



Chandra Thota  
15441 NE 13th Pl 705  
Bellevue, WA 98007

**Master Account Number for Import:**

607509866

**Document ID for Import:**

4N08W9V1CV8

**Enclosed is your 2022 Consolidated Tax Statement. 1099 Tax Forms for the following accounts are included in this package:**

607509866C - Robinhood Crypto LLC

**New for 2022 Tax Year** - Where applicable, 1099 Tax Forms for Robinhood Securities, Robinhood Crypto, and Robinhood Money are being consolidated into one package and reported under our parent entity, Robinhood Markets Inc. We have done this to ensure all forms that you need are provided at the same time in this consolidated package.

**Important** - Please utilize the Master Account Number and Document ID listed above if filing through tax service providers such as TurboTax or H&R Block. The Document ID is necessary in order to directly import your 1099 data into the tax software so that you do not need to manually enter each transaction. This year there is only one Master Account Number and Document ID that needs to be used to import all of your data across all accounts and 1099s (including crypto). Please note that tax software providers may have limits on the total number of transactions that can be directly imported using the Document ID.

**CSVs** - In addition, a CSV file which includes the same information that is reported on your 1099 forms will be provided. The CSV is not a separate 1099. It is being provided as a supplement in a format that may be more helpful if you have a large number of transactions or if you are not using the Document ID to import all of your Securities, Crypto and Money activity. Tax software providers may have limits on the total number of transactions that can be uploaded and CSV files may not be compatible with tax service providers such as TurboTax or H&R Block.

**Robinhood Retirements Accounts** - If you had any reportable distributions or contributions within a Robinhood Retirement account, your 2022 tax forms 1099-R and/or 5498 will be delivered separately. Retirement accounts will be reported under Robinhood Securities LLC and will have their own unique Doc IDs for import to tax software providers such as TurboTax or H&R Block.

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<b>Robinhood Markets Inc. as agent for Robinhood Crypto LLC</b> 85 Willow Rd Menlo Park, CA 94025 Customer Service: 650-940-2700  PAYER'S TIN: 46-4364776	<b>Tax Information Account 607509866C</b>	<b>Statement Date: 02/01/2023</b>	<b>2022</b>
	Chandra Thota 15441 NE 13th PI 705 Bellevue, WA 98007  RECIPIENT'S TIN: XXX-XX-6239		

11 - [ ] FATCA filing requirement (see instructions)

**Summary Information**

13 - [ ] FATCA filing requirement (see instructions)

<b>DIVIDENDS AND DISTRIBUTIONS</b>	<b>2022 1099-DIV*</b>	OMB No. 1545-0110	<b>MISCELLANEOUS INFORMATION</b>	<b>2022 1099-MISC*</b>	OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5, 2e)	0.00		2- Royalties	0.00	
1b- Qualified dividends	0.00		3- Other income	0.00	
2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)	0.00		<b>4- Federal income tax withheld</b>	<b>0.00</b>	
2b- Unrecaptured Section 1250 gain	0.00		8- Substitute payments in lieu of dividends or interest	0.00	
2c- Section 1202 gain	0.00				
2d- Collectibles (28%) gain	0.00		<b>SECTION 1256 CONTRACTS</b>	<b>2022 1099-B*</b>	OMB No. 1545-0715
2e- Section 897 ordinary dividends	0.00		8- Profit or (loss) realized in 2022 on closed contracts	0.00	
2f- Section 897 capital gain	0.00		9- Unrealized profit or (loss) on open contracts-12/31/2021	0.00	
3- Nondividend distributions	0.00		10- Unrealized profit or (loss) on open contracts-12/31/2022	0.00	
<b>4- Federal income tax withheld</b>	<b>0.00</b>		11- Aggregate profit or (loss) on contracts	0.00	
5- Section 199A dividends	0.00				
6- Investment expenses	0.00		<i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i>		
8- Foreign country or US possession:      7- Foreign tax paid:	0.00		<b>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</b>		
9- Cash liquidation distributions	0.00				
10- Noncash liquidation distributions	0.00				
12- Exempt-interest dividends (includes line 13)	0.00				
13- Specified private activity bond interest dividends (AMT)	0.00				

**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	B (basis not reported to the IRS)	57,171.42	58,221.61	0.00	0.00	-1,050.19
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Short-term</b>	<b>57,171.42</b>	<b>58,221.61</b>	<b>0.00</b>	<b>0.00</b>	<b>-1,050.19</b>
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>57,171.42</b>	<b>58,221.61</b>	<b>0.00</b>	<b>0.00</b>	<b>-1,050.19</b>
<b>Withholding</b>		<b>Amount</b>				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2022 may require an amended tax form.

Robinhood Markets Inc. as agent for  
 Robinhood Crypto LLC  
 2022

Account 607509866C

**Summary Information**  
 (continued)

02/01/2023

**INTEREST INCOME**

2022 1099-INT

OMB No. 1545-0112

**This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	
FATCA filing requirement [ ]	

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Markets Inc. as agent for  
Robinhood Crypto LLC

Account 607509866C

**Proceeds from Broker and Barter Exchange Transactions**

2022 1099-B\* OMB No. 1545-0715

02/01/2023

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)**

Report on Form 8949, Part I with Box B checked. Basis is NOT provided to the IRS. (Line 12)

"Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
Bitcoin Cash / CUSIP: BCHUSD / Symbol:							
06/19/22	1.000	118.80	06/19/22	118.10	...	0.70	Sale <sup>25</sup>
07/05/22	1.000	106.43	07/04/22	108.58	...	-2.15	Sale <sup>25</sup>
	<b>Security total:</b>	<b>225.23</b>		<b>226.68</b>	...	<b>-1.45</b>	
Bitcoin SV / CUSIP: BSVUSD / Symbol:							
03/18/22	1.000	76.63	12/12/21	134.43	...	-57.80	Sale <sup>25</sup>
05/12/22	1.000	52.51	05/11/22	50.73	...	1.78	Sale <sup>25</sup>
05/30/22	1.000	55.57	05/24/22	53.58	...	1.99	Sale <sup>25</sup>
06/14/22	2.000	108.71	06/14/22	100.61	...	8.10	Sale <sup>25</sup>
06/15/22	2.000	110.18	06/14/22	107.50	...	2.68	Sale <sup>25</sup>
07/22/22	1.000	58.74	07/20/22	58.87	...	-0.13	Sale <sup>25</sup>
10/12/22	3.000	143.61	09/26/22	155.66	...	-12.05	Sale <sup>25</sup>

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Robinhood Markets Inc. as agent for  
Robinhood Crypto LLC

Account 607509866C

Proceeds from Broker and Barter Exchange Transactions

2022 1099-B\* OMB No. 1545-0715

(continued)

02/01/2023

**SHORT TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)**

Report on Form 8949, Part I with Box B checked. Basis is NOT provided to the IRS. (Line 12)

"Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
Bitcoin SV / CUSIP: BSVUSD / Symbol: (cont'd)							
10/13/22	2.000	98.63	09/26/22	103.78	...	-5.15	Sale <sup>25</sup>
11/10/22	3.000	114.19	10/11/22	141.52	...	-27.33	Sale <sup>25</sup>
	<b>Security total:</b>	<b>818.77</b>		<b>906.68</b>	...	<b>-87.91</b>	
Dogecoin / CUSIP: DOGEUSD / Symbol:							
<i>3 transactions for 03/18/22. Total proceeds are reported to the IRS.</i>							
	500.000	57.33	11/10/21	136.92	...	-79.59	1 of 3 - Sale <sup>25</sup>
	438.000	50.23	11/26/21	87.66	...	-37.43	2 of 3 - Sale <sup>25</sup>
	62.000	7.11	11/26/21	12.41	...	-5.30	3 of 3 - Sale <sup>25</sup>
03/18/22	1,000.000	114.67	Various	236.99	...	-122.32	Total of 3 transactions
07/05/22	250.000	17.12	07/04/22	17.13	...	-0.01	Sale <sup>25</sup>
10/31/22	500.000	61.79	10/31/22	58.91	...	2.88	Sale <sup>25</sup>
	<b>Security total:</b>	<b>193.58</b>		<b>313.03</b>	...	<b>-119.45</b>	
Ethereum Classic / CUSIP: ETCUSD / Symbol:							
<i>4 transactions for 03/18/22. Total proceeds are reported to the IRS.</i>							
	1.000	26.66	11/10/21	63.66	...	-37.00	1 of 4 - Sale <sup>25</sup>
	0.949999999999999956	25.33	11/17/21	48.20	...	-22.87	2 of 4 - Sale <sup>25</sup>
	0.050000000000000003	1.33	11/17/21	2.54	...	-1.21	3 of 4 - Sale <sup>25</sup>
	1.000	26.66	12/04/21	42.90	...	-16.24	4 of 4 - Sale <sup>25</sup>
03/18/22	3.000	79.98	Various	157.30	...	-77.32	Total of 4 transactions
06/06/22	1.000	22.60	06/02/22	22.53	...	0.07	Sale <sup>25</sup>
06/16/22	1.000	15.79	06/08/22	21.46	...	-5.67	Sale <sup>25</sup>
07/18/22	1.000	20.86	06/13/22	15.35	...	5.51	Sale <sup>25</sup>
<i>2 transactions for 09/26/22. Total proceeds are reported to the IRS.</i>							
	1.000	27.97	09/26/22	28.22	...	-0.25	1 of 2 - Sale <sup>25</sup>
	1.000	27.97	09/26/22	27.74	...	0.23	2 of 2 - Sale <sup>25</sup>
09/26/22	2.000	55.94	Various	55.96	...	-0.02	Total of 2 transactions
<i>3 transactions for 10/22/22. Total proceeds are reported to the IRS.</i>							
	3.81800000000000006	88.49	10/11/22	92.23	...	-3.74	1 of 3 - Sale <sup>25</sup>
	0.18199999999999995	4.22	10/11/22	4.40	...	-0.18	2 of 3 - Sale <sup>25</sup>
	1.000	23.18	10/20/22	21.72	...	1.46	3 of 3 - Sale <sup>25</sup>
10/22/22	5.000	115.89	Various	118.35	...	-2.46	Total of 3 transactions
10/25/22	4.000	102.01	10/20/22	86.87	...	15.14	Sale <sup>25</sup>

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Robinhood Markets Inc. as agent for  
Robinhood Crypto LLC

Account 607509866C

## Proceeds from Broker and Barter Exchange Transactions

2022 1099-B\* OMB No. 1545-0715

(continued)

02/01/2023

**SHORT TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)**

Report on Form 8949, Part I with Box B checked. Basis is NOT provided to the IRS. (Line 12)

"Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
Ethereum Classic / CUSIP: ETCUSD / Symbol: (cont'd)							
11/10/22	5.000	108.77	10/29/22	130.88	...	-22.11	Sale <sup>25</sup>
	<b>Security total:</b>	<b>521.84</b>		<b>608.70</b>	...	<b>-86.86</b>	
Ethereum / CUSIP: ETHUSD / Symbol:							
2 transactions for 06/19/22. Total proceeds are reported to the IRS.							
	0.10000000000000006	103.70	06/17/22	109.81	...	-6.11	1 of 2 - Sale <sup>25</sup>
	0.10000000000000006	114.04	06/18/22	101.23	...	12.81	2 of 2 - Sale <sup>25</sup>
06/19/22	0.200	217.74	Various	211.04	...	6.70	Total of 2 transactions
Litecoin / CUSIP: LTCUSD / Symbol:							
4 transactions for 01/23/22. Total proceeds are reported to the IRS.							
	0.65400000000000026	73.33	12/03/21	118.26	...	-44.93	1 of 4 - Sale <sup>25</sup>
	0.500	56.19	12/03/21	90.41	...	-34.22	2 of 4 - Sale <sup>25</sup>
	0.34599999999999974	38.80	12/03/21	62.57	...	-23.77	3 of 4 - Sale <sup>25</sup>
	2.500	280.95	12/04/21	449.25	...	-168.30	4 of 4 - Sale <sup>25</sup>
01/23/22	4.000	449.27	Various	720.49	...	-271.22	Total of 4 transactions
2 transactions for 01/24/22. Total proceeds are reported to the IRS.							
	0.500	52.54	12/04/21	89.85	...	-37.31	1 of 2 - Sale <sup>25</sup>
	0.500	52.53	12/04/21	84.12	...	-31.59	2 of 2 - Sale <sup>25</sup>
01/24/22	1.000	105.07	Various	173.97	...	-68.90	Total of 2 transactions
12 transactions for 02/04/22. Total proceeds are reported to the IRS.							
	1.000	115.00	12/04/21	152.51	...	-37.51	1 of 12 - Sale <sup>25</sup>
	0.500	57.50	12/04/21	84.12	...	-26.62	2 of 12 - Sale <sup>25</sup>
	1.000	115.00	12/05/21	154.54	...	-39.54	3 of 12 - Sale <sup>25</sup>
	1.000	115.00	12/08/21	162.14	...	-47.14	4 of 12 - Sale <sup>25</sup>
	0.500	57.50	12/10/21	75.25	...	-17.75	5 of 12 - Sale <sup>25</sup>
	1.000	115.01	01/22/22	109.99	...	5.02	6 of 12 - Sale <sup>25</sup>
	1.000	115.00	01/22/22	108.48	...	6.52	7 of 12 - Sale <sup>25</sup>
	2.000	236.36	01/22/22	216.96	...	19.40	8 of 12 - Sale <sup>25</sup>
	1.000	118.18	01/24/22	99.00	...	19.18	9 of 12 - Sale <sup>25</sup>
	0.45800000000000018	54.13	02/03/22	48.63	...	5.50	10 of 12 - Sale <sup>25</sup>
	0.54200000000000037	64.05	02/03/22	57.55	...	6.50	11 of 12 - Sale <sup>25</sup>
	5.000	590.90	02/03/22	532.95	...	57.95	12 of 12 - Sale <sup>25</sup>
02/04/22	15.000	1,753.63	Various	1,802.12	...	-48.49	Total of 12 transactions

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Robinhood Crypto LLC

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### Proceeds from Broker and Barter Exchange Transactions

2022 1099-B\* OMB No. 1545-0715

(continued)

02/01/2023

#### SHORT TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)

Report on Form 8949, Part I with Box B checked. Basis is NOT provided to the IRS. (Line 12)

"Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS.

#### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
Litecoin / CUSIP: LTCUSD / Symbol: (cont'd)							
02/15/22	1.000	130.52	02/13/22	127.03	...	3.49	Sale <sup>25</sup>
02/18/22	1.000	119.74	02/17/22	117.05	...	2.69	Sale <sup>25</sup>
02/24/22	2.000	201.22	02/19/22	234.68	...	-33.46	Sale <sup>25</sup>
2 transactions for 03/09/22. Total proceeds are reported to the IRS.							
	1.000	106.01	02/19/22	117.34	...	-11.33	1 of 2 - Sale <sup>25</sup>
	1.000	106.01	02/24/22	97.97	...	8.04	2 of 2 - Sale <sup>25</sup>
03/09/22	2.000	212.02	Various	215.31	...	-3.29	Total of 2 transactions
4 transactions for 03/16/22. Total proceeds are reported to the IRS.							
	1.000	110.00	02/24/22	97.96	...	12.04	1 of 4 - Sale <sup>25</sup>
	1.000	110.00	03/03/22	110.87	...	-0.87	2 of 4 - Sale <sup>25</sup>
	1.000	111.00	03/05/22	103.00	...	8.00	3 of 4 - Sale <sup>25</sup>
	1.000	111.00	03/05/22	103.00	...	8.00	4 of 4 - Sale <sup>25</sup>
03/16/22	4.000	442.00	Various	414.83	...	27.17	Total of 4 transactions
9 transactions for 03/18/22. Total proceeds are reported to the IRS.							
	0.48199999999999984	51.94	03/12/22	51.78	...	0.16	1 of 9 - Sale <sup>25</sup>
	0.55300000000000047	59.59	03/12/22	59.42	...	0.17	2 of 9 - Sale <sup>25</sup>
	0.96499999999999969	103.99	03/12/22	103.67	...	0.32	3 of 9 - Sale <sup>25</sup>
	1.000	107.76	03/13/22	106.00	...	1.76	4 of 9 - Sale <sup>25</sup>
	1.000	107.76	03/16/22	106.65	...	1.11	5 of 9 - Sale <sup>25</sup>
	3.000	323.28	03/17/22	331.83	...	-8.55	6 of 9 - Sale <sup>25</sup>
	2.5709999999999973	278.38	03/17/22	284.37	...	-5.99	7 of 9 - Sale <sup>25</sup>
	0.95299999999999958	103.20	03/17/22	105.41	...	-2.21	8 of 9 - Sale <sup>25</sup>
	0.47599999999999979	51.55	03/17/22	52.65	...	-1.10	9 of 9 - Sale <sup>25</sup>
03/18/22	11.000	1,187.45	Various	1,201.78	...	-14.33	Total of 9 transactions
4 transactions for 03/25/22. Total proceeds are reported to the IRS.							
	0.43299999999999996	54.84	03/22/22	52.08	...	2.76	1 of 4 - Sale <sup>25</sup>
	0.86499999999999991	109.54	03/22/22	104.03	...	5.51	2 of 4 - Sale <sup>25</sup>
	2.04899999999999932	259.46	03/22/22	246.43	...	13.03	3 of 4 - Sale <sup>25</sup>
	8.65300000000000469	1,095.76	03/22/22	1,040.70	...	55.06	4 of 4 - Sale <sup>25</sup>
03/25/22	12.000	1,519.60	Various	1,443.24	...	76.36	Total of 4 transactions
3 transactions for 03/26/22. Total proceeds are reported to the IRS.							
	0.29999999999999989	36.86	03/25/22	36.60	...	0.26	1 of 3 - Sale <sup>25</sup>
	1.60000000000000089	196.57	03/25/22	195.17	...	1.40	2 of 3 - Sale <sup>25</sup>

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Robinhood Markets Inc. as agent for  
Robinhood Crypto LLC

Account 607509866C

## Proceeds from Broker and Barter Exchange Transactions

2022 1099-B\* OMB No. 1545-0715

(continued)

02/01/2023

**SHORT TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)**

Report on Form 8949, Part I with Box B checked. Basis is NOT provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
Litecoin / CUSIP: LTCUSD / Symbol: (cont'd)							
	11.099999999999999645	1,363.74	03/25/22	1,354.12	...	9.62	3 of 3 - Sale <sup>25</sup>
03/26/22	13.000	1,597.17	Various	1,585.89	...	11.28	Total of 3 transactions
	11 transactions for 04/01/22. Total proceeds are reported to the IRS.						
	3.866000000000000103	481.40	03/31/22	494.82	...	-13.42	1 of 11 - Sale <sup>25</sup>
	4.599999999999999645	576.94	03/31/22	588.75	...	-11.81	2 of 11 - Sale <sup>25</sup>
	2.23772607999999984	280.66	03/31/22	286.42	...	-5.76	3 of 11 - Sale <sup>25</sup>
	0.756000000000000005	94.82	03/31/22	96.76	...	-1.94	4 of 11 - Sale <sup>25</sup>
	0.484273920000000024	60.30	03/31/22	61.98	...	-1.68	5 of 11 - Sale <sup>25</sup>
	0.27172607999999981	33.84	03/31/22	35.50	...	-1.66	6 of 11 - Sale <sup>25</sup>
	0.378000000000000003	47.08	03/31/22	48.38	...	-1.30	7 of 11 - Sale <sup>25</sup>
	0.378000000000000003	47.41	03/31/22	48.38	...	-0.97	8 of 11 - Sale <sup>25</sup>
	0.29999999999999989	37.63	03/31/22	38.39	...	-0.76	9 of 11 - Sale <sup>25</sup>
	0.420273920000000023	52.71	04/01/22	50.58	...	2.13	10 of 11 - Sale <sup>25</sup>
	4.208000000000000185	527.75	04/01/22	506.48	...	21.27	11 of 11 - Sale <sup>25</sup>
04/01/22	17.900	2,240.54	Various	2,256.44	...	-15.90	Total of 11 transactions
04/02/22	0.27172607999999981	33.96	04/01/22	32.70	...	1.26	Sale <sup>25</sup>
	2 transactions for 04/03/22. Total proceeds are reported to the IRS.						
	0.378000000000000003	48.10	04/03/22	47.89	...	0.21	1 of 2 - Sale <sup>25</sup>
	0.62199999999999997	79.14	04/03/22	78.81	...	0.33	2 of 2 - Sale <sup>25</sup>
04/03/22	1.000	127.24	Various	126.70	...	0.54	Total of 2 transactions
	6 transactions for 04/11/22. Total proceeds are reported to the IRS.						
	16.05799999999999829	1,741.81	04/06/22	1,902.87	...	-161.06	1 of 6 - Sale <sup>25</sup>
	2.942000000000000171	319.12	04/06/22	348.63	...	-29.51	2 of 6 - Sale <sup>25</sup>
	9.599999999999999645	993.59	04/11/22	998.25	...	-4.66	3 of 6 - Sale <sup>25</sup>
	7.95999999999999964	823.81	04/11/22	827.72	...	-3.91	4 of 6 - Sale <sup>25</sup>
	0.95999999999999964	99.36	04/11/22	99.82	...	-0.46	5 of 6 - Sale <sup>25</sup>
	0.47999999999999982	49.68	04/11/22	49.91	...	-0.23	6 of 6 - Sale <sup>25</sup>
04/11/22	38.000	4,027.37	Various	4,227.20	...	-199.83	Total of 6 transactions
04/13/22	19.000	2,033.00	04/12/22	1,987.78	...	45.22	Sale <sup>25</sup>
05/08/22	1.000	95.10	05/08/22	92.89	...	2.21	Sale <sup>25</sup>
05/10/22	3.000	228.01	05/09/22	267.00	...	-38.99	Sale <sup>25</sup>
05/23/22	6.000	434.78	05/09/22	534.00	...	-99.22	Sale <sup>25</sup>

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Robinhood Markets Inc. as agent for  
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Account 607509866C

### Proceeds from Broker and Barter Exchange Transactions

2022 1099-B\* OMB No. 1545-0715

(continued)

02/01/2023

#### SHORT TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)

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#### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
Litecoin / CUSIP: LTCUSD / Symbol: (cont'd)							
<i>2 transactions for 05/25/22. Total proceeds are reported to the IRS.</i>							
	3.000	209.54	05/09/22	267.00	...	-57.46	1 of 2 - Sale <sup>25</sup>
	3.000	209.54	05/10/22	221.39	...	-11.85	2 of 2 - Sale <sup>25</sup>
05/25/22	6.000	419.08	Various	488.39	...	-69.31	Total of 2 transactions
05/30/22	14.000	947.95	05/25/22	959.49	...	-11.54	Sale <sup>25</sup>
06/14/22	5.000	222.53	06/06/22	327.69	...	-105.16	Sale <sup>25</sup>
<i>4 transactions for 06/16/22. Total proceeds are reported to the IRS.</i>							
	1.000	45.61	06/13/22	43.48	...	2.13	1 of 4 - Sale <sup>25</sup>
	4.000	198.61	06/13/22	173.93	...	24.68	2 of 4 - Sale <sup>25</sup>
	1.000	45.91	06/16/22	45.09	...	0.82	3 of 4 - Sale <sup>25</sup>
	1.000	46.27	06/16/22	45.09	...	1.18	4 of 4 - Sale <sup>25</sup>
06/16/22	7.000	336.40	Various	307.59	...	28.81	Total of 4 transactions
<i>2 transactions for 07/17/22. Total proceeds are reported to the IRS.</i>							
	1.000	55.58	06/16/22	45.09	...	10.49	1 of 2 - Sale <sup>25</sup>
	2.000	110.46	06/28/22	111.70	...	-1.24	2 of 2 - Sale <sup>25</sup>
07/17/22	3.000	166.04	Various	156.79	...	9.25	Total of 2 transactions
<i>2 transactions for 07/20/22. Total proceeds are reported to the IRS.</i>							
	1.000	58.65	07/20/22	58.32	...	0.33	1 of 2 - Sale <sup>25</sup>
	1.000	57.45	07/20/22	57.00	...	0.45	2 of 2 - Sale <sup>25</sup>
07/20/22	2.000	116.10	Various	115.32	...	0.78	Total of 2 transactions
<i>4 transactions for 07/21/22. Total proceeds are reported to the IRS.</i>							
	0.2999999999999999	17.07	07/21/22	16.80	...	0.27	1 of 4 - Sale <sup>25</sup>
	1.000	56.67	07/21/22	55.90	...	0.77	2 of 4 - Sale <sup>25</sup>
	9.000	509.98	07/21/22	503.81	...	6.17	3 of 4 - Sale <sup>25</sup>
	10.699999999999999	609.01	07/21/22	598.98	...	10.03	4 of 4 - Sale <sup>25</sup>
07/21/22	21.000	1,192.73	Various	1,175.49	...	17.24	Total of 4 transactions
08/01/22	1.000	59.06	07/31/22	61.23	...	-2.17	Sale <sup>25</sup>
<i>3 transactions for 08/08/22. Total proceeds are reported to the IRS.</i>							
	10.578614800000000429	666.46	08/06/22	648.42	...	18.04	1 of 3 - Sale <sup>25</sup>
	11.150912110000000155	708.11	08/06/22	683.50	...	24.61	2 of 3 - Sale <sup>25</sup>
	0.849087889999999956	53.92	08/07/22	51.19	...	2.73	3 of 3 - Sale <sup>25</sup>
08/08/22	22.578615	1,428.49	Various	1,383.11	...	45.38	Total of 3 transactions
08/10/22	5.000	300.06	08/10/22	294.23	...	5.83	Sale <sup>25</sup>

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Account 607509866C

### Proceeds from Broker and Barter Exchange Transactions

2022 1099-B\* OMB No. 1545-0715

(continued)

02/01/2023

#### SHORT TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)

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#### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
Litecoin / CUSIP: LTCUSD / Symbol: (cont'd)							
08/12/22	0.78936441999999956	49.00	08/12/22	48.94	...	0.06	Sale <sup>25</sup>
	4 transactions for 08/15/22. Total proceeds are reported to the IRS.						
	5.000	325.03	08/14/22	318.11	...	6.92	1 of 4 - Sale <sup>25</sup>
	6.000	368.46	08/15/22	371.66	...	-3.20	2 of 4 - Sale <sup>25</sup>
	4.000	246.13	08/15/22	247.77	...	-1.64	3 of 4 - Sale <sup>25</sup>
	2.000	123.07	08/15/22	123.42	...	-0.35	4 of 4 - Sale <sup>25</sup>
08/15/22	17.000	1,062.69	Various	1,060.96	...	1.73	Total of 4 transactions
	2 transactions for 08/18/22. Total proceeds are reported to the IRS.						
	18.6999999999999289	1,130.35	08/17/22	1,139.96	...	-9.61	1 of 2 - Sale <sup>25</sup>
	4.75274439000000152	287.29	08/17/22	289.79	...	-2.50	2 of 2 - Sale <sup>25</sup>
08/18/22	23.452744	1,417.64	Various	1,429.75	...	-12.11	Total of 2 transactions
	5 transactions for 08/23/22. Total proceeds are reported to the IRS.						
	1.47999999999999982	84.60	08/22/22	84.04	...	0.56	1 of 5 - Sale <sup>25</sup>
	1.83499999999999964	104.92	08/22/22	104.20	...	0.72	2 of 5 - Sale <sup>25</sup>
	4.79575862999999911	274.12	08/22/22	272.33	...	1.79	3 of 5 - Sale <sup>25</sup>
	16.86499999999998437	964.31	08/22/22	957.62	...	6.69	4 of 5 - Sale <sup>25</sup>
	24.748884310000001108	1,414.93	08/23/22	1,427.29	...	-12.36	5 of 5 - Sale <sup>25</sup>
08/23/22	49.724643	2,842.88	Various	2,845.48	...	-2.60	Total of 5 transactions
08/27/22	26.000	1,364.23	08/27/22	1,377.66	...	-13.43	Sale <sup>25</sup>
09/29/22	5.000	266.00	09/27/22	262.31	...	3.69	Sale <sup>25</sup>
11/10/22	20.000	1,128.46	11/09/22	1,025.22	...	103.24	Sale <sup>25</sup>
11/16/22	10.000	578.89	11/16/22	595.45	...	-16.56	Sale <sup>25</sup>
	2 transactions for 11/18/22. Total proceeds are reported to the IRS.						
	1.551614939999999887	97.99	11/18/22	97.04	...	0.95	1 of 2 - Sale <sup>25</sup>
	20.000	1,263.05	11/18/22	1,261.00	...	2.05	2 of 2 - Sale <sup>25</sup>
11/18/22	21.551615	1,361.04	Various	1,358.04	...	3.00	Total of 2 transactions
11/19/22	21.000	1,293.46	11/19/22	1,304.05	...	-10.59	Sale <sup>25</sup>
	2 transactions for 11/21/22. Total proceeds are reported to the IRS.						
	21.000	1,260.58	11/20/22	1,305.52	...	-44.94	1 of 2 - Sale <sup>25</sup>
	0.755652450000000031	45.36	11/20/22	46.34	...	-0.98	2 of 2 - Sale <sup>25</sup>
11/21/22	21.755652	1,305.94	Various	1,351.86	...	-45.92	Total of 2 transactions
11/23/22	18.467194570000000198	1,299.60	11/22/22	1,292.23	...	7.37	Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2022 1099-B\* OMB No. 1545-0715

(continued)

02/01/2023

**SHORT TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
Litecoin / CUSIP: LTCUSD / Symbol: (cont'd)							
4 transactions for 11/25/22. Total proceeds are reported to the IRS.							
	6.84334899999999904	543.63	11/24/22	533.24	...	10.39	1 of 4 - Sale <sup>25</sup>
	10.000	794.38	11/24/22	775.12	...	19.26	2 of 4 - Sale <sup>25</sup>
	17.037950420000001373	1,244.37	11/25/22	1,321.30	...	-76.93	3 of 4 - Sale <sup>25</sup>
	17.356718340000000467	1,333.16	11/25/22	1,343.18	...	-10.02	4 of 4 - Sale <sup>25</sup>
11/25/22	51.238017	3,915.54	Various	3,972.84	...	-57.30	Total of 4 transactions
2 transactions for 11/29/22. Total proceeds are reported to the IRS.							
	6.584744650000000199	506.59	11/26/22	496.67	...	9.92	1 of 2 - Sale <sup>25</sup>
	10.000	769.34	11/26/22	754.90	...	14.44	2 of 2 - Sale <sup>25</sup>
11/29/22	16.584745	1,275.93	Various	1,251.57	...	24.36	Total of 2 transactions
11/30/22	16.125141630000001669	1,273.35	11/30/22	1,268.31	...	5.04	Sale <sup>25</sup>
12/01/22	16.591741930000001304	1,286.74	12/01/22	1,284.66	...	2.08	Sale <sup>25</sup>
12/05/22	16.463505040000001145	1,286.12	12/03/22	1,275.14	...	10.98	Sale <sup>25</sup>
2 transactions for 12/08/22. Total proceeds are reported to the IRS.							
	10.000	779.58	12/06/22	794.77	...	-15.19	1 of 2 - Sale <sup>25</sup>
	6.547402589999999911	510.42	12/07/22	503.85	...	6.57	2 of 2 - Sale <sup>25</sup>
12/08/22	16.547403	1,290.00	Various	1,298.62	...	-8.62	Total of 2 transactions
12/11/22	12.987012979999999374	1,006.50	12/10/22	1,000.00	...	6.50	Sale <sup>25</sup>
4 transactions for 12/12/22. Total proceeds are reported to the IRS.							
	16.8416883099999998552	1,280.88	12/11/22	1,296.81	...	-15.93	1 of 4 - Sale <sup>25</sup>
	6.4488480399999999671	490.46	12/11/22	499.99	...	-9.53	2 of 4 - Sale <sup>25</sup>
	4.3094636499999999646	327.75	12/11/22	334.07	...	-6.32	3 of 4 - Sale <sup>25</sup>
	2.140428770000000203	162.76	12/11/22	165.93	...	-3.17	4 of 4 - Sale <sup>25</sup>
12/12/22	29.740429	2,261.85	Various	2,296.80	...	-34.95	Total of 4 transactions
5 transactions for 12/13/22. Total proceeds are reported to the IRS.							
	16.39823687000000163	1,264.24	12/13/22	1,270.91	...	-6.67	1 of 5 - Sale <sup>25</sup>
	6.4678219099999999619	494.22	12/13/22	499.99	...	-5.77	2 of 5 - Sale <sup>25</sup>
	12.952150989999999808	998.56	12/13/22	1,000.24	...	-1.68	3 of 5 - Sale <sup>25</sup>
	5.2287581599999999933	399.54	12/13/22	399.99	...	-0.45	4 of 5 - Sale <sup>25</sup>
	18.016536569999999529	1,376.69	12/13/22	1,348.59	...	28.10	5 of 5 - Sale <sup>25</sup>
12/13/22	59.063505	4,533.25	Various	4,519.72	...	13.53	Total of 5 transactions

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## Proceeds from Broker and Barter Exchange Transactions

2022 1099-B\* OMB No. 1545-0715

(continued)

02/01/2023

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Report on Form 8949, Part I with Box B checked. Basis is NOT provided to the IRS. (Line 12)

"Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
Litecoin / CUSIP: LTCUSD / Symbol: (cont'd)							
<i>2 transactions for 12/16/22. Total proceeds are reported to the IRS.</i>							
	10.938746419999999304	798.30	12/14/22	832.90	...	-34.60	1 of 2 - Sale <sup>25</sup>
	2.000	145.96	12/14/22	152.33	...	-6.37	2 of 2 - Sale <sup>25</sup>
12/16/22	12.938746	944.26	Various	985.23	...	-40.97	Total of 2 transactions
	<b>Security total:</b>	<b>55,169.50</b>		<b>55,935.27</b>	...	<b>-765.77</b>	
Shiba Inu / CUSIP: SHIBUSD / Symbol:							
11/10/22	2,422,802.000	24.76	11/09/22	20.21	...	4.55	Sale <sup>25</sup>
<b>Totals :</b>		<b>57,171.42</b>		<b>58,221.61</b>		<b>-1,050.19</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

25 Tax lot closed on a first in first out basis.

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**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.****1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

**Instructions for Recipient**

**Line 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

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