



Saurav Kumar  
27714 219TH PL SE  
MAPLE VALLEY, WA 98038

**Master Account Number for Import:**  
160788295  
**Document ID for Import:**  
7G3M1P4M31V

**Enclosed is your 2022 Consolidated Tax Statement. 1099 Tax Forms for the following accounts are included in this package:**

160788295 - Robinhood Securities LLC

160788295C - Robinhood Crypto LLC

**New for 2022 Tax Year** - Where applicable, 1099 Tax Forms for Robinhood Securities, Robinhood Crypto, and Robinhood Money are being consolidated into one package and reported under our parent entity, Robinhood Markets Inc. We have done this to ensure all forms that you need are provided at the same time in this consolidated package.

**Important** - Please utilize the Master Account Number and Document ID listed above if filing through tax service providers such as TurboTax or H&R Block. The Document ID is necessary in order to directly import your 1099 data into the tax software so that you do not need to manually enter each transaction. This year there is only one Master Account Number and Document ID that needs to be used to import all of your data across all accounts and 1099s (including crypto). Please note that tax software providers may have limits on the total number of transactions that can be directly imported using the Document ID.

**CSVs** - In addition, a CSV file which includes the same information that is reported on your 1099 forms will be provided. The CSV is not a separate 1099. It is being provided as a supplement in a format that may be more helpful if you have a large number of transactions or if you are not using the Document ID to import all of your Securities, Crypto and Money activity. Tax software providers may have limits on the total number of transactions that can be uploaded and CSV files may not be compatible with tax service providers such as TurboTax or H&R Block.

**Robinhood Retirements Accounts** - If you had any reportable distributions or contributions within a Robinhood Retirement account, your 2022 tax forms 1099-R and/or 5498 will be delivered separately. Retirement accounts will be reported under Robinhood Securities LLC and will have their own unique Doc IDs for import to tax software providers such as TurboTax or H&R Block.

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|  |   |                                   |             |
|--|---|-----------------------------------|-------------|
| <b>Robinhood Markets Inc. as agent for<br/>Robinhood Securities LLC</b><br>85 Willow Road<br>Menlo Park, CA 94025<br>Customer Service: 650-940-2700<br><br>PAYER'S TIN: 46-4364776 | <b>Tax Information<br/>Account 160788295</b>  | <b>Statement Date: 02/13/2023</b> | <b>2022</b> |
|  | Saurav Kumar<br>27714 219TH PL SE<br>MAPLE VALLEY, WA 98038<br><br>RECIPIENT'S TIN: XXX-XX-3517 |                                   |             |

11 - [ ] FATCA filing requirement (see instructions)

**Summary Information**

13 - [ ] FATCA filing requirement (see instructions)

| <b>DIVIDENDS AND DISTRIBUTIONS</b>                                   | <b>2022 1099-DIV*</b> | OMB No. 1545-0110    | <b>MISCELLANEOUS INFORMATION</b>  | <b>2022 1099-MISC*</b> | OMB No. 1545-0115 |
|--|-----------------------|----------------------|---|------------------------|-------------------|
| 1a- Total ordinary dividends (includes lines 1b, 5, 2e)              | 217.66                |                      | 2- Royalties  | 0.00                   |                   |
| 1b- Qualified dividends  | 172.53                |                      | 3- Other income   | 0.00                   |                   |
| 2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f) | 0.00                  |                      | <b>4- Federal income tax withheld</b>   | <b>0.00</b>            |                   |
| 2b- Unrecaptured Section 1250 gain                                   | 0.00                  |                      | 8- Substitute payments in lieu of dividends or interest   | 68.24                  |                   |
| 2c- Section 1202 gain  | 0.00                  |                      |   |                        |                   |
| 2d- Collectibles (28%) gain  | 0.00                  |                      | <b>SECTION 1256 CONTRACTS</b>   | <b>2022 1099-B*</b>    | OMB No. 1545-0715 |
| 2e- Section 897 ordinary dividends                                   | 0.00                  |                      | 8- Profit or (loss) realized in 2022 on closed contracts  | 0.00                   |                   |
| 2f- Section 897 capital gain   | 0.00                  |                      | 9- Unrealized profit or (loss) on open contracts-12/31/2021   | 0.00                   |                   |
| 3- Nondividend distributions   | 24.03                 |                      | 10- Unrealized profit or (loss) on open contracts-12/31/2022  | 0.00                   |                   |
| <b>4- Federal income tax withheld</b>                                | <b>0.00</b>           |                      | 11- Aggregate profit or (loss) on contracts   | 0.00                   |                   |
| 5- Section 199A dividends  | 37.87                 |                      |   |                        |                   |
| 6- Investment expenses   | 0.00                  |                      | <i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i>   |                        |                   |
| 8- Foreign country or US possession: See detail                      | 1.04                  | 7- Foreign tax paid: | <b>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</b> |                        |                   |
| 9- Cash liquidation distributions                                    | 0.00                  |                      |   |                        |                   |
| 10- Noncash liquidation distributions                                | 0.00                  |                      |   |                        |                   |
| 12- Exempt-interest dividends (includes line 13)                     | 0.00                  |                      |   |                        |                   |
| 13- Specified private activity bond interest dividends (AMT)         | 0.00                  |                      |   |                        |                   |

**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

| Term               | Form 8949 type                         | Proceeds          | Cost basis        | Market discount | Wash sale loss disallowed | Net gain or loss(-) |
|--------------------|--|-------------------|-------------------|-----------------|---------------------------|---------------------|
| Short              | A (basis reported to the IRS)          | 148,590.48        | 155,452.90        | 0.00            | 0.04                      | -6,862.38           |
| Short              | B (basis not reported to the IRS)      | 0.00              | 0.00              | 0.00            | 0.00                      | 0.00                |
| Short              | C (Form 1099-B not received)           | 0.00              | 0.00              | 0.00            | 0.00                      | 0.00                |
|                    | <b>Total Short-term</b>                | <b>148,590.48</b> | <b>155,452.90</b> | <b>0.00</b>     | <b>0.04</b>               | <b>-6,862.38</b>    |
| Long               | D (basis reported to the IRS)          | 1,720.90          | 1,723.81          | 0.00            | 0.00                      | -2.91               |
| Long               | E (basis not reported to the IRS)      | 0.00              | 0.00              | 0.00            | 0.00                      | 0.00                |
| Long               | F (Form 1099-B not received)           | 7.78              | 9.01              | 0.00            | 0.00                      | -1.23               |
|                    | <b>Total Long-term</b>                 | <b>1,728.68</b>   | <b>1,732.82</b>   | <b>0.00</b>     | <b>0.00</b>               | <b>-4.14</b>        |
| Undetermined       | B or E (basis not reported to the IRS) | 0.53              | 0.00              | 0.00            | 0.00                      | 0.00                |
| Undetermined       | C or F (Form 1099-B not received)      | 0.00              | 0.00              | 0.00            | 0.00                      | 0.00                |
|                    | <b>Total Undetermined-term</b>         | <b>0.53</b>       | <b>0.00</b>       | <b>0.00</b>     | <b>0.00</b>               | <b>0.00</b>         |
|                    | <b>Grand total</b>                     | <b>150,319.69</b> | <b>157,185.72</b> | <b>0.00</b>     | <b>0.04</b>               | <b>-6,866.52</b>    |
| <b>Withholding</b> |  | <b>Amount</b>     |                   |                 |                           |                     |
|                    | Federal income tax withheld            | 0.00              |                   |                 |                           |                     |

Changes to dividend tax classifications processed after your original tax form is issued for 2022 may require an amended tax form.

Robinhood Markets Inc. as agent for  
 Robinhood Securities LLC  
 2022

**Summary Information**  
 (continued)

Account 160788295  
 02/13/2023

**INTEREST INCOME**

2022 1099-INT

OMB No. 1545-0112

**This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

|   |             |
|---|-------------|
| 1- Interest income (not included in line 3)                                     | 0.00        |
| 2- Early withdrawal penalty   | 0.00        |
| 3- Interest on US Savings Bonds & Treasury obligations                          | 0.00        |
| <b>4- Federal income tax withheld</b>   | <b>0.00</b> |
| 5- Investment expenses  | 0.00        |
| 7- Foreign country or US possession: 6- Foreign tax paid:                       | 0.00        |
| 8- Tax-exempt interest (includes line 9)  | 0.00        |
| 9- Specified private activity bond interest (AMT)                               | 0.00        |
| 10- Market discount (covered lots)  | 0.00        |
| 11- Bond premium (covered lots)   | 0.00        |
| 12- Bond premium on Treasury obligations (covered lots)                         | 0.00        |
| 13- Bond premium on tax-exempt bonds (categorized below)                        | 0.00        |
| <i>Tax-exempt obligations (covered lots)</i>                                    | 0.00        |
| <i>Tax-exempt private activity obligations (AMT, covered lots)</i>              | 0.00        |
| 14- Tax-exempt and tax credit bond CUSIP number<br>FATCA filing requirement [ ] |             |

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

|   |      |
|---|------|
| Taxable accrued interest paid                               | 0.00 |
| Taxable accrued Treasury interest paid                      | 0.00 |
| Tax-exempt accrued interest paid                            | 0.00 |
| Tax-exempt accrued interest paid (AMT)                      | 0.00 |
| Taxable accrued nonqualified interest paid                  | 0.00 |
| Tax-exempt accrued nonqualified interest paid               | 0.00 |
| Tax-exempt accrued nonqualified interest paid (AMT)         | 0.00 |
| Nonqualified interest                                       | 0.00 |
| Tax-exempt nonqualified interest                            | 0.00 |
| Tax-exempt nonqualified interest (AMT)                      | 0.00 |
| Interest shortfall on contingent payment debt               | 0.00 |
| Bond premium- Non Treasury obligations (noncovered lots)    | 0.00 |
| Bond premium- Treasury obligations (noncovered lots)        | 0.00 |
| Bond premium- Tax-exempt obligations (noncovered lots)      | 0.00 |
| Bond premium- Tax-exempt obligations (AMT, noncovered lots) | 0.00 |
| Market discount (noncovered lots)                           | 0.00 |

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

|                          |      |
|--------------------------|------|
| 1099-DIV total withheld  | 0.00 |
| 1099-INT total withheld  | 0.00 |
| 1099-OID total withheld  | 0.00 |
| 1099-MISC total withheld | 0.00 |
| 1099-B total withheld    | 0.00 |

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

|  |      |
|--|------|
| Original issue discount for the year                         | 0.00 |
| Acquisition premium (covered lots)                           | 0.00 |
| Acquisition premium (noncovered lots)                        | 0.00 |
| Original issue discount on Treasury obligations              | 0.00 |
| Acquisition premium, Treasury obligations (covered lots)     | 0.00 |
| Acquisition premium, Treasury obligations (noncovered lots)  | 0.00 |
| Tax-exempt OID   | 0.00 |
| Tax-exempt OID (lots not reported)                           | 0.00 |
| Acquisition premium (covered)                                | 0.00 |
| Acquisition premium (lots not reported)                      | 0.00 |
| Tax-exempt OID on private activity bonds                     | 0.00 |
| Tax-exempt OID on private activity bonds (lots not reported) | 0.00 |
| Acquisition premium (AMT, covered)                           | 0.00 |
| Acquisition premium (AMT, lots not reported)                 | 0.00 |
| Market discount (all lots)                                   | 0.00 |
| Early withdrawal penalty                                     | 0.00 |
| Investment expenses  | 0.00 |

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

|   |        |
|---|--------|
| Other Receipts & Reconciliations- Partnership distributions     | 19.70  |
| Other Receipts & Reconciliations- Foreign tax paid- partnership | 0.00   |
| Other Receipts & Reconciliations- Return of principal           | 0.00   |
| Other Receipts & Reconciliations- Deferred income payment       | 8.69   |
| Other Receipts & Reconciliations- Deemed premium                | 0.00   |
| Other Receipts & Reconciliations- Income accrual- UIT           | 0.00   |
| Other Receipts & Reconciliations- Basis adjustments             | 0.00   |
| Other Receipts & Reconciliations- Foreign tax pd beyond treaty  | 0.00   |
| Fees & Expenses- Margin interest                                | 557.11 |
| Fees & Expenses- Dividends paid on short position               | 0.00   |
| Fees & Expenses- Interest paid on short position                | 0.00   |
| Fees & Expenses- Non reportable distribution expense            | 0.00   |
| Fees & Expenses- Other expenses                                 | 0.00   |
| Fees & Expenses- Severance tax                                  | 0.00   |
| Fees & Expenses- Organizational expense                         | 0.00   |
| Fees & Expenses- Miscellaneous fees                             | 0.00   |
| Fees & Expenses- Tax-exempt investment expense                  | 0.00   |
| Foreign Exchange Gains & Losses- Foreign currency gain/loss     | 0.00   |

Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 160788295

### Proceeds from Broker and Barter Exchange Transactions

2022 1099-B\* OMB No. 1545-0715

02/13/2023

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

##### 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                    | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information                  |
|--|----------|---|-------------------|-------------------------|--|---|---|
| AAPL 01/17/2025 CALL \$150.00 / CUSIP: / Symbol:<br>10/21/22 | 1.000    | 3,199.91                                    | 09/28/22          | 3,325.00                | ...  | -125.09   | Option sale to close-call <sup>25</sup> |
| AAPL 01/19/2024 PUT \$130.00 / CUSIP: / Symbol:<br>09/02/22  | 1.000    | 969.96                                      | 06/22/22          | 1,610.00                | ...  | -640.04   | Option sale to close-put <sup>25</sup>  |
| AAPL 01/20/2023 CALL \$140.00 / CUSIP: / Symbol:<br>06/24/22 | 1.000    | 1,574.95                                    | 05/12/22          | 1,870.00                | ...  | -295.05   | Option sale to close-call <sup>25</sup> |
| AAPL 01/20/2023 CALL \$170.00 / CUSIP: / Symbol:<br>01/31/22 | 1.000    | 2,329.97                                    | 01/06/22          | 2,250.00                | ...  | 79.97   | Option sale to close-call <sup>25</sup> |
| 03/29/22   | 1.000    | 2,419.97                                    | 01/13/22          | 2,195.00                | ...  | 224.97  | Option sale to close-call <sup>25</sup> |
| 08/10/22   | 1.000    | 1,339.95                                    | 03/14/22          | 1,150.00                | ...  | 189.95  | Option sale to close-call <sup>25</sup> |
| <b>Security total:</b>                                       |          | <b>6,089.89</b>                             |                   | <b>5,595.00</b>         | ...  | <b>494.89</b>   |   |

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Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 160788295

### Proceeds from Broker and Barter Exchange Transactions

2022 1099-B\* OMB No. 1545-0715

(continued)

02/13/2023

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

#### 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                      | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information                  |
|--|----------|---|-------------------|-------------------------|--|---|---|
| AAPL 02/18/2022 CALL \$200.00 / CUSIP: / Symbol:<br>02/18/22   | 1.000    | 0.00  | 12/10/21          | 255.00                  | ...  | -255.00   | Option expiration- long call            |
| AAPL 06/16/2023 CALL \$170.00 / CUSIP: / Symbol:<br>07/29/22   | 1.000    | 1,619.95                                    | 04/11/22          | 2,220.00                | ...  | -600.05   | Option sale to close-call <sup>25</sup> |
| AAPL 09/30/2022 CALL \$180.00 / CUSIP: / Symbol:<br>09/30/22   | 1.000    | 0.00  | 08/12/22          | 254.00                  | ...  | -254.00   | Option expiration- long call            |
| ADBE 01/20/2023 CALL \$500.00 / CUSIP: / Symbol:<br>05/27/22   | 1.000    | 2,549.93                                    | 03/23/22          | 2,580.00                | ...  | -30.07  | Option sale to close-call <sup>25</sup> |
| AMD 01/19/2024 CALL \$120.00 / CUSIP: / Symbol:<br>06/02/22    | 1.000    | 2,559.93                                    | 03/22/22          | 2,900.00                | ...  | -340.07   | Option sale to close-call <sup>25</sup> |
| AMD 05/06/2022 PUT \$85.00 / CUSIP: / Symbol:<br>05/04/22      | 1.000    | 39.98                                       | 05/03/22          | 170.00                  | ...  | -130.02   | Option sale to close-put <sup>25</sup>  |
| AMD 06/17/2022 CALL \$150.00 / CUSIP: / Symbol:<br>03/24/22    | 1.000    | 314.98                                      | 12/10/21          | 1,460.00                | ...  | -1,145.02   | Option sale to close-call <sup>25</sup> |
| ATER 01/19/2024 CALL \$5.00 / CUSIP: / Symbol:<br>04/20/22     | 1.000    | 249.98                                      | 10/12/21          | 493.00                  | ...  | -243.02   | Option sale to close-call <sup>25</sup> |
| BABA 01/19/2024 CALL \$110.00 / CUSIP: / Symbol:<br>03/17/22   | 1.000    | 2,749.97                                    | 03/02/22          | 2,695.00                | ...  | 54.97   | Option sale to close-call <sup>25</sup> |
| BAC 06/21/2024 CALL \$32.00 / CUSIP: / Symbol:<br>08/22/22     | 1.000    | 734.97                                      | 07/14/22          | 450.00                  | ...  | 284.97  | Option sale to close-call <sup>25</sup> |
| GOOG 01/20/2023 CALL \$200.00 / CUSIP: / Symbol:<br>08/16/22   | 10.000   | 99.97                                       | 02/03/22          | 3,075.00                | ...  | -2,975.03   | Option sale to close-call <sup>25</sup> |
| 08/22/22   | 5.000    | 49.98                                       | 02/03/22          | 1,537.50                | ...  | -1,487.52   | Option sale to close-call <sup>25</sup> |
| <b>Security total:</b>   |          | <b>149.95</b>                               |                   | <b>4,612.50</b>         | ...  | <b>-4,462.55</b>  |   |
| GOOG 01/20/2023 CALL \$3,000.00 / CUSIP: / Symbol:<br>04/29/22 | 1.000    | 6,999.95                                    | 04/27/22          | 6,230.00                | ...  | 769.95  | Option sale to close-call <sup>25</sup> |

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Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 160788295

Proceeds from Broker and Barter Exchange Transactions

2022 1099-B\* OMB No. 1545-0715

(continued)

02/13/2023

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

| 1c- Date sold or disposed                          | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information                  |
|--|----------|---|-------------------|-------------------------|--|---|---|
| GOOGL 01/20/2023 CALL \$3,000.0 / CUSIP: / Symbol: |          |   |                   |                         |  |   |   |
| 05/10/22   | 1.000    | 6,699.95                                    | 05/09/22          | 5,530.00                | ...  | 1,169.95  | Option sale to close-call <sup>25</sup> |
| GOOGL 06/21/2024 CALL \$110.00 / CUSIP: / Symbol:  |          |   |                   |                         |  |   |   |
| 07/27/22   | 1.000    | 2,479.93                                    | 07/25/22          | 2,220.00                | ...  | 259.93  | Option sale to close-call <sup>25</sup> |
| JPM 01/19/2024 CALL \$135.00 / CUSIP: / Symbol:    |          |   |                   |                         |  |   |   |
| 11/02/22   | 1.000    | 1,579.95                                    | 03/04/22          | 1,985.00                | ...  | -405.05   | Option sale to close-call <sup>25</sup> |
| JPM 06/21/2024 CALL \$105.00 / CUSIP: / Symbol:    |          |   |                   |                         |  |   |   |
| 07/19/22   | 1.000    | 2,214.93                                    | 07/14/22          | 1,800.00                | ...  | 414.93  | Option sale to close-call <sup>25</sup> |
| MSFT 01/20/2023 CALL \$285.00 / CUSIP: / Symbol:   |          |   |                   |                         |  |   |   |
| 04/27/22   | 1.000    | 2,949.97                                    | 04/12/22          | 2,950.00                | ...  | -0.03   | Option sale to close-call <sup>25</sup> |
| MSFT 01/20/2023 CALL \$325.00 / CUSIP: / Symbol:   |          |   |                   |                         |  |   |   |
| 03/29/22   | 1.000    | 2,649.97                                    | 01/04/22          | 3,905.00                | ...  | -1,255.03   | Option sale to close-call <sup>25</sup> |
| NFLX 01/20/2023 PUT \$150.00 / CUSIP: / Symbol:    |          |   |                   |                         |  |   |   |
| 08/25/22   | 1.000    | 549.97                                      | 07/18/22          | 1,395.00                | ...  | -845.03   | Option sale to close-put <sup>25</sup>  |
| NFLX 07/01/2022 PUT \$170.00 / CUSIP: / Symbol:    |          |   |                   |                         |  |   |   |
| 06/28/22   | 1.000    | 112.98                                      | 06/22/22          | 330.00                  | ...  | -217.02   | Option sale to close-put <sup>25</sup>  |
| NFLX 09/30/2022 PUT \$250.00 / CUSIP: / Symbol:    |          |   |                   |                         |  |   |   |
| 08/17/22   | 1.000    | 1,974.94                                    | 08/12/22          | 1,690.00                | ...  | 284.94  | Option sale to close-put <sup>25</sup>  |
| NVDA 01/19/2024 CALL \$200.00 / CUSIP: / Symbol:   |          |   |                   |                         |  |   |   |
| 07/19/22   | 1.000    | 3,199.91                                    | 04/21/22          | 5,850.00                | ...  | -2,650.09   | Option sale to close-call <sup>25</sup> |
| NVDA 01/19/2024 PUT \$150.00 / CUSIP: / Symbol:    |          |   |                   |                         |  |   |   |
| 08/26/22   | 1.000    | 2,574.93                                    | 06/21/22          | 2,698.00                | ...  | -123.07   | Option sale to close-put <sup>25</sup>  |
| NVDA 04/29/2022 PUT \$250.00 / CUSIP: / Symbol:    |          |   |                   |                         |  |   |   |
| 04/06/22   | 1.000    | 1,554.98                                    | 03/24/22          | 690.00                  | ...  | 864.98  | Option sale to close-put <sup>25</sup>  |
| NVDA 06/17/2022 CALL \$320.00 / CUSIP: / Symbol:   |          |   |                   |                         |  |   |   |
| 02/09/22   | 1.000    | 1,404.98                                    | 12/13/21          | 2,725.00                | ...  | -1,320.02   | Option sale to close-call <sup>25</sup> |

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Robinhood Markets Inc. as agent for  
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Account 160788295

### Proceeds from Broker and Barter Exchange Transactions

2022 1099-B\* OMB No. 1545-0715

(continued)

02/13/2023

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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#### 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed   | Quantity               | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information                  |
|---|------------------------|---|-------------------|-------------------------|--|---|---|
| NVDA 06/17/2022 PUT \$150.00 / CUSIP: / Symbol:                           |                        |   |                   |                         |  |   |   |
| 06/13/22  | 1.000                  | 249.98                                      | 04/29/22          | 584.00                  | ...  | -334.02   | Option sale to close-put <sup>25</sup>  |
| NVDA 08/26/2022 CALL \$175.00 / CUSIP: / Symbol:                          |                        |   |                   |                         |  |   |   |
| 08/25/22  | 1.000                  | 115.98                                      | 08/22/22          | 500.00                  | ...  | -384.02   | Option sale to close-call <sup>25</sup> |
| PYPL 01/19/2024 CALL \$105.00 / CUSIP: / Symbol:                          |                        |   |                   |                         |  |   |   |
| 03/16/22  | 1.000                  | 2,874.97                                    | 03/03/22          | 2,500.00                | ...  | 374.97  | Option sale to close-call <sup>25</sup> |
| PYPL 06/17/2022 CALL \$260.00 / CUSIP: / Symbol:                          |                        |   |                   |                         |  |   |   |
| 2 transactions for 06/17/22. Total proceeds and cost reported to the IRS. |                        |   |                   |                         |  |   |   |
|   | 1.000                  | 0.00  | 10/21/21          | 2,000.00                | ...  | -2,000.00   | 1 of 2 - Option expiration- long call   |
|   | 1.000                  | 0.00  | 11/09/21          | 1,010.00                | ...  | -1,010.00   | 2 of 2 - Option expiration- long call   |
| 06/17/22  | 2.000                  | 0.00  | Various           | 3,010.00                | ...  | -3,010.00   | Total of 2 transactions                 |
| QQQ 01/17/2025 PUT \$280.00 / CUSIP: / Symbol:                            |                        |   |                   |                         |  |   |   |
| 10/11/22  | 1.000                  | 4,499.88                                    | 10/05/22          | 3,648.00                | ...  | 851.88  | Option sale to close-put <sup>25</sup>  |
| QQQ 01/19/2024 PUT \$280.00 / CUSIP: / Symbol:                            |                        |   |                   |                         |  |   |   |
| 10/14/22  | 1.000                  | 3,934.89                                    | 10/13/22          | 3,625.00                | ...  | 309.89  | Option sale to close-put <sup>25</sup>  |
| 12/28/22  | 1.000                  | 3,459.91                                    | 10/18/22          | 3,460.00                | ...  | -0.09   | Option sale to close-put <sup>25</sup>  |
|   | <b>Security total:</b> | <b>7,394.80</b>                             |                   | <b>7,085.00</b>         | ...  | <b>309.80</b>   |   |
| QQQ 01/19/2024 PUT \$300.00 / CUSIP: / Symbol:                            |                        |   |                   |                         |  |   |   |
| 06/13/22  | 1.000                  | 4,489.88                                    | 05/27/22          | 3,475.00                | ...  | 1,014.88  | Option sale to close-put <sup>25</sup>  |
| QQQ 01/20/2023 CALL \$400.00 / CUSIP: / Symbol:                           |                        |   |                   |                         |  |   |   |
| 03/25/22  | 1.000                  | 1,524.98                                    | 01/21/22          | 1,850.00                | ...  | -325.02   | Option sale to close-call <sup>25</sup> |
| QQQ 01/20/2023 PUT \$300.00 / CUSIP: / Symbol:                            |                        |   |                   |                         |  |   |   |
| 06/28/22  | 1.000                  | 3,209.91                                    | 06/24/22          | 2,750.00                | ...  | 459.91  | Option sale to close-put <sup>25</sup>  |
| QQQ 01/20/2023 PUT \$350.00 / CUSIP: / Symbol:                            |                        |   |                   |                         |  |   |   |
| 02/03/22  | 1.000                  | 3,249.97                                    | 02/01/22          | 2,845.00                | ...  | 404.97  | Option sale to close-put <sup>25</sup>  |
| QQQ 03/04/2022 PUT \$330.00 / CUSIP: / Symbol:                            |                        |   |                   |                         |  |   |   |
| 02/28/22  | 1.000                  | 179.98                                      | 02/24/22          | 345.00                  | ...  | -165.02   | Option sale to close-put <sup>25</sup>  |

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### Proceeds from Broker and Barter Exchange Transactions

2022 1099-B\* OMB No. 1545-0715

(continued)

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#### 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                   | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information                  |
|---|----------|---|-------------------|-------------------------|--|---|---|
| QQQ 03/04/2022 PUT \$340.00 / CUSIP: / Symbol:<br>01/25/22  | 1.000    | 1,449.98                                    | 01/24/22          | 1,300.00                | ...  | 149.98  | Option sale to close-put <sup>25</sup>  |
| QQQ 03/11/2022 PUT \$340.00 / CUSIP: / Symbol:<br>02/17/22  | 1.000    | 653.98                                      | 02/14/22          | 865.00                  | ...  | -211.02   | Option sale to close-put <sup>25</sup>  |
| QQQ 03/18/2022 PUT \$310.00 / CUSIP: / Symbol:<br>03/18/22  | 1.000    | 0.00  | 03/15/22          | 200.00                  | ...  | -200.00   | Option expiration- long put             |
| QQQ 03/31/2022 CALL \$400.00 / CUSIP: / Symbol:<br>03/31/22 | 1.000    | 0.00  | 12/14/21          | 1,320.00                | ...  | -1,320.00   | Option expiration- long call            |
| QQQ 05/20/2022 PUT \$300.00 / CUSIP: / Symbol:<br>05/17/22  | 1.000    | 284.98                                      | 05/13/22          | 582.00                  | ...  | -297.02   | Option sale to close-put <sup>25</sup>  |
| QQQ 05/20/2022 PUT \$309.00 / CUSIP: / Symbol:<br>05/11/22  | 1.000    | 1,714.98                                    | 05/10/22          | 1,120.00                | ...  | 594.98  | Option sale to close-put <sup>25</sup>  |
| QQQ 09/16/2022 PUT \$290.00 / CUSIP: / Symbol:<br>05/24/22  | 1.000    | 2,372.93                                    | 05/23/22          | 1,950.00                | ...  | 422.93  | Option sale to close-put <sup>25</sup>  |
| QQQ 09/16/2022 PUT \$300.00 / CUSIP: / Symbol:<br>05/18/22  | 1.000    | 2,499.93                                    | 05/11/22          | 2,250.00                | ...  | 249.93  | Option sale to close-put <sup>25</sup>  |
| QQQ 09/16/2022 PUT \$350.00 / CUSIP: / Symbol:<br>04/26/22  | 1.000    | 3,949.96                                    | 03/22/22          | 2,200.00                | ...  | 1,749.96  | Option sale to close-put <sup>25</sup>  |
| QQQ 09/30/2022 PUT \$300.00 / CUSIP: / Symbol:<br>05/09/22  | 1.000    | 2,429.97                                    | 04/28/22          | 1,720.00                | ...  | 709.97  | Option sale to close-put <sup>25</sup>  |
| SPY 01/19/2024 PUT \$400.00 / CUSIP: / Symbol:<br>06/16/22  | 1.000    | 5,569.86                                    | 05/31/22          | 3,775.00                | ...  | 1,794.86  | Option sale to close-put <sup>25</sup>  |
| SPY 03/18/2022 CALL \$470.00 / CUSIP: / Symbol:<br>02/08/22 | 1.000    | 237.98                                      | 01/10/22          | 783.00                  | ...  | -545.02   | Option sale to close-call <sup>25</sup> |

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**Proceeds from Broker and Barter Exchange Transactions**

2022 1099-B\* OMB No. 1545-0715

(continued)

02/13/2023

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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|---|------------------------|---|-------------------|-------------------------|--|---|---------------------------------------|
| UAL 01/21/2022 CALL \$65.00 / CUSIP: / Symbol:                            |                        |   |                   |                         |  |   |                                       |
| 2 transactions for 01/21/22. Total proceeds and cost reported to the IRS. |                        |   |                   |                         |  |   |                                       |
|   | 1.000                  | 0.00  | 06/30/21          | 275.00                  | ...  | -275.00   | 1 of 2 - Option expiration- long call |
|   | 1.000                  | 0.00  | 07/30/21          | 115.00                  | ...  | -115.00   | 2 of 2 - Option expiration- long call |
| 01/21/22  | 2.000                  | 0.00  | Various           | 390.00                  | ...  | -390.00   | Total of 2 transactions               |
| WISH 01/21/2022 CALL \$20.00 / CUSIP: / Symbol:                           |                        |   |                   |                         |  |   |                                       |
| 01/21/22  | 1.000                  | 0.00  | 06/29/21          | 380.00                  | ...  | -380.00   | Option expiration- long call          |
| ADVANCED MICRO DEVICES, INC. C OMMON STOCK / CUSIP: 007903107 / Symbol:   |                        |   |                   |                         |  |   |                                       |
| 2 transactions for 01/31/22. Total proceeds and cost reported to the IRS. |                        |   |                   |                         |  |   |                                       |
|   | 4.000                  | 451.20                                      | 04/12/21          | 314.71                  | ...  | 136.49  | 1 of 2 - Sale <sup>25</sup>           |
|   | 16.000                 | 1,804.78                                    | 01/27/22          | 1,712.32                | ...  | 92.46   | 2 of 2 - Sale <sup>25</sup>           |
| 01/31/22  | 20.000                 | 2,255.98                                    | Various           | 2,027.03                | ...  | 228.95  | Total of 2 transactions               |
| 03/24/22  | 4.000                  | 478.73                                      | 01/27/22          | 428.08                  | ...  | 50.65   | Sale <sup>25</sup>                    |
|   | <b>Security total:</b> | <b>2,734.71</b>                             |                   | <b>2,455.11</b>         | ...  | <b>279.60</b>   |                                       |
| AIRBNB, INC. CLASS A COMMON ST OCK / CUSIP: 009066101 / Symbol:           |                        |   |                   |                         |  |   |                                       |
| 2 transactions for 05/03/22. Total proceeds and cost reported to the IRS. |                        |   |                   |                         |  |   |                                       |
|   | 1.000                  | 144.33                                      | 05/17/21          | 131.48                  | ...  | 12.85   | 1 of 2 - Sale <sup>25</sup>           |
|   | 5.000                  | 721.67                                      | 05/03/22          | 725.95                  | ...  | -4.28   | 2 of 2 - Sale <sup>25</sup>           |
| 05/03/22  | 6.000                  | 866.00                                      | Various           | 857.43                  | ...  | 8.57  | Total of 2 transactions               |
| ALPHABET INC. CLASS C CAPITAL STOCK / CUSIP: 02079K107 / Symbol:          |                        |   |                   |                         |  |   |                                       |
| 02/01/22  | 1.000                  | 2,848.09                                    | 02/01/22          | 2,754.78                | ...  | 93.31   | Sale <sup>25</sup>                    |
| APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:                      |                        |   |                   |                         |  |   |                                       |
| 3 transactions for 01/05/22. Total proceeds and cost reported to the IRS. |                        |   |                   |                         |  |   |                                       |
|   | 25.000                 | 4,468.23                                    | 10/28/21          | 3,625.00                | ...  | 843.23  | 1 of 3 - Sale <sup>25</sup>           |
|   | 10.000                 | 1,787.30                                    | 12/16/21          | 1,747.80                | ...  | 39.50   | 2 of 3 - Sale <sup>25</sup>           |
|   | 10.000                 | 1,787.29                                    | 12/16/21          | 1,718.20                | ...  | 69.09   | 3 of 3 - Sale <sup>25</sup>           |
| 01/05/22  | 45.000                 | 8,042.82                                    | Various           | 7,091.00                | ...  | 951.82  | Total of 3 transactions               |
| 01/27/22  | 35.000                 | 5,699.10                                    | 01/26/22          | 5,567.98                | ...  | 131.12  | Sale <sup>25</sup>                    |
|   | <b>Security total:</b> | <b>13,741.92</b>                            |                   | <b>12,658.98</b>        | ...  | <b>1,082.94</b>   |                                       |

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2022 1099-B\* OMB No. 1545-0715

(continued)

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|--|----------|---|-------------------|-------------------------|--|---|-----------------------------|
| BAIDU, INC. ADS / CUSIP: 056752108 / Symbol:   |          |   |                   |                         |  |   |                             |
| 2 transactions for 02/24/22. Total proceeds and cost reported to the IRS.                    |          |   |                   |                         |  |   |                             |
|  | 1.000    | 140.01                                      | 04/15/21          | 210.45                  | ...  | -70.44  | 1 of 2 - Sale <sup>25</sup> |
|  | 2.000    | 280.02                                      | 11/17/21          | 323.98                  | ...  | -43.96  | 2 of 2 - Sale <sup>25</sup> |
| 02/24/22   | 3.000    | 420.03                                      | Various           | 534.43                  | ...  | -114.40   | Total of 2 transactions     |
| BED BATH & BEYOND INC. COMMON STOCK / CUSIP: 075896100 / Symbol:                             |          |   |                   |                         |  |   |                             |
| 08/16/22   | 4.000    | 108.97                                      | 09/07/21          | 101.08                  | ...  | 7.89  | Sale <sup>25</sup>          |
| COGNIZANT TECHNOLOGY SOLUTIONS CORPORATION CLASS A COMMON STOCK / CUSIP: 192446102 / Symbol: |          |   |                   |                         |  |   |                             |
| 6 transactions for 04/22/22. Total proceeds and cost reported to the IRS.                    |          |   |                   |                         |  |   |                             |
|  | 0.063    | 5.35  | 05/13/21          | 4.53                    | ...  | 0.82  | 1 of 6 - Sale <sup>25</sup> |
|  | 4.937    | 417.00                                      | 05/13/21          | 352.81                  | ...  | 64.19   | 2 of 6 - Sale <sup>25</sup> |
|  | 0.017    | 1.41  | 06/01/21          | 1.20                    | ...  | 0.21  | 3 of 6 - Sale <sup>25</sup> |
|  | 0.016    | 1.32  | 09/01/21          | 1.20                    | ...  | 0.12  | 4 of 6 - Sale <sup>25</sup> |
|  | 0.015    | 1.29  | 12/01/21          | 1.21                    | ...  | 0.08  | 5 of 6 - Sale <sup>25</sup> |
|  | 0.016    | 1.33  | 03/02/22          | 1.36                    | ...  | -0.03   | 6 of 6 - Sale <sup>25</sup> |
| 04/22/22   | 5.063    | 427.70                                      | Various           | 362.31                  | ...  | 65.39   | Total of 6 transactions     |
| EXPEDIA GROUP, INC. COMMON STOCK / CUSIP: 30212P303 / Symbol:                                |          |   |                   |                         |  |   |                             |
| 05/10/22   | 3.000    | 402.69                                      | 05/03/22          | 453.89                  | ...  | -51.20  | Sale <sup>25</sup>          |
| GAMESTOP CORP. CLASS A / CUSIP: 36467W109 / Symbol:  |          |   |                   |                         |  |   |                             |
| 03/25/22   | 1.000    | 152.30                                      | 12/13/21          | 137.93                  | ...  | 14.37   | Sale <sup>25</sup>          |
| GENERAL ELECTRIC COMPANY / CUSIP: 369604301 / Symbol:  |          |   |                   |                         |  |   |                             |
| 4 transactions for 04/22/22. Total proceeds and cost reported to the IRS.                    |          |   |                   |                         |  |   |                             |
|  | 0.001    | 0.08  | 04/27/21          | 0.10                    | ...  | -0.02   | 1 of 4 - Sale <sup>25</sup> |
|  | 0.001    | 0.09  | 07/27/21          | 0.10                    | ...  | -0.01   | 2 of 4 - Sale <sup>25</sup> |
|  | 0.001    | 0.07  | 10/26/21          | 0.08                    | ...  | -0.01   | 3 of 4 - Sale <sup>25</sup> |
|  | 0.001    | 0.08  | 01/26/22          | 0.08                    | ...  | 0.00  | 4 of 4 - Sale <sup>25</sup> |
| 04/22/22   | 0.004    | 0.32  | Various           | 0.36                    | ...  | -0.04   | Total of 4 transactions     |
| INTEL CORPORATION COMMON STOCK / CUSIP: 458140100 / Symbol:                                  |          |   |                   |                         |  |   |                             |
| 02/24/22   | 10.000   | 438.60                                      | 10/22/21          | 498.65                  | ...  | -60.05  | Sale <sup>25</sup>          |

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(continued)

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|---|------------------------|---|-------------------|-------------------------|--|---|-----------------------------|
| MACY'S INC. / CUSIP: 55616P104 / Symbol:                                  |                        |   |                   |                         |  |   |                             |
| 2 transactions for 02/24/22. Total proceeds and cost reported to the IRS. |                        |   |                   |                         |  |   |                             |
|   | 0.033                  | 0.74  | 10/04/21          | 0.75                    | ...  | -0.01   | 1 of 2 - Sale <sup>25</sup> |
|   | 0.027                  | 0.62  | 01/04/22          | 0.75                    | ...  | -0.13   | 2 of 2 - Sale <sup>25</sup> |
| 02/24/22  | 0.060                  | 1.36  | Various           | 1.50                    | ...  | -0.14   | Total of 2 transactions     |
| MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol:          |                        |   |                   |                         |  |   |                             |
| 5 transactions for 01/24/22. Total proceeds and cost reported to the IRS. |                        |   |                   |                         |  |   |                             |
|   | 2.000                  | 580.44                                      | 04/27/21          | 505.92                  | ...  | 74.52   | 1 of 5 - Sale <sup>25</sup> |
|   | 2.000                  | 580.44                                      | 07/27/21          | 564.00                  | ...  | 16.44   | 2 of 5 - Sale <sup>25</sup> |
|   | 2.000                  | 580.44                                      | 07/27/21          | 559.00                  | ...  | 21.44   | 3 of 5 - Sale <sup>25</sup> |
|   | 10.000                 | 2,902.18                                    | 09/30/21          | 2,820.20                | ...  | 81.98   | 4 of 5 - Sale <sup>25</sup> |
|   | 2.000                  | 580.43                                      | 10/04/21          | 564.05                  | ...  | 16.38   | 5 of 5 - Sale <sup>25</sup> |
| 01/24/22  | 18.000                 | 5,223.93                                    | Various           | 5,013.17                | ...  | 210.76  | Total of 5 transactions     |
| 01/26/22  | 20.000                 | 6,029.51                                    | 01/25/22          | 5,541.80                | ...  | 487.71  | Sale <sup>25</sup>          |
|   | <b>Security total:</b> | <b>11,253.44</b>                            |                   | <b>10,554.97</b>        | ...  | <b>698.47</b>   |                             |
| NVIDIA CORPORATION COMMON STOC K / CUSIP: 67066G104 / Symbol:             |                        |   |                   |                         |  |   |                             |
| 3 transactions for 01/31/22. Total proceeds and cost reported to the IRS. |                        |   |                   |                         |  |   |                             |
|   | 0.005                  | 1.14  | 04/01/21          | 0.64                    | ...  | 0.50  | 1 of 3 - Sale <sup>25</sup> |
|   | 5.000                  | 1,208.96                                    | 10/04/21          | 989.65                  | ...  | 219.31  | 2 of 3 - Sale <sup>25</sup> |
|   | 4.995                  | 1,207.65                                    | 01/27/22          | 1,123.99                | ...  | 83.66   | 3 of 3 - Sale <sup>25</sup> |
| 01/31/22  | 9.999                  | 2,417.75                                    | Various           | 2,114.28                | ...  | 303.47  | Total of 3 transactions     |
| 01/31/22  | 0.001                  | 0.16  | 12/27/21          | 0.20                    | 0.04 W   | 0.00  | Sale <sup>25</sup>          |
| 2 transactions for 02/24/22. Total proceeds and cost reported to the IRS. |                        |   |                   |                         |  |   |                             |
|   | 5.005                  | 1,054.64                                    | 01/27/22          | 1,126.26                | ...  | -71.62  | 1 of 2 - Sale <sup>25</sup> |
|   | 0.001                  | 0.14  | 01/27/22          | 0.19                    | ...  | -0.05   | 2 of 2 - Sale <sup>25</sup> |
| 02/24/22  | 5.005                  | 1,054.78                                    | Various           | 1,126.45                | ...  | -71.67  | Total of 2 transactions     |
| 11/16/22  | 4.000                  | 654.38                                      | 08/31/22          | 580.32                  | ...  | 74.06   | Sale <sup>25</sup>          |
|   | <b>Security total:</b> | <b>4,127.07</b>                             |                   | <b>3,821.25</b>         | <b>0.04 W</b>  | <b>305.86</b>   |                             |
| RADIUS HEALTH, INC. COMMON STO CK / CUSIP: 750469207 / Symbol:            |                        |   |                   |                         |  |   |                             |
| 08/17/22  | 1.000                  | 10.00                                       | 12/08/21          | 8.00                    | ...  | 2.00  | Merger                      |

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Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 160788295

## Proceeds from Broker and Barter Exchange Transactions

2022 1099-B\* OMB No. 1545-0715

(continued)

02/13/2023

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

| 1c- Date sold or disposed   | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information      |
|---|----------|---|-------------------|-------------------------|--|---|-----------------------------|
| TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:                     |          |   |                   |                         |  |   |                             |
| 2 transactions for 03/11/22. Total proceeds and cost reported to the IRS. |          |   |                   |                         |  |   |                             |
|   | 1.000    | 803.21                                      | 07/27/21          | 640.36                  | ...  | 162.85  | 1 of 2 - Sale <sup>25</sup> |
|   | 1.000    | 803.21                                      | 11/12/21          | 1,045.52                | ...  | -242.31   | 2 of 2 - Sale <sup>25</sup> |
| 03/11/22  | 2.000    | 1,606.42                                    | Various           | 1,685.88                | ...  | -79.46  | Total of 2 transactions     |
| TWITTER, INC. / CUSIP: 90184L102 / Symbol:                                |          |   |                   |                         |  |   |                             |
| 2 transactions for 04/22/22. Total proceeds and cost reported to the IRS. |          |   |                   |                         |  |   |                             |
|   | 2.000    | 97.15                                       | 10/04/21          | 115.98                  | ...  | -18.83  | 1 of 2 - Sale <sup>25</sup> |
|   | 2.000    | 97.15                                       | 11/16/21          | 105.74                  | ...  | -8.59   | 2 of 2 - Sale <sup>25</sup> |
| 04/22/22  | 4.000    | 194.30                                      | Various           | 221.72                  | ...  | -27.42  | Total of 2 transactions     |
| WELLS FARGO & CO. / CUSIP: 949746101 / Symbol:                            |          |   |                   |                         |  |   |                             |
| 2 transactions for 02/24/22. Total proceeds and cost reported to the IRS. |          |   |                   |                         |  |   |                             |
|   | 5.000    | 257.50                                      | 02/26/21          | 183.18                  | ...  | 74.32   | 1 of 2 - Sale <sup>25</sup> |
|   | 5.000    | 257.49                                      | 07/08/21          | 211.95                  | ...  | 45.54   | 2 of 2 - Sale <sup>25</sup> |
| 02/24/22  | 10.000   | 514.99                                      | Various           | 395.13                  | ...  | 119.86  | Total of 2 transactions     |
| ZYNGA INC. CLASS A COMMON STOC K / CUSIP: 98986T108 / Symbol:             |          |   |                   |                         |  |   |                             |
| 05/24/22  | 10.000   | 35.00                                       | 09/03/21          | 35.00                   | ...  | 0.00  | Merger                      |
| <b>Totals :</b>   |          | <b>148,590.48</b>                           |                   | <b>155,452.90</b>       | <b>0.04 W</b>  | <b>-6,862.38</b>  |                             |

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Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 160788295

**Proceeds from Broker and Barter Exchange Transactions**

2022 1099-B\* OMB No. 1545-0715

(continued)

02/13/2023

**LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

| 1c- Date sold or disposed   | Quantity               | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | 7- Gain or loss(-) & Loss not allowed (X) also not reported (Z) | Additional information      |
|---|------------------------|---|-------------------|-------------------------|--|---|-----------------------------|
| GENERAL ELECTRIC COMPANY / CUSIP: 369604301 / Symbol:                     |                        |   |                   |                         |  |   |                             |
| 2 transactions for 04/22/22. Total proceeds and cost reported to the IRS. |                        |   |                   |                         |  |   |                             |
|   | 0.996                  | 89.65                                       | 02/22/21          | 100.19                  | ...  | -10.54  | 1 of 2 - Sale <sup>25</sup> |
|   | 0.002                  | 0.15  | 02/22/21          | 0.16                    | ...  | -0.01   | 2 of 2 - Sale <sup>25</sup> |
| 04/22/22  | 0.998                  | 89.80                                       | Various           | 100.35                  | ...  | -10.55  | Total of 2 transactions     |
| MACY'S INC. / CUSIP: 55616P104 / Symbol:                                  |                        |   |                   |                         |  |   |                             |
| 02/24/22  | 5.000                  | 112.80                                      | 02/22/21          | 77.77                   | ...  | 35.03   | Sale <sup>25</sup>          |
| PING IDENTITY HOLDING CORP. / CUSIP: 72341T103 / Symbol:                  |                        |   |                   |                         |  |   |                             |
| 2 transactions for 08/03/22. Total proceeds and cost reported to the IRS. |                        |   |                   |                         |  |   |                             |
|   | 2.000                  | 55.94                                       | 03/23/21          | 45.94                   | ...  | 10.00   | 1 of 2 - Sale <sup>25</sup> |
|   | 3.000                  | 83.91                                       | 03/24/21          | 65.72                   | ...  | 18.19   | 2 of 2 - Sale <sup>25</sup> |
| 08/03/22  | 5.000                  | 139.85                                      | Various           | 111.66                  | ...  | 28.19   | Total of 2 transactions     |
| 10/17/22  | 7.000                  | 199.50                                      | 03/24/21          | 153.33                  | ...  | 46.17   | Merger                      |
|   | <b>Security total:</b> | <b>339.35</b>                               |                   | <b>264.99</b>           | ...  | <b>74.36</b>  |                             |
| SNOWFLAKE INC. / CUSIP: 833445109 / Symbol:                               |                        |   |                   |                         |  |   |                             |
| 2 transactions for 08/25/22. Total proceeds and cost reported to the IRS. |                        |   |                   |                         |  |   |                             |
|   | 2.000                  | 385.71                                      | 05/07/21          | 414.00                  | ...  | -28.29  | 1 of 2 - Sale <sup>25</sup> |
|   | 1.000                  | 192.85                                      | 05/07/21          | 207.00                  | ...  | -14.15  | 2 of 2 - Sale <sup>25</sup> |
| 08/25/22  | 3.000                  | 578.56                                      | Various           | 621.00                  | ...  | -42.44  | Total of 2 transactions     |
| UNITED AIRLINES HOLDINGS, INC. COMMON STOCK / CUSIP: 910047109 / Symbol:  |                        |   |                   |                         |  |   |                             |
| 2 transactions for 04/22/22. Total proceeds and cost reported to the IRS. |                        |   |                   |                         |  |   |                             |
|   | 5.000                  | 261.45                                      | 04/19/21          | 270.00                  | ...  | -8.55   | 1 of 2 - Sale <sup>25</sup> |
|   | 5.000                  | 261.44                                      | 04/20/21          | 249.70                  | ...  | 11.74   | 2 of 2 - Sale <sup>25</sup> |
| 04/22/22  | 10.000                 | 522.89                                      | Various           | 519.70                  | ...  | 3.19  | Total of 2 transactions     |
| ZENDESK INC / CUSIP: 98936J101 / Symbol:                                  |                        |   |                   |                         |  |   |                             |
| 11/21/22  | 1.000                  | 77.50                                       | 07/29/21          | 140.00                  | ...  | -62.50  | Merger                      |
| <b>Totals :</b>   |                        | <b>1,720.90</b>                             |                   | <b>1,723.81</b>         |  | <b>-2.91</b>  |                             |

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Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 160788295

**Proceeds from Broker and Barter Exchange Transactions**

2022 1099-B\* OMB No. 1545-0715

(continued)

02/13/2023

**UNDETERMINED TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)**

Report on Form 8949, Part I with Box B checked or Part II with Box E checked. Basis is NOT provided to the IRS. (Line 12)

"Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

| 1c- Date<br>sold or<br>disposed                  | Quantity | 1d- Proceeds &<br>6- Reported<br>(G)ross or (N)et | Date<br>acquired | Cost or<br>other basis | Accrued mkt disc (D) &<br>Wash sale loss<br>disallowed (W) | Gain or loss(-) &<br>7- Loss not allowed (X)<br>also not reported (Z) | Additional information       |
|--|----------|---|------------------|------------------------|--|---|------------------------------|
| CREDIT SUISSE GROUP / CUSIP: 225401108 / Symbol: |          |   |                  |                        |  |   |                              |
| 11/29/22   | 0.000    | 0.53  | N/A              | ...                    | ...  | ...   | Proceeds from sale of rights |
| <b>Totals :</b>                                  |          | <b>0.53</b>                                       |                  | ...                    |  | ...   |                              |

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

**Robinhood Markets Inc. as agent for  
Robinhood Securities LLC  
2022**

**Proceeds Not Reported to the IRS**

Account 160788295

02/13/2023

*This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.*

*Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.*

*Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.*

**LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]**

Report on Form 8949, Part II, with Box F checked.

**Description of property**

| <b>Date sold or disposed</b>  | <b>Quantity</b> | <b>Proceeds &amp; Reported (G)ross or (N)et</b> | <b>Date acquired</b> | <b>Cost or other basis</b> | <b>Accrued mkt disc (D) &amp; Wash sale loss disallowed (W)</b> | <b>Gain or loss(-) &amp; Loss not allowed (X) also not reported (Z)</b> | <b>Additional information</b> |
|---|-----------------|---|----------------------|----------------------------|---|---|-------------------------------|
| WARNER BROS. DISCOVERY, INC. S SERIES A COMMON STOCK / CUSIP: 934423104 / Symbol: |                 |   |                      |                            |   |   |                               |
| 04/18/22  | 0.326           | 7.78  | 02/26/21             | 9.01                       | ...   | -1.23   | Gain or loss                  |
| <b>Totals:</b>  |                 | <b>7.78</b>                                     |                      | <b>9.01</b>                | ...   | <b>-1.23</b>  |                               |



Robinhood Markets Inc. as agent for  
 Robinhood Securities LLC  
 2022

Account 160788295  
 02/13/2023

**Detail for Dividends and Distributions**

*This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.*

*Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.*

*Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. There is also a holder level criteria for a dividend to be treated as Qualified. If the payment is from a common stock you are required to have held it for more than 60 days during the 121-day period that begins 60 days before the ex-dividend date of the dividend. If the dividend is from preferred shares and covers a period greater than 366 days, you must have held the preferred stock for more than 90 days during the 181-day period that begins 90 days before the ex-dividend date of the dividend.*

*Amounts presented in Box 2e as Section 897 Ordinary Dividends or Box 2f as Section 897 Capital Gains apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. If you are a US citizen, you should disregard this.*

| Security description                   | CUSIP and/or symbol | State | Date                     | Amount       | Transaction type                           | Notes |
|--|---------------------|-------|--------------------------|--------------|--|-------|
| AGNC INVESTMENT CORP. COMMON S<br>TOCK | 00123Q104           |       | 01/11/22                 | 0.92         | Nondividend distribution                   | 03    |
|  |                     |       | 01/11/22                 | 0.80         | Section 199A dividend                      | 03    |
|  |                     |       | 02/09/22                 | 0.86         | Nondividend distribution                   | 03    |
|  |                     |       | 02/09/22                 | 0.75         | Section 199A dividend                      | 03    |
|  |                     |       | 03/09/22                 | 0.94         | Nondividend distribution                   | 03    |
|  |                     |       | 03/09/22                 | 0.81         | Section 199A dividend                      | 03    |
|  |                     |       | 04/12/22                 | 1.01         | Nondividend distribution                   | 03    |
|  |                     |       | 04/12/22                 | 0.88         | Section 199A dividend                      | 03    |
|  |                     |       | 05/10/22                 | 1.02         | Nondividend distribution                   | 03    |
|  |                     |       | 05/10/22                 | 0.89         | Section 199A dividend                      | 03    |
|  |                     |       | 06/09/22                 | 1.03         | Nondividend distribution                   | 03    |
|  |                     |       | 06/09/22                 | 0.89         | Section 199A dividend                      | 03    |
|  |                     |       | 07/12/22                 | 1.04         | Nondividend distribution                   | 03    |
|  |                     |       | 07/12/22                 | 0.90         | Section 199A dividend                      | 03    |
|  |                     |       | 08/09/22                 | 1.05         | Nondividend distribution                   | 03    |
|  |                     |       | 08/09/22                 | 0.91         | Section 199A dividend                      | 03    |
|  |                     |       | 09/12/22                 | 1.06         | Nondividend distribution                   | 03    |
|  |                     |       | 09/12/22                 | 0.92         | Section 199A dividend                      | 03    |
|  |                     |       | 10/12/22                 | 1.26         | Nondividend distribution                   | 03    |
|  |                     |       | 10/12/22                 | 1.10         | Section 199A dividend                      | 03    |
|  | 11/09/22            | 1.28  | Nondividend distribution | 03           |  |       |
|  | 11/09/22            | 1.12  | Section 199A dividend    | 03           |  |       |
|  | 12/09/22            | 1.30  | Nondividend distribution | 03           |  |       |
|  | 12/09/22            | 1.13  | Section 199A dividend    | 03           |  |       |
|  |                     |       |                          | <b>23.87</b> | <b>Total Dividends &amp; distributions</b> |       |

Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 160788295

## Detail for Dividends and Distributions

2022

(continued)

02/13/2023

| Security description  | CUSIP and/or symbol | State                                      | Date     | Amount       | Transaction type                           | Notes |
|---|---------------------|--|----------|--------------|--|-------|
| AT&T INC.   | 00206R102           |  | 02/01/22 | 1.23         | Qualified dividend                         |       |
|   |                     |  | 05/02/22 | 1.52         | Qualified dividend                         |       |
|   |                     |  | 08/01/22 | 1.54         | Qualified dividend                         |       |
|   |                     |  | 11/01/22 | 1.57         | Qualified dividend                         |       |
|   |                     |  |          | <b>5.86</b>  | <b>Total Dividends &amp; distributions</b> |       |
| AIRBUS SE AMERICAN DEPOSITARY<br>RECEIPTS - UNSPONSORED             | 009279100           |  | 05/06/22 | 0.41         | Nonqualified dividend                      |       |
|   |                     |  | 05/06/22 | -0.06        | Foreign tax withheld-NL                    |       |
|   |                     |  |          | <b>0.41</b>  | <b>Total Dividends &amp; distributions</b> |       |
|   |                     |  |          | <b>-0.06</b> | <b>Total Foreign tax withheld</b>          |       |
| ALTRIA GROUP, INC.  | 02209S103           |  | 01/10/22 | 1.80         | Qualified dividend                         |       |
|   |                     |  | 04/29/22 | 2.75         | Qualified dividend                         |       |
|   |                     |  | 07/11/22 | 2.79         | Qualified dividend                         |       |
|   |                     |  | 10/11/22 | 2.98         | Qualified dividend                         |       |
|   |                     |  |          | <b>10.32</b> | <b>Total Dividends &amp; distributions</b> |       |
| AMERICAN EAGLE OUTFITTERS   | 02553E106           |  | 07/22/22 | 0.18         | Qualified dividend                         |       |
| ANNALY CAPITAL MANAGEMENT. INC .                                    | 035710409           |  | 04/29/22 | 4.96         | Section 199A dividend                      | 03    |
|   |                     |  | 04/29/22 | 0.78         | Nondividend distribution                   | 03    |
|   |                     |  | 04/29/22 | 0.06         | Qualified dividend                         | 03    |
|   |                     |  | 07/29/22 | 5.13         | Section 199A dividend                      | 03    |
|   |                     |  | 07/29/22 | 0.81         | Nondividend distribution                   | 03    |
|   |                     |  | 07/29/22 | 0.06         | Qualified dividend                         | 03    |
|   | <b>11.80</b>        | <b>Total Dividends &amp; distributions</b> |          |              |  |       |
| ANNALY CAPITAL MANAGEMENT. INC .                                    | 035710839           |  | 10/31/22 | 5.29         | Section 199A dividend                      | 03    |
|   |                     |  | 10/31/22 | 0.84         | Nondividend distribution                   | 03    |
|   |                     |  | 10/31/22 | 0.06         | Qualified dividend                         | 03    |
|   |                     |  |          | <b>6.19</b>  | <b>Total Dividends &amp; distributions</b> |       |
| BANK OF AMERICA CORPORATION   | 060505104           |  | 03/25/22 | 6.09         | Qualified dividend                         |       |
|   |                     |  | 06/24/22 | 6.09         | Qualified dividend                         |       |
|   |                     |  | 09/30/22 | 6.38         | Qualified dividend                         |       |
|   |                     |  | 12/30/22 | 6.38         | Qualified dividend                         |       |
|   |                     |  |          | <b>24.94</b> | <b>Total Dividends &amp; distributions</b> |       |
| COGNIZANT TECHNOLOGY SOLUTIONS<br>CORPORATION CLASS A COMMON ST OCK | 192446102           |  | 03/01/22 | 1.36         | Qualified dividend                         |       |

Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 160788295

### Detail for Dividends and Distributions

2022

(continued)

02/13/2023

| Security description                            | CUSIP and/or symbol | State | Date     | Amount       | Transaction type                           | Notes |
|---|---------------------|-------|----------|--------------|--|-------|
| COSTCO WHOLESALE CORPORATION C<br>OMMON STOCK   | 22160K105           |       | 02/18/22 | 0.79         | Qualified dividend                         |       |
|   |                     |       | 05/13/22 | 0.90         | Qualified dividend                         |       |
|   |                     |       | 08/12/22 | 0.90         | Qualified dividend                         |       |
|   |                     |       | 11/10/22 | 0.91         | Qualified dividend                         |       |
|   |                     |       |          | <b>3.50</b>  | <b>Total Dividends &amp; distributions</b> |       |
| D.R. HORTON INC.                                | 23331A109           |       | 02/25/22 | 0.23         | Qualified dividend                         |       |
|   |                     |       | 05/18/22 | 0.23         | Qualified dividend                         |       |
|   |                     |       | 08/11/22 | 0.23         | Qualified dividend                         |       |
|   |                     |       | 12/12/22 | 0.25         | Qualified dividend                         |       |
|   |                     |       |          | <b>0.94</b>  | <b>Total Dividends &amp; distributions</b> |       |
| DICK'S SPORTING GOODS, INC.                     | 253393102           |       | 03/25/22 | 0.24         | Qualified dividend                         |       |
|   |                     |       | 06/24/22 | 0.24         | Qualified dividend                         |       |
|   |                     |       | 09/30/22 | 0.25         | Qualified dividend                         |       |
|   |                     |       | 12/30/22 | 0.25         | Qualified dividend                         |       |
|   |                     |       |          | <b>0.98</b>  | <b>Total Dividends &amp; distributions</b> |       |
| EBAY INC. COMMON STOCK                          | 278642103           |       | 03/18/22 | 0.67         | Qualified dividend                         |       |
|   |                     |       | 06/17/22 | 0.67         | Qualified dividend                         |       |
|   |                     |       | 09/16/22 | 0.67         | Qualified dividend                         |       |
|   |                     |       | 12/16/22 | 0.46         | Qualified dividend                         |       |
|   |                     |       |          | <b>2.47</b>  | <b>Total Dividends &amp; distributions</b> |       |
| FEDEX CORPORATION                               | 31428X106           |       | 04/01/22 | 0.75         | Qualified dividend                         |       |
|   |                     |       | 07/11/22 | 1.16         | Qualified dividend                         |       |
|   |                     |       | 10/03/22 | 1.16         | Qualified dividend                         |       |
|   |                     |       |          | <b>3.07</b>  | <b>Total Dividends &amp; distributions</b> |       |
| FIDELITY NATIONAL INFORMATION<br>SERVICES, INC. | 31620M106           |       | 03/25/22 | 0.47         | Qualified dividend                         |       |
|   |                     |       | 06/24/22 | 0.48         | Qualified dividend                         |       |
|   |                     |       | 09/30/22 | 0.48         | Qualified dividend                         |       |
|   |                     |       | 12/23/22 | 0.95         | Qualified dividend                         |       |
|   |                     |       |          | <b>2.38</b>  | <b>Total Dividends &amp; distributions</b> |       |
| FORD MOTOR COMPANY                              | 345370860           |       | 03/01/22 | 4.84         | Qualified dividend                         |       |
|   |                     |       | 06/01/22 | 7.58         | Qualified dividend                         |       |
|   |                     |       | 09/01/22 | 11.46        | Qualified dividend                         |       |
|   |                     |       | 12/01/22 | 10.82        | Qualified dividend                         |       |
|   |                     |       |          | <b>34.70</b> | <b>Total Dividends &amp; distributions</b> |       |

Robinhood Markets Inc. as agent for  
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### Detail for Dividends and Distributions

2022

(continued)

02/13/2023

| Security description           | CUSIP and/or symbol | State | Date     | Amount       | Transaction type                           | Notes |
|--------------------------------|---------------------|-------|----------|--------------|--|-------|
| THE GAP, INC.                  | 364760108           |       | 01/26/22 | 0.24         | Qualified dividend                         |       |
|                                |                     |       | 04/27/22 | 0.30         | Qualified dividend                         |       |
|                                |                     |       | 07/27/22 | 0.31         | Qualified dividend                         |       |
|                                |                     |       | 10/26/22 | 0.31         | Qualified dividend                         |       |
|                                |                     |       |          | <b>1.16</b>  | <b>Total Dividends &amp; distributions</b> |       |
| GENERAL ELECTRIC COMPANY       | 369604301           |       | 04/25/22 | 0.08         | Qualified dividend                         |       |
| GENERAL MOTORS COMPANY         | 37045V100           |       | 09/15/22 | 0.45         | Qualified dividend                         |       |
|                                |                     |       | 12/15/22 | 0.45         | Qualified dividend                         |       |
|                                |                     |       |          | <b>0.90</b>  | <b>Total Dividends &amp; distributions</b> |       |
| GUESS?, INC.                   | 401617105           |       | 04/18/22 | 0.23         | Qualified dividend                         |       |
|                                |                     |       | 06/24/22 | 0.01         | Qualified dividend                         |       |
|                                |                     |       | 09/23/22 | 0.47         | Qualified dividend                         |       |
|                                |                     |       | 12/23/22 | 0.24         | Qualified dividend                         |       |
|                                |                     |       |          | <b>0.95</b>  | <b>Total Dividends &amp; distributions</b> |       |
| HOME DEPOT, INC.               | 437076102           |       | 03/24/22 | 1.92         | Qualified dividend                         |       |
|                                |                     |       | 06/16/22 | 1.93         | Qualified dividend                         |       |
|                                |                     |       | 09/15/22 | 1.94         | Qualified dividend                         |       |
|                                |                     |       | 12/15/22 | 1.96         | Qualified dividend                         |       |
|                                |                     |       |          | <b>7.75</b>  | <b>Total Dividends &amp; distributions</b> |       |
| INTEL CORPORATION COMMON STOCK | 458140100           |       | 03/01/22 | 3.65         | Qualified dividend                         |       |
| INVESCO QQQ TRUST, SERIES 1    | 46090E103           |       | 07/29/22 | 0.02         | Qualified dividend                         |       |
|                                |                     |       | 10/31/22 | 2.10         | Qualified dividend                         |       |
|                                |                     |       | 12/30/22 | 0.04         | Qualified dividend                         |       |
|                                |                     |       |          | <b>2.16</b>  | <b>Total Dividends &amp; distributions</b> |       |
| INVESCO MORTGAGE CAPITAL INC.  | 46131B100           |       | 01/27/22 | 5.06         | Section 199A dividend                      | 03    |
|                                |                     |       | 01/27/22 | 3.92         | Nondividend distribution                   | 03    |
|                                |                     |       | 04/27/22 | 3.77         | Section 199A dividend                      | 03    |
|                                |                     |       | 04/27/22 | 2.92         | Nondividend distribution                   | 03    |
|                                |                     |       |          | <b>15.67</b> | <b>Total Dividends &amp; distributions</b> |       |

Robinhood Markets Inc. as agent for  
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## Detail for Dividends and Distributions

2022

(continued)

02/13/2023

| Security description                    | CUSIP and/or symbol | State | Date     | Amount      | Transaction type                           | Notes |
|---|---------------------|-------|----------|-------------|--|-------|
| INVESCO MORTGAGE CAPITAL INC.           | 46131B704           |       | 07/27/22 | 0.09        | Section 199A dividend                      | 03    |
|   |                     |       | 07/27/22 | 0.07        | Nondividend distribution                   | 03    |
|   |                     |       | 10/27/22 | 2.47        | Section 199A dividend                      | 03    |
|   |                     |       | 10/27/22 | 1.92        | Nondividend distribution                   | 03    |
|   |                     |       |          | <b>4.55</b> | <b>Total Dividends &amp; distributions</b> |       |
| JD.COM, INC. AMERICAN DEPOSITARY SHARES | 47215P106           |       | 06/14/22 | 6.30        | Nonqualified dividend                      |       |
| KOHL'S CORPORATION                      | 500255104           |       | 03/30/22 | 0.52        | Qualified dividend                         |       |
|   |                     |       | 06/22/22 | 1.02        | Qualified dividend                         |       |
|   |                     |       | 09/21/22 | 4.04        | Qualified dividend                         |       |
|   |                     |       | 12/21/22 | 4.11        | Qualified dividend                         |       |
|   |                     |       |          | <b>9.69</b> | <b>Total Dividends &amp; distributions</b> |       |
| MACY'S INC.                             | 55616P104           |       | 01/03/22 | 0.75        | Qualified dividend                         |       |
|   |                     |       | 10/03/22 | 0.47        | Qualified dividend                         |       |
|   |                     |       |          | <b>1.22</b> | <b>Total Dividends &amp; distributions</b> |       |
| MERCK & CO., INC.                       | 58933Y105           |       | 01/07/22 | 0.69        | Qualified dividend                         |       |
|   |                     |       | 04/07/22 | 0.70        | Qualified dividend                         |       |
|   |                     |       | 07/08/22 | 0.70        | Qualified dividend                         |       |
|   |                     |       | 10/07/22 | 0.71        | Qualified dividend                         |       |
|   |                     |       |          | <b>2.80</b> | <b>Total Dividends &amp; distributions</b> |       |
| MICRON TECHNOLOGY, INC. COMMON STOCK    | 595112103           |       | 01/18/22 | 0.20        | Qualified dividend                         |       |
|   |                     |       | 04/26/22 | 0.20        | Qualified dividend                         |       |
|   |                     |       | 07/26/22 | 0.23        | Qualified dividend                         |       |
|   |                     |       | 10/26/22 | 0.23        | Qualified dividend                         |       |
|   |                     |       |          | <b>0.86</b> | <b>Total Dividends &amp; distributions</b> |       |
| NEXTRA ENERGY, INC.                     | 65339F101           |       | 03/15/22 | 0.43        | Qualified dividend                         |       |
|   |                     |       | 06/15/22 | 0.43        | Qualified dividend                         |       |
|   |                     |       | 09/15/22 | 0.43        | Qualified dividend                         |       |
|   |                     |       | 12/15/22 | 0.43        | Qualified dividend                         |       |
|   |                     |       |          | <b>1.72</b> | <b>Total Dividends &amp; distributions</b> |       |

Robinhood Markets Inc. as agent for  
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**Detail for Dividends and Distributions**

(continued)

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| Security description                         | CUSIP and/or symbol | State | Date     | Amount       | Transaction type                           | Notes |
|--|---------------------|-------|----------|--------------|--|-------|
| NORDSTROM, INC.                              | 655664100           |       | 06/15/22 | 0.19         | Qualified dividend                         |       |
|  |                     |       | 09/14/22 | 0.77         | Qualified dividend                         |       |
|  |                     |       | 12/14/22 | 0.77         | Qualified dividend                         |       |
|  |                     |       |          | <b>1.73</b>  | <b>Total Dividends &amp; distributions</b> |       |
| NVIDIA CORPORATION COMMON STOC K             | 67066G104           |       | 09/29/22 | 0.16         | Qualified dividend                         |       |
| OCCIDENTAL PETROLEUM CORPORATI<br>ON         | 674599105           |       | 07/15/22 | 0.13         | Qualified dividend                         |       |
|  |                     |       | 10/17/22 | 0.13         | Qualified dividend                         |       |
|  |                     |       |          | <b>0.26</b>  | <b>Total Dividends &amp; distributions</b> |       |
| PNC FINANCIAL SERVICES GROUP                 | 693475105           |       | 02/07/22 | 1.25         | Qualified dividend                         |       |
|  |                     |       | 05/05/22 | 3.00         | Qualified dividend                         |       |
|  |                     |       | 08/05/22 | 3.00         | Qualified dividend                         |       |
|  |                     |       | 11/07/22 | 3.00         | Qualified dividend                         |       |
|  |                     |       |          | <b>10.25</b> | <b>Total Dividends &amp; distributions</b> |       |
| PFIZER INC.                                  | 717081103           |       | 03/04/22 | 0.80         | Qualified dividend                         |       |
|  |                     |       | 06/10/22 | 0.80         | Qualified dividend                         |       |
|  |                     |       | 09/06/22 | 0.80         | Qualified dividend                         |       |
|  |                     |       | 12/05/22 | 0.80         | Qualified dividend                         |       |
|  |                     |       |          | <b>3.20</b>  | <b>Total Dividends &amp; distributions</b> |       |
| T. ROWE PRICE GROUP, INC. COMM ON<br>STOCK   | 74144T108           |       | 03/30/22 | 1.21         | Qualified dividend                         |       |
|  |                     |       | 06/29/22 | 1.22         | Qualified dividend                         |       |
|  |                     |       | 09/29/22 | 1.24         | Qualified dividend                         |       |
|  |                     |       | 12/29/22 | 1.25         | Qualified dividend                         |       |
|  |                     |       |          | <b>4.92</b>  | <b>Total Dividends &amp; distributions</b> |       |
| QUALCOMM INCORPORATED COMMON S<br>TOCK       | 747525103           |       | 03/24/22 | 0.70         | Qualified dividend                         |       |
|  |                     |       | 06/23/22 | 0.77         | Qualified dividend                         |       |
|  |                     |       | 09/22/22 | 0.78         | Qualified dividend                         |       |
|  |                     |       | 12/15/22 | 0.78         | Qualified dividend                         |       |
|  |                     |       |          | <b>3.03</b>  | <b>Total Dividends &amp; distributions</b> |       |
| SMITH & WESSON BRANDS, INC. CO<br>MMON STOCK | 831754106           |       | 01/03/22 | 0.16         | Qualified dividend                         |       |
|  |                     |       | 03/31/22 | 0.16         | Qualified dividend                         |       |
|  |                     |       | 07/21/22 | 0.20         | Qualified dividend                         |       |
|  |                     |       | 10/06/22 | 0.20         | Qualified dividend                         |       |
|  |                     |       |          | <b>0.72</b>  | <b>Total Dividends &amp; distributions</b> |       |

Robinhood Markets Inc. as agent for  
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### Detail for Dividends and Distributions

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(continued)

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| Security description   | CUSIP and/or symbol | State                             | Date     | Amount       | Transaction type                           | Notes |
|--|---------------------|-----------------------------------|----------|--------------|--|-------|
| SUBARU CORPORATION AMERICAN DE<br>POSITARY RECEIPTS - UNSPONSORE D | 86428V104           |                                   | 07/08/22 | -0.08        | Foreign tax withheld-JA                    |       |
|  |                     |                                   | 12/21/22 | 0.55         | Nonqualified dividend                      |       |
|  |                     |                                   | 12/21/22 | -0.11        | Foreign tax withheld-JA                    |       |
|  |                     |                                   |          | <b>0.55</b>  | <b>Total Dividends &amp; distributions</b> |       |
|  |                     |                                   |          | <b>-0.19</b> | <b>Total Foreign tax withheld</b>          |       |
| TFS FINANCIAL CORPORATION COMM ON<br>STOCK                         | 87240R107           |                                   | 03/22/22 | 1.48         | Qualified dividend                         |       |
|  |                     |                                   | 06/21/22 | 1.50         | Qualified dividend                         |       |
|  |                     |                                   | 09/20/22 | 1.53         | Qualified dividend                         |       |
|  |                     |                                   | 12/13/22 | 1.56         | Qualified dividend                         |       |
|  |                     |                                   |          | <b>6.07</b>  | <b>Total Dividends &amp; distributions</b> |       |
|  |                     |                                   |          | <b>-0.79</b> | <b>Total Foreign tax withheld</b>          |       |
| TAIWAN SEMICONDUCTOR MANUFACTU<br>RING COMPANY LTD.                | 874039100           |                                   | 01/13/22 | 0.50         | Qualified dividend                         |       |
|  |                     |                                   | 01/13/22 | -0.21        | Foreign tax withheld-TW                    |       |
|  |                     |                                   | 04/14/22 | 0.96         | Qualified dividend                         |       |
|  |                     |                                   | 04/14/22 | -0.20        | Foreign tax withheld-TW                    |       |
|  |                     |                                   | 07/14/22 | 0.93         | Qualified dividend                         |       |
|  |                     |                                   | 07/14/22 | -0.20        | Foreign tax withheld-TW                    |       |
|  |                     |                                   | 10/13/22 | 0.88         | Qualified dividend                         |       |
|  |                     |                                   | 10/13/22 | -0.18        | Foreign tax withheld-TW                    |       |
|  |                     |                                   |          | <b>3.27</b>  | <b>Total Dividends &amp; distributions</b> |       |
|  | <b>-0.79</b>        | <b>Total Foreign tax withheld</b> |          |              |  |       |
| TARGET CORPORATION   | 87612E106           |                                   | 03/10/22 | 0.91         | Qualified dividend                         |       |
|  |                     |                                   | 06/10/22 | 0.92         | Qualified dividend                         |       |
|  |                     |                                   | 09/12/22 | 1.11         | Qualified dividend                         |       |
|  |                     |                                   | 12/12/22 | 1.11         | Qualified dividend                         |       |
|  |                     |                                   |          | <b>4.05</b>  | <b>Total Dividends &amp; distributions</b> |       |
| 3M COMPANY   | 88579Y101           |                                   | 03/14/22 | 0.42         | Qualified dividend                         |       |
|  |                     |                                   | 06/13/22 | 0.43         | Qualified dividend                         |       |
|  |                     |                                   | 09/12/22 | 0.43         | Qualified dividend                         |       |
|  |                     |                                   | 12/12/22 | 0.44         | Qualified dividend                         |       |
|  |                     |                                   |          | <b>1.72</b>  | <b>Total Dividends &amp; distributions</b> |       |
| VERIZON COMMUNICATIONS   | 92343V104           |                                   | 02/01/22 | 1.35         | Qualified dividend                         |       |
|  |                     |                                   | 05/02/22 | 1.36         | Qualified dividend                         |       |
|  |                     |                                   | 08/01/22 | 1.38         | Qualified dividend                         |       |
|  |                     |                                   | 11/01/22 | 1.43         | Qualified dividend                         |       |
|  |                     |                                   |          | <b>5.52</b>  | <b>Total Dividends &amp; distributions</b> |       |

Robinhood Markets Inc. as agent for  
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## Detail for Dividends and Distributions

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| Security description       | CUSIP and/or symbol | State | Date     | Amount        | Transaction type                           | Notes |
|----------------------------|---------------------|-------|----------|---------------|--|-------|
| WALMART INC.               | 931142103           |       | 01/03/22 | 0.01          | Qualified dividend                         |       |
|                            |                     |       | 04/04/22 | 0.01          | Qualified dividend                         |       |
|                            |                     |       | 05/31/22 | 0.01          | Qualified dividend                         |       |
|                            |                     |       | 09/06/22 | 0.01          | Qualified dividend                         |       |
|                            |                     |       |          | <b>0.04</b>   | <b>Total Dividends &amp; distributions</b> |       |
| WELLS FARGO & CO.          | 949746101           |       | 03/01/22 | 2.50          | Qualified dividend                         |       |
| WINNEBAGO INDUSTRIES, INC. | 974637100           |       | 04/27/22 | 0.36          | Qualified dividend                         |       |
|                            |                     |       | 06/29/22 | 0.36          | Qualified dividend                         |       |
|                            |                     |       | 09/28/22 | 0.55          | Qualified dividend                         |       |
|                            |                     |       |          | <b>1.27</b>   | <b>Total Dividends &amp; distributions</b> |       |
|                            |                     |       |          | <b>241.69</b> | <b>Total Dividends &amp; distributions</b> |       |
|                            |                     |       |          | <b>-1.04</b>  | <b>Total Foreign tax withheld</b>          |       |



Robinhood Markets Inc. as agent for  
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Detail for Miscellaneous Information

2022

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| Security description                   | CUSIP and/or symbol | Date     | Amount | Transaction type           | Notes |
|--|---------------------|----------|--------|----------------------------|-------|
| AGNC INVESTMENT CORP. COMMON S<br>TOCK | 00123Q104           | 01/11/22 | 0.12   | Substitute payment         |       |
|  |                     | 02/09/22 | 0.24   | Substitute payment         |       |
|  |                     | 03/09/22 | 0.12   | Substitute payment         |       |
|  |                     |          | 0.48   | Total Miscellaneous income |       |
| AT&T INC.                              | 00206R102           | 02/01/22 | 1.56   | Substitute payment         |       |
| ALTRIA GROUP, INC.                     | 02209S103           | 01/10/22 | 0.90   | Substitute payment         |       |
| AMERICAN EAGLE OUTFITTERS              | 02553E106           | 03/24/22 | 0.18   | Substitute payment         |       |
| EBAY INC. COMMON STOCK                 | 278642103           | 12/16/22 | 0.22   | Substitute payment         |       |
| FORD MOTOR COMPANY                     | 345370860           | 03/01/22 | 2.70   | Substitute payment         |       |
|  |                     | 12/01/22 | 0.75   | Substitute payment         |       |
|  |                     |          | 3.45   | Total Miscellaneous income |       |
| GENERAL ELECTRIC COMPANY               | 369604301           | 01/25/22 | 0.08   | Substitute payment         |       |
| GUESS?, INC.                           | 401617105           | 04/18/22 | 0.23   | Substitute payment         |       |
|  |                     | 06/24/22 | 0.45   | Substitute payment         |       |
|  |                     | 12/23/22 | 0.23   | Substitute payment         |       |
|  |                     |          | 0.91   | Total Miscellaneous income |       |
| INVESCO QQQ TRUST, SERIES 1            | 46090E103           | 04/29/22 | 4.34   | Substitute payment         |       |
|  |                     | 07/29/22 | 5.27   | Substitute payment         |       |
|  |                     | 10/31/22 | 3.11   | Substitute payment         |       |
|  |                     | 12/30/22 | 6.55   | Substitute payment         |       |
|  |                     |          | 19.27  | Total Miscellaneous income |       |
| INVESCO MORTGAGE CAPITAL INC.          | 46131B100           | 01/27/22 | 0.27   | Substitute payment         |       |
|  |                     | 04/27/22 | 2.88   | Substitute payment         |       |
|  |                     |          | 3.15   | Total Miscellaneous income |       |
| INVESCO MORTGAGE CAPITAL INC.          | 46131B704           | 07/27/22 | 9.90   | Substitute payment         |       |
|  |                     | 10/27/22 | 3.25   | Substitute payment         |       |
|  |                     |          | 13.15  | Total Miscellaneous income |       |
| KOHL'S CORPORATION                     | 500255104           | 03/30/22 | 0.50   | Substitute payment         |       |

Robinhood Markets Inc. as agent for  
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**Detail for Miscellaneous Information**

2022

(continued)

02/13/2023

| Security description  | CUSIP and/or symbol | Date     | Amount       | Transaction type                  | Notes |
|---|---------------------|----------|--------------|-----------------------------------|-------|
| NORDSTROM, INC.   | 655664100           | 04/13/22 | 0.38         | Substitute payment                |       |
|   |                     | 06/15/22 | 0.19         | Substitute payment                |       |
|   |                     |          | 0.57         | Total Miscellaneous income        |       |
| PNC FINANCIAL SERVICES GROUP  | 693475105           | 02/07/22 | 1.25         | Substitute payment                |       |
| ROCKET COMPANIES, INC.  | 77311W101           | 03/22/22 | 10.10        | Substitute payment                |       |
| SUBARU CORPORATION AMERICAN DE<br>POSITARY RECEIPTS - UNSPONSORE D                      | 86428V104           | 07/08/22 | 0.52         | Substitute payment                |       |
|   |                     | 12/21/22 | 0.14         | Substitute payment                |       |
|   |                     |          | 0.66         | Total Miscellaneous income        |       |
| TAIWAN SEMICONDUCTOR MANUFACTU<br>RING COMPANY LTD.                                     | 874039100           | 01/13/22 | 0.50         | Substitute payment                |       |
| TENCENT HOLDINGS LIMITED UNSPO<br>NSORED ADR REPRESENTING B SHAR ES<br>(CAYMAN ISLANDS) | 88032Q109           | 04/13/22 | 9.52         | Substitute payment                |       |
|   |                     | 06/21/22 | 1.43         | Substitute payment                |       |
|   |                     |          | 10.95        | Total Miscellaneous income        |       |
| WINNEBAGO INDUSTRIES, INC.  | 974637100           | 01/26/22 | 0.36         | Substitute payment                |       |
|   |                     |          | <b>68.24</b> | <b>Total Miscellaneous income</b> |       |

**Robinhood Markets Inc. as agent for  
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2022**

Account 160788295

**Other Receipts and Reconciliations**

02/13/2023

*This section of your tax information statement contains the detail of the year-to-year adjustments between cash distributions and reportable income for Unit Investment Trusts, reflecting the fact that income is recognized at the time it is received by the trust, rather than when a distribution is made.*

*Also shown are distributions whose tax impact will be defined by other documentation. In the case of partnership distributions, you will receive Schedule K-1 (Form 1065) directly from the partnership(s). For deferred income, the Form 1099-DIV issued in the following year will characterize the amounts received.*

*These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.*

| <b>Security description</b>            | <b>CUSIP and/or symbol</b> | <b>Date</b> | <b>Amount</b> | <b>Transaction type</b>               | <b>Notes</b> |
|--|----------------------------|-------------|---------------|---------------------------------------|--------------|
| AGNC INVESTMENT CORP. COMMON S<br>TOCK | 00123Q104                  | 01/11/23    | 2.22          | Deferred income payment               | 03,24        |
| ANNALY CAPITAL MANAGEMENT. INC .       | 035710839                  | 01/31/23    | 6.47          | Deferred income payment               | 03,24        |
| ENTERPRISE PRODUCTS PARTNERS L .P.     | 293792107                  | 02/11/22    | 4.74          | Partnership distribution              |              |
|  |                            | 05/12/22    | 4.83          | Partnership distribution              |              |
|  |                            | 08/12/22    | 5.02          | Partnership distribution              |              |
|  |                            | 11/14/22    | 5.11          | Partnership distribution              |              |
|  |                            |             | 19.70         | Total Partnership distribution        |              |
|  |                            |             | <b>8.69</b>   | <b>Total Deferred income payment</b>  |              |
|  |                            |             | <b>19.70</b>  | <b>Total Partnership distribution</b> |              |

Robinhood Markets Inc. as agent for  
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## Fees and Expenses

2022

02/13/2023

*This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.*

*These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.*

| Description   | CUSIP and/or symbol | Date     | Amount         | Transaction type                  | Notes |
|---------------|---------------------|----------|----------------|-----------------------------------|-------|
| Margin charge |                     | 01/10/22 | -5.00          | Margin interest paid              |       |
|               |                     | 01/10/22 | -58.26         | Margin interest paid              |       |
|               |                     | 02/07/22 | -5.00          | Margin interest paid              |       |
|               |                     | 02/07/22 | -55.71         | Margin interest paid              |       |
|               |                     | 03/09/22 | -5.00          | Margin interest paid              |       |
|               |                     | 03/09/22 | -50.84         | Margin interest paid              |       |
|               |                     | 04/08/22 | -5.00          | Margin interest paid              |       |
|               |                     | 04/08/22 | -39.31         | Margin interest paid              |       |
|               |                     | 05/09/22 | -5.00          | Margin interest paid              |       |
|               |                     | 05/09/22 | -40.92         | Margin interest paid              |       |
|               |                     | 06/07/22 | -5.00          | Margin interest paid              |       |
|               |                     | 06/07/22 | -36.98         | Margin interest paid              |       |
|               |                     | 07/07/22 | -5.00          | Margin interest paid              |       |
|               |                     | 07/07/22 | -34.20         | Margin interest paid              |       |
|               |                     | 08/08/22 | -5.00          | Margin interest paid              |       |
|               |                     | 08/08/22 | -31.97         | Margin interest paid              |       |
|               |                     | 09/06/22 | -5.00          | Margin interest paid              |       |
|               |                     | 09/06/22 | -22.65         | Margin interest paid              |       |
|               |                     | 10/05/22 | -5.00          | Margin interest paid              |       |
|               |                     | 10/05/22 | -16.50         | Margin interest paid              |       |
|               |                     | 11/04/22 | -5.00          | Margin interest paid              |       |
|               |                     | 11/04/22 | -52.07         | Margin interest paid              |       |
|               |                     | 12/05/22 | -5.00          | Margin interest paid              |       |
|               |                     | 12/05/22 | -57.70         | Margin interest paid              |       |
|               |                     |          | -557.11        | Total Margin interest paid        |       |
|               |                     |          | <b>-557.11</b> | <b>Total Margin interest paid</b> |       |

Robinhood Markets Inc. as agent for  
Robinhood Securities LLC  
2022

## Foreign Income and Taxes Summary

Account 160788295

02/13/2023

This schedule lists all income and foreign tax by country. Mutual Funds and Unit Investment Trusts (UITs) structured as Regulated Investment Companies (RICs) are listed separately with a country designation of "RIC."

| Country                  | Security Description  | CUSIP     | Nonqualified | Dividends    |             | Interest    | Total Income | Tax |
|--------------------------|---|-----------|--------------|--------------|-------------|-------------|--------------|-----|
|                          |   |           |              | Qualified    |             |             |              |     |
| CH                       | JD.COM, INC. AMERICAN DEPOSITARY SHARES                       | 47215P106 | 6.30         | 0.00         | 0.00        | 6.30        | 0.00         |     |
| <b>Total CHINA</b>       |   |           | <b>6.30</b>  | <b>0.00</b>  | <b>0.00</b> | <b>6.30</b> | <b>0.00</b>  |     |
| JA                       | SUBARU CORPORATION AMERICAN DEPOSITARY RECEIPTS - UNSPONSORED | 86428V104 | 0.55         | 0.00         | 0.00        | 0.55        | -0.19        |     |
| <b>Total JAPAN</b>       |   |           | <b>0.55</b>  | <b>0.00</b>  | <b>0.00</b> | <b>0.55</b> | <b>-0.19</b> |     |
| NL                       | AIRBUS SE AMERICAN DEPOSITARY RECEIPTS - UNSPONSORED          | 009279100 | 0.41         | 0.00         | 0.00        | 0.41        | -0.06        |     |
| <b>Total NETHERLANDS</b> |   |           | <b>0.41</b>  | <b>0.00</b>  | <b>0.00</b> | <b>0.41</b> | <b>-0.06</b> |     |
| TW                       | TAIWAN SEMICONDUCTOR MANUFACTURING COMPANY LTD.               | 874039100 | 0.00         | 3.27         | 0.00        | 3.27        | -0.79        |     |
| <b>Total TAIWAN</b>      |   |           | <b>0.00</b>  | <b>3.27</b>  | <b>0.00</b> | <b>3.27</b> | <b>-0.79</b> |     |
| <b>Grand Total</b>       | <b>Total Foreign Source Income*</b>                           |           |              | <b>10.53</b> |             |             |              |     |
|                          | <b>Qualified Foreign Source Income**</b>                      |           |              | <b>3.27</b>  |             |             |              |     |
|                          | <b>Foreign Tax</b>  |           |              | <b>-1.04</b> |             |             |              |     |

\* Total Foreign Source Income utilizes the "Total" column for RICs which may not always be supplied.

\*\* Qualified Foreign Source Income utilizes the "Qualified" column for RICs which may not always be supplied.

Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 160788295

### Non-Reportable Actions

2022

02/13/2023

| Security Description                                      | CUSIP and/or symbol         | Date     | Quantity | Amount | Transaction type | Notes |
|---|-----------------------------|----------|----------|--------|------------------|-------|
| AMC ENTERTAINMENT HOLDINGS, IN C., PREFERRED EQUITY UNITS | 00165C203                   | 08/22/22 | 3.00     | 0.00   | Stock spinoff    |       |
| ATLISSIAN CORPORATION CLASS A COMMON STOCK                | 049468101                   | 10/03/22 | 0.70     | 0.00   | Exchange         |       |
| CRYPTYDE, INC. COMMON STOCK                               | 22890A104                   | 06/30/22 | 1.50     | 0.00   | Stock spinoff    |       |
| PING IDENTITY HOLDING CORP.                               | 72341T103                   | 10/18/22 | -7.00    | 0.00   | Merger           |       |
| RADIUS HEALTH, INC. COMMON STOCK                          | 750469207                   | 08/17/22 | -1.00    | 0.00   | Merger           |       |
| RDUS CONTINGENT VALUE RIGHT (C VR)                        | 750CVR011                   | 08/17/22 | 1.00     | 0.00   | Merger           |       |
| TAKE-TWO INTERACTIVE SOFTWARE, INC. COMMON STOCK          | 874054109                   | 05/24/22 | 0.41     | 0.00   | Merger           |       |
| WARNER BROS. DISCOVERY, INC. SERIES A COMMON STOCK        | 934423104                   | 04/11/22 | 1.00     | 0.00   | Stock spinoff    |       |
| ZENDESK INC   | 98936J101                   | 11/23/22 | -1.00    | 0.00   | Merger           |       |
| ZYNGA INC. CLASS A COMMON STOCK                           | 98986T108                   | 05/24/22 | -10.00   | 0.00   | Merger           |       |
| ATLISSIAN CORPORATION PLC CLASS A ORDINARY SHARES         | G06242104                   | 10/03/22 | -0.70    | 0.00   | Exchange         |       |
| GOOG 01/20/2023 CALL \$200.00                             | GOOG 01/20/23 C<br>200.000  | 07/18/22 | 20.00    | 0.00   | Merger           |       |
| GOOG 01/20/2023 CALL \$4,000.00                           | GOOG 01/20/23 C<br>4000.000 | 07/18/22 | -1.00    | 0.00   | Merger           |       |

- 03 The tax character of the distribution has been allocated based on information provided by the security issuer.
- 24 Although distributions by mutual funds or REITs are ordinarily reported in the year of record date (2022), the payer will not determine the tax character of this distribution until the conclusion of 2023. It will be reported on your tax statement for that year.
- 25 Tax lot closed on a first in first out basis.
-

**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.****1099-DIV Instructions for Recipient**

**Line 1a.** Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

**Line 1b.** Shows the portion of the amount in Line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

**Line 2a.** Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in Lines 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Form 1040.

**Line 2b.** Shows the portion of the amount in Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

**Line 2c.** Shows the portion of the amount in Line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

**Line 2d.** Shows the portion of the amount in Line 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

**Line 2e.** Shows the portion of the amount in Line 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

**Line 2f.** Shows the portion of the amount in Line 2a that is section 897 gain attributable to disposition of USRPI.

Note: Lines 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

**Line 3.** Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

**Line 4.** Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** Shows the portion of the amount in Line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

**Line 6.** Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in Line 1a.

**Line 7.** Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Form 1040.

**Line 8.** This Line should be left blank if a RIC reported the foreign tax shown in Line 7.

**Instructions for Recipient**

**Lines 9 and 10.** Show cash and noncash liquidation distributions.

**Line 11.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You may also have a filing requirement. See the Instructions for Form 8938.

**Line 12.** Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040SR for where to report. This amount may be subject to backup withholding. See Line 4 above.

**Line 13.** Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in Line 12. See the Instructions for Form 6251.

**Lines 14-16.** State income tax withheld reporting Lines.

**Future developments.** For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099DIV](http://www.irs.gov/Form1099DIV).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

**Line 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

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**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward



**Instructions for Recipient**

contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

**Line 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

**Line 3.** Generally, report this amount on the "Other income" line on Form 1040 (or Form 1040NR) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).

**Line 4.** See "Backup Withholding" section.

**Line 8.** Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040).

**Line 13.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You may also have a filing requirement. See the Instructions for Form 8938.

**Lines 16-18.** Show state or local income tax withheld from the payments.

**Future developments.** For the latest information about developments related to Form 1099-MISC and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099MISC](http://www.irs.gov/Form1099MISC).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

**1099-MISC Instructions for Recipient**

**Line 2.** Report royalties from oil, gas, or mineral properties; copyrights; and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the Schedule E (Form 1040) instructions. For royalties on timber, coal, and iron ore, see Pub. 544.

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|  |   |                            |      |
|--|---|----------------------------|------|
| <b>Robinhood Markets Inc. as agent for Robinhood Crypto LLC</b><br>85 Willow Rd<br>Menlo Park, CA 94025<br>Customer Service: 650-940-2700<br><br>PAYER'S TIN: 46-4364776 | <b>Tax Information</b><br><b>Account 160788295C</b>   | Statement Date: 02/13/2023 | 2022 |
|  | Saurav Kumar<br>27714 219TH PL SE<br>MAPLE VALLEY, WA 98038<br><br>RECIPIENT'S TIN: XXX-XX-3517 |                            |      |

11 - [ ] FATCA filing requirement (see instructions) 13 - [ ] FATCA filing requirement (see instructions)

|  |  |
|--|--|
| <b>DIVIDENDS AND DISTRIBUTIONS</b> <b>2022 1099-DIV*</b> OMB No. 1545-0110   | <b>MISCELLANEOUS INFORMATION</b> <b>2022 1099-MISC*</b> OMB No. 1545-0115  |
| 1a- Total ordinary dividends (includes lines 1b, 5, 2e)      0.00<br>1b- Qualified dividends      0.00<br>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)      0.00<br>2b- Unrecaptured Section 1250 gain      0.00<br>2c- Section 1202 gain      0.00<br>2d- Collectibles (28%) gain      0.00<br>2e- Section 897 ordinary dividends      0.00<br>2f- Section 897 capital gain      0.00<br>3- Nondividend distributions      0.00<br><b>4- Federal income tax withheld</b> <b>0.00</b><br>5- Section 199A dividends      0.00<br>6- Investment expenses      0.00<br>8- Foreign country or US possession:      7- Foreign tax paid:      0.00<br>9- Cash liquidation distributions      0.00<br>10- Noncash liquidation distributions      0.00<br>12- Exempt-interest dividends (includes line 13)      0.00<br>13- Specified private activity bond interest dividends (AMT)      0.00 | 2- Royalties      0.00<br>3- Other income      0.00<br><b>4- Federal income tax withheld</b> <b>0.00</b><br>8- Substitute payments in lieu of dividends or interest      0.00<br><br><b>SECTION 1256 CONTRACTS</b> <b>2022 1099-B*</b> OMB No. 1545-0715<br>8- Profit or (loss) realized in 2022 on closed contracts      0.00<br>9- Unrealized profit or (loss) on open contracts-12/31/2021      0.00<br>10- Unrealized profit or (loss) on open contracts-12/31/2022      0.00<br>11- Aggregate profit or (loss) on contracts      0.00<br><br><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i><br><br><b>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</b> |

**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

| Term               | Form 8949 type                         | Proceeds        | Cost basis      | Market discount | Wash sale loss disallowed | Net gain or loss(-) |
|--------------------|--|-----------------|-----------------|-----------------|---------------------------|---------------------|
| Short              | A (basis reported to the IRS)          | 0.00            | 0.00            | 0.00            | 0.00                      | 0.00                |
| Short              | B (basis not reported to the IRS)      | 1,350.03        | 1,443.14        | 0.00            | 0.00                      | -93.11              |
| Short              | C (Form 1099-B not received)           | 0.00            | 0.00            | 0.00            | 0.00                      | 0.00                |
|                    | <b>Total Short-term</b>                | <b>1,350.03</b> | <b>1,443.14</b> | <b>0.00</b>     | <b>0.00</b>               | <b>-93.11</b>       |
| Long               | D (basis reported to the IRS)          | 0.00            | 0.00            | 0.00            | 0.00                      | 0.00                |
| Long               | E (basis not reported to the IRS)      | 0.00            | 0.00            | 0.00            | 0.00                      | 0.00                |
| Long               | F (Form 1099-B not received)           | 0.00            | 0.00            | 0.00            | 0.00                      | 0.00                |
|                    | <b>Total Long-term</b>                 | <b>0.00</b>     | <b>0.00</b>     | <b>0.00</b>     | <b>0.00</b>               | <b>0.00</b>         |
| Undetermined       | B or E (basis not reported to the IRS) | 0.00            | 0.00            | 0.00            | 0.00                      | 0.00                |
| Undetermined       | C or F (Form 1099-B not received)      | 0.00            | 0.00            | 0.00            | 0.00                      | 0.00                |
|                    | <b>Total Undetermined-term</b>         | <b>0.00</b>     | <b>0.00</b>     | <b>0.00</b>     | <b>0.00</b>               | <b>0.00</b>         |
|                    | <b>Grand total</b>                     | <b>1,350.03</b> | <b>1,443.14</b> | <b>0.00</b>     | <b>0.00</b>               | <b>-93.11</b>       |
| <b>Withholding</b> |  | <b>Amount</b>   |                 |                 |                           |                     |
|                    | Federal income tax withheld            | 0.00            |                 |                 |                           |                     |

Changes to dividend tax classifications processed after your original tax form is issued for 2022 may require an amended tax form.

Robinhood Markets Inc. as agent for  
Robinhood Crypto LLC

## Summary Information

Account 160788295C

2022

(continued)

02/13/2023

### INTEREST INCOME

2022 1099-INT

OMB No. 1545-0112

**This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

|   |             |
|---|-------------|
| 1- Interest income (not included in line 3)                                     | 0.00        |
| 2- Early withdrawal penalty   | 0.00        |
| 3- Interest on US Savings Bonds & Treasury obligations                          | 0.00        |
| <b>4- Federal income tax withheld</b>   | <b>0.00</b> |
| 5- Investment expenses  | 0.00        |
| 7- Foreign country or US possession: 6- Foreign tax paid:                       | 0.00        |
| 8- Tax-exempt interest (includes line 9)  | 0.00        |
| 9- Specified private activity bond interest (AMT)                               | 0.00        |
| 10- Market discount (covered lots)  | 0.00        |
| 11- Bond premium (covered lots)   | 0.00        |
| 12- Bond premium on Treasury obligations (covered lots)                         | 0.00        |
| 13- Bond premium on tax-exempt bonds (categorized below)                        | 0.00        |
| <i>Tax-exempt obligations (covered lots)</i>                                    | 0.00        |
| <i>Tax-exempt private activity obligations (AMT, covered lots)</i>              | 0.00        |
| 14- Tax-exempt and tax credit bond CUSIP number<br>FATCA filing requirement [ ] |             |

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

|   |      |
|---|------|
| Taxable accrued interest paid                               | 0.00 |
| Taxable accrued Treasury interest paid                      | 0.00 |
| Tax-exempt accrued interest paid                            | 0.00 |
| Tax-exempt accrued interest paid (AMT)                      | 0.00 |
| Taxable accrued nonqualified interest paid                  | 0.00 |
| Tax-exempt accrued nonqualified interest paid               | 0.00 |
| Tax-exempt accrued nonqualified interest paid (AMT)         | 0.00 |
| Nonqualified interest                                       | 0.00 |
| Tax-exempt nonqualified interest                            | 0.00 |
| Tax-exempt nonqualified interest (AMT)                      | 0.00 |
| Interest shortfall on contingent payment debt               | 0.00 |
| Bond premium- Non Treasury obligations (noncovered lots)    | 0.00 |
| Bond premium- Treasury obligations (noncovered lots)        | 0.00 |
| Bond premium- Tax-exempt obligations (noncovered lots)      | 0.00 |
| Bond premium- Tax-exempt obligations (AMT, noncovered lots) | 0.00 |
| Market discount (noncovered lots)                           | 0.00 |

### STATE TAX WITHHELD

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

|                          |      |
|--------------------------|------|
| 1099-DIV total withheld  | 0.00 |
| 1099-INT total withheld  | 0.00 |
| 1099-OID total withheld  | 0.00 |
| 1099-MISC total withheld | 0.00 |
| 1099-B total withheld    | 0.00 |

### ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

|  |      |
|--|------|
| Original issue discount for the year                         | 0.00 |
| Acquisition premium (covered lots)                           | 0.00 |
| Acquisition premium (noncovered lots)                        | 0.00 |
| Original issue discount on Treasury obligations              | 0.00 |
| Acquisition premium, Treasury obligations (covered lots)     | 0.00 |
| Acquisition premium, Treasury obligations (noncovered lots)  | 0.00 |
| Tax-exempt OID   | 0.00 |
| Tax-exempt OID (lots not reported)                           | 0.00 |
| Acquisition premium (covered)                                | 0.00 |
| Acquisition premium (lots not reported)                      | 0.00 |
| Tax-exempt OID on private activity bonds                     | 0.00 |
| Tax-exempt OID on private activity bonds (lots not reported) | 0.00 |
| Acquisition premium (AMT, covered)                           | 0.00 |
| Acquisition premium (AMT, lots not reported)                 | 0.00 |
| Market discount (all lots)                                   | 0.00 |
| Early withdrawal penalty                                     | 0.00 |
| Investment expenses  | 0.00 |

### RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

|   |      |
|---|------|
| Other Receipts & Reconciliations- Partnership distributions     | 0.00 |
| Other Receipts & Reconciliations- Foreign tax paid- partnership | 0.00 |
| Other Receipts & Reconciliations- Return of principal           | 0.00 |
| Other Receipts & Reconciliations- Deferred income payment       | 0.00 |
| Other Receipts & Reconciliations- Deemed premium                | 0.00 |
| Other Receipts & Reconciliations- Income accrual- UIT           | 0.00 |
| Other Receipts & Reconciliations- Basis adjustments             | 0.00 |
| Other Receipts & Reconciliations- Foreign tax pd beyond treaty  | 0.00 |
| Fees & Expenses- Margin interest                                | 0.00 |
| Fees & Expenses- Dividends paid on short position               | 0.00 |
| Fees & Expenses- Interest paid on short position                | 0.00 |
| Fees & Expenses- Non reportable distribution expense            | 0.00 |
| Fees & Expenses- Other expenses                                 | 0.00 |
| Fees & Expenses- Severance tax                                  | 0.00 |
| Fees & Expenses- Organizational expense                         | 0.00 |
| Fees & Expenses- Miscellaneous fees                             | 0.00 |
| Fees & Expenses- Tax-exempt investment expense                  | 0.00 |
| Foreign Exchange Gains & Losses- Foreign currency gain/loss     | 0.00 |

Robinhood Markets Inc. as agent for  
Robinhood Crypto LLC

**Proceeds from Broker and Barter Exchange Transactions**

Account 160788295C

2022 1099-B\* OMB No. 1545-0715

02/13/2023

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)**

Report on Form 8949, Part I with Box B checked. Basis is NOT provided to the IRS. (Line 12)

"Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed," and "Gain or loss (-)" are NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

| 1c- Date sold or disposed  | Quantity             | 1d- Proceeds & 6- Reported (G)ross or (N)et | Date acquired | Cost or other basis | Accrued mkt disc (D) & Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information      |
|--|----------------------|---|---------------|---------------------|--|---|-----------------------------|
| Bitcoin / CUSIP: BTCUSD / Symbol:                                    |                      |   |               |                     |  |   |                             |
| 6 transactions for 03/28/22. Total proceeds are reported to the IRS. |                      |   |               |                     |  |   |                             |
|  | 0.0007326            | 34.25                                       | 09/07/21      | 31.60               | ...  | 2.65  | 1 of 6 - Sale <sup>25</sup> |
|  | 0.00239077           | 111.78                                      | 09/21/21      | 100.03              | ...  | 11.75   | 2 of 6 - Sale <sup>25</sup> |
|  | 0.00104794           | 49.00                                       | 11/26/21      | 57.87               | ...  | -8.87   | 3 of 6 - Sale <sup>25</sup> |
|  | 0.00076286           | 35.67                                       | 11/26/21      | 42.12               | ...  | -6.45   | 4 of 6 - Sale <sup>25</sup> |
|  | 0.00135206           | 63.21                                       | 12/04/21      | 64.17               | ...  | -0.96   | 5 of 6 - Sale <sup>25</sup> |
|  | 0.0012               | 56.11                                       | 12/04/21      | 56.95               | ...  | -0.84   | 6 of 6 - Sale <sup>25</sup> |
| 03/28/22   | 0.007487             | 350.02                                      | Various       | 352.74              | ...  | -2.72   | Total of 6 transactions     |
| Ethereum / CUSIP: ETHUSD / Symbol:                                   |                      |   |               |                     |  |   |                             |
| 8 transactions for 03/28/22. Total proceeds are reported to the IRS. |                      |   |               |                     |  |   |                             |
|  | 0.036645999999999998 | 120.40                                      | 09/21/21      | 99.98               | ...  | 20.42   | 1 of 8 - Sale <sup>25</sup> |
|  | 0.065249000000000001 | 214.37                                      | 09/21/21      | 189.13              | ...  | 25.24   | 2 of 8 - Sale <sup>25</sup> |

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for  
Robinhood Crypto LLC

Account 160788295C

## Proceeds from Broker and Barter Exchange Transactions

2022 1099-B\* OMB No. 1545-0715

(continued)

02/13/2023

**SHORT TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)**

Report on Form 8949, Part I with Box B checked. Basis is NOT provided to the IRS. (Line 12)

"Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

| 1c- Date<br>sold or<br>disposed             | Quantity             | 1d- Proceeds &<br>6- Reported<br>(G)ross or (N)et | Date<br>acquired | Cost or<br>other basis | Accrued mkt disc (D) &<br>Wash sale loss<br>disallowed (W) | Gain or loss(-) &<br>7- Loss not allowed (X)<br>also not reported (Z) | Additional information      |
|---|----------------------|---|------------------|------------------------|--|---|-----------------------------|
| Ethereum / CUSIP: ETHUSD / Symbol: (cont'd) |                      |   |                  |                        |  |   |                             |
|   | 0.025666000000000001 | 84.32   | 12/04/21         | 100.07                 | ...  | -15.75  | 3 of 8 - Sale <sup>25</sup> |
|   | 0.123956999999999998 | 407.25  | 12/10/21         | 500.09                 | ...  | -92.84  | 4 of 8 - Sale <sup>25</sup> |
|   | 0.025999999999999999 | 85.42   | 12/13/21         | 98.96                  | ...  | -13.54  | 5 of 8 - Sale <sup>25</sup> |
|   | 0.013546000000000001 | 44.51   | 12/13/21         | 51.56                  | ...  | -7.05   | 6 of 8 - Sale <sup>25</sup> |
|   | 0.012999999999999999 | 42.71   | 12/13/21         | 49.47                  | ...  | -6.76   | 7 of 8 - Sale <sup>25</sup> |
|   | 0.000313             | 1.03  | 12/31/21         | 1.14                   | ...  | -0.11   | 8 of 8 - Sale <sup>25</sup> |
| 03/28/22                                    | 0.304377             | 1,000.01  | Various          | 1,090.40               | ...  | -90.39  | Total of 8 transactions     |
| <b>Totals :</b>                             |                      | <b>1,350.03</b>                                   |                  | <b>1,443.14</b>        |  | <b>-93.11</b>   |                             |

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

25 Tax lot closed on a first in first out basis.

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**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.****1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

**Instructions for Recipient**

**Line 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.