

 Member FINRA/SIPC PO BOX 2209 OMAHA, NE 68103-2209 Client Services: 800-669-3900	<b>Tax Information</b> <b>Account 237115191</b>	<b>Statement Date: 01/19/2023</b> <b>Document ID: 73E2 6TM XWDB</b>	2022
	KIRAN GONTU 2675 STARBOARD WAKE DR CUMMING, GA 30041-2523		
PAYER'S TIN: 47-0533629	RECIPIENT'S TIN: XXX-XX-7303		

11 - [ ] FATCA filing requirement (see instructions)

**Summary Information**

13 - [ ] FATCA filing requirement (see instructions)

DIVIDENDS AND DISTRIBUTIONS	2022 1099-DIV*	OMB No. 1545-0110	MISCELLANEOUS INFORMATION	2022 1099-MISC*	OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5, 2e)	0.00		2- Royalties		0.00
1b- Qualified dividends	0.00		3- Other income		0.00
2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)	0.00		<b>4- Federal income tax withheld</b>		<b>0.00</b>
2b- Unrecaptured Section 1250 gain	0.00		8- Substitute payments in lieu of dividends or interest		0.00
2c- Section 1202 gain	0.00				
2d- Collectibles (28%) gain	0.00		<b>SECTION 1256 CONTRACTS</b>	<b>2022 1099-B*</b>	OMB No. 1545-0715
2e- Section 897 ordinary dividends	0.00		8- Profit or (loss) realized in 2022 on closed contracts		-1,822.63
2f- Section 897 capital gain	0.00		9- Unrealized profit or (loss) on open contracts-12/31/2021		0.00
3- Nondividend distributions	0.00		10- Unrealized profit or (loss) on open contracts-12/31/2022		0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>		11- Aggregate profit or (loss) on contracts		-1,822.63
5- Section 199A dividends	0.00				
6- Investment expenses	0.00		<i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i>		
8- Foreign country or US possession:      7- Foreign tax paid:	0.00		<b>* This is important tax information and is being furnished to the Internal Revenue Service.</b>		
9- Cash liquidation distributions	0.00		<b>If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</b>		
10- Noncash liquidation distributions	0.00				
12- Exempt-interest dividends (includes line 13)	0.00				
13- Specified private activity bond interest dividends (AMT)	0.00				

**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	44,808.71	44,235.75	0.00	513.17	1,086.13
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Short-term</b>	<b>44,808.71</b>	<b>44,235.75</b>	<b>0.00</b>	<b>513.17</b>	<b>1,086.13</b>
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>44,808.71</b>	<b>44,235.75</b>	<b>0.00</b>	<b>513.17</b>	<b>1,086.13</b>
<b>Withholding</b>		<b>Amount</b>				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2022 may require an amended tax form.

TD Ameritrade Clearing, Inc.

Account 237115191

**Summary Information**

2022

(continued)

01/19/2023

**INTEREST INCOME**

2022 1099-INT

OMB No. 1545-0112

**This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

1- Interest income (not included in line 3)	0.35	
2- Early withdrawal penalty	0.00	
3- Interest on US Savings Bonds & Treasury obligations	0.00	
<b>4- Federal income tax withheld</b>	<b>0.00</b>	
5- Investment expenses	0.00	
7- Foreign country or US possession:	0.00	6- Foreign tax paid:
8- Tax-exempt interest (includes line 9)	0.00	
9- Specified private activity bond interest (AMT)	0.00	
10- Market discount (covered lots)	0.00	
11- Bond premium (covered lots)	0.00	
12- Bond premium on Treasury obligations (covered lots)	0.00	
13- Bond premium on tax-exempt bonds (categorized below)	0.00	
<i>Tax-exempt obligations (covered lots)</i>	0.00	
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00	
14- Tax-exempt and tax credit bond CUSIP number		See detail
FATCA filing requirement [ ]		

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

TD Ameritrade Clearing, Inc.

Account 237115191

**Proceeds from Broker and Barter Exchange Transactions**

2022 1099-B\* OMB No. 1545-0715

01/19/2023

Sales transactions are grouped by their term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. Tax lots with an additional notation of "Ordinary" represent neither short- nor long-term capital transactions. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of proceeds received when the option was written and the cost to close the position.

Several column headings describe two related items: a quantity and a qualifier. For example, proceeds and, if the sale is the result of an option exercise or assignment, whether the proceeds are gross or net (adjusted for any option premium). When these conditions exist, the qualifier is shown to the right of the amount.

Long positions are reported based on the trade date and short positions are reported based on the settlement date of the trade.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
AMERICAN AIRLINES GROUP INC AAL Sep 02 2022 13.5 Put / CUSIP: / Symbol: AAL 09/02/22 P 13.500							
08/30/22	8.000	290.69	08/29/22	241.28	...	49.41	Option sale
APPLE INC AAPL Sep 09 2022 152.5 Put / CUSIP: / Symbol: AAPL 09/09/22 P 152.500							
09/07/22	1.000	93.34	09/07/22	114.66	...	-21.32	Option sale
APPLE INC AAPL Sep 30 2022 145.0 Put / CUSIP: / Symbol: AAPL 09/30/22 P 145.000							
09/29/22	2.000	306.67	09/28/22	141.32	...	165.35	Option sale
APPLE INC AAPL Oct 28 2022 150.0 Put / CUSIP: / Symbol: AAPL 10/28/22 P 150.000							
10/28/22	1.000	0.00	10/28/22	60.66	...	-60.66	Option expiration
APPLE INC AAPL Nov 04 2022 139.0 Put / CUSIP: / Symbol: AAPL 11/04/22 P 139.000							
11/03/22	1.000	119.34	11/03/22	96.98	...	22.36	Option sale
11/03/22	1.000	131.34	11/03/22	132.66	1.32 W	0.00	Option sale
<b>Security total:</b>		<b>250.68</b>		<b>229.64</b>	<b>1.32 W</b>	<b>22.36</b>	
ABBVIE INC ABBV Nov 04 2022 150.0 Call / CUSIP: / Symbol: ABBV 11/04/22 C 150.000							
10/31/22	2.000	70.68	10/31/22	93.32	...	-22.64	Option sale
ABBVIE INC ABBV Feb 18 2022 144.0 Put / CUSIP: / Symbol: ABBV 02/18/22 P 144.000							
02/18/22	1.000	21.34	02/17/22	68.66	...	-47.32	Option sale

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TD Ameritrade Clearing, Inc.

Account 237115191

## Proceeds from Broker and Barter Exchange Transactions

2022 1099-B\* OMB No. 1545-0715

(continued)

01/19/2023

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ABBVIE INC ABBV Mar 11 2022 148.0 Put / CUSIP: / Symbol: ABBV 03/11/22 P 148.000							
03/04/22	1.000	204.34	03/04/22	180.66	...	23.68	Option sale
ABBVIE INC ABBV Nov 11 2022 147.0 Put / CUSIP: / Symbol: ABBV 11/11/22 P 147.000							
11/09/22	1.000	86.34	11/09/22	51.98	...	34.36	Option sale
11/09/22	2.000	130.68	11/09/22	141.32	5.32 W	-5.32	Option sale
	<b>Security total:</b>	<b>217.02</b>		<b>193.30</b>	<b>5.32 W</b>	<b>29.04</b>	
AIRBNB INC ABNB Aug 26 2022 118.0 Call / CUSIP: / Symbol: ABNB 08/26/22 C 118.000							
08/24/22	2.000	98.68	08/22/22	141.32	...	-42.64	Option sale
ARCHER-DANIELS-MIDLAND CO ADM Feb 18 2022 77.0 Call / CUSIP: / Symbol: ADM 02/18/22 C 77.000							
02/16/22	2.000	144.68	02/15/22	147.32	...	-2.64	Option sale
ARCHER-DANIELS-MIDLAND CO ADM Mar 04 2022 79.0 Call / CUSIP: / Symbol: ADM 03/04/22 C 79.000							
03/02/22	2.000	240.68	03/01/22	151.32	...	89.36	Option sale
AUTOMATIC DATA PROCESSING INC ADP Feb 11 2022 210.0 Call / CUSIP: / Symbol: ADP 02/11/22 C 210.000							
02/09/22	2.000	403.68	Various	221.32	...	182.36	Total of 2 transactions
AMERICAN EAGLE OUTFITTERS INC AEO Feb 11 2022 23.0 Call / CUSIP: / Symbol: AEO 02/11/22 C 23.000							
02/08/22	3.000	205.02	Various	136.98	...	68.04	Total of 2 transactions
AMERICAN EAGLE OUTFITTERS INC AEO Mar 11 2022 18.5 Call / CUSIP: / Symbol: AEO 03/11/22 C 18.500							
03/09/22	4.000	77.35	03/09/22	138.64	...	-61.29	Option sale
AMERICAN EAGLE OUTFITTERS INC AEO Mar 25 2022 18.5 Put / CUSIP: / Symbol: AEO 03/25/22 P 18.500							
03/21/22	3.000	184.01	03/21/22	136.98	...	47.03	Option sale
AKAMAI TECHNOLOGIES AKAM Jan 28 2022 114.0 Call / CUSIP: / Symbol: AKAM 01/28/22 C 114.000							
01/25/22	1.000	94.34	01/25/22	150.66	...	-56.32	Option sale
AMGEN INC AMGN Mar 11 2022 230.0 Call / CUSIP: / Symbol: AMGN 03/11/22 C 230.000							
03/11/22	2.000	38.68	03/10/22	195.32	...	-156.64	Option sale
AMGEN INC AMGN Feb 04 2022 225.0 Put / CUSIP: / Symbol: AMGN 02/04/22 P 225.000							
02/02/22	2.000	128.68	02/02/22	233.32	...	-104.64	Option sale

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TD Ameritrade Clearing, Inc.

Account 237115191

**Proceeds from Broker and Barter Exchange Transactions**

2022 1099-B\* OMB No. 1545-0715

(continued)

01/19/2023

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMAZON COM INC AMZN Oct 28 2022	124.0	Call / CUSIP: / Symbol: AMZN	10/28/22	C 124.000			
10/27/22	1.000	92.34	10/27/22	92.66	...	-0.32	Option sale
AMAZON COM INC AMZN Nov 04 2022	105.0	Call / CUSIP: / Symbol: AMZN	11/04/22	C 105.000			
10/31/22	1.000	133.34	10/31/22	118.66	...	14.68	Option sale
AMAZON COM INC AMZN Nov 04 2022	100.0	Put / CUSIP: / Symbol: AMZN	11/04/22	P 100.000			
10/31/22	1.000	201.34	10/31/22	190.66	...	10.68	Option sale
ARISTA NETWORKS INC ANET Mar 11 2022	118.0	Call / CUSIP: / Symbol: ANET	03/11/22	C 118.000			
03/08/22	1.000	139.34	03/08/22	165.66	...	-26.32	Option sale
APA CORPORATION APA Feb 25 2022	32.0	Call / CUSIP: / Symbol: APA	02/25/22	C 32.000			
02/23/22	2.000	80.68	02/23/22	125.32	...	-44.64	Option sale
BOEING CO BA Oct 14 2022	135.0	Call / CUSIP: / Symbol: BA	10/14/22	C 135.000			
10/11/22	2.000	539.66	Various	441.32	...	98.34	Total of 2 transactions
BOEING CO BA Dec 02 2022	180.0	Call / CUSIP: / Symbol: BA	12/02/22	C 180.000			
11/25/22	1.000	233.33	11/23/22	163.66	...	69.67	Option sale
ALIBABA GROUP HOLDING LTD BABA Oct 28 2022	68.0	Put / CUSIP: / Symbol: BABA	10/28/22	P 68.000			
10/20/22	1.000	76.34	10/19/22	170.66	...	-94.32	Option sale
BANK OF AMERICA CORPORATION BAC Apr 14 2022	39.5	Put / CUSIP: / Symbol: BAC	04/14/22	P 39.500			
04/12/22	2.000	192.68	04/12/22	107.32	...	85.36	Option sale
BANK OF AMERICA CORPORATION BAC Sep 23 2022	32.5	Put / CUSIP: / Symbol: BAC	09/23/22	P 32.500			
09/22/22	4.000	113.35	09/22/22	110.64	...	2.71	Option sale
BRISTOL-MYERS SQUIBB CO BMY Mar 11 2022	68.0	Put / CUSIP: / Symbol: BMY	03/11/22	P 68.000			
03/08/22	2.000	194.68	Various	121.32	...	73.36	Total of 2 transactions
CITIGROUP INC C Feb 04 2022	65.0	Put / CUSIP: / Symbol: C	02/04/22	P 65.000			
02/03/22	2.000	114.68	02/03/22	71.32	...	43.36	Option sale
CARDINAL HEALTH INC CAH Mar 11 2022	53.0	Call / CUSIP: / Symbol: CAH	03/11/22	C 53.000			
03/08/22	2.000	108.68	03/08/22	161.32	...	-52.64	Option sale

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## Proceeds from Broker and Barter Exchange Transactions

2022 1099-B\* OMB No. 1545-0715

(continued)

01/19/2023

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
CATERPILLAR INC CAT Feb 18 2022 205.0 Call / CUSIP: / Symbol: CAT 02/18/22 C 205.000							
02/15/22	2.000	332.68	02/15/22	255.32	...	77.36	Option sale
CATERPILLAR INC CAT Oct 07 2022 157.5 Put / CUSIP: / Symbol: CAT 10/07/22 P 157.500							
10/03/22	3.000	133.01	Various	317.98	...	-184.97	Option sale
CF INDUSTRIES HOLDINGS INC CF Jan 28 2022 68.0 Call / CUSIP: / Symbol: CF 01/28/22 C 68.000							
01/25/22	1.000	305.34	01/24/22	200.66	...	104.68	Option sale
CLOROX CO CLX Mar 11 2022 146.0 Call / CUSIP: / Symbol: CLX 03/11/22 C 146.000							
03/08/22	1.000	90.34	03/07/22	225.66	...	-135.32	Option sale
COSTCO WHOLESALE CORP COST Sep 16 2022 480.0 Put / CUSIP: / Symbol: COST 09/16/22 P 480.000							
09/02/22	1.000	95.34	09/01/22	210.66	...	-115.32	Option sale
SALESFORCE.COM INC CRM Feb 25 2022 202.5 Call / CUSIP: / Symbol: CRM 02/25/22 C 202.500							
02/22/22	1.000	156.34	02/22/22	270.66	...	-114.32	Option sale
CRISPR THERAPEUTICS AG CRSP Mar 11 2022 61.0 Put / CUSIP: / Symbol: CRSP 03/11/22 P 61.000							
03/10/22	1.000	209.34	03/09/22	137.66	...	71.68	Option sale
CROWDSTRIKE HOLDINGS INC CRWD Sep 02 2022 217.5 Call / CUSIP: / Symbol: CRWD 09/02/22 C 217.500							
08/29/22	1.000	176.34	08/29/22	195.66	...	-19.32	Option sale
CVS HEALTH CORPORATION CVS Oct 07 2022 96.0 Put / CUSIP: / Symbol: CVS 10/07/22 P 96.000							
09/30/22	1.000	170.34	09/29/22	120.66	...	49.68	Option sale
CVS HEALTH CORPORATION CVS Nov 04 2022 97.0 Put / CUSIP: / Symbol: CVS 11/04/22 P 97.000							
11/03/22	2.000	64.68	11/03/22	55.32	...	9.36	Option sale
CHEVRON CORPORATION CVX Feb 04 2022 133.0 Put / CUSIP: / Symbol: CVX 02/04/22 P 133.000							
02/04/22	2.000	2.68	02/03/22	137.32	...	-134.64	Option sale
CHEVRON CORPORATION CVX Sep 09 2022 155.0 Put / CUSIP: / Symbol: CVX 09/09/22 P 155.000							
08/31/22	1.000	162.34	08/31/22	155.66	...	6.68	Option sale
DELTA AIR LINES INC DAL Mar 25 2022 36.5 Put / CUSIP: / Symbol: DAL 03/25/22 P 36.500							
03/25/22	2.000	0.00	03/21/22	173.32	...	-173.32	Option expiration

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**Proceeds from Broker and Barter Exchange Transactions**

2022 1099-B\* OMB No. 1545-0715

(continued)

01/19/2023

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DOORDASH INC DASH Nov 11 2022 56.0 Call / CUSIP: / Symbol: DASH 11/11/22 C 56.000							
11/09/22	1.000	72.34	11/09/22	98.66	...	-26.32	Option sale
DOORDASH INC DASH Oct 28 2022 40.0 Put / CUSIP: / Symbol: DASH 10/28/22 P 40.000							
09/29/22	2.000	232.67	09/23/22	229.32	...	3.35	Option sale
DOLLAR GENERAL CORP DG Mar 11 2022 205.0 Put / CUSIP: / Symbol: DG 03/11/22 P 205.000							
03/07/22	1.000	449.34	03/07/22	300.66	...	148.68	Option sale
WALT DISNEY COMPANY (THE) DIS Nov 18 2022 94.0 Call / CUSIP: / Symbol: DIS 11/18/22 C 94.000							
11/11/22	2.000	166.68	Various	113.32	...	53.36	Total of 2 transactions
DICKS SPORTING GOODS INC DKS Feb 11 2022 115.0 Call / CUSIP: / Symbol: DKS 02/11/22 C 115.000							
02/09/22	1.000	254.34	02/08/22	170.66	...	83.68	Option sale
DOLLAR TREE INC DLTR Feb 04 2022 130.0 Call / CUSIP: / Symbol: DLTR 02/04/22 C 130.000							
02/01/22	1.000	349.34	01/31/22	215.66	...	133.68	Option sale
DOLLAR TREE INC DLTR Feb 04 2022 130.0 Put / CUSIP: / Symbol: DLTR 02/04/22 P 130.000							
01/31/22	1.000	198.34	01/31/22	215.66	...	-17.32	Option sale
DEVON ENERGY CORP DVN Sep 30 2022 70.0 Call / CUSIP: / Symbol: DVN 09/30/22 C 70.000							
09/21/22	2.000	162.68	Various	149.32	...	13.36	Total of 2 transactions
DIGITAL WORLD ACQUISITION CORP DWAC Oct 21 2022 22.0 Call / CUSIP: / Symbol: DWAC 10/21/22 C 22.000							
10/13/22	2.000	78.68	10/13/22	141.32	...	-62.64	Option sale
ELECTRONIC ARTS INC EA Mar 11 2022 126.0 Call / CUSIP: / Symbol: EA 03/11/22 C 126.000							
03/10/22	3.000	208.01	Various	373.98	...	-165.97	Option sale
ELECTRONIC ARTS INC EA Dec 09 2022 127.0 Put / CUSIP: / Symbol: EA 12/09/22 P 127.000							
11/28/22	1.000	171.34	11/25/22	145.66	...	25.68	Option sale
EBAY INC EBAY Nov 25 2022 48.0 Call / CUSIP: / Symbol: EBAY 11/25/22 C 48.000							
11/15/22	2.000	82.68	11/15/22	117.32	...	-34.64	Option sale
ENPHASE ENERGY INC ENPH Oct 28 2022 315.0 Call / CUSIP: / Symbol: ENPH 10/28/22 C 315.000							
10/26/22	1.000	155.34	10/26/22	267.66	...	-112.32	Option sale

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TD Ameritrade Clearing, Inc.

Account 237115191

## Proceeds from Broker and Barter Exchange Transactions

2022 1099-B\* OMB No. 1545-0715

(continued)

01/19/2023

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EXPEDIA GROUP INC EXPE Mar 04 2022 187.5 Put / CUSIP: / Symbol: EXPE 03/04/22 P 187.500							
03/01/22	2.000	1,043.68	Various	661.32	...	382.36	Total of 2 transactions
03/03/22	1.000	319.34	03/02/22	177.66	...	141.68	Option sale
	<b>Security total:</b>	<b>1,363.02</b>		<b>838.98</b>	...	<b>524.04</b>	
FREEPORT-MCMORAN INC FCX Feb 18 2022 43.0 Call / CUSIP: / Symbol: FCX 02/18/22 C 43.000							
02/15/22	2.000	238.68	02/15/22	165.32	...	73.36	Option sale
FEDEX CORP FDX Jan 28 2022 240.0 Put / CUSIP: / Symbol: FDX 01/28/22 P 240.000							
01/28/22	1.000	34.34	01/28/22	120.66	...	-86.32	Option sale
GENERAL DYNAMICS CORP GD Mar 11 2022 240.0 Call / CUSIP: / Symbol: GD 03/11/22 C 240.000							
03/11/22	4.000	0.00	Various	317.64	...	-317.64	Option expiration
GENERAL MOTORS CO GM Jan 28 2022 52.0 Put / CUSIP: / Symbol: GM 01/28/22 P 52.000							
01/27/22	2.000	244.68	01/27/22	145.32	...	99.36	Option sale
BARRICK GOLD CORPORATION GOLD Feb 04 2022 19.0 Put / CUSIP: / Symbol: GOLD 02/04/22 P 19.000							
02/04/22	3.000	7.01	02/03/22	79.98	...	-72.97	Option sale
ALPHABET INC GOOG Nov 11 2022 92.0 Call / CUSIP: / Symbol: GOOG 11/11/22 C 92.000							
11/09/22	4.000	175.36	Various	173.96	...	1.40	Total of 2 transactions
11/09/22	1.000	46.34	11/09/22	47.66	1.32 W	0.00	Option sale
	<b>Security total:</b>	<b>221.70</b>		<b>221.62</b>	<b>1.32 W</b>	<b>1.40</b>	
HALLIBURTON CO HAL Feb 18 2022 32.0 Put / CUSIP: / Symbol: HAL 02/18/22 P 32.000							
02/15/22	4.000	432.35	Various	255.64	...	176.71	Total of 2 transactions
HOME DEPOT INC HD Oct 14 2022 297.5 Call / CUSIP: / Symbol: HD 10/14/22 C 297.500							
10/04/22	1.000	261.33	10/03/22	162.66	...	98.67	Option sale
HOME DEPOT INC HD Sep 09 2022 277.5 Put / CUSIP: / Symbol: HD 09/09/22 P 277.500							
08/31/22	3.000	472.00	Various	451.98	...	20.02	Option sale
INTERNATIONAL PAPER CO IP Feb 04 2022 48.0 Call / CUSIP: / Symbol: IP 02/04/22 C 48.000							
02/03/22	2.000	58.68	02/03/22	101.32	...	-42.64	Option sale

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Account 237115191

## Proceeds from Broker and Barter Exchange Transactions

2022 1099-B\* OMB No. 1545-0715

(continued)

01/19/2023

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INTUITIVE SURGICAL INC ISRG Mar 04 2022 285.0 Put / CUSIP: / Symbol: ISRG 03/04/22 P 285.000							
03/03/22	4.000	93.35	Various	568.64	...	-475.29	Option sale
JD.COM INC JD Apr 08 2022 63.0 Call / CUSIP: / Symbol: JD 04/08/22 C 63.000							
04/08/22	1.000	0.00	04/05/22	86.66	...	-86.66	Option expiration
JD.COM INC JD Nov 11 2022 42.0 Call / CUSIP: / Symbol: JD 11/11/22 C 42.000							
11/01/22	2.000	215.68	Various	133.32	...	82.36	Total of 2 transactions
JOHNSON & JOHNSON JNJ Sep 16 2022 167.5 Call / CUSIP: / Symbol: JNJ 09/16/22 C 167.500							
09/14/22	3.000	91.01	Various	161.98	...	-70.97	Option sale
JP MORGAN CHASE & CO JPM Sep 16 2022 123.0 Call / CUSIP: / Symbol: JPM 09/16/22 C 123.000							
09/12/22	1.000	71.34	09/12/22	62.66	...	8.68	Option sale
09/15/22	1.000	3.34	09/12/22	62.66	...	-59.32	Option sale
	<b>Security total:</b>	<b>74.68</b>		<b>125.32</b>	...	<b>-50.64</b>	
JP MORGAN CHASE & CO JPM Feb 25 2022 150.0 Put / CUSIP: / Symbol: JPM 02/25/22 P 150.000							
02/23/22	4.000	848.36	Various	467.64	...	380.72	Total of 3 transactions
KINDER MORGAN INC KMI Feb 11 2022 17.5 Call / CUSIP: / Symbol: KMI 02/11/22 C 17.500							
02/11/22	8.000	18.70	Various	86.28	...	-67.58	Option sale
COCA-COLA CO KO Sep 16 2022 60.0 Call / CUSIP: / Symbol: KO 09/16/22 C 60.000							
09/16/22	3.000	7.01	09/16/22	37.98	...	-30.97	Option sale
COCA-COLA CO KO Nov 11 2022 60.0 Call / CUSIP: / Symbol: KO 11/11/22 C 60.000							
11/07/22	2.000	98.68	11/07/22	101.32	...	-2.64	Option sale
COCA-COLA CO KO Feb 25 2022 62.0 Put / CUSIP: / Symbol: KO 02/25/22 P 62.000							
02/24/22	3.000	523.01	02/22/22	175.98	...	347.03	Option sale
LI AUTO INC LI Feb 25 2022 28.0 Put / CUSIP: / Symbol: LI 02/25/22 P 28.000							
02/22/22	2.000	294.68	02/22/22	201.32	...	93.36	Option sale
LEMONADE INC LMND Mar 11 2022 21.5 Put / CUSIP: / Symbol: LMND 03/11/22 P 21.500							
03/10/22	2.000	246.68	03/09/22	151.32	...	95.36	Option sale

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## Proceeds from Broker and Barter Exchange Transactions

2022 1099-B\* OMB No. 1545-0715

(continued)

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LULULEMON ATHLETICA INC LULU Sep 16 2022 355.0 Call / CUSIP: / Symbol: LULU 09/16/22 C 355.000							
09/15/22	2.000	36.97	Various	114.94	...	-77.97	Total of 2 transactions
09/15/22	1.000	18.04	09/15/22	51.66	33.62 W	0.00	Option sale
	<b>Security total:</b>	<b>55.01</b>		<b>166.60</b>	<b>33.62 W</b>	<b>-77.97</b>	
LAS VEGAS SANDS CORP LVS Apr 08 2022 40.0 Call / CUSIP: / Symbol: LVS 04/08/22 C 40.000							
04/08/22	4.000	0.00	Various	195.64	...	-195.64	Option expiration
LAS VEGAS SANDS CORP LVS Oct 21 2022 27.0 Put / CUSIP: / Symbol: LVS 10/21/22 P 27.000							
09/29/22	6.000	56.03	Various	125.96	...	-69.93	Option sale
LYFT INC LYFT Oct 14 2022 12.0 Put / CUSIP: / Symbol: LYFT 10/14/22 P 12.000							
10/03/22	5.000	256.68	09/29/22	236.30	...	20.38	Option sale
MARATHON DIGITAL HOLDINGS INC MARA Oct 14 2022 12.5 Call / CUSIP: / Symbol: MARA 10/14/22 C 12.500							
10/04/22	2.000	138.68	10/03/22	89.32	...	49.36	Option sale
MCDONALD'S CORPORATION MCD Sep 09 2022 257.5 Call / CUSIP: / Symbol: MCD 09/09/22 C 257.500							
09/06/22	2.000	310.67	09/06/22	303.32	...	7.35	Option sale
MCDONALD'S CORPORATION MCD Mar 18 2022 235.0 Put / CUSIP: / Symbol: MCD 03/18/22 P 235.000							
03/17/22	2.000	328.68	03/17/22	241.32	...	87.36	Option sale
MCDONALD'S CORPORATION MCD Nov 11 2022 272.5 Put / CUSIP: / Symbol: MCD 11/11/22 P 272.500							
11/07/22	1.000	163.34	11/07/22	155.66	...	7.68	Option sale
META PLATFORMS INC META Aug 26 2022 170.0 Call / CUSIP: / Symbol: META 08/26/22 C 170.000							
08/23/22	1.000	101.34	08/22/22	97.66	...	3.68	Option sale
META PLATFORMS INC META Sep 09 2022 155.0 Put / CUSIP: / Symbol: META 09/09/22 P 155.000							
09/02/22	1.000	165.34	09/02/22	131.66	...	33.68	Option sale
META PLATFORMS INC META Oct 14 2022 125.0 Put / CUSIP: / Symbol: META 10/14/22 P 125.000							
10/13/22	1.000	281.33	10/12/22	213.66	...	67.67	Option sale
ALTRIA GROUP INC MO Jan 28 2022 49.0 Put / CUSIP: / Symbol: MO 01/28/22 P 49.000							
01/27/22	3.000	10.01	01/26/22	145.98	...	-135.97	Option sale

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ALTRIA GROUP INC MO Feb 11 2022 50.0 Put / CUSIP: / Symbol: MO 02/11/22 P 50.000							
02/10/22	5.000	106.69	02/10/22	83.30	...	23.39	Option sale
ALTRIA GROUP INC MO Feb 25 2022 50.0 Put / CUSIP: / Symbol: MO 02/25/22 P 50.000							
02/24/22	4.000	377.35	02/24/22	102.64	...	274.71	Option sale
MODERNA INC MRNA Jan 28 2022 152.5 Put / CUSIP: / Symbol: MRNA 01/28/22 P 152.500							
01/27/22	1.000	684.34	01/26/22	460.66	...	223.68	Option sale
MODERNA INC MRNA Mar 18 2022 145.0 Put / CUSIP: / Symbol: MRNA 03/18/22 P 145.000							
03/18/22	4.000	0.00	Various	109.64	...	-109.64	Option expiration
MODERNA INC MRNA Nov 04 2022 146.0 Put / CUSIP: / Symbol: MRNA 11/04/22 P 146.000							
11/04/22	1.000	78.34	11/04/22	163.32	...	-84.98	Option sale
11/04/22	2.000	0.00	11/04/22	198.32	151.66 W	-46.66	Option expiration
	<b>Security total:</b>	<b>78.34</b>		<b>361.64</b>	<b>151.66 W</b>	<b>-131.64</b>	
MARVELL TECHNOLOGY INC MRVL Mar 25 2022 69.0 Put / CUSIP: / Symbol: MRVL 03/25/22 P 69.000							
03/25/22	2.000	0.68	Various	246.64	...	-245.96	Option sale
03/25/22	4.000	0.00	Various	289.64	229.32 W	-60.32	Option expiration
	<b>Security total:</b>	<b>0.68</b>		<b>536.28</b>	<b>229.32 W</b>	<b>-306.28</b>	
MICROSOFT CORP MSFT Sep 16 2022 245.0 Call / CUSIP: / Symbol: MSFT 09/16/22 C 245.000							
09/16/22	1.000	38.34	09/16/22	21.66	...	16.68	Option sale
MICRON TECHNOLOGY INC MU Oct 07 2022 50.0 Put / CUSIP: / Symbol: MU 10/07/22 P 50.000							
09/30/22	2.000	180.68	09/30/22	202.32	...	-21.64	Option sale
NETFLIX INC NFLX Sep 16 2022 245.0 Call / CUSIP: / Symbol: NFLX 09/16/22 C 245.000							
09/09/22	1.000	204.34	09/09/22	201.66	...	2.68	Option sale
NETFLIX INC NFLX Oct 07 2022 240.0 Call / CUSIP: / Symbol: NFLX 10/07/22 C 240.000							
10/06/22	1.000	282.33	10/06/22	269.66	...	12.67	Option sale
NETFLIX INC NFLX Sep 09 2022 210.0 Put / CUSIP: / Symbol: NFLX 09/09/22 P 210.000							
08/31/22	2.000	446.67	08/31/22	323.32	...	123.35	Option sale

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NETFLIX INC NFLX Sep 09 2022 212.5 Put / CUSIP: / Symbol: NFLX 09/09/22 P 212.500							
09/02/22	1.000	127.34	09/02/22	177.66	...	-50.32	Option sale
NETFLIX INC NFLX Sep 09 2022 215.0 Put / CUSIP: / Symbol: NFLX 09/09/22 P 215.000							
09/08/22	1.000	86.99	09/07/22	104.63	...	-17.64	Option sale
09/08/22	1.000	88.69	09/07/22	142.66	53.97 W	0.00	Option sale
	<b>Security total:</b>	<b>175.68</b>		<b>247.29</b>	<b>53.97 W</b>	<b>-17.64</b>	
NETFLIX INC NFLX Oct 07 2022 212.5 Put / CUSIP: / Symbol: NFLX 10/07/22 P 212.500							
09/29/22	2.000	411.67	Various	311.32	...	100.35	Total of 2 transactions
NETFLIX INC NFLX Oct 14 2022 230.0 Put / CUSIP: / Symbol: NFLX 10/14/22 P 230.000							
10/14/22	1.000	150.34	10/14/22	100.66	...	49.68	Option sale
NIO INC NIO Mar 11 2022 20.0 Call / CUSIP: / Symbol: NIO 03/11/22 C 20.000							
03/09/22	4.000	377.35	Various	253.64	...	123.71	Option sale
NIKE INC NKE Sep 02 2022 108.0 Put / CUSIP: / Symbol: NKE 09/02/22 P 108.000							
08/31/22	1.000	213.34	08/30/22	155.66	...	57.68	Option sale
NIKE INC NKE Sep 16 2022 102.0 Put / CUSIP: / Symbol: NKE 09/16/22 P 102.000							
09/16/22	6.000	140.03	09/15/22	120.96	...	19.07	Option sale
NOV INC NOV Mar 11 2022 21.5 Put / CUSIP: / Symbol: NOV 03/11/22 P 21.500							
03/09/22	2.000	222.68	Various	143.32	...	79.36	Total of 2 transactions
NOVAVAX INC NVAX Sep 23 2022 35.0 Call / CUSIP: / Symbol: NVAX 09/23/22 C 35.000							
09/16/22	1.000	75.34	09/15/22	110.66	...	-35.32	Option sale
NOVAVAX INC NVAX Sep 30 2022 22.0 Call / CUSIP: / Symbol: NVAX 09/30/22 C 22.000							
09/27/22	4.000	357.34	09/27/22	222.64	...	134.70	Option sale
NVIDIA CORP NVDA Oct 14 2022 115.0 Put / CUSIP: / Symbol: NVDA 10/14/22 P 115.000							
10/14/22	1.000	153.34	10/14/22	127.66	...	25.68	Option sale
NVIDIA CORP NVDA Nov 04 2022 134.0 Put / CUSIP: / Symbol: NVDA 11/04/22 P 134.000							
11/02/22	1.000	134.34	11/02/22	164.66	...	-30.32	Option sale

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ON SEMICONDUCTOR CORP ON Mar 04 2022 61.0 Put / CUSIP: / Symbol: ON 03/04/22 P 61.000							
03/01/22	2.000	368.68	03/01/22	191.32	...	177.36	Option sale
PINDUODUO INC PDD Feb 04 2022 58.0 Put / CUSIP: / Symbol: PDD 02/04/22 P 58.000							
02/03/22	2.000	493.68	Various	301.32	...	192.36	Total of 2 transactions
PEPSICO INC PEP Mar 11 2022 155.0 Call / CUSIP: / Symbol: PEP 03/11/22 C 155.000							
03/11/22	2.000	364.68	03/10/22	187.32	...	177.36	Option sale
PEPSICO INC PEP Mar 18 2022 160.0 Call / CUSIP: / Symbol: PEP 03/18/22 C 160.000							
03/14/22	2.000	154.68	03/14/22	171.32	...	-16.64	Option sale
PEPSICO INC PEP Feb 04 2022 170.0 Put / CUSIP: / Symbol: PEP 02/04/22 P 170.000							
02/01/22	2.000	104.68	02/01/22	241.32	...	-136.64	Option sale
PFIZER INC PFE Nov 18 2022 48.0 Call / CUSIP: / Symbol: PFE 11/18/22 C 48.000							
11/14/22	3.000	262.02	Various	133.98	...	128.04	Total of 3 transactions
PFIZER INC PFE Mar 18 2022 52.5 Put / CUSIP: / Symbol: PFE 03/18/22 P 52.500							
03/15/22	2.000	288.68	03/14/22	195.32	...	93.36	Option sale
PROCTER & GAMBLE CO PG Sep 16 2022 139.0 Call / CUSIP: / Symbol: PG 09/16/22 C 139.000							
09/16/22	4.000	69.35	09/16/22	78.64	...	-9.29	Option sale
PINTEREST INC PINS Oct 21 2022 23.5 Call / CUSIP: / Symbol: PINS 10/21/22 C 23.500							
10/19/22	2.000	118.68	10/19/22	117.32	...	1.36	Option sale
PINTEREST INC PINS Oct 28 2022 25.0 Call / CUSIP: / Symbol: PINS 10/28/22 C 25.000							
10/25/22	2.000	176.68	10/25/22	165.32	...	11.36	Option sale
PINTEREST INC PINS Feb 04 2022 28.0 Put / CUSIP: / Symbol: PINS 02/04/22 P 28.000							
01/31/22	1.000	159.34	01/31/22	200.66	...	-41.32	Option sale
PLUG POWER INC PLUG Oct 07 2022 21.0 Put / CUSIP: / Symbol: PLUG 10/07/22 P 21.000							
09/29/22	3.000	265.00	Various	221.98	...	43.02	Option sale
PHILIP MORRIS INTL INC PM Feb 11 2022 103.0 Put / CUSIP: / Symbol: PM 02/11/22 P 103.000							
02/07/22	2.000	236.68	02/07/22	306.32	...	-69.64	Option sale

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TD Ameritrade Clearing, Inc.

Account 237115191

## Proceeds from Broker and Barter Exchange Transactions

2022 1099-B\* OMB No. 1545-0715

(continued)

01/19/2023

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PHILLIPS 66 PSX Mar 11 2022 80.0 Call / CUSIP: / Symbol: PSX 03/11/22 C 80.000							
03/11/22	1.000	174.34	03/09/22	85.66	...	88.68	Option sale
PAYPAL HOLDINGS INC PYPL Sep 09 2022 89.0 Put / CUSIP: / Symbol: PYPL 09/09/22 P 89.000							
09/02/22	3.000	367.00	09/01/22	496.98	...	-129.98	Option sale
INVESCO QQQ TRUST QQQ Mar 07 2022 340.0 Call / CUSIP: / Symbol: QQQ 03/07/22 C 340.000							
03/04/22	1.000	208.34	03/04/22	328.66	...	-120.32	Option sale
INVESCO QQQ TRUST QQQ Oct 21 2022 275.0 Call / CUSIP: / Symbol: QQQ 10/21/22 C 275.000							
10/20/22	1.000	117.34	10/20/22	231.66	...	-114.32	Option sale
INVESCO QQQ TRUST QQQ Mar 04 2022 338.0 Put / CUSIP: / Symbol: QQQ 03/04/22 P 338.000							
03/03/22	2.000	304.68	Various	217.32	...	87.36	Total of 2 transactions
INVESCO QQQ TRUST QQQ Oct 17 2022 256.0 Put / CUSIP: / Symbol: QQQ 10/17/22 P 256.000							
10/14/22	1.000	84.34	10/14/22	83.66	...	0.68	Option sale
ROBLOX CORP RBLX Oct 07 2022 39.0 Call / CUSIP: / Symbol: RBLX 10/07/22 C 39.000							
10/04/22	1.000	62.34	10/04/22	83.66	...	-21.32	Option sale
ROYAL CARIBBEAN GROUP RCL Feb 11 2022 79.0 Call / CUSIP: / Symbol: RCL 02/11/22 C 79.000							
02/07/22	1.000	289.34	02/07/22	195.66	...	93.68	Option sale
ROYAL CARIBBEAN GROUP RCL Mar 04 2022 79.0 Put / CUSIP: / Symbol: RCL 03/04/22 P 79.000							
03/01/22	2.000	748.68	02/28/22	345.32	...	403.36	Option sale
RIO TINTO RIO Oct 21 2022 59.38 Call / CUSIP: / Symbol: RIO 10/21/22 C 59.380							
10/04/22	2.000	258.67	10/03/22	181.32	...	77.35	Option sale
RIVIAN AUTOMOTIVE INC RIVN Sep 30 2022 34.0 Put / CUSIP: / Symbol: RIVN 09/30/22 P 34.000							
09/22/22	2.000	380.68	Various	307.32	...	73.36	Total of 2 transactions
RIVIAN AUTOMOTIVE INC RIVN Oct 21 2022 28.0 Put / CUSIP: / Symbol: RIVN 10/21/22 P 28.000							
10/19/22	3.000	25.01	Various	153.98	...	-128.97	Option sale
ROKU INC ROKU Sep 09 2022 64.0 Put / CUSIP: / Symbol: ROKU 09/09/22 P 64.000							
09/02/22	1.000	124.34	09/02/22	184.66	...	-60.32	Option sale

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Account 237115191

**Proceeds from Broker and Barter Exchange Transactions**

2022 1099-B\* OMB No. 1545-0715

(continued)

01/19/2023

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RAYTHEON TECH CORP RTX Oct 14 2022 87.0 Call / CUSIP: / Symbol: RTX 10/14/22 C 87.000							
10/10/22	2.000	90.68	10/10/22	101.32	...	-10.64	Option sale
RAYTHEON TECH CORP RTX Nov 25 2022 98.0 Call / CUSIP: / Symbol: RTX 11/25/22 C 98.000							
11/21/22	1.000	18.34	11/18/22	52.98	...	-34.64	Option sale
11/21/22	6.000	104.03	11/18/22	263.96	28.32 W	-131.61	Option sale
	<b>Security total:</b>	<b>122.37</b>		<b>316.94</b>	<b>28.32 W</b>	<b>-166.25</b>	
RAYTHEON TECH CORP RTX Mar 25 2022 101.0 Put / CUSIP: / Symbol: RTX 03/25/22 P 101.000							
03/25/22	2.000	0.00	03/23/22	165.32	...	-165.32	Option expiration
SPIRIT AIRLINES INC SAVE Oct 07 2022 18.5 Put / CUSIP: / Symbol: SAVE 10/07/22 P 18.500							
10/03/22	1.000	30.34	09/30/22	59.66	...	-29.32	Option sale
STARBUCKS CORP SBUX Sep 23 2022 93.0 Call / CUSIP: / Symbol: SBUX 09/23/22 C 93.000							
09/20/22	1.000	80.34	09/20/22	87.66	...	-7.32	Option sale
STARBUCKS CORP SBUX Oct 21 2022 92.0 Call / CUSIP: / Symbol: SBUX 10/21/22 C 92.000							
10/13/22	4.000	369.34	Various	222.64	...	146.70	Option sale
SHOPIFY INC SHOP Sep 16 2022 33.0 Call / CUSIP: / Symbol: SHOP 09/16/22 C 33.000							
09/13/22	3.000	268.00	09/13/22	274.98	...	-6.98	Option sale
SHOPIFY INC SHOP Nov 25 2022 36.0 Call / CUSIP: / Symbol: SHOP 11/25/22 C 36.000							
11/22/22	3.000	109.01	11/22/22	106.98	...	2.03	Option sale
SKECHERS USA INC SKX Mar 25 2022 41.0 Put / CUSIP: / Symbol: SKX 03/25/22 P 41.000							
03/23/22	2.000	198.68	03/22/22	126.32	...	72.36	Option sale
SNAP INC SNAP Mar 25 2022 34.0 Put / CUSIP: / Symbol: SNAP 03/25/22 P 34.000							
03/25/22	4.000	21.35	Various	299.64	...	-278.29	Option sale
SNAP INC SNAP Sep 02 2022 10.5 Put / CUSIP: / Symbol: SNAP 09/02/22 P 10.500							
08/29/22	4.000	165.35	08/29/22	122.64	...	42.71	Option sale
SNOWFLAKE INC SNOW Sep 09 2022 162.5 Put / CUSIP: / Symbol: SNOW 09/09/22 P 162.500							
08/31/22	3.000	238.00	08/30/22	441.98	...	-203.98	Option sale

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Account 237115191

## Proceeds from Broker and Barter Exchange Transactions

2022 1099-B\* OMB No. 1545-0715

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01/19/2023

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

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SOFI TECHNOLOGIES INC SOFI Jan 06 2023 5.0 Call / CUSIP: / Symbol: SOFI 01/06/23 C 5.000							
12/14/22	4.000	97.35	12/14/22	78.64	...	18.71	Option sale
SPDR S&P500 ETF TRUST SPY Sep 02 2022 396.0 Call / CUSIP: / Symbol: SPY 09/02/22 C 396.000							
09/01/22	1.000	120.34	09/01/22	170.66	...	-50.32	Option sale
SPDR S&P500 ETF TRUST SPY Sep 12 2022 408.0 Call / CUSIP: / Symbol: SPY 09/12/22 C 408.000							
09/09/22	1.000	160.34	09/09/22	149.66	...	10.68	Option sale
SPDR S&P500 ETF TRUST SPY Sep 19 2022 400.0 Call / CUSIP: / Symbol: SPY 09/19/22 C 400.000							
09/19/22	4.000	0.00	Various	82.64	...	-82.64	Option expiration
SPDR S&P500 ETF TRUST SPY Sep 21 2022 395.0 Call / CUSIP: / Symbol: SPY 09/21/22 C 395.000							
09/19/22	2.000	242.67	09/19/22	205.32	...	37.35	Option sale
SPDR S&P500 ETF TRUST SPY Sep 30 2022 365.0 Call / CUSIP: / Symbol: SPY 09/30/22 C 365.000							
09/30/22	1.000	83.34	09/30/22	103.66	...	-20.32	Option sale
SPDR S&P500 ETF TRUST SPY Oct 10 2022 383.0 Call / CUSIP: / Symbol: SPY 10/10/22 C 383.000							
10/05/22	1.000	249.33	10/05/22	169.66	...	79.67	Option sale
SPDR S&P500 ETF TRUST SPY Nov 04 2022 377.0 Call / CUSIP: / Symbol: SPY 11/04/22 C 377.000							
11/03/22	1.000	142.34	11/03/22	119.66	...	22.68	Option sale
SPDR S&P500 ETF TRUST SPY Nov 18 2022 394.0 Call / CUSIP: / Symbol: SPY 11/18/22 C 394.000							
11/17/22	1.000	123.34	11/17/22	143.66	...	-20.32	Option sale
SPDR S&P500 ETF TRUST SPY Aug 31 2022 400.0 Put / CUSIP: / Symbol: SPY 08/31/22 P 400.000							
08/29/22	1.000	269.33	08/29/22	200.66	...	68.67	Option sale
SPDR S&P500 ETF TRUST SPY Sep 02 2022 388.0 Put / CUSIP: / Symbol: SPY 09/02/22 P 388.000							
09/01/22	1.000	160.34	09/01/22	133.66	...	26.68	Option sale
SPDR S&P500 ETF TRUST SPY Sep 06 2022 396.0 Put / CUSIP: / Symbol: SPY 09/06/22 P 396.000							
09/02/22	1.000	104.34	09/02/22	140.66	...	-36.32	Option sale
SPDR S&P500 ETF TRUST SPY Sep 06 2022 398.0 Put / CUSIP: / Symbol: SPY 09/06/22 P 398.000							
09/02/22	1.000	218.33	09/02/22	151.66	...	66.67	Option sale

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Account 237115191

**Proceeds from Broker and Barter Exchange Transactions**

2022 1099-B\* OMB No. 1545-0715

(continued)

01/19/2023

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SPDR S&P500 ETF TRUST SPY Sep 14 2022 394.0 Put / CUSIP: / Symbol: SPY 09/14/22 P 394.000							
09/14/22	3.000	262.00	09/14/22	220.98	...	41.02	Option sale
SPDR S&P500 ETF TRUST SPY Sep 16 2022 385.0 Put / CUSIP: / Symbol: SPY 09/16/22 P 385.000							
09/14/22	1.000	148.34	09/14/22	148.66	...	-0.32	Option sale
SPDR S&P500 ETF TRUST SPY Oct 05 2022 372.0 Put / CUSIP: / Symbol: SPY 10/05/22 P 372.000							
10/04/22	1.000	154.34	10/04/22	124.66	...	29.68	Option sale
SPDR S&P500 ETF TRUST SPY Oct 07 2022 369.0 Put / CUSIP: / Symbol: SPY 10/07/22 P 369.000							
10/06/22	1.000	124.34	10/06/22	151.66	...	-27.32	Option sale
SPDR S&P500 ETF TRUST SPY Oct 10 2022 361.0 Put / CUSIP: / Symbol: SPY 10/10/22 P 361.000							
10/07/22	1.000	138.34	10/07/22	125.66	...	12.68	Option sale
SPDR S&P500 ETF TRUST SPY Oct 12 2022 355.0 Put / CUSIP: / Symbol: SPY 10/12/22 P 355.000							
10/07/22	1.000	184.34	10/07/22	171.66	...	12.68	Option sale
SPDR S&P500 ETF TRUST SPY Oct 17 2022 355.0 Put / CUSIP: / Symbol: SPY 10/17/22 P 355.000							
10/14/22	2.000	364.67	10/14/22	301.32	...	63.35	Option sale
SPDR S&P500 ETF TRUST SPY Oct 21 2022 356.0 Put / CUSIP: / Symbol: SPY 10/21/22 P 356.000							
10/19/22	4.000	249.34	Various	294.64	...	-45.30	Option sale
SPDR S&P500 ETF TRUST SPY Oct 21 2022 365.0 Put / CUSIP: / Symbol: SPY 10/21/22 P 365.000							
10/20/22	2.000	158.68	10/20/22	340.32	...	-181.64	Option sale
SPDR S&P500 ETF TRUST SPY Oct 26 2022 359.0 Put / CUSIP: / Symbol: SPY 10/26/22 P 359.000							
10/19/22	1.000	179.34	10/19/22	169.66	...	9.68	Option sale
10/20/22	1.000	200.34	10/20/22	169.66	...	30.68	Option sale
	<b>Security total:</b>	<b>379.68</b>		<b>339.32</b>	...	<b>40.36</b>	
SPDR S&P500 ETF TRUST SPY Nov 04 2022 365.0 Put / CUSIP: / Symbol: SPY 11/04/22 P 365.000							
11/03/22	1.000	112.34	11/03/22	149.66	...	-37.32	Option sale
SPDR S&P500 ETF TRUST SPY Nov 04 2022 369.0 Put / CUSIP: / Symbol: SPY 11/04/22 P 369.000							
11/03/22	1.000	142.34	11/03/22	147.66	...	-5.32	Option sale

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**Proceeds from Broker and Barter Exchange Transactions**

2022 1099-B\* OMB No. 1545-0715

(continued)

01/19/2023

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SPDR S&P500 ETF TRUST SPY Nov 09 2022 371.0 Put / CUSIP: / Symbol: SPY 11/09/22 P 371.000							
11/07/22	1.000	127.34	11/07/22	133.66	...	-6.32	Option sale
SPDR S&P500 ETF TRUST SPY Nov 09 2022 378.0 Put / CUSIP: / Symbol: SPY 11/09/22 P 378.000							
11/09/22	1.000	139.34	11/09/22	135.66	...	3.68	Option sale
SPDR S&P500 ETF TRUST SPY Nov 11 2022 375.0 Put / CUSIP: / Symbol: SPY 11/11/22 P 375.000							
11/07/22	1.000	358.33	11/07/22	430.66	...	-72.33	Option sale
SPDR S&P500 ETF TRUST SPY Nov 11 2022 388.0 Put / CUSIP: / Symbol: SPY 11/11/22 P 388.000							
11/10/22	1.000	169.34	11/10/22	139.98	...	29.36	Option sale
11/10/22	1.000	153.34	11/10/22	161.66	8.32 W	0.00	Option sale
	<b>Security total:</b>	<b>322.68</b>		<b>301.64</b>	<b>8.32 W</b>	<b>29.36</b>	
SPDR S&P500 ETF TRUST SPY Dec 01 2022 405.0 Put / CUSIP: / Symbol: SPY 12/01/22 P 405.000							
12/01/22	2.000	0.00	12/01/22	112.32	...	-112.32	Option expiration
BLOCK INC SQ Sep 02 2022 70.0 Call / CUSIP: / Symbol: SQ 09/02/22 C 70.000							
08/30/22	2.000	268.67	Various	276.32	...	-7.65	Option sale
BLOCK INC SQ Feb 18 2022 115.0 Call / CUSIP: / Symbol: SQ 02/18/22 C 115.000							
02/14/22	2.000	998.68	Various	741.32	...	257.36	Total of 2 transactions
02/15/22	3.000	984.02	Various	654.98	...	329.04	Total of 2 transactions
02/18/22	2.000	0.00	Various	120.32	...	-120.32	Option expiration
	<b>Security total:</b>	<b>1,982.70</b>		<b>1,516.62</b>	...	<b>466.08</b>	
PROSHARES TRUST SQQQ Nov 18 2022 50.0 Call / CUSIP: / Symbol: SQQQ 11/18/22 C 50.000							
11/11/22	1.000	149.34	11/10/22	189.66	...	-40.32	Option sale
SEAGATE TECHNOLOGY HLDG STX Mar 18 2022 86.0 Put / CUSIP: / Symbol: STX 03/18/22 P 86.000							
03/16/22	3.000	124.01	Various	376.98	...	-252.97	Option sale
SYNCHRONY FINANCIAL SYF Feb 04 2022 42.0 Put / CUSIP: / Symbol: SYF 02/04/22 P 42.000							
02/02/22	4.000	97.35	02/02/22	222.64	...	-125.29	Option sale
SYSCO CORP SYY Feb 11 2022 77.0 Put / CUSIP: / Symbol: SYY 02/11/22 P 77.000							
02/08/22	1.000	19.34	02/08/22	140.66	...	-121.32	Option sale

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**Proceeds from Broker and Barter Exchange Transactions**

2022 1099-B\* OMB No. 1545-0715

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01/19/2023

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TECK RESOURCES LIMITED TECK Apr 08 2022 39.0 Put / CUSIP: / Symbol: TECK 04/08/22 P 39.000							
04/05/22	2.000	176.68	04/05/22	123.32	...	53.36	Option sale
ISHARES TRUST TLT Sep 02 2022 113.5 Call / CUSIP: / Symbol: TLT 09/02/22 C 113.500							
08/25/22	3.000	247.00	Various	251.98	...	-4.98	Option sale
ISHARES TRUST TLT Nov 18 2022 100.0 Call / CUSIP: / Symbol: TLT 11/18/22 C 100.000							
10/18/22	1.000	167.34	10/17/22	204.66	...	-37.32	Option sale
T-MOBILE US INC TMUS Jan 28 2022 106.0 Call / CUSIP: / Symbol: TMUS 01/28/22 C 106.000							
01/26/22	1.000	106.34	01/24/22	170.66	...	-64.32	Option sale
TOLL BROS INC TOL May 20 2022 48.0 Call / CUSIP: / Symbol: TOL 05/20/22 C 48.000							
04/27/22	1.000	129.34	04/26/22	215.66	...	-86.32	Option sale
TESLA INC TSLA Oct 07 2022 245.0 Call / CUSIP: / Symbol: TSLA 10/07/22 C 245.000							
10/06/22	1.000	222.33	10/06/22	225.66	...	-3.33	Option sale
TESLA INC TSLA Nov 04 2022 245.0 Call / CUSIP: / Symbol: TSLA 11/04/22 C 245.000							
10/31/22	1.000	113.34	10/31/22	118.66	...	-5.32	Option sale
TESLA INC TSLA Sep 23 2022 275.0 Put / CUSIP: / Symbol: TSLA 09/23/22 P 275.000							
09/23/22	1.000	188.34	09/23/22	140.66	...	47.68	Option sale
TESLA INC TSLA Oct 07 2022 230.0 Put / CUSIP: / Symbol: TSLA 10/07/22 P 230.000							
10/05/22	1.000	149.34	10/04/22	115.66	...	33.68	Option sale
TESLA INC TSLA Nov 04 2022 215.0 Put / CUSIP: / Symbol: TSLA 11/04/22 P 215.000							
11/03/22	1.000	229.33	11/03/22	205.66	...	23.67	Option sale
TESLA INC TSLA Nov 18 2022 185.0 Put / CUSIP: / Symbol: TSLA 11/18/22 P 185.000							
11/16/22	1.000	314.33	11/16/22	345.66	...	-31.33	Option sale
TAIWAN SEMICONDUCTOR MANUFACTU TSM Oct 28 2022 80.0 Call / CUSIP: / Symbol: TSM 10/28/22 C 80.000							
10/06/22	2.000	168.68	10/05/22	217.32	...	-48.64	Option sale
TAIWAN SEMICONDUCTOR MANUFACTU TSM Nov 18 2022 82.0 Call / CUSIP: / Symbol: TSM 11/18/22 C 82.000							
11/18/22	2.000	68.68	11/18/22	115.32	...	-46.64	Option sale

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

TD Ameritrade Clearing, Inc.

Account 237115191

**Proceeds from Broker and Barter Exchange Transactions**

2022 1099-B\* OMB No. 1545-0715

(continued)

01/19/2023

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
THE TRADE DESK INC TTD Mar 18 2022 55.0 Call / CUSIP: / Symbol: TTD 03/18/22 C 55.000							
03/16/22	1.000	252.34	03/15/22	158.66	...	93.68	Option sale
TAKE-TWO INTERACTIVE SOFTWARE TTWO Feb 25 2022 162.5 Call / CUSIP: / Symbol: TTWO 02/25/22 C 162.500							
02/24/22	1.000	69.34	02/23/22	180.66	...	-111.32	Option sale
TAKE-TWO INTERACTIVE SOFTWARE TTWO Mar 11 2022 157.5 Call / CUSIP: / Symbol: TTWO 03/11/22 C 157.500							
03/11/22	1.000	0.00	03/10/22	170.66	...	-170.66	Option expiration
TAKE-TWO INTERACTIVE SOFTWARE TTWO Oct 21 2022 130.0 Call / CUSIP: / Symbol: TTWO 10/21/22 C 130.000							
10/06/22	1.000	116.34	10/05/22	63.66	...	52.68	Option sale
10/12/22	1.000	54.34	10/05/22	63.66	...	-9.32	Option sale
	<b>Security total:</b>	<b>170.68</b>		<b>127.32</b>	...	<b>43.36</b>	
TWILIO INC TWLO Oct 07 2022 79.0 Call / CUSIP: / Symbol: TWLO 10/07/22 C 79.000							
10/05/22	2.000	120.68	10/05/22	171.32	...	-50.64	Option sale
UNDER ARMOUR INC UAA Apr 14 2022 16.0 Put / CUSIP: / Symbol: UAA 04/14/22 P 16.000							
04/14/22	2.000	0.00	04/12/22	47.32	...	-47.32	Option expiration
UNITED PARCEL SERVICE INC UPS Mar 18 2022 217.5 Put / CUSIP: / Symbol: UPS 03/18/22 P 217.500							
03/18/22	1.000	149.34	03/18/22	95.66	...	53.68	Option sale
UPSTART HLDGS INC UPST Jan 28 2022 100.0 Call / CUSIP: / Symbol: UPST 01/28/22 C 100.000							
01/25/22	1.000	419.34	01/25/22	270.66	...	148.68	Option sale
UPSTART HLDGS INC UPST Feb 11 2022 105.0 Call / CUSIP: / Symbol: UPST 02/11/22 C 105.000							
02/09/22	1.000	474.34	02/08/22	160.66	...	313.68	Option sale
VERTEX PHARMACEUTICAL VRTX Mar 18 2022 245.0 Put / CUSIP: / Symbol: VRTX 03/18/22 P 245.000							
03/16/22	1.000	379.34	03/16/22	255.66	...	123.68	Option sale
VERIZON COMMUNICATIONS VZ Sep 30 2022 41.5 Call / CUSIP: / Symbol: VZ 09/30/22 C 41.500							
09/16/22	2.000	130.68	09/16/22	109.32	...	21.36	Option sale
WELLS FARGO & COMPANY WFC Oct 14 2022 41.5 Put / CUSIP: / Symbol: WFC 10/14/22 P 41.500							
10/10/22	2.000	224.67	10/07/22	237.32	...	-12.65	Option sale

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TD Ameritrade Clearing, Inc.

Account 237115191

**Proceeds from Broker and Barter Exchange Transactions**

2022 1099-B\* OMB No. 1545-0715

(continued)

01/19/2023

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
WALMART INC WMT Sep 23 2022 136.0 Call / CUSIP: / Symbol: WMT 09/23/22 C 136.000							
09/20/22	3.000	145.01	09/20/22	202.98	...	-57.97	Option sale
WEYERHAEUSER COMPANY WY Mar 04 2022 39.0 Call / CUSIP: / Symbol: WY 03/04/22 C 39.000							
03/03/22	2.000	98.68	03/01/22	131.32	...	-32.64	Option sale
EXXON MOBIL CORPORATION XOM Mar 18 2022 78.0 Call / CUSIP: / Symbol: XOM 03/18/22 C 78.000							
03/17/22	2.000	230.68	03/17/22	147.32	...	83.36	Option sale
EXXON MOBIL CORPORATION XOM Sep 30 2022 89.0 Call / CUSIP: / Symbol: XOM 09/30/22 C 89.000							
09/27/22	2.000	120.68	09/27/22	101.32	...	19.36	Option sale
EXXON MOBIL CORPORATION XOM Oct 07 2022 91.0 Call / CUSIP: / Symbol: XOM 10/07/22 C 91.000							
10/03/22	4.000	671.34	Various	323.64	...	347.70	Total of 2 transactions
EXXON MOBIL CORPORATION XOM Oct 07 2022 82.0 Put / CUSIP: / Symbol: XOM 10/07/22 P 82.000							
09/30/22	1.000	40.34	09/30/22	46.66	...	-6.32	Option sale
EXXON MOBIL CORPORATION XOM Oct 21 2022 90.0 Put / CUSIP: / Symbol: XOM 10/21/22 P 90.000							
09/12/22	1.000	153.34	09/07/22	310.66	...	-157.32	Option sale
EXXON MOBIL CORPORATION XOM Sep 16 2022 100.0 Call / CUSIP: / Symbol: XOM 09/16/22 C 100.000							
09/13/22	2.000	82.68	09/12/22	127.32	...	-44.64	Option sale
ZSCALER INC ZS Sep 09 2022 120.0 Put / CUSIP: / Symbol: ZS 09/09/22 P 120.000							
09/06/22	2.000	290.67	09/06/22	387.32	...	-96.65	Option sale
<b>Totals :</b>		<b>44,808.71</b>		<b>44,235.75</b>	<b>513.17 W</b>	<b>1,086.13</b>	

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TD Ameritrade Clearing, Inc.

Account 237115191

**Detail for Interest Income****2022**

01/19/2023

*This section of your tax information statement contains the payment level detail of taxable interest and associated bond premium. Market discount will be shown here only if you have elected to recognize it currently rather than at the time of sale or maturity. Bond premium and market discount for covered tax lots are totaled on Form 1099-INT and reported to the IRS. For noncovered tax lots, they are totaled in the Adjustments to Interest and Original Issue Discount and are not reported to the IRS.*

*To provide a complete picture of activity for each investment, we also include here nonreportable transactions such as accrued interest paid on purchases and payment or receipt of nonqualified interest. Other amounts, such as federal, state and foreign tax withheld and investment expenses are shown as negative amounts but do not net against the reportable income totals.*

<b>Security description</b>	<b>CUSIP and/or symbol</b>	<b>Date</b>	<b>Amount</b>	<b>Transaction type</b>	<b>Notes</b>
Interest Credited		08/31/22	0.01	Credit interest	
		09/30/22	0.02	Credit interest	
		10/31/22	0.14	Credit interest	
		11/30/22	0.16	Credit interest	
		12/30/22	0.02	Credit interest	
			0.35	Total Interest	
			<b>0.35</b>	<b>Total Interest</b>	

TD Ameritrade Clearing, Inc.

Account 237115191

**Section 1256 Contracts - Regulated Futures and Nonequity Options**

2022

01/19/2023

*This section of your tax information statement provides contract-by-contract detail of regulated futures and section 1256 contracts. The total for each contract is the sum of the current year's realized and unrealized profit or loss minus the prior year unrealized profit or loss. The annual total for your account is found in Regulated Futures Contracts 1099-B in the Summary Information at the beginning of this statement.*

Security description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
INVESCO CCY SHS BRIT POUND STL FXB Nov 18 FXB 11/18/22 C 106.000		10/03/22	1.00	68.67	Realized profit/loss	
S&P 500 SPXW Oct 14 2022 3730.0 Call	SPXW 10/14/22 C 3730.000	10/17/22	2.00	-94.69	Realized profit/loss	
S&P 500 SPXW Oct 20 2022 3720.0 Call	SPXW 10/20/22 C 3720.000	10/20/22	1.00	-16.15	Realized profit/loss	
S&P 500 SPXW Nov 11 2022 4000.0 Call	SPXW 11/11/22 C 4000.000	11/14/22	1.00	147.52	Realized profit/loss	
S&P 500 SPXW Nov 15 2022 4050.0 Call	SPXW 11/15/22 C 4050.000	11/15/22	4.00	-1,004.78	Realized profit/loss	
S&P 500 SPXW Nov 17 2022 3955.0 Call	SPXW 11/17/22 C 3955.000	11/18/22	1.00	22.52	Realized profit/loss	
S&P 500 SPXW Dec 05 2022 4145.0 Call	SPXW 12/05/22 C 4145.000	12/05/22	1.00	-47.30	Realized profit/loss	
S&P 500 SPXW Oct 24 2022 3570.0 Put	SPXW 10/24/22 P 3570.000	10/24/22	4.00	-334.69	Realized profit/loss	
S&P 500 SPXW Oct 26 2022 3720.0 Put	SPXW 10/26/22 P 3720.000	10/27/22	3.00	-156.99	Realized profit/loss	
S&P 500 SPXW Oct 28 2022 3700.0 Put	SPXW 10/28/22 P 3700.000	10/28/22	1.00	-27.48	Realized profit/loss	
S&P 500 SPXW Oct 31 2022 3700.0 Put	SPXW 10/31/22 P 3700.000	10/28/22	1.00	-12.48	Realized profit/loss	
S&P 500 SPXW Oct 31 2022 3720.0 Put	SPXW 10/31/22 P 3720.000	10/31/22	2.00	-414.78	Realized profit/loss	
S&P 500 SPXW Nov 01 2022 3795.0 Put	SPXW 11/01/22 P 3795.000	11/01/22	1.00	-12.39	Realized profit/loss	
S&P 500 SPXW Nov 07 2022 3750.0 Put	SPXW 11/07/22 P 3750.000	11/08/22	1.00	12.52	Realized profit/loss	

TD Ameritrade Clearing, Inc.

Account 237115191

## Section 1256 Contracts - Regulated Futures and Nonequity Options

2022

(continued)

01/19/2023

Security description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
S&P 500 SPXW Nov 09 2022 3750.0 Put	SPXW 11/09/22 P 3750.000	11/10/22	1.00	102.52	Realized profit/loss	
		11/10/22	1.00	2.52	Realized profit/loss	
				<b>105.04</b>	<b>Total Realized profit/loss</b>	
S&P 500 SPXW Nov 14 2022 3790.0 Put	SPXW 11/14/22 P 3790.000	11/14/22	1.00	17.52	Realized profit/loss	
S&P 500 SPXW Nov 16 2022 3920.0 Put	SPXW 11/16/22 P 3920.000	11/17/22	1.00	-22.39	Realized profit/loss	
S&P 500 SPXW Dec 05 2022 3895.0 Put	SPXW 12/05/22 P 3895.000	12/05/22	1.00	-52.30	Realized profit/loss	
				<b>-1,822.63</b>	<b>Total Realized profit/loss</b>	
				<b>-1,822.63</b>	<b>Total Aggregate profit or (loss) on contracts</b>	



**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.****1099-INT Instructions for Recipient**

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

**Line 1.** Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2021 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

**Line 2.** Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction.

**Line 3.** Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium.

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

**Line 5.** Any amount shown is your share of investment expenses of a singleclass REMIC. This amount is included in line 1. Note: This amount is not deductible.

**Line 6.** Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

**Line 7.** Shows the country or U.S. possession to which the foreign tax was paid.

**Line 8.** Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Forms 1040 and 1040-SR. This amount may be subject to backup withholding. See Line 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

**Line 9.** Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in line 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

**Line 10.** For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your

**Instructions for Recipient**

payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includable in taxable income as interest income.

**Line 11.** For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includable in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

**Line 12.** For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includable in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 3. If the amount in line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

**Line 13.** For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this line for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in line 8 or 9, whichever is applicable. If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

**Line 14.** Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s).

**Lines 15-17.** State tax withheld reporting lines.

**Future developments.** For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099INT](http://www.irs.gov/Form1099INT).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

**Line 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

**Instructions for Recipient**

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

**Regulated Futures Contracts, Foreign Currency Contracts, and Section 1256 Option Contracts (Lines 8 Through 11)**

**Line 8.** Shows the profit or (loss) realized on regulated futures, foreign currency, or Section 1256 option contracts closed during 2022.

**Line 9.** Shows any year-end adjustment to the profit or (loss) shown in line 8 due to open contracts on December 31, 2021.

**Line 10.** Shows the unrealized profit or (loss) on open contracts held in your account on December 31, 2022. These are considered closed out as of that date. This will become an adjustment reported as unrealized profit or (loss) on open contracts--12/31/2022 in 2023.

**Line 11.** Lines 8, 9, and 10 are all used to figure the aggregate profit or (loss) on regulated futures, foreign currency, or Section 1256 option contracts for the year. Include this amount on your 2022 Form 6781.