Robinhood Markets Inc 85 Willow Road Menlo Park, CA 94025 650-940-2700



Varun raj Vavilala 39 Hampshire Dr Nashua, NH 03063

Master Account Number for Import: 827108606 **Document ID for Import:** T401E000RG8

Enclosed is your 2022 Consolidated Tax Statement. 1099 Tax Forms for the following accounts are included in this package:

827108606 - Robinhood Securities LLC 827108606C - Robinhood Crypto LLC

**New for 2022 Tax Year** - Where applicable, 1099 Tax Forms for Robinhood Securities, Robinhood Crypto, and Robinhood Money are being consolidated into one package and reported under our parent entity, Robinhood Markets Inc. We have done this to ensure all forms that you need are provided at the same time in this consolidated package.

Important - Please utilize the Master Account Number and Document ID listed above if filing through tax service providers such as TurboTax or H&R Block. The Document ID is necessary in order to directly import your 1099 data into the tax software so that you do not need to manually enter each transaction. This year there is only one Master Account Number and Document ID that needs to be used to import all of your data across all accounts and 1099s (including crypto). Please note that tax software providers may have limits on the total number of transactions that can be directly imported using the Document ID.

**CSVs** - In addition, a CSV file which includes the same information that is reported on your 1099 forms will be provided. The CSV is not a separate 1099. It is being provided as a supplement in a format that may be more helpful if you have a large number of transactions or if you are not using the Document ID to import all of your Securities, Crypto and Money activity. Tax software providers may have limits on the total number of transactions that can be uploaded and CSV files may not be compatible with tax service providers such as TurboTax or H&R Block.

**Robinhood Retirements Accounts** - If you had any reportable distributions or contributions within a Robinhood Retirement account, your 2022 tax forms 1099-R and/or 5498 will be delivered separately. Retirement accounts will be reported under Robinhood Securities LLC and will have their own unique Doc IDs for import to tax software providers such as TurboTax or H&R Block.



# Robinhood Markets Inc. as agent for Robinhood Securities LLC

85 Willow Road Menlo Park, CA 94025

Customer Service: 650-940-2700

PAYER'S TIN: 46-4364776

Tax Information Account 827108606	Statement Date: 02/13/2023	2022
Varun raj Vavilala		
39 Hampshire Dr Nashua, NH 03063		
Traditia, Tri 1 00000		
RECIPIENT'S TIN: XXX-XX-0983		

11 - [ ] FATCA filing requirement (see instructions)	Summar	y Information 13 -	[ ] FATCA filing requirement (see instructions)
DIVIDENDS AND DISTRIBUTIONS 2022 1099-DIV*	OMB No. 1545-0110	MISCELLANEOUS INFORMATION 20	<b>22 1099-MISC*</b> OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5, 2e)	21.66	2- Royalties	0.00
1b- Qualified dividends	21.59	3- Other income	0.41
2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)	0.00	4- Federal income tax withheld	0.00
2b- Unrecaptured Section 1250 gain	0.00	8- Substitute payments in lieu of dividends or interes	st 0.00
2c- Section 1202 gain	0.00	• •	
2d- Collectibles (28%) gain	0.00	SECTION 1256 CONTRACTS 20	<b>22 1099-B*</b> OMB No. 1545-0715
2e- Section 897 ordinary dividends	0.00		
2f- Section 897 capital gain	0.00	8- Profit or (loss) realized in 2022 on closed contract	
3- Nondividend distributions	0.00	9- Unrealized profit or (loss) on open contracts-12/3	
4- Federal income tax withheld	0.04	10- Unrealized profit or (loss) on open contracts-12/3	
5- Section 199A dividends	0.04	<ol> <li>11- Aggregate profit or (loss) on contracts</li> </ol>	0.00
6- Investment expenses	0.00	If applicable, proceeds from sale transactions app	oar summarized below and are
8- Foreign country or US possession: See detail 7- Foreign tax paid:	0.15	detailed in subsequent sections of this document.	
9- Cash liquidation distributions	0.00	•	
10- Noncash liquidation distributions	0.00	* This is important tax information and is being furnished to	
12- Exempt-interest dividends (includes line 13)	0.00	If you are required to file a return, a negligence penalty or	
13- Specified private activity bond interest dividends (AMT)	0.00	you if this income is taxable and the IRS determines that it h	has not been reported.

#### SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	31,257.79	34,053.08	0.00	505.81	-2,289.48
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	31,257.79	34,053.08	0.00	505.81	-2,289.48
Long	D (basis reported to the IRS)	165.44	165.03	0.00	0.00	0.41
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	165.44	165.03	0.00	0.00	0.41
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	31,423.23	34,218.11	0.00	505.81	-2,289.07
Withholding		Amount				
Federal income tax withheld 0.00						

Account 827108606

#### Robinhood Markets Inc. as agent for **Robinhood Securities LLC**

## **Summary Information**

(continued) 02/13/2023

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2022

#### 2022 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	15.54
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
Tax-exempt obligations (covered lots)	0.00
Tax-exempt private activity obligations (AMT, covered lots)	0.00
14- Tax-exempt and tax credit bond CUSIP number	See detail
FATCA filing requirement []	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid Taxable accrued Treasury interest paid Tax-exempt accrued interest paid (AMT) Taxable accrued interest paid (AMT) Taxable accrued nonqualified interest paid Tax-exempt accrued nonqualified interest paid Tax-exempt accrued nonqualified interest paid Tax-exempt accrued nonqualified interest paid (AMT) Nonqualified interest Tax-exempt nonqualified interest Tax-exempt nonqualified interest (AMT) Interest shortfall on contingent payment debt Bond premium- Non Treasury obligations (noncovered lots) Bond premium- Treasury obligations (noncovered lots) Bond premium- Tax-exempt obligations (noncovered lots)	0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.0
,	

#### STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

#### ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

#### RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Markets Inc. as agent for Robinhood Securities LLC

#### **Proceeds from Broker and Barter Exchange Transactions**

02/13/2023

Account 827108606

**2022 1099-B\*** OMB No. 1545-0715

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12) "Gain or loss (-)" is NOT reported to the IRS.

1a- Description of prope	rty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 02/25/2022 CALL \$	S177.50 / CUSIP: / Symbol:						
02/25/22	1.000	0.00	02/17/22	38.00	•••	-38.00	Option expiration- long call
AAPL 02/25/2022 PUT \$1	148.00 / CUSIP: / Symbol:						
	5 transactions for 02/2	5/22. Total proceeds a	and cost repor	ted to the IRS.			
	1.000	0.00	02/24/22	77.00	•••	-77.00	1 of 5 - Option expiration- long put
	1.000	0.00	02/24/22	35.00		-35.00	2 of 5 - Option expiration- long put
	1.000	0.00	02/24/22	33.00		-33.00	3 of 5 - Option expiration- long put
	1.000	0.00	02/24/22	29.00		-29.00	4 of 5 - Option expiration- long put
	1.000	0.00	02/24/22	27.00		-27.00	5 of 5 - Option expiration- long put
02/25/22	5.000	0.00	Various	201.00		-201.00	Total of 5 transactions
AAPL 03/04/2022 CALL \$	S177.50 / CUSIP: / Symbol:						
02/28/22	1.000	2.98	02/28/22	4.00		-1.02	Option sale to close-call <sup>25</sup>

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for Robinhood Securities LLC

### **Proceeds from Broker and Barter Exchange Transactions**

Account 827108606

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of prope	erty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 03/18/2022 CALL S	\$165.00 / CUSIP: / Symbol:						
	2 transactions for 03/1	6/22. Total proceeds a	and cost repor	ted to the IRS.			
03/16/22	1.000 1.000 2.000	6.99 6.98 13.97	03/11/22 03/15/22 Various	45.00 94.01 139.01	 	-38.01 -87.03 -125.04	1 of 2 - Option sale to close-call <sup>25</sup> 2 of 2 - Option sale to close-call <sup>25</sup> Total of 2 transactions
03/16/22	1.000 Security total:	6.99 <b>20.96</b>	03/10/22	93.00 <b>232.01</b>	86.01 W <b>86.01 W</b>	0.00 <b>-125.04</b>	Option sale to close-call <sup>25</sup>
AADL 02/49/2022 DLIT ©	150.00 / CUSIP: / Symbol:	20.30		232.01	00.01 **	-125.04	
03/10/22	1.000	100.98	03/09/22	71.00		29.98	Option sale to close-put <sup>25</sup>
06/16/22	128.00 / CUSIP: / Symbol: 1.000	314.98	06/14/22	329.00		-14.02	Option sale to close-put <sup>25</sup>
	140.00 / CUSIP: / Symbol:	011.00	00/11/22	020.00	···	11.02	option data to close put
07/14/22	2.000	57.98	07/14/22	62.00		-4.02	Option sale to close-put <sup>25</sup>
AAPL 09/30/2022 PUT \$	145.00 / CUSIP: / Symbol:						
09/26/22	1.000	67.98	09/26/22	96.00		-28.02	Option sale to close-put <sup>25</sup>
AAPL 10/07/2022 CALL S	\$148.00 / CUSIP: / Symbol:						
10/03/22	1.000	27.98	09/29/22	115.00		-87.02	Option sale to close-call <sup>25</sup>
AAPL 10/21/2022 PUT \$	140.00 / CUSIP: / Symbol:						
10/19/22	1.000	51.98	10/19/22	107.00		-55.02	Option sale to close-put <sup>25</sup>
AAPL 10/21/2022 PUT \$	150.00 / CUSIP: / Symbol:						
09/01/22	1.000	449.97	08/22/22	233.00		216.97	Option sale to close-put <sup>25</sup>
09/06/22	1.000	504.97 <b>954.94</b>	09/01/22	465.00 <b>698.00</b>	•••	39.97 <b>256.94</b>	Option sale to close-put <sup>25</sup>
AADL 40/20/2022 DUT 6	Security total:	904.94		096.00		250.94	
	140.00 / CUSIP: / Symbol:	200.00	00/4/4/00	0.40.00		F4 00	Onting calls to along mut 25
09/22/22	1.000 2 transactions for 09/2	299.98 3/22. Total proceeds a	09/14/22 and cost repor	248.00 ted to the IRS.		51.98	Option sale to close-put <sup>25</sup>
	1.000	334.98	09/20/22	214.00		120.98	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	374.98	09/21/22	195.00		179.98	2 of 2 - Option sale to close-put <sup>25</sup>
09/23/22	2.000	709.96	Various	409.00		300.96	Total of 2 transactions

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

1 of 2 - Option sale to close-put 25

2 of 2 - Option sale to close-put 25

Total of 2 transactions

Option sale to close-put 25

Option expiration-long put

136.98

190.98

327.96

63.98

391.94

-68.00

Robinhood Markets Inc. as agent for Robinhood Securities LLC

### **Proceeds from Broker and Barter Exchange Transactions**

Account 827108606

2022 1099-B\* OMB No. 1545-0715

09/01/22

09/13/22

08/19/22

(continued)

02/13/2023

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*) "Gain or loss (-)" is NOT reported to the IRS.

1.000

1.000

2.000

1.000

1.000

Security total:

AMZN 08/19/2022 PUT \$120.00 / CUSIP: / Symbol:

236.98

259.98

496.96

163.98

660.94

0.00

08/22/22

08/26/22

Various

09/09/22

08/02/22

1a- Description of property/CUSIP/Symbol 1c- Date 1d- Proceeds & 1f- Accrued mkt disc (D) & Gain or loss(-) & 6- Reported 1e- Cost or 1q- Wash sale loss 7- Loss not allowed (X) sold or 1b- Date disposed Quantity (G)ross or (N)et acquired other basis disallowed (W) also not reported (Z) Additional information AAPL 10/28/2022 PUT \$140.00 / CUSIP: / Symbol: (cont'd) 657.00 352.94 Security total: 1.009.94 AAPL 11/18/2022 PUT \$130.00 / CUSIP: / Symbol: 10/19/22 1.000 251.98 10/13/22 340.00 88.02 W 0.00 Option sale to close-put 25 10/28/22 Option sale to close-put 25 1.000 50.98 10/20/22 312.02 -261.04 652.02 Security total: 302.96 88.02 W -261.04 AMD 01/20/2023 PUT \$60.00 / CUSIP: / Symbol: 12/02/22 1.000 98.98 11/29/22 142.00 43.02 W 0.00 Option sale to close-put 25 12/19/22 1.000 187.98 12/13/22 159.02 28.96 Option sale to close-put 25 286.96 301.02 43.02 W 28.96 Security total: AMD 09/30/2022 CALL \$70.00 / CUSIP: / Symbol: 09/26/22 1.000 85.98 09/26/22 142.02 -56.04 Option sale to close-call 25 Option sale to close-call 25 09/26/22 1.000 127.98 09/23/22 141.00 13.02 W 0.00 213.96 283.02 13.02 W -56.04 Security total: AMD 10/21/2022 PUT \$65.00 / CUSIP: / Symbol: 2 transactions for 09/23/22. Total proceeds and cost reported to the IRS. 1.000 271.98 09/21/22 88.00 183.98 1 of 2 - Option sale to close-put 25 1.000 285.98 09/21/22 82.00 203.98 2 of 2 - Option sale to close-put 25 Total of 2 transactions 09/23/22 2.000 557.96 Various 170.00 387.96 AMD 10/21/2022 PUT \$70.00 / CUSIP: / Symbol: 2 transactions for 09/01/22. Total proceeds and cost reported to the IRS.

100.00

169.00

100.00

269.00

68.00

69.00

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Robinhood Markets Inc. as agent for Robinhood Securities LLC

## **Proceeds from Broker and Barter Exchange Transactions**

Account 827108606

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of property/CUSIP	P/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMZN 10/21/2022 PUT \$100.00 / C		(-)				(2)	
09/13/22 09/16/22	1.000 1.000	68.98 99.98	09/08/22 09/09/22	73.00 41.02	4.02 W 	0.00 58.96	Option sale to close-put <sup>25</sup> Option sale to close-put <sup>25</sup>
Se	curity total:	168.96		114.02	4.02 W	58.96	
AMZN 11/18/2022 PUT \$100.00 / C	CUSIP: / Symbol:						
10/10/22	1.000	282.98	10/05/22	199.00		83.98	Option sale to close-put <sup>25</sup>
AVGO 07/08/2022 CALL \$500.00 /	CUSIP: / Symbol:						
07/07/22	1.000	169.98	07/07/22	155.00	•••	14.98	Option sale to close-call <sup>25</sup>
AVGO 07/15/2022 CALL \$550.00 /	CUSIP: / Symbol:						
06/28/22	1.000	229.98	06/27/22	160.00	•••	69.98	Option sale to close-call <sup>25</sup>
SITO 08/26/2022 PUT \$10.00 / CU	SIP: / Symbol:						
08/26/22	1.000	0.00	08/19/22	4.00	•••	-4.00	Option expiration- long put
SITO 08/26/2022 PUT \$10.50 / CUS	SIP: / Symbol:						
08/26/22	1.000	0.00	08/19/22	6.00	•••	-6.00	Option expiration- long put
SITO 09/30/2022 PUT \$10.00 / CU	SIP: / Symbol:						
09/01/22	1.000	28.98	08/19/22	35.00		-6.02	Option sale to close-put <sup>25</sup>
SITO 11/18/2022 PUT \$10.00 / CU	SIP: / Symbol:						
11/18/22	1.000	0.98	11/10/22	66.00		-65.02	Option sale to close-put <sup>25</sup>
CAT 11/18/2022 PUT \$160.00 / CU	ISIP: / Symbol:						
10/13/22	1.000	414.98	10/11/22	380.00		34.98	Option sale to close-put <sup>25</sup>
CHPT 09/16/2022 PUT \$15.00 / CU	JSIP: / Symbol:						
09/01/22	1.000	91.98	09/01/22	62.00		29.98	Option sale to close-put <sup>25</sup>
COIN 09/30/2022 PUT \$50.00 / CU	SIP: / Symbol:						
08/30/22	1.000	194.98	08/29/22	236.00		-41.02	Option sale to close-put <sup>25</sup>
COIN 10/21/2022 PUT \$50.00 / CU	SIP: / Symbol:						
09/29/22	1.000	249.98	09/26/22	200.00		49.98	Option sale to close-put 25

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for Robinhood Securities LLC

## **Proceeds from Broker and Barter Exchange Transactions**

Account 827108606

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line "Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property	/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
COST 07/08/2022 PUT \$48	5.00 / CUSIP: / Symbol:						
07/08/22	1.000	18.98	07/07/22	133.00		-114.02	Option sale to close-put <sup>25</sup>
FB 04/01/2022 PUT \$227.50	0 / CUSIP: / Symbol:						
	2 transactions for 03/30	0/22. Total proceeds a	and cost repor	ted to the IRS.			
03/30/22	1.000 1.000 2.000	187.99 187.99 375.98	03/30/22 03/30/22 Various	213.00 209.00 422.00	 	-25.01 -21.01 -46.02	1 of 2 - Option sale to close-put <sup>25</sup> 2 of 2 - Option sale to close-put <sup>25</sup> Total of 2 transactions
FDX 07/15/2022 CALL \$230		0.0.00	Various			2	
07/05/22	1.000	448.97	07/05/22	297.00		151.97	Option sale to close-call <sup>25</sup>
FNKO 08/19/2022 CALL \$30							
08/19/22	1.000	0.00	08/04/22	58.00	***	-58.00	Option expiration- long call
GOOGL 09/30/2022 PUT \$1	100.00 / CUSIP: / Symbol:						, ,
09/13/22 09/13/22	1.000 1.000	102.98 83.98	09/02/22 09/01/22	176.02 152.00	 68.02 W	-73.04 0.00	Option sale to close-put <sup>25</sup> Option sale to close-put <sup>25</sup>
	Security total:	186.96		328.02	68.02 W	-73.04	
GOOGL 10/21/2022 PUT \$9	•						
09/23/22	1.000	299.98	09/22/22	276.00	•••	23.98	Option sale to close-put <sup>25</sup>
GOOGL 11/04/2022 PUT \$9	,						
10/10/22	1.000	314.98	10/04/22	218.00		96.98	Option sale to close-put <sup>25</sup>
GOOGL 11/18/2022 CALL \$	,						
11/11/22	1.000	179.98	11/10/22	146.00		33.98	Option sale to close-call <sup>25</sup>
WM 11/04/2022 PUT \$170.	00 / CUSIP: / Symbol:						
11/04/22	1.000	0.00	10/26/22	101.00		-101.00	Option expiration- long put
JD 07/15/2022 CALL \$62.00	) / CUSIP: / Symbol:						
07/12/22	1.000	57.98	07/12/22	75.00		-17.02	Option sale to close-call <sup>25</sup>
JPM 10/28/2022 PUT \$104.	00 / CUSIP: / Symbol:						
10/28/22	1.000	0.00	10/13/22	212.00		-212.00	Option expiration- long put

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Robinhood Markets Inc. as agent for **Robinhood Securities LLC** 

## **Proceeds from Broker and Barter Exchange Transactions**

Account 827108606

**1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of property/0	CUSIP/Symbol						
1c- Date		1d- Proceeds &			1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or disposed	Quantity	6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1g- Wash sale loss disallowed (W)	7- Loss not allowed (X)	Additional information
<del></del>		(G)ross or (N)et	acquired	other basis	disallowed (vv)	also not reported (Z)	Additional information
JPM 11/18/2022 PUT \$95.00	•						
	2 transactions for 11/18	3/22. Total proceeds a		rted to the IRS.			
	1.000	0.00	10/14/22	82.00	···	-82.00	1 of 2 - Option expiration- long put
11/18/22	1.000 2.000	<i>0.00</i> 0.00	<i>10/14/22</i> Various	77.00 159.00		<i>-77.00</i> -159.00	2 of 2 - Option expiration- long put Total of 2 transactions
		0.00	v arious	133.00		-139.00	Total of 2 transactions
JPM 12/16/2022 PUT \$115.00	•	0.00	40/04/00	450.00		450.00	Online comingtion laws and
12/16/22	1.000	0.00	10/31/22	156.00		-156.00	Option expiration- long put
LCID 03/04/2022 CALL \$42.0	,						
03/04/22	1.000	0.00	02/28/22	10.00		-10.00	Option expiration- long call
META 07/08/2022 CALL \$175	5.00 / CUSIP: / Symbol:						
07/07/22	1.000	48.98	07/07/22	59.00		-10.02	Option sale to close-call 25
07/08/22	2.000	41.98	07/07/22	118.00	•••	-76.02	Option sale to close-call <sup>25</sup>
	Security total:	90.96		177.00	•••	-86.04	
META 07/29/2022 PUT \$142.	•						
07/27/22 07/29/22	1.000 1.000	120.98 0.00	07/27/22 07/27/22	153.00 159.02	32.02 W	0.00 -159.02	Option sale to close-put <sup>25</sup>
07/29/22	Security total:	120.98	01/21/22	312.02	32.02 W	-159.02 - <b>159.02</b>	Option expiration- long put
MSFT 01/28/2022 CALL \$307	•	120.30		312.02	32.02 11	-100.02	
01/25/22	1.000	266.98	01/25/22	297.00		-30.02	Option sale to close-call <sup>25</sup>
		200.90	01/25/22	297.00	***	-30.02	Option sale to close-call 20
MSFT 02/25/2022 PUT \$267.5	•						
	2 transactions for 02/25						
	1.000 1.000	0.00 0.00	02/24/22 02/24/22	123.00 86.00		-123.00 -86.00	1 of 2 - Option expiration- long put 2 of 2 - Option expiration- long put
02/25/22	2.000	0.00	Various	209.00		-209.00	Total of 2 transactions
MSFT 06/17/2022 PUT \$240.0		0.00	Variodo	200.00		200.00	
06/14/22	1.000	334.98	06/13/22	330.00		4.98	Option sale to close-put <sup>25</sup>
		JJ4.90	00/13/22	330.00	•••	4.90	Option sale to close-put
MSFT 09/23/2022 PUT \$235.0	•	05.63	00/00/05	40.00		00.55	0 1 25
09/23/22	1.000	65.98	09/22/22	42.00		23.98	Option sale to close-put <sup>25</sup>

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Robinhood Markets Inc. as agent for **Robinhood Securities LLC** 

## **Proceeds from Broker and Barter Exchange Transactions**

Account 827108606

**1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of proper	ty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MSFT 09/30/2022 PUT \$2	40.00 / CUSIP: / Symbol:		-				
09/13/22	1.000	298.98	09/07/22	230.00		68.98	Option sale to close-put <sup>25</sup>
NVDA 02/18/2022 CALL \$	292.50 / CUSIP: / Symbol:						
	2 transactions for 02/16	6/22. Total proceeds a	and cost repo	rted to the IRS.			
02/16/22 02/18/22	1.000 1.000 2.000 1.000 Security total:	200.98 268.98 469.96 0.00 <b>469.96</b>	02/16/22 02/16/22 Various 02/16/22	177.00 207.00 384.00 271.00 <b>655.00</b>	  	23.98 61.98 85.96 -271.00 <b>-185.04</b>	1 of 2 - Option sale to close-call <sup>25</sup> 2 of 2 - Option sale to close-call <sup>25</sup> Total of 2 transactions Option expiration- long call
NVDA 02/18/2022 PUT \$2	220.00 / CUSIP: / Symbol:						
02/16/22	1.000	110.98	02/16/22	120.00		-9.02	Option sale to close-put <sup>25</sup>
NVDA 02/25/2022 CALL \$	265.00 / CUSIP: / Symbol:						
02/22/22	1.000	30.98	02/18/22	77.00	•••	-46.02	Option sale to close-call <sup>25</sup>
NVDA 06/24/2022 PUT \$1	45.00 / CUSIP: / Symbol:						
06/16/22	1.000	260.98	06/16/22	227.00		33.98	Option sale to close-put <sup>25</sup>
NVDA 11/18/2022 PUT \$1	05.00 / CUSIP: / Symbol:						
10/07/22 10/10/22	1.000 1.000	439.97 554.97	09/27/22 10/05/22	409.00 241.00	 	30.97 313.97	Option sale to close-put <sup>25</sup> Option sale to close-put <sup>25</sup>
	Security total:	994.94		650.00		344.94	
ORCL 07/29/2022 PUT \$6	88.00 / CUSIP: / Symbol:						
07/29/22	1.000	0.00	07/15/22	62.00	•••	-62.00	Option expiration- long put
OXY 10/21/2022 CALL \$7	0.00 / CUSIP: / Symbol:						
10/14/22	1.000	105.98	10/13/22	47.00	•••	58.98	Option sale to close-call <sup>25</sup>
OXY 10/21/2022 CALL \$7	5.00 / CUSIP: / Symbol:						
10/06/22	1.000	85.98	10/05/22	74.00		11.98	Option sale to close-call <sup>25</sup>
PYPL 02/25/2022 CALL \$	160.00 / CUSIP: / Symbol:						
02/03/22	1.000	66.98	02/02/22	84.00		-17.02	Option sale to close-call <sup>25</sup>

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Robinhood Markets Inc. as agent for **Robinhood Securities LLC** 

## **Proceeds from Broker and Barter Exchange Transactions**

Account 827108606

**1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of property/CUSI	P/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
QQQ 09/19/2022 PUT \$290.00 / C	USIP: / Symbol:						
09/13/22 09/14/22	1.000 1.000	344.98 255.98	09/08/22 09/14/22	277.00 322.00	 	67.98 -66.02	Option sale to close-put <sup>25</sup> Option sale to close-put <sup>25</sup>
QQQ 09/23/2022 CALL \$292.00 / 0	ecurity total:	600.96		599.00	•••	1.96	
09/21/22	1.000	200.00	09/21/22	355.00		43.98	Option cale to alone call 25
QQQ 10/14/2022 CALL \$273.00 / (		398.98	09/21/22	355.00	•••	43.90	Option sale to close-call <sup>25</sup>
10/13/22	1.000	2.98	10/12/22	131.00		-128.02	Option sale to close-call <sup>25</sup>
		2.90	10/12/22	131.00	•••	-120.02	Option sale to close-call
QQQ 10/14/2022 PUT \$253.00 / C 10/13/22	1.000	219.98	10/12/22	98.00		121.98	Option cale to alone put 25
		219.96	10/12/22	96.00	•••	121.96	Option sale to close-put <sup>25</sup>
QQQ 10/21/2022 PUT \$255.00 / C 10/11/22	1.000	367.98	10/11/22	340.00		27.98	Option sale to close-put <sup>25</sup>
		307.96	10/11/22	340.00	•••	27.96	Option sale to close-put
QQQ 10/21/2022 PUT \$268.00 / C	1.000	424.00	00/44/00	244.00		90.00	Option cale to alone put 25
09/21/22		424.98	09/14/22	344.00	•••	80.98	Option sale to close-put <sup>25</sup>
QQQ 10/24/2022 PUT \$260.00 / C	•	420.00	00/04/00	204.00		222.00	Ontion cale to alone mut 25
09/23/22	1.000	430.98	09/21/22	204.00	•••	226.98	Option sale to close-put <sup>25</sup>
QQQ 11/18/2022 PUT \$240.00 / C	•	004.00	40/40/00	055.00		400.00	Outline and to also a seed 25
10/19/22	1.000	234.98	10/13/22	355.00		-120.02	Option sale to close-put <sup>25</sup>
RBLX 10/21/2022 PUT \$30.00 / CU	•	05.00	00/00/00	22.22	20.00.14/	0.00	0 .:
10/10/22 10/13/22	1.000 1.000	65.98 66.98	09/30/22 10/12/22	88.00 57.02	22.02 W 	0.00 9.96	Option sale to close-put <sup>25</sup> Option sale to close-put <sup>25</sup>
	ecurity total:	132.96	. 0,,	145.02	22.02 W	9.96	opilor care to cross par
ROKU 10/07/2022 CALL \$60.00 / 0	CUSIP: / Symbol:						
10/03/22	1.000	157.98	10/03/22	105.00		52.98	Option sale to close-call <sup>25</sup>
SITM 02/18/2022 CALL \$230.00 /	CUSIP: / Symbol:						-
02/09/22	1.000	229.98	02/09/22	200.00		29.98	Option sale to close-call <sup>25</sup>

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for **Robinhood Securities LLC** 

### **Proceeds from Broker and Barter Exchange Transactions**

Account 827108606

**1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of property/CUSIP/Syr 1c- Date	nbol	1d- Proceeds &			1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or	uantity	6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1g- Wash sale loss disallowed (W)	7- Loss not allowed (X)	Additional information
SITM 02/18/2022 CALL \$240.00 / CUSI	P: / Symbol:						
02/09/22 02/09/22 02/15/22 Securit	1.000 1.000 1.000	79.98 89.98 29.98 <b>199.94</b>	02/09/22 02/09/22 02/10/22	60.00 110.00 120.02 <b>290.02</b>	20.02 W  20.02 W	19.98 0.00 -90.04 <b>-70.06</b>	Option sale to close-call <sup>25</sup> Option sale to close-call <sup>25</sup> Option sale to close-call <sup>25</sup>
SITM 02/18/2022 CALL \$260.00 / CUSI	•	100.01		200.02	20.02 11	7 0.00	
02/18/22 SITM 02/18/2022 CALL \$280.00 / CUSI	1.000	0.00	02/03/22	80.00		-80.00	Option expiration- long call
4 trans	actions for 02/18	8/22. Total proceeds a	and cost repor	ted to the IRS.			
00/40/00	1.000 1.000 1.000 1.000	0.00 0.00 0.00 0.00	02/02/22 02/03/22 02/03/22 02/03/22	320.00 65.00 60.00 50.00	  	-320.00 -65.00 -60.00 -50.00	1 of 4 - Option expiration- long call 2 of 4 - Option expiration- long call 3 of 4 - Option expiration- long call 4 of 4 - Option expiration- long call
02/18/22	4.000	0.00	Various	495.00	***	-495.00	Total of 4 transactions
SITM 04/14/2022 CALL \$280.00 / CUSI 04/14/22 SMH 10/21/2022 PUT \$170.00 / CUSIP	1.000	0.00	04/05/22	35.00		-35.00	Option expiration- long call
10/11/22 SMH 11/18/2022 PUT \$170.00 / CUSIP	1.000	359.98	10/11/22	284.00		75.98	Option sale to close-put <sup>25</sup>
10/10/22 SNAP 02/11/2022 PUT \$35.00 / CUSIP:	1.000 / Symbol:	599.97	10/04/22	269.00		330.97	Option sale to close-put <sup>25</sup>
02/11/22 SPY 01/24/2022 CALL \$437.00 / CUSIF	1.000 2: / Symbol:	0.00	02/09/22	10.00		-10.00	Option expiration- long put
01/24/22 SPY 01/26/2022 CALL \$445.00 / CUSIF	1.000 2: / Symbol:	127.98	01/24/22	103.00		24.98	Option sale to close-call <sup>25</sup>
01/26/22 SPY 01/26/2022 PUT \$420.00 / CUSIP:	1.000 / Symbol:	76.98	01/26/22	83.00		-6.02	Option sale to close-call <sup>25</sup>
01/26/22	1.000	9.98	01/25/22	195.00		-185.02	Option sale to close-put <sup>25</sup>

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Robinhood Markets Inc. as agent for Robinhood Securities LLC

### **Proceeds from Broker and Barter Exchange Transactions**

Account 827108606

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of property/CUSIP/Sy	mbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 02/02/2022 CALL \$450.00 / CUSI	P: / Symbol:						
02/01/22	1.000	328.98	02/01/22	302.00		26.98	Option sale to close-call 25
SPY 02/04/2022 CALL \$454.00 / CUSI	P: / Symbol:						
02/02/22	1.000	338.98	02/02/22	319.00		19.98	Option sale to close-call 25
SPY 02/04/2022 CALL \$455.00 / CUSI	P: / Symbol:						
02/02/22	1.000	315.98	02/02/22	305.00		10.98	Option sale to close-call 25
SPY 02/14/2022 CALL \$458.00 / CUSI	P: / Symbol:						
02/14/22	1.000	0.00	02/10/22	115.00		-115.00	Option expiration- long call
SPY 03/14/2022 PUT \$415.00 / CUSIP	: / Symbol:						
03/10/22	1.000	262.98	03/09/22	211.00		51.98	Option sale to close-put <sup>25</sup>
SPY 03/18/2022 PUT \$435.00 / CUSIP	: / Symbol:						
03/17/22	1.000	174.98	03/17/22	147.00		27.98	Option sale to close-put 25
03/18/22	1.000	99.98	03/17/22	159.00		-59.02	Option sale to close-put <sup>25</sup>
	ity total:	274.96		306.00		-31.04	
SPY 03/18/2022 PUT \$437.00 / CUSIP	,						
03/17/22	1.000	212.98	03/17/22	245.02		-32.04 0.00	Option sale to close-put <sup>25</sup>
03/17/22	1.000 ity total:	239.98 <b>452.96</b>	03/17/22	244.00 <b>489.02</b>	4.02 W <b>4.02 W</b>	-32.04	Option sale to close-put <sup>25</sup>
SPY 03/23/2022 CALL \$445.00 / CUSI	•	702.30		703.02	7.02 11	<b>02.04</b>	
03/22/22	1.000	298.98	03/21/22	224.00		74.98	Option sale to close-call <sup>25</sup>
SPY 03/25/2022 CALL \$449.00 / CUSI		200.00	JULITE	22 7.00		7 1.00	The state of the s
03/24/22	1.000	113.98	03/23/22	134.00		-20.02	Option sale to close-call <sup>25</sup>
SPY 03/25/2022 PUT \$446.00 / CUSIP		1.0.00	JALOILL	107.00		20.02	CP. CO. CO. CO. CO. CO.
03/23/22	1.000	282.98	03/22/22	269.00		13.98	Option sale to close-put <sup>25</sup>
SPY 03/31/2022 PUT \$459.00 / CUSIP		202.90	00122122	203.00		10.90	option said to close put
03/29/22	1.000	217.98	03/29/22	227.00		-9.02	Option sale to close-put <sup>25</sup>
UJIZJIZZ	1.000	211.90	03/23/22	221.00	•••	-9.02	Option sale to close-put

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Robinhood Markets Inc. as agent for Robinhood Securities LLC

### **Proceeds from Broker and Barter Exchange Transactions**

Account 827108606

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line "Gain or loss (-)" is NOT reported to the IRS.

1a- Description of prope	erty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 04/04/2022 CALL \$4	459.00 / CUSIP: / Symbol:						
03/30/22 04/04/22	1.000 1.000	236.98 0.98	03/30/22 03/30/22	246.00 252.02	9.02 W 	0.00 -251.04	Option sale to close-call <sup>25</sup> Option sale to close-call <sup>25</sup>
	Security total:	237.96		498.02	9.02 W	-251.04	
SPY 04/18/2022 CALL \$4	460.00 / CUSIP: / Symbol:						
	3 transactions for 04/1	8/22. Total proceeds a	and cost repor	ted to the IRS.			
04/18/22	1.000 1.000 1.000 3.000	0.00 0.00 0.00 0.00	04/01/22 04/05/22 04/06/22 Various	260.00 143.00 65.00 468.00	  	-260.00 -143.00 -65.00 -468.00	1 of 3 - Option expiration- long call 2 of 3 - Option expiration- long call 3 of 3 - Option expiration- long call Total of 3 transactions
SPY 04/25/2022 CALL \$4	460.00 / CUSIP: / Symbol:						
04/25/22	1.000	0.00	04/06/22	139.00	•••	-139.00	Option expiration- long call
SPY 05/02/2022 PUT \$4	20.00 / CUSIP: / Symbol:						,
	2 transactions for 04/2	8/22. Total proceeds a	and cost repor	ted to the IRS.			
04/28/22	1.000 1.000 2.000	183.98 188.98 372.96	04/28/22 04/28/22 Various	188.00 160.00 348.00	  	-4.02 28.98 24.96	1 of 2 - Option sale to close-put <sup>25</sup> 2 of 2 - Option sale to close-put <sup>25</sup> Total of 2 transactions
SPY 05/09/2022 PUT \$4	00.00 / CUSIP: / Symbol:						
05/04/22	1.000	122.98	05/03/22	197.00		-74.02	Option sale to close-put <sup>25</sup>
SPY 05/20/2022 PUT \$3	96.00 / CUSIP: / Symbol:						
05/18/22	1.000	178.98	05/17/22	95.00		83.98	Option sale to close-put <sup>25</sup>
SPY 05/23/2022 CALL \$3	398.00 / CUSIP: / Symbol:						
	2 transactions for 05/1	9/22. Total proceeds a	and cost repor	ted to the IRS.			
05/19/22 05/20/22	1.000 1.000 2.000 1.000 Security total:	164.98 179.98 344.96 191.98 <b>536.94</b>	05/19/22 05/19/22 Various 05/19/22	148.00 155.00 303.00 160.00 <b>463.00</b>	  	16.98 24.98 41.96 31.98 <b>73.94</b>	1 of 2 - Option sale to close-call <sup>25</sup> 2 of 2 - Option sale to close-call <sup>25</sup> Total of 2 transactions Option sale to close-call <sup>25</sup>

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for **Robinhood Securities LLC** 

### **Proceeds from Broker and Barter Exchange Transactions**

Account 827108606

**1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of property/CUSIP/S	ymbol						
1c- Date		1d- Proceeds &			1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or disposed	Quantity	6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1g- Wash sale loss disallowed (W)	7- Loss not allowed (X)	Additional information
SPY 05/23/2022 PUT \$386.00 / CUSI		(0)1000 01 (14)01	aoquirca	Other busis	disdiletted (11)	uiso not reported (2)	Additional information
05/20/22	1.000	345.98	05/20/22	157.00		188.98	Option sale to close-put <sup>25</sup>
SPY 05/27/2022 PUT \$384.00 / CUSI		343.90	03/20/22	137.00		100.90	Option sale to close-put
05/24/22	1.000	393.98	05/23/22	281.00		112.98	Option sale to close-put <sup>25</sup>
SPY 05/31/2022 PUT \$384.00 / CUSI		393.90	03/23/22	201.00		112.30	Option sale to close-put
05/26/22	1.000	41.98	05/25/22	227.00		-185.02	Option sale to close-put <sup>25</sup>
SPY 06/01/2022 PUT \$384.00 / CUSI		41.90	03/23/22	227.00		-105.02	Option sale to close-put
06/01/22	1.000	0.00	05/26/22	67.00		-67.00	Option expiration- long put
SPY 06/01/2022 PUT \$390.00 / CUSI		0.00	03/20/22	07.00		-07.00	Option expiration- long put
05/31/22	1.000	0.98	05/26/22	140.00		-139.02	Option sale to close-put <sup>25</sup>
SPY 06/06/2022 PUT \$403.00 / CUSI		0.90	03/20/22	140.00		-139.02	Option sale to close-put
06/01/22	1.000	101.98	05/31/22	145.00		-43.02	Option sale to close-put <sup>25</sup>
SPY 06/08/2022 PUT \$406.00 / CUSI		101.50	03/31/22	143.00		70.02	Option sale to close put
06/06/22	1.000	97.98	06/06/22	101.00		-3.02	Option sale to close-put <sup>25</sup>
SPY 06/08/2022 PUT \$407.00 / CUSI		37.30	00/00/22	101.00		0.02	Option sale to close put
06/08/22	1.000	2.98	06/06/22	110.00		-107.02	Option sale to close-put <sup>25</sup>
SPY 06/13/2022 CALL \$395.00 / CUS		2.50	00/00/22	110.00		107.02	Option sale to close put
06/13/22	1.000	7.98	06/10/22	141.00		-133.02	Option sale to close-call <sup>25</sup>
SPY 06/15/2022 CALL \$380.00 / CUS		7.50	00/10/22	141.00		100.02	Option sale to close dail
06/14/22	1.000	286.98	06/13/22	313.00	•••	-26.02	Option sale to close-call <sup>25</sup>
SPY 06/17/2022 CALL \$372.00 / CUS		200.00	00/10/22	010.00	•••	20.02	Option sale to close dail
06/16/22	1.000	214.98	06/16/22	218.00		-3.02	Option sale to close-call <sup>25</sup>
SPY 06/21/2022 PUT \$395.00 / CUSI		214.00	00/10/22	210.00		0.02	Option sale to close dail
	•	/22. Total proceeds a	and cost rend	orted to the IRS			
2 114	1.000	266.98	06/09/22	262.00		4.98	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	265.98	06/09/22	245.00		20.98	2 of 2 - Option sale to close-put <sup>25</sup>
06/09/22	2.000	532.96	Various	507.00		25.96	Total of 2 transactions

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Robinhood Markets Inc. as agent for **Robinhood Securities LLC** 

### **Proceeds from Broker and Barter Exchange Transactions**

Account 827108606

**1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of proper	ty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 06/21/2022 PUT \$39	5.00 / CUSIP: / Symbol: (con	t'd)					
06/10/22	1.000 Security total:	1,076.96 <b>1,609.92</b>	06/09/22	240.00 <b>747.00</b>	 	836.96 <b>862.92</b>	Option sale to close-put <sup>25</sup>
SPY 06/22/2022 CALL \$37	72.00 / CUSIP: / Symbol:						
06/17/22	1.000	355.98	06/16/22	345.00	•••	10.98	Option sale to close-call <sup>25</sup>
SPY 06/22/2022 PUT \$36	0.00 / CUSIP: / Symbol:						
06/22/22	1.000	0.00	06/17/22	210.00	•••	-210.00	Option expiration- long put
SPY 06/24/2022 PUT \$36	0.00 / CUSIP: / Symbol:						
	2 transactions for 06/24	4/22. Total proceeds a	and cost repo	orted to the IRS.			
06/24/22	1.000 1.000 2.000	0.00 0.00 0.00	06/21/22 06/22/22 Various	124.00 34.00 158.00	 	-124.00 -34.00 -158.00	1 of 2 - Option expiration- long put 2 of 2 - Option expiration- long put Total of 2 transactions
SPY 06/29/2022 PUT \$36							
06/13/22 06/16/22	1.000 1.000 <b>Security total:</b>	417.98 507.97 <b>925.95</b>	06/13/22 06/14/22	360.00 420.00 <b>780.00</b>	 	57.98 87.97 <b>145.95</b>	Option sale to close-put <sup>25</sup> Option sale to close-put <sup>25</sup>
SPY 06/30/2022 CALL \$47	74.00 / CUSIP: / Symbol:						
03/17/22 03/17/22	1.000 1.000 <b>Security total:</b>	395.98 379.98 <b>775.96</b>	03/10/22 03/04/22	383.02 465.00 <b>848.02</b>	 85.02 W <b>85.02 W</b>	12.96 0.00 <b>12.96</b>	Option sale to close-call <sup>25</sup> Option sale to close-call <sup>25</sup>
SPY 06/30/2022 CALL \$47	75.00 / CUSIP: / Symbol:						
03/09/22	1.000	334.98	03/07/22	300.00		34.98	Option sale to close-call <sup>25</sup>
SPY 06/30/2022 PUT \$36	0.00 / CUSIP: / Symbol:						
06/30/22	1.000	0.00	06/22/22	229.00		-229.00	Option expiration- long put
SPY 07/06/2022 PUT \$36	0.00 / CUSIP: / Symbol:						
06/30/22	1.000	66.98	06/22/22	213.00		-146.02	Option sale to close-put <sup>25</sup>

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Robinhood Markets Inc. as agent for Robinhood Securities LLC

## **Proceeds from Broker and Barter Exchange Transactions**

Account 827108606

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12 "Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUS	SIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 07/20/2022 PUT \$365.00 / 0	CUSIP: / Symbol:						
07/05/22 07/20/22	1.000 1.000	486.97 0.00	06/28/22 07/05/22	227.00 417.00	 	259.97 -417.00	Option sale to close-put <sup>25</sup> Option expiration- long put
	Security total:	486.97		644.00		-157.03	
SPY 08/10/2022 PUT \$406.00 / 0	CUSIP: / Symbol:						
08/08/22	1.000	88.98	08/08/22	48.00		40.98	Option sale to close-put <sup>25</sup>
SPY 08/12/2022 PUT \$385.00 / 0	CUSIP: / Symbol:						
08/12/22	1.000	0.00	07/29/22	68.00		-68.00	Option expiration- long put
SPY 08/19/2022 PUT \$402.50 / 0	CUSIP: / Symbol:						
08/10/22	1.000	80.98	08/10/22	100.00		-19.02	Option sale to close-put <sup>25</sup>
SPY 08/26/2022 PUT \$387.00 / 0	CUSIP: / Symbol:						
08/09/22	1.000	142.98	08/09/22	146.00		-3.02	Option sale to close-put <sup>25</sup>
SPY 09/30/2022 CALL \$370.00 /	CUSIP: / Symbol:						
09/28/22	1.000	264.98	09/28/22	231.02		33.96	Option sale to close-call <sup>25</sup>
09/28/22	1.000	196.98	09/27/22	218.00	21.02 W	0.00	Option sale to close-call <sup>25</sup>
	Security total:	461.96		449.02	21.02 W	33.96	
SPY 10/03/2022 PUT \$350.00 / 0	•						
09/30/22	1.000	49.98	09/29/22	101.00		-51.02	Option sale to close-put <sup>25</sup>
SPY 10/14/2022 PUT \$350.00 / 0	CUSIP: / Symbol:						
10/07/22	1.000	117.98	10/04/22	125.00		-7.02	Option sale to close-put <sup>25</sup>
SPY 10/19/2022 CALL \$382.00 /	CUSIP: / Symbol:						
10/19/22	1.000	0.00	10/18/22	8.00		-8.00	Option expiration- long call
SPY 10/19/2022 PUT \$350.00 / 0	CUSIP: / Symbol:						
10/11/22	1.000	388.98	10/11/22	366.00		22.98	Option sale to close-put <sup>25</sup>

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Robinhood Markets Inc. as agent for **Robinhood Securities LLC** 

### **Proceeds from Broker and Barter Exchange Transactions**

Account 827108606

**1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of property/	CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 10/21/2022 PUT \$345.0	00 / CUSIP: / Symbol:						
	2 transactions for 10/2	1/22. Total proceeds a	and cost report	ed to the IRS.			
10/21/22	1.000 1.000 2.000	0.00 0.00 0.00	10/13/22 10/13/22 Various	322.00 147.00 469.00	 	-322.00 -147.00 -469.00	1 of 2 - Option expiration- long put 2 of 2 - Option expiration- long put Total of 2 transactions
SPY 10/28/2022 PUT \$360.0	00 / CUSIP: / Symbol:						
09/21/22	1.000	515.97	09/14/22	385.00	•••	130.97	Option sale to close-put <sup>25</sup>
SPY 11/11/2022 PUT \$340.0	00 / CUSIP: / Symbol:						
10/11/22	1.000	621.97	10/04/22	306.00		315.97	Option sale to close-put <sup>25</sup>
SPY 11/18/2022 PUT \$360.0	00 / CUSIP: / Symbol:						
11/18/22	1.000	0.00	11/02/22	190.00		-190.00	Option expiration- long put
SQQQ 12/16/2022 CALL \$65	5.00 / CUSIP: / Symbol:						
11/15/22 11/17/22	1.000 1.000	116.98 92.98	11/11/22 11/14/22	115.00 84.00		1.98 8.98	Option sale to close-call <sup>25</sup> Option sale to close-call <sup>25</sup>
	Security total:	209.96		199.00		10.96	
TEN 07/15/2022 CALL \$21.0	0 / CUSIP: / Symbol:						
07/15/22	1.000	0.00	02/23/22	20.00		-20.00	Option expiration- long call
TEN 07/15/2022 PUT \$14.00	/ CUSIP: / Symbol:						
02/28/22	1.000	4.98	02/23/22	10.00		-5.02	Option sale to close-put <sup>25</sup>
TSLA 10/14/2022 CALL \$230	0.00 / CUSIP: / Symbol:						
10/12/22	1.000	85.98	10/11/22	170.00		-84.02	Option sale to close-call <sup>25</sup>
TSLA 10/21/2022 CALL \$242	2.50 / CUSIP: / Symbol:						
10/21/22	1.000	0.00	10/19/22	193.00	•••	-193.00	Option expiration- long call
TSLA 11/18/2022 PUT \$165.	.00 / CUSIP: / Symbol:						
11/18/22	1.000	0.00	11/09/22	345.00		-345.00	Option expiration- long put
TSLA 12/16/2022 PUT \$180.	.00 / CUSIP: / Symbol:						
11/07/22	1.000	694.97	11/03/22	525.00	•••	169.97	Option sale to close-put <sup>25</sup>

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Robinhood Markets Inc. as agent for Robinhood Securities LLC

## **Proceeds from Broker and Barter Exchange Transactions**

Account 827108606

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12 "Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/0	CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X)	Additional information
	•	(O)ross or (N)et	acquireu	Other basis	uisailoweu (VV)	also not reported (2)	Additional information
TSLA 12/23/2022 CALL \$160	,						
12/23/22	1.000	0.00	12/19/22	215.00		-215.00	Option expiration- long call
USO 12/16/2022 CALL \$83.0	0 / CUSIP: / Symbol:						
12/16/22	1.000	0.00	11/09/22	88.00		-88.00	Option expiration- long call
V 10/14/2022 PUT \$180.00 / 0	CUSIP: / Symbol:						
10/10/22	1.000	229.98	10/07/22	206.00		23.98	Option sale to close-put 25
WFC 01/20/2023 PUT \$40.00	/ CUSIP: / Symbol:						
11/03/22	1.000	92.98	11/03/22	96.00	•••	-3.02	Option sale to close-put <sup>25</sup>
WMT 10/21/2022 PUT \$120.0	00 / CUSIP: / Symbol:						
10/13/22	1.000	26.98	10/07/22	65.00		-38.02	Option sale to close-put <sup>25</sup>
ALPHABET INC. CLASS C C	APITAL STOCK / CUSIP: 0	)2079K107 / Symbol:					
02/02/22	0.006	16.37	07/23/21	15.00		1.37	Sale <sup>25</sup>
BJS WHOLESALE CLUB HO	LDINGS, I NC. COMMON S	STOCK / CUSIP: 055	550J101 / Syr	mbol:			
	9 transactions for 05/18	3/22. Total proceeds a	and cost repo	orted to the IRS.			
	0.071	3.91	02/09/22	4.51		-0.60	1 of 9 - Sale <sup>25</sup>
	0.156	8.60	02/16/22	10.00		-1.40	2 of 9 - Sale <sup>25</sup>
	0.160	8.83	02/23/22	10.00		-1.17	3 of 9 - Sale <sup>25</sup>
	0.154	8.50	03/02/22	10.00		-1.50	4 of 9 - Sale <sup>25</sup>
	0.080	4.38	04/18/22	5.98		-1.60	5 of 9 - Sale <sup>25</sup>
	0.061	3.37	04/18/22	4.12	•••	-0.75	6 of 9 - Sale <sup>25</sup>
	0.008	0.43	04/18/22	0.58		-0.15	7 of 9 - Sale <sup>25</sup>
	0.156	8.58	05/02/22	10.00		-1.42	8 of 9 - Sale <sup>25</sup>
	0.154	8.47	05/16/22	10.00		-1.53	9 of 9 - Sale <sup>25</sup>
05/18/22	1.000	55.07	Various	65.19	•••	-10.12	Total of 9 transactions
	2 transactions for 05/18	3/22. Total proceeds a	and cost repo	rted to the IRS.			
	0.080	4.38	02/09/22	5.00	0.62 W	0.00	1 of 2 - Sale <sup>25</sup>
	0.008	0.43	02/09/22	0.49	0.06 W	0.00	2 of 2 - Sale <sup>25</sup>
05/18/22	0.087	4.81	Various	5.49	0.68 W	0.00	Total of 2 transactions
	Security total:	59.88		70.68	0.68 W	-10.12	

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Robinhood Markets Inc. as agent for **Robinhood Securities LLC** 

### **Proceeds from Broker and Barter Exchange Transactions**

Account 827108606

**1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of pro	perty/CUSIP/Symbol						
1c- Date		1d- Proceeds &	41.5.4		1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or disposed	Quantity	6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1g- Wash sale loss disallowed (W)	7- Loss not allowed (X)	Additional information
•	MMON SHARES / CUSIP: 0977	. , , ,	uoquiiou	Cirio, Buoio	ulcullottou (11)	alco not roportou (2)	/taattonar miormation
		*	40/00/04	11.00		0.44	Sale <sup>25</sup>
07/06/22	0.320	4.56	10/22/21	14.00	•••	-9.44	Sale 20
CHARGEPOINT HOLD	INGS, INC. / CUSIP: 15961R10	5 / Symbol:					
	2 transactions for 04/0	05/22. Total proceeds a	and cost repor	ted to the IRS.			
	0.502	10.00	06/11/21	14.40		-4.40	1 of 2 - Sale <sup>25</sup>
	0.502	10.00	06/11/21	14.39		-4.39	2 of 2 - Sale <sup>25</sup>
04/05/22	1.004	20.00	Various	28.79		-8.79	Total of 2 transactions
ROWDSTRIKE HOLD	DINGS, INC. CLA SS A COMMO	N STOCK / CUSIP: 22	2788C105 / Sy	mbol:			
04/06/22	1.000	212.92	12/06/21	190.25		22.67	Sale <sup>25</sup>
	2 transactions for 06/0	06/22. Total proceeds a	and cost repor	ted to the IRS.			
	0.000	0.05	05/06/22	0.05		0.00	1 of 2 - Sale <sup>25</sup>
	0.005	0.82	05/10/22	0.74		0.08	2 of 2 - Sale <sup>25</sup>
06/06/22	0.005	0.87	Various	0.79		0.08	Total of 2 transactions
06/06/22	0.056	9.13	05/06/22	9.95	0.82 W	0.00	Sale <sup>25</sup>
	Security total:	222.92		200.99	0.82 W	22.75	
INGDONG (CAYMAN	) LIMITED AMER ICAN DEPOS	SITARY SHARES (EAC	CH T WO REP	RESENTING TH	REE ORDINARY / CUSIP: 254	445D101 / Symbol:	
06/21/22	1.000	4.75	04/05/22	4.82	•••	-0.07	Sale <sup>25</sup>
AUTO INC. AMERIC	AN DEPOSITA RY SHARES / C	CUSIP: 50202M102 / S	Symbol:				
03/02/22	1.189	35.94	03/05/21	25.39		10.55	Sale <sup>25</sup>
UCID GROUP, INC. C	OMMON STOCK / CUSIP: 5494	498103 / Symbol:					
	2 transactions for 02/0	3/22. Total proceeds a	and cost repor	ted to the IRS.			
	0.286	7.96	09/16/21	6.04		1.92	1 of 2 - Sale <sup>25</sup>
	0.714	19.84	09/16/21	15.04	···	4.80	2 of 2 - Sale <sup>25</sup>
02/03/22	1.000	27.80	Various	21.08		6.72	Total of 2 transactions
VIDIA CORPORATIO	N COMMON STOC K / CUSIP:	67066G104 / Symbol:					
02/02/22	0.150	37.84	07/22/21	29.40	•••	8.44	Sale <sup>25</sup>
	PRO SHORT QQQ / CUSIP: 743	47G432 / Symbol:					
03/02/22	0.118	4.86	02/28/22	5.00		-0.14	Sale <sup>25</sup>
JOI OLI LL	0.110	4.00	02/20/22	3.00		-0.14	Gaic

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for **Robinhood Securities LLC** 

## **Proceeds from Broker and Barter Exchange Transactions**

02/13/2023

Account 827108606

**1099-B\*** OMB No. 1545-0715

(continued)

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of proper	rty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROSHARES ULTRAPRO	O QQQ / CUSIP: 74347X831 /	Symbol:					
02/24/22 02/24/22	0.162 0.777 <b>Security total:</b>	6.58 31.65 <b>38.23</b>	02/09/22 01/19/22	10.00 50.00 <b>60.00</b>	 9.06 W <b>9.06 W</b>	-3.42 -9.29 <b>-12.71</b>	Sale <sup>25</sup> Sale <sup>25</sup>
STITCH FIX, INC. CLASS	A COMMO N STOCK / CUSI	P: 860897107 / Symb	ol:				
07/06/22	0.463	2.31	09/16/21	15.50		-13.19	Sale <sup>25</sup>
T-MOBILE US, INC. COM	IMON STOCK / CUSIP: 87259	0104 / Symbol:					
	4 transactions for 04/28	8/22. Total proceeds a	and cost repo	rted to the IRS.			
	0.387 0.236 1.000 0.034	51.29 31.28 132.66 4.57	08/03/21 09/22/21 09/22/21 10/20/21	56.00 29.94 127.04 4.20	 	-4.71 1.34 5.62 0.37	1 of 4 - Sale <sup>25</sup> 2 of 4 - Sale <sup>25</sup> 3 of 4 - Sale <sup>25</sup> 4 of 4 - Sale <sup>25</sup>
04/28/22	1.657	219.80	Various	217.18		2.62	Total of 4 transactions
WAYFAIR INC. / CUSIP: 9	94419L101 / Symbol:						
	4 transactions for 08/04	4/22. Total proceeds a	and cost repo	rted to the IRS.			
	0.198 0.562 0.494 0.478	12.95 36.77 32.36 31.33	06/23/22 07/01/22 07/15/22 07/29/22	10.00 25.00 25.00 25.00	  	2.95 11.77 7.36 6.33	1 of 4 - Sale <sup>25</sup> 2 of 4 - Sale <sup>25</sup> 3 of 4 - Sale <sup>25</sup> 4 of 4 - Sale <sup>25</sup>
08/04/22	1.732	113.41	Various	85.00		28.41	Total of 4 transactions
ATLASSIAN CORPORAT	TON PLC CLAS S A ORDINAF	RY SHARES / CUSIP:	G06242104	/ Symbol:			
06/21/22 <b>Totals</b> :	0.044	8.11 <b>31,257.79</b>	05/04/22	10.00 <b>34,053.08</b>	 505.81 W	-1.89 <b>-2,289.48</b>	Sale <sup>25</sup>

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for **Robinhood Securities LLC** 

**1099-B\*** OMB No. 1545-0715

## **Proceeds from Broker and Barter Exchange Transactions**

(continued)

02/13/2023

Account 827108606

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

1a- Description of property/CUS	IP/Symbol						
1c- Date		1d- Proceeds &			1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or		6- Reported		1e- Cost or	1g- Wash sale loss	7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	also not reported (Z)	Additional information
APPLE INC. COMMON STOCK /	CUSIP: 037833100 / Syr	mbol:					
02/03/22	0.570	100.00	09/01/20	75.01		24.99	Sale <sup>25</sup>
LI AUTO INC. AMERICAN DEPO	SITA RY SHARES / CUS	SIP: 50202M102 / Syr	mbol:				
	5 transactions for 03/02/2	22. Total proceeds an	d cost report	ed to the IRS.			
	0.658	19.88	11/17/20	24.39	···	-4.51	1 of 5 - Sale <sup>25</sup>
	0.189	5.72	11/17/20	7.01		-1.29	2 of 5 - Sale <sup>25</sup>
	0.810	24.49	03/05/21	29.77		-5.28	3 of 5 - Sale <sup>25</sup>
	0.190	5.74	03/05/21	6.97		-1.23	4 of 5 - Sale <sup>25</sup>
	0.153	4.63	03/05/21	5.63		-1.00	5 of 5 - Sale <sup>25</sup>
03/02/22	2.000	60.46	Various	73.77		-13.31	Total of 5 transactions
STITCH FIX, INC. CLASS A COMMO N STOCK / CUSIP: 860897107 / Symbol:							
07/06/22	1.000	4.98	05/06/20	16.25		-11.27	Sale <sup>25</sup>
Totals :		165.44		165.03		0.41	

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for
Robinhood Securities LLC
Detail for Dividends and Distributions

2022

Account 827108606

02/13/2023

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. There is also a holder level criteria for a dividend to be treated as Qualified. If the payment is from a common stock you are required to have held it for more than 60 days during the 121-day period that begins 60 days before the ex-dividend date of the dividend. If the dividend is from preferred shares and covers a period greater than 366 days, you must have held the preferred stock for more than 90 days during the 181-day period that begins 90 days before the ex-dividend date of the dividend.

Amounts presented in Box 2e as Section 897 Ordinary Dividends or Box 2f as Section 897 Capital Gains apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. If you are a US citizen, you should disregard this.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
ABBOTT LABORATORIES	002824100		08/15/22	0.22	Qualified dividend	
			11/15/22	0.57	Qualified dividend	
				0.79	Total Dividends & distributions	
APPLE INC. COMMON STOCK	037833100		02/10/22	0.71	Qualified dividend	
			05/12/22	0.74	Qualified dividend	
			08/11/22	0.74	Qualified dividend	
			11/10/22	0.74	Qualified dividend	
				2.93	Total Dividends & distributions	
FORD MOTOR COMPANY	345370860		06/01/22	0.31	Qualified dividend	
			09/01/22	0.58	Qualified dividend	
			12/01/22	0.58	Qualified dividend	
				1.47	Total Dividends & distributions	
INVESCO QQQ TRUST, SERIES 1	46090E103		04/29/22	0.01	Qualified dividend	
			07/29/22	0.12	Qualified dividend	
			10/31/22	0.26	Qualified dividend	
			12/30/22	0.33	Qualified dividend	
				0.72	Total Dividends & distributions	
JPMORGAN CHASE & CO.	46625H100		01/31/22	0.55	Qualified dividend	
			05/02/22	0.55	Qualified dividend	
			08/01/22	0.55	Qualified dividend	
			10/31/22	0.56	Qualified dividend	
				2.21	Total Dividends & distributions	

Robinhood Markets Inc. as agent for Robinhood Securities LLC

## **Detail for Dividends and Distributions**

Account 827108606

2022 (continued)

02/13/2023

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
KKR & CO. INC.	48251W104		03/04/22	0.04	Qualified dividend	
			05/31/22	0.16	Qualified dividend	
			08/30/22	0.25	Qualified dividend	
			11/29/22	0.25	Qualified dividend	
				0.70	Total Dividends & distributions	
MICROSOFT CORPORATION COMMON S	594918104		03/10/22	0.69	Qualified dividend	
TOCK			06/09/22	0.73	Qualified dividend	
			09/08/22	0.83	Qualified dividend	
			12/08/22	0.92	Qualified dividend	
				3.17	Total Dividends & distributions	
NVIDIA CORPORATION COMMON STOC K	67066G104		03/24/22	0.03	Qualified dividend	
			07/01/22	0.04	Qualified dividend	
			09/29/22	0.04	Qualified dividend	
			12/22/22	0.04	Qualified dividend	
				0.15	Total Dividends & distributions	
PROSHARES ULTRAPRO QQQ	74347X831		12/30/22	0.15	Qualified dividend	
SPDR S&P 500 ETF TRUST	78462F103		04/29/22	0.22	Qualified dividend	03
			04/29/22	0.01	Section 199A dividend	03
			07/29/22	0.25	Qualified dividend	03
			07/29/22	0.01	Nonqualified dividend	03
			07/29/22	0.01	Section 199A dividend	03
			10/31/22	0.25	Qualified dividend	03
			10/31/22	0.01	Nonqualified dividend	03
			10/31/22	0.01	Section 199A dividend	03
			01/31/23	0.28	Qualified dividend	03
			01/31/23	0.01	Nonqualified dividend	03
			01/31/23	0.01	Section 199A dividend	03
				1.07	Total Dividends & distributions	
TAIWAN SEMICONDUCTOR MANUFACTU	874039100		01/13/22	0.18	Qualified dividend	
RING COMPANY LTD.			01/13/22	-0.04	Federal tax withheld	
			01/13/22	-0.04	Foreign tax withheld-TW	
			04/14/22	0.17	Qualified dividend	
			04/14/22	-0.04	Foreign tax withheld-TW	
			07/14/22	0.17	Qualified dividend	
			07/14/22	-0.04	Foreign tax withheld-TW	

Robinhood Markets Inc. as agent for Robinhood Securities LLC

## **Detail for Dividends and Distributions**

Account 827108606

**2022** (continued) 02/13/2023

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
TAIWAN SEMICONDUCTOR MANUFACTU			10/13/22	0.16	Qualified dividend	
RING COMPANY LTD. (cont'd)			10/13/22	-0.03	Foreign tax withheld-TW	
				0.68	Total Dividends & distributions	
				-0.15	Total Foreign tax withheld	
				-0.04	Total Federal tax withheld	
TARGET CORPORATION	87612E106		06/10/22	0.24	Qualified dividend	
			09/12/22	1.59	Qualified dividend	
			12/12/22	1.94	Qualified dividend	
				3.77	Total Dividends & distributions	
VANECK SEMICONDUCTOR ETF	92189F676		12/23/22	1.70	Qualified dividend	
VANGUARD GROWTH ETF	922908736		03/28/22	0.11	Qualified dividend	
			06/28/22	0.18	Qualified dividend	
			09/28/22	0.37	Qualified dividend	
			12/28/22	0.38	Qualified dividend	
				1.04	Total Dividends & distributions	
WALMART INC.	931142103		05/31/22	0.13	Qualified dividend	
			09/06/22	0.98	Qualified dividend	
				1.11	Total Dividends & distributions	
				21.66	Total Dividends & distributions	
				-0.15	Total Foreign tax withheld	
				-0.04	Total Federal tax withheld	

Robinhood Markets Inc. as agent for
Robinhood Securities LLC
Detail for Interest Income

2022

Account 827108606

02/13/2023

This section of your tax information statement contains the payment level detail of taxable interest and associated bond premium. Market discount will be shown here only if you have elected to recognize it currently rather than at the time of sale or maturity. Bond premium and market discount for covered tax lots are totaled on Form 1099-INT and reported to the IRS. For noncovered tax lots, they are totaled and presented beneath the 1099-INT for informational purposes and are not reported to the IRS.

To provide a complete picture of activity for each investment, we also include here nonreportable transactions such as accrued interest paid on purchases and payment or receipt of nonqualified interest. Other amounts, such as federal, state and foreign tax withheld and investment expenses are shown as negative amounts but do not net against the reportable income totals.

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
Interest payment		01/31/22	0.02	Interest	
		02/24/22	0.02	Interest	
		02/28/22	0.01	Interest	
		03/31/22	0.08	Interest	
		04/29/22	0.01	Interest	
		05/26/22	0.06	Interest	
		05/31/22	0.04	Interest	
		06/15/22	0.03	Interest	
		06/30/22	0.14	Interest	
		07/29/22	0.07	Interest	
		08/31/22	0.05	Interest	
		09/30/22	0.39	Interest	
		10/31/22	1.08	Interest	
		11/30/22	0.36	Interest	
		12/30/22	0.19	Interest	
		_	2.55	Total Interest	
CAMBER ENERGY, INC	13200M508	07/07/22	0.14	Interest	
		08/05/22	0.18	Interest	
		09/07/22	0.10	Interest	
		10/06/22	0.13	Interest	
		11/04/22	0.10	Interest	
			0.65	Total Interest	
CHARGEPOINT HOLDINGS, INC.	15961R105	07/07/22	0.14	Interest	
		08/05/22	0.27	Interest	
		09/07/22	0.11	Interest	
		10/06/22	0.03	Interest	
		11/04/22	0.10	Interest	
		_	0.65	Total Interest	
CINEMARK HOLDINGS, INC.	17243V102	08/05/22	0.04	Interest	

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Robinhood Markets Inc. as agent for Robinhood Securities LLC

2022

# **Detail for Interest Income**

02/13/2023 (continued)

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
COINBASE GLOBAL, INC. CLASS A	19260Q107	08/05/22	0.23	Interest	
COMMON STOCK		09/07/22	0.09	Interest	
		12/06/22	0.01	Interest	
		_	0.33	Total Interest	
DANIMER SCIENTIFIC, INC.	236272100	07/07/22	0.15	Interest	
		08/05/22	0.31	Interest	
		09/07/22	0.31	Interest	
		10/06/22	0.20	Interest	
		11/04/22	0.29	Interest	
		12/06/22	0.01	Interest	
		_	1.27	Total Interest	
FISKER INC.	33813J106	07/07/22	0.16	Interest	
		08/05/22	0.31	Interest	
		09/07/22	0.31	Interest	
		10/06/22	0.27	Interest	
		11/04/22	0.30	Interest	
		12/06/22	0.30	Interest	
		_	1.65	Total Interest	
FUBOTV INC.	35953D104	07/07/22	0.16	Interest	
		08/05/22	0.27	Interest	
		09/07/22	0.27	Interest	
		10/06/22	0.21	Interest	
		11/04/22	0.20	Interest	
		12/06/22	0.01	Interest	
			1.12	Total Interest	
LUCID GROUP, INC. COMMON STOCK	549498103	07/07/22	0.16	Interest	
		08/05/22	0.31	Interest	
		09/07/22	0.31	Interest	
		10/06/22	0.24	Interest	
		11/04/22	0.30	Interest	
		12/06/22	0.01	Interest	
		_	1.33	Total Interest	

Robinhood Markets Inc. as agent for Robinhood Securities LLC

2022

# **Detail for Interest Income**

Account 827108606

(continued)

02/13/2023

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
PROSHARES BITCOIN STRATEGY ETF	74347G440	07/07/22	0.05	Interest	
		08/05/22	0.12	Interest	
		10/06/22	0.14	Interest	
		11/04/22	0.15	Interest	
		12/06/22	0.01	Interest	
			0.47	Total Interest	_
PROSHARES ULTRA VIX SHORT-TERM	74347Y839	07/07/22	0.05	Interest	
FUTURES ETF		08/05/22	0.04	Interest	
		09/07/22	0.01	Interest	
		10/06/22	0.03	Interest	
		11/04/22	0.30	Interest	
		12/06/22	0.01	Interest	
		_	0.44	Total Interest	
RIOT PLATFORMS, INC. COMMON ST OCK	767292105	07/07/22	0.08	Interest	
		08/05/22	0.02	Interest	
		09/07/22	0.16	Interest	
		10/06/22	0.27	Interest	
		11/04/22	0.25	Interest	
		12/06/22	0.01	Interest	
		_	0.79	Total Interest	
UPSTART HOLDINGS, INC. COMMON	91680M107	07/07/22	0.29	Interest	
STOCK		08/05/22	0.66	Interest	
		09/07/22	0.42	Interest	
		10/06/22	0.33	Interest	
		11/04/22	0.49	Interest	
		12/06/22	0.31	Interest	
		_	2.50	Total Interest	
VIRGIN GALACTIC HOLDINGS, INC.	92766K106	07/07/22	0.16	Interest	
		08/05/22	0.31	Interest	
		09/07/22	0.11	Interest	
		12/06/22	0.01	Interest	
		=	0.59	Total Interest	

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Detail for Interest Income

(continued)

Account 827108606

02/13/2023

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
ZOMEDICA CORP.	98980M109	07/07/22	0.16	Interest	
		08/05/22	0.30	Interest	
		09/07/22	0.17	Interest	
		10/06/22	0.05	Interest	
		11/04/22	0.25	Interest	
		12/06/22	0.01	Interest	
		_	0.94	Total Interest	
PROQR THERAPEUTICS N.V. ORDINA RY	N71542109	11/04/22	0.21	Interest	
SHARES		12/06/22	0.01	Interest	
		_	0.22	Total Interest	
		-	15.54	Total Interest	

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Robinhood Markets Inc. as agent for Robinhood Securities LLC

2022

## **Detail for Miscellaneous Information**

02/13/2023

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
US Dollars	USDOLLARS	02/02/22	0.41	Other income	
		-	0.41	Total Miscellaneous income	

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC Mutual Fund and UIT Supplemental Information

2022

Account 827108606

02/13/2023

The following information may be useful in the preparation of your federal and state income tax return(s). This information represents what was available at the time your tax statement was prepared. It may not be all inclusive and is subject to change. Please contact your mutual fund or UIT directly if you need to verify or supplement this information. Note that depending on your state of residence not all Federal Source income is exempt from state taxation. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

#### PROSHARES ULTRAPRO QQQ / 74347X831

#### PERCENTAGE OF INCOME FROM US GOVERNMENT SECURITIES

Fed Source Total 100.00% (A detailed breakdown is shown below when available)

Agency	<u>%</u>	Agency	%	Agency	%
U.S. Treasury	100.00	Fed Farm Credit	0.00	TN Valley Auth	0.00
Fed Home Loan	0.00	Student Loan	0.00	Other Dir. Fed	0.00

#### **VANGUARD GROWTH ETF / 922908736**

#### PERCENTAGE OF INCOME FROM US GOVERNMENT SECURITIES

Fed Source Total 0.13% (A detailed breakdown is shown below when available)

Agency	<u>%</u>	Agency	<u>%</u>	Agency	<u>%</u>
U.S. Treasury	0.08	Fed Farm Credit	0.00	TN Valley Auth	0.00
Fed Home Loan	0.05	Student Loan	0.00	Other Dir. Fed	0.00

This schedule lists all income and foreign tax by country. Mutual Funds and Unit Investment Trusts (UITs) structured as Regulated Investment Companies (RICs) are listed separately with a country designation of "RIC."

				Dividends			
Country	Security Description	CUSIP	Nonqualified	Qualified	Interest	Total Income	Tax
NL	PROQR THERAPEUTICS N.V. ORDINA RY SHARES	N71542109	0.00	0.00	0.22	0.22	0.00
Total NETHERLA	ANDS		0.00	0.00	0.22	0.22	0.00
TW	TAIWAN SEMICONDUCTOR MANUFACTU RING COMPANY LTD.	874039100	0.00	0.68	0.00	0.68	-0.15
Total TAIWAN			0.00	0.68	0.00	0.68	-0.15
Grand Total	Total Foreign Source Income* Qualified Foreign Source Income Foreign Tax	**		0.90 0.68 -0.15			

<sup>\*</sup> Total Foreign Source Income utilizes the "Total" column for RICs which may not always be supplied.

<sup>\*\*</sup> Qualified Foreign Source Income utilizes the "Qualified" column for RICs which may not always be supplied.

# Robinhood Markets Inc. as agent for Robinhood Securities LLC

#### **2022 End Notes for Account 827108606**

- 03 The tax character of the distribution has been allocated based on information provided by the security issuer.
- 25 Tax lot closed on a first in first out basis.

#### Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

#### Keep tax documents for your records.

#### 1099-DIV Instructions for Recipient

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

Line 1b. Shows the portion of the amount in Line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in Lines 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Form 1040.

**Line 2b.** Shows the portion of the amount in Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2c. Shows the portion of the amount in Line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

Line 2d. Shows the portion of the amount in Line 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

**Line 2e.** Shows the portion of the amount in Line 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

Line 2f. Shows the portion of the amount in Line 2a that is section 897 gain attributable to disposition of USRPI.

Note: Lines 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

**Line 4.** Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in Line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995.

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in Line 1a. Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit

on Form 1040 or 1040-SR. See the Instructions for Form 1040. Line 8. This Line should be left blank if a RIC reported the foreign tax shown in Line 7.

#### Instructions for Recipient

Lines 9 and 10. Show cash and noncash liquidation distributions.

amount is included in Line 12. See the Instructions for Form 6251.

Line 11. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You may also have a filing requirement. See the Instructions for Form 8028

Line 12. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040SR for where to report. This amount may be subject to backup withholding. See Line 4 above.

Line 13. Shows exempt-interest dividends subject to the alternative minimum tax. This

Lines 14-16. State income tax withheld reporting Lines.

Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

**Free File.** Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

#### 1099-INT Instructions for Recipient

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

Line 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2022 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

**Line 2.** Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction.

Line 3. Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium.

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Line 5. Any amount shown is your share of investment expenses of a singleclass REMIC. This amount is included in line 1. Note: This amount is not deductible. Line 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

Line 7. Shows the country or U.S. possession to which the foreign tax was paid. Line 8. Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Forms 1040 and 1040-SR. This amount may be subject to backup withholding. See Line 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

**Line 9.** Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in line 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 10. For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-0ID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in

accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includible in taxable income as interest income.

Line 11. For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this line for a taxable covered security acquired at a premium and the paver is reporting premium amortization, the paver has reported a net amount of interest in line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4). Line 12. For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 3. If the amount in line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

Line 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this line for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in line 8 or 9, whichever is applicable. If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

Line 14. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s).

Lines 15-17. State tax withheld reporting lines.

Future developments. For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to <a href="https://www.irs.gov/Form1099INT">www.irs.gov/Form1099INT</a>.

Free File. Go to <a href="https://www.irs.gov/FreeFile">www.irs.gov/FreeFile</a> to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

#### 1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

box does not include proceeds from regulated futures contracts or Section 1256 option

contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR)

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank. Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

#### 1099-MISC Instructions for Recipient

Line 2. Report royalties from oil, gas, or mineral properties; copyrights; and patents on Schedule E (Form 1040). However, report payments for a working interest as explained

#### Instructions for Recipient

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in the Schedule E (Form 1040) instructions. For royalties on timber, coal, and iron ore, see Pub. 544.

Line 3. Generally, report this amount on the "Other income" line on Form 1040 (or Form 1040NR) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).

Line 4. See "Backup Withholding" section.

**Line 8.** Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040).

Line 13. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You may also have a filing requirement. See the Instructions for Form 8038

Lines 16-18. Show state or local income tax withheld from the payments.

Future developments. For the latest information about developments related to Form 1099-MISC and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099MISC.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

Statement Date: 02/13/2023

## Robinhood Markets Inc. as agent for **Robinhood Crypto LLC**

85 Willow Rd

Menlo Park, CA 94025

Customer Service: 650-940-2700

Tax Information
Account 827108606C

Varun raj Vavilala 39 Hampshire Dr Nashua, NH 03063

RECIPIENT'S TIN: XXX-XX-0983

## PAYER'S TIN: 46-4364776

11 - [ ] FATCA filing requirement (see instructions)	Summai	ry Information 1	13 - [ ] FATCA filing requirement	ent (see instructions)
DIVIDENDS AND DISTRIBUTIONS 2022 1099-DIV*	OMB No. 1545-0110	MISCELLANEOUS INFORMATION	2022 1099-MISC*	OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5, 2e)	0.00	2- Royalties		0.00
1b- Qualified dividends	0.00	3- Other income		0.00
2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)	0.00	4- Federal income tax withheld		0.00
2b- Unrecaptured Section 1250 gain	0.00	8- Substitute payments in lieu of dividends or inter	rest	0.00
2c- Section 1202 gain	0.00	• •		
2d- Collectibles (28%) gain	0.00	SECTION 1256 CONTRACTS	2022 1099-B*	OMB No. 1545-0715
2e- Section 897 ordinary dividends	0.00			
2f- Section 897 capital gain	0.00	8- Profit or (loss) realized in 2022 on closed contra		0.00
3- Nondividend distributions	0.00	9- Unrealized profit or (loss) on open contracts-12		0.00
4- Federal income tax withheld	0.00	10- Unrealized profit or (loss) on open contracts-12	./31/2022	0.00
5- Section 199A dividends	0.00	<ol> <li>Aggregate profit or (loss) on contracts</li> </ol>		0.00
6- Investment expenses	0.00	If applicable, proceeds from sale transactions ag	nnoar summarized hale	w and are
8- Foreign country or US possession: 7- Foreign tax paid:	0.00	detailed in subsequent sections of this documen		w and are
9- Cash liquidation distributions	0.00	•		Camilaa
10- Noncash liquidation distributions	0.00	* This is important tax information and is being furnished		
12- Exempt-interest dividends (includes line 13)	0.00	If you are required to file a return, a negligence penalty		
13- Specified private activity bond interest dividends (AMT)	0.00	you if this income is taxable and the IRS determines that i	it has not been reporte	ea.
CHMMADY OF DDOCEFDS CAINS & LOSSES ADHISTN	JENTS AND WIT	HHOI DINC		

#### SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	B (basis not reported to the IRS)	365.83	341.07	0.00	0.00	24.76
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	365.83	341.07	0.00	0.00	24.76
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	366.37	249.20	0.00	0.00	117.17
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	366.37	249.20	0.00	0.00	117.17
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	732.20	590.27	0.00	0.00	141.93
Withholding		Amount				
Federal income	tax withheld	0.00				

Robinhood Markets Inc. as agent for Account 827108606C **Robinhood Crypto LLC Summary Information** 2022 (continued) 02/13/2023

#### INTEREST INCOME

#### 2022 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

<ul><li>1- Interest income (not included in line 3)</li><li>2- Early withdrawal penalty</li></ul>	0.00 0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
Tax-exempt obligations (covered lots)	0.00
Tax-exempt private activity obligations (AMT, covered lots)	0.00
14- Tax-exempt and tax credit bond CUSIP number	
FATCA filling requirement []	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid Taxable accrued Treasury interest paid Tax-exempt accrued interest paid Tax-exempt accrued interest paid (AMT) Taxable accrued nonqualified interest paid Tax-exempt nonqualified interest Tax-exempt nonqualified interest Tax-exempt nonqualified interest (AMT) Interest shortfall on contingent payment debt Bond premium- Non Treasury obligations (noncovered lots) Bond premium- Tax-exempt obligations (noncovered lots)	0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.0
Market discount (noncovered lots)	0.00

#### STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

#### ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year Acquisition premium (covered lots) Acquisition premium (noncovered lots)	0.00 0.00 0.00
Original issue discount on Treasury obligations Acquisition premium, Treasury obligations (covered lots)	0.00 0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

#### RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

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Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

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Robinhood Markets Inc. as agent for Robinhood Crypto LLC

### **Proceeds from Broker and Barter Exchange Transactions**

02/13/2023

Account 827108606C

**2022 1099-B\*** OMB No. 1545-0715

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

#### SHORT TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)

Report on Form 8949, Part I with Box B checked. Basis is NOT provided to the IRS. (Line 12)

"Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS.

1a- Description of property/C	USIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et		Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	
Bitcoin / CUSIP: BTCUSD / Sy	mbol:						
	6 transactions for 02/0	9/22. Total proceeds ar	re reported to	the IRS.			
	0.00117365	51.42	04/18/21	65.25		-13.83	1 of 6 - Sale <sup>25</sup>
	0.00193237	84.67	04/24/21	98.29		-13.62	2 of 6 - Sale <sup>25</sup>
	0.00002223	0.97	06/10/21	0.81	•••	0.16	3 of 6 - Sale <sup>25</sup>
	0.00041421	18.15	06/10/21	15.19		2.96	4 of 6 - Sale <sup>25</sup>
	0.0011	48.20	07/08/21	35.90		12.30	5 of 6 - Sale <sup>25</sup>
	0.0034651	151.83	07/08/21	113.10		38.73	6 of 6 - Sale <sup>25</sup>
02/09/22	0.008107	355.24	Various	328.54		26.70	Total of 6 transactions
Ethereum / CUSIP: ETHUSD /	Symbol:						
04/05/22	0.003092	10.59	05/14/21	12.53		-1.94	Sale <sup>25</sup>
Totals :		365.83		341.07		24.76	

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for Robinhood Crypto LLC

### **Proceeds from Broker and Barter Exchange Transactions**

Account 827108606C

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

## LONG TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)

Report on Form 8949, Part II with Box E checked. Basis is NOT provided to the IRS. (Line 12)

"Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS.

1a- Description o	of property/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
Ethereum / CUSII	P: ETHUSD / Symbol:						
	3 transactions for 02/24,	/22. Total proceeds ar	e reported to	the IRS.			
02/24/22 03/10/22	0.012652999999999999 0.019300000000000001 0.05188199999999998 0.083835 0.012396000000000001 3 transactions for 04/05	30.18 46.04 123.75 199.97 31.99 /22. Total proceeds ar	02/21/21 02/21/21 02/21/21 Various 02/21/21 e reported to	24.31 37.08 99.60 160.99 23.81 the IRS.	  	5.87 8.96 24.15 38.98 8.18	1 of 3 - Sale <sup>25</sup> 2 of 3 - Sale <sup>25</sup> 3 of 3 - Sale <sup>25</sup> Total of 3 transactions Sale <sup>25</sup>
04/05/22	0.007271 0.011308 0.020686 0.039265	24.89 38.71 70.81 134.41	02/21/21 02/23/21 02/23/21 Various	13.97 17.82 32.61	 	10.92 20.89 38.20 70.01	1 of 3 - Sale <sup>25</sup> 2 of 3 - Sale <sup>25</sup> 3 of 3 - Sale <sup>25</sup> Total of 3 transactions
Totals :	Security total:	366.37 366.37	vanous	64.40 <b>249.20</b> <b>249.20</b>	 	70.01 117.17 117.17	TOTAL OF 3 TRANSACTIONS

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for Robinhood Crypto LLC

### 2022 End Notes for Account 827108606C

25 Tax lot closed on a first in first out basis.

#### Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

#### Keep tax documents for your records.

#### 1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number**. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or nonSection 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR)

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

#### Instructions for Recipient

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank. Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a harter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to 1009/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

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