Robinhood Markets Inc 85 Willow Road Menlo Park, CA 94025 650-940-2700



Naga akhil varma Alluri 6740 Courtney Park Rd apt 5102 Charlotte, NC 28217 Master Account Number for Import: 555388974 Document ID for Import: 07681C5R1ZQ

Enclosed is your 2022 Consolidated Tax Statement. 1099 Tax Forms for the following accounts are included in this package:

555388974 - Robinhood Securities LLC

555388974C - Robinhood Crypto LLC

**New for 2022 Tax Year** - Where applicable, 1099 Tax Forms for Robinhood Securities, Robinhood Crypto, and Robinhood Money are being consolidated into one package and reported under our parent entity, Robinhood Markets Inc. We have done this to ensure all forms that you need are provided at the same time in this consolidated package.

**Important** - Please utilize the Master Account Number and Document ID listed above if filing through tax service providers such as TurboTax or H&R Block. The Document ID is necessary in order to directly import your 1099 data into the tax software so that you do not need to manually enter each transaction. This year there is only one Master Account Number and Document ID that needs to be used to import all of your data across all accounts and 1099s (including crypto). Please note that tax software providers may have limits on the total number of transactions that can be directly imported using the Document ID.

**CSVs** - In addition, a CSV file which includes the same information that is reported on your 1099 forms will be provided. The CSV is not a separate 1099. It is being provided as a supplement in a format that may be more helpful if you have a large number of transactions or if you are not using the Document ID to import all of your Securities, Crypto and Money activity. Tax software providers may have limits on the total number of transactions that can be uploaded and CSV files may not be compatible with tax service providers such as TurboTax or H&R Block.

**Robinhood Retirements Accounts** - If you had any reportable distributions or contributions within a Robinhood Retirement account, your 2022 tax forms 1099-R and/or 5498 will be delivered separately. Retirement accounts will be reported under Robinhood Securities LLC and will have their own unique Doc IDs for import to tax software providers such as TurboTax or H&R Block.

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Robinhood Markets Inc. as agent for Robinhood Securities LLC		nformation nt 555388974	Statement Date:	02/13/2023	2022
85 Willow Road Menlo Park, CA 94025 Customer Service: 650-940-2700	Naga akhil varma Alluri 6740 Courtney Park Rd Charlotte, NC 28217	apt 5102			·
PAYER'S TIN: 46-4364776	RECIPIENT'S TIN: XXX-	-XX-4776			
11 - [] FATCA filing requirement (see instructions)	Summary	Information		13 - [] FATCA filing requirem	nent (see instructions)
DIVIDENDS AND DISTRIBUTIONS 2022 1099-DIV	<b>OMB</b> No. 1545-0110	MISCELLANEOUS	INFORMATION	2022 1099-MISC*	OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5, 2e)	0.41	2- Royalties			0.00
1b- Qualified dividends	0.41	3- Other income			0.00
2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)	0.00	4- Federal income tax	withheld		0.00
2b- Unrecaptured Section 1250 gain	0.00	8- Substitute payments	in lieu of dividends of	r interest	0.00
2c- Section 1202 gain	0.00				
2d- Collectibles (28%) gain	0.00	SECTION 1256 CON	NTRACTS	2022 1099-В*	OMB No. 1545-071
2e- Section 897 ordinary dividends	0.00	8- Profit or (loss) realiz	ed in 2022 on closed (	contracts	0.00
2f- Section 897 capital gain 3- Nondividend distributions	0.00 1.62	9- Unrealized profit or (			0.00
4- Federal income tax withheld	0.00	10- Unrealized profit or			0.00
5- Section 199A dividends	0.00	11- Aggregate profit or (			0.00
6- Investment expenses	0.00				
8- Foreign country or US possession: 7- Foreign tax paid:	0.00			ons appear summarized belo	ow and are
9- Cash liquidation distributions	0.00		nt sections of this doc		
10- Noncash liquidation distributions	0.00	* This is important tax information			
12- Exempt-interest dividends (includes line 13)	0.00	If you are required to file a retu you if this income is taxable and			
<ol> <li>Specified private activity bond interest dividends (AMT)</li> </ol>	0.00	you in this meetine is taxable and		that it has not been report	

### SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	128,229.05	182,712.33	0.00	28,049.52	-26,433.76
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	128,229.05	182,712.33	0.00	28,049.52	-26,433.76
Long	D (basis reported to the IRS)	1,544.80	3,258.96	0.00	1,062.91	-651.25
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	1,544.80	3,258.96	0.00	1,062.91	-651.25
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	129,773.85	185,971.29	0.00	29,112.43	-27,085.01
Withholding		Amount				
Federal income	tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2022 may require an amended tax form.

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# **Summary Information**

2022

#### (continued)

OMB No. 1545-0112

02/13/2023

Account 555388974

#### INTEREST INCOME 2022 1099-INT

NT

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3) 2- Early withdrawal penalty	8.98 0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
<ol><li>9- Specified private activity bond interest (AMT)</li></ol>	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
Tax-exempt obligations (covered lots)	0.00
Tax-exempt private activity obligations (AMT, covered lots)	0.00
14- Tax-exempt and tax credit bond CUSIP number FATCA filing requirement []	See detail

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nongualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

### STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

### ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

### **RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	139.05
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

### Robinhood Markets Inc. as agent for Robinhood Securities LLC

# **Proceeds from Broker and Barter Exchange Transactions**

02/13/2023

Account 555388974

#### **2022 1099-B\*** OMB No. 1545-0715

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

#### FATCA filing requirement []

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*) "Gain or loss (-)" is NOT reported to the IRS.

#### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 10/21/2022 CALL \$16	5.00 / CUSIP: / Symbol:						
	6 transactions for 10/2	21/22. Total proceeds a	and cost repor	ted to the IRS.			
	1.000	0.00	09/13/22	255.00		-255.00	1 of 6 - Option expiration- long call
	1.000	0.00	09/14/22	238.00		-238.00	2 of 6 - Option expiration- long call
	1.000	0.00	09/16/22	117.00		-117.00	3 of 6 - Option expiration- long call
	1.000	0.00	09/23/22	120.00		-120.00	4 of 6 - Option expiration- long call
	1.000	0.00	09/28/22	61.00		-61.00	5 of 6 - Option expiration- long call
	2.000	0.00	09/30/22	44.00		-44.00	6 of 6 - Option expiration- long call
10/21/22	7.000	0.00	Various	835.00		-835.00	Total of 6 transactions
GOOGL 07/15/2022 CALL \$	2,500.0 / CUSIP: / Symbo	:					
	6 transactions for 07/2	15/22. Total proceeds a	and cost repor	ted to the IRS.			
	1.000	0.00	07/11/22	730.00		-730.00	1 of 6 - Option expiration- long call
	1.000	0.00	07/11/22	474.00		-474.00	2 of 6 - Option expiration- long call

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2022 1099-B\* OMB No. 1545-0715

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

#### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (7)	Additional information
•	ALL \$2,500.0 / CUSIP: / Symbol	() ()	uoquircu				
	1.000	0.00	07/12/22	295.00		-295.00	3 of 6 - Option expiration- long call
	1.000	0.00	07/13/22	43.00		-43.00	4 of 6 - Option expiration- long call
	1.000	0.00	07/13/22	40.00		-40.00	5 of 6 - Option expiration- long call
	1.000	0.00	07/13/22	30.00		-30.00	6 of 6 - Option expiration- long call
07/15/22	6.000	0.00	Various	1,612.00		-1,612.00	Total of 6 transactions
LCID 02/04/2022 CALL	\$33.00 / CUSIP: / Symbol:						
02/04/22	1.000	0.00	01/27/22	147.00		-147.00	Option expiration- long call
LCID 02/04/2022 CALL	\$38.00 / CUSIP: / Symbol:						
02/04/22	1.000	0.00	01/26/22	160.00		-160.00	Option expiration- long call
TSLA 06/17/2022 CALL	\$1,000.00 / CUSIP: / Symbol:						
01/28/22	1.000	6,244.95	01/27/22	7,625.00		-1,380.05	Option sale to close-call <sup>25</sup>
ACASTI PHARMA, INC	CLASS A CO MMON STOCK	/ CUSIP: 00430K873 /	Symbol:				
01/21/22	120.000	141.75	01/28/21	666.72		-524.97	Sale <sup>25</sup>
ALPHABET INC. CLAS	S A COMMON S TOCK / CUSIF	D: 02079K305 / Symbo	ol:				
08/02/22	13.000	1,484.82	05/06/22	1,495.78	5.91 W	-5.05	Sale <sup>25</sup>
	5 transactions for 12/0	9/22. Total proceeds a	and cost repor	ted to the IRS.			
	0.037	3.44	05/06/22	4.22		-0.78	1 of 5 - Sale <sup>25</sup>
	5.000	469.40	08/22/22	576.30		-106.90	2 of 5 - Sale <sup>25</sup>
	2.000	187.76	08/30/22	218.57		-30.81	3 of 5 - Sale <sup>25</sup>
	2.000	187.77	09/07/22	216.24		-28.47	4 of 5 - Sale <sup>25</sup>
	0.383	35.99	09/14/22	40.26		-4.27	5 of 5 - Sale <sup>25</sup>
12/09/22	9.420	884.36	Various	1,055.59		-171.23	Total of 5 transactions
	Security total:	2,369.18		2,551.37	5.91 W	-176.28	
AMAZON.COM, INC. C	COMMON STOCK / CUSIP: 023	135106 / Symbol:					
07/25/22	20.000	2,419.94	05/06/22	2,268.34		151.60	Sale <sup>25</sup>
07/25/22	1.000	121.00	06/06/22	125.43	4.43 W	0.00	Sale <sup>25</sup>
08/30/22	4.000	511.99	06/06/22	501.72		10.27	Sale <sup>25</sup>
	5 transactions for 08/3	30/22. Total proceeds a	and cost repor	ted to the IRS.			
	1.000	128.00	08/17/22	145.44	17.44 W	0.00	1 of 5 - Sale <sup>25</sup>

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Account 555388974

2022 1099-B\* OMB No. 1545-0715

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (*Lines 2 & 5*) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of	property/CUSIP/Symbol
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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMAZON.COM, INC.	COMMON STOCK / CUSIP: 023	3135106 / Symbol: (cor	nt'd)				
	1.000	128.00	08/17/22	141.02	13.02 W	0.00	2 of 5 - Sale <sup>25</sup>
	1.000	128.00	08/19/22	139.82	11.82 W	0.00	3 of 5 - Sale <sup>25</sup>
	5.000	639.98	08/22/22	668.39	28.41 W	0.00	4 of 5 - Sale 25
	4.000	511.98	08/26/22	528.31	16.33 W	0.00	5 of 5 - Sale <sup>25</sup>
08/30/22	12.000	1,535.96	Various	1,622.98	87.02 W	0.00	Total of 5 transactions
	8 transactions for 12/	20/22. Total proceeds a	and cost report	ed to the IRS.			
	0.689	59.40	08/26/22	103.02		-43.62	1 of 8 - Sale <sup>25</sup>
	0.311	26.81	08/26/22	46.50		-19.69	2 of 8 - Sale <sup>25</sup>
	3.000	258.61	09/01/22	395.17		-136.56	3 of 8 - Sale <sup>25</sup>
	1.000	86.21	09/01/22	139.10		-52.89	4 of 8 - Sale 25
	1.000	86.21	09/01/22	137.90		-51.69	5 of 8 - Sale 25
	1.311	113.02	09/07/22	173.70		-60.68	6 of 8 - Sale <sup>25</sup>
	0.689	58.53	09/07/22	91.28		-32.75	7 of 8 - Sale <sup>25</sup>
	1.975	167.77	09/14/22	261.31		-93.54	8 of 8 - Sale <sup>25</sup>
12/20/22	9.975	856.56	Various	1,347.98		-491.42	Total of 8 transactions
	Security total:	5,445.45		5,866.45	91.45 W	-329.55	
APPLE INC. COMMO	N STOCK / CUSIP: 037833100 /	/ Symbol:					
01/18/22	99.000	16,879.40	12/27/21	17,572.36		-692.96	Sale <sup>25</sup>
01/26/22	1.000	162.63	12/27/21	177.50		-14.87	Sale <sup>25</sup>
	Security total:	17,042.03		17,749.86		-707.83	
AURORA CANNABIS	INC. COMMON SH ARES / CU	SIP: 05156X884 / Symb	ool:				
	18 transactions for 0 <sup>-</sup>	1/24/22. Total proceeds	and cost repo	rted to the IRS.			
	5.000	21.60	12/21/21	29.78		-8.18	1 of 18 - Sale 25
	13.000	56.16	01/12/22	181.15		-124.99	2 of 18 - Sale <sup>25</sup>
	20.000	86.39	01/12/22	180.24		-93.85	3 of 18 - Sale <sup>25</sup>
	7.000	30.24	01/12/22	114.54		-84.30	4 of 18 - Sale <sup>25</sup>
	24.000	103.67	01/12/22	170.76		-67.09	5 of 18 - Sale <sup>25</sup>
	15.000	64.80	01/12/22	126.64		-61.84	6 of 18 - Sale <sup>25</sup>
	10.000	43.20	01/12/22	93.16		-49.96	7 of 18 - Sale <sup>25</sup>
	5.000	21.60	01/12/22	69.64		-48.04	8 of 18 - Sale <sup>25</sup>
	16.000	69.12	01/12/22	113.84		-44.72	9 of 18 - Sale <sup>25</sup>
	4.000	17.28	01/12/22	55.75		-38.47	10 of 18 - Sale <sup>25</sup>

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Account 555388974

02/13/2023

(continued)

2022 1099-B\* OMB No. 1545-0715

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

#### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
URORA CANNABIS INC	C. COMMON SH ARES / CU	SIP: 05156X884 / Symb	ol: (cont'd)				
	3.000	12.96	01/12/22	49.84		-36.88	11 of 18 - Sale <sup>25</sup>
	10.000	43.20	01/12/22	69.65		-26.45	12 of 18 - Sale 25
	2.000	8.64	01/12/22	33.47		-24.83	13 of 18 - Sale <sup>25</sup>
	2.000	8.64	01/12/22	30.53		-21.89	14 of 18 - Sale <sup>25</sup>
	2.000	8.64	01/12/22	30.51		-21.87	15 of 18 - Sale <sup>25</sup>
	8.000	34.55	01/12/22	55.72		-21.17	16 of 18 - Sale <sup>25</sup>
	2.000	8.64	01/12/22	27.83		-19.19	17 of 18 - Sale <sup>25</sup>
	2.000	8.64	01/12/22	13.92		-5.28	18 of 18 - Sale <sup>25</sup>
1/24/22	150.000	647.97	Various	1,446.97		-799.00	Total of 18 transactions
1/28/22	4.000	14.98	01/12/22	27.86		-12.88	Sale <sup>25</sup>
	Security total:	662.95		1,474.83		-811.88	
AMBER ENERGY, INC	/ CUSIP: 13200M508 / Symb	ool:					
	11 transactions for 0	1/18/22. Total proceeds	and cost repo	rted to the IRS.			
	10.000	6.80	12/15/21	11.38		-4.58	1 of 11 - Sale <sup>25</sup>
	100.000	67.99	12/21/21	95.60		-27.61	2 of 11 - Sale <sup>25</sup>
	682.000	463.67	12/27/21	756.83		-293.16	3 of 11 - Sale <sup>25</sup>
	290.000	197.16	12/27/21	321.82		-124.66	4 of 11 - Sale <sup>25</sup>
	28.000	19.04	12/27/21	31.07		-12.03	5 of 11 - Sale <sup>25</sup>
	1,997.000	1,357.71	01/10/22	1,656.77		-299.06	6 of 11 - Sale <sup>25</sup>
	290.000	197.16	01/10/22	240.59		-43.43	7 of 11 - Sale <sup>25</sup>
	100.000	67.99	01/10/22	82.96		-14.97	8 of 11 - Sale <sup>25</sup>
	100.000	67.99	01/10/22	82.96		-14.97	9 of 11 - Sale <sup>25</sup>
	2.000	1.36	01/10/22	1.66		-0.30	10 of 11 - Sale <sup>25</sup>
	1.000	0.68	01/10/22	0.83		-0.15	11 of 11 - Sale <sup>25</sup>
1/18/22	3,600.000	2,447.55	Various	3,282.47		-834.92	Total of 11 transactions
		08/22. Total proceeds a	and cost report	ed to the IRS.			
	10.000	1.38	01/10/22	8.30		-6.92	1 of 2 - Sale <sup>25</sup>
	100.000	13.75	09/01/22	34.90		-21.15	2 of 2 - Sale <sup>25</sup>
11/08/22	110.000	15.13	Various	43.20		-28.07	Total of 2 transactions
	Security total:	2,462.68		3,325.67		-862.99	

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# **Proceeds from Broker and Barter Exchange Transactions** (continued)

2022 **1099-B\*** OMB No. 1545-0715

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (*Lines 2 & 5*) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*)

"Gain or loss (-)" is NOT reported to the IRS. 1a Description of property/CUSID/Symbol

1c- Date sold or	<b>0</b> <i>i</i> ii	1d- Proceeds & 6- Reported	1b- Date	1e- Cost or	1f- Accrued mkt disc (D) & 1g- Wash sale loss	Gain or loss(-) & 7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	also not reported (Z)	Additional information
CLEANSPARK, INC. CON	MON STOCK / CUSIP: 184	52B209 / Symbol:					
	6 transactions for 01,	/18/22. Total proceeds a	and cost report	ted to the IRS.			
	4.000	31.00	06/07/21	69.36	38.36 W	0.00	1 of 6 - Sale <sup>25</sup>
	250.000	1,937.45	01/12/22	2,264.78	327.33 W	0.00	2 of 6 - Sale <sup>25</sup>
	8.000	62.00	01/12/22	67.12	5.12 W	0.00	3 of 6 - Sale <sup>25</sup>
	2.000	15.50	01/12/22	35.96	20.46 W	0.00	4 of 6 - Sale <sup>25</sup>
	2.000	15.50	01/12/22	35.96	20.46 W	0.00	5 of 6 - Sale 25
	84.000	650.98	01/12/22	814.74	163.76 W	0.00	6 of 6 - Sale <sup>25</sup>
01/18/22	350.000	2,712.43	Various	3,287.92	575.49 W	0.00	Total of 6 transactions
		26/22. Total proceeds a					
	4.000	24.36	01/12/22	38.80	14.44 W	0.00	1 of 2 - Sale <sup>25</sup>
	146.000	889.11	01/26/22	1,181.04	35.99 W	-255.94	2 of 2 - Sale <sup>25</sup>
01/26/22	150.000	913.47	Various	1,219.84	50.43 W	-255.94	Total of 2 transactions
01/28/22	3.000	17.01	01/26/22	24.27		-7.26	Sale <sup>25</sup>
		5/05/22. Total proceeds					
	1.000	7.35	01/26/22	8.09		-0.74	1 of 10 - Sale <sup>25</sup>
	21.000	154.34	02/10/22	237.08		-82.74	2 of 10 - Sale 25
	12.000	88.20	02/10/22	127.91		-39.71	3 of 10 - Sale 25
	2.000	14.70	02/10/22	39.14		-24.44	4 of 10 - Sale <sup>25</sup>
	2.000	14.70	02/10/22	39.14		-24.44	5 of 10 - Sale <sup>25</sup>
	8.000	58.80	02/10/22	79.92		-21.12	6 of 10 - Sale <sup>25</sup>
	50.000	367.49	02/11/22	532.48		-164.99	7 of 10 - Sale <sup>25</sup>
	13.000	95.54	02/15/22	151.96		-56.42	8 of 10 - Sale <sup>25</sup>
	12.000	88.20	02/15/22	140.87		-52.67	9 of 10 - Sale <sup>25</sup>
	4.000	29.40	02/15/22	53.40		-24.00	10 of 10 - Sale <sup>25</sup>
05/05/22	125.000	918.72	Various	1,409.99		-491.27	Total of 10 transactions
		/08/22. Total proceeds a					
	6.000	17.25	02/15/22	70.44		-53.19	1 of 3 - Sale <sup>25</sup>
	5.000	14.38	07/18/22	22.40		-8.02	2 of 3 - Sale <sup>25</sup>
	4.000	11.50	07/18/22	17.92		-6.42	3 of 3 - Sale <sup>25</sup>
11/08/22	15.000	43.13	Various	110.76		-67.63	Total of 3 transactions
	Security total:	4,604.76		6,052.78	625.92 W	-822.10	

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 555388974

02/13/2023

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# **Proceeds from Broker and Barter Exchange Transactions** (continued)

2022 **1099-B\*** OMB No. 1545-0715

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (*Lines 2 & 5*) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*)

#### "Gain or loss (-)" is NOT reported to the IRS. 1a-Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CORSAIR GAMING, I	INC. COMMON ST OCK / CUSI	P: 22041X102 / Symbol:					
	2 transactions for 01	/21/22. Total proceeds a	and cost report	ed to the IRS.			
	20.000	411.21	07/08/21	619.41		-208.20	1 of 2 - Sale <sup>25</sup>
	10.000	205.60	12/21/21	218.58		-12.98	2 of 2 - Sale <sup>25</sup>
01/21/22	30.000	616.81	Various	837.99		-221.18	Total of 2 transactions
11/08/22	5.000	81.95	12/21/21	109.29		-27.34	Sale <sup>25</sup>
	Security total:	698.76		947.28		-248.52	
IREXION DAILY SE	MICONDUCTOR B ULL 3X SHA	ARES / CUSIP: 25459W	458 / Symbol:				
	2 transactions for 01	/27/22. Total proceeds a	and cost report	ted to the IRS.			
	15.000	614.99	01/26/22	695.40		-80.41	1 of 2 - Sale <sup>25</sup>
	1.000	41.00	01/26/22	46.36		-5.36	2 of 2 - Sale <sup>25</sup>
01/27/22	16.000	655.99	Various	741.76		-85.77	Total of 2 transactions
	3 transactions for 05	/09/22. Total proceeds a	and cost report	ted to the IRS.			
	1.000	21.51	01/26/22	46.35		-24.84	1 of 3 - Sale <sup>25</sup>
	0.000	0.01	01/26/22	0.01		0.00	2 of 3 - Sale <sup>25</sup>
	0.000	0.00	03/30/22	0.01		-0.01	3 of 3 - Zero proceeds / negative sale <sup>25</sup>
05/09/22	1.000	21.52	Various	46.37		-24.85	Total of 3 transactions
	Security total:	677.51		788.13		-110.62	
EXELA TECHNOLOG	GIES, INC. COMMO N STOCK /	CUSIP: 30162V409 / Sy	/mbol:				
	3 transactions for 06	/29/22. Total proceeds a	and cost report	ted to the IRS.			
	15.000	1.99	01/25/22	7.16		-5.17	1 of 3 - Sale <sup>25</sup>
	15.000	1.99	01/25/22	7.13		-5.14	2 of 3 - Sale <sup>25</sup>
	25.000	3.33	01/26/22	17.60		-14.27	3 of 3 - Sale <sup>25</sup>
06/29/22	55.000	7.31	Various	31.89		-24.58	Total of 3 transactions
ISKER INC. / CUSIP	2: 33813J106 / Symbol:						
	32 transactions for 0	1/10/22. Total proceeds	and cost repo	rted to the IRS.			
	9.000	143.10	12/21/21	163.87	20.77 W	0.00	1 of 32 - Sale <sup>25</sup>
	6.000	95.40	01/03/22	166.36	70.96 W	0.00	2 of 32 - Sale 25
	11.000	174.90	01/03/22	305.00	130.10 W	0.00	3 of 32 - Sale <sup>25</sup>
	11.000	174.90	01/03/22	306.48	131.58 W	0.00	4 of 32 - Sale <sup>25</sup>

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2022 1099-B\* OMB No. 1545-0715

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

#### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FISKER INC. / CUSIP:	33813J106 / Symbol: (cont'd)						
	2.000	31.80	01/03/22	49.57	17.77 W	0.00	5 of 32 - Sale <sup>25</sup>
	4.000	63.60	01/03/22	100.78	37.18 W	0.00	6 of 32 - Sale <sup>25</sup>
	20.000	318.00	01/03/22	499.91	181.91 W	0.00	7 of 32 - Sale <sup>25</sup>
	5.000	79.50	01/03/22	123.23	43.73 W	0.00	8 of 32 - Sale <sup>25</sup>
	18.000	286.19	01/03/22	444.16	157.97 W	0.00	9 of 32 - Sale 25
	1.000	15.90	01/03/22	25.81	9.91 W	0.00	10 of 32 - Sale <sup>25</sup>
	3.000	47.70	01/03/22	77.44	29.74 W	0.00	11 of 32 - Sale <sup>25</sup>
	6.000	95.40	01/03/22	151.10	55.70 W	0.00	12 of 32 - Sale <sup>25</sup>
	9.000	143.10	01/03/22	226.65	83.55 W	0.00	13 of 32 - Sale <sup>25</sup>
	10.000	159.00	01/03/22	251.83	92.83 W	0.00	14 of 32 - Sale <sup>25</sup>
	24.000	381.59	01/03/22	658.23	276.64 W	0.00	15 of 32 - Sale <sup>25</sup>
	6.000	95.40	01/03/22	151.54	56.14 W	0.00	16 of 32 - Sale <sup>25</sup>
	8.000	127.20	01/03/22	198.14	70.94 W	0.00	17 of 32 - Sale <sup>25</sup>
	16.000	254.39	01/03/22	404.41	150.02 W	0.00	18 of 32 - Sale <sup>25</sup>
	19.000	302.09	01/03/22	469.63	167.54 W	0.00	19 of 32 - Sale <sup>25</sup>
	12.000	190.80	01/03/22	318.69	127.89 W	0.00	20 of 32 - Sale <sup>25</sup>
	5.000	76.70	01/03/22	132.79	56.09 W	0.00	21 of 32 - Sale <sup>25</sup>
	4.000	61.36	01/03/22	106.24	44.88 W	0.00	22 of 32 - Sale <sup>25</sup>
	1.000	15.34	01/07/22	26.74	11.40 W	0.00	23 of 32 - Sale <sup>25</sup>
	4.000	61.36	01/07/22	106.79	45.43 W	0.00	24 of 32 - Sale <sup>25</sup>
	25.000	383.49	01/07/22	547.43	163.94 W	0.00	25 of 32 - Sale <sup>25</sup>
	2.000	30.68	01/07/22	48.82	18.14 W	0.00	26 of 32 - Sale <sup>25</sup>
	3.000	46.02	01/07/22	73.22	27.20 W	0.00	27 of 32 - Sale <sup>25</sup>
	1.000	15.34	01/07/22	22.28	6.94 W	0.00	28 of 32 - Sale <sup>25</sup>
	9.000	138.06	01/07/22	173.06	35.00 W	0.00	29 of 32 - Sale <sup>25</sup>
	1.000	15.34	01/07/22	18.33	2.99 W	0.00	30 of 32 - Sale <sup>25</sup>
	49.000	751.16	01/07/22	897.94	146.78 W	0.00	31 of 32 - Sale <sup>25</sup>
	51.000	781.82	01/07/22	942.42	160.60 W	0.00	32 of 32 - Sale <sup>25</sup>
01/10/22	355.000	5,556.63	Various	8,188.89	2,632.26 W	0.00	Total of 32 transactions
	44 transactions for 01/	11/22. Total proceeds	and cost repo	rted to the IRS.	·		
	4.000	61.52	01/07/22	73.92	12.40 W	0.00	1 of 44 - Sale <sup>25</sup>
	1.000	15.37	01/10/22	28.98	13.61 W	0.00	2 of 44 - Sale 25
	18.000	276.66	01/10/22	530.30	253.64 W	0.00	3 of 44 - Sale <sup>25</sup>
	1.000	15.37	01/10/22	28.05	12.68 W	0.00	4 of 44 - Sale <sup>25</sup>

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Account 555388974

2022 1099-B\* OMB No. 1545-0715

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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#### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FISKER INC. / CUSIP: 3	3813J106 / Symbol: (cont'd)					<u> </u>	
	1.000	15.37	01/10/22	27.68	12.31 W	0.00	5 of 44 - Sale <sup>25</sup>
	6.000	92.22	01/10/22	164.01	71.79 W	0.00	6 of 44 - Sale 25
	11.000	169.07	01/10/22	300.70	131.63 W	0.00	7 of 44 - Sale <sup>25</sup>
	11.000	169.07	01/10/22	302.18	133.11 W	0.00	8 of 44 - Sale <sup>25</sup>
	13.000	199.81	01/10/22	359.82	160.01 W	0.00	9 of 44 - Sale <sup>25</sup>
	8.000	122.96	01/10/22	215.95	92.99 W	0.00	10 of 44 - Sale <sup>25</sup>
	2.000	30.74	01/10/22	48.79	18.05 W	0.00	11 of 44 - Sale <sup>25</sup>
	4.000	61.48	01/10/22	99.21	37.73 W	0.00	12 of 44 - Sale <sup>25</sup>
	20.000	307.40	01/10/22	492.08	184.68 W	0.00	13 of 44 - Sale <sup>25</sup>
	5.000	76.85	01/10/22	121.27	44.42 W	0.00	14 of 44 - Sale <sup>25</sup>
	18.000	276.66	01/10/22	437.13	160.47 W	0.00	15 of 44 - Sale <sup>25</sup>
	1.000	15.37	01/10/22	25.42	10.05 W	0.00	16 of 44 - Sale <sup>25</sup>
	3.000	46.11	01/10/22	76.27	30.16 W	0.00	17 of 44 - Sale <sup>25</sup>
	6.000	92.22	01/10/22	148.75	56.53 W	0.00	18 of 44 - Sale <sup>25</sup>
	9.000	138.33	01/10/22	223.13	84.80 W	0.00	19 of 44 - Sale <sup>25</sup>
	10.000	153.70	01/10/22	247.92	94.22 W	0.00	20 of 44 - Sale <sup>25</sup>
	24.000	368.87	01/10/22	648.85	279.98 W	0.00	21 of 44 - Sale <sup>25</sup>
	6.000	92.22	01/10/22	149.19	56.97 W	0.00	22 of 44 - Sale <sup>25</sup>
	8.000	122.96	01/10/22	195.01	72.05 W	0.00	23 of 44 - Sale <sup>25</sup>
	16.000	245.92	01/10/22	398.16	152.24 W	0.00	24 of 44 - Sale <sup>25</sup>
	19.000	292.02	01/10/22	462.20	170.18 W	0.00	25 of 44 - Sale <sup>25</sup>
	4.000	61.48	01/10/22	106.91	45.43 W	0.00	26 of 44 - Sale <sup>25</sup>
	5.000	76.85	01/10/22	133.63	56.78 W	0.00	27 of 44 - Sale <sup>25</sup>
	12.000	184.44	01/10/22	313.99	129.55 W	0.00	28 of 44 - Sale <sup>25</sup>
	1.000	15.37	01/10/22	26.91	11.54 W	0.00	29 of 44 - Sale <sup>25</sup>
	4.000	61.48	01/10/22	107.46	45.98 W	0.00	30 of 44 - Sale <sup>25</sup>
	25.000	384.24	01/10/22	551.66	167.42 W	0.00	31 of 44 - Sale <sup>25</sup>
	19.000	292.02	01/10/22	444.31	152.29 W	0.00	32 of 44 - Sale <sup>25</sup>
	2.000	30.74	01/10/22	49.16	18.42 W	0.00	33 of 44 - Sale <sup>25</sup>
	3.000	46.11	01/10/22	73.73	27.62 W	0.00	34 of 44 - Sale <sup>25</sup>
	1.000	15.37	01/10/22	22.45	7.08 W	0.00	35 of 44 - Sale <sup>25</sup>
	9.000	138.33	01/10/22	160.35	22.02 W	0.00	36 of 44 - Sale <sup>25</sup>
	9.000	138.33	01/10/22	174.58	36.25 W	0.00	37 of 44 - Sale <sup>25</sup>
	1.000	15.37	01/10/22	18.50	3.13 W	0.00	38 of 44 - Sale <sup>25</sup>

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Account 555388974

2022 1099-B\* OMB No. 1545-0715

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FISKER INC. / CUSIP: 3	3813J106 / Symbol: (cont'd)										
	49.000	753.11	01/10/22	906.70	153.59 W	0.00	39 of 44 - Sale <sup>25</sup>				
	50.000	768.48	01/10/22	852.78	84.30 W	0.00	40 of 44 - Sale <sup>25</sup>				
	2.000	30.74	01/10/22	34.04	3.30 W	0.00	41 of 44 - Sale 25				
	4.000	61.48	01/10/22	74.43	12.95 W	0.00	42 of 44 - Sale 25				
	15.000	230.54	01/10/22	279.87	49.33 W	0.00	43 of 44 - Sale <sup>25</sup>				
	36.000	552.23	01/10/22	671.68	119.45 W	0.00	44 of 44 - Sale <sup>25</sup>				
01/11/22	476.000	7,314.98	Various	10,808.11	3,493.13 W	0.00	Total of 44 transactions				
	16 transactions for 01/18/22. Total proceeds and cost reported to the IRS.										
	1.000	14.29	01/11/22	29.52	15.23 W	0.00	1 of 16 - Sale <sup>25</sup>				
	18.000	257.21	01/11/22	540.00	282.79 W	0.00	2 of 16 - Sale <sup>25</sup>				
	1.000	14.29	01/11/22	28.59	14.30 W	0.00	3 of 16 - Sale <sup>25</sup>				
	1.000	14.29	01/11/22	28.22	13.93 W	0.00	4 of 16 - Sale <sup>25</sup>				
	6.000	85.74	01/11/22	169.30	83.56 W	0.00	5 of 16 - Sale 25				
	6.000	85.68	01/11/22	167.24	81.56 W	0.00	6 of 16 - Sale <sup>25</sup>				
	11.000	157.08	01/11/22	306.63	149.55 W	0.00	7 of 16 - Sale <sup>25</sup>				
	11.000	157.08	01/11/22	308.10	151.02 W	0.00	8 of 16 - Sale <sup>25</sup>				
	7.000	99.96	01/11/22	197.52	97.56 W	0.00	9 of 16 - Sale <sup>25</sup>				
	8.000	114.24	01/11/22	220.26	106.02 W	0.00	10 of 16 - Sale <sup>25</sup>				
	2.000	28.56	01/11/22	50.29	21.73 W	0.00	11 of 16 - Sale <sup>25</sup>				
	2.000	28.56	01/14/22	48.38	19.82 W	0.00	12 of 16 - Sale <sup>25</sup>				
	4.000	57.12	01/14/22	98.39	41.27 W	0.00	13 of 16 - Sale <sup>25</sup>				
	18.000	257.03	01/14/22	439.18	182.15 W	0.00	14 of 16 - Sale <sup>25</sup>				
	3.000	42.84	01/14/22	72.14	29.30 W	0.00	15 of 16 - Sale <sup>25</sup>				
	18.000	257.03	01/14/22	433.44	176.41 W	0.00	16 of 16 - Sale <sup>25</sup>				
01/18/22	117.000	1,671.00	Various	3,137.20	1,466.20 W	0.00	Total of 16 transactions				
	37 transactions for 01	/25/22. Total proceeds	and cost repo	orted to the IRS.							
	2.000	23.00	01/14/22	48.10	25.10 W	0.00	1 of 37 - Sale <sup>25</sup>				
	1.000	11.50	01/14/22	25.22	13.72 W	0.00	2 of 37 - Sale <sup>25</sup>				
	2.000	23.00	01/14/22	50.44	27.44 W	0.00	3 of 37 - Sale <sup>25</sup>				
	1.000	11.50	01/24/22	22.06	10.56 W	0.00	4 of 37 - Sale <sup>25</sup>				
	5.000	57.50	01/24/22	118.38	60.88 W	0.00	5 of 37 - Sale <sup>25</sup>				
	12.000	138.00	01/24/22	284.35	146.35 W	0.00	6 of 37 - Sale <sup>25</sup>				
	6.000	69.00	01/24/22	128.77	59.77 W	0.00	7 of 37 - Sale <sup>25</sup>				
	7.000	80.50	01/24/22	165.94	85.44 W	0.00	8 of 37 - Sale <sup>25</sup>				

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Account 555388974

2022 1099-B\* OMB No. 1545-0715

### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

#### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ISKER INC. / CUSIP:	33813J106 / Symbol: (cont'd)						
	9.000	103.50	01/24/22	193.16	89.66 W	0.00	9 of 37 - Sale <sup>25</sup>
	10.000	115.00	01/24/22	214.62	99.62 W	0.00	10 of 37 - Sale 25
	6.000	69.00	01/24/22	129.21	60.21 W	0.00	11 of 37 - Sale <sup>25</sup>
	8.000	92.00	01/24/22	168.37	76.37 W	0.00	12 of 37 - Sale <sup>25</sup>
	16.000	183.99	01/24/22	344.88	160.89 W	0.00	13 of 37 - Sale <sup>25</sup>
	15.000	172.49	01/24/22	314.95	142.46 W	0.00	14 of 37 - Sale <sup>25</sup>
	4.000	46.00	01/24/22	83.99	37.99 W	0.00	15 of 37 - Sale <sup>25</sup>
	2.000	23.00	01/24/22	46.80	23.80 W	0.00	16 of 37 - Sale <sup>25</sup>
	5.000	57.50	01/24/22	116.98	59.48 W	0.00	17 of 37 - Sale <sup>25</sup>
	12.000	138.00	01/24/22	274.03	136.03 W	0.00	18 of 37 - Sale <sup>25</sup>
	2.000	23.00	01/24/22	46.81	23.81 W	0.00	19 of 37 - Sale <sup>25</sup>
	1.000	11.50	01/24/22	23.55	12.05 W	0.00	20 of 37 - Sale <sup>25</sup>
	1.000	11.50	01/24/22	23.54	12.04 W	0.00	21 of 37 - Sale <sup>25</sup>
	1.000	11.50	01/24/22	23.59	12.09 W	0.00	22 of 37 - Sale <sup>25</sup>
	2.000	23.00	01/24/22	47.09	24.09 W	0.00	23 of 37 - Sale <sup>25</sup>
	25.000	287.49	01/24/22	468.67	181.18 W	0.00	24 of 37 - Sale <sup>25</sup>
	19.000	218.50	01/24/22	380.29	161.79 W	0.00	25 of 37 - Sale <sup>25</sup>
	2.000	23.00	01/24/22	42.42	19.42 W	0.00	26 of 37 - Sale <sup>25</sup>
	3.000	34.50	01/24/22	63.62	29.12 W	0.00	27 of 37 - Sale <sup>25</sup>
	1.000	11.50	01/24/22	19.08	7.58 W	0.00	28 of 37 - Sale <sup>25</sup>
	9.000	103.50	01/24/22	130.02	26.52 W	0.00	29 of 37 - Sale <sup>25</sup>
	9.000	103.49	01/24/22	144.25	40.76 W	0.00	30 of 37 - Sale <sup>25</sup>
	1.000	11.50	01/24/22	15.13	3.63 W	0.00	31 of 37 - Sale <sup>25</sup>
	1.000	11.50	01/24/22	15.13	3.63 W	0.00	32 of 37 - Sale <sup>25</sup>
	2.000	23.00	01/24/22	30.27	7.27 W	0.00	33 of 37 - Sale <sup>25</sup>
	46.000	528.99	01/24/22	696.19	167.20 W	0.00	34 of 37 - Sale <sup>25</sup>
	50.000	574.99	01/24/22	684.30	109.31 W	0.00	35 of 37 - Sale <sup>25</sup>
	2.000	23.00	01/24/22	27.30	4.30 W	0.00	36 of 37 - Sale <sup>25</sup>
	2.000	22.98	01/24/22	30.48	7.50 W	0.00	37 of 37 - Sale <sup>25</sup>
01/25/22	302.000	3,472.92	Various	5,641.98	2,169.06 W	0.00	Total of 37 transactions
	59 transactions for 02/0				,		
	2.000	22.78	01/24/22	30.47	7.69 W	0.00	1 of 59 - Sale <sup>25</sup>
	1.000	11.39	01/24/22	15.29	3.90 W	0.00	2 of 59 - Sale <sup>25</sup>
	7.000	79.73	01/28/22	91.47	11.74 W	0.00	3 of 59 - Sale <sup>25</sup>

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Account 555388974

2022 1099-B\* OMB No. 1545-0715

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

#### 1a- Description of property/CUSIP/Symbol

1c- Date sold or	Quantita	1d- Proceeds & 6- Reported	1b- Date	1e- Cost or	1f- Accrued mkt disc (D) & 1g- Wash sale loss	Gain or loss(-) & 7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	also not reported (Z)	Additional information
ISKER INC. / CUSIP: 33	3813J106 / Symbol: (cont'd)						
	7.000	79.73	01/28/22	91.47	11.74 W	0.00	4 of 59 - Sale <sup>25</sup>
	36.000	410.03	01/28/22	471.47	61.44 W	0.00	5 of 59 - Sale <sup>25</sup>
	1.000	11.39	01/28/22	25.34	13.95 W	0.00	6 of 59 - Sale <sup>25</sup>
	16.000	182.23	01/28/22	413.09	230.86 W	0.00	7 of 59 - Sale <sup>25</sup>
	2.000	22.78	01/31/22	53.39	30.61 W	0.00	8 of 59 - Sale <sup>25</sup>
	1.000	11.39	01/31/22	25.29	13.90 W	0.00	9 of 59 - Sale <sup>25</sup>
	1.000	11.39	01/31/22	24.91	13.52 W	0.00	10 of 59 - Sale <sup>25</sup>
	6.000	68.34	01/31/22	149.47	81.13 W	0.00	11 of 59 - Sale <sup>25</sup>
	2.000	22.78	01/31/22	49.85	27.07 W	0.00	12 of 59 - Sale <sup>25</sup>
	6.000	68.34	01/31/22	147.47	79.13 W	0.00	13 of 59 - Sale <sup>25</sup>
	5.000	56.95	01/31/22	124.61	67.66 W	0.00	14 of 59 - Sale <sup>25</sup>
	11.000	125.29	01/31/22	270.38	145.09 W	0.00	15 of 59 - Sale <sup>25</sup>
	11.000	125.29	01/31/22	271.86	146.57 W	0.00	16 of 59 - Sale <sup>25</sup>
	8.000	91.12	01/31/22	193.90	102.78 W	0.00	17 of 59 - Sale <sup>25</sup>
	2.000	22.78	01/31/22	47.07	24.29 W	0.00	18 of 59 - Sale <sup>25</sup>
	1.000	11.39	01/31/22	24.71	13.32 W	0.00	19 of 59 - Sale <sup>25</sup>
	2.000	22.78	01/31/22	49.41	26.63 W	0.00	20 of 59 - Sale <sup>25</sup>
	2.000	22.78	01/31/22	43.70	20.92 W	0.00	21 of 59 - Sale <sup>25</sup>
	2.000	22.78	01/31/22	41.79	19.01 W	0.00	22 of 59 - Sale <sup>25</sup>
	4.000	45.56	01/31/22	85.21	39.65 W	0.00	23 of 59 - Sale <sup>25</sup>
	18.000	205.02	01/31/22	379.88	174.86 W	0.00	24 of 59 - Sale <sup>25</sup>
	3.000	34.17	01/31/22	62.25	28.08 W	0.00	25 of 59 - Sale <sup>25</sup>
	18.000	205.01	01/31/22	374.14	169.13 W	0.00	26 of 59 - Sale <sup>25</sup>
	1.000	11.39	01/31/22	21.54	10.15 W	0.00	27 of 59 - Sale <sup>25</sup>
	5.000	56.95	01/31/22	115.81	58.86 W	0.00	28 of 59 - Sale <sup>25</sup>
	6.000	68.34	01/31/22	125.68	57.34 W	0.00	29 of 59 - Sale <sup>25</sup>
	7.000	79.73	01/31/22	162.33	82.60 W	0.00	30 of 59 - Sale <sup>25</sup>
	9.000	102.51	01/31/22	188.53	86.02 W	0.00	31 of 59 - Sale <sup>25</sup>
	10.000	113.90	01/31/22	209.47	95.57 W	0.00	32 of 59 - Sale <sup>25</sup>
	12.000	136.68	01/31/22	278.17	141.49 W	0.00	33 of 59 - Sale <sup>25</sup>
	6.000	68.34	01/31/22	126.12	57.78 W	0.00	34 of 59 - Sale <sup>25</sup>
	8.000	91.12	01/31/22	164.25	73.13 W	0.00	35 of 59 - Sale <sup>25</sup>
	15.000	170.84	01/31/22	307.23	136.39 W	0.00	36 of 59 - Sale <sup>25</sup>
	16.000	182.23	01/31/22	336.65	154.42 W	0.00	37 of 59 - Sale <sup>25</sup>

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Account 555388974

2022 1099-B\* OMB No. 1545-0715

### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

#### 1a- Description of property/CUSIP/Symbol

Ic- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ISKER INC. / CUSIP:	33813J106 / Symbol: (cont'd)						
	4.000	45.56	01/31/22	82.91	37.35 W	0.00	38 of 59 - Sale <sup>25</sup>
	2.000	22.78	01/31/22	46.26	23.48 W	0.00	39 of 59 - Sale <sup>25</sup>
	2.000	22.78	01/31/22	46.27	23.49 W	0.00	40 of 59 - Sale 25
	5.000	56.95	01/31/22	115.63	58.68 W	0.00	41 of 59 - Sale 25
	12.000	136.68	01/31/22	270.79	134.11 W	0.00	42 of 59 - Sale 25
	1.000	11.39	01/31/22	23.28	11.89 W	0.00	43 of 59 - Sale 25
	1.000	11.39	01/31/22	23.27	11.88 W	0.00	44 of 59 - Sale 25
	1.000	11.39	01/31/22	23.32	11.93 W	0.00	45 of 59 - Sale 25
	2.000	22.78	01/31/22	46.55	23.77 W	0.00	46 of 59 - Sale 25
	25.000	284.73	01/31/22	461.93	177.20 W	0.00	47 of 59 - Sale 25
	7.000	79.73	01/31/22	138.22	58.49 W	0.00	48 of 59 - Sale 25
	12.000	136.68	01/31/22	236.94	100.26 W	0.00	49 of 59 - Sale <sup>25</sup>
	2.000	22.78	01/31/22	41.88	19.10 W	0.00	50 of 59 - Sale <sup>25</sup>
	3.000	34.17	01/31/22	62.81	28.64 W	0.00	51 of 59 - Sale <sup>25</sup>
	1.000	11.39	01/31/22	18.81	7.42 W	0.00	52 of 59 - Sale <sup>25</sup>
	9.000	102.51	01/31/22	127.59	25.08 W	0.00	53 of 59 - Sale <sup>25</sup>
	9.000	102.51	01/31/22	141.83	39.32 W	0.00	54 of 59 - Sale <sup>25</sup>
	1.000	11.39	01/31/22	14.86	3.47 W	0.00	55 of 59 - Sale <sup>25</sup>
	1.000	11.39	01/31/22	14.86	3.47 W	0.00	56 of 59 - Sale <sup>25</sup>
	2.000	22.78	02/01/22	30.87	8.09 W	0.00	57 of 59 - Sale <sup>25</sup>
	46.000	523.92	02/01/22	709.91	185.99 W	0.00	58 of 59 - Sale <sup>25</sup>
	14.000	159.46	02/01/22	195.78	36.32 W	0.00	59 of 59 - Sale <sup>25</sup>
2/02/22	432.000	4,920.39	Various	8,468.24	3,547.85 W	0.00	Total of 59 transactions
		/23/22. Total proceeds			-,		
	36.000	383.39	02/03/22	466.44		-83.05	1 of 72 - Sale <sup>25</sup>
	28.000	298.19	02/03/22	376.21		-78.02	2 of 72 - Sale <sup>25</sup>
	7.000	74.55	02/03/22	90.49		-15.94	3 of 72 - Sale <sup>25</sup>
	7.000	74.55	02/03/22	90.49		-15.94	4 of 72 - Sale <sup>25</sup>
	2.000	21.30	02/03/22	30.19		-8.89	5 of 72 - Sale <sup>25</sup>
	2.000	21.30	02/03/22	30.00		-8.70	6 of 72 - Sale 25
	2.000	21.30	02/03/22	26.80		-5.50	7 of 72 - Sale 25
	1.000	10.65	02/03/22	15.15		-4.50	8 of 72 - Sale <sup>25</sup>
	11.000	117.15	02/04/22	266.46		-149.31	9 of 72 - Sale <sup>25</sup>
	11.000	117.15	02/04/22	264.98		-147.83	10 of 72 - Sale <sup>25</sup>

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Account 555388974

2022 1099-B\* OMB No. 1545-0715

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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#### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SISKER INC. / CUSIP: 3	3813J106 / Symbol: (cont'd)						
	9.000	95.85	02/04/22	227.95		-132.10	11 of 72 - Sale <sup>25</sup>
	8.000	85.20	02/04/22	189.97		-104.77	12 of 72 - Sale <sup>25</sup>
	10.000	106.50	02/04/22	206.12		-99.62	13 of 72 - Sale <sup>25</sup>
	6.000	63.90	02/04/22	146.52		-82.62	14 of 72 - Sale <sup>25</sup>
	6.000	63.90	02/04/22	144.52		-80.62	15 of 72 - Sale <sup>25</sup>
	5.000	53.25	02/04/22	122.15		-68.90	16 of 72 - Sale <sup>25</sup>
	3.000	31.95	02/04/22	75.98		-44.03	17 of 72 - Sale <sup>25</sup>
	2.000	21.30	02/04/22	52.41		-31.11	18 of 72 - Sale <sup>25</sup>
	2.000	21.30	02/04/22	50.66		-29.36	19 of 72 - Sale <sup>25</sup>
	2.000	21.30	02/04/22	50.65		-29.35	20 of 72 - Sale <sup>25</sup>
	2.000	21.30	02/04/22	48.87		-27.57	21 of 72 - Sale <sup>25</sup>
	2.000	21.30	02/04/22	48.43		-27.13	22 of 72 - Sale <sup>25</sup>
	2.000	21.30	02/04/22	46.09		-24.79	23 of 72 - Sale <sup>25</sup>
	2.000	21.30	02/04/22	42.72		-21.42	24 of 72 - Sale <sup>25</sup>
	1.000	10.65	02/04/22	24.85		-14.20	25 of 72 - Sale <sup>25</sup>
	1.000	10.65	02/04/22	24.80		-14.15	26 of 72 - Sale <sup>25</sup>
	1.000	10.65	02/04/22	24.42		-13.77	27 of 72 - Sale <sup>25</sup>
	1.000	10.65	02/04/22	24.22		-13.57	28 of 72 - Sale <sup>25</sup>
	18.000	191.69	02/07/22	378.92		-187.23	29 of 72 - Sale <sup>25</sup>
	16.000	170.39	02/07/22	340.90		-170.51	30 of 72 - Sale <sup>25</sup>
	12.000	127.80	02/07/22	281.35		-153.55	31 of 72 - Sale <sup>25</sup>
	18.000	191.69	02/07/22	339.98		-148.29	32 of 72 - Sale <sup>25</sup>
	12.000	127.80	02/07/22	273.97		-146.17	33 of 72 - Sale <sup>25</sup>
	13.000	138.44	02/07/22	269.72		-131.28	34 of 72 - Sale <sup>25</sup>
	10.000	106.49	02/07/22	212.12		-105.63	35 of 72 - Sale <sup>25</sup>
	9.000	95.85	02/07/22	190.92		-95.07	36 of 72 - Sale <sup>25</sup>
	7.000	74.55	02/07/22	164.18		-89.63	37 of 72 - Sale <sup>25</sup>
	8.000	85.20	02/07/22	170.96		-85.76	38 of 72 - Sale <sup>25</sup>
	8.000	85.20	02/07/22	166.37		-81.17	39 of 72 - Sale <sup>25</sup>
	8.000	85.20	02/07/22	161.24		-76.04	40 of 72 - Sale <sup>25</sup>
	7.000	74.55	02/07/22	141.09		-66.54	41 of 72 - Sale 25
	5.000	53.25	02/07/22	117.14		-63.89	42 of 72 - Sale 25
	6.000	63.90	02/07/22	127.71		-63.81	43 of 72 - Sale 25
	6.000	63.90	02/07/22	127.27		-63.37	44 of 72 - Sale 25

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Account 555388974

02/13/2023

(continued)

2022 1099-B\* OMB No. 1545-0715

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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#### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SISKER INC. / CUSIP: 338	313J106 / Symbol: (cont'd)						
	7.000	74.55	02/07/22	132.22		-57.67	45 of 72 - Sale <sup>25</sup>
	4.000	42.60	02/07/22	93.56		-50.96	46 of 72 - Sale <sup>25</sup>
	4.000	42.60	02/07/22	86.27		-43.67	47 of 72 - Sale <sup>25</sup>
	4.000	42.60	02/07/22	83.97		-41.37	48 of 72 - Sale <sup>25</sup>
	3.000	31.95	02/07/22	63.05		-31.10	49 of 72 - Sale <sup>25</sup>
	2.000	21.30	02/07/22	47.37		-26.07	50 of 72 - Sale <sup>25</sup>
	2.000	21.30	02/07/22	47.09		-25.79	51 of 72 - Sale <sup>25</sup>
	2.000	21.30	02/07/22	47.08		-25.78	52 of 72 - Sale <sup>25</sup>
	2.000	21.30	02/07/22	42.32		-21.02	53 of 72 - Sale <sup>25</sup>
	2.000	21.30	02/07/22	41.49		-20.19	54 of 72 - Sale <sup>25</sup>
	1.000	10.65	02/07/22	23.73		-13.08	55 of 72 - Sale <sup>25</sup>
	1.000	10.65	02/07/22	23.69		-13.04	56 of 72 - Sale <sup>25</sup>
	1.000	10.65	02/07/22	23.68		-13.03	57 of 72 - Sale <sup>25</sup>
	1.000	10.65	02/07/22	23.54		-12.89	58 of 72 - Sale <sup>25</sup>
	1.000	10.65	02/07/22	21.80		-11.15	59 of 72 - Sale <sup>25</sup>
	18.000	191.69	02/15/22	292.02		-100.33	60 of 72 - Sale <sup>25</sup>
	9.000	95.85	02/15/22	148.94		-53.09	61 of 72 - Sale <sup>25</sup>
	4.000	42.60	02/15/22	82.14		-39.54	62 of 72 - Sale <sup>25</sup>
	9.000	95.85	02/15/22	134.70		-38.85	63 of 72 - Sale <sup>25</sup>
	3.000	31.95	02/15/22	65.18		-33.23	64 of 72 - Sale <sup>25</sup>
	2.000	21.30	02/15/22	43.46		-22.16	65 of 72 - Sale <sup>25</sup>
	2.000	21.30	02/15/22	32.45		-11.15	66 of 72 - Sale <sup>25</sup>
	1.000	10.65	02/15/22	19.60		-8.95	67 of 72 - Sale <sup>25</sup>
	1.000	10.65	02/15/22	15.65		-5.00	68 of 72 - Sale <sup>25</sup>
	1.000	10.65	02/15/22	15.65		-5.00	69 of 72 - Sale <sup>25</sup>
	28.000	298.19	02/17/22	497.93		-199.74	70 of 72 - Sale <sup>25</sup>
	8.000	85.20	02/17/22	136.59		-51.39	71 of 72 - Sale <sup>25</sup>
	6.000	63.90	02/17/22	98.01		-34.11	72 of 72 - Sale <sup>25</sup>
02/23/22	464.000	4,941.51	Various	9,055.56		-4,114.05	Total of 72 transactions
02/23/22	8.000	85.20	02/01/22	111.87	26.67 W	0.00	Sale <sup>25</sup>
	2 transactions for 05/0	5/22. Total proceeds a	and cost report	ted to the IRS.			
	8.000	80.00	02/17/22	130.67	50.67 W	0.00	1 of 2 - Sale <sup>25</sup>
	97.000	969.98	05/04/22	1,023.18	10.97 W	-42.23	2 of 2 - Sale <sup>25</sup>
05/05/22	105.000	1,049.98	Various	1,153.85	61.64 W	-42.23	Total of 2 transactions

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 555388974

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# **Proceeds from Broker and Barter Exchange Transactions** (continued)

2022 **1099-B\*** OMB No. 1545-0715

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (*Lines 2 & 5*) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of	property/CUSIP/Symbol
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1c- Date sold or		1d- Proceeds & 6- Reported	1b- Date	1e- Cost or	1f- Accrued mkt disc (D) & 1g- Wash sale loss	Gain or loss(-) & 7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et			disallowed (W)	( )	Additional information
ISKER INC. / CUSIP:	33813J106 / Symbol: (cont'd)						
	3 transactions for 06	/21/22. Total proceeds a	and cost report	ed to the IRS.			
	5.000	45.00	05/09/22	80.07		-35.07	1 of 3 - Sale <sup>25</sup>
	20.000	180.00	05/09/22	204.57		-24.57	2 of 3 - Sale <sup>25</sup>
	22.000	198.00	06/13/22	185.57		12.43	3 of 3 - Sale <sup>25</sup>
06/21/22	47.000	423.00	Various	470.21		-47.21	Total of 3 transactions
06/21/22	3.000	27.00	05/04/22	50.64	23.64 W	0.00	Sale <sup>25</sup>
	3 transactions for 11,	/08/22. Total proceeds a	and cost report	ed to the IRS.			
	12.683	89.04	08/19/22	114.40		-25.36	1 of 3 - Sale 25
	2.000	14.33	08/19/22	18.04		-3.71	2 of 3 - Sale <sup>25</sup>
	0.092	0.66	08/19/22	0.83		-0.17	3 of 3 - Sale <sup>25</sup>
11/08/22	14.775	104.03	Various	133.27		-29.24	Total of 3 transactions
	3 transactions for 11,	/08/22. Total proceeds a	and cost report	ed to the IRS.			
	0.683	4.80	06/13/22	11.14	6.34 W	0.00	1 of 3 - Sale <sup>25</sup>
	2.317	16.27	06/13/22	37.81	21.54 W	0.00	2 of 3 - Sale <sup>25</sup>
	25.000	175.50	08/18/22	226.38	24.42 W	-26.46	3 of 3 - Sale <sup>25</sup>
11/08/22	28.000	196.57	Various	275.33	52.30 W	-26.46	Total of 3 transactions
	3 transactions for 12	/29/22. Total proceeds a	and cost report	ed to the IRS.			
	9.913	70.60	08/19/22	89.42		-18.82	1 of 3 - Sale <sup>25</sup>
	0.312	2.22	08/19/22	2.81		-0.59	2 of 3 - Sale <sup>25</sup>
	7.087	50.47	08/22/22	60.77		-10.30	3 of 3 - Sale <sup>25</sup>
12/29/22	17.312	123.29	Various	153.00		-29.71	Total of 3 transactions
	Security total:	29,886.50		47,648.15	13,472.75 W	-4,288.90	
UELCELL ENERGY,	INC. COMMON S TOCK / CUS	IP: 35952H601 / Symbo	ol:				
	20 transactions for 0	1/10/22. Total proceeds	and cost repo	rted to the IRS.			
	15.000	72.00	12/13/21	104.53	32.53 W	0.00	1 of 20 - Sale <sup>25</sup>
	84.000	403.19	12/16/21	583.65	158.98 W	-21.48	2 of 20 - Sale <sup>25</sup>
	2.000	9.60	12/16/21	33.95	24.35 W	0.00	3 of 20 - Sale 25
	2.000	9.60	12/16/21	27.82	18.22 W	0.00	4 of 20 - Sale 25
	1.000	4.80	12/16/21	14.69	9.89 W	0.00	5 of 20 - Sale <sup>25</sup>
	5.000	24.00	12/16/21	73.46	49.46 W	0.00	6 of 20 - Sale 25
	2.000	9.60	12/16/21	29.38	19.78 W	0.00	7 of 20 - Sale <sup>25</sup>
	15.000	72.00	12/16/21	164.49	92.49 W	0.00	8 of 20 - Sale <sup>25</sup>

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2022 1099-B\* OMB No. 1545-0715

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

#### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUELCELL ENERGY,	INC. COMMON S TOCK / CUS	IP: 35952H601 / Symbo	ol: (cont'd)				
	23.000	110.40	12/16/21	230.94	120.54 W	0.00	9 of 20 - Sale <sup>25</sup>
	50.000	239.99	12/16/21	432.38	192.39 W	0.00	10 of 20 - Sale <sup>25</sup>
	110.000	527.98	12/16/21	878.63	350.65 W	0.00	11 of 20 - Sale <sup>25</sup>
	1.000	4.80	12/16/21	6.95	2.15 W	0.00	12 of 20 - Sale <sup>25</sup>
	5.000	24.00	12/16/21	34.74	10.74 W	0.00	13 of 20 - Sale <sup>25</sup>
	5.000	24.00	12/16/21	34.74	10.74 W	0.00	14 of 20 - Sale <sup>25</sup>
	4.000	19.20	12/16/21	27.79	8.59 W	0.00	15 of 20 - Sale <sup>25</sup>
	10.000	48.00	12/16/21	<i>69.4</i> 8	21.48 W	0.00	16 of 20 - Sale <sup>25</sup>
	30.000	143.99	12/16/21	208.44	64.45 W	0.00	17 of 20 - Sale <sup>25</sup>
	55.000	263.99	12/16/21	382.15	118.16 W	0.00	18 of 20 - Sale <sup>25</sup>
	90.000	431.99	12/16/21	718.88	286.89 W	0.00	19 of 20 - Sale <sup>25</sup>
	1.000	4.80	12/16/21	6.95	2.15 W	0.00	20 of 20 - Sale <sup>25</sup>
01/10/22	510.000	2,447.93	Various	4,064.04	1,594.63 W	-21.48	Total of 20 transactions
	3 transactions for 01/	18/22. Total proceeds a	and cost report	ted to the IRS.			
	5.000	23.02	12/16/21	34.74		-11.72	1 of 3 - Sale 25
	196.000	901.57	01/10/22	1,025.85		-124.28	2 of 3 - Sale 25
	49.000	225.64	01/10/22	256.46		-30.82	3 of 3 - Sale <sup>25</sup>
01/18/22	250.000	1,150.23	Various	1,317.05		-166.82	Total of 3 transactions
	27 transactions for 0	1/26/22. Total proceeds	and cost repo	rted to the IRS.			
	5.000	19.75	01/10/22	26.17		-6.42	1 of 27 - Sale <sup>25</sup>
	80.000	315.99	01/26/22	583.82		-267.83	2 of 27 - Sale <sup>25</sup>
	45.000	177.74	01/26/22	358.10		-180.36	3 of 27 - Sale <sup>25</sup>
	50.000	197.49	01/26/22	364.88		-167.39	4 of 27 - Sale <sup>25</sup>
	71.000	280.44	01/26/22	444.34		-163.90	5 of 27 - Sale <sup>25</sup>
	23.000	90.85	01/26/22	215.07		-124.22	6 of 27 - Sale <sup>25</sup>
	44.000	173.79	01/26/22	275.37		-101.58	7 of 27 - Sale <sup>25</sup>
	30.000	118.50	01/26/22	218.93		-100.43	8 of 27 - Sale <sup>25</sup>
	15.000	59.25	01/26/22	154.14		-94.89	9 of 27 - Sale <sup>25</sup>
	25.000	98.75	01/26/22	182.44		-83.69	10 of 27 - Sale <sup>25</sup>
	27.000	106.65	01/26/22	168.98		-62.33	11 of 27 - Sale <sup>25</sup>
	5.000	19.75	01/26/22	70.01		-50.26	12 of 27 - Sale <sup>25</sup>
	15.000	59.25	01/26/22	109.47		-50.22	13 of 27 - Sale <sup>25</sup>
	15.000	59.25	01/26/22	94.18		-34.93	14 of 27 - Sale <sup>25</sup>
	11.000	43.45	01/26/22	68.84		-25.39	15 of 27 - Sale <sup>25</sup>

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Account 555388974

2022 1099-B\* OMB No. 1545-0715

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (*Lines 2 & 5*) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*)

"Gain or loss (-)" is NOT reported to the IRS.

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
	NC. COMMON S TOCK / CUS	IP: 35952H601 / Symbo	ol: (cont'd)			· · · ·	
	2.000	7.90	01/26/22	32.57		-24.67	16 of 27 - Sale <sup>25</sup>
	10.000	39.50	01/26/22	62.58		-23.08	17 of 27 - Sale <sup>25</sup>
	2.000	7.90	01/26/22	28.00		-20.10	18 of 27 - Sale <sup>25</sup>
	5.000	19.75	01/26/22	39.79		-20.04	19 of 27 - Sale <sup>25</sup>
	2.000	7.90	01/26/22	26.44		-18.54	20 of 27 - Sale <sup>25</sup>
	5.000	19.75	01/26/22	31.29		-11.54	21 of 27 - Sale <sup>25</sup>
	5.000	19.75	01/26/22	31.29		-11.54	22 of 27 - Sale <sup>25</sup>
	1.000	3.95	01/26/22	14.00		-10.05	23 of 27 - Sale <sup>25</sup>
	4.000	15.80	01/26/22	25.03		-9.23	24 of 27 - Sale <sup>25</sup>
	3.000	11.85	01/26/22	18.77		-6.92	25 of 27 - Sale <sup>25</sup>
	1.000	3.95	01/26/22	6.26		-2.31	26 of 27 - Sale <sup>25</sup>
	1.000	3.95	01/26/22	6.26		-2.31	27 of 27 - Sale <sup>25</sup>
01/26/22	502.000	1,982.85	Various	3,657.02		-1,674.17	Total of 27 transactions
05/05/22	97.000	440.37	05/04/22	461.48		-21.11	Sale <sup>25</sup>
05/05/22	3.000	13.62	01/26/22	18.78	5.16 W	0.00	Sale <sup>25</sup>
11/08/22	3.000	9.49	05/04/22	19.43		-9.94	Sale <sup>25</sup>
	Security total:	6,044.49		9,537.80	1,599.79 W	-1,893.52	
<b>JENIUS BRANDS INTE</b>	RNATIONAL, I NC. COMMO	N STOCK / CUSIP: 372	29T301 / Symb	pol:			
	2 transactions for 01	/28/22. Total proceeds a	and cost report	ed to the IRS.			
	40.000	30.58	02/25/21	67.20		-36.62	1 of 2 - Sale <sup>25</sup>
	29.000	22.17	02/26/21	49.01		-26.84	2 of 2 - Sale <sup>25</sup>
01/28/22	69.000	52.75	Various	116.21		-63.46	Total of 2 transactions
HUT 8 MINING CORP.	COMMON SHAR ES / CUSIP	44812T102 / Symbol:					
06/29/22	4.000	5.80	11/19/21	57.52		-51.72	Sale <sup>25</sup>
LUCID GROUP, INC. C	OMMON STOCK / CUSIP: 54	9498103 / Symbol:					
	9 transactions for 01	/04/22. Total proceeds a	and cost report	ed to the IRS.			
	1.000	39.50	12/27/21	52.52	13.02 W	0.00	1 of 9 - Sale <sup>25</sup>
	1.000	39.50	01/03/22	53.01	13.51 W	0.00	2 of 9 - Sale 25
	16.000	631.99	01/03/22	849.12	217.13 W	0.00	3 of 9 - Sale <sup>25</sup>
	33.000	1,303.49	01/03/22	1,749.33	445.84 W	0.00	4 of 9 - Sale <sup>25</sup>
	50.000	1,974.98	01/03/22	2,654.49	679.51 W	0.00	5 of 9 - Sale <sup>25</sup>

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Account 555388974

2022 1099-B\* OMB No. 1545-0715

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1c- Date sold or		1d- Proceeds & 6- Reported	1b- Date	1e- Cost or	1f- Accrued mkt disc (D) & 1g- Wash sale loss	Gain or loss(-) & 7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	also not reported (Z)	Additional information
UCID GROUP, INC.	COMMON STOCK / CUSIP: 54	9498103 / Symbol: (cor	nt'd)				
	14.000	553.00	01/03/22	727.70	174.70 W	0.00	6 of 9 - Sale <sup>25</sup>
	34.000	1,342.99	01/03/22	1,767.96	424.97 W	0.00	7 of 9 - Sale <sup>25</sup>
	50.000	1,974.98	01/03/22	2,599.93	624.95 W	0.00	8 of 9 - Sale <sup>25</sup>
	51.000	2,014.48	01/03/22	2,651.96	637.48 W	0.00	9 of 9 - Sale 25
01/04/22	250.000	9,874.91	Various	13,106.02	3,231.11 W	0.00	Total of 9 transactions
	4 transactions for 01.	/07/22. Total proceeds a	and cost repor	ted to the IRS.			
	1.000	41.47	01/03/22	51.98	10.51 W	0.00	1 of 4 - Sale <sup>25</sup>
	20.000	829.39	01/05/22	902.82	73.43 W	0.00	2 of 4 - Sale <sup>25</sup>
	30.000	1,244.09	01/05/22	1,367.16	123.07 W	0.00	3 of 4 - Sale <sup>25</sup>
	49.000	2,032.01	01/06/22	2,086.67	54.66 W	0.00	4 of 4 - Sale 25
01/07/22	100.000	4,146.96	Various	4,408.63	261.67 W	0.00	Total of 4 transactions
01/12/22	1.000	45.30	01/06/22	42.58		2.72	Sale <sup>25</sup>
	4 transactions for 01.	/12/22. Total proceeds a	and cost repor	ted to the IRS.			
	1.000	45.30	01/12/22	49.01	3.71 W	0.00	1 of 4 - Sale <sup>25</sup>
	3.000	135.90	01/12/22	153.69	17.79 W	0.00	2 of 4 - Sale <sup>25</sup>
	45.000	2,038.48	01/12/22	2,462.87	424.39 W	0.00	3 of 4 - Sale <sup>25</sup>
	50.000	2,264.98	01/12/22	2,638.06	373.08 W	0.00	4 of 4 - Sale <sup>25</sup>
01/12/22	99.000	4,484.66	Various	5,303.63	818.97 W	0.00	Total of 4 transactions
	2 transactions for 01,	/27/22. Total proceeds a	and cost repor	ted to the IRS.			
	14.000	419.99	01/26/22	667.32		-247.33	1 of 2 - Sale <sup>25</sup>
	9.000	270.00	01/26/22	349.73		-79.73	2 of 2 - Sale <sup>25</sup>
01/27/22	23.000	689.99	Various	1,017.05		-327.06	Total of 2 transactions
	28 transactions for 0	1/27/22. Total proceeds	and cost repo	orted to the IRS.			
	1.000	30.00	01/12/22	51.23	21.23 W	0.00	1 of 28 - Sale 25
	5.000	150.00	01/26/22	303.07	153.07 W	0.00	2 of 28 - Sale <sup>25</sup>
	1.000	30.00	01/26/22	56.79	26.79 W	0.00	3 of 28 - Sale <sup>25</sup>
	4.000	120.00	01/26/22	227.17	107.17 W	0.00	4 of 28 - Sale 25
	15.000	449.99	01/26/22	851.88	401.89 W	0.00	5 of 28 - Sale <sup>25</sup>
	1.000	30.00	01/26/22	49.66	19.66 W	0.00	6 of 28 - Sale <sup>25</sup>
	1.000	30.00	01/26/22	51.17	21.17 W	0.00	7 of 28 - Sale <sup>25</sup>
	4.000	120.00	01/26/22	204.68	84.68 W	0.00	8 of 28 - Sale <sup>25</sup>
	19.000	569.99	01/26/22	972.46	402.47 W	0.00	9 of 28 - Sale <sup>25</sup>
	26.000	779.99	01/26/22	1,303.91	523.92 W	0.00	10 of 28 - Sale <sup>25</sup>

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Account 555388974

2022 1099-B\* OMB No. 1545-0715

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UCID GROUP, INC. C	COMMON STOCK / CUSIP: 549	498103 / Symbol: (cor	nt'd)				
	1.000	30.00	01/26/22	42.74	12.74 W	0.00	11 of 28 - Sale <sup>25</sup>
	5.000	150.00	01/26/22	222.84	72.84 W	0.00	12 of 28 - Sale <sup>25</sup>
	4.000	120.00	01/26/22	162.66	42.66 W	0.00	13 of 28 - Sale <sup>25</sup>
	5.000	150.00	01/26/22	192.52	42.52 W	0.00	14 of 28 - Sale <sup>25</sup>
	8.000	240.00	01/26/22	308.03	68.03 W	0.00	15 of 28 - Sale <sup>25</sup>
	27.000	809.99	01/26/22	1,039.62	229.63 W	0.00	16 of 28 - Sale <sup>25</sup>
	1.000	30.00	01/26/22	50.16	20.16 W	0.00	17 of 28 - Sale <sup>25</sup>
	7.000	210.00	01/26/22	351.12	141.12 W	0.00	18 of 28 - Sale <sup>25</sup>
	16.000	479.99	01/26/22	803.53	323.54 W	0.00	19 of 28 - Sale <sup>25</sup>
	25.000	749.99	01/26/22	1,256.01	506.02 W	0.00	20 of 28 - Sale <sup>25</sup>
	25.000	749.99	01/26/22	1,259.25	509.26 W	0.00	21 of 28 - Sale <sup>25</sup>
	34.000	1,019.99	01/26/22	1,675.49	655.50 W	0.00	22 of 28 - Sale <sup>25</sup>
	25.000	750.00	01/26/22	1,231.98	481.98 W	0.00	23 of 28 - Sale <sup>25</sup>
	16.000	480.00	01/26/22	788.46	308.46 W	0.00	24 of 28 - Sale <sup>25</sup>
	9.000	270.00	01/26/22	443.51	173.51 W	0.00	25 of 28 - Sale <sup>25</sup>
	31.000	929.99	01/26/22	1,527.67	597.68 W	0.00	26 of 28 - Sale <sup>25</sup>
	1.000	30.00	01/26/22	45.70	15.70 W	0.00	27 of 28 - Sale <sup>25</sup>
	20.000	599.99	01/26/22	953.73	353.74 W	0.00	28 of 28 - Sale <sup>25</sup>
)1/27/22	337.000	10,109.90	Various	16,427.04	6,317.14 W	0.00	Total of 28 transactions
	12 transactions for 02	/02/22. Total proceeds	and cost repo	orted to the IRS.			
	1.000	28.50	01/26/22	38.86		-10.36	1 of 12 - Sale <sup>25</sup>
	30.000	854.99	01/28/22	905.40		-50.41	2 of 12 - Sale <sup>25</sup>
	10.000	285.00	01/28/22	297.49		-12.49	3 of 12 - Sale <sup>25</sup>
	10.000	285.00	01/28/22	271.94		13.06	4 of 12 - Sale <sup>25</sup>
	45.000	1,282.49	01/31/22	1,704.60		-422.11	5 of 12 - Sale <sup>25</sup>
	15.000	427.49	01/31/22	538.66		-111.17	6 of 12 - Sale <sup>25</sup>
	39.000	1,111.49	01/31/22	1,153.01		-41.52	7 of 12 - Sale <sup>25</sup>
	1.000	28.50	01/31/22	32.16		-3.66	8 of 12 - Sale <sup>25</sup>
	35.000	997.49	02/01/22	1,298.87		-301.38	9 of 12 - Sale <sup>25</sup>
	1.000	28.50	02/01/22	60.26		-31.76	10 of 12 - Sale <sup>25</sup>
	1.000	28.50	02/01/22	50.88		-22.38	11 of 12 - Sale <sup>25</sup>
	2.000	57.00	02/01/22	71.16		-14.16	12 of 12 - Sale <sup>25</sup>
02/02/22	190.000	5,414.95	Various	6,423.29		-1,008.34	Total of 12 transactions

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Account 555388974

02/13/2023

# **Proceeds from Broker and Barter Exchange Transactions** (continued)

2022 1099-B\* OMB No. 1545-0715

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

#### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UCID GROUP, INC. C	OMMON STOCK / CUSIP: 549	9498103 / Symbol: (cor	nt'd)				
	32 transactions for 02	2/23/22. Total proceeds	and cost repo	orted to the IRS.			
	1.000	24.26	02/01/22	35.58		-11.32	1 of 32 - Sale <sup>25</sup>
	26.000	630.75	02/02/22	1,231.12		-600.37	2 of 32 - Sale <sup>25</sup>
	19.000	460.93	02/02/22	919.27		-458.34	3 of 32 - Sale <sup>25</sup>
	15.000	363.89	02/02/22	809.89		-446.00	4 of 32 - Sale <sup>25</sup>
	4.000	97.04	02/02/22	231.26		-134.22	5 of 32 - Sale <sup>25</sup>
	4.000	97.04	02/02/22	215.97		-118.93	6 of 32 - Sale <sup>25</sup>
	4.000	97.04	02/02/22	193.48		-96.44	7 of 32 - Sale <sup>25</sup>
	5.000	121.30	02/02/22	208.84		-87.54	8 of 32 - Sale <sup>25</sup>
	4.000	97.04	02/02/22	151.46		-54.42	9 of 32 - Sale <sup>25</sup>
	1.000	24.26	02/02/22	53.99		-29.73	10 of 32 - Sale 25
	1.000	24.26	02/02/22	48.37		-24.11	11 of 32 - Sale <sup>25</sup>
	1.000	24.26	02/02/22	46.86		-22.60	12 of 32 - Sale 25
	1.000	24.26	02/02/22	39.94		-15.68	13 of 32 - Sale 25
	27.000	654.74	02/04/22	942.40		-287.66	14 of 32 - Sale 25
	7.000	169.82	02/04/22	325.91		-156.09	15 of 32 - Sale 25
	8.000	194.00	02/04/22	279.22		-85.22	16 of 32 - Sale 25
	2.000	48.52	02/04/22	93.24		-44.72	17 of 32 - Sale 25
	4.000	97.04	02/04/22	139.61		-42.57	18 of 32 - Sale 25
	1.000	24.26	02/04/22	46.56		-22.30	19 of 32 - Sale <sup>25</sup>
	1.000	24.25	02/04/22	34.90		-10.65	20 of 32 - Sale 25
	34.000	824.49	02/07/22	1,610.90		-786.41	21 of 32 - Sale 25
	25.000	606.24	02/07/22	1,211.76		-605.52	22 of 32 - Sale <sup>25</sup>
	25.000	606.24	02/07/22	1,208.52		-602.28	23 of 32 - Sale <sup>25</sup>
	23.000	557.75	02/07/22	1,083.91		-526.16	24 of 32 - Sale 25
	16.000	388.00	02/07/22	754.02		-366.02	25 of 32 - Sale <sup>25</sup>
	14.000	339.50	02/07/22	676.50		-337.00	26 of 32 - Sale 25
	9.000	218.25	02/07/22	424.14		-205.89	27 of 32 - Sale 25
	2.000	48.50	02/07/22	94.76		-46.26	28 of 32 - Sale <sup>25</sup>
	2.000	48.50	02/07/22	94.25		-45.75	29 of 32 - Sale <sup>25</sup>
	29.000	703.24	02/11/22	1,311.67		-608.43	30 of 32 - Sale <sup>25</sup>
	14.000	339.49	02/11/22	610.92		-271.43	31 of 32 - Sale <sup>25</sup>
	1.000	24.25	02/11/22	41.65		-17.40	32 of 32 - Sale <sup>25</sup>
02/23/22	330.000	8,003.41	Various	15,170.87		-7,167.46	Total of 32 transactions

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Account 555388974

Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 555388974

02/13/2023

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# **Proceeds from Broker and Barter Exchange Transactions** (continued)

2022 **1099-B\*** OMB No. 1545-0715

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (*Lines 2 & 5*) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*)

#### "Gain or loss (-)" is NOT reported to the IRS. 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LUCID GROUP, INC.	COMMON STOCK / CUSIP: 549	9498103 / Symbol: (cor	nt'd)				
	2 transactions for 05/	05/22. Total proceeds a	and cost report	ted to the IRS.			
	6.000	118.26	02/11/22	261.82	143.56 W	0.00	1 of 2 - Sale <sup>25</sup>
	24.000	473.03	05/04/22	481.43	7.00 W	-1.40	2 of 2 - Sale <sup>25</sup>
05/05/22	30.000	591.29	Various	743.25	150.56 W	-1.40	Total of 2 transactions
	3 transactions for 05/	12/22. Total proceeds a	and cost report	ted to the IRS.			
	1.000	15.25	05/04/22	43.99		-28.74	1 of 3 - Sale <sup>25</sup>
	5.000	76.25	05/09/22	207.53		-131.28	2 of 3 - Sale <sup>25</sup>
	19.000	289.75	05/09/22	340.67		-50.92	3 of 3 - Sale <sup>25</sup>
05/12/22	25.000	381.25	Various	592.19		-210.94	Total of 3 transactions
	2 transactions for 06/2	21/22. Total proceeds a	and cost report	ted to the IRS.			
	1.000	18.00	05/09/22	17.93		0.07	1 of 2 - Sale <sup>25</sup>
	14.000	252.00	06/13/22	231.77		20.23	2 of 2 - Sale <sup>25</sup>
06/21/22	15.000	270.00	Various	249.70		20.30	Total of 2 transactions
	6 transactions for 11/2	25/22. Total proceeds a	and cost report	ted to the IRS.			
	1.000	10.00	06/13/22	16.56		-6.56	1 of 6 - Sale <sup>25</sup>
	10.000	100.00	08/05/22	182.68		-82.68	2 of 6 - Sale <sup>25</sup>
	25.000	249.99	08/17/22	454.68		-204.69	3 of 6 - Sale <sup>25</sup>
	15.000	150.00	08/22/22	244.47		-94.47	4 of 6 - Sale <sup>25</sup>
	25.000	249.99	08/30/22	378.19		-128.20	5 of 6 - Sale <sup>25</sup>
	17.959	179.59	08/30/22	271.86		-92.27	6 of 6 - Sale <sup>25</sup>
11/25/22	93.959	939.57	Various	1,548.44		-608.87	Total of 6 transactions
12/29/22	3.895	25.99	08/30/22	58.96		-32.97	Sale <sup>25</sup>
	Security total:	44,978.18		65,091.65	10,779.45 W	-9,334.02	
MOBILE GLOBAL ES	PORTS INC. COM MON STOCK	( / CUSIP: 607371101 /	Symbol:				
11/08/22	5.000	5.10	08/01/22	95.50		-90.40	Sale <sup>25</sup>
NGL ENERGY PARTI	NERS LP / CUSIP: 62913M107 /	Symbol:					
11/08/22	5.000	7.30	11/29/21	11.07		-3.77	Sale <sup>25</sup>
	MON STOCK / CUSIP: 64051M						
05/09/22	25.000	111.00	11/18/21	307.07		-196.07	Sale <sup>25</sup>

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 555388974

**Proceeds from Broker and Barter Exchange Transactions** (continued)

2022 **1099-B\*** OMB No. 1545-0715

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (*Lines 2 & 5*) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*)

"Gain or loss (-)" is NOT reported to the IRS. 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIKOLA CORPORATIO	ON COMMON STOC K / CUSIP	: 654110105 / Symbol:					
01/21/22	3.000	22.02	08/17/21	29.28		-7.26	Sale 25
OLEMA PHARMACEU	TICALS, INC. CO MMON STOC	CK / CUSIP: 68062P10	6 / Symbol:				
05/09/22	20.000	42.01	12/01/21	192.32		-150.31	Sale <sup>25</sup>
	NGS INC. COMMO N SHARES					100101	
01/28/22	4.000	5.33	05/21/21	18.26		-12.93	Sale <sup>25</sup>
PAI ANTIR TECHNOLO	OGIES INC. CLA SS A COMMO	N STOCK / CUSIP: 69	608A108 / Svi	mbol:			
		/18/22. Total proceeds	,				
	2.000	, 30.60	12/17/21	38.83	8.23 W	0.00	1 of 12 - Sale 25
	4.000	61.20	12/27/21	112.93	51.73 W	0.00	2 of 12 - Sale <sup>25</sup>
	1.000	15.30	12/27/21	29.98	14.68 W	0.00	3 of 12 - Sale <sup>25</sup>
	6.000	91.80	12/27/21	177.39	85.59 W	0.00	4 of 12 - Sale 25
	6.000	91.80	12/27/21	177.38	85.58 W	0.00	5 of 12 - Sale <sup>25</sup>
	1.000	15.30	12/27/21	27.95	12.65 W	0.00	6 of 12 - Sale <sup>25</sup>
	1.000	15.30	12/27/21	27.95	12.65 W	0.00	7 of 12 - Sale <sup>25</sup>
	4.000	61.20	12/27/21	111.80	50.60 W	0.00	8 of 12 - Sale <sup>25</sup>
	2.000	30.60	12/27/21	53.28	22.68 W	0.00	9 of 12 - Sale <sup>25</sup>
	37.000	566.09	12/27/21	854.74	288.65 W	0.00	10 of 12 - Sale <sup>25</sup>
	5.000	76.50	12/27/21	101.38	24.88 W	0.00	11 of 12 - Sale <sup>25</sup>
	31.000	474.29	12/27/21	631.33	157.04 W	0.00	12 of 12 - Sale <sup>25</sup>
01/18/22	100.000	1,529.98	Various	2,344.94	814.96 W	0.00	Total of 12 transactions
	17 transactions for 01	/26/22. Total proceeds	and cost repo	rted to the IRS.			
	32.000	415.99	01/26/22	707.89		-291.90	1 of 17 - Sale <sup>25</sup>
	25.000	324.99	01/26/22	468.15		-143.16	2 of 17 - Sale <sup>25</sup>
	6.000	78.00	01/26/22	171.51		-93.51	3 of 17 - Sale <sup>25</sup>
	6.000	78.00	01/26/22	171.50		-93.50	4 of 17 - Sale <sup>25</sup>
	4.000	52.00	01/26/22	109.01		-57.01	5 of 17 - Sale <sup>25</sup>
	4.000	52.00	01/26/22	107.88		-55.88	6 of 17 - Sale <sup>25</sup>
	5.000	65.00	01/26/22	93.18		-28.18	7 of 17 - Sale <sup>25</sup>
	2.000	26.00	01/26/22	51.32		-25.32	8 of 17 - Sale <sup>25</sup>
	3.000	39.00	01/26/22	56.18		-17.18	9 of 17 - Sale <sup>25</sup>
	2.000	26.00	01/26/22	42.05		-16.05	10 of 17 - Sale <sup>25</sup>
	1.000	13.00	01/26/22	29.00		-16.00	11 of 17 - Sale <sup>25</sup>

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2022 1099-B\* OMB No. 1545-0715

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (*Lines 2 & 5*) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of	property/CUSIP/Symbol
--------------------	-----------------------

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PALANTIR TECHNOL	OGIES INC. CLA SS A COMMO	ON STOCK / CUSIP: 69	608A108 / Syı	mbol: (cont'd)			
	24.000	311.99	01/26/22	327.84		-15.85	12 of 17 - Sale <sup>25</sup>
	1.000	13.00	01/26/22	26.97		-13.97	13 of 17 - Sale <sup>25</sup>
	1.000	13.00	01/26/22	26.97		-13.97	14 of 17 - Sale <sup>25</sup>
	2.000	26.00	01/26/22	37.45		-11.45	15 of 17 - Sale <sup>25</sup>
	2.000	26.00	01/26/22	35.55		-9.55	16 of 17 - Sale <sup>25</sup>
	1.000	13.00	01/26/22	18.72		-5.72	17 of 17 - Sale <sup>25</sup>
)1/26/22	121.000	1,572.97	Various	2,481.17		-908.20	Total of 17 transactions
	10 transactions for 0	1/26/22. Total proceeds	and cost repo	rted to the IRS.			
	2.000	26.00	12/27/21	40.73	14.73 W	0.00	1 of 10 - Sale 25
	37.000	480.99	01/26/22	794.07	279.23 W	-33.85	2 of 10 - Sale <sup>25</sup>
	4.000	52.00	01/26/22	106.37	54.37 W	0.00	3 of 10 - Sale <sup>25</sup>
	1.000	13.00	01/26/22	28.34	15.34 W	0.00	4 of 10 - Sale 25
	6.000	78.00	01/26/22	167.55	89.55 W	0.00	5 of 10 - Sale 25
	6.000	78.00	01/26/22	167.54	89.54 W	0.00	6 of 10 - Sale <sup>25</sup>
	1.000	13.00	01/26/22	26.31	13.31 W	0.00	7 of 10 - Sale <sup>25</sup>
	1.000	13.00	01/26/22	26.31	13.31 W	0.00	8 of 10 - Sale <sup>25</sup>
	4.000	52.00	01/26/22	105.24	53.24 W	0.00	9 of 10 - Sale <sup>25</sup>
	2.000	26.00	01/26/22	50.00	24.00 W	0.00	10 of 10 - Sale <sup>25</sup>
01/26/22	64.000	831.99	Various	1,512.46	646.62 W	-33.85	Total of 10 transactions
7/26/22	49.000	463.05	07/12/22	465.01		-1.96	Sale <sup>25</sup>
07/26/22	1.000	9.45	01/26/22	22.12	12.67 W	0.00	Sale <sup>25</sup>
	Security total:	4,407.44		6,825.70	1,474.25 W	-944.01	
UANTUMSCAPE CO	RPORATION / CUSIP: 74767V	'109 / Symbol:					
01/21/22	3.000	47.58	07/08/21	81.08		-33.50	Sale <sup>25</sup>
06/29/22	2.000	18.06	07/08/21	54.06		-36.00	Sale <sup>25</sup>
	Security total:	65.64		135.14		-69.50	
EVLON INC COMMO	ON STOCK / CUSIP: 761525609	) / Symbol:					
		/19/22. Total proceeds a	and cost report	ted to the IRS.			
	1.000	5.55	06/17/22	2.91		2.64	1 of 3 - Sale 25
	9.000	49.96	06/17/22	34.47		15.49	2 of 3 - Sale <sup>25</sup>
	10.000	49.90 55.52	06/17/22	38.50		17.02	3 of 3 - Sale <sup>25</sup>
07/19/22	20.000	111.03	Various	75.88		35.15	Total of 3 transactions
01/13/22	20.000	111.03	vanous	10.00		30.15	I Utal UI S LIAIISACIIONS

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

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02/13/2023

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# **Proceeds from Broker and Barter Exchange Transactions** (continued)

2022 **1099-B\*** OMB No. 1545-0715

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (*Lines 2 & 5*) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*)

"Gain or loss (-)" is NOT reported to the IRS.

1a-	Description	of	property	V/CUSIP/S	vmbol
- I G -	Description	<b>U</b> 1	propert	,000011.70	

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
REVLON INC COMM	ON STOCK / CUSIP: 76152560	9 / Symbol: (cont'd)					
	2 transactions for 08	2/23/22. Total proceeds a	and cost repor	ted to the IRS.			
	1.000	8.02	06/17/22	3.83		4.19	1 of 2 - Sale <sup>25</sup>
	14.000	112.28	08/05/22	113.40		-1.12	2 of 2 - Sale <sup>25</sup>
08/23/22	15.000	120.30	Various	117.23		3.07	Total of 2 transactions
09/16/22	10.000	64.00	08/05/22	81.00		-17.00	Sale <sup>25</sup>
11/08/22	1.000	1.06	08/05/22	8.10		-7.04	Sale <sup>25</sup>
	Security total:	296.39		282.21		14.18	
ROCKET COMPANIE	ES, INC. / CUSIP: 77311W101 /	Symbol:					
01/24/22	18.000	220.86	07/08/21	338.04		-117.18	Sale <sup>25</sup>
	3 transactions for 05	/09/22. Total proceeds a	and cost repor	ted to the IRS.			
	1.813	15.32	07/08/21	32.58		-17.26	1 of 3 - Sale 25
	0.187	1.58	07/08/21	3.36		-1.78	2 of 3 - Sale 25
	0.187	1.58	03/23/22	2.02		-0.44	3 of 3 - Sale <sup>25</sup>
05/09/22	2.187	18.48	Various	37.96		-19.48	Total of 3 transactions
	Security total:	239.34		376.00		-136.66	
ROCKET LAB USA, I	NC. COMMON ST OCK / CUSIF	P: 773122106 / Symbol:					
	2 transactions for 01	/21/22. Total proceeds a	and cost repor	ted to the IRS.			
	10.000	90.40	11/18/21	169.84		-79.44	1 of 2 - Sale <sup>25</sup>
	10.000	90.40	12/21/21	138.91		-48.51	2 of 2 - Sale <sup>25</sup>
01/21/22	20.000	180.80	Various	308.75		-127.95	Total of 2 transactions
06/29/22	5.000	19.80	12/21/21	69.45		-49.65	Sale <sup>25</sup>
	Security total:	200.60		378.20		-177.60	
SPHERE 3D CORP.	COMMON SHARES / CUSIP: 84	1841L308 / Symbol:					
11/08/22	5.000	2.15	11/23/21	25.30		-23.15	Sale <sup>25</sup>
OUGHBUILT INDUS	STRIES, INC. CO MMON STOC	K / CUSIP: 89157G884	/ Symbol:				
11/08/22	10.000	19.90	08/01/22	89.50		-69.60	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

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# Proceeds from Broker and Barter Exchange Transactions (continued)

**2022 1099-B\*** OMB No. 1545-0715

### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

# "Gain or loss (-)" is NOT reported to the IRS.

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TWITTER, INC. / CUSIP:	90184L102 / Symbol:						
	2 transactions for 04	/27/22. Total proceeds a	and cost report	ted to the IRS.			
04/07/00	2.000 23.000	98.00 1,126.99	12/01/21 04/25/22	94.92 1,158.97		3.08 -31.98	1 of 2 - Sale <sup>25</sup> 2 of 2 - Sale <sup>25</sup> Total of 2 transmission
04/27/22 06/29/22	25.000 2.000 Security total:	1,224.99 77.34 <b>1,302.33</b>	Various 04/25/22	1,253.89 100.78 <b>1,354.67</b>	···· ···	-28.90 -23.44 <b>-52.34</b>	Total of 2 transactions Sale <sup>25</sup>
UPHEALTH, INC. / CUSI	P: 91532B101 / Symbol:						
05/09/22	20.000	13.40	11/08/21	50.40		-37.00	Sale <sup>25</sup>
VIRGIN GALACTIC HOLI	DINGS, INC. / CUSIP: 92766	K106 / Symbol:					
01/28/22	3.000	23.51	12/21/21	43.46		-19.95	Sale <sup>25</sup>
VISIONARY EDUCATION	N TECHNOLOGY HOLDING	S GROUP INC. COMM	ON SH ARES	/ CUSIP: 92838F	101 / Symbol:		
08/01/22 11/08/22	95.000 5.000	136.79 3.75	06/27/22 06/27/22	202.29 10.65		-65.50 -6.90	Sale <sup>25</sup> Sale <sup>25</sup>
Totals :	Security total:	140.54 128,229.05		212.94 182,712.33	 28,049.52 W	-72.40 -26,433.76	

### LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et		1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ACASTI PHARMA, INC. CLA	ASS A CO MMON STOCK /	CUSIP: 00430K873 / S	Symbol:				
08/24/22	3.000	2.58	01/28/21	16.67		-14.09	Sale <sup>25</sup>
AURORA CANNABIS INC. C	COMMON SH ARES / CUSI	P: 05156X884 / Symbo	l:				
11/08/22	1.000	1.31	01/12/22	6.96		-5.65	Sale <sup>25</sup>

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

2022 **1099-B\*** OMB No. 1545-0715

# LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

a- Description of prop 1c- Date	perty/CUSIP/Symbol	1d- Proceeds &			1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or		6- Reported	1b- Date	1e- Cost or	1q- Wash sale loss		
disposed	Quantity	(G)ross or (N)et		other basis	disallowed (W)	( )	Additional information
XELA TECHNOLOGII	ES, INC. COMMO N STOCK / Cl	JSIP: 30162V409 / Syn	nbol:				
06/29/22	5.000	0.66	10/28/21	8.73		-8.07	Sale <sup>25</sup>
ISKER INC. / CUSIP:	33813J106 / Symbol:						
	6 transactions for 01/1	1/22. Total proceeds an	nd cost reporte	ed to the IRS.			
	1.000	15.38	01/10/22	27.38	12.00 W	0.00	1 of 6 - Sale <sup>25</sup>
	3.000	46.14	01/10/22	82.12	35.98 W	0.00	2 of 6 - Sale 25
	2.000	30.74	01/10/22	54.76	24.02 W	0.00	3 of 6 - Sale 25
	6.000	92.22	01/10/22	164.25	72.03 W	0.00	4 of 6 - Sale 25
	4.000	61.48	01/10/22	109.49	48.01 W	0.00	5 of 6 - Sale <sup>25</sup>
	12.000	184.44	01/10/22	328.44	144.00 W	0.00	6 of 6 - Sale <sup>25</sup>
01/11/22	28.000	430.40	Various	766.44	336.04 W	0.00	Total of 6 transactions
	7 transactions for 01/18						
	1.000	14.29	01/11/22	27.91	13.62 W	0.00	1 of 7 - Sale 25
	3.000	42.87	01/11/22	83.71	40.84 W	0.00	2 of 7 - Sale <sup>25</sup>
	4.000	57.16	01/11/22	111.65	54.49 W	0.00	3 of 7 - Sale <sup>25</sup>
	2.000	28.58	01/11/22	55.83	27.25 W	0.00	4 of 7 - Sale <sup>25</sup>
	2.000	28.58	01/11/22	55.84	27.26 W	0.00	5 of 7 - Sale <sup>25</sup>
	4.000	57.16	01/11/22	111.65	54.49 W	0.00	6 of 7 - Sale <sup>25</sup>
	12.000	171.48	01/11/22	334.91	163.43 W	0.00	7 of 7 - Sale <sup>25</sup>
1/18/22	28.000	400.12	Various	781.50	381.38 W	0.00	Total of 7 transactions
	7 transactions for 02/02	2/22. Total proceeds ar	d cost reporte	ed to the IRS.			
	1.000	11.39	01/28/22	23.73	12.34 W	0.00	1 of 7 - Sale <sup>25</sup>
	2.000	22.78	01/28/22	47.47	24.69 W	0.00	2 of 7 - Sale <sup>25</sup>
	2.000	22.78	01/28/22	47.48	24.70 W	0.00	3 of 7 - Sale <sup>25</sup>
	3.000	34.17	01/28/22	71.16	36.99 W	0.00	4 of 7 - Sale <sup>25</sup>
	4.000	45.56	01/28/22	94.92	49.36 W	0.00	5 of 7 - Sale <sup>25</sup>
	4.000	45.56	01/28/22	94.92	49.36 W	0.00	6 of 7 - Sale <sup>25</sup>
	12.000	136.68	01/28/22	284.73	148.05 W	0.00	7 of 7 - Sale <sup>25</sup>
2/02/22	28.000	318.92	Various	664.41	345.49 W	0.00	Total of 7 transactions
	9 transactions for 02/23	3/22. Total proceeds an	nd cost reporte				
	4.000	42.60	02/03/22	94.36		-51.76	1 of 9 - Sale 25
	4.000	42.60	02/03/22	94.36		-51.76	2 of 9 - Sale 25
	3.000	31.95	02/03/22	70.74		-38.79	3 of 9 - Sale 25
	2.000	21.30	02/03/22	47.19		-25.89	4 of 9 - Sale 25

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2022 1099-B\* OMB No. 1545-0715

# LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of prope	rty/CUSIP/Symbol						
1c- Date		1d- Proceeds &			1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or disposed	Quantity	6- Reported (G)ross or (N)et		1e- Cost or other basis	1g- Wash sale loss disallowed (W)	7- Loss not allowed (X)	Additional information
•			acquireu				
FISKER INC. / CUSIP: 33	3813J106 / Symbol: (cont'd)						
	1.000	10.65	02/03/22	23.60		-12.95	5 of 9 - Sale 25
	1.000	10.65	02/03/22	23.59		-12.94	6 of 9 - Sale <sup>25</sup>
	11.000	117.15	02/04/22	255.60		-138.45	7 of 9 - Sale <sup>25</sup>
	1.000	10.65	02/04/22	23.25		-12.60	8 of 9 - Sale <sup>25</sup> 9 of 9 - Sale <sup>25</sup>
02/23/22	<i>1.000</i> 28.000	<i>10.65</i> 298.20	<i>02/04/22</i> Various	23.24 655.93		-12.59 -357.73	Total of 9 transactions
02/23/22	Security total:	298.20 1,447.64	various	<b>2,868.28</b>	1,062.91 W	-357.73 -357.73	TOTAL OF 9 TRANSACTIONS
	•				1,002.51	-001.10	
	RNATIONAL, I NC. COMMON S		,				
06/29/22	1.000	0.73	02/26/21	1.69		-0.96	Sale <sup>25</sup>
LORDSTOWN MOTORS	CORP. CLASS A COMMON S	TOCK / CUSIP: 54405	Q100 / Symb	ol:			
11/08/22	5.000	10.23	10/27/21	25.07		-14.84	Sale <sup>25</sup>
NIKOLA CORPORATION	COMMON STOC K / CUSIP: 0	654110105 / Symbol:					
11/08/22	2.000	5.75	08/17/21	19.52		-13.77	Sale <sup>25</sup>
OCUGEN, INC. COMMO	N STOCK / CUSIP: 67577C10	5 / Symbol:					
11/08/22	20.000	32.23	11/19/21	221.44		-189.21	Sale <sup>25</sup>
ORGANIGRAM HOLDING	GS INC. COMMO N SHARES /	CUSIP: 68620P101 / 3	Symbol:				
06/29/22	1.000	1.01	05/21/21	4.57		-3.56	Sale <sup>25</sup>
WORKHORSE GROUP,	INC. COMMON S TOCK / CUS	SIP: 98138J206 / Symb	ol:				
11/08/22	5.000	13.11	07/22/21	56.30		-43.19	Sale <sup>25</sup>
PIONEER MERGER COP	RP. CLASS A O RDINARY SHA	ARE / CUSIP: G7S24C	103 / Symbol:	:			
06/29/22	3.000	29.55	06/22/21	29.73		-0.18	Sale <sup>25</sup>
Totals :		1,544.80		3,258.96	1,062.91 W	-651.25	

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# Robinhood Markets Inc. as agent for Robinhood Securities LLC

2022

# **Detail for Dividends and Distributions**

Account 555388974

02/13/2023

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. There is also a holder level criteria for a dividend to be treated as Qualified. If the payment is from a common stock you are required to have held it for more than 60 days during the 121-day period that begins 60 days before the ex-dividend date of the dividend. If the dividend is from preferred shares and covers a period greater than 366 days, you must have held the preferred stock for more than 90 days during the 181-day period that begins 90 days before the ex-dividend date of the dividend.

Amounts presented in Box 2e as Section 897 Ordinary Dividends or Box 2f as Section 897 Capital Gains apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. If you are a US citizen, you should disregard this.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
DIREXION DAILY SEMICONDUCTOR B ULL 3X SHARES	25459W458		03/29/22	0.01	Qualified dividend	
ROCKET COMPANIES, INC.	77311W101		03/22/22	1.62	Nondividend distribution	03
			03/22/22	0.40	Qualified dividend	03
				2.02	Total Dividends & distributions	
				2.03	Total Dividends & distributions	

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## Robinhood Markets Inc. as agent for **Robinhood Securities LLC**

2022

# **Detail for Interest Income**

Account 555388974

02/13/2023

This section of your tax information statement contains the payment level detail of taxable interest and associated bond premium. Market discount will be shown here only if you have elected to recognize it currently rather than at the time of sale or maturity. Bond premium and market discount for covered tax lots are totaled on Form 1099-INT and reported to the IRS. For noncovered tax lots, they are totaled and presented beneath the 1099-INT for informational purposes and are not reported to the IRS.

To provide a complete picture of activity for each investment, we also include here nonreportable transactions such as accrued interest paid on purchases and payment or receipt of nonqualified interest. Other amounts, such as federal, state and foreign tax withheld and investment expenses are shown as negative amounts but do not net against the reportable income totals.

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
Interest payment		01/31/22	0.66	Interest	
		02/28/22	0.28	Interest	
		03/31/22	1.19	Interest	
		04/29/22	1.44	Interest	
		05/31/22	1.36	Interest	
		06/30/22	0.99	Interest	
		07/29/22	0.65	Interest	
		08/31/22	2.29	Interest	
		09/30/22	0.12	Interest	
		-	8.98	Total Interest	
		_			
			8.98	Total Interest	

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## Robinhood Markets Inc. as agent for **Robinhood Securities LLC**

2022

# **Fees and Expenses**

Account 555388974

02/13/2023

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
Margin charge		01/24/22	-5.00	Margin interest paid	
		01/24/22	-28.72	Margin interest paid	
		02/22/22	-5.00	Margin interest paid	
		02/22/22	-7.78	Margin interest paid	
		03/23/22	-2.37	Margin interest paid	
		03/23/22	-5.00	Margin interest paid	
		04/22/22	-5.00	Margin interest paid	
		05/23/22	-5.00	Margin interest paid	
		06/21/22	-5.00	Margin interest paid	
		07/21/22	-5.00	Margin interest paid	
		08/22/22	-5.00	Margin interest paid	
		09/19/22	-1.44	Margin interest paid	
		09/19/22	-5.00	Margin interest paid	
		10/19/22	-5.00	Margin interest paid	
		10/19/22	-16.17	Margin interest paid	
		11/18/22	-5.00	Margin interest paid	
		11/18/22	-19.93	Margin interest paid	
		12/19/22	-2.64	Margin interest paid	
		12/19/22	-5.00	Margin interest paid	
		-	-139.05	Total Margin interest paid	
		-	-139.05	Total Margin interest paid	

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Robinhood Markets Inc. as agent for Robinhood Securities LLC	Non-Re	portable	Actions		Account 55538	8974
2022		-			02/13/2023	
Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
IVR 01/20/2023 CALL \$5.00	IVR 01/20/23 C 5.000	06/06/22	-2.00	0.00	Merger	
IVR1 01/20/2023 CALL \$5.00	IVR1 01/20/23 C 5.000	06/06/22	2.00	0.00	Merger	
TTWO1 01/20/2023 CALL \$15.00	TTWO1 01/20/23 C 15.000	05/24/22	11.00	0.00	Merger	
ZNGA 01/20/2023 CALL \$15.00	ZNGA 01/20/23 C 15.000	05/24/22	-11.00	0.00	Merger	

Robinhood Markets Inc. as agent for Robinhood Securities LLC

03 The tax character of the distribution has been allocated based on information provided by the security issuer.

25 Tax lot closed on a first in first out basis.

#### **Common Instructions for Recipient**

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

#### Keep tax documents for your records.

#### **1099-DIV Instructions for Recipient**

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

Line 1b. Shows the portion of the amount in Line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in Lines 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Form 1040.

Line 2b. Shows the portion of the amount in Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2c. Shows the portion of the amount in Line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

Line 2d. Shows the portion of the amount in Line 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2e. Shows the portion of the amount in Line 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

Line 2f. Shows the portion of the amount in Line 2a that is section 897 gain attributable to disposition of USRPI.

Note: Lines 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return. Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in Line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in Line 1a. Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Form 1040.

Line 8. This Line should be left blank if a RIC reported the foreign tax shown in Line 7.

#### Instructions for Recipient

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You may also have a filing requirement. See the Instructions for Form 8938.

Line 12. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040SR for where to report. This amount may be subject to backup withholding. See Line 4 above. Line 13. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in Line 12. See the Instructions for Form 6251.

Lines 14-16. State income tax withheld reporting Lines.

Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

#### **1099-INT Instructions for Recipient**

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

Line 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2022 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security accuried a to premium.

Line 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction.

Line 3. Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium. Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Line 5. Any amount shown is your share of investment expenses of a singleclass REMIC. This amount is included in line 1. Note: This amount is not deductible. Line 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

Line 7. Shows the country or U.S. possession to which the foreign tax was paid. Line 8. Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Forms 1040 and 1040-SR. This amount may be subject to backup withholding. See Line 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in line 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 10. For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-0ID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in

accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includible in taxable income as interest income.

Line 11. For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this line for a taxable covered security acquired at a premium and the paver is reporting premium amortization, the paver has reported a net amount of interest in line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4). Line 12. For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 3. If the amount in line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

Line 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this line for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in line 8 or 9, whichever is applicable. If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

Line 14. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s).

#### Lines 15-17. State tax withheld reporting lines.

Future developments. For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099INT.

Free File. Go to <u>www.irs.gov/FreeFile</u> to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

#### 1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation is identified in box 1a.

**CUSIP number**. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present. Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts. non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in contracts. Compared the contracts or nonSection 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-sr).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank. Line 2. The short-term and long-term boxes pertain to short-term gain or loss and

Ing-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

Page 1 of

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Robinhood Markets Inc. as agent for Robinhood Crypto LLC		Information nt 555388974C	Statement Date:	02/13/2023	2022
85 Willow Rd Menlo Park, CA 94025 Customer Service: 650-940-2700	Naga akhil varma Alluri 6740 Courtney Park Rd Charlotte, NC 28217	apt 5102			·
PAYER'S TIN: 46-4364776	RECIPIENT'S TIN: XXX	2-XX-4776			
11 - [] FATCA filing requirement (see instructions)	Summar	y Information		13 - [] FATCA filing require	ment (see instructions)
DIVIDENDS AND DISTRIBUTIONS 2022 1099-DIV	<b>OMB</b> No. 1545-0110	MISCELLANEOUS	INFORMATION	2022 1099-MISC*	OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5, 2e)	0.00	2- Royalties			0.00
1b- Qualified dividends	0.00	3- Other income			0.00
2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)	0.00	4- Federal income tax			0.00
2b- Unrecaptured Section 1250 gain	0.00	8- Substitute payments	s in lieu of dividends o	r interest	0.00
2c- Section 1202 gain	0.00				
2d- Collectibles (28%) gain 2e- Section 897 ordinary dividends	0.00 0.00	SECTION 1256 CO	NTRACTS	2022 1099-В*	OMB No. 1545-0715
2f- Section 897 capital gain	0.00	8- Profit or (loss) realiz	ed in 2022 on closed	contracts	0.00
3- Nondividend distributions	0.00	9- Unrealized profit or	(loss) on open contrac	ts-12/31/2021	0.00
4- Federal income tax withheld	0.00	10- Unrealized profit or		ts-12/31/2022	0.00
5- Section 199A dividends	0.00	11- Aggregate profit or	loss) on contracts		0.00
6- Investment expenses	0.00	If applicable, procee	ds from sale transactiv	ons appear summarized bel	ow and are
8- Foreign country or US possession: 7- Foreign tax paid:	0.00		ent sections of this doc		ow and are
9- Cash liquidation distributions	0.00	* This is important tax information			nue Service.
10- Noncash liquidation distributions	0.00	If you are required to file a retu			
<ol> <li>12- Exempt-interest dividends (includes line 13)</li> <li>13- Specified private activity bond interest dividends (AMT)</li> </ol>	0.00 0.00	you if this income is taxable and			

## SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	B (basis not reported to the IRS)	1,175.23	1,704.37	0.00	0.00	-529.14
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	1,175.23	1,704.37	0.00	0.00	-529.14
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	1,175.23	1,704.37	0.00	0.00	-529.14
Withholding		Amount				
Federal income	tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2022 may require an amended tax form.

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### Robinhood Markets Inc. as agent for **Robinhood Crypto LLC**

2022

# **Summary Information**

(continued)

OMB No. 1545-0112

02/13/2023

Account 555388974C

### **INTEREST INCOME**

2022 1099-INT

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3) 2- Early withdrawal penalty	0.00 0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
<ol><li>Specified private activity bond interest (AMT)</li></ol>	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
Tax-exempt obligations (covered lots)	0.00
Tax-exempt private activity obligations (AMT, covered lots)	0.00
14- Tax-exempt and tax credit bond CUSIP number	
FATCA filing requirement []	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued Treasury interest paid0.00Tax-exempt accrued interest paid0.00Tax-exempt accrued interest paid (AMT)0.00Taxable accrued nonqualified interest paid0.00Tax-exempt nonqualified interest0.00Tax-exempt nonqualified interest0.00Tax-exempt nonqualified interest0.00Interest shortfall on contingent payment debt0.00Bond premium- Non Treasury obligations (noncovered lots)0.00Bond premium- Tax-exempt obligations (noncovered lots)0.00Bond premium- Tax-exempt obligations (AMT noncovered lots)0.00	Taxable accrued interest paid	0.00
Tax-exempt accrued interest paid0.00Tax-exempt accrued interest paid (AMT)0.00Taxable accrued nonqualified interest paid0.00Tax-exempt nonqualified interest0.00Tax-exempt nonqualified interest0.00Tax-exempt nonqualified interest0.00Tax-exempt nonqualified interest0.00Date: shortfall on contingent payment debt0.00Bond premium- Non Treasury obligations (noncovered lots)0.00Bond premium- Tax-exempt obligations (noncovered lots)0.00Bond premium- Tax-exempt obligations (noncovered lots)0.00	Taxable accrued Treasury interest paid	0.00
Taxable accrued nonqualified interest paid0.00Tax-exempt accrued nonqualified interest paid0.00Tax-exempt accrued nonqualified interest paid (AMT)0.00Nonqualified interest0.00Tax-exempt nonqualified interest0.00Tax-exempt nonqualified interest0.00Tax-exempt nonqualified interest0.00Tax-exempt nonqualified interest0.00Interest shortfall on contingent payment debt0.00Bond premium- Non Treasury obligations (noncovered lots)0.00Bond premium- Treasury obligations (noncovered lots)0.00Bond premium- Tax-exempt obligations (noncovered lots)0.00	Tax-exempt accrued interest paid	0.00
Tax-exempt accrued nonqualified interest paid0.00Tax-exempt accrued nonqualified interest paid (AMT)0.00Nonqualified interest0.00Tax-exempt nonqualified interest0.00Tax-exempt nonqualified interest0.00Tax-exempt nonqualified interest0.00Interest shortfall on contingent payment debt0.00Bond premium- Non Treasury obligations (noncovered lots)0.00Bond premium- Treasury obligations (noncovered lots)0.00Bond premium- Tax-exempt obligations (noncovered lots)0.00	Tax-exempt accrued interest paid (AMT)	0.00
Tax-exempt accrued nonqualified interest paid (AMT)0.00Nonqualified interest0.00Tax-exempt nonqualified interest0.00Tax-exempt nonqualified interest (AMT)0.00Interest shortfall on contingent payment debt0.00Bond premium- Non Treasury obligations (noncovered lots)0.00Bond premium- Treasury obligations (noncovered lots)0.00Bond premium- Tax-exempt obligations (noncovered lots)0.00	Taxable accrued nongualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)0.00Nonqualified interest0.00Tax-exempt nonqualified interest0.00Tax-exempt nonqualified interest (AMT)0.00Interest shortfall on contingent payment debt0.00Bond premium- Non Treasury obligations (noncovered lots)0.00Bond premium- Treasury obligations (noncovered lots)0.00Bond premium- Tax-exempt obligations (noncovered lots)0.00	Tax-exempt accrued nongualified interest paid	0.00
Tax-exempt nonqualified interest0.00Tax-exempt nonqualified interest (AMT)0.00Interest shortfall on contingent payment debt0.00Bond premium- Non Treasury obligations (noncovered lots)0.00Bond premium- Treasury obligations (noncovered lots)0.00Bond premium- Tax-exempt obligations (noncovered lots)0.00		0.00
Tax-exempt nonqualified interest (AMT)0.00Interest shortfall on contingent payment debt0.00Bond premium- Non Treasury obligations (noncovered lots)0.00Bond premium- Treasury obligations (noncovered lots)0.00Bond premium- Tax-exempt obligations (noncovered lots)0.00	Nonqualified interest	0.00
Interest shortfall on contingent payment debt0.00Bond premium- Non Treasury obligations (noncovered lots)0.00Bond premium- Treasury obligations (noncovered lots)0.00Bond premium- Tax-exempt obligations (noncovered lots)0.00	Tax-exempt nonqualified interest	0.00
Bond premium- Non Treasury obligations (noncovered lots)0.00Bond premium- Treasury obligations (noncovered lots)0.00Bond premium- Tax-exempt obligations (noncovered lots)0.00	Tax-exempt nonqualified interest (AMT)	0.00
Bond premium- Treasury obligations (noncovered lots)0.00Bond premium- Tax-exempt obligations (noncovered lots)0.00	Interest shortfall on contingent payment debt	0.00
Bond premium- Tax-exempt obligations (noncovered lots) 0.00	Bond premium- Non Treasury obligations (noncovered lots)	0.00
		0.00
Bond premium- Tax-exempt obligations (AMT_noncovered lots) 0.00	Bond premium- Tax-exempt obligations (noncovered lots)	0.00
	Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots) 0.00	Market discount (noncovered lots)	0.00

### STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

### **ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

### **RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

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### Robinhood Markets Inc. as agent for Robinhood Crypto LLC

# Proceeds from Broker and Barter Exchange Transactions

02/13/2023

Account 555388974C

#### **2022 1099-B\*** OMB No. 1545-0715

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

#### FATCA filing requirement []

#### SHORT TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)

Report on Form 8949, Part I with Box B checked. Basis is NOT provided to the IRS. (Line 12)

"Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS.

1a- Description	of property/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et		Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
Bitcoin Cash / Cl	JSIP: BCHUSD / Symbol:						
	5 transactions for 05/12	2/22. Total proceeds ar	re reported to	the IRS.			
	3.190584859999999967	655.46	01/25/22	933.74		-278.28	1 of 5 - Sale 25
	1.076416609999999885	221.14	01/25/22	315.01		-93.87	2 of 5 - Sale 25
	0.60318490000000024	124.13	01/25/22	176.52		-52.39	3 of 5 - Sale 25
	0.407999999999999974	83.95	01/25/22	119.40		-35.45	4 of 5 - Sale 25
	0.203999999999999987	41.98	01/25/22	59.70		-17.72	5 of 5 - Sale 25
05/12/22	5.482187	1,126.66	Various	1,604.37		-477.71	Total of 5 transactions
Dogecoin / CUSI	P: DOGEUSD / Symbol:						
05/20/22	569.6100000000013642	48.57	12/22/21	100.00		-51.43	Sale <sup>25</sup>
Totals :		1,175.23		1,704.37		-529.14	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

25 Tax lot closed on a first in first out basis.

#### **Common Instructions for Recipient**

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

#### Keep tax documents for your records.

#### 1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or 0 (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in contract or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or nonSection 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the sexercise of a compensatory option premium. If the securities were acquired through the exercise of a compensatory option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

#### Instructions for Recipient

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank. Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For

Line 19. Shows the antohic of hordeductule loss in a wash sate transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank. Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

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