



Krishna Kundula
10106 Crowne Brook Cir
Franklin, TN 37067

Master Account Number for Import:

794693705

Document ID for Import:

X9DXG2BUH82

Enclosed is your 2022 Consolidated Tax Statement. 1099 Tax Forms for the following accounts are included in this package:

794693705 - Robinhood Securities LLC

794693705C - Robinhood Crypto LLC

New for 2022 Tax Year - Where applicable, 1099 Tax Forms for Robinhood Securities, Robinhood Crypto, and Robinhood Money are being consolidated into one package and reported under our parent entity, Robinhood Markets Inc. We have done this to ensure all forms that you need are provided at the same time in this consolidated package.

Important - Please utilize the Master Account Number and Document ID listed above if filing through tax service providers such as TurboTax or H&R Block. The Document ID is necessary in order to directly import your 1099 data into the tax software so that you do not need to manually enter each transaction. This year there is only one Master Account Number and Document ID that needs to be used to import all of your data across all accounts and 1099s (including crypto). Please note that tax software providers may have limits on the total number of transactions that can be directly imported using the Document ID.

CSVs - In addition, a CSV file which includes the same information that is reported on your 1099 forms will be provided. The CSV is not a separate 1099. It is being provided as a supplement in a format that may be more helpful if you have a large number of transactions or if you are not using the Document ID to import all of your Securities, Crypto and Money activity. Tax software providers may have limits on the total number of transactions that can be uploaded and CSV files may not be compatible with tax service providers such as TurboTax or H&R Block.

Robinhood Retirements Accounts - If you had any reportable distributions or contributions within a Robinhood Retirement account, your 2022 tax forms 1099-R and/or 5498 will be delivered separately. Retirement accounts will be reported under Robinhood Securities LLC and will have their own unique Doc IDs for import to tax software providers such as TurboTax or H&R Block.

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Robinhood Markets Inc. as agent for Robinhood Securities LLC 85 Willow Road Menlo Park, CA 94025 Customer Service: 650-940-2700 PAYER'S TIN: 46-4364776	Tax Information Account 794693705	Statement Date: 02/13/2023	2022
	Krishna Kundula 10106 Crowne Brook Cir Franklin, TN 37067 RECIPIENT'S TIN: XXX-XX-6806		

11 - [] FATCA filing requirement (see instructions) 13 - [] FATCA filing requirement (see instructions)

DIVIDENDS AND DISTRIBUTIONS 2022 1099-DIV* OMB No. 1545-0110	MISCELLANEOUS INFORMATION 2022 1099-MISC* OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5, 2e) 0.00 1b- Qualified dividends 0.00 2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f) 0.00 2b- Unrecaptured Section 1250 gain 0.00 2c- Section 1202 gain 0.00 2d- Collectibles (28%) gain 0.00 2e- Section 897 ordinary dividends 0.00 2f- Section 897 capital gain 0.00 3- Nondividend distributions 0.00 4- Federal income tax withheld 0.00 5- Section 199A dividends 0.00 6- Investment expenses 0.00 8- Foreign country or US possession: 7- Foreign tax paid: 0.00 9- Cash liquidation distributions 0.00 10- Noncash liquidation distributions 0.00 12- Exempt-interest dividends (includes line 13) 0.00 13- Specified private activity bond interest dividends (AMT) 0.00	2- Royalties 0.00 3- Other income 0.00 4- Federal income tax withheld 0.00 8- Substitute payments in lieu of dividends or interest 0.00 SECTION 1256 CONTRACTS 2022 1099-B* OMB No. 1545-0715 8- Profit or (loss) realized in 2022 on closed contracts 0.00 9- Unrealized profit or (loss) on open contracts-12/31/2021 0.00 10- Unrealized profit or (loss) on open contracts-12/31/2022 0.00 11- Aggregate profit or (loss) on contracts 0.00 <i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i> * This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	26,851.82	39,061.14	0.00	5,373.78	-6,835.54
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	26,851.82	39,061.14	0.00	5,373.78	-6,835.54
Long	D (basis reported to the IRS)	775.13	4,261.77	0.00	0.00	-3,486.64
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	775.13	4,261.77	0.00	0.00	-3,486.64
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	27,626.95	43,322.91	0.00	5,373.78	-10,322.18
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2022 may require an amended tax form.

Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 794693705

Summary Information

2022

(continued)

02/13/2023

INTEREST INCOME

2022 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
Tax-exempt obligations (covered lots)	0.00
Tax-exempt private activity obligations (AMT, covered lots)	0.00
14- Tax-exempt and tax credit bond CUSIP number FATCA filing requirement []	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 794693705

Proceeds from Broker and Barter Exchange Transactions

2022 1099-B* OMB No. 1545-0715

02/13/2023

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AKBA 04/14/2022 CALL \$2.00 / CUSIP: / Symbol:							
02/23/22	5.000	254.98	01/24/22	290.00	35.02 W	0.00	Option sale to close-call ²⁵
	3 transactions for 04/14/22. Total proceeds and cost reported to the IRS.						
	5.000	0.00	01/24/22	290.00	...	-290.00	1 of 3 - Option expiration- long call
	5.000	0.00	01/27/22	285.02	...	-285.02	2 of 3 - Option expiration- long call
	5.000	0.00	01/28/22	175.00	...	-175.00	3 of 3 - Option expiration- long call
04/14/22	15.000	0.00	Various	750.02	...	-750.02	Total of 3 transactions
	Security total:	254.98		1,040.02	35.02 W	-750.02	
CLSK 12/16/2022 CALL \$2.50 / CUSIP: / Symbol:							
12/16/22	2.000	0.00	11/22/22	28.00	...	-28.00	Option expiration- long call

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 794693705

Proceeds from Broker and Barter Exchange Transactions

2022 1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CLSK 12/16/2022 CALL \$5.00 / CUSIP: / Symbol:							
2 transactions for 11/03/22. Total proceeds and cost reported to the IRS.							
	3.000	38.99	09/30/22	93.00	54.01 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	12.99	10/04/22	30.00	17.01 W	0.00	2 of 2 - Option sale to close-call ²⁵
11/03/22	4.000	51.98	Various	123.00	71.02 W	0.00	Total of 2 transactions
8 transactions for 12/16/22. Total proceeds and cost reported to the IRS.							
	1.000	0.00	10/04/22	48.01	...	-48.01	1 of 8 - Option expiration- long call
	1.000	0.00	10/04/22	48.00	...	-48.00	2 of 8 - Option expiration- long call
	1.000	0.00	10/10/22	38.00	...	-38.00	3 of 8 - Option expiration- long call
	1.000	0.00	10/10/22	37.01	...	-37.01	4 of 8 - Option expiration- long call
	8.000	0.00	10/13/22	120.00	...	-120.00	5 of 8 - Option expiration- long call
	10.000	0.00	11/03/22	150.00	...	-150.00	6 of 8 - Option expiration- long call
	7.000	0.00	11/08/22	70.00	...	-70.00	7 of 8 - Option expiration- long call
	3.000	0.00	11/08/22	30.00	...	-30.00	8 of 8 - Option expiration- long call
12/16/22	32.000	0.00	Various	541.02	...	-541.02	Total of 8 transactions
	Security total:	51.98		664.02	71.02 W	-541.02	
LCID 01/20/2023 CALL \$47.00 / CUSIP: / Symbol:							
04/26/22	1.000	729.98	04/25/22	0.00	...	729.98	Short sale closed- call ²⁵ Option written: 01/06/22
NIO 01/20/2023 CALL \$40.00 / CUSIP: / Symbol:							
04/26/22	1.000	385.98	04/25/22	0.00	...	385.98	Short sale closed- call ²⁵ Option written: 01/06/22
PLTR 01/20/2023 CALL \$25.00 / CUSIP: / Symbol:							
05/13/22	1.000	176.98	05/12/22	0.00	...	176.98	Short sale closed- call ²⁵ Option written: 01/06/22
PSFE 01/20/2023 CALL \$8.00 / CUSIP: / Symbol:							
05/17/22	1.000	38.99	05/16/22	0.00	...	38.99	Short sale closed- call ²⁵ Option written: 01/06/22
06/07/22	1.000	37.99	06/06/22	0.00	...	37.99	Short sale closed- call ²⁵ Option written: 01/06/22
	Security total:	76.98		0.00	...	76.98	

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 794693705

Proceeds from Broker and Barter Exchange Transactions

2022 1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SOFI 01/20/2023 CALL \$17.50 / CUSIP: / Symbol:							
01/20/22	1.000	21.98	01/19/22	0.00	...	21.98	Short sale closed- call ²⁵ Option written: 01/06/22
SOFI 11/18/2022 CALL \$5.50 / CUSIP: / Symbol:							
2 transactions for 11/18/22. Total proceeds and cost reported to the IRS.							
	3.000	0.00	11/17/22	18.00	...	-18.00	1 of 2 - Option expiration- long call
	2.000	0.00	11/17/22	12.00	...	-12.00	2 of 2 - Option expiration- long call
11/18/22	5.000	0.00	Various	30.00	...	-30.00	Total of 2 transactions
SOFI 11/18/2022 CALL \$6.00 / CUSIP: / Symbol:							
7 transactions for 11/18/22. Total proceeds and cost reported to the IRS.							
	3.000	0.00	09/30/22	93.00	...	-93.00	1 of 7 - Option expiration- long call
	5.000	0.00	11/03/22	45.00	...	-45.00	2 of 7 - Option expiration- long call
	2.000	0.00	11/07/22	12.00	...	-12.00	3 of 7 - Option expiration- long call
	1.000	0.00	11/07/22	6.00	...	-6.00	4 of 7 - Option expiration- long call
	1.000	0.00	11/07/22	6.00	...	-6.00	5 of 7 - Option expiration- long call
	1.000	0.00	11/07/22	6.00	...	-6.00	6 of 7 - Option expiration- long call
	10.000	0.00	11/16/22	30.00	...	-30.00	7 of 7 - Option expiration- long call
11/18/22	23.000	0.00	Various	198.00	...	-198.00	Total of 7 transactions
AMTD IDEA GROUP AMERICAN DEPOS ITARY SHARES, EACH REPRESENTIN G TWO (2) CLASS A ORDINARY SHA / CUSIP: 00180G205 / Symbol:							
2 transactions for 12/15/22. Total proceeds and cost reported to the IRS.							
	5.000	8.35	08/02/22	79.59	...	-71.24	1 of 2 - Sale ²⁵
	25.000	41.75	09/14/22	97.00	...	-55.25	2 of 2 - Sale ²⁵
12/15/22	30.000	50.10	Various	176.59	...	-126.49	Total of 2 transactions
AKEBIA THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 00972D105 / Symbol:							
7 transactions for 01/24/22. Total proceeds and cost reported to the IRS.							
	150.000	292.48	12/10/21	387.00	...	-94.52	1 of 7 - Sale ²⁵
	21.000	40.94	12/28/21	64.26	...	-23.32	2 of 7 - Sale ²⁵
	21.000	40.95	12/28/21	64.27	...	-23.32	3 of 7 - Sale ²⁵
	21.000	40.95	12/28/21	64.26	...	-23.31	4 of 7 - Sale ²⁵
	21.000	40.95	12/28/21	64.26	...	-23.31	5 of 7 - Sale ²⁵
	16.000	31.20	12/28/21	48.96	...	-17.76	6 of 7 - Sale ²⁵

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 794693705

Proceeds from Broker and Barter Exchange Transactions

2022 1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AKEBIA THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 00972D105 / Symbol: (cont'd)							
	50.000	97.48	01/12/22	145.43	...	-47.95	7 of 7 - Sale ²⁵
01/24/22	300.000	584.95	Various	838.44	...	-253.49	Total of 7 transactions
	2 transactions for 01/24/22. Total proceeds and cost reported to the IRS.						
	100.000	193.99	12/10/21	258.00	32.01 W	-32.00	1 of 2 - Sale ²⁵
	100.000	193.99	12/10/21	258.00	64.01 W	0.00	2 of 2 - Sale ²⁵
01/24/22	200.000	387.98	Various	516.00	96.02 W	-32.00	Total of 2 transactions
	3 transactions for 03/30/22. Total proceeds and cost reported to the IRS.						
	200.000	159.98	03/08/22	490.00	...	-330.02	1 of 3 - Sale ²⁵
	258.000	206.37	03/21/22	624.36	...	-417.99	2 of 3 - Sale ²⁵
	42.000	33.59	03/21/22	101.64	...	-68.05	3 of 3 - Sale ²⁵
03/30/22	500.000	399.94	Various	1,216.00	...	-816.06	Total of 3 transactions
	Security total:	1,372.87		2,570.44	96.02 W	-1,101.55	
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol:							
11/10/22	10.000	959.52	10/27/22	899.06	...	60.46	Sale ²⁵
CANOO INC. CLASS A COMMON STOCK / CUSIP: 13803R102 / Symbol:							
01/13/22	50.000	346.61	01/07/22	348.25	...	-1.64	Sale ²⁵
07/18/22	100.000	399.32	07/12/22	412.00	12.68 W	0.00	Sale ²⁵
	Security total:	745.93		760.25	12.68 W	-1.64	
CLEANSPARK, INC. COMMON STOCK / CUSIP: 18452B209 / Symbol:							
	2 transactions for 01/24/22. Total proceeds and cost reported to the IRS.						
	50.000	277.49	11/19/21	910.00	...	-632.51	1 of 2 - Sale ²⁵
	50.000	277.49	11/30/21	891.60	...	-614.11	2 of 2 - Sale ²⁵
01/24/22	100.000	554.98	Various	1,801.60	...	-1,246.62	Total of 2 transactions
	6 transactions for 01/24/22. Total proceeds and cost reported to the IRS.						
	5.000	27.80	11/10/21	133.09	105.29 W	0.00	1 of 6 - Sale ²⁵
	9.000	50.04	11/10/21	208.28	158.24 W	0.00	2 of 6 - Sale ²⁵
	11.000	61.16	11/10/21	255.06	193.90 W	0.00	3 of 6 - Sale ²⁵
	25.000	139.00	11/10/21	583.41	444.41 W	0.00	4 of 6 - Sale ²⁵
	5.000	27.75	11/11/21	121.83	94.08 W	0.00	5 of 6 - Sale ²⁵
	45.000	249.74	11/11/21	963.87	714.13 W	0.00	6 of 6 - Sale ²⁵
01/24/22	100.000	555.49	Various	2,265.54	1,710.05 W	0.00	Total of 6 transactions

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 794693705

Proceeds from Broker and Barter Exchange Transactions

2022 1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CLEANSPARK, INC. COMMON STOCK / CUSIP: 18452B209 / Symbol: (cont'd)							
<i>2 transactions for 03/18/22. Total proceeds and cost reported to the IRS.</i>							
	25.000	303.75	12/10/21	326.25	...	-22.50	1 of 2 - Sale ²⁵
	75.000	911.23	12/14/21	922.50	...	-11.27	2 of 2 - Sale ²⁵
03/18/22	100.000	1,214.98	Various	1,248.75	...	-33.77	Total of 2 transactions
	Security total:	2,325.45		5,315.89	1,710.05 W	-1,280.39	
COCRYSTAL PHARMA, INC. COMMON STOCK / CUSIP: 19188J409 / Symbol:							
11/17/22	8.375	25.18	07/14/22	41.98	...	-16.80	Sale ²⁵
INFOSYS LIMITED AMERICAN DEPOS ITARY SHARES / CUSIP: 456788108 / Symbol:							
01/12/22	50.000	1,304.06	01/11/22	1,252.28	...	51.78	Sale ²⁵
LUCID GROUP, INC. COMMON STOCK / CUSIP: 549498103 / Symbol:							
<i>3 transactions for 01/11/22. Total proceeds and cost reported to the IRS.</i>							
	10.000	450.00	11/17/21	534.24	84.24 W	0.00	1 of 3 - Sale ²⁵
	10.000	450.00	11/17/21	536.33	86.33 W	0.00	2 of 3 - Sale ²⁵
	30.000	1,349.98	11/29/21	1,615.80	265.82 W	0.00	3 of 3 - Sale ²⁵
01/11/22	50.000	2,249.98	Various	2,686.37	436.39 W	0.00	Total of 3 transactions
01/13/22	25.000	1,077.22	12/02/21	1,196.51	119.29 W	0.00	Sale ²⁵
<i>2 transactions for 01/14/22. Total proceeds and cost reported to the IRS.</i>							
	5.000	210.17	12/02/21	239.30	...	-29.13	1 of 2 - Sale ²⁵
	15.000	630.49	12/06/21	620.16	...	10.33	2 of 2 - Sale ²⁵
01/14/22	20.000	840.66	Various	859.46	...	-18.80	Total of 2 transactions
	Security total:	4,167.86		4,742.34	555.68 W	-18.80	
MATTERPORT, INC. CLASS A COMMO N STOCK / CUSIP: 577096100 / Symbol:							
<i>2 transactions for 01/24/22. Total proceeds and cost reported to the IRS.</i>							
	35.000	326.90	01/19/22	449.75	...	-122.85	1 of 2 - Sale ²⁵
	15.000	140.10	01/19/22	192.75	...	-52.65	2 of 2 - Sale ²⁵
01/24/22	50.000	467.00	Various	642.50	...	-175.50	Total of 2 transactions
<i>2 transactions for 07/12/22. Total proceeds and cost reported to the IRS.</i>							
	24.000	93.36	04/26/22	146.64	53.28 W	0.00	1 of 2 - Sale ²⁵
	76.000	295.63	04/26/22	464.36	168.73 W	0.00	2 of 2 - Sale ²⁵
07/12/22	100.000	388.99	Various	611.00	222.01 W	0.00	Total of 2 transactions

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 794693705

Proceeds from Broker and Barter Exchange Transactions

2022 1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MATTERPORT, INC. CLASS A COMMO N STOCK / CUSIP: 577096100 / Symbol: (cont'd)							
2 transactions for 08/11/22. Total proceeds and cost reported to the IRS.							
	76.000	456.74	08/10/22	548.73	...	-91.99	1 of 2 - Sale ²⁵
	24.000	144.23	08/10/22	173.28	...	-29.05	2 of 2 - Sale ²⁵
08/11/22	100.000	600.97	Various	722.01	...	-121.04	Total of 2 transactions
	Security total:	1,456.96		1,975.51	222.01 W	-296.54	
MULLEN AUTOMOTIVE, INC. COMMON STOCK / CUSIP: 62526P109 / Symbol:							
03/14/22	200.000	379.98	03/08/22	240.00	...	139.98	Sale ²⁵
03/18/22	100.000	299.99	03/11/22	118.93	...	181.06	Sale ²⁵
5 transactions for 03/21/22. Total proceeds and cost reported to the IRS.							
	100.000	407.00	03/11/22	118.93	...	288.07	1 of 5 - Sale ²⁵
	1.000	2.86	03/14/22	1.70	...	1.16	2 of 5 - Sale ²⁵
	7.000	20.06	03/14/22	11.90	...	8.16	3 of 5 - Sale ²⁵
	90.000	257.85	03/14/22	153.00	...	104.85	4 of 5 - Sale ²⁵
	92.000	263.57	03/14/22	156.40	...	107.17	5 of 5 - Sale ²⁵
03/21/22	290.000	951.34	Various	441.93	...	509.41	Total of 5 transactions
03/22/22	10.000	33.20	03/14/22	17.00	...	16.20	Sale ²⁵
7 transactions for 03/22/22. Total proceeds and cost reported to the IRS.							
	28.000	92.96	03/21/22	93.80	0.84 W	0.00	1 of 7 - Sale ²⁵
	1.000	3.32	03/21/22	3.35	0.03 W	0.00	2 of 7 - Sale ²⁵
	21.000	69.72	03/21/22	70.35	0.63 W	0.00	3 of 7 - Sale ²⁵
	1.000	3.32	03/21/22	3.38	0.06 W	0.00	4 of 7 - Sale ²⁵
	28.000	92.96	03/21/22	94.64	1.68 W	0.00	5 of 7 - Sale ²⁵
	1.000	3.32	03/21/22	3.35	0.03 W	0.00	6 of 7 - Sale ²⁵
	10.000	33.20	03/21/22	33.80	0.60 W	0.00	7 of 7 - Sale ²⁵
03/22/22	90.000	298.80	Various	302.67	3.87 W	0.00	Total of 7 transactions
	Security total:	1,963.31		1,120.53	3.87 W	846.65	
NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE C LASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
3 transactions for 06/15/22. Total proceeds and cost reported to the IRS.							
	30.000	607.18	08/31/21	1,369.36	...	-762.18	1 of 3 - Sale ²⁵
	20.000	404.79	08/31/21	776.10	...	-371.31	2 of 3 - Sale ²⁵

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Robinhood Markets Inc. as agent for
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Account 794693705

Proceeds from Broker and Barter Exchange Transactions

2022 1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	50.000	1,011.97	09/28/21	1,758.50	...	-746.53	3 of 3 - Sale ²⁵
06/15/22	100.000	2,023.94	Various	3,903.96	...	-1,880.02	Total of 3 transactions
	4 transactions for 12/21/22. Total proceeds and cost reported to the IRS.						
	15.000	174.04	01/21/22	421.19	...	-247.15	1 of 4 - Sale ²⁵
	35.000	406.09	01/31/22	823.87	...	-417.78	2 of 4 - Sale ²⁵
	25.000	290.06	03/29/22	536.25	...	-246.19	3 of 4 - Sale ²⁵
	25.000	290.06	04/07/22	544.75	...	-254.69	4 of 4 - Sale ²⁵
12/21/22	100.000	1,160.25	Various	2,326.06	...	-1,165.81	Total of 4 transactions
	Security total:	3,184.19		6,230.02	...	-3,045.83	
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol:							
09/01/22	50.000	129.99	11/11/21	499.32	...	-369.33	Sale ²⁵
RETAIL VALUE INC. COMMON SHARE S / CUSIP: 76133Q102 / Symbol:							
	2 transactions for 01/18/22. Total proceeds and cost reported to the IRS.						
	50.000	322.24	01/14/22	320.96	...	1.28	1 of 2 - Sale ²⁵
	150.000	966.73	01/14/22	963.75	...	2.98	2 of 2 - Sale ²⁵
01/18/22	200.000	1,288.97	Various	1,284.71	...	4.26	Total of 2 transactions
ROOT, INC. CLASS A COMMON STOCK / CUSIP: 77664L108 / Symbol:							
	3 transactions for 03/18/22. Total proceeds and cost reported to the IRS.						
	50.000	107.49	12/10/21	360.09	...	-252.60	1 of 3 - Sale ²⁵
	50.000	107.50	12/10/21	360.08	...	-252.58	2 of 3 - Sale ²⁵
	50.000	107.49	12/10/21	300.58	...	-193.09	3 of 3 - Sale ²⁵
03/18/22	150.000	322.48	Various	1,020.75	...	-698.27	Total of 3 transactions
	3 transactions for 03/18/22. Total proceeds and cost reported to the IRS.						
	50.000	107.50	12/10/21	547.59	440.09 W	0.00	1 of 3 - Sale ²⁵
	50.000	107.49	12/10/21	487.34	379.85 W	0.00	2 of 3 - Sale ²⁵
	50.000	107.50	12/10/21	429.00	321.50 W	0.00	3 of 3 - Sale ²⁵
03/18/22	150.000	322.49	Various	1,463.93	1,141.44 W	0.00	Total of 3 transactions
	Security total:	644.97		2,484.68	1,141.44 W	-698.27	

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Robinhood Markets Inc. as agent for
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Account 794693705

Proceeds from Broker and Barter Exchange Transactions

2022 1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SOFI TECHNOLOGIES, INC. COMMON STOCK / CUSIP: 83406F102 / Symbol:							
3 transactions for 05/18/22. Total proceeds and cost reported to the IRS.							
	50.000	360.99	11/22/21	949.45	588.46 W	0.00	1 of 3 - Sale ²⁵
	24.000	173.27	12/28/21	367.68	194.41 W	0.00	2 of 3 - Sale ²⁵
	26.000	187.71	12/28/21	398.32	210.61 W	0.00	3 of 3 - Sale ²⁵
05/18/22	100.000	721.97	Various	1,715.45	993.48 W	0.00	Total of 3 transactions
08/03/22	50.000	399.99	01/21/22	718.99	...	-319.00	Sale ²⁵
3 transactions for 08/03/22. Total proceeds and cost reported to the IRS.							
	30.000	239.99	01/11/22	420.79	180.80 W	0.00	1 of 3 - Sale ²⁵
	50.000	399.98	01/18/22	652.49	252.51 W	0.00	2 of 3 - Sale ²⁵
	20.000	159.99	01/18/22	259.19	99.20 W	0.00	3 of 3 - Sale ²⁵
08/03/22	100.000	799.96	Various	1,332.47	532.51 W	0.00	Total of 3 transactions
	Security total:	1,921.92		3,766.91	1,525.99 W	-319.00	
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol:							
3 transactions for 03/24/22. Total proceeds and cost reported to the IRS.							
	200.000	141.98	11/12/21	166.50	...	-24.52	1 of 3 - Sale ²⁵
	200.000	141.98	11/12/21	166.50	...	-24.52	2 of 3 - Sale ²⁵
	100.000	70.99	11/12/21	83.25	...	-12.26	3 of 3 - Sale ²⁵
03/24/22	500.000	354.95	Various	416.25	...	-61.30	Total of 3 transactions
T2 BIOSYSTEMS, INC. COMMON STO CK / CUSIP: 89853L203 / Symbol:							
3 transactions for 11/10/22. Total proceeds and cost reported to the IRS.							
	10.000	17.50	08/10/22	72.25	...	-54.75	1 of 3 - Sale ²⁵
	6.000	10.50	08/10/22	47.10	...	-36.60	2 of 3 - Sale ²⁵
	4.000	7.00	08/10/22	31.40	...	-24.40	3 of 3 - Sale ²⁵
11/10/22	20.000	35.00	Various	150.75	...	-115.75	Total of 3 transactions
VINCO VENTURES, INC. COMMON ST OCK / CUSIP: 927330100 / Symbol:							
2 transactions for 01/14/22. Total proceeds and cost reported to the IRS.							
	100.000	400.99	01/13/22	350.00	...	50.99	1 of 2 - Sale ²⁵
	100.000	419.12	01/13/22	350.00	...	69.12	2 of 2 - Sale ²⁵
01/14/22	200.000	820.11	Various	700.00	...	120.11	Total of 2 transactions

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Robinhood Markets Inc. as agent for
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Account 794693705

Proceeds from Broker and Barter Exchange Transactions

2022 1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WIPRO LIMITED / CUSIP: 97651M109 / Symbol:							
01/18/22	100.000	838.48	01/11/22	959.00	...	-120.52	Sale ²⁵
ZOMEDICA CORP. / CUSIP: 98980M109 / Symbol:							
<i>3 transactions for 12/15/22. Total proceeds and cost reported to the IRS.</i>							
	100.000	18.99	01/11/22	32.52	...	-13.53	1 of 3 - Sale ²⁵
	400.000	75.98	02/16/22	155.48	...	-79.50	2 of 3 - Sale ²⁵
	500.000	94.98	05/04/22	120.70	...	-25.72	3 of 3 - Sale ²⁵
12/15/22	1,000.000	189.95	Various	308.70	...	-118.75	Total of 3 transactions
BIT BROTHER LIMITED CLASS A OR DINARY SHARES / CUSIP: G1144D109 / Symbol:							
10/10/22	200.000	31.09	10/04/22	66.00	...	-34.91	Sale ²⁵
GLOBALFOUNDRIES INC. ORDINARY SHARES / CUSIP: G39387108 / Symbol:							
05/18/22	9.000	495.90	04/07/22	509.58	...	-13.68	Sale ²⁵
PAYSAFE LIMITED / CUSIP: G6964L206 / Symbol:							
<i>2 transactions for 12/15/22. Total proceeds and cost reported to the IRS.</i>							
	6.667	77.34	09/30/22	112.40	...	-35.06	1 of 2 - Sale ²⁵
	1.667	19.33	10/21/22	28.10	...	-8.77	2 of 2 - Sale ²⁵
12/15/22	8.333	96.67	Various	140.50	...	-43.83	Total of 2 transactions
CENNTRO ELECTRIC GROUP LIMITED ORDINARY SHARES / CUSIP: Q6519V120 / Symbol:							
01/19/22	100.000	257.49	01/14/22	303.00	...	-45.51	Sale ²⁵
IMPERIAL PETROLEUM INC. COMMON SHARES / CUSIP: Y3894J104 / Symbol:							
03/10/22	50.000	280.05	03/09/22	276.94	...	3.11	Sale ²⁵
03/23/22	100.000	211.99	03/21/22	149.87	...	62.12	Sale ²⁵
	Security total:	492.04		426.81	...	65.23	
Totals :		26,851.82		39,061.14	5,373.78 W	-6,835.54	

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Robinhood Markets Inc. as agent for
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Account 794693705

Proceeds from Broker and Barter Exchange Transactions

2022 1099-B* OMB No. 1545-0715

(continued)

02/13/2023

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
COCRYSTAL PHARMA, INC. COMMON STOCK / CUSIP: 19188J409 / Symbol:							
<i>6 transactions for 11/17/22. Total proceeds and cost reported to the IRS.</i>							
	8.375	25.19	05/04/21	324.90	...	-299.71	1 of 6 - Sale ²⁵
	8.375	25.19	05/04/21	218.76	...	-193.57	2 of 6 - Sale ²⁵
	8.375	25.19	05/05/21	158.76	...	-133.57	3 of 6 - Sale ²⁵
	8.375	25.19	05/12/21	118.95	...	-93.76	4 of 6 - Sale ²⁵
	8.375	25.19	05/12/21	118.00	...	-92.81	5 of 6 - Sale ²⁵
	16.750	50.37	07/29/21	236.00	...	-185.63	6 of 6 - Sale ²⁵
11/17/22	58.625	176.32	Various	1,175.37	...	-999.05	Total of 6 transactions
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol:							
09/01/22	50.000	130.00	11/05/21	711.33	...	-581.33	Sale ²⁵
ZOMEDICA CORP. / CUSIP: 98980M109 / Symbol:							
<i>16 transactions for 12/15/22. Total proceeds and cost reported to the IRS.</i>							
	116.000	22.04	10/15/21	257.54	...	-235.50	1 of 16 - Sale ²⁵
	200.000	37.99	10/15/21	265.69	...	-227.70	2 of 16 - Sale ²⁵
	200.000	37.99	10/15/21	250.04	...	-212.05	3 of 16 - Sale ²⁵
	79.000	15.01	10/15/21	142.22	...	-127.21	4 of 16 - Sale ²⁵
	100.000	19.00	10/15/21	111.51	...	-92.51	5 of 16 - Sale ²⁵
	50.000	9.50	10/15/21	100.01	...	-90.51	6 of 16 - Sale ²⁵
	32.000	6.08	10/15/21	71.04	...	-64.96	7 of 16 - Sale ²⁵
	100.000	19.00	10/15/21	62.52	...	-43.52	8 of 16 - Sale ²⁵
	21.000	3.99	10/15/21	37.80	...	-33.81	9 of 16 - Sale ²⁵
	50.000	9.50	10/15/21	31.26	...	-21.76	10 of 16 - Sale ²⁵
	2.000	0.38	10/15/21	4.44	...	-4.06	11 of 16 - Sale ²⁵
	100.000	19.00	12/06/21	33.10	...	-14.10	12 of 16 - Sale ²⁵
	100.000	19.00	12/06/21	33.10	...	-14.10	13 of 16 - Sale ²⁵
	100.000	19.00	12/06/21	33.10	...	-14.10	14 of 16 - Sale ²⁵
	100.000	19.00	12/06/21	33.10	...	-14.10	15 of 16 - Sale ²⁵
	100.000	19.00	12/06/21	33.10	...	-14.10	16 of 16 - Sale ²⁵
12/15/22	1,450.000	275.48	Various	1,499.57	...	-1,224.09	Total of 16 transactions
PAYSAFE LIMITED / CUSIP: G6964L206 / Symbol:							
12/15/22	16.667	193.33	11/11/21	875.50	...	-682.17	Sale ²⁵
Totals :		775.13		4,261.77		-3,486.64	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

25 Tax lot closed on a first in first out basis.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Instructions for Recipient

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

Robinhood Markets Inc. as agent for Robinhood Crypto LLC 85 Willow Rd Menlo Park, CA 94025 Customer Service: 650-940-2700 PAYER'S TIN: 46-4364776	Tax Information Account 794693705C	Statement Date: 02/13/2023	2022
	Krishna Kundula 10106 Crowne Brook Cir Franklin, TN 37067 RECIPIENT'S TIN: XXX-XX-6806		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2022 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e) 0.00</p> <p>1b- Qualified dividends 0.00</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f) 0.00</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>2e- Section 897 ordinary dividends 0.00</p> <p>2f- Section 897 capital gain 0.00</p> <p>3- Nondividend distributions 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 0.00</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: 7- Foreign tax paid: 0.00</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>12- Exempt-interest dividends (includes line 13) 0.00</p> <p>13- Specified private activity bond interest dividends (AMT) 0.00</p>	<p>MISCELLANEOUS INFORMATION 2022 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.00</p> <p>SECTION 1256 CONTRACTS 2022 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2022 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2021 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2022 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</p>
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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	B (basis not reported to the IRS)	5,497.14	5,800.76	0.00	0.00	-303.62
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	5,497.14	5,800.76	0.00	0.00	-303.62
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	5,497.14	5,800.76	0.00	0.00	-303.62
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2022 may require an amended tax form.

Robinhood Markets Inc. as agent for
Robinhood Crypto LLC
2022

Summary Information
(continued)

Account 794693705C
02/13/2023

INTEREST INCOME

2022 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number FATCA filing requirement []	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Markets Inc. as agent for
Robinhood Crypto LLC

Proceeds from Broker and Barter Exchange Transactions

Account 794693705C

2022 1099-B* OMB No. 1545-0715

02/13/2023

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)

Report on Form 8949, Part I with Box B checked. Basis is NOT provided to the IRS. (Line 12)

"Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed," and "Gain or loss (-)" are NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
Ethereum Classic / CUSIP: ETCUSD / Symbol:							
4 transactions for 01/22/22. Total proceeds are reported to the IRS.							
	0.38330599999999998	9.50	07/14/21	17.82	...	-8.32	1 of 4 - Sale ²⁵
	10.000	247.72	08/08/21	599.87	...	-352.15	2 of 4 - Sale ²⁵
	5.000	123.86	12/05/21	200.66	...	-76.80	3 of 4 - Sale ²⁵
	20.000	495.45	01/11/22	594.00	...	-98.55	4 of 4 - Sale ²⁵
01/22/22	35.383306	876.53	Various	1,412.35	...	-535.82	Total of 4 transactions
4 transactions for 07/27/22. Total proceeds are reported to the IRS.							
	6.158999999999999808	197.83	03/23/22	288.63	...	-90.80	1 of 4 - Sale ²⁵
	2.56099999999999943	82.26	03/23/22	120.02	...	-37.76	2 of 4 - Sale ²⁵
	1.280000000000000027	41.11	03/23/22	59.98	...	-18.87	3 of 4 - Sale ²⁵
	10.000	321.20	05/06/22	287.28	...	33.92	4 of 4 - Sale ²⁵
07/27/22	20.000	642.40	Various	755.91	...	-113.51	Total of 4 transactions
07/29/22	10.000	395.62	06/12/22	178.38	...	217.24	Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for
Robinhood Crypto LLC

Account 794693705C

Proceeds from Broker and Barter Exchange Transactions

2022 1099-B* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)

Report on Form 8949, Part I with Box B checked. Basis is NOT provided to the IRS. (Line 12)

"Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
Ethereum Classic / CUSIP: ETCUSD / Symbol: (cont'd)							
Security total:		1,914.55		2,346.64	...	-432.09	
Ethereum / CUSIP: ETHUSD / Symbol:							
01/20/22	0.2999999999999999	930.96	01/09/22	944.34	...	-13.38	Sale ²⁵
<i>5 transactions for 04/06/22. Total proceeds are reported to the IRS.</i>							
	0.004198	14.00	03/19/22	12.38	...	1.62	1 of 5 - Sale ²⁵
	0.0144	48.03	03/19/22	42.48	...	5.55	2 of 5 - Sale ²⁵
	0.0288999999999999	96.40	03/19/22	85.25	...	11.15	3 of 5 - Sale ²⁵
	0.1638020000000000	546.36	03/19/22	483.22	...	63.14	4 of 5 - Sale ²⁵
	0.2887000000000000	962.95	03/19/22	851.67	...	111.28	5 of 5 - Sale ²⁵
04/06/22	0.500	1,667.74	Various	1,475.00	...	192.74	Total of 5 transactions
09/26/22	0.5033769999999999	654.39	09/23/22	648.86	...	5.53	Sale ²⁵
Security total:		3,253.09		3,068.20	...	184.89	
Solana / CUSIP: SOLUSD / Symbol:							
<i>4 transactions for 12/16/22. Total proceeds are reported to the IRS.</i>							
	6.9698399999999999	89.58	11/10/22	118.42	...	-28.84	1 of 4 - Sale ²⁵
	2.000	25.70	11/10/22	35.99	...	-10.29	2 of 4 - Sale ²⁵
	1.8536899999999998	23.82	11/10/22	31.51	...	-7.69	3 of 4 - Sale ²⁵
	14.8148099999999999	190.40	11/17/22	200.00	...	-9.60	4 of 4 - Sale ²⁵
12/16/22	25.63834	329.50	Various	385.92	...	-56.42	Total of 4 transactions
Totals :		5,497.14		5,800.76		-303.62	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

25 Tax lot closed on a first in first out basis.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Instructions for Recipient

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.