

FIDELITY BROKERAGE SERVICES LLC P.O. Box 28019 Albuquerque, NM 87125-8019

## 2020 TAX REPORTING STATEMENT

ARADHANA AWASTHI

Account No. **X96-452665** Customer Service: 800-544-6666 Recipient ID No. \*\*\*-\*\*-**2079** Payer's Fed ID Number: 04-3523567

eDelivered

ARADHANA AWASTHI 9 HERSHEY RD E BRUNSWICK NJ 08816-2631 Payer's Name and Address: NATIONAL FINANCIAL SERVICES LLC 499 WASHINGTON BLVD JERSEY CITY, NJ 07310

Form 1099-DIV *	2020 Dividends and	d Distributions	Copy B for Recipier (OMB No. 1545-0110	
1a Total Ordinary Dividends		7 Foreign Tax Paid		
4 Federal Income Tax Withheld	0.00	14 State Identification No		

Form 1099-INT *	2020 Interes	t Income	Copy B for Recipient (OMB No. 1545-0112)
1 Interest Income	0.00	10 Market Discount	0.00
2 Early Withdrawal Penalty	0.00	11 Bond Premium	0.00
3 Interest on U.S. Savings Bonds and Treas. Obligations	0.00	12 Bond Premium on U.S. Treasury Obligations	0.00
4 Federal Income Tax Withheld		13 Bond Premium on Tax-Exempt Bond	0.00
5 Investment Expenses	0.00	14 Tax-Exempt Bond CUSIP no	
6 Foreign Tax Paid	0.00	15 State	
7 Foreign Country or U.S. Possession		16 State Identification No	
8 Tax-Exempt Interest	0.00	17 State Tax Withheld	0.00
9 Specified Private Activity Bond Interest	0.00		

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

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RADHANA AWASTHI

Account No. **X96-452665** Customer Service: 800-544-6666 Recipient ID No. \*\*\*-\*\*-**2079** Payer's Fed ID Number: 04-3523567

Form 1099-MISC *	2020 Miscellane	Ous Income Copy B for Recipient (OMB No. 1545-0115)
2 Royalties	0.00	
3 Other Income	0.00	15 State Tax Withheld0.00
4 Federal Income Tax Withheld	0.00	16 State/Payer's State No.
8 Substitute Payments in Lieu of Dividends or Interest	0.00	17 State Income

Summary of 2020 Proceeds From Broker and Barter Exchange Transactions								
1099-B Section	Total Proceeds	Total Cost Basis	Total Market Discount	Total Wash Sales	Realized Gain/Loss	Federal Income Tax Withheld		
Short-term transactions for which basis is reported to the IRS	29,496.16	28,394.69	0.00	2.47	1,101.47	0.00		
Short-term transactions for which basis is not reported to the IRS	0.00	0.00	0.00	0.00	0.00	0.00		
Long-term transactions for which basis is reported to the IRS	0.00	0.00	0.00	0.00	0.00	0.00		
Long-term transactions for which basis is not reported to the IRS	0.00	0.00	0.00	0.00	0.00	0.00		
Transactions for which basis is not reported to the IRS and Term is Unknown	0.00	0.00	0.00	0.00	0.00	0.00		
	29,496.16	28,394.69	0.00	2.47	1,101.47	0.00		

1099-B amounts are reported individually to the IRS. Refer to the Form 1099-B sections in this statement, if applicable.

Summary of 2020 Original Issue Discount						
Total Original Issue Discount	Total Original Issue Discount - REMIC					
Total Original Issue Discount on Tax-Exempt Obligations	Original Issue Discount (OID) amounts are reported individually to the IRS. Refer to the Form 1099-OID pages in this statement, if applicable.					

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ARADHANA AWASTHI

Account No. X96-452665 Customer Service: 800-544-6666 Recipient ID No. \*\*\*-\*\*-2079 Payer's Fed ID Number: 04-3523567

#### FORM 1099-B\*

## 2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description of	of property, Stock	or Other Sy	mbol, CUSIP							
Action	Quantity	<b>1b</b> Date Acquired	1c Date Sold or Disposed	1d Proceeds	<b>1e</b> Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Ta Withheld
ALBEMARLE CO	ORP COM USD0.	01, ALB, 0	12653101							
Sale	50.000	01/06/20	0 02/28/20	3,958.97	3,531.33			427.64		
HIMAX TECHNO	LOGIES INC SP	ONS ADR	EA REPR, HIMX, 432	89P106						
Sale	100.000	01/07/20	0 02/28/20	406.33	367.56			38.77		
Sale	900.000	01/07/20	02/28/20	3,656.98	3,308.94			348.04		
Subtotals				4,063.31	3,676.50					
L BRANDS INC	COM, LB, 501797	7104								
Sale	200.000	02/12/20	0 07/29/20	5,163.44	4,943.16			220.28		
UBER TECHNO	LOGIES INC CO	M, UBER, 9	90353T100							
Sale	100.000	02/10/20	0 11/13/20	4,684.39	4,040.50			643.89		
VIRTU FINL INC	CL A, VIRT, 928	254101								
Sale	93.000	03/05/20	0 03/12/20	2,104.38	2,043.23			61.15		
WALT DISNEY	CO, DIS, 2546871	06								
Sale	25.000	01/06/20	0 08/11/20	3,296.30	3,626.96			-330.66		
Sale	25.000	01/27/20	0 08/11/20	3,296.30	3,406.13			-109.83		
Subtotals				6,592.60	7,033.09					
ZOVIO INC COM	Л, ZVO, 98979V1	02								
Sale	472.000	05/21/20	0 05/29/20	1,345.17	1,474.76		2.47	-129.59		
Sale	28.000	05/21/20	0 05/29/20	79.79	87.49			-7.70		
Sale	491.000	05/21/20	0 06/04/20	1,477.04	1,534.13			-57.09		

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#### FORM 1099-B\*

### 2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

Action	Quantity	<b>1b</b> Date Acquired	1c Date Sold or Disposed	<b>1d</b> Proceeds	<b>1e</b> Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
ZOVIO INC CON	1, ZVO, 98979V1	02								
Sale	9.000	05/21/20	06/04/20	27.07	30.50			-3.43		
Subtotals				2,929.07	3,126.88		2.47			
TOTALS				29,496.16	28,394.69	0.00	2.47		0.00	
		Box A S	Short-Term Realize	d Gain				1,739.77		
		Box A S	Short-Term Realize	d Loss				-638.30		

For any transaction listed on Form 1099-B in a section indicating that "basis <u>is reported</u> to the IRS", we are reporting to the IRS: 1a Description of Property, 2 type of gain or loss (i.e. short-term or long-term), 3 Proceeds from QOF (Qualified Opportunity Fund), 6 Gross or Net Proceeds, 12 basis reported to IRS, and columns 1b, 1c, 1d, 1e, 1f, 1g, 4, 7, 14, 15 and 16. We are not reporting to the IRS: the Action, the Gain/Loss, and all subtotals and totals.

For any transaction listed on Form 1099-B in a section indicating that "basis <u>is not reported</u> to the IRS", we are reporting to the IRS: 1a Description of Property, 3 Proceeds from QOF (Qualified Opportunity Fund), 5 Noncovered security, 6 Gross or Net Proceeds, and columns 1c, 1d, 4, 15 and 16. We are not reporting to the IRS: 2 type of gain or loss (i.e. short-term or long-term), the Action, the Gain/Loss, columns 1b, 1e, 1f, 1g, 2, 7 and 12 and all subtotals and totals.

For any section 1256 option contracts we are reporting to the IRS: 1a Description of Property and totals for boxes 8, 9, 10 and 11.

Although Fidelity makes every effort to provide accurate information, please bear in mind that you, the taxpayer, are ultimately responsible for the accuracy of your tax returns.

(b) Cost or other basis provided may include adjustments including, but not limited to, dividend reinvestment, return of capital/principal, wash sale loss disallowed, amortization, accretion, acquisition premium, bond premium, market discount, market premium, and option premium.

Amortization, accretion, and similar adjustments to cost basis are not provided for short-term instruments and unit investment trusts.

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Summary of 2020 Supplemental Information Not Reported to the IRS							
Margin Interest Paid		Currency Realized Gain/Loss (USD)					
Tax Exempt Investment Expense		Actual Payment ShortfallAddition to Basis					
Proceeds Investment Expenses	0.00	Account Fees	0.46				
Severance Tax		Short Dividends					
Administrative Expenses		Money Market Realized Gain/LossShort/Long Term Realized Gain/Loss					
Deductible Generic Expenses	0.00	Mortgage Pool Statement (MBS)	0.00				

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# 2020 SUPPLEMENTAL INFORMATION

ARADHANA AWASTHI

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Note: This information is not reported to the IRS. It may assist you in tax return preparation.

### **Details of 1099-DIV Transactions**

**Total Ordinary Dividends and Distributions Detail** 

Description,	Symbol, CUSIP							
Date	<b>1a</b> Total Ordinary Dividends (includes 1b and 5)	Dividend Distributions	Short-Term Capital Gains	<b>1b</b> Qualified Dividends	<b>5</b> Section 199A Dividends	11 Exempt Interest Dividends	12 Specified Private Activity Bond Interest Dividends	<b>7</b> Foreig Tax Pai
BANCO SA	NTANDER S.A. ADR, SAN	, 05964H105						
12/14/20	38.09			38.09				
DXC TECHI	NOLOGY CO COM, DXC,	23355L106						
04/14/20	73.50			73.50				
EBIX INC, E	EBIX, 278715206							
06/16/20	7.50			7.50				
09/16/20	15.02			15.02				
12/15/20	20.70			20.70				
Subtotals	43.22			43.22				
FIDELITY G	GOVERNMENT MONEY MA	ARKET, SPAXX, 3161	7H102					
01/31/20	12.38	12.38						
02/28/20	6.02	6.02						
03/31/20	4.45	4.45						
04/30/20	0.11	0.11						
05/29/20	0.06	0.06						
06/30/20	0.01	0.01						
08/31/20	0.04	0.04						
11/30/20	0.02	0.02						
Subtotals	23.09	23.09						
HEWLETT I	PACKARD ENTERPRISE (	CO COM, HPE, 42824	C109					
04/01/20	42.00			42.00				
07/01/20	54.51			54.51				
10/07/20	55.20			55.20				
Subtotals	151.71			151.71				



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Date	<b>1a</b> Total Ordinary Dividends (includes 1b and 5)	Dividend Distributions	Short-Term Capital Gains	<b>1b</b> Qualified Dividends	<b>5</b> Section 199A Dividends	11 Exempt Interest Dividends	12 Specified Private Activity Bond Interest Dividends	<b>7</b> Foreign Tax Paid
L BRANDS	INC COM, LB, 501797104							
03/06/20	60.00			60.00				
WELLS FAF	RGO CO NEW COM, WFC	, 949746101						
03/01/20	25.50			25.50				
06/01/20	51.00			51.00				
09/01/20	20.19			20.19				
12/01/20	20.27			20.27				
Subtotals	116.96			116.96				
TOTALS	506.57	23.09	0.00	483.48	0.00	0.00	0.00	0.00

Short-term capital gain distributions reported on monthly/quarterly account statements are included in 1a Total Ordinary Dividends on Form 1099-DIV.

To see the **2020** State Percentages of Tax-Exempt Income for Fidelity Federal Tax-Exempt Funds or the Percentage of Income from U.S. Government Securities for applicable Fidelity Funds, visit **Fidelity.com/fundtaxinfo**.

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Account Fees		
Description	Date	Amount
BANCO SANTANDER S.A. ADR	12/14/20	0.46
TOTAL		0.46

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