

 Member FINRA/SIPC PO BOX 2209 OMAHA, NE 68103-2209 Client Services: 800-669-3900	Tax Information Account 777530988	Statement Date: 02/02/2023 Document ID: G743 F85 SZ6T	2022
	HIMANSHU KAPOOR 4837 WIGLEY RD NE MARIETTA, GA 30066-1539		
PAYER'S TIN: 47-0533629	RECIPIENT'S TIN: XXX-XX-9354		

11 - [] FATCA filing requirement (see instructions) 13 - [] FATCA filing requirement (see instructions)

<p>DIVIDENDS AND DISTRIBUTIONS 2022 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e) 234.73</p> <p>1b- Qualified dividends 234.73</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f) 0.00</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>2e- Section 897 ordinary dividends 0.00</p> <p>2f- Section 897 capital gain 0.00</p> <p>3- Nondividend distributions 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 0.00</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: See detail 9.12</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>12- Exempt-interest dividends (includes line 13) 0.00</p> <p>13- Specified private activity bond interest dividends (AMT) 0.00</p>	<p>MISCELLANEOUS INFORMATION 2022 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.00</p> <p>SECTION 1256 CONTRACTS 2022 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2022 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2021 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2022 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</p>
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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	3,279,608.34	3,348,774.28	0.00	81,194.68	12,028.74
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	3,279,608.34	3,348,774.28	0.00	81,194.68	12,028.74
Long	D (basis reported to the IRS)	8,062.85	12,192.11	0.00	0.00	-4,129.26
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	8,062.85	12,192.11	0.00	0.00	-4,129.26
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	3,287,671.19	3,360,966.39	0.00	81,194.68	7,899.48
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2022 may require an amended tax form.

TD Ameritrade Clearing, Inc.

Account 777530988

Summary Information

2022

(continued)

02/02/2023

INTEREST INCOME

2022 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.35	
2- Early withdrawal penalty	0.00	
3- Interest on US Savings Bonds & Treasury obligations	0.00	
4- Federal income tax withheld	0.00	
5- Investment expenses	0.00	
7- Foreign country or US possession:	0.00	6- Foreign tax paid:
8- Tax-exempt interest (includes line 9)	0.00	
9- Specified private activity bond interest (AMT)	0.00	
10- Market discount (covered lots)	0.00	
11- Bond premium (covered lots)	0.00	
12- Bond premium on Treasury obligations (covered lots)	0.00	
13- Bond premium on tax-exempt bonds (categorized below)	0.00	
<i>Tax-exempt obligations (covered lots)</i>	0.00	
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00	
14- Tax-exempt and tax credit bond CUSIP number		See detail
FATCA filing requirement []		

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	834.20
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	43.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

TD Ameritrade Clearing, Inc.

Account 777530988

Proceeds from Broker and Barter Exchange Transactions

2022 1099-B* OMB No. 1545-0715

02/02/2023

Sales transactions are grouped by their term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. Tax lots with an additional notation of "Ordinary" represent neither short- nor long-term capital transactions. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of proceeds received when the option was written and the cost to close the position.

Several column headings describe two related items: a quantity and a qualifier. For example, proceeds and, if the sale is the result of an option exercise or assignment, whether the proceeds are gross or net (adjusted for any option premium). When these conditions exist, the qualifier is shown to the right of the amount.

Long positions are reported based on the trade date and short positions are reported based on the settlement date of the trade.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
ACORDA THERAPEUTICS INC COM / CUSIP: 00484M601 / Symbol: ACOR							
10/17/22	6,000.000	5,519.09	10/17/22	5,460.00	...	59.09	Sale ²⁶
ADDEX THERAPEUTICS LIMITED ADR SPONSORED / CUSIP: 00654J107 / Symbol: ADXN							
07/21/22	10,000.000	19,551.20	Various	18,991.03	...	560.17	Total of 3 transactions
07/21/22	6,000.000	10,918.97	07/21/22	11,710.00	791.03 W	0.00	Sale ²⁶
	Security total:	30,470.17		30,701.03	791.03 W	560.17	
AEGLEA BIOTHERAPEUTICS INC COM / CUSIP: 00773J103 / Symbol: AGLE							
10/28/22	5,000.000	5,549.22	10/28/22	5,400.00	...	149.22	Sale ²⁶
11/01/22	10,000.000	11,798.43	11/01/22	11,600.00	...	198.43	Sale ²⁶
11/03/22	5,000.000	5,599.22	Various	5,581.00	...	18.22	Total of 2 transactions
	Security total:	22,946.87		22,581.00	...	365.87	
MOLEKULE GROUP INC COM / CUSIP: 007744105 / Symbol: MKUL							
06/06/22	6,000.000	29,818.54	Various	29,298.24	...	520.30	Total of 2 transactions
06/06/22	3,500.000	14,354.95	Various	18,031.72	3,676.77 W	0.00	Total of 2 transactions
06/07/22	187.000	2,623.52	06/07/22	2,528.28	...	95.24	Sale ²⁶
06/07/22	4,313.000	25,376.46	Various	38,386.18	13,279.56 W	269.84	Total of 3 transactions
06/10/22	750.000	8,999.69	06/07/22	9,097.11	59.96 W	-37.46	Sale ²⁶
06/13/22	600.000	10,658.67	Various	12,508.74	...	-1,850.07	Total of 2 transactions
08/09/22	1,500.000	10,574.56	08/09/22	10,380.00	...	194.56	Sale ²⁶

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TD Ameritrade Clearing, Inc.

Account 777530988

Proceeds from Broker and Barter Exchange Transactions

2022 1099-B* OMB No. 1545-0715

(continued)

02/02/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MOLEKULE GROUP INC COM / CUSIP: 007744105 / Symbol: MKUL (cont'd)							
10/04/22	1,500.000	8,174.61	10/04/22	8,955.37	...	-780.76	Sale ²⁶
10/04/22	1,500.000	7,319.63	10/04/22	8,685.00	1,365.37 W	0.00	Sale ²⁶
	Security total:	117,900.63		137,870.64	18,381.66 W	-1,588.35	
ADVENT TECHNOLOGIES HLDG INC COM CL A / CUSIP: 00788A105 / Symbol: ADN							
06/16/22	2,000.000	7,319.57	06/16/22	7,220.42	...	99.15	Sale ²⁶
06/16/22	2,000.000	7,039.58	06/16/22	7,320.00	280.42 W	0.00	Sale ²⁶
	Security total:	14,359.15		14,540.42	280.42 W	99.15	
ADVANCED MICRO DEVICES INC COM / CUSIP: 007903107 / Symbol: AMD							
10/11/22	50.000	3,276.41	10/06/22	3,275.50	...	0.91	Short sale closed ²⁶
AGRIFY CORPORATION 1:10 R/S 10/18/22 00853E206 / CUSIP: 00853E107 / Symbol:							
10/12/22	10,000.000	11,098.45	Various	10,880.42	...	218.03	Total of 2 transactions
10/12/22	6,000.000	6,119.08	10/12/22	6,719.90	600.82 W	0.00	Sale ²⁶
10/14/22	6,000.000	7,979.04	10/14/22	9,019.40	1,050.80 W	10.44	Sale ²⁶
10/17/22	5,000.000	8,549.15	10/14/22	7,647.80	...	901.35	Sale ²⁶
	Security total:	33,745.72		34,267.52	1,651.62 W	1,129.82	
AKANDA CORP COM / CUSIP: 00971M106 / Symbol: AKAN							
06/16/22	6,000.000	7,979.04	06/16/22	7,620.00	...	359.04	Sale ²⁶
06/17/22	5,000.000	7,499.18	06/17/22	7,399.50	...	99.68	Sale ²⁶
07/12/22	7,000.000	8,188.90	07/12/22	7,980.00	...	208.90	Sale ²⁶
	Security total:	23,667.12		22,999.50	...	667.62	
AKILI INC COM / CUSIP: 00974B107 / Symbol: AKLI							
08/31/22	1,500.000	6,865.65	Various	7,035.00	...	-169.35	Total of 3 transactions
ATERIAN INC COM / CUSIP: 02156U101 / Symbol: ATER							
04/04/22	4,000.000	15,319.80	04/04/22	14,880.00	...	439.80	Sale ²⁶
04/08/22	4,000.000	18,959.38	04/08/22	18,840.00	...	119.38	Sale ²⁶
04/12/22	3,000.000	14,849.53	04/12/22	14,255.07	...	594.46	Sale ²⁶
04/12/22	3,000.000	14,634.03	Various	14,790.00	155.97 W	0.00	Total of 4 transactions
04/13/22	3,000.000	16,499.53	04/13/22	16,260.00	...	239.53	Sale ²⁶
	Security total:	80,262.27		79,025.07	155.97 W	1,393.17	

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TD Ameritrade Clearing, Inc.

Account 777530988

Proceeds from Broker and Barter Exchange Transactions

2022 1099-B* OMB No. 1545-0715

(continued)

02/02/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMBRX BIOPHARMA INC ADR / CUSIP: 02290A102 / Symbol: AMAM							
12/09/22	2,500.000	10,324.43	12/09/22	10,293.01	...	31.42	Sale ²⁶
12/09/22	2,500.000	7,949.49	12/09/22	10,325.00	2,375.51 W	0.00	Sale ²⁶
	Security total:	18,273.92		20,618.01	2,375.51 W	31.42	
AMAZON COM INC COM / CUSIP: 023135106 / Symbol: AMZN							
05/20/22	1.000	2,114.95	05/12/22	2,050.00	...	64.95	Sale ²⁶
09/20/22	70.000	8,679.79	Various	8,578.93	...	100.86	Sale ²⁶
	Security total:	10,794.74		10,628.93	...	165.81	
AMPRIUS TECHNOLOGIES INC COM / CUSIP: 03214Q108 / Symbol: AMPX							
10/19/22	3,000.000	32,308.86	Various	32,069.53	...	239.33	Total of 2 transactions
10/19/22	1,500.000	14,534.47	10/19/22	16,709.00	2,174.53 W	0.00	Sale ²⁶
	Security total:	46,843.33		48,778.53	2,174.53 W	239.33	
APPLIED DNA SCIENCES INC COM / CUSIP: 03815U300 / Symbol: APDN							
08/02/22	6,000.000	10,078.99	08/02/22	9,960.00	...	118.99	Sale ²⁶
10/10/22	4,000.000	9,519.26	10/10/22	9,399.82	...	119.44	Sale ²⁶
10/12/22	2,000.000	4,819.63	10/12/22	4,800.00	...	19.63	Sale ²⁶
10/12/22	2,000.000	4,799.63	10/12/22	4,800.00	0.37 W	0.00	Sale ²⁶
10/13/22	3,500.000	10,934.29	Various	10,810.47	...	123.82	Total of 2 transactions
10/13/22	1,500.000	4,499.70	10/13/22	4,710.00	70.10 W	-140.20	Sale ²⁶
	Security total:	44,651.50		44,480.29	70.47 W	241.68	
APPLIED UV INC COM / CUSIP: 03828V105 / Symbol: AUVI							
06/07/22	750.000	1,259.87	06/07/22	1,245.13	...	14.74	Sale ²⁶
06/07/22	13,250.000	21,963.18	Various	21,996.05	32.87 W	0.00	Total of 3 transactions
06/14/22	7,000.000	26,331.48	Various	26,296.69	...	34.79	Total of 5 transactions
12/20/22	5,000.000	7,149.19	12/20/22	7,100.00	...	49.19	Sale ²⁶
	Security total:	56,703.72		56,637.87	32.87 W	98.72	
ARDELYX INC COM / CUSIP: 039697107 / Symbol: ARDX							
11/17/22	3,000.000	5,594.48	11/17/22	5,548.80	...	45.68	Sale ²⁶
11/18/22	4,000.000	8,139.30	Various	8,199.20	...	-59.90	Total of 2 transactions
	Security total:	13,733.78		13,748.00	...	-14.22	

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TD Ameritrade Clearing, Inc.

Account 777530988

Proceeds from Broker and Barter Exchange Transactions

2022 1099-B* OMB No. 1545-0715

(continued)

02/02/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ATRECA INC COM CL A / CUSIP: 04965G109 / Symbol: BCEL							
03/08/22	3,000.000	15,899.56	03/04/22	15,000.00	...	899.56	Short sale closed ²⁶
AVAYA HOLDINGS CORP COM / CUSIP: 05351X101 / Symbol: AVYA							
08/23/22	2,000.000	2,079.69	08/23/22	2,390.03	...	-310.34	Sale ²⁶
08/23/22	3,000.000	3,509.53	08/23/22	3,569.95	10.03 W	-50.39	Sale ²⁶
08/24/22	1,000.000	1,199.84	08/23/22	1,190.00	...	9.84	Sale ²⁶
	Security total:	6,789.06		7,149.98	10.03 W	-350.89	
BETTER THEAPEUTICS INC COM / CUSIP: 08773T104 / Symbol: BTTX							
05/02/22	10,000.000	16,198.62	05/02/22	15,801.37	...	397.25	Sale ²⁶
05/02/22	10,000.000	14,598.63	05/02/22	16,000.00	1,401.37 W	0.00	Sale ²⁶
	Security total:	30,797.25		31,801.37	1,401.37 W	397.25	
BIOVIE INC COM CL A / CUSIP: 09074F207 / Symbol: BIVI							
12/08/22	1,000.000	8,337.68	Various	8,290.00	...	47.68	Total of 2 transactions
12/12/22	500.000	4,899.82	12/12/22	4,854.20	...	45.62	Sale ²⁶
	Security total:	13,237.50		13,144.20	...	93.30	
BIOAFFINITY TECHNOLOGIES INC COM / CUSIP: 09076W109 / Symbol: BIAF							
09/14/22	2,500.000	15,078.94	Various	16,375.41	...	-1,296.47	Total of 4 transactions
09/14/22	1,500.000	9,299.59	09/14/22	10,140.00	840.41 W	0.00	Sale ²⁶
	Security total:	24,378.53		26,515.41	840.41 W	-1,296.47	
BIOATLA INC COM / CUSIP: 09077B104 / Symbol: BCAB							
08/10/22	1,500.000	10,499.56	08/10/22	11,040.00	...	-540.44	Sale ²⁶
BLUEBIRD BIO INC COM / CUSIP: 09609G100 / Symbol: BLUE							
06/17/22	2,000.000	8,199.55	06/17/22	8,080.00	...	119.55	Sale ²⁶
06/22/22	2,500.000	11,199.41	06/22/22	11,200.00	...	-0.59	Sale ²⁶
	Security total:	19,398.96		19,280.00	...	118.96	
BLUE WTR VACCINES INC COM / CUSIP: 09610B108 / Symbol: BWV							
05/03/22	2,000.000	13,559.67	05/03/22	13,359.32	...	200.35	Sale ²⁶
05/03/22	2,000.000	12,639.68	05/03/22	13,400.00	760.32 W	0.00	Sale ²⁶
05/09/22	1,500.000	8,624.76	05/09/22	7,635.00	...	989.76	Sale ²⁶
05/09/22	3,000.000	15,329.52	Various	17,445.24	2,115.72 W	0.00	Total of 2 transactions

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TD Ameritrade Clearing, Inc.

Account 777530988

Proceeds from Broker and Barter Exchange Transactions

2022 1099-B* OMB No. 1545-0715

(continued)

02/02/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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BLUE WTR VACCINES INC COM / CUSIP: 09610B108 / Symbol: BWV (cont'd)							
05/11/22	700.000	4,592.89	Various	5,040.22	447.33 W	0.00	Total of 2 transactions
05/13/22	2,000.000	14,499.67	05/13/22	15,336.19	...	-836.52	Sale ²⁶
	Security total:	69,246.19		72,215.97	3,323.37 W	353.59	
BOXED INC COM / CUSIP: 103174108 / Symbol: BOXD							
06/22/22	5,000.000	11,349.09	06/22/22	11,150.00	...	199.09	Sale ²⁶
06/27/22	3,000.000	8,024.42	Various	8,820.00	795.58 W	0.00	Total of 2 transactions
07/13/22	8,000.000	17,718.55	Various	18,475.76	596.48 W	-160.73	Total of 2 transactions
07/18/22	5,000.000	11,249.09	07/18/22	11,806.30	...	-557.21	Sale ²⁶
	Security total:	48,341.15		50,252.06	1,392.06 W	-518.85	
ETERNA THERAPEUTICS INC COM / CUSIP: 114082209 / Symbol: ERNA							
12/07/22	2,328.000	11,989.02	Various	11,951.20	...	37.82	Total of 3 transactions
12/08/22	500.000	2,499.87	12/07/22	2,700.00	...	-200.13	Sale ²⁶
12/09/22	672.000	3,553.99	Various	3,628.80	...	-74.81	Total of 2 transactions
	Security total:	18,042.88		18,280.00	...	-237.12	
CABALETTA BIO INC COM / CUSIP: 12674W109 / Symbol: CABA							
12/14/22	1,000.000	6,889.70	Various	7,230.00	...	-340.30	Total of 2 transactions
CARVANA CO COM CL A / CUSIP: 146869102 / Symbol: CVNA							
12/08/22	2,000.000	9,839.51	12/08/22	9,800.00	...	39.51	Sale ²⁶
12/09/22	1,500.000	8,084.61	12/09/22	7,980.37	...	104.24	Sale ²⁶
12/09/22	2,000.000	10,499.50	12/09/22	10,655.00	180.37 W	24.87	Sale ²⁶
	Security total:	28,423.62		28,435.37	180.37 W	168.62	
CHIMERIX INC COM / CUSIP: 16934W106 / Symbol: CMRX							
07/26/22	5,000.000	12,599.06	07/26/22	12,400.00	...	199.06	Sale ²⁶
08/04/22	7,000.000	19,558.94	Various	19,169.79	...	389.15	Total of 2 transactions
08/04/22	4,000.000	10,999.23	08/04/22	11,019.70	50.19 W	29.72	Sale ²⁶
	Security total:	43,157.23		42,589.49	50.19 W	617.93	

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(continued)

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CLARUS THERAPEUTICS HLDG INC COM / CUSIP: 18271L107 / Symbol: CRXTQ							
04/20/22	5,000.000	13,099.28	04/20/22	12,600.71	...	498.57	Sale ²⁶
04/20/22	7,000.000	15,259.01	04/20/22	17,110.00	1,950.71 W	99.72	Sale ²⁶
	Security total:	28,358.29		29,710.71	1,950.71 W	598.29	
CLENE INC COM / CUSIP: 185634102 / Symbol: CLNN							
07/14/22	5,500.000	21,358.79	Various	21,215.64	...	143.15	Total of 2 transactions
07/14/22	3,000.000	11,009.36	07/14/22	11,780.00	770.64 W	0.00	Sale ²⁶
07/15/22	2,500.000	11,374.41	07/15/22	11,350.00	...	24.41	Sale ²⁶
	Security total:	43,742.56		44,345.64	770.64 W	167.56	
COMERA LIFE SCIENCES HOLDINGS COM / CUSIP: 20037C108 / Symbol: CMRA							
07/27/22	2,500.000	8,699.47	07/27/22	8,600.00	...	99.47	Sale ²⁶
07/27/22	1,500.000	5,159.68	07/27/22	5,160.00	0.32 W	0.00	Sale ²⁶
07/28/22	3,000.000	11,639.34	07/28/22	11,670.32	...	-30.98	Sale ²⁶
	Security total:	25,498.49		25,430.32	0.32 W	68.49	
CREATD INC COM / CUSIP: 225265107 / Symbol: CRTD							
04/13/22	10,000.000	16,198.62	04/13/22	16,001.37	...	197.25	Sale ²⁶
04/13/22	10,000.000	13,798.63	04/13/22	16,000.00	2,201.37 W	0.00	Sale ²⁶
	Security total:	29,997.25		32,001.37	2,201.37 W	197.25	
CYNGN INC COM / CUSIP: 23257B107 / Symbol: CYN							
04/29/22	3,000.000	16,739.52	04/29/22	16,410.00	...	329.52	Sale ²⁶
05/10/22	1,015.000	4,973.34	05/10/22	4,902.45	...	70.89	Sale ²⁶
	Security total:	21,712.86		21,312.45	...	400.41	
DAVE INC 1:32 R/S 1/6/23 23834J201 / CUSIP: 23834J102 / Symbol:							
02/01/22	200.000	2,599.97	02/01/22	2,600.00	...	-0.03	Sale ²⁶
DIGITAL BRANDS GROUP INC COM / CUSIP: 25401N309 / Symbol: DBGI							
11/28/22	2,044.000	18,593.47	Various	18,335.48	...	257.99	Total of 2 transactions
EARGO INC 1:20 R/S 1/18/23 270087208 / CUSIP: 270087109 / Symbol:							
08/16/22	7,000.000	13,578.78	08/16/22	13,230.00	...	348.78	Sale ²⁶

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(continued)

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EFFECTOR THERAPEUTICS INC COM / CUSIP: 28202V108 / Symbol: EFTR							
08/09/22	5,000.000	9,149.14	08/09/22	9,000.00	...	149.14	Sale ²⁶
EKSO BIONICS HOLDINGS INC COM / CUSIP: 282644301 / Symbol: EKSO							
06/13/22	3,000.000	7,859.43	06/13/22	7,860.00	...	-0.57	Sale ²⁶
ENERGY FOCUS INC COM / CUSIP: 29268T409 / Symbol: EFOI							
06/01/22	7,000.000	11,338.83	06/01/22	10,990.00	...	348.83	Sale ²⁶
06/03/22	68.000	104.71	06/03/22	105.40	...	-0.69	Sale ²⁶
	Security total:	11,443.54		11,095.40	...	348.14	
ENSYSCE BIOSCIENCES INC COM / CUSIP: 293602207 / Symbol: ENSC							
11/14/22	4,000.000	9,599.26	11/14/22	9,480.00	...	119.26	Sale ²⁶
11/25/22	3,000.000	12,134.37	Various	12,000.00	...	134.37	Total of 2 transactions
	Security total:	21,733.63		21,480.00	...	253.63	
EVOFEM BIOSCIENCES INC COM / CUSIP: 30048L203 / Symbol: EVFM							
07/06/22	7,000.000	9,168.88	07/06/22	9,100.00	...	68.88	Sale ²⁶
07/07/22	12,000.000	18,478.02	Various	18,291.14	...	186.88	Total of 2 transactions
07/07/22	7,000.000	10,218.86	07/07/22	10,810.00	591.14 W	0.00	Sale ²⁶
	Security total:	37,865.76		38,201.14	591.14 W	255.76	
FANGDD NETWORK GROUP LTD ADR / CUSIP: 30712L208 / Symbol: DUO							
10/25/22	5,000.000	7,574.16	Various	7,493.00	...	81.16	Total of 2 transactions
FARADAY FUTURE INTELLIGENT ELE COM / CUSIP: 307359109 / Symbol: FFIE							
07/07/22	1,500.000	9,419.58	07/07/22	9,420.00	0.42 W	0.00	Sale ²⁶
07/15/22	3,000.000	22,694.23	Various	22,619.92	...	74.31	Total of 2 transactions
	Security total:	32,113.81		32,039.92	0.42 W	74.31	
FAZE HOLDINGS INC COM / CUSIP: 31423J102 / Symbol: FAZE							
08/05/22	270.000	3,786.14	Various	4,124.34	...	-338.20	Total of 3 transactions
08/05/22	230.000	3,196.90	08/05/22	3,415.50	95.04 W	-123.56	Sale ²⁶
	Security total:	6,983.04		7,539.84	95.04 W	-461.76	
FOXO TECHNOLOGIES INC COM CL A / CUSIP: 351471107 / Symbol: FOXO							
10/12/22	5,000.000	7,649.17	10/12/22	7,600.00	...	49.17	Sale ²⁶

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FUBOTV INC COM / CUSIP: 35953D104 / Symbol: FUBO							
08/16/22	5,500.000	27,374.41	Various	27,228.18	...	146.23	Total of 2 transactions
08/16/22	3,000.000	13,949.29	08/16/22	15,219.22	1,269.93 W	0.00	Sale ²⁶
	Security total:	41,323.70		42,447.40	1,269.93 W	146.23	
GAOTU TECHEDU INC ADR / CUSIP: 36257Y109 / Symbol: GOTU							
12/30/22	250.000	599.95	11/03/22	189.00	...	410.95	Sale ²⁶
GEOVAX LABS INC COM / CUSIP: 373678507 / Symbol: GOVX							
07/26/22	11,000.000	21,668.08	Various	21,219.93	...	448.15	Total of 2 transactions
07/26/22	6,000.000	11,098.97	07/26/22	11,899.50	800.53 W	0.00	Sale ²⁶
	Security total:	32,767.05		33,119.43	800.53 W	448.15	
GILEAD SCIENCES INC COM / CUSIP: 375558103 / Symbol: GILD							
10/28/22	3.438	273.39	Various	221.08	...	52.31	Total of 2 transactions
GLOBAL TECH INDUSTRIES GRP INC COM / CUSIP: 37955R107 / Symbol: GTII							
11/30/22	5,000.000	8,993.19	11/30/22	9,005.95	...	-12.76	Sale ²⁶
GROM SOCIAL ENTERPRISES INC COM / CUSIP: 39878L407 / Symbol: GROM							
12/13/22	4,000.000	7,119.32	12/13/22	7,040.00	...	79.32	Sale ²⁶
HTG MOLECULAR DIAGNOSTICS INC 1:12 R/S 12/21/22 40434H302 / CUSIP: 40434H203 / Symbol:							
06/29/22	7,000.000	9,098.88	06/29/22	9,100.00	...	-1.12	Sale ²⁶
HEARTBEAM INC COM / CUSIP: 42238H108 / Symbol: BEAT							
09/15/22	3,000.000	7,109.45	09/15/22	7,045.65	...	63.80	Sale ²⁶
HEARTCORE ENTERPRISES INC COM / CUSIP: 42240Q104 / Symbol: HTCR							
10/27/22	4,000.000	9,279.27	10/27/22	9,160.00	...	119.27	Sale ²⁶
HELBIZ INC COM / CUSIP: 42309B204 / Symbol: HLBZ							
08/08/22	10,000.000	12,998.40	08/08/22	12,800.00	...	198.40	Sale ²⁶
08/09/22	4,000.000	9,159.27	08/09/22	9,080.00	...	79.27	Sale ²⁶
	Security total:	22,157.67		21,880.00	...	277.67	
HOUSTON AMER ENERG COM / CUSIP: 44183U209 / Symbol: HUSA							
06/07/22	1,930.000	12,946.94	Various	12,990.80	...	-43.86	Total of 3 transactions

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HYCROFT MINING HOLDING CORP COM CL A / CUSIP: 44862P109 / Symbol: HYMC							
03/28/22	7,000.000	13,999.02	03/28/22	14,000.00	...	-0.98	Sale ²⁶
IMMIX BIOPHARMA INC COM / CUSIP: 45258H106 / Symbol: IMMIX							
12/14/22	2,500.000	5,624.54	12/14/22	5,650.45	...	-25.91	Sale ²⁶
12/14/22	3,000.000	6,689.46	12/14/22	6,825.00	150.45 W	14.91	Sale ²⁶
	Security total:	12,314.00		12,475.45	150.45 W	-11.00	
IMMUNIC INC COM / CUSIP: 4525EP101 / Symbol: IMUX							
10/10/22	3,000.000	17,654.20	Various	18,088.15	433.95 W	0.00	Total of 2 transactions
10/11/22	3,000.000	21,179.12	Various	21,403.97	...	-224.85	Total of 2 transactions
10/11/22	1,500.000	9,809.58	10/11/22	10,725.00	915.42 W	0.00	Sale ²⁶
	Security total:	48,642.90		50,217.12	1,349.37 W	-224.85	
IN8BIO INC COM / CUSIP: 45674E109 / Symbol: INAB							
08/30/22	3,000.000	8,819.41	08/30/22	8,700.00	...	119.41	Sale ²⁶
INPIXON COM / CUSIP: 45790J867 / Symbol: INPX							
10/17/22	1,500.000	13,004.50	10/17/22	12,915.00	...	89.50	Sale ²⁶
INVITAE CORP COM / CUSIP: 46185L103 / Symbol: NVTA							
08/10/22	6,000.000	28,038.58	Various	27,831.81	...	206.77	Total of 3 transactions
08/10/22	3,500.000	15,819.18	08/10/22	16,634.95	815.77 W	0.00	Sale ²⁶
	Security total:	43,857.76		44,466.76	815.77 W	206.77	
IONQ INC COM / CUSIP: 46222L108 / Symbol: IONQ							
08/16/22	1,500.000	12,824.51	08/16/22	12,836.82	...	-12.31	Sale ²⁶
JIANZHI EDUCATION TECH GRP CO ADR / CUSIP: 47737L104 / Symbol: JZ							
09/14/22	3,000.000	14,009.29	09/14/22	13,787.00	...	222.29	Sale ²⁶
KIDPIK CORP COM / CUSIP: 49382L108 / Symbol: PIK							
07/22/22	5,000.000	12,449.06	07/22/22	12,450.00	0.94 W	0.00	Sale ²⁶
07/25/22	6,000.000	20,938.74	Various	20,006.16	...	932.58	Total of 2 transactions
07/25/22	3,500.000	11,269.28	07/25/22	12,185.09	405.31 W	-510.50	Sale ²⁶
	Security total:	44,657.08		44,641.25	406.25 W	422.08	

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KINTARA THERAPEUTICS INC COM / CUSIP: 49720K200 / Symbol: KTRA							
11/30/22	1,500.000	9,524.58	11/30/22	9,525.00	...	-0.42	Sale ²⁶
KIORA PHARMACEUTICALS INC COM / CUSIP: 49721T309 / Symbol: KPRX							
10/18/22	1,500.000	14,144.48	10/18/22	14,040.00	...	104.48	Sale ²⁶
LASER PHOTONICS CORP COM / CUSIP: 51807Q100 / Symbol: LASE							
10/11/22	3,000.000	8,759.41	10/11/22	8,670.00	...	89.41	Sale ²⁶
10/13/22	3,000.000	13,199.31	10/13/22	13,140.00	...	59.31	Sale ²⁶
10/14/22	1,500.000	7,754.62	10/14/22	7,755.00	...	-0.38	Sale ²⁶
	Security total:	29,713.34		29,565.00	...	148.34	
LEXICON PHARMACEUTICALS INC COM / CUSIP: 528872302 / Symbol: LXRX							
07/05/22	5,000.000	12,499.06	07/05/22	12,400.00	...	99.06	Sale ²⁶
MSP RECOVERY INC COM CL A / CUSIP: 553745100 / Symbol: LIFW							
06/23/22	3,000.000	7,334.43	Various	7,800.00	...	-465.57	Total of 2 transactions
MARIN SOFTWARE INC COM / CUSIP: 56804T205 / Symbol: MRIN							
12/09/22	5,000.000	8,599.65	12/09/22	8,499.50	...	100.15	Sale ²⁶
MEDAVAIL HOLDINGS INC COM / CUSIP: 58406B103 / Symbol: MDVL							
04/21/22	2,000.000	7,059.70	04/21/22	6,880.29	...	179.41	Sale ²⁶
04/21/22	3,000.000	10,259.56	04/21/22	10,360.00	160.29 W	59.85	Sale ²⁶
	Security total:	17,319.26		17,240.29	160.29 W	239.26	
MEREIO BIOPHARMA GROUP PLC ADR / CUSIP: 589492107 / Symbol: MREO							
06/21/22	9,000.000	15,318.78	Various	15,246.77	...	72.01	Total of 3 transactions
06/21/22	6,000.000	9,838.99	06/21/22	10,420.00	581.01 W	0.00	Sale ²⁶
	Security total:	25,157.77		25,666.77	581.01 W	72.01	
META MATERIALS INC COM / CUSIP: 59134N104 / Symbol: MMAT							
10/13/22	6,000.000	7,510.01	Various	7,440.00	...	70.01	Total of 2 transactions
MOBILE GLOBAL ESPORTS INC COM / CUSIP: 607371101 / Symbol: MGAM							
08/30/22	2,000.000	9,759.52	08/30/22	9,699.80	...	59.72	Sale ²⁶

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Account 777530988

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2022 1099-B* OMB No. 1545-0715

(continued)

02/02/2023

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MOTUS GI HOLDINGS INC COM / CUSIP: 62014P405 / Symbol: MOTS							
10/06/22	2,500.000	7,799.49	10/06/22	7,749.63	...	49.86	Sale ²⁶
NRX PHARMACEUTICALS INC COM / CUSIP: 629444100 / Symbol: NRXP							
07/29/22	10,000.000	9,879.88	Various	10,300.00	...	-420.12	Total of 4 transactions
NANOVIRICIDES INC COM / CUSIP: 630087302 / Symbol: NNVC							
08/04/22	3,000.000	11,009.36	08/04/22	10,980.00	...	29.36	Sale ²⁶
NAUTICUS ROBOTICS INC COM / CUSIP: 63911H108 / Symbol: KITT							
10/06/22	1,194.000	8,238.25	10/06/22	7,765.62	...	472.63	Sale ²⁶
NEPTUNE WELLNESS SOLUTIONS INC COM / CUSIP: 64079L204 / Symbol: NEPT							
08/18/22	6,000.000	13,198.92	08/18/22	12,780.00	...	418.92	Sale ²⁶
08/23/22	6,000.000	18,298.80	Various	18,210.57	...	88.23	Total of 2 transactions
08/23/22	3,000.000	7,799.43	08/23/22	9,540.00	1,740.57 W	0.00	Sale ²⁶
	Security total:	39,297.15		40,530.57	1,740.57 W	507.15	
NEW ORIENTAL EDUCATION AND TEC ADR SPONSORED / CUSIP: 647581206 / Symbol: EDU							
07/27/22	75.000	1,923.71	07/27/22	1,972.50	...	-48.79	Sale ²⁶
NIKOLA CORPORATION COM / CUSIP: 654110105 / Symbol: NKLA							
03/29/22	1,500.000	16,514.87	03/29/22	16,395.00	...	119.87	Sale ²⁶
NUWELLIS INC 1:100 R/S 12/12/22 67113Y405 / CUSIP: 67113Y108 / Symbol:							
08/31/22	7,000.000	9,990.86	Various	9,380.00	...	610.86	Total of 3 transactions
ONCOSEC MEDICAL INCORPORATED COM / CUSIP: 68234L405 / Symbol: ONCS							
11/29/22	2,600.000	9,990.43	Various	9,915.51	...	74.92	Total of 2 transactions
11/29/22	2,400.000	8,759.49	11/29/22	9,192.00	432.51 W	0.00	Sale ²⁶
	Security total:	18,749.92		19,107.51	432.51 W	74.92	
180 LIFE SCIENCES CORP COM / CUSIP: 68236V203 / Symbol: ATNF							
12/30/22	2,500.000	8,974.46	12/30/22	8,910.00	...	64.46	Sale ²⁶

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ORAMED PHARMACEUTICALS INC COM / CUSIP: 68403P203 / Symbol: ORMP							
07/08/22	1,000.000	8,219.68	07/08/22	8,130.32	...	89.36	Sale ²⁶
07/08/22	1,000.000	8,099.68	07/08/22	8,190.00	90.32 W	0.00	Sale ²⁶
	Security total:	16,319.36		16,320.32	90.32 W	89.36	
ORIC PHARMACEUTICALS INC COM / CUSIP: 68622P109 / Symbol: ORIC							
12/23/22	2,500.000	15,074.32	12/23/22	14,965.15	...	109.17	Sale ²⁶
PALISADE BIO INC COM / CUSIP: 696389204 / Symbol: PALI							
12/30/22	3,000.000	22,875.08	Various	22,649.27	...	225.81	Total of 4 transactions
12/30/22	1,500.000	10,589.56	12/30/22	11,728.68	1,139.12 W	0.00	Sale ²⁶
	Security total:	33,464.64		34,377.95	1,139.12 W	225.81	
PARAMOUNT GOLD NEVADA CORP COM / CUSIP: 69924M109 / Symbol: PZG							
03/11/22	12,000.000	12,598.51	03/11/22	12,480.00	...	118.51	Sale ²⁶
PHOENIX MTR INC COM / CUSIP: 71910P203 / Symbol: PEV							
06/23/22	1,000.000	8,459.68	06/23/22	8,460.00	...	-0.32	Sale ²⁶
PINEAPPLE ENERGY INC COM / CUSIP: 72303P107 / Symbol: PEGY							
10/06/22	180.000	820.76	10/06/22	807.32	...	13.44	Sale ²⁶
10/06/22	2,000.000	8,239.55	10/06/22	8,272.72	69.34 W	36.17	Sale ²⁶
10/10/22	2,000.000	9,319.53	10/10/22	9,240.00	...	79.53	Sale ²⁶
10/11/22	1,500.000	7,465.20	Various	7,680.00	...	-214.80	Total of 2 transactions
	Security total:	25,845.04		26,000.04	69.34 W	-85.66	
QUALCOMM INC COM / CUSIP: 747525103 / Symbol: QCOM							
11/07/22	20.000	2,109.95	11/03/22	2,070.00	...	39.95	Short sale closed ²⁶
QUANERGY SYSTEMS INC COM CL A / CUSIP: 74764U203 / Symbol: QNGYQ							
10/28/22	4,020.000	13,040.69	Various	12,868.14	...	172.55	Total of 3 transactions
10/28/22	3,000.000	6,659.46	10/28/22	10,971.47	4,325.45 W	13.44	Sale ²⁶
	Security total:	19,700.15		23,839.61	4,325.45 W	185.99	

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RA MEDICAL SYSTEMS INC COM / CUSIP: 74933X302 / Symbol: RMED							
12/06/22	4,692.000	25,752.26	Various	25,772.28	...	-20.02	Total of 3 transactions
12/06/22	5,308.000	28,093.02	Various	29,278.38	1,160.56 W	-24.80	Total of 4 transactions
	Security total:	53,845.28		55,050.66	1,160.56 W	-44.82	
REDBOX ENTERTAINMENT INC 0.087:1 EXC 8/12/22 16842Q100 / CUSIP: 75724T103 / Symbol: RDBX							
04/21/22	3,000.000	10,934.54	Various	10,950.00	...	-15.46	Total of 2 transactions
RENT THE RUNWAY INC COM CL A / CUSIP: 76010Y103 / Symbol: RENT							
12/08/22	2,500.000	5,924.53	12/08/22	5,900.00	...	24.53	Sale ²⁶
12/09/22	2,500.000	6,524.52	12/09/22	6,525.00	0.48 W	0.00	Sale ²⁶
12/14/22	3,497.000	12,130.00	Various	12,044.44	...	85.56	Total of 3 transactions
	Security total:	24,579.05		24,469.44	0.48 W	110.09	
REVLON INC COM CL A / CUSIP: 761525609 / Symbol: REVRQ							
08/16/22	1,000.000	9,139.66	08/16/22	9,450.26	...	-310.60	Sale ²⁶
08/16/22	750.000	7,064.74	08/16/22	7,132.50	67.76 W	0.00	Sale ²⁶
	Security total:	16,204.40		16,582.76	67.76 W	-310.60	
ROBLOX CORP COM CL A / CUSIP: 771049103 / Symbol: RBLX							
02/10/22	50.000	3,424.97	01/28/22	2,700.00	...	724.97	Sale ²⁶
SENTI BIOSCIENCES INC COM / CUSIP: 81726A100 / Symbol: SNTI							
10/03/22	3,500.000	9,414.32	09/19/22	8,819.30	...	595.02	Sale ²⁶
10/04/22	4,000.000	16,924.09	Various	16,621.29	...	302.80	Total of 2 transactions
10/04/22	4,500.000	17,874.00	Various	18,810.40	961.29 W	24.89	Total of 2 transactions
10/05/22	100.000	437.98	10/05/22	426.00	...	11.98	Sale ²⁶
10/06/22	3,500.000	25,568.95	Various	25,110.57	...	458.38	Total of 3 transactions
10/06/22	2,500.000	14,254.34	Various	18,840.00	4,585.66 W	0.00	Total of 2 transactions
	Security total:	84,473.68		88,627.56	5,546.95 W	1,393.07	
SHUTTLE PHARMAS HLDGS INC COM / CUSIP: 825693203 / Symbol: SHPH							
12/05/22	2,500.000	7,749.49	12/05/22	7,750.00	...	-0.51	Sale ²⁶

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SIDUS SPACE INC COM / CUSIP: 826165102 / Symbol: SIDU							
06/15/22	3,500.000	13,049.25	Various	12,774.96	...	274.29	Total of 2 transactions
07/19/22	3,000.000	11,609.34	07/19/22	11,459.14	...	150.20	Sale ²⁶
07/19/22	3,000.000	11,099.36	07/19/22	11,518.50	419.14 W	0.00	Sale ²⁶
	Security total:	35,757.95		35,752.60	419.14 W	424.49	
SIGA TECHNOLOGIES INC COM / CUSIP: 826917106 / Symbol: SIGA							
06/02/22	1,500.000	19,199.36	06/02/22	19,110.00	...	89.36	Sale ²⁶
07/26/22	300.000	4,889.85	07/26/22	4,875.00	...	14.85	Sale ²⁶
	Security total:	24,089.21		23,985.00	...	104.21	
SNOW LAKE RESOURCES LTD COM / CUSIP: 83336J208 / Symbol: LITM							
03/17/22	1,500.000	13,066.45	Various	12,750.00	...	316.45	Total of 2 transactions
03/30/22	2,000.000	17,599.65	03/30/22	17,400.00	...	199.65	Sale ²⁶
	Security total:	30,666.10		30,150.00	...	516.10	
SONIM TECHNOLOGIES INC COM / CUSIP: 83548F200 / Symbol: SONM							
03/15/22	10,000.000	9,810.77	Various	9,650.00	...	160.77	Total of 2 transactions
SONNET BIOTHERAPEUTICS HLDGS I COM / CUSIP: 83548R204 / Symbol: SONN							
10/31/22	6,957.000	12,962.08	Various	14,709.68	1,630.68 W	-116.92	Total of 3 transactions
11/01/22	3,000.000	7,859.43	11/01/22	8,094.55	...	-235.12	Sale ²⁶
	Security total:	20,821.51		22,804.23	1,630.68 W	-352.04	
SUMMIT THERAPEUTICS INC COM / CUSIP: 86627T108 / Symbol: SMMT							
12/06/22	8,500.000	16,528.50	Various	16,417.96	...	110.54	Total of 4 transactions
12/06/22	9,000.000	14,510.09	Various	17,274.68	2,769.96 W	5.37	Total of 3 transactions
12/08/22	2,500.000	10,574.43	12/08/22	10,450.51	...	123.92	Sale ²⁶
12/08/22	2,500.000	10,024.44	12/08/22	10,525.00	500.56 W	0.00	Sale ²⁶
	Security total:	51,637.46		54,668.15	3,270.52 W	239.83	
TMC THE METALS CO INC COM / CUSIP: 87261Y106 / Symbol: TMC							
03/14/22	3,000.000	9,699.59	Various	9,657.60	...	41.99	Total of 2 transactions
TABULA RASA HEALTHCARE INC COM / CUSIP: 873379101 / Symbol: TRHC							
07/21/22	3,000.000	12,659.32	07/21/22	12,510.00	...	149.32	Sale ²⁶

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TAKUNG ART CO LTD COM / CUSIP: 87407Q207 / Symbol: TKAT							
04/08/22	3,000.000	12,929.54	04/08/22	12,990.00	...	-60.46	Sale ²⁶
TAL EDUCATION GROUP ADR / CUSIP: 874080104 / Symbol: TAL							
12/09/22	1,500.000	10,049.57	12/09/22	10,169.95	120.38 W	0.00	Sale ²⁶
12/16/22	1,500.000	10,914.55	Various	10,935.38	...	-20.83	Total of 2 transactions
	Security total:	20,964.12		21,105.33	120.38 W	-20.83	
TAYSHA GENE THERAPIES INC COM / CUSIP: 877619106 / Symbol: TSHA							
10/25/22	3,000.000	8,279.42	10/25/22	8,278.82	...	0.60	Sale ²⁶
10/25/22	3,000.000	8,009.43	10/25/22	8,400.00	390.57 W	0.00	Sale ²⁶
	Security total:	16,288.85		16,678.82	390.57 W	0.60	
TONIX PHARMAS HLDG CORP COM / CUSIP: 890260862 / Symbol: TNXP							
07/26/22	5,000.000	9,499.13	07/26/22	9,795.68	...	-296.55	Sale ²⁶
TOUGHBUILT INDUSTRIES INC COM / CUSIP: 89157G884 / Symbol: TBLT							
11/14/22	5,500.000	19,248.84	Various	18,959.10	...	289.74	Total of 2 transactions
11/14/22	6,500.000	18,818.72	Various	22,043.02	3,244.10 W	19.80	Total of 2 transactions
	Security total:	38,067.56		41,002.12	3,244.10 W	309.54	
TWIN VEE POWERCATS CO COM / CUSIP: 90177C101 / Symbol: VEEE							
08/12/22	500.000	3,624.85	08/12/22	3,475.00	...	149.85	Sale ²⁶
TWITTER INC CSH MRG \$54.20/SH 10/28/22 / CUSIP: 90184L102 / Symbol: TWTR							
07/13/22	300.000	10,469.72	07/11/22	10,080.00	...	389.72	Short sale closed ²⁶
UNITY SOFTWARE INC COM / CUSIP: 91332U101 / Symbol: U							
02/10/22	40.000	4,519.98	01/28/22	3,720.00	...	799.98	Sale ²⁶
VACASA INC COM / CUSIP: 91854V107 / Symbol: VCSA							
08/12/22	3,000.000	14,489.28	08/12/22	14,490.00	0.72 W	0.00	Sale ²⁶
08/15/22	5,000.000	25,538.86	Various	25,750.72	...	-211.86	Total of 3 transactions
	Security total:	40,028.14		40,240.72	0.72 W	-211.86	

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VACCITECH PLC ADR / CUSIP: 91864C107 / Symbol: VACC							
08/25/22	2,500.000	18,435.95	Various	18,214.51	...	221.44	Total of 3 transactions
08/25/22	1,500.000	10,694.56	08/25/22	11,039.90	345.34 W	0.00	Sale ²⁶
	Security total:	29,130.51		29,254.41	345.34 W	221.44	
VERONA PHARMA ADR SPONSORED / CUSIP: 925050106 / Symbol: VRNA							
12/20/22	750.000	14,677.06	12/20/22	14,580.00	...	97.06	Sale ²⁶
12/21/22	500.000	10,089.70	12/21/22	10,084.58	...	5.12	Sale ²⁶
	Security total:	24,766.76		24,664.58	...	102.18	
VIKING THERAPEUTICS INC COM / CUSIP: 92686J106 / Symbol: VKTX							
12/20/22	2,500.000	18,824.34	Various	18,695.45	...	128.89	Total of 2 transactions
12/20/22	1,500.000	10,994.55	12/20/22	11,335.00	340.45 W	0.00	Sale ²⁶
	Security total:	29,818.89		30,030.45	340.45 W	128.89	
VINCO VENTURES INC COM / CUSIP: 927330100 / Symbol: BBIG							
08/17/22	5,000.000	8,124.16	Various	8,348.84	...	-224.68	Total of 2 transactions
08/17/22	6,000.000	9,838.99	08/17/22	9,948.00	98.84 W	-10.17	Sale ²⁶
	Security total:	17,963.15		18,296.84	98.84 W	-234.85	
VIVAKOR INC COM / CUSIP: 92852R403 / Symbol: VIVK							
06/16/22	4,000.000	11,359.22	06/16/22	11,160.00	...	199.22	Sale ²⁶
VOLCON INC COM / CUSIP: 92864V103 / Symbol: VLCN							
08/25/22	1,500.000	3,824.71	08/19/22	4,800.00	975.29 W	0.00	Sale ²⁶
12/30/22	1,500.000	1,514.92	08/19/22	5,878.17	...	-4,363.25	Sale ²⁶
	Security total:	5,339.63		10,678.17	975.29 W	-4,363.25	
WAG GROUP COM / CUSIP: 93042P109 / Symbol: PET							
08/22/22	1,500.000	11,519.54	08/22/22	11,175.00	...	344.54	Sale ²⁶
ZYMEWORKS INC COM / CUSIP: 98985Y108 / Symbol: ZYME							
10/19/22	1,500.000	9,659.58	10/19/22	9,615.00	...	44.58	Sale ²⁶

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ARQUIT QUANTUM INC COM / CUSIP: G0567U101 / Symbol: ARQQ							
11/08/22	2,700.000	27,050.02	Various	26,916.54	...	133.48	Total of 2 transactions
11/08/22	1,800.000	17,723.35	Various	18,324.00	600.54 W	-0.11	Total of 2 transactions
	Security total:	44,773.37		45,240.54	600.54 W	133.37	
BEYONDSRING INC COM / CUSIP: G10830100 / Symbol: BYSI							
12/16/22	3,500.000	8,644.34	12/16/22	8,575.47	...	68.87	Sale ²⁶
12/16/22	5,000.000	11,449.09	12/16/22	12,049.83	630.47 W	29.73	Sale ²⁶
	Security total:	20,093.43		20,625.30	630.47 W	98.60	
BORQS TECHNOLOGIES INC COM / CUSIP: G1466B202 / Symbol: BRQS							
10/21/22	7,500.000	5,391.40	10/21/22	5,925.00	...	-533.60	Sale ²⁶
10/24/22	7,500.000	5,773.89	Various	5,925.00	...	-151.11	Total of 2 transactions
	Security total:	11,165.29		11,850.00	...	-684.71	
GIGACLOUD TECHNOLOGY INC COM CL A / CUSIP: G38644103 / Symbol: GCT							
11/07/22	3,000.000	20,654.13	Various	21,000.43	346.30 W	0.00	Total of 2 transactions
11/28/22	1,500.000	12,179.52	11/28/22	11,265.87	...	913.65	Sale ²⁶
11/28/22	3,000.000	23,024.07	Various	24,450.46	1,426.39 W	0.00	Total of 2 transactions
11/29/22	1,605.000	13,714.14	Various	14,315.03	...	-600.89	Total of 2 transactions
	Security total:	69,571.86		71,031.79	1,772.69 W	312.76	
G MEDICAL INNOVATIONS HLDGS LT 1:35 R/S 11/21/22 G39462125 / CUSIP: G39462208 / Symbol:							
06/21/22	7,000.000	7,278.92	06/21/22	7,067.90	...	211.02	Sale ²⁶
HUADI INTERNATIONAL GRP COM / CUSIP: G4645E105 / Symbol: HUDI							
11/29/22	2,858.000	23,936.42	Various	23,978.00	...	-41.58	Total of 3 transactions
11/29/22	4,142.000	32,932.16	Various	34,517.29	1,590.40 W	5.27	Total of 4 transactions
	Security total:	56,868.58		58,495.29	1,590.40 W	-36.31	
INTELLIGENT LIVING APPLICATION COM / CUSIP: G4804S101 / Symbol: ILAG							
08/02/22	3,100.000	12,033.33	Various	11,365.92	...	667.41	Total of 2 transactions
08/02/22	6,000.000	19,918.77	Various	21,699.18	1,780.41 W	0.00	Total of 2 transactions
08/08/22	8,000.000	47,077.88	Various	46,728.56	...	349.32	Total of 4 transactions
08/08/22	6,000.000	32,758.47	Various	35,600.11	2,668.97 W	-172.67	Total of 3 transactions
10/10/22	2,000.000	8,019.56	10/10/22	7,938.92	...	80.64	Sale ²⁶

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TD Ameritrade Clearing, Inc.

Account 777530988

Proceeds from Broker and Barter Exchange Transactions

2022 1099-B* OMB No. 1545-0715

(continued)

02/02/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
INTELLIGENT LIVING APPLICATION COM / CUSIP: G4804S101 / Symbol: ILAG (cont'd)							
10/11/22	2,000.000	10,579.50	10/11/22	10,535.00	...	44.50	Sale ²⁶
10/14/22	1,500.000	7,717.12	Various	8,055.00	...	-337.88	Total of 2 transactions
	Security total:	138,104.63		141,922.69	4,449.38 W	631.32	
JE CLEANTECH HOLDINGS LIMITED COM / CUSIP: G50875106 / Symbol: JCSE							
08/17/22	3,000.000	10,979.36	08/17/22	10,860.00	...	119.36	Sale ²⁶
KALERA PUBLIC LTD CO 1:100 R/S 12/23/22 G52251124 / CUSIP: G52251108 / Symbol:							
07/06/22	2,000.000	8,874.38	Various	8,880.00	...	-5.62	Total of 2 transactions
MAGIC EMPIRE GLOBAL LTD COM / CUSIP: G5865E105 / Symbol: MEGL							
08/18/22	500.000	7,124.77	08/18/22	7,260.00	135.23 W	0.00	Sale ²⁶
08/22/22	140.000	1,861.94	08/18/22	1,807.42	...	54.52	Short sale closed ²⁶
08/22/22	100.000	1,304.96	08/18/22	1,318.99	14.03 W	0.00	Short sale closed ²⁶
08/31/22	1,500.000	12,644.51	08/31/22	12,630.23	85.39 W	99.67	Sale ²⁶
09/15/22	2,261.000	17,513.05	Various	17,509.00	...	4.05	Total of 2 transactions
09/15/22	739.000	5,601.39	09/15/22	5,771.59	170.20 W	0.00	Sale ²⁶
09/20/22	1,500.000	8,970.54	09/16/22	8,850.00	...	120.54	Short sale closed ²⁶
	Security total:	55,021.16		55,147.23	404.85 W	278.78	
NU HLDGS LTD COM / CUSIP: G6683N103 / Symbol: NU							
06/02/22	3,000.000	13,259.31	06/02/22	13,410.00	...	-150.69	Sale ²⁶
08/16/22	3,000.000	16,594.22	Various	16,890.00	...	-295.78	Total of 4 transactions
	Security total:	29,853.53		30,300.00	...	-446.47	
OSTIN TECHNOLOGY GROUP CO COM / CUSIP: G67927106 / Symbol: OST							
05/03/22	495.000	2,435.33	05/03/22	2,237.48	...	197.85	Sale ²⁶
05/03/22	4,000.000	16,959.39	05/03/22	16,827.70	183.23 W	314.92	Sale ²⁶
08/08/22	3,000.000	10,319.37	08/08/22	10,226.70	...	92.67	Sale ²⁶
	Security total:	29,714.09		29,291.88	183.23 W	605.44	
TDH HOLDINGS INC 1:20 R/S 6/14/22 G87084110 / CUSIP: G87084102 / Symbol:							
01/11/22	6,000.000	4,244.46	Various	3,740.00	...	504.46	Total of 2 transactions

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TD Ameritrade Clearing, Inc.

Account 777530988

Proceeds from Broker and Barter Exchange Transactions

2022 1099-B* OMB No. 1545-0715

(continued)

02/02/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TDH HOLDINGS INC COM / CUSIP: G87084110 / Symbol: PETZ							
07/13/22	3,500.000	10,499.30	07/13/22	10,360.00	...	139.30	Sale ²⁶
08/30/22	100.000	489.98	08/30/22	440.00	...	49.98	Sale ²⁶
08/31/22	100.000	509.98	08/18/22	366.00	...	143.98	Sale ²⁶
12/30/22	300.000	461.95	Various	2,023.62	...	-1,561.67	Sale ²⁶
	Security total:	11,961.21		13,189.62	...	-1,228.41	
TIAN RUIXIANG HLDGS LTD 1:5 R/S 11/16/22 G8884K110 / CUSIP: G8884K102 / Symbol:							
03/15/22	10,000.000	12,598.64	03/15/22	12,400.00	...	198.64	Sale ²⁶
VIRAX BIOLABS GROUP LTD COM / CUSIP: G9495L109 / Symbol: VRAX							
08/30/22	1,000.000	4,219.77	08/30/22	4,220.00	0.23 W	0.00	Sale ²⁶
09/01/22	2,000.000	11,039.49	09/01/22	11,040.23	...	-0.74	Sale ²⁶
	Security total:	15,259.26		15,260.23	0.23 W	-0.74	
MEIWU TECHNOLOGY COMPANY COM / CUSIP: G9604C107 / Symbol: WNW							
10/12/22	2,098.000	2,769.03	10/12/22	2,727.40	...	41.63	Sale ²⁶
ICECURE MEDICAL LTD CAESAREA COM / CUSIP: M53071136 / Symbol: ICCM							
11/08/22	6,000.000	9,089.02	Various	9,119.75	...	-30.73	Total of 2 transactions
JEFFS BRANDS LTD COM / CUSIP: M61472102 / Symbol: JFBR							
08/26/22	2,000.000	5,989.60	Various	6,380.00	...	-390.40	Total of 2 transactions
SATIFY COMMUNICATIONS LTD COM / CUSIP: M82363124 / Symbol: SATX							
11/17/22	150.000	8,324.79	11/17/22	8,045.70	...	279.09	Sale ²⁶
11/17/22	150.000	8,074.80	Various	8,220.00	145.20 W	0.00	Total of 2 transactions
11/18/22	100.000	5,699.86	11/18/22	5,600.00	...	99.86	Sale ²⁶
	Security total:	22,099.45		21,865.70	145.20 W	378.95	
PHARVARIS N V COM / CUSIP: N69605108 / Symbol: PHVS							
12/08/22	1,000.000	10,649.63	12/08/22	10,570.29	...	79.34	Sale ²⁶
12/08/22	1,000.000	8,899.67	12/08/22	10,589.96	1,690.29 W	0.00	Sale ²⁶
	Security total:	19,549.30		21,160.25	1,690.29 W	79.34	
PROQR THERAPEUTICS N V COM / CUSIP: N71542109 / Symbol: PRQR							
12/22/22	3,000.000	8,879.41	12/22/22	8,820.00	...	59.41	Sale ²⁶

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TD Ameritrade Clearing, Inc.

Account 777530988

Proceeds from Broker and Barter Exchange Transactions

2022 1099-B* OMB No. 1545-0715

(continued)

02/02/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
INTEGRATED MEDIA TECHNOLOGY COM / CUSIP: Q49376108 / Symbol: IMTE							
06/30/22	2,000.000	7,659.56	06/30/22	7,560.00	...	99.56	Sale ²⁶
UNITED MARITIME CORPORATION COM / CUSIP: Y92335101 / Symbol: USEA							
11/29/22	5,100.000	18,772.91	Various	18,672.19	...	100.72	Total of 2 transactions
11/29/22	900.000	3,257.81	11/29/22	3,321.00	63.19 W	0.00	Sale ²⁶
	Security total:	22,030.72		21,993.19	63.19 W	100.72	
Totals :		3,279,608.34		3,348,774.28	81,194.68 W	12,028.74	

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EASTMAN KODAK CO COM / CUSIP: 277461406 / Symbol: KODK							
12/29/22	200.000	599.96	01/25/21	2,000.00	...	-1,400.04	Sale ²⁶
GAOTU TECHEDU INC ADR / CUSIP: 36257Y109 / Symbol: GOTU							
11/18/22	250.000	274.96	08/09/21	825.00	...	-550.04	Sale ²⁶
12/30/22	500.000	1,199.91	Various	3,077.60	...	-1,877.69	Sale ²⁶
	Security total:	1,474.87		3,902.60	...	-2,427.73	
GILEAD SCIENCES INC COM / CUSIP: 375558103 / Symbol: GILD							
10/28/22	75.000	5,964.12	05/01/20	6,028.56	...	-64.44	Sale ²⁶
INNERSCOPE HEARING TECH INC COM / CUSIP: 45781P104 / Symbol: INND							
12/30/22	5,000.000	23.90	04/09/21	260.95	...	-237.05	Sale ²⁶
Totals :		8,062.85		12,192.11		-4,129.26	

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TD Ameritrade Clearing, Inc.

Account 777530988

Detail for Dividends and Distributions

2022

02/02/2023

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. Reallocation of a dividend and its tax character is determined by the issuer.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
APPLE INC COM	037833100 AAPL		02/10/22	8.80	Qualified dividend	
			05/12/22	9.21	Qualified dividend	
			08/11/22	9.23	Qualified dividend	
			11/10/22	9.24	Qualified dividend	
				36.48	Total Dividends & distributions	
GILEAD SCIENCES INC COM	375558103 GILD		03/30/22	55.28	Qualified dividend	
			06/29/22	55.95	Qualified dividend	
			09/29/22	56.60	Qualified dividend	
	167.83	Total Dividends & distributions				
NOKIA OYJ ADR SPONSORED	654902204 NOK		05/17/22	10.41	Qualified dividend	
			05/17/22	-3.12	Foreign tax withheld-FI	
			08/09/22	10.22	Qualified dividend	
			08/09/22	-3.06	Foreign tax withheld-FI	
			11/08/22	9.79	Qualified dividend	
			11/08/22	-2.94	Foreign tax withheld-FI	
	30.42	Total Dividends & distributions				
	-9.12	Total Foreign tax withheld				
	234.73	Total Dividends & distributions				
	-9.12	Total Foreign tax withheld				

TD Ameritrade Clearing, Inc.

Account 777530988

Detail for Interest Income**2022**

02/02/2023

This section of your tax information statement contains the payment level detail of taxable interest and associated bond premium. Market discount will be shown here only if you have elected to recognize it currently rather than at the time of sale or maturity. Bond premium and market discount for covered tax lots are totaled on Form 1099-INT and reported to the IRS. For noncovered tax lots, they are totaled in the Adjustments to Interest and Original Issue Discount and are not reported to the IRS.

To provide a complete picture of activity for each investment, we also include here nonreportable transactions such as accrued interest paid on purchases and payment or receipt of nonqualified interest. Other amounts, such as federal, state and foreign tax withheld and investment expenses are shown as negative amounts but do not net against the reportable income totals.

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
Interest Credited		11/30/22	0.03	Credit interest	
		12/30/22	0.32	Credit interest	
			0.35	Total Interest	
			0.35	Total Interest	

TD Ameritrade Clearing, Inc.

Account 777530988

Fees and Expenses

2022

02/02/2023

This section of your tax information statement contains the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
HARD TO BORROW FEE		03/31/22	-0.13	Miscellaneous fee	
		08/31/22	-5.83	Miscellaneous fee	
		09/30/22	-15.50	Miscellaneous fee	
			-21.46	Total Miscellaneous fee	
Interest Charged		01/31/22	-8.05	Margin interest paid	
		02/28/22	-41.01	Margin interest paid	
		03/31/22	-66.00	Margin interest paid	
		04/29/22	-57.27	Margin interest paid	
		05/31/22	-53.09	Margin interest paid	
		06/30/22	-115.27	Margin interest paid	
		07/29/22	-117.47	Margin interest paid	
		08/31/22	-126.73	Margin interest paid	
		09/30/22	-151.93	Margin interest paid	
		10/31/22	-87.78	Margin interest paid	
		11/30/22	-3.74	Margin interest paid	
		12/30/22	-5.86	Margin interest paid	
			-834.20	Total Margin interest paid	
ALIBABA GROUP HOLDING LTD ADR SPONSORED	01609W102 BABA	05/09/22	-1.40	Depository receipt fee	
GAOTU TECHEDU INC ADR	36257Y109 GOTU	04/08/22	-15.00	Depository receipt fee	
NIO INC ADR	62914V106 NIO	04/08/22	-2.50	Depository receipt fee	
NOKIA OYJ ADR SPONSORED	654902204 NOK	05/17/22	-0.88	Depository receipt fee	
		08/09/22	-0.88	Depository receipt fee	
		11/08/22	-0.88	Depository receipt fee	
			-2.64	Total Depository receipt fee	
			-21.54	Total Depository receipt fee	
			-21.46	Total Miscellaneous fee	
			-834.20	Total Margin interest paid	

TD Ameritrade Clearing, Inc.

Account 777530988

Foreign Income and Taxes Summary

2022

02/02/2023

This schedule lists all income and foreign tax by country. Mutual Funds and Unit Investment Trusts (UITs) structured as Regulated Investment Companies (RICs) are listed separately with a country designation of "RIC."

Country	Security Description	CUSIP	Nonqualified	Dividends		Interest	Total Income	Tax
				Qualified				
FI	NOKIA OYJ ADR SPONSORED	654902204	0.00	30.42		0.00	30.42	-9.12
Total FINLAND			0.00	30.42		0.00	30.42	-9.12
Grand Total	Total Foreign Source Income*			30.42				
	Qualified Foreign Source Income**			30.42				
	Foreign Tax							-9.12

* Total Foreign Source Income utilizes the "Total" column for RICs which may not always be supplied.

** Qualified Foreign Source Income utilizes the "Qualified" column for RICs which may not always be supplied.

26 Tax lot closed is a specified lot.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

Line 1b. Shows the portion of the amount in Line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in Lines 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Form 1040.

Line 2b. Shows the portion of the amount in Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2c. Shows the portion of the amount in Line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

Line 2d. Shows the portion of the amount in Line 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2e. Shows the portion of the amount in Line 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

Line 2f. Shows the portion of the amount in Line 2a that is section 897 gain attributable to disposition of USRPI.

Note: Lines 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in Line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in Line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Form 1040.

Line 8. This Line should be left blank if a RIC reported the foreign tax shown in Line 7.

Instructions for Recipient

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You may also have a filing requirement. See the Instructions for Form 8938.

Line 12. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040SR for where to report. This amount may be subject to backup withholding. See Line 4 above.

Line 13. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in Line 12. See the Instructions for Form 6251.

Lines 14-16. State income tax withheld reporting Lines.

Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

1099-INT Instructions for Recipient

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

Line 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2022 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

Line 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction.

Line 3. Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium.

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Line 5. Any amount shown is your share of investment expenses of a singleclass REMIC. This amount is included in line 1. Note: This amount is not deductible.

Line 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

Line 7. Shows the country or U.S. possession to which the foreign tax was paid.

Line 8. Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Forms 1040 and 1040-SR. This amount may be subject to backup withholding. See Line 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in line 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 10. For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in

accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includible in taxable income as interest income.

Line 11. For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

Line 12. For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 3. If the amount in line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

Line 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this line for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in line 8 or 9, whichever is applicable. If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(ii).

Line 14. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s).

Lines 15-17. State tax withheld reporting lines.

Future developments. For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099INT.

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1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Instructions for Recipient

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

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