

Apex Clearing
One Dallas Center
350 North St Paul, Ste 1300
Dallas, TX 75201

LOHITH MITTAPALLY
8181 FANNIN ST
HOUSTON, TX 77054-2911

IN ACCOUNT WITH
WEBULL FINANCIAL LLC
(888) 828-0618

IMPORTANT YEAR END MESSAGES

This document includes your Consolidated Form 1099 that we are required to provide to you and contains information that we are providing to the Internal Revenue Service and additional information that may be helpful to you for filing your tax return. You may wish to seek the advice of a tax professional when preparing your return.

Please verify your personal information for accuracy and contact us to correct any discrepancies. If you own Mutual Funds, Real Estate Trusts (REITs), REMIC Securities or certain fixed investment trusts (WHFITs), income distributions may be reclassified by the issuer after the original 1099 is sent. If you hold these securities or another security that is subject to a reclassification event, you may receive a corrected Form 1099.

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Apex Clearing One Dallas Center 350 North St Paul, Ste 1300 Dallas, TX 75201 Customer Service: 214-765-1009 Payer's Federal ID No: 13-2967453 IN ACCOUNT WITH WEBULL FINANCIAL LLC (888) 828-0618	Account 5NE90569	Form 1099 Composite	2022
	LOHITH MITTAPALLY 8181 FANNIN ST HOUSTON, TX 77054-2911 RECIPIENT'S ID No: XXX-XX-1552	Statement Date: 02/09/2023 <input type="checkbox"/> Corrected	

FATCA filing Requirement

Tax Reporting Statement

Dividends and Distributions	2022 Form1099DIV	OMB No. 1545-0110
1a- Total Ordinary Dividends (includes amount shown on 1b)	0.00	
1b- Qualified Dividends	0.00	
2a- Total Capital Gain Distributions (includes lines 2b, 2c, 2d)	0.00	
2b- Unrecaptured Section 1250 Gain	0.00	
2c- Section 1202 Gain	0.00	
2d- Collectibles (28%) Gain	0.00	
2e- Section 897 ordinary dividends	0.00	
2f- Section 897 capital gain	0.00	
3- Nondividend Distributions	0.00	
4- Federal Income Tax Withheld	0.00	
5- Section 199A Dividends	0.00	
6- Investment Expenses	0.00	
7- Foreign Tax Paid	0.00	
8- Foreign Country or U.S. Possessions		
9- Cash Liquidation Distribution	0.00	
10- Noncash Liquidation Distributions	0.00	
12- Exempt-Interest Dividends	0.00	
13- Specified Private Activity Bond Interest Dividends	0.00	

Interest Income	2022 Form1099INT	OMB No. 1545-0112
1- Interest Income	0.00	
2- Early Withdrawal Penalty	0.00	
3- Interest on US Savings Bonds & Treasury Obligations	0.00	
4- Federal Income Tax Withheld	0.00	
5- Investment Expenses	0.00	
6- Foreign Tax Paid	0.00	
7- Foreign Country or U.S. Possession		
8- Tax-Exempt Interest (includes box 9)	0.00	
9- Specified Private Activity Bond Interest	0.00	
10- Market Discount	0.00	
11- Bond Premium	0.00	
12- Bond Premium on Treasury Obligations	0.00	
13- Bond Premium on Tax-Exempt bonds	0.00	
14- Tax-Exempt and Tax Credit Bond CUSIP no.		

Miscellaneous Information	2022 Form1099MISC	OMB No. 1545-0115
2- Royalties	0.00	
3- Other Income	0.00	
4- Federal Income Tax Withheld	0.00	
8- Substitute Payments in Lieu of Dividends or Interest	0.00	

REGULATED FUTURES CONTRACTS & SECTION 1256 OPTIONS	
	2022 Form1099B
	OMB No. 1545-0715
8- Profit or (loss) realized in 2022 on closed contracts	-2,430.35
9- Unrealized profit or (loss) on open contracts - 12/31/2021	0.00
10- Unrealized profit or (loss) on open contracts - 12/31/2022	0.00
11- Aggregate profit or (loss) on contracts	-2,430.35
<i>As applicable, proceeds from other sale transactions are summarized below and details are provided in subsequent sections of this document. More details on futures and section 1256 options transactions are also provided in other sections of this document.</i>	

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Dividends may be reclassified for tax purposes after the original Form 1099 is sent in certain cases where information from issuers is received late. This may require us to provide you an amended tax form.

C - Corrected

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Tax Summary

2022

5NE90569

02/09/2023

Summary Of Sale Proceeds

Proceeds from sales of securities are reported individually by trade to the Internal Revenue Service. Please refer to the Proceeds from Broker and Barter Exchange Transactions details sections to determine correct amounts to include in your tax return. The summary transaction amounts shown below are for informational purposes.

Section	Total Proceeds	Total Cost Basis	Total Market Discount	Wash Sale Loss Disallowed	Total Net Gain or Loss(-)
Short-term transactions for covered tax lots	292,228.09	302,385.07	0.00	6,169.39	-3,987.59
Short-term transactions for noncovered tax lots	709.27	701.21	0.00	0.00	8.06
Total Short-term	292,937.36	303,086.28	0.00	6,169.39	-3,979.53
Long-term transactions for covered tax lots	0.00	0.00	0.00	0.00	0.00
Long-term transactions for noncovered tax lots	0.00	0.00	0.00	0.00	0.00
Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined transactions for noncovered tax lots	0.00	0.00	0.00	0.00	0.00
Total Undetermined-term	0.00	0.00	0.00	0.00	0.00

Original Issue Discount

Original Issue Discount (Non-US Treasury Obligations)	0.00
Original Issue Discount on U.S. Treasury Obligations	0.00
Other Periodic Interest	0.00
Market Discount - Covered Positions	0.00
Acquisition Premium - Covered Positions	0.00
Bond Premium - Covered Positions	0.00
Tax-Exempt OID - Covered Positions	0.00
Tax Exempt OID - Specified Private Activity Bonds - Covered Positions	0.00
Investment Expenses	0.00

Amounts shown in this section are summary totals for your reference. For bond-by-bond detail, use the Form1099-OID section of this document.

FEDERAL TAX WITHHELD

Form	Federal Income Tax Withheld
1099-B Total (aggregate):	0.00
1099-DIV Total:	0.00
1099-INT Total:	0.00
1099-MISC Total:	0.00
1099-OID Total:	0.00

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Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

5NE90569

02/09/2023

OMB No. 1545-0715

The following information is being provided to assist in your review and the preparation of your tax return.

This Form 1099-B - Proceeds from Broker and Barter Exchange Transactions provides information relating to sales of securities in your account. The sales details are organized into potentially five separate sections depending on your holding period in the security for the sale (short-term vs long-term) and whether the security is considered a "covered security" for tax reporting purpose. For covered securities, cost basis (adjusted as required under tax regulations) is being reported to the Internal Revenue Service (IRS), whereas for noncovered securities, cost basis if set forth below is provided only for your information.

A separate category is provided for sales of securities for which we do not know your holding period. For such tax lots, you will need to use your historical documents to determine your holding period (whether short-term or long-term) and your tax basis.

Unless noted otherwise under the column "Additional Notes", cost basis and corresponding gain or loss is determined by treating tax lots acquired first as being sold first. We have also assumed that you have made an election to amortize premium on the purchase of taxable bonds.

As brokers, we are only required to apply wash sales loss disallowance rules to securities with the same CUSIP or identifier and purchased and sold within the same account. We are also not required to apply wash sales rules across covered and noncovered securities. You as the taxpayer, however, generally have broader obligations under the wash sales rules to determine disallowed losses, and you may wish to consult with a tax adviser as to the application of such rules to you.

Sales of certain contingent payment debt instruments give rise to ordinary income rather than short-term or long-term capital gain or loss. Such ordinary income treatment is indicated in the Additional Notes column as "Box 2 - Ordinary." In addition, certain assets classified as collectibles are subject to special tax treatment. Any such classification is noted in the Additional Notes column as "Box 3 - Collectibles Box Checked." Loss disallowed for certain transactions involving change in control or capital structure is noted in the Additional Notes column as "Box 7 - Loss Disallowed Box Checked." Disposition of a QOF investment is noted in the Additional Notes column as "Box 3 - QOF."

Information in the transaction detail sections below that have a Box number is being transmitted to the IRS, whereas additional information that is not being transmitted to the IRS is not headed by a Box number. Such additional information may be helpful to you in the preparation of your tax return. Remember that taxpayers are ultimately responsible for the accuracy of their tax returns.

FATCA filing requirement []

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL TTD 01/07/22	79	TRADE DESK INC CLASS A CUSIP: *8KWBYP4 Symbol: TTD---220107C00079000					
2022-01-07	1.000000	78.94	2022-01-07	56.04	0.00	22.90	
PUT RIVN 02/04/22	55	RIVIAN AUTOMOTIVE INC CLASS A CUSIP: *8NCNLH3 Symbol: RIVN--220204P00055000					
2022-01-31	1.000000	88.94	2022-01-31	98.04	0.00	-9.10	
PUT SPY 02/02/22	437	STANDARD & POORS DEPOSITORY CUSIP: *8PHXSD8 Symbol: SPY---220202P00437000					
2022-02-01	1.000000	44.93	2022-02-01	57.05	0.00	-12.12	

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Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL AAPL 02/25/22	160	APPLE INC CUSIP: *8PJSXJ2 Symbol: AAPL--220225C00160000					
2022-02-24	1.000000	45.93	2022-02-24	39.05	0.00	6.88	
CALL AMD 02/11/22	135	ADVANCED MICRO DEVICES INC CUSIP: *8PKBJS7 Symbol: AMD---220211C00135000					
2022-02-10	5.000000	209.73	2022-02-10	220.25	0.00	-10.52	
CALL C 02/11/22	68	CITIGROUP INC CUSIP: *8SJTWM5 Symbol: C----220211C00068000					
2022-02-08	1.000000	67.93	2022-02-08	65.05	0.00	2.88	
CALL DKNG 02/11/22	24	DRAFTKINGS INC CL A CUSIP: *8SJVBG9 Symbol: DKNG--220211C00024000					
2022-02-07	1.000000	51.93	2022-02-07	54.05	0.00	-2.12	
CALL AMD 02/25/22	125	ADVANCED MICRO DEVICES INC CUSIP: *8SKGFJ0 Symbol: AMD---220225C00125000					
2022-02-15	5.000000	1,011.65	Various	935.37	7.12 D	76.28	
CALL TSLA 02/11/22	1050	TESLA INC CUSIP: *8SKFCY7 Symbol: TSLA--220211C01050000					
2022-02-07	3.000000	992.79	2022-02-07	976.15	0.00	16.64	
CALL MSFT 01/14/22	307.50	MICROSOFT CORP CUSIP: *8SKTDR5 Symbol: MSFT--220114C00307500					
2022-01-14	1.000000	117.94	2022-01-14	105.04	0.00	12.90	
PUT NFLX 01/14/22	505	NETFLIX INC CUSIP: *8SKTJX5 Symbol: NFLX--220114P00505000					
2022-01-14	1.000000	42.94	2022-01-14	37.04	0.00	5.90	
CALL NVDA 01/14/22	272.50	NVIDIA CORPORATION CUSIP: *8SKTRR2 Symbol: NVDA--220114C00272500					
2022-01-14	2.000000	289.88	2022-01-14	316.08	0.00	-26.20	
PUT SPY 01/31/22	442	STANDARD & POORS DEPOSITORY CUSIP: *8SKXWF9 Symbol: SPY---220131P00442000					
2022-01-31	1.000000	103.94	2022-01-31	115.04	0.00	-11.10	
CALL VALE 02/18/22	18	VALE S A CUSIP: *8K93654 Symbol: VALE--220218C00018000					
2022-01-13	1.000000	16.94	2022-01-13	12.04	0.00	4.90	
CALL QQQ 01/31/22	380	INVESCO QQQ TRUST SERIES 1 CUSIP: *8LZZBJ3 Symbol: QQQ---220131C00380000					
2022-01-21	3.000000	257.82	2022-01-21	261.12	0.00	-3.30	
PUT ROKU 02/04/22	145	ROKU INC CUSIP: *8NDZWP9 Symbol: ROKU--220204P00145000					
2022-02-03	2.000000	367.86	2022-02-03	351.10	0.00	16.76	

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Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT COST 01/14/22	500	COSTCO WHOLESALE CORPORATION CUSIP: *8NQDPT8 Symbol: COST--220114P00500000					
2022-01-14	1.000000	47.94	2022-01-14	45.04	0.00	2.90	
CALL MSFT 01/14/22	310	MICROSOFT CORP CUSIP: *8PDKMF6 Symbol: MSFT--220114C00310000					
2022-01-14	1.000000	33.94	2022-01-14	46.04	0.00	-12.10	
PUT QQQ 01/26/22	325	INVESCO QQQ TRUST SERIES 1 CUSIP: *8PGZGZ9 Symbol: QQQ---220126P00325000					
2022-01-24	1.000000	134.94	2022-01-24	138.04	0.00	-3.10	
CALL TSLA 02/04/22	960	TESLA INC CUSIP: *8PHDHC1 Symbol: TSLA--220204C00960000					
2022-02-04	3.000000	202.81	2022-02-04	294.26	24.11 D	-91.45	
PUT AAPL 02/25/22	150	APPLE INC CUSIP: *8PJSXF7 Symbol: AAPL--220225P00150000					
2022-02-24	1.000000	52.93	2022-02-24	64.05	0.00	-11.12	
CALL TSLA 08/19/22	1000	TESLA INC CUSIP: *8PJNXC0 Symbol: TSLA--220819C01000000					
2022-08-15	2.000000	1,168.84	2022-08-15	1,220.23	51.39 D	-51.39	
2022-08-16	2.000000	966.85	2022-08-16	1,225.75	119.39 D	-258.90	
Security Totals:		2,135.69		2,445.98		-310.29	
CALL GOOGL 02/11/22	3000	ALPHABET INC CLASS A CUSIP: *8SJVXM7 Symbol: GOOGL-220211C03000000					
2022-02-09	1.000000	99.93	2022-02-09	90.05	0.00	9.88	
CALL TSLA 02/11/22	1035	TESLA INC CUSIP: *8SKFCY1 Symbol: TSLA--220211C01035000					
2022-02-09	1.000000	45.93	2022-02-09	56.05	0.00	-10.12	
PUT NVDA 02/25/22	230	NVIDIA CORPORATION CUSIP: *8SKTRS1 Symbol: NVDA--220225P00230000					
2022-02-25	1.000000	47.93	2022-02-25	64.05	0.00	-16.12	
PUT NVDA 02/25/22	210	NVIDIA CORPORATION CUSIP: *8SLBVY7 Symbol: NVDA--220225P00210000					
2022-02-24	3.000000	383.81	2022-02-24	380.15	0.00	3.66	
PUT QQQ 02/25/22	340	INVESCO QQQ TRUST SERIES 1 CUSIP: *8SLCBF3 Symbol: QQQ---220225P00340000					
2022-02-25	1.000000	46.93	2022-02-25	26.05	0.00	20.88	
PUT QQQ 02/09/22	360	INVESCO QQQ TRUST SERIES 1 CUSIP: *8SLBZR3 Symbol: QQQ---220209P00360000					
2022-02-09	1.000000	69.93	2022-02-09	75.05	0.00	-5.12	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT SPY 02/25/22	425	STANDARD & POORS DEPOSITORY	CUSIP: *8SLCKD0 Symbol: SPY---220225P00425000				
2022-02-25	1.000000	89.93	2022-02-25	105.05	0.00	-15.12	
HILLSTREAM BIOPHARMA INC COMMON STOCK		CUSIP: 432705101 Symbol: HILS					
2022-07-21	100.000000	109.98	2022-07-21	111.00	0.00	-1.02	
PUT IWM 01/19/22	205	ISHARES RUSSELL 2000 ETF	CUSIP: *8BSLLT0 Symbol: IWM---220119P00205000				
2022-01-19	1.000000	46.94	2022-01-19	44.04	0.00	2.90	
CALL PYPL 02/18/22	140	PAYPAL HOLDINGS INC	CUSIP: *8NMXSG0 Symbol: PYPL--220218C00140000				
2022-02-02	1.000000	309.93	2022-02-02	301.05	0.00	8.88	
CALL QQQ 01/10/22	374	INVESCO QQQ TRUST SERIES 1	CUSIP: *8PFBXT7 Symbol: QQQ---220110C00374000				
2022-01-10	2.000000	120.88	2022-01-10	118.08	0.00	2.80	
PUT QQQ 01/26/22	330	INVESCO QQQ TRUST SERIES 1	CUSIP: *8PGZHB1 Symbol: QQQ---220126P00330000				
2022-01-25	2.000000	374.88	2022-01-25	379.08	0.00	-4.20	
CALL SPY 02/09/22	455	STANDARD & POORS DEPOSITORY	CUSIP: *8PHXXF7 Symbol: SPY---220209C00455000				
2022-02-08	1.000000	49.93	2022-02-08	45.05	0.00	4.88	
CALL AAPL 02/25/22	165	APPLE INC	CUSIP: *8PJSXK8 Symbol: AAPL--220225C00165000				
2022-02-23	2.000000	59.88	2022-02-23	64.10	0.00	-4.22	
CALL FB 01/28/22	315	META PLATFORMS INC CL A	CUSIP: *8SJJVJ9 Symbol: FB----220128C00315000				
2022-01-24	1.000000	108.94	2022-01-24	98.04	0.00	10.90	
PUT NVDA 02/25/22	225	NVIDIA CORPORATION	CUSIP: *8SKTRR9 Symbol: NVDA--220225P00225000				
2022-02-25	1.000000	23.93	2022-02-25	24.05	0.00	-0.12	
CALL MO 02/25/22	53	ALTRIA GROUP INC	CUSIP: *8SKTCD1 Symbol: MO----220225C00053000				
2022-02-18	1.000000	24.93	2022-02-18	22.05	0.00	2.88	
PUT NVDA 02/25/22	235	NVIDIA CORPORATION	CUSIP: *8SKTRS3 Symbol: NVDA--220225P00235000				
2022-02-25	1.000000	66.93	2022-02-25	70.05	0.00	-3.12	
PUT NVDA 02/25/22	240	NVIDIA CORPORATION	CUSIP: *8SKTRS5 Symbol: NVDA--220225P00240000				
2022-02-25	1.000000	256.93	2022-02-25	159.05	0.00	97.88	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

5NE90569

(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT QQQ 02/02/22	368	INVESTCO QQQ TRUST SERIES 1 CUSIP: *8SKXSG2 Symbol: QQQ---220202P00368000					
2022-02-02	1.000000	220.93	2022-02-02	181.05	0.00	39.88	
PUT SPY 12/30/22	380	STANDARD & POORS DEPOSITORY CUSIP: *8SKXWT1 Symbol: SPY---221230P00380000					
2022-12-30	1.000000	89.94	2022-12-30	99.04	0.00	-9.10	
PUT SPY 02/09/22	446	STANDARD & POORS DEPOSITORY CUSIP: *8SLCJL1 Symbol: SPY---220209P00446000					
2022-02-09	1.000000	5.93	2022-02-09	9.05	0.00	-3.12	
PUT SPY 02/09/22	438	STANDARD & POORS DEPOSITORY CUSIP: *8SLCJL5 Symbol: SPY---220209P00438000					
2022-02-08	1.000000	11.93	2022-02-08	15.05	0.00	-3.12	
CALL SPY 01/12/22	469	STANDARD & POORS DEPOSITORY CUSIP: *8SLFSR2 Symbol: SPY---220112C00469000					
2022-01-11	2.000000	129.88	2022-01-11	114.08	0.00	15.80	
PUT SPY 01/12/22	469	STANDARD & POORS DEPOSITORY CUSIP: *8SLFSR3 Symbol: SPY---220112P00469000					
2022-01-12	1.000000	35.94	2022-01-12	33.04	0.00	2.90	
PUT SPY 02/25/22	424	STANDARD & POORS DEPOSITORY CUSIP: *8SLFSZ3 Symbol: SPY---220225P00424000					
2022-02-25	1.000000	96.93	2022-02-25	88.05	0.00	8.88	
PUT MULN 04/14/22	2.50	MULLEN AUTOMOTIVE INC CUSIP: *8BVDZW2 Symbol: MULN--220414P00002500					
2022-03-17	1.000000	104.93	2022-03-17	110.05	0.00	-5.12	
CALL MTTR 04/14/22	10	MATTERPORT INC CLASS A CUSIP: *8J18627 Symbol: MTTR--220414C00010000					
2022-03-21	1.000000	24.93	2022-03-18	33.05	0.00	-8.12	
CALL PG 03/18/22	150	PROCTER & GAMBLE CO CUSIP: *8KPVVM1 Symbol: PG---220318C00150000					
2022-03-18	1.000000	21.93	2022-03-18	28.05	0.00	-6.12	
PUT LULU 02/04/22	340	LULULEMON ATHLETICA INC CUSIP: *8NSTLS8 Symbol: LULU--220204P00340000					
2022-02-02	1.000000	224.93	2022-02-02	222.05	0.00	2.88	
PUT QQQ 02/02/22	363	INVESTCO QQQ TRUST SERIES 1 CUSIP: *8PJMJB9 Symbol: QQQ---220202P00363000					
2022-02-02	1.000000	50.93	2022-02-02	50.05	0.00	0.88	
CALL DWAC 02/18/22	95	DIGITAL WORLD ACQUISITION CORP CUSIP: *8CRTCN5 Symbol: DWAC--220218C00095000					
2022-02-17	2.000000	215.86	2022-02-17	298.22	32.12 D	-82.36	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL DWAC 02/18/22	100	DIGITAL WORLD ACQUISITION CORP CUSIP: *8CRTCQ9 Symbol: DWAC--220218C00100000					
2022-02-17	1.000000	58.93	2022-02-17	78.05	0.00	-19.12	
CALL CHPT 02/11/22	15	CHARGEPOINT HOLDINGS INC CUSIP: *8SJTKP5 Symbol: CHPT--220211C00015000					
2022-02-11	1.000000	7.93	2022-02-11	10.05	0.00	-2.12	
CALL PG 02/11/22	160	PROCTER & GAMBLE CO CUSIP: *8SJZCX4 Symbol: PG----220211C00160000					
2022-02-11	3.000000	17.83	2022-02-11	27.15	0.00	-9.32	
PUT ROKU 02/25/22	135	ROKU INC CUSIP: *8SLCDN5 Symbol: ROKU--220225P00135000					
2022-02-25	1.000000	129.93	2022-02-25	106.05	0.00	23.88	
CALL SQ 04/14/22	130	BLOCK INC CUSIP: *8BSRCF8 Symbol: SQ----220414C00130000					
2022-04-12	1.000000	151.93	2022-04-12	173.05	0.00	-21.12	
PUT SQ 02/11/22	105	BLOCK INC CUSIP: *8BSWWK5 Symbol: SQ----220211P00105000					
2022-02-10	2.000000	154.86	2022-02-10	269.22	51.12 D	-114.36	
PUT AAPL 03/04/22	165	APPLE INC CUSIP: *8BVLDG9 Symbol: AAPL--220304P00165000					
2022-03-02	3.000000	289.79	2022-03-02	308.27	9.12 D	-18.48	
PUT SPY 03/04/22	437	STANDARD & POORS DEPOSITORY CUSIP: *8GNHRP0 Symbol: SPY---220304P00437000					
2022-03-03	1.000000	254.93	2022-03-03	283.05	0.00	-28.12	
CALL XOM 02/11/22	78	EXXON MOBIL CORP CUSIP: *8SKYQP4 Symbol: XOM---220211C00078000					
2022-01-31	1.000000	90.94	2022-01-31	83.04	0.00	7.90	
CALL XOM 02/11/22	80	EXXON MOBIL CORP CUSIP: *8BVGTK6 Symbol: XOM---220211C00080000					
2022-02-11	4.000000	87.74	2022-02-11	68.20	0.00	19.54	
CALL DWAC 02/04/22	100	DIGITAL WORLD ACQUISITION CORP CUSIP: *8CRSJJ8 Symbol: DWAC--220204C00100000					
2022-02-03	1.000000	153.93	2022-02-03	110.05	0.00	43.88	
2022-02-04	2.000000	6.86	2022-02-04	46.22	14.12 D	-39.36	
Security Totals:		160.79		156.27		4.52	
PUT NVDA 03/04/22	230	NVIDIA CORPORATION CUSIP: *8C27036 Symbol: NVDA--220304P00230000					
2022-03-04	2.000000	416.86	2022-03-04	348.10	0.00	68.76	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT QQQ 02/23/22	340	INVESTCO QQQ TRUST SERIES 1 CUSIP: *8LSVJJ2 Symbol: QQQ---220223P00340000					
2022-02-23	1.000000	259.93	2022-02-23	206.05	0.00	53.88	
PUT KSS 01/28/22	52	KOHL'S CORP CUSIP: *8SJNRQ9 Symbol: KSS---220128P00052000					
2022-01-25	1.000000	19.94	2022-01-24	40.04	0.00	-20.10	
CALL FCX 02/25/22	45	FREEMPORT MCMORAN INC CUSIP: *8SKJKX1 Symbol: FCX---220225C00045000					
2022-02-25	1.000000	28.93	2022-02-25	16.05	0.00	12.88	
PUT SPY 02/09/22	448	STANDARD & POORS DEPOSITORY CUSIP: *8SLCJL3 Symbol: SPY---220209P00448000					
2022-02-08	2.000000	290.86	2022-02-08	294.22	17.12 D	-3.36	
CORE SCIENTIFIC INC COMMON STOCK CUSIP: 21873J108 Symbol: CORZ							
2022-12-15	1000.000000	462.84	2022-12-15	454.40	0.00	8.44	
CALL TSLA 03/04/22	1000	TESLA INC CUSIP: *8GDVHH0 Symbol: TSLA--220304C01000000					
2022-03-01	3.000000	174.79	2022-03-01	179.27	1.12 D	-4.48	
PUT SPY 03/04/22	433	STANDARD & POORS DEPOSITORY CUSIP: *8GNHRN4 Symbol: SPY---220304P00433000					
2022-03-03	1.000000	180.93	2022-03-03	164.05	0.00	16.88	
CALL VVOS 01/21/22	2.50	VIVOS THERAPEUTICS INC CUSIP: *8KQHGZ7 Symbol: VVOS--220121C00002500					
2022-01-19	1.000000	19.94	2022-01-19	20.04	0.00	-0.10	
PUT NKE 02/04/22	146	NIKE INC CLASS B CUSIP: *8LSNHH4 Symbol: NKE---220204P00146000					
2022-02-01	1.000000	116.93	2022-02-01	115.05	0.00	1.88	
CALL PG 02/04/22	167.50	PROCTER & GAMBLE CO CUSIP: *8LSRBY9 Symbol: PG----220204C00167500					
2022-02-04	2.000000	3.88	2022-02-04	7.10	0.00	-3.22	
PUT SPY 02/23/22	425	STANDARD & POORS DEPOSITORY CUSIP: *8LTRFK0 Symbol: SPY---220223P00425000					
2022-02-23	1.000000	106.93	2022-02-23	93.05	0.00	13.88	
CALL CLF 01/28/22	18.50	CLEVELAND CLIFFS INC CUSIP: *8PJHQY8 Symbol: CLF---220128C00018500					
2022-01-21	2.000000	89.88	2022-01-21	85.18	4.10 D	4.70	
CALL QQQ 02/18/22	358	INVESTCO QQQ TRUST SERIES 1 CUSIP: *8SHCVV3 Symbol: QQQ---220218C00358000					
2022-02-17	1.000000	63.93	2022-02-17	77.05	0.00	-13.12	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL SPY 01/28/22	440	STANDARD & POORS DEPOSITORY CUSIP: *8SJQRJ6 Symbol: SPY---220128C00440000					
2022-01-26	1.000000	240.94	2022-01-26	228.04	0.00	12.90	
CALL TSLA 01/28/22	880	TESLA INC CUSIP: *8SJRLX4 Symbol: TSLA--220128C00880000					
2022-01-28	1.000000	4.94	2022-01-28	19.04	0.00	-14.10	
CALL TSLA 02/11/22	975	TESLA INC CUSIP: *8SKFCV7 Symbol: TSLA--220211C00975000					
2022-02-09	1.000000	412.93	2022-02-09	353.05	0.00	59.88	
CALL NVDA 01/28/22	240	NVIDIA CORPORATION CUSIP: *8SKPFS7 Symbol: NVDA--220128C00240000					
2022-01-26	1.000000	151.94	2022-01-26	204.04	0.00	-52.10	
CALL SPY 02/11/22	452	STANDARD & POORS DEPOSITORY CUSIP: *8SKQZQ9 Symbol: SPY---220211C00452000					
2022-02-08	1.000000	252.93	2022-02-08	250.05	0.00	2.88	
CALL SPY 01/31/22	452	STANDARD & POORS DEPOSITORY CUSIP: *8SKXWG6 Symbol: SPY---220131C00452000					
2022-01-31	2.000000	19.88	2022-01-31	18.08	0.00	1.80	
PUT HD 02/25/22	320	HOME DEPOT INC CUSIP: *8SLBDJ8 Symbol: HD----220225P00320000					
2022-02-22	1.000000	345.93	2022-02-22	295.05	0.00	50.88	
PUT NFLX 01/28/22	370	NETFLIX INC CUSIP: *8BSNYS8 Symbol: NFLX--220128P00370000					
2022-01-27	1.000000	222.94	2022-01-27	211.04	0.00	11.90	
CALL SPY 02/14/22	446	STANDARD & POORS DEPOSITORY CUSIP: *8BSWLC7 Symbol: SPY---220214C00446000					
2022-02-11	1.000000	215.93	2022-02-11	239.05	0.00	-23.12	
CALL TDOC 03/04/22	80	TELADOC HEALTH INC CUSIP: *8GBWSK7 Symbol: TDOC--220304C00080000					
2022-02-28	1.000000	175.93	2022-02-28	164.05	0.00	11.88	
CALL MRVL 03/04/22	70	MARVELL TECHNOLOGY INC CUSIP: *8GLPBD4 Symbol: MRVL--220304C00070000					
2022-03-04	1.000000	4.93	2022-03-04	6.05	0.00	-1.12	
CALL QQQ 02/04/22	361	INVESCO QQQ TRUST SERIES 1 CUSIP: *8LZZNJ4 Symbol: QQQ---220204C00361000					
2022-02-04	1.000000	48.93	2022-02-04	55.05	0.00	-6.12	
PUT QQQ 02/04/22	346	INVESCO QQQ TRUST SERIES 1 CUSIP: *8LZZLS9 Symbol: QQQ---220204P00346000					
2022-02-03	1.000000	59.93	2022-02-03	55.05	0.00	4.88	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL NVDA 01/21/22	241.25	NVIDIA CORPORATION ADJ 4:1 STOCK SPLIT CUSIP: *8NKRBT0 Symbol: NVDA--220121C00241250					
2022-01-21	1.000000	151.94	2022-01-21	260.04	0.00	-108.10	
PUT XOM 03/18/22	75	EXXON MOBIL CORP CUSIP: *8NLKTM8 Symbol: XOM---220318P00075000					
2022-02-22	1.000000	160.93	2022-02-22	167.05	0.00	-6.12	
CALL QQQ 01/26/22	357	INVESCO QQQ TRUST SERIES 1 CUSIP: *8PJLYJ2 Symbol: QQQ---220126C00357000					
2022-01-25	1.000000	167.94	2022-01-25	170.04	0.00	-2.10	
CALL QQQ 02/18/22	356	INVESCO QQQ TRUST SERIES 1 CUSIP: *8SHCVV1 Symbol: QQQ---220218C00356000					
2022-02-17	15.000000	220.21	2022-02-17	584.16	95.41 D	-363.95	
PUT XOM 01/28/22	74	EXXON MOBIL CORP CUSIP: *8SJSKZ7 Symbol: XOM---220128P00074000					
2022-01-26	1.000000	49.94	2022-01-26	52.04	0.00	-2.10	
CALL TTD 02/11/22	80	TRADE DESK INC CLASS A CUSIP: *8SKFFQ3 Symbol: TTD---220211C00080000					
2022-02-07	1.000000	139.93	2022-02-07	126.05	0.00	13.88	
CALL SPY 02/25/22	440	STANDARD & POORS DEPOSITORY CUSIP: *8SKVXV7 Symbol: SPY---220225C00440000					
2022-02-23	1.000000	12.93	2022-02-23	14.05	0.00	-1.12	
CALL SPY 02/09/22	452	STANDARD & POORS DEPOSITORY CUSIP: *8SLCJL4 Symbol: SPY---220209C00452000					
2022-02-08	1.000000	123.93	2022-02-08	138.05	0.00	-14.12	
PUT MU 03/04/22	90	MICRON TECHNOLOGY INC CUSIP: *8CZPBS5 Symbol: MU---220304P00090000					
2022-03-02	1.000000	52.93	2022-03-02	62.05	0.00	-9.12	
PUT ROKU 02/25/22	100	ROKU INC CUSIP: *8D70057 Symbol: ROKU--220225P00100000					
2022-02-17	1.000000	102.93	2022-02-17	99.05	0.00	3.88	
PUT SOFI 03/04/22	11	SOFI TECHNOLOGIES INC CUSIP: *8F05723 Symbol: SOFI--220304P00011000					
2022-03-01	2.000000	171.86	2022-03-01	170.10	0.00	1.76	
CALL TSLA 03/04/22	950	TESLA INC CUSIP: *8GDVHB3 Symbol: TSLA--220304C00950000					
2022-02-28	1.000000	85.93	2022-02-28	98.05	0.00	-12.12	
CALL AMD 02/11/22	121	ADVANCED MICRO DEVICES INC CUSIP: *8GXHJB1 Symbol: AMD---220211C00121000					
2022-02-11	3.000000	190.79	2022-02-11	250.27	25.12 D	-59.48	

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Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL NVDA 01/28/22	232.50	NVIDIA CORPORATION CUSIP: *8K30171 Symbol: NVDA--220128C00232500					
2022-01-28	3.000000	58.84	2022-01-28	63.12	0.00	-4.28	
CALL NVDA 02/04/22	237.50	NVIDIA CORPORATION CUSIP: *8K30183 Symbol: NVDA--220204C00237500					
2022-01-28	2.000000	420.88	2022-01-28	454.18	22.10 D	-33.30	
PUT QQQ 02/14/22	345	INVESCO QQQ TRUST SERIES 1 CUSIP: *8LDXWW8 Symbol: QQQ---220214P00345000					
2022-02-14	1.000000	154.93	2022-02-14	183.05	0.00	-28.12	
CALL QQQ 01/26/22	360	INVESCO QQQ TRUST SERIES 1 CUSIP: *8PGZHC2 Symbol: QQQ---220126C00360000					
2022-01-26	1.000000	96.94	2022-01-26	113.04	0.00	-16.10	
CALL SPY 01/24/22	437	STANDARD & POORS DEPOSITORY CUSIP: *8PHCCT6 Symbol: SPY---220124C00437000					
2022-01-24	1.000000	66.94	2022-01-24	67.04	0.00	-0.10	
CALL CCJ 02/25/22	23	CAMECO CORP CUSIP: *8SKHCN2 Symbol: CCJ---220225C00023000					
2022-02-24	2.000000	65.86	2022-02-24	54.10	0.00	11.76	
CALL NVDA 01/28/22	230	NVIDIA CORPORATION CUSIP: *8SKPFS3 Symbol: NVDA--220128C00230000					
2022-01-28	1.000000	31.94	2022-01-28	32.04	0.00	-0.10	
CALL JMIA 01/28/22	9	JUMIA TECHNOLOGIES AG CUSIP: *8SKNRC1 Symbol: JMIA--220128C00009000					
2022-01-25	3.000000	17.86	2022-01-25	30.12	0.00	-12.26	
***FGI INDUSTRIES LTD ORDINARY SHARES CUSIP: G3302D103 Symbol: FGI							
2022-03-22	11.000000	44.53	2022-03-22	46.54	0.00	-2.01	
CALL BA 03/04/22	200	BOEING CO CUSIP: *8BWTCC1 Symbol: BA----220304C00200000					
2022-03-03	3.000000	62.83	2022-03-03	93.15	0.00	-30.32	
PUT QQQ 02/16/22	348	INVESCO QQQ TRUST SERIES 1 CUSIP: *8GMHYY7 Symbol: QQQ---220216P00348000					
2022-02-16	1.000000	62.93	2022-02-16	58.05	0.00	4.88	
CALL QQQ 01/24/22	340	INVESCO QQQ TRUST SERIES 1 CUSIP: *8NPMQF4 Symbol: QQQ---220124C00340000					
2022-01-24	1.000000	136.94	2022-01-24	127.04	0.00	9.90	

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Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

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1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL AAPL 02/18/22	172.50	APPLE INC CUSIP: *8NRDTB1 Symbol: AAPL--220218C00172500					
2022-02-15	1.000000	110.93	2022-02-15	120.05	9.12 D	-9.12	
2022-02-17	1.000000	73.93	2022-02-17	79.17	0.00	-5.24	
Security Totals:		184.86		199.22		-14.36	
PUT RCL 02/04/22	73	ROYAL CARIBBEAN GROUP CUSIP: *8PGZMS8 Symbol: RCL---220204P00073000					
2022-02-04	1.000000	35.93	2022-02-04	58.05	0.00	-22.12	
CALL QQQ 02/18/22	369	INVESCO QQQ TRUST SERIES 1 CUSIP: *8PHRVJ6 Symbol: QQQ---220218C00369000					
2022-02-16	1.000000	7.93	2022-02-16	10.05	0.00	-2.12	
PUT RIVN 02/04/22	54	RIVIAN AUTOMOTIVE INC CLASS A CUSIP: *8PHWPP8 Symbol: RIVN--220204P00054000					
2022-01-31	1.000000	115.94	2022-01-31	107.04	0.00	8.90	
CALL NIO 04/14/22	20	NIO INC ADS CUSIP: *8PJFRP0 Symbol: NIO---220414C00020000					
2022-04-11	4.000000	124.74	2022-04-11	112.20	0.00	12.54	
CALL TSLA 02/11/22	905	TESLA INC CUSIP: *8SKFCR9 Symbol: TSLA--220211C00905000					
2022-02-11	2.000000	293.86	2022-02-11	377.22	33.12 D	-83.36	
CALL AMD 02/25/22	120	ADVANCED MICRO DEVICES INC CUSIP: *8SKYZB0 Symbol: AMD---220225C00120000					
2022-02-22	2.000000	482.86	2022-02-22	436.10	0.00	46.76	
CALL QQQ 02/14/22	350	INVESCO QQQ TRUST SERIES 1 CUSIP: *8SLBZS4 Symbol: QQQ---220214C00350000					
2022-02-14	4.000000	620.76	2022-02-14	602.31	20.11 D	18.45	
SKY HARBOUR GROUP CORPORATION CLASS A COMMON STOCK CUSIP: 83085C107 Symbol: SKYH							
2022-04-25	2.000000	16.82	2022-04-25	17.64	0.82 D	-0.82	
2022-04-26	2.000000	22.26	2022-04-26	22.68	0.42 D	-0.42	
2022-05-09	20.000000	152.48	2022-05-09	145.82	0.00	6.66	
Security Totals:		191.56		186.14		5.42	
CALL ASTS 03/18/22	10	AST SPACEMOBILE INC CUSIP: *8H48924 Symbol: ASTS--220318C00010000					
2022-03-09	3.000000	94.79	2022-03-09	79.15	0.00	15.64	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL SPY 05/20/22	400	STANDARD & POORS DEPOSITORY CUSIP: *8NKGRB5 Symbol: SPY---220520C00400000					
2022-05-19	1.000000	70.93	2022-05-19	63.05	0.00	7.88	
PUT AMD 01/28/22	101	ADVANCED MICRO DEVICES INC CUSIP: *8NQYVD0 Symbol: AMD---220128P00101000					
2022-01-27	1.000000	117.94	2022-01-27	113.04	0.00	4.90	
PUT QQQ 02/04/22	347	INVESCO QQQ TRUST SERIES 1 CUSIP: *8PJS CP5 Symbol: QQQ---220204P00347000					
2022-02-03	1.000000	53.93	2022-02-03	67.05	0.00	-13.12	
CALL QQQ 02/16/22	353	INVESCO QQQ TRUST SERIES 1 CUSIP: *8PJSGB9 Symbol: QQQ---220216C00353000					
2022-02-16	3.000000	482.79	2022-02-16	500.51	16.36 D	-17.72	
CALL ANY 02/11/22	4	SPHERE 3D CORP CUSIP: *8PKDJT1 Symbol: ANY---220211C00004000					
2022-02-04	1.000000	17.93	2022-02-04	23.05	0.00	-5.12	
CALL SPY 04/14/22	442	STANDARD & POORS DEPOSITORY CUSIP: *8SGTJJ8 Symbol: SPY---220414C00442000					
2022-04-14	1.000000	96.93	2022-04-14	100.05	0.00	-3.12	
CALL LMT 02/11/22	400	LOCKHEED MARTIN CORP CUSIP: *8SJWWX9 Symbol: LMT---220211C00400000					
2022-02-11	1.000000	39.93	2022-02-11	43.05	0.00	-3.12	
CALL QQQ 02/14/22	355	INVESCO QQQ TRUST SERIES 1 CUSIP: *8SLBZS6 Symbol: QQQ---220214C00355000					
2022-02-14	1.000000	25.93	2022-02-14	24.05	0.00	1.88	
PUT BIDU 03/18/22	90	BAIDU INC CUSIP: *8NNJXN1 Symbol: BIDU--220318P00090000					
2022-03-14	1.000000	140.93	2022-03-14	139.05	0.00	1.88	
PUT PINS 02/04/22	22	PINTEREST INC CLASS A CUSIP: *8PJHVT7 Symbol: PINS--220204P00022000					
2022-02-03	1.000000	66.93	2022-02-02	31.05	0.00	35.88	
CALL PINS 02/11/22	28	PINTEREST INC CLASS A CUSIP: *8PJLBO Symbol: PINS--220211C00028000					
2022-02-11	1.000000	41.93	2022-02-11	33.05	0.00	8.88	
CALL SPY 03/02/22	440	STANDARD & POORS DEPOSITORY CUSIP: *8PJWXB1 Symbol: SPY---220302C00440000					
2022-03-01	3.000000	130.81	2022-03-01	209.26	26.11 D	-78.45	
PUT FB 02/11/22	225	META PLATFORMS INC CL A CUSIP: *8PLBWW1 Symbol: FB----220211P00225000					
2022-02-10	2.000000	198.86	2022-02-10	238.22	12.12 D	-39.36	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT SPY 02/22/22	426	STANDARD & POORS DEPOSITORY CUSIP: *8SLHGL4 Symbol: SPY---220222P00426000					
2022-02-22	3.000000	117.81	2022-02-22	225.27	34.12 D	-107.46	
KNIGHTSCOPE INC CLASS A COMMON STOCK CUSIP: 49907V102 Symbol: KSCP							
2022-03-16	20.000000	112.78	2022-03-16	111.80	0.00	0.98	
CALL WMT 03/04/22	140	WALMART INC CUSIP: *8GHKCF2 Symbol: WMT---220304C00140000					
2022-03-03	1.000000	51.93	2022-03-03	48.05	0.00	3.88	
CALL LCID 02/04/22	30	LUCID GROUP INC CUSIP: *8LZMHK2 Symbol: LCID--220204C00030000					
2022-01-31	1.000000	82.94	2022-01-31	79.04	0.00	3.90	
CALL SPY 02/28/22	438	STANDARD & POORS DEPOSITORY CUSIP: *8PHVMN0 Symbol: SPY---220228C00438000					
2022-02-28	1.000000	47.93	2022-02-28	50.05	0.00	-2.12	
PUT QQQ 02/28/22	342	INVESCO QQQ TRUST SERIES 1 CUSIP: *8PJSJG7 Symbol: QQQ---220228P00342000					
2022-02-28	1.000000	225.93	2022-02-28	184.05	0.00	41.88	
CALL F 02/11/22	18.50	FORD MOTOR COMPANY CUSIP: *8SJVSP7 Symbol: F-----220211C00018500					
2022-02-09	1.000000	12.93	2022-02-09	17.05	0.00	-4.12	
CALL SPY 02/22/22	438	STANDARD & POORS DEPOSITORY CUSIP: *8SLHGM3 Symbol: SPY---220222C00438000					
2022-02-22	1.000000	1.93	2022-02-22	4.05	0.00	-2.12	
CALL AMD 03/11/22	111	ADVANCED MICRO DEVICES INC CUSIP: *8SLJCC8 Symbol: AMD---220311C00111000					
2022-03-09	2.000000	279.86	2022-03-09	285.22	6.12 D	-5.36	
PUT AAPL 03/11/22	155	APPLE INC CUSIP: *8SLHVL5 Symbol: AAPL--220311P00155000					
2022-03-08	1.000000	143.93	2022-03-08	121.05	0.00	22.88	
PUT AMD 03/11/22	105	ADVANCED MICRO DEVICES INC CUSIP: *8SLJCB7 Symbol: AMD---220311P00105000					
2022-03-09	3.000000	294.79	2022-03-09	326.51	12.36 D	-31.72	
PUT PINS 02/04/22	21	PINTEREST INC CLASS A CUSIP: *8PJHRM5 Symbol: PINS--220204P00021000					
2022-02-02	1.000000	18.93	2022-02-02	19.05	0.00	-0.12	
PUT QQQ 02/28/22	341	INVESCO QQQ TRUST SERIES 1 CUSIP: *8PJSJG4 Symbol: QQQ---220228P00341000					
2022-02-28	3.000000	394.79	2022-02-28	461.27	46.12 D	-66.48	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS

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1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT SPY 03/02/22	438	STANDARD & POORS DEPOSITORY CUSIP: *8PJWWZ8 Symbol: SPY---220302P00438000					
2022-03-02	1.000000	82.93	2022-03-02	107.05	0.00	-24.12	
PUT PDD 02/25/22	50	PINDUODUO INC ADS CUSIP: *8SKTWT9 Symbol: PDD---220225P00050000					
2022-02-22	1.000000	54.93	2022-02-22	71.05	0.00	-16.12	
CALL TSLA 02/25/22	830	TESLA INC CUSIP: *8SLCNT6 Symbol: TSLA--220225C00830000					
2022-02-25	1.000000	82.93	2022-02-25	72.05	0.00	10.88	
CALL AMD 03/11/22	110	ADVANCED MICRO DEVICES INC CUSIP: *8SLJCC6 Symbol: AMD---220311C00110000					
2022-03-08	1.000000	211.93	2022-03-08	209.05	0.00	2.88	
PUT AAPL 03/11/22	160	APPLE INC CUSIP: *8SLHVL7 Symbol: AAPL--220311P00160000					
2022-03-09	1.000000	93.94	2022-03-09	97.05	0.00	-3.11	
PUT AMD 03/04/22	117	ADVANCED MICRO DEVICES INC CUSIP: *8SLJBZ9 Symbol: AMD---220304P00117000					
2022-03-01	1.000000	269.93	2022-03-01	211.05	0.00	58.88	
CALL TSLA 03/11/22	890	TESLA INC CUSIP: *8SLPWZ2 Symbol: TSLA--220311C00890000					
2022-03-10	1.000000	67.93	2022-03-10	65.05	0.00	2.88	
PUT SPY 02/23/22	428	STANDARD & POORS DEPOSITORY CUSIP: *8SLPLN9 Symbol: SPY---220223P00428000					
2022-02-23	1.000000	201.93	2022-02-23	187.05	0.00	14.88	
CALL BBBY 08/19/22	18	BED BATH & BEYOND INC CUSIP: *8CSJWD6 Symbol: BBBY--220819C00018000					
2022-08-15	2.000000	463.86	2022-08-15	449.10	0.00	14.76	
PUT QQQ 02/11/22	363	INVESCO QQQ TRUST SERIES 1 CUSIP: *8LDXWP6 Symbol: QQQ---220211P00363000					
2022-02-10	2.000000	544.86	2022-02-10	521.22	11.12 D	23.64	
PUT QQQ 02/23/22	336	INVESCO QQQ TRUST SERIES 1 CUSIP: *8PJS HB7 Symbol: QQQ---220223P00336000					
2022-02-23	2.000000	257.86	2022-02-23	213.10	0.00	44.76	
PUT QQQ 03/02/22	345	INVESCO QQQ TRUST SERIES 1 CUSIP: *8PJSJW5 Symbol: QQQ---220302P00345000					
2022-03-01	1.000000	332.93	2022-03-01	279.05	0.00	53.88	
2022-03-02	2.000000	205.86	2022-03-02	292.22	21.12 D	-86.36	
Security Totals:		538.79		571.27		-32.48	

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Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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CALL CCL 03/11/22	18 CARNIVAL CORP CUSIP: *8SLJXB6 Symbol: CCL---220311C00018000						
2022-03-10	1.000000	28.93	2022-03-10	33.05	0.00	-4.12	
CALL PFE 03/18/22	52.50 PFIZER INC CUSIP: *8SLNMR4 Symbol: PFE---220318C00052500						
2022-03-14	2.000000	93.86	2022-03-14	79.10	0.00	14.76	
CALL BBY 08/19/22	19 BED BATH & BEYOND INC CUSIP: *8CSJWH3 Symbol: BBY--220819C00019000						
2022-08-16	6.000000	1,335.59	2022-08-16	1,331.42	14.12 D	4.17	
CALL DIDI 03/18/22	2.50 DIDI GLOBAL INC CUSIP: *8KKDPZ3 Symbol: DIDI--220318C00002500						
2022-03-16	1.000000	27.93	2022-03-16	32.05	0.00	-4.12	
CALL ERIC 03/18/22	11 ERICSSON CUSIP: *8KPFZB6 Symbol: ERIC--220318C00011000						
2022-02-18	6.000000	77.69	Various	152.30	0.00	-74.61	
CALL LCID 03/11/22	26 LUCID GROUP INC CUSIP: *8SLVJB0 Symbol: LCID--220311C00026000						
2022-03-08	1.000000	69.93	2022-03-08	68.05	0.00	1.88	
CALL PLUG 03/11/22	27 PLUG POWER INC CUSIP: *8SLWKG4 Symbol: PLUG--220311C00027000						
2022-03-09	1.000000	54.93	2022-03-09	58.05	0.00	-3.12	
DIRECT DIGITAL HOLDINGS INC CLASS A COMMON STOCK CUSIP: 25461T105 Symbol: DRCT							
2022-08-11	400.000000	1,290.75	2022-08-11	1,362.45	0.00	-71.70	
PUT FB 04/14/22	215 META PLATFORMS INC CL A CUSIP: *8KRMPX6 Symbol: FB----220414P00215000						
2022-04-11	1.000000	204.93	2022-04-11	200.05	0.00	4.88	
CALL QCOM 02/04/22	207.50 QUALCOMM INC CUSIP: *8LSTJR2 Symbol: QCOM--220204C00207500						
2022-02-03	1.000000	10.93	2022-02-03	9.05	0.00	1.88	
CALL FB 02/11/22	250 META PLATFORMS INC CL A CUSIP: *8SKKYK4 Symbol: FB----220211C00250000						
2022-02-04	1.000000	439.93	2022-02-04	410.05	0.00	29.88	
PUT TSLA 02/25/22	785 TESLA INC CUSIP: *8SLZQQ1 Symbol: TSLA--220225P00785000						
2022-02-25	1.000000	339.93	2022-02-25	395.05	0.00	-55.12	
***ANGHAMI INC ORDINARY SHARES CUSIP: G0369L101 Symbol: ANGH							
2022-02-17	8.000000	144.03	2022-02-17	111.10	0.00	32.93	

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Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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CALL FB 02/18/22	240	META PLATFORMS INC CL A CUSIP: *8GHBPN0 Symbol: FB----	220218C00240000				
2022-02-11	1.000000	96.93	2022-02-11	103.05	0.00	-6.12	
CALL FB 04/14/22	225	META PLATFORMS INC CL A CUSIP: *8KRMPX7 Symbol: FB----	220414C00225000				
2022-04-12	1.000000	77.93	2022-04-12	87.05	0.00	-9.12	
PUT FB 05/20/22	185	META PLATFORMS INC CL A CUSIP: *8KRMRP4 Symbol: FB----	220520P00185000				
2022-05-19	1.000000	129.93	2022-05-19	108.05	0.00	21.88	
CALL FB 02/18/22	225	META PLATFORMS INC CL A CUSIP: *8LBYFM1 Symbol: FB----	220218C00225000				
2022-02-17	1.000000	28.93	2022-02-17	35.05	0.00	-6.12	
CALL FB 02/04/22	245	META PLATFORMS INC CL A CUSIP: *8LZFB1 Symbol: FB----	220204C00245000				
2022-02-04	1.000000	82.93	2022-02-04	97.05	0.00	-14.12	
CALL FB 02/11/22	235	META PLATFORMS INC CL A CUSIP: *8NSGFJ3 Symbol: FB----	220211C00235000				
2022-02-11	1.000000	4.94	2022-02-11	12.05	0.00	-7.11	
CALL FB 02/11/22	240	META PLATFORMS INC CL A CUSIP: *8SKKYK0 Symbol: FB----	220211C00240000				
2022-02-10	1.000000	140.93	2022-02-10	129.05	0.00	11.88	
CALL FB 02/04/22	242.50	META PLATFORMS INC CL A CUSIP: *8SLTDX6 Symbol: FB----	220204C00242500				
2022-02-04	1.000000	151.93	2022-02-04	105.05	0.00	46.88	
CALL SST 02/18/22	15	SYSTEM1 INC CLASS A CUSIP: *8SLXDY6 Symbol: SST---	220218C00015000				
2022-02-04	2.000000	134.86	2022-02-04	125.22	0.12 D	9.64	
PUT TSLA 03/04/22	835	TESLA INC CUSIP: *8SLZQT7 Symbol: TSLA--	220304P00835000				
2022-03-04	1.000000	479.93	2022-03-04	430.05	0.00	49.88	
CALL AMC 03/25/22	17	AMC ENTERTAINMENT HOLDINGS INC CUSIP: *8SMBTX7 Symbol: AMC---	220325C00017000				
2022-03-22	1.000000	38.93	2022-03-22	38.05	0.00	0.88	
PUT AAPL 03/25/22	160	APPLE INC CUSIP: *8SMBPRO Symbol: AAPL--	220325P00160000				
2022-03-21	1.000000	85.93	2022-03-21	94.05	0.00	-8.12	
PUT BABA 03/25/22	109	ALIBABA GROUP HOLDING LIMITED CUSIP: *8SMCCM0 Symbol: BABA--	220325P00109000				
2022-03-25	1.000000	41.93	2022-03-25	54.05	0.00	-12.12	

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Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

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1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL AMD 03/25/22	120	ADVANCED MICRO DEVICES INC CUSIP: *8SMBVH1 Symbol: AMD---	220325C00120000	2022-03-22	105.05	0.00	8.88
CALL AMC 03/25/22	21	AMC ENTERTAINMENT HOLDINGS INC CUSIP: *8SMBTY5 Symbol: AMC---	220325C00021000	2022-03-23	66.05	0.00	33.88
AMERICAN REBEL HOLDINGS INC COMMON STOCK CUSIP: 02919L208 Symbol: AREB	1000.000000	340.36	2022-10-20	385.00	0.00	-44.64	
PUT QQQ 02/28/22	343	INVESCO QQQ TRUST SERIES 1 CUSIP: *8PJSJM1 Symbol: QQQ---	220228P00343000	2022-02-28	506.92	166.72 D	-144.20
PUT SPY 09/16/22	383	STANDARD & POORS DEPOSITORY CUSIP: *8SFFCS3 Symbol: SPY---	220916P00383000	2022-09-16	315.10	0.00	-0.24
CALL FB 03/25/22	225	META PLATFORMS INC CL A CUSIP: *8SMDJV9 Symbol: FB----	220325C00225000	2022-03-25	108.25	0.00	-33.52
CALL AMD 03/25/22	125	ADVANCED MICRO DEVICES INC CUSIP: *8SMBVJ1 Symbol: AMD---	220325C00125000	2022-03-21	120.10	0.00	-40.22
CALL TSLA 03/25/22	1150	TESLA INC CUSIP: *8SMHJW1 Symbol: TSLA--	220325C01150000	2022-03-21	242.30	0.00	-86.61
PUT SPY 02/07/22	443	STANDARD & POORS DEPOSITORY CUSIP: *8SMRXC7 Symbol: SPY---	220207P00443000	2022-02-07	42.10	0.00	6.77
PUT SPY 02/09/22	441	STANDARD & POORS DEPOSITORY CUSIP: *8SMRXD5 Symbol: SPY---	220209P00441000	2022-02-08	277.23	18.73 D	2.20
CALL COIN 02/25/22	185	COINBASE GLOBAL INC CUSIP: *8SKHJR9 Symbol: COIN--	220225C00185000	2022-02-25	65.05	0.00	-10.12
CALL QQQ 03/25/22	357	INVESCO QQQ TRUST SERIES 1 CUSIP: *8SMGLR3 Symbol: QQQ---	220325C00357000	2022-03-24	197.05	0.00	22.88
PUT NVDA 02/25/22	237.50	NVIDIA CORPORATION CUSIP: *8SNTRL1 Symbol: NVDA--	220225P00237500	2022-02-25	101.05	0.00	32.88

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
***CYREN LTD SHS NEW CUSIP: M26895132 Symbol: CYRN							
2022-03-09	14.000000	113.10	2022-03-09	133.68	0.00	-20.58	
PUT MRNA 02/25/22 70 MODERNA INC CUSIP: *8PJZJ6 Symbol: MRNA--220225P00070000							
2022-02-23	1.000000	18.93	2022-02-23	20.05	0.00	-1.12	
PUT AA 02/25/22 70 ALCOA CORPORATION CUSIP: *8PJT3Y3 Symbol: AA----220225P00070000							
2022-02-24	1.000000	163.93	2022-02-24	114.05	0.00	49.88	
PUT QQQ 02/09/22 363 INVESCO QQQ TRUST SERIES 1 CUSIP: *8SMKLM5 Symbol: QQQ---220209P00363000							
2022-02-09	6.000000	994.58	2022-02-09	972.66	24.36 D	21.92	
CALL SPY 02/09/22 457 STANDARD & POORS DEPOSITORY CUSIP: *8SMKRZ5 Symbol: SPY---220209C00457000							
2022-02-08	1.000000	18.93	2022-02-08	22.05	0.00	-3.12	
CALL MULN 04/14/22 2.50 MULLEN AUTOMOTIVE INC CUSIP: *8BVDZW1 Symbol: MULN--220414C00002500							
2022-03-17	1.000000	69.93	2022-03-17	80.05	0.00	-10.12	
PUT BAC 03/25/22 44 BANK OF AMERICA CORP CUSIP: *8SMCCT1 Symbol: BAC---220325P00044000							
2022-03-22	1.000000	41.93	2022-03-22	50.05	0.00	-8.12	
PUT NIO 03/25/22 20 NIO INC ADS CUSIP: *8SMFXP0 Symbol: NIO---220325P00020000							
2022-03-25	1.000000	49.93	2022-03-25	38.05	0.00	11.88	
PUT GME 03/25/22 100 GAMESTOP CORP CUSIP: *8SMDPX2 Symbol: GME---220325P00100000							
2022-03-24	19.000000	258.98	2022-03-24	397.47	13.52 D	-138.49	
CALL NVDA 03/25/22 275 NVIDIA CORPORATION CUSIP: *8SMGBX4 Symbol: NVDA--220325C00275000							
2022-03-23	1.000000	69.93	2022-03-23	105.05	0.00	-35.12	
PUT SPY 03/16/22 400 STANDARD & POORS DEPOSITORY CUSIP: *8SMTTC4 Symbol: SPY---220316P00400000							
2022-03-15	7.000000	133.58	2022-03-15	162.67	12.32 D	-29.09	
CALL IRNT 03/04/22 5 IRONNET INC CUSIP: *8CVJWW5 Symbol: IRNT--220304C00005000							
2022-02-24	1.000000	50.93	2022-02-24	50.05	0.00	0.88	
CALL IRNT 03/04/22 6 IRONNET INC CUSIP: *8CVJWW9 Symbol: IRNT--220304C00006000							
2022-02-24	2.000000	51.86	2022-02-24	50.10	0.00	1.76	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

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1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT SPY 02/23/22	422	STANDARD & POORS DEPOSITORY CUSIP: *8SLPLN3 Symbol: SPY---220223P00422000					
2022-02-23	2.000000	89.86	2022-02-23	86.10	0.00	3.76	
PUT SPY 03/14/22	422	STANDARD & POORS DEPOSITORY CUSIP: *8SNDLY7 Symbol: SPY---220314P00422000					
2022-03-14	1.000000	280.93	2022-03-14	271.05	0.00	9.88	
CALL FB 04/01/22	250	META PLATFORMS INC CL A CUSIP: *8SMYGX2 Symbol: FB----220401C00250000					
2022-03-23	1.000000	12.93	2022-03-22	19.05	6.12 D	-6.12	
2022-03-24	1.000000	20.93	2022-03-24	21.17	0.00	-0.24	
2022-03-25	1.000000	22.93	2022-03-24	15.05	0.00	7.88	
Security Totals:		56.79		55.27		1.52	
CALL AAPL 04/01/22	185	APPLE INC CUSIP: *8SMVGM6 Symbol: AAPL--220401C00185000					
2022-03-29	3.000000	35.83	2022-03-29	42.15	0.00	-6.32	
CALL FB 04/01/22	230	META PLATFORMS INC CL A CUSIP: *8SMYGW4 Symbol: FB----220401C00230000					
2022-04-01	3.000000	71.79	2022-04-01	91.27	11.12 D	-19.48	
CALL OXY 04/01/22	60	OCCIDENTAL PETROLEUM CORP CUSIP: *8SNCGB8 Symbol: OXY---220401C00060000					
2022-03-30	1.000000	45.93	2022-03-30	54.05	0.00	-8.12	
CALL AMC 04/01/22	30	AMC ENTERTAINMENT HOLDINGS INC CUSIP: *8SMVQV8 Symbol: AMC---220401C00030000					
2022-03-25	2.000000	100.86	Various	90.22	4.12 D	10.64	
CALL SPY 04/01/22	465	STANDARD & POORS DEPOSITORY CUSIP: *8SNDMQ6 Symbol: SPY---220401C00465000					
2022-03-31	1.000000	7.94	2022-03-31	11.05	0.00	-3.11	
PUT TSLA 04/01/22	1000	TESLA INC CUSIP: *8SNFBH9 Symbol: TSLA--220401P01000000					
2022-03-31	1.000000	111.93	2022-03-31	130.05	0.00	-18.12	
CALL ISUN 03/18/22	7.50	ISUN INC CUSIP: *8KVPHY9 Symbol: ISUN--220318C00007500					
2022-03-08	1.000000	94.93	2022-03-08	90.05	0.00	4.88	
PUT AA 02/25/22	72	ALCOA CORPORATION CUSIP: *8PJTFG9 Symbol: AA----220225P00072000					
2022-02-24	1.000000	199.93	2022-02-24	137.05	0.00	62.88	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL TSLA 03/04/22	865 TESLA INC CUSIP: *8SLZQV2 Symbol: TSLA--220304C00865000						
2022-03-04	1.000000	267.93	2022-03-04	305.05	0.00	-37.12	
CALL NVDA 03/25/22	280 NVIDIA CORPORATION CUSIP: *8SMGBX6 Symbol: NVDA--220325C00280000						
2022-03-22	1.000000	319.93	2022-03-22	320.05	0.00	-0.12	
CALL OXY 03/18/22	60 OCCIDENTAL PETROLEUM CORP CUSIP: *8SMKHY4 Symbol: OXY---220318C00060000						
2022-03-17	2.000000	65.86	2022-03-17	103.22	18.12 D	-37.36	
CALL AMD 04/01/22	128 ADVANCED MICRO DEVICES INC CUSIP: *8SMVRG2 Symbol: AMD---220401C00128000						
2022-03-30	1.000000	52.93	2022-03-30	63.05	0.00	-10.12	
CALL AMD 04/01/22	110 ADVANCED MICRO DEVICES INC CUSIP: *8SMVRD2 Symbol: AMD---220401C00110000						
2022-04-01	1.000000	52.93	2022-04-01	53.05	0.00	-0.12	
CALL LCID 04/01/22	30 LUCID GROUP INC CUSIP: *8SMZXH2 Symbol: LCID--220401C00030000						
2022-03-29	1.000000	34.93	2022-03-29	40.05	0.00	-5.12	
CALL SPY 04/01/22	463 STANDARD & POORS DEPOSITORY CUSIP: *8SNDMQ2 Symbol: SPY---220401C00463000						
2022-03-31	2.000000	34.86	2022-03-31	30.22	0.12 D	4.64	
CALL NVDA 04/01/22	295 NVIDIA CORPORATION CUSIP: *8SNCBF2 Symbol: NVDA--220401C00295000						
2022-03-31	1.000000	53.93	2022-03-31	57.05	0.00	-3.12	
CALL PYPL 04/01/22	125 PAYPAL HOLDINGS INC CUSIP: *8SNCNK8 Symbol: PYPL--220401C00125000						
2022-03-29	6.000000	290.64	2022-03-29	268.30	0.00	22.34	
CALL AAPL 02/25/22	162.50 APPLE INC CUSIP: *8SNSCG0 Symbol: AAPL--220225C00162500						
2022-02-23	1.000000	91.93	2022-02-23	91.05	0.00	0.88	
CALL TSLA 04/01/22	1200 TESLA INC CUSIP: *8SNFBP8 Symbol: TSLA--220401C01200000						
2022-03-31	2.000000	87.88	2022-03-31	117.10	0.00	-29.22	
CALL UBER 02/18/22	38.50 UBER TECHNOLOGIES INC CUSIP: *8SNGYS7 Symbol: UBER--220218C00038500						
2022-02-15	1.000000	23.93	2022-02-15	21.05	0.00	2.88	
PUT DB 04/14/22	12 DEUTSCHE BANK AG CUSIP: *8F09257 Symbol: DB----220414P00012000						
2022-04-11	1.000000	39.93	2022-04-11	35.05	0.00	4.88	

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Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL SPY 02/23/22	433 STANDARD & POORS DEPOSITORY CUSIP: *8SLZLX4 Symbol: SPY---220223C00433000						
2022-02-23	1.000000	27.93	2022-02-23	32.05	0.00	-4.12	
CALL AAPL 04/01/22	180 APPLE INC CUSIP: *8SMVGM4 Symbol: AAPL--220401C00180000						
2022-03-28	1.000000	55.93	2022-03-28	61.05	5.12 D	-5.12	
2022-03-29	2.000000	157.86	2022-03-29	165.46	1.24 D	-7.60	
Security Totals:		213.79		226.51		-12.72	
CALL NIO 04/01/22	23 NIO INC ADS CUSIP: *8SNBTN6 Symbol: NIO---220401C00023000						
2022-03-30	1.000000	42.93	2022-03-30	37.05	0.00	5.88	
CALL XBI 04/01/22	95 SPDR S&P BIOTECH ETF CUSIP: *8SNFYV2 Symbol: XBI---220401C00095000						
2022-03-29	1.000000	78.93	2022-03-29	77.05	0.00	1.88	
CALL AAPL 03/04/22	167.50 APPLE INC CUSIP: *8SNGMH5 Symbol: AAPL--220304C00167500						
2022-02-28	1.000000	106.93	2022-02-28	97.05	0.00	9.88	
2022-03-03	2.000000	219.88	2022-03-03	292.10	0.00	-72.22	
Security Totals:		326.81		389.15		-62.34	
PUT SPY 02/25/22	409 STANDARD & POORS DEPOSITORY CUSIP: *8SNLLR4 Symbol: SPY---220225P00409000						
2022-02-24	1.000000	175.93	2022-02-24	172.05	0.00	3.88	
PUT SPY 02/25/22	413 STANDARD & POORS DEPOSITORY CUSIP: *8SNLLR8 Symbol: SPY---220225P00413000						
2022-02-24	1.000000	165.93	2022-02-24	161.05	0.00	4.88	
CALL NVDA 03/04/22	232.50 NVIDIA CORPORATION CUSIP: *8SNKSL4 Symbol: NVDA--220304C00232500						
2022-03-04	1.000000	54.93	2022-03-04	72.05	0.00	-17.12	
CALL FSR 03/25/22	13 FISKER INC CL A CUSIP: *8SMDLW1 Symbol: FSR---220325C00013000						
2022-03-23	1.000000	21.93	2022-03-23	19.05	0.00	2.88	
CALL NVDA 04/01/22	290 NVIDIA CORPORATION CUSIP: *8SNCBF0 Symbol: NVDA--220401C00290000						
2022-03-28	1.000000	354.93	2022-03-28	327.05	0.00	27.88	
PUT NVDA 04/01/22	270 NVIDIA CORPORATION CUSIP: *8SNCBD3 Symbol: NVDA--220401P00270000						
2022-03-31	1.000000	103.93	2022-03-31	101.05	0.00	2.88	

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Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT MSFT 04/01/22	305	MICROSOFT CORP CUSIP: *8SNBNN1 Symbol: MSFT--220401P00305000					
2022-03-29	2.000000	300.86	2022-03-29	281.10	0.00	19.76	
PUT AAPL 03/04/22	162.50	APPLE INC CUSIP: *8SNGMH4 Symbol: AAPL--220304P00162500					
2022-03-01	1.000000	134.93	2022-03-01	137.05	0.00	-2.12	
PUT UPST 03/04/22	150	UPSTART HOLDINGS INC CUSIP: *8GNTYF7 Symbol: UPST--220304P00150000					
2022-03-03	1.000000	394.93	2022-03-03	328.05	0.00	66.88	
CALL COST 04/01/22	585	COSTCO WHOLESALE CORPORATION CUSIP: *8SMXCT6 Symbol: COST--220401C00585000					
2022-03-30	1.000000	110.93	2022-03-30	149.05	0.00	-38.12	
CALL TTD 04/01/22	75	TRADE DESK INC CLASS A CUSIP: *8SNFDK0 Symbol: TTD---220401C00075000					
2022-03-29	1.000000	82.93	2022-03-29	100.05	0.00	-17.12	
CALL TLR 04/01/22	9.50	TILRAY BRANDS INC CUSIP: *8SNDWF6 Symbol: TLR--220401C00009500					
2022-03-29	1.000000	36.93	2022-03-29	39.05	0.00	-2.12	
CALL SPY 03/23/22	450	STANDARD & POORS DEPOSITORY CUSIP: *8BSHCV6 Symbol: SPY---220323C00450000					
2022-03-22	1.000000	133.93	2022-03-22	127.05	0.00	6.88	
CALL MO 03/04/22	53	ALTRIA GROUP INC CUSIP: *8CZFPB9 Symbol: MO----220304C00053000					
2022-02-18	1.000000	37.93	2022-02-18	34.05	0.00	3.88	
CALL QQQ 03/02/22	345	INVESCO QQQ TRUST SERIES 1 CUSIP: *8PJSJW3 Symbol: QQQ---220302C00345000					
2022-03-01	1.000000	203.93	2022-03-01	217.05	13.12 D	-13.12	
2022-03-02	2.000000	384.86	2022-03-02	323.22	0.00	61.64	
Security Totals:		588.79		540.27		48.52	
CALL DKNG 03/11/22	18	DRAFTKINGS INC CL A CUSIP: *8SLKPJ0 Symbol: DKNG--220311C00018000					
2022-03-10	1.000000	25.93	2022-03-10	26.05	0.00	-0.12	
CALL LCID 03/25/22	27	LUCID GROUP INC CUSIP: *8SMFLC7 Symbol: LCID--220325C00027000					
2022-03-24	1.000000	28.93	2022-03-24	31.05	0.00	-2.12	
CALL AAPL 03/11/22	162.50	APPLE INC CUSIP: *8BTTPC3 Symbol: AAPL--220311C00162500					
2022-03-11	1.000000	8.94	2022-03-11	9.05	0.00	-0.11	

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Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

5NE90569

(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL FB 03/18/22	207.50	META PLATFORMS INC CL A	CUSIP: *8BWFWHO Symbol: FB----	220318C00207500			
2022-03-16	2.000000	94.86	2022-03-16	92.10	0.00	2.76	
CALL FB 03/18/22	202.50	META PLATFORMS INC CL A	CUSIP: *8BFWG2 Symbol: FB----	220318C00202500			
2022-03-16	1.000000	139.93	2022-03-16	102.05	0.00	37.88	
PUT RBLX 03/11/22	41	ROBLOX CORPORATION	CUSIP: *8GRDYN7 Symbol: RBLX--	220311P00041000			
2022-03-10	1.000000	91.93	2022-03-10	102.05	0.00	-10.12	
CALL NVDA 03/18/22	237.50	NVIDIA CORPORATION	CUSIP: *8KCTDQ9 Symbol: NVDA--	220318C00237500			
2022-03-15	1.000000	40.93	2022-03-15	40.05	0.00	0.88	
CALL PYPL 03/11/22	99	PAYPAL HOLDINGS INC	CUSIP: *8KDDWV9 Symbol: PYPL--	220311C00099000			
2022-03-11	1.000000	38.93	2022-03-11	39.05	0.00	-0.12	
PUT NVDA 03/04/22	222.50	NVIDIA CORPORATION	CUSIP: *8KCSZK8 Symbol: NVDA--	220304P00222500			
2022-03-04	1.000000	49.93	2022-03-04	42.05	0.00	7.88	
CALL QQQ 02/23/22	334	INVESCO QQQ TRUST SERIES 1	CUSIP: *8PJSHB2 Symbol: QQQ---	220223C00334000			
2022-02-23	1.000000	172.93	2022-02-23	154.05	0.00	18.88	
CALL DVN 03/11/22	58	DEVON ENERGY CORPORATION	CUSIP: *8SLKQX2 Symbol: DVN---	220311C00058000			
2022-03-09	2.000000	294.86	2022-03-09	252.10	0.00	42.76	
PUT AMC 04/01/22	22	AMC ENTERTAINMENT HOLDINGS INC	CUSIP: *8SMVQT3 Symbol: AMC---	220401P00022000			
2022-03-31	1.000000	50.93	2022-03-31	48.05	0.00	2.88	
CALL NIO 04/01/22	22	NIO INC ADS	CUSIP: *8SNBTN4 Symbol: NIO---	220401C00022000			
2022-03-28	2.000000	111.86	2022-03-28	95.10	0.00	16.76	
CALL AMD 03/18/22	113	ADVANCED MICRO DEVICES INC	CUSIP: *8BTXWN6 Symbol: AMD---	220318C00113000			
2022-03-18	2.000000	190.86	2022-03-18	219.22	16.12 D	-28.36	
PUT AMC 04/01/22	21	AMC ENTERTAINMENT HOLDINGS INC	CUSIP: *8SMVQT1 Symbol: AMC---	220401P00021000			
2022-03-31	1.000000	40.93	2022-03-31	31.05	0.00	9.88	
CALL BABA 03/04/22	105	ALIBABA GROUP HOLDING LIMITED	CUSIP: *8GJJLR4 Symbol: BABA--	220304C00105000			
2022-03-04	1.000000	45.93	2022-03-04	75.05	0.00	-29.12	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT RBLX 03/11/22	42	ROBLOX CORPORATION CUSIP: *8GRDYN9 Symbol: RBLX--220311P00042000					
2022-03-10	1.000000	128.93	2022-03-10	114.05	0.00	14.88	
CALL AMC 04/08/22	21	AMC ENTERTAINMENT HOLDINGS INC CUSIP: *8KXWHX7 Symbol: AMC---220408C00021000					
2022-04-07	3.000000	74.83	2022-04-07	84.15	0.00	-9.32	
CALL NIO 04/08/22	22	NIO INC ADS CUSIP: *8LGHCR6 Symbol: NIO---220408C00022000					
2022-04-07	1.000000	4.93	2022-04-07	5.05	0.00	-0.12	
PUT NVDA 03/11/22	212.50	NVIDIA CORPORATION CUSIP: *8LGHZY5 Symbol: NVDA--220311P00212500					
2022-03-08	1.000000	434.93	2022-03-08	410.05	0.00	24.88	
PUT SNAP 04/08/22	37	SNAP INC CUSIP: *8LJKWW5 Symbol: SNAP--220408P00037000					
2022-04-04	1.000000	79.93	2022-04-04	76.05	0.00	3.88	
CALL FB 03/11/22	195	META PLATFORMS INC CL A CUSIP: *8SMDJS5 Symbol: FB----220311C00195000					
2022-03-10	4.000000	561.72	2022-03-10	488.20	0.00	73.52	
CALL BABA 04/01/22	110	ALIBABA GROUP HOLDING LIMITED CUSIP: *8SMWFF4 Symbol: BABA--220401C00110000					
2022-03-21	1.000000	275.93	2022-03-21	270.05	0.00	5.88	
CALL AFRM 03/18/22	39.50	AFFIRM HOLDINGS INC CL A CUSIP: *8BTWQL0 Symbol: AFRM--220318C00039500					
2022-03-18	1.000000	38.93	2022-03-18	54.05	0.00	-15.12	
CALL AMD 04/08/22	118	ADVANCED MICRO DEVICES INC CUSIP: *8KXWLB2 Symbol: AMD---220408C00118000					
2022-04-04	1.000000	36.93	2022-04-04	35.05	0.00	1.88	
CALL BABA 04/08/22	120	ALIBABA GROUP HOLDING LIMITED CUSIP: *8KYCBW0 Symbol: BABA--220408C00120000					
2022-04-04	1.000000	244.93	2022-04-04	204.05	0.00	40.88	
CALL FB 04/08/22	250	META PLATFORMS INC CL A CUSIP: *8LFNWH2 Symbol: FB----220408C00250000					
2022-04-05	2.000000	71.88	2022-04-05	111.10	0.00	-39.22	
CALL SPY 04/08/22	453	STANDARD & POORS DEPOSITORY CUSIP: *8NPYPT7 Symbol: SPY---220408C00453000					
2022-04-08	1.000000	13.93	2022-04-08	13.05	0.00	0.88	
CALL NIO 03/25/22	21	NIO INC ADS CUSIP: *8SMFXP1 Symbol: NIO---220325C00021000					
2022-03-25	2.000000	51.86	2022-03-25	41.10	0.00	10.76	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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CALL V 03/25/22	220 VISA INC CUSIP: *8SMHTK7 Symbol: V-----220325C00220000						
2022-03-23	1.000000	94.93	2022-03-23	91.05	0.00	3.88	
CALL RMO 04/01/22	1.50 ROMEO POWER INC CL A CUSIP: *8SNCTZ6 Symbol: RMO---220401C00001500						
2022-03-24	1.000000	24.93	2022-03-23	25.05	0.00	-0.12	
CALL UEC 04/14/22	5.50 URANIUM ENERGY CORP CUSIP: *8GXTCN1 Symbol: UEC---220414C00005500						
2022-04-07	1.000000	14.93	2022-04-07	25.05	0.00	-10.12	
CALL SQ 03/18/22	123 BLOCK INC CUSIP: *8NTCWR5 Symbol: SQ----220318C00123000						
2022-03-17	1.000000	281.93	2022-03-17	315.05	0.00	-33.12	
CALL GLD 03/11/22	190 SPDR GOLD TR CUSIP: *8SLLGB7 Symbol: GLD---220311C00190000						
2022-03-10	1.000000	52.93	2022-03-10	63.05	0.00	-10.12	
CALL RIG 04/01/22	5 TRANSOCEAN LTD CUSIP: *8SNCSJ6 Symbol: RIG---220401C00005000						
2022-03-25	9.000000	168.49	2022-03-25	144.44	0.00	24.05	
PUT ERIC 03/18/22	8 ERICSSON CUSIP: *8KPFYZ8 Symbol: ERIC--220318P00008000						
2022-03-02	1.000000	46.93	2022-03-02	49.05	0.00	-2.12	
CALL AMD 04/08/22	120 ADVANCED MICRO DEVICES INC CUSIP: *8KXWNM9 Symbol: AMD---220408C00120000						
2022-04-04	2.000000	37.88	2022-04-04	60.10	0.00	-22.22	
CALL AMD 04/08/22	114 ADVANCED MICRO DEVICES INC CUSIP: *8KXWKW8 Symbol: AMD---220408C00114000						
2022-04-04	1.000000	129.93	2022-04-04	145.05	0.00	-15.12	
PUT BABA 03/25/22	108 ALIBABA GROUP HOLDING LIMITED CUSIP: *8KYBZH5 Symbol: BABA--220325P00108000						
2022-03-24	1.000000	134.93	2022-03-24	110.05	0.00	24.88	
PUT SQ 04/08/22	120 BLOCK INC CUSIP: *8LKCCC3 Symbol: SQ----220408P00120000						
2022-04-07	1.000000	79.93	2022-04-07	78.05	0.00	1.88	
PUT SPY 03/14/22	423 STANDARD & POORS DEPOSITORY CUSIP: *8NQVDT6 Symbol: SPY---220314P00423000						
2022-03-11	1.000000	304.93	2022-03-11	317.05	0.00	-12.12	
CALL QQQ 04/04/22	370 INVESCO QQQ TRUST SERIES 1 CUSIP: *8NSVBM7 Symbol: QQQ---220404C00370000						
2022-04-04	1.000000	25.93	2022-04-04	28.05	0.00	-2.12	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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PUT CHWY 04/01/22	41 CHEWY INC CLASS A CUSIP: *8SMWWG7 Symbol: CHWY--220401P00041000						
2022-03-28	1.000000	109.93	2022-03-28	127.05	0.00	-17.12	
CALL FB 04/08/22	240 META PLATFORMS INC CL A CUSIP: *8LFNSH5 Symbol: FB----220408C00240000						
2022-04-04	1.000000	81.93	2022-04-04	78.05	0.00	3.88	
CALL LGMK 04/14/22	3 LOGICMARK INC CUSIP: *8PKJWB4 Symbol: LGMK--220414C00003000						
2022-03-21	6.000000	202.62	2022-03-21	132.29	0.00	70.33	
CALL FATH 04/14/22	7.50 FATHOM DIGITAL MANUFCTRNG CORP CUSIP: *8CWPF66 Symbol: FATH--220414C00007500						
2022-04-12	5.000000	185.69	2022-04-12	135.25	0.00	50.44	
CALL BEKE 03/18/22	17.50 KE HOLDINGS INC ADS CUSIP: *8J16112 Symbol: BEKE--220318C00017500						
2022-03-16	1.000000	50.93	2022-03-16	54.05	0.00	-3.12	
CALL QQQ 04/06/22	370 INVESCO QQQ TRUST SERIES 1 CUSIP: *8NQTQ0 Symbol: QQQ---220406C00370000						
2022-04-05	1.000000	46.93	2022-04-05	56.05	0.00	-9.12	
PUT SPY 03/14/22	419 STANDARD & POORS DEPOSITORY CUSIP: *8NQVDS4 Symbol: SPY---220314P00419000						
2022-03-14	2.000000	281.88	2022-03-14	306.10	0.00	-24.22	
CALL CCJ 03/11/22	27.50 CAMECO CORP CUSIP: *8NSGJC0 Symbol: CCJ---220311C00027500						
2022-03-09	1.000000	75.93	2022-03-09	80.05	0.00	-4.12	
CALL SPY 04/22/22	435 STANDARD & POORS DEPOSITORY CUSIP: *8PFQMN4 Symbol: SPY---220422C00435000						
2022-04-22	2.000000	143.86	2022-04-22	133.10	0.00	10.76	
CALL MOS 03/11/22	61 MOSAIC CO CUSIP: *8PKJZF2 Symbol: MOS---220311C00061000						
2022-03-10	1.000000	118.93	2022-03-10	104.05	0.00	14.88	
CALL OXY 03/18/22	58 OCCIDENTAL PETROLEUM CORP CUSIP: *8PKKNQ9 Symbol: OXY---220318C00058000						
2022-03-17	1.000000	75.93	2022-03-17	108.05	0.00	-32.12	
CALL F 04/22/22	17 FORD MOTOR COMPANY CUSIP: *8NRQTT0 Symbol: F----220422C00017000						
2022-04-19	1.000000	3.93	2022-04-19	5.05	0.00	-1.12	
PUT SPY 04/22/22	431 STANDARD & POORS DEPOSITORY CUSIP: *8PFQMM6 Symbol: SPY---220422P00431000						
2022-04-22	2.000000	304.86	2022-04-22	256.10	0.00	48.76	

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Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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PUT MOS 03/11/22	61 MOSAIC CO CUSIP: *8PKJZF3 Symbol: MOS---220311P00061000						
2022-03-10	1.000000	112.93	2022-03-10	119.05	0.00	-6.12	
CALL MOS 03/11/22	63 MOSAIC CO CUSIP: *8PKJZF6 Symbol: MOS---220311C00063000						
2022-03-10	2.000000	144.86	2022-03-10	127.10	0.00	17.76	
CALL MU 04/01/22	84 MICRON TECHNOLOGY INC CUSIP: *8SNBQT8 Symbol: MU----220401C00084000						
2022-03-28	1.000000	176.93	2022-03-28	202.05	0.00	-25.12	
CALL UEC 04/14/22	6 URANIUM ENERGY CORP CUSIP: *8GXTGH1 Symbol: UEC---220414C00006000						
2022-04-08	5.000000	79.73	2022-04-08	102.25	0.00	-22.52	
CALL NINE 03/18/22	5 NINE ENERGY SERVICE INC CUSIP: *8K14323 Symbol: NINE--220318C00005000						
2022-03-07	6.000000	383.62	2022-03-07	320.52	0.22 D	63.10	
CALL BABA 03/18/22	97 ALIBABA GROUP HOLDING LIMITED CUSIP: *8PLLDX9 Symbol: BABA--220318C00097000						
2022-03-16	1.000000	274.93	2022-03-16	236.05	0.00	38.88	
CALL CLVS 04/01/22	3 CLOVIS ONCOLOGY INC CUSIP: *8SMWXS2 Symbol: CLVS--220401C00003000						
2022-03-31	1.000000	16.93	2022-03-31	19.05	0.00	-2.12	
CALL MU 04/01/22	86 MICRON TECHNOLOGY INC CUSIP: *8SNBQV2 Symbol: MU----220401C00086000						
2022-03-28	1.000000	117.93	2022-03-28	111.05	0.00	6.88	
MARYGOLD COMPANIES INC (THE) COMMON STOCK	CUSIP: 57403M104 Symbol: MGLD						
2022-03-11	13.000000	71.70	2022-03-11	62.04	0.00	9.66	
CALL TMC 03/11/22	3 TMC THE METALS COMPANY INC CUSIP: *8KGKYC9 Symbol: TMC---220311C00003000						
2022-03-08	4.000000	79.78	2022-03-08	110.20	0.00	-30.42	
CALL ISUN 03/18/22	10 ISUN INC CUSIP: *8KVPZH4 Symbol: ISUN--220318C00010000						
2022-03-08	3.000000	264.79	2022-03-08	235.15	0.00	29.64	
CALL KR 04/08/22	60 KROGER CO CUSIP: *8LGCWM2 Symbol: KR----220408C00060000						
2022-04-07	3.000000	116.79	2022-04-07	126.27	1.12 D	-9.48	
CALL BBAI 04/14/22	15 BIGBEAR AI HOLDINGS INC CUSIP: *8PJZDV5 Symbol: BBAI--220414C00015000						
2022-04-06	1.000000	104.93	2022-04-06	280.05	0.00	-175.12	

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Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

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CALL ARQQ 03/11/22	15.50	ARQIT QUANTUM INC CUSIP: *8SLJJP0 Symbol: ARQQ--220311C00015500					
2022-03-08	1.000000	54.93	2022-03-08	50.05	0.00	4.88	
CALL ASTS 03/18/22	12.50	AST SPACEMOBILE INC CUSIP: *8H48926 Symbol: ASTS--220318C00012500					
2022-03-09	3.000000	74.81	2022-03-09	85.27	5.12 D	-10.46	
CALL SDC 04/08/22	3	SMILEDIRECTCLUB INC CL A CUSIP: *8LHWGW7 Symbol: SDC---220408C00003000					
2022-03-30	1.000000	23.93	2022-03-30	17.05	0.00	6.88	
PUT CRM 04/22/22	180	SALESFORCE INC CUSIP: *8NRHGX5 Symbol: CRM---220422P00180000					
2022-04-18	2.000000	258.86	2022-04-18	251.10	0.00	7.76	
CALL SPY 04/13/22	445	STANDARD & POORS DEPOSITORY CUSIP: *8PLFGJ2 Symbol: SPY---220413C00445000					
2022-04-12	1.000000	15.93	2022-04-12	21.05	0.00	-5.12	
CALL FUBO 04/01/22	8	FUBOTV INC CUSIP: *8SMYLG2 Symbol: FUBO--220401C00008000					
2022-03-30	1.000000	29.93	2022-03-30	25.05	0.00	4.88	
CALL QQQ 04/06/22	354	INVESCO QQQ TRUST SERIES 1 CUSIP: *8SNPNQ7 Symbol: QQQ---220406C00354000					
2022-04-06	1.000000	136.93	2022-04-06	142.05	0.00	-5.12	
PUT NIO 03/25/22	18.50	NIO INC ADS CUSIP: *8SNNVW4 Symbol: NIO---220325P00018500					
2022-03-21	1.000000	85.93	2022-03-21	81.05	0.00	4.88	
CALL AMD 04/08/22	104	ADVANCED MICRO DEVICES INC CUSIP: *8KXWJR3 Symbol: AMD---220408C00104000					
2022-04-08	2.000000	60.86	2022-04-08	56.10	0.00	4.76	
CALL MSFT 04/29/22	330	MICROSOFT CORP CUSIP: *8SPVKL3 Symbol: MSFT--220429C00330000					
2022-04-26	1.000000	9.94	2022-04-26	11.05	0.00	-1.11	
PUT BA 04/29/22	155	BOEING CO CUSIP: *8SPQQG8 Symbol: BA----220429P00155000					
2022-04-29	1.000000	70.93	2022-04-29	91.05	0.00	-20.12	
CALL PFE 04/08/22	54	PFIZER INC CUSIP: *8LGQGQ5 Symbol: PFE---220408C00054000					
2022-04-07	1.000000	23.93	2022-04-07	17.05	0.00	6.88	
CALL CCL 04/22/22	21	CARNIVAL CORP CUSIP: *8NRFWL4 Symbol: CCL---220422C00021000					
2022-04-21	1.000000	7.93	2022-04-21	13.05	0.00	-5.12	

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Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

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CALL BABA 04/29/22	105 ALIBABA GROUP HOLDING LIMITED	CUSIP: *8SPQPK5 Symbol: BABA--220429C00105000					
2022-04-29	1.000000	23.93	2022-04-29	22.05	0.00	1.88	
CALL PTPI 05/20/22	2 PETROS PHARMACEUTICALS INC	CUSIP: *8LZYQR3 Symbol: PTPI--220520C00002000					
2022-04-19	1.000000	37.93	2022-04-19	40.05	0.00	-2.12	
CALL PFE 03/25/22	53.50 PFIZER INC	CUSIP: *8SNPGB4 Symbol: PFE---220325C00053500					
2022-03-25	31.000000	184.40	2022-03-25	273.53	0.00	-89.13	
PUT COST 04/29/22	530 COSTCO WHOLESALE CORPORATION	CUSIP: *8SPRJZ0 Symbol: COST--220429P00530000					
2022-04-26	1.000000	122.93	2022-04-26	122.05	0.00	0.88	
CALL QQQ 04/29/22	340 INVESCO QQQ TRUST SERIES 1	CUSIP: *8SPWJL1 Symbol: QQQ---220429C00340000					
2022-04-27	1.000000	25.93	2022-04-27	23.05	0.00	2.88	
PUT NIO 04/29/22	16 NIO INC ADS	CUSIP: *8SPVPL6 Symbol: NIO---220429P00016000					
2022-04-25	1.000000	43.93	2022-04-25	46.05	0.00	-2.12	
PUT SPY 04/29/22	415 STANDARD & POORS DEPOSITORY	CUSIP: *8SPXDM4 Symbol: SPY---220429P00415000					
2022-04-28	2.000000	119.86	2022-04-28	117.22	0.12 D	2.64	
2022-04-29	1.000000	44.93	2022-04-29	40.05	0.00	4.88	
Security Totals:		164.79		157.27		7.52	
PUT QQQ 04/29/22	320 INVESCO QQQ TRUST SERIES 1	CUSIP: *8SPWJF4 Symbol: QQQ---220429P00320000					
2022-04-29	1.000000	105.93	2022-04-29	89.05	0.00	16.88	
CALL HUSA 04/14/22	8 HOUSTON AMERICAN ENERGY CORP	CUSIP: *8SQKRW6 Symbol: HUSA--220414C00008000					
2022-03-25	2.000000	179.86	2022-03-25	165.10	0.00	14.76	
CALL TSLA 04/29/22	950 TESLA INC	CUSIP: *8SPXRF5 Symbol: TSLA--220429C00950000					
2022-04-29	1.000000	133.93	2022-04-29	111.05	0.00	22.88	
PUT ETSY 04/29/22	90 ETSY INC	CUSIP: *8SQKJB3 Symbol: ETSY--220429P00090000					
2022-04-28	1.000000	74.93	2022-04-28	73.05	0.00	1.88	
CALL FB 03/25/22	222.50 META PLATFORMS INC CL A	CUSIP: *8SNWVS3 Symbol: FB----220325C00222500					
2022-03-22	1.000000	104.93	2022-03-22	120.05	0.00	-15.12	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL TSLA 04/29/22	970 TESLA INC CUSIP: *8SPXRG3 Symbol: TSLA--220429C00970000						
2022-04-29	1.000000	14.93	2022-04-29	18.05	0.00	-3.12	
CALL MRNA 03/18/22	182.50 MODERNA INC CUSIP: *8BWXXS8 Symbol: MRNA--220318C00182500						
2022-03-18	1.000000	40.93	2022-03-18	73.05	0.00	-32.12	
CALL TKAT 04/14/22	5 TAKUNG ART LTD CUSIP: *8GWLVB6 Symbol: TKAT--220414C00005000						
2022-04-04	11.000000	439.33	2022-04-04	385.54	0.00	53.79	
PUT QQQ 04/22/22	344 INVESCO QQQ TRUST SERIES 1 CUSIP: *8PBHMP5 Symbol: QQQ---220422P00344000						
2022-04-21	1.000000	162.93	2022-04-21	173.05	0.00	-10.12	
CALL BABA 03/25/22	133 ALIBABA GROUP HOLDING LIMITED CUSIP: *8SMCCR6 Symbol: BABA--220325C00133000						
2022-03-18	1.000000	67.93	2022-03-18	72.05	0.00	-4.12	
CALL SQ 03/18/22	136 BLOCK INC CUSIP: *8SNYNF9 Symbol: SQ----220318C00136000						
2022-03-18	1.000000	59.93	2022-03-18	53.05	0.00	6.88	
PUT UBER 04/29/22	31 UBER TECHNOLOGIES INC CUSIP: *8SPXWC2 Symbol: UBER--220429P00031000						
2022-04-27	1.000000	87.93	2022-04-27	76.05	0.00	11.88	
PUT QQQ 04/20/22	340 INVESCO QQQ TRUST SERIES 1 CUSIP: *8BZRXX3 Symbol: QQQ---220420P00340000						
2022-04-19	1.000000	148.93	2022-04-19	167.05	0.00	-18.12	
PUT QQQ 04/13/22	340 INVESCO QQQ TRUST SERIES 1 CUSIP: *8PLDLR1 Symbol: QQQ---220413P00340000						
2022-04-13	2.000000	111.88	2022-04-13	144.10	0.00	-32.22	
CALL BA 04/29/22	160 BOEING CO CUSIP: *8SPQQG9 Symbol: BA----220429C00160000						
2022-04-29	1.000000	30.93	2022-04-29	24.05	0.00	6.88	
PUT NVDA 03/25/22	252.50 NVIDIA CORPORATION CUSIP: *8SPVVP7 Symbol: NVDA--220325P00252500						
2022-03-23	1.000000	179.93	2022-03-23	165.05	0.00	14.88	
CALL LGMK 05/20/22	5 LOGICMARK INC CUSIP: *8GLBQT0 Symbol: LGMK--220520C00005000						
2022-03-22	1.000000	4.93	2022-03-21	17.05	0.00	-12.12	
PUT PYPL 04/01/22	114 PAYPAL HOLDINGS INC CUSIP: *8KCTMM4 Symbol: PYPL--220401P00114000						
2022-03-31	1.000000	46.93	2022-03-31	72.05	0.00	-25.12	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL NRDY 09/16/22	5 NERDY INC CLASS A CUSIP: *8K21141 Symbol: NRDY--220916C00005000						
2022-08-23	7.000000	174.61	2022-08-23	175.34	0.00	-0.73	
CALL PG 04/22/22	165 PROCTER & GAMBLE CO CUSIP: *8PBBMX2 Symbol: PG----220422C00165000						
2022-04-14	1.000000	47.93	2022-04-14	48.05	0.00	-0.12	
CALL ABBV 04/14/22	162.50 ABBVIE INC CUSIP: *8PKVTS0 Symbol: ABBV--220414C00162500						
2022-04-14	1.000000	11.93	2022-04-14	17.05	0.00	-5.12	
PUT MU 04/08/22	71 MICRON TECHNOLOGY INC CUSIP: *8PMSZM7 Symbol: MU----220408P00071000						
2022-04-08	8.000000	466.52	2022-04-08	441.49	0.10 D	25.03	
CALL NVDA 04/08/22	262.50 NVIDIA CORPORATION CUSIP: *8PMVZW2 Symbol: NVDA--220408C00262500						
2022-04-06	5.000000	259.73	2022-04-06	547.25	0.00	-287.52	
PUT NIO 04/14/22	19.50 NIO INC ADS CUSIP: *8PMTVZ7 Symbol: NIO---220414P00019500						
2022-04-11	2.000000	136.86	2022-04-11	127.10	0.00	9.76	
CALL SPY 03/30/22	461 STANDARD & POORS DEPOSITORY CUSIP: *8PNBKM6 Symbol: SPY---220330C00461000						
2022-03-30	1.000000	41.93	2022-03-30	45.05	0.00	-3.12	
CALL CRWD 03/25/22	222.50 CROWDSTRIKE HOLDINGS INC CL A CUSIP: *8SNWDM3 Symbol: CRWD--220325C00222500						
2022-03-22	1.000000	169.93	2022-03-22	152.05	0.00	17.88	
CALL CCJ 04/01/22	31 CAMECO CORP CUSIP: *8SQKBN8 Symbol: CCJ---220401C00031000						
2022-04-01	2.000000	21.88	2022-04-01	28.10	0.00	-6.22	
CALL BTAI 04/14/22	30 BIOXCEL THERAPEUTICS INC CUSIP: *8BZRWJ9 Symbol: BTAI--220414C00030000						
2022-04-06	2.000000	134.86	2022-04-06	98.10	0.00	36.76	
CALL CISO 05/20/22	10 CERBERUS CYBER SENTINEL CORP CUSIP: *8CYWHF9 Symbol: CISO--220520C00010000						
2022-03-23	1.000000	185.93	2022-03-23	210.05	0.00	-24.12	
CALL HOOD 04/08/22	15.50 ROBINHOOD MARKET INC CUSIP: *8LFYZD7 Symbol: HOOD--220408C00015500						
2022-04-04	2.000000	25.88	2022-04-04	28.10	0.00	-2.22	
CALL GPS 04/14/22	14.50 GAP INC CUSIP: *8PLZTY4 Symbol: GPS---220414C00014500						
2022-04-13	6.000000	170.64	2022-04-13	108.29	0.00	62.35	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT GPS 04/14/22	14.50	GAP INC CUSIP: *8PLZTY5 Symbol: GPS---220414P00014500					
2022-04-13	1.000000		2022-04-13	18.05	0.00	-3.12	
CALL NIO 04/08/22	23.50	NIO INC ADS CUSIP: *8PMTVH2 Symbol: NIO---220408C00023500					
2022-03-31	1.000000		2022-03-31	33.05	0.00	-0.12	
PUT FB 03/25/22	222.50	META PLATFORMS INC CL A CUSIP: *8SNWVS4 Symbol: FB----220325P00222500					
2022-03-25	1.000000		2022-03-25	193.05	0.00	-54.12	
CALL FB 04/01/22	227.50	META PLATFORMS INC CL A CUSIP: *8SQJLQ8 Symbol: FB----220401C00227500					
2022-04-01	1.000000		2022-04-01	125.05	0.00	-30.12	
CALL WEBR 09/16/22	10	WEBER INC CUSIP: *8NQFX6 Symbol: WEBR--220916C00010000					
2022-08-29	1.000000		2022-08-29	170.05	0.00	-0.12	
PUT MARA 04/14/22	21	MARATHON DIGITAL HOLDINGS INC CUSIP: *8PMMVC4 Symbol: MARA--220414P00021000					
2022-04-14	10.000000		2022-04-14	230.49	0.00	-31.01	
CALL AMC 04/01/22	26.50	AMC ENTERTAINMENT HOLDINGS INC CUSIP: *8LHBQZ0 Symbol: AMC---220401C00026500					
2022-03-28	1.000000		2022-03-28	123.05	0.00	15.88	
PUT FB 05/06/22	200	META PLATFORMS INC CL A CUSIP: *8LHNGY8 Symbol: FB----220506P00200000					
2022-05-04	1.000000		2022-05-04	67.05	0.00	3.88	
PUT UBER 04/08/22	34	UBER TECHNOLOGIES INC CUSIP: *8LKZZZ0 Symbol: UBER--220408P00034000					
2022-04-05	5.000000		2022-04-05	110.25	0.00	-15.52	
PUT MU 05/06/22	70	MICRON TECHNOLOGY INC CUSIP: *8NDFXB9 Symbol: MU----220506P00070000					
2022-05-06	1.000000		2022-05-06	76.05	0.00	5.88	
PUT MSFT 05/06/22	270	MICROSOFT CORP CUSIP: *8NDFBZ2 Symbol: MSFT--220506P00270000					
2022-05-04	1.000000		2022-05-04	143.05	0.00	12.88	
CALL INTC 04/08/22	50.50	INTEL CORP CUSIP: *8PMCPX1 Symbol: INTC--220408C00050500					
2022-03-31	1.000000		2022-03-31	58.05	0.00	-7.12	
CALL RBLX 04/08/22	48.50	ROBLOX CORPORATION CUSIP: *8PMYGW9 Symbol: RBLX--220408C00048500					
2022-04-07	1.000000		2022-04-07	108.05	0.00	-26.12	

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Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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PUT NKLA 03/25/22	10	NIKOLA CORPORATION CUSIP: *8SMFXX0 Symbol: NKLA--220325P00010000					
2022-03-24	1.000000	49.93	2022-03-24	44.05	0.00	5.88	
PUT SPY 03/30/22	458	STANDARD & POORS DEPOSITORY CUSIP: *8KFNKT5 Symbol: SPY---220330P00458000					
2022-03-30	4.000000	265.72	2022-03-30	208.20	0.00	57.52	
CALL AMD 05/06/22	100	ADVANCED MICRO DEVICES INC CUSIP: *8LHBTH9 Symbol: AMD---220506C00100000					
2022-05-05	2.000000	38.86	2022-05-05	34.10	0.00	4.76	
CALL AAPL 05/06/22	165	APPLE INC CUSIP: *8LGVXR1 Symbol: AAPL--220506C00165000					
2022-05-03	1.000000	67.93	2022-05-03	74.05	0.00	-6.12	
CALL OXY 05/06/22	65	OCCIDENTAL PETROLEUM CORP CUSIP: *8NQD7 Symbol: OXY---220506C00065000					
2022-05-05	2.000000	90.86	2022-05-05	84.10	0.00	6.76	
PUT SPY 05/02/22	400	STANDARD & POORS DEPOSITORY CUSIP: *8PKSZB8 Symbol: SPY---220502P00400000					
2022-05-02	2.000000	28.86	2022-05-02	24.10	0.00	4.76	
PUT SPY 05/02/22	405	STANDARD & POORS DEPOSITORY CUSIP: *8PKSZC0 Symbol: SPY---220502P00405000					
2022-05-02	2.000000	89.88	2022-05-02	110.10	0.00	-20.22	
PUT XOM 04/14/22	86	EXXON MOBIL CORP CUSIP: *8PNHSM5 Symbol: XOM---220414P00086000					
2022-04-13	1.000000	44.93	2022-04-13	62.05	0.00	-17.12	
PINEAPPLE ENERGY INC COMMON STOCK CUSIP: 72303P107 Symbol: PEGY							
2022-10-07	100.000000	380.98	2022-10-07	343.00	0.00	37.98	
2022-11-15	100.000000	343.48	2022-11-15	319.00	0.00	24.48	
Security Totals:		724.46		662.00		62.46	
PUT SPY 03/30/22	459	STANDARD & POORS DEPOSITORY CUSIP: *8KFNKT8 Symbol: SPY---220330P00459000					
2022-03-30	2.000000	180.86	2022-03-30	203.22	8.12 D	-22.36	
CALL UPST 04/01/22	134	UPSTART HOLDINGS INC CUSIP: *8LGTYW3 Symbol: UPST--220401C00134000					
2022-03-30	1.000000	168.93	2022-03-30	229.05	0.00	-60.12	
CALL QS 04/01/22	20.50	QUANTUMSCAPE CORPORATION CL A CUSIP: *8SNCQD4 Symbol: QS----220401C00020500					
2022-03-29	1.000000	57.93	2022-03-29	56.05	0.00	1.88	

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Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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PUT SPY 05/04/22	410	STANDARD & POORS DEPOSITORY CUSIP: *8PPBLY8 Symbol: SPY---220504P00410000					
2022-05-03	2.000000	318.86	2022-05-03	367.22	26.12 D	-48.36	
CALL ATER 04/22/22	5	ATERIAN INC CUSIP: *8NRBCF9 Symbol: ATER--220422C00005000					
2022-04-12	3.000000	115.81	2022-04-12	114.15	0.00	1.66	
EXPION360 INC COMMON STOCK		CUSIP: 30218B100 Symbol: XPON					
2022-05-09	3.000000	13.15	2022-05-09	13.51	0.00	-0.36	
***RAIL VISION LTD ORDINARY SHARE		CUSIP: M8186D106 Symbol: RVSN					
2022-03-31	20.000000	58.38	2022-03-31	58.80	0.00	-0.42	
CALL CLVS 04/01/22	2.50	CLOVIS ONCOLOGY INC CUSIP: *8BSWHG7 Symbol: CLVS--220401C00002500					
2022-03-31	10.000000	276.41	2022-03-31	200.50	0.00	75.91	
CALL THCA 04/14/22	12.50	TUSCAN HOLDINGS CORP II CUSIP: *8GWLQL1 Symbol: THCA--220414C00012500					
2022-04-01	13.000000	744.29	2022-04-01	693.75	0.20 D	50.54	
2022-04-11	1.000000	54.93	2022-04-11	60.15	0.00	-5.22	
Security Totals:		799.22		753.90		45.32	
CALL THCA 05/20/22	12.50	TUSCAN HOLDINGS CORP II CUSIP: *8KVYGX1 Symbol: THCA--220520C00012500					
2022-04-13	1.000000	29.93	2022-04-13	33.05	0.00	-3.12	
CALL WBA 05/20/22	45	WALGREENS BOOTS ALLIANCE INC CUSIP: *8KVTZZ6 Symbol: WBA---220520C00045000					
2022-05-18	1.000000	16.93	2022-05-18	18.05	0.00	-1.12	
CALL PTON 04/14/22	26.50	PELTON INTERACTIVE INC CL A CUSIP: *8PMXST1 Symbol: PTON--220414C00026500					
2022-04-14	1.000000	21.93	2022-04-14	35.05	0.00	-13.12	
CALL FB 05/13/22	215	META PLATFORMS INC CL A CUSIP: *8SQBXF6 Symbol: FB----220513C00215000					
2022-05-10	1.000000	76.93	2022-05-10	90.05	0.00	-13.12	
CALL FB 04/08/22	247.50	META PLATFORMS INC CL A CUSIP: *8SQBXC4 Symbol: FB----220408C00247500					
2022-04-05	10.000000	332.44	2022-04-05	300.49	0.00	31.95	
CALL ESSC 05/20/22	12.50	EAST STONE ACQUISITION CORP CUSIP: *8D57122 Symbol: ESSC--220520C00012500					
2022-04-18	11.000000	388.37	2022-04-18	325.54	0.00	62.83	

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Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

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PUT SPY 04/27/22	414	STANDARD & POORS DEPOSITORY CUSIP: *8PPBLH4 Symbol: SPY---220427P00414000					
2022-04-27	1.000000	34.93	2022-04-27	42.05	0.00	-7.12	
PUT SPY 05/09/22	400	STANDARD & POORS DEPOSITORY CUSIP: *8PPJSV3 Symbol: SPY---220509P00400000					
2022-05-09	1.000000	120.93	2022-05-09	106.05	0.00	14.88	
CALL MU 05/13/22	70	MICRON TECHNOLOGY INC CUSIP: *8SQDZD1 Symbol: MU----220513C00070000					
2022-05-13	1.000000	44.93	2022-05-13	42.05	0.00	2.88	
CALL AAPL 04/22/22	172.50	APPLE INC CUSIP: *8PPRCW1 Symbol: AAPL--220422C00172500					
2022-04-21	2.000000	83.86	2022-04-21	71.10	0.00	12.76	
CALL AMD 04/22/22	97	ADVANCED MICRO DEVICES INC CUSIP: *8PPWPJ8 Symbol: AMD---220422C00097000					
2022-04-19	1.000000	142.93	2022-04-19	157.05	0.00	-14.12	
CALL ATER 04/14/22	6.50	ATERIAN INC CUSIP: *8SPCTV8 Symbol: ATER--220414C00006500					
2022-04-13	1.000000	27.93	2022-04-13	24.05	0.00	3.88	
2022-04-14	2.000000	62.86	2022-04-14	53.10	0.00	9.76	
Security Totals:		90.79		77.15		13.64	
PUT QQQ 04/06/22	363	INVESCO QQQ TRUST SERIES 1 CUSIP: *8SPGDW9 Symbol: QQQ---220406P00363000					
2022-04-05	2.000000	419.86	2022-04-05	396.10	0.00	23.76	
PUT TWTR 04/08/22	46.50	TWITTER INC CUSIP: *8SPGTG8 Symbol: TWTR--220408P00046500					
2022-04-07	1.000000	54.93	2022-04-07	59.05	0.00	-4.12	
CALL SBUX 05/06/22	81	STARBUCKS CORPORATION CUSIP: *8NSKLG3 Symbol: SBUX--220506C00081000					
2022-05-04	1.000000	122.93	2022-05-04	93.05	0.00	29.88	
PUT AMD 05/13/22	80	ADVANCED MICRO DEVICES INC CUSIP: *8PNRFF5 Symbol: AMD---220513P00080000					
2022-05-11	1.000000	13.93	2022-05-11	16.05	2.12 D	-2.12	
2022-05-12	3.000000	256.79	2022-05-12	240.27	0.00	16.52	
Security Totals:		270.72		256.32		14.40	
CALL MCD 04/22/22	257.50	MCDONALDS CORP CUSIP: *8SPBCZ0 Symbol: MCD---220422C00257500					
2022-04-13	1.000000	57.93	2022-04-12	67.05	0.00	-9.12	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

5NE90569

(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT NVDA 04/14/22	212.50	NVIDIA CORPORATION CUSIP: *8SPJHQ0 Symbol: NVDA--220414P00212500					
2022-04-13	1.000000	117.93	2022-04-13	127.05	0.00	-9.12	
CALL MKTW 05/20/22	5	MARKETWISE INC CUSIP: *8PDVRX8 Symbol: MKTW--220520C00005000					
2022-04-13	1.000000	19.93	2022-04-13	30.05	0.00	-10.12	
PUT FB 04/22/22	207.50	META PLATFORMS INC CL A CUSIP: *8SNZMR9 Symbol: FB---220422P00207500					
2022-04-19	1.000000	160.93	2022-04-19	170.05	0.00	-9.12	
PUT SPY 05/27/22	400	STANDARD & POORS DEPOSITORY CUSIP: *8SQPDF5 Symbol: SPY---220527P00400000					
2022-05-26	1.000000	175.93	2022-05-26	186.05	0.00	-10.12	
CALL MDVL 05/20/22	5	MEDAVAIL HOLDINGS INC CUSIP: *8GMMV2 Symbol: MDVL--220520C00005000					
2022-04-20	31.000000	498.39	2022-04-20	656.34	16.81 D	-157.95	
PUT QQQ 05/13/22	295	INVESCO QQQ TRUST SERIES 1 CUSIP: *8PPHWN1 Symbol: QQQ---220513P00295000					
2022-05-13	2.000000	166.86	2022-05-13	188.22	8.12 D	-21.36	
CALL NVDA 04/22/22	227.50	NVIDIA CORPORATION CUSIP: *8SQMVY4 Symbol: NVDA--220422C00227500					
2022-04-21	1.000000	88.93	2022-04-21	126.05	0.00	-37.12	
PUT TSLA 05/27/22	500	TESLA INC CUSIP: *8SRGVJ8 Symbol: TSLA--220527P00500000					
2022-05-24	1.000000	182.93	2022-05-24	193.05	0.00	-10.12	
CALL SPY 09/16/22	395	STANDARD & POORS DEPOSITORY CUSIP: *8LWPWN3 Symbol: SPY---220916C00395000					
2022-09-15	3.000000	302.83	2022-09-15	318.15	0.00	-15.32	
PUT NVDA 05/13/22	160	NVIDIA CORPORATION CUSIP: *8PPHLF9 Symbol: NVDA--220513P00160000					
2022-05-11	1.000000	121.93	2022-05-11	222.05	0.00	-100.12	
CALL MSFT 04/22/22	292.50	MICROSOFT CORP CUSIP: *8SPBGV4 Symbol: MSFT--220422C00292500					
2022-04-21	1.000000	45.93	2022-04-21	56.05	0.00	-10.12	
CALL NVDA 04/14/22	222.50	NVIDIA CORPORATION CUSIP: *8SPFYV4 Symbol: NVDA--220414C00222500					
2022-04-14	3.000000	11.83	2022-04-14	11.15	0.00	0.68	
CALL AMD 05/13/22	96	ADVANCED MICRO DEVICES INC CUSIP: *8SPHMJ1 Symbol: AMD---220513C00096000					
2022-05-11	1.000000	113.93	2022-05-11	95.05	0.00	18.88	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.**

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT QQQ 07/15/22	290	INVESTCO QQQ TRUST SERIES 1	CUSIP: *8SQNJN5 Symbol: QQQ---220715P00290000				
2022-07-15	8.000000	638.44	2022-07-15	635.12	15.72 D	3.32	
CALL MSFT 04/29/22	307.50	MICROSOFT CORP	CUSIP: *8SRRFY4 Symbol: MSFT--220429C00307500				
2022-04-27	1.000000	12.93	2022-04-27	15.05	0.00	-2.12	
CALL EVTL 07/15/22	5	VERTICAL AEROSPACE LTD	CUSIP: *8GJZVF5 Symbol: EVTL--220715C00005000				
2022-07-15	1.000000	9.93	2022-07-15	15.05	0.00	-5.12	
CALL AMD 05/13/22	98	ADVANCED MICRO DEVICES INC	CUSIP: *8SPHMJ5 Symbol: AMD---220513C00098000				
2022-05-11	2.000000	108.86	2022-05-11	109.22	0.12 D	-0.36	
PUT FB 05/27/22	175	META PLATFORMS INC CL A	CUSIP: *8SPLXX7 Symbol: FB----220527P00175000				
2022-05-23	1.000000	39.93	2022-05-23	39.05	0.00	0.88	
PUT QQQ 05/18/22	300	INVESTCO QQQ TRUST SERIES 1	CUSIP: *8BSRJW7 Symbol: QQQ---220518P00300000				
2022-05-17	2.000000	276.86	2022-05-17	291.10	0.00	-14.24	
PUT DKNQ 04/29/22	13.50	DRAFTKINGS INC CL A	CUSIP: *8SRQLW2 Symbol: DKNQ--220429P00013500				
2022-04-27	1.000000	42.93	2022-04-27	41.05	0.00	1.88	
PUT FB 05/06/22	207.50	META PLATFORMS INC CL A	CUSIP: *8CYNS1 Symbol: FB----220506P00207500				
2022-05-05	1.000000	111.93	2022-05-05	107.05	0.00	4.88	
CALL VERU 05/20/22	11	VERU INC	CUSIP: *8LMNZS5 Symbol: VERU--220520C00011000				
2022-05-13	1.000000	153.93	2022-05-13	95.05	0.00	58.88	
CALL RIVN 04/22/22	38.50	RIVIAN AUTOMOTIVE INC CLASS A	CUSIP: *8SRRPF3 Symbol: RIVN--220422C00038500				
2022-04-19	1.000000	138.93	2022-04-19	104.05	0.00	34.88	
CALL FB 05/20/22	202.50	META PLATFORMS INC CL A	CUSIP: *8CYXV2 Symbol: FB----220520C00202500				
2022-05-18	1.000000	232.93	2022-05-18	252.05	0.00	-19.12	
CALL SPY 04/25/22	424	STANDARD & POORS DEPOSITORY	CUSIP: *8NSMJW0 Symbol: SPY---220425C00424000				
2022-04-25	1.000000	150.93	2022-04-25	140.05	0.00	10.88	
PUT SPY 05/23/22	390	STANDARD & POORS DEPOSITORY	CUSIP: *8NTXMF2 Symbol: SPY---220523P00390000				
2022-05-23	3.000000	65.79	2022-05-23	54.15	0.00	11.64	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL PG 04/29/22	167.50	PROCTER & GAMBLE CO CUSIP: *8SRRL9 Symbol: PG----		220429C00167500			
2022-04-26	4.000000	51.79	2022-04-26	94.20	0.00	-42.41	
PUT NFLX 12/16/22	295	NETFLIX INC CUSIP: *8KKMJD5 Symbol: NFLX--		221216P00295000			
2022-12-15	1.000000	363.94	2022-12-15	275.04	0.00	88.90	
PUT SPY 05/25/22	390	STANDARD & POORS DEPOSITORY CUSIP: *8PJSVF1 Symbol: SPY---		220525P00390000			
2022-05-25	3.000000	132.80	2022-05-25	140.26	12.11 D	-7.46	
TENON MEDICAL INC COMMON STOCK		CUSIP: 88066N105 Symbol: TNON					
2022-07-26	50.000000	113.48	2022-07-26	121.91	0.00	-8.43	
PUT KR 05/20/22	53	KROGER CO CUSIP: *8F49623 Symbol: KR----		220520P00053000			
2022-05-16	1.000000	110.93	2022-05-16	107.05	0.00	3.88	
PUT SPY 06/03/22	410	STANDARD & POORS DEPOSITORY CUSIP: *8PQNF6 Symbol: SPY---		220603P00410000			
2022-06-03	1.000000	73.93	2022-06-03	83.05	0.00	-9.12	
CALL RIVN 05/27/22	35	RIVIAN AUTOMOTIVE INC CLASS A CUSIP: *8SQNNS8 Symbol: RIVN--		220527C00035000			
2022-05-23	1.000000	11.93	2022-05-23	8.05	0.00	3.88	
PUT LCID 04/29/22	17.50	LUCID GROUP INC CUSIP: *8SRQZL2 Symbol: LCID--		220429P00017500			
2022-04-28	1.000000	31.94	2022-04-28	33.05	0.00	-1.11	
INNOVATIVE EYEWEAR INC COMMON STOCK		CUSIP: 45791D109 Symbol: LUCY					
2022-10-07	200.000000	648.70	2022-10-07	647.00	0.00	1.70	
TOUGHBUILT INDUSTRIES INC COMMON STOCK		CUSIP: 89157G884 Symbol: TBLT					
2022-11-14	250.000000	714.44	2022-11-14	687.98	0.00	26.46	
CALL SPY 04/25/22	423	STANDARD & POORS DEPOSITORY CUSIP: *8BZZRT6 Symbol: SPY---		220425C00423000			
2022-04-25	2.000000	255.86	2022-04-25	315.22	17.12 D	-59.36	
CALL SPY 05/06/22	425	STANDARD & POORS DEPOSITORY CUSIP: *8NSMKV1 Symbol: SPY---		220506C00425000			
2022-05-05	2.000000	217.86	2022-05-05	242.22	9.12 D	-24.36	
CALL QQQ 04/27/22	327	INVESCO QQQ TRUST SERIES 1 CUSIP: *8NTNFW2 Symbol: QQQ---		220427C00327000			
2022-04-26	1.000000	172.93	2022-04-26	217.05	0.00	-44.12	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT SPY 05/23/22	385 STANDARD & POORS DEPOSITORY CUSIP: *8NTXMB8 Symbol: SPY---220523P00385000						
2022-05-23	6.000000	260.62	2022-05-23	234.30	0.00	26.32	
PUT KR 05/20/22	52 KROGER CO CUSIP: *8F49599 Symbol: KR----220520P00052000						
2022-05-16	2.000000	135.88	2022-05-16	148.10	0.00	-12.22	
PUT BA 04/29/22	149 BOEING CO CUSIP: *8GGDNW4 Symbol: BA----220429P00149000						
2022-04-28	1.000000	120.93	2022-04-28	156.05	0.00	-35.12	
CALL SPY 05/02/22	415 STANDARD & POORS DEPOSITORY CUSIP: *8PKSZC3 Symbol: SPY---220502C00415000						
2022-05-02	5.000000	328.67	2022-05-02	321.47	10.22 D	7.20	
CALL SPY 04/27/22	419 STANDARD & POORS DEPOSITORY CUSIP: *8PNPHW2 Symbol: SPY---220427C00419000						
2022-04-27	1.000000	220.93	2022-04-27	176.05	0.00	44.88	
PUT AMD 05/27/22	88 ADVANCED MICRO DEVICES INC CUSIP: *8PRTZP1 Symbol: AMD---220527P00088000						
2022-05-24	1.000000	172.93	2022-05-24	187.05	0.00	-14.12	
PUT RIVN 05/13/22	20 RIVIAN AUTOMOTIVE INC CLASS A CUSIP: *8SQTCM1 Symbol: RIVN--220513P00020000						
2022-05-09	1.000000	67.93	2022-05-09	59.05	0.00	8.88	
PUT SPY 05/09/22	397 STANDARD & POORS DEPOSITORY CUSIP: *8PMMLQ1 Symbol: SPY---220509P00397000						
2022-05-09	1.000000	54.93	2022-05-09	51.05	0.00	3.88	
CALL SPY 05/31/22	415 STANDARD & POORS DEPOSITORY CUSIP: *8PNPFY0 Symbol: SPY---220531C00415000						
2022-05-31	3.000000	282.79	2022-05-31	267.15	0.00	15.64	
CALL AMD 05/13/22	87.50 ADVANCED MICRO DEVICES INC CUSIP: *8PRTZM4 Symbol: AMD---220513C00087500						
2022-05-12	1.000000	104.93	2022-05-12	100.05	0.00	4.88	
PUT FB 05/13/22	177.50 META PLATFORMS INC CL A CUSIP: *8SQRPD3 Symbol: FB----220513P00177500						
2022-05-10	1.000000	49.93	2022-05-10	51.05	0.00	-1.12	
PUT SPY 05/18/22	399 STANDARD & POORS DEPOSITORY CUSIP: *8GCHQH9 Symbol: SPY---220518P00399000						
2022-05-18	1.000000	154.93	2022-05-18	136.05	0.00	18.88	
PUT RIVN 05/13/22	19 RIVIAN AUTOMOTIVE INC CLASS A CUSIP: *8PMLKT3 Symbol: RIVN--220513P00019000						
2022-05-12	1.000000	16.93	2022-05-12	22.05	0.00	-5.12	

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Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

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1a - Description of property | CUSIP | Symbol

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SOBR SAFE INC COMMON STOCK CUSIP: 833592207 Symbol: SOBR							
2022-09-30	200.000000	585.95	2022-09-30	590.00	4.05 D	-4.05	
2022-10-06	100.000000	283.98	2022-10-06	298.02	14.04 D	-14.04	
2022-10-24	100.000000	402.98	2022-10-24	419.03	0.00	-16.05	
2022-10-25	100.000000	403.98	2022-10-25	415.04	0.00	-11.06	
Security Totals:		1,676.89		1,722.09		-45.20	
PUT FB 05/13/22 187.50 META PLATFORMS INC CL A CUSIP: *8SQRPD6 Symbol: FB----220513P00187500							
2022-05-10	1.000000	159.93	2022-05-10	167.05	0.00	-7.12	
PUT DIA 12/16/22 330 SPDR DOW JONES INDL AVERAGE CUSIP: *8GWMTD5 Symbol: DIA---221216P00330000							
2022-11-25	1.000000	204.94	2022-11-25	207.04	0.00	-2.10	
PUT CHWY 05/27/22 23 CHEWY INC CLASS A CUSIP: *8PMDDW9 Symbol: CHWY--220527P00023000							
2022-05-24	1.000000	78.93	2022-05-24	85.05	0.00	-6.12	
CALL SPY 05/09/22 407 STANDARD & POORS DEPOSITORY CUSIP: *8PMMLR5 Symbol: SPY---220509C00407000							
2022-05-09	1.000000	58.93	2022-05-09	75.05	0.00	-16.12	
PUT SPY 05/25/22 396 STANDARD & POORS DEPOSITORY CUSIP: *8SQZLF8 Symbol: SPY---220525P00396000							
2022-05-25	1.000000	88.93	2022-05-25	99.05	0.00	-10.12	
PUT SPY 06/06/22 412 STANDARD & POORS DEPOSITORY CUSIP: *8SSDXJ7 Symbol: SPY---220606P00412000							
2022-06-06	1.000000	60.93	2022-06-06	83.05	0.00	-22.12	
CALL AMZN 05/27/22 2360 AMAZON.COM INC CUSIP: *8SRDKW0 Symbol: AMZN--220527C02360000							
2022-05-27	3.000000	254.81	2022-05-27	458.15	0.00	-203.34	
CALL CHWY 05/13/22 28 CHEWY INC CLASS A CUSIP: *8PMDDN0 Symbol: CHWY--220513C00028000							
2022-05-13	1.000000	74.93	2022-05-13	77.05	0.00	-2.12	
PUT AMD 06/24/22 82 ADVANCED MICRO DEVICES INC CUSIP: *8SRHLL6 Symbol: AMD---220624P00082000							
2022-06-23	1.000000	84.93	2022-06-23	85.05	0.00	-0.12	
PUT SOFI 05/13/22 4 SOFI TECHNOLOGIES INC CUSIP: *8SRGPW2 Symbol: SOFI--220513P00004000							
2022-05-11	4.000000	19.78	Various	20.20	0.00	-0.42	

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Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL SNAP 05/20/22	24.50	SNAP INC CUSIP: *8PMSQW3 Symbol: SNAP--220520C00024500					
2022-05-17	1.000000		42.93	2022-05-17	40.05	0.00	2.88
CALL CLX 05/13/22	170	CLOROX CO CUSIP: *8SPZVQ0 Symbol: CLX---220513C00170000					
2022-05-09	1.000000		19.93	2022-05-09	21.05	0.00	-1.12
CALL M 05/13/22	22.50	MACYS INC CUSIP: *8SQSQF2 Symbol: M----220513C00022500					
2022-05-12	1.000000		18.93	2022-05-12	17.05	0.00	1.88
CALL QQQ 05/18/22	309	INVESCO QQQ TRUST SERIES 1 CUSIP: *8GBYMC9 Symbol: QQQ---220518C00309000					
2022-05-17	1.000000		90.93	2022-05-17	113.05	0.00	-22.12
CALL SPY 05/25/22	398	STANDARD & POORS DEPOSITORY CUSIP: *8SQTNL4 Symbol: SPY---220525C00398000					
2022-05-25	1.000000		95.93	2022-05-25	94.05	0.00	1.88
PUT ROKU 05/27/22	82	ROKU INC CUSIP: *8SQVZZ1 Symbol: ROKU--220527P00082000					
2022-05-26	1.000000		39.93	2022-05-26	46.05	0.00	-6.12
CALL EVEX 06/17/22	12.50	EVE HOLDING INC CUSIP: *8SRVYS7 Symbol: EVEX--220617C00012500					
2022-05-20	3.000000		314.79	2022-05-20	224.15	0.00	90.64
CALL EVEX 05/20/22	12.50	EVE HOLDING INC CUSIP: *8SRVYR1 Symbol: EVEX--220520C00012500					
2022-05-20	1.000000		9.93	2022-05-20	5.05	0.00	4.88
CALL EVEX 05/20/22	10	EVE HOLDING INC CUSIP: *8SRVYQ9 Symbol: EVEX--220520C00010000					
2022-05-20	1.000000		34.93	2022-05-20	25.05	0.00	9.88
CALL ROKU 05/27/22	90	ROKU INC CUSIP: *8SQNQQ2 Symbol: ROKU--220527C00090000					
2022-05-26	3.000000		299.80	2022-05-26	270.15	0.00	29.65
PUT SPY 06/15/22	370	STANDARD & POORS DEPOSITORY CUSIP: *8SRWXJ0 Symbol: SPY---220615P00370000					
2022-06-15	1.000000		118.93	2022-06-15	99.05	0.00	19.88
CALL QQQ 07/15/22	292	INVESCO QQQ TRUST SERIES 1 CUSIP: *8SSDNP6 Symbol: QQQ---220715C00292000					
2022-07-15	5.000000		227.68	2022-07-15	224.25	0.00	3.43
PUT TSLA 06/03/22	690	TESLA INC CUSIP: *8SSZLQ7 Symbol: TSLA--220603P00690000					
2022-06-03	1.000000		117.93	2022-06-03	170.05	0.00	-52.12

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL AAPL 05/20/22	143	APPLE INC CUSIP: *8STFHB2 Symbol: AAPL--220520C00143000					
2022-05-19	1.000000	112.93	2022-05-19	130.05	0.00	-17.12	
PUT TSLA 05/20/22	665	TESLA INC CUSIP: *8STLJR3 Symbol: TSLA--220520P00665000					
2022-05-20	1.000000	191.93	2022-05-20	161.05	0.00	30.88	
CALL OXY 05/20/22	69	OCCIDENTAL PETROLEUM CORP CUSIP: *8STJXR6 Symbol: OXY---220520C00069000					
2022-05-16	2.000000	190.86	2022-05-16	184.22	1.12 D	6.64	
CALL TSLA 08/19/22	940	TESLA INC CUSIP: *8STLMH0 Symbol: TSLA--220819C00940000					
2022-08-18	1.000000	414.93	2022-08-18	445.05	0.00	-30.12	
***CHINA SXT PHARMACEUTICALS INC ORDINARY SHARES CUSIP: G2161P132 Symbol: SXTC							
2022-08-26	100.000000	189.99	2022-08-26	188.99	0.00	1.00	
CALL SIGA 05/20/22	9.55	SIGA TECHNOLOGIES INC ADJ CASH DISTRIBUTION CUSIP: *8SVHJG0 Symbol: SIGA--220520C00009550					
2022-05-19	4.000000	109.72	2022-05-19	105.44	0.24 D	4.28	
PUT SPY 12/16/22	386	STANDARD & POORS DEPOSITORY CUSIP: *8SVHMZ3 Symbol: SPY---221216P00386000					
2022-12-16	1.000000	223.94	2022-12-16	191.04	0.00	32.90	
PUT SPY 06/27/22	385	STANDARD & POORS DEPOSITORY CUSIP: *8KLMWZ5 Symbol: SPY---220627P00385000					
2022-06-27	1.000000	47.93	2022-06-27	58.05	0.00	-10.12	
CALL SPY 05/25/22	399	STANDARD & POORS DEPOSITORY CUSIP: *8KLMPL3 Symbol: SPY---220525C00399000					
2022-05-25	3.000000	118.79	2022-05-25	179.51	23.36 D	-60.72	
CALL SPY 05/23/22	397	STANDARD & POORS DEPOSITORY CUSIP: *8KLMHV6 Symbol: SPY---220523C00397000					
2022-05-23	3.000000	98.83	2022-05-23	114.15	0.00	-15.32	
CALL EVEX 07/15/22	7.50	EVE HOLDING INC CUSIP: *8SRVYT9 Symbol: EVEX--220715C00007500					
2022-06-28	1.000000	14.93	2022-06-28	20.05	0.00	-5.12	
CALL SPY 12/16/22	387	STANDARD & POORS DEPOSITORY CUSIP: *8SVHMZ4 Symbol: SPY---221216C00387000					
2022-12-16	2.000000	262.88	2022-12-16	304.18	19.10 D	-41.30	
PUT SPY 12/16/22	396	STANDARD & POORS DEPOSITORY CUSIP: *8SVHNB9 Symbol: SPY---221216P00396000					
2022-12-14	3.000000	703.82	2022-12-14	816.22	38.10 D	-112.40	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
***BLUE HAT INTERACTIVE ENTERTAINMENT TECHNOLOGY ORDINARY SHARES CUSIP: G1329V205 Symbol: BHAT							
2022-08-01	230.000000	577.25	2022-08-01	603.08	0.00	-25.83	
PUT SPY 07/08/22	380 STANDARD & POORS DEPOSITORY						CUSIP: *8NGDBD6 Symbol: SPY---220708P00380000
2022-07-07	1.000000	77.93	2022-07-07	76.05	0.00	1.88	
PUT SPY 07/22/22	395 STANDARD & POORS DEPOSITORY						CUSIP: *8PNSDK1 Symbol: SPY---220722P00395000
2022-07-22	5.000000	290.67	2022-07-22	246.25	0.00	44.42	
CALL TSLA 07/22/22	810 TESLA INC						CUSIP: *8PPLZW9 Symbol: TSLA--220722C00810000
2022-07-20	1.000000	349.93	2022-07-20	340.05	0.00	9.88	
CALL TSLA 07/22/22	800 TESLA INC						CUSIP: *8PPLZW5 Symbol: TSLA--220722C00800000
2022-07-21	1.000000	369.93	2022-07-21	484.05	0.00	-114.12	
CALL AMD 10/21/22	60 ADVANCED MICRO DEVICES INC						CUSIP: *8BXJVD7 Symbol: AMD---221021C00060000
2022-10-17	3.000000	266.79	2022-10-17	246.15	0.00	20.64	
CALL TRLY 07/22/22	4 TILRAY BRANDS INC						CUSIP: *8PPHNV6 Symbol: TRLY--220722C00004000
2022-07-14	16.000000	324.10	2022-07-14	342.90	28.10 D	-18.80	
CALL AMZN 06/10/22	132 AMAZON.COM INC ADJ 20:1 STOCK SPLIT						CUSIP: *8STYXT7 Symbol: AMZN--220610C00132000
2022-06-06	1.000000	149.93	2022-06-06	120.05	0.00	29.88	
CALL DNA 08/19/22	5 GINKGO BIOWORKS HOLDINGS INC						CUSIP: *8LHLLV8 Symbol: DNA---220819C00005000
2022-08-11	1.000000	56.93	2022-08-11	75.05	0.00	-18.12	
PUT SPY 07/13/22	375 STANDARD & POORS DEPOSITORY						CUSIP: *8PQVWQ0 Symbol: SPY---220713P00375000
2022-07-12	1.000000	115.93	2022-07-12	115.05	0.00	0.88	
PUT SPY 10/21/22	366 STANDARD & POORS DEPOSITORY						CUSIP: *8SSNQG6 Symbol: SPY---221021P00366000
2022-10-21	5.000000	686.65	2022-10-21	931.45	174.20 D	-244.80	
CALL AMZN 07/22/22	123.25 AMAZON.COM INC ADJ 20:1 STOCK SPLIT						CUSIP: *8STZMD1 Symbol: AMZN--220722C00123250
2022-07-22	1.000000	34.93	2022-07-22	33.05	0.00	1.88	
CALL SPY 12/16/22	383 STANDARD & POORS DEPOSITORY						CUSIP: *8KLMXW2 Symbol: SPY---221216C00383000
2022-12-16	3.000000	412.83	2022-12-16	478.22	8.10 D	-65.39	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT QQQ 07/22/22	301	INVESTCO QQQ TRUST SERIES 1	CUSIP: *8PLVDR1 Symbol: QQQ---220722P00301000				
2022-07-21	1.000000	240.93	2022-07-21	218.05	0.00	22.88	
CALL SPY 12/16/22	402	STANDARD & POORS DEPOSITORY	CUSIP: *8SVHNC8 Symbol: SPY---221216C00402000				
2022-12-14	2.000000	542.88	2022-12-14	508.18	12.10 D	34.70	
CALL CRDF 07/15/22	2.50	CARDIFF ONCOLOGY INC	CUSIP: *8C64314 Symbol: CRDF--220715C00002500				
2022-06-24	1.000000	24.93	2022-06-24	30.05	0.00	-5.12	
PUT META 09/16/22	145	META PLATFORMS INC CL A	CUSIP: *8PSMVG9 Symbol: META--220916P00145000				
2022-09-14	1.000000	116.93	2022-09-14	122.05	0.00	-5.12	
CALL META 06/24/22	170	META PLATFORMS INC CL A	CUSIP: *8PSMLT6 Symbol: META--220624C00170000				
2022-06-24	1.000000	41.93	2022-06-24	40.05	0.00	1.88	
PUT META 06/24/22	160	META PLATFORMS INC CL A	CUSIP: *8PSMLS1 Symbol: META--220624P00160000				
2022-06-24	1.000000	15.93	2022-06-24	14.05	0.00	1.88	
PUT SPY 07/29/22	395	STANDARD & POORS DEPOSITORY	CUSIP: *8PSSXY0 Symbol: SPY---220729P00395000				
2022-07-28	7.000000	565.57	2022-07-28	541.35	0.00	24.22	
PUT SPY 07/29/22	407.50	STANDARD & POORS DEPOSITORY	CUSIP: *8PSSZJ5 Symbol: SPY---220729P00407500				
2022-07-29	4.000000	268.74	2022-07-29	252.20	0.00	16.54	
CALL AMZN 07/08/22	117.50	AMAZON.COM INC ADJ 20:1 STOCK SPLIT	CUSIP: *8STZHR4 Symbol: AMZN--220708C00117500				
2022-07-07	2.000000	67.86	2022-07-07	62.10	0.00	5.76	
***NEPTUNE WELLNESS SOLUTIONS INC COM NEW		CUSIP: 64079L204 Symbol: NEPT					
2022-08-22	100.000000	205.98	2022-08-22	205.77	0.00	0.21	
CALL SPY 07/22/22	400	STANDARD & POORS DEPOSITORY	CUSIP: *8PNSDV9 Symbol: SPY---220722C00400000				
2022-07-22	7.000000	499.57	2022-07-22	537.47	7.12 D	-37.90	
PUT SPY 07/11/22	384	STANDARD & POORS DEPOSITORY	CUSIP: *8PRDGV5 Symbol: SPY---220711P00384000				
2022-07-11	2.000000	55.86	2022-07-11	58.10	0.00	-2.24	
CALL MSFT 07/29/22	280	MICROSOFT CORP	CUSIP: *8PSNTQ2 Symbol: MSFT--220729C00280000				
2022-07-28	10.000000	332.40	2022-07-28	320.50	0.00	11.90	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT MSFT 07/29/22	260	MICROSOFT CORP CUSIP: *8PSNTP1 Symbol: MSFT--220729P00260000					
2022-07-27	1.000000	243.93	2022-07-27	225.05	0.00	18.88	
CALL MSFT 07/29/22	270	MICROSOFT CORP CUSIP: *8PSNTP6 Symbol: MSFT--220729C00270000					
2022-07-27	1.000000	143.93	2022-07-27	171.05	0.00	-27.12	
CALL SPY 06/15/22	382	STANDARD & POORS DEPOSITORY CUSIP: *8SRWXJ7 Symbol: SPY---220615C00382000					
2022-06-15	1.000000	49.93	2022-06-15	47.05	0.00	2.88	
CALL SPY 08/19/22	423	STANDARD & POORS DEPOSITORY CUSIP: *8SSNPX1 Symbol: SPY---220819C00423000					
2022-08-19	9.000000	814.41	2022-08-19	998.61	132.16 D	-184.20	
PUT SPY 07/13/22	378	STANDARD & POORS DEPOSITORY CUSIP: *8STSLP3 Symbol: SPY---220713P00378000					
2022-07-12	1.000000	192.93	2022-07-12	190.05	0.00	2.88	
CALL AMZN 07/08/22	116	AMAZON.COM INC ADJ 20:1 STOCK SPLIT CUSIP: *8STZHR0 Symbol: AMZN--220708C00116000					
2022-07-08	2.000000	66.86	2022-07-08	90.22	13.12 D	-23.36	
***TDH HOLDINGS INC COMMON SHARES CUSIP: G87084110 Symbol: PETZ							
2022-08-19	100.000000	349.98	2022-08-19	352.85	2.87 D	-2.87	
2022-08-30	100.000000	406.68	2022-08-30	393.87	0.00	12.81	
Security Totals:		756.66		746.72		9.94	
CALL SPY 06/29/22	384	STANDARD & POORS DEPOSITORY CUSIP: *8PRXPW4 Symbol: SPY---220629C00384000					
2022-06-29	2.000000	87.86	2022-06-29	93.10	0.00	-5.24	
CALL SPY 07/29/22	403	STANDARD & POORS DEPOSITORY CUSIP: *8PSSYR1 Symbol: SPY---220729C00403000					
2022-07-28	2.000000	245.86	2022-07-28	261.22	9.12 D	-15.36	
PUT SPY 07/13/22	372	STANDARD & POORS DEPOSITORY CUSIP: *8STSLN7 Symbol: SPY---220713P00372000					
2022-07-13	1.000000	81.93	2022-07-13	113.05	0.00	-31.12	
CALL SPY 10/21/22	370	STANDARD & POORS DEPOSITORY CUSIP: *8PRYMC4 Symbol: SPY---221021C00370000					
2022-10-21	4.000000	377.76	2022-10-21	396.31	0.11 D	-18.55	
CALL AMD 06/24/22	84	ADVANCED MICRO DEVICES INC CUSIP: *8SRHLL9 Symbol: AMD---220624C00084000					
2022-06-23	1.000000	94.93	2022-06-23	99.05	0.00	-4.12	

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Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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1a - Description of property CUSIP Symbol							
PUT NFLX 07/22/22	130 NETFLIX INC CUSIP: *8SSMSC4 Symbol: NFLX--220722P00130000						
2022-07-19	1.000000	71.93	2022-07-19	69.05	0.00	2.88	
2022-07-22	1.000000	0.00	2022-07-19	71.05	0.00	-71.05	
Security Totals:		71.93		140.10		-68.17	
1a - Description of property CUSIP Symbol							
PUT SPY 07/13/22	374 STANDARD & POORS DEPOSITORY CUSIP: *8STSLN9 Symbol: SPY---220713P00374000						
2022-07-13	2.000000	182.86	2022-07-13	183.22	2.12 D	-0.36	
HEART TEST LABORATORIES INC COMMON STOCK CUSIP: 42254E104 Symbol: HSCS							
2022-08-04	50.000000	149.99	2022-08-04	165.00	15.01 D	-15.01	
2022-08-19	450.000000	1,134.47	2022-08-19	1,090.86	11.02 D	43.61	
Security Totals:		1,284.46		1,255.86		28.60	
1a - Description of property CUSIP Symbol							
CALL FSLR 08/19/22	80 FIRST SOLAR INC CUSIP: *8PMQWB4 Symbol: FSLR--220819C00080000						
2022-07-20	1.000000	178.93	2022-07-20	171.05	0.00	7.88	
GROVE COLLABORATIVE HOLDINGS INC CLASS A COMMON STOCK CUSIP: 39957D102 Symbol: GROV							
2022-09-27	100.000000	380.99	2022-09-27	399.00	0.00	-18.01	
1a - Description of property CUSIP Symbol							
CALL SPY 07/20/22	394 STANDARD & POORS DEPOSITORY CUSIP: *8BZWQK8 Symbol: SPY---220720C00394000						
2022-07-20	4.000000	330.72	2022-07-20	307.32	1.12 D	23.40	
1a - Description of property CUSIP Symbol							
CALL META 12/16/22	120 META PLATFORMS INC CL A CUSIP: *8PSNBL1 Symbol: META--221216C00120000						
2022-12-16	1.000000	169.94	2022-12-16	165.04	0.00	4.90	
1a - Description of property CUSIP Symbol							
CALL SPY 10/21/22	367 STANDARD & POORS DEPOSITORY CUSIP: *8SSNQG7 Symbol: SPY---221021C00367000						
2022-10-20	1.000000	215.93	2022-10-20	192.05	0.00	23.88	
2022-10-21	2.000000	371.86	2022-10-21	362.10	0.00	9.76	
Security Totals:		587.79		554.15		33.64	
1a - Description of property CUSIP Symbol							
MINERVA NEUROSCIENCES INC COMMON STOCK CUSIP: 603380205 Symbol: NERV							
2022-08-24	150.000000	985.94	2022-08-24	985.02	2.02 D	0.92	
1a - Description of property CUSIP Symbol							
CALL NVDA 11/18/22	160 NVIDIA CORPORATION CUSIP: *8PKRHK5 Symbol: NVDA--221118C00160000						
2022-11-17	1.000000	314.94	2022-11-17	281.04	0.00	33.90	

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Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

5NE90569

(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

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CALL BABA 07/29/22	105 ALIBABA GROUP HOLDING LIMITED CUSIP: *8PRHHP8 Symbol: BABA--220729C00105000						
2022-07-29	1.000000	0.00	2022-07-28	29.05	0.00	-29.05	
PUT ROKU 07/29/22	60 ROKU INC CUSIP: *8STSFY9 Symbol: ROKU--220729P00060000						
2022-07-29	2.000000	129.86	2022-07-29	107.10	0.00	22.76	
PUT SPY 06/29/22	379 STANDARD & POORS DEPOSITORY CUSIP: *8GDPHG9 Symbol: SPY---220629P00379000						
2022-06-29	1.000000	57.93	2022-06-29	77.05	0.00	-19.12	
CALL EXPR 08/19/22	2.50 EXPRESS INC CUSIP: *8GQBWP2 Symbol: EXPR--220819C00002500						
2022-08-15	12.000000	177.32	2022-08-15	168.59	0.00	8.73	
PUT META 07/29/22	155 META PLATFORMS INC CL A CUSIP: *8KDVPF4 Symbol: META--220729P00155000						
2022-07-29	1.000000	73.93	2022-07-29	67.05	0.00	6.88	
CALL AAPL 07/08/22	144 APPLE INC CUSIP: *8PSKMQ3 Symbol: AAPL--220708C00144000						
2022-07-06	1.000000	108.93	2022-07-06	103.05	0.00	5.88	
CALL PLUG 07/29/22	22 PLUG POWER INC CUSIP: *8PSPYB2 Symbol: PLUG--220729C00022000						
2022-07-28	1.000000	46.93	2022-07-28	45.05	0.00	1.88	
CALL META 07/08/22	172.50 META PLATFORMS INC CL A CUSIP: *8PTDCQ9 Symbol: META--220708C00172500						
2022-07-08	1.000000	31.93	2022-07-08	30.05	0.00	1.88	
PUT QQQ 07/27/22	295 INVESCO QQQ TRUST SERIES 1 CUSIP: *8PTGFN9 Symbol: QQQ---220727P00295000						
2022-07-27	1.000000	46.93	2022-07-27	50.05	0.00	-3.12	
BIOAFFINITY TECHNOLOGIES INC COMMON STOCK CUSIP: 09076W109 Symbol: BIAF							
2022-09-01	1.000000	8.83	2022-09-01	10.13	1.30 D	-1.30	
2022-09-14	100.000000	575.01	2022-09-14	624.30	0.00	-49.29	
Security Totals:		583.84		634.43		-50.59	
CALL VRM 08/19/22	2.50 VROOM INC CUSIP: *8CWFKF8 Symbol: VRM---220819C00002500						
2022-08-05	1.000000	24.93	2022-08-05	25.05	0.00	-0.12	
CALL REV 07/01/22	8 REVLON INC CUSIP: *8LQJW8 Symbol: REV---220701C00008000						
2022-06-24	1.000000	99.93	2022-06-24	95.05	0.00	4.88	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS

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1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT SPY 12/16/22 381 STANDARD & POORS DEPOSITORY CUSIP: *8KLMXM3 Symbol: SPY---221216P00381000							
2022-12-16	10.000000	649.58	2022-12-16	680.38	0.00	-30.80	
AMAZON.COM INC CUSIP: 023135106 Symbol: AMZN							
2022-11-03	3.000000	269.26	2022-11-03	271.26	0.00	-2.00	
AVALON HLDGS CORP CUSIP: 05343P109 Symbol: AWX							
2022-08-04	50.000000	212.64	2022-08-04	205.50	0.00	7.14	
CALL CLVS 07/08/22 3 CLOVIS ONCOLOGY INC CUSIP: *8STCRR6 Symbol: CLVS--220708C00003000							
2022-07-08	2.000000	59.86	2022-07-08	56.10	0.00	3.76	
PUT QQQ 07/25/22 298 INVESCO QQQ TRUST SERIES 1 CUSIP: *8STPDV8 Symbol: QQQ---220725P00298000							
2022-07-25	1.000000	61.93	2022-07-25	58.05	0.00	3.88	
CALL TSLA 08/19/22 890 TESLA INC CUSIP: *8STVGS1 Symbol: TSLA--220819C00890000							
2022-08-19	4.000000	996.72	2022-08-19	1,022.32	28.12 D	-25.60	
ARDELYX INC COM CUSIP: 039697107 Symbol: ARDX							
2022-09-14	100.000000	139.98	2022-09-13	144.00	0.00	-4.02	
2022-11-02	100.000000	151.99	2022-11-01	155.96	3.97 D	-3.97	
2022-11-18	100.000000	192.97	2022-11-18	187.97	0.00	5.00	
Security Totals:		484.94		487.93		-2.99	
AILERON THERAPEUTICS INC COMMON STOCK CUSIP: 00887A105 Symbol: #A045902							
2022-10-17	400.000000	79.82	2022-10-14	80.40	0.00	-0.58	
***AMTD IDEA GROUP AMERICAN DEPOSITORY SHARES ECH REPSTG ONE CLASS A ORDINARY SH CUSIP: 00180G106 Symbol: #A052271							
2022-08-02	20.000000	177.98	2022-08-02	194.20	0.00	-16.22	
APPLIED UV INC COMMON STOCK CUSIP: 03828V105 Symbol: AUVI							
2022-12-20	100.000000	123.98	2022-12-20	133.00	0.00	-9.02	
AVENUE THERAPEUTICS INC COMMON STOCK CUSIP: 05360L205 Symbol: #A045880							
2022-09-22	1500.000000	604.04	2022-09-22	647.74	23.84 D	-43.70	

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SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

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AYALA PHARMACEUTICALS INC COMMON STOCK CUSIP: 05465V108 Symbol: AYLA							
2022-07-26	40.000000	87.24	2022-07-26	81.60	0.00	5.64	
AMESITE INC COMMON STOCK CUSIP: 031094105 Symbol: AMST							
2022-12-13	100.000000	37.97	2022-12-12	42.00	0.00	-4.03	
AGRIFY CORPORATION COMMON STOCK CUSIP: 00853E107 Symbol: #A061088							
2022-10-14	200.000000	229.96	2022-10-14	244.00	7.02 D	-14.04	
2022-10-17	100.000000	198.48	2022-10-17	208.01	0.00	-9.53	
Security Totals:		428.44		452.01		-23.57	
AEROCLEAN TECHNOLOGIES INC COMMON STOCK CUSIP: 007744105 Symbol: AERC							
2022-08-10	150.000000	1,083.45	2022-08-10	1,127.01	11.51 D	-43.56	
2022-12-05	50.000000	208.48	2022-12-05	202.00	0.00	6.48	
Security Totals:		1,291.93		1,329.01		-37.08	
PUT HOOD 08/05/22 8.50 ROBINHOOD MARKET INC CUSIP: *8LMCNP6 Symbol: HOOD--220805P00008500							
2022-08-02	6.000000	197.66	2022-08-02	202.41	0.11 D	-4.75	
BLUE APRON HOLDINGS INC CLASS A COMMON STOCK CUSIP: 09523Q200 Symbol: APRN							
2022-12-08	100.000000	78.33	2022-12-08	82.00	0.00	-3.67	
***BRIACELL THERAPEUTICS INC COM NEW CUSIP: 10778Y302 Symbol: BCTX							
2022-10-28	54.000000	287.26	Various	297.70	0.00	-10.44	
***BRIGHT MINDS BIOSCIENCES INC COM CUSIP: 10919W108 Symbol: DRUG							
2022-08-25	100.000000	293.72	2022-08-25	286.00	0.00	7.72	
BOXED INC COMMON STOCK CUSIP: 103174108 Symbol: BOXD							
2022-06-27	50.000000	140.39	2022-06-27	137.46	0.00	2.93	
BIOFRONTERA INC COMMON STOCK CUSIP: 09077D100 Symbol: BFRI							
2022-07-18	600.000000	1,007.89	Various	1,185.67	0.00	-177.78	
BTCS INC COMMON STOCK CUSIP: 05581M404 Symbol: BTCS							
2022-01-05	20.000000	97.56	2022-01-05	96.00	0.00	1.56	

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02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

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PUT BABA 07/29/22	97	ALIBABA GROUP HOLDING LIMITED CUSIP: *8GKPMK5 Symbol: BABA--220729P00097000					
2022-07-28	1.000000	95.93	2022-07-28	93.05	0.00	2.88	
CLEARONE INC COM CUSIP: 18506U104 Symbol: CLRO							
2022-12-09	200.000000	331.98	2022-12-09	354.00	0.00	-22.02	
CALL QQQ 08/03/22	320	INVESCO QQQ TRUST SERIES 1 CUSIP: *8PRWVR3 Symbol: QQQ---220803C00320000					
2022-08-03	1.000000	103.93	2022-08-03	99.05	0.00	4.88	
CATALYST BIOSCIENCES INC COMMON STOCK CUSIP: 14888D208 Symbol: CBIO							
2022-05-23	100.000000	100.98	2022-05-23	108.00	0.00	-7.02	
CERBERUS CYBER SENTINEL CORPORATION COMMON STOCK CUSIP: 15672X102 Symbol: CISO							
2022-03-23	31.000000	296.03	2022-03-23	289.45	0.00	6.58	
CUENTAS INC COMMON STOCK CUSIP: 229794201 Symbol: CUEN							
2022-12-13	2100.000000	650.42	2022-12-13	668.02	2.11 D	-17.60	
CABALETTA BIO INC COMMON STOCK CUSIP: 12674W109 Symbol: CABA							
2022-08-29	450.000000	544.42	2022-08-29	656.18	0.00	-111.76	
CROWN ELECTROKINETICS CORP COMMON STOCK CUSIP: 228339206 Symbol: CRKN							
2022-10-19	1200.000000	449.88	2022-10-19	415.62	0.00	34.26	
2022-10-20	1000.000000	259.96	2022-10-20	255.90	0.00	4.06	
Security Totals:		709.84		671.52		38.32	
***CARTESIAN GROWTH CORPORATION CLASS A ORDINARY SHARE CUSIP: G19276107 Symbol: GLBL							
2022-11-16	2.000000	53.08	2022-11-16	55.48	0.00	-2.40	
DERMATA THERAPEUTICS INC COMMON STOCK CUSIP: 249845108 Symbol: DRMA							
2022-07-13	100.000000	76.48	2022-07-12	79.01	0.00	-2.53	
CALL SIGA 08/19/22	22.50	SIGA TECHNOLOGIES INC CUSIP: *8PSRNY9 Symbol: SIGA--220819C00022500					
2022-07-25	1.000000	65.93	2022-07-25	78.05	0.00	-12.12	
FINGERMOTION INC COMMON STOCK CUSIP: 31788K108 Symbol: FNGR							
2022-10-20	150.000000	902.96	2022-10-20	904.95	1.49 D	-1.99	

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SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

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1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
ABEONA THERAPEUTICS INC COMMON STOCK CUSIP: 00289Y206 Symbol: ABEO							
2022-10-06	100.000000	348.98	2022-10-05	330.00	0.00	18.98	
GROWGENERATION CORP COMMON STOCK CUSIP: 39986L109 Symbol: GRWG							
2022-11-08	100.000000	424.99	2022-11-08	432.00	0.00	-7.01	
PUT TSLA 08/12/22 830 TESLA INC CUSIP: *8SWLSY4 Symbol: TSLA--220812P00830000							
2022-08-10	1.000000	579.92	2022-08-10	515.05	0.00	64.87	
INVITAE CORPORATION COM CUSIP: 46185L103 Symbol: NVTA							
2022-10-28	60.000000	130.23	Various	150.63	0.00	-20.40	
HARPOON THERAPEUTICS INC COMMON STOCK CUSIP: 41358P106 Symbol: HARP							
2022-12-12	200.000000	493.95	2022-12-12	522.00	0.00	-28.05	
***INDONESIA ENERGY CORPORATION LIMITED ORDINARY SHARES CUSIP: G4760X102 Symbol: INDO							
2022-08-18	50.000000	355.48	2022-08-18	353.50	0.00	1.98	
***HUADI INTERNATIONAL GROUP CO LTD ORDINARY SHARES CUSIP: G4645E105 Symbol: HUDI							
2022-11-04	1.000000	129.48	2022-11-04	121.15	0.00	8.33	
***ICECURE MEDICAL LTD ORDINARY SHARES CUSIP: M53071136 Symbol: ICCM							
2022-12-20	50.000000	202.48	2022-12-20	206.75	0.00	-4.27	
HELIUS MEDICAL TECHNOLOGIES INC CLASS A COMMON STOCK CUSIP: 42328V603 Symbol: HSDT							
2022-11-17	300.000000	102.85	2022-11-17	104.97	0.00	-2.12	
INSIGNIA SYSTEMS INC COMMON STOCK CUSIP: 45765Y204 Symbol: ISIG							
2022-03-30	5.000000	58.42	2022-03-30	54.80	0.00	3.62	
2022-06-01	12.000000	134.38	2022-06-01	140.40	0.00	-6.02	
Security Totals:		192.80		195.20		-2.40	

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IMMIX BIOPHARMA INC COMMON STOCK CUSIP: 45258H106 Symbol: IMMX							
2022-01-03	2.000000	15.96	2022-01-03	13.62	0.00	2.34	
2022-01-05	10.000000	76.90	2022-01-05	75.08	0.52 D	1.82	
2022-02-01	50.000000	232.65	2022-02-01	235.52	0.00	-2.87	
2022-12-14	100.000000	234.99	2022-12-14	225.93	0.00	9.06	
Security Totals:		560.50		550.15		10.35	
HOUSTON AMERN ENERGY CORP COMMON STOCK CUSIP: 44183U209 Symbol: HUSA							
2022-03-07	10.000000	52.08	2022-03-07	53.70	0.00	-1.62	
KAIVAL BRANDS INNOVATIONS GROUP INC COMMON STOCK CUSIP: 483104204 Symbol: KAVL							
2022-03-15	30.000000	52.05	2022-03-15	51.00	0.00	1.05	
KIRKLANDS INC CUSIP: 497498105 Symbol: KIRK							
2022-08-30	400.000000	1,684.41	2022-08-30	1,667.33	3.52 D	17.08	
KASPIEN HOLDINGS INC COMMON STOCK CUSIP: 485837108 Symbol: KSPN							
2022-08-03	50.000000	309.99	2022-08-03	320.00	0.00	-10.01	
KIDPIK CORP COMMON STOCK CUSIP: 49382L108 Symbol: PIK							
2022-07-25	100.000000	312.18	2022-07-25	295.00	0.00	17.18	
2022-07-26	250.000000	723.97	2022-07-26	702.75	0.00	21.22	
2022-12-02	100.000000	89.98	2022-12-02	92.00	0.00	-2.02	
Security Totals:		1,126.13		1,089.75		36.38	
LM FUNDING AMERICA INC COMMON STOCK CUSIP: 502074404 Symbol: LMFA							
2022-12-06	200.000000	127.72	2022-12-06	148.23	0.00	-20.51	
PUT SPY 09/30/22 362 STANDARD & POORS DEPOSITORY CUSIP: *8GDVVT9 Symbol: SPY---220930P00362000							
2022-09-26	1.000000	342.93	2022-09-26	366.05	0.00	-23.12	
PUT AAPL 07/22/22 147 APPLE INC CUSIP: *8SXJZG9 Symbol: AAPL--220722P00147000							
2022-07-18	1.000000	135.93	2022-07-18	141.05	0.00	-5.12	

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PUT AAPL 07/22/22	148	APPLE INC CUSIP: *8SXJZH1 Symbol: AAPL--220722P00148000					
2022-07-18	1.000000	162.93	2022-07-18	151.05	0.00	11.88	
***MILESTONE PHARMACEUTICALS INC COM CUSIP: 59935V107 Symbol: MIST							
2022-10-25	50.000000	290.46	2022-10-25	275.25	0.00	15.21	
MOBIQUITY TECHNOLOGIES INC COMMON STOCK CUSIP: 60743F508 Symbol: MOBQ							
2022-08-19	200.000000	366.69	2022-08-19	334.00	0.00	32.69	
MINK THERAPEUTICS INC COMMON STOCK CUSIP: 603693102 Symbol: INKT							
2022-08-10	50.000000	86.98	2022-08-10	90.25	0.00	-3.27	
MEDIACO HOLDING INC CLASS A COMMON STOCK CUSIP: 58450D104 Symbol: MDIA							
2022-08-03	20.000000	108.16	2022-08-03	104.80	0.00	3.36	
META MATLS INC COMMON STOCK CUSIP: 59134N104 Symbol: MMAT							
2022-11-21	50.000000	111.49	2022-11-21	112.00	0.20 D	-0.51	
2022-12-07	20.000000	45.18	2022-12-07	46.18	0.00	-1.00	
Security Totals:		156.67		158.18		-1.51	
***NEUROSENSE THERAPEUTICS LTD ORDINARY SHARES CUSIP: M74240108 Symbol: NRSN							
2022-04-05	10.000000	39.08	2022-04-05	40.70	0.00	-1.62	
NEUROBO PHARMACEUTICALS INC COMMON STOCK CUSIP: 64132R107 Symbol: #N034628							
2022-09-12	500.000000	240.17	2022-09-12	241.82	0.00	-1.65	
CALL SPY 08/26/22 415 STANDARD & POORS DEPOSITORY CUSIP: *8SVWX54 Symbol: SPY---220826C00415000							
2022-08-26	1.000000	81.93	2022-08-26	78.05	0.00	3.88	
PLUS THERAPEUTICS INC COMMON STOCK CUSIP: 72941H400 Symbol: PSTV							
2022-08-25	100.000000	88.05	2022-08-24	84.79	0.00	3.26	
OPGEN INC COMMON STOCK CUSIP: 68373L307 Symbol: OPGN							
2022-12-13	200.000000	85.98	2022-12-13	85.80	0.00	0.18	
PALTALK INC COMMON STOCK CUSIP: 69764K106 Symbol: PALT							
2022-11-18	300.000000	563.94	2022-11-18	573.00	0.00	-9.06	

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PHUNWARE INC COMMON STOCK CUSIP: 71948P100 Symbol: PHUN							
2022-01-18	100.000000	309.99	2022-01-18	304.00	0.00	5.99	
***POLYPID LTD ORDINARY SHARES CUSIP: M8001Q118 Symbol: PYPD							
2022-09-12	200.000000	371.15	2022-09-12	358.00	0.00	13.15	
RELIANCE GLOBAL GROUP INC COMMON STOCK CUSIP: 75946W207 Symbol: RELI							
2022-01-04	10.000000	83.18	2022-01-04	84.30	0.00	-1.12	
REDBOX ENTERTAINMENT INC CLASS A COMMON STOCK CUSIP: 75724T103 Symbol: #R041770							
2022-04-21	20.000000	66.98	2022-04-21	67.58	0.00	-0.60	
CALL AAPL 07/15/22 149 APPLE INC CUSIP: *8SVZPL1 Symbol: AAPL--220715C00149000							
2022-07-15	1.000000	80.93	2022-07-15	79.05	0.00	1.88	
CALL AXSM 08/19/22 60 AXSOME THERAPEUTICS INC CUSIP: *8SWBFM0 Symbol: AXSM--220819C00060000							
2022-08-19	3.000000	369.79	2022-08-19	322.27	0.12 D	47.52	
CALL MSFT 07/29/22 267.50 MICROSOFT CORP CUSIP: *8SWGGH8 Symbol: MSFT--220729C00267500							
2022-07-27	1.000000	186.93	2022-07-27	219.05	0.00	-32.12	
CALL SPY 07/13/22 379 STANDARD & POORS DEPOSITORY CUSIP: *8SWJCC7 Symbol: SPY---220713C00379000							
2022-07-13	1.000000	114.93	2022-07-13	120.05	0.00	-5.12	
SURFACE ONCOLOGY INC COMMON STOCK CUSIP: 86877M209 Symbol: SURF							
2022-08-11	100.000000	204.96	2022-08-11	201.80	0.00	3.16	
***SENTAGE HOLDINGS INC ORDINARY SHARES CUSIP: G8062B106 Symbol: #S085544							
2022-08-05	200.000000	172.49	2022-08-05	173.34	0.00	-0.85	
SUMMIT THERAPEUTICS INC COMMON STOCK CUSIP: 86627T108 Symbol: SMMT							
2022-12-06	100.000000	149.01	2022-12-06	150.00	0.00	-0.99	
***SNOW LAKE RES LTD COM NEW CUSIP: 83336J208 Symbol: LITM							
2022-09-19	200.000000	485.95	2022-09-16	518.00	0.00	-32.05	
SONOMA PHARMACEUTICALS INC COMMON STOCK CUSIP: 83558L204 Symbol: SNOA							
2022-11-02	150.000000	460.46	2022-11-02	482.45	0.00	-21.99	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
***INTELLIGENT LIVING APPLICATION GROUP INC ORDINARY SHARES CUSIP: G4804S101 Symbol: ILAG							
2022-08-05	870.000000	2,594.49	2022-08-05	2,517.70	8.15 D	76.79	
CALL GOEV 07/22/22 4 CANOO INC CL A CUSIP: *8NVYBX0 Symbol: GOEV--220722C00004000							
2022-07-14	1.000000	61.93	2022-07-14	58.05	0.00	3.88	
CALL MSFT 07/29/22 272.50 MICROSOFT CORP CUSIP: *8SWGJ0 Symbol: MSFT--220729C00272500							
2022-07-27	1.000000	68.93	2022-07-27	66.05	0.00	2.88	
PUT MSFT 07/29/22 257.50 MICROSOFT CORP CUSIP: *8SWGJH5 Symbol: MSFT--220729P00257500							
2022-07-27	1.000000	169.93	2022-07-27	183.05	0.00	-13.12	
CALL MSFT 07/29/22 277.50 MICROSOFT CORP CUSIP: *8SWGJ2 Symbol: MSFT--220729C00277500							
2022-07-28	3.000000	224.82	2022-07-28	243.15	0.00	-18.33	
PUT ZM 08/26/22 80 ZOOM VIDEO COMMUNICATIONS INC CUSIP: *8SWNRZ6 Symbol: ZM----220826P00080000							
2022-08-23	2.000000	169.88	2022-08-23	318.10	0.00	-148.22	
CALL TSLA 08/26/22 950 TESLA INC CUSIP: *8SWMLP9 Symbol: #8SWMLP9							
2022-08-24	1.000000	524.92	2022-08-24	555.05	0.00	-30.13	
TAYSHA GENE THERAPIES INC COMMON STOCK CUSIP: 877619106 Symbol: TSHA							
2022-11-02	100.000000	176.98	2022-11-01	183.00	0.00	-6.02	
TWIN VEE POWERCATS CO COMMON STOCK CUSIP: 90177C101 Symbol: VEEE							
2022-08-15	20.000000	154.98	2022-08-15	153.80	0.00	1.18	
***TABOOLA.COM LTD ORDINARY SHARES CUSIP: M8744T106 Symbol: TBLA							
2022-11-28	300.000000	852.68	2022-11-28	849.94	0.00	2.74	
U S ENERGY CORP COMMON STOCK CUSIP: 911805307 Symbol: USEG							
2022-08-23	100.000000	410.98	2022-08-23	408.73	0.00	2.25	
VOLCON INC COMMON STOCK CUSIP: 92864V103 Symbol: VLCN							
2022-08-19	50.000000	149.85	2022-08-19	144.00	0.00	5.85	
CALL SPY 08/26/22 413 STANDARD & POORS DEPOSITORY CUSIP: *8BTFXN4 Symbol: SPY---220826C00413000							
2022-08-26	10.000000	391.44	2022-08-26	393.83	9.34 D	-2.39	

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Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

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VIKING THERAPEUTICS INC COMMON STOCK CUSIP: 92686J106 Symbol: VKTX							
2022-12-19	100.000000	666.97	2022-12-19	678.95	0.00	-11.98	
VENUS CONCEPT LTD COMMON STOCK CUSIP: 92332W105 Symbol: VERO							
2022-12-21	1000.000000	422.96	2022-12-21	456.90	0.00	-33.94	
VINCO VENTURES INC COMMON STOCK CUSIP: 927330100 Symbol: BBIG							
2022-01-18	3.000000	13.99	2022-01-18	14.19	0.00	-0.20	
WINC INC COMMON STOCK CUSIP: 97265W105 Symbol: WBEVQ							
2022-08-03	510.000000	860.74	2022-08-03	987.70	0.00	-126.96	
X4 PHARMACEUTICALS INC COMMON STOCK CUSIP: 98420X103 Symbol: XFOR							
2022-10-28	120.000000	236.37	2022-10-26	233.00	0.00	3.37	
***AMTD DIGITAL INC AMERICAN DEPOSITARY SHRS EVERY FIVE OF WHICH RPRSNT TWO CL A CUSIP: 00180N101 Symbol: HKD							
2022-09-26	11.000000	810.01	2022-09-26	862.50	0.00	-52.49	
2022-11-04	10.000000	185.88	2022-11-04	195.40	0.00	-9.52	
Security Totals:		995.89		1,057.90		-62.01	
CALL QQQ 07/25/22 301 INVESCO QQQ TRUST SERIES 1 CUSIP: *8BSWYR6 Symbol: QQQ---220725C00301000							
2022-07-25	8.000000	662.46	2022-07-25	835.21	116.81 D	-172.75	
PUT ROKU 07/29/22 63 ROKU INC CUSIP: *8BWGXP0 Symbol: ROKU--220729P00063000							
2022-07-29	15.000000	922.12	2022-07-29	1,028.92	107.17 D	-106.80	
PUT NVAX 08/12/22 40 NOVAVAX INC CUSIP: *8PVMWP1 Symbol: NVAX--220812P00040000							
2022-08-09	2.000000	409.86	2022-08-09	388.10	0.00	21.76	
CALL META 07/29/22 157.50 META PLATFORMS INC CL A CUSIP: *8SWGVBV5 Symbol: META--220729C00157500							
2022-07-29	10.000000	359.42	2022-07-29	340.49	0.00	18.93	
PUT SPY 07/22/22 397.50 STANDARD & POORS DEPOSITORY CUSIP: *8SWJDM6 Symbol: SPY---220722P00397500							
2022-07-22	6.000000	565.60	2022-07-22	643.42	12.12 D	-77.82	
CALL BABA 08/26/22 100 ALIBABA GROUP HOLDING LIMITED CUSIP: *8SWBHG9 Symbol: BABA--220826C00100000							
2022-08-25	1.000000	179.93	2022-08-25	178.05	0.00	1.88	

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Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT SPY 07/20/22	393	STANDARD & POORS DEPOSITORY CUSIP: *8SWJDC8 Symbol: SPY---220720P00393000					
2022-07-20	4.000000	453.73	2022-07-20	402.20	0.00	51.53	
PUT QQQ 07/25/22	299	INVESCO QQQ TRUST SERIES 1 CUSIP: *8BSWYQ7 Symbol: QQQ---220725P00299000					
2022-07-25	1.000000	41.93	2022-07-25	40.05	0.00	1.88	
CALL GOOGL 08/12/22	119	ALPHABET INC CLASS A ADJ 20:1 STOCK SPLIT CUSIP: *8GKGZX1 Symbol: GOOGL-220812C00119000					
2022-08-08	1.000000	189.93	2022-08-08	178.05	0.00	11.88	
PUT QQQ 07/27/22	293	INVESCO QQQ TRUST SERIES 1 CUSIP: *8LLZVJ7 Symbol: QQQ---220727P00293000					
2022-07-26	1.000000	235.93	2022-07-26	238.05	0.00	-2.12	
PUT NFLX 10/21/22	270	NETFLIX INC CUSIP: *8NZDVJ3 Symbol: NFLX--221021P00270000					
2022-10-19	1.000000	454.92	2022-10-19	465.05	0.00	-10.13	
CALL CODX 07/29/22	6.50	CO DIAGNOSTICS INC CUSIP: *8SWCDS8 Symbol: CODX--220729C00006500					
2022-07-25	1.000000	14.93	2022-07-25	15.05	0.00	-0.12	
FAZE HOLDINGS INC COMMON STOCK CUSIP: 31423J102 Symbol: FAZE							
2022-08-29	50.000000	845.22	2022-08-29	858.12	0.00	-12.90	
PUT SPY 09/30/22	359	STANDARD & POORS DEPOSITORY CUSIP: *8GDVVT1 Symbol: SPY---220930P00359000					
2022-09-29	2.000000	550.86	2022-09-29	540.22	0.12 D	10.64	
CALL GOOGL 07/29/22	112.25	ALPHABET INC CLASS A ADJ 20:1 STOCK SPLIT CUSIP: *8GKCS9 Symbol: GOOGL-220729C00112250					
2022-07-28	2.000000	204.86	2022-07-28	195.10	0.00	9.76	
PUT GOOGL 07/29/22	112.75	ALPHABET INC CLASS A ADJ 20:1 STOCK SPLIT CUSIP: *8GKCSJ4 Symbol: GOOGL-220729P00112750					
2022-07-28	1.000000	64.93	2022-07-28	85.05	0.00	-20.12	
CALL DPRO 04/14/22	5	DRAGANFLY INC COM CUSIP: *8BRZXP6 Symbol: DPRO--220414C00005000					
2022-03-22	4.000000	234.73	2022-03-22	195.20	0.00	39.53	
PUT QQQ 07/25/22	301	INVESCO QQQ TRUST SERIES 1 CUSIP: *8BSWYS7 Symbol: QQQ---220725P00301000					
2022-07-25	1.000000	199.93	2022-07-25	190.05	0.00	9.88	
CALL FAZE 08/19/22	17.50	FAZE HOLDINGS INC CUSIP: *8NTFBJ0 Symbol: FAZE--220819C00017500					
2022-07-25	1.000000	99.93	2022-07-25	100.05	0.00	-0.12	

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Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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CALL SPY 08/24/22	414	STANDARD & POORS DEPOSITORY CUSIP: *8NVFQQ9 Symbol: SPY---220824C00414000					
2022-08-24	14.000000	979.21	2022-08-24	1,043.23	20.54 D	-64.02	
CALL SPY 01/19/22	460	STANDARD & POORS DEPOSITORY CUSIP: *8BSXZR2 Symbol: SPY---220119C00460000					
2022-01-18	1.000000	87.94	2022-01-18	80.04	0.00	7.90	
2022-01-19	1.000000	33.94	2022-01-19	33.04	0.00	0.90	
Security Totals:		121.88		113.08		8.80	
PUT AFRM 01/14/22	70	AFFIRM HOLDINGS INC CL A CUSIP: *8BTFQ50 Symbol: AFRM--220114P00070000					
2022-01-14	3.000000	240.84	2022-01-14	285.12	0.00	-44.28	
PUT AAPL 03/18/22	155	APPLE INC CUSIP: *8BTTVX3 Symbol: AAPL--220318P00155000					
2022-03-17	2.000000	41.88	2022-03-17	44.10	0.00	-2.22	
PUT SPY 08/26/22	417	STANDARD & POORS DEPOSITORY CUSIP: *8BTFXP0 Symbol: SPY---220826P00417000					
2022-08-26	7.000000	1,151.57	2022-08-26	1,152.89	46.54 D	-1.32	
PUT SPY 09/02/22	388	STANDARD & POORS DEPOSITORY CUSIP: *8NYVSG2 Symbol: SPY---220902P00388000					
2022-09-01	2.000000	327.86	2022-09-01	338.10	0.00	-10.24	
PUT SPY 09/02/22	394	STANDARD & POORS DEPOSITORY CUSIP: *8NYVTJ3 Symbol: SPY---220902P00394000					
2022-09-02	1.000000	119.93	2022-09-02	113.05	0.00	6.88	
PUT SPY 09/02/22	398	STANDARD & POORS DEPOSITORY CUSIP: *8NYVTY2 Symbol: SPY---220902P00398000					
2022-09-02	3.000000	319.79	2022-09-02	427.51	62.36 D	-107.72	
CALL GDX 08/26/22	25.50	VANECK GOLD MINERS ETF CUSIP: *8SVSMV0 Symbol: GDX---220826C00025500					
2022-08-23	1.000000	44.93	2022-08-22	41.05	0.00	3.88	
CALL ROKU 01/21/22	200	ROKU INC CUSIP: *8BVNQS3 Symbol: ROKU--220121C00200000					
2022-01-12	5.000000	863.70	2022-01-12	917.80	36.60 D	-54.10	
PUT SPY 03/18/22	418	STANDARD & POORS DEPOSITORY CUSIP: *8BWVSP2 Symbol: SPY---220318P00418000					
2022-03-16	1.000000	198.93	2022-03-16	208.05	0.00	-9.12	
CALL SNAP 01/21/22	44	SNAP INC CUSIP: *8BWHKC5 Symbol: SNAP--220121C00044000					
2022-01-07	2.000000	228.88	2022-01-07	226.08	0.00	2.80	

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

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CALL V 03/18/22	210 VISA INC CUSIP: *8BYLZV4 Symbol: V-----220318C00210000						
2022-03-11	1.000000	85.93	2022-03-11	95.05	0.00	-9.12	
CALL AMD 04/14/22	105 ADVANCED MICRO DEVICES INC CUSIP: *8BZZCP8 Symbol: AMD---220414C00105000						
2022-04-11	1.000000	40.93	2022-04-11	46.05	5.12 D	-5.12	
2022-04-12	1.000000	42.93	2022-04-12	47.17	0.00	-4.24	
Security Totals:		83.86		93.22		-9.36	
MOTUS GI HOLDINGS INC COMMON STOCK CUSIP: 62014P405 Symbol: MOTS							
2022-08-04	50.000000	237.18	2022-08-04	233.33	0.00	3.85	
2022-10-24	100.000000	229.98	2022-10-24	225.00	0.00	4.98	
Security Totals:		467.16		458.33		8.83	
CALL GOOGL 08/12/22	116.25 ALPHABET INC CLASS A ADJ 20:1 STOCK SPLIT CUSIP: *8GKGVL8 Symbol: GOOGL-220812C00116250						
2022-08-09	3.000000	484.79	2022-08-09	490.51	8.36 D	-5.72	
CALL BABA 08/26/22	99 ALIBABA GROUP HOLDING LIMITED CUSIP: *8GRPBK9 Symbol: BABA--220826C00099000						
2022-08-25	3.000000	550.81	2022-08-25	627.15	0.00	-76.34	
CALL CFVI 09/16/22	12 CF ACQUISITION CORP VI CUSIP: *8GSPVP4 Symbol: #8GSPVP4						
2022-09-02	2.000000	201.87	2022-09-02	215.10	0.00	-13.23	
CALL SEDG 09/16/22	330 SOLAREEDGE TECHNOLOGIES INC CUSIP: *8LFKPF8 Symbol: SEDG--220916C00330000						
2022-09-14	1.000000	209.93	2022-09-14	230.05	0.00	-20.12	
CALL ROKU 08/05/22	70 ROKU INC CUSIP: *8NJCFB2 Symbol: ROKU--220805C00070000						
2022-08-01	1.000000	145.93	2022-08-01	145.05	0.00	0.88	
PUT AMD 08/12/22	96 ADVANCED MICRO DEVICES INC CUSIP: *8NVYFF0 Symbol: AMD---220812P00096000						
2022-08-10	1.000000	138.93	2022-08-10	147.05	0.00	-8.12	
CALL COST 09/02/22	530 COSTCO WHOLESALE CORPORATION CUSIP: *8NWMQN2 Symbol: COST--220902C00530000						
2022-08-31	1.000000	309.93	2022-08-31	350.05	0.00	-40.12	
PUT SPY 08/31/22	400 STANDARD & POORS DEPOSITORY CUSIP: *8PSLGM3 Symbol: SPY---220831P00400000						
2022-08-30	4.000000	1,055.72	2022-08-30	993.20	0.00	62.52	

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CALL BBY 08/19/22	16	BED BATH & BEYOND INC CUSIP: *8CSLQJ7 Symbol: BBY--220819C00016000					
2022-08-15	1.000000	232.93	2022-08-15	220.05	0.00	12.88	
CALL BCTX 02/18/22	7.50	BRIACELL THERAPEUTICS CORP CUSIP: *8CSSRM9 Symbol: BCTX--220218C00007500					
2022-01-27	2.000000	99.88	Various	105.18	5.10 D	-5.30	
MOBILE GLOBAL ESPORTS INC COMMON STOCK		CUSIP: 607371101 Symbol: MGAM					
2022-07-29	20.000000	89.98	2022-07-29	92.40	0.00	-2.42	
2022-08-30	100.000000	433.46	2022-08-30	427.00	0.00	6.46	
Security Totals:		523.44		519.40		4.04	
CALL AMD 02/18/22	145	ADVANCED MICRO DEVICES INC CUSIP: *8CTLJK4 Symbol: AMD---220218C00145000					
2022-02-04	1.000000	41.93	2022-02-04	53.05	0.00	-11.12	
PUT TSLA 08/19/22	895	TESLA INC CUSIP: *8NGWHV5 Symbol: TSLA--220819P00895000					
2022-08-16	1.000000	899.91	2022-08-16	885.05	0.00	14.86	
PUT TSLA 08/19/22	885	TESLA INC CUSIP: *8NGWHV3 Symbol: TSLA--220819P00885000					
2022-08-19	2.000000	277.86	2022-08-19	300.10	0.00	-22.24	
CALL SPY 08/17/22	428	STANDARD & POORS DEPOSITORY CUSIP: *8NVFLK8 Symbol: SPY---220817C00428000					
2022-08-17	23.000000	1,251.70	2022-08-17	1,492.43	98.29 D	-240.73	
PUT SPY 08/29/22	401	STANDARD & POORS DEPOSITORY CUSIP: *8SWPCZ1 Symbol: SPY---220829P00401000					
2022-08-29	1.000000	89.93	2022-08-29	102.05	0.00	-12.12	
CALL AMD 08/19/22	98	ADVANCED MICRO DEVICES INC CUSIP: *8SXQSH0 Symbol: AMD---220819C00098000					
2022-08-19	2.000000	116.86	2022-08-19	158.22	17.12 D	-41.36	
PUT SPY 08/31/22	402	STANDARD & POORS DEPOSITORY CUSIP: *8SWQFM2 Symbol: SPY---220831P00402000					
2022-08-30	1.000000	255.93	2022-08-30	267.05	0.00	-11.12	
PUT SPY 09/09/22	404	STANDARD & POORS DEPOSITORY CUSIP: *8SXYLW5 Symbol: SPY---220909P00404000					
2022-09-09	4.000000	441.72	2022-09-09	509.92	56.72 D	-68.20	
CALL SPY 09/09/22	400	STANDARD & POORS DEPOSITORY CUSIP: *8SXYLV4 Symbol: SPY---220909C00400000					
2022-09-08	5.000000	997.65	2022-09-08	950.37	4.12 D	47.28	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL TSLA 08/19/22	975 TESLA INC CUSIP: *8SXZDW0 Symbol: #8SXZDW0						
2022-08-15	1.000000	914.91	2022-08-15	905.05	0.00	9.86	
APEXIGEN INC COMMON STOCK CUSIP: 03759B102 Symbol: APGN							
2022-08-12	100.000000	771.96	2022-08-12	735.00	0.00	36.96	
***QUOIN PHARMACEUTICALS LTD AMERICAN DEPOSITARY SHARES CUSIP: 74907L201 Symbol: QNRX							
2022-08-03	11.000000	145.96	2022-08-03	152.55	1.30 D	-6.59	
2022-09-07	500.000000	2,229.87	2022-09-07	2,235.00	0.00	-5.13	
Security Totals:		2,375.83		2,387.55		-11.72	
CALL SQ 01/21/22	140 BLOCK INC CUSIP: *8CWVSL3 Symbol: SQ----220121C00140000						
2022-01-19	3.000000	160.84	2022-01-18	477.21	104.09 D	-316.37	
CALL GOOGL 08/12/22	122 ALPHABET INC CLASS A ADJ 20:1 STOCK SPLIT CUSIP: *8GKHGQ4 Symbol: GOOGL-220812C00122000						
2022-08-10	1.000000	31.93	2022-08-10	37.05	0.00	-5.12	
PUT SPY 08/24/22	410 STANDARD & POORS DEPOSITORY CUSIP: *8NQGQJ8 Symbol: SPY---220824P00410000						
2022-08-23	1.000000	121.93	2022-08-23	138.05	0.00	-16.12	
PUT SPY 08/24/22	412 STANDARD & POORS DEPOSITORY CUSIP: *8NVFQQ3 Symbol: SPY---220824P00412000						
2022-08-24	1.000000	106.93	2022-08-24	115.05	0.00	-8.12	
CALL AMD 08/26/22	96 ADVANCED MICRO DEVICES INC CUSIP: *8NVYFH5 Symbol: AMD---220826C00096000						
2022-08-25	3.000000	314.79	2022-08-25	313.27	3.12 D	1.52	
PUT QQQ 08/03/22	319 INVESCO QQQ TRUST SERIES 1 CUSIP: *8NYLFV0 Symbol: QQQ---220803P00319000						
2022-08-03	8.000000	247.56	2022-08-03	320.82	28.42 D	-73.26	
PUT SPY 09/06/22	390 STANDARD & POORS DEPOSITORY CUSIP: *8PTRDW9 Symbol: SPY---220906P00390000						
2022-09-06	2.000000	320.86	2022-09-06	259.10	0.00	61.76	
CALL AMD 08/12/22	102 ADVANCED MICRO DEVICES INC CUSIP: *8PTVVY1 Symbol: AMD---220812C00102000						
2022-08-11	1.000000	74.93	2022-08-11	72.05	0.00	2.88	
CALL AMD 08/12/22	108 ADVANCED MICRO DEVICES INC CUSIP: *8PTVVZ8 Symbol: AMD---220812C00108000						
2022-08-08	2.000000	93.86	2022-08-08	94.10	0.00	-0.24	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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CALL AMD 08/12/22	103	ADVANCED MICRO DEVICES INC CUSIP: *8PTVVY4 Symbol: AMD---220812C00103000					
2022-08-08	1.000000	132.93	2022-08-08	130.05	0.00	2.88	
CALL AMD 08/12/22	109	ADVANCED MICRO DEVICES INC CUSIP: *8PTVWB2 Symbol: AMD---220812C00109000					
2022-08-08	1.000000	19.93	2022-08-08	38.05	0.00	-18.12	
PUT QQQ 08/05/22	321	INVESCO QQQ TRUST SERIES 1 CUSIP: *8PYDPM3 Symbol: QQQ---220805P00321000					
2022-08-05	2.000000	136.86	2022-08-05	132.10	0.00	4.76	
CALL FAZE 08/26/22	15	FAZE HOLDINGS INC CUSIP: *8SXTTH6 Symbol: FAZE--220826C00015000					
2022-08-18	1.000000	169.93	2022-08-18	170.05	0.00	-0.12	
PUT CS 03/18/22	7	CREDIT SUISSE GROUP AG ADR CUSIP: *8GBHNB3 Symbol: CS----220318P00007000					
2022-03-10	1.000000	16.93	2022-03-10	21.05	0.00	-4.12	
PUT SPY 08/17/22	424	STANDARD & POORS DEPOSITORY CUSIP: *8NVFLK3 Symbol: SPY---220817P00424000					
2022-08-17	19.000000	778.01	2022-08-17	936.94	0.00	-158.93	
PUT AMD 08/12/22	98	ADVANCED MICRO DEVICES INC CUSIP: *8NVYFF5 Symbol: AMD---220812P00098000					
2022-08-11	1.000000	85.93	2022-08-11	86.05	0.00	-0.12	
CALL AMD 08/19/22	107	ADVANCED MICRO DEVICES INC CUSIP: *8PVHRY2 Symbol: AMD---220819C00107000					
2022-08-10	1.000000	49.93	2022-08-10	48.05	0.00	1.88	
PUT SPY 08/31/22	396	STANDARD & POORS DEPOSITORY CUSIP: *8SWQFL2 Symbol: SPY---220831P00396000					
2022-08-30	2.000000	397.86	2022-08-30	402.10	0.00	-4.24	
CALL ALZN 05/20/22	2.50	ALZAMEND NEURO INC CUSIP: *8GGDVR5 Symbol: ALZN--220520C00002500					
2022-04-13	2.000000	11.88	2022-04-13	23.10	0.00	-11.22	
PUT FB 02/18/22	200	META PLATFORMS INC CL A CUSIP: *8GHBDF8 Symbol: FB----220218P00200000					
2022-02-18	1.000000	24.93	2022-02-18	42.05	0.00	-17.12	
CALL PSTX 08/19/22	5	POSEIDA THERAPEUTICS INC CUSIP: *8KQCHL6 Symbol: PSTX--220819C00005000					
2022-08-03	1.000000	74.93	2022-08-03	65.05	0.00	9.88	
CALL PSTX 08/19/22	7.50	POSEIDA THERAPEUTICS INC CUSIP: *8KQCHM1 Symbol: PSTX--220819C00007500					
2022-08-03	1.000000	69.93	2022-08-03	55.05	0.00	14.88	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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CALL CVNA 08/05/22	48	234.93	2022-08-05	230.05	0.00	4.88	CARVANA COMPANY CUSIP: *8PVLGY6 Symbol: CVNA--220805C00048000
CALL EVTL 01/21/22	10	231.78	2022-01-20	220.17	0.00	11.61	VERTICAL AEROSPACE LTD CUSIP: *8GJZSV3 Symbol: EVTL--220121C00010000
CALL XBI 08/05/22	89	26.93	2022-08-04	22.05	0.00	4.88	SPDR S&P BIOTECH ETF CUSIP: *8CSXST5 Symbol: XBI--220805C00089000
CALL SPY 08/31/22	401	237.86	2022-08-31	246.10	0.00	-8.24	STANDARD & POORS DEPOSITORY CUSIP: *8SWQFL9 Symbol: #8SWQFL9
PUT SPY 08/12/22	421	267.86	2022-08-11	270.10	0.00	-2.24	STANDARD & POORS DEPOSITORY CUSIP: *8SXYLF3 Symbol: SPY---220812P00421000
CALL DKNG 02/18/22	25	159.86	Various	147.22	2.12 D	12.64	DRAFTKINGS INC CL A CUSIP: *8GNXDB5 Symbol: DKNG--220218C00025000
		146.86	2022-02-08	146.22	5.12 D	0.64	
Security Totals:		306.72		293.44		13.28	
CALL FTK 03/18/22	2	61.88	2022-02-18	60.10	0.00	1.78	FLOTEK INDUSTRIES INC CUSIP: *8GNTGM6 Symbol: FTK---220318C00002000
CALL INTC 04/14/22	55	32.93	2022-03-25	39.05	0.00	-6.12	INTEL CORP CUSIP: *8GQLML6 Symbol: INTC--220414C00055000
PUT SPY 09/07/22	390	373.72	2022-09-07	481.92	54.72 D	-108.20	STANDARD & POORS DEPOSITORY CUSIP: *8PVKJT6 Symbol: SPY---220907P00390000
CALL AMD 09/02/22	108	191.76	Various	325.42	119.44 D	-133.66	ADVANCED MICRO DEVICES INC CUSIP: *8SWQTV0 Symbol: AMD---220902C00108000
		26.93	2022-08-22	100.27	0.00	-73.34	
Security Totals:		218.69		425.69		-207.00	
CALL SPY 09/23/22	390	423.88	2022-09-21	449.10	0.00	-25.22	STANDARD & POORS DEPOSITORY CUSIP: *8SWXNC4 Symbol: SPY---220923C00390000

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Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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PUT UPST 01/21/22	110	UPSTART HOLDINGS INC CUSIP: *8GRPF57 Symbol: UPST--220121P00110000					
2022-01-20	2.000000	323.88	2022-01-20	295.08	0.00	28.80	
CALL BBY 08/19/22	12.50	BED BATH & BEYOND INC CUSIP: *8SXMRB6 Symbol: BBY--220819C00012500					
2022-08-19	4.000000	232.74	2022-08-19	216.20	0.00	16.54	
PUT SPY 09/23/22	373	STANDARD & POORS DEPOSITORY CUSIP: *8SXPXS1 Symbol: SPY---220923P00373000					
2022-09-22	3.000000	607.81	2022-09-22	742.26	42.11 D	-134.45	
PUT NVAX 08/12/22	36	NOVAVAX INC CUSIP: *8LLXFH7 Symbol: NVAX--220812P00036000					
2022-08-09	1.000000	63.93	2022-08-09	71.05	0.00	-7.12	
PUT SPY 09/06/22	391	STANDARD & POORS DEPOSITORY CUSIP: *8PVDTB2 Symbol: SPY---220906P00391000					
2022-09-06	4.000000	541.72	2022-09-06	487.20	0.00	54.52	
CALL BBY 09/02/22	13	BED BATH & BEYOND INC CUSIP: *8SWZSM4 Symbol: BBY--220902C00013000					
2022-08-29	2.000000	404.86	2022-08-29	391.10	0.00	13.76	
CALL BBY 09/02/22	17	BED BATH & BEYOND INC CUSIP: *8SWZSN0 Symbol: BBY--220902C00017000					
2022-08-29	1.000000	153.93	2022-08-29	156.05	0.00	-2.12	
CALL SPY 08/22/22	417	STANDARD & POORS DEPOSITORY CUSIP: *8SXBLS1 Symbol: SPY---220822C00417000					
2022-08-22	4.000000	418.72	2022-08-22	422.32	11.12 D	-3.60	
PUT SPY 09/23/22	379	STANDARD & POORS DEPOSITORY CUSIP: *8SYDWW7 Symbol: SPY---220923P00379000					
2022-09-21	3.000000	704.82	2022-09-21	769.26	72.11 D	-64.44	
FORZA X1 INC COMMON STOCK CUSIP: 34988N104 Symbol: FRZA							
2022-08-12	10.000000	109.38	2022-08-12	149.47	0.00	-40.09	
2022-11-02	150.000000	358.47	2022-11-02	385.99	0.00	-27.52	
Security Totals:		467.85		535.46		-67.61	
***SENTAGE HOLDINGS INC ORDINARY SHARES CUSIP: G8062B114 Symbol: SNTG							
2022-11-04	255.000000	1,277.74	2022-11-04	1,384.72	43.92 D	-106.98	
CALL ALLG 08/19/22	7.50	ALLEGO NV CUSIP: *8PVKTW2 Symbol: ALLG--220819C00007500					
2022-08-16	2.000000	19.88	2022-08-16	55.10	0.00	-35.22	

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Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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CALL MMAT 04/14/22	2 META MATLS INC CUSIP: *8G36181 Symbol: MMAT--220414C00002000						
2022-04-05	5.000000	74.69	2022-04-05	60.25	0.00	14.44	
PUT SPY 09/14/22	390 STANDARD & POORS DEPOSITORY CUSIP: *8SXBMC8 Symbol: SPY---220914P00390000						
2022-09-13	1.000000	47.93	2022-09-13	46.05	0.00	1.88	
2022-09-14	5.000000	337.71	2022-09-14	350.25	0.00	-12.54	
Security Totals:		385.64		396.30		-10.66	
PUT SPY 09/14/22	395 STANDARD & POORS DEPOSITORY CUSIP: *8SXBMD0 Symbol: SPY---220914P00395000						
2022-09-13	7.000000	915.54	2022-09-13	1,004.16	48.81 D	-88.62	
PUT SPY 09/14/22	400 STANDARD & POORS DEPOSITORY CUSIP: *8SXBMD2 Symbol: SPY---220914P00400000						
2022-09-13	2.000000	595.86	2022-09-13	485.10	0.00	110.76	
CALL IPOF 02/18/22	10 SOCIAL CPTL HEDOSOPHIA HLDNGS CUSIP: *8H68615 Symbol: IPOF--220218C00010000						
2022-02-18	4.000000	15.78	2022-02-18	36.20	0.00	-20.42	
PAXMEDICA INC COMMON STOCK CUSIP: 70424C104 Symbol: PXMD							
2022-09-27	200.000000	591.95	2022-09-27	622.00	30.05 D	-30.05	
2022-10-19	200.000000	543.08	2022-10-19	550.05	6.97 D	-6.97	
2022-10-20	200.000000	652.95	2022-10-20	652.63	0.00	0.32	
2022-11-21	100.000000	223.48	2022-11-21	224.82	0.67 D	-1.34	
2022-11-28	50.000000	119.23	2022-11-25	112.67	0.00	6.56	
Security Totals:		2,130.69		2,162.17		-31.48	
CALL SPY 09/23/22	377 STANDARD & POORS DEPOSITORY CUSIP: *8SYDWW2 Symbol: SPY---220923C00377000						
2022-09-22	4.000000	991.73	2022-09-22	1,088.43	48.23 D	-96.70	
CALL ICPT 09/16/22	22.50 INTERCEPT PHARMACEUTICALS INC CUSIP: *8KVDWJ5 Symbol: ICPT--220916C00022500						
2022-08-19	1.000000	279.93	2022-08-19	270.05	0.00	9.88	
PUT BBY 09/02/22	12 BED BATH & BEYOND INC CUSIP: *8SWZSM3 Symbol: BBY--220902P00012000						
2022-08-29	1.000000	146.93	2022-08-29	156.05	0.00	-9.12	

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Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

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CALL EAR 09/16/22	5 EARGO INC CUSIP: *8GVWPG5 Symbol: EAR---220916C00005000						
2022-08-17	1.000000	44.93	2022-08-17	50.05	0.00	-5.12	
PUT SPY 09/14/22	394 STANDARD & POORS DEPOSITORY CUSIP: *8SYLLG7 Symbol: SPY---220914P00394000						
2022-09-14	6.000000	698.58	2022-09-14	668.42	0.12 D	30.16	
PUT PFE 02/18/22	48 PFIZER INC CUSIP: *8KJPRV3 Symbol: PFE---220218P00048000						
2022-02-15	6.000000	126.60	2022-02-15	134.41	1.11 D	-7.81	
CALL SPY 03/18/22	437 STANDARD & POORS DEPOSITORY CUSIP: *8KJDXF3 Symbol: SPY---220318C00437000						
2022-03-17	2.000000	323.86	2022-03-17	285.10	0.00	38.76	
CALL KIRK 09/16/22	4 KIRKLAND S INC CUSIP: *8SYKSM8 Symbol: KIRK--220916C00004000						
2022-08-30	2.000000	90.86	2022-08-30	95.22	0.12 D	-4.36	
PUT MRNA 07/15/22	150 MODERNA INC CUSIP: *8KJHKB5 Symbol: MRNA--220715P00150000						
2022-07-15	1.000000	2.93	2022-07-15	14.05	0.00	-11.12	
CALL LMT 02/18/22	400 LOCKHEED MARTIN CORP CUSIP: *8KKBMH6 Symbol: LMT---220218C00400000						
2022-02-18	1.000000	34.93	2022-02-18	20.05	0.00	14.88	
PUT RBLX 02/18/22	50 ROBLOX CORPORATION CUSIP: *8KKFFJ7 Symbol: RBLX--220218P00050000						
2022-02-16	2.000000	91.86	2022-02-16	200.22	51.12 D	-108.36	
CALL LMT 02/18/22	395 LOCKHEED MARTIN CORP CUSIP: *8KKBMF9 Symbol: LMT---220218C00395000						
2022-02-18	1.000000	25.93	2022-02-18	70.05	0.00	-44.12	
CALL JPM 03/18/22	160 JPMORGAN CHASE & CO CUSIP: *8KKXKD7 Symbol: JPM---220318C00160000						
2022-02-17	1.000000	132.93	2022-02-17	161.05	28.12 D	-28.12	
2022-02-18	1.000000	165.93	2022-02-17	185.17	0.00	-19.24	
Security Totals:		298.86		346.22		-47.36	
PUT SAVA 08/19/22	29 CASSAVA SCIENCES INC CUSIP: *8NBMJP7 Symbol: SAVA--220819P00029000						
2022-08-18	1.000000	159.93	2022-08-18	180.05	0.00	-20.12	
CALL SAVA 08/19/22	32 CASSAVA SCIENCES INC CUSIP: *8NBMXC7 Symbol: SAVA--220819C00032000						
2022-08-18	1.000000	374.93	2022-08-18	335.05	0.00	39.88	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

5NE90569

(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL PG 02/18/22	165 PROCTER & GAMBLE CO CUSIP: *8KCLKWV5 Symbol: PG----			220218C00165000			
2022-02-10	2.000000	21.88	2022-02-10	29.10	4.11 D	-7.22	
2022-02-11	1.000000	3.93	2022-02-10	18.16	0.00	-14.23	
Security Totals:		25.81		47.26		-21.45	
CALL MO 02/18/22	52.50 ALTRIA GROUP INC CUSIP: *8KNHQ9 Symbol: MO----			220218C00052500			
2022-02-18	10.000000	29.46	2022-02-18	30.49	0.00	-1.03	
PUT SPY 09/21/22	380 STANDARD & POORS DEPOSITORY CUSIP: *8BTPBR0 Symbol: SPY---			220921P00380000			
2022-09-21	4.000000	877.76	2022-09-21	825.31	11.11 D	52.45	
CALL NIO 01/21/22	32 NIO INC ADS CUSIP: *8KPQRM3 Symbol: NIO---			220121C00032000			
2022-01-20	3.000000	20.86	2022-01-20	36.12	0.00	-15.26	
PUT AAPL 09/30/22	144 APPLE INC CUSIP: *8SXDJD0 Symbol: AAPL--			220930P00144000			
2022-09-28	1.000000	219.93	2022-09-28	201.05	0.00	18.88	
CALL GSAT 09/02/22	2 GLOBALSTAR INC CUSIP: *8NXFFN2 Symbol: GSAT--			220902C00002000			
2022-08-26	10.000000	129.48	2022-08-26	110.49	0.00	18.99	
CALL SPY 09/06/22	394 STANDARD & POORS DEPOSITORY CUSIP: *8PVDTC1 Symbol: SPY---			220906C00394000			
2022-09-06	2.000000	273.86	2022-09-06	245.10	0.00	28.76	
CALL GSAT 09/09/22	3 GLOBALSTAR INC CUSIP: *8SWTJP6 Symbol: GSAT--			220909C00003000			
2022-09-07	1.000000	10.93	2022-09-07	29.05	0.00	-18.12	
CALL MSFT 01/21/22	330 MICROSOFT CORP CUSIP: *8KSFCB9 Symbol: MSFT--			220121C00330000			
2022-01-14	1.000000	29.94	2022-01-14	26.04	0.00	3.90	
PUT SPCE 02/18/22	9 VIRGIN GALACTIC HOLDINGS INC CUSIP: *8KTMQ7 Symbol: SPCE--			220218P00009000			
2022-02-17	5.000000	46.69	2022-02-17	45.25	0.00	1.44	
PUT QQQ 09/06/22	290 INVESCO QQQ TRUST SERIES 1 CUSIP: *8PTQKR3 Symbol: QQQ---			220906P00290000			
2022-09-06	4.000000	411.74	2022-09-06	471.20	0.00	-59.46	
PUT AFRM 09/09/22	20.50 AFFIRM HOLDINGS INC CL A CUSIP: *8SXQPD9 Symbol: AFRM--			220909P00020500			
2022-08-26	1.000000	41.93	2022-08-26	46.05	0.00	-4.12	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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PUT SPY 09/07/22 392 STANDARD & POORS DEPOSITORY CUSIP: *8SYDWH7 Symbol: SPY---220907P00392000							
2022-09-07	4.000000	246.74	2022-09-07	212.20	0.00	34.54	
PUT NVDA 09/02/22 136 NVIDIA CORPORATION CUSIP: *8KWZQL3 Symbol: NVDA--220902P00136000							
2022-09-01	1.000000	142.93	2022-09-01	152.05	0.00	-9.12	
CALL TLRV 03/18/22 8 TILRAY BRANDS INC CUSIP: *8KYWTW0 Symbol: TLRV--220318C00008000							
2022-02-11	1.000000	51.93	2022-02-11	57.05	5.12 D	-5.12	
2022-02-14	3.000000	159.79	Various	161.27	0.00	-1.48	
Security Totals:		211.72		218.32		-6.60	
CALL QQQ 01/21/22 380 INVESCO QQQ TRUST SERIES 1 CUSIP: *8KZFH4 Symbol: QQQ---220121C00380000							
2022-01-18	1.000000	140.94	2022-01-18	128.04	0.00	12.90	
2022-01-19	1.000000	92.94	2022-01-18	115.04	0.00	-22.10	
Security Totals:		233.88		243.08		-9.20	
PUT AAPL 01/07/22 175 APPLE INC CUSIP: *8KZNV56 Symbol: AAPL--220107P00175000							
2022-01-05	3.000000	167.84	2022-01-05	153.12	0.00	14.72	
CALL AAPL 01/07/22 185 APPLE INC CUSIP: *8KZNVW5 Symbol: AAPL--220107C00185000							
2022-01-04	1.000000	85.94	2022-01-04	84.04	0.00	1.90	
SOLENO THERAPEUTICS INC COMMON SHARES CUSIP: 834203309 Symbol: SLNO							
2022-12-19	750.000000	1,225.73	2022-12-19	1,223.59	23.35 D	2.14	
PUT SPY 11/18/22 396 STANDARD & POORS DEPOSITORY CUSIP: *8LNNYR4 Symbol: SPY---221118P00396000							
2022-11-18	1.000000	198.94	2022-11-18	238.04	0.00	-39.10	
CALL TSLA 10/21/22 210 TESLA INC ADJ 3:1 STOCK SPLIT CUSIP: *8NKCY53 Symbol: TSLA--221021C00210000							
2022-10-21	2.000000	472.86	2022-10-21	495.22	15.12 D	-22.36	
PUT TSLA 10/21/22 200 TESLA INC ADJ 3:1 STOCK SPLIT CUSIP: *8NKCYR3 Symbol: TSLA--221021P00200000							
2022-10-20	1.000000	394.93	2022-10-20	315.05	0.00	79.88	
CALL TSLA 11/18/22 200 TESLA INC ADJ 3:1 STOCK SPLIT CUSIP: *8NKDRJ4 Symbol: TSLA--221118C00200000							
2022-11-15	3.000000	1,640.79	2022-11-15	1,687.45	80.33 D	-46.66	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

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PUT TSLA 12/16/22	156.67	TESLA INC ADJ 3:1 STOCK SPLIT CUSIP: *8NKFBV8 Symbol: TSLA--221216P00156670					
2022-12-15	1.000000	276.94	2022-12-15	289.04	0.00	-12.10	
CALL GOOGL 02/18/22	2850	ALPHABET INC CLASS A CUSIP: *8LBKKW2 Symbol: GOOGL-220218C02850000					
2022-02-15	1.000000	254.93	2022-02-15	297.05	0.00	-42.12	
CALL BABA 01/07/22	130	ALIBABA GROUP HOLDING LIMITED CUSIP: *8LCHSC7 Symbol: BABA--220107C00130000					
2022-01-04	1.000000	39.94	2022-01-04	65.04	25.10 D	-25.10	
2022-01-05	1.000000	138.94	2022-01-05	157.14	18.20 D	-18.20	
2022-01-06	2.000000	246.88	2022-01-06	300.58	32.30 D	-53.70	
Security Totals:		425.76		522.76		-97.00	
ATHERSYS INC COMMON STOCK CUSIP: 04744L205 Symbol: ATHX							
2022-09-23	300.000000	511.83	2022-09-23	483.99	0.00	27.84	
CALL BA 01/07/22	215	BOEING CO CUSIP: *8LCHVF5 Symbol: BA----220107C00215000					
2022-01-04	1.000000	162.94	2022-01-04	176.04	0.00	-13.10	
CALL BABA 01/07/22	125	ALIBABA GROUP HOLDING LIMITED CUSIP: *8LCHR5 Symbol: BABA--220107C00125000					
2022-01-06	1.000000	324.94	2022-01-06	230.04	0.00	94.90	
CALL BAC 01/07/22	50	BANK OF AMERICA CORP CUSIP: *8LCHST8 Symbol: BAC---220107C00050000					
2022-01-07	6.000000	25.70	2022-01-07	24.25	0.00	1.45	
CALL BABA 01/07/22	131	ALIBABA GROUP HOLDING LIMITED CUSIP: *8LCHSD0 Symbol: BABA--220107C00131000					
2022-01-05	2.000000	231.88	2022-01-05	247.18	8.10 D	-15.30	
PUT SPY 09/28/22	365	STANDARD & POORS DEPOSITORY CUSIP: *8LJGSG7 Symbol: SPY---220928P00365000					
2022-09-28	2.000000	243.86	2022-09-28	234.10	0.00	9.76	
CALL BABA 01/07/22	135	ALIBABA GROUP HOLDING LIMITED CUSIP: *8LCHSG7 Symbol: BABA--220107C00135000					
2022-01-07	1.000000	62.94	2022-01-07	88.04	0.00	-25.10	
CALL SPY 09/12/22	407	STANDARD & POORS DEPOSITORY CUSIP: *8SXPXM0 Symbol: SPY---220912C00407000					
2022-09-09	3.000000	508.79	2022-09-09	459.51	7.36 D	49.28	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL SPY 09/12/22	406	STANDARD & POORS DEPOSITORY CUSIP: *8SXPXL8 Symbol: SPY---220912C00406000					
2022-09-09	1.000000	187.93	2022-09-09	162.05	0.00	25.88	
CALL CCJ 01/07/22	26	CAMECO CORP CUSIP: *8LCJXH8 Symbol: CCJ---220107C00026000					
2022-01-05	1.000000	56.94	2022-01-05	62.04	0.00	-5.10	
CALL FB 01/07/22	340	META PLATFORMS INC CL A CUSIP: *8LCNMF0 Symbol: FB---220107C00340000					
2022-01-06	1.000000	145.94	2022-01-06	112.04	0.00	33.90	
CALL FB 01/07/22	350	META PLATFORMS INC CL A CUSIP: *8LCNMJ2 Symbol: FB---220107C00350000					
2022-01-04	3.000000	420.82	Various	367.12	0.00	53.70	
CALL XELA 02/18/22	1	EXELA TECHNOLOGIES INC CUSIP: *8LCVKN6 Symbol: XELA--220218C00001000					
2022-01-26	1.000000	18.94	2022-01-26	23.04	0.00	-4.10	
CALL DWAC 09/02/22	25.50	DIGITAL WORLD ACQUISITION CORP CUSIP: *8NWXRD1 Symbol: DWAC--220902C00025500					
2022-09-02	1.000000	38.93	2022-09-02	30.05	0.00	8.88	
CALL CCJ 09/02/22	31	CAMECO CORP CUSIP: *8PVVZP3 Symbol: CCJ---220902C00031000					
2022-08-29	1.000000	45.93	2022-08-29	39.05	0.00	6.88	
CALL SPY 09/14/22	400	STANDARD & POORS DEPOSITORY CUSIP: *8SXBMD1 Symbol: SPY---220914C00400000					
2022-09-08	1.000000	349.93	2022-09-08	314.05	0.00	35.88	
CALL DIS 01/21/22	162.50	WALT DISNEY CO CUSIP: *8LDHND2 Symbol: DIS---220121C00162500					
2022-01-13	1.000000	51.94	2022-01-13	58.04	0.00	-6.10	
CALL FB 01/07/22	332.50	META PLATFORMS INC CL A CUSIP: *8LDJST0 Symbol: FB---220107C00332500					
2022-01-06	1.000000	363.94	2022-01-06	315.04	0.00	48.90	
CALL FREY 10/21/22	16	FREYR BATTERY CUSIP: *8CXNCS8 Symbol: FREY--221021C00016000					
2022-09-22	2.000000	313.86	2022-09-22	292.10	0.00	21.76	
PUT FB 01/07/22	332.50	META PLATFORMS INC CL A CUSIP: *8LDJST1 Symbol: FB---220107P00332500					
2022-01-04	1.000000	172.94	2022-01-04	164.04	0.00	8.90	
CALL SPY 11/18/22	396	STANDARD & POORS DEPOSITORY CUSIP: *8LNNYR2 Symbol: SPY---221118C00396000					
2022-11-18	1.000000	98.94	2022-11-18	119.04	0.00	-20.10	

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Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

5NE90569

(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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PUT F 01/21/22	21.50	FORD MOTOR COMPANY CUSIP: *8LDMSR6 Symbol: F-----220121P00021500					
2022-01-20	1.000000	15.94	2022-01-20	8.04	0.00	7.90	
CALL SPY 09/06/22	392	STANDARD & POORS DEPOSITORY CUSIP: *8PVDTB4 Symbol: SPY---220906C00392000					
2022-09-06	1.000000	110.93	2022-09-06	133.05	0.00	-22.12	
PUT SPY 09/23/22	364	STANDARD & POORS DEPOSITORY CUSIP: *8PVRRV6 Symbol: SPY---220923P00364000					
2022-09-23	1.000000	63.93	2022-09-23	52.05	0.00	11.88	
PUT SPY 10/05/22	370	STANDARD & POORS DEPOSITORY CUSIP: *8PVRS�6 Symbol: SPY---221005P00370000					
2022-10-05	1.000000	91.93	2022-10-05	93.05	0.00	-1.12	
CALL LCID 01/07/22	45	LUCID GROUP INC CUSIP: *8LGQDV1 Symbol: LCID--220107C00045000					
2022-01-07	1.000000	33.94	2022-01-07	27.04	0.00	6.90	
CALL KR 01/07/22	48	KROGER CO CUSIP: *8LGLKN5 Symbol: KR----220107C00048000					
2022-01-07	1.000000	26.94	2022-01-07	25.04	0.00	1.90	
CALL SPY 09/07/22	393	STANDARD & POORS DEPOSITORY CUSIP: *8PKMJH6 Symbol: SPY---220907C00393000					
2022-09-07	3.000000	455.79	2022-09-07	450.15	0.00	5.64	
CALL NVDA 01/07/22	292.50	NVIDIA CORPORATION CUSIP: *8LHDLG5 Symbol: NVDA--220107C00292500					
2022-01-06	1.000000	104.94	2022-01-06	118.04	0.00	-13.10	
CALL PG 01/21/22	167.50	PROCTER & GAMBLE CO CUSIP: *8LHLGB3 Symbol: PG----220121C00167500					
2022-01-21	1.000000	4.94	2022-01-21	6.04	0.00	-1.10	
CALL SOFI 01/21/22	14.50	SOFI TECHNOLOGIES INC CUSIP: *8LJMXL6 Symbol: SOFI--220121C00014500					
2022-01-19	4.000000	99.81	2022-01-19	120.17	0.00	-20.36	
CALL NIO 01/07/22	36	NIO INC ADS CUSIP: *8LJNCY3 Symbol: NIO---220107C00036000					
2022-01-03	2.000000	77.90	2022-01-03	110.08	0.00	-32.18	
CALL NFLX 01/07/22	615	NETFLIX INC CUSIP: *8LJMJZ6 Symbol: NFLX--220107C00615000					
2022-01-04	1.000000	104.94	2022-01-04	116.04	0.00	-11.10	
CALL SOFI 01/21/22	15.50	SOFI TECHNOLOGIES INC CUSIP: *8LJMXR1 Symbol: SOFI--220121C00015500					
2022-01-19	1.000000	9.94	2022-01-19	9.04	0.00	0.90	

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Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

5NE90569

(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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PUT SPY 10/03/22	364	STANDARD & POORS DEPOSITORY CUSIP: *8PXJQC3 Symbol: SPY---221003P00364000					
2022-10-03	1.000000	156.93	2022-10-03	156.05	0.00	0.88	
CALL NVDA 01/07/22	300	NVIDIA CORPORATION CUSIP: *8LPQNN5 Symbol: NVDA--220107C00300000					
2022-01-04	1.000000	240.94	2022-01-04	225.04	0.00	15.90	
2022-01-05	1.000000	89.94	2022-01-05	89.04	0.00	0.90	
2022-01-06	1.000000	35.94	2022-01-06	34.04	0.00	1.90	
Security Totals:		366.82		348.12		18.70	
CALL NVDA 01/07/22	290	NVIDIA CORPORATION CUSIP: *8LPQMN3 Symbol: NVDA--220107C00290000					
2022-01-06	2.000000	292.88	2022-01-06	293.08	0.00	-0.20	
PUT SPY 03/18/22	420	STANDARD & POORS DEPOSITORY CUSIP: *8LPRNL4 Symbol: SPY---220318P00420000					
2022-03-17	1.000000	39.93	2022-03-17	47.05	0.00	-7.12	
CALL SBSW 03/18/22	17.50	SIBANYE STILLWATER ADS CUSIP: *8LQKHR8 Symbol: SBSW--220318C00017500					
2022-02-14	1.000000	64.93	2022-02-14	63.05	0.00	1.88	
PUT SPY 10/14/22	362	STANDARD & POORS DEPOSITORY CUSIP: *8SZXCJ7 Symbol: SPY---221014P00362000					
2022-10-13	1.000000	275.93	2022-10-13	291.05	15.12 D	-15.12	
2022-10-14	1.000000	119.93	2022-10-14	151.17	0.00	-31.24	
Security Totals:		395.86		442.22		-46.36	
PUT QQQ 01/07/22	379	INVESCO QQQ TRUST SERIES 1 CUSIP: *8LRHKG2 Symbol: QQQ---220107P00379000					
2022-01-07	1.000000	51.94	2022-01-07	50.04	0.00	1.90	
CALL QQQ 01/07/22	398	INVESCO QQQ TRUST SERIES 1 CUSIP: *8LRHYR6 Symbol: QQQ---220107C00398000					
2022-01-06	1.000000	1.94	2022-01-06	4.04	0.00	-2.10	
PUT QQQ 01/07/22	380	INVESCO QQQ TRUST SERIES 1 CUSIP: *8LRHKG4 Symbol: QQQ---220107P00380000					
2022-01-07	1.000000	53.94	2022-01-07	33.04	0.00	20.90	
CALL QQQ 01/07/22	390	INVESCO QQQ TRUST SERIES 1 CUSIP: *8LRHRT1 Symbol: QQQ---220107C00390000					
2022-01-07	1.000000	10.94	2022-01-07	17.04	0.00	-6.10	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
***INMED PHARMACEUTICALS INC COM NO PAR CUSIP: 457637601 Symbol: INM							
2022-11-16	50.000000	200.46	2022-11-16	199.46	0.00	1.00	
CALL SPY 09/21/22 384 STANDARD & POORS DEPOSITORY CUSIP: *8KZBPJ9 Symbol: SPY---220921C00384000							
2022-09-21	2.000000	494.88	2022-09-21	522.21	15.11 D	-27.33	
CALL PIXY 01/21/22 2.50 SHIFTPIXY INC CUSIP: *8LVLFT8 Symbol: PIXY--220121C00002500							
2022-01-21	1.000000	0.00	2022-01-14	5.04	0.00	-5.04	
CALL NXTD 01/21/22 4 NXT ID INC CUSIP: *8LVYLR9 Symbol: NXTD--220121C00004000							
2022-01-05	1.000000	29.94	2022-01-05	45.04	0.00	-15.10	
PUT SPY 09/26/22 364 STANDARD & POORS DEPOSITORY CUSIP: *8PVRRX1 Symbol: SPY---220926P00364000							
2022-09-23	4.000000	825.73	2022-09-23	901.43	35.23 D	-75.70	
PUT SPY 01/07/22 464 STANDARD & POORS DEPOSITORY CUSIP: *8LWJBY0 Symbol: SPY---220107P00464000							
2022-01-07	1.000000	79.94	2022-01-07	94.04	0.00	-14.10	
CALL SNOW 01/07/22 320 SNOWFLAKE INC CLASS A CUSIP: *8LWBKQ8 Symbol: SNOW--220107C00320000							
2022-01-05	1.000000	124.94	2022-01-05	110.04	0.00	14.90	
PUT SPY 01/07/22 460 STANDARD & POORS DEPOSITORY CUSIP: *8LWJBQ1 Symbol: SPY---220107P00460000							
2022-01-07	1.000000	0.00	2022-01-07	3.04	0.00	-3.04	
CALL SPY 09/14/22 393 STANDARD & POORS DEPOSITORY CUSIP: *8PYLFM8 Symbol: SPY---220914C00393000							
2022-09-14	1.000000	109.93	2022-09-14	119.05	0.00	-9.12	
CALL SPY 01/07/22 471 STANDARD & POORS DEPOSITORY CUSIP: *8LWJLM0 Symbol: SPY---220107C00471000							
2022-01-07	1.000000	0.94	2022-01-07	3.04	0.00	-2.10	
PUT SPY 01/07/22 467 STANDARD & POORS DEPOSITORY CUSIP: *8LWJCH0 Symbol: SPY---220107P00467000							
2022-01-07	1.000000	80.94	2022-01-07	80.04	0.00	0.90	
CALL MSFT 01/21/22 320 MICROSOFT CORP CUSIP: *8LWHSP6 Symbol: MSFT--220121C00320000							
2022-01-14	1.000000	114.94	2022-01-14	120.04	5.10 D	-5.10	
2022-01-18	1.000000	74.94	2022-01-18	68.14	0.00	6.80	
Security Totals:		189.88		188.18		1.70	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL SNOW 01/07/22	335	SNOWFLAKE INC CLASS A CUSIP: *8LWBLC1 Symbol: SNOW--220107C00335000					
2022-01-04	1.000000	126.94	2022-01-04	120.04	0.00	6.90	
PUT SPY 09/16/22	390	STANDARD & POORS DEPOSITORY CUSIP: *8LWPWN2 Symbol: SPY---220916P00390000					
2022-09-15	2.000000	337.86	2022-09-15	334.10	0.00	3.76	
PUT SPY 10/14/22	352	STANDARD & POORS DEPOSITORY CUSIP: *8PYLSP9 Symbol: SPY---221014P00352000					
2022-10-13	1.000000	286.93	2022-10-13	293.05	0.00	-6.12	
CALL TSLA 01/07/22	1080	TESLA INC CUSIP: *8LXPWR4 Symbol: TSLA--220107C01080000					
2022-01-07	2.000000	150.88	2022-01-07	200.18	27.10 D	-49.30	
CALL AMC 02/18/22	20	AMC ENTERTAINMENT HOLDINGS INC CUSIP: *8LXDSL6 Symbol: AMC---220218C00020000					
2022-02-15	1.000000	58.93	2022-02-15	55.05	0.00	3.88	
CALL UPST 01/07/22	150	UPSTART HOLDINGS INC CUSIP: *8LYGQH4 Symbol: UPST--220107C00150000					
2022-01-04	1.000000	89.94	2022-01-04	89.04	0.00	0.90	
PUT SNAP 02/18/22	35	SNAP INC CUSIP: *8LYRSF3 Symbol: SNAP--220218P00035000					
2022-02-07	1.000000	92.93	2022-02-07	99.05	0.00	-6.12	
CALL WMT 01/07/22	144	WALMART INC CUSIP: *8LYRSZ8 Symbol: WMT---220107C00144000					
2022-01-07	4.000000	115.81	2022-01-07	170.16	0.00	-54.35	
CALL MRNA 01/14/22	247.50	MODERNA INC CUSIP: *8LZQGD0 Symbol: MRNA--220114C00247500					
2022-01-10	1.000000	469.94	2022-01-10	440.04	0.00	29.90	
CALL TSLA 01/21/22	1150	TESLA INC CUSIP: *8NBKQH2 Symbol: TSLA--220121C01150000					
2022-01-19	1.000000	132.94	2022-01-19	189.04	0.00	-56.10	
NEUROBO PHARMACEUTICALS INC COMMON STOCK CUSIP: 64132R206 Symbol: NRBO							
2022-12-09	500.000000	1,126.26	2022-12-09	1,134.95	0.00	-8.69	
CALL MRNA 01/21/22	250	MODERNA INC CUSIP: *8NBPPW3 Symbol: MRNA--220121C00250000					
2022-01-12	1.000000	309.94	2022-01-12	320.04	0.00	-10.10	
PUT AMZN 01/07/22	3150	AMAZON.COM INC CUSIP: *8NCKZL5 Symbol: AMZN--220107P03150000					
2022-01-06	1.000000	291.94	2022-01-06	540.04	0.00	-248.10	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS

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1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT SPY 10/05/22 376 STANDARD & POORS DEPOSITORY CUSIP: *8SZXBW1 Symbol: SPY---221005P00376000							
2022-10-05	3.000000	257.81	2022-10-05	249.15	0.00	8.66	
CALL NIO 02/18/22 25 NIO INC ADS CUSIP: *8NCYQS6 Symbol: NIO---220218C00025000							
2022-02-07	1.000000	126.93	2022-02-07	114.05	0.00	12.88	
2022-02-14	1.000000	59.93	2022-02-14	55.05	0.00	4.88	
Security Totals:		186.86		169.10		17.76	
ADITXT INC COMMON STOCK CUSIP: 007025505 Symbol: ADTX							
2022-09-26	250.000000	843.70	2022-09-26	840.93	9.51 D	2.77	
2022-09-30	200.000000	716.69	2022-09-30	699.05	0.00	17.64	
Security Totals:		1,560.39		1,539.98		20.41	
NAUTICUS ROBOTICS INC COMMON STOCK CUSIP: 63911H108 Symbol: KITT							
2022-09-14	65.000000	419.88	2022-09-14	438.35	18.47 D	-18.47	
2022-10-05	100.000000	598.94	2022-10-05	544.47	0.00	54.47	
Security Totals:		1,018.82		982.82		36.00	
PUT SPY 10/19/22 370 STANDARD & POORS DEPOSITORY CUSIP: *8GYYP3 Symbol: SPY---221019P00370000							
2022-10-19	6.000000	982.60	2022-10-19	974.54	5.24 D	8.06	
CALL AMD 03/18/22 115 ADVANCED MICRO DEVICES INC CUSIP: *8NHBT6 Symbol: AMD---220318C00115000							
2022-03-18	9.000000	398.49	2022-03-18	370.44	0.00	28.05	
CALL SKYT 09/16/22 12.50 SKYWATER TECHNOLOGY INC CUSIP: *8LKYS3 Symbol: SKYT--220916C00012500							
2022-09-13	3.000000	204.81	2022-09-13	200.15	0.00	4.66	
CALL AMD 10/07/22 68 ADVANCED MICRO DEVICES INC CUSIP: *8SYRYM3 Symbol: AMD---221007C00068000							
2022-09-29	1.000000	92.93	2022-09-29	103.05	10.12 D	-10.12	
2022-10-03	8.000000	735.50	2022-10-03	704.09	12.57 D	31.41	
Security Totals:		828.43		807.14		21.29	
PUT AMD 03/18/22 105 ADVANCED MICRO DEVICES INC CUSIP: *8NHBT0 Symbol: AMD---220318P00105000							
2022-03-16	2.000000	87.86	2022-03-16	115.22	4.12 D	-27.36	

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Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
AMPRIUS TECHNOLOGIES INC COMMON STOCK CUSIP: 03214Q108 Symbol: AMPX							
2022-10-21	50.000000	551.97	2022-10-21	575.47	0.00	-23.50	
CALL TSLA 02/04/22 1300 TESLA INC CUSIP: *8NJZLS8 Symbol: TSLA--220204C01300000							
2022-01-21	1.000000	260.94	2022-01-21	261.04	0.00	-0.10	
CALL SPY 10/05/22 375 STANDARD & POORS DEPOSITORY CUSIP: *8PVRSL7 Symbol: SPY---221005C00375000							
2022-10-05	2.000000	262.86	2022-10-05	256.22	4.12 D	6.64	
CALL SPY 12/30/22 381 STANDARD & POORS DEPOSITORY CUSIP: *8SWLNW0 Symbol: SPY---221230C00381000							
2022-12-30	1.000000	122.94	2022-12-30	119.04	0.00	3.90	
PUT SPY 04/14/22 440 STANDARD & POORS DEPOSITORY CUSIP: *8NKGJG6 Symbol: SPY---220414P00440000							
2022-04-14	1.000000	112.93	2022-04-14	92.05	0.00	20.88	
PUT NVDA 03/18/22 195 NVIDIA CORPORATION ADJ 4:1 STOCK SPLIT CUSIP: *8NKRVS4 Symbol: NVDA--220318P00195000							
2022-03-15	2.000000	75.87	2022-03-15	84.10	0.00	-8.23	
PUT NVDA 03/18/22 210 NVIDIA CORPORATION ADJ 4:1 STOCK SPLIT CUSIP: *8NKRWJ5 Symbol: NVDA--220318P00210000							
2022-03-15	1.000000	138.93	2022-03-15	151.05	0.00	-12.12	
CALL NVDA 03/18/22 265 NVIDIA CORPORATION ADJ 4:1 STOCK SPLIT CUSIP: *8NKRZM7 Symbol: NVDA--220318C00265000							
2022-03-18	2.000000	192.86	2022-03-18	202.10	0.00	-9.24	
PUT NVDA 01/21/22 245 NVIDIA CORPORATION ADJ 4:1 STOCK SPLIT CUSIP: *8NKRCK7 Symbol: NVDA--220121P00245000							
2022-01-20	1.000000	164.94	2022-01-20	198.04	0.00	-33.10	
PUT NVDA 03/18/22 216.25 NVIDIA CORPORATION ADJ 4:1 STOCK SPLIT CUSIP: *8NKRWK5 Symbol: NVDA--220318P00216250							
2022-03-15	1.000000	494.93	2022-03-15	530.05	0.00	-35.12	
CALL NVDA 01/21/22 290 NVIDIA CORPORATION ADJ 4:1 STOCK SPLIT CUSIP: *8NKRJY8 Symbol: NVDA--220121C00290000							
2022-01-18	1.000000	47.94	2022-01-18	48.04	0.00	-0.10	
CALL SPY 09/30/22 362 STANDARD & POORS DEPOSITORY CUSIP: *8GDVVT5 Symbol: SPY---220930C00362000							
2022-09-30	1.000000	188.93	2022-09-30	199.05	0.00	-10.12	
CALL LCID 01/07/22 42 LUCID GROUP INC CUSIP: *8NLLPC4 Symbol: LCID--220107C00042000							
2022-01-03	3.000000	316.84	2022-01-03	324.12	0.00	-7.28	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL LCID 01/07/22	43 LUCID GROUP INC CUSIP: *8NLLWC3 Symbol: LCID--220107C00043000						
2022-01-03	1.000000	72.94	2022-01-03	70.04	0.00	2.90	
2022-01-07	3.000000	94.82	2022-01-07	86.12	0.00	8.70	
Security Totals:		167.76		156.16		11.60	
CALL LCID 01/07/22	41 LUCID GROUP INC CUSIP: *8NLLPC0 Symbol: LCID--220107C00041000						
2022-01-03	1.000000	102.94	2022-01-03	98.04	0.00	4.90	
CALL LCID 01/07/22	44 LUCID GROUP INC CUSIP: *8NLMKF8 Symbol: LCID--220107C00044000						
2022-01-07	1.000000	38.94	2022-01-07	26.04	0.00	12.90	
CALL AAPL 02/04/22	175 APPLE INC CUSIP: *8NNLDX8 Symbol: AAPL--220204C00175000						
2022-02-04	8.000000	63.55	2022-02-04	64.49	1.10 D	-0.94	
CALL AAPL 02/04/22	170 APPLE INC CUSIP: *8NNLDR7 Symbol: AAPL--220204C00170000						
2022-01-28	1.000000	178.94	2022-01-28	171.04	0.00	7.90	
NEXALIN TECHNOLOGY INC COMMON STOCK CUSIP: 65345B201 Symbol: NXL							
2022-11-21	300.000000	759.53	2022-11-21	813.82	8.94 D	-54.29	
SONNET BIOTHERAPEUTICS HOLDINGS INC COMMON STOCK CUSIP: 83548R204 Symbol: SONN							
2022-11-01	200.000000	419.96	2022-11-01	399.90	0.00	20.06	
CALL AAPL 02/04/22	172.50 APPLE INC CUSIP: *8NNLDW8 Symbol: AAPL--220204C00172500						
2022-02-04	1.000000	53.93	2022-02-04	47.05	0.00	6.88	
CALL SPY 09/21/22	387 STANDARD & POORS DEPOSITORY CUSIP: *8PWYQ0 Symbol: SPY---220921C00387000						
2022-09-21	2.000000	391.88	2022-09-21	446.21	38.11 D	-54.33	
PUT SPY 09/26/22	363 STANDARD & POORS DEPOSITORY CUSIP: *8PWYRW3 Symbol: SPY---220926P00363000						
2022-09-23	1.000000	137.93	2022-09-23	160.05	0.00	-22.12	
PUT SPY 01/03/22	475 STANDARD & POORS DEPOSITORY CUSIP: *8NNPMH1 Symbol: SPY---220103P00475000						
2022-01-03	3.000000	158.84	2022-01-03	211.21	21.09 D	-52.37	
CALL QQQ 01/03/22	401 INVESCO QQQ TRUST SERIES 1 CUSIP: *8NNGX0 Symbol: QQQ---220103C00401000						
2022-01-03	2.000000	69.88	2022-01-03	64.08	0.00	5.80	

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Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

5NE90569

(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

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CALL TSLA 01/07/22	1300	TESLA INC CUSIP: *8NNQFV2 Symbol: TSLA--220107C01300000					
2022-01-03	1.000000	624.94	2022-01-03	610.04	0.00	14.90	
PUT QQQ 01/05/22	392	INVESCO QQQ TRUST SERIES 1 CUSIP: *8NNXWP3 Symbol: QQQ---220105P00392000					
2022-01-05	3.000000	159.82	2022-01-05	139.12	0.00	20.70	
CALL QQQ 01/05/22	400	INVESCO QQQ TRUST SERIES 1 CUSIP: *8NNXWY7 Symbol: QQQ---220105C00400000					
2022-01-05	1.000000	0.00	2022-01-05	2.04	0.00	-2.04	
PUT QQQ 01/05/22	389	INVESCO QQQ TRUST SERIES 1 CUSIP: *8NNXWN7 Symbol: QQQ---220105P00389000					
2022-01-05	1.000000	26.94	2022-01-05	20.04	0.00	6.90	
PUT QQQ 01/05/22	400	INVESCO QQQ TRUST SERIES 1 CUSIP: *8NNXWY9 Symbol: QQQ---220105P00400000					
2022-01-04	1.000000	135.94	2022-01-04	132.04	0.00	3.90	
CALL QQQ 01/05/22	398	INVESCO QQQ TRUST SERIES 1 CUSIP: *8NNXWY1 Symbol: QQQ---220105C00398000					
2022-01-05	1.000000	0.00	2022-01-05	4.04	0.00	-4.04	
CALL QQQ 01/05/22	396	INVESCO QQQ TRUST SERIES 1 CUSIP: *8NNXWX7 Symbol: QQQ---220105C00396000					
2022-01-05	3.000000	2.86	2022-01-05	37.12	0.00	-34.26	
PUT QQQ 01/05/22	390	INVESCO QQQ TRUST SERIES 1 CUSIP: *8NNXWN9 Symbol: QQQ---220105P00390000					
2022-01-05	12.000000	326.35	2022-01-05	333.01	17.53 D	-6.66	
PUT QQQ 01/05/22	393	INVESCO QQQ TRUST SERIES 1 CUSIP: *8NNXWP5 Symbol: QQQ---220105P00393000					
2022-01-05	1.000000	72.94	2022-01-05	88.04	0.00	-15.10	
PUT QQQ 01/05/22	398	INVESCO QQQ TRUST SERIES 1 CUSIP: *8NNXWY2 Symbol: QQQ---220105P00398000					
2022-01-04	1.000000	78.94	2022-01-04	84.04	0.00	-5.10	
PUT AAL 03/18/22	15	AMERICAN AIRLINES GROUP INC CUSIP: *8NNSRC2 Symbol: AAL---220318P00015000					
2022-03-15	5.000000	157.67	2022-03-15	145.25	0.00	12.42	
CALL QQQ 01/05/22	399	INVESCO QQQ TRUST SERIES 1 CUSIP: *8NNXWY3 Symbol: QQQ---220105C00399000					
2022-01-04	1.000000	52.94	2022-01-04	57.04	4.10 D	-4.10	
2022-01-05	1.000000	0.00	2022-01-05	7.14	0.00	-7.14	
Security Totals:		52.94		64.18		-11.24	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT QQQ 01/05/22	399	INVESTCO QQQ TRUST SERIES 1	CUSIP: *8NNXWY6 Symbol: QQQ---220105P00399000				
2022-01-04	1.000000	165.94	2022-01-04	126.04	0.00	39.90	
CALL SPY 01/05/22	485	STANDARD & POORS DEPOSITORY	CUSIP: *8NNYHG2 Symbol: SPY---220105C00485000				
2022-01-03	1.000000	5.94	2021-12-31	11.02	0.00	-5.08	
CALL AAPL 01/14/22	180	APPLE INC	CUSIP: *8NNZRW2 Symbol: AAPL--220114C00180000				
2022-01-07	1.000000	44.94	2022-01-07	40.04	0.00	4.90	
PUT ABNB 03/18/22	150	AIRBNB INC	CUSIP: *8NPKGH1 Symbol: ABNB--220318P00150000				
2022-03-17	1.000000	153.93	2022-03-17	199.05	0.00	-45.12	
CALL BBIG 01/14/22	3.50	VINCO VENTURES INC	CUSIP: *8NPQXC7 Symbol: BBIG--220114C00003500				
2022-01-12	1.000000	18.94	2022-01-12	19.04	0.00	-0.10	
CALL BYND 01/14/22	80	BEYOND MEAT INC	CUSIP: *8NPXBN8 Symbol: BYND--220114C00080000				
2022-01-13	2.000000	110.88	2022-01-13	107.08	0.00	3.80	
CALL F 02/04/22	20	FORD MOTOR COMPANY	CUSIP: *8NPZLG5 Symbol: F-----220204C00020000				
2022-01-28	6.000000	315.71	Various	450.33	29.09 D	-134.62	
PUT F 02/04/22	18	FORD MOTOR COMPANY	CUSIP: *8NPZKR7 Symbol: F-----220204P00018000				
2022-01-31	1.000000	22.94	2022-01-31	29.04	0.00	-6.10	
CALL GOOGL 02/04/22	3000	ALPHABET INC CLASS A	CUSIP: *8NQDCB1 Symbol: GOOGL-220204C03000000				
2022-02-01	1.000000	419.93	2022-02-01	414.05	0.00	5.88	
CALL CRWD 01/14/22	190	CROWDSTRIKE HOLDINGS INC CL A	CUSIP: *8NQHBH5 Symbol: CRWD--220114C00190000				
2022-01-12	1.000000	369.94	2022-01-12	340.04	0.00	29.90	
CALL CRWD 01/14/22	195	CROWDSTRIKE HOLDINGS INC CL A	CUSIP: *8NQHBH7 Symbol: CRWD--220114C00195000				
2022-01-12	2.000000	364.88	2022-01-12	373.18	6.10 D	-8.30	
CALL SPY 10/28/22	382	STANDARD & POORS DEPOSITORY	CUSIP: *8SYYDD7 Symbol: SPY---221028C00382000				
2022-10-26	1.000000	358.93	2022-10-26	377.05	0.00	-18.12	
PUT SPY 11/18/22	393	STANDARD & POORS DEPOSITORY	CUSIP: *8SZRGJ2 Symbol: SPY---221118P00393000				
2022-11-17	1.000000	191.94	2022-11-17	214.04	0.00	-22.10	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL AMC 01/21/22	20 AMC ENTERTAINMENT HOLDINGS INC CUSIP: *8NSRWB8 Symbol: AMC---220121C00020000						
2022-01-20	1.000000	25.94	2022-01-20	39.04	0.00	-13.10	
PUT SPY 10/26/22	383 STANDARD & POORS DEPOSITORY CUSIP: *8GSCSM3 Symbol: SPY---221026P00383000						
2022-10-25	1.000000	211.93	2022-10-25	217.05	0.00	-5.12	
CALL SOFI 10/28/22	5.50 SOFI TECHNOLOGIES INC CUSIP: *8SYXYL5 Symbol: SOFI--221028C00005500						
2022-10-20	1.000000	9.93	2022-10-20	10.05	0.00	-0.12	
***TOP SHIPS INC COMMON STOCK CUSIP: Y8897Y198 Symbol: TOPS							
2022-09-23	100.000000	269.97	2022-09-23	266.99	0.00	2.98	
2022-12-01	150.000000	809.40	2022-12-01	750.96	0.00	58.44	
Security Totals:		1,079.37		1,017.95		61.42	
PUT AAPL 11/04/22	150 APPLE INC CUSIP: *8GSRLX2 Symbol: AAPL--221104P00150000						
2022-10-31	2.000000	352.86	2022-10-31	386.22	14.12 D	-33.36	
PUT SPY 11/04/22	372 STANDARD & POORS DEPOSITORY CUSIP: *8LWNKR6 Symbol: SPY---221104P00372000						
2022-11-03	4.000000	1,075.76	2022-11-03	1,140.46	32.30 D	-64.70	
CALL SPY 11/04/22	374 STANDARD & POORS DEPOSITORY CUSIP: *8LWNLX0 Symbol: SPY---221104C00374000						
2022-11-04	2.000000	509.88	2022-11-04	466.08	0.00	43.80	
CALL SPY 09/26/22	365 STANDARD & POORS DEPOSITORY CUSIP: *8NXWXC6 Symbol: SPY---220926C00365000						
2022-09-23	1.000000	424.93	2022-09-23	397.05	0.00	27.88	
CALL SPY 09/28/22	367 STANDARD & POORS DEPOSITORY CUSIP: *8PWYTF8 Symbol: SPY---220928C00367000						
2022-09-28	11.000000	1,334.32	2022-09-28	1,516.02	194.47 D	-181.70	
CALL SPY 10/19/22	376 STANDARD & POORS DEPOSITORY CUSIP: *8CYHTG1 Symbol: SPY---221019C00376000						
2022-10-19	1.000000	19.93	2022-10-19	40.05	0.00	-20.12	
CALL SPY 09/30/22	366 STANDARD & POORS DEPOSITORY CUSIP: *8GDVWV7 Symbol: SPY---220930C00366000						
2022-09-29	2.000000	507.86	2022-09-29	569.22	23.12 D	-61.36	
CALL SPY 10/26/22	383 STANDARD & POORS DEPOSITORY CUSIP: *8GSCSL5 Symbol: SPY---221026C00383000						
2022-10-25	2.000000	556.86	2022-10-25	556.10	0.00	0.76	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT TSLA 11/04/22	205 TESLA INC CUSIP: *8NZBZM2 Symbol: TSLA--221104P00205000						
2022-11-04	2.000000	461.88	2022-11-04	414.08	0.00	47.80	
CALL SPY 10/03/22	362 STANDARD & POORS DEPOSITORY CUSIP: *8PXJQC0 Symbol: SPY---221003C00362000						
2022-09-30	5.000000	902.70	2022-09-30	1,166.36	68.11 D	-263.66	
CALL FB 01/21/22	340 META PLATFORMS INC CL A CUSIP: *8NWFCC9 Symbol: FB----220121C00340000						
2022-01-14	2.000000	421.90	2022-01-14	388.08	0.00	33.82	
KIORA PHARMACEUTICALS INC COMMON STOCK CUSIP: 49721T309 Symbol: KPRX							
2022-10-14	115.000000	788.88	2022-10-14	827.64	0.00	-38.76	
CALL SPY 10/14/22	364 STANDARD & POORS DEPOSITORY CUSIP: *8SZXCJ8 Symbol: SPY---221014C00364000						
2022-10-13	1.000000	266.93	2022-10-13	289.05	22.12 D	-22.12	
2022-10-14	1.000000	198.93	2022-10-14	435.17	0.00	-236.24	
Security Totals:		465.86		724.22		-258.36	
PUT BA 03/18/22	160 BOEING CO CUSIP: *8NYYNY0 Symbol: BA---220318P00160000						
2022-03-14	5.000000	414.70	2022-03-14	525.25	0.00	-110.55	
CALL BA 03/18/22	220 BOEING CO CUSIP: *8NYNZW3 Symbol: BA---220318C00220000						
2022-03-02	1.000000	134.93	2022-03-02	147.05	0.00	-12.12	
ATLIS MOTOR VEHICLES INC CLASS A COMMON STOCK CUSIP: 049506108 Symbol: AMV							
2022-10-06	14.000000	239.96	2022-10-06	262.32	9.82 D	-22.36	
PUT SPY 10/05/22	373 STANDARD & POORS DEPOSITORY CUSIP: *8NYLZR8 Symbol: SPY---221005P00373000						
2022-10-04	4.000000	683.74	2022-10-04	789.42	49.22 D	-105.68	
CALL SPY 11/02/22	390 STANDARD & POORS DEPOSITORY CUSIP: *8W35962 Symbol: SPY---221102C00390000						
2022-11-01	3.000000	408.84	2022-11-01	471.22	14.10 D	-62.38	
CALL MSFT 02/04/22	325 MICROSOFT CORP CUSIP: *8PBFBG5 Symbol: MSFT--220204C00325000						
2022-02-01	3.000000	64.81	2022-02-01	80.26	4.11 D	-15.45	
CALL AMD 11/04/22	60 ADVANCED MICRO DEVICES INC CUSIP: *8NLRVG8 Symbol: AMD---221104C00060000						
2022-11-03	1.000000	84.94	2022-11-03	89.04	0.00	-4.10	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL AAPL 02/18/22	175 APPLE INC CUSIP: *8PCHFZ5 Symbol: AAPL--220218C00175000						
2022-02-11	1.000000	132.93	2022-02-11	120.05	0.00	12.88	
LASER PHOTONICS CORPORATION COMMON STOCK CUSIP: 51807Q100 Symbol: LASE							
2022-10-13	100.000000	418.98	2022-10-13	421.50	0.00	-2.52	
CALL LCID 01/14/22	46 LUCID GROUP INC CUSIP: *8PDJGX3 Symbol: LCID--220114C00046000						
2022-01-11	2.000000	236.88	2022-01-11	252.18	14.10 D	-15.30	
PUT MSFT 01/14/22	310 MICROSOFT CORP CUSIP: *8PDKMF7 Symbol: MSFT--220114P00310000						
2022-01-10	1.000000	444.94	2022-01-10	455.04	0.00	-10.10	
CALL SPY 11/11/22	385 STANDARD & POORS DEPOSITORY CUSIP: *8X40778 Symbol: SPY---221111C00385000						
2022-11-10	1.000000	608.93	2022-11-10	601.04	0.00	7.89	
PUT SPY 11/11/22	385 STANDARD & POORS DEPOSITORY CUSIP: *8X40779 Symbol: SPY---221111P00385000						
2022-11-10	1.000000	132.94	2022-11-10	124.04	0.00	8.90	
CALL MRNA 01/14/22	270 MODERNA INC CUSIP: *8PDKLB3 Symbol: MRNA--220114C00270000						
2022-01-10	1.000000	188.94	2022-01-10	186.04	0.00	2.90	
PUT NVDA 01/14/22	265 NVIDIA CORPORATION CUSIP: *8PDLRF4 Symbol: NVDA--220114P00265000						
2022-01-14	2.000000	275.88	2022-01-14	259.08	0.00	16.80	
CALL NVDA 01/14/22	275 NVIDIA CORPORATION CUSIP: *8PDLRF7 Symbol: NVDA--220114C00275000						
2022-01-14	1.000000	133.94	2022-01-14	98.04	0.00	35.90	
CALL NVDA 01/14/22	300 NVIDIA CORPORATION CUSIP: *8PDLRG9 Symbol: NVDA--220114C00300000						
2022-01-11	2.000000	135.88	2022-01-11	123.08	0.00	12.80	
CALL NVDA 01/14/22	290 NVIDIA CORPORATION CUSIP: *8PDLRG5 Symbol: NVDA--220114C00290000						
2022-01-11	2.000000	318.88	2022-01-11	279.08	0.00	39.80	
CALL NVDA 01/14/22	280 NVIDIA CORPORATION CUSIP: *8PDLRF9 Symbol: NVDA--220114C00280000						
2022-01-11	1.000000	404.94	2022-01-11	315.04	0.00	89.90	
2022-01-13	1.000000	121.94	2022-01-13	116.04	0.00	5.90	
Security Totals:		526.88		431.08		95.80	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT PYPL 01/14/22	175	PAYPAL HOLDINGS INC CUSIP: *8PDMMSG1 Symbol: PYPL--220114P00175000					
2022-01-14	1.000000	51.94	2022-01-14	49.04	0.00	2.90	
CALL TSLA 01/21/22	1000	TESLA INC CUSIP: *8PDNXM5 Symbol: TSLA--220121C01000000					
2022-01-21	3.000000	714.82	2022-01-21	1,408.42	511.30 D	-693.60	
PUT QQQ 01/14/22	375	INVESCO QQQ TRUST SERIES 1 CUSIP: *8PDMXP3 Symbol: QQQ---220114P00375000					
2022-01-13	3.000000	205.82	2022-01-13	205.22	11.10 D	0.60	
2022-01-14	1.000000	93.94	2022-01-14	97.04	0.00	-3.10	
Security Totals:		299.76		302.26		-2.50	
PUT QQQ 01/14/22	380	INVESCO QQQ TRUST SERIES 1 CUSIP: *8PDMXP7 Symbol: QQQ---220114P00380000					
2022-01-13	2.000000	380.88	2022-01-13	426.18	13.10 D	-45.30	
CALL SAVA 01/14/22	55	CASSAVA SCIENCES INC CUSIP: *8PDPLD1 Symbol: SAVA--220114C00055000					
2022-01-05	1.000000	314.94	2022-01-05	287.04	0.00	27.90	
RA MEDICAL SYSTEMS INC COMMON STOCK		CUSIP: 74933X302 Symbol: RMED					
2022-10-03	62.000000	387.49	2022-10-03	398.04	10.01 D	-10.55	
2022-10-05	50.000000	364.48	2022-10-05	370.51	0.00	-6.03	
2022-12-01	295.000000	919.15	2022-12-01	954.29	36.13 D	-35.14	
2022-12-02	105.000000	449.38	2022-12-02	461.40	0.00	-12.02	
Security Totals:		2,120.50		2,184.24		-63.74	
PUT AAPL 01/21/22	165	APPLE INC ADJ 4:1 STOCK SPLIT CUSIP: *8PDPQT9 Symbol: AAPL--220121P00165000					
2022-01-18	1.000000	58.94	2022-01-18	62.04	0.00	-3.10	
CALL NVDA 02/04/22	300	NVIDIA CORPORATION CUSIP: *8PDRRN2 Symbol: NVDA--220204C00300000					
2022-01-21	2.000000	97.88	2022-01-21	101.08	0.00	-3.20	
CALL TSLA 10/28/22	225	TESLA INC CUSIP: *8SYYQB1 Symbol: TSLA--221028C00225000					
2022-10-26	1.000000	449.92	2022-10-26	440.05	0.00	9.87	
PUT META 11/11/22	100	META PLATFORMS INC CL A CUSIP: *8X25913 Symbol: META--221111P00100000					
2022-11-09	1.000000	102.94	2022-11-09	107.04	0.00	-4.10	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

5NE90569

(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT SPY 01/14/22	467.50	STANDARD & POORS DEPOSITORY CUSIP: *8PDTJF8 Symbol: SPY---220114P00467500					
2022-01-13	1.000000	164.94	2022-01-13	152.04	0.00	12.90	
CALL TLR 01/14/22	7.50	TILRAY BRANDS INC CUSIP: *8PDVDT2 Symbol: TLR--220114C00007500					
2022-01-10	1.000000	22.94	2022-01-10	27.04	0.00	-4.10	
CALL TSLA 01/14/22	1100	TESLA INC CUSIP: *8PDVSC8 Symbol: TSLA--220114C01100000					
2022-01-13	1.000000	649.94	2022-01-13	615.04	0.00	34.90	
CALL CRWD 01/21/22	200	CROWDSTRIKE HOLDINGS INC CL A CUSIP: *8PDTLR1 Symbol: CRWD--220121C00200000					
2022-01-11	1.000000	434.94	2022-01-07	390.04	0.00	44.90	
2022-01-12	1.000000	193.94	2022-01-12	175.04	0.00	18.90	
Security Totals:		628.88		565.08		63.80	
CALL CRWD 01/21/22	190	CROWDSTRIKE HOLDINGS INC CL A CUSIP: *8PDTLQ7 Symbol: CRWD--220121C00190000					
2022-01-14	1.000000	151.94	2022-01-14	119.04	0.00	32.90	
CALL TSLA 01/14/22	1150	TESLA INC CUSIP: *8PDVQ9 Symbol: TSLA--220114C01150000					
2022-01-11	1.000000	339.94	2022-01-11	330.04	0.00	9.90	
CALL OCGN 02/04/22	4	OCUGEN INC CUSIP: *8PDVQ1 Symbol: OCGN--220204C00004000					
2022-02-01	1.000000	15.93	2022-02-01	12.05	0.00	3.88	
CALL WMT 01/14/22	149	WALMART INC CUSIP: *8PDXKF5 Symbol: WMT---220114C00149000					
2022-01-14	1.000000	5.94	2022-01-14	5.04	0.00	0.90	
CALL SPY 11/07/22	375	STANDARD & POORS DEPOSITORY CUSIP: *8W60356 Symbol: SPY---221107C00375000					
2022-11-04	1.000000	185.94	2022-11-04	193.04	0.00	-7.10	
CALL LCID 01/14/22	45	LUCID GROUP INC CUSIP: *8PFBZ5 Symbol: LCID--220114C00045000					
2022-01-11	1.000000	139.94	2022-01-11	100.04	0.00	39.90	
CALL QQQ 02/18/22	344	INVESCO QQQ TRUST SERIES 1 CUSIP: *8PFBXY2 Symbol: QQQ---220218C00344000					
2022-02-18	2.000000	242.86	2022-02-18	292.22	13.12 D	-49.36	
CALL MARA 01/14/22	30	MARATHON DIGITAL HOLDINGS INC CUSIP: *8PFBQD8 Symbol: MARA--220114C00030000					
2022-01-11	2.000000	183.90	2022-01-11	220.08	0.00	-36.18	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL XOM 02/18/22	80	EXXON MOBIL CORP CUSIP: *8PFCCC1 Symbol: XOM---220218C00080000					
2022-02-11	2.000000	204.86	2022-02-11	192.10	0.00	12.76	
CALL SPY 01/10/22	472	STANDARD & POORS DEPOSITORY CUSIP: *8PFCBL2 Symbol: SPY---220110C00472000					
2022-01-07	4.000000	162.78	2022-01-07	136.17	0.00	26.61	
PUT TSLA 01/14/22	1020	TESLA INC CUSIP: *8PFCGQ7 Symbol: TSLA--220114P01020000					
2022-01-13	1.000000	285.94	2022-01-13	335.04	0.00	-49.10	
CALL AFRM 01/07/22	90	AFFIRM HOLDINGS INC CL A CUSIP: *8PFDNN5 Symbol: AFRM--220107C00090000					
2022-01-05	1.000000	157.94	2022-01-05	145.04	0.00	12.90	
CALL AAPL 01/14/22	172.50	APPLE INC CUSIP: *8PFCXV6 Symbol: AAPL--220114C00172500					
2022-01-10	1.000000	123.94	2022-01-10	110.04	0.00	13.90	
2022-01-14	1.000000	70.94	2022-01-14	65.04	0.00	5.90	
Security Totals:		194.88		175.08		19.80	
CALL TSLA 03/18/22	920	TESLA INC CUSIP: *8PFSSC7 Symbol: TSLA--220318C00920000					
2022-03-18	2.000000	189.86	2022-03-18	180.10	0.00	9.76	
CALL NET 01/07/22	120	CLOUDFLARE INC CL A CUSIP: *8PFWWS5 Symbol: NET---220107C00120000					
2022-01-04	1.000000	142.94	2022-01-04	140.04	0.00	2.90	
PUT SPY 01/03/22	474	STANDARD & POORS DEPOSITORY CUSIP: *8PFXYL0 Symbol: SPY---220103P00474000					
2022-01-03	3.000000	246.82	2022-01-03	235.12	0.00	11.70	
CALL SPY 01/03/22	478	STANDARD & POORS DEPOSITORY CUSIP: *8PFXYL6 Symbol: SPY---220103C00478000					
2022-01-03	1.000000	17.94	2022-01-03	16.04	0.00	1.90	
PUT SPY 01/05/22	473	STANDARD & POORS DEPOSITORY CUSIP: *8PFXY6 Symbol: SPY---220105P00473000					
2022-01-04	1.000000	58.94	2022-01-04	51.04	0.00	7.90	
PUT TSLA 10/07/22	237.50	TESLA INC CUSIP: *8W37933 Symbol: TSLA--221007P00237500					
2022-10-04	1.000000	241.93	2022-10-04	195.05	0.00	46.88	
PUT SPY 01/03/22	476	STANDARD & POORS DEPOSITORY CUSIP: *8PFXYL2 Symbol: SPY---220103P00476000					
2022-01-03	2.000000	147.90	2022-01-03	110.08	0.00	37.82	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

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1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL SPY 01/03/22	479	STANDARD & POORS DEPOSITORY CUSIP: *8PFXYL8 Symbol: SPY---220103C00479000					
2022-01-03	9.000000	71.58	2022-01-03	97.56	6.18 D	-25.98	
PUT SPY 01/05/22	478	STANDARD & POORS DEPOSITORY CUSIP: *8PFXYZ6 Symbol: SPY---220105P00478000					
2022-01-04	1.000000	90.94	2022-01-04	114.04	0.00	-23.10	
PUT QQQ 01/12/22	386	INVESCO QQQ TRUST SERIES 1 CUSIP: *8PGKDM3 Symbol: QQQ---220112P00386000					
2022-01-12	1.000000	100.94	2022-01-12	120.04	0.00	-19.10	
PUT SPY 01/14/22	459	STANDARD & POORS DEPOSITORY CUSIP: *8PGKYZ9 Symbol: SPY---220114P00459000					
2022-01-14	1.000000	34.94	2022-01-14	34.04	0.00	0.90	
CALL MRNA 01/14/22	250	MODERNA INC CUSIP: *8PGJDP8 Symbol: MRNA--220114C00250000					
2022-01-10	1.000000	454.94	2022-01-10	435.04	0.00	19.90	
2022-01-12	1.000000	81.94	2022-01-12	78.04	0.00	3.90	
Security Totals:		536.88		513.08		23.80	
PUT SPY 01/12/22	470	STANDARD & POORS DEPOSITORY CUSIP: *8PGKXN8 Symbol: SPY---220112P00470000					
2022-01-12	2.000000	115.88	2022-01-12	149.18	12.10 D	-33.30	
PUT QQQ 01/14/22	381	INVESCO QQQ TRUST SERIES 1 CUSIP: *8PGKFQ3 Symbol: QQQ---220114P00381000					
2022-01-13	1.000000	233.94	2022-01-13	211.04	0.00	22.90	
CALL LCID 01/14/22	44	LUCID GROUP INC CUSIP: *8PGHPL7 Symbol: LCID--220114C00044000					
2022-01-14	1.000000	7.94	2022-01-14	6.04	0.00	1.90	
PUT NVDA 01/14/22	220	NVIDIA CORPORATION CUSIP: *8PGJRC3 Symbol: NVDA--220114P00220000					
2022-01-10	1.000000	53.94	2022-01-10	55.04	0.00	-1.10	
PUT NFLX 01/14/22	510	NETFLIX INC CUSIP: *8PGJKJ4 Symbol: NFLX--220114P00510000					
2022-01-14	1.000000	97.94	2022-01-14	97.04	0.00	0.90	
CALL QQQ 01/12/22	386	INVESCO QQQ TRUST SERIES 1 CUSIP: *8PGKDM1 Symbol: QQQ---220112C00386000					
2022-01-11	1.000000	152.94	2022-01-11	166.04	0.00	-13.10	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
1a - Description of property CUSIP Symbol							
PUT TSLA 11/11/22	180	TESLA INC CUSIP: *8W38112 Symbol: TSLA--221111P00180000					
2022-11-08	4.000000	1,084.76	2022-11-08	1,156.76	72.00 D	-72.00	
2022-11-09	1.000000	231.94	2022-11-09	291.44	0.00	-59.50	
Security Totals:		1,316.70		1,448.20		-131.50	
CALL ABNB 11/04/22 110 AIRBNB INC CUSIP: *8GSTZR1 Symbol: ABNB--221104C00110000							
2022-11-01	1.000000	579.93	2022-11-01	575.04	0.00	4.89	
PUT TSLA 11/11/22 190 TESLA INC CUSIP: *8W38116 Symbol: TSLA--221111P00190000							
2022-11-09	2.000000	1,014.86	2022-11-09	983.08	0.00	31.78	
CALL DWAC 11/11/22 25 DIGITAL WORLD ACQUISITION CORP CUSIP: *8W53476 Symbol: DWAC--221111C00025000							
2022-11-10	1.000000	92.94	2022-11-10	110.04	0.00	-17.10	
PUT SPY 11/11/22 378 STANDARD & POORS DEPOSITORY CUSIP: *8X40765 Symbol: SPY---221111P00378000							
2022-11-07	1.000000	416.94	2022-11-07	424.04	7.10 D	-7.10	
2022-11-08	1.000000	224.94	2022-11-08	242.14	0.00	-17.20	
Security Totals:		641.88		666.18		-24.30	
CALL AMC 11/25/22 8 AMC ENTERTAINMENT HOLDINGS INC CUSIP: *8278544 Symbol: AMC---221125C00008000							
2022-11-23	6.000000	71.75	2022-11-23	125.24	0.00	-53.49	
CALL SPY 11/25/22 400 STANDARD & POORS DEPOSITORY CUSIP: *8509429 Symbol: SPY---221125C00400000							
2022-11-22	1.000000	137.94	2022-11-22	150.04	0.00	-12.10	
CALL TSLA 11/25/22 185 TESLA INC CUSIP: *8519127 Symbol: TSLA--221125C00185000							
2022-11-25	1.000000	49.94	2022-11-25	63.04	0.00	-13.10	
PUT TSLA 11/25/22 185 TESLA INC CUSIP: *8519128 Symbol: TSLA--221125P00185000							
2022-11-25	2.000000	505.88	2022-11-25	497.08	0.00	8.80	
CALL AAPL 01/28/22 170 APPLE INC CUSIP: *8PGQBM5 Symbol: AAPL--220128C00170000							
2022-01-27	1.000000	51.94	2022-01-27	48.04	0.00	3.90	
CALL AAPL 01/28/22 165 APPLE INC CUSIP: *8PGQBM1 Symbol: AAPL--220128C00165000							
2022-01-25	3.000000	456.82	2022-01-25	517.42	53.30 D	-60.60	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT AAPL 01/28/22	152.50	APPLE INC CUSIP: *8PGQBL2 Symbol: AAPL--220128P00152500					
2022-01-27	1.000000	73.94	2022-01-27	71.04	0.00	2.90	
CALL TSLA 12/16/22	160	TESLA INC ADJ 3:1 STOCK SPLIT CUSIP: *8NKFV9 Symbol: TSLA--221216C00160000					
2022-12-15	1.000000	149.94	2022-12-15	147.04	0.00	2.90	
CALL TSLA 10/28/22	230	TESLA INC CUSIP: *8SYYQB3 Symbol: TSLA--221028C00230000					
2022-10-28	1.000000	18.93	2022-10-28	13.05	0.00	5.88	
CALL AMD 10/28/22	62	ADVANCED MICRO DEVICES INC CUSIP: *8W89815 Symbol: AMD---221028C00062000					
2022-10-28	1.000000	20.93	2022-10-28	10.05	0.00	10.88	
CALL AMD 11/11/22	62	ADVANCED MICRO DEVICES INC CUSIP: *8W89864 Symbol: AMD---221111C00062000					
2022-11-03	1.000000	171.94	2022-11-03	182.04	0.00	-10.10	
CALL AMD 11/25/22	73	ADVANCED MICRO DEVICES INC CUSIP: *8278666 Symbol: AMD---221125C00073000					
2022-11-21	1.000000	155.94	2022-11-21	144.04	0.00	11.90	
CALL SPY 10/19/22	369	STANDARD & POORS DEPOSITORY CUSIP: *8508687 Symbol: SPY---221019C00369000					
2022-10-19	2.000000	434.86	2022-10-19	484.22	12.12 D	-49.36	
CALL GNPX 01/21/22	2.50	GENPREX INC CUSIP: *8PGRPG4 Symbol: GNPX--220121C00002500					
2022-01-03	1.000000	34.94	2022-01-03	40.04	0.00	-5.10	
PUT TSLA 10/28/22	222.50	TESLA INC CUSIP: *8SZTBQ3 Symbol: TSLA--221028P00222500					
2022-10-26	1.000000	374.93	2022-10-26	405.05	0.00	-30.12	
PUT TSLA 10/28/22	217.50	TESLA INC CUSIP: *8SZTBQ1 Symbol: TSLA--221028P00217500					
2022-10-25	1.000000	519.92	2022-10-25	490.05	0.00	29.87	
CALL SPY 11/09/22	382	STANDARD & POORS DEPOSITORY CUSIP: *8Y22889 Symbol: SPY---221109C00382000					
2022-11-07	1.000000	225.94	2022-11-07	232.04	6.10 D	-6.10	
2022-11-08	2.000000	702.88	2022-11-08	731.38	14.20 D	-28.50	
Security Totals:		928.82		963.42		-34.60	
CALL SPY 11/11/22	391	STANDARD & POORS DEPOSITORY CUSIP: *8509094 Symbol: SPY---221111C00391000					
2022-11-10	4.000000	1,153.76	2022-11-10	1,216.36	28.20 D	-62.60	

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Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL VKTX 01/20/23	7	VIKING THERAPEUTICS INC CUSIP: *8PGXQY8 Symbol: VKTX--230120C00007000					
2022-12-19	1.000000	99.94	2022-12-19	100.04	0.00	-0.10	
CALL SPY 11/16/22	400	STANDARD & POORS DEPOSITORY CUSIP: *8KFDWS3 Symbol: SPY---221116C00400000					
2022-11-16	1.000000	72.94	2022-11-16	63.04	0.00	9.90	
CALL TSLA 11/11/22	210	TESLA INC CUSIP: *8W38124 Symbol: TSLA--221111C00210000					
2022-11-04	2.000000	1,204.86	2022-11-04	1,209.19	10.11 D	-4.33	
PUT PG 02/04/22	160	PROCTER & GAMBLE CO CUSIP: *8PGYQH3 Symbol: PG----220204P00160000					
2022-02-04	1.000000	15.93	2022-02-04	14.05	0.00	1.88	
CALL PG 02/04/22	165	PROCTER & GAMBLE CO CUSIP: *8PGYQH5 Symbol: PG----220204C00165000					
2022-02-04	1.000000	5.93	2022-02-04	8.05	0.00	-2.12	
CALL QCOM 02/04/22	200	QUALCOMM INC CUSIP: *8PGZCZ6 Symbol: QCOM--220204C00200000					
2022-02-03	1.000000	49.93	2022-02-03	76.05	0.00	-26.12	
CALL SPY 01/31/22	455	STANDARD & POORS DEPOSITORY CUSIP: *8PHCDJ8 Symbol: SPY---220131C00455000					
2022-01-26	2.000000	64.88	2022-01-26	377.18	312.30 D	-312.30	
2022-01-27	3.000000	41.86	2022-01-27	250.32	208.46 D	-208.46	
2022-01-28	3.000000	11.86	2022-01-27	252.58	0.00	-240.72	
Security Totals:		118.60		880.08		-761.48	
PUT SPY 01/31/22	440	STANDARD & POORS DEPOSITORY CUSIP: *8PHCDJ3 Symbol: SPY---220131P00440000					
2022-01-31	1.000000	83.95	2022-01-31	95.04	0.00	-11.09	
PUT SPY 01/31/22	445	STANDARD & POORS DEPOSITORY CUSIP: *8PHCDJ5 Symbol: SPY---220131P00445000					
2022-01-31	2.000000	157.88	2022-01-31	194.18	14.10 D	-36.30	
CALL SPY 01/31/22	465	STANDARD & POORS DEPOSITORY CUSIP: *8PHCDK2 Symbol: SPY---220131C00465000					
2022-01-20	3.000000	619.83	Various	618.22	9.10 D	1.61	
2022-01-21	2.000000	67.90	2022-01-21	82.08	0.00	-14.18	
Security Totals:		687.73		700.30		-12.57	

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Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL TSLA 02/04/22 1000 TESLA INC CUSIP: *8PHDHG6 Symbol: TSLA--220204C01000000							
2022-02-02	1.000000	179.93	2022-02-02	193.05	13.12 D	-13.12	
2022-02-03	6.000000	590.58	2022-02-03	654.14	31.72 D	-63.56	
Security Totals:		770.51		847.19		-76.68	
CALL TSLA 02/04/22 1060 TESLA INC CUSIP: *8PHDHL4 Symbol: TSLA--220204C01060000							
2022-01-31	3.000000	431.82	2022-01-31	482.42	50.60 D	-50.60	
2022-02-01	1.000000	111.93	2022-02-01	135.35	0.00	-23.42	
Security Totals:		543.75		617.77		-74.02	
PUT SPY 02/02/22 450 STANDARD & POORS DEPOSITORY CUSIP: *8PHJWF5 Symbol: SPY---220202P00450000							
2022-02-02	2.000000	79.86	2022-02-02	70.10	0.00	9.76	
PUT DKNG 11/25/22 14 DRAFTKINGS INC CLASS A CUSIP: *8461234 Symbol: DKNG--221125P00014000							
2022-11-21	7.000000	436.69	2022-11-21	347.27	0.00	89.42	
CALL AAPL 02/11/22 177.50 APPLE INC CUSIP: *8PJVNL1 Symbol: AAPL--220211C00177500							
2022-02-09	1.000000	62.93	2022-02-09	68.05	0.00	-5.12	
CALL ANY 02/11/22 3.50 SPHERE 3D CORP CUSIP: *8PKDJS9 Symbol: ANY---220211C00003500							
2022-02-04	2.000000	59.86	2022-02-04	59.10	0.00	0.76	
CALL BBIG 02/11/22 4 VINCO VENTURES INC CUSIP: *8PKKFF9 Symbol: BBIG--220211C00004000							
2022-01-26	1.000000	32.94	2022-01-26	36.04	0.00	-3.10	
CALL BA 02/11/22 220 BOEING CO CUSIP: *8PKKFC7 Symbol: BA----220211C00220000							
2022-02-07	2.000000	153.86	2022-02-07	107.10	0.00	46.76	
2022-02-08	1.000000	81.93	2022-02-08	95.05	0.00	-13.12	
Security Totals:		235.79		202.15		33.64	
AGRIFY CORPORATION COMMON STOCK CUSIP: 00853E206 Symbol: AGFY							
2022-10-21	100.000000	240.98	2022-10-21	247.93	0.00	-6.95	
CALL TSLA 01/21/22 1250 TESLA INC CUSIP: *8PKNNW1 Symbol: TSLA--220121C01250000							
2022-01-13	1.000000	287.94	2022-01-13	262.04	0.00	25.90	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

5NE90569

(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL MIST 11/18/22	7.50	MILESTONE PHARMACEUTICALS INC CUSIP: *8LLRJQ7 Symbol: MIST--221118C00007500					
2022-10-25	1.000000	24.93	2022-10-25	20.05	0.00	4.88	
CALL TSLA 01/21/22	1005	TESLA INC CUSIP: *8PKSGG5 Symbol: TSLA--220121C01005000					
2022-01-21	2.000000	244.88	2022-01-21	311.18	34.10 D	-66.30	
CALL MSFT 01/21/22	350	MICROSOFT CORP CUSIP: *8PNJNY1 Symbol: MSFT--220121C00350000					
2022-01-05	1.000000	48.94	2022-01-05	51.04	0.00	-2.10	
CALL SPY 11/23/22	400	STANDARD & POORS DEPOSITORY CUSIP: *8GQNVN4 Symbol: SPY---221123C00400000					
2022-11-23	6.000000	1,086.64	2022-11-23	1,042.24	0.00	44.40	
KALA PHARMACEUTICALS INC COMMON STOCK CUSIP: 483119202 Symbol: KALA							
2022-12-30	5.000000	189.88	2022-12-30	182.25	0.00	7.63	
CALL NVDA 12/02/22	165	NVIDIA CORPORATION CUSIP: *8GGFZK9 Symbol: NVDA--221202C00165000					
2022-12-02	1.000000	204.94	2022-12-02	176.04	0.00	28.90	
CALL SHOP 10/28/22	32.50	SHOPIFY INC CL A CUSIP: *8SZSWW9 Symbol: SHOP--221028C00032500					
2022-10-28	1.000000	44.93	2022-10-28	62.05	0.00	-17.12	
CALL F 01/21/22	25	FORD MOTOR COMPANY CUSIP: *8PVZHH0 Symbol: F-----220121C00025000					
2022-01-06	4.000000	225.76	Various	197.26	2.10 D	28.50	
2022-01-18	2.000000	69.90	2022-01-18	66.08	0.00	3.82	
Security Totals:		295.66		263.34		32.32	
CALL TSLA 11/11/22	195	TESLA INC CUSIP: *8W38117 Symbol: TSLA--221111C00195000					
2022-11-09	3.000000	1,159.81	2022-11-09	1,209.42	37.30 D	-49.61	
PUT SPY 11/11/22	389	STANDARD & POORS DEPOSITORY CUSIP: *8509092 Symbol: SPY---221111P00389000					
2022-11-10	4.000000	824.77	2022-11-10	896.35	26.19 D	-71.58	
CALL TSLA 11/11/22	190	TESLA INC CUSIP: *8W38115 Symbol: TSLA--221111C00190000					
2022-11-10	1.000000	187.94	2022-11-10	182.04	0.00	5.90	
CALL NVDA 02/18/22	265	NVIDIA CORPORATION CUSIP: *8SCLMM2 Symbol: NVDA--220218C00265000					
2022-02-16	1.000000	959.93	2022-02-16	797.05	0.00	162.88	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL NVDA 02/18/22	260	NVIDIA CORPORATION CUSIP: *8SCLMM0 Symbol: NVDA--220218C00260000					
2022-02-18	1.000000	20.93	2022-02-18	31.05	0.00	-10.12	
CALL NVDA 02/18/22	300	NVIDIA CORPORATION CUSIP: *8SCLMN6 Symbol: NVDA--220218C00300000					
2022-02-16	1.000000	111.93	2022-02-16	108.05	0.00	3.88	
PUT SPY 11/11/22	387	STANDARD & POORS DEPOSITORY CUSIP: *8509090 Symbol: SPY---221111P00387000					
2022-11-10	1.000000	310.94	2022-11-10	267.04	0.00	43.90	
ENSYSCE BIOSCIENCES INC COMMON STOCK		CUSIP: 293602207 Symbol: ENSC					
2022-11-14	100.000000	238.09	2022-11-14	219.94	0.00	18.15	
2022-11-25	115.000000	399.03	2022-11-25	414.35	0.00	-15.32	
Security Totals:		637.12		634.29		2.83	
***SATIFY COMMUNICATIONS LTD ORDINARY SHARE		CUSIP: M82363124 Symbol: SATX					
2022-11-17	10.000000	523.27	2022-11-17	558.80	0.00	-35.53	
CALL AAPL 12/09/22	152.50	APPLE INC CUSIP: *8SDLKM4 Symbol: AAPL--221209C00152500					
2022-12-01	1.000000	82.94	2022-12-01	87.04	0.00	-4.10	
CALL TSLA 02/18/22	900	TESLA INC CUSIP: *8SDZHF2 Symbol: TSLA--220218C00900000					
2022-02-18	1.000000	177.93	2022-02-18	213.05	0.00	-35.12	
CALL AMZN 11/18/22	92	AMAZON.COM INC ADJ 20:1 STOCK SPLIT CUSIP: *8STZSH9 Symbol: AMZN--221118C00092000					
2022-11-03	3.000000	827.82	2022-11-03	908.42	33.30 D	-80.60	
PUT META 12/09/22	118	META PLATFORMS INC CL A CUSIP: *8V00574 Symbol: META--221209P00118000					
2022-12-06	1.000000	180.94	2022-12-06	155.04	0.00	25.90	
CALL SPY 11/30/22	398	STANDARD & POORS DEPOSITORY CUSIP: *8W41303 Symbol: SPY---221130C00398000					
2022-11-30	3.000000	512.82	2022-11-30	448.12	0.00	64.70	
CALL BCTX 04/14/22	12.50	BRIACELL THERAPEUTICS CORP CUSIP: *8SFFRC0 Symbol: BCTX--220414C00012500					
2022-04-07	3.000000	159.81	2022-04-07	180.26	10.22 D	-20.45	
2022-04-13	1.000000	19.93	2022-04-13	30.16	0.00	-10.23	
Security Totals:		179.74		210.42		-30.68	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT SPY 11/23/22	392	STANDARD & POORS DEPOSITORY CUSIP: *8GSHVT6 Symbol: SPY---221123P00392000					
2022-11-21	3.000000	363.82	2022-11-21	358.12	0.00	5.70	
CALL QQQ 02/18/22	350	INVESCO QQQ TRUST SERIES 1 CUSIP: *8SGPKL6 Symbol: QQQ---220218C00350000					
2022-02-18	1.000000	8.93	2022-02-18	12.05	0.00	-3.12	
CALL SPY 12/05/22	402	STANDARD & POORS DEPOSITORY CUSIP: *8Y13314 Symbol: SPY---221205C00402000					
2022-12-05	20.000000	399.19	2022-12-05	575.76	0.00	-176.57	
CALL META 12/02/22	113	META PLATFORMS INC CL A CUSIP: *8W66608 Symbol: META--221202C00113000					
2022-11-30	5.000000	861.72	2022-11-30	756.20	0.00	105.52	
CALL AMZN 12/02/22	92	AMAZON.COM INC CUSIP: *8567200 Symbol: AMZN--221202C00092000					
2022-11-30	2.000000	372.88	2022-11-30	355.08	0.00	17.80	
CALL GLD 02/18/22	175	SPDR GOLD TR CUSIP: *8SHYSB9 Symbol: GLD---220218C00175000					
2022-02-14	1.000000	108.93	2022-02-14	104.05	0.00	4.88	
CALL SPY 11/30/22	396	STANDARD & POORS DEPOSITORY CUSIP: *8W41300 Symbol: SPY---221130C00396000					
2022-11-30	1.000000	135.94	2022-11-30	134.04	0.00	1.90	
PUT SPY 12/07/22	395	STANDARD & POORS DEPOSITORY CUSIP: *8W86765 Symbol: SPY---221207P00395000					
2022-12-07	6.000000	1,208.64	2022-12-07	1,195.34	8.10 D	13.30	
CALL FCX 01/14/22	44	FREEPORT MCMORAN INC CUSIP: *8SJVS3 Symbol: FCX---220114C00044000					
2022-01-14	1.000000	11.94	2022-01-14	9.04	0.00	2.90	
DIGITAL BRANDS GROUP INC COMMON STOCK CUSIP: 25401N309 Symbol: DBGI							
2022-11-09	150.000000	812.71	2022-11-09	651.99	0.00	160.72	
2022-11-23	50.000000	310.98	2022-11-23	319.50	8.52 D	-8.52	
2022-11-25	50.000000	306.48	2022-11-25	310.02	0.00	-3.54	
Security Totals:		1,430.17		1,281.51		148.66	
CALL NIO 01/14/22	31	NIO INC ADS CUSIP: *8SJLQV7 Symbol: NIO---220114C00031000					
2022-01-14	1.000000	36.94	2022-01-14	48.04	0.00	-11.10	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

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1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL SPY 12/09/22	396 STANDARD & POORS DEPOSITORY CUSIP: *8W41487 Symbol: SPY---221209C00396000						
2022-12-09	4.000000	470.78	2022-12-09	715.45	123.29 D	-244.67	
PUT INTC 01/28/22	47 INTEL CORP CUSIP: *8SJNHJ1 Symbol: INTC--220128P00047000						
2022-01-27	1.000000	25.94	2022-01-27	24.04	0.00	1.90	
CALL MMM 01/28/22	180 3M COMPANY CUSIP: *8SJPBX1 Symbol: MMM---220128C00180000						
2022-01-25	1.000000	29.94	2022-01-25	24.04	0.00	5.90	
CALL NIO 01/28/22	35 NIO INC ADS CUSIP: *8SJPJF4 Symbol: NIO---220128C00035000						
2022-01-26	7.000000	6.68	2022-01-20	63.29	40.43 D	-56.61	
2022-01-28	5.000000	0.00	2022-01-21	69.63	0.00	-69.63	
Security Totals:		6.68		132.92		-126.24	
CALL PFE 01/28/22	54 PFIZER INC CUSIP: *8SJPSV4 Symbol: PFE---220128C00054000						
2022-01-27	3.000000	111.84	2022-01-26	75.12	0.00	36.72	
CALL NVDA 01/28/22	300 NVIDIA CORPORATION CUSIP: *8SJPND1 Symbol: NVDA--220128C00300000						
2022-01-14	1.000000	233.94	2022-01-14	239.04	0.00	-5.10	
CALL SPY 12/12/22	395 STANDARD & POORS DEPOSITORY CUSIP: *8NYXVJ5 Symbol: SPY---221212C00395000						
2022-12-12	1.000000	108.94	2022-12-12	101.04	0.00	7.90	
CALL COIN 11/11/22	52 COINBASE GLOBAL INC CUSIP: *8PCBBD6 Symbol: COIN--221111C00052000						
2022-11-10	2.000000	336.88	2022-11-10	314.08	0.00	22.80	
CALL UBER 01/28/22	36 UBER TECHNOLOGIES INC CUSIP: *8SJRPT0 Symbol: UBER--220128C00036000						
2022-01-24	1.000000	108.94	2022-01-24	108.04	0.00	0.90	
CALL TSLA 01/28/22	860 TESLA INC CUSIP: *8SJRLX0 Symbol: TSLA--220128C00860000						
2022-01-28	2.000000	506.88	2022-01-28	533.08	0.00	-26.20	
CALL TSLA 01/28/22	1200 TESLA INC CUSIP: *8SJM8J8 Symbol: TSLA--220128C01200000						
2022-01-20	1.000000	604.94	2022-01-19	560.04	0.00	44.90	
2022-01-25	1.000000	179.94	2022-01-25	198.04	0.00	-18.10	
Security Totals:		784.88		758.08		26.80	

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Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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CALL FB 02/11/22	345	META PLATFORMS INC CL A	CUSIP: *8SJVNL1 Symbol: FB----	220211C00345000			
2022-01-31	1.000000	101.94	2022-01-31	101.04	0.00	0.90	
CALL FCX 02/11/22	41	FREEMPORT MCMORAN INC	CUSIP: *8SJVNX3 Symbol: FCX---	220211C00041000			
2022-02-08	3.000000	104.79	2022-02-08	86.15	0.00	18.64	
PUT NVDA 02/11/22	250	NVIDIA CORPORATION	CUSIP: *8SJXPM0 Symbol: NVDA--	220211P00250000			
2022-02-10	1.000000	52.93	2022-02-10	61.05	0.00	-8.12	
PUT QQQ 01/18/22	372	INVESCO QQQ TRUST SERIES 1	CUSIP: *8SJYMV5 Symbol: QQQ---	220118P00372000			
2022-01-18	1.000000	71.94	2022-01-18	66.04	0.00	5.90	
CALL TSLA 12/09/22	195	TESLA INC	CUSIP: *8W45328 Symbol: TSLA--	221209C00195000			
2022-12-05	1.000000	213.94	2022-12-05	232.04	0.00	-18.10	
CALL EVTL 02/18/22	12.50	VERTICAL AEROSPACE LTD	CUSIP: *8SJXYS5 Symbol: EVTL--	220218C00012500			
2022-01-20	2.000000	114.88	2022-01-20	110.18	0.10 D	4.70	
PUT NVDA 02/11/22	260	NVIDIA CORPORATION	CUSIP: *8SJXPM4 Symbol: NVDA--	220211P00260000			
2022-02-10	1.000000	231.93	2022-02-10	256.05	0.00	-24.12	
CALL SPY 01/19/22	488	STANDARD & POORS DEPOSITORY	CUSIP: *8SJYSK8 Symbol: SPY---	220119C00488000			
2022-01-12	1.000000	5.94	2022-01-10	3.04	0.00	2.90	
***VERSUS SYS INC COM NO PAR			CUSIP: 92535P881 Symbol: VS				
2022-11-09	100.000000	215.98	2022-11-09	223.00	0.00	-7.02	
CALL DWAC 11/18/22	29	DIGITAL WORLD ACQUISITION CORP	CUSIP: *8PYHVF1 Symbol: DWAC--	221118C00029000			
2022-11-08	1.000000	349.94	2022-11-08	342.04	0.00	7.90	
CALL SPY 01/28/22	436	STANDARD & POORS DEPOSITORY	CUSIP: *8SKPWG9 Symbol: SPY---	220128C00436000			
2022-01-27	1.000000	249.94	2022-01-27	266.04	0.00	-16.10	
CALL SPY 02/11/22	456	STANDARD & POORS DEPOSITORY	CUSIP: *8SKQZR5 Symbol: SPY---	220211C00456000			
2022-02-11	1.000000	34.93	2022-02-11	37.05	0.00	-2.12	
CALL TSLA 01/28/22	1300	TESLA INC	CUSIP: *8SKQCL3 Symbol: TSLA--	220128C01300000			
2022-01-19	1.000000	359.94	2022-01-18	300.04	0.00	59.90	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

5NE90569

(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT QQQ 02/02/22 362 INVESCO QQQ TRUST SERIES 1 CUSIP: *8SKXSF6 Symbol: QQQ---220202P00362000							
2022-02-02	1.000000	45.93	2022-02-02	38.05	0.00	7.88	
CALL SPY 02/02/22 462 STANDARD & POORS DEPOSITORY CUSIP: *8SKXWH6 Symbol: SPY---220202C00462000							
2022-02-01	1.000000	3.93	2022-02-01	6.05	0.00	-2.12	
CALL SPY 12/14/22 405 STANDARD & POORS DEPOSITORY CUSIP: *8PYKNW6 Symbol: SPY---221214C00405000							
2022-12-14	1.000000	58.94	2022-12-14	49.04	0.00	9.90	
CALL ATVI 01/21/22 82.50 ACTIVISION BLIZZARD INC CUSIP: *8545265 Symbol: ATVI--220121C00082500							
2022-01-20	4.000000	87.81	2022-01-20	176.24	33.08 D	-88.43	
PUT SPY 12/12/22 394 STANDARD & POORS DEPOSITORY CUSIP: *8PYDHJ0 Symbol: SPY---221212P00394000							
2022-12-12	10.000000	301.54	2022-12-12	250.38	0.00	51.16	
PUT TSLA 11/25/22 167.50 TESLA INC CUSIP: *8UBGLQ4 Symbol: TSLA--221125P00167500							
2022-11-22	1.000000	314.94	2022-11-22	325.04	0.00	-10.10	
PUT TSLA 11/25/22 172.50 TESLA INC CUSIP: *8UBGLQ6 Symbol: TSLA--221125P00172500							
2022-11-21	1.000000	446.93	2022-11-21	465.04	0.00	-18.11	
PUT SPY 11/30/22 398 STANDARD & POORS DEPOSITORY CUSIP: *8W41305 Symbol: SPY---221130P00398000							
2022-11-30	1.000000	36.94	2022-11-30	56.04	0.00	-19.10	
PUT SPY 11/23/22 398 STANDARD & POORS DEPOSITORY CUSIP: *8LNYVG6 Symbol: SPY---221123P00398000							
2022-11-23	1.000000	43.94	2022-11-23	51.04	0.00	-7.10	
PUT SPY 12/07/22 393 STANDARD & POORS DEPOSITORY CUSIP: *8NDQRP4 Symbol: SPY---221207P00393000							
2022-12-07	8.000000	615.56	2022-12-07	571.86	32.54 D	43.70	
CALL SPY 12/12/22 396 STANDARD & POORS DEPOSITORY CUSIP: *8PYDJH1 Symbol: SPY---221212C00396000							
2022-12-09	4.000000	1,092.76	2022-12-09	1,036.15	0.00	56.61	
PUT SPY 12/14/22 398 STANDARD & POORS DEPOSITORY CUSIP: *8PYPNL5 Symbol: SPY---221214P00398000							
2022-12-14	1.000000	184.94	2022-12-14	248.04	0.00	-63.10	
PUT SPY 12/09/22 396 STANDARD & POORS DEPOSITORY CUSIP: *8W41489 Symbol: SPY---221209P00396000							
2022-12-09	1.000000	199.94	2022-12-09	190.04	0.00	9.90	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT SPY 11/14/22	399	STANDARD & POORS DEPOSITORY CUSIP: *8W86523 Symbol: SPY---221114P00399000					
2022-11-14	1.000000	48.94	2022-11-14	46.04	0.00	2.90	
CALL SPY 12/07/22	393	STANDARD & POORS DEPOSITORY CUSIP: *8NDQRP3 Symbol: SPY---221207C00393000					
2022-12-06	3.000000	523.82	2022-12-06	522.12	15.10 D	1.70	
2022-12-07	8.000000	1,505.54	2022-12-07	1,538.62	26.20 D	-33.08	
Security Totals:		2,029.36		2,060.74		-31.38	
PUT SPY 11/23/22	401	STANDARD & POORS DEPOSITORY CUSIP: *8UBLYS5 Symbol: SPY---221123P00401000					
2022-11-23	6.000000	568.64	2022-11-23	577.54	14.30 D	-8.90	
CALL SPY 11/15/22	396	STANDARD & POORS DEPOSITORY CUSIP: *8UBZGQ3 Symbol: SPY---221115C00396000					
2022-11-15	1.000000	238.94	2022-11-15	130.04	0.00	108.90	
PUT SPY 11/22/22	396	STANDARD & POORS DEPOSITORY CUSIP: *8UBZHF4 Symbol: SPY---221122P00396000					
2022-11-22	2.000000	138.88	2022-11-22	152.18	4.10 D	-13.30	
PUT SPY 11/29/22	395	STANDARD & POORS DEPOSITORY CUSIP: *8UBZHZ6 Symbol: SPY---221129P00395000					
2022-11-28	2.000000	236.88	2022-11-28	229.08	0.00	7.80	
2022-11-29	3.000000	113.87	2022-11-29	229.12	0.00	-115.25	
Security Totals:		350.75		458.20		-107.45	
CALL SPY 11/29/22	396	STANDARD & POORS DEPOSITORY CUSIP: *8UBZHZ7 Symbol: SPY---221129C00396000					
2022-11-29	4.000000	83.83	2022-11-29	252.16	0.00	-168.33	
CALL SPY 11/22/22	395	STANDARD & POORS DEPOSITORY CUSIP: *8UBZHF1 Symbol: SPY---221122C00395000					
2022-11-21	3.000000	395.84	2022-11-21	442.22	46.38 D	-46.38	
2022-11-22	2.000000	470.88	2022-11-22	531.36	0.00	-60.48	
Security Totals:		866.72		973.58		-106.86	
CALL XBI 12/09/22	86	SPDR S&P BIOTECH ETF CUSIP: *8W63108 Symbol: XBI---221209C00086000					
2022-12-02	1.000000	109.94	2022-12-02	90.04	0.00	19.90	
PALISADE BIO INC COMMON STOCK		CUSIP: 696389204 Symbol: PALI					
2022-12-02	50.000000	209.49	2022-12-02	227.50	0.00	-18.01	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL SPY 11/17/22	391	STANDARD & POORS DEPOSITORY CUSIP: *8C72195 Symbol: SPY---221117C00391000					
2022-11-17	3.000000	600.82	2022-11-17	541.22	8.10 D	59.60	
PUT SPY 11/17/22	394	STANDARD & POORS DEPOSITORY CUSIP: *8C72317 Symbol: SPY---221117P00394000					
2022-11-17	2.000000	255.88	2022-11-17	305.18	18.10 D	-49.30	
CALL SPY 12/01/22	407	STANDARD & POORS DEPOSITORY CUSIP: *8C76796 Symbol: SPY---221201C00407000					
2022-12-01	1.000000	120.94	2022-12-01	101.04	0.00	19.90	
PUT SPY 12/01/22	408	STANDARD & POORS DEPOSITORY CUSIP: *8C76894 Symbol: SPY---221201P00408000					
2022-12-01	1.000000	311.94	2022-12-01	308.04	0.00	3.90	
GRINDR INC COMMON STOCK CUSIP: 39854F101 Symbol: GRND							
2022-11-18	10.000000	394.38	2022-11-18	423.30	0.00	-28.92	
PUT SPY 12/01/22	402	STANDARD & POORS DEPOSITORY CUSIP: *8C76414 Symbol: SPY---221201P00402000					
2022-11-30	1.000000	187.94	2022-11-30	193.04	0.00	-5.10	
CALL TSLA 12/16/22	177.50	TESLA INC CUSIP: *8GTFHQ1 Symbol: TSLA--221216C00177500					
2022-12-13	2.000000	557.89	2022-12-13	675.08	0.00	-117.19	
CALL DWAC 12/16/22	22	DIGITAL WORLD ACQUISITION CORP CUSIP: *8GJQLP2 Symbol: DWAC--221216C00022000					
2022-12-14	1.000000	73.94	2022-12-14	80.04	0.00	-6.10	
PUT SPY 12/06/22	397	STANDARD & POORS DEPOSITORY CUSIP: *8LQSZY8 Symbol: SPY---221206P00397000					
2022-12-06	8.000000	1,010.52	2022-12-06	866.41	10.10 D	144.11	
PUT SPY 12/08/22	392	STANDARD & POORS DEPOSITORY CUSIP: *8NYRVZ2 Symbol: SPY---221208P00392000					
2022-12-07	3.000000	402.84	2022-12-07	416.21	19.09 D	-13.37	
PUT SPY 12/08/22	394	STANDARD & POORS DEPOSITORY CUSIP: *8NYSJC1 Symbol: SPY---221208P00394000					
2022-12-08	10.000000	779.58	2022-12-08	1,238.39	0.00	-458.81	
PUT SPY 12/15/22	392	STANDARD & POORS DEPOSITORY CUSIP: *8PCYZN0 Symbol: SPY---221215P00392000					
2022-12-15	1.000000	107.94	2022-12-15	121.04	0.00	-13.10	
CALL SPY 12/15/22	389	STANDARD & POORS DEPOSITORY CUSIP: *8PCYWH2 Symbol: SPY---221215C00389000					
2022-12-15	5.000000	843.72	2022-12-15	780.20	0.00	63.52	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS

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1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL PYPL 12/09/22	75	PAYPAL HOLDINGS INC CUSIP: *8587944 Symbol: PYPL--221209C00075000					
2022-12-07	1.000000	126.94	2022-12-07	117.04	0.00	9.90	
PUT SPY 12/13/22	395	STANDARD & POORS DEPOSITORY CUSIP: *8PFGBP8 Symbol: SPY---221213P00395000					
2022-12-12	1.000000	326.94	2022-12-12	329.04	0.00	-2.10	
PUT SPY 12/14/22	401	STANDARD & POORS DEPOSITORY CUSIP: *8PSRRG2 Symbol: SPY---221214P00401000					
2022-12-14	2.000000	456.88	2022-12-14	457.18	2.10 D	-0.30	
PUT SPY 12/13/22	408	STANDARD & POORS DEPOSITORY CUSIP: *8PSRKF3 Symbol: SPY---221213P00408000					
2022-12-13	2.000000	426.88	2022-12-13	448.08	0.00	-21.20	
CALL SPY 12/15/22	393	STANDARD & POORS DEPOSITORY CUSIP: *8PCYZN5 Symbol: SPY---221215C00393000					
2022-12-15	1.000000	72.94	2022-12-15	87.04	0.00	-14.10	
CALL VKTX 01/20/23	6	VIKING THERAPEUTICS INC CUSIP: *8PQWZN6 Symbol: VKTX--230120C00006000					
2022-12-19	1.000000	112.94	2022-12-19	90.04	0.00	22.90	
PUT SPY 12/21/22	386	STANDARD & POORS DEPOSITORY CUSIP: *8SCLWG1 Symbol: SPY---221221P00386000					
2022-12-21	5.000000	282.70	2022-12-21	264.29	8.10 D	18.41	
CALL SPY 12/19/22	383	STANDARD & POORS DEPOSITORY CUSIP: *8SCLST5 Symbol: SPY---221219C00383000					
2022-12-19	2.000000	188.88	2022-12-19	174.08	0.00	14.80	
PUT SPY 12/22/22	385	STANDARD & POORS DEPOSITORY CUSIP: *8SCXGH5 Symbol: SPY---221222P00385000					
2022-12-21	4.000000	463.77	2022-12-21	488.25	18.09 D	-24.48	
PUT SPY 12/20/22	379	STANDARD & POORS DEPOSITORY CUSIP: *8SDDWP6 Symbol: SPY---221220P00379000					
2022-12-20	3.000000	220.82	2022-12-20	248.22	12.10 D	-27.40	
PUT SPY 12/20/22	378	STANDARD & POORS DEPOSITORY CUSIP: *8SDDWP3 Symbol: SPY---221220P00378000					
2022-12-20	3.000000	308.82	2022-12-20	359.22	27.10 D	-50.40	
CALL SPY 12/20/22	379	STANDARD & POORS DEPOSITORY CUSIP: *8SDDWP5 Symbol: SPY---221220C00379000					
2022-12-20	2.000000	298.88	2022-12-20	248.08	0.00	50.80	
COSMOS HEALTH INC COMMON STOCK CUSIP: 221413305 Symbol: COSM							
2022-12-16	200.000000	732.72	2022-12-16	779.99	0.00	-47.27	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL ICVX 12/16/22	15	ICOSAVAX INC CUSIP: *8KFBXB7 Symbol: ICVX--221216C00015000					
2022-12-15	1.000000	84.94	2022-12-15	75.04	0.00	9.90	
PUT SPY 12/29/22	380	STANDARD & POORS DEPOSITORY CUSIP: *8LBLZZ0 Symbol: SPY---221229P00380000					
2022-12-29	10.000000	630.56	2022-12-29	620.38	0.00	10.18	
CALL SPY 12/22/22	388	STANDARD & POORS DEPOSITORY CUSIP: *8SCXGJ0 Symbol: SPY---221222C00388000					
2022-12-21	10.000000	849.58	2022-12-21	860.39	0.00	-10.81	
CALL SPY 12/27/22	384	STANDARD & POORS DEPOSITORY CUSIP: *8LSDFF0 Symbol: SPY---221227C00384000					
2022-12-27	1.000000	0.00	2022-12-27	31.04	0.00	-31.04	
PUT SPY 12/29/22	382	STANDARD & POORS DEPOSITORY CUSIP: *8LSDMC2 Symbol: SPY---221229P00382000					
2022-12-29	7.000000	580.62	2022-12-29	576.37	0.10 D	4.25	
CALL SPY 12/29/22	382	STANDARD & POORS DEPOSITORY CUSIP: *8LSDMB7 Symbol: SPY---221229C00382000					
2022-12-29	7.000000	861.63	2022-12-29	876.69	31.42 D	-15.06	
CALL TSLA 02/18/22	885	TESLA INC CUSIP: *8KWBPQ5 Symbol: TSLA--220218C00885000					
2022-02-18	1.000000	60.93	2022-02-18	61.05	0.00	-0.12	
CALL BAC 01/21/22	52	BANK OF AMERICA CORP CUSIP: *8LDDGC3 Symbol: BAC---220121C00052000					
2022-01-06	1.000000	12.94	2022-01-06	12.04	0.00	0.90	
CALL MRNA 01/07/22	220	MODERNA INC CUSIP: *8LHXPR5 Symbol: MRNA--220107C00220000					
2022-01-07	1.000000	87.94	2022-01-07	115.04	0.00	-27.10	
CALL SNOW 01/14/22	320	SNOWFLAKE INC CLASS A CUSIP: *8PDQNP1 Symbol: SNOW--220114C00320000					
2022-01-07	1.000000	409.94	2022-01-07	400.04	0.00	9.90	
CALL WFC 02/04/22	56	WELLS FARGO & CO CUSIP: *8PHGMS1 Symbol: WFC---220204C00056000					
2022-01-26	4.000000	225.80	2022-01-26	193.16	0.00	32.64	
2022-01-27	1.000000	89.94	2022-01-26	44.04	0.00	45.90	
Security Totals:		315.74		237.20		78.54	
PUT SPY 02/09/22	450	STANDARD & POORS DEPOSITORY CUSIP: *8PHXXF6 Symbol: SPY---220209P00450000					
2022-02-09	2.000000	0.00	2022-02-09	10.10	0.00	-10.10	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT SPY 02/02/22 442 STANDARD & POORS DEPOSITORY CUSIP: *8PHXTM9 Symbol: SPY---220202P00442000							
2022-02-01	2.000000	196.86	2022-02-01	198.10	0.00	-1.24	
CALL DVN 01/07/22 49 DEVON ENERGY CORPORATION CUSIP: *8PJKDV9 Symbol: DVN---220107C00049000							
2022-01-06	1.000000	38.94	2022-01-06	37.04	0.00	1.90	
CALL TSLA 02/11/22 1000 TESLA INC CUSIP: *8SKFCW7 Symbol: TSLA--220211C01000000							
2022-02-08	1.000000	198.93	2022-02-08	223.05	0.00	-24.12	
PUT QQQ 02/02/22 364 INVESCO QQQ TRUST SERIES 1 CUSIP: *8SKXSF8 Symbol: QQQ---220202P00364000							
2022-02-02	1.000000	71.93	2022-02-02	52.05	0.00	19.88	
HOOR LOOP INC COMMON STOCK CUSIP: 44170P106 Symbol: HOOR							
2022-01-14	2.000000	11.80	2022-01-14	12.32	0.52 D	-0.52	
2022-01-24	100.000000	351.90	2022-01-24	378.00	0.00	-26.10	
2022-03-02	50.000000	119.98	2022-03-02	122.00	0.00	-2.02	
2022-07-22	100.000000	373.49	2022-07-22	362.00	0.00	11.49	
Security Totals:		857.17		874.32		-17.15	
PUT SPY 03/18/22 424 STANDARD & POORS DEPOSITORY CUSIP: *8BWBZC7 Symbol: SPY---220318P00424000							
2022-03-16	1.000000	351.93	2022-03-16	289.05	0.00	62.88	
CALL AMC 02/04/22 20 AMC ENTERTAINMENT HOLDINGS INC CUSIP: *8LSYGB8 Symbol: AMC---220204C00020000							
2022-01-26	1.000000	76.94	2022-01-26	75.04	0.00	1.90	
2022-01-27	1.000000	31.94	2022-01-27	35.04	0.00	-3.10	
Security Totals:		108.88		110.08		-1.20	
CALL NVDA 03/18/22 230 NVIDIA CORPORATION ADJ 4:1 STOCK SPLIT CUSIP: *8NKRYF9 Symbol: NVDA--220318C00230000							
2022-03-15	1.000000	117.93	2022-03-15	109.05	0.00	8.88	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL NVDA 02/04/22	260	NVIDIA CORPORATION CUSIP: *8PDRRJ7 Symbol: NVDA--220204C00260000					
2022-01-31	2.000000	154.88	2022-01-31	141.08	0.00	13.80	
2022-02-01	2.000000	261.86	2022-02-01	253.10	0.00	8.76	
2022-02-03	1.000000	71.93	2022-02-03	90.05	0.00	-18.12	
Security Totals:		488.67		484.23		4.44	
PUT TSLA 08/19/22	900	TESLA INC CUSIP: *8PJNWM0 Symbol: TSLA--220819P00900000					
2022-08-16	2.000000	1,916.82	2022-08-16	1,875.10	0.00	41.72	
PUT QQQ 02/11/22	356	INVESCO QQQ TRUST SERIES 1 CUSIP: *8PJMWF2 Symbol: QQQ---220211P00356000					
2022-02-10	1.000000	73.93	2022-02-10	44.05	0.00	29.88	
PUT SPY 01/31/22	438	STANDARD & POORS DEPOSITORY CUSIP: *8SKXWF7 Symbol: SPY---220131P00438000					
2022-01-31	1.000000	23.94	2022-01-31	28.04	0.00	-4.10	
Totals:		292,228.09		302,385.07		-10,156.98	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR NONCOVERED TAX LOTS

Report on Form 8949, Part I with Box B checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Checked (Noncovered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Not Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
1847 HOLDINGS LLC COMMON SHARES CUSIP: 28252B804 Symbol: EFSH							
2022-11-02	257.000000	709.27	2022-11-01	701.21	0.00	8.06	
Totals:		709.27		701.21		8.06	

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The detailed transactional information below is furnished only for your information. Only the aggregate profit/loss information in each section is reported to the IRS. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL SPXW 04/14/22 4500 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	04/14/2022						
CUSIP:	@4,500.00	04/14/2022	1.00	Sell	35.54	14.46	-21.08
PUT SPXW 06/02/22 4100 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	06/02/2022						
CUSIP:	@4,100.00	06/02/2022	1.00	Sell	135.63	64.46	-71.17
CALL SPXW 05/18/22 4085 CBOE S&P 500 CLOSE/EURO INDEX OPTION EXPIRATION - EXPIRED	05/18/2022						
CUSIP:	@4,085.00	05/18/2022	1.00	OptionExpiration	5.54	0.00	-5.54
PUT SPXW 06/01/22 4050 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	06/01/2022						
CUSIP:	@4,050.00	06/01/2022	1.00	Sell	45.54	59.46	13.92
CALL SPXW 05/11/22 4080 CBOE S&P 500 CLOSE/EURO INDEX OPTION EXPIRATION - EXPIRED	05/11/2022						
CUSIP:	@4,080.00	05/11/2022	1.00	OptionExpiration	5.54	0.00	-5.54
PUT SPXW 06/21/22 3700 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	06/21/2022						
CUSIP:	@3,700.00	06/21/2022	1.00	Sell	60.54	39.46	-21.08
PUT SPXW 06/21/22 3720 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	06/21/2022						
CUSIP:	@3,720.00	06/21/2022	1.00	Sell	70.54	79.46	8.92
CALL SPXW 08/05/22 4170 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	08/05/2022						
CUSIP:	@4,170.00	08/05/2022	1.00	Sell	70.54	29.46	-41.08
CALL SPXW 07/06/22 3900 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	07/06/2022						
CUSIP:	@3,900.00	07/06/2022	1.00	Sell	20.54	9.46	-11.08
PUT SPXW 08/02/22 4010 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	08/02/2022						
CUSIP:	@4,010.00	08/02/2022	2.00	Sell	151.08	158.92	7.84

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PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL SPXW 06/15/22 3905 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	06/15/2022						
CUSIP:	@3,905.00	06/15/2022	1.00	Sell	70.54	49.46	-21.08
CALL SPXW 08/05/22 4170 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	08/05/2022						
CUSIP:	@4,170.00	08/05/2022	1.00	Sell	110.63	69.46	-41.17
CALL SPXW 08/01/22 4180 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	08/01/2022						
CUSIP:	@4,180.00	08/01/2022	1.00	Sell	25.54	24.46	-1.08
PUT SPXW 08/02/22 4010 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	08/02/2022						
CUSIP:	@4,010.00	08/02/2022	1.00	Sell	75.54	89.46	13.92
CALL SPXW 08/05/22 4145 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	08/05/2022						
CUSIP:	@4,145.00	08/05/2022	1.00	Sell	520.63	569.37	48.74
PUT SPXW 08/05/22 4110 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	08/05/2022						
CUSIP:	@4,110.00	08/05/2022	1.00	Sell	100.63	89.46	-11.17
CALL SPXW 08/05/22 4145 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	08/05/2022						
CUSIP:	@4,145.00	08/05/2022	1.00	Sell	250.63	239.37	-11.26
PUT SPXW 08/02/22 4010 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	08/02/2022						
CUSIP:	@4,010.00	08/02/2022	3.00	Sell	151.62	118.38	-33.24
PUT SPXW 08/01/22 4080 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	08/01/2022						
CUSIP:	@4,080.00	08/01/2022	1.00	Sell	320.63	329.37	8.74
PUT SPXW 08/05/22 4110 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	08/05/2022						
CUSIP:	@4,110.00	08/05/2022	1.00	Sell	85.54	99.37	13.83
CUSIP:	@4,110.00	08/05/2022	1.00	Sell	140.63	179.37	38.74

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PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL SPXW 08/05/22 4145 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	08/05/2022						
CUSIP:	@4,145.00	08/05/2022	1.00	Sell	240.63	249.37	8.74
PUT SPXW 08/02/22 4010 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	08/02/2022						
CUSIP:	@4,010.00	08/02/2022	1.00	Sell	85.54	49.46	-36.08
CALL SPXW 08/05/22 4145 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	08/05/2022						
CUSIP:	@4,145.00	08/05/2022	1.00	Sell	370.63	409.37	38.74
CUSIP:	@4,145.00	08/05/2022	1.00	Sell	350.63	359.37	8.74
PUT SPXW 08/02/22 4010 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	08/02/2022						
CUSIP:	@4,010.00	08/02/2022	1.00	Sell	95.54	49.46	-46.08
CALL SPXW 08/05/22 4145 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	08/05/2022						
CUSIP:	@4,145.00	08/05/2022	1.00	Sell	110.63	89.46	-21.17
PUT SPXW 08/05/22 4110 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	08/05/2022						
CUSIP:	@4,110.00	08/05/2022	1.00	Sell	75.54	64.46	-11.08
CALL SPXW 08/05/22 4170 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	08/05/2022						
CUSIP:	@4,170.00	08/05/2022	1.00	Sell	185.63	69.46	-116.17
PUT SPXW 08/05/22 4110 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	08/05/2022						
CUSIP:	@4,110.00	08/05/2022	1.00	Sell	75.54	109.37	33.83
PUT SPXW 08/01/22 4080 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	08/01/2022						
CUSIP:	@4,080.00	08/01/2022	1.00	Sell	65.54	64.46	-1.08
PUT SPXW 08/12/22 4220 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	08/12/2022						
CUSIP:	@4,220.00	08/12/2022	1.00	Sell	235.63	219.37	-16.26

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PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
PUT SPXW 08/11/22 4225 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	08/11/2022						
CUSIP:	@4,225.00	08/11/2022	1.00	Sell	380.63	399.37	18.74
	08/11/2022						
CUSIP:	@4,225.00	08/11/2022	1.00	Sell	460.63	489.37	28.74
CALL SPXW 08/09/22 4165 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	08/09/2022						
CUSIP:	@4,165.00	08/09/2022	1.00	Sell	225.63	249.37	23.74
PUT SPXW 08/12/22 4220 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	08/12/2022						
CUSIP:	@4,220.00	08/12/2022	5.00	Sell	1,053.15	1,071.85	18.70
PUT SPXW 08/08/22 4150 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	08/08/2022						
CUSIP:	@4,150.00	08/08/2022	1.00	Sell	310.63	269.37	-41.26
CALL SPXW 08/10/22 4200 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	08/10/2022						
CUSIP:	@4,200.00	08/10/2022	1.00	Sell	740.63	789.37	48.74
PUT SPXW 08/05/22 4110 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	08/05/2022						
CUSIP:	@4,110.00	08/05/2022	1.00	Sell	95.54	99.37	3.83
PUT SPXW 08/01/22 4100 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	08/01/2022						
CUSIP:	@4,100.00	08/01/2022	1.00	Sell	520.63	559.37	38.74
PUT SPXW 08/02/22 4010 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	08/02/2022						
CUSIP:	@4,010.00	08/02/2022	5.00	Sell	327.70	197.30	-130.40
CALL SPXW 08/08/22 4180 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	08/08/2022						
CUSIP:	@4,180.00	08/08/2022	1.00	Sell	55.54	64.46	8.92
CALL SPXW 08/11/22 4240 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	08/11/2022						
CUSIP:	@4,240.00	08/11/2022	1.00	Sell	295.63	319.37	23.74

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PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
PUT SPXW 08/09/22 4095 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	08/09/2022						
CUSIP:	@4,095.00	08/09/2022	1.00	Sell	310.63	319.37	8.74
CALL SPXW 08/10/22 4215 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	08/10/2022						
CUSIP:	@4,215.00	08/10/2022	1.00	Sell	540.63	559.37	18.74
PUT SPXW 08/08/22 4150 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	08/08/2022						
CUSIP:	@4,150.00	08/08/2022	1.00	Sell	265.63	284.37	18.74
CALL SPXW 08/10/22 4210 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	08/10/2022						
CUSIP:	@4,210.00	08/10/2022	1.00	Sell	470.63	489.37	18.74
PUT SPXW 08/09/22 4095 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	08/09/2022						
CUSIP:	@4,095.00	08/09/2022	1.00	Sell	340.63	359.37	18.74
PUT SPXW 08/10/22 4165 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	08/10/2022						
CUSIP:	@4,165.00	08/10/2022	1.00	Sell	275.63	274.37	-1.26
CALL SPXW 08/11/22 4265 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	08/11/2022						
CUSIP:	@4,265.00	08/11/2022	1.00	Sell	370.63	399.37	28.74
CALL SPXW 08/10/22 4210 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	08/10/2022						
CUSIP:	@4,210.00	08/10/2022	1.00	Sell	390.63	459.37	68.74
PUT SPXW 08/12/22 4220 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	08/12/2022						
CUSIP:	@4,220.00	08/12/2022	2.00	Sell	451.26	418.74	-32.52
CALL SPXW 08/10/22 4210 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	08/10/2022						
CUSIP:	@4,210.00	08/10/2022	1.00	Sell	490.63	479.37	-11.26
	08/10/2022						
CUSIP:	@4,210.00	08/10/2022	1.00	Sell	490.63	449.37	-41.26

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PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL SPXW 08/08/22 4195 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	08/08/2022						
CUSIP:	@4,195.00	08/08/2022	1.00	Sell	195.63	209.37	13.74
PUT SPXW 08/09/22 4095 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	08/09/2022						
CUSIP:	@4,095.00	08/09/2022	1.00	Sell	210.63	174.37	-36.26
CALL SPXW 08/08/22 4180 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	08/08/2022						
CUSIP:	@4,180.00	08/08/2022	1.00	Sell	200.63	209.37	8.74
CALL SPXW 08/09/22 4160 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	08/09/2022						
CUSIP:	@4,160.00	08/09/2022	1.00	Sell	125.63	129.37	3.74
PUT SPXW 08/08/22 4150 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	08/08/2022						
CUSIP:	@4,150.00	08/08/2022	1.00	Sell	330.63	349.37	18.74
	08/08/2022						
CUSIP:	@4,150.00	08/08/2022	1.00	Sell	390.63	449.37	58.74
CALL SPXW 08/12/22 4250 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	08/12/2022						
CUSIP:	@4,250.00	08/12/2022	1.00	Sell	500.63	439.37	-61.26
PUT SPXW 08/09/22 4115 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	08/09/2022						
CUSIP:	@4,115.00	08/09/2022	1.00	Sell	670.63	699.37	28.74
PUT SPXW 08/09/22 4095 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	08/09/2022						
CUSIP:	@4,095.00	08/09/2022	1.00	Sell	245.63	259.37	13.74
PUT SPXW 08/01/22 4080 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	08/01/2022						
CUSIP:	@4,080.00	08/01/2022	1.00	Sell	60.54	69.46	8.92
CALL SPXW 08/09/22 4160 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	08/09/2022						
CUSIP:	@4,160.00	08/09/2022	1.00	Sell	340.63	294.37	-46.26

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PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS

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PUT SPXW 08/09/22 4095 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	08/09/2022						
CUSIP:	@4,095.00	08/09/2022	1.00	Sell	220.63	234.37	13.74
CALL SPXW 08/11/22 4240 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	08/11/2022						
CUSIP:	@4,240.00	08/11/2022	1.00	Sell	360.63	389.37	28.74
	08/11/2022						
CUSIP:	@4,240.00	08/11/2022	1.00	Sell	180.63	169.37	-11.26
PUT SPXW 08/09/22 4095 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	08/09/2022						
CUSIP:	@4,095.00	08/09/2022	1.00	Sell	285.63	299.37	13.74
	08/09/2022						
CUSIP:	@4,095.00	08/09/2022	1.00	Sell	295.63	249.37	-46.26
	08/09/2022						
CUSIP:	@4,095.00	08/09/2022	1.00	Sell	330.63	279.37	-51.26
PUT SPXW 08/11/22 4225 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	08/11/2022						
CUSIP:	@4,225.00	08/11/2022	1.00	Sell	430.63	379.37	-51.26
	08/11/2022						
CUSIP:	@4,225.00	08/11/2022	1.00	Sell	420.63	469.37	48.74
CALL SPXW 08/11/22 4240 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	08/11/2022						
CUSIP:	@4,240.00	08/11/2022	1.00	Sell	340.63	359.37	18.74
CALL SPXW 08/12/22 4250 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	08/12/2022						
CUSIP:	@4,250.00	08/12/2022	1.00	Sell	600.63	599.37	-1.26
CALL SPXW 08/09/22 4145 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	08/09/2022						
CUSIP:	@4,145.00	08/09/2022	1.00	Sell	360.63	369.37	8.74
CALL SPXW 08/09/22 4165 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	08/09/2022						
CUSIP:	@4,165.00	08/09/2022	1.00	Sell	245.63	174.37	-71.26

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PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
PUT SPXW 08/08/22 4150 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	08/08/2022						
CUSIP:	@4,150.00	08/08/2022	1.00	Sell	225.63	244.37	18.74
PUT SPXW 08/09/22 4095 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	08/09/2022						
CUSIP:	@4,095.00	08/09/2022	1.00	Sell	410.63	419.37	8.74
PUT SPXW 08/08/22 4150 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	08/08/2022						
CUSIP:	@4,150.00	08/08/2022	1.00	Sell	400.63	449.37	48.74
CALL SPXW 08/10/22 4210 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	08/10/2022						
CUSIP:	@4,210.00	08/10/2022	1.00	Sell	530.63	479.37	-51.26
CALL SPXW 08/08/22 4180 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	08/08/2022						
CUSIP:	@4,180.00	08/08/2022	1.00	Sell	150.63	169.37	18.74
CALL SPXW 08/08/22 4195 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	08/08/2022						
CUSIP:	@4,195.00	08/08/2022	1.00	Sell	400.63	399.37	-1.26
PUT SPXW 08/08/22 4150 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	08/08/2022						
CUSIP:	@4,150.00	08/08/2022	1.00	Sell	320.63	244.37	-76.26
PUT SPXW 08/17/22 4250 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	08/17/2022						
CUSIP:	@4,250.00	08/17/2022	1.00	Sell	530.63	529.37	-1.26
PUT SPXW 08/18/22 4245 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	08/18/2022						
CUSIP:	@4,245.00	08/18/2022	1.00	Sell	450.63	519.37	68.74
CALL SPXW 08/16/22 4305 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	08/16/2022						
CUSIP:	@4,305.00	08/16/2022	1.00	Sell	390.63	399.37	8.74
CALL SPXW 08/15/22 4285 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	08/15/2022						
CUSIP:	@4,285.00	08/15/2022	1.00	Sell	390.63	439.37	48.74

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Apex Clearing

Regulated Futures Contracts & Section 1256 Options

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PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL SPXW 08/18/22 4285 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	08/18/2022						
CUSIP:	@4,285.00	08/18/2022	1.00	Sell	450.63	489.37	38.74
	08/18/2022						
CUSIP:	@4,285.00	08/18/2022	1.00	Sell	620.63	629.37	8.74
PUT SPXW 08/12/22 4220 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	08/12/2022						
CUSIP:	@4,220.00	08/12/2022	2.00	Sell	491.26	468.74	-22.52
CALL SPXW 08/09/22 4145 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	08/09/2022						
CUSIP:	@4,145.00	08/09/2022	1.00	Sell	430.63	359.37	-71.26
CALL SPXW 08/10/22 4215 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	08/10/2022						
CUSIP:	@4,215.00	08/10/2022	1.00	Sell	450.63	499.37	48.74
PUT SPXW 08/15/22 4285 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	08/15/2022						
CUSIP:	@4,285.00	08/15/2022	1.00	Sell	330.63	349.37	18.74
CALL SPXW 08/18/22 4285 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	08/18/2022						
CUSIP:	@4,285.00	08/18/2022	1.00	Sell	440.63	469.37	28.74
PUT SPXW 08/17/22 4240 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	08/17/2022						
CUSIP:	@4,240.00	08/17/2022	1.00	Sell	410.63	439.37	28.74
PUT SPXW 08/16/22 4280 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	08/16/2022						
CUSIP:	@4,280.00	08/16/2022	1.00	Sell	65.54	64.46	-1.08
CALL SPXW 08/17/22 4305 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	08/17/2022						
CUSIP:	@4,305.00	08/17/2022	1.00	Sell	285.63	294.37	8.74
PUT SPXW 08/17/22 4250 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	08/17/2022						
CUSIP:	@4,250.00	08/17/2022	1.00	Sell	370.63	389.37	18.74
	08/17/2022						
CUSIP:	@4,250.00	08/17/2022	2.00	Sell	861.26	818.74	-42.52

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PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
PUT SPXW 08/18/22 4245 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	08/18/2022						
CUSIP:	@4,245.00	08/18/2022	1.00	Sell	205.63	174.37	-31.26
	08/18/2022						
CUSIP:	@4,245.00	08/18/2022	1.00	Sell	410.63	389.37	-21.26
	08/18/2022						
CUSIP:	@4,245.00	08/18/2022	1.00	Sell	350.63	399.37	48.74
CALL SPXW 08/18/22 4285 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	08/18/2022						
CUSIP:	@4,285.00	08/18/2022	1.00	Sell	470.63	499.37	28.74
PUT SPXW 08/18/22 4245 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	08/18/2022						
CUSIP:	@4,245.00	08/18/2022	1.00	Sell	400.63	429.37	28.74
	08/18/2022						
CUSIP:	@4,245.00	08/18/2022	1.00	Sell	440.63	369.37	-71.26
PUT SPXW 08/15/22 4285 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	08/15/2022						
CUSIP:	@4,285.00	08/15/2022	1.00	Sell	360.63	369.37	8.74
PUT SPXW 08/17/22 4250 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	08/17/2022						
CUSIP:	@4,250.00	08/17/2022	1.00	Sell	510.63	539.37	28.74
CALL SPXW 08/17/22 4305 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	08/17/2022						
CUSIP:	@4,305.00	08/17/2022	1.00	Sell	260.63	274.37	13.74
PUT SPXW 08/18/22 4245 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	08/18/2022						
CUSIP:	@4,245.00	08/18/2022	1.00	Sell	390.63	289.37	-101.26
CALL SPXW 08/09/22 4145 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	08/09/2022						
CUSIP:	@4,145.00	08/09/2022	1.00	Sell	400.63	419.37	18.74
CALL SPXW 08/26/22 4250 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	08/26/2022						
CUSIP:	@4,250.00	08/26/2022	1.00	Sell	215.63	289.37	73.74

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Regulated Futures Contracts & Section 1256 Options

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PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL SPXW 08/24/22 4150 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	08/24/2022						
CUSIP:	@4,150.00	08/24/2022	1.00	Sell	460.63	329.37	-131.26
PUT SPXW 08/25/22 4140 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	08/25/2022						
CUSIP:	@4,140.00	08/25/2022	1.00	Sell	220.63	224.37	3.74
PUT SPXW 08/23/22 4110 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	08/23/2022						
CUSIP:	@4,110.00	08/23/2022	1.00	Sell	150.63	159.37	8.74
PUT SPXW 08/24/22 4105 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	08/24/2022						
CUSIP:	@4,105.00	08/24/2022	1.00	Sell	250.63	249.37	-1.26
PUT SPXW 08/23/22 4120 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	08/23/2022						
CUSIP:	@4,120.00	08/23/2022	1.00	Sell	450.63	429.37	-21.26
PUT SPXW 08/24/22 4110 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	08/24/2022						
CUSIP:	@4,110.00	08/24/2022	1.00	Sell	265.63	229.37	-36.26
CALL SPXW 08/25/22 4190 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	08/25/2022						
CUSIP:	@4,190.00	08/25/2022	1.00	Sell	260.63	269.37	8.74
PUT SPXW 08/23/22 4120 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	08/23/2022						
CUSIP:	@4,120.00	08/23/2022	1.00	Sell	350.63	379.37	28.74
PUT SPXW 08/26/22 4140 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	08/26/2022						
CUSIP:	@4,140.00	08/26/2022	1.00	Sell	350.63	329.37	-21.26
CALL SPXW 08/23/22 4175 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	08/23/2022						
CUSIP:	@4,175.00	08/23/2022	1.00	Sell	260.63	259.37	-1.26
CUSIP:	@4,175.00	08/23/2022	1.00	Sell	200.63	209.37	8.74

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PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL SPXW 08/25/22 4190 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	08/25/2022						
CUSIP:	@4,190.00	08/25/2022	1.00	Sell	370.63	399.37	28.74
CALL SPXW 08/17/22 4305 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	08/17/2022						
CUSIP:	@4,305.00	08/17/2022	1.00	Sell	310.63	329.37	18.74
CALL SPXW 08/23/22 4165 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	08/23/2022						
CUSIP:	@4,165.00	08/23/2022	1.00	Sell	570.63	609.37	38.74
CALL SPXW 08/22/22 4175 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	08/22/2022						
CUSIP:	@4,175.00	08/22/2022	1.00	Sell	370.63	359.37	-11.26
PUT SPXW 08/26/22 4140 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	08/26/2022						
CUSIP:	@4,140.00	08/26/2022	1.00	Sell	350.63	379.37	28.74
CALL SPXW 08/25/22 4185 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	08/25/2022						
CUSIP:	@4,185.00	08/25/2022	1.00	Sell	530.63	539.37	8.74
PUT SPXW 08/23/22 4120 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	08/23/2022						
CUSIP:	@4,120.00	08/23/2022	1.00	Sell	300.63	319.37	18.74
CALL SPXW 08/26/22 4200 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	08/26/2022						
CUSIP:	@4,200.00	08/26/2022	1.00	Sell	230.63	179.37	-51.26
CALL SPXW 08/25/22 4190 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	08/25/2022						
CUSIP:	@4,190.00	08/25/2022	1.00	Sell	275.63	284.37	8.74
PUT SPXW 08/26/22 4115 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	08/26/2022						
CUSIP:	@4,115.00	08/26/2022	1.00	Sell	710.63	709.37	-1.26
CALL SPXW 08/23/22 4175 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	08/23/2022						
CUSIP:	@4,175.00	08/23/2022	1.00	Sell	410.63	409.37	-1.26

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PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL SPXW 08/24/22 4150 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	08/24/2022						
CUSIP:	@4,150.00	08/24/2022	1.00	Sell	520.63	329.37	-191.26
CALL SPXW 08/24/22 4145 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	08/24/2022						
CUSIP:	@4,145.00	08/24/2022	1.00	Sell	670.63	679.37	8.74
CALL SPXW 08/23/22 4150 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	08/23/2022						
CUSIP:	@4,150.00	08/23/2022	1.00	Sell	500.63	519.37	18.74
CALL SPXW 08/23/22 4175 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	08/23/2022						
CUSIP:	@4,175.00	08/23/2022	1.00	Sell	440.63	359.37	-81.26
CALL SPXW 08/26/22 4250 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	08/26/2022						
CUSIP:	@4,250.00	08/26/2022	1.00	Sell	245.63	159.37	-86.26
PUT SPXW 08/26/22 4140 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	08/26/2022						
CUSIP:	@4,140.00	08/26/2022	1.00	Sell	550.63	619.37	68.74
CALL SPXW 08/22/22 4200 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	08/22/2022						
CUSIP:	@4,200.00	08/22/2022	1.00	Sell	225.63	234.37	8.74
PUT SPXW 08/25/22 4155 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	08/25/2022						
CUSIP:	@4,155.00	08/25/2022	1.00	Sell	410.63	399.37	-11.26
PUT SPXW 08/23/22 4120 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	08/23/2022						
CUSIP:	@4,120.00	08/23/2022	1.00	Sell	490.63	569.37	78.74
CALL SPXW 08/26/22 4250 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	08/26/2022						
CUSIP:	@4,250.00	08/26/2022	1.00	Sell	210.63	189.37	-21.26
PUT SPXW 08/23/22 4120 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	08/23/2022						
CUSIP:	@4,120.00	08/23/2022	1.00	Sell	370.63	429.37	58.74

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PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
PUT SPXW 08/25/22 4155 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	08/25/2022						
CUSIP:	@4,155.00	08/25/2022	1.00	Sell	360.63	359.37	-1.26
CALL SPXW 08/23/22 4175 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	08/23/2022						
CUSIP:	@4,175.00	08/23/2022	1.00	Sell	210.63	229.37	18.74
CALL SPXW 08/24/22 4150 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	08/24/2022						
CUSIP:	@4,150.00	08/24/2022	1.00	Sell	550.63	499.37	-51.26
PUT SPXW 08/25/22 4150 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	08/25/2022						
CUSIP:	@4,150.00	08/25/2022	1.00	Sell	400.63	469.37	68.74
PUT SPXW 08/23/22 4120 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	08/23/2022						
CUSIP:	@4,120.00	08/23/2022	1.00	Sell	235.63	269.37	33.74
PUT SPXW 08/25/22 4155 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	08/25/2022						
CUSIP:	@4,155.00	08/25/2022	1.00	Sell	310.63	309.37	-1.26
PUT SPXW 08/25/22 4140 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	08/25/2022						
CUSIP:	@4,140.00	08/25/2022	1.00	Sell	100.63	94.46	-6.17
CALL SPXW 08/24/22 4150 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	08/24/2022						
CUSIP:	@4,150.00	08/24/2022	1.00	Sell	410.63	329.37	-81.26
CALL SPXW 08/26/22 4200 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	08/26/2022						
CUSIP:	@4,200.00	08/26/2022	1.00	Sell	165.63	169.37	3.74
CALL SPXW 08/26/22 4250 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	08/26/2022						
CUSIP:	@4,250.00	08/26/2022	1.00	Sell	185.63	219.37	33.74
PUT SPXW 09/01/22 3880 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	09/01/2022						
CUSIP:	@3,880.00	09/01/2022	1.00	Sell	400.63	359.37	-41.26

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PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
PUT SPXW 08/16/22 4280 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	08/16/2022						
CUSIP:	@4,280.00	08/16/2022	1.00	Sell	770.63	679.37	-91.26
PUT SPXW 09/01/22 3880 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	09/01/2022						
CUSIP:	@3,880.00	09/01/2022	1.00	Sell	280.63	169.37	-111.26
	09/01/2022						
CUSIP:	@3,880.00	09/01/2022	1.00	Sell	290.63	234.37	-56.26
PUT SPXW 09/01/22 3905 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	09/01/2022						
CUSIP:	@3,905.00	09/01/2022	1.00	Sell	295.63	259.37	-36.26
PUT SPXW 09/02/22 3970 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	09/02/2022						
CUSIP:	@3,970.00	09/02/2022	1.00	Sell	600.63	649.37	48.74
PUT SPXW 09/02/22 3900 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	09/02/2022						
CUSIP:	@3,900.00	09/02/2022	1.00	Sell	310.63	224.37	-86.26
CALL SPXW 09/01/22 3935 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	09/01/2022						
CUSIP:	@3,935.00	09/01/2022	1.00	Sell	580.63	659.37	78.74
CALL SPXW 08/31/22 4030 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	08/31/2022						
CUSIP:	@4,030.00	08/31/2022	1.00	Sell	295.63	189.37	-106.26
PUT SPXW 09/02/22 3975 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	09/02/2022						
CUSIP:	@3,975.00	09/02/2022	1.00	Sell	275.63	284.37	8.74
	09/02/2022						
CUSIP:	@3,975.00	09/02/2022	1.00	Sell	310.63	319.37	8.74
PUT SPXW 08/31/22 3980 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	08/31/2022						
CUSIP:	@3,980.00	08/31/2022	1.00	Sell	500.63	539.37	38.74
PUT SPXW 08/31/22 3955 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	08/31/2022						
CUSIP:	@3,955.00	08/31/2022	1.00	Sell	420.63	369.37	-51.26

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Apex Clearing

Regulated Futures Contracts & Section 1256 Options

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PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
PUT SPXW 09/01/22 3880 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	09/01/2022						
CUSIP:	@3,880.00	09/01/2022	1.00	Sell	190.63	184.37	-6.26
PUT SPXW 09/02/22 3975 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	09/02/2022						
CUSIP:	@3,975.00	09/02/2022	1.00	Sell	350.63	539.37	188.74
CALL SPXW 09/01/22 3935 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	09/01/2022						
CUSIP:	@3,935.00	09/01/2022	1.00	Sell	540.63	619.37	78.74
CALL SPXW 08/31/22 4030 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	08/31/2022						
CUSIP:	@4,030.00	08/31/2022	1.00	Sell	430.63	284.37	-146.26
	08/31/2022						
CUSIP:	@4,030.00	08/31/2022	1.00	Sell	215.63	184.37	-31.26
CALL SPXW 09/01/22 3940 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	09/01/2022						
CUSIP:	@3,940.00	09/01/2022	1.00	Sell	510.63	459.37	-51.26
CALL SPXW 09/02/22 4005 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	09/02/2022						
CUSIP:	@4,005.00	09/02/2022	1.00	Sell	285.63	284.37	-1.26
CALL SPXW 09/02/22 3985 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	09/02/2022						
CUSIP:	@3,985.00	09/02/2022	1.00	Sell	440.63	409.37	-31.26
CALL SPXW 08/31/22 4050 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	08/31/2022						
CUSIP:	@4,050.00	08/31/2022	1.00	Sell	50.54	19.46	-31.08
PUT SPXW 09/01/22 3915 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	09/01/2022						
CUSIP:	@3,915.00	09/01/2022	1.00	Sell	420.63	469.37	48.74
PUT SPXW 09/01/22 3895 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	09/01/2022						
CUSIP:	@3,895.00	09/01/2022	1.00	Sell	470.63	589.37	118.74

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Regulated Futures Contracts & Section 1256 Options

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PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
PUT SPXW 08/31/22 3915 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	08/31/2022						
CUSIP:	@3,915.00	08/31/2022	1.00	Sell	55.54	19.46	-36.08
PUT SPXW 09/02/22 3960 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	09/02/2022						
CUSIP:	@3,960.00	09/02/2022	1.00	Sell	920.63	999.37	78.74
PUT SPXW 09/02/22 3930 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	09/02/2022						
CUSIP:	@3,930.00	09/02/2022	1.00	Sell	680.63	509.37	-171.26
PUT SPXW 08/31/22 3955 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	08/31/2022						
CUSIP:	@3,955.00	08/31/2022	1.00	Sell	420.63	379.37	-41.26
CALL SPXW 08/31/22 4030 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	08/31/2022						
CUSIP:	@4,030.00	08/31/2022	1.00	Sell	280.63	249.37	-31.26
PUT SPXW 09/01/22 3895 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	09/01/2022						
CUSIP:	@3,895.00	09/01/2022	1.00	Sell	810.63	819.37	8.74
CALL SPXW 09/08/22 3990 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	09/08/2022						
CUSIP:	@3,990.00	09/08/2022	2.00	Sell	901.26	738.74	-162.52
CALL SPXW 09/08/22 4030 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	09/08/2022						
CUSIP:	@4,030.00	09/08/2022	1.00	Sell	240.63	239.37	-1.26
PUT SPXW 09/09/22 4025 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	09/09/2022						
CUSIP:	@4,025.00	09/09/2022	1.00	Sell	390.63	419.37	28.74
CALL SPXW 09/07/22 4000 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	09/07/2022						
CUSIP:	@4,000.00	09/07/2022	1.00	Sell	100.63	94.46	-6.17
PUT SPXW 09/06/22 3880 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	09/06/2022						
CUSIP:	@3,880.00	09/06/2022	1.00	Sell	590.63	559.37	-31.26

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Regulated Futures Contracts & Section 1256 Options

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PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL SPXW 09/09/22 4070 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	09/09/2022						
CUSIP:	@4,070.00	09/09/2022	1.00	Sell	380.63	389.37	8.74
CALL SPXW 09/07/22 3945 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	09/07/2022						
CUSIP:	@3,945.00	09/07/2022	1.00	Sell	590.63	529.37	-61.26
CALL SPXW 09/08/22 3990 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	09/08/2022						
CUSIP:	@3,990.00	09/08/2022	1.00	Sell	450.63	329.37	-121.26
PUT SPXW 09/07/22 3895 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	09/07/2022						
CUSIP:	@3,895.00	09/07/2022	1.00	Sell	480.63	499.37	18.74
PUT SPXW 09/06/22 3875 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	09/06/2022						
CUSIP:	@3,875.00	09/06/2022	1.00	Sell	620.63	689.37	68.74
PUT SPXW 09/06/22 3880 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	09/06/2022						
CUSIP:	@3,880.00	09/06/2022	1.00	Sell	460.63	369.37	-91.26
PUT SPXW 09/07/22 3880 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	09/07/2022						
CUSIP:	@3,880.00	09/07/2022	1.00	Sell	410.63	369.37	-41.26
PUT SPXW 09/06/22 3900 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	09/06/2022						
CUSIP:	@3,900.00	09/06/2022	1.00	Sell	640.63	669.37	28.74
CALL SPXW 09/09/22 4095 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	09/09/2022						
CUSIP:	@4,095.00	09/09/2022	1.00	Sell	100.63	84.46	-16.17
PUT SPXW 09/06/22 3900 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	09/06/2022						
CUSIP:	@3,900.00	09/06/2022	1.00	Sell	680.63	719.37	38.74
PUT SPXW 09/06/22 3880 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	09/06/2022						
CUSIP:	@3,880.00	09/06/2022	1.00	Sell	670.63	699.37	28.74

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PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
PUT SPXW 09/13/22 3970 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	09/13/2022						
CUSIP:	@3,970.00	09/13/2022	1.00	Sell	650.63	649.37	-1.26
PUT SPXW 09/07/22 3895 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	09/07/2022						
CUSIP:	@3,895.00	09/07/2022	1.00	Sell	460.63	419.37	-41.26
PUT SPXW 09/15/22 3920 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	09/15/2022						
CUSIP:	@3,920.00	09/15/2022	1.00	Sell	520.63	529.37	8.74
PUT SPXW 09/20/22 3830 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	09/20/2022						
CUSIP:	@3,830.00	09/20/2022	1.00	Sell	640.63	649.37	8.74
CALL SPXW 09/12/22 4125 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	09/12/2022						
CUSIP:	@4,125.00	09/12/2022	1.00	Sell	230.63	174.37	-56.26
CALL SPXW 09/12/22 4115 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	09/12/2022						
CUSIP:	@4,115.00	09/12/2022	1.00	Sell	440.63	459.37	18.74
	09/12/2022						
CUSIP:	@4,115.00	09/12/2022	1.00	Sell	470.63	479.37	8.74
PUT SPXW 09/15/22 3920 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	09/15/2022						
CUSIP:	@3,920.00	09/15/2022	1.00	Sell	570.63	579.37	8.74
CALL SPXW 09/12/22 4110 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	09/12/2022						
CUSIP:	@4,110.00	09/12/2022	1.00	Sell	570.63	569.37	-1.26
PUT SPXW 09/20/22 3830 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	09/20/2022						
CUSIP:	@3,830.00	09/20/2022	1.00	Sell	570.63	569.37	-1.26
	09/20/2022						
CUSIP:	@3,830.00	09/20/2022	1.00	Sell	610.63	499.37	-111.26
	09/20/2022						
CUSIP:	@3,830.00	09/20/2022	1.00	Sell	650.63	649.37	-1.26

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PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
PUT SPXW 10/04/22 3750 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	10/04/2022						
CUSIP:	@3,750.00	10/04/2022	1.00	Sell	600.63	439.37	-161.26
PUT SPXW 10/07/22 3630 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	10/07/2022						
CUSIP:	@3,630.00	10/07/2022	1.00	Sell	480.63	499.37	18.74
CALL SPXW 08/26/22 4200 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	08/26/2022						
CUSIP:	@4,200.00	08/26/2022	1.00	Sell	215.63	174.37	-41.26
PUT SPXW 10/04/22 3750 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	10/04/2022						
CUSIP:	@3,750.00	10/04/2022	1.00	Sell	650.63	669.37	18.74
PUT SPXW 10/06/22 3720 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	10/06/2022						
CUSIP:	@3,720.00	10/06/2022	1.00	Sell	440.63	219.37	-221.26
CALL SPXW 10/13/22 3665 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	10/13/2022						
CUSIP:	@3,665.00	10/13/2022	1.00	Sell	760.63	809.37	48.74
CALL SPXW 10/11/22 3635 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	10/11/2022						
CUSIP:	@3,635.00	10/11/2022	1.00	Sell	420.63	389.37	-31.26
CALL SPXW 11/22/22 3990 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	11/22/2022						
CUSIP:	@3,990.00	11/22/2022	1.00	Sell	480.62	519.38	38.76
	11/22/2022						
CUSIP:	@3,990.00	11/22/2022	1.00	Sell	680.62	689.38	8.76
PUT SPXW 11/22/22 3965 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	11/22/2022						
CUSIP:	@3,965.00	11/22/2022	1.00	Sell	460.62	509.38	48.76
CALL SPXW 11/29/22 3960 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	11/29/2022						
CUSIP:	@3,960.00	11/29/2022	1.00	Sell	320.62	359.38	38.76

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PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL SPXW 12/01/22 4090 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	12/01/2022						
CUSIP:	@4,090.00	12/01/2022	1.00	Sell	450.62	499.38	48.76
PUT SPXW 12/02/22 4045 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	12/02/2022						
CUSIP:	@4,045.00	12/02/2022	1.00	Sell	400.62	369.38	-31.24
PUT SPXW 12/01/22 4040 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	12/01/2022						
CUSIP:	@4,040.00	12/01/2022	1.00	Sell	440.62	379.38	-61.24
CALL SPXW 12/02/22 4065 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	12/02/2022						
CUSIP:	@4,065.00	12/02/2022	1.00	Sell	590.62	499.38	-91.24
CALL SPXW 11/29/22 3970 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	11/29/2022						
CUSIP:	@3,970.00	11/29/2022	1.00	Sell	1,070.62	1,049.38	-21.24
PUT SPXW 12/02/22 4045 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	12/02/2022						
CUSIP:	@4,045.00	12/02/2022	1.00	Sell	380.62	339.38	-41.24
CALL SPXW 12/01/22 4090 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	12/01/2022						
CUSIP:	@4,090.00	12/01/2022	1.00	Sell	400.62	409.38	8.76
PUT SPXW 12/02/22 4045 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	12/02/2022						
CUSIP:	@4,045.00	12/02/2022	1.00	Sell	500.62	549.38	48.76
PUT SPXW 11/29/22 3955 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	11/29/2022						
CUSIP:	@3,955.00	11/29/2022	1.00	Sell	530.62	389.38	-141.24
CALL SPXW 12/02/22 4065 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	12/02/2022						
CUSIP:	@4,065.00	12/02/2022	1.00	Sell	310.62	319.38	8.76
PUT SPXW 11/30/22 3995 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	11/30/2022						
CUSIP:	@3,995.00	11/30/2022	1.00	Sell	120.62	104.38	-16.24

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PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL SPXW 12/01/22 4075 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	12/01/2022						
CUSIP:	@4,075.00	12/01/2022	1.00	Sell	1,000.62	1,049.38	48.76
CALL SPXW 12/01/22 4090 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	12/01/2022						
CUSIP:	@4,090.00	12/01/2022	1.00	Sell	370.62	389.38	18.76
CALL SPXW 10/06/22 3805 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	10/06/2022						
CUSIP:	@3,805.00	10/06/2022	1.00	Sell	255.63	299.37	43.74
PUT SPXW 10/11/22 3615 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	10/11/2022						
CUSIP:	@3,615.00	10/11/2022	1.00	Sell	420.63	329.37	-91.26
CALL SPXW 10/11/22 3635 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	10/11/2022						
CUSIP:	@3,635.00	10/11/2022	1.00	Sell	430.63	319.37	-111.26
CALL SPXW 11/22/22 3990 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	11/22/2022						
CUSIP:	@3,990.00	11/22/2022	1.00	Sell	480.62	499.38	18.76
PUT SPXW 11/23/22 4010 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	11/23/2022						
CUSIP:	@4,010.00	11/23/2022	1.00	Sell	380.62	329.38	-51.24
PUT SPXW 11/23/22 3990 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	11/23/2022						
CUSIP:	@3,990.00	11/23/2022	1.00	Sell	400.62	419.38	18.76
CALL SPXW 11/23/22 4035 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	11/23/2022						
CUSIP:	@4,035.00	11/23/2022	1.00	Sell	260.62	249.38	-11.24
PUT SPXW 11/29/22 3955 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	11/29/2022						
CUSIP:	@3,955.00	11/29/2022	1.00	Sell	470.62	619.38	148.76
PUT SPXW 11/30/22 4025 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	11/30/2022						
CUSIP:	@4,025.00	11/30/2022	1.00	Sell	590.62	629.38	38.76

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PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL SPXW 12/05/22 4025 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	12/05/2022						
CUSIP:	@4,025.00	12/05/2022	1.00	Sell	265.62	164.38	-101.24
PUT SPXW 12/05/22 4005 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	12/05/2022						
CUSIP:	@4,005.00	12/05/2022	1.00	Sell	330.62	409.38	78.76
CUSIP:	@4,005.00	12/05/2022	1.00	Sell	680.62	739.38	58.76
CUSIP:	@4,005.00	12/05/2022	1.00	Sell	670.62	519.38	-151.24
CALL SPXW 12/05/22 4025 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	12/05/2022						
CUSIP:	@4,025.00	12/05/2022	1.00	Sell	230.62	209.38	-21.24
PUT SPXW 12/05/22 4005 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	12/05/2022						
CUSIP:	@4,005.00	12/05/2022	1.00	Sell	790.62	519.38	-271.24
CALL SPXW 12/08/22 3990 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	12/08/2022						
CUSIP:	@3,990.00	12/08/2022	1.00	Sell	360.62	159.39	-201.23
CALL SPXW 11/23/22 4015 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	11/23/2022						
CUSIP:	@4,015.00	11/23/2022	1.00	Sell	720.62	749.38	28.76
PUT SPXW 11/21/22 3955 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	11/21/2022						
CUSIP:	@3,955.00	11/21/2022	1.00	Sell	770.62	809.38	38.76
PUT SPXW 11/22/22 3965 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	11/22/2022						
CUSIP:	@3,965.00	11/22/2022	1.00	Sell	145.62	64.47	-81.15
CUSIP:	@3,965.00	11/22/2022	1.00	Sell	120.62	64.47	-56.15
CALL SPXW 12/08/22 3980 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	12/08/2022						
CUSIP:	@3,980.00	12/08/2022	1.00	Sell	470.62	699.38	228.76

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

Apex Clearing

Regulated Futures Contracts & Section 1256 Options

2022

5NE90569

(continued)

02/09/2023

PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL SPXW 12/08/22 3990 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	12/08/2022						
CUSIP:	@3,990.00	12/08/2022	1.00	Sell	440.62	159.38	-281.24
CUSIP:	@3,990.00	12/08/2022	1.00	Sell	410.62	159.38	-251.24
PUT SPXW 12/08/22 3940 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	12/08/2022						
CUSIP:	@3,940.00	12/08/2022	1.00	Sell	510.62	519.38	8.76
CALL SPXW 08/17/22 4305 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	08/17/2022						
CUSIP:	@4,305.00	08/17/2022	1.00	Sell	240.63	249.37	8.74
CALL SPXW 12/08/22 3980 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	12/08/2022						
CUSIP:	@3,980.00	12/08/2022	1.00	Sell	580.62	599.38	18.76
CALL SPXW 12/08/22 3990 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	12/08/2022						
CUSIP:	@3,990.00	12/08/2022	1.00	Sell	240.62	159.38	-81.24
CALL SPXW 08/17/22 4305 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	08/17/2022						
CUSIP:	@4,305.00	08/17/2022	1.00	Sell	240.63	254.37	13.74
PUT SPXW 12/12/22 3950 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	12/12/2022						
CUSIP:	@3,950.00	12/12/2022	1.00	Sell	295.62	329.38	33.76
CALL SPXW 11/29/22 3970 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	11/29/2022						
CUSIP:	@3,970.00	11/29/2022	1.00	Sell	960.62	1,069.38	108.76
CALL SPXW 12/13/22 4050 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	12/13/2022						
CUSIP:	@4,050.00	12/13/2022	1.00	Sell	280.62	289.38	8.76
CALL SPXW 12/30/22 3825 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT	12/30/2022						
CUSIP:	@3,825.00	12/30/2022	1.00	Sell	601.17	618.83	17.66

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Apex Clearing

Regulated Futures Contracts & Section 1256 Options

2022

5NE90569

(continued)

02/09/2023

PROFIT OR LOSS REALIZED IN 2022 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL SPXW 12/15/22 3935 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	12/15/2022						
CUSIP:	@3,935.00	12/15/2022	1.00	Sell	155.62	164.38	8.76
CALL SPXW 12/13/22 4050 CBOE S&P 500 CLOSE/EURO INDEX CLOSING CONTRACT							
	12/13/2022						
CUSIP:	@4,050.00	12/13/2022	1.00	Sell	190.61	229.38	38.77
	12/13/2022						
CUSIP:	@4,050.00	12/13/2022	1.00	Sell	190.62	199.38	8.76
	12/13/2022						
CUSIP:	@4,050.00	12/13/2022	1.00	Sell	190.62	194.38	3.76
Short-Term Profit/(Loss) Total: 40%							-972.14
Long-Term Profit/(Loss) Total: 60%							-1,458.21
Total:							-2,430.35

Aggregate Short-Term Profit or (Loss): 40%

-972.14

Aggregate Long-Term Profit or (Loss): 60%

-1,458.21

11 - Aggregate Profit or (Loss) on Contracts**-2,430.35**

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (SSN, ITIN, ATIN, or EIN). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) (with a Form 1096) to the IRS for each of the other owners to show their share of the income, and you must furnish the respective Copy B Form(s) to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2022 General Instructions for Certain Information Returns.

FreeFile. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

Future developments. For the latest information about developments related to forms and instructions, such as legislation enacted after they were published, go to www.irs.gov/forms-instructions.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Box 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

Box 1b. Shows the portion of the amount in box 1a that may be eligible for reduced capital gains rates. See the Instructions for Form 1040 for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Box 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in boxes 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in box 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Form 1040.

Box 2b. Shows the portion of the amount in box 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

Box 2c. Shows the portion of the amount in box 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

Box 2d. Shows the portion of the amount in box 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

Box 2e. Shows the portion of the amount in box 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

Box 2f. Shows the portion of the amount in box 2a that is section 897 gain attributable to disposition of USRPI. Note: Boxes 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

Box 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Box 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5. Shows the portion of the amount in box 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Box 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in box 1a.

Box 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Form 1040.

Box 8. This box should be left blank if a RIC reported the foreign tax shown in box 7.

Boxes 9 and 10. Show cash and noncash liquidation distributions.

Box 11. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You may also have a filing requirement. See the Instructions for Form 8938.

Box 12. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Form 1040 for where to report. This amount may be subject to backup withholding. See Box 4 above.

Box 13. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in box 12. See the Instructions for Form 6251.

Boxes 14-16. State income tax withheld reporting boxes.

1099-INT Instructions for Recipient

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer must generally report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

Box 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in box 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during the calendar year on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

Box 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Form 1040 to see where to take the deduction.

Box 3. Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in box 1. See the instructions above for a taxable covered security acquired at a premium.

Box 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Box 5. Any amount shown is your share of investment expenses of a single-class REMIC. This amount is included in box 1. Note: This amount is not deductible.

Box 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

Box 7. Shows the country or U.S. possession to which the foreign tax was paid.

Box 8. Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Form 1040. This amount may be subject to backup withholding. See Box 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

Box 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in box 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

Box 10. For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Form 1040. Market discount on a tax-exempt security is includible in taxable income as interest income.

Box 11. For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this box for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 1. If the amount in box 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

Box 12. For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this box for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 3. If the amount in box 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

Box 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this box, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this box for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in box 8 or 9, whichever is applicable. If the amount in box 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

Box 14. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s).

Boxes 15-17. State tax withheld reporting boxes.

1099-B Instructions to Recipients

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040) and/or Form 8949.

Box 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Box 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Box 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Box 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040).

Box 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank. Box 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Box 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method is generally treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Box 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Box 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Box 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "Net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Box 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040) instructions. The broker should advise you of any losses on a separate statement.

Regulated Futures Contracts, Foreign Currency Contracts, and Section 1256 Option Contracts (Boxes 8 Through 11)

Box 8. Shows the profit or (loss) realized on regulated futures, foreign currency, or Section 1256 option contracts closed during 2022.

Box 9. Shows any year-end adjustment to the profit or (loss) shown in box 8 due to open contracts on December 31, 2021.

Box 10. Shows the unrealized profit or (loss) on open contracts held in your account on December 31, 2022. These are considered closed out as of that date. This will become an adjustment reported as unrealized profit or (loss) on open contracts—12/31/2022 in 2023.

Box 11. Boxes 8, 9, and 10 are all used to figure the aggregate profit or (loss) on regulated futures, foreign currency, or Section 1256 option contracts for the year. Include this amount on your 2022 Form 6781.

Box 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040) as you may be able to report your transaction directly on Schedule D (Form 1040). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Box 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Boxes 14–16. Show state(s)/local income tax information.

1099-MISC Instructions to Recipients

Amounts shown may be subject to self-employment (SE) tax. Individuals should see the Instructions for Schedule SE (Form 1040). Corporations, fiduciaries, or partnerships must report the amounts on the appropriate line of their tax returns.

Form 1099-MISC incorrect? If this form is incorrect or has been issued in error, contact the payer. If you cannot get this form corrected, attach an explanation to your tax return and report your information correctly.

Box 1. Report rents from real estate on Schedule E (Form 1040). However, report rents on Schedule C (Form 1040) if you provided significant services to the tenant, sold real estate as a business, or rented personal property as a business. See Pub. 527.

Box 2. Report royalties from oil, gas, or mineral properties; copyrights; and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the Schedule E (Form 1040) instructions. For royalties on timber, coal, and iron ore, see Pub. 544.

Box 3. Generally, report this amount on the "Other income" line of Schedule 1 (Form 1040) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).

Box 4. Shows backup withholding or withholding on Indian gaming profits. Generally, a payer must backup withhold if you did not furnish your TIN. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Box 5. Shows the amount paid to you as a fishing boat crew member by the operator, who considers you to be self-employed. Self-employed individuals must report this amount on Schedule C (Form 1040). See Pub. 334.

Box 6. For individuals, report on Schedule C (Form 1040).

Box 7. If checked, consumer products totaling \$5,000 or more were sold to you for resale, on a buy-sell, a deposit-commission, or other basis. Generally, report any income from your sale of these products on Schedule C (Form 1040).

Box 8. Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040).

Box 9. Report this amount on Schedule F (Form 1040).

Box 10. Shows gross proceeds paid to an attorney in connection with legal services. Report only the taxable part as income on your return.

Box 11. Shows the amount of cash you received for the sale of fish if you are in the trade or business of catching fish.

Box 12. May show current year deferrals as a nonemployee under a nonqualified deferred compensation (NQDC) plan that is subject to the requirements of section 409A plus any earnings on current and prior year deferrals.

Box 13. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You may also have a filing requirement. See the Instructions for Form 8938.

Box 14. Shows your total compensation of excess golden parachute payments subject to a 20% excise tax. See your tax return instructions for where to report.

Box 15. Shows income as a nonemployee under an NQDC plan that does not meet the requirements of section 409A. Any amount included in box 12 that is currently taxable is also included in this box. Report this amount as income on your tax return. This income is also subject to a substantial additional tax to be reported on Form 1040, 1040-SR, or 1040-NR. See the instructions for your tax return.

Boxes 16–18. Show state or local income tax withheld from the payments.

1099-OID Instructions to Recipients

Original issue discount (OID) is the excess of an obligation's stated redemption price at maturity over its issue price (acquisition price for a stripped bond or coupon). OID on a taxable obligation is taxable as interest over the life of the obligation. If you are the holder of a taxable OID obligation, you generally must include an amount of OID in your gross income each year you hold the obligation. Obligations that may have OID include a bond, debenture, note, certificate, or other evidence of indebtedness having a term of more than 1 year. For example, the OID rules may apply to certificates of deposit (CDs), time deposits, bonus savings plans, and other deposit arrangements, especially if the payment of interest is deferred until maturity. In addition, the OID rules apply to Treasury inflation-protected securities. See Pub. 550 for more information.

If, as the record holder, you receive Form 1099-OID showing amounts belonging to another person, you are considered a nominee recipient. Complete a Form 1099-OID for each of the other owners showing the amounts allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner as the "recipient." File Form(s) 1099-OID with Form 1096 with the Internal Revenue Service Center for your area. On Form 1096, list yourself as the "filer." A spouse is not required to file a nominee return to show amounts owned by the other spouse. If you bought or sold an obligation during the year and you are not a nominee, you are not required to issue or file Form 1099-OID showing the OID or stated interest allocable to the seller/buyer of the obligation.

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a covered security acquired with acquisition premium, your payer generally must report either (1) a net amount of OID that reflects the offset of OID by the amount of acquisition premium amortization for the year, or (2) a gross amount for both the OID and the acquisition premium amortization for the year. For a noncovered security acquired with acquisition premium, your payer is only required to report the gross amount of OID.

Box 1. Shows the OID on a taxable obligation for the part of the year you owned it. Report the amount in box 1 as interest income on your income tax return. However, depending on the type of debt instrument, the issue or acquisition date, and other factors (for example, if you paid acquisition or bond premium, or the obligation is a stripped bond or coupon), you may have to figure the correct amount of OID to report on your return. See Pub. 1212 for details on how to figure the correct OID. See the instructions above for a covered security acquired with acquisition premium.

Box 2. Shows qualified stated interest on this obligation for the year, which is an amount separate from the OID. If you held the obligation the entire year, report this amount as interest income on your tax return. If you disposed of the obligation or acquired it from another holder during the year, see Pub. 550 for reporting instructions. If there is an amount in both boxes 2 and 8, the amount in box 2 is interest on a U.S. Treasury obligation and is exempt from state and local income taxes. If there is an amount in both boxes 2 and 11, the amount in box 2 is tax-exempt interest and is not included in interest income on your tax return. In general, see how to report tax-exempt interest in the Instructions for Forms 1040 and 1040-SR.

Box 3. Shows interest or principal forfeited if you withdrew the money before the maturity date of the obligation, such as from a CD. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction.

Box 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5. For a covered security acquired with OID, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you. For a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, and issued with OID, shows the market discount that accrued on the obligation during the year while held by you.

For a covered security acquired on or after January 1, 2015, market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). The payer may, but is not required to, report the market discount for a tax-exempt obligation that is a covered security acquired before January 1, 2017. Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includible in taxable income as interest income.

Box 6. For a taxable covered security, shows the amount of acquisition premium amortization for the year that reduces the amount of OID that is included as interest on your income tax return. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040 or 1040-SR). If an amount is not reported in this box for a covered security acquired with acquisition premium, the payer has reported a net amount of OID that reflects the offset of OID that is included in income. If the payer has reported a net amount of OID in box 1 or box 8, you must report the net amount of OID on Schedule B (Form 1040 or 1040-SR) and you must not make an adjustment to this amount for acquisition premium on Schedule B (Form 1040 or 1040-SR). If there is an amount in both boxes 6 and 11, for a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, and is issued with OID, the amount in box 6 shows the amount of acquisition premium amortization for the year that reduces the amount of your tax-exempt OID for the year. The payer may, but is not required to, report the acquisition premium for a tax-exempt obligation that is a covered security acquired before January 1, 2017, and issued with OID. See the instructions above for a covered security acquired with acquisition premium.

Box 7. Shows the identification (CUSIP) number or description of the obligation (may include the stock exchange, issuer, coupon rate, and year of maturity).

Box 8. Shows OID on a U.S. Treasury obligation for the part of the year you owned it. Report this amount as interest income on your federal income tax return and see Pub. 1212 to figure any appropriate adjustments to this amount. This OID is exempt from state and local income taxes and is not included in box 1. See the instructions above for a covered security acquired with acquisition premium. If the number in this box is negative, it represents a deflation adjustment. See Pub. 550 for further information on accounting for this adjustment.

Box 9. Any amount shown is your share of investment expenses of a single-class REMIC. This amount is included in box 2. Note: This amount is not deductible.

Box 10. For a taxable covered security, including a Treasury inflation-protected security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040 or 1040-SR). If an amount is not reported in this box for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 2. If the amount in this box is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4). If there is an amount in both boxes 10 and 11, for a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, and issued with OID, the amount in box 10 shows the amount of premium amortization for the year that reduces the amount of your tax-exempt interest for the year. The payer may, but is not required to, report the premium amortization for a tax-exempt obligation that is a covered security acquired before January 1, 2017, and issued with OID.

Box 11. For a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, shows the tax-exempt OID on the obligation for the part of the year you owned it. The payer may, but is not required to, report the OID for a tax-exempt obligation that is a covered security acquired before January 1, 2017. In general, report the amount of tax-exempt OID on Form 1040 or 1040-SR. See the instructions above for a covered security acquired with acquisition premium.

Boxes 12-14. State income tax withheld reporting.