



Bhaskar Neerati  
2219 9th St 130  
Charleston, IL 61920

**Master Account Number for Import:**  
601034523  
**Document ID for Import:**  
X0T646M3C4V

**Enclosed is your 2022 Consolidated Tax Statement. 1099 Tax Forms for the following accounts are included in this package:**

601034523 - Robinhood Securities LLC

**New for 2022 Tax Year** - Where applicable, 1099 Tax Forms for Robinhood Securities, Robinhood Crypto, and Robinhood Money are being consolidated into one package and reported under our parent entity, Robinhood Markets Inc. We have done this to ensure all forms that you need are provided at the same time in this consolidated package.

**Important** - Please utilize the Master Account Number and Document ID listed above if filing through tax service providers such as TurboTax or H&R Block. The Document ID is necessary in order to directly import your 1099 data into the tax software so that you do not need to manually enter each transaction. This year there is only one Master Account Number and Document ID that needs to be used to import all of your data across all accounts and 1099s (including crypto). Please note that tax software providers may have limits on the total number of transactions that can be directly imported using the Document ID.

**CSVs** - In addition, a CSV file which includes the same information that is reported on your 1099 forms will be provided. The CSV is not a separate 1099. It is being provided as a supplement in a format that may be more helpful if you have a large number of transactions or if you are not using the Document ID to import all of your Securities, Crypto and Money activity. Tax software providers may have limits on the total number of transactions that can be uploaded and CSV files may not be compatible with tax service providers such as TurboTax or H&R Block.

**Robinhood Retirements Accounts** - If you had any reportable distributions or contributions within a Robinhood Retirement account, your 2022 tax forms 1099-R and/or 5498 will be delivered separately. Retirement accounts will be reported under Robinhood Securities LLC and will have their own unique Doc IDs for import to tax software providers such as TurboTax or H&R Block.

This page intentionally left blank.

<b>Robinhood Markets Inc. as agent for Robinhood Securities LLC</b> 85 Willow Road Menlo Park, CA 94025 Customer Service: 650-940-2700  PAYER'S TIN: 46-4364776	<b>Tax Information</b> <b>Account 601034523</b>	Statement Date: 02/01/2023	2022
	Bhaskar Neerati 2219 9th St 130 Charleston, IL 61920  RECIPIENT'S TIN: XXX-XX-0624		

11 - [ ] FATCA filing requirement (see instructions) 13 - [ ] FATCA filing requirement (see instructions)

<b>DIVIDENDS AND DISTRIBUTIONS</b> <b>2022 1099-DIV*</b> OMB No. 1545-0110	<b>MISCELLANEOUS INFORMATION</b> <b>2022 1099-MISC*</b> OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5, 2e)      0.00 1b- Qualified dividends      0.00 2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)      0.00 2b- Unrecaptured Section 1250 gain      0.00 2c- Section 1202 gain      0.00 2d- Collectibles (28%) gain      0.00 2e- Section 897 ordinary dividends      0.00 2f- Section 897 capital gain      0.00 3- Nondividend distributions      0.00 <b>4- Federal income tax withheld</b> <b>0.00</b> 5- Section 199A dividends      0.00 6- Investment expenses      0.00 8- Foreign country or US possession:      7- Foreign tax paid:      0.00 9- Cash liquidation distributions      0.00 10- Noncash liquidation distributions      0.00 12- Exempt-interest dividends (includes line 13)      0.00 13- Specified private activity bond interest dividends (AMT)      0.00	2- Royalties      0.00 3- Other income      0.06 <b>4- Federal income tax withheld</b> <b>0.00</b> 8- Substitute payments in lieu of dividends or interest      0.00  <b>SECTION 1256 CONTRACTS</b> <b>2022 1099-B*</b> OMB No. 1545-0715 8- Profit or (loss) realized in 2022 on closed contracts      0.00 9- Unrealized profit or (loss) on open contracts-12/31/2021      0.00 10- Unrealized profit or (loss) on open contracts-12/31/2022      0.00 11- Aggregate profit or (loss) on contracts      0.00  <i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i>  <b>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</b>

**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	61,045.20	99,192.25	0.00	25,827.75	-12,319.30
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Short-term</b>	<b>61,045.20</b>	<b>99,192.25</b>	<b>0.00</b>	<b>25,827.75</b>	<b>-12,319.30</b>
Long	D (basis reported to the IRS)	4,213.46	11,927.86	0.00	3,384.83	-4,329.57
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>4,213.46</b>	<b>11,927.86</b>	<b>0.00</b>	<b>3,384.83</b>	<b>-4,329.57</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>65,258.66</b>	<b>111,120.11</b>	<b>0.00</b>	<b>29,212.58</b>	<b>-16,648.87</b>
<b>Withholding</b>		<b>Amount</b>				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2022 may require an amended tax form.

Robinhood Markets Inc. as agent for  
 Robinhood Securities LLC  
 2022

**Summary Information**  
 (continued)

Account 601034523  
 02/01/2023

**INTEREST INCOME**

2022 1099-INT

OMB No. 1545-0112

**This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number FATCA filing requirement [ ]	

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 601034523

**Proceeds from Broker and Barter Exchange Transactions**

2022 1099-B\* OMB No. 1545-0715

02/01/2023

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AETERNA ZENTARIS INC. COMMON S TOCK / CUSIP: 007975402 / Symbol:							
03/22/22	1,000.000	362.38	03/21/22	358.00	...	4.38	Sale <sup>25</sup>
AGEAGLE AERIAL SYSTEMS, INC. / CUSIP: 00848K101 / Symbol:							
03/24/22	1,000.000	1,233.67	03/17/22	1,079.80	...	153.87	Sale <sup>25</sup>
BIGCOMMERCE HOLDINGS, INC. SER IES 1 COMMON STOCK / CUSIP: 08975P108 / Symbol:							
03/16/22	30.000	543.59	03/14/22	544.95	1.36 W	0.00	Sale <sup>25</sup>
	2 transactions for 03/28/22. Total proceeds and cost reported to the IRS.						
	5.000	102.74	03/14/22	91.12	...	11.62	1 of 2 - Sale <sup>25</sup>
	20.000	410.98	03/14/22	363.30	...	47.68	2 of 2 - Sale <sup>25</sup>
03/28/22	25.000	513.72	Various	454.42	...	59.30	Total of 2 transactions
04/12/22	10.000	220.30	03/14/22	182.24	...	38.06	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 601034523

**Proceeds from Broker and Barter Exchange Transactions**

2022 1099-B\* OMB No. 1545-0715

(continued)

02/01/2023

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BIGCOMMERCE HOLDINGS, INC. SER IES 1 COMMON STOCK / CUSIP: 08975P108 / Symbol: (cont'd)							
4 transactions for 04/28/22. Total proceeds and cost reported to the IRS.							
	20.000	358.87	03/14/22	363.58	...	-4.71	1 of 4 - Sale <sup>25</sup>
	15.000	269.16	03/14/22	273.37	...	-4.21	2 of 4 - Sale <sup>25</sup>
	5.000	89.72	03/15/22	86.50	...	3.22	3 of 4 - Sale <sup>25</sup>
	25.000	448.59	03/15/22	441.73	...	6.86	4 of 4 - Sale <sup>25</sup>
04/28/22	65.000	1,166.34	Various	1,165.18	...	1.16	Total of 4 transactions
	<b>Security total:</b>	<b>2,443.95</b>		<b>2,346.79</b>	<b>1.36 W</b>	<b>98.52</b>	
CAMBER ENERGY, INC / CUSIP: 13200M508 / Symbol:							
4 transactions for 07/01/22. Total proceeds and cost reported to the IRS.							
	70.000	26.99	06/30/22	27.93	...	-0.94	1 of 4 - Sale <sup>25</sup>
	30.000	11.57	06/30/22	11.70	...	-0.13	2 of 4 - Sale <sup>25</sup>
	380.000	146.51	07/01/22	149.61	...	-3.10	3 of 4 - Sale <sup>25</sup>
	20.000	7.71	07/01/22	7.87	...	-0.16	4 of 4 - Sale <sup>25</sup>
07/01/22	500.000	192.78	Various	197.11	...	-4.33	Total of 4 transactions
EVOFEM BIOSCIENCES INC COMMON STOCK / CUSIP: 30048L203 / Symbol:							
2 transactions for 07/01/22. Total proceeds and cost reported to the IRS.							
	17.000	18.24	06/30/22	14.69	...	3.55	1 of 2 - Sale <sup>25</sup>
	133.000	142.71	06/30/22	115.28	...	27.43	2 of 2 - Sale <sup>25</sup>
07/01/22	150.000	160.95	Various	129.97	...	30.98	Total of 2 transactions
4 transactions for 07/06/22. Total proceeds and cost reported to the IRS.							
	13.000	17.61	06/30/22	11.29	...	6.32	1 of 4 - Sale <sup>25</sup>
	17.000	23.03	06/30/22	14.73	...	8.30	2 of 4 - Sale <sup>25</sup>
	70.000	94.85	06/30/22	60.59	...	34.26	3 of 4 - Sale <sup>25</sup>
	100.000	135.49	06/30/22	86.84	...	48.65	4 of 4 - Sale <sup>25</sup>
07/06/22	200.000	270.98	Various	173.45	...	97.53	Total of 4 transactions
5 transactions for 07/07/22. Total proceeds and cost reported to the IRS.							
	17.000	23.37	06/30/22	14.76	...	8.61	1 of 5 - Sale <sup>25</sup>
	33.000	48.01	06/30/22	30.13	...	17.88	2 of 5 - Sale <sup>25</sup>
	67.000	97.48	06/30/22	60.23	...	37.25	3 of 5 - Sale <sup>25</sup>
	83.000	114.10	06/30/22	74.62	...	39.48	4 of 5 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 601034523

**Proceeds from Broker and Barter Exchange Transactions**

2022 1099-B\* OMB No. 1545-0715

(continued)

02/01/2023

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EVOFEM BIOSCIENCES INC COMMON STOCK / CUSIP: 30048L203 / Symbol: (cont'd)							
	100.000	146.13	06/30/22	89.90	...	56.23	5 of 5 - Sale <sup>25</sup>
07/07/22	300.000	429.09	Various	269.64	...	159.45	Total of 5 transactions
	3 transactions for 08/04/22. Total proceeds and cost reported to the IRS.						
	31.000	34.72	06/30/22	28.30	...	6.42	1 of 3 - Sale <sup>25</sup>
	52.000	58.23	06/30/22	47.48	...	10.75	2 of 3 - Sale <sup>25</sup>
	67.000	75.03	06/30/22	61.16	...	13.87	3 of 3 - Sale <sup>25</sup>
08/04/22	150.000	167.98	Various	136.94	...	31.04	Total of 3 transactions
	11 transactions for 08/12/22. Total proceeds and cost reported to the IRS.						
	150.000	63.73	06/30/22	157.17	...	-93.44	1 of 11 - Sale <sup>25</sup>
	163.000	69.25	06/30/22	148.35	...	-79.10	2 of 11 - Sale <sup>25</sup>
	20.000	8.50	06/30/22	18.26	...	-9.76	3 of 11 - Sale <sup>25</sup>
	17.000	7.22	06/30/22	15.52	...	-8.30	4 of 11 - Sale <sup>25</sup>
	250.000	106.21	07/15/22	242.48	...	-136.27	5 of 11 - Sale <sup>25</sup>
	225.000	95.59	07/19/22	193.50	...	-97.91	6 of 11 - Sale <sup>25</sup>
	150.000	63.73	07/19/22	125.51	...	-61.78	7 of 11 - Sale <sup>25</sup>
	15.000	6.37	07/19/22	12.90	...	-6.53	8 of 11 - Sale <sup>25</sup>
	10.000	4.25	07/19/22	8.59	...	-4.34	9 of 11 - Sale <sup>25</sup>
	250.000	106.21	08/10/22	132.50	...	-26.29	10 of 11 - Sale <sup>25</sup>
	100.000	42.49	08/10/22	53.00	...	-10.51	11 of 11 - Sale <sup>25</sup>
08/12/22	1,350.000	573.55	Various	1,107.78	...	-534.23	Total of 11 transactions
	<b>Security total:</b>	<b>1,602.55</b>		<b>1,817.78</b>	...	<b>-215.23</b>	
HYCROFT MINING HOLDING CORPORATION CLASS A COMMON STOCK / CUSIP: 44862P109 / Symbol:							
	5 transactions for 03/28/22. Total proceeds and cost reported to the IRS.						
	100.000	175.49	03/16/22	137.98	...	37.51	1 of 5 - Sale <sup>25</sup>
	100.000	175.49	03/16/22	137.98	...	37.51	2 of 5 - Sale <sup>25</sup>
	250.000	506.21	03/16/22	344.95	...	161.26	3 of 5 - Sale <sup>25</sup>
	550.000	1,084.59	03/16/22	758.89	...	325.70	4 of 5 - Sale <sup>25</sup>
	200.000	394.39	03/22/22	232.00	...	162.39	5 of 5 - Sale <sup>25</sup>
03/28/22	1,200.000	2,336.17	Various	1,611.80	...	724.37	Total of 5 transactions
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol:							
06/21/22	300.000	209.99	06/15/22	200.19	...	9.80	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 601034523

## Proceeds from Broker and Barter Exchange Transactions

2022 1099-B\* OMB No. 1545-0715

(continued)

02/01/2023

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
LUCID GROUP, INC. COMMON STOCK / CUSIP: 549498103 / Symbol:							
03/28/22	20.000	504.99	03/15/22	416.11	...	88.88	Sale <sup>25</sup>
05/10/22	15.000	259.20	03/15/22	312.08	52.88 W	0.00	Sale <sup>25</sup>
	2 transactions for 05/23/22. Total proceeds and cost reported to the IRS.						
	5.000	91.35	03/15/22	104.03	12.68 W	0.00	1 of 2 - Sale <sup>25</sup>
	30.000	547.48	03/15/22	624.17	76.69 W	0.00	2 of 2 - Sale <sup>25</sup>
05/23/22	35.000	638.83	Various	728.20	89.37 W	0.00	Total of 2 transactions
	2 transactions for 05/31/22. Total proceeds and cost reported to the IRS.						
	5.000	100.26	03/15/22	104.03	3.77 W	0.00	1 of 2 - Sale <sup>25</sup>
	15.000	305.28	03/15/22	312.08	6.80 W	0.00	2 of 2 - Sale <sup>25</sup>
05/31/22	20.000	405.54	Various	416.11	10.57 W	0.00	Total of 2 transactions
06/03/22	5.000	93.20	03/15/22	104.03	10.83 W	0.00	Sale <sup>25</sup>
	5 transactions for 06/29/22. Total proceeds and cost reported to the IRS.						
	5.000	87.05	03/15/22	104.02	16.97 W	0.00	1 of 5 - Sale <sup>25</sup>
	5.000	87.06	05/03/22	109.86	22.80 W	0.00	2 of 5 - Sale <sup>25</sup>
	15.000	261.16	05/03/22	329.89	68.73 W	0.00	3 of 5 - Sale <sup>25</sup>
	15.000	260.63	05/03/22	329.89	69.26 W	0.00	4 of 5 - Sale <sup>25</sup>
	10.000	173.75	05/03/22	229.62	55.87 W	0.00	5 of 5 - Sale <sup>25</sup>
06/29/22	50.000	869.65	Various	1,103.28	233.63 W	0.00	Total of 5 transactions
	2 transactions for 06/30/22. Total proceeds and cost reported to the IRS.						
	5.000	85.60	05/03/22	114.81	29.21 W	0.00	1 of 2 - Sale <sup>25</sup>
	5.000	85.60	05/03/22	100.90	15.30 W	0.00	2 of 2 - Sale <sup>25</sup>
06/30/22	10.000	171.20	Various	215.71	44.51 W	0.00	Total of 2 transactions
07/07/22	5.000	97.95	05/04/22	94.64	...	3.31	Sale <sup>25</sup>
	3 transactions for 07/07/22. Total proceeds and cost reported to the IRS.						
	10.000	196.25	05/03/22	198.78	2.53 W	0.00	1 of 3 - Sale <sup>25</sup>
	5.000	98.45	05/03/22	99.39	0.94 W	0.00	2 of 3 - Sale <sup>25</sup>
	5.000	99.20	05/04/22	105.47	6.27 W	0.00	3 of 3 - Sale <sup>25</sup>
07/07/22	20.000	393.90	Various	403.64	9.74 W	0.00	Total of 3 transactions
07/08/22	5.000	102.96	05/04/22	94.64	...	8.32	Sale <sup>25</sup>
07/14/22	10.000	200.00	05/04/22	189.28	...	10.72	Sale <sup>25</sup>
07/18/22	5.000	104.10	06/13/22	104.60	0.50 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>3,841.52</b>		<b>4,182.32</b>	<b>452.03 W</b>	<b>111.23</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 601034523

Proceeds from Broker and Barter Exchange Transactions

2022 1099-B\* OMB No. 1545-0715

(continued)

02/01/2023

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MARATHON DIGITAL HOLDINGS, INC. COMMON STOCK / CUSIP: 565788106 / Symbol:							
07/07/22	10.000	68.10	06/27/22	70.00	1.90 W	0.00	Sale <sup>25</sup>
07/18/22	5.000	46.18	06/27/22	35.00	...	11.18	Sale <sup>25</sup>
07/19/22	5.000	65.90	06/27/22	35.00	...	30.90	Sale <sup>25</sup>
10/10/22	10.000	109.77	06/27/22	70.00	...	39.77	Sale <sup>25</sup>
<b>Security total:</b>		<b>289.95</b>		<b>210.00</b>	<b>1.90 W</b>	<b>81.85</b>	
MULLEN AUTOMOTIVE, INC. COMMON STOCK / CUSIP: 62526P109 / Symbol:							
3 transactions for 03/30/22. Total proceeds and cost reported to the IRS.							
	20.000	63.60	03/22/22	59.00	...	4.60	1 of 3 - Sale <sup>25</sup>
	80.000	254.39	03/22/22	235.99	...	18.40	2 of 3 - Sale <sup>25</sup>
	100.000	313.99	03/22/22	294.99	...	19.00	3 of 3 - Sale <sup>25</sup>
03/30/22	200.000	631.98	Various	589.98	...	42.00	Total of 3 transactions
03/30/22	100.000	291.99	03/22/22	294.99	3.00 W	0.00	Sale <sup>25</sup>
04/11/22	50.000	138.25	03/22/22	147.50	9.25 W	0.00	Sale <sup>25</sup>
04/29/22	50.000	68.52	03/22/22	147.49	78.97 W	0.00	Sale <sup>25</sup>
3 transactions for 05/31/22. Total proceeds and cost reported to the IRS.							
	50.000	73.24	03/29/22	118.47	...	-45.23	1 of 3 - Sale <sup>25</sup>
	50.000	73.25	05/04/22	141.47	...	-68.22	2 of 3 - Sale <sup>25</sup>
	50.000	73.24	05/04/22	71.75	...	1.49	3 of 3 - Sale <sup>25</sup>
05/31/22	150.000	219.73	Various	331.69	...	-111.96	Total of 3 transactions
3 transactions for 05/31/22. Total proceeds and cost reported to the IRS.							
	50.000	69.03	03/22/22	147.50	78.47 W	0.00	1 of 3 - Sale <sup>25</sup>
	50.000	73.07	03/22/22	147.49	74.42 W	0.00	2 of 3 - Sale <sup>25</sup>
	50.000	73.07	03/29/22	118.48	45.41 W	0.00	3 of 3 - Sale <sup>25</sup>
05/31/22	150.000	215.17	Various	413.47	198.30 W	0.00	Total of 3 transactions
07/01/22	37.000	38.64	06/30/22	95.03	56.39 W	0.00	Sale <sup>25</sup>
3 transactions for 07/11/22. Total proceeds and cost reported to the IRS.							
	13.000	17.81	06/30/22	33.39	15.58 W	0.00	1 of 3 - Sale <sup>25</sup>
	50.000	68.49	06/30/22	124.36	55.87 W	0.00	2 of 3 - Sale <sup>25</sup>
	37.000	50.69	06/30/22	70.60	19.91 W	0.00	3 of 3 - Sale <sup>25</sup>
07/11/22	100.000	136.99	Various	228.35	91.36 W	0.00	Total of 3 transactions
<b>Security total:</b>		<b>1,741.27</b>		<b>2,248.50</b>	<b>437.27 W</b>	<b>-69.96</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 601034523

### Proceeds from Broker and Barter Exchange Transactions

2022 1099-B\* OMB No. 1545-0715

(continued)

02/01/2023

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

#### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
2 transactions for 03/30/22. Total proceeds and cost reported to the IRS.							
	10.000	230.00	03/15/22	135.65	...	94.35	1 of 2 - Sale <sup>25</sup>
	10.000	238.20	03/15/22	135.65	...	102.55	2 of 2 - Sale <sup>25</sup>
03/30/22	20.000	468.20	Various	271.30	...	196.90	Total of 2 transactions
04/21/22	10.000	173.23	03/15/22	135.65	...	37.58	Sale <sup>25</sup>
04/29/22	20.000	362.00	03/15/22	271.30	...	90.70	Sale <sup>25</sup>
05/23/22	5.000	78.27	03/15/22	67.83	...	10.44	Sale <sup>25</sup>
05/26/22	5.000	79.30	03/15/22	67.82	...	11.48	Sale <sup>25</sup>
05/31/22	5.000	87.62	03/15/22	67.83	...	19.79	Sale <sup>25</sup>
06/03/22	5.000	90.40	03/15/22	67.82	...	22.58	Sale <sup>25</sup>
2 transactions for 06/14/22. Total proceeds and cost reported to the IRS.							
	8.000	140.40	03/15/22	108.52	...	31.88	1 of 2 - Sale <sup>25</sup>
	10.000	188.60	03/15/22	135.65	...	52.95	2 of 2 - Sale <sup>25</sup>
06/14/22	18.000	329.00	Various	244.17	...	84.83	Total of 2 transactions
06/29/22	5.000	107.52	03/15/22	67.83	...	39.69	Sale <sup>25</sup>
9 transactions for 06/30/22. Total proceeds and cost reported to the IRS.							
	7.000	150.22	03/15/22	94.95	...	55.27	1 of 9 - Sale <sup>25</sup>
	2.000	43.02	06/13/22	32.83	...	10.19	2 of 9 - Sale <sup>25</sup>
	3.000	65.34	06/13/22	48.27	...	17.07	3 of 9 - Sale <sup>25</sup>
	6.000	129.06	06/13/22	98.76	...	30.30	4 of 9 - Sale <sup>25</sup>
	8.000	171.68	06/13/22	131.34	...	40.34	5 of 9 - Sale <sup>25</sup>
	10.000	215.20	06/13/22	164.17	...	51.03	6 of 9 - Sale <sup>25</sup>
	10.000	215.80	06/13/22	164.18	...	51.62	7 of 9 - Sale <sup>25</sup>
	10.000	217.80	06/13/22	160.89	...	56.91	8 of 9 - Sale <sup>25</sup>
	19.000	413.82	06/13/22	312.72	...	101.10	9 of 9 - Sale <sup>25</sup>
06/30/22	75.000	1,621.94	Various	1,208.11	...	413.83	Total of 9 transactions
	<b>Security total:</b>	<b>3,397.48</b>		<b>2,469.66</b>	...	<b>927.82</b>	
RIOT PLATFORMS, INC. COMMON STOCK / CUSIP: 767292105 / Symbol:							
07/07/22	10.000	52.80	06/29/22	43.50	...	9.30	Sale <sup>25</sup>
08/12/22	10.000	100.23	06/29/22	43.50	...	56.73	Sale <sup>25</sup>
2 transactions for 09/23/22. Total proceeds and cost reported to the IRS.							
	5.000	30.50	06/29/22	21.75	...	8.75	1 of 2 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 601034523

### Proceeds from Broker and Barter Exchange Transactions

2022 1099-B\* OMB No. 1545-0715

(continued)

02/01/2023

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

#### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RIOT PLATFORMS, INC. COMMON ST OCK / CUSIP: 767292105 / Symbol: (cont'd)							
	5.000	30.50	06/29/22	21.70	...	8.80	2 of 2 - Sale <sup>25</sup>
09/23/22	10.000	61.00	Various	43.45	...	17.55	Total of 2 transactions
	<b>Security total:</b>	<b>214.03</b>		<b>130.45</b>	...	<b>83.58</b>	
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol:							
4 transactions for 03/24/22. Total proceeds and cost reported to the IRS.							
	100.000	62.99	03/22/22	58.41	...	4.58	1 of 4 - Sale <sup>25</sup>
	163.000	102.67	03/22/22	95.21	...	7.46	2 of 4 - Sale <sup>25</sup>
	237.000	149.28	03/22/22	138.43	...	10.85	3 of 4 - Sale <sup>25</sup>
	500.000	314.94	03/22/22	292.05	...	22.89	4 of 4 - Sale <sup>25</sup>
03/24/22	1,000.000	629.88	Various	584.10	...	45.78	Total of 4 transactions
THERAPEUTICSMD, INC. COMMON ST OCK / CUSIP: 88338N107 / Symbol:							
2 transactions for 02/15/22. Total proceeds and cost reported to the IRS.							
	250.000	65.07	02/11/22	63.93	...	1.14	1 of 2 - Sale <sup>25</sup>
	300.000	78.08	02/11/22	76.55	...	1.53	2 of 2 - Sale <sup>25</sup>
02/15/22	550.000	143.15	Various	140.48	...	2.67	Total of 2 transactions
02/16/22	1,000.000	259.88	02/11/22	255.70	...	4.18	Sale <sup>25</sup>
4 transactions for 03/07/22. Total proceeds and cost reported to the IRS.							
	250.000	77.67	02/11/22	63.92	...	13.75	1 of 4 - Sale <sup>25</sup>
	350.000	105.49	02/11/22	89.50	...	15.99	2 of 4 - Sale <sup>25</sup>
	400.000	120.55	02/11/22	101.68	...	18.87	3 of 4 - Sale <sup>25</sup>
	1,000.000	349.88	02/11/22	255.70	...	94.18	4 of 4 - Sale <sup>25</sup>
03/07/22	2,000.000	653.59	Various	510.80	...	142.79	Total of 4 transactions
12 transactions for 03/08/22. Total proceeds and cost reported to the IRS.							
	97.000	27.41	02/11/22	24.66	...	2.75	1 of 12 - Sale <sup>25</sup>
	100.000	28.50	02/11/22	25.42	...	3.08	2 of 12 - Sale <sup>25</sup>
	100.000	28.52	02/11/22	25.42	...	3.10	3 of 12 - Sale <sup>25</sup>
	100.000	28.53	02/11/22	25.42	...	3.11	4 of 12 - Sale <sup>25</sup>
	100.000	28.54	02/11/22	25.42	...	3.12	5 of 12 - Sale <sup>25</sup>
	250.000	71.32	02/11/22	61.80	...	9.52	6 of 12 - Sale <sup>25</sup>
	350.000	99.85	02/11/22	87.61	...	12.24	7 of 12 - Sale <sup>25</sup>
	500.000	142.44	02/11/22	127.10	...	15.34	8 of 12 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 601034523

### Proceeds from Broker and Barter Exchange Transactions

2022 1099-B\* OMB No. 1545-0715

(continued)

02/01/2023

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

#### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
THERAPEUTICSMD, INC. COMMON ST OCK / CUSIP: 88338N107 / Symbol: (cont'd)							
	603.000	170.40	02/11/22	153.28	...	17.12	9 of 12 - Sale <sup>25</sup>
	500.000	142.64	02/11/22	125.05	...	17.59	10 of 12 - Sale <sup>25</sup>
	100.000	28.53	02/14/22	24.41	...	4.12	11 of 12 - Sale <sup>25</sup>
	200.000	57.05	02/14/22	48.82	...	8.23	12 of 12 - Sale <sup>25</sup>
03/08/22	3,000.000	853.73	Various	754.41	...	99.32	Total of 12 transactions
	<b>Security total:</b>	<b>1,910.35</b>		<b>1,661.39</b>	...	<b>248.96</b>	
UPSTART HOLDINGS, INC. COMMON STOCK / CUSIP: 91680M107 / Symbol:							
07/18/22	5.000	140.18	07/07/22	144.00	3.82 W	0.00	Sale <sup>25</sup>
07/19/22	5.000	142.00	07/07/22	144.00	2.00 W	0.00	Sale <sup>25</sup>
	3 transactions for 09/23/22. Total proceeds and cost reported to the IRS.						
	5.000	104.00	07/07/22	144.00	...	-40.00	1 of 3 - Sale <sup>25</sup>
	3.000	64.68	07/07/22	86.40	...	-21.72	2 of 3 - Sale <sup>25</sup>
	2.000	43.12	07/07/22	58.40	...	-15.28	3 of 3 - Sale <sup>25</sup>
09/23/22	10.000	211.80	Various	288.80	...	-77.00	Total of 3 transactions
	<b>Security total:</b>	<b>493.98</b>		<b>576.80</b>	<b>5.82 W</b>	<b>-77.00</b>	
ZOSANO PHARMA CORPORATION COMM ON STOCK / CUSIP: 98979H202 / Symbol:							
	25 transactions for 04/05/22. Total proceeds and cost reported to the IRS.						
	23.000	4.34	03/16/22	4.25	...	0.09	1 of 25 - Sale <sup>25</sup>
	77.000	14.55	03/16/22	14.24	...	0.31	2 of 25 - Sale <sup>25</sup>
	77.000	14.55	03/16/22	14.24	...	0.31	3 of 25 - Sale <sup>25</sup>
	100.000	18.89	03/16/22	18.49	...	0.40	4 of 25 - Sale <sup>25</sup>
	100.000	18.89	03/16/22	18.49	...	0.40	5 of 25 - Sale <sup>25</sup>
	100.000	18.89	03/16/22	18.49	...	0.40	6 of 25 - Sale <sup>25</sup>
	100.000	18.89	03/16/22	18.49	...	0.40	7 of 25 - Sale <sup>25</sup>
	100.000	18.89	03/16/22	18.49	...	0.40	8 of 25 - Sale <sup>25</sup>
	100.000	18.89	03/16/22	18.49	...	0.40	9 of 25 - Sale <sup>25</sup>
	100.000	18.89	03/16/22	18.49	...	0.40	10 of 25 - Sale <sup>25</sup>
	100.000	18.89	03/16/22	18.49	...	0.40	11 of 25 - Sale <sup>25</sup>
	100.000	18.90	03/16/22	18.49	...	0.41	12 of 25 - Sale <sup>25</sup>
	100.000	18.90	03/16/22	18.49	...	0.41	13 of 25 - Sale <sup>25</sup>
	100.000	18.90	03/16/22	18.49	...	0.41	14 of 25 - Sale <sup>25</sup>
	100.000	18.90	03/16/22	18.49	...	0.41	15 of 25 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 601034523

**Proceeds from Broker and Barter Exchange Transactions**

2022 1099-B\* OMB No. 1545-0715

(continued)

02/01/2023

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ZOSANO PHARMA CORPORATION COMM ON STOCK / CUSIP: 98979H202 / Symbol: (cont'd)							
	100.000	18.90	03/16/22	18.49	...	0.41	16 of 25 - Sale <sup>25</sup>
	123.000	23.24	03/16/22	22.74	...	0.50	17 of 25 - Sale <sup>25</sup>
	200.000	37.79	03/16/22	36.98	...	0.81	18 of 25 - Sale <sup>25</sup>
	200.000	37.80	03/16/22	36.98	...	0.82	19 of 25 - Sale <sup>25</sup>
	200.000	37.80	03/16/22	36.98	...	0.82	20 of 25 - Sale <sup>25</sup>
	300.000	56.76	03/16/22	55.47	...	1.29	21 of 25 - Sale <sup>25</sup>
	47.000	8.88	03/18/22	8.72	...	0.16	22 of 25 - Sale <sup>25</sup>
	100.000	18.90	03/18/22	18.54	...	0.36	23 of 25 - Sale <sup>25</sup>
	153.000	28.91	03/18/22	28.55	...	0.36	24 of 25 - Sale <sup>25</sup>
	600.000	113.39	03/18/22	111.30	...	2.09	25 of 25 - Sale <sup>25</sup>
04/05/22	3,400.000	642.53	Various	629.36	...	13.17	Total of 25 transactions
	12 transactions for 04/05/22. Total proceeds and cost reported to the IRS.						
	1,000.000	189.18	03/16/22	204.80	15.62 W	0.00	1 of 12 - Sale <sup>25</sup>
	1,500.000	283.47	03/16/22	307.20	23.73 W	0.00	2 of 12 - Sale <sup>25</sup>
	1,000.000	188.98	03/18/22	201.02	12.04 W	0.00	3 of 12 - Sale <sup>25</sup>
	100.000	18.89	03/18/22	20.12	1.23 W	0.00	4 of 12 - Sale <sup>25</sup>
	251.000	47.41	03/18/22	49.56	2.15 W	0.00	5 of 12 - Sale <sup>25</sup>
	249.000	47.03	03/18/22	50.10	3.07 W	0.00	6 of 12 - Sale <sup>25</sup>
	1,151.000	217.51	03/18/22	231.61	14.10 W	0.00	7 of 12 - Sale <sup>25</sup>
	696.000	131.53	03/18/22	137.49	5.96 W	0.00	8 of 12 - Sale <sup>25</sup>
	251.000	47.43	03/18/22	48.71	1.28 W	0.00	9 of 12 - Sale <sup>25</sup>
	53.000	10.02	03/18/22	10.47	0.45 W	0.00	10 of 12 - Sale <sup>25</sup>
	100.000	18.90	03/18/22	19.78	0.88 W	0.00	11 of 12 - Sale <sup>25</sup>
	249.000	47.06	03/18/22	49.26	2.20 W	0.00	12 of 12 - Sale <sup>25</sup>
04/05/22	6,600.000	1,247.41	Various	1,330.12	82.71 W	0.00	Total of 12 transactions
	<b>Security total:</b>	<b>1,889.94</b>		<b>1,959.48</b>	<b>82.71 W</b>	<b>13.17</b>	
ZOSANO PHARMA CORPORATION COMM ON STOCK / CUSIP: 98979H301 / Symbol:							
	8 transactions for 04/29/22. Total proceeds and cost reported to the IRS.						
	7.171	14.63	04/05/22	48.95	34.32 W	0.00	1 of 8 - Sale <sup>25</sup>
	1.514	3.09	04/05/22	10.51	7.42 W	0.00	2 of 8 - Sale <sup>25</sup>
	2.857	5.83	04/05/22	19.87	14.04 W	0.00	3 of 8 - Sale <sup>25</sup>
	7.114	14.51	04/05/22	49.49	34.98 W	0.00	4 of 8 - Sale <sup>25</sup>
	19.886	40.56	04/05/22	138.13	97.57 W	0.00	5 of 8 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 601034523

### Proceeds from Broker and Barter Exchange Transactions

2022 1099-B\* OMB No. 1545-0715

(continued)

02/01/2023

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

## 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ZOSANO PHARMA CORPORATION COMM ON STOCK / CUSIP: 98979H301 / Symbol: (cont'd)							
	32.886	67.08	04/05/22	232.67	165.59 W	0.00	6 of 8 - Sale <sup>25</sup>
	240.000	489.57	04/05/22	1,595.16	1,105.59 W	0.00	7 of 8 - Sale <sup>25</sup>
	89.443	182.45	04/05/22	594.48	412.03 W	0.00	8 of 8 - Sale <sup>25</sup>
04/29/22	400.872	817.72	Various	2,689.26	1,871.54 W	0.00	Total of 8 transactions
	4 transactions for 05/04/22. Total proceeds and cost reported to the IRS.						
	0.690	1.25	04/05/22	4.59	3.34 W	0.00	1 of 4 - Sale <sup>25</sup>
	0.690	1.24	04/05/22	7.84	6.60 W	0.00	2 of 4 - Sale <sup>25</sup>
	51.300	92.34	04/05/22	570.83	478.49 W	0.00	3 of 4 - Sale <sup>25</sup>
	27.010	48.61	04/05/22	179.52	130.91 W	0.00	4 of 4 - Sale <sup>25</sup>
05/04/22	79.690	143.44	Various	762.78	619.34 W	0.00	Total of 4 transactions
	4 transactions for 05/06/22. Total proceeds and cost reported to the IRS.						
	17.571	31.63	04/05/22	195.52	145.15 W	-18.74	1 of 4 - Sale <sup>25</sup>
	46.286	83.31	04/05/22	301.80	218.49 W	0.00	2 of 4 - Sale <sup>25</sup>
	9.133	16.44	04/05/22	53.35	36.91 W	0.00	3 of 4 - Sale <sup>25</sup>
	27.010	48.61	04/05/22	307.03	277.65 W	19.23	4 of 4 - Sale <sup>25</sup>
05/06/22	100.000	179.99	Various	857.70	678.20 W	0.49	Total of 4 transactions
05/13/22	100.000	192.12	04/05/22	584.15	392.03 W	0.00	Sale <sup>25</sup>
	46 transactions for 06/13/22. Total proceeds and cost reported to the IRS.						
	33.714	13.15	04/05/22	196.94	...	-183.79	1 of 46 - Sale <sup>25</sup>
	28.571	11.14	04/05/22	160.10	...	-148.96	2 of 46 - Sale <sup>25</sup>
	14.286	5.57	04/08/22	140.49	...	-134.92	3 of 46 - Sale <sup>25</sup>
	14.286	5.57	04/08/22	140.49	...	-134.92	4 of 46 - Sale <sup>25</sup>
	14.286	5.57	04/08/22	140.48	...	-134.91	5 of 46 - Sale <sup>25</sup>
	142.857	55.71	04/11/22	650.50	...	-594.79	6 of 46 - Sale <sup>25</sup>
	71.429	27.85	04/11/22	325.25	...	-297.40	7 of 46 - Sale <sup>25</sup>
	51.429	20.06	04/11/22	225.36	...	-205.30	8 of 46 - Sale <sup>25</sup>
	30.295	11.81	04/11/22	137.95	...	-126.14	9 of 46 - Sale <sup>25</sup>
	28.571	11.14	04/11/22	130.10	...	-118.96	10 of 46 - Sale <sup>25</sup>
	25.714	10.03	04/11/22	109.44	...	-99.41	11 of 46 - Sale <sup>25</sup>
	9.133	3.56	04/11/22	78.50	...	-74.94	12 of 46 - Sale <sup>25</sup>
	3.429	1.34	04/11/22	31.79	...	-30.45	13 of 46 - Sale <sup>25</sup>
	21.429	8.36	04/12/22	176.86	...	-168.50	14 of 46 - Sale <sup>25</sup>
	19.886	7.75	04/12/22	161.58	...	-153.83	15 of 46 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 601034523

**Proceeds from Broker and Barter Exchange Transactions**

2022 1099-B\* OMB No. 1545-0715

(continued)

02/01/2023

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ZOSANO PHARMA CORPORATION COMM ON STOCK / CUSIP: 98979H301 / Symbol: (cont'd)							
	13.571	5.29	04/12/22	106.20	...	-100.91	16 of 46 - Sale <sup>25</sup>
	11.457	4.47	04/12/22	94.56	...	-90.09	17 of 46 - Sale <sup>25</sup>
	7.114	2.77	04/12/22	57.88	...	-55.11	18 of 46 - Sale <sup>25</sup>
	7.171	2.80	04/12/22	57.40	...	-54.60	19 of 46 - Sale <sup>25</sup>
	2.857	1.11	04/12/22	23.24	...	-22.13	20 of 46 - Sale <sup>25</sup>
	1.514	0.59	04/12/22	12.29	...	-11.70	21 of 46 - Sale <sup>25</sup>
	150.000	58.49	04/18/22	1,108.74	...	-1,050.25	22 of 46 - Sale <sup>25</sup>
	17.000	6.63	04/18/22	125.66	...	-119.03	23 of 46 - Sale <sup>25</sup>
	44.429	17.33	04/21/22	319.73	...	-302.40	24 of 46 - Sale <sup>25</sup>
	20.571	8.02	04/21/22	148.03	...	-140.01	25 of 46 - Sale <sup>25</sup>
	15.000	5.85	04/21/22	107.93	...	-102.08	26 of 46 - Sale <sup>25</sup>
	100.000	39.00	06/03/22	486.97	...	-447.97	27 of 46 - Sale <sup>25</sup>
	27.010	10.53	06/03/22	284.07	...	-273.54	28 of 46 - Sale <sup>25</sup>
	18.000	7.02	06/03/22	184.96	...	-177.94	29 of 46 - Sale <sup>25</sup>
	15.562	6.07	06/03/22	159.93	...	-153.86	30 of 46 - Sale <sup>25</sup>
	11.990	4.68	06/03/22	123.21	...	-118.53	31 of 46 - Sale <sup>25</sup>
	280.000	109.19	06/03/22	223.41	...	-114.22	32 of 46 - Sale <sup>25</sup>
	11.310	4.41	06/03/22	116.22	...	-111.81	33 of 46 - Sale <sup>25</sup>
	200.000	77.99	06/03/22	188.80	...	-110.81	34 of 46 - Sale <sup>25</sup>
	198.000	77.21	06/03/22	187.11	...	-109.90	35 of 46 - Sale <sup>25</sup>
	10.000	3.90	06/03/22	102.75	...	-98.85	36 of 46 - Sale <sup>25</sup>
	94.000	36.66	06/03/22	88.36	...	-51.70	37 of 46 - Sale <sup>25</sup>
	100.000	39.00	06/03/22	79.95	...	-40.95	38 of 46 - Sale <sup>25</sup>
	100.000	39.00	06/03/22	78.90	...	-39.90	39 of 46 - Sale <sup>25</sup>
	2.010	0.78	06/03/22	21.13	...	-20.35	40 of 46 - Sale <sup>25</sup>
	0.690	0.27	06/03/22	7.25	...	-6.98	41 of 46 - Sale <sup>25</sup>
	14.000	5.46	06/03/22	11.03	...	-5.57	42 of 46 - Sale <sup>25</sup>
	8.000	3.12	06/03/22	7.44	...	-4.32	43 of 46 - Sale <sup>25</sup>
	3.419	1.33	06/03/22	3.25	...	-1.92	44 of 46 - Sale <sup>25</sup>
	0.010	0.00	06/03/22	0.06	...	-0.06	45 of 46 - Zero proceeds / negative sale <sup>25</sup>
	200.000	77.99	06/07/22	114.02	...	-36.03	46 of 46 - Sale <sup>25</sup>
06/13/22	2,194.000	855.57	Various	7,436.31	...	-6,580.74	Total of 46 transactions
06/13/22	0.010	0.01	04/05/22	0.06	0.05 W	0.00	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 601034523

**Proceeds from Broker and Barter Exchange Transactions**

2022 1099-B\* OMB No. 1545-0715

(continued)

02/01/2023

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ZOSANO PHARMA CORPORATION COMM ON STOCK / CUSIP: 98979H301 / Symbol: (cont'd)							
<b>Security total:</b>		<b>2,188.85</b>		<b>12,330.26</b>	<b>3,561.16 W</b>	<b>-6,580.25</b>	
PAYSAFE LIMITED / CUSIP: G6964L107 / Symbol:							
03/29/22	100.000	366.24	03/16/22	327.50	...	38.74	Sale <sup>25</sup>
04/25/22	250.000	719.96	03/22/22	913.75	193.79 W	0.00	Sale <sup>25</sup>
05/03/22	250.000	763.06	03/22/22	913.75	150.69 W	0.00	Sale <sup>25</sup>
2 transactions for 05/04/22. Total proceeds and cost reported to the IRS.							
	100.000	302.17	04/05/22	400.28	98.11 W	0.00	1 of 2 - Sale <sup>25</sup>
	150.000	455.98	04/05/22	600.41	144.43 W	0.00	2 of 2 - Sale <sup>25</sup>
05/04/22	250.000	758.15	Various	1,000.69	242.54 W	0.00	Total of 2 transactions
05/05/22	50.000	151.53	04/05/22	208.76	28.62 W	-28.61	Sale <sup>25</sup>
05/13/22	50.000	117.09	04/05/22	208.76	...	-91.67	Sale <sup>25</sup>
05/26/22	50.000	137.00	04/05/22	208.76	...	-71.76	Sale <sup>25</sup>
06/03/22	75.000	203.62	04/05/22	313.13	...	-109.51	Sale <sup>25</sup>
2 transactions for 06/07/22. Total proceeds and cost reported to the IRS.							
	25.000	67.25	04/05/22	105.15	...	-37.90	1 of 2 - Sale <sup>25</sup>
	25.000	67.25	04/05/22	104.38	...	-37.13	2 of 2 - Sale <sup>25</sup>
06/07/22	50.000	134.50	Various	209.53	...	-75.03	Total of 2 transactions
4 transactions for 06/13/22. Total proceeds and cost reported to the IRS.							
	75.000	176.24	04/05/22	315.46	...	-139.22	1 of 4 - Sale <sup>25</sup>
	50.000	117.49	04/05/22	209.39	...	-91.90	2 of 4 - Sale <sup>25</sup>
	100.000	234.98	04/07/22	389.22	...	-154.24	3 of 4 - Sale <sup>25</sup>
	25.000	58.74	06/03/22	97.36	...	-38.62	4 of 4 - Sale <sup>25</sup>
06/13/22	250.000	587.45	Various	1,011.43	...	-423.98	Total of 4 transactions
<b>Security total:</b>		<b>3,938.60</b>		<b>5,316.06</b>	<b>615.64 W</b>	<b>-761.82</b>	
TIZIANA LIFE SCIENCES LTD. COM MON SHARES / CUSIP: G88912103 / Symbol:							
16 transactions for 01/04/22. Total proceeds and cost reported to the IRS.							
	5.000	5.90	08/19/21	9.39	3.49 W	0.00	1 of 16 - Sale <sup>25</sup>
	71.000	83.77	08/19/21	137.03	53.26 W	0.00	2 of 16 - Sale <sup>25</sup>
	25.000	29.50	08/19/21	53.04	23.54 W	0.00	3 of 16 - Sale <sup>25</sup>
	2.000	2.36	08/19/21	4.12	1.76 W	0.00	4 of 16 - Sale <sup>25</sup>
	75.000	88.49	08/19/21	159.95	71.46 W	0.00	5 of 16 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 601034523

## Proceeds from Broker and Barter Exchange Transactions

2022 1099-B\* OMB No. 1545-0715

(continued)

02/01/2023

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TIZIANA LIFE SCIENCES LTD. COM MON SHARES / CUSIP: G88912103 / Symbol: (cont'd)							
	75.000	88.48	08/19/21	154.57	66.09 W	0.00	6 of 16 - Sale <sup>25</sup>
	23.000	27.14	08/19/21	47.41	20.27 W	0.00	7 of 16 - Sale <sup>25</sup>
	2.000	2.36	08/19/21	4.12	1.76 W	0.00	8 of 16 - Sale <sup>25</sup>
	25.000	29.50	08/19/21	51.37	21.87 W	0.00	9 of 16 - Sale <sup>25</sup>
	50.000	58.99	08/19/21	102.74	43.75 W	0.00	10 of 16 - Sale <sup>25</sup>
	73.000	86.13	08/19/21	150.45	64.32 W	0.00	11 of 16 - Sale <sup>25</sup>
	75.000	88.49	08/19/21	152.64	64.15 W	0.00	12 of 16 - Sale <sup>25</sup>
	5.000	5.90	08/20/21	9.96	4.06 W	0.00	13 of 16 - Sale <sup>25</sup>
	25.000	29.50	08/20/21	49.78	20.28 W	0.00	14 of 16 - Sale <sup>25</sup>
	70.000	82.59	08/23/21	135.11	52.52 W	0.00	15 of 16 - Sale <sup>25</sup>
	230.000	271.36	08/23/21	342.70	71.34 W	0.00	16 of 16 - Sale <sup>25</sup>
01/04/22	831.000	980.46	Various	1,564.38	583.92 W	0.00	Total of 16 transactions
	31 transactions for 01/20/22. Total proceeds and cost reported to the IRS.						
	0.310	0.27	08/23/21	0.46	0.19 W	0.00	1 of 31 - Sale <sup>25</sup>
	424.690	369.43	08/23/21	632.79	263.36 W	0.00	2 of 31 - Sale <sup>25</sup>
	95.000	82.64	08/23/21	141.08	58.44 W	0.00	3 of 31 - Sale <sup>25</sup>
	40.310	35.06	09/07/21	79.81	44.75 W	0.00	4 of 31 - Sale <sup>25</sup>
	15.000	13.20	09/07/21	29.70	16.50 W	0.00	5 of 31 - Sale <sup>25</sup>
	20.000	17.60	09/07/21	39.60	22.00 W	0.00	6 of 31 - Sale <sup>25</sup>
	43.690	38.44	09/07/21	86.51	48.07 W	0.00	7 of 31 - Sale <sup>25</sup>
	381.000	335.23	09/07/21	754.38	419.15 W	0.00	8 of 31 - Sale <sup>25</sup>
	200.000	175.97	09/07/21	396.00	220.03 W	0.00	9 of 31 - Sale <sup>25</sup>
	300.000	263.96	09/07/21	594.00	330.04 W	0.00	10 of 31 - Sale <sup>25</sup>
	300.000	263.96	09/08/21	571.50	307.54 W	0.00	11 of 31 - Sale <sup>25</sup>
	10.000	8.80	09/08/21	18.95	10.15 W	0.00	12 of 31 - Sale <sup>25</sup>
	15.000	13.20	09/21/21	37.20	24.00 W	0.00	13 of 31 - Sale <sup>25</sup>
	45.000	39.60	09/21/21	111.61	72.01 W	0.00	14 of 31 - Sale <sup>25</sup>
	80.000	70.39	09/21/21	199.21	128.82 W	0.00	15 of 31 - Sale <sup>25</sup>
	150.000	131.98	09/21/21	371.92	239.94 W	0.00	16 of 31 - Sale <sup>25</sup>
	150.000	131.98	09/21/21	373.18	241.20 W	0.00	17 of 31 - Sale <sup>25</sup>
	35.000	30.80	09/22/21	86.59	55.79 W	0.00	18 of 31 - Sale <sup>25</sup>
	85.000	74.79	09/22/21	210.29	135.50 W	0.00	19 of 31 - Sale <sup>25</sup>
	165.000	145.18	09/27/21	391.07	245.89 W	0.00	20 of 31 - Sale <sup>25</sup>
	5.310	4.67	09/27/21	12.43	7.76 W	0.00	21 of 31 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 601034523

## Proceeds from Broker and Barter Exchange Transactions

2022 1099-B\* OMB No. 1545-0715

(continued)

02/01/2023

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TIZIANA LIFE SCIENCES LTD. COM MON SHARES / CUSIP: G88912103 / Symbol: (cont'd)							
	0.690	0.63	09/27/21	1.61	0.98 W	0.00	22 of 31 - Sale <sup>25</sup>
	10.000	9.10	09/27/21	23.39	14.29 W	0.00	23 of 31 - Sale <sup>25</sup>
	29.000	26.39	09/27/21	67.86	41.47 W	0.00	24 of 31 - Sale <sup>25</sup>
	70.000	63.69	09/29/21	161.70	98.01 W	0.00	25 of 31 - Sale <sup>25</sup>
	100.000	90.99	09/29/21	230.51	139.52 W	0.00	26 of 31 - Sale <sup>25</sup>
	54.000	49.13	10/04/21	122.05	72.92 W	0.00	27 of 31 - Sale <sup>25</sup>
	131.000	119.19	10/04/21	296.08	176.89 W	0.00	28 of 31 - Sale <sup>25</sup>
	46.000	41.85	10/05/21	103.04	61.19 W	0.00	29 of 31 - Sale <sup>25</sup>
	5.310	4.83	10/05/21	11.88	7.05 W	0.00	30 of 31 - Sale <sup>25</sup>
	54.000	49.14	10/05/21	120.97	71.83 W	0.00	31 of 31 - Sale <sup>25</sup>
01/20/22	3,060.310	2,702.09	Various	6,277.37	3,575.28 W	0.00	Total of 31 transactions
22 transactions for 01/27/22. Total proceeds and cost reported to the IRS.							
	15.000	12.57	10/05/21	33.73	21.16 W	0.00	1 of 22 - Sale <sup>25</sup>
	44.690	37.44	10/05/21	99.96	62.52 W	0.00	2 of 22 - Sale <sup>25</sup>
	15.000	12.57	10/21/21	36.75	24.18 W	0.00	3 of 22 - Sale <sup>25</sup>
	60.000	50.26	10/21/21	147.01	96.75 W	0.00	4 of 22 - Sale <sup>25</sup>
	155.310	130.10	10/21/21	380.53	250.43 W	0.00	5 of 22 - Sale <sup>25</sup>
	44.690	37.44	10/21/21	109.50	72.06 W	0.00	6 of 22 - Sale <sup>25</sup>
	80.000	66.39	10/21/21	207.22	140.83 W	0.00	7 of 22 - Sale <sup>25</sup>
	10.000	8.30	10/21/21	22.28	13.98 W	0.00	8 of 22 - Sale <sup>25</sup>
	54.000	44.81	10/21/21	120.44	75.63 W	0.00	9 of 22 - Sale <sup>25</sup>
	1.000	0.83	10/21/21	2.32	1.49 W	0.00	10 of 22 - Sale <sup>25</sup>
	6.000	4.98	10/21/21	13.92	8.94 W	0.00	11 of 22 - Sale <sup>25</sup>
	19.000	15.77	10/21/21	44.08	28.31 W	0.00	12 of 22 - Sale <sup>25</sup>
	40.000	33.19	10/21/21	92.42	59.23 W	0.00	13 of 22 - Sale <sup>25</sup>
	5.310	4.40	10/21/21	10.62	6.22 W	0.00	14 of 22 - Sale <sup>25</sup>
	4.690	4.10	10/21/21	9.38	5.28 W	0.00	15 of 22 - Sale <sup>25</sup>
	11.000	9.62	10/21/21	21.89	12.27 W	0.00	16 of 22 - Sale <sup>25</sup>
	26.000	22.75	10/21/21	51.74	28.99 W	0.00	17 of 22 - Sale <sup>25</sup>
	44.000	38.49	10/21/21	87.57	49.08 W	0.00	18 of 22 - Sale <sup>25</sup>
	45.000	39.37	10/21/21	89.57	50.20 W	0.00	19 of 22 - Sale <sup>25</sup>
	74.000	64.74	10/21/21	147.28	82.54 W	0.00	20 of 22 - Sale <sup>25</sup>
	80.000	69.99	10/21/21	156.59	86.60 W	0.00	21 of 22 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 601034523

### Proceeds from Broker and Barter Exchange Transactions

2022 1099-B\* OMB No. 1545-0715

(continued)

02/01/2023

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

#### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TIZIANA LIFE SCIENCES LTD. COM MON SHARES / CUSIP: G88912103 / Symbol: (cont'd)							
	215.310	188.38	10/21/21	426.34	237.96 W	0.00	22 of 22 - Sale <sup>25</sup>
01/27/22	1,050.000	896.49	Various	2,311.14	1,414.65 W	0.00	Total of 22 transactions
	7 transactions for 01/31/22. Total proceeds and cost reported to the IRS.						
	8.000	6.64	10/21/21	15.84	9.20 W	0.00	1 of 7 - Sale <sup>25</sup>
	50.000	41.04	10/21/21	98.80	57.76 W	0.00	2 of 7 - Sale <sup>25</sup>
	26.690	21.91	10/21/21	52.85	30.94 W	0.00	3 of 7 - Sale <sup>25</sup>
	10.000	8.21	10/21/21	17.90	9.69 W	0.00	4 of 7 - Sale <sup>25</sup>
	64.000	52.54	10/21/21	116.48	63.94 W	0.00	5 of 7 - Sale <sup>25</sup>
	1.000	0.82	10/21/21	1.81	0.99 W	0.00	6 of 7 - Sale <sup>25</sup>
	40.310	33.09	10/22/21	74.82	41.73 W	0.00	7 of 7 - Sale <sup>25</sup>
01/31/22	200.000	164.25	Various	378.50	214.25 W	0.00	Total of 7 transactions
	3 transactions for 02/04/22. Total proceeds and cost reported to the IRS.						
	94.690	75.84	10/22/21	175.75	99.91 W	0.00	1 of 3 - Sale <sup>25</sup>
	75.000	60.06	10/22/21	154.10	94.04 W	0.00	2 of 3 - Sale <sup>25</sup>
	80.310	64.32	10/22/21	157.10	92.78 W	0.00	3 of 3 - Sale <sup>25</sup>
02/04/22	250.000	200.22	Various	486.95	286.73 W	0.00	Total of 3 transactions
	12 transactions for 02/08/22. Total proceeds and cost reported to the IRS.						
	9.690	7.46	10/22/21	18.95	11.49 W	0.00	1 of 12 - Sale <sup>25</sup>
	4.000	3.08	10/22/21	7.88	4.80 W	0.00	2 of 12 - Sale <sup>25</sup>
	8.000	6.16	10/22/21	15.76	9.60 W	0.00	3 of 12 - Sale <sup>25</sup>
	48.000	36.95	10/22/21	95.52	58.57 W	0.00	4 of 12 - Sale <sup>25</sup>
	50.000	38.49	10/22/21	99.40	60.91 W	0.00	5 of 12 - Sale <sup>25</sup>
	50.000	38.49	10/22/21	97.80	59.31 W	0.00	6 of 12 - Sale <sup>25</sup>
	40.000	30.79	10/22/21	59.20	28.41 W	0.00	7 of 12 - Sale <sup>25</sup>
	150.310	115.69	10/29/21	239.76	124.07 W	0.00	8 of 12 - Sale <sup>25</sup>
	349.690	269.21	10/29/21	557.79	288.58 W	0.00	9 of 12 - Sale <sup>25</sup>
	50.000	38.49	10/29/21	79.50	41.01 W	0.00	10 of 12 - Sale <sup>25</sup>
	5.000	3.85	10/29/21	7.93	4.08 W	0.00	11 of 12 - Sale <sup>25</sup>
	95.310	73.37	11/05/21	148.49	75.12 W	0.00	12 of 12 - Sale <sup>25</sup>
02/08/22	860.000	662.03	Various	1,427.98	765.95 W	0.00	Total of 12 transactions
02/09/22	100.000	79.99	11/05/21	155.80	75.81 W	0.00	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 601034523

**Proceeds from Broker and Barter Exchange Transactions**

2022 1099-B\* OMB No. 1545-0715

(continued)

02/01/2023

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TIZIANA LIFE SCIENCES LTD. COM MON SHARES / CUSIP: G88912103 / Symbol: (cont'd)							
8 transactions for 02/11/22. Total proceeds and cost reported to the IRS.							
	249.690	184.74	11/05/21	389.02	204.28 W	0.00	1 of 8 - Sale <sup>25</sup>
	250.000	184.97	11/08/21	380.00	195.03 W	0.00	2 of 8 - Sale <sup>25</sup>
	250.000	184.97	11/08/21	377.50	192.53 W	0.00	3 of 8 - Sale <sup>25</sup>
	250.310	185.19	11/09/21	362.10	176.91 W	0.00	4 of 8 - Sale <sup>25</sup>
	249.690	188.11	11/09/21	361.20	173.09 W	0.00	5 of 8 - Sale <sup>25</sup>
	500.000	376.69	11/09/21	709.15	332.46 W	0.00	6 of 8 - Sale <sup>25</sup>
	500.000	376.68	11/09/21	723.85	347.17 W	0.00	7 of 8 - Sale <sup>25</sup>
	150.310	113.24	11/11/21	213.11	99.87 W	0.00	8 of 8 - Sale <sup>25</sup>
02/11/22	2,400.000	1,794.59	Various	3,515.93	1,721.34 W	0.00	Total of 8 transactions
4 transactions for 03/01/22. Total proceeds and cost reported to the IRS.							
	349.690	241.75	11/11/21	495.79	254.04 W	0.00	1 of 4 - Sale <sup>25</sup>
	5.310	3.67	11/11/21	7.35	3.68 W	0.00	2 of 4 - Sale <sup>25</sup>
	494.690	368.44	11/11/21	685.15	316.71 W	0.00	3 of 4 - Sale <sup>25</sup>
	5.310	3.95	11/11/21	7.37	3.42 W	0.00	4 of 4 - Sale <sup>25</sup>
03/01/22	855.000	617.81	Various	1,195.66	577.85 W	0.00	Total of 4 transactions
9 transactions for 03/02/22. Total proceeds and cost reported to the IRS.							
	100.000	81.88	11/11/21	138.85	56.97 W	0.00	1 of 9 - Sale <sup>25</sup>
	144.690	118.48	11/11/21	200.91	82.43 W	0.00	2 of 9 - Sale <sup>25</sup>
	200.000	163.77	11/11/21	278.00	114.23 W	0.00	3 of 9 - Sale <sup>25</sup>
	44.690	36.59	11/12/21	59.69	23.10 W	0.00	4 of 9 - Sale <sup>25</sup>
	155.310	127.17	11/12/21	207.43	80.26 W	0.00	5 of 9 - Sale <sup>25</sup>
	250.000	204.69	11/16/21	350.00	145.31 W	0.00	6 of 9 - Sale <sup>25</sup>
	605.310	495.62	11/22/21	822.80	327.18 W	0.00	7 of 9 - Sale <sup>25</sup>
	394.690	313.73	11/22/21	536.50	222.77 W	0.00	8 of 9 - Sale <sup>25</sup>
	105.310	83.71	11/22/21	143.14	59.43 W	0.00	9 of 9 - Sale <sup>25</sup>
03/02/22	2,000.000	1,625.64	Various	2,737.32	1,111.68 W	0.00	Total of 9 transactions
8 transactions for 03/03/22. Total proceeds and cost reported to the IRS.							
	394.690	335.43	11/22/21	536.46	201.03 W	0.00	1 of 8 - Sale <sup>25</sup>
	250.000	212.47	11/22/21	329.98	117.51 W	0.00	2 of 8 - Sale <sup>25</sup>
	5.310	4.51	11/22/21	6.98	2.47 W	0.00	3 of 8 - Sale <sup>25</sup>
	94.690	83.32	11/22/21	124.52	41.20 W	0.00	4 of 8 - Sale <sup>25</sup>
	5.000	4.40	01/05/22	8.74	4.34 W	0.00	5 of 8 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 601034523

## Proceeds from Broker and Barter Exchange Transactions

2022 1099-B\* OMB No. 1545-0715

(continued)

02/01/2023

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TIZIANA LIFE SCIENCES LTD. COM MON SHARES / CUSIP: G88912103 / Symbol: (cont'd)							
	71.000	62.47	01/05/22	127.81	65.34 W	0.00	6 of 8 - Sale <sup>25</sup>
	5.000	4.40	01/05/22	10.01	5.61 W	0.00	7 of 8 - Sale <sup>25</sup>
	65.310	57.46	01/05/22	130.81	73.35 W	0.00	8 of 8 - Sale <sup>25</sup>
03/03/22	891.000	764.46	Various	1,275.31	510.85 W	0.00	Total of 8 transactions
	39 transactions for 03/04/22. Total proceeds and cost reported to the IRS.						
	2.000	1.81	01/05/22	3.86	2.05 W	0.00	1 of 39 - Sale <sup>25</sup>
	2.000	1.81	01/05/22	3.86	2.05 W	0.00	2 of 39 - Sale <sup>25</sup>
	23.000	20.81	01/05/22	44.42	23.61 W	0.00	3 of 39 - Sale <sup>25</sup>
	25.000	22.62	01/05/22	49.79	27.17 W	0.00	4 of 39 - Sale <sup>25</sup>
	25.000	22.62	01/05/22	48.12	25.50 W	0.00	5 of 39 - Sale <sup>25</sup>
	20.310	18.38	01/05/22	39.10	20.72 W	0.00	6 of 39 - Sale <sup>25</sup>
	4.690	4.24	01/05/22	9.39	5.15 W	0.00	7 of 39 - Sale <sup>25</sup>
	73.000	66.06	01/05/22	140.97	74.91 W	0.00	8 of 39 - Sale <sup>25</sup>
	75.000	67.87	01/05/22	144.84	76.97 W	0.00	9 of 39 - Sale <sup>25</sup>
	29.690	27.61	01/05/22	57.15	29.54 W	0.00	10 of 39 - Sale <sup>25</sup>
	55.000	51.14	01/05/22	104.79	53.65 W	0.00	11 of 39 - Sale <sup>25</sup>
	0.310	0.29	01/05/22	0.59	0.30 W	0.00	12 of 39 - Sale <sup>25</sup>
	19.690	18.31	01/05/22	37.51	19.20 W	0.00	13 of 39 - Sale <sup>25</sup>
	5.000	4.65	01/05/22	9.31	4.66 W	0.00	14 of 39 - Sale <sup>25</sup>
	25.000	23.25	01/05/22	46.53	23.28 W	0.00	15 of 39 - Sale <sup>25</sup>
	70.000	65.09	01/05/22	126.02	60.93 W	0.00	16 of 39 - Sale <sup>25</sup>
	31.310	29.11	01/05/22	42.59	13.48 W	0.00	17 of 39 - Sale <sup>25</sup>
	0.310	0.29	01/06/22	0.51	0.22 W	0.00	18 of 39 - Sale <sup>25</sup>
	13.690	12.73	01/06/22	22.58	9.85 W	0.00	19 of 39 - Sale <sup>25</sup>
	187.310	174.18	01/06/22	308.93	134.75 W	0.00	20 of 39 - Sale <sup>25</sup>
	62.690	58.29	01/06/22	83.97	25.68 W	0.00	21 of 39 - Sale <sup>25</sup>
	136.000	127.09	01/06/22	182.15	55.06 W	0.00	22 of 39 - Sale <sup>25</sup>
	49.000	45.79	01/06/22	80.62	34.83 W	0.00	23 of 39 - Sale <sup>25</sup>
	35.000	32.71	01/13/22	50.89	18.18 W	0.00	24 of 39 - Sale <sup>25</sup>
	30.000	28.03	01/13/22	43.62	15.59 W	0.00	25 of 39 - Sale <sup>25</sup>
	40.310	39.30	01/13/22	58.42	19.12 W	0.00	26 of 39 - Sale <sup>25</sup>
	109.690	106.93	01/13/22	159.51	52.58 W	0.00	27 of 39 - Sale <sup>25</sup>
	54.690	53.31	01/14/22	77.94	24.63 W	0.00	28 of 39 - Sale <sup>25</sup>
	40.310	39.30	01/14/22	77.40	38.10 W	0.00	29 of 39 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 601034523

## Proceeds from Broker and Barter Exchange Transactions

2022 1099-B\* OMB No. 1545-0715

(continued)

02/01/2023

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TIZIANA LIFE SCIENCES LTD. COM MON SHARES / CUSIP: G88912103 / Symbol: (cont'd)							
	5.000	4.87	01/14/22	9.55	4.68 W	0.00	30 of 39 - Sale <sup>25</sup>
	15.000	14.25	01/14/22	28.65	14.40 W	0.00	31 of 39 - Sale <sup>25</sup>
	20.000	19.00	01/14/22	38.20	19.20 W	0.00	32 of 39 - Sale <sup>25</sup>
	38.690	36.76	01/14/22	73.91	37.15 W	0.00	33 of 39 - Sale <sup>25</sup>
	51.310	48.74	01/14/22	98.01	49.27 W	0.00	34 of 39 - Sale <sup>25</sup>
	20.000	19.00	01/14/22	38.05	19.05 W	0.00	35 of 39 - Sale <sup>25</sup>
	125.000	118.73	01/21/22	303.42	147.75 W	-36.94	36 of 39 - Sale <sup>25</sup>
	80.000	76.00	01/21/22	194.36	118.36 W	0.00	37 of 39 - Sale <sup>25</sup>
	25.000	23.75	01/21/22	60.47	36.72 W	0.00	38 of 39 - Sale <sup>25</sup>
	125.000	118.74	01/21/22	302.37	183.63 W	0.00	39 of 39 - Sale <sup>25</sup>
03/04/22	1,750.000	1,643.46	Various	3,202.37	1,521.97 W	-36.94	Total of 39 transactions
43 transactions for 03/10/22. Total proceeds and cost reported to the IRS.							
	150.000	149.98	01/24/22	358.87	...	-208.89	1 of 43 - Sale <sup>25</sup>
	54.000	54.54	01/24/22	113.44	...	-58.90	2 of 43 - Sale <sup>25</sup>
	39.620	38.55	01/24/22	83.61	...	-45.06	3 of 43 - Sale <sup>25</sup>
	30.310	29.49	01/24/22	72.51	...	-43.02	4 of 43 - Sale <sup>25</sup>
	29.690	28.88	01/24/22	71.13	...	-42.25	5 of 43 - Sale <sup>25</sup>
	45.000	47.25	01/24/22	83.93	...	-36.68	6 of 43 - Sale <sup>25</sup>
	44.000	46.20	01/24/22	82.05	...	-35.85	7 of 43 - Sale <sup>25</sup>
	24.690	24.69	01/24/22	59.07	...	-34.38	8 of 43 - Sale <sup>25</sup>
	20.000	20.00	01/24/22	47.85	...	-27.85	9 of 43 - Sale <sup>25</sup>
	21.310	21.52	01/24/22	46.47	...	-24.95	10 of 43 - Sale <sup>25</sup>
	18.690	18.88	01/24/22	40.39	...	-21.51	11 of 43 - Sale <sup>25</sup>
	15.000	14.59	01/24/22	35.93	...	-21.34	12 of 43 - Sale <sup>25</sup>
	14.380	13.99	01/24/22	30.34	...	-16.35	13 of 43 - Sale <sup>25</sup>
	19.310	20.27	01/24/22	36.21	...	-15.94	14 of 43 - Sale <sup>25</sup>
	11.310	11.42	01/24/22	24.54	...	-13.12	15 of 43 - Sale <sup>25</sup>
	15.310	16.07	01/24/22	28.56	...	-12.49	16 of 43 - Sale <sup>25</sup>
	10.000	10.10	01/24/22	20.98	...	-10.88	17 of 43 - Sale <sup>25</sup>
	10.690	11.22	01/24/22	20.04	...	-8.82	18 of 43 - Sale <sup>25</sup>
	7.690	8.07	01/24/22	16.69	...	-8.62	19 of 43 - Sale <sup>25</sup>
	5.310	5.17	01/24/22	12.72	...	-7.55	20 of 43 - Sale <sup>25</sup>
	6.000	6.30	01/24/22	13.02	...	-6.72	21 of 43 - Sale <sup>25</sup>
	5.310	5.17	01/24/22	11.19	...	-6.02	22 of 43 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 601034523

## Proceeds from Broker and Barter Exchange Transactions

2022 1099-B\* OMB No. 1545-0715

(continued)

02/01/2023

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TIZIANA LIFE SCIENCES LTD. COM MON SHARES / CUSIP: G88912103 / Symbol: (cont'd)							
	6.690	7.02	01/24/22	12.47	...	-5.45	23 of 43 - Sale <sup>25</sup>
	5.310	5.57	01/24/22	9.83	...	-4.26	24 of 43 - Sale <sup>25</sup>
	4.690	4.92	01/24/22	8.80	...	-3.88	25 of 43 - Sale <sup>25</sup>
	1.000	1.05	01/24/22	2.17	...	-1.12	26 of 43 - Sale <sup>25</sup>
	1.000	1.05	01/24/22	1.86	...	-0.81	27 of 43 - Sale <sup>25</sup>
	1.000	1.05	01/24/22	1.86	...	-0.81	28 of 43 - Sale <sup>25</sup>
	1.000	1.05	01/24/22	1.85	...	-0.80	29 of 43 - Sale <sup>25</sup>
	1.000	1.05	01/24/22	1.85	...	-0.80	30 of 43 - Sale <sup>25</sup>
	0.310	0.33	01/24/22	0.58	...	-0.25	31 of 43 - Sale <sup>25</sup>
	170.310	170.29	01/28/22	331.29	...	-161.00	32 of 43 - Sale <sup>25</sup>
	94.690	94.68	01/28/22	179.45	...	-84.77	33 of 43 - Sale <sup>25</sup>
	55.310	55.30	01/28/22	115.81	...	-60.51	34 of 43 - Sale <sup>25</sup>
	54.690	54.68	01/28/22	105.14	...	-50.46	35 of 43 - Sale <sup>25</sup>
	50.000	49.99	01/28/22	99.76	...	-49.77	36 of 43 - Sale <sup>25</sup>
	54.690	57.42	01/28/22	106.94	...	-49.52	37 of 43 - Sale <sup>25</sup>
	45.000	43.86	01/28/22	87.53	...	-43.67	38 of 43 - Sale <sup>25</sup>
	40.310	40.31	01/28/22	75.59	...	-35.28	39 of 43 - Sale <sup>25</sup>
	26.690	26.69	01/28/22	53.36	...	-26.67	40 of 43 - Sale <sup>25</sup>
	25.310	26.58	01/28/22	48.66	...	-22.08	41 of 43 - Sale <sup>25</sup>
	8.000	8.00	01/28/22	15.92	...	-7.92	42 of 43 - Sale <sup>25</sup>
	5.000	4.87	01/28/22	9.05	...	-4.18	43 of 43 - Sale <sup>25</sup>
03/10/22	1,249.620	1,258.11	Various	2,579.31	...	-1,321.20	Total of 43 transactions
	27 transactions for 03/10/22. Total proceeds and cost reported to the IRS.						
	0.310	0.30	01/21/22	0.75	0.45 W	0.00	1 of 27 - Sale <sup>25</sup>
	25.000	24.02	01/21/22	60.68	36.66 W	0.00	2 of 27 - Sale <sup>25</sup>
	200.000	192.18	01/21/22	383.89	191.71 W	0.00	3 of 27 - Sale <sup>25</sup>
	90.000	86.48	01/21/22	172.75	86.27 W	0.00	4 of 27 - Sale <sup>25</sup>
	309.690	297.57	01/21/22	594.43	296.86 W	0.00	5 of 27 - Sale <sup>25</sup>
	210.000	203.67	01/21/22	403.08	199.41 W	0.00	6 of 27 - Sale <sup>25</sup>
	290.000	281.27	01/21/22	534.89	253.62 W	0.00	7 of 27 - Sale <sup>25</sup>
	10.000	9.73	01/21/22	18.34	8.61 W	0.00	8 of 27 - Sale <sup>25</sup>
	10.000	9.73	01/21/22	18.44	8.71 W	0.00	9 of 27 - Sale <sup>25</sup>
	46.000	44.76	01/24/22	97.07	46.19 W	-6.12	10 of 27 - Sale <sup>25</sup>
	15.000	14.59	01/24/22	35.70	21.11 W	0.00	11 of 27 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 601034523

## Proceeds from Broker and Barter Exchange Transactions

2022 1099-B\* OMB No. 1545-0715

(continued)

02/01/2023

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TIZIANA LIFE SCIENCES LTD. COM MON SHARES / CUSIP: G88912103 / Symbol: (cont'd)							
	44.690	43.47	01/24/22	106.37	62.90 W	0.00	12 of 27 - Sale <sup>25</sup>
	35.000	34.05	01/24/22	83.09	49.04 W	0.00	13 of 27 - Sale <sup>25</sup>
	85.000	82.69	01/24/22	201.80	119.11 W	0.00	14 of 27 - Sale <sup>25</sup>
	165.000	160.50	01/24/22	374.59	214.09 W	0.00	15 of 27 - Sale <sup>25</sup>
	0.690	0.67	01/24/22	1.52	0.85 W	0.00	16 of 27 - Sale <sup>25</sup>
	5.310	5.17	01/24/22	11.90	6.73 W	0.00	17 of 27 - Sale <sup>25</sup>
	10.000	9.73	01/24/22	22.09	12.36 W	0.00	18 of 27 - Sale <sup>25</sup>
	29.000	28.21	01/24/22	64.09	35.88 W	0.00	19 of 27 - Sale <sup>25</sup>
	15.000	14.59	01/24/22	32.86	18.27 W	0.00	20 of 27 - Sale <sup>25</sup>
	44.690	43.47	01/24/22	97.38	53.91 W	0.00	21 of 27 - Sale <sup>25</sup>
	20.620	20.06	01/24/22	44.85	24.79 W	0.00	22 of 27 - Sale <sup>25</sup>
	70.000	68.09	01/24/22	152.61	84.52 W	0.00	23 of 27 - Sale <sup>25</sup>
	79.380	77.22	01/24/22	172.67	95.45 W	0.00	24 of 27 - Sale <sup>25</sup>
	70.620	68.70	01/24/22	150.44	81.74 W	0.00	25 of 27 - Sale <sup>25</sup>
	54.000	52.54	01/24/22	115.04	62.50 W	0.00	26 of 27 - Sale <sup>25</sup>
	60.380	58.75	01/24/22	128.63	69.88 W	0.00	27 of 27 - Sale <sup>25</sup>
03/10/22	1,995.380	1,932.21	Various	4,079.95	2,141.62 W	-6.12	Total of 27 transactions
	18 transactions for 03/11/22. Total proceeds and cost reported to the IRS.						
	64.000	63.99	01/28/22	117.70	...	-53.71	1 of 18 - Sale <sup>25</sup>
	5.000	5.00	01/28/22	9.04	...	-4.04	2 of 18 - Sale <sup>25</sup>
	1.000	1.00	01/28/22	1.83	...	-0.83	3 of 18 - Sale <sup>25</sup>
	180.000	180.88	02/01/22	302.20	...	-121.32	4 of 18 - Sale <sup>25</sup>
	150.310	148.78	02/01/22	249.07	...	-100.29	5 of 18 - Sale <sup>25</sup>
	60.310	59.76	02/01/22	119.07	...	-59.31	6 of 18 - Sale <sup>25</sup>
	49.690	49.68	02/01/22	101.23	...	-51.55	7 of 18 - Sale <sup>25</sup>
	50.000	49.55	02/01/22	100.26	...	-50.71	8 of 18 - Sale <sup>25</sup>
	48.000	47.56	02/01/22	97.88	...	-50.32	9 of 18 - Sale <sup>25</sup>
	70.000	70.34	02/01/22	115.98	...	-45.64	10 of 18 - Sale <sup>25</sup>
	40.000	39.60	02/01/22	61.67	...	-22.07	11 of 18 - Sale <sup>25</sup>
	19.690	19.51	02/01/22	40.82	...	-21.31	12 of 18 - Sale <sup>25</sup>
	30.000	29.70	02/01/22	49.70	...	-20.00	13 of 18 - Sale <sup>25</sup>
	20.000	19.80	02/01/22	39.48	...	-19.68	14 of 18 - Sale <sup>25</sup>
	9.690	9.60	02/01/22	19.43	...	-9.83	15 of 18 - Sale <sup>25</sup>
	8.000	7.93	02/01/22	16.15	...	-8.22	16 of 18 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 601034523

**Proceeds from Broker and Barter Exchange Transactions**

2022 1099-B\* OMB No. 1545-0715

(continued)

02/01/2023

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TIZIANA LIFE SCIENCES LTD. COM MON SHARES / CUSIP: G88912103 / Symbol: (cont'd)							
	4.000	3.96	02/01/22	8.08	...	-4.12	17 of 18 - Sale <sup>25</sup>
	0.310	0.31	02/01/22	0.63	...	-0.32	18 of 18 - Sale <sup>25</sup>
03/11/22	810.000	806.95	Various	1,450.22	...	-643.27	Total of 18 transactions
	46 transactions for 03/16/22. Total proceeds and cost reported to the IRS.						
	100.000	97.99	02/01/22	159.26	...	-61.27	1 of 46 - Sale <sup>25</sup>
	95.310	93.39	02/01/22	154.66	...	-61.27	2 of 46 - Sale <sup>25</sup>
	69.690	68.29	02/01/22	117.00	...	-48.71	3 of 46 - Sale <sup>25</sup>
	50.000	48.99	02/01/22	83.69	...	-34.70	4 of 46 - Sale <sup>25</sup>
	50.000	48.99	02/01/22	82.63	...	-33.64	5 of 46 - Sale <sup>25</sup>
	4.690	4.60	02/01/22	7.74	...	-3.14	6 of 46 - Sale <sup>25</sup>
	0.310	0.30	02/01/22	0.51	...	-0.21	7 of 46 - Sale <sup>25</sup>
	200.310	196.28	02/07/22	306.50	...	-110.22	8 of 46 - Sale <sup>25</sup>
	151.310	148.27	02/07/22	233.04	...	-84.77	9 of 46 - Sale <sup>25</sup>
	100.000	97.99	02/07/22	157.81	...	-59.82	10 of 46 - Sale <sup>25</sup>
	99.690	97.68	02/07/22	157.32	...	-59.64	11 of 46 - Sale <sup>25</sup>
	98.690	96.70	02/07/22	151.99	...	-55.29	12 of 46 - Sale <sup>25</sup>
	250.310	245.27	02/10/22	370.27	...	-125.00	13 of 46 - Sale <sup>25</sup>
	49.690	48.69	02/10/22	76.66	...	-27.97	14 of 46 - Sale <sup>25</sup>
	55.000	53.89	02/10/22	80.62	...	-26.73	15 of 46 - Sale <sup>25</sup>
	305.310	299.17	02/11/22	437.67	...	-138.50	16 of 46 - Sale <sup>25</sup>
	194.690	190.77	02/11/22	284.60	...	-93.83	17 of 46 - Sale <sup>25</sup>
	125.000	122.49	02/11/22	179.19	...	-56.70	18 of 46 - Sale <sup>25</sup>
	25.000	24.50	02/11/22	35.84	...	-11.34	19 of 46 - Sale <sup>25</sup>
	205.310	201.18	02/14/22	293.45	...	-92.27	20 of 46 - Sale <sup>25</sup>
	44.690	43.79	02/14/22	62.56	...	-18.77	21 of 46 - Sale <sup>25</sup>
	200.000	195.97	02/15/22	278.79	...	-82.82	22 of 46 - Sale <sup>25</sup>
	105.000	102.89	02/18/22	145.05	...	-42.16	23 of 46 - Sale <sup>25</sup>
	94.690	92.78	02/18/22	132.97	...	-40.19	24 of 46 - Sale <sup>25</sup>
	95.000	93.09	02/18/22	125.34	...	-32.25	25 of 46 - Sale <sup>25</sup>
	55.310	54.20	02/18/22	76.01	...	-21.81	26 of 46 - Sale <sup>25</sup>
	50.000	48.99	02/18/22	69.07	...	-20.08	27 of 46 - Sale <sup>25</sup>
	194.690	190.77	03/07/22	300.15	...	-109.38	28 of 46 - Sale <sup>25</sup>
	150.000	146.98	03/07/22	218.31	...	-71.33	29 of 46 - Sale <sup>25</sup>
	100.000	97.99	03/07/22	145.54	...	-47.55	30 of 46 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 601034523

## Proceeds from Broker and Barter Exchange Transactions

2022 1099-B\* OMB No. 1545-0715

(continued)

02/01/2023

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TIZIANA LIFE SCIENCES LTD. COM MON SHARES / CUSIP: G88912103 / Symbol: (cont'd)							
	50.000	48.99	03/07/22	72.77	...	-23.78	31 of 46 - Sale <sup>25</sup>
	5.310	5.20	03/07/22	8.01	...	-2.81	32 of 46 - Sale <sup>25</sup>
	194.690	190.77	03/09/22	274.54	...	-83.77	33 of 46 - Sale <sup>25</sup>
	250.000	244.97	03/09/22	328.54	...	-83.57	34 of 46 - Sale <sup>25</sup>
	250.000	244.97	03/09/22	318.35	...	-73.38	35 of 46 - Sale <sup>25</sup>
	144.690	141.78	03/09/22	193.83	...	-52.05	36 of 46 - Sale <sup>25</sup>
	105.310	103.19	03/09/22	141.23	...	-38.04	37 of 46 - Sale <sup>25</sup>
	125.000	122.48	03/09/22	159.17	...	-36.69	38 of 46 - Sale <sup>25</sup>
	100.000	97.99	03/09/22	133.96	...	-35.97	39 of 46 - Sale <sup>25</sup>
	94.690	92.78	03/09/22	126.75	...	-33.97	40 of 46 - Sale <sup>25</sup>
	100.000	97.99	03/09/22	127.34	...	-29.35	41 of 46 - Sale <sup>25</sup>
	100.000	97.99	03/09/22	124.97	...	-26.98	42 of 46 - Sale <sup>25</sup>
	55.310	54.20	03/09/22	71.02	...	-16.82	43 of 46 - Sale <sup>25</sup>
	55.310	54.20	03/09/22	70.44	...	-16.24	44 of 46 - Sale <sup>25</sup>
	44.690	43.79	03/09/22	55.85	...	-12.06	45 of 46 - Sale <sup>25</sup>
	5.310	5.20	03/09/22	7.51	...	-2.31	46 of 46 - Sale <sup>25</sup>
03/16/22	5,000.000	4,899.37	Various	7,138.52	...	-2,239.15	Total of 46 transactions
	56 transactions for 03/17/22. Total proceeds and cost reported to the IRS.						
	394.690	434.11	03/15/22	587.23	...	-153.12	1 of 56 - Sale <sup>25</sup>
	80.000	87.99	03/15/22	190.37	...	-102.38	2 of 56 - Sale <sup>25</sup>
	200.000	219.97	03/15/22	308.58	...	-88.61	3 of 56 - Sale <sup>25</sup>
	194.690	214.13	03/15/22	300.39	...	-86.26	4 of 56 - Sale <sup>25</sup>
	162.310	178.51	03/15/22	263.24	...	-84.73	5 of 56 - Sale <sup>25</sup>
	219.690	241.63	03/15/22	308.41	...	-66.78	6 of 56 - Sale <sup>25</sup>
	75.000	82.49	03/15/22	146.42	...	-63.93	7 of 56 - Sale <sup>25</sup>
	65.310	71.83	03/15/22	134.15	...	-62.32	8 of 56 - Sale <sup>25</sup>
	73.000	80.29	03/15/22	142.51	...	-62.22	9 of 56 - Sale <sup>25</sup>
	71.000	78.09	03/15/22	131.44	...	-53.35	10 of 56 - Sale <sup>25</sup>
	70.000	76.99	03/15/22	125.33	...	-48.34	11 of 56 - Sale <sup>25</sup>
	105.310	115.83	03/15/22	162.48	...	-46.65	12 of 56 - Sale <sup>25</sup>
	55.000	60.49	03/15/22	104.25	...	-43.76	13 of 56 - Sale <sup>25</sup>
	51.310	56.43	03/15/22	95.46	...	-39.03	14 of 56 - Sale <sup>25</sup>
	25.000	27.49	03/15/22	59.23	...	-31.74	15 of 56 - Sale <sup>25</sup>
	25.000	27.50	03/15/22	59.23	...	-31.73	16 of 56 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 601034523

## Proceeds from Broker and Barter Exchange Transactions

2022 1099-B\* OMB No. 1545-0715

(continued)

02/01/2023

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TIZIANA LIFE SCIENCES LTD. COM MON SHARES / CUSIP: G88912103 / Symbol: (cont'd)							
75.000		82.49	03/15/22	113.93	...	-31.44	17 of 56 - Sale <sup>25</sup>
109.690		120.64	03/15/22	151.43	...	-30.79	18 of 56 - Sale <sup>25</sup>
40.310		44.33	03/15/22	74.43	...	-30.10	19 of 56 - Sale <sup>25</sup>
94.690		104.15	03/15/22	129.62	...	-25.47	20 of 56 - Sale <sup>25</sup>
49.000		53.89	03/15/22	78.99	...	-25.10	21 of 56 - Sale <sup>25</sup>
111.000		122.08	03/15/22	144.97	...	-22.89	22 of 56 - Sale <sup>25</sup>
25.000		27.50	03/15/22	48.65	...	-21.15	23 of 56 - Sale <sup>25</sup>
25.000		27.50	03/15/22	48.02	...	-20.52	24 of 56 - Sale <sup>25</sup>
23.000		25.30	03/15/22	44.91	...	-19.61	25 of 56 - Sale <sup>25</sup>
25.000		27.50	03/15/22	46.52	...	-19.02	26 of 56 - Sale <sup>25</sup>
25.000		27.50	03/15/22	46.28	...	-18.78	27 of 56 - Sale <sup>25</sup>
20.310		22.34	03/15/22	40.98	...	-18.64	28 of 56 - Sale <sup>25</sup>
20.310		22.34	03/15/22	39.52	...	-17.18	29 of 56 - Sale <sup>25</sup>
19.690		21.66	03/15/22	37.31	...	-15.65	30 of 56 - Sale <sup>25</sup>
20.000		22.00	03/15/22	37.20	...	-15.20	31 of 56 - Sale <sup>25</sup>
20.000		21.99	03/15/22	37.05	...	-15.06	32 of 56 - Sale <sup>25</sup>
54.690		60.15	03/15/22	73.92	...	-13.77	33 of 56 - Sale <sup>25</sup>
25.000		27.50	03/15/22	40.98	...	-13.48	34 of 56 - Sale <sup>25</sup>
62.690		68.95	03/15/22	82.25	...	-13.30	35 of 56 - Sale <sup>25</sup>
15.000		16.50	03/15/22	27.90	...	-11.40	36 of 56 - Sale <sup>25</sup>
35.000		38.49	03/15/22	49.72	...	-11.23	37 of 56 - Sale <sup>25</sup>
40.310		44.33	03/15/22	55.45	...	-11.12	38 of 56 - Sale <sup>25</sup>
30.310		33.34	03/15/22	43.91	...	-10.57	39 of 56 - Sale <sup>25</sup>
13.690		15.06	03/15/22	25.47	...	-10.41	40 of 56 - Sale <sup>25</sup>
30.000		33.00	03/15/22	42.63	...	-9.63	41 of 56 - Sale <sup>25</sup>
31.310		34.44	03/15/22	42.29	...	-7.85	42 of 56 - Sale <sup>25</sup>
13.690		15.06	03/15/22	22.44	...	-7.38	43 of 56 - Sale <sup>25</sup>
25.000		27.50	03/15/22	32.68	...	-5.18	44 of 56 - Sale <sup>25</sup>
5.000		5.50	03/15/22	10.26	...	-4.76	45 of 56 - Sale <sup>25</sup>
4.690		5.16	03/15/22	9.52	...	-4.36	46 of 56 - Sale <sup>25</sup>
4.690		5.16	03/15/22	9.44	...	-4.28	47 of 56 - Sale <sup>25</sup>
4.690		5.16	03/15/22	8.98	...	-3.82	48 of 56 - Sale <sup>25</sup>
5.000		5.50	03/15/22	9.26	...	-3.76	49 of 56 - Sale <sup>25</sup>
5.000		5.50	03/15/22	9.19	...	-3.69	50 of 56 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 601034523

### Proceeds from Broker and Barter Exchange Transactions

2022 1099-B\* OMB No. 1545-0715

(continued)

02/01/2023

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

#### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TIZIANA LIFE SCIENCES LTD. COM MON SHARES / CUSIP: G88912103 / Symbol: (cont'd)							
	5.000	5.50	03/15/22	9.00	...	-3.50	51 of 56 - Sale <sup>25</sup>
	2.000	2.20	03/15/22	3.90	...	-1.70	52 of 56 - Sale <sup>25</sup>
	2.000	2.20	03/15/22	3.90	...	-1.70	53 of 56 - Sale <sup>25</sup>
	5.310	5.84	03/15/22	7.43	...	-1.59	54 of 56 - Sale <sup>25</sup>
	0.310	0.34	03/15/22	0.59	...	-0.25	55 of 56 - Sale <sup>25</sup>
	0.310	0.34	03/15/22	0.51	...	-0.17	56 of 56 - Sale <sup>25</sup>
03/17/22	2,991.000	3,289.70	Various	4,860.15	...	-1,570.45	Total of 56 transactions
	2 transactions for 03/28/22. Total proceeds and cost reported to the IRS.						
	100.000	103.99	03/24/22	262.90	158.91 W	0.00	1 of 2 - Sale <sup>25</sup>
	100.000	103.99	03/24/22	263.75	159.76 W	0.00	2 of 2 - Sale <sup>25</sup>
03/28/22	200.000	207.98	Various	526.65	318.67 W	0.00	Total of 2 transactions
	4 transactions for 04/07/22. Total proceeds and cost reported to the IRS.						
	0.310	0.32	04/05/22	0.79	0.47 W	0.00	1 of 4 - Sale <sup>25</sup>
	25.000	25.60	04/05/22	63.87	38.27 W	0.00	2 of 4 - Sale <sup>25</sup>
	165.000	168.93	04/05/22	337.76	168.83 W	0.00	3 of 4 - Sale <sup>25</sup>
	309.690	317.07	04/05/22	633.96	316.89 W	0.00	4 of 4 - Sale <sup>25</sup>
04/07/22	500.000	511.92	Various	1,036.38	524.46 W	0.00	Total of 4 transactions
	27 transactions for 04/11/22. Total proceeds and cost reported to the IRS.						
	90.000	92.71	04/05/22	184.23	91.52 W	0.00	1 of 27 - Sale <sup>25</sup>
	35.000	36.05	04/05/22	71.65	35.60 W	0.00	2 of 27 - Sale <sup>25</sup>
	210.000	216.31	04/05/22	428.00	211.69 W	0.00	3 of 27 - Sale <sup>25</sup>
	165.000	169.96	04/05/22	323.90	153.94 W	0.00	4 of 27 - Sale <sup>25</sup>
	15.000	15.45	04/05/22	37.16	21.71 W	0.00	5 of 27 - Sale <sup>25</sup>
	44.690	46.03	04/05/22	110.71	64.68 W	0.00	6 of 27 - Sale <sup>25</sup>
	35.000	36.05	04/05/22	86.49	50.44 W	0.00	7 of 27 - Sale <sup>25</sup>
	85.000	87.56	04/05/22	210.05	122.49 W	0.00	8 of 27 - Sale <sup>25</sup>
	165.000	169.96	04/05/22	390.62	220.66 W	0.00	9 of 27 - Sale <sup>25</sup>
	0.310	0.32	04/05/22	0.72	0.40 W	0.00	10 of 27 - Sale <sup>25</sup>
	10.000	10.30	04/05/22	19.41	9.11 W	0.00	11 of 27 - Sale <sup>25</sup>
	10.000	10.30	04/05/22	19.31	9.01 W	0.00	12 of 27 - Sale <sup>25</sup>
	125.000	128.76	04/05/22	243.06	114.30 W	0.00	13 of 27 - Sale <sup>25</sup>
	0.690	0.71	04/05/22	1.56	0.85 W	0.00	14 of 27 - Sale <sup>25</sup>
	5.000	5.15	04/05/22	11.52	6.37 W	0.00	15 of 27 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 601034523

**Proceeds from Broker and Barter Exchange Transactions**

2022 1099-B\* OMB No. 1545-0715

(continued)

02/01/2023

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TIZIANA LIFE SCIENCES LTD. COM MON SHARES / CUSIP: G88912103 / Symbol: (cont'd)							
	12.310	12.68	04/05/22	27.97	15.29 W	0.00	16 of 27 - Sale <sup>25</sup>
	10.000	10.30	04/05/22	22.71	12.41 W	0.00	17 of 27 - Sale <sup>25</sup>
	16.690	17.19	04/05/22	37.92	20.73 W	0.00	18 of 27 - Sale <sup>25</sup>
	15.000	15.45	04/05/22	33.80	18.35 W	0.00	19 of 27 - Sale <sup>25</sup>
	44.690	46.03	04/05/22	100.16	54.13 W	0.00	20 of 27 - Sale <sup>25</sup>
	20.620	21.24	04/05/22	46.13	24.89 W	0.00	21 of 27 - Sale <sup>25</sup>
	70.000	72.11	04/05/22	156.97	84.86 W	0.00	22 of 27 - Sale <sup>25</sup>
	79.380	81.77	04/05/22	177.61	95.84 W	0.00	23 of 27 - Sale <sup>25</sup>
	54.000	55.62	04/05/22	118.39	62.77 W	0.00	24 of 27 - Sale <sup>25</sup>
	60.380	62.20	04/05/22	132.38	70.18 W	0.00	25 of 27 - Sale <sup>25</sup>
	70.620	72.75	04/05/22	154.83	82.08 W	0.00	26 of 27 - Sale <sup>25</sup>
	40.620	41.84	04/05/22	88.23	46.39 W	0.00	27 of 27 - Sale <sup>25</sup>
04/11/22	1,490.000	1,534.80	Various	3,235.49	1,700.69 W	0.00	Total of 27 transactions
	5 transactions for 04/26/22. Total proceeds and cost reported to the IRS.						
	0.310	0.29	04/25/22	0.75	0.46 W	0.00	1 of 5 - Sale <sup>25</sup>
	25.000	23.50	04/25/22	60.77	37.27 W	0.00	2 of 5 - Sale <sup>25</sup>
	100.000	93.99	04/25/22	248.91	154.92 W	0.00	3 of 5 - Sale <sup>25</sup>
	100.000	93.98	04/25/22	249.76	155.78 W	0.00	4 of 5 - Sale <sup>25</sup>
	274.690	258.17	04/25/22	528.30	270.13 W	0.00	5 of 5 - Sale <sup>25</sup>
04/26/22	500.000	469.93	Various	1,088.49	618.56 W	0.00	Total of 5 transactions
	9 transactions for 06/13/22. Total proceeds and cost reported to the IRS.						
	15.000	11.65	05/03/22	36.86	25.21 W	0.00	1 of 9 - Sale <sup>25</sup>
	44.690	34.70	05/03/22	109.82	75.12 W	0.00	2 of 9 - Sale <sup>25</sup>
	35.000	27.18	05/03/22	85.79	58.61 W	0.00	3 of 9 - Sale <sup>25</sup>
	85.000	66.01	05/03/22	208.34	142.33 W	0.00	4 of 9 - Sale <sup>25</sup>
	110.310	85.66	05/03/22	258.93	173.27 W	0.00	5 of 9 - Sale <sup>25</sup>
	26.000	20.19	05/03/22	52.71	32.52 W	0.00	6 of 9 - Sale <sup>25</sup>
	9.000	6.99	05/03/22	18.24	11.25 W	0.00	7 of 9 - Sale <sup>25</sup>
	90.000	69.48	05/03/22	182.42	112.94 W	0.00	8 of 9 - Sale <sup>25</sup>
	185.000	142.81	05/03/22	373.34	230.53 W	0.00	9 of 9 - Sale <sup>25</sup>
06/13/22	600.000	464.67	Various	1,326.45	861.78 W	0.00	Total of 9 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 601034523

### Proceeds from Broker and Barter Exchange Transactions

2022 1099-B\* OMB No. 1545-0715

(continued)

02/01/2023

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

#### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TIZIANA LIFE SCIENCES LTD. COM MON SHARES / CUSIP: G88912103 / Symbol: (cont'd)							
5 transactions for 06/24/22. Total proceeds and cost reported to the IRS.							
	25.000	21.37	05/03/22	50.45	29.08 W	0.00	1 of 5 - Sale <sup>25</sup>
	165.000	141.06	05/03/22	320.59	179.53 W	0.00	2 of 5 - Sale <sup>25</sup>
	35.000	29.92	05/03/22	71.16	41.24 W	0.00	3 of 5 - Sale <sup>25</sup>
	165.000	141.05	05/03/22	335.48	194.43 W	0.00	4 of 5 - Sale <sup>25</sup>
	10.000	8.55	05/03/22	23.47	14.92 W	0.00	5 of 5 - Sale <sup>25</sup>
06/24/22	400.000	341.95	Various	801.15	459.20 W	0.00	Total of 5 transactions
29 transactions for 07/05/22. Total proceeds and cost reported to the IRS.							
	44.690	35.08	05/03/22	104.91	69.83 W	0.00	1 of 29 - Sale <sup>25</sup>
	0.310	0.24	05/03/22	0.71	0.47 W	0.00	2 of 29 - Sale <sup>25</sup>
	0.690	0.54	05/03/22	1.55	1.01 W	0.00	3 of 29 - Sale <sup>25</sup>
	5.000	3.92	05/03/22	11.42	7.50 W	0.00	4 of 29 - Sale <sup>25</sup>
	10.000	7.85	05/03/22	22.51	14.66 W	0.00	5 of 29 - Sale <sup>25</sup>
	12.310	9.66	05/03/22	27.72	18.06 W	0.00	6 of 29 - Sale <sup>25</sup>
	16.690	13.10	05/03/22	37.59	24.49 W	0.00	7 of 29 - Sale <sup>25</sup>
	15.000	11.77	05/03/22	33.50	21.73 W	0.00	8 of 29 - Sale <sup>25</sup>
	44.690	35.08	05/03/22	99.27	64.19 W	0.00	9 of 29 - Sale <sup>25</sup>
	20.620	16.18	05/03/22	45.72	29.54 W	0.00	10 of 29 - Sale <sup>25</sup>
	70.000	54.94	05/03/22	155.56	100.62 W	0.00	11 of 29 - Sale <sup>25</sup>
	79.380	62.31	05/03/22	176.01	113.70 W	0.00	12 of 29 - Sale <sup>25</sup>
	25.620	20.11	05/03/22	55.65	35.54 W	0.00	13 of 29 - Sale <sup>25</sup>
	45.000	35.32	05/03/22	86.60	51.28 W	0.00	14 of 29 - Sale <sup>25</sup>
	10.000	7.70	05/03/22	19.21	11.51 W	0.00	15 of 29 - Sale <sup>25</sup>
	10.000	7.70	05/03/22	19.11	11.41 W	0.00	16 of 29 - Sale <sup>25</sup>
	80.000	61.59	05/03/22	153.95	92.36 W	0.00	17 of 29 - Sale <sup>25</sup>
	0.310	0.24	05/03/22	0.76	0.52 W	0.00	18 of 29 - Sale <sup>25</sup>
	25.000	19.25	05/03/22	61.87	42.62 W	0.00	19 of 29 - Sale <sup>25</sup>
	100.000	76.98	05/03/22	253.30	176.32 W	0.00	20 of 29 - Sale <sup>25</sup>
	100.000	76.98	05/03/22	254.16	177.18 W	0.00	21 of 29 - Sale <sup>25</sup>
	45.000	34.64	05/03/22	96.57	61.93 W	0.00	22 of 29 - Sale <sup>25</sup>
	54.000	41.57	05/03/22	115.90	74.33 W	0.00	23 of 29 - Sale <sup>25</sup>
	60.380	46.49	05/03/22	129.58	83.09 W	0.00	24 of 29 - Sale <sup>25</sup>
	15.310	11.79	05/03/22	32.55	20.76 W	0.00	25 of 29 - Sale <sup>25</sup>
	25.310	19.86	05/03/22	53.80	33.94 W	0.00	26 of 29 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 601034523

### Proceeds from Broker and Barter Exchange Transactions

2022 1099-B\* OMB No. 1545-0715

(continued)

02/01/2023

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

#### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TIZIANA LIFE SCIENCES LTD. COM MON SHARES / CUSIP: G88912103 / Symbol: (cont'd)							
	74.690	58.62	05/03/22	146.93	88.31 W	0.00	27 of 29 - Sale <sup>25</sup>
	200.000	156.98	05/04/22	373.09	216.11 W	0.00	28 of 29 - Sale <sup>25</sup>
	200.000	156.97	05/04/22	176.41	19.44 W	0.00	29 of 29 - Sale <sup>25</sup>
07/05/22	1,390.000	1,083.46	Various	2,745.91	1,662.45 W	0.00	Total of 29 transactions
	6 transactions for 07/08/22. Total proceeds and cost reported to the IRS.						
	100.000	82.99	05/05/22	90.00	...	-7.01	1 of 6 - Sale <sup>25</sup>
	40.000	33.20	05/05/22	36.00	...	-2.80	2 of 6 - Sale <sup>25</sup>
	100.000	82.99	05/06/22	89.28	...	-6.29	3 of 6 - Sale <sup>25</sup>
	60.000	49.79	05/06/22	53.56	...	-3.77	4 of 6 - Sale <sup>25</sup>
	20.000	16.60	05/06/22	17.85	...	-1.25	5 of 6 - Sale <sup>25</sup>
	80.000	66.39	05/10/22	68.46	...	-2.07	6 of 6 - Sale <sup>25</sup>
07/08/22	400.000	331.96	Various	355.15	...	-23.19	Total of 6 transactions
	2 transactions for 07/08/22. Total proceeds and cost reported to the IRS.						
	500.000	424.93	05/04/22	441.47	16.54 W	0.00	1 of 2 - Sale <sup>25</sup>
	100.000	82.99	05/05/22	90.00	5.61 W	-1.40	2 of 2 - Sale <sup>25</sup>
07/08/22	600.000	507.92	Various	531.47	22.15 W	-1.40	Total of 2 transactions
	10 transactions for 07/11/22. Total proceeds and cost reported to the IRS.						
	139.000	117.98	05/10/22	118.94	...	-0.96	1 of 10 - Sale <sup>25</sup>
	28.000	23.76	05/10/22	23.95	...	-0.19	2 of 10 - Sale <sup>25</sup>
	3.000	2.55	05/10/22	2.52	...	0.03	3 of 10 - Sale <sup>25</sup>
	230.000	195.21	05/13/22	180.78	...	14.43	4 of 10 - Sale <sup>25</sup>
	175.000	145.40	05/23/22	343.00	...	-197.60	5 of 10 - Sale <sup>25</sup>
	85.000	70.63	05/23/22	167.35	...	-96.72	6 of 10 - Sale <sup>25</sup>
	26.000	21.60	05/23/22	53.03	...	-31.43	7 of 10 - Sale <sup>25</sup>
	5.000	4.15	05/23/22	10.17	...	-6.02	8 of 10 - Sale <sup>25</sup>
	5.000	4.15	05/23/22	10.15	...	-6.00	9 of 10 - Sale <sup>25</sup>
	4.000	3.32	05/23/22	8.16	...	-4.84	10 of 10 - Sale <sup>25</sup>
07/11/22	700.000	588.75	Various	918.05	...	-329.30	Total of 10 transactions
	10 transactions for 07/12/22. Total proceeds and cost reported to the IRS.						
	10.000	8.71	05/23/22	19.60	...	-10.89	1 of 10 - Sale <sup>25</sup>
	10.000	8.70	05/23/22	7.00	...	1.70	2 of 10 - Sale <sup>25</sup>
	40.000	34.82	05/23/22	28.56	...	6.26	3 of 10 - Sale <sup>25</sup>
	100.000	83.31	05/23/22	70.02	...	13.29	4 of 10 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 601034523

### Proceeds from Broker and Barter Exchange Transactions

2022 1099-B\* OMB No. 1545-0715

(continued)

02/01/2023

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

#### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TIZIANA LIFE SCIENCES LTD. COM MON SHARES / CUSIP: G88912103 / Symbol: (cont'd)							
	90.000	78.34	05/23/22	64.25	...	14.09	5 of 10 - Sale <sup>25</sup>
	100.000	87.05	05/23/22	71.39	...	15.66	6 of 10 - Sale <sup>25</sup>
	250.000	217.62	05/23/22	175.45	...	42.17	7 of 10 - Sale <sup>25</sup>
	95.000	79.15	05/26/22	170.15	...	-91.00	8 of 10 - Sale <sup>25</sup>
	30.000	24.99	05/26/22	53.56	...	-28.57	9 of 10 - Sale <sup>25</sup>
	25.000	20.83	05/26/22	46.65	...	-25.82	10 of 10 - Sale <sup>25</sup>
07/12/22	750.000	643.52	Various	706.63	...	-63.11	Total of 10 transactions
	3 transactions for 07/13/22. Total proceeds and cost reported to the IRS.						
	40.000	34.00	05/26/22	71.42	...	-37.42	1 of 3 - Sale <sup>25</sup>
	35.000	29.74	05/26/22	65.65	...	-35.91	2 of 3 - Sale <sup>25</sup>
	25.000	21.25	05/26/22	46.90	...	-25.65	3 of 3 - Sale <sup>25</sup>
07/13/22	100.000	84.99	Various	183.97	...	-98.98	Total of 3 transactions
	6 transactions for 08/16/22. Total proceeds and cost reported to the IRS.						
	70.000	50.04	05/26/22	133.22	...	-83.18	1 of 6 - Sale <sup>25</sup>
	20.000	14.30	05/26/22	37.52	...	-23.22	2 of 6 - Sale <sup>25</sup>
	50.000	35.74	05/31/22	92.09	...	-56.35	3 of 6 - Sale <sup>25</sup>
	40.000	28.60	05/31/22	26.53	...	2.07	4 of 6 - Sale <sup>25</sup>
	100.000	71.49	05/31/22	66.30	...	5.19	5 of 6 - Sale <sup>25</sup>
	40.000	28.59	06/03/22	33.20	...	-4.61	6 of 6 - Sale <sup>25</sup>
08/16/22	320.000	228.76	Various	388.86	...	-160.10	Total of 6 transactions
	8 transactions for 09/16/22. Total proceeds and cost reported to the IRS.						
	30.000	20.40	06/03/22	24.90	...	-4.50	1 of 8 - Sale <sup>25</sup>
	42.000	25.82	06/22/22	83.13	...	-57.31	2 of 8 - Sale <sup>25</sup>
	10.000	6.15	06/22/22	19.95	...	-13.80	3 of 8 - Sale <sup>25</sup>
	3.000	1.89	06/22/22	5.94	...	-4.05	4 of 8 - Sale <sup>25</sup>
	70.000	43.04	06/29/22	134.71	...	-91.67	5 of 8 - Sale <sup>25</sup>
	10.000	6.15	06/29/22	19.21	...	-13.06	6 of 8 - Sale <sup>25</sup>
	10.000	6.15	06/29/22	19.11	...	-12.96	7 of 8 - Sale <sup>25</sup>
	54.690	30.07	06/30/22	105.43	...	-75.36	8 of 8 - Sale <sup>25</sup>
09/16/22	229.690	139.67	Various	412.38	...	-272.71	Total of 8 transactions
	8 transactions for 09/19/22. Total proceeds and cost reported to the IRS.						
	200.000	133.95	06/30/22	365.19	...	-231.24	1 of 8 - Sale <sup>25</sup>
	200.000	133.95	06/30/22	168.52	...	-34.57	2 of 8 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 601034523

**Proceeds from Broker and Barter Exchange Transactions**

2022 1099-B\* OMB No. 1545-0715

(continued)

02/01/2023

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TIZIANA LIFE SCIENCES LTD. COM MON SHARES / CUSIP: G88912103 / Symbol: (cont'd)							
	20.000	13.40	06/30/22	38.56	...	-25.16	3 of 8 - Sale <sup>25</sup>
	80.000	53.58	06/30/22	62.28	...	-8.70	4 of 8 - Sale <sup>25</sup>
	250.000	167.44	07/01/22	187.98	...	-20.54	5 of 8 - Sale <sup>25</sup>
	70.000	46.88	07/01/22	53.24	...	-6.36	6 of 8 - Sale <sup>25</sup>
	80.000	53.58	07/18/22	64.80	...	-11.22	7 of 8 - Sale <sup>25</sup>
	100.000	66.97	07/18/22	77.30	...	-10.33	8 of 8 - Sale <sup>25</sup>
09/19/22	1,000.000	669.75	Various	1,017.87	...	-348.12	Total of 8 transactions
	<b>Security total:</b>	<b>32,127.86</b>		<b>59,911.76</b>	<b>20,669.86 W</b>	<b>-7,114.04</b>	
<b>Totals :</b>		<b>61,045.20</b>		<b>99,192.25</b>	<b>25,827.75 W</b>	<b>-12,319.30</b>	

**LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TIZIANA LIFE SCIENCES LTD. COM MON SHARES / CUSIP: G88912103 / Symbol:							
23 transactions for 01/03/22. Total proceeds and cost reported to the IRS.							
	8.000	8.22	08/13/21	37.94	29.72 W	0.00	1 of 23 - Sale <sup>25</sup>
	11.000	11.30	08/13/21	52.40	41.10 W	0.00	2 of 23 - Sale <sup>25</sup>
	2.000	2.06	08/13/21	9.31	7.25 W	0.00	3 of 23 - Sale <sup>25</sup>
	2.000	2.05	08/13/21	7.24	5.19 W	0.00	4 of 23 - Sale <sup>25</sup>
	2.000	2.06	08/13/21	7.93	5.87 W	0.00	5 of 23 - Sale <sup>25</sup>
	2.000	2.05	08/13/21	6.74	4.69 W	0.00	6 of 23 - Sale <sup>25</sup>
	2.000	2.06	08/13/21	5.21	3.15 W	0.00	7 of 23 - Sale <sup>25</sup>
	11.000	11.30	08/13/21	29.29	17.99 W	0.00	8 of 23 - Sale <sup>25</sup>
	12.000	12.79	08/13/21	31.96	19.17 W	0.00	9 of 23 - Sale <sup>25</sup>
	21.000	22.39	08/13/21	55.93	33.54 W	0.00	10 of 23 - Sale <sup>25</sup>
	2.000	2.13	08/13/21	5.69	3.56 W	0.00	11 of 23 - Sale <sup>25</sup>
	13.000	13.86	08/13/21	35.66	21.80 W	0.00	12 of 23 - Sale <sup>25</sup>
	17.000	18.12	08/13/21	46.32	28.20 W	0.00	13 of 23 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 601034523

## Proceeds from Broker and Barter Exchange Transactions

2022 1099-B\* OMB No. 1545-0715

(continued)

02/01/2023

**LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TIZIANA LIFE SCIENCES LTD. COM MON SHARES / CUSIP: G88912103 / Symbol: (cont'd)							
	18.000	19.19	08/13/21	49.20	30.01 W	0.00	14 of 23 - Sale <sup>25</sup>
	4.000	4.26	08/13/21	11.18	6.92 W	0.00	15 of 23 - Sale <sup>25</sup>
	13.000	13.86	08/13/21	25.48	11.62 W	0.00	16 of 23 - Sale <sup>25</sup>
	10.000	10.90	08/13/21	19.60	8.70 W	0.00	17 of 23 - Sale <sup>25</sup>
	75.000	81.74	08/13/21	147.02	65.28 W	0.00	18 of 23 - Sale <sup>25</sup>
	25.000	27.25	08/13/21	57.57	30.32 W	0.00	19 of 23 - Sale <sup>25</sup>
	2.000	2.18	08/13/21	4.32	2.14 W	0.00	20 of 23 - Sale <sup>25</sup>
	13.000	14.17	08/13/21	25.87	11.70 W	0.00	21 of 23 - Sale <sup>25</sup>
	27.000	29.43	08/13/21	58.19	28.76 W	0.00	22 of 23 - Sale <sup>25</sup>
	48.000	52.31	08/13/21	103.45	51.14 W	0.00	23 of 23 - Sale <sup>25</sup>
01/03/22	340.000	365.68	Various	833.50	467.82 W	0.00	Total of 23 transactions
	13 transactions for 01/04/22. Total proceeds and cost reported to the IRS.						
	4.000	4.72	08/13/21	7.96	3.24 W	0.00	1 of 13 - Sale <sup>25</sup>
	2.000	2.36	08/13/21	3.98	1.62 W	0.00	2 of 13 - Sale <sup>25</sup>
	4.000	4.72	08/13/21	7.96	3.24 W	0.00	3 of 13 - Sale <sup>25</sup>
	4.000	4.72	08/13/21	7.96	3.24 W	0.00	4 of 13 - Sale <sup>25</sup>
	5.000	5.90	08/13/21	9.95	4.05 W	0.00	5 of 13 - Sale <sup>25</sup>
	1.000	1.18	08/13/21	1.99	0.81 W	0.00	6 of 13 - Sale <sup>25</sup>
	75.000	88.49	08/16/21	146.86	58.37 W	0.00	7 of 13 - Sale <sup>25</sup>
	13.000	15.34	08/19/21	23.95	8.61 W	0.00	8 of 13 - Sale <sup>25</sup>
	11.000	12.98	08/19/21	20.27	7.29 W	0.00	9 of 13 - Sale <sup>25</sup>
	3.000	3.54	08/19/21	5.53	1.99 W	0.00	10 of 13 - Sale <sup>25</sup>
	23.000	27.14	08/19/21	42.39	15.25 W	0.00	11 of 13 - Sale <sup>25</sup>
	4.000	4.72	08/19/21	7.76	3.04 W	0.00	12 of 13 - Sale <sup>25</sup>
	20.000	23.60	08/19/21	38.65	15.05 W	0.00	13 of 13 - Sale <sup>25</sup>
01/04/22	169.000	199.41	Various	325.21	125.80 W	0.00	Total of 13 transactions
	27 transactions for 01/27/22. Total proceeds and cost reported to the IRS.						
	10.000	8.38	10/21/21	24.15	15.77 W	0.00	1 of 27 - Sale <sup>25</sup>
	1.000	0.84	10/21/21	3.72	2.88 W	0.00	2 of 27 - Sale <sup>25</sup>
	4.000	3.35	10/21/21	15.16	11.81 W	0.00	3 of 27 - Sale <sup>25</sup>
	13.000	10.89	10/21/21	48.36	37.47 W	0.00	4 of 27 - Sale <sup>25</sup>
	2.000	1.68	10/21/21	7.66	5.98 W	0.00	5 of 27 - Sale <sup>25</sup>
	1.000	0.84	10/21/21	3.67	2.83 W	0.00	6 of 27 - Sale <sup>25</sup>
	2.000	1.68	10/21/21	7.27	5.59 W	0.00	7 of 27 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 601034523

**Proceeds from Broker and Barter Exchange Transactions**

2022 1099-B\* OMB No. 1545-0715

(continued)

02/01/2023

**LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
TIZIANA LIFE SCIENCES LTD. COM MON SHARES / CUSIP: G88912103 / Symbol: (cont'd)							
	12.000	10.05	10/21/21	43.60	33.55 W	0.00	8 of 27 - Sale <sup>25</sup>
	20.310	17.01	10/21/21	49.05	32.04 W	0.00	9 of 27 - Sale <sup>25</sup>
	15.000	12.57	10/21/21	35.62	23.05 W	0.00	10 of 27 - Sale <sup>25</sup>
	15.000	12.57	10/21/21	35.62	23.05 W	0.00	11 of 27 - Sale <sup>25</sup>
	50.000	41.88	10/21/21	116.76	74.88 W	0.00	12 of 27 - Sale <sup>25</sup>
	70.000	58.64	10/21/21	166.25	107.61 W	0.00	13 of 27 - Sale <sup>25</sup>
	84.690	70.95	10/21/21	204.52	133.57 W	0.00	14 of 27 - Sale <sup>25</sup>
	65.310	54.71	10/21/21	172.31	117.60 W	0.00	15 of 27 - Sale <sup>25</sup>
	60.310	50.53	10/21/21	160.93	110.40 W	0.00	16 of 27 - Sale <sup>25</sup>
	39.690	33.25	10/21/21	104.72	71.47 W	0.00	17 of 27 - Sale <sup>25</sup>
	8.690	7.28	10/21/21	23.19	15.91 W	0.00	18 of 27 - Sale <sup>25</sup>
	91.310	76.50	10/21/21	243.66	167.16 W	0.00	19 of 27 - Sale <sup>25</sup>
	19.690	16.34	10/21/21	52.54	36.20 W	0.00	20 of 27 - Sale <sup>25</sup>
	5.000	4.15	10/21/21	15.00	10.85 W	0.00	21 of 27 - Sale <sup>25</sup>
	15.000	12.45	10/21/21	45.37	32.92 W	0.00	22 of 27 - Sale <sup>25</sup>
	20.000	16.60	10/21/21	60.89	44.29 W	0.00	23 of 27 - Sale <sup>25</sup>
	30.000	24.90	10/21/21	90.01	65.11 W	0.00	24 of 27 - Sale <sup>25</sup>
	150.000	124.48	10/21/21	453.74	329.26 W	0.00	25 of 27 - Sale <sup>25</sup>
	30.000	24.90	10/21/21	80.70	55.80 W	0.00	26 of 27 - Sale <sup>25</sup>
	15.000	12.45	10/21/21	43.77	31.32 W	0.00	27 of 27 - Sale <sup>25</sup>
01/27/22	850.000	709.87	Various	2,308.24	1,598.37 W	0.00	Total of 27 transactions
	16 transactions for 02/08/22. Total proceeds and cost reported to the IRS.						
	5.000	3.85	10/22/21	16.96	13.11 W	0.00	1 of 16 - Sale <sup>25</sup>
	8.000	6.16	10/22/21	26.97	20.81 W	0.00	2 of 16 - Sale <sup>25</sup>
	2.000	1.54	10/22/21	6.67	5.13 W	0.00	3 of 16 - Sale <sup>25</sup>
	3.000	2.31	10/22/21	9.87	7.56 W	0.00	4 of 16 - Sale <sup>25</sup>
	5.000	3.85	10/22/21	17.96	14.11 W	0.00	5 of 16 - Sale <sup>25</sup>
	5.000	3.85	10/22/21	17.86	14.01 W	0.00	6 of 16 - Sale <sup>25</sup>
	12.000	9.24	10/22/21	41.68	32.44 W	0.00	7 of 16 - Sale <sup>25</sup>
	3.000	2.31	10/22/21	10.21	7.90 W	0.00	8 of 16 - Sale <sup>25</sup>
	5.000	3.85	10/22/21	18.86	15.01 W	0.00	9 of 16 - Sale <sup>25</sup>
	15.000	11.55	10/22/21	56.60	45.05 W	0.00	10 of 16 - Sale <sup>25</sup>
	4.000	3.08	10/22/21	19.41	16.33 W	0.00	11 of 16 - Sale <sup>25</sup>
	6.000	4.62	10/22/21	29.19	24.57 W	0.00	12 of 16 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 601034523

**Proceeds from Broker and Barter Exchange Transactions**

2022 1099-B\* OMB No. 1545-0715

(continued)

02/01/2023

**LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
TIZIANA LIFE SCIENCES LTD. COM MON SHARES / CUSIP: G88912103 / Symbol: (cont'd)							
	11.000	8.47	10/22/21	52.63	44.16 W	0.00	13 of 16 - Sale <sup>25</sup>
	12.000	9.24	10/22/21	59.22	49.98 W	0.00	14 of 16 - Sale <sup>25</sup>
	16.000	12.31	10/22/21	77.65	65.34 W	0.00	15 of 16 - Sale <sup>25</sup>
	28.000	21.55	10/22/21	136.50	114.95 W	0.00	16 of 16 - Sale <sup>25</sup>
02/08/22	140.000	107.78	Various	598.24	490.46 W	0.00	Total of 16 transactions
<i>39 transactions for 03/03/22. Total proceeds and cost reported to the IRS.</i>							
	8.000	7.04	12/14/21	37.79	30.75 W	0.00	1 of 39 - Sale <sup>25</sup>
	11.000	9.68	12/14/21	52.20	42.52 W	0.00	2 of 39 - Sale <sup>25</sup>
	2.000	1.76	12/14/21	9.27	7.51 W	0.00	3 of 39 - Sale <sup>25</sup>
	2.000	1.76	12/14/21	7.21	5.45 W	0.00	4 of 39 - Sale <sup>25</sup>
	2.000	1.76	12/14/21	7.89	6.13 W	0.00	5 of 39 - Sale <sup>25</sup>
	2.000	1.76	12/14/21	6.71	4.95 W	0.00	6 of 39 - Sale <sup>25</sup>
	2.000	1.76	12/14/21	5.17	3.41 W	0.00	7 of 39 - Sale <sup>25</sup>
	11.000	9.68	12/14/21	29.09	19.41 W	0.00	8 of 39 - Sale <sup>25</sup>
	12.000	10.56	12/14/21	31.28	20.72 W	0.00	9 of 39 - Sale <sup>25</sup>
	21.000	18.48	12/14/21	54.73	36.25 W	0.00	10 of 39 - Sale <sup>25</sup>
	2.000	1.76	12/14/21	5.58	3.82 W	0.00	11 of 39 - Sale <sup>25</sup>
	13.000	11.44	12/14/21	34.92	23.48 W	0.00	12 of 39 - Sale <sup>25</sup>
	17.000	14.96	12/14/21	45.36	30.40 W	0.00	13 of 39 - Sale <sup>25</sup>
	18.000	15.83	12/14/21	48.18	32.35 W	0.00	14 of 39 - Sale <sup>25</sup>
	4.000	3.52	12/14/21	10.96	7.44 W	0.00	15 of 39 - Sale <sup>25</sup>
	10.000	8.80	12/14/21	18.79	9.99 W	0.00	16 of 39 - Sale <sup>25</sup>
	13.000	11.43	12/14/21	24.74	13.31 W	0.00	17 of 39 - Sale <sup>25</sup>
	5.310	4.67	12/14/21	9.98	5.31 W	0.00	18 of 39 - Sale <sup>25</sup>
	69.690	62.01	12/14/21	130.99	68.98 W	0.00	19 of 39 - Sale <sup>25</sup>
	1.000	0.89	12/14/21	1.82	0.93 W	0.00	20 of 39 - Sale <sup>25</sup>
	2.000	1.78	12/14/21	3.64	1.86 W	0.00	21 of 39 - Sale <sup>25</sup>
	4.000	3.56	12/14/21	7.28	3.72 W	0.00	22 of 39 - Sale <sup>25</sup>
	4.000	3.56	12/14/21	7.28	3.72 W	0.00	23 of 39 - Sale <sup>25</sup>
	4.000	3.56	12/14/21	7.28	3.72 W	0.00	24 of 39 - Sale <sup>25</sup>
	5.000	4.45	12/14/21	9.10	4.65 W	0.00	25 of 39 - Sale <sup>25</sup>
	25.000	22.25	12/14/21	55.55	33.30 W	0.00	26 of 39 - Sale <sup>25</sup>
	2.000	1.78	12/14/21	4.16	2.38 W	0.00	27 of 39 - Sale <sup>25</sup>
	13.000	11.57	12/14/21	24.82	13.25 W	0.00	28 of 39 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 601034523

## Proceeds from Broker and Barter Exchange Transactions

2022 1099-B\* OMB No. 1545-0715

(continued)

02/01/2023

**LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TIZIANA LIFE SCIENCES LTD. COM MON SHARES / CUSIP: G88912103 / Symbol: (cont'd)							
	27.000	24.02	12/14/21	56.01	31.99 W	0.00	29 of 39 - Sale <sup>25</sup>
	48.000	42.71	12/14/21	99.58	56.87 W	0.00	30 of 39 - Sale <sup>25</sup>
	45.310	40.32	12/14/21	80.98	40.66 W	0.00	31 of 39 - Sale <sup>25</sup>
	29.690	26.12	12/14/21	53.07	26.95 W	0.00	32 of 39 - Sale <sup>25</sup>
	3.000	2.64	12/14/21	5.02	2.38 W	0.00	33 of 39 - Sale <sup>25</sup>
	11.000	9.68	12/14/21	18.39	8.71 W	0.00	34 of 39 - Sale <sup>25</sup>
	13.000	11.44	12/14/21	21.73	10.29 W	0.00	35 of 39 - Sale <sup>25</sup>
	23.000	20.24	12/14/21	38.45	18.21 W	0.00	36 of 39 - Sale <sup>25</sup>
	5.000	4.40	12/14/21	8.80	4.40 W	0.00	37 of 39 - Sale <sup>25</sup>
	4.000	3.52	01/05/22	7.24	3.72 W	0.00	38 of 39 - Sale <sup>25</sup>
	15.000	13.20	01/05/22	27.04	13.84 W	0.00	39 of 39 - Sale <sup>25</sup>
03/03/22	509.000	450.35	Various	1,108.08	657.73 W	0.00	Total of 39 transactions
	36 transactions for 03/10/22. Total proceeds and cost reported to the IRS.						
	95.310	95.29	01/24/22	280.22	...	-184.93	1 of 36 - Sale <sup>25</sup>
	91.310	91.30	01/24/22	235.19	...	-143.89	2 of 36 - Sale <sup>25</sup>
	60.310	60.30	01/24/22	155.33	...	-95.03	3 of 36 - Sale <sup>25</sup>
	65.310	65.96	01/24/22	160.70	...	-94.74	4 of 36 - Sale <sup>25</sup>
	44.690	44.68	01/24/22	129.24	...	-84.56	5 of 36 - Sale <sup>25</sup>
	60.000	58.19	01/24/22	141.43	...	-83.24	6 of 36 - Sale <sup>25</sup>
	50.000	49.99	01/24/22	127.28	...	-77.29	7 of 36 - Sale <sup>25</sup>
	50.000	49.99	01/24/22	113.88	...	-63.89	8 of 36 - Sale <sup>25</sup>
	39.690	39.69	01/24/22	101.04	...	-61.35	9 of 36 - Sale <sup>25</sup>
	45.000	44.99	01/24/22	104.28	...	-59.29	10 of 36 - Sale <sup>25</sup>
	25.310	25.31	01/24/22	72.56	...	-47.25	11 of 36 - Sale <sup>25</sup>
	20.000	20.00	01/24/22	59.19	...	-39.19	12 of 36 - Sale <sup>25</sup>
	13.000	12.65	01/24/22	47.61	...	-34.96	13 of 36 - Sale <sup>25</sup>
	24.690	24.02	01/24/22	58.20	...	-34.18	14 of 36 - Sale <sup>25</sup>
	25.000	24.25	01/24/22	57.93	...	-33.68	15 of 36 - Sale <sup>25</sup>
	12.000	11.67	01/24/22	42.91	...	-31.24	16 of 36 - Sale <sup>25</sup>
	19.690	19.69	01/24/22	50.87	...	-31.18	17 of 36 - Sale <sup>25</sup>
	19.690	19.89	01/24/22	50.40	...	-30.51	18 of 36 - Sale <sup>25</sup>
	20.310	19.76	01/24/22	47.88	...	-28.12	19 of 36 - Sale <sup>25</sup>
	15.000	15.15	01/24/22	41.82	...	-26.67	20 of 36 - Sale <sup>25</sup>
	15.310	15.31	01/24/22	39.51	...	-24.20	21 of 36 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 601034523

**Proceeds from Broker and Barter Exchange Transactions**

2022 1099-B\* OMB No. 1545-0715

(continued)

02/01/2023

**LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Additional information
TIZIANA LIFE SCIENCES LTD. COM MON SHARES / CUSIP: G88912103 / Symbol: (cont'd)							
	14.690	14.84	01/24/22	36.14	...	-21.30	22 of 36 - Sale <sup>25</sup>
	15.000	14.55	01/24/22	34.75	...	-20.20	23 of 36 - Sale <sup>25</sup>
	10.310	10.31	01/24/22	30.31	...	-20.00	24 of 36 - Sale <sup>25</sup>
	15.000	15.00	01/24/22	34.75	...	-19.75	25 of 36 - Sale <sup>25</sup>
	10.000	10.00	01/24/22	29.11	...	-19.11	26 of 36 - Sale <sup>25</sup>
	10.310	10.41	01/24/22	26.37	...	-15.96	27 of 36 - Sale <sup>25</sup>
	8.690	8.69	01/24/22	22.38	...	-13.69	28 of 36 - Sale <sup>25</sup>
	4.000	3.89	01/24/22	14.93	...	-11.04	29 of 36 - Sale <sup>25</sup>
	5.000	5.05	01/24/22	14.33	...	-9.28	30 of 36 - Sale <sup>25</sup>
	4.690	4.69	01/24/22	13.78	...	-9.09	31 of 36 - Sale <sup>25</sup>
	4.690	4.74	01/24/22	13.45	...	-8.71	32 of 36 - Sale <sup>25</sup>
	2.000	1.95	01/24/22	7.54	...	-5.59	33 of 36 - Sale <sup>25</sup>
	2.000	1.95	01/24/22	7.15	...	-5.20	34 of 36 - Sale <sup>25</sup>
	1.000	0.97	01/24/22	3.66	...	-2.69	35 of 36 - Sale <sup>25</sup>
	1.000	0.97	01/24/22	3.61	...	-2.64	36 of 36 - Sale <sup>25</sup>
03/10/22	920.000	916.09	Various	2,409.73	...	-1,493.64	Total of 36 transactions
03/10/22	10.000	9.73	01/24/22	23.57	13.84 W	0.00	Sale <sup>25</sup>
17 transactions for 03/11/22. Total proceeds and cost reported to the IRS.							
	28.000	27.99	02/01/22	137.88	...	-109.89	1 of 17 - Sale <sup>25</sup>
	16.000	16.00	02/01/22	78.45	...	-62.45	2 of 17 - Sale <sup>25</sup>
	12.000	12.00	02/01/22	59.81	...	-47.81	3 of 17 - Sale <sup>25</sup>
	15.000	15.00	02/01/22	57.33	...	-42.33	4 of 17 - Sale <sup>25</sup>
	9.690	9.59	02/01/22	46.96	...	-37.37	5 of 17 - Sale <sup>25</sup>
	12.000	12.00	02/01/22	42.27	...	-30.27	6 of 17 - Sale <sup>25</sup>
	6.000	6.00	02/01/22	29.48	...	-23.48	7 of 17 - Sale <sup>25</sup>
	8.000	8.00	02/01/22	27.36	...	-19.36	8 of 17 - Sale <sup>25</sup>
	4.000	4.00	02/01/22	19.61	...	-15.61	9 of 17 - Sale <sup>25</sup>
	5.000	5.00	02/01/22	19.10	...	-14.10	10 of 17 - Sale <sup>25</sup>
	5.000	5.00	02/01/22	18.20	...	-13.20	11 of 17 - Sale <sup>25</sup>
	5.000	5.00	02/01/22	18.11	...	-13.11	12 of 17 - Sale <sup>25</sup>
	5.000	5.00	02/01/22	17.20	...	-12.20	13 of 17 - Sale <sup>25</sup>
	3.000	3.00	02/01/22	10.36	...	-7.36	14 of 17 - Sale <sup>25</sup>
	3.000	3.00	02/01/22	10.02	...	-7.02	15 of 17 - Sale <sup>25</sup>
	1.310	1.31	02/01/22	6.33	...	-5.02	16 of 17 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 601034523

### Proceeds from Broker and Barter Exchange Transactions

2022 1099-B\* OMB No. 1545-0715

(continued)

02/01/2023

#### LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

#### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
TIZIANA LIFE SCIENCES LTD. COM MON SHARES / CUSIP: G88912103 / Symbol: (cont'd)							
	2.000	2.00	02/01/22	6.77	...	-4.77	17 of 17 - Sale <sup>25</sup>
03/11/22	140.000	139.89	Various	605.24	...	-465.35	Total of 17 transactions
	40 transactions for 03/17/22. Total proceeds and cost reported to the IRS.						
	48.000	52.79	03/15/22	101.55	...	-48.76	1 of 40 - Sale <sup>25</sup>
	11.000	12.10	03/15/22	52.79	...	-40.69	2 of 40 - Sale <sup>25</sup>
	44.690	49.15	03/15/22	85.83	...	-36.68	3 of 40 - Sale <sup>25</sup>
	45.310	49.83	03/15/22	82.84	...	-33.01	4 of 40 - Sale <sup>25</sup>
	21.000	23.10	03/15/22	55.86	...	-32.76	5 of 40 - Sale <sup>25</sup>
	8.000	8.80	03/15/22	38.22	...	-29.42	6 of 40 - Sale <sup>25</sup>
	18.000	19.80	03/15/22	49.16	...	-29.36	7 of 40 - Sale <sup>25</sup>
	25.000	27.50	03/15/22	56.57	...	-29.07	8 of 40 - Sale <sup>25</sup>
	17.000	18.70	03/15/22	46.27	...	-27.57	9 of 40 - Sale <sup>25</sup>
	27.000	29.70	03/15/22	57.12	...	-27.42	10 of 40 - Sale <sup>25</sup>
	29.690	32.65	03/15/22	54.59	...	-21.94	11 of 40 - Sale <sup>25</sup>
	13.000	14.30	03/15/22	35.62	...	-21.32	12 of 40 - Sale <sup>25</sup>
	25.000	27.50	03/15/22	48.09	...	-20.59	13 of 40 - Sale <sup>25</sup>
	12.000	13.20	03/15/22	31.92	...	-18.72	14 of 40 - Sale <sup>25</sup>
	11.000	12.10	03/15/22	29.68	...	-17.58	15 of 40 - Sale <sup>25</sup>
	23.000	25.30	03/15/22	39.62	...	-14.32	16 of 40 - Sale <sup>25</sup>
	15.000	16.50	03/15/22	27.80	...	-11.30	17 of 40 - Sale <sup>25</sup>
	13.000	14.30	03/15/22	25.45	...	-11.15	18 of 40 - Sale <sup>25</sup>
	13.000	14.30	03/15/22	25.35	...	-11.05	19 of 40 - Sale <sup>25</sup>
	10.000	11.00	03/15/22	19.33	...	-8.33	20 of 40 - Sale <sup>25</sup>
	13.000	14.30	03/15/22	22.39	...	-8.09	21 of 40 - Sale <sup>25</sup>
	2.000	2.20	03/15/22	9.38	...	-7.18	22 of 40 - Sale <sup>25</sup>
	11.000	12.10	03/15/22	18.95	...	-6.85	23 of 40 - Sale <sup>25</sup>
	4.000	4.40	03/15/22	11.17	...	-6.77	24 of 40 - Sale <sup>25</sup>
	2.000	2.20	03/15/22	8.00	...	-5.80	25 of 40 - Sale <sup>25</sup>
	2.000	2.20	03/15/22	7.32	...	-5.12	26 of 40 - Sale <sup>25</sup>
	2.000	2.20	03/15/22	6.82	...	-4.62	27 of 40 - Sale <sup>25</sup>
	5.310	5.84	03/15/22	10.27	...	-4.43	28 of 40 - Sale <sup>25</sup>
	5.000	5.50	03/15/22	9.30	...	-3.80	29 of 40 - Sale <sup>25</sup>
	5.000	5.50	03/15/22	9.05	...	-3.55	30 of 40 - Sale <sup>25</sup>
	2.000	2.20	03/15/22	5.69	...	-3.49	31 of 40 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 601034523

**Proceeds from Broker and Barter Exchange Transactions**

2022 1099-B\* OMB No. 1545-0715

(continued)

02/01/2023

**LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
TIZIANA LIFE SCIENCES LTD. COM MON SHARES / CUSIP: G88912103 / Symbol: (cont'd)							
	2.000	2.20	03/15/22	5.28	...	-3.08	32 of 40 - Sale <sup>25</sup>
	4.000	4.40	03/15/22	7.44	...	-3.04	33 of 40 - Sale <sup>25</sup>
	4.000	4.40	03/15/22	7.44	...	-3.04	34 of 40 - Sale <sup>25</sup>
	4.000	4.40	03/15/22	7.44	...	-3.04	35 of 40 - Sale <sup>25</sup>
	4.000	4.40	03/15/22	7.44	...	-3.04	36 of 40 - Sale <sup>25</sup>
	2.000	2.20	03/15/22	4.24	...	-2.04	37 of 40 - Sale <sup>25</sup>
	3.000	3.30	03/15/22	5.17	...	-1.87	38 of 40 - Sale <sup>25</sup>
	2.000	2.20	03/15/22	3.72	...	-1.52	39 of 40 - Sale <sup>25</sup>
	1.000	1.10	03/15/22	1.86	...	-0.76	40 of 40 - Sale <sup>25</sup>
03/17/22	509.000	559.86	Various	1,132.03	...	-572.17	Total of 40 transactions
04/11/22	10.000	10.30	04/05/22	24.54	14.24 W	0.00	Sale <sup>25</sup>
06/13/22	10.000	7.77	05/03/22	24.34	16.57 W	0.00	Sale <sup>25</sup>
9 transactions for 07/11/22. Total proceeds and cost reported to the IRS.							
	54.690	45.44	05/16/22	138.06	...	-92.62	1 of 9 - Sale <sup>25</sup>
	44.690	37.93	05/16/22	113.11	...	-75.18	2 of 9 - Sale <sup>25</sup>
	35.000	29.08	05/16/22	88.36	...	-59.28	3 of 9 - Sale <sup>25</sup>
	30.310	25.73	05/16/22	76.52	...	-50.79	4 of 9 - Sale <sup>25</sup>
	15.000	12.73	05/16/22	37.96	...	-25.23	5 of 9 - Sale <sup>25</sup>
	10.000	8.49	05/16/22	25.07	...	-16.58	6 of 9 - Sale <sup>25</sup>
	10.310	8.57	05/16/22	24.95	...	-16.38	7 of 9 - Sale <sup>25</sup>
	70.000	58.16	05/23/22	165.19	...	-107.03	8 of 9 - Sale <sup>25</sup>
	30.000	24.93	05/23/22	70.51	...	-45.58	9 of 9 - Sale <sup>25</sup>
07/11/22	300.000	251.06	Various	739.73	...	-488.67	Total of 9 transactions
08/16/22	10.000	7.15	05/31/22	21.55	...	-14.40	Sale <sup>25</sup>
29 transactions for 09/16/22. Total proceeds and cost reported to the IRS.							
	44.690	30.38	06/14/22	104.01	...	-73.63	1 of 29 - Sale <sup>25</sup>
	44.690	28.19	06/14/22	98.37	...	-70.18	2 of 29 - Sale <sup>25</sup>
	15.000	9.46	06/14/22	33.21	...	-23.75	3 of 29 - Sale <sup>25</sup>
	14.690	9.27	06/14/22	32.80	...	-23.53	4 of 29 - Sale <sup>25</sup>
	12.310	8.37	06/14/22	27.48	...	-19.11	5 of 29 - Sale <sup>25</sup>
	10.000	6.31	06/14/22	22.31	...	-16.00	6 of 29 - Sale <sup>25</sup>
	5.000	3.40	06/14/22	11.32	...	-7.92	7 of 29 - Sale <sup>25</sup>
	2.000	1.36	06/14/22	4.46	...	-3.10	8 of 29 - Sale <sup>25</sup>
	0.690	0.47	06/14/22	1.54	...	-1.07	9 of 29 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 601034523

**Proceeds from Broker and Barter Exchange Transactions**

2022 1099-B\* OMB No. 1545-0715

(continued)

02/01/2023

**LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
TIZIANA LIFE SCIENCES LTD. COM MON SHARES / CUSIP: G88912103 / Symbol: (cont'd)							
	0.620	0.39	06/14/22	1.36	...	-0.97	10 of 29 - Sale <sup>25</sup>
	0.310	0.21	06/14/22	0.71	...	-0.50	11 of 29 - Sale <sup>25</sup>
	79.380	50.08	06/22/22	180.36	...	-130.28	12 of 29 - Sale <sup>25</sup>
	70.000	44.16	06/22/22	159.41	...	-115.25	13 of 29 - Sale <sup>25</sup>
	25.620	16.16	06/22/22	57.05	...	-40.89	14 of 29 - Sale <sup>25</sup>
	20.000	12.62	06/22/22	45.45	...	-32.83	15 of 29 - Sale <sup>25</sup>
	100.000	61.49	06/29/22	254.68	...	-193.19	16 of 29 - Sale <sup>25</sup>
	79.690	49.00	06/29/22	201.87	...	-152.87	17 of 29 - Sale <sup>25</sup>
	20.310	12.49	06/29/22	51.55	...	-39.06	18 of 29 - Sale <sup>25</sup>
	20.000	12.30	06/29/22	49.50	...	-37.20	19 of 29 - Sale <sup>25</sup>
	5.000	3.07	06/29/22	12.37	...	-9.30	20 of 29 - Sale <sup>25</sup>
	4.690	2.88	06/29/22	10.08	...	-7.20	21 of 29 - Sale <sup>25</sup>
	0.310	0.19	06/29/22	0.76	...	-0.57	22 of 29 - Sale <sup>25</sup>
	60.380	37.13	06/30/22	128.11	...	-90.98	23 of 29 - Sale <sup>25</sup>
	40.310	24.78	06/30/22	85.53	...	-60.75	24 of 29 - Sale <sup>25</sup>
	27.310	16.79	06/30/22	57.95	...	-41.16	25 of 29 - Sale <sup>25</sup>
	25.310	13.92	06/30/22	52.81	...	-38.89	26 of 29 - Sale <sup>25</sup>
	20.000	11.55	06/30/22	42.44	...	-30.89	27 of 29 - Sale <sup>25</sup>
	15.310	8.42	06/30/22	32.17	...	-23.75	28 of 29 - Sale <sup>25</sup>
	6.690	3.68	06/30/22	14.20	...	-10.52	29 of 29 - Sale <sup>25</sup>
09/16/22	770.310	478.52	Various	1,773.86	...	-1,295.34	Total of 29 transactions
	<b>Security total:</b>	<b>4,213.46</b>		<b>11,927.86</b>	<b>3,384.83 W</b>	<b>-4,329.57</b>	
<b>Totals :</b>		<b>4,213.46</b>		<b>11,927.86</b>	<b>3,384.83 W</b>	<b>-4,329.57</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for  
Robinhood Securities LLC  
2022

### Detail for Miscellaneous Information

Account 601034523

02/01/2023

<u>Security description</u>	<u>CUSIP and/or symbol</u>	<u>Date</u>	<u>Amount</u>	<u>Transaction type</u>	<u>Notes</u>
US Dollars	USDOLLARS	02/02/22	0.06	Other income	
			<b>0.06</b>	<b>Total Miscellaneous income</b>	

25 Tax lot closed on a first in first out basis.

---

**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.****1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

**Instructions for Recipient**

**Line 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

**1099-MISC Instructions for Recipient**

**Line 2.** Report royalties from oil, gas, or mineral properties; copyrights; and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the Schedule E (Form 1040) instructions. For royalties on timber, coal, and iron ore, see Pub. 544.

**Line 3.** Generally, report this amount on the "Other income" line on Form 1040 (or Form 1040NR) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).

**Line 4.** See "Backup Withholding" section.

**Line 8.** Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040).

**Line 13.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You may also have a filing requirement. See the Instructions for Form 8938.

**Lines 16-18.** Show state or local income tax withheld from the payments.

**Future developments.** For the latest information about developments related to Form 1099-MISC and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099MISC](http://www.irs.gov/Form1099MISC).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.