

 Member FINRA/SIPC	Tax Information Account 497558107	Statement Date: 02/02/2023 Document ID: EG0W T46 1V6U	2022
	PO BOX 2209 OMAHA, NE 68103-2209 Client Services: 800-669-3900	ARULMOZHI NANGAI ARASU 81 BELMONT AVE PLAINVIEW, NY 11803-5244	
PAYER'S TIN: 47-0533629	RECIPIENT'S TIN: XXX-XX-2612		

11 - [] FATCA filing requirement (see instructions)

Summary Information

13 - [] FATCA filing requirement (see instructions)

DIVIDENDS AND DISTRIBUTIONS		2022 1099-DIV*	OMB No. 1545-0110	MISCELLANEOUS INFORMATION		2022 1099-MISC*	OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5, 2e)		73.00		2- Royalties		0.00	
1b- Qualified dividends		73.00		3- Other income		0.00	
2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)		0.00		4- Federal income tax withheld		0.00	
2b- Unrecaptured Section 1250 gain		0.00		8- Substitute payments in lieu of dividends or interest		0.00	
2c- Section 1202 gain		0.00					
2d- Collectibles (28%) gain		0.00		SECTION 1256 CONTRACTS		2022 1099-B*	OMB No. 1545-0715
2e- Section 897 ordinary dividends		0.00		8- Profit or (loss) realized in 2022 on closed contracts		0.00	
2f- Section 897 capital gain		0.00		9- Unrealized profit or (loss) on open contracts-12/31/2021		0.00	
3- Nondividend distributions		0.00		10- Unrealized profit or (loss) on open contracts-12/31/2022		0.00	
4- Federal income tax withheld		0.00		11- Aggregate profit or (loss) on contracts		0.00	
5- Section 199A dividends		0.00					
6- Investment expenses		0.00		<i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i>			
8- Foreign country or US possession:	7- Foreign tax paid:	0.00	0.00	* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.			
9- Cash liquidation distributions		0.00					
10- Noncash liquidation distributions		0.00					
12- Exempt-interest dividends (includes line 13)		0.00					
13- Specified private activity bond interest dividends (AMT)		0.00					

SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	39,718.41	41,881.46	0.00	0.00	-2,163.05
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	39,718.41	41,881.46	0.00	0.00	-2,163.05
Long	D (basis reported to the IRS)	32,842.69	56,019.00	0.00	0.00	-23,176.31
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	32,842.69	56,019.00	0.00	0.00	-23,176.31
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	72,561.10	97,900.46	0.00	0.00	-25,339.36
Withholding		Amount				
	Federal income tax withheld		0.00			

Changes to dividend tax classifications processed after your original tax form is issued for 2022 may require an amended tax form.

TD Ameritrade Clearing, Inc.

Account 497558107

Summary Information

2022

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INTEREST INCOME

2022 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	
FATCA filing requirement []	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	1,837.74
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

TD Ameritrade Clearing, Inc.

Account 497558107

Proceeds from Broker and Barter Exchange Transactions

2022 1099-B* OMB No. 1545-0715

02/02/2023

Sales transactions are grouped by their term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. Tax lots with an additional notation of "Ordinary" represent neither short- nor long-term capital transactions. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of proceeds received when the option was written and the cost to close the position.

Several column headings describe two related items: a quantity and a qualifier. For example, proceeds and, if the sale is the result of an option exercise or assignment, whether the proceeds are gross or net (adjusted for any option premium). When these conditions exist, the qualifier is shown to the right of the amount.

Long positions are reported based on the trade date and short positions are reported based on the settlement date of the trade.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
ASTRA SPACE INC COM CL A / CUSIP: 04634X103 / Symbol: ASTR							
01/18/22	100.000	554.99	10/15/21	875.51	...	-320.52	Sale
DIREXION SHARES ETF TRUST DLY S&P OIL&GAS EXP&PRD BUL 2X / CUSIP: 25460G500 / Symbol: GUSH							
01/21/22	100.000	9,999.94	Various	8,500.00	...	1,499.94	Sale
FUELCELL ENERGY INC COM / CUSIP: 35952H601 / Symbol: FCEL							
01/18/22	200.000	939.98	10/18/21	1,762.00	...	-822.02	Sale
MODERNA INC COM / CUSIP: 60770K107 / Symbol: MRNA							
09/28/22	75.000	9,188.23	01/21/22	15,375.38	...	-6,187.15	Sale
SNOWFLAKE INC COM CL A / CUSIP: 833445109 / Symbol: SNOW							
01/19/22	50.000	14,319.92	03/01/21	13,475.00	...	844.92	Sale
C3.AI INC AI Feb 18 2022 35.0 Call / CUSIP: / Symbol: AI 02/18/22 C 35.000							
02/18/22	4.000	37.95	02/18/22	0.00	...	37.95	Option expiration short position
C3.AI INC AI Mar 18 2022 25.0 Call / CUSIP: / Symbol: AI 03/18/22 C 25.000							
03/21/22	4.000	163.91	03/18/22	0.00	...	163.91	Short sale closed- option
C3.AI INC AI Apr 14 2022 25.0 Call / CUSIP: / Symbol: AI 04/14/22 C 25.000							
04/14/22	4.000	269.95	04/14/22	0.00	...	269.95	Option expiration short position

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Account 497558107

Proceeds from Broker and Barter Exchange Transactions

2022 1099-B* OMB No. 1545-0715

(continued)

02/02/2023

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C3.AI INC AI May 20 2022 20.0 Call / CUSIP: / Symbol: AI 05/20/22 C 20.000							
05/20/22	4.000	117.95	05/20/22	0.00	...	117.95	Option expiration short position
C3.AI INC AI Jun 17 2022 25.0 Call / CUSIP: / Symbol: AI 06/17/22 C 25.000							
06/17/22	4.000	77.95	06/17/22	0.00	...	77.95	Option expiration short position
C3.AI INC AI Aug 19 2022 25.0 Call / CUSIP: / Symbol: AI 08/19/22 C 25.000							
08/19/22	4.000	137.95	08/19/22	0.00	...	137.95	Option expiration short position
NATIONAL BEVERAGE CORP FIZZ Jan 21 2022 52.0 Call / CUSIP: / Symbol: FIZZ 01/21/22 C 52.000							
01/21/22	2.000	74.98	01/21/22	0.00	...	74.98	Option expiration short position
GILEAD SCIENCES INC GILD Jan 21 2022 75.0 Call / CUSIP: / Symbol: GILD 01/21/22 C 75.000							
01/21/22	1.000	29.49	01/21/22	0.00	...	29.49	Option expiration short position
GILEAD SCIENCES INC GILD Feb 04 2022 71.0 Call / CUSIP: / Symbol: GILD 02/04/22 C 71.000							
02/04/22	1.000	106.49	02/04/22	0.00	...	106.49	Option expiration short position
GILEAD SCIENCES INC GILD Feb 25 2022 65.0 Call / CUSIP: / Symbol: GILD 02/25/22 C 65.000							
02/25/22	1.000	16.49	02/25/22	0.00	...	16.49	Option expiration short position
GILEAD SCIENCES INC GILD Apr 14 2022 65.0 Call / CUSIP: / Symbol: GILD 04/14/22 C 65.000							
04/14/22	1.000	19.49	04/14/22	0.00	...	19.49	Option expiration short position
DIREXION SHARES ETF TRUST GUSH Jan 21 2022 100.0 Call / CUSIP: / Symbol: GUSH 01/21/22 C 100.000							
01/03/22	1.000	128.98	12/31/21	0.00	...	128.98	Short sale closed- option
DIREXION SHARES ETF TRUST GUSH Jan 21 2022 105.0 Call / CUSIP: / Symbol: GUSH 01/21/22 C 105.000							
01/13/22	1.000	-721.02	01/12/22	0.00	...	-721.02	Short sale closed- option
DIREXION SHARES ETF TRUST GUSH Feb 18 2022 105.0 Call / CUSIP: / Symbol: GUSH 02/18/22 C 105.000							
01/19/22	1.000	-271.03	01/18/22	0.00	...	-271.03	Short sale closed- option
MODERNA INC MRNA Feb 04 2022 175.0 Call / CUSIP: / Symbol: MRNA 02/04/22 C 175.000							
02/04/22	1.000	219.49	02/04/22	0.00	...	219.49	Option expiration short position
MODERNA INC MRNA Feb 04 2022 185.0 Call / CUSIP: / Symbol: MRNA 02/04/22 C 185.000							
01/28/22	1.000	178.98	01/27/22	0.00	...	178.98	Short sale closed- option

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Proceeds from Broker and Barter Exchange Transactions

2022 1099-B* OMB No. 1545-0715

(continued)

02/02/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MODERNA INC MRNA Feb 18 2022 180.0 Call / CUSIP: / Symbol: MRNA 02/18/22 C 180.000 02/18/22	1.000	149.49	02/18/22	0.00	...	149.49	Option expiration short position
MODERNA INC MRNA Feb 25 2022 175.0 Call / CUSIP: / Symbol: MRNA 02/25/22 C 175.000 02/25/22	1.000	124.49	02/25/22	0.00	...	124.49	Option expiration short position
MODERNA INC MRNA Mar 11 2022 150.0 Call / CUSIP: / Symbol: MRNA 03/11/22 C 150.000 03/10/22	1.000	-173.02	03/09/22	0.00	...	-173.02	Short sale closed- option
MODERNA INC MRNA Mar 18 2022 155.0 Call / CUSIP: / Symbol: MRNA 03/18/22 C 155.000 03/17/22	1.000	-897.02	03/16/22	0.00	...	-897.02	Short sale closed- option
MODERNA INC MRNA Mar 25 2022 157.5 Call / CUSIP: / Symbol: MRNA 03/25/22 C 157.500 03/22/22	1.000	-649.03	03/21/22	0.00	...	-649.03	Short sale closed- option
MODERNA INC MRNA Apr 01 2022 165.0 Call / CUSIP: / Symbol: MRNA 04/01/22 C 165.000 03/28/22	1.000	773.97	03/25/22	0.00	...	773.97	Short sale closed- option
MODERNA INC MRNA Apr 01 2022 195.0 Call / CUSIP: / Symbol: MRNA 04/01/22 C 195.000 03/31/22	1.000	98.98	03/30/22	0.00	...	98.98	Short sale closed- option
MODERNA INC MRNA Jun 17 2022 165.0 Call / CUSIP: / Symbol: MRNA 06/17/22 C 165.000 06/13/22	1.000	298.97	06/10/22	0.00	...	298.97	Short sale closed- option
MODERNA INC MRNA Jun 24 2022 140.0 Call / CUSIP: / Symbol: MRNA 06/24/22 C 140.000 06/27/22	1.000	-308.02	06/24/22	0.00	...	-308.02	Short sale closed- option
MODERNA INC MRNA Jul 01 2022 140.0 Call / CUSIP: / Symbol: MRNA 07/01/22 C 140.000 07/01/22	1.000	299.96	06/30/22	0.00	...	299.96	Short sale closed- option
MODERNA INC MRNA Jul 15 2022 170.0 Call / CUSIP: / Symbol: MRNA 07/15/22 C 170.000 07/15/22	1.000	339.48	07/15/22	0.00	...	339.48	Option expiration short position
MODERNA INC MRNA Jul 29 2022 185.0 Call / CUSIP: / Symbol: MRNA 07/29/22 C 185.000 07/29/22	1.000	249.48	07/29/22	0.00	...	249.48	Option expiration short position
MODERNA INC MRNA Aug 12 2022 205.0 Call / CUSIP: / Symbol: MRNA 08/12/22 C 205.000 08/12/22	1.000	219.48	08/12/22	0.00	...	219.48	Option expiration short position

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Proceeds from Broker and Barter Exchange Transactions

2022 1099-B* OMB No. 1545-0715

(continued)

02/02/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
MODERNA INC MRNA Aug 19 2022 185.0 Call / CUSIP: / Symbol: MRNA 08/19/22 C 185.000							
08/18/22	1.000	152.98	08/17/22	0.00	...	152.98	Short sale closed- option
MODERNA INC MRNA Jan 21 2022 225.0 Put / CUSIP: / Symbol: MRNA 01/21/22 P 225.000							
01/11/22	1.000	298.97	01/10/22	0.00	...	298.97	Short sale closed- option
NIKOLA CORPORATION NKLA Jan 21 2022 13.0 Call / CUSIP: / Symbol: NKLA 01/21/22 C 13.000							
01/21/22	1.000	11.49	01/21/22	0.00	...	11.49	Option expiration short position
NIKOLA CORPORATION NKLA Feb 04 2022 10.0 Call / CUSIP: / Symbol: NKLA 02/04/22 C 10.000							
02/04/22	12.000	197.87	02/04/22	0.00	...	197.87	Option expiration short position
NIKOLA CORPORATION NKLA Apr 01 2022 12.5 Call / CUSIP: / Symbol: NKLA 04/01/22 C 12.500							
03/31/22	12.000	83.73	03/30/22	0.00	...	83.73	Short sale closed- option
NANO X IMAGING LTD NNOX Apr 08 2022 13.5 Call / CUSIP: / Symbol: NNOX 04/08/22 C 13.500							
04/08/22	3.000	58.46	04/08/22	0.00	...	58.46	Option expiration short position
PALANTIR TECH INC PLTR Jan 07 2022 25.0 Call / CUSIP: / Symbol: PLTR 01/07/22 C 25.000							
01/07/22	2.000	24.98	01/07/22	0.00	...	24.98	Option expiration short position
PALANTIR TECH INC PLTR Feb 04 2022 16.0 Call / CUSIP: / Symbol: PLTR 02/04/22 C 16.000							
02/04/22	2.000	20.98	02/04/22	0.00	...	20.98	Option expiration short position
INVESCO QQQ TRUST QQQ Jan 14 2022 390.0 Call / CUSIP: / Symbol: QQQ 01/14/22 C 390.000							
01/11/22	1.000	84.49	01/05/22	320.51	...	-236.02	Option sale
ROKU INC ROKU Jul 08 2022 98.0 Call / CUSIP: / Symbol: ROKU 07/08/22 C 98.000							
07/08/22	1.000	101.49	07/08/22	0.00	...	101.49	Option expiration short position
ROKU INC ROKU Jan 07 2022 265.0 Call / CUSIP: / Symbol: ROKU 01/07/22 C 265.000							
01/07/22	1.000	235.49	01/07/22	0.00	...	235.49	Option expiration short position
ROKU INC ROKU Feb 18 2022 200.0 Call / CUSIP: / Symbol: ROKU 02/18/22 C 200.000							
02/18/22	1.000	294.49	02/18/22	0.00	...	294.49	Option expiration short position
ROKU INC ROKU Mar 25 2022 125.0 Call / CUSIP: / Symbol: ROKU 03/25/22 C 125.000							
03/25/22	1.000	149.49	03/25/22	0.00	...	149.49	Option expiration short position

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Proceeds from Broker and Barter Exchange Transactions

2022 1099-B* OMB No. 1545-0715

(continued)

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SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ROKU INC ROKU Apr 01 2022 144.0 Call / CUSIP: / Symbol: ROKU 04/01/22 C 144.000							
04/01/22	1.000	124.49	04/01/22	0.00	...	124.49	Option expiration short position
ROKU INC ROKU Jun 17 2022 105.0 Call / CUSIP: / Symbol: ROKU 06/17/22 C 105.000							
06/13/22	1.000	193.97	06/10/22	0.00	...	193.97	Short sale closed- option
SKILLZ INC SKLZ May 20 2022 15.0 Call / CUSIP: / Symbol: SKLZ 05/20/22 C 15.000							
02/14/22	5.000	32.44	11/05/21	877.55	...	-845.11	Option sale
TAIWAN SEMICONDUCTOR MANUFACTU TSM Sep 16 2022 145.0 Call / CUSIP: / Symbol: TSM 09/16/22 C 145.000							
09/16/22	1.000	0.00	01/21/22	695.51	...	-695.51	Option expiration
UPSTART HLDGS INC UPST Jun 17 2022 65.0 Call / CUSIP: / Symbol: UPST 06/17/22 C 65.000							
06/13/22	1.000	158.48	06/10/22	0.00	...	158.48	Short sale closed- option
UPSTART HLDGS INC UPST Jan 21 2022 150.0 Call / CUSIP: / Symbol: UPST 01/21/22 C 150.000							
01/14/22	1.000	76.98	01/13/22	0.00	...	76.98	Short sale closed- option
UPSTART HLDGS INC UPST Feb 04 2022 120.0 Call / CUSIP: / Symbol: UPST 02/04/22 C 120.000							
02/04/22	1.000	169.49	02/04/22	0.00	...	169.49	Option expiration short position
UPSTART HLDGS INC UPST Feb 18 2022 135.0 Call / CUSIP: / Symbol: UPST 02/18/22 C 135.000							
02/17/22	1.000	-666.02	02/16/22	0.00	...	-666.02	Short sale closed- option
UPSTART HLDGS INC UPST Feb 25 2022 135.0 Call / CUSIP: / Symbol: UPST 02/25/22 C 135.000							
02/22/22	1.000	772.97	02/18/22	0.00	...	772.97	Short sale closed- option
UPSTART HLDGS INC UPST Feb 25 2022 150.0 Call / CUSIP: / Symbol: UPST 02/25/22 C 150.000							
02/28/22	1.000	138.98	02/25/22	0.00	...	138.98	Short sale closed- option
UPSTART HLDGS INC UPST Mar 04 2022 155.0 Call / CUSIP: / Symbol: UPST 03/04/22 C 155.000							
03/04/22	1.000	309.49	03/04/22	0.00	...	309.49	Option expiration short position
UPSTART HLDGS INC UPST Mar 25 2022 125.0 Call / CUSIP: / Symbol: UPST 03/25/22 C 125.000							
03/25/22	1.000	398.98	03/24/22	0.00	...	398.98	Short sale closed- option
UPSTART HLDGS INC UPST Apr 01 2022 130.0 Call / CUSIP: / Symbol: UPST 04/01/22 C 130.000							
03/31/22	1.000	174.98	03/30/22	0.00	...	174.98	Short sale closed- option
Totals :		39,718.41		41,881.46		-2,163.05	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

TD Ameritrade Clearing, Inc.

Account 497558107

Proceeds from Broker and Barter Exchange Transactions

2022 1099-B* OMB No. 1545-0715

(continued)

02/02/2023

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
GILEAD SCIENCES INC COM / CUSIP: 375558103 / Symbol: GILD							
05/10/22	100.000	6,193.97	04/17/20	8,400.00	...	-2,206.03	Sale
NATIONAL BEVERAGE CORP COM / CUSIP: 635017106 / Symbol: FIZZ							
04/28/22	100.000	4,465.97	02/11/21	5,650.00	...	-1,184.03	Sale
05/10/22	100.000	4,599.97	02/11/21	5,650.00	...	-1,050.03	Sale
	Security total:	9,065.94		11,300.00	...	-2,234.06	
NIKOLA CORPORATION COM / CUSIP: 654110105 / Symbol: NKLA							
10/19/22	675.000	1,923.96	Various	10,205.00	...	-8,281.04	Sale
SNOWFLAKE INC COM CL A / CUSIP: 833445109 / Symbol: SNOW							
01/19/22	50.000	14,319.92	01/08/21	15,450.00	...	-1,130.08	Sale
MEIWU TECHNOLOGY COMPANY COM / CUSIP: G9604C107 / Symbol: WNW							
10/19/22	1,100.000	1,338.90	Various	10,664.00	...	-9,325.10	Total of 2 transactions
Totals :		32,842.69		56,019.00		-23,176.31	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

TD Ameritrade Clearing, Inc.

Account 497558107

Detail for Dividends and Distributions

2022

02/02/2023

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. Reallocation of a dividend and its tax character is determined by the issuer.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
GILEAD SCIENCES INC COM	375558103 GILD		03/30/22	73.00	Qualified dividend	
				73.00	Total Dividends & distributions	

TD Ameritrade Clearing, Inc.

Account 497558107

Fees and Expenses

2022

02/02/2023

This section of your tax information statement contains the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
Interest Charged		01/31/22	-228.15	Margin interest paid	
		02/28/22	-165.32	Margin interest paid	
		03/31/22	-183.86	Margin interest paid	
		04/29/22	-182.73	Margin interest paid	
		05/31/22	-151.10	Margin interest paid	
		06/30/22	-135.01	Margin interest paid	
		07/29/22	-149.05	Margin interest paid	
		08/31/22	-161.40	Margin interest paid	
		09/30/22	-159.51	Margin interest paid	
		10/31/22	-110.00	Margin interest paid	
		11/30/22	-101.73	Margin interest paid	
		12/30/22	-109.88	Margin interest paid	
			-1,837.74	Total Margin interest paid	
			-1,837.74	Total Margin interest paid	

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

Line 1b. Shows the portion of the amount in Line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in Lines 2b, 2c, 2d, and 2f and you report only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Form 1040.

Line 2b. Shows the portion of the amount in Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2c. Shows the portion of the amount in Line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

Line 2d. Shows the portion of the amount in Line 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2e. Shows the portion of the amount in Line 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

Line 2f. Shows the portion of the amount in Line 2a that is section 897 gain attributable to disposition of USRPI.

Note: Lines 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in Line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in Line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Form 1040.

Line 8. This Line should be left blank if a RIC reported the foreign tax shown in Line 7.

Instructions for Recipient

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You may also have a filing requirement. See the Instructions for Form 8938.

Line 12. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040SR for where to report. This amount may be subject to backup withholding. See Line 4 above.

Line 13. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in Line 12. See the Instructions for Form 6251.

Lines 14-16. State income tax withheld reporting Lines.

Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

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