Robinhood Markets Inc 85 Willow Road Menlo Park, CA 94025 650-940-2700



Mathew vijay kumar George 39541 Gallaudet Dr 2008 Fremont, CA 94538 Master Account Number for Import: 148208952 Document ID for Import: 1W4BZ4TC97U

Enclosed is your 2022 Consolidated Tax Statement. 1099 Tax Forms for the following accounts are included in this package:

148208952 - Robinhood Securities LLC

148208952C - Robinhood Crypto LLC

**New for 2022 Tax Year** - Where applicable, 1099 Tax Forms for Robinhood Securities, Robinhood Crypto, and Robinhood Money are being consolidated into one package and reported under our parent entity, Robinhood Markets Inc. We have done this to ensure all forms that you need are provided at the same time in this consolidated package.

**Important** - Please utilize the Master Account Number and Document ID listed above if filing through tax service providers such as TurboTax or H&R Block. The Document ID is necessary in order to directly import your 1099 data into the tax software so that you do not need to manually enter each transaction. This year there is only one Master Account Number and Document ID that needs to be used to import all of your data across all accounts and 1099s (including crypto). Please note that tax software providers may have limits on the total number of transactions that can be directly imported using the Document ID.

**CSVs** - In addition, a CSV file which includes the same information that is reported on your 1099 forms will be provided. The CSV is not a separate 1099. It is being provided as a supplement in a format that may be more helpful if you have a large number of transactions or if you are not using the Document ID to import all of your Securities, Crypto and Money activity. Tax software providers may have limits on the total number of transactions that can be uploaded and CSV files may not be compatible with tax service providers such as TurboTax or H&R Block.

**Robinhood Retirements Accounts** - If you had any reportable distributions or contributions within a Robinhood Retirement account, your 2022 tax forms 1099-R and/or 5498 will be delivered separately. Retirement accounts will be reported under Robinhood Securities LLC and will have their own unique Doc IDs for import to tax software providers such as TurboTax or H&R Block.

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Robinhood Markets Inc. as agent for Robinhood Securities LLC		nformation nt 148208952	Statement Date:	02/15/2023	2022
85 Willow Road Menlo Park, CA 94025	Mathew vijay kumar Geo 39541 Gallaudet Dr 2008 Fremont, CA 94538				·
PAYER'S TIN: 46-4364776	RECIPIENT'S TIN: XXX-	XX-2901			
11 - [ ] FATCA filing requirement (see instructions)	Summary	Information		13 - [ ] FATCA filing requiren	nent (see instructions)
DIVIDENDS AND DISTRIBUTIONS 2022 1099-DIV*	OMB No. 1545-0110	MISCELLANEOUS	INFORMATION	2022 1099-MISC*	OMB No. 1545-011
1a- Total ordinary dividends (includes lines 1b, 5, 2e)	917.60	2- Royalties			0.00
1b- Qualified dividends	649.76	3- Other income			0.00
2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)	0.45	4- Federal income tax	withheld		0.00
2b- Unrecaptured Section 1250 gain	0.09	8- Substitute payments	in lieu of dividends or	rinterest	0.00
2c- Section 1202 gain	0.00				
2d- Collectibles (28%) gain	0.00	SECTION 1256 CON	NTRACTS	2022 1099-B*	OMB No. 1545-071
2e- Section 897 ordinary dividends	0.00	8- Profit or (loss) realiz	od in 2022 on closed (	contracts	0.00
2f- Section 897 capital gain	0.00	9- Unrealized profit or			0.00
3- Nondividend distributions 4- Federal income tax withheld	85.23 <b>0.00</b>	10- Unrealized profit or			0.00
5- Section 199A dividends	107.04	11- Aggregate profit or (			0.00
6- Investment expenses	0.00	33 - 3 - 1 (	, , , , , , , , , , , , , , , , , , , ,		
8- Foreign country or US possession: See detail 7- Foreign tax pa				ons appear summarized belo	ow and are
9- Cash liquidation distributions	0.00	,	nt sections of this doc		
10- Noncash liquidation distributions	0.00	* This is important tax information			
12- Exempt-interest dividends (includes line 13)	0.00	If you are required to file a retu			
13- Specified private activity bond interest dividends (AMT)	0.00	you if this income is taxable and	the IRS determines	that it has not been report	ted.

## SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	5,792.46	5,854.94	0.00	3.23	-59.25
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	14.99	14.07	0.00	0.00	0.92
	Total Short-term	5,807.45	5,869.01	0.00	3.23	-58.33
Long	D (basis reported to the IRS)	3,537.27	3,492.62	0.00	0.04	44.69
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	9.90	3.10	0.00	0.00	6.80
	Total Long-term	3,547.17	3,495.72	0.00	0.04	51.49
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	9,354.62	9,364.73	0.00	3.27	-6.84
Withholding		Amount				
Federal income	tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2022 may require an amended tax form.

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# Robinhood Markets Inc. as agent for Robinhood Securities LLC

## Summary Information (continued)

INTEREST INCOME

2022

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

2022 1099-INT

1- Interest income (not included in line 3)	0.21
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
<ol><li>Specified private activity bond interest (AMT)</li></ol>	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
<ol><li>Bond premium on Treasury obligations (covered lots)</li></ol>	0.00
<ol><li>Bond premium on tax-exempt bonds (categorized below)</li></ol>	0.00
Tax-exempt obligations (covered lots)	0.00
Tax-exempt private activity obligations (AMT, covered lots)	0.00
14- Tax-exempt and tax credit bond CUSIP number	See detail
FATCA filing requirement []	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid Taxable accrued Treasury interest paid Tax-exempt accrued interest paid	0.00 0.00 0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

### STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

## **ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

## **RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	1.13
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Account 148208952

02/15/2023

## **Proceeds from Broker and Barter Exchange Transactions**

02/15/2023

Account 148208952

### **2022 1099-B\*** OMB No. 1545-0715

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

### FATCA filing requirement []

### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*) "Gain or loss (-)" is NOT reported to the IRS.

#### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 09/23/2022 PUT \$120	0.00 / CUSIP: / Symbol:						
09/13/22	2.000	3.98	08/12/22	24.00		-20.02	Option sale to close-put <sup>25</sup>
BBIG 06/03/2022 PUT \$2.50	0 / CUSIP: / Symbol:						
05/19/22	1.000	42.98	05/18/22	33.00		9.98	Option sale to close-put <sup>25</sup>
BBIG 06/17/2022 CALL \$3.0	00 / CUSIP: / Symbol:						
05/16/22	1.000	48.98	04/22/22	26.00		22.98	Option sale to close-call <sup>25</sup>
BNGO 06/17/2022 CALL \$3	.00 / CUSIP: / Symbol:						
06/17/22	1.000	0.00	04/22/22	7.00		-7.00	Option expiration- long call
CEI 09/09/2022 CALL \$1.00	) / CUSIP: / Symbol:						
08/17/22	5.000	9.98	08/01/22	10.00		-0.02	Option sale to close-call <sup>25</sup>

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## **Proceeds from Broker and Barter Exchange Transactions**

2022 1099-B\* OMB No. 1545-0715

### (continued)

02/15/2023

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS. **1a- Description of property/CUSIP/Symbol** 

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIS 06/17/2022 CALL \$220.	.00 / CUSIP: / Symbol:						
06/17/22	1.000	0.00	03/10/22	8.00		-8.00	Option expiration- long call
GETY 08/19/2022 PUT \$5.0	00 / CUSIP: / Symbol:						
08/19/22	1.000	0.00	07/29/22	10.00		-10.00	Option expiration- long put
GTYH 05/20/2022 PUT \$5.0	00 / CUSIP: / Symbol:						
05/20/22	1.000	0.00	04/29/22	5.00		-5.00	Option expiration- long put
NOG 03/18/2022 PUT \$20.0	00 / CUSIP: / Symbol:						
03/18/22	1.000	0.00	03/01/22	10.00		-10.00	Option expiration- long put
NVDA 05/20/2022 CALL \$18	87.50 / CUSIP: / Symbol:						
05/18/22	1.000	34.98	05/18/22	30.00		4.98	Option sale to close-call <sup>25</sup>
NVDA 06/17/2022 CALL \$20	65.00 / CUSIP: / Symbol:						
05/18/22	1.000	31.98	05/18/22	26.00		5.98	Option sale to close-call <sup>25</sup>
RIO 05/20/2022 CALL \$82.5	50 / CUSIP: / Symbol:						
05/20/22	1.000	0.00	04/21/22	57.00		-57.00	Option expiration- long call
SNAP 10/21/2022 CALL \$30	0.00 / CUSIP: / Symbol:						
	2 transactions for 10/2	1/22. Total proceeds a	and cost repor	ted to the IRS.			
	3.000	0.00	07/22/22	21.00		-21.00	1 of 2 - Option expiration- long call
10/21/22	2 <i>.000</i> 5.000	<i>0.00</i> 0.00	07/26/22	<i>10.00</i> 31.00		<i>-10.00</i> -31.00	2 of 2 - Option expiration- long call Total of 2 transactions
		0.00	Various	31.00		-31.00	Total of 2 transactions
SPY 12/20/2022 CALL \$390		00.00	40/40/00	40.00		44.00	Option colo to place coll <sup>25</sup>
12/16/22	1.000	89.98	12/16/22	48.00		41.98	Option sale to close-call <sup>25</sup>
SPY 12/20/2022 PUT \$370.	•						
	2 transactions for 12/19	•	and cost report 12/16/22			15.01	1  of  2 Option color to close put $25$
	1.000 1.000	11.99 11.99	12/16/22	27.00 13.00		-15.01 -1.01	1 of 2 - Option sale to close-put $^{25}$ 2 of 2 - Option sale to close-put $^{25}$
12/19/22	2.000	23.98	Various	40.00		-16.02	Total of 2 transactions
TGT 06/17/2022 CALL \$180	0.00 / CUSIP: / Symbol:						
06/17/22	1.000	0.00	05/19/22	81.00		-81.00	Option expiration- long call

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

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## **Proceeds from Broker and Barter Exchange Transactions** (continued)

2022 **1099-B\*** OMB No. 1545-0715

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (*Lines 2 & 5*) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*)

"Gain or loss (-)" is NOT reported to the IRS.

1c- Date		1d- Proceeds &			1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or disposed	Quantity	6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Additional information
VALE 01/20/2023 CALL	\$20.00 / CUSIP: / Symbol:						
08/11/22	2.000	43.98	07/20/22	26.00		17.98	Option sale to close-call 25
ADVAXIS INC COMMO	N STOCK / CUSIP: 007624406 /	Symbol:					
	2 transactions for 06/08	/22. Total proceeds a	and cost report	ted to the IRS.			
	1.250	4.49	07/12/21	49.79		-45.30	1 of 2 - Sale <sup>25</sup>
	1.250	4.48	09/16/21	50.00		-45.52	2 of 2 - Sale <sup>25</sup>
06/08/22	2.500	8.97	Various	99.79		-90.82	Total of 2 transactions
APPLIED DNA SCIENC	ES, INC. COM MON STOCK / C	USIP: 03815U300 / \$	Symbol:				
	5 transactions for 08/04	/22. Total proceeds a	and cost report	ted to the IRS.			
	30.000	190.79	11/05/21	218.40		-27.61	1 of 5 - Sale <sup>25</sup>
	20.000	127.19	11/05/21	140.00		-12.81	2 of 5 - Sale <sup>25</sup>
	20.000	127.20	11/05/21	126.00		1.20	3 of 5 - Sale <sup>25</sup>
	30.000	190.79	11/05/21	174.00		16.79	4 of 5 - Sale 25
	40.000	254.40	11/05/21	232.00		22.40	5 of 5 - Sale <sup>25</sup>
08/04/22	140.000	890.37	Various	890.40		-0.03	Total of 5 transactions
BIOTRICITY, INC. COM	MON STOCK / CUSIP: 09074H1	104 / Symbol:					
	2 transactions for 02/07	/22. Total proceeds a	and cost report	ted to the IRS.			
	1.000	3.33	01/25/22	2.89		0.44	1 of 2 - Sale <sup>25</sup>
	9.000	30.01	01/25/22	26.01		4.00	2 of 2 - Sale <sup>25</sup>
02/07/22	10.000	33.34	Various	28.90		4.44	Total of 2 transactions
CASSAVA SCIENCES,	INC. COMMON STOCK / CUSIP	: 14817C107 / Symb	ol:				
	6 transactions for 09/22	/22. Total proceeds a	and cost report	ted to the IRS.			
	1.000	44.00	11/04/21	93.00		-49.00	1 of 6 - Sale <sup>25</sup>
	2.000	88.00	03/07/22	71.79		16.21	2 of 6 - Sale <sup>25</sup>
	1.000	44.00	03/11/22	36.00		8.00	3 of 6 - Sale <sup>25</sup>
	0.086	3.80	03/31/22	3.30		0.50	4 of 6 - Sale 25
	0.086	3.99	04/05/22	2.90		1.09	5 of 6 - Sale <sup>25</sup>
	1.914	84.20	04/05/22	64.10		20.10	6 of 6 - Sale <sup>25</sup>
09/22/22	6.086	267.99	Various	271.09		-3.10	Total of 6 transactions

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02/15/2023

02/15/2023

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## **Proceeds from Broker and Barter Exchange Transactions** (continued)

2022 1099-B\* OMB No. 1545-0715

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (*Lines 2 & 5*) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*)

"Gain or loss (-)" is NOT reported to the IRS.

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DOMINO'S PIZZA INC.	/ CUSIP: 25754A201 / Symbol	:					
12/28/22	1.000	355.16	01/14/22	466.82	2.85 W	-108.81	Sale <sup>25</sup>
DOW INC. / CUSIP: 26	0557103 / Symbol:						
11/02/22	3.930 3 transactions for 11/	186.08 02/22. Total proceeds a	10/20/22 and cost repor	180.77 ted to the IRS.		5.31	Sale <sup>25</sup>
	0.012	0.56	03/14/22	0.72	0.16 W	0.00	1 of 3 - Sale <sup>25</sup>
	0.012	0.57	06/13/22	0.73	0.16 W	0.00	2 of 3 - Sale <sup>25</sup>
	0.014	0.68	09/12/22	0.74	0.06 W	0.00	3 of 3 - Sale <sup>25</sup>
11/02/22	0.038	1.81	Various	2.19	0.38 W	0.00	Total of 3 transactions
	Security total:	187.89		182.96	0.38 W	5.31	
ENPHASE ENERGY, II	NC. COMMON ST OCK / CUSI	P: 29355A107 / Symbo	d:				
10/27/22	0.794	245.34	12/21/21	147.04		98.30	Sale <sup>25</sup>
GRAN TIERRA ENERC	GY INC. / CUSIP: 38500T101 / 3	Symbol:					
	2 transactions for 01/	20/22. Total proceeds a	and cost repor	ted to the IRS.			
	1.000	0.95	11/02/21	0.92		0.03	1 of 2 - Sale 25
	2.000	1.90	11/02/21	1.74		0.16	2 of 2 - Sale <sup>25</sup>
01/20/22	3.000	2.85	Various	2.66		0.19	Total of 2 transactions
		2/01/22. Total proceeds		orted to the IRS.			
	100.000	94.96	11/02/21	91.00		3.96	1 of 10 - Sale <sup>25</sup>
	100.000	94.96	11/02/21	91.00		3.96	2 of 10 - Sale 25
	100.000	94.99	11/02/21	91.00		3.99	3 of 10 - Sale 25
	200.000	189.97	11/02/21	182.00		7.97	4 of 10 - Sale <sup>25</sup>
	200.000	189.98	11/02/21	182.00		7.98	5 of 10 - Sale 25
	300.000	284.96	11/02/21	273.00		11.96	6 of 10 - Sale 25
	100.000	94.95	11/03/21	87.00		7.95	7 of 10 - Sale 25
	100.000	94.96	11/03/21	87.00		7.96	8 of 10 - Sale 25
	200.000	189.92	11/03/21	174.00		15.92	9 of 10 - Sale 25
	600.000	569.74	11/03/21	522.00		47.74	10 of 10 - Sale <sup>25</sup>
02/01/22	2,000.000	1,899.39	Various	1,780.00		119.39	Total of 10 transactions
	Security total:	1,902.24		1,782.66		119.58	

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2022 10	<b>099-B*</b> OMB No. 1545-0715			(continued)		02/1	5/2023
eport on For Gain or loss	ERM TRANSACTIONS FOR COVE rm 8949, Part I with Box A checked. Basis is (-)" is NOT reported to the IRS.			y gains or losses	are identified in the Add	ditional information co	<b>Dlumn]</b> (Lines 2 & 5)
•	ion of property/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et		1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
•	I HOLDINGS, INC. COMMO N STOCK / CU	() ()	•				
	2 transactions for 09/23	,	•	ted to the IRS.			
	1.500	5.18	11/19/21	34.25		-29.07	1 of 2 - Sale <sup>25</sup>
	3.333	11.50	02/02/22	68.13		-56.63	2 of 2 - Sale <sup>25</sup>
09/23/22	4.833	16.68	Various	102.38		-85.70	Total of 2 transactions
	INTERACTIVE SOFTWARE, INC. COMMON						
	5 transactions for 08/16			•			
	0.081	11.12	09/15/21	9.00		2.12	1 of 5 - Sale <sup>25</sup>
	0.812	111.24	09/17/21	87.11		24.13	2 of 5 - Sale <sup>25</sup>
	0.081	11.12	11/02/21	9.90		1.22	3 of 5 - Sale <sup>25</sup>
	1.402	192.02	11/02/21	153.62		38.40	4 of 5 - Sale <sup>25</sup>
	1.624	222.48	11/02/21	174.00		48.48	5 of 5 - Sale <sup>25</sup>
)8/16/22	4.000	547.98	Various	433.63		114.35	Total of 5 transactions
-,	4 transactions for 08/29					114.00	
	0.547	67.76	11/02/21	59.98		7.78	1 of 4 - Sale <sup>25</sup>
	0.041	5.03	11/03/21	4.13		0.90	2 of 4 - Sale <sup>25</sup>
	0.365	45.25	11/03/21	37.14		8.11	3 of 4 - Sale <sup>25</sup>
	1.047	129.62	11/03/21	93.52		36.10	4 of 4 - Sale <sup>25</sup>
)8/29/22	2.000	247.66	Various	194.77		52.89	Total of 4 transactions
	Security total:	795.64		628.40		167.24	
OUGHBUIL <sup>-</sup>	T INDUSTRIES, INC. CO MMON STOCK /		/ Symbol:				
0/06/22	1.000	2.87	09/30/22	2.00		0.87	Sale <sup>25</sup>
11/14/22	1.000	3.19	11/04/22	2.00	•••	1.19	Sale <sup>25</sup>
	Security total:	6.06		4.00		2.06	
YNGA INC.	CLASS A COMMON STOC K / CUSIP: 989	86T108 / Symbol:		-			
	9 transactions for 05/24	•	and cost repoi	ted to the IRS.			
	2.000	7.00	09/15/21	7.00		0.00	1 of 9 - Merger
	20.000	70.00	09/17/21	70.00		0.00	2 of 9 - Merger
	2.000	7.00	11/02/21	7.00		0.00	3 of 9 - Merger
	40.000	140.00	11/02/21	140.00		0.00	4 of 9 - Merger
	48.000	168.00	11/02/21	168.00		0.00	5 of 9 - Merger
	1.000	3.50	11/03/21	3.50		0.00	6 of 9 - Merger

**Proceeds from Broker and Barter Exchange Transactions** 

Robinhood Markets Inc. as agent for

**Robinhood Securities LLC** 

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Account 148208952

Proceeds from Broker and Barter Exchange Transactions (continued)

2022 1099-B\* OMB No. 1545-0715

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of	property/CUSIP/Symbol
--------------------	-----------------------

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	
YNGA INC. CLASS A CC	MMON STOC K / CUSIP: 9	98986T108 / Symbol: (0	cont'd)				
	9.000	31.50	11/03/21	31.50		0.00	7 of 9 - Merger
	41.000	143.50	11/03/21	143.50		0.00	8 of 9 - Merger
	50.000	175.00	11/04/21	175.00		0.00	9 of 9 - Merger
05/24/22	213.000	745.50	Various	745.50		0.00	Total of 9 transactions
FERUM THERAPEUTICS	PLC ORDINA RY SHARE	/ CUSIP: G6333L200 /	Symbol:				
09/23/22	4.000	6.46	09/24/21	33.00		-26.54	Sale <sup>25</sup>
Totals :		5,792.46		5,854.94	3.23 W	-59.25	

### LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS. 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et		1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ACASTI PHARMA, INC. (	CLASS A CO MMON STOCK / C	USIP: 00430K873 / S	Symbol:				
	5 transactions for 09/23/2	2. Total proceeds an	d cost reporte	ed to the IRS.			
	5.375	3.16	02/08/21	39.06		-35.90	1 of 5 - Sale <sup>25</sup>
	1.125	0.66	02/11/21	9.98		-9.32	2 of 5 - Sale <sup>25</sup>
	1.250	0.74	03/02/21	7.42		-6.68	3 of 5 - Sale <sup>25</sup>
	0.875	0.51	03/03/21	4.82		-4.31	4 of 5 - Sale <sup>25</sup>
	1.375	0.81	07/13/21	6.04		-5.23	5 of 5 - Sale <sup>25</sup>
09/23/22	10.000	5.88	Various	67.32		-61.44	Total of 5 transactions
CELRX PHARMACEUT	ICALS, INC. C OMMON STOCK	/ CUSIP: 00444T209	/ Symbol:				
	4 transactions for 11/22/2	2. Total proceeds an	d cost reporte	ed to the IRS.			
	5.000	11.77	01/20/21	194.00		-182.23	1 of 4 - Sale <sup>25</sup>
	0.550	1.30	01/20/21	21.34		-20.04	2 of 4 - Sale 25
	5.000	11.77	10/08/21	97.00		-85.23	3 of 4 - Sale <sup>25</sup>
	0.050	0.12	10/19/21	1.00		-0.88	4 of 4 - Sale <sup>25</sup>
11/22/22	10.600	24.96	Various	313.34		-288.38	Total of 4 transactions

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Account 148208952

02/15/2023

2022 1	<b>099-B</b> * OMB No. 1545-0715			(continued)		02/1	5/2023
port on Fo	CRM TRANSACTIONS FOR COVERE form 8949, Part II with Box D checked. Basis is p s (-)" is NOT reported to the IRS.			ains or losses a	are identified in the Addi	tional information col	<b>lumn]</b> (Lines 2 & 5)
- Descript	tion of property/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et		1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVAXIS IN	IC COMMON STOCK / CUSIP: 007624406 / Sy	/mbol:	-				
	3 transactions for 06/08/22		nd cost reporte	ed to the IRS.			
6/08/22	3.125 2.500 2.500 8.125	11.22 8.97 8.98 29.17	01/22/21 01/25/21 02/08/21 Various	186.35 150.00 203.78 540.13	  	-175.13 -141.03 -194.80 -510.96	1 of 3 - Sale $2^5$ 2 of 3 - Sale $2^5$ 3 of 3 - Sale $2^5$ Total of 3 transactions
PA CORPO	ORATION COMMON STOCK / CUSIP: 03743Q	,					
	5 transactions for 12/28/22	2. Total proceeds ar	nd cost reporte	ed to the IRS.			
	1.000 2.000 5.000 1.000 3.000	46.25 92.50 231.24 46.25 138.74	03/27/20 03/27/20 03/27/20 03/31/20 03/31/20	4.80 10.00 26.90 4.54 13.20	··· ··· ···	41.45 82.50 204.34 41.71 125.54	1 of 5 - Sale <sup>25</sup> 2 of 5 - Sale <sup>25</sup> 3 of 5 - Sale <sup>25</sup> 4 of 5 - Sale <sup>25</sup> 5 of 5 - Sale <sup>25</sup>
2/28/22	12.000	554.98	Various	59.44		495.54	Total of 5 transactions
DMBARDIE	ER INC COMMON SHARES / CUSIP: 0977518	•					
	4 transactions for 06/16/22						25
	0.240 7.760 0.080 3.920	4.89 158.08 1.63 79.85	06/16/20 06/16/20 11/16/20 02/23/21	2.10 67.90 0.45 42.71	  	2.79 90.18 1.18 37.14	1 of 4 - Sale <sup>25</sup> 2 of 4 - Sale <sup>25</sup> 3 of 4 - Sale <sup>25</sup> 4 of 4 - Sale <sup>25</sup>
06/16/22 08/03/22	12.000 0.080 <b>Security total:</b>	244.45 1.30 <b>245.75</b>	Various 02/23/21	113.16 0.87 <b>114.03</b>	  	131.29 0.43 <b>131.72</b>	Total of 4 transactions Sale <sup>25</sup>
IAMONDB	ACK ENERGY, INC. COMMO N STOCK / CUS	SIP: 25278X109 / Sy	mbol:				
	2 transactions for 10/19/22	2. Total proceeds ar	nd cost reporte	ed to the IRS.			
10/19/22	2.500 0.500 3.000	366.50 73.30 439.80	<i>05/20/20 05/21/20</i> Various	38.98 7.70 46.68	 	327.52 65.60 393.12	1 of 2 - Sale <sup>25</sup> 2 of 2 - Sale <sup>25</sup> Total of 2 transactions

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**Proceeds from Broker and Barter Exchange Transactions** 

Robinhood Markets Inc. as agent for

**Robinhood Securities LLC** 

a- Description of prop	erty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et		1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DOW INC. / CUSIP: 260	)557103 / Symbol:						
	2 transactions for 11/0	2/22. Total proceeds an	nd cost reporte	ed to the IRS.			
11/02/22 11/02/22	1.000 0.018 1.018 0.014 Security total:	47.35 0.84 48.19 0.67 <b>48.86</b>	05/27/20 06/15/20 Various 09/14/20	39.84 0.70 40.54 0.71 <b>41.25</b>	  0.04 W <b>0.04 W</b>	7.51 0.14 7.65 0.00 <b>7.65</b>	1 of 2 - Sale $25$ 2 of 2 - Sale $25$ Total of 2 transactions Sale $25$
NPHASE ENERGY. IN	IC. COMMON ST OCK / CUSIF			11120		1100	
10/27/22	0.206	63.69	04/28/21	30.00		33.69	Sale <sup>25</sup>
BIO, INC. / CUSIP: 451		22.00		22.00			
09/23/22	10.000	3.27	01/25/21	16.80		-13.53	Sale <sup>25</sup>
	MMON STOCK / CUSIP: 45166		01/20/21	10.00		10.00	Culo
		/30/22. Total proceeds a	and cost renor	ted to the IRS			
	20.000 5.000 100.000 50.000 34.843 50.000 32.000 18.000 15.000 6.000 30.000 100.000	3.16 0.79 15.79 7.89 5.50 7.89 5.05 2.84 2.37 0.95 4.74 15.78	02/04/21 02/23/21 03/23/21 03/24/21 03/24/21 04/15/21 04/15/21 04/15/21 04/15/21 07/13/21 07/13/21 07/13/21 07/14/21 10/26/21	92.40 15.73 291.00 142.00 132.50 84.80 47.70 37.76 15.10 71.91 190.00	··· ··· ··· ··· ··· ··· ··· ···	-89.24 -14.94 -275.21 -134.11 -94.50 -124.61 -79.75 -44.86 -35.39 -14.15 -67.17 -174.22	$ \begin{array}{l} 1 \ of \ 12 \ - \ Sale \ ^{25} \\ 2 \ of \ 12 \ - \ Sale \ ^{25} \\ 3 \ of \ 12 \ - \ Sale \ ^{25} \\ 4 \ of \ 12 \ - \ Sale \ ^{25} \\ 5 \ of \ 12 \ - \ Sale \ ^{25} \\ 6 \ of \ 12 \ - \ Sale \ ^{25} \\ 7 \ of \ 12 \ - \ Sale \ ^{25} \\ 8 \ of \ 12 \ - \ Sale \ ^{25} \\ 9 \ of \ 12 \ - \ Sale \ ^{25} \\ 10 \ of \ 12 \ - \ Sale \ ^{25} \\ 11 \ of \ 12 \ - \ Sale \ ^{25} \\ 12 \ Sale \ ^{25} \\ 12 \ of \ 12 \ - \ Sale \ ^{25} \\ 12 \ of \ 12 \ - \ Sale \ ^{25} \\ 12 \ of \ 12 \ - \ Sale \ ^{25} \\ 12 \ of \ 12 \ - \ Sale \ ^{25} \\ 12 \ of \ 12 \ - \ Sale \ ^{25} \ ^{25} \ Sale \ ^{25} \ ^{25$
12/30/22	460.843	72.75	Various	1,220.90		-1,148.15	Total of 12 transactions
NSPIREMD INC. COM	MON STOCK / CUSIP: 45779A	•					
	3 transactions for 09/2	3/22. Total proceeds an	nd cost reporte	ed to the IRS.			
09/23/22	2.308 2.154 1.538 6.000	3.75 3.50 2.50 9.75	02/04/21 02/04/21 02/04/21 Various	21.08 18.60 13.40 53.08	  	-17.33 -15.10 -10.90 -43.33	1 of 3 - Sale <sup>25</sup> 2 of 3 - Sale <sup>25</sup> 3 of 3 - Sale <sup>25</sup> Total of 3 transactions

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Proceeds from Broker and Barter Exchange Transactions (continued)

**2022 1099-B\*** OMB No. 1545-0715

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Account 148208952

02/15/2023

2022	1099-B*	<b>099-B*</b> OMB No. 1545-0715			(continued)		02/1	02/15/2023		
port on	Form 8949	ANSACTIONS FOR COVER , Part II with Box D checked. Basis is OT reported to the IRS.			ains or losses	are identified in the Addi	tional information co	<b>umn]</b> (Lines 2 & 5)		
- Descri	iption of pr	operty/CUSIP/Symbol								
1c- Date sold or disposed		Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et		1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information		
GUAR I	HEALTH, IN	NC. COMMON STO CK / CUSIP: 47	010C607 / Symbol:							
		2 transactions for 10/28/	22. Total proceeds ar	nd cost report	ed to the IRS.					
		1.333	0.19	02/01/21	11.40		-11.21	1 of 2 - Sale <sup>25</sup>		
		1.667	0.23	03/23/21	9.93		-9.70	2 of 2 - Sale <sup>25</sup>		
0/28/22		3.000	0.42	Various	21.33		-20.91	Total of 2 transactions		
OHL'S C	ORPORAT	ION / CUSIP: 500255104 / Symbol:								
		2 transactions for 01/24/	22. Total proceeds ar	nd cost report	ed to the IRS.					
		1.000	61.05	04/22/20	16.11		44.94	1 of 2 - Sale 25		
		1.000	61.05	04/24/20	15.19		45.86	2 of 2 - Sale 25		
1/24/22		2.000	122.10	Various	31.30		90.80	Total of 2 transactions		
OCKHEE	ED MARTIN	CORP. / CUSIP: 539830109 / Sym	bol:							
0/28/22		1.000	487.20	10/26/21	330.00		157.20	Sale <sup>25</sup>		
CEWEN	I MINING IN	IC. / CUSIP: 58039P305 / Symbol:								
9/23/22		0.100	0.33	02/11/21	1.24		-0.91	Sale <sup>25</sup>		
ORTHEF	RN OIL AND	D GAS, INC. / CUSIP: 665531307 / 3	Symbol:							
		8 transactions for 10/19/	•	nd cost report	ed to the IRS.					
		1.700	, 59.57	, 06/01/20	13.12		46.45	1 of 8 - Sale 25		
		4.000	140.16	06/01/20	30.86		109.30	2 of 8 - Sale <sup>25</sup>		
		7.000	245.00	06/01/20	54.01		190.99	3 of 8 - Sale <sup>25</sup>		
		1.000	35.04	06/02/20	7.76		27.28	4 of 8 - Sale 25		
		1.700	60.01	06/02/20	12.92		47.09	5 of 8 - Sale <sup>25</sup>		
		3.300	115.63	06/02/20	25.08		90.55	6 of 8 - Sale <sup>25</sup>		
		3.300	116.49	06/02/20	25.15		91.34	7 of 8 - Sale <sup>25</sup>		
		5.000	176.50	06/02/20	38.13		138.37	8 of 8 - Sale <sup>25</sup>		
0/19/22		27.000	948.40	Various	207.03		741.37	Total of 8 transactions		
CIDEN	ITAL PETRO	OLEUM CORPORATI ON / CUSIP:	674599105 / Symbol:							
)1/25/22		2.000	74.20	03/24/20	20.00		54.20	Sale <sup>25</sup>		
., 20, 22		3 transactions for 05/13/				•••	01.20			
		0.002	0.11	07/16/20	0.03		0.08	1 of 3 - Sale 25		
		0.003	0.19	10/16/20	0.03		0.16	2 of 3 - Sale <sup>25</sup>		

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Account 148208952

Robinhood Securities LLC

Robinhood Markets Inc. as agent for

# Proceeds from Broker and Barter Exchange Transactions

Robinhood Markets Inc. as agent for
Robinhood Securities LLC

## **Proceeds from Broker and Barter Exchange Transactions** (continued)

2022 **1099-B\*** OMB No. 1545-0715

# LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1c- Date sold or	y/CUSIP/Symbol	1d- Proceeds & 6- Reported		1e- Cost or	1f- Accrued mkt disc (D) & 1g- Wash sale loss	Gain or loss(-) & 7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	also not reported (Z)	Additional information
OCCIDENTAL PETROLEU	JM CORPORATI ON / CUSIF	2: 674599105 / Symbol:	(cont'd)				
	0.001	0.08	01/19/21	0.03		0.05	3 of 3 - Sale 25
05/13/22	0.006	0.38	Various	0.09		0.29	Total of 3 transactions
	Security total:	74.58		20.09		54.49	
ONCONOVA THERAPEUT	FICS, INC. CO MMON STOC	K / CUSIP: 68232V801	/ Symbol:				
09/23/22	2.000	1.86	02/08/21	30.90		-29.04	Sale <sup>25</sup>
PHILLIPS 66 / CUSIP: 718	546104 / Symbol:						
	2 transactions for 10/1	9/22. Total proceeds an	nd cost reporte	ed to the IRS.			
	1.000	98.02	05/01/20	66.50		31.52	1 of 2 - Sale <sup>25</sup>
	1.000	98.02	08/07/20	61.65		36.37	2 of 2 - Sale 25
10/19/22	2.000	196.04	Various	128.15		67.89	Total of 2 transactions
	4 transactions for 10/2	1/22. Total proceeds an	nd cost reporte	ed to the IRS.			
	0.031	3.06	09/02/20	1.80		1.26	1 of 4 - Sale 25
	1.000	99.30	10/19/20	50.17		49.13	2 of 4 - Sale <sup>25</sup>
	0.057	5.62	11/04/20	2.75		2.87	3 of 4 - Sale 25
	0.913	90.62	11/04/20	44.39		46.23	4 of 4 - Sale <sup>25</sup>
10/21/22	2.000	198.60	Various	99.11		99.49	Total of 4 transactions
	Security total:	394.64		227.26		167.38	
POLARITYTE, INC. COMM	ION STOCK / CUSIP: 73109	4207 / Symbol:					
	3 transactions for 09/2	2/22. Total proceeds an	nd cost reporte	ed to the IRS.			
	0.354	0.34	01/13/21	10.00		-9.66	1 of 3 - Sale <sup>25</sup>
	1.832	1.76	07/13/21	39.79		-38.03	2 of 3 - Sale <sup>25</sup>
	0.814	0.78	07/13/21	17.74		-16.96	3 of 3 - Sale <sup>25</sup>
09/22/22	3.000	2.88	Various	67.53		-64.65	Total of 3 transactions
SURGALIGN HOLDINGS,	INC. COMMO N STOCK / CI	USIP: 86882C204 / Syn	nbol:				
09/23/22	0.833	2.88	07/09/21	29.25		-26.37	Sale <sup>25</sup>
ITERUM THERAPEUTICS	PLC ORDINA RY SHARE /	CUSIP: G6333L200 / Sy	/mbol:				
	3 transactions for 09/2	3/22. Total proceeds an	nd cost reporte	ed to the IRS.			
	0.067	0.11	12/15/20	0.93		-0.82	1 of 3 - Sale <sup>25</sup>
	0.533	0.86	12/31/20	7.68		-6.82	2 of 3 - Sale 25

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Account 148208952

02/15/2023

Robinh	ood Markets Inc. as agent for		Account 148208952
Robinh	ood Securities LLC	Proceeds from Broker and Barter Exchange Transaction	าร
2022	<b>1099-B*</b> OMB No. 1545-0715	(continued)	02/15/2023

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# LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et		1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	
ITERUM THERAPEUTICS PI	C ORDINA RY SHARE / C	USIP: G6333L200 / S	ymbol: (cont'o	(k			
	1.333	2.15	07/26/21	15.11		-12.96	3 of 3 - Sale <sup>25</sup>
09/23/22	1.933	3.12	Various	23.72		-20.60	Total of 3 transactions
Totals :		3,537.27		3,492.62	0.04 W	44.69	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

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# Robinhood Markets Inc. as agent for Robinhood Securities LLC

2022

## **Proceeds Not Reported to the IRS**

Account 148208952

02/15/2023

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

## SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked.

Description of property							
Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
WARNER BROS. DISCOVER	, INC. S ERIES A COM	MON STOCK / CUSIP:	934423104	/ Symbol:			
04/18/22	0.629	14.99	03/08/22	14.07		0.92	Gain or loss
Totals:		14.99		14.07		0.92	

### LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part II, with Box F checked.

Description of property							
Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
MFA FINANCIAL, INC / CUSI							
04/11/22	0.633	9.90	05/22/20	3.10		6.80	Gain or loss
Totals:		9.90		3.10		6.80	

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# Robinhood Markets Inc. as agent for Robinhood Securities LLC

2022

## **Detail for Dividends and Distributions**

Account 148208952

02/15/2023

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. There is also a holder level criteria for a dividend to be treated as Qualified. If the payment is from a common stock you are required to have held it for more than 60 days during the 121-day period that begins 60 days before the ex-dividend date of the dividend. If the dividend is from preferred shares and covers a period greater than 366 days, you must have held the preferred stock for more than 90 days during the 181-day period that begins 90 days before the ex-dividend date of the dividend.

Amounts presented in Box 2e as Section 897 Ordinary Dividends or Box 2f as Section 897 Capital Gains apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. If you are a US citizen, you should disregard this.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
AT&T INC.	00206R102		05/02/22	8.60	Qualified dividend	
			08/01/22	8.73	Qualified dividend	
			11/01/22	8.86	Qualified dividend	
				26.19	Total Dividends & distributions	
AIRBUS SE AMERICAN DEPOSITARY	009279100		05/06/22	6.91	Nonqualified dividend	
RECEIPTS - UNSPONSORED			05/06/22	-1.04	Foreign tax withheld-NL	
				6.91	Total Dividends & distributions	
				-1.04	Total Foreign tax withheld	
APA CORPORATION COMMON STOCK	03743Q108		02/22/22	1.51	Qualified dividend	
			05/23/22	1.51	Qualified dividend	
			08/22/22	1.51	Qualified dividend	
			11/22/22	3.03	Qualified dividend	
				7.56	Total Dividends & distributions	
APPLE INC. COMMON STOCK	037833100		05/12/22	0.56	Qualified dividend	
			08/11/22	0.56	Qualified dividend	
			11/10/22	0.56	Qualified dividend	
				1.68	Total Dividends & distributions	
BANK OF AMERICA CORPORATION	060505104		03/25/22	0.64	Qualified dividend	
			06/24/22	0.85	Qualified dividend	
			09/30/22	0.90	Qualified dividend	
			12/30/22	0.91	Qualified dividend	
				3.30	Total Dividends & distributions	

# **Detail for Dividends and Distributions**

# (continued)

02/15/2023

Account 148208952

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
BEST BUY COMPANY, INC.	086516101		04/14/22	0.58	Qualified dividend	
			07/05/22	4.99	Qualified dividend	
			10/11/22	7.69	Qualified dividend	
				13.26	Total Dividends & distributions	
B2GOLD CORP.	11777Q209		09/29/22	2.04	Nonqualified dividend	
			09/29/22	-0.31	Foreign tax withheld-CA	
			12/16/22	2.06	Nonqualified dividend	
			12/16/22	-0.31	Foreign tax withheld-CA	
				4.10	Total Dividends & distributions	
				-0.62	Total Foreign tax withheld	
CITIGROUP INC.	172967424		08/26/22	1.02	Qualified dividend	
			11/23/22	1.03	Qualified dividend	
				2.05	Total Dividends & distributions	
DIAMONDBACK ENERGY, INC. COMMO N	25278X109		03/11/22	6.00	Qualified dividend	
STOCK			05/23/22	23.61	Qualified dividend	
			05/23/22	7.03	Qualified dividend	
			08/23/22	23.63	Qualified dividend	
			08/23/22	7.71	Qualified dividend	
			11/25/22	11.34	Qualified dividend	
			11/25/22	5.63	Qualified dividend	
				84.95	Total Dividends & distributions	
DOMINO'S PIZZA INC.	25754A201		03/30/22	3.64	Qualified dividend	
			06/30/22	8.53	Qualified dividend	
			09/30/22	8.55	Qualified dividend	
			12/30/22	8.75	Qualified dividend	
				29.47	Total Dividends & distributions	
DOW INC.	260557103		03/11/22	0.72	Qualified dividend	
			06/10/22	0.73	Qualified dividend	
			09/09/22	0.74	Qualified dividend	
			12/09/22	0.05	Qualified dividend	
				2.24	Total Dividends & distributions	

# **Detail for Dividends and Distributions**

Account 148208952

2022			(continue	ed)	02/15/2023		
Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes	
EDEX CORPORATION	31428X106	State	04/01/22	1.12	Qualified dividend	Notes	
	01120/100		07/11/22	4.32	Qualified dividend		
			10/03/22	4.34	Qualified dividend		
				9.78	Total Dividends & distributions		
GLOBAL X LITHIUM & BATTERY TEC H ETF	37954Y855		07/08/22	0.12	Qualified dividend		
			01/09/23	1.03	Qualified dividend		
				1.15	Total Dividends & distributions		
IOME DEPOT, INC.	437076102		03/24/22	2.05	Qualified dividend		
			06/16/22	11.70	Qualified dividend		
			09/15/22	11.78	Qualified dividend		
			12/15/22	11.86	Qualified dividend		
				37.39	Total Dividends & distributions		
IONDA MOTOR CO., LTD.	438128308		06/13/22	7.44	Qualified dividend		
			06/13/22	-1.14	Foreign tax withheld-JA		
			12/12/22	34.19	Qualified dividend		
			12/12/22	-5.24	Foreign tax withheld-JA		
				41.63	Total Dividends & distributions		
				-6.38	Total Foreign tax withheld		
NTEL CORPORATION COMMON STOCK	458140100		03/01/22	0.47	Qualified dividend		
			06/01/22	9.23	Qualified dividend		
			09/01/22	11.13	Qualified dividend		
			12/01/22	12.72	Qualified dividend		
				33.55	Total Dividends & distributions		
NVESCO QQQ TRUST, SERIES 1	46090E103		04/29/22	0.46	Qualified dividend		
			07/29/22	1.92	Qualified dividend		
			10/31/22	1.90	Qualified dividend		
			12/30/22	2.40	Qualified dividend		
				6.68	Total Dividends & distributions		
NVESCO MORTGAGE CAPITAL INC.	46131B100		01/27/22	14.75	Section 199A dividend	03	
			01/27/22	11.43	Nondividend distribution	03	
			04/27/22	27.83	Section 199A dividend	03	
			04/27/22	21.57	Nondividend distribution	03	
				75.58	Total Dividends & distributions		

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2022

# **Detail for Dividends and Distributions**

## (continued)

02/15/2023

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
INVESCO MORTGAGE CAPITAL INC.	46131B704		07/27/22	34.30	Section 199A dividend	03
			07/27/22	26.60	Nondividend distribution	03
			10/27/22	27.53	Section 199A dividend	03
			10/27/22	21.35	Nondividend distribution	03
				109.78	Total Dividends & distributions	
INROSS GOLD CORPORATION	496902404		03/24/22	1.33	Nonqualified dividend	
			03/24/22	-0.20	Foreign tax withheld-CA	
			06/16/22	1.34	Nonqualified dividend	
			06/17/22	-0.20	Foreign tax withheld-CA	
			09/01/22	1.35	Nonqualified dividend	
			09/01/22	-0.20	Foreign tax withheld-CA	
			12/15/22	3.04	Nonqualified dividend	
			12/15/22	-0.46	Foreign tax withheld-CA	
				7.06	Total Dividends & distributions	
				-1.06	Total Foreign tax withheld	
OCKHEED MARTIN CORP.	539830109		03/25/22	2.80	Qualified dividend	
			06/24/22	2.82	Qualified dividend	
			09/23/22	2.84	Qualified dividend	
			12/30/22	0.06	Qualified dividend	
				8.52	Total Dividends & distributions	
/FA FINANCIAL, INC	55272X102		04/29/22	1.60	Nondividend distribution	03
MFA FINANCIAL, INC	55272X607		07/29/22	1.32	Nondividend distribution	03
·			10/31/22	1.36	Nondividend distribution	03
				2.68	Total Dividends & distributions	
ARATHON OIL CORPORATION	565849106		03/10/22	0.14	Qualified dividend	
			06/10/22	0.16	Qualified dividend	
			09/12/22	0.16	Qualified dividend	
			12/12/22	0.18	Qualified dividend	
				0.64	Total Dividends & distributions	
ARATHON PETROLEUM CORPORATION	56585A102		03/10/22	1.83	Qualified dividend	
			06/10/22	1.84	Qualified dividend	
			09/12/22	1.85	Qualified dividend	
			12/12/22	2.41	Qualified dividend	
				7.93	Total Dividends & distributions	

Account 148208952

2022

# **Detail for Dividends and Distributions**

## (continued)

02/15/2023
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Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
MICROSOFT CORPORATION COMMON S	594918104		06/09/22	1.24	Qualified dividend	
ТОСК			09/08/22	1.48	Qualified dividend	
			12/08/22	2.31	Qualified dividend	
				5.03	Total Dividends & distributions	
NOKIA CORPORATION	654902204		05/17/22	1.17	Qualified dividend	
			05/17/22	-0.41	Foreign tax withheld-FI	
			08/09/22	1.14	Qualified dividend	
			08/09/22	-0.40	Foreign tax withheld-FI	
			11/08/22	1.10	Qualified dividend	
			11/08/22	-0.39	Foreign tax withheld-FI	
				3.41	Total Dividends & distributions	
				-1.20	Total Foreign tax withheld	
IORTHERN OIL AND GAS, INC.	665531307		01/31/22	7.60	Qualified dividend	
			04/29/22	13.46	Qualified dividend	
			07/29/22	18.38	Qualified dividend	
			10/31/22	26.84	Qualified dividend	
				66.28	Total Dividends & distributions	
IVIDIA CORPORATION COMMON STOC K	67066G104		03/24/22	0.13	Qualified dividend	
			07/01/22	0.29	Qualified dividend	
			09/29/22	0.29	Qualified dividend	
			12/22/22	0.29	Qualified dividend	
				1.00	Total Dividends & distributions	
OCCIDENTAL PETROLEUM CORPORATI	674599105		01/14/22	0.02	Qualified dividend	
N HILLIPS 66	718546104		03/01/22	9.46	Qualified dividend	
			06/01/22	10.08	Qualified dividend	
			09/01/22	15.03	Qualified dividend	
			12/01/22	11.31	Qualified dividend	
				45.88	Total Dividends & distributions	
IONEER NATURAL RESOURCE CO.	723787107		12/15/22	2.35	Qualified dividend	
AYTHEON TECHNOLOGIES CORPORAT	75513E101		03/24/22	1.04	Qualified dividend	
ON			06/16/22	1.13	Qualified dividend	
			09/08/22	1.14	Qualified dividend	
			12/15/22	1.14	Qualified dividend	
				4.45	Total Dividends & distributions	

Account 148208952

Robinhood Markets Inc. as agent f Robinhood Securities LLC		tail for	Dividends a	nd Distribu		ount 148208952
2022			02/	15/2023		
Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
REALTY INCOME CORPORATION	756109104		04/18/22	0.23	Section 199A dividend	03
			04/18/22	0.01	Long-term capital gain	03
			04/18/22	0.01	Unrecaptured section 1250 gain	03
			05/13/22	0.23	Section 199A dividend	03
			05/13/22	0.01	Long-term capital gain	03
			05/13/22	0.01	Unrecaptured section 1250 gain	03
			06/15/22	0.23	Section 199A dividend	03
			06/15/22	0.01	Long-term capital gain	03
			06/15/22	0.01	Unrecaptured section 1250 gain	03
			07/15/22	0.23	Section 199A dividend	03
			07/15/22	0.01	Long-term capital gain	03
			07/15/22	0.01	Unrecaptured section 1250 gain	03
			08/15/22	0.23	Section 199A dividend	03
			08/15/22	0.01	Long-term capital gain	03
			08/15/22	0.01	Unrecaptured section 1250 gain	03
			09/15/22	0.23	Section 199A dividend	03
			09/15/22	0.01	Long-term capital gain	03
			09/15/22	0.01	Unrecaptured section 1250 gain	03
			10/14/22	0.23	Section 199A dividend	03
			10/14/22	0.01	Long-term capital gain	03
			10/14/22	0.01	Unrecaptured section 1250 gain	03
			11/15/22	0.23	Section 199A dividend	03
			11/15/22	0.01	Long-term capital gain	03
			11/15/22	0.01	Unrecaptured section 1250 gain	03
			12/15/22	0.24	Section 199A dividend	03
			12/15/22	0.01	Long-term capital gain	03
			12/15/22	0.01	Unrecaptured section 1250 gain	03
				2.26	Total Dividends & distributions	
RIO TINTO PLC	767204100		04/21/22	91.74	Qualified dividend	
			04/21/22	13.64	Qualified dividend	
			09/22/22	70.52	Qualified dividend	
				175.90	Total Dividends & distributions	

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# **Detail for Dividends and Distributions**

## (continued)

02/15/2023	

Account 148208952

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
SIRIUS XM HOLDINGS INC. COMMON	82968B103		02/25/22	0.25	Qualified dividend	
STOCK			02/25/22	0.02	Qualified dividend	
			05/25/22	0.02	Qualified dividend	
			08/31/22	0.02	Qualified dividend	
			11/30/22	0.03	Qualified dividend	
				0.34	Total Dividends & distributions	
TARBUCKS CORPORATION COMMON S	855244109		05/27/22	1.47	Qualified dividend	
OCK			08/26/22	1.48	Qualified dividend	
			11/25/22	1.61	Qualified dividend	
				4.56	Total Dividends & distributions	
UMMIT HOTEL PROPERTIES, INC.	866082100		08/31/22	0.24	Section 199A dividend	03
			08/31/22	0.12	Long-term capital gain	03
			08/31/22	0.06	Qualified dividend	03
			11/30/22	0.31	Section 199A dividend	03
			11/30/22	0.15	Long-term capital gain	03
			11/30/22	0.07	Qualified dividend	03
				0.95	Total Dividends & distributions	
WAN SEMICONDUCTOR MANUFACTU	874039100		07/14/22	0.92	Qualified dividend	
NG COMPANY LTD.			07/14/22	-0.19	Foreign tax withheld-TW	
			10/13/22	0.87	Qualified dividend	
			10/13/22	-0.18	Foreign tax withheld-TW	
				1.79	Total Dividends & distributions	
				-0.37	Total Foreign tax withheld	
KEDA PHARMACEUTICAL COMPANY	874060205		07/11/22	4.68	Qualified dividend	
MITED AMERICAN DEPOSITARY SH ARES	i		07/11/22	-0.72	Foreign tax withheld-JA	
ACH REPRESENTING 1/2 OF			12/12/22	4.76	Qualified dividend	
			12/13/22	-0.73	Foreign tax withheld-JA	
				9.44	Total Dividends & distributions	
				-1.45	Total Foreign tax withheld	
OYOTA MOTOR CORPORATION AMERI	892331307		06/06/22	2.89	Nonqualified dividend	
AN DEPOSITARY SHARES (EACH RE			06/06/22	-0.44	Foreign tax withheld-JA	
RESENTING TEN ORDINARY SHARES			12/07/22	2.34	Nonqualified dividend	
			12/07/22	-0.36	Foreign tax withheld-JA	
				5.23	Total Dividends & distributions	
				-0.80	Total Foreign tax withheld	

VOLVO AB AMERICAN DEPOSITARY R

ECEIPTS - UNSPONSORED (SWEDEN)

WALMART INC.

928854108

931142103

2022

## Detail for Dividends and Distributions (continued)

# 02/15/2023

Page

			•	1		
Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
VALE S.A.	91912E105		03/23/22	0.72	Nonqualified dividend	
			09/09/22	0.40	Nonqualified dividend	
			09/09/22	0.31	Nonqualified dividend	
			09/09/22	-0.05	Foreign tax withheld-BR	
				1.43	Total Dividends & distributions	
				-0.05	Total Foreign tax withheld	
VERIZON COMMUNICATIONS	92343V104		05/02/22	2.56	Qualified dividend	

08/01/22

11/01/22

04/28/22

04/28/22

04/04/22

05/31/22

09/06/22

2.60

2.68

7.84

136.07

-40.82

136.07

-40.82

1.12

1.12

1.13 3.37

1,003.28

-53.79

Qualified dividend

Qualified dividend

Qualified dividend

Qualified dividend

Qualified dividend

Nonqualified dividend

Foreign tax withheld-SW

Total Foreign tax withheld

**Total Dividends & distributions** 

**Total Dividends & distributions** 

**Total Dividends & distributions** 

**Total Dividends & distributions** 

Total Foreign tax withheld

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# Robinhood Markets Inc. as agent for Robinhood Securities LLC

2022

## **Detail for Interest Income**

Account 148208952

02/15/2023

This section of your tax information statement contains the payment level detail of taxable interest and associated bond premium. Market discount will be shown here only if you have elected to recognize it currently rather than at the time of sale or maturity. Bond premium and market discount for covered tax lots are totaled on Form 1099-INT and reported to the IRS. For noncovered tax lots, they are totaled and presented beneath the 1099-INT for informational purposes and are not reported to the IRS.

To provide a complete picture of activity for each investment, we also include here nonreportable transactions such as accrued interest paid on purchases and payment or receipt of nonqualified interest. Other amounts, such as federal, state and foreign tax withheld and investment expenses are shown as negative amounts but do not net against the reportable income totals.

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
Interest payment		08/31/22	0.06	Interest	
		09/30/22	0.03	Interest	
		10/31/22	0.06	Interest	
		11/30/22	0.01	Interest	
		12/30/22	0.05	Interest	
		_	0.21	Total Interest	

0.21 Total Interest

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# Robinhood Markets Inc. as agent for Robinhood Securities LLC

2022

## **Other Receipts and Reconciliations**

Account 148208952

02/15/2023

This section of your tax information statement contains the detail of the year-to-year adjustments between cash distributions and reportable income for Unit Investment Trusts, reflecting the fact that income is recognized at the time it is received by the trust, rather than when a distribution is made.

Also shown are distributions whose tax impact will be defined by other documentation. In the case of partnership distributions, you will receive Schedule K-1 (Form 1065) directly from the partnership(s). For deferred income, the Form 1099-DIV issued in the following year will characterize the amounts received.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
MFA FINANCIAL, INC	55272X607	01/31/23	1.13	Deferred income payment	03,24
		-	1.13	Total Deferred income payment	

02/15/2023

This schedule lists all income and foreign tax by country. Mutual Funds and Unit Investment Trusts (UITs) structured as Regulated Investment Companies (RICs) are listed separately with a country designation of "RIC."

-	Dividends						
Country	Security Description	CUSIP	Nonqualified	Qualified	Interest	Total Income	Tax
BR	VALE S.A.	91912E105	1.43	0.00	0.00	1.43	-0.05
Total BRAZIL			1.43	0.00	0.00	1.43	-0.05
CA	B2GOLD CORP.	11777Q209	4.10	0.00	0.00	4.10	-0.62
CA	KINROSS GOLD CORPORATION	496902404	7.06	0.00	0.00	7.06	-1.06
Total CANADA			11.16	0.00	0.00	11.16	-1.68
FI	NOKIA CORPORATION	654902204	0.00	3.41	0.00	3.41	-1.20
Total FINLAND			0.00	3.41	0.00	3.41	-1.20
JA	HONDA MOTOR CO., LTD.	438128308	0.00	41.63	0.00	41.63	-6.38
JA	TAKEDA PHARMACEUTICAL COMPANY LIMITED AMERICAN DEPOSITARY SH ARES (EACH REPRESENTING 1/2 OF	874060205	0.00	9.44	0.00	9.44	-1.45
JA	TOYOTA MOTOR CORPORATION AMERI CAN DEPOSITARY SHARES (EACH RE PRESENTING TEN ORDINARY SHARES	892331307	5.23	0.00	0.00	5.23	-0.80
Total JAPAN			5.23	51.07	0.00	56.30	-8.63
NL	AIRBUS SE AMERICAN DEPOSITARY RECEIPTS - UNSPONSORED	009279100	6.91	0.00	0.00	6.91	-1.04
Total NETHERL	ANDS		6.91	0.00	0.00	6.91	-1.04
SW	VOLVO AB AMERICAN DEPOSITARY R ECEIPTS - UNSPONSORED (SWEDEN)	928854108	136.07	0.00	0.00	136.07	-40.82
Total SWEDEN			136.07	0.00	0.00	136.07	-40.82
TW	TAIWAN SEMICONDUCTOR MANUFACTU RING COMPANY LTD.	874039100	0.00	1.79	0.00	1.79	-0.37
Total TAIWAN			0.00	1.79	0.00	1.79	-0.37

Robinhood	Markets Inc. as agent for				A	ccount 148208952	
Robinhood	Securities LLC	Foreign	<b>Income and Taxes S</b>	Summary			
2022			(continued)		02	2/15/2023	
			Divid	lends			
Country	Security Description	CUSIP	Nonqualified	Qualified	Interest	Total Income	Тах
UK	RIO TINTO PLC	767204100	0.00	175.90	0.00	175.90	0.00
Total UNITED	KINGDOM		0.00	175.90	0.00	175.90	0.00
Grand Total	Total Foreign Source Ir	come*		392.97			
	Qualified Foreign Source Foreign Tax			232.17 -53.79			
	2						

\* Total Foreign Source Income utilizes the "Total" column for RICs which may not always be supplied. \*\* Qualified Foreign Source Income utilizes the "Qualified" column for RICs which may not always be supplied.

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Robinhood Markets Inc. as agent for Robinhood Securities LLC 2022	Non-R	eportable	Actions		Account 1482089 02/15/2023	952
Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
CRYPTYDE, INC. COMMON STOCK	22890A104	06/30/22	15.10	0.00	Stock spinoff	
TAKE-TWO INTERACTIVE SOFTWARE, INC. COMMON STOCK	874054109	05/24/22	8.65	0.00	Merger	
WARNER BROS. DISCOVERY, INC. S ERIES A COMMON STOCK	934423104	04/11/22	3.00	0.00	Stock spinoff	
ZYNGA INC. CLASS A COMMON STOC K	98986T108	05/24/22	-213.00	0.00	Merger	
WALDENCAST ACQUISITION CORP. C LASS A ORDINARY SHARE	G9460C100	07/28/22	-10.00	0.00	Exchange	

07/28/22

10.00

0.00 Exchange

G9503X103

WALDENCAST PLC CLASS A ORDINAR Y SHARE

30

## 2022 End Notes for Account 148208952

- 03 The tax character of the distribution has been allocated based on information provided by the security issuer.
- Although distributions by mutual funds or REITs are ordinarily reported in the year of record date (2022), the payer will not determine the tax character of this distribution until the conclusion of 2023. It will be reported on your tax statement for that year.
- 25 Tax lot closed on a first in first out basis.

#### **Common Instructions for Recipient**

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

#### Keep tax documents for your records.

#### **1099-DIV Instructions for Recipient**

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

Line 1b. Shows the portion of the amount in Line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in Lines 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Form 1040.

Line 2b. Shows the portion of the amount in Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2c. Shows the portion of the amount in Line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

Line 2d. Shows the portion of the amount in Line 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2e. Shows the portion of the amount in Line 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

Line 2f. Shows the portion of the amount in Line 2a that is section 897 gain attributable to disposition of USRPI.

Note: Lines 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return. Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in Line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in Line 1a. Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Form 1040.

Line 8. This Line should be left blank if a RIC reported the foreign tax shown in Line 7.

#### Instructions for Recipient

Lines 9 and 10. Show cash and noncash liquidation distributions. Line 11. If the FATCA filing requirement box is checked, the payer is reporting on this

Form 1099 to satisfy its account reporting requirement under chapter is reporting on this Revenue Code. You may also have a filing requirement. See the Instructions for Form 8938.

Line 12. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040SR for where to report. This amount may be subject to backup withholding. See Line 4 above. Line 13. Shows exempt-interest dividends subject to the alternative minimum tax. This

amount is included in Line 12. See the Instructions for Form 6251. Lines 14-16. State income tax withheld reporting Lines.

Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

#### 1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation is identified in box 1a.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or 0 (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in contract capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or nonSection 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Line 11. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank. Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank. Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instructions to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

#### 1099-INT Instructions for Recipient

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you u did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

Line 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2022 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

Line 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction. Line 3. Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium. Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Line 5. Any amount shown is your share of investment expenses of a singleclass REMIC. This amount is included in line 1. Note: This amount is not deductible. Line 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions. Line 7. Shows the country or U.S. possession to which the foreign tax was paid.

Line 8. Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Forms 1040 and 1040-SR. This amount may be subject to backup withholding. See Line 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in line 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 10. For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-01D. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includible in taxable income as interest income.

Line 11. For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this line for a taxable covered security acquired at a premium and the paver is reporting premium amortization, the paver has reported a net amount of interest in line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4). Line 12. For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the paver has reported a net amount of interest in line 3. If the amount in line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1,171-2(a)(4).

Line 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this line for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in line 8 or 9, whichever is applicable. If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

Line 14. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s).

Lines 15-17. State tax withheld reporting lines.

Future developments. For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to <u>www.irs.gov/Form1099INT</u>.

Free File. Go to <u>www.irs.gov/FreeFile</u> to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

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Robinhood Markets Inc. as agent for Robinhood Crypto LLC		Tax Information Account 148208952C		02/15/2023	2022
85 Willow Rd Menlo Park, CA 94025 Customer Service: 650-940-2700	Mathew vijay kumar Ge 39541 Gallaudet Dr 200 Fremont, CA 94538				·
PAYER'S TIN: 46-4364776	RECIPIENT'S TIN: XX>	<-XX-2901			
11 - [] FATCA filing requirement (see instructions)	Summar	y Information		13 - [] FATCA filing requirer	ment (see instructions)
DIVIDENDS AND DISTRIBUTIONS 2022 1099-D	OMB No. 1545-0110	MISCELLANEOUS	INFORMATION	2022 1099-MISC*	OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5, 2e)	0.00	2- Royalties			0.00
1b- Qualified dividends	0.00	3- Other income			1.00
2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)	0.00	4- Federal income tax	withheld		0.00
2b- Unrecaptured Section 1250 gain	0.00	8- Substitute payments	in lieu of dividends of	r interest	0.00
2c- Section 1202 gain	0.00				
2d- Collectibles (28%) gain	0.00 0.00	SECTION 1256 CON	NTRACTS	2022 1099-B*	OMB No. 1545-0715
2e- Section 897 ordinary dividends 2f- Section 897 capital gain	0.00	8- Profit or (loss) realize	ed in 2022 on closed	contracts	0.00
3- Nondividend distributions	0.00	9- Unrealized profit or (			0.00
4- Federal income tax withheld	0.00	10- Unrealized profit or (	loss) on open contrac	ts-12/31/2022	0.00
5- Section 199A dividends	0.00	11- Aggregate profit or (	oss) on contracts		0.00
6- Investment expenses	0.00	If applicable, proceed	le from colo trancactiv	ons appear summarized bel	ow and aro
8- Foreign country or US possession: 7- Foreign tax paid:	0.00		nt sections of this doc		
9- Cash liquidation distributions	0.00	* This is important tax information			ue Service
10- Noncash liquidation distributions	0.00	If you are required to file a return			
12- Exempt-interest dividends (includes line 13)	0.00 0.00	you if this income is taxable and			
13- Specified private activity bond interest dividends (AMT)	0.00				

## SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	B (basis not reported to the IRS)	118.95	108.66	0.00	0.00	10.29
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	118.95	108.66	0.00	0.00	10.29
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	118.95	108.66	0.00	0.00	10.29
Withholding		Amount				
Federal income	tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2022 may require an amended tax form.

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## Robinhood Markets Inc. as agent for **Robinhood Crypto LLC**

2022

# **Summary Information**

(continued)

OMB No. 1545-0112

02/15/2023

Account 148208952C

#### **INTEREST INCOME** 2022 1099-INT

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

<ol> <li>Interest income (not included in line 3)</li> <li>Early withdrawal penalty</li> <li>Interest on US Services Pande 8 Traceury obligations</li> </ol>	0.00 0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
<ol> <li>Foreign country or US possession:</li> <li>6- Foreign tax paid:</li> </ol>	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
<ol><li>Bond premium on Treasury obligations (covered lots)</li></ol>	0.00
<ol><li>Bond premium on tax-exempt bonds (categorized below)</li></ol>	0.00
Tax-exempt obligations (covered lots)	0.00
Tax-exempt private activity obligations (AMT, covered lots)	0.00
14- Tax-exempt and tax credit bond CUSIP number	
FATCA filing requirement []	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid Taxable accrued Treasury interest paid	0.00 0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

### STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

## **ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

### **RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

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## Robinhood Markets Inc. as agent for Robinhood Crypto LLC

## **Proceeds from Broker and Barter Exchange Transactions**

02/15/2023

Account 148208952C

### **2022 1099-B\*** OMB No. 1545-0715

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

### FATCA filing requirement []

### SHORT TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)

Report on Form 8949, Part I with Box B checked. Basis is NOT provided to the IRS. (Line 12)

"Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS.

a- Description of	property/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et		Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
Dogecoin / CUSIP	: DOGEUSD / Symbol:						
	2 transactions for 04/2	5/22. Total proceeds ar	re reported to	the IRS.			
	1.000	0.14	06/21/21	0.20		-0.06	1 of 2 - Sale 25
	781.5599999999994543	109.41	04/25/22	100.00		9.41	2 of 2 - Sale 25
04/25/22	782.560	109.55	Various	100.20		9.35	Total of 2 transactions
hiba Inu / CUSIP	: SHIBUSD / Symbol:						
10/27/22	400,000.000	4.40	10/11/22	3.96		0.44	Sale <sup>25</sup>
11/10/22	500,000.000	5.00	11/09/22	4.50		0.50	Sale <sup>25</sup>
	Security total:	9.40		8.46		0.94	
Totals :	-	118.95		108.66		10.29	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

						Page	4 of	6
Robinhood Markets Inc. as agent for					Account	148208952C		
Robinhood Crypto LLC	I	Detail for Miscellaneous Infor	mation					
2022					02/15/2023	3		
Security description	CUSIP and/or symbol	Date	Amount	Transaction type		N	otes	
Avalanche	AVAXUSD	12/13/22	1.00	Other income				

1.00 Total Miscellaneous income Robinhood Markets Inc. as agent for Robinhood Crypto LLC

25 Tax lot closed on a first in first out basis.

#### **Common Instructions for Recipient**

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

#### Keep tax documents for your records.

#### 1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in contract or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or nonSection 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has hot been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

#### Instructions for Recipient

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank. Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For

Line 19. Shows the andounce of hondeductible loss in a wash sate transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank. Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 109-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

#### 1099-MISC Instructions for Recipient

Line 2. Report royalties from oil, gas, or mineral properties; copyrights; and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the Schedule E (Form 1040) instructions. For royalties on timber, coal, and iron ore, see Pub. 544.

Line 3. Generally, report this amount on the "Other income" line on Form 1040 (or Form 1040NR) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).

#### Line 4. See "Backup Withholding" section.

Line 8. Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040).

Line 13. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You may also have a filing requirement. See the Instructions for Form 8938.

Lines 16-18. Show state or local income tax withheld from the payments.

Future developments. For the latest information about developments related to Form 1099-MISC and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099MISC.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.