

**Apex Clearing**  
One Dallas Center  
350 North St Paul, Ste 1300  
Dallas, TX 75201

SAI VINAY SAYYAPUREDDI  
1044 REGENT DRIVE  
DEKALB, IL 60115-6533

IN ACCOUNT WITH  
**WEBULL FINANCIAL LLC**  
**(888) 828-0618**

**IMPORTANT YEAR END MESSAGES**

This document includes your Consolidated Form 1099 that we are required to provide to you and contains information that we are providing to the Internal Revenue Service and additional information that may be helpful to you for filing your tax return. You may wish to seek the advice of a tax professional when preparing your return.

Please verify your personal information for accuracy and contact us to correct any discrepancies. If you own Mutual Funds, Real Estate Trusts (REITs), REMIC Securities or certain fixed investment trusts (WHFITs), income distributions may be reclassified by the issuer after the original 1099 is sent. If you hold these securities or another security that is subject to a reclassification event, you may receive a corrected Form 1099.

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**Apex Clearing**

One Dallas Center  
350 North St Paul, Ste 1300  
Dallas, TX 75201

Customer Service: 214-765-1009  
Payer's Federal ID No: 13-2967453

IN ACCOUNT WITH  
**WEBULL FINANCIAL LLC**  
**(888) 828-0618**

**Account 5MS49345**

SAI VINAY SAYYAPUREDDI  
1044 REGENT DRIVE  
DEKALB, IL 60115-6533

RECIPIENT'S ID No: XXX-XX-5919

**Form 1099 Composite****2022**

Statement Date: 02/08/2023

 CorrectedFATCA filing Requirement **Tax Reporting Statement**

<b>Dividends and Distributions</b>	<b>2022 Form1099DIV</b>	OMB No. 1545-0110
1a- Total Ordinary Dividends (includes amount shown on 1b)	0.00	
1b- Qualified Dividends	0.00	
2a- Total Capital Gain Distributions (includes lines 2b, 2c, 2d)	0.00	
2b- Unrecaptured Section 1250 Gain	0.00	
2c- Section 1202 Gain	0.00	
2d- Collectibles (28%) Gain	0.00	
2e- Section 897 ordinary dividends	0.00	
2f- Section 897 capital gain	0.00	
3- Nondividend Distributions	0.00	
<b>4- Federal Income Tax Withheld</b>	<b>0.00</b>	
5- Section 199A Dividends	0.00	
6- Investment Expenses	0.00	
7- Foreign Tax Paid	0.00	
8- Foreign Country or U.S. Possessions		
9- Cash Liquidation Distribution	0.00	
10- Noncash Liquidation Distributions	0.00	
12- Exempt-Interest Dividends	0.00	
13- Specified Private Activity Bond Interest Dividends	0.00	

<b>Interest Income</b>	<b>2022 Form1099INT</b>	OMB No. 1545-0112
1- Interest Income	0.00	
2- Early Withdrawal Penalty	0.00	
3- Interest on US Savings Bonds & Treasury Obligations	0.00	
<b>4- Federal Income Tax Withheld</b>	<b>0.00</b>	
5- Investment Expenses	0.00	
6- Foreign Tax Paid	0.00	
7- Foreign Country or U.S. Possession		
8- Tax-Exempt Interest (includes box 9)	0.00	
9- Specified Private Activity Bond Interest	0.00	
10- Market Discount	0.00	
11- Bond Premium	0.00	
12- Bond Premium on Treasury Obligations	0.00	
13- Bond Premium on Tax-Exempt bonds	0.00	
14- Tax-Exempt and Tax Credit Bond CUSIP no.		

<b>Miscellaneous Information</b>	<b>2022 Form1099MISC</b>	OMB No. 1545-0115
2- Royalties	0.00	
3- Other Income	0.00	
<b>4- Federal Income Tax Withheld</b>	<b>0.00</b>	
8- Substitute Payments in Lieu of Dividends or Interest	0.00	

<b>REGULATED FUTURES CONTRACTS &amp; SECTION 1256 OPTIONS</b>	
	<b>2022 Form1099B</b>
8- Profit or (loss) realized in 2022 on closed contracts	-2,815.71
9- Unrealized profit or (loss) on open contracts - 12/31/2021	0.00
10- Unrealized profit or (loss) on open contracts - 12/31/2022	0.00
11- Aggregate profit or (loss) on contracts	-2,815.71
<i>As applicable, proceeds from other sale transactions are summarized below and details are provided in subsequent sections of this document. More details on futures and section 1256 options transactions are also provided in other sections of this document.</i>	

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Dividends may be reclassified for tax purposes after the original Form 1099 is sent in certain cases where information from issuers is received late. This may require us to provide you an amended tax form.

C - Corrected

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## Tax Summary

2022

5MS49345

02/08/2023

## Summary Of Sale Proceeds

Proceeds from sales of securities are reported individually by trade to the Internal Revenue Service. Please refer to the Proceeds from Broker and Barter Exchange Transactions details sections to determine correct amounts to include in your tax return. The summary transaction amounts shown below are for informational purposes.

Section	Total Proceeds	Total Cost Basis	Total Market Discount	Wash Sale Loss Disallowed	Total Net Gain or Loss(-)
Short-term transactions for covered tax lots	53,174.44	57,616.59	0.00	265.24	-4,176.91
Short-term transactions for noncovered tax lots	0.00	0.00	0.00	0.00	0.00
<b>Total Short-term</b>	<b>53,174.44</b>	<b>57,616.59</b>	<b>0.00</b>	<b>265.24</b>	<b>-4,176.91</b>
Long-term transactions for covered tax lots	0.00	0.00	0.00	0.00	0.00
Long-term transactions for noncovered tax lots	0.00	0.00	0.00	0.00	0.00
<b>Total Long-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
Undetermined transactions for noncovered tax lots	0.00	0.00	0.00	0.00	0.00
<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>

**Original Issue Discount**

Original Issue Discount (Non-US Treasury Obligations)	0.00
Original Issue Discount on U.S. Treasury Obligations	0.00
Other Periodic Interest	0.00
Market Discount - Covered Positions	0.00
Acquisition Premium - Covered Positions	0.00
Bond Premium - Covered Positions	0.00
Tax-Exempt OID - Covered Positions	0.00
Tax Exempt OID - Specified Private Activity Bonds - Covered Positions	0.00
Investment Expenses	0.00

Amounts shown in this section are summary totals for your reference. For bond-by-bond detail, use the Form1099-OID section of this document.

**FEDERAL TAX WITHHELD**

Form	Federal Income Tax Withheld
1099-B Total (aggregate):	<b>0.00</b>
1099-DIV Total:	<b>0.00</b>
1099-INT Total:	<b>0.00</b>
1099-MISC Total:	<b>0.00</b>
1099-OID Total:	<b>0.00</b>

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**Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B\***

5MS49345

02/08/2023

OMB No. 1545-0715

The following information is being provided to assist in your review and the preparation of your tax return.

This Form 1099-B - Proceeds from Broker and Barter Exchange Transactions provides information relating to sales of securities in your account. The sales details are organized into potentially five separate sections depending on your holding period in the security for the sale (short-term vs long-term) and whether the security is considered a "covered security" for tax reporting purpose. For covered securities, cost basis (adjusted as required under tax regulations) is being reported to the Internal Revenue Service (IRS), whereas for noncovered securities, cost basis if set forth below is provided only for your information.

A separate category is provided for sales of securities for which we do not know your holding period. For such tax lots, you will need to use your historical documents to determine your holding period (whether short-term or long-term) and your tax basis.

Unless noted otherwise under the column "Additional Notes", cost basis and corresponding gain or loss is determined by treating tax lots acquired first as being sold first. We have also assumed that you have made an election to amortize premium on the purchase of taxable bonds.

As brokers, we are only required to apply wash sales loss disallowance rules to securities with the same CUSIP or identifier and purchased and sold within the same account. We are also not required to apply wash sales rules across covered and noncovered securities. You as the taxpayer, however, generally have broader obligations under the wash sales rules to determine disallowed losses, and you may wish to consult with a tax adviser as to the application of such rules to you.

Sales of certain contingent payment debt instruments give rise to ordinary income rather than short-term or long-term capital gain or loss. Such ordinary income treatment is indicated in the Additional Notes column as "Box 2 - Ordinary." In addition, certain assets classified as collectibles are subject to special tax treatment. Any such classification is noted in the Additional Notes column as "Box 3 - Collectibles Box Checked." Loss disallowed for certain transactions involving change in control or capital structure is noted in the Additional Notes column as "Box 7 - Loss Disallowed Box Checked." Disposition of a QOF investment is noted in the Additional Notes column as "Box 3 - QOF."

Information in the transaction detail sections below that have a Box number is being transmitted to the IRS, whereas additional information that is not being transmitted to the IRS is not headed by a Box number. Such additional information may be helpful to you in the preparation of your tax return. Remember that taxpayers are ultimately responsible for the accuracy of their tax returns.

FATCA filing requirement [ ]

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

**Box 5:** Box Not Checked (Covered Security)

**Box 6:** Gross (unless indicated as Net in Additional Notes Column)

**Box 12:** Basis Reported to the IRS

**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.**

**1a - Description of property | CUSIP | Symbol**

<b>1c - Date Sold or Disposed</b>	<b>Quantity</b>	<b>1d - Proceeds</b>	<b>Date Acquired</b>	<b>Cost or Other Basis</b>	<b>1f - Accrued Market Discount (M) &amp; 1g - Wash Sale Loss Disallowed (D)</b>	<b>Gain or Loss (-)</b>	<b>Additional Notes</b>
CALL TSLA 08/19/22	1000	TESLA INC   CUSIP: *8PJNXC0   Symbol: TSLA--220819C01000000					
2022-08-17	2.000000	139.88	2022-08-16	869.10	0.00	-729.22	
PUT WMT 05/20/22	125	WALMART INC   CUSIP: *8SLCVY9   Symbol: WMT---220520P00125000					
2022-05-18	1.000000	262.93	2022-05-18	224.05	0.00	38.88	
CALL AAPL 08/19/22	175	APPLE INC   CUSIP: *8GPCCC5   Symbol: AAPL--220819C00175000					
2022-08-19	2.000000	7.88	2022-08-17	154.10	0.00	-146.22	

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## Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B\*

5MS49345

(continued)

02/08/2023

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

<b>1c - Date Sold or Disposed</b>	<b>Quantity</b>	<b>1d - Proceeds</b>	<b>Date Acquired</b>	<b>Cost or Other Basis</b>	<b>1f - Accrued Market Discount (M) &amp; 1g - Wash Sale Loss Disallowed (D)</b>	<b>Gain or Loss (-)</b>	<b>Additional Notes</b>
CALL MSFT 05/20/22	260	MICROSOFT CORP	CUSIP: *8SLBRG8   Symbol: MSFT--220520C00260000				
2022-05-16	1.000000	558.92	2022-05-16	751.05	0.00	-192.13	
PUT MSFT 05/20/22	260	MICROSOFT CORP	CUSIP: *8SLBRG9   Symbol: MSFT--220520P00260000				
2022-05-18	1.000000	429.93	2022-05-18	327.05	0.00	102.88	
PUT SPY 05/20/22	386	STANDARD & POORS DEPOSITORY	CUSIP: *8SMGZN2   Symbol: SPY---220520P00386000				
2022-05-19	1.000000	115.93	2022-05-19	361.05	0.00	-245.12	
PUT SPY 05/20/22	382	STANDARD & POORS DEPOSITORY	CUSIP: *8SMGZM6   Symbol: SPY---220520P00382000				
2022-05-20	1.000000	3.93	2022-05-20	46.05	0.00	-42.12	
CALL AAPL 04/29/22	170	APPLE INC	CUSIP: *8SPPVY7   Symbol: AAPL--220429C00170000				
2022-04-29	1.000000	2.93	2022-04-28	98.05	0.00	-95.12	
PUT FDX 04/29/22	200	FEDEX CORP	CUSIP: *8SPSKS2   Symbol: FDX---220429P00200000				
2022-04-28	1.000000	59.93	2022-04-27	420.05	0.00	-360.12	
CALL TSLA 04/22/22	1100	TESLA INC	CUSIP: *8PJBS3   Symbol: TSLA--220422C01100000				
2022-04-21	1.000000	1,039.93	2022-04-20	680.05	0.00	359.88	
PUT NVDA 04/29/22	190	NVIDIA CORPORATION	CUSIP: *8SPVVQ3   Symbol: NVDA--220429P00190000				
2022-04-29	1.000000	164.93	2022-04-29	108.05	0.00	56.88	
PUT NVDA 04/29/22	195	NVIDIA CORPORATION	CUSIP: *8SPVVQ5   Symbol: NVDA--220429P00195000				
2022-04-28	2.000000	369.88	2022-04-28	590.10	110.11 D	-220.22	
2022-04-29	1.000000	629.93	2022-04-29	369.16	0.00	260.77	
<b>Security Totals:</b>		<b>999.81</b>		<b>959.26</b>		<b>40.55</b>	
PUT COIN 04/29/22	125	COINBASE GLOBAL INC	CUSIP: *8SQKFH3   Symbol: COIN--220429P00125000				
2022-04-28	1.000000	599.93	2022-04-27	439.05	0.00	160.88	
PUT NFLX 04/29/22	200	NETFLIX INC	CUSIP: *8SQKYT5   Symbol: NFLX--220429P00200000				
2022-04-22	1.000000	361.93	2022-04-21	320.05	0.00	41.88	
CALL TSLA 04/29/22	980	TESLA INC	CUSIP: *8SPXRG7   Symbol: TSLA--220429C00980000				
2022-04-27	1.000000	157.93	2022-04-27	555.05	0.00	-397.12	

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## Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B\*

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(continued)

02/08/2023

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.**

1a - Description of property   CUSIP   Symbol					1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis			
PUT BMBL 05/20/22	20 BUMBLE INC CLASS A   CUSIP: *8CXTYQ8   Symbol: BMBL--220520P00020000						
2022-05-10	1.000000	269.93	2022-05-10	285.05	0.00	-15.12	
CALL LYFT 05/20/22	20 LYFT INC CLASS A   CUSIP: *8GMCRN3   Symbol: LYFT--220520C00020000						
2022-05-04	1.000000	231.93	2022-05-04	212.05	0.00	19.88	
CALL MSFT 04/29/22	285 MICROSOFT CORP   CUSIP: *8SPVKJ5   Symbol: MSFT--220429C00285000						
2022-04-27	1.000000	294.93	2022-04-27	421.05	0.00	-126.12	
CALL XOM 05/06/22	90 EXXON MOBIL CORP   CUSIP: *8NTQBB7   Symbol: XOM---220506C00090000						
2022-05-04	1.000000	114.93	2022-05-03	65.05	0.00	49.88	
PUT SPY 05/06/22	414 STANDARD & POORS DEPOSITORY   CUSIP: *8PKSZS8   Symbol: SPY---220506P00414000						
2022-05-05	1.000000	575.93	2022-05-05	359.05	0.00	216.88	
PUT TSLA 04/29/22	885 TESLA INC   CUSIP: *8SPXRC0   Symbol: TSLA--220429P00885000						
2022-04-26	1.000000	824.93	2022-04-26	555.05	0.00	269.88	
PUT TSLA 05/06/22	890 TESLA INC   CUSIP: *8PKTHQ1   Symbol: TSLA--220506P00890000						
2022-05-05	1.000000	2,554.92	2022-05-05	500.05	0.00	2,054.87	
CALL XOM 05/13/22	87 EXXON MOBIL CORP   CUSIP: *8SQMNM8   Symbol: XOM---220513C00087000						
2022-05-13	1.000000	114.93	2022-05-12	57.05	0.00	57.88	
CALL TSLA 05/06/22	995 TESLA INC   CUSIP: *8NSQYS6   Symbol: TSLA--220506C00995000						
2022-05-03	1.000000	584.93	2022-05-03	484.05	0.00	100.88	
PUT QQQ 04/27/22	316 INVESCO QQQ TRUST SERIES 1   CUSIP: *8SRRNB4   Symbol: QQQ---220427P00316000						
2022-04-27	1.000000	0.00	2022-04-27	280.05	0.00	-280.05	
PUT FB 05/27/22	175 META PLATFORMS INC CL A   CUSIP: *8SPLXX7   Symbol: FB----220527P00175000						
2022-05-25	1.000000	139.93	2022-05-25	228.05	0.00	-88.12	
CALL NVDA 04/29/22	202.50 NVIDIA CORPORATION   CUSIP: *8KLJLR9   Symbol: NVDA--220429C00202500						
2022-04-26	1.000000	259.93	2022-04-25	456.05	0.00	-196.12	
CALL TSLA 05/06/22	975 TESLA INC   CUSIP: *8NSYQ3   Symbol: TSLA--220506C00975000						
2022-05-03	1.000000	494.93	2022-05-02	465.05	0.00	29.88	

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## Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B\*

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(continued)

02/08/2023

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

<b>1c - Date Sold or Disposed</b>	<b>Quantity</b>	<b>1d - Proceeds</b>	<b>Date Acquired</b>	<b>Cost or Other Basis</b>	<b>1f - Accrued Market Discount (M) &amp; 1g - Wash Sale Loss Disallowed (D)</b>	<b>Gain or Loss (-)</b>	<b>Additional Notes</b>
CALL AMD 05/20/22	99	ADVANCED MICRO DEVICES INC   CUSIP: *8CTHLW0   Symbol: AMD---220520C00099000	2022-05-17	464.92	335.05	0.00	129.87
CALL AMD 05/13/22	94	ADVANCED MICRO DEVICES INC   CUSIP: *8GHCHF2   Symbol: AMD---220513C00094000	2022-05-13	117.93	206.05	0.00	-88.12
CALL AMD 05/20/22	96	ADVANCED MICRO DEVICES INC   CUSIP: *8CTHHT9   Symbol: AMD---220520C00096000	2022-05-19	191.93	268.05	0.00	-76.12
CALL SPY 05/06/22	426	STANDARD & POORS DEPOSITORY   CUSIP: *8PKTBK1   Symbol: SPY---220506C00426000	2022-05-04	350.93	149.05	0.00	201.88
PUT NVDA 04/29/22	192.50	NVIDIA CORPORATION   CUSIP: *8NTKSS1   Symbol: NVDA--220429P00192500	2022-04-22	1,049.88	980.10	0.00	69.78
CALL SQ 04/29/22	109	BLOCK INC   CUSIP: *8NTXWG9   Symbol: SQ----220429C00109000	2022-04-26	239.93	460.05	0.00	-220.12
CALL TSLA 06/03/22	820	TESLA INC   CUSIP: *8PQTFY8   Symbol: TSLA--220603C00820000	2022-06-01	93.93	530.05	0.00	-436.12
PUT BA 04/29/22	152.50	BOEING CO   CUSIP: *8GGDNY6   Symbol: BA----220429P00152500	2022-04-27	709.93	360.05	0.00	349.88
CALL AMD 06/03/22	100	ADVANCED MICRO DEVICES INC   CUSIP: *8PPKLH4   Symbol: AMD---220603C00100000	2022-06-01	203.93	480.05	0.00	-276.12
CALL SPY 05/31/22	415	STANDARD & POORS DEPOSITORY   CUSIP: *8PNPFY0   Symbol: SPY---220531C00415000	2022-05-26	76.93	72.05	0.00	4.88
CALL NVDA 06/03/22	195	NVIDIA CORPORATION   CUSIP: *8PQJLS3   Symbol: NVDA--220603C00195000	2022-06-01	289.71	952.25	0.00	-662.54
CALL COIN 05/06/22	120	COINBASE GLOBAL INC   CUSIP: *8NVVNG1   Symbol: COIN--220506C00120000	2022-05-04	779.93	460.05	0.00	319.88
PUT NVDA 05/20/22	167.50	NVIDIA CORPORATION   CUSIP: *8PMSFP3   Symbol: NVDA--220520P00167500	2022-05-16	279.93	365.05	0.00	-85.12

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Apex Clearing

## Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B\*

5MS49345

(continued)

02/08/2023

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

<b>1c - Date Sold or Disposed</b>	<b>Quantity</b>	<b>1d - Proceeds</b>	<b>Date Acquired</b>	<b>Cost or Other Basis</b>	<b>1f - Accrued Market Discount (M) &amp; 1g - Wash Sale Loss Disallowed (D)</b>	<b>Gain or Loss (-)</b>	<b>Additional Notes</b>
CALL SPY 05/11/22	410 STANDARD & POORS DEPOSITORY   CUSIP: *8SPGNY2   Symbol: SPY---220511C00410000						
2022-05-09	2.000000	479.88	2022-05-09	670.10	0.00	-190.22	
CALL COIN 05/13/22	124 COINBASE GLOBAL INC   CUSIP: *8SQRDD0   Symbol: COIN--220513C00124000						
2022-05-09	2.000000	189.88	2022-05-06	820.10	0.00	-630.22	
PUT SPY 05/13/22	393 STANDARD & POORS DEPOSITORY   CUSIP: *8SQTLD9   Symbol: SPY---220513P00393000						
2022-05-11	2.000000	889.88	Various	575.10	0.00	314.78	
PUT COIN 05/06/22	119 COINBASE GLOBAL INC   CUSIP: *8PMDJL6   Symbol: COIN--220506P00119000						
2022-05-04	1.000000	399.93	2022-05-04	520.05	0.00	-120.12	
CALL AMZN 05/13/22	2650 AMAZON.COM INC   CUSIP: *8PNRJG7   Symbol: AMZN--220513C02650000						
2022-05-09	2.000000	195.88	2022-05-06	680.10	0.00	-484.22	
CALL ABBV 05/06/22	144 ABBVIE INC   CUSIP: *8PRRZY4   Symbol: ABBV--220506C00144000						
2022-05-03	1.000000	539.93	2022-04-29	280.05	0.00	259.88	
CALL ABBV 05/13/22	150 ABBVIE INC   CUSIP: *8SPPGP4   Symbol: ABBV--220513C00150000						
2022-05-11	2.000000	929.88	2022-05-06	715.10	0.00	214.78	
PUT LYFT 05/06/22	24.50 LYFT INC CLASS A   CUSIP: *8PMJKL7   Symbol: LYFT--220506P00024500						
2022-05-04	1.000000	384.93	2022-05-04	285.05	0.00	99.88	
PUT SPY 05/25/22	384 STANDARD & POORS DEPOSITORY   CUSIP: *8SSDWS3   Symbol: SPY---220525P00384000						
2022-05-24	1.000000	236.93	2022-05-23	215.05	0.00	21.88	
PUT TSLA 05/13/22	705 TESLA INC   CUSIP: *8SSFDD9   Symbol: TSLA--220513P00705000						
2022-05-05	1.000000	324.93	2022-05-05	310.05	0.00	14.88	
CALL RCL 05/13/22	80 ROYAL CARIBBEAN GROUP   CUSIP: *8SQFWD1   Symbol: RCL---220513C00080000						
2022-05-05	1.000000	141.93	2022-05-04	206.05	0.00	-64.12	
PUT TSLA 05/13/22	690 TESLA INC   CUSIP: *8SRBHM4   Symbol: TSLA--220513P00690000						
2022-05-11	1.000000	746.93	2022-05-10	335.05	0.00	411.88	
PUT SPY 05/16/22	399 STANDARD & POORS DEPOSITORY   CUSIP: *8SRBFC4   Symbol: SPY---220516P00399000						
2022-05-16	2.000000	77.88	2022-05-16	508.10	0.00	-430.22	

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Apex Clearing

## Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B\*

5MS49345

(continued)

02/08/2023

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

<b>1c - Date Sold or Disposed</b>	<b>Quantity</b>	<b>1d - Proceeds</b>	<b>Date Acquired</b>	<b>Cost or Other Basis</b>	<b>1f - Accrued Market Discount (M) &amp; 1g - Wash Sale Loss Disallowed (D)</b>	<b>Gain or Loss (-)</b>	<b>Additional Notes</b>
CALL AXP 06/10/22	170	AMERICAN EXPRESS COMPANY   CUSIP: *8PNSCL5   Symbol: AXP---220610C00170000					
2022-06-06	1.000000	249.93	2022-05-31	435.05	0.00	-185.12	
CALL NVDA 05/13/22	170	NVIDIA CORPORATION   CUSIP: *8SQFHT1   Symbol: NVDA--220513C00170000					
2022-05-13	1.000000	809.92	2022-05-13	665.05	0.00	144.87	
CALL UBER 05/13/22	27.50	UBER TECHNOLOGIES INC   CUSIP: *8SQTDD8   Symbol: UBER--220513C00027500					
2022-05-05	1.000000	128.93	2022-05-04	169.05	0.00	-40.12	
CALL QQQ 06/10/22	314	INVESCO QQQ TRUST SERIES 1   CUSIP: *8SQVWQ8   Symbol: QQQ---220610C00314000					
2022-06-10	1.000000	0.00	2022-06-08	242.05	0.00	-242.05	
CALL SPY 05/16/22	396	STANDARD & POORS DEPOSITORY   CUSIP: *8GCHQF4   Symbol: SPY---220516C00396000					
2022-05-16	1.000000	499.92	2022-05-16	735.05	0.00	-235.13	
CALL QQQ 06/01/22	310	INVESCO QQQ TRUST SERIES 1   CUSIP: *8PMLFW1   Symbol: QQQ---220601C00310000					
2022-05-31	1.000000	304.93	2022-05-31	226.05	0.00	78.88	
2022-06-01	1.000000	10.93	2022-05-31	226.05	0.00	-215.12	
<b>Security Totals:</b>		<b>315.86</b>		<b>452.10</b>		<b>-136.24</b>	
CALL TSLA 05/13/22	815	TESLA INC   CUSIP: *8PPKRH8   Symbol: TSLA--220513C00815000					
2022-05-12	1.000000	61.93	2022-05-12	270.05	0.00	-208.12	
CALL TSLA 05/13/22	780	TESLA INC   CUSIP: *8PPKRG8   Symbol: TSLA--220513C00780000					
2022-05-13	1.000000	849.92	2022-05-13	545.05	0.00	304.87	
CALL AMZN 06/03/22	2620	AMAZON.COM INC   CUSIP: *8PPKPW6   Symbol: #8PPKPW6					
2022-06-02	1.000000	259.93	2022-06-01	600.05	0.00	-340.12	
CALL NVDA 05/13/22	172.50	NVIDIA CORPORATION   CUSIP: *8SQVKC7   Symbol: NVDA--220513C00172500					
2022-05-13	3.000000	1,109.81	2022-05-13	809.15	0.00	300.66	
CALL RIVN 05/13/22	24.50	RIVIAN AUTOMOTIVE INC CLASS A   CUSIP: *8SSDPG2   Symbol: RIVN--220513C00024500					
2022-05-12	1.000000	73.93	2022-05-12	185.05	0.00	-111.12	
CALL TSLA 05/13/22	785	TESLA INC   CUSIP: *8SSFDDG4   Symbol: TSLA--220513C00785000					
2022-05-12	3.000000	1,879.81	2022-05-12	1,372.15	0.00	507.66	

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Apex Clearing

## Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B\*

5MS49345

(continued)

02/08/2023

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

<b>1c - Date Sold or Disposed</b>	<b>Quantity</b>	<b>1d - Proceeds</b>	<b>Date Acquired</b>	<b>Cost or Other Basis</b>	<b>1f - Accrued Market Discount (M) &amp; 1g - Wash Sale Loss Disallowed (D)</b>	<b>Gain or Loss (-)</b>	<b>Additional Notes</b>
CALL COIN 05/13/22	70 COINBASE GLOBAL INC   CUSIP: *8PMDJN1   Symbol: COIN--220513C00070000						
2022-05-13	1.000000	329.93	2022-05-13	285.05	0.00	44.88	
CALL QQQ 05/13/22	296 INVESCO QQQ TRUST SERIES 1   CUSIP: *8SQSZL2   Symbol: QQQ---220513C00296000						
2022-05-12	1.000000	319.93	2022-05-12	277.05	0.00	42.88	
CALL SPY 05/23/22	392 STANDARD & POORS DEPOSITORY   CUSIP: *8SQTLM4   Symbol: SPY---220523C00392000						
2022-05-23	1.000000	359.93	2022-05-20	133.05	0.00	226.88	
CALL TSLA 06/17/22	735 TESLA INC   CUSIP: *8KTZGF9   Symbol: TSLA--220617C00735000						
2022-06-16	1.000000	56.93	2022-06-15	460.05	0.00	-403.12	
CALL TSLA 05/27/22	750 TESLA INC   CUSIP: *8SRGVL1   Symbol: TSLA--220527C00750000						
2022-05-27	2.000000	1,899.84	2022-05-27	1,400.10	0.00	499.74	
CALL TSLA 05/27/22	745 TESLA INC   CUSIP: *8SSFDJ0   Symbol: TSLA--220527C00745000						
2022-05-27	1.000000	1,219.91	2022-05-27	715.05	0.00	504.86	
PUT AAPL 05/27/22	141 APPLE INC   CUSIP: *8SSPWB3   Symbol: AAPL--220527P00141000						
2022-05-25	1.000000	219.93	2022-05-24	314.05	0.00	-94.12	
CALL COIN 05/13/22	59 COINBASE GLOBAL INC   CUSIP: *8SSRQS6   Symbol: COIN--220513C00059000						
2022-05-12	1.000000	209.93	2022-05-12	430.05	0.00	-220.12	
PUT TSLA 06/03/22	690 TESLA INC   CUSIP: *8SSZLQ7   Symbol: TSLA--220603P00690000						
2022-05-31	1.000000	549.92	2022-05-31	705.05	155.13 D	-155.13	
2022-06-03	1.000000	94.93	2022-06-02	555.18	0.00	-460.25	
<b>Security Totals:</b>		<b>644.85</b>		<b>1,260.23</b>		<b>-615.38</b>	
CALL TSLA 05/27/22	685 TESLA INC   CUSIP: *8SSZLP6   Symbol: TSLA--220527C00685000						
2022-05-26	1.000000	2,099.89	2022-05-25	680.05	0.00	1,419.84	
CALL AAPL 05/20/22	143 APPLE INC   CUSIP: *8STFHB2   Symbol: AAPL--220520C00143000						
2022-05-19	1.000000	66.93	2022-05-18	236.05	0.00	-169.12	
PUT QQQ 05/20/22	287 INVESCO QQQ TRUST SERIES 1   CUSIP: *8STKFG1   Symbol: QQQ---220520P00287000						
2022-05-19	1.000000	111.93	2022-05-19	360.05	0.00	-248.12	

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Apex Clearing

## Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B\*

5MS49345

(continued)

02/08/2023

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

<b>1c - Date Sold or Disposed</b>	<b>Quantity</b>	<b>1d - Proceeds</b>	<b>Date Acquired</b>	<b>Cost or Other Basis</b>	<b>1f - Accrued Market Discount (M) &amp; 1g - Wash Sale Loss Disallowed (D)</b>	<b>Gain or Loss (-)</b>	<b>Additional Notes</b>
PUT TSLA 05/20/22	645 TESLA INC   CUSIP: *8STLJQ9   Symbol: TSLA--220520P00645000						
2022-05-16	2.000000	759.87	2022-05-16	900.10	0.00	-140.23	
CALL COIN 05/27/22	72 COINBASE GLOBAL INC   CUSIP: *8SRXWH8   Symbol: COIN--220527C00072000						
2022-05-19	1.000000	309.93	2022-05-19	380.05	0.00	-70.12	
PUT TSLA 05/27/22	565 TESLA INC   CUSIP: *8STLJR9   Symbol: TSLA--220527P00565000						
2022-05-25	1.000000	179.93	2022-05-24	510.05	0.00	-330.12	
CALL CRM 06/03/22	177.50 SALESFORCE INC   CUSIP: *8SVFGD6   Symbol: CRM---220603C00177500						
2022-06-02	1.000000	159.93	2022-06-01	510.05	0.00	-350.12	
PUT NVDA 05/27/22	146 NVIDIA CORPORATION   CUSIP: *8KLFBY5   Symbol: NVDA--220527P00146000						
2022-05-25	1.000000	189.93	2022-05-24	310.05	0.00	-120.12	
CALL SPY 06/01/22	419 STANDARD & POORS DEPOSITORY   CUSIP: *8KLMTR5   Symbol: SPY---220601C00419000						
2022-05-31	1.000000	126.93	2022-05-31	66.05	0.00	60.88	
CALL AAPL 06/10/22	148 APPLE INC   CUSIP: *8KNCQR0   Symbol: AAPL--220610C00148000						
2022-06-07	1.000000	244.93	2022-06-06	266.05	0.00	-21.12	
CALL QQQ 06/06/22	312 INVESCO QQQ TRUST SERIES 1   CUSIP: *8KMDNM0   Symbol: QQQ---220606C00312000						
2022-06-06	1.000000	0.00	2022-06-06	127.05	0.00	-127.05	
CALL AMZN 09/16/22	136 AMAZON.COM INC ADJ 20:1 STOCK SPLIT   CUSIP: *8STZPM1   Symbol: AMZN--220916C00136000						
2022-09-12	1.000000	261.93	2022-09-12	183.05	0.00	78.88	
CALL META 06/24/22	170 META PLATFORMS INC CL A   CUSIP: *8PSMLT6   Symbol: META--220624C00170000						
2022-06-24	1.000000	6.93	2022-06-22	64.05	0.00	-57.12	
CALL QQQ 07/29/22	310 INVESCO QQQ TRUST SERIES 1   CUSIP: *8PSQCZ2   Symbol: QQQ---220729C00310000						
2022-07-28	2.000000	367.88	2022-07-28	332.10	0.00	35.78	
CALL TSLA 07/29/22	880 TESLA INC   CUSIP: *8STSSQ8   Symbol: #8STSSQ8						
2022-07-29	3.000000	1,664.76	2022-07-29	507.15	0.00	1,157.61	
CALL SPY 06/21/22	377 STANDARD & POORS DEPOSITORY   CUSIP: *8STKYN0   Symbol: SPY---220621C00377000						
2022-06-21	1.000000	67.93	2022-06-21	46.05	0.00	21.88	

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Apex Clearing

## Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B\*

5MS49345

(continued)

02/08/2023

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

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CALL AMD 07/29/22	91	ADVANCED MICRO DEVICES INC   CUSIP: *8SVLMG4   Symbol: AMD---220729C00091000					
2022-07-29	1.000000	266.93	2022-07-28	155.05	0.00	111.88	
CALL TSLA 07/29/22	860	TESLA INC   CUSIP: *8STSSQ0   Symbol: TSLA--220729C00860000					
2022-07-29	2.000000	4,474.76	2022-07-29	1,110.10	0.00	3,364.66	
CALL AMZN 08/05/22	140	AMAZON.COM INC   CUSIP: *8LKQJD2   Symbol: AMZN--220805C00140000					
2022-08-04	1.000000	294.93	2022-08-04	206.05	0.00	88.88	
2022-08-05	1.000000	100.93	2022-08-04	206.05	0.00	-105.12	
<b>Security Totals:</b>		<b>395.86</b>		<b>412.10</b>		<b>-16.24</b>	
CALL META 08/05/22	170	META PLATFORMS INC CL A   CUSIP: *8LNVRP0   Symbol: META--220805C00170000					
2022-08-05	1.000000	26.93	2022-08-05	190.05	0.00	-163.12	
CALL NVDA 08/05/22	190	NVIDIA CORPORATION   CUSIP: *8LPLQX8   Symbol: NVDA--220805C00190000					
2022-08-05	1.000000	62.93	2022-08-01	340.05	0.00	-277.12	
CALL TSLA 08/05/22	1000	TESLA INC   CUSIP: *8NCWCZ6   Symbol: TSLA--220805C01000000					
2022-08-05	2.000000	5.88	2022-08-01	1,067.10	0.00	-1,061.22	
GENWORTH FINANCIAL INC COM CL A		CUSIP: 37247D106   Symbol: GNW					
2022-04-20	2.000000	7.50	2021-08-30	0.00	0.00	7.50	
CALL TSLA 08/05/22	950	TESLA INC   CUSIP: *8NCWCJ4   Symbol: TSLA--220805C00950000					
2022-08-05	4.000000	59.78	Various	4,445.20	0.00	-4,385.42	
CALL QQQ 08/12/22	335	INVESCO QQQ TRUST SERIES 1   CUSIP: *8SWLHS1   Symbol: QQQ---220812C00335000					
2022-08-12	1.000000	0.00	2022-08-11	16.05	0.00	-16.05	
OPKO HEALTH INC		CUSIP: 68375N103   Symbol: OPK					
2022-04-20	2.000000	6.48	2021-08-30	0.00	0.00	6.48	
CALL NVDA 08/26/22	180	NVIDIA CORPORATION   CUSIP: *8SVVPP4   Symbol: NVDA--220826C00180000					
2022-08-26	1.000000	0.93	2022-08-25	203.05	0.00	-202.12	
CALL AAPL 07/29/22	157.50	APPLE INC   CUSIP: *8SVZPM7   Symbol: AAPL--220729C00157500					
2022-07-29	1.000000	505.92	2022-07-28	262.05	0.00	243.87	

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Apex Clearing

## Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B\*

5MS49345

(continued)

02/08/2023

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

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CALL BABA 08/26/22	100	ALIBABA GROUP HOLDING LIMITED   CUSIP: *8SWBHG9   Symbol: BABA--220826C00100000	2022-08-26	1.000000	1.93	200.05	0.00	-198.12
CALL AMZN 07/29/22	137	AMAZON.COM INC   CUSIP: *8GCZDS1   Symbol: AMZN--220729C00137000	2022-07-29	1.000000	40.93	127.05	0.00	-86.12
CALL QQQ 08/03/22	318	INVESCO QQQ TRUST SERIES 1   CUSIP: *8SVWFL8   Symbol: QQQ--220803C00318000	2022-08-03	2.000000	1,024.84	456.10	0.00	568.74
CALL NFLX 08/05/22	227.50	NETFLIX INC   CUSIP: *8NTXPH4   Symbol: NFLX--220805C00227500	2022-08-05	1.000000	37.93	470.05	0.00	-432.12
CALL MSFT 08/05/22	282.50	MICROSOFT CORP   CUSIP: *8LMMMP3   Symbol: MSFT--220805C00282500	2022-08-05	1.000000	42.93	178.05	0.00	-135.12
PUT AMD 08/12/22	91	ADVANCED MICRO DEVICES INC   CUSIP: *8NVYFB1   Symbol: AMD---220812P00091000	2022-08-10	1.000000	14.93	73.05	0.00	-58.12
PUT NVDA 09/02/22	140	NVIDIA CORPORATION   CUSIP: *8NXYWQ2   Symbol: NVDA--220902P00140000	2022-09-01	2.000000	533.86	352.10	0.00	181.76
CALL META 08/05/22	162.50	META PLATFORMS INC CL A   CUSIP: *8LMKXQ8   Symbol: META--220805C00162500	2022-08-03	1.000000	699.92	270.05	0.00	429.87
CALL AMZN 09/02/22	130	AMAZON.COM INC   CUSIP: *8NVYTJ0   Symbol: AMZN--220902C00130000	2022-09-02	4.000000	212.74	323.20	0.00	-110.46
CALL NVDA 08/05/22	187.50	NVIDIA CORPORATION   CUSIP: *8NXYVV2   Symbol: NVDA--220805C00187500	2022-08-04	1.000000	519.92	300.05	0.00	219.87
			2022-08-05	1.000000	179.93	300.05	0.00	-120.12
<b>Security Totals:</b>				<b>699.85</b>		<b>600.10</b>		<b>99.75</b>
CALL AMD 08/05/22	103	ADVANCED MICRO DEVICES INC   CUSIP: *8PVVCH7   Symbol: AMD---220805C00103000	2022-08-05	3.000000	131.83	300.15	0.00	-168.32

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Apex Clearing

## Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B\*

5MS49345

(continued)

02/08/2023

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

<b>1c - Date Sold or Disposed</b>	<b>Quantity</b>	<b>1d - Proceeds</b>	<b>Date Acquired</b>	<b>Cost or Other Basis</b>	<b>1f - Accrued Market Discount (M) &amp; 1g - Wash Sale Loss Disallowed (D)</b>	<b>Gain or Loss (-)</b>	<b>Additional Notes</b>
CALL AMD 08/05/22	102	ADVANCED MICRO DEVICES INC   CUSIP: *8PVVCH5   Symbol: AMD---220805C00102000					
2022-08-04	1.000000	237.93	2022-08-04	118.05	0.00	119.88	
2022-08-05	2.000000	189.88	2022-08-04	236.10	0.00	-46.22	
<b>Security Totals:</b>		<b>427.81</b>		<b>354.15</b>		<b>73.66</b>	
PUT META 08/12/22	157.50	META PLATFORMS INC CL A   CUSIP: *8PXMGX7   Symbol: META--220812P00157500					
2022-08-08	1.000000	22.93	2022-08-05	93.05	0.00	-70.12	
CALL QQQ 08/05/22	321	INVESCO QQQ TRUST SERIES 1   CUSIP: *8PYDPM2   Symbol: QQQ---220805C00321000					
2022-08-05	3.000000	224.82	2022-08-05	429.15	0.00	-204.33	
CALL SPY 08/17/22	430	STANDARD & POORS DEPOSITORY   CUSIP: *8BTFMJ9   Symbol: SPY---220817C00430000					
2022-08-17	1.000000	15.93	2022-08-16	131.05	0.00	-115.12	
CALL QQQ 08/26/22	323	INVESCO QQQ TRUST SERIES 1   CUSIP: *8PVCRV3   Symbol: QQQ---220826C00323000					
2022-08-26	1.000000	0.93	2022-08-26	89.05	0.00	-88.12	
PUT QQQ 08/08/22	318	INVESCO QQQ TRUST SERIES 1   CUSIP: *8SVWFM9   Symbol: QQQ---220808P00318000					
2022-08-08	2.000000	17.88	2022-08-05	416.10	0.00	-398.22	
CALL ABBV 05/20/22	155	ABBVIE INC   CUSIP: *8GBYJG8   Symbol: ABBV--220520C00155000					
2022-05-18	1.000000	69.93	2022-05-17	173.05	0.00	-103.12	
PUT AMZN 09/09/22	119	AMAZON.COM INC   CUSIP: *8SXQTM5   Symbol: AMZN--220909P00119000					
2022-09-06	1.000000	37.93	2022-09-06	52.05	0.00	-14.12	
CALL AAPL 09/23/22	160	APPLE INC   CUSIP: *8SWQKW8   Symbol: AAPL--220923C00160000					
2022-09-21	1.000000	99.94	2022-09-20	144.05	0.00	-44.11	
CALL NFLX 09/23/22	255	NETFLIX INC   CUSIP: *8SWVXW3   Symbol: NFLX--220923C00255000					
2022-09-20	1.000000	204.93	2022-09-20	270.05	0.00	-65.12	
CALL AAPL 09/23/22	157.50	APPLE INC   CUSIP: *8SWQKW6   Symbol: AAPL--220923C00157500					
2022-09-20	2.000000	429.86	2022-09-20	290.10	0.00	139.76	
CALL META 09/02/22	167.50	META PLATFORMS INC CL A   CUSIP: *8BSZQN3   Symbol: META--220902C00167500					
2022-09-01	1.000000	142.93	2022-09-01	157.05	0.00	-14.12	

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Apex Clearing

## Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B\*

5MS49345

(continued)

02/08/2023

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

<b>1c - Date Sold or Disposed</b>	<b>Quantity</b>	<b>1d - Proceeds</b>	<b>Date Acquired</b>	<b>Cost or Other Basis</b>	<b>1f - Accrued Market Discount (M) &amp; 1g - Wash Sale Loss Disallowed (D)</b>	<b>Gain or Loss (-)</b>	<b>Additional Notes</b>
CALL META 09/16/22	177.50	META PLATFORMS INC CL A	CUSIP: *8GVVQJ1   Symbol: META--220916C00177500				
2022-09-12	1.000000	76.93	2022-09-09	99.05	0.00	-22.12	
PUT NVDA 09/02/22	136	NVIDIA CORPORATION	CUSIP: *8KWZQL3   Symbol: NVDA--220902P00136000				
2022-09-02	3.000000	110.83	2022-09-02	362.15	0.00	-251.32	
CALL MSFT 09/23/22	250	MICROSOFT CORP	CUSIP: *8SWVSS6   Symbol: MSFT--220923C00250000				
2022-09-22	1.000000	19.93	2022-09-21	185.05	0.00	-165.12	
CALL TSLA 09/09/22	295.83	TESLA INC ADJ 3:1 STOCK SPLIT	CUSIP: *8NJZDZ0   Symbol: TSLA--220909C00295830				
2022-09-09	1.000000	299.93	2022-09-09	136.05	0.00	163.88	
PUT TSLA 09/09/22	260	TESLA INC ADJ 3:1 STOCK SPLIT	CUSIP: *8NJZCM9   Symbol: TSLA--220909P00260000				
2022-09-06	1.000000	246.93	2022-09-06	270.05	0.00	-23.12	
CALL TSLA 09/23/22	315	TESLA INC ADJ 3:1 STOCK SPLIT	CUSIP: *8NKCKP4   Symbol: TSLA--220923C00315000				
2022-09-20	1.000000	379.93	2022-09-20	460.05	0.00	-80.12	
PUT TSLA 09/23/22	286.67	TESLA INC ADJ 3:1 STOCK SPLIT	CUSIP: *8NKCHZ2   Symbol: TSLA--220923P00286670				
2022-09-22	1.000000	179.93	2022-09-22	100.05	0.00	79.88	
CALL QQQ 09/23/22	298	INVESCO QQQ TRUST SERIES 1	CUSIP: *8SYDQK6   Symbol: QQQ---220923C00298000				
2022-09-22	1.000000	5.93	2022-09-21	198.05	0.00	-192.12	
CALL NVDA 09/09/22	138	NVIDIA CORPORATION	CUSIP: *8PTZPQ2   Symbol: NVDA--220909C00138000				
2022-09-07	1.000000	134.93	2022-09-06	262.05	0.00	-127.12	
CALL NVDA 09/23/22	135	NVIDIA CORPORATION	CUSIP: *8SWWDV6   Symbol: NVDA--220923C00135000				
2022-09-19	2.000000	679.87	2022-09-19	685.10	0.00	-5.23	
CALL NVDA 09/23/22	137	NVIDIA CORPORATION	CUSIP: *8PXSVK0   Symbol: NVDA--220923C00137000				
2022-09-22	1.000000	49.93	2022-09-21	183.05	0.00	-133.12	
CALL QQQ 09/14/22	303	INVESCO QQQ TRUST SERIES 1	CUSIP: *8PYDPG0   Symbol: QQQ---220914C00303000				
2022-09-14	1.000000	9.93	2022-09-13	73.05	0.00	-63.12	
PUT TSLA 05/20/22	660	TESLA INC	CUSIP: *8NMJJF7   Symbol: TSLA--220520P00660000				
2022-05-19	1.000000	146.93	2022-05-19	755.05	0.00	-608.12	

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Apex Clearing

**Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B\***

5MS49345

(continued)

02/08/2023

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

**Box 5:** Box Not Checked (Covered Security)

**Box 6:** Gross (unless indicated as Net in Additional Notes Column)

**Box 12:** Basis Reported to the IRS

**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.**

1a - Description of property   CUSIP   Symbol					1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes	
1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis				
PUT SPY 06/17/22	350	STANDARD & POORS DEPOSITORY   CUSIP: *8Y32851   Symbol: SPY---220617P00350000						
2022-06-17	1.000000	2.93	2022-06-16	48.05	0.00	-45.12		
<b>Totals:</b>		<b>53,174.44</b>		<b>57,616.59</b>		<b>-4,442.15</b>		

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**Regulated Futures Contracts & Section 1256 Options**

2022

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The detailed transactional information below is furnished only for your information. Only the aggregate profit/loss information in each section is reported to the IRS. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

**PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS**

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
PUT SPXW 05/09/22 3990 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	05/09/2022						
CUSIP:	@3,990.00	05/06/2022	1.00	Sell	990.63	259.37	-731.26
CALL SPXW 05/09/22 4285 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	05/09/2022						
CUSIP:	@4,285.00	05/06/2022	1.00	Sell	180.63	89.46	-91.17
PUT SPXW 05/10/22 3925 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	05/10/2022						
CUSIP:	@3,925.00	05/06/2022	1.00	Sell	700.63	269.37	-431.26
CALL SPXW 05/09/22 4285 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	05/09/2022						
CUSIP:	@4,285.00	05/06/2022	1.00	Sell	310.63	89.46	-221.17
CALL SPXW 05/17/22 4095 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	05/17/2022						
CUSIP:	@4,095.00	05/17/2022	1.00	Sell	500.63	289.37	-211.26
	05/17/2022						
CUSIP:	@4,095.00	05/17/2022	1.00	Sell	670.63	289.37	-381.26
CALL SPXW 05/23/22 4045 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	05/23/2022						
CUSIP:	@4,045.00	05/20/2022	1.00	Sell	920.63	129.37	-791.26
CALL SPXW 07/28/22 4050 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	07/28/2022						
CUSIP:	@4,050.00	07/28/2022	1.00	Sell	295.63	1,599.37	1,303.74
PUT SPXW 05/17/22 4015 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	05/17/2022						
CUSIP:	@4,015.00	05/17/2022	1.00	Sell	600.63	399.37	-201.26
PUT SPXW 05/19/22 3940 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	05/19/2022						
CUSIP:	@3,940.00	05/18/2022	1.00	Sell	660.63	1,389.37	728.74
CALL SPXW 08/02/22 4155 CBOE S&P 500 CLOSE/EURO INDEX OPTION EXPIRATION - EXPIRED							
	08/02/2022						
CUSIP:	@4,155.00	08/02/2022	1.00	OptionExpiration	120.63	0.00	-120.63

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**Regulated Futures Contracts & Section 1256 Options**

2022

5MS49345

(continued)

02/08/2023

**PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS**

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL SPXW 07/29/22 4150 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	07/29/2022						
CUSIP:	@4,150.00	07/29/2022	1.00	Sell	185.63	54.46	-131.17
PUT SPXW 08/08/22 4085 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	08/08/2022						
CUSIP:	@4,085.00	08/08/2022	1.00	Sell	720.63	29.46	-691.17
CALL SPXW 09/19/22 3895 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT A/E 8CKPMP0 1 EXERCISED	09/19/2022						
CUSIP:	@3,895.00	09/19/2022	1.00	Sell	330.63	489.00	158.37
CALL SPXW 09/21/22 3960 CBOE S&P 500 CLOSE/EURO INDEX OPTION EXPIRATION - EXPIRED	09/21/2022						
CUSIP:	@3,960.00	09/21/2022	1.00	OptionExpiration	460.63	0.00	-460.63
CALL SPXW 09/08/22 4020 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	09/08/2022						
CUSIP:	@4,020.00	09/08/2022	1.00	Sell	155.63	129.37	-26.26
PUT SPXW 09/23/22 3645 CBOE S&P 500 CLOSE/EURO INDEX OPTION EXPIRATION - EXPIRED	09/23/2022						
CUSIP:	@3,645.00	09/23/2022	1.00	OptionExpiration	250.63	0.00	-250.63
PUT SPXW 09/13/22 3950 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	09/13/2022						
CUSIP:	@3,950.00	09/13/2022	1.00	Sell	340.63	74.46	-266.17
<b>Short-Term Profit/(Loss) Total: 40%</b>							<b>-1,126.28</b>
<b>Long-Term Profit/(Loss) Total: 60%</b>							<b>-1,689.43</b>
<b>Total:</b>							<b>-2,815.71</b>

Aggregate Short-Term Profit or (Loss): 40% -1,126.28

Aggregate Long-Term Profit or (Loss): 60% -1,689.43

**11 - Aggregate Profit or (Loss) on Contracts -2,815.71**

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**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (SSN, ITIN, ATIN, or EIN). However, the issuer has reported your complete TIN to the IRS.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You also may have a filing requirement. See the Instructions for Form 8938.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Nominees.** If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) (with a Form 1096) to the IRS for each of the other owners to show their share of the income, and you must furnish the respective Copy B Form(s) to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2022 General Instructions for Certain Information Returns.

**FreeFile.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

**Future developments.** For the latest information about developments related to forms and instructions, such as legislation enacted after they were published, go to [www.irs.gov/forms-instructions](http://www.irs.gov/forms-instructions).

**Keep tax documents for your records.****1099-DIV Instructions for Recipient**

**Box 1a.** Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

**Box 1b.** Shows the portion of the amount in box 1a that may be eligible for reduced capital gains rates. See the Instructions for Form 1040 for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

**Box 2a.** Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in boxes 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in box 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Form 1040.

**Box 2b.** Shows the portion of the amount in box 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

**Box 2c.** Shows the portion of the amount in box 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

**Box 2d.** Shows the portion of the amount in box 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

**Box 2e.** Shows the portion of the amount in box 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

**Box 2f.** Shows the portion of the amount in box 2a that is section 897 gain attributable to disposition of USRPI. Note: Boxes 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

**Box 3.** Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

**Box 4.** Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Box 5.** Shows the portion of the amount in box 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

**Box 6.** Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in box 1a.

**Box 7.** Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Form 1040.

**Box 8.** This box should be left blank if a RIC reported the foreign tax shown in box 7.

**Boxes 9 and 10.** Show cash and noncash liquidation distributions.

**Box 11.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You may also have a filing requirement. See the Instructions for Form 8938.

**Box 12.** Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Form 1040 for where to report. This amount may be subject to backup withholding. See Box 4 above.

**Box 13.** Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in box 12. See the Instructions for Form 6251.

**Boxes 14-16.** State income tax withheld reporting boxes.

**1099-INT Instructions for Recipient**

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer must generally report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

**Box 1.** Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in box 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during the calendar year on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

**Box 2.** Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Form 1040 to see where to take the deduction.

**Box 3.** Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in box 1. See the instructions above for a taxable covered security acquired at a premium.

**Box 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

**Box 5.** Any amount shown is your share of investment expenses of a single-class REMIC. This amount is included in box 1. Note: This amount is not deductible.

**Box 6.** Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

**Box 7.** Shows the country or U.S. possession to which the foreign tax was paid.

**Box 8.** Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Form 1040. This amount may be subject to backup withholding. See Box 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

**Box 9.** Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in box 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

**Box 10.** For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Form 1040. Market discount on a tax-exempt security is includible in taxable income as interest income.

**Box 11.** For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this box for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 1. If the amount in box 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

**Box 12.** For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this box for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 3. If the amount in box 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

**Box 13.** For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this box, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this box for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in box 8 or 9, whichever is applicable. If the amount in box 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

**Box 14.** Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s).

**Boxes 15-17.** State tax withheld reporting boxes.

**1099-B Instructions to Recipients**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040) and/or Form 8949.

**Box 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Box 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

- Box 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.
- Box 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040).
- Box 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040), or Pub. 550 for details.
- Box 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank. Box 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.
- Box 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method is generally treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.
- Box 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).
- Box 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.
- Box 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.
- Box 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "Net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.
- Box 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040) instructions. The broker should advise you of any losses on a separate statement.
- Regulated Futures Contracts, Foreign Currency Contracts, and Section 1256 Option Contracts (Boxes 8 Through 11)**
- Box 8.** Shows the profit or (loss) realized on regulated futures, foreign currency, or Section 1256 option contracts closed during 2022.
- Box 9.** Shows any year-end adjustment to the profit or (loss) shown in box 8 due to open contracts on December 31, 2021.
- Box 10.** Shows the unrealized profit or (loss) on open contracts held in your account on December 31, 2022. These are considered closed out as of that date. This will become an adjustment reported as unrealized profit or (loss) on open contracts—12/31/2022 in 2023.
- Box 11.** Boxes 8, 9, and 10 are all used to figure the aggregate profit or (loss) on regulated futures, foreign currency, or Section 1256 option contracts for the year. Include this amount on your 2022 Form 6781.
- Box 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040) as you may be able to report your transaction directly on Schedule D (Form 1040). If the "Ordinary" box in box 2 is checked, an adjustment may be required.
- Box 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.
- Boxes 14–16.** Show state(s)/local income tax information.

#### 1099-MISC Instructions to Recipients

- Amounts shown may be subject to self-employment (SE) tax.** Individuals should see the Instructions for Schedule SE (Form 1040). Corporations, fiduciaries, or partnerships must report the amounts on the appropriate line of their tax returns.
- Form 1099-MISC incorrect?** If this form is incorrect or has been issued in error, contact the payer. If you cannot get this form corrected, attach an explanation to your tax return and report your information correctly.
- Box 1.** Report rents from real estate on Schedule E (Form 1040). However, report rents on Schedule C (Form 1040) if you provided significant services to the tenant, sold real estate as a business, or rented personal property as a business. See Pub. 527.
- Box 2.** Report royalties from oil, gas, or mineral properties; copyrights; and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the Schedule E (Form 1040) instructions. For royalties on timber, coal, and iron ore, see Pub. 544.
- Box 3.** Generally, report this amount on the "Other income" line of Schedule 1 (Form 1040) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).
- Box 4.** Shows backup withholding or withholding on Indian gaming profits. Generally, a payer must backup withhold if you did not furnish your TIN. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.
- Box 5.** Shows the amount paid to you as a fishing boat crew member by the operator, who considers you to be self-employed. Self-employed individuals must report this amount on Schedule C (Form 1040). See Pub. 334.
- Box 6.** For individuals, report on Schedule C (Form 1040).
- Box 7.** If checked, consumer products totaling \$5,000 or more were sold to you for resale, on a buy-sell, a deposit-commission, or other basis. Generally, report any income from your sale of these products on Schedule C (Form 1040).
- Box 8.** Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040).
- Box 9.** Report this amount on Schedule F (Form 1040).
- Box 10.** Shows gross proceeds paid to an attorney in connection with legal services. Report only the taxable part as income on your return.
- Box 11.** Shows the amount of cash you received for the sale of fish if you are in the trade or business of catching fish.
- Box 12.** May show current year deferrals as a nonemployee under a nonqualified deferred compensation (NQDC) plan that is subject to the requirements of section 409A plus any earnings on current and prior year deferrals.
- Box 13.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You may also have a filing requirement. See the Instructions for Form 8938.
- Box 14.** Shows your total compensation of excess golden parachute payments subject to a 20% excise tax. See your tax return instructions for where to report.
- Box 15.** Shows income as a nonemployee under an NQDC plan that does not meet the requirements of section 409A. Any amount included in box 12 that is currently taxable is also included in this box. Report this amount as income on your tax return. This income is also subject to a substantial additional tax to be reported on Form 1040, 1040-SR, or 1040-NR. See the instructions for your tax return.
- Boxes 16–18.** Show state or local income tax withheld from the payments.

#### 1099-OID Instructions to Recipients

Original issue discount (OID) is the excess of an obligation's stated redemption price at maturity over its issue price (acquisition price for a stripped bond or coupon). OID on a taxable obligation is taxable as interest over the life of the obligation. If you are the holder of a taxable OID obligation, you generally must include an amount of OID in your gross income each year you hold the obligation. Obligations that may have OID include a bond, debenture, note, certificate, or other evidence of indebtedness having a term of more than 1 year. For example, the OID rules may apply to certificates of deposit (CDs), time deposits, bonus savings plans, and other deposit arrangements, especially if the payment of interest is deferred until maturity. In addition, the OID rules apply to Treasury inflation-protected securities. See Pub. 550 for more information.

If, as the record holder, you receive Form 1099-OID showing amounts belonging to another person, you are considered a nominee recipient. Complete a Form 1099-OID for each of the other owners showing the amounts allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner as the "recipient." File Form(s) 1099-OID with Form 1096 with the Internal Revenue Service Center for your area. On Form 1096, list yourself as the "filer." A spouse is not required to file a nominee return to show amounts owned by the other spouse. If you bought or sold an obligation during the year and you are not a nominee, you are not required to issue or file Form 1099-OID showing the OID or stated interest allocable to the seller/buyer of the obligation.

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a covered security acquired with acquisition premium, your payer generally must report either (1) a net amount of OID that reflects the offset of OID by the amount of acquisition premium amortization for the year, or (2) a gross amount for both the OID and the acquisition premium amortization for the year. For a noncovered security acquired with acquisition premium, your payer is only required to report the gross amount of OID.

- Box 1.** Shows the OID on a taxable obligation for the part of the year you owned it. Report the amount in box 1 as interest income on your income tax return. However, depending on the type of debt instrument, the issue or acquisition date, and other factors (for example, if you paid acquisition or bond premium, or the obligation is a stripped bond or coupon), you may have to figure the correct amount of OID to report on your return. See Pub. 1212 for details on how to figure the correct OID. See the instructions above for a covered security acquired with acquisition premium.
- Box 2.** Shows qualified stated interest on this obligation for the year, which is an amount separate from the OID. If you held the obligation the entire year, report this amount as interest income on your tax return. If you disposed of the obligation or acquired it from another holder during the year, see Pub. 550 for reporting instructions. If there is an amount in both boxes 2 and 8, the amount in box 2 is interest on a U.S. Treasury obligation and is exempt from state and local income taxes. If there is an amount in both boxes 2 and 11, the amount in box 2 is tax-exempt interest and is not included in interest income on your tax return. In general, see how to report tax-exempt interest in the Instructions for Forms 1040 and 1040-SR.
- Box 3.** Shows interest or principal forfeited if you withdrew the money before the maturity date of the obligation, such as from a CD. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction.
- Box 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.
- Box 5.** For a covered security acquired with OID, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you. For a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, and issued with OID, shows the market discount that accrued on the obligation during the year while held by you.

For a covered security acquired on or after January 1, 2015, market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). The payer may, but is not required to, report the market discount for a tax-exempt obligation that is a covered security acquired before January 1, 2017. Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includible in taxable income as interest income.

**Box 6.** For a taxable covered security, shows the amount of acquisition premium amortization for the year that reduces the amount of OID that is included as interest on your income tax return. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040 or 1040-SR). If an amount is not reported in this box for a covered security acquired with acquisition premium, the payer has reported a net amount of OID that reflects the offset of OID that is included in income. If the payer has reported a net amount of OID in box 1 or box 8, you must report the net amount of OID on Schedule B (Form 1040 or 1040-SR) and you must not make an adjustment to this amount for acquisition premium on Schedule B (Form 1040 or 1040-SR). If there is an amount in both boxes 6 and 11, for a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, and is issued with OID, the amount in box 6 shows the amount of acquisition premium amortization for the year that reduces the amount of your tax-exempt OID for the year. The payer may, but is not required to, report the acquisition premium for a tax-exempt obligation that is a covered security acquired before January 1, 2017, and issued with OID. See the instructions above for a covered security acquired with acquisition premium.

**Box 7.** Shows the identification (CUSIP) number or description of the obligation (may include the stock exchange, issuer, coupon rate, and year of maturity).

**Box 8.** Shows OID on a U.S. Treasury obligation for the part of the year you owned it. Report this amount as interest income on your federal income tax return and see Pub. 1212 to figure any appropriate adjustments to this amount. This OID is exempt from state and local income taxes and is not included in box 1. See the instructions above for a covered security acquired with acquisition premium. If the number in this box is negative, it represents a deflation adjustment. See Pub. 550 for further information on accounting for this adjustment.

**Box 9.** Any amount shown is your share of investment expenses of a single-class REMIC. This amount is included in box 2. Note: This amount is not deductible.

**Box 10.** For a taxable covered security, including a Treasury inflation-protected security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040 or 1040-SR). If an amount is not reported in this box for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 2. If the amount in this box is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4). If there is an amount in both boxes 10 and 11, for a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, and issued with OID, the amount in box 10 shows the amount of premium amortization for the year that reduces the amount of your tax-exempt interest for the year. The payer may, but is not required to, report the premium amortization for a tax-exempt obligation that is a covered security acquired before January 1, 2017, and issued with OID.

**Box 11.** For a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, shows the tax-exempt OID on the obligation for the part of the year you owned it. The payer may, but is not required to, report the OID for a tax-exempt obligation that is a covered security acquired before January 1, 2017. In general, report the amount of tax-exempt OID on Form 1040 or 1040-SR. See the instructions above for a covered security acquired with acquisition premium.

**Boxes 12-14.** State income tax withheld reporting.