00			
0.00	you if this income is taxable and the IRS determines the	nat it nas not been repor	ted.
	0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.0	0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.0	MISCELLANEOUS INFORMATION 2022 1099-MISC*  0.00 2- Royalties 0.00 3- Other income 0.00 4- Federal income tax withheld 0.00 8- Substitute payments in lieu of dividends or interest 0.00 0.00

## SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	45,051.09	54,909.45	0.00	101.99	-9,756.37
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	45,051.09	54,909.45	0.00	101.99	-9,756.37
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	45,051.09	54,909.45	0.00	101.99	-9,756.37
Withholding		Amount				
Federal income	tax withheld	0.00				

### INTEREST INCOME

2022 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3) 2- Early withdrawal penalty	0.00 0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
Tax-exempt obligations (covered lots)	0.00
Tax-exempt private activity obligations (AMT, covered lots)	0.00
14- Tax-exempt and tax credit bond CUSIP number	
FATCA filing requirement [ ]	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid Taxable accrued Treasury interest paid Tax-exempt accrued interest paid Tax-exempt accrued interest paid (AMT) Taxable accrued nonqualified interest paid Tax-exempt nonqualified interest Tax-exempt nonqualified interest Tax-exempt nonqualified interest (AMT) Interest shortfall on contingent payment debt Bond premium- Non Treasury obligations (noncovered lots) Bond premium- Tax-exempt obligations (noncovered lots)	0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.0
Market discount (noncovered lots)	0.00

### STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

### ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year Acquisition premium (covered lots) Acquisition premium (noncovered lots)	0.00 0.00 0.00
Original issue discount on Treasury obligations Acquisition premium, Treasury obligations (covered lots)	0.00 0.00
Acquisition premium, Treasury obligations (covered lots)  Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

### RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	20.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

## **Proceeds from Broker and Barter Exchange Transactions**

02/01/2023

Account 650938418

**2022 1099-B\*** OMB No. 1545-0715

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*) "Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property	y/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADBE 04/29/2022 CALL \$4	125.00 / CUSIP: / Symbol:						
04/29/22	1.000	11.98	04/28/22	66.00		-54.02	Option sale to close-call <sup>25</sup>
AFRM 08/26/2022 CALL \$3	31.00 / CUSIP: / Symbol:						
08/26/22	1.000	0.00	08/25/22	289.00		-289.00	Option expiration- long call
AMZN 04/22/2022 CALL \$3	3,185.00 / CUSIP: / Symbol:						
04/21/22	1.000	90.98	04/21/22	1,165.00		-1,074.02	Option sale to close-call <sup>25</sup>
AMZN 04/29/2022 PUT \$2,	460.00 / CUSIP: / Symbol:						
04/29/22	1.000	519.98	04/29/22	1,100.00		-580.02	Option sale to close-put <sup>25</sup>
AMZN 05/06/2022 PUT \$2,	250.00 / CUSIP: / Symbol:						
05/06/22	1.000	170.98	05/06/22	1,423.00		-1,252.02	Option sale to close-put <sup>25</sup>

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

16

Robinhood Markets Inc. as agent for Account 650938418

# **Proceeds from Broker and Barter Exchange Transactions**

**2022 1099-B\*** OMB No. 1545-0715 (continued) 02/01/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**Robinhood Securities LLC** 

1a- Description of property/CUSIF	P/Symbol						
1c- Date		1d- Proceeds &	41.54		1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or disposed	Quantity	6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Additional information
AMZN 06/17/2022 CALL \$103.00 /	CUSIP: / Symbol:		•			, , ,	
06/15/22	1.000	299.98	06/14/22	320.00		-20.02	Option sale to close-call <sup>25</sup>
AMZN 06/17/2022 CALL \$110.25 /	CUSIP: / Symbol:						
06/13/22	1.000	97.98	06/10/22	292.00		-194.02	Option sale to close-call <sup>25</sup>
AMZN 07/15/2022 CALL \$117.00 /	CUSIP: / Symbol:						
07/11/22	1.000	100.98	07/08/22	193.00	•••	-92.02	Option sale to close-call <sup>25</sup>
BKNG 05/06/2022 PUT \$1,760.00	/ CUSIP: / Symbol:						
05/04/22	1.000	1,019.98	05/04/22	800.00		219.98	Option sale to close-put <sup>25</sup>
BKNG 05/13/2022 CALL \$2,150.00	/ CUSIP: / Symbol:						
05/13/22	1.000	9.98	05/13/22	680.00		-670.02	Option sale to close-call <sup>25</sup>
BLK 07/08/2022 CALL \$612.50 / C	USIP: / Symbol:						
07/05/22	1.000	1,099.96	07/05/22	940.00		159.96	Option sale to close-call <sup>25</sup>
BRKB 03/25/2022 CALL \$355.00 /	CUSIP: / Symbol:						
03/25/22	1.000	211.98	03/21/22	112.00		99.98	Option sale to close-call <sup>25</sup>
CMG 07/15/2022 CALL \$1,275.00	/ CUSIP: / Symbol:						
07/13/22	1.000	1,259.96	07/13/22	1,100.00		159.96	Option sale to close-call <sup>25</sup>
CRWD 03/18/2022 CALL \$212.50 /	CUSIP: / Symbol:						
03/18/22	1.000	4.98	03/18/22	88.00		-83.02	Option sale to close-call <sup>25</sup>
CSIQ 08/19/2022 CALL \$39.00 / C	USIP: / Symbol:						
08/18/22	1.000	519.97	08/17/22	127.00		392.97	Option sale to close-call <sup>25</sup>
CSIQ 08/19/2022 CALL \$44.00 / C	USIP: / Symbol:						
2	transactions for 08/18/	/22. Total proceeds a	and cost repo	orted to the IRS.			
	1.000	249.98	08/18/22	91.00		158.98	1 of 2 - Option sale to close-call <sup>25</sup>
08/18/22	3.000 4.000	<i>749.97</i> 999.95	<i>08/18/22</i> Various	273.00 364.00		<i>476.97</i> 635.95	2 of 2 - Option sale to close-call <sup>25</sup> Total of 2 transactions
DKS 08/26/2022 CALL \$113.00 / C		330.33	v ai ioas	304.00	•••	000.00	rotal of 2 transactions
08/23/22	1.000	459.97	08/22/22	503.00		-43.03	Option sale to close-call <sup>25</sup>
00,20,22	1.000	755.37	00/22/22	303.00	•••	70.00	Option date to close dati

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

16

Robinhood Markets Inc. as agent for Robinhood Securities LLC

# **Proceeds from Broker and Barter Exchange Transactions**

Account 650938418

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/01/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of property/CUSIP/Sy	mbol						
1c- Date		1d- Proceeds &	41 5 4		1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or disposed	Quantity	6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Additional information
EL 08/19/2022 CALL \$290.00 / CUSIP	: / Symbol:		•			, , ,	
08/18/22	1.000	19.98	08/17/22	180.00		-160.02	Option sale to close-call <sup>25</sup>
INTU 08/26/2022 CALL \$482.50 / CUS	IP: / Symbol:						
08/24/22	1.000	279.98	08/24/22	830.00		-550.02	Option sale to close-call <sup>25</sup>
MDB 04/08/2022 CALL \$465.00 / CUS	IP: / Symbol:						
04/05/22	1.000	719.98	04/04/22	780.00		-60.02	Option sale to close-call <sup>25</sup>
NFLX 03/18/2022 CALL \$372.50 / CUS	SIP: / Symbol:						
03/17/22	1.000	238.98	03/17/22	143.00		95.98	Option sale to close-call <sup>25</sup>
NFLX 03/18/2022 CALL \$377.50 / CUS	SIP: / Symbol:						
03/18/22	1.000	329.98	03/18/22	215.00		114.98	Option sale to close-call <sup>25</sup>
NFLX 04/08/2022 CALL \$385.00 / CUS	SIP: / Symbol:						
04/08/22	1.000	0.00	04/05/22	625.00		-625.00	Option expiration- long call
NKE 04/01/2022 CALL \$139.00 / CUS	P: / Symbol:						
03/29/22	1.000	152.98	03/29/22	144.00		8.98	Option sale to close-call <sup>25</sup>
ORLY 05/20/2022 CALL \$750.00 / CU	SIP: / Symbol:						
04/28/22	1.000	89.98	04/27/22	1,380.00		-1,290.02	Option sale to close-call <sup>25</sup>
RH 04/08/2022 CALL \$352.50 / CUSIF	: / Symbol:						
04/05/22	1.000	709.98	04/05/22	720.00		-10.02	Option sale to close-call <sup>25</sup>
RIVN 05/13/2022 CALL \$20.50 / CUSI	P: / Symbol:						
2 tran	sactions for 05/12/2	22. Total proceeds a	and cost repo	orted to the IRS.			
	1.000	226.98	05/11/22	228.00		-1.02	1 of 2 - Option sale to close-call 25
05/12/22	1.000 2.000	226.98 453.96	<i>05/11/22</i> Various	223.00 451.00		3.98 2.96	2 of 2 - Option sale to close-call <sup>25</sup> Total of 2 transactions
RIVN 05/13/2022 CALL \$24.00 / CUSI		455.90	v al ious	451.00		2.90	Total of 2 transactions
05/12/22	2.000	415.98	05/12/22	216.00		199.98	Ontion cale to along call 25
		415.98	03/12/22	∠10.00	***	199.98	Option sale to close-call <sup>25</sup>
RIVN 05/20/2022 CALL \$28.50 / CUSI	,	200.00	05/40/00	222.00		77.00	Ontion colo to close cell 25
05/19/22	3.000	299.98	05/19/22	222.00		77.98	Option sale to close-call <sup>25</sup>

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

16

Robinhood Markets Inc. as agent for **Robinhood Securities LLC** 

## **Proceeds from Broker and Barter Exchange Transactions**

Account 650938418

**1099-B\*** OMB No. 1545-0715

(continued)

02/01/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of property/CU	JSIP/Symbol						
1c- Date		1d- Proceeds &			1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or disposed	Quantity	6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1g- Wash sale loss disallowed (W)	7- Loss not allowed (X)	Additional information
<del></del>	•	(G)1055 OF (N)EL	acquireu	Other basis	uisailoweu (VV)	also not reported (2)	Additional information
RIVN 05/20/2022 CALL \$29.00	•	(a.a. =					
	2 transactions for 05/20/	•	•				
	1.000	145.98	05/19/22	106.00		39.98	1 of 2 - Option sale to close-call <sup>25</sup>
05/20/22	2.000 3.000	291.98 437.96	<i>05/19/22</i> Various	<i>212.00</i> 318.00	•••	<i>79.98</i> 119.96	2 of 2 - Option sale to close-call <sup>25</sup> Total of 2 transactions
RIVN 05/27/2022 CALL \$27.50		437.30	v arious	310.00	•••	110.00	Total of 2 transactions
RIVIN 05/21/2022 CALL \$21.50	•	/00 Total proposeds	and agat rang	anto d to the IDC			
	2 transactions for 05/26/	•	•			22.22	4 60 0 %
	1.000 2.000	140.98 281.98	05/26/22 05/26/22	114.00 228.00	•••	26.98 53.98	1 of 2 - Option sale to close-call <sup>25</sup> 2 of 2 - Option sale to close-call <sup>25</sup>
05/26/22	3.000	422.96	Various	342.00		80.96	Total of 2 transactions
RIVN 06/10/2022 PUT \$28.50 /			Variodo	0.2.00		00.00	
06/07/22	2.000	261.98	06/06/22	202.00		59.98	Option sale to close-put <sup>25</sup>
RIVN 06/10/2022 PUT \$29.50 /		2000	00/00/22	202.00		00.00	opilon care to elect par
06/06/22	2.000	227.98	06/06/22	176.00	•••	51.98	Option sale to close-put <sup>25</sup>
RIVN 06/17/2022 CALL \$26.50	/ CUSIP: / Symbol:						·
06/14/22	2.000	313.98	06/14/22	374.00		-60.02	Option sale to close-call <sup>25</sup>
RIVN 06/17/2022 CALL \$28.00	/ CUSIP: / Symbol:						
	2 transactions for 06/15/	/22. Total proceeds a	and cost repo	orted to the IRS.			
	1.000	121.99	06/15/22	91.00		30.99	1 of 2 - Option sale to close-call <sup>25</sup>
22/12/22	2.000	243.99	06/15/22	182.00		61.99	2 of 2 - Option sale to close-call <sup>25</sup>
06/15/22	3.000	365.98	Various	273.00		92.98	Total of 2 transactions
RIVN 06/17/2022 CALL \$29.00	/ CUSIP: / Symbol:						
	2 transactions for 06/13/	/22. Total proceeds a	and cost repo	orted to the IRS.			
	2.000	133.98	06/10/22	254.00		-120.02	1 of 2 - Option sale to close-call 25
00/40/00	1.000	63.98	06/10/22	127.00		-63.02	2 of 2 - Option sale to close-call <sup>25</sup>
06/13/22	3.000	197.96	Various	381.00		-183.04	Total of 2 transactions
RIVN 06/24/2022 CALL \$28.50	•						
06/23/22	3.000	218.98	06/22/22	372.00	•••	-153.02	Option sale to close-call <sup>25</sup>

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

16

Robinhood Markets Inc. as agent for Robinhood Securities LLC

## **Proceeds from Broker and Barter Exchange Transactions**

Account 650938418

2022 1099-B\* OMB No. 1545-0715

(continued)

02/01/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of prop	erty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RIVN 06/24/2022 CALL	\$29.00 / CUSIP: / Symbol:						
06/21/22	3.000	431.98	06/21/22	330.00		101.98	Option sale to close-call <sup>25</sup>
RIVN 06/24/2022 CALL	\$30.50 / CUSIP: / Symbol:						
06/24/22	1.000	0.00	06/24/22	35.00		-35.00	Option expiration- long call
RIVN 07/22/2022 CALL	\$32.50 / CUSIP: / Symbol:						
	2 transactions for 07/2	0/22. Total proceeds a	and cost report	ted to the IRS.			
	1.000	88.99	07/20/22	117.02		-28.03	1 of 2 - Option sale to close-call 25
	1.000	88.99	07/20/22	103.00		-14.01	2 of 2 - Option sale to close-call 25
07/20/22	2.000	177.98	Various	220.02		-42.04	Total of 2 transactions
07/20/22	1.000	88.98	07/20/22	103.00	14.02 W	0.00	Option sale to close-call <sup>25</sup>
	Security total:	266.96		323.02	14.02 W	-42.04	
RIVN 07/22/2022 CALL	\$34.00 / CUSIP: / Symbol:						
	3 transactions for 07/2	2/22. Total proceeds a	and cost report	ted to the IRS.			
	1.000	0.00	07/22/22	86.01		-86.01	1 of 3 - Option expiration- long call
	1.000	0.00	07/22/22	86.01		-86.01	2 of 3 - Option expiration- long call
	1.000	0.00	07/22/22	86.00		-86.00	3 of 3 - Option expiration- long call
07/22/22	3.000	0.00	Various	258.02		-258.02	Total of 3 transactions
	3 transactions for 07/2						
	1.000	56.99	07/21/22	75.00	18.01 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	56.99	07/21/22	75.00	18.01 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
07/22/22	<i>1.000</i> 3.000	<i>57.00</i> 170.98	07/21/22	75.00 225.00	18.00 W 54.02 W	0.00 0.00	3 of 3 - Option sale to close-call <sup>25</sup> Total of 3 transactions
07/22/22	Security total:	170.98 <b>170.98</b>	Various	483.02	54.02 W	- <b>258.02</b>	Total of 3 transactions
SNOW 04/08/2022 CALL	_ \$237.50 / CUSIP: / Symbol:	170.30		403.02	34.02 11	-230.02	
04/04/22	1.000	879.98	04/04/22	660.00		219.98	Option sale to close-call <sup>25</sup>
		079.90	04/04/22	000.00		219.90	Option sale to close-call
	\$400.00 / CUSIP: / Symbol:	44.00	00/47/00	046.00		474.00	Ontion colo to close call 25
08/18/22	1.000	44.98	08/17/22	216.00		-171.02	Option sale to close-call <sup>25</sup>
	37.00 / CUSIP: / Symbol:						
08/18/22	1.000	59.98	08/17/22	125.00		-65.02	Option sale to close-call <sup>25</sup>

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

# **Proceeds from Broker and Barter Exchange Transactions**

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/01/2023

Account 650938418

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of property	y/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 04/08/2022 CALL \$1,	,070.00 / CUSIP: / Symbol:						
04/07/22	1.000	1,079.98	04/07/22	1,425.00		-345.02	Option sale to close-call <sup>25</sup>
TSLA 04/14/2022 CALL \$1,	,000.00 / CUSIP: / Symbol:						
04/12/22 04/14/22	1.000 1.000 <b>Security total:</b>	1,779.98 54.98 <b>1,834.96</b>	04/12/22 04/14/22	1,470.00 857.00 <b>2,327.00</b>		309.98 -802.02 <b>-492.04</b>	Option sale to close-call <sup>25</sup> Option sale to close-call <sup>25</sup>
TSLA 04/14/2022 CALL \$1,	•	1,034.90		2,327.00	•••	-432.04	
04/12/22	1.000	1,059.98	04/12/22	835.00		224.98	Option sale to close-call <sup>25</sup>
TSLA 04/14/2022 CALL \$99 04/13/22	1.000	1,943.98	04/12/22	1,505.00		438.98	Option sale to close-call <sup>25</sup>
TSLA 04/29/2022 PUT \$81	•						-
04/29/22 TSLA 04/29/2022 PUT \$84:	1.000 5.00 / CUSIP: / Symbol:	13.98	04/28/22	1,055.00		-1,041.02	Option sale to close-put <sup>25</sup>
04/26/22	1.000	1,344.98	04/26/22	1,160.00		184.98	Option sale to close-put <sup>25</sup>
TSLA 04/29/2022 PUT \$850	0.00 / CUSIP: / Symbol:						
04/26/22	1.000	1,119.98	04/26/22	907.00	•••	212.98	Option sale to close-put <sup>25</sup>
TSLA 05/06/2022 CALL \$93	35.00 / CUSIP: / Symbol:						
05/03/22	1.000	1,254.98	05/03/22	2,025.00		-770.02	Option sale to close-call <sup>25</sup>
TSLA 05/06/2022 CALL \$97	•						
05/03/22	1.000	924.98	05/02/22	610.00		314.98	Option sale to close-call <sup>25</sup>
TSLA 05/06/2022 PUT \$82	•						
05/04/22	1.000	554.98	05/03/22	545.00		9.98	Option sale to close-put <sup>25</sup>
TSLA 05/13/2022 CALL \$90	•						
05/10/22	1.000	99.98	05/09/22	355.00		-255.02	Option sale to close-call <sup>25</sup>
TSLA 05/13/2022 PUT \$670	•						
05/11/22	1.000	424.98	05/11/22	300.00		124.98	Option sale to close-put <sup>25</sup>

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

# **Proceeds from Broker and Barter Exchange Transactions**

Account 650938418

**1099-B\*** OMB No. 1545-0715

(continued)

02/01/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of property/CUSIP/	Symbol						
1c- Date sold or		1d- Proceeds &	4h Data	4. Cast an	1f- Accrued mkt disc (D) &	Gain or loss(-) &	
disposed	Quantity	6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1g- Wash sale loss disallowed (W)		Additional information
TSLA 05/13/2022 PUT \$680.00 / CU	ISIP: / Symbol:		-				
05/11/22	1.000	334.98	05/10/22	395.00		-60.02	Option sale to close-put <sup>25</sup>
TSLA 05/20/2022 PUT \$440.00 / CU	ISIP: / Symbol:						
05/18/22	1.000	6.98	05/16/22	17.00		-10.02	Option sale to close-put <sup>25</sup>
TSLA 05/20/2022 PUT \$615.00 / CU	ISIP: / Symbol:						
05/18/22	1.000	225.98	05/18/22	186.00		39.98	Option sale to close-put <sup>25</sup>
TSLA 05/20/2022 PUT \$685.00 / CU	ISIP: / Symbol:						
05/20/22	1.000	609.97	05/20/22	405.00		204.97	Option sale to close-put <sup>25</sup>
TSLA 05/27/2022 CALL \$700.00 / CI	USIP: / Symbol:						
05/26/22	1.000	479.97	05/26/22	405.00		74.97	Option sale to close-call <sup>25</sup>
TSLA 05/27/2022 CALL \$750.00 / CI	USIP: / Symbol:						
05/27/22	1.000	924.96	05/27/22	553.00		371.96	Option sale to close-call <sup>25</sup>
TSLA 06/03/2022 PUT \$700.00 / CU	ISIP: / Symbol:						
06/03/22	1.000	124.98	06/03/22	765.00		-640.02	Option sale to close-put <sup>25</sup>
TSLA 06/03/2022 PUT \$715.00 / CU	ISIP: / Symbol:						
06/03/22	1.000	734.97	06/03/22	805.00		-70.03	Option sale to close-put <sup>25</sup>
TSLA 06/10/2022 PUT \$685.00 / CU	ISIP: / Symbol:						
06/10/22	1.000	163.98	06/10/22	700.00		-536.02	Option sale to close-put <sup>25</sup>
TSLA 06/24/2022 PUT \$645.00 / CU	ISIP: / Symbol:						
06/24/22	1.000	2.98	06/23/22	255.00		-252.02	Option sale to close-put <sup>25</sup>
TSLA 07/08/2022 CALL \$705.00 / CI	USIP: / Symbol:						
07/05/22	1.000	814.97	07/05/22	1,040.00		-225.03	Option sale to close-call <sup>25</sup>
TSLA 07/08/2022 CALL \$707.50 / CI	USIP: / Symbol:						
07/05/22	1.000	979.96	07/05/22	885.00		94.96	Option sale to close-call <sup>25</sup>
TSLA 07/15/2022 CALL \$710.00 / CI	USIP: / Symbol:						
07/13/22	1.000	1,294.96	07/13/22	1,040.00		254.96	Option sale to close-call <sup>25</sup>

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

## **Proceeds from Broker and Barter Exchange Transactions**

Account 650938418

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/01/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of property/CUSIP/	/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 07/15/2022 CALL \$727.50 / C	USIP: / Symbol:						
07/15/22	1.000	18.98	07/15/22	700.00		-681.02	Option sale to close-call <sup>25</sup>
TSLA 08/19/2022 CALL \$975.00 / C	USIP: / Symbol:						
08/15/22	1.000	949.96	08/15/22	900.00		49.96	Option sale to close-call <sup>25</sup>
TSLA 08/19/2022 CALL \$990.00 / C	USIP: / Symbol:						
08/16/22	1.000	424.98	08/16/22	790.00		-365.02	Option sale to close-call <sup>25</sup>
TSLA 08/26/2022 CALL \$915.00 / C	USIP: / Symbol:						
08/23/22	1.000	974.96	08/23/22	922.00		52.96	Option sale to close-call <sup>25</sup>
ULTA 08/26/2022 CALL \$435.00 / C	USIP: / Symbol:						
08/26/22	1.000	0.00	08/25/22	650.00		-650.00	Option expiration- long call
UPS 04/01/2022 CALL \$222.50 / CU	JSIP: / Symbol:						
04/01/22	1.000	0.00	03/29/22	190.00		-190.00	Option expiration- long call
UPST 03/25/2022 CALL \$133.00 / C	CUSIP: / Symbol:						
03/25/22	1.000	0.00	03/21/22	575.00		-575.00	Option expiration- long call
UPST 04/01/2022 CALL \$116.00 / C	CUSIP: / Symbol:						
03/29/22	1.000	709.98	03/29/22	565.00		144.98	Option sale to close-call <sup>25</sup>
UPST 04/08/2022 CALL \$107.00 / C	CUSIP: / Symbol:						
04/08/22	1.000	0.00	04/05/22	411.00		-411.00	Option expiration- long call
WSM 09/16/2022 CALL \$170.00 / C	USIP: / Symbol:						
08/25/22	1.000	839.97	08/24/22	659.00		180.97	Option sale to close-call <sup>25</sup>
AMAZON.COM, INC. COMMON ST	OCK / CUSIP: 023135	5106 / Symbol:					
3 t	ransactions for 07/08/2	22. Total proceeds a	and cost repo	orted to the IRS.			
	0.000 0.490 10.000	0.03 56.76 1,159.25	07/07/22 07/07/22 07/07/22	0.03 56.47 1,153.50	 	0.00 0.29 5.75	1 of 3 - Sale <sup>25</sup> 2 of 3 - Sale <sup>25</sup> 3 of 3 - Sale <sup>25</sup>
07/08/22	10.490	1,216.04	Various	1,210.00		6.04	Total of 3 transactions

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

## **Proceeds from Broker and Barter Exchange Transactions**

Account 650938418

**1099-B\*** OMB No. 1545-0715

(continued)

02/01/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of pro	perty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X)	Additional information
•	• • • • • • • • • • • • • • • • • • • •	. , , ,	•		disallowed (W)	aiso not reported (2)	Additional information
CROWDSTRIKE HOLI	DINGS, INC. CLA SS A COMMO						
	2 transactions for 06/	'08/22. Total proceeds a	and cost repo	rted to the IRS.			
	0.831	148.91	06/07/22	143.77		5.14	1 of 2 - Sale <sup>25</sup>
	1.000	179.30	06/07/22	173.11		6.19	2 of 2 - Sale <sup>25</sup>
06/08/22	1.831	328.21	Various	316.88	•••	11.33	Total of 2 transactions
IIO INC. AMERICAN I	DEPOSITARY S HARES, EACH	REPRESENTING ON	E C LASS A	ORDINARY SHAR	E / CUSIP: 62914V106 / Sym	ibol:	
06/07/22	2.905	54.90	06/06/22	55.44		-0.54	Sale <sup>25</sup>
RIVIAN AUTOMOTIVE	, INC. CLASS A COMMON STO	OCK / CUSIP: 76954A1	03 / Symbol:				
06/09/22	0.001 3 transactions for 06/	0.04 09/22. Total proceeds a	06/08/22 and cost repo	0.04 rted to the IRS.		0.00	Sale <sup>25</sup>
	0.632	18.34	06/08/22	19.52	1.18 W	0.00	1 of 3 - Sale <sup>25</sup>
	0.632	18.34	06/08/22	20.70	2.36 W	0.00	2 of 3 - Sale <sup>25</sup>
	9.366	271.64	06/08/22	289.13	17.49 W	0.00	3 of 3 - Sale <sup>25</sup>
06/09/22	10.631	308.32	Various	329.35	21.03 W	0.00	Total of 3 transactions
	3 transactions for 07/	06/22. Total proceeds a	and cost repo	rted to the IRS.			
	0.055	1.63	07/05/22	1.50		0.13	1 of 3 - Sale <sup>25</sup>
	9.366	276.50	07/05/22	265.60		10.90	2 of 3 - Sale <sup>25</sup>
	36.001	1,062.78	07/05/22	953.68		109.10	3 of 3 - Sale <sup>25</sup>
07/06/22	45.423	1,340.91	Various	1,220.78		120.13	Total of 3 transactions
	2 transactions for 07/	06/22. Total proceeds a	and cost repo	rted to the IRS.			
	0.055	1.63	07/05/22	1.67	0.04 W	0.00	1 of 2 - Sale <sup>25</sup>
	0.577	17.04	07/05/22	17.44	0.40 W	0.00	2 of 2 - Sale <sup>25</sup>
7/06/22	0.632	18.67	Various	19.11	0.44 W	0.00	Total of 2 transactions
	6 transactions for 07/	11/22. Total proceeds a	and cost repo	rted to the IRS.			
	0.241	7.76	07/08/22	8.02	0.26 W	0.00	1 of 6 - Sale <sup>25</sup>
	0.337	10.87	07/08/22	11.23	0.36 W	0.00	2 of 6 - Sale <sup>25</sup>
	2.658	85.79	07/08/22	86.79	1.00 W	0.00	3 of 6 - Sale <sup>25</sup>
	0.005	0.16	07/08/22	0.17	0.01 W	0.00	4 of 6 - Sale <sup>25</sup>
	0.236	7.60	07/08/22	7.94	0.34 W	0.00	5 of 6 - Sale <sup>25</sup>
	27.764	896.00	07/08/22	906.51	10.51 W	0.00	6 of 6 - Sale <sup>25</sup>
07/11/22	31.241	1,008.18	Various	1,020.66	12.48 W	0.00	Total of 6 transactions

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

16

Robinhood Markets Inc. as agent for **Robinhood Securities LLC** 

## **Proceeds from Broker and Barter Exchange Transactions**

Account 650938418

**1099-B\*** OMB No. 1545-0715

(continued)

02/01/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RIVIAN AUTOMOTIVE,	INC. CLASS A COMMON STO	OCK / CUSIP: 76954A1	03 / Symbol:	(cont'd)			
	6 transactions for 07/	13/22. Total proceeds a	and cost repor	ted to the IRS.			
	27.764	831.56	07/12/22	857.88		-26.32	1 of 6 - Sale <sup>25</sup>
	5.370	160.82	07/12/22	163.88		-3.06	2 of 6 - Sale <sup>25</sup>
	2.658	79.62	07/12/22	82.13		-2.51	3 of 6 - Sale <sup>25</sup>
	0.337	10.08	07/12/22	10.64		-0.56	4 of 6 - Sale <sup>25</sup>
	0.236	7.06	07/12/22	7.53		-0.47	5 of 6 - Sale <sup>25</sup>
	0.005	0.15	07/12/22	0.16		-0.01	6 of 6 - Sale <sup>25</sup>
07/13/22	36.370	1,089.29	Various	1,122.22		-32.93	Total of 6 transactions
	Security total:	3,765.41		3,712.16	33.95 W	87.20	
ESLA, INC. COMMON	STOCK / CUSIP: 88160R101	/ Symbol:					
05/27/22	0.793	580.53	05/26/22	555.93		24.60	Sale 25
06/03/22	0.999	727.02	06/02/22	759.00		-31.98	Sale <sup>25</sup>
	Security total:	1,307.55		1,314.93		-7.38	
HELEN OF TROY LIMIT	ED COMMON S TOCK / CUS	SIP: G4388N106 / Symb	ool:				
07/07/22	7.937	1,208.78	07/06/22	1,360.00		-151.22	Sale <sup>25</sup>
Totals :		45,051.09		54,909.45	101.99 W	-9,756.37	

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for
Robinhood Securities LLC
Fees and Expenses

02/01/2023

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
Margin charge		05/09/22	-5.00	Margin interest paid	
		06/06/22	-5.00	Margin interest paid	
		07/06/22	-5.00	Margin interest paid	
		08/05/22	-5.00	Margin interest paid	_
			-20.00	Total Margin interest paid	
				-	
			-20.00	Total Margin interest paid	_

## **2022 End Notes for Account 650938418**

25 Tax lot closed on a first in first out basis.

#### Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

#### Keep tax documents for your records.

#### 1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or nonSection 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

#### Instructions for Recipient

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a batter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to 1009/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

age 15 of 10

This page intentionally left blank.

Statement Date: 02/01/2023

# Robinhood Markets Inc. as agent for **Robinhood Crypto LLC**

85 Willow Rd

Menlo Park, CA 94025

Customer Service: 650-940-2700

## Tax Information Account 650938418C

Meghana Dandam 6936 Meridale Forest Dr Charlotte, NC 28269

11 - [ ] FATCA filing requirement (see instructions)	Summary Information 1			ent (see instructions)
DIVIDENDS AND DISTRIBUTIONS 2022 1099-DIV*	OMB No. 1545-0110	MISCELLANEOUS INFORMATION	2022 1099-MISC*	OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5, 2e)	0.00	2- Royalties		0.00
1b- Qualified dividends	0.00	3- Other income		0.00
2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)	0.00	4- Federal income tax withheld		0.00
2b- Unrecaptured Section 1250 gain	0.00	8- Substitute payments in lieu of dividends or into	erest	0.00
2c- Section 1202 gain	0.00	• •		
2d- Collectibles (28%) gain	0.00	SECTION 1256 CONTRACTS	2022 1099-B*	OMB No. 1545-0715
2e- Section 897 ordinary dividends	0.00	O. Duefit on (loos) modified in 2000 on placed cont		0.00
2f- Section 897 capital gain	0.00	8- Profit or (loss) realized in 2022 on closed cont		0.00
3- Nondividend distributions	0.00	9- Unrealized profit or (loss) on open contracts-1		0.00
4- Federal income tax withheld	0.00	10- Unrealized profit or (loss) on open contracts-1	2/31/2022	0.00
5- Section 199A dividends	0.00	11- Aggregate profit or (loss) on contracts		0.00
6- Investment expenses	0.00	If annicable presents from sale transactions	annaar aummarizad hala	out and are
8- Foreign country or US possession: 7- Foreign tax paid:	0.00	If applicable, proceeds from sale transactions of this decume		ow and are
9- Cash liquidation distributions	0.00	detailed in subsequent sections of this docume		
10- Noncash liquidation distributions	0.00	* This is important tax information and is being furnishe		
12- Exempt-interest dividends (includes line 13)	0.00	If you are required to file a return, a negligence penalty		
13- Specified private activity bond interest dividends (AMT)	0.00	you if this income is taxable and the IRS determines that	t it has not been report	ed.

## SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	B (basis not reported to the IRS)	1,202.68	1,198.77	0.00	0.00	3.91
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	1,202.68	1,198.77	0.00	0.00	3.91
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	1,202.68	1,198.77	0.00	0.00	3.91
Withholding		Amount				
Federal income	tax withheld	0.00				

Account 650938418C

Robinhood Markets Inc. as agent for Robinhood Crypto LLC

# **Summary Information**

(continued) 02/01/2023

INTEREST INCOME

2022

2022 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

2-	Interest income (not included in line 3) Early withdrawal penalty	0.00 0.00
	Interest on US Savings Bonds & Treasury obligations	0.00
-	Federal income tax withheld	0.00
5-	Investment expenses	0.00
	Foreign country or US possession: 6- Foreign tax paid:	0.00
8-	Tax-exempt interest (includes line 9)	0.00
9-	Specified private activity bond interest (AMT)	0.00
10-	Market discount (covered lots)	0.00
11-	Bond premium (covered lots)	0.00
12-	Bond premium on Treasury obligations (covered lots)	0.00
13-	Bond premium on tax-exempt bonds (categorized below)	0.00
	Tax-exempt obligations (covered lots)	0.00
	Tax-exempt private activity obligations (AMT, covered lots)	0.00
14-	Tax-exempt and tax credit bond CUSIP number	
	FATCA filing requirement [ ]	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued Treasury interest paid Tax-exempt accrued interest paid Tax-exempt accrued interest paid (AMT) Taxable accrued nonqualified interest paid Tax-exempt accrued nonqualified interest paid Tax-exempt accrued nonqualified interest paid Tax-exempt accrued nonqualified interest paid (AMT) Nonqualified interest Tax-exempt nonqualified interest Tax-exempt nonqualified interest (AMT) Interest shortfall on contingent payment debt Bond premium- Non Treasury obligations (noncovered lots) Bond premium- Treasury obligations (noncovered lots) Bond premium- Tax-exempt obligations (noncovered lots)	0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.0
Bond premium- Tax-exempt obligations (noncovered lots) Bond premium- Tax-exempt obligations (AMT, noncovered lots)	

### STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

## ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year Acquisition premium (covered lots) Acquisition premium (noncovered lots)	0.00 0.00 0.00
Original issue discount on Treasury obligations Acquisition premium, Treasury obligations (covered lots)	0.00 0.00
Acquisition premium, Treasury obligations (covered lots)  Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

### RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00 0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

6

## Robinhood Markets Inc. as agent for Robinhood Crypto LLC

## **Proceeds from Broker and Barter Exchange Transactions**

02/01/2023

**2022 1099-B\*** OMB No. 1545-0715

02/01/2020

Account 650938418C

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

## SHORT TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)

Report on Form 8949, Part I with Box B checked. Basis is NOT provided to the IRS. (Line 12)

"Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol							
Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information	
DOGEUSD / Symbol:							
4 transactions for 03/02	/22. Total proceeds ar	e reported to	the IRS.				
73.95000000000002842	9.86	02/26/22	9.63	···	0.23	1 of 4 - Sale <sup>25</sup>	
310.170000000000015916	41.34	02/26/22	40.37		0.97	2 of 4 - Sale <sup>25</sup>	
383.42000000000015916	51.11	02/26/22	50.00		1.11	3 of 4 - Sale <sup>25</sup>	
3,730.7899999999996362	498.36	03/02/22	499.99		-1.63	4 of 4 - Sale <sup>25</sup>	
4,498.330	600.67	Various	599.99		0.68	Total of 4 transactions	
CUSIP: ETCUSD / Symbol:							
4 transactions for 03/02	/22. Total proceeds ar	e reported to	the IRS.				
3.403251000000000026	102.47	02/26/22	98.94	•••	3.53	1 of 4 - Sale <sup>25</sup>	
11.12798800000000213	336.33	03/02/22	336.54		-0.21	2 of 4 - Sale <sup>25</sup>	
3.6000000000000000089	108.81	03/02/22	108.87		-0.06	3 of 4 - Sale <sup>25</sup>	
	Quantity  DOGEUSD / Symbol:  4 transactions for 03/02/ 73.950000000000002842 310.17000000000015916 383.4200000000015916 3,730.7899999999996362 4,498.330  CUSIP: ETCUSD / Symbol:  4 transactions for 03/02/ 3.403251000000000026 11.127988000000000213	1d- Proceeds & 6- Reported (G- Reported (G)ross or (N)et         Quantity       (G)ross or (N)et         DOGEUSD / Symbol:         4 transactions for 03/02/22. Total proceeds at 73.9500000000000002842         310.170000000000015916       41.34         383.42000000000015916       51.11         3,730.7899999999996362       498.36         4,498.330       600.67         CUSIP: ETCUSD / Symbol:         4 transactions for 03/02/22. Total proceeds at 3.4032510000000000026       102.47         11.1279880000000000213       336.33	Quantity         1d- Proceeds & 6- Reported (G)ross or (N)et         Date acquired           DOGEUSD / Symbol:         4 transactions for 03/02/22. Total proceeds are reported to 73.95000000000002842         9.86         02/26/22           310.17000000000015916         41.34         02/26/22           383.42000000000015916         51.11         02/26/22           3,730.7899999999996362         498.36         03/02/22           4,498.330         600.67         Various           CUSIP: ETCUSD / Symbol:           4 transactions for 03/02/22. Total proceeds are reported to 3.403251000000000026         102.47         02/26/22           11.1279880000000000213         336.33         03/02/22	Total proceeds & 6- Reported Goross or (N)et acquired other basis	Quantity         1d- Proceeds & 6- Reported (G)ross or (N)et         Date acquired         Cost or other basis         Accrued mkt disc (D) & Wash sale loss disallowed (W)           DOGEUSD / Symbol:           4 transactions for 03/02/22. Total proceeds are reported to the IRS.           73.9500000000000002842         9.86         02/26/22         9.63            310.170000000000015916         41.34         02/26/22         40.37            383.42000000000015916         51.11         02/26/22         50.00            3,730.78999999999996362         498.36         03/02/22         499.99            4,498.330         600.67         Various         599.99            CUSIP: ETCUSD / Symbol:           4 transactions for 03/02/22. Total proceeds are reported to the IRS.           3.403251000000000026         102.47         02/26/22         98.94            11.1279880000000000213         336.33         03/02/22         336.54	Quantity         1d- Proceeds 6- Reported (G)ross or (N)et         Date acquired         Cost or other basis         Accrued mkt disc (D) & Wash sale loss disallowed (W)         Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)           DOGEUSD / Symbol:           4 transactions for 03/02/22. Total proceeds are reported to the IRS.           73.9500000000000002842         9.86         02/26/22         9.63          0.23           310.17000000000015916         41.34         02/26/22         40.37          0.97           383.42000000000015916         51.11         02/26/22         50.00          1.11           3,730.7899999999996362         498.36         03/02/22         499.99          -1.63           4,498.330         600.67         Various         599.99          0.68           CUSIP: ETCUSD / Symbol:           4 transactions for 03/02/22. Total proceeds are reported to the IRS.           3.4032510000000000026         102.47         02/26/22         98.94          3.53           11.1279880000000000213         336.33         03/02/22         336.54          -0.21	

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for **Robinhood Crypto LLC** 

## **Proceeds from Broker and Barter Exchange Transactions**

Account 650938418C

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/01/2023

# SHORT TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5) Report on Form 8949, Part I with Box B checked. Basis is NOT provided to the IRS. (Line 12)

"Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol							
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	Date	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	
Ethereum Classic / C	CUSIP: ETCUSD / Symbol: (cont'd)						
	1.80000000000000044	54.40	03/02/22	54.43	···	-0.03	4 of 4 - Sale <sup>25</sup>
03/02/22	19.931239	602.01	Various	598.78		3.23	Total of 4 transactions
Totals :		1,202.68		1,198.77		3.91	

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for Robinhood Crypto LLC

# 2022 End Notes for Account 650938418C

25 Tax lot closed on a first in first out basis.

#### Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

#### Keep tax documents for your records.

#### 1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number**. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or nonSection 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR)

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

#### Instructions for Recipient

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank. Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a harter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to 1009/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

age 6 of (