Robinhood Markets Inc 85 Willow Road Menlo Park, CA 94025 650-940-2700



Sai yashwant Veeraghu 425 Bernard St 1205 Denton, TX 76201 Master Account Number for Import: 439196619 Document ID for Import: G18U2S86253

Enclosed is your 2022 Consolidated Tax Statement. 1099 Tax Forms for the following accounts are included in this package:

439196619 - Robinhood Securities LLC

439196619C - Robinhood Crypto LLC

**New for 2022 Tax Year** - Where applicable, 1099 Tax Forms for Robinhood Securities, Robinhood Crypto, and Robinhood Money are being consolidated into one package and reported under our parent entity, Robinhood Markets Inc. We have done this to ensure all forms that you need are provided at the same time in this consolidated package.

**Important** - Please utilize the Master Account Number and Document ID listed above if filing through tax service providers such as TurboTax or H&R Block. The Document ID is necessary in order to directly import your 1099 data into the tax software so that you do not need to manually enter each transaction. This year there is only one Master Account Number and Document ID that needs to be used to import all of your data across all accounts and 1099s (including crypto). Please note that tax software providers may have limits on the total number of transactions that can be directly imported using the Document ID.

**CSVs** - In addition, a CSV file which includes the same information that is reported on your 1099 forms will be provided. The CSV is not a separate 1099. It is being provided as a supplement in a format that may be more helpful if you have a large number of transactions or if you are not using the Document ID to import all of your Securities, Crypto and Money activity. Tax software providers may have limits on the total number of transactions that can be uploaded and CSV files may not be compatible with tax service providers such as TurboTax or H&R Block.

**Robinhood Retirements Accounts** - If you had any reportable distributions or contributions within a Robinhood Retirement account, your 2022 tax forms 1099-R and/or 5498 will be delivered separately. Retirement accounts will be reported under Robinhood Securities LLC and will have their own unique Doc IDs for import to tax software providers such as TurboTax or H&R Block.

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Robinhood Markets Inc. as agent for Robinhood Securities LLC		nformation nt 439196619	Statement Date:	02/13/2023	2022	
85 Willow Road Menlo Park, CA 94025 Customer Service: 650-940-2700	Sai yashwant Veeraghu 425 Bernard St 1205 Denton, TX 76201					
PAYER'S TIN: 46-4364776	RECIPIENT'S TIN: XXX	-XX-2548				
11 - [] FATCA filing requirement (see instructions)	Summary	Information		13 - [] FATCA filing requiren	nent (see instructions)	
DIVIDENDS AND DISTRIBUTIONS 2022 1099-DIV	* OMB No. 1545-0110	MISCELLANEOUS	INFORMATION	2022 1099-MISC*	OMB No. 1545-0115	
1a- Total ordinary dividends (includes lines 1b, 5, 2e)	0.00	2- Royalties			0.00	
1b- Qualified dividends	0.00	3- Other income			0.00	
2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)	0.00	4- Federal income tax	withheld		0.00	
2b- Unrecaptured Section 1250 gain	0.00	8- Substitute payments	in lieu of dividends or	interest	0.00	
2c- Section 1202 gain	0.00					
2d- Collectibles (28%) gain	0.00	SECTION 1256 CON	NTRACTS	2022 1099-B*	OMB No. 1545-0715	
2e- Section 897 ordinary dividends	0.00	8- Profit or (loss) realiz	ed in 2022 on closed (	contracts	0.00	
2f- Section 897 capital gain 3- Nondividend distributions	0.00 0.00	9- Unrealized profit or (			0.00	
4- Federal income tax withheld	0.00 0.00	10- Unrealized profit or (			0.00	
5- Section 199A dividends	0.00	11- Aggregate profit or (			0.00	
6- Investment expenses	0.00		, , , , , , , , , , , , , , , , , , ,			
8- Foreign country or US possession: 7- Foreign tax paid:	0.00			ns appear summarized belo	ow and are	
9- Cash liquidation distributions	0.00		nt sections of this doc		<b>.</b> .	
10- Noncash liquidation distributions	0.00	* This is important tax information				
12- Exempt-interest dividends (includes line 13)	0.00	If you are required to file a return				
13- Specified private activity bond interest dividends (AMT)	0.00	you if this income is taxable and the IRS determines that it has not been reported.				

### SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	9,502.77	11,011.28	0.00	132.13	-1,376.38
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	9,502.77	11,011.28	0.00	132.13	-1,376.38
Long	D (basis reported to the IRS)	14.29	23.97	0.00	0.00	-9.68
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	14.29	23.97	0.00	0.00	-9.68
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	9,517.06	11,035.25	0.00	132.13	-1,386.06
Withholding		Amount				
Federal income tax withheld		0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2022 may require an amended tax form.

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### Robinhood Markets Inc. as agent for **Robinhood Securities LLC**

2022

# **Summary Information**

## (continued)

OMB No. 1545-0112

02/13/2023

Account 439196619

#### **INTEREST INCOME** 2022 1099-INT

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3) 2- Early withdrawal penalty	11.23 0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
<ol> <li>Foreign country or US possession:</li> <li>6- Foreign tax paid:</li> </ol>	0.00
8- Tax-exempt interest (includes line 9)	0.00
<ol><li>9- Specified private activity bond interest (AMT)</li></ol>	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
<ol><li>Bond premium on Treasury obligations (covered lots)</li></ol>	0.00
<ol><li>Bond premium on tax-exempt bonds (categorized below)</li></ol>	0.00
Tax-exempt obligations (covered lots)	0.00
Tax-exempt private activity obligations (AMT, covered lots)	0.00
14- Tax-exempt and tax credit bond CUSIP number FATCA filing requirement []	See detail

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nongualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

#### STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

## **ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year Acquisition premium (covered lots)	0.00 0.00
Acquisition premium (covered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

### **RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	5.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

### Robinhood Markets Inc. as agent for Robinhood Securities LLC

# **Proceeds from Broker and Barter Exchange Transactions**

02/13/2023

Account 439196619

#### **2022 1099-B\*** OMB No. 1545-0715

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

#### FATCA filing requirement []

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*) "Gain or loss (-)" is NOT reported to the IRS.

#### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 10/07/2022 CALL \$14	7.00 / CUSIP: / Symbol:						
10/04/22	1.000	119.98	09/30/22	49.00		70.98	Option sale to close-call <sup>25</sup>
AAPL 10/14/2022 CALL \$15	5.00 / CUSIP: / Symbol:						
	2 transactions for 10/14	/22. Total proceeds a	and cost repo	rted to the IRS.			
	3.000	0.00	10/07/22	57.00		-57.00	1 of 2 - Option expiration- long call
	2.000	0.00	10/07/22	38.00		-38.00	2 of 2 - Option expiration- long call
10/14/22	5.000	0.00	Various	95.00		-95.00	Total of 2 transactions
AAPL 10/28/2022 CALL \$15	7.50 / CUSIP: / Symbol:						
10/28/22	1.000	34.98	10/26/22	60.00		-25.02	Option sale to close-call <sup>25</sup>
AAPL 12/02/2022 CALL \$14	7.00 / CUSIP: / Symbol:						
11/30/22	1.000	31.98	11/28/22	150.00		-118.02	Option sale to close-call <sup>25</sup>

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## **Proceeds from Broker and Barter Exchange Transactions**

2022 1099-B\* OMB No. 1545-0715

### (continued)

02/13/2023

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

# "Gain or loss (-)" is NOT reported to the IRS.

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 12/23/2022 CALL \$1	60.00 / CUSIP: / Symbol:						
12/09/22 12/23/22	1.000 1.000 <b>Security total:</b>	10.98 0.00 <b>10.98</b>	11/30/22 12/06/22	32.00 48.02 <b>80.02</b>	21.02 W  21.02 W	0.00 -48.02 <b>-48.02</b>	Option sale to close-call <sup>25</sup> Option expiration- long call
ABNB 11/04/2022 CALL \$1	21.00 / CUSIP: / Symbol:						
11/04/22	1.000	0.00	11/01/22	150.00		-150.00	Option expiration- long call
ABT 10/21/2022 PUT \$101	.00 / CUSIP: / Symbol:						
10/19/22	1.000	234.98	10/18/22	34.00		200.98	Option sale to close-put <sup>25</sup>
ADBE 12/16/2022 CALL \$4	00.00 / CUSIP: / Symbol:						
	4 transactions for 12/1	6/22. Total proceeds a	and cost repor	ted to the IRS.			
	1.000 1.000 1.000 2.000	0.00 0.00 0.00 0.00	12/13/22 12/13/22 12/14/22 12/15/22	35.00 35.00 23.00 8.00	 	-35.00 -35.00 -23.00 -8.00	1 of 4 - Option expiration- long call 2 of 4 - Option expiration- long call 3 of 4 - Option expiration- long call
12/16/22	5.000	0.00	Various	101.00		-8.00 -101.00	4 of 4 - Option expiration- long call Total of 4 transactions
AMC 08/12/2022 CALL \$18							
08/08/22 AMC 10/21/2022 PUT \$5.0	1.000 0 / CUSIP:  / Symbol:	564.97	08/05/22	87.00		477.97	Option sale to close-call <sup>25</sup>
10/21/22 AMD 11/04/2022 CALL \$65	1.000	0.00	10/14/22	14.00		-14.00	Option expiration- long put
11/03/22	1.000	13.98	11/01/22	75.00		-61.02	Option sale to close-call <sup>25</sup>
AMZN 11/04/2022 CALL \$1	,						
11/04/22	1.000	0.00	10/27/22	100.00		-100.00	Option expiration- long call
AMZN 12/02/2022 CALL \$9		40.00	44/00/00	400.00		70.00	Option calls to place call 25
11/30/22	1.000	46.98	11/28/22	126.00		-79.02	Option sale to close-call <sup>25</sup>
AMZN 12/09/2022 CALL \$1 12/01/22	1.000 / CUSIP: / Symbol: 1.000	99.98	11/30/22	45.00		54.98	Option sale to close-call <sup>25</sup>

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1a- Description of prope	rty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMZN 12/30/2022 CALL \$	\$100.00 / CUSIP: / Symbol:						
12/13/22	1.000	150.98	12/09/22	65.00		85.98	Option sale to close-call <sup>25</sup>
BABA 11/18/2022 PUT \$6	69.00 / CUSIP: / Symbol:						
11/17/22	1.000	1.98	11/16/22	51.00		-49.02	Option sale to close-put <sup>25</sup>
BAC 08/26/2022 CALL \$4	0.00 / CUSIP: / Symbol:						
	3 transactions for 08/2	26/22. Total proceeds a	and cost repor	ted to the IRS.			
08/26/22	5.000 4.000 1.000 10.000	0.00 0.00 0.00 0.00	08/11/22 08/11/22 08/11/22 Various	15.00 12.00 3.00 30.00	  	-15.00 -12.00 -3.00 -30.00	1 of 3 - Option expiration- long call 2 of 3 - Option expiration- long call 3 of 3 - Option expiration- long call Total of 3 transactions
BB 09/30/2022 PUT \$5.00		0.00	Vanous	00.00		00.00	
09/29/22	1.000	20.98	09/26/22	20.00		0.98	Option sale to close-put <sup>25</sup>
	43.00 / CUSIP: / Symbol:	20.00	00/20/22	20.00		0.00	
08/19/22	1.000	0.00	08/15/22	45.00		-45.00	Option expiration- long call
	\$3.00 / CUSIP: / Symbol:	0.00	00/13/22	45.00		-45.00	Option expiration- long call
08/10/22	5.000	69.98	08/04/22	25.00		44.98	Option sale to close-call <sup>25</sup>
	4 transactions for 08/						
	1.000 2.000 1.000 1.000	49.98 99.98 100.98 111.98	08/04/22 08/04/22 08/04/22 08/04/22	5.00 10.00 5.00 5.00	  	44.98 89.98 95.98 106.98	1 of 4 - Option sale to close-call <sup>25</sup> 2 of 4 - Option sale to close-call <sup>25</sup> 3 of 4 - Option sale to close-call <sup>25</sup> 4 of 4 - Option sale to close-call <sup>25</sup>
08/11/22	5.000	362.92	Various	25.00		337.92	Total of 4 transactions
	Security total:	432.90		50.00		382.90	
BNGO 10/21/2022 CALL	\$2.00 / CUSIP: / Symbol:						
	3 transactions for 10/0	)5/22. Total proceeds a	and cost repor	ted to the IRS.			
	1.000 2.000 2.000	37.98 75.98 75.98	09/28/22 09/28/22 09/28/22	19.00 38.00 38.00	 	18.98 37.98 37.98	1 of 3 - Option sale to close-call <sup>25</sup> 2 of 3 - Option sale to close-call <sup>25</sup> 3 of 3 - Option sale to close-call <sup>25</sup>
10/05/22	5.000	189.94	Various	95.00		94.94	Total of 3 transactions

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**Robinhood Securities LLC 1099-B\*** OMB No. 1545-0715

# **Proceeds from Broker and Barter Exchange Transactions** (continued)

Account 439196619

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Robinhood Markets Inc. as agent for

Robinhood Markets Inc. as agent for
Robinhood Securities LLC

02/13/2023

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# **Proceeds from Broker and Barter Exchange Transactions** (continued)

2022 **1099-B\*** OMB No. 1545-0715

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (*Lines 2 & 5*) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*)

"Gain or loss (-)" is NOT reported to the IRS. 1a-Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BNGO 10/21/2022 PUT	\$1.50 / CUSIP: / Symbol:						
	2 transactions for 10/12	2/22. Total proceeds a	and cost repor	ted to the IRS.			
	1.000	0.99	09/22/22	6.00		-5.01	1 of 2 - Option sale to close-put <sup>25</sup>
40/40/00	1.000	0.99	09/22/22	6.00		-5.01	2 of 2 - Option sale to close-put <sup>25</sup>
10/12/22	2.000	1.98	Various	12.00		-10.02	Total of 2 transactions
	\$10.00 / CUSIP: / Symbol:						
09/16/22	1.000	0.00	09/15/22	10.00		-10.00	Option expiration- long put
C 10/14/2022 CALL \$44	.00 / CUSIP: / Symbol:						
10/14/22	1.000	5.98	10/13/22	71.00		-65.02	Option sale to close-call <sup>25</sup>
CAG 10/07/2022 PUT \$3	32.00 / CUSIP: / Symbol:						
10/07/22	5.000	0.00	10/05/22	150.00		-150.00	Option expiration- long put
COST 12/09/2022 CALL	\$500.00 / CUSIP: / Symbol:						
12/07/22	1.000	399.98	12/07/22	300.00		99.98	Option sale to close-call <sup>25</sup>
12/08/22	1.000	327.98	12/08/22	300.00		27.98	Option sale to close-call <sup>25</sup>
	Security total:	727.96		600.00		127.96	
COST 12/16/2022 CALL	\$540.00 / CUSIP: / Symbol:						
12/09/22	1.000	16.98	12/08/22	78.00		-61.02	Option sale to close-call <sup>25</sup>
CRM 12/02/2022 CALL \$	\$177.50 / CUSIP: / Symbol:						
12/01/22	1.000	0.98	11/30/22	66.00		-65.02	Option sale to close-call <sup>25</sup>
CSCO 08/19/2022 CALL	\$51.00 / CUSIP: / Symbol:						
08/19/22	5.000	0.00	08/16/22	45.00		-45.00	Option expiration-long call
	S100.00 / CUSIP: / Symbol:						
11/01/22	1.000	41.98	11/01/22	42.02		-0.04	Option sale to close-call <sup>25</sup>
11/01/22	1.000	41.98	11/01/22	42.00	0.02 W	0.00	Option sale to close-call <sup>25</sup>
	Security total:	83.96		84.02	0.02 W	-0.04	
CXM 09/16/2022 CALL S	\$12.50 / CUSIP: / Symbol:						
09/09/22	1.000	49.98	09/08/22	28.00		21.98	Option sale to close-call <sup>25</sup>
00,00,22	1.000	40.00	00,00/22	20.00		21.00	

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

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# **Proceeds from Broker and Barter Exchange Transactions** (continued)

2022 **1099-B\*** OMB No. 1545-0715

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (*Lines 2 & 5*) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*)

"Gain or loss (-)" is NOT reported to the IRS. 1a-Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DAL 10/14/2022 PUT \$28.00 / C	USIP: / Symbol:						
10/14/22	1.000	0.00	10/12/22	58.00		-58.00	Option expiration- long put
DIS 08/12/2022 PUT \$115.00 / C	USIP: / Symbol:						
	3 transactions for 08/1	1/22. Total proceeds a	and cost report	ted to the IRS.			
	2.000	31.99	08/11/22	48.00		-16.01	1 of 3 - Option sale to close-put <sup>25</sup>
	1.000	15.99	08/11/22	24.00		-8.01	2 of 3 - Option sale to close-put <sup>25</sup>
08/11/22	<i>1.000</i> 4.000	<i>16.00</i> 63.98	<i>08/11/</i> 22 Various	2 <i>4.00</i> 96.00		-8.00 -32.02	3 of 3 - Option sale to close-put <sup>25</sup> Total of 3 transactions
DIS 08/19/2022 CALL \$115.00 /		00.00	vanous	30.00		-32.02	
08/11/22	1.000	789.97	08/10/22	265.00		524.97	Option sale to close-call <sup>25</sup>
F 10/28/2022 PUT \$12.00 / CUS	IP: / Symbol:						
10/27/22	10.000	29.97	10/26/22	120.00		-90.03	Option sale to close-put <sup>25</sup>
FFIE 09/16/2022 CALL \$1.50 / C	USIP: / Symbol:						
09/16/22	1.000	0.00	09/09/22	9.00		-9.00	Option expiration- long call
FUBO 08/12/2022 PUT \$3.00 / C	USIP: / Symbol:						
08/12/22	10.000	0.00	08/09/22	30.00		-30.00	Option expiration- long put
GME 12/09/2022 PUT \$19.00 / C	CUSIP: / Symbol:						
12/09/22	1.000	0.00	12/07/22	29.00		-29.00	Option expiration- long put
GME 12/30/2022 CALL \$30.00 /	CUSIP: / Symbol:						
12/21/22	1.000	6.98	12/07/22	85.00		-78.02	Option sale to close-call <sup>25</sup>
GOOGL 10/28/2022 CALL \$110.	00 / CUSIP: / Symbol:						
10/25/22	1.000	119.98	10/25/22	76.00		43.98	Option sale to close-call <sup>25</sup>
GSAT 09/09/2022 PUT \$2.00 / C	USIP: / Symbol:						
09/08/22	1.000	24.98	09/07/22	10.00		14.98	Option sale to close-put <sup>25</sup>
HD 08/19/2022 CALL \$322.50 / 0	CUSIP: / Symbol:						
08/16/22	1.000	379.98	08/15/22	289.00		90.98	Option sale to close-call <sup>25</sup>

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2022	<b>1099-B*</b> OMB No. 1545-0715					3/2023	
Report or	TTERM TRANSACTIONS FOR COV n Form 8949, Part I with Box A checked. Basis loss (-)" is NOT reported to the IRS.			gains or losses	are identified in the Ade	ditional information c	<b>olumn]</b> (Lines 2 & 5)
1a- Desc	ription of property/CUSIP/Symbol						
1c- Date sold or dispose		1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IBM 10/2	21/2022 PUT \$109.00 / CUSIP: / Symbol:						
10/21/22	2 1.000	0.00	10/19/22	28.00		-28.00	Option expiration- long put
INFY 10/	21/2022 PUT \$16.00 / CUSIP: / Symbol:						
10/21/22	2 3.000	0.00	10/12/22	42.00		-42.00	Option expiration- long put
INTC 10/	/28/2022 CALL \$30.00 / CUSIP: / Symbol:						
	3 transactions for 10/2	8/22. Total proceeds	and cost report	ted to the IRS.			
10/28/22	3.000 1.000 1.000 2 5.000	0.00 0.00 0.00 0.00	10/27/22 10/27/22 10/27/22 Various	66.00 22.00 22.00 110.00	··· ··· ···	-66.00 -22.00 -22.00 -110.00	1 of 3 - Option expiration- long call 2 of 3 - Option expiration- long call 3 of 3 - Option expiration- long call Total of 3 transactions
		0.00	vanous	110.00		-110.00	
10 09/02/	/2022 CALL \$5.00 / CUSIP: / Symbol: 3 transactions for 09/0	2/22 Total proceede	and agot range	tod to the IPS			
	3 transactions for 09/0 4.000	0.00	08/26/22	24.00 24.00		-24.00	1 of 3 - Option expiration- long call
09/02/22	1.000 1.000	0.00 0.00 0.00 0.00	08/26/22 08/26/22 Various	6.00 6.00 36.00	··· ··· ···	-6.00 -6.00 -36.00	2 of 3 - Option expiration-long call 3 of 3 - Option expiration-long call Total of 3 transactions
JEF 10/2	21/2022 PUT \$27.50 / CUSIP: / Symbol:						
09/28/22	2 1.000	54.98	09/28/22	90.00		-35.02	Option sale to close-put <sup>25</sup>
LEVI 10/2	21/2022 CALL \$17.00 / CUSIP: / Symbol:						· ·
10/21/22	2 2.000	0.00	10/06/22	102.00		-102.00	Option expiration- long call
MDT 01/2	20/2023 CALL \$85.00 / CUSIP: / Symbol:						· · · ·
12/01/22	2 1.000	67.98	11/22/22	57.00		10.98	Option sale to close-call <sup>25</sup>
MLKN 10	0/21/2022 PUT \$17.50 / CUSIP: / Symbol:						-
09/29/22	2 1.000	85.98	09/28/22	37.00		48.98	Option sale to close-put <sup>25</sup>
NKE 09/3	30/2022 PUT \$88.00 / CUSIP: / Symbol:						•
09/30/22	2 1.000	499.97	09/29/22	106.00		393.97	Option sale to close-put <sup>25</sup>

**Proceeds from Broker and Barter Exchange Transactions** 

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Robinhood Markets Inc. as agent for Robinhood Securities LLC Account 439196619

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**2022 1099-B\*** OMB No. 1545-0715

Robinhood Markets Inc. as agent for
Robinhood Securities LLC

02/13/2023

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# **Proceeds from Broker and Barter Exchange Transactions** (continued)

2022 **1099-B\*** OMB No. 1545-0715

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (*Lines 2 & 5*) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol	scription of property/CUSIP/Symbol
--	------------------------------------

1c- Description of proper 1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X)	Additional information
NKE 10/21/2022 CALL \$9	,		acquireu	other basis	disallowed (W)		
	3 transactions for 10/13	3/22 Total proceeds a	and cost reno	ted to the IRS			
	1.000 1.000 1.000	36.98 36.99 36.99	10/10/22 10/10/22 10/10/22	25.00 25.00 25.00		11.98 11.99 11.99	1 of 3 - Option sale to close-call <sup>25</sup> 2 of 3 - Option sale to close-call <sup>25</sup> 3 of 3 - Option sale to close-call <sup>25</sup>
10/13/22	3.000	110.96	Various	75.00		35.96	Total of 3 transactions
NKE 11/18/2022 CALL \$9	•						
10/25/22	1.000	202.98	09/30/22	143.00		59.98	Option sale to close-call <sup>25</sup>
NNDM 11/04/2022 PUT \$	2.50 / CUSIP: / Symbol:						
11/04/22	3.000	0.00	11/03/22	39.00		-39.00	Option expiration- long put
NNDM 12/02/2022 PUT \$	2.00 / CUSIP: / Symbol:						
12/02/22	4.000	0.00	11/30/22	4.00		-4.00	Option expiration- long put
NVDA 11/18/2022 CALL \$	\$165.00 / CUSIP: / Symbol:						
11/17/22	1.000	129.98	11/17/22	130.00		-0.02	Option sale to close-call <sup>25</sup>
NVDA 11/18/2022 PUT \$1	124.00 / CUSIP: / Symbol:						
11/18/22	1.000	0.00	11/16/22	30.00		-30.00	Option expiration- long put
NVTA 08/19/2022 CALL \$	S10.00 / CUSIP: / Symbol:						
08/19/22	1.000	0.00	08/15/22	20.00		-20.00	Option expiration- long call
ORCL 09/16/2022 CALL \$	81.00 / CUSIP: / Symbol:						
09/13/22	1.000	15.98	09/12/22	88.00		-72.02	Option sale to close-call <sup>25</sup>
PLTR 08/12/2022 CALL \$	11.00 / CUSIP: / Symbol:						
08/12/22	5.000	0.00	08/08/22	95.00		-95.00	Option expiration- long call
QCOM 11/04/2022 CALL	\$129.00 / CUSIP: / Symbol:						
	2 transactions for 11/04	1/22. Total proceeds a	and cost repo	ted to the IRS.			
11/04/22	1.000 1.000 2.000	0.00 0.00 0.00	11/02/22 11/02/22 Various	45.00 26.00 71.00	  	-45.00 -26.00 -71.00	1 of 2 - Option expiration- long call 2 of 2 - Option expiration- long call Total of 2 transactions

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

# **Proceeds from Broker and Barter Exchange Transactions** (continued)

2022 **1099-B\*** OMB No. 1545-0715

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (*Lines 2 & 5*) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*)

"Gain or loss (-)" is NOT reported to the IRS. 1a-Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
QCOM 11/11/2022 PUT \$	93.00 / CUSIP: / Symbol:						
11/03/22	1.000	24.98	11/02/22	35.00		-10.02	Option sale to close-put <sup>25</sup>
RGP 10/21/2022 CALL \$2	0.00 / CUSIP: / Symbol:						
10/21/22	1.000	0.00	10/05/22	50.00		-50.00	Option expiration- long call
SBUX 11/11/2022 PUT \$7	9.00 / CUSIP: / Symbol:						
11/11/22	1.000	0.00	11/03/22	111.00		-111.00	Option expiration- long put
SCS 10/21/2022 PUT \$7.5	50 / CUSIP: / Symbol:						
09/22/22	1.000	14.98	09/21/22	7.00		7.98	Option sale to close-put <sup>25</sup>
SENS 04/14/2022 PUT \$1	.50 / CUSIP: / Symbol:						
	2 transactions for 04/1	4/22. Total proceeds a	and cost repor	ted to the IRS.			
	2.000	0.00	04/06/22	4.00		-4.00	1 of 2 - Option expiration- long put
	1.000	0.00	04/06/22	2.00		-2.00	2 of 2 - Option expiration- long put
04/14/22	3.000	0.00	Various	6.00		-6.00	Total of 2 transactions
SENS 08/19/2022 CALL \$	1.50 / CUSIP: / Symbol:						
08/03/22	1.000	13.98	07/19/22	5.00		8.98	Option sale to close-call <sup>25</sup>
SENS 08/19/2022 CALL \$	2.00 / CUSIP: / Symbol:						
	3 transactions for 08/0	4/22. Total proceeds a	and cost repor	ted to the IRS.			
	5.000	94.98	08/03/22	25.00		69.98	1 of 3 - Option sale to close-call <sup>25</sup>
	5.000	109.98	08/03/22	25.00		84.98	2 of 3 - Option sale to close-call <sup>25</sup>
	10.000	189.97	08/03/22	50.00		139.97	3 of 3 - Option sale to close-call <sup>25</sup>
08/04/22	20.000	394.93	Various	100.00		294.93	Total of 3 transactions
	2 transactions for 08/1	1/22. Total proceeds a	and cost repor	ted to the IRS.			
	1.000	37.00	08/04/22	10.00		27.00	1 of 2 - Option sale to close-call <sup>25</sup>
	6.000	221.97	08/04/22	66.00		155.97	2 of 2 - Option sale to close-call <sup>25</sup>
08/11/22	7.000	258.97	Various	76.00		182.97	Total of 2 transactions
08/19/22	4.000	0.00	08/04/22	44.00		-44.00	Option expiration- long call
	Security total:	653.90		220.00		433.90	

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

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# **Proceeds from Broker and Barter Exchange Transactions** (continued)

2022 **1099-B\*** OMB No. 1545-0715

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (*Lines 2 & 5*) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*)

#### "Gain or loss (-)" is NOT reported to the IRS. 1a- Description of property/CUSIP/Symbol

1a- Description of pro 1c- Date	perty/CUSIP/Symbol	1d- Proceeds &			1f Assured mit diss (D) 8		
sold or		6- Reported	1b- Date	1e- Cost or	1f- Accrued mkt disc (D) & 1q- Wash sale loss	Gain or loss(-) & 7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	( )	Additional information
SENS 08/19/2022 CAL	L \$2.50 / CUSIP: / Symbol:						
	3 transactions for 08/	10/22. Total proceeds	and cost repor	ted to the IRS.			
	2.000	1.99	08/09/22	14.01		-12.02	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	0.99	08/09/22	7.02		-6.03	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	0.98	08/09/22	7.00		-6.02	3 of 3 - Option sale to close-call <sup>25</sup>
08/10/22	4.000	3.96	Various	28.03		-24.07	Total of 3 transactions
	2 transactions for 08/	10/22. Total proceeds	and cost repor	ted to the IRS.			
	5.000	4.98	08/09/22	20.00	9.01 W	-6.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	0.98	08/09/22	4.00		0.00	2 of 2 - Option sale to close-call <sup>25</sup>
08/10/22	6.000	5.96	Various	24.00	12.03 W	-6.01	Total of 2 transactions
	Security total:	9.92		52.03	12.03 W	-30.08	
SENS 08/19/2022 PUT	\$1.00 / CUSIP: / Symbol:						
07/19/22	1.000	2.98	07/18/22	6.00	3.02 W	0.00	Option sale to close-put <sup>25</sup>
	2 transactions for 08/	10/22. Total proceeds	and cost repor	ted to the IRS.			
	6.000	5.98	08/10/22	18.00		-12.02	1 of 2 - Option sale to close-put <sup>25</sup>
	2.000	1.99	08/10/22	6.00		-4.01	2 of 2 - Option sale to close-put <sup>25</sup>
08/10/22	8.000	7.97	Various	24.00		-16.03	Total of 2 transactions
08/10/22	1.000	1.00	08/10/22	6.02	5.02 W	0.00	Option sale to close-put <sup>25</sup>
08/19/22	1.000	0.00	08/10/22	8.02		-8.02	Option expiration- long put
	Security total:	11.95		44.04	8.04 W	-24.05	
SGH 10/21/2022 PUT 9	\$12.50 / CUSIP: / Symbol:						
10/04/22	1.000	24.98	10/03/22	26.00		-1.02	Option sale to close-put <sup>25</sup>
SGH 10/21/2022 PUT S	\$15.00 / CUSIP: / Symbol:						
10/05/22	1.000	69.98	10/04/22	65.00		4.98	Option sale to close-put <sup>25</sup>
SIX 08/19/2022 CALL S	\$25.00 / CUSIP: / Symbol:						
	2 transactions for 08/	15/22. Total proceeds a	and cost repor	ted to the IRS.			
	1.000	29.98	08/12/22	14.00		15.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	29.98	08/12/22	14.00		15.98	2 of 2 - Option sale to close-call <sup>25</sup>
08/15/22	2.000	59.96	Various	28.00		31.96	Total of 2 transactions

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

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## **Proceeds from Broker and Barter Exchange Transactions**

2022 **1099-B\*** OMB No. 1545-0715

### (continued)

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# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (*Lines 2 & 5*) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*)

"Gain or loss (-)" is NOT reported to the IRS. 1a- Description of property/CUSIP/Symbol

1a- Description of property/CUSIP/Syl	nbol						
1c- Date sold or disposed C	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SKIL 09/16/2022 PUT \$2.50 / CUSIP: /	Symbol:						
09/12/22	1.000	27.98	09/07/22	18.00		9.98	Option sale to close-put <sup>25</sup>
SLB 10/21/2022 CALL \$46.50 / CUSIP:	/ Symbol:						
10/21/22	3.000	506.97	10/20/22	114.00		392.97	Option sale to close-call <sup>25</sup>
SNPS 08/19/2022 CALL \$420.00 / CUS	IP: / Symbol:						
08/19/22	1.000	0.00	08/16/22	119.00		-119.00	Option expiration- long call
SPY 07/29/2022 PUT \$402.00 / CUSIP:	/ Symbol:						
07/29/22	1.000	0.00	07/28/22	151.00		-151.00	Option expiration- long put
SPY 08/08/2022 CALL \$418.00 / CUSIF	P: / Symbol:						
08/03/22	1.000	165.98	08/03/22	162.00		3.98	Option sale to close-call <sup>25</sup>
SPY 08/10/2022 CALL \$420.00 / CUSIF	P: / Symbol:						
2 trans	sactions for 08/09/	/22. Total proceeds a	nd cost repo	orted to the IRS.			
	1.000	44.99	08/08/22	163.00		-118.01	1 of 2 - Option sale to close-call <sup>25</sup>
08/09/22	1.000 2.000	44.99	08/08/22	75.00		- <i>30.01</i> -148.02	2 of 2 - Option sale to close-call <sup>25</sup> Total of 2 transactions
		89.98	Various	238.00		-146.02	Total of 2 transactions
SPY 08/26/2022 CALL \$432.00 / CUSIF 08/26/22	1.000	0.00	08/19/22	141.00		4.44.00	Onting surficient lange call
		0.00	06/19/22	141.00		-141.00	Option expiration- long call
SPY 10/05/2022 CALL \$370.00 / CUSIF 10/03/22	1.000	102.00	09/30/22	120.00		50.00	Option colo to close coll <sup>25</sup>
		182.98	09/30/22	130.00		52.98	Option sale to close-call <sup>25</sup>
SPY 10/28/2022 PUT \$374.00 / CUSIP:	,	0.00	40/07/00	55.00		55.00	Onting purpingting long put
10/28/22	1.000	0.00	10/27/22	55.00		-55.00	Option expiration- long put
SPY 11/18/2022 CALL \$430.00 / CUSIF	,	0.00	10/00/00	00.00		00.00	
11/18/22	2.000	0.00	10/06/22	90.00		-90.00	Option expiration- long call
SPY 11/18/2022 PUT \$379.00 / CUSIP:	,	10.00	44/44/00	00.00		40.00	
11/15/22	1.000	13.98	11/11/22	62.00		-48.02	Option sale to close-put <sup>25</sup>
SPY 11/18/2022 PUT \$389.00 / CUSIP	,	440.00	4445100	00.00		50.00	<b>O</b>
11/15/22	1.000	119.98	11/15/22	60.00		59.98	Option sale to close-put <sup>25</sup>

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

# **Proceeds from Broker and Barter Exchange Transactions** (continued)

2022 **1099-B\*** OMB No. 1545-0715

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (*Lines 2 & 5*) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*)

"Gain or loss (-)" is NOT reported to the IRS.

12- Description of	property/CUSIP/Symbol
Ta- Description of	property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 11/23/2022 CALL \$400.00 / CU	ISIP: / Symbol:		•			<u> </u>	
11/18/22	1.000	249.98	11/17/22	108.00		141.98	Option sale to close-call <sup>25</sup>
SPY 11/25/2022 CALL \$401.00 / CU	ISIP: / Symbol:						
11/22/22	1.000	64.98	11/18/22	120.00		-55.02	Option sale to close-call <sup>25</sup>
SPY 11/25/2022 PUT \$385.00 / CUS	SIP: / Symbol:						
11/16/22	1.000	136.98	11/15/22	135.00		1.98	Option sale to close-put <sup>25</sup>
SPY 11/25/2022 PUT \$402.00 / CUS	SIP: / Symbol:						
11/23/22	1.000	303.98	11/23/22	150.00		153.98	Option sale to close-put <sup>25</sup>
SPY 11/28/2022 CALL \$403.00 / CU	ISIP: / Symbol:						
11/28/22	1.000	7.98	11/25/22	119.00		-111.02	Option sale to close-call <sup>25</sup>
SPY 12/02/2022 PUT \$399.00 / CUS	SIP: / Symbol:						
11/30/22	1.000	67.98	11/30/22	159.00	91.02 W	0.00	Option sale to close-put <sup>25</sup>
12/02/22	1.000	0.00 <b>67.98</b>	12/01/22	153.02 <b>312.02</b>	 91.02 W	-153.02 <b>-153.02</b>	Option expiration- long put
SPY 12/02/2022 PUT \$404.00 / CUS	urity total:	07.90		512.02	91.02 W	-155.02	
12/01/22	1.000	99.98	12/01/22	108.00		-8.02	Option sale to close-put <sup>25</sup>
SPY 12/07/2022 CALL \$401.00 / CU		99.90	12/01/22	108.00		-0.02	Option sale to close-put
12/07/22	1.000	0.00	12/06/22	62.00		-62.00	Option expiration- long call
SPY 12/07/2022 PUT \$394.00 / CUS		0.00	12/00/22	02.00		-02.00	
12/01/22	1.000	61.98	11/30/22	66.00		-4.02	Option sale to close-put <sup>25</sup>
SPY 12/07/2022 PUT \$404.00 / CUS		01.90	11/30/22	00.00		-4.02	Option sale to close-put
12/05/22	1.000	221.98	12/02/22	161.00		60.98	Option sale to close-put <sup>25</sup>
SPY 12/09/2022 CALL \$405.00 / CU		221.90	12/02/22	101.00		00.98	
12/09/22	1.000	0.00	12/05/22	153.00		-153.00	Option expiration- long call
5PY 12/23/2022 CALL \$386.00 / CU		0.00	12/03/22	155.00		-100.00	Option expiration- long call
12/23/22	1.000	8.98	12/22/22	90.00		-81.02	Option sale to close-call <sup>25</sup>

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

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# **Proceeds from Broker and Barter Exchange Transactions** (continued)

2022 **1099-B\*** OMB No. 1545-0715

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (*Lines 2 & 5*) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*)

#### "Gain or loss (-)" is NOT reported to the IRS. 1a-Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TJX 08/19/2022 CALL \$7	4.00 / CUSIP: / Symbol:						
	2 transactions for 08/19	)/22. Total proceeds a	and cost repor	ted to the IRS.			
	1.000	0.00	08/16/22	25.00		-25.00	1 of 2 - Option expiration- long call
08/19/22	<i>1.000</i> 2.000	<i>0.00</i> 0.00	<i>08/16/22</i> Various	22.00 47.00		-22.00 -47.00	2 of 2 - Option expiration- long call Total of 2 transactions
TLRY 10/07/2022 PUT \$2		0.00	vanous	47.00		47.00	
10/07/22	3.000	0.00	10/06/22	9.00		-9.00	Option expiration- long put
TNP 09/16/2022 CALL \$2		0.00	10/00/22	0.00		0.00	option expiration long pat
09/16/22	1.000	0.00	09/13/22	24.00		-24.00	Option expiration- long call
	1,000.00 / CUSIP: / Symbol:	0.00	00, 10, 22	2		2.000	
	2 transactions for 08/17	/22. Total proceeds a	and cost repor	ted to the IRS.			
	1.000	108.99	08/11/22	312.00		-203.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	108.99	08/16/22	171.00		-62.01	2 of 2 - Option sale to close-call <sup>25</sup>
08/17/22	2.000	217.98	Various	483.00		-265.02	Total of 2 transactions
TSLA 08/26/2022 CALL \$	366.67 / CUSIP: / Symbol:						
08/26/22	6.000	0.00	08/17/22	424.00		-424.00	Option expiration- long call
TSLA 10/21/2022 CALL \$	250.00 / CUSIP: / Symbol:						
10/21/22	1.000	0.00	10/19/22	90.00		-90.00	Option expiration- long call
TSLA 10/21/2022 CALL \$	252.50 / CUSIP: / Symbol:						
10/21/22	1.000	0.00	10/19/22	124.00		-124.00	Option expiration- long call
TSLA 10/28/2022 CALL \$	260.00 / CUSIP: / Symbol:						
10/19/22	1.000	179.98	10/14/22	99.00		80.98	Option sale to close-call <sup>25</sup>
TSLA 11/18/2022 CALL \$	220.00 / CUSIP: / Symbol:						
11/18/22	1.000	0.98	11/08/22	100.00		-99.02	Option sale to close-call <sup>25</sup>
TSLA 12/16/2022 CALL \$	180.00 / CUSIP: / Symbol:						
12/16/22	1.000	0.00	12/12/22	300.00		-300.00	Option expiration- long call
TSM 10/14/2022 CALL \$6	67.00 / CUSIP: / Symbol:						
10/13/22	1.000	73.98	10/12/22	81.00		-7.02	Option sale to close-call <sup>25</sup>

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# **Proceeds from Broker and Barter Exchange Transactions** (continued)

2022 **1099-B\*** OMB No. 1545-0715

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (*Lines 2 & 5*) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*)

"Gain or loss (-)" is NOT reported to the IRS. 1a-Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSM 11/18/2022 CALL \$	82.00 / CUSIP: / Symbol:						
	2 transactions for 11/1	7/22. Total proceeds a	and cost report	ed to the IRS.			
	1.000	44.99	11/17/22	104.00		-59.01	1 of 2 - Option sale to close-call <sup>25</sup>
11/17/22	<i>1.000</i> 2.000	<i>44.99</i> 89.98	1 <i>1/17/</i> 22 Various	<i>85.00</i> 189.00		<i>-40.01</i> -99.02	2 of 2 - Option sale to close-call <sup>25</sup> Total of 2 transactions
		09.90	vanous	169.00	•••	-99.02	
TUP 08/19/2022 PUT \$8.	,	04.00	00/00/00	10.00		0.00	<b>O</b>
08/03/22	1.000	31.98	08/03/22	40.00		-8.02	Option sale to close-put <sup>25</sup>
	\$45.50 / CUSIP: / Symbol:		/				
08/19/22	1.000	0.00	08/10/22	60.00		-60.00	Option expiration- long call
	175.00 / CUSIP: / Symbol:						
10/28/22	2.000	0.00	10/25/22	104.00		-104.00	Option expiration- long call
JRBN 08/26/2022 CALL	\$25.00 / CUSIP: / Symbol:						
08/26/22	2.000	0.00	08/23/22	70.00		-70.00	Option expiration- long call
/MT 08/19/2022 CALL \$	\$138.00 / CUSIP: / Symbol:						
08/16/22	1.000	232.98	08/15/22	126.00		106.98	Option sale to close-call <sup>25</sup>
VTI 09/16/2022 CALL \$8	3.00 / CUSIP: / Symbol:						
09/16/22	1.000	0.00	09/14/22	8.00		-8.00	Option expiration- long call
CATALYST PHARMACE	UTICALS, INC. COMMON ST	OCK / CUSIP: 14888L	J101 / Symbol:				
03/22/22	1.000	8.05	06/21/21	5.73		2.32	Sale <sup>25</sup>
EDGIO, INC. COMMON	STOCK / CUSIP: 53261M104 /	/ Symbol:					
	2 transactions for 04/0	5/22. Total proceeds a	and cost report	ed to the IRS.			
	1.000	5.45	06/21/21	3.18		2.27	1 of 2 - Sale <sup>25</sup>
	1.000	5.45	06/21/21	3.18		2.27	2 of 2 - Sale <sup>25</sup>
04/05/22	2.000	10.90	Various	6.36		4.54	Total of 2 transactions
OPKO HEALTH, INC. CO	DMMON STOCK / CUSIP: 683	75N103 / Symbol:					
01/18/22	1.000	4.71	02/24/21	4.62		0.09	Sale <sup>25</sup>
	2 transactions for 04/2					o ==	4 4 4 9 1 25
	1.000	2.95	08/09/21	3.72		-0.77	1 of 2 - Sale <sup>25</sup>

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Robinhood Markets Inc. as agent for	
Robinhood Securities LLC	

**Proceeds from Broker and Barter Exchange Transactions** 

2022 1099-B\* OMB No. 1545-0715

### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

#### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et		1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	
OPKO HEALTH, INC.	COMMON STOCK / CUSIP: 68	375N103 / Symbol: (co	ont'd)				
	1.000	2.96	08/09/21	3.72		-0.76	2 of 2 - Sale <sup>25</sup>
04/22/22	2.000	5.91	Various	7.44		-1.53	Total of 2 transactions
	Security total:	10.62		12.06		-1.44	
Totals :		9,502.77		11,011.28	132.13 W	-1,376.38	

#### LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIF	P/Symbol						
1c- Date		1d- Proceeds &			1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or	Quantity	6- Reported (G)ross or (N)et		1e- Cost or other basis	1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Additional information
disposed			acquired	Other Dasis		also not reported (2)	
AYRO, INC. COMMON STOCK / C	USIP: 054748108 / S	symbol:					
12/19/22	1.000	0.50	08/09/21	4.26		-3.76	Sale <sup>25</sup>
EDGIO, INC. COMMON STOCK / 0	CUSIP: 53261M104 /	Symbol:					
04/05/22	1.000	5.45	02/09/21	4.73		0.72	Sale <sup>25</sup>
NANO DIMENSION LTD. AMERIC.	AN D EPOSITARY S	HARES / CUSIP: 6300	8G203 / Sym	bol:			
12/21/22	1.000	2.40	03/03/21	9.00		-6.60	Sale 25
VIKING THERAPEUTICS, INC. CC	MM ON STOCK / CL	JSIP: 92686J106 / Sym	ibol:				
12/19/22	1.000	5.94	08/09/21	5.98		-0.04	Sale <sup>25</sup>
Totals :		14.29		23.97		-9.68	

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(continued)

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# Robinhood Markets Inc. as agent for Robinhood Securities LLC

# **Detail for Interest Income**

Account 439196619

02/13/2023

This section of your tax information statement contains the payment level detail of taxable interest and associated bond premium. Market discount will be shown here only if you have elected to recognize it currently rather than at the time of sale or maturity. Bond premium and market discount for covered tax lots are totaled on Form 1099-INT and reported to the IRS. For noncovered tax lots, they are totaled and presented beneath the 1099-INT for informational purposes and are not reported to the IRS.

To provide a complete picture of activity for each investment, we also include here nonreportable transactions such as accrued interest paid on purchases and payment or receipt of nonqualified interest. Other amounts, such as federal, state and foreign tax withheld and investment expenses are shown as negative amounts but do not net against the reportable income totals.

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
Interest payment		08/31/22	0.07	Interest	
		09/30/22	0.02	Interest	
		10/28/22	0.17	Interest	
		10/31/22	0.13	Interest	
		11/18/22	0.96	Interest	
		11/30/22	0.56	Interest	
		12/15/22	0.26	Interest	
		12/30/22	0.16	Interest	
			2.33	Total Interest	
THENEX, INC. COMMON STOCK	04685N103	09/07/22	0.03	Interest	
ATOSSA THERAPEUTICS, INC. COMM ON STOCK	04962H506	06/06/22	0.09	Interest	
		07/07/22	0.22	Interest	
		08/05/22	0.31	Interest	
		09/07/22	0.31	Interest	
		10/06/22	0.10	Interest	
		11/04/22	0.31	Interest	
		12/06/22	0.01	Interest	
			1.35	Total Interest	
YRO, INC. COMMON STOCK	054748108	06/06/22	0.21	Interest	
		07/07/22	0.18	Interest	
			0.39	Total Interest	
IONANO GENOMICS, INC. COMMON	09075F107	06/06/22	0.17	Interest	
TOCK		07/07/22	0.27	Interest	
		08/05/22	0.24	Interest	
		09/07/22	0.15	Interest	
			0.83	Total Interest	

# 2022

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Robinhood Markets Inc. as agent for					Account 43919661	9
Robinhood Securities LLC		Detail for Interest Income	<b>;</b>			
2022		(continued)			02/13/2023	
Security description	CUSIP and/or symbol	Date	Amount	Transaction type		Notes
FUTURE FINTECH GROUP INC. COMM ON	36117V105	06/06/22	0.06	Interest		
STOCK		07/07/22	0.06	Interest		
		09/07/22	0.08	Interest		
		10/06/22	0.26	Interest		
			0.46	Total Interest		
NANO DIMENSION LTD. AMERICAN D	63008G203	06/06/22	0.20	Interest		
POSITARY SHARES		07/07/22	0.30	Interest		
		08/05/22	0.31	Interest		
		09/07/22	0.31	Interest		
		10/06/22	0.26	Interest		
			1.38	Total Interest		
SENSEONICS HOLDINGS, INC.	81727U105	06/06/22	0.18	Interest		
		07/07/22	0.27	Interest		
		08/05/22	0.29	Interest		
		09/07/22	0.31	Interest		
		10/06/22	0.30	Interest		
		11/04/22	0.31	Interest		
		12/06/22	0.01	Interest		
			1.67	Total Interest		
ESEN BIO, INC. COMMON STOCK	817763105	06/06/22	0.09	Interest		
		07/07/22	0.08	Interest		
			0.17	Total Interest		
OS LIMITED	83587W106	06/06/22	0.18	Interest		
		07/07/22	0.22	Interest		
		08/05/22	0.04	Interest		_
			0.44	Total Interest		
JNITY BIOTECHNOLOGY, INC. COMM ON STOCK	91381U101	09/07/22	0.03	Interest		
OMEDICA CORP.	98980M109	06/06/22	0.20	Interest		
		07/07/22	0.20	Interest		
		08/05/22	0.31	Interest		
		09/07/22	0.17	Interest		
		10/06/22	0.07	Interest		
			0.01			

Robinhood Markets Inc. as agent for Robinhood Securities LLC		Detail for Interest Incon			Account	439196619		
		Detail for interest incon	ne					
2022		(continued)			02/13/202	23		
Security description	CUSIP and/or symbol	Date	Amount	Transaction type			Notes	
ZOMEDICA CORP. (cont'd)		11/04/22	0.27	Interest				
		12/06/22	0.01	Interest			_	
			1.32	Total Interest				
CASTOR MARITIME INC. COMMON SH	Y1146L125	06/06/22	0.21	Interest				
ARES		07/07/22	0.30	Interest				
		08/05/22	0.31	Interest				
		09/07/22	0.01	Interest			_	
			0.83	Total Interest				
			11.23	Total Interest			-	

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# Robinhood Markets Inc. as agent for Robinhood Securities LLC

2022

# **Fees and Expenses**

Account 439196619

02/13/2023

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
Margin charge		12/08/22	-5.00	Margin interest paid	

-5.00 Total Margin interest paid

02/13/2023

This schedule lists all income and foreign tax by country. Mutual Funds and Unit Investment Trusts (UITs) structured as Regulated Investment Companies (RICs) are listed separately with a country designation of "RIC."

	Dividen						
Country	Security Description	CUSIP	Nonqualified	Qualified	Interest	Total Income	Тах
СН	SOS LIMITED	83587W106	0.00	0.00	0.44	0.44	0.00
Total CHINA			0.00	0.00	0.44	0.44	0.00
CY	CASTOR MARITIME INC. COMMON SH ARES	Y1146L125	0.00	0.00	0.83	0.83	0.00
Total CYPRUS			0.00	0.00	0.83	0.83	0.00
IS	NANO DIMENSION LTD. AMERICAN D EPOSITARY SHARES	63008G203	0.00	0.00	1.38	1.38	0.00
Total ISRAEL			0.00	0.00	1.38	1.38	0.00
Grand Total	Total Foreign Source Income* Qualified Foreign Source Income Foreign Tax	**		2.65 0.00 0.00			

\* Total Foreign Source Income utilizes the "Total" column for RICs which may not always be supplied. \*\* Qualified Foreign Source Income utilizes the "Qualified" column for RICs which may not always be supplied.

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binhood Securities LLC Non-Reportable Actions		obinhood Markets Inc. as agent for       Account 4391966         obinhood Securities LLC       Non-Reportable Actions         02/13/2023       02/13/2023			519	
Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
TSLA 08/26/2022 CALL \$366.67	TSLA 08/26/22 C 366.670	08/25/22	6.00	0.00	Merger	
TSLA 08/26/2022 CALL \$1,100.00	TSLA 08/26/22 C 1100.000	08/25/22	-2.00	0.00	Merger	

Robinhood Markets Inc. as agent for Robinhood Securities LLC

# 2022 End Notes for Account 439196619

25 Tax lot closed on a first in first out basis.

#### **Common Instructions for Recipient**

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

#### Keep tax documents for your records.

#### 1099-INT Instructions for Recipient

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

Line 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2022 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired a parentime.

Line 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction.

Line 3. Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium.

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Line 5. Any amount shown is your share of investment expenses of a singleclass REMIC. This amount is included in line 1. Note: This amount is not deductible. Line 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

Line 7. Shows the country or U.S. possession to which the foreign tax was paid. Line 8. Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Forms 1040 and 1040-SR. This

amount may be subject to backup withholding. See Line 4 above. See the instructions above for a tax-exempt covered security acquired at a premium. Line 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount

is included in line 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 10. For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your

#### Instructions for Recipient

payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-0ID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includible in taxable income as interest income.

Line 11. For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4). Line 12. For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 3. If the amount in line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

Line 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this line for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in line 8 or 9, whichever is applicable. If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

Line 14. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s).

#### Lines 15-17. State tax withheld reporting lines.

Future developments. For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099INT.

Free File. Go to <u>www.irs.gov//Free/File</u> to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

#### 1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation is stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or 0 (other). Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or nonSection 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the secreise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank. Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, your security that might apply to your

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B. Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

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Robinhood Markets Inc. as agent for Robinhood Crypto LLC		Tax Information Account 439196619C		02/13/2023	2022
85 Willow Rd Menlo Park, CA 94025 Customer Service: 650-940-2700	Sai yashwant Veeraghu 425 Bernard St 1205 Denton, TX 76201	1			
PAYER'S TIN: 46-4364776	RECIPIENT'S TIN: XX>	<-XX-2548			
11 - [] FATCA filing requirement (see instructions)	Summar	y Information		13 - [ ] FATCA filing requirer	ment (see instructions)
DIVIDENDS AND DISTRIBUTIONS 2022 1099-D	IV* OMB No. 1545-0110	MISCELLANEOUS	INFORMATION	2022 1099-MISC*	OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5, 2e)	0.00	2- Royalties			0.00
1b- Qualified dividends	0.00	3- Other income			0.00
2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)	0.00	4- Federal income tax	withheld		0.00
2b- Unrecaptured Section 1250 gain	0.00	8- Substitute payments	in lieu of dividends of	r interest	0.00
2c- Section 1202 gain	0.00				
2d- Collectibles (28%) gain 2e- Section 897 ordinary dividends	0.00 0.00	SECTION 1256 CON	NTRACTS	2022 1099-B*	OMB No. 1545-0715
2f- Section 897 capital gain	0.00	8- Profit or (loss) realize	ed in 2022 on closed	contracts	0.00
3- Nondividend distributions	0.00	9- Unrealized profit or (	loss) on open contrac	ts-12/31/2021	0.00
4- Federal income tax withheld	0.00	10- Unrealized profit or (	loss) on open contrac	ts-12/31/2022	0.00
5- Section 199A dividends	0.00	11- Aggregate profit or (I)	oss) on contracts		0.00
6- Investment expenses	0.00	If applicable, proceed	le from salo transactiv	ons appear summarized bel	ow and are
8- Foreign country or US possession: 7- Foreign tax paid:	0.00	detailed in subseque			
9- Cash liquidation distributions	0.00	* This is important tax information			ue Service.
10- Noncash liquidation distributions	0.00	If you are required to file a return			
12- Exempt-interest dividends (includes line 13)	0.00	you if this income is taxable and			
13- Specified private activity bond interest dividends (AMT)	0.00				

## SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	B (basis not reported to the IRS)	6,071.35	6,388.24	0.00	0.00	-316.89
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	6,071.35	6,388.24	0.00	0.00	-316.89
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	124.39	199.93	0.00	0.00	-75.54
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	124.39	199.93	0.00	0.00	-75.54
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	6,195.74	6,588.17	0.00	0.00	-392.43
Withholding		Amount				
Federal income	tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2022 may require an amended tax form.

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# **Summary Information**

2022

(continued)

OMB No. 1545-0112

02/13/2023

Account 439196619C

### INTEREST INCOME 2022 1099-INT

INT

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

<ol> <li>Interest income (not included in line 3)</li> <li>Early withdrawal penalty</li> </ol>	0.00 0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
<ol><li>Bond premium on Treasury obligations (covered lots)</li></ol>	0.00
<ol><li>Bond premium on tax-exempt bonds (categorized below)</li></ol>	0.00
Tax-exempt obligations (covered lots)	0.00
Tax-exempt private activity obligations (AMT, covered lots)	0.00
14- Tax-exempt and tax credit bond CUSIP number	
FATCA filing requirement []	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid Taxable accrued Treasury interest paid	0.00 0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

### STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

## ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

### **RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

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### Robinhood Markets Inc. as agent for Robinhood Crypto LLC

# **Proceeds from Broker and Barter Exchange Transactions**

02/13/2023

Account 439196619C

#### **2022 1099-B**\* OMB No. 1545-0715

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

#### FATCA filing requirement []

#### SHORT TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)

Report on Form 8949, Part I with Box B checked. Basis is NOT provided to the IRS. (Line 12)

"Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS.

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et		Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
Ethereum Classic	/ CUSIP: ETCUSD / Symbol:						
	8 transactions for 07/2	7/22. Total proceeds ar	e reported to	the IRS.			
	2.26742199999999827	68.08	01/08/22	68.00		0.08	1 of 8 - Sale <sup>25</sup>
	2.14423800000000088	64.38	04/22/22	74.98		-10.60	2 of 8 - Sale <sup>25</sup>
	1.68260500000000128	50.52	04/25/22	54.57		-4.05	3 of 8 - Sale 25
	1.399000000000000021	42.01	04/25/22	45.37		-3.36	4 of 8 - Sale 25
	1.75288500000000026	52.63	04/26/22	53.05		-0.42	5 of 8 - Sale 25
	1.55100000000000156	46.57	04/26/22	46.93		-0.36	6 of 8 - Sale <sup>25</sup>
	3.85372800000000264	115.71	04/30/22	100.07		15.64	7 of 8 - Sale <sup>25</sup>
	0.349121999999999988	10.48	05/09/22	8.91		1.57	8 of 8 - Sale <sup>25</sup>
07/27/22	15.000	450.38	Various	451.88		-1.50	Total of 8 transactions
	7 transactions for 11/0	9/22. Total proceeds ar	e reported to	the IRS.			
	2.61880399999999991	54.96	05/09/22	66.80		-11.84	1 of 7 - Sale <sup>25</sup>
	1.032073999999999936	21.66	05/09/22	26.33		-4.67	2 of 7 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

2022 1099-E	<b>3</b> * OMB No. 1545-0715			(continued)		02/1	3/2023
port on Form 89	TRANSACTIONS FOR NON 49, Part I with Box B checked. Basis Cost or other basis," "Accrued market	is NOT provided to the	IRS. (Line 12	2)			ion column] (Line 5)
- Description of	property/CUSIP/Symbol						
Ic- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
•	/ CUSIP: ETCUSD / Symbol: (cont'd)	() ()					
	, ,	·	05/11/00	E 00		0.40	2 of 7 Sala 25
	0.248355999999999993 4 7767640000000001	5.21 100.24	05/11/22 05/11/22	5.02 99.18		0.19 1.06	3 of 7 - Sale <sup>25</sup> 4 of 7 - Sale <sup>25</sup>
	4.7767640000000000 1.9919999999999999993	100.24 41.80	05/11/22 05/11/22	99.18 40.12			4 of 7 - Sale <sup>25</sup> 5 of 7 - Sale <sup>25</sup>
	1.9919999999999999999 2.72660800000000143	41.80 57.22	05/11/22 05/11/22	40.12 54.91		1.68 2.31	5 of 7 - Sale <sup>25</sup> 6 of 7 - Sale <sup>25</sup>
	2.726608000000000143 34.224393999999996652	57.22 718.21	05/11/22 11/08/22	54.91 718.72		2.31 -0.51	6 of 7 - Sale <sup>25</sup> 7 of 7 - Sale <sup>25</sup>
1/00/22	34.224393999999996652 47.619	718.21 999.30	11/08/22 Various	<i>718.72</i> 1,011.08			
1/09/22		999.30 0/22. Total proceeds ard				-11.78	Total of 7 transactions
						~~··	1 of 0 0-1: 25
	13.394653999999999172	281.63	11/08/22	281.29		0.34	1 of 2 - Sale <sup>25</sup>
1/10/00	36.60534599999997275	769.66	11/09/22	731.74		37.92	2 of 2 - Sale <sup>25</sup>
1/10/22	50.000 2 transactions for 11/1	1,051.29	Various	1,013.03		38.26	Total of 2 transactions
	2 transactions for 11/1	4/22. Total proceeds are	•				
	13.394653999999999172	265.19	11/09/22	267.76		-2.57	1 of 2 - Sale 25
	36.605345999999997275	724.71	11/14/22	713.67		11.04	2 of 2 - Sale 25
1/14/22	50.000	989.90	Various	981.43		8.47	Total of 2 transactions
	2 transactions for 11/2	3/22. Total proceeds are	e reported to	the IRS.			
	13.394653999999999172	258.65	11/14/22	261.15		-2.50	1 of 2 - Sale 25
	9.23581700000000832	178.34	11/18/22	180.19		-1.85	2 of 2 - Sale <sup>25</sup>
1/23/22	22.630471	436.99	Various	441.34		-4.35	Total of 2 transactions
		6/22. Total proceeds ar					
	37.76418300000002721	727.72	11/18/22	736.78		-9.06	1 of 2 - Sale 25
	12.23581700000000832	235.78	11/21/22	217.91		-9.00 17.87	2 of 2 - Sale <sup>25</sup>
2/06/22	50.000	963.50	Various	954.69		8.81	Total of 2 transactions
2/12/22	16.46047700000000913	299.98	11/28/22	307.80		-7.82	Sale <sup>25</sup>
			11/20/22				Calo
	Security total:	5,191.34		5,161.25		30.09	
hereum / CUSIP	: ETHUSD / Symbol:						
	4 transactions for 11/0	9/22. Total proceeds are	e reported to	the IRS.			
	0.253848999999999991	299.61	05/12/22	495.27		-195.66	1 of 4 - Sale 25
	0.27766600000000024	327.71	05/27/22	494.98		-167.27	2 of 4 - Sale 25
	0.00281	3.32	05/27/22	5.01		-1.69	3 of 4 - Sale 25
	0.21128700000000003	249.37	06/16/22	231.73		17.64	4 of 4 - Sale 25
1/09/22	0.745612	880.01	Various	1,226.99		-346.98	Total of 4 transactions
otals :		6,071.35		6,388.24		-316.89	-

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

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Account 439196619C

**Proceeds from Broker and Barter Exchange Transactions** 

# Robinhood Crypto LLC

Robinhood Markets Inc. as agent for

Robinhood Markets Inc. as agent for         Account         439196619C									
Robinhood Crypto LLC Proceeds from Broker and Barter Exchange Transactions									
2022 1099-B* OMB No. 1545-0715		02/13/2023							
LONG TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)									
Report on Form 8949, Part II with Box E checked. Basis is NOT provided to the IRS. (Line 12)									
"Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS.									
1a- Description of property/CUSIP/Symbol									
1c- Date	1d- Proceeds &	Accrued mkt	t disc (D) & Gain or loss(-) &						
sold or	6- Reported Date	Cost or Wash	h sale loss 7- Loss not allowed (X)						

disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	also not reported (Z)	Additional information
Ethereum / CUSIP: ETHUSD / Symbol:							
11/09/22	0.105387999999999996	124.39	05/23/21	199.93		-75.54	Sale <sup>25</sup>
Totals :		124.39		199.93		-75.54	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Page

Robinhood Markets Inc. as agent for Robinhood Crypto LLC

# 2022 End Notes for Account 439196619C

25 Tax lot closed on a first in first out basis.

#### **Common Instructions for Recipient**

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

#### Keep tax documents for your records.

#### 1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or 0 (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in contract or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or nonSection 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the sexercise of a compensatory option premium. If the securities were acquired through the exercise of a compensatory option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

#### Instructions for Recipient

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank. Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

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