Robinhood Markets Inc 85 Willow Road Menlo Park, CA 94025 650-940-2700



Nihal Baddam 3000 Monument Ave apt 2 Richmond, VA 23221

Master Account Number for Import: 482618279 **Document ID for Import:**V07D161FVK9

Enclosed is your 2022 Consolidated Tax Statement. 1099 Tax Forms for the following accounts are included in this package:

482618279 - Robinhood Securities LLC

**New for 2022 Tax Year** - Where applicable, 1099 Tax Forms for Robinhood Securities, Robinhood Crypto, and Robinhood Money are being consolidated into one package and reported under our parent entity, Robinhood Markets Inc. We have done this to ensure all forms that you need are provided at the same time in this consolidated package.

Important - Please utilize the Master Account Number and Document ID listed above if filing through tax service providers such as TurboTax or H&R Block. The Document ID is necessary in order to directly import your 1099 data into the tax software so that you do not need to manually enter each transaction. This year there is only one Master Account Number and Document ID that needs to be used to import all of your data across all accounts and 1099s (including crypto). Please note that tax software providers may have limits on the total number of transactions that can be directly imported using the Document ID

**CSVs** - In addition, a CSV file which includes the same information that is reported on your 1099 forms will be provided. The CSV is not a separate 1099. It is being provided as a supplement in a format that may be more helpful if you have a large number of transactions or if you are not using the Document ID to import all of your Securities, Crypto and Money activity. Tax software providers may have limits on the total number of transactions that can be uploaded and CSV files may not be compatible with tax service providers such as TurboTax or H&R Block.

Robinhood Retirements Accounts - If you had any reportable distributions or contributions within a Robinhood Retirement account, your 2022 tax forms 1099-R and/or 5498 will be delivered separately. Retirement accounts will be reported under Robinhood Securities LLC and will have their own unique Doc IDs for import to tax software providers such as TurboTax or H&R Block.



### Robinhood Markets Inc. as agent for **Robinhood Securities LLC**

85 Willow Road

Menlo Park, CA 94025

Customer Service: 650-940-2700

PAYER'S TIN: 46-4364776

### Tax Information **Statement Date: 02/13/2023** Account 482618279 Nihal Baddam 3000 Monument Ave apt 2 Richmond, VA 23221

11 - [ ] FATCA filing requirement (see instructions)	Summar	y Information	13 - [ ] FATCA filing require	ment (see instructions)
DIVIDENDS AND DISTRIBUTIONS 2022 1099-DIV*	OMB No. 1545-0110	MISCELLANEOUS INFORMATION	2022 1099-MISC*	OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5, 2e)	0.00	2- Royalties		0.00
1b- Qualified dividends	0.00	3- Other income		0.00
2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)	0.00	4- Federal income tax withheld		0.00
2b- Unrecaptured Section 1250 gain	0.00	8- Substitute payments in lieu of dividends or i	interest	0.00
2c- Section 1202 gain	0.00	, ,		
2d- Collectibles (28%) gain	0.00	SECTION 1256 CONTRACTS	2022 1099-B*	OMB No. 1545-0715
2e- Section 897 ordinary dividends	0.00			
2f- Section 897 capital gain	0.00	8- Profit or (loss) realized in 2022 on closed co		0.00
3- Nondividend distributions	0.00	9- Unrealized profit or (loss) on open contracts-12/31/2021		0.00
4- Federal income tax withheld	0.00	10- Unrealized profit or (loss) on open contracts-12/31/2022		0.00
5- Section 199A dividends	0.00	11- Aggregate profit or (loss) on contracts		0.00
6- Investment expenses	0.00			
8- Foreign country or US possession: 7- Foreign tax paid:	0.00	If applicable, proceeds from sale transaction		ow and are
9- Cash liquidation distributions	0.00	detailed in subsequent sections of this docu		
10- Noncash liquidation distributions	0.00	* This is important tax information and is being furnish		
12- Exempt-interest dividends (includes line 13)	0.00	If you are required to file a return, a negligence pena		
13- Specified private activity bond interest dividends (AMT)	0.00	you if this income is taxable and the IRS determines the	hat it has not been repor	ted.

RECIPIENT'S TIN: XXX-XX-3549

#### SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	232,441.26	606,214.20	0.00	75,070.74	-298,702.20
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	232,441.26	606,214.20	0.00	75,070.74	-298,702.20
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	232,441.26	606,214.20	0.00	75,070.74	-298,702.20
Withholding		Amount				
Federal income	tax withheld	0.00				

Robinhood Markets Inc. as agent for
Robinhood Securities LLC
Summary Information
(continued)
Account 482618279
02/13/2023

#### INTEREST INCOME

#### 2022 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

<ul><li>1- Interest income (not included in line 3)</li><li>2- Early withdrawal penalty</li></ul>	0.00 0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
Tax-exempt obligations (covered lots)	0.00
Tax-exempt private activity obligations (AMT, covered lots)	0.00
14- Tax-exempt and tax credit bond CUSIP number	
FATCA filling requirement []	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid Taxable accrued Treasury interest paid Tax-exempt accrued interest paid	0.00 0.00 0.00
Tax-exempt accrued interest paid (AMT) Taxable accrued nonqualified interest paid	0.00 0.00
Tax-exempt accrued nonqualified interest paid Tax-exempt accrued nonqualified interest paid (AMT)	0.00 0.00 0.00
Nonqualified interest Tax-exempt nonqualified interest Tax-exempt nonqualified interest (AMT)	0.00 0.00 0.00
Interest Shortfall on contingent payment debt Bond premium- Non Treasury obligations (noncovered lots)	0.00 0.00 0.00
Bond premium- Treasury obligations (noncovered lots) Bond premium- Treasury obligations (noncovered lots) Bond premium- Tax-exempt obligations (noncovered lots)	0.00 0.00 0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots) Market discount (noncovered lots)	0.00 0.00

#### STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

#### ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

#### RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	80.16
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

#### **Proceeds from Broker and Barter Exchange Transactions**

02/13/2023

Account 482618279

2022 1099-B\* OMB No. 1545-0715

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - IXI Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked, Basis is provided to the IRS. (Line 12) "Gain or loss (-)" is NOT reported to the IRS.

1a- Description of prope	erty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	
ADN 04/14/2022 CALL \$	2.50 / CUSIP: / Symbol:						
	4 transactions for 04/0	6/22. Total proceeds a	and cost report	ted to the IRS.			
	1.000	59.99	04/06/22	25.00		34.99	1 of 4 - Option sale to close-call 25
	1.000	74.98	04/06/22	25.00		49.98	2 of 4 - Option sale to close-call 25
	1.000	79.98	04/06/22	25.00		<i>54.</i> 98	3 of 4 - Option sale to close-call 25
	2.000	119.99	04/06/22	50.00		69.99	4 of 4 - Option sale to close-call 25
04/06/22	5.000	334.94	Various	125.00		209.94	Total of 4 transactions
AFRM 01/07/2022 CALL	\$113.00 / CUSIP: / Symbol:						
01/07/22	1.000	0.00	12/16/21	420.00		-420.00	Option expiration- long call

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpavers are ultimately responsible for the accuracy of their tax return(s).

### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1c- Date sold or disposed Quantity (G)ross or (N)et acquired other basis of the like sold or disposed 10- Date acquired other basis of the like sold or disposed Quantity (G)ross or (N)et acquired other basis of the like sold or other basis of the like sold of the like sold or other basis of the like sold of the like sold of the like sold or other basis of the like sold of the like sold or other basis of the like sold of the like sold or other basis of the like sold of the like sold or other basis of the like sold of the like sold or other basis of the like sold of the like sold or other basis of the like sold of the like sold or other basis of the like sold of the like sold or other basis of the like sold of the like sold or other basis of the like sold of the like sold or other basis of the like sold of the like sold or other basis of the like sold or other basis of the like sold of the like sold or other basis of the like sold of the like sold or other basis of the like sold of the like sold or other basis of the like sold or other basi	tion- long call tion- long call tion- long call tion- long call
disposed         Quantity         (G)ross or (N)et         acquired         other basis         disallowed (W)         also not reported (Z)         Additional information           AFRM 01/21/2022 CALL \$190.00 / CUSIP: / Symbol:           8 transactions for 01/21/22. Total proceeds and cost reported to the IRS.           1.000         0.00         11/10/21         900.02          -900.02         1 of 8 - Option expiral contents of a content of the IRS.           1.000         0.00         11/10/21         450.00          -450.00         2 of 8 - Option expiral contents of a content of the IRS.	tion- long call tion- long call tion- long call tion- long call
AFRM 01/21/2022 CALL \$190.00 / CUSIP: / Symbol:  8 transactions for 01/21/22. Total proceeds and cost reported to the IRS.  1.000 0.00 11/10/21 900.02900.02 1 of 8 - Option expira 1.000 0.00 11/10/21 450.00450.00 2 of 8 - Option expira	tion- long call tion- long call tion- long call tion- long call
8 transactions for 01/21/22. Total proceeds and cost reported to the IRS.  1.000 0.00 11/10/21 900.02900.02 1 of 8 - Option expira 1.000 0.00 11/10/21 450.00450.00 2 of 8 - Option expira	tion- long call tion- long call tion- long call
1.000 0.00 11/10/21 900.02900.02 1 of 8 - Option expira 1.000 0.00 11/10/21 450.00450.00 2 of 8 - Option expira	tion- long call tion- long call tion- long call
1.000 0.00 11/10/21 450.00450.00 2 of 8 - Option expira	tion- long call tion- long call tion- long call
	tion- long call tion- long call
1 000 0 00 11/18/21 490 00 -490 00 3 of 8 - Option expira	tion- long call
1.000 0.00 11/29/21 350.00350.00 4 of 8 - Option expira	tion- long call
1.000 0.00 11/29/21 300.00300.00 5 of 8 - Option expira	
3.000 0.00 12/07/21 225.00225.00 6 of 8 - Option expira	
2.000 0.00 12/07/21 170.00170.00 7 of 8 - Option expira	
9.000 0.00 12/22/21 135.00135.00 8 of 8 - Option expira	
01/21/22 19.000 0.00 Various 3,020.023,020.02 Total of 8 transaction	S
AFRM 03/25/2022 PUT \$40.00 / CUSIP: / Symbol:	
03/21/22 1.000 179.98 03/21/22 155.02 24.96 Option sale to close-p	
03/21/22 1.000 179.98 03/18/22 200.00 20.02 W 0.00 Option sale to close-p	out <sup>25</sup>
Security total: 359.96 355.02 20.02 W 24.96	
AFRM 04/01/2022 CALL \$30.00 / CUSIP: / Symbol:	
03/16/22 1.000 329.98 03/14/22 250.00 79.98 Option sale to close-or	all <sup>25</sup>
AFRM 05/20/2022 CALL \$50.00 / CUSIP: / Symbol:	
2 transactions for 03/17/22. Total proceeds and cost reported to the IRS.	
1.000 189.98 02/23/22 320.00 130.02 W 0.00 1 of 2 - Option sale to	close-call <sup>25</sup>
1.000 249.98 03/14/22 250.02 0.04 W 0.00 2 of 2 - Option sale to	
03/17/22 2.000 439.96 Various 570.02 130.06 W 0.00 Total of 2 transaction	s
03/18/22 1.000 399.98 03/16/22 130.04 269.94 Option sale to close-c	all <sup>25</sup>
Security total: 839.94 700.06 130.06 W 269.94	
AFRM 05/27/2022 PUT \$26.00 / CUSIP: / Symbol:	
05/27/22 2.000 0.00 05/26/22 68.0068.00 Option expiration- lon	g put
ALLK 02/18/2022 CALL \$7.50 / CUSIP: / Symbol:	
2 transactions for 02/15/22. Total proceeds and cost reported to the IRS.	
1.000 9.99 01/24/22 50.0040.01 1 of 2 - Option sale to	close-call 25
1.000 9.99 01/24/22 45.0035.01 2 of 2 - Option sale to	close-call <sup>25</sup>
02/15/22 2.000 19.98 Various 95.0075.02 Total of 2 transaction	3

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of proper	ty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC 03/25/2022 CALL \$2	20.00 / CUSIP: / Symbol:						
03/23/22	1.000	129.98	03/23/22	45.00		84.98	Option sale to close-call <sup>25</sup>
AMD 04/29/2022 CALL \$1	00.00 / CUSIP: / Symbol:						
	6 transactions for 04/2	9/22. Total proceeds a	and cost repor	ted to the IRS.			
04/29/22 AMD 04/29/2022 CALL \$1	3.000 1.000 1.000 1.000 1.000 1.000 8.000 10.00 / CUSIP: / Symbol: 2 transactions for 04/2 3.000 1.000	0.00 0.00 0.00 0.00 0.00 0.00 0.00 9/22. Total proceeds a 0.00 0.00	04/14/22 04/14/22 04/14/22 04/21/22 04/21/22 04/21/22 Various and cost repor 04/20/22 04/21/22	540.00 180.00 109.00 81.00 63.00 48.00 1,021.00 ted to the IRS. 72.00 10.00		-540.00 -180.00 -109.00 -81.00 -63.00 -48.00 -1,021.00	1 of 6 - Option expiration- long call 2 of 6 - Option expiration- long call 3 of 6 - Option expiration- long call 4 of 6 - Option expiration- long call 5 of 6 - Option expiration- long call Total of 6 transactions  1 of 2 - Option expiration- long call 2 of 2 - Option expiration- long call
04/29/22	4.000	0.00	Various	82.00		-82.00	Total of 2 transactions
AMD 05/06/2022 CALL \$1	07.00 / CUSIP: / Symbol:						
	3 transactions for 05/0	6/22. Total proceeds a	and cost repor	ted to the IRS.			
05/00/00	1.000 2.000 1.000	0.00 0.00 0.00	04/29/22 05/04/22 05/04/22	25.00 12.00 9.00	 	-25.00 -12.00 -9.00	1 of 3 - Option expiration- long call 2 of 3 - Option expiration- long call 3 of 3 - Option expiration- long call
05/06/22	4.000	0.00	Various	46.00		-46.00	Total of 3 transactions
AMD 05/06/2022 CALL \$1	•						
	2 transactions for 04/2						
04/29/22	3. <i>000</i> 2. <i>000</i> 5.000	14.99 9.99 24.98	04/20/22 04/20/22 Various	84.00 56.00 140.00	 	-69.01 -46.01 -115.02	1 of 2 - Option sale to close-call <sup>25</sup> 2 of 2 - Option sale to close-call <sup>25</sup> Total of 2 transactions

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of proper	ty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMD 05/13/2022 CALL \$1	10.00 / CUSIP: / Symbol:						
05/09/22	1.000 2 transactions for 05/1	4.98 1/22. Total proceeds a	04/14/22 and cost repo	132.00 rted to the IRS.	127.02 W	0.00	Option sale to close-call <sup>25</sup>
05/11/22 05/11/22	1.000 1.000 2.000 1.000 Security total:	0.99 0.99 1.98 0.98 <b>7.94</b>	04/26/22 05/05/22 Various 04/18/22	274.04 21.00 295.04 227.02 <b>654.06</b>	  226.04 W <b>353.06 W</b>	-273.05 -20.01 -293.06 0.00 <b>-293.06</b>	1 of 2 - Option sale to close-call <sup>25</sup> 2 of 2 - Option sale to close-call <sup>25</sup> Total of 2 transactions Option sale to close-call <sup>25</sup>
AMD 05/13/2022 CALL \$1	12.00 / CUSIP: / Symbol:						
	4 transactions for 05/13	3/22. Total proceeds a	and cost repo	rted to the IRS.			
05/13/22	1.000 1.000 1.000 1.000 4.000	0.00 0.00 0.00 0.00 0.00	04/18/22 04/18/22 05/04/22 05/05/22 Various	99.00 84.00 18.00 16.00 217.00	  	-99.00 -84.00 -18.00 -16.00 -217.00	1 of 4 - Option expiration- long call 2 of 4 - Option expiration- long call 3 of 4 - Option expiration- long call 4 of 4 - Option expiration- long call Total of 4 transactions
AMD 06/10/2022 CALL \$1	20.00 / CUSIP: / Symbol:						
05/13/22 AMD 06/17/2022 CALL \$1	1.000 20.00 / CUSIP: / Symbol:	69.98	05/10/22	35.00		34.98	Option sale to close-call <sup>25</sup>
05/06/22 05/06/22	1.000 1.000 4 transactions for 05/13	102.99 102.99 7/22. Total proceeds a	04/26/22 04/21/22 and cost repo	79.00 107.00 rted to the IRS.	 4.01 W	23.99 0.00	Option sale to close-call <sup>25</sup> Option sale to close-call <sup>25</sup>
05/17/22 05/18/22	1.000 1.000 1.000 1.000 4.000 1.000 Security total:	99.98 124.98 144.98 154.98 524.92 119.98 <b>850.88</b>	04/29/22 04/29/22 05/09/22 05/09/22 Various 05/09/22	89.01 84.00 56.00 62.00 291.01 65.00 <b>542.01</b>	    4.01 W	10.97 40.98 88.98 92.98 233.91 54.98	1 of 4 - Option sale to close-call <sup>25</sup> 2 of 4 - Option sale to close-call <sup>25</sup> 3 of 4 - Option sale to close-call <sup>25</sup> 4 of 4 - Option sale to close-call <sup>25</sup> Total of 4 transactions Option sale to close-call <sup>25</sup>
ANY 02/04/2022 CALL \$3.	•						
02/04/22	10.000	49.97	12/29/21	390.00		-340.03	Option sale to close-call <sup>25</sup>

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ANY 02/11/2022 CALL	_ \$3.50 / CUSIP: / Symbol:						
02/11/22	10.000	0.00	01/06/22	350.00	···	-350.00	Option expiration- long call
ANY 02/18/2022 CALL	_ \$2.00 / CUSIP: / Symbol:						
02/10/22 02/15/22	3.000 2.000	239.98 99.98	01/21/22 01/21/22	105.00 70.00		134.98 29.98	Option sale to close-call <sup>25</sup> Option sale to close-call <sup>25</sup>
	4 transactions for 02/1	16/22. Total proceeds a	and cost repor	ted to the IRS.			·
	2.000 1.000 2.000 2.000	99.99 49.99 103.98 119.98	01/24/22 01/28/22 01/28/22 01/28/22	50.00 24.00 48.00 48.00	   	49.99 25.99 55.98 71.98	1 of 4 - Option sale to close-call <sup>25</sup> 2 of 4 - Option sale to close-call <sup>25</sup> 3 of 4 - Option sale to close-call <sup>25</sup> 4 of 4 - Option sale to close-call <sup>25</sup>
02/16/22	7.000 Security total:	373.94 <b>713.90</b>	Various	170.00 <b>345.00</b>	•••	203.94 <b>368.90</b>	Total of 4 transactions
ANY 02/25/2022 CALL	_ \$2.50 / CUSIP: / Symbol:	7 10.00		040.00		300.30	
THE OLIZOIZOZZ OF CEL	2 transactions for 02/1	16/22 Total proceeds	and cost repor	ted to the IRS			
02/16/22	3.000 3.000 6.000	119.99 119.99 239.98	01/18/22 01/20/22 Various	126.00 93.00 219.00	 	-6.01 26.99 20.98	1 of 2 - Option sale to close-call <sup>25</sup> 2 of 2 - Option sale to close-call <sup>25</sup> Total of 2 transactions
ANY 03/18/2022 CALL	_ \$5.00 / CUSIP: / Symbol:						
	5 transactions for 03/1	18/22. Total proceeds a	and cost repor	ted to the IRS.			
	1.000 1.000 4.000 1.000 10.000	0.00 0.00 0.00 0.00 0.00	11/30/21 12/02/21 12/06/21 12/15/21 02/01/22	109.00 90.00 280.00 64.00 140.00	  	-109.00 -90.00 -280.00 -64.00 -140.00	1 of 5 - Option expiration- long call 2 of 5 - Option expiration- long call 3 of 5 - Option expiration- long call 4 of 5 - Option expiration- long call 5 of 5 - Option expiration- long call
03/18/22	17.000	0.00	Various	683.00		-683.00	Total of 5 transactions
ANY 03/18/2022 CALL	_ \$7.00 / CUSIP: / Symbol:						
	10 transactions for 03	/18/22. Total proceeds	and cost repo	orted to the IRS.			
	3.000	0.00	10/12/21	597.00		-597.00	1 of 10 - Option expiration- long call
	5.000	0.00	10/21/21	1,050.00		-1,050.00	2 of 10 - Option expiration- long call

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of propert	ty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ANY 03/18/2022 CALL \$7.	00 / CUSIP: / Symbol: (cont	d)					
	2.000	0.00	10/21/21	380.00		-380.00	3 of 10 - Option expiration- long call
	5.000	0.00	10/22/21	800.00		-800.00	4 of 10 - Option expiration- long call
	5.000	0.00	10/22/21	770.00		-770.00	5 of 10 - Option expiration- long call
	5.000	0.00	10/22/21	750.00		-750.00	6 of 10 - Option expiration- long call
	1.000	0.00	10/27/21	159.00		-159.00	7 of 10 - Option expiration- long call
	4.000	0.00	10/29/21	600.00		-600.00	8 of 10 - Option expiration- long call
	5.000	0.00	11/17/21	625.00		-625.00	9 of 10 - Option expiration- long call
	10.000	0.00	11/18/21	1,000.00		-1,000.00	10 of 10 - Option expiration- long call
03/18/22	45.000	0.00	Various	6,731.00		-6,731.00	Total of 10 transactions
ANY 06/17/2022 CALL \$2.	00 / CUSIP: / Symbol:						
	3 transactions for 04/0	5/22. Total proceeds a	and cost report	ed to the IRS.			
	1.000	99.99	03/14/22	40.00	•	59.99	1 of 3 - Option sale to close-call 25
	1.000	99.99	03/14/22	40.00	•••	59.99	2 of 3 - Option sale to close-call 25
	1.000	109.98	03/14/22	40.00	•••	69.98	3 of 3 - Option sale to close-call 25
04/05/22	3.000	309.96	Various	120.00		189.96	Total of 3 transactions
	2 transactions for 05/1	1/22. Total proceeds a	and cost report	ed to the IRS.			
	7.000	62.98	03/14/22	280.00		-217.02	1 of 2 - Option sale to close-call 25
	5.000	44.98	05/06/22	95.00		-50.02	2 of 2 - Option sale to close-call 25
05/11/22	12.000	107.96	Various	375.00	···	-267.04	Total of 2 transactions
	Security total:	417.92		495.00		-77.08	
ANY 06/17/2022 CALL \$3.	00 / CUSIP: / Symbol:						
04/05/22	1.000	74.98	01/21/22	50.00		24.98	Option sale to close-call <sup>25</sup>
0-1100/22	2 transactions for 04/0				•••	24.90	Splicit date to diode-dati
	5.000	274.98	01/18/22	365.00	90.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>

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#### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12) "Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol 1c- Date 1d- Proceeds & 1f- Accrued mkt disc (D) & Gain or loss(-) & 6- Reported 1e- Cost or 1q- Wash sale loss 7- Loss not allowed (X) sold or 1b- Date disposed Quantity (G)ross or (N)et acquired other basis disallowed (W) also not reported (Z) Additional information ANY 06/17/2022 CALL \$3.00 / CUSIP: / Symbol: (cont'd) 2 of 2 - Option sale to close-call 25 5.000 01/18/22 40.02 W 0.00 324.98 365.00 04/05/22 599.96 730.00 0.00 Total of 2 transactions 10.000 Various 130.04 W 10 transactions for 05/06/22. Total proceeds and cost reported to the IRS. 3.000 29.99 02/11/22 174.00 -144.01 1 of 10 - Option sale to close-call 1.000 10.00 02/24/22 46.00 -36.002 of 10 - Option sale to close-call 1 000 10.00 03/04/22 35 00 -25.00 3 of 10 - Option sale to close-call 2.000 19.99 03/07/22 106.01 -86.02 4 of 10 - Option sale to close-call 3 000 29 99 03/09/22 159 01 -129.02 5 of 10 - Option sale to close-call 2.000 19.99 03/16/22 66.01 -46.02 6 of 10 - Option sale to close-call 3 000 29 99 04/07/22 111 01 -81.02 7 of 10 - Option sale to close-call 2.000 20.00 04/07/22 58.00 -38.00 8 of 10 - Option sale to close-call 3 000 29 99 04/08/22 90 00 -60 01 9 of 10 - Option sale to close-call 1.000 10.00 04/08/22 28.00 -18.00 10 of 10 - Option sale to close-call 21 000 209.94 873 04 05/06/22 Various -663.10 Total of 10 transactions 884.88 1,653.04 130.04 W -638.12 Security total: ARVL 01/21/2022 CALL \$10.00 / CUSIP: / Symbol: 3 transactions for 01/21/22. Total proceeds and cost reported to the IRS. 5.000 0.00 12/06/21 225.00 -225.00 1 of 3 - Option expiration- long call 4.000 -180.00 2 of 3 - Option expiration- long call 0.00 12/06/21 180.00 1.000 0.00 12/06/21 42.00 -42.00 3 of 3 - Option expiration- long call 01/21/22 10.000 0.00 Various 447.00 -447.00 Total of 3 transactions ARVL 02/04/2022 CALL \$5.00 / CUSIP: / Symbol: 01/28/22 1.000 4.98 01/21/22 35.00 -30.02 Option sale to close-call 25

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of pr	operty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ARVL 02/18/2022 CA	LL \$3.50 / CUSIP: / Symbol:						
01/31/22 02/15/22	1.000 1.000	84.98 54.98	01/24/22 01/24/22	65.00 65.00		19.98 -10.02	Option sale to close-call <sup>25</sup> Option sale to close-call <sup>25</sup>
	Security total:	139.96		130.00	•••	9.96	
ARVL 02/25/2022 CA	LL \$5.00 / CUSIP: / Symbol:						
02/01/22 02/25/22	1.000 1.000 <b>Security total:</b>	69.98 0.00 <b>69.98</b>	01/21/22 01/21/22	45.00 45.00 <b>90.00</b>	 	24.98 -45.00 <b>-20.02</b>	Option sale to close-call <sup>25</sup> Option expiration- long call
ARVL 03/18/2022 CA	LL \$15.00 / CUSIP: / Symbol:						
	•	18/22. Total proceeds a	and cost report	ted to the IRS.			
	5.000	0.00	11/09/21	950.00		-950.00	1 of 6 - Option expiration- long call
	2.000	0.00	11/17/21	220.00	···	-220.00	2 of 6 - Option expiration- long call
	2.000	0.00	11/18/21	200.00		-200.00	3 of 6 - Option expiration- long call
	2.000	0.00	11/18/21	160.00		-160.00	4 of 6 - Option expiration- long call
	2.000	0.00	11/29/21	160.00		-160.00	5 of 6 - Option expiration- long call
	2.000	0.00	11/29/21	160.00		-160.00	6 of 6 - Option expiration- long call
03/18/22	15.000	0.00	Various	1,850.00		-1,850.00	Total of 6 transactions
ARVL 06/17/2022 CA	LL \$5.00 / CUSIP: / Symbol:						
06/01/22	1.000	4.98	01/27/22	75.00		-70.02	Option sale to close-call <sup>25</sup>
	6 transactions for 06/	17/22. Total proceeds a	and cost report	ted to the IRS.			
	4.000	0.00	01/27/22	300.00		-300.00	1 of 6 - Option expiration- long call
	10.000	0.00	02/03/22	1,250.00	<del></del>	-1,250.00	2 of 6 - Option expiration- long call
	1.000	0.00	03/11/22	60.00		-60.00	3 of 6 - Option expiration- long call
	5.000	0.00	03/28/22	250.00		-250.00	4 of 6 - Option expiration- long call
	2.000	0.00	04/08/22	70.00		-70.00	5 of 6 - Option expiration- long call
	10.000	0.00	04/14/22	200.00		-200.00	6 of 6 - Option expiration- long call
06/17/22	32.000	0.00	Various	2,130.00		-2,130.00	Total of 6 transactions
	Security total:	4.98		2,205.00		-2,200.02	

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12) "Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol 1c- Date 1d- Proceeds & 1f- Accrued mkt disc (D) & Gain or loss(-) & sold or 6- Reported 1e- Cost or 1q- Wash sale loss 7- Loss not allowed (X) 1b- Date disposed Quantity (G)ross or (N)et acquired other basis disallowed (W) also not reported (Z) Additional information ARVL 06/17/2022 CALL \$7.50 / CUSIP: / Symbol: 7 transactions for 06/17/22. Total proceeds and cost reported to the IRS. 3.000 0.00 01/18/22 360.00 -360.00 1 of 7 - Option expiration- long call 2.000 0.00 01/20/22 140.00 -140.00 2 of 7 - Option expiration- long call 2.000 0.00 02/17/22 130.00 -130.003 of 7 - Option expiration- long call 1.000 0.00 02/23/22 40.00 -40.00 4 of 7 - Option expiration- long call 1.000 0.00 03/09/22 35.00 -35.00 5 of 7 - Option expiration- long call 10.000 0.00 04/05/22 200.00 -200.00 6 of 7 - Option expiration- long call 1.000 0.00 04/18/22 7.00 -7.00 7 of 7 - Option expiration- long call 06/17/22 20.000 0.00 912.00 -912.00 Total of 7 transactions Various ASTR 01/21/2022 CALL \$10.00 / CUSIP: / Symbol: 2 transactions for 01/21/22. Total proceeds and cost reported to the IRS. 1.000 0.00 12/15/21 65.00 -65.00 1 of 2 - Option expiration- long call 1.000 0.00 12/27/21 40.00 -40.00 2 of 2 - Option expiration- long call 01/21/22 Total of 2 transactions 2.000 0.00 Various 105.00 -105 00 ASTR 02/18/2022 CALL \$7.50 / CUSIP: / Symbol: 2 transactions for 02/08/22. Total proceeds and cost reported to the IRS. 19.98 12/29/21 -90.02 1 of 2 - Option sale to close-call 25 1.000 110.00 1.000 19.98 12/29/21 110.00 -90.02 2 of 2 - Option sale to close-call 25 02/08/22 2 000 39 96 Various 220 00 -180 04 Total of 2 transactions 3 transactions for 02/09/22. Total proceeds and cost reported to the IRS. 1.000 15.98 12/29/21 110.00 -94.02 1 of 3 - Option sale to close-call 25 1.000 16.98 12/29/21 110.00 -93.02 2 of 3 - Option sale to close-call 25 16.98 -93.02 3 of 3 - Option sale to close-call 25 1.000 12/29/21 110.00 02/09/22 3.000 49.94 330.00 -280.06 Total of 3 transactions Various 89.90 550.00 -460.10 Security total: ASTR 05/20/2022 CALL \$5.00 / CUSIP: / Symbol: 05/20/22 1.000 0.00 03/15/22 50.00 -50.00 Option expiration-long call ASTR 05/20/2022 CALL \$7.50 / CUSIP: / Symbol: 03/07/22 70.00 -55.02 Option sale to close-call 25 1.000 14.98 02/07/22

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of property/	CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ASTR 08/19/2022 CALL \$5.0	00 / CUSIP: / Symbol:						
03/15/22	1.000	74.98	02/11/22	80.00	•••	-5.02	Option sale to close-call <sup>25</sup>
ASTS 01/21/2022 CALL \$12.	.50 / CUSIP: / Symbol:						
	2 transactions for 01/2	1/22. Total proceeds a	and cost report	ed to the IRS.			
01/21/22	5.000 5.000 10.000	0.00 0.00 0.00	10/18/21 10/22/21 Various	475.00 425.00 900.00	 	-475.00 -425.00 -900.00	1 of 2 - Option expiration- long call 2 of 2 - Option expiration- long call Total of 2 transactions
		0.00	various	900.00		-900.00	Total of 2 transactions
ASTS 02/18/2022 CALL \$10. 02/18/22	4.000	0.00	12/06/21	320.00	···	-320.00	Option expiration- long call
ASTS 08/19/2022 CALL \$10.	.00 / CUSIP: / Symbol:						
03/24/22	1.000	239.98	03/15/22	120.00		119.98	Option sale to close-call <sup>25</sup>
ASTS 08/19/2022 CALL \$7.5	0 / CUSIP: / Symbol:						
	9 transactions for 03/0	9/22. Total proceeds a	and cost report	ted to the IRS.			
03/09/22 04/14/22	1.000 1.000 1.000 1.000 1.000 1.000 1.000 1.000 9.000 1.000 Security total:	219.98 219.98 249.98 284.98 299.98 299.98 319.98 379.98 2,614.82 271.98 <b>2,886.80</b>	01/18/22 01/18/22 01/18/22 01/18/22 01/18/22 01/24/22 01/24/22 01/24/22 02/10/22 Various 02/10/22	130.00 130.00 130.00 130.00 130.00 80.00 80.00 110.00 1,000.00 1,110.00		89.98 89.98 119.98 154.98 169.98 219.98 239.98 259.98 269.98 1,614.82 161.98	1 of 9 - Option sale to close-call <sup>25</sup> 2 of 9 - Option sale to close-call <sup>25</sup> 3 of 9 - Option sale to close-call <sup>25</sup> 4 of 9 - Option sale to close-call <sup>25</sup> 5 of 9 - Option sale to close-call <sup>25</sup> 6 of 9 - Option sale to close-call <sup>25</sup> 7 of 9 - Option sale to close-call <sup>25</sup> 8 of 9 - Option sale to close-call <sup>25</sup> 9 of 9 - Option sale to close-call <sup>25</sup> Total of 9 transactions Option sale to close-call <sup>25</sup>
ATER 01/28/2022 CALL \$3.0	00 / CUSIP: / Symbol:						
01/28/22	1.000	0.00	01/24/22	11.00	•••	-11.00	Option expiration- long call

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#### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

3 of 10 - Option expiration-long

4 of 10 - Option expiration-long

5 of 10 - Option expiration-long

6 of 10 - Option expiration-long

7 of 10 - Option expiration-long

8 of 10 - Option expiration-long

-54.00

-27.00

-25.00

-5.00

-5.00

-5.00

call

call

call

call

call

**2022 1099-B\*** OMB No. 1545-0715

1a- Description of property/CUSIP/Symbol

(continued)

02/13/2023

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12) "Gain or loss (-)" is NOT reported to the IRS.

3.000

3.000

5.000

1.000

1.000

1.000

0.00

0.00

0.00

0.00

0.00

0.00

02/22/22

02/23/22

02/25/22

02/25/22

02/25/22

02/25/22

1c- Date 1d- Proceeds & 1f- Accrued mkt disc (D) & Gain or loss(-) & sold or 6- Reported 1e- Cost or 1q- Wash sale loss 7- Loss not allowed (X) 1b- Date disposed Quantity (G)ross or (N)et acquired other basis disallowed (W) also not reported (Z) Additional information ATER 02/18/2022 CALL \$7.50 / CUSIP: / Symbol: 5 transactions for 02/18/22. Total proceeds and cost reported to the IRS. 8.000 0.00 10/26/21 1.320.00 -1.320.001 of 5 - Option expiration- long call 2.000 0.00 10/27/21 290.00 2 of 5 - Option expiration- long call -290.00 1.000 0.00 11/02/21 130.00 -130.00 3 of 5 - Option expiration- long call 1.000 0.00 11/17/21 100.00 -100.00 4 of 5 - Option expiration- long call 8.000 0.00 11/18/21 680.00 -680.00 5 of 5 - Option expiration- long call 02/18/22 20.000 0.00 2.520.00 -2.520.00Total of 5 transactions Various ATER 02/25/2022 CALL \$3.00 / CUSIP: / Symbol: 02/10/22 99.98 54.98 Option sale to close-call 25 1.000 01/21/22 45.00 Option sale to close-call 25 02/16/22 1.000 69.98 01/21/22 45.00 24.98 79.96 169.96 90.00 Security total: ATER 03/04/2022 CALL \$3.00 / CUSIP: / Symbol: Option sale to close-call <sup>25</sup> 02/18/22 3.000 149.98 01/24/22 105.00 44.98 ATER 03/04/2022 CALL \$3.50 / CUSIP: / Symbol: 10 transactions for 03/04/22. Total proceeds and cost reported to the IRS. 10 000 0.00 01/18/22 610 00 -610.00 1 of 10 - Option expiration-long 5 000 0.00 02/22/22 70.00 -70.00 2 of 10 - Option expiration-long call

54.00

27.00

25.00

5.00

5.00

5.00

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of pr	operty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ATER 03/04/2022 CA	LL \$3.50 / CUSIP: / Symbol: (co	ont'd)					
	1.000	0.00	02/25/22	5.00		-5.00	9 of 10 - Option expiration- long call
	1.000	0.00	02/25/22	5.00		-5.00	10 of 10 - Option expiration- long call
03/04/22	31.000	0.00	Various	811.00		-811.00	Total of 10 transactions
ATER 04/14/2022 CA	LL \$3.00 / CUSIP: / Symbol:						
	2 transactions for 03/	23/22. Total proceeds a	and cost report	ed to the IRS.			
03/23/22	1.000 1.000 2.000	24.98 24.98 49.96 24/22. Total proceeds a	03/22/22 03/22/22 Various	20.00 20.00 40.00	 	4.98 4.98 9.96	1 of 2 - Option sale to close-call <sup>25</sup> 2 of 2 - Option sale to close-call <sup>25</sup> Total of 2 transactions
					0.00 14/	0.00	4 of 2 Ontion colo to along coll 25
	1.000 1.000	19.98 19.98	03/22/22 03/22/22	20.00 20.00	0.02 W 0.02 W	0.00 0.00	1 of 2 - Option sale to close-call <sup>25</sup> 2 of 2 - Option sale to close-call <sup>25</sup>
03/24/22	2.000	39.96	Various	40.00	0.04 W	0.00	Total of 2 transactions
	3 transactions for 03/	28/22. Total proceeds a	and cost report	ed to the IRS.			
	1.000 1.000 2.000	20.00 19.99 39.99	03/22/22 03/22/22 03/22/22	20.02 20.02 40.00	0.02 W 0.03 W 0.01 W	0.00 0.00 0.00	1 of 3 - Option sale to close-call $^{25}$ 2 of 3 - Option sale to close-call $^{25}$ 3 of 3 - Option sale to close-call $^{25}$
03/28/22	4.000 4 transactions for 03/	79.98 29/22. Total proceeds a	Various and cost report	80.04 ed to the IRS.	0.06 W	0.00	Total of 3 transactions
	2.000 1.000 1.000 1.000	35.99 18.00 18.00 17.99	03/22/22 03/25/22 03/25/22 03/25/22	40.00 12.03 12.02 12.01	 	-4.01 5.97 5.98 5.98	1 of 4 - Option sale to close-call <sup>25</sup> 2 of 4 - Option sale to close-call <sup>25</sup> 3 of 4 - Option sale to close-call <sup>25</sup> 4 of 4 - Option sale to close-call <sup>25</sup>
03/29/22	5.000 Security total:	89.98 <b>259.88</b>	Various	76.06 <b>236.10</b>	 0.10 W	13.92 <b>23.88</b>	Total of 4 transactions
ATER 05/20/2022 CA	LL \$7.50 / CUSIP: / Symbol:						
04/14/22	1.000	129.98 09/22. Total proceeds a	11/18/21 and cost report	130.00 ed to the IRS.	0.02 W	0.00	Option sale to close-call <sup>25</sup>
	1.000 3.000	12.98 38.99	11/18/21 11/18/21	130.00 390.00	117.02 W 351.01 W	0.00 0.00	1 of 3 - Option sale to close-call <sup>25</sup> 2 of 3 - Option sale to close-call <sup>25</sup>

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

a- Description of prope 1c- Date	,	1d- Proceeds &			1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or		6- Reported	1b- Date	1e- Cost or	1g- Wash sale loss	7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	` ,	Additional information
TER 05/20/2022 CALL	\$7.50 / CUSIP: / Symbol: (cc	nt'd)					
	2.000	25.99	02/10/22	78.00	52.01 W	0.00	3 of 3 - Option sale to close-call 25
05/09/22	6.000	77.96	Various	598.00	520.04 W	0.00	Total of 3 transactions
	5 transactions for 05/	10/22. Total proceeds a	and cost repo	ted to the IRS.			
	4.000	19.99	02/28/22	80.00		-60.01	1 of 5 - Option sale to close-call 25
	3.000	14.98	02/28/22	60.00		-45.02	2 of 5 - Option sale to close-call 25
	1.000	4.98	02/28/22	20.00		-15.02	3 of 5 - Option sale to close-call 25
	1.000	4.98	02/28/22	20.00	•••	-15.02	4 of 5 - Option sale to close-call 25
	1.000	4.98	02/28/22	20.00	•••	-15.02	5 of 5 - Option sale to close-call 2
05/10/22	10.000	49.91	Various	200.00		-150.09	Total of 5 transactions
	2 transactions for 05/	10/22. Total proceeds a	and cost repoi	ted to the IRS.			
	1.000	5.00	02/10/22	39.00	34.00 W	0.00	1 of 2 - Option sale to close-call 2
	5.000	24.98	02/24/22	95.00	14.00 W	-56.02	2 of 2 - Option sale to close-call 2
05/10/22	6.000	29.98	Various	134.00	48.00 W	-56.02	Total of 2 transactions
	10 transactions for 05	/11/22. Total proceeds	and cost repo	orted to the IRS.			
	9.000	44.98	03/23/22	72.00		-27.02	1 of 10 - Option sale to close-call
	1.000	5.00	03/23/22	8.02		-3.02	2 of 10 - Option sale to close-call
	1.000	5.00	04/05/22	23.00	···	-18.00	3 of 10 - Option sale to close-call
	1.000	5.00	04/05/22	23.00		-18.00	4 of 10 - Option sale to close-call
	3.000	14.98	04/06/22	48.00	···	-33.02	5 of 10 - Option sale to close-call
	1.000	4.99	04/06/22	16.00		-11.01	6 of 10 - Option sale to close-call
	1.000	5.00	04/06/22	16.00	···	-11.00	7 of 10 - Option sale to close-call
	1.000	5.00	04/06/22	16.00		-11.00	8 of 10 - Option sale to close-call
	1.000	5.00	04/06/22	16.00		-11.00	9 of 10 - Option sale to close-call

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of property	CUSIP/Symbol						
1c- Date		1d- Proceeds &			1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or		6- Reported	1b- Date	1e- Cost or	1g- Wash sale loss	7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	also not reported (Z)	Additional information
ATER 05/20/2022 CALL \$7.5	50 / CUSIP: / Symbol: (con	t'd)					
	1.000	5.00	04/06/22	16.00		-11.00	10 of 10 - Option sale to close-call
05/11/22	20.000	99.95	Various	254.02	***	-154.07	Total of 10 transactions
	7 transactions for 05/12	2/22. Total proceeds a	and cost repo	orted to the IRS.			
	2.000	9.99	04/06/22	32.00		-22.01	1 of 7 - Option sale to close-call <sup>25</sup>
	3.000	14.99	04/20/22	483.01		-468.02	2 of 7 - Option sale to close-call <sup>25</sup>
	1.000	5.00	04/20/22	161.02		-156.02	3 of 7 - Option sale to close-call <sup>25</sup>
	1.000	5.00	04/20/22	70.01		-65.01	4 of 7 - Option sale to close-call <sup>25</sup>
	1.000	5.00	05/06/22	48.00		-43.00	5 of 7 - Option sale to close-call <sup>25</sup>
	1.000	4.99	05/06/22	40.00		-35.01	6 of 7 - Option sale to close-call <sup>25</sup>
	1.000	5.00	05/06/22	28.00		-23.00	7 of 7 - Option sale to close-call <sup>25</sup>
05/12/22	10.000	49.97	Various	862.04		-812.07	Total of 7 transactions
	Security total:	437.75		2,178.06	568.06 W	-1,172.25	
ATER 06/17/2022 CALL \$5.0	00 / CUSIP: / Symbol:						
	2 transactions for 06/08	3/22. Total proceeds a	and cost repo	orted to the IRS.			
	4.000	11.98	05/11/22	100.00	88.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	3.00	05/12/22	28.00	25.00 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
06/08/22	5.000	14.98	Various	128.00	113.02 W	0.00	Total of 2 transactions
	5 transactions for 06/10	0/22. Total proceeds a	and cost repo	orted to the IRS.			
	1.000	0.98	05/20/22	42.00		-41.02	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	1.00	05/24/22	31.01		-30.01	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	0.99	05/24/22	31.00		-30.01	3 of 5 - Option sale to close-call 25
	1.000	1.00	06/01/22	48.03		-47.03	4 of 5 - Option sale to close-call 25
	1.000	0.99	06/01/22	32.00		-31.01	5 of 5 - Option sale to close-call <sup>25</sup>
06/10/22	5.000	4.96	Various	184.04		-179.08	Total of 5 transactions
06/10/22	1.000	0.98	05/20/22	42.01	41.03 W	0.00	Option sale to close-call <sup>25</sup>
	Security total:	20.92		354.05	154.05 W	-179.08	·
ATER 08/19/2022 CALL \$5.0	-						
04/26/22	1.000	184.98	03/17/22	25.00		159.98	Option sale to close-call <sup>25</sup>
0 11 L 01 L L	3 transactions for 04/28				•••	100.00	option date to diode dan
	1.000	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	03/17/22	25.00		129.98	1 of 3 - Option sale to close-call <sup>25</sup>
	7.000	707.00	50, 11, 22	25.00	•••	. 20.00	. c. c Sparin care to order dan

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of pro	operty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ATER 08/19/2022 CAL	LL \$5.00 / CUSIP: / Symbol: (co	nt'd)	•		• • • • • • • • • • • • • • • • • • • •	. , ,	
	1.000	160.98	03/17/22	25.00	···	135.98	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	164.98	03/17/22	25.00		139.98	3 of 3 - Option sale to close-call <sup>25</sup>
04/28/22	3.000	480.94	Various	75.00		405.94	Total of 3 transactions
04/29/22	1.000	162.98	03/17/22	25.00		137.98	Option sale to close-call 25
05/03/22	1.000	189.98	03/18/22	30.00		159.98	Option sale to close-call <sup>25</sup>
05/04/22	1.000	162.98	03/18/22	30.00	•••	132.98	Option sale to close-call 25
	7 transactions for 05/0	05/22. Total proceeds a	and cost repor	ted to the IRS.			·
	1.000	148.00	03/18/22	30.00		118.00	1 of 7 - Option sale to close-call 25
	1.000	148.00	03/18/22	25.00		123.00	2 of 7 - Option sale to close-call 25
	2.000	295.99	03/21/22	50.00		245.99	3 of 7 - Option sale to close-call 25
	2.000	295.99	03/23/22	66.00		229.99	4 of 7 - Option sale to close-call 25
	1.000	147.99	03/28/22	23.00		124.99	5 of 7 - Option sale to close-call 25
	1.000	148.00	03/28/22	23.00		125.00	6 of 7 - Option sale to close-call 25
	1.000	148.00	03/28/22	23.00		125.00	7 of 7 - Option sale to close-call 25
05/05/22	9.000	1,331.97	Various	240.00		1,091.97	Total of 7 transactions
	Security total:	2,513.83		425.00	···	2,088.83	
AVPT 04/14/2022 CAL	LL \$10.00 / CUSIP: / Symbol:						
	10 transactions for 04.	/14/22. Total proceeds	and cost repo	orted to the IRS.			
	10.000	0.00	11/05/21	1,100.00		-1,100.00	1 of 10 - Option expiration- long call
	3.000	0.00	11/16/21	210.00		-210.00	2 of 10 - Option expiration- long call
	4.000	0.00	12/07/21	160.00		-160.00	3 of 10 - Option expiration- long call
	10.000	0.00	02/25/22	50.00		-50.00	4 of 10 - Option expiration- long call
	5.000	0.00	03/18/22	25.00		-25.00	5 of 10 - Option expiration- long call
	1.000	0.00	03/18/22	5.00		-5.00	6 of 10 - Option expiration- long call
	1.000	0.00	03/18/22	5.00		-5.00	7 of 10 - Option expiration- long call
	1.000	0.00	03/18/22	5.00		-5.00	8 of 10 - Option expiration- long call

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of propert	y/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AVPT 04/14/2022 CALL \$1	0.00 / CUSIP: / Symbol: (c	cont'd)					
	1.000	0.00	03/18/22	5.00		-5.00	9 of 10 - Option expiration- long call
	1.000	0.00	03/18/22	5.00		-5.00	10 of 10 - Option expiration- long call
04/14/22	37.000	0.00	Various	1,570.00		-1,570.00	Total of 10 transactions
AVPT 05/20/2022 CALL \$7	'.00 / CUSIP: / Symbol:						
	2 transactions for 05/2	20/22. Total proceeds a	and cost repor	ted to the IRS.			
	10.000	0.00	03/24/22	200.00		-200.00	1 of 2 - Option expiration- long call
	6.000	0.00	03/30/22	60.00		-60.00	2 of 2 - Option expiration- long call
05/20/22	16.000	0.00	Various	260.00		-260.00	Total of 2 transactions
AYRO 02/18/2022 CALL \$5	5.00 / CUSIP: / Symbol:						
	7 transactions for 02/	18/22. Total proceeds a	and cost repor	ted to the IRS.			
	7.000	0.00	11/08/21	210.00	•••	-210.00	1 of 7 - Option expiration- long call
	5.000	0.00	11/08/21	150.00		-150.00	2 of 7 - Option expiration- long call
	5.000	0.00	11/08/21	150.00		-150.00	3 of 7 - Option expiration- long call
	2.000	0.00	11/08/21	60.00		-60.00	4 of 7 - Option expiration- long call
	1.000	0.00	11/08/21	29.00	···	-29.00	5 of 7 - Option expiration- long call
	8.000	0.00	11/18/21	120.00		-120.00	6 of 7 - Option expiration- long call
	2.000	0.00	11/18/21	30.00		-30.00	7 of 7 - Option expiration- long call
02/18/22	30.000	0.00	Various	749.00		-749.00	Total of 7 transactions
BABA 04/01/2022 CALL \$1	20.00 / CUSIP: / Symbol:						
03/30/22	1.000	159.98	03/30/22	98.00		61.98	Option sale to close-call <sup>25</sup>
BABA 04/22/2022 CALL \$1	20.00 / CUSIP: / Symbol:						
	3 transactions for 04/2	22/22. Total proceeds a	and cost repor	ted to the IRS.			
	1.000	0.00	04/12/22	18.00		-18.00	1 of 3 - Option expiration- long call
	1.000	0.00	04/14/22	7.00		-7.00	2 of 3 - Option expiration- long call
	1.000	0.00	04/18/22	3.00		-3.00	3 of 3 - Option expiration- long call
04/22/22	3.000	0.00	Various	28.00		-28.00	Total of 3 transactions

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1c- Date sold or		1d- Proceeds & 6- Reported	1b- Date	1e- Cost or	1f- Accrued mkt disc (D) & 1g- Wash sale loss	Gain or loss(-) & 7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et		other basis	disallowed (W)	` ,	Additional information
BABA 05/06/2022 CA	LL \$130.00 / CUSIP: / Symbol:						
	2 transactions for 04/04	/22. Total proceeds a	and cost repor	ted to the IRS.			
04/04/22	1.000 1.000 2.000	329.98 354.98 684.96	03/30/22 03/30/22 Various	400.00 420.02 820.02	70.02 W 65.04 W 135.06 W	0.00 0.00 0.00	1 of 2 - Option sale to close-call <sup>2</sup> 2 of 2 - Option sale to close-call <sup>2</sup> Total of 2 transactions
	15 transactions for 05/0	6/22. Total proceeds	and cost repo	orted to the IRS.			
	1.000	0.00	03/31/22	325.04		-325.04	1 of 15 - Option expiration- long call
	1.000	0.00	03/31/22	210.00		-210.00	2 of 15 - Option expiration- long call
	1.000	0.00	04/05/22	220.00		-220.00	3 of 15 - Option expiration- long call
	1.000	0.00	04/06/22	157.00		-157.00	4 of 15 - Option expiration- long call
	2.000	0.00	04/07/22	180.00		-180.00	5 of 15 - Option expiration- long call
	1.000	0.00	04/07/22	119.00		-119.00	6 of 15 - Option expiration- long call
	1.000	0.00	04/07/22	90.00		-90.00	7 of 15 - Option expiration- long call
	1.000	0.00	04/08/22	86.00		-86.00	8 of 15 - Option expiration- long call
	1.000	0.00	04/11/22	55.00		-55.00	9 of 15 - Option expiration- long call
	3.000	0.00	04/12/22	120.00		-120.00	10 of 15 - Option expiration- long call
	1.000	0.00	04/12/22	47.00		-47.00	11 of 15 - Option expiration- long call
	1.000	0.00	04/12/22	43.00		-43.00	12 of 15 - Option expiration- long call
	1.000	0.00	04/13/22	31.00		-31.00	13 of 15 - Option expiration- long call
	3.000	0.00	04/14/22	66.00		-66.00	14 of 15 - Option expiration- long call

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7 of 7 - Option sale to close-call 25

Total of 7 transactions

0.00

0.00

Robinhood Markets Inc. as agent for Robinhood Securities LLC

#### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

**2022 1099-B\*** OMB No. 1545-0715

06/06/22

(continued)

02/13/2023

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12) "Gain or loss (-)" is NOT reported to the IRS.

1.000

7.000

13.00

80.98

05/17/22

Various

1a- Description of property/CUSIP/Symbol 1c-Date 1d- Proceeds & 1f- Accrued mkt disc (D) & Gain or loss(-) & 6- Reported 1e- Cost or 1q- Wash sale loss 7- Loss not allowed (X) sold or 1b- Date disposed Quantity (G)ross or (N)et acquired other basis disallowed (W) also not reported (Z) Additional information BABA 05/06/2022 CALL \$130.00 / CUSIP: / Symbol: (cont'd) 04/14/22 15 of 15 - Option expiration- long 1.000 0.00 20.00 -20.0005/06/22 20 000 0.00 Various 1.769.04 -1.769.04Total of 15 transactions 684.96 2.589.06 -1.769.04Security total: 135.06 W BABA 05/13/2022 CALL \$110.00 / CUSIP: / Symbol: 3 transactions for 05/09/22. Total proceeds and cost reported to the IRS. 2.000 15.99 04/20/22 208.00 -192.01 1 of 3 - Option sale to close-call 25 7 99 05/06/22 2 of 3 - Option sale to close-call 25 1 000 28.00 -20.01 1.000 8.00 05/06/22 27.00 -19.00 3 of 3 - Option sale to close-call 25 05/09/22 4.000 31.98 Various 263.00 -231.02 Total of 3 transactions BABA 06/17/2022 CALL \$130.00 / CUSIP: / Symbol: 5 transactions for 05/26/22. Total proceeds and cost reported to the IRS. 1 000 19 98 04/12/22 190 00 170 02 W 0.00 1 of 5 - Option sale to close-call 25 1.000 190.00 0.00 2 of 5 - Option sale to close-call 25 19.98 04/12/22 170.02 W 1.000 19.98 04/14/22 125.00 105.02 W 0.00 3 of 5 - Option sale to close-call 25 4 of 5 - Option sale to close-call 25 1.000 19.99 04/14/22 125.00 105.01 W 0.00 1.000 19.99 04/20/22 87.00 67.01 W 0.00 5 of 5 - Option sale to close-call 25 Total of 5 transactions 05/26/22 5.000 99.92 Various 717 00 617.08 W 0.00 3 transactions for 06/06/22. Total proceeds and cost reported to the IRS. 1.000 12.99 05/18/22 120.01 -107.02 1 of 3 - Option sale to close-call 25 1.000 13.00 05/19/22 120.00 -107.00 2 of 3 - Option sale to close-call 25 3 of 3 - Option sale to close-call 25 1.000 12.99 05/20/22 107.00 -94.01 06/06/22 3.000 38.98 Various 347.01 -308.03Total of 3 transactions 7 transactions for 06/06/22. Total proceeds and cost reported to the IRS. 1 000 11 00 04/20/22 87 00 76 00 W 0.00 1 of 7 - Option sale to close-call 25 1.000 11.00 04/21/22 86.00 75.00 W 0.00 2 of 7 - Option sale to close-call 25 1.000 10.99 04/21/22 70.00 59.01 W 0.00 3 of 7 - Option sale to close-call 25 10.99 05/09/22 240.02 0.00 4 of 7 - Option sale to close-call 25 1.000 229.03 W 1.000 11.00 05/11/22 226.02 215.02 W 0.00 5 of 7 - Option sale to close-call 25 6 of 7 - Option sale to close-call 25 1.000 13.00 05/13/22 173.02 160.02 W 0.00

165.01

1.047.07

152.01 W

966.09 W

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of pro	perty/CUSIP/Symbol						
1c- Date sold or	Quantitu	1d- Proceeds & 6- Reported	1b- Date	1e- Cost or	1f- Accrued mkt disc (D) & 1g- Wash sale loss	Gain or loss(-) & 7- Loss not allowed (X)	Additional information
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	also not reported (Z)	Additional information
BABA 06/17/2022 CAL	LL \$130.00 / CUSIP: / Symbol: (	` '					
	5 transactions for 06/0	08/22. Total proceeds a	and cost repor	ted to the IRS.			
	1.000	49.98	05/20/22	254.03		-204.05	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	59.98	05/20/22	240.02		-180.04	2 of 5 - Option sale to close-call 25
	1.000	42.98	05/20/22	89.01	***	-46.03	3 of 5 - Option sale to close-call 25
	1.000	54.98	05/24/22	178.02	***	-123.04	4 of 5 - Option sale to close-call 25
	1.000	149.98	05/24/22	170.01	***	-20.03	5 of 5 - Option sale to close-call <sup>25</sup>
06/08/22	5.000	357.90	Various	931.09	•••	-573.19	Total of 5 transactions
	Security total:	577.78		3,042.17	1,583.17 W	-881.22	
BBBY 03/25/2022 CAL	L \$23.00 / CUSIP: / Symbol:						
03/25/22	1.000	99.98	03/24/22	40.02		59.96	Option sale to close-call 25
03/25/22	1.000	54.98	03/23/22	71.00	16.02 W	0.00	Option sale to close-call <sup>25</sup>
	Security total:	154.96		111.02	16.02 W	59.96	·
BBBY 03/25/2022 CAL	L \$25.00 / CUSIP: / Symbol:						
03/24/22	1.000	9.98	03/23/22	50.00		-40.02	Option sale to close-call <sup>25</sup>
BBIG 04/14/2022 CAL	L \$3.50 / CUSIP: / Symbol:						
	3 transactions for 03/3	30/22. Total proceeds a	and cost repor	ted to the IRS.			
	1.000	42.98	03/21/22	40.00		2.98	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	42.99	03/21/22	40.00		2.99	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	42.99	03/21/22	40.00		2.99	3 of 3 - Option sale to close-call <sup>25</sup>
03/30/22	3.000	128.96	Various	120.00		8.96	Total of 3 transactions
04/05/22	1.000	19.98	03/21/22	40.00	20.02 W	0.00	Option sale to close-call <sup>25</sup>
	3 transactions for 04/	14/22. Total proceeds a	and cost repor	ted to the IRS.			·
	1.000	0.00	03/21/22	40.00		-40.00	1 of 3 - Option expiration- long call
	1.000	0.00	04/01/22	40.02		-40.02	2 of 3 - Option expiration- long call
	1.000	0.00	04/11/22	10.00		-10.00	3 of 3 - Option expiration- long call
04/14/22	3.000	0.00	Various	90.02		-90.02	Total of 3 transactions
	Security total:	148.94		250.02	20.02 W	-81.06	
BBIG 04/14/2022 CAL	L \$4.00 / CUSIP: / Symbol:						
03/28/22	2.000	45.98	03/21/22	60.00		-14.02	Option sale to close-call <sup>25</sup>

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of propert	y/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BBIG 04/22/2022 CALL \$3.	00 / CUSIP: / Symbol:						
04/22/22	1.000	0.00	04/14/22	8.00		-8.00	Option expiration- long call
BBIG 08/26/2022 CALL \$2.	00 / CUSIP: / Symbol:						
	2 transactions for 08/1	8/22. Total proceeds a	and cost repo	rted to the IRS.			
08/18/22 08/26/22	1.000 1.000 2.000 1.000 Security total:	49.99 49.99 99.98 0.00 <b>99.98</b>	08/17/22 08/17/22 Various 08/17/22	30.00 20.00 50.00 20.00 <b>70.00</b>	   	19.99 29.99 49.98 -20.00 <b>29.98</b>	1 of 2 - Option sale to close-call <sup>25</sup> 2 of 2 - Option sale to close-call <sup>25</sup> Total of 2 transactions Option expiration- long call
BCTX 01/21/2022 CALL \$1	0.00 / CUSIP: / Symbol:						
	2 transactions for 01/1	8/22. Total proceeds a	and cost repo	rted to the IRS.			
01/18/22	4.000 1.000 5.000	39.98 10.00 49.98	12/29/21 12/29/21 Various	160.00 40.00 200.00	 	-120.02 -30.00 -150.02	1 of 2 - Option sale to close-call <sup>25</sup> 2 of 2 - Option sale to close-call <sup>25</sup> Total of 2 transactions
BCTX 02/18/2022 CALL \$1	0.00 / CUSIP: / Symbol:						
02/10/22 02/11/22	2.000 3.000 Security total:	39.98 44.98 <b>84.96</b>	01/10/22 01/10/22	100.00 150.00 <b>250.00</b>	 	-60.02 -105.02 <b>-165.04</b>	Option sale to close-call <sup>25</sup> Option sale to close-call <sup>25</sup>
BCTX 03/18/2022 CALL \$7	7.50 / CUSIP: / Symbol:						
02/18/22	2.000 4 transactions for 03/0	239.98 1/22. Total proceeds a	01/24/22 and cost repo	160.00 rted to the IRS.		79.98	Option sale to close-call <sup>25</sup>
	2.000 1.000 1.000 1.000	169.99 85.00 85.00 84.99	01/24/22 01/24/22 01/24/22 01/25/22	180.00 80.00 80.00 90.00	 	-10.01 5.00 5.00 -5.01	1 of 4 - Option sale to close-call <sup>25</sup> 2 of 4 - Option sale to close-call <sup>25</sup> 3 of 4 - Option sale to close-call <sup>25</sup> 4 of 4 - Option sale to close-call <sup>25</sup>
03/01/22	5.000 Security total:	424.98 <b>664.96</b>	Various	430.00 <b>590.00</b>	 	-5.02 <b>74.96</b>	Total of 4 transactions

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of prope	rty/CUSIP/Symbol						
1c- Date		1d- Proceeds &			1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or		6- Reported	1b- Date	1e- Cost or	1g- Wash sale loss	7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	also not reported (Z)	Additional information
BCTX 04/14/2022 CALL S	\$10.00 / CUSIP: / Symbol:						
	2 transactions for 03/30/	'22. Total proceeds a	and cost repo	orted to the IRS.			
	5.000	424.98	10/20/21	1,075.00	650.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	85.00	10/22/21	210.00	125.00 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
03/30/22	6.000	509.98	Various	1,285.00	775.02 W	0.00	Total of 2 transactions
04/07/22	3.000	449.98	10/22/21	630.00	180.02 W	0.00	Option sale to close-call <sup>25</sup>
04/08/22	1.000	199.99	01/18/22	125.00		74.99	Option sale to close-call <sup>25</sup>
04/08/22	1.000	199.99	10/22/21	210.00	10.01 W	0.00	Option sale to close-call <sup>25</sup>
	18 transactions for 04/12	2/22. Total proceeds	and cost rep	oorted to the IRS.			
	1.000	214.98	01/18/22	125.00		89.98	1 of 18 - Option sale to close-call
	3.000	479.98	01/18/22	375.00		104.98	2 of 18 - Option sale to close-call
	3.000	314.99	01/21/22	285.00		29.99	3 of 18 - Option sale to close-call
	2.000	209.99	01/24/22	90.00		119.99	4 of 18 - Option sale to close-call
	1.000	100.00	02/22/22	55.00		45.00	5 of 18 - Option sale to close-call
	2.000	210.00	02/22/22	110.00		100.00	6 of 18 - Option sale to close-call
	3.000	314.99	02/22/22	165.00		149.99	7 of 18 - Option sale to close-call
	4.000	399.98	02/22/22	220.00		179.98	8 of 18 - Option sale to close-call
	1.000	130.00	03/14/22	115.02		14.98	9 of 18 - Option sale to close-call
	1.000	129.99	03/14/22	50.01		79.98	10 of 18 - Option sale to close-call
	3.000	389.98	03/14/22	300.02		89.96	11 of 18 - Option sale to close-call
	1.000	129.99	03/21/22	90.03		39.96	12 of 18 - Option sale to close-call
	1.000	129.99	03/21/22	90.02		39.97	13 of 18 - Option sale to close-call 25

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of prop	erty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BCTX 04/14/2022 CALL	. \$10.00 / CUSIP: / Symbol: (	cont'd)					
	1.000	139.99	03/21/22	95.01		44.98	14 of 18 - Option sale to close-call
	1.000	139.99	03/21/22	90.03		49.96	15 of 18 - Option sale to close-call
	1.000	140.00	03/21/22	90.00		50.00	16 of 18 - Option sale to close-call
	1.000	99.98	03/21/22	45.00		54.98	17 of 18 - Option sale to close-call
	2.000	199.98	03/21/22	90.00		109.98	18 of 18 - Option sale to close-call
04/12/22	32.000 6 transactions for 04/	3,874.80 /12/22. Total proceeds a	Various and cost repor	2,480.14 ted to the IRS.		1,394.66	Total of 18 transactions
	1.000	99.98	03/09/22	175.00	75.02 W	0.00	1 of 6 - Option sale to close-call 25
	1.000	129.98	03/09/22	175.01	45.03 W	0.00	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	129.98	03/09/22	175.00	45.02 W	0.00	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	129.98	03/09/22	175.01	45.03 W	0.00	4 of 6 - Option sale to close-call 25
	1.000	130.00	03/09/22	175.00	45.00 W	0.00	5 of 6 - Option sale to close-call <sup>25</sup>
	1.000	129.99	03/14/22	180.00	50.01 W	0.00	6 of 6 - Option sale to close-call <sup>25</sup>
04/12/22	6.000 2 transactions for 04/	749.91 /13/22. Total proceeds a	Various and cost repor	1,055.02 ted to the IRS.	305.11 W	0.00	Total of 6 transactions
	1.000	64.98	03/21/22	35.00		29.98	1 of 2 - Option sale to close-call 25
	1.000	199.98	03/21/22	35.00		164.98	2 of 2 - Option sale to close-call <sup>25</sup>
04/13/22	2.000	264.96	Various	70.00		194.96	Total of 2 transactions
		/14/22. Total proceeds a					
	3.000	0.00	03/21/22	105.00		-105.00	1 of 4 - Option expiration- long call
	1.000	0.00	03/21/22	30.00	···	-30.00	2 of 4 - Option expiration- long call
	1.000	0.00	03/21/22	30.00	···	-30.00	3 of 4 - Option expiration- long call
	3.000	0.00	04/13/22	45.00	···	-45.00	4 of 4 - Option expiration- long call
04/14/22	8.000	0.00	Various	210.00		-210.00	Total of 4 transactions
	Security total:	6,249.61		6,065.16	1,270.16 W	1,454.61	

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of prop	erty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BCTX 04/14/2022 CALL	. \$12.50 / CUSIP: / Symbol:						
	10 transactions for 04.	/14/22. Total proceeds	and cost repo	orted to the IRS.			
	10.000	0.00	04/12/22	250.00		-250.00	1 of 10 - Option expiration- long call
	5.000	0.00	04/12/22	175.00		-175.00	2 of 10 - Option expiration- long call
	3.000	0.00	04/12/22	165.00		-165.00	3 of 10 - Option expiration- long call
	4.000	0.00	04/12/22	140.00		-140.00	4 of 10 - Option expiration- long call
	1.000	0.00	04/12/22	55.00		-55.00	5 of 10 - Option expiration- long call
	1.000	0.00	04/12/22	35.00		-35.00	6 of 10 - Option expiration- long call
	3.000	0.00	04/13/22	60.00		-60.00	7 of 10 - Option expiration- long call
	10.000	0.00	04/13/22	50.00		-50.00	8 of 10 - Option expiration- long call
	2.000	0.00	04/13/22	40.00		-40.00	9 of 10 - Option expiration- long call
	3.000	0.00	04/13/22	30.00		-30.00	10 of 10 - Option expiration- long call
04/14/22	42.000	0.00	Various	1,000.00	***	-1,000.00	Total of 10 transactions
BCTX 04/14/2022 CALL	. \$17.50 / CUSIP: / Symbol:						
	2 transactions for 04/1	3/22. Total proceeds a	and cost repor	ted to the IRS.			
	1.000 1.000	39.98 39.98	04/11/22 04/11/22	10.00 10.00		29.98 29.98	1 of 2 - Option sale to close-call <sup>25</sup> 2 of 2 - Option sale to close-call <sup>25</sup>
04/13/22	2.000 5 transactions for 04/1	79.96 4/22. Total proceeds a	Various and cost report	20.00 ted to the IRS.		59.96	Total of 2 transactions
	1.000	0.00	04/11/22	10.00		-10.00	1 of 5 - Option expiration- long call
	7.000	0.00	04/12/22	105.00		-105.00	2 of 5 - Option expiration- long call
	2.000	0.00	04/12/22	20.00		-20.00	3 of 5 - Option expiration- long call
	1.000	0.00	04/12/22	5.00	•••	-5.00	4 of 5 - Option expiration- long call
0.4/4.4/00	1.000	0.00	04/13/22	15.00		-15.00	5 of 5 - Option expiration- long call
04/14/22	12.000	0.00	Various	155.00		-155.00	Total of 5 transactions

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of property/CU	JSIP/Symbol						
1c- Date		1d- Proceeds &			1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or		6- Reported	1b- Date	1e- Cost or	1g- Wash sale loss	7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	also not reported (Z)	Additional information
BCTX 04/14/2022 CALL \$17.50	/ CUSIP: / Symbol: (cont	'd)					
	Security total:	79.96		175.00		-95.04	
BCTX 05/20/2022 CALL \$10.00	/ CUSIP: / Symbol:						
05/20/22	3.000	0.00	04/14/22	210.00	***	-210.00	Option expiration- long call
BCTX 05/20/2022 CALL \$12.50	/ CUSIP: / Symbol:						
05/09/22	1.000	4.98	04/14/22	30.00	25.02 W	0.00	Option sale to close-call <sup>25</sup>
	3 transactions for 05/20/2	22. Total proceeds a	and cost repo	rted to the IRS.			
	7.000	0.00	04/14/22	210.00		-210.00	1 of 3 - Option expiration- long call
	1.000	0.00	04/14/22	65.02	•••	-65.02	2 of 3 - Option expiration- long call
	1.000	0.00	04/14/22	40.00		-40.00	3 of 3 - Option expiration- long call
05/20/22	9.000	0.00	Various	315.02	•••	-315.02	Total of 3 transactions
	Security total:	4.98		345.02	25.02 W	-315.02	
BCTX 06/17/2022 CALL \$7.50 /	CUSIP: / Symbol:						
	4 transactions for 06/06/2	22. Total proceeds a	and cost repo	rted to the IRS.			
	1.000	10.00	05/12/22	10.00		0.00	1 of 4 - Option sale to close-call <sup>25</sup>
	5.000	49.98	05/13/22	75.00		-25.02	2 of 4 - Option sale to close-call 25
	2.000	19.99	05/13/22	30.00	•••	-10.01	3 of 4 - Option sale to close-call 25
	1.000	10.00	05/13/22	20.00	•••	-10.00	4 of 4 - Option sale to close-call 25
06/06/22	9.000	89.97	Various	135.00		-45.03	Total of 4 transactions
BCTX 07/15/2022 CALL \$7.50 /	CUSIP: / Symbol:						
	2 transactions for 07/06/2	22. Total proceeds a	and cost repo	rted to the IRS.			
	1.000	14.98	07/05/22	10.00		4.98	1 of 2 - Option sale to close-call 25
	1.000	14.98	07/05/22	10.00	•••	<i>4</i> .98	2 of 2 - Option sale to close-call <sup>25</sup>
07/06/22	2.000	29.96	Various	20.00		9.96	Total of 2 transactions
07/08/22	1.000	9.98	07/05/22	10.00	•••	-0.02	Option sale to close-call <sup>25</sup>
	Security total:	39.94		30.00	···	9.94	
BCTX 08/19/2022 CALL \$7.50 /	CUSIP: / Symbol:						
08/03/22	1.000	19.98	08/01/22	20.00		-0.02	Option sale to close-call <sup>25</sup>
08/12/22	1.000	9.98	08/01/22	20.00		-10.02	Option sale to close-call <sup>25</sup>
· <del></del>	Security total:	29.96		40.00		-10.04	
	• • • • • • • • • • • • • • • • • • • •						

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of pro	perty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BFLY 04/14/2022 CAL	L \$12.50 / CUSIP: / Symbol:						
	10 transactions for 04	/14/22. Total proceeds	and cost repo	rted to the IRS.			
	10.000	0.00	11/05/21	1,100.00	•••	-1,100.00	1 of 10 - Option expiration- long call
	2.000	0.00	11/09/21	170.00		-170.00	2 of 10 - Option expiration- long call
	1.000	0.00	11/10/21	80.00		-80.00	3 of 10 - Option expiration- long call
	1.000	0.00	11/10/21	70.00		-70.00	4 of 10 - Option expiration- long call
	6.000	0.00	11/12/21	360.00		-360.00	5 of 10 - Option expiration- long call
	20.000	0.00	11/15/21	500.00		-500.00	6 of 10 - Option expiration- long call
	1.000	0.00	02/15/22	5.00		-5.00	7 of 10 - Option expiration- long call
	1.000	0.00	02/15/22	5.00		-5.00	8 of 10 - Option expiration- long call
	1.000	0.00	02/15/22	5.00		-5.00	9 of 10 - Option expiration- long call
	10.000	0.00	02/17/22	50.00		-50.00	10 of 10 - Option expiration- long call
04/14/22	53.000	0.00	Various	2,345.00		-2,345.00	Total of 10 transactions
BFLY 04/14/2022 CAL	L \$7.50 / CUSIP: / Symbol:						
	2 transactions for 03/2	29/22. Total proceeds a	and cost report	ted to the IRS.			
	1.000	4.98	11/29/21	100.00	95.02 W	0.00	1 of 2 - Option sale to close-call 25
	1.000	4.98	11/29/21	100.00	95.02 W	0.00	2 of 2 - Option sale to close-call 25
03/29/22	2.000	9.96	Various	200.00	190.04 W	0.00	Total of 2 transactions
	11 transactions for 04	/14/22. Total proceeds	and cost repo	rted to the IRS.			
	3.000	0.00	11/29/21	300.00		-300.00	1 of 11 - Option expiration- long call
	3.000	0.00	12/06/21	210.00		-210.00	2 of 11 - Option expiration- long call
	3.000	0.00	12/29/21	180.00		-180.00	3 of 11 - Option expiration- long call

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of property	/CUSIP/Symbol						
1c- Date		1d- Proceeds &			1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or disposed	Quantity	6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1g- Wash sale loss disallowed (W)	7- Loss not allowed (X)	Additional information
BFLY 04/14/2022 CALL \$7.5	· · · · · · · · · · · · · · · · · · ·	. , . ,	acquireu	Other basis	uisalloweu (**)	also not reported (2)	Additional information
BFL1 04/14/2022 CALL \$7.5							
	2.000	0.00	01/18/22	100.00		-100.00	4 of 11 - Option expiration- long call
	3.000	0.00	01/27/22	60.00		-60.00	5 of 11 - Option expiration- long
	4.000	0.00	02/07/22	116.00		-116.00	6 of 11 - Option expiration- long call
	10.000	0.00	02/15/22	250.00		-250.00	7 of 11 - Option expiration- long call
	5.000	0.00	02/17/22	120.00		-120.00	8 of 11 - Option expiration- long call
	1.000	0.00	03/17/22	110.02		-110.02	9 of 11 - Option expiration- long call
	1.000	0.00	03/17/22	110.02		-110.02	10 of 11 - Option expiration- long call
	3.000	0.00	03/17/22	45.00		-45.00	11 of 11 - Option expiration- long call
04/14/22	38.000	0.00	Various	1,601.04		-1,601.04	Total of 11 transactions
	Security total:	9.96		1,801.04	190.04 W	-1,601.04	
BFLY 05/20/2022 CALL \$5.0	00 / CUSIP: / Symbol:						
05/20/22	5.000	0.00	04/05/22	175.00	•••	-175.00	Option expiration- long call
BFLY 07/15/2022 CALL \$7.5	50 / CUSIP: / Symbol:						
	2 transactions for 07/15	5/22. Total proceeds a	and cost repo	rted to the IRS.			
	10.000	0.00	03/02/22	300.00	•••	-300.00	1 of 2 - Option expiration- long call
	5.000	0.00	03/24/22	125.00		-125.00	2 of 2 - Option expiration- long call
07/15/22	15.000	0.00	Various	425.00	•••	-425.00	Total of 2 transactions
BFLY 10/21/2022 CALL \$5.0	00 / CUSIP: / Symbol:						
05/24/22	1.000	17.98	03/14/22	60.00	42.02 W	0.00	Option sale to close-call <sup>25</sup>
05/26/22	1.000	24.98	05/09/22	67.02		-42.04	Option sale to close-call <sup>25</sup>
	Security total:	42.96		127.02	42.02 W	-42.04	
BKKT 01/21/2022 CALL \$10	.50 / CUSIP: / Symbol:						
01/21/22	3.000	0.00	12/22/21	255.00		-255.00	Option expiration- long call

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of property							
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BKKT 01/21/2022 CALL \$1	7.50 / CUSIP: / Symbol:						
01/21/22	5.000	0.00	12/03/21	550.00	***	-550.00	Option expiration- long call
BKKT 01/21/2022 CALL \$2	2.50 / CUSIP: / Symbol:						
	3 transactions for 01/2	1/22. Total proceeds a	and cost report	ted to the IRS.			
01/21/22	10.000 2.000 1.000 13.000	0.00 0.00 0.00 0.00	11/16/21 11/18/21 11/30/21 Various	2,250.00 340.00 140.00 2,730.00	  	-2,250.00 -340.00 -140.00 -2,730.00	1 of 3 - Option expiration- long call 2 of 3 - Option expiration- long call 3 of 3 - Option expiration- long call Total of 3 transactions
BKKT 01/21/2022 CALL \$6	.50 / CUSIP: / Symbol:			,		•	
01/21/22 BKKT 01/21/2022 CALL \$9	1.000	0.00	01/12/22	35.00		-35.00	Option expiration- long call
BITITY O 112 112022 OALL WO	2 transactions for 01/2	1/22 Total proceeds a	and cost renor	ted to the IRS			
01/21/22	3.000 2.000 5.000	0.00 0.00 0.00 0.00	12/29/21 12/29/21 Various	300.00 200.00 500.00	 	-300.00 -200.00 -500.00	1 of 2 - Option expiration- long call 2 of 2 - Option expiration- long call Total of 2 transactions
BKKT 02/11/2022 CALL \$4	.50 / CUSIP: / Symbol:						
02/01/22 02/07/22	1.000 1.000 Security total:	54.98 59.98 <b>114.96</b>	01/21/22 01/21/22	49.00 49.00 <b>98.00</b>	 	5.98 10.98 <b>16.96</b>	Option sale to close-call <sup>25</sup> Option sale to close-call <sup>25</sup>
BKKT 03/04/2022 CALL \$6	.00 / CUSIP: / Symbol:						
	2 transactions for 02/0	7/22. Total proceeds a	and cost repon	ted to the IRS.			
02/07/22	3.000 1.000 4.000	299.99 99.99 399.98	01/19/22 02/01/22 Various	270.00 32.00 302.00	 	29.99 67.99 97.98	1 of 2 - Option sale to close-call <sup>25</sup> 2 of 2 - Option sale to close-call <sup>25</sup> Total of 2 transactions
BKKT 03/18/2022 CALL \$4	.00 / CUSIP: / Symbol:						
02/09/22	1.000	339.98	01/24/22	74.00		265.98	Option sale to close-call <sup>25</sup>
BKKT 05/20/2022 CALL \$4	.00 / CUSIP: / Symbol:						
05/06/22	1.000	18.98	05/04/22	30.00		-11.02	Option sale to close-call <sup>25</sup>

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of pro	perty/CUSIP/Symbol						
1c- Date		1d- Proceeds &			1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or	<b>-</b>	6- Reported	1b- Date	1e- Cost or	1g- Wash sale loss	7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	also not reported (Z)	Additional information
BKKT 05/20/2022 CAL	L \$6.00 / CUSIP: / Symbol:						
	2 transactions for 02/	10/22. Total proceeds a	and cost repor	ted to the IRS.			
	1.000	319.98	01/18/22	145.00		174.98	1 of 2 - Option sale to close-call 25
	1.000	349.98	01/18/22	145.00		204.98	2 of 2 - Option sale to close-call 25
02/10/22	2.000	669.96	Various	290.00		379.96	Total of 2 transactions
BKKT 05/20/2022 CAL	L \$9.00 / CUSIP: / Symbol:						
02/15/22	1.000	189.98	01/04/22	170.00		19.98	Option sale to close-call 25
03/22/22	1.000	85.00	02/01/22	44.00		41.00	Option sale to close-call <sup>25</sup>
03/22/22	4.000	339.98	01/04/22	680.00	340.02 W	0.00	Option sale to close-call <sup>25</sup>
03/28/22	1.000	99.99	02/01/22	44.00		55.99	Option sale to close-call <sup>25</sup>
03/28/22	1.000	99.99	02/18/22	100.00	0.01 W	0.00	Option sale to close-call <sup>25</sup>
	5 transactions for 05/	13/22. Total proceeds a	and cost repor	ted to the IRS.			
	3.000	2.99	03/18/22	147.00	•••	-144.01	1 of 5 - Option sale to close-call 25
	1.000	1.00	03/18/22	49.00	····	-48.00	2 of 5 - Option sale to close-call 25
	1.000	0.99	04/01/22	55.00	•••	-54.01	3 of 5 - Option sale to close-call 25
	2.000	1.99	04/05/22	100.00	•••	-98.01	4 of 5 - Option sale to close-call 25
	1.000	1.00	04/05/22	35.00		-34.00	5 of 5 - Option sale to close-call 25
05/13/22	8.000	7.97	Various	386.00		-378.03	Total of 5 transactions
	8 transactions for 05/	13/22. Total proceeds a	and cost repor	ted to the IRS.			
	1.000	1.00	02/18/22	99.00	98.00 W	0.00	1 of 8 - Option sale to close-call 25
	1.000	1.00	02/18/22	85.00	84.00 W	0.00	2 of 8 - Option sale to close-call 25
	3.000	2.99	02/24/22	450.02	447.03 W	0.00	3 of 8 - Option sale to close-call 25
	1.000	1.00	02/28/22	155.00	154.00 W	0.00	4 of 8 - Option sale to close-call 25
	1.000	1.00	03/03/22	59.01	58.01 W	0.00	5 of 8 - Option sale to close-call 25
	1.000	1.00	03/03/22	59.00	58.00 W	0.00	6 of 8 - Option sale to close-call 25
	2.000	1.99	03/04/22	98.00	96.01 W	0.00	7 of 8 - Option sale to close-call 25
	1.000	1.00	03/18/22	49.00	48.00 W	0.00	8 of 8 - Option sale to close-call 25
05/13/22	11.000	10.98	Various	1,054.03	1,043.05 W	0.00	Total of 8 transactions
	11 transactions for 05	5/20/22. Total proceeds	and cost repo	orted to the IRS.			
	9.000	0.00	04/05/22	315.00		-315.00	1 of 11 - Option expiration- long call
	5.000	0.00	04/07/22	130.00		-130.00	2 of 11 - Option expiration- long call

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of prope	erty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BKKT 05/20/2022 CALL	\$9.00 / CUSIP: / Symbol: (cor		•		· · ·	. , ,	
	3.000	0.00	04/13/22	507.03		-507.03	3 of 11 - Option expiration- long call
	1.000	0.00	04/13/22	174.00		-174.00	4 of 11 - Option expiration- long call
	1.000	0.00	04/13/22	118.00		-118.00	5 of 11 - Option expiration- long call
	1.000	0.00	04/13/22	104.00		-104.00	6 of 11 - Option expiration- long call
	1.000	0.00	04/18/22	71.01		-71.01	7 of 11 - Option expiration- long call
	1.000	0.00	04/18/22	71.00		-71.00	8 of 11 - Option expiration- long call
	1.000	0.00	04/18/22	61.01		-61.01	9 of 11 - Option expiration- long call
	1.000	0.00	04/18/22	61.00		-61.00	10 of 11 - Option expiration- long call
	1.000	0.00	04/18/22	61.00		-61.00	11 of 11 - Option expiration- long call
05/20/22	25.000	0.00	Various	1,673.05		-1,673.05	Total of 11 transactions
	Security total:	833.89		4,151.08	1,383.08 W	-1,934.11	
BKKT 06/17/2022 CALL	\$5.00 / CUSIP: / Symbol:						
	7 transactions for 06/1	7/22. Total proceeds a	and cost repor	ted to the IRS.			
	1.000	0.00	05/09/22	15.00		-15.00	1 of 7 - Option expiration- long call
	6.000	0.00	05/12/22	36.00		-36.00	2 of 7 - Option expiration- long call
	3.000	0.00	05/12/22	18.00	•••	-18.00	3 of 7 - Option expiration- long call
	2.000	0.00	05/12/22	14.00	•••	-14.00	4 of 7 - Option expiration- long call
	1.000	0.00	05/12/22	8.00		-8.00	5 of 7 - Option expiration- long call
	1.000	0.00	05/12/22	6.00		-6.00	6 of 7 - Option expiration- long call
00/17/00	1.000	0.00	05/25/22	3.00		-3.00	7 of 7 - Option expiration- long call
06/17/22	15.000	0.00	Various	100.00		-100.00	Total of 7 transactions
BODY 01/21/2022 CALL	\$7.50 / CUSIP: / Symbol:						
01/21/22	5.000	0.00	10/28/21	200.00		-200.00	Option expiration- long call

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

Report on Form 8949, Part I with Box A checked. Basis is provided to the II "Gain or loss (-)" is NOT reported to the IRS.

1a- Description of pro	perty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BRDS 01/21/2022 CAL	L \$7.50 / CUSIP: / Symbol:						
01/21/22	10.000	0.00	11/17/21	750.00		-750.00	Option expiration- long call
BRDS 07/15/2022 CAL	L \$5.00 / CUSIP: / Symbol:						
03/09/22	1.000 17 transactions for 05/0	49.98 09/22. Total proceeds	01/19/22 and cost repo	55.00 orted to the IRS.	5.02 W	0.00	Option sale to close-call <sup>25</sup>
	5.000	24.99	01/19/22	250.00		-225.01	1 of 17 - Option sale to close-call
	2.000	9.99	02/03/22	50.00		-40.01	2 of 17 - Option sale to close-call
	1.000	5.00	02/03/22	35.00		-30.00	3 of 17 - Option sale to close-call
	1.000	5.00	02/11/22	45.02		-40.02	4 of 17 - Option sale to close-call
	1.000	5.00	02/11/22	40.00		-35.00	5 of 17 - Option sale to close-call
	2.000	9.99	02/17/22	70.00		-60.01	6 of 17 - Option sale to close-call
	1.000	5.00	02/17/22	40.00		-35.00	7 of 17 - Option sale to close-call
	1.000	5.00	02/23/22	20.00		-15.00	8 of 17 - Option sale to close-call
	3.000	14.99	03/16/22	60.00		-45.01	9 of 17 - Option sale to close-call
	3.000	14.99	03/17/22	42.00		-27.01	10 of 17 - Option sale to close-cal
	2.000	9.99	03/17/22	30.00		-20.01	11 of 17 - Option sale to close-cal
	2.000	9.99	03/17/22	28.00		-18.01	12 of 17 - Option sale to close-cal
	2.000	10.00	03/17/22	28.00		-18.00	13 of 17 - Option sale to close-cal
	1.000	5.00	03/17/22	14.00		-9.00	14 of 17 - Option sale to close-cal
	2.000	9.99	03/22/22	24.00		-14.01	15 of 17 - Option sale to close-car

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of pro	perty/CUSIP/Symbol						
1c- Date		1d- Proceeds &			1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or	0	6- Reported	1b- Date	1e- Cost or	1g- Wash sale loss	7- Loss not allowed (X)	A dallet 1 to for 4t
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	also not reported (Z)	Additional information
BRDS 07/15/2022 CAL	L \$5.00 / CUSIP: / Symbol: (co	ont'd)					
	5.000	24.99	04/19/22	25.00		-0.01	16 of 17 - Option sale to close-call
	1.000	5.00	05/03/22	5.00		0.00	17 of 17 - Option sale to close-call
05/09/22	35.000	174.91	Various	806.02	<del></del>	-631.11	Total of 17 transactions
	Security total:	224.89		861.02	5.02 W	-631.11	
BRPM 01/21/2022 CAI	LL \$10.00 / CUSIP: / Symbol:						
	3 transactions for 01/	/20/22. Total proceeds a	and cost repor	ted to the IRS.			
	20.000	99.95	11/11/21	1,200.00	···	-1,100.05	1 of 3 - Option sale to close-call 25
	8.000	39.98	12/07/21	200.00		-160.02	2 of 3 - Option sale to close-call 25
	2.000	10.00	12/07/21	50.00		-40.00	3 of 3 - Option sale to close-call 25
01/20/22	30.000	149.93	Various	1,450.00		-1,300.07	Total of 3 transactions
BRPM 02/18/2022 CAI	LL \$10.00 / CUSIP: / Symbol:						
	3 transactions for 02/	/18/22. Total proceeds a	and cost repor	ted to the IRS.			
	1.000	0.00	01/10/22	15.00	···	-15.00	1 of 3 - Option expiration- long call
	9.000	0.00	01/20/22	135.00		-135.00	2 of 3 - Option expiration- long call
	1.000	0.00	01/20/22	15.00		-15.00	3 of 3 - Option expiration- long call
02/18/22	11.000	0.00	Various	165.00		-165.00	Total of 3 transactions
CCL 02/18/2022 CALL	. \$22.50 / CUSIP: / Symbol:						
01/14/22	2.000	309.98	11/29/21	190.00		119.98	Option sale to close-call <sup>25</sup>
02/07/22	1.000	49.98	11/29/21	95.00		-45.02	Option sale to close-call <sup>25</sup>
02/11/22	1.000	140.98	11/29/21	95.00		45.98	Option sale to close-call <sup>25</sup>
	Security total:	500.94		380.00		120.94	
CCL 05/20/2022 CALL	. \$22.50 / CUSIP: / Symbol:						
03/16/22	1.000	99.99	03/04/22	60.00		39.99	Option sale to close-call <sup>25</sup>
	4 transactions for 03/	/16/22. Total proceeds a	and cost repor	ted to the IRS.			•
	1.000	84.98	02/03/22	166.00	81.02 W	0.00	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	89.98	02/03/22	166.00	76.02 W	0.00	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	99.99	03/01/22	200.02	100.03 W	0.00	3 of 4 - Option sale to close-call 25

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of property	y/CUSIP/Symbol						
1c- Date		1d- Proceeds &			1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or		6- Reported	1b- Date	1e- Cost or	1g- Wash sale loss	7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	also not reported (Z)	Additional information
CCL 05/20/2022 CALL \$22	.50 / CUSIP: / Symbol: (cor	nt'd)					
	1.000	100.00	03/04/22	136.02	36.02 W	0.00	4 of 4 - Option sale to close-call 25
03/16/22	4.000	374.95	Various	668.04	293.09 W	0.00	Total of 4 transactions
	2 transactions for 03/2	9/22. Total proceeds a	and cost repo	orted to the IRS.			
	1.000	74.99	03/07/22	145.03	70.04 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	74.99	03/07/22	79.02	4.03 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
03/29/22	2.000	149.98	Various	224.05	74.07 W	0.00	Total of 2 transactions
03/31/22	1.000	79.98	03/07/22	113.04	33.06 W	0.00	Option sale to close-call <sup>25</sup>
	3 transactions for 04/0	5/22. Total proceeds a	and cost repo	orted to the IRS.			·
	1.000	99.99	03/07/22	73.06	···	26.93	1 of 3 - Option sale to close-call 25
	1.000	84.98	03/07/22	47.03		37.95	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	99.99	03/23/22	40.00		59.99	3 of 3 - Option sale to close-call <sup>25</sup>
04/05/22	3.000	284.96	Various	160.09		124.87	Total of 3 transactions
	4 transactions for 04/2	1/22. Total proceeds a	and cost repo	orted to the IRS.			
	1.000	46.98	03/23/22	40.00		6.98	1 of 4 - Option sale to close-call 25
	1.000	46.98	03/23/22	40.00		6.98	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	41.98	03/24/22	34.00		7.98	3 of 4 - Option sale to close-call 25
	2.000	99.98	03/24/22	68.00		31.98	4 of 4 - Option sale to close-call 25
04/21/22	5.000	235.92	Various	182.00		53.92	Total of 4 transactions
	4 transactions for 05/1	1/22. Total proceeds a	and cost repo	orted to the IRS.			
	1.000	1.00	04/07/22	32.00		-31.00	1 of 4 - Option sale to close-call 25
	1.000	0.99	04/11/22	29.00		-28.01	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	1.00	04/11/22	29.00		-28.00	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	0.99	04/27/22	10.00		-9.01	4 of 4 - Option sale to close-call <sup>25</sup>
05/11/22	4.000	3.98	Various	100.00		-96.02	Total of 4 transactions
	Security total:	1,229.76		1,507.22	400.22 W	122.76	
CCL 05/20/2022 CALL \$25	.00 / CUSIP: / Symbol:						
04/05/22	1.000	40.00	03/17/22	40.00		0.00	Option sale to close-call <sup>25</sup>
	11 transactions for 04/						•
	1.000	33.99	02/17/22	170.00	136.01 W	0.00	1 of 11 - Option sale to close-call
	1.000	34.00	02/23/22	85.00	51.00 W	0.00	2 of 11 - Option sale to close-call

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of proper	<b>-</b>						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CCL 05/20/2022 CALL \$2	25.00 / CUSIP: / Symbol: (con	ıt'd)					
	1.000	33.99	02/28/22	75.00	41.01 W	0.00	3 of 11 - Option sale to close-call
	1.000	40.00	03/01/22	60.00	20.00 W	0.00	4 of 11 - Option sale to close-call
	1.000	39.99	03/07/22	161.01	121.02 W	0.00	5 of 11 - Option sale to close-call
	1.000	40.00	03/07/22	76.00	36.00 W	0.00	6 of 11 - Option sale to close-call
	1.000	39.99	03/07/22	66.01	26.02 W	0.00	7 of 11 - Option sale to close-call
	1.000	45.00	03/17/22	54.00	9.00 W	0.00	8 of 11 - Option sale to close-call
	1.000	44.99	03/21/22	148.02	103.03 W	0.00	9 of 11 - Option sale to close-call
	1.000	44.99	03/21/22	63.00	18.01 W	0.00	10 of 11 - Option sale to close-call
	1.000	45.00	03/21/22	50.02	5.02 W	0.00	11 of 11 - Option sale to close-call
04/05/22	11.000 9 transactions for 05/1	441.94 1/22. Total proceeds a	Various and cost repor	1,008.06 ted to the IRS.	566.12 W	0.00	Total of 11 transactions
	4.000	3.98	03/23/22	72.00		-68.02	1 of 9 - Option sale to close-call <sup>25</sup>
	1.000	1.00	03/23/22	27.00		-26.00	2 of 9 - Option sale to close-call <sup>25</sup>
	1.000	1.00	03/23/22	23.02		-22.02	3 of 9 - Option sale to close-call <sup>25</sup>
	1.000	0.99	03/23/22	18.00		-17.01	4 of 9 - Option sale to close-call <sup>25</sup>
	1.000	1.00	03/23/22	18.00	•••	-17.00	5 of 9 - Option sale to close-call <sup>25</sup>
	10.000	9.97	03/30/22	200.00	•••	-190.03	6 of 9 - Option sale to close-call <sup>25</sup>
	2.000	1.99	04/06/22	38.00	•••	-36.01	7 of 9 - Option sale to close-call 25
	1.000	1.00	04/11/22	131.03		-130.03	8 of 9 - Option sale to close-call <sup>25</sup>
	1.000	0.99	04/11/22	46.01	····	-45.02	9 of 9 - Option sale to close-call <sup>25</sup>
05/11/22	22.000	21.92	Various	573.06	•••	-551.14	Total of 9 transactions
	2 transactions for 05/1	1/22. Total proceeds a	and cost repor	ted to the IRS.			
	1.000	1.00	03/23/22	121.03	120.03 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	1.00	03/23/22	36.01	35.01 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
05/11/22	2.000	2.00	Various	157.04	155.04 W	0.00	Total of 2 transactions
· · · ·	2.000	=.00	7 011000			2.00	

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#### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

Option sale to close-call <sup>25</sup>

-0.02

**2022 1099-B\*** OMB No. 1545-0715

04/05/22

(continued)

02/13/2023

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1.000

29.98

04/01/22

"Gain or loss (-)" is NOT reported to the IRS 1a- Description of property/CUSIP/Symbol 1c- Date 1d- Proceeds & 1f- Accrued mkt disc (D) & Gain or loss(-) & sold or 6- Reported 1e- Cost or 1q- Wash sale loss 7- Loss not allowed (X) 1b- Date disposed Quantity (G)ross or (N)et acquired other basis disallowed (W) also not reported (Z) Additional information CCL 05/20/2022 CALL \$25.00 / CUSIP: / Symbol: (cont'd) 505.86 1.778.16 721.16 W -551.14 Security total: CEI 02/18/2022 CALL \$1.00 / CUSIP: / Symbol: 02/18/22 1.000 0.00 01/18/22 5.00 -5.00 Option expiration-long call CEI 03/11/2022 CALL \$1.00 / CUSIP: / Symbol: 03/10/22 20.98 1.000 03/09/22 15.00 5.98 Option sale to close-call 25 Option expiration-long call 03/11/22 9.000 0.00 03/09/22 135.00 -135.00 Security total: 20.98 150.00 -129.02 CEI 04/14/2022 CALL \$1.00 / CUSIP: / Symbol: 04/14/22 1.000 0.00 03/14/22 25.00 -25.00Option expiration-long call CEI 10/21/2022 CALL \$1.00 / CUSIP: / Symbol: 2 transactions for 10/07/22. Total proceeds and cost reported to the IRS. 37.000 36.91 08/16/22 111.00 -74.09 1 of 2 - Option sale to close-call 25 2 of 2 - Option sale to close-call 25 17.000 16.95 08/16/22 51.00 -34.05Total of 2 transactions 10/07/22 54.000 53.86 Various 162.00 -108.14 10/21/22 46.000 0.00 08/16/22 138.00 -138.00 Option expiration-long call 53.86 300.00 -246.14 Security total: CGC 01/21/2022 CALL \$15.00 / CUSIP: / Symbol: 6 transactions for 01/21/22. Total proceeds and cost reported to the IRS. 1.000 0.00 11/17/21 170.00 -170.00 1 of 6 - Option expiration- long call 1.000 0.00 11/17/21 150.00 -150.00 2 of 6 - Option expiration- long call 2.000 0.00 11/18/21 240.00 -240.00 3 of 6 - Option expiration- long call 4 of 6 - Option expiration- long call 1.000 0.00 11/18/21 110.00 -110.00 5 of 6 - Option expiration- long call 1.000 0.00 11/18/21 110.00 -110.00 6 of 6 - Option expiration- long call 3.000 0.00 11/19/21 255.00 -255.00 Total of 6 transactions 01/21/22 9.000 0.00 1,035.00 -1,035.00Various CGC 04/08/2022 CALL \$8.00 / CUSIP: / Symbol:

30.00

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of property	//CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CGC 04/14/2022 CALL \$12	.50 / CUSIP: / Symbol:						
03/29/22	1.000 5 transactions for 03/2	18.99 9/22. Total proceeds a	01/27/22 and cost repo	18.00 orted to the IRS.		0.99	Option sale to close-call <sup>25</sup>
03/29/22 03/31/22	3.000 2.000 1.000 2.000 1.000 9.000 1.000 7 transactions for 03/3 1.000 1.000 2.000	12.98 12.98 12.99 25.99	02/28/22 02/28/22 02/28/22 02/28/22	49.01 49.01 49.00 98.02	123.02 W 82.02 W 13.01 W 26.02 W 13.02 W 257.09 W 36.03 W 36.03 W 36.01 W 72.03 W	0.00 0.00 0.00 0.00 0.00 0.00 3.99 0.00 0.00	1 of 5 - Option sale to close-call <sup>25</sup> 2 of 5 - Option sale to close-call <sup>25</sup> 3 of 5 - Option sale to close-call <sup>25</sup> 4 of 5 - Option sale to close-call <sup>25</sup> 5 of 5 - Option sale to close-call <sup>25</sup> Total of 5 transactions Option sale to close-call <sup>25</sup> 1 of 7 - Option sale to close-call <sup>25</sup> 2 of 7 - Option sale to close-call <sup>25</sup> 3 of 7 - Option sale to close-call <sup>25</sup> 4 of 7 - Option sale to close-call <sup>25</sup>
03/31/22	1.000 1.000 2.000 9.000 3 transactions for 04/0	12.00 12.00 23.99 112.93 1/22. Total proceeds a		21.01 21.02 42.02 329.09 orted to the IRS.	9.01 W 9.02 W 18.03 W 216.16 W	0.00 0.00 0.00 0.00	5 of 7 - Option sale to close-call <sup>25</sup> 6 of 7 - Option sale to close-call <sup>25</sup> 7 of 7 - Option sale to close-call <sup>25</sup> Total of 7 transactions
04/01/22	1.000 1.000 1.000 3.000 5 transactions for 04/0	9.99 10.00 9.99 29.98 4/22. Total proceeds a	03/24/22 03/24/22 03/24/22 Various and cost repo	40.03 40.03 40.01 120.07 arted to the IRS.	   	-30.04 -30.03 -30.02 -90.09	1 of 3 - Option sale to close-call <sup>25</sup> 2 of 3 - Option sale to close-call <sup>25</sup> 3 of 3 - Option sale to close-call <sup>25</sup> Total of 3 transactions
04/04/22	2.000 2.000 1.000 1.000 1.000 7.000 Security total:	9.99 9.99 4.99 5.00 4.99 34.96 <b>379.76</b>	03/24/22 03/24/22 03/24/22 03/24/22 03/24/22 Various	80.03 26.03 13.02 13.01 4.00 136.09 <b>1,039.25</b>	    473.25 W	-70.04 -16.04 -8.03 -8.01 0.99 -101.13 - <b>186.24</b>	1 of 5 - Option sale to close-call <sup>25</sup> 2 of 5 - Option sale to close-call <sup>25</sup> 3 of 5 - Option sale to close-call <sup>25</sup> 4 of 5 - Option sale to close-call <sup>25</sup> 5 of 5 - Option sale to close-call <sup>25</sup> Total of 5 transactions

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of pro	perty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CGC 04/14/2022 CALL	\$15.00 / CUSIP: / Symbol:						
	9 transactions for 03/2	8/22. Total proceeds a	and cost repo	ted to the IRS.			
	1.000	11.00	10/15/21	180.00	169.00 W	0.00	1 of 9 - Option sale to close-call <sup>25</sup>
	1.000	11.00	10/26/21	170.00	159.00 W	0.00	2 of 9 - Option sale to close-call <sup>25</sup>
	1.000	11.00	10/27/21	163.00	152.00 W	0.00	3 of 9 - Option sale to close-call <sup>25</sup>
	1.000	11.00	11/05/21	140.00	129.00 W	0.00	4 of 9 - Option sale to close-call <sup>25</sup>
	2.000	21.99	11/05/21	260.00	238.01 W	0.00	5 of 9 - Option sale to close-call <sup>25</sup>
	1.000	11.00	11/30/21	95.00	84.00 W	0.00	6 of 9 - Option sale to close-call <sup>25</sup>
	2.000	21.99	12/06/21	180.00	158.01 W	0.00	7 of 9 - Option sale to close-call <sup>25</sup>
	1.000	11.00	12/07/21	100.00	89.00 W	0.00	8 of 9 - Option sale to close-call <sup>25</sup>
	10.000	109.97	02/11/22	210.00	100.03 W	0.00	9 of 9 - Option sale to close-call <sup>25</sup>
03/28/22	20.000	219.95	Various	1,498.00	1,278.05 W	0.00	Total of 9 transactions
	9 transactions for 03/2	9/22. Total proceeds a	and cost repoi	ted to the IRS.			
	2.000	19.98	03/04/22	248.01		-228.03	1 of 9 - Option sale to close-call <sup>25</sup>
	1.000	9.99	03/04/22	174.00	•••	-164.01	2 of 9 - Option sale to close-call <sup>25</sup>
	1.000	9.99	03/04/22	164.00	•••	-154.01	3 of 9 - Option sale to close-call <sup>25</sup>
	2.000	19.99	03/04/22	168.01	•••	-148.02	4 of 9 - Option sale to close-call <sup>25</sup>
	1.000	9.99	03/04/22	157.00	•••	-147.01	5 of 9 - Option sale to close-call <sup>25</sup>
	1.000	9.99	03/04/22	134.00	•••	-124.01	6 of 9 - Option sale to close-call <sup>25</sup>
	1.000	10.00	03/04/22	94.00	•••	-84.00	7 of 9 - Option sale to close-call <sup>25</sup>
	1.000	10.00	03/04/22	89.00	•••	-79.00	8 of 9 - Option sale to close-call <sup>25</sup>
	10.000	99.97	03/24/22	150.03	•••	-50.06	9 of 9 - Option sale to close-call <sup>25</sup>
03/29/22	20.000	199.90	Various	1,378.05		-1,178.15	Total of 9 transactions
	Security total:	419.85		2,876.05	1,278.05 W	-1,178.15	
CHGG 01/21/2022 CAL	L \$30.00 / CUSIP: / Symbol:						
01/18/22	5.000	124.98	11/18/21	775.00	•••	-650.02	Option sale to close-call <sup>25</sup>
01/19/22	5.000	49.98	11/18/21	775.00		-725.02	Option sale to close-call <sup>25</sup>
2 <b></b>	Security total:	174.96		1,550.00		-1,375.04	

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#### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

**2022 1099-B\*** OMB No. 1545-0715

02/28/22

(continued)

02/13/2023

90.98

Option sale to close-call 25

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12) "Gain or loss (-)" is NOT reported to the IRS.

1.000

285.98

01/24/22

1a- Description of property/CUSIP/Symbol 1c- Date 1d- Proceeds & 1f- Accrued mkt disc (D) & Gain or loss(-) & sold or 6- Reported 1e- Cost or 1q- Wash sale loss 7- Loss not allowed (X) 1b- Date disposed Quantity (G)ross or (N)et acquired other basis disallowed (W) also not reported (Z) Additional information CHGG 01/21/2022 CALL \$40.00 / CUSIP: / Symbol: 13 transactions for 01/21/22. Total proceeds and cost reported to the IRS. 10.000 0.00 11/02/21 2,200.00 -2,200.00 1 of 13 - Option expiration-long 2.000 0.00 11/02/21 360.00 -360.00 2 of 13 - Option expiration-long call 1.000 0.00 11/03/21 180.00 -180.00 3 of 13 - Option expiration-long 5.000 0.00 11/04/21 700.00 -700.00 4 of 13 - Option expiration-long 3.000 0.00 11/04/21 375.00 -375.00 5 of 13 - Option expiration-long call 165.00 1.000 0.00 11/04/21 -165.00 6 of 13 - Option expiration-long 165.00 1.000 0.00 11/04/21 -165.00 7 of 13 - Option expiration-long 9.000 0.00 11/05/21 990.00 -990.00 8 of 13 - Option expiration-long 1.000 0.00 11/05/21 110.00 -110.00 9 of 13 - Option expiration-long call 5.000 0.00 11/09/21 450.00 -450.00 10 of 13 - Option expiration-long 4.000 0.00 11/16/21 240.00 -240.00 11 of 13 - Option expiration- long 4.000 0.00 11/16/21 240.00 -240.00 12 of 13 - Option expiration-long 2.000 0.00 11/16/21 120.00 -120.00 13 of 13 - Option expiration-long 01/21/22 48.000 0.00 6.295.00 -6.295.00Total of 13 transactions Various CHGG 04/14/2022 CALL \$30.00 / CUSIP: / Symbol:

195.00

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of property/C	USIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CHPT 01/14/2022 CALL \$22.5	50 / CUSIP: / Symbol:						
	3 transactions for 01/1	4/22. Total proceeds a	and cost repor	ted to the IRS.			
01/14/22	1.000 1.000 1.000 3.000	0.00 0.00 0.00 0.00	12/08/21 12/08/21 12/08/21 Various	153.00 153.00 153.00 459.00	  	-153.00 -153.00 -153.00 -459.00	1 of 3 - Option expiration- long call 2 of 3 - Option expiration- long call 3 of 3 - Option expiration- long call Total of 3 transactions
CHPT 02/11/2022 CALL \$19.5	50 / CUSIP: / Symbol:						
02/11/22 CHPT 02/18/2022 CALL \$13.0	2.000	0.00	01/04/22	310.00		-310.00	Option expiration- long call
02/09/22 02/10/22	1.000 1.000 Security total:	99.98 174.98 <b>274.96</b>	01/24/22 01/24/22	86.00 75.00 <b>161.00</b>	 	13.98 99.98 <b>113.96</b>	Option sale to close-call <sup>25</sup> Option sale to close-call <sup>25</sup>
CHPT 02/25/2022 CALL \$16.5	•						
	4 transactions for 02/1	5/22. Total proceeds a	and cost repor	ted to the IRS.			
02/15/22	1.000 1.000 1.000 3.000 6.000	35.00 34.99 35.00 104.99 209.98	01/10/22 02/07/22 02/07/22 02/07/22 Various	145.00 11.00 11.00 30.00 197.00	  	-110.00 23.99 24.00 74.99 12.98	1 of 4 - Option sale to close-call <sup>25</sup> 2 of 4 - Option sale to close-call <sup>25</sup> 3 of 4 - Option sale to close-call <sup>25</sup> 4 of 4 - Option sale to close-call <sup>25</sup> Total of 4 transactions
CHPT 03/18/2022 CALL \$12.0	00 / CUSIP: / Symbol:						
02/01/22	1.000 2 transactions for 02/1	299.98 5/22. Total proceeds a	01/27/22 and cost repor	133.00 ted to the IRS.		166.98	Option sale to close-call <sup>25</sup>
02/15/22 02/16/22 02/18/22 02/28/22	1.000 2.000 3.000 2.000 1.000	359.98 659.98 1,019.96 729.98 256.98 289.98	01/27/22 01/27/22 Various 01/27/22 01/27/22 01/27/22	133.00 266.00 399.00 266.00 133.00 133.00	   	226.98 393.98 620.96 463.98 123.98 156.98	1 of 2 - Option sale to close-call <sup>25</sup> 2 of 2 - Option sale to close-call <sup>25</sup> Total of 2 transactions Option sale to close-call <sup>25</sup> Option sale to close-call <sup>25</sup> Option sale to close-call <sup>25</sup>

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of prop 1c- Date sold or		1d- Proceeds & 6- Reported	1b- Date	1e- Cost or	1f- Accrued mkt disc (D) & 1g- Wash sale loss	Gain or loss(-) & 7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	also not reported (Z)	Additional information
CHPT 03/18/2022 CALL	. \$12.00 / CUSIP: / Symbol: (d	cont'd)					
	2 transactions for 03/	03/22. Total proceeds a	and cost repor	ted to the IRS.			
	1.000	299.98	01/27/22	133.00		166.98	1 of 2 - Option sale to close-call 25
	1.000	319.98	01/27/22	133.00		186.98	2 of 2 - Option sale to close-call 25
03/03/22	2.000	619.96	Various	266.00		353.96	Total of 2 transactions
	Security total:	3,216.84		1,330.00		1,886.84	
CHPT 05/20/2022 CALL	. \$16.00 / CUSIP: / Symbol:						
02/15/22	1.000	184.98	01/13/22	229.00	44.02 W	0.00	Option sale to close-call <sup>25</sup>
	2 transactions for 02/	28/22. Total proceeds a	and cost repor	ted to the IRS.			
	1.000	169.99	01/13/22	229.00	59.01 W	0.00	1 of 2 - Option sale to close-call 25
	1.000	169.99	01/13/22	229.00	59.01 W	0.00	2 of 2 - Option sale to close-call 25
02/28/22	2.000	339.98	Various	458.00	118.02 W	0.00	Total of 2 transactions
	2 transactions for 03/	03/22. Total proceeds a	and cost repor	ted to the IRS.			
	1.000	179.99	01/24/22	143.02		36.97	1 of 2 - Option sale to close-call 25
	1.000	179.99	01/24/22	99.00	···	80.99	2 of 2 - Option sale to close-call 25
03/03/22	2.000	359.98	Various	242.02		117.96	Total of 2 transactions
		07/22. Total proceeds a					
	1.000	194.98	02/07/22	169.01		25.97	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000 1.000	209.98 249.98	02/07/22 02/22/22	169.01 105.00		40.97 144.98	2 of 3 - Option sale to close-call <sup>25</sup> 3 of 3 - Option sale to close-call <sup>25</sup>
03/07/22	3.000	249.96 654.94	Various	443.02	•	744.90 211.92	Total of 3 transactions
03/17/22	1.000	299.98	02/23/22	99.00		200.98	Option sale to close-call <sup>25</sup>
00/11/22	Security total:	1,839.86	02/20/22	1,471.04	162.04 W	530.86	option date to diede dati
CHWY 01/07/2022 CAI	L \$70.00 / CUSIP: / Symbol:	.,		.,		222.00	
01/07/22	1.000	0.00	12/08/21	235.00		-235.00	Option expiration- long call
	L \$80.00 / CUSIP: / Symbol:	0.00	. 2, 00, 2 1	200.00	•••	200.00	CPROTE CAPITATION TOTAL CALL
	•	0.00	40/07/04	004.00		004.00	
01/21/22	1.000	0.00	10/27/21	234.00		-234.00	Option expiration- long call
CHWY 03/04/2022 CAL	L \$42.00 / CUSIP: / Symbol:						
01/27/22	1.000	529.98	01/21/22	235.00		294.98	Option sale to close-call <sup>25</sup>

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of pro	operty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CHWY 04/01/2022 CA	ALL \$47.00 / CUSIP: / Symbol:						
	2 transactions for 03/2	22/22. Total proceeds a	and cost report	ted to the IRS.			
03/22/22	1.000 1.000 2.000	199.99 224.98 424.97	03/21/22 03/21/22 Various	180.00 154.01 334.01	 	19.99 70.97 90.96	1 of 2 - Option sale to close-call <sup>25</sup> 2 of 2 - Option sale to close-call <sup>25</sup> Total of 2 transactions
03/22/22	1.000	199.99	03/21/22	209.00	9.01 W	0.00	Option sale to close-call <sup>25</sup>
	Security total:	624.96		543.01	9.01 W	90.96	
CLOV 01/21/2022 CAI	LL \$5.00 / CUSIP: / Symbol:						
01/21/22	7.000	0.00	12/14/21	140.00		-140.00	Option expiration- long call
CLOV 01/21/2022 CAI	LL \$7.00 / CUSIP: / Symbol:						
	2 transactions for 01/2	21/22. Total proceeds a	and cost report	ted to the IRS.			
	2.000 1.000	0.00 0.00	11/17/21 11/17/21	150.00 75.00		-150.00 -75.00	1 of 2 - Option expiration- long call 2 of 2 - Option expiration- long call
01/21/22	3.000	0.00	Various	225.00		-225.00	Total of 2 transactions
CLOV 02/11/2022 CAI	LL \$2.50 / CUSIP: / Symbol:						
	2 transactions for 02/0	08/22. Total proceeds a	and cost report	ed to the IRS.			
02/08/22	1.000 1.000 2.000 2 transactions for 02/0	24.99 24.99 49.98 09/22. Total proceeds a	01/21/22 01/24/22 Various and cost report	24.00 20.00 44.00 ted to the IRS.	 	0.99 4.99 5.98	1 of 2 - Option sale to close-call <sup>25</sup> 2 of 2 - Option sale to close-call <sup>25</sup> Total of 2 transactions
02/09/22	1.000 1.000 2.000	34.98 35.98 70.96	01/24/22 01/24/22 Various	20.00 20.00 40.00	 	14.98 15.98 30.96	1 of 2 - Option sale to close-call <sup>25</sup> 2 of 2 - Option sale to close-call <sup>25</sup> Total of 2 transactions
	Security total:	120.94		84.00		36.94	
CLOV 02/25/2022 CAI	LL \$2.00 / CUSIP: / Symbol:						
	3 transactions for 02/2	24/22. Total proceeds a	and cost report	ted to the IRS.			
00/04/00	2.000 3.000 5.000	49.99 74.99 199.98	02/23/22 02/23/22 02/23/22	42.00 63.00 105.00	 	7.99 11.99 94.98	1 of 3 - Option sale to close-call <sup>25</sup> 2 of 3 - Option sale to close-call <sup>25</sup> 3 of 3 - Option sale to close-call <sup>25</sup>
02/24/22	10.000	324.96	Various	210.00		114.96	Total of 3 transactions

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of property/CUSIP/Symbol								
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information	
CLOV 04/22/2022 CALL \$3.5	0 / CUSIP: / Symbol:							
	2 transactions for 04/22	2/22. Total proceeds a	and cost repo	orted to the IRS.				
	3.000 3.000	0.00 0.00	04/07/22 04/11/22	42.00 18.00	 	-42.00 -18.00	1 of 2 - Option expiration- long call 2 of 2 - Option expiration- long call	
04/22/22	6.000	0.00	Various	60.00		-60.00	Total of 2 transactions	
CLOV 05/13/2022 CALL \$3.0	0 / CUSIP: / Symbol:							
05/02/22	1.000	16.98	04/21/22	27.00		-10.02	Option sale to close-call <sup>25</sup>	
CLOV 05/20/2022 CALL \$3.00	0 / CUSIP: / Symbol:							
	4 transactions for 03/07	7/22. Total proceeds a	and cost repo	orted to the IRS.				
	3.000 7.000 2.000	134.98 349.98 99.99	01/19/22 01/19/22 02/11/22	195.00 455.00 144.01	60.02 W 105.02 W 44.02 W	0.00 0.00 0.00	1 of 4 - Option sale to close-call <sup>25</sup> 2 of 4 - Option sale to close-call <sup>25</sup> 3 of 4 - Option sale to close-call <sup>25</sup>	
03/07/22	1.000 13.000 2 transactions for 03/08	50.00 634.95 3/22. Total proceeds a	02/11/22 Various and cost repo	65.01 859.02 orted to the IRS.	15.01 W 224.07 W	<i>0.00</i> 0.00	4 of 4 - Option sale to close-call <sup>25</sup> Total of 4 transactions	
03/08/22	1.000 1.000 2.000 3 transactions for 03/08	59.98 65.98 125.96 3/22. Total proceeds a	02/14/22 02/17/22 Various and cost repo	52.00 39.02 91.02 orted to the IRS.	 	7.98 26.96 34.94	1 of 2 - Option sale to close-call <sup>25</sup> 2 of 2 - Option sale to close-call <sup>25</sup> Total of 2 transactions	
03/08/22 03/09/22 03/14/22 03/16/22 03/17/22 03/18/22 03/21/22	4.000 2.000 2.000 8.000 1.000 2.000 1.000 1.000 1.000	199.99 99.99 99.99 399.97 75.98 119.98 59.98 64.98 79.98 89.98	02/11/22 02/14/22 02/14/22 Various 02/17/22 02/17/22 02/17/22 02/17/22 02/17/22 02/17/22	240.01 118.02 104.01 462.04 39.01 80.01 40.01 40.00 45.01 32.01	40.02 W 18.03 W 4.02 W 62.07 W 	0.00 0.00 0.00 0.00 36.97 39.97 19.97 24.98 34.97 57.97	1 of 3 - Option sale to close-call <sup>25</sup> 2 of 3 - Option sale to close-call <sup>25</sup> 3 of 3 - Option sale to close-call <sup>25</sup> Total of 3 transactions Option sale to close-call <sup>25</sup>	

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS. 1a Description of property/CUSID/Symbol

1a- Description of prope	erty/CUSIP/Symbol						
1c- Date sold or		1d- Proceeds & 6- Reported	1b- Date	1e- Cost or	1f- Accrued mkt disc (D) & 1g- Wash sale loss	Gain or loss(-) & 7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	` ,	Additional information
CLOV 05/20/2022 CALL	\$3.00 / CUSIP: / Symbol: (cc	ont'd)	-				
	2 transactions for 03/2	23/22. Total proceeds a	and cost repor	ted to the IRS.			
	1.000	89.98	02/17/22	32.01		57.97	1 of 2 - Option sale to close-call 25
	1.000	109.98	02/17/22	30.00	···	79.98	2 of 2 - Option sale to close-call 25
03/23/22	2.000	199.96	Various	62.01		137.95	Total of 2 transactions
03/30/22	1.000	109.98	02/17/22	32.00		77.98	Option sale to close-call <sup>25</sup>
	2 transactions for 05/0	06/22. Total proceeds a	and cost repor	ted to the IRS.			
	1.000	14.99	02/17/22	32.00	···	-17.01	1 of 2 - Option sale to close-call 25
	2.000	29.99	03/03/22	60.00		-30.01	2 of 2 - Option sale to close-call 25
05/06/22	3.000	44.98	Various	92.00		-47.02	Total of 2 transactions
	Security total:	2,006.68		1,874.14	286.14 W	418.68	
CLOV 06/17/2022 CALL	\$3.00 / CUSIP: / Symbol:						
05/17/22	1.000	19.98	05/12/22	8.00		11.98	Option sale to close-call <sup>25</sup>
CLOV 08/19/2022 CALL	\$3.00 / CUSIP: / Symbol:						
05/24/22	1.000	33.98	03/04/22	42.00	8.02 W	0.00	Option sale to close-call <sup>25</sup>
	3 transactions for 07/0	07/22. Total proceeds a	and cost repor	ted to the IRS.			
	1.000	19.99	05/12/22	27.02	7.03 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	19.99	06/09/22	20.00	0.01 W	0.00	2 of 3 - Option sale to close-call 25
	1.000	19.98	06/10/22	24.03	4.05 W	0.00	3 of 3 - Option sale to close-call 25
07/07/22	3.000	59.96	Various	71.05	11.09 W	0.00	Total of 3 transactions
07/18/22	1.000	14.98	06/10/22	17.01		-2.03	Option sale to close-call <sup>25</sup>
07/21/22	1.000	19.98	06/29/22	16.05		3.93	Option sale to close-call <sup>25</sup>
	Security total:	128.90		146.11	19.11 W	1.90	
COCO 10/21/2022 CALL	\$10.00 / CUSIP: / Symbol:						
	3 transactions for 04/2	20/22. Total proceeds a	and cost repor	ted to the IRS.			
	1.000	239.98	03/31/22	160.00		79.98	1 of 3 - Option sale to close-call 25
	2.000	479.98	03/31/22	320.00		159.98	2 of 3 - Option sale to close-call <sup>25</sup>
	7.000	1,609.97	03/31/22	1,120.00	•••	489.97	3 of 3 - Option sale to close-call <sup>25</sup>
04/20/22	10.000	2,329.93	Various	1,600.00		729.93	Total of 3 transactions

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of proper	ty/CUSIP/Symbol						
1c- Date sold or		1d- Proceeds &	1h Data	1e- Cost or	1f- Accrued mkt disc (D) &	Gain or loss(-) &	
disposed	Quantity	6- Reported (G)ross or (N)et	1b- Date acquired	other basis	1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Additional information
DCPH 01/21/2022 CALL \$	S10.00 / CUSIP: / Symbol:						
	2 transactions for 01/2	1/22. Total proceeds a	and cost repo	rted to the IRS.			
	1.000	0.00	11/18/21	115.00		-115.00	1 of 2 - Option expiration- long call
01/21/22	1.000 2.000	0.00 0.00	<i>11/18/21</i> Various	100.00 215.00	***	<i>-100.00</i> -215.00	2 of 2 - Option expiration- long call Total of 2 transactions
	2.000 S15.00 / CUSIP: / Symbol:	0.00	v arious	213.00	···	-215.00	Total of 2 transactions
04/05/22	1.000	4.98	11/08/21	195.00		-190.02	Option sale to close-call <sup>25</sup>
04/05/22	11 transactions for 04/				•••	-190.02	Option sale to close-call
	4.000	0.00	11/08/21	780.00		-780.00	1 of 11 - Option expiration- long call
	1.000	0.00	11/08/21	195.00		-195.00	2 of 11 - Option expiration- long call
	2.000	0.00	11/15/21	290.00		-290.00	3 of 11 - Option expiration- long call
	1.000	0.00	11/17/21	125.00		-125.00	4 of 11 - Option expiration- long call
	1.000	0.00	01/24/22	35.00		-35.00	5 of 11 - Option expiration- long call
	5.000	0.00	02/08/22	100.00		-100.00	6 of 11 - Option expiration- long call
	2.000	0.00	02/24/22	20.00	···	-20.00	7 of 11 - Option expiration- long call
	1.000	0.00	02/24/22	10.00		-10.00	8 of 11 - Option expiration- long call
	1.000	0.00	02/24/22	10.00		-10.00	9 of 11 - Option expiration- long call
	7.000	0.00	03/01/22	105.00		-105.00	10 of 11 - Option expiration- long call
	3.000	0.00	03/01/22	45.00		-45.00	11 of 11 - Option expiration- long call
04/14/22	28.000 Security total:	0.00 <b>4.98</b>	Various	1,715.00 <b>1,910.00</b>	 	-1,715.00 <b>-1,905.02</b>	Total of 11 transactions

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of prope	erty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DCPH 04/14/2022 CALL	\$7.50 / CUSIP: / Symbol:						
03/09/22 03/16/22	1.000 1.000	99.98 109.98 <b>209.96</b>	03/07/22 03/07/22	65.00 65.00 <b>130.00</b>	 	34.98 44.98 <b>79.96</b>	Option sale to close-call <sup>25</sup> Option sale to close-call <sup>25</sup>
DIDI 40/46/2022 CALL #2	Security total:	209.96		130.00	•••	79.96	
DIDI 12/16/2022 CALL \$2	•	106.00	02/44/22	6E 00		44.00	Ontion cale to along call 25
03/16/22	1.000	106.98	03/11/22	65.00	•••	41.98	Option sale to close-call <sup>25</sup>
DKNG 01/21/2022 CALL	\$35.00 / CUSIP: / Symbol:	10/00 T / /					
	3 transactions for 01/1	•	•				
01/13/22	1.000 1.000 1.000 3.000 2 transactions for 01/1	4.99 4.99 5.00 14.98 3/22. Total proceeds a	12/02/21 12/15/21 12/15/21 Various and cost repor	174.00 244.02 244.02 662.04 ted to the IRS.	  	-169.01 -239.03 -239.02 -647.06	1 of 3 - Option sale to close-call <sup>25</sup> 2 of 3 - Option sale to close-call <sup>25</sup> 3 of 3 - Option sale to close-call <sup>25</sup> Total of 3 transactions
	1.000	4.98	12/02/21	174.00	169.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	4.98	12/02/21	174.00	169.02 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
01/13/22	2.000	9.96	Various	348.00	338.04 W	0.00	Total of 2 transactions
	Security total:	24.94		1,010.04	338.04 W	-647.06	
DKNG 01/21/2022 CALL	\$45.00 / CUSIP: / Symbol:						
	5 transactions for 01/2	21/22. Total proceeds a	and cost repor	ted to the IRS.			
	5.000 2.000	0.00 0.00	11/08/21 11/10/21	1,800.00 536.00		-1,800.00 -536.00	1 of 5 - Option expiration- long call 2 of 5 - Option expiration- long call
	1.000	0.00	11/11/21	229.00		-229.00	3 of 5 - Option expiration-long call
	1.000	0.00	11/15/21	200.00	···	-200.00	4 of 5 - Option expiration- long call
	1.000	0.00	11/15/21	197.00	•••	-197.00	5 of 5 - Option expiration- long call
01/21/22	10.000	0.00	Various	2,962.00		-2,962.00	Total of 5 transactions
DKNG 02/18/2022 CALL	\$45.00 / CUSIP: / Symbol:						
02/18/22	3.000	0.00	11/17/21	660.00	···	-660.00	Option expiration- long call
DKNG 03/04/2022 CALL	\$20.00 / CUSIP: / Symbol:						
01/31/22	2.000	699.98	01/27/22	394.00	***	305.98	Option sale to close-call <sup>25</sup>
02/08/22	1.000	324.98	01/27/22	197.00		127.98	Option sale to close-call <sup>25</sup>
02/09/22	1.000	419.98	01/27/22	197.00		222.98	Option sale to close-call <sup>25</sup>

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

#### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS 1a- Description of property/CUSIP/Symbol 1c- Date 1d- Proceeds & 1f- Accrued mkt disc (D) & Gain or loss(-) & sold or 6- Reported 1e- Cost or 1q- Wash sale loss 7- Loss not allowed (X) 1b- Date disposed Quantity (G)ross or (N)et acquired other basis disallowed (W) also not reported (Z) Additional information DKNG 03/04/2022 CALL \$20.00 / CUSIP: / Symbol: (cont'd) Option sale to close-call 25 02/10/22 2.000 01/27/22 565.98 959.98 394.00 02/11/22 1.000 499.98 01/27/22 197.00 302.98 Option sale to close-call <sup>25</sup> 02/15/22 1.000 429.98 01/27/22 197.00 232.98 Option sale to close-call 25 2 transactions for 02/23/22. Total proceeds and cost reported to the IRS. -92.04 1.000 99.98 02/18/22 192.02 1 of 2 - Option sale to close-call 25 2 of 2 - Option sale to close-call 25 1.000 149.98 02/18/22 147.02 2.96 02/23/22 2.000 339.04 -89.08 Total of 2 transactions 249.96 Various 2 transactions for 02/23/22. Total proceeds and cost reported to the IRS. 1 000 84 98 01/27/22 197 00 112 02 W 0.00 1 of 2 - Option sale to close-call 25 2 of 2 - Option sale to close-call 25 1.000 99.98 01/27/22 197.00 97.02 W 0.00 02/23/22 2.000 184.96 Various 394.00 209.04 W 0.00 Total of 2 transactions Security total: 3,769.80 2,309.04 209.04 W 1,669.80 DKNG 03/04/2022 CALL \$21.00 / CUSIP: / Symbol: 2 transactions for 02/08/22. Total proceeds and cost reported to the IRS. 1 000 259.98 01/21/22 191.00 68.98 1 of 2 - Option sale to close-call 25 274.98 2 of 2 - Option sale to close-call 25 1.000 01/21/22 191.00 83.98 02/08/22 2.000 534.96 382.00 152.96 Total of 2 transactions Various 02/09/22 Option sale to close-call 25 1.000 359.98 01/21/22 191.00 168.98 02/10/22 1.000 409.98 01/21/22 191.00 218.98 Option sale to close-call <sup>25</sup> Security total: 1.304.92 764.00 540.92 DKNG 04/01/2022 CALL \$21.00 / CUSIP: / Symbol: 49.98 Option sale to close-call 25 02/23/22 1.000 184.98 02/18/22 135.00 02/24/22 Option sale to close-call <sup>25</sup> 1.000 199.98 02/18/22 135.00 64.98 02/28/22 1.000 95.00 244.98 Option sale to close-call <sup>25</sup> 339.98 02/18/22 Security total: 724.94 365.00 359.94 DKNG 04/14/2022 CALL \$20.00 / CUSIP: / Symbol: 2 transactions for 03/16/22. Total proceeds and cost reported to the IRS. 74.98 03/09/22 1 of 2 - Option sale to close-call 25 1.000 119.00 44.02 W 0.00 1.000 99.98 03/09/22 119.00 19.02 W 0.00 2 of 2 - Option sale to close-call 25 03/16/22 2.000 174.96 238.00 63.04 W Total of 2 transactions Various 0.00 03/17/22 1.000 129 98 03/10/22 134.02 4 04 W 0.00 Option sale to close-call 25

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of prop	erty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DKNG 04/14/2022 CALL	. \$20.00 / CUSIP: / Symbol: (co	ont'd)					
03/18/22 03/29/22	1.000 1.000	149.98 99.98	03/11/22 03/14/22	89.02 54.04		60.96 45.94	Option sale to close-call <sup>25</sup> Option sale to close-call <sup>25</sup>
	Security total:	554.90		515.08	67.08 W	106.90	
	. \$20.00 / CUSIP: / Symbol:						
03/29/22	1.000	139.98	03/28/22	62.00	•••	77.98	Option sale to close-call <sup>25</sup>
DKNG 04/29/2022 CALL	. \$20.00 / CUSIP: / Symbol:						
03/16/22	1.000	139.98	03/11/22	100.00	***	39.98	Option sale to close-call <sup>25</sup>
DKNG 05/06/2022 CALL	. \$18.00 / CUSIP: / Symbol:						
04/19/22 05/05/22	1.000 1.000 2 transactions for 05/06	99.98 15.98 6/22. Total proceeds a	04/12/22 04/18/22 and cost repor	95.00 54.00 ted to the IRS.	38.02 W	4.98 0.00	Option sale to close-call <sup>25</sup> Option sale to close-call <sup>25</sup>
05/06/22	1.000 1.000 2.000	0.00 0.00 0.00 115.96	04/21/22 04/28/22 Various	68.02 7.00 75.02 <b>224.02</b>	  38.02 W	-68.02 -7.00 -75.02 <b>-70.04</b>	1 of 2 - Option expiration- long call 2 of 2 - Option expiration- long call Total of 2 transactions
DKNC 05/20/2022 CALL	Security total:	115.50		224.02	30.U2 VV	-70.04	
DKNG 05/20/2022 CALL	. \$20.00 / CUSIP: / Symbol:	1/22 Total proceeds	and aget renew	tod to the IDC			
	6 transactions for 05/11 2.000 1.000 1.000 1.000 1.000 5.000	1.99 1.00 1.00 1.00 1.00 1.00 4.98	03/14/22 04/14/22 04/21/22 04/21/22 04/21/22 04/26/22 05/06/22	220.00 64.00 35.00 27.00 20.00 30.00	   	-218.01 -63.00 -34.00 -26.00 -19.00 -25.02	1 of 6 - Option sale to close-call <sup>25</sup> 2 of 6 - Option sale to close-call <sup>25</sup> 3 of 6 - Option sale to close-call <sup>25</sup> 4 of 6 - Option sale to close-call <sup>25</sup> 5 of 6 - Option sale to close-call <sup>25</sup> 6 of 6 - Option sale to close-call <sup>25</sup>
05/11/22	11.000	10.97	Various	396.00		-385.03	Total of 6 transactions
	. \$19.50 / CUSIP: / Symbol:						
2 O 00, 00, 2022 O/ (EE	4 transactions for 05/12	2/22 Total proceeds :	and cost repor	ted to the IRS			
	1.000 1.000	5.00 5.00	05/05/22 05/06/22	30.00 18.00		-25.00 -13.00	1 of 4 - Option sale to close-call <sup>25</sup> 2 of 4 - Option sale to close-call <sup>25</sup>

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of property/	CUSIP/Symbol						
1c- Date		1d- Proceeds &			1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or		6- Reported	1b- Date	1e- Cost or	1g- Wash sale loss	7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	also not reported (Z)	Additional information
DKNG 06/03/2022 CALL \$19	0.50 / CUSIP: / Symbol: (co	nt'd)					
	1.000	4.99	05/06/22	12.00		-7.01	3 of 4 - Option sale to close-call 25
	2.000	9.99	05/11/22	6.00		3.99	4 of 4 - Option sale to close-call <sup>25</sup>
05/12/22	5.000	24.98	Various	66.00		-41.02	Total of 4 transactions
DKNG 06/17/2022 CALL \$20	0.00 / CUSIP: / Symbol:						
03/29/22	1.000	319.98	03/25/22	189.00		130.98	Option sale to close-call <sup>25</sup>
	9 transactions for 06/06		and cost repo				
	1.000	2.00	04/26/22	48.00	•••	-46.00	1 of 9 - Option sale to close-call <sup>25</sup>
	2.000	3.99	05/06/22	54.00	···	-50.01	2 of 9 - Option sale to close-call <sup>25</sup>
	1.000	2.00	05/06/22	26.00		-24.00	3 of 9 - Option sale to close-call <sup>25</sup>
	1.000	2.00	05/06/22	18.00	···	-16.00	4 of 9 - Option sale to close-call <sup>25</sup>
	2.000	3.99	05/11/22	16.00		-12.01	5 of 9 - Option sale to close-call <sup>25</sup>
	2.000	4.00	05/12/22	20.00		-16.00	6 of 9 - Option sale to close-call 25
	1.000	1.99	05/17/22	12.00		-10.01	7 of 9 - Option sale to close-call 25
	1.000	2.00	05/17/22	12.00		-10.00	8 of 9 - Option sale to close-call 25
	1.000	2.00	05/17/22	12.00		-10.00	9 of 9 - Option sale to close-call <sup>25</sup>
06/06/22	12.000	23.97	Various	218.00		-194.03	Total of 9 transactions
	5 transactions for 06/06	/22. Total proceeds a	and cost repo	orted to the IRS.			
	4.000	7.99	03/25/22	756.00	748.01 W	0.00	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	2.00	03/25/22	183.00	181.00 W	0.00	2 of 5 - Option sale to close-call <sup>25</sup>
	2.000	3.99	04/06/22	302.00	298.01 W	0.00	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	2.00	04/07/22	130.00	128.00 W	0.00	4 of 5 - Option sale to close-call 25
	5.000	9.99	04/14/22	505.00	396.01 W	-99.00	5 of 5 - Option sale to close-call 25
06/06/22	13.000	25.97	Various	1,876.00	1,751.03 W	-99.00	Total of 5 transactions
06/07/22	2.000	3.98	05/17/22	398.01	•••	-394.03	Option sale to close-call <sup>25</sup>
	6 transactions for 06/08	/22. Total proceeds a	and cost repo	orted to the IRS.			•
	2.000	5.99	05/26/22	314.01		-308.02	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	2.98	05/26/22	195.00	•••	-192.02	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	3.00	05/26/22	195.00	•••	-192.00	3 of 6 - Option sale to close-call 25
	1.000	2.99	05/26/22	189.00		-186.01	4 of 6 - Option sale to close-call 25
	1.000	2.99	05/26/22	136.00		-133.01	5 of 6 - Option sale to close-call <sup>25</sup>
	1.000	2.99	05/26/22	107.00		-104.01	6 of 6 - Option sale to close-call <sup>25</sup>
06/08/22	7.000	20.94	Various	1,136.01		-1,115.07	Total of 6 transactions
06/10/22	3.000	2.98	05/26/22	321.01		-318.03	Option sale to close-call <sup>25</sup>

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of property/C	CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DKNG 06/17/2022 CALL \$20.	00 / CUSIP: / Symbol: (coi	nt'd)					
	Security total:	397.82		4,138.03	1,751.03 W	-1,989.18	
DKNG 07/15/2022 CALL \$20.	00 / CUSIP: / Symbol:						
	2 transactions for 05/18/	/22. Total proceeds a	and cost repo	rted to the IRS.			
05/18/22	2.000 2.000 4.000 13 transactions for 07/0	95.98 99.98 195.96 7/22. Total proceeds	05/09/22 05/09/22 Various and cost rep	46.00 46.00 92.00 orted to the IRS.	  	<i>4</i> 9.98 <i>5</i> 3.98 103.96	1 of 2 - Option sale to close-call <sup>25</sup> 2 of 2 - Option sale to close-call <sup>25</sup> Total of 2 transactions
	1.000	1.00	05/09/22	23.00	22.00 W	0.00	1 of 13 - Option sale to close-call
	3.000	2.99	05/11/22	75.00	72.01 W	0.00	2 of 13 - Option sale to close-call
	1.000	1.00	05/12/22	23.00	22.00 W	0.00	3 of 13 - Option sale to close-call
	1.000	0.99	05/27/22	29.00	28.01 W	0.00	4 of 13 - Option sale to close-call
	1.000	1.00	05/31/22	20.00	19.00 W	0.00	5 of 13 - Option sale to close-call
	1.000	0.99	05/31/22	20.00	19.01 W	0.00	6 of 13 - Option sale to close-call
	1.000	1.00	06/01/22	16.00	15.00 W	0.00	7 of 13 - Option sale to close-call
	1.000	0.99	06/02/22	15.00	14.01 W	0.00	8 of 13 - Option sale to close-call
	3.000	2.98	06/03/22	36.00	33.02 W	0.00	9 of 13 - Option sale to close-call
	1.000	1.00	06/03/22	12.00	11.00 W	0.00	10 of 13 - Option sale to close-call <sub>25</sub>
	3.000	2.99	06/03/22	30.00	27.01 W	0.00	11 of 13 - Option sale to close-call <sub>25</sub>
	2.000	1.99	06/13/22	66.01	32.01 W	-32.01	12 of 13 - Option sale to close-call 25

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of prop	erty/CUSIP/Symbol						
1c- Date		1d- Proceeds &			1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or		6- Reported	1b- Date	1e- Cost or	1g- Wash sale loss	7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	also not reported (Z)	Additional information
DKNG 07/15/2022 CALL	\$20.00 / CUSIP: / Symbol: (c	ont'd)					
	1.000	0.99	06/13/22	31.00	30.01 W	0.00	13 of 13 - Option sale to close-call
07/07/22	20.000 9 transactions for 07/1	19.91	Various	396.01	344.09 W	-32.01	Total of 13 transactions
		•	•	34.01		22.04	1 of 0 Ontion colo to alone coll 25
	1.000 1.000	1.00 1.00	06/13/22 06/13/22	34.01 30.00	•••	-33.01 -29.00	1 of 9 - Option sale to close-call <sup>25</sup> 2 of 9 - Option sale to close-call <sup>25</sup>
	1.000	1.00	06/13/22	28.00		-29.00 -27.00	3 of 9 - Option sale to close-call <sup>25</sup>
	1.000	1.00	06/13/22	25.01		-24.01	4 of 9 - Option sale to close-call <sup>25</sup>
	1.000	1.00	06/13/22	25.00		-24.00	5 of 9 - Option sale to close-call <sup>25</sup>
	1.000	0.99	06/13/22	21.00		-20.01	6 of 9 - Option sale to close-call <sup>25</sup>
	1.000	1.00	06/13/22	20.01		-19.01	7 of 9 - Option sale to close-call <sup>25</sup>
	2.000	1.99	06/16/22	30.01		-28.02	8 of 9 - Option sale to close-call <sup>25</sup>
	1.000	0.99	06/16/22	16.01		-15.02	9 of 9 - Option sale to close-call <sup>25</sup>
07/11/22	10.000	9.97	Various	229.05		-219.08	Total of 9 transactions
	5 transactions for 07/1	3/22. Total proceeds a	and cost repo	orted to the IRS.			
	1.000	1.00	06/17/22	16.00		-15.00	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	1.00	06/24/22	37.01		-36.01	2 of 5 - Option sale to close-call 25
	1.000	0.99	06/24/22	35.01		-34.02	3 of 5 - Option sale to close-call <sup>25</sup>
	2.000	1.98	06/24/22	28.01		-26.03	4 of 5 - Option sale to close-call 25
	1.000	0.99	06/24/22	14.00		-13.01	5 of 5 - Option sale to close-call <sup>25</sup>
07/13/22	6.000	5.96	Various	130.03		-124.07	Total of 5 transactions
	Security total:	231.80		847.09	344.09 W	-271.20	
DKNG 09/16/2022 PUT	\$17.50 / CUSIP: / Symbol:						
08/19/22	1.000	89.98	08/17/22	55.00	···	34.98	Option sale to close-put <sup>25</sup>
DM 01/21/2022 CALL \$7	7.50 / CUSIP: / Symbol:						
01/21/22	5.000	0.00	12/08/21	150.00		-150.00	Option expiration- long call
DM 02/18/2022 CALL \$	10.00 / CUSIP: / Symbol:						
	6 transactions for 02/1	8/22. Total proceeds a	and cost repo	orted to the IRS.			
	5.000	0.00	11/05/21	550.00		-550.00	1 of 6 - Option expiration- long call
	5.000	0.00	11/16/21	150.00	•••	-150.00	2 of 6 - Option expiration- long call
	2.000	0.00	11/16/21	90.00		-90.00	3 of 6 - Option expiration- long call

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of prope	erty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DM 02/18/2022 CALL \$1	0.00 / CUSIP: / Symbol: (con	ťd)					
02/18/22	1.000 1.000 1.000 15.000	0.00 0.00 0.00 0.00	11/16/21 11/16/21 11/16/21 Various	45.00 45.00 45.00 925.00	  	-45.00 -45.00 -45.00 -925.00	4 of 6 - Option expiration- long call 5 of 6 - Option expiration- long call 6 of 6 - Option expiration- long call Total of 6 transactions
DM 05/20/2022 CALL \$5	.00 / CUSIP: / Symbol:						
	2 transactions for 03/1	7/22. Total proceeds a	and cost repoi	rted to the IRS.			
03/17/22 03/18/22 03/18/22	4.000 2.000 6.000 1.000 1.000	199.98 129.98 329.96 69.99 69.99 22/22. Total proceeds a	01/11/22 01/11/22 Various 01/11/22 01/11/22	280.00 140.00 420.00 70.00 70.00	80.02 W 10.02 W 90.04 W  0.01 W	0.00 0.00 0.00 -0.01 0.00	1 of 2 - Option sale to close-call <sup>25</sup> 2 of 2 - Option sale to close-call <sup>25</sup> Total of 2 transactions Option sale to close-call <sup>25</sup> Option sale to close-call <sup>25</sup>
	1.000	, 74.99	01/11/22	70.00	···	4.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	74.99	01/11/22	70.00		4.99	2 of 2 - Option sale to close-call <sup>25</sup>
03/22/22	2.000 2 transactions for 03/2	149.98 24/22. Total proceeds a	Various and cost repor	140.00 rted to the IRS.		9.98	Total of 2 transactions
03/24/22	1.000 2.000 3.000	59.98 109.98 169.96	01/24/22 01/24/22 Various	35.00 70.00 105.00	 	24.98 39.98 64.96	1 of 2 - Option sale to close-call <sup>25</sup> 2 of 2 - Option sale to close-call <sup>25</sup> Total of 2 transactions
03/29/22	1.000	59.98	01/24/22	35.00		24.98	Option sale to close-call <sup>25</sup>
03/30/22	1.000 2 transactions for 04/0	59.98 04/22 Total proceeds a	01/27/22 and cost renov	25.00	•••	34.98	Option sale to close-call <sup>25</sup>
04/04/22	4.000 1.000 5.000	259.98 65.00 324.98	01/27/22 02/24/22 Various	100.00 50.01 150.01	 	159.98 14.99 174.97	1 of 2 - Option sale to close-call <sup>25</sup> 2 of 2 - Option sale to close-call <sup>25</sup> Total of 2 transactions
	6 transactions for 05/0	9/22. Total proceeds a	and cost repo	rted to the IRS.			
	1.000 1.000 1.000 1.000 1.000	5.00 5.00 5.00 4.99 5.00	02/24/22 02/24/22 03/08/22 03/08/22 04/07/22	50.01 50.00 45.00 30.01 40.01	  	-45.01 -45.00 -40.00 -25.02 -35.01	1 of 6 - Option sale to close-call <sup>25</sup> 2 of 6 - Option sale to close-call <sup>25</sup> 3 of 6 - Option sale to close-call <sup>25</sup> 4 of 6 - Option sale to close-call <sup>25</sup> 5 of 6 - Option sale to close-call <sup>25</sup>

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of propert	y/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
· · ·	0 / CUSIP: / Symbol: (cont'd)	(3) 222 2 ( )22			, , ,	,	
05/09/22	1.000 6.000	<i>4.99</i> 29.98	<i>04/14/22</i> Various	20.01 235.04		<i>-15.02</i> -205.06	6 of 6 - Option sale to close-call <sup>25</sup> Total of 6 transactions
	Security total:	1,264.80		1,250.05	90.05 W	104.80	
DNA 01/20/2023 CALL \$5.0	00 / CUSIP: / Symbol:						
05/09/22	5.000	124.98	03/09/22	300.00	•••	-175.02	Option sale to close-call <sup>25</sup>
DNA 01/21/2022 CALL \$15	5.00 / CUSIP: / Symbol:						
	6 transactions for 01/21/2	22. Total proceeds a	and cost report	ted to the IRS.			
01/21/22 DNA 03/18/2022 CALL \$14	3 transactions for 03/18/	•	•		    	-700.00 -120.00 -160.00 -70.00 -180.00 -10.00 -1,240.00	1 of 6 - Option expiration- long call 2 of 6 - Option expiration- long call 3 of 6 - Option expiration- long call 4 of 6 - Option expiration- long call 5 of 6 - Option expiration- long call 6 of 6 - Option expiration- long call Total of 6 transactions
	3.000 5.000	0.00 0.00	11/29/21 12/03/21	375.00 300.00		-375.00 -300.00	1 of 3 - Option expiration- long call 2 of 3 - Option expiration- long call
	2.000	0.00	12/14/21	120.00	···	-120.00	3 of 3 - Option expiration- long call
03/18/22	10.000	0.00	Various	795.00		-795.00	Total of 3 transactions
DNA 03/18/2022 CALL \$7.5	50 / CUSIP: / Symbol:						
	3 transactions for 02/22/	22. Total proceeds a	and cost repon	ted to the IRS.			
02/22/22	2.000 2.000 2.000 6.000	11.99 11.99 12.00 35.98	01/12/22 01/20/22 02/11/22 Various	170.00 60.00 44.00 274.00	  	-158.01 -48.01 -32.00 -238.02	1 of 3 - Option sale to close-call <sup>25</sup> 2 of 3 - Option sale to close-call <sup>25</sup> 3 of 3 - Option sale to close-call <sup>25</sup> Total of 3 transactions
DNA 05/20/2022 CALL \$4.0	00 / CUSIP: / Symbol:						
05/20/22	5.000	0.00	04/07/22	125.00		-125.00	Option expiration- long call

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of property/0	USIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DNA 06/17/2022 CALL \$4.00	/ CUSIP: / Symbol:						
03/29/22	2.000 4 transactions for 06/02/	199.98 22. Total proceeds a	03/16/22 and cost repo	50.00 orted to the IRS.		149.98	Option sale to close-call <sup>25</sup>
06/02/22	8.000 1.000 3.000 1.000 13.000	39.98 5.00 14.98 5.00 64.96	03/16/22 05/09/22 05/12/22 05/13/22 Various	200.00 10.00 15.00 10.00 235.00	  	-160.02 -5.00 -0.02 -5.00 -170.04	1 of 4 - Option sale to close-call <sup>25</sup> 2 of 4 - Option sale to close-call <sup>25</sup> 3 of 4 - Option sale to close-call <sup>25</sup> 4 of 4 - Option sale to close-call <sup>25</sup> Total of 4 transactions
00/02/22	Security total:	264.94	various	285.00		-20.06	Total of 4 transactions
DNA 06/17/2022 CALL \$7.50	•						
	8 transactions for 06/17/	22. Total proceeds a	and cost repo	rted to the IRS.			
06/17/22 EVGO 02/18/2022 CALL \$11.	2.000 2.000 2.000 6.000 2.000 2.000 5.000 10.000 31.000	0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.0	02/08/22 02/17/22 02/18/22 02/22/22 03/01/22 03/22/22 03/29/22 04/05/22 Various	120.00 90.00 80.00 156.00 50.00 20.00 95.00 100.00 711.00	   	-120.00 -90.00 -80.00 -156.00 -50.00 -20.00 -95.00 -100.00 -711.00	1 of 8 - Option expiration- long call 2 of 8 - Option expiration- long call 3 of 8 - Option expiration- long call 4 of 8 - Option expiration- long call 5 of 8 - Option expiration- long call 6 of 8 - Option expiration- long call 7 of 8 - Option expiration- long call 8 of 8 - Option expiration- long call Total of 8 transactions
	2 transactions for 02/09/	•	•				
02/09/22 02/10/22 02/14/22	1.000 2.000 3.000 1.000 2.000 Security total:	5.99 11.99 17.98 19.98 29.98 <b>67.94</b>	12/27/21 12/29/21 Various 12/29/21 12/29/21	100.00 100.00 200.00 50.00 100.00 <b>350.00</b>	   	-94.01 -88.01 -182.02 -30.02 -70.02 -282.06	1 of 2 - Option sale to close-call <sup>25</sup> 2 of 2 - Option sale to close-call <sup>25</sup> Total of 2 transactions Option sale to close-call <sup>25</sup> Option sale to close-call <sup>25</sup>

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (L "Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property	//CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EVGO 05/20/2022 CALL \$1	0.00 / CUSIP: / Symbol:						
02/15/22 03/03/22 03/04/22	1.000 1.000 1.000 Security total:	164.98 159.98 169.98 <b>494.94</b>	02/03/22 02/03/22 02/03/22	75.00 75.00 65.00 <b>215.00</b>	  	89.98 84.98 104.98 <b>279.94</b>	Option sale to close-call <sup>25</sup> Option sale to close-call <sup>25</sup> Option sale to close-call <sup>25</sup>
EVGO 05/20/2022 CALL \$9							
02/15/22 03/01/22 03/02/22 03/03/22	2.000 1.000 1.000 1.000 3 transactions for 03/04	399.98 209.98 199.98 209.98 1/22. Total proceeds a	01/18/22 01/18/22 01/18/22 01/18/22 and cost repo	250.00 125.00 125.00 125.00 rted to the IRS.	   	149.98 84.98 74.98 84.98	Option sale to close-call <sup>25</sup> Option sale to close-call <sup>25</sup> Option sale to close-call <sup>25</sup> Option sale to close-call <sup>25</sup>
	1.000 1.000 1.000	219.98 224.98 234.98	01/18/22 01/18/22 01/18/22	125.00 125.00 125.00	 	94.98 99.98 109.98	1 of 3 - Option sale to close-call <sup>25</sup> 2 of 3 - Option sale to close-call <sup>25</sup> 3 of 3 - Option sale to close-call <sup>25</sup>
03/04/22 03/07/22 03/08/22	3.000 1.000 1.000	679.94 249.98 309.98	Various 01/18/22 01/18/22	375.00 125.00 125.00	  	304.94 124.98 184.98	Total of 3 transactions Option sale to close-call <sup>25</sup> Option sale to close-call <sup>25</sup>
	Security total:	2,259.82		1,250.00		1,009.82	
FCEL 01/21/2022 CALL \$10	,						
01/21/22 FCEL 01/21/2022 CALL \$12	· ·	0.00	11/18/21	270.00		-270.00	Option expiration- long call
	4 transactions for 01/21	•	•				
	2.000 2.000 1.000 1.000	0.00 0.00 0.00 0.00	11/16/21 11/16/21 11/16/21 11/18/21	292.00 292.00 146.00 90.00	  	-292.00 -292.00 -146.00 -90.00	1 of 4 - Option expiration- long call 2 of 4 - Option expiration- long call 3 of 4 - Option expiration- long call 4 of 4 - Option expiration- long call
01/21/22 FCEL 03/04/2022 CALL \$5.	6.000	0.00	Various	820.00	 	-820.00	Total of 4 transactions
	4 transactions for 02/15	5/22. Total proceeds a	and cost repo	rted to the IRS.			
	1.000 1.000	59.98 60.00	01/18/22 01/18/22	42.00 42.00	 	17.98 18.00	1 of 4 - Option sale to close-call <sup>25</sup> 2 of 4 - Option sale to close-call <sup>25</sup>

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of property/	CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FCEL 03/04/2022 CALL \$5.0	0 / CUSIP: / Symbol: (cont'	d)					
02/15/22	2.000 4.000 8.000 3 transactions for 02/24/	119.98 239.98 479.94 22. Total proceeds a	01/18/22 01/18/22 Various and cost repo	84.00 168.00 336.00 rted to the IRS.	 	35.98 71.98 143.94	3 of 4 - Option sale to close-call <sup>25</sup> 4 of 4 - Option sale to close-call <sup>25</sup> Total of 4 transactions
02/24/22	1.000 4.000 1.000 6.000	44.99 139.99 44.99 229.97	01/20/22 01/20/22 02/02/22 Various	30.00 120.00 29.01 179.01	  	14.99 19.99 15.98 50.96	1 of 3 - Option sale to close-call <sup>25</sup> 2 of 3 - Option sale to close-call <sup>25</sup> 3 of 3 - Option sale to close-call <sup>25</sup> Total of 3 transactions
02/24/22	2.000 2 transactions for 02/25/	69.99 22. Total proceeds a	01/18/22 and cost repo	84.00 rted to the IRS.	14.01 W	0.00	Option sale to close-call <sup>25</sup>
02/25/22 02/28/22	1.000 1.000 2.000 1.000	49.99 49.99 99.98 84.98	02/02/22 02/02/22 Various 02/22/22	29.00 22.00 51.00 20.00	  	20.99 27.99 48.98 64.98	1 of 2 - Option sale to close-call <sup>25</sup> 2 of 2 - Option sale to close-call <sup>25</sup> Total of 2 transactions Option sale to close-call <sup>25</sup>
ELVIO 00/40/0000 0411 045	Security total:	964.86		670.01	14.01 W	308.86	
FLWS 03/18/2022 CALL \$15. 01/28/22	00 / CUSIP: / Symbol: 1.000 2 transactions for 02/28/	259.98 22. Total proceeds a	01/27/22 and cost repo	150.00 rted to the IRS.		109.98	Option sale to close-call <sup>25</sup>
02/28/22	1.000 1.000 2.000 Security total:	99.99 99.99 199.98 <b>459.96</b>	01/27/22 02/23/22 Various	150.00 55.00 205.00 <b>355.00</b>	  	<i>-50.01</i> <i>44</i> .99 -5.02 <b>104.96</b>	1 of 2 - Option sale to close-call <sup>25</sup> 2 of 2 - Option sale to close-call <sup>25</sup> Total of 2 transactions
FOSL 09/16/2022 CALL \$10.	00 / CUSIP: / Symbol:						
04/14/22 FRSX 01/21/2022 CALL \$2.5	1.000 0 / CUSIP: / Symbol:	264.98	03/10/22	195.00		69.98	Option sale to close-call <sup>25</sup>
01/21/22	10.000	0.00	12/07/21	250.00		-250.00	Option expiration- long call

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of prop	erty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FRSX 02/18/2022 CALL	\$5.00 / CUSIP: / Symbol:						
	2 transactions for 02/18	8/22. Total proceeds a	and cost repor	ted to the IRS.			
02/18/22	10.000 1.000 11.000	0.00 0.00 0.00	11/12/21 12/15/21 Various	300.00 8.00 308.00	 	-300.00 -8.00 -308.00	1 of 2 - Option expiration- long call 2 of 2 - Option expiration- long call Total of 2 transactions
FSR 05/20/2022 CALL \$	S12.50 / CUSIP: / Symbol:						
02/17/22	1.000	299.98	02/02/22	189.00		110.98	Option sale to close-call <sup>25</sup>
FUBO 01/07/2022 CALL	. \$22.00 / CUSIP: / Symbol:						
01/07/22	2.000	0.00	12/01/21	240.00	•••	-240.00	Option expiration- long call
FUBO 01/21/2022 CALL	. \$25.00 / CUSIP: / Symbol:						
	3 transactions for 01/2	1/22. Total proceeds a	and cost repor	ted to the IRS.			
01/21/22	3.000 2.000 3.000 8.000	0.00 0.00 0.00 0.00	11/18/21 11/18/21 11/24/21 Various	600.00 400.00 375.00 1,375.00	  	-600.00 -400.00 -375.00 -1,375.00	1 of 3 - Option expiration- long call 2 of 3 - Option expiration- long call 3 of 3 - Option expiration- long call Total of 3 transactions
	. \$30.00 / CUSIP: / Symbol:	0.00	Various	1,070.00	•••	1,070.00	Total of a transactions
1 000 0 112 112022 01122	10 transactions for 01/2	21/22. Total proceeds	and cost repo	orted to the IRS.			
	3.000	0.00	11/10/21	900.00		-900.00	1 of 10 - Option expiration- long call
	1.000	0.00	11/10/21	250.00		-250.00	2 of 10 - Option expiration- long call
	1.000	0.00	11/10/21	250.00		-250.00	3 of 10 - Option expiration- long call
	1.000	0.00	11/11/21	195.00		-195.00	4 of 10 - Option expiration- long call
	1.000	0.00	11/12/21	160.00		-160.00	5 of 10 - Option expiration- long call
	1.000	0.00	11/15/21	160.00		-160.00	6 of 10 - Option expiration- long call
	2.000	0.00	11/16/21	250.00		-250.00	7 of 10 - Option expiration- long call

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of prope	erty/CUSIP/Symbol						
1c- Date sold or	Our and the	1d- Proceeds & 6- Reported	1b- Date	1e- Cost or	1f- Accrued mkt disc (D) & 1g- Wash sale loss	Gain or loss(-) & 7- Loss not allowed (X)	Additional information
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	also not reported (Z)	Additional information
FUBO 01/21/2022 CALL	\$30.00 / CUSIP: / Symbol: (	cont'd)					
	1.000	0.00	11/18/21	110.00		-110.00	8 of 10 - Option expiration- long call
	1.000	0.00	11/18/21	110.00		-110.00	9 of 10 - Option expiration- long call
	2.000	0.00	11/29/21	160.00		-160.00	10 of 10 - Option expiration- long call
01/21/22	14.000	0.00	Various	2,545.00		-2,545.00	Total of 10 transactions
FUBO 02/04/2022 CALL	\$12.00 / CUSIP: / Symbol:						
02/01/22	2.000 2 transactions for 02/	49.98 02/22. Total proceeds a	01/19/22 and cost repor	198.00 ted to the IRS.	148.02 W	0.00	Option sale to close-call <sup>25</sup>
	1.000 1.000	9.98 9.98	01/24/22 01/24/22	94.01 94.01		-84.03 -84.03	1 of 2 - Option sale to close-call <sup>25</sup> 2 of 2 - Option sale to close-call <sup>25</sup>
02/02/22	2.000	19.96	Various	188.02		-168.06	Total of 2 transactions
	Security total:	69.94		386.02	148.02 W	-168.06	
FUBO 02/04/2022 CALL	\$15.00 / CUSIP: / Symbol:						
02/04/22	5.000	0.00	01/06/22	500.00		-500.00	Option expiration- long call
FUBO 02/25/2022 CALL	\$10.00 / CUSIP: / Symbol:						
02/16/22	1.000	134.98 24/22. Total proceeds a	01/24/22 and cost repor	95.00 ted to the IRS.		39.98	Option sale to close-call <sup>25</sup>
	2.000	9.99	02/22/22	238.01		-228.02	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	5.00	02/22/22	119.01		-114.01	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	5.00	02/22/22	110.00	···	-105.00	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	4.99	02/22/22	44.00		-39.01	4 of 4 - Option sale to close-call 25
02/24/22	5.000	24.98	Various	511.02		-486.04	Total of 4 transactions
	2 transactions for 02/	24/22. Total proceeds a	and cost repor	ted to the IRS.			
	4.000	19.98	01/24/22	380.00	360.02 W	0.00	1 of 2 - Option sale to close-call 25
	1.000	5.00	02/22/22	29.00	24.00 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
02/24/22	5.000	24.98	Various	409.00	384.02 W	0.00	Total of 2 transactions
	Security total:	184.94		1,015.02	384.02 W	-446.06	

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

**1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1c- Date sold or		1d- Proceeds & 6- Reported	1b- Date	1e- Cost or	1f- Accrued mkt disc (D) & 1g- Wash sale loss	Gain or loss(-) & 7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et		other basis	disallowed (W)	` ,	Additional information
FUBO 02/25/2022 CA	ALL \$13.50 / CUSIP: / Symbol:						
	2 transactions for 02/	24/22. Total proceeds a	and cost repor	ted to the IRS.			
	1.000	0.98	01/10/22	166.00	165.02 W	0.00	1 of 2 - Option sale to close-call 25
	1.000	0.98	01/10/22	166.00	165.02 W	0.00	2 of 2 - Option sale to close-call 25
02/24/22	2.000	1.96	Various	332.00	330.04 W	0.00	Total of 2 transactions
	6 transactions for 02/	25/22. Total proceeds a	and cost repor	ted to the IRS.			
	3.000	0.00	01/10/22	498.00	•••	-498.00	1 of 6 - Option expiration- long call
	2.000	0.00	01/14/22	260.00		-260.00	2 of 6 - Option expiration- long call
	3.000	0.00	01/18/22	375.00		-375.00	3 of 6 - Option expiration- long call
	1.000	0.00	02/22/22	171.02		-171.02	4 of 6 - Option expiration- long call
	1.000	0.00	02/22/22	171.02		-171.02	5 of 6 - Option expiration- long call
	8.000	0.00	02/22/22	48.00		-48.00	6 of 6 - Option expiration- long call
02/25/22	18.000	0.00	Various	1,523.04		-1,523.04	Total of 6 transactions
	Security total:	1.96		1,855.04	330.04 W	-1,523.04	
FUBO 03/04/2022 CA	ALL \$11.00 / CUSIP: / Symbol:						
	10 transactions for 03	1/04/22. Total proceeds	and cost repo	orted to the IRS.			
	3.000	0.00	01/21/22	360.00		-360.00	1 of 10 - Option expiration- long call
	1.000	0.00	02/17/22	77.00		-77.00	2 of 10 - Option expiration- long call
	6.000	0.00	02/22/22	108.00	***	-108.00	3 of 10 - Option expiration- long call
	3.000	0.00	02/24/22	21.00	***	-21.00	4 of 10 - Option expiration- long call
	2.000	0.00	02/24/22	14.00		-14.00	5 of 10 - Option expiration- long call
	1.000	0.00	02/24/22	7.00		-7.00	6 of 10 - Option expiration- long call
	1.000	0.00	02/24/22	7.00		-7.00	7 of 10 - Option expiration- long
	1.000	0.00	02/24/22	7.00		-7.00	8 of 10 - Option expiration- long call
	1.000	0.00	02/24/22	7.00		-7.00	9 of 10 - Option expiration- long call

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#### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12) "Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol 1c- Date 1d- Proceeds & 1f- Accrued mkt disc (D) & Gain or loss(-) & sold or 6- Reported 1e- Cost or 1q- Wash sale loss 7- Loss not allowed (X) 1b- Date disposed Quantity (G)ross or (N)et acquired other basis disallowed (W) also not reported (Z) Additional information FUBO 03/04/2022 CALL \$11.00 / CUSIP: / Symbol: (cont'd) 02/24/22 10 of 10 - Option expiration- long 1.000 0.00 7.00 -7.0003/04/22 20.000 0.00 Various 615.00 -615.00 Total of 10 transactions FUBO 03/04/2022 CALL \$8.50 / CUSIP: / Symbol: 2 transactions for 02/28/22. Total proceeds and cost reported to the IRS. 1 of 2 - Option sale to close-call 25 1.000 49.99 02/24/22 22.00 27.99 1.000 49.99 22.00 27.99 2 of 2 - Option sale to close-call 25 02/24/22 02/28/22 99.98 55.98 Total of 2 transactions 2.000 Various 44.00 FUBO 05/20/2022 CALL \$11.00 / CUSIP: / Symbol: 12 transactions for 05/12/22. Total proceeds and cost reported to the IRS. 1 000 1 00 03/09/22 54 00 -53.00 1 of 12 - Option sale to close-call 1.000 1.00 03/11/22 35.00 -34.00 2 of 12 - Option sale to close-call 1.000 1.00 03/21/22 38 00 -37.00 3 of 12 - Option sale to close-call 1.000 0.99 03/25/22 28.00 -27.01 4 of 12 - Option sale to close-call 2.000 1.99 03/30/22 70.00 -68.01 5 of 12 - Option sale to close-call 1.000 1.00 03/31/22 30.00 -29.00 6 of 12 - Option sale to close-call 1.000 0.99 04/05/22 32.00 -31.01 7 of 12 - Option sale to close-call 1.000 1.00 04/05/22 32.00 -31.00 8 of 12 - Option sale to close-call 1.000 0.99 04/07/22 20.00 -19.01 9 of 12 - Option sale to close-call 1.000 1.00 04/07/22 20.00 -19.00 10 of 12 - Option sale to close-call 1.000 1.00 04/12/22 174.00 -173.00 11 of 12 - Option sale to close-call

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of property/0	CUSIP/Symbol						
1c- Date sold or		1d- Proceeds & 6- Reported	1b- Date	1e- Cost or	1f- Accrued mkt disc (D) & 1g- Wash sale loss	Gain or loss(-) & 7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	` '	Additional information
FUBO 05/20/2022 CALL \$11.	00 / CUSIP: / Symbol: (cor	nt'd)				. , ,	
	1.000	0.99	04/12/22	84.00		-83.01	12 of 12 - Option sale to close-call
05/12/22	13.000 2 transactions for 05/12/	12.95 /22 Total proceeds a	Various	617.00 orted to the IRS		-604.05	Total of 12 transactions
	1.000	1.00	01/27/22	160.00	159.00 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	1.00	03/03/22	70.00	69.00 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
05/12/22	2.000	2.00	Various	230.00	228.00 W	0.00	Total of 2 transactions
03/12/22	Security total:	14.95	v ai ious	847.00	228.00 W	-604.05	Total of 2 transactions
FUBO 05/20/2022 CALL \$9.0	•			000			
05/06/22	1.000	4.98	03/11/22	60.00	55.02 W	0.00	Option sale to close-call <sup>25</sup>
33/33/2	4 transactions for 05/11/				00.02	0.00	
	1.000	2.00	04/11/22	76.01		-74.01	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	1.99	04/14/22	108.04	···	-106.05	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	1.99	04/14/22	104.03		-102.04	3 of 4 - Option sale to close-call 25
	1.000	2.00	04/14/22	104.02		-102.02	4 of 4 - Option sale to close-call 25
05/11/22	4.000	7.98	Various	392.10		-384.12	Total of 4 transactions
	6 transactions for 05/11/	/22. Total proceeds a	and cost repo	orted to the IRS.			
	1.000	1.99	03/11/22	60.00	58.01 W	0.00	1 of 6 - Option sale to close-call 25
	1.000	2.00	03/11/22	60.00	58.00 W	0.00	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	1.99	03/31/22	50.00	48.01 W	0.00	3 of 6 - Option sale to close-call 25
	1.000	1.98	04/07/22	90.02	88.04 W	0.00	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	1.98	04/11/22	86.01	84.03 W	0.00	5 of 6 - Option sale to close-call 25
	1.000	1.98	04/11/22	86.00	84.02 W	0.00	6 of 6 - Option sale to close-call 25
05/11/22	6.000	11.92	Various	432.03	420.11 W	0.00	Total of 6 transactions
	Security total:	24.88		884.13	475.13 W	-384.12	
FUBO 08/19/2022 CALL \$10.	00 / CUSIP: / Symbol:						
	10 transactions for 05/0	6/22. Total proceeds	and cost rep	oorted to the IRS.			
	10.000	139.98	01/27/22	2,310.00		-2,170.02	1 of 10 - Option sale to close-call
	1.000	14.00	03/04/22	150.00		-136.00	2 of 10 - Option sale to close-call

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of prope	erty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUBO 08/19/2022 CALL	\$10.00 / CUSIP: / Symbol: (d	cont'd)					
	2.000	27.99	03/08/22	240.00		-212.01	3 of 10 - Option sale to close-call
	1.000	14.00	03/08/22	120.00		-106.00	4 of 10 - Option sale to close-call
	1.000	14.00	03/11/22	100.00		-86.00	5 of 10 - Option sale to close-call
	1.000	14.00	03/14/22	80.00		-66.00	6 of 10 - Option sale to close-call
	1.000	14.00	03/31/22	85.00		-71.00	7 of 10 - Option sale to close-call
	1.000	13.99	04/11/22	60.00		-46.01	8 of 10 - Option sale to close-call
	1.000	13.99	04/20/22	43.00		-29.01	9 of 10 - Option sale to close-call
	1.000	14.00	04/20/22	43.00		-29.00	10 of 10 - Option sale to close-call
05/06/22	20.000	279.95	Various	3,231.00		-2,951.05	Total of 10 transactions
FUBO 08/19/2022 CALL	\$13.00 / CUSIP: / Symbol:						
	10 transactions for 05	i/06/22. Total proceeds	and cost repo	orted to the IRS.			
	10.000	129.98	02/16/22	2,200.00		-2,070.02	1 of 10 - Option sale to close-call
	3.000	38.99	02/25/22	330.00		-291.01	2 of 10 - Option sale to close-call
	1.000	13.00	02/25/22	110.00		-97.00	3 of 10 - Option sale to close-call
	2.000	25.99	03/03/22	200.00		-174.01	4 of 10 - Option sale to close-call
	1.000	13.00	03/08/22	85.00		-72.00	5 of 10 - Option sale to close-call
	2.000	26.00	03/10/22	150.00		-124.00	6 of 10 - Option sale to close-call
	5.000	64.99	03/24/22	275.00		-210.01	7 of 10 - Option sale to close-call

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of property/CUSIP/Symbol								
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information	
FUBO 08/19/2022 CALL \$13.00		., .,				(-/		
	3.000	38.98	03/31/22	165.00		-126.02	8 of 10 - Option sale to close-call	
	1.000	13.00	03/31/22	55.00		-42.00	9 of 10 - Option sale to close-call	
	1.000	13.00	03/31/22	55.00		-42.00	10 of 10 - Option sale to close-call	
05/06/22	29.000	376.93	Various	3,625.00		-3,248.07	Total of 10 transactions	
FUBO 08/19/2022 CALL \$5.00	/ CUSIP: / Symbol:							
	3 transactions for 05/09	)/22. Total proceeds a	and cost repo	orted to the IRS.				
	1.000 1.000	36.98 40.99	05/06/22 05/06/22	44.00 51.02	7.02 W 10.03 W	0.00 0.00	1 of 3 - Option sale to close-call <sup>25</sup> 2 of 3 - Option sale to close-call <sup>25</sup>	
05/09/22	<i>1.000</i> 3.000	<i>40.99</i> 118.96	05/06/22	<i>44.00</i> 139.02	3. <i>01 W</i> 20.06 W	0.00 0.00	3 of 3 - Option sale to close-call <sup>25</sup> Total of 3 transactions	
05/09/22	2 transactions for 05/25		Various and cost repo		20.00 W	0.00	rotal of 3 transactions	
	1.000	34.99	05/06/22	54.03	•••	-19.04	1 of 2 - Option sale to close-call <sup>25</sup>	
	1.000	34.99	05/06/22	47.01		-12.02	2 of 2 - Option sale to close-call <sup>25</sup>	
05/25/22	2.000	69.98	Various	101.04	···	-31.06	Total of 2 transactions	
	Security total:	188.94		240.06	20.06 W	-31.06		
GENI 07/15/2022 CALL \$7.50	CUSIP: / Symbol:							
	5 transactions for 07/15	5/22. Total proceeds a	and cost repo	rted to the IRS.				
	10.000	0.00	03/17/22	400.00	···	-400.00	1 of 5 - Option expiration- long call	
	5.000	0.00	03/25/22	110.00	···	-110.00	2 of 5 - Option expiration- long call	
	4.000	0.00	03/30/22	100.00		-100.00	3 of 5 - Option expiration- long call	
	1.000	0.00	03/30/22	25.00	***	-25.00	4 of 5 - Option expiration- long call	
	10.000	0.00	04/05/22	150.00		-150.00	5 of 5 - Option expiration- long call	
07/15/22	30.000	0.00	Various	785.00	····	-785.00	Total of 5 transactions	
GEVO 07/15/2022 CALL \$5.00	/ CUSIP: / Symbol:							
07/15/22	10.000	0.00	06/06/22	100.00		-100.00	Option expiration- long call	

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of prop	perty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	
GGPI 01/21/2022 CALL	_ \$12.50 / CUSIP: / Symbol:				, ,		
	4 transactions for 01/1	19/22. Total proceeds a	and cost report	ted to the IRS.			
	2.000	9.99	11/10/21	120.00		-110.01	1 of 4 - Option sale to close-call 25
	2.000	9.99	11/10/21	120.00		-110.01	2 of 4 - Option sale to close-call 25
	2.000	10.00	11/10/21	120.00		-110.00	3 of 4 - Option sale to close-call 25
	1.000	5.00	11/10/21	60.00		-55.00	4 of 4 - Option sale to close-call 25
01/19/22	7.000	34.98	Various	420.00		-385.02	Total of 4 transactions
GGPI 01/21/2022 CALL	_ \$17.50 / CUSIP: / Symbol:						
	5 transactions for 01/2	21/22. Total proceeds a	and cost report	ted to the IRS.			
	2.000	0.00	11/16/21	520.00		-520.00	1 of 5 - Option expiration- long call
	1.000	0.00	11/17/21	230.00		-230.00	2 of 5 - Option expiration- long call
	1.000	0.00	11/18/21	135.00		-135.00	3 of 5 - Option expiration- long call
	2.000	0.00	12/09/21	58.00		-58.00	4 of 5 - Option expiration- long call
	2.000	0.00	12/09/21	58.00		-58.00	5 of 5 - Option expiration- long call
01/21/22	8.000	0.00	Various	1,001.00		-1,001.00	Total of 5 transactions
GGPI 03/18/2022 CALL	_ \$10.00 / CUSIP: / Symbol:						
02/15/22	1.000	184.98	02/01/22	75.00	···	109.98	Option sale to close-call <sup>25</sup>
	3 transactions for 02/1	18/22. Total proceeds a	and cost report	ted to the IRS.			
	1.000	209.98	02/01/22	75.00		134.98	1 of 3 - Option sale to close-call 25
	1.000	219.98	02/01/22	75.00	***	<i>144</i> .98	2 of 3 - Option sale to close-call 25
	1.000	224.98	02/01/22	75.00		149.98	3 of 3 - Option sale to close-call 25
02/18/22	3.000	654.94	Various	225.00		429.94	Total of 3 transactions
03/07/22	1.000	134.98	02/01/22	75.00		59.98	Option sale to close-call <sup>25</sup>
	Security total:	974.90		375.00	•••	599.90	
GGPI 03/18/2022 CALL	_ \$12.50 / CUSIP: / Symbol:						
03/03/22	1.000	41.98	01/27/22	25.00		16.98	Option sale to close-call <sup>25</sup>
GGPI 04/14/2022 CALL	_ \$12.50 / CUSIP: / Symbol:						
03/23/22	3.000	89.98	03/18/22	60.00		29.98	Option sale to close-call <sup>25</sup>
	4 transactions for 03/2	28/22. Total proceeds a	and cost report	ted to the IRS.			
	1.000	29.98	03/18/22	20.00	···	9.98	1 of 4 - Option sale to close-call 25
	1.000	29.98	03/18/22	20.00	***	9.98	2 of 4 - Option sale to close-call 25

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12 "Gain or loss (-)" is NOT reported to the IRS.

1a- Description of proper	rty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GGPI 04/14/2022 CALL \$	12.50 / CUSIP: / Symbol: (c	ont'd)					
	1.000 2.000	29.98 63.98	03/18/22 03/18/22	20.00 40.00		9.98 23.98	3 of 4 - Option sale to close-call <sup>25</sup> 4 of 4 - Option sale to close-call <sup>25</sup>
03/28/22 03/29/22	5.000 1.000	153.92 29.98	Various 03/18/22	100.00 20.00		53.92 9.98	Total of 4 transactions Option sale to close-call <sup>25</sup>
03/31/22	1.000 Security total:	24.98 <b>298.86</b>	03/18/22	20.00 <b>200.00</b>		4.98 <b>98.86</b>	Option sale to close-call <sup>25</sup>
GOEV 03/11/2022 CALL S	•				<del></del>	33.33	
02/08/22 02/14/22 02/25/22 03/01/22	1.000 1.000 1.000 1.000	99.98 94.98 58.98 34.98	01/27/22 01/27/22 01/27/22 02/22/22	63.00 63.00 63.00 50.02	 4.02 W 	36.98 31.98 0.00 -15.04	Option sale to close-call <sup>25</sup> Option sale to close-call <sup>25</sup> Option sale to close-call <sup>25</sup> Option sale to close-call <sup>25</sup>
	Security total:	288.92		239.02	4.02 W	53.92	
GOEV 05/27/2022 CALL S	\$6.00 / CUSIP: / Symbol:						
05/12/22	5.000	14.98	04/14/22	165.00	***	-150.02	Option sale to close-call <sup>25</sup>
GRAB 03/04/2022 CALL 9	\$5.50 / CUSIP: / Symbol:						
	2 transactions for 02/	10/22. Total proceeds a	and cost report	ted to the IRS.			
02/10/22	1.000 1.000 2.000 3 transactions for 02/	104.98 104.98 209.96 24/22. Total proceeds a	01/18/22 01/18/22 Various	60.00 60.00 120.00 ted to the IRS	 	44.98 44.98 89.96	1 of 2 - Option sale to close-call <sup>25</sup> 2 of 2 - Option sale to close-call <sup>25</sup> Total of 2 transactions
	3.000 1.000 1.000	59.99 20.00 19.99	01/18/22 01/28/22 02/09/22	180.00 55.00 35.00	120.01 W 35.00 W 15.01 W	0.00 0.00 0.00	1 of 3 - Option sale to close-call <sup>25</sup> 2 of 3 - Option sale to close-call <sup>25</sup> 3 of 3 - Option sale to close-call <sup>25</sup>
02/24/22	5.000 5 transactions for 02/2	99.98 25/22. Total proceeds a	Various and cost report	270.00 ted to the IRS.	170.02 W	0.00	Total of 3 transactions
	1.000 1.000 1.000 1.000	40.00 39.99 40.00 39.99	02/22/22 02/22/22 02/22/22 02/22/22	58.00 53.02 53.01 53.00	  	-18.00 -13.03 -13.01 -13.01	1 of 5 - Option sale to close-call <sup>25</sup> 2 of 5 - Option sale to close-call <sup>25</sup> 3 of 5 - Option sale to close-call <sup>25</sup> 4 of 5 - Option sale to close-call <sup>25</sup>
02/25/22	1.000 5.000	<i>40.00</i> 199.98	<i>02/22/22</i> Various	33. <i>01</i> 250.04		6.99 -50.06	5 of 5 - Option sale to close-call <sup>25</sup> Total of 5 transactions

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of proper	rty/CUSIP/Symbol						
1c- Date		1d- Proceeds &			1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or		6- Reported	1b- Date	1e- Cost or	1g- Wash sale loss	7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	also not reported (Z)	Additional information
GRAB 03/04/2022 CALL S	\$5.50 / CUSIP: / Symbol: (co	nt'd)					
	2 transactions for 02/2	5/22. Total proceeds a	and cost repo	rted to the IRS.			
	1.000	39.99	02/09/22	75.00	35.01 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	39.99	02/09/22	75.01	35.02 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
02/25/22	2.000	79.98	Various	150.01	70.03 W	0.00	Total of 2 transactions
	Security total:	589.90		790.05	240.05 W	39.90	
GRAB 04/14/2022 CALL S	\$11.00 / CUSIP: / Symbol:						
	2 transactions for 04/1	4/22. Total proceeds a	and cost repo	rted to the IRS.			
	2.000	0.00	12/06/21	260.00	···	-260.00	1 of 2 - Option expiration- long call
	2.000	0.00	02/10/22	20.00		-20.00	2 of 2 - Option expiration- long call
04/14/22	4.000	0.00	Various	280.00		-280.00	Total of 2 transactions
GRAB 04/14/2022 CALL S	6.00 / CUSIP: / Symbol:						
	4 transactions for 04/1	4/22. Total proceeds a	and cost repo	orted to the IRS.			
	3.000	0.00	01/18/22	180.00		-180.00	1 of 4 - Option expiration- long call
	1.000	0.00	02/18/22	55.00	•••	-55.00	2 of 4 - Option expiration- long call
	10.000	0.00	03/18/22	50.00		-50.00	3 of 4 - Option expiration- long call
	4.000	0.00	03/18/22	40.00		-40.00	4 of 4 - Option expiration- long call
04/14/22	18.000	0.00	Various	325.00		-325.00	Total of 4 transactions
GRAB 07/15/2022 CALL S	\$5.00 / CUSIP: / Symbol:						
	10 transactions for 06/	01/22. Total proceeds	and cost rep	orted to the IRS.			
	2.000	11.99	03/09/22	46.00		-34.01	1 of 10 - Option sale to close-call
	1.000	6.00	03/09/22	23.00		-17.00	2 of 10 - Option sale to close-call
	1.000	6.00	03/14/22	20.00		-14.00	3 of 10 - Option sale to close-call
	10.000	59.97	04/05/22	250.00		-190.03	4 of 10 - Option sale to close-call
	1.000	6.00	04/19/22	15.00		-9.00	5 of 10 - Option sale to close-call 25
	1.000	6.00	05/12/22	10.00	···	-4.00	6 of 10 - Option sale to close-call <sup>25</sup>

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of pro	perty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GRAB 07/15/2022 CAL	L \$5.00 / CUSIP: / Symbol: (co	ont'd)					
	1.000	5.99	05/25/22	5.00		0.99	7 of 10 - Option sale to close-call
	1.000	6.00	05/25/22	5.00		1.00	8 of 10 - Option sale to close-call
	1.000	6.00	05/25/22	5.00		1.00	9 of 10 - Option sale to close-call
	2.000	11.99	05/25/22	10.00		1.99	10 of 10 - Option sale to close-call
06/01/22	21.000	125.94	Various	389.00	•••	-263.06	Total of 10 transactions
GRAB 07/15/2022 CAL	L \$6.00 / CUSIP: / Symbol:						
	2 transactions for 04/0	04/22. Total proceeds a	and cost report	ted to the IRS.			
	5.000	74.99	01/18/22	525.00	450.01 W	0.00	1 of 2 - Option sale to close-call 25
	5.000	74.98	02/24/22	375.00	300.02 W	0.00	2 of 2 - Option sale to close-call 25
04/04/22	10.000	149.97	Various	900.00	750.03 W	0.00	Total of 2 transactions
	11 transactions for 07	/15/22. Total proceeds	and cost repo	orted to the IRS.			
	2.000	0.00	03/02/22	150.00		-150.00	1 of 11 - Option expiration- long call
	8.000	0.00	03/03/22	160.00		-160.00	2 of 11 - Option expiration- long call
	2.000	0.00	03/03/22	100.00		-100.00	3 of 11 - Option expiration- long call
	1.000	0.00	03/03/22	20.00		-20.00	4 of 11 - Option expiration- long call
	1.000	0.00	03/03/22	20.00		-20.00	5 of 11 - Option expiration- long call
	4.000	0.00	03/22/22	440.01		-440.01	6 of 11 - Option expiration- long call
	1.000	0.00	03/22/22	110.00	···	-110.00	7 of 11 - Option expiration- long call
	3.000	0.00	03/30/22	225.01		-225.01	8 of 11 - Option expiration- long call
	2.000	0.00	04/11/22	150.01		-150.01	9 of 11 - Option expiration- long call

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of property/	CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GRAB 07/15/2022 CALL \$6.0	00 / CUSIP: / Symbol: (coi	nt'd)				. , ,	
	8.000	0.00	04/11/22	120.00		-120.00	10 of 11 - Option expiration- long call
	1.000	0.00	04/14/22	10.00		-10.00	11 of 11 - Option expiration- long call
07/15/22	33.000	0.00	Various	1,505.03		-1,505.03	Total of 11 transactions
	Security total:	149.97		2,405.03	750.03 W	-1,505.03	
GSAT 01/21/2022 CALL \$2.0	0 / CUSIP: / Symbol:						
01/21/22	6.000	0.00	11/12/21	90.00		-90.00	Option expiration- long call
GSAT 07/15/2022 CALL \$1.0	0 / CUSIP: / Symbol:						
02/25/22	3.000	119.98	01/20/22	90.00		29.98	Option sale to close-call <sup>25</sup>
03/25/22	1.000	54.98	02/02/22	29.00		25.98	Option sale to close-call <sup>25</sup>
03/30/22	1.000	59.98	02/02/22	29.00		30.98	Option sale to close-call <sup>25</sup>
04/26/22	5.000	144.98	02/02/22	145.00		-0.02	Option sale to close-call <sup>25</sup>
05/06/22	3.000	71.98	02/02/22	87.00	•••	-15.02	Option sale to close-call <sup>25</sup>
	Security total:	451.90		380.00		71.90	
HLGN 10/21/2022 CALL \$7.5	60 / CUSIP: / Symbol:						
06/02/22	1.000	19.98	03/21/22	110.00		-90.02	Option sale to close-call <sup>25</sup>
	2 transactions for 06/1	5/22. Total proceeds a	and cost repo	rted to the IRS.			
	1.000	4.99	03/28/22	65.00		-60.01	1 of 2 - Option sale to close-call 25
	1.000	4.99	03/28/22	60.00	•••	-55.01	2 of 2 - Option sale to close-call 25
06/15/22	2.000	9.98	Various	125.00		-115.02	Total of 2 transactions
	Security total:	29.96		235.00		-205.04	
HOOD 03/18/2022 CALL \$15	.00 / CUSIP: / Symbol:						
02/01/22	2.000	299.98	01/19/22	370.00	70.02 W	0.00	Option sale to close-call <sup>25</sup>
	2 transactions for 03/0	8/22. Total proceeds a	and cost repo	rted to the IRS.			
	5.000	29.99	02/22/22	115.00	•••	-85.01	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	11.99	02/23/22	40.00	···	-28.01	2 of 2 - Option sale to close-call <sup>25</sup>
03/08/22	7.000	41.98	Various	155.00		-113.02	Total of 2 transactions

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of propert	ty/CUSIP/Symbol						
1c- Date		1d- Proceeds &			1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or		6- Reported	1b- Date	1e- Cost or	1g- Wash sale loss	7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	also not reported (Z)	Additional information
HOOD 03/18/2022 CALL \$	315.00 / CUSIP: / Symbol: (c	cont'd)					
	7 transactions for 03/0	8/22. Total proceeds a	and cost repo	orted to the IRS.			
	1.000	6.00	01/19/22	185.00	179.00 W	0.00	1 of 7 - Option sale to close-call <sup>25</sup>
	1.000	6.00	01/24/22	130.01	124.01 W	0.00	2 of 7 - Option sale to close-call 25
	1.000	6.00	01/31/22	115.01	109.01 W	0.00	3 of 7 - Option sale to close-call <sup>25</sup>
	1.000	6.00	02/09/22	95.00	89.00 W	0.00	4 of 7 - Option sale to close-call 25
	2.000	11.99	02/17/22	130.00	118.01 W	0.00	5 of 7 - Option sale to close-call <sup>25</sup>
	2.000	11.99	02/17/22	100.00	88.01 W	0.00	6 of 7 - Option sale to close-call <sup>25</sup>
	2.000	12.00	02/17/22	80.00	68.00 W	0.00	7 of 7 - Option sale to close-call <sup>25</sup>
03/08/22	10.000	59.98	Various	835.02	775.04 W	0.00	Total of 7 transactions
	3 transactions for 03/0	19/22. Total proceeds a	and cost repo	orted to the IRS.			
	1.000	7.99	02/28/22	196.00	•••	-188.01	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	7.99	02/28/22	141.01	•••	-133.02	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	8.00	02/28/22	126.01		-118.01	3 of 3 - Option sale to close-call <sup>25</sup>
03/09/22	3.000	23.98	Various	463.02		-439.04	Total of 3 transactions
03/10/22	1.000	6.98	02/28/22	106.00		-99.02	Option sale to close-call <sup>25</sup>
	5 transactions for 03/1	1/22. Total proceeds a	and cost repo	orted to the IRS.			
	2.000	11.99	02/28/22	102.00	•••	-90.01	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	5.98	02/28/22	76.01	•••	-70.03	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	5.98	02/28/22	76.00		-70.02	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	5.98	02/28/22	61.01		-55.03	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	5.99	02/28/22	61.00		-55.01	5 of 5 - Option sale to close-call <sup>25</sup>
03/11/22	6.000	35.92	Various	376.02		-340.10	Total of 5 transactions
	Security total:	468.82		2,305.06	845.06 W	-991.18	
HOOD 06/17/2022 CALL \$	315.00 / CUSIP: / Symbol:						
	12 transactions for 05/	/10/22. Total proceeds	and cost rep	ported to the IRS.			
	1.000	10.00	03/31/22	170.00		-160.00	1 of 12 - Option sale to close-call
	1.000	10.00	04/05/22	124.00		-114.00	2 of 12 - Option sale to close-call
	1.000	10.00	04/06/22	101.00		-91.00	3 of 12 - Option sale to close-call

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of pro	pperty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HOOD 06/17/2022 CA	LL \$15.00 / CUSIP: / Symbol: (d	cont'd)					
	1.000	10.00	04/06/22	92.00		-82.00	4 of 12 - Option sale to close-call
	1.000	10.00	04/07/22	80.00		-70.00	5 of 12 - Option sale to close-call
	3.000	29.99	04/08/22	210.00		-180.01	6 of 12 - Option sale to close-call
	2.000	19.99	04/08/22	130.00		-110.01	7 of 12 - Option sale to close-call
	1.000	10.00	04/11/22	259.02		-249.02	8 of 12 - Option sale to close-call
	5.000	49.98	04/14/22	260.00		-210.02	9 of 12 - Option sale to close-call
	1.000	10.00	04/18/22	42.00		-32.00	10 of 12 - Option sale to close-call
	4.000	39.99	04/26/22	112.00		-72.01	11 of 12 - Option sale to close-call
	2.000	19.99	04/28/22	52.00		-32.01	12 of 12 - Option sale to close-call
05/10/22	23.000	229.94	Various	1,632.02		-1,402.08	Total of 12 transactions
05/10/22	1.000	9.98	03/30/22	205.00	195.02 W	0.00	Option sale to close-call <sup>25</sup>
	Security total:	239.92		1,837.02	195.02 W	-1,402.08	
HOOD 08/19/2022 CA	LL \$13.00 / CUSIP: / Symbol:						
03/07/22	3.000	719.98	03/01/22	690.00		29.98	Option sale to close-call <sup>25</sup>
03/16/22	1.000	259.98	03/01/22	230.00	***	29.98	Option sale to close-call 25
03/17/22	1.000	309.98	03/01/22	230.00	***	79.98	Option sale to close-call <sup>25</sup>
	3 transactions for 03/2	9/22. Total proceeds a	and cost repor	ted to the IRS.			
	1.000	314.98	03/01/22	230.00		<i>84.98</i>	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	479.98	03/01/22	230.00		249.98	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	499.98	03/01/22	230.00		269.98	3 of 3 - Option sale to close-call <sup>25</sup>
03/29/22	3.000	1,294.94	Various	690.00		604.94	Total of 3 transactions

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS. 1a Description of property/CUSID/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
100D 08/19/2022 CA	ALL \$13.00 / CUSIP: / Symbol:	(cont'd)	-				
	2 transactions for 05/	13/22. Total proceeds a	and cost report	ted to the IRS.			
	1.000	119.98	03/01/22	230.00	110.02 W	0.00	1 of 2 - Option sale to close-call 25
	1.000	119.98	03/01/22	230.00	110.02 W	0.00	2 of 2 - Option sale to close-call 2
05/13/22	2.000	239.96	Various	460.00	220.04 W	0.00	Total of 2 transactions
	5 transactions for 06/	27/22. Total proceeds a	and cost report	ted to the IRS.			
	1.000	42.00	05/10/22	181.02	139.02 W	0.00	1 of 5 - Option sale to close-call 25
	1.000	42.00	05/10/22	181.02	139.02 W	0.00	2 of 5 - Option sale to close-call 25
	1.000	41.99	05/10/22	71.00	29.01 W	0.00	3 of 5 - Option sale to close-call 25
	1.000	41.99	05/11/22	49.00	7.01 W	0.00	4 of 5 - Option sale to close-call 2
	1.000	42.00	05/24/22	53.00	11.00 W	0.00	5 of 5 - Option sale to close-call 2
6/27/22	5.000	209.98	Various	535.04	325.06 W	0.00	Total of 5 transactions
	2 transactions for 06/	28/22. Total proceeds a	and cost report	ted to the IRS.			
	1.000	44.99	06/01/22	189.02	144.03 W	0.00	1 of 2 - Option sale to close-call 2
	1.000	44.99	06/06/22	179.02	134.03 W	0.00	2 of 2 - Option sale to close-call 2
06/28/22	2.000	89.98	Various	368.04	278.06 W	0.00	Total of 2 transactions
	3 transactions for 07/	26/22. Total proceeds a	and cost report	ed to the IRS.			
	1.000	6.99	06/07/22	59.01	52.02 W	0.00	1 of 3 - Option sale to close-call 2
	1.000	6.99	06/07/22	37.01	30.02 W	0.00	2 of 3 - Option sale to close-call 2
	1.000	6.98	06/08/22	39.00	32.02 W	0.00	3 of 3 - Option sale to close-call 25
7/26/22	3.000	20.96	Various	135.02	114.06 W	0.00	Total of 3 transactions
	3 transactions for 07/	28/22. Total proceeds a	and cost report	ted to the IRS.			
	1.000	7.98	06/08/22	171.03	163.05 W	0.00	1 of 3 - Option sale to close-call 25
	1.000	7.99	06/09/22	159.03	151.04 W	0.00	2 of 3 - Option sale to close-call 2
	1.000	7.99	06/09/22	25.00	17.01 W	0.00	3 of 3 - Option sale to close-call 2
7/28/22	3.000	23.96	Various	355.06	331.10 W	0.00	Total of 3 transactions
	13 transactions for 08	8/03/22. Total proceeds	and cost repo	rted to the IRS.			
	1.000	7.98	06/09/22	25.00		-17.02	1 of 13 - Option sale to close-call
	1.000	7.98	06/09/22	25.00		-17.02	2 of 13 - Option sale to close-call
	1.000	9.00	06/10/22	24.00		-15.00	3 of 13 - Option sale to close-call

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of property/	CUSIP/Symbol						
1c- Date		1d- Proceeds &			1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or	Ouantitu	6- Reported	1b- Date	1e- Cost or other basis	1g- Wash sale loss	7- Loss not allowed (X)	Additional information
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	also not reported (Z)	Additional information
HOOD 08/19/2022 CALL \$13	3.00 / CUSIP: / Symbol: (d	cont'd)					
	2.000	17.99	06/13/22	30.00	***	-12.01	4 of 13 - Option sale to close-call
	1.000	8.99	06/13/22	15.00	***	-6.01	5 of 13 - Option sale to close-call
	1.000	8.99	06/13/22	15.00		-6.01	6 of 13 - Option sale to close-call
	1.000	9.00	06/13/22	15.00	···	-6.00	7 of 13 - Option sale to close-call
	1.000	8.99	06/13/22	14.00		-5.01	8 of 13 - Option sale to close-call
	1.000	11.98	06/14/22	15.00		-3.02	9 of 13 - Option sale to close-call
	1.000	11.98	06/15/22	12.00		-0.02	10 of 13 - Option sale to close-call
	1.000	11.98	06/16/22	11.00		0.98	11 of 13 - Option sale to close-call
	1.000	11.98	06/16/22	11.00		0.98	12 of 13 - Option sale to close-call
	1.000	11.98	07/11/22	69.02		-57.04	13 of 13 - Option sale to close-call
08/03/22	14.000	138.82	Various	281.02		-142.20	Total of 13 transactions
08/03/22	1.000	7.98	06/09/22	25.00	17.02 W	0.00	Option sale to close-call <sup>25</sup>
	3 transactions for 08/0	08/22. Total proceeds a	and cost repo	rted to the IRS.			
	1.000	10.99	07/11/22	47.02		-36.03	1 of 3 - Option sale to close-call 25
	1.000	10.99	07/12/22	176.05	•••	-165.06	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	11.00	07/12/22	45.02		-34.02	3 of 3 - Option sale to close-call <sup>25</sup>
08/08/22	3.000	32.98	Various	268.09		-235.11	Total of 3 transactions
	3 transactions for 08/1	6/22. Total proceeds a	and cost repo	rted to the IRS.			
	1.000	2.99	07/12/22	163.04		-160.05	1 of 3 - Option sale to close-call 25
	1.000	3.00	07/12/22	29.02		-26.02	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	2.99	07/12/22	29.01	•••	-26.02	3 of 3 - Option sale to close-call <sup>25</sup>
08/16/22	3.000	8.98	Various	221.07		-212.09	Total of 3 transactions
	Security total:	3,358.48		4,488.34	1,285.34 W	155.48	

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of property/C	USIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HUSA 04/14/2022 CALL \$9.00	) / CUSIP: / Symbol:	. , , , , , , , , , , , , , , , , , , ,	•			. , ,	
04/01/22 04/14/22	1.000 1.000	9.98 0.00	03/25/22 03/31/22	75.00 70.02	65.02 W 	0.00 -70.02	Option sale to close-call <sup>25</sup> Option expiration- long call
	Security total:	9.98		145.02	65.02 W	-70.02	
HYLN 04/14/2022 CALL \$8.00	/ CUSIP: / Symbol:						
	2 transactions for 04/14/2	22. Total proceeds a	and cost repo	rted to the IRS.			
04/14/22	5.000 5.000 10.000	0.00 0.00 0.00	11/15/21 02/15/22 Various	750.00 45.00 795.00	 	-750.00 -45.00 -795.00	1 of 2 - Option expiration- long call 2 of 2 - Option expiration- long call Total of 2 transactions
HYLN 07/15/2022 CALL \$5.00	/ CUSIP: / Symbol:						
06/02/22	3.000 5 transactions for 06/14/2	29.98 22. Total proceeds a	01/20/22 and cost repo	252.00 rted to the IRS.	148.01 W	-74.01	Option sale to close-call <sup>25</sup>
	1.000 1.000 2.000 1.000 2.000	4.98 5.00 9.99 5.00 9.99	02/23/22 03/14/22 04/20/22 04/20/22 05/09/22	40.00 38.00 30.00 14.00 168.01	  	-35.02 -33.00 -20.01 -9.00 -158.02	1 of 5 - Option sale to close-call <sup>25</sup> 2 of 5 - Option sale to close-call <sup>25</sup> 3 of 5 - Option sale to close-call <sup>25</sup> 4 of 5 - Option sale to close-call <sup>25</sup> 5 of 5 - Option sale to close-call <sup>25</sup>
06/14/22	7.000 Security total:	34.96 <b>64.94</b>	Various	290.01 <b>542.01</b>	 148.01 W	-255.05 - <b>329.06</b>	Total of 5 transactions
HYZN 01/20/2023 CALL \$10.0	•	04.04		042.01	140.01 11	-023.00	
03/24/22	1.000 3 transactions for 04/20/2	104.98 22. Total proceeds a	10/28/21 and cost repor	100.00 rted to the IRS.		4.98	Option sale to close-call <sup>25</sup>
	1.000 1.000 1.000	39.99 40.00 39.99	10/28/21 03/04/22 04/12/22	100.00 70.00 50.00	 	-60.01 -30.00 -10.01	1 of 3 - Option sale to close-call <sup>25</sup> 2 of 3 - Option sale to close-call <sup>25</sup> 3 of 3 - Option sale to close-call <sup>25</sup>
04/20/22	3.000	119.98	Various	220.00		-100.02	Total of 3 transactions
LIN/7NI 04/04/0000 0AL: \$= =0	Security total:	224.96		320.00		-95.04	
HYZN 01/21/2022 CALL \$7.50	•						
01/21/22	3.000	0.00	12/03/21	180.00		-180.00	Option expiration- long call

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of propo	erty/CUSIP/Symbol						
1c- Date		1d- Proceeds &			1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or		6- Reported	1b- Date	1e- Cost or	1g- Wash sale loss	7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	also not reported (Z)	Additional information
HYZN 04/14/2022 CALL	\$7.50 / CUSIP: / Symbol:						
	3 transactions for 03/0	08/22. Total proceeds a	and cost repo	orted to the IRS.			
	4.000	79.98	10/28/21	280.00	200.02 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	19.98	10/28/21	70.00	50.02 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	49.98	10/28/21	140.00	90.02 W	0.00	3 of 3 - Option sale to close-call 25
03/08/22	7.000	149.94	Various	490.00	340.06 W	0.00	Total of 3 transactions
03/09/22	2.000	59.98	10/28/21	140.00	80.02 W	0.00	Option sale to close-call <sup>25</sup>
	2 transactions for 03/1	10/22. Total proceeds a	and cost repo	orted to the IRS.			•
	1.000	24.98	02/10/22	84.01	59.03 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	24.98	02/10/22	84.00	59.02 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
03/10/22	2.000	49.96	Various	168.01	118.05 W	0.00	Total of 2 transactions
03/25/22	2.000	29.98	02/15/22	160.01	130.03 W	0.00	Option sale to close-call <sup>25</sup>
	7 transactions for 03/2	28/22. Total proceeds a	and cost repo	orted to the IRS.			•
	2.000	19.99	03/04/22	110.02		-90.03	1 of 7 - Option sale to close-call 25
	1.000	10.00	03/14/22	80.02	•••	-70.02	2 of 7 - Option sale to close-call 25
	1.000	10.00	03/14/22	75.02	•••	-65.02	3 of 7 - Option sale to close-call 25
	1.000	9.99	03/14/22	75.01	•••	-65.02	4 of 7 - Option sale to close-call 25
	1.000	10.00	03/14/22	69.02	•••	-59.02	5 of 7 - Option sale to close-call 25
	1.000	9.99	03/22/22	74.03	•••	-64.04	6 of 7 - Option sale to close-call 25
	1.000	10.00	03/22/22	55.02	***	-45.02	7 of 7 - Option sale to close-call <sup>25</sup>
03/28/22	8.000	79.97	Various	538.14		-458.17	Total of 7 transactions
	3 transactions for 03/2	28/22. Total proceeds a	and cost repo	orted to the IRS.			
	1.000	10.00	02/15/22	80.02	70.02 W	0.00	1 of 3 - Option sale to close-call 25
	2.000	19.99	03/04/22	100.02	40.02 W	-40.01	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	10.00	03/04/22	69.03	59.03 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
03/28/22	4.000	39.99	Various	249.07	169.07 W	-40.01	Total of 3 transactions
	Security total:	409.82		1,745.23	837.23 W	-498.18	
IDEX 01/20/2023 CALL S	\$1.00 / CUSIP: / Symbol:						
	6 transactions for 05/1	12/22. Total proceeds a	and cost repo	orted to the IRS.			
	10.000	169.97	01/18/22	560.00		-390.03	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	17.00	01/24/22	38.00		-21.00	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	17.00	01/24/22	38.00		-21.00	3 of 6 - Option sale to close-call <sup>25</sup>
	2.000	33.99	01/27/22	80.00		-46.01	4 of 6 - Option sale to close-call <sup>25</sup>
							,

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of property/CUS	IP/Symbol						
1c- Date		1d- Proceeds &			1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or	Overtity	6- Reported	1b- Date	1e- Cost or	1g- Wash sale loss	7- Loss not allowed (X)	Additional information
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	also not reported (Z)	Additional information
IDEX 01/20/2023 CALL \$1.00 / CU	JSIP: / Symbol: (cont'd	)					
	3.000	50.99	03/01/22	129.00		-78.01	5 of 6 - Option sale to close-call 25
	1.000	18.98	03/14/22	30.00	•••	-11.02	6 of 6 - Option sale to close-call <sup>25</sup>
05/12/22	18.000	307.93	Various	875.00		-567.07	Total of 6 transactions
IDEX 01/21/2022 CALL \$3.50 / CU	JSIP: / Symbol:						
1	16 transactions for 01/21	/22. Total proceeds	and cost rep	orted to the IRS.			
	30.000	0.00	06/25/21	3,210.00		-3,210.00	1 of 16 - Option expiration- long
							call
	10.000	0.00	07/20/21	490.00		-490.00	2 of 16 - Option expiration- long
	9.000	0.00	08/02/21	432.00		-432.00	call 3 of 16 - Option expiration- long
	3.000	0.00	00/02/21	402.00	•••	-402.00	call
	1.000	0.00	08/02/21	48.00		-48.00	4 of 16 - Option expiration- long
	0.000	0.00	00/00/04	202.22		222.22	call
	8.000	0.00	08/23/21	232.00		-232.00	5 of 16 - Option expiration- long call
	2.000	0.00	08/23/21	58.00		-58.00	6 of 16 - Option expiration- long
							call
	17.000	0.00	09/07/21	493.00		-493.00	7 of 16 - Option expiration- long
	3.000	0.00	09/07/21	87.00		-87.00	call 8 of 16 - Option expiration- long
	3.000	0.00	09/07/21	67.00	····	-07.00	call
	20.000	0.00	09/14/21	400.00		-400.00	9 of 16 - Option expiration- long
							call
	10.000	0.00	09/21/21	170.00		-170.00	10 of 16 - Option expiration- long
	10.000	0.00	09/29/21	140.00		-140.00	call 11 of 16 - Option expiration- long
	70.000	0.00	00/20/21	7 70.00	···	770.00	call
	1.000	0.00	10/07/21	13.00		-13.00	12 of 16 - Option expiration- long
			10/00/01				call
	95.000	0.00	10/22/21	855.00		-855.00	13 of 16 - Option expiration- long call
	2.000	0.00	10/22/21	18.00		-18.00	14 of 16 - Option expiration- long
	2.000	0.50	. 0/ /	, 3.00	<del></del>	70.00	call
	2.000	0.00	10/22/21	18.00		-18.00	15 of 16 - Option expiration- long
							call

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

22 1099-B\* OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of property	/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IDEX 01/21/2022 CALL \$3.5	0 / CUSIP: / Symbol: (con	t'd)					
	1.000	0.00	10/22/21	9.00		-9.00	16 of 16 - Option expiration- long call
01/21/22	221.000	0.00	Various	6,673.00		-6,673.00	Total of 16 transactions
IMCC 07/15/2022 CALL \$5.0	00 / CUSIP: / Symbol:						
	2 transactions for 07/1	5/22. Total proceeds a	and cost repor	ted to the IRS.			
07/15/22	10.000 10.000 20.000	0.00 0.00 0.00	03/25/22 03/30/22 Various	200.00 250.00 450.00	 	-200.00 -250.00 -450.00	1 of 2 - Option expiration- long call 2 of 2 - Option expiration- long call Total of 2 transactions
INTC 01/21/2022 CALL \$50.	00 / CUSIP: / Symbol:						
01/04/22	7.000 2 transactions for 01/1	2,834.97 8/22. Total proceeds a	10/28/21 and cost repor	882.00 ted to the IRS.		1,952.97	Option sale to close-call <sup>25</sup>
01/18/22	1.000 2.000 3.000 Security total:	539.98 1,079.98 1,619.96 <b>4,454.93</b>	10/28/21 10/28/21 Various	126.00 252.00 378.00 <b>1,260.00</b>	  	<i>413</i> .98 827.98 1,241.96 <b>3,194.93</b>	1 of 2 - Option sale to close-call <sup>25</sup> 2 of 2 - Option sale to close-call <sup>25</sup> Total of 2 transactions
INTC 02/25/2022 CALL \$50.	•	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		,		2,12.112	
02/02/22 02/09/22 02/15/22 02/25/22	1.000 1.000 1.000 1.000	119.98 129.98 59.98 0.00	01/27/22 01/27/22 01/27/22 02/14/22	117.00 117.00 117.00 115.02	 57.02 W 	2.98 12.98 0.00 -115.02	Option sale to close-call <sup>25</sup> Option sale to close-call <sup>25</sup> Option sale to close-call <sup>25</sup> Option expiration- long call
	Security total:	309.94		466.02	57.02 W	-99.06	
INTC 03/11/2022 CALL \$49.	00 / CUSIP: / Symbol:						
02/15/22	3.000 2 transactions for 02/2	419.98 8/22. Total proceeds a	01/27/22 and cost repor	561.00 ted to the IRS.	141.02 W	0.00	Option sale to close-call <sup>25</sup>
02/28/22 03/01/22	7.000 3.000 10.000 1.000	384.98 164.99 549.97 54.99	01/27/22 02/14/22 Various 02/22/22	1,309.00 522.02 1,831.02 12.00	924.02 <i>W</i> 357.03 <i>W</i> 1,281.05 W	0.00 0.00 0.00 42.99	1 of 2 - Option sale to close-call <sup>25</sup> 2 of 2 - Option sale to close-call <sup>25</sup> Total of 2 transactions Option sale to close-call <sup>25</sup>

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of pro	perty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NTC 03/11/2022 CALL	_ \$49.00 / CUSIP: / Symbol: (c	ont'd)					
	6 transactions for 03/	01/22. Total proceeds a	and cost repor	ted to the IRS.			
	2.000	109.99	02/22/22	298.01	188.02 W	0.00	1 of 6 - Option sale to close-call 25
	4.000	219.99	02/22/22	604.01	384.02 W	0.00	2 of 6 - Option sale to close-call 25
	1.000	55.00	02/22/22	138.01	83.01 W	0.00	3 of 6 - Option sale to close-call 25
	1.000	55.00	02/22/22	151.00	96.00 W	0.00	4 of 6 - Option sale to close-call 25
	1.000	55.00	02/22/22	138.01	83.01 W	0.00	5 of 6 - Option sale to close-call 25
	1.000	55.00	02/22/22	138.01	83.01 W	0.00	6 of 6 - Option sale to close-call 25
03/01/22	10.000	549.98	Various	1,467.05	917.07 W	0.00	Total of 6 transactions
	9 transactions for 03/	02/22. Total proceeds a	and cost repor				
	1.000	61.99	03/01/22	58.03		3.96	1 of 9 - Option sale to close-call 25
	1.000	62.00	03/01/22	58.02		3.98	2 of 9 - Option sale to close-call 25
	1.000	61.99	03/01/22	47.04		14.95	3 of 9 - Option sale to close-call 25
	1.000	62.00	03/01/22	47.03		14.97	4 of 9 - Option sale to close-call 25
	1.000	62.00	03/01/22	47.02		14.98	5 of 9 - Option sale to close-call 25
	1.000	84.98	03/01/22	50.02		34.96	6 of 9 - Option sale to close-call 25
	1.000	89.98	03/01/22	50.02		39.96	7 of 9 - Option sale to close-call 25
	1.000	89.98	03/01/22	50.01		39.97	8 of 9 - Option sale to close-call 25
	1.000	94.98	03/01/22	50.01		44.97	9 of 9 - Option sale to close-call 25
03/02/22	9.000	669.90	Various	457.20		212.70	Total of 9 transactions
	16 transactions for 03	3/02/22. Total proceeds	and cost repo	orted to the IRS.			
	1.000	50.00	02/23/22	94.01	44.01 W	0.00	1 of 16 - Option sale to close-call
	1.000	49.99	02/23/22	94.01	44.02 W	0.00	2 of 16 - Option sale to close-call
	1.000	49.99	02/23/22	94.01	44.02 W	0.00	3 of 16 - Option sale to close-call
	1.000	50.00	02/23/22	105.01	55.01 W	0.00	4 of 16 - Option sale to close-call
	1.000	49.99	02/23/22	105.01	55.02 W	0.00	5 of 16 - Option sale to close-call
	1.000	49.99	02/23/22	107.01	57.02 W	0.00	6 of 16 - Option sale to close-call
	1.000	50.00	02/23/22	107.00	57.00 W	0.00	7 of 16 - Option sale to close-call

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#### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS 1a- Description of property/CUSIP/Symbol 1c- Date 1d- Proceeds & 1f- Accrued mkt disc (D) & Gain or loss(-) & sold or 6- Reported 1e- Cost or 1q- Wash sale loss 7- Loss not allowed (X) 1b- Date disposed Quantity (G)ross or (N)et acquired other basis disallowed (W) also not reported (Z) Additional information INTC 03/11/2022 CALL \$49.00 / CUSIP: / Symbol: (cont'd) 02/23/22 3.000 149.98 321.01 171.03 W 0.00 8 of 16 - Option sale to close-call 1.000 59.99 02/28/22 80.01 20.02 W 0.00 9 of 16 - Option sale to close-call 1.000 59.99 02/28/22 80.02 20.03 W 0.00 10 of 16 - Option sale to close-call 1.000 59.98 02/28/22 80.02 20.04 W 0.00 11 of 16 - Option sale to close-call 1.000 59.99 02/28/22 91.01 31.02 W 0.00 12 of 16 - Option sale to close-call 1.000 59.99 02/28/22 91.02 31.03 W 0.00 13 of 16 - Option sale to close-call 1.000 70.00 02/28/22 93.02 23.02 W 0.00 14 of 16 - Option sale to close-call 1.000 69.99 02/28/22 93.00 23.01 W 0.00 15 of 16 - Option sale to close-call 3.000 209.99 02/28/22 279.03 69.04 W 0.00 16 of 16 - Option sale to close-call 03/02/22 20.000 1.149.86 Various 1.914.20 764.34 W 0.00 Total of 16 transactions 03/04/22 1.000 49.98 -0.03 Option sale to close-call 25 03/01/22 50.01 Security total: 3,444.66 6,292.48 3,103.48 W 255.66 IONQ 01/21/2022 CALL \$17.50 / CUSIP: / Symbol: 2 transactions for 01/18/22. Total proceeds and cost reported to the IRS. 1.000 4.99 12/15/21 185.00 -180.01 1 of 2 - Option sale to close-call 25 1.000 4.99 -105.01 2 of 2 - Option sale to close-call 25 12/29/21 110.00 01/18/22 2.000 295.00 -285.02 Total of 2 transactions 9.98 Various IONQ 07/15/2022 CALL \$15.00 / CUSIP: / Symbol: Option sale to close-call <sup>25</sup> 05/24/22 1.000 4.98 03/30/22 130.00 125.02 W 0.00 10 transactions for 07/15/22. Total proceeds and cost reported to the IRS. 1.000 0.00 03/30/22 130.00 -130.00 1 of 10 - Option expiration-long 1.000 0.00 04/11/22 90.00 -90.00 2 of 10 - Option expiration-long call

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#### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12) "Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol 1c- Date 1d- Proceeds & 1f- Accrued mkt disc (D) & Gain or loss(-) & 6- Reported 1e- Cost or 1q- Wash sale loss 7- Loss not allowed (X) sold or 1b- Date disposed Quantity (G)ross or (N)et acquired other basis disallowed (W) also not reported (Z) Additional information IONQ 07/15/2022 CALL \$15.00 / CUSIP: / Symbol: (cont'd) 04/20/22 1.000 0.00 60.00 -60.003 of 10 - Option expiration-long 1.000 0.00 04/20/22 44.00 -44.00 4 of 10 - Option expiration-long call 1.000 0.00 05/03/22 145.02 -145.02 5 of 10 - Option expiration-long call 1 000 0.00 05/03/22 20.00 -20.00 6 of 10 - Option expiration-long 0.00 30.00 3.000 05/06/22 -30.00 7 of 10 - Option expiration-long call 1.000 0.00 05/06/22 10.00 -10.00 8 of 10 - Option expiration-long 2.000 0.00 05/18/22 10.00 -10.00 9 of 10 - Option expiration-long call 1.000 0.00 05/20/22 5.00 -5.00 10 of 10 - Option expiration-long call 07/15/22 13.000 0.00 544.02 -544.02 Total of 10 transactions Various 4.98 674.02 -544.02 Security total: 125.02 W IONQ 10/21/2022 CALL \$12.50 / CUSIP: / Symbol: Option sale to close-call <sup>25</sup> 06/14/22 1.000 14.98 03/10/22 296.00 281.02 W 0.00 4 transactions for 06/17/22. Total proceeds and cost reported to the IRS. 1 000 9.99 05/03/22 65.00 -55.01 1 of 4 - Option sale to close-call 25 1.000 9.98 40.00 -30.02 2 of 4 - Option sale to close-call 25 05/06/22 1.000 9.99 05/06/22 40.00 -30.01 3 of 4 - Option sale to close-call 25 2.000 19.99 05/12/22 -20.01 4 of 4 - Option sale to close-call 25 40.00 06/17/22 5.000 49.95 Various 185.00 -135.05 Total of 4 transactions Option sale to close-call 25 06/17/22 1.000 9.99 05/03/22 75.00 65.01 W 0.00 2 transactions for 08/16/22. Total proceeds and cost reported to the IRS. 1.000 14.99 05/20/22 306.02 -291.03 1 of 2 - Option sale to close-call 25 1.000 14.99 06/07/22 85.01 -70.02 2 of 2 - Option sale to close-call 25 2.000 29.98 -361.05 Total of 2 transactions 08/16/22 Various 391.03 Security total: 104.90 947.03 346.03 W -496.10

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of property/Cl	USIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IPOF 05/20/2022 CALL \$15.00	/ CUSIP: / Symbol:		•		• •	, ,	
05/11/22	2.000	7.98	04/20/22	48.00		-40.02	Option sale to close-call <sup>25</sup>
IRNT 01/21/2022 CALL \$14.00	/ CUSIP: / Symbol:						
	2 transactions for 01/21/2	22. Total proceeds a	and cost repo	orted to the IRS.			
01/21/22	10.000 1.000 11.000	0.00 0.00 0.00	10/29/21 11/01/21 Various	2,000.00 170.00 2,170.00	 	-2,000.00 -170.00 -2,170.00	1 of 2 - Option expiration- long call 2 of 2 - Option expiration- long call Total of 2 transactions
IRNT 02/18/2022 CALL \$12.50	/ CUSIP: / Symbol:						
	3 transactions for 02/18/2	•					
02/18/22	2.000 1.000 6.000 9.000	0.00 0.00 0.00 0.00	11/05/21 11/17/21 11/29/21 Various	400.00 130.00 300.00 830.00	  	-400.00 -130.00 -300.00 -830.00	1 of 3 - Option expiration- long call 2 of 3 - Option expiration- long call 3 of 3 - Option expiration- long call Total of 3 transactions
IRNT 05/20/2022 CALL \$12.00		0.00	7 01.1000	000.00		333.33	
02/22/22	3.000 12 transactions for 05/20	29.98 0/22. Total proceeds	11/05/21 and cost rep	720.00 ported to the IRS.	690.02 W	0.00	Option sale to close-call <sup>25</sup>
	2.000	0.00	11/05/21	480.00	***	-480.00	1 of 12 - Option expiration- long call
	5.000	0.00	11/29/21	475.00		-475.00	2 of 12 - Option expiration- long call
	3.000	0.00	02/07/22	717.02		-717.02	3 of 12 - Option expiration- long call
	5.000	0.00	02/10/22	70.00		-70.00	4 of 12 - Option expiration- long call
	10.000	0.00	02/11/22	130.00		-130.00	5 of 12 - Option expiration- long call
	10.000	0.00	02/28/22	340.00		-340.00	6 of 12 - Option expiration- long
	10.000	0.00	02/28/22	220.00		-220.00	7 of 12 - Option expiration- long call
	10.000	0.00	03/08/22	180.00		-180.00	8 of 12 - Option expiration- long call

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2022

### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

**1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1c- Date sold or		1d- Proceeds & 6- Reported	1b- Date	1e- Cost or	1f- Accrued mkt disc (D) & 1g- Wash sale loss	Gain or loss(-) & 7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	also not reported (Z)	Additional information
IRNT 05/20/2022 CALL	\$12.00 / CUSIP: / Symbol: (c	ont'd)					
	8.000	0.00	03/10/22	176.00		-176.00	9 of 12 - Option expiration- long call
	10.000	0.00	03/15/22	90.00		-90.00	10 of 12 - Option expiration- long call
	10.000	0.00	03/15/22	90.00		-90.00	11 of 12 - Option expiration- long call
	20.000	0.00	03/31/22	120.00		-120.00	12 of 12 - Option expiration- long call
05/20/22	103.000	0.00	Various	3,088.02		-3,088.02	Total of 12 transactions
	Security total:	29.98		3,808.02	690.02 W	-3,088.02	
IRNT 05/20/2022 CALL	\$4.00 / CUSIP: / Symbol:						
02/10/22	1.000 4 transactions for 02/	119.98 25/22. Total proceeds a	01/10/22 and cost report	58.00 ed to the IRS.		61.98	Option sale to close-call <sup>25</sup>
	1.000	234.98	01/10/22	58.00		176.98	1 of 4 - Option sale to close-call 25
	1.000	249.98	01/10/22	58.00	•••	191.98	2 of 4 - Option sale to close-call 25
	1.000	309.98	01/10/22	58.00		251.98	3 of 4 - Option sale to close-call 25
	3.000	599.98	01/10/22	174.00		425.98	4 of 4 - Option sale to close-call 25
02/25/22	6.000	1,394.92	Various	348.00		1,046.92	Total of 4 transactions
03/02/22	1.000	189.98	01/10/22	58.00		131.98	Option sale to close-call <sup>25</sup>
	4 transactions for 05/	19/22. Total proceeds a	and cost report	ed to the IRS.			
	2.000	1.99	01/10/22	116.00	•••	-114.01	1 of 4 - Option sale to close-call 25
	1.000	1.00	02/02/22	41.00		-40.00	2 of 4 - Option sale to close-call 25
	1.000	1.00	04/12/22	33.00		-32.00	3 of 4 - Option sale to close-call 25
	1.000	0.99	04/20/22	20.00		-19.01	4 of 4 - Option sale to close-call 25
05/19/22	5.000	4.98	Various	210.00	•••	-205.02	Total of 4 transactions
	Security total:	1,709.86		674.00		1,035.86	
RNT 05/20/2022 CALL	\$6.00 / CUSIP: / Symbol:						
	2 transactions for 02/	25/22. Total proceeds a	and cost report	ed to the IRS.			
	1.000	139.98	02/22/22	48.00		91.98	1 of 2 - Option sale to close-call 25
	1.000	169.98	02/22/22	48.00		121.98	2 of 2 - Option sale to close-call <sup>25</sup>
02/25/22	2.000	309.96	Various	96.00	•••	213.96	Total of 2 transactions

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of pro	pperty/CUSIP/Symbol						
1c- Date sold or	0	1d- Proceeds & 6- Reported	1b- Date	1e- Cost or	1f- Accrued mkt disc (D) & 1g- Wash sale loss	Gain or loss(-) & 7- Loss not allowed (X)	Addistant of the forms of the
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	also not reported (Z)	Additional information
IRNT 05/20/2022 CAL	L \$6.00 / CUSIP: / Symbol: (cor	nt'd)					
	7 transactions for 05/	16/22. Total proceeds a	and cost report	ted to the IRS.			
	1.000	0.98	02/22/22	48.00	···	-47.02	1 of 7 - Option sale to close-call <sup>25</sup>
	1.000	0.99	03/11/22	50.00		-49.01	2 of 7 - Option sale to close-call 25
	1.000	0.99	03/15/22	35.00		-34.01	3 of 7 - Option sale to close-call 25
	1.000	0.98	03/28/22	38.00		-37.02	4 of 7 - Option sale to close-call 25
	1.000	1.00	04/06/22	21.00		-20.00	5 of 7 - Option sale to close-call 25
	3.000	2.99	04/07/22	39.00		-36.01	6 of 7 - Option sale to close-call 25
	3.000	2.98	04/12/22	30.00		-27.02	7 of 7 - Option sale to close-call <sup>25</sup>
05/16/22	11.000	10.91	Various	261.00		-250.09	Total of 7 transactions
	Security total:	320.87		357.00	···	-36.13	
IRNT 08/19/2022 CAL	L \$5.00 / CUSIP: / Symbol:						
	4 transactions for 08/	19/22. Total proceeds	and cost report	ted to the IRS.			
	5.000	0.00	03/17/22	375.00		-375.00	1 of 4 - Option expiration- long call
	2.000	0.00	05/18/22	40.00		-40.00	2 of 4 - Option expiration- long call
	2.000	0.00	06/08/22	28.00		-28.00	3 of 4 - Option expiration- long call
	1.000	0.00	06/09/22	12.00		-12.00	4 of 4 - Option expiration- long call
08/19/22	10.000	0.00	Various	455.00		-455.00	Total of 4 transactions
JMIA 01/21/2022 CAL	L \$17.00 / CUSIP: / Symbol:						
01/21/22	2.000	0.00	11/17/21	200.00		-200.00	Option expiration- long call
JMIA 02/18/2022 CAL	L \$20.00 / CUSIP: / Symbol:						
	8 transactions for 02/	18/22. Total proceeds a	and cost report	ted to the IRS.			
	2.000	0.00	11/04/21	590.00		-590.00	1 of 8 - Option expiration- long call
	1.000	0.00	11/05/21	240.00	···	-240.00	2 of 8 - Option expiration-long call
	1.000	0.00	11/16/21	180.00	···	-180.00	3 of 8 - Option expiration-long call
	1.000	0.00	11/16/21	160.00		-160.00	4 of 8 - Option expiration-long call
	5.000	0.00	11/17/21	500.00	···	-500.00	5 of 8 - Option expiration-long call
	1.000	0.00	11/17/21	80.00	•	-80.00	6 of 8 - Option expiration-long call
	1.000	0.00	11/17/21	80.00	···	-80.00	7 of 8 - Option expiration-long call
	1.000	0.00	11/17/21	80.00	···	-80.00	8 of 8 - Option expiration-long call
02/18/22	13.000	0.00	Various	1,910.00		-1,910.00	Total of 8 transactions
				,		,	

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of pro	perty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
JMIA 05/20/2022 CAL	L \$10.00 / CUSIP: / Symbol:						
03/22/22	1.000	99.98	02/11/22	152.00	52.02 W	0.00	Option sale to close-call <sup>25</sup>
03/23/22	1.000	119.98	03/10/22	122.02	2.04 W	0.00	Option sale to close-call 25
03/30/22	1.000	129.98	03/15/22	36.04	•••	93.94	Option sale to close-call <sup>25</sup>
04/04/22	1.000	184.98	03/21/22	65.00		119.98	Option sale to close-call <sup>25</sup>
	Security total:	534.92		375.06	54.06 W	213.92	
JMIA 05/20/2022 CAL	L \$13.00 / CUSIP: / Symbol:						
	2 transactions for 03/2	3/22. Total proceeds a	and cost repon	ted to the IRS.			
	1.000	39.98	01/12/22	140.00	100.02 W	0.00	1 of 2 - Option sale to close-call 2
	1.000	39.98	01/12/22	140.00	100.02 W	0.00	2 of 2 - Option sale to close-call 2
03/23/22	2.000	79.96	Various	280.00	200.04 W	0.00	Total of 2 transactions
	5 transactions for 04/0-	4/22. Total proceeds a	and cost report	ted to the IRS.			
	1.000	69.99	02/24/22	29.00		40.99	1 of 5 - Option sale to close-call
	1.000	89.99	03/09/22	47.01	•••	42.98	2 of 5 - Option sale to close-call 2
	1.000	119.98	03/15/22	71.03	•••	48.95	3 of 5 - Option sale to close-call 2
	1.000	119.98	03/15/22	12.00	•••	107.98	4 of 5 - Option sale to close-call 2
	1.000	144.98	03/15/22	12.00	•••	132.98	5 of 5 - Option sale to close-call 2
04/04/22	5.000	544.92	Various	171.04		373.88	Total of 5 transactions
	4 transactions for 04/0-	4/22. Total proceeds a	and cost report	ted to the IRS.			
	1.000	54.99	02/01/22	72.00	17.01 W	0.00	1 of 4 - Option sale to close-call 2
	1.000	54.99	02/22/22	137.02	82.03 W	0.00	2 of 4 - Option sale to close-call 2
	1.000	69.99	02/24/22	129.02	59.03 W	0.00	3 of 4 - Option sale to close-call 2
	1.000	89.99	03/15/22	94.03	4.04 W	0.00	4 of 4 - Option sale to close-call 2
04/04/22	4.000	269.96	Various	432.07	162.11 W	0.00	Total of 4 transactions
	7 transactions for 05/1	1/22. Total proceeds a	and cost report	ted to the IRS.			
	1.000	1.00	03/15/22	12.00	···	-11.00	1 of 7 - Option sale to close-call 2
	1.000	1.00	03/21/22	24.04	•••	-23.04	2 of 7 - Option sale to close-call 2
	1.000	1.00	03/21/22	20.00	···	-19.00	3 of 7 - Option sale to close-call 2
	1.000	0.99	04/11/22	30.00	···	-29.01	4 of 7 - Option sale to close-call 2
	2.000	1.99	04/13/22	44.00		-42.01	5 of 7 - Option sale to close-call
	1.000	0.99	04/14/22	16.00		-15.01	6 of 7 - Option sale to close-call
	1.000	1.00	04/20/22	11.00	···	-10.00	7 of 7 - Option sale to close-call
05/11/22	8.000	7.97	Various	157.04		-149.07	Total of 7 transactions

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of proper	ty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
JMIA 05/20/2022 CALL \$1	3.00 / CUSIP: / Symbol: (co	nt'd)	-				
	Security total:	902.81		1,040.15	362.15 W	224.81	
JOBY 02/18/2022 CALL \$7	7.50 / CUSIP: / Symbol:						
02/18/22	3.000	0.00	01/13/22	60.00		-60.00	Option expiration- long call
JOBY 03/18/2022 CALL \$5	5.00 / CUSIP: / Symbol:						-
02/15/22 02/28/22	1.000 1.000 Security total:	129.98 64.98 <b>194.96</b>	02/09/22 02/09/22	65.00 65.00 <b>130.00</b>	 	64.98 -0.02 <b>64.96</b>	Option sale to close-call <sup>25</sup> Option sale to close-call <sup>25</sup>
JOBY 04/14/2022 CALL \$	10.00 / CUSIP: / Symbol:						
	3 transactions for 03/3	0/22. Total proceeds a	and cost repor	ted to the IRS.			
03/30/22	7.000 3.000 2.000 12.000 2 transactions for 04/1	34.98 14.98 9.99 59.95	10/28/21 10/28/21 11/18/21 Various	875.00 375.00 220.00 1,470.00	  	-840.02 -360.02 -210.01 -1,410.05	1 of 3 - Option sale to close-call <sup>25</sup> 2 of 3 - Option sale to close-call <sup>25</sup> 3 of 3 - Option sale to close-call <sup>25</sup> Total of 3 transactions
	10.000 10.000	0.00 0.00	12/13/21 02/09/22	400.00 100.00	 	-400.00 -100.00	1 of 2 - Option expiration- long call 2 of 2 - Option expiration- long call
04/14/22	20.000	0.00	Various	500.00	•••	-500.00	Total of 2 transactions
1000/ 07/45/0000 0411 6	Security total:	59.95		1,970.00	***	-1,910.05	
JOBY 07/15/2022 CALL \$7 02/15/22 03/22/22 03/25/22 05/27/22	1.000 1.000 1.000 1.000 2 transactions for 06/0	•	•		   	29.98 24.98 79.98 9.98	Option sale to close-call <sup>25</sup>
06/02/22 07/01/22	1.000 1.000 2.000 1.000	29.98 29.98 59.96 4.99	02/07/22 02/07/22 Various 06/07/22	20.00 20.00 40.00 12.00	  	9.98 9.98 19.96 -7.01	1 of 2 - Option sale to close-call <sup>25</sup> 2 of 2 - Option sale to close-call <sup>25</sup> Total of 2 transactions Option sale to close-call <sup>25</sup>

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of prope	erty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
JOBY 07/15/2022 CALL \$	\$7.50 / CUSIP: / Symbol: (cor	nt'd)	•		, ,		
	3 transactions for 07/0		and cost report	ed to the IRS.			
	1.000	5.00	02/07/22	20.00	15.00 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	4.99	02/07/22	20.00	15.01 W	0.00	2 of 3 - Option sale to close-call 25
	1.000	5.00	05/12/22	10.00	5.00 W	0.00	3 of 3 - Option sale to close-call 25
07/01/22	3.000	14.99	Various	50.00	35.01 W	0.00	Total of 3 transactions
	3 transactions for 07/1	5/22. Total proceeds a	and cost report	ed to the IRS.			
	1.000	0.00	06/07/22	27.00		-27.00	1 of 3 - Option expiration- long call
	1.000	0.00	06/09/22	25.01		-25.01	2 of 3 - Option expiration- long call
	1.000	0.00	06/09/22	15.00		-15.00	3 of 3 - Option expiration- long call
07/15/22	3.000	0.00	Various	67.01		-67.01	Total of 3 transactions
	Security total:	384.86		329.01	35.01 W	90.86	
LAZR 01/21/2022 CALL \$	\$17.50 / CUSIP: / Symbol:						
01/20/22	4.000	19.98	10/28/21	480.00		-460.02	Option sale to close-call <sup>25</sup>
LAZR 01/21/2022 CALL \$	\$20.00 / CUSIP: / Symbol:						
	2 transactions for 01/2	0/22. Total proceeds a	and cost report	ed to the IRS.			
	3.000	14.99	11/29/21	375.00		-360.01	1 of 2 - Option sale to close-call 25
	3.000	14.99	12/07/21	135.00		-120.01	2 of 2 - Option sale to close-call 25
01/20/22	6.000	29.98	Various	510.00	<del></del>	-480.02	Total of 2 transactions
LAZR 01/21/2022 CALL \$	\$25.00 / CUSIP: / Symbol:						
	2 transactions for 01/2	1/22. Total proceeds a	and cost report	ed to the IRS.			
	5.000	0.00	11/09/21	750.00		-750.00	1 of 2 - Option expiration- long call
	1.000	0.00	11/12/21	100.00		-100.00	2 of 2 - Option expiration- long call
01/21/22	6.000	0.00	Various	850.00	···	-850.00	Total of 2 transactions
LAZR 01/21/2022 CALL \$	\$30.00 / CUSIP: / Symbol:						
	4 transactions for 01/2	1/22. Total proceeds a	and cost report	ed to the IRS.			
	3.000	0.00	11/09/21	270.00		-270.00	1 of 4 - Option expiration- long call
	1.000	0.00	11/12/21	50.00		-50.00	2 of 4 - Option expiration- long call
	9.000	0.00	11/29/21	225.00		-225.00	3 of 4 - Option expiration- long call
	1.000	0.00	11/29/21	25.00	···	-25.00	4 of 4 - Option expiration- long call
01/21/22	14.000	0.00	Various	570.00		-570.00	Total of 4 transactions

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#### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12) "Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol 1c- Date 1d- Proceeds & 1f- Accrued mkt disc (D) & Gain or loss(-) & sold or 6- Reported 1e- Cost or 1q- Wash sale loss 7- Loss not allowed (X) 1b- Date disposed Quantity (G)ross or (N)et acquired other basis disallowed (W) also not reported (Z) Additional information LAZR 03/04/2022 CALL \$15.00 / CUSIP: / Symbol: Option sale to close-call 25 02/07/22 1.000 01/18/22 141.00 8.98 149.98 02/15/22 1.000 214.98 01/18/22 141.00 73.98 Option sale to close-call 25 02/18/22 1.000 134.98 01/18/22 0.00 Option sale to close-call 25 141.00 6.02 W 03/01/22 1.000 79.98 02/25/22 74.06 5.92 Option sale to close-call <sup>25</sup> ... 2 transactions for 03/01/22. Total proceeds and cost reported to the IRS. 1.000 69 98 1 of 2 - Option sale to close-call 25 01/21/22 106.02 36.04 W 0.00 1.000 69.98 02/22/22 104.04 34.06 W 0.00 2 of 2 - Option sale to close-call 25 03/01/22 2.000 210.06 0.00 Total of 2 transactions 139.96 Various 70.10 W Security total: 719.88 707.12 76.12 W 88.88 LAZR 04/22/2022 CALL \$14.00 / CUSIP: / Symbol: 03/16/22 1 000 111 98 03/10/22 115 00 3 02 W 0.00 Option sale to close-call <sup>25</sup> Option sale to close-call <sup>25</sup> 03/23/22 1.000 164.98 03/14/22 71.02 93.96 276.96 186.02 3.02 W 93.96 Security total: LC 01/21/2022 CALL \$40.00 / CUSIP: / Symbol: 4 transactions for 01/21/22. Total proceeds and cost reported to the IRS. 3 000 0.00 11/26/21 840 00 -840.00 1 of 4 - Option expiration- long call 5.000 0.00 12/03/21 550.00 -550.00 2 of 4 - Option expiration- long call 3 of 4 - Option expiration- long call 1.000 0.00 12/09/21 90.00 -90.00 1.000 0.00 12/09/21 90.00 -90.00 4 of 4 - Option expiration- long call 01/21/22 Total of 4 transactions 10.000 0.00 Various 1.570.00 -1.570.00LC 01/21/2022 CALL \$50.00 / CUSIP: / Symbol: 3 transactions for 01/21/22. Total proceeds and cost reported to the IRS. 1.000 0.00 11/02/21 480.00 -480.00 1 of 3 - Option expiration- long call 1.000 0.00 11/10/21 285.00 -285.00 2 of 3 - Option expiration- long call 2.000 0.00 11/19/21 440.00 -440.00 3 of 3 - Option expiration- long call 01/21/22 Total of 3 transactions 4.000 0.00 Various 1,205.00 -1,205.00LC 02/18/2022 CALL \$18.00 / CUSIP: / Symbol: Option sale to close-call 25 02/01/22 1.000 219.98 01/27/22 75.00 144.98

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of property/C	USIP/Symbol						
1c- Date		1d- Proceeds &			1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or	0	6- Reported	1b- Date	1e- Cost or	1g- Wash sale loss	7- Loss not allowed (X)	Additional information
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	also not reported (Z)	Additional information
LC 02/18/2022 CALL \$21.00 /	CUSIP: / Symbol:						
	3 transactions for 02/1	18/22. Total proceeds a	and cost repo	rted to the IRS.			
	2.000	0.00	01/25/22	510.00		-510.00	1 of 3 - Option expiration- long call
	2.000	0.00	02/02/22	80.00	***	-80.00	2 of 3 - Option expiration- long call
	1.000	0.00	02/02/22	40.00	•••	-40.00	3 of 3 - Option expiration- long call
02/18/22	5.000	0.00	Various	630.00		-630.00	Total of 3 transactions
LC 02/18/2022 CALL \$25.00 /	CUSIP: / Symbol:						
02/18/22	1.000	0.00	01/25/22	125.00		-125.00	Option expiration- long call
LC 03/18/2022 CALL \$21.00 /	CUSIP: / Symbol:						
	2 transactions for 03/0	09/22. Total proceeds a	and cost repo	rted to the IRS.			
	2.000	19.99	02/02/22	200.00	180.01 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	9.99	02/08/22	70.00	60.01 W	0.00	2 of 2 - Option sale to close-call 25
03/09/22	3.000	29.98	Various	270.00	240.02 W	0.00	Total of 2 transactions
	7 transactions for 03/1	•	•				
	1.000	0.00	02/10/22	155.01	•••	-155.01	1 of 7 - Option expiration- long call
	1.000	0.00	02/22/22	130.00	···	-130.00	2 of 7 - Option expiration- long call
	1.000	0.00	03/01/22	100.01	···	-100.01	3 of 7 - Option expiration- long call
	1.000	0.00	03/01/22	35.00	···	-35.00	4 of 7 - Option expiration- long call
	1.000	0.00	03/03/22	20.00		-20.00	5 of 7 - Option expiration- long call
	1.000	0.00	03/04/22	15.00	•••	-15.00	6 of 7 - Option expiration- long call
	1.000	0.00	03/04/22	10.00		-10.00	7 of 7 - Option expiration- long call
03/18/22	7.000	0.00	Various	465.02	•••	-465.02	Total of 7 transactions
	Security total:	29.98		735.02	240.02 W	-465.02	
LC 04/14/2022 CALL \$17.00 /	CUSIP: / Symbol:						
03/16/22	1.000	79.98	03/08/22	90.00	10.02 W	0.00	Option sale to close-call <sup>25</sup>
03/29/22	1.000	99.98	03/14/22	60.02		39.96	Option sale to close-call <sup>25</sup>
	2 transactions for 04/1	•	•				
	1.000	0.00	03/15/22	35.00	•••	-35.00	1 of 2 - Option expiration- long call
	1.000	0.00	04/01/22	35.00	•••	-35.00	2 of 2 - Option expiration- long call
04/14/22	2.000	0.00	Various	70.00		-70.00	Total of 2 transactions
	Security total:	179.96		220.02	10.02 W	-30.04	

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#### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12) "Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol 1c- Date 1d- Proceeds & 1f- Accrued mkt disc (D) & Gain or loss(-) & 6- Reported 1e- Cost or 1q- Wash sale loss 7- Loss not allowed (X) sold or 1b- Date disposed Quantity (G)ross or (N)et acquired other basis disallowed (W) also not reported (Z) Additional information LC 04/14/2022 CALL \$19.00 / CUSIP: / Symbol: 6 transactions for 03/28/22. Total proceeds and cost reported to the IRS. 1.000 15.00 03/07/22 55.00 -40.001 of 6 - Option sale to close-call 25 1.000 15.00 03/07/22 45.00 2 of 6 - Option sale to close-call 25 -30.00 1.000 14.99 03/14/22 20.00 -5.01 3 of 6 - Option sale to close-call 25 1.000 15.00 03/15/22 20.00 -5.00 4 of 6 - Option sale to close-call 25 1.000 14.99 03/16/22 30.00 -15.01 5 of 6 - Option sale to close-call 25 6 of 6 - Option sale to close-call 25 1.000 14.98 03/23/22 100.00 -85.02 03/28/22 6.000 89.96 Various 270.00 -180.04 Total of 6 transactions Option sale to close-call 25 03/28/22 1.000 15.00 03/04/22 90.00 0.00 75.00 W 75.00 W -180.04 104.96 360.00 Security total: LC 05/20/2022 CALL \$20.00 / CUSIP: / Symbol: 3 transactions for 04/28/22. Total proceeds and cost reported to the IRS. 1.000 39.99 03/31/22 70.00 30.01 W 1 of 3 - Option sale to close-call 25 0.00 1.000 40.00 04/05/22 50.00 10.00 W 0.00 2 of 3 - Option sale to close-call 25 3 of 3 - Option sale to close-call 25 1.000 39.99 04/06/22 40.00 0.01 W 0.00 04/28/22 3.000 119.98 Various 160.00 40.02 W 0.00 Total of 3 transactions Option sale to close-call 25 05/09/22 1.000 4.99 04/07/22 40.00 -35.01 04/06/22 Option sale to close-call <sup>25</sup> 05/09/22 1.000 4.99 65.01 60.02 W 0.00 2 transactions for 05/10/22. Total proceeds and cost reported to the IRS. 1.000 4.99 04/12/22 85.02 -80.03 1 of 2 - Option sale to close-call 25 1.000 4.99 04/12/22 -20.02 2 of 2 - Option sale to close-call 25 25.01 Total of 2 transactions 05/10/22 2.000 9.98 Various 110.03 -100.05-135.06 Security total: 139.94 375.04 100.04 W LCID 01/20/2023 CALL \$30.00 / CUSIP: / Symbol: 05/06/22 04/12/22 325.00 Option sale to close-call 25 1.000 181.98 143.02 W 0.00 2 transactions for 05/09/22. Total proceeds and cost reported to the IRS. 1 000 151 99 04/12/22 468 02 -316.03 1 of 2 - Option sale to close-call 25 151.99 325.00 2 of 2 - Option sale to close-call 25 1.000 04/12/22 -173.01 05/09/22 2.000 303.98 Various 793.02 -489.04 Total of 2 transactions Option sale to close-call <sup>25</sup> 05/11/22 2.000 257.98 04/12/22 650.00 -392.02 743.94 1.768.02 143.02 W -881.06 Security total:

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of prop	perty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LCID 03/04/2022 PUT \$	\$23.50 / CUSIP: / Symbol:						
03/01/22 03/01/22 03/02/22	1.000 1.000 1.000 Security total:	109.98 89.98 64.98 <b>264.94</b>	02/25/22 02/25/22 02/28/22	100.00 100.00 60.02 <b>260.02</b>	10.02 W  <b>10.02 W</b>	9.98 0.00 4.96 <b>14.94</b>	Option sale to close-put <sup>25</sup> Option sale to close-put <sup>25</sup> Option sale to close-put <sup>25</sup>
LCID 11/18/2022 CALL	\$28.00 / CUSIP: / Symbol:						
05/11/22 LIDR 01/21/2022 CALL	1.000 \$4.00 / CUSIP: / Symbol:	109.98	04/12/22	340.00		-230.02	Option sale to close-call <sup>25</sup>
01/21/22 LIDR 01/21/2022 CALL	10.000 \$5.00 / CUSIP: / Symbol:	0.00	10/11/21	1,000.00		-1,000.00	Option expiration- long call
01/21/22	10.000	0.00	10/11/21	750.00	•••	-750.00	Option expiration- long call
LIDR 01/21/2022 CALL	\$6.00 / CUSIP: / Symbol:						
	4 transactions for 01/2	21/22. Total proceeds a	and cost report	ted to the IRS.			
	10.000 3.000 2.000 10.000	0.00 0.00 0.00 0.00	09/30/21 10/05/21 10/05/21 10/22/21	900.00 120.00 80.00 400.00	  	-900.00 -120.00 -80.00 -400.00	1 of 4 - Option expiration- long ca 2 of 4 - Option expiration- long ca 3 of 4 - Option expiration- long ca 4 of 4 - Option expiration- long ca
01/21/22	25.000	0.00	Various	1,500.00	***	-1,500.00	Total of 4 transactions
LIDR 07/15/2022 CALL 03/02/22	\$3.00 / CUSIP: / Symbol:	00.09	01/24/22	50.00		49.98	Ontion cale to alone call 25
03/02/22 03/10/22 03/17/22 03/21/22 04/14/22	1.000 1.000 1.000 1.000 1.000 Security total:	99.98 119.98 189.98 254.98 204.98 <b>869.90</b>	01/24/22 01/24/22 01/24/22 02/02/22 02/02/22	50.00 50.00 50.00 60.00 <b>270.00</b>	    	49.98 69.98 139.98 194.98 144.98 <b>599.90</b>	Option sale to close-call <sup>25</sup> Option sale to close-call <sup>25</sup> Option sale to close-call <sup>25</sup> Option sale to close-call <sup>25</sup> Option sale to close-call <sup>25</sup>
LIDR 07/15/2022 CALL	\$4.00 / CUSIP: / Symbol:						
03/02/22	2.000 3 transactions for 03/0	119.98 17/22. Total proceeds a	01/18/22 and cost report	120.00 red to the IRS.	0.02 W	0.00	Option sale to close-call <sup>25</sup>
	1.000 1.000	49.98 49.98	01/18/22 01/18/22	60.00 60.00	10.02 W 10.02 W	0.00 0.00	1 of 3 - Option sale to close-call 25 2 of 3 - Option sale to close-call 25

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of property	CUSIP/Symbol						
1c- Date		1d- Proceeds &			1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or		6- Reported	1b- Date	1e- Cost or	1g- Wash sale loss	7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	also not reported (Z)	Additional information
LIDR 07/15/2022 CALL \$4.0	0 / CUSIP: / Symbol: (cont	'd)					
	1.000	49.98	01/18/22	60.00	10.02 W	0.00	3 of 3 - Option sale to close-call 25
03/07/22	3.000	149.94	Various	180.00	30.06 W	0.00	Total of 3 transactions
	2 transactions for 03/10	0/22. Total proceeds a	and cost repo	rted to the IRS.			
	2.000	139.98	01/18/22	120.00		19.98	1 of 2 - Option sale to close-call 25
	1.000	84.98	01/18/22	60.00		24.98	2 of 2 - Option sale to close-call <sup>25</sup>
03/10/22	3.000	224.96	Various	180.00		44.96	Total of 2 transactions
	2 transactions for 03/11	1/22. Total proceeds a	and cost repo	rted to the IRS.			
	2.000	179.98	01/18/22	120.00		59.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	99.98	01/19/22	40.00	•••	59.98	2 of 2 - Option sale to close-call <sup>25</sup>
03/11/22	3.000	279.96	Various	160.00		119.96	Total of 2 transactions
	2 transactions for 03/14	1/22. Total proceeds a	and cost repo	rted to the IRS.			
	1.000	109.98	01/19/22	40.00		69.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	109.98	01/19/22	40.00	•••	69.98	2 of 2 - Option sale to close-call <sup>25</sup>
03/14/22	2.000	219.96	Various	80.00		139.96	Total of 2 transactions
03/16/22	1.000	119.98	01/19/22	40.00		79.98	Option sale to close-call <sup>25</sup>
	2 transactions for 03/17	7/22. Total proceeds a	and cost repo	rted to the IRS.			
	1.000	129.98	01/19/22	40.00		89.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	149.98	02/07/22	40.02	•••	109.96	2 of 2 - Option sale to close-call <sup>25</sup>
03/17/22	2.000	279.96	Various	80.02		199.94	Total of 2 transactions
	2 transactions for 03/21	1/22. Total proceeds a	and cost repo	rted to the IRS.			
	1.000	174.99	02/07/22	40.02		134.97	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	174.99	02/07/22	40.02	•••	134.97	2 of 2 - Option sale to close-call <sup>25</sup>
03/21/22	2.000	349.98	Various	80.04		269.94	Total of 2 transactions
	3 transactions for 03/22	2/22. Total proceeds a	and cost repo	rted to the IRS.			
	1.000	184.98	02/07/22	30.01		154.97	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	194.98	02/07/22	30.01	•••	164.97	2 of 3 - Option sale to close-call 25
	1.000	189.98	02/08/22	35.00	•••	154.98	3 of 3 - Option sale to close-call <sup>25</sup>
03/22/22	3.000	569.94	Various	95.02		474.92	Total of 3 transactions
03/24/22	1.000	194.98	02/08/22	35.00		159.98	Option sale to close-call <sup>25</sup>
03/30/22	1.000	184.98	02/08/22	35.00		149.98	Option sale to close-call <sup>25</sup>
04/01/22	1.000	209.98	02/08/22	35.00		174.98	Option sale to close-call <sup>25</sup>
04/20/22	1.000	119.98	02/08/22	35.00		84.98	Option sale to close-call <sup>25</sup>

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of property	/CUSIP/Symbol						
1c- Date		1d- Proceeds &			1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or		6- Reported	1b- Date	1e- Cost or	1g- Wash sale loss	7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	also not reported (Z)	Additional information
LIDR 07/15/2022 CALL \$4.0	0 / CUSIP: / Symbol: (cont	'd)					
	Security total:	3,024.58		1,155.08	30.08 W	1,899.58	
LMND 01/21/2022 CALL \$80	0.00 / CUSIP: / Symbol:						
	9 transactions for 01/21	1/22. Total proceeds a	and cost repo	orted to the IRS.			
	5.000	0.00	11/09/21	2,250.00	•••	-2,250.00	1 of 9 - Option expiration- long call
	2.000	0.00	11/09/21	700.00		-700.00	2 of 9 - Option expiration- long call
	1.000	0.00	11/16/21	240.00		-240.00	3 of 9 - Option expiration- long call
	1.000	0.00	11/17/21	200.00		-200.00	4 of 9 - Option expiration- long call
	1.000	0.00	11/17/21	190.00		-190.00	5 of 9 - Option expiration- long call
	1.000	0.00	11/17/21	180.00		-180.00	6 of 9 - Option expiration- long call
	1.000	0.00	12/06/21	60.00		-60.00	7 of 9 - Option expiration- long call
	1.000	0.00	12/06/21	60.00		-60.00	8 of 9 - Option expiration- long call
	1.000	0.00	12/06/21	60.00		-60.00	9 of 9 - Option expiration- long call
01/21/22	14.000	0.00	Various	3,940.00		-3,940.00	Total of 9 transactions
LMND 02/04/2022 CALL \$41	I.00 / CUSIP: / Symbol:						
02/04/22	1.000	0.00	01/10/22	200.00	•••	-200.00	Option expiration- long call
LMND 02/18/2022 CALL \$80	0.00 / CUSIP: / Symbol:						
	2 transactions for 02/18	3/22. Total proceeds a	and cost repo	orted to the IRS.			
	3.000	0.00	11/29/21	570.00		-570.00	1 of 2 - Option expiration- long call
	1.000	0.00	12/27/21	40.00		-40.00	2 of 2 - Option expiration- long call
02/18/22	4.000	0.00	Various	610.00	···	-610.00	Total of 2 transactions
LMND 09/16/2022 CALL \$50	0.00 / CUSIP: / Symbol:						
03/29/22	1.000	179.98	02/24/22	155.00		24.98	Option sale to close-call <sup>25</sup>
03/29/22	1.000	149.98	02/10/22	340.00	190.02 W	0.00	Option sale to close-call <sup>25</sup>
05/06/22	1.000	84.98	03/14/22	70.00		14.98	Option sale to close-call <sup>25</sup>
05/06/22	1.000	79.98	03/04/22	280.02	200.04 W	0.00	Option sale to close-call <sup>25</sup>
	2 transactions for 05/10	0/22. Total proceeds a	and cost repo	orted to the IRS.			•
	1.000	54.99	03/16/22	65.00		-10.01	1 of 2 - Option sale to close-call 25
	1.000	54.99	04/20/22	295.04	•••	-240.05	2 of 2 - Option sale to close-call <sup>25</sup>
05/10/22	2.000	109.98	Various	360.04		-250.06	Total of 2 transactions
	Security total:	604.90		1,205.06	390.06 W	-210.10	

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of prop	erty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X)	Additional information
<b>-</b>	_ \$2.00 / CUSIP: / Symbol:	(0):000 0: (:1)0:	aoquiroa	other buole	uloullowed (11)	uloo ilot roportou (2)	, radicional information
WINTER OTHER PROPERTY.		/21/22. Total proceeds a	and cost renor	ted to the IRS			
		•	•			225.22	1 of C. Oution symination land call
	3.000	0.00	11/02/21	225.00	•••	-225.00	1 of 6 - Option expiration-long call
	1.000	0.00	11/02/21	75.00	***	-75.00 -75.00	2 of 6 - Option expiration- long call
	1.000	0.00	11/02/21	75.00	***	-75.00	3 of 6 - Option expiration- long call
	2.000	0.00	11/16/21	70.00	•••	-70.00	4 of 6 - Option expiration- long call
	1.000	0.00	11/16/21	35.00		-35.00	5 of 6 - Option expiration- long call
	10.000	0.00	11/18/21	250.00		-250.00	6 of 6 - Option expiration- long call
01/21/22	18.000	0.00	Various	730.00		-730.00	Total of 6 transactions
MMAT 04/14/2022 CALL	_ \$2.00 / CUSIP: / Symbol:						
04/05/22	3.000	59.99	03/21/22	75.00	···	-15.01	Option sale to close-call <sup>25</sup>
	3 transactions for 04	/05/22. Total proceeds a	and cost report	ted to the IRS.			
	2.000	39.99	03/21/22	50.00	5.01 W	-5.00	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	20.00	03/21/22	23.00	3.00 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	4.000	79.99	03/21/22	92.00	12.01 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
04/05/22	7.000	139.98	Various	165.00	20.02 W	-5.00	Total of 3 transactions
	5 transactions for 04	/14/22. Total proceeds a	and cost report	ted to the IRS.			
	1.000	0.00	03/31/22	12.00		-12.00	1 of 5 - Option expiration- long call
	1.000	0.00	03/31/22	12.00		-12.00	2 of 5 - Option expiration- long call
	1.000	0.00	04/01/22	11.00		-11.00	3 of 5 - Option expiration- long call
	2.000	0.00	04/05/22	14.01		-14.01	4 of 5 - Option expiration- long call
	1.000	0.00	04/05/22	9.01		-9.01	5 of 5 - Option expiration- long call
04/14/22	6.000	0.00	Various	58.02		-58.02	Total of 5 transactions
	Security total:	199.97		298.02	20.02 W	-78.03	
MMAT 05/20/2022 CALL	_ \$2.00 / CUSIP: / Symbol:						
	•	/19/22. Total proceeds a	and cost report	ted to the IRS.			
	5.000	14.98	03/31/22	120.00	•••	-105.02	1 of 4 - Option sale to close-call 25
	4.000	11.99	03/31/22	96.00		-84.01	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	3.00	03/31/22	24.00		-21.00	3 of 4 - Option sale to close-call <sup>25</sup>
	2.000	5.99	04/13/22	30.00		-24.01	4 of 4 - Option sale to close-call <sup>25</sup>
05/19/22	12.000	35.96	Various	270.00		-234.04	Total of 4 transactions
00/10/22	12.000	55.90	v ai ious	210.00	•••	-207.04	Total of 4 transactions

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of propert	y/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MOS 03/18/2022 CALL \$45	5.00 / CUSIP: / Symbol:		-				
01/18/22	6.000	1,499.98	11/15/21	810.00		689.98	Option sale to close-call <sup>25</sup>
02/01/22	2.000	359.98	11/15/21	270.00	•••	89.98	Option sale to close-call <sup>25</sup>
02/03/22	2.000	499.98	11/15/21	270.00	•••	229.98	Option sale to close-call <sup>25</sup>
	3 transactions for 02/	09/22. Total proceeds a	and cost repoi	ted to the IRS.			
	1.000	294.98	11/17/21	115.00		179.98	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	309.99	11/29/21	97.00	•••	212.99	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	309.99	11/30/21	80.00	•••	229.99	3 of 3 - Option sale to close-call <sup>25</sup>
02/09/22	3.000	914.96	Various	292.00	•••	622.96	Total of 3 transactions
02/14/22	1.000	269.98	11/30/21	80.00	•••	189.98	Option sale to close-call <sup>25</sup>
02/15/22	1.000	314.98	12/06/21	82.00		232.98	Option sale to close-call <sup>25</sup>
02/23/22	1.000	199.98	12/06/21	82.00	•	117.98	Option sale to close-call <sup>25</sup>
	Security total:	4,059.84		1,886.00		2,173.84	·
MRNA 02/25/2022 PUT \$1	30.00 / CUSIP: / Symbol:						
	5 transactions for 02/	24/22. Total proceeds a	and cost repoi	ted to the IRS.			
	1.000	60.00	02/24/22	735.03		-675.03	1 of 5 - Option sale to close-put 25
	1.000	60.00	02/24/22	555.00		-495.00	2 of 5 - Option sale to close-put 25
	1.000	59.99	02/24/22	385.02		-325.03	3 of 5 - Option sale to close-put 25
	2.000	109.99	02/24/22	260.00		-150.01	4 of 5 - Option sale to close-put 25
	2.000	119.99	02/24/22	260.00		-140.01	5 of 5 - Option sale to close-put 25
02/24/22	7.000	409.97	Various	2,195.05		-1,785.08	Total of 5 transactions
		24/22. Total proceeds a				,	
	1.000	119.98	02/22/22	300.00	180.02 W	0.00	1 of 7 - Option sale to close-put 25
	1.000	119.99	02/22/22	480.02	360.03 W	0.00	2 of 7 - Option sale to close-put <sup>25</sup>
	1.000	120.00	02/22/22	300.00	180.00 W	0.00	3 of 7 - Option sale to close-put 25
	1.000	119.99	02/22/22	300.00	180.01 W	0.00	4 of 7 - Option sale to close-put 25
	1.000	55.00	02/22/22	660.03	605.03 W	0.00	5 of 7 - Option sale to close-put 25
	1.000	55.00	02/22/22	480.00	425.00 W	0.00	6 of 7 - Option sale to close-put 25
	1.000	54.99	02/24/22	310.01	255.02 W	0.00	7 of 7 - Option sale to close-put 25
02/24/22	7.000	644.95	Various	2,830.06	2,185.11 W	0.00	Total of 7 transactions
	Security total:	1,054.92		5,025.11	2,185.11 W	-1,785.08	

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of pro	pperty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MTTR 04/14/2022 CAL	LL \$10.00 / CUSIP: / Symbol:						
	2 transactions for 02/2	8/22. Total proceeds a	and cost report	ted to the IRS.			
02/28/22 03/18/22	1.000 1.000 2.000 2.000 5 transactions for 03/2	49.99 49.99 99.98 59.98 2/22. Total proceeds a	02/17/22 02/17/22 Various 02/17/22 and cost report	45.00 45.00 90.00 90.00 ted to the IRS.	  30.02 W	4.99 4.99 9.98 0.00	1 of 2 - Option sale to close-call <sup>25</sup> 2 of 2 - Option sale to close-call <sup>25</sup> Total of 2 transactions Option sale to close-call <sup>25</sup>
	1.000 1.000 1.000 1.000 1.000	29.99 29.99 34.99 35.00 34.99	02/17/22 02/17/22 02/17/22 02/25/22 03/09/22	45.00 55.01 55.01 50.01 45.02	15.01 W 25.02 W 20.02 W 15.01 W 10.03 W	0.00 0.00 0.00 0.00 0.00	1 of 5 - Option sale to close-call <sup>25</sup> 2 of 5 - Option sale to close-call <sup>25</sup> 3 of 5 - Option sale to close-call <sup>25</sup> 4 of 5 - Option sale to close-call <sup>25</sup> 5 of 5 - Option sale to close-call <sup>25</sup>
03/22/22	5.000 3 transactions for 03/2	164.96 9/22. Total proceeds a	Various and cost report	250.05 ted to the IRS.	85.09 W	0.00	Total of 5 transactions
03/29/22	1.000 1.000 1.000 3.000	19.98 29.99 29.99 79.96	03/15/22 03/15/22 03/15/22 Various	35.03 30.02 20.03 85.08	   	-15.05 -0.03 9.96 -5.12	1 of 3 - Option sale to close-call <sup>25</sup> 2 of 3 - Option sale to close-call <sup>25</sup> 3 of 3 - Option sale to close-call <sup>25</sup> Total of 3 transactions
00/20/22	2 transactions for 03/2				•••	0.12	Total of a transactions
03/29/22	1.000 1.000 2.000 Security total:	14.99 14.99 29.98 <b>434.86</b>	03/10/22 03/10/22 Various	40.02 35.01 75.03 <b>590.16</b>	25.03 W 20.02 W 45.05 W <b>160.16 W</b>	0.00 0.00 0.00 4.86	1 of 2 - Option sale to close-call <sup>25</sup> 2 of 2 - Option sale to close-call <sup>25</sup> Total of 2 transactions
MTTR 07/15/2022 CAL	LL \$12.50 / CUSIP: / Symbol:						
05/24/22 05/26/22	1.000 5.000 11 transactions for 07/	4.98 24.98 15/22. Total proceeds	02/10/22 02/10/22 and cost repo	150.00 750.00 orted to the IRS.	 	-145.02 -725.02	Option sale to close-call <sup>25</sup> Option sale to close-call <sup>25</sup>
	4.000	0.00	02/10/22	600.00		-600.00	1 of 11 - Option expiration- long call
	3.000	0.00	02/14/22	315.00		-315.00	2 of 11 - Option expiration- long call
	3.000	0.00	02/16/22	330.00		-330.00	3 of 11 - Option expiration- long call

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

la- Description of prope	rty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MTTR 07/15/2022 CALL	\$12.50 / CUSIP: / Symbol: (c	ont'd)					
	1.000	0.00	02/17/22	70.00		-70.00	4 of 11 - Option expiration- long call
	1.000	0.00	03/02/22	75.00		-75.00	5 of 11 - Option expiration- long call
	2.000	0.00	03/04/22	120.00		-120.00	6 of 11 - Option expiration- long call
	3.000	0.00	03/16/22	150.00		-150.00	7 of 11 - Option expiration- long call
	3.000	0.00	03/25/22	135.00	···	-135.00	8 of 11 - Option expiration- long call
	2.000	0.00	04/05/22	110.00	···	-110.00	9 of 11 - Option expiration- long call
	1.000	0.00	04/20/22	20.00		-20.00	10 of 11 - Option expiration- long call
	2.000	0.00	04/21/22	28.00	···	-28.00	11 of 11 - Option expiration- long call
07/15/22	25.000	0.00	Various	1,953.00	•••	-1,953.00	Total of 11 transactions
	Security total:	29.96		2,853.00	•••	-2,823.04	
	\$7.50 / CUSIP: / Symbol:						
05/27/22	4.000	79.98	05/06/22	120.00		-40.02	Option sale to close-call <sup>25</sup>
IULN 03/18/2022 CALL	\$1.00 / CUSIP: / Symbol:						
03/07/22	5.000	124.98	03/07/22	75.00		49.98	Option sale to close-call <sup>25</sup>
MULN 06/03/2022 CALL	\$1.50 / CUSIP: / Symbol:						
06/01/22	2.000	39.98	05/31/22	30.00	***	9.98	Option sale to close-call <sup>25</sup>
06/03/22	8.000	0.00	05/31/22	120.00		-120.00	Option expiration- long call
	Security total:	39.98		150.00		-110.02	
IVST 01/21/2022 CALL	\$9.00 / CUSIP: / Symbol:						
	3 transactions for 01/2	•	•				
	5.000	0.00	11/18/21	325.00	***	-325.00	1 of 3 - Option expiration- long c
	2.000	0.00	12/06/21	60.00	***	-60.00	2 of 3 - Option expiration-long c
01/21/22	<i>10.000</i> 17.000	<i>0.00</i> 0.00	<i>12/08/21</i> Various	250.00 635.00		-250.00 -635.00	3 of 3 - Option expiration- long control of 3 transactions

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of pro	pperty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MVST 03/18/2022 CA	LL \$9.00 / CUSIP: / Symbol:						
	8 transactions for 02/2	8/22. Total proceeds a	and cost repor	ted to the IRS.			
	10.000	99.97	10/28/21	1,100.00	•••	-1,000.03	1 of 8 - Option sale to close-call <sup>25</sup>
	2.000	20.00	11/30/21	160.00	•••	-140.00	2 of 8 - Option sale to close-call 25
	1.000	10.00	12/27/21	30.00		-20.00	3 of 8 - Option sale to close-call 25
	1.000	10.00	02/24/22	5.00		5.00	4 of 8 - Option sale to close-call 25
	1.000	10.00	02/24/22	5.00		5.00	5 of 8 - Option sale to close-call <sup>25</sup>
	1.000	10.00	02/24/22	5.00		5.00	6 of 8 - Option sale to close-call 25
	2.000	19.99	02/24/22	10.00		9.99	7 of 8 - Option sale to close-call 25
	5.000	49.98	02/24/22	25.00	•••	24.98	8 of 8 - Option sale to close-call 25
02/28/22	23.000	229.94	Various	1,340.00		-1,110.06	Total of 8 transactions
MVST 06/17/2022 CA	LL \$6.00 / CUSIP: / Symbol:						
03/01/22	1.000	164.98	01/27/22	60.00		104.98	Option sale to close-call 25
03/07/22	2.000	299.98	01/27/22	120.00	•••	179.98	Option sale to close-call <sup>25</sup>
	3 transactions for 03/0	8/22. Total proceeds a	and cost repor	ted to the IRS.			·
	1.000	219.98	01/27/22	60.00	····	159.98	1 of 3 - Option sale to close-call 25
	1.000	229.98	01/27/22	60.00	···	169.98	2 of 3 - Option sale to close-call 25
	2.000	429.98	01/27/22	120.00	···	309.98	3 of 3 - Option sale to close-call 25
03/08/22	4.000	879.94	Various	240.00	···	639.94	Total of 3 transactions
03/09/22	1.000	259.98	01/27/22	60.00	···	199.98	Option sale to close-call <sup>25</sup>
03/10/22	1.000	319.98	01/27/22	60.00	···	259.98	Option sale to close-call 25
05/10/22	1.000	9.98	01/27/22	60.00		-50.02	Option sale to close-call <sup>25</sup>
	Security total:	1,934.84		600.00		1,334.84	
MVST 06/17/2022 CA	LL \$7.00 / CUSIP: / Symbol:						
	4 transactions for 06/1	7/22. Total proceeds a	and cost repor	ted to the IRS.			
	1.000	0.00	04/01/22	65.00	•••	-65.00	1 of 4 - Option expiration- long call
	1.000	0.00	04/01/22	45.00	····	-45.00	2 of 4 - Option expiration- long call
	1.000	0.00	05/13/22	5.00	····	-5.00	3 of 4 - Option expiration- long call
	1.000	0.00	05/13/22	5.00		-5.00	4 of 4 - Option expiration- long call
06/17/22	4.000	0.00	Various	120.00	***	-120.00	Total of 4 transactions
NEGG 02/18/2022 CA	LL \$12.50 / CUSIP: / Symbol:						
02/18/22	5.000	0.00	01/04/22	450.00		-450.00	Option expiration- long call

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

NEGG 02/18/2022 CALL \$15.00 / CUSIP: / Symbol:  4 transactions for 02/18/22. Total proceeds and cost reported to the IRS.  2.000 0.00 11/04/21 280.00 1.000 0.00 12/07/21 282.00	280.00282.00140.00	
NEGG 02/18/2022 CALL \$15.00 / CUSIP: / Symbol:  4 transactions for 02/18/22. Total proceeds and cost reported to the IRS.  2.000 0.00 11/04/21 280.00 1.000 0.00 12/07/21 282.00	280.00 282.00 140.00	1 of 4 - Option expiration- long call 2 of 4 - Option expiration- long call
4 transactions for 02/18/22. Total proceeds and cost reported to the IRS.  2.000 0.00 11/04/21 280.00 1.000 0.00 12/07/21 282.00	282.00 140.00	2 of 4 - Option expiration- long call
2.000 0.00 11/04/21 280.00 1.000 0.00 12/07/21 282.00	282.00 140.00	2 of 4 - Option expiration- long call
1.000 0.00 12/07/21 282.00	282.00 140.00	2 of 4 - Option expiration- long call
	140.00	, ,
1.000 0.00 12/13/21 140.00 1.000 0.00 12/29/21 65.00	65.00	4 of 4 - Option expiration-long call
02/18/22 5.000 0.00 Various 767.00	767.00	Total of 4 transactions
NEGG 03/04/2022 CALL \$8.00 / CUSIP: / Symbol:		
03/04/22 4.000 0.00 01/19/22 484.00	484.00	Option expiration- long call
NEGG 04/01/2022 CALL \$8.00 / CUSIP: / Symbol:		opilon empiration long call
03/28/22 1.000 5.00 03/08/22 30.00	25.00	Option sale to close-call <sup>25</sup>
2 transactions for 03/28/22. Total proceeds and cost reported to the IRS.	25.00	Option sale to close-call
2.000 9.99 02/11/22 180.00 17	70.01 W 0.00	1 of 2 - Option sale to close-call <sup>25</sup>
5.000 24.98 02/16/22 300.00 11	10.01 W -165.01	2 of 2 - Option sale to close-call 25
	30.02 W -165.01	Total of 2 transactions
3 transactions for 04/01/22. Total proceeds and cost reported to the IRS.		
2.000 0.00 03/30/22 220.01	220.01	1 of 3 - Option expiration- long call
1.000 0.00 03/31/22 63.00	63.00	2 of 3 - Option expiration- long call
1.000 0.00 03/31/22 61.01	61.01	3 of 3 - Option expiration- long call
04/01/22 4.000 0.00 Various 344.02	344.02	Total of 3 transactions
Security total: 39.97 854.02 28	30.02 W -534.03	
NEGG 05/13/2022 CALL \$7.50 / CUSIP: / Symbol:		
05/03/22 1.000 59.98 04/12/22 95.00	35.02	Option sale to close-call 25
NEGG 08/19/2022 CALL \$5.00 / CUSIP: / Symbol:		
07/20/22 3.000 44.98 07/19/22 33.00	11.98	Option sale to close-call <sup>25</sup>
07/25/22 1.000 14.98 07/19/22 11.00	3.98	Option sale to close-call <sup>25</sup>
08/02/22 1.000 4.98 07/19/22 11.00	6.02	Option sale to close-call <sup>25</sup>
Security total: 64.94 55.00	9.94	

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of pr	operty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NEGG 08/19/2022 CA	ALL \$7.50 / CUSIP: / Symbol:						
04/06/22 06/10/22	1.000 1.000 4 transactions for 08/0	279.98 39.98 04/22. Total proceeds a	04/01/22 04/01/22 and cost repo	135.00 135.00 rted to the IRS.	95.02 W	144.98 0.00	Option sale to close-call <sup>25</sup> Option sale to close-call <sup>25</sup>
08/04/22	1.000 1.000 1.000 1.000 4.000	5.00 4.99 5.00 4.99 19.98 V/15/22. Total proceeds	05/09/22 05/20/22 06/01/22 06/03/22 Various	67.00 138.02 40.00 34.00 279.02	62.00 W 133.03 W 35.00 W 29.01 W 259.04 W	0.00 0.00 0.00 0.00 0.00	1 of 4 - Option sale to close-call <sup>25</sup> 2 of 4 - Option sale to close-call <sup>25</sup> 3 of 4 - Option sale to close-call <sup>25</sup> 4 of 4 - Option sale to close-call <sup>25</sup> Total of 4 transactions
	2.000	3.99	06/13/22	40.00		-36.01	1 of 11 - Option sale to close-call
	1.000	2.00	06/13/22	20.00		-18.00	25 2 of 11 - Option sale to close-call
	3.000	5.99	06/29/22	36.00		-30.01	3 of 11 - Option sale to close-call
	3.000	5.98	07/01/22	27.00		-21.02	4 of 11 - Option sale to close-call
	1.000	1.98	07/01/22	9.00		-7.02	5 of 11 - Option sale to close-call
	1.000	1.99	07/01/22	9.00		-7.01	6 of 11 - Option sale to close-call
	1.000	1.98	07/06/22	70.00		-68.02	7 of 11 - Option sale to close-call
	1.000	1.99	07/11/22	140.03		-138.04	8 of 11 - Option sale to close-call
	1.000	1.99	07/11/22	42.00		-40.01	9 of 11 - Option sale to close-call
	1.000	1.99	07/11/22	36.01		-34.02	10 of 11 - Option sale to close-call
	1.000	1.99	07/11/22	7.00		-5.01	11 of 11 - Option sale to close-call
08/15/22	16.000 Security total:	31.87 <b>371.81</b>	Various	436.04 <b>985.06</b>	 354.06 W	-404.17 <b>-259.19</b>	Total of 11 transactions

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of pro	perty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO 01/28/2022 CALL	\$32.00 / CUSIP: / Symbol:						
	2 transactions for 01/	/28/22. Total proceeds a	and cost report	ted to the IRS.			
01/28/22	3.000 2.000 5.000	0.00 0.00 0.00	12/27/21 12/29/21 Various	480.00 160.00 640.00	 	-480.00 -160.00 -640.00	1 of 2 - Option expiration- long call 2 of 2 - Option expiration- long call Total of 2 transactions
NIO 03/18/2022 CALL	\$25.00 / CUSIP: / Symbol:						
01/31/22 02/15/22	1.000 1.000 <b>Security total:</b>	219.98 259.98 <b>479.96</b>	01/24/22 01/27/22	284.00 190.02 <b>474.02</b>	64.02 W  <b>64.02 W</b>	0.00 69.96 <b>69.96</b>	Option sale to close-call <sup>25</sup> Option sale to close-call <sup>25</sup>
NIO 03/25/2022 CALL	\$25.00 / CUSIP: / Symbol:						
02/28/22	1.000 2 transactions for 03/	99.98 /09/22. Total proceeds a	02/18/22 and cost report	145.00 ted to the IRS.	45.02 W	0.00	Option sale to close-call <sup>25</sup>
03/09/22	1.000 1.000 2.000	39.99 39.99 79.98	02/23/22 02/25/22 Various	110.02 60.00 170.02	70.03 W 20.01 W 90.04 W	0.00 0.00 0.00	1 of 2 - Option sale to close-call <sup>25</sup> 2 of 2 - Option sale to close-call <sup>25</sup> Total of 2 transactions
	6 transactions for U3/ 1.000 1.000 1.000 1.000 1.000	15/22. Total proceeds a 5.00 5.00 5.00 5.00 4.99 4.99 5.00	03/04/22 03/04/22 03/08/22 03/08/22 03/10/22 03/10/22	160.05 50.01 24.00 21.00 15.00	   	-155.05 -45.01 -19.00 -16.01 -10.01 -10.00	1 of 6 - Option sale to close-call <sup>25</sup> 2 of 6 - Option sale to close-call <sup>25</sup> 3 of 6 - Option sale to close-call <sup>25</sup> 4 of 6 - Option sale to close-call <sup>25</sup> 5 of 6 - Option sale to close-call <sup>25</sup> 6 of 6 - Option sale to close-call <sup>25</sup>
03/15/22 03/15/22	6.000 1.000 <b>Security total:</b>	29.98 4.98 <b>214.92</b>	Various 03/02/22	285.06 135.03 <b>735.11</b>	 130.05 W <b>265.11 W</b>	-255.08 0.00 -255.08	Total of 6 transactions Option sale to close-call <sup>25</sup>
NIO 04/01/2022 CALL	\$25.00 / CUSIP: / Symbol:						
03/09/22	1.000	59.98 (14/22. Total proceeds a	03/03/22 and cost report	70.00 ted to the IRS.	10.02 W	0.00	Option sale to close-call <sup>25</sup>
03/14/22	1.000 1.000 2.000	14.99 14.99 29.98	03/04/22 03/08/22 Various	60.02 30.00 90.02	45.03 W 15.01 W 60.04 W	0.00 0.00 0.00	1 of 2 - Option sale to close-call <sup>25</sup> 2 of 2 - Option sale to close-call <sup>25</sup> Total of 2 transactions

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of property	/CUSIP/Symbol						
1c- Date		1d- Proceeds &			1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or		6- Reported	1b- Date	1e- Cost or	1g- Wash sale loss	7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	also not reported (Z)	Additional information
NIO 04/01/2022 CALL \$25.0	0 / CUSIP: / Symbol: (cor	nt'd)					
	3 transactions for 03/	15/22. Total proceeds a	and cost repo	rted to the IRS.			
	1.000	11.99	03/10/22	73.03	•••	-61.04	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	11.99	03/10/22	38.01	•••	-26.02	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	12.00	03/11/22	18.00	•••	-6.00	3 of 3 - Option sale to close-call <sup>25</sup>
03/15/22	3.000	35.98	Various	129.04		-93.06	Total of 3 transactions
	Security total:	125.94		289.06	70.06 W	-93.06	
NIO 04/22/2022 CALL \$23.0	0 / CUSIP: / Symbol:						
	3 transactions for 03/	16/22. Total proceeds a	and cost repo	rted to the IRS.			
	1.000	99.98	03/07/22	96.00		3.98	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	84.98	03/10/22	73.00		11.98	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	99.98	03/11/22	60.00		39.98	3 of 3 - Option sale to close-call <sup>25</sup>
03/16/22	3.000	284.94	Various	229.00		55.94	Total of 3 transactions
03/18/22	1.000	169.98	03/14/22	45.00		124.98	Option sale to close-call <sup>25</sup>
	Security total:	454.92		274.00		180.92	•
NNDM 02/18/2022 CALL \$7	.50 / CUSIP: / Symbol:						
	5 transactions for 02/	18/22. Total proceeds a	and cost repo	rted to the IRS.			
	2.000	0.00	11/05/21	130.00		-130.00	1 of 5 - Option expiration- long call
	2.000	0.00	11/05/21	130.00		-130.00	2 of 5 - Option expiration- long call
	1.000	0.00	11/05/21	65.00		-65.00	3 of 5 - Option expiration- long call
	5.000	0.00	11/12/21	250.00		-250.00	4 of 5 - Option expiration- long call
	5.000	0.00	11/19/21	160.00		-160.00	5 of 5 - Option expiration- long call
02/18/22	15.000	0.00	Various	735.00		-735.00	Total of 5 transactions
NNDM 05/20/2022 CALL \$4	.00 / CUSIP: / Symbol:						
03/22/22	3.000	119.98	01/18/22	129.00	9.02 W	0.00	Option sale to close-call <sup>25</sup>
	7 transactions for 05/2	20/22. Total proceeds a	and cost repo	rted to the IRS.			
	2.000	0.00	01/18/22	86.00		-86.00	1 of 7 - Option expiration- long call
	1.000	0.00	02/22/22	32.01		-32.01	2 of 7 - Option expiration- long call
	1.000	0.00	02/22/22	32.01		-32.01	3 of 7 - Option expiration- long call
	1.000	0.00	03/15/22	16.00		-16.00	4 of 7 - Option expiration- long call
	5.000	0.00	04/05/22	60.00		-60.00	5 of 7 - Option expiration- long call
	3.000	0.00	04/06/22	27.00		-27.00	6 of 7 - Option expiration- long call

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#### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12) "Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol 1c- Date 1d- Proceeds & 1f- Accrued mkt disc (D) & Gain or loss(-) & sold or 6- Reported 1e- Cost or 1q- Wash sale loss 7- Loss not allowed (X) 1b- Date disposed Quantity (G)ross or (N)et acquired other basis disallowed (W) also not reported (Z) Additional information NNDM 05/20/2022 CALL \$4.00 / CUSIP: / Symbol: (cont'd) 3.000 0.00 04/14/22 -15.00 7 of 7 - Option expiration- long call 15.00 05/20/22 16.000 0.00 268.02 -268.02 Total of 7 transactions Various 119.98 397.02 -268.02 Security total: 9.02 W OPEN 01/21/2022 CALL \$16.00 / CUSIP: / Symbol: 6 transactions for 01/21/22. Total proceeds and cost reported to the IRS. 2.000 0.00 12/01/21 242.00 -242.00 1 of 6 - Option expiration- long call 2 000 0.00 12/01/21 242 00 -242.00 2 of 6 - Option expiration- long call 3 of 6 - Option expiration- long call 1.000 0.00 12/01/21 121.00 -121.00 1.000 0.00 12/03/21 95.00 -95.00 4 of 6 - Option expiration- long call 5 of 6 - Option expiration- long call 1.000 0.00 12/03/21 95.00 -95.00 6 of 6 - Option expiration- long call 1.000 0.00 12/03/21 95.00 -95.00 Total of 6 transactions 01/21/22 8.000 0.00 890.00 -890.00 Various OPEN 01/21/2022 CALL \$23.00 / CUSIP: / Symbol: 5 transactions for 01/21/22. Total proceeds and cost reported to the IRS. 250.00 1.000 0.00 11/09/21 -250.00 1 of 5 - Option expiration- long call 1.000 0.00 11/10/21 2 of 5 - Option expiration- long call 200.00 -200.00 1.000 0.00 11/17/21 180.00 -180.00 3 of 5 - Option expiration- long call 2.000 0.00 11/19/21 250.00 -250.00 4 of 5 - Option expiration- long call 5.000 0.00 11/29/21 250.00 -250.00 5 of 5 - Option expiration- long call Total of 5 transactions 01/21/22 10.000 0.00 Various 1.130.00 -1.130.00OPEN 02/04/2022 CALL \$11.00 / CUSIP: / Symbol: 02/01/22 1.000 29.98 01/10/22 85.00 -55.02 Option sale to close-call <sup>25</sup> OPEN 02/11/2022 CALL \$11.00 / CUSIP: / Symbol: 02/08/22 1.000 9.98 01/19/22 57.00 -47.02Option sale to close-call 25 OPEN 02/18/2022 CALL \$15.00 / CUSIP: / Symbol: 02/18/22 10.000 0.00 12/29/21 1.000.00 -1.000.00Option expiration-long call

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of property/	CUSIP/Symbol						
1c- Date		1d- Proceeds &			1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or		6- Reported	1b- Date	1e- Cost or	1g- Wash sale loss	7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	also not reported (Z)	Additional information
OPEN 02/18/2022 CALL \$20	.00 / CUSIP: / Symbol:						
	2 transactions for 02/18	3/22. Total proceeds a	and cost repo	orted to the IRS.			
	3.000	0.00	11/30/21	270.00	•••	-270.00	1 of 2 - Option expiration- long call
	2.000	0.00	12/06/21	150.00		-150.00	2 of 2 - Option expiration- long call
02/18/22	5.000	0.00	Various	420.00		-420.00	Total of 2 transactions
OPEN 05/20/2022 CALL \$10	.00 / CUSIP: / Symbol:						
	4 transactions for 05/11	1/22. Total proceeds a	and cost repo	rted to the IRS.			
	1.000	3.00	04/06/22	60.00		-57.00	1 of 4 - Option sale to close-call 25
	1.000	2.99	04/06/22	50.00		-47.01	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	3.00	05/06/22	120.01		-117.01	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	2.99	05/06/22	95.01	***	-92.02	4 of 4 - Option sale to close-call <sup>25</sup>
05/11/22	4.000	11.98	Various	325.02		-313.04	Total of 4 transactions
	2 transactions for 05/11	1/22. Total proceeds a	and cost repo	rted to the IRS.			
	1.000	2.99	03/30/22	110.00	107.01 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	2.99	03/31/22	85.00	82.01 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
05/11/22	2.000	5.98	Various	195.00	189.02 W	0.00	Total of 2 transactions
	Security total:	17.96		520.02	189.02 W	-313.04	
OPEN 06/17/2022 CALL \$9.0	00 / CUSIP: / Symbol:						
03/18/22	1.000	149.98	02/25/22	145.00		4.98	Option sale to close-call <sup>25</sup>
03/21/22	1.000	139.98	02/25/22	145.00	5.02 W	0.00	Option sale to close-call <sup>25</sup>
03/22/22	1.000	179.98	03/04/22	94.02		85.96	Option sale to close-call <sup>25</sup>
03/29/22	1.000	214.98	03/04/22	85.00		129.98	Option sale to close-call <sup>25</sup>
04/20/22	1.000	114.98	03/07/22	70.00	•••	44.98	Option sale to close-call <sup>25</sup>
05/13/22	1.000	49.98	04/06/22	82.00	32.02 W	0.00	Option sale to close-call <sup>25</sup>
	2 transactions for 05/27	7/22. Total proceeds a	and cost repo	orted to the IRS.			
	1.000	16.98	04/06/22	82.00	65.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	21.98	05/09/22	72.02	50.04 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
05/27/22	2.000	38.96	Various	154.02	115.06 W	0.00	Total of 2 transactions
	2 transactions for 06/02	2/22. Total proceeds a	and cost repo	rted to the IRS.			
	1.000	29.99	05/24/22	51.06	•••	-21.07	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	24.99	05/24/22	9.00		15.99	2 of 2 - Option sale to close-call <sup>25</sup>
06/02/22	2.000	54.98	Various	60.06		-5.08	Total of 2 transactions

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of prop	Derty/COSIF/Gymbol	1d- Proceeds &			45 Account what dies (D) 9	Cain ar lagg() 9	
sold or		6- Reported	1b- Date	1e- Cost or	1f- Accrued mkt disc (D) & 1g- Wash sale loss	Gain or loss(-) & 7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	` '	Additional information
OPEN 06/17/2022 CAL	L \$9.00 / CUSIP: / Symbol: (co	nt'd)					
	5 transactions for 06/0	2/22. Total proceeds a	and cost report	ed to the IRS.			
	1.000	9.98	05/11/22	89.02	79.04 W	0.00	1 of 5 - Option sale to close-call 25
	1.000	23.98	05/12/22	72.04	48.06 W	0.00	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	24.99	05/18/22	104.04	79.05 W	0.00	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	25.00	05/20/22	67.06	42.06 W	0.00	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	29.99	05/24/22	88.05	58.06 W	0.00	5 of 5 - Option sale to close-call 25
06/02/22	5.000	113.94	Various	420.21	306.27 W	0.00	Total of 5 transactions
06/06/22	1.000	19.98	06/01/22	71.06	···	-51.08	Option sale to close-call <sup>25</sup>
	Security total:	1,077.74		1,326.37	458.37 W	209.74	
OPEN 07/15/2022 CAL	L \$7.00 / CUSIP: / Symbol:						
	2 transactions for 06/2	4/22. Total proceeds a	and cost report	ed to the IRS.			
	2.000	29.99	06/13/22	40.00	10.01 W	0.00	1 of 2 - Option sale to close-call 25
	3.000	44.99	06/13/22	57.00	12.01 W	0.00	2 of 2 - Option sale to close-call 25
06/24/22	5.000	74.98	Various	97.00	22.02 W	0.00	Total of 2 transactions
	2 transactions for 07/0	6/22. Total proceeds a	and cost report	ed to the IRS.			
	1.000	5.98	06/13/22	23.01	17.03 W	0.00	1 of 2 - Option sale to close-call 25
	1.000	5.98	06/13/22	23.00	17.02 W	0.00	2 of 2 - Option sale to close-call 25
07/06/22	2.000	11.96	Various	46.01	34.05 W	0.00	Total of 2 transactions
	6 transactions for 07/1	5/22. Total proceeds a	and cost report	ed to the IRS.			
	1.000	0.00	06/13/22	20.00	•••	-20.00	1 of 6 - Option expiration- long call
	1.000	0.00	06/14/22	20.01		-20.01	2 of 6 - Option expiration- long call
	1.000	0.00	06/17/22	25.03		-25.03	3 of 6 - Option expiration- long call
	1.000	0.00	06/17/22	25.02	···	-25.02	4 of 6 - Option expiration- long call
	1.000	0.00	06/17/22	12.00	···	-12.00	5 of 6 - Option expiration- long call
	3.000	0.00	06/28/22	21.00	•••	-21.00	6 of 6 - Option expiration- long call
07/15/22	8.000	0.00	Various	123.06		-123.06	Total of 6 transactions
	Security total:	86.94		266.07	56.07 W	-123.06	

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PLTR 01/07/2022 CALL	\$20.50 / CUSIP: / Symbol:						
	2 transactions for 01/	07/22. Total proceeds a	and cost report	ed to the IRS.			
	3.000	0.00	12/01/21	300.00		-300.00	1 of 2 - Option expiration- long call
	1.000	0.00	12/07/21	84.00		-84.00	2 of 2 - Option expiration- long cal
01/07/22	4.000	0.00	Various	384.00		-384.00	Total of 2 transactions
PLTR 01/14/2022 CALL	_ \$21.00 / CUSIP: / Symbol:						
	3 transactions for 01/	14/22. Total proceeds a	and cost repon	ted to the IRS.			
	3.000	0.00	12/08/21	225.00		-225.00	1 of 3 - Option expiration- long call
	2.000	0.00	12/27/21	46.00		-46.00	2 of 3 - Option expiration- long call
	1.000	0.00	12/27/21	23.00		-23.00	3 of 3 - Option expiration- long call
01/14/22	6.000	0.00	Various	294.00		-294.00	Total of 3 transactions
PLTR 01/21/2022 CALL	\$24.00 / CUSIP: / Symbol:						
	3 transactions for 01/2	21/22. Total proceeds a	and cost report	ted to the IRS.			
	5.000	0.00	11/12/21	645.00	•••	-645.00	1 of 3 - Option expiration- long call
	2.000	0.00	11/18/21	190.00	···	-190.00	2 of 3 - Option expiration- long call
	2.000	0.00	11/29/21	166.00		-166.00	3 of 3 - Option expiration- long call
01/21/22	9.000	0.00	Various	1,001.00		-1,001.00	Total of 3 transactions
PLTR 01/21/2022 CALL	\$25.00 / CUSIP: / Symbol:						
	3 transactions for 01/2	21/22. Total proceeds a	and cost report	ted to the IRS.			
	5.000	0.00	11/16/21	550.00	•••	-550.00	1 of 3 - Option expiration- long call
	3.000	0.00	11/18/21	225.00		-225.00	2 of 3 - Option expiration- long call
	3.000	0.00	11/29/21	144.00		-144.00	3 of 3 - Option expiration- long call
01/21/22	11.000	0.00	Various	919.00	•••	-919.00	Total of 3 transactions
PLTR 01/28/2022 CALL	\$19.00 / CUSIP: / Symbol:						
01/28/22	5.000	0.00	12/29/21	300.00	***	-300.00	Option expiration- long call
PLTR 02/25/2022 CALL	\$15.00 / CUSIP: / Symbol:						
02/16/22	2.000	159.98	01/19/22	236.00	76.02 W	0.00	Option sale to close-call <sup>25</sup>
	2 transactions for 02/	25/22. Total proceeds a	and cost report	ed to the IRS.			•
	1.000	0.00	02/02/22	102.01	***	-102.01	1 of 2 - Option expiration- long call
							· · · · · · · · · · · · · · · · · · ·

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#### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12) "Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol 1c- Date 1d- Proceeds & 1f- Accrued mkt disc (D) & Gain or loss(-) & 6- Reported 1e- Cost or 1q- Wash sale loss 7- Loss not allowed (X) sold or 1b- Date disposed Quantity (G)ross or (N)et acquired other basis disallowed (W) also not reported (Z) Additional information PLTR 02/25/2022 CALL \$15.00 / CUSIP: / Symbol: (cont'd) 1.000 0.00 02/08/22 -76.01 2 of 2 - Option expiration- long call 76.01 02/25/22 2.000 0.00 178.02 -178.02 Total of 2 transactions Various 159.98 414.02 -178.02 Security total: 76.02 W PLTR 03/04/2022 CALL \$12.50 / CUSIP: / Symbol: 02/15/22 1.000 199.98 01/24/22 130.00 69.98 Option sale to close-call <sup>25</sup> 02/16/22 219.98 89.98 Option sale to close-call 25 1.000 01/24/22 130.00 Option sale to close-call <sup>25</sup> 02/22/22 1.000 6.98 01/24/22 130.00 -123.02390.00 36.94 Security total: 426.94 PLTR 04/01/2022 CALL \$11.50 / CUSIP: / Symbol: 02/24/22 1.000 124.98 02/22/22 61.00 63.98 Option sale to close-call 25 PLTR 04/29/2022 CALL \$13.50 / CUSIP: / Symbol: 04/21/22 39.98 04/12/22 46.00 6.02 W 0.00 Option sale to close-call <sup>25</sup> 5 transactions for 04/29/22. Total proceeds and cost reported to the IRS. 2.000 0.00 04/12/22 92.00 -92.00 1 of 5 - Option expiration- long call 04/13/22 2 of 5 - Option expiration- long call 1.000 0.00 36.02 -36.02 1.000 0.00 04/14/22 30.00 -30.00 3 of 5 - Option expiration- long call 4 of 5 - Option expiration- long call 1.000 0.00 04/14/22 24.00 -24.00 1.000 0.00 04/22/22 13.00 -13.00 5 of 5 - Option expiration- long call 04/29/22 6.000 0.00 195.02 -195.02 Total of 5 transactions Various 39.98 241.02 6.02 W -195.02 Security total: PLTR 04/29/2022 CALL \$14.50 / CUSIP: / Symbol: 3 transactions for 04/29/22. Total proceeds and cost reported to the IRS. 1 000 0.00 04/12/22 20.00 -20.00 1 of 3 - Option expiration- long call 1.000 0.00 04/13/22 13.00 -13.00 2 of 3 - Option expiration-long call 1.000 0.00 04/22/22 3.00 -3.00 3 of 3 - Option expiration- long call 04/29/22 3.000 0.00 Various 36.00 -36.00Total of 3 transactions PLTR 05/20/2022 CALL \$14.00 / CUSIP: / Symbol: 03/07/22 1.000 79.98 02/18/22 75.00 4.98 Option sale to close-call <sup>25</sup> Option sale to close-call 25 03/17/22 1.000 79.98 02/22/22 67.00 12.98 03/22/22 Option sale to close-call <sup>25</sup> 1.000 119.98 02/25/22 69.00 50.98

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12 "Gain or loss (-)" is NOT reported to the IRS.

1a- Description of propert	y/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PLTR 05/20/2022 CALL \$1	4.00 / CUSIP: / Symbol: (c	ont'd)					
03/29/22 04/04/22	1.000 1.000 <b>Security total:</b>	149.98 164.98 <b>594.90</b>	03/04/22 03/08/22	58.00 66.00 <b>335.00</b>	 	91.98 98.98 <b>259.90</b>	Option sale to close-call <sup>25</sup> Option sale to close-call <sup>25</sup>
PLTR 06/17/2022 CALL \$1	•	054.50		333.00		200.00	
TETT OOF THEOLE ONCE OF	,	06/22. Total proceeds a	and cost renor	ted to the IRS			
	1.000 1.000 1.000 1.000	1.99 1.98 1.99 2.00	05/13/22 05/20/22 05/20/22 05/20/22	10.00 129.02 4.00 4.00	  	-8.01 -127.04 -2.01 -2.00	1 of 4 - Option sale to close-call <sup>25</sup> 2 of 4 - Option sale to close-call <sup>25</sup> 3 of 4 - Option sale to close-call <sup>25</sup> 4 of 4 - Option sale to close-call <sup>25</sup>
06/06/22	4.000	7.96 06/22. Total proceeds a	Various	147.02		-139.06	Total of 4 transactions
06/06/22	1.000 1.000 2.000 Security total:	1.98 2.00 3.98 <b>11.94</b>	03/14/22 05/09/22 Various	120.00 127.02 247.02 <b>394.04</b>	118.02 W 125.02 W 243.04 W <b>243.04 W</b>	0.00 0.00 0.00 - <b>139.06</b>	1 of 2 - Option sale to close-call <sup>25</sup> 2 of 2 - Option sale to close-call <sup>25</sup> Total of 2 transactions
PLTR 06/17/2022 CALL \$1	5.00 / CUSIP: / Symbol:						
	2 transactions for 05/	12/22. Total proceeds a	and cost repor	ted to the IRS.			
05/12/22	1.000 1.000 2.000 7 transactions for 05/	1.99 1.99 3.98 12/22. Total proceeds a	05/09/22 05/09/22 Various and cost repor	202.07 119.05 321.12 ted to the IRS.	  	-200.08 -117.06 -317.14	1 of 2 - Option sale to close-call <sup>25</sup> 2 of 2 - Option sale to close-call <sup>25</sup> Total of 2 transactions
05/40/00	1.000 1.000 1.000 1.000 1.000 1.000	1.98 1.98 1.98 1.98 1.98 1.99 1.99	04/05/22 04/06/22 04/18/22 04/27/22 04/27/22 04/28/22 05/06/22	115.00 85.00 163.02 183.04 105.02 200.06 117.04	113.02 W 83.02 W 161.04 W 181.06 W 103.04 W 198.07 W	0.00 0.00 0.00 0.00 0.00 0.00	1 of 7 - Option sale to close-call <sup>25</sup> 2 of 7 - Option sale to close-call <sup>25</sup> 3 of 7 - Option sale to close-call <sup>25</sup> 4 of 7 - Option sale to close-call <sup>25</sup> 5 of 7 - Option sale to close-call <sup>25</sup> 6 of 7 - Option sale to close-call <sup>25</sup> 7 of 7 - Option sale to close-call <sup>25</sup>
05/12/22	7.000 Security total:	13.88 <b>17.86</b>	Various	968.18 <b>1,289.30</b>	954.30 W <b>954.30 W</b>	0.00 <b>-317.14</b>	Total of 7 transactions

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of property/	CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PLTR 06/24/2022 CALL \$10.	00 / CUSIP: / Symbol:						
05/27/22 05/31/22 06/02/22	1.000 1.000 1.000 Security total:	19.98 23.98 22.98 <b>66.94</b>	05/09/22 05/12/22 05/18/22	30.00 21.02 20.00 <b>71.02</b>	10.02 W   10.02 W	0.00 2.96 2.98 <b>5.94</b>	Option sale to close-call <sup>25</sup> Option sale to close-call <sup>25</sup> Option sale to close-call <sup>25</sup>
PROG 04/14/2022 CALL \$1.5	50 / CUSIP: / Symbol:						
03/23/22 03/25/22	1.000 1.000 2 transactions for 03/28	34.98 34.98 3/22. Total proceeds a	03/21/22 03/21/22 and cost repo	20.00 20.00 rted to the IRS.	 	14.98 14.98	Option sale to close-call <sup>25</sup> Option sale to close-call <sup>25</sup>
03/28/22 04/04/22	1.000 3.000 4.000 2.000	39.98 89.98 129.96 11.98	03/21/22 03/21/22 Various 03/21/22	20.00 60.00 80.00 40.00	  	19.98 29.98 49.96 -28.02	1 of 2 - Option sale to close-call <sup>25</sup> 2 of 2 - Option sale to close-call <sup>25</sup> Total of 2 transactions Option sale to close-call <sup>25</sup>
04/05/22	2.000 Security total:	9.98 <b>221.88</b>	03/21/22	40.00 <b>200.00</b>		-30.02 <b>21.88</b>	Option sale to close-call <sup>25</sup>
PSFE 01/20/2023 CALL \$5.0	0 / CUSIP: / Symbol:						
	5 transactions for 05/10	0/22. Total proceeds a	and cost repo	rted to the IRS.			
	3.000 2.000 2.000 2.000 1.000	68.99 45.99 46.00 45.99 23.00	11/15/21 11/15/21 02/02/22 02/17/22 03/01/22	507.00 338.00 170.00 170.00 54.00	  	-438.01 -292.01 -124.00 -124.01 -31.00	1 of 5 - Option sale to close-call <sup>25</sup> 2 of 5 - Option sale to close-call <sup>25</sup> 3 of 5 - Option sale to close-call <sup>25</sup> 4 of 5 - Option sale to close-call <sup>25</sup> 5 of 5 - Option sale to close-call <sup>25</sup>
05/10/22	10.000	229.97	Various	1,239.00		-1,009.03	Total of 5 transactions
	4 transactions for 05/18	3/22. Total proceeds a	and cost repo	rted to the IRS.		•	
	2.000 2.000 1.000 2.000	41.99 41.99 20.99 41.99	03/02/22 03/02/22 03/02/22 03/14/22	120.00 120.00 60.00 90.00	  	-78.01 -78.01 -39.01 -48.01	1 of 4 - Option sale to close-call <sup>25</sup> 2 of 4 - Option sale to close-call <sup>25</sup> 3 of 4 - Option sale to close-call <sup>25</sup> 4 of 4 - Option sale to close-call <sup>25</sup>
05/18/22	7.000 Security total:	146.96 <b>376.93</b>	Various	390.00 <b>1,629.00</b>		-243.04 <b>-1,252.07</b>	Total of 4 transactions

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

call

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of pro	operty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PSFE 01/21/2022 CA	LL \$5.00 / CUSIP: / Symbol:						
	7 transactions for 01/2	21/22. Total proceeds a	and cost report	ed to the IRS.			
	10.000	0.00	11/11/21	690.00		-690.00	1 of 7 - Option expiration- long cal
	2.000	0.00	11/16/21	100.00		-100.00	2 of 7 - Option expiration- long car
	3.000	0.00	11/18/21	105.00		-105.00	3 of 7 - Option expiration- long car
	3.000	0.00	11/29/21	60.00		-60.00	4 of 7 - Option expiration- long cal
	1.000	0.00	11/29/21	20.00		-20.00	5 of 7 - Option expiration- long cal
	1.000	0.00	11/29/21	20.00		-20.00	6 of 7 - Option expiration- long car
	10.000	0.00	12/03/21	130.00		-130.00	7 of 7 - Option expiration- long car
01/21/22	30.000	0.00	Various	1,125.00		-1,125.00	Total of 7 transactions
PSFE 04/14/2022 CA	LL \$4.00 / CUSIP: / Symbol:						
03/24/22	5.000	74.98	03/02/22	75.00	0.02 W	0.00	Option sale to close-call <sup>25</sup>
	6 transactions for 04/	14/22. Total proceeds a	and cost report	ed to the IRS.			
	5.000	0.00	03/02/22	75.00	···	-75.00	1 of 6 - Option expiration- long cal
	2.000	0.00	03/25/22	18.01	•••	-18.01	2 of 6 - Option expiration- long cal
	1.000	0.00	03/25/22	9.01	•••	-9.01	3 of 6 - Option expiration- long cal
	1.000	0.00	03/25/22	9.00		-9.00	4 of 6 - Option expiration- long cal
	1.000	0.00	03/25/22	9.00		-9.00	5 of 6 - Option expiration- long car
	2.000	0.00	03/30/22	12.00		-12.00	6 of 6 - Option expiration- long cal
04/14/22	12.000	0.00	Various	132.02		-132.02	Total of 6 transactions
	Security total:	74.98		207.02	0.02 W	-132.02	
PSFE 04/14/2022 CA	LL \$5.00 / CUSIP: / Symbol:						
	12 transactions for 04	1/14/22. Total proceeds	and cost repo	rted to the IRS.			
	5.000	0.00	11/15/21	600.00		-600.00	1 of 12 - Option expiration- long call
	2.000	0.00	11/17/21	180.00		-180.00	2 of 12 - Option expiration- long call
	3.000	0.00	11/29/21	180.00		-180.00	3 of 12 - Option expiration- long call
	2.000	0.00	12/06/21	70.00		-70.00	4 of 12 - Option expiration- long call
	1.000	0.00	02/10/22	29.00	•••	-29.00	5 of 12 - Option expiration- long

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of propo	erty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PSFE 04/14/2022 CALL	\$5.00 / CUSIP: / Symbol: (con	nt'd)	-				
	1.000	0.00	02/10/22	29.00		-29.00	6 of 12 - Option expiration- long call
	1.000	0.00	02/10/22	29.00		-29.00	7 of 12 - Option expiration- long call
	3.000	0.00	02/17/22	42.00		-42.00	8 of 12 - Option expiration- long call
	1.000	0.00	02/17/22	15.00		-15.00	9 of 12 - Option expiration- long call
	1.000	0.00	02/17/22	15.00		-15.00	10 of 12 - Option expiration- long call
	7.000	0.00	03/02/22	42.00		-42.00	11 of 12 - Option expiration- long call
	3.000	0.00	03/02/22	18.00		-18.00	12 of 12 - Option expiration- long call
04/14/22	30.000	0.00	Various	1,249.00		-1,249.00	Total of 12 transactions
PSFE 07/15/2022 CALL	\$5.00 / CUSIP: / Symbol:						
	2 transactions for 05/1	8/22. Total proceeds a	and cost report	ted to the IRS.			
	2.000	5.98	04/08/22	30.00		-24.02	1 of 2 - Option sale to close-call 25
	1.000	2.98	04/08/22	15.00	•••	-12.02	2 of 2 - Option sale to close-call 25
05/18/22	3.000	8.96	Various	45.00		-36.04	Total of 2 transactions
PTON 01/07/2022 CALL	\$40.00 / CUSIP: / Symbol:						
01/07/22	2.000	0.00	12/15/21	390.00	···	-390.00	Option expiration- long call
PTON 02/18/2022 CALL	\$55.00 / CUSIP: / Symbol:						
02/07/22	1.000	49.98	11/15/21	380.00		-330.02	Option sale to close-call <sup>25</sup>
02/08/22	1.000	24.98	11/18/21	360.00		-335.02	Option sale to close-call <sup>25</sup>
	Security total:	74.96		740.00		-665.04	·
PTON 03/18/2022 CALL	\$65.00 / CUSIP: / Symbol:						
03/04/22	1.000	3.98	11/05/21	600.00	596.02 W	0.00	Option sale to close-call <sup>25</sup>
	23 transactions for 03/	18/22. Total proceeds	and cost repo	rted to the IRS.			•
	4.000	0.00	11/05/21	2,400.00		-2,400.00	1 of 23 - Option expiration- long call

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#### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

call

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12) "Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol 1c- Date 1d- Proceeds & 1f- Accrued mkt disc (D) & Gain or loss(-) & 6- Reported 1e- Cost or 1q- Wash sale loss 7- Loss not allowed (X) sold or 1b- Date disposed Quantity (G)ross or (N)et acquired other basis disallowed (W) also not reported (Z) Additional information PTON 03/18/2022 CALL \$65.00 / CUSIP: / Symbol: (cont'd) 1.000 0.00 11/08/21 355.00 -355.002 of 23 - Option expiration-long 2.000 0.00 11/18/21 550.00 -550.00 3 of 23 - Option expiration-long call 1.000 0.00 11/18/21 275.00 -275.00 4 of 23 - Option expiration-long call 2 000 0.00 12/29/21 120 00 -120.005 of 23 - Option expiration-long 625.02 1.000 0.00 02/09/22 -625.02 6 of 23 - Option expiration-long call 9.000 0.00 02/09/22 261.00 -261.00 7 of 23 - Option expiration-long 10.000 0.00 02/11/22 150.00 -150.00 8 of 23 - Option expiration-long call 5.000 0.00 02/11/22 115.00 -115.00 9 of 23 - Option expiration-long call 10.000 0.00 02/14/22 100.00 -100.00 10 of 23 - Option expiration-long 5.000 0.00 02/16/22 30.00 -30.00 11 of 23 - Option expiration-long 5.000 0.00 02/16/22 30.00 -30.00 12 of 23 - Option expiration-long call 5.000 0.00 02/16/22 30.00 -30.0013 of 23 - Option expiration- long call 1.000 0.00 02/16/22 6.00 -6.00 14 of 23 - Option expiration-long call 1.000 0.00 02/16/22 6.00 -6.00 15 of 23 - Option expiration-long call 1.000 0.00 02/16/22 6.00 -6.00 16 of 23 - Option expiration-long 1.000 0.00 02/16/22 6.00 -6.00 17 of 23 - Option expiration-long call 0.00 -6.00 1.000 02/16/22 6.00 18 of 23 - Option expiration-long 12.000 0.00 02/17/22 60.00 -60.00 19 of 23 - Option expiration-long

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of property/0	CUSIP/Symbol						
1c- Date		1d- Proceeds &			1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or	O. antitu	6- Reported	1b- Date	1e- Cost or	1g- Wash sale loss	7- Loss not allowed (X)	A dalition of information
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	also not reported (Z)	Additional information
PTON 03/18/2022 CALL \$65.	00 / CUSIP: / Symbol: (co	nt'd)					
	5.000	0.00	02/17/22	20.00		-20.00	20 of 23 - Option expiration- long call
	3.000	0.00	02/17/22	15.00		-15.00	21 of 23 - Option expiration- long call
	3.000	0.00	02/17/22	15.00		-15.00	22 of 23 - Option expiration- long call
	2.000	0.00	02/17/22	10.00		-10.00	23 of 23 - Option expiration- long call
03/18/22	90.000	0.00	Various	5,191.02	•••	-5,191.02	Total of 23 transactions
	Security total:	3.98		5,791.02	596.02 W	-5,191.02	
PTON 04/29/2022 CALL \$25.	00 / CUSIP: / Symbol:						
03/22/22	1.000	459.98	03/14/22	140.00		319.98	Option sale to close-call <sup>25</sup>
PTON 05/20/2022 CALL \$28.	00 / CUSIP: / Symbol:						
03/18/22	1.000	299.98	03/04/22	264.00		35.98	Option sale to close-call <sup>25</sup>
PTRA 02/18/2022 CALL \$10.0	00 / CUSIP: / Symbol:						
	2 transactions for 02/18	/22. Total proceeds a	and cost repo	rted to the IRS.			
	2.000	0.00	10/25/21	320.00	•••	-320.00	1 of 2 - Option expiration- long call
	2.000	0.00	02/09/22	20.00		-20.00	2 of 2 - Option expiration- long call
02/18/22	4.000	0.00	Various	340.00		-340.00	Total of 2 transactions
PTRA 05/20/2022 CALL \$12.5	50 / CUSIP: / Symbol:						
05/10/22	5.000 10 transactions for 05/2	24.98 0/22 Total proceeds	11/05/21	1,050.00 orted to the IRS	1,025.02 W	0.00	Option sale to close-call <sup>25</sup>
	5.000	0.00	11/05/21	1,050.00		-1,050.00	1 of 10 - Option expiration- long
	0.000	0.00	11/00/21	1,000.00	•••	-1,000.00	call
	2.000	0.00	12/06/21	300.00		-300.00	2 of 10 - Option expiration- long call
	1.000	0.00	02/17/22	65.00		-65.00	3 of 10 - Option expiration- long call
	1.000	0.00	02/17/22	65.00		-65.00	4 of 10 - Option expiration- long call
	1.000	0.00	03/02/22	30.00		-30.00	5 of 10 - Option expiration- long call

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#### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12) "Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol 1c- Date 1d- Proceeds & 1f- Accrued mkt disc (D) & Gain or loss(-) & sold or 6- Reported 1e- Cost or 1q- Wash sale loss 7- Loss not allowed (X) 1b- Date disposed Quantity (G)ross or (N)et acquired other basis disallowed (W) also not reported (Z) Additional information PTRA 05/20/2022 CALL \$12.50 / CUSIP: / Symbol: (cont'd) 03/08/22 3.000 0.00 60.00 -60.006 of 10 - Option expiration-long 3.000 0.00 03/16/22 42.00 -42.007 of 10 - Option expiration-long call 10.000 0.00 03/23/22 100.00 -100.00 8 of 10 - Option expiration-long 5 000 0.00 04/14/22 1 050 02 -1.050.029 of 10 - Option expiration-long 0.00 25.00 5.000 04/14/22 -25.0010 of 10 - Option expiration-long call 05/20/22 36.000 0.00 2,787.02 -2,787.02Total of 10 transactions Various Security total: 24.98 3.837.02 -2.787.021,025.02 W PYPL 01/21/2022 CALL \$230.00 / CUSIP: / Symbol: 3 transactions for 01/06/22. Total proceeds and cost reported to the IRS. 2.000 21.98 11/29/21 310.00 -288.02 1 of 3 - Option sale to close-call 25 2 of 3 - Option sale to close-call 25 1.000 10.98 11/29/21 155.00 -144.02 2.000 998.01 3 of 3 - Option sale to close-call 25 21.98 12/08/21 -976.03 01/06/22 5.000 54.94 Various 1.463.01 -1.408.07Total of 3 transactions Option sale to close-call 25 01/06/22 5.000 54.98 11/18/21 1,850.00 718.01 W -1,077.01Security total: 109.92 3,313.01 718.01 W -2,485.08 PYPL 03/11/2022 CALL \$120.00 / CUSIP: / Symbol: 02/25/22 1.000 109.98 02/11/22 440.00 330.02 W 0.00 Option sale to close-call <sup>25</sup> 02/28/22 1 000 139 98 02/17/22 475 02 335 04 W 0.00 Option sale to close-call 25 7 transactions for 03/11/22. Total proceeds and cost reported to the IRS. 1.000 0.00 02/23/22 370.04 -370.04 1 of 7 - Option expiration- long call 2 of 7 - Option expiration- long call 1.000 0.00 02/23/22 35.00 -35.00 1.000 0.00 03/01/22 89.00 -89.00 3 of 7 - Option expiration- long call 1.000 0.00 03/01/22 68.00 -68.00 4 of 7 - Option expiration- long call 1.000 0.00 55.00 -55.00 5 of 7 - Option expiration- long call 03/01/22 2.000 0.00 03/02/22 70.00 -70.00 6 of 7 - Option expiration- long call 1.000 0.00 03/04/22 12.00 -12.007 of 7 - Option expiration- long call Total of 7 transactions 03/11/22 8.000 0.00 Various 699.04 -699.04

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of property	/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PYPL 03/11/2022 CALL \$12	0.00 / CUSIP: / Symbol: (co	nt'd)					
	Security total:	249.96		1,614.06	665.06 W	-699.04	
PYPL 03/11/2022 CALL \$13	0.00 / CUSIP: / Symbol:						
	9 transactions for 03/11/	22. Total proceeds a	and cost repo	rted to the IRS.			
03/11/22 PYPL 03/25/2022 CALL \$12	1.000 1.000 1.000 3.000 9.000 5.000 1.000 10.000 36.000	0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.0	02/08/22 02/17/22 02/22/22 02/23/22 03/01/22 03/01/22 03/01/22 03/02/22 Various	295.00 48.00 23.00 33.00 126.00 100.00 80.00 14.00 80.00 799.00		-295.00 -48.00 -23.00 -33.00 -126.00 -100.00 -80.00 -14.00 -80.00 -799.00	1 of 9 - Option expiration- long call 2 of 9 - Option expiration- long call 3 of 9 - Option expiration- long call 4 of 9 - Option expiration- long call 5 of 9 - Option expiration- long call 6 of 9 - Option expiration- long call 7 of 9 - Option expiration- long call 8 of 9 - Option expiration- long call 9 of 9 - Option expiration- long call Total of 9 transactions
03/22/22	1.000	109.98	03/21/22	80.00		29.98	Option sale to close-call <sup>25</sup>
PYPL 04/08/2022 CALL \$11	6.00 / CUSIP: / Symbol:						
04/06/22 PYPL 04/14/2022 CALL \$12	1.000	74.98	04/06/22	63.00		11.98	Option sale to close-call <sup>25</sup>
04/06/22	1.000	76.98	04/06/22	65.00		11.98	Option sale to close-call <sup>25</sup>
PYPL 04/22/2022 CALL \$10	5.00 / CUSIP: / Symbol:						
	4 transactions for 04/22/	22. Total proceeds a	and cost repo	rted to the IRS.			
04/22/22	1.000 1.000 1.000 3.000 6.000	0.00 0.00 0.00 0.00 0.00	03/08/22 03/11/22 04/14/22 04/20/22 Various	410.00 330.00 175.00 39.00 954.00	  	-410.00 -330.00 -175.00 -39.00 -954.00	1 of 4 - Option expiration- long call 2 of 4 - Option expiration- long call 3 of 4 - Option expiration- long call 4 of 4 - Option expiration- long call Total of 4 transactions

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of proper	ty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PYPL 04/29/2022 PUT \$80		(-, ( ,			, , ,		
	2 transactions for 04/2	1/22 Total proceeds a	and cost repor	ted to the IRS			
	1.000	162.98	04/21/22	84.00	•••	78.98	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	197.98	04/21/22	75.00		122.98	2 of 2 - Option sale to close-put <sup>25</sup>
04/21/22	2.000	360.96	Various	159.00	•••	201.96	Total of 2 transactions
PYPL 05/20/2022 CALL \$1	115.00 / CUSIP: / Symbol:						
	5 transactions for 05/1	2/22. Total proceeds a	and cost repor	ted to the IRS.			
	1.000	2.00	05/04/22	302.00		-300.00	1 of 5 - Option sale to close-call 25
	1.000	1.99	05/05/22	208.01		-206.02	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	1.98	05/06/22	418.00		-416.02	3 of 5 - Option sale to close-call 25
	1.000	1.99	05/06/22	26.01	***	-24.02	4 of 5 - Option sale to close-call 25
	1.000	2.00	05/06/22	26.00	***	-24.00	5 of 5 - Option sale to close-call 25
05/12/22	5.000	9.96	Various	980.02		-970.06	Total of 5 transactions
	6 transactions for 05/1	2/22. Total proceeds a	and cost repor	ted to the IRS.			
	1.000	2.00	03/07/22	390.00	388.00 W	0.00	1 of 6 - Option sale to close-call 25
	1.000	2.00	03/08/22	290.00	288.00 W	0.00	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	1.99	04/18/22	200.00	198.01 W	0.00	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	2.00	04/28/22	19.00	17.00 W	0.00	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	1.99	04/28/22	19.00	17.01 W	0.00	5 of 6 - Option sale to close-call <sup>25</sup>
	1.000	2.00	05/03/22	411.00	409.00 W	0.00	6 of 6 - Option sale to close-call <sup>25</sup>
05/12/22	6.000	11.98	Various	1,329.00	1,317.02 W	0.00	Total of 6 transactions
	Security total:	21.94		2,309.02	1,317.02 W	-970.06	
PYPL 05/20/2022 CALL \$1	130.00 / CUSIP: / Symbol:						
	3 transactions for 05/0	6/22. Total proceeds a	and cost repor	ted to the IRS.			
	1.000	4.99	04/13/22	100.00	···	-95.01	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	5.00	04/14/22	72.00	•••	-67.00	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	4.99	04/20/22	45.00	***	-40.01	3 of 3 - Option sale to close-call 25
05/06/22	3.000	14.98	Various	217.00		-202.02	Total of 3 transactions
05/06/22	1.000	5.00	03/17/22	230.00	225.00 W	0.00	Option sale to close-call <sup>25</sup>
05/12/22	1.000	0.98	05/02/22	235.00	···	-234.02	Option sale to close-call <sup>25</sup>
	Security total:	20.96		682.00	225.00 W	-436.04	

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#### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12) "Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol 1c- Date 1d- Proceeds & 1f- Accrued mkt disc (D) & Gain or loss(-) & sold or 6- Reported 1e- Cost or 1q- Wash sale loss 7- Loss not allowed (X) 1b- Date disposed Quantity (G)ross or (N)et acquired other basis disallowed (W) also not reported (Z) Additional information PYPL 06/17/2022 CALL \$100.00 / CUSIP: / Symbol: 8 transactions for 06/10/22. Total proceeds and cost reported to the IRS. 1.000 4.98 04/21/22 455.00 450.02 W 0.00 1 of 8 - Option sale to close-call 25 2.000 9.99 04/21/22 910.00 0.00 2 of 8 - Option sale to close-call 25 900.01 W 1.000 5.00 04/28/22 212.00 207.00 W 0.00 3 of 8 - Option sale to close-call 25 2.000 9.99 05/05/22 422.00 412.01 W 0.00 4 of 8 - Option sale to close-call 25 1.000 4.98 05/05/22 211.00 206.02 W 0.00 5 of 8 - Option sale to close-call 25 6 of 8 - Option sale to close-call 25 3.000 14.98 05/05/22 633.00 618.02 W 0.00 3.000 14.99 05/05/22 552.00 537.01 W 0.00 7 of 8 - Option sale to close-call 25 3.000 14.99 05/06/22 465.00 450.01 W 0.00 8 of 8 - Option sale to close-call 25 Total of 8 transactions 06/10/22 16.000 79.90 Various 3,860.00 3,780.10 W 0.00 24 transactions for 06/13/22. Total proceeds and cost reported to the IRS. 1.000 5.00 05/11/22 525.02 -520.02 1 of 24 - Option sale to close-call 1.000 4.99 05/11/22 525.01 -520.02 2 of 24 - Option sale to close-call 1.000 5.00 05/11/22 525.00 -520.00 3 of 24 - Option sale to close-call 2.000 9.99 05/12/22 544.01 -534.02 4 of 24 - Option sale to close-call 2.000 9.99 05/12/22 522 01 -512.02 5 of 24 - Option sale to close-call 2.000 3.99 05/12/22 454.01 -450.02 6 of 24 - Option sale to close-call 2.000 3.99 05/12/22 396.01 -392.02 7 of 24 - Option sale to close-call 1.000 5.00 05/12/22 273.00 -268.00 8 of 24 - Option sale to close-call 1.000 4.99 05/12/22 261.02 -256.03 9 of 24 - Option sale to close-call 1.000 5.00 05/12/22 261.01 -256.01 10 of 24 - Option sale to close-call 227.00 1.000 4.98 05/12/22 -222.02 11 of 24 - Option sale to close-call

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of property/CUS	SIP/Symbol						
1c- Date		1d- Proceeds &			1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or disposed	Quantity	6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Additional information
<b>-</b>	· · · · · · · · · · · · · · · · · · ·	( ) ( )	acquireu	Other Dasis	uisailoweu (VV)	also not reported (2)	Additional information
PYPL 06/17/2022 CALL \$100.00	) / CUSIP: / Symbol: (co	ont'd)					
	1.000	1.98	05/12/22	198.00		-196.02	12 of 24 - Option sale to close-call
	1.000	1.98	05/12/22	46.00		-44.02	13 of 24 - Option sale to close-call
	1.000	1.98	05/12/22	46.00	···	-44.02	14 of 24 - Option sale to close-call
	1.000	1.98	05/12/22	46.00		-44.02	15 of 24 - Option sale to close-call
	1.000	1.99	05/17/22	186.00		-184.01	16 of 24 - Option sale to close-call
	1.000	1.99	05/26/22	167.01		-165.02	17 of 24 - Option sale to close-call
	1.000	2.00	05/26/22	167.00		-165.00	18 of 24 - Option sale to close-call
	1.000	1.99	05/26/22	137.01		-135.02	19 of 24 - Option sale to close-call
	1.000	2.00	05/26/22	137.01		-135.01	20 of 24 - Option sale to close-call
	1.000	2.00	06/09/22	130.00		-128.00	21 of 24 - Option sale to close-call
	1.000	1.99	06/09/22	100.01		-98.02	22 of 24 - Option sale to close-call
	1.000	1.99	06/09/22	98.01		-96.02	23 of 24 - Option sale to close-call
	1.000	2.00	06/09/22	98.00		-96.00	24 of 24 - Option sale to close-call
06/13/22	28.000	88.79	Various	6,069.15		-5,980.36	Total of 24 transactions
	6 transactions for 06/13	/22. Total proceeds a	and cost repo			,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	
	1.000	5.00	05/06/22	139.00	134.00 W	0.00	1 of 6 - Option sale to close-call <sup>25</sup>
	2.000	9.99	05/06/22	290.00	280.01 W	0.00	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	4.99	05/09/22	115.00	110.01 W	0.00	3 of 6 - Option sale to close-call <sup>25</sup>
	2.000	9.99	05/09/22	230.00	220.01 W	0.00	4 of 6 - Option sale to close-call <sup>25</sup>
	2.000	9.99	05/10/22	170.00	80.01 W	-80.00	5 of 6 - Option sale to close-call <sup>25</sup>
	2.000	9.99	05/10/22	170.00	160.01 W	0.00	6 of 6 - Option sale to close-call 25
06/13/22	10.000	49.95	Various	1,114.00	984.05 W	-80.00	Total of 6 transactions

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of prope	erty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PYPL 06/17/2022 CALL	\$100.00 / CUSIP: / Symbol: (	cont'd)					
	Security total:	218.64		11,043.15	4,764.15 W	-6,060.36	
PYPL 06/17/2022 CALL	\$115.00 / CUSIP: / Symbol:						
	15 transactions for 05	/24/22. Total proceeds	and cost repo	rted to the IRS.			
	1.000	8.00	03/07/22	435.00		-427.00	1 of 15 - Option sale to close-call
	1.000	8.00	03/08/22	440.00		-432.00	2 of 15 - Option sale to close-call
	1.000	8.00	04/14/22	395.00		-387.00	3 of 15 - Option sale to close-call
	1.000	8.00	04/21/22	176.00		-168.00	4 of 15 - Option sale to close-call
	2.000	15.99	04/28/22	122.00		-106.01	5 of 15 - Option sale to close-call
	1.000	8.00	04/28/22	59.00		-51.00	6 of 15 - Option sale to close-call
	1.000	8.00	05/04/22	69.00		-61.00	7 of 15 - Option sale to close-call
	1.000	8.00	05/05/22	55.00		-47.00	8 of 15 - Option sale to close-call
	3.000	23.99	05/06/22	129.00		-105.01	9 of 15 - Option sale to close-call
	1.000	8.00	05/06/22	43.00		-35.00	10 of 15 - Option sale to close-car
	2.000	15.99	05/09/22	66.00		-50.01	11 of 15 - Option sale to close-ca.
	2.000	15.99	05/12/22	42.00		-26.01	12 of 15 - Option sale to close-car
	1.000	8.00	05/12/22	19.00		-11.00	13 of 15 - Option sale to close-cal
	1.000	7.99	05/12/22	15.00		-7.01	14 of 15 - Option sale to close-car
	1.000	8.00	05/16/22	17.00		-9.00	15 of 15 - Option sale to close-car
05/24/22	20.000	159.95	Various	2,082.00		-1,922.05	Total of 15 transactions

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of propo	erty/CUSIP/Symbol						
1c- Date		1d- Proceeds &			1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or		6- Reported	1b- Date	1e- Cost or	1g- Wash sale loss	7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	also not reported (Z)	Additional information
PYPL 06/17/2022 CALL	\$130.00 / CUSIP: / Symbol:						
	9 transactions for 05/2	12/22. Total proceeds a	and cost repo	orted to the IRS.			
	1.000	8.00	04/13/22	160.00		-152.00	1 of 9 - Option sale to close-call <sup>25</sup>
	1.000	8.00	04/14/22	135.00		-127.00	2 of 9 - Option sale to close-call <sup>25</sup>
	1.000	8.00	04/18/22	100.00		-92.00	3 of 9 - Option sale to close-call <sup>25</sup>
	1.000	7.99	04/20/22	85.00		-77.01	4 of 9 - Option sale to close-call <sup>25</sup>
	1.000	8.00	04/28/22	26.00		-18.00	5 of 9 - Option sale to close-call <sup>25</sup>
	2.000	15.99	05/04/22	50.00		-34.01	6 of 9 - Option sale to close-call <sup>25</sup>
	1.000	7.99	05/06/22	20.00		-12.01	7 of 9 - Option sale to close-call <sup>25</sup>
	1.000	7.98	05/11/22	338.00		-330.02	8 of 9 - Option sale to close-call <sup>25</sup>
	3.000	23.99	05/11/22	33.00		-9.01	9 of 9 - Option sale to close-call <sup>25</sup>
05/12/22	12.000	95.94	Various	947.00		-851.06	Total of 9 transactions
05/12/22	1.000	8.00	03/17/22	335.00	327.00 W	0.00	Option sale to close-call <sup>25</sup>
	Security total:	103.94		1,282.00	327.00 W	-851.06	•
PYPL 06/17/2022 CALL	\$150.00 / CUSIP: / Symbol:						
	3 transactions for 05/	11/22. Total proceeds a	and cost repo	rted to the IRS.			
	1.000	4.99	03/21/22	170.00	165.01 W	0.00	1 of 3 - Option sale to close-call 25
	1.000	4.99	03/24/22	150.00	145.01 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	3.98	04/06/22	115.00	111.02 W	0.00	3 of 3 - Option sale to close-call 25
05/11/22	3.000	13.96	Various	435.00	421.04 W	0.00	Total of 3 transactions
00/11/22		12/22. Total proceeds a				0.00	
	2.000	7.99	04/28/22	112.01		-104.02	1 of 6 - Option sale to close-call 25
	1.000	4.00	05/06/22	299.01		-295.01	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	3.99	05/06/22	271.03		-267.04	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	3.98	05/06/22	226.02		-222.04	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	3.98	05/06/22	85.02		-81.04	5 of 6 - Option sale to close-call <sup>25</sup>
	1.000	3.98	05/06/22	72.00		-68.02	6 of 6 - Option sale to close-call <sup>25</sup>
05/12/22	7.000	27.92	Various	1,065.09		-1,037.17	Total of 6 transactions
		/12/22. Total proceeds				,	
	1.000	4.00	04/11/22	250.01	246.01 W	0.00	1 of 11 - Option sale to close-call
	1.000	3.99	04/12/22	235.01	231.02 W	0.00	2 of 11 - Option sale to close-call <sup>25</sup>

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of pro	operty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PYPL 06/17/2022 CAI	LL \$150.00 / CUSIP: / Symbol: (	cont'd)					
	1.000	4.00	04/12/22	190.02	186.02 W	0.00	3 of 11 - Option sale to close-call
	1.000	3.99	04/13/22	53.00	49.01 W	0.00	4 of 11 - Option sale to close-call
	1.000	4.00	04/13/22	53.00	49.00 W	0.00	5 of 11 - Option sale to close-call
	2.000	7.99	04/14/22	90.00	82.01 W	0.00	6 of 11 - Option sale to close-call
	1.000	4.00	04/14/22	291.01	287.01 W	0.00	7 of 11 - Option sale to close-call
	1.000	3.99	04/20/22	263.02	259.03 W	0.00	8 of 11 - Option sale to close-call
	1.000	4.00	04/20/22	218.02	214.02 W	0.00	9 of 11 - Option sale to close-call
	1.000	3.99	04/21/22	77.01	73.02 W	0.00	10 of 11 - Option sale to close-call
	1.000	4.00	04/28/22	64.00	60.00 W	0.00	11 of 11 - Option sale to close-call
05/12/22	12.000	47.95	Various	1,784.10	1,736.15 W	0.00	Total of 11 transactions
	Security total:	89.83		3,284.19	2,157.19 W	-1,037.17	
PYPL 06/17/2022 CAI	LL \$220.00 / CUSIP: / Symbol:						
	12 transactions for 04	l/28/22. Total proceeds	and cost repo	rted to the IRS.			
	4.000	19.99	03/14/22	72.00		-52.01	1 of 12 - Option sale to close-call
	2.000	9.99	03/14/22	36.00		-26.01	2 of 12 - Option sale to close-call
	1.000	4.99	03/14/22	18.00		-13.01	3 of 12 - Option sale to close-call
	1.000	4.99	03/14/22	18.00		-13.01	4 of 12 - Option sale to close-call
	1.000	5.00	03/14/22	18.00		-13.00	5 of 12 - Option sale to close-call
	1.000	5.00	03/14/22	18.00		-13.00	6 of 12 - Option sale to close-call

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of pro	perty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PYPL 06/17/2022 CAL	L \$220.00 / CUSIP: / Symbol: (	cont'd)					
	13.000	64.96	03/16/22	221.00		-156.04	7 of 12 - Option sale to close-call
	3.000	14.98	03/16/22	51.00		-36.02	8 of 12 - Option sale to close-call
	2.000	9.98	03/16/22	34.00		-24.02	9 of 12 - Option sale to close-call
	1.000	4.98	03/16/22	17.00		-12.02	10 of 12 - Option sale to close-call
	1.000	5.00	03/16/22	17.00		-12.00	11 of 12 - Option sale to close-call
	1.000	5.00	04/14/22	25.00		-20.00	12 of 12 - Option sale to close-call
04/28/22 04/28/22	31.000 1.000	154.86 5.00	Various 03/14/22	545.00 18.00	 13.00 W	-390.14 0.00	Total of 12 transactions Option sale to close-call <sup>25</sup>
	Security total:	159.86		563.00	13.00 W	-390.14	
PYPL 07/01/2022 CAL	L \$100.00 / CUSIP: / Symbol:						
05/27/22 06/09/22	1.000 1.000	99.98 69.98	05/20/22 06/09/22	85.00 85.00	 	14.98 -15.02	Option sale to close-call <sup>25</sup> Option sale to close-call <sup>25</sup>
	Security total:	169.96		170.00	***	-0.04	
PYPL 07/15/2022 CAL	L \$100.00 / CUSIP: / Symbol:						
05/12/22 05/12/22 06/01/22	3.000 5.000 1.000 11 transactions for 06	311.99 519.98 199.98 /29/22. Total proceeds	05/10/22 05/10/22 05/18/22 and cost repo	480.00 1,000.00 221.00 orted to the IRS.	288.01 W 21.02 W	-168.01 -192.01 0.00	Option sale to close-call <sup>25</sup> Option sale to close-call <sup>25</sup> Option sale to close-call <sup>25</sup>
	1.000	4.99	06/10/22	179.01	174.02 W	0.00	1 of 11 - Option sale to close-call
	1.000	5.00	06/10/22	161.00	156.00 W	0.00	2 of 11 - Option sale to close-call
	1.000	4.99	06/13/22	61.02	56.03 W	0.00	3 of 11 - Option sale to close-call
	1.000	4.99	06/14/22	211.02	206.03 W	0.00	4 of 11 - Option sale to close-call

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT re 1a- Description of proper	•						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PYPL 07/15/2022 CALL \$	100.00 / CUSIP: / Symbol: (	cont'd)					
	1.000	4.99	06/14/22	92.03	87.04 W	0.00	5 of 11 - Option sale to close-call
	1.000	4.99	06/14/22	192.00	187.01 W	0.00	6 of 11 - Option sale to close-call
	1.000	4.99	06/14/22	237.03	232.04 W	0.00	7 of 11 - Option sale to close-call
	1.000	4.99	06/16/22	107.04	102.05 W	0.00	8 of 11 - Option sale to close-call
	1.000	5.00	06/16/22	207.01	202.01 W	0.00	9 of 11 - Option sale to close-call
	1.000	4.99	06/16/22	252.04	247.05 W	0.00	10 of 11 - Option sale to close-call
	1.000	4.98	06/16/22	120.05	115.07 W	0.00	11 of 11 - Option sale to close-call
06/29/22	11.000 2 transactions for 06/3	54.90 30/22. Total proceeds a	Various and cost report	1,819.25 ted to the IRS.	1,764.35 W	0.00	Total of 11 transactions
06/30/22	1.000 1.000 2.000 5 transactions for 06/3	4.99 4.99 9.98 80/22. Total proceeds a	06/28/22 06/28/22 Various	223.01 132.07 355.08 ted to the IRS	 	-218.02 -127.08 -345.10	1 of 2 - Option sale to close-call <sup>25</sup> 2 of 2 - Option sale to close-call <sup>25</sup> Total of 2 transactions
	1.000 1.000 1.000 1.000 1.000	4.00 4.00 3.99 4.00 3.99	06/17/22 06/22/22 06/22/22 06/27/22 06/27/22	218.01 127.07 259.05 13.00 13.00	214.01 W 123.07 W 255.06 W 9.00 W 9.01 W	0.00 0.00 0.00 0.00 0.00	1 of 5 - Option sale to close-call <sup>25</sup> 2 of 5 - Option sale to close-call <sup>25</sup> 3 of 5 - Option sale to close-call <sup>25</sup> 4 of 5 - Option sale to close-call <sup>25</sup> 5 of 5 - Option sale to close-call <sup>25</sup>
06/30/22	5.000 3 transactions for 07/0	19.98	Various	630.13	610.15 W	0.00	Total of 5 transactions
07/07/00	1.000 1.000 1.000	3.99 3.99 4.00	06/28/22 06/28/22 06/28/22	264.06 18.01 18.00	 	-260.07 -14.02 -14.00	1 of 3 - Option sale to close-call <sup>25</sup> 2 of 3 - Option sale to close-call <sup>25</sup> 3 of 3 - Option sale to close-call <sup>25</sup>
07/05/22	3.000 Security total:	11.98 <b>1,128.79</b>	Various	300.07 <b>4,805.53</b>	2,683.53 W	-288.09 <b>-993.21</b>	Total of 3 transactions

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of proper	rty/CUSIP/Symbol						
1c- Date		1d- Proceeds &			1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or	0	6- Reported	1b- Date	1e- Cost or	1g- Wash sale loss	7- Loss not allowed (X)	A .d. allala
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	also not reported (Z)	Additional information
PYPL 07/15/2022 CALL \$	115.00 / CUSIP: / Symbol:						
	4 transactions for 06/10	0/22. Total proceeds a	and cost repo	orted to the IRS.			
	1.000	20.00	05/05/22	109.00	89.00 W	0.00	1 of 4 - Option sale to close-call 25
	1.000	20.00	05/09/22	65.00	45.00 W	0.00	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	19.99	05/11/22	51.00	31.01 W	0.00	3 of 4 - Option sale to close-call <sup>25</sup>
	4.000	79.98	05/12/22	152.00	72.02 W	0.00	4 of 4 - Option sale to close-call <sup>25</sup>
06/10/22	7.000	139.97	Various	377.00	237.03 W	0.00	Total of 4 transactions
	8 transactions for 06/13	3/22. Total proceeds a	and cost repo	orted to the IRS.			
	1.000	9.98	05/12/22	127.00	117.02 W	0.00	1 of 8 - Option sale to close-call <sup>25</sup>
	1.000	10.00	05/12/22	83.00	73.00 W	0.00	2 of 8 - Option sale to close-call <sup>25</sup>
	1.000	10.00	05/12/22	69.01	59.01 W	0.00	3 of 8 - Option sale to close-call <sup>25</sup>
	3.000	29.99	05/12/22	114.00	84.01 W	0.00	4 of 8 - Option sale to close-call <sup>25</sup>
	1.000	9.99	05/13/22	69.01	59.02 W	0.00	5 of 8 - Option sale to close-call 25
	1.000	10.00	05/13/22	71.00	61.00 W	0.00	6 of 8 - Option sale to close-call <sup>25</sup>
	1.000	9.99	05/17/22	58.01	48.02 W	0.00	7 of 8 - Option sale to close-call <sup>25</sup>
	1.000	9.98	05/17/22	58.00	48.02 W	0.00	8 of 8 - Option sale to close-call <sup>25</sup>
06/13/22	10.000	99.93	Various	649.03	549.10 W	0.00	Total of 8 transactions
	10 transactions for 06/1	4/22. Total proceeds	and cost rep	ported to the IRS.			
	1.000	9.98	05/24/22	88.02		-78.04	1 of 10 - Option sale to close-call
	1.000	9.98	05/24/22	56.00		-46.02	2 of 10 - Option sale to close-call
	1.000	9.98	05/26/22	177.04		-167.06	3 of 10 - Option sale to close-call
	1.000	9.98	05/26/22	133.02		-123.04	4 of 10 - Option sale to close-call
	1.000	9.98	05/26/22	86.00		-76.02	5 of 10 - Option sale to close-call
	1.000	9.98	05/26/22	73.02		-63.04	6 of 10 - Option sale to close-call
	1.000	9.98	05/26/22	73.02		-63.04	7 of 10 - Option sale to close-call
	1.000	9.98	06/07/22	131.03		-121.05	8 of 10 - Option sale to close-call <sup>25</sup>

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of property	//CUSIP/Symbol						
1c- Date		1d- Proceeds &			1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or		6- Reported	1b- Date	1e- Cost or	1g- Wash sale loss	7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	also not reported (Z)	Additional information
PYPL 07/15/2022 CALL \$11	15.00 / CUSIP: / Symbol: (co	ont'd)					
	1.000	9.98	06/09/22	80.03		-70.05	9 of 10 - Option sale to close-call
	1.000	9.98	06/09/22	78.02		-68.04	10 of 10 - Option sale to close-call
06/14/22	10.000	99.80	Various	975.20		-875.40	Total of 10 transactions
	5 transactions for 06/14	/22. Total proceeds a	and cost repo	rted to the IRS.			
	1.000	9.98	05/17/22	162.02	152.04 W	0.00	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	9.98	05/17/22	118.00	108.02 W	0.00	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	9.98	05/17/22	103.01	93.03 W	0.00	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	9.98	05/24/22	56.01	46.03 W	0.00	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	9.98	05/24/22	56.00	46.02 W	0.00	5 of 5 - Option sale to close-call <sup>25</sup>
06/14/22	5.000	49.90	Various	495.04	445.14 W	0.00	Total of 5 transactions
	Security total:	389.60		2,496.27	1,231.27 W	-875.40	
PYPL 07/15/2022 CALL \$15	50.00 / CUSIP: / Symbol:						
	4 transactions for 05/12	/22. Total proceeds a	and cost repo	rted to the IRS.			
	1.000	12.00	04/20/22	48.00		-36.00	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	11.99	04/28/22	21.00		-9.01	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	11.99	05/06/22	14.00		-2.01	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	12.00	05/11/22	12.00	···	0.00	4 of 4 - Option sale to close-call <sup>25</sup>
05/12/22	4.000	47.98	Various	95.00		-47.02	Total of 4 transactions
PYPL 07/15/2022 CALL \$22	20.00 / CUSIP: / Symbol:						
	2 transactions for 04/28	/22. Total proceeds a	and cost repo	rted to the IRS.			
	6.000	29.98	03/17/22	108.00		-78.02	1 of 2 - Option sale to close-call <sup>25</sup>
	4.000	19.99	03/17/22	72.00		-52.01	2 of 2 - Option sale to close-call <sup>25</sup>
04/28/22	10.000	49.97	Various	180.00		-130.03	Total of 2 transactions
05/11/22	3.000	8.98	03/21/22	63.00		-54.02	Option sale to close-call <sup>25</sup>
	Security total:	58.95		243.00		-184.05	
PYPL 09/16/2022 CALL \$11	15.00 / CUSIP: / Symbol:						
	4 transactions for 04/21	/22. Total proceeds a	and cost repo	orted to the IRS.			
	1.000	400.00	02/17/22	1,250.00		-850.00	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	399.99	03/03/22	880.00		-480.01	2 of 4 - Option sale to close-call <sup>25</sup>

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of proper	ty/CUSIP/Symbol						
1c- Date		1d- Proceeds &			1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or		6- Reported	1b- Date	1e- Cost or	1g- Wash sale loss	7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	also not reported (Z)	Additional information
PYPL 09/16/2022 CALL \$1	115.00 / CUSIP: / Symbol: (c	ont'd)					
	1.000	400.00	03/07/22	885.00	***	-485.00	3 of 4 - Option sale to close-call 25
	1.000	399.99	03/07/22	870.00		-470.01	4 of 4 - Option sale to close-call 25
04/21/22	4.000	1,599.98	Various	3,885.00		-2,285.02	Total of 4 transactions
	3 transactions for 05/0	5/22. Total proceeds a	and cost repo	orted to the IRS.			
	4.000	1,159.98	03/07/22	3,520.00	···	-2,360.02	1 of 3 - Option sale to close-call <sup>25</sup>
	3.000	779.98	03/07/22	2,640.00		-1,860.02	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	290.00	03/07/22	870.00		-580.00	3 of 3 - Option sale to close-call <sup>25</sup>
05/05/22	8.000	2,229.96	Various	7,030.00		-4,800.04	Total of 3 transactions
05/06/22	2.000	477.98	03/07/22	1,760.00	•••	-1,282.02	Option sale to close-call <sup>25</sup>
	9 transactions for 05/1	0/22. Total proceeds a	and cost repo	orted to the IRS.			
	1.000	207.00	03/07/22	880.00		-673.00	1 of 9 - Option sale to close-call <sup>25</sup>
	1.000	207.00	03/07/22	845.00		-638.00	2 of 9 - Option sale to close-call <sup>25</sup>
	1.000	206.99	03/07/22	830.00		-623.01	3 of 9 - Option sale to close-call <sup>25</sup>
	1.000	207.00	03/07/22	800.00		-593.00	4 of 9 - Option sale to close-call <sup>25</sup>
	1.000	172.00	03/07/22	735.00		-563.00	5 of 9 - Option sale to close-call <sup>25</sup>
	1.000	206.99	03/07/22	760.00		-553.01	6 of 9 - Option sale to close-call <sup>25</sup>
	2.000	343.99	03/08/22	1,300.00		-956.01	7 of 9 - Option sale to close-call <sup>25</sup>
	1.000	172.00	03/08/22	720.00		-548.00	8 of 9 - Option sale to close-call <sup>25</sup>
	1.000	171.99	03/11/22	700.00		-528.01	9 of 9 - Option sale to close-call <sup>25</sup>
05/10/22	10.000	1,894.96	Various	7,570.00	•••	-5,675.04	Total of 9 transactions
	Security total:	6,202.88		20,245.00		-14,042.12	
PYPL 09/16/2022 CALL \$1	160.00 / CUSIP: / Symbol:						
	6 transactions for 05/0	6/22. Total proceeds a	and cost repo	orted to the IRS.			
	5.000	204.98	03/22/22	1,430.00	1,225.02 W	0.00	1 of 6 - Option sale to close-call <sup>25</sup>
	2.000	81.99	03/31/22	540.00	458.01 W	0.00	2 of 6 - Option sale to close-call 25
	1.000	41.00	03/31/22	250.00	209.00 W	0.00	3 of 6 - Option sale to close-call 25
	1.000	41.00	04/06/22	215.00	174.00 W	0.00	4 of 6 - Option sale to close-call 25
	1.000	41.00	04/07/22	200.00	159.00 W	0.00	5 of 6 - Option sale to close-call <sup>25</sup>
	2.000	81.99	04/12/22	380.00	298.01 W	0.00	6 of 6 - Option sale to close-call <sup>25</sup>
05/06/22	12.000	491.96	Various	3,015.00	2,523.04 W	0.00	Total of 6 transactions

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)
Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)
"Goin or loss (1)" is NOT reported to the IRS.

"G	aın or	loss (-	)" IS NO I	reported to the IF	S.
-					

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PYPL 09/16/2022 CALL S	\$160.00 / CUSIP: / Symbol: (c	cont'd)					
	10 transactions for 05/	09/22. Total proceeds	and cost repo	rted to the IRS.			
	1.000	35.00	04/12/22	435.00		-400.00	1 of 10 - Option sale to close-call
	3.000	104.99	04/13/22	1,110.01		-1,005.02	2 of 10 - Option sale to close-call
	1.000	35.00	04/13/22	385.01		-350.01	3 of 10 - Option sale to close-call
	1.000	35.00	04/13/22	354.01		-319.01	4 of 10 - Option sale to close-call
	1.000	34.99	04/14/22	344.00		-309.01	5 of 10 - Option sale to close-call
	1.000	35.00	04/14/22	324.00		-289.00	6 of 10 - Option sale to close-call
	1.000	34.99	04/14/22	289.00		-254.01	7 of 10 - Option sale to close-call
	1.000	35.00	04/14/22	274.00		-239.00	8 of 10 - Option sale to close-call
	1.000	34.99	04/14/22	264.01		-229.02	9 of 10 - Option sale to close-call
	1.000	35.00	04/18/22	245.00		-210.00	10 of 10 - Option sale to close-call
05/09/22	12.000 Security total:	419.96 <b>911.92</b>	Various	4,024.04 <b>7,039.04</b>	 2,523.04 W	-3,604.08 <b>-3,604.08</b>	Total of 10 transactions
QS 01/14/2022 CALL \$30	0.00 / CUSIP: / Symbol:						
01/14/22	1.000	0.00	12/22/21	45.00		-45.00	Option expiration- long call
QS 02/04/2022 CALL \$19	9.00 / CUSIP: / Symbol:						
02/04/22	1.000	0.00	01/20/22	100.00		-100.00	Option expiration- long call
RDW 01/21/2022 CALL \$	\$10.00 / CUSIP: / Symbol:						
01/21/22	5.000	0.00	12/08/21	275.00		-275.00	Option expiration- long call
RDW 02/18/2022 CALL \$	\$10.00 / CUSIP: / Symbol:						
02/18/22	3.000	0.00	12/01/21	315.00		-315.00	Option expiration- long call

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12) "Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol 1c- Date 1d- Proceeds & 1f- Accrued mkt disc (D) & Gain or loss(-) & sold or 6- Reported 1e- Cost or 1q- Wash sale loss 7- Loss not allowed (X) 1b- Date also not reported (Z) Additional information disposed Quantity (G)ross or (N)et acquired other basis disallowed (W) RDW 02/18/2022 CALL \$12.50 / CUSIP: / Symbol: 4 transactions for 02/18/22. Total proceeds and cost reported to the IRS. 5.000 0.00 11/10/21 800.00 -800.00 1 of 4 - Option expiration- long call 1.000 0.00 11/16/21 130.00 2 of 4 - Option expiration- long call -130.00 7.000 0.00 12/03/21 315.00 -315.00 3 of 4 - Option expiration- long call 3.000 0.00 12/03/21 135.00 -135.00 4 of 4 - Option expiration- long call 02/18/22 16.000 0.00 Various 1,380.00 -1,380.00 Total of 4 transactions RDW 02/18/2022 CALL \$15.00 / CUSIP: / Symbol: 5 transactions for 02/18/22. Total proceeds and cost reported to the IRS. 5.000 0.00 11/05/21 1.000.00 -1.000.00 1 of 5 - Option expiration- long call 1.000 0.00 11/08/21 180.00 2 of 5 - Option expiration- long call -180.00 2.000 0.00 11/10/21 200.00 -200.00 3 of 5 - Option expiration- long call 4.000 0.00 360.00 4 of 5 - Option expiration- long call 11/16/21 -360.00 3.000 0.00 11/29/21 240.00 -240.00 5 of 5 - Option expiration- long call 02/18/22 Total of 5 transactions 15.000 0.00 Various 1.980.00 -1.980.00RDW 02/18/2022 CALL \$5.00 / CUSIP: / Symbol: 02/08/22 1.000 35.00 84.98 119.98 01/28/22 Option sale to close-call <sup>25</sup> RDW 03/18/2022 CALL \$7.50 / CUSIP: / Symbol: 02/28/22 Option sale to close-call 25 1.000 14.98 01/27/22 20.00 -5.02RDW 05/20/2022 CALL \$7.50 / CUSIP: / Symbol: 2 transactions for 03/09/22. Total proceeds and cost reported to the IRS. 1.000 59.98 02/02/22 9.98 1 of 2 - Option sale to close-call 25 50.00 1.000 69.98 02/02/22 50.00 19.98 2 of 2 - Option sale to close-call 25 Total of 2 transactions 03/09/22 2.000 129.96 Various 100.00 29.96 Option sale to close-call <sup>25</sup> 03/16/22 1.000 79.98 02/17/22 50.00 29.98 03/21/22 50.00 69.98 Option sale to close-call 25 1.000 119.98 02/17/22 03/24/22 1.000 139.98 02/17/22 89.98 Option sale to close-call 25 50.00 Security total: 469.90 250.00 219.90

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

	perty/CUSIP/Symbol						
1c- Date sold or		1d- Proceeds & 6- Reported	1b- Date	1e- Cost or	1f- Accrued mkt disc (D) & 1g- Wash sale loss	Gain or loss(-) & 7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	` ,	Additional information
RDW 08/19/2022 CALL	\$10.00 / CUSIP: / Symbol:						
	2 transactions for 05/0	6/22. Total proceeds a	and cost report	ed to the IRS.			
	4.000	79.98	04/06/22	140.00		-60.02	1 of 2 - Option sale to close-call 25
	1.000	19.98	04/06/22	35.00		-15.02	2 of 2 - Option sale to close-call 25
05/06/22	5.000	99.96	Various	175.00		-75.04	Total of 2 transactions
05/24/22	1.000	4.98	04/06/22	35.00		-30.02	Option sale to close-call <sup>25</sup>
05/25/22	4.000	19.98	04/06/22	140.00		-120.02	Option sale to close-call <sup>25</sup>
	Security total:	124.92		350.00	•••	-225.08	
RDW 08/19/2022 CALL	\$7.50 / CUSIP: / Symbol:						
	3 transactions for 05/2	5/22. Total proceeds a	and cost report	ed to the IRS.			
	1.000	4.99	04/04/22	80.00	•••	-75.01	1 of 3 - Option sale to close-call 25
	1.000	5.00	04/06/22	75.00		-70.00	2 of 3 - Option sale to close-call 25
	1.000	4.99	05/12/22	13.00		-8.01	3 of 3 - Option sale to close-call 25
05/25/22	3.000	14.98	Various	168.00		-153.02	Total of 3 transactions
RKLB 07/15/2022 CALL	. \$5.00 / CUSIP: / Symbol:						
05/27/22	2.000	99.98	05/26/22	90.00		9.98	Option sale to close-call <sup>25</sup>
ROOT 02/25/2022 CAL	L \$2.00 / CUSIP: / Symbol:						
02/10/22	1.000	14.98	01/21/22	24.00	9.02 W	0.00	Option sale to close-call <sup>25</sup>
02/22/22	1.000	4.98	02/02/22	34.02		-29.04	Option sale to close-call <sup>25</sup>
	Security total:	19.96		58.02	9.02 W	-29.04	
ROOT 02/25/2022 CAL	L \$3.00 / CUSIP: / Symbol:						
	2 transactions for 02/2	5/22. Total proceeds a	and cost report	ed to the IRS.			
	5.000	0.00	01/13/22	120.00		-120.00	1 of 2 - Option expiration- long call
	1.000	0.00	01/18/22	19.00		-19.00	2 of 2 - Option expiration- long call
02/25/22	6.000	0.00	Various	139.00		-139.00	Total of 2 transactions

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

**2022 1099-B\*** OMB No. 1545-0715

02/02/22

02/07/22

(continued)

02/13/2023

9.98

169.98

179.94

Option sale to close-call <sup>25</sup>

Option sale to close-call <sup>25</sup>

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12) "Gain or loss (-)" is NOT reported to the IRS.

1.000

1.000

Security total:

1a- Description of property/CUSIP/Symbol 1c- Date 1d- Proceeds & 1f- Accrued mkt disc (D) & Gain or loss(-) & sold or 6- Reported 1e- Cost or 1q- Wash sale loss 7- Loss not allowed (X) 1b- Date disposed Quantity (G)ross or (N)et acquired other basis disallowed (W) also not reported (Z) Additional information ROOT 03/18/2022 CALL \$5.00 / CUSIP: / Symbol: 3 transactions for 03/18/22. Total proceeds and cost reported to the IRS. 10.000 0.00 10/27/21 1.200.00 -1,200.001 of 3 - Option expiration- long call 5.000 0.00 11/30/21 285.00 -285.00 2 of 3 - Option expiration- long call 10.000 0.00 12/07/21 450.00 -450.00 3 of 3 - Option expiration- long call Total of 3 transactions 03/18/22 25.000 0.00 1.935.00 -1.935.00Various ROOT 03/18/2022 CALL \$7.50 / CUSIP: / Symbol: 2 transactions for 03/18/22. Total proceeds and cost reported to the IRS. 0.00 9.000 10/25/21 720.00 -720.001 of 2 - Option expiration- long call 1.000 0.00 10/25/21 80.00 -80.00 2 of 2 - Option expiration- long call Total of 2 transactions 03/18/22 10.000 0.00 800.00 -800.00 Various ROOT 09/16/2022 CALL \$2.50 / CUSIP: / Symbol: 05/06/22 2.000 49.98 02/02/22 96 00 46.02 W 0.00 Option sale to close-call 25 6 transactions for 05/12/22. Total proceeds and cost reported to the IRS. 3.000 29.99 02/02/22 1 of 6 - Option sale to close-call 25 144.00 -114.01 3.000 29.99 02/07/22 135.00 -105.01 2 of 6 - Option sale to close-call 25 3.000 29.99 03/14/22 -30.01 3 of 6 - Option sale to close-call 25 60.00 1.000 10.00 03/31/22 44.00 -34.00 4 of 6 - Option sale to close-call 25 5 of 6 - Option sale to close-call 25 1.000 10.00 04/05/22 42.00 -32.006 of 6 - Option sale to close-call 25 2.000 19.99 104.02 -84.03 04/08/22 Total of 6 transactions 05/12/22 13.000 129.96 Various 529.02 -399.06 Security total: 179.94 625.02 46.02 W -399.06 SAVE 02/18/2022 CALL \$22.50 / CUSIP: / Symbol: 02/01/22 1.000 109.98 01/21/22 110.00 -0.02Option sale to close-call 25

110.00

110.00

330.00

119.98

279.98

509.94

01/21/22

01/21/22

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of pro	perty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	
<del></del>	<b>-</b>	(G)1099 OF (N)et	acquireu	Other basis	disallowed (VV)	also not reported (2)	Additional information
SAVE 03/18/2022 CAL	L \$22.50 / CUSIP: / Symbol:						
	2 transactions for 03/0	09/22. Total proceeds a	and cost repor	ted to the IRS.			
	1.000	31.98	03/07/22	30.00		1.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	44.98	03/07/22	20.00		24.98	2 of 2 - Option sale to close-call <sup>25</sup>
03/09/22	2.000	76.96	Various	50.00	···	26.96	Total of 2 transactions
SAVE 03/18/2022 CAL	L \$25.00 / CUSIP: / Symbol:						
02/07/22	1.000	199.98	11/29/21	135.00		64.98	Option sale to close-call <sup>25</sup>
03/02/22	1.000	124.98	11/29/21	135.00	10.02 W	0.00	Option sale to close-call <sup>25</sup>
	7 transactions for 03/1	15/22. Total proceeds a	and cost repor	ted to the IRS.			
	1.000	4.98	03/01/22	88.02	83.04 W	0.00	1 of 7 - Option sale to close-call <sup>25</sup>
	1.000	5.00	03/04/22	127.04	122.04 W	0.00	2 of 7 - Option sale to close-call <sup>25</sup>
	1.000	5.00	03/04/22	27.00	22.00 W	0.00	3 of 7 - Option sale to close-call <sup>25</sup>
	2.000	9.99	03/07/22	38.00	28.01 W	0.00	4 of 7 - Option sale to close-call 25
	1.000	5.00	03/07/22	13.00	8.00 W	0.00	5 of 7 - Option sale to close-call 25
	1.000	4.99	03/08/22	6.00	1.01 W	0.00	6 of 7 - Option sale to close-call <sup>25</sup>
	3.000	14.99	03/09/22	21.00	2.00 W	-4.01	7 of 7 - Option sale to close-call <sup>25</sup>
03/15/22	10.000	49.95	Various	320.06	266.10 W	-4.01	Total of 7 transactions
	6 transactions for 03/1	18/22. Total proceeds a	•	ted to the IRS.			
	1.000	0.00	03/09/22	129.04		-129.04	1 of 6 - Option expiration- long call
	2.000	0.00	03/09/22	42.01		-42.01	2 of 6 - Option expiration- long call
	1.000	0.00	03/09/22	29.00	***	-29.00	3 of 6 - Option expiration- long call
	1.000	0.00	03/11/22	14.00	***	-14.00	4 of 6 - Option expiration- long call
	1.000	0.00	03/11/22	8.00		-8.00	5 of 6 - Option expiration- long call
	1.000	0.00	03/11/22	7.01	•••	-7.01	6 of 6 - Option expiration- long call
03/18/22	7.000	0.00	Various	229.06		-229.06	Total of 6 transactions
	Security total:	374.91		819.12	276.12 W	-168.09	
SAVE 04/14/2022 CAL	L \$25.00 / CUSIP: / Symbol:						
03/15/22	1.000	49.98	03/07/22	54.00	4.02 W	0.00	Option sale to close-call <sup>25</sup>
03/16/22	1.000	59.98	03/07/22	54.00	···	5.98	Option sale to close-call <sup>25</sup>
03/18/22	1.000	54.98	03/07/22	49.02	···	5.96	Option sale to close-call <sup>25</sup>
03/18/22	1.000	49.98	03/07/22	54.00	4.02 W	0.00	Option sale to close-call <sup>25</sup>
03/29/22	1.000	30.98	03/07/22	49.02	18.04 W	0.00	Option sale to close-call <sup>25</sup>

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of prop	perty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SAVE 04/14/2022 CALI	L \$25.00 / CUSIP: / Symbol: (c	ont'd)					
	12 transactions for 04/	05/22. Total proceeds	and cost rep	orted to the IRS.			
	1.000	25.00	03/07/22	58.04		-33.04	1 of 12 - Option sale to close-call
	1.000	25.00	03/07/22	29.00		-4.00	2 of 12 - Option sale to close-call
	1.000	25.00	03/08/22	26.00		-1.00	3 of 12 - Option sale to close-call
	2.000	49.99	03/14/22	48.00		1.99	4 of 12 - Option sale to close-call
	1.000	25.00	03/21/22	24.00		1.00	5 of 12 - Option sale to close-call
	1.000	25.00	03/24/22	19.00		6.00	6 of 12 - Option sale to close-call
	1.000	24.99	03/30/22	18.00		6.99	7 of 12 - Option sale to close-call
	1.000	24.99	03/30/22	15.00		9.99	8 of 12 - Option sale to close-call
	1.000	25.00	03/30/22	15.00		10.00	9 of 12 - Option sale to close-call
	1.000	25.00	04/01/22	12.00		13.00	10 of 12 - Option sale to close-call
	1.000	24.99	04/01/22	10.00		14.99	11 of 12 - Option sale to close-call
	1.000	25.00	04/01/22	9.00		16.00	12 of 12 - Option sale to close-call
04/05/22	13.000	324.96	Various	283.04		41.92	Total of 12 transactions
	Security total:	570.86		543.08	26.08 W	53.86	
SDC 01/20/2023 CALL	\$3.00 / CUSIP: / Symbol:						
	2 transactions for 05/0	9/22. Total proceeds a	and cost repo	rted to the IRS.			
	2.000	49.99	03/09/22	100.00	•••	-50.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	24.99	03/09/22	50.00		-25.01	2 of 2 - Option sale to close-call <sup>25</sup>
05/09/22	3.000	74.98	Various	150.00		-75.02	Total of 2 transactions

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of property/	CUSIP/Symbol						
1c- Date		1d- Proceeds &			1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or		6- Reported	1b- Date	1e- Cost or	1g- Wash sale loss	7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	also not reported (Z)	Additional information
SDC 01/20/2023 CALL \$3.00	/ CUSIP: / Symbol: (cont'd	d)					
	2 transactions for 05/13	/22. Total proceeds a	and cost repo	rted to the IRS.			
	1.000	17.98	03/09/22	50.00		-32.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	17.98	03/09/22	50.00		-32.02	2 of 2 - Option sale to close-call <sup>25</sup>
05/13/22	2.000	35.96	Various	100.00		-64.04	Total of 2 transactions
	Security total:	110.94		250.00	•••	-139.06	
SDC 07/15/2022 CALL \$3.00	/ CUSIP: / Symbol:						
	3 transactions for 05/24	/22. Total proceeds a	and cost repo	rted to the IRS.			
	1.000	1.98	03/30/22	44.00		-42.02	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	2.00	03/30/22	44.00		-42.00	2 of 3 - Option sale to close-call <sup>25</sup>
	3.000	5.98	04/05/22	87.00		-81.02	3 of 3 - Option sale to close-call <sup>25</sup>
05/24/22	5.000	9.96	Various	175.00		-165.04	Total of 3 transactions
SKLZ 01/21/2022 CALL \$10.0	00 / CUSIP: / Symbol:						
01/21/22	5.000	0.00	12/01/21	300.00		-300.00	Option expiration- long call
SKLZ 02/18/2022 CALL \$15.0	00 / CUSIP: / Symbol:						
	5 transactions for 02/18	/22. Total proceeds a	and cost repo	rted to the IRS.			
	4.000	0.00	11/04/21	480.00	···	-480.00	1 of 5 - Option expiration- long call
	1.000	0.00	11/04/21	120.00		-120.00	2 of 5 - Option expiration- long call
	1.000	0.00	11/17/21	80.00		-80.00	3 of 5 - Option expiration- long call
	1.000	0.00	11/19/21	65.00		-65.00	4 of 5 - Option expiration- long call
	3.000	0.00	11/29/21	165.00		-165.00	5 of 5 - Option expiration- long call
02/18/22	10.000	0.00	Various	910.00		-910.00	Total of 5 transactions
SKLZ 05/20/2022 CALL \$5.00	0 / CUSIP: / Symbol:						
	9 transactions for 05/20	/22. Total proceeds a	and cost repo	rted to the IRS.			
	2.000	0.00	01/27/22	136.00		-136.00	1 of 9 - Option expiration- long call
	1.000	0.00	02/02/22	63.00		-63.00	2 of 9 - Option expiration- long call
	4.000	0.00	02/18/22	200.00		-200.00	3 of 9 - Option expiration- long call
	3.000	0.00	03/08/22	21.00	···	-21.00	4 of 9 - Option expiration- long call
	1.000	0.00	03/21/22	14.00	···	-14.00	5 of 9 - Option expiration- long call
	10.000	0.00	03/24/22	200.00	···	-200.00	6 of 9 - Option expiration- long call
	2.000	0.00	04/01/22	28.00		-28.00	7 of 9 - Option expiration- long call

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of prop	perty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SKLZ 05/20/2022 CALL	. \$5.00 / CUSIP: / Symbol: (cor	nt'd)					
	1.000 1.000	0.00 0.00	04/07/22 04/22/22	11.00 4.00	 	-11.00 -4.00	8 of 9 - Option expiration- long call 9 of 9 - Option expiration- long call
05/20/22	25.000	0.00	Various	677.00		-677.00	Total of 9 transactions
SKLZ 08/19/2022 CALL	. \$7.50 / CUSIP: / Symbol:						
05/23/22 05/24/22	2.000 1.000 17 transactions for 05	7.98 2.98 (25/22 Total proceeds	01/18/22 01/18/22 and cost repo	210.00 105.00 orted to the IRS	 	-202.02 -102.02	Option sale to close-call <sup>25</sup> Option sale to close-call <sup>25</sup>
	2.000	6.00	01/18/22	210.00		-204.00	1 of 17 - Option sale to close-call
	5.000	14.99	02/02/22	275.00		-260.01	2 of 17 - Option sale to close-call
	3.000	8.99	02/02/22	129.00		-120.01	3 of 17 - Option sale to close-call
	2.000	6.00	02/02/22	86.00		-80.00	4 of 17 - Option sale to close-call
	4.000	11.99	02/24/22	80.00		-68.01	5 of 17 - Option sale to close-call
	3.000	8.99	02/24/22	48.00		-39.01	6 of 17 - Option sale to close-call
	1.000	3.00	02/24/22	20.00		-17.00	7 of 17 - Option sale to close-call
	1.000	3.00	02/24/22	16.00		-13.00	8 of 17 - Option sale to close-call
	1.000	3.00	02/24/22	16.00		-13.00	9 of 17 - Option sale to close-call
	9.000	26.98	02/28/22	198.00		-171.02	10 of 17 - Option sale to close-call
	1.000	3.00	02/28/22	22.00		-19.00	11 of 17 - Option sale to close-call
	2.000	5.99	03/08/22	22.00		-16.01	12 of 17 - Option sale to close-call
	3.000	8.99	03/25/22	51.00		-42.01	13 of 17 - Option sale to close-call

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of pro	perty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SKLZ 08/19/2022 CAL	L \$7.50 / CUSIP: / Symbol: (cor	nt'd)					
	10.000	29.97	03/28/22	150.00		-120.03	14 of 17 - Option sale to close-call
	10.000	29.98	04/06/22	140.00		-110.02	15 of 17 - Option sale to close-call
	1.000	2.99	04/08/22	12.00		-9.01	16 of 17 - Option sale to close-call
	1.000	3.00	04/21/22	7.00		-4.00	17 of 17 - Option sale to close-call
05/25/22	59.000 Security total:	176.86 <b>187.82</b>	Various	1,482.00 <b>1,797.00</b>	 	-1,305.14 <b>-1,609.18</b>	Total of 17 transactions
SLDP 03/18/2022 CAL	L \$7.50 / CUSIP: / Symbol:						
02/10/22	1.000	149.98	01/28/22	50.00		99.98	Option sale to close-call <sup>25</sup>
SLDP 05/20/2022 CAL	L \$7.50 / CUSIP: / Symbol:						
03/08/22 03/10/22	1.000 1.000	149.98 169.98	01/24/22 01/24/22	110.00 110.00	 	39.98 59.98	Option sale to close-call <sup>25</sup> Option sale to close-call <sup>25</sup>
	Security total:	319.96		220.00		99.96	
SNAP 01/21/2022 CAL	L \$50.00 / CUSIP: / Symbol:						
	2 transactions for 01/2	1/22. Total proceeds a	and cost report	ted to the IRS.			
01/21/22	2.000 4.000 6.000	0.00 0.00 0.00	11/30/21 01/04/22 Various	480.00 120.00 600.00	 	-480.00 -120.00 -600.00	1 of 2 - Option expiration- long call 2 of 2 - Option expiration- long call Total of 2 transactions
SNAP 01/21/2022 CAL	L \$60.00 / CUSIP: / Symbol:						
01/21/22	3.000	0.00	11/01/21	690.00	•••	-690.00	Option expiration- long call
SNAP 05/20/2022 CAL	L \$35.00 / CUSIP: / Symbol:						
	4 transactions for 05/1	1/22. Total proceeds a	and cost report	ted to the IRS.			
	1.000 2.000 1.000 1.000	1.00 1.99 0.99 1.00	04/21/22 04/28/22 05/06/22 05/06/22	230.00 56.00 7.00 7.00	  	-229.00 -54.01 -6.01 -6.00	1 of 4 - Option sale to close-call <sup>25</sup> 2 of 4 - Option sale to close-call <sup>25</sup> 3 of 4 - Option sale to close-call <sup>25</sup> 4 of 4 - Option sale to close-call <sup>25</sup>
05/11/22	5.000	4.98	Various	300.00	•••	-295.02	Total of 4 transactions

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of property/CU	SIP/Symbol						
1c- Date		1d- Proceeds &			1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or	O andifor	6- Reported	1b- Date	1e- Cost or	1g- Wash sale loss	7- Loss not allowed (X)	A delition of information
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	also not reported (Z)	Additional information
SNAP 06/17/2022 CALL \$30.00	/ CUSIP: / Symbol:						
	7 transactions for 05/24	1/22. Total proceeds a	and cost repo	orted to the IRS.			
	1.000	1.00	05/05/22	167.00	···	-166.00	1 of 7 - Option sale to close-call <sup>25</sup>
	1.000	1.00	05/09/22	66.00	•••	-65.00	2 of 7 - Option sale to close-call <sup>25</sup>
	1.000	0.99	05/11/22	32.00	•••	-31.01	3 of 7 - Option sale to close-call 25
	1.000	0.99	05/11/22	32.00		-31.01	4 of 7 - Option sale to close-call 25
	1.000	1.00	05/18/22	33.00		-32.00	5 of 7 - Option sale to close-call <sup>25</sup>
	1.000	0.99	05/20/22	25.00		-24.01	6 of 7 - Option sale to close-call <sup>25</sup>
	1.000	1.00	05/23/22	17.00		-16.00	7 of 7 - Option sale to close-call <sup>25</sup>
05/24/22	7.000	6.97	Various	372.00	•••	-365.03	Total of 7 transactions
SNDL 04/01/2022 CALL \$1.00 /	CUSIP: / Symbol:						
	10 transactions for 03/2	28/22. Total proceeds	and cost rep	orted to the IRS.			
	3.000	14.99	03/25/22	30.00	15.01 W	0.00	1 of 10 - Option sale to close-call
	4.000	19.99	03/25/22	40.00	20.01 W	0.00	2 of 10 - Option sale to close-call
	1.000	4.99	03/25/22	15.00	10.01 W	0.00	3 of 10 - Option sale to close-call
	1.000	4.99	03/25/22	15.01	10.02 W	0.00	4 of 10 - Option sale to close-call
	1.000	5.00	03/25/22	15.00	10.00 W	0.00	5 of 10 - Option sale to close-call
	1.000	5.00	03/25/22	20.01	15.01 W	0.00	6 of 10 - Option sale to close-call
	1.000	5.00	03/25/22	20.02	15.02 W	0.00	7 of 10 - Option sale to close-call
	4.000	19.98	03/25/22	60.01	40.03 W	0.00	8 of 10 - Option sale to close-call
	3.000	14.99	03/25/22	30.00	15.01 W	0.00	9 of 10 - Option sale to close-call
	1.000	4.98	03/25/22	20.00	15.02 W	0.00	10 of 10 - Option sale to close-call
03/28/22	20.000	99.91	Various	265.05	165.14 W	0.00	Total of 10 transactions

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of property	/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X)	Additional information
SNDL 04/01/2022 CALL \$1.0		. , , ,			aloulle i ou (11)	( <u>-</u> )	
• · · · · · · · · · · · · · · · · · · ·		/30/22. Total proceeds a	and cost renor	ted to the IRS			
	3.000	8.99	03/25/22	30.00	21.01 W	0.00	1 of 7 - Option sale to close-call <sup>25</sup>
	1.000	3.00	03/25/22	25.01	22.01 W	0.00	2 of 7 - Option sale to close-call <sup>25</sup>
	1.000	3.00	03/25/22	25.02	22.01 W	0.00	3 of 7 - Option sale to close-call <sup>25</sup>
	1.000	3.00	03/25/22	20.01	17.01 W	0.00	4 of 7 - Option sale to close-call <sup>25</sup>
	1.000	2.99	03/25/22	20.01	17.01 W 17.02 W	0.00	5 of 7 - Option sale to close-call <sup>25</sup>
	1.000	3.00	03/25/22	25.02	22.02 W	0.00	6 of 7 - Option sale to close-call <sup>25</sup>
	2.000	5.99	03/25/22	40.01	34.02 W	0.00	7 of 7 - Option sale to close-call <sup>25</sup>
03/30/22	10.000	29.97	Various	185.08	155.11 W	0.00	Total of 7 transactions
03/30/22		29.97 /31/22. Total proceeds a			155.11 W	0.00	Total of 7 transactions
	1.000	1.00	03/25/22	32.02	•••	-31.02	1 of 3 - Option sale to close-call 25
	1.000	1.00	03/25/22	32.02	•••	-31.02	2 of 3 - Option sale to close-call 25
	1.000	0.99	03/25/22	27.01	•••	-26.02	3 of 3 - Option sale to close-call <sup>25</sup>
03/31/22	3.000	2.99	Various	91.05	•••	-88.06	Total of 3 transactions
	3 transactions for 03/	/31/22. Total proceeds a	and cost repor	ted to the IRS.			
	3.000	2.99	03/25/22	45.01	42.02 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	1.00	03/25/22	32.01	31.01 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	3.000	2.99	03/25/22	51.01	48.02 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
03/31/22	7.000	6.98	Various	128.03	121.05 W	0.00	Total of 3 transactions
	6 transactions for 04/	01/22. Total proceeds a	and cost repor	ted to the IRS.			
	20.000	0.00	03/25/22	200.00		-200.00	1 of 6 - Option expiration- long call
	3.000	0.00	03/25/22	78.02		-78.02	2 of 6 - Option expiration- long call
	3.000	0.00	03/25/22	72.02		-72.02	3 of 6 - Option expiration- long call
	2.000	0.00	03/25/22	54.02		-54.02	4 of 6 - Option expiration- long call
	1.000	0.00	03/25/22	41.01		-41.01	5 of 6 - Option expiration- long call
	1.000	0.00	03/25/22	27.02		-27.02	6 of 6 - Option expiration- long call
04/01/22	30.000	0.00	Various	472.09	•••	-472.09	Total of 6 transactions
	Security total:	139.85		1,141.30	441.30 W	-560.15	

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of prop	erty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
<del></del>	\$20.00 / CUSIP: / Symbol:	(2) 222 2 ( )22			, , ,	, , , , , , , , , , , , , , , , , , , ,	
	2 transactions for 01/0	7/22. Total proceeds a	and cost repo	ted to the IRS.			
01/07/22	10.000 3.000 13.000	0.00 0.00 0.00	11/30/21 12/09/21 Various	850.00 105.00 955.00	 	-850.00 -105.00 -955.00	1 of 2 - Option expiration- long call 2 of 2 - Option expiration- long call Total of 2 transactions
SOFI 01/20/2023 CALL	\$7.50 / CUSIP: / Symbol:						
12/19/22 12/19/22	1.000 1.000 10 transactions for 12/	1.98 1.98 29/22. Total proceeds	09/26/22 09/26/22 and cost repo	30.00 30.00 orted to the IRS.	28.02 W	-28.02 0.00	Option sale to close-call <sup>25</sup> Option sale to close-call <sup>25</sup>
	18.000	35.95	09/26/22	540.00		-504.05	1 of 10 - Option sale to close-call
	1.000	2.00	10/03/22	23.00		-21.00	2 of 10 - Option sale to close-call
	1.000	2.00	10/03/22	23.00		-21.00	3 of 10 - Option sale to close-call
	1.000	2.00	10/03/22	23.00		-21.00	4 of 10 - Option sale to close-call
	1.000	2.00	10/03/22	23.00	···	-21.00	5 of 10 - Option sale to close-call
	1.000	2.00	10/03/22	23.00		-21.00	6 of 10 - Option sale to close-call
	4.000	7.98	11/02/22	68.00		-60.02	7 of 10 - Option sale to close-call
	1.000	1.99	11/02/22	17.00		-15.01	8 of 10 - Option sale to close-call
	1.000	1.99	11/10/22	13.00		-11.01	9 of 10 - Option sale to close-call
	1.000	2.00	11/10/22	13.00		-11.00	10 of 10 - Option sale to close-call
12/29/22	30.000 Security total:	59.91 <b>63.87</b>	Various	766.00 <b>826.00</b>	 28.02 W	-706.09 <b>-734.11</b>	Total of 10 transactions

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of prope	rty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SOFI 01/21/2022 CALL \$	22.50 / CUSIP: / Symbol:						
	8 transactions for 01/2	21/22. Total proceeds a	and cost repo	ted to the IRS.			
	8.000 2.000 2.000 1.000 1.000	0.00 0.00 0.00 0.00 0.00 0.00	11/19/21 11/19/21 11/24/21 11/24/21 11/24/21 11/24/21	1,264.00 316.00 180.00 90.00 90.00 90.00	   	-1,264.00 -316.00 -180.00 -90.00 -90.00 -90.00	1 of 8 - Option expiration- long call 2 of 8 - Option expiration- long call 3 of 8 - Option expiration- long call 4 of 8 - Option expiration- long call 5 of 8 - Option expiration- long call 6 of 8 - Option expiration- long call
01/21/22	2.000 3.000 20.000	0.00 0.00 0.00	11/29/21 12/21/21 Various	180.00 54.00 2,264.00	 	-180.00 -54.00 -2,264.00	7 of 8 - Option expiration- long call 8 of 8 - Option expiration- long call Total of 8 transactions
SOFI 02/04/2022 CALL \$	13.50 / CUSIP: / Symbol:						
02/01/22 02/04/22	3.000 7.000 <b>Security total:</b>	149.98 0.00 <b>149.98</b>	01/10/22 01/10/22	255.00 595.00 <b>850.00</b>		-105.02 -595.00 <b>-700.02</b>	Option sale to close-call <sup>25</sup> Option expiration- long call
SOFI 02/04/2022 CALL \$	•	149.50		030.00		-700.02	
301102/04/2022 CALL \$	2 transactions for 01/2	20/22 Total proceeds a	and cost reno	ted to the IRS			
01/20/22 02/04/22	3.000 1.000 4.000 6.000 Security total:	359.98 184.98 544.96 0.00 <b>544.96</b>	12/29/21 12/29/21 Various 12/29/21	330.00 110.00 440.00 660.00 <b>1,100.00</b>	  	29.98 74.98 104.96 -660.00 <b>-555.04</b>	1 of 2 - Option sale to close-call <sup>25</sup> 2 of 2 - Option sale to close-call <sup>25</sup> Total of 2 transactions Option expiration- long call
SOFI 02/11/2022 CALL \$	13.00 / CUSIP: / Symbol:						
02/01/22 02/09/22	1.000 1.000 2 transactions for 02/1	99.98 29.98 10/22. Total proceeds a	01/18/22 01/18/22 and cost repo	90.00 90.00 ted to the IRS.	 60.02 W	9.98 0.00	Option sale to close-call <sup>25</sup> Option sale to close-call <sup>25</sup>
02/10/22 02/10/22	1.000 1.000 2.000 1.000 Security total:	49.99 49.99 99.98 39.98 <b>269.92</b>	02/02/22 02/02/22 Various 01/31/22	120.04 50.00 170.04 101.02 <b>451.06</b>	61.04 W 121.06 W	-70.05 -0.01 -70.06 0.00 - <b>60.08</b>	1 of 2 - Option sale to close-call <sup>25</sup> 2 of 2 - Option sale to close-call <sup>25</sup> Total of 2 transactions Option sale to close-call <sup>25</sup>

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#### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS. 1a- Description of property/CUSIP/Symbol 1c- Date 1d- Proceeds & 1f- Accrued mkt disc (D) & Gain or loss(-) & 6- Reported 1e- Cost or 1q- Wash sale loss 7- Loss not allowed (X) sold or 1b- Date disposed Quantity (G)ross or (N)et acquired other basis disallowed (W) also not reported (Z) Additional information SOFI 02/18/2022 CALL \$12.50 / CUSIP: / Symbol: Option sale to close-call 25 02/10/22 1.000 14.98 119.98 01/18/22 105.00 02/11/22 1.000 99 98 01/18/22 105.00 5.02 W 0.00 Option sale to close-call <sup>25</sup> 2 transactions for 02/16/22. Total proceeds and cost reported to the IRS. 1.000 64.98 01/18/22 105.00 -40.021 of 2 - Option sale to close-call 25 2 of 2 - Option sale to close-call 25 1.000 64.98 02/03/22 75.02 -10.04 02/16/22 2.000 129.96 Various 180.02 -50.06 Total of 2 transactions 349.92 390.02 -35.08 Security total: 5.02 W SOFI 02/18/2022 CALL \$15.00 / CUSIP: / Symbol: 3 transactions for 02/18/22. Total proceeds and cost reported to the IRS. 1 000 0.00 01/13/22 65 00 -65 00 1 of 3 - Option expiration- long call 1.000 0.00 02/02/22 24.00 -24.00 2 of 3 - Option expiration- long call 1.000 0.00 02/10/22 17.00 -17.003 of 3 - Option expiration-long call 02/18/22 Total of 3 transactions 3.000 0.00 Various 106.00 -106.00 SOFI 02/18/2022 CALL \$20.00 / CUSIP: / Symbol: 2 transactions for 02/18/22. Total proceeds and cost reported to the IRS. 3.000 0.00 12/06/21 330.00 -330.00 1 of 2 - Option expiration- long call 3.000 0.00 01/20/22 90.00 -90.00 2 of 2 - Option expiration- long call Total of 2 transactions 02/18/22 6.000 0.00 Various 420.00 -420.00 SOFI 02/18/2022 CALL \$25.00 / CUSIP: / Symbol: 9 transactions for 02/18/22. Total proceeds and cost reported to the IRS. 4.000 0.00 11/08/21 1.060.00 -1.060.00 1 of 9 - Option expiration- long call 2 of 9 - Option expiration-long call 1.000 0.00 11/08/21 265.00 -265.00 1.000 0.00 210.00 -210.00 3 of 9 - Option expiration- long call 11/10/21 0.00 200.00 -200.00 4 of 9 - Option expiration-long call 1.000 11/16/21 2.000 0.00 11/17/21 420.00 -420.00 5 of 9 - Option expiration- long call 1.000 0.00 11/17/21 180.00 -180.00 6 of 9 - Option expiration- long call 3.000 0.00 11/29/21 315.00 -315.00 7 of 9 - Option expiration- long call 11/29/21 8 of 9 - Option expiration- long call 1.000 0.00 105.00 -105.00 1.000 0.00 11/29/21 105.00 -105.00 9 of 9 - Option expiration- long call 02/18/22 15.000 Total of 9 transactions 0.00 Various 2.860.00 -2.860.00

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of pro	operty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SOFI 03/04/2022 CAL	_L \$11.50 / CUSIP: / Symbol:						
02/28/22	1.000 3 transactions for 03/0	99.98 1/22. Total proceeds a	02/22/22 and cost report	64.00 ted to the IRS.		35.98	Option sale to close-call <sup>25</sup>
	1.000 1.000 1.000	99.98 126.98 129.98	02/22/22 02/22/22 02/22/22	54.00 64.00 64.00	 	45.98 62.98 65.98	1 of 3 - Option sale to close-call <sup>25</sup> 2 of 3 - Option sale to close-call <sup>25</sup> 3 of 3 - Option sale to close-call <sup>25</sup>
03/01/22 03/02/22	3.000 1.000 <b>Security total:</b>	356.94 79.98 <b>536.90</b>	Various 02/24/22	182.00 29.00 <b>275.00</b>	 	174.94 50.98 <b>261.90</b>	Total of 3 transactions Option sale to close-call <sup>25</sup>
SOFI 03/04/2022 CAL	_L \$12.50 / CUSIP: / Symbol:						
03/02/22	5.000 21 transactions for 03/	449.98 /04/22. Total proceeds	01/24/22 and cost repo	850.00 orted to the IRS.	400.02 W	0.00	Option sale to close-call <sup>25</sup>
	2.000	0.00	01/27/22	222.00		-222.00	1 of 21 - Option expiration- long call
	1.000	0.00	02/03/22	190.00		-190.00	2 of 21 - Option expiration- long call
	2.000	0.00	02/15/22	360.01	···	-360.01	3 of 21 - Option expiration- long call
	2.000	0.00	02/18/22	288.01		-288.01	4 of 21 - Option expiration- long call
	4.000	0.00	02/22/22	180.00		-180.00	5 of 21 - Option expiration- long call
	2.000	0.00	02/24/22	46.00		-46.00	6 of 21 - Option expiration- long call
	1.000	0.00	02/24/22	23.00		-23.00	7 of 21 - Option expiration- long call
	4.000	0.00	03/01/22	240.00		-240.00	8 of 21 - Option expiration- long call
	1.000	0.00	03/01/22	60.00		-60.00	9 of 21 - Option expiration- long call
	8.000	0.00	03/02/22	320.00		-320.00	10 of 21 - Option expiration- long call
	5.000	0.00	03/02/22	275.00		-275.00	11 of 21 - Option expiration- long call

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of prope	rty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
	12.50 / CUSIP: / Symbol: (co	. , , ,				(2)	
·	19.000	0.00	03/02/22	266.00		-266.00	12 of 21 - Option expiration- long call
	7.000	0.00	03/02/22	210.00		-210.00	13 of 21 - Option expiration- long call
	10.000	0.00	03/02/22	200.00	···	-200.00	14 of 21 - Option expiration- long call
	7.000	0.00	03/02/22	91.00		-91.00	15 of 21 - Option expiration- long call
	2.000	0.00	03/02/22	80.00		-80.00	16 of 21 - Option expiration- long call
	3.000	0.00	03/02/22	39.00		-39.00	17 of 21 - Option expiration- long call
	1.000	0.00	03/02/22	30.00	···	-30.00	18 of 21 - Option expiration- long call
	1.000	0.00	03/02/22	30.00		-30.00	19 of 21 - Option expiration- long call
	1.000	0.00	03/02/22	30.00		-30.00	20 of 21 - Option expiration- long call
	1.000	0.00	03/02/22	14.00	···	-14.00	21 of 21 - Option expiration- long call
03/04/22	84.000	0.00	Various	3,194.02	•••	-3,194.02	Total of 21 transactions
	Security total:	449.98		4,044.02	400.02 W	-3,194.02	
SOFI 03/18/2022 CALL \$	11.50 / CUSIP: / Symbol:						
	6 transactions for 03/1	8/22. Total proceeds a	and cost repor	ted to the IRS.			
	2.000	0.00	03/03/22	130.00	•••	-130.00	1 of 6 - Option expiration- long call
	1.000	0.00	03/04/22	35.00		-35.00	2 of 6 - Option expiration- long call
	1.000	0.00	03/04/22	28.00		-28.00	3 of 6 - Option expiration- long call
	1.000	0.00	03/07/22	17.00		-17.00	4 of 6 - Option expiration- long call
	1.000	0.00	03/08/22	12.00		-12.00	5 of 6 - Option expiration- long call
	1.000	0.00	03/09/22	12.00		-12.00	6 of 6 - Option expiration- long call
03/18/22	7.000	0.00	Various	234.00		-234.00	Total of 6 transactions

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of proper	rty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SOFI 05/20/2022 CALL \$1	12.50 / CUSIP: / Symbol:						
	47 transactions for 05/	/11/22. Total proceeds	and cost repo	orted to the IRS.			
	1.000	0.99	03/10/22	50.00		-49.01	1 of 47 - Option sale to close-call
	1.000	1.00	03/10/22	50.00		-49.00	2 of 47 - Option sale to close-call
	1.000	1.00	03/10/22	50.00		-49.00	3 of 47 - Option sale to close-call
	1.000	0.99	03/16/22	36.00		-35.01	4 of 47 - Option sale to close-call
	1.000	1.00	03/16/22	36.00		-35.00	5 of 47 - Option sale to close-call
	3.000	2.98	03/17/22	111.00		-108.02	6 of 47 - Option sale to close-call
	2.000	1.99	03/17/22	74.00		-72.01	7 of 47 - Option sale to close-call
	2.000	1.99	03/21/22	80.00		-78.01	8 of 47 - Option sale to close-call
	1.000	1.00	03/21/22	40.00		-39.00	9 of 47 - Option sale to close-call
	4.000	3.98	03/25/22	124.00		-120.02	10 of 47 - Option sale to close-call
	3.000	2.98	03/25/22	93.00		-90.02	11 of 47 - Option sale to close-call
	2.000	1.99	03/25/22	62.00		-60.01	12 of 47 - Option sale to close-call
	1.000	1.00	03/25/22	31.00		-30.00	13 of 47 - Option sale to close-cal
	1.000	0.99	04/01/22	31.00		-30.01	14 of 47 - Option sale to close-cal
	4.000	3.98	04/05/22	112.00		-108.02	15 of 47 - Option sale to close-call
	3.000	2.98	04/05/22	84.00		-81.02	16 of 47 - Option sale to close-call
	1.000	0.99	04/05/22	28.00		-27.01	17 of 47 - Option sale to close-call

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of proper	ty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SOFI 05/20/2022 CALL \$1	2.50 / CUSIP: / Symbol: (cor	nt'd)					
	1.000	1.00	04/05/22	28.00		-27.00	18 of 47 - Option sale to close-call
	1.000	1.00	04/05/22	28.00		-27.00	19 of 47 - Option sale to close-call
	4.000	3.98	04/06/22	84.00		-80.02	20 of 47 - Option sale to close-call
	4.000	3.98	04/06/22	84.00		-80.02	21 of 47 - Option sale to close-call
	3.000	2.98	04/06/22	63.00		-60.02	22 of 47 - Option sale to close-call
	3.000	2.99	04/06/22	63.00		-60.01	23 of 47 - Option sale to close-call
	2.000	1.99	04/06/22	42.00		-40.01	24 of 47 - Option sale to close-call
	2.000	1.99	04/06/22	42.00		-40.01	25 of 47 - Option sale to close-call
	1.000	0.99	04/06/22	21.00		-20.01	26 of 47 - Option sale to close-call
	1.000	1.00	04/06/22	21.00		-20.00	27 of 47 - Option sale to close-call
	3.000	2.98	04/07/22	42.00		-39.02	28 of 47 - Option sale to close-call
	3.000	2.98	04/07/22	42.00		-39.02	29 of 47 - Option sale to close-call
	3.000	2.99	04/07/22	42.00		-39.01	30 of 47 - Option sale to close-call
	2.000	1.99	04/07/22	28.00		-26.01	31 of 47 - Option sale to close-call
	2.000	1.99	04/07/22	28.00		-26.01	32 of 47 - Option sale to close-call
	2.000	1.99	04/07/22	28.00		-26.01	33 of 47 - Option sale to close-call
	1.000	1.00	04/07/22	14.00		-13.00	34 of 47 - Option sale to close-call

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of prop	erty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SOFI 05/20/2022 CALL	\$12.50 / CUSIP: / Symbol: (d	cont'd)					
	1.000	1.00	04/07/22	14.00	···	-13.00	35 of 47 - Option sale to close-call
	3.000	2.99	04/11/22	636.01		-633.02	36 of 47 - Option sale to close-call
	3.000	2.98	04/11/22	372.01		-369.03	37 of 47 - Option sale to close-call
	1.000	0.99	04/11/22	212.00		-211.01	38 of 47 - Option sale to close-call
	1.000	0.99	04/11/22	142.01		-141.02	39 of 47 - Option sale to close-call
	1.000	1.00	04/11/22	142.00		-141.00	40 of 47 - Option sale to close-call
	1.000	1.00	04/11/22	103.00	···	-102.00	41 of 47 - Option sale to close-call
	1.000	0.99	04/11/22	92.00		-91.01	42 of 47 - Option sale to close-call
	1.000	1.00	04/11/22	82.01	···	-81.01	43 of 47 - Option sale to close-call
	1.000	0.99	04/12/22	79.00	···	-78.01	44 of 47 - Option sale to close-call
	1.000	1.00	04/13/22	69.01	···	-68.01	45 of 47 - Option sale to close-call
	1.000	0.99	04/14/22	67.00	···	-66.01	46 of 47 - Option sale to close-call
	2.000	1.99	04/18/22	110.01	···	-108.02	47 of 47 - Option sale to close-call
05/11/22	88.000 8 transactions for 05.	87.56 /11/22. Total proceeds a	Various and cost report	3,912.06 ted to the IRS.		-3,824.50	Total of 47 transactions
	4.000	3.99	02/03/22	800.00	796.01 W	0.00	1 of 8 - Option sale to close-call 25
	2.000	1.99	02/22/22	260.00	258.01 W	0.00	2 of 8 - Option sale to close-call <sup>25</sup>
	3.000	2.99	02/23/22	336.00	333.01 W	0.00	3 of 8 - Option sale to close-call 25
	1.000	1.00	03/04/22	91.00	90.00 W	0.00	4 of 8 - Option sale to close-call 25
	1.000	1.00	03/04/22	80.00	79.00 W	0.00	5 of 8 - Option sale to close-call 25
	2.000	1.99	03/07/22	140.00	138.01 W	0.00	6 of 8 - Option sale to close-call 25
	2.000	1.99	03/09/22	120.00	118.01 W	0.00	7 of 8 - Option sale to close-call <sup>25</sup>

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SOFI 05/20/2022 CALI	_ \$12.50 / CUSIP: / Symbol: (co	ont'd)					
05/11/22	2.000 17.000	1.99 16.94 <b>104.50</b>	<i>03/10/22</i> Various	100.00 1,927.00 <b>5,839.06</b>	98.01 W 1,910.06 W <b>1,910.06 W</b>	<i>0.00</i> 0.00 <b>-3,824.50</b>	8 of 8 - Option sale to close-call <sup>2</sup> Total of 8 transactions
SOEL 05/20/2022 CALL	Security total: _ \$9.00 / CUSIP: / Symbol:	104.50		5,659.06	1,910.00 44	-3,024.30	
30F1 03/20/2022 CALI		06/22. Total proceeds a	and aget rener	tad to the IDS			
05/06/22	3.000 1.000 4.000	23.99 7.99 31.98	04/12/22 04/18/22 Various	132.00 23.00 155.00	 	-108.01 -15.01 -123.02	1 of 2 - Option sale to close-call <sup>2t</sup> 2 of 2 - Option sale to close-call <sup>2t</sup> Total of 2 transactions
SOFI 06/16/2023 CALI	_ \$10.00 / CUSIP: / Symbol:						
	3 transactions for 08/0	03/22. Total proceeds a	and cost report	ted to the IRS.			
08/03/22	1.000 1.000 1.000 3.000	119.98 129.98 149.98 399.94	07/13/22 07/13/22 07/13/22 Various	72.00 72.00 72.00 216.00	  	47.98 57.98 77.98 183.94	1 of 3 - Option sale to close-call <sup>2</sup> 2 of 3 - Option sale to close-call <sup>2</sup> 3 of 3 - Option sale to close-call <sup>2</sup> Total of 3 transactions
SOFI 06/17/2022 CALI	_ \$7.50 / CUSIP: / Symbol:						
05/18/22	1.000 _ \$9.00 / CUSIP: / Symbol:	64.98	05/11/22	21.00		43.98	Option sale to close-call <sup>25</sup>
05/10/22 05/16/22 05/18/22 05/19/22	1.000 1.000 1.000 1.000 Security total:	9.98 24.98 19.98 29.98 <b>84.92</b>	05/09/22 05/09/22 05/09/22 05/12/22	17.00 17.00 17.00 16.02 <b>67.02</b>	7.02 W   7.02 W	0.00 7.98 2.98 13.96 <b>24.92</b>	Option sale to close-call <sup>25</sup> Option sale to close-call <sup>25</sup> Option sale to close-call <sup>25</sup> Option sale to close-call <sup>25</sup>
SOFI 07/15/2022 CALI	_ \$12.50 / CUSIP: / Symbol:						
05/24/22	1.000	7.98 3/10/22. Total proceeds	01/27/22 and cost repo	232.00 orted to the IRS.	224.02 W	0.00	Option sale to close-call <sup>25</sup>
	5.000	9.99	01/27/22	1,160.00		-1,150.01	1 of 31 - Option sale to close-call
	4.000	7.99	01/27/22	928.00		-920.01	2 of 31 - Option sale to close-call

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of prop	erty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SOFI 07/15/2022 CALL	\$12.50 / CUSIP: / Symbol: (co	ont'd)					
	2.000	4.00	03/01/22	400.00		-396.00	3 of 31 - Option sale to close-call
	1.000	2.00	03/01/22	194.00		-192.00	4 of 31 - Option sale to close-call
	5.000	9.99	03/03/22	750.00		-740.01	5 of 31 - Option sale to close-call
	2.000	4.00	03/03/22	328.00		-324.00	6 of 31 - Option sale to close-call
	1.000	2.00	03/03/22	164.00		-162.00	7 of 31 - Option sale to close-call
	1.000	2.00	03/03/22	164.00		-162.00	8 of 31 - Option sale to close-call
	1.000	2.00	03/03/22	164.00		-162.00	9 of 31 - Option sale to close-call
	1.000	2.00	03/04/22	129.00		-127.00	10 of 31 - Option sale to close-call
	1.000	2.00	03/04/22	127.00		-125.00	11 of 31 - Option sale to close-call
	1.000	2.00	03/04/22	115.00		-113.00	12 of 31 - Option sale to close-call
	2.000	4.00	03/07/22	210.00		-206.00	13 of 31 - Option sale to close-call
	1.000	2.00	03/09/22	95.00		-93.00	14 of 31 - Option sale to close-call
	1.000	2.00	03/10/22	80.00		-78.00	15 of 31 - Option sale to close-call
	1.000	2.00	03/14/22	60.00		-58.00	16 of 31 - Option sale to close-call
	1.000	2.00	03/14/22	55.00		-53.00	17 of 31 - Option sale to close-call
	1.000	2.00	03/25/22	60.00		-58.00	18 of 31 - Option sale to close-call
	10.000	19.97	04/06/22	500.00		-480.03	19 of 31 - Option sale to close-call

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of proper	rty/CUSIP/Symbol						
1c- Date		1d- Proceeds &			1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or		6- Reported	1b- Date	1e- Cost or	1g- Wash sale loss	7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	also not reported (Z)	Additional information
SOFI 07/15/2022 CALL \$	12.50 / CUSIP: / Symbol: (cor	nt'd)					
	1.000	2.00	04/07/22	34.00		-32.00	20 of 31 - Option sale to close-call
	10.000	19.97	04/11/22	370.00		-350.03	21 of 31 - Option sale to close-call
	50.000	99.88	04/14/22	1,150.00		-1,050.12	22 of 31 - Option sale to close-call
	15.000	29.96	04/20/22	195.00		-165.04	23 of 31 - Option sale to close-call
	10.000	19.97	04/20/22	130.00		-110.03	24 of 31 - Option sale to close-call
	1.000	2.00	05/11/22	231.02	···	-229.02	25 of 31 - Option sale to close-call
	3.000	5.99	05/11/22	21.00		-15.01	26 of 31 - Option sale to close-call
	5.000	9.99	05/13/22	45.00		-35.01	27 of 31 - Option sale to close-call
	4.000	7.99	05/13/22	36.00	···	-28.01	28 of 31 - Option sale to close-call
	1.000	2.00	05/17/22	8.00		-6.00	29 of 31 - Option sale to close-call
	10.000	19.97	05/18/22	70.00		-50.03	30 of 31 - Option sale to close-call
	1.000	2.00	05/23/22	9.00		-7.00	31 of 31 - Option sale to close-call
06/10/22	153.000	305.66	Various	7,982.02		-7,676.36	Total of 31 transactions
	Security total:	313.64		8,214.02	224.02 W	-7,676.36	
SOFI 07/15/2022 CALL \$	15.00 / CUSIP: / Symbol:						
	7 transactions for 05/11	1/22 Total proceeds a	and cost rend	orted to the IRS			
	10.000	39.97	04/07/22	200.00		-160.03	1 of 7 - Option sale to close-call <sup>25</sup>
	10.000	39.98	04/14/22	130.00	•	-90.02	2 of 7 - Option sale to close-call <sup>25</sup>
	4.000	15.99	04/20/22	28.00		-12.01	3 of 7 - Option sale to close-call <sup>25</sup>
	2.000	7.99	04/20/22	14.00		-6.01	4 of 7 - Option sale to close-call <sup>25</sup>
	2.000	8.00	04/20/22	14.00		-6.00	5 of 7 - Option sale to close-call <sup>25</sup>
	1.000	3.99	04/20/22	7.00		-3.01	6 of 7 - Option sale to close-call <sup>25</sup>

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#### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12) "Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol 1c- Date 1d- Proceeds & 1f- Accrued mkt disc (D) & Gain or loss(-) & sold or 6- Reported 1e- Cost or 1q- Wash sale loss 7- Loss not allowed (X) 1b- Date disposed Quantity (G)ross or (N)et acquired other basis disallowed (W) also not reported (Z) Additional information SOFI 07/15/2022 CALL \$15.00 / CUSIP: / Symbol: (cont'd) 7 of 7 - Option sale to close-call 25 1.000 4.00 04/20/22 -3.00 7.00 05/11/22 119.92 -280.08 Total of 7 transactions 30.000 Various 400.00 SOFI 07/15/2022 CALL \$7.50 / CUSIP: / Symbol: 2 transactions for 05/18/22. Total proceeds and cost reported to the IRS. 185 98 2 000 05/10/22 60 00 125.98 1 of 2 - Option sale to close-call 25 2.000 199.98 05/10/22 60.00 139.98 2 of 2 - Option sale to close-call 25 05/18/22 4.000 385.96 Various 120.00 265.96 Total of 2 transactions 05/10/22 30.00 Option sale to close-call <sup>25</sup> 05/19/22 1 000 119 98 89 98 2 transactions for 07/13/22. Total proceeds and cost reported to the IRS. 5.000 4.98 05/10/22 150.00 0.00 1 of 2 - Option sale to close-call 25 145.02 W 1.000 0.98 05/10/22 34 00 33.02 W 0.00 2 of 2 - Option sale to close-call 25 6.000 184.00 0.00 Total of 2 transactions 07/13/22 5.96 Various 178.04 W 2 transactions for 07/14/22. Total proceeds and cost reported to the IRS. 3.000 2.99 06/10/22 57.00 -54.01 1 of 2 - Option sale to close-call 25 1.000 1.00 06/10/22 20.00 -19.00 2 of 2 - Option sale to close-call 25 Total of 2 transactions 07/14/22 4.000 3.99 Various 77.00 -73.01 6 transactions for 07/14/22. Total proceeds and cost reported to the IRS. 1.000 0.98 05/10/22 1 of 6 - Option sale to close-call 25 34.00 33.02 W 0.00 2.000 06/09/22 2 of 6 - Option sale to close-call 25 1.99 60.00 58.01 W 0.00 2.000 2.00 06/09/22 50.00 48.00 W 0.00 3 of 6 - Option sale to close-call 25 4 of 6 - Option sale to close-call 25 1 000 1 00 06/09/22 23 00 22 00 W 0.00 3.000 2.99 06/10/22 57.00 18.00 W -36.01 5 of 6 - Option sale to close-call 25 7.000 6.98 06/10/22 140.00 133.02 W 0.00 6 of 6 - Option sale to close-call 25 Total of 6 transactions 07/14/22 16.000 15.94 Various 364.00 312.05 W -36.01 16 transactions for 07/15/22. Total proceeds and cost reported to the IRS. 10.000 0.00 06/10/22 180.00 -180.00 1 of 16 - Option expiration-long 9 000 0.00 06/10/22 171 00 -171.00 2 of 16 - Option expiration-long 1.000 0.00 06/10/22 19.00 -19.00 3 of 16 - Option expiration-long call

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss	(-)" is N	NOT repor	ted to t	he IRS.

1f- Accrued mkt disc (D) & Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) Additional information  19.0019.00 4 of 16 - Option expiration- long call
126.01126.01 5 of 16 - Option expiration- long call
82.0182.01 6 of 16 - Option expiration- long call
55.0055.00 7 of 16 - Option expiration- long call
45.0245.02 8 of 16 - Option expiration- long call
24.0024.00 9 of 16 - Option expiration- long call
87.0187.01 10 of 16 - Option expiration- long call
78.0178.01 11 of 16 - Option expiration- long call
68.0068.00 12 of 16 - Option expiration- long call
43.0243.02 13 of 16 - Option expiration- long call
32.0032.00 14 of 16 - Option expiration- long call
116.01116.01 15 of 16 - Option expiration- long call
28.0028.00 16 of 16 - Option expiration- long call
173.091,173.09 Total of 16 transactions
948.09 490.09 W -926.17
44.00 6.02 W 0.00 Option sale to close-call <sup>25</sup>
IRS.
24.0122.03 1 of 4 - Option sale to close-call <sup>25</sup>
23.0021.02 2 of 4 - Option sale to close-call <sup>25</sup>
76.0474.06 3 of 4 - Option sale to close-call <sup>25</sup>
8 5 5 4 4 2 2 2 8 8 6 4 4 3 3 1 1 1 1 1 1 7 1 7 1 1 1 1 1 1 1 1 1

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of property/0	USIP/Symbol						
1c- Date		1d- Proceeds &			1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or		6- Reported	1b- Date	1e- Cost or	1g- Wash sale loss	7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	also not reported (Z)	Additional information
SOFI 07/15/2022 CALL \$9.00	/ CUSIP: / Symbol: (con	t'd)					
	1.000	1.98	06/10/22	59.03		-57.05	4 of 4 - Option sale to close-call 25
06/22/22	4.000	7.92	Various	182.08	···	-174.16	Total of 4 transactions
	8 transactions for 06/2	2/22. Total proceeds a	and cost repo	rted to the IRS.			
	1.000	1.99	05/24/22	36.02	34.03 W	0.00	1 of 8 - Option sale to close-call <sup>25</sup>
	1.000	1.99	05/26/22	28.00	26.01 W	0.00	2 of 8 - Option sale to close-call 25
	1.000	2.00	06/01/22	57.03	55.03 W	0.00	3 of 8 - Option sale to close-call <sup>25</sup>
	1.000	1.99	06/06/22	43.01	41.02 W	0.00	4 of 8 - Option sale to close-call 25
	1.000	2.00	06/07/22	13.00	11.00 W	0.00	5 of 8 - Option sale to close-call <sup>25</sup>
	1.000	1.99	06/08/22	14.00	12.01 W	0.00	6 of 8 - Option sale to close-call <sup>25</sup>
	1.000	1.99	06/08/22	69.03	67.04 W	0.00	7 of 8 - Option sale to close-call <sup>25</sup>
	1.000	1.99	06/08/22	53.02	51.03 W	0.00	8 of 8 - Option sale to close-call <sup>25</sup>
06/22/22	8.000	15.94	Various	313.11	297.17 W	0.00	Total of 8 transactions
	Security total:	61.84		539.19	303.19 W	-174.16	
SOFI 07/29/2022 CALL \$7.50	/ CUSIP: / Symbol:						
	4 transactions for 07/2	0/22. Total proceeds a	and cost repo	rted to the IRS.			
	1.000	5.00	06/28/22	9.00	4.00 W	0.00	1 of 4 - Option sale to close-call 25
	9.000	44.97	06/28/22	81.00	36.03 W	0.00	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	9.00	06/28/22	11.00	2.00 W	0.00	3 of 4 - Option sale to close-call <sup>25</sup>
	4.000	35.98	06/28/22	44.01	8.03 W	0.00	4 of 4 - Option sale to close-call <sup>25</sup>
07/20/22	15.000	94.95	Various	145.01	50.06 W	0.00	Total of 4 transactions
	5 transactions for 07/2						
	4.000	3.99	06/28/22	44.02		-40.03	1 of 5 - Option sale to close-call <sup>25</sup>
	4.000	3.99	06/29/22	32.03		-28.04	2 of 5 - Option sale to close-call <sup>25</sup>
	4.000	3.98	06/29/22	24.00		-20.02	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	1.00	06/29/22	16.02		-15.02	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	1.00	06/29/22	8.00		-7.00	5 of 5 - Option sale to close-call <sup>25</sup>
07/28/22	14.000	13.96	Various	124.07		-110.11	Total of 5 transactions
07/28/22	1.000	0.98	06/28/22	11.00	10.02 W	0.00	Option sale to close-call <sup>25</sup>
	Security total:	109.89		280.08	60.08 W	-110.11	

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Lin* "Gain or loss (-)" is NOT reported to the IRS.

1a- Description of propert	y/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SOFI 08/19/2022 CALL \$7.	00 / CUSIP: / Symbol:						
07/06/22	2.000	59.98	07/05/22	32.00		27.98	Option sale to close-call <sup>25</sup>
07/08/22	1.000	37.98	07/05/22	16.00		21.98	Option sale to close-call <sup>25</sup>
07/12/22	1.000	31.98	07/05/22	16.00		15.98	Option sale to close-call <sup>25</sup>
07/13/22	1.000	24.98	07/05/22	16.00		8.98	Option sale to close-call <sup>25</sup>
	Security total:	154.92		80.00		74.92	
SOFI 10/21/2022 CALL \$10	0.00 / CUSIP: / Symbol:						
	7 transactions for 05/1	0/22. Total proceeds a	and cost repo	orted to the IRS.			
	4.000	135.98	04/14/22	380.00		-244.02	1 of 7 - Option sale to close-call <sup>25</sup>
	1.000	33.99	04/14/22	95.00		-61.01	2 of 7 - Option sale to close-call 25
	1.000	34.00	04/14/22	95.00		-61.00	3 of 7 - Option sale to close-call 25
	1.000	34.00	04/14/22	95.00		-61.00	4 of 7 - Option sale to close-call 25
	1.000	34.00	04/14/22	95.00		-61.00	5 of 7 - Option sale to close-call <sup>25</sup>
	1.000	34.00	04/14/22	95.00		-61.00	6 of 7 - Option sale to close-call <sup>25</sup>
	1.000	34.00	04/14/22	95.00		-61.00	7 of 7 - Option sale to close-call <sup>25</sup>
05/10/22	10.000	339.97	Various	950.00		-610.03	Total of 7 transactions
SOFI 10/21/2022 CALL \$12	2.50 / CUSIP: / Symbol:						
	6 transactions for 06/1	0/22. Total proceeds a	and cost repo	orted to the IRS.			
	1.000	16.00	06/01/22	113.02		-97.02	1 of 6 - Option sale to close-call 25
	1.000	16.00	06/06/22	112.02		-96.02	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	16.00	06/08/22	96.02		-80.02	3 of 6 - Option sale to close-call <sup>25</sup>
	3.000	47.99	06/09/22	183.01		-135.02	4 of 6 - Option sale to close-call 25
	1.000	16.00	06/09/22	63.00		-47.00	5 of 6 - Option sale to close-call <sup>25</sup>
	3.000	47.98	06/10/22	180.01		-132.03	6 of 6 - Option sale to close-call <sup>25</sup>
06/10/22	10.000	159.97	Various	747.08		-587.11	Total of 6 transactions
	4 transactions for 06/1	0/22. Total proceeds a	and cost repo	rted to the IRS.			
	1.000	14.98	03/14/22	100.00	85.02 W	0.00	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	14.98	03/14/22	100.00	85.02 W	0.00	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	14.98	03/14/22	90.00	75.02 W	0.00	3 of 4 - Option sale to close-call 25
	10.000	149.97	04/14/22	590.00	308.02 W	-132.01	4 of 4 - Option sale to close-call 25
06/10/22	13.000	194.91	Various	880.00	553.08 W	-132.01	Total of 4 transactions
	Security total:	354.88		1,627.08	553.08 W	-719.12	

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of proper	rty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPCE 01/21/2022 CALL \$	317.50 / CUSIP: / Symbol:						
01/21/22	5.000	0.00	12/01/21	525.00		-525.00	Option expiration- long call
SPCE 01/21/2022 CALL \$	319.00 / CUSIP: / Symbol:						
01/21/22	3.000	0.00	10/29/21	705.00		-705.00	Option expiration- long call
SPCE 01/28/2022 CALL \$	14.00 / CUSIP: / Symbol:						
01/28/22	5.000	0.00	12/29/21	375.00	•••	-375.00	Option expiration- long call
SPCE 02/04/2022 CALL \$		0.00		0.0.00		0.0.00	opasis supraces song can
01/31/22	1.000	49.98	01/21/22	49.00		0.98	Option sale to close-call <sup>25</sup>
SPCE 03/18/2022 CALL \$		40.00	0 1/2 1/22	40.00	•••	0.00	option date to diode dati
O1 OE 00/10/2022 OALE ψ	3 transactions for 02/1	5/22 Total proceeds	and cost renor	ted to the IRS			
	1.000	14.99	11/05/21	320.00		-305.01	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	14.99 14.99	11/17/21	240.00	•••	-305.01 -225.01	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	15.00	12/07/21	170.00	•••	-225.01 -155.00	3 of 3 - Option sale to close-call <sup>25</sup>
02/15/22	3.000	44.98	Various	730.00	····	-685.02	Total of 3 transactions
SPCE 07/15/2022 CALL \$		44.30	various	730.00	•••	-003.02	Total of 3 transactions
	•						25
02/15/22	1.000	319.98	01/20/22	215.00		104.98	Option sale to close-call <sup>25</sup>
02/23/22	1.000	184.98	01/20/22	215.00	30.02 W	0.00	Option sale to close-call <sup>25</sup>
02/28/22	1.000	194.98	02/07/22	189.02	::	5.96	Option sale to close-call <sup>25</sup>
03/17/22	1.000	144.98	02/17/22	200.00	55.02 W	0.00	Option sale to close-call <sup>25</sup>
	2 transactions for 03/2	9/22. Total proceeds a	and cost repor	ted to the IRS.			
	1.000	189.98	02/22/22	185.02	•••	4.96	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	249.98	03/08/22	120.00	•••	129.98	2 of 2 - Option sale to close-call 25
03/29/22	2.000	439.96	Various	305.02		134.94	Total of 2 transactions
05/27/22	1.000	24.98	03/10/22	100.00	75.02 W	0.00	Option sale to close-call 25
06/08/22	1.000	24.98	05/06/22	110.02	85.04 W	0.00	Option sale to close-call <sup>25</sup>
06/16/22	1.000	4.99	05/24/22	16.00		-11.01	Option sale to close-call <sup>25</sup>
<del></del>	3 transactions for 06/1					•	- F
	1.000	5.00	05/12/22	101.04	96.04 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	4.99	05/18/22	27.00	22.01 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	5.00	05/20/22	22.00	17.00 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
06/16/22	3.000	14.99	Various	150.04	135.05 W	0.00	Total of 3 transactions
00/10/22	3.000	17.33	v ai ious	150.04	100.00 VV	0.00	ו טומו טו ט וומווסמטווטווס

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

#### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12) "Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol 1c- Date 1d- Proceeds & 1f- Accrued mkt disc (D) & Gain or loss(-) & sold or 6- Reported 1e- Cost or 1q- Wash sale loss 7- Loss not allowed (X) 1b- Date disposed Quantity (G)ross or (N)et acquired other basis disallowed (W) also not reported (Z) Additional information SPCE 07/15/2022 CALL \$10.00 / CUSIP: / Symbol: (cont'd) 3 transactions for 06/29/22. Total proceeds and cost reported to the IRS. 1.000 2.98 06/13/22 105.04 -102.061 of 3 - Option sale to close-call 25 1.000 2.99 06/13/22 31.01 -28.02 2 of 3 - Option sale to close-call 25 1.000 2.99 06/14/22 26.00 -23.01 3 of 3 - Option sale to close-call 25 ... Total of 3 transactions 06/29/22 3.000 8.96 162.05 -153.09 Various Security total: 1,363.78 1,662.15 380.15 W 81.78 SPIR 02/18/2022 CALL \$4.00 / CUSIP: / Symbol: 2 transactions for 02/18/22. Total proceeds and cost reported to the IRS. 3.000 0.00 12/29/21 105.00 -105.00 1 of 2 - Option expiration-long call 10.000 0.00 02/10/22 40.00 -40.00 2 of 2 - Option expiration- long call Total of 2 transactions 02/18/22 13.000 0.00 Various 145.00 -145.00 SPIR 02/18/2022 CALL \$6.00 / CUSIP: / Symbol: 2 transactions for 02/18/22. Total proceeds and cost reported to the IRS. 0.00 5.000 10/06/21 725.00 -725.00 1 of 2 - Option expiration- long call 2.000 0.00 220.00 2 of 2 - Option expiration- long call 11/09/21 -220.00 02/18/22 Total of 2 transactions 7.000 0.00 945.00 -945.00 Various SPIR 02/18/2022 CALL \$9.00 / CUSIP: / Symbol: 5.000 0.00 325.00 -325.00 02/18/22 10/11/21 Option expiration-long call SPIR 05/20/2022 CALL \$2.00 / CUSIP: / Symbol: 05/11/22 Option sale to close-call 25 2.000 9.98 03/17/22 60.00 50.02 W 0.00 2 transactions for 05/20/22. Total proceeds and cost reported to the IRS. 3.000 0.00 03/17/22 90.00 -90.00 1 of 2 - Option expiration- long call 2 of 2 - Option expiration- long call 2.000 0.00 05/06/22 56.02 -56.02 05/20/22 5.000 0.00 146.02 -146.02 Total of 2 transactions Various 9.98 206.02 50.02 W -146.02 Security total: SPIR 05/20/2022 CALL \$3.00 / CUSIP: / Symbol: 6 transactions for 05/20/22. Total proceeds and cost reported to the IRS. 10.000 0.00 01/10/22 400.00 -400.00 1 of 6 - Option expiration- long call 1.000 0.00 30.00 -30.00 2 of 6 - Option expiration-long call 01/21/22

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of prop 1c- Date	Jerry/Cooir/cyllibol	1d- Proceeds &			1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or		6- Reported	1b- Date	1e- Cost or	1g- Wash sale loss	7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	also not reported (Z)	Additional information
SPIR 05/20/2022 CALL	\$3.00 / CUSIP: / Symbol: (co	nt'd)					
	2.000	0.00	02/16/22	100.00	•••	-100.00	3 of 6 - Option expiration- long call
	3.000	0.00	02/23/22	75.00	···	-75.00	4 of 6 - Option expiration- long call
	10.000	0.00	02/28/22	150.00	···	-150.00	5 of 6 - Option expiration- long call
	10.000	0.00	03/30/22	90.00	···	-90.00	6 of 6 - Option expiration- long call
05/20/22	36.000	0.00	Various	845.00	···	-845.00	Total of 6 transactions
SPIR 08/19/2022 CALL	\$2.00 / CUSIP: / Symbol:						
06/06/22	2.000	49.98	03/14/22	80.00	30.02 W	0.00	Option sale to close-call <sup>25</sup>
	2 transactions for 06/	13/22. Total proceeds a	and cost report	ted to the IRS.			
	1.000	14.99	04/22/22	30.00		-15.01	1 of 2 - Option sale to close-call 25
	1.000	15.00	04/22/22	30.00		-15.00	2 of 2 - Option sale to close-call 25
06/13/22	2.000	29.99	Various	60.00		-30.01	Total of 2 transactions
06/13/22	10.000	149.97	03/22/22	450.00	60.00 W	-240.03	Option sale to close-call <sup>25</sup>
		16/22. Total proceeds a	and cost repon	ted to the IRS.			
	1.000	9.99	04/22/22	30.00		-20.01	1 of 4 - Option sale to close-call 25
	2.000	21.99	04/26/22	50.00	•••	-28.01	2 of 4 - Option sale to close-call 25
	1.000	9.99	04/26/22	25.00	•••	-15.01	3 of 4 - Option sale to close-call 25
	1.000	10.99	04/28/22	20.00	•••	-9.01	4 of 4 - Option sale to close-call 25
06/16/22	5.000	52.96	Various	125.00		-72.04	Total of 4 transactions
	2 transactions for 06/	17/22. Total proceeds a	and cost repon	ted to the IRS.			
	4.000	39.98	04/28/22	80.00		-40.02	1 of 2 - Option sale to close-call 25
	1.000	10.00	05/05/22	20.00		-10.00	2 of 2 - Option sale to close-call 25
06/17/22	5.000	49.98	Various	100.00		-50.02	Total of 2 transactions
06/23/22	1.000	9.98	05/09/22	30.01	···	-20.03	Option sale to close-call 25
	2 transactions for 07/	18/22. Total proceeds a	and cost report	ted to the IRS.			
	1.000	4.99	05/11/22	25.01		-20.02	1 of 2 - Option sale to close-call 25
	1.000	4.99	05/12/22	10.00		-5.01	2 of 2 - Option sale to close-call 25
07/18/22	2.000	9.98	Various	35.01		-25.03	Total of 2 transactions
	2 transactions for 08/	19/22. Total proceeds a	and cost report	ted to the IRS.			
	1.000	0.00	05/16/22	40.00		-40.00	1 of 2 - Option expiration- long call
	1.000	0.00	05/16/22	40.00		-40.00	2 of 2 - Option expiration- long call
08/19/22	2.000	0.00	Various	80.00	···	-80.00	Total of 2 transactions
	Security total:	352.84		960.02	90.02 W	-517.16	

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

a- Description of proper	rty/CUSIP/Symbol						
1c- Date sold or		1d- Proceeds & 6- Reported	1b- Date	1e- Cost or	1f- Accrued mkt disc (D) & 1g- Wash sale loss	Gain or loss(-) & 7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)		Additional information
PY 03/16/2022 PUT \$42	8.00 / CUSIP: / Symbol:						
	2 transactions for 03/1	6/22. Total proceeds a	and cost report	ted to the IRS.			
	1.000 1.000	99.98 269.98	03/16/22 03/16/22	68.00 68.00	 	31.98 201.98	1 of 2 - Option sale to close-put <sup>25</sup> 2 of 2 - Option sale to close-put <sup>25</sup>
03/16/22	2.000	369.96	Various	136.00		233.96	Total of 2 transactions
SPY 03/21/2022 PUT \$43	7.00 / CUSIP: / Symbol:						
	3 transactions for 03/2	21/22. Total proceeds a	and cost report	ed to the IRS.			
	1.000 1.000 1.000	6.99 7.00 6.99	03/18/22 03/18/22 03/21/22	160.00 80.00 15.00	 	-153.01 -73.00 -8.01	1 of 3 - Option sale to close-put <sup>25</sup> 2 of 3 - Option sale to close-put <sup>25</sup> 3 of 3 - Option sale to close-put <sup>25</sup>
03/21/22	3.000	20.98	Various	255.00		-234.02	Total of 3 transactions
PY 03/21/2022 PUT \$43	9.00 / CUSIP: / Symbol:						
	2 transactions for 03/2	1/22. Total proceeds a	and cost repon	ted to the IRS.			
03/21/22	1.000 1.000 2.000	9.99 9.99 19.98	03/18/22 03/21/22 Various	145.00 27.00 172.00	 	-135.01 -17.01 -152.02	1 of 2 - Option sale to close-put <sup>25</sup> 2 of 2 - Option sale to close-put <sup>25</sup> Total of 2 transactions
SPY 04/22/2022 CALL \$4	45.00 / CUSIP: / Symbol:						
04/22/22	1.000	0.00	04/21/22	40.00		-40.00	Option expiration- long call
PY 05/20/2022 CALL \$4	50.00 / CUSIP: / Symbol:						
,	20 transactions for 05/	/11/22. Total proceeds	and cost repo	rted to the IRS.			
	3.000	5.99	05/06/22	261.03		-255.04	1 of 20 - Option sale to close-call
	2.000	3.99	05/06/22	208.03		-204.04	2 of 20 - Option sale to close-call
	2.000	3.99	05/06/22	164.02		-160.03	3 of 20 - Option sale to close-call
	1.000	2.00	05/06/22	104.03		-102.03	4 of 20 - Option sale to close-call 25
	1.000	2.00	05/06/22	104.01		-102.01	5 of 20 - Option sale to close-call

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

**1099-B\*** OMB No. 1545-0715

05/11/22

(continued)

02/13/2023

-1,951.36

Total of 20 transactions

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

26.000

51.92

Various

1a- Description of proper	ty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 05/20/2022 CALL \$4	50.00 / CUSIP: / Symbol: (c	ont'd)					
	1.000	2.00	05/06/22	87.01		-85.01	6 of 20 - Option sale to close-call
	1.000	2.00	05/06/22	83.02	···	-81.02	7 of 20 - Option sale to close-call
	1.000	2.00	05/06/22	83.01		-81.01	8 of 20 - Option sale to close-call
	1.000	2.00	05/06/22	83.01		-81.01	9 of 20 - Option sale to close-call
	1.000	2.00	05/06/22	62.02		-60.02	10 of 20 - Option sale to close-call
	1.000	2.00	05/06/22	62.01		-60.01	11 of 20 - Option sale to close-call
	1.000	2.00	05/06/22	61.00		-59.00	12 of 20 - Option sale to close-call
	1.000	2.00	05/06/22	60.00		-58.00	13 of 20 - Option sale to close-call
	1.000	2.00	05/09/22	104.01		-102.01	14 of 20 - Option sale to close-call
	1.000	2.00	05/09/22	103.01		-101.01	15 of 20 - Option sale to close-call
	1.000	1.99	05/10/22	92.01		-90.02	16 of 20 - Option sale to close-call
	1.000	1.98	05/10/22	89.02		-87.04	17 of 20 - Option sale to close-call
	1.000	2.00	05/10/22	89.02		-87.02	18 of 20 - Option sale to close-call
	1.000	1.99	05/10/22	89.01		-87.02	19 of 20 - Option sale to close-call
	3.000	5.99	05/10/22	15.00		-9.01	20 of 20 - Option sale to close-call
							<del></del>

2,003.28

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of property/CUSIP/Symbol						
1c- Date sold or disposed Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 05/20/2022 CALL \$450.00 / CUSIP: / Symbol: (d	cont'd)					
37 transactions for 0	5/11/22. Total proceeds	and cost rep	orted to the IRS.			
20.000	39.95	05/05/22	480.00	440.05 W	0.00	1 of 37 - Option sale to close-call
20.000	39.94	05/05/22	920.05	880.11 W	0.00	2 of 37 - Option sale to close-call
1.000	2.00	05/05/22	24.00	22.00 W	0.00	3 of 37 - Option sale to close-call
3.000	5.99	05/05/22	72.00	66.01 W	0.00	4 of 37 - Option sale to close-call
6.000	11.98	05/05/22	408.03	396.05 W	0.00	5 of 37 - Option sale to close-call
2.000	3.99	05/06/22	172.02	84.02 W	-84.01	6 of 37 - Option sale to close-call
9.000	17.98	05/06/22	549.05	531.07 W	0.00	7 of 37 - Option sale to close-call
1.000	2.00	05/06/22	61.01	59.01 W	0.00	8 of 37 - Option sale to close-call
4.000	7.99	05/06/22	244.02	236.03 W	0.00	9 of 37 - Option sale to close-call
1.000	2.00	05/06/22	39.00	37.00 W	0.00	10 of 37 - Option sale to close-call
1.000	2.00	05/06/22	38.00	36.00 W	0.00	11 of 37 - Option sale to close-call
2.000	3.99	05/06/22	166.02	162.03 W	0.00	12 of 37 - Option sale to close-call
2.000	3.99	05/06/22	78.01	74.02 W	0.00	13 of 37 - Option sale to close-call
2.000	3.99	05/06/22	166.01	162.02 W	0.00	14 of 37 - Option sale to close-call
1.000	2.00	05/06/22	84.01	82.01 W	0.00	15 of 37 - Option sale to close-call
1.000	2.00	05/06/22	83.01	81.01 W	0.00	16 of 37 - Option sale to close-call
1.000	2.00	05/06/22	76.01	74.01 W	0.00	17 of 37 - Option sale to close-call

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Lin "Gain or loss (-)" is NOT reported to the IRS.

4a Description of property/CUSID/Symbol

la- Description of property/Cl	USIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 05/20/2022 CALL \$450.00	) / CUSIP: / Symbol: (co	nt'd)					
	1.000	2.00	05/06/22	76.01	74.01 W	0.00	18 of 37 - Option sale to close-call
	1.000	2.00	05/06/22	77.01	75.01 W	0.00	19 of 37 - Option sale to close-call
	2.000	3.99	05/06/22	154.01	150.02 W	0.00	20 of 37 - Option sale to close-call
	3.000	5.99	05/06/22	234.02	228.03 W	0.00	21 of 37 - Option sale to close-call
	1.000	2.00	05/06/22	78.01	76.01 W	0.00	22 of 37 - Option sale to close-call
	1.000	2.00	05/06/22	74.01	72.01 W	0.00	23 of 37 - Option sale to close-cal
	1.000	1.99	05/06/22	74.01	72.02 W	0.00	24 of 37 - Option sale to close-cal
	1.000	2.00	05/06/22	74.01	72.01 W	0.00	25 of 37 - Option sale to close-cal
	1.000	1.99	05/06/22	95.02	93.03 W	0.00	26 of 37 - Option sale to close-cal
	1.000	2.00	05/06/22	95.01	93.01 W	0.00	27 of 37 - Option sale to close-cal
	2.000	3.99	05/06/22	190.02	186.03 W	0.00	28 of 37 - Option sale to close-cal
	2.000	3.99	05/06/22	146.01	142.02 W	0.00	29 of 37 - Option sale to close-cal
	1.000	2.00	05/06/22	51.00	49.00 W	0.00	30 of 37 - Option sale to close-cal
	1.000	2.00	05/06/22	50.00	48.00 W	0.00	31 of 37 - Option sale to close-cal
	2.000	3.99	05/06/22	102.02	98.03 W	0.00	32 of 37 - Option sale to close-cal
	1.000	2.00	05/06/22	96.01	94.01 W	0.00	33 of 37 - Option sale to close-cal
	1.000	2.00	05/06/22	95.01	93.01 W	0.00	34 of 37 - Option sale to close-cal

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of pro	operty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 05/20/2022 CALI	L \$450.00 / CUSIP: / Symbol: (co	nt'd)					
	1.000	2.00	05/06/22	88.01	86.01 W	0.00	35 of 37 - Option sale to close-call
	1.000	1.99	05/06/22	85.01	83.02 W	0.00	36 of 37 - Option sale to close-call
	1.000	2.00	05/06/22	86.01	84.01 W	0.00	37 of 37 - Option sale to close-call
05/11/22	103.000	205.71	Various	5,680.47	5,390.75 W	-84.01	Total of 37 transactions
	Security total:	257.63		7,683.75	5,390.75 W	-2,035.37	
SPY 05/31/2022 CALI	L \$450.00 / CUSIP: / Symbol:						
	21 transactions for 05/2						
	1.000	1.00	05/10/22	33.00		-32.00	1 of 21 - Option sale to close-call
	9.000	8.96	05/11/22	189.02		-180.06	2 of 21 - Option sale to close-call
	8.000	7.97	05/11/22	152.02		-144.05	3 of 21 - Option sale to close-call
	2.000	1.99	05/11/22	136.00		-134.01	4 of 21 - Option sale to close-call
	2.000	1.99	05/11/22	92.02		-90.03	5 of 21 - Option sale to close-call
	2.000	1.99	05/11/22	84.01		-82.02	6 of 21 - Option sale to close-call
	1.000	1.00	05/11/22	53.00		-52.00	7 of 21 - Option sale to close-call
	1.000	1.00	05/11/22	47.00		-46.00	8 of 21 - Option sale to close-call
	1.000	1.00	05/11/22	44.01		-43.01	9 of 21 - Option sale to close-call
	1.000	1.00	05/11/22	44.00		-43.00	10 of 21 - Option sale to close-call
	1.000	1.00	05/11/22	44.00		-43.00	11 of 21 - Option sale to close-call
	1.000	1.00	05/11/22	43.01		-42.01	12 of 21 - Option sale to close-call

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of property							
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 05/31/2022 CALL \$450	0.00 / CUSIP: / Symbol: (co	nt'd)					
	1.000	1.00	05/11/22	25.01		-24.01	13 of 21 - Option sale to close-call
	1.000	1.00	05/11/22	24.00		-23.00	14 of 21 - Option sale to close-call
	1.000	1.00	05/11/22	21.00		-20.00	15 of 21 - Option sale to close-call
	2.000	1.99	05/11/22	14.00		-12.01	16 of 21 - Option sale to close-call
	1.000	0.99	05/12/22	41.00		-40.01	17 of 21 - Option sale to close-call
	1.000	1.00	05/12/22	41.00		-40.00	18 of 21 - Option sale to close-call
	1.000	0.99	05/12/22	35.01		-34.02	19 of 21 - Option sale to close-call
	1.000	1.00	05/12/22	35.00		-34.00	20 of 21 - Option sale to close-call
	1.000	1.00	05/13/22	36.00		-35.00	21 of 21 - Option sale to close-call
05/20/22	40.000 23 transactions for 05/2	39.87 20/22. Total proceeds	Various and cost rep	1,233.11 orted to the IRS.		-1,193.24	Total of 21 transactions
	2.000	2.00	05/05/22	100.00	98.00 W	0.00	1 of 23 - Option sale to close-call
	1.000	1.00	05/06/22	37.00	36.00 W	0.00	2 of 23 - Option sale to close-call
	1.000	1.00	05/09/22	31.00	30.00 W	0.00	3 of 23 - Option sale to close-call
	2.000	1.99	05/09/22	60.00	58.01 W	0.00	4 of 23 - Option sale to close-call
	3.000	2.99	05/09/22	87.00	84.01 W	0.00	5 of 23 - Option sale to close-call
	5.000	4.99	05/09/22	135.00	130.01 W	0.00	6 of 23 - Option sale to close-call
	3.000	2.99	05/09/22	63.00	60.01 W	0.00	7 of 23 - Option sale to close-call <sup>25</sup>

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of property	//CUSIP/Symbol						
1c- Date		1d- Proceeds &	4h Data	4- 0	1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or disposed	Quantity	6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 05/31/2022 CALL \$450	0.00 / CUSIP: / Symbol: (coi	nt'd)	•			. , ,	
	1.000	1.00	05/09/22	23.00	22.00 W	0.00	8 of 23 - Option sale to close-call
	2.000	1.99	05/10/22	36.00	34.01 W	0.00	9 of 23 - Option sale to close-call
	10.000	9.98	05/10/22	150.00	140.02 W	0.00	10 of 23 - Option sale to close-call
	8.000	7.98	05/10/22	104.00	96.02 W	0.00	11 of 23 - Option sale to close-call
	2.000	2.00	05/10/22	124.00	122.00 W	0.00	12 of 23 - Option sale to close-call
	1.000	1.00	05/10/22	47.00	46.00 W	0.00	13 of 23 - Option sale to close-call
	1.000	1.00	05/10/22	41.00	40.00 W	0.00	14 of 23 - Option sale to close-call
	2.000	1.99	05/10/22	80.01	78.02 W	0.00	15 of 23 - Option sale to close-call
	1.000	1.00	05/10/22	38.00	37.00 W	0.00	16 of 23 - Option sale to close-call
	1.000	1.00	05/10/22	38.01	37.01 W	0.00	17 of 23 - Option sale to close-call
	1.000	1.00	05/10/22	38.00	37.00 W	0.00	18 of 23 - Option sale to close-call
	4.000	3.99	05/10/22	144.01	140.02 W	0.00	19 of 23 - Option sale to close-call
	1.000	1.00	05/10/22	36.00	35.00 W	0.00	20 of 23 - Option sale to close-call
	1.000	1.00	05/10/22	30.00	29.00 W	0.00	21 of 23 - Option sale to close-call
	1.000	1.00	05/10/22	30.01	29.01 W	0.00	22 of 23 - Option sale to close-call
	1.000	1.00	05/10/22	31.00	30.00 W	0.00	23 of 23 - Option sale to close-call
05/20/22	55.000 Security total:	54.89 <b>94.76</b>	Various	1,503.04 <b>2,736.15</b>	1,448.15 W <b>1,448.15 W</b>	0.00 <b>-1,193.24</b>	Total of 23 transactions

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12) "Goin or loss (1)" is NOT reported to the IRS.

"G	aın or	loss (-)	″ IS NO I	reported to the IR	S.
_	_		_		

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 06/03/2022 CALL	\$450.00 / CUSIP: / Symbol:		-				
	3 transactions for 05/2	0/22. Total proceeds a	and cost report	ted to the IRS.			
	5.000	9.99	05/11/22	80.00		-70.01	1 of 3 - Option sale to close-call 2
	5.000	9.99	05/11/22	75.00		-65.01	2 of 3 - Option sale to close-call 2
	5.000	9.98	05/17/22	25.00		-15.02	3 of 3 - Option sale to close-call 2
05/20/22	15.000	29.96	Various	180.00		-150.04	Total of 3 transactions
SPY 06/10/2022 CALL	\$450.00 / CUSIP: / Symbol:						
	2 transactions for 05/2	6/22. Total proceeds a	and cost report	ted to the IRS.			
	1.000	5.98	05/12/22	17.00	11.02 W	0.00	1 of 2 - Option sale to close-call 25
	2.000	11.98	05/12/22	34.00	22.02 W	0.00	2 of 2 - Option sale to close-call 25
05/26/22	3.000	17.96	Various	51.00	33.04 W	0.00	Total of 2 transactions
	2 transactions for 05/2	7/22. Total proceeds a	and cost report	ted to the IRS.			
	1.000	7.98	05/12/22	25.02	17.04 W	0.00	1 of 2 - Option sale to close-call 25
	1.000	7.98	05/17/22	21.01	13.03 W	0.00	2 of 2 - Option sale to close-call 25
05/27/22	2.000	15.96	Various	46.03	30.07 W	0.00	Total of 2 transactions
	6 transactions for 06/0	2/22. Total proceeds a	and cost report	ted to the IRS.			
	1.000	1.99	05/18/22	24.04	····	-22.05	1 of 6 - Option sale to close-call 25
	4.000	7.98	05/18/22	28.00	···	-20.02	2 of 6 - Option sale to close-call 25
	1.000	1.99	05/18/22	20.03		-18.04	3 of 6 - Option sale to close-call 25
	1.000	1.99	05/18/22	18.01		-16.02	4 of 6 - Option sale to close-call 25
	2.000	3.98	05/18/22	14.00		-10.02	5 of 6 - Option sale to close-call 25
	1.000	1.99	05/18/22	7.00		-5.01	6 of 6 - Option sale to close-call 25
06/02/22	10.000	19.92	Various	111.08		-91.16	Total of 6 transactions
	Security total:	53.84		208.11	63.11 W	-91.16	
SPY 06/17/2022 CALL	\$450.00 / CUSIP: / Symbol:						
05/26/22	1.000	19.98	05/18/22	12.00	•••	7.98	Option sale to close-call <sup>25</sup>
05/27/22	1.000	24.98	05/18/22	12.00	···	12.98	Option sale to close-call <sup>25</sup>
	3 transactions for 06/1	0/22. Total proceeds a	and cost report	ted to the IRS.			
	2.000	1.99	05/18/22	24.00	···	-22.01	1 of 3 - Option sale to close-call 2
	1.000	1.00	05/20/22	19.02	····	-18.02	2 of 3 - Option sale to close-call 2
	1.000	0.99	05/20/22	8.00	···	-7.01	3 of 3 - Option sale to close-call 2
06/10/22	4.000	3.98	Various	51.02		-47.04	Total of 3 transactions

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of property	/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 06/17/2022 CALL \$450	0.00 / CUSIP: / Symbol: (cor	nt'd)					
06/10/22	1.000	0.98	05/18/22	12.00	11.02 W	0.00	Option sale to close-call <sup>25</sup>
	Security total:	49.92		87.02	11.02 W	-26.08	
SQ 06/17/2022 CALL \$80.0	0 / CUSIP: / Symbol:						
	6 transactions for 06/17	7/22. Total proceeds a	and cost repo	rted to the IRS.			
	2.000	0.00	06/13/22	60.00	···	-60.00	1 of 6 - Option expiration- long call
	1.000	0.00	06/13/22	15.00		-15.00	2 of 6 - Option expiration- long call
	1.000	0.00	06/13/22	15.00		-15.00	3 of 6 - Option expiration- long call
	2.000	0.00	06/14/22	8.00		-8.00	4 of 6 - Option expiration- long call
	1.000	0.00	06/14/22	5.00		-5.00	5 of 6 - Option expiration- long call
	1.000	0.00	06/14/22	5.00		-5.00	6 of 6 - Option expiration- long call
06/17/22	8.000	0.00	Various	108.00	•	-108.00	Total of 6 transactions
STEM 01/14/2022 CALL \$2	0.00 / CUSIP: / Symbol:						
01/14/22	1.000	0.00	12/03/21	145.00		-145.00	Option expiration- long call
STEM 01/21/2022 CALL \$2	5.00 / CUSIP: / Symbol:						
	4 transactions for 01/21	/22. Total proceeds a	and cost repo	rted to the IRS.			
	2.000	0.00	10/28/21	480.00		-480.00	1 of 4 - Option expiration- long call
	1.000	0.00	11/17/21	190.00		-190.00	2 of 4 - Option expiration- long call
	1.000	0.00	11/17/21	170.00		-170.00	3 of 4 - Option expiration- long call
	1.000	0.00	11/24/21	155.00		-155.00	4 of 4 - Option expiration- long call
01/21/22	5.000	0.00	Various	995.00		-995.00	Total of 4 transactions
STEM 01/21/2022 CALL \$3	0.00 / CUSIP: / Symbol:						
	3 transactions for 01/21	/22. Total proceeds a	and cost repo	rted to the IRS.			
	2.000	0.00	11/09/21	310.00		-310.00	1 of 3 - Option expiration- long call
	2.000	0.00	11/09/21	310.00		-310.00	2 of 3 - Option expiration- long call
	1.000	0.00	11/17/21	85.00		-85.00	3 of 3 - Option expiration- long call
01/21/22	5.000	0.00	Various	705.00		-705.00	Total of 3 transactions

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of pr	operty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
STEM 02/25/2022 CA	ALL \$14.50 / CUSIP: / Symbol:						
	3 transactions for 02/17/2	2. Total proceeds a	and cost report	ed to the IRS.			
	1.000 1.000 1.000	9.99 9.99 9.98	01/18/22 01/21/22 02/11/22	130.00 190.01 140.01	 	-120.01 -180.02 -130.03	1 of 3 - Option sale to close-call $^{25}$ 2 of 3 - Option sale to close-call $^{25}$ 3 of 3 - Option sale to close-call $^{25}$
02/17/22 02/17/22	3.000 2.000 <b>Security total</b> :	29.96 19.98 <b>49.94</b>	Various 01/18/22	460.02 260.00 <b>720.02</b>	 240.02 W <b>240.02 W</b>	-430.06 0.00 <b>-430.06</b>	Total of 3 transactions Option sale to close-call <sup>25</sup>
STEM 03/04/2022 CA	ALL \$12.00 / CUSIP: / Symbol:	40.04		720.02	240.02 11	400.00	
01 EW 00/04/2022 0/4	3 transactions for 02/25/2	2. Total proceeds a	and cost report	ed to the IRS.			
	2.000 1.000 1.000	19.99 9.99 10.00	01/24/22 02/11/22 02/17/22	220.00 65.00 65.00		-200.01 -55.01 -55.00	1 of 3 - Option sale to close-call <sup>25</sup> 2 of 3 - Option sale to close-call <sup>25</sup> 3 of 3 - Option sale to close-call <sup>25</sup>
02/25/22	4.000	39.98	Various	350.00		-310.02	Total of 3 transactions
STEM 04/14/2022 CA	ALL \$12.50 / CUSIP: / Symbol:						
	2 transactions for 03/18/2	2. Total proceeds a	and cost report	ed to the IRS.			
03/18/22	3.000 1.000 4.000 3 transactions for 03/21/2.	89.98 29.98 119.96 2. Total proceeds a	02/23/22 02/25/22 Various and cost report	150.00 45.01 195.01 ed to the IRS.	60.02 W 15.03 W 75.05 W	0.00 0.00 0.00	1 of 2 - Option sale to close-call <sup>25</sup> 2 of 2 - Option sale to close-call <sup>25</sup> Total of 2 transactions
	1.000 1.000 1.000	34.99 35.00 34.99	02/25/22 03/07/22 03/08/22	45.00 35.01 35.03	10.01 W 0.01 W 0.04 W	0.00 0.00 0.00	1 of 3 - Option sale to close-call <sup>25</sup> 2 of 3 - Option sale to close-call <sup>25</sup> 3 of 3 - Option sale to close-call <sup>25</sup>
03/21/22	3.000 3 transactions for 03/22/2.	104.98 2. Total proceeds a	Various and cost report	115.04 ed to the IRS.	10.06 W	0.00	Total of 3 transactions
	1.000 1.000 1.000	39.99 39.99 39.98	03/15/22 03/15/22 03/15/22	15.01 5.04 5.01	 	24.98 34.95 34.97	1 of 3 - Option sale to close-call <sup>25</sup> 2 of 3 - Option sale to close-call <sup>25</sup> 3 of 3 - Option sale to close-call <sup>25</sup>
03/22/22	3.000	119.96	Various	25.06		94.90	Total of 3 transactions

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS. 1a Description of property/CUSID/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
STEM 04/14/2022 CAL	L \$12.50 / CUSIP: / Symbol: (	cont'd)					
	2 transactions for 03/	24/22. Total proceeds a	and cost report	ted to the IRS.			
03/24/22 03/28/22 03/29/22	1.000 1.000 2.000 1.000 1.000	34.98 44.98 79.96 19.98 39.98	03/15/22 03/15/22 Various 03/15/22 03/15/22	5.00 5.00 10.00 5.00 5.00	  	29.98 39.98 69.96 14.98 34.98	1 of 2 - Option sale to close-call <sup>25</sup> 2 of 2 - Option sale to close-call <sup>25</sup> Total of 2 transactions Option sale to close-call <sup>25</sup> Option sale to close-call <sup>25</sup>
	Security total:	484.82		355.11	85.11 W	214.82	
STEM 09/16/2022 PUT	\$12.50 / CUSIP: / Symbol:						
	3 transactions for 08/	17/22. Total proceeds a	and cost repon	ted to the IRS.			
08/17/22	1.000 1.000 1.000 3.000	39.98 39.98 <i>4</i> 9.98 129.94	08/16/22 08/16/22 08/16/22 Various	30.00 30.00 30.00 90.00	 	9.98 9.98 19.98 39.94	1 of 3 - Option sale to close-put <sup>25</sup> 2 of 3 - Option sale to close-put <sup>25</sup> 3 of 3 - Option sale to close-put <sup>25</sup> Total of 3 transactions
	\$60.00 / CUSIP: / Symbol:	120.04	various	30.00	•••	33.34	Total of 5 transactions
02/23/22	1.000 L \$10.00 / CUSIP: / Symbol:	99.98	02/22/22	360.00		-260.02	Option sale to close-put <sup>25</sup>
1211 02/10/2022 0/12	•	18/22. Total proceeds a	and cost report	ted to the IRS.			
02/18/22	3.000 3.000 1.000 7.000	0.00 0.00 0.00 0.00	12/01/21 12/03/21 12/21/21 Various	435.00 360.00 61.00 856.00	  	-435.00 -360.00 -61.00 -856.00	1 of 3 - Option expiration- long call 2 of 3 - Option expiration- long call 3 of 3 - Option expiration- long call Total of 3 transactions
TLRY 02/18/2022 CALI	L \$13.00 / CUSIP: / Symbol:						
	3 transactions for 02/	18/22. Total proceeds a	and cost repon	ted to the IRS.			
02/18/22	8.000 1.000 1.000 10.000	0.00 0.00 0.00 0.00	11/04/21 11/05/21 11/05/21 Various	1,040.00 110.00 108.00 1,258.00	  	-1,040.00 -110.00 -108.00 -1,258.00	1 of 3 - Option expiration- long call 2 of 3 - Option expiration- long call 3 of 3 - Option expiration- long call Total of 3 transactions

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of proper	ty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TLRY 03/18/2022 CALL \$1	11.00 / CUSIP: / Symbol:						
	3 transactions for 03/1	8/22. Total proceeds a	and cost repo	rted to the IRS.			
03/18/22	5.000 1.000 2.000 8.000	0.00 0.00 0.00 0.00	11/18/21 11/29/21 02/10/22 Various	1,075.00 175.00 42.00 1,292.00	  	-1,075.00 -175.00 -42.00 -1,292.00	1 of 3 - Option expiration- long call 2 of 3 - Option expiration- long call 3 of 3 - Option expiration- long call Total of 3 transactions
TLRY 04/01/2022 CALL \$	10.00 / CUSIP: / Symbol:						
	2 transactions for 03/2	5/22. Total proceeds a	and cost repo	rted to the IRS.			
03/25/22 03/28/22	1.000 1.000 2.000 1.000	45.98 49.98 95.96 31.98	03/25/22 03/25/22 Various 03/25/22	32.00 32.00 64.00 32.00 <b>96.00</b>	  	13.98 17.98 31.96 -0.02 <b>31.94</b>	1 of 2 - Option sale to close-call <sup>25</sup> 2 of 2 - Option sale to close-call <sup>25</sup> Total of 2 transactions Option sale to close-call <sup>25</sup>
TI DV 05/00/0000 0411 #	Security total:	127.94		96.00	***	31.94	
TLRY 05/20/2022 CALL \$	•	0/00 T-4-1		-t1 t 11-0			
	4 transactions for 05/2	•	•			400.00	4 of 4 Online contesting laws of
	3.000 1.000 2.000 1.000	0.00 0.00 0.00 0.00	04/06/22 04/07/22 04/12/22 04/13/22	138.00 30.00 38.00 19.00	  	-138.00 -30.00 -38.00 -19.00	1 of 4 - Option expiration- long call 2 of 4 - Option expiration- long call 3 of 4 - Option expiration- long call 4 of 4 - Option expiration- long call
05/20/22	7.000	0.00	Various	225.00		-225.00	Total of 4 transactions
TLRY 05/20/2022 CALL \$7	·						
	2 transactions for 05/1	•	•				
05/11/22	1.000 1.000 2.000	<i>0</i> .99 <i>0</i> .99 1.98	04/14/22 04/14/22 Various	40.00 40.00 80.00	 	-39.01 -39.01 -78.02	1 of 2 - Option sale to close-call <sup>25</sup> 2 of 2 - Option sale to close-call <sup>25</sup> Total of 2 transactions
TLRY 06/17/2022 CALL \$7	7.00 / CUSIP: / Symbol:						
03/24/22 03/24/22 03/25/22 03/30/22	1.000 1.000 1.000 1.000	149.98 119.98 239.98 249.98	01/18/22 01/18/22 01/18/22 02/01/22	129.00 129.00 129.00 85.00	9.02 W 	20.98 0.00 110.98 164.98	Option sale to close-call <sup>25</sup> Option sale to close-call <sup>25</sup> Option sale to close-call <sup>25</sup> Option sale to close-call <sup>25</sup>

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of prop	perty/CUSIP/Symbol	Ad Bossella 0			46. A service divisite discs (D) 0	0-1	
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
•	_ \$7.00 / CUSIP: / Symbol: (co	ont'd)			, ,	, , ,	
	9 transactions for 05/	/31/22. Total proceeds a	and cost report	ted to the IRS.			
	2.000	7.99	04/28/22	48.00		-40.01	1 of 9 - Option sale to close-call 25
	1.000	4.00	05/09/22	94.02		-90.02	2 of 9 - Option sale to close-call 25
	1.000	3.99	05/09/22	78.03		-74.04	3 of 9 - Option sale to close-call 25
	1.000	4.00	05/09/22	59.01		-55.01	4 of 9 - Option sale to close-call 25
	1.000	3.99	05/09/22	59.00		-55.01	5 of 9 - Option sale to close-call 25
	1.000	4.00	05/09/22	59.00		-55.00	6 of 9 - Option sale to close-call 25
	1.000	3.99	05/11/22	48.00		-44.01	7 of 9 - Option sale to close-call 25
	1.000	4.00	05/11/22	44.00		-40.00	8 of 9 - Option sale to close-call 25
	1.000	3.98	05/20/22	46.01	•••	-42.03	9 of 9 - Option sale to close-call 25
05/31/22	10.000	39.94	Various	535.07	•••	-495.13	Total of 9 transactions
	7 transactions for 05/	/31/22. Total proceeds a	and cost report	ed to the IRS.			
	1.000	3.98	02/01/22	85.00	81.02 W	0.00	1 of 7 - Option sale to close-call 25
	1.000	3.99	03/08/22	69.02	65.03 W	0.00	2 of 7 - Option sale to close-call 25
	1.000	3.99	03/11/22	50.00	46.01 W	0.00	3 of 7 - Option sale to close-call 25
	1.000	4.00	03/11/22	50.00	46.00 W	0.00	4 of 7 - Option sale to close-call 25
	1.000	4.00	03/14/22	50.00	46.00 W	0.00	5 of 7 - Option sale to close-call 25
	1.000	4.00	03/15/22	39.00	35.00 W	0.00	6 of 7 - Option sale to close-call 25
	3.000	11.99	04/20/22	129.00	78.01 W	-39.00	7 of 7 - Option sale to close-call 25
05/31/22	9.000	35.95	Various	472.02	397.07 W	-39.00	Total of 7 transactions
	Security total:	835.81		1,479.09	406.09 W	-237.19	
TLRY 06/24/2022 CALL	\$6.00 / CUSIP: / Symbol:						
	3 transactions for 06/	/08/22. Total proceeds a	and cost report	ed to the IRS.			
	3.000	8.99	05/09/22	69.00	***	-60.01	1 of 3 - Option sale to close-call 25
	1.000	3.00	05/11/22	15.00		-12.00	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	2.99	05/31/22	11.00		-8.01	3 of 3 - Option sale to close-call <sup>25</sup>
06/08/22	5.000	14.98	Various	95.00	***	-80.02	Total of 3 transactions
	_ \$5.00 / CUSIP: / Symbol:		v anous	23.00	•••	33.02	. Sta. C. O Harrodollorio
	· · · · · · · · · · · · · · · · · · ·	0.00	00/00/00	F4 00	40.00 \	0.00	Ontion cale to alone call 25
06/30/22	3.000 3 transactions for 07	8.98 /05/22. Total proceeds a	06/08/22	51.00	42.02 W	0.00	Option sale to close-call <sup>25</sup>
	3 transactions for 077	1.99	06/10/22	25.01		-23.02	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	1.99	00/10/22	25.01	•	-23.02	i oi s - Option sale to close-call

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#### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12) "Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol 1c-Date 1d- Proceeds & 1f- Accrued mkt disc (D) & Gain or loss(-) & sold or 6- Reported 1e- Cost or 1q- Wash sale loss 7- Loss not allowed (X) 1b- Date disposed Quantity (G)ross or (N)et acquired other basis disallowed (W) also not reported (Z) Additional information TLRY 07/15/2022 CALL \$5.00 / CUSIP: / Symbol: (cont'd) 2 of 3 - Option sale to close-call 25 1.000 06/17/22 1.99 20.01 -18.021.000 1.98 06/17/22 20.00 -18.02 3 of 3 - Option sale to close-call 25 Total of 3 transactions 07/05/22 3 000 5.96 65.02 -59.06 Various 14.94 116.02 42.02 W -59.06 Security total: TMC 02/18/2022 CALL \$4.00 / CUSIP: / Symbol: 4 transactions for 02/18/22. Total proceeds and cost reported to the IRS. 2 000 0.00 10/14/21 180 00 -180 00 1 of 4 - Option expiration- long call -170.00 2.000 0.00 10/22/21 170.00 2 of 4 - Option expiration- long call 1.000 0.00 10/22/21 85.00 -85.00 3 of 4 - Option expiration- long call -350.00 4 of 4 - Option expiration- long call 5.000 0.00 10/25/21 350.00 02/18/22 Total of 4 transactions 10.000 0.00 785.00 -785.00 Various TMC 04/08/2022 CALL \$3.00 / CUSIP: / Symbol: Option sale to close-call 25 03/11/22 1.000 03/10/22 25.00 34.98 5 transactions for 03/31/22. Total proceeds and cost reported to the IRS. 2.000 29.99 03/10/22 50.00 20.01 W 0.00 1 of 5 - Option sale to close-call 25 1.000 15.00 03/18/22 25.00 10.00 W 0.00 2 of 5 - Option sale to close-call 25 3 of 5 - Option sale to close-call 25 1.000 15.00 03/18/22 20.00 5.00 W 0.00 4 of 5 - Option sale to close-call 25 1.000 14.99 03/21/22 19.00 4.01 W 0.00 5 of 5 - Option sale to close-call 25 2.000 39.98 03/21/22 50.01 10.03 W 0.00 Total of 5 transactions 03/31/22 7.000 114.96 Various 164.01 49.05 W 0.00 2 transactions for 04/04/22. Total proceeds and cost reported to the IRS. 1.000 14.99 03/21/22 10.01 W 0.00 1 of 2 - Option sale to close-call 25 25.00 1.000 14.99 03/21/22 20.00 5.01 W 0.00 2 of 2 - Option sale to close-call 25 04/04/22 2.000 29.98 Various 15.02 W 0.00 Total of 2 transactions 45.00 6 transactions for 04/08/22. Total proceeds and cost reported to the IRS. 03/21/22 1.000 0.00 19.01 -19.01 1 of 6 - Option expiration- long call 1.000 03/22/22 20.01 0.00 -20.01 2 of 6 - Option expiration- long call 1.000 3 of 6 - Option expiration- long call 0.00 03/22/22 15.02 -15.021.000 0.00 03/22/22 15.01 -15.01 4 of 6 - Option expiration- long call 1.000 0.00 03/22/22 15.01 -15.01 5 of 6 - Option expiration- long call

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of pro	operty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TMC 04/08/2022 CALI	L \$3.00 / CUSIP: / Symbol: (cor	ıt'd)					
	1.000	0.00	03/22/22	10.00		-10.00	6 of 6 - Option expiration- long cal
04/08/22	6.000	0.00	Various	94.06		-94.06	Total of 6 transactions
	Security total:	204.92		328.07	64.07 W	-59.08	
ΓMC 04/29/2022 CALI	L \$2.50 / CUSIP: / Symbol:						
	4 transactions for 03/3	31/22. Total proceeds a	and cost report	ed to the IRS.			
	2.000	69.98	03/23/22	50.00		19.98	1 of 4 - Option sale to close-call 25
	2.000	79.98	03/23/22	40.00		39.98	2 of 4 - Option sale to close-call 25
	1.000	44.98	03/28/22	8.00		36.98	3 of 4 - Option sale to close-call 25
	1.000	49.98	03/28/22	8.00		41.98	4 of 4 - Option sale to close-call 25
03/31/22	6.000	244.92	Various	106.00		138.92	Total of 4 transactions
	5 transactions for 04/2	29/22. Total proceeds a	and cost report	ed to the IRS.			
	2.000	0.00	03/29/22	20.00		-20.00	1 of 5 - Option expiration- long cal
	1.000	0.00	04/06/22	25.00		-25.00	2 of 5 - Option expiration- long cal
	1.000	0.00	04/07/22	20.00		-20.00	3 of 5 - Option expiration- long call
	1.000	0.00	04/11/22	10.00		-10.00	4 of 5 - Option expiration- long call
	1.000	0.00	04/13/22	10.00		-10.00	5 of 5 - Option expiration- long call
04/29/22	6.000	0.00	Various	85.00		-85.00	Total of 5 transactions
	Security total:	244.92		191.00		53.92	
ΓMC 05/20/2022 CAL	L \$2.00 / CUSIP: / Symbol:						
03/08/22	1.000	139.98	01/27/22	15.00	•••	124.98	Option sale to close-call <sup>25</sup>
	7 transactions for 05/0	09/22. Total proceeds a	and cost report	ed to the IRS.			
	4.000	19.98	01/27/22	60.00		-40.02	1 of 7 - Option sale to close-call 25
	1.000	4.98	01/27/22	15.00	•••	-10.02	2 of 7 - Option sale to close-call 25
	1.000	4.99	01/27/22	15.00		-10.01	3 of 7 - Option sale to close-call 25
	1.000	5.00	03/08/22	60.00		-55.00	4 of 7 - Option sale to close-call 25
	4.000	19.98	03/25/22	80.00		-60.02	5 of 7 - Option sale to close-call 25
	1.000	4.99	03/25/22	20.00		-15.01	6 of 7 - Option sale to close-call 25
	1.000	5.00	05/03/22	20.01		-15.01	7 of 7 - Option sale to close-call 25
05/09/22	13.000	64.92	Various	270.01		-205.09	Total of 7 transactions
05/09/22	3.000	14.98	01/27/22	45.00	10.01 W	-20.01	Option sale to close-call <sup>25</sup>
	Security total:	219.88		330.01	10.01 W	-100.12	

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#### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS 1a- Description of property/CUSIP/Symbol 1c-Date 1d- Proceeds & 1f- Accrued mkt disc (D) & Gain or loss(-) & 6- Reported 1e- Cost or 1q- Wash sale loss 7- Loss not allowed (X) sold or 1b- Date disposed Quantity (G)ross or (N)et acquired other basis disallowed (W) also not reported (Z) Additional information TMC 05/20/2022 CALL \$3.00 / CUSIP: / Symbol: 5 transactions for 05/20/22. Total proceeds and cost reported to the IRS. 2.000 0.00 03/21/22 60.00 -60.001 of 5 - Option expiration- long call 2.000 0.00 03/22/22 40.00 -40.00 2 of 5 - Option expiration- long call 5.000 0.00 03/23/22 100.00 -100.00 3 of 5 - Option expiration- long call 2.000 0.00 03/24/22 30.00 -30.00 4 of 5 - Option expiration- long call 1.000 0.00 03/24/22 15.00 -15.00 5 of 5 - Option expiration- long call 05/20/22 12.000 0.00 Various 245.00 -245.00 Total of 5 transactions TMC 05/20/2022 CALL \$5.00 / CUSIP: / Symbol: 4 transactions for 05/20/22. Total proceeds and cost reported to the IRS. 10.000 0.00 04/04/22 100.00 -100.00 1 of 4 - Option expiration- long call 8.000 0.00 04/04/22 80 00 -80.00 2 of 4 - Option expiration- long call 1.000 0.00 04/04/22 10.00 3 of 4 - Option expiration- long call -10.00 1.000 0.00 04/04/22 10.00 -10.00 4 of 4 - Option expiration- long call Total of 4 transactions 05/20/22 20.000 0.00 Various 200.00 -200 00 TMC 08/19/2022 CALL \$2.00 / CUSIP: / Symbol: 04/21/22 1.000 39.98 03/02/22 30.00 9.98 Option sale to close-call <sup>25</sup> 05/06/22 4.000 119.98 03/02/22 120.00 -0.02Option sale to close-call 25 Security total: 159.96 150.00 9.96 TMC 08/19/2022 CALL \$4.00 / CUSIP: / Symbol: 2 transactions for 05/09/22. Total proceeds and cost reported to the IRS. 1.000 10.00 03/17/22 50.00 40.00 W 0.00 1 of 2 - Option sale to close-call 25 2 of 2 - Option sale to close-call 25 4.000 39.98 03/18/22 160.00 120.02 W 0.00 Total of 2 transactions 05/09/22 5.000 49.98 Various 210.00 160.02 W 0.00 9 transactions for 08/19/22. Total proceeds and cost reported to the IRS. 03/18/22 5.000 0.00 200 00 -200.00 1 of 9 - Option expiration- long call 2 of 9 - Option expiration-long call 10.000 0.00 03/21/22 350.00 -350.00 5.000 0.00 03/22/22 125.00 -125.00 3 of 9 - Option expiration- long call -140.00 4 of 9 - Option expiration- long call 7.000 0.00 03/24/22 140.00 3.000 0.00 03/29/22 45.00 -45.00 5 of 9 - Option expiration- long call 6 of 9 - Option expiration-long call 4.000 0.00 04/20/22 180.02 -180.027 of 9 - Option expiration- long call 5.000 0.00 04/20/22 75.00 -75.00

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line "Gain or loss (-)" is NOT reported to the IRS.

1a- Description of pro	perty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TMC 08/19/2022 CALL	\$4.00 / CUSIP: / Symbol: (cont	d)					
08/19/22	1.000 3.000 43.000 Security total:	0.00 0.00 0.00 <b>49.98</b>	04/20/22 05/05/22 Various	55.00 30.00 1,200.02 <b>1,410.02</b>	  160.02 W	-55.00 -30.00 -1,200.02 <b>-1,200.02</b>	8 of 9 - Option expiration- long call 9 of 9 - Option expiration- long call Total of 9 transactions
TWTR 04/14/2022 CAL	LL \$51.00 / CUSIP: / Symbol:			,		,	
04/11/22 04/14/22	1.000 1.000 2 transactions for 04/1	69.98 0.00 4/22. Total proceeds a	04/07/22 04/13/22 and cost report	85.00 20.06 ed to the IRS.	15.02 W 	0.00 -20.06	Option sale to close-call <sup>25</sup> Option expiration- long call
04/14/22	1.000 1.000 2.000 Security total:	30.98 34.98 65.96 <b>135.94</b>	04/08/22 04/12/22 Various	59.02 47.04 106.06 <b>211.12</b>	28.04 W 12.06 W 40.10 W <b>55.12 W</b>	0.00 0.00 0.00 - <b>20.06</b>	1 of 2 - Option sale to close-call <sup>25</sup> 2 of 2 - Option sale to close-call <sup>25</sup> Total of 2 transactions
TWTR 04/14/2022 CAL	LL \$54.00 / CUSIP: / Symbol:						
04/14/22 04/14/22	1.000 1.000 Security total:	10.98 10.98 <b>21.96</b>	04/12/22 04/07/22	30.02 32.00 <b>62.02</b>	21.02 W <b>21.02 W</b>	-19.04 0.00 <b>-19.04</b>	Option sale to close-call <sup>25</sup> Option sale to close-call <sup>25</sup>
TWTR 08/19/2022 CAL	L \$55.00 / CUSIP: / Symbol:						
04/05/22 04/06/22	1.000 1.000 3 transactions for 04/18	689.98 649.98 3/22. Total proceeds a	04/04/22 04/04/22 and cost report	540.00 540.00 ed to the IRS.		149.98 109.98	Option sale to close-call <sup>25</sup> Option sale to close-call <sup>25</sup>
04/18/22 04/20/22	1.000 1.000 1.000 3.000 1.000	299.98 299.98 299.98 899.94 299.98	04/04/22 04/04/22 04/07/22 Various 04/14/22	540.00 710.02 795.04 2,045.06 741.06	240.02 <i>W</i> 410.04 <i>W</i> 495.06 <i>W</i> 1,145.12 W	0.00 0.00 0.00 0.00 -441.08	1 of 3 - Option sale to close-call <sup>25</sup> 2 of 3 - Option sale to close-call <sup>25</sup> 3 of 3 - Option sale to close-call <sup>25</sup> Total of 3 transactions Option sale to close-call <sup>25</sup>
	Security total:	2,539.88		3,866.12	1,145.12 W	-181.12	

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of prop	perty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UAL 01/21/2022 CALL S	\$50.00 / CUSIP: / Symbol:						
	2 transactions for 01/	13/22. Total proceeds	and cost repo	rted to the IRS.			
01/13/22	2.000 1.000 3.000 2 transactions for 01/	159.99 79.99 239.98 18/22. Total proceeds a	10/20/21 10/29/21 Various and cost repo	420.00 200.00 620.00 orted to the IRS.	 	-260.01 -120.01 -380.02	1 of 2 - Option sale to close-call <sup>25</sup> 2 of 2 - Option sale to close-call <sup>25</sup> Total of 2 transactions
01/18/22	2.000 2.000 4.000	69.99 69.99 139.98 19/22. Total proceeds a	10/29/21 11/29/21 Various	400.00 270.00 670.00	  	-330.01 -200.01 -530.02	1 of 2 - Option sale to close-call <sup>25</sup> 2 of 2 - Option sale to close-call <sup>25</sup> Total of 2 transactions
01/19/22	2.000 1.000 3.000 Security total:	19.98 9.98 29.96 <b>409.92</b>	11/29/21 11/29/21 Various	270.00 135.00 405.00 <b>1,695.00</b>	  	-250.02 -125.02 -375.04 <b>-1,285.08</b>	1 of 2 - Option sale to close-call <sup>25</sup> 2 of 2 - Option sale to close-call <sup>25</sup> Total of 2 transactions
UAL 02/18/2022 CALL S	\$50.00 / CUSIP: / Symbol:						
	2 transactions for 02/	02/22. Total proceeds a	and cost repo	rted to the IRS.			
02/02/22 02/09/22	1.000 1.000 2.000 1.000	24.98 24.98 49.96 101.98 10/22. Total proceeds a	11/19/21 11/19/21 Various 11/19/21	250.00 250.00 500.00 250.00	  	-225.02 -225.02 -450.04 -148.02	1 of 2 - Option sale to close-call <sup>25</sup> 2 of 2 - Option sale to close-call <sup>25</sup> Total of 2 transactions Option sale to close-call <sup>25</sup>
02/10/22	1.000 1.000 2.000	104.98 114.98 219.96 (11/22. Total proceeds a	11/19/21 11/19/21 Various	250.00 250.00 500.00	 	-145.02 -135.02 -280.04	1 of 2 - Option sale to close-call <sup>25</sup> 2 of 2 - Option sale to close-call <sup>25</sup> Total of 2 transactions
02/11/22	1.000 1.000 1.000 3.000 Security total:	99.98 114.98 134.98 349.94 <b>721.84</b>	11/30/21 11/30/21 11/30/21 Various	152.00 152.00 152.00 456.00 <b>1,706.00</b>	  	-52.02 -37.02 -17.02 -106.06 <b>-984.16</b>	1 of 3 - Option sale to close-call <sup>25</sup> 2 of 3 - Option sale to close-call <sup>25</sup> 3 of 3 - Option sale to close-call <sup>25</sup> Total of 3 transactions

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Lir* "Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/C	USIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X)	Additional information
· · · · · · · · · · · · · · · · · · ·		(G)ross or (N)et	acquireu	Other pasis	disallowed (VV)	also not reported (2)	Additional information
UAL 03/04/2022 CALL \$40.00	•						
02/02/22	1.000	519.98	01/28/22	213.00	···	306.98	Option sale to close-call <sup>25</sup>
02/07/22	1.000	559.98	01/28/22	213.00	•••	346.98	Option sale to close-call <sup>25</sup>
	Security total:	1,079.96		426.00	•••	653.96	
UONE 06/17/2022 CALL \$7.50	0 / CUSIP: / Symbol:						
06/10/22	1.000	84.98	06/07/22	80.00		4.98	Option sale to close-call <sup>25</sup>
VLD 01/21/2022 CALL \$10.00	/ CUSIP: / Symbol:						
	4 transactions for 01/21	/22. Total proceeds a	and cost repo	rted to the IRS.			
	3.000	0.00	12/06/21	195.00		-195.00	1 of 4 - Option expiration- long call
	1.000	0.00	12/13/21	35.00		-35.00	2 of 4 - Option expiration- long call
	1.000	0.00	12/13/21	35.00		-35.00	3 of 4 - Option expiration- long call
	1.000	0.00	12/13/21	35.00		-35.00	4 of 4 - Option expiration- long call
01/21/22	6.000	0.00	Various	300.00		-300.00	Total of 4 transactions
VLD 02/18/2022 CALL \$7.50 /	CUSIP: / Symbol:						
02/11/22	1.000	44.98	01/13/22	13.00		31.98	Option sale to close-call <sup>25</sup>
	2 transactions for 02/15	/22. Total proceeds a	and cost repo	rted to the IRS.			
	1.000	22.98	01/13/22	13.00		9.98	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	39.98	01/13/22	26.00		13.98	2 of 2 - Option sale to close-call <sup>25</sup>
02/15/22	3.000	62.96	Various	39.00	···	23.96	Total of 2 transactions
02/16/22	1.000	24.98	01/13/22	13.00		11.98	Option sale to close-call <sup>25</sup>
	Security total:	132.92		65.00		67.92	
VLD 05/20/2022 CALL \$7.50 /	CUSIP: / Symbol:						
02/15/22	1.000	119.98	01/20/22	46.00	····	73.98	Option sale to close-call <sup>25</sup>
02/24/22	1.000	114.98	01/20/22	46.00	···	68.98	Option sale to close-call <sup>25</sup>
02/25/22	1.000	139.98	01/20/22	46.00	···	93.98	Option sale to close-call <sup>25</sup>
03/04/22	1.000	104.98	01/20/22	46.00	···	58.98	Option sale to close-call <sup>25</sup>
03/18/22	1.000	119.98	01/20/22	46.00		73.98	Option sale to close-call <sup>25</sup>
	5 transactions for 05/20	/22. Total proceeds a	and cost repo	rted to the IRS.			
	2.000	0.00	04/06/22	80.00	•••	-80.00	1 of 5 - Option expiration- long call
	1.000	0.00	04/06/22	45.00		-45.00	2 of 5 - Option expiration- long call
	1.000	0.00	04/12/22	25.00		-25.00	3 of 5 - Option expiration- long call

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#### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

call

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS 1a- Description of property/CUSIP/Symbol 1c- Date 1d- Proceeds & 1f- Accrued mkt disc (D) & Gain or loss(-) & sold or 6- Reported 1e- Cost or 1q- Wash sale loss 7- Loss not allowed (X) 1b- Date disposed Quantity (G)ross or (N)et acquired other basis disallowed (W) also not reported (Z) Additional information VLD 05/20/2022 CALL \$7.50 / CUSIP: / Symbol: (cont'd) 1.000 0.00 04/13/22 18.00 -18.004 of 5 - Option expiration-long call 1.000 0.00 04/13/22 18.00 -18.00 5 of 5 - Option expiration- long call Total of 5 transactions 05/20/22 6 000 0.00 186.00 -186.00 Various 599.90 416.00 183.90 Security total: VLD 06/17/2022 CALL \$7.50 / CUSIP: / Symbol: 2 transactions for 06/17/22. Total proceeds and cost reported to the IRS. 5 000 0.00 04/20/22 75 00 -75 00 1 of 2 - Option expiration- long call 3.000 0.00 04/26/22 15.00 -15.00 2 of 2 - Option expiration- long call 06/17/22 8.000 0.00 Various 90.00 -90.00 Total of 2 transactions VLD 08/19/2022 CALL \$2.50 / CUSIP: / Symbol: 3 transactions for 07/07/22. Total proceeds and cost reported to the IRS. 3.000 14.99 06/06/22 54.00 -39.01 1 of 3 - Option sale to close-call 25 2.000 9.99 06/06/22 36.00 -26.01 2 of 3 - Option sale to close-call 25 3 of 3 - Option sale to close-call 25 5.000 24.99 06/28/22 25.00 -0.01 Total of 3 transactions 07/07/22 10.000 49.97 -65.03Various 115.00 VLDR 01/21/2022 CALL \$5.00 / CUSIP: / Symbol: 01/21/22 10.000 0.00 12/29/21 320.00 -320.00 Option expiration-long call VLDR 02/18/2022 CALL \$5.00 / CUSIP: / Symbol: 02/18/22 -400.00 0.00 01/10/22 400.00 Option expiration- long call VLDR 03/18/2022 CALL \$7.50 / CUSIP: / Symbol: 10 transactions for 03/18/22. Total proceeds and cost reported to the IRS. 6.000 0.00 10/27/21 432.00 -432.00 1 of 10 - Option expiration-long 5.000 0.00 11/29/21 350.00 -350.00 2 of 10 - Option expiration-long call 1.000 0.00 11/29/21 80.00 -80.00 3 of 10 - Option expiration-long call 1.000 0.00 11/29/21 80.00 -80.00 4 of 10 - Option expiration-long

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of property/	CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VLDR 03/18/2022 CALL \$7.5	50 / CUSIP: / Symbol: (cor	nt'd)					
	1.000	0.00	11/29/21	80.00		-80.00	5 of 10 - Option expiration- long call
	9.000	0.00	02/08/22	135.00		-135.00	6 of 10 - Option expiration- long call
	7.000	0.00	02/08/22	105.00		-105.00	7 of 10 - Option expiration- long call
	2.000	0.00	02/08/22	30.00		-30.00	8 of 10 - Option expiration- long call
	1.000	0.00	02/08/22	15.00		-15.00	9 of 10 - Option expiration- long call
	1.000	0.00	02/08/22	15.00		-15.00	10 of 10 - Option expiration- long
03/18/22	34.000	0.00	Various	1,322.00		-1,322.00	Total of 10 transactions
VLDR 06/17/2022 CALL \$5.0	•						
	12 transactions for 06/	•	•				
	3.000	0.00	01/18/22	180.00		-180.00	1 of 12 - Option expiration- long call
	3.000	0.00	02/01/22	135.00		-135.00	2 of 12 - Option expiration- long call
	2.000	0.00	02/08/22	150.00		-150.00	3 of 12 - Option expiration- long call
	1.000	0.00	02/17/22	70.00		-70.00	4 of 12 - Option expiration- long call
	1.000	0.00	02/17/22	70.00		-70.00	5 of 12 - Option expiration- long call
	1.000	0.00	03/01/22	45.00		-45.00	6 of 12 - Option expiration- long call
	2.000	0.00	03/03/22	50.00		-50.00	7 of 12 - Option expiration- long call
	3.000	0.00	03/04/22	45.00		-45.00	8 of 12 - Option expiration- long call
	5.000	0.00	03/08/22	50.00		-50.00	9 of 12 - Option expiration- long call
	5.000	0.00	03/09/22	50.00		-50.00	10 of 12 - Option expiration- long

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IF "Gain or loss (-)" is NOT reported to the IRS.

1a- Description of prop	erty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VLDR 06/17/2022 CALL	\$5.00 / CUSIP: / Symbol: (co	ont'd)					
	10.000	0.00	04/05/22	60.00		-60.00	11 of 12 - Option expiration- long call
	5.000	0.00	04/06/22	40.00		-40.00	12 of 12 - Option expiration- long call
06/17/22	41.000	0.00	Various	945.00		-945.00	Total of 12 transactions
VLDR 07/15/2022 CALL	\$2.50 / CUSIP: / Symbol:						
	5 transactions for 05/	/31/22. Total proceeds a	and cost report	ed to the IRS.			
	2.000	9.99	03/10/22	70.00		-60.01	1 of 5 - Option sale to close-call 25
	1.000	4.99	03/10/22	35.00		-30.01	2 of 5 - Option sale to close-call 25
	1.000	5.00	03/10/22	35.00		-30.00	3 of 5 - Option sale to close-call 25
	1.000	5.00	03/10/22	35.00		-30.00	4 of 5 - Option sale to close-call 25
	1.000	4.98	04/12/22	30.00		-25.02	5 of 5 - Option sale to close-call 25
05/31/22	6.000	29.96	Various	205.00	···	-175.04	Total of 5 transactions
VLTA 10/21/2022 CALL	\$5.00 / CUSIP: / Symbol:						
	6 transactions for 05/	/09/22. Total proceeds a	and cost report	ed to the IRS.			
	3.000	29.99	03/28/22	150.00		-120.01	1 of 6 - Option sale to close-call 25
	2.000	19.99	03/29/22	90.00	•••	-70.01	2 of 6 - Option sale to close-call 25
	3.000	29.99	03/30/22	150.00	•••	-120.01	3 of 6 - Option sale to close-call 25
	1.000	10.00	03/31/22	45.00		-35.00	4 of 6 - Option sale to close-call 25
	1.000	10.00	04/01/22	45.00		-35.00	5 of 6 - Option sale to close-call 25
	5.000	49.99	04/20/22	100.00		-50.01	6 of 6 - Option sale to close-call 25
05/09/22	15.000	149.96	Various	580.00		-430.04	Total of 6 transactions
	3 transactions for 05/	/09/22. Total proceeds a	and cost report	ted to the IRS.			
	3.000	29.99	03/10/22	330.00	300.01 W	0.00	1 of 3 - Option sale to close-call 25
	1.000	10.00	03/21/22	85.00	75.00 W	0.00	2 of 3 - Option sale to close-call 25
	1.000	10.00	03/28/22	60.00	50.00 W	0.00	3 of 3 - Option sale to close-call 25
05/09/22	5.000	49.99	Various	475.00	425.01 W	0.00	Total of 3 transactions
	3 transactions for 05/	/11/22. Total proceeds a	and cost report	ed to the IRS.			
	3.000	14.99	04/20/22	360.01	***	-345.02	1 of 3 - Option sale to close-call 25
	1.000	5.00	04/20/22	95.00		-90.00	2 of 3 - Option sale to close-call 25
	1.000	4.99	04/20/22	70.00		-65.01	3 of 3 - Option sale to close-call 25
05/11/22	5.000	24.98	Various	525.01		-500.03	Total of 3 transactions

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#### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

**2022 1099-B\*** OMB No. 1545-0715

05/06/22

(continued)

02/13/2023

-68 01

-453.03

-602.07

2 of 2 - Option sale to close-call 25

Total of 2 transactions

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12) "Gain or loss (-)" is NOT reported to the IRS.

2 000

9.000

Security total:

69 99

314.97

435.93

03/09/22

Various

1a- Description of property/CUSIP/Symbol 1c-Date 1d- Proceeds & 1f- Accrued mkt disc (D) & Gain or loss(-) & sold or 6- Reported 1e- Cost or 1q- Wash sale loss 7- Loss not allowed (X) 1b- Date disposed Quantity (G)ross or (N)et acquired other basis disallowed (W) also not reported (Z) Additional information VLTA 10/21/2022 CALL \$5.00 / CUSIP: / Symbol: (cont'd) 224.93 1.580.01 425.01 W -930.07 Security total: VORB 03/18/2022 CALL \$7.00 / CUSIP: / Symbol: 01/27/22 1.000 179.98 01/24/22 95.00 84.98 Option sale to close-call 25 VRM 05/20/2022 CALL \$2.00 / CUSIP: / Symbol: 3 transactions for 05/11/22. Total proceeds and cost reported to the IRS. 2.000 19.98 04/20/22 56.00 -36.021 of 3 - Option sale to close-call 25 04/20/22 2 of 3 - Option sale to close-call 25 1.000 9.98 28.00 -18.02 1.000 9.98 04/20/22 28.00 -18.023 of 3 - Option sale to close-call 25 Total of 3 transactions 05/11/22 4.000 39.94 112.00 -72.06Various VRM 07/15/2022 CALL \$5.00 / CUSIP: / Symbol: 03/24/22 3.000 03/01/22 165.00 14.98 Option sale to close-call 25 179.98 4 transactions for 05/09/22. Total proceeds and cost reported to the IRS. 1.000 5.00 03/11/22 35.00 -30.00 1 of 4 - Option sale to close-call 25 1.000 2 of 4 - Option sale to close-call 25 4.99 03/16/22 34.00 -29.01 2.000 9.99 04/06/22 70.00 -60.01 3 of 4 - Option sale to close-call 25 1.000 4.99 04/19/22 65.00 -60.01 4 of 4 - Option sale to close-call 25 05/09/22 5 000 24 97 204 00 -179.03Total of 4 transactions Various 05/09/22 7.000 34.98 385.00 -300.02 Option sale to close-call 25 03/01/22 50.00 W Security total: 239.93 754.00 50.00 W -464.07 WISH 01/20/2023 CALL \$2.50 / CUSIP: / Symbol: 2 transactions for 04/26/22. Total proceeds and cost reported to the IRS. 79.98 1 of 2 - Option sale to close-call 25 2.000 02/17/22 180.00 -100.021.000 40.98 02/17/22 90.00 -49.02 2 of 2 - Option sale to close-call 25 120.96 270.00 Total of 2 transactions 04/26/22 3.000 Various -149.042 transactions for 05/06/22. Total proceeds and cost reported to the IRS. 7.000 244.98 02/17/22 630.00 -385.02 1 of 2 - Option sale to close-call 25

138 00

768.00

1.038.00

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

**1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of property	/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WISH 01/21/2022 CALL \$4.0	00 / CUSIP: / Symbol:						
	4 transactions for 01/2	1/22. Total proceeds a	and cost repo	rted to the IRS.			
	10.000 3.000 3.000 2.000	0.00 0.00 0.00 0.00	11/24/21 12/01/21 12/13/21 12/13/21	500.00 132.00 90.00 60.00	  	-500.00 -132.00 -90.00 -60.00	1 of 4 - Option expiration- long call 2 of 4 - Option expiration- long call 3 of 4 - Option expiration- long call 4 of 4 - Option expiration- long call
01/21/22	18.000	0.00	Various	782.00		-782.00	Total of 4 transactions
WISH 02/04/2022 CALL \$3.5	50 / CUSIP: / Symbol:						
02/04/22	10.000	0.00	12/29/21	280.00	***	-280.00	Option expiration- long call
WISH 02/18/2022 CALL \$2.5	50 / CUSIP: / Symbol:						
	9 transactions for 02/1	5/22. Total proceeds a	and cost repo	rted to the IRS.			
	5.000	99.99	01/21/22	150.00		-50.01	1 of 9 - Option sale to close-call 25
	2.000	40.00	01/24/22	38.00		2.00	2 of 9 - Option sale to close-call 25
	1.000	20.00	01/25/22	19.00		1.00	3 of 9 - Option sale to close-call <sup>25</sup>
	1.000	20.00	02/02/22	24.00		-4.00	4 of 9 - Option sale to close-call <sup>25</sup>
	1.000	20.00	02/02/22	24.00		-4.00	5 of 9 - Option sale to close-call <sup>25</sup>
	1.000	20.00	02/03/22	14.00		6.00	6 of 9 - Option sale to close-call <sup>25</sup>
	10.000	199.97	02/07/22	120.00		79.97	7 of 9 - Option sale to close-call <sup>25</sup>
	4.000	79.99	02/11/22	28.00		51.99	8 of 9 - Option sale to close-call <sup>25</sup>
	6.000	119.98	02/11/22	42.00		77.98	9 of 9 - Option sale to close-call <sup>25</sup>
02/15/22	31.000	619.93	Various	459.00		160.93	Total of 9 transactions
WISH 02/25/2022 CALL \$3.0	00 / CUSIP: / Symbol:						
	4 transactions for 02/2	5/22. Total proceeds a	and cost repo	rted to the IRS.			
02/25/22	5.000 5.000 5.000 5.000 20.000	0.00 0.00 0.00 0.00 0.00	01/13/22 01/13/22 02/02/22 02/02/22 Various	130.00 130.00 115.00 100.00 475.00	  	-130.00 -130.00 -115.00 -100.00 -475.00	1 of 4 - Option expiration- long call 2 of 4 - Option expiration- long call 3 of 4 - Option expiration- long call 4 of 4 - Option expiration- long call Total of 4 transactions
UZIZJIZZ	20.000	0.00	v ai ious	475.00	···	-475.00	Total of 4 transactions

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of propert	ty/CUSIP/Symbol						
1c- Date sold or		1d- Proceeds & 6- Reported	1b- Date	1e- Cost or	1f- Accrued mkt disc (D) & 1g- Wash sale loss	Gain or loss(-) & 7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	` ,	Additional information
WISH 04/14/2022 CALL \$5	5.00 / CUSIP: / Symbol:		-				
04/05/22	5.000 10 transactions for 04/	4.98 14/22. Total proceeds	10/04/21 and cost rep	700.00 ported to the IRS.		-695.02	Option sale to close-call <sup>25</sup>
	3.000	0.00	10/26/21	459.00		-459.00	1 of 10 - Option expiration- long call
	2.000	0.00	10/26/21	306.00		-306.00	2 of 10 - Option expiration- long call
	2.000	0.00	11/17/21	216.00		-216.00	3 of 10 - Option expiration- long call
	5.000	0.00	11/18/21	450.00		-450.00	4 of 10 - Option expiration- long call
	3.000	0.00	02/11/22	27.00		-27.00	5 of 10 - Option expiration- long call
	2.000	0.00	02/11/22	18.00		-18.00	6 of 10 - Option expiration- long call
	2.000	0.00	02/11/22	18.00		-18.00	7 of 10 - Option expiration- long call
	1.000	0.00	02/11/22	9.00		-9.00	8 of 10 - Option expiration- long call
	1.000	0.00	02/11/22	9.00		-9.00	9 of 10 - Option expiration- long call
	1.000	0.00	02/11/22	9.00		-9.00	10 of 10 - Option expiration- long call
04/14/22	22.000	0.00	Various	1,521.00		-1,521.00	Total of 10 transactions
	Security total:	4.98		2,221.00		-2,216.02	
WISH 04/14/2022 CALL \$6	,						
	11 transactions for 04/	14/22. Total proceeds	and cost rep	ported to the IRS.			
	10.000	0.00	10/01/21	1,350.00		-1,350.00	1 of 11 - Option expiration- long call
	5.000	0.00	10/11/21	525.00		-525.00	2 of 11 - Option expiration- long call
	5.000	0.00	10/18/21	540.00		-540.00	3 of 11 - Option expiration- long call
	3.000	0.00	10/27/21	321.00		-321.00	4 of 11 - Option expiration- long call

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# **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of proper	•	415			46 4 1 14 11 17 17		
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X)	Additional information
	6.00 / CUSIP: / Symbol: (co	, , , , , ,	acquired	Other basis	disallowed (**)	also not reported (2)	Additional information
VVISH 04/14/2022 CALL \$	, ,	*					
	2.000	0.00	10/27/21	214.00		-214.00	5 of 11 - Option expiration- long call
	5.000	0.00	11/17/21	395.00		-395.00	6 of 11 - Option expiration- long call
	3.000	0.00	11/19/21	180.00		-180.00	7 of 11 - Option expiration- long call
	10.000	0.00	11/29/21	500.00		-500.00	8 of 11 - Option expiration- long call
	10.000	0.00	03/01/22	40.00		-40.00	9 of 11 - Option expiration- long call
	1.000	0.00	03/01/22	4.00		-4.00	10 of 11 - Option expiration- long call
	1.000	0.00	03/01/22	4.00		-4.00	11 of 11 - Option expiration- long call
04/14/22	55.000	0.00	Various	4,073.00		-4,073.00	Total of 11 transactions
WISH 07/15/2022 CALL \$	3.00 / CUSIP: / Symbol:						
	4 transactions for 05/	12/22. Total proceeds a	and cost report	ed to the IRS.			
	1.000	4.00	03/28/22	34.00		-30.00	1 of 4 - Option sale to close-call 25
	1.000	3.99	03/31/22	29.00		-25.01	2 of 4 - Option sale to close-call 25
	1.000	4.00	04/06/22	24.00		-20.00	3 of 4 - Option sale to close-call 25
	1.000	3.99	04/13/22	19.00		-15.01	4 of 4 - Option sale to close-call 25
05/12/22	4.000	15.98	Various	106.00		-90.02	Total of 4 transactions
WISH 10/21/2022 CALL \$	4.00 / CUSIP: / Symbol:						
05/12/22	3.000	20.98	03/18/22	84.00		-63.02	Option sale to close-call <sup>25</sup>
WKHS 01/21/2022 CALL	\$7.00 / CUSIP: / Symbol:						
	4 transactions for 01/	21/22. Total proceeds a	and cost report	ed to the IRS.			
	2.000	0.00	11/05/21	240.00		-240.00	1 of 4 - Option expiration- long cal
	1.000	0.00	11/29/21	57.00		-57.00	2 of 4 - Option expiration- long cal
	1.000	0.00	11/29/21	57.00		-57.00	3 of 4 - Option expiration- long cal
	1.000	0.00	11/29/21	57.00	•••	-57.00	4 of 4 - Option expiration- long cal
01/21/22	5.000	0.00	Various	411.00	•••	-411.00	Total of 4 transactions

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# **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of prope	rty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WKHS 01/21/2022 CALL	\$8.00 / CUSIP: / Symbol:						
	2 transactions for 01/	21/22. Total proceeds a	and cost report	ed to the IRS.			
	2.000 1.000	0.00 0.00	11/03/21 11/05/21	240.00 90.00		-240.00 -90.00	1 of 2 - Option expiration- long call 2 of 2 - Option expiration- long call
01/21/22	3.000	0.00	Various	330.00		-330.00	Total of 2 transactions
WMT 06/17/2022 CALL \$	135.00 / CUSIP: / Symbol:						
05/24/22	1.000 5 transactions for 05/	42.98 26/22. Total proceeds a	05/18/22 and cost report	95.00 ted to the IRS.	52.02 W	0.00	Option sale to close-call <sup>25</sup>
	1.000 1.000 1.000 1.000	39.98 38.98 38.98 39.98	05/18/22 05/19/22 05/19/22 05/20/22	95.00 97.02 94.02 84.04	55.02 W 58.04 W 55.04 W 44.06 W	0.00 0.00 0.00 0.00	1 of 5 - Option sale to close-call <sup>25</sup> 2 of 5 - Option sale to close-call <sup>25</sup> 3 of 5 - Option sale to close-call <sup>25</sup> 4 of 5 - Option sale to close-call <sup>25</sup>
05/26/22		39.98 197.90 27/22. Total proceeds a			41.06 W 253.22 W	<i>0.00</i> 0.00	5 of 5 - Option sale to close-call <sup>25</sup> Total of 5 transactions
05/27/22	1.000 1.000 2.000 Security total:	44.98 44.98 89.96 <b>330.84</b>	05/25/22 05/26/22 Various	70.06 68.06 138.12 <b>684.24</b>	   305.24 W	-25.08 -23.08 -48.16 <b>-48.16</b>	1 of 2 - Option sale to close-call <sup>25</sup> 2 of 2 - Option sale to close-call <sup>25</sup> Total of 2 transactions
WMT 07/15/2022 CALL \$	130.00 / CUSIP: / Symbol:						
06/10/22	1.000	91.98 110/22. Total proceeds a	06/09/22 and cost report	100.00 ted to the IRS.		-8.02	Option sale to close-call <sup>25</sup>
06/10/22	1.000 1.000 2.000 2 transactions for 06/	80.98 91.98 172.96 16/22. Total proceeds a	06/09/22 06/09/22 Various and cost report	100.00 100.00 200.00 ted to the IRS.	19.02 W 8.02 W 27.04 W	0.00 0.00 0.00	1 of 2 - Option sale to close-call <sup>25</sup> 2 of 2 - Option sale to close-call <sup>25</sup> Total of 2 transactions
06/16/22 06/23/22 06/24/22	1.000 1.000 2.000 1.000 1.000	49.98 79.98 129.96 69.98 79.98	06/09/22 06/09/22 Various 06/10/22 06/10/22	100.00 100.00 200.00 69.02 58.02	   	-50.02 -20.02 -70.04 0.96 21.96	1 of 2 - Option sale to close-call <sup>25</sup> 2 of 2 - Option sale to close-call <sup>25</sup> Total of 2 transactions Option sale to close-call <sup>25</sup> Option sale to close-call <sup>25</sup>
	Security total:	544.86		627.04	27.04 W	-55.14	

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# **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of prope	erty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
XSPA 01/21/2022 CALL	\$1.50 / CUSIP: / Symbol:						
	4 transactions for 01/0	4/22. Total proceeds a	and cost repo	rted to the IRS.			
	1.000	50.00	10/26/21	20.00		30.00	1 of 4 - Option sale to close-call <sup>25</sup>
	2.000	100.00	10/26/21	40.00		60.00	2 of 4 - Option sale to close-call <sup>25</sup>
	17.000	849.96	10/26/21	340.00		509.96	3 of 4 - Option sale to close-call <sup>25</sup>
	30.000	1,499.92	10/26/21	600.00		899.92	4 of 4 - Option sale to close-call <sup>25</sup>
01/04/22	50.000	2,499.88	Various	1,000.00	···	1,499.88	Total of 4 transactions
01/20/22	50.000	499.89	10/26/21	1,000.00		-500.11	Option sale to close-call <sup>25</sup>
	Security total:	2,999.77		2,000.00		999.77	.,
BRIACELL THERAPEUT	TICS CORP. CO MMON SHARI	ES / CUSIP: 10778Y3	02 / Symbol:				
	23 transactions for 01/	10/22. Total proceeds	and cost rep	orted to the IRS.			
	20.000	163.01	05/27/21	62.20		100.81	1 of 23 - Sale <sup>25</sup>
	30.000	244.51	05/27/21	93.30		151.21	2 of 23 - Sale <sup>25</sup>
	80.000	652.02	05/27/21	248.80		403.22	3 of 23 - Sale <sup>25</sup>
	100.000	815.04	05/27/21	311.00		504.04	4 of 23 - Sale <sup>25</sup>
	30.000	241.19	06/03/21	183.00		58.19	5 of 23 - Sale <sup>25</sup>
	32.000	257.28	06/03/21	188.80		68.48	6 of 23 - Sale <sup>25</sup>
	70.000	570.52	06/03/21	427.00		143.52	7 of 23 - Sale <sup>25</sup>
	68.000	546.71	06/03/21	401.20		145.51	8 of 23 - Sale <sup>25</sup>
	1.000	8.04	06/04/21	5.62		2.42	9 of 23 - Sale <sup>25</sup>
	4.000	32.16	06/04/21	22.48		9.68	10 of 23 - Sale <sup>25</sup>
	5.000	40.20	06/04/21	28.10		12.10	11 of 23 - Sale <sup>25</sup>
	6.000	48.24	06/04/21	33.72		14.52	12 of 23 - Sale <sup>25</sup>
	9.000	72.36	06/04/21	48.15		24.21	13 of 23 - Sale <sup>25</sup>
	12.000	96.48	06/04/21	64.20		32.28	14 of 23 - Sale <sup>25</sup>
	16.000	128.64	06/04/21	82.40		46.24	15 of 23 - Sale <sup>25</sup>
	25.000	201.00	06/04/21	140.50		60.50	16 of 23 - Sale <sup>25</sup>
	34.000	273.35	06/04/21	181.90		91.45	17 of 23 - Sale <sup>25</sup>
	34.000	273.36	06/04/21	175.10		98.26	18 of 23 - Sale <sup>25</sup>
	45.000	361.79	06/04/21	240.75		121.04	19 of 23 - Sale <sup>25</sup>
	59.000	474.35	06/04/21	331.58		142.77	20 of 23 - Sale <sup>25</sup>
	150.000	1,205.97	06/04/21	772.50		433.47	21 of 23 - Sale <sup>25</sup>
	16.000	128.64	06/07/21	85.10		43.54	22 of 23 - Sale <sup>25</sup>

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# **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of prop	· · · · · · · · · · · · · · · · · · ·						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BRIACELL THERAPEU	ITICS CORP. CO MMON SHARE:	S / CUSIP: 10778Y3	02 / Symbol:	(cont'd)			
	154.000	1,238.13	06/07/21	819.06		419.07	23 of 23 - Sale <sup>25</sup>
01/10/22	1,000.000	8,072.99	Various	4,946.46		3,126.53	Total of 23 transactions
	2 transactions for 01/13/	'22. Total proceeds a	and cost report	ted to the IRS.			
	100.000	803.48	06/07/21	531.86	···	271.62	1 of 2 - Sale <sup>25</sup>
	100.000	804.48	06/07/21	531.86	•••	272.62	2 of 2 - Sale <sup>25</sup>
01/13/22	200.000	1,607.96	Various	1,063.72		544.24	Total of 2 transactions
	13 transactions for 01/18	3/22. Total proceeds	and cost repo	rted to the IRS.			
	30.000	242.70	06/07/21	159.56	···	83.14	1 of 13 - Sale <sup>25</sup>
	30.000	244.90	06/07/21	159.30	···	85.60	2 of 13 - Sale <sup>25</sup>
	70.000	566.30	06/07/21	371.70		194.60	3 of 13 - Sale <sup>25</sup>
	100.000	809.98	06/07/21	531.86	•••	278.12	4 of 13 - Sale <sup>25</sup>
	200.000	1,632.65	06/21/21	1,020.00	•••	612.65	5 of 13 - Sale <sup>25</sup>
	300.000	2,448.97	07/19/21	1,320.00	***	1,128.97	6 of 13 - Sale <sup>25</sup>
	20.000	163.26	07/22/21	86.00	***	77.26	7 of 13 - Sale <sup>25</sup>
	50.000	408.16	07/22/21	215.00		193.16	8 of 13 - Sale <sup>25</sup>
	100.000	816.32	07/22/21	430.00	***	386.32	9 of 13 - Sale <sup>25</sup>
	100.000	816.32	09/15/21	715.00	***	101.32	10 of 13 - Sale <sup>25</sup>
	100.000	816.33	09/15/21	715.00	•••	101.33	11 of 13 - Sale <sup>25</sup>
	11.000	89.80	09/29/21	88.00	•••	1.80	12 of 13 - Sale <sup>25</sup>
	89.000	726.52	09/29/21	712.00	•••	14.52	13 of 13 - Sale <sup>25</sup>
01/18/22	1,200.000	9,782.21	Various	6,523.42	•••	3,258.79	Total of 13 transactions
01/20/22	100.000	806.98	09/29/21	800.00	•••	6.98	Sale <sup>25</sup>
	6 transactions for 01/21/	'22. Total proceeds a	and cost report	ted to the IRS.			
	25.000	189.88	10/08/21	177.50		12.38	1 of 6 - Sale <sup>25</sup>
	75.000	569.98	10/08/21	532.50		37.48	2 of 6 - Sale <sup>25</sup>
	100.000	760.98	10/08/21	710.00		50.98	3 of 6 - Sale <sup>25</sup>
	100.000	799.98	10/08/21	710.00	***	89.98	4 of 6 - Sale <sup>25</sup>
	13.000	96.46	10/22/21	94.90	***	1.56	5 of 6 - Sale <sup>25</sup>
	87.000	645.52	10/22/21	635.10		10.42	6 of 6 - Sale <sup>25</sup>
01/21/22	400.000	3,062.80	Various	2,860.00		202.80	Total of 6 transactions
	4 transactions for 01/24/	'22. Total proceeds a	and cost report	ted to the IRS.			
	57.000	362.51	10/22/21	416.10		-53.59	1 of 4 - Sale <sup>25</sup>
	25.000	160.00	10/22/21	182.50		-22.50	2 of 4 - Sale <sup>25</sup>

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# **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of prop	erty/CUSIP/Symbol						
1c- Date		1d- Proceeds &			1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or		6- Reported	1b- Date	1e- Cost or	1g- Wash sale loss	7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	also not reported (Z)	Additional information
BRIACELL THERAPEU	TICS CORP. CO MMON SHAF	RES / CUSIP: 10778Y3	302 / Symbol:	(cont'd)			
	11.000	70.07	10/22/21	80.30		-10.23	3 of 4 - Sale <sup>25</sup>
	7.000	44.59	10/22/21	51.10		-6.51	4 of 4 - Sale <sup>25</sup>
01/24/22	100.000	637.17	Various	730.00		-92.83	Total of 4 transactions
	Security total:	23,970.11		16,923.60		7,046.51	
FLORA GROWTH COR	P. COMMON STOC K / CUSIP	P: 339764102 / Symbol	:				
	12 transactions for 01	/24/22. Total proceeds	and cost rep	orted to the IRS.			
	200.000	296.92	10/29/21	880.00	583.08 W	0.00	1 of 12 - Sale <sup>25</sup>
	200.000	294.85	10/29/21	880.00	585.15 W	0.00	2 of 12 - Sale <sup>25</sup>
	30.000	44.23	11/18/21	124.50	80.27 W	0.00	3 of 12 - Sale <sup>25</sup>
	170.000	250.63	11/18/21	705.50	454.87 W	0.00	4 of 12 - Sale <sup>25</sup>
	100.000	147.43	11/18/21	390.00	242.57 W	0.00	5 of 12 - Sale <sup>25</sup>
	100.000	147.43	11/18/21	390.00	242.57 W	0.00	6 of 12 - Sale <sup>25</sup>
	135.000	199.02	11/19/21	328.05	129.03 W	0.00	7 of 12 - Sale <sup>25</sup>
	100.000	147.43	11/19/21	243.00	95.57 W	0.00	8 of 12 - Sale <sup>25</sup>
	100.000	147.42	11/19/21	243.00	95.58 W	0.00	9 of 12 - Sale <sup>25</sup>
	65.000	95.83	11/19/21	157.95	62.12 W	0.00	10 of 12 - Sale <sup>25</sup>
	200.000	330.98	11/19/21	486.00	155.02 W	0.00	11 of 12 - Sale <sup>25</sup>
	100.000	167.49	11/19/21	243.00	75.51 W	0.00	12 of 12 - Sale <sup>25</sup>
01/24/22	1,500.000	2,269.66	Various	5,071.00	2,801.34 W	0.00	Total of 12 transactions
03/04/22	100.000	192.18	11/19/21	243.00	_,	-50.82	Sale <sup>25</sup>
33.3		1/07/22. Total proceeds				00.02	Cu.C
	500.000	924.93	11/19/21	1,149.35		-224.42	1 of 13 - Sale <sup>25</sup>
	154.000	284.88	11/19/21	374.22		-89.34	2 of 13 - Sale <sup>25</sup>
	35.000	64.75	11/19/21	85.05	•••	-20.30	3 of 13 - Sale <sup>25</sup>
	11.000	20.35	11/19/21	26.73		-6.38	4 of 13 - Sale <sup>25</sup>
	200.000	369.97	11/30/21	387.64		-17.67	5 of 13 - Sale <sup>25</sup>
	200.000	369.97	12/09/21	423.54		-53.57	6 of 13 - Sale <sup>25</sup>
	161.000	297.83	12/27/21	788.22		-490.39	7 of 13 - Sale <sup>25</sup>
	151.000	279.33	12/27/21	737.70		-458.37	8 of 13 - Sale <sup>25</sup>
	70.000	129.49	12/27/21	325.20		-195.71	9 of 13 - Sale <sup>25</sup>
	49.000	90.64	12/27/21	239.38		-148.74	10 of 13 - Sale <sup>25</sup>
	39.000	72.15	12/27/21	190.93		-118.78	11 of 13 - Sale <sup>25</sup>
	29.000	53.65	12/27/21	134.72		-81.07	12 of 13 - Sale <sup>25</sup>

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# **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of prope	erty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FLORA GROWTH CORF	P. COMMON STOC K / CUSII	P: 339764102 / Symbol:	(cont'd)				
	1.000	1.85	12/27/21	4.65		-2.80	13 of 13 - Sale <sup>25</sup>
03/07/22	1,600.000	2,959.79	Various	4,867.33		-1,907.54	Total of 13 transactions
04/08/22	100.000	173.99	01/06/22	449.57		-275.58	Sale <sup>25</sup>
04/11/22	100.000	170.19	01/06/22	424.57		-254.38	Sale <sup>25</sup>
	9 transactions for 04/	/13/22. Total proceeds a	and cost repor	ted to the IRS.			
	100.000	170.49	01/06/22	424.57		-254.08	1 of 9 - Sale <sup>25</sup>
	200.000	347.97	01/06/22	519.02		-171.05	2 of 9 - Sale <sup>25</sup>
	100.000	169.49	01/06/22	277.58	•••	-108.09	3 of 9 - Sale <sup>25</sup>
	100.000	173.98	01/06/22	277.58		-103.60	4 of 9 - Sale <sup>25</sup>
	100.000	173.99	01/06/22	257.51		-83.52	5 of 9 - Sale <sup>25</sup>
	65.000	112.46	01/06/22	180.42		-67.96	6 of 9 - Sale <sup>25</sup>
	65.000	113.09	01/06/22	180.42		-67.33	7 of 9 - Sale <sup>25</sup>
	35.000	60.55	01/06/22	97.15		-36.60	8 of 9 - Sale <sup>25</sup>
	35.000	60.89	01/06/22	97.15		-36.26	9 of 9 - Sale <sup>25</sup>
04/13/22	800.000	1,382.91	Various	2,311.40	···	-928.49	Total of 9 transactions
	Security total:	7,148.72		13,366.87	2,801.34 W	-3,416.81	
GREENPRO CAPITAL C	CORP. COMMON STOCK / C	USIP: 39540F101 / Syn	nbol:				
	17 transactions for 02	2/22/22. Total proceeds	and cost repo	orted to the IRS.			
	3,647.000	1,389.43	10/25/21	5,725.79		-4,336.36	1 of 17 - Sale <sup>25</sup>
	1.000.000	380.98	10/25/21	1,350.00		-969.02	2 of 17 - Sale <sup>25</sup>
	300.000	114.29	10/26/21	360.00		-245.71	3 of 17 - Sale <sup>25</sup>
	885.000	337.17	10/27/21	1,035.45		-698.28	4 of 17 - Sale <sup>25</sup>
	115.000	43.81	10/27/21	134.55		-90.74	5 of 17 - Sale <sup>25</sup>
	1,000.000	380.98	10/28/21	1,109.30		-728.32	6 of 17 - Sale <sup>25</sup>
	1,000.000	380.98	11/03/21	1,079.30	•••	-698.32	7 of 17 - Sale <sup>25</sup>
	50.000	19.05	11/11/21	48.35		-29.30	8 of 17 - Sale <sup>25</sup>
	413.000	157.34	11/12/21	375.83	•••	-218.49	9 of 17 - Sale <sup>25</sup>
	400.000	152.39	11/12/21	364.00	•••	-211.61	10 of 17 - Sale <sup>25</sup>
	187.000	71.24	11/12/21	170.17	•••	-98.93	11 of 17 - Sale <sup>25</sup>
	702.000	267.45	11/17/21	659.88	•••	-392.43	12 of 17 - Sale <sup>25</sup>
	300.000	114.29	12/01/21	234.36		-120.07	13 of 17 - Sale <sup>25</sup>
	1,000.000	380.98	12/06/21	705.90		-324.92	14 of 17 - Sale <sup>25</sup>
	1,000.000	380.98	12/27/21	630.00		-249.02	15 of 17 - Sale <sup>25</sup>

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# **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of proper	ty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GREENPRO CAPITAL CO	ORP. COMMON STOCK / CL	JSIP: 39540F101 / Syn	nbol: (cont'd)				
	500.000	190.49	01/20/22	230.90		-40.41	16 of 17 - Sale <sup>25</sup>
	200.000	76.20	02/18/22	77.20		-1.00	17 of 17 - Sale <sup>25</sup>
02/22/22	12,699.000	4,838.05	Various	14,290.98		-9,452.93	Total of 17 transactions
JPEXI, INC. COMMON S	TOCK / CUSIP: 39959A106 /	Symbol:					
01/13/22	500.000	2.376.82	10/19/21	3.620.00	1,243.18 W	0.00	Sale <sup>25</sup>
		/27/22. Total proceeds			.,		
	300.000	1,211.95	10/19/21	2,172.00		-960.05	1 of 35 - Sale <sup>25</sup>
	98.000	395.91	10/19/21	709.52	•••	-313.61	2 of 35 - Sale <sup>25</sup>
	100.000	421.99	10/19/21	724.00		-302.01	3 of 35 - Sale <sup>25</sup>
	2.000	8.08	10/19/21	14.48		-6.40	4 of 35 - Sale <sup>25</sup>
	100.000	403.99	10/20/21	670.00		-266.01	5 of 35 - Sale <sup>25</sup>
	93.000	375.71	10/20/21	641.70		-265.99	6 of 35 - Sale <sup>25</sup>
	117.000	472.66	10/20/21	731.25		-258.59	7 of 35 - Sale <sup>25</sup>
	100.000	403.98	10/20/21	646.00		-242.02	8 of 35 - Sale <sup>25</sup>
	100.000	403.99	10/20/21	646.00		-242.01	9 of 35 - Sale <sup>25</sup>
	100.000	403.99	10/20/21	646.00		-242.01	10 of 35 - Sale <sup>25</sup>
	100.000	403.99	10/20/21	625.00		-221.01	11 of 35 - Sale <sup>25</sup>
	100.000	403.99	10/20/21	625.00		-221.01	12 of 35 - Sale <sup>25</sup>
	83.000	335.31	10/20/21	518.75		-183.44	13 of 35 - Sale <sup>25</sup>
	67.000	270.67	10/20/21	448.90		-178.23	14 of 35 - Sale <sup>25</sup>
	33.000	133.32	10/20/21	221.10		-87.78	15 of 35 - Sale <sup>25</sup>
	7.000	28.28	10/20/21	48.30		-20.02	16 of 35 - Sale <sup>25</sup>
	200.000	807.97	10/21/21	1,227.00		-419.03	17 of 35 - Sale <sup>25</sup>
	183.000	739.29	10/21/21	1,122.71		-383.42	18 of 35 - Sale <sup>25</sup>
	100.000	403.99	10/21/21	613.50		-209.51	19 of 35 - Sale <sup>25</sup>
	16.000	64.64	10/21/21	98.16		-33.52	20 of 35 - Sale <sup>25</sup>
	1.000	4.04	10/21/21	6.13		-2.09	21 of 35 - Sale <sup>25</sup>
	200.000	803.97	10/22/21	1,150.00		-346.03	22 of 35 - Sale <sup>25</sup>
	138.000	557.50	10/22/21	818.34		-260.84	23 of 35 - Sale <sup>25</sup>
	133.000	537.32	10/22/21	764.75		-227.43	24 of 35 - Sale <sup>25</sup>
	116.000	466.30	10/22/21	687.88		-221.58	25 of 35 - Sale <sup>25</sup>
	111.000	448.42	10/22/21	658.23		-209.81	26 of 35 - Sale <sup>25</sup>
	100.000	402.99	10/22/21	593.00	•••	-190.01	27 of 35 - Sale <sup>25</sup>

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# **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of prope	erty/CUSIP/Symbol						
1c- Date		1d- Proceeds &	4h Data	4. Cast an	1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or disposed	Quantity	6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1g- Wash sale loss disallowed (W)	7- Loss not allowed (X)	Additional information
·	<b>-</b>		acquirea	Other basis	disallowed (VV)	also not reported (2)	Additional information
UPEXI, INC. COMMON	STOCK / CUSIP: 39959A106 /	Symbol: (cont'd)					
	95.000	381.89	10/22/21	546.25		-164.36	28 of 35 - Sale <sup>25</sup>
	67.000	269.33	10/22/21	385.25		-115.92	29 of 35 - Sale <sup>25</sup>
	14.000	56.56	10/22/21	83.02		-26.46	30 of 35 - Sale <sup>25</sup>
	10.000	40.40	10/22/21	59.30		-18.90	31 of 35 - Sale <sup>25</sup>
	5.000	20.20	10/22/21	29.65		-9.45	32 of 35 - Sale <sup>25</sup>
	5.000	20.10	10/22/21	28.75		-8.65	33 of 35 - Sale <sup>25</sup>
	4.000	16.16	10/22/21	23.72		-7.56	34 of 35 - Sale <sup>25</sup>
	2.000	8.08	10/22/21	11.86		<i>-</i> 3.78	35 of 35 - Sale <sup>25</sup>
01/27/22	3,000.000	12,126.96	Various	18,995.50		-6,868.54	Total of 35 transactions
01/28/22	100.000	413.18	10/26/21	573.00		-159.82	Sale <sup>25</sup>
	7 transactions for 02/0	03/22. Total proceeds a	and cost repo	orted to the IRS.			
	120.000	537.58	10/26/21	687.60		-150.02	1 of 7 - Sale <sup>25</sup>
	80.000	358.39	10/26/21	458.40		-100.01	2 of 7 - Sale <sup>25</sup>
	100.000	447.99	10/27/21	571.00		-123.01	3 of 7 - Sale <sup>25</sup>
	100.000	447.99	10/27/21	571.00		-123.01	4 of 7 - Sale <sup>25</sup>
	75.000	335.99	10/27/21	428.25		-92.26	5 of 7 - Sale <sup>25</sup>
	42.000	188.16	10/27/21	239.82		-51.66	6 of 7 - Sale <sup>25</sup>
	25.000	112.00	10/27/21	142.75		-30.75	7 of 7 - Sale <sup>25</sup>
02/03/22	542.000	2,428.10	Various	3,098.82		-670.72	Total of 7 transactions
	14 transactions for 03	1/07/22. Total proceeds	and cost rep				
	300.000	1,403.96	10/27/21	1,713.00		-309.04	1 of 14 - Sale <sup>25</sup>
	203.000	950.01	10/27/21	1,159.13		-209.12	2 of 14 - Sale <sup>25</sup>
	112.000	530.86	10/27/21	639.52		-108.66	3 of 14 - Sale <sup>25</sup>
	97.000	459.76	10/27/21	553.87		-94.11	4 of 14 - Sale <sup>25</sup>
	91.000	431.33	10/27/21	519.61		-88.28	5 of 14 - Sale <sup>25</sup>
	46.000	218.04	10/27/21	262.66		-44.62	6 of 14 - Sale <sup>25</sup>
	9.000	42.12	10/27/21	51.39		-9.27	7 of 14 - Sale <sup>25</sup>
	200.000	935.97	11/03/21	1,070.00		-134.03	8 of 14 - Sale <sup>25</sup>
	100.000	467.99	11/03/21	535.00		-67.01	9 of 14 - Sale <sup>25</sup>
	400.000	1,871.94	11/04/21	2,060.00		-188.06	10 of 14 - Sale <sup>25</sup>
	300.000	1,403.95	11/04/21	1,545.00		-141.05	11 of 14 - Sale <sup>25</sup>
	300.000	1,403.96	11/04/21	1,545.00		-141.04	12 of 14 - Sale <sup>25</sup>
	100.000	467.98	11/04/21	502.00		-34.02	13 of 14 - Sale <sup>25</sup>

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# **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

a- Description of proper	ty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
JPEXI, INC. COMMON S	TOCK / CUSIP: 39959A106	/ Symbol: (cont'd)					
	100.000	467.99	11/04/21	502.00		-34.01	14 of 14 - Sale <sup>25</sup>
03/07/22	2,358.000 2 transactions for 03/	11,055.86 /08/22. Total proceeds a	Various and cost repo	12,658.18 rted to the IRS.		-1,602.32	Total of 14 transactions
	400.000	1,877.78	11/04/21	2,008.00		-130.22	1 of 2 - Sale <sup>25</sup>
	100.000	469.44	11/04/21	502.00	•••	-32.56	2 of 2 - Sale <sup>25</sup>
03/08/22	500.000 10 transactions for 03	2,347.22 3/14/22. Total proceeds	Various and cost rep	2,510.00 orted to the IRS.		-162.78	Total of 2 transactions
	200.000	919.97	11/10/21	976.00		-56.03	1 of 10 - Sale <sup>25</sup>
	79.000	364.30	11/10/21	385.52		-21.22	2 of 10 - Sale <sup>25</sup>
	9.000	44.37	11/10/21	43.92		0.45	3 of 10 - Sale <sup>25</sup>
	10.000	49.60	11/10/21	48.80		0.80	4 of 10 - Sale <sup>25</sup>
	11.000	54.56	11/10/21	53.68		0.88	5 of 10 - Sale <sup>25</sup>
	40.000	197.20	11/10/21	195.20		2.00	6 of 10 - Sale <sup>25</sup>
	51.000	251.42	11/10/21	248.88		2.54	7 of 10 - Sale <sup>25</sup>
	100.000	490.99	11/10/21	488.00		2.99	8 of 10 - Sale <sup>25</sup>
	100.000	492.99	11/10/21	488.00		4.99	9 of 10 - Sale <sup>25</sup>
	100.000	495.99	11/10/21	488.00		7.99	10 of 10 - Sale <sup>25</sup>
3/14/22	700.000	3.361.39	Various	3,416.00		-54.61	Total of 10 transactions
		/25/22. Total proceeds a				•	
	300.000	1,466.96	11/10/21	1,464.00		2.96	1 of 3 - Sale <sup>25</sup>
	99.000	484.09	12/27/21	661.95		-177.86	2 of 3 - Sale <sup>25</sup>
	1.000	4.89	12/27/21	6.69		-1.80	3 of 3 - Sale <sup>25</sup>
3/25/22	400.000	1,955.94	Various	2,132.64		-176.70	Total of 3 transactions
	2 transactions for 04/	/01/22. Total proceeds a	and cost repo	rted to the IRS.			
	99.000	530.63	12/27/21	661.95		-131.32	1 of 2 - Sale <sup>25</sup>
	1.000	5.36	12/27/21	6.69	•••	-1.33	2 of 2 - Sale <sup>25</sup>
)4/01/22	100.000	535.99	Various	668.64		-132.65	Total of 2 transactions
)4/04/22	100.000	567.98	01/06/22	646.63		-78.65	Sale <sup>25</sup>
		/06/22. Total proceeds a					
	100.000	517.35	01/06/22	646.63		-129.28	1 of 3 - Sale <sup>25</sup>
	99.000	513.79	01/06/22	640.17	•••	-126.38	2 of 3 - Sale <sup>25</sup>
	1.000	5.20	01/06/22	6.47	•••	-1.27	3 of 3 - Sale <sup>25</sup>
	200.000	1,036.34	Various	1,293.27		-256.93	Total of 3 transactions

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

**2022 1099-B\*** OMB No. 1545-0715

1a- Description of property/CUSIP/Symbol

01/27/22

03/04/22

(continued)

02/13/2023

-227.30

-12.80

-247.10

-1.008.72

-1.210.50

-201.78

-7.00

1 of 3 - Sale <sup>25</sup> 2 of 3 - Sale <sup>25</sup>

3 of 3 - Sale 25

1 of 2 - Sale 25

2 of 2 - Sale 25

Total of 3 transactions

Total of 2 transactions

### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12) "Gain or loss (-)" is NOT reported to the IRS.

92.000

4.000

4.000

100.000

500.000

100.000

600.000

193.20

210.00

916.28

183.22

1.099.50

2 transactions for 03/04/22. Total proceeds and cost reported to the IRS.

8.40

8.40

11/18/21

11/18/21

11/18/21

Various

11/18/21

11/18/21

Various

1c-Date 1d- Proceeds & 1f- Accrued mkt disc (D) & Gain or loss(-) & 6- Reported 1e- Cost or 1q- Wash sale loss 7- Loss not allowed (X) sold or 1b- Date disposed Quantity (G)ross or (N)et acquired other basis disallowed (W) also not reported (Z) Additional information UPEXI, INC. COMMON STOCK / CUSIP: 39959A106 / Symbol: (cont'd) -10.163.72 Security total: 38.205.78 49.612.68 1.243.18 W MARPAI, INC. CLASS A COMMON ST OCK / CUSIP: 571354109 / Symbol: 9 transactions for 01/24/22. Total proceeds and cost reported to the IRS. 370.74 W 1 of 9 - Sale 25 112.000 247.56 11/18/21 618.30 0.00 88.000 2 of 9 - Sale 25 194.52 11/18/21 395.17 200.65 W 0.00 10.000 21.60 11/18/21 44.90 23.30 W 0.00 3 of 9 - Sale 25 4 of 9 - Sale 25 16 000 34 57 11/18/21 71.85 37 28 W 0.00 0.00 5 of 9 - Sale 25 6.000 12.96 11/18/21 26.94 13.98 W 6 of 9 - Sale 25 63.000 136.11 11/18/21 282.91 146.80 W 0.00 7 of 9 - Sale 25 13.000 28.09 11/18/21 58.38 30.29 W 0.00 8 of 9 - Sale 25 87.000 187.95 11/18/21 507.25 319.30 W 0.00 9 of 9 - Sale 25 5.000 10.80 11/18/21 29.15 18.35 W 0.00 01/24/22 Total of 9 transactions 400.000 874.16 2.034.85 1,160.69 W 0.00 Various 6 transactions for 01/25/22. Total proceeds and cost reported to the IRS. 1 of 6 - Sale 25 96 000 204 58 11/18/21 453 17 -248.59 2 of 6 - Sale 25 64.000 136.39 11/18/21 373.15 -236.76 3 of 6 - Sale 25 54.000 115.07 11/18/21 264.08 -149.01 4 of 6 - Sale 25 36.000 76.72 11/18/21 209.90 -133.18 5 of 6 - Sale 25 42.000 89.50 205.40 -115.90 11/18/21 8.000 17.05 11/18/21 36.57 -19.52 6 of 6 - Sale 25 Total of 6 transactions 01/25/22 1.542.27 -902.96 300.000 639.31 Various 3 transactions for 01/27/22. Total proceeds and cost reported to the IRS.

420.50

21.20

15.40

457.10

1.925.00

2.310.00

385.00

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

# **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of proper	ty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MARPAI, INC. CLASS A C	OMMON ST OCK / CUSIP:	: 571354109 / Symbol:	(cont'd)				
	17 transactions for 0-	4/11/22. Total proceeds	and cost repo	orted to the IRS.			
	400.000	615.94	11/18/21	1,540.00		-924.06	1 of 17 - Sale <sup>25</sup>
	135.000	207.88	11/29/21	650.78		-442.90	2 of 17 - Sale <sup>25</sup>
	41.000	63.13	11/29/21	197.66		-134.53	3 of 17 - Sale <sup>25</sup>
	8.000	12.32	11/29/21	38.56		-26.24	4 of 17 - Sale <sup>25</sup>
	4.000	6.16	11/29/21	21.72		-15.56	5 of 17 - Sale <sup>25</sup>
	4.000	6.16	11/29/21	20.00		-13.84	6 of 17 - Sale <sup>25</sup>
	4.000	6.16	11/29/21	19.12		-12.96	7 of 17 - Sale <sup>25</sup>
	4.000	6.16	11/29/21	16.48		-10.32	8 of 17 - Sale <sup>25</sup>
	112.000	172.47	01/10/22	694.42		-521.95	9 of 17 - Sale <sup>25</sup>
	88.000	135.51	01/10/22	454.97		-319.46	10 of 17 - Sale <sup>25</sup>
	87.000	133.96	02/08/22	466.19		-332.23	11 of 17 - Sale <sup>25</sup>
	63.000	97.01	02/08/22	253.16		-156.15	12 of 17 - Sale <sup>25</sup>
	16.000	24.64	02/08/22	64.29		-39.65	13 of 17 - Sale <sup>25</sup>
	13.000	20.02	02/08/22	52.24		-32.22	14 of 17 - Sale <sup>25</sup>
	10.000	15.40	02/08/22	40.18		-24.78	15 of 17 - Sale <sup>25</sup>
	5.000	7.70	02/08/22	26.79		-19.09	16 of 17 - Sale <sup>25</sup>
	6.000	9.24	02/08/22	24.11		-14.87	17 of 17 - Sale <sup>25</sup>
04/11/22	1,000.000	1,539.86	Various	4,580.67		-3,040.81	Total of 17 transactions
	Security total:	4,362.83		10,924.89	1,160.69 W	-5,401.37	
OPENDOOR TECHNOLO	GIES INC COMM ON STO	CK / CUSIP: 683712103	3 / Symbol:				
01/13/22	200.000	2,432.26	12/31/21	2,990.00		-557.74	Sale <sup>25</sup>
TMC THE METALS COMP	PANY INC. CO MMON STO	OCK / CUSIP: 87261Y10	6 / Symbol:				
	7 transactions for 01/	/24/22. Total proceeds a	and cost report	ted to the IRS.			
	383.000	478.69	10/08/21	1,903.68	122.78 W	-1,302.21	1 of 7 - Sale <sup>25</sup>
	100.000	124.99	10/08/21	520.04	395.05 W	0.00	2 of 7 - Sale <sup>25</sup>
	100.000	124.99	10/08/21	520.04	395.05 W	0.00	3 of 7 - Sale <sup>25</sup>
	100.000	124.99	10/08/21	513.04	388.05 W	0.00	4 of 7 - Sale <sup>25</sup>
	100.000	124.99	10/08/21	513.04	388.05 W	0.00	5 of 7 - Sale <sup>25</sup>
	100.000	124.99	10/08/21	513.05	388.06 W	0.00	6 of 7 - Sale <sup>25</sup>
	117.000	146.23	10/08/21	608.45	462.22 W	0.00	7 of 7 - Sale <sup>25</sup>
01/24/22	1,000.000	1,249.87	Various	5,091.34	2,539.26 W	-1,302.21	Total of 7 transactions

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

### **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2 of 2 - Sale 25

1 of 98 - Sale 25

2 of 98 - Sale 25

3 of 98 - Sale 25

4 of 98 - Sale 25

5 of 98 - Sale 25

6 of 98 - Sale 25 7 of 98 - Sale 25

8 of 98 - Sale 25

9 of 98 - Sale 25

10 of 98 - Sale 25

11 of 98 - Sale 25

12 of 98 - Sale 25

Total of 2 transactions

0.00

0.00

-176.77

-128.60

-199.98

-113.13

-94.53

-81.99

-33.99

-1,229.32

-670.27

-603.18

-415.15

-371.28

2022 **1099-B\*** OMB No. 1545-0715

1a- Description of property/CUSIP/Symbol

03/11/22

(continued)

02/13/2023

### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12) "Gain or loss (-)" is NOT reported to the IRS.

171.000

300.000

71.000

59.000

100.000

73.000

61.000

41.000

25.000

55.000

28.000

27.000

19.000

17.000

530.07

929.95

119.23

202.08

147.51

123.27

82.85

50.52

111.14

56.58

54.56

38.02

34.02

98 transactions for 04/14/22. Total proceeds and cost reported to the IRS. 143.47

10/08/21

Various

10/08/21

10/08/21

10/22/21

10/22/21

10/22/21

10/22/21

10/22/21

10/25/21

10/25/21

10/25/21

10/25/21

10/25/21

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TMC THE METALS C	COMPANY INC. CO MMON STOC	K / CUSIP: 87261Y10	6 / Symbol: (c	cont'd)			
	9 transactions for 03/0	7/22. Total proceeds a	and cost report	ted to the IRS.			
	1.000	1.75	10/08/21	24.39	22.64 W	0.00	1 of 9 - Sale <sup>25</sup>
	3.000	5.25	10/08/21	73.74	68.49 W	0.00	2 of 9 - Sale <sup>25</sup>
	6.000	10.51	10/08/21	147.48	136.97 W	0.00	3 of 9 - Sale <sup>25</sup>
	11.000	19.26	10/08/21	270.60	251.34 W	0.00	4 of 9 - Sale <sup>25</sup>
	14.000	24.52	10/08/21	341.48	316.96 W	0.00	5 of 9 - Sale <sup>25</sup>
	16.000	28.02	10/08/21	393.62	365.60 W	0.00	6 of 9 - Sale <sup>25</sup>
	35.000	61.29	10/08/21	860.42	799.13 W	0.00	7 of 9 - Sale <sup>25</sup>
	38.000	66.55	10/08/21	926.15	859.60 W	0.00	8 of 9 - Sale <sup>25</sup>
	146.000	255.67	10/08/21	683.35	427.68 W	0.00	9 of 9 - Sale <sup>25</sup>
03/07/22	270.000	472.82	Various	3,721.23	3,248.41 W	0.00	Total of 9 transactions
	3 transactions for 03/0	8/22. Total proceeds a	and cost report	ted to the IRS.			
	77.000	223.29	10/08/21	360.40	137.11 W	0.00	1 of 3 - Sale <sup>25</sup>
	81.000	234.89	10/08/21	379.12	144.23 W	0.00	2 of 3 - Sale <sup>25</sup>
	142.000	411.77	10/08/21	664.62	252.85 W	0.00	3 of 3 - Sale <sup>25</sup>
03/08/22	300.000	869.95	Various	1,404.14	534.19 W	0.00	Total of 3 transactions
	2 transactions for 03/1	1/22. Total proceeds a	and cost report	ted to the IRS.			
	129.000	399.88	10/08/21	581.85	181.97 W	0.00	1 of 2 - Sale <sup>25</sup>

800.36

320.24

247.83

402.06

260.64

217.80

164.84

1,340.46

726.85

657.74

453.17

405.30

84.51

1,382.21

270.29 W

452.26 W

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

# **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of property/C	CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X)	Additional information
TMC THE METALS COMPAN	· · · · · · · · · · · · · · · · · · ·	. , . , ,	· ·		uisanoweu (**)	also not reported (2)	Additional information
TWIC THE METALS COMPAN			•	, ,			
	13.000	26.27	10/25/21	316.68	•••	-290.41	13 of 98 - Sale <sup>25</sup>
	11.000	22.01	10/25/21	264.22	•••	-242.21	14 of 98 - Sale <sup>25</sup>
	10.000	20.21	10/25/21	243.60	•••	-223.39	15 of 98 - Sale <sup>25</sup>
	9.000	18.19	10/25/21	233.63	***	-215.44	16 of 98 - Sale <sup>25</sup>
	9.000	18.19	10/25/21	229.69	***	-211.50	17 of 98 - Sale <sup>25</sup>
	9.000	18.01	10/25/21	219.33	•••	-201.32	18 of 98 - Sale <sup>25</sup>
	8.000	16.17	10/25/21	207.54		-191.37	19 of 98 - Sale <sup>25</sup>
	8.000	16.01	10/25/21	194.96		-178.95	20 of 98 - Sale <sup>25</sup>
	8.000	16.01	10/25/21	194.96		-178.95	21 of 98 - Sale <sup>25</sup>
	8.000	16.01	10/25/21	192.16		-176.15	22 of 98 - Sale <sup>25</sup>
	6.000	12.01	10/25/21	144.12	···	-132.11	23 of 98 - Sale <sup>25</sup>
	117.000	236.43	10/25/21	356.89	•••	-120.46	24 of 98 - Sale <sup>25</sup>
	5.000	10.10	10/25/21	129.71	•••	-119.61	25 of 98 - Sale <sup>25</sup>
	4.000	8.08	10/25/21	97.44	•••	-89.36	26 of 98 - Sale <sup>25</sup>
	4.000	8.00	10/25/21	96.08		-88.08	27 of 98 - Sale <sup>25</sup>
	83.000	167.72	10/25/21	253.17		-85.45	28 of 98 - Sale <sup>25</sup>
	75.000	151.55	10/25/21	228.77		-77.22	29 of 98 - Sale <sup>25</sup>
	69.000	139.43	10/25/21	210.47		-71.04	30 of 98 - Sale <sup>25</sup>
	3.000	6.00	10/25/21	73.11		-67.11	31 of 98 - Sale <sup>25</sup>
	3.000	6.00	10/25/21	71.52		-65.52	32 of 98 - Sale <sup>25</sup>
	2.000	4.00	10/25/21	48.74	•••	-44.74	33 of 98 - Sale <sup>25</sup>
	2.000	4.04	10/25/21	48.74	•••	-44.70	34 of 98 - Sale <sup>25</sup>
	2.000	4.00	10/25/21	47.70	···	-43.70	35 of 98 - Sale <sup>25</sup>
	31.000	62.64	10/25/21	94.56	···	-31.92	36 of 98 - Sale <sup>25</sup>
	29.000	58.03	10/25/21	88.46		-30.43	37 of 98 - Sale <sup>25</sup>
	25.000	50.52	10/25/21	76.26		-25.74	38 of 98 - Sale <sup>25</sup>
	1.000	2.00	10/25/21	23.85		-21.85	39 of 98 - Sale <sup>25</sup>
	30.000	60.03	10/26/21	705.63		-645.60	40 of 98 - Sale <sup>25</sup>
	71.000	142.07	10/26/21	515.54	•••	-373.47	41 of 98 - Sale <sup>25</sup>
	17.000	34.02	10/26/21	399.86		-365.84	42 of 98 - Sale <sup>25</sup>
	71.000	142.07	10/26/21	476.47		-334.40	43 of 98 - Sale <sup>25</sup>
	15.000	30.01	10/26/21	352.82	•••	-322.81	44 of 98 - Sale <sup>25</sup>
	12.000	24.01	10/26/21	282.24		-258.23	45 of 98 - Sale <sup>25</sup>
							46 of 98 - Sale <sup>25</sup>
	10.000	20.01	10/26/21	235.20	•••	-215.19	40 UI 98 - Sale 2

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

# **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1c- Date		1d- Proceeds &			1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or		6- Reported	1b- Date	1e- Cost or	1g- Wash sale loss	7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	` ,	Additional information
	ANY INC. CO MMON STO	CK / CUSIP: 87261Y10	6 / Symbol: (c	cont'd)		. , ,	
	10.000	20.01	10/26/21	235.20		-215.19	47 of 98 - Sale <sup>25</sup>
	24.000	48.02	10/26/21	174.27		-126.25	48 of 98 - Sale <sup>25</sup>
	25.000	50.02	10/26/21	167.77		-117.75	49 of 98 - Sale <sup>25</sup>
	4.000	8.00	10/26/21	95.68		-87.68	50 of 98 - Sale <sup>25</sup>
	3.000	6.00	10/26/21	70.56		-64.56	51 of 98 - Sale <sup>25</sup>
	1.000	2.00	10/26/21	23.92		-21.92	52 of 98 - Sale <sup>25</sup>
	1.000	2.00	10/26/21	23.92	•••	-21.92	53 of 98 - Sale <sup>25</sup>
	1.000	2.00	10/26/21	23.52	•••	-21.52	54 of 98 - Sale <sup>25</sup>
	1.000	2.00	10/26/21	23.52		-21.52	55 of 98 - Sale <sup>25</sup>
	4.000	8.00	10/26/21	29.04		-21.04	56 of 98 - Sale <sup>25</sup>
	64.000	128.07	12/01/21	406.42	•••	-278.35	57 of 98 - Sale <sup>25</sup>
	40.000	80.04	12/01/21	254.02		-173.98	58 of 98 - Sale <sup>25</sup>
	35.000	70.03	12/01/21	222.28		-152.25	59 of 98 - Sale <sup>25</sup>
	26.000	52.03	12/01/21	165.11		-113.08	60 of 98 - Sale <sup>25</sup>
	24.000	48.02	12/01/21	156.03	•••	-108.01	61 of 98 - Sale <sup>25</sup>
	23.000	46.02	12/01/21	149.52		-103.50	62 of 98 - Sale <sup>25</sup>
	23.000	46.02	12/01/21	148.85		-102.83	63 of 98 - Sale <sup>25</sup>
	21.000	42.02	12/01/21	135.88		-93.86	64 of 98 - Sale <sup>25</sup>
	15.000	30.01	12/01/21	97.07	***	-67.06	65 of 98 - Sale <sup>25</sup>
	14.000	28.02	12/01/21	91.02		-63.00	66 of 98 - Sale <sup>25</sup>
	14.000	28.01	12/01/21	88.90		-60.89	67 of 98 - Sale <sup>25</sup>
	1.000	2.00	12/01/21	6.47	***	-4.47	68 of 98 - Sale <sup>25</sup>
	5.000	10.00	12/15/21	27.95	•••	-17.95	69 of 98 - Sale <sup>25</sup>
	15.000	30.01	12/15/21	47.69	•••	-17.68	70 of 98 - Sale <sup>25</sup>
	117.000	234.12	12/27/21	696.22	•	-462.10	71 of 98 - Sale <sup>25</sup>
	100.000	200.10	12/27/21	595.05	•••	-394.95	72 of 98 - Sale <sup>25</sup>
	50.000	99.99	12/27/21	297.52	•	-197.53	73 of 98 - Sale <sup>25</sup>
	33.000	66.03	12/27/21	196.37	•••	-130.34	74 of 98 - Sale <sup>25</sup>
	83.000	165.99	12/29/21	459.87	•	-293.88	75 of 98 - Sale <sup>25</sup>
	59.000	117.99	12/29/21	326.89	•	-208.90	76 of 98 - Sale <sup>25</sup>
	59.000	117.99	12/29/21	326.89	•••	-208.90	77 of 98 - Sale <sup>25</sup>
	41.000	81.99	12/29/21	227.16	•	-145.17	78 of 98 - Sale <sup>25</sup>
	41.000	81.99	12/29/21	227.16	•	-145.17 -145.17	79 of 98 - Sale <sup>25</sup>
	17.000	34.00	12/29/21	95.38	•	-61.38	80 of 98 - Sale <sup>25</sup>

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

# **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of pr	operty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X)	Additional information
·	COMPANY INC. CO MMON STO	. , . , ,	•		alounovou (11)	alco not roportou (E)	/taataonar information
TWO THE WETALO			•	` '		57.40	04 600 0 4 25
	17.000	34.00	01/14/22	91.13		-57.13	81 of 98 - Sale <sup>25</sup>
	13.000	26.00	01/14/22	67.61		-41.61	82 of 98 - Sale <sup>25</sup>
	20.000	40.00	01/20/22	98.81		-58.81	83 of 98 - Sale <sup>25</sup>
	38.000	75.99	03/02/22	915.08		-839.09	84 of 98 - Sale <sup>25</sup>
	35.000	70.00	03/02/22	850.23		-780.23	85 of 98 - Sale <sup>25</sup>
	16.000	32.00	03/02/22	388.96	•••	-356.96	86 of 98 - Sale <sup>25</sup>
	146.000	291.98	03/02/22	640.84		-348.86	87 of 98 - Sale <sup>25</sup>
	14.000	28.00	03/02/22	337.40		-309.40	88 of 98 - Sale <sup>25</sup>
	11.000	22.00	03/02/22	267.40		-245.40	89 of 98 - Sale <sup>25</sup>
	171.000	341.97	03/02/22	519.95		-177.98	90 of 98 - Sale <sup>25</sup>
	142.000	283.98	03/02/22	460.17		-176.19	91 of 98 - Sale <sup>25</sup>
	6.000	12.00	03/02/22	145.73	•••	-133.73	92 of 98 - Sale <sup>25</sup>
	129.000	257.98	03/02/22	370.31		-112.33	93 of 98 - Sale <sup>25</sup>
	81.000	161.99	03/02/22	262.49		-100.50	94 of 98 - Sale <sup>25</sup>
	77.000	153.99	03/02/22	249.53		-95.54	95 of 98 - Sale <sup>25</sup>
	3.000	6.00	03/02/22	72.87		-66.87	96 of 98 - Sale <sup>25</sup>
	1.000	2.00	03/02/22	24.10		-22.10	97 of 98 - Sale <sup>25</sup>
	130.000	259.98	03/02/22	189.80		70.18	98 of 98 - Sale <sup>25</sup>
04/14/22	3,400.000	6,821.50	Various	24,901.09	•••	-18,079.59	Total of 98 transactions
	Security total:	10,344.09	7 41.104.0	36,500.01	6,774.12 W	-19,381.80	
METEN HOLDING GI	ROUP LTD. ORDIN ARY SHARE	ES / CUSIP: G6055H11	4 / Svmbol:				
		1/07/22. Total proceeds	•	orted to the IRS.			
	733.000	111.83	11/10/21	417.81		-305.98	1 of 12 - Sale <sup>25</sup>
	400.000	61.03	11/10/21	228.00		-166.97	2 of 12 - Sale <sup>25</sup>
	200.000	30.51	11/10/21	114.00		-83.49	3 of 12 - Sale <sup>25</sup>
	167.000	25.48	11/10/21	95.19	•	-69.71	4 of 12 - Sale <sup>25</sup>
	1,000.000	152.57	11/16/21	460.00	•••	-307.43	5 of 12 - Sale <sup>25</sup>
	100.000	15.26	11/17/21	44.09	•••	-28.83	6 of 12 - Sale <sup>25</sup>
	3,000.000	457.71	12/03/21	900.00	•••	-20.03 -442.29	7 of 12 - Sale <sup>25</sup>
	1,900.000	289.88	01/06/22	471.58	•••	- <del>14</del> 2.29 -181.70	8 of 12 - Sale <sup>25</sup>
	1,900.000	209.00 14.72	01/06/22	471.56 24.82	•••	-101.70 -10.10	9 of 12 - Sale <sup>25</sup>
	5,000.000	735.84	01/06/22	24.62 1.060.50	•••		10 of 12 - Sale <sup>25</sup>
					•••	-324.66	
	200.000	29.43	02/18/22	40.66		-11.23	11 of 12 - Sale <sup>25</sup>

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

# **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of pro							
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
METEN HOLDING GR	OUP LTD. ORDIN ARY SHARE	S / CUSIP: G6055H11	4 / Symbol: (c	ont'd)			
	100.000	14.72	03/11/22	58.18		-43.46	12 of 12 - Sale <sup>25</sup>
04/07/22	12,900.000	1,938.98	Various	3,914.83		-1,975.85	Total of 12 transactions
04/07/22	500.000	76.28	11/10/21	285.00	41.74 W	-166.98	Sale <sup>25</sup>
	Security total:	2,015.26		4,199.83	41.74 W	-2,142.83	
POWERBRIDGE TECH	HNOLOGIES CO., LTD. ORDIN	ARY SHARES / CUSIF	: G72007100 /	Symbol:			
	19 transactions for 02	2/22/22. Total proceeds	and cost repo	rted to the IRS.			
	1,400.000	517.83	10/22/21	1,792.00		-1,274.17	1 of 19 - Sale <sup>25</sup>
	713.000	263.72	10/22/21	912.64		-648.92	2 of 19 - Sale <sup>25</sup>
	100.000	36.99	10/22/21	128.00		-91.01	3 of 19 - Sale <sup>25</sup>
	100.000	36.99	10/22/21	128.00		-91.01	4 of 19 - Sale <sup>25</sup>
	100.000	37.02	10/22/21	128.00		-90.98	5 of 19 - Sale <sup>25</sup>
	87.000	32.18	10/22/21	111.36		-79.18	6 of 19 - Sale <sup>25</sup>
	75.000	27.74	10/22/21	96.00		-68.26	7 of 19 - Sale <sup>25</sup>
	70.000	25.89	10/22/21	89.60		-63.71	8 of 19 - Sale <sup>25</sup>
	56.000	20.71	10/22/21	71.68		-50.97	9 of 19 - Sale <sup>25</sup>
	50.000	18.50	10/22/21	64.00		-45.50	10 of 19 - Sale <sup>25</sup>
	48.000	17.76	10/22/21	61.44		-43.68	11 of 19 - Sale <sup>25</sup>
	26.000	9.62	10/22/21	33.28		-23.66	12 of 19 - Sale <sup>25</sup>
	20.000	7.40	10/22/21	25.60		-18.20	13 of 19 - Sale <sup>25</sup>
	20.000	7.40	10/22/21	25.60		-18.20	14 of 19 - Sale <sup>25</sup>
	12.000	4.44	10/22/21	15.36		-10.92	15 of 19 - Sale <sup>25</sup>
	8.000	2.96	10/22/21	10.24		-7.28	16 of 19 - Sale <sup>25</sup>
	8.000	2.96	10/22/21	10.24		-7.28	17 of 19 - Sale <sup>25</sup>
	3.000	1.11	10/22/21	3.84		-2.73	18 of 19 - Sale <sup>25</sup>
	3.000	1.11	10/22/21	3.84		-2.73	19 of 19 - Sale <sup>25</sup>
02/22/22	2,899.000	1,072.33	Various	3,710.72	•••	-2,638.39	Total of 19 transactions
	26 transactions for 02	2/24/22. Total proceeds	and cost repo	rted to the IRS		•	
	1,101.000	363.20	10/22/21	1,409.28		-1,046.08	1 of 26 - Sale <sup>25</sup>
	500.000	164.94	10/27/21	594.60	•••	-429.66	2 of 26 - Sale <sup>25</sup>
	300.000	98.96	10/27/21	368.55	•••	-269.59	3 of 26 - Sale <sup>25</sup>
	1,200.000	395.85	10/29/21	1,440.00	•••	-1,044.15	4 of 26 - Sale <sup>25</sup>
	400.000	131.95	11/16/21	440.00	•••	-308.05	5 of 26 - Sale <sup>25</sup>
	335.000	110.51	11/16/21	368.50		-257.99	6 of 26 - Sale <sup>25</sup>

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

# **Proceeds from Broker and Barter Exchange Transactions**

Account 482618279

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of pro	pperty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
POWERBRIDGE TEC	HNOLOGIES CO., LTD. ORDINA	ARY SHARES / CUSIF	: G72007100	/ Symbol: (cont'd)			
	154.000 111.000 600.000 500.000 100.000 100.000 100.000 100.000 100.000 100.000 100.000 50.000 50.000 2,000.000 1,000.000	50.80 36.62 197.93 164.94 32.99 32.99 32.99 32.99 32.99 32.99 32.99 16.49 16.49 659.75	11/16/21 11/16/21 11/18/21 11/18/21 11/18/21 11/18/21 11/18/21 11/18/21 11/18/21 11/18/21 11/18/21 11/18/21 11/18/21 11/18/21 11/18/21 11/18/21 11/18/21 12/06/21	169.40 122.10 624.00 520.00 104.00 104.00 104.00 104.00 104.00 104.00 104.00 52.00 52.00 1,290.00 595.00	    	-118.60 -85.48 -426.07 -355.06 -71.01 -71.01 -71.01 -71.01 -71.01 -71.01 -71.01 -71.01 -35.51 -35.51 -35.51	7 of 26 - Sale <sup>25</sup> 8 of 26 - Sale <sup>25</sup> 9 of 26 - Sale <sup>25</sup> 10 of 26 - Sale <sup>25</sup> 11 of 26 - Sale <sup>25</sup> 12 of 26 - Sale <sup>25</sup> 13 of 26 - Sale <sup>25</sup> 14 of 26 - Sale <sup>25</sup> 15 of 26 - Sale <sup>25</sup> 16 of 26 - Sale <sup>25</sup> 17 of 26 - Sale <sup>25</sup> 18 of 26 - Sale <sup>25</sup> 19 of 26 - Sale <sup>25</sup> 20 of 26 - Sale <sup>25</sup> 21 of 26 - Sale <sup>25</sup> 22 of 26 - Sale <sup>25</sup> 23 of 26 - Sale <sup>25</sup> 25 con 26 - Sale <sup>25</sup> 26 con 27 - Sale <sup>25</sup> 27 of 28 - Sale <sup>25</sup> 28 of 28 - Sale <sup>25</sup> 29 of 28 - Sale <sup>25</sup> 20 of 28 - Sale <sup>25</sup> 20 of 28 - Sale <sup>25</sup> 21 of 26 - Sale <sup>25</sup> 22 of 26 - Sale <sup>25</sup> 23 of 28 - Sale <sup>25</sup> 25 - Sale <sup>25</sup> 26 - Sale <sup>25</sup> 27 of 28 - Sale <sup>25</sup> 28 - Sale <sup>25</sup> 29 of 28 - Sale <sup>25</sup> 29 of 28 - Sale <sup>25</sup> 20 of 28 - Sale <sup>25</sup> 20 of 28 - Sale <sup>25</sup> 20 of 28 - Sale <sup>25</sup> 21 of 28 - Sale <sup>25</sup> 22 of 28 - Sale <sup>25</sup> 22 of 28 - Sale <sup>25</sup> 23 of 28 - Sale <sup>25</sup>
	300.000 700.000 3,000.000	98.96 230.92 989.63	12/22/21 12/27/21 01/06/22	173.52 406.00 1,470.00		-74.56 -175.08 -480.37	23 of 26 - Sale <sup>25</sup> 24 of 26 - Sale <sup>25</sup> 25 of 26 - Sale <sup>25</sup>
	500.000	164.94	01/12/22	230.00		-65.06	26 of 26 - Sale <sup>25</sup>
02/24/22	13,601.000	4,486.68	Various	11,156.95		-6,670.27	Total of 26 transactions
	Security total:	5,559.01		14,867.67		-9,308.66	
Totals :		232,441.26		606,214.20	75,070.74 W	-298,702.20	

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for		Account 482618279
Robinhood Securities LLC	Fees and Expenses	
2022		02/13/2023

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
Margin charge		01/10/22	-5.00	Margin interest paid	
		01/10/22	-11.24	Margin interest paid	
		02/07/22	-5.00	Margin interest paid	
		02/07/22	-6.75	Margin interest paid	
		03/09/22	-1.22	Margin interest paid	
		03/09/22	-5.00	Margin interest paid	
		04/08/22	-0.19	Margin interest paid	
		04/08/22	-5.00	Margin interest paid	
		05/09/22	-0.76	Margin interest paid	
		05/09/22	-5.00	Margin interest paid	
		06/07/22	-5.00	Margin interest paid	
		07/07/22	-5.00	Margin interest paid	
		08/08/22	-5.00	Margin interest paid	
		09/06/22	-5.00	Margin interest paid	
		10/05/22	-5.00	Margin interest paid	
		11/04/22	-5.00	Margin interest paid	
		12/05/22	-5.00	Margin interest paid	
		-	-80.16	Total Margin interest paid	
		•	-80.16	Total Margin interest paid	

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Robinhood Markets Inc. as agent for		Account 4826182	279
Robinhood Securities LLC	Non-Reportable Actions		
2022		02/13/2023	

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
DKNG 05/06/2022 CALL \$18.00	DKNG 05/06/22 C 18.000	05/05/22	3.00	0.00	Merger	
		05/05/22	-3.00	0.00	Merger	
DKNG 05/20/2022 CALL \$20.00	DKNG 05/20/22 C 20.000	05/05/22	6.00	0.00	Merger	
		05/05/22	-6.00	0.00	Merger	
DKNG 06/17/2022 CALL \$20.00	DKNG 06/17/22 C 20.000	05/05/22	14.00	0.00	Merger	
		05/05/22	-14.00	0.00	Merger	

# **2022 End Notes for Account 482618279**

25 Tax lot closed on a first in first out basis.

#### Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

#### Keep tax documents for your records.

#### 1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or nonSection 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

#### Instructions for Recipient

**Line 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to 1000-1000 form 1000 form 1000

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

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