Robinhood Markets Inc 85 Willow Road Menlo Park, CA 94025 650-940-2700



Konda reddy Lingaladinne 4140 Three Oaks Dr Troy, MI 48098

Master Account Number for Import: 557613056

Document ID for Import: P2V2U11N4CY

Enclosed is your 2022 Consolidated Tax Statement. 1099 Tax Forms for the following accounts are included in this package:

557613056 - Robinhood Securities LLC 557613056C - Robinhood Crypto LLC

**New for 2022 Tax Year** - Where applicable, 1099 Tax Forms for Robinhood Securities, Robinhood Crypto, and Robinhood Money are being consolidated into one package and reported under our parent entity, Robinhood Markets Inc. We have done this to ensure all forms that you need are provided at the same time in this consolidated package.

Important - Please utilize the Master Account Number and Document ID listed above if filing through tax service providers such as TurboTax or H&R Block. The Document ID is necessary in order to directly import your 1099 data into the tax software so that you do not need to manually enter each transaction. This year there is only one Master Account Number and Document ID that needs to be used to import all of your data across all accounts and 1099s (including crypto). Please note that tax software providers may have limits on the total number of transactions that can be directly imported using the Document ID.

**CSVs** - In addition, a CSV file which includes the same information that is reported on your 1099 forms will be provided. The CSV is not a separate 1099. It is being provided as a supplement in a format that may be more helpful if you have a large number of transactions or if you are not using the Document ID to import all of your Securities, Crypto and Money activity. Tax software providers may have limits on the total number of transactions that can be uploaded and CSV files may not be compatible with tax service providers such as TurboTax or H&R Block.

**Robinhood Retirements Accounts** - If you had any reportable distributions or contributions within a Robinhood Retirement account, your 2022 tax forms 1099-R and/or 5498 will be delivered separately. Retirement accounts will be reported under Robinhood Securities LLC and will have their own unique Doc IDs for import to tax software providers such as TurboTax or H&R Block.



85 Willow Road Menlo Park, CA 94025

Customer Service: 650-940-2700

### **Tax Information** Account 557613056

Konda reddy Lingaladinne 4140 Three Oaks Dr Troy, MI 48098

RECIPIENT'S TIN: XXX-XX-5299

# PAYER'S TIN: 46-4364776

11 - [ ] FATCA filing requirement (see instructions)	Summary Info
DIVIDENDS AND DISTRIBUTIONS 2022 1099-DIV*	OMB No. 1545-0110
1a- Total ordinary dividends (includes lines 1b, 5, 2e)	14.57
1b- Qualified dividends	14.57
2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)	0.00
2b- Unrecaptured Section 1250 gain	0.00
2c- Section 1202 gain	0.00
2d- Collectibles (28%) gain	0.00
2e- Section 897 ordinary dividends	0.00
2f- Section 897 capital gain	0.00
3- Nondividend distributions	0.00
4- Federal income tax withheld	0.00
5- Section 199A dividends	0.00
6- Investment expenses	0.00
8- Foreign country or US possession: 7- Foreign tax paid:	0.00
9- Cash liquidation distributions	0.00 * Th
10- Noncash liquidation distributions	0.00 If w
12- Exempt-interest dividends (includes line 13)	0.00
13- Specified private activity bond interest dividends (AMT)	0.00

#### formation 13 - [ ] FATCA filing requirement (see instructions)

OMB No. 1545-01
0.00
0.00
0.00
0.00

Statement Date: 02/13/2023

SECTION 1256 CONTRACTS	2022 1099-B*	OMB No. 1545-0715
8- Profit or (loss) realized in 2022 on close	ed contracts	0.00
9- Unrealized profit or (loss) on open cont	racts-12/31/2021	0.00
10- Unrealized profit or (loss) on open cont	racts-12/31/2022	0.00
11- Aggregate profit or (loss) on contracts		0.00

If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.

### SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	27,064.31	26,990.06	0.00	558.83	633.08
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	27,064.31	26,990.06	0.00	558.83	633.08
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	27,064.31	26,990.06	0.00	558.83	633.08
Withholding		Amount				
Federal income	e tax withheld	0.00				

his is important tax information and is being furnished to the Internal Revenue Service. you are required to file a return, a negligence penalty or other sanction may be imposed on u if this income is taxable and the IRS determines that it has not been reported.

Robinhood Markets Inc. as agent for
Robinhood Securities LLC
Summary Information
(continued)

Account 557613056

### INTEREST INCOME

### 2022 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

Interest income (not included in line 3)     Early withdrawal penalty	0.03 0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
Tax-exempt obligations (covered lots)	0.00
Tax-exempt private activity obligations (AMT, covered lots)	0.00
14- Tax-exempt and tax credit bond CUSIP number	See detail
FATCA filing requirement []	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid Taxable accrued Treasury interest paid Tax-exempt accrued interest paid (AMT) Tax-exempt accrued interest paid (AMT) Taxable accrued nonqualified interest paid Tax-exempt accrued nonqualified interest paid Tax-exempt accrued nonqualified interest paid Tax-exempt accrued nonqualified interest paid (AMT) Nonqualified interest Tax-exempt nonqualified interest Bond premium- Non Treasury obligations (noncovered lots) Bond premium- Treasury obligations (noncovered lots) Bond premium- Tax-exempt obligations (noncovered lots)	0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.0
Bond premium- Treasury obligations (noncovered lots) Bond premium- Tax-exempt obligations (noncovered lots) Bond premium- Tax-exempt obligations (AMT, noncovered lots) Market discount (noncovered lots)	
,	0.00

### STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

### ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year Acquisition premium (covered lots)	0.00 0.00
Acquisition premium (covered lots)  Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

### RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	176.61
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

### **Proceeds from Broker and Barter Exchange Transactions**

02/13/2023

2022 1099-B\* OMB No. 1545-0715

Account 557613056

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - IXI Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949. Part I with Box A checked. Basis is provided to the IRS. (Line 12) "Gain or loss (-)" is NOT reported to the IRS.

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	
DYEN N.V. UNSPONSO	ORED ADR (NE THERLANDS	) / CUSIP: 00783V104	/ Symbol:				
08/03/22	1.000	18.24	04/12/22	18.25		-0.01	Sale <sup>25</sup>
LPHABET INC. CLASS	A COMMON S TOCK / CUSI	P: 02079K305 / Symbo	ol:				
	3 transactions for 08/3	31/22. Total proceeds a	and cost repor	ted to the IRS.			
	6.000	654.06	06/07/22	700.99	···	-46.93	1 of 3 - Sale <sup>25</sup>
	0. <b>42</b> 1	45.91	06/07/22	49.20		-3.29	2 of 3 - Sale <sup>25</sup>
	0.000	0.01	06/07/22	0.01		0.00	3 of 3 - Sale <sup>25</sup>
08/31/22	6.421	699.98	Various	750.20		-50.22	Total of 3 transactions
MAZON.COM, INC. CO	MMON STOCK / CUSIP: 023	135106 / Symbol:					
	3 transactions for 07/0	05/22. Total proceeds a	and cost repor	ted to the IRS.			
	0.000	0.03	06/22/22	0.03	•••	0.00	1 of 3 - Sale <sup>25</sup>
	0.190	21.27	06/22/22	21.02		0.25	2 of 3 - Sale <sup>25</sup>

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpavers are ultimately responsible for the accuracy of their tax return(s).

## **Proceeds from Broker and Barter Exchange Transactions**

Account 557613056

**1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of pro	pperty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMAZON.COM, INC.	COMMON STOCK / CUSIP: 023	135106 / Symbol: (cor	nt'd)				
	5.000	560.46	06/22/22	553.95		6.51	3 of 3 - Sale <sup>25</sup>
07/05/22	5.190	581.76	Various	575.00		6.76	Total of 3 transactions
	3 transactions for 07/2	20/22. Total proceeds a	and cost repo	rted to the IRS.			
	0.002	0.21	07/18/22	0.20	···	0.01	1 of 3 - Sale <sup>25</sup>
	0.141	17.22	07/18/22	16.53		0.69	2 of 3 - Sale <sup>25</sup>
	20.998	2,558.58	07/18/22	2,456.27		102.31	3 of 3 - Sale <sup>25</sup>
07/20/22	21.141	2,576.01	Various	2,473.00		103.01	Total of 3 transactions
	4 transactions for 07/2	28/22. Total proceeds a	and cost repo	rted to the IRS.			
	0.175	23.65	07/28/22	21.28		2.37	1 of 4 - Sale <sup>25</sup>
	0.237	31.94	07/28/22	28.72		3.22	2 of 4 - Sale <sup>25</sup>
	0.412	55.55	07/28/22	50.00		5.55	3 of 4 - Sale <sup>25</sup>
	0.412	55.60	07/28/22	50.00		5.60	4 of 4 - Sale <sup>25</sup>
07/28/22	1.237	166.74	Various	150.00		16.74	Total of 4 transactions
	Security total:	3,324.51		3,198.00		126.51	
APPLE INC. COMMO	N STOCK / CUSIP: 037833100 /	Symbol:					
	3 transactions for 07/	15/22. Total proceeds a	and cost repo	rted to the IRS.			
	0.001	0.11	05/17/22	0.11		0.00	1 of 3 - Sale <sup>25</sup>
	0.714	106.75	05/17/22	106.33		0.42	2 of 3 - Sale <sup>25</sup>
	6.000	897.18	05/17/22	893.56		3.62	3 of 3 - Sale <sup>25</sup>
07/15/22	6.715	1,004.04	Various	1,000.00		4.04	Total of 3 transactions
08/03/22	0.940	154.90	07/28/22	150.00		4.90	Sale <sup>25</sup>
	Security total:	1,158.94		1,150.00		8.94	
BAKER HUGHES COI	MPANY CLASS A C OMMON S	TOCK / CUSIP: 057220	G100 / Symbo	ol:			
	2 transactions for 06/	07/22. Total proceeds a	and cost repo	rted to the IRS.			
	0.887	33.32	04/12/22	32.42		0.90	1 of 2 - Sale <sup>25</sup>
	1.000	37.58	04/12/22	36.58		1.00	2 of 2 - Sale <sup>25</sup>
06/07/22	1.887	70.90	Various	69.00		1.90	Total of 2 transactions
	NCES, INC. COM MON STOCK			23.00			
	*		,	<b></b>			0 1 25
06/29/22	35.461	62.07	05/25/22	50.00		12.07	Sale <sup>25</sup>

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# **Proceeds from Broker and Barter Exchange Transactions**

Account 557613056

**1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1c- Date	1	d- Proceeds &		_	1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or disposed	Quantity (	6- Reported 3)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Additional information
•	NY / CUSIP: 191216100 / Symbol:	,			,		
04/27/22	5.970	389.25	04/25/22	400.00		-10.75	Sale <sup>25</sup>
	ASS A COMMON STOCK / CUSIP: 20						
05/17/22	6.503	123.49	05/09/22	137.34		-13.85	Sale <sup>25</sup>
JO/11/22	4 transactions for 05/17/22.					10.00	Odio
	2.985	56.68	05/06/22	73.00	16.32 W	0.00	1 of 4 - Sale <sup>25</sup>
	0.071	1.35	05/06/22	1.74	0.39 W	0.00	2 of 4 - Sale <sup>25</sup>
	3.996	75.89	05/06/22	98.26	22.37 W	0.00	3 of 4 - Sale <sup>25</sup>
	0.348	6.62	05/09/22	7.36	0.74 W	0.00	4 of 4 - Sale <sup>25</sup>
05/17/22	7.400	140.54	Various	180.36	39.82 W	0.00	Total of 4 transactions
	6 transactions for 06/24/22.						
	3.996	96.29	05/09/22	106.77		-10.48	1 of 6 - Sale <sup>25</sup>
	2.488	59.94	05/09/22	66.15	•••	-6.21	2 of 6 - Sale <sup>25</sup>
	0.497	11.98	05/09/22	13.21		-1.23	3 of 6 - Sale <sup>25</sup>
	0.071	1.71	05/09/22	1.89		-0.18	4 of 6 - Sale <sup>25</sup>
	0.348	8.40	05/09/22	8.10		0.30	5 of 6 - Sale <sup>25</sup>
	33.097	797.45	05/09/22	699.00		98.45	6 of 6 - Sale <sup>25</sup>
06/24/22	40.497	975.77	Various	895.12	•••	80.65	Total of 6 transactions
	Security total:	1,239.80		1,212.82	39.82 W	66.80	
OSTCO WHOLESAL	E CORPORATION C OMMON STOCK	( / CUSIP: 2216	0K105 / Symbo	ol:			
4/27/22	0.117	64.93	04/20/22	70.00		-5.07	Sale <sup>25</sup>
XXON MOBIL CORP	ORATION / CUSIP: 30231G102 / Sym	bol:					
	2 transactions for 05/06/22.		and cost report	ted to the IRS.			
	0.158	14.27	04/29/22	13.63		0.64	1 of 2 - Sale <sup>25</sup>
	1.000	90.35	04/29/22	86.37		3.98	2 of 2 - Sale <sup>25</sup>
05/06/22	1.158	104.62	Various	100.00		4.62	Total of 2 transactions
				100.00		4.02	Total of 2 transactions
ETA PLATFUKMS, I	NC. CLASS A C OMMON STOCK / CU		•				
	2 transactions for 05/06/22.	•	•				
	0.376	77.69	04/27/22	65.28	***	12.41	1 of 2 - Sale <sup>25</sup>
	0.499	103.06	04/27/22	89.00	***	14.06	2 of 2 - Sale <sup>25</sup>
05/06/22	0.875	180.75	Various	154.28	•••	26.47	Total of 2 transactions

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## **Proceeds from Broker and Barter Exchange Transactions**

Account 557613056

**1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1d- Proceeds & 6- Reported   1b- Date of disposed   1g- Wash sale loss of disposed   1g- Wash sale loss of displayed   1g- Wash sale loss of the IRS.    1g- Wash sale loss of displayed   1g- Wash sale loss of the IRS.   1g- Wash	1a- Description of property/CUSI	P/Symbol						
GITLAB INC. CLASS A COMMON STO CK / CUSIP: 37637K108 / Symbol:  3 transactions for 10/26/22. Total proceeds and cost reported to the IRS.  0.068 3.29 10/17/22 2.96 0.33 1 of 3 - Sale 25 0.425 20.78 10/17/22 18.50 2.28 2 of 3 - Sale 25 12.932 626.28 10/17/22 566.54 59.74 3 of 3 - Sale 25 10/26/22 13.425 650.35 Various 588.00 62.35 Total of 3 transactions  5 transactions for 12/12/22. Total proceeds and cost reported to the IRS.  0.419 20.22 10/28/22 20.10 0.12 1 of 5 - Sale 25 9.988 482.09 10/28/22 479.90 2.19 2 of 5 - Sale 25 0.873 42.14 11/29/22 36.23 5.91 3 of 5 - Sale 25 0.873 42.14 11/29/22 145.08 30.04 4 of 5 - Sale 25 7.841 378.48 11/30/22 300.00 78.48 5 of 5 - Sale 25 12/12/22 2.749 1,098.05 Various 981.31 116.74 Total of 5 transactions  2 transactions for 12/12/22. Total proceeds and cost reported to the IRS.  2 transactions for 12/12/22. Total proceeds and cost reported to the IRS.  2 transactions for 12/12/22. Total proceeds and cost reported to the IRS.  2 transactions for 12/12/22. Total proceeds and cost reported to the IRS.  2 transactions for 12/12/22. Total proceeds and cost reported to the IRS.  2 transactions for 12/12/22. Total proceeds and cost reported to the IRS.  2 transactions for 12/12/22. Total proceeds and cost reported to the IRS.  1 1,315.39 10/26/22 1,356.54 38.77 W -2.38 1 of 2 - Sale 25 0.873 42.15 10/26/22 43.46 1.31 W 0.00 2 of 2 - Sale 25 12/12/22 2.88.125 1,357.54 Various 1,400.00 40.08 W -2.38 Total of 2 transactions	sold or	Quantity	6- Reported			1g- Wash sale loss	7- Loss not allowed (X)	Additional information
3 transactions for 10/26/22. Total proceeds and cost reported to the IRS.  0.068 3.29 10/17/22 2.96 0.33 1 of 3 - Sale <sup>25</sup> 0.425 20.78 10/17/22 18.50 52.8 2 of 3 - Sale <sup>25</sup> 12.932 626.28 10/17/22 566.54 59.74 3 of 3 - Sale <sup>25</sup> 10/26/22 13.425 650.35 Various 588.00 62.35 Total of 3 transactions  5 transactions for 12/12/22. Total proceeds and cost reported to the IRS.  0.419 20.22 10/28/22 20.10 0.12 1 of 5 - Sale <sup>25</sup> 9.988 482.09 10/28/22 479.90 2.19 2 of 5 - Sale <sup>25</sup> 0.873 42.14 11/29/22 36.23 5.91 2 of 5 - Sale <sup>25</sup> 3.628 175.12 11/29/22 145.08 30.04 4 of 5 - Sale <sup>25</sup> 7.841 378.48 11/30/22 300.00 78.48 5 of 5 - Sale <sup>25</sup> 12/12/22 22.749 1,098.05 Various 981.31 116.74 Total of 5 transactions  2 transactions for 12/12/22. Total proceeds and cost reported to the IRS.  2.7.251 1,315.39 10/26/22 43.46 1.31 W 0.00 2 of 2 - Sale <sup>25</sup> 0.873 42.15 10/26/22 43.46 1.31 W 0.00 2 of 2 - Sale <sup>25</sup> 12/12/22 28.125 1,357.54 Various 1,400.00 40.08 W -2.38 Total of 2 transactions		I STO CK / CUSIP: 3763	37K108 / Symbol:				,	
10/26/22 13.425 20.78 10/17/22 18.50 2.28 2 of 3 - Sale <sup>25</sup> 12.932 626.28 10/17/22 566.54 59.74 3 of 3 - Sale <sup>25</sup> 10/26/22 13.425 650.35 Various 588.00 62.35 Total of 3 transactions  5 transactions for 12/12/22. Total proceeds and cost reported to the IRS.  0.419 20.22 10/28/22 20.10 0.12 1 of 5 - Sale <sup>25</sup> 9.988 482.09 10/28/22 479.90 2.19 2 of 5 - Sale <sup>25</sup> 0.873 42.14 11/29/22 36.23 5.91 3 of 5 - Sale <sup>25</sup> 3.628 175.12 11/29/22 145.08 30.04 4 of 5 - Sale <sup>25</sup> 7.841 378.48 11/30/22 300.00 78.48 5 of 5 - Sale <sup>25</sup> 12/12/22 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2			•	and cost repo	rted to the IRS.			
10/26/22 13.425 626.28 10/17/22 566.54 59.74 3 of 3 - Sale <sup>25</sup> 10/26/22 13.425 650.35 Various 588.00 62.35 Total of 3 transactions  5 transactions for 12/12/22. Total proceeds and cost reported to the IRS.  0.419 20.22 10/28/22 20.10 0.12 1 of 5 - Sale <sup>25</sup> 9.988 482.09 10/28/22 479.90 2.19 2 of 5 - Sale <sup>25</sup> 0.873 42.14 11/29/22 36.23 5.91 3 of 5 - Sale <sup>25</sup> 3.628 175.12 11/29/22 145.08 30.04 4 of 5 - Sale <sup>25</sup> 7.841 378.48 11/30/22 300.00 78.48 5 of 5 - Sale <sup>25</sup> 12/12/22 2.2749 1,098.05 Various 981.31 116.74 Total of 5 transactions  2 transactions for 12/12/22. Total proceeds and cost reported to the IRS.  27.251 1,315.39 10/26/22 1,356.54 38.77 W -2.38 1 of 2 - Sale <sup>25</sup> 0.873 42.15 10/26/22 43.46 1.31 W 0.00 2 of 2 - Sale <sup>25</sup> 12/12/22 28.125 1,357.54 Various 1,400.00 40.08 W -2.38 Total of 2 transactions		0.068	3.29	10/17/22	2.96		0.33	1 of 3 - Sale <sup>25</sup>
10/26/22 13.425 626.28 10/17/22 566.54 59.74 3 of 3 - Sale <sup>25</sup> 10/26/22 13.425 650.35 Various 588.00 62.35 Total of 3 transactions  5 transactions for 12/12/22. Total proceeds and cost reported to the IRS.  0.419 20.22 10/28/22 20.10 0.12 1 of 5 - Sale <sup>25</sup> 9.988 482.09 10/28/22 479.90 2.19 2 of 5 - Sale <sup>25</sup> 0.873 42.14 11/29/22 36.23 5.91 3 of 5 - Sale <sup>25</sup> 3.628 175.12 11/29/22 145.08 30.04 4 of 5 - Sale <sup>25</sup> 7.841 378.48 11/30/22 300.00 78.48 5 of 5 - Sale <sup>25</sup> 12/12/22 2.2749 1,098.05 Various 981.31 116.74 Total of 5 transactions  2 transactions for 12/12/22. Total proceeds and cost reported to the IRS.  27.251 1,315.39 10/26/22 1,356.54 38.77 W -2.38 1 of 2 - Sale <sup>25</sup> 0.873 42.15 10/26/22 43.46 1.31 W 0.00 2 of 2 - Sale <sup>25</sup> 12/12/22 28.125 1,357.54 Various 1,400.00 40.08 W -2.38 Total of 2 transactions		0. <b>4</b> 25	20.78	10/17/22	18.50		2.28	2 of 3 - Sale <sup>25</sup>
10/26/22		12.932	626.28	10/17/22	566.54		59.74	
0.419       20.22       10/28/22       20.10        0.12       1 of 5 - Sale 25         9.988       482.09       10/28/22       479.90        2.19       2 of 5 - Sale 25         0.873       42.14       11/29/22       36.23        5.91       3 of 5 - Sale 25         3.628       175.12       11/29/22       145.08        30.04       4 of 5 - Sale 25         7.841       378.48       11/30/22       300.00        78.48       5 of 5 - Sale 25         12/12/22       22.749       1,098.05       Various       981.31        116.74       Total of 5 transactions         2 transactions for 12/12/22. Total proceeds and cost reported to the IRS.         27.251       1,315.39       10/26/22       1,356.54       38.77 W       -2.38       1 of 2 - Sale 25         0.873       42.15       10/26/22       43.46       1.31 W       0.00       2 of 2 - Sale 25         12/12/22       28.125       1,357.54       Various       1,400.00       40.08 W       -2.38       Total of 2 transactions	10/26/22	13.425	650.35	Various	588.00	···	62.35	
9.988 482.09 10/28/22 479.90 2.19 2 of 5 - Sale <sup>25</sup> 0.873 42.14 11/29/22 36.23 5.91 3 of 5 - Sale <sup>25</sup> 3.628 175.12 11/29/22 145.08 30.04 4 of 5 - Sale <sup>25</sup> 7.841 378.48 11/30/22 300.00 78.48 5 of 5 - Sale <sup>25</sup> 12/12/22 2.749 1,098.05 Various 981.31 116.74 Total of 5 transactions 2 transactions for 12/12/22. Total proceeds and cost reported to the IRS.  27.251 1,315.39 10/26/22 1,356.54 38.77 W -2.38 1 of 2 - Sale <sup>25</sup> 0.873 42.15 10/26/22 43.46 1.31 W 0.00 2 of 2 - Sale <sup>25</sup> 12/12/22 28.125 1,357.54 Various 1,400.00 40.08 W -2.38 Total of 2 transactions	5	transactions for 12/12/2	22. Total proceeds a	and cost repo	rted to the IRS.			
0.873       42.14       11/29/22       36.23        5.91       3 of 5 - Sale 25         3.628       175.12       11/29/22       145.08        30.04       4 of 5 - Sale 25         7.841       378.48       11/30/22       300.00        78.48       5 of 5 - Sale 25         12/12/22       22.749       1,098.05       Various       981.31        116.74       Total of 5 transactions         2 transactions for 12/12/22. Total proceeds and cost reported to the IRS.         27.251       1,315.39       10/26/22       1,356.54       38.77 W       -2.38       1 of 2 - Sale 25         0.873       42.15       10/26/22       43.46       1.31 W       0.00       2 of 2 - Sale 25         12/12/22       28.125       1,357.54       Various       1,400.00       40.08 W       -2.38       Total of 2 transactions		0.419	20.22	10/28/22	20.10		0.12	1 of 5 - Sale <sup>25</sup>
3.628 175.12 11/29/22 145.08 30.04 4 of 5 - Sale <sup>25</sup> 7.841 378.48 11/30/22 300.00 78.48 5 of 5 - Sale <sup>25</sup> 12/12/22 22.749 1,098.05 Various 981.31 116.74 Total of 5 transactions 2 transactions for 12/12/22. Total proceeds and cost reported to the IRS.  27.251 1,315.39 10/26/22 1,356.54 38.77 W -2.38 1 of 2 - Sale <sup>25</sup> 0.873 42.15 10/26/22 43.46 1.31 W 0.00 2 of 2 - Sale <sup>25</sup> 12/12/22 28.125 1,357.54 Various 1,400.00 40.08 W -2.38 Total of 2 transactions		9.988	482.09	10/28/22	479.90		2.19	2 of 5 - Sale <sup>25</sup>
7.841 378.48 11/30/22 300.00 78.48 5 of 5 - Sale <sup>25</sup> 12/12/22 22.749 1,098.05 Various 981.31 116.74 Total of 5 transactions 2 transactions for 12/12/22. Total proceeds and cost reported to the IRS.  27.251 1,315.39 10/26/22 1,356.54 38.77 W -2.38 1 of 2 - Sale <sup>25</sup> 0.873 42.15 10/26/22 43.46 1.31 W 0.00 2 of 2 - Sale <sup>25</sup> 12/12/22 28.125 1,357.54 Various 1,400.00 40.08 W -2.38 Total of 2 transactions		0.873	42.14	11/29/22	36.23		5.91	
12/12/22       22.749       1,098.05       Various       981.31        116.74       Total of 5 transactions         2 transactions for 12/12/22. Total proceeds and cost reported to the IRS.         27.251       1,315.39       10/26/22       1,356.54       38.77 W       -2.38       1 of 2 - Sale 25         0.873       42.15       10/26/22       43.46       1.31 W       0.00       2 of 2 - Sale 25         12/12/22       28.125       1,357.54       Various       1,400.00       40.08 W       -2.38       Total of 2 transactions		3.628		11/29/22	145.08		30.04	
2 transactions for 12/12/22. Total proceeds and cost reported to the IRS.  27.251 1,315.39 10/26/22 1,356.54 38.77 W -2.38 1 of 2 - Sale <sup>25</sup> 0.873 42.15 10/26/22 43.46 1.31 W 0.00 2 of 2 - Sale <sup>25</sup> 12/12/22 28.125 1,357.54 Various 1,400.00 40.08 W -2.38 Total of 2 transactions		7.841	378. <i>4</i> 8	11/30/22	300.00		78.48	5 of 5 - Sale <sup>25</sup>
27.251 1,315.39 10/26/22 1,356.54 38.77 W -2.38 1 of 2 - Sale <sup>25</sup> 0.873 42.15 10/26/22 43.46 1.31 W 0.00 2 of 2 - Sale <sup>25</sup> 12/12/22 28.125 1,357.54 Various 1,400.00 40.08 W -2.38 Total of 2 transactions		-	,			•••	116.74	Total of 5 transactions
0.873     42.15     10/26/22     43.46     1.31 W     0.00     2 of 2 - Sale 25       12/12/22     28.125     1,357.54     Various     1,400.00     40.08 W     -2.38     Total of 2 transactions	2	transactions for 12/12/2	22. Total proceeds a	and cost repo	rted to the IRS.			
12/12/22 28.125 1,357.54 Various 1,400.00 40.08 W -2.38 Total of 2 transactions		27.251	1,315.39	10/26/22	1,356.54	38.77 W	-2.38	1 of 2 - Sale <sup>25</sup>
· ·		0.873	42.15	10/26/22	43.46	1.31 W	0.00	2 of 2 - Sale <sup>25</sup>
Security total: 3 105 04 2 060 31 40 08 W 176 71	12/12/22	28.125	1,357.54	Various	1,400.00	40.08 W	-2.38	Total of 2 transactions
Security total. 5,105.54 2,505.51 40.00 W 170.71	S <sup>,</sup>	ecurity total:	3,105.94		2,969.31	40.08 W	176.71	
NETAPP, INC. COMMON STOCK / CUSIP: 64110D104 / Symbol:	NETAPP, INC. COMMON STOCK	( / CUSIP: 64110D104 /	Symbol:					
4 transactions for 04/27/22. Total proceeds and cost reported to the IRS.	4	transactions for 04/27/2	22. Total proceeds a	and cost repo	rted to the IRS.			
0.000 0.01 04/27/22 0.01 0.00 1 of 4 - Sale <sup>25</sup>		0.000	0.01	04/27/22	0.01	•••	0.00	1 of 4 - Sale <sup>25</sup>
0.000 0.01 04/27/22 0.01 0.00 2 of 4 - Sale <sup>25</sup>		0.000	0.01	04/27/22	0.01		0.00	
0.030 2.24 04/27/22 2.21 0.03 3 of 4 - Sale <sup>25</sup>		0.030	2.24	04/27/22	2.21	***	0.03	3 of 4 - Sale <sup>25</sup>
2.000 149.79 04/27/22 147.77 2.02 4 of 4 - Sale <sup>25</sup>		2.000	149.79	04/27/22	147.77		2.02	4 of 4 - Sale <sup>25</sup>
04/27/22 2.030 152.05 Various 150.00 2.05 Total of 4 transactions	04/27/22	2.030	152.05	Various	150.00		2.05	Total of 4 transactions
NETFLIX, INC. COMMON STOCK / CUSIP: 64110L106 / Symbol:	NETFLIX, INC. COMMON STOCK	( / CUSIP: 64110L106 / :	Symbol:					
05/17/22 0.011 2.00 04/21/22 2.330.33 Sale <sup>25</sup>	05/17/22	0.011	2.00	04/21/22	2.33		-0,33	Sale <sup>25</sup>
07/28/22 0.444 100.34 04/21/22 97.67 2.67 Sale <sup>25</sup>								
Security total: 102.34 100.00 2.34				· · -				

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

### **Proceeds from Broker and Barter Exchange Transactions**

Account 557613056

2022 1099-B\* OMB No. 1545-0715

06/01/22

(continued)

02/13/2023

106.54

Total of 8 transactions

### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

7.140

1.285.94

Various

"Gain or loss (-)" is NOT reported to the IRS. 1a- Description of property/CUSIP/Symbol 1c- Date 1d- Proceeds & 1f- Accrued mkt disc (D) & Gain or loss(-) & 1e- Cost or 1q- Wash sale loss 7- Loss not allowed (X) sold or 6- Reported 1b- Date disposed Quantity (G)ross or (N)et other basis disallowed (W) also not reported (Z) Additional information acquired NVIDIA CORPORATION COMMON STOC K / CUSIP: 67066G104 / Symbol: Sale 25 05/06/22 0.000 0.01 05/02/22 0.00 0.01 Sale 25 05/06/22 0.267 49.99 05/02/22 50.11 0.12 W 0.00 4 transactions for 06/07/22. Total proceeds and cost reported to the IRS. 0.265 50.35 05/02/22 49.88 0.47 1 of 4 - Sale 25 2 of 4 - Sale 25 0.267 50.57 05/05/22 51.29 -0.723 of 4 - Sale 25 0.322 61.11 05/05/22 61.83 -0.7223.53 4 of 4 - Sale 25 0.915 173.53 150.00 05/25/22 06/07/22 1.769 335.56 Various 313.00 22.56 Total of 4 transactions 385.56 363.12 22.56 Security total: 0.12 W OKTA, INC. CLASS A COMMON STOC K / CUSIP: 679295105 / Symbol: 2 transactions for 06/03/22. Total proceeds and cost reported to the IRS. 0.368 38.86 06/01/22 31.15 7.71 1 of 2 - Sale 25 15.000 2 of 2 - Sale 25 1.581.50 06/01/22 1,268.85 312.65 06/03/22 15.368 1.620.36 Various 1.300.00 320.36 Total of 2 transactions SALESFORCE, INC. / CUSIP: 79466L302 / Symbol: 4 transactions for 05/06/22. Total proceeds and cost reported to the IRS. 0.158 26.61 04/27/22 27.24 0.63 W 0.00 1 of 4 - Sale 25 2 of 4 - Sale 25 0.020 3.39 04/27/22 3.47 0.08 W 0.00 0.158 26.79 04/27/22 27.86 1.07 W 0.00 3 of 4 - Sale 25 4 of 4 - Sale 25 0.254 0.70 W 0.00 43.21 04/27/22 43.91 05/06/22 0.590 100.00 102.48 2.48 W 0.00 Total of 4 transactions Various 8 transactions for 06/01/22. Total proceeds and cost reported to the IRS. 0.157 28.30 04/27/22 28.55 -0.251 of 8 - Sale 25 2 of 8 - Sale 25 0.001 0.10 04/27/22 0.10 0.00 0.020 3.51 04/27/22 3.49 0.02 3 of 8 - Sale 25 4 of 8 - Sale 25 0.254 45.80 04/27/22 45.17 0.63 25.38 0.74 5 of 8 - Sale 25 0.141 04/27/22 24.64 6 of 8 - Sale 25 0.140 25.27 04/27/22 24.23 1.04 0.428 7 of 8 - Sale 25 77.00 04/27/22 73.82 3.18 6.000 1.080.58 05/17/22 979.40 101.18 8 of 8 - Sale 25

1.179.40

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

### **Proceeds from Broker and Barter Exchange Transactions**

Account 557613056

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*) "Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol 1c- Date 1d- Proceeds & 1f- Accrued mkt disc (D) & Gain or loss(-) & 6- Reported 1e- Cost or 1q- Wash sale loss 7- Loss not allowed (X) sold or 1b- Date disposed Quantity (G)ross or (N)et acquired other basis disallowed (W) also not reported (Z) Additional information SALESFORCE, INC. / CUSIP: 79466L302 / Symbol: (cont'd) 2.48 W 106.54 Security total: 1.385.94 1.281.88 SERVICENOW, INC. / CUSIP: 81762P102 / Symbol: 06/29/22 0.214 102.64 04/27/22 100.00 2.64 Sale 25 SNAP INC. / CUSIP: 83304A106 / Symbol: 4 transactions for 10/25/22. Total proceeds and cost reported to the IRS. 0.299 2.79 10/20/22 2.58 0.21 1 of 4 - Sale 25 0.29 2 of 4 - Sale 25 0.212 1.98 10/20/22 1.69 0.987 9.22 10/20/22 7.89 1.33 3 of 4 - Sale 25 21.000 196.17 10/20/22 168.00 28.17 4 of 4 - Sale 25 10/25/22 22.498 210.16 Various 180.16 30.00 Total of 4 transactions 5 transactions for 10/25/22. Total proceeds and cost reported to the IRS. 1 of 5 - Sale 25 0.299 2.80 07/25/22 2.99 0.19 W 0.00 2 of 5 - Sale 25 0.004 0.03 07/25/22 0.04 0.01 W 0.00 3 of 5 - Sale 25 1.996 18.65 07/25/22 19.97 1.32 W 0.00 32.71 3.29 W 0.00 4 of 5 - Sale 25 3.502 08/04/22 36.00 0.004 0.03 0.01 W 0.00 5 of 5 - Sale 25 10/20/22 0.04 10/25/22 5.805 54.22 59.04 4.82 W 0.00 Total of 5 transactions Various Security total: 264.38 239.20 4.82 W 30.00 TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: 12 transactions for 05/06/22. Total proceeds and cost reported to the IRS. 0.005 4.47 04/12/22 5.09 0.62 W 0.00 1 of 12 - Sale 25 0.095 04/20/22 2 of 12 - Sale 25 83.06 97.78 14.72 W 0.00 3 of 12 - Sale 25 0.002 04/20/22 2.22 0.33 W 0.00 1.89 147.57 152.00 4.43 W 0.00 4 of 12 - Sale 25 0.168 04/27/22 0.000 0.28 05/03/22 0.29 0.01 W 0.00 5 of 12 - Sale 25 57.04 05/03/22 58.71 1.67 W 0.00 6 of 12 - Sale 25 0.065 0.235 205.69 05/03/22 212.30 6.61 W 0.00 7 of 12 - Sale 25 8 of 12 - Sale 25 0.005 4.44 05/03/22 5.24 0.80 W 0.00 9 of 12 - Sale 25 0.058 50.56 05/03/22 61.64 11.08 W 0.00 10 of 12 - Sale 25 0.002 1.89 05/03/22 2.28 0.39 W 0.00 11 of 12 - Sale 25 0.037 32.21 38.81 6.60 W 0.00 05/03/22

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

## **Proceeds from Broker and Barter Exchange Transactions**

Account 557613056

**1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

a- Description of pro	perty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X)	Additional information
•	N STOCK / CUSIP: 88160R101	. , . , ,	aoquirca	Other basis	disdilowed (W)	also not reported (2)	Additional information
LOLA, INC. COMMO		, ,	0=/00/00				10 110 0 1 25
	0.075	65.90	05/03/22	69.74	3.84 W	0.00	12 of 12 - Sale <sup>25</sup>
05/06/22	0.747	655.00	Various	706.10	51.10 W	0.00	Total of 12 transactions
		/07/22. Total proceeds a					
	0.000	0.01	05/09/22	0.01		0.00	1 of 4 - Sale <sup>25</sup>
	0.115	82.28	05/18/22	81.72		0.56	2 of 4 - Sale <sup>25</sup>
	1.000	716.62	05/18/22	711.76		4.86	3 of 4 - Sale <sup>25</sup>
	0.025	18.01	05/24/22	16.00		2.01	4 of 4 - Sale <sup>25</sup>
6/07/22	1.140	816.92	Various	809.49		7.43	Total of 4 transactions
	13 transactions for 0	6/07/22. Total proceeds	and cost repo	rted to the IRS.			
	0.093	66.93	05/03/22	87.00	20.07 W	0.00	1 of 13 - Sale <sup>25</sup>
	0.000	0.23	05/03/22	0.30	0.07 W	0.00	2 of 13 - Sale <sup>25</sup>
	0.065	46.61	05/03/22	60.55	13.94 W	0.00	3 of 13 - Sale <sup>25</sup>
	0.037	26.85	05/03/22	33.92	7.07 W	0.00	4 of 13 - Sale <sup>25</sup>
	0.121	86.81	05/09/22	103.40	16.59 W	0.00	5 of 13 - Sale <sup>25</sup>
	0.005	3.65	05/18/22	4.42	0.77 W	0.00	6 of 13 - Sale <sup>25</sup>
	0.002	1.54	05/18/22	1.92	0.38 W	0.00	7 of 13 - Sale <sup>25</sup>
	0.037	26.21	05/18/22	32.63	6.42 W	0.00	8 of 13 - Sale <sup>25</sup>
	0.058	41.65	05/18/22	<i>52.45</i>	10.80 W	0.00	9 of 13 - Sale <sup>25</sup>
	0.075	53.66	05/18/22	57.13	3.47 W	0.00	10 of 13 - Sale <sup>25</sup>
	0.113	81.24	05/18/22	83.88	2.64 W	0.00	11 of 13 - Sale <sup>25</sup>
	1.043	747.56	05/31/22	791.49	43.93 W	0.00	12 of 13 - Sale <sup>25</sup>
	0.000	0.09	05/31/22	0.11	0.02 W	0.00	13 of 13 - Sale <sup>25</sup>
06/07/22	1.651	1,183.03	Various	1,309.20	126.17 W	0.00	Total of 13 transactions
6/21/22	0.023	16.83	06/03/22	16.41		0.42	Sale <sup>25</sup>
	19 transactions for 0	6/21/22. Total proceeds	and cost repo	rted to the IRS.			
	0.005	3.60	05/31/22	4.52	0.92 W	0.00	1 of 19 - Sale <sup>25</sup>
	0.061	44.14	05/31/22	59.18	15.04 W	0.00	2 of 19 - Sale <sup>25</sup>
	0.033	23.67	05/31/22	31.75	8.08 W	0.00	3 of 19 - Sale <sup>25</sup>
	0.002	1.56	05/31/22	2.01	0.45 W	0.00	4 of 19 - Sale <sup>25</sup>
	0.037	26.56	05/31/22	34.18	7.62 W	0.00	5 of 19 - Sale <sup>25</sup>
	0.058	42.19	05/31/22	54.90	12.71 W	0.00	6 of 19 - Sale <sup>25</sup>
	0.000	0.23	05/31/22	0.31	0.08 W	0.00	7 of 19 - Sale <sup>25</sup>
	0.065	47.22	05/31/22	63.29	16.07 W	0.00	8 of 19 - Sale <sup>25</sup>

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

## **Proceeds from Broker and Barter Exchange Transactions**

Account 557613056

**1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of property	y/CUSIP/Symbol						
1c- Date		1d- Proceeds &			1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or		6- Reported	1b- Date	1e- Cost or	1g- Wash sale loss	7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	also not reported (Z)	Additional information
TESLA, INC. COMMON ST	OCK / CUSIP: 88160R101	/ Symbol: (cont'd)					
	0.037	27.21	05/31/22	35.50	8.29 W	0.00	9 of 19 - Sale <sup>25</sup>
	0.075	54.36	05/31/22	60.28	5.92 W	0.00	10 of 19 - Sale <sup>25</sup>
	0.121	87.95	05/31/22	108.51	20.56 W	0.00	11 of 19 - Sale <sup>25</sup>
	0.113	82.30	05/31/22	88.66	6.36 W	0.00	12 of 19 - Sale <sup>25</sup>
	0.326	236.84	05/31/22	247.53	10.69 W	0.00	13 of 19 - Sale <sup>25</sup>
	0.309	224.49	06/03/22	231.98	7.49 W	0.00	14 of 19 - Sale <sup>25</sup>
	0.005	3.60	06/03/22	4.43	0.83 W	0.00	15 of 19 - Sale <sup>25</sup>
	0.061	44.13	06/03/22	58.08	13.95 W	0.00	16 of 19 - Sale <sup>25</sup>
	0.000	0.09	06/03/22	0.11	0.02 W	0.00	17 of 19 - Sale <sup>25</sup>
	0.734	532.83	06/03/22	550.55	17.72 W	0.00	18 of 19 - Sale <sup>25</sup>
	0.000	0.16	06/03/22	0.21	0.05 W	0.00	19 of 19 - Sale <sup>25</sup>
06/21/22	2.043	1,483.13	Various	1,635.98	152.85 W	0.00	Total of 19 transactions
	6 transactions for 07/1	18/22. Total proceeds a	and cost rep	orted to the IRS.			
	0.326	242.83	06/03/22	241.66		1.17	1 of 6 - Sale <sup>25</sup>
	0.309	230.17	06/03/22	226.43		3.74	2 of 6 - Sale <sup>25</sup>
	0.023	17.25	07/15/22	16.65		0.60	3 of 6 - Sale <sup>25</sup>
	0.113	84.59	07/15/22	83.69		0.90	4 of 6 - Sale <sup>25</sup>
	0.734	546.31	07/15/22	545.00		1.31	5 of 6 - Sale <sup>25</sup>
	0.584	435.74	07/15/22	419.58		16.16	6 of 6 - Sale <sup>25</sup>
07/18/22	2.090	1,556.89	Various	1,533.01		23.88	Total of 6 transactions
	31 transactions for 07	7/18/22. Total proceeds	and cost re	ported to the IRS.			
	0.015	11.17	06/03/22	14.34	3.17 W	0.00	1 of 31 - Sale <sup>25</sup>
	0.005	3.51	06/03/22	4.13	0.62 W	0.00	2 of 31 - Sale <sup>25</sup>
	0.058	43.54	06/03/22	54.83	11.29 W	0.00	3 of 31 - Sale <sup>25</sup>
	0.017	12.94	06/03/22	16.62	3.68 W	0.00	4 of 31 - Sale <sup>25</sup>
	0.002	1.60	06/03/22	1.97	0.37 W	0.00	5 of 31 - Sale <sup>25</sup>
	0.037	27.23	06/03/22	33.52	6.29 W	0.00	6 of 31 - Sale <sup>25</sup>
	0.058	43.26	06/03/22	53.86	10.60 W	0.00	7 of 31 - Sale <sup>25</sup>
	0.000	0.24	06/03/22	0.31	0.07 W	0.00	8 of 31 - Sale <sup>25</sup>
	0.065	48.42	06/03/22	62.12	13.70 W	0.00	9 of 31 - Sale <sup>25</sup>
	0.037	27.89	06/03/22	34.82	6.93 W	0.00	10 of 31 - Sale <sup>25</sup>
	0.075	55.73	06/03/22	58.93	3.20 W	0.00	11 of 31 - Sale <sup>25</sup>
	0.121	90.17	06/03/22	106.33	16.16 W	0.00	12 of 31 - Sale <sup>25</sup>
	0.113	84.39	06/03/22	86.63	2.24 W	0.00	13 of 31 - Sale <sup>25</sup>

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

## **Proceeds from Broker and Barter Exchange Transactions**

Account 557613056

**1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of prope	erty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON :	STOCK / CUSIP: 88160R101	/ Symbol: (cont'd)	•		` ` `	,	
•	0.000	0.18	06/03/22	0.21	0.03 W	0.00	14 of 31 - Sale <sup>25</sup>
	0.015	11.17	07/15/22	13.95	2.78 W	0.00	15 of 31 - Sale <sup>25</sup>
	0.000	0.16	07/15/22	0.21	0.05 W	0.00	16 of 31 - Sale <sup>25</sup>
	0.002	1.71	07/15/22	2.18	0.47 W	0.00	17 of 31 - Sale <sup>25</sup>
	0.000	0.09	07/15/22	0.11	0.02 W	0.00	18 of 31 - Sale <sup>25</sup>
	0.000	0.18	07/15/22	0.20	0.02 W	0.00	19 of 31 - Sale <sup>25</sup>
	0.005	3.52	07/15/22	4.01	0.49 W	0.00	20 of 31 - Sale <sup>25</sup>
	0.058	43.65	07/15/22	53.31	9.66 W	0.00	21 of 31 - Sale <sup>25</sup>
	0.017	12.97	07/15/22	16.17	3.20 W	0.00	22 of 31 - Sale 25
	0.035	26.06	07/15/22	31.09	5.03 W	0.00	23 of 31 - Sale <sup>25</sup>
	0.058	43.38	07/15/22	52.36	8.98 W	0.00	24 of 31 - Sale <sup>25</sup>
	0.002	1.60	07/15/22	1.92	0.32 W	0.00	25 of 31 - Sale <sup>25</sup>
	0.002	1.24	07/15/22	1.48	0.24 W	0.00	26 of 31 - Sale 25
	0.000	0.24	07/15/22	0.30	0.06 W	0.00	27 of 31 - Sale <sup>25</sup>
	0.065	48.53	07/15/22	60.43	11.90 W	0.00	28 of 31 - Sale <sup>25</sup>
	0.037	27.96	07/15/22	33.85	5.89 W	0.00	29 of 31 - Sale <sup>25</sup>
	0.075	55.86	07/15/22	56.99	1.13 W	0.00	30 of 31 - Sale <sup>25</sup>
	0.121	90.39	07/15/22	103.19	12.80 W	0.00	31 of 31 - Sale <sup>25</sup>
07/18/22	1.099	818.98	Various	960.37	141.39 W	0.00	Total of 31 transactions
	20 transactions for 07	7/21/22. Total proceeds	and cost repo	orted to the IRS.			
	0.065	50.88	07/20/22	60.71		-9.83	1 of 20 - Sale <sup>25</sup>
	0.121	94.76	07/20/22	103.70		-8.94	2 of 20 - Sale <sup>25</sup>
	0.058	45.75	07/20/22	53.55		-7.80	3 of 20 - Sale <sup>25</sup>
	0.058	45.46	07/20/22	52.59		-7.13	4 of 20 - Sale <sup>25</sup>
	0.037	29.31	07/20/22	34.01		-4.70	5 of 20 - Sale <sup>25</sup>
	0.035	27.31	07/20/22	31.23		-3.92	6 of 20 - Sale <sup>25</sup>
	0.017	13.60	07/20/22	16.24		-2.64	7 of 20 - Sale <sup>25</sup>
	0.015	11.74	07/20/22	14.04		-2.30	8 of 20 - Sale <sup>25</sup>
	0.002	1.80	07/20/22	2.19		-0.39	9 of 20 - Sale <sup>25</sup>
	0.005	3.69	07/20/22	4.03		-0.34	10 of 20 - Sale <sup>25</sup>
	0.002	1.68	07/20/22	1.93		-0.25	11 of 20 - Sale <sup>25</sup>
	0.002	1.30	07/20/22	1.49		-0.19	12 of 20 - Sale <sup>25</sup>
	0.000	0.25	07/20/22	0.30		-0.05	13 of 20 - Sale <sup>25</sup>
	0.000	0.17	07/20/22	0.22	···	-0.05	14 of 20 - Sale <sup>25</sup>

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

### **Proceeds from Broker and Barter Exchange Transactions**

Account 557613056

2022 1099-B\* OMB No. 1545-0715

(continued)

02/13/2023

-109.71

-8.19

-81.98

-81.08

-46.29

1 of 6 - Sale <sup>25</sup> 2 of 6 - Sale <sup>25</sup>

3 of 6 - Sale 25

4 of 6 - Sale 25

5 of 6 - Sale 25

### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*) "Gain or loss (-)" is NOT reported to the IRS.

4.980

0.373

5.537

7.000

3.996

1a- Description of property/CUSIP/Symbol 1c- Date 1d- Proceeds & 1f- Accrued mkt disc (D) & Gain or loss(-) & 6- Reported 1e- Cost or 1q- Wash sale loss 7- Loss not allowed (X) sold or 1b- Date disposed Quantity (G)ross or (N)et acquired other basis disallowed (W) also not reported (Z) Additional information TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd) 0.000 0.19 07/20/22 -0.01 15 of 20 - Sale 25 0.20 0.000 0.10 07/20/22 0.11 -0.01 16 of 20 - Sale 25 17 of 20 - Sale 25 0.000 0.04 07/20/22 0.04 0.00 0.075 58.57 07/20/22 57.31 1.26 18 of 20 - Sale 25 0.802 626.82 07/20/22 601.78 25.04 19 of 20 - Sale 25 2.702 2.113.38 07/20/22 2.027.37 86.01 20 of 20 - Sale 25 07/21/22 3.998 3,126.80 3,063.04 63.76 Total of 20 transactions Various Security total: 9,657.58 10.033.60 471.51 W 95.49 TWITTER, INC. / CUSIP: 90184L102 / Symbol: Sale 25 05/06/22 1.652 82.25 04/14/22 80.00 2.25 WRAP TECHNOLOGIES, INC. COMMON STOCK / CUSIP: 98212N107 / Symbol: 2 transactions for 04/22/22. Total proceeds and cost reported to the IRS. 1 of 2 - Sale 25 0.036 0.12 04/20/22 0.02 0.10 16.801 55.95 04/20/22 49.90 6.05 2 of 2 - Sale 25 04/22/22 16.837 56.07 50.00 6.07 Total of 2 transactions Various 06/24/22 32.000 112.00 04/25/22 98.40 13.60 Sale 25 Security total: 168.07 148.40 19.67 ACCENTURE PLC / CUSIP: G1151C101 / Symbol: 2 transactions for 06/23/22. Total proceeds and cost reported to the IRS. 1 of 2 - Sale 25 3.000 852.03 06/21/22 852.36 -0.332 of 2 - Sale 25 0.520 147.58 06/21/22 147.64 -0.0606/23/22 3.520 999.61 Various 1,000.00 -0.39Total of 2 transactions SPOTIFY TECHNOLOGY S.A. / CUSIP: L8681T102 / Symbol:

485.66

36.34

500.00

609.57

347.98

6 transactions for 12/20/22. Total proceeds and cost reported to the IRS.

375.95

28.15

418.02

528.49

301.69

10/25/22

10/25/22

10/26/22

10/26/22

10/26/22

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for
Robinhood Securities LLC Proceeds from Broker and Barter Exchange Transactions

Account 557613056

Proceeds from Broker and Barter Exchange Transactions

**2022 1099-B\*** OMB No. 1545-0715 (continued) 02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of propert	y/CUSIP/Symbol									
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)				
SPOTIFY TECHNOLOGY S.A. / CUSIP: L8681T102 / Symbol: (cont'd)										
	0.488	36.82	10/26/22	42.45		-5.63	6 of 6 - Sale <sup>25</sup>			
12/20/22	22.373	1,689.12	Various	2,022.00		-332.88	Total of 6 transactions			
CERAGON NETWORKS L	TD. ORDINARY SHARES /	CUSIP: M22013102 /	Symbol:							
06/29/22	13.957	34.48	04/20/22	30.00		4.48	Sale <sup>25</sup>			
Totals :		27,064.31		26,990.06	558.83 W	633.08				

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. There is also a holder level criteria for a dividend to be treated as Qualified. If the payment is from a common stock you are required to have held it for more than 60 days during the 121-day period that begins 60 days before the ex-dividend date of the dividend is from preferred shares and covers a period greater than 366 days, you must have held the preferred stock for more than 90 days during the 181-day period that begins 90 days before the ex-dividend date of the dividend.

Amounts presented in Box 2e as Section 897 Ordinary Dividends or Box 2f as Section 897 Capital Gains apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. If you are a US citizen, you should disregard this.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
ARC DOCUMENT SOLUTIONS, INC.	00191G103		05/31/22	0.64	Qualified dividend	
			08/31/22	0.64	Qualified dividend	
			11/30/22	0.64	Qualified dividend	
				1.92	Total Dividends & distributions	
BAKER HUGHES COMPANY CLASS A C OMMON STOCK	05722G100		06/10/22	0.34	Qualified dividend	
MICROSOFT CORPORATION COMMON S	594918104		09/08/22	5.87	Qualified dividend	
TOCK			12/08/22	6.44	Qualified dividend	
				12.31	Total Dividends & distributions	
				14.57	Total Dividends & distributions	<u></u>

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC
Detail for Interest Income

02/13/2023

This section of your tax information statement contains the payment level detail of taxable interest and associated bond premium. Market discount will be shown here only if you have elected to recognize it currently rather than at the time of sale or maturity. Bond premium and market discount for covered tax lots are totaled on Form 1099-INT and reported to the IRS. For noncovered tax lots, they are totaled and presented beneath the 1099-INT for informational purposes and are not reported to the IRS.

To provide a complete picture of activity for each investment, we also include here nonreportable transactions such as accrued interest paid on purchases and payment or receipt of nonqualified interest. Other amounts, such as federal, state and foreign tax withheld and investment expenses are shown as negative amounts but do not net against the reportable income totals.

Security description WRAP TECHNOLOGIES, INC. COMMON STOCK	CUSIP and/or symbol 98212N107	<b>Date</b> 06/06/22	<b>Amount</b> 0.02	Transaction type Interest	Notes
IMPERIAL PETROLEUM INC. COMMON SHARES	Y3894J104	06/06/22	0.01	Interest	
		_	0.03	Total Interest	

2022

# **Fees and Expenses**

02/13/2023

Account 557613056

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
Margin charge		05/18/22	-5.00	Margin interest paid	
		06/17/22	-4.94	Margin interest paid	
		06/17/22	-5.00	Margin interest paid	
		07/18/22	-5.00	Margin interest paid	
		07/18/22	-5.44	Margin interest paid	
		08/15/22	-9.23	Margin interest paid	
		08/16/22	-0.31	Margin interest paid	
		08/16/22	-5.00	Margin interest paid	
		09/14/22	-16.51	Margin interest paid	
		09/15/22	-5.00	Margin interest paid	
		10/14/22	-18.82	Margin interest paid	
		10/17/22	-5.00	Margin interest paid	
		11/14/22	-5.00	Margin interest paid	
		11/14/22	-31.72	Margin interest paid	
		12/13/22	-49.64	Margin interest paid	
		12/14/22	-5.00	Margin interest paid	
		-	-176.61	Total Margin interest paid	
		•	-176.61	Total Margin interest paid	

Robinhood Markets Inc. as agent for Account 557613056 **Robinhood Securities LLC Foreign Income and Taxes Summary** 2022 02/13/2023

This schedule lists all income and foreign tax by country. Mutual Funds and Unit Investment Trusts (UITs) structured as Regulated Investment Companies (RICs) are listed separately with a country. designation of "RIC."

				Dividends			
Country	Security Description	CUSIP	Nonqualified	Qualified	Interest	Total Income	Tax
GR	IMPERIAL PETROLEUM INC. COMMON SHARES	Y3894J104	0.00	0.00	0.01	0.01	0.00
Total GREECE			0.00	0.00	0.01	0.01	0.00
Grand Total	Total Foreign Source Income* Qualified Foreign Source Income Foreign Tax	**		0.01 0.00 0.00			

<sup>\*</sup> Total Foreign Source Income utilizes the "Total" column for RICs which may not always be supplied.
\*\* Qualified Foreign Source Income utilizes the "Qualified" column for RICs which may not always be supplied.

## **2022 End Notes for Account 557613056**

25 Tax lot closed on a first in first out basis.

#### Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

#### Keep tax documents for your records.

#### 1099-DIV Instructions for Recipient

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

Line 1b. Shows the portion of the amount in Line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in Lines 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Form 1040.

**Line 2b.** Shows the portion of the amount in Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2c. Shows the portion of the amount in Line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

Line 2d. Shows the portion of the amount in Line 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

**Line 2e.** Shows the portion of the amount in Line 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

Line 2f. Shows the portion of the amount in Line 2a that is section 897 gain attributable to disposition of USRPI.

Note: Lines 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in Line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in Line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit

on Form 1040 or 1040-SR. See the Instructions for Form 1040.

Line 8. This Line should be left blank if a RIC reported the foreign tax shown in Line 7.

#### Instructions for Recipient

Lines 9 and 10. Show cash and noncash liquidation distributions.

amount is included in Line 12. See the Instructions for Form 6251.

Line 11. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You may also have a filing requirement. See the Instructions for Form 8028

Line 12. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040SR for where to report. This amount may be subject to backup withholding. See Line 4 above.

Line 13. Shows exempt-interest dividends subject to the alternative minimum tax. This

Lines 14-16. State income tax withheld reporting Lines.

Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

**Free File.** Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

#### 1099-INT Instructions for Recipient

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

Line 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2022 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

**Line 2.** Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction.

Line 3. Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium.

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Line 5. Any amount shown is your share of investment expenses of a singleclass REMIC. This amount is included in line 1. Note: This amount is not deductible. Line 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

**Line 7.** Shows the country or U.S. possession to which the foreign tax was paid. **Line 8.** Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Forms 1040 and 1040-SR. This amount may be subject to backup withholding. See Line 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

**Line 9.** Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in line 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 10. For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-0ID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in

accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includible in taxable income as interest income.

Line 11. For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this line for a taxable covered security acquired at a premium and the paver is reporting premium amortization, the paver has reported a net amount of interest in line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4). Line 12. For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 3. If the amount in line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

Line 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this line for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in line 8 or 9, whichever is applicable. If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

Line 14. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s).

Lines 15-17. State tax withheld reporting lines.

Future developments. For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to <a href="https://www.irs.gov/Form1099INT">www.irs.gov/Form1099INT</a>.

Free File. Go to <a href="https://www.irs.gov/FreeFile">www.irs.gov/FreeFile</a> to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

#### 1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or nonSection 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR)

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

**Line 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank. Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

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Instructions for Recipient

0.00

0.00

0.00

# Robinhood Markets Inc. as agent for **Robinhood Crypto LLC**

85 Willow Rd

Menlo Park, CA 94025

Customer Service: 650-940-2700

### **Tax Information** Account 557613056C

Konda reddy Lingaladinne 4140 Three Oaks Dr Troy, MI 48098

RECIPIENT'S TIN: XXX-XX-5299

# PAYER'S TIN: 46-4364776

11 - [ ] FATCA filing requirement (see instructions)	Summary In
DIVIDENDS AND DISTRIBUTIONS 2022 1099-DIV*	OMB No. 1545-0110
1a- Total ordinary dividends (includes lines 1b, 5, 2e)	0.00
1b- Qualified dividends	0.00
2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)	0.00
2b- Unrecaptured Section 1250 gain	0.00
2c- Section 1202 gain	0.00
2d- Collectibles (28%) gain	0.00
2e- Section 897 ordinary dividends	0.00
2f- Section 897 capital gain	0.00
3- Nondividend distributions	0.00
4- Federal income tax withheld	0.00
5- Section 199A dividends	0.00
6- Investment expenses	0.00
8- Foreign country or US possession: 7- Foreign tax paid:	0.00
9- Cash liquidation distributions	0.00
10- Noncash liquidation distributions	0.00
12- Exempt-interest dividends (includes line 13)	0.00 <b>If</b>
13- Specified private activity bond interest dividends (AMT)	<sub>0.00</sub> yo

## Summary Information

13 - [ ] FATCA filing requirement (see instructions) **2022 1099-MISC\*** OMB No. 1545-0115

8- Substitute payments in lieu of dividends	or interest	0.00
SECTION 1256 CONTRACTS	2022 1099-B*	OMB No. 1545-0715
<ul> <li>8- Profit or (loss) realized in 2022 on close</li> <li>9- Unrealized profit or (loss) on open contr</li> <li>10- Unrealized profit or (loss) on open contr</li> <li>11- Aggregate profit or (loss) on contracts</li> </ul>	acts-12/31/2021	0.00 0.00 0.00 0.00

Statement Date: 02/13/2023

If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.

MISCELLANEOUS INFORMATION

4- Federal income tax withheld

2- Royalties

3- Other income

### SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	B (basis not reported to the IRS)	13,405.01	13,078.19	0.00	0.00	326.82
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	13,405.01	13,078.19	0.00	0.00	326.82
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	13,405.01	13,078.19	0.00	0.00	326.82
Withholding		Amount				
Federal income	tax withheld	0.00				

This is important tax information and is being furnished to the Internal Revenue Service. you are required to file a return, a negligence penalty or other sanction may be imposed on rou if this income is taxable and the IRS determines that it has not been reported.

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#### Robinhood Markets Inc. as agent for Account 557613056C **Robinhood Crypto LLC Summary Information** 2022 (continued) 02/13/2023

### INTEREST INCOME

### 2022 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

<ul><li>1- Interest income (not included in line 3)</li><li>2- Early withdrawal penalty</li></ul>	0.00 0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
Tax-exempt obligations (covered lots)	0.00
Tax-exempt private activity obligations (AMT, covered lots)	0.00
14- Tax-exempt and tax credit bond CUSIP number	
FATCA filing requirement []	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid Taxable accrued Treasury interest paid Tax-exempt accrued interest paid (AMT) Taxable accrued nonqualified interest paid Tax-exempt nonqualified interest paid (AMT) Nonqualified interest Tax-exempt nonqualified interest Tax-exempt nonqualified interest (AMT) Interest shortfall on contingent payment debt Bond premium- Non Treasury obligations (noncovered lots) Bond premium- Treasury obligations (noncovered lots)	0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.0
Interest shortfall on contingent payment debt Bond premium- Non Treasury obligations (noncovered lots)	0.00 0.00
Bond premium- Tax-exempt obligations (noncovered lots) Bond premium- Tax-exempt obligations (AMT, noncovered lots) Market discount (noncovered lots)	0.00 0.00 0.00

### STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

### ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

### RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Markets Inc. as agent for Robinhood Crypto LLC

### **Proceeds from Broker and Barter Exchange Transactions**

02/13/2023

Account 557613056C

**2022 1099-B\*** OMB No. 1545-0715

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

### SHORT TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)

Report on Form 8949, Part I with Box B checked. Basis is NOT provided to the IRS. (Line 12)

1a- Description of	of property/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
Aave / CUSIP: AA	AVEUSD / Symbol:						
11/04/22	11.41269999999999179	1,070.99	10/29/22	978.19		92.80	Sale <sup>25</sup>
11/27/22	8.63429999999999642	537.61	11/12/22	500.09		37.52	Sale <sup>25</sup>
11/30/22	9.6903000000000058	611.07	11/28/22	580.00		31.07	Sale <sup>25</sup>
	Security total:	2,219.67		2,058.28		161.39	
Bitcoin / CUSIP: E	BTCUSD / Symbol:						
	2 transactions for 06/30	)/22. Total proceeds ar	e reported to	the IRS.			
	0.02378007999999999	447.23	06/24/22	507.91	***	-60.68	1 of 2 - Sale <sup>25</sup>
	0.01219803	229.40	06/24/22	257.54	•••	-28.14	2 of 2 - Sale <sup>25</sup>
06/30/22	0.035978	676.63	Various	765.45	•••	-88.82	Total of 2 transactions
	2 transactions for 07/18	3/22. Total proceeds ar	re reported to	the IRS.			
	0.00133104	28.81	07/18/22	28.37		0.44	1 of 2 - Sale <sup>25</sup>

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for **Robinhood Crypto LLC** 

## **Proceeds from Broker and Barter Exchange Transactions**

Account 557613056C

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5) Report on Form 8949, Part I with Box B checked. Basis is NOT provided to the IRS. (Line 12)

1a- Description	n of property/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
Bitcoin / CUSIP	2: BTCUSD / Symbol: (cont'd)	, , , , ,	-		, ,	, , ,	
07/18/22 07/21/22	0.0022 0.003531 0.04037551999999998 <b>Security total:</b>	47.64 76.45 929.92 <b>1,683.00</b>	07/18/22 Various 07/21/22	46.88 75.25 930.66 <b>1,771.36</b>	  	0.76 1.20 -0.74 <b>-88.36</b>	2 of 2 - Sale <sup>25</sup> Total of 2 transactions Sale <sup>25</sup>
Dogecoin / CUS	SIP: DOGEUSD / Symbol:						
	2 transactions for 06/26	2/22. Total proceeds ar	re reported to	the IRS.			
06/26/22	612.61000000000013642 1,555.3599999999989956 2,167.970 3 transactions for 07/22	44.83 113.82 158.65 /22. Total proceeds al	06/07/22 06/23/22 Various re reported to	49.99 100.00 149.99 the IRS.	 	-5.16 13.82 8.66	1 of 2 - Sale <sup>25</sup> 2 of 2 - Sale <sup>25</sup> Total of 2 transactions
07/22/22	750.000 1,499.000 4,951.64999999999636202 7,200.650	52.28 104.50 345.19 501.97	07/22/22 07/22/22 07/22/22 Various	52.07 104.07 343.83 499.97	  	0.21 0.43 1.36 2.00	1 of 3 - Sale <sup>25</sup> 2 of 3 - Sale <sup>25</sup> 3 of 3 - Sale <sup>25</sup> Total of 3 transactions
	5 transactions for 10/29		10/29/22	trie irks. 500.73		04.04	1 of 5 - Sale <sup>25</sup>
10/29/22	3,607.030000000000200089 11,444.260000000000218279 300.77999999999972715 86.4099999999999589 5,148.079999999992724 20,586.560 Security total:	478.92 977.46 39.94 12.11 721.45 2,229.88 <b>2,890.50</b>	10/29/22 10/29/22 10/29/22 10/29/22 10/29/22 Various	990.51 41.37 10.01 693.00 2,235.62 <b>2,885.58</b>	  	-21.81 -13.05 -1.43 2.10 28.45 -5.74 <b>4.92</b>	2 of 5 - Sale <sup>25</sup> 3 of 5 - Sale <sup>25</sup> 4 of 5 - Sale <sup>25</sup> 5 of 5 - Sale <sup>25</sup> Total of 5 transactions
Ethereum Class	sic / CUSIP: ETCUSD / Symbol:	2,000.00		2,000.00			
Ethoroum Olasc	2 transactions for 08/03	1/22 Total proceeds a	re reported to	the IRS			
08/03/22	1.22933700000000124 2.31325700000000119 3.542594 4 transactions for 08/05	45.11 84.88 129.99	07/29/22 08/03/22 Various	49.97 85.55 135.52	 	-4.86 -0.67 -5.53	1 of 2 - Sale <sup>25</sup> 2 of 2 - Sale <sup>25</sup> Total of 2 transactions
	1.38152199999999917 9.68615400000000153 1.380478000000000094	49.95 350.21 49.91	08/03/22 08/05/22 08/05/22	51.09 352.96 50.30	 	-1.14 -2.75 -0.39	1 of 4 - Sale <sup>25</sup> 2 of 4 - Sale <sup>25</sup> 3 of 4 - Sale <sup>25</sup>

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Robinhood Markets Inc. as agent for **Robinhood Crypto LLC** 

## **Proceeds from Broker and Barter Exchange Transactions**

Account 557613056C

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5) Report on Form 8949, Part I with Box B checked. Basis is NOT provided to the IRS. (Line 12)

1a- Description	of property/CUSIP/Symbol						
1c- Date		1d- Proceeds &			Accrued mkt disc (D) &	Gain or loss(-) &	
sold or		6- Reported	Date	Cost or	Wash sale loss	7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	also not reported (Z)	Additional information
Ethereum Classi	ic / CUSIP: ETCUSD / Symbol: (cont'd)						
	1.381000000000000005	49.93	08/05/22	50.32	•••	-0.39	4 of 4 - Sale <sup>25</sup>
08/05/22	13.829154	500.00	Various	504.67	•••	-4.67	Total of 4 transactions
	Security total:	629.99		640.19	•••	-10.20	
Ethereum / CUS	IP: ETHUSD / Symbol:						
	2 transactions for 07/11/2	22. Total proceeds ar	e reported	to the IRS.			
	0.18779299999999988	218.37	06/25/22	235.44		-17.07	1 of 2 - Sale <sup>25</sup>
	0.05149000000000001	59.88	06/25/22	64.56		-4.68	2 of 2 - Sale <sup>25</sup>
07/11/22	0.239283	278.25	Various	300.00		-21.75	Total of 2 transactions
	4 transactions for 10/25/2	22. Total proceeds ar	e reported	to the IRS.			
	0.02792100000000001	40.91	07/30/22	48.51		-7.60	1 of 4 - Sale <sup>25</sup>
	0.07888499999999997	115.59	08/03/22	130.68		-15.09	2 of 4 - Sale <sup>25</sup>
	0.300097000000000003	439.73	08/05/22	500.02		-60.29	3 of 4 - Sale <sup>25</sup>
	0.59309699999999985	869.05	10/22/22	780.95		88.10	4 of 4 - Sale <sup>25</sup>
10/25/22	1.000	1,465.28	Various	1,460.16		5.12	Total of 4 transactions
	2 transactions for 10/27/2	22. Total proceeds ar	e reported				
	0.07439999999999994	113.55	10/22/22	97.96		15.59	1 of 2 - Sale <sup>25</sup>
	0.33250299999999993	507.43	10/22/22	437.82		69.61	2 of 2 - Sale <sup>25</sup>
10/27/22	0.406903	620.98	Various	535.78		85.20	Total of 2 transactions
	Security total:	2,364.51		2,295.94		68.57	
Polygon / CUSIF	P: MATICUSD / Symbol:						
	9 transactions for 06/23/2	22. Total proceeds ar	e reported	to the IRS.			
	40.314000000000000057	21.66	06/07/22	25.01	•••	-3.35	1 of 9 - Sale <sup>25</sup>
	28.77149999999999631	15.46	06/23/22	14.23		1.23	2 of 9 - Sale <sup>25</sup>
	84.68449999999999886	45.50	06/23/22	41.90		3.60	3 of 9 - Sale <sup>25</sup>
	84.68449999999999886	45.50	06/23/22	41.90		3.60	4 of 9 - Sale <sup>25</sup>
	84.68449999999999886	45.50	06/23/22	41.90		3.60	5 of 9 - Sale <sup>25</sup>
	113.45600000000000307	60.63	06/23/22	56.13		4.50	6 of 9 - Sale <sup>25</sup>
	142.22749999999992042	76.42	06/23/22	70.36	···	6.06	7 of 9 - Sale <sup>25</sup>
	226.912000000000006139	121.24	06/23/22	112.26		8.98	8 of 9 - Sale <sup>25</sup>
	233.10269999999998681	124.54	06/23/22	115.32		9.22	9 of 9 - Sale <sup>25</sup>
06/23/22	1,038.8372	556.45	Various	519.01		37.44	Total of 9 transactions
06/30/22	0.47249999999999976	0.20	06/25/22	0.29		-0.09	Sale <sup>25</sup>

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Robinhood Markets Inc. as agent for **Robinhood Crypto LLC** 

# **Proceeds from Broker and Barter Exchange Transactions**

02/13/2023

Account 557613056C

2022 **1099-B\*** OMB No. 1545-0715

(continued)

SHORT TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)

Report on Form 8949, Part I with Box B checked. Basis is NOT provided to the IRS. (Line 12) "Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS.

-	of property/CUSIP/Symbol	1d Drassada 9			Assured mist diss (D) 9	Coin or loca( ) 8	
1c- Date sold or		1d- Proceeds & 6- Reported	Date	Cost or	Accrued mkt disc (D) & Wash sale loss	Gain or loss(-) & 7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	also not reported (Z)	Additional information
Polygon / CUSIP	P: MATICUSD / Symbol: (cont'd)						
07/14/22	326.000	228.25	06/25/22	199.65		28.60	Sale <sup>25</sup>
	4 transactions for 11/04	4/22. Total proceeds ar	e reported to	the IRS.			
	521.3139999999996453	575.96	07/19/22	479.13		96.83	1 of 4 - Sale <sup>25</sup>
	19.73900000000000767	21.81	07/27/22	17.55		<i>4.</i> 26	2 of 4 - Sale 25
	169.560200000000008913	187.33	07/27/22	150.80		<i>36.53</i>	3 of 4 - Sale <sup>25</sup>
	88.29900000000006594	97.56	10/21/22	71.28		26.28	4 of 4 - Sale <sup>25</sup>
11/04/22	798.9122	882.66	Various	718.76		163.90	Total of 4 transactions
	Security total:	1,667.56		1,437.71		229.85	
Shiba Inu / CUSI	P: SHIBUSD / Symbol:						
06/25/22	19,305,019.000	215.05	06/23/22	199.81		15.24	Sale <sup>25</sup>
06/30/22	17,286,085.000	173.03	06/25/22	200.01		-26.98	Sale <sup>25</sup>
07/18/22	18,755,635.000	216.62	07/18/22	208.00		8.62	Sale 25
	Security total:	604.70		607.82	···	-3.12	
Solana / CUSIP:	SOLUSD / Symbol:						
	3 transactions for 05/04	4/22. Total proceeds ar	e reported to	the IRS.			
	0.056550000000000003	5.19	04/14/22	6.01		-0.82	1 of 3 - Sale <sup>25</sup>
	0.0471200000000000002	4.33	04/20/22	4.95		-0.62	2 of 3 - Sale 25
	0.0507000000000000002	4.66	04/27/22	4.95		-0.29	3 of 3 - Sale 25
05/04/22	0.15437	14.18	Various	15.91		-1.73	Total of 3 transactions
	2 transactions for 06/22	2/22. Total proceeds ar	e reported to				
	5.74209000000000138	202.52	06/07/22	233.62	•••	-31.10	1 of 2 - Sale <sup>25</sup>
	2.3128899999999999	81.58	06/10/22	87.45		-5.87	2 of 2 - Sale <sup>25</sup>
06/22/22	8.05498	284.10	Various	321.07		-36.97	Total of 2 transactions
	2 transactions for 06/25	5/22. Total proceeds ar	e reported to	the IRS.			
	0.331940000000000013	13.72	06/10/22	12.55		1.17	1 of 2 - Sale <sup>25</sup>
	7.87793999999999721	325.51	06/22/22	281.15		<i>44.3</i> 6	2 of 2 - Sale 25
06/25/22	8.20988	339.23	Various	293.70	···	45.53	Total of 2 transactions
	3 transactions for 07/18	3/22. Total proceeds ar	e reported to	the IRS.			
	0.26929999999999984	11.58	06/27/22	10.65	···	0.93	1 of 3 - Sale <sup>25</sup>
	1.31200000000000055	56.42	06/27/22	51.88		4.54	2 of 3 - Sale 25

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Robinhood Markets Inc. as agent for Robinhood Crypto LLC

# **Proceeds from Broker and Barter Exchange Transactions**

02/13/2023

Account 557613056C

**2022 1099-B\*** OMB No. 1545-0715

(continued)

SHORT TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5) Report on Form 8949, Part I with Box B checked. Basis is NOT provided to the IRS. (Line 12)

1a- Description of	of property/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et		Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	
Solana / CUSIP:	SOLUSD / Symbol: (cont'd)						
	2.625	112.89	06/27/22	103.81		9.08	3 of 3 - Sale <sup>25</sup>
07/18/22	4.2063	180.89	Various	166.34	•••	14.55	Total of 3 transactions
11/12/22	36.3300399999999678	526.68	11/12/22	584.29		-57.61	Sale <sup>25</sup>
	Security total:	1,345.08		1,381.31	•••	-36.23	
Totals :		13,405.01		13,078.19		326.82	

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Robinhood Markets Inc. as agent for Robinhood Crypto LLC

## 2022 End Notes for Account 557613056C

25 Tax lot closed on a first in first out basis.

#### Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

#### Keep tax documents for your records.

#### 1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number**. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or nonSection 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

#### Instructions for Recipient

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a patter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to 1009/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

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