Robinhood Markets Inc 85 Willow Road Menlo Park, CA 94025 650-940-2700



Karthik Mothe 1300 North custer road, APT 8323 Allen, TX 75013

Master Account Number for Import: 145603551

Document ID for Import: 72D2GG6867R

Enclosed is your 2022 Consolidated Tax Statement. 1099 Tax Forms for the following accounts are included in this package:

145603551 - Robinhood Securities LLC 145603551C - Robinhood Crypto LLC

**New for 2022 Tax Year** - Where applicable, 1099 Tax Forms for Robinhood Securities, Robinhood Crypto, and Robinhood Money are being consolidated into one package and reported under our parent entity, Robinhood Markets Inc. We have done this to ensure all forms that you need are provided at the same time in this consolidated package.

Important - Please utilize the Master Account Number and Document ID listed above if filing through tax service providers such as TurboTax or H&R Block. The Document ID is necessary in order to directly import your 1099 data into the tax software so that you do not need to manually enter each transaction. This year there is only one Master Account Number and Document ID that needs to be used to import all of your data across all accounts and 1099s (including crypto). Please note that tax software providers may have limits on the total number of transactions that can be directly imported using the Document ID.

**CSVs** - In addition, a CSV file which includes the same information that is reported on your 1099 forms will be provided. The CSV is not a separate 1099. It is being provided as a supplement in a format that may be more helpful if you have a large number of transactions or if you are not using the Document ID to import all of your Securities, Crypto and Money activity. Tax software providers may have limits on the total number of transactions that can be uploaded and CSV files may not be compatible with tax service providers such as TurboTax or H&R Block.

**Robinhood Retirements Accounts** - If you had any reportable distributions or contributions within a Robinhood Retirement account, your 2022 tax forms 1099-R and/or 5498 will be delivered separately. Retirement accounts will be reported under Robinhood Securities LLC and will have their own unique Doc IDs for import to tax software providers such as TurboTax or H&R Block.



# Robinhood Markets Inc. as agent for **Robinhood Securities LLC**

85 Willow Road

Menlo Park, CA 94025 Customer Service: 650-940-2700

PAYER'S TIN: 46-4364776

Tax Information Account 145603551	Statement Date: 02/13/2023	2022
Karthik Mothe 1300 North custer road, APT 8323 Allen, TX 75013		·

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11 - [ ] FATCA filing requirement (see instructions)	Summary Info	ormation	13 - [ ] FATCA filing require	ment (see instructions)
DIVIDENDS AND DISTRIBUTIONS 2022 1099-DIV	* OMB No. 1545-0110	MISCELLANEOUS INFORMATIO	N 2022 1099-MISC*	OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5, 2e)	0.00	2- Royalties		0.00
1b- Qualified dividends	0.00	3- Other income		0.00
2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)	0.00	4- Federal income tax withheld		0.00
2b- Unrecaptured Section 1250 gain	0.00	8- Substitute payments in lieu of dividends	or interest	0.00
2c- Section 1202 gain	0.00	• •		
2d- Collectibles (28%) gain	0.00	SECTION 1256 CONTRACTS	2022 1099-B*	OMB No. 1545-0715
2e- Section 897 ordinary dividends	0.00		d acatacata	
2f- Section 897 capital gain	0.00	8- Profit or (loss) realized in 2022 on close		0.00
3- Nondividend distributions	0.00	9- Unrealized profit or (loss) on open contr		0.00
4- Federal income tax withheld	0.00	10- Unrealized profit or (loss) on open contr	acts-12/31/2022	0.00
5- Section 199A dividends	0.00	11- Aggregate profit or (loss) on contracts		0.00
6- Investment expenses	0.00	If applicable, proceeds from sale transac	tions appear summarized be	low and are
8- Foreign country or US possession: 7- Foreign tax paid:	0.00	detailed in subsequent sections of this d		iow and are
9- Cash liquidation distributions	0.00	•		Camilaa
10- Noncash liquidation distributions		nis is important tax information and is being fur		
12- Exempt-interest dividends (includes line 13)		ou are required to file a return, a negligence p		
13- Specified private activity bond interest dividends (AMT)	<sub>0.00</sub> you	if this income is taxable and the IRS determine	s that it has not been repoi	rtea.

RECIPIENT'S TIN: XXX-XX-5778

### SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	5,220.55	5,747.58	0.00	551.44	24.41
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	5,220.55	5,747.58	0.00	551.44	24.41
Long	D (basis reported to the IRS)	0.32	3.59	0.00	0.00	-3.27
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.32	3.59	0.00	0.00	-3.27
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	5,220.87	5,751.17	0.00	551.44	21.14
Withholding		Amount				
Federal income	e tax withheld	0.00				

Robinhood Markets Inc. as agent for
Robinhood Securities LLC
Summary Information
2022 (continued)
Account 145603551
02/13/2023

### INTEREST INCOME

### 2022 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
Tax-exempt obligations (covered lots)	0.00
Tax-exempt private activity obligations (AMT, covered lots)	0.00
14- Tax-exempt and tax credit bond CUSIP number	
FATCA filing requirement []	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

### STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

### ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year Acquisition premium (covered lots) Acquisition premium (noncovered lots)	0.00 0.00 0.00
Original issue discount on Treasury obligations Acquisition premium, Treasury obligations (covered lots)	0.00 0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

### RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Markets Inc. as agent for **Robinhood Securities LLC** 

## **Proceeds from Broker and Barter Exchange Transactions**

02/13/2023

2022 1099-B\* OMB No. 1545-0715

Account 145603551

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - IXI Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949. Part I with Box A checked. Basis is provided to the IRS. (Line 12) "Gain or loss (-)" is NOT reported to the IRS.

1a- Description of pro	pperty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMTD IDEA GROUP	/ CUSIP: 00180G106 / Symbol:						
	2 transactions for 08/1	11/22. Total proceeds a	and cost repor	ted to the IRS.			
	21.692	49.91	08/08/22	96.53	46.62 W	0.00	1 of 2 - Sale <sup>25</sup>
	0.039	0.09	08/08/22	0.17	0.08 W	0.00	2 of 2 - Sale <sup>25</sup>
08/11/22	21.731	50.00	Various	96.70	46.70 W	0.00	Total of 2 transactions
	10 transactions for 08,	/16/22. Total proceeds	and cost repo	rted to the IRS.			
	12.775	38.00	08/08/22	71.27	•••	-33.27	1 of 10 - Sale <sup>25</sup>
	8.375	24.92	08/08/22	46.68		-21.76	2 of 10 - Sale <sup>25</sup>
	8.160	24.28	08/08/22	27.99		-3.71	3 of 10 - Sale <sup>25</sup>
	0.345	1.03	08/08/22	2.28		-1.25	4 of 10 - Sale <sup>25</sup>
	0.196	0.58	08/08/22	1.29		-0.71	5 of 10 - Sale <sup>25</sup>
	0.199	0.59	08/08/22	0.89		-0.30	6 of 10 - Sale <sup>25</sup>
	0.039	0.12	08/08/22	0.21		-0.09	7 of 10 - Sale <sup>25</sup>
	5.862	17.44	08/15/22	13.60		3.84	8 of 10 - Sale <sup>25</sup>

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpavers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for Robinhood Securities LLC

# **Proceeds from Broker and Barter Exchange Transactions**

Account 145603551

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of prope	erty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMTD IDEA GROUP / C	USIP: 00180G106 / Symbol:	(cont'd)	-				
08/16/22	44.820 60.504 141.277	133.33 179.99 420.28	08/15/22 08/15/22 Various	104.40 144.00 412.61	 	28.93 35.99 7.67	9 of 10 - Sale <sup>25</sup> 10 of 10 - Sale <sup>25</sup> Total of 10 transactions
	Security total:	470.28		509.31	46.70 W	7.67	
AINOS, INC. COMMON	STOCK / CUSIP: 00902F204	/ Symbol:					
	2 transactions for 12/	01/22. Total proceeds a	and cost report	ted to the IRS.			
12/01/22	7.000 670.000 677.000	<i>4.69</i> <i>44</i> 8.88 453.57	11/30/22 12/01/22 Various	4.20 502.30 506.50	 	0.49 -53.42 -52.93	1 of 2 - Sale <sup>25</sup> 2 of 2 - Sale <sup>25</sup> Total of 2 transactions
BED BATH & BEYOND I	NC. COMMON STOCK / CUS	SIP: 075896100 / Symb	ol:				
	2 transactions for 08/	11/22. Total proceeds a	and cost report	ted to the IRS.			
08/11/22	11.262 0.003 11.265	119.97 0.03 120.00 12/22. Total proceeds a	08/08/22 08/08/22 Various	123.55 0.03 123.58	 	-3.58 0.00 -3.58	1 of 2 - Sale <sup>25</sup> 2 of 2 - Sale <sup>25</sup> Total of 2 transactions
08/12/22	0.524 11.000 11.524 Security total:	6.06 127.11 133.17 <b>253.17</b>	08/08/22 08/08/22 Various	5.75 120.67 126.42 <b>250.00</b>	  	0.31 6.44 6.75 <b>3.17</b>	1 of 2 - Sale <sup>25</sup> 2 of 2 - Sale <sup>25</sup> Total of 2 transactions
COMSOVEREIGN HOLD	DING CORP. COM MON STO		13 / Symbol:	200.00		<b>0</b>	
OSOOVEREION HOLL		01/22. Total proceeds a	,	ted to the IRS.			
	913.000 2,120.000 1,303.000 100.000 67.000 12.000	101.96 236.74 143.16 10.99 7.36 1.32	11/30/22 11/30/22 12/01/22 12/01/22 12/01/22 12/01/22	83.81 188.89 177.60 16.20 10.86 1.96	  	18.15 47.85 -34.44 -5.21 -3.50 -0.64	1 of 6 - Sale <sup>25</sup> 2 of 6 - Sale <sup>25</sup> 3 of 6 - Sale <sup>25</sup> 4 of 6 - Sale <sup>25</sup> 5 of 6 - Sale <sup>25</sup> 6 of 6 - Sale <sup>25</sup>
12/01/22	4,515.000	501.53	Various	479.32		22.21	Total of 6 transactions

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for Robinhood Securities LLC

# **Proceeds from Broker and Barter Exchange Transactions**

Account 145603551

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

sold or disposed         Quantity         6-Reported (Gyoss or (Nyet) acquired other basis of disallowed (W)         19-Wash sale loss or 19-Wash sale loss or disallowed (W)         7-Loss not allowed (X)         Additional information of disallowed (W)         1-Los not reported (Z)         Additional information of the past of the past of the past of disallowed (W)         7-Loss not allowed (X)         Additional information of the past of the pa	•	operty/CUSIP/Symbol						
2 transactions for 12/01/22. Total proceeds and cost reported to the IRS.  1,939,000 213,23 12/01/22 264,28 2.08 W 448,97 1 of 2 - Sale 26 100,000 11,00 12/01/22 13,63 2.61 W 0.00 2 of 2 - Sale 26 12/01/22 2,039,000 224,25 Various 277,91 4.69 W 45.97 Total of 2 transactions Security total: 725,78 757.23 765,723 765,00 W 76.76  COSMOS HOLDINGS INC. COMMON ST OCK / CUSIP: 221413206 / Symbol:  2 transactions for 12/02/22. Total proceeds and cost reported to the IRS.  38,000 19,41 12/02/22 176,53 17,63 1.78 1 of 2 - Sale 26 766,000 391,33 12/02/22 357,42 33,91 2 of 2 - Sale 26 12/02/22 12/02/22 12/02/22 12/02/22 12/02/22 12/02/22 12/02/22 12/02/22 12/02/22 12/02/22 12/02/22 12/02/22 17,63 1.78 1 of 2 - Sale 26 12/02/22 12/02/02/02/02/02/02/02/02/02/02/02/02/02		Quantity	•				7- Loss not allowed (X)	Additional information
1,939,000 213.23 12/01/22 264.28 2.08 W -48.97 1 of 2 - Sale 25 100.000 11.02 12/01/22 13.63 2.61 W 0.00 2 of 2 - Sale 25 100.000 12.42.5 Various 277.91 4.69 W 48.97 Total of 2 transactions Security total: 725.78 757.83 4.69 W -26.76  Security total: 725.78 757.23 4.69 W -26.76  COSMOS HOLDINGS INC. COMMON ST OCK / CUSIP: 221413206 / Symbol  2 transactions for 12/02/22. Total proceeds and cost reported to the IRS.  38.000 19.41 12/02/22 357.42 1.78 1.06 2 - Sale 25 12/02/22 804.000 410.74 Various 375.05 35.69 Total of 2 transactions FORZA X1, INC. COMMON ST OCK / CUSIP: 34988N104 / Symbol  FORZA X1, INC. COMMON STOCK / CUSIP: 34988N104 / Symbol  6 transactions for 08/23/22. Total proceeds and cost reported to the IRS.  18.000 10.44 08/12/22 263.52 1.63 1.63 1 of 6 - Sale 25 2 1.63 2	COMSOVEREIGN HO	OLDING CORP. COM MON STO	OCK / CUSIP: 20565020	3 / Symbol: (c	cont'd)			
12/01/22 2,039.000 24.25 Various 277.91 4.69 W 44.97 Total of 2 transactions Security total: 725.78 757.23 4.69 W 24.97 Total of 2 transactions Security total: 725.78 757.23 4.69 W 24.97 Total of 2 transactions Security total: 725.78 757.23 4.69 W 24.97 Total of 2 transactions Security total: 725.78 757.23 4.69 W 24.97 Total of 2 transactions Security total: 725.78 757.23 4.69 W 24.97 Total of 2 transactions Security total: 725.78 Total proceeds and cost reported to the IRS.  2 transactions for 12/02/22. Total proceeds and cost reported to the IRS.  38.000 19.41 12/02/22 357.42 33.91 2 of 2 - Sale 25 12/02/22 804.000 410.74 Various 375.05 35.09 Total of 2 transactions FORZA X1, INC. COMMON STOCK / CUSIP: 34988N104 / Symbol:  FORZA X1, INC. COMMON STOCK / CUSIP: 34988N104 / Symbol:  6 transactions for 08/23/22. Total proceeds and cost reported to the IRS.  18.000 10.44 08/12/22 263.52163.08 1 of 6 - Sale 25 2.000 11.15 08/12/22 29.28163.08 1 of 6 - Sale 25 2.000 11.15 08/12/22 32.2163.08 1 of 6 - Sale 25 2.000 1.00 4.00 1.00 1		2 transactions for 12/	/01/22. Total proceeds a	and cost report	ed to the IRS.			
2 transactions for 12/02/22. Total proceeds and cost reported to the IRS.  38.000 19.41 12/02/22 17.63 1.78 10f2 - Sale <sup>25</sup> 766.000 391.33 12/02/22 357.42 33.91 2 of 2 - Sale <sup>25</sup> 12/02/22 804.000 410.74 Various 375.05 35.69 Total of 2 transactions  FORZA X1, INC. COMMON STOCK / CUSIP: 34988N104 / Symbol:  6 transactions for 08/23/22. Total proceeds and cost reported to the IRS.  18.000 100.44 08/12/22 263.52163.08 1 of 6 - Sale <sup>25</sup> 2.000 11.15 08/12/22 29.2818.13 2 of 6 - Sale <sup>25</sup> 0.220 1.23 08/12/22 3.221.18.13 2 of 6 - Sale <sup>25</sup> 0.0138 0.77 08/12/22 2.031.12 0.04 4 of 6 - Sale <sup>25</sup> 0.103 0.55 08/12/22 1.5510.93 5 of 6 - Sale <sup>25</sup> 0.030 0.17 08/12/22 0.0440.93 5 of 6 - Sale <sup>25</sup> 0.030 0.17 08/12/22 0.440.93 5 of 6 - Sale <sup>25</sup> 0.030 0.17 08/12/22 0.440.27 6 of 6 - Sale <sup>25</sup> 0.030 0.17 08/12/22 0.440.27 6 of 6 - Sale <sup>25</sup> 0.030 0.17 08/12/22 3.0401.85.6 Total of 6 transactions  HELBIZ, INC. CLASS A COMMON ST OCK / CUSIP: 423098204 / Symbol:  8 transactions for 11/29/22. Total proceeds and cost reported to the IRS.  12.000 55.27 10/20/22 3.24 0 0.08 1 of 8 - Sale <sup>25</sup> 177.000 48.92 10/26/22 47.42 1.50 3 of 8 - Sale <sup>25</sup> 177.000 48.92 10/26/22 47.42 1.50 3 of 8 - Sale <sup>25</sup> 177.000 47.26 10/26/22 47.42 1.50 3 of 8 - Sale <sup>25</sup> 177.000 47.26 10/26/22 47.42 1.50 3 of 8 - Sale <sup>25</sup> 177.000 47.26 10/26/22 47.42 1.50 3 of 8 - Sale <sup>25</sup> 177.000 47.26 10/26/22 47.42 1.50 3 of 8 - Sale <sup>25</sup> 177.000 47.26 10/26/22 47.42 1.50 3 of 8 - Sale <sup>25</sup> 177.000 47.26 10/26/22 47.42 1.50 3 of 8 - Sale <sup>25</sup> 177.000 47.26 10/26/22 47.42 1.50 3 of 8 - Sale <sup>25</sup> 177.000 47.26 10/26/22 47.42 1.50 3 of 8 - Sale <sup>25</sup> 177.000 47.26 10/26/22 47.42 1.50 3 of 8 - Sale <sup>25</sup> 177.000 47.26 10/26/22 47.42 1.50 3 of 8 - Sale <sup>25</sup> 177.000 47.26 10/26/22 47.42 1.50 3 of 8 - Sale <sup>25</sup> 177.000 47.26 10/26/22 2.36 0.05 5 5 of 8 - Sale <sup>25</sup> 177.000 47.26 10/26/22 2.36 0.05 5 5 of 8 - Sale <sup>25</sup> 178.000 5 5 68 - Sale <sup></sup>	12/01/22	100.000 2,039.000	11.02 224.25	12/01/22	13.63 277.91	2.61 W 4.69 W	<i>0.00</i> -48.97	2 of 2 - Sale <sup>25</sup>
38.000	COSMOS HOLDINGS	S INC. COMMON ST OCK / CUS	SIP: 221413206 / Symbo	ol:				
T66.000 391.33 12/02/22 357.42 33.91 2 of 2 - Sale <sup>25</sup> 12/02/22 804.000 410.74 Various 375.05 35.69 Total of 2 transactions  FORZA X1, INC. COMMON STOCK / CUSIP: 34988N104 / Symbol:  ### 18.000 100.44 08/12/22 263.52163.08 1 of 6 - Sale <sup>25</sup> 2.000 11.15 08/12/22 29.2818.13 2 of 6 - Sale <sup>25</sup> 2.000 12.3 08/12/22 3.221.99 3 of 6 - Sale <sup>25</sup> 0.138 0.77 08/12/22 2.031.99 3 of 6 - Sale <sup>25</sup> 0.103 0.58 08/12/22 1.510.93 5 of 6 - Sale <sup>25</sup> 0.1030 0.17 08/12/22 0.440.93 5 of 6 - Sale <sup>25</sup> 0.8/23/22 20.492 114.34 Various 300.00185.66 Total of 6 transactions  ###################################		2 transactions for 12/	/02/22. Total proceeds a	and cost report	ed to the IRS.			
FORZA X1, INC. COMMON STOCK / CUSIP: 34988N104 / Symbol:  6 transactions for 08/23/22. Total proceeds and cost reported to the IRS.  18.000 100.44 08/12/22 263.52163.08 1 of 6 - Sale 25 2.000 11.15 08/12/22 29.2818.13 2 of 6 - Sale 25 0.220 1.23 08/12/22 3.221.99 3 of 6 - Sale 25 0.138 0.77 08/12/22 2.031.26 4 of 6 - Sale 25 0.138 0.77 08/12/22 2.031.26 4 of 6 - Sale 25 0.103 0.58 08/12/22 1.510.93 5 of 6 - Sale 25 0.103 0.58 08/12/22 1.510.93 5 of 6 - Sale 25 0.030 0.17 08/12/22 0.440.27 6 of 6 - Sale 25 0.030 0.17 08/12/22 0.440.27 6 of 6 - Sale 25 0.030 0.17 08/12/22 0.4418.66 Total of 6 transactions HELBIZ, INC. CLASS A COMMON ST OCK / CUSIP: 42309B204 / Symbol:  8 transactions for 11/29/22. Total proceeds and cost reported to the IRS.  12.000 3.32 10/20/22 3.24 0.08 1 of 8 - Sale 25 200.000 55.27 10/20/22 53.96 1.31 2 of 8 - Sale 25 177.000 48.92 10/26/22 47.42 0.08 1.31 2 of 8 - Sale 25 177.000 44.726 10/27/22 46.17 1.09 4 of 8 - Sale 25 171.000 47.26 10/27/22 46.17 1.09 4 of 8 - Sale 25 10.659 2.95 11/09/22 2.36 0.59 5 of 8 - Sale 25 10.659 2.95 11/09/22 2.36 0.59 5 of 8 - Sale 25 10.659 4.33 11/09/22 2.70 1.15 6 of 8 - Sale 25 10.0000 27.64 11/09/22 2.70 1.15 6 of 8 - Sale 25 10.0000 27.64 11/09/22 2.70 5.45 8 of 8 - Sale 25 100.000 27.64 11/09/22 2.70 5.45 8 of 8 - Sale 25 100.000 27.64 11/09/22 2.70 5.45 8 of 8 - Sale 25 100.000 27.64 11/09/22 2.70 5.45 8 of 8 - Sale 25 100.000 27.64 11/09/22 2.70 5.45 8 of 8 - Sale 25 100.000 27.64 11/09/22 2.70 5.45 8 of 8 - Sale 25 100.000 27.64 11/09/22 2.70 5.45 8 of 8 - Sale 25 100.000 27.64 11/09/22 2.70 5.45 8 of 8 - Sale 25 100.000 27.64 11/09/22 2.70 5.45 8 of 8 - Sale 25 100.000 27.64 11/09/22 2.70 5.45 8 of 8 - Sale 25 100.000 27.64 11/09/22 2.70 5.45 8 of 8 - Sale 25 100.000 27.64 11/09/22 2.70 5.45 8 of 8 - Sale 25 100.000 27.64 11/09/22 2.70 5.45 8 of 8 - Sale 25 100.000 27.64	12/02/22	38.000 766.000	19.41 391.33	12/02/22 12/02/22	17.63 357.42		33.91	2 of 2 - Sale <sup>25</sup>
6 transactions for 08/23/22. Total proceeds and cost reported to the IRS.  18.000 100.44 08/12/2 263.52163.08 1 of 6 - Sale <sup>25</sup> 2.000 11.1.15 08/12/2 29.281.8.13 2 of 6 - Sale <sup>25</sup> 0.220 1.23 08/12/2 3.221.99 3 of 6 - Sale <sup>25</sup> 0.138 0.77 08/12/2 2.031.26 4 of 6 - Sale <sup>25</sup> 0.103 0.58 08/12/2 1.510.93 5 of 6 - Sale <sup>25</sup> 0.103 0.58 08/12/2 1.510.93 5 of 6 - Sale <sup>25</sup> 0.030 0.17 08/12/2 0.440.27 6 of 6 - Sale <sup>25</sup> 08/23/2 20.492 114.34 Various 300.00185.66 Total of 6 transactions  HELBIZ, INC. CLASS A COMMON ST OCK / CUSIP: 42309B204 / Symbol:  ***Stransactions for 11/29/22. Total proceeds and cost reported to the IRS.**  12.000 3.32 10/20/22 3.24 0.08 1 of 8 - Sale <sup>25</sup> 200.000 55.27 10/20/22 53.96 1.31 2 of 8 - Sale <sup>25</sup> 177.000 48.92 10/26/22 47.42 0.08 1 of 8 - Sale <sup>25</sup> 177.000 47.26 10/27/22 46.17 1.50 3 of 8 - Sale <sup>25</sup> 177.000 47.26 10/27/22 46.17 1.09 4 of 8 - Sale <sup>25</sup> 177.000 47.26 10/27/22 46.17 1.09 4 of 8 - Sale <sup>25</sup> 10.659 2.95 11/09/22 2.36 0.59 5 of 8 - Sale <sup>25</sup> 21.341 5.90 11/09/22 4.75 1.15 6 of 8 - Sale <sup>25</sup> 15.659 4.33 11/09/22 2.70 1.63 7 of 8 - Sale <sup>25</sup> 100.000 27.64 11/09/22 22.19 5.45 8 of 8 - Sale <sup>25</sup>								
18.000 10.44 08/12/22 263.52163.08 1 of 6 - Sale <sup>25</sup> 2.000 11.15 08/12/22 29.2818.13 2 of 6 - Sale <sup>25</sup> 0.220 1.23 08/12/22 3.221.99 3 of 6 - Sale <sup>25</sup> 0.138 0.77 08/12/22 2.031.99 3 of 6 - Sale <sup>25</sup> 0.103 0.58 08/12/22 1.510.93 5 of 6 - Sale <sup>25</sup> 0.030 0.17 08/12/22 0.440.93 5 of 6 - Sale <sup>25</sup> 08/23/22 2.0492 114.34 Various 300.00185.66 Total of 6 transactions  HELBIZ, INC. CLASS A COMMON ST OCK / CUSIP: 42309B204 / Symbol:  8 transactions for 11/29/22. Total proceeds and cost reported to the IRS.  12.000 3.32 10/20/22 3.24 0.08 1 of 8 - Sale <sup>25</sup> 200.000 55.27 10/20/22 53.96 1.31 2 of 8 - Sale <sup>25</sup> 177.000 48.92 10/26/22 47.42 1.50 3 of 8 - Sale <sup>25</sup> 177.000 47.26 10/27/22 46.17 1.50 3 of 8 - Sale <sup>25</sup> 171.000 47.26 10/27/22 46.17 1.09 4 of 8 - Sale <sup>25</sup> 171.000 47.26 10/27/22 46.17 1.50 3.56 Sale <sup>25</sup> 171.000 47.26 10/27/22 46.17 1.50 3.56 Sale <sup>25</sup> 175.659 4.33 11/09/22 2.36 0.59 5 of 8 - Sale <sup>25</sup> 175.659 4.33 11/09/22 2.70 1.63 7 of 8 - Sale <sup>25</sup> 100.000 27.64 11/09/22 2.70 1.63 7 of 8 - Sale <sup>25</sup>			•	and cost report	ed to the IRS.			
HELBIZ, INC. CLASS A COMMON ST OCK / CUSIP: 42309B204 / Symbol:  8 transactions for 11/29/22. Total proceeds and cost reported to the IRS.  12.000 3.32 10/20/22 3.24 0.08 1 of 8 - Sale 25 200.000 55.27 10/20/22 53.96 1.31 2 of 8 - Sale 25 177.000 48.92 10/26/22 47.42 1.50 3 of 8 - Sale 25 171.000 47.26 10/27/22 46.17 1.09 4 of 8 - Sale 25 171.000 47.26 10/27/22 46.17 1.09 4 of 8 - Sale 25 10.659 2.95 11/09/22 2.36 0.59 5 of 8 - Sale 25 21.341 5.90 11/09/22 4.75 1.15 6 of 8 - Sale 25 15.659 4.33 11/09/22 2.70 1.63 7 of 8 - Sale 25 100.000 27.64 11/09/22 22.19 5.45 8 of 8 - Sale 25		18.000 2.000 0.220 0.138 0.103 0.030	100.44 11.15 1.23 0.77 0.58 0.17	08/12/22 08/12/22 08/12/22 08/12/22 08/12/22 08/12/22	263.52 29.28 3.22 2.03 1.51 0.44	  	-18.13 -1.99 -1.26 -0.93 -0.27	2 of 6 - Sale <sup>25</sup> 3 of 6 - Sale <sup>25</sup> 4 of 6 - Sale <sup>25</sup> 5 of 6 - Sale <sup>25</sup> 6 of 6 - Sale <sup>25</sup>
8 transactions for 11/29/22. Total proceeds and cost reported to the IRS.  12.000 3.32 10/20/22 3.24 0.08 1 of 8 - Sale <sup>25</sup> 200.000 55.27 10/20/22 53.96 1.31 2 of 8 - Sale <sup>25</sup> 177.000 48.92 10/26/22 47.42 1.50 3 of 8 - Sale <sup>25</sup> 171.000 47.26 10/27/22 46.17 1.09 4 of 8 - Sale <sup>25</sup> 170.659 2.95 11/09/22 2.36 0.59 5 of 8 - Sale <sup>25</sup> 21.341 5.90 11/09/22 4.75 1.15 6 of 8 - Sale <sup>25</sup> 15.659 4.33 11/09/22 2.70 1.63 7 of 8 - Sale <sup>25</sup> 100.000 27.64 11/09/22 22.19 5.45 8 of 8 - Sale <sup>25</sup>				Various	300.00	•••	-185.66	Total of 6 transactions
$\begin{array}{cccccccccccccccccccccccccccccccccccc$	HELBIZ, INC. CLASS		•					
$\begin{array}{cccccccccccccccccccccccccccccccccccc$							0.00	4 (0 0 1 25
		200.000 177.000 171.000 10.659 21.341 15.659	55.27 48.92 47.26 2.95 5.90 4.33	10/20/22 10/26/22 10/27/22 11/09/22 11/09/22 11/09/22	53.96 47.42 46.17 2.36 4.75 2.70	   	1.31 1.50 1.09 0.59 1.15 1.63	2 of 8 - Sale <sup>25</sup> 3 of 8 - Sale <sup>25</sup> 4 of 8 - Sale <sup>25</sup> 5 of 8 - Sale <sup>25</sup> 6 of 8 - Sale <sup>25</sup> 7 of 8 - Sale <sup>25</sup>
11/29/22 707.659 195.59 Various 182.79 12.80 Total of 8 transactions	11/29/22	100.000 707.659	<i>27.64</i> 195.59	11/09/22 Various	22.19 182.79		<i>5.4</i> 5 12.80	8 of 8 - Sale <sup>25</sup> Total of 8 transactions

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for **Robinhood Securities LLC** 

# **Proceeds from Broker and Barter Exchange Transactions**

Account 145603551

**1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

ra- Description of property	//CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HELBIZ, INC. CLASS A CO	MMON ST OCK / CUSIP:	42309B204 / Symbol: (	cont'd)			,	
,		1/29/22. Total proceeds		rted to the IRS.			
	0.641	0.18	08/24/22	0.61	0.43 W	0.00	1 of 26 - Sale <sup>25</sup>
	104.886	29.11	08/24/22	99.39	70.28 W	0.00	2 of 26 - Sale <sup>25</sup>
	14.814	4.11	08/24/22	14.00	9.89 W	0.00	3 of 26 - Sale <sup>25</sup>
	56.341	15.64	09/02/22	37.75	22.11 W	0.00	4 of 26 - Sale <sup>25</sup>
	13.000	3.61	09/02/22	8.37	4.76 W	0.00	5 of 26 - Sale <sup>25</sup>
	79.659	22.11	09/02/22	53.37	31.26 W	0.00	6 of 26 - Sale <sup>25</sup>
	30.659	8.51	09/15/22	17.76	9.25 W	0.00	7 of 26 - Sale <sup>25</sup>
	82.341	22.86	09/15/22	47.70	24.84 W	0.00	8 of 26 - Sale <sup>25</sup>
	7.000	1.94	09/15/22	4.03	2.09 W	0.00	9 of 26 - Sale <sup>25</sup>
	21.341	5.90	10/19/22	6.98	1.08 W	0.00	10 of 26 - Sale <sup>25</sup>
	100.000	27.72	10/19/22	32.73	5.01 W	0.00	11 of 26 - Sale <sup>25</sup>
	10.659	2.96	10/19/22	3.49	0.53 W	0.00	12 of 26 - Sale <sup>25</sup>
	20.000	5.53	10/27/22	5.54	0.01 W	0.00	13 of 26 - Sale <sup>25</sup>
	3.000	0.83	10/27/22	0.85	0.02 W	0.00	14 of 26 - Sale <sup>25</sup>
	4.000	1.11	10/27/22	1.13	0.02 W	0.00	15 of 26 - Sale <sup>25</sup>
	13.000	3.59	11/09/22	6.99	3.40 W	0.00	16 of 26 - Sale <sup>25</sup>
	48.683	13.45	11/09/22	27.47	14.02 W	0.00	17 of 26 - Sale <sup>25</sup>
	7.000	1.93	11/09/22	3.29	1.36 W	0.00	18 of 26 - Sale <sup>25</sup>
	30.659	8.47	11/09/22	14.52	6.05 W	0.00	19 of 26 - Sale <sup>25</sup>
	82.341	22.76	11/09/22	38.99	16.23 W	0.00	20 of 26 - Sale <sup>25</sup>
	0.641	0.18	11/09/22	0.54	0.36 W	0.00	21 of 26 - Sale <sup>25</sup>
	2.131	0.59	11/09/22	1.79	1.20 W	0.00	22 of 26 - Sale <sup>25</sup>
	104.886	28.97	11/09/22	88.30	59.33 W	0.00	23 of 26 - Sale <sup>25</sup>
	12.683	3.51	11/09/22	10.65	7.14 W	0.00	24 of 26 - Sale <sup>25</sup>
	7.659	2.12	11/09/22	4.32	2.20 W	0.00	25 of 26 - Sale <sup>25</sup>
	79.659	22.01	11/09/22	44.94	22.93 W	0.00	26 of 26 - Sale <sup>25</sup>
11/29/22	937.683	259.70	Various	575.50	315.80 W	0.00	Total of 26 transactions
<b></b>		/20/22. Total proceeds a			0.0.00 VV	0.50	. S.a. SI EO HAIIOGONOIIO
	3.000	0.54	12/20/22	0.50		0.04	1 of 4 - Sale <sup>25</sup>
	4.000	0.73	12/20/22	0.67		0.06	2 of 4 - Sale <sup>25</sup>
	2 <i>4</i> 5.659	44.55	12/20/22	39.65		4.90	3 of 4 - Sale <sup>25</sup>
	528.659	95.88	12/20/22	85.32	···	10.56	4 of 4 - Sale <sup>25</sup>
	020.000	141.70	Various	126.14	•••	15.56	Total of 4 transactions

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

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Robinhood Markets Inc. as agent for **Robinhood Securities LLC** 

# **Proceeds from Broker and Barter Exchange Transactions**

Account 145603551

**1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1c- Date		1d- Proceeds &			1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or		6- Reported	1b- Date	1e- Cost or	1g- Wash sale loss	7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et		other basis	disallowed (W)	` ,	Additional information
ELBIZ, INC. CLASS A	A COMMON ST OCK / CUSIP:	42309B204 / Symbol: (	cont'd)				
	15 transactions for 12	2/20/22. Total proceeds	and cost repo	rted to the IRS.			
	4.341	0.79	12/20/22	3.14	2.35 W	0.00	1 of 15 - Sale <sup>25</sup>
	0.641	0.12	12/20/22	0.46	0.34 W	0.00	2 of 15 - Sale 25
	2.131	0.39	12/20/22	1.54	1.15 W	0.00	3 of 15 - Sale <sup>25</sup>
	8.341	1.51	12/20/22	6.05	4.54 W	0.00	4 of 15 - Sale <sup>25</sup>
	104.886	19.02	12/20/22	76.26	57.24 W	0.00	5 of 15 - Sale <sup>25</sup>
	7.659	1.39	12/20/22	3.44	2.05 W	0.00	6 of 15 - Sale <sup>25</sup>
	13.000	2.36	12/20/22	5.50	3.14 W	0.00	7 of 15 - Sale <sup>25</sup>
	48.683	8.83	12/20/22	21.88	13.05 W	0.00	8 of 15 - Sale <sup>25</sup>
	79.659	14.45	12/20/22	35.79	21.34 W	0.00	9 of 15 - Sale <sup>25</sup>
	7.000	1.27	12/20/22	2.49	1.22 W	0.00	10 of 15 - Sale <sup>25</sup>
	30.659	<i>5.5</i> 6	12/20/22	11.00	5.44 W	0.00	11 of 15 - Sale <sup>25</sup>
	82.341	14.93	12/20/22	29.52	14.59 W	0.00	12 of 15 - Sale <sup>25</sup>
	4.341	0.79	12/20/22	3.05	2.26 W	0.00	13 of 15 - Sale <sup>25</sup>
	0.641	0.12	12/20/22	0.44	0.32 W	0.00	14 of 15 - Sale <sup>25</sup>
	64.359	11.67	12/20/22	45.39	33.72 W	0.00	15 of 15 - Sale <sup>25</sup>
/20/22	458.683	83.20	Various	245.95	162.75 W	0.00	Total of 15 transactions
	Security total:	680.19		1,130.38	478.55 W	28.36	
O BRANDS, INC. /	CUSIP: 83425V104 / Symbol:						
	3 transactions for 08,	/11/22. Total proceeds a	and cost report	ed to the IRS.			
	0.021	0.12	08/08/22	0.10		0.02	1 of 3 - Sale <sup>25</sup>
	0.416	2.46	08/08/22	2.00		0.46	2 of 3 - Sale <sup>25</sup>
	9.979	58.88	08/08/22	47.90		10.98	3 of 3 - Sale <sup>25</sup>
8/11/22	10.416	61.46	Various	50.00		11.46	Total of 3 transactions
NAX THERAPEUTION	CS, INC. COMMO N STOCK / 0	CUSIP: 88032L209 / Sy	mbol:				
	6 transactions for 11	/15/22. Total proceeds a	and cost report	ed to the IRS.			
	9.000	1.66	11/07/22	0.85		0.81	1 of 6 - Sale <sup>25</sup>
	104.000	19.24	11/07/22	9.91	***	9.33	2 of 6 - Sale 25
	118.000	21.83	11/07/22	11.60	***	10.23	3 of 6 - Sale 25
	282.000	52.16	11/07/22	27.72	***	24.44	4 of 6 - Sale 25
				4.60		5.57	5 of 6 - Sale <sup>25</sup>

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

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Robinhood Markets Inc. as agent for **Robinhood Securities LLC** 

# **Proceeds from Broker and Barter Exchange Transactions**

Account 145603551

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1099-B\*** OMB No. 1545-0715

1a- Description of prop	perty/CUSIP/Symbol						
1c- Date sold or disposed		1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TENAX THERAPEUTIC	CS, INC. COMMO N STOCK / CUSIF	P: 88032L209 / Sy	mbol: (cont'd)	)			
	1,204.000	222.71	11/09/22	102.94		119.77	6 of 6 - Sale 25
11/15/22	1,772.000	327.77	Various	157.62		170.15	Total of 6 transactions
CHINA LIBERAL EDUC	CATION HOLDIN GS LIMITED ORDI	NARY SHARES /	CUSIP: G216	31Y109 / Symbol:			
11/16/22	110.000	136.49	11/16/22	137.50		-1.01	Sale <sup>25</sup>
11/17/22	112.000	134.95	11/16/22	140.00		-5.05	Sale <sup>25</sup>
	4 transactions for 12/22/22	. Total proceeds a	and cost repor	ted to the IRS.			
	100.000	96.01	12/20/22	98.36		-2.35	1 of 4 - Sale <sup>25</sup>
	100.000	96.01	12/20/22	98.36		-2.35	2 of 4 - Sale <sup>25</sup>
	28.5 <b>4</b> 8	27.48	12/20/22	28.08		-0.60	3 of 4 - Sale <sup>25</sup>
	0.208	0.20	12/20/22	0.20		0.00	4 of 4 - Sale <sup>25</sup>
12/22/22	228.756	219.70	Various	225.00		-5.30	Total of 4 transactions
	Security total:	491.14		502.50		-11.36	
FREIGHT TECHNOLO	GIES, INC. ORD INARY SHARES / (	CUSIP: G5141310	)5 / Symbol:				
	3 transactions for 12/01/22	. Total proceeds a	and cost repor	ted to the IRS.			
	100.000	29.75	11/30/22	28.00		1.75	1 of 3 - Sale <sup>25</sup>
	200.000	59.47	11/30/22	56.00		3.47	2 of 3 - Sale 25
	337.000	100.26	11/30/22	94.36		5.90	3 of 3 - Sale <sup>25</sup>
12/01/22	637.000	189.48	Various	178.36		11.12	Total of 3 transactions
JOWELL GLOBAL LTD	O. ORDINARY SH ARES / CUSIP: G5	5194C101 / Symb	ol:				
	3 transactions for 11/15/22	. Total proceeds a	and cost repor	ted to the IRS.			
	1.230	0.89	11/15/22	0.82		0.07	1 of 3 - Sale <sup>25</sup>
	98.770	71.10	11/15/22	66.14		4.96	2 of 3 - Sale <sup>25</sup>
	348.034	250.52	11/15/22	233.04		17. <i>4</i> 8	3 of 3 - Sale <sup>25</sup>
11/15/22	448.034	322.51	Various	300.00		22.51	Total of 3 transactions
	6 transactions for 11/17/22	. Total proceeds a	and cost repor	ted to the IRS.			
	10.000	6.12	11/16/22	6.10	···	0.02	1 of 6 - Sale <sup>25</sup>
	15.000	9.18	11/16/22	8.94		0.24	2 of 6 - Sale <sup>25</sup>
	218.000	133.49	11/16/22	132.98		0.51	3 of 6 - Sale <sup>25</sup>
	23.000	14.08	11/16/22	13.48		0.60	4 of 6 - Sale <sup>25</sup>
	270.000	165.32	11/16/22	160.92		4.40	5 of 6 - Sale <sup>25</sup>

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for Robinhood Securities LLC

# **Proceeds from Broker and Barter Exchange Transactions**

Account 145603551

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# $\textbf{SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column]} \ (\textit{Lines 2 \& 5}) \\$

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12) "Gain or loss (-)" is NOT reported to the IRS.

1a- Description of proj	perty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
JOWELL GLOBAL LTD	O. ORDINARY SH ARES / CUSI	P: G5194C101 / Symb	ol: (cont'd)				
11/17/22	315.000 851.000 <b>Security total:</b>	192.88 521.07 <b>843.58</b>	11/16/22 Various	185.85 508.27 <b>808.27</b>	 	7.03 12.80 <b>35.31</b>	6 of 6 - Sale <sup>25</sup> Total of 6 transactions
MAGIC EMPIRE GLOB	SAL LIMITED OR DINARY SHA		105 / Symbol:				
08/11/22	0.137 2 transactions for 08/	1.50 11/22. Total proceeds a	08/10/22 and cost report	1.74 ted to the IRS.		-0.24	Sale <sup>25</sup>
08/11/22	0.161 6.995 7.156	1.76 76.74 78.50	08/10/22 08/10/22 Various	2.24 97.76 100.00	0.48 W 21.02 W 21.50 W	0.00 0.00 0.00	1 of 2 - Sale <sup>25</sup> 2 of 2 - Sale <sup>25</sup> Total of 2 transactions
	4 transactions for 08/	15/22. Total proceeds a					
	6.418 0.577 0.161 0.582	98.13 8.82 2.46 8.90	08/10/22 08/10/22 08/10/22 08/10/22	100.79 9.06 2.52 7.39	  	-2.66 -0.24 -0.06 1.51	1 of 4 - Sale <sup>25</sup> 2 of 4 - Sale <sup>25</sup> 3 of 4 - Sale <sup>25</sup> 4 of 4 - Sale <sup>25</sup>
08/15/22	7.738 Security total:	118.31 <b>198.31</b>	Various	119.76 <b>221.50</b>	 21.50 W	-1.45 <b>-1.69</b>	Total of 4 transactions
TOP SHIPS, INC. COM	IMON STOCK / CUSIP: Y8897	Y198 / Symbol:					
10/27/22 <b>Totals</b> :	0.150	0.74 <b>5,220.55</b>	09/02/22	0.86 <b>5,747.58</b>	 551.44 W	-0.12 <b>24.41</b>	Sale <sup>25</sup>

# LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

1a- Description of property/CUSIP/S	ymbol						
1c- Date sold or		1d- Proceeds & 6- Reported	1b- Date	1e- Cost or	1f- Accrued mkt disc (D) & 1g- Wash sale loss	Gain or loss(-) & 7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	` ,	Additional information
TOP SHIPS, INC. COMMON STOCK / CUSIP: Y8897Y198 / Symbol:							
10/27/22	0.066	0.32	05/03/21	3.59		-3.27	Sale <sup>25</sup>
Totals:		0.32		3.59		-3.27	

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

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# **2022 End Notes for Account 145603551**

25 Tax lot closed on a first in first out basis.

#### Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

#### Keep tax documents for your records.

#### 1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or nonSection 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

#### Instructions for Recipient

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a patter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to 1009/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

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Statement Date: 02/13/2023

# Robinhood Markets Inc. as agent for **Robinhood Crypto LLC**

85 Willow Rd

Menlo Park, CA 94025

Customer Service: 650-940-2700

PAYER'S TIN: 46-4364776

# Tax Information Account 145603551C

Karthik Mothe

1300 North custer road, APT 8323

Allen, TX 75013

### RECIPIENT'S TIN: XXX-XX-5778

11 - [ ] FATCA filing requirement (see instructions)	Summar	y Information 13 -	- [ ] FATCA filing requirement (see instructions)
DIVIDENDS AND DISTRIBUTIONS 2022 1099-DIV*	OMB No. 1545-0110	MISCELLANEOUS INFORMATION 20	<b>1022 1099-MISC*</b> OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5, 2e)	0.00	2- Royalties	0.00
1b- Qualified dividends	0.00	3- Other income	0.00
2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)	0.00	4- Federal income tax withheld	0.00
2b- Unrecaptured Section 1250 gain	0.00	8- Substitute payments in lieu of dividends or interes	st 0.00
2c- Section 1202 gain	0.00	• •	
2d- Collectibles (28%) gain	0.00	SECTION 1256 CONTRACTS 20	<b>)22 1099-B*</b> OMB No. 1545-0715
2e- Section 897 ordinary dividends	0.00		
2f- Section 897 capital gain	0.00	8- Profit or (loss) realized in 2022 on closed contract	
3- Nondividend distributions	0.00	9- Unrealized profit or (loss) on open contracts-12/3	
4- Federal income tax withheld	0.00	<ol> <li>Unrealized profit or (loss) on open contracts-12/3</li> </ol>	
5- Section 199A dividends	0.00	11- Aggregate profit or (loss) on contracts	0.00
6- Investment expenses	0.00	If annihable muses de fuene cele transcrations and	
8- Foreign country or US possession: 7- Foreign tax paid:	0.00	If applicable, proceeds from sale transactions app	
9- Cash liquidation distributions	0.00	detailed in subsequent sections of this document.	
10- Noncash liquidation distributions	0.00	* This is important tax information and is being furnished to	
12- Exempt-interest dividends (includes line 13)	0.00	If you are required to file a return, a negligence penalty or	
13- Specified private activity bond interest dividends (AMT)	0.00	you if this income is taxable and the IRS determines that it	has not been reported.

### SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	B (basis not reported to the IRS)	2,915.31	2,938.52	0.00	0.00	-23.21
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	2,915.31	2,938.52	0.00	0.00	-23.21
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	2,915.31	2,938.52	0.00	0.00	-23.21
Withholding		Amount				
Federal income	tax withheld	0.00				

#### Robinhood Markets Inc. as agent for Account 145603551C **Robinhood Crypto LLC Summary Information** 2022 (continued) 02/13/2023

### INTEREST INCOME

### 2022 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

	Interest income (not included in line 3) Early withdrawal penalty	0.00 0.00
	Interest on US Savings Bonds & Treasury obligations	0.00
	Federal income tax withheld	0.00
5-	Investment expenses	0.00
7-	Foreign country or US possession: 6- Foreign tax paid:	0.00
8-	Tax-exempt interest (includes line 9)	0.00
	Specified private activity bond interest (AMT)	0.00
10-	Market discount (covered lots)	0.00
	Bond premium (covered lots)	0.00
12-	Bond premium on Treasury obligations (covered lots)	0.00
13-	Bond premium on tax-exempt bonds (categorized below)	0.00
	Tax-exempt obligations (covered lots)	0.00
	Tax-exempt private activity obligations (AMT, covered lots)	0.00
14-	Tax-exempt and tax credit bond CUSIP number	
	FATCA filing requirement [ ]	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued Treasury interest paid Tax-exempt accrued interest paid Tax-exempt accrued interest paid (AMT) Taxable accrued nonqualified interest paid Tax-exempt accrued nonqualified interest paid Tax-exempt accrued nonqualified interest paid Tax-exempt accrued nonqualified interest paid (AMT) Nonqualified interest Tax-exempt nonqualified interest Tax-exempt nonqualified interest (AMT) Interest shortfall on contingent payment debt Bond premium- Non Treasury obligations (noncovered lots) Bond premium- Treasury obligations (noncovered lots) Bond premium- Tax-exempt obligations (noncovered lots)	0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00
Bond premium- Tax-exempt obligations (noncovered lots) Bond premium- Tax-exempt obligations (AMT, noncovered lots)	

### STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

### ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year Acquisition premium (covered lots) Acquisition premium (noncovered lots)	0.00 0.00 0.00
Original issue discount on Treasury obligations Acquisition premium, Treasury obligations (covered lots)	0.00 0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

### RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

## Robinhood Markets Inc. as agent for Robinhood Crypto LLC

## **Proceeds from Broker and Barter Exchange Transactions**

02/13/2023

**2022 1099-B\*** OMB No. 1545-0715

Account 145603551C

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

### SHORT TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)

Report on Form 8949, Part I with Box B checked. Basis is NOT provided to the IRS. (Line 12)

"Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS.

1c- Date sold or disposed	f property/CUSIP/Symbol Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
Bitcoin SV / CUSIF	P: BSVUSD / Symbol:						
12/02/22	9.0487462399999983	376.54	12/01/22	383.05		-6.51	Sale <sup>25</sup>
Litecoin / CUSIP: L	LTCUSD / Symbol:						
12/26/22	3.285053000000000001	228.94	12/23/22	217.80		11.14	Sale <sup>25</sup>
Shiba Inu / CUSIP:	: SHIBUSD / Symbol:						
	2 transactions for 12/0	3/22. Total proceeds ar	e reported to	the IRS.			
	470,085.000	4.39	12/02/22	4.36		0.03	1 of 2 - Sale <sup>25</sup>
40/00/00	44,064,171.000	411.11	12/02/22	408.04		3.07	2 of 2 - Sale <sup>25</sup>
12/03/22	44,534,256.000	415.50	Various	412.40		3.10	Total of 2 transactions

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for **Robinhood Crypto LLC** 

**1099-B\*** OMB No. 1545-0715

2022

# **Proceeds from Broker and Barter Exchange Transactions**

Account 145603551C

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5) Report on Form 8949, Part I with Box B checked. Basis is NOT provided to the IRS. (Line 12)

"Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS.

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
Stellar Lumens /	CUSIP: XLMUSD / Symbol:						
	3 transactions for 11/2	3/22. Total proceeds ar	e reported to	the IRS.			
	5,728.000	500.30	11/17/22	521.46		-21.16	1 of 3 - Sale <sup>25</sup>
	1,490.000	130.15	11/17/22	134.86	•••	-4.71	2 of 3 - Sale 25
	10.000	0.87	11/17/22	0.91	•••	-0.04	3 of 3 - Sale <sup>25</sup>
11/23/22	7,228.000	631.32	Various	657.23		-25.91	Total of 3 transactions
ezos / CUSIP:	XTZUSD / Symbol:						
	2 transactions for 11/2	4/22. Total proceeds ar	e reported to	the IRS.			
	1.50300000000000114	1.51	11/23/22	1.50		0.01	1 of 2 - Sale <sup>25</sup>
	632.52999999999972715	636.25	11/23/22	629.95		6.30	2 of 2 - Sale 25
1/24/22	634.033	637.76	Various	631.45		6.31	Total of 2 transactions
1/26/22	631.94399999999959982	625.25	11/24/22	636.59		-11.34	Sale <sup>25</sup>
	Security total:	1,263.01		1,268.04	<b></b>	-5.03	
Γotals :	•	2,915.31		2,938.52		-23,21	

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for Robinhood Crypto LLC

# **2022 End Notes for Account 145603551C**

25 Tax lot closed on a first in first out basis.

#### Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

#### Keep tax documents for your records.

#### 1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number**. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or nonSection 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR)

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

#### Instructions for Recipient

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank. Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a harter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to 1009/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

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