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Robinhood Securities LLC 500 Colonial Center Parkway		formation t 143898500	Statement Date: Document ID:	02/11/2022 H1QW 852 4RHY	2021
Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700	Gowtham Koppuravari 380 Vistacourt Dr 2311 Plano, TX 75074				
PAYER'S TIN: 38-4019216	RECIPIENT'S TIN: XXX-X	X-8904			
[] FATCA filing requirement (see instructions)	Summary I	nformation			
DIVIDENDS AND DISTRIBUTIONS 2021 1099-DIV	* OMB No. 1545-0110	MISCELLANEOUS	INFORMATION	2021 1099-MISC*	OMB No. 1545-011
1a- Total ordinary dividends (includes lines 1b, 5, 2e)	0.00	2- Royalties			0.00
1b- Qualified dividends	0.00	3- Other income			0.00
2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)	0.00	4- Federal income tax	withheld		0.00
2b- Unrecaptured Section 1250 gain	0.00	8- Substitute payments	in lieu of dividends of	r interest	0.00
2c- Section 1202 gain	0.00				
2d- Collectibles (28%) gain	0.00	SECTION 1256 CON	NTRACTS	2021 1099-B*	OMB No. 1545-071
2e- Section 897 ordinary dividends	0.00 0.00	8- Profit or (loss) realize	ed in 2021 on closed	contracts	0.00
2f- Section 897 capital gain 3- Nondividend distributions	0.00	9- Unrealized profit or (			0.00
4- Federal income tax withheld	0.00	10- Unrealized profit or (			0.00
5- Section 199A dividends	0.00	11- Aggregate profit or (	oss) on contracts		0.00
6- Investment expenses	0.00	lf an all a shite	I. C		
8- Foreign country or US possession: 7- Foreign tax paid:	0.00			ons appear summarized belo	ow and are
9- Cash liquidation distributions	0.00	detailed in subseque. This is important tax information			un Sarvina
10- Noncash liquidation distributions	0.00	f you are required to file a return			
11- Exempt-interest dividends (includes line 12)	0.00	you are required to me a return you if this income is taxable and			
<ol><li>Specified private activity bond interest dividends (AMT)</li></ol>	0.00		the into determines	that it has not been report	

#### SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	264,717.52	329,850.39	0.00	55,918.08	-9,214.79
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	264,717.52	329,850.39	0.00	55,918.08	-9,214.79
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	264,717.52	329,850.39	0.00	55,918.08	-9,214.79
Withholding		Amount				
Federal income	tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

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**Summary Information** 

OMB No. 1545-0112

(continued)

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#### INTEREST INCOME 2021 1099-INT

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	69.14
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
Tax-exempt obligations (covered lots)	0.00
Tax-exempt private activity obligations (AMT, covered lots)	0.00
14- Tax-exempt and tax credit bond CUSIP number	See detail

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid Taxable accrued Treasury interest paid	0.00 0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

#### STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

#### ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

#### **RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	65.76
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

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## **Proceeds from Broker and Barter Exchange Transactions**

#### **2021 1099-B\*** OMB No. 1545-0715

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Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*) "Gain or loss (-)" is NOT reported to the IRS.

#### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AZN 01/15/2021 CAL	L \$65.00 / CUSIP: / Symbol:						
01/15/21	10.000	0.00	11/20/20	540.00		-540.00	Option expiration- long call
BFT 01/15/2021 CAL	L \$20.00 / CUSIP: / Symbol:						
01/12/21	2.000 4 transactions for	19.98 r 01/13/21. Total proceed	01/06/21 Is and cost repor	20.00 rted to the IRS.	0.02 W	0.00	Option sale to close-call <sup>25</sup>
	8.000	39.98	01/06/21	80.00		-40.02	1 of 4 - Option sale to close-call 25
	8.000	39.97	01/06/21	40.00		-0.03	2 of 4 - Option sale to close-call 25
	1.000	5.00	01/06/21	5.01		-0.01	3 of 4 - Option sale to close-call 25
	1.000	5.00	01/06/21	5.01		-0.01	4 of 4 - Option sale to close-call 25
01/13/21	18.000	89.95	Various	130.02		-40.07	Total of 4 transactions
	Security total:	109.93		150.02	0.02 W	-40.07	

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## **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

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## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CGRO 01/15/2021 CALL	\$15.00 / CUSIP: / Syml	bol:					
	2 transactions for	or 01/08/21. Total proceed	ls and cost repor	ted to the IRS.			
	1.000	40.99	01/08/21	44.00		-3.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	40.99	01/08/21	44.00		-3.01	2 of 2 - Option sale to close-call <sup>25</sup>
01/08/21	2.000	81.98	Various	88.00		-6.02	Total of 2 transactions
01/15/21	2.000	81.98	01/15/21	0.00		81.98	Short sale closed- call expired Option written: 01/08/21
	Security total:	163.96		88.00		75.96	
FIII 02/19/2021 CALL \$2	0.00 / CUSIP: / Symbol:						
02/19/21	1.000	0.00	02/03/21	20.00		-20.00	Option expiration- long call
GIK 03/19/2021 CALL \$	15.00 / CUSIP: / Symbol:	:					
	4 transactions for	or 03/01/21. Total proceed	ls and cost repor	ted to the IRS.			
	4.000	379.98	03/01/21	404.00		-24.02	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	94.98	03/01/21	101.00		-6.02	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	95.00	03/01/21	101.00		-6.00	3 of 4 - Option sale to close-call <sup>25</sup>
	4.000	379.98	03/01/21	384.00		-4.02	4 of 4 - Option sale to close-call <sup>25</sup>
03/01/21	10.000	949.94	Various	990.00		-40.06	Total of 4 transactions
GOEV 01/15/2021 CALL	. \$20.00 / CUSIP: / Symb	pol:					
01/15/21	2.000	739.97	01/15/21	0.00		739.97	Short sale closed- call expired Option written: 12/17/20
IPOE 02/19/2021 CALL	\$30.00 / CUSIP: / Symbo	ol:					
	2 transactions for	or 01/28/21. Total proceed	ls and cost repor	ted to the IRS.			
	9.000	404.97	01/14/21	405.00		-0.03	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	45.00	01/14/21	45.00		0.00	2 of 2 - Option sale to close-call <sup>25</sup>
01/28/21	10.000	449.97	Various	450.00		-0.03	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

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## **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

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# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS. **1a- Description of property/CUSIP/Symbol** 

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CANOO INC. CLASS	A COMMON STOC K / CUS	SIP: 13803R102 / Symbol	:				
	3 transactions fo	or 03/04/21. Total proceed	ls and cost repor	ted to the IRS.			
	100.000	1,099.98	12/17/20	1,905.00		-805.02	1 of 3 - Sale <sup>25</sup>
	64.000	703.99	12/17/20	1,219.20		-515.21	2 of 3 - Sale <sup>25</sup>
	36.000	395.99	12/17/20	686.16		-290.17	3 of 3 - Sale <sup>25</sup>
03/04/21	200.000	2,199.96	Various	3,810.36		-1,610.40	Total of 3 transactions
COLLECTIVE GROWT	TH CORPORATION CLASS	S A COMMON STOCK / (	CUSIP: 19424L1	01 / Symbol:			
02/12/21	200.000	2,591.92	12/16/20	2,350.00		241.92	Sale <sup>25</sup>
FISKER INC. / CUSIP:	: 33813J106 / Symbol:						
01/13/21	200.000	3,199.90	01/13/21	3,051.00		148.90	Sale <sup>25</sup>
FOLEY TRASIMENE A	ACQUISITION CO RP. II / C	CUSIP: 344328109 / Sym	bol:				
01/14/21	2.000	31.70	01/05/21	29.24		2.46	Sale 25
GIGCAPITAL3, INC. /	CUSIP: 37519D107 / Symb	pol:					
01/16/21	500.000	7,674.71 N	01/13/21	7,135.00		539.71	Sold via call assignment <sup>25</sup> Proceeds or Cost adjusted fo option premium of \$174.94
	6 transactions fo	or 01/20/21. Total proceed	ls and cost repor	ted to the IRS.			
	5.000	79.00	01/13/21	71.35		7.65	1 of 6 - Sale <sup>25</sup>
	55.000	868.97	01/13/21	793.90		75.07	2 of 6 - Sale <sup>25</sup>
	50.000	789.98	01/13/21	713.50		76.48	3 of 6 - Sale 25
	200.000	3,177.90	01/13/21	2,886.92		290.98	4 of 6 - Sale <sup>25</sup>
	245.000	3,878.23	01/13/21	3,536.48		341.75	5 of 6 - Sale <sup>25</sup>
04/00/04	445.000	7,030.79	01/13/21	6,350.15		680.64	6 of 6 - Sale <sup>25</sup>
01/20/21	1,000.000 9 transactions fo	15,824.87 or 01/25/21. Total proceed	Various	14,352.30		1,472.57	Total of 6 transactions
	660.000	9,840.30	01/21/21	10,536.90	696.60 W	0.00	1 of 9 - Sale <sup>25</sup>
	70.000	9,840.30 1,043.66	01/21/21	1,117.55	73.89 W	0.00	2 of 9 - Sale <sup>25</sup>
	270.000	3,998.58	01/21/21	4,310.55	311.97 W	0.00	3 of 9 - Sale <sup>25</sup>
	20.000	303.99	01/25/21	323.11	19.12 W	0.00	4 of 9 - Sale <sup>25</sup>
	100.000	1,519.95	01/25/21	1,615.55	95.60 W	0.00	5 of 9 - Sale <sup>25</sup>
	116.000	1,763.15	01/25/21	1,874.03	110.88 W	0.00	6 of 9 - Sale <sup>25</sup>
	106.000	1,611.15	01/25/21	1,712.48	101.33 W	0.00	7 of 9 - Sale <sup>25</sup>

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## **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

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## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information				
GIGCAPITAL3, INC. /	CUSIP: 37519D107 / Symb	ool: (cont'd)									
	40.000	607.98	01/25/21	646.22	38.24 W	0.00	8 of 9 - Sale <sup>25</sup>				
	318.000	4,833.46	01/25/21	5,137.43	303.97 W	0.00	9 of 9 - Sale <sup>25</sup>				
01/25/21	1,700.000	25,522.22	Various	27,273.82	1,751.60 W	0.00	Total of 9 transactions				
	12 transactions for 02/19/21. Total proceeds and cost reported to the IRS.										
	32.000	449.59	01/25/21	520.17	70.58 W	0.00	1 of 12 - Sale 25				
	30.000	421.49	01/25/21	484.67	63.18 W	0.00	2 of 12 - Sale <sup>25</sup>				
	122.000	1,714.04	01/25/21	1,983.17	269.13 W	0.00	3 of 12 - Sale <sup>25</sup>				
	100.000	1,404.95	01/25/21	1,625.54	220.59 W	0.00	4 of 12 - Sale 25				
	16.000	224.79	01/25/21	260.09	35.30 W	0.00	5 of 12 - Sale <sup>25</sup>				
	20.000	280.99	01/25/21	324.01	43.02 W	0.00	6 of 12 - Sale 25				
	80.000	1,123.97	01/25/21	1,296.03	172.06 W	0.00	7 of 12 - Sale <sup>25</sup>				
	40.000	561.98	01/25/21	648.02	86.04 W	0.00	8 of 12 - Sale <sup>25</sup>				
	20.000	280.99	01/25/21	324.01	43.02 W	0.00	9 of 12 - Sale <sup>25</sup>				
	106.000	1,489.25	01/25/21	1,717.24	227.99 W	0.00	10 of 12 - Sale <sup>25</sup>				
	116.000	1,629.75	01/25/21	1,879.23	249.48 W	0.00	11 of 12 - Sale <sup>25</sup>				
	318.000	4,467.77	01/25/21	5,151.68	683.91 W	0.00	12 of 12 - Sale <sup>25</sup>				
02/19/21	1,000.000	14,049.56	Various	16,213.86	2,164.30 W	0.00	Total of 12 transactions				
	14 transactions	for 02/24/21. Total procee	eds and cost repo	orted to the IRS.							
	16.000	207.99	01/26/21	277.86	69.87 W	0.00	1 of 14 - Sale 25				
	20.000	259.99	01/26/21	346.22	86.23 W	0.00	2 of 14 - Sale 25				
	20.000	259.99	01/26/21	346.22	86.23 W	0.00	3 of 14 - Sale 25				
	30.000	389.99	01/26/21	517.98	127.99 W	0.00	4 of 14 - Sale 25				
	32.000	415.99	01/26/21	555.70	139.71 W	0.00	5 of 14 - Sale <sup>25</sup>				
	80.000	1,039.97	01/26/21	1,384.87	344.90 W	0.00	6 of 14 - Sale 25				
	100.000	1,299.96	01/26/21	1,736.60	436.64 W	0.00	7 of 14 - Sale 25				
	64.000	831.97	01/26/21	1,107.90	275.93 W	0.00	8 of 14 - Sale <sup>25</sup>				
	116.000	1,507.95	01/26/21	2,008.05	500.10 W	0.00	9 of 14 - Sale <sup>25</sup>				
	122.000	1,585.95	01/26/21	2,118.66	532.71 W	0.00	10 of 14 - Sale <sup>25</sup>				
	40.000	519.98	01/26/21	692.45	172.47 W	0.00	11 of 14 - Sale <sup>25</sup>				
	42.000	545.98	01/26/21	727.06	181.08 W	0.00	12 of 14 - Sale <sup>25</sup>				
	318.000	4,133.87	01/26/21	5,504.83	1,370.96 W	0.00	13 of 14 - Sale <sup>25</sup>				
	1.000	13.00	02/10/21	18.33	5.33 W	0.00	14 of 14 - Sale <sup>25</sup>				
02/24/21	1,001.000	13,012.58	Various	17,342.73	4,330.15 W	0.00	Total of 14 transactions				

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## **Proceeds from Broker and Barter Exchange Transactions**

2021 **1099-B\*** OMB No. 1545-0715

(continued)

02/11/2022

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (*Lines 2 & 5*) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*)

#### "Gain or loss (-)" is NOT reported to the IRS. 1a-Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GIGCAPITAL3, INC. / CI	JSIP: 37519D107 / Symb	ool: (cont'd)					
	29 transactions	for 02/25/21. Total procee	eds and cost repo	orted to the IRS.			
	16.000	204.32	02/10/21	294.96	90.64 W	0.00	1 of 29 - Sale <sup>25</sup>
	20.000	255.39	02/10/21	367.59	112.20 W	0.00	2 of 29 - Sale <sup>25</sup>
	13.000	166.01	02/10/21	238.93	72.92 W	0.00	3 of 29 - Sale <sup>25</sup>
	17.000	217.09	02/10/21	311.69	94.60 W	0.00	4 of 29 - Sale <sup>25</sup>
	32.000	408.63	02/10/21	589.89	181.26 W	0.00	5 of 29 - Sale <sup>25</sup>
	80.000	1,021.58	02/10/21	1,470.36	448.78 W	0.00	6 of 29 - Sale <sup>25</sup>
	100.000	1,276.98	02/10/21	1,843.46	566.48 W	0.00	7 of 29 - Sale <sup>25</sup>
	122.000	1,557.92	02/10/21	2,249.03	691.11 W	0.00	8 of 29 - Sale <sup>25</sup>
	7.000	89.39	02/10/21	128.66	39.27 W	0.00	9 of 29 - Sale <sup>25</sup>
	13.000	166.01	02/10/21	238.93	72.92 W	0.00	10 of 29 - Sale <sup>25</sup>
	12.000	153.24	02/10/21	220.02	66.78 W	0.00	11 of 29 - Sale <sup>25</sup>
	50.000	638.49	02/10/21	918.97	280.48 W	0.00	12 of 29 - Sale <sup>25</sup>
	11.000	140.47	02/10/21	202.17	61.70 W	0.00	13 of 29 - Sale <sup>25</sup>
	25.000	319.25	02/10/21	459.49	140.24 W	0.00	14 of 29 - Sale <sup>25</sup>
	5.000	63.85	02/10/21	91.90	28.05 W	0.00	15 of 29 - Sale <sup>25</sup>
	50.000	638.48	02/10/21	918.98	280.50 W	0.00	16 of 29 - Sale <sup>25</sup>
	12.000	153.24	02/10/21	220.55	67.31 W	0.00	17 of 29 - Sale <sup>25</sup>
	39.000	498.02	02/10/21	716.80	218.78 W	0.00	18 of 29 - Sale <sup>25</sup>
	14.000	178.77	02/10/21	257.32	78.55 W	0.00	19 of 29 - Sale 25
	3.000	38.31	02/10/21	55.14	16.83 W	0.00	20 of 29 - Sale 25
	69.000	881.11	02/10/21	1,268.18	387.07 W	0.00	21 of 29 - Sale 25
	86.000	1,098.20	02/10/21	1,580.63	482.43 W	0.00	22 of 29 - Sale <sup>25</sup>
	37.000	472.49	02/10/21	680.04	207.55 W	0.00	23 of 29 - Sale <sup>25</sup>
	10.000	127.70	02/10/21	183.79	56.09 W	0.00	24 of 29 - Sale <sup>25</sup>
	1.000	12.77	02/10/21	18.38	5.61 W	0.00	25 of 29 - Sale <sup>25</sup>
	35.000	446.94	02/10/21	643.30	196.36 W	0.00	26 of 29 - Sale <sup>25</sup>
	115.000	1,468.53	02/10/21	2,113.63	645.10 W	0.00	27 of 29 - Sale <sup>25</sup>
	5.000	63.85	02/10/21	91.90	28.05 W	0.00	28 of 29 - Sale <sup>25</sup>
	1.000	12.77	02/11/21	19.37	6.60 W	0.00	29 of 29 - Sale <sup>25</sup>
02/25/21	1,000.000	12,769.80	Various	18,394.06	5,624.26 W	0.00	Total of 29 transactions
		for 03/01/21. Total procee			- ,		
	12.000	151.20	02/19/21	233.52	82.32 W	0.00	1 of 35 - Sale <sup>25</sup>
	17.000	214.20	02/19/21	330.81	116.61 W	0.00	2 of 35 - Sale <sup>25</sup>

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## **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS. **1a- Description of property/CUSIP/Symbol** 

1c- Date sold or		1d- Proceeds & 6- Reported	1b- Date	1e- Cost or	1f- Accrued mkt disc (D) & 1g- Wash sale loss	Gain or loss(-) & 7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	also not reported (Z)	Additional information
GIGCAPITAL3, INC. / C	CUSIP: 37519D107 / Symb	bol: (cont'd)					
	32.000	403.19	02/19/21	625.90	222.71 W	0.00	3 of 35 - Sale <sup>25</sup>
	39.000	491.39	02/19/21	762.84	271.45 W	0.00	4 of 35 - Sale 25
	17.000	214.20	02/19/21	332.51	118.31 W	0.00	5 of 35 - Sale <sup>25</sup>
	83.000	1,045.78	02/19/21	1,623.47	577.69 W	0.00	6 of 35 - Sale <sup>25</sup>
	1.000	12.60	02/19/21	19.51	6.91 W	0.00	7 of 35 - Sale <sup>25</sup>
	16.000	201.60	02/19/21	313.02	111.42 W	0.00	8 of 35 - Sale <sup>25</sup>
	83.000	1,045.78	02/19/21	1,623.80	578.02 W	0.00	9 of 35 - Sale <sup>25</sup>
	7.000	88.20	02/19/21	136.56	48.36 W	0.00	10 of 35 - Sale <sup>25</sup>
	7.000	88.20	02/19/21	136.56	48.36 W	0.00	11 of 35 - Sale <sup>25</sup>
	11.000	138.60	02/19/21	214.59	75.99 W	0.00	12 of 35 - Sale <sup>25</sup>
	13.000	163.80	02/19/21	253.61	89.81 W	0.00	13 of 35 - Sale <sup>25</sup>
	13.000	163.80	02/19/21	253.61	89.81 W	0.00	14 of 35 - Sale <sup>25</sup>
	19.000	239.40	02/19/21	370.67	131.27 W	0.00	15 of 35 - Sale <sup>25</sup>
	50.000	629.99	02/19/21	975.43	345.44 W	0.00	16 of 35 - Sale <sup>25</sup>
	80.000	1,007.98	02/19/21	1,560.70	552.72 W	0.00	17 of 35 - Sale <sup>25</sup>
	4.000	50.40	02/19/21	78.08	27.68 W	0.00	18 of 35 - Sale <sup>25</sup>
	5.000	63.00	02/19/21	97.60	34.60 W	0.00	19 of 35 - Sale <sup>25</sup>
	5.000	63.00	02/19/21	97.60	34.60 W	0.00	20 of 35 - Sale <sup>25</sup>
	12.000	151.20	02/19/21	234.23	83.03 W	0.00	21 of 35 - Sale 25
	14.000	176.40	02/19/21	273.27	96.87 W	0.00	22 of 35 - Sale 25
	14.000	176.40	02/19/21	273.29	96.89 W	0.00	23 of 35 - Sale 25
	50.000	629.99	02/19/21	976.00	346.01 W	0.00	24 of 35 - Sale <sup>25</sup>
	1.000	12.60	02/19/21	20.51	7.91 W	0.00	25 of 35 - Sale <sup>25</sup>
	1.000	12.60	02/19/21	19.52	6.92 W	0.00	26 of 35 - Sale <sup>25</sup>
	3.000	37.80	02/19/21	58.56	20.76 W	0.00	27 of 35 - Sale <sup>25</sup>
	5.000	63.00	02/19/21	97.60	34.60 W	0.00	28 of 35 - Sale <sup>25</sup>
	10.000	126.00	02/19/21	195.19	69.19 W	0.00	29 of 35 - Sale <sup>25</sup>
	34.000	428.39	02/19/21	663.67	235.28 W	0.00	30 of 35 - Sale <sup>25</sup>
	35.000	440.99	02/19/21	683.21	242.22 W	0.00	31 of 35 - Sale <sup>25</sup>
	37.000	466.19	02/19/21	722.22	256.03 W	0.00	32 of 35 - Sale <sup>25</sup>
	69.000	869.38	02/19/21	1,346.86	477.48 W	0.00	33 of 35 - Sale <sup>25</sup>
	86.000	1,083.58	02/19/21	1,678.69	595.11 W	0.00	34 of 35 - Sale <sup>25</sup>
	115.000	1,448.98	02/19/21	2,244.75	795.77 W	0.00	35 of 35 - Sale <sup>25</sup>
03/01/21	1,000.000	12,599.81	Various	19,527.96	6,928.15 W	0.00	Total of 35 transactions

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## **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

#### "Gain or loss (-)" is NOT reported to the IRS. **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GIGCAPITAL3, INC. / C	USIP: 37519D107 / Symb	ool: (cont'd)					
	51 transactions f	for 03/02/21. Total procee	eds and cost repo	orted to the IRS.			
	1.000	12.90	02/23/21	20.61	7.71 W	0.00	1 of 51 - Sale 25
	12.000	154.80	02/23/21	234.72	79.92 W	0.00	2 of 51 - Sale <sup>25</sup>
	17.000	219.30	02/23/21	332.51	113.21 W	0.00	3 of 51 - Sale <sup>25</sup>
	32.000	412.79	02/23/21	629.11	216.32 W	0.00	4 of 51 - Sale <sup>25</sup>
	23.000	296.69	02/23/21	452.19	155.50 W	0.00	5 of 51 - Sale <sup>25</sup>
	16.000	206.40	02/23/21	314.56	108.16 W	0.00	6 of 51 - Sale <sup>25</sup>
	54.000	696.58	02/23/21	1,061.65	365.07 W	0.00	7 of 51 - Sale <sup>25</sup>
	29.000	374.10	02/23/21	570.14	196.04 W	0.00	8 of 51 - Sale <sup>25</sup>
	17.000	219.30	02/23/21	334.21	114.91 W	0.00	9 of 51 - Sale <sup>25</sup>
	29.000	374.10	02/23/21	570.26	196.16 W	0.00	10 of 51 - Sale <sup>25</sup>
	6.000	77.40	02/23/21	117.98	40.58 W	0.00	11 of 51 - Sale <sup>25</sup>
	54.000	696.58	02/23/21	1,061.86	365.28 W	0.00	12 of 51 - Sale <sup>25</sup>
	1.000	12.90	02/23/21	19.61	6.71 W	0.00	13 of 51 - Sale <sup>25</sup>
	10.000	129.00	02/23/21	196.64	67.64 W	0.00	14 of 51 - Sale <sup>25</sup>
	19.000	245.10	02/23/21	372.57	127.47 W	0.00	15 of 51 - Sale <sup>25</sup>
	68.000	877.18	02/23/21	1,333.41	456.23 W	0.00	16 of 51 - Sale <sup>25</sup>
	7.000	90.30	02/23/21	137.26	46.96 W	0.00	17 of 51 - Sale <sup>25</sup>
	13.000	167.70	02/23/21	254.91	87.21 W	0.00	18 of 51 - Sale <sup>25</sup>
	13.000	167.70	02/23/21	254.91	87.21 W	0.00	19 of 51 - Sale <sup>25</sup>
	45.000	580.48	02/23/21	882.40	301.92 W	0.00	20 of 51 - Sale 25
	12.000	154.80	02/23/21	235.31	80.51 W	0.00	21 of 51 - Sale <sup>25</sup>
	4.000	51.60	02/23/21	78.43	26.83 W	0.00	22 of 51 - Sale <sup>25</sup>
	11.000	141.90	02/23/21	215.69	73.79 W	0.00	23 of 51 - Sale <sup>25</sup>
	5.000	64.50	02/23/21	98.04	33.54 W	0.00	24 of 51 - Sale <sup>25</sup>
	4.000	51.60	02/23/21	78.48	26.88 W	0.00	25 of 51 - Sale <sup>25</sup>
	3.000	38.70	02/23/21	58.83	20.13 W	0.00	26 of 51 - Sale <sup>25</sup>
	13.000	167.70	02/23/21	255.05	87.35 W	0.00	27 of 51 - Sale <sup>25</sup>
	5.000	64.50	02/23/21	98.10	33.60 W	0.00	28 of 51 - Sale <sup>25</sup>
	12.000	154.80	02/23/21	235.43	80.63 W	0.00	29 of 51 - Sale <sup>25</sup>
	1.000	12.90	02/23/21	19.62	6.72 W	0.00	30 of 51 - Sale <sup>25</sup>
	4.000	51.60	02/23/21	78.48	26.88 W	0.00	31 of 51 - Sale <sup>25</sup>
	50.000	644.98	02/23/21	981.01	336.03 W	0.00	32 of 51 - Sale <sup>25</sup>
	3.000	38.70	02/23/21	58.86	20.16 W	0.00	33 of 51 - Sale <sup>25</sup>

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## **Proceeds from Broker and Barter Exchange Transactions**

2021 **1099-B\*** OMB No. 1545-0715

(continued)

02/11/2022

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (*Lines 2 & 5*) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*)

"Gain or loss (-)" is NOT reported to the IRS. 1a-Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GIGCAPITAL3, INC.	/ CUSIP: 37519D107 / Syml	bol: (cont'd)					
	18.000	232.19	02/23/21	353.16	120.97 W	0.00	34 of 51 - Sale <sup>25</sup>
	47.000	606.29	02/23/21	922.14	315.85 W	0.00	35 of 51 - Sale <sup>25</sup>
	7.000	90.30	02/23/21	137.34	47.04 W	0.00	36 of 51 - Sale <sup>25</sup>
	15.000	193.50	02/23/21	294.30	100.80 W	0.00	37 of 51 - Sale <sup>25</sup>
	85.000	1,096.48	02/23/21	1,667.69	571.21 W	0.00	38 of 51 - Sale <sup>25</sup>
	1.000	12.90	02/23/21	19.62	6.72 W	0.00	39 of 51 - Sale <sup>25</sup>
	10.000	129.00	02/23/21	196.19	67.19 W	0.00	40 of 51 - Sale <sup>25</sup>
	37.000	477.29	02/23/21	725.93	248.64 W	0.00	41 of 51 - Sale <sup>25</sup>
	1.000	12.90	02/23/21	19.62	6.72 W	0.00	42 of 51 - Sale <sup>25</sup>
	41.000	528.89	02/23/21	804.41	275.52 W	0.00	43 of 51 - Sale <sup>25</sup>
	43.000	554.69	02/23/21	843.65	288.96 W	0.00	44 of 51 - Sale <sup>25</sup>
	7.000	90.30	02/23/21	137.34	47.04 W	0.00	45 of 51 - Sale <sup>25</sup>
	5.000	64.50	02/23/21	98.10	33.60 W	0.00	46 of 51 - Sale <sup>25</sup>
	35.000	451.49	02/23/21	686.72	235.23 W	0.00	47 of 51 - Sale <sup>25</sup>
	24.000	309.59	02/23/21	470.87	161.28 W	0.00	48 of 51 - Sale <sup>25</sup>
	5.000	64.50	02/23/21	98.10	33.60 W	0.00	49 of 51 - Sale <sup>25</sup>
	10.000	129.00	02/23/21	196.21	67.21 W	0.00	50 of 51 - Sale <sup>25</sup>
	16.000	206.40	02/23/21	313.92	107.52 W	0.00	51 of 51 - Sale <sup>25</sup>
)3/02/21	1,000.000	12,899.79	Various	19,628.15	6,728.36 W	0.00	Total of 51 transactions
	32 transactions	for 03/26/21. Total procee	eds and cost repo	orted to the IRS.			
	85.000	891.63	03/02/21	2,019.22		-1,127.59	1 of 32 - Sale <sup>25</sup>
	47.000	493.02	03/02/21	1,116.52		-623.50	2 of 32 - Sale <sup>25</sup>
	36.000	377.63	03/02/21	855.51		-477.88	3 of 32 - Sale <sup>25</sup>
	35.000	367.14	03/02/21	831.78		-464.64	4 of 32 - Sale 25
	34.000	356.65	03/02/21	807.69		-451.04	5 of 32 - Sale <sup>25</sup>
	29.000	304.20	03/02/21	689.17		-384.97	6 of 32 - Sale <sup>25</sup>
	24.000	251.76	03/02/21	570.34		-318.58	7 of 32 - Sale <sup>25</sup>
	18.000	188.82	03/02/21	427.60		-238.78	8 of 32 - Sale <sup>25</sup>
	16.000	167.84	03/02/21	380.09		-212.25	9 of 32 - Sale 25
	14.000	146.86	03/02/21	332.70		-185.84	10 of 32 - Sale 25
	11.000	115.39	03/02/21	261.31		-145.92	11 of 32 - Sale 25
	10.000	104.90	03/02/21	237.54		-132.64	12 of 32 - Sale 25
	7.000	73.43	03/02/21	166.35		-92.92	13 of 32 - Sale 25
	7.000	73.43	03/02/21	166.29		-92.86	14 of 32 - Sale <sup>25</sup>

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## **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

# "Gain or loss (-)" is NOT reported to the IRS.

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GIGCAPITAL3, INC.	/ CUSIP: 37519D107 / Syml	bol: (cont'd)					
	5.000	52.45	03/02/21	118.83		-66.38	15 of 32 - Sale <sup>25</sup>
	5.000	52.45	03/02/21	118.78		-66.33	16 of 32 - Sale <sup>25</sup>
	5.000	52.45	03/02/21	118.78		-66.33	17 of 32 - Sale 25
	4.000	41.96	03/02/21	95.02		-53.06	18 of 32 - Sale <sup>25</sup>
	3.000	31.47	03/02/21	71.27		-39.80	19 of 32 - Sale 25
	3.000	31.47	03/02/21	71.27		-39.80	20 of 32 - Sale 25
	1.000	10.49	03/02/21	23.76		-13.27	21 of 32 - Sale 25
	1.000	10.49	03/02/21	23.75		-13.26	22 of 32 - Sale 25
	32.000	335.67	03/24/21	758.22		-422.55	23 of 32 - Sale 25
	23.000	241.27	03/24/21	544.99		-303.72	24 of 32 - Sale <sup>25</sup>
	17.000	178.33	03/24/21	401.10		-222.77	25 of 32 - Sale <sup>25</sup>
	15.000	157.35	03/24/21	355.42		-198.07	26 of 32 - Sale <sup>25</sup>
	12.000	125.88	03/24/21	283.13		-157.25	27 of 32 - Sale <sup>25</sup>
	300.000	3,146.93	03/24/21	3,222.00		-75.07	28 of 32 - Sale <sup>25</sup>
	300.000	3,146.94	03/24/21	3,222.00		-75.06	29 of 32 - Sale <sup>25</sup>
	200.000	2,097.96	03/24/21	2,148.00		-50.04	30 of 32 - Sale <sup>25</sup>
	100.000	1,048.98	03/24/21	1,074.00		-25.02	31 of 32 - Sale <sup>25</sup>
	1.000	10.49	03/24/21	24.65		-14.16	32 of 32 - Sale <sup>25</sup>
3/26/21	1,400.000	14,685.73	Various	21,537.08		-6,851.35	Total of 32 transactions
	152 transactions	s for 03/26/21. Total proce		ported to the IRS.			
	1.000	10.85	02/24/21	20.41	9.56 W	0.00	1 of 152 - Sale <sup>25</sup>
	6.000	65.10	02/24/21	116.76	51.66 W	0.00	2 of 152 - Sale <sup>25</sup>
	12.000	130.20	02/24/21	232.32	102.12 W	0.00	3 of 152 - Sale <sup>25</sup>
	16.000	173.60	02/24/21	311.36	137.76 W	0.00	4 of 152 - Sale 25
	17.000	184.45	02/24/21	329.11	144.66 W	0.00	5 of 152 - Sale 25
	23.000	249.55	02/24/21	447.60	198.05 W	0.00	6 of 152 - Sale <sup>25</sup>
	29.000	314.64	02/24/21	564.34	249.70 W	0.00	7 of 152 - Sale <sup>25</sup>
	32.000	347.19	02/24/21	622.72	275.53 W	0.00	8 of 152 - Sale <sup>25</sup>
	54.000	585.88	02/24/21	1,050.87	464.99 W	0.00	9 of 152 - Sale <sup>25</sup>
	10.000	108.50	02/24/21	194.59	86.09 W	0.00	10 of 152 - Sale <sup>25</sup>
	1.000	10.85	02/24/21	19.41	8.56 W	0.00	11 of 152 - Sale 25
	6.000	65.10	02/24/21	116.78	51.68 W	0.00	12 of 152 - Sale 25
	10.000	108.50	02/24/21	194.64	86.14 W	0.00	13 of 152 - Sale 25
	1.000	10.85	02/24/21	19.46	8.61 W	0.00	14 of 152 - Sale 25

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## **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS. **1a- Description of property/CUSIP/Symbol** 

1c- Date sold or		1d- Proceeds & 6- Reported	1b- Date	1e- Cost or	1f- Accrued mkt disc (D) & 1g- Wash sale loss	Gain or loss(-) & 7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	also not reported (Z)	Additional information
IGCAPITAL3, INC. / C	CUSIP: 37519D107 / Symb	ool: (cont'd)					
	12.000	130.20	02/24/21	232.91	102.71 W	0.00	15 of 152 - Sale <sup>25</sup>
	19.000	206.15	02/24/21	368.77	162.62 W	0.00	16 of 152 - Sale <sup>25</sup>
	29.000	314.64	02/24/21	564.46	249.82 W	0.00	17 of 152 - Sale <sup>25</sup>
	54.000	585.89	02/24/21	1,051.08	465.19 W	0.00	18 of 152 - Sale <sup>25</sup>
	68.000	737.78	02/24/21	1,319.83	582.05 W	0.00	19 of 152 - Sale <sup>25</sup>
	2.000	21.70	02/24/21	38.82	17.12 W	0.00	20 of 152 - Sale <sup>25</sup>
	4.000	43.40	02/24/21	77.63	34.23 W	0.00	21 of 152 - Sale <sup>25</sup>
	5.000	54.25	02/24/21	97.04	42.79 W	0.00	22 of 152 - Sale 25
	7.000	75.95	02/24/21	135.86	59.91 W	0.00	23 of 152 - Sale <sup>25</sup>
	11.000	119.34	02/24/21	213.49	94.15 W	0.00	24 of 152 - Sale <sup>25</sup>
	13.000	141.05	02/24/21	252.31	111.26 W	0.00	25 of 152 - Sale <sup>25</sup>
	13.000	141.05	02/24/21	252.31	111.26 W	0.00	26 of 152 - Sale <sup>25</sup>
	45.000	488.24	02/24/21	873.42	385.18 W	0.00	27 of 152 - Sale <sup>25</sup>
	1.000	10.50	02/24/21	19.42	8.92 W	0.00	28 of 152 - Sale <sup>25</sup>
	1.000	10.50	02/24/21	19.41	8.91 W	0.00	29 of 152 - Sale <sup>25</sup>
	3.000	31.50	02/24/21	58.26	26.76 W	0.00	30 of 152 - Sale <sup>25</sup>
	4.000	42.00	02/24/21	77.68	35.68 W	0.00	31 of 152 - Sale 25
	4.000	42.00	02/24/21	77.68	35.68 W	0.00	32 of 152 - Sale 25
	5.000	52.50	02/24/21	97.10	44.60 W	0.00	33 of 152 - Sale 25
	5.000	52.50	02/24/21	97.10	44.60 W	0.00	34 of 152 - Sale 25
	7.000	73.50	02/24/21	135.94	62.44 W	0.00	35 of 152 - Sale 25
	10.000	105.00	02/24/21	194.21	89.21 W	0.00	36 of 152 - Sale 25
	12.000	126.00	02/24/21	233.03	107.03 W	0.00	37 of 152 - Sale <sup>25</sup>
	13.000	136.50	02/24/21	252.45	115.95 W	0.00	38 of 152 - Sale <sup>25</sup>
	4.000	42.00	02/24/21	77.68	35.68 W	0.00	39 of 152 - Sale <sup>25</sup>
	16.000	167.99	02/24/21	310.72	142.73 W	0.00	40 of 152 - Sale <sup>25</sup>
	18.000	189.00	02/24/21	349.57	160.57 W	0.00	41 of 152 - Sale 25
	47.000	493.48	02/24/21	912.75	419.27 W	0.00	42 of 152 - Sale 25
	50.000	524.99	02/24/21	971.03	446.04 W	0.00	43 of 152 - Sale 25
	1.000	10.50	02/24/21	19.42	8.92 W	0.00	44 of 152 - Sale 25
	1.000	10.50	02/24/21	19.42	8.92 W	0.00	45 of 152 - Sale 25
	10.000	105.00	02/24/21	194.19	89.19 W	0.00	46 of 152 - Sale 25
	11.000	115.50	02/24/21	213.62	98.12 W	0.00	47 of 152 - Sale 25
	37.000	388.49	02/24/21	718.54	330.05 W	0.00	48 of 152 - Sale 25

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## **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS. **1a- Description of property/CUSIP/Symbol** 

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GIGCAPITAL3, INC. / C	CUSIP: 37519D107 / Symb	ool: (cont'd)					
	5.000	52.50	02/24/21	97.10	44.60 W	0.00	49 of 152 - Sale <sup>25</sup>
	85.000	892.48	02/24/21	1,650.71	758.23 W	0.00	50 of 152 - Sale <sup>25</sup>
	36.000	377.67	02/24/21	699.12	321.45 W	0.00	51 of 152 - Sale <sup>25</sup>
	14.000	146.87	02/24/21	271.88	125.01 W	0.00	52 of 152 - Sale <sup>25</sup>
	5.000	52.45	02/24/21	97.10	44.65 W	0.00	53 of 152 - Sale <sup>25</sup>
	7.000	73.44	02/24/21	135.94	62.50 W	0.00	54 of 152 - Sale <sup>25</sup>
	24.000	251.78	02/24/21	466.08	214.30 W	0.00	55 of 152 - Sale <sup>25</sup>
	35.000	367.18	02/24/21	679.73	312.55 W	0.00	56 of 152 - Sale <sup>25</sup>
	29.000	304.23	02/24/21	563.18	258.95 W	0.00	57 of 152 - Sale <sup>25</sup>
	1.000	10.49	02/25/21	22.06	11.57 W	0.00	58 of 152 - Sale <sup>25</sup>
	1.000	10.49	02/25/21	21.11	10.62 W	0.00	59 of 152 - Sale <sup>25</sup>
	1.000	10.49	02/25/21	21.06	10.57 W	0.00	60 of 152 - Sale <sup>25</sup>
	2.000	20.98	02/25/21	42.12	21.14 W	0.00	61 of 152 - Sale <sup>25</sup>
	4.000	41.97	02/25/21	84.23	42.26 W	0.00	62 of 152 - Sale <sup>25</sup>
	5.000	52.45	02/25/21	105.29	52.84 W	0.00	63 of 152 - Sale <sup>25</sup>
	6.000	62.95	02/25/21	126.66	63.71 W	0.00	64 of 152 - Sale <sup>25</sup>
	6.000	62.95	02/25/21	126.68	63.73 W	0.00	65 of 152 - Sale <sup>25</sup>
	7.000	73.44	02/25/21	147.41	73.97 W	0.00	66 of 152 - Sale <sup>25</sup>
	10.000	104.91	02/25/21	211.09	106.18 W	0.00	67 of 152 - Sale <sup>25</sup>
	10.000	104.91	02/25/21	211.14	106.23 W	0.00	68 of 152 - Sale <sup>25</sup>
	11.000	115.40	02/25/21	231.65	116.25 W	0.00	69 of 152 - Sale <sup>25</sup>
	12.000	125.89	02/25/21	252.12	126.23 W	0.00	70 of 152 - Sale <sup>25</sup>
	12.000	125.89	02/25/21	252.71	126.82 W	0.00	71 of 152 - Sale <sup>25</sup>
	13.000	136.38	02/25/21	273.76	137.38 W	0.00	72 of 152 - Sale <sup>25</sup>
	13.000	136.38	02/25/21	273.76	137.38 W	0.00	73 of 152 - Sale <sup>25</sup>
	16.000	167.85	02/25/21	337.76	169.91 W	0.00	74 of 152 - Sale <sup>25</sup>
	17.000	178.34	02/25/21	357.16	178.82 W	0.00	75 of 152 - Sale <sup>25</sup>
	19.000	199.33	02/25/21	400.12	200.79 W	0.00	76 of 152 - Sale 25
	23.000	241.29	02/25/21	485.55	244.26 W	0.00	77 of 152 - Sale 25
	29.000	304.23	02/25/21	612.20	307.97 W	0.00	78 of 152 - Sale 25
	29.000	304.23	02/25/21	612.32	308.09 W	0.00	79 of 152 - Sale <sup>25</sup>
	32.000	335.71	02/25/21	675.53	339.82 W	0.00	80 of 152 - Sale <sup>25</sup>
	45.000	472.08	02/25/21	947.68	475.60 W	0.00	81 of 152 - Sale <sup>25</sup>
	54.000	566.50	02/25/21	1,139.99	573.49 W	0.00	82 of 152 - Sale <sup>25</sup>

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## **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS. **1a- Description of property/CUSIP/Symbol** 

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GIGCAPITAL3, INC. / C	CUSIP: 37519D107 / Symb	ool: (cont'd)					
	54.000	566.51	02/25/21	1,140.19	573.68 W	0.00	83 of 152 - Sale <sup>25</sup>
	68.000	713.37	02/25/21	1,432.05	718.68 W	0.00	84 of 152 - Sale <sup>25</sup>
	1.000	10.51	02/25/21	21.41	10.90 W	0.00	85 of 152 - Sale <sup>25</sup>
	1.000	10.51	02/25/21	21.42	10.91 W	0.00	86 of 152 - Sale <sup>25</sup>
	4.000	42.06	02/25/21	85.68	43.62 W	0.00	87 of 152 - Sale <sup>25</sup>
	4.000	42.06	02/25/21	85.68	43.62 W	0.00	88 of 152 - Sale <sup>25</sup>
	5.000	52.57	02/25/21	107.10	54.53 W	0.00	89 of 152 - Sale <sup>25</sup>
	10.000	105.15	02/25/21	214.21	109.06 W	0.00	90 of 152 - Sale <sup>25</sup>
	12.000	126.18	02/25/21	257.03	130.85 W	0.00	91 of 152 - Sale <sup>25</sup>
	13.000	136.69	02/25/21	278.45	141.76 W	0.00	92 of 152 - Sale 25
	50.000	525.75	02/25/21	1,071.04	545.29 W	0.00	93 of 152 - Sale <sup>25</sup>
	1.000	10.52	02/25/21	21.42	10.90 W	0.00	94 of 152 - Sale <sup>25</sup>
	3.000	31.54	02/25/21	64.26	32.72 W	0.00	95 of 152 - Sale <sup>25</sup>
	4.000	42.06	02/25/21	85.68	43.62 W	0.00	96 of 152 - Sale <sup>25</sup>
	5.000	52.57	02/25/21	107.10	54.53 W	0.00	97 of 152 - Sale <sup>25</sup>
	7.000	73.60	02/25/21	149.94	76.34 W	0.00	98 of 152 - Sale <sup>25</sup>
	11.000	115.66	02/25/21	235.62	119.96 W	0.00	99 of 152 - Sale <sup>25</sup>
	16.000	168.24	02/25/21	342.73	174.49 W	0.00	100 of 152 - Sale <sup>25</sup>
	18.000	189.27	02/25/21	385.57	196.30 W	0.00	101 of 152 - Sale <sup>25</sup>
	3.000	31.54	02/25/21	64.26	32.72 W	0.00	102 of 152 - Sale 25
	47.000	494.20	02/25/21	1,006.77	512.57 W	0.00	103 of 152 - Sale 25
	85.000	893.76	02/25/21	1,820.73	926.97 W	0.00	104 of 152 - Sale 25
	1.000	10.51	02/25/21	21.42	10.91 W	0.00	105 of 152 - Sale 25
	5.000	52.57	02/25/21	107.10	54.53 W	0.00	106 of 152 - Sale 25
	10.000	105.15	02/25/21	214.19	109.04 W	0.00	107 of 152 - Sale <sup>25</sup>
	14.000	147.21	02/25/21	300.01	152.80 W	0.00	108 of 152 - Sale <sup>25</sup>
	36.000	378.54	02/25/21	771.45	392.91 W	0.00	109 of 152 - Sale 25
	34.000	357.50	02/25/21	728.29	370.79 W	0.00	110 of 152 - Sale <sup>25</sup>
	5.000	52.57	02/25/21	107.15	54.58 W	0.00	111 of 152 - Sale <sup>25</sup>
	7.000	73.60	02/25/21	150.00	76.40 W	0.00	112 of 152 - Sale 25
	24.000	252.36	02/25/21	514.30	261.94 W	0.00	113 of 152 - Sale 25
	29.000	304.93	02/25/21	621.45	316.52 W	0.00	114 of 152 - Sale <sup>25</sup>
	35.000	368.02	02/25/21	750.05	382.03 W	0.00	115 of 152 - Sale 25
	1.000	10.51	03/02/21	24.42	13.91 W	0.00	116 of 152 - Sale <sup>25</sup>

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## **Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS. **1a- Description of property/CUSIP/Symbol** 

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GIGCAPITAL3, INC. /	CUSIP: 37519D107 / Symb	ool: (cont'd)					
	12.000	126.18	03/02/21	280.43	154.25 W	0.00	117 of 152 - Sale <sup>25</sup>
	15.000	157.72	03/02/21	352.04	194.32 W	0.00	118 of 152 - Sale <sup>25</sup>
	17.000	178.75	03/02/21	397.27	218.52 W	0.00	119 of 152 - Sale <sup>25</sup>
	23.000	241.84	03/02/21	539.81	297.97 W	0.00	120 of 152 - Sale <sup>25</sup>
	32.000	336.48	03/02/21	751.02	414.54 W	0.00	121 of 152 - Sale <sup>25</sup>
	1.000	10.49	03/02/21	23.47	12.98 W	0.00	122 of 152 - Sale <sup>25</sup>
	1.000	10.49	03/02/21	23.42	12.93 W	0.00	123 of 152 - Sale <sup>25</sup>
	1.000	10.49	03/02/21	23.75	13.26 W	0.00	124 of 152 - Sale <sup>25</sup>
	1.000	10.49	03/02/21	23.76	13.27 W	0.00	125 of 152 - Sale <sup>25</sup>
	2.000	20.98	03/02/21	46.84	25.86 W	0.00	126 of 152 - Sale <sup>25</sup>
	4.000	41.96	03/02/21	93.66	51.70 W	0.00	127 of 152 - Sale <sup>25</sup>
	4.000	41.96	03/02/21	95.02	53.06 W	0.00	128 of 152 - Sale <sup>25</sup>
	4.000	41.96	03/02/21	95.02	53.06 W	0.00	129 of 152 - Sale <sup>25</sup>
	5.000	52.45	03/02/21	117.09	64.64 W	0.00	130 of 152 - Sale <sup>25</sup>
	5.000	52.45	03/02/21	118.78	66.33 W	0.00	131 of 152 - Sale <sup>25</sup>
	6.000	62.94	03/02/21	140.81	77.87 W	0.00	132 of 152 - Sale <sup>25</sup>
	6.000	62.94	03/02/21	140.83	77.89 W	0.00	133 of 152 - Sale <sup>25</sup>
	7.000	73.43	03/02/21	163.92	90.49 W	0.00	134 of 152 - Sale <sup>25</sup>
	10.000	104.90	03/02/21	234.68	129.78 W	0.00	135 of 152 - Sale <sup>25</sup>
	10.000	104.90	03/02/21	234.73	129.83 W	0.00	136 of 152 - Sale <sup>25</sup>
	10.000	104.90	03/02/21	237.56	132.66 W	0.00	137 of 152 - Sale <sup>25</sup>
	11.000	115.39	03/02/21	257.60	142.21 W	0.00	138 of 152 - Sale <sup>25</sup>
	12.000	125.88	03/02/21	281.02	155.14 W	0.00	139 of 152 - Sale <sup>25</sup>
	12.000	125.88	03/02/21	285.05	159.17 W	0.00	140 of 152 - Sale <sup>25</sup>
	13.000	136.37	03/02/21	304.43	168.06 W	0.00	141 of 152 - Sale <sup>25</sup>
	13.000	136.37	03/02/21	304.43	168.06 W	0.00	142 of 152 - Sale <sup>25</sup>
	13.000	136.37	03/02/21	308.81	172.44 W	0.00	143 of 152 - Sale 25
	1.000	10.49	03/02/21	23.47	12.98 W	0.00	144 of 152 - Sale <sup>25</sup>
	19.000	199.31	03/02/21	444.94	245.63 W	0.00	145 of 152 - Sale <sup>25</sup>
	29.000	304.20	03/02/21	680.62	376.42 W	0.00	146 of 152 - Sale <sup>25</sup>
	29.000	304.20	03/02/21	680.74	376.54 W	0.00	147 of 152 - Sale <sup>25</sup>
	45.000	472.04	03/02/21	1,053.85	581.81 W	0.00	148 of 152 - Sale <sup>25</sup>
	50.000	524.49	03/02/21	1,187.79	663.30 W	0.00	149 of 152 - Sale <sup>25</sup>
	54.000	566.45	03/02/21	1,267.39	700.94 W	0.00	150 of 152 - Sale 25

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## **Proceeds from Broker and Barter Exchange Transactions**

2021 **1099-B\*** OMB No. 1545-0715

(continued)

02/11/2022

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (*Lines 2 & 5*) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*)

"Gain or loss (-)" is NOT reported to the IRS. 1a-Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GIGCAPITAL3, INC. /	CUSIP: 37519D107 / Symb	ool: (cont'd)					
	54.000	566.45	03/02/21	1,267.58	701.13 W	0.00	151 of 152 - Sale <sup>25</sup>
	68.000	713.31	03/02/21	1,592.48	879.17 W	0.00	152 of 152 - Sale <sup>25</sup>
03/26/21	2,600.000	27,472.67	Various	54,781.80	27,309.13 W	0.00	Total of 152 transactions
	34 transactions	for 03/29/21. Total procee	eds and cost rep	orted to the IRS.	-		
	68.000	724.19	03/24/21	1,609.49		-885.30	1 of 34 - Sale <sup>25</sup>
	54.000	575.09	03/24/21	1,281.09		-706.00	2 of 34 - Sale <sup>25</sup>
	54.000	575.63	03/24/21	1,280.90		-705.27	3 of 34 - Sale <sup>25</sup>
	50.000	532.48	03/24/21	1,200.30		-667.82	4 of 34 - Sale <sup>25</sup>
	45.000	479.24	03/24/21	1,065.11		-585.87	5 of 34 - Sale 25
	29.000	309.14	03/24/21	687.88		-378.74	6 of 34 - Sale 25
	19.000	202.53	03/24/21	450.76		-248.23	7 of 34 - Sale <sup>25</sup>
	19.000	202.35	03/24/21	449.69		-247.34	8 of 34 - Sale <sup>25</sup>
	13.000	138.44	03/24/21	312.06		-173.62	9 of 34 - Sale <sup>25</sup>
	13.000	138.45	03/24/21	307.68		-169.23	10 of 34 - Sale <sup>25</sup>
	13.000	138.45	03/24/21	307.68		-169.23	11 of 34 - Sale <sup>25</sup>
	12.000	127.80	03/24/21	288.05		-160.25	12 of 34 - Sale <sup>25</sup>
	12.000	127.80	03/24/21	284.02		-156.22	13 of 34 - Sale <sup>25</sup>
	11.000	117.15	03/24/21	260.35		-143.20	14 of 34 - Sale <sup>25</sup>
	10.000	106.49	03/24/21	240.06		-133.57	15 of 34 - Sale <sup>25</sup>
	10.000	106.50	03/24/21	237.23		-130.73	16 of 34 - Sale <sup>25</sup>
	10.000	106.60	03/24/21	237.18		-130.58	17 of 34 - Sale <sup>25</sup>
	8.000	85.20	03/24/21	189.79		-104.59	18 of 34 - Sale <sup>25</sup>
	7.000	74.55	03/24/21	165.67		-91.12	19 of 34 - Sale <sup>25</sup>
	6.000	63.90	03/24/21	142.33		-78.43	20 of 34 - Sale <sup>25</sup>
	6.000	63.96	03/24/21	142.31		-78.35	21 of 34 - Sale <sup>25</sup>
	5.000	53.25	03/24/21	120.03		-66.78	22 of 34 - Sale <sup>25</sup>
	5.000	53.25	03/24/21	118.34		-65.09	23 of 34 - Sale <sup>25</sup>
	4.000	42.60	03/24/21	96.02		-53.42	24 of 34 - Sale <sup>25</sup>
	4.000	42.60	03/24/21	94.66		-52.06	25 of 34 - Sale 25
	3.000	31.95	03/24/21	72.02		-40.07	26 of 34 - Sale 25
	2.000	21.30	03/24/21	47.45		-26.15	27 of 34 - Sale <sup>25</sup>
	2.000	21.30	03/24/21	47.34		-26.04	28 of 34 - Sale <sup>25</sup>
	1.000	10.65	03/24/21	24.01		-13.36	29 of 34 - Sale <sup>25</sup>
	1.000	10.65	03/24/21	24.00		-13.35	30 of 34 - Sale <sup>25</sup>

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## **Proceeds from Broker and Barter Exchange Transactions**

2021 **1099-B\*** OMB No. 1545-0715

(continued)

02/11/2022

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (*Lines 2 & 5*) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*)

"Gain or loss (-)" is NOT reported to the IRS. 1a-Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GIGCAPITAL3, INC.	. / CUSIP: 37519D107 / Symb	ool: (cont'd)					
	1.000 1.000 1.000 1.000	10.65 10.66 10.66 10.65	03/24/21 03/24/21 03/24/21 03/24/21	24.00 23.72 23.72 23.67	  	-13.35 -13.06 -13.06 -13.02	31 of 34 - Sale <sup>25</sup> 32 of 34 - Sale <sup>25</sup> 33 of 34 - Sale <sup>25</sup> 34 of 34 - Sale <sup>25</sup>
03/29/21	500.000	5,326.11	Various	11,878.61		-6,552.50	Total of 34 transactions
	Security total:	161,837.85		228,065.37	54,835.95 W	-11,391.57	
GORES HOLDINGS	VI, INC. CLASS A COMMO	N STOCK / CUSIP: 38286	6R105 / Symbol:				
02/05/21	100.000	1,234.96	02/05/21	1,235.00		-0.04	Sale <sup>25</sup>
NORTHERN GENES	SIS ACQUISITION C ORP. /	CUSIP: 66516T104 / Sym	nbol:				
	3 transactions for	or 02/09/21. Total proceed	ls and cost repor	ted to the IRS.			
	100.000 100.000 300.000	2,539.93 2,539.93 7,619.79	02/09/21 02/09/21 02/09/21	2,536.99 2,536.99 7,610.97	 	2.94 2.94 8.82	1 of 3 - Sale <sup>25</sup> 2 of 3 - Sale <sup>25</sup> 3 of 3 - Sale <sup>25</sup>
02/09/21	500.000	12,699.65	Various	12,684.95		14.70	Total of 3 transactions
PALANTIR TECHNO	DLOGIES INC. CLA SS A CO	MMON STOCK / CUSIP:	69608A108 / Sy	mbol:			
01/22/21	200.000	5,785.83 N	12/14/20	5,424.00		361.83	Sold via call assignment <sup>25</sup> Proceeds or Cost adjusted for option premium of \$85.98
02/05/21	200.000	5,797.83 N	01/19/21	5,350.00		447.83	Sold via call assignment <sup>25</sup> Proceeds or Cost adjusted for option premium of \$297.98
	Security total:	11,583.66		10,774.00		809.66	
ROBINHOOD MARK	KETS, INC. CLASS A COMM	ON STOCK / CUSIP: 770	700102 / Symbo	d:			
08/03/21	100.000	3,999.96	07/29/21	3,800.00		199.96	Sale <sup>25</sup>
ESLA, INC. COMM	ION STOCK / CUSIP: 88160	R101 / Symbol:					
08/03/21	10.000	7,080.63	06/02/21	6,035.49		1,045.14	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

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## **Proceeds from Broker and Barter Exchange Transactions**

2021 **1099-B\*** OMB No. 1545-0715

(continued)

02/11/2022

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (*Lines 2 & 5*) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*)

"Gain or loss (-)" is NOT reported to the IRS. 1a-Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
XL FLEET CORP. / CL	JSIP: 9837FR100 / Symbol	:					
	2 transactions fo	or 01/16/21. Total proceed	ls and cost repor	ted to the IRS.			
	100.000	2,319.93N	11/24/20	1,170.00		1,149.93	1 of 2 - Sold via call assignment <sup>25</sup> Proceeds or Cost adjusted for option premium of \$319.99
	100.000	2,319.93N	12/16/20	1,489.00		830.93	2 of 2 - Sold via call assignment <sup>25</sup> Proceeds or Cost adjusted for option premium of \$319.98
01/16/21	200.000	4,639.86	Various	2,659.00		1,980.86	Total of 2 transactions
ALUSSA ENERGY AC	QUISITION CORP . / CUS	IP: G0232J101 / Svmbol:					
02/26/21	600.000	6,479.89 or 02/26/21. Total proceed	02/26/21	6,312.00 ted to the IRS.		167.89	Sale <sup>25</sup>
	58.000	626.39	02/09/21	823.02	196.63 W	0.00	1 of 4 - Sale <sup>25</sup>
	28.000	302.39	02/09/21	399.00	96.61 W	0.00	2 of 4 - Sale <sup>25</sup>
	114.000	1,231.18	02/09/21	1,627.92	396.74 W	0.00	3 of 4 - Sale <sup>25</sup>
	200.000	2,159.97	02/12/21	2,544.00	384.03 W	0.00	4 of 4 - Sale <sup>25</sup>
02/26/21	400.000	4,319.93	Various	5,393.94	1,074.01 W	0.00	Total of 4 transactions
	8 transactions fo	or 03/26/21. Total proceed	ls and cost repor	ted to the IRS.			
	200.000	2,016.97	02/26/21	2,488.03		-471.06	1 of 8 - Sale <sup>25</sup>
	114.000	1,149.67	02/26/21	1,596.02		-446.35	2 of 8 - Sale <sup>25</sup>
	58.000	584.92	02/26/21	806.79		-221.87	3 of 8 - Sale <sup>25</sup>
	28.000	282.37	02/26/21	391.17		-108.80	4 of 8 - Sale <sup>25</sup>
	475.000	4,790.29	03/02/21	5,011.25		-220.96	5 of 8 - Sale <sup>25</sup>
	318.000	3,206.97	03/02/21	3,354.90		-147.93	6 of 8 - Sale <sup>25</sup>
	200.000	2,016.96	03/02/21	2,110.00		-93.04	7 of 8 - Sale <sup>25</sup>
	7.000	70.59	03/02/21	73.85		-3.26	8 of 8 - Sale <sup>25</sup>
03/26/21	1,400.000	14,118.74	Various	15,832.01		-1,713.27	Total of 8 transactions
	Security total:	24,918.56		27,537.95	1,074.01 W	-1,545.38	
BURGUNDY TECHNC	LOGY ACQUISITIO N CO	RPORATION CLASS A C	RDINARY SHAI	RES / CUSIP: G1	702T116 / Symbol:		
	4 transactions fo	or 02/11/21. Total proceed	ls and cost repor	ted to the IRS.			
	300.000	3,252.40	01/19/21	3.270.00		-17.60	1 of 4 - Sale <sup>25</sup>
	262.000	2,840.44	01/19/21	2,855.80		-15.36	2 of 4 - Sale <sup>25</sup>
	100.000	1,084.12	01/19/21	1,095.87		-11.75	3 of 4 - Sale <sup>25</sup>

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## **Proceeds from Broker and Barter Exchange Transactions**

2021 **1099-B\*** OMB No. 1545-0715

(continued)

02/11/2022

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (*Lines 2 & 5*) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*)

"Gain or loss (-)" is NOT reported to the IRS. 1a Description of property/CUSID/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BURGUNDY TECHNO	DLOGY ACQUISITIO N CO	RPORATION CLASS A C	RDINARY SHAP	RES / CUSIP: G17	02T116 / Symbol: (cont'd)		
	38.000	411.97	01/19/21	416.43		-4.46	4 of 4 - Sale 25
02/11/21	700.000	7,588.93	Various	7,638.10		-49.17	Total of 4 transactions
02/11/21	300.000	3,252.40	01/19/21	3,270.00	8.10 W	-9.50	Sale <sup>25</sup>
	Security total:	10,841.33		10,908.10	8.10 W	-58.67	
COHN ROBBINS HOL	DINGS CORP. / CUSIP: G	23726105 / Symbol:					
	9 transactions for	or 02/11/21. Total proceed	ls and cost repor	ted to the IRS.			
	27.000	303.59	01/19/21	288.09		15.50	1 of 9 - Sale 25
	32.000	359.81	01/19/21	341.44		18.37	2 of 9 - Sale 25
	100.000	1,124.39	01/19/21	1,067.00		57.39	3 of 9 - Sale <sup>25</sup>
	100.000	1,124.39	01/19/21	1,067.00		57.39	4 of 9 - Sale 25
	100.000	1,124.39	01/19/21	1,067.00		57.39	5 of 9 - Sale <sup>25</sup>
	100.000	1,124.39	01/19/21	1,067.00		57.39	6 of 9 - Sale <sup>25</sup>
	150.000	1,686.59	01/19/21	1,600.50		86.09	7 of 9 - Sale <sup>25</sup>
	191.000	2,147.59	01/19/21	2,039.88		107.71	8 of 9 - Sale <sup>25</sup>
	200.000	2,248.79	01/19/21	2,134.00		114.79	9 of 9 - Sale <sup>25</sup>
02/11/21	1,000.000	11,243.93	Various	10,671.91		572.02	Total of 9 transactions
SOCIAL CAPITAL HE	DOSOPHIA HOLD INGS C	ORP. V / CUSIP: G8252F	105 / Symbol:				
01/08/21	200.000	4,199.88	01/07/21	4,000.00		199.88	Sale <sup>25</sup>
Totals :		264,717.52		329,850.39	55,918.08 W	-9,214.79	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

2021

# **Detail for Interest Income**

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02/11/2022

This section of your tax information statement contains the payment level detail of taxable interest and associated bond premium. Market discount will be shown here only if you have elected to recognize it currently rather than at the time of sale or maturity. Bond premium and market discount for covered tax lots are totaled on Form 1099-INT and reported to the IRS. For noncovered tax lots, they are totaled and presented beneath the 1099-INT for informational purposes and are not reported to the IRS.

To provide a complete picture of activity for each investment, we also include here nonreportable transactions such as accrued interest paid on purchases and payment or receipt of nonqualified interest. Other amounts, such as federal, state and foreign tax withheld and investment expenses are shown as negative amounts but do not net against the reportable income totals.

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		01/29/21	3.58	Interest	
		03/31/21	0.67	Interest	
		04/30/21	10.78	Interest	
		05/28/21	11.15	Interest	
		06/30/21	7.07	Interest	
		07/30/21	7.08	Interest	
		-	40.33	Total Interest	
Interest payment		08/31/21	8.59	Interest	
		09/30/21	8.64	Interest	
		10/29/21	8.94	Interest	
		11/30/21	2.63	Interest	
		12/31/21	0.01	Interest	
		-	28.81	Total Interest	
		-	69.14	Total Interest	

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#### **Robinhood Securities LLC**

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# **Fees and Expenses**

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This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		01/13/21	-5.00	Margin interest paid	
		02/12/21	-5.00	Margin interest paid	
		02/12/21	-16.17	Margin interest paid	
		03/15/21	-5.00	Margin interest paid	
		03/15/21	-19.27	Margin interest paid	
		04/13/21	-5.00	Margin interest paid	
		04/13/21	-10.32	Margin interest paid	
		-	-65.76	Total Margin interest paid	
		-	-65.76	Total Margin interest paid	

#### 2021

25 Tax lot closed on a first in first out basis.

#### **Common Instructions for Recipient**

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

#### Keep tax documents for your records.

#### 1099-INT Instructions for Recipient

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security, then your payer will only report the gross amount of interest paid to you.

Line 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2021 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

Line 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction.

Line 3. Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium. Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Line 5. Any amount shown is your share of investment expenses of a singleclass REMIC. This amount is included in line 1. Note: This amount is not deductible. Line 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions. Line 7. Shows the country or U.S. possession to which the foreign tax was paid. Line 8. Shows tax-exempt interest paid to you during the calendar year by the payer.

See how to report this amount in the Instructions for Forms 1040 and 1040-SR. This amount may be subject to backup withholding. See Line 4 above. See the instructions above for a tax-exempt covered security acquired at a premium. Line 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount

is included in line 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

#### Instructions for Recipient

Line 10. For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-01D. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includible in taxable income as interest income.

Line 11. For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s) unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4). Line 12. For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the paver has reported a net amount of interest in line 3. If the amount in line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

Line 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this line for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in line 8 or 9, whichever is applicable. If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

Line 14. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s)

#### Lines 15-17. State tax withheld reporting lines.

Future developments. For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099INT.

Free File. Go to <u>www.irs.gov/FreeFile</u> to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

#### 1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number**. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or 0 (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or nonSection 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank. Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than

noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B. Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.