Robinhood Markets Inc 85 Willow Road Menlo Park, CA 94025 650-940-2700



Sumanth Thaneeru 8457 N 61st Ln Glendale, AZ 85302

Master Account Number for Import: 584222624 **Document ID for Import:** 40RU2G5H671

Enclosed is your 2022 Consolidated Tax Statement. 1099 Tax Forms for the following accounts are included in this package:

584222624 - Robinhood Securities LLC 584222624C - Robinhood Crypto LLC

**New for 2022 Tax Year** - Where applicable, 1099 Tax Forms for Robinhood Securities, Robinhood Crypto, and Robinhood Money are being consolidated into one package and reported under our parent entity, Robinhood Markets Inc. We have done this to ensure all forms that you need are provided at the same time in this consolidated package.

Important - Please utilize the Master Account Number and Document ID listed above if filing through tax service providers such as TurboTax or H&R Block. The Document ID is necessary in order to directly import your 1099 data into the tax software so that you do not need to manually enter each transaction. This year there is only one Master Account Number and Document ID that needs to be used to import all of your data across all accounts and 1099s (including crypto). Please note that tax software providers may have limits on the total number of transactions that can be directly imported using the Document ID.

**CSVs** - In addition, a CSV file which includes the same information that is reported on your 1099 forms will be provided. The CSV is not a separate 1099. It is being provided as a supplement in a format that may be more helpful if you have a large number of transactions or if you are not using the Document ID to import all of your Securities, Crypto and Money activity. Tax software providers may have limits on the total number of transactions that can be uploaded and CSV files may not be compatible with tax service providers such as TurboTax or H&R Block.

**Robinhood Retirements Accounts** - If you had any reportable distributions or contributions within a Robinhood Retirement account, your 2022 tax forms 1099-R and/or 5498 will be delivered separately. Retirement accounts will be reported under Robinhood Securities LLC and will have their own unique Doc IDs for import to tax software providers such as TurboTax or H&R Block.



85 Willow Road Menlo Park, CA 94025

Customer Service: 650-940-2700

Tax Information Account 584222624	Statement Date: 02/01/2023	2022
Sumanth Thaneeru 8457 N 61st Ln Glendale, AZ 85302		

PAYER'S TIN: 46-4364776	

TREON IENT O THE 7000 700 O			
Summary Info	rmation	13 - [ ] FATCA filing requirer	ment (see instructions)
* OMB No. 1545-0110	MISCELLANEOUS INFORMATIO	N 2022 1099-MISC*	OMB No. 1545-0115
77.52	2- Royalties		0.00
77.52	3- Other income		0.96
0.00	4- Federal income tax withheld		0.00
0.00	8- Substitute payments in lieu of dividends	or interest	0.00
0.00	• •		
0.00	SECTION 1256 CONTRACTS	2022 1099-B*	OMB No. 1545-0715
	9. Drofit or (loss) realized in 2022 on aloss	dantraata	0.00
	` ,		0.00
			0.00
0.00		acts-12/31/2022	0.00
0.00	11- Aggregate profit or (loss) on contracts		0.00
0.00	If applicable proceeds from sale transactions	tions annoar summarized hal	low and are
0.00			ow and are
0.00			Camilaa
<sub>0.00</sub> you	t this income is taxable and the IRS determine	es that it has not been repor	tea.
	Summary Info  *** OMB No. 1545-0110  77.52  77.52  0.00  0.00  0.00  0.00  0.00  0.00  0.00  0.00  0.00  0.00  0.00  1.00  0.00  0.00  0.00  1.0	Summary Information   MISCELLANEOUS INFORMATIO     77.52	Summary Information  OMB No. 1545-0110  MISCELLANEOUS INFORMATION 2022 1099-MISC*  77.52  2- Royalties  77.52  3- Other income  4- Federal income tax withheld  0.00  0.

RECIPIENT'S TIN: XXX-XX-5730

#### SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	19,747.17	36,939.70	0.00	2,040.22	-15,152.31
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	19,747.17	36,939.70	0.00	2,040.22	-15,152.31
Long	D (basis reported to the IRS)	11,016.77	28,013.91	0.00	1,302.07	-15,695.07
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	11,016.77	28,013.91	0.00	1,302.07	-15,695.07
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	30,763.94	64,953.61	0.00	3,342.29	-30,847.38
Withholding		Amount				
Federal income	tax withheld	0.00				

2 of

Account 584222624 Robinhood Markets Inc. as agent for **Robinhood Securities LLC Summary Information** 2022 (continued) 02/01/2023

#### INTEREST INCOME

#### 2022 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3) 2- Early withdrawal penalty	0.00 0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
Tax-exempt obligations (covered lots)	0.00
Tax-exempt private activity obligations (AMT, covered lots)	0.00
14- Tax-exempt and tax credit bond CUSIP number	
FATCA filing requirement [ ]	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid Taxable accrued Treasury interest paid Tax-exempt accrued interest paid Tax-exempt accrued interest paid (AMT) Taxable accrued nonqualified interest paid Tax-exempt accrued nonqualified interest paid Tax-exempt accrued nonqualified interest paid Tax-exempt accrued nonqualified interest paid (AMT) Nonqualified interest Tax-exempt nonqualified interest Tax-exempt nonqualified interest (AMT) Interest shortfall on contingent payment debt Bond premium- Non Treasury obligations (noncovered lots) Bond premium- Treasury obligations (noncovered lots) Bond premium- Tax-exempt obligations (noncovered lots)	0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.0
Bond premium- Treasury obligations (noncovered lots)	
Bond premium- Tax-exempt obligations (AMT, noncovered lots) Market discount (noncovered lots)	0.00 0.00

#### STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

#### ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

#### RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	950.67
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

#### **Proceeds from Broker and Barter Exchange Transactions**

Account 584222624

**2022 1099-B\*** OMB No. 1545-0715

02/01/2023

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*) "Gain or loss (-)" is NOT reported to the IRS.

1a- Description of proper	ty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)		
PYPL 06/17/2022 CALL \$1	185.00 / CUSIP: / Symbol:						
	2 transactions for 06/17	7/22. Total proceeds a	and cost repor	ted to the IRS.			
	1.000	0.00	02/02/22	196.00		-196.00	1 of 2 - Option expiration- long call
	1.000	0.00	02/03/22	141.00		-141.00	2 of 2 - Option expiration- long call
06/17/22	2.000	0.00	Various	337.00		-337.00	Total of 2 transactions
AGENUS INC. COMMON	STOCK / CUSIP: 00847G705	/ Symbol:					
01/24/22	1.000	2.48	06/18/21	5.35		-2.87	Sale <sup>25</sup>

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

### **Proceeds from Broker and Barter Exchange Transactions** (continued)

Account 584222624

02/01/2023

**1099-B\*** OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ALIBABA GROUP HOLDIN	IG LIMITED AMERICAN DI	EPOSITARY SHARES,	EA CH REPRI	ESENTS EIGHT O	ORDINARY S / CUSIP: 01609	9W102 / Symbol:	
	3 transactions for 02/	/23/22. Total proceeds a	and cost report	ed to the IRS.			
	0.000	0.01	04/13/21	0.02	0.01 W	0.00	1 of 3 - Sale <sup>25</sup>
	0.200	21.97	04/13/21	48.51	26.54 W	0.00	2 of 3 - Sale <sup>25</sup>
	0.710	78.02	04/13/21	172.27	94.25 W	0.00	3 of 3 - Sale <sup>25</sup>
02/23/22	0.910	100.00	Various	220.80	120.80 W	0.00	Total of 3 transactions
ASTRA SPACE, INC. CLA	SS A COMM ON STOCK /	CUSIP: 04634X103 / Sy	/mbol:				
	2 transactions for 05/	/10/22. Total proceeds a	and cost report	ted to the IRS.			
	11.402	28.41	06/25/21	123.92	•••	-95.51	1 of 2 - Sale <sup>25</sup>
	10.824	26.96	01/31/22	50.00	•••	-23.04	2 of 2 - Sale <sup>25</sup>
05/10/22	22.226	55.37	Various	173.92		-118.55	Total of 2 transactions
AVEPOINT, INC. CLASS A	COMMON STOCK / CUSI	P: 053604104 / Symbol	:				
	13 transactions for 0	1/24/22. Total proceeds	and cost repo	rted to the IRS.			
	6.995	35.47	02/22/21	97.86	···	-62.39	1 of 13 - Sale <sup>25</sup>
	3.561	18.06	02/22/21	50.00	•••	-31.94	2 of 13 - Sale <sup>25</sup>
	3.625	18.38	02/22/21	50.00		-31.62	3 of 13 - Sale <sup>25</sup>
	0.949	4.81	02/22/21	13.32		-8.51	4 of 13 - Sale <sup>25</sup>
	0.153	0.78	02/22/21	2.14		-1.36	5 of 13 - Sale <sup>25</sup>
	15.000	76.06	02/23/21	190.50		-114.44	6 of 13 - Sale <sup>25</sup>
	7.981	40.46	02/23/21	99.76		-59.30	7 of 13 - Sale <sup>25</sup>
	0.717	3.64	02/23/21	9.12		-5. <i>4</i> 8	8 of 13 - Sale <sup>25</sup>
	0.030	0.15	02/23/21	0.38	•••	-0.23	9 of 13 - Sale <sup>25</sup>
	0.019	0.10	02/23/21	0.24	•••	-0.14	10 of 13 - Sale <sup>25</sup>
	8.897	<i>4</i> 5.11	03/04/21	100.00	•••	-54.89	11 of 13 - Sale <sup>25</sup>
	2.585	13.11	03/09/21	31.00	•••	-17.89	12 of 13 - Sale <sup>25</sup>
	7.976	40.44	03/18/21	100.00	•••	-59.56	13 of 13 - Sale <sup>25</sup>
01/24/22	58.488	296.57	Various	744.32		-447.75	Total of 13 transactions
YRO, INC. COMMON ST	OCK / CUSIP: 054748108 /	Symbol:					
01/24/22	1.000	1.06	06/18/21	5.31		-4.25	Sale <sup>25</sup>

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

5 of

Robinhood Markets Inc. as agent for **Robinhood Securities LLC** 

### **Proceeds from Broker and Barter Exchange Transactions**

Account 584222624

**1099-B\*** OMB No. 1545-0715

(continued)

02/01/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

a- Description of prop	perty/Cusir/Symbol	4.1 5			46 A d l 4 di (D) 0	0-1()0	
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BEYOND MEAT, INC. (	COMMON STOCK / CUSIP: 088	362E109 / Symbol:					
	4 transactions for 06/2	14/22. Total proceeds a	and cost report	ted to the IRS.			
	4.000	89.06	02/25/22	179.26		-90.20	1 of 4 - Sale <sup>25</sup>
	0.463	10.30	02/25/22	20.74		-10.44	2 of 4 - Sale <sup>25</sup>
	1.998	44.48	02/28/22	91.86		-47.38	3 of 4 - Sale <sup>25</sup>
	0.177	3.95	02/28/22	8.14	***	-4.19	4 of 4 - Sale <sup>25</sup>
06/14/22	6.638	147.79	Various	300.00		-152.21	Total of 4 transactions
	2 transactions for 06/1	14/22. Total proceeds a	and cost report	ted to the IRS.			
	2.733	60.86	09/15/21	300.00	239.14 W	0.00	1 of 2 - Sale <sup>25</sup>
	4.456	99.21	12/06/21	300.00	197.65 W	-3.14	2 of 2 - Sale <sup>25</sup>
06/14/22	7.189	160.07	Various	600.00	436.79 W	-3.14	Total of 2 transactions
10/12/22	4.386	61.50	06/24/22	309.50		-248.00	Sale <sup>25</sup>
	Security total:	369.36		1,209.50	436.79 W	-403.35	
OPGOLF CALLAWAY	BRANDS CORP. / CUSIP: 131	193104 / Symbol:					
	7 transactions for 01/2	27/22. Total proceeds a	and cost report	ted to the IRS.			
	3.000	67.54	04/05/21	81.98	***	-14.44	1 of 7 - Sale <sup>25</sup>
	0.660	14.85	04/05/21	18.02		-3.17	2 of 7 - Sale <sup>25</sup>
	21.687	489.08	01/27/22	597.38		-108.30	3 of 7 - Sale <sup>25</sup>
	0.275	6.19	01/27/22	7.53		-1.34	4 of 7 - Sale 25
	0.125	2.82	01/27/22	3.45		-0.63	5 of 7 - Sale <sup>25</sup>
	0.030	0.68	01/27/22	0.83		-0.15	6 of 7 - Sale <sup>25</sup>
	0.008	0.19	01/27/22	0.23		-0.04	7 of 7 - Sale <sup>25</sup>
01/27/22	25.785	581.35	Various	709.42		-128.07	Total of 7 transactions
	3 transactions for 01/2	27/22. Total proceeds a	and cost report	ted to the IRS.			
	3.654	82.25	04/05/21	100.00	1.33 W	-16.42	1 of 3 - Sale <sup>25</sup>
	0.164	3.69	04/05/21	4.50	0.81 W	0.00	2 of 3 - Sale 25
	21.687	488.22	04/05/21	595.50	107.28 W	0.00	3 of 3 - Sale <sup>25</sup>
01/27/22	25.504	574.16	Various	700.00	109.42 W	-16.42	Total of 3 transactions
	Security total:	1,155.51		1,409.42	109.42 W	-144.49	

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

### **Proceeds from Broker and Barter Exchange Transactions**

02/01/2023

Account 584222624

**1099-B\*** OMB No. 1545-0715

(continued)

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12) "Gain or loss (-)" is NOT reported to the IRS.

1a- Description of pro	perty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CELSIUS HOLDINGS,	INC. COMMON STOCK / CUSI	P: 15118V207 / Symbo	ol:				
	5 transactions for 01/2	24/22. Total proceeds a	and cost report	ted to the IRS.			
	3.788	157.43	01/10/22	176.93		-19.50	1 of 5 - Sale <sup>25</sup>
	0.212	8.80	01/10/22	10.97		-2.17	2 of 5 - Sale <sup>25</sup>
	0.070	2.92	01/10/22	3.29		-0.37	3 of 5 - Sale <sup>25</sup>
	3.000	124.67	01/11/22	152.69	•••	-28.02	4 of 5 - Sale <sup>25</sup>
	0.930	38.64	01/11/22	47.31	•••	-8.67	5 of 5 - Sale <sup>25</sup>
01/24/22	8.000	332.46	Various	391.19		-58.73	Total of 5 transactions
01/24/22	0.212	8.81	01/10/22	9.89	1.08 W	0.00	Sale <sup>25</sup>
• = = -	Security total:	341.27		401.08	1.08 W	-58.73	
CHARGEPOINT HOLD	DINGS, INC. / CUSIP: 15961R10	05 / Symbol:					
	11 transactions for 02	2/23/22. Total proceeds	and cost repo	rted to the IRS.			
	5.000	61.73	03/22/21	119.78		-58.05	1 of 11 - Sale <sup>25</sup>
	3.996	49.33	03/22/21	94.98	•••	-45.65	2 of 11 - Sale <sup>25</sup>
	0.427	5.27	03/22/21	10.22		-4.95	3 of 11 - Sale <sup>25</sup>
	0.211	2.61	03/22/21	5.02	•••	-2.41	4 of 11 - Sale <sup>25</sup>
	3.996	49.33	03/23/21	94.38		-45.05	5 of 11 - Sale <sup>25</sup>
	0.238	2.94	03/23/21	5.62		-2.68	6 of 11 - Sale <sup>25</sup>
	4.829	59.61	03/24/21	100.00	•••	-40.39	7 of 11 - Sale <sup>25</sup>
	4.863	60.03	03/25/21	100.00	•••	-39.97	8 of 11 - Sale <sup>25</sup>
	7.534	93.01	04/21/21	150.00	•••	-56.99	9 of 11 - Sale <sup>25</sup>
	1.756	21.67	04/21/21	35.00		-13.33	10 of 11 - Sale <sup>25</sup>
	3.380	41.72	02/02/22	46.02	•••	-4.30	11 of 11 - Sale <sup>25</sup>
02/23/22	36.229	447.25	Various	761.02		-313.77	Total of 11 transactions
CONTEXTLOGIC INC.	CLASS A COMM ON STOCK /	CUSIP: 21077C107 / S	Symbol:				
	8 transactions for 01/2	24/22. Total proceeds a	and cost report	ted to the IRS.			
	42.728	97.93	06/24/21	590.87	···	-492.94	1 of 8 - Sale <sup>25</sup>
	29.253	67.04	06/24/21	413.80		-346.76	2 of 8 - Sale <sup>25</sup>
	6.859	15.72	06/24/21	97.71		-81.99	3 of 8 - Sale <sup>25</sup>
	0.333	0.76	06/24/21	4.71		-3.95	4 of 8 - Sale <sup>25</sup>
	0.181	0.42	06/24/21	2.58		-2.16	5 of 8 - Sale <sup>25</sup>
	0.140	0.32	06/24/21	1.99		-1.67	6 of 8 - Sale <sup>25</sup>
	6.999	16.04	07/01/21	89.43		-73.39	7 of 8 - Sale <sup>25</sup>

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Account 584222624

7 of

Robinhood Markets Inc. as agent for **Robinhood Securities LLC** 

### **Proceeds from Broker and Barter Exchange Transactions** (continued)

02/01/2023

**1099-B\*** OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

a- Description of proper	rty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ONTEXTLOGIC INC. CL	ASS A COMM ON STOCK /	CUSIP: 21077C107 / S	Symbol: (cont	d)			
	0.828	1.90	07/01/21	10.57		-8.67	8 of 8 - Sale <sup>25</sup>
01/24/22	87.321	200.13	Various	1,211.66		-1,011.53	Total of 8 transactions
ESKTOP METAL, INC. /	CUSIP: 25058X105 / Symbo	ol:					
	5 transactions for 06/	14/22. Total proceeds a	and cost report	ed to the IRS.			
	12.996	25.35	07/01/21	147.88	···	-122.53	1 of 5 - Sale <sup>25</sup>
	0.187	0.36	07/01/21	2.12		-1.76	2 of 5 - Sale <sup>25</sup>
	10.000	19.50	07/02/21	109.09		-89.59	3 of 5 - Sale <sup>25</sup>
	11.985	23.37	01/31/22	48.78	***	-25.41	4 of 5 - Sale <sup>25</sup>
	0.300	0.59	01/31/22	1.22		-0.63	5 of 5 - Sale <sup>25</sup>
6/14/22	35.468	69.17	Various	309.09		-239.92	Total of 5 transactions
ETA PLATFORMS, INC	. CLASS A C OMMON STO	CK / CUSIP: 30303M10	2 / Symbol:				
	2 transactions for 11/	09/22. Total proceeds a	and cost report	ed to the IRS.			
	2.940	299.99	06/29/22	480.20	180.21 W	0.00	1 of 2 - Sale <sup>25</sup>
	0.000	0.01	06/29/22	0.02	0.01 W	0.00	2 of 2 - Sale <sup>25</sup>
1/09/22	2.940	300.00	Various	480.22	180.22 W	0.00	Total of 2 transactions
STLY, INC. / CUSIP: 3	1188V100 / Symbol:						
0/12/22	5.496	41.85	02/25/22	100.00		-58.15	Sale <sup>25</sup>
TLAB INC. CLASS A C	OMMON STO CK / CUSIP: 3	37637K108 / Symbol:					
	2 transactions for 08/	31/22. Total proceeds a	and cost report	ed to the IRS.			
	8.982	542.71	08/02/22	547.82	•••	-5.11	1 of 2 - Sale <sup>25</sup>
	0.018	1.08	08/02/22	1.10		-0.02	2 of 2 - Sale <sup>25</sup>
08/31/22	9.000	543.79	Various	548.92		-5.13	Total of 2 transactions
08/31/22	0.018	1.08	08/02/22	1.09	0.01 W	0.00	Sale <sup>25</sup>
	Security total:	544.87		550.01	0.01 W	-5.13	
OLDEN NUGGET ONLI	NE GAMING, I NC. CLASS A	A COMMON STOCK / C	CUSIP: 38113L	.107 / Symbol:			
	10 transactions for 01	1/24/22. Total proceeds	and cost repo	rted to the IRS.			
	7.921	52.35	01/27/21	150.00		-97.65	1 of 10 - Sale <sup>25</sup>
	4.979	32.91	02/04/21	95.83		-62.92	2 of 10 - Sale <sup>25</sup>
	1.998	13.21	02/04/21	37.87		-24.66	3 of 10 - Sale <sup>25</sup>

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

#### **Proceeds from Broker and Barter Exchange Transactions**

Account 584222624

**1099-B\*** OMB No. 1545-0715

(continued)

02/01/2023

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of proper	ty/CUSIP/Symbol						
1c- Date		1d- Proceeds &			f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or		6- Reported	1b- Date	1e- Cost or	1g- Wash sale loss	7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	also not reported (Z)	Additional information
GOLDEN NUGGET ONLIN	NE GAMING, I NC. CLASS A	COMMON STOCK / C	CUSIP: 3811:	3L107 / Symbol: (cc	nt'd)		
	0.641	4.23	02/04/21	12.13		-7.90	4 of 10 - Sale <sup>25</sup>
	0.218	1.44	02/04/21	4.17		-2.73	5 of 10 - Sale <sup>25</sup>
	1.763	11.65	02/22/21	27.19		-15.54	6 of 10 - Sale <sup>25</sup>
	6.878	45.44	02/23/21	99.69		<i>-54.</i> 25	7 of 10 - Sale <sup>25</sup>
	0.021	0.14	02/23/21	0.31		-0.17	8 of 10 - Sale <sup>25</sup>
	1.997	13.20	02/25/21	29.10	•••	-15.90	9 of 10 - Sale <sup>25</sup>
	0.612	4.04	02/25/21	8.90	•••	-4.86	10 of 10 - Sale <sup>25</sup>
01/24/22	27.028	178.61	Various	465.19		-286.58	Total of 10 transactions
DEANOMICS, INC. COMI	MON STOCK / CUSIP: 4516	6V106 / Symbol:					
	13 transactions for 01	/20/22. Total proceeds	and cost rep	orted to the IRS.			
	0.127	0.13	02/17/21	0.53	0.40 W	0.00	1 of 13 - Sale <sup>25</sup>
	4.756	4.86	02/17/21	19.88	15.02 W	0.00	2 of 13 - Sale <sup>25</sup>
	0.110	0.11	02/17/21	0.45	0.34 W	0.00	3 of 13 - Sale <sup>25</sup>
	0.078	0.08	02/17/21	0.32	0.24 W	0.00	4 of 13 - Sale <sup>25</sup>
	12.000	12.27	02/17/21	48.94	36.67 W	0.00	5 of 13 - Sale <sup>25</sup>
	0. <b>422</b>	0.43	02/17/21	1.70	1.27 W	0.00	6 of 13 - Sale <sup>25</sup>
	11.991	12.26	02/17/21	48.30	36.04 W	0.00	7 of 13 - Sale <sup>25</sup>
	0.010	0.01	02/17/21	0.04	0.03 W	0.00	8 of 13 - Sale <sup>25</sup>
	7.435	7.60	02/17/21	29.96	22.36 W	0.00	9 of 13 - Sale <sup>25</sup>
	1.506	1.54	02/17/21	6.00	4.46 W	0.00	10 of 13 - Sale <sup>25</sup>
	0.070	0.07	02/17/21	0.28	0.21 W	0.00	11 of 13 - Sale <sup>25</sup>
	0.113	0.12	02/18/21	0.43	0.31 W	0.00	12 of 13 - Sale <sup>25</sup>
	10.288	10.52	02/18/21	39.51	28.99 W	0.00	13 of 13 - Sale <sup>25</sup>
01/20/22	48.908	50.00	Various	196.34	146.34 W	0.00	Total of 13 transactions
	33 transactions for 01	/24/22. Total proceeds		orted to the IRS.			
	28.663	25.88	02/18/21	110.06	•••	-84.18	1 of 33 - Sale <sup>25</sup>
	12.991	11.73	02/18/21	49.21	•••	-37.48	2 of 33 - Sale <sup>25</sup>
	12.950	11.69	02/18/21	48.95	•••	-37.26	3 of 33 - Sale <sup>25</sup>
	0.280	0.25	02/18/21	1.05	•••	-0.80	4 of 33 - Sale <sup>25</sup>
	0.210	0.19	02/18/21	0.79		-0.60	5 of 33 - Sale <sup>25</sup>
	12.870	11.62	02/19/21	50.00	•••	-38.38	6 of 33 - Sale <sup>25</sup>
	56.899	51.38	02/22/21	198.86	•••	-147.48	7 of 33 - Sale <sup>25</sup>
	1.998	1.80	02/22/21	6.97	•••	-5.17	8 of 33 - Sale <sup>25</sup>

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

#### **Proceeds from Broker and Barter Exchange Transactions**

Account 584222624

**1099-B\*** OMB No. 1545-0715

(continued)

02/01/2023

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1c- Date		1d- Proceeds &			1f Approved mist disc (D) 8	Gain or loss(-) &	
sold or		6- Reported	1b- Date	1e- Cost or	1f- Accrued mkt disc (D) & 1g- Wash sale loss	7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	· ,	Additional information
EANOMICS, INC. CO	MMON STOCK / CUSIP: 451	66V106 / Symbol: (cont	ː'd)				
	0.326	0.29	02/22/21	1.14		-0.85	9 of 33 - Sale <sup>25</sup>
	0.009	0.01	02/22/21	0.03		-0.02	10 of 33 - Sale <sup>25</sup>
	65.915	59.53	02/23/21	199.65		-140.12	11 of 33 - Sale <sup>25</sup>
	13.000	11.74	02/23/21	37.90		-26.16	12 of 33 - Sale <sup>25</sup>
	1.017	0.92	02/23/21	2.96		-2.04	13 of 33 - Sale <sup>25</sup>
	0.116	0.10	02/23/21	0.35		-0.25	14 of 33 - Sale <sup>25</sup>
	0.045	0.04	02/23/21	0.13		-0.09	15 of 33 - Sale <sup>25</sup>
	1.508	1.36	03/23/21	6.40		-5.04	16 of 33 - Sale <sup>25</sup>
	12.000	10.84	01/10/22	50.43		-39.59	17 of 33 - Sale <sup>25</sup>
	11.991	10.83	01/10/22	49.80		-38.97	18 of 33 - Sale <sup>25</sup>
	7.435	6.71	01/10/22	30.89		-24.18	19 of 33 - Sale <sup>25</sup>
	4.982	4.50	01/10/22	19.75		-15.25	20 of 33 - Sale <sup>25</sup>
	4.215	3.81	01/10/22	18.14	•••	-14.33	21 of 33 - Sale <sup>25</sup>
	1.506	1.36	01/10/22	6.19	•••	<i>-4.8</i> 3	22 of 33 - Sale <sup>25</sup>
	0.541	0.49	01/10/22	2.33	•••	-1.84	23 of 33 - Sale <sup>25</sup>
	0.422	0.38	01/10/22	1.75	•••	-1.37	24 of 33 - Sale <sup>25</sup>
	0.127	0.11	01/10/22	0.54	•••	-0.43	25 of 33 - Sale <sup>25</sup>
	0.110	0.10	01/10/22	0.47	•••	-0.37	26 of 33 - Sale 25
	0.113	0.10	01/10/22	0.44	•••	-0.34	27 of 33 - Sale <sup>25</sup>
	0.078	0.07	01/10/22	0.33	•••	-0.26	28 of 33 - Sale <sup>25</sup>
	0.070	0.06	01/10/22	0.29	•••	-0.23	29 of 33 - Sale <sup>25</sup>
	0.010	0.01	01/10/22	0.04	•••	-0.03	30 of 33 - Sale <sup>25</sup>
	5.137	4.64	01/19/22	19.96	•••	-15.32	31 of 33 - Sale <sup>25</sup>
	22.792	20.58	01/19/22	24.33	•••	-3.75	32 of 33 - Sale <sup>25</sup>
	0.169	0.15	01/19/22	0.66	•••	-0.51	33 of 33 - Sale <sup>25</sup>
1/24/22	280.495	253.27	Various	940.79	•••	-687.52	Total of 33 transactions
	Security total:	303.27		1,137.13	146.34 W	-687.52	
MONADE, INC. / CUS	SIP: 52567D107 / Symbol:						
	3 transactions for 06	3/14/22. Total proceeds a	and cost report	ed to the IRS.			
	13.770	231.28	08/17/21	932.30		-701.02	1 of 3 - Sale <sup>25</sup>
	3.869	64.98	10/04/21	238.38		-173.40	2 of 3 - Sale 25
	1.000	16.79	10/04/21	64.57		-47.78	3 of 3 - Sale <sup>25</sup>
06/14/22	18.639	313.05	Various	1,235.25		-922.20	Total of 3 transactions

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Page

Robinhood Markets Inc. as agent for Robinhood Securities LLC

**1099-B\*** OMB No. 1545-0715

### **Proceeds from Broker and Barter Exchange Transactions**

(continued)

02/01/2023

Account 584222624

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of prop	erty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ENDINGCLUB CORPO	DRATION / CUSIP: 52603A20	8 / Symbol:					
	2 transactions for 01/	/27/22. Total proceeds a	and cost repor	ted to the IRS.			
	0.001	0.01	10/19/21	0.02	0.01 W	0.00	1 of 2 - Sale <sup>25</sup>
	4.412	69.99	10/19/21	146.86	76.87 W	0.00	2 of 2 - Sale <sup>25</sup>
01/27/22	4.413	70.00	Various	146.88	76.88 W	0.00	Total of 2 transactions
· · · · · · · · · · · · · · · · · · ·	_	/23/22. Total proceeds a			7 5.55	0.00	
	0.001	0.01	10/19/21	0.02	0.01 W	0.00	1 of 2 - Sale <sup>25</sup>
	5.915	99.99	10/19/21	196.90	96.91 W	0.00	2 of 2 - Sale <sup>25</sup>
02/23/22	5.916	100.00	Various	196.92	96.92 W	0.00	Total of 2 transactions
		6/14/22. Total proceeds					
	6.323	81.51	10/19/21	210.71		-129.20	1 of 19 - Sale <sup>25</sup>
	0.186	2.40	10/19/21	6.20		-3.80	2 of 19 - Sale <sup>25</sup>
	0.129	1.66	10/19/21	4.29		-2.63	3 of 19 - Sale <sup>25</sup>
	9.315	120.09	10/26/21	320.00		-199.91	4 of 19 - Sale <sup>25</sup>
	8.726	112.50	10/26/21	300.00		-187.50	5 of 19 - Sale <sup>25</sup>
	6.491	83.69	12/09/21	200.00		-116.31	6 of 19 - Sale <sup>25</sup>
	10.995	141.75	12/13/21	293.48		-151.73	7 of 19 - Sale <sup>25</sup>
	0.244	3.15	12/13/21	6.52		-3.37	8 of 19 - Sale <sup>25</sup>
	5.000	64.46	12/14/21	131.85		-67.39	9 of 19 - Sale <sup>25</sup>
	0.688	8.87	12/14/21	18.15		-9.28	10 of 19 - Sale <sup>25</sup>
	4.412	56.88	01/06/22	177.64		-120.76	11 of 19 - Sale <sup>25</sup>
	4.344	56.00	01/06/22	99.22		<i>-43.22</i>	12 of 19 - Sale <sup>25</sup>
	0.001	0.01	01/06/22	0.02		-0.01	13 of 19 - Sale <sup>25</sup>
	6.361	82.00	01/11/22	150.00		-68.00	14 of 19 - Sale <sup>25</sup>
	5.915	76.26	01/24/22	216.51		<i>-140.</i> 25	15 of 19 - Sale <sup>25</sup>
	3.976	51.26	01/24/22	80.39	•••	-29.13	16 of 19 - Sale <sup>25</sup>
	0.001	0.01	01/24/22	0.02		-0.01	17 of 19 - Sale <sup>25</sup>
	6.000	77.36	01/27/22	96.66		-19.30	18 of 19 - Sale <sup>25</sup>
	0.207	2.67	01/27/22	3.34		-0.67	19 of 19 - Sale <sup>25</sup>
06/14/22	79.315	1,022.53	Various	2,315.00		-1,292.47	Total of 19 transactions
	Security total:	1,192.53		2,658.80	173.80 W	-1,292.47	

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

#### **Proceeds from Broker and Barter Exchange Transactions**

Account 584222624

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/01/2023

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of pro 1c- Date	perty/CUSIP/Symbol	1d- Proceeds &			1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or		6- Reported	1b- Date	1e- Cost or	1g- Wash sale loss	7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et		other basis	disallowed (W)		Additional information
LIGHTNING EMOTOR	S, INC. / CUSIP: 53228T101 / Syr	mbol:					
	2 transactions for 01/06	/22. Total proceeds a	and cost report	ed to the IRS.			
	21.684	153.59	02/17/21	300.00	•••	-146.41	1 of 2 - Sale <sup>25</sup>
	8.965	63.49	03/09/21	100.00		-36.51	2 of 2 - Sale <sup>25</sup>
01/06/22	30.649	217.08	Various	400.00		-182.92	Total of 2 transactions
MAXAR TECHNOLOG	IES INC. COMMON STOCK / CU	SIP: 57778K105 / Sy	mbol:				
	2 transactions for 01/11	/22. Total proceeds a	and cost report	ed to the IRS.			
	18.000	536.13	11/05/21	577.89		-41.76	1 of 2 - Sale <sup>25</sup>
	0.066	1.96	11/05/21	2.11		-0.15	2 of 2 - Sale 25
01/11/22	18.066	538.09	Various	580.00		-41.91	Total of 2 transactions
META MATERIALS IN	C. COMMON STO CK / CUSIP: 59	9134N104 / Symbol:					
	2 transactions for 01/24	/22. Total proceeds a	and cost report	ed to the IRS.			
	18.8 <b>4</b> 8	29.99	06/17/21	190.74	···	-160.75	1 of 2 - Sale <sup>25</sup>
	41.152	65.47	06/23/21	400.00		-33 <i>4.5</i> 3	2 of 2 - Sale <sup>25</sup>
01/24/22	60.000	95.46	Various	590.74		-495.28	Total of 2 transactions
META METALS INC P	REFERRED (SER IES A) / CUSIP	: 59134N203 / Symb	ol:				
	2 transactions for 01/24	/22. Total proceeds a	and cost report	ed to the IRS.			
	0.038	0.05	06/17/21	0.00	•••	0.05	1 of 2 - Sale <sup>25</sup>
	38.962	47.57	06/17/21	0.00		47.57	2 of 2 - Sale <sup>25</sup>
01/24/22	39.000	47.62	Various	0.00		47.62	Total of 2 transactions
MICRON TECHNOLOG	GY, INC. COMMON STOCK / CUS	SIP: 595112103 / Syr	mbol:				
	2 transactions for 04/21.	/22. Total proceeds a	and cost report	ed to the IRS.			
	5.000	359.50	04/12/22	365.20	•••	-5.70	1 of 2 - Sale <sup>25</sup>
	1.000	71.90	04/12/22	72.13		-0.23	2 of 2 - Sale 25
04/21/22	6.000	431.40	Various	437.33		-5.93	Total of 2 transactions
OKTA, INC. CLASS A	COMMON STOC K / CUSIP: 6792	295105 / Symbol:					
03/28/22	4.000	567.62	03/23/22	614.98	47.36 W	0.00	Sale <sup>25</sup>
	3 transactions for 04/27	7/22. Total proceeds a	and cost report	ed to the IRS.			
	1.000	126.94	03/23/22	153.75		-26.81	1 of 3 - Sale 25
	1.000	126.94	03/23/22	153.74	•••	-26.80	2 of 3 - Sale <sup>25</sup>

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

#### **Proceeds from Broker and Barter Exchange Transactions**

Account 584222624

**1099-B\*** OMB No. 1545-0715

(continued)

02/01/2023

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of propert	y/CUSIP/Symbol						
1c- Date		1d- Proceeds &			1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or		6- Reported	1b- Date	1e- Cost or	1g- Wash sale loss	7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	also not reported (Z)	Additional information
OKTA, INC. CLASS A COM	MMON STOC K / CUSIP: 679	9295105 / Symbol: (cd	ont'd)				
	0.001	0.18	03/23/22	0.22	···	-0.04	3 of 3 - Sale <sup>25</sup>
04/27/22	2.001	254.06	Various	307.71		-53.65	Total of 3 transactions
	2 transactions for 04/2	7/22. Total proceeds a	and cost repo	orted to the IRS.			
	1.000	126.94	03/23/22	153.75	14.35 W	-12.46	1 of 2 - Sale <sup>25</sup>
	0. <b>4</b> 65	59.00	03/23/22	71.42	12.42 W	0.00	2 of 2 - Sale <sup>25</sup>
04/27/22	1.465	185.94	Various	225.17	26.77 W	-12.46	Total of 2 transactions
	5 transactions for 10/0	05/22. Total proceeds a	and cost repo	orted to the IRS.			
	2.000	115.29	03/23/22	327.02		-211.73	1 of 5 - Sale <sup>25</sup>
	1.000	57.64	03/23/22	161.02		-103.38	2 of 5 - Sale <sup>25</sup>
	2.000	115.29	03/24/22	278.21		-162.92	3 of 5 - Sale <sup>25</sup>
	1.000	57.64	03/24/22	150.94		-93.30	4 of 5 - Sale <sup>25</sup>
	0.657	37.85	03/25/22	90.68		-52.83	5 of 5 - Sale <sup>25</sup>
10/05/22	6.657	383.71	Various	1,007.87		-624.16	Total of 5 transactions
	2 transactions for 10/0	05/22. Total proceeds a	and cost repo	orted to the IRS.			
	2.343	135.07	03/23/22	360.27	173.88 W	-51.32	1 of 2 - Sale <sup>25</sup>
	0.191	10.99	03/23/22	29.32	18.33 W	0.00	2 of 2 - Sale <sup>25</sup>
10/05/22	2.534	146.06	Various	389.59	192.21 W	-51.32	Total of 2 transactions
	5 transactions for 10/1	2/22. Total proceeds a	and cost repo	orted to the IRS.			
	0.343	16.79	03/25/22	47.40		-30.61	1 of 5 - Sale <sup>25</sup>
	0.535	26.19	04/08/22	90.97		<i>-64.7</i> 8	2 of 5 - Sale <sup>25</sup>
	0.465	22.72	04/08/22	78.90		-56.18	3 of 5 - Sale <sup>25</sup>
	1.809	88.49	09/07/22	283.36		-194.87	4 of 5 - Sale <sup>25</sup>
	0.191	9.33	09/07/22	29.87		-20.54	5 of 5 - Sale <sup>25</sup>
10/12/22	3.343	163.52	Various	530.50		-366.98	Total of 5 transactions
	Security total:	1,700.91		3,075.82	266.34 W	-1,108.57	
PAYPAL HOLDINGS, INC.	COMMON S TOCK / CUSIF	P: 70450Y103 / Symbo	d:				
	2 transactions for 01/2	6/22. Total proceeds a	and cost repo	orted to the IRS.			
	0.385	61.29	01/24/22	60.28		1.01	1 of 2 - Sale <sup>25</sup>
	5.999	955.39	01/24/22	939.72		15.67	2 of 2 - Sale <sup>25</sup>
01/26/22	6.384	1,016.68	Various	1,000.00		16.68	Total of 2 transactions
02/02/22	0.000	0.02	02/02/22	0.02		0.00	Sale <sup>25</sup>
02/02/22	1.917	249.98	02/02/22	252.82	2.84 W	0.00	Sale <sup>25</sup>

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

#### **Proceeds from Broker and Barter Exchange Transactions**

Account 584222624

1099-B\* OMB No. 1545-0715

(continued)

02/01/2023

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of propert	y/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PAYPAL HOLDINGS, INC.	COMMON S TOCK / CUS	IP: 70450Y103 / Symbo	l: (cont'd)				
02/03/22	1.000	126.39	02/02/22	131.88	5.49 W	0.00	Sale <sup>25</sup>
	8 transactions for 02/	/22/22. Total proceeds a	and cost repo	orted to the IRS.			
	0.001	0.06	02/02/22	0.08	0.02 W	0.00	1 of 8 - Sale <sup>25</sup>
	2.885	299.94	02/02/22	380.49	80.55 W	0.00	2 of 8 - Sale <sup>25</sup>
	0.806	83.88	02/02/22	106.33	22.45 W	0.00	3 of 8 - Sale <sup>25</sup>
	0.000	0.04	02/02/22	0.05	0.01 W	0.00	4 of 8 - Sale <sup>25</sup>
	0.973	101.22	02/02/22	128.33	27.11 W	0.00	5 of 8 - Sale <sup>25</sup>
	0.578	60.16	02/02/22	77.17	17.01 W	0.00	6 of 8 - Sale <sup>25</sup>
	0.001	0.06	02/02/22	0.10	0.04 W	0.00	7 of 8 - Sale <sup>25</sup>
	2.448	254.64	02/02/22	391.39	136.75 W	0.00	8 of 8 - Sale <sup>25</sup>
02/22/22	7.692	800.00	Various	1,083.94	283.94 W	0.00	Total of 8 transactions
	12 transactions for 0	2/23/22. Total proceeds	and cost rep	orted to the IRS.			
	2.448	250.92	02/02/22	458.04	•••	-207.12	1 of 12 - Sale <sup>25</sup>
	0.968	99.26	02/02/22	154.78		-55.52	2 of 12 - Sale <sup>25</sup>
	0.806	82.65	02/02/22	128.85		-46.20	3 of 12 - Sale <sup>25</sup>
	1.339	137.22	02/02/22	178.65		-41.43	4 of 12 - Sale <sup>25</sup>
	1.000	102.50	02/02/22	137.45		-34.95	5 of 12 - Sale <sup>25</sup>
	0.578	59.28	02/02/22	92.91		-33.63	6 of 12 - Sale <sup>25</sup>
	0.361	36.98	02/02/22	68.05		-31.07	7 of 12 - Sale <sup>25</sup>
	0.417	42.78	02/02/22	<i>54.7</i> 8		-12.00	8 of 12 - Sale <sup>25</sup>
	0.076	7.83	02/02/22	12.21		<i>-4.3</i> 8	9 of 12 - Sale <sup>25</sup>
	0.005	0.47	02/02/22	0.73		-0.26	10 of 12 - Sale <sup>25</sup>
	0.001	0.06	02/02/22	0.12		-0.06	11 of 12 - Sale <sup>25</sup>
	0.000	0.04	02/02/22	0.06		-0.02	12 of 12 - Sale <sup>25</sup>
02/23/22	8.000	819.99	Various	1,286.63		-466.64	Total of 12 transactions
02/23/22	0.361	36.98	02/02/22	57.68	20.70 W	0.00	Sale <sup>25</sup>
	2 transactions for 04/	/26/22. Total proceeds a	and cost repo	orted to the IRS.			
	6.000	510.65	04/20/22	582.23	•••	-71.58	1 of 2 - Sale <sup>25</sup>
	4.000	340.44	04/20/22	388.16		-47.72	2 of 2 - Sale <sup>25</sup>
04/26/22	10.000	851.09	Various	970.39		-119.30	Total of 2 transactions
	Security total:	3,901.13		4,783.36	312.97 W	-569.26	

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

**Proceeds from Broker and Barter Exchange Transactions** 

02/01/2023

Account 584222624

**1099-B\*** OMB No. 1545-0715

(continued)

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of proper	ty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PLBY GROUP, INC. COM	MON STOCK / CUSIP: 7281	4P109 / Symbol:					
	3 transactions for 02/2	3/22. Total proceeds a	and cost repo	rted to the IRS.			
02/23/22	0.075 0.269 6.731 7.075	1.05 3.81 95.14 100.00	05/06/21 05/06/21 05/06/21 Various	3.45 12.46 311.38 327.29	2.40 W 8.65 W 216.24 W 227.29 W	0.00 0.00 0.00 0.00	1 of 3 - Sale <sup>25</sup> 2 of 3 - Sale <sup>25</sup> 3 of 3 - Sale <sup>25</sup> Total of 3 transactions
	11 transactions for 06	/14/22. Total proceeds	and cost rep	orted to the IRS.			
	7.927 3.388 1.696 3.986 3.428 3.000 0.986 4.356 8.637 8.923	59.05 25.24 12.64 29.70 25.54 22.35 7.35 32.45 64.34 66.47	06/16/21 07/15/21 07/15/21 09/09/21 09/09/21 09/09/21 09/09/21 09/15/21 10/08/21 11/02/21	300.00 100.00 50.00 100.00 86.00 75.26 24.74 100.00 200.00 270.00	    	-240.95 -74.76 -37.36 -70.30 -60.46 -52.91 -17.39 -67.55 -135.66 -203.53	1 of 11 - Sale <sup>25</sup> 2 of 11 - Sale <sup>25</sup> 3 of 11 - Sale <sup>25</sup> 4 of 11 - Sale <sup>25</sup> 5 of 11 - Sale <sup>25</sup> 6 of 11 - Sale <sup>25</sup> 7 of 11 - Sale <sup>25</sup> 8 of 11 - Sale <sup>25</sup> 9 of 11 - Sale <sup>25</sup> 10 of 11 - Sale <sup>25</sup>
	6.923 32.925	245.27	01/25/22	507.38		-203.53 -262.11	10 0i 11 - Sale 11 of 11 - Sale <sup>25</sup>
06/14/22	79.253 Security total:	590.40 <b>690.40</b>	Various	1,813.38 <b>2,140.67</b>	227.29 W	-1,222.98 <b>-1,222.98</b>	Total of 11 transactions
PROTERRA INC. COMMO	ON STOCK / CUSIP: 74374T	109 / Symbol:					
10/05/22	10.000	56.61	04/20/22	69.58		-12.97	Sale <sup>25</sup>
SHOPIFY INC. CLASS A S	SUBORDINA TE VOTING SH	HARES / CUSIP: 8250	9L107 / Symb	ool:			
01/24/22 06/10/22 06/13/22	2.000 1.000 1.000 <b>Security total:</b>	1,816.93 340.78 314.52 <b>2,472.23</b>	01/24/22 06/08/22 06/09/22	1,819.90 388.52 428.89 <b>2,637.31</b>	47.74 W  47.74 W	-2.97 0.00 -114.37 <b>-117.34</b>	Sale <sup>25</sup> Sale <sup>25</sup> Sale <sup>25</sup>

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

#### **Proceeds from Broker and Barter Exchange Transactions**

Account 584222624

**1099-B\*** OMB No. 1545-0715

(continued)

02/01/2023

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1c- Date		1d- Proceeds &			1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or	Oventity	6- Reported	1b- Date	1e- Cost or	1g- Wash sale loss	7- Loss not allowed (X)	Additional information
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	also not reported (Z)	Additional information
SKILLZ INC. / CUSIP: 8	3067L109 / Symbol:						
	3 transactions for 05/	/23/22. Total proceeds a	and cost report	ted to the IRS.			
	6.756	11.62	07/15/21	99.99		-88.37	1 of 3 - Sale <sup>25</sup>
	31.000	53.35	02/28/22	99.05		-45.70	2 of 3 - Sale <sup>25</sup>
	0.299	0.51	02/28/22	0.95		-0.44	3 of 3 - Sale <sup>25</sup>
05/23/22	38.055	65.48	Various	199.99		-134.51	Total of 3 transactions
SUNWORKS, INC. COM	MMON STOCK / CUSIP: 8680	3X204 / Symbol:					
	19 transactions for 0	1/24/22. Total proceeds	and cost repo	rted to the IRS.			
	1.842	3.59	02/05/21	38.07		-34.48	1 of 19 - Sale <sup>25</sup>
	0.328	0.64	02/05/21	6.78		-6.14	2 of 19 - Sale 25
	5.000	9.75	02/11/21	93.70		-83.95	3 of 19 - Sale 25
	2.695	5.26	02/11/21	50.00		-44.74	4 of 19 - Sale 25
	1.999	3.90	02/11/21	39.91		-36.01	5 of 19 - Sale <sup>25</sup>
	0.322	0.63	02/11/21	6.03		-5.40	6 of 19 - Sale <sup>25</sup>
	0.155	0.30	02/11/21	3.09		-2.79	7 of 19 - Sale <sup>25</sup>
	0.013	0.03	02/11/21	0.25		-0.22	8 of 19 - Sale <sup>25</sup>
	3.040	5.93	02/16/21	54.50		-48.57	9 of 19 - Sale <sup>25</sup>
	8.998	17.55	02/17/21	148.82		-131.27	10 of 19 - Sale <sup>25</sup>
	3.102	6.05	02/17/21	49.95		-43.90	11 of 19 - Sale <sup>25</sup>
	0.072	0.14	02/17/21	1.18		-1.04	12 of 19 - Sale <sup>25</sup>
	0.003	0.01	02/17/21	0.05		-0.04	13 of 19 - Sale <sup>25</sup>
	2.987	5.82	02/18/21	46.73		-40.91	14 of 19 - Sale <sup>25</sup>
	0.210	0.41	02/18/21	3.27		-2.86	15 of 19 - Sale <sup>25</sup>
	16.956	33.07	02/23/21	199.92		-166.85	16 of 19 - Sale <sup>25</sup>
	8.62 <i>4</i>	16.82	02/23/21	100.00		-83.18	17 of 19 - Sale <sup>25</sup>
	8.772	17.11	02/23/21	100.00		-82.89	18 of 19 - Sale <sup>25</sup>
	0.007	0.01	02/23/21	0.08		-0.07	19 of 19 - Sale <sup>25</sup>
01/24/22	65.126	127.02	Various	942.33		-815.31	Total of 19 transactions
TELADOC HEALTH, IN	C. / CUSIP: 87918A105 / Sym	bol:					
	7 transactions for 10/	/12/22. Total proceeds a	and cost report	ted to the IRS.			
	2.000	48.39	10/12/21	262.65		-214.26	1 of 7 - Sale <sup>25</sup>
	1.000	24.20	10/12/21	131.26		-107.06	2 of 7 - Sale 25
	2.000	48.39	12/08/21	205.05		-156.66	3 of 7 - Sale <sup>25</sup>

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

46

Robinhood Markets Inc. as agent for Robinhood Securities LLC

#### **Proceeds from Broker and Barter Exchange Transactions**

Account 584222624

2022 1099-B\* OMB No. 1545-0715

(continued)

02/01/2023

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS. 1a- Description of property/CUSIP/Symbol 1c- Date 1d- Proceeds & 1f- Accrued mkt disc (D) & Gain or loss(-) & 6- Reported 1e- Cost or 1q- Wash sale loss 7- Loss not allowed (X) sold or 1b- Date disposed Quantity (G)ross or (N)et other basis disallowed (W) also not reported (Z) Additional information acquired TELADOC HEALTH, INC. / CUSIP: 87918A105 / Symbol: (cont'd) 12/16/21 4 of 7 - Sale 25 1.621 39.22 150.00 -110.785.000 120.99 03/29/22 361.55 -240.56 5 of 7 - Sale 25 6 of 7 - Sale 25 1.000 24.20 04/12/22 66.84 -42.643.000 72.59 07/26/22 121.76 -49.17 7 of 7 - Sale 25 10/12/22 15.621 377.98 1,299.11 -921.13 Total of 7 transactions Various UPSTART HOLDINGS, INC. COMMON STOCK / CUSIP: 91680M107 / Symbol: 10 transactions for 01/27/22. Total proceeds and cost reported to the IRS. 0.006 0.55 02/22/21 1 of 10 - Sale 25 0.08 0.364 33.48 02/22/21 29.32 4.16 2 of 10 - Sale 25 3 of 10 - Sale 25 1.636 150.57 02/22/21 124.68 25.89 4 of 10 - Sale 25 2.301 02/22/21 175.32 29.01 204.33 5 of 10 - Sale 25 2.636 234.09 02/22/21 199.53 34.56 6 of 10 - Sale 25 8.38 0.298 26.43 03/03/21 18.05 59.50 03/03/21 37.64 21.86 7 of 10 - Sale 25 0.670 1.997 177.32 03/03/21 112.36 64.96 8 of 10 - Sale 25 83.31 9 of 10 - Sale 25 2.987 265.26 03/03/21 181.95 1.806 160.40 03/04/21 100.00 60.40 10 of 10 - Sale 25 01/27/22 14.700 1,311.93 Various 979.32 332.61 Total of 10 transactions VIKING THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 92686J106 / Symbol: Sale 25 01/24/22 1.000 3.46 06/18/21 6.37 -2.91 VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol: 3 transactions for 10/05/22. Total proceeds and cost reported to the IRS. 4.660 22.71 02/15/22 50.00 -27.29 1 of 3 - Sale 25 9.995 48.72 99.35 -50.63 2 of 3 - Sale 25 03/01/22 3 of 3 - Sale 25 0.065 0.32 03/01/22 0.65 -0.3310/05/22 Total of 3 transactions 14.720 71.75 Various 150.00 -78.25ZUORA, INC. / CUSIP: 98983V106 / Symbol: 4 transactions for 02/22/22. Total proceeds and cost reported to the IRS. 9.997 02/22/21 165.06 -17.98 1 of 4 - Sale 25 147.08 2 of 4 - Sale 25 3.403 50.06 02/22/21 55.91 -5.85

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

#### **Proceeds from Broker and Barter Exchange Transactions**

Account 584222624

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/01/2023

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of pro	perty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ZUORA, INC. / CUSIP:	98983V106 / Symbol: (cont'd)						
	0.191	2.82	02/22/21	3.14		-0.32	3 of 4 - Sale <sup>25</sup>
	0.003	0.04	02/22/21	0.04	•••	0.00	4 of 4 - Sale <sup>25</sup>
02/22/22	13.594	200.00	Various	224.15	•••	-24.15	Total of 4 transactions
10/05/22	10.000	76.35	07/08/22	99.60		-23.25	Sale <sup>25</sup>
	Security total:	276.35		323.75		-47.40	
SOCIAL CAPITAL HED	OSOPHIA HOLD INGS CORP.	IV / CUSIP: G8251410	03 / Symbol:				
	10 transactions for 01	1/24/22. Total proceeds	and cost repo	orted to the IRS.			
	16.524	162.35	02/02/21	267.72	•••	-105.37	1 of 10 - Sale <sup>25</sup>
	1.290	12.71	02/02/21	20.90	···	-8.19	2 of 10 - Sale <sup>25</sup>
	0.536	5.28	02/02/21	8.67	···	-3.39	3 of 10 - Sale <sup>25</sup>
	0.167	1.64	02/02/21	2.71		-1.07	4 of 10 - Sale <sup>25</sup>
	2.997	29.44	02/10/21	47.66		-18.22	5 of 10 - Sale <sup>25</sup>
	0.1 <b>4</b> 8	1.45	02/10/21	2.34		-0.89	6 of 10 - Sale <sup>25</sup>
	9.566	93.99	02/17/21	150.00		-56.01	7 of 10 - Sale <sup>25</sup>
	9.750	95.79	02/22/21	150.00		-54.21	8 of 10 - Sale <sup>25</sup>
	8.952	87.96	02/24/21	137.06		-49.10	9 of 10 - Sale <sup>25</sup>
	0.063	0.62	02/24/21	0.97		-0.35	10 of 10 - Sale <sup>25</sup>
01/24/22	49.993	491.23	Various	788.03		-296.80	Total of 10 transactions
	2 transactions for 01/2	26/22. Total proceeds a	and cost repor	ted to the IRS.			
	4.000	39.42	02/24/21	61.24	•••	-21.82	1 of 2 - Sale <sup>25</sup>
	0.048	0.47	02/24/21	0.73	•••	-0.26	2 of 2 - Sale <sup>25</sup>
01/26/22	4.048	39.89	Various	61.97		-22.08	Total of 2 transactions
	Security total:	531.12		850.00		-318.88	
NANO-X IMAGING LTD	O ORDINARY SH ARES / CUSI	P: M70700105 / Symbo	ol:				
	45 transactions for 01	1/24/22. Total proceeds	and cost repo	orted to the IRS.			
	1.222	12.04	02/11/21	80.00	···	-67.96	1 of 45 - Sale <sup>25</sup>
	0.998	9.83	02/11/21	65.67	···	-55.84	2 of 45 - Sale <sup>25</sup>
	0.999	9.84	02/11/21	64.68	···	-54.84	3 of 45 - Sale <sup>25</sup>
	0.546	5.38	02/11/21	35.32	···	-29.94	4 of 45 - Sale <sup>25</sup>
	0.523	5.15	02/11/21	34.33		-29.18	5 of 45 - Sale <sup>25</sup>
	0.417	4.11	02/11/21	27.12		-23.01	6 of 45 - Sale <sup>25</sup>
	1.567	15.44	02/16/21	100.00		-84.56	7 of 45 - Sale <sup>25</sup>

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

#### **Proceeds from Broker and Barter Exchange Transactions**

Account 584222624

**1099-B\*** OMB No. 1545-0715

(continued)

02/01/2023

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of proper	rty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X)	Additional information
•		. , , ,	•	Ollion Buolo	alcallettea (11)	alco not roportou (E)	Additional information
NAINO-X IMAGING LID C	ORDINARY SH ARES / CUS	•	, ,				
	1.568	15.44	02/16/21	100.00		-84.56	8 of 45 - Sale <sup>25</sup>
	1.620	15.96	02/16/21	99.90		-83.94	9 of 45 - Sale <sup>25</sup>
	1.638	16.14	02/16/21	99.89		-83.75	10 of 45 - Sale <sup>25</sup>
	0.999	9.84	02/16/21	62.88		-53. <i>04</i>	11 of 45 - Sale <sup>25</sup>
	1.000	9.85	02/16/21	62.37		-52.52	12 of 45 - Sale <sup>25</sup>
	0.590	5.82	02/16/21	37.12		-31.30	13 of 45 - Sale <sup>25</sup>
	0.531	<i>5.23</i>	02/16/21	34.29		-29.06	14 of 45 - Sale <sup>25</sup>
	0.002	0.02	02/16/21	0.11		-0.09	15 of 45 - Sale <sup>25</sup>
	0.002	0.02	02/16/21	0.10		-0.08	16 of 45 - Sale <sup>25</sup>
	3.364	33.1 <i>4</i>	02/17/21	200.00		-166.86	17 of 45 - Sale <sup>25</sup>
	2.983	29.38	02/17/21	181.79		-152.41	18 of 45 - Sale <sup>25</sup>
	0.301	2.96	02/17/21	18.21		-15.25	19 of 45 - Sale <sup>25</sup>
	8.583	<i>84.56</i>	02/18/21	500.00		-415.44	20 of 45 - Sale <sup>25</sup>
	8.811	86.80	02/18/21	500.00		-413.20	21 of 45 - Sale <sup>25</sup>
	2.828	27.86	02/22/21	150.00		-122.14	22 of 45 - Sale <sup>25</sup>
	1.857	18.29	02/22/21	99.85		-81.56	23 of 45 - Sale <sup>25</sup>
	1.960	19.31	02/22/21	99.75		-80.44	24 of 45 - Sale 25
	1.000	9.85	02/22/21	52.97		-43.12	25 of 45 - Sale 25
	0.888	8. <i>7</i> 5	02/22/21	47.02		-38.27	26 of 45 - Sale 25
	0.005	0.05	02/22/21	0.25		-0.20	27 of 45 - Sale <sup>25</sup>
	0.003	0.03	02/22/21	0.15		-0.12	28 of 45 - Sale 25
	0.000	0.00	02/22/21	0.01	•••	-0.01	29 of 45 - Zero proceeds /
							negative sale 25
	4.289	42.25	02/23/21	200.00		-157.75	30 of 45 - Sale <sup>25</sup>
	3.992	39.33	02/23/21	185.24		-145.91	31 of 45 - Sale <sup>25</sup>
	0.319	3.14	02/23/21	14.76		-11.62	32 of 45 - Sale <sup>25</sup>
	<i>4.</i> 882	48.09	03/03/21	200.00		-151.91	33 of 45 - Sale <sup>25</sup>
	2.720	26.80	03/04/21	100.00		-73.20	34 of 45 - Sale <sup>25</sup>
	1.715	16.90	03/04/21	63.17		-46.27	35 of 45 - Sale <sup>25</sup>
	0.997	9.83	03/04/21	36.83	•••	-27.00	36 of 45 - Sale <sup>25</sup>
	1.147	11.30	04/22/21	46.38		-35.08	37 of 45 - Sale <sup>25</sup>
	0.447	4.41	04/22/21	17.74	•••	-13.33	38 of 45 - Sale <sup>25</sup>
	0.073	0.72	04/22/21	2.93		-2.21	39 of 45 - Sale <sup>25</sup>
	0.004	0.04	04/22/21	0.17		-0.13	40 of 45 - Sale <sup>25</sup>
	12.790	126.01	12/06/21	200.00		-73.99	41 of 45 - Sale <sup>25</sup>

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

### **Proceeds from Broker and Barter Exchange Transactions** (continued)

02/01/2023

Account 584222624

**1099-B\*** OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS. 1a Description of property/CUSID/Symbol

lc- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ANO-X IMAGING L	TD ORDINARY SH ARES / CUSIF	P: M70700105 / Symbo	ol: (cont'd)				
	7.991	78.72	12/09/21	133.08	•••	-54.36	42 of 45 - Sale 25
	1.017	10.02	12/09/21	16.92		-6.90	43 of 45 - Sale <sup>25</sup>
	0.316	3.11	01/11/22	21.62		-18.51	44 of 45 - Sale <sup>25</sup>
	3.444	33.93	01/11/22	45.80		-11.87	45 of 45 - Sale <sup>25</sup>
01/24/22	92.945	915.69	Various	4,038.42		-3,122.73	Total of 45 transactions
01/24/22	0.316	3.11	02/11/21	20.53	17.42 W	0.00	Sale <sup>25</sup>
	Security total:	918.80		4,058.95	17.42 W	-3,122.73	
Γotals :		19,747.17		36,939.70	2,040.22 W	-15,152.31	

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12) "Gain or loss (-)" is NOT reported to the IRS.

1a- Description of prope	erty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et		1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ARK INNOVATION ETF	/ CUSIP: 00214Q104 / Symbol:						
	2 transactions for 02/22/22	. Total proceeds an	d cost report	ed to the IRS.			
02/22/22	0.124 3.000 3.124	7.91 192.09 200.00	10/01/20 10/01/20 Various	11.54 280.37 291.91	3.63 <i>W</i> 88.28 <i>W</i> 91.91 W	0.00 0.00 0.00	1 of 2 - Sale <sup>25</sup> 2 of 2 - Sale <sup>25</sup> Total of 2 transactions
ADVANCED MICRO DE	VICES, INC. C OMMON STOCK / 0	CUSIP: 007903107	/ Symbol:				
02/23/22 APPLE INC. COMMON S	0.910 STOCK / CUSIP: 037833100 / Sym	100.00 bol:	11/10/20	71.34		28.66	Sale <sup>25</sup>
	3 transactions for 02/23/22	. Total proceeds an	d cost report	ed to the IRS.			
02/23/22	0.178 0.439 0.007 0.624	28.58 70.36 1.06 100.00	09/23/20 09/23/20 10/01/20 Various	21.26 51.75 0.84 73.85	  	7.32 18.61 0.22 26.15	1 of 3 - Sale <sup>25</sup> 2 of 3 - Sale <sup>25</sup> 3 of 3 - Sale <sup>25</sup> Total of 3 transactions

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

#### **Proceeds from Broker and Barter Exchange Transactions**

Account 584222624

**1099-B\*** OMB No. 1545-0715

(continued)

02/01/2023

## LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

a- Description of proper 1c- Date	rty/CUSIP/Symbol	1d- Proceeds &			1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or		6- Reported		1e- Cost or	1g- Wash sale loss	7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	also not reported (Z)	Additional information
RCHER AVIATION INC.	/ CUSIP: 03945R102 / Symbo	ol:					
	17 transactions for 06/	14/22. Total proceeds a	nd cost repor	ted to the IRS.			
	18.037	72.20	02/19/21	299.92	•••	-227.72	1 of 17 - Sale <sup>25</sup>
	5.995	24.00	02/19/21	99.69		-75.69	2 of 17 - Sale <sup>25</sup>
	0.019	0.07	02/19/21	0.31		-0.24	3 of 17 - Sale <sup>25</sup>
	0.005	0.02	02/19/21	0.08	•••	-0.06	4 of 17 - Sale <sup>25</sup>
	6.745	27.00	02/22/21	99.89		-72.89	5 of 17 - Sale <sup>25</sup>
	5.991	23.98	02/22/21	95.55	•••	-71.57	6 of 17 - Sale <sup>25</sup>
	3.378	13.52	02/22/21	50.00		-36.48	7 of 17 - Sale <sup>25</sup>
	0.279	1.12	02/22/21	4.45		-3.33	8 of 17 - Sale <sup>25</sup>
	0.007	0.03	02/22/21	0.11	***	-0.08	9 of 17 - Sale <sup>25</sup>
	6.000	24.02	02/23/21	78.72		-54.70	10 of 17 - Sale <sup>25</sup>
	0.093	0.37	02/23/21	1.22	***	-0.85	11 of 17 - Sale <sup>25</sup>
	0.005	0.02	02/23/21	0.06	•••	-0.04	12 of 17 - Sale <sup>25</sup>
	7.997	32.01	03/03/21	98.84		-66.83	13 of 17 - Sale <sup>25</sup>
	1.307	5.23	03/03/21	16.00	•••	-10.77	14 of 17 - Sale <sup>25</sup>
	0.094	0.38	03/03/21	1.16	•••	-0.78	15 of 17 - Sale <sup>25</sup>
	17.987	72.00	03/04/21	188.82		-116.82	16 of 17 - Sale <sup>25</sup>
	1.066	4.27	03/04/21	11.18		-6.91	17 of 17 - Sale <sup>25</sup>
06/14/22	75.005	300.24	Various	1,046.00		-745.76	Total of 17 transactions
STRA SPACE, INC. CLA	ASS A COMM ON STOCK / C	USIP: 04634X103 / Syr	nbol:				
	18 transactions for 05/	10/22. Total proceeds a	nd cost repor	ted to the IRS.			
	7.989	19.90	02/19/21	159.90		-140.00	1 of 18 - Sale <sup>25</sup>
	5.000	12.45	02/19/21	99.95	•••	-87.50	2 of 18 - Sale <sup>25</sup>
	2.505	6.24	02/19/21	50.00	•••	-43.76	3 of 18 - Sale <sup>25</sup>
	0.003	0.01	02/19/21	0.05		-0.04	4 of 18 - Sale <sup>25</sup>
	5.288	13.17	02/22/21	100.00		-86.83	5 of 18 - Sale <sup>25</sup>
	12.595	31.37	02/24/21	200.00		-168.63	6 of 18 - Sale 25
	3.987	9.93	03/04/21	48.04		-38.11	7 of 18 - Sale <sup>25</sup>
	0.163	0.41	03/04/21	1.96	•••	-1.55	8 of 18 - Sale <sup>25</sup>
	7.814	19.46	03/18/21	100.00		-80.54	9 of 18 - Sale <sup>25</sup>
	4.000	9.96	03/18/21	49.34		-39.38	10 of 18 - Sale <sup>25</sup>
	0.054	0.13	03/18/21	0.66		-0.53	11 of 18 - Sale <sup>25</sup>
	7.513	18.72	03/23/21	100.00		-81.28	12 of 18 - Sale <sup>25</sup>

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

#### **Proceeds from Broker and Barter Exchange Transactions**

Account 584222624

Page

2022 1099-B\* OMB No. 1545-0715

"Gain or loss (-)" is NOT reported to the IRS.

(continued)

02/01/2023

### LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

1a- Description of property/CUSIP/Symbol 1c- Date 1d- Proceeds & 1f- Accrued mkt disc (D) & Gain or loss(-) & sold or 6- Reported 1b- Date 1g- Wash sale loss 7- Loss not allowed (X) 1e- Cost or (G)ross or (N)et acquired disallowed (W) also not reported (Z) Additional information disposed Quantity other basis ASTRA SPACE, INC. CLASS A COMM ON STOCK / CUSIP: 04634X103 / Symbol: (cont'd) 6.983 17.39 03/23/21 13 of 18 - Sale 25 96.08 -78.690.286 03/23/21 -3.21 14 of 18 - Sale 25 0.71 3.92 4.108 10.23 06/25/21 66.93 -56.70 15 of 18 - Sale 25 2.484 6.19 06/25/21 41.13 -34.94 16 of 18 - Sale 25 0.393 0.98 06/25/21 6.50 -5.52 17 of 18 - Sale 25 0.015 0.04 06/25/21 0.24 -0.2018 of 18 - Sale 25 05/10/22 71.179 177.29 Various 1,124.70 -947.41 Total of 18 transactions AVEPOINT, INC. CLASS A COMMON STOCK / CUSIP: 053604104 / Symbol: 56 transactions for 01/24/22. Total proceeds and cost reported to the IRS. 6.402 32.46 11/30/20 100.00 -67.54 1 of 56 - Sale 25 2 of 56 - Sale 25 5.527 28.02 11/30/20 87.77 -59.75 3 of 56 - Sale 25 3.252 16.49 11/30/20 50.00 -33.51 4 of 56 - Sale 25 2.995 15.18 11/30/20 48.33 -33.15 3.000 15.21 11/30/20 -30.725 of 56 - Sale 25 45.93 -27.22 6 of 56 - Sale 25 2.301 11.67 11/30/20 38.89 0.556 2.82 11/30/20 9.99 -7.17 7 of 56 - Sale 25 0.264 1.34 11/30/20 4.04 -2.708 of 56 - Sale <sup>25</sup> 9 of 56 - Sale 25 0.175 0.88 11/30/20 3.05 -2.1710 of 56 - Sale 25 0.153 0.78 11/30/20 2.63 -1.85 11 of 56 - Sale 25 0.82 11/30/20 -1.85 0.161 2.67 0.140 0.71 11/30/20 2.25 -1.5412 of 56 - Sale 25 0.126 0.64 11/30/20 2.17 -1.5313 of 56 - Sale 25 0.074 0.38 11/30/20 1.29 -0.91 14 of 56 - Sale 25 0.068 0.35 11/30/20 1.18 -0.8315 of 56 - Sale 25 16 of 56 - Sale 25 0.050 0.26 11/30/20 0.86 -0.6017 of 56 - Sale 25 0.045 0.23 11/30/20 0.77 -0.540.036 0.18 11/30/20 -0.4318 of 56 - Sale 25 0.61 -0.06 19 of 56 - Sale 25 0.005 0.03 11/30/20 0.09 0.002 0.01 11/30/20 0.03 -0.02 20 of 56 - Sale 25 21 of 56 - Sale 25 6.998 35.48 12/01/20 97.90 -62.42 4.921 24.95 12/01/20 69.85 -44.90 22 of 56 - Sale 25 23 of 56 - Sale 25 3.457 17.53 12/01/20 50.00 -32.4724 of 56 - Sale 25 -27.02 3.000 15.21 12/01/20 42.23 2.999 15.21 12/01/20 42.08 -26.87 25 of 56 - Sale 25

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

4

Robinhood Markets Inc. as agent for Robinhood Securities LLC

#### **Proceeds from Broker and Barter Exchange Transactions**

Account 584222624

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/01/2023

## LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

1a- Description of prope	rty/CUSIP/Symbol						
1c- Date sold or		1d- Proceeds & 6- Reported	1h Doto	1e- Cost or	1f- Accrued mkt disc (D) & 1g- Wash sale loss	Gain or loss(-) & 7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et		other basis	disallowed (W)	also not reported (Z)	Additional information
<b>.</b>	A COMMON STOCK / CUSIP:	., .,	•		u.ouou (11)	u.eeet .epe.teu ( <u>-</u> )	7.00.00.00.00.00.00.00.00.00.00.00.00.00
AVEI OINT, INC. CEASS		,	` ,	44.70		22.52	00 (50 0 1 35
	2.998	15.20	12/01/20	41.72		-26.52	26 of 56 - Sale <sup>25</sup>
	1.996	10.12	12/01/20	27.92		-17.80	27 of 56 - Sale <sup>25</sup>
	1.999	10.13	12/01/20	27.82		-17.69	28 of 56 - Sale <sup>25</sup>
	1.860	9.43	12/01/20	25.89		-16.46	29 of 56 - Sale <sup>25</sup>
	0.596	3.02	12/01/20	8.28		-5.26	30 of 56 - Sale <sup>25</sup>
	0.565	2.87	12/01/20	7.92		-5.05	31 of 56 - Sale <sup>25</sup>
	0.552	2.80	12/01/20	7.77	•••	-4.97	32 of 56 - Sale 25
	0.157	0.79	12/01/20	2.18		-1.39	33 of 56 - Sale <sup>25</sup>
	0.151	0.76	12/01/20	2.10	•••	-1.34	34 of 56 - Sale <sup>25</sup>
	0.149	0.76	12/01/20	2.08	***	-1.32	35 of 56 - Sale <sup>25</sup>
	0.011	0.05	12/01/20	0.15	***	-0.10	36 of 56 - Sale <sup>25</sup>
	0.008	0.04	12/01/20	0.11		-0.07	37 of 56 - Sale <sup>25</sup>
	37.913	192.25	12/02/20	491.72		-299.47	38 of 56 - Sale <sup>25</sup>
	0.640	3.24	12/02/20	8.28		-5.04	39 of 56 - Sale <sup>25</sup>
	6.911	35.04	01/08/21	100.00		-64.96	40 of 56 - Sale <sup>25</sup>
	2.969	15.06	02/19/21	54.37		-39.31	41 of 56 - Sale <sup>25</sup>
	1.000	5.07	02/19/21	18.54		-13.47	42 of 56 - Sale <sup>25</sup>
	0.821	4.16	02/19/21	15.03		-10.87	43 of 56 - Sale <sup>25</sup>
	0.729	3.70	02/19/21	13.50		-9.80	44 of 56 - Sale <sup>25</sup>
	0.416	2.11	02/19/21	7.78		-5.67	45 of 56 - Sale <sup>25</sup>
	0.408	2.07	02/19/21	7.47		-5.40	46 of 56 - Sale 25
	0.245	1.24	02/19/21	4.56	***	-3.32	47 of 56 - Sale <sup>25</sup>
	0.205	1.04	02/19/21	3.79	***	-2.75	48 of 56 - Sale <sup>25</sup>
	0.102	0.52	02/19/21	1.91		-1.39	49 of 56 - Sale <sup>25</sup>
	0.083	0.42	02/19/21	1.56	***	-1.14	50 of 56 - Sale <sup>25</sup>
	0.020	0.10	02/19/21	0.37		-0.27	51 of 56 - Sale <sup>25</sup>
	0.015	0.08	02/19/21	0.28		-0.20	52 of 56 - Sale <sup>25</sup>
	0.811	4.11	02/22/21	14.61		-10.50	53 of 56 - Sale <sup>25</sup>
	0.677	3.44	02/22/21	12.38		-8.94	54 of 56 - Sale <sup>25</sup>
	0.568	2.88	02/22/21	10.35	•••	-7.47	55 of 56 - Sale <sup>25</sup>
	0.559	2.84	02/22/21	10.06		-7.22	56 of 56 - Sale <sup>25</sup>
01/24/22	112.840	572.18	Various	1,635.11		-1,062.93	Total of 56 transactions

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

#### **Proceeds from Broker and Barter Exchange Transactions**

Account 584222624

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/01/2023

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

1c- Date sold or disposed         1d- Proceeds & 6- Reported of the Date sold or Glaposed         1f- Accrued mkt disc (D) & Gain or loss(-) & Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)         Additional in Proceeds and cost reported to the IRS.           BEYOND MEAT, INC. COMMON STOCK / CUSIP: 08862E109 / Symbol:           11 transactions for 06/14/22. Total proceeds and cost reported to the IRS.           0.702         15.62         11/10/20         82.79         67.17 W         0.00         1 of 11 - Sale 0.00         2 of 11 - Sale 0.00         3 of 11 - Sal	formation
disposed Quantity (G)ross or (N)et acquired other basis disallowed (W) also not reported (Z) Additional in BEYOND MEAT, INC. COMMON STOCK / CUSIP: 08862E109 / Symbol:  11 transactions for 06/14/22. Total proceeds and cost reported to the IRS.  0.702 15.62 11/10/20 82.79 67.17 W 0.00 1 of 11 - Sale	formation
BEYOND MEAT, INC. COMMON STOCK / CUSIP: 08862E109 / Symbol:  11 transactions for 06/14/22. Total proceeds and cost reported to the IRS.  0.702 15.62 11/10/20 82.79 67.17 W 0.00 1 of 11 - Sale	itormation
11 transactions for 06/14/22. Total proceeds and cost reported to the IRS.  0.702 15.62 11/10/20 82.79 67.17 W 0.00 1 of 11 - Sale	
0.702 15.62 11/10/20 82.79 67.17 W 0.00 1 of 11 - Sale	
0.002 0.04 11/10/20 0.23 0.10 W 0.00 2.of 11 - Sala	25
0.002 0.0 <del>7</del> 11/10/20 0.20 0.13 W 0.00 2 01 11 3 at	25
1.000 22.26 11/10/20 116.44 94.18 W 0.00 3 of 11 - Sale	25
1.000 22.26 11/10/20 115.01 92.75 W 0.00 4 of 11 - Sale	
1.000 22.26 11/10/20 114.52 92.26 W 0.00 5 of 11 - Sale	
1.000 22.26 11/10/20 113.32 91.06 W 0.00 6 of 11 - Sale	25
1.000 22.27 11/10/20 113.73 91.46 W 0.00 7 of 11 - Sale	
0.002 0.03 01/06/21 0.18 0.15 W 0.00 8 of 11 - Sale	25
2.501 55.70 01/06/21 299.82 244.12 W 0.00 9 of 11 - Sale	
2.000 44.53 01/08/21 238.65 194.12 W 0.00 10 of 11 - Sale	
2.674 59.53 05/07/21 300.00 240.47 W 0.00 11 of 11 - Sale	
06/14/22 12.880 286.76 Various 1,494.69 1,207.93 W 0.00 Total of 11 tra	
12 transactions for 10/12/22. Total proceeds and cost reported to the IRS.	
2.501 35.07 06/24/22 307.90272.83 1 of 12 - Sale	25
2.674 37.48 06/24/22 308.64271.16 2 of 12 - Sale	
2.733 38.32 06/24/22 308.84270.52 3 of 12 - Sale	
2.000 28.04 06/24/22 245.12217.08 4 of 12 - Sale	
1.000 14.02 06/24/22 119.68105.66 5 of 12 - Sale	
1.000 14.02 06/24/22 118.25104.23 6 of 12 - Sale	
1.000 14.02 06/24/22 117.76103.74 7 of 12 - Sale	
1.000 14.02 06/24/22 116.96102.94 8 of 12 - Sale	
1.000 14.02 06/24/22 116.56102.54 9 of 12 - Sale	
0.702 9.84 06/24/22 85.0675.22 10 of 12 - Sale	
0.002 0.03 06/24/22 0.240.21 11 of 12 - Sale	
0.002 0.02 06/24/22 0.190.17 12 of 12 - Sale	
10/12/22 15.614 218.90 Various 1,845.201,626.30 Total of 12 tra	
Security total: 505.66 3,339.89 1,207.93 W -1,626.30	anodotiono
CHARGEPOINT HOLDINGS, INC. / CUSIP: 15961R105 / Symbol:	
14 transactions for 02/23/22. Total proceeds and cost reported to the IRS.	25
5.000 61.72 11/18/20 97.8536.13 1 of 14 - Sale	
5.000 61.72 11/18/20 97.6035.88 2 of 14 - Sale	
3.258 40.22 11/18/20 65.0024.78 3 of 14 - Sale	20

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

**1099-B\*** OMB No. 1545-0715

### **Proceeds from Broker and Barter Exchange Transactions**

(continued)

02/01/2023

Account 584222624

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

1a- Description of prop	perty/CUSIP/Symbol						
1c- Date		1d- Proceeds &			1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or		6- Reported		1e- Cost or	1g- Wash sale loss	7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	also not reported (Z)	Additional information
CHARGEPOINT HOLD	INGS, INC. / CUSIP: 15961R105 / \$	Symbol: (cont'd)					
	1.995	24.63	11/18/20	39.15	•••	-14.52	4 of 14 - Sale 25
	2.000	24.69	11/18/20	39.18		-14.49	5 of 14 - Sale <sup>25</sup>
	1.000	12.34	11/18/20	19.53		-7.19	6 of 14 - Sale <sup>25</sup>
	0.731	9.02	11/18/20	14.59		-5.57	7 of 14 - Sale <sup>25</sup>
	0.554	6.84	11/18/20	10.85		-4.01	8 of 14 - Sale <sup>25</sup>
	0.552	6.82	11/18/20	10.82		-4.00	9 of 14 - Sale <sup>25</sup>
	0.280	3.46	11/18/20	5.47		-2.01	10 of 14 - Sale <sup>25</sup>
	0.123	1.52	11/18/20	2.40		-0.88	11 of 14 - Sale <sup>25</sup>
	0.110	1.36	11/18/20	2.15		-0.79	12 of 14 - Sale <sup>25</sup>
	1.875	23.14	11/20/20	50.00		-26.86	13 of 14 - Sale <sup>25</sup>
	0.292	3.61	02/02/22	6.21		-2.60	14 of 14 - Sale <sup>25</sup>
02/23/22	22.771	281.09	Various	460.80		-179.71	Total of 14 transactions
02/23/22	0.292	3.61	11/18/20	5.84	2.23 W	0.00	Sale <sup>25</sup>
	Security total:	284.70		466.64	2.23 W	-179.71	
CLEANSPARK, INC. CO	OMMON STOCK / CUSIP: 18452B2	209 / Symbol:					
	2 transactions for 01/24/2	2. Total proceeds an	nd cost reporte	ed to the IRS.			
	24.000	138.24	12/02/20	259.92		-121.68	1 of 2 - Sale <sup>25</sup>
	0.614	3.54	12/02/20	6.65		-3.11	2 of 2 - Sale <sup>25</sup>
01/24/22	24.614	141.78	Various	266.57	···	-124.79	Total of 2 transactions
DESKTOP METAL, INC	C. / CUSIP: 25058X105 / Symbol:						
	10 transactions for 06/14/	22. Total proceeds a	and cost repor	ted to the IRS.			
	3.195	6.23	11/20/20	39.98		-33.75	1 of 10 - Sale <sup>25</sup>
	0.017	0.03	11/20/20	0.21		-0.18	2 of 10 - Sale <sup>25</sup>
	16.284	31.75	11/24/20	196.30		-164.55	3 of 10 - Sale <sup>25</sup>
	7.972	15.54	11/24/20	95.87		-80.33	4 of 10 - Sale <sup>25</sup>
	1.139	2.22	11/24/20	14.83		-12.61	5 of 10 - Sale <sup>25</sup>
	0.977	1.90	11/24/20	11.79		-9.89	6 of 10 - Sale <sup>25</sup>
	0.506	0.99	11/24/20	6.29		-5.30	7 of 10 - Sale <sup>25</sup>
	4.000	7.80	12/10/20	93.77	•••	-85.97	8 of 10 - Sale <sup>25</sup>
	0.457	0.89	12/10/20	10.72		-9.83	9 of 10 - Sale <sup>25</sup>

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

#### **Proceeds from Broker and Barter Exchange Transactions**

Account 584222624

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/01/2023

## LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

1a- Description of propert	y/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et		1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
·	CUSIP: 25058X105 / Symbol:	( ) ( )				шин шин оргина (2)	
DESICTOT METAE, IIVO. 7	•	,	10/10/00	0.04		0.04	10 -5 10 7-11 11 11
	0.002	0.00	12/10/20	0.04		-0.04	10 of 10 - Zero proceeds / negative sale <sup>25</sup>
06/14/22	34.549	67.35	Various	469.80		-402.45	Total of 10 transactions
FASTLY, INC. / CUSIP: 31	188V100 / Symbol:						
	52 transactions for 10/12	2/22. Total proceeds a	nd cost repo	rted to the IRS.			
	0.756	5.76	10/29/20	62.23		-56.47	1 of 52 - Sale <sup>25</sup>
	0.328	2.50	10/29/20	26.98		-24.48	2 of 52 - Sale <sup>25</sup>
	0.205	1.56	10/29/20	16.18		-14.62	3 of 52 - Sale <sup>25</sup>
	0.163	1.24	10/29/20	12.86		-11.62	4 of 52 - Sale <sup>25</sup>
	0.001	0.01	10/29/20	0.09		-0.08	5 of 52 - Sale <sup>25</sup>
	0.983	7.49	10/30/20	77.11		-69.62	6 of 52 - Sale <sup>25</sup>
	0.616	4.69	10/30/20	59.07		-54.38	7 of 52 - Sale <sup>25</sup>
	0.405	3.09	10/30/20	38.82		-35.73	8 of 52 - Sale <sup>25</sup>
	0.376	2.86	10/30/20	36.00	···	-33.14	9 of 52 - Sale <sup>25</sup>
	0.383	2.92	10/30/20	36.03		-33.11	10 of 52 - Sale <sup>25</sup>
	0.129	0.98	10/30/20	11.85		-10.87	11 of 52 - Sale <sup>25</sup>
	0.079	0.60	10/30/20	7.34	···	-6.74	12 of 52 - Sale <sup>25</sup>
	0.008	0.06	10/30/20	0.92	•••	-0.86	13 of 52 - Sale <sup>25</sup>
	0.006	0.04	10/30/20	0.56		-0.52	14 of 52 - Sale <sup>25</sup>
	0.001	0.01	10/30/20	0.10		-0.09	15 of 52 - Sale <sup>25</sup>
	0.506	3.85	11/06/20	46.08		-42.23	16 of 52 - Sale <sup>25</sup>
	0.285	2.17	11/06/20	28.24		-26.07	17 of 52 - Sale <sup>25</sup>
	0.256	1.95	11/06/20	25.28		-23.33	18 of 52 - Sale <sup>25</sup>
	0.115	0.87	11/06/20	11.35		-10.48	19 of 52 - Sale <sup>25</sup>
	0.052	0.39	11/06/20	5.43		-5.04	20 of 52 - Sale <sup>25</sup>
	0.045	0.34	11/06/20	4.46		-4.12	21 of 52 - Sale <sup>25</sup>
	0.043	0.33	11/06/20	3.93	···	-3.60	22 of 52 - Sale <sup>25</sup>
	0.036	0.28	11/06/20	3.62	···	-3.34	23 of 52 - Sale <sup>25</sup>
	0.030	0.26 0.15	11/06/20	2.01		-1.86	24 of 52 - Sale <sup>25</sup>
	0.019	0.73	11/06/20	0.42		-0.39	25 of 52 - Sale <sup>25</sup>
	0.890	6.78	11/09/20	74.80	···	-68.02	26 of 52 - Sale <sup>25</sup>
	0.356	2.71	11/09/20	31.36		-08.02 -28.65	27 of 52 - Sale <sup>25</sup>
	0.257	1.96	11/09/20	22.66	···	-20.70	28 of 52 - Sale <sup>25</sup>
	3.000	22.85	11/10/20	196.74	···	-173.89	29 of 52 - Sale <sup>25</sup>
	3.000	22.65	11/10/20	190.74		-173.89	23 UI JZ - Sale

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

26 of

Robinhood Markets Inc. as agent for **Robinhood Securities LLC** 

#### **Proceeds from Broker and Barter Exchange Transactions**

Account 584222624

**1099-B\*** OMB No. 1545-0715

(continued)

02/01/2023

## LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

1a- Description of propert	y/CUSIP/Symbol						
1c- Date		1d- Proceeds &			1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or	Quantity	6- Reported (G)ross or (N)et		1e- Cost or other basis	1g- Wash sale loss disallowed (W)	7- Loss not allowed (X)	Additional information
disposed		(G)ross or (N)et	acquired	other basis	disallowed (vv)	also not reported (Z)	Additional information
FASTLY, INC. / CUSIP: 31	188V100 / Symbol: (cont'd)						
	1.226	9.34	11/10/20	82.61		-73.27	30 of 52 - Sale <sup>25</sup>
	0.551	4.19	11/10/20	50.00		<i>-45.81</i>	31 of 52 - Sale <sup>25</sup>
	0.449	3.42	11/10/20	40.73		-37.31	32 of 52 - Sale <sup>25</sup>
	0.450	3.43	11/10/20	40.29		-36.86	33 of 52 - Sale <sup>25</sup>
	0.529	4.03	11/10/20	38.17		-34.14	34 of 52 - Sale <sup>25</sup>
	0.423	3.22	11/10/20	32.15		-28.93	35 of 52 - Sale <sup>25</sup>
	0.370	2.81	11/10/20	30.74		-27.93	36 of 52 - Sale <sup>25</sup>
	0.241	1.84	11/10/20	18.19		-16.35	37 of 52 - Sale <sup>25</sup>
	0.194	1.48	11/10/20	17.64		-16.16	38 of 52 - Sale <sup>25</sup>
	0.152	1.15	11/10/20	11.34		-10.19	39 of 52 - Sale <sup>25</sup>
	0.119	0.91	11/10/20	10.80		-9.89	40 of 52 - Sale <sup>25</sup>
	0.094	0.72	11/10/20	7.34		-6.62	41 of 52 - Sale <sup>25</sup>
	0.090	0.69	11/10/20	6.31		-5.62	42 of 52 - Sale <sup>25</sup>
	0.068	0.52	11/10/20	5.35		<i>-4.</i> 83	43 of 52 - Sale <sup>25</sup>
	0.040	0.30	11/10/20	3.12		-2.82	44 of 52 - Sale <sup>25</sup>
	0.045	0.34	11/10/20	2.94		-2.60	45 of 52 - Sale <sup>25</sup>
	0.005	0.04	11/10/20	0.32		-0.28	46 of 52 - Sale <sup>25</sup>
	0.003	0.02	11/10/20	0.21		-0.19	47 of 52 - Sale <sup>25</sup>
	0.001	0.01	11/10/20	0.09		-0.08	48 of 52 - Sale <sup>25</sup>
	2.000	15.23	11/24/20	160.20		-144.97	49 of 52 - Sale <sup>25</sup>
	1.000	7.61	11/24/20	79.70		-72.09	50 of 52 - Sale <sup>25</sup>
	3.668	27.93	02/18/21	300.00		-272.07	51 of 52 - Sale <sup>25</sup>
	2.810	21.40	02/23/21	200.00		-178.60	52 of 52 - Sale <sup>25</sup>
10/12/22	24.832	189.10	Various	1,980.76		-1,791.66	Total of 52 transactions
FISKER INC. / CUSIP: 338	313J106 / Symbol:						
	3 transactions for 02/23/2	22. Total proceeds ar	nd cost report	ed to the IRS.			
	4.224	44.52	12/31/20	62.94		-18.42	1 of 3 - Sale <sup>25</sup>
	0.005	0.05	12/31/20	0.07		-0.02	2 of 3 - Sale <sup>25</sup>
	5.259	55.43	01/08/21	82.04		-26.61	3 of 3 - Sale <sup>25</sup>
02/23/22	9.488	100.00	Various	145.05		-45.05	Total of 3 transactions
	18 transactions for 10/12						
	7.562	49.39	01/08/21	117.96		-68.57	1 of 18 - Sale <sup>25</sup>
	3.307	21.60	01/08/21	50.00	•••	-28.40	2 of 18 - Sale <sup>25</sup>
						- ·-	

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

46

Robinhood Markets Inc. as agent for Robinhood Securities LLC

#### **Proceeds from Broker and Barter Exchange Transactions**

Account 584222624

2022 1099-B\* OMB No. 1545-0715

(continued)

02/01/2023

### LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS. 1a- Description of property/CUSIP/Symbol 1c- Date 1d- Proceeds & 1f- Accrued mkt disc (D) & Gain or loss(-) & sold or 6- Reported 1b- Date 1g- Wash sale loss 7- Loss not allowed (X) 1e- Cost or (G)ross or (N)et acquired disallowed (W) also not reported (Z) Additional information disposed Quantity other basis FISKER INC. / CUSIP: 33813J106 / Symbol: (cont'd) 13.387 87.43 01/11/21 200.00 3 of 18 - Sale 25 -112.5710.000 65.31 01/19/21 -83.19 4 of 18 - Sale 25 148.50 6.618 43.23 01/28/21 100.00 -56.77 5 of 18 - Sale 25 8.000 52.25 02/01/21 119.60 -67.356 of 18 - Sale 25 5.000 32.66 02/01/21 74.95 -42.29 7 of 18 - Sale 25 8 of 18 - Sale 25 2.000 13.06 02/01/21 29.90 -16.84 1.671 10.91 02/01/21 25.05 -14.14 9 of 18 - Sale 25 10 of 18 - Sale 25 0.023 0.15 02/01/21 0.35 -0.200.07 -0.08 11 of 18 - Sale 25 0.010 02/01/21 0.15 -55.51 12 of 18 - Sale 25 6.796 44.39 02/03/21 99.90 0.007 0.04 -0.06 13 of 18 - Sale 25 02/03/21 0.10 5.958 38.91 04/05/21 99.97 -61.06 14 of 18 - Sale 25 0.002 0.01 04/05/21 0.03 -0.02 15 of 18 - Sale 25 16 of 18 - Sale 25 7.196 47.00 04/23/21 100.00 -53.00 32.65 -35.63 17 of 18 - Sale 25 4.999 04/29/21 68.28 0.346 2.26 04/29/21 4.72 -2.4618 of 18 - Sale 25 10/12/22 82.881 541.32 1,239.46 -698.14 Total of 18 transactions Various Security total: 641.32 1,384.51 -743.19 GOLDEN NUGGET ONLINE GAMING, I NC. CLASS A COMMON STOCK / CUSIP: 38113L107 / Symbol: 42 transactions for 01/24/22. Total proceeds and cost reported to the IRS. 0.529 3.50 11/12/20 10.26 -6.76 1 of 42 - Sale 25 0.053 0.35 11/12/20 1.03 -0.682 of 42 - Sale 25 2.606 17.22 11/20/20 55.57 -38.35 3 of 42 - Sale 25 4 of 42 - Sale 25 0.441 2.92 11/20/20 9.27 -6.3511/20/20 -2.975 of 42 - Sale 25 0.179 1.18 4.15 11/20/20 3.99 -2.756 of 42 - Sale 25 0.187 1.24 1.972 13.04 12/02/20 51.07 -38.03 7 of 42 - Sale 25 2.000 13.22 12/02/20 44.83 -31.61 8 of 42 - Sale 25 1.132 7.48 12/02/20 29.30 -21.82 9 of 42 - Sale 25 1.052 6.95 -17.98 10 of 42 - Sale 25 12/02/20 24.93 1.000 6.61 12/02/20 22.43 -15.82 11 of 42 - Sale 25 12 of 42 - Sale 25 0.743 -11.75 4.91 12/02/20 16.66 0.617 4.08 12/02/20 14.50 -10.4213 of 42 - Sale 25

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

#### **Proceeds from Broker and Barter Exchange Transactions**

Account 584222624

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/01/2023

## LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

Ia- Description of property/	CUSIP/Symbol						
1c- Date		1d- Proceeds &			- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or		6- Reported		1e- Cost or	1g- Wash sale loss	7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	also not reported (Z)	Additional information
OLDEN NUGGET ONLINE	GAMING, I NC. CLASS A	COMMON STOCK / CI	JSIP: 38113L	107 / Symbol: (coi	nt'd)		
	0.471	3.11	12/02/20	11.12		-8.01	14 of 42 - Sale <sup>25</sup>
	0.479	3.17	12/02/20	10.75	•••	<i>-7.5</i> 8	15 of 42 - Sale <sup>25</sup>
	0.368	2.43	12/02/20	9. <b>4</b> 5	•••	-7.02	16 of 42 - Sale <sup>25</sup>
	0.368	2.43	12/02/20	8.25	•••	-5.82	17 of 42 - Sale <sup>25</sup>
	0.352	2.33	12/02/20	7.81		<i>-5.4</i> 8	18 of 42 - Sale <sup>25</sup>
	0.273	1.80	12/02/20	7.01	•••	-5.21	19 of 42 - Sale <sup>25</sup>
	0.228	1.51	12/02/20	5.92	•••	-4.41	20 of 42 - Sale <sup>25</sup>
	0.220	1.45	12/02/20	5.39		-3.94	21 of 42 - Sale <sup>25</sup>
	0.097	0.64	12/02/20	2.36	•••	-1.72	22 of 42 - Sale <sup>25</sup>
	0.090	0.59	12/02/20	2.11	•••	-1.52	23 of 42 - Sale <sup>25</sup>
	0.071	0.47	12/02/20	1.84	•••	-1.37	24 of 42 - Sale <sup>25</sup>
	0.052	0.34	12/02/20	1.33	•••	-0.99	25 of 42 - Sale <sup>25</sup>
	0.037	0.25	12/02/20	0.88	•••	-0.63	26 of 42 - Sale <sup>25</sup>
	0.032	0.21	12/02/20	0.83	•••	-0.62	27 of 42 - Sale <sup>25</sup>
	0.022	0.14	12/02/20	0.56	•••	-0.42	28 of 42 - Sale <sup>25</sup>
	3.000	19.83	01/04/21	55.58	•••	-35.75	29 of 42 - Sale <sup>25</sup>
	0.455	3.01	01/04/21	8. <i>4</i> 2	•••	-5.41	30 of 42 - Sale <sup>25</sup>
	1.156	7.64	02/16/21	26.32	•••	-18.68	31 of 42 - Sale <sup>25</sup>
	0.557	3.68	02/16/21	12.48		-8.80	32 of 42 - Sale <sup>25</sup>
	0.279	1.84	02/16/21	6.34		-4.50	33 of 42 - Sale <sup>25</sup>
	0.282	1.87	02/16/21	6.32		<i>-4.4</i> 5	34 of 42 - Sale <sup>25</sup>
	0.229	1.51	02/16/21	5.20		-3.69	35 of 42 - Sale <sup>25</sup>
	0.126	0.83	02/16/21	2.74		-1.91	36 of 42 - Sale <sup>25</sup>
	0.092	0.61	02/16/21	2.08		-1.47	37 of 42 - Sale <sup>25</sup>
	0.075	0.50	02/16/21	1.70		-1.20	38 of 42 - Sale <sup>25</sup>
	0.007	0.05	02/16/21	0.18	•••	-0.13	39 of 42 - Sale <sup>25</sup>
	0.002	0.02	02/16/21	0.05		-0.03	40 of 42 - Sale <sup>25</sup>
	1.237	8.17	02/22/21	25.21		-17.04	41 of 42 - Sale <sup>25</sup>
	0.241	1.60	02/22/21	4.91		-3.31	42 of 42 - Sale <sup>25</sup>
01/24/22	23.413	154.73	Various	521.13		-366.40	Total of 42 transactions
	. / CUSIP: 449109107 / Syn						
	43 transactions for 05/		and cost repor	ted to the IRS.			
	1.579	4.75	11/27/20	38.69		-33.94	1 of 43 - Sale <sup>25</sup>
	4.780	14.39	11/30/20	100.00		-85.61	2 of 43 - Sale <sup>25</sup>

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

29 of

Robinhood Markets Inc. as agent for **Robinhood Securities LLC** 

### **Proceeds from Broker and Barter Exchange Transactions**

Account 584222624

**1099-B\*** OMB No. 1545-0715

(continued)

02/01/2023

## LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

1a- Description of property	/CUSIP/Symbol						
1c- Date		1d- Proceeds &	41. D-4-	4- 0	1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or disposed	Quantity	6- Reported (G)ross or (N)et		1e- Cost or other basis	1g- Wash sale loss disallowed (W)	7- Loss not allowed (X)	Additional information
<b>.</b>		., .,	acquired	Other basis	disallowed (VV)	also not reported (2)	Additional information
HYLIION HOLDINGS CORF	P. / CUSIP: 449109107 / Syn	nbol: (cont'd)					
	4.836	14.56	11/30/20	100.00		-85.44	3 of 43 - Sale <sup>25</sup>
	<i>4.78</i> 2	14.40	11/30/20	99.76		-85.36	4 of 43 - Sale <sup>25</sup>
	<i>4.</i> 973	14.97	11/30/20	100.00		-85.03	5 of 43 - Sale <sup>25</sup>
	2.000	6.02	11/30/20	40.80		-34.78	6 of 43 - Sale <sup>25</sup>
	1.999	6.02	11/30/20	40.05		-34.03	7 of 43 - Sale <sup>25</sup>
	0.497	1.50	11/30/20	9.95		-8. <i>4</i> 5	8 of 43 - Sale <sup>25</sup>
	0.450	1.36	11/30/20	9.19		-7.83	9 of 43 - Sale <sup>25</sup>
	0.012	0.03	11/30/20	0.24		-0.21	10 of 43 - Sale <sup>25</sup>
	0.000	0.00	11/30/20	0.01		-0.01	11 of 43 - Zero proceeds
							negative sale <sup>25</sup>
	2.607	7.85	12/01/20	50.00		<i>-4</i> 2.15	12 of 43 - Sale <sup>25</sup>
	2.625	7.90	12/01/20	50.00		<i>-4</i> 2.10	13 of 43 - Sale <sup>25</sup>
	<i>5.4</i> 73	16.47	12/02/20	100.00		-83.53	14 of 43 - Sale <sup>25</sup>
	4.000	12.04	12/14/20	69.84		-57.80	15 of 43 - Sale <sup>25</sup>
	0.007	0.02	12/14/20	0.12		-0.10	16 of 43 - Sale <sup>25</sup>
	0.002	0.01	12/14/20	0.04		-0.03	17 of 43 - Sale <sup>25</sup>
	4.492	13.52	12/29/20	72.00		-58.48	18 of 43 - Sale <sup>25</sup>
	2.343	7.05	01/08/21	64.19		-57.14	19 of 43 - Sale <sup>25</sup>
	1.916	5.77	01/08/21	34.09		-28.32	20 of 43 - Sale <sup>25</sup>
	0.700	2.11	01/08/21	19.16		-17.05	21 of 43 - Sale <sup>25</sup>
	0.062	0.19	01/08/21	1.68	***	-1.49	22 of 43 - Sale <sup>25</sup>
	0.035	0.10	01/08/21	0.97	***	-0.87	23 of 43 - Sale <sup>25</sup>
	0.002	0.01	01/08/21	0.05	***	-0.04	24 of 43 - Sale <sup>25</sup>
	0.001	0.00	01/08/21	0.03		-0.03	25 of 43 - Zero proceeds negative sale 25
	4.473	13.46	01/12/21	119.13		-105.67	26 of 43 - Sale <sup>25</sup>
	0.275	0.83	01/12/21	7.34	•••	-6.51	27 of 43 - Sale <sup>25</sup>
	0.001	0.00	01/12/21	0.03		-0.03	28 of 43 - Zero proceeds negative sale 25
	3.413	10.27	02/03/21	94.90		-84.63	29 of 43 - Sale <sup>25</sup>
	5.286	15.90	02/03/21	83.46		-67.56	30 of 43 - Sale <sup>25</sup>
	1.527	4.60	02/03/21	38.75		-34.15	31 of 43 - Sale <sup>25</sup>
	1.000	3.01	02/03/21	28.54		-25.53	32 of 43 - Sale <sup>25</sup>
	1.636	4.92	02/03/21	25.85	···	-20.93	33 of 43 - Sale <sup>25</sup>
	0.587	1.77	02/03/21	16.31		-14.54	34 of 43 - Sale <sup>25</sup>

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

#### **Proceeds from Broker and Barter Exchange Transactions**

Account 584222624

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/01/2023

## LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

1a- Description of prop	erty/CUSIP/Symbol						
1c- Date sold or		1d- Proceeds & 6- Reported	1b. Dato	1e- Cost or	1f- Accrued mkt disc (D) & 1g- Wash sale loss	Gain or loss(-) & 7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et		other basis	disallowed (W)	` ,	Additional information
	ORP. / CUSIP: 449109107 / Sym	bol: (cont'd)	•		· · ·	, ,	
	0.428	1.29	02/03/21	10.37	***	-9.08	35 of 43 - Sale <sup>25</sup>
	0.145	0.44	02/03/21	4.02		-3.58	36 of 43 - Sale <sup>25</sup>
	0.098	0.29	02/03/21	2.73		-2.44	37 of 43 - Sale <sup>25</sup>
	0.171	0.51	02/03/21	2.69	···	-2.18	38 of 43 - Sale <sup>25</sup>
	0.085	0.26	02/03/21	1.68		-1.42	39 of 43 - Sale <sup>25</sup>
	0.041	0.12	02/03/21	1.17		-1.05	40 of 43 - Sale <sup>25</sup>
	0.047	0.14	02/03/21	1.15		-1.01	41 of 43 - Sale <sup>25</sup>
	0.005	0.02	02/03/21	0.14		-0.12	42 of 43 - Sale <sup>25</sup>
	0.003	0.01	02/03/21	0.09		-0.08	43 of 43 - Sale <sup>25</sup>
05/10/22	69.394	208.88	Various	1,439.21		-1,230.33	Total of 43 transactions
00/10/22	27 transactions for 05/2					1,200.00	Total of 40 transactions
	6.000	18.54	02/03/21	95.46		-76.92	1 of 27 - Sale <sup>25</sup>
	2.999	9.27	02/03/21	47.75		-38.48	2 of 27 - Sale <sup>25</sup>
	2.992	9.24	02/03/21	47.36		-38.12	3 of 27 - Sale <sup>25</sup>
	1.359	4.20	02/03/21	21.46	•••	-17.26	4 of 27 - Sale <sup>25</sup>
	0.283	0.88	02/03/21	4.51		-3.63	5 of 27 - Sale <sup>25</sup>
	0.168	0.52	02/03/21	2.64		-2.12	6 of 27 - Sale <sup>25</sup>
	0.142	0.44	02/03/21	2.25	•••	-1.81	7 of 27 - Sale <sup>25</sup>
	0.002	0.01	02/03/21	0.03		-0.02	8 of 27 - Sale <sup>25</sup>
	5.999	18.53	02/10/21	95.55	•••	-77.02	9 of 27 - Sale <sup>25</sup>
	0.279	0.86	02/10/21	4.45		-3.59	10 of 27 - Sale <sup>25</sup>
	6.000	18.54	02/11/21	94.41		-75.87	11 of 27 - Sale <sup>25</sup>
	0.355	1.10	02/11/21	5.59	•••	-4.49	12 of 27 - Sale <sup>25</sup>
	3.999	12.36	03/23/21	99.87		-87.51	13 of 27 - Sale <sup>25</sup>
	6.281	19.41	03/23/21	79.77		-60.36	14 of 27 - Sale <sup>25</sup>
	2.403	7.42	03/23/21	58.94		-51.52	15 of 27 - Sale <sup>25</sup>
	0.959	2.96	03/23/21	28.51		-25.55	16 of 27 - Sale <sup>25</sup>
	0.489	1.51	03/23/21	14.53		-13.02	17 of 27 - Sale <sup>25</sup>
	0.076	0.23	03/23/21	1.86		-1.63	18 of 27 - Sale <sup>25</sup>
	0.018	0.06	03/23/21	0.45		-0.39	19 of 27 - Sale <sup>25</sup>
	0.010	0.03	03/23/21	0.24	•••	-0.21	20 of 27 - Sale <sup>25</sup>
	0.006	0.02	03/23/21	0.14	•••	-0.12	21 of 27 - Sale <sup>25</sup>
	0.002	0.01	03/23/21	0.07		-0.06	22 of 27 - Sale 25
	9.000	27.80	03/25/21	99.14		-71.34	23 of 27 - Sale <sup>25</sup>

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

#### **Proceeds from Broker and Barter Exchange Transactions**

Account 584222624

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/01/2023

## LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

1a- Description of property/CUSIP/Symbol						
1c- Date sold or	1d- Proceeds & 6- Reported		1e- Cost or	1f- Accrued mkt disc (D) & 1g- Wash sale loss	Gain or loss(-) & 7- Loss not allowed (X)	
disposed Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	also not reported (Z)	Additional information
HYLIION HOLDINGS CORP. / CUSIP: 449109107 / Symbo	ol: (cont'd)					
0.079	0.24	03/25/21	0.86	•••	-0.62	24 of 27 - Sale <sup>25</sup>
7.000	21.63	04/05/21	79.03		-57.40	25 of 27 - Sale <sup>25</sup>
0.997	3.08	04/05/21	11.25		-8.17	26 of 27 - Sale <sup>25</sup>
0.861	2.66	04/05/21	9.72	***	-7.06	27 of 27 - Sale <sup>25</sup>
05/23/22 58.756	181.55	Various	905.84		-724.29	Total of 27 transactions
Security total:	390.43		2,345.05		-1,954.62	
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V1	06 / Symbol:					
42 transactions for 01/24/	22. Total proceeds a	and cost repo	rted to the IRS.			
5.697	5.15	03/04/21	36.06		-30.91	1 of 42 - Sale <sup>25</sup>
3.992	3.61	03/04/21	32.82		-29.21	2 of 42 - Sale <sup>25</sup>
3.844	3.47	03/04/21	30.34	•••	-26.87	3 of 42 - Sale <sup>25</sup>
3.624	3.27	03/04/21	26.64	•••	-23.37	4 of 42 - Sale <sup>25</sup>
2.662	2.40	03/04/21	20.20	•••	-17.80	5 of 42 - Sale <sup>25</sup>
2.219	2.00	03/04/21	17.28		-15.28	6 of 42 - Sale <sup>25</sup>
2.156	1.95	03/04/21	16.46		-14.51	7 of 42 - Sale <sup>25</sup>
1.670	1.51	03/04/21	13.53		-12.02	8 of 42 - Sale <sup>25</sup>
1.777	1.60	03/04/21	13.49		-11.89	9 of 42 - Sale <sup>25</sup>
1.507	1.36	03/04/21	12.26		-10.90	10 of 42 - Sale <sup>25</sup>
1.420	1.28	03/04/21	10.50		-9.22	11 of 42 - Sale <sup>25</sup>
1.305	1.18	03/04/21	9.58		-8.40	12 of 42 - Sale <sup>25</sup>
1.100	0.99	03/04/21	8.15		-7.16	13 of 42 - Sale <sup>25</sup>
0.564	0.51	03/04/21	4.43		-3.92	14 of 42 - Sale <sup>25</sup>
0.432	0.39	03/04/21	3.37		-2.98	15 of 42 - Sale <sup>25</sup>
0.180	0.16	03/04/21	1.48	***	-1.32	16 of 42 - Sale <sup>25</sup>
0.171	0.15	03/04/21	1.40	***	-1.25	17 of 42 - Sale <sup>25</sup>
0.165	0.15	03/04/21	1.28		-1.13	18 of 42 - Sale <sup>25</sup>
0.090	0.08	03/04/21	0.74		-0.66	19 of 42 - Sale <sup>25</sup>
0.067	0.06	03/04/21	0.53		-0.47	20 of 42 - Sale <sup>25</sup>
0.021	0.02	03/04/21	0.17	···	-0.15	21 of 42 - Sale <sup>25</sup>
9.199	8.31	03/23/21	70.80		-62.49	22 of 42 - Sale <sup>25</sup>
6.810	6.15	03/23/21	50.37	···	-44.22	23 of 42 - Sale <sup>25</sup>
3.595	3.25	03/23/21	26.65	···	-23.40	24 of 42 - Sale <sup>25</sup>
2.320	2.10	03/23/21	17.30		-15.20	25 of 42 - Sale <sup>25</sup>

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

#### **Proceeds from Broker and Barter Exchange Transactions**

Account 584222624

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/01/2023

## LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

1a- Description of property/	CUSIP/Symbol						
1c- Date		1d- Proceeds &			1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or		6- Reported		1e- Cost or	1g- Wash sale loss	7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	also not reported (Z)	Additional information
DEANOMICS, INC. COMMC	N STOCK / CUSIP: 45166	V106 / Symbol: (cont'c	)				
	1.945	1.76	03/23/21	15.40		-13.64	26 of 42 - Sale <sup>25</sup>
	1.405	1.27	03/23/21	10.56	***	-9.29	27 of 42 - Sale <sup>25</sup>
	1.272	1.15	03/23/21	10.05		-8.90	28 of 42 - Sale 25
	1.148	1.04	03/23/21	8.76		-7.72	29 of 42 - Sale <sup>25</sup>
	1.116	1.01	03/23/21	8.71		-7.70	30 of 42 - Sale 25
	0.998	0.90	03/23/21	7.40		-6.50	31 of 42 - Sale <sup>25</sup>
	0.998	0.90	03/23/21	7.35		-6. <i>4</i> 5	32 of 42 - Sale <sup>25</sup>
	0.575	0.52	03/23/21	4.25		-3.73	33 of 42 - Sale <sup>25</sup>
	0.429	0.39	03/23/21	3.17		-2.78	34 of 42 - Sale <sup>25</sup>
	0.363	0.33	03/23/21	2.78		-2 <i>.4</i> 5	35 of 42 - Sale <sup>25</sup>
	0.281	0.25	03/23/21	2.13		-1.88	36 of 42 - Sale 25
	0.157	0.14	03/23/21	1.36	***	-1.22	37 of 42 - Sale <sup>25</sup>
	0.154	0.14	03/23/21	1.34	***	-1.20	38 of 42 - Sale <sup>25</sup>
	0.124	0.11	03/23/21	0.93	***	-0.82	39 of 42 - Sale <sup>25</sup>
	0.092	0.08	03/23/21	0.81		-0.73	40 of 42 - Sale <sup>25</sup>
	0.041	0.04	03/23/21	0.30		-0.26	41 of 42 - Sale <sup>25</sup>
	0.015	0.01	03/23/21	0.12	***	-0.11	42 of 42 - Sale <sup>25</sup>
01/24/22	67.697	61.14	Various	511.25	···	-450.11	Total of 42 transactions
UCID GROUP, INC. COMM	ON STOCK / CUSIP: 5494	198103 / Symbol:					
	24 transactions for 05/	11/22. Total proceeds a	nd cost repor	rted to the IRS.			
	3.710	50.83	03/18/21	100.00		-49.17	1 of 24 - Sale <sup>25</sup>
	1.817	24.90	03/18/21	49.97		-25.07	2 of 24 - Sale <sup>25</sup>
	1.826	25.02	03/18/21	50.00	•••	-24.98	3 of 24 - Sale <sup>25</sup>
	1.853	25.38	03/18/21	50.00		-24.62	4 of 24 - Sale <sup>25</sup>
	1.778	24.36	03/18/21	48.87		-24.51	5 of 24 - Sale <sup>25</sup>
	0.268	3.67	03/18/21	7.39		-3.72	6 of 24 - Sale <sup>25</sup>
	0.041	0.57	03/18/21	1.13		-0.56	7 of 24 - Sale <sup>25</sup>
	0.001	0.01	03/18/21	0.03		-0.02	8 of 24 - Sale <sup>25</sup>
	3.772	51.69	03/19/21	100.00		-48.31	9 of 24 - Sale <sup>25</sup>
	3.457	47.37	03/22/21	121.34	···	-73.97	10 of 24 - Sale <sup>25</sup>
	2.398	32.86	03/22/21	63.59		-30.73	11 of 24 - Sale <sup>25</sup>
	1.000	13.70	03/22/21	35.12		-21. <i>4</i> 2	12 of 24 - Sale <sup>25</sup>
	0.373	5.11	03/22/21	13.10		-7.99	13 of 24 - Sale <sup>25</sup>
	0.170	2.33	03/22/21	5.96	•••	-3.63	14 of 24 - Sale <sup>25</sup>

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

#### **Proceeds from Broker and Barter Exchange Transactions**

Account 584222624

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/01/2023

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)
Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)
"Gain or loss (-)" is NOT reported to the IRS.

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et		1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LUCID GROUP, INC. CO	MMON STOCK / CUSIP: 5494	198103 / Symbol: (cont	'd)				
	0.155	2.12	03/22/21	5.43		-3.31	15 of 24 - Sale <sup>25</sup>
	0.002	0.02	03/22/21	0.07	•••	-0.05	16 of 24 - Sale <sup>25</sup>
	7.707	105.61	03/23/21	200.00		-94.39	17 of 24 - Sale <sup>25</sup>
	7.979	109.34	03/23/21	200.00	***	-90.66	18 of 24 - Sale <sup>25</sup>
	3.990	54.68	03/23/21	100.00		-45.32	19 of 24 - Sale <sup>25</sup>
	3.994	54.72	03/23/21	100.00		-45.28	20 of 24 - Sale <sup>25</sup>
	6.999	95.90	04/16/21	139.90	•••	-44.00	21 of 24 - Sale <sup>25</sup>
	0.506	6.93	04/16/21	10.10	•••	-3.17	22 of 24 - Sale <sup>25</sup>
	4.998	68.48	04/19/21	94.95		-26.47	23 of 24 - Sale <sup>25</sup>
	0.161	2.20	04/19/21	3.05		-0.85	24 of 24 - Sale <sup>25</sup>
05/11/22	58.953	807.80	Various	1,500.00		-692.20	Total of 24 transactions
NIO INC. AMERICAN DE	POSITARY S HARES, EACH	REPRESENTING ONE	C LASS A O	RDINARY SHAR	E / CUSIP: 62914V106 / Sym	bol:	
	4 transactions for 02/2	3/22. Total proceeds ar	nd cost reporte	ed to the IRS.			
	3.766	77.23	12/02/20	166.40	***	-89.17	1 of 4 - Sale <sup>25</sup>
	0.563	11.54	12/02/20	27.73	•••	-16.19	2 of 4 - Sale 25
	0.498	10.22	12/14/20	19.93		-9.71	3 of 4 - Sale <sup>25</sup>
	0.049	1.01	12/14/20	2.03		-1.02	4 of 4 - Sale <sup>25</sup>
02/23/22	4.876	100.00	Various	216.09		-116.09	Total of 4 transactions
NANO DIMENSION LTD.	. AMERICAN D EPOSITARY S	HARES / CUSIP: 6300	8G203 / Syml	bol:			
	10 transactions for 05/	10/22. Total proceeds a	and cost repor	ted to the IRS.			
	3.345	8.33	01/20/21	38.08		-29.75	1 of 10 - Sale <sup>25</sup>
	8.000	19.92	01/28/21	113.12		-93.20	2 of 10 - Sale 25
	13.000	32.37	02/05/21	188.57		-156.20	3 of 10 - Sale <sup>25</sup>
	0.784	1.95	02/05/21	11.37		-9. <i>4</i> 2	4 of 10 - Sale 25
	0.004	0.01	02/05/21	0.06		-0.05	5 of 10 - Sale <sup>25</sup>
	2.998	7.46	02/18/21	41.87		-34.41	6 of 10 - Sale <sup>25</sup>
	0.402	1.00	02/18/21	5.61		-4.61	7 of 10 - Sale <sup>25</sup>
	0.216	0.54	02/18/21	3.01		-2.47	8 of 10 - Sale <sup>25</sup>
	1.908	4.75	02/22/21	24.99		-20.24	9 of 10 - Sale <sup>25</sup>
	0.858	2.14	02/22/21	11.24		-9.10	10 of 10 - Sale <sup>25</sup>
05/10/22	31.515	78.47	Various	437.92		-359.45	Total of 10 transactions

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

#### **Proceeds from Broker and Barter Exchange Transactions**

Account 584222624

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/01/2023

## LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

1a- Description of prop	perty/CUSIP/Symbol						
1c- Date		1d- Proceeds &	41 5 4		1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or	O	6- Reported		1e- Cost or	1g- Wash sale loss	7- Loss not allowed (X)	A dditional information
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	also not reported (Z)	Additional information
NANO DIMENSION LT	D. AMERICAN D EPOSITARY S	HARES / CUSIP: 6300	8G203 / Symb	ool: (cont'd)			
	11 transactions for 05/2	23/22. Total proceeds a	nd cost repor	ted to the IRS.			
	1.077	2.96	02/22/21	14.10	•••	-11.14	1 of 11 - Sale <sup>25</sup>
	0.014	0.04	02/22/21	0.19		-0.15	2 of 11 - Sale <sup>25</sup>
	11.964	32.91	02/23/21	127.92		-95.01	3 of 11 - Sale <sup>25</sup>
	9.945	27.36	02/23/21	99.75		-72.39	4 of 11 - Sale <sup>25</sup>
	6.742	18.54	02/23/21	72.99		<i>-54.45</i>	5 of 11 - Sale <sup>25</sup>
	2.386	6.56	02/23/21	26.00		-19.44	6 of 11 - Sale <sup>25</sup>
	0.025	0.07	02/23/21	0.25		-0.18	7 of 11 - Sale <sup>25</sup>
	10.770	29.62	03/03/21	99.68		-70.06	8 of 11 - Sale <sup>25</sup>
	2.667	7.34	03/03/21	23.41		-16.07	9 of 11 - Sale <sup>25</sup>
	0.390	1.07	03/03/21	3.42		-2.35	10 of 11 - Sale <sup>25</sup>
	0.035	0.10	03/03/21	0.32		-0.22	11 of 11 - Sale <sup>25</sup>
05/23/22	46.015	126.57	Various	468.03		-341.46	Total of 11 transactions
	3 transactions for 06/14	1/22. Total proceeds ar	nd cost reporte	ed to the IRS.			
	8.030	22.49	03/03/21	70.51		-48.02	1 of 3 - Sale <sup>25</sup>
	6.970	19.51	03/03/21	60.00		-40.49	2 of 3 - Sale 25
	0.303	0.85	03/03/21	2.66		-1.81	3 of 3 - Sale <sup>25</sup>
06/14/22	15.303	42.85	Various	133.17		-90.32	Total of 3 transactions
	Security total:	247.89	7 41.1040	1,039.12	•••	-791.23	
BY GROUP, INC. CO	OMMON STOCK / CUSIP: 72814			•			
	4 transactions for 06/14	•	nd cost reporte	ed to the IRS.			
	1.593	11.87	05/06/21	73.68		-61.81	1 of 4 - Sale <sup>25</sup>
	6.731	50.14	01/25/22	319.96		-269.82	2 of 4 - Sale <sup>25</sup>
	0.269	2.01	01/25/22	12.80		-10.79	3 of 4 - Sale <sup>25</sup>
	0.075	0.56	01/25/22	3.55	•••	-2.99	4 of 4 - Sale <sup>25</sup>
06/14/22	8.667	64.58	Various	409.99	•••	-345.41	Total of 4 transactions
			various	403.33	•••	17.070	Total of 4 transactions
KUTEKKA INC. COM	MON STOCK / CUSIP: 74374T1	•					
	8 transactions for 06/14	•	•				
	19.467	102.69	02/01/21	499.32		-396.63	1 of 8 - Sale <sup>25</sup>
	3.960	20.89	02/01/21	100.00		-79.11	2 of 8 - Sale <sup>25</sup>
	0.027	0.14	02/01/21	0.68		-0.54	3 of 8 - Sale <sup>25</sup>
	3.000	15.82	02/03/21	77.28		-61.46	4 of 8 - Sale <sup>25</sup>

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

### **Proceeds from Broker and Barter Exchange Transactions**

Account 584222624

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/01/2023

## LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

1a- Description of property/CUS	SIP/Symbol						
1c- Date		1d- Proceeds &			1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or		6- Reported		1e- Cost or	1g- Wash sale loss	7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	also not reported (Z)	Additional information
PROTERRA INC. COMMON STO	OCK / CUSIP: 74374T10	9 / Symbol: (cont'd)					
	0.880	4.64	02/03/21	22.69		-18.05	5 of 8 - Sale <sup>25</sup>
	0.001	0.01	02/03/21	0.03		-0.02	6 of 8 - Sale <sup>25</sup>
	0.363	1.92	02/18/21	8.68		-6.76	7 of 8 - Sale <sup>25</sup>
	0.328	1.73	02/18/21	7.87		-6.14	8 of 8 - Sale <sup>25</sup>
06/14/22	28.027	147.84	Various	716.55		-568.71	Total of 8 transactions
10/05/22	7.660	43.36	02/18/21	183.45		-140.09	Sale <sup>25</sup>
	Security total:	191.20		900.00	***	-708.80	
SKILLZ INC. / CUSIP: 83067L10	9 / Symbol:						
	28 transactions for 05/23	3/22. Total proceeds a	and cost rep	orted to the IRS.			
	15.663	26.94	03/11/21	407.37		-380.43	1 of 28 - Sale <sup>25</sup>
	3.870	6.66	03/11/21	100.00	***	-93.34	2 of 28 - Sale <sup>25</sup>
	3.874	6.67	03/11/21	100.00	***	-93.33	3 of 28 - Sale <sup>25</sup>
	3.885	6.68	03/11/21	100.00	***	-93.32	4 of 28 - Sale <sup>25</sup>
	0.218	0.38	03/11/21	5.67		-5.29	5 of 28 - Sale <sup>25</sup>
	6.000	10.32	03/19/21	144.09		-133.77	6 of 28 - Sale <sup>25</sup>
	1.662	2.86	03/19/21	40.00		-37.14	7 of 28 - Sale <sup>25</sup>
	0.246	0.42	03/19/21	5.91		-5. <i>4</i> 9	8 of 28 - Sale <sup>25</sup>
	3.998	6.88	03/23/21	96.64		-89.76	9 of 28 - Sale <sup>25</sup>
	0.139	0.24	03/23/21	3.36		-3.12	10 of 28 - Sale <sup>25</sup>
	3.997	6.88	03/24/21	85.34		-78.46	11 of 28 - Sale <sup>25</sup>
	0.687	1.18	03/24/21	14.66		-13.48	12 of 28 - Sale <sup>25</sup>
	2.998	5.16	03/25/21	56.81		-51.65	13 of 28 - Sale <sup>25</sup>
	0.169	0.29	03/25/21	3.19		-2.90	14 of 28 - Sale <sup>25</sup>
	4.994	8.59	04/05/21	96.98		-88.39	15 of 28 - Sale <sup>25</sup>
	0.156	0.27	04/05/21	3.02		-2.75	16 of 28 - Sale <sup>25</sup>
	5.340	9.19	04/07/21	100.00		-90.81	17 of 28 - Sale <sup>25</sup>
	3.000	5.16	04/08/21	51.56		-46.40	18 of 28 - Sale <sup>25</sup>
	0.259	0.44	04/08/21	4.44		-4.00	19 of 28 - Sale <sup>25</sup>
	4.994	8.59	04/14/21	82.00		-73.41	20 of 28 - Sale <sup>25</sup>
	0.549	0.94	04/14/21	9.00		-8.06	21 of 28 - Sale <sup>25</sup>
	7.844	13.50	04/20/21	100.00		-86.50	22 of 28 - Sale <sup>25</sup>
	5.000	8.60	04/28/21	94.74		-86.1 <i>4</i>	23 of 28 - Sale <sup>25</sup>
	0.276	0.47	04/28/21	5.22		-4.75	24 of 28 - Sale <sup>25</sup>

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

### **Proceeds from Broker and Barter Exchange Transactions**

Account 584222624

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/01/2023

# LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12) "Gain or loss (-)" is NOT reported to the IRS.

1a- Description of proper	rty/CUSIP/Symbol						
1c- Date sold or		1d- Proceeds & 6- Reported		1e- Cost or	1f- Accrued mkt disc (D) & 1g- Wash sale loss	Gain or loss(-) & 7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	also not reported (Z)	Additional information
SKILLZ INC. / CUSIP: 830	067L109 / Symbol: (cont'd)						
	0.002	0.00	04/28/21	0.04		-0.04	25 of 28 - Zero proceeds / negative sale <sup>25</sup>
	2.999	5.16	07/15/21	78.02		-72.86	26 of 28 - Sale <sup>25</sup>
	0.343	0.59	07/15/21	8.93		-8.34	27 of 28 - Sale <sup>25</sup>
	0.001	0.00	07/15/21	0.02	•••	-0.02	28 of 28 - Zero proceeds / negative sale 25
05/23/22	83.163	143.06	Various	1,797.01		-1,653.95	Total of 28 transactions
SUNWORKS, INC. COM	MON STOCK / CUSIP: 86803>	(204 / Symbol:					
	13 transactions for 01/2	24/22. Total proceeds a	and cost repor	ted to the IRS.			
	7.990	15.58	02/16/21	207.05		-191.47	1 of 13 - Sale <sup>25</sup>
	1.873	3.65	02/16/21	48.06		-44.41	2 of 13 - Sale <sup>25</sup>
	0.577	1.13	02/16/21	14.83		-13.70	3 of 13 - Sale <sup>25</sup>
	0.218	0.43	02/16/21	5.64		-5.21	4 of 13 - Sale <sup>25</sup>
	0.078	0.15	02/16/21	1.99		-1.84	5 of 13 - Sale <sup>25</sup>
	0.037	0.07	02/16/21	0.97		-0.90	6 of 13 - Sale <sup>25</sup>
	0.016	0.03	02/16/21	0.41		-0.38	7 of 13 - Sale <sup>25</sup>
	0.010	0.02	02/16/21	0.25		-0.23	8 of 13 - Sale <sup>25</sup>
	3.372	6.58	03/04/21	54.92		-48.34	9 of 13 - Sale <sup>25</sup>
	0.590	1.15	03/04/21	9.60		-8. <i>4</i> 5	10 of 13 - Sale <sup>25</sup>
	0.410	0.80	03/04/21	6.63		-5.83	11 of 13 - Sale <sup>25</sup>
	0.020	0.04	03/04/21	0.33		-0.29	12 of 13 - Sale <sup>25</sup>
	0.011	0.02	03/04/21	0.18		-0.16	13 of 13 - Sale <sup>25</sup>
01/24/22	15.203	29.65	Various	350.86		-321.21	Total of 13 transactions
ESLA, INC. COMMON S	STOCK / CUSIP: 88160R101 /	Symbol:					
	4 transactions for 10/12	2/22. Total proceeds ar	nd cost reporte	ed to the IRS.			
	0.214	45.68	10/30/20	27.79		17.89	1 of 4 - Sale <sup>25</sup>
	1.389	295.75	10/30/20	178.21		117.54	2 of 4 - Sale <sup>25</sup>
	2.611	555.95	10/30/20	338.33		217.62	3 of 4 - Sale <sup>25</sup>
	3.000	638.77	10/30/20	387.61		251.16	4 of 4 - Sale <sup>25</sup>
10/12/22	7.214	1,536.15	Various	931.94	···	604.21	Total of 4 transactions

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

### **Proceeds from Broker and Barter Exchange Transactions**

Account 584222624

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/01/2023

## LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et		1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ESLA, INC. COMMON	N STOCK / CUSIP: 88160R101 /	Symbol: (cont'd)					
	3 transactions for 10/13	3/22. Total proceeds an	d cost reporte	ed to the IRS.			
	0.018	3.73	10/30/20	2.29		1.44	1 of 3 - Sale <sup>25</sup>
	1.593	332.12	10/30/20	204.41		127.71	2 of 3 - Sale <sup>25</sup>
	2.407	501.75	10/30/20	307.61		194.14	3 of 3 - Sale <sup>25</sup>
10/13/22	4.018	837.60	Various	514.31	•••	323.29	Total of 3 transactions
	5 transactions for 11/08						
	0.593	111.75	10/30/20	75.81		35.94	1 of 5 - Sale <sup>25</sup>
	0.411	78.21	11/10/20	55.39		22.82	2 of 5 - Sale <sup>25</sup>
	1.000	190.25	11/10/20	134.76		55.49	3 of 5 - Sale <sup>25</sup>
	3.000	565.19	11/10/20	410.99		154.20	4 of 5 - Sale <sup>25</sup>
	3.777	711.66	11/10/20	509.03		202.63	5 of 5 - Sale <sup>25</sup>
11/08/22	8.782	1,657.06	Various	1,185.98		471.08	Total of 5 transactions
	7 transactions for 11/09	9/22. Total proceeds an	d cost reporte				
	0.010	1.86	11/10/20	1.34	···	0.52	1 of 7 - Sale <sup>25</sup>
	0.160	29.86	11/10/20	21.53		8.33	2 of 7 - Sale <sup>25</sup>
	0.162	29.88	11/10/20	21.54		8.34	3 of 7 - Sale <sup>25</sup>
	0.642	121.58	11/10/20	86.48		35.10	4 of 7 - Sale <sup>25</sup>
	0.990	185.03	11/10/20	131.74		53.29	5 of 7 - Sale <sup>25</sup>
	1.848	330.96	11/10/20	245.89		85.07	6 of 7 - Sale <sup>25</sup>
	0.469	83.98	11/13/20	63.84		20.14	7 of 7 - Sale <sup>25</sup>
11/09/22	4.280	783.15	Various	572.36	•••	210.79	Total of 7 transactions
	Security total:	4,813.96		3,204.59		1,609.37	
OLTA INC. / CUSIP: 9	92873V102 / Symbol:						
	11 transactions for 06/2	14/22. Total proceeds a	nd cost repor	ted to the IRS.			
	15.000	23.72	02/09/21	236.63		-212.91	1 of 11 - Sale <sup>25</sup>
	0.662	1.05	02/09/21	10.44		-9.39	2 of 11 - Sale 25
	0.059	0.09	02/09/21	0.93		-0.84	3 of 11 - Sale 25
	12.949	20.47	02/11/21	200.00		-179.53	4 of 11 - Sale 25
	6.523	10.31	02/11/21	99.97		-89.66	5 of 11 - Sale <sup>25</sup>
	6.609	10.45	02/11/21	100.00		-89.55	6 of 11 - Sale 25
	5.992	9.47	02/11/21	92.34		-82.87	7 of 11 - Sale <sup>25</sup>
	3.366	5.33	02/11/21	49.98		-44.65	8 of 11 - Sale <sup>25</sup>

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

### **Proceeds from Broker and Barter Exchange Transactions**

Account 584222624

2022 1099-B\* OMB No. 1545-0715

(continued)

02/01/2023

## LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

1a- Description of property/CUS	SIP/Symbol						
1c- Date		1d- Proceeds &			1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or		6- Reported		1e- Cost or	1g- Wash sale loss	7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	also not reported (Z)	Additional information
VOLTA INC. / CUSIP: 92873V10	2 / Symbol: (cont'd)						
	0.498	0.79	02/11/21	7.66		-6.87	9 of 11 - Sale <sup>25</sup>
	0.002	0.00	02/11/21	0.03		-0.03	10 of 11 - Zero proceeds / negative sale <sup>25</sup>
	0.001	0.00	02/11/21	0.02		-0.02	11 of 11 - Zero proceeds / negative sale <sup>25</sup>
06/14/22	51.662	81.68	Various	798.00		-716.32	Total of 11 transactions
ZUORA, INC. / CUSIP: 98983V10	06 / Symbol:						
	11 transactions for 10/05/	22. Total proceeds a	and cost repo	orted to the IRS.			
	8.579	65.50	02/22/21	140.95	***	<i>-75.45</i>	1 of 11 - Sale <sup>25</sup>
	6.099	46.57	02/22/21	100.00	•••	<i>-53.4</i> 3	2 of 11 - Sale <sup>25</sup>
	6.502	49.64	02/23/21	100.00	•••	-50.36	3 of 11 - Sale <sup>25</sup>
	9.838	75.11	03/09/21	139.89	•••	<i>-64.78</i>	4 of 11 - Sale <sup>25</sup>
	3.942	30.09	03/09/21	65.20	•••	-35.11	5 of 11 - Sale <sup>25</sup>
	0.162	1.24	03/09/21	2.68	•••	-1.44	6 of 11 - Sale <sup>25</sup>
	0.065	0.49	03/09/21	1.07	•••	-0.58	7 of 11 - Sale <sup>25</sup>
	0.058	0.45	03/09/21	0.96	•••	-0.51	8 of 11 - Sale <sup>25</sup>
	6.649	50.76	03/11/21	100.00	•••	-49.24	9 of 11 - Sale <sup>25</sup>
	<i>4.</i> 265	32.56	03/12/21	60.00		-27.44	10 of 11 - Sale <sup>25</sup>
	5.533	<i>4</i> 2.25	05/12/21	80.00		-37.75	11 of 11 - Sale <sup>25</sup>
10/05/22	51.692	394.66	Various	790.75		-396.09	Total of 11 transactions
GENIUS SPORTS LIMITED / CU	SIP: G3934V109 / Symbo	ol:					
	4 transactions for 06/14/2	<ol><li>Total proceeds ar</li></ol>	nd cost repor	ted to the IRS.			
	12.000	30.85	12/08/20	138.00		-107.15	1 of 4 - Sale <sup>25</sup>
	1.000	2.57	12/08/20	11.53	•••	-8.96	2 of 4 - Sale <sup>25</sup>
	3.000	7.71	12/16/20	37.98		-30.27	3 of 4 - Sale <sup>25</sup>
	20.000	51.42	01/07/21	317.00		-265.58	4 of 4 - Sale <sup>25</sup>
06/14/22	36.000	92.55	Various	504.51		-411.96	Total of 4 transactions

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

- ugc

Account 584222624

Robinhood Markets Inc. as agent for Robinhood Securities LLC

**1099-B\*** OMB No. 1545-0715

### **Proceeds from Broker and Barter Exchange Transactions**

(continued)

02/01/2023

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

la- Description of property/CUSII	P/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et		1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
STONECO LTD. CLASS A COMM	ON SH ARES / CUSI	P: G85158106 / Symbo	ol:				
3	transactions for 10/12	2/22. Total proceeds an	d cost reporte	ed to the IRS.			
	11.998	123.76	08/17/21	599.46		-475.70	1 of 3 - Sale <sup>25</sup>
	0.009	0.09	08/17/21	0.45		-0.36	2 of 3 - Sale <sup>25</sup>
	0.002	0.02	08/17/21	0.09		-0.07	3 of 3 - Sale <sup>25</sup>
10/12/22	12.009	123.87	Various	600.00		-476.13	Total of 3 transactions
NANO-X IMAGING LTD ORDINAR	RY SH ARES / CUSIP	: M70700105 / Symbol:					
1	6 transactions for 01/2	24/22. Total proceeds a	nd cost repor	ted to the IRS.			
	0.719	7.08	02/16/21	61.73		-54.65	1 of 16 - Sale <sup>25</sup>
	0.560	5.52	02/16/21	57.83		-52.31	2 of 16 - Sale <sup>25</sup>
	0.481	4.74	02/16/21	47.33		-42.59	3 of 16 - Sale <sup>25</sup>
	0.556	<i>5.4</i> 8	02/16/21	46.82		-41.34	4 of 16 - Sale <sup>25</sup>
	0.464	4.57	02/16/21	39.26		-34.69	5 of 16 - Sale <sup>25</sup>
	0.317	3.12	02/16/21	31.11		-27.99	6 of 16 - Sale <sup>25</sup>
	0.328	3.24	02/16/21	28.19		<i>-24.95</i>	7 of 16 - Sale <sup>25</sup>
	0.170	1.67	02/16/21	17.54		-15.87	8 of 16 - Sale <sup>25</sup>
	0.186	1.83	02/16/21	17.57		-15.74	9 of 16 - Sale <sup>25</sup>
	0.112	1.11	02/16/21	11.14		-10.03	10 of 16 - Sale <sup>25</sup>
	0.090	0.89	02/16/21	8.80		-7.91	11 of 16 - Sale <sup>25</sup>
	0.042	0.41	02/16/21	4.24		-3.83	12 of 16 - Sale <sup>25</sup>
	0.016	0.16	02/16/21	1.58		-1.42	13 of 16 - Sale <sup>25</sup>
	0.006	0.06	02/16/21	0.59		-0.53	14 of 16 - Sale <sup>25</sup>
	0.004	0.04	02/16/21	0.42		-0.38	15 of 16 - Sale <sup>25</sup>
	0.003	0.03	02/16/21	0.33		-0.30	16 of 16 - Sale <sup>25</sup>
01/24/22	4.055	39.95	Various	374.48		-334.53	Total of 16 transactions
Totals:		11,016.77		28,013.91	1,302.07 W	-15,695.07	

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

### **Detail for Dividends and Distributions**

Account 584222624

2022 02/01/2023

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. There is also a holder level criteria for a dividend to be treated as Qualified. If the payment is from a common stock you are required to have held it for more than 60 days during the 121-day period that begins 60 days before the ex-dividend date of the dividend. If the dividend is from preferred shares and covers a period greater than 366 days, you must have held the preferred stock for more than 90 days during the 181-day period that begins 90 days before the ex-dividend date of the dividend.

Amounts presented in Box 2e as Section 897 Ordinary Dividends or Box 2f as Section 897 Capital Gains apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. If you are a US citizen, you should disregard this.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
APPLE INC. COMMON STOCK	037833100		02/10/22	6.78	Qualified dividend	
			05/12/22	6.94	Qualified dividend	
			08/11/22	6.94	Qualified dividend	
			11/10/22	6.94	Qualified dividend	
				27.60	Total Dividends & distributions	
STARBUCKS CORPORATION COMMON S	855244109		02/25/22	10.24	Qualified dividend	
TOCK			05/27/22	11.22	Qualified dividend	
			08/26/22	13.67	Qualified dividend	
			11/25/22	14.79	Qualified dividend	
				49.92	Total Dividends & distributions	
				77.52	Total Dividends & distributions	

ge 41 of

46

Robinhood Markets Inc. as agent for
Robinhood Securities LLC
Detail for Miscellaneous Information

2022

Account 584222624

Detail for Miscellaneous Information
02/01/2023

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
US Dollars	USDOLLARS	02/02/22	0.96	Other income	
		•	0.96	Total Miscellaneous income	

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
/largin charge		01/18/22	-5.00	Margin interest paid	
		01/18/22	-68.58	Margin interest paid	
		02/14/22	-5.00	Margin interest paid	
		02/14/22	-63.26	Margin interest paid	
		03/16/22	-5.00	Margin interest paid	
		03/16/22	-54.51	Margin interest paid	
		04/18/22	-5.00	Margin interest paid	
		04/18/22	-59.56	Margin interest paid	
		05/16/22	-5.00	Margin interest paid	
		05/16/22	-64.13	Margin interest paid	
		06/14/22	-5.00	Margin interest paid	
		06/14/22	-58.03	Margin interest paid	
		07/14/22	-5.00	Margin interest paid	
		07/14/22	-69.45	Margin interest paid	
		08/15/22	-5.00	Margin interest paid	
		08/15/22	-86.36	Margin interest paid	
		09/12/22	-5.00	Margin interest paid	
		09/12/22	-95.56	Margin interest paid	
		10/12/22	-5.00	Margin interest paid	
		10/12/22	-102.65	Margin interest paid	
		11/11/22	-5.00	Margin interest paid	
		11/11/22	-86.81	Margin interest paid	
		12/12/22	-5.00	Margin interest paid	
		12/12/22	-81.77	Margin interest paid	
		-	-950.67	Total Margin interest paid	
		-	-950.67	Total Margin interest paid	

Page 43 of

46

Robinhood Markets Inc. as agent for
Robinhood Securities LLC
Non-Reportable Actions

2022

Account 584222624

Non-Reportable Actions

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
DRAFTKINGS INC. CLASS A COMMON STOCK	26142R104	05/05/22	-33.37	0.00	Exchange	
DRAFTKINGS INC. CLASS A COMMON STOCK	26142V105	05/05/22	33.37	0.00	Exchange	

46

Robinhood Markets Inc. as agent for Robinhood Securities LLC

### **2022 End Notes for Account 584222624**

25 Tax lot closed on a first in first out basis.

#### Common Instructions for Recipient

Recipient's taxpaver identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS

Account number. May show an account or other unique number the payer assigned to distinguish your account

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

#### Keep tax documents for your records.

#### 1099-DIV Instructions for Recipient

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

Line 1b. Shows the portion of the amount in Line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in Lines 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Form 1040.

Line 2b. Shows the portion of the amount in Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2c. Shows the portion of the amount in Line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

Line 2d. Shows the portion of the amount in Line 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2e. Shows the portion of the amount in Line 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

Line 2f. Shows the portion of the amount in Line 2a that is section 897 gain attributable to disposition of LISRPI

Note: Lines 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in Line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in Line 1a. Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit

on Form 1040 or 1040-SR. See the Instructions for Form 1040. Line 8. This Line should be left blank if a RIC reported the foreign tax shown in Line 7.

#### Instructions for Recipient

Lines 9 and 10. Show cash and noncash liquidation distributions.

amount is included in Line 12. See the Instructions for Form 6251.

Line 11. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You may also have a filing requirement. See the Instructions for Form

Line 12. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040SR for where to report. This amount may be subject to backup withholding. See Line 4 above. Line 13. Shows exempt-interest dividends subject to the alternative minimum tax. This

Lines 14-16. State income tax withheld reporting Lines.

Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

#### 1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or nonSection 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount. see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1a. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550, If box 5 is checked, box 1g may be blank. Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the

noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b. 1e. 1f. 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

#### 1099-MISC Instructions for Recipient

Line 2. Report royalties from oil, gas, or mineral properties; copyrights; and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the Schedule E (Form 1040) instructions. For royalties on timber, coal, and iron ore, see Pub 544

Line 3. Generally, report this amount on the "Other income" line on Form 1040 (or Form 1040NR) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).

Line 4. See "Backup Withholding" section.

Line 8. Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040).

Line 13. If the FATCA filing requirement box is checked, the paver is reporting on this Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You may also have a filing requirement. See the Instructions for Form

Lines 16-18. Show state or local income tax withheld from the payments.

Future developments. For the latest information about developments related to Form 1099-MISC and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099MISC.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

This page intentionally left blank.

0.00 0.00

### Robinhood Markets Inc. as agent for **Robinhood Crypto LLC**

85 Willow Rd

Menlo Park, CA 94025

Customer Service: 650-940-2700

Tax Information
Account 584222624C

Sumanth Thaneeru 8457 N 61st Ln Glendale, AZ 85302

RECIPIENT'S TIN: XXX-XX-5730

## PAYER'S TIN: 46-4364776

11 - [ ] FATCA filing requirement (see instructions)		Summar	y Information
DIVIDENDS AND DISTRIBUTIONS 2022 109	99-DIV*	OMB No. 1545-0110	MISCELLANEOUS INFORMA
1a- Total ordinary dividends (includes lines 1b, 5, 2e)		0.00	2- Royalties
1b- Qualified dividends		0.00	3- Other income
2a- Total capital gain distributions (includes lines 2b, 2c, 2d	l, 2f)	0.00	4- Federal income tax withheld
2b- Unrecaptured Section 1250 gain		0.00	8- Substitute payments in lieu of divi
2c- Section 1202 gain		0.00	• •
2d- Collectibles (28%) gain		0.00	SECTION 1256 CONTRACTS
2e- Section 897 ordinary dividends		0.00	
2f- Section 897 capital gain		0.00	8- Profit or (loss) realized in 2022 on
3- Nondividend distributions		0.00	9- Unrealized profit or (loss) on oper
4- Federal income tax withheld		0.00	10- Unrealized profit or (loss) on oper
5- Section 199A dividends		0.00	11- Aggregate profit or (loss) on contr
6- Investment expenses		0.00	If applicable, proceeds from sale to
8- Foreign country or US possession: 7- Foreign tax pa	aid:	0.00	detailed in subsequent sections of
9- Cash liquidation distributions		0.00	* This is important tax information and is bei
10- Noncash liquidation distributions		0.00	•
12- Exempt-interest dividends (includes line 13)		0.00	If you are required to file a return, a neglige
13- Specified private activity bond interest dividends (AMT)		0.00	you if this income is taxable and the IRS dete

#### 13 - [ ] FATCA filing requirement (see instructions) **2022 1099-MISC\*** OMB No. 1545-0115 IATION

Statement Date: 02/01/2023

0.00 ividends or interest 0.00 2022 1099-B\* OMB No. 1545-0715 on closed contracts 0.00 en contracts-12/31/2021 0.00

en contracts-12/31/2022 0.00 ntracts 0.00 transactions appear summarized below and are

of this document. eing furnished to the Internal Revenue Service. gence penalty or other sanction may be imposed on etermines that it has not been reported.

#### SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	B (basis not reported to the IRS)	544.64	166.28	0.00	0.00	378.36
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	544.64	166.28	0.00	0.00	378.36
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	544.64	166.28	0.00	0.00	378.36
Withholding		Amount				
Federal income	tax withheld	0.00				

Account 584222624C

Robinhood Markets Inc. as agent for **Robinhood Crypto LLC** 

### **Summary Information**

2022 (continued) 02/01/2023

INTEREST INCOME

2022 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

Interest income (not included in line 3)     Early withdrawal penalty	0.00 0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
Tax-exempt obligations (covered lots)	0.00
Tax-exempt private activity obligations (AMT, covered lots)	0.00
14- Tax-exempt and tax credit bond CUSIP number	
FATCA filing requirement [ ]	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid Taxable accrued Treasury interest paid Tax-exempt accrued interest paid (AMT) Taxable accrued interest paid (AMT) Taxable accrued nonqualified interest paid Tax-exempt accrued nonqualified interest paid Tax-exempt accrued nonqualified interest paid Tax-exempt accrued nonqualified interest paid (AMT) Nonqualified interest Tax-exempt nonqualified interest Tax-exempt nonqualified interest (AMT) Interest shortfall on contingent payment debt Bond premium- Non Treasury obligations (noncovered lots) Bond premium- Treasury obligations (noncovered lots) Bond premium- Tax-exempt obligations (noncovered lots)	0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.0
,	

#### STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

#### ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

#### RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions Other Receipts & Reconciliations- Foreign tax paid- partnership Other Receipts & Reconciliations- Return of principal Other Receipts & Reconciliations- Deferred income payment Other Receipts & Reconciliations- Deemed premium Other Receipts & Reconciliations- Income accrual- UIT Other Receipts & Reconciliations- Basis adjustments Other Receipts & Reconciliations- Foreign tax pd beyond treaty Fees & Expenses- Margin interest Fees & Expenses- Dividends paid on short position Fees & Expenses- Interest paid on short position	0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.0
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

#### Robinhood Markets Inc. as agent for **Robinhood Crypto LLC**

### **Proceeds from Broker and Barter Exchange Transactions**

02/01/2023

Account 584222624C

2022 1099-B\* OMB No. 1545-0715

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - IXI Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

#### SHORT TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)

Report on Form 8949, Part I with Box B checked, Basis is NOT provided to the IRS, (Line 12)

"Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS.

1a- Description of pro	perty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et		Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	
Dogecoin / CUSIP: DO	GEUSD / Symbol:						
	10 transactions for 01/2	21/22. Total proceeds a	are reported to	o the IRS.			
	1,141.000	167.01	02/03/21	36.91		130.10	1 of 10 - Sale <sup>25</sup>
	11.000	1.61	02/04/21	0.56	•••	1.05	2 of 10 - Sale <sup>25</sup>
	39.000	5.71	02/04/21	1.98	•••	3.73	3 of 10 - Sale <sup>25</sup>
	2,145.000	313.96	02/04/21	100.03	•••	213.93	4 of 10 - Sale <sup>25</sup>
	43.000	6.29	02/05/21	1.99	•••	4.30	5 of 10 - Sale <sup>25</sup>
	40.000	5.85	02/09/21	2.97	•••	2.88	6 of 10 - Sale <sup>25</sup>
	50.000	7.32	02/09/21	3.94	•••	3.38	7 of 10 - Sale <sup>25</sup>
	128.000	18.74	02/09/21	8.98	•••	9.76	8 of 10 - Sale <sup>25</sup>
	14.000	2.05	02/11/21	0.99	•••	1.06	9 of 10 - Sale <sup>25</sup>
	110.000	16.10	02/11/21	7.93	•••	8.17	10 of 10 - Sale <sup>25</sup>
01/21/22	3,721.000	544.64	Various	166.28	•••	378.36	Total of 10 transactions
Totals :		544.64		166.28		378.36	

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for Robinhood Crypto LLC

### 2022 End Notes for Account 584222624C

25 Tax lot closed on a first in first out basis.

#### Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

#### Keep tax documents for your records.

#### 1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or nonSection 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

#### Instructions for Recipient

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a harter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to 1009/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

age 5 of

This page intentionally left blank.