Robinhood Markets Inc 85 Willow Road Menlo Park, CA 94025 650-940-2700



Somwyasree Kallala 3342 Circle Brook Dr, Apt-J Roanoke, VA 24018 Master Account Number for Import: 889413241 Document ID for Import: FK1V4Q8JQ32

Enclosed is your 2022 Consolidated Tax Statement. 1099 Tax Forms for the following accounts are included in this package:

889413241 - Robinhood Securities LLC

889413241C - Robinhood Crypto LLC

**New for 2022 Tax Year** - Where applicable, 1099 Tax Forms for Robinhood Securities, Robinhood Crypto, and Robinhood Money are being consolidated into one package and reported under our parent entity, Robinhood Markets Inc. We have done this to ensure all forms that you need are provided at the same time in this consolidated package.

**Important** - Please utilize the Master Account Number and Document ID listed above if filing through tax service providers such as TurboTax or H&R Block. The Document ID is necessary in order to directly import your 1099 data into the tax software so that you do not need to manually enter each transaction. This year there is only one Master Account Number and Document ID that needs to be used to import all of your data across all accounts and 1099s (including crypto). Please note that tax software providers may have limits on the total number of transactions that can be directly imported using the Document ID.

**CSVs** - In addition, a CSV file which includes the same information that is reported on your 1099 forms will be provided. The CSV is not a separate 1099. It is being provided as a supplement in a format that may be more helpful if you have a large number of transactions or if you are not using the Document ID to import all of your Securities, Crypto and Money activity. Tax software providers may have limits on the total number of transactions that can be uploaded and CSV files may not be compatible with tax service providers such as TurboTax or H&R Block.

**Robinhood Retirements Accounts** - If you had any reportable distributions or contributions within a Robinhood Retirement account, your 2022 tax forms 1099-R and/or 5498 will be delivered separately. Retirement accounts will be reported under Robinhood Securities LLC and will have their own unique Doc IDs for import to tax software providers such as TurboTax or H&R Block.

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Robinhood Markets Inc. as agent for Robinhood Securities LLC		nformation ht 889413241	Statement Date:	02/01/2023	2022
85 Willow Road Menlo Park, CA 94025 Customer Service: 650-940-2700	Somwyasree Kallala 3342 Circle Brook Dr, Ap Roanoke, VA 24018	t-J			·
PAYER'S TIN: 46-4364776	RECIPIENT'S TIN: XXX-	XX-7143			
11 - [] FATCA filing requirement (see instructions)	Summary	Information		13 - [] FATCA filing requiren	nent (see instructions)
DIVIDENDS AND DISTRIBUTIONS 2022 1099-DIV	* OMB No. 1545-0110	MISCELLANEOUS	INFORMATION	2022 1099-MISC*	OMB No. 1545-011
<ul> <li>1a- Total ordinary dividends (includes lines 1b, 5, 2e)</li> <li>1b- Qualified dividends</li> <li>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)</li> <li>2b- Unrecaptured Section 1250 gain</li> <li>2c- Section 1202 gain</li> </ul>	0.00 0.00 0.00 0.00 0.00 0.00	2- Royalties 3- Other income <b>4- Federal income tax</b> 8- Substitute payments		r interest	0.00 0.00 <b>0.00</b> 0.00
2d- Collectibles (28%) gain	0.00	SECTION 1256 CON	NTRACTS	2022 1099-B*	OMB No. 1545-071
<ul> <li>2e- Section 897 ordinary dividends</li> <li>2f- Section 897 capital gain</li> <li>3- Nondividend distributions</li> <li>4- Federal income tax withheld</li> <li>5- Section 199A dividends</li> <li>6- Investment expenses</li> <li>8- Foreign country or US possession:</li> <li>7- Foreign tax paid:</li> <li>9- Cash liquidation distributions</li> <li>10- Noncash liquidation distributions</li> <li>12- Exempt-interest dividends (includes line 13)</li> <li>13- Specified private activity bond interest dividends (AMT)</li> </ul>	0.00		(loss) on open contrac (loss) on open contrac loss) on contracts ds from sale transactio nt sections of this doc on and is being furnis rn, a negligence pen	tts-12/31/2021 tts-12/31/2022 ons appear summarized belo ument. shed to the Internal Reven nalty or other sanction ma	nue Service. ay be imposed or

## SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	20,533.61	24,977.66	0.00	825.13	-3,618.92
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	20,533.61	24,977.66	0.00	825.13	-3,618.92
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	20,533.61	24,977.66	0.00	825.13	-3,618.92
Withholding		Amount				
Federal income	tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2022 may require an amended tax form.

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# **Summary Information**

2022

## (continued)

OMB No. 1545-0112

02/01/2023

Account 889413241

### INTEREST INCOME 2022 1099-INT

-INT

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

<ol> <li>Interest income (not included in line 3)</li> <li>Early withdrawal penalty</li> </ol>	0.00 0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
Tax-exempt obligations (covered lots)	0.00
Tax-exempt private activity obligations (AMT, covered lots)	0.00
14- Tax-exempt and tax credit bond CUSIP number	
FATCA filing requirement []	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nongualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00
	0.00

### STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

## ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

### **RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

### Robinhood Markets Inc. as agent for Robinhood Securities LLC

# Proceeds from Broker and Barter Exchange Transactions

02/01/2023

Account 889413241

### **2022 1099-B\*** OMB No. 1545-0715

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

### FATCA filing requirement []

### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*) "Gain or loss (-)" is NOT reported to the IRS.

1a-Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAL 02/04/2022 CALL \$16	6.00 / CUSIP: / Symbol:						
01/31/22	1.000	63.98	01/28/22	33.00		30.98	Option sale to close-call <sup>25</sup>
AAPL 04/08/2022 CALL \$	177.50 / CUSIP: / Symbol:						
04/08/22	1.000	0.00	04/05/22	136.00		-136.00	Option expiration- long call
AAPL 07/15/2022 PUT \$14	45.00 / CUSIP: / Symbol:						
	5 transactions for 07/14/	22. Total proceeds a	and cost repor	ted to the IRS.			
	2.000	45.99	07/14/22	154.01		-108.02	1 of 5 - Option sale to close-put <sup>25</sup>
	2.000	45.98	07/14/22	114.01		-68.03	2 of 5 - Option sale to close-put <sup>25</sup>
	2.000	45.99	07/14/22	100.00		-54.01	3 of 5 - Option sale to close-put <sup>25</sup>
	1.000	22.99	07/14/22	57.00		-34.01	4 of 5 - Option sale to close-put <sup>25</sup>
	1.000	22.99	07/14/22	50.00		-27.01	5 of 5 - Option sale to close-put <sup>25</sup>
07/14/22	8.000	183.94	Various	475.02		-291.08	Total of 5 transactions

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Robinhood Secur		Proceeds from	n Broker	and Barter	Exchange Transa	ctions	
2022 1099-B*	OMB No. 1545-0715			(continued)		02/0	1/2023
Report on Form 8949, "Gain or loss (-)" is NC	RANSACTIONS FOR COV Part I with Box A checked. Basis T reported to the IRS.			gains or losses	are identified in the Ad	ditional information c	olumn] (Lines 2 & 5)
1a- Description of pro	operty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 07/15/2022 PUT	T \$145.00 / CUSIP: / Symbol: (co	ont'd)					
	2 transactions for 07/1	,	and cost repor	ted to the IRS.			
07/14/22	2.000 3.000 5.000 Security total:	45.99 68.99 114.98 <b>298.92</b>	07/14/22 07/14/22 Various	100.00 150.00 250.00 <b>725.02</b>	54.01 W 81.01 W 135.02 W <b>135.02 W</b>	0.00 0.00 0.00 <b>-291.08</b>	1 of 2 - Option sale to close-put <sup>25</sup> 2 of 2 - Option sale to close-put <sup>25</sup> Total of 2 transactions
AAPL 07/15/2022 PUT	Г \$148.00 / CUSIP: / Symbol:						
	3 transactions for 07/1	5/22. Total proceeds a	and cost repor	ted to the IRS.			
	1.000 1.000 1.000	35.98 37.98 37.98	07/14/22 07/14/22 07/14/22	97.00 97.00 97.00		-61.02 -59.02 -59.02	1 of 3 - Option sale to close-put <sup>26</sup> 2 of 3 - Option sale to close-put <sup>26</sup> 3 of 3 - Option sale to close-put <sup>26</sup>
07/15/22	3.000	111.94	Various	291.00		-179.06	Total of 3 transactions
APL 09/23/2022 CAL	L \$150.00 / CUSIP: / Symbol:						
09/23/22	4.000	399.98	09/23/22	300.00		99.98	Option sale to close-call <sup>25</sup>
APL 09/30/2022 CAL	L \$142.00 / CUSIP: / Symbol:						
09/30/22	1.000	139.98	09/30/22	109.00		30.98	Option sale to close-call <sup>25</sup>
APL 09/30/2022 CAL	L \$145.00 / CUSIP: / Symbol:						
	2 transactions for 09/3	0/22. Total proceeds a	and cost repor	ted to the IRS.			
09/30/22	1.000 1.000 2.000	<i>15.99</i> <i>15.99</i> 31.98	09/29/22 09/29/22 Various	165.00 114.00 279.00	 	-149.01 -98.01 -247.02	1 of 2 - Option sale to close-call <sup>24</sup> 2 of 2 - Option sale to close-call <sup>24</sup> Total of 2 transactions
AAPL 09/30/2022 CAL	L \$150.00 / CUSIP: / Symbol:						
09/28/22	1.000	71.98	09/27/22	295.00		-223.02	Option sale to close-call <sup>25</sup>
APL 10/07/2022 CAL	L \$143.00 / CUSIP: / Symbol:						
10/03/22	1.000 <i>3 transactions for 10/0</i>	244.98 7/22. Total proceeds a	09/30/22 and cost repor	245.00 ted to the IRS.	0.02 W	0.00	Option sale to close-call <sup>25</sup>
10/07/22	5.000 2.000 1.000 8.000	9.98 3.99 2.00 15.97	10/07/22 10/07/22 10/07/22 Various	395.00 96.00 79.02 570.02	  	-385.02 -92.01 -77.02 -554.05	1 of 3 - Option sale to close-call <sup>24</sup> 2 of 3 - Option sale to close-call <sup>24</sup> 3 of 3 - Option sale to close-call <sup>24</sup> Total of 3 transactions

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Account 889413241

**Proceeds from Broker and Barter Exchange Transactions** 

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 889413241

02/01/2023

Page

# **Proceeds from Broker and Barter Exchange Transactions** (continued)

2022 **1099-B\*** OMB No. 1545-0715

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (*Lines 2 & 5*) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*)

"Gain or loss (-)" is NOT reported to the IRS.

AAPL 10/07/2022 CALL \$143.00 / CUSIP: / Symbol: (cont'd) Security total: 260.95 815.02 0.02 W -554.05 AAPL 10/07/2022 CALL \$145.00 / CUSIP: / Symbol: 10/07/22 1.000 29.98 10/06/22 160.00130.02 BABA 07/15/2022 CALL \$100.00 / CUSIP: / Symbol: 07/15/22 1.000 51.98 07/15/22 140.0088.02	
AAPL 10/07/2022 CALL \$145.00 / CUSIP: / Symbol: 10/07/22 1.000 29.98 10/06/22 160.00130.02 BABA 07/15/2022 CALL \$100.00 / CUSIP: / Symbol: 07/15/22 1.000 51.98 07/15/22 140.0088.02	•
AAPL 10/07/2022 CALL \$145.00 / CUSIP: / Symbol: 10/07/22 1.000 29.98 10/06/22 160.00130.02 BABA 07/15/2022 CALL \$100.00 / CUSIP: / Symbol: 07/15/22 1.000 51.98 07/15/22 140.0088.02	•
10/07/22       1.000       29.98       10/06/22       160.00        -130.02         BABA 07/15/2022 CALL \$100.00 / CUSIP: / Symbol:       07/15/22       1.000       51.98       07/15/22       140.00        -88.02	•
BABA 07/15/2022 CALL \$100.00 / CUSIP: / Symbol: 07/15/22 1.000 51.98 07/15/22 140.0088.02	•
07/15/22 1.000 51.98 07/15/22 140.0088.02	Option sale to close-call <sup>25</sup>
	Option sale to close-call <sup>25</sup>
BAC 07/22/2022 PUT \$31.00 / CUSIP: / Symbol:	
07/18/22 1.000 13.98 07/15/22 37.0023.02	Option sale to close-put <sup>25</sup>
CRWD 02/25/2022 CALL \$180.00 / CUSIP: / Symbol:	
02/22/22 1.000 71.98 02/17/22 400.00328.02	Option sale to close-call <sup>25</sup>
CRWD 05/06/2022 CALL \$195.00 / CUSIP: / Symbol:	
05/06/22 1.000 1.98 05/05/22 116.00114.02	Option sale to close-call <sup>25</sup>
CRWD 05/06/2022 CALL \$200.00 / CUSIP: / Symbol:	
05/06/22 1.000 0.00 05/04/22 130.00130.00	Option expiration- long call
CRWD 05/13/2022 CALL \$170.00 / CUSIP: / Symbol:	
05/13/22 1.000 0.00 05/09/22 160.00160.00	Option expiration- long call
FB 02/04/2022 CALL \$250.00 / CUSIP: / Symbol:	
02/04/22 1.000 25.98 02/03/22 509.02483.04	Option sale to close-call <sup>25</sup>
02/04/22 1.000 29.98 02/03/22 430.00 400.02 W 0.00	Option sale to close-call <sup>25</sup>
Security total:         55.96         939.02         400.02 W         -483.04	
FB 02/11/2022 CALL \$220.00 / CUSIP: / Symbol:	
02/08/22 1.000 484.98 02/08/22 385.00 99.98	Option sale to close-call <sup>25</sup>
FB 02/18/2022 CALL \$225.00 / CUSIP: / Symbol:	
02/09/22 1.000 884.98 02/09/22 650.00 234.98	Option sale to close-call <sup>25</sup>
FB 02/18/2022 CALL \$227.50 / CUSIP: / Symbol:	
02/15/22 1.000 124.98 02/14/22 216.0091.02	Option sale to close-call <sup>25</sup>

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

# **Proceeds from Broker and Barter Exchange Transactions** (continued)

2022 **1099-B\*** OMB No. 1545-0715

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (*Lines 2 & 5*) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP	/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FB 02/18/2022 CALL \$230.00 / CU		(-) ()					
02/11/22	1.000	250.98	02/10/22	515.00		-264.02	Option sale to close-call <sup>25</sup>
FB 04/01/2022 CALL \$225.00 / CU	SIP: / Symbol:						
04/01/22	1.000	249.98	03/31/22	150.00		99.98	Option sale to close-call <sup>25</sup>
GDRX 03/18/2022 CALL \$20.00 / C	USIP: / Symbol:						
03/18/22	1.000	0.00	03/01/22	50.00		-50.00	Option expiration- long call
KSS 03/18/2022 PUT \$60.00 / CUS	IP: / Symbol:						
01/25/22	1.000	479.98	01/24/22	460.00		19.98	Option sale to close-put <sup>25</sup>
MARA 07/22/2022 PUT \$8.00 / CU	SIP: / Symbol:						
07/22/22	1.000	0.00	07/18/22	14.00		-14.00	Option expiration- long put
META 07/15/2022 CALL \$165.00 /	CUSIP: / Symbol:						
07/15/22	1.000	26.98	07/14/22	14.00		12.98	Option sale to close-call <sup>25</sup>
META 07/15/2022 PUT \$160.00 / C	USIP: / Symbol:						
07/15/22	1.000	30.98	07/15/22	47.00		-16.02	Option sale to close-put <sup>25</sup>
META 09/30/2022 CALL \$140.00 /	CUSIP: / Symbol:						
09/27/22	1.000	106.98	09/26/22	287.00		-180.02	Option sale to close-call <sup>25</sup>
MRNA 01/07/2022 CALL \$240.00 /	CUSIP: / Symbol:						
2 1	transactions for 01/07	/22. Total proceeds a	and cost repo	rted to the IRS.			
	1.000	1.99	01/05/22	280.00		-278.01	1 of 2 - Option sale to close-call <sup>25</sup>
01/07/22	<i>1.000</i> 2.000	<i>1.99</i> 3.98	<i>01/05/22</i> Various	<i>102.00</i> 382.00		-100.01 -378.02	2 of 2 - Option sale to close-call <sup>25</sup> Total of 2 transactions
MSFT 02/18/2022 CALL \$295.00 / 0		0.00	Vanous	002.00		010.02	
	transactions for 02/17	/22. Total proceeds a	and cost repo	rted to the IRS.			
	1.000	239.99	02/17/22	216.00		23.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	239.99	02/17/22	216.00		23.99	2 of 2 - Option sale to close-call <sup>25</sup>
02/17/22	2.000	479.98	Various	432.00		47.98	Total of 2 transactions
MSFT 02/25/2022 PUT \$285.00 / C	,						
02/25/22	1.000	0.00	02/24/22	42.00		-42.00	Option expiration- long put

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Account 889413241

Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 889413241

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# **Proceeds from Broker and Barter Exchange Transactions** (continued)

2022 **1099-B\*** OMB No. 1545-0715

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (*Lines 2 & 5*) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*)

"Gain or loss (-)" is NOT reported to the IRS. 1a-Description of property/CUSIP/Symbol

1a- Description of property/0 1c- Date	COSIP/Symbol	1d- Proceeds &			1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or		6- Reported	1b- Date	1e- Cost or	1g- Wash sale loss	7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	also not reported (Z)	Additional information
MSFT 04/01/2022 CALL \$310	0.00 / CUSIP: / Symbol:						
03/29/22	1.000	429.98	03/29/22	331.00		98.98	Option sale to close-call <sup>25</sup>
MSFT 04/08/2022 CALL \$310	0.00 / CUSIP: / Symbol:						
04/04/22	1.000	509.98	04/01/22	242.00		267.98	Option sale to close-call <sup>25</sup>
MSFT 09/30/2022 CALL \$240	0.00 / CUSIP: / Symbol:						
09/28/22	1.000	204.98	09/27/22	200.00		4.98	Option sale to close-call <sup>25</sup>
NDAQ 01/21/2022 CALL \$210	0.00 / CUSIP: / Symbol:						
	2 transactions for 01/2	1/22. Total proceeds a	and cost repor	ted to the IRS.			
	1.000	0.00	01/03/22	115.00		-115.00	1 of 2 - Option expiration- long call
04/04/00	1.000	0.00	01/04/22	40.00		-40.00	2 of 2 - Option expiration- long call
01/21/22	2.000	0.00	Various	155.00		-155.00	Total of 2 transactions
NET 03/04/2022 CALL \$108.0		04.00	00/00/00	70.00		0.00	
03/03/22	1.000	81.98	03/03/22	72.00		9.98	Option sale to close-call <sup>25</sup>
NFLX 01/28/2022 CALL \$385							<b>O</b>
01/26/22	1.000	699.98	01/25/22	460.00		239.98	Option sale to close-call <sup>25</sup>
NFLX 01/28/2022 PUT \$375.0	,						
01/27/22	1.000	504.98	01/27/22	400.00		104.98	Option sale to close-put <sup>25</sup>
NFLX 02/18/2022 CALL \$410							
02/17/22	1.000	43.98	02/16/22	190.00		-146.02	Option sale to close-call <sup>25</sup>
NFLX 09/30/2022 CALL \$232	2.50 / CUSIP: / Symbol:						
09/26/22	1.000	599.97	09/23/22	380.00		219.97	Option sale to close-call <sup>25</sup>
09/27/22	1.000 Security total:	374.98 <b>974.95</b>	09/27/22	320.00 <b>700.00</b>		54.98 <b>274.95</b>	Option sale to close-call <sup>25</sup>
NFLX 09/30/2022 PUT \$235.0	•	514.55		700.00		214.55	
09/29/22	1.000	229.98	09/28/22	157.00		72.98	Option sale to close-put <sup>25</sup>
NFLX 09/30/2022 PUT \$240.0		223.30	03/20/22	137.00		12.90	
09/30/22	1.000	249.98	09/30/22	119.00		130.98	Option sale to close-put <sup>25</sup>
09/30/22	1.000	249.98	09/30/22	119.00		130.98	Option sale to close-put

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**Proceeds from Broker and Barter Exchange Transactions** (continued)

2022 1099-B\* OMB No. 1545-0715

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (*Lines 2 & 5*) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*)

"Gain or loss (-)" is NOT reported to the IRS.

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NFLX 10/07/2022 CALL \$2	235.00 / CUSIP: / Symbol:						
10/06/22 10/07/22	1.000 1.000 <b>Security total:</b>	699.97 8.98 <b>708.95</b>	10/05/22 10/07/22	250.00 16.00 <b>266.00</b>	  	449.97 -7.02 <b>442.95</b>	Option sale to close-call <sup>25</sup> Option sale to close-call <sup>25</sup>
NFLX 10/07/2022 CALL \$2	245.00 / CUSIP: / Symbol:						
10/04/22	1.000	324.98	10/04/22	270.00		54.98	Option sale to close-call <sup>25</sup>
NIO 03/04/2022 CALL \$27	.00 / CUSIP: / Symbol:						
03/04/22	1.000	0.00	02/17/22	88.00		-88.00	Option expiration- long call
NIO 04/08/2022 CALL \$25	.00 / CUSIP: / Symbol:						
04/08/22	1.000	0.00	04/05/22	23.00		-23.00	Option expiration- long call
NVDA 02/04/2022 CALL \$2	230.00 / CUSIP: / Symbol:						
01/28/22	1.000	449.98	01/27/22	710.00		-260.02	Option sale to close-call <sup>25</sup>
NVDA 02/11/2022 CALL \$2	255.00 / CUSIP: / Symbol:						
	2 transactions for 02/11	/22. Total proceeds a	and cost repor	ted to the IRS.			
	1.000	0.00	02/11/22	150.00		-150.00	1 of 2 - Option expiration- long call
02/11/22	1.000 2.000	<i>0.00</i> 0.00	<i>02/11/22</i> Various	58.00 208.00		<i>-58.00</i> -208.00	2 of 2 - Option expiration- long call Total of 2 transactions
NVDA 04/01/2022 CALL \$2		0.00	vanous	208.00		-208.00	
04/01/22	1.000	0.00	03/31/22	300.00		-300.00	Option expiration- long call
NVDA 04/01/2022 CALL \$2		0.00	00/01/22	000.00		000.00	
03/30/22	1.000	699.98	03/30/22	500.00		199.98	Option sale to close-call <sup>25</sup>
NVDA 04/01/2022 CALL \$2			00,00,22				
03/29/22	1.000	629.98	03/29/22	500.00		129.98	Option sale to close-call <sup>25</sup>
NVDA 04/08/2022 CALL \$2							
·	2 transactions for 04/08	/22. Total proceeds a	and cost repor	ted to the IRS.			
04/08/22	1.000 1.000 2.000	0.00 0.00 0.00	, 04/01/22 04/05/22 Various	580.00 258.00 838.00	 	-580.00 -258.00 -838.00	1 of 2 - Option expiration- long call 2 of 2 - Option expiration- long call Total of 2 transactions

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Account 889413241

Robinhood Markets Inc. as agent for
Robinhood Securities LLC

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# **Proceeds from Broker and Barter Exchange Transactions** (continued)

2022 **1099-B\*** OMB No. 1545-0715

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (*Lines 2 & 5*) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*)

"Gain or loss (-)" is NOT reported to the IRS. 1a-Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NVDA 04/08/2022 CALL \$	280.00 / CUSIP: / Symbol:						
03/31/22	1.000	929.98	03/30/22	795.00		134.98	Option sale to close-call <sup>25</sup>
NVDA 05/06/2022 CALL \$	195.00 / CUSIP: / Symbol:						
05/04/22	1.000 2 transactions for 05/0	334.98 )5/22. Total proceeds a	05/04/22 and cost repor	268.00 ted to the IRS.		66.98	Option sale to close-call <sup>25</sup>
	1.000	79.99	05/05/22	265.00		-185.01	1 of 2 - Option sale to close-call <sup>2</sup>
	1.000	79.99	05/05/22	255.00		-175.01	2 of 2 - Option sale to close-call <sup>2</sup>
05/05/22	2.000	159.98	Various	520.00		-360.02	Total of 2 transactions
	Security total:	494.96		788.00		-293.04	
NVDA 05/06/2022 CALL \$	200.00 / CUSIP: / Symbol:						
05/04/22	1.000	599.98	05/04/22	170.00		429.98	Option sale to close-call <sup>25</sup>
NVDA 09/30/2022 CALL \$	125.00 / CUSIP: / Symbol:						
09/23/22	1.000	359.98	09/23/22	380.00	20.02 W	0.00	Option sale to close-call <sup>25</sup>
09/27/22	1.000	429.98	09/26/22	330.02		99.96	Option sale to close-call <sup>25</sup>
09/30/22	1.000	64.98	09/29/22	103.00		-38.02	Option sale to close-call <sup>25</sup>
	Security total:	854.94		813.02	20.02 W	61.94	
PTON 01/28/2022 CALL \$	28.00 / CUSIP: / Symbol:						
01/28/22	1.000	0.00	01/25/22	73.00		-73.00	Option expiration- long call
PTON 02/04/2022 CALL \$	24.00 / CUSIP: / Symbol:						
02/04/22	1.000	64.98	02/04/22	31.00		33.98	Option sale to close-call <sup>25</sup>
PTON 04/14/2022 CALL \$	30.00 / CUSIP: / Symbol:						
02/01/22	1.000	374.98	01/26/22	340.00		34.98	Option sale to close-call <sup>25</sup>
02/07/22	1.000	649.98	02/01/22	389.00		260.98	Option sale to close-call <sup>25</sup>
	Security total:	1,024.96		729.00		295.96	
PYPL 02/04/2022 CALL \$	175.00 / CUSIP: / Symbol:						
02/01/22	1.000	579.98	02/01/22	440.00		139.98	Option sale to close-call <sup>25</sup>

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Proceeds from Broker and Barter Exchange Transactions (continued)

2022 1099-B\* OMB No. 1545-0715

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PYPL 04/14/2022 CALL \$*	165.00 / CUSIP: / Symbol:						
03/01/22 03/01/22	1.000 1.000 Security total:	19.98 19.98 <b>39.96</b>	02/09/22 02/02/22	298.02 263.00 <b>561.02</b>	 243.02 W <b>243.02 W</b>	-278.04 0.00 <b>-278.04</b>	Option sale to close-call <sup>25</sup> Option sale to close-call <sup>25</sup>
QS 01/20/2023 CALL \$20.	•	39.90		561.02	243.02 W	-278.04	
02/03/22 02/17/22	1.000 1.000 Security total:	444.98 484.98 <b>929.96</b>	01/28/22 02/10/22	339.00 465.00 <b>804.00</b>	 	105.98 19.98 <b>125.96</b>	Option sale to close-call <sup>25</sup> Option sale to close-call <sup>25</sup>
QS 02/11/2022 CALL \$17.	.00 / CUSIP: / Symbol:						
02/09/22	1.000	49.98	02/07/22	45.00		4.98	Option sale to close-call <sup>25</sup>
QS 02/18/2022 CALL \$17.	.00 / CUSIP: / Symbol:						
02/07/22	1.000	153.98	02/04/22	128.00		25.98	Option sale to close-call <sup>25</sup>
RIVN 02/25/2022 CALL \$6	67.00 / CUSIP: / Symbol:						
02/24/22 02/25/22	1.000 1.000 Security total:	34.98 0.00 <b>34.98</b>	02/22/22 02/23/22	62.00 81.02 <b>143.02</b>	27.02 W  27.02 W	0.00 -81.02 <b>-81.02</b>	Option sale to close-call <sup>25</sup> Option expiration- long call
RIVN 03/04/2022 CALL \$5	•						
03/04/22 RIVN 04/08/2022 CALL \$4	1.000 18.00 / CUSIP: / Symbol:	0.00	03/03/22	83.00		-83.00	Option expiration- long call
04/08/22	1.000	0.00	04/04/22	125.00		-125.00	Option expiration- long call
RIVN 06/17/2022 CALL \$8							, . ,
02/08/22	1.000	849.98	02/07/22	740.00		109.98	Option sale to close-call <sup>25</sup>
ROKU 02/04/2022 CALL \$	165.00 / CUSIP: / Symbol:						•
02/01/22	1.000	599.98	02/01/22	465.00		134.98	Option sale to close-call <sup>25</sup>

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Account 889413241

Robinhood Markets Inc. as agent for
Robinhood Securities LLC

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# **Proceeds from Broker and Barter Exchange Transactions** (continued)

2022 **1099-B\*** OMB No. 1545-0715

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (*Lines 2 & 5*) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description o	f propert	y/CUSIP/Syr	nbol
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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SKLZ 01/07/2022 CALL \$7.00 / C	USIP: / Symbol:						
2	2 transactions for 01/0	7/22. Total proceeds a	and cost repo	rted to the IRS.			
	2.000	0.00	01/04/22	44.00		-44.00	1 of 2 - Option expiration- long call
01/07/22	1.000	0.00	01/05/22	10.00		-10.00	2 of 2 - Option expiration- long call Total of 2 transactions
	3.000	0.00	Various	54.00		-54.00	Total of 2 transactions
SKLZ 02/04/2022 CALL \$4.00 / C		0.00	00/00/00			00.00	
02/04/22	1.000	0.00	02/02/22	29.00		-29.00	Option expiration- long call
SNAP 03/18/2022 CALL \$32.00 /	2						- · · · · · · · · · · · · · · · · · · ·
02/03/22	1.000	129.98	01/26/22	360.00		-230.02	Option sale to close-call <sup>25</sup>
SPCE 01/28/2022 CALL \$9.00 / C	USIP: / Symbol:						
01/26/22	1.000	24.98	01/24/22	19.00		5.98	Option sale to close-call <sup>25</sup>
01/28/22	2.000 Security total:	0.00 <b>24.98</b>	01/26/22	30.00 <b>49.00</b>		-30.00 <b>-24.02</b>	Option expiration- long call
SPCE 03/18/2022 CALL \$10.00 /		24.00		-0.00		24.02	
02/23/22	1.000	49.98	02/17/22	88.00		-38.02	Option sale to close-call <sup>25</sup>
SPCE 04/01/2022 CALL \$10.00 /		49.90	02/11/22	00.00		-30.02	Option sale to close-call
02/16/22	1.000	177.98	02/15/22	114.00		63.98	Option sale to close-call <sup>25</sup>
		177.90	02/13/22	114.00		03.90	Option sale to close-call
TQQQ 06/17/2022 CALL \$65.00 /	,	504.00	04/04/00	4 000 00		475.00	Option colo to close coll 25
01/24/22	1.000	524.98	01/21/22	1,000.00		-475.02	Option sale to close-call <sup>25</sup>
UVXY 02/18/2022 PUT \$17.00 / C	•						2
02/14/22	1.000	173.98	02/11/22	221.00		-47.02	Option sale to close-put <sup>25</sup>
UVXY 02/25/2022 PUT \$16.50 / C	•						
02/22/22	1.000	75.98	02/22/22	72.00		3.98	Option sale to close-put <sup>25</sup>
UVXY 03/04/2022 PUT \$18.00 / C	,						
03/03/22	1.000	73.98	03/01/22	65.00		8.98	Option sale to close-put <sup>25</sup>
UVXY 04/29/2022 PUT \$13.00 / C	CUSIP: / Symbol:						
04/29/22	1.000	0.00	04/26/22	10.00		-10.00	Option expiration- long put

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Robinhood Markets Inc. as	gent for	Account 889413241
Robinhood Securities LLC	Proceeds from Broker and Barter Exchange Transactions	
2022 1099-B* OMB No. 154	0715 (continued)	02/01/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (*Lines 2 & 5*) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*)

"Gain or loss (-)" is NOT reported to the IRS. 1a Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WISH 01/20/2023 CALL \$3	3.00 / CUSIP: / Symbol:						
03/01/22	1.000	70.98	01/28/22	72.00		-1.02	Option sale to close-call $^{\rm 25}$
ZM 01/07/2022 CALL \$185	5.00 / CUSIP: / Symbol:						
01/05/22	1.000	280.98	01/04/22	170.00		110.98	Option sale to close-call $^{\rm 25}$
FUBOTV INC. / CUSIP: 35	953D104 / Symbol:						
10/07/22	2.000	7.49	10/07/22	7.50	0.01 W	0.00	Sale <sup>25</sup>
PAYPAL HOLDINGS, INC.	. COMMON S TOCK / CUSIF	P: 70450Y103 / Symbo	l:				
02/02/22	2.000	258.73	02/01/22	295.04		-36.31	Sale <sup>25</sup>
Totals :		20,533.61		24,977.66	825.13 W	-3,618.92	

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# 2022 End Notes for Account 889413241

25 Tax lot closed on a first in first out basis.

#### **Common Instructions for Recipient**

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

#### Keep tax documents for your records.

#### 1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or 0 (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in contract or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or nonSection 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the sexercise of a compensatory option premium. If the securities were acquired through the exercise of a compensatory option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

### Instructions for Recipient

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank. Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

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Robinhood Markets Inc. as agent for Robinhood Crypto LLC		nformation it 889413241C	Statement Date:	02/01/2023	2022
85 Willow Rd Menlo Park, CA 94025 Customer Service: 650-940-2700	Somwyasree Kallala 3342 Circle Brook Dr, Aj Roanoke, VA 24018	ot-J			·
PAYER'S TIN: 46-4364776	RECIPIENT'S TIN: XXX	-XX-7143			
11 - [ ] FATCA filing requirement (see instructions)	Summary	Information		13 - [] FATCA filing requiren	nent (see instructions)
DIVIDENDS AND DISTRIBUTIONS 2022 1099-DIV	* OMB No. 1545-0110	MISCELLANEOUS	<b>SINFORMATION</b>	2022 1099-MISC*	OMB No. 1545-011
1a- Total ordinary dividends (includes lines 1b, 5, 2e)	0.00	2- Royalties			0.00
1b- Qualified dividends	0.00	3- Other income			0.00
2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)	0.00	4- Federal income ta	x withheld		0.00
2b- Unrecaptured Section 1250 gain	0.00	8- Substitute payment	s in lieu of dividends of	rinterest	0.00
2c- Section 1202 gain	0.00				
2d- Collectibles (28%) gain	0.00	SECTION 1256 CO	NTRACTS	2022 1099-B*	OMB No. 1545-0715
2e- Section 897 ordinary dividends	0.00	8- Profit or (loss) reali	zed in 2022 on closed	contracts	0.00
2f- Section 897 capital gain 3- Nondividend distributions	0.00 0.00		(loss) on open contrac		0.00
4- Federal income tax withheld	0.00 <b>0.00</b>	10- Unrealized profit or			0.00
5- Section 199A dividends	0.00	11- Aggregate profit or			0.00
6- Investment expenses	0.00				
8- Foreign country or US possession: 7- Foreign tax paid:	0.00			ons appear summarized bel	ow and are
9- Cash liquidation distributions	0.00	,	ent sections of this doc		<b>.</b> .
10- Noncash liquidation distributions	0.00	* This is important tax informati			
12- Exempt-interest dividends (includes line 13)	0.00	If you are required to file a retu			
13- Specified private activity bond interest dividends (AMT)	0.00	you if this income is taxable and	a the IKS determines	that it has not been repor	tea.

## SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	B (basis not reported to the IRS)	1.05	1.06	0.00	0.00	-0.01
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	1.05	1.06	0.00	0.00	-0.01
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	1.05	1.06	0.00	0.00	-0.01
Withholding		Amount				
Federal income	tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2022 may require an amended tax form.

Page 2 of

# **Summary Information**

2022

(continued)

02/01/2023

Account 889413241C

#### **INTEREST INCOME** 2022 1099-INT

OMB No. 1545-0112 This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been

reported.

<ol> <li>Interest income (not included in line 3)</li> <li>Early withdrawal penalty</li> <li>Interest on US Savings Bonds &amp; Treasury obligations</li> </ol>	0.00 0.00 0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
Tax-exempt obligations (covered lots)	0.00
Tax-exempt private activity obligations (AMT, covered lots)	0.00
14- Tax-exempt and tax credit bond CUSIP number	
FATCA filing requirement []	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nongualified interest paid	0.00
Tax-exempt accrued nongualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00
· /	

### STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

## **ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Original issue discount for the year	
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

### **RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00 0.00
	0.00
Other Receipts & Reconciliations- Return of principal	
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

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### Robinhood Markets Inc. as agent for Robinhood Crypto LLC

# Proceeds from Broker and Barter Exchange Transactions

02/01/2023

Account 889413241C

### **2022 1099-B\*** OMB No. 1545-0715

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

### FATCA filing requirement []

### SHORT TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)

Report on Form 8949, Part I with Box B checked. Basis is NOT provided to the IRS. (Line 12)

"Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS.

1a- Description of property/C 1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	Date	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information	
Dogecoin / CUSIP: DOGEUSD / Symbol:								
03/01/22 Totals :	8.000	1.05 <b>1.05</b>	02/21/22	1.06 <b>1.06</b>		-0.01 <b>-0.01</b>	Sale <sup>25</sup>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

# 2022 End Notes for Account 889413241C

25 Tax lot closed on a first in first out basis.

#### **Common Instructions for Recipient**

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

#### Keep tax documents for your records.

#### 1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or 0 (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in contract or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or nonSection 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the sexercise of a compensatory option premium. If the securities were acquired through the exercise of a compensatory option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

### Instructions for Recipient

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank. Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For

Line 19. Shows the antohic of hordeductule loss in a wash sate transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank. Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

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