

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 574032579	Statement Date: 02/14/2021 Document ID: 1825 TOM 5GFJ	2020
	Sai vinay Sayyapureddi 1044 Regent Drive Apt 17 DeKalb, IL 60115 RECIPIENT'S TIN: XXX-XX-5919		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2020 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5) 9.49</p> <p>1b- Qualified dividends 9.49</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d) 0.00</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>3- Nondividend distributions 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 0.00</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: 0.00</p> <p>7- Foreign tax paid: 0.00</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p>MISCELLANEOUS INCOME 2020 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.00</p> <p>SECTION 1256 CONTRACTS 2020 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2020 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2019 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2020 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p>
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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	129,633.59	136,668.05	0.00	762.43	-6,272.03
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	129,633.59	136,668.05	0.00	762.43	-6,272.03
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	129,633.59	136,668.05	0.00	762.43	-6,272.03
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

Robinhood Securities LLC

Account 574032579

Summary Information

2020

(continued)

02/14/2021

INTEREST INCOME

2020 1099-INT

OMB No. 1545-0112

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1- Interest income (not included in line 3)	0.01
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	See detail

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	15.28
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 574032579

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAL 01/15/2021 CALL \$30.00 / CUSIP: / Symbol:							
08/26/20	1.000	36.98	07/16/20	75.00	...	-38.02	Option sale to close-call ²⁵
AAPL 04/03/2020 CALL \$370.00 / CUSIP: / Symbol:							
04/03/20	1.000	0.00	02/24/20	22.00	...	-22.00	Option expiration- long call
AAPL 06/12/2020 CALL \$335.00 / CUSIP: / Symbol:							
06/09/20	1.000	769.97	06/08/20	288.00	...	481.97	Option sale to close-call ²⁵
AAPL 06/12/2020 CALL \$360.00 / CUSIP: / Symbol:							
06/11/20	1.000	79.98	06/10/20	79.00	...	0.98	Option sale to close-call ²⁵
AAPL 07/17/2020 CALL \$370.00 / CUSIP: / Symbol:							
06/16/20	1.000	424.98	06/08/20	60.00	...	364.98	Option sale to close-call ²⁵
07/06/20	1.000	849.97	06/16/20	493.00	...	356.97	Option sale to close-call ²⁵
Security total:		1,274.95		553.00	...	721.95	

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Account 574032579

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 07/17/2020 CALL \$410.00 / CUSIP: / Symbol:							
07/16/20	1.000	14.98	07/14/20	112.00	...	-97.02	Option sale to close-call ²⁵
AAPL 07/17/2020 CALL \$415.00 / CUSIP: / Symbol:							
07/07/20	1.000	53.98	07/06/20	53.00	...	0.98	Option sale to close-call ²⁵
AAPL 07/24/2020 CALL \$395.00 / CUSIP: / Symbol:							
07/23/20	1.000	23.98	07/20/20	460.00	...	-436.02	Option sale to close-call ²⁵
AAPL 08/21/2020 CALL \$490.00 / CUSIP: / Symbol:							
08/17/20	1.000	112.98	08/13/20	199.00	...	-86.02	Option sale to close-call ²⁵
AAPL 08/28/2020 CALL \$570.00 / CUSIP: / Symbol:							
08/26/20	1.000	29.98	08/24/20	289.00	...	-259.02	Option sale to close-call ²⁵
AAPL 09/04/2020 CALL \$133.75 / CUSIP: / Symbol:							
09/01/20	1.000	243.98	08/31/20	132.00	...	111.98	Option sale to close-call ²⁵
AAPL 10/16/2020 CALL \$126.00 / CUSIP: / Symbol:							
<i>2 transactions for 10/16/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	0.99	10/12/20	205.00	...	-204.01	1 of 2 - Option sale to close-call ²⁵
	1.000	0.99	10/15/20	4.00	...	-3.01	2 of 2 - Option sale to close-call ²⁵
10/16/20	2.000	1.98	Various	209.00	...	-207.02	Total of 2 transactions
AAPL 10/16/2020 CALL \$155.00 / CUSIP: / Symbol:							
<i>2 transactions for 09/16/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	21.99	09/02/20	390.00	...	-368.01	1 of 2 - Option sale to close-call ²⁵
	1.000	21.99	09/03/20	234.00	...	-212.01	2 of 2 - Option sale to close-call ²⁵
09/16/20	2.000	43.98	Various	624.00	...	-580.02	Total of 2 transactions
AAPL 12/18/2020 CALL \$140.00 / CUSIP: / Symbol:							
10/15/20	1.000	223.98	09/04/20	779.00	...	-555.02	Option sale to close-call ²⁵
ABT 09/04/2020 CALL \$120.00 / CUSIP: / Symbol:							
08/31/20	1.000	14.98	08/27/20	200.00	...	-185.02	Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

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02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMAT 05/15/2020 CALL \$57.50 / CUSIP: / Symbol:							
2 transactions for 05/14/20. Total proceeds and cost reported to the IRS.							
	1.000	13.99	05/11/20	50.00	...	-36.01	1 of 2 - Option sale to close-call ²⁵
	1.000	13.99	05/12/20	51.00	...	-37.01	2 of 2 - Option sale to close-call ²⁵
05/14/20	2.000	27.98	Various	101.00	...	-73.02	Total of 2 transactions
AMAT 08/21/2020 CALL \$75.00 / CUSIP: / Symbol:							
08/17/20	1.000	4.98	08/14/20	27.00	...	-22.02	Option sale to close-call ²⁵
AMD 01/15/2021 PUT \$45.00 / CUSIP: / Symbol:							
04/09/20	1.000	639.97	03/26/20	887.00	...	-247.03	Option sale to close-put ²⁵
AMD 01/15/2021 PUT \$47.00 / CUSIP: / Symbol:							
04/13/20	1.000	255.96	04/09/20	0.00	...	255.96	Short sale closed- put ²⁵ Option written: 03/26/20
AMD 03/06/2020 CALL \$45.50 / CUSIP: / Symbol:							
03/02/20	1.000	-15.02	02/28/20	0.00	...	-15.02	Short sale closed- call ²⁵ Option written: 02/28/20
AMD 03/06/2020 CALL \$46.00 / CUSIP: / Symbol:							
02/28/20	1.000	233.98	02/28/20	223.00	...	10.98	Option sale to close-call ²⁵
AMD 03/06/2020 PUT \$47.00 / CUSIP: / Symbol:							
03/06/20	1.000	21.98	03/05/20	90.00	...	-68.02	Option sale to close-put ²⁵
AMD 03/06/2020 PUT \$47.50 / CUSIP: / Symbol:							
03/09/20	1.000	61.98	03/06/20	0.00	...	61.98	Short sale closed- put ²⁵ Option written: 03/05/20
AMD 05/08/2020 CALL \$54.00 / CUSIP: / Symbol:							
05/05/20	1.000	73.98	05/04/20	43.00	...	30.98	Option sale to close-call ²⁵
AMD 07/17/2020 CALL \$56.00 / CUSIP: / Symbol:							
07/07/20	1.000	82.98	07/06/20	66.00	...	16.98	Option sale to close-call ²⁵
AMD 07/17/2020 CALL \$60.00 / CUSIP: / Symbol:							
07/13/20	1.000	104.98	07/09/20	103.00	...	1.98	Option sale to close-call ²⁵

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Account 574032579

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMD 08/21/2020 CALL \$83.00 / CUSIP: / Symbol:							
08/21/20	1.000	93.98	08/20/20	77.00	...	16.98	Option sale to close-call ²⁵
AMD 08/28/2020 CALL \$88.00 / CUSIP: / Symbol:							
08/26/20	1.000	63.98	08/25/20	110.00	...	-46.02	Option sale to close-call ²⁵
AMD 09/04/2020 CALL \$88.50 / CUSIP: / Symbol:							
09/01/20	1.000	414.98	08/28/20	152.00	...	262.98	Option sale to close-call ²⁵
AMD 09/25/2020 CALL \$76.00 / CUSIP: / Symbol:							
09/25/20	1.000	182.98	09/24/20	163.00	...	19.98	Option sale to close-call ²⁵
AMD 10/02/2020 CALL \$84.00 / CUSIP: / Symbol:							
09/29/20	1.000	60.98	09/21/20	262.02	...	-201.04	Option sale to close-call ²⁵
09/29/20	1.000	59.98	09/15/20	222.00	162.02 W	0.00	Option sale to close-call ²⁵
Security total:		120.96		484.02	162.02 W	-201.04	
AMD 10/02/2020 CALL \$92.50 / CUSIP: / Symbol:							
09/25/20	1.000	3.98	08/28/20	310.00	...	-306.02	Option sale to close-call ²⁵
AMD 10/16/2020 CALL \$90.00 / CUSIP: / Symbol:							
	<i>2 transactions for 07/21/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	47.98	04/09/20	32.00	...	15.98	1 of 2 - Option sale to close-call ²⁵
	1.000	47.98	05/27/20	32.00	...	15.98	2 of 2 - Option sale to close-call ²⁵
07/21/20	2.000	95.96	Various	64.00	...	31.96	Total of 2 transactions
AXP 06/19/2020 CALL \$110.00 / CUSIP: / Symbol:							
06/17/20	1.000	17.98	06/11/20	91.00	...	-73.02	Option sale to close-call ²⁵
AXP 07/24/2020 CALL \$106.00 / CUSIP: / Symbol:							
07/20/20	1.000	10.98	07/10/20	40.00	...	-29.02	Option sale to close-call ²⁵
BA 01/21/2022 CALL \$560.00 / CUSIP: / Symbol:							
04/23/20	1.000	165.98	03/30/20	141.00	...	24.98	Option sale to close-call ²⁵
BA 06/05/2020 CALL \$205.00 / CUSIP: / Symbol:							
06/05/20	1.000	579.97	06/04/20	80.00	...	499.97	Option sale to close-call ²⁵

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02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BA 06/12/2020 CALL \$200.00 / CUSIP: / Symbol:							
06/12/20	1.000	10.98	06/11/20	200.00	...	-189.02	Option sale to close-call ²⁵
BA 06/12/2020 CALL \$230.00 / CUSIP: / Symbol:							
06/08/20	1.000	1,084.96	06/05/20	755.00	...	329.96	Option sale to close-call ²⁵
06/12/20	1.000	0.00	06/05/20	695.00	...	-695.00	Option expiration- long call
	Security total:	1,084.96		1,450.00	...	-365.04	
BA 07/17/2020 CALL \$200.00 / CUSIP: / Symbol:							
06/03/20	1.000	384.98	05/28/20	264.00	...	120.98	Option sale to close-call ²⁵
BA 07/17/2020 PUT \$152.50 / CUSIP: / Symbol:							
07/07/20	1.000	66.98	07/06/20	66.00	...	0.98	Option sale to close-put ²⁵
BA 08/28/2020 CALL \$180.00 / CUSIP: / Symbol:							
08/27/20	1.000	55.98	08/27/20	419.02	...	-363.04	Option sale to close-call ²⁵
08/27/20	1.000	55.98	08/27/20	200.00	144.02 W	0.00	Option sale to close-call ²⁵
	Security total:	111.96		619.02	144.02 W	-363.04	
BA 08/28/2020 CALL \$185.00 / CUSIP: / Symbol:							
08/26/20	1.000	41.98	08/24/20	110.00	...	-68.02	Option sale to close-call ²⁵
BA 09/04/2020 CALL \$182.50 / CUSIP: / Symbol:							
09/04/20	1.000	0.00	09/03/20	266.00	...	-266.00	Option expiration- long call
BABA 05/29/2020 CALL \$230.00 / CUSIP: / Symbol:							
05/20/20	1.000	189.98	05/13/20	73.00	...	116.98	Option sale to close-call ²⁵
BABA 07/17/2020 CALL \$275.00 / CUSIP: / Symbol:							
07/09/20	1.000	399.98	07/08/20	117.00	...	282.98	Option sale to close-call ²⁵
BABA 08/28/2020 CALL \$300.00 / CUSIP: / Symbol:							
08/26/20	1.000	151.98	08/18/20	65.00	...	86.98	Option sale to close-call ²⁵
BABA 09/18/2020 CALL \$335.00 / CUSIP: / Symbol:							
09/11/20	1.000	8.98	09/02/20	200.00	...	-191.02	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 574032579

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

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02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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BABA 10/02/2020 CALL \$292.50 / CUSIP: / Symbol:							
10/01/20	1.000	180.98	09/30/20	209.00	...	-28.02	Option sale to close-call ²⁵
BABA 10/23/2020 CALL \$325.00 / CUSIP: / Symbol:							
10/20/20	1.000	30.98	10/19/20	252.00	...	-221.02	Option sale to close-call ²⁵
BAC 01/15/2021 CALL \$35.00 / CUSIP: / Symbol:							
04/27/20	1.000	33.98	03/26/20	24.00	...	9.98	Option sale to close-call ²⁵
BAC 12/18/2020 CALL \$35.00 / CUSIP: / Symbol:							
	2 transactions for 05/26/20. Total proceeds and cost reported to the IRS.						
	1.000	29.99	04/16/20	17.00	...	12.99	1 of 2 - Option sale to close-call ²⁵
	1.000	29.99	05/12/20	16.00	...	13.99	2 of 2 - Option sale to close-call ²⁵
05/26/20	2.000	59.98	Various	33.00	...	26.98	Total of 2 transactions
05/27/20	1.000	39.98	05/12/20	16.00	...	23.98	Option sale to close-call ²⁵
	Security total:	99.96		49.00	...	50.96	
BAM 05/15/2020 CALL \$35.00 / CUSIP: / Symbol:							
05/15/20	1.000	0.00	05/12/20	10.00	...	-10.00	Option expiration- long call
BYND 10/02/2020 CALL \$170.00 / CUSIP: / Symbol:							
10/01/20	1.000	186.98	09/30/20	380.00	...	-193.02	Option sale to close-call ²⁵
CAR 02/21/2020 CALL \$55.00 / CUSIP: / Symbol:							
02/20/20	1.000	39.98	02/20/20	20.00	...	19.98	Option sale to close-call ²⁵
CAT 06/05/2020 CALL \$140.00 / CUSIP: / Symbol:							
06/05/20	1.000	6.98	04/27/20	40.00	...	-33.02	Option sale to close-call ²⁵
CHWY 08/28/2020 CALL \$75.00 / CUSIP: / Symbol:							
08/13/20	1.000	11.98	08/03/20	30.00	...	-18.02	Option sale to close-call ²⁵
CHWY 09/18/2020 CALL \$85.00 / CUSIP: / Symbol:							
09/11/20	1.000	2.98	09/04/20	230.00	...	-227.02	Option sale to close-call ²⁵
CHWY 12/31/2020 CALL \$100.00 / CUSIP: / Symbol:							
12/29/20	1.000	27.98	12/29/20	58.00	...	-30.02	Option sale to close-call ²⁵

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COST 10/02/2020 CALL \$400.00 / CUSIP: / Symbol:							
09/25/20	1.000	7.98	09/24/20	82.00	...	-74.02	Option sale to close-call ²⁵
CRM 02/21/2020 CALL \$197.50 / CUSIP: / Symbol:							
02/20/20	1.000	36.98	02/20/20	27.00	...	9.98	Option sale to close-call ²⁵
CRM 02/28/2020 CALL \$217.50 / CUSIP: / Symbol:							
02/28/20	1.000	0.00	02/20/20	25.00	...	-25.00	Option expiration- long call
CRM 08/28/2020 CALL \$305.00 / CUSIP: / Symbol:							
08/26/20	1.000	92.98	08/26/20	200.00	...	-107.02	Option sale to close-call ²⁵
CRWD 09/11/2020 CALL \$175.00 / CUSIP: / Symbol:							
09/09/20	1.000	0.98	09/01/20	152.00	...	-151.02	Option sale to close-call ²⁵
CRWD 10/02/2020 CALL \$145.00 / CUSIP: / Symbol:							
10/01/20	1.000	112.98	10/01/20	211.00	...	-98.02	Option sale to close-call ²⁵
CSCO 05/15/2020 CALL \$47.50 / CUSIP: / Symbol:							
	<i>2 transactions for 05/15/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	0.00	05/11/20	31.00	...	-31.00	1 of 2 - Option expiration- long call
	1.000	0.00	05/11/20	27.00	...	-27.00	2 of 2 - Option expiration- long call
05/15/20	2.000	0.00	Various	58.00	...	-58.00	Total of 2 transactions
D 07/17/2020 PUT \$70.00 / CUSIP: / Symbol:							
07/07/20	1.000	89.98	07/06/20	70.00	...	19.98	Option sale to close-put ²⁵
DAL 07/10/2020 PUT \$25.00 / CUSIP: / Symbol:							
07/10/20	1.000	5.98	07/09/20	28.00	...	-22.02	Option sale to close-put ²⁵
DBX 02/21/2020 CALL \$20.50 / CUSIP: / Symbol:							
02/20/20	1.000	44.98	02/20/20	30.00	...	14.98	Option sale to close-call ²⁵
DBX 02/28/2020 CALL \$25.50 / CUSIP: / Symbol:							
02/28/20	1.000	0.00	02/21/20	20.00	...	-20.00	Option expiration- long call
DBX 05/29/2020 CALL \$22.00 / CUSIP: / Symbol:							
05/26/20	1.000	89.98	05/21/20	80.00	...	9.98	Option sale to close-call ²⁵

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DE 02/28/2020 CALL \$195.00 / CUSIP: / Symbol:							
02/28/20	1.000	0.00	02/21/20	35.00	...	-35.00	Option expiration- long call
DIS 05/15/2020 PUT \$98.00 / CUSIP: / Symbol:							
05/11/20	1.000	9.98	05/07/20	68.00	...	-58.02	Option sale to close-put ²⁵
DKNG 10/09/2020 CALL \$65.00 / CUSIP: / Symbol:							
09/21/20	1.000	79.98	09/16/20	150.00	...	-70.02	Option sale to close-call ²⁵
DOCU 06/05/2020 PUT \$136.00 / CUSIP: / Symbol:							
06/05/20	1.000	26.98	06/03/20	218.00	...	-191.02	Option sale to close-put ²⁵
DOCU 06/05/2020 PUT \$137.00 / CUSIP: / Symbol:							
06/08/20	1.000	186.98	06/05/20	0.00	...	186.98	Short sale closed- put ²⁵ Option written: 06/03/20
ET 06/19/2020 CALL \$8.50 / CUSIP: / Symbol:							
06/19/20	1.000	0.00	05/28/20	31.00	...	-31.00	Option expiration- long call
FB 05/29/2020 CALL \$230.00 / CUSIP: / Symbol:							
05/28/20	1.000	157.98	05/28/20	113.00	...	44.98	Option sale to close-call ²⁵
FB 05/29/2020 CALL \$232.50 / CUSIP: / Symbol:							
05/28/20	1.000	125.98	05/28/20	116.00	...	9.98	Option sale to close-call ²⁵
FB 05/29/2020 CALL \$252.50 / CUSIP: / Symbol:							
05/29/20	1.000	0.00	05/21/20	156.00	...	-156.00	Option expiration- long call
FB 06/19/2020 CALL \$242.50 / CUSIP: / Symbol:							
06/19/20	1.000	19.98	06/17/20	57.00	...	-37.02	Option sale to close-call ²⁵
FB 07/10/2020 CALL \$240.00 / CUSIP: / Symbol:							
07/06/20	1.000	349.98	07/06/20	310.00	...	39.98	Option sale to close-call ²⁵
FB 08/07/2020 CALL \$267.50 / CUSIP: / Symbol:							
08/07/20	1.000	88.98	08/06/20	147.00	...	-58.02	Option sale to close-call ²⁵
FB 08/28/2020 CALL \$287.50 / CUSIP: / Symbol:							
08/26/20	1.000	1,689.95	08/24/20	188.00	...	1,501.95	Option sale to close-call ²⁵

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FB 09/11/2020 CALL \$325.00 / CUSIP: / Symbol:							
09/09/20	1.000	0.98	09/03/20	70.00	...	-69.02	Option sale to close-call ²⁵
FEYE 07/17/2020 CALL \$12.00 / CUSIP: / Symbol:							
07/13/20	1.000	83.98	07/09/20	67.00	...	16.98	Option sale to close-call ²⁵
GILD 03/20/2020 PUT \$69.00 / CUSIP: / Symbol:							
03/20/20	1.000	0.00	03/03/20	172.00	...	-172.00	Option expiration- long put
GILD 03/20/2020 PUT \$69.50 / CUSIP: / Symbol:							
03/20/20	1.000	191.98	03/20/20	0.00	...	191.98	Short sale closed- put expired Option written: 03/03/20
GILD 03/27/2020 PUT \$68.00 / CUSIP: / Symbol:							
03/27/20	1.000	0.00	03/25/20	107.00	...	-107.00	Option expiration- long put
GILD 03/27/2020 PUT \$68.50 / CUSIP: / Symbol:							
03/27/20	1.000	120.98	03/27/20	0.00	...	120.98	Short sale closed- put expired Option written: 03/25/20
GILD 04/03/2020 PUT \$71.00 / CUSIP: / Symbol:							
04/03/20	1.000	0.00	03/30/20	81.00	...	-81.00	Option expiration- long put
GILD 04/03/2020 PUT \$71.50 / CUSIP: / Symbol:							
04/03/20	1.000	92.98	04/03/20	0.00	...	92.98	Short sale closed- put expired Option written: 03/30/20
GLD 05/01/2020 CALL \$175.00 / CUSIP: / Symbol:							
04/22/20	1.000	24.98	04/16/20	39.00	...	-14.02	Option sale to close-call ²⁵
GLD 06/12/2020 CALL \$163.00 / CUSIP: / Symbol:							
06/12/20	1.000	31.98	06/11/20	80.00	...	-48.02	Option sale to close-call ²⁵
GLD 09/04/2020 CALL \$207.50 / CUSIP: / Symbol:							
08/25/20	1.000	3.98	08/03/20	57.00	...	-53.02	Option sale to close-call ²⁵
GPRO 04/09/2020 PUT \$2.50 / CUSIP: / Symbol:							
04/09/20	1.000	0.00	04/08/20	4.00	...	-4.00	Option expiration- long put

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INO 03/20/2020 CALL \$7.00 / CUSIP: / Symbol:							
02/28/20	1.000	49.98	02/27/20	30.00	...	19.98	Option sale to close-call ²⁵
INO 03/20/2020 PUT \$4.00 / CUSIP: / Symbol:							
03/20/20	1.000	0.00	03/03/20	43.00	...	-43.00	Option expiration- long put
INO 03/20/2020 PUT \$5.00 / CUSIP: / Symbol:							
03/20/20	1.000	87.98	03/20/20	0.00	...	87.98	Short sale closed- put expired Option written: 03/03/20
INO 04/17/2020 CALL \$8.50 / CUSIP: / Symbol:							
04/17/20	1.000	4.98	04/09/20	40.00	...	-35.02	Option sale to close-call ²⁵
INO 07/17/2020 CALL \$27.00 / CUSIP: / Symbol:							
07/16/20	1.000	17.98	07/13/20	133.00	...	-115.02	Option sale to close-call ²⁵
INO 07/24/2020 CALL \$35.00 / CUSIP: / Symbol:							
07/23/20	1.000	3.98	07/21/20	41.00	...	-37.02	Option sale to close-call ²⁵
INO 08/21/2020 PUT \$6.00 / CUSIP: / Symbol:							
05/15/20	1.000	96.98	03/27/20	285.00	...	-188.02	Option sale to close-put ²⁵
INO 08/21/2020 PUT \$7.00 / CUSIP: / Symbol:							
05/18/20	1.000	207.98	05/15/20	0.00	...	207.98	Short sale closed- put ²⁵ Option written: 03/27/20
INTC 05/15/2020 CALL \$72.50 / CUSIP: / Symbol:							
04/29/20	1.000	2.98	04/23/20	16.00	...	-13.02	Option sale to close-call ²⁵
JD 07/10/2020 CALL \$69.00 / CUSIP: / Symbol:							
07/09/20	1.000	37.98	07/08/20	34.00	...	3.98	Option sale to close-call ²⁵
JNJ 05/22/2020 CALL \$152.50 / CUSIP: / Symbol:							
05/20/20	1.000	5.98	05/19/20	24.00	...	-18.02	Option sale to close-call ²⁵
JPM 05/15/2020 PUT \$90.00 / CUSIP: / Symbol:							
05/07/20	1.000	176.98	05/07/20	168.00	...	8.98	Option sale to close-put ²⁵

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KO 06/19/2020 CALL \$57.50 / CUSIP: / Symbol:							
05/05/20	1.000	2.98	04/20/20	16.00	13.02 W	0.00	Option sale to close-call ²⁵
	2 transactions for 06/19/20. Total proceeds and cost reported to the IRS.						
	1.000	0.00	04/20/20	16.00	...	-16.00	1 of 2 - Option expiration- long call
	1.000	0.00	04/21/20	23.02	...	-23.02	2 of 2 - Option expiration- long call
06/19/20	2.000	0.00	Various	39.02	...	-39.02	Total of 2 transactions
	Security total:	2.98		55.02	13.02 W	-39.02	
LADR 11/20/2020 CALL \$10.00 / CUSIP: / Symbol:							
09/01/20	1.000	19.98	03/27/20	180.00	...	-160.02	Option sale to close-call ²⁵
MFA 05/15/2020 CALL \$2.00 / CUSIP: / Symbol:							
04/28/20	1.000	14.98	04/16/20	25.00	...	-10.02	Option sale to close-call ²⁵
05/15/20	1.000	9.98	05/15/20	0.00	...	9.98	Short sale closed- call expired Option written: 05/05/20
	Security total:	24.96		25.00	...	-0.04	
MFA 06/19/2020 CALL \$4.00 / CUSIP: / Symbol:							
06/19/20	1.000	9.98	06/19/20	0.00	...	9.98	Short sale closed- call expired Option written: 06/15/20
MFA 10/16/2020 PUT \$1.00 / CUSIP: / Symbol:							
05/01/20	1.000	41.98	03/26/20	49.00	...	-7.02	Option sale to close-put ²⁵
MFA 10/16/2020 PUT \$2.00 / CUSIP: / Symbol:							
05/04/20	1.000	7.98	05/01/20	0.00	...	7.98	Short sale closed- put ²⁵ Option written: 03/26/20
MRNA 07/10/2020 CALL \$67.00 / CUSIP: / Symbol:							
07/10/20	1.000	13.98	07/09/20	105.00	...	-91.02	Option sale to close-call ²⁵
MRNA 07/24/2020 CALL \$100.00 / CUSIP: / Symbol:							
07/17/20	1.000	172.98	07/14/20	168.00	...	4.98	Option sale to close-call ²⁵
MSFT 01/15/2021 CALL \$270.00 / CUSIP: / Symbol:							
09/15/20	1.000	219.98	04/21/20	90.00	...	129.98	Option sale to close-call ²⁵

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MSFT 05/01/2020 CALL \$182.50 / CUSIP: / Symbol:							
04/30/20	1.000	31.99	04/30/20	34.00	...	-2.01	Option sale to close-call ²⁵
04/30/20	1.000	31.99	04/30/20	34.00	2.01 W	0.00	Option sale to close-call ²⁵
05/01/20	1.000	0.00	04/30/20	29.01	...	-29.01	Option expiration- long call
Security total:		63.98		97.01	2.01 W	-31.02	
MSFT 05/22/2020 CALL \$187.50 / CUSIP: / Symbol:							
05/18/20	1.000	119.98	05/18/20	142.00	22.02 W	0.00	Option sale to close-call ²⁵
05/20/20	1.000	49.98	05/18/20	161.02	...	-111.04	Option sale to close-call ²⁵
Security total:		169.96		303.02	22.02 W	-111.04	
MSFT 06/12/2020 CALL \$190.00 / CUSIP: / Symbol:							
06/09/20	2.000	343.98	06/09/20	320.00	...	23.98	Option sale to close-call ²⁵
MSFT 06/12/2020 CALL \$197.50 / CUSIP: / Symbol:							
06/12/20	1.000	2.98	06/10/20	174.00	...	-171.02	Option sale to close-call ²⁵
MSFT 07/24/2020 CALL \$230.00 / CUSIP: / Symbol:							
07/23/20	1.000	1.98	07/21/20	95.00	...	-93.02	Option sale to close-call ²⁵
MSFT 08/14/2020 CALL \$212.50 / CUSIP: / Symbol:							
08/13/20	1.000	27.98	08/12/20	97.00	...	-69.02	Option sale to close-call ²⁵
MSFT 08/28/2020 CALL \$222.50 / CUSIP: / Symbol:							
08/26/20	1.000	98.98	08/24/20	86.00	...	12.98	Option sale to close-call ²⁵
MSFT 08/28/2020 CALL \$225.00 / CUSIP: / Symbol:							
08/27/20	1.000	211.98	08/26/20	81.00	...	130.98	Option sale to close-call ²⁵
MSFT 09/18/2020 CALL \$250.00 / CUSIP: / Symbol:							
09/11/20	1.000	10.98	09/02/20	183.00	...	-172.02	Option sale to close-call ²⁵
MU 02/28/2020 CALL \$62.00 / CUSIP: / Symbol:							
02/28/20	1.000	0.98	02/20/20	33.00	...	-32.02	Option sale to close-call ²⁵
NCLH 01/21/2022 CALL \$65.00 / CUSIP: / Symbol:							
08/25/20	1.000	106.98	07/16/20	125.00	...	-18.02	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 574032579

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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NCLH 07/10/2020 PUT \$15.00 / CUSIP: / Symbol:							
07/08/20	1.000	49.98	07/06/20	38.00	...	11.98	Option sale to close-put ²⁵
NCLH 09/18/2020 CALL \$22.50 / CUSIP: / Symbol:							
08/25/20	1.000	28.98	08/13/20	28.00	...	0.98	Option sale to close-call ²⁵
NET 08/21/2020 CALL \$48.00 / CUSIP: / Symbol:							
08/21/20	1.000	0.00	08/05/20	150.00	...	-150.00	Option expiration- long call
NFLX 07/17/2020 CALL \$650.00 / CUSIP: / Symbol:							
07/16/20	1.000	204.98	07/10/20	435.00	230.02 W	0.00	Option sale to close-call ²⁵
07/17/20	1.000	0.00	07/14/20	495.02	...	-495.02	Option expiration- long call
	Security total:	204.98		930.02	230.02 W	-495.02	
NFLX 07/17/2020 PUT \$495.00 / CUSIP: / Symbol:							
07/17/20	1.000	571.97	07/16/20	872.00	...	-300.03	Option sale to close-put ²⁵
NFLX 07/17/2020 PUT \$500.00 / CUSIP: / Symbol:							
07/20/20	1.000	26.96	07/17/20	0.00	...	26.96	Short sale closed- put ²⁵ Option written: 07/16/20
NFLX 07/24/2020 CALL \$660.00 / CUSIP: / Symbol:							
07/17/20	1.000	42.98	07/16/20	445.00	...	-402.02	Option sale to close-call ²⁵
NFLX 08/07/2020 CALL \$690.00 / CUSIP: / Symbol:							
08/07/20	1.000	0.00	07/17/20	100.00	...	-100.00	Option expiration- long call
NFLX 08/28/2020 CALL \$650.00 / CUSIP: / Symbol:							
08/28/20	1.000	0.00	08/26/20	101.00	...	-101.00	Option expiration- long call
NFLX 10/02/2020 CALL \$515.00 / CUSIP: / Symbol:							
09/29/20	1.000	139.98	09/28/20	116.00	...	23.98	Option sale to close-call ²⁵
NKLA 08/14/2020 CALL \$53.00 / CUSIP: / Symbol:							
08/13/20	1.000	9.98	08/10/20	75.00	...	-65.02	Option sale to close-call ²⁵
NKLA 08/21/2020 CALL \$55.00 / CUSIP: / Symbol:							
08/17/20	1.000	19.98	08/13/20	75.00	...	-55.02	Option sale to close-call ²⁵

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NKLA 09/04/2020 CALL \$41.50 / CUSIP: / Symbol:							
09/01/20	1.000	89.98	08/27/20	155.00	...	-65.02	Option sale to close-call ²⁵
NVDA 06/19/2020 CALL \$395.00 / CUSIP: / Symbol:							
06/19/20	1.000	0.00	06/16/20	41.00	...	-41.00	Option expiration- long call
NVDA 07/17/2020 CALL \$400.00 / CUSIP: / Symbol:							
06/16/20	1.000	464.97	05/27/20	370.00	...	94.97	Option sale to close-call ²⁵
07/06/20	1.000	664.97	06/16/20	565.00	...	99.97	Option sale to close-call ²⁵
	Security total:	1,129.94		935.00	...	194.94	
NVDA 08/21/2020 CALL \$550.00 / CUSIP: / Symbol:							
08/03/20	1.000	159.98	07/16/20	145.00	...	14.98	Option sale to close-call ²⁵
NVDA 08/21/2020 CALL \$580.00 / CUSIP: / Symbol:							
08/21/20	1.000	0.98	08/17/20	88.00	...	-87.02	Option sale to close-call ²⁵
NVDA 09/11/2020 CALL \$670.00 / CUSIP: / Symbol:							
09/09/20	1.000	4.98	09/01/20	105.00	...	-100.02	Option sale to close-call ²⁵
NVDA 09/18/2020 CALL \$655.00 / CUSIP: / Symbol:							
09/18/20	1.000	0.00	09/14/20	80.00	...	-80.00	Option expiration- long call
NVS 07/17/2020 CALL \$115.00 / CUSIP: / Symbol:							
07/17/20	1.000	0.00	04/20/20	25.00	...	-25.00	Option expiration- long call
PETS 08/21/2020 CALL \$40.00 / CUSIP: / Symbol:							
07/16/20	1.000	419.98	07/06/20	250.00	...	169.98	Option sale to close-call ²⁵
PFE 12/18/2020 CALL \$45.00 / CUSIP: / Symbol:							
08/13/20	1.000	54.98	05/12/20	52.00	...	2.98	Option sale to close-call ²⁵
PG 10/16/2020 CALL \$145.00 / CUSIP: / Symbol:							
	<i>2 transactions for 07/15/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	45.99	05/06/20	56.00	...	-10.01	1 of 2 - Option sale to close-call ²⁵
	1.000	45.99	05/19/20	35.00	...	10.99	2 of 2 - Option sale to close-call ²⁵
07/15/20	2.000	91.98	Various	91.00	...	0.98	Total of 2 transactions

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PTON 10/02/2020 CALL \$106.00 / CUSIP: / Symbol:							
09/29/20	1.000	99.98	09/28/20	101.00	...	-1.02	Option sale to close-call ²⁵
RAD 10/02/2020 CALL \$14.50 / CUSIP: / Symbol:							
09/25/20	1.000	1.98	09/23/20	81.00	...	-79.02	Option sale to close-call ²⁵
RCL 07/10/2020 PUT \$47.00 / CUSIP: / Symbol:							
07/10/20	1.000	31.98	07/09/20	117.00	...	-85.02	Option sale to close-put ²⁵
RCL 07/17/2020 CALL \$54.00 / CUSIP: / Symbol:							
07/13/20	1.000	107.98	07/10/20	99.00	...	8.98	Option sale to close-call ²⁵
RESI 05/15/2020 CALL \$7.50 / CUSIP: / Symbol:							
05/15/20	1.000	0.00	05/11/20	20.00	...	-20.00	Option expiration- long call
SBUX 06/05/2020 CALL \$82.00 / CUSIP: / Symbol:							
06/05/20	1.000	9.98	05/28/20	47.00	...	-37.02	Option sale to close-call ²⁵
SDC 05/15/2020 CALL \$10.50 / CUSIP: / Symbol:							
05/15/20	1.000	0.00	05/12/20	40.00	...	-40.00	Option expiration- long call
SHLL 08/21/2020 CALL \$30.00 / CUSIP: / Symbol:							
2 transactions for 08/17/20. Total proceeds and cost reported to the IRS.							
	1.000	79.98	08/13/20	20.00	...	59.98	1 of 2 - Option sale to close-call ²⁵
	1.000	80.98	08/13/20	20.00	...	60.98	2 of 2 - Option sale to close-call ²⁵
08/17/20	2.000	160.96	Various	40.00	...	120.96	Total of 2 transactions
SLV 10/16/2020 CALL \$20.00 / CUSIP: / Symbol:							
09/15/20	1.000	529.97	05/19/20	71.00	...	458.97	Option sale to close-call ²⁵
SNAP 08/21/2020 CALL \$28.00 / CUSIP: / Symbol:							
08/07/20	1.000	10.98	06/26/20	85.00	...	-74.02	Option sale to close-call ²⁵
08/07/20	1.000	10.98	06/16/20	34.00	23.02 W	0.00	Option sale to close-call ²⁵
08/13/20	1.000	1.98	07/22/20	39.02	...	-37.04	Option sale to close-call ²⁵
	Security total:	23.94		158.02	23.02 W	-111.06	
SNAP 10/30/2020 CALL \$44.00 / CUSIP: / Symbol:							
10/26/20	1.000	94.98	10/23/20	113.00	...	-18.02	Option sale to close-call ²⁵

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SNAP 12/31/2020 CALL \$54.00 / CUSIP: / Symbol:							
12/29/20	1.000	18.98	12/29/20	52.00	...	-33.02	Option sale to close-call ²⁵
SPCE 05/08/2020 CALL \$21.00 / CUSIP: / Symbol:							
05/01/20	1.000	87.98	05/01/20	110.00	22.02 W	0.00	Option sale to close-call ²⁵
05/04/20	1.000	29.98	05/01/20	118.02	...	-88.04	Option sale to close-call ²⁵
	Security total:	117.96		228.02	22.02 W	-88.04	
SPCE 05/08/2020 PUT \$14.00 / CUSIP: / Symbol:							
05/01/20	1.000	48.98	05/01/20	59.00	...	-10.02	Option sale to close-put ²⁵
SPCE 07/17/2020 CALL \$18.50 / CUSIP: / Symbol:							
	<i>2 transactions for 07/16/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	319.98	07/02/20	52.00	...	267.98	1 of 2 - Option sale to close-call ²⁵
	1.000	243.98	07/06/20	61.00	...	182.98	2 of 2 - Option sale to close-call ²⁵
07/16/20	2.000	563.96	Various	113.00	...	450.96	Total of 2 transactions
SPCE 08/14/2020 CALL \$33.00 / CUSIP: / Symbol:							
08/14/20	1.000	0.00	08/03/20	50.00	...	-50.00	Option expiration- long call
SPY 04/17/2020 CALL \$293.00 / CUSIP: / Symbol:							
04/17/20	1.000	0.00	04/13/20	32.00	...	-32.00	Option expiration- long call
SPY 04/24/2020 CALL \$286.00 / CUSIP: / Symbol:							
04/23/20	1.000	36.98	04/23/20	54.00	...	-17.02	Option sale to close-call ²⁵
SPY 04/24/2020 PUT \$271.00 / CUSIP: / Symbol:							
04/23/20	1.000	23.98	04/23/20	24.00	...	-0.02	Option sale to close-put ²⁵
SPY 04/27/2020 PUT \$272.00 / CUSIP: / Symbol:							
04/24/20	1.000	45.98	04/24/20	66.00	...	-20.02	Option sale to close-put ²⁵
SPY 05/06/2020 CALL \$310.00 / CUSIP: / Symbol:							
04/20/20	1.000	37.98	04/15/20	32.00	...	5.98	Option sale to close-call ²⁵
04/24/20	1.000	5.98	04/20/20	32.00	...	-26.02	Option sale to close-call ²⁵
	Security total:	43.96		64.00	...	-20.04	

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SPY 05/22/2020 CALL \$298.00 / CUSIP: / Symbol:							
05/20/20	1.000	203.98	05/19/20	95.00	...	108.98	Option sale to close-call ²⁵
SPY 05/29/2020 CALL \$309.00 / CUSIP: / Symbol:							
05/28/20	1.000	49.98	05/19/20	45.00	...	4.98	Option sale to close-call ²⁵
SPY 06/05/2020 CALL \$314.00 / CUSIP: / Symbol:							
<i>2 transactions for 06/05/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	399.98	06/04/20	63.00	...	336.98	1 of 2 - Option sale to close-call ²⁵
	1.000	459.97	06/04/20	48.00	...	411.97	2 of 2 - Option sale to close-call ²⁵
06/05/20	2.000	859.95	Various	111.00	...	748.95	Total of 2 transactions
SPY 06/12/2020 CALL \$323.50 / CUSIP: / Symbol:							
06/12/20	1.000	0.00	06/10/20	159.00	...	-159.00	Option expiration- long call
SPY 06/12/2020 PUT \$295.00 / CUSIP: / Symbol:							
06/12/20	1.000	5.98	06/11/20	200.00	...	-194.02	Option sale to close-put ²⁵
SPY 06/15/2020 PUT \$288.00 / CUSIP: / Symbol:							
06/15/20	1.000	14.98	06/12/20	62.00	...	-47.02	Option sale to close-put ²⁵
SPY 06/17/2020 CALL \$306.00 / CUSIP: / Symbol:							
06/15/20	1.000	349.98	06/15/20	154.00	...	195.98	Option sale to close-call ²⁵
SPY 06/17/2020 PUT \$300.00 / CUSIP: / Symbol:							
06/16/20	1.000	38.98	06/16/20	101.00	...	-62.02	Option sale to close-put ²⁵
SPY 07/02/2020 CALL \$331.00 / CUSIP: / Symbol:							
06/16/20	1.000	84.98	06/11/20	61.00	...	23.98	Option sale to close-call ²⁵
SPY 07/17/2020 CALL \$340.00 / CUSIP: / Symbol:							
07/06/20	1.000	9.98	06/08/20	135.00	...	-125.02	Option sale to close-call ²⁵
SPY 08/19/2020 CALL \$340.00 / CUSIP: / Symbol:							
08/18/20	1.000	61.98	08/17/20	57.00	...	4.98	Option sale to close-call ²⁵
SPY 08/19/2020 PUT \$335.00 / CUSIP: / Symbol:							
08/19/20	1.000	1.98	08/18/20	59.00	...	-57.02	Option sale to close-put ²⁵

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SPY 08/19/2020 PUT \$336.00 / CUSIP: / Symbol:							
08/20/20	1.000	73.98	08/19/20	0.00	...	73.98	Short sale closed- put ²⁵ Option written: 08/18/20
SQ 10/02/2020 CALL \$162.50 / CUSIP: / Symbol:							
09/22/20	1.000	359.98	09/15/20	470.00	...	-110.02	Option sale to close-call ²⁵
SQ 10/02/2020 CALL \$187.50 / CUSIP: / Symbol:							
09/30/20	1.000	9.98	09/29/20	46.00	...	-36.02	Option sale to close-call ²⁵
SQ 12/11/2020 CALL \$230.00 / CUSIP: / Symbol:							
12/09/20	1.000	12.98	12/09/20	83.00	...	-70.02	Option sale to close-call ²⁵
STOR 07/17/2020 CALL \$25.00 / CUSIP: / Symbol:							
06/03/20	1.000	64.98	05/28/20	30.00	...	34.98	Option sale to close-call ²⁵
TGT 05/29/2020 CALL \$140.00 / CUSIP: / Symbol:							
05/29/20	1.000	0.00	05/11/20	40.00	...	-40.00	Option expiration- long call
TLT 04/17/2020 CALL \$170.00 / CUSIP: / Symbol:							
04/15/20	1.000	53.98	04/09/20	40.00	...	13.98	Option sale to close-call ²⁵
TLT 07/10/2020 CALL \$166.50 / CUSIP: / Symbol:							
07/09/20	1.000	92.98	07/07/20	49.00	...	43.98	Option sale to close-call ²⁵
TSLA 07/10/2020 CALL \$1,600.00 / CUSIP: / Symbol:							
07/09/20	1.000	91.98	07/06/20	690.00	...	-598.02	Option sale to close-call ²⁵
TSLA 07/10/2020 CALL \$1,800.00 / CUSIP: / Symbol:							
07/06/20	1.000	384.98	07/06/20	150.00	...	234.98	Option sale to close-call ²⁵
TSLA 07/10/2020 CALL \$1,900.00 / CUSIP: / Symbol:							
07/09/20	1.000	10.98	07/07/20	175.00	...	-164.02	Option sale to close-call ²⁵

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TSLA 07/17/2020 CALL \$2,500.00 / CUSIP: / Symbol:							
2 transactions for 07/15/20. Total proceeds and cost reported to the IRS.							
	1.000	48.99	07/13/20	1,750.00	...	-1,701.01	1 of 2 - Option sale to close-call ²⁵
	1.000	48.99	07/13/20	1,050.00	...	-1,001.01	2 of 2 - Option sale to close-call ²⁵
07/15/20	2.000	97.98	Various	2,800.00	...	-2,702.02	Total of 2 transactions
TSLA 07/24/2020 CALL \$2,700.00 / CUSIP: / Symbol:							
07/23/20	1.000	3.98	07/20/20	460.00	...	-456.02	Option sale to close-call ²⁵
TSLA 07/24/2020 CALL \$2,800.00 / CUSIP: / Symbol:							
07/13/20	1.000	3,399.91	07/10/20	1,160.00	...	2,239.91	Option sale to close-call ²⁵
TSLA 07/24/2020 CALL \$3,500.00 / CUSIP: / Symbol:							
07/23/20	1.000	0.98	07/15/20	390.00	...	-389.02	Option sale to close-call ²⁵
TSLA 08/14/2020 CALL \$2,100.00 / CUSIP: / Symbol:							
08/13/20	1.000	7.98	08/12/20	125.00	...	-117.02	Option sale to close-call ²⁵
TSLA 08/21/2020 CALL \$2,650.00 / CUSIP: / Symbol:							
08/18/20	1.000	211.98	08/17/20	80.00	...	131.98	Option sale to close-call ²⁵
08/19/20	1.000	17.98	08/18/20	150.00	...	-132.02	Option sale to close-call ²⁵
	Security total:	229.96		230.00	...	-0.04	
TSLA 08/21/2020 CALL \$3,000.00 / CUSIP: / Symbol:							
08/17/20	1.000	20.98	08/13/20	101.00	...	-80.02	Option sale to close-call ²⁵
TSLA 08/28/2020 CALL \$2,950.00 / CUSIP: / Symbol:							
08/26/20	1.000	16.98	08/25/20	48.00	...	-31.02	Option sale to close-call ²⁵
TSLA 09/04/2020 CALL \$3,800.00 / CUSIP: / Symbol:							
08/27/20	1.000	154.98	08/27/20	140.00	...	14.98	Option sale to close-call ²⁵
TSLA 09/04/2020 CALL \$620.00 / CUSIP: / Symbol:							
09/01/20	1.000	106.98	08/31/20	174.00	...	-67.02	Option sale to close-call ²⁵
TSLA 09/04/2020 CALL \$720.00 / CUSIP: / Symbol:							
09/01/20	1.000	21.98	08/31/20	35.00	...	-13.02	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 574032579

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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TSLA 09/18/2020 CALL \$600.00 / CUSIP: / Symbol:							
2 transactions for 09/18/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	09/15/20	54.00	...	-54.00	1 of 2 - Option expiration- long call
	1.000	0.00	09/16/20	24.00	...	-24.00	2 of 2 - Option expiration- long call
09/18/20	2.000	0.00	Various	78.00	...	-78.00	Total of 2 transactions
TSLA 10/02/2020 CALL \$465.00 / CUSIP: / Symbol:							
09/29/20	1.000	175.98	09/25/20	390.00	...	-214.02	Option sale to close-call ²⁵
TSLA 10/30/2020 CALL \$465.00 / CUSIP: / Symbol:							
10/27/20	1.000	32.98	10/26/20	86.00	...	-53.02	Option sale to close-call ²⁵
TSLA 12/31/2020 CALL \$950.00 / CUSIP: / Symbol:							
12/22/20	1.000	13.98	12/21/20	100.00	...	-86.02	Option sale to close-call ²⁵
TWLO 05/15/2020 PUT \$140.00 / CUSIP: / Symbol:							
05/11/20	1.000	7.98	05/07/20	90.00	...	-82.02	Option sale to close-put ²⁵
TWTR 02/28/2020 CALL \$40.00 / CUSIP: / Symbol:							
02/28/20	1.000	0.00	02/20/20	30.00	...	-30.00	Option expiration- long call
TWTR 07/24/2020 CALL \$45.00 / CUSIP: / Symbol:							
07/16/20	1.000	23.98	07/08/20	53.00	...	-29.02	Option sale to close-call ²⁵
UAL 01/21/2022 CALL \$125.00 / CUSIP: / Symbol:							
08/13/20	1.000	109.98	07/16/20	110.00	...	-0.02	Option sale to close-call ²⁵
UAL 07/10/2020 CALL \$30.00 / CUSIP: / Symbol:							
07/09/20	1.000	102.98	07/09/20	103.00	...	-0.02	Option sale to close-call ²⁵
UAL 07/10/2020 PUT \$30.00 / CUSIP: / Symbol:							
07/10/20	1.000	26.98	07/09/20	94.00	...	-67.02	Option sale to close-put ²⁵
WFC 01/15/2021 CALL \$50.00 / CUSIP: / Symbol:							
2 transactions for 08/26/20. Total proceeds and cost reported to the IRS.							
	1.000	7.98	04/13/20	38.00	...	-30.02	1 of 2 - Option sale to close-call ²⁵
	1.000	7.98	05/14/20	15.00	...	-7.02	2 of 2 - Option sale to close-call ²⁵
08/26/20	2.000	15.96	Various	53.00	...	-37.04	Total of 2 transactions

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WKHS 09/18/2020 CALL \$33.00 / CUSIP: / Symbol:							
09/11/20	1.000	24.98	09/08/20	50.00	...	-25.02	Option sale to close-call ²⁵
WMT 01/15/2021 CALL \$170.00 / CUSIP: / Symbol:							
08/12/20	1.000	110.98	05/11/20	62.00	...	48.98	Option sale to close-call ²⁵
08/13/20	1.000	107.98	05/12/20	59.00	...	48.98	Option sale to close-call ²⁵
	Security total:	218.96		121.00	...	97.96	
WMT 07/10/2020 CALL \$129.00 / CUSIP: / Symbol:							
07/09/20	1.000	28.98	07/08/20	112.00	...	-83.02	Option sale to close-call ²⁵
WMT 08/21/2020 CALL \$143.00 / CUSIP: / Symbol:							
08/19/20	1.000	1.98	08/17/20	81.00	...	-79.02	Option sale to close-call ²⁵
WMT 08/28/2020 CALL \$134.00 / CUSIP: / Symbol:							
08/28/20	1.000	634.97	08/27/20	135.00	...	499.97	Option sale to close-call ²⁵
WMT 09/11/2020 CALL \$155.00 / CUSIP: / Symbol:							
09/09/20	1.000	19.98	09/01/20	124.00	...	-104.02	Option sale to close-call ²⁵
WORK 09/11/2020 CALL \$40.00 / CUSIP: / Symbol:							
	<i>2 transactions for 09/11/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	0.00	09/01/20	128.00	...	-128.00	1 of 2 - Option expiration- long call
	1.000	0.00	09/08/20	51.00	...	-51.00	2 of 2 - Option expiration- long call
09/11/20	2.000	0.00	Various	179.00	...	-179.00	Total of 2 transactions
WORK 09/18/2020 CALL \$41.00 / CUSIP: / Symbol:							
09/10/20	1.000	1.98	09/08/20	98.00	...	-96.02	Option sale to close-call ²⁵
XOM 01/15/2021 CALL \$65.00 / CUSIP: / Symbol:							
04/20/20	1.000	70.98	03/26/20	45.00	...	25.98	Option sale to close-call ²⁵
04/22/20	1.000	76.98	04/20/20	64.00	...	12.98	Option sale to close-call ²⁵
05/07/20	1.000	73.98	05/04/20	71.00	...	2.98	Option sale to close-call ²⁵
08/21/20	1.000	13.98	05/13/20	60.00	...	-46.02	Option sale to close-call ²⁵
	Security total:	235.92		240.00	...	-4.08	

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XOM 09/04/2020 CALL \$40.50 / CUSIP: / Symbol:							
09/01/20	1.000	22.98	08/28/20	69.00	...	-46.02	Option sale to close-call ²⁵
XOP 07/17/2020 CALL \$60.00 / CUSIP: / Symbol:							
05/26/20	1.000	193.98	05/20/20	172.00	...	21.98	Option sale to close-call ²⁵
ZM 05/29/2020 PUT \$160.00 / CUSIP: / Symbol:							
05/28/20	1.000	69.98	05/28/20	130.00	...	-60.02	Option sale to close-put ²⁵
ZM 06/19/2020 CALL \$290.00 / CUSIP: / Symbol:							
06/19/20	1.000	0.00	06/15/20	60.00	...	-60.00	Option expiration- long call
ZM 09/25/2020 CALL \$520.00 / CUSIP: / Symbol:							
09/22/20	1.000	449.98	09/21/20	440.00	...	9.98	Option sale to close-call ²⁵
ZM 10/02/2020 CALL \$550.00 / CUSIP: / Symbol:							
09/29/20	1.000	74.98	09/25/20	400.00	...	-325.02	Option sale to close-call ²⁵
ZM 10/02/2020 CALL \$580.00 / CUSIP: / Symbol:							
09/23/20	1.000	1,149.96	09/23/20	400.00	...	749.96	Option sale to close-call ²⁵
ZM 10/23/2020 CALL \$610.00 / CUSIP: / Symbol:							
10/16/20	1.000	569.97	10/15/20	205.00	...	364.97	Option sale to close-call ²⁵
ZM 10/23/2020 CALL \$640.00 / CUSIP: / Symbol:							
10/20/20	1.000	14.98	10/19/20	130.00	...	-115.02	Option sale to close-call ²⁵
ZM 11/20/2020 CALL \$760.00 / CUSIP: / Symbol:							
10/23/20	1.000	114.98	10/21/20	275.00	...	-160.02	Option sale to close-call ²⁵
ZM 12/18/2020 CALL \$490.00 / CUSIP: / Symbol:							
12/18/20	1.000	0.00	12/10/20	85.00	...	-85.00	Option expiration- long call
ADVANCED MICRO DEVICES, INC. C OMMON STOCK / CUSIP: 007903107 / Symbol:							
02/14/20	1.000	55.14	02/11/20	53.15	...	1.99	Sale ²⁵
	3 transactions for 04/22/20. Total proceeds and cost reported to the IRS.						
	1.000	55.20	02/20/20	58.17	...	-2.97	1 of 3 - Sale ²⁵
	1.000	55.20	03/05/20	48.90	...	6.30	2 of 3 - Sale ²⁵

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ADVANCED MICRO DEVICES, INC. COMMON STOCK / CUSIP: 007903107 / Symbol: (cont'd)							
	1.000	55.20	03/26/20	46.50	...	8.70	3 of 3 - Sale ²⁵
04/22/20	3.000	165.60	Various	153.57	...	12.03	Total of 3 transactions
	Security total:	220.74		206.72	...	14.02	
AGILE THERAPEUTICS, INC. COMMON STOCK / CUSIP: 00847L100 / Symbol:							
03/05/20	1.000	2.43	03/03/20	2.38	...	0.05	Sale ²⁵
ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EACH REPRESENTS EIGHT ORDINARY SHARES / CUSIP: 01609W102 / Symbol:							
05/20/20	1.000	211.35	02/18/20	219.03	...	-7.68	Sale ²⁵
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol:							
08/06/20	0.002	5.10	07/09/20	5.00	...	0.10	Sale ²⁵
AMERICAN AIRLINES GROUP INC. COMMON STOCK / CUSIP: 02376R102 / Symbol:							
5 transactions for 05/18/20. Total proceeds and cost reported to the IRS.							
	10.000	93.72	05/05/20	95.50	...	-1.78	1 of 5 - Sale ²⁵
	10.000	93.71	05/06/20	92.45	...	1.26	2 of 5 - Sale ²⁵
	10.000	93.72	05/13/20	92.40	...	1.32	3 of 5 - Sale ²⁵
	20.000	187.43	05/13/20	185.60	...	1.83	4 of 5 - Sale ²⁵
	10.000	93.71	05/13/20	91.78	...	1.93	5 of 5 - Sale ²⁵
05/18/20	60.000	562.29	Various	557.73	...	4.56	Total of 5 transactions
05/18/20	10.000	93.71	05/05/20	95.19	1.19 W	-0.29	Sale ²⁵
7 transactions for 09/04/20. Total proceeds and cost reported to the IRS.							
	5.000	68.07	06/05/20	110.74	...	-42.67	1 of 7 - Sale ²⁵
	2.000	27.23	06/08/20	39.44	...	-12.21	2 of 7 - Sale ²⁵
	1.000	13.61	06/10/20	17.96	...	-4.35	3 of 7 - Sale ²⁵
	10.000	136.15	07/07/20	120.88	...	15.27	4 of 7 - Sale ²⁵
	2.000	27.23	07/09/20	22.86	...	4.37	5 of 7 - Sale ²⁵
	20.000	272.29	08/28/20	270.13	...	2.16	6 of 7 - Sale ²⁵
	1.000	13.61	09/03/20	13.30	...	0.31	7 of 7 - Sale ²⁵
09/04/20	41.000	558.19	Various	595.31	...	-37.12	Total of 7 transactions
	Security total:	1,214.19		1,248.23	1.19 W	-32.85	

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AMESITE INC. COMMON STOCK / CUSIP: 031094105 / Symbol:							
2 transactions for 10/01/20. Total proceeds and cost reported to the IRS.							
	21.000	92.82	09/25/20	125.58	...	-32.76	1 of 2 - Sale ²⁵
	4.000	17.68	09/25/20	19.12	...	-1.44	2 of 2 - Sale ²⁵
10/01/20	25.000	110.50	Various	144.70	...	-34.20	Total of 2 transactions
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
09/03/20	1.000	127.52	02/07/20	79.88	...	47.64	Sale ²⁵
2 transactions for 09/18/20. Total proceeds and cost reported to the IRS.							
	3.000	324.79	02/07/20	239.62	...	85.17	1 of 2 - Sale ²⁵
	1.000	108.27	09/03/20	127.78	...	-19.51	2 of 2 - Sale ²⁵
09/18/20	4.000	433.06	Various	367.40	...	65.66	Total of 2 transactions
	Security total:	560.58		447.28	...	113.30	
BANK OF AMERICA CORPORATION / CUSIP: 060505104 / Symbol:							
04/23/20	2.000	44.60	03/26/20	44.40	...	0.20	Sale ²⁵
BOEING COMPANY / CUSIP: 097023105 / Symbol:							
2 transactions for 08/24/20. Total proceeds and cost reported to the IRS.							
	1.000	169.22	03/27/20	166.00	...	3.22	1 of 2 - Sale ²⁵
	1.000	169.19	05/05/20	125.65	...	43.54	2 of 2 - Sale ²⁵
08/24/20	2.000	338.41	Various	291.65	...	46.76	Total of 2 transactions
CARNIVAL CORPORATION / CUSIP: 143658300 / Symbol:							
3 transactions for 10/14/20. Total proceeds and cost reported to the IRS.							
	3.000	42.25	06/05/20	64.65	...	-22.40	1 of 3 - Sale ²⁵
	2.000	28.16	07/09/20	29.78	...	-1.62	2 of 3 - Sale ²⁵
	5.000	70.41	10/12/20	76.65	...	-6.24	3 of 3 - Sale ²⁵
10/14/20	10.000	140.82	Various	171.08	...	-30.26	Total of 3 transactions
12/09/20	4.000	95.92	12/08/20	94.32	...	1.60	Sale ²⁵
	Security total:	236.74		265.40	...	-28.66	

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CATALYST PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 14888U101 / Symbol:							
2 transactions for 02/11/20. Total proceeds and cost reported to the IRS.							
	1.000	4.62	02/07/20	4.59	...	0.03	1 of 2 - Sale ²⁵
	1.000	4.62	02/07/20	4.59	...	0.03	2 of 2 - Sale ²⁵
02/11/20	2.000	9.24	Various	9.18	...	0.06	Total of 2 transactions
02/13/20	1.000	4.64	02/11/20	4.59	...	0.05	Sale ²⁵
	Security total:	13.88		13.77	...	0.11	
COCA-COLA COMPANY / CUSIP: 191216100 / Symbol:							
3 transactions for 08/24/20. Total proceeds and cost reported to the IRS.							
	2.000	94.46	06/10/20	98.17	...	-3.71	1 of 3 - Sale ²⁵
	0.117	5.53	06/10/20	5.75	...	-0.22	2 of 3 - Sale ²⁵
	0.000	0.01	06/10/20	0.01	...	0.00	3 of 3 - Sale ²⁵
08/24/20	2.117	100.00	Various	103.93	...	-3.93	Total of 3 transactions
08/28/20	7.883	388.86	06/10/20	386.92	...	1.94	Sale ²⁵
	Security total:	488.86		490.85	...	-1.99	
DELTA AIR LINES, INC. / CUSIP: 247361702 / Symbol:							
2 transactions for 09/21/20. Total proceeds and cost reported to the IRS.							
	1.000	29.95	02/14/20	59.35	...	-29.40	1 of 2 - Sale ²⁵
	1.000	29.95	04/24/20	22.43	...	7.52	2 of 2 - Sale ²⁵
09/21/20	2.000	59.90	Various	81.78	...	-21.88	Total of 2 transactions
ELECTRAMECCANICA VEHICLES CORP. LTD. COMMON STOCK / CUSIP: 284849205 / Symbol:							
2 transactions for 10/01/20. Total proceeds and cost reported to the IRS.							
	7.000	17.50	07/09/20	33.88	...	-16.38	1 of 2 - Sale ²⁵
	5.000	12.50	07/22/20	17.75	...	-5.25	2 of 2 - Sale ²⁵
10/01/20	12.000	30.00	Various	51.63	...	-21.63	Total of 2 transactions
FACEBOOK, INC. CLASS A COMMON STOCK / CUSIP: 30303M102 / Symbol:							
06/11/20	1.000	224.23	02/19/20	217.80	...	6.43	Sale ²⁵
FITBIT, INC. CLASS A COMMON STOCK / CUSIP: 33812L102 / Symbol:							
02/21/20	1.000	6.44	07/23/19	4.28	...	2.16	Sale ²⁵

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Robinhood Securities LLC

Account 574032579

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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GENERAL ELECTRIC COMPANY / CUSIP: 369604103 / Symbol:							
02/12/20	1.000	12.94	02/07/20	12.94	...	0.00	Sale ²⁵
03/07/20	98.000	1,001.52 N	03/07/20	1,030.98	...	-29.46	Sold via put exercise ²⁵ Proceeds or Cost adjusted for option premium of -\$27.44
03/07/20	2.000	20.44 N	02/10/20	25.70	5.26 W	0.00	Sold via put exercise ²⁵ Proceeds or Cost adjusted for option premium of -\$0.56
2 transactions for 10/01/20. Total proceeds and cost reported to the IRS.							
	2.000	12.35	03/07/20	26.30	...	-13.95	1 of 2 - Sale ²⁵
	3.000	18.51	04/23/20	19.76	...	-1.25	2 of 2 - Sale ²⁵
10/01/20	5.000	30.86	Various	46.06	...	-15.20	Total of 2 transactions
	Security total:	1,065.76		1,115.68	5.26 W	-44.66	
GOPRO, INC. CLASS A COMMON STO CK / CUSIP: 38268T103 / Symbol:							
2 transactions for 05/07/20. Total proceeds and cost reported to the IRS.							
	1.000	3.82	08/01/19	5.07	...	-1.25	1 of 2 - Sale ²⁵
	4.000	15.28	05/01/20	12.95	...	2.33	2 of 2 - Sale ²⁵
05/07/20	5.000	19.10	Various	18.02	...	1.08	Total of 2 transactions
INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol:							
05/11/20	3.000	33.72	04/09/20	24.60	...	9.12	Sale ²⁵
MFA FINANCIAL, INC / CUSIP: 55272X102 / Symbol:							
2 transactions for 04/09/20. Total proceeds and cost reported to the IRS.							
	10.000	23.20	03/27/20	18.00	...	5.20	1 of 2 - Sale ²⁵
	20.000	41.40	03/27/20	36.00	...	5.40	2 of 2 - Sale ²⁵
04/09/20	30.000	64.60	Various	54.00	...	10.60	Total of 2 transactions
3 transactions for 08/05/20. Total proceeds and cost reported to the IRS.							
	10.000	27.13	04/15/20	16.50	...	10.63	1 of 3 - Sale ²⁵
	40.000	108.54	04/15/20	67.99	...	40.55	2 of 3 - Sale ²⁵
	10.000	27.14	04/22/20	16.50	...	10.64	3 of 3 - Sale ²⁵
08/05/20	60.000	162.81	Various	100.99	...	61.82	Total of 3 transactions

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MFA FINANCIAL, INC / CUSIP: 55272X102 / Symbol: (cont'd)							
2 transactions for 08/13/20. Total proceeds and cost reported to the IRS.							
	10.000	28.74	04/22/20	16.50	...	12.24	1 of 2 - Sale ²⁵
	30.000	86.20	04/24/20	45.50	...	40.70	2 of 2 - Sale ²⁵
08/13/20	40.000	114.94	Various	62.00	...	52.94	Total of 2 transactions
	Security total:	342.35		216.99	...	125.36	
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol:							
06/26/20	1.000	198.35	02/10/20	189.05	...	9.30	Sale ²⁵
2 transactions for 09/01/20. Total proceeds and cost reported to the IRS.							
	1.000	225.28	03/03/20	169.00	...	56.28	1 of 2 - Sale ²⁵
	1.000	226.06	03/31/20	160.00	...	66.06	2 of 2 - Sale ²⁵
09/01/20	2.000	451.34	Various	329.00	...	122.34	Total of 2 transactions
	Security total:	649.69		518.05	...	131.64	
MICRON TECHNOLOGY, INC. COMMON STOCK / CUSIP: 595112103 / Symbol:							
2 transactions for 04/29/20. Total proceeds and cost reported to the IRS.							
	1.000	50.02	02/18/20	57.01	...	-6.99	1 of 2 - Sale ²⁵
	1.000	50.02	04/23/20	43.60	...	6.42	2 of 2 - Sale ²⁵
04/29/20	2.000	100.04	Various	100.61	...	-0.57	Total of 2 transactions
NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
02/10/20	1.000	3.85	06/21/19	2.59	...	1.26	Sale ²⁵
NETFLIX, INC. COMMON STOCK / CUSIP: 64110L106 / Symbol:							
08/13/20	0.025	11.86	07/10/20	13.00	...	-1.14	Sale ²⁵
NIKOLA CORPORATION COMMON STOCK / CUSIP: 654110105 / Symbol:							
4 transactions for 09/21/20. Total proceeds and cost reported to the IRS.							
	1.000	26.69	06/15/20	68.25	...	-41.56	1 of 4 - Sale ²⁵
	1.000	26.69	06/16/20	67.75	...	-41.06	2 of 4 - Sale ²⁵
	1.000	26.69	08/03/20	34.66	...	-7.97	3 of 4 - Sale ²⁵
	1.000	26.69	08/28/20	41.24	...	-14.55	4 of 4 - Sale ²⁵
09/21/20	4.000	106.76	Various	211.90	...	-105.14	Total of 4 transactions

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NOBLE ENERGY INC. COMMON STOCK / CUSIP: 655044105 / Symbol:							
07/22/20	2.000	21.38	07/20/20	21.40	...	-0.02	Sale ²⁵
NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol:							
05/11/20	2.000	71.04	04/22/20	42.70	...	28.34	Sale ²⁵
05/12/20	2.000	75.68	04/22/20	42.70	...	32.98	Sale ²⁵
	Security total:	146.72		85.40	...	61.32	
NVIDIA CORPORATION COMMON STOCK / CUSIP: 67066G104 / Symbol:							
05/05/20	1.000	296.32	05/01/20	294.32	...	2.00	Sale ²⁵
05/05/20	1.000	296.32	02/19/20	308.80	12.48 W	0.00	Sale ²⁵
	Security total:	592.64		603.12	12.48 W	2.00	
PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol:							
08/06/20	20.000	210.66	07/08/20	194.00	...	16.66	Sale ²⁵
SPDR S&P 500 ETF TRUST / CUSIP: 78462F103 / Symbol:							
03/10/20	200.000	57,104.70 N	03/10/20	57,266.03	...	-161.33	Sold via put exercise ²⁵ Proceeds or Cost adjusted for option premium of -\$494.00
05/13/20	100.000	28,822.35 N	05/13/20	28,903.02	...	-80.67	Sold via put exercise ²⁵ Proceeds or Cost adjusted for option premium of -\$77.00
	Security total:	85,927.05		86,169.05	...	-242.00	
SSR MINING INC. COMMON STOCK / CUSIP: 784730103 / Symbol:							
09/01/20	5.000	108.50	07/17/20	109.13	...	-0.63	Sale ²⁵
SALESFORCE.COM, INC. / CUSIP: 79466L302 / Symbol:							
	2 transactions for 05/07/20. Total proceeds and cost reported to the IRS.						
	1.000	170.39	02/25/20	185.00	...	-14.61	1 of 2 - Sale ²⁵
	1.000	170.40	04/23/20	152.56	...	17.84	2 of 2 - Sale ²⁵
05/07/20	2.000	340.79	Various	337.56	...	3.23	Total of 2 transactions
SIRIUS XM HOLDINGS INC. COMMON STOCK / CUSIP: 82968B103 / Symbol:							
02/13/20	1.000	7.22	02/07/20	7.15	...	0.07	Sale ²⁵

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SPARTAN ENERGY ACQUISITION COR P. / CUSIP: 846784106 / Symbol:							
09/21/20	5.000	83.00	07/17/20	75.80	...	7.20	Sale ²⁵
SQUARE, INC. / CUSIP: 852234103 / Symbol:							
05/08/20	1.000	76.20	02/28/20	76.25	...	-0.05	Sale ²⁵
T-MOBILE US, INC. COMMON STOCK / CUSIP: 872590104 / Symbol:							
02/14/20	1.000	95.32	02/11/20	93.31	...	2.01	Sale ²⁵
TENCENT HOLDINGS LIMITED UNSPO NSORED ADR REPRESENTING B SHAR ES (CAYMAN ISLANDS) / CUSIP: 88032Q109 / Symbol:							
02/14/20	1.000	53.38	02/11/20	52.73	...	0.65	Sale ²⁵
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
05/01/20	1.000	739.66	03/09/20	629.00	...	110.66	Sale ²⁵
09/21/20	1.000	448.13	08/31/20	439.80	...	8.33	Sale ²⁵
09/23/20	0.993	400.00	09/22/20	419.79	19.79 W	0.00	Sale ²⁵
09/25/20	0.000	0.02	09/22/20	0.02	...	0.00	Sale ²⁵
<i>8 transactions for 09/25/20. Total proceeds and cost reported to the IRS.</i>							
	0.007	2.81	09/22/20	2.96	0.15 W	0.00	1 of 8 - Sale ²⁵
	0.988	396.39	09/22/20	441.16	44.77 W	0.00	2 of 8 - Sale ²⁵
	0.002	0.78	09/23/20	0.82	0.04 W	0.00	3 of 8 - Sale ²⁵
	0.088	35.24	09/23/20	38.95	3.71 W	0.00	4 of 8 - Sale ²⁵
	0.007	2.81	09/23/20	2.94	0.13 W	0.00	5 of 8 - Sale ²⁵
	0.003	1.29	09/23/20	1.34	0.05 W	0.00	6 of 8 - Sale ²⁵
	0.898	360.59	09/23/20	398.50	37.91 W	0.00	7 of 8 - Sale ²⁵
	0.000	0.07	09/23/20	0.08	0.01 W	0.00	8 of 8 - Sale ²⁵
09/25/20	1.993	799.98	Various	886.75	86.77 W	0.00	Total of 8 transactions
<i>8 transactions for 10/12/20. Total proceeds and cost reported to the IRS.</i>							
	0.000	0.04	09/23/20	0.04	...	0.00	1 of 8 - Sale ²⁵
	0.001	0.67	09/23/20	0.66	...	0.01	2 of 8 - Sale ²⁵
	0.002	0.88	09/23/20	0.82	...	0.06	3 of 8 - Sale ²⁵
	0.003	1.44	09/23/20	1.33	...	0.11	4 of 8 - Sale ²⁵
	0.007	3.14	09/23/20	2.92	...	0.22	5 of 8 - Sale ²⁵
	0.088	39.25	09/23/20	38.60	...	0.65	6 of 8 - Sale ²⁵
	0.070	31.16	10/01/20	33.80	...	-2.64	7 of 8 - Sale ²⁵

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.068	30.30	10/01/20	32.86	...	-2.56	8 of 8 - Sale ²⁵
10/12/20	0.239	106.88	Various	111.03	...	-4.15	Total of 8 transactions
10/12/20	0.692	310.08	10/01/20	336.54	12.82 W	-13.64	Sale ²⁵
3 transactions for 10/19/20. Total proceeds and cost reported to the IRS.							
	0.069	29.72	10/19/20	33.62	...	-3.90	1 of 3 - Sale ²⁵
	0.000	0.19	10/19/20	0.22	...	-0.03	2 of 3 - Sale ²⁵
	0.000	0.08	10/19/20	0.09	...	-0.01	3 of 3 - Sale ²⁵
10/19/20	0.069	29.99	Various	33.93	...	-3.94	Total of 3 transactions
10/19/20	0.155	66.79	10/19/20	74.91	2.71 W	-5.41	Sale ²⁵
5 transactions for 12/17/20. Total proceeds and cost reported to the IRS.							
	0.061	38.79	10/28/20	27.33	...	11.46	1 of 5 - Sale ²⁵
	0.008	4.92	11/04/20	3.56	...	1.36	2 of 5 - Sale ²⁵
	0.051	32.75	11/04/20	23.70	...	9.05	3 of 5 - Sale ²⁵
	0.060	38.39	11/11/20	27.30	...	11.09	4 of 5 - Sale ²⁵
	0.008	5.15	11/18/20	4.35	...	0.80	5 of 5 - Sale ²⁵
12/17/20	0.188	120.00	Various	86.24	...	33.76	Total of 5 transactions
	Security total:	3,021.53		3,018.01	122.09 W	125.61	
TORTOISE ACQUISITION CORP. CLA SS A COMMON STOCK / CUSIP: 89154L100 / Symbol:							
4 transactions for 08/24/20. Total proceeds and cost reported to the IRS.							
	0.088	2.84	06/22/20	1.53	...	1.31	1 of 4 - Sale ²⁵
	2.912	94.27	06/22/20	50.58	...	43.69	2 of 4 - Sale ²⁵
	0.002	0.05	06/26/20	0.03	...	0.02	3 of 4 - Sale ²⁵
	0.088	2.84	06/26/20	1.87	...	0.97	4 of 4 - Sale ²⁵
08/24/20	3.089	100.00	Various	54.01	...	45.99	Total of 4 transactions
08/31/20	4.911	200.30	06/26/20	104.30	...	96.00	Sale ²⁵
	Security total:	300.30		158.31	...	141.99	

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UNITED AIRLINES HOLDINGS, INC. COMMON STOCK / CUSIP: 910047109 / Symbol:							
3 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	2.000	66.04	03/26/20	74.92	...	-8.88	1 of 3 - Sale ²⁵
	1.000	33.02	05/01/20	27.48	...	5.54	2 of 3 - Sale ²⁵
	1.000	33.03	05/01/20	26.57	...	6.46	3 of 3 - Sale ²⁵
06/11/20	4.000	132.09	Various	128.97	...	3.12	Total of 3 transactions
VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol:							
4 transactions for 10/21/20. Total proceeds and cost reported to the IRS.							
	3.000	69.54	02/20/20	115.50	...	-45.96	1 of 4 - Sale ²⁵
	2.000	46.36	04/23/20	34.14	...	12.22	2 of 4 - Sale ²⁵
	1.000	23.18	05/01/20	16.78	...	6.40	3 of 4 - Sale ²⁵
	4.000	92.72	10/12/20	83.55	...	9.17	4 of 4 - Sale ²⁵
10/21/20	10.000	231.80	Various	249.97	...	-18.17	Total of 4 transactions
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol:							
08/10/20	1.000	16.17	08/04/20	18.04	1.87 W	0.00	Sale ²⁵
4 transactions for 09/24/20. Total proceeds and cost reported to the IRS.							
	1.000	21.67	09/04/20	21.34	...	0.33	1 of 4 - Sale ²⁵
	1.930	41.83	09/04/20	37.58	...	4.25	2 of 4 - Sale ²⁵
	0.002	0.04	09/08/20	0.04	...	0.00	3 of 4 - Sale ²⁵
	3.839	83.19	09/08/20	81.65	...	1.54	4 of 4 - Sale ²⁵
09/24/20	6.771	146.73	Various	140.61	...	6.12	Total of 4 transactions
09/24/20	1.000	21.67	09/23/20	23.05	1.38 W	0.00	Sale ²⁵
4 transactions for 10/14/20. Total proceeds and cost reported to the IRS.							
	3.440	78.54	10/01/20	85.61	...	-7.07	1 of 4 - Sale ²⁵
	0.999	22.81	10/01/20	26.24	...	-3.43	2 of 4 - Sale ²⁵
	0.560	12.79	10/12/20	15.11	...	-2.32	3 of 4 - Sale ²⁵
	0.001	0.02	10/12/20	0.04	...	-0.02	4 of 4 - Sale ²⁵
10/14/20	5.000	114.16	Various	127.00	...	-12.84	Total of 4 transactions
10/14/20	0.001	0.02	10/01/20	0.03	0.01 W	0.00	Sale ²⁵
	Security total:	298.75		308.73	3.26 W	-6.72	
XPRESSPA GROUP, INC. COMMON ST OCK / CUSIP: 98420U604 / Symbol:							
04/27/20	50.000	27.71	04/22/20	26.75	...	0.96	Sale ²⁵

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ZYNGA INC. CLASS A COMMON STOC K / CUSIP: 98986T108 / Symbol:							
02/13/20	1.000	6.85	04/25/19	5.44	...	1.41	Sale ²⁵
BORR DRILLING LIMITED COMMON S HARES OF PAR VALUE \$0.05 PER S HARE / CUSIP: G1466R207 / Symbol:							
3 transactions for 06/05/20. Total proceeds and cost reported to the IRS.							
	13.000	15.34	04/13/20	20.15	...	-4.81	1 of 3 - Sale ²⁵
	10.000	11.80	04/23/20	8.00	...	3.80	2 of 3 - Sale ²⁵
	10.000	11.80	05/01/20	8.10	...	3.70	3 of 3 - Sale ²⁵
06/05/20	33.000	38.94	Various	36.25	...	2.69	Total of 3 transactions
NORWEGIAN CRUISE LINE HOLDINGS LTD. ORDINARY SHARES / CUSIP: G66721104 / Symbol:							
3 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	3.000	50.70	03/26/20	50.97	...	-0.27	1 of 3 - Sale ²⁵
	1.000	16.90	04/24/20	11.21	...	5.69	2 of 3 - Sale ²⁵
	1.000	16.91	05/05/20	11.21	...	5.70	3 of 3 - Sale ²⁵
06/11/20	5.000	84.51	Various	73.39	...	11.12	Total of 3 transactions
SPI ENERGY CO., LTD. ORDINARY SHARES / CUSIP: G8651P110 / Symbol:							
3 transactions for 09/25/20. Total proceeds and cost reported to the IRS.							
	10.000	102.50	09/23/20	314.24	...	-211.74	1 of 3 - Sale ²⁵
	5.000	51.25	09/23/20	151.05	...	-99.80	2 of 3 - Sale ²⁵
	5.000	51.25	09/23/20	134.55	...	-83.30	3 of 3 - Sale ²⁵
09/25/20	20.000	205.00	Various	599.84	...	-394.84	Total of 3 transactions
Totals :		129,633.59		136,668.05	762.43 W	-6,272.03	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 574032579

Detail for Dividends and Distributions

2020

02/14/2021

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
APPLE INC. COMMON STOCK	037833100		05/14/20	0.82	Qualified dividend	
			08/13/20	0.82	Qualified dividend	
				1.64	Total Dividends & distributions	
COCA-COLA COMPANY	191216100		07/01/20	4.10	Qualified dividend	
DELTA AIR LINES, INC.	247361702		03/12/20	0.40	Qualified dividend	
GENERAL ELECTRIC COMPANY	369604103		04/27/20	0.02	Qualified dividend	
			07/27/20	0.05	Qualified dividend	
			10/26/20	0.05	Qualified dividend	
				0.12	Total Dividends & distributions	
MICROSOFT CORPORATION COMMON S TOCK	594918104		03/12/20	0.51	Qualified dividend	
			06/11/20	1.53	Qualified dividend	
			09/10/20	1.02	Qualified dividend	
				3.06	Total Dividends & distributions	
NVIDIA CORPORATION COMMON STOC K	67066G104		03/20/20	0.16	Qualified dividend	
SIRIUS XM HOLDINGS INC. COMMON STOCK	82968B103		02/28/20	0.01	Qualified dividend	
				9.49	Total Dividends & distributions	

Robinhood Securities LLC

Account 574032579

Detail for Interest Income**2020**

02/14/2021

This section of your tax information statement contains the payment level detail of taxable interest and associated bond premium. Market discount will be shown here only if you have elected to recognize it currently rather than at the time of sale or maturity. Bond premium and market discount for covered tax lots are totaled on Form 1099-INT and reported to the IRS. For noncovered tax lots, they are totaled and presented beneath the 1099-INT for informational purposes and are not reported to the IRS.

To provide a complete picture of activity for each investment, we also include here nonreportable transactions such as accrued interest paid on purchases and payment or receipt of nonqualified interest. Other amounts, such as federal, state and foreign tax withheld and investment expenses are shown as negative amounts but do not net against the reportable income totals.

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		09/30/20	0.01	Interest	
			0.01	Total Interest	

Robinhood Securities LLC

Account 574032579

Fees and Expenses

2020

02/14/2021

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		06/01/20	-0.01	Margin interest paid	
		06/30/20	-0.08	Margin interest paid	
		06/30/20	-5.00	Margin interest paid	
		07/30/20	-0.01	Margin interest paid	
		07/30/20	-5.00	Margin interest paid	
		08/31/20	-0.18	Margin interest paid	
		08/31/20	-5.00	Margin interest paid	
			-15.28	Total Margin interest paid	
			-15.28	Total Margin interest paid	

25 Tax lot closed on a first in first out basis.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

Line 1b. Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 2c. Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

Line 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Instructions for Recipient

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

Line 8. This line should be left blank if a RIC reported the foreign tax shown in line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

1099-INT Instructions for Recipient

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement line is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Line 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2020 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

Line 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction.

Line 3. Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium.

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Line 5. Any amount shown is your share of investment expenses of a singleclass REMIC. This amount is included in line 1. Note: This amount is not deductible.

Line 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

Line 7. Shows the country or U.S. possession to which the foreign tax was paid.

Line 8. Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Forms 1040 and 1040-SR. This

amount may be subject to backup withholding. See Line 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in line 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 10. For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includable in taxable income as interest income.

Line 11. For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includable in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

Line 12. For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includable in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 3. If the amount in line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

Line 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this line for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in line 8 or 9, whichever is applicable. If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

Line 14. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s). **Lines 15-17.** State tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person(s), you are considered a nominee recipient. Complete a Form 1099-INT for each of the other owners showing the income allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner(s) as the "recipient." File Form(s) 1099-INT with Form 1096 with the Internal Revenue Service Center for your area. On Form 1096, list yourself as the "filer." A spouse is not required to file a nominee return to show amounts owned by the other spouse. Future developments. For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099INT.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Instructions for Recipient

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You may also have a filing requirement. See the Instructions for Form 8938.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.