

Apex Clearing
One Dallas Center
350 North St Paul, Ste 1300
Dallas, TX 75201

VENKATA PUVVADA
10270 LAUNCH CIR APT 102
MANASSAS, VA 20109-4243

IN ACCOUNT WITH
WEBULL FINANCIAL LLC
(888) 828-0618

IMPORTANT YEAR END MESSAGES

This document includes your Consolidated Form 1099 that we are required to provide to you and contains information that we are providing to the Internal Revenue Service and additional information that may be helpful to you for filing your tax return. You may wish to seek the advice of a tax professional when preparing your return.

Please verify your personal information for accuracy and contact us to correct any discrepancies. If you own Mutual Funds, Real Estate Trusts (REITs), REMIC Securities or certain fixed investment trusts (WHFITs), income distributions may be reclassified by the issuer after the original 1099 is sent. If you hold these securities or another security that is subject to a reclassification event, you may receive a corrected Form 1099.

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One Dallas Center
350 North St Paul, Ste 1300
Dallas, TX 75201

Customer Service: 214-765-1009
Payer's Federal ID No: 13-2967453

IN ACCOUNT WITH
WEBULL FINANCIAL LLC
(888) 828-0618

Account 5MU74390

VENKATA PUVVADA
10270 LAUNCH CIR APT 102
MANASSAS, VA 20109-4243

RECIPIENT'S ID No: XXX-XX-6542

Form 1099 Composite**2022**

Statement Date: 02/08/2023

 CorrectedFATCA filing Requirement **Tax Reporting Statement**

Dividends and Distributions	2022 Form1099DIV	OMB No. 1545-0110
1a- Total Ordinary Dividends (includes amount shown on 1b)	18.00	
1b- Qualified Dividends	0.00	
2a- Total Capital Gain Distributions (includes lines 2b, 2c, 2d)	0.00	
2b- Unrecaptured Section 1250 Gain	0.00	
2c- Section 1202 Gain	0.00	
2d- Collectibles (28%) Gain	0.00	
2e- Section 897 ordinary dividends	0.00	
2f- Section 897 capital gain	0.00	
3- Nondividend Distributions	0.00	
4- Federal Income Tax Withheld	0.00	
5- Section 199A Dividends	0.00	
6- Investment Expenses	0.00	
7- Foreign Tax Paid	0.00	
8- Foreign Country or U.S. Possessions		
9- Cash Liquidation Distribution	0.00	
10- Noncash Liquidation Distributions	0.00	
12- Exempt-Interest Dividends	0.00	
13- Specified Private Activity Bond Interest Dividends	0.00	

Interest Income	2022 Form1099INT	OMB No. 1545-0112
1- Interest Income	36.85	
2- Early Withdrawal Penalty	0.00	
3- Interest on US Savings Bonds & Treasury Obligations	0.00	
4- Federal Income Tax Withheld	0.00	
5- Investment Expenses	0.00	
6- Foreign Tax Paid	0.00	
7- Foreign Country or U.S. Possession		
8- Tax-Exempt Interest (includes box 9)	0.00	
9- Specified Private Activity Bond Interest	0.00	
10- Market Discount	0.00	
11- Bond Premium	0.00	
12- Bond Premium on Treasury Obligations	0.00	
13- Bond Premium on Tax-Exempt bonds	0.00	
14- Tax-Exempt and Tax Credit Bond CUSIP no.		

Miscellaneous Information	2022 Form1099MISC	OMB No. 1545-0115
2- Royalties	0.00	
3- Other Income	0.00	
4- Federal Income Tax Withheld	0.00	
8- Substitute Payments in Lieu of Dividends or Interest	34.50	

REGULATED FUTURES CONTRACTS & SECTION 1256 OPTIONS	
	2022 Form1099B
	OMB No. 1545-0715
8- Profit or (loss) realized in 2022 on closed contracts	-368.63
9- Unrealized profit or (loss) on open contracts - 12/31/2021	0.00
10- Unrealized profit or (loss) on open contracts - 12/31/2022	0.00
11- Aggregate profit or (loss) on contracts	-368.63
<i>As applicable, proceeds from other sale transactions are summarized below and details are provided in subsequent sections of this document. More details on futures and section 1256 options transactions are also provided in other sections of this document.</i>	

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Dividends may be reclassified for tax purposes after the original Form 1099 is sent in certain cases where information from issuers is received late. This may require us to provide you an amended tax form.

C - Corrected

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Tax Summary

2022

5MU74390

02/08/2023

Summary Of Sale Proceeds

Proceeds from sales of securities are reported individually by trade to the Internal Revenue Service. Please refer to the Proceeds from Broker and Barter Exchange Transactions details sections to determine correct amounts to include in your tax return. The summary transaction amounts shown below are for informational purposes.

Section	Total Proceeds	Total Cost Basis	Total Market Discount	Wash Sale Loss Disallowed	Total Net Gain or Loss(-)
Short-term transactions for covered tax lots	85,430.94	106,188.48	0.00	340.40	-20,417.14
Short-term transactions for noncovered tax lots	0.00	0.00	0.00	0.00	0.00
Total Short-term	85,430.94	106,188.48	0.00	340.40	-20,417.14
Long-term transactions for covered tax lots	9,538.19	48,332.36	0.00	0.00	-38,794.17
Long-term transactions for noncovered tax lots	0.00	0.00	0.00	0.00	0.00
Total Long-term	9,538.19	48,332.36	0.00	0.00	-38,794.17
Undetermined transactions for noncovered tax lots	0.00	0.00	0.00	0.00	0.00
Total Undetermined-term	0.00	0.00	0.00	0.00	0.00

Original Issue Discount

Original Issue Discount (Non-US Treasury Obligations)	0.00
Original Issue Discount on U.S. Treasury Obligations	0.00
Other Periodic Interest	0.00
Market Discount - Covered Positions	0.00
Acquisition Premium - Covered Positions	0.00
Bond Premium - Covered Positions	0.00
Tax-Exempt OID - Covered Positions	0.00
Tax Exempt OID - Specified Private Activity Bonds - Covered Positions	0.00
Investment Expenses	0.00

Amounts shown in this section are summary totals for your reference. For bond-by-bond detail, use the Form1099-OID section of this document.

FEDERAL TAX WITHHELD

Form	Federal Income Tax Withheld
1099-B Total (aggregate):	0.00
1099-DIV Total:	0.00
1099-INT Total:	0.00
1099-MISC Total:	0.00
1099-OID Total:	0.00

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Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

5MU74390

02/08/2023

OMB No. 1545-0715

The following information is being provided to assist in your review and the preparation of your tax return.

This Form 1099-B - Proceeds from Broker and Barter Exchange Transactions provides information relating to sales of securities in your account. The sales details are organized into potentially five separate sections depending on your holding period in the security for the sale (short-term vs long-term) and whether the security is considered a "covered security" for tax reporting purpose. For covered securities, cost basis (adjusted as required under tax regulations) is being reported to the Internal Revenue Service (IRS), whereas for noncovered securities, cost basis if set forth below is provided only for your information.

A separate category is provided for sales of securities for which we do not know your holding period. For such tax lots, you will need to use your historical documents to determine your holding period (whether short-term or long-term) and your tax basis.

Unless noted otherwise under the column "Additional Notes", cost basis and corresponding gain or loss is determined by treating tax lots acquired first as being sold first. We have also assumed that you have made an election to amortize premium on the purchase of taxable bonds.

As brokers, we are only required to apply wash sales loss disallowance rules to securities with the same CUSIP or identifier and purchased and sold within the same account. We are also not required to apply wash sales rules across covered and noncovered securities. You as the taxpayer, however, generally have broader obligations under the wash sales rules to determine disallowed losses, and you may wish to consult with a tax adviser as to the application of such rules to you.

Sales of certain contingent payment debt instruments give rise to ordinary income rather than short-term or long-term capital gain or loss. Such ordinary income treatment is indicated in the Additional Notes column as "Box 2 - Ordinary." In addition, certain assets classified as collectibles are subject to special tax treatment. Any such classification is noted in the Additional Notes column as "Box 3 - Collectibles Box Checked." Loss disallowed for certain transactions involving change in control or capital structure is noted in the Additional Notes column as "Box 7 - Loss Disallowed Box Checked." Disposition of a QOF investment is noted in the Additional Notes column as "Box 3 - QOF."

Information in the transaction detail sections below that have a Box number is being transmitted to the IRS, whereas additional information that is not being transmitted to the IRS is not headed by a Box number. Such additional information may be helpful to you in the preparation of your tax return. Remember that taxpayers are ultimately responsible for the accuracy of their tax returns.

FATCA filing requirement []

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL QQQ 12/16/22	290	INVESTCO QQQ TRUST SERIES 1	CUSIP: *8KCJNT8 Symbol: QQQ---221216C00290000				
2022-12-08	1.000000	269.94	2022-12-07	237.04	0.00	32.90	
CALL BABA 03/17/23	85	ALIBABA GROUP HOLDING LIMITED	CUSIP: *8SCP6G6 Symbol: BABA--230317C00085000				
2022-11-04	10.000000	4,999.48	2022-10-26	4,050.49	0.00	948.99	
CALL DWAC 12/16/22	20	DIGITAL WORLD ACQUISITION CORP	CUSIP: *8NNSHB1 Symbol: DWAC--221216C00020000				
2022-12-16	5.000000	124.79	2022-12-16	110.19	0.00	14.60	

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Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/08/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL ANY 04/22/22	2 SPHERE 3D CORP CUSIP: *8NQZCY2 Symbol: ANY---220422C00002000						
2022-04-22	1.000000	0.00	2022-04-12	20.05	0.00	-20.05	
PUT BA 11/18/22	165 BOEING CO CUSIP: *8CXDBN0 Symbol: BA----221118P00165000						
2022-11-16	10.000000	519.58	2022-11-16	500.38	0.00	19.20	
2022-11-17	1.000000	21.94	2022-11-17	20.04	0.00	1.90	
Security Totals:		541.52		520.42		21.10	
CALL WBA 05/20/22	45.50 WALGREENS BOOTS ALLIANCE INC CUSIP: *8GDMTN8 Symbol: WBA---220520C00045500						
2022-05-20	3.000000	0.00	2022-05-18	18.15	0.00	-18.15	
CALL CVX 10/21/22	175 CHEVRON CORPORATION CUSIP: *8SRQKJ1 Symbol: CVX---221021C00175000						
2022-10-20	5.000000	149.73	2022-10-20	140.25	0.00	9.48	
PUT UBER 10/21/22	25 UBER TECHNOLOGIES INC CUSIP: *8LKVVY5 Symbol: UBER--221021P00025000						
2022-10-17	10.000000	459.45	2022-10-17	460.50	0.00	-1.05	
PUT SPY 11/18/22	395 STANDARD & POORS DEPOSITORY CUSIP: *8SRVLM0 Symbol: SPY---221118P00395000						
2022-11-18	25.000000	2,698.93	2022-11-18	2,500.95	0.00	197.98	
CALL MRK 11/18/22	105 MERCK & CO INC CUSIP: *8PKKBL3 Symbol: MRK---221118C00105000						
2022-11-14	10.000000	179.58	2022-11-14	170.38	0.00	9.20	
PUT QQQ 10/21/22	270 INVESCO QQQ TRUST SERIES 1 CUSIP: *8SSNDZ2 Symbol: QQQ---221021P00270000						
2022-10-10	3.000000	2,399.78	2022-10-04	1,146.15	0.00	1,253.63	
CALL AMZN 11/18/22	105 AMAZON.COM INC ADJ 20:1 STOCK SPLIT CUSIP: *8STZSN1 Symbol: AMZN--221118C00105000						
2022-11-15	5.000000	459.77	2022-11-14	300.19	0.00	159.58	
***ALTERITY THERAPEUTICS LIMITED AMERICAN DEPOSITARY SHARES CUSIP: 02155X106 Symbol: ATHE							
2022-09-12	1500.000000	869.78	2021-10-26	2,100.00	0.00	-1,230.22	
BLUEJAY DIAGNOSTICS INC COMMON STOCK CUSIP: 095633103 Symbol: BJDX							
2022-09-12	400.000000	407.94	2021-11-15	1,536.00	0.00	-1,128.06	

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Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/08/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
COSMOS HOLDINGS INC COMMON STOCK CUSIP: 221413206 Symbol: #C066926							
2022-11-17	1999.000000	232.81	2022-10-21	163.52	0.00	69.29	
2022-12-05	1741.000000	981.32	Various	1,287.68	0.00	-306.36	
Security Totals:		1,214.13		1,451.20		-237.07	
CREATD INC COMMON STOCK CUSIP: 225265107 Symbol: CRTD							
2022-09-12	501.000000	84.59	2021-10-22	4,229.82	0.00	-4,145.23	
COMSOVEREIGN HOLDING CORP COMMON STOCK CUSIP: 205650203 Symbol: COMS							
2022-12-05	10000.000000	1,373.66	2022-11-25	842.00	0.00	531.66	
CABALETTA BIO INC COMMON STOCK CUSIP: 12674W109 Symbol: CABA							
2022-09-19	21.000000	16.78	2022-08-26	38.43	0.00	-21.65	
EOS ENERGY ENTERPRISES INC CLASS A COMMON STOCK CUSIP: 29415C101 Symbol: EOSE							
2022-09-21	2685.000000	5,423.35	2022-09-14	6,444.00	0.00	-1,020.65	
***GRAB HOLDINGS LIMITED CLASS A ORDINARY SHARES CUSIP: G4124C109 Symbol: GRAB							
2022-09-12	45.000000	145.83	2021-10-15	481.50	0.00	-335.67	
NEXTNAV INC COMMON STOCK CUSIP: 65345N106 Symbol: NN							
2022-08-29	85.000000	270.28	2021-11-02	1,088.00	0.00	-817.72	
RIVIAN AUTOMOTIVE INC CLASS A COMMON STOCK CUSIP: 76954A103 Symbol: RIVN							
2022-09-19	1.000000	37.36	2022-03-07	45.27	0.00	-7.91	
VERU INC COMMON STOCK CUSIP: 92536C103 Symbol: VERU							
2022-08-16	2.000000	43.34	2022-08-03	24.50	0.00	18.84	
2022-09-12	449.000000	6,788.66	2022-09-07	6,779.90	0.00	8.76	
Security Totals:		6,832.00		6,804.40		27.60	
***AMTD DIGITAL INC AMERICAN DEPOSITARY SHRS EVERY FIVE OF WHICH RPRSNT TWO CL A CUSIP: 00180N101 Symbol: HKD							
2022-10-11	61.000000	2,573.70	2022-10-11	2,440.00	0.00	133.70	
2022-11-28	5.000000	89.98	2022-10-11	200.00	0.00	-110.02	
Security Totals:		2,663.68		2,640.00		23.68	

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Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/08/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL DBX 02/18/22	25 DROPBOX INC CUSIP: *8GDFHL4 Symbol: DBX---220218C00025000						
2022-02-18	1.000000	0.00	2022-02-17	60.05	0.00	-60.05	
PAXMEDICA INC COMMON STOCK CUSIP: 70424C104 Symbol: PXMD							
2022-12-01	400.000000	1,027.92	2022-12-01	959.88	0.00	68.04	
CALL ORTX 10/21/22	2.50 ORCHARD THERAPEUTICS PLC ADS CUSIP: *8BWGCF3 Symbol: ORTX--221021C00002500						
2022-10-21	9.000000	0.00	2022-08-24	45.44	0.00	-45.44	
PUT SPY 11/18/22	392 STANDARD & POORS DEPOSITORY CUSIP: *8LNNYR0 Symbol: SPY---221118P00392000						
2022-11-18	9.000000	287.63	2022-11-18	270.35	0.00	17.28	
CALL MRNA 10/07/22	130 MODERNA INC CUSIP: *8NHCK55 Symbol: MRNA--221007C00130000						
2022-10-05	10.000000	419.48	2022-10-05	460.49	0.00	-41.01	
PUT ABNB 10/07/22	100 AIRBNB INC CUSIP: *8LQSMX8 Symbol: ABNB--221007P00100000						
2022-10-05	10.000000	239.48	2022-10-05	230.49	0.00	8.99	
CALL AMD 10/07/22	70 ADVANCED MICRO DEVICES INC CUSIP: *8NLRZT7 Symbol: AMD---221007C00070000						
2022-10-03	50.000000	1,942.37	2022-10-03	1,802.45	0.00	139.92	
CALL CHWY 09/16/22	37 CHEWY INC CLASS A CUSIP: *8GPXQL9 Symbol: CHWY--220916C00037000						
2022-09-14	50.000000	1,797.35	2022-09-14	1,652.46	0.00	144.89	
CALL SBUX 10/14/22	92 STARBUCKS CORPORATION CUSIP: *8PXHVC1 Symbol: SBUX--221014C00092000						
2022-10-14	10.000000	0.00	2022-10-14	200.49	0.00	-200.49	
PUT PFE 10/14/22	42 PFIZER INC CUSIP: *8PXGQC6 Symbol: PFE---221014P00042000						
2022-10-12	10.000000	369.48	2022-10-12	290.49	0.00	78.99	
CALL BABA 11/18/22	80 ALIBABA GROUP HOLDING LIMITED CUSIP: *8STPRP6 Symbol: BABA--221118C00080000						
2022-10-26	10.000000	899.45	2022-10-26	820.49	0.00	78.96	
PUT GME 09/09/22	20.50 GAMESTOP CORPORATION CUSIP: *8PTYNG6 Symbol: GME---220909P00020500						
2022-09-07	1.000000	32.93	2022-09-06	36.05	0.00	-3.12	
PUT PYPL 10/14/22	87 PAYPAL HOLDINGS INC CUSIP: *8PXHBL8 Symbol: PYPL--221014P00087000						
2022-10-10	2.000000	609.87	2022-10-10	480.10	0.00	129.77	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

5MU74390

(continued)

02/08/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL CVX 10/07/22	162.50	CHEVRON CORPORATION CUSIP: *8LSHHG1 Symbol: CVX---	221007C00162500				
2022-10-05	10.000000	579.44	2022-10-05	550.49	0.00	28.95	
PUT SPY 10/28/22	360	STANDARD & POORS DEPOSITORY CUSIP: *8SYDC8 Symbol: SPY---	221028P00360000				
2022-10-25	1.000000	15.93	2022-10-24	45.05	0.00	-29.12	
PUT SPY 10/28/22	375	STANDARD & POORS DEPOSITORY CUSIP: *8SYDD4 Symbol: SPY---	221028P00375000				
2022-10-27	1.000000	93.93	2022-10-27	92.05	0.00	1.88	
CALL LAZR 10/07/22	9	LUMINAR TECHNOLOGIES INC CL A CUSIP: *8NGXRW4 Symbol: LAZR--	221007C00009000				
2022-10-07	100.000000	0.00	2022-10-06	304.92	0.00	-304.92	
ADITXT INC COMMON STOCK CUSIP: 007025505 Symbol: ADTX							
2022-09-14	263.000000	4,391.96	2022-09-14	6,575.00	0.00	-2,183.04	
PUT SPY 10/28/22	364	STANDARD & POORS DEPOSITORY CUSIP: *8SYZRM5 Symbol: SPY---	221028P00364000				
2022-10-25	2.000000	63.88	2022-10-24	178.10	0.00	-114.22	
PUT SNAP 10/28/22	6	SNAP INC CUSIP: *8SYXXW0 Symbol: SNAP--	221028P00006000				
2022-10-24	95.000000	90.11	2022-10-21	289.67	0.00	-199.56	
2022-10-25	5.000000	4.73	2022-10-21	15.25	0.00	-10.52	
Security Totals:		94.84		304.92		-210.08	
CALL AMZN 10/28/22	120	AMAZON.COM INC CUSIP: *8SYRZL5 Symbol: AMZN--	221028C00120000				
2022-10-28	1.000000	0.00	2022-10-27	193.05	0.00	-193.05	
CALL BABA 10/07/22	85	ALIBABA GROUP HOLDING LIMITED CUSIP: *8LRJYW6 Symbol: BABA--	221007C00085000				
2022-09-30	20.000000	638.96	2022-09-29	1,320.98	0.00	-682.02	
CALL NCLH 10/28/22	16.50	NORWEIGIAN CRUISE LINE HOLDING CUSIP: *8SYWSN1 Symbol: NCLH--	221028C00016500				
2022-10-26	50.000000	1,547.39	2022-10-26	1,502.46	0.00	44.93	
CALL ORCL 10/21/22	71	ORACLE CORP CUSIP: *8SZQFY4 Symbol: ORCL--	221021C00071000				
2022-10-21	15.000000	979.20	2022-10-21	840.74	0.00	138.46	
CALL PTON 10/21/22	8.50	PELTON INTERACTIVE INC CL A CUSIP: *8SZQMX6 Symbol: PTON--	221021C00008500				
2022-10-17	10.000000	209.48	2022-10-17	210.49	0.00	-1.01	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

5MU74390

(continued)

02/08/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL BABA 10/07/22	83 ALIBABA GROUP HOLDING LIMITED CUSIP: *8LRJYW0 Symbol: BABA--221007C00083000						
2022-10-04	20.000000	3,538.89	2022-10-03	2,160.98	0.00	1,377.91	
CALL META 11/18/22	120 META PLATFORMS INC CL A CUSIP: *8PSMZG7 Symbol: META--221118C00120000						
2022-11-16	10.000000	209.59	2022-11-15	1,400.38	0.00	-1,190.79	
CALL UPST 10/07/22	23.50 UPSTART HOLDINGS INC CUSIP: *8SZRY6 Symbol: UPST--221007C00023500						
2022-10-07	100.000000	394.85	2022-10-06	3,404.92	0.00	-3,010.07	
CALL SHOP 11/04/22	40 SHOPIFY INC CL A CUSIP: *8LTSTV3 Symbol: SHOP--221104C00040000						
2022-10-31	50.000000	997.40	2022-10-31	752.46	0.00	244.94	
CALL CROX 11/18/22	90 CROCS INC CUSIP: *8KBLXN6 Symbol: CROX--221118C00090000						
2022-11-07	2.000000	149.90	2022-11-07	200.08	0.00	-50.18	
PUT CVS 10/07/22	98 CVS HEALTH CORPORATION CUSIP: *8LSGXD4 Symbol: CVS---221007P00098000						
2022-10-05	10.000000	479.46	2022-10-05	380.49	0.00	98.97	
CALL BYND 11/04/22	19 BEYOND MEAT INC CUSIP: *8GXJQW6 Symbol: BYND--221104C00019000						
2022-11-04	20.000000	0.00	2022-11-01	270.76	0.00	-270.76	
CALL MRVL 10/28/22	43 MARVELL TECHNOLOGY INC CUSIP: *8SYWQC3 Symbol: MRVL--221028C00043000						
2022-10-27	10.000000	249.48	2022-10-25	350.49	0.00	-101.01	
CALL NKE 10/07/22	90 NIKE INC CLASS B CUSIP: *8NZDRH1 Symbol: NKE---221007C00090000						
2022-10-05	5.000000	379.70	2022-10-05	345.25	0.00	34.45	
CALL MSTR 11/11/22	280 MICROSTRATEGY INC CUSIP: *8X27626 Symbol: MSTR--221111C00280000						
2022-11-09	2.000000	59.90	2022-11-08	900.08	0.00	-840.18	
***E HOME HOUSEHOLD SERVICE HOLDINGS LIMITED ORDINARY SHARES CUSIP: G2952X112 Symbol: EJJ							
2022-10-04	500.000000	924.90	2022-09-14	2,050.00	0.00	-1,125.10	
CALL RBLX 11/11/22	45 ROBLOX CORPORATION CUSIP: *8X35344 Symbol: RBLX--221111C00045000						
2022-11-07	5.000000	664.78	2022-11-07	625.19	0.00	39.59	
CALL MSTR 11/04/22	260 MICROSTRATEGY INC CUSIP: *8LQQNY4 Symbol: MSTR--221104C00260000						
2022-11-04	1.000000	299.94	2022-11-04	160.04	0.00	139.90	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/08/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL SBUX 11/04/22	96	STARBUCKS CORPORATION CUSIP: *8LTQWZ6 Symbol: SBUX--221104C00096000					
2022-11-04	10.000000	119.59	2022-11-04	120.38	0.00	-0.79	
CALL TSLA 10/21/22	212.50	TESLA INC CUSIP: *8W38026 Symbol: TSLA--221021C00212500					
2022-10-20	10.000000	2,099.43	2022-10-20	2,080.49	0.00	18.94	
PUT FDX 11/11/22	155	FEDEX CORP CUSIP: *8X13388 Symbol: FDX---221111P00155000					
2022-11-09	5.000000	399.78	2022-11-09	350.19	0.00	49.59	
CALL AAPL 11/25/22	150	APPLE INC CUSIP: *8275726 Symbol: AAPL--221125C00150000					
2022-11-21	3.000000	311.85	2022-11-21	300.11	0.00	11.74	
CALL RIG 02/17/23	5	TRANSOCEAN LTD CUSIP: *8KQYMZ0 Symbol: RIG---230217C00005000					
2022-12-01	10.000000	249.55	2022-11-22	330.38	0.00	-80.83	
CALL AMAT 10/14/22	79	APPLIED MATERIALS INC CUSIP: *8G55074 Symbol: AMAT--221014C00079000					
2022-10-14	2.000000	13.87	2022-10-14	36.10	0.00	-22.23	
CALL GOOG 11/25/22	99	ALPHABET INC CLASS C CUSIP: *8470517 Symbol: GOOG--221125C00099000					
2022-11-21	5.000000	539.78	2022-11-21	520.19	0.00	19.59	
PUT SPY 11/25/22	390	STANDARD & POORS DEPOSITORY CUSIP: *8509416 Symbol: SPY---221125P00390000					
2022-11-25	2.000000	0.00	2022-11-22	32.08	0.00	-32.08	
CALL DWAC 11/18/22	30	DIGITAL WORLD ACQUISITION CORP CUSIP: *8KLMVJ7 Symbol: DWAC--221118C00030000					
2022-11-11	4.000000	559.82	2022-11-09	520.15	0.00	39.67	
2022-11-17	1.000000	3.94	2022-11-15	154.04	0.00	-150.10	
Security Totals:		563.76		674.19		-110.43	
CALL META 11/04/22	100	META PLATFORMS INC CL A CUSIP: *8LPHMG2 Symbol: META--221104C00100000					
2022-11-01	3.000000	239.87	2022-11-01	225.11	0.00	14.76	
CALL MRVL 10/28/22	42	MARVELL TECHNOLOGY INC CUSIP: *8SYWQC1 Symbol: MRVL--221028C00042000					
2022-10-27	1.000000	67.93	2022-10-26	10.05	0.00	57.88	
CALL BABA 11/11/22	75	ALIBABA GROUP HOLDING LIMITED CUSIP: *8W93168 Symbol: BABA--221111C00075000					
2022-11-09	2.000000	13.89	2022-11-07	132.08	0.00	-118.19	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/08/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL AMC 12/02/22	11 AMC ENTERTAINMENT HOLDINGS INC CUSIP: *8GCLJZ5 Symbol: AMC---221202C00011000						
2022-12-02	3.000000	0.00	2022-12-01	15.11	0.00	-15.11	
PUT NFLX 10/28/22	270 NETFLIX INC CUSIP: *8SYWTV0 Symbol: NFLX--221028P00270000						
2022-10-21	1.000000	270.93	2022-10-21	340.05	0.00	-69.12	
CALL USB 10/21/22	46 US BANCORP DEL CUSIP: *8SZSBJ4 Symbol: USB---221021C00046000						
2022-10-21	100.000000	0.00	2022-10-17	504.91	0.00	-504.91	
CALL META 11/04/22	110 META PLATFORMS INC CL A CUSIP: *8LPHMG8 Symbol: META--221104C00110000						
2022-11-03	5.000000	4.79	2022-10-27	300.25	0.00	-295.46	
CALL BABA 12/02/22	85 ALIBABA GROUP HOLDING LIMITED CUSIP: *8BXCBS7 Symbol: BABA--221202C00085000						
2022-11-29	10.000000	649.56	2022-11-29	550.39	0.00	99.17	
CALL SPY 11/21/22	396 STANDARD & POORS DEPOSITORY CUSIP: *8GQNT3 Symbol: SPY---221121C00396000						
2022-11-21	10.000000	209.58	2022-11-21	1,135.78	340.40 D	-926.20	
CALL CHPT 11/11/22	12.50 CHARGEPOINT HOLDINGS INC CUSIP: *8X02759 Symbol: CHPT--221111C00012500						
2022-11-07	10.000000	159.56	2022-11-07	220.38	0.00	-60.82	
CALL SPY 11/28/22	400 STANDARD & POORS DEPOSITORY CUSIP: *8PWMZV8 Symbol: SPY---221128C00400000						
2022-11-28	18.000000	89.23	2022-11-28	1,023.69	0.00	-934.46	
CALL BABA 10/28/22	63 ALIBABA GROUP HOLDING LIMITED CUSIP: *8PBVJF0 Symbol: BABA--221028C00063000						
2022-10-24	5.000000	1,199.71	2022-10-24	1,150.25	0.00	49.46	
CALL MRK 11/18/22	104 MERCK & CO INC CUSIP: *8PZVWN9 Symbol: MRK---221118C00104000						
2022-11-18	50.000000	947.96	2022-11-18	851.90	0.00	96.06	
CALL PFE 11/18/22	51 PFIZER INC CUSIP: *8PZZPF8 Symbol: PFE---221118C00051000						
2022-11-15	19.000000	227.23	2022-11-14	437.72	0.00	-210.49	
CALL CLF 11/11/22	14 CLEVELAND CLIFFS INC CUSIP: *8X03263 Symbol: CLF---221111C00014000						
2022-11-10	50.000000	847.96	2022-11-10	851.91	0.00	-3.95	
PUT UPS 11/11/22	160 UNITED PARCEL SVC INC CUSIP: *8X46973 Symbol: UPS---221111P00160000						
2022-11-09	5.000000	374.79	2022-11-09	365.19	0.00	9.60	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/08/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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CALL MSTR 10/28/22	282.50	MICROSTRATEGY INC CUSIP: *8GPRSR0 Symbol: MSTR--221028C00282500					
2022-10-28	1.000000	49.93	2022-10-27	220.05	0.00	-170.12	
CALL META 10/28/22	101	META PLATFORMS INC CL A CUSIP: *8GPNQD2 Symbol: META--221028C00101000					
2022-10-28	1.000000	75.93	2022-10-27	220.05	0.00	-144.12	
CALL META 10/28/22	110	META PLATFORMS INC CL A CUSIP: *8SYWLW7 Symbol: META--221028C00110000					
2022-10-28	30.000000	32.43	2022-10-27	461.49	0.00	-429.06	
CALL SHOP 12/02/22	39	SHOPIFY INC CL A CUSIP: *8W39000 Symbol: SHOP--221202C00039000					
2022-11-28	10.000000	809.56	2022-11-28	1,000.38	0.00	-190.82	
CALL META 11/11/22	100	META PLATFORMS INC CL A CUSIP: *8X25910 Symbol: META--221111C00100000					
2022-11-07	20.000000	1,999.13	2022-11-07	1,900.76	0.00	98.37	
CALL AMZN 11/11/22	95	AMAZON.COM INC CUSIP: *8W50655 Symbol: AMZN--221111C00095000					
2022-11-07	8.000000	567.65	2022-11-07	488.31	0.00	79.34	
PUT META 11/04/22	88	META PLATFORMS INC CL A CUSIP: *8W66056 Symbol: META--221104P00088000					
2022-11-03	5.000000	484.78	2022-11-03	430.19	0.00	54.59	
PUT META 11/18/22	107	META PLATFORMS INC CL A CUSIP: *8W66383 Symbol: META--221118P00107000					
2022-11-17	1.000000	49.94	2022-11-16	33.04	0.00	16.90	
CALL HPQ 11/25/22	30.50	HP INC CUSIP: *8472585 Symbol: HPQ---221125C00030500					
2022-11-25	10.000000	49.57	2022-11-25	120.39	0.00	-70.82	
CALL SPY 12/05/22	400	STANDARD & POORS DEPOSITORY CUSIP: *8591358 Symbol: SPY---221205C00400000					
2022-12-05	4.000000	111.80	2022-12-05	108.15	0.00	3.65	
CALL SPY 11/30/22	402	STANDARD & POORS DEPOSITORY CUSIP: *8W41307 Symbol: SPY---221130C00402000					
2022-11-30	10.000000	139.59	2022-11-29	450.38	0.00	-310.79	
PUT META 11/18/22	108	META PLATFORMS INC CL A CUSIP: *8W66385 Symbol: META--221118P00108000					
2022-11-17	1.000000	71.94	2022-11-16	44.04	0.00	27.90	
CALL META 11/25/22	111	META PLATFORMS INC CL A CUSIP: *8W66482 Symbol: META--221125C00111000					
2022-11-22	10.000000	1,319.53	2022-11-22	1,200.38	0.00	119.15	

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Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

5MU74390

(continued)

02/08/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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CALL SHOP 12/02/22	40 SHOPIFY INC CL A CUSIP: *8589770 Symbol: SHOP--221202C00040000						
2022-11-28	20.000000	1,559.03	2022-11-28	2,700.76	0.00	-1,141.73	
CALL UPST 12/02/22	21 UPSTART HOLDINGS INC CUSIP: *8GBJQG6 Symbol: UPST--221202C00021000						
2022-11-29	10.000000	509.56	2022-11-29	480.38	0.00	29.18	
CALL SPY 12/07/22	395 STANDARD & POORS DEPOSITORY CUSIP: *8W86764 Symbol: SPY---221207C00395000						
2022-12-07	1.000000	44.94	2022-12-07	44.04	0.00	0.90	
PUT AAPL 12/23/22	134 APPLE INC CUSIP: *8NJWHH7 Symbol: AAPL--221223P00134000						
2022-12-21	1.000000	133.94	2022-12-21	143.04	0.00	-9.10	
CALL DWAC 11/18/22	22 DIGITAL WORLD ACQUISITION CORP CUSIP: *8PZLFR2 Symbol: DWAC--221118C00022000						
2022-11-17	2.000000	79.90	2022-11-17	76.08	0.00	3.82	
2022-11-18	2.000000	9.90	2022-11-18	8.08	0.00	1.82	
Security Totals:		89.80		84.16		5.64	
PUT TSLA 12/23/22	130 TESLA INC CUSIP: *8NZGXY4 Symbol: TSLA--221223P00130000						
2022-12-21	1.000000	82.94	2022-12-21	95.04	0.00	-12.10	
CALL DWAC 11/25/22	24 DIGITAL WORLD ACQUISITION CORP CUSIP: *8PWMFB5 Symbol: DWAC--221125C00024000						
2022-11-25	1.000000	40.94	2022-11-25	55.04	0.00	-14.10	
CALL DWAC 11/11/22	30 DIGITAL WORLD ACQUISITION CORP CUSIP: *8W53478 Symbol: DWAC--221111C00030000						
2022-11-08	5.000000	1,499.72	2022-11-07	450.19	0.00	1,049.53	
CALL MSTR 11/11/22	222.50 MICROSTRATEGY INC CUSIP: *8PZWFG3 Symbol: MSTR--221111C00222500						
2022-11-11	10.000000	0.00	2022-11-10	1,700.38	0.00	-1,700.38	
CALL COIN 12/09/22	55 COINBASE GLOBAL INC CUSIP: *8572587 Symbol: COIN--221209C00055000						
2022-12-09	14.000000	0.00	Various	504.53	0.00	-504.53	
CALL SBUX 11/18/22	101 STARBUCKS CORPORATION CUSIP: *8PYBPQ9 Symbol: SBUX--221118C00101000						
2022-11-16	5.000000	29.79	2022-11-15	295.19	0.00	-265.40	
PUT SPY 11/21/22	393 STANDARD & POORS DEPOSITORY CUSIP: *8PYKLG2 Symbol: SPY---221121P00393000						
2022-11-21	20.000000	1,849.14	2022-11-21	1,600.76	0.00	248.38	

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Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/08/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT SPY 12/07/22	390 STANDARD & POORS DEPOSITORY CUSIP: *8W86761 Symbol: SPY---221207P00390000						
2022-12-07	4.000000	27.82	2022-12-07	68.15	0.00	-40.33	
PUT SPY 11/16/22	393 STANDARD & POORS DEPOSITORY CUSIP: *8555959 Symbol: SPY---221116P00393000						
2022-11-16	10.000000	229.59	2022-11-16	400.38	0.00	-170.79	
PUT SPY 11/23/22	399 STANDARD & POORS DEPOSITORY CUSIP: *8UBLYS3 Symbol: SPY---221123P00399000						
2022-11-23	2.000000	17.90	2022-11-23	60.08	0.00	-42.18	
PUT SBUX 11/25/22	95 STARBUCKS CORPORATION CUSIP: *8502421 Symbol: SBUX--221125P00095000						
2022-11-15	3.000000	170.87	2022-11-14	330.11	0.00	-159.24	
PUT SPY 12/05/22	400 STANDARD & POORS DEPOSITORY CUSIP: *8591360 Symbol: SPY---221205P00400000						
2022-12-05	6.000000	365.74	2022-12-05	348.23	0.00	17.51	
CALL LLY 11/18/22	372.50 ELI LILLY & CO CUSIP: *8PWPRN4 Symbol: LLY---221118C00372500						
2022-11-14	10.000000	729.58	2022-11-14	300.38	0.00	429.20	
CALL MSFT 12/30/22	245 MICROSOFT CORP CUSIP: *8UBDNK7 Symbol: MSFT--221230C00245000						
2022-12-28	5.000000	249.79	2022-12-27	200.19	0.00	49.60	
CALL SPY 11/22/22	399 STANDARD & POORS DEPOSITORY CUSIP: *8UBZHF9 Symbol: SPY---221122C00399000						
2022-11-22	21.000000	583.11	2022-11-22	538.80	0.00	44.31	
PUT SPY 11/28/22	399 STANDARD & POORS DEPOSITORY CUSIP: *8BTVTX9 Symbol: SPY---221128P00399000						
2022-11-28	10.000000	1,049.55	2022-11-28	800.38	0.00	249.17	
CALL AMAT 11/25/22	108 APPLIED MATERIALS INC CUSIP: *8UBWXC4 Symbol: AMAT--221125C00108000						
2022-11-22	5.000000	349.78	2022-11-22	325.19	0.00	24.59	
CALL IBM 11/25/22	149 INTL BUSINESS MACHINE CUSIP: *8528253 Symbol: IBM---221125C00149000						
2022-11-22	15.000000	1,074.34	2022-11-22	950.57	0.00	123.77	
CALL PEP 12/02/22	187.50 PEPSICO INC CUSIP: *8BZXYC0 Symbol: PEP---221202C00187500						
2022-12-01	9.000000	440.62	2022-12-01	405.34	0.00	35.28	
CALL DWAC 12/16/22	22 DIGITAL WORLD ACQUISITION CORP CUSIP: *8GJQLP2 Symbol: DWAC--221216C00022000						
2022-12-15	2.000000	31.90	2022-12-15	24.08	0.00	7.82	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

5MU74390

(continued)

02/08/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL DAL 12/02/22	35.50	DELTA AIR LINES INC DEL	CUSIP: *8GJGRV0 Symbol: DAL---221202C00035500				
2022-11-28	3.000000	44.87	2022-11-25	159.12	0.00	-114.25	
CALL NFLX 12/16/22	292.50	NETFLIX INC	CUSIP: *8GPZLJ3 Symbol: NFLX--221216C00292500				
2022-12-16	2.000000	323.90	2022-12-16	338.08	0.00	-14.18	
PUT SPY 11/30/22	389	STANDARD & POORS DEPOSITORY	CUSIP: *8LPQSH7 Symbol: SPY---221130P00389000				
2022-11-30	5.000000	284.79	2022-11-29	230.19	0.00	54.60	
CALL SPY 12/06/22	403	STANDARD & POORS DEPOSITORY	CUSIP: *8LQSZZ9 Symbol: SPY---221206C00403000				
2022-12-05	1.000000	36.94	2022-12-05	90.04	0.00	-53.10	
CALL SPY 12/06/22	401	STANDARD & POORS DEPOSITORY	CUSIP: *8LQSZZ5 Symbol: SPY---221206C00401000				
2022-12-06	5.000000	114.79	2022-12-06	345.19	0.00	-230.40	
CALL TSLA 01/06/23	230	TESLA INC	CUSIP: *8LRFGM2 Symbol: TSLA--230106C00230000				
2022-12-09	2.000000	193.90	2022-12-08	150.08	0.00	43.82	
CALL SPY 12/06/22	406	STANDARD & POORS DEPOSITORY	CUSIP: *8LQTBBS5 Symbol: SPY---221206C00406000				
2022-12-05	10.000000	109.58	2022-12-05	250.38	0.00	-140.80	
CALL GRWG 12/02/22	6.50	GROWGENERATION CORP	CUSIP: *8GKNWW2 Symbol: GRWG--221202C00006500				
2022-11-30	2.000000	25.90	Various	38.08	0.00	-12.18	
PUT SHOP 12/02/22	37.50	SHOPIFY INC CL A	CUSIP: *8GRLFS6 Symbol: SHOP--221202P00037500				
2022-11-30	5.000000	329.78	2022-11-30	300.19	0.00	29.59	
PUT SHOP 12/02/22	38.50	SHOPIFY INC CL A	CUSIP: *8GRLFX5 Symbol: SHOP--221202P00038500				
2022-11-29	5.000000	559.73	2022-11-29	535.19	0.00	24.54	
2022-12-01	7.000000	13.67	2022-11-30	660.27	0.00	-646.60	
Security Totals:		573.40		1,195.46		-622.06	
CALL MRK 12/02/22	112	MERCK & CO INC	CUSIP: *8539813 Symbol: MRK---221202C00112000				
2022-12-01	9.000000	53.63	2022-12-01	279.34	0.00	-225.71	
CALL CRWD 12/02/22	123	CROWDSTRIKE HOLDINGS INC CL A	CUSIP: *8GZRWC2 Symbol: CRWD--221202C00123000				
2022-12-01	1.000000	245.94	2022-12-01	208.04	0.00	37.90	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/08/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT SPY 12/13/22	380	STANDARD & POORS DEPOSITORY CUSIP: *8PFFYB8 Symbol: SPY---221213P00380000					
2022-12-13	5.000000	0.00	2022-12-12	130.19	0.00	-130.19	
CALL AMZN 12/23/22	84	AMAZON.COM INC CUSIP: *8225712 Symbol: AMZN--221223C00084000					
2022-12-23	2.000000	147.88	2022-12-23	60.08	0.00	87.80	
CALL TSLA 12/30/22	125	TESLA INC CUSIP: *8UBGLT7 Symbol: TSLA--221230C00125000					
2022-12-28	1.000000	72.94	2022-12-27	93.04	0.00	-20.10	
PUT TSLA 12/30/22	119	TESLA INC CUSIP: *8SGJBD0 Symbol: TSLA--221230P00119000					
2022-12-30	1.000000	12.94	2022-12-30	100.04	0.00	-87.10	
CALL TSLA 12/30/22	123	TESLA INC CUSIP: *8SGJBF1 Symbol: TSLA--221230C00123000					
2022-12-30	1.000000	24.94	2022-12-30	185.04	0.00	-160.10	
PUT TSLA 12/30/22	101	TESLA INC CUSIP: *8SGHZX7 Symbol: TSLA--221230P00101000					
2022-12-28	1.000000	99.94	2022-12-28	90.04	0.00	9.90	
Totals:		85,430.94		106,188.48		-20,757.54	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

5MU74390

(continued)

02/08/2023

OMB No. 1545-0715

LONG-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part II with Box D checked.

Box 2: Type of Gain or Loss - Long-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
***CYREN LTD SHS NEW CUSIP: M26895132 Symbol: CYRN							
2022-09-12	15.000000	20.38	2021-06-29	0.00	0.00	20.38	
INVESCO MORTGAGE CAPITAL INC COMMON STOCK CUSIP: 46131B704 Symbol: IVR							
2022-09-12	10.000000	159.28	2021-06-11	410.00	0.00	-250.72	
ASANA INC CLASS A COMMON STOCK CUSIP: 04342Y104 Symbol: ASAN							
2022-09-19	49.000000	1,176.45	2021-06-29	3,038.00	0.00	-1,861.55	
***ARRIVAL ORDINARY SHARES CUSIP: L0423Q108 Symbol: ARVL							
2022-08-29	20.000000	22.98	2021-02-09	550.00	0.00	-527.02	
ASHFORD HOSPITALITY TRUST INC COMMON STOCK CUSIP: 044103869 Symbol: AHT							
2022-09-12	50.000000	509.97	2021-06-22	2,395.00	0.00	-1,885.03	
CONTEXTLOGIC INC CLASS A COMMON STOCK CUSIP: 21077C107 Symbol: WISH							
2022-08-29	800.000000	1,015.87	Various	10,740.00	0.00	-9,724.13	
COUPANG INC CLASS A COMMON STOCK CUSIP: 22266T109 Symbol: CPNG							
2022-08-29	200.000000	3,382.89	2021-06-25	7,680.00	0.00	-4,297.11	
***CENTOGENE N V COMMON SHARES CUSIP: N1976T109 Symbol: CNTG							
2022-09-12	100.000000	151.99	2021-02-09	1,200.00	0.00	-1,048.01	
CLOVER HEALTH INVESTMENTS CORP CLASS A COMMON STOCK CUSIP: 18914F103 Symbol: CLOV							
2022-08-29	501.000000	1,412.71	Various	7,240.72	0.00	-5,828.01	
ELECTRIC LAST MILE SOLUTIONS INC CL A CUSIP: 28489L104 Symbol: ELMSQ							
2022-08-29	5.000000	0.01	2020-12-28	70.00	0.00	-69.99	
FISKER INC CLASS A COMMON STOCK CUSIP: 33813J106 Symbol: FSR							
2022-08-26	4.000000	35.64	2021-05-17	42.00	0.00	-6.36	
***LUOKUNG TECHNOLOGY CORP ORDINARY SHARES CUSIP: G56981106 Symbol: LKCO							
2022-08-29	1000.000000	227.36	2021-06-25	2,610.00	0.00	-2,382.64	
***NABORS INDUSTRIES LTD COMMON SHARES CUSIP: G6359F137 Symbol: NBR							
2022-09-12	1.000000	132.86	2020-03-08	138.56	0.00	-5.70	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/08/2023

OMB No. 1545-0715

LONG-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part II with Box D checked.

Box 2: Type of Gain or Loss - Long-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
***NANO DIMENSION LTD AMERICAN DEPOSITARY SHARES CUSIP: 63008G203 Symbol: NNDM							
2022-08-29	50.000000	151.48	2020-12-29	413.00	0.00	-261.52	
TALKSPACE INC COMMON STOCK CUSIP: 87427V103 Symbol: TALK							
2022-09-12	25.000000	30.98	2021-02-09	297.50	0.00	-266.52	
UWM HOLDINGS CORPORATION CLASS A COMMON STOCK CUSIP: 91823B109 Symbol: UWMC							
2022-08-29	85.000000	309.38	Various	1,155.00	0.00	-845.62	
***XPENG INC ADS EACH REPRESENTING TWO CLASS A ORDINARY SHARES CUSIP: 98422D105 Symbol: XPEV							
2022-09-19	1.000000	15.47	2021-05-13	23.58	0.00	-8.11	
***ITERUM THERAPEUTICS PLC ORDINARY SHARE CUSIP: G6333L200 Symbol: ITRM							
2022-09-12	333.000000	782.49	Various	10,329.00	0.00	-9,546.51	
Totals:		9,538.19		48,332.36		-38,794.17	

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Apex Clearing

Regulated Futures Contracts & Section 1256 Options

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The detailed transactional information below is furnished only for your information. Only the aggregate profit/loss information in each section is reported to the IRS. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL SPXW 09/23/22 3715 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	09/23/2022						
CUSIP:	@3,715.00	09/23/2022	6.00	Sell	543.23	566.76	23.53
	09/23/2022						
CUSIP:	@3,715.00	09/23/2022	4.00	Sell	362.16	397.48	35.32
PUT SPXW 10/05/22 3700 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	10/05/2022						
CUSIP:	@3,700.00	10/05/2022	1.00	Sell	430.63	549.37	118.74
CALL SPXW 11/09/22 3830 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	11/09/2022						
CUSIP:	@3,830.00	11/09/2022	2.00	Sell	801.23	898.76	97.53
PUT SPXW 10/11/22 3530 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	10/11/2022						
CUSIP:	@3,530.00	10/11/2022	3.00	Sell	601.89	898.11	296.22
	10/11/2022						
CUSIP:	@3,530.00	10/11/2022	2.00	Sell	401.26	448.74	47.48
CALL SPXW 10/12/22 3635 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	10/12/2022						
CUSIP:	@3,635.00	10/12/2022	1.00	Sell	80.54	129.37	48.83
CALL SPXW 11/09/22 3830 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	11/09/2022						
CUSIP:	@3,830.00	11/09/2022	1.00	Sell	400.62	379.38	-21.24
	11/09/2022						
CUSIP:	@3,830.00	11/09/2022	2.00	Sell	801.24	838.76	37.52
CALL SPXW 12/15/22 3970 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	12/15/2022						
CUSIP:	@3,970.00	12/15/2022	1.00	Sell	80.53	84.47	3.94
PUT SPXW 12/08/22 3935 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	12/08/2022						
CUSIP:	@3,935.00	12/08/2022	1.00	Sell	150.62	19.47	-131.15
	12/08/2022						
CUSIP:	@3,935.00	12/08/2022	1.00	Sell	150.62	19.47	-131.15

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Apex Clearing

Regulated Futures Contracts & Section 1256 Options

2022

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(continued)

02/08/2023

PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL SPXW 12/15/22 3970 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	12/15/2022						
CUSIP:	@3,970.00	12/15/2022	1.00	Sell	80.53	39.47	-41.06
PUT SPXW 09/12/22 4070 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	09/12/2022						
CUSIP:	@4,070.00	09/12/2022	10.00	Sell	1,856.29	2,293.71	437.42
CALL SPXW 10/12/22 3625 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	10/12/2022						
CUSIP:	@3,625.00	10/12/2022	1.00	Sell	275.63	294.37	18.74
PUT SPXW 10/17/22 3580 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	10/17/2022						
CUSIP:	@3,580.00	10/17/2022	4.00	Sell	382.16	437.48	55.32
	10/17/2022						
CUSIP:	@3,580.00	10/17/2022	1.00	Sell	95.54	84.46	-11.08
CALL SPXW 09/21/22 3900 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	09/21/2022						
CUSIP:	@3,900.00	09/21/2022	4.00	Sell	3,522.52	5,997.48	2,474.96
	09/21/2022						
CUSIP:	@3,900.00	09/21/2022	1.00	Sell	580.63	1,499.37	918.74
PUT SPXW 10/06/22 3730 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	10/06/2022						
CUSIP:	@3,730.00	10/06/2022	6.00	Sell	3,303.77	3,716.23	412.46
CALL SPXW 09/26/22 3750 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	09/26/2022						
CUSIP:	@3,750.00	09/26/2022	4.00	Sell	1,002.52	57.84	-944.68
CALL SPXW 09/29/22 3650 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	09/29/2022						
CUSIP:	@3,650.00	09/29/2022	1.00	Sell	1,060.63	159.37	-901.26
PUT SPXW 09/28/22 3700 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	09/28/2022						
CUSIP:	@3,700.00	09/28/2022	6.00	Sell	6,483.78	7,796.22	1,312.44
CALL SPXW 09/27/22 3660 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	09/27/2022						
CUSIP:	@3,660.00	09/27/2022	5.00	Sell	3,003.15	3,246.85	243.70

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Apex Clearing

Regulated Futures Contracts & Section 1256 Options

2022

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(continued)

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PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
PUT SPXW 09/29/22 3630 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	09/29/2022						
CUSIP:	@3,630.00	09/29/2022	2.00	Sell	1,501.26	2,358.74	857.48
CALL SPXW 09/28/22 3710 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	09/28/2022						
CUSIP:	@3,710.00	09/28/2022	2.00	Sell	1,801.26	1,278.74	-522.52
CALL SPXW 10/06/22 3800 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	10/06/2022						
CUSIP:	@3,800.00	10/06/2022	1.00	Sell	400.63	419.37	18.74
	10/06/2022						
CUSIP:	@3,800.00	10/06/2022	1.00	Sell	350.63	369.37	18.74
PUT SPXW 10/05/22 3700 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	10/05/2022						
CUSIP:	@3,700.00	10/05/2022	1.00	Sell	270.63	399.37	128.74
CALL SPXW 10/04/22 3800 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	10/04/2022						
CUSIP:	@3,800.00	10/04/2022	5.00	Sell	2,003.15	2,746.85	743.70
CALL SPXW 10/06/22 3800 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	10/06/2022						
CUSIP:	@3,800.00	10/06/2022	2.00	Sell	601.26	258.74	-342.52
PUT SPXW 10/03/22 3610 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	10/03/2022						
CUSIP:	@3,610.00	10/03/2022	1.00	Sell	360.63	14.46	-346.17
CALL SPXW 10/04/22 3800 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	10/04/2022						
CUSIP:	@3,800.00	10/04/2022	5.00	Sell	1,753.15	1,996.85	243.70
PUT SPXW 10/04/22 3760 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	10/04/2022						
CUSIP:	@3,760.00	10/04/2022	2.00	Sell	1,001.26	558.74	-442.52
PUT SPXW 10/05/22 3700 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	10/05/2022						
CUSIP:	@3,700.00	10/05/2022	3.00	Sell	901.89	613.11	-288.78

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Apex Clearing

Regulated Futures Contracts & Section 1256 Options

2022

5MU74390

(continued)

02/08/2023

PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL SPXW 10/07/22 3735 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	10/07/2022						
CUSIP:	@3,735.00	10/07/2022	1.00	Sell	130.63	144.37	13.74
CALL SPXW 10/07/22 3715 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	10/07/2022						
CUSIP:	@3,715.00	10/07/2022	5.00	Sell	1,503.15	1,646.85	143.70
CALL SPXW 09/29/22 3650 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	09/29/2022						
CUSIP:	@3,650.00	09/29/2022	1.00	Sell	1,060.63	124.37	-936.26
CALL SPXW 09/27/22 3680 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	09/27/2022						
CUSIP:	@3,680.00	09/27/2022	2.00	Sell	261.26	258.74	-2.52
PUT SPXW 09/29/22 3625 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	09/29/2022						
CUSIP:	@3,625.00	09/29/2022	4.00	Sell	3,442.52	3,677.48	234.96
PUT SPXW 09/26/22 3670 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	09/26/2022						
CUSIP:	@3,670.00	09/26/2022	5.00	Sell	3,503.15	4,046.85	543.70
CALL SPXW 09/30/22 3655 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	09/30/2022						
CUSIP:	@3,655.00	09/30/2022	5.00	Sell	7,253.15	7,496.85	243.70
PUT SPXW 09/26/22 3635 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	09/26/2022						
CUSIP:	@3,635.00	09/26/2022	5.00	Sell	2,903.15	3,746.85	843.70
CALL SPXW 09/28/22 3755 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	09/28/2022						
CUSIP:	@3,755.00	09/28/2022	1.00	Sell	70.54	84.46	13.92
PUT SPXW 09/27/22 3645 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	09/27/2022						
CUSIP:	@3,645.00	09/27/2022	1.00	Sell	230.63	299.37	68.74
PUT SPXW 09/28/22 3615 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	09/28/2022						
CUSIP:	@3,615.00	09/28/2022	2.00	Sell	541.26	38.92	-502.34

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Apex Clearing

Regulated Futures Contracts & Section 1256 Options

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PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL SPXW 09/30/22 3680 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	09/30/2022						
CUSIP:	@3,680.00	09/30/2022	9.00	Sell	7,115.67	7,194.34	78.67
PUT SPXW 09/26/22 3640 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	09/26/2022						
CUSIP:	@3,640.00	09/26/2022	1.00	Sell	700.63	699.37	-1.26
PUT SPXW 09/29/22 3640 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	09/29/2022						
CUSIP:	@3,640.00	09/29/2022	1.00	Sell	1,780.63	1,779.37	-1.26
CALL SPXW 10/06/22 3800 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	10/06/2022						
CUSIP:	@3,800.00	10/06/2022	1.00	Sell	400.63	419.37	18.74
CALL SPXW 10/13/22 3625 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	10/13/2022						
CUSIP:	@3,625.00	10/13/2022	5.00	Sell	978.15	12,496.85	11,518.70
PUT SPXW 10/13/22 3425 CBOE S&P 500 CLOSE/EURO INDEX OPTION EXPIRATION - EXPIRED	10/13/2022						
CUSIP:	@3,425.00	10/13/2022	20.00	OptionExpiration	2,012.59	0.00	-2,012.59
CALL SPXW 10/14/22 3685 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	10/14/2022						
CUSIP:	@3,685.00	10/14/2022	10.00	Sell	2,406.29	2,643.71	237.42
PUT SPXW 10/14/22 3530 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	10/14/2022						
CUSIP:	@3,530.00	10/14/2022	5.00	Sell	903.15	197.30	-705.85
PUT SPXW 10/10/22 3575 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	10/10/2022						
CUSIP:	@3,575.00	10/10/2022	1.00	Sell	30.54	39.46	8.92
CALL SPXW 10/11/22 3640 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	10/11/2022						
CUSIP:	@3,640.00	10/11/2022	2.00	Sell	321.26	78.92	-242.34
CALL SPXW 10/06/22 3800 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	10/06/2022						
CUSIP:	@3,800.00	10/06/2022	1.00	Sell	350.63	199.37	-151.26

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PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL SPXW 10/05/22 3760 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	10/05/2022						
CUSIP:	@3,760.00	10/05/2022	2.00	Sell	781.26	938.74	157.48
CALL SPXW 10/12/22 3635 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	10/12/2022						
CUSIP:	@3,635.00	10/12/2022	3.00	Sell	301.89	148.38	-153.51
CALL SPXW 10/14/22 3675 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	10/14/2022						
CUSIP:	@3,675.00	10/14/2022	1.00	Sell	250.63	69.46	-181.17
CALL SPXW 10/11/22 3630 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	10/11/2022						
CUSIP:	@3,630.00	10/11/2022	10.00	Sell	3,806.30	3,993.71	187.41
CALL SPXW 10/13/22 3750 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	10/13/2022						
CUSIP:	@3,750.00	10/13/2022	1.00	Sell	60.54	4.46	-56.08
PUT SPXW 10/13/22 3425 CBOE S&P 500 CLOSE/EURO INDEX OPTION EXPIRATION - EXPIRED	10/13/2022						
CUSIP:	@3,425.00	10/13/2022	20.00	OptionExpiration	4,012.58	0.00	-4,012.58
CALL SPXW 10/11/22 3640 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	10/11/2022						
CUSIP:	@3,640.00	10/11/2022	2.00	Sell	301.26	838.74	537.48
PUT SPXW 10/13/22 3455 CBOE S&P 500 CLOSE/EURO INDEX OPTION EXPIRATION - EXPIRED	10/13/2022						
CUSIP:	@3,455.00	10/13/2022	10.00	OptionExpiration	5,006.29	0.00	-5,006.29
PUT SPXW 10/11/22 3530 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	10/11/2022						
CUSIP:	@3,530.00	10/11/2022	10.00	Sell	2,606.29	2,993.71	387.42
CALL SPXW 10/14/22 3665 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	10/14/2022						
CUSIP:	@3,665.00	10/14/2022	5.00	Sell	302.70	147.30	-155.40
PUT SPXW 10/07/22 3645 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	10/07/2022						
CUSIP:	@3,645.00	10/07/2022	10.00	Sell	5,806.29	6,093.71	287.42

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PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL SPXW 10/06/22 3800 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	10/06/2022						
CUSIP:	@3,800.00	10/06/2022	1.00	Sell	300.63	579.37	278.74
PUT SPXW 10/06/22 3600 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	10/06/2022						
CUSIP:	@3,600.00	10/05/2022	1.00	Sell	100.63	109.37	8.74
CALL SPXW 10/11/22 3645 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	10/11/2022						
CUSIP:	@3,645.00	10/11/2022	1.00	Sell	300.63	179.37	-121.26
PUT SPXW 10/05/22 3700 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	10/05/2022						
CUSIP:	@3,700.00	10/05/2022	9.00	Sell	3,875.66	4,854.34	978.68
PUT SPXW 10/10/22 3550 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	10/10/2022						
CUSIP:	@3,550.00	10/10/2022	1.00	Sell	40.54	59.46	18.92
CALL SPXW 10/21/22 3770 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	10/21/2022						
CUSIP:	@3,770.00	10/21/2022	5.00	Sell	302.70	347.30	44.60
PUT SPXW 10/17/22 3590 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	10/17/2022						
CUSIP:	@3,590.00	10/17/2022	4.00	Sell	162.16	57.84	-104.32
PUT SPXW 10/18/22 3630 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	10/18/2022						
CUSIP:	@3,630.00	10/18/2022	1.00	Sell	180.63	4.46	-176.17
CALL SPXW 10/21/22 3725 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	10/21/2022						
CUSIP:	@3,725.00	10/21/2022	3.00	Sell	601.89	658.11	56.22
	10/21/2022						
CUSIP:	@3,725.00	10/21/2022	8.00	Sell	2,405.03	2,714.97	309.94
CALL SPXW 10/18/22 3800 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	10/18/2022						
CUSIP:	@3,800.00	10/18/2022	3.00	Sell	561.89	28.38	-533.51

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PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
PUT SPXW 10/17/22 3600 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	10/17/2022						
CUSIP:	@3,600.00	10/17/2022	10.00	Sell	1,206.29	1,443.71	237.42
	10/17/2022						
CUSIP:	@3,600.00	10/17/2022	5.00	Sell	1,003.15	247.30	-755.85
CALL SPXW 10/17/22 3715 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	10/17/2022						
CUSIP:	@3,715.00	10/17/2022	10.00	Sell	1,506.29	1,793.71	287.42
PUT SPXW 10/20/22 3700 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	10/20/2022						
CUSIP:	@3,700.00	10/20/2022	1.00	Sell	560.63	699.37	138.74
CALL SPXW 10/19/22 3720 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	10/19/2022						
CUSIP:	@3,720.00	10/19/2022	1.00	Sell	95.54	69.46	-26.08
CALL SPXW 10/21/22 3755 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	10/21/2022						
CUSIP:	@3,755.00	10/21/2022	1.00	Sell	270.63	269.37	-1.26
CALL SPXW 10/17/22 3735 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	10/17/2022						
CUSIP:	@3,735.00	10/17/2022	10.00	Sell	1,006.29	1,093.70	87.41
PUT SPXW 10/17/22 3585 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	10/17/2022						
CUSIP:	@3,585.00	10/17/2022	5.00	Sell	653.15	796.85	143.70
PUT SPXW 10/18/22 3635 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	10/18/2022						
CUSIP:	@3,635.00	10/18/2022	10.00	Sell	2,406.29	2,393.71	-12.58
CALL SPXW 10/28/22 3905 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	10/28/2022						
CUSIP:	@3,905.00	10/28/2022	6.00	Sell	1,203.77	776.23	-427.54
CALL SPXW 10/25/22 3865 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	10/25/2022						
CUSIP:	@3,865.00	10/25/2022	1.00	Sell	330.63	249.37	-81.26

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PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL SPXW 10/28/22 3915 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	10/28/2022						
CUSIP:	@3,915.00	10/28/2022	3.00	Sell	121.62	148.38	26.76
PUT SPXW 10/25/22 3800 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	10/25/2022						
CUSIP:	@3,800.00	10/25/2022	2.00	Sell	701.26	738.74	37.48
PUT SPXW 10/19/22 3640 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	10/19/2022						
CUSIP:	@3,640.00	10/19/2022	5.00	Sell	1,303.15	1,496.85	193.70
CALL SPXW 10/26/22 3890 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	10/26/2022						
CUSIP:	@3,890.00	10/26/2022	1.00	Sell	200.63	259.37	58.74
PUT SPXW 10/25/22 3800 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	10/25/2022						
CUSIP:	@3,800.00	10/25/2022	1.00	Sell	420.63	429.37	8.74
CALL SPXW 10/17/22 3715 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	10/17/2022						
CUSIP:	@3,715.00	10/17/2022	4.00	Sell	802.52	657.48	-145.04
PUT SPXW 10/26/22 3765 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	10/26/2022						
CUSIP:	@3,765.00	10/26/2022	2.00	Sell	41.08	18.92	-22.16
PUT SPXW 10/24/22 3750 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	10/24/2022						
CUSIP:	@3,750.00	10/24/2022	3.00	Sell	811.89	268.38	-543.51
CALL SPXW 10/25/22 3885 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	10/25/2022						
CUSIP:	@3,885.00	10/25/2022	2.00	Sell	101.08	98.92	-2.16
CALL SPXW 10/26/22 3890 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	10/26/2022						
CUSIP:	@3,890.00	10/26/2022	5.00	Sell	1,033.15	1,096.85	63.70
CALL SPXW 10/25/22 3890 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	10/25/2022						
CUSIP:	@3,890.00	10/25/2022	10.00	Sell	405.40	444.61	39.21

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PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
PUT SPXW 10/21/22 3605 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	10/21/2022						
CUSIP:	@3,605.00	10/19/2022	3.00	Sell	2,971.89	2,968.11	-3.78
CALL SPXW 10/24/22 3810 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	10/24/2022						
CUSIP:	@3,810.00	10/24/2022	5.00	Sell	1,003.15	1,021.85	18.70
PUT SPXW 10/25/22 3805 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	10/25/2022						
CUSIP:	@3,805.00	10/25/2022	5.00	Sell	1,653.15	496.85	-1,156.30
CALL SPXW 10/25/22 3875 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	10/25/2022						
CUSIP:	@3,875.00	10/25/2022	5.00	Sell	1,003.15	1,046.85	43.70
PUT SPXW 10/21/22 3680 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	10/21/2022						
CUSIP:	@3,680.00	10/21/2022	1.00	Sell	400.63	59.46	-341.17
CALL SPXW 10/21/22 3735 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	10/21/2022						
CUSIP:	@3,735.00	10/21/2022	3.00	Sell	601.89	613.11	11.22
CALL SPXW 10/27/22 3855 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	10/27/2022						
CUSIP:	@3,855.00	10/27/2022	3.00	Sell	226.62	298.11	71.49
CALL SPXW 10/28/22 3900 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	10/28/2022						
CUSIP:	@3,900.00	10/28/2022	5.00	Sell	1,003.15	1,071.85	68.70
CALL SPXW 10/25/22 3865 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	10/25/2022						
CUSIP:	@3,865.00	10/25/2022	3.00	Sell	901.89	748.11	-153.78
CALL SPXW 10/24/22 3820 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	10/24/2022						
CUSIP:	@3,820.00	10/24/2022	2.00	Sell	201.26	238.74	37.48
CALL SPXW 10/21/22 3800 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	10/21/2022						
CUSIP:	@3,800.00	10/20/2022	4.00	Sell	2,602.52	2,797.48	194.96

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PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL SPXW 10/25/22 3865 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	10/25/2022						
CUSIP:	@3,865.00	10/25/2022	4.00	Sell	1,402.52	1,477.48	74.96
PUT SPXW 10/21/22 3700 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	10/21/2022						
CUSIP:	@3,700.00	10/21/2022	1.00	Sell	100.63	139.37	38.74
CALL SPXW 10/17/22 3730 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	10/17/2022						
CUSIP:	@3,730.00	10/17/2022	10.00	Sell	1,606.29	1,393.71	-212.58
CALL SPXW 10/21/22 3725 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	10/21/2022						
CUSIP:	@3,725.00	10/21/2022	2.00	Sell	601.26	658.74	57.48
CALL SPXW 10/21/22 3750 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	10/21/2022						
CUSIP:	@3,750.00	10/21/2022	1.00	Sell	120.63	159.37	38.74
CALL SPXW 10/25/22 3885 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	10/25/2022						
CUSIP:	@3,885.00	10/25/2022	10.00	Sell	405.39	594.61	189.22
PUT SPXW 10/25/22 3790 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	10/25/2022						
CUSIP:	@3,790.00	10/25/2022	2.00	Sell	761.26	878.74	117.48
CALL SPXW 11/04/22 3830 CBOE S&P 500 CLOSE/EURO INDEX OPTION EXPIRATION - EXPIRED	11/04/2022						
CUSIP:	@3,830.00	11/04/2022	3.00	OptionExpiration	331.85	0.00	-331.85
CALL SPXW 11/02/22 3965 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	11/02/2022						
CUSIP:	@3,965.00	11/02/2022	1.00	Sell	200.62	4.47	-196.15
CALL SPXW 11/04/22 3790 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	11/04/2022						
CUSIP:	@3,790.00	11/04/2022	3.00	Sell	286.58	13.41	-273.17
CALL SPXW 11/04/22 3795 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	11/04/2022						
CUSIP:	@3,795.00	11/04/2022	1.00	Sell	340.62	419.38	78.76

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PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL SPXW 10/31/22 3910 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	10/31/2022						
CUSIP:	@3,910.00	10/31/2022	1.00	Sell	450.63	459.37	8.74
PUT SPXW 10/31/22 3830 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	10/31/2022						
CUSIP:	@3,830.00	10/31/2022	5.00	Sell	352.70	47.30	-305.40
PUT SPXW 11/10/22 3840 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	11/10/2022						
CUSIP:	@3,840.00	11/10/2022	5.00	Sell	1,253.09	1,396.91	143.82
CALL SPXW 11/10/22 3955 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	11/10/2022						
CUSIP:	@3,955.00	11/10/2022	10.00	Sell	805.28	1,493.81	688.53
CALL SPXW 11/08/22 3850 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	11/08/2022						
CUSIP:	@3,850.00	11/08/2022	4.00	Sell	1,122.47	1,517.53	395.06
PUT SPXW 11/07/22 3750 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	11/07/2022						
CUSIP:	@3,750.00	11/07/2022	2.00	Sell	561.24	598.76	37.52
	11/07/2022						
CUSIP:	@3,750.00	11/07/2022	2.00	Sell	561.24	128.94	-432.30
PUT SPXW 11/10/22 3840 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	11/10/2022						
CUSIP:	@3,840.00	11/10/2022	5.00	Sell	1,203.09	197.36	-1,005.73
CALL SPXW 11/08/22 3870 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	11/08/2022						
CUSIP:	@3,870.00	11/08/2022	3.00	Sell	451.86	463.15	11.29
PUT SPXW 11/07/22 3750 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	11/07/2022						
CUSIP:	@3,750.00	11/07/2022	1.00	Sell	360.62	289.38	-71.24
PUT SPXW 11/10/22 3840 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	11/10/2022						
CUSIP:	@3,840.00	11/10/2022	1.00	Sell	310.62	359.38	48.76

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PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL SPXW 11/10/22 3950 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	11/10/2022						
CUSIP:	@3,950.00	11/10/2022	2.00	Sell	481.24	298.76	-182.48
CALL SPXW 11/09/22 3835 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	11/09/2022						
CUSIP:	@3,835.00	11/09/2022	3.00	Sell	901.85	298.15	-603.70
PUT SPXW 11/07/22 3765 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	11/07/2022						
CUSIP:	@3,765.00	11/07/2022	1.00	Sell	400.62	459.38	58.76
CALL SPXW 11/08/22 3850 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	11/08/2022						
CUSIP:	@3,850.00	11/08/2022	10.00	Sell	1,806.18	2,493.82	687.64
PUT XSP 11/14/22 388 CBOE MINI S&P 500 INDEX CLOSING CONTRACT	11/14/2022						
CUSIP:	@388.00	11/11/2022	1.00	Sell	13.04	16.96	3.92
PUT SPXW 11/07/22 3750 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	11/07/2022						
CUSIP:	@3,750.00	11/07/2022	2.00	Sell	501.24	578.76	77.52
PUT SPXW 11/10/22 3840 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	11/10/2022						
CUSIP:	@3,840.00	11/10/2022	5.00	Sell	953.09	197.36	-755.73
CALL SPXW 11/09/22 3840 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT AVERAGE PRICE TRADE DETAILS AVAILABLE UPON REQUEST	11/09/2022						
CUSIP:	@3,840.00	11/09/2022	5.00	Sell	1,203.09	402.36	-800.73
CALL XSP 11/09/22 380 CBOE MINI S&P 500 INDEX CLOSING CONTRACT	11/09/2022						
CUSIP:	@380.00	11/07/2022	2.00	Sell	476.08	495.92	19.84
PUT SPXW 11/09/22 3785 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	11/09/2022						
CUSIP:	@3,785.00	11/09/2022	2.00	Sell	1,481.24	1,478.76	-2.48
CALL SPXW 11/18/22 3995 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	11/18/2022						
CUSIP:	@3,995.00	11/18/2022	5.00	Sell	728.09	871.91	143.82

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PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL SPXW 11/16/22 4060 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	11/16/2022						
CUSIP:	@4,060.00	11/15/2022	3.00	Sell	1,741.85	2,278.15	536.30
CALL SPXW 11/17/22 3950 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	11/17/2022						
CUSIP:	@3,950.00	11/17/2022	1.00	Sell	420.62	199.38	-221.24
CALL SPXW 11/16/22 3995 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	11/16/2022						
CUSIP:	@3,995.00	11/16/2022	5.00	Sell	1,103.09	1,246.91	143.82
CALL SPXW 11/16/22 3980 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	11/16/2022						
CUSIP:	@3,980.00	11/16/2022	1.00	Sell	470.62	479.38	8.76
CALL SPXW 11/16/22 3995 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	11/16/2022						
CUSIP:	@3,995.00	11/16/2022	5.00	Sell	1,003.09	1,246.91	243.82
CALL SPXW 11/14/22 4000 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	11/14/2022						
CUSIP:	@4,000.00	11/14/2022	1.00	Sell	480.62	499.38	18.76
CALL SPXW 11/18/22 3990 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	11/18/2022						
CUSIP:	@3,990.00	11/18/2022	1.00	Sell	350.62	219.38	-131.24
PUT SPXW 11/17/22 3875 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	11/17/2022						
CUSIP:	@3,875.00	11/17/2022	1.00	Sell	120.62	119.38	-1.24
CALL SPXW 11/17/22 3950 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	11/17/2022						
CUSIP:	@3,950.00	11/17/2022	2.00	Sell	901.24	878.77	-22.47
CALL SPXW 11/14/22 4000 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	11/14/2022						
CUSIP:	@4,000.00	11/14/2022	2.00	Sell	1,021.24	1,118.76	97.52

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PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL SPXW 11/17/22 3950 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	11/17/2022						
CUSIP:	@3,950.00	11/17/2022	1.00	Sell	520.62	439.38	-81.24
CUSIP:	@3,950.00	11/17/2022	1.00	Sell	400.62	199.38	-201.24
PUT SPXW 11/14/22 3990 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	11/14/2022						
CUSIP:	@3,990.00	11/14/2022	1.00	Sell	420.62	229.38	-191.24
CALL SPXW 11/16/22 4000 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	11/16/2022						
CUSIP:	@4,000.00	11/16/2022	3.00	Sell	451.85	448.14	-3.71
CALL SPXW 11/17/22 3950 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	11/17/2022						
CUSIP:	@3,950.00	11/17/2022	1.00	Sell	250.62	689.38	438.76
CUSIP:	@3,950.00	11/17/2022	2.00	Sell	701.24	918.76	217.52
PUT SPXW 11/14/22 3950 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	11/14/2022						
CUSIP:	@3,950.00	11/14/2022	1.00	Sell	600.62	699.38	98.76
CALL SPXW 11/17/22 3950 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	11/17/2022						
CUSIP:	@3,950.00	11/17/2022	2.00	Sell	1,001.24	1,038.76	37.52
PUT SPXW 10/17/22 3585 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	10/17/2022						
CUSIP:	@3,585.00	10/17/2022	10.00	Sell	1,306.29	794.61	-511.68
CALL SPXW 10/17/22 3740 CBOE S&P 500 CLOSE/EURO INDEX OPTION EXPIRATION - EXPIRED	10/17/2022						
CUSIP:	@3,740.00	10/17/2022	1.00	OptionExpiration	15.54	0.00	-15.54
CALL SPXW 10/20/22 3705 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	10/20/2022						
CUSIP:	@3,705.00	10/20/2022	1.00	Sell	90.54	29.46	-61.08
PUT SPXW 10/18/22 3655 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	10/18/2022						
CUSIP:	@3,655.00	10/18/2022	10.00	Sell	2,306.29	2,593.71	287.42

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PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL SPXW 10/19/22 3780 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	10/19/2022						
CUSIP:	@3,780.00	10/19/2022	5.00	Sell	1,153.15	172.30	-980.85
PUT SPXW 10/17/22 3600 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	10/17/2022						
CUSIP:	@3,600.00	10/17/2022	5.00	Sell	553.15	646.85	93.70
CALL SPXW 10/19/22 3720 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	10/19/2022						
CUSIP:	@3,720.00	10/19/2022	3.00	Sell	451.89	478.11	26.22
PUT SPXW 11/04/22 3750 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	11/04/2022						
CUSIP:	@3,750.00	11/04/2022	1.00	Sell	600.62	519.38	-81.24
PUT SPXW 11/03/22 3665 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	11/03/2022						
CUSIP:	@3,665.00	11/03/2022	1.00	Sell	100.62	99.38	-1.24
PUT SPXW 11/02/22 3785 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	11/02/2022						
CUSIP:	@3,785.00	11/02/2022	1.00	Sell	350.62	249.38	-101.24
PUT SPXW 11/04/22 3750 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	11/04/2022						
CUSIP:	@3,750.00	11/04/2022	1.00	Sell	400.62	519.38	118.76
CALL SPXW 11/02/22 3945 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	11/02/2022						
CUSIP:	@3,945.00	11/02/2022	1.00	Sell	300.62	389.38	88.76
CALL SPXW 10/31/22 3930 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	10/31/2022						
CUSIP:	@3,930.00	10/31/2022	3.00	Sell	481.89	73.38	-408.51
PUT SPXW 11/03/22 3680 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	11/03/2022						
CUSIP:	@3,680.00	11/03/2022	1.00	Sell	570.62	579.38	8.76
CALL SPXW 10/31/22 3910 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	10/31/2022						
CUSIP:	@3,910.00	10/31/2022	1.00	Sell	450.63	459.37	8.74

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PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
PUT SPXW 11/03/22 3650 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	11/03/2022						
CUSIP:	@3,650.00	11/03/2022	5.00	Sell	352.64	746.91	394.27
CALL SPXW 11/03/22 3830 CBOE S&P 500 CLOSE/EURO INDEX OPTION EXPIRATION - EXPIRED	11/03/2022						
CUSIP:	@3,830.00	11/03/2022	1.00	OptionExpiration	10.53	0.00	-10.53
PUT SPXW 11/23/22 3950 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	11/23/2022						
CUSIP:	@3,950.00	11/23/2022	2.00	Sell	141.06	8.94	-132.12
PUT SPXW 11/25/22 4020 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	11/25/2022						
CUSIP:	@4,020.00	11/25/2022	1.00	Sell	560.62	279.38	-281.24
CALL SPXW 11/21/22 3950 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	11/21/2022						
CUSIP:	@3,950.00	11/21/2022	1.00	Sell	640.62	689.38	48.76
PUT SPXW 11/23/22 4000 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	11/23/2022						
CUSIP:	@4,000.00	11/23/2022	1.00	Sell	370.62	1,179.38	808.76
CALL SPXW 11/23/22 4055 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	11/23/2022						
CUSIP:	@4,055.00	11/23/2022	1.00	Sell	80.53	204.38	123.85
PUT SPXW 10/24/22 3745 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	10/24/2022						
CUSIP:	@3,745.00	10/24/2022	1.00	Sell	400.63	369.37	-31.26
CALL SPXW 11/23/22 4035 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	11/23/2022						
CUSIP:	@4,035.00	11/23/2022	2.00	Sell	1,061.24	1,118.76	57.52
CALL SPXW 11/21/22 3950 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	11/21/2022						
CUSIP:	@3,950.00	11/21/2022	2.00	Sell	801.24	1,218.76	417.52
PUT SPXW 11/25/22 4020 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	11/25/2022						
CUSIP:	@4,020.00	11/25/2022	1.00	Sell	560.62	579.38	18.76

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PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
PUT SPXW 11/23/22 4010 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	11/23/2022						
CUSIP:	@4,010.00	11/23/2022	1.00	Sell	280.62	289.38	8.76
CALL SPXW 11/25/22 4035 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	11/25/2022						
CUSIP:	@4,035.00	11/25/2022	10.00	Sell	4,206.18	4,593.82	387.64
CALL SPXW 11/21/22 3970 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	11/21/2022						
CUSIP:	@3,970.00	11/21/2022	1.00	Sell	450.62	459.38	8.76
CALL SPXW 10/24/22 3825 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	10/24/2022						
CUSIP:	@3,825.00	10/24/2022	3.00	Sell	511.89	133.38	-378.51
PUT SPXW 11/21/22 3915 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	11/21/2022						
CUSIP:	@3,915.00	11/21/2022	1.00	Sell	160.62	169.38	8.76
CALL SPXW 10/28/22 3920 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	10/28/2022						
CUSIP:	@3,920.00	10/28/2022	10.00	Sell	455.40	594.61	139.21
PUT SPXW 10/25/22 3800 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	10/25/2022						
CUSIP:	@3,800.00	10/25/2022	1.00	Sell	350.63	359.37	8.74
PUT SPXW 11/23/22 4015 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	11/23/2022						
CUSIP:	@4,015.00	11/23/2022	1.00	Sell	350.62	449.38	98.76
CALL SPXW 11/23/22 4050 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	11/23/2022						
CUSIP:	@4,050.00	11/23/2022	3.00	Sell	391.86	493.15	101.29
CALL SPXW 11/23/22 4040 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	11/23/2022						
CUSIP:	@4,040.00	11/23/2022	1.00	Sell	150.62	234.38	83.76
CALL XSP 11/03/22 391 CBOE MINI S&P 500 INDEX OPTION EXPIRATION - EXPIRED							
	11/03/2022						
CUSIP:	@391.00	11/03/2022	2.00	OptionExpiration	66.08	0.00	-66.08

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PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL SPXW 10/27/22 3850 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	10/27/2022						
CUSIP:	@3,850.00	10/27/2022	3.00	Sell	151.62	148.38	-3.24
PUT SPXW 11/02/22 3755 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	11/02/2022						
CUSIP:	@3,755.00	11/02/2022	3.00	Sell	421.85	448.15	26.30
CALL SPXW 10/26/22 3930 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	10/26/2022						
CUSIP:	@3,930.00	10/26/2022	1.00	Sell	15.54	19.46	3.92
CALL SPXW 11/02/22 3945 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	11/02/2022						
CUSIP:	@3,945.00	11/02/2022	1.00	Sell	360.62	389.38	28.76
CALL SPXW 10/28/22 3925 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	10/28/2022						
CUSIP:	@3,925.00	10/28/2022	1.00	Sell	15.54	24.46	8.92
CALL SPXW 11/01/22 3875 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	11/01/2022						
CUSIP:	@3,875.00	11/01/2022	5.00	Sell	1,353.09	1,396.91	43.82
PUT SPXW 11/02/22 3800 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	11/02/2022						
CUSIP:	@3,800.00	11/02/2022	1.00	Sell	260.62	369.38	108.76
PUT SPXW 11/03/22 3655 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	11/03/2022						
CUSIP:	@3,655.00	11/03/2022	2.00	Sell	201.24	238.76	37.52
CALL SPXW 12/05/22 4065 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	12/05/2022						
CUSIP:	@4,065.00	12/05/2022	2.00	Sell	341.24	28.94	-312.30
PUT SPXW 12/02/22 3995 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	12/02/2022						
CUSIP:	@3,995.00	12/02/2022	1.00	Sell	100.61	179.38	78.77
PUT SPXW 12/02/22 4020 CBOE S&P 500 CLOSE/EURO INDEX OPTION EXPIRATION - EXPIRED	12/02/2022						
CUSIP:	@4,020.00	12/02/2022	1.00	OptionExpiration	700.62	0.00	-700.62

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Apex Clearing

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PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL SPXW 10/12/22 3645 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	10/12/2022						
CUSIP:	@3,645.00	10/12/2022	1.00	Sell	70.54	89.46	18.92
PUT SPXW 12/08/22 3945 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	12/08/2022						
CUSIP:	@3,945.00	12/08/2022	1.00	Sell	450.62	59.47	-391.15
PUT SPXW 12/07/22 3900 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	12/07/2022						
CUSIP:	@3,900.00	12/07/2022	1.00	Sell	170.62	249.38	78.76
CALL SPXW 12/07/22 3965 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	12/07/2022						
CUSIP:	@3,965.00	12/07/2022	1.00	Sell	180.62	189.38	8.76
PUT SPXW 12/09/22 3900 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT AVERAGE PRICE TRADE DETAILS AVAILABLE UPON REQUEST	12/09/2022						
CUSIP:	@3,900.00	12/09/2022	2.00	Sell	101.06	258.76	157.70
CALL SPXW 12/09/22 4020 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	12/09/2022						
CUSIP:	@4,020.00	12/09/2022	4.00	Sell	202.11	37.89	-164.22
PUT SPXW 12/09/22 3955 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	12/09/2022						
CUSIP:	@3,955.00	12/09/2022	1.00	Sell	250.62	259.38	8.76
CALL SPXW 12/07/22 4000 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	12/07/2022						
CUSIP:	@4,000.00	12/07/2022	1.00	Sell	190.62	69.47	-121.15
CALL SPXW 12/07/22 3965 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	12/07/2022						
CUSIP:	@3,965.00	12/07/2022	1.00	Sell	160.62	169.38	8.76
PUT SPXW 12/07/22 3900 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	12/07/2022						
CUSIP:	@3,900.00	12/07/2022	1.00	Sell	290.62	529.38	238.76
CALL SPXW 12/06/22 4030 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	12/06/2022						
CUSIP:	@4,030.00	12/06/2022	1.00	Sell	200.62	74.47	-126.15

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PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
PUT SPXW 12/09/22 3955 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	12/09/2022						
CUSIP:	@3,955.00	12/09/2022	1.00	Sell	300.62	299.38	-1.24
CALL SPXW 12/08/22 3980 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	12/08/2022						
CUSIP:	@3,980.00	12/08/2022	1.00	Sell	450.62	184.38	-266.24
PUT SPXW 12/09/22 3945 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	12/09/2022						
CUSIP:	@3,945.00	12/09/2022	1.00	Sell	170.62	199.38	28.76
PUT SPXW 12/15/22 3855 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	12/15/2022						
CUSIP:	@3,855.00	12/15/2022	2.00	Sell	341.24	418.76	77.52
CALL SPXW 11/30/22 4035 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	11/30/2022						
CUSIP:	@4,035.00	11/30/2022	5.00	Sell	753.09	996.91	243.82
PUT SPXW 12/08/22 3900 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	12/08/2022						
CUSIP:	@3,900.00	12/08/2022	1.00	Sell	150.62	104.38	-46.24
CALL SPXW 12/08/22 3990 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	12/08/2022						
CUSIP:	@3,990.00	12/08/2022	2.00	Sell	161.06	148.94	-12.12
PUT SPXW 12/08/22 3935 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	12/08/2022						
CUSIP:	@3,935.00	12/08/2022	3.00	Sell	481.85	478.14	-3.71
PUT SPXW 12/07/22 3930 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	12/07/2022						
CUSIP:	@3,930.00	12/07/2022	1.00	Sell	500.62	1,119.38	618.76
CALL SPXW 12/09/22 4020 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	12/09/2022						
CUSIP:	@4,020.00	12/09/2022	2.00	Sell	121.06	18.94	-102.12
PUT SPXW 12/07/22 3900 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	12/07/2022						
CUSIP:	@3,900.00	12/07/2022	1.00	Sell	260.62	259.38	-1.24

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PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL SPXW 12/07/22 3975 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	12/07/2022						
CUSIP:	@3,975.00	12/07/2022	1.00	Sell	430.62	99.38	-331.24
PUT SPXW 12/14/22 3995 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	12/14/2022						
CUSIP:	@3,995.00	12/14/2022	2.00	Sell	21.06	38.95	17.89
CALL SPXW 12/13/22 4115 CBOE S&P 500 CLOSE/EURO INDEX OPTION EXPIRATION - EXPIRED	12/13/2022						
CUSIP:	@4,115.00	12/13/2022	1.00	OptionExpiration	830.62	0.00	-830.62
CALL SPXW 12/13/22 4180 CBOE S&P 500 CLOSE/EURO INDEX OPTION EXPIRATION - EXPIRED	12/13/2022						
CUSIP:	@4,180.00	12/13/2022	1.00	OptionExpiration	65.53	0.00	-65.53
CALL SPXW 12/13/22 4175 CBOE S&P 500 CLOSE/EURO INDEX OPTION EXPIRATION - EXPIRED	12/13/2022						
CUSIP:	@4,175.00	12/13/2022	3.00	OptionExpiration	271.58	0.00	-271.58
CALL SPXW 12/15/22 3960 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	12/15/2022						
CUSIP:	@3,960.00	12/15/2022	3.00	Sell	391.85	28.42	-363.43
CALL SPXW 12/14/22 4100 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	12/14/2022						
CUSIP:	@4,100.00	12/14/2022	1.00	Sell	150.62	69.47	-81.15
PUT SPXW 12/14/22 3995 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	12/14/2022						
CUSIP:	@3,995.00	12/14/2022	1.00	Sell	20.53	19.47	-1.06
CALL SPXW 12/12/22 4010 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	12/12/2022						
CUSIP:	@4,010.00	12/12/2022	1.00	Sell	220.62	19.47	-201.15
PUT SPXW 12/14/22 3900 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	12/14/2022						
CUSIP:	@3,900.00	12/14/2022	1.00	Sell	100.62	214.38	113.76
PUT SPXW 12/15/22 3865 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	12/15/2022						
CUSIP:	@3,865.00	12/15/2022	2.00	Sell	281.24	408.76	127.52

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Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
PUT XSP 12/20/22 381 CBOE MINI S&P 500 INDEX CLOSING CONTRACT	12/20/2022						
CUSIP:	@381.00	12/20/2022	1.00	Sell	13.04	16.96	3.92
CALL SPXW 12/20/22 3875 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	12/20/2022						
CUSIP:	@3,875.00	12/20/2022	1.00	Sell	65.53	14.47	-51.06
PUT SPXW 12/20/22 3800 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	12/20/2022						
CUSIP:	@3,800.00	12/20/2022	1.00	Sell	200.62	59.47	-141.15
CALL SPXW 12/20/22 3860 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	12/20/2022						
CUSIP:	@3,860.00	12/20/2022	1.00	Sell	200.62	49.47	-151.15
CALL SPXW 12/15/22 3950 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	12/15/2022						
CUSIP:	@3,950.00	12/15/2022	1.00	Sell	330.62	349.38	18.76
CALL SPXW 12/16/22 4100 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	12/16/2022						
CUSIP:	@4,100.00	12/15/2022	2.00	Sell	1,001.24	18.94	-982.30
CALL SPXW 12/16/22 3870 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	12/16/2022						
CUSIP:	@3,870.00	12/16/2022	1.00	Sell	80.53	89.47	8.94
CALL SPXW 12/16/22 3880 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	12/16/2022						
CUSIP:	@3,880.00	12/16/2022	1.00	Sell	300.62	99.38	-201.24
	12/16/2022						
CUSIP:	@3,880.00	12/16/2022	1.00	Sell	350.62	99.38	-251.24
CALL SPXW 12/16/22 3865 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	12/16/2022						
CUSIP:	@3,865.00	12/16/2022	2.00	Sell	221.24	248.76	27.52
CALL SPXW 12/19/22 3855 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	12/19/2022						
CUSIP:	@3,855.00	12/19/2022	1.00	Sell	100.62	49.47	-51.15

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PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL SPXW 12/21/22 3900 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	12/21/2022						
CUSIP:	@3,900.00	12/20/2022	1.00	Sell	180.62	79.47	-101.15
PUT SPXW 12/20/22 3780 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	12/20/2022						
CUSIP:	@3,780.00	12/20/2022	1.00	Sell	180.62	209.38	28.76
PUT SPXW 12/19/22 3810 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	12/19/2022						
CUSIP:	@3,810.00	12/19/2022	1.00	Sell	270.62	389.38	118.76
PUT SPXW 12/19/22 3810 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	12/19/2022						
CUSIP:	@3,810.00	12/19/2022	1.00	Sell	260.62	269.38	8.76
PUT SPXW 12/20/22 3800 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	12/20/2022						
CUSIP:	@3,800.00	12/20/2022	1.00	Sell	295.62	689.38	393.76
CALL SPXW 12/19/22 3855 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	12/19/2022						
CUSIP:	@3,855.00	12/19/2022	1.00	Sell	160.62	159.38	-1.24
CALL SPXW 12/21/22 3880 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	12/21/2022						
CUSIP:	@3,880.00	12/21/2022	1.00	Sell	320.62	379.38	58.76
PUT SPXW 12/21/22 3850 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	12/21/2022						
CUSIP:	@3,850.00	12/21/2022	1.00	Sell	280.62	139.38	-141.24
CALL SPXW 12/21/22 3880 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	12/21/2022						
CUSIP:	@3,880.00	12/21/2022	1.00	Sell	275.62	294.38	18.76
PUT SPXW 12/22/22 3780 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	12/22/2022						
CUSIP:	@3,780.00	12/22/2022	2.00	Sell	281.24	458.76	177.52
PUT SPXW 12/22/22 3790 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	12/22/2022						
CUSIP:	@3,790.00	12/22/2022	1.00	Sell	270.62	429.38	158.76

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PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
PUT SPXW 12/23/22 3830 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	12/23/2022						
CUSIP:	@3,830.00	12/23/2022	1.00	Sell	380.62	409.38	28.76
PUT SPXW 12/23/22 3780 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	12/23/2022						
CUSIP:	@3,780.00	12/23/2022	1.00	Sell	150.62	19.47	-131.15
CALL SPXW 12/23/22 3900 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	12/23/2022						
CUSIP:	@3,900.00	12/23/2022	1.00	Sell	55.53	24.47	-31.06
PUT SPXW 12/23/22 3835 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	12/23/2022						
CUSIP:	@3,835.00	12/23/2022	1.00	Sell	45.53	179.38	133.85
	12/23/2022						
CUSIP:	@3,835.00	12/23/2022	1.00	Sell	200.62	349.38	148.76
CALL SPXW 12/23/22 3900 CBOE S&P 500 CLOSE/EURO INDEX OPTION EXPIRATION - EXPIRED							
	12/23/2022						
CUSIP:	@3,900.00	12/23/2022	5.00	OptionExpiration	52.64	0.00	-52.64
CALL SPXW 10/12/22 3625 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	10/12/2022						
CUSIP:	@3,625.00	10/12/2022	1.00	Sell	275.63	289.37	13.74
CALL SPXW 10/12/22 3635 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	10/12/2022						
CUSIP:	@3,635.00	10/12/2022	2.00	Sell	161.08	218.74	57.66
CALL SPXW 10/12/22 3645 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	10/12/2022						
CUSIP:	@3,645.00	10/12/2022	1.00	Sell	70.54	99.37	28.83
CALL SPXW 10/24/22 3825 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	10/24/2022						
CUSIP:	@3,825.00	10/24/2022	8.00	Sell	3,765.03	4,394.97	629.94
	10/24/2022						
CUSIP:	@3,825.00	10/24/2022	2.00	Sell	941.26	1,098.74	157.48

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PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL SPXW 11/09/22 3830 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	11/09/2022						
CUSIP:	@3,830.00	11/09/2022	2.00	Sell	561.24	978.76	417.52
	11/09/2022						
CUSIP:	@3,830.00	11/09/2022	3.00	Sell	841.85	1,348.15	506.30
CALL SPXW 12/22/22 3850 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	12/22/2022						
CUSIP:	@3,850.00	12/22/2022	2.00	Sell	301.23	18.94	-282.29
	12/22/2022						
CUSIP:	@3,850.00	12/22/2022	1.00	Sell	150.62	9.47	-141.15
CALL SPXW 12/28/22 3900 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	12/28/2022						
CUSIP:	@3,900.00	12/28/2022	1.00	Sell	151.17	8.92	-142.25
PUT SPXW 10/31/22 3840 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	10/31/2022						
CUSIP:	@3,840.00	10/31/2022	1.00	Sell	120.63	49.46	-71.17
	10/31/2022						
CUSIP:	@3,840.00	10/31/2022	1.00	Sell	120.63	44.46	-76.17
PUT SPXW 12/19/22 3810 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	12/19/2022						
CUSIP:	@3,810.00	12/19/2022	1.00	Sell	190.62	259.38	68.76
PUT SPXW 12/27/22 3830 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	12/27/2022						
CUSIP:	@3,830.00	12/27/2022	1.00	Sell	61.08	68.92	7.84
CALL SPXW 12/27/22 3835 CBOE S&P 500 CLOSE/EURO INDEX OPTION EXPIRATION - EXPIRED							
	12/27/2022						
CUSIP:	@3,835.00	12/27/2022	1.00	OptionExpiration	41.08	0.00	-41.08
CALL SPXW 12/27/22 3850 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	12/27/2022						
CUSIP:	@3,850.00	12/27/2022	1.00	Sell	291.17	308.83	17.66
PUT SPXW 12/28/22 3800 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	12/28/2022						
CUSIP:	@3,800.00	12/28/2022	1.00	Sell	141.17	168.83	27.66

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

Apex Clearing

Regulated Futures Contracts & Section 1256 Options

2022

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(continued)

02/08/2023

PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
PUT SPXW 12/28/22 3815 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	12/28/2022						
CUSIP:	@3,815.00	12/28/2022	1.00	Sell	241.17	338.83	97.66
PUT SPXW 12/27/22 3800 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	12/27/2022						
CUSIP:	@3,800.00	12/27/2022	1.00	Sell	81.08	83.92	2.84
CALL SPXW 12/28/22 3900 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	12/28/2022						
CUSIP:	@3,900.00	12/28/2022	1.00	Sell	201.17	8.92	-192.25
PUT SPXW 12/30/22 3780 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	12/30/2022						
CUSIP:	@3,780.00	12/30/2022	1.00	Sell	321.17	388.83	67.66
PUT SPXW 12/29/22 3820 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	12/29/2022						
CUSIP:	@3,820.00	12/29/2022	1.00	Sell	121.17	63.92	-57.25
PUT SPXW 12/30/22 3800 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	12/30/2022						
CUSIP:	@3,800.00	12/30/2022	1.00	Sell	171.17	203.83	32.66
CALL SPXW 12/29/22 3875 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	12/29/2022						
CUSIP:	@3,875.00	12/29/2022	1.00	Sell	121.17	53.92	-67.25
PUT SPXW 12/30/22 3795 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	12/30/2022						
CUSIP:	@3,795.00	12/30/2022	1.00	Sell	331.17	348.83	17.66
CALL SPXW 12/29/22 3860 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	12/29/2022						
CUSIP:	@3,860.00	12/29/2022	1.00	Sell	281.17	328.83	47.66
PUT SPXW 12/30/22 3800 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	12/30/2022						
CUSIP:	@3,800.00	12/30/2022	1.00	Sell	401.17	428.83	27.66
PUT SPXW 12/29/22 3820 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	12/29/2022						
CUSIP:	@3,820.00	12/29/2022	1.00	Sell	421.17	448.83	27.66

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Apex Clearing

Regulated Futures Contracts & Section 1256 Options

2022

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(continued)

02/08/2023

PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
PUT SPXW 12/30/22 3820 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	12/30/2022						
CUSIP:	@3,820.00	12/30/2022	1.00	Sell	16.08	13.92	-2.16
CALL SPXW 12/30/22 3830 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	12/30/2022						
CUSIP:	@3,830.00	12/30/2022	1.00	Sell	141.17	178.83	37.66
CALL SPXW 09/26/22 3750 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	09/26/2022						
CUSIP:	@3,750.00	09/26/2022	4.00	Sell	1,522.52	57.84	-1,464.68
	09/26/2022						
CUSIP:	@3,750.00	09/26/2022	1.00	Sell	380.63	99.37	-281.26
CALL SPXW 11/16/22 4060 CBOE S&P 500 CLOSE/EURO INDEX OPTION EXPIRATION - EXPIRED							
	11/16/2022						
CUSIP:	@4,060.00	11/16/2022	1.00	OptionExpiration	580.62	0.00	-580.62
CALL SPXW 10/12/22 3635 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	10/12/2022						
CUSIP:	@3,635.00	10/12/2022	2.00	Sell	161.08	98.92	-62.16
PUT SPXW 11/03/22 3650 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	11/03/2022						
CUSIP:	@3,650.00	11/03/2022	2.00	Sell	121.05	18.94	-102.11
	11/03/2022						
CUSIP:	@3,650.00	11/03/2022	1.00	Sell	60.53	64.47	3.94
CALL SPXW 11/08/22 3850 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	11/08/2022						
CUSIP:	@3,850.00	11/08/2022	1.00	Sell	280.62	569.38	288.76
	11/08/2022						
CUSIP:	@3,850.00	11/08/2022	5.00	Sell	1,403.09	1,646.91	243.82
PUT SPXW 12/08/22 3935 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	12/08/2022						
CUSIP:	@3,935.00	12/08/2022	1.00	Sell	195.62	19.47	-176.15
	12/08/2022						
CUSIP:	@3,935.00	12/08/2022	2.00	Sell	391.24	38.94	-352.30

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Regulated Futures Contracts & Section 1256 Options

2022

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(continued)

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PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
PUT SPXW 10/18/22 3665 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	10/18/2022						
CUSIP:	@3,665.00	10/18/2022	2.00	Sell	501.26	48.92	-452.34
	10/18/2022						
CUSIP:	@3,665.00	10/18/2022	3.00	Sell	751.89	118.38	-633.51
CALL SPXW 10/21/22 3735 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	10/21/2022						
CUSIP:	@3,735.00	10/21/2022	2.00	Sell	701.26	1,498.74	797.48
	10/21/2022						
CUSIP:	@3,735.00	10/21/2022	1.00	Sell	350.63	639.37	288.74
PUT SPXW 12/02/22 3995 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	12/02/2022						
CUSIP:	@3,995.00	12/02/2022	1.00	Sell	100.62	169.38	68.76
	12/02/2022						
CUSIP:	@3,995.00	12/02/2022	1.00	Sell	100.62	159.38	58.76
CALL SPXW 11/16/22 4060 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	11/16/2022						
CUSIP:	@4,060.00	11/15/2022	1.00	Sell	580.62	859.38	278.76
Short-Term Profit/(Loss) Total: 40%							-147.45
Long-Term Profit/(Loss) Total: 60%							-221.18
Total:							-368.63

Aggregate Short-Term Profit or (Loss): 40%

-147.45

Aggregate Long-Term Profit or (Loss): 60%

-221.18

11 - Aggregate Profit or (Loss) on Contracts**-368.63**

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Interest Income - Details of Form 1099-INT

2022

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This section of the information statement provides the payment-level details for interest that is reported in aggregate on Form 1099-INT. Market discount is provided only if you have made an election to recognize market discount on a current basis. Any such market discount and bond premium are reported on Form 1099-INT for covered lots, as detailed below. For noncovered lots, bond premium and market discount are shown below only for your information and are not reported on Form 1099-INT.

Interest Income

Description | CUSIP | Symbol

Date	Interest Income (Box 1)	Investment Expenses (Box 5)	Foreign Tax paid (Box 6)	Foreign Country or US Possession (Box 7)	Market Discount (Box10)	Market Discount (NOT reported to IRS)	Bond Premium (Box 11)	Bond premium (Not reported to IRS)
FULLYPAID LENDING REBATE CUSIP: *8S87337 Symbol: #8S87337								
2022-01-14	5.16	0.00	0.00		0.00	0.00	0.00	0.00
2022-02-11	6.40	0.00	0.00		0.00	0.00	0.00	0.00
2022-03-11	2.38	0.00	0.00		0.00	0.00	0.00	0.00
2022-04-14	4.05	0.00	0.00		0.00	0.00	0.00	0.00
2022-05-13	3.31	0.00	0.00		0.00	0.00	0.00	0.00
2022-06-14	1.27	0.00	0.00		0.00	0.00	0.00	0.00
2022-07-14	3.90	0.00	0.00		0.00	0.00	0.00	0.00
2022-08-12	1.28	0.00	0.00		0.00	0.00	0.00	0.00
2022-09-14	1.21	0.00	0.00		0.00	0.00	0.00	0.00
2022-10-13	0.09	0.00	0.00		0.00	0.00	0.00	0.00
2022-11-11	2.38	0.00	0.00		0.00	0.00	0.00	0.00
2022-12-13	5.42	0.00	0.00		0.00	0.00	0.00	0.00
Security Totals:	36.85	0.00	0.00		0.00	0.00	0.00	0.00
Totals:	36.85	0.00	0.00		0.00	0.00	0.00	0.00

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Miscellaneous Income - Details of Form 1099-MISC

2022

5MU74390

02/08/2023

This section of the information statement provides payment-level details for royalty distributions and substitute payments in lieu of dividends and interest that are reported in aggregate on Form 1099-MISC. Links to tax information booklets for royalty trusts relevant to your account, if any, are provided at the end of this information statement.

Description | CUSIP | Symbol

Date	Royalties (Box 2)	Other Income (Box 3)	Substitute Payments in Lieu of Dividends or Interest (Box 8)
INVESCO MORTGAGE CAPITAL INC COMMON STOCK CUSIP: 46131B704 Symbol: IVR			
2022-07-27	0.00	0.00	9.00
UWM HOLDINGS CORPORATION CLASS A COMMON STOCK CUSIP: 91823B109 Symbol: UWMC			
2022-01-06	0.00	0.00	8.50
2022-04-11	0.00	0.00	8.50
2022-07-11	0.00	0.00	8.50
Totals:	0.00	0.00	34.50

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (SSN, ITIN, ATIN, or EIN). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) (with a Form 1096) to the IRS for each of the other owners to show their share of the income, and you must furnish the respective Copy B Form(s) to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2022 General Instructions for Certain Information Returns.

FreeFile. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

Future developments. For the latest information about developments related to forms and instructions, such as legislation enacted after they were published, go to www.irs.gov/forms-instructions.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Box 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

Box 1b. Shows the portion of the amount in box 1a that may be eligible for reduced capital gains rates. See the Instructions for Form 1040 for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Box 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in boxes 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in box 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Form 1040.

Box 2b. Shows the portion of the amount in box 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

Box 2c. Shows the portion of the amount in box 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

Box 2d. Shows the portion of the amount in box 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

Box 2e. Shows the portion of the amount in box 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

Box 2f. Shows the portion of the amount in box 2a that is section 897 gain attributable to disposition of USRPI. Note: Boxes 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

Box 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Box 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5. Shows the portion of the amount in box 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Box 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in box 1a.

Box 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Form 1040.

Box 8. This box should be left blank if a RIC reported the foreign tax shown in box 7.

Boxes 9 and 10. Show cash and noncash liquidation distributions.

Box 11. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You may also have a filing requirement. See the Instructions for Form 8938.

Box 12. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Form 1040 for where to report. This amount may be subject to backup withholding. See Box 4 above.

Box 13. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in box 12. See the Instructions for Form 6251.

Boxes 14-16. State income tax withheld reporting boxes.

1099-INT Instructions for Recipient

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer must generally report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

Box 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in box 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during the calendar year on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

Box 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Form 1040 to see where to take the deduction.

Box 3. Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in box 1. See the instructions above for a taxable covered security acquired at a premium.

Box 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Box 5. Any amount shown is your share of investment expenses of a single-class REMIC. This amount is included in box 1. Note: This amount is not deductible.

Box 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

Box 7. Shows the country or U.S. possession to which the foreign tax was paid.

Box 8. Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Form 1040. This amount may be subject to backup withholding. See Box 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

Box 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in box 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

Box 10. For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Form 1040. Market discount on a tax-exempt security is includible in taxable income as interest income.

Box 11. For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this box for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 1. If the amount in box 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

Box 12. For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this box for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 3. If the amount in box 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

Box 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this box, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this box for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in box 8 or 9, whichever is applicable. If the amount in box 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

Box 14. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s).

Boxes 15-17. State tax withheld reporting boxes.

1099-B Instructions to Recipients

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040) and/or Form 8949.

Box 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Box 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

- Box 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.
- Box 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040).
- Box 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040), or Pub. 550 for details.
- Box 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank. Box 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.
- Box 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method is generally treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.
- Box 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).
- Box 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.
- Box 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.
- Box 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "Net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.
- Box 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040) instructions. The broker should advise you of any losses on a separate statement.
- Regulated Futures Contracts, Foreign Currency Contracts, and Section 1256 Option Contracts (Boxes 8 Through 11)**
- Box 8.** Shows the profit or (loss) realized on regulated futures, foreign currency, or Section 1256 option contracts closed during 2022.
- Box 9.** Shows any year-end adjustment to the profit or (loss) shown in box 8 due to open contracts on December 31, 2021.
- Box 10.** Shows the unrealized profit or (loss) on open contracts held in your account on December 31, 2022. These are considered closed out as of that date. This will become an adjustment reported as unrealized profit or (loss) on open contracts—12/31/2022 in 2023.
- Box 11.** Boxes 8, 9, and 10 are all used to figure the aggregate profit or (loss) on regulated futures, foreign currency, or Section 1256 option contracts for the year. Include this amount on your 2022 Form 6781.
- Box 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040) as you may be able to report your transaction directly on Schedule D (Form 1040). If the "Ordinary" box in box 2 is checked, an adjustment may be required.
- Box 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.
- Boxes 14–16.** Show state(s)/local income tax information.

1099-MISC Instructions to Recipients

- Amounts shown may be subject to self-employment (SE) tax.** Individuals should see the Instructions for Schedule SE (Form 1040). Corporations, fiduciaries, or partnerships must report the amounts on the appropriate line of their tax returns.
- Form 1099-MISC incorrect?** If this form is incorrect or has been issued in error, contact the payer. If you cannot get this form corrected, attach an explanation to your tax return and report your information correctly.
- Box 1.** Report rents from real estate on Schedule E (Form 1040). However, report rents on Schedule C (Form 1040) if you provided significant services to the tenant, sold real estate as a business, or rented personal property as a business. See Pub. 527.
- Box 2.** Report royalties from oil, gas, or mineral properties; copyrights; and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the Schedule E (Form 1040) instructions. For royalties on timber, coal, and iron ore, see Pub. 544.
- Box 3.** Generally, report this amount on the "Other income" line of Schedule 1 (Form 1040) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).
- Box 4.** Shows backup withholding or withholding on Indian gaming profits. Generally, a payer must backup withhold if you did not furnish your TIN. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.
- Box 5.** Shows the amount paid to you as a fishing boat crew member by the operator, who considers you to be self-employed. Self-employed individuals must report this amount on Schedule C (Form 1040). See Pub. 334.
- Box 6.** For individuals, report on Schedule C (Form 1040).
- Box 7.** If checked, consumer products totaling \$5,000 or more were sold to you for resale, on a buy-sell, a deposit-commission, or other basis. Generally, report any income from your sale of these products on Schedule C (Form 1040).
- Box 8.** Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040).
- Box 9.** Report this amount on Schedule F (Form 1040).
- Box 10.** Shows gross proceeds paid to an attorney in connection with legal services. Report only the taxable part as income on your return.
- Box 11.** Shows the amount of cash you received for the sale of fish if you are in the trade or business of catching fish.
- Box 12.** May show current year deferrals as a nonemployee under a nonqualified deferred compensation (NQDC) plan that is subject to the requirements of section 409A plus any earnings on current and prior year deferrals.
- Box 13.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You may also have a filing requirement. See the Instructions for Form 8938.
- Box 14.** Shows your total compensation of excess golden parachute payments subject to a 20% excise tax. See your tax return instructions for where to report.
- Box 15.** Shows income as a nonemployee under an NQDC plan that does not meet the requirements of section 409A. Any amount included in box 12 that is currently taxable is also included in this box. Report this amount as income on your tax return. This income is also subject to a substantial additional tax to be reported on Form 1040, 1040-SR, or 1040-NR. See the instructions for your tax return.
- Boxes 16–18.** Show state or local income tax withheld from the payments.

1099-OID Instructions to Recipients

Original issue discount (OID) is the excess of an obligation's stated redemption price at maturity over its issue price (acquisition price for a stripped bond or coupon). OID on a taxable obligation is taxable as interest over the life of the obligation. If you are the holder of a taxable OID obligation, you generally must include an amount of OID in your gross income each year you hold the obligation. Obligations that may have OID include a bond, debenture, note, certificate, or other evidence of indebtedness having a term of more than 1 year. For example, the OID rules may apply to certificates of deposit (CDs), time deposits, bonus savings plans, and other deposit arrangements, especially if the payment of interest is deferred until maturity. In addition, the OID rules apply to Treasury inflation-protected securities. See Pub. 550 for more information.

If, as the record holder, you receive Form 1099-OID showing amounts belonging to another person, you are considered a nominee recipient. Complete a Form 1099-OID for each of the other owners showing the amounts allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner as the "recipient." File Form(s) 1099-OID with Form 1096 with the Internal Revenue Service Center for your area. On Form 1096, list yourself as the "filer." A spouse is not required to file a nominee return to show amounts owned by the other spouse. If you bought or sold an obligation during the year and you are not a nominee, you are not required to issue or file Form 1099-OID showing the OID or stated interest allocable to the seller/buyer of the obligation.

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a covered security acquired with acquisition premium, your payer generally must report either (1) a net amount of OID that reflects the offset of OID by the amount of acquisition premium amortization for the year, or (2) a gross amount for both the OID and the acquisition premium amortization for the year. For a noncovered security acquired with acquisition premium, your payer is only required to report the gross amount of OID.

- Box 1.** Shows the OID on a taxable obligation for the part of the year you owned it. Report the amount in box 1 as interest income on your income tax return. However, depending on the type of debt instrument, the issue or acquisition date, and other factors (for example, if you paid acquisition or bond premium, or the obligation is a stripped bond or coupon), you may have to figure the correct amount of OID to report on your return. See Pub. 1212 for details on how to figure the correct OID. See the instructions above for a covered security acquired with acquisition premium.
- Box 2.** Shows qualified stated interest on this obligation for the year, which is an amount separate from the OID. If you held the obligation the entire year, report this amount as interest income on your tax return. If you disposed of the obligation or acquired it from another holder during the year, see Pub. 550 for reporting instructions. If there is an amount in both boxes 2 and 8, the amount in box 2 is interest on a U.S. Treasury obligation and is exempt from state and local income taxes. If there is an amount in both boxes 2 and 11, the amount in box 2 is tax-exempt interest and is not included in interest income on your tax return. In general, see how to report tax-exempt interest in the Instructions for Forms 1040 and 1040-SR.
- Box 3.** Shows interest or principal forfeited if you withdrew the money before the maturity date of the obligation, such as from a CD. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction.
- Box 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.
- Box 5.** For a covered security acquired with OID, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you. For a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, and issued with OID, shows the market discount that accrued on the obligation during the year while held by you.

For a covered security acquired on or after January 1, 2015, market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). The payer may, but is not required to, report the market discount for a tax-exempt obligation that is a covered security acquired before January 1, 2017. Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includible in taxable income as interest income.

Box 6. For a taxable covered security, shows the amount of acquisition premium amortization for the year that reduces the amount of OID that is included as interest on your income tax return. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040 or 1040-SR). If an amount is not reported in this box for a covered security acquired with acquisition premium, the payer has reported a net amount of OID that reflects the offset of OID that is included in income. If the payer has reported a net amount of OID in box 1 or box 8, you must report the net amount of OID on Schedule B (Form 1040 or 1040-SR) and you must not make an adjustment to this amount for acquisition premium on Schedule B (Form 1040 or 1040-SR). If there is an amount in both boxes 6 and 11, for a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, and is issued with OID, the amount in box 6 shows the amount of acquisition premium amortization for the year that reduces the amount of your tax-exempt OID for the year. The payer may, but is not required to, report the acquisition premium for a tax-exempt obligation that is a covered security acquired before January 1, 2017, and issued with OID. See the instructions above for a covered security acquired with acquisition premium.

Box 7. Shows the identification (CUSIP) number or description of the obligation (may include the stock exchange, issuer, coupon rate, and year of maturity).

Box 8. Shows OID on a U.S. Treasury obligation for the part of the year you owned it. Report this amount as interest income on your federal income tax return and see Pub. 1212 to figure any appropriate adjustments to this amount. This OID is exempt from state and local income taxes and is not included in box 1. See the instructions above for a covered security acquired with acquisition premium. If the number in this box is negative, it represents a deflation adjustment. See Pub. 550 for further information on accounting for this adjustment.

Box 9. Any amount shown is your share of investment expenses of a single-class REMIC. This amount is included in box 2. Note: This amount is not deductible.

Box 10. For a taxable covered security, including a Treasury inflation-protected security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040 or 1040-SR). If an amount is not reported in this box for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 2. If the amount in this box is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4). If there is an amount in both boxes 10 and 11, for a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, and issued with OID, the amount in box 10 shows the amount of premium amortization for the year that reduces the amount of your tax-exempt interest for the year. The payer may, but is not required to, report the premium amortization for a tax-exempt obligation that is a covered security acquired before January 1, 2017, and issued with OID.

Box 11. For a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, shows the tax-exempt OID on the obligation for the part of the year you owned it. The payer may, but is not required to, report the OID for a tax-exempt obligation that is a covered security acquired before January 1, 2017. In general, report the amount of tax-exempt OID on Form 1040 or 1040-SR. See the instructions above for a covered security acquired with acquisition premium.

Boxes 12-14. State income tax withheld reporting.