Robinhood Markets Inc 85 Willow Road Menlo Park, CA 94025 650-940-2700



Amith reddy Garlapati 700 Linden Ln Apt 303 Macomb, IL 61455

Master Account Number for Import: 573179827 **Document ID for Import:** U47S1GCKQ60

Enclosed is your 2022 Consolidated Tax Statement. 1099 Tax Forms for the following accounts are included in this package:

573179827 - Robinhood Securities LLC 573179827C - Robinhood Crypto LLC

**New for 2022 Tax Year** - Where applicable, 1099 Tax Forms for Robinhood Securities, Robinhood Crypto, and Robinhood Money are being consolidated into one package and reported under our parent entity, Robinhood Markets Inc. We have done this to ensure all forms that you need are provided at the same time in this consolidated package.

Important - Please utilize the Master Account Number and Document ID listed above if filing through tax service providers such as TurboTax or H&R Block. The Document ID is necessary in order to directly import your 1099 data into the tax software so that you do not need to manually enter each transaction. This year there is only one Master Account Number and Document ID that needs to be used to import all of your data across all accounts and 1099s (including crypto). Please note that tax software providers may have limits on the total number of transactions that can be directly imported using the Document ID.

**CSVs** - In addition, a CSV file which includes the same information that is reported on your 1099 forms will be provided. The CSV is not a separate 1099. It is being provided as a supplement in a format that may be more helpful if you have a large number of transactions or if you are not using the Document ID to import all of your Securities, Crypto and Money activity. Tax software providers may have limits on the total number of transactions that can be uploaded and CSV files may not be compatible with tax service providers such as TurboTax or H&R Block.

**Robinhood Retirements Accounts** - If you had any reportable distributions or contributions within a Robinhood Retirement account, your 2022 tax forms 1099-R and/or 5498 will be delivered separately. Retirement accounts will be reported under Robinhood Securities LLC and will have their own unique Doc IDs for import to tax software providers such as TurboTax or H&R Block.



**Statement Date: 02/01/2023** 

# Robinhood Markets Inc. as agent for **Robinhood Securities LLC**

13- Specified private activity bond interest dividends (AMT)

85 Willow Road Menlo Park, CA 94025

Customer Service: 650-940-2700

# Tax Information Account 573179827

Amith reddy Garlapati 700 Linden Ln Apt 303 Macomb, IL 61455

RECIPIENT'S TIN: XXX-XX-5890

# PAYER'S TIN: 46-4364776

11 - [ ] FATCA filing requirement (see instructions)	Summary	y information	13 - [ ] FATCA filing requirement (see instructions)	
DIVIDENDS AND DISTRIBUTIONS 2022 1099-DIV*	OMB No. 1545-0110	MISCELLANEOUS INFORMATION	2022 1099-MISC*	OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5, 2e)	0.00	2- Royalties		0.00
1b- Qualified dividends	0.00	3- Other income		0.00
2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)	0.00	4- Federal income tax withheld		0.00
2b- Unrecaptured Section 1250 gain	0.00	8- Substitute payments in lieu of dividends or	interest	0.00
2c- Section 1202 gain	0.00	• •		
2d- Collectibles (28%) gain	0.00	SECTION 1256 CONTRACTS	2022 1099-B*	OMB No. 1545-0715
2e- Section 897 ordinary dividends	0.00	O. Duefit on (loos) madined in 2000 on alread a		
2f- Section 897 capital gain	0.00	8- Profit or (loss) realized in 2022 on closed or		0.00
3- Nondividend distributions	0.00	9- Unrealized profit or (loss) on open contract		0.00
4- Federal income tax withheld	0.00	<ol> <li>Unrealized profit or (loss) on open contract</li> </ol>	s-12/31/2022	0.00
5- Section 199A dividends	0.00	11- Aggregate profit or (loss) on contracts		0.00
6- Investment expenses	0.00	If annicable presents from sale transaction	no annous aummarizad bal	au and ara
8- Foreign country or US possession: 7- Foreign tax paid:	0.00	If applicable, proceeds from sale transaction detailed in subsequent sections of this docu		ow and are
9- Cash liquidation distributions	0.00	•		
10- Noncash liquidation distributions	0.00	* This is important tax information and is being furnis		
12- Exempt-interest dividends (includes line 13)	0.00	If you are required to file a return, a negligence pena		
13- Specified private activity bond interest dividends (AMT)	0.00	you if this income is taxable and the IRS determines t	hat it has not been report	ted.

### SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

0.00

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	65,939.54	71,316.32	0.00	211.85	-5,164.93
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	65,939.54	71,316.32	0.00	211.85	-5,164.93
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	65,939.54	71,316.32	0.00	211.85	-5,164.93
Withholding		Amount				
Federal income	tax withheld	0.00				

### INTEREST INCOME

### 2022 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.74
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
Tax-exempt obligations (covered lots)	0.00
Tax-exempt private activity obligations (AMT, covered lots)	0.00
14- Tax-exempt and tax credit bond CUSIP number	See detail
FATCA filing requirement []	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

### STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

### ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

### RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	60.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Markets Inc. as agent for Robinhood Securities LLC

### **Proceeds from Broker and Barter Exchange Transactions**

Account 573179827

**2022 1099-B\*** OMB No. 1545-0715

02/01/2023

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12) "Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property	y/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADBE 04/01/2022 CALL \$4	152.50 / CUSIP: / Symbol:						
03/29/22	1.000	1,069.98	03/24/22	196.00		873.98	Option sale to close-call
ADBE 04/01/2022 CALL \$4	157.50 / CUSIP: / Symbol:						
03/28/22	1.000	135.98	03/24/22	118.00		17.98	Option sale to close-call
ADBE 04/01/2022 CALL \$5	510.00 / CUSIP: / Symbol:						
03/29/22	1.000	2.98	03/22/22	345.00		-342.02	Option sale to close-call
ADBE 04/08/2022 CALL \$4	180.00 / CUSIP: / Symbol:						
04/05/22	1.000	189.98	03/30/22	218.00		-28.02	Option sale to close-call
AMZN 04/01/2022 CALL \$3	3,590.00 / CUSIP: / Symbol:						
03/29/22	1.000	464.98	03/28/22	340.00		124.98	Option sale to close-call

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for **Robinhood Securities LLC** 

**1099-B\*** OMB No. 1545-0715

# **Proceeds from Broker and Barter Exchange Transactions**

Account 573179827

(continued)

02/01/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/S	Symbol						
1c- Date sold or		1d- Proceeds &	4h Det-	4- 01	1f- Accrued mkt disc (D) &	Gain or loss(-) &	
disposed	Quantity	6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Additional information
BLK 04/08/2022 CALL \$785.00 / CU	SIP: / Symbol:						
03/30/22	1.000	789.98	03/29/22	780.00		9.98	Option sale to close-call
BRKB 04/14/2022 CALL \$345.00 / C	USIP: / Symbol:						
04/08/22	1.000	699.98	04/05/22	600.00		99.98	Option sale to close-call
COIN 03/18/2022 CALL \$167.50 / CI	JSIP: / Symbol:						
03/16/22	1.000	579.98	03/16/22	510.00		69.98	Option sale to close-call
COIN 03/18/2022 CALL \$170.00 / CI	JSIP: / Symbol:						
03/16/22	1.000	269.98	03/16/22	175.00		94.98	Option sale to close-call
COIN 03/18/2022 CALL \$175.00 / CI	COIN 03/18/2022 CALL \$175.00 / CUSIP: / Symbol:						
03/18/22	1.000	869.98	03/16/22	295.00		574.98	Option sale to close-call
COIN 03/25/2022 CALL \$195.00 / CI	JSIP: / Symbol:						
03/25/22	1.000	244.98	03/22/22	205.00		39.98	Option sale to close-call
COIN 04/08/2022 CALL \$190.00 / CI	JSIP: / Symbol:						
04/04/22	1.000	594.98	04/01/22	580.00		14.98	Option sale to close-call
COIN 04/14/2022 CALL \$182.50 / CI	JSIP: / Symbol:						
04/14/22	1.000	0.00	04/07/22	180.00		-180.00	Option expiration- long call
CVNA 04/14/2022 CALL \$119.00 / C	USIP: / Symbol:						
04/14/22	1.000	0.00	04/07/22	225.00		-225.00	Option expiration- long call
CVNA 04/14/2022 CALL \$125.00 / C	USIP: / Symbol:						
04/14/22	1.000	0.00	04/05/22	700.00	•••	-700.00	Option expiration- long call
ETSY 03/18/2022 CALL \$141.00 / C	USIP: / Symbol:						
03/18/22	1.000	559.98	03/17/22	80.00		479.98	Option sale to close-call
ETSY 03/18/2022 CALL \$150.00 / C	USIP: / Symbol:						
03/18/22	1.000	18.98	03/17/22	11.00		7.98	Option sale to close-call
ETSY 03/25/2022 CALL \$150.00 / C	USIP: / Symbol:						
03/25/22	1.000	0.00	03/21/22	143.00		-143.00	Option expiration- long call

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Robinhood Markets Inc. as agent for **Robinhood Securities LLC** 

# **Proceeds from Broker and Barter Exchange Transactions**

Account 573179827

**1099-B\*** OMB No. 1545-0715

(continued)

02/01/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP	/Symbol						
1c- Date		1d- Proceeds &	41. D-4-	4- 01	1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or disposed	Quantity	6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Additional information
ETSY 03/25/2022 CALL \$160.00 / 0	CUSIP: / Symbol:		·		` ,	, , ,	
03/25/22	1.000	0.00	03/17/22	41.00		-41.00	Option expiration- long call
ETSY 03/25/2022 CALL \$165.00 / 0	CUSIP: / Symbol:						
03/25/22	1.000	0.00	03/18/22	51.00		-51.00	Option expiration- long call
ETSY 04/01/2022 CALL \$145.00 / 0	CUSIP: / Symbol:						
03/29/22	1.000	289.98	03/24/22	345.00		-55.02	Option sale to close-call
ETSY 04/01/2022 CALL \$146.00 / 0	CUSIP: / Symbol:						
03/29/22	1.000	219.98	03/25/22	110.00		109.98	Option sale to close-call
ETSY 04/08/2022 CALL \$115.00 / 0	CUSIP: / Symbol:						
04/04/22	1.000	1,629.98	04/01/22	1,190.00		439.98	Option sale to close-call
ETSY 04/14/2022 CALL \$129.00 / 0	CUSIP: / Symbol:						
04/14/22	1.000	0.00	04/05/22	675.00		-675.00	Option expiration- long call
FB 03/18/2022 CALL \$212.50 / CUS	SIP: / Symbol:						
03/18/22	1.000	122.98	03/17/22	19.00		103.98	Option sale to close-call
FB 03/25/2022 CALL \$222.50 / CUS	SIP: / Symbol:						
03/25/22	1.000	239.98	03/18/22	139.00		100.98	Option sale to close-call
FB 03/25/2022 CALL \$240.00 / CUS	SIP: / Symbol:						
03/25/22	1.000	6.98	03/17/22	8.00		-1.02	Option sale to close-call
MDB 04/08/2022 CALL \$455.00 / C	USIP: / Symbol:						
04/01/22	1.000	1,249.98	03/30/22	780.00		469.98	Option sale to close-call
MDB 04/14/2022 CALL \$447.50 / C	USIP: / Symbol:						
04/07/22	1.000	629.98	04/04/22	2,000.00		-1,370.02	Option sale to close-call
MELI 03/25/2022 CALL \$1,260.00 /	CUSIP: / Symbol:						
03/25/22	1.000	0.00	03/22/22	400.00		-400.00	Option expiration- long call
MELI 03/25/2022 CALL \$1,270.00 /	CUSIP: / Symbol:						
03/23/22	1.000	1,299.98	03/18/22	900.00		399.98	Option sale to close-call

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for Robinhood Securities LLC

### **Proceeds from Broker and Barter Exchange Transactions**

Account 573179827

**2022 1099-B\*** OMB No. 1545-0715

03/29/22

(continued)

02/01/2023

-78.02

Option sale to close-call

### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*) "Gain or loss (-)" is NOT reported to the IRS.

1.000

184.98

03/22/22

1a- Description of property/CUSIP/Symbol 1c- Date 1d- Proceeds & 1f- Accrued mkt disc (D) & Gain or loss(-) & 6- Reported 1e- Cost or 1q- Wash sale loss 7- Loss not allowed (X) sold or 1b- Date also not reported (Z) Additional information disposed Quantity (G)ross or (N)et acquired other basis disallowed (W) MELI 03/25/2022 CALL \$1,320.00 / CUSIP: / Symbol: 3 transactions for 03/23/22. Total proceeds and cost reported to the IRS. 1.000 349.99 03/17/22 220.00 129.99 1 of 3 - Option sale to close-call 1.000 350.00 03/21/22 200.00 150.00 2 of 3 - Option sale to close-call 1.000 349.99 03/21/22 100.00 249.99 3 of 3 - Option sale to close-call Total of 3 transactions 03/23/22 3.000 1.049.98 520.00 529.98 Various MELI 03/25/2022 CALL \$1,370.00 / CUSIP: / Symbol: 2 transactions for 03/25/22. Total proceeds and cost reported to the IRS. 1.000 0.00 03/22/22 50.00 -50.00 1 of 2 - Option expiration- long call 1.000 0.00 03/23/22 50.00 -50.00 2 of 2 - Option expiration- long call Total of 2 transactions 03/25/22 2.000 0.00 100.00 -100.00 Various MELI 03/25/2022 CALL \$1,390.00 / CUSIP: / Symbol: 4 transactions for 03/25/22. Total proceeds and cost reported to the IRS. 1.000 0.00 03/22/22 50.00 -50.00 1 of 4 - Option expiration- long call 2 of 4 - Option expiration- long call 1.000 0.00 03/22/22 45.00 -45.00 1.000 0.00 03/24/22 20.00 -20.00 3 of 4 - Option expiration- long call 4 of 4 - Option expiration- long call 1.000 0.00 03/24/22 20.00 -20.00 03/25/22 Total of 4 transactions 4.000 0.00 Various 135.00 -135.00 MELI 04/01/2022 CALL \$1,270.00 / CUSIP: / Symbol: 03/29/22 680.00 1.000 2.299.97 03/28/22 1,619.97 Option sale to close-call NFLX 03/18/2022 CALL \$375.00 / CUSIP: / Symbol: 03/18/22 1.000 235.98 03/17/22 128.00 107.98 Option sale to close-call NFLX 03/25/2022 CALL \$385.00 / CUSIP: / Symbol: 03/25/22 1.000 0.00 03/21/22 278.00 -278.00 Option expiration- long call NFLX 04/01/2022 CALL \$382.50 / CUSIP: / Symbol: 03/29/22 1.000 490.00 794.98 03/24/22 304.98 Option sale to close-call NFLX 04/01/2022 CALL \$405.00 / CUSIP: / Symbol:

263.00

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Robinhood Markets Inc. as agent for Robinhood Securities LLC

# **Proceeds from Broker and Barter Exchange Transactions**

Account 573179827

2 1099-B\* OMB No. 1545-0715

(continued)

02/01/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUS	IP/Symbol						
1c- Date		1d- Proceeds &			1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or disposed	Quantity	6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1g- Wash sale loss disallowed (W)	7- Loss not allowed (X)	Additional information
NKE 03/25/2022 CALL \$141.00 / 0		(0):000 0: (:1)0:	aoquiroa	Other Buolo	alcanomou (11)	uloo not roportou (L)	Additional information
03/25/22	1.000	0.00	03/21/22	147.00		-147.00	Option expiration- long call
NVDA 03/25/2022 CALL \$275.00		0.00	03/21/22	147.00		-147.00	Option expiration- long call
03/24/22	1.000	724.98	03/21/22	385.00		220.00	Ontion cale to alone call
		724.96	03/21/22	365.00		339.98	Option sale to close-call
SHOP 03/25/2022 CALL \$725.00	•	0.4.00	00/04/00	400.00		005.00	
03/25/22	1.000	34.98	03/24/22	400.00		-365.02	Option sale to close-call
SHOP 03/25/2022 CALL \$750.00	,						
03/22/22 03/25/22	1.000 1.000	1,729.98 14.98	03/21/22	930.00 927.00		799.98 -912.02	Option sale to close-call
	ecurity total:	14.98 <b>1,744.96</b>	03/23/22	927.00 <b>1,857.00</b>	•••	-912.02 <b>-112.04</b>	Option sale to close-call
SHOP 04/01/2022 CALL \$700.00	•	1,744.30		1,037.00	•••	-112.04	
·	,	2 700 07	00/00/00	0.700.00		4 000 07	
03/29/22	1.000	3,799.97	03/28/22	2,700.00	•••	1,099.97	Option sale to close-call
SHOP 04/01/2022 CALL \$720.00	,						
04/01/22	1.000	373.98	03/30/22	820.00		-446.02	Option sale to close-call
SHOP 04/01/2022 CALL \$760.00	•						
03/30/22	1.000	259.98	03/29/22	1,340.00		-1,080.02	Option sale to close-call
SHOP 04/01/2022 CALL \$765.00	/ CUSIP: / Symbol:						
03/29/22	1.000	999.98	03/28/22	390.00		609.98	Option sale to close-call
04/01/22	1.000	24.98	03/30/22	830.00		-805.02	Option sale to close-call
	ecurity total:	1,024.96		1,220.00		-195.04	
SHOP 04/08/2022 CALL \$710.00	,						
04/01/22	1.000	2,699.97	03/30/22	2,820.00	120.03 W	0.00	Option sale to close-call
04/04/22	1.000 ecurity total:	2,759.97 <b>5,459.94</b>	04/01/22	1,880.03 <b>4,700.03</b>	 120.03 W	879.94 <b>879.94</b>	Option sale to close-call
	•	3,433.34		4,700.03	120.03 W	0/ 5.34	
SHOP 04/08/2022 CALL \$745.00	,	4 570 66	00/00/00	0.000.00		700.00	Onthe colors de deservall
03/30/22	1.000	1,579.98	03/30/22	2,300.00		-720.02	Option sale to close-call
SHOP 04/08/2022 CALL \$760.00	,						
03/30/22	1.000	1,229.98	03/29/22	3,100.00		-1,870.02	Option sale to close-call

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for Robinhood Securities LLC

# **Proceeds from Broker and Barter Exchange Transactions**

Account 573179827

1099-B\* OMB No. 1545-0715

(continued)

02/01/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUS	SIP/Symbol						
1c- Date sold or		1d- Proceeds & 6- Reported	1b- Date	1e- Cost or	1f- Accrued mkt disc (D) & 1g- Wash sale loss	Gain or loss(-) & 7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	· ,	Additional information
SHOP 04/14/2022 CALL \$665.00	) / CUSIP: / Symbol:						
04/14/22	1.000	0.00	04/07/22	2,090.00	•••	-2,090.00	Option expiration- long call
SHOP 04/14/2022 CALL \$685.00	O / CUSIP: / Symbol:						
04/14/22	1.000	0.00	04/08/22	680.00		-680.00	Option expiration- long call
SQ 03/25/2022 CALL \$145.00 / 0	CUSIP: / Symbol:						
03/22/22	1.000	514.98	03/18/22	230.00		284.98	Option sale to close-call
SQ 03/25/2022 CALL \$160.00 / 0	CUSIP: / Symbol:						
03/25/22	1.000	0.00	03/22/22	46.00		-46.00	Option expiration- long call
TSLA 03/25/2022 CALL \$1,015.00 / CUSIP: / Symbol:							
03/22/22	1.000	1,284.98	03/22/22	289.00		995.98	Option sale to close-call
TSLA 03/25/2022 CALL \$1,050.0	00 / CUSIP: / Symbol:						
03/25/22	1.000	111.98	03/23/22	1,065.00		-953.02	Option sale to close-call
TSLA 04/01/2022 CALL \$1,150.0	00 / CUSIP: / Symbol:						
03/28/22	1.000	1,024.98	03/28/22	955.00		69.98	Option sale to close-call
TWTR 04/14/2022 CALL \$50.00	/ CUSIP: / Symbol:						
04/14/22	1.000	0.00	04/05/22	535.00		-535.00	Option expiration- long call
UPST 03/18/2022 CALL \$126.00	/ CUSIP: / Symbol:						
03/18/22	1.000	98.98	03/17/22	98.00		0.98	Option sale to close-call
UPST 03/25/2022 CALL \$129.00	/ CUSIP: / Symbol:						
03/25/22	1.000	0.00	03/23/22	191.00		-191.00	Option expiration- long call
UPST 03/25/2022 CALL \$135.00	/ CUSIP: / Symbol:						
03/25/22	1.000	0.00	03/18/22	291.00		-291.00	Option expiration- long call
UPST 04/01/2022 CALL \$111.00	/ CUSIP: / Symbol:						
03/29/22	1.000	729.98	03/25/22	550.00		179.98	Option sale to close-call
UPST 04/01/2022 CALL \$121.00	/ CUSIP: / Symbol:						
03/29/22	1.000	314.98	03/28/22	184.00		130.98	Option sale to close-call

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Robinhood Markets Inc. as agent for **Robinhood Securities LLC** 

# **Proceeds from Broker and Barter Exchange Transactions**

02/01/2023

Account 573179827

2022 **1099-B\*** OMB No. 1545-0715

(continued)

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS. 1a- Description of property/CUSIP/Symbol 1c- Date 1d- Proceeds & 1f- Accrued mkt disc (D) & Gain or loss(-) & 6- Reported 1e- Cost or 1q- Wash sale loss 7- Loss not allowed (X) sold or 1b- Date also not reported (Z) Additional information disposed Quantity (G)ross or (N)et acquired other basis disallowed (W) UPST 04/01/2022 CALL \$128.00 / CUSIP: / Symbol: 1.000 03/24/22 03/28/22 99.98 253.00 -153.02Option sale to close-call UPST 04/08/2022 CALL \$105.00 / CUSIP: / Symbol: 04/04/22 1.129.98 04/01/22 665.00 464.98 Option sale to close-call 1.000 UPST 04/08/2022 CALL \$110.00 / CUSIP: / Symbol: 04/05/22 1.000 554.98 895.00 -340.02 04/04/22 Option sale to close-call UPST 04/14/2022 CALL \$106.00 / CUSIP: / Symbol: 04/14/22 1.000 0.00 04/05/22 595.00 -595.00 Option expiration- long call UPST 04/14/2022 CALL \$110.00 / CUSIP: / Symbol: 04/14/22 1.000 0.00 04/07/22 159.00 -159.00Option expiration- long call UPST 08/26/2022 CALL \$37.50 / CUSIP: / Symbol: 241.00 08/26/22 1.000 0.00 08/16/22 -241.00 Option expiration-long call COINBASE GLOBAL, INC. CLASS A COMMON STOCK / CUSIP: 19260Q107 / Symbol: 5 transactions for 03/16/22. Total proceeds and cost reported to the IRS. 0.58 0.100 17.01 03/11/22 16.43 1 of 5 - Sale 0.200 34.03 32.68 1.35 2 of 5 - Sale 03/11/22 0.200 34.03 03/11/22 32.64 1.39 3 of 5 - Sale 3.000 510.43 03/11/22 489.90 20.53 4 of 5 - Sale 24.800 4.219.57 03/11/22 4.051.70 167.87 5 of 5 - Sale 03/16/22 28.300 4,815.07 Various 4,623.35 191.72 Total of 5 transactions META PLATFORMS, INC. CLASS A C OMMON STOCK / CUSIP: 30303M102 / Symbol: 2 transactions for 02/25/22. Total proceeds and cost reported to the IRS. 02/25/22 3.74 W 1 of 2 - Sale 6.000 1,260.53 1.265.87 -1.602 of 2 - Sale 20.000 4,202.37 02/25/22 4,219.57 17.20 W 0.00 5,462.90 Total of 2 transactions 02/25/22 26.000 Various 5,485.44 20.94 W -1.605 transactions for 03/11/22. Total proceeds and cost reported to the IRS. 19.800 3,764.35 03/08/22 3,888.13 -123.78 1 of 5 - Sale 3.000 570.36 03/08/22 589.20 -18.84 2 of 5 - Sale 1.000 190.12 03/08/22 196.64 -6.523 of 5 - Sale

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Robinhood Markets Inc. as agent for **Robinhood Securities LLC** 

### **Proceeds from Broker and Barter Exchange Transactions**

Account 573179827

2022 **1099-B\*** OMB No. 1545-0715

Totals:

(continued)

02/01/2023

### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12) "Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol 1c- Date 1d- Proceeds & 1f- Accrued mkt disc (D) & Gain or loss(-) & sold or 6- Reported 1e- Cost or 1q- Wash sale loss 7- Loss not allowed (X) 1b- Date disposed Quantity (G)ross or (N)et acquired other basis disallowed (W) also not reported (Z) Additional information META PLATFORMS, INC. CLASS A C OMMON STOCK / CUSIP: 30303M102 / Symbol: (cont'd) 0.200 38.02 03/08/22 4 of 5 - Sale 39.33 -1.310.200 38.02 03/08/22 39.27 -1.25 5 of 5 - Sale 03/11/22 24.200 4,600.87 4,752.57 -151.70 Total of 5 transactions Various 10,063.77 10,238.01 -153.30 Security total: 20.94 W OPKO HEALTH, INC. COMMON STOCK / CUSIP: 68375N103 / Symbol: 03/09/22 1.000 3.21 11/08/21 3.82 -0.61 Sale UPSTART HOLDINGS, INC. COMMON STOCK / CUSIP: 91680M107 / Symbol: 4,416.45 4,027.32 03/18/22 36.000 03/17/22 389.13 Sale 2 transactions for 03/22/22. Total proceeds and cost reported to the IRS. 9.000 1.159.81 03/21/22 1.150.20 9.61 1 of 2 - Sale 1,920.63 12.39 2 of 2 - Sale 15.000 1,933.02 03/21/22 03/22/22 24.000 3,092.83 Various 3,070.83 22.00 Total of 2 transactions 03/24/22 10.000 03/23/22 1.223.57 0.00 1.152.69 70.88 W Sale 3 transactions for 03/25/22. Total proceeds and cost reported to the IRS. 15.000 1,565.11 03/23/22 1,835.36 -270.25 1 of 3 - Sale 10.000 1.043.41 03/23/22 1.275.70 -232.29 2 of 3 - Sale 9.000 939.06 03/23/22 1,084.33 -145.27 3 of 3 - Sale 03/25/22 34.000 3,547.58 -647.81 Total of 3 transactions Various 4,195.39 12,209.55 -236.68 12,517.11 70.88 W Security total: 65,939.54 71,316.32

211.85 W

-5,164.93

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Robinhood Markets Inc. as agent for Account 573179827 **Robinhood Securities LLC Detail for Interest Income** 2022 02/01/2023

This section of your tax information statement contains the payment level detail of taxable interest and associated bond premium. Market discount will be shown here only if you have elected to recognize it currently rather than at the time of sale or maturity. Bond premium and market discount for covered tax lots are totaled on Form 1099-INT and reported to the IRS. For noncovered tax lots, they are totaled and presented beneath the 1099-INT for informational purposes and are not reported to the IRS.

To provide a complete picture of activity for each investment, we also include here nonreportable transactions such as accrued interest paid on purchases and payment or receipt of nonqualified interest. Other amounts, such as federal, state and foreign tax withheld and investment expenses are shown as negative amounts but do not net against the reportable income totals.

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
Interest payment		02/28/22	0.41	Interest	
		03/25/22	0.11	Interest	
		03/31/22	0.18	Interest	
		04/29/22	0.03	Interest	
		11/30/22	0.01	Interest	
		_	0.74	Total Interest	
		_	0.74	Total Interest	

### Robinhood Markets Inc. as agent for **Robinhood Securities LLC**

2022

# **Fees and Expenses**

02/01/2023

Account 573179827

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
Margin charge		01/04/22	-5.00	Margin interest paid	
		02/03/22	-5.00	Margin interest paid	
		03/07/22	-5.00	Margin interest paid	
		04/04/22	-5.00	Margin interest paid	
		05/04/22	-5.00	Margin interest paid	
		06/03/22	-5.00	Margin interest paid	
		07/05/22	-5.00	Margin interest paid	
		08/02/22	-5.00	Margin interest paid	
		09/01/22	-5.00	Margin interest paid	
		10/03/22	-5.00	Margin interest paid	
		10/31/22	-5.00	Margin interest paid	
		11/30/22	-5.00	Margin interest paid	
		-	-60.00	Total Margin interest paid	
		•	-60.00	Total Margin interest paid	

#### Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

#### Keep tax documents for your records.

#### 1099-INT Instructions for Recipient

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

Line 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2022 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

Line 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction.

**Line 3.** Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium.

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Line 5. Any amount shown is your share of investment expenses of a singleclass REMIC. This amount is included in line 1. Note: This amount is not deductible. Line 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

Line 7. Shows the country or U.S. possession to which the foreign tax was paid. Line 8. Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Forms 1040 and 1040-SR. This amount may be subject to backup withholding. See Line 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in line 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 10. For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your

#### Instructions for Recipient

payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-0ID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includible in taxable income as interest income.

Line 11. For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4). Line 12. For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 3. If the amount in line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

Line 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this line for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in line 8 or 9, whichever is applicable. If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

Line 14. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s).

Lines 15-17. State tax withheld reporting lines.

Future developments. For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to <a href="https://www.irs.gov/Form1099INT">www.irs.gov/Form1099INT</a>.

**Free File.** Go to <u>www.irs.gov/FreeFile</u> to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

#### 1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number**. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or nonSection 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR)

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank. Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

**Free File.** Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

# Robinhood Markets Inc. as agent for **Robinhood Crypto LLC**

85 Willow Rd

Menlo Park, CA 94025

1b- Qualified dividends

2c- Section 1202 gain

2d- Collectibles (28%) gain

2f- Section 897 capital gain

5- Section 199A dividends

6- Investment expenses

3- Nondividend distributions

Customer Service: 650-940-2700

**DIVIDENDS AND DISTRIBUTIONS** 

2b- Unrecaptured Section 1250 gain

2e- Section 897 ordinary dividends

4- Federal income tax withheld

9- Cash liquidation distributions

10- Noncash liquidation distributions

8- Foreign country or US possession:

12- Exempt-interest dividends (includes line 13)

13- Specified private activity bond interest dividends (AMT)

1a- Total ordinary dividends (includes lines 1b, 5, 2e)

2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)

### **Tax Information** Account 573179827C

Amith reddy Garlapati 700 Linden Ln Apt 303 Macomb. IL 61455

RECIPIENT'S TIN: XXX-XX-5890

0.00

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#### PAYER'S TIN: 46-4364776 **Summary Information** 11 - [ ] FATCA filing requirement (see instructions)

13 - [ ] FATCA filing requirement (see instructions) 2022 1099-DIV\* MISCELLANEOUS INFORMATION **2022 1099-MISC\*** OMB No. 1545-0115 OMB No. 1545-0110 0.00 2- Royalties 0.00

3- Other income 0.00 4- Federal income tax withheld 0.00 0.00

Statement Date: 02/01/2023

8- Substitute payments in lieu of dividends or interest

**SECTION 1256 CONTRACTS** 2022 1099-B\* OMB No. 1545-0715 8- Profit or (loss) realized in 2022 on closed contracts 0.00 9- Unrealized profit or (loss) on open contracts-12/31/2021 0.00 10- Unrealized profit or (loss) on open contracts-12/31/2022 0.00 11- Aggregate profit or (loss) on contracts 0.00

If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

### SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

7- Foreign tax paid:

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	B (basis not reported to the IRS)	50,635.32	53,567.75	0.00	0.00	-2,932.43
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	50,635.32	53,567.75	0.00	0.00	-2,932.43
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	50,635.32	53,567.75	0.00	0.00	-2,932.43
Withholding		Amount				
Federal income	tax withheld	0.00				

Account 573179827C

Robinhood Markets Inc. as agent for **Robinhood Crypto LLC** 

# **Summary Information**

2022 (continued) 02/01/2023

INTEREST INCOME

2022 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

<ul><li>1- Interest income (not included in line 3)</li><li>2- Early withdrawal penalty</li></ul>	0.00 0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
Tax-exempt obligations (covered lots)	0.00
Tax-exempt private activity obligations (AMT, covered lots)	0.00
14- Tax-exempt and tax credit bond CUSIP number	
FATCA filling requirement []	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid Taxable accrued Treasury interest paid Tax-exempt accrued interest paid	0.00 0.00 0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

### STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

### ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year Acquisition premium (covered lots) Acquisition premium (noncovered lots)	0.00 0.00 0.00
Original issue discount on Treasury obligations Acquisition premium, Treasury obligations (covered lots)	0.00 0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

### RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

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8

Robinhood Markets Inc. as agent for Robinhood Crypto LLC

### **Proceeds from Broker and Barter Exchange Transactions**

02/01/2023

Account 573179827C

**2022 1099-B\*** OMB No. 1545-0715

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

### SHORT TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)

Report on Form 8949, Part I with Box B checked. Basis is NOT provided to the IRS. (Line 12)

1a- Descriptio	n of property/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et		Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
Bitcoin Cash /	CUSIP: BCHUSD / Symbol:						
03/03/22	8.96846874999999545	2,836.45	03/03/22	2,849.74	•••	-13.29	Sale <sup>25</sup>
Dogecoin / CU	ISIP: DOGEUSD / Symbol:						
	4 transactions for 02/06	6/22. Total proceeds a	re reported to	the IRS.			
	3,433.780000000000200089	502.68	01/27/22	489.97		12.71	1 of 4 - Sale <sup>25</sup>
	354.000	51.83	02/02/22	48.53		3.30	2 of 4 - Sale <sup>25</sup>
	708.000	103.64	02/02/22	97.07		6.57	3 of 4 - Sale <sup>25</sup>
	2,584.280000000000200089	378.32	02/02/22	354.31	•••	24.01	4 of 4 - Sale <sup>25</sup>
02/06/22	7,080.060	1,036.47	Various	989.88		46.59	Total of 4 transactions
03/04/22	20,636.38999999999417923	2,517.66	03/04/22	2,598.93		-81.27	Sale <sup>25</sup>
03/17/22	21,042.000	2,466.79	03/17/22	2,500.19		-33.40	Sale <sup>25</sup>

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for **Robinhood Crypto LLC** 

# **Proceeds from Broker and Barter Exchange Transactions**

Account 573179827C

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/01/2023

# SHORT TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5) Report on Form 8949, Part I with Box B checked. Basis is NOT provided to the IRS. (Line 12)

1a- Description	of property/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X)	Additional information
<b>.</b>	P: DOGEUSD / Symbol: (cont'd)	(0):000 0: (:1)01	uoquii ou	Other Buolo	ulcullo litta (11)	aloo Hot roportou (2)	7 daniera mormaton
Jogecom / Cosi	• • • •	0/00 Tatal massage an		4h - 100			
	2 transactions for 11/1	0/22. Total proceeds ar	•				
	586.450000000000045475	53.20	08/16/22	50.01		3.19	1 of 2 - Sale <sup>25</sup>
	155.93000000000006821	14.15	08/27/22	10.00	•••	<i>4.</i> 15	2 of 2 - Sale <sup>25</sup>
11/10/22	742.380	67.35	Various	60.01	•••	7.34	Total of 2 transactions
	Security total:	6,088.27		6,149.01		-60.74	
thereum Classi	c / CUSIP: ETCUSD / Symbol:						
	4 transactions for 02/2	1/22. Total proceeds ar	e reported to	the IRS.			
	31.131000000000000227	844.58	02/17/22	983.74	•••	-139.16	1 of 4 - Sale <sup>25</sup>
	12.13410299999999639	329.19	02/17/22	383.45		<i>-54.</i> 26	2 of 4 - Sale <sup>25</sup>
	3.1129999999999999	84.46	02/17/22	98.37		-13.91	3 of 4 - Sale <sup>25</sup>
	1.5569999999999994	42.24	02/17/22	49.20		-6.96	4 of 4 - Sale <sup>25</sup>
02/21/22	47.935103	1,300.47	Various	1,514.76		-214.29	Total of 4 transactions
	5 transactions for 02/2	3/22. Total proceeds ar	e reported to	the IRS.			
	57.01901399999998532	1,501.31	02/17/22	1,802.94		-301.63	1 of 5 - Sale <sup>25</sup>
	50.12789699999997263	1,319.86	02/17/22	1,584.09		<i>-264.23</i>	2 of 5 - Sale <sup>25</sup>
	1.5569999999999994	41.00	02/17/22	49.18		-8.18	3 of 5 - Sale <sup>25</sup>
	0.97499899999999999	25.67	02/17/22	30.81		-5.1 <i>4</i>	4 of 5 - Sale <sup>25</sup>
	20.004336999999999591	526.71	02/21/22	499.71		27.00	5 of 5 - Sale <sup>25</sup>
02/23/22	129.683247	3,414.55	Various	3,966.73		-552.18	Total of 5 transactions
	5 transactions for 03/02	2/22. Total proceeds ar	e reported to	the IRS.			
	1.123572000000000015	33.06	02/26/22	32.82	•••	0.24	1 of 5 - Sale <sup>25</sup>
	1.800000000000000044	52.97	02/26/22	52.61	•••	0.36	2 of 5 - Sale <sup>25</sup>
	2.47642799999999852	72.88	02/26/22	72.39		0.49	3 of 5 - Sale <sup>25</sup>
	35.99699999999999886	1,059.25	02/26/22	1,051.47		7.78	4 of 5 - Sale <sup>25</sup>
	58.737011000000002525	1,728.33	02/26/22	1,715.71		12.62	5 of 5 - Sale <sup>25</sup>
03/02/22	100.134011	2,946.49	Various	2,925.00		21.49	Total of 5 transactions
	6 transactions for 03/0	8/22. Total proceeds ar	e reported to				
	1.80000000000000044	46.87	03/07/22	46.56		0.31	1 of 6 - Sale <sup>25</sup>
	3.600000000000000089	93.74	03/07/22	93.12		0.62	2 of 6 - Sale <sup>25</sup>
	2.73245400000000016	71.15	03/07/22	70.01		1.14	3 of 6 - Sale <sup>25</sup>
	35.9969999999999886	937.36	03/07/22	931.17		6.19	4 of 6 - Sale <sup>25</sup>
	66.396792000000004919	1,728.98	03/07/22	1,718.35		10.63	5 of 6 - Sale <sup>25</sup>

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for Robinhood Crypto LLC

# **Proceeds from Broker and Barter Exchange Transactions**

Account 573179827C

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/01/2023

# SHORT TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)

Report on Form 8949, Part I with Box B checked. Basis is NOT provided to the IRS. (Line 12)

1a- Description of	f property/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
thereum Classic	/ CUSIP: ETCUSD / Symbol: (cont'd)						
	71.9939999999999773	1,874.72	03/07/22	1,862.42		12.30	6 of 6 - Sale <sup>25</sup>
03/08/22	182.520246	4,752.82	Various	4,721.63	***	31.19	Total of 6 transactions
	7 transactions for 03/29						
	39.86065599999998755	1,959.56	03/29/22	1,977.19		-17.63	1 of 7 - Sale <sup>25</sup>
	35.07134400000003405	1,723.45	03/29/22	1,739.38	•••	-15.93	2 of 7 - Sale 25
	12.828656000000000503	630.69	03/29/22	636.25	•••	<i>-5.5</i> 6	3 of 7 - Sale <sup>25</sup>
	8.03934399999999824	395.2 <i>4</i>	03/29/22	398.77		-3.53	4 of 7 - Sale <sup>25</sup>
	2.087000000000000188	102.60	03/29/22	103.54		-0.94	5 of 7 - Sale <sup>25</sup>
	1.875344000000000122	92.19	03/29/22	93.04		-0.85	6 of 7 - Sale <sup>25</sup>
	1.04299999999999927	51.28	03/29/22	51.75	•••	-0.47	7 of 7 - Sale <sup>25</sup>
03/29/22	100.805344	4,955.01	Various	4,999.92		-44.91	Total of 7 transactions
	Security total:	17,369.34		18,128.04		-758.70	
thereum / CUSIF	P: ETHUSD / Symbol:						
	5 transactions for 03/03	3/22. Total proceeds ar	e reported to	o the IRS.			
	0.55167699999999973	1,597.99	03/02/22	1,634.72		-36.73	1 of 5 - Sale <sup>25</sup>
	0.37149999999999997	1,076.09	03/02/22	1,100.83		-24.74	2 of 5 - Sale <sup>25</sup>
	0.03719999999999997	107.75	03/02/22	110.23		-2 <i>.4</i> 8	3 of 5 - Sale <sup>25</sup>
	0.019911000000000002	57.67	03/02/22	59.00		-1.33	4 of 5 - Sale <sup>25</sup>
	0.01859999999999998	53.88	03/02/22	55.12	•••	-1.24	5 of 5 - Sale <sup>25</sup>
03/03/22	0.998888	2,893.38	Various	2,959.90	***	-66.52	Total of 5 transactions
03/05/22	1.00122099999999917	2,605.37	03/04/22	2,700.05		-94.68	Sale <sup>25</sup>
	7 transactions for 03/07	7/22. Total proceeds ar	e reported to	o the IRS.			
	0.74309999999999983	1,828.50	03/05/22	1,995.88		-167.38	1 of 7 - Sale <sup>25</sup>
	0.691312000000000038	1,701.06	03/05/22	1,856.78		-155.72	2 of 7 - Sale 25
	0.37149999999999997	914.13	03/05/22	997.81		-83.68	3 of 7 - Sale <sup>25</sup>
	0.03719999999999997	91.53	03/05/22	99.98		<i>-8.4</i> 5	4 of 7 - Sale <sup>25</sup>
	0.03719999999999997	91.54	03/05/22	99.91	•••	-8.37	5 of 7 - Sale 25
	0.018641000000000001	45.87	03/05/22	50.11		-4.24	6 of 7 - Sale 25
	0.01859999999999998	45.77	03/05/22	49.96	···	-4.19	7 of 7 - Sale <sup>25</sup>
03/07/22	1.917553	4,718.40	Various	5,150.43		-432.03	Total of 7 transactions
	Security total:	10,217.15		10,810.38		-593.23	

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for Robinhood Crypto LLC

# **Proceeds from Broker and Barter Exchange Transactions**

02/01/2023

Account 573179827C

**2022 1099-B\*** OMB No. 1545-0715

(continued)

SHORT TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5) Report on Form 8949, Part I with Box B checked. Basis is NOT provided to the IRS. (Line 12)

1a- Description of	of property/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
Litecoin / CUSIP:	LTCUSD / Symbol:						
	8 transactions for 02/1	3/22. Total proceeds ar	e reported to	the IRS.			
	3.674504919999999952	462.07	11/10/21	1,056.05		-593.98	1 of 8 - Sale <sup>25</sup>
	3.60109447999999987	452.84	11/10/21	1,035.02		-582.18	2 of 8 - Sale <sup>25</sup>
	3.489871790000000029	<i>4</i> 38.85	11/10/21	920.04		<i>-4</i> 81.19	3 of 8 - Sale <sup>25</sup>
	2.00965315000000011	252.71	12/24/21	32 <i>4</i> .38		-71.67	4 of 8 - Sale <sup>25</sup>
	4.616805170000000125	580.56	01/27/22	499.96		80.60	5 of 8 - Sale <sup>25</sup>
	13.746655600000000419	1,728.64	02/02/22	1,490.01		238.63	6 of 8 - Sale <sup>25</sup>
	8.359032620000000691	1,051.16	02/06/22	1,029.84		21.32	7 of 8 - Sale <sup>25</sup>
	0.115982370000000001	14.58	02/07/22	15.00		<i>-0.4</i> 2	8 of 8 - Sale <sup>25</sup>
)2/13/22	39.6136	4,981.41	Various	6,370.30	•••	-1,388.89	Total of 8 transactions
	2 transactions for 02/2	3/22. Total proceeds ar	e reported to	the IRS.			
	22.91184795999999347	2,415.82	02/21/22	2,561.09		-145.27	1 of 2 - Sale <sup>25</sup>
	0.35844618999999998	37.79	02/21/22	40.00	•••	-2.21	2 of 2 - Sale <sup>25</sup>
02/23/22	23.270294	2,453.61	Various	2,601.09		-147.48	Total of 2 transactions
03/04/22	25.7245173600000011	2,703.13	02/26/22	2,909.19	•••	-206.06	Sale <sup>25</sup>
	3 transactions for 03/2	8/22. Total proceeds ar	e reported to	the IRS.			
	1.212503630000000054	159.44	03/25/22	150.00		9.44	1 of 3 - Sale <sup>25</sup>
	9.19903988999999886	1,209.67	03/25/22	1,138.11		71.56	2 of 3 - Sale <sup>25</sup>
	19.8999999999998579	2,616.85	03/25/22	2,461.89		<i>154.96</i>	3 of 3 - Sale <sup>25</sup>
03/28/22	30.311544	3,985.96	Various	3,750.00		235.96	Total of 3 transactions
	Security total:	14,124.11		15,630.58		-1,506.47	
Totals :	,	50,635.32		53,567.75		-2,932.43	

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for Robinhood Crypto LLC

# **2022 End Notes for Account 573179827C**

25 Tax lot closed on a first in first out basis.

#### Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

#### Keep tax documents for your records.

#### 1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or nonSection 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR)

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

#### Instructions for Recipient

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked. box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a harter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to 1009/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

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