Robinhood Markets Inc 85 Willow Road Menlo Park, CA 94025 650-940-2700



Rajesh Moguloju 6565 Wistful Vista Drive 11203 West Des Moines, IA 50266

Master Account Number for Import: 122666886 **Document ID for Import:** 1G41R1V1470

Enclosed is your 2022 Consolidated Tax Statement. 1099 Tax Forms for the following accounts are included in this package:

122666886 - Robinhood Securities LLC 122666886C - Robinhood Crypto LLC

**New for 2022 Tax Year** - Where applicable, 1099 Tax Forms for Robinhood Securities, Robinhood Crypto, and Robinhood Money are being consolidated into one package and reported under our parent entity, Robinhood Markets Inc. We have done this to ensure all forms that you need are provided at the same time in this consolidated package.

Important - Please utilize the Master Account Number and Document ID listed above if filing through tax service providers such as TurboTax or H&R Block. The Document ID is necessary in order to directly import your 1099 data into the tax software so that you do not need to manually enter each transaction. This year there is only one Master Account Number and Document ID that needs to be used to import all of your data across all accounts and 1099s (including crypto). Please note that tax software providers may have limits on the total number of transactions that can be directly imported using the Document ID

**CSVs** - In addition, a CSV file which includes the same information that is reported on your 1099 forms will be provided. The CSV is not a separate 1099. It is being provided as a supplement in a format that may be more helpful if you have a large number of transactions or if you are not using the Document ID to import all of your Securities, Crypto and Money activity. Tax software providers may have limits on the total number of transactions that can be uploaded and CSV files may not be compatible with tax service providers such as TurboTax or H&R Block.

**Robinhood Retirements Accounts** - If you had any reportable distributions or contributions within a Robinhood Retirement account, your 2022 tax forms 1099-R and/or 5498 will be delivered separately. Retirement accounts will be reported under Robinhood Securities LLC and will have their own unique Doc IDs for import to tax software providers such as TurboTax or H&R Block.



85 Willow Road

Menlo Park, CA 94025

PAYER'S TIN: 46-4364776

Customer Service: 650-940-2700

# Tax Information Account 122666886 Rajesh Moguloju 6565 Wistful Vista Drive 11203 West Des Moines, IA 50266 Statement Date: 02/13/2023 2022

11 - [ ] FATCA filing requirement (see instructions)	Summar	ary Information 13 - [] FATCA filling requirement (see instructi		
DIVIDENDS AND DISTRIBUTIONS 2022 1099-DIV*	OMB No. 1545-0110	MISCELLANEOUS INFORMATION 2022 1099-MI	SC* OMB No. 1545-0115	
1a- Total ordinary dividends (includes lines 1b, 5, 2e)	0.00	2- Royalties	0.00	
1b- Qualified dividends	0.00	3- Other income	0.00	
2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)	0.00	4- Federal income tax withheld	0.00	
2b- Unrecaptured Section 1250 gain	0.00	8- Substitute payments in lieu of dividends or interest	0.00	
2c- Section 1202 gain	0.00			
2d- Collectibles (28%) gain	0.00	SECTION 1256 CONTRACTS 2022 1099-B*	OMB No. 1545-0715	
2e- Section 897 ordinary dividends	0.00			
2f- Section 897 capital gain	0.00	8- Profit or (loss) realized in 2022 on closed contracts	0.00	
3- Nondividend distributions	0.00	9- Unrealized profit or (loss) on open contracts-12/31/2021	0.00	
4- Federal income tax withheld	0.00	10- Unrealized profit or (loss) on open contracts-12/31/2022	0.00	
5- Section 199A dividends	0.00	11- Aggregate profit or (loss) on contracts	0.00	
6- Investment expenses	0.00	If applicable proceeds from sale transactions appear summarize	ad balaw and ara	
8- Foreign country or US possession: 7- Foreign tax paid:	0.00	If applicable, proceeds from sale transactions appear summarize detailed in subsequent sections of this document.	eu below and are	
9- Cash liquidation distributions	0.00	•	D	
10- Noncash liquidation distributions	0.00	* This is important tax information and is being furnished to the Internal		
12- Exempt-interest dividends (includes line 13)	0.00	If you are required to file a return, a negligence penalty or other sancti		
13- Specified private activity bond interest dividends (AMT)	0.00	you if this income is taxable and the IRS determines that it has not been	reported.	

RECIPIENT'S TIN: XXX-XX-7065

## SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	104,837.19	109,122.58	0.00	3,048.80	-1,236.59
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	104,837.19	109,122.58	0.00	3,048.80	-1,236.59
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	104,837.19	109,122.58	0.00	3,048.80	-1,236.59
Withholding		Amount				
Federal income	tax withheld	0.00				

Robinhood Markets Inc. as agent for
Robinhood Securities LLC
Summary Information
(continued)
Account 122666886
02/13/2023

#### INTEREST INCOME

#### 2022 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
Tax-exempt obligations (covered lots)	0.00
Tax-exempt private activity obligations (AMT, covered lots)	0.00
14- Tax-exempt and tax credit bond CUSIP number	
FATCA filing requirement []	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid Taxable accrued Treasury interest paid Tax-exempt accrued interest paid Tax-exempt accrued interest paid (AMT) Taxable accrued nonqualified interest paid Tax-exempt accrued nonqualified interest paid Tax-exempt accrued nonqualified interest paid Tax-exempt accrued nonqualified interest paid (AMT) Nonqualified interest Tax-exempt nonqualified interest Tax-exempt nonqualified interest Tax-exempt nonqualified interest (AMT) Interest shortfall on contingent payment debt Bond premium- Non Treasury obligations (noncovered lots) Bond premium- Tax-exempt obligations (noncovered lots) Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.0
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

#### STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

#### ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

#### RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	65.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

## **Proceeds from Broker and Barter Exchange Transactions**

Account 122666886

**2022 1099-B\*** OMB No. 1545-0715

02/13/2023

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*) "Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property	//CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AFRM 06/17/2022 CALL \$2	0.00 / CUSIP: / Symbol:						
06/13/22	2.000	223.98	06/10/22	390.00		-166.02	Option sale to close-call <sup>25</sup>
AMZN 01/19/2024 CALL \$1	20.00 / CUSIP: / Symbol:						
07/19/22	1.000	2,354.93	07/07/22	2,205.00		149.93	Option sale to close-call <sup>25</sup>
AMZN 07/01/2022 PUT \$10	7.00 / CUSIP: / Symbol:						
06/30/22	1.000	161.98	06/29/22	91.00		70.98	Option sale to close-put <sup>25</sup>
ATVI 02/04/2022 CALL \$80	.00 / CUSIP: / Symbol:						
02/04/22	1.000	0.98	02/03/22	54.00		-53.02	Option sale to close-call <sup>25</sup>
ATVI 02/04/2022 PUT \$78.0	00 / CUSIP: / Symbol:						
02/04/22	1.000	0.00	02/03/22	48.00		-48.00	Option expiration- long put

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

# **Proceeds from Broker and Barter Exchange Transactions**

Account 122666886

**1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of property/C	USIP/Symbol						
1c- Date		1d- Proceeds &			1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or		6- Reported	1b- Date	1e- Cost or	1g- Wash sale loss	7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	also not reported (Z)	Additional information
C 03/18/2022 CALL \$70.00 / C	USIP: / Symbol:						
	2 transactions for 02/03	3/22. Total proceeds a	and cost repo	orted to the IRS.			
	2.000	159.99	01/27/22	192.00		-32.01	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	159.99	01/28/22	138.00		21.99	2 of 2 - Option sale to close-call 25
02/03/22	4.000	319.98	Various	330.00		-10.02	Total of 2 transactions
DAVE 05/20/2022 CALL \$10.0	0 / CUSIP: / Symbol:						
04/11/22	7.000	104.97	04/06/22	140.00		-35.03	Option sale to close-call <sup>25</sup>
FB 01/20/2023 CALL \$245.00	/ CUSIP: / Symbol:						
06/02/22	1.000	1,244.96	05/09/22	1,495.00		-250.04	Option sale to close-call <sup>25</sup>
FB 01/20/2023 CALL \$250.00	/ CUSIP: / Symbol:						
03/16/22	1.000	1,364.99	03/11/22	1,059.02		305.97	Option sale to close-call <sup>25</sup>
	2 transactions for 03/16	6/22. Total proceeds a	and cost repo	orted to the IRS.			
	1.000	1,364.98	02/22/22	1,379.00	14.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	1,364.98	03/16/22	1,370.00	5.02 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
03/16/22	2.000	2,729.96	Various	2,749.00	19.04 W	0.00	Total of 2 transactions
	2 transactions for 03/17	7/22. Total proceeds a	and cost repo	orted to the IRS.			
	1.000	1,424.99	03/17/22	1,385.02		39.97	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	1,424.98	03/17/22	1,380.00		44.98	2 of 2 - Option sale to close-call <sup>25</sup>
03/17/22	2.000	2,849.97	Various	2,765.02	•••	84.95	Total of 2 transactions
03/18/22	2.000	3,499.97	03/17/22	2,930.00	•••	569.97	Option sale to close-call <sup>25</sup>
03/24/22	2.000	3,559.97	03/18/22	3,450.00		109.97	Option sale to close-call <sup>25</sup>
03/25/22	1.000	2,039.97	03/25/22	2,070.00	30.03 W	0.00	Option sale to close-call <sup>25</sup>
03/28/22	1.000	2,059.97	03/28/22	2,140.03	80.06 W	0.00	Option sale to close-call <sup>25</sup>
04/01/22	1.000	2,224.97	03/28/22	2,236.06	11.09 W	0.00	Option sale to close-call <sup>25</sup>
	2 transactions for 04/19	3/22. Total proceeds a	and cost repo	orted to the IRS.			
	1.000	1,574.99	04/19/22	1,591.09	16.10 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	1,574.98	04/19/22	1,580.00	5.02 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
04/19/22	2.000	3,149.97	Various	3,171.09	21.12 W	0.00	Total of 2 transactions
	4 transactions for 04/20	0/22. Total proceeds a	and cost repo	orted to the IRS.			
	1.000	1,264.98	04/19/22	1,626.10	361.12 W	0.00	1 of 4 - Option sale to close-call 25
	1.000	1,264.99	04/19/22	1,615.02	350.03 W	0.00	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	1,249.98	04/20/22	1,661.12	411.14 W	0.00	3 of 4 - Option sale to close-call 25

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

# **Proceeds from Broker and Barter Exchange Transactions**

Account 122666886

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Lii* "Gain or loss (-)" is NOT reported to the IRS.

1a- Description of prope 1c- Date	erty/CUSIP/Symbol	1d- Proceeds &			1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or disposed	Quantity	6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	
	50.00 / CUSIP: / Symbol: (con	nt'd)	•		. ,	. , , ,	
04/20/22	1.000 4.000 2 transactions for 04/2	1,249.99 5,029.94 28/22. Total proceeds a	04/20/22 Various and cost repor	1,650.03 6,552.27 ted to the IRS.	400.04 W 1,522.33 W	<i>0.00</i> 0.00	4 of 4 - Option sale to close-call <sup>2</sup> Total of 4 transactions
04/28/22 04/28/22 06/02/22	1.000 1.000 2.000 1.000 1.000 Security total:	1,499.99 1,499.98 2,999.97 1,499.99 1,114.96 <b>34,124.60</b>	04/21/22 04/21/22 Various 04/21/22 05/05/22	1,495.04 1,095.00 2,590.04 1,506.14 1,751.15 <b>34,969.82</b>	  6.15 W  1,689.82 W	4.95 404.98 409.93 0.00 -636.19 <b>844.60</b>	1 of 2 - Option sale to close-call <sup>2</sup> 2 of 2 - Option sale to close-call <sup>2</sup> Total of 2 transactions Option sale to close-call <sup>25</sup> Option sale to close-call <sup>25</sup>
FB 01/20/2023 CALL \$27	•	0 1,12 1100		0 1,000.02	1,000102 11	011100	
04/01/22 FB 01/20/2023 CALL \$30	1.000	1,545.98	03/29/22	1,607.00		-61.02	Option sale to close-call <sup>25</sup>
02/25/22	1.000	78.98	02/24/22	0.00		78.98	Short sale closed- call <sup>25</sup> Option written: 02/22/22
FB 01/20/2023 CALL \$35	50.00 / CUSIP: / Symbol:						
03/01/22	1.000	-29.02	02/28/22	0.00	29.02 W	0.00	Short sale closed- call <sup>25</sup> Option written: 02/25/22
03/03/22	1.000	-15.04	03/02/22	0.00	15.04 W	0.00	Short sale closed- call <sup>25</sup> Option written: 03/01/22
03/08/22	1.000	9.94	03/07/22	0.00		9.94	Short sale closed- call <sup>25</sup> Option written: 03/04/22
	Security total:	-34.12		0.00	44.06 W	9.94	
FB 01/20/2023 CALL \$39	00.00 / CUSIP: / Symbol:						
04/04/22	1.000	17.98	04/01/22	0.00		17.98	Short sale closed- call <sup>25</sup> Option written: 03/29/22
FB 02/11/2022 CALL \$23	32.50 / CUSIP: / Symbol:						
02/09/22	1.000	349.98	02/07/22	383.00		-33.02	Option sale to close-call <sup>25</sup>
FB 02/11/2022 CALL \$24	7.50 / CUSIP: / Symbol:						
02/09/22	1.000	52.98	02/08/22	0.00		52.98	Short sale closed- call <sup>25</sup> Option written: 02/07/22

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for **Robinhood Securities LLC** 

# **Proceeds from Broker and Barter Exchange Transactions**

Account 122666886

**1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*)

1a- Description of prop	erty/CUSIP/Symbol						
1c- Date		1d- Proceeds &			1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or disposed	Quantity	6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1g- Wash sale loss disallowed (W)	7- Loss not allowed (X)	Additional information
·	30.00 / CUSIP: / Symbol:	(0)1000 01 (14)01	uoquireu	Other busis	uisanowcu (W)	also not reported (2)	Additional information
,	•	E0 00	00/44/00	220.00		100.00	Ontion cale to alone call 25
02/15/22	1.000	50.98	02/11/22	239.00	•••	-188.02	Option sale to close-call <sup>25</sup>
	37.50 / CUSIP: / Symbol:						
02/15/22	1.000	48.98	02/14/22	0.00		48.98	Short sale closed- call <sup>25</sup> Option written: 02/11/22
FB 02/25/2022 CALL \$2	30.00 / CUSIP: / Symbol:						
	3 transactions for 02/1	18/22. Total proceeds a	and cost repor	ted to the IRS.			
	1.000	33.99	02/17/22	236.02	···	-202.03	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	34.00	02/17/22	42.00		-8.00	2 of 3 - Option sale to close-call 25
	1.000	33.99	02/17/22	41.00		-7.01	3 of 3 - Option sale to close-call <sup>25</sup>
02/18/22	3.000	101.98	Various	319.02		-217.04	Total of 3 transactions
02/18/22	1.000	32.98	02/15/22	176.00	143.02 W	0.00	Option sale to close-call <sup>25</sup>
	Security total:	134.96		495.02	143.02 W	-217.04	
GME 01/28/2022 PUT \$	100.00 / CUSIP: / Symbol:						
01/26/22	1.000	334.98	01/26/22	238.00		96.98	Option sale to close-put <sup>25</sup>
01/27/22	1.000	405.98	01/26/22	395.00		10.98	Option sale to close-put <sup>25</sup>
	Security total:	740.96		633.00		107.96	
GME 01/28/2022 PUT \$	95.00 / CUSIP: / Symbol:						
01/28/22	1.000	12.98	01/27/22	0.00		12.98	Short sale closed- put <sup>25</sup> Option written: 01/26/22
HD 06/17/2022 CALL \$2	290.00 / CUSIP: / Symbol:						
06/13/22	1.000	369.98	06/10/22	690.00		-320.02	Option sale to close-call <sup>25</sup>
MARA 08/05/2022 PUT	\$7.50 / CUSIP: / Symbol:						
	3 transactions for 07/2	26/22. Total proceeds a	and cost repor	ted to the IRS.			
	2.000	31.99	07/19/22	50.00		-18.01	1 of 3 - Option sale to close-put 25
	1.000	15.99	07/19/22	25.00		-9.01	2 of 3 - Option sale to close-put <sup>25</sup>
	1.000	16.00	07/19/22	25.00	···	-9.00	3 of 3 - Option sale to close-put <sup>25</sup>
07/26/22	4.000	63.98	Various	100.00	•••	-36.02	Total of 3 transactions

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

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Robinhood Markets Inc. as agent for Robinhood Securities LLC

# **Proceeds from Broker and Barter Exchange Transactions**

Account 122666886

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 1 "Gain or loss (-)" is NOT reported to the IRS.

1a- Description of prop	erty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
META 01/20/2023 CALL	\$200.00 / CUSIP: / Symbol:						
06/14/22 07/26/22	1.000 1.000 <b>Security total:</b>	1,329.95 959.96 <b>2,289.91</b>	06/10/22 07/26/22	1,805.00 1,040.00 <b>2,845.00</b>	 	-475.05 -80.04 <b>-555.09</b>	Option sale to close-call <sup>25</sup> Option sale to close-call <sup>25</sup>
META 06/16/2023 CALL	. \$130.00 / CUSIP: / Symbol:	_,		_,0 10100	<del></del>	000.00	
11/09/22	1.000	779.97	10/27/22	740.00	•••	39.97	Option sale to close-call <sup>25</sup>
MSFT 07/15/2022 CALL	. \$270.00 / CUSIP: / Symbol:						·
	2 transactions for 07/11	/22. Total proceeds a	and cost repo	rted to the IRS.			
07/11/22	1.000 1.000 2.000	110.98 110.98 221.96	07/08/22 07/08/22 Various	260.00 260.00 520.00	 	-149.02 -149.02 -298.04	1 of 2 - Option sale to close-call <sup>25</sup> 2 of 2 - Option sale to close-call <sup>25</sup> Total of 2 transactions
	2.000 \$395.00 / CUSIP: / Symbol:	221.90	v anous	320.00		-290.04	Total of 2 transactions
02/07/22	1.000	88.98	02/04/22	0.00		88.98	Short sale closed- put <sup>25</sup> Option written: 02/04/22
NFLX 02/04/2022 PUT S	\$400.00 / CUSIP: / Symbol:						
02/04/22	1.000	0.00	02/04/22	213.00		-213.00	Option expiration- long put
NFLX 02/04/2022 PUT S	\$415.00 / CUSIP: / Symbol:						
02/03/22	1.000	434.98	02/03/22	250.00		184.98	Option sale to close-put <sup>25</sup>
NFLX 02/18/2022 CALL	\$400.00 / CUSIP: / Symbol:						
02/17/22	1.000	76.98	02/16/22	530.00		-453.02	Option sale to close-call <sup>25</sup>
NFLX 02/18/2022 PUT \$	380.00 / CUSIP: / Symbol:						
02/17/22	1.000	-84.02	02/16/22	0.00		-84.02	Short sale closed- put <sup>25</sup> Option written: 02/15/22
NFLX 02/18/2022 PUT \$	\$390.00 / CUSIP: / Symbol:						
02/18/22	1.000	26.98	02/18/22	157.00		-130.02	Option sale to close-put <sup>25</sup>
NFLX 02/18/2022 PUT \$	\$395.00 / CUSIP: / Symbol:						
02/16/22	1.000	524.98	02/15/22	270.00		254.98	Option sale to close-put <sup>25</sup>

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Robinhood Markets Inc. as agent for Robinhood Securities LLC

# **Proceeds from Broker and Barter Exchange Transactions**

Account 122666886

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of proper	ty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NFLX 02/18/2022 PUT \$3	97.50 / CUSIP: / Symbol:						
02/15/22	1.000	-134.02	02/14/22	0.00		-134.02	Short sale closed- put <sup>25</sup> Option written: 02/14/22
NFLX 02/18/2022 PUT \$4	10.00 / CUSIP: / Symbol:						
02/14/22	1.000	1,372.98	02/14/22	1,096.00		276.98	Option sale to close-put <sup>25</sup>
NFLX 02/25/2022 PUT \$3	70.00 / CUSIP: / Symbol:						
02/25/22	1.000	84.98	02/24/22	54.00		30.98	Option sale to close-put <sup>25</sup>
NFLX 04/08/2022 PUT \$3	60.00 / CUSIP: / Symbol:						
04/06/22	1.000	414.98	04/06/22	280.00		134.98	Option sale to close-put <sup>25</sup>
NFLX 04/14/2022 PUT \$3	60.00 / CUSIP: / Symbol:						
04/06/22	1.000	589.98	04/04/22	196.00		393.98	Option sale to close-put <sup>25</sup>
NIO 07/22/2022 CALL \$25	5.00 / CUSIP: / Symbol:						
	2 transactions for 07/1	1/22. Total proceeds a	and cost repor	ted to the IRS.			
	1.000	14.99	07/08/22	50.00		-35.01	1 of 2 - Option sale to close-call 25
07/11/22	1.000	14.99	07/08/22	50.00		-35.01	2 of 2 - Option sale to close-call <sup>25</sup> Total of 2 transactions
	2.000	29.98	Various	100.00	•••	-70.02	Total of 2 transactions
06/14/22	200.00 / CUSIP: / Symbol: 1.000	07.00	05/04/00	240.00		242.02	Ontion colo to along coll 25
		97.98	05/04/22	340.00	•••	-242.02	Option sale to close-call <sup>25</sup>
PDD 06/24/2022 PUT \$50	•	0.00	00/40/00	404.00		404.00	Ontine comination law most
06/24/22	2.000	0.00	06/16/22	184.00		-184.00	Option expiration- long put
PLTR 02/18/2022 CALL \$	•						0 11 125
02/14/22	1.000	61.98	02/11/22	56.00		5.98	Option sale to close-call <sup>25</sup>
,	150.00 / CUSIP: / Symbol:						25
03/17/22 04/01/22	1.000 1.000	1,404.98 1,667.98	02/22/22 03/17/22	1,160.00 1,480.00		244.98 187.98	Option sale to close-call <sup>25</sup> Option sale to close-call <sup>25</sup>
UT/U 1/22	Security total:	3,072.96	00/11/22	<b>2,640.00</b>		432.96	Option sale to close-call
		-,		=,::3:00			

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# **Proceeds from Broker and Barter Exchange Transactions**

Account 122666886

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of property	y/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)		Additional information
PYPL 01/19/2024 CALL \$25	50.00 / CUSIP: / Symbol:						
02/23/22	1.000	-15.02	02/22/22	0.00	15.02 W	0.00	Short sale closed- call <sup>25</sup>
02/24/22	1.000	-25.04	02/23/22	0.00	25.04 W	0.00	Option written: 02/22/22 Short sale closed- call <sup>25</sup> Option written: 02/22/22
03/02/22	1.000	-75.06	03/01/22	0.00	75.06 W	0.00	Short sale closed- call <sup>25</sup> Option written: 02/23/22
03/03/22	1.000	-75.08	03/02/22	0.00	75.08 W	0.00	Short sale closed- call <sup>25</sup> Option written: 03/01/22
03/08/22	1.000	-55.10	03/07/22	0.00		-55.10	Short sale closed- call <sup>25</sup> Option written: 03/04/22
	Security total:	-245.30		0.00	190.20 W	-55.10	·
PYPL 01/19/2024 CALL \$35	50.00 / CUSIP: / Symbol:						
04/04/22	1.000	24.98	04/01/22	0.00		24.98	Short sale closed- call <sup>25</sup> Option written: 03/17/22
PYPL 01/20/2023 CALL \$10	00.00 / CUSIP: / Symbol:						
07/27/22	1.000	764.97	07/27/22	652.00		112.97	Option sale to close-call 25
PYPL 08/26/2022 CALL \$10	00.00 / CUSIP: / Symbol:						
08/01/22	3.000	614.97	07/27/22	663.00		-48.03	Option sale to close-call <sup>25</sup>
RIVN 03/11/2022 PUT \$41.	00 / CUSIP: / Symbol:						
03/10/22	1.000	99.98	03/09/22	0.00		99.98	Short sale closed- put <sup>25</sup> Option written: 03/08/22
RIVN 03/11/2022 PUT \$42.	00 / CUSIP: / Symbol:						
03/09/22	1.000	246.98	03/08/22	363.00	•••	-116.02	Option sale to close-put 25
ROKU 02/11/2022 PUT \$16	65.00 / CUSIP: / Symbol:						
02/11/22	2.000	419.98	02/11/22	84.00	•••	335.98	Option sale to close-put 25
SOFI 05/20/2022 CALL \$20	0.00 / CUSIP: / Symbol:						
03/15/22	1.000	6.98	03/04/22	14.00	•••	-7.02	Option sale to close-call <sup>25</sup>
SPY 01/20/2023 CALL \$420	0.00 / CUSIP: / Symbol:						
05/04/22	1.000	3,059.97	05/02/22	2,880.00		179.97	Option sale to close-call <sup>25</sup>

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Robinhood Markets Inc. as agent for **Robinhood Securities LLC** 

# **Proceeds from Broker and Barter Exchange Transactions**

Account 122666886

02/13/2023

**1099-B\*** OMB No. 1545-0715

(continued)

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of property/CUSIP/S	Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 04/06/2022 PUT \$450.00 / CUS	IP: / Symbol:						
04/05/22	1.000	81.98	04/01/22	151.00		-69.02	Option sale to close-put <sup>25</sup>
SPY 04/29/2022 PUT \$430.00 / CUS	IP: / Symbol:						
2 tra	ansactions for 04/1	8/22. Total proceeds a	and cost report	ted to the IRS.			
04/18/22	1.000 2.000 3.000	382.99 765.99 1,148.98	<i>04/11/</i> 22 <i>04/13/</i> 22 Various	<i>4</i> 28.00 574.00 1,002.00		-45.01 191.99 146.98	1 of 2 - Option sale to close-put <sup>25</sup> 2 of 2 - Option sale to close-put <sup>25</sup> Total of 2 transactions
SPY 05/06/2022 PUT \$425.00 / CUS		,		,			
05/05/22	1.000	383.98	05/04/22	267.00		116.98	Option sale to close-put <sup>25</sup>
SPY 05/09/2022 CALL \$430.00 / CU	SIP: / Symbol:						
2 tra	ansactions for 05/0	9/22. Total proceeds a	and cost report	ted to the IRS.			
	1.000 2.000	0.00 0.00	05/05/22 05/05/22	167.00 108.00		-167.00 -108.00	1 of 2 - Option expiration- long call 2 of 2 - Option expiration- long call
05/09/22	3.000	0.00	Various	275.00	•••	-275.00	Total of 2 transactions
SPY 06/01/2022 CALL \$411.00 / CU	SIP: / Symbol:						
06/01/22	2.000	75.98	06/01/22	274.00		-198.02	Option sale to close-call <sup>25</sup>
SPY 06/01/2022 CALL \$415.00 / CU	SIP: / Symbol:						
06/02/22	2.000	45.98	06/01/22	0.00		45.98	Short sale closed- call <sup>25</sup> Option written: 06/01/22
SPY 06/15/2022 CALL \$380.00 / CU	SIP: / Symbol:						
06/15/22	1.000	184.98	06/15/22	141.00		43.98	Option sale to close-call <sup>25</sup>
SPY 06/30/2022 PUT \$430.00 / CUS	IP: / Symbol:						
04/11/22	1.000	1,309.98	04/07/22	1,123.00		186.98	Option sale to close-put <sup>25</sup>
SPY 07/29/2022 PUT \$380.00 / CUS	IP: / Symbol:						
3 tra	ansactions for 07/2	27/22. Total proceeds a	and cost report	ted to the IRS.			
	1.000 2.000 3.000	35.00 69.99 104.99	07/19/22 07/21/22 07/25/22	276.00 240.00 216.00	 	-241.00 -170.01 -111.01	1 of 3 - Option sale to close-put <sup>25</sup> 2 of 3 - Option sale to close-put <sup>25</sup> 3 of 3 - Option sale to close-put <sup>25</sup>
07/27/22	6.000	209.98	Various	732.00		-522.02	Total of 3 transactions

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# **Proceeds from Broker and Barter Exchange Transactions**

Account 122666886

**1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of property/C	USIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 07/29/2022 PUT \$410.00	/ CUSIP: / Symbol:						
07/29/22	2.000	3.98	07/29/22	250.00		-246.02	Option sale to close-put <sup>25</sup>
SPY 08/03/2022 CALL \$420.00	) / CUSIP: / Symbol:						
	2 transactions for 08/01/2	22. Total proceeds a	and cost repo	orted to the IRS.			
08/01/22	4.000 1.000 5.000	123.98 29.98 153.96	07/29/22 07/29/22 Various	216.00 54.00 270.00	 	-92.02 -24.02 -116.04	1 of 2 - Option sale to close-call <sup>25</sup> 2 of 2 - Option sale to close-call <sup>25</sup> Total of 2 transactions
SPY 08/03/2022 PUT \$410.00	•						
	2 transactions for 08/02/2						
08/02/22	1.000 1.000 2.000	386.98 415.98 802.96	08/01/22 08/02/22 Various	187.00 388.00 575.00	  	199.98 27.98 227.96	1 of 2 - Option sale to close-put <sup>25</sup> 2 of 2 - Option sale to close-put <sup>25</sup> Total of 2 transactions
SPY 08/08/2022 CALL \$420.00		002.00	Variodo	0.0.00			
σ σσ,σσ,2σ22 σ, 122 φ 12σ.σσ	3 transactions for 08/05/2	22. Total proceeds a	and cost repo	orted to the IRS.			
08/05/22 08/05/22	4.000 6.000 1.000 11.000 1.000	43.99 65.98 11.00 120.97 10.98	08/03/22 08/04/22 08/04/22 Various 08/03/22	400.00 588.00 187.02 1,175.02 100.00	   89.02 W	-356.01 -522.02 -176.02 -1,054.05 0.00	1 of 3 - Option sale to close-call <sup>25</sup> 2 of 3 - Option sale to close-call <sup>25</sup> 3 of 3 - Option sale to close-call <sup>25</sup> Total of 3 transactions Option sale to close-call <sup>25</sup>
	Security total:	131.95		1,275.02	89.02 W	-1,054.05	
SPY 08/10/2022 CALL \$420.00	) / CUSIP: / Symbol:						
08/05/22	3.000	191.98	08/05/22	369.00		-177.02	Option sale to close-call <sup>25</sup>
SPY 08/19/2022 CALL \$430.00	•						
	2 transactions for 05/31/2	•	•				
05/31/22 06/02/22	1.000 1.000 2.000 1.000 Security total:	1,041.97 1,041.97 2,083.94 977.96 <b>3,061.90</b>	05/09/22 05/09/22 Various 05/19/22	985.00 985.00 1,970.00 399.00 <b>2,369.00</b>	   	56.97 56.97 113.94 578.96 <b>692.90</b>	1 of 2 - Option sale to close-call <sup>25</sup> 2 of 2 - Option sale to close-call <sup>25</sup> Total of 2 transactions Option sale to close-call <sup>25</sup>

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# **Proceeds from Broker and Barter Exchange Transactions**

Account 122666886

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of property/CUSIP/Sys	mbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 08/31/2022 CALL \$420.00 / CUSII	P: / Symbol:						
07/28/22 08/03/22	2.000 1.000	659.97 630.97	07/27/22 08/02/22	578.00 450.00	 	81.97 180.97	Option sale to close-call <sup>25</sup> Option sale to close-call <sup>25</sup>
	ty total:	1,290.94		1,028.00	•••	262.94	
SPY 09/16/2022 PUT \$400.00 / CUSIP	,						
08/12/22	1.000	356.98	08/09/22	705.00	•••	-348.02	Option sale to close-put <sup>25</sup>
SPY 09/23/2022 CALL \$405.00 / CUSII	•						
09/07/22	1.000	246.98	09/06/22	307.00		-60.02	Option sale to close-call <sup>25</sup>
SPY 09/30/2022 CALL \$405.00 / CUSII	P: / Symbol:						
09/06/22	1.000	318.98	09/02/22	420.00		-101.02	Option sale to close-call <sup>25</sup>
SPY 09/30/2022 PUT \$355.00 / CUSIP	: / Symbol:						
09/26/22	1.000	259.98	09/23/22	295.00		-35.02	Option sale to close-put <sup>25</sup>
SPY 09/30/2022 PUT \$390.00 / CUSIP	: / Symbol:						
09/06/22	1.000	-115.03	09/02/22	0.00		-115.03	Short sale closed- put <sup>25</sup> Option written: 08/30/22
SPY 09/30/2022 PUT \$395.00 / CUSIP	: / Symbol:						
08/09/22	1.000	734.97	08/05/22	817.00	•••	-82.03	Option sale to close-put <sup>25</sup>
SPY 09/30/2022 PUT \$398.00 / CUSIP	: / Symbol:						
09/02/22	1.000	1,294.96	08/30/22	1,113.00		181.96	Option sale to close-put 25
SPY 09/30/2022 PUT \$400.00 / CUSIP	: / Symbol:						
08/16/22	1.000	356.98	08/15/22	385.00		-28.02	Option sale to close-put 25
SPY 10/10/2022 PUT \$360.00 / CUSIP	: / Symbol:						
10/04/22	1.000	78.98	10/03/22	263.00		-184.02	Option sale to close-put 25
SPY 10/10/2022 PUT \$370.00 / CUSIP	: / Symbol:						·
09/23/22	1.000	1,128.96	09/13/22	254.00	•••	874.96	Option sale to close-put 25
10/06/22	1.000	277.98	10/04/22	239.00		38.98	Option sale to close-put <sup>25</sup>
Securi	ty total:	1,406.94		493.00	•••	913.94	

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# **Proceeds from Broker and Barter Exchange Transactions**

Account 122666886

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

12. Description of preperty/CUSID/Symbol.

1a- Description of property/CUS	IP/Symbol						
1c- Date sold or		1d- Proceeds & 6- Reported	1b- Date	1e- Cost or	1f- Accrued mkt disc (D) & 1g- Wash sale loss	Gain or loss(-) & 7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	also not reported (Z)	Additional information
SPY 10/14/2022 PUT \$360.00 / C	SUSIP: / Symbol:						
10/06/22	1.000	229.98	10/04/22	206.00		23.98	Option sale to close-put 25
SPY 10/31/2022 CALL \$370.00 / 0	CUSIP: / Symbol:						
10/18/22	1.000	1,020.96	10/17/22	659.00		361.96	Option sale to close-call <sup>25</sup>
SPY 11/02/2022 PUT \$350.00 / C	USIP: / Symbol:						
10/10/22	1.000	624.97	10/07/22	538.00		86.97	Option sale to close-put <sup>25</sup>
SPY 11/11/2022 PUT \$350.00 / C	USIP: / Symbol:						
10/13/22	1.000	626.97	10/13/22	782.00	155.03 W	0.00	Option sale to close-put <sup>25</sup>
10/17/22	1.000	504.97	10/14/22	855.03		-350.06	Option sale to close-put <sup>25</sup>
S	Security total:	1,131.94		1,637.03	155.03 W	-350.06	
SPY 11/18/2022 PUT \$370.00 / C	SUSIP: / Symbol:						
10/25/22	1.000	634.97	10/20/22	1,232.00		-597.03	Option sale to close-put <sup>25</sup>
SPY 12/30/2022 PUT \$300.00 / C	SUSIP: / Symbol:						
12/09/22	1.000	59.98	12/08/22	0.00		59.98	Short sale closed- put <sup>25</sup> Option written: 11/09/22
SPY 12/30/2022 PUT \$365.00 / C	SUSIP: / Symbol:						
12/08/22	1.000	121.98	11/09/22	836.00		-714.02	Option sale to close-put <sup>25</sup>
TSEM 02/18/2022 PUT \$45.00 / C	CUSIP: / Symbol:						
4	4 transactions for 02/1	8/22. Total proceeds a	and cost repo	rted to the IRS.			
	5.000	0.00	02/15/22	50.00		-50.00	1 of 4 - Option expiration- long put
	6.000	0.00	02/16/22	30.00		-30.00	2 of 4 - Option expiration- long put
	2.000	0.00	02/16/22	10.00		-10.00	3 of 4 - Option expiration- long put
00/40/00	2.000	0.00	02/16/22	10.00		-10.00	4 of 4 - Option expiration- long put
02/18/22	15.000	0.00	Various	100.00		-100.00	Total of 4 transactions
TSLA 06/03/2022 CALL \$750.00 /	•						
06/01/22	1.000	1,564.95	06/01/22	1,260.00		304.95	Option sale to close-call <sup>25</sup>
TSLA 06/03/2022 PUT \$700.00 / 0	CUSIP: / Symbol:						
06/03/22	1.000	91.98	06/03/22	355.00		-263.02	Option sale to close-put <sup>25</sup>

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

of 20

Robinhood Markets Inc. as agent for Robinhood Securities LLC

# **Proceeds from Broker and Barter Exchange Transactions**

Account 122666886

22 1099-B\* OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

12. Description of property/CUSID/Symbol.

1a- Description of property	/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	
TSLA 06/03/2022 PUT \$720	0.00 / CUSIP: / Symbol:		•		` ,		
06/03/22 06/03/22	1.000 1.000 <b>Security total:</b>	604.97 639.97 <b>1,244.94</b>	06/02/22 06/02/22	270.03 715.00 <b>985.03</b>	 75.03 W <b>75.03 W</b>	334.94 0.00 <b>334.94</b>	Option sale to close-put <sup>25</sup> Option sale to close-put <sup>25</sup>
TSLA 06/17/2022 PUT \$575	•	1,244.94		903.03	73.03 VV	334.94	
06/17/22	2.000	79.98	06/16/22	336.00		-256.02	Option sale to close-put <sup>25</sup>
TSLA 06/17/2022 PUT \$620		70.00	00/10/22	000.00	<b></b>	200.02	option date to diode put
06/17/22	2.000	0.00	06/17/22	378.00		-378.00	Option expiration- long put
TSLA 06/17/2022 PUT \$650	0.00 / CUSIP: / Symbol:						3,11
06/16/22	1.000	1,204.96	06/15/22	440.00	•••	764.96	Option sale to close-put <sup>25</sup>
TSLA 06/17/2022 PUT \$670	0.00 / CUSIP: / Symbol:						•
06/17/22	1.000	-429.05	06/16/22	0.00		-429.05	Short sale closed- put <sup>25</sup> Option written: 06/16/22
TSLA 06/17/2022 PUT \$675	5.00 / CUSIP: / Symbol:						
06/16/22	1.000	2,442.93	06/16/22	1,955.00		487.93	Option sale to close-put <sup>25</sup>
TSLA 06/24/2022 CALL \$70	0.00 / CUSIP: / Symbol:						
06/15/22	1.000	2,624.92	06/14/22	2,005.00		619.92	Option sale to close-call <sup>25</sup>
TSLA 06/24/2022 CALL \$74	0.00 / CUSIP: / Symbol:						
06/23/22	1.000	219.98	06/23/22	425.00		-205.02	Option sale to close-call <sup>25</sup>
TSLA 06/24/2022 PUT \$660	0.00 / CUSIP: / Symbol:						
06/24/22	1.000	39.98	06/23/22	405.00		-365.02	Option sale to close-put <sup>25</sup>
TSLA 06/24/2022 PUT \$680	0.00 / CUSIP: / Symbol:						
	2 transactions for 06/23	3/22. Total proceeds a	and cost repor	ted to the IRS.			
	1.000 1.000	1,059.96 339.98	06/21/22 06/22/22	970.00 410.00	 	89.96 -70.02	1 of 2 - Option sale to close-put <sup>25</sup> 2 of 2 - Option sale to close-put <sup>25</sup>
06/23/22	2.000	1,399.94	Various	1,380.00		19.94	Total of 2 transactions

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# **Proceeds from Broker and Barter Exchange Transactions**

Account 122666886

**2022 1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of property/CUSIP/Sym	nbol						
1c- Date sold or disposed Q	uantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 06/24/2022 PUT \$700.00 / CUSIP	: / Symbol:						
06/24/22 06/24/22	1.000 1.000	0.00 0.98	06/24/22 06/24/22	674.02 355.00	 354.02 W	-674.02 0.00	Option expiration- long put Option sale to close-put <sup>25</sup>
Security	•	0.98		1,029.02	354.02 W	-674.02	
TSLA 07/01/2022 CALL \$700.00 / CUSII	-						
2 trans	actions for 06/30/2	22. Total proceeds a	nd cost repo	rted to the IRS.			
06/30/22	1.000 1.000 2.000	339.99 339.98 679.97	06/30/22 06/30/22 Various	670.00 600.00 1,270.00	 	-330.01 -260.02 -590.03	1 of 2 - Option sale to close-call <sup>25</sup> 2 of 2 - Option sale to close-call <sup>25</sup> Total of 2 transactions
TSLA 07/01/2022 PUT \$680.00 / CUSIP	: / Symbol:						
06/27/22 06/29/22 07/01/22	1.000 1.000 1.000	589.97 2,119.94 1,104.96	06/24/22 06/27/22 06/30/22	891.00 1,216.03 1,374.00	301.03 W 	0.00 903.91 -269.04	Option sale to close-put <sup>25</sup> Option sale to close-put <sup>25</sup> Option sale to close-put <sup>25</sup>
Security		3,814.87		3,481.03	301.03 W	634.87	
TSLA 07/08/2022 CALL \$750.00 / CUSII	P: / Symbol:						
07/07/22	1.000	319.98	07/07/22	221.00		98.98	Option sale to close-call <sup>25</sup>
TSLA 07/22/2022 CALL \$730.00 / CUSII	P: / Symbol:						
07/06/22	1.000	2,904.92	07/01/22	2,397.00		507.92	Option sale to close-call <sup>25</sup>
TSLA 07/22/2022 CALL \$780.00 / CUSII	P: / Symbol:						
07/06/22	1.000	431.96	07/05/22	0.00		431.96	Short sale closed- call <sup>25</sup> Option written: 07/01/22
TSLA 07/29/2022 PUT \$800.00 / CUSIP	: / Symbol:						
07/29/22	2.000	21.98	07/28/22	308.00		-286.02	Option sale to close-put <sup>25</sup>
TSLA 10/21/2022 PUT \$200.00 / CUSIP	: / Symbol:						
09/28/22	1.000	-46.02	09/27/22	0.00		-46.02	Short sale closed- put <sup>25</sup> Option written: 09/27/22
TSLA 10/21/2022 PUT \$270.00 / CUSIP	: / Symbol:						
09/27/22	1.000	1,484.95	09/27/22	1,156.00		328.95	Option sale to close-put <sup>25</sup>

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# **Proceeds from Broker and Barter Exchange Transactions**

Account 122666886

**1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of property	/CUSIP/Symbol						
1c- Date		1d- Proceeds &			1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or		6- Reported	1b- Date	1e- Cost or	1g- Wash sale loss	7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	also not reported (Z)	Additional information
TSLA 12/16/2022 CALL \$21	5.00 / CUSIP: / Symbol:						
12/16/22	12.000	0.00	12/12/22	108.00		-108.00	Option expiration- long call
MEGA MATRIX CORP. / CL	JSIP: 007737109 / Symbol:						
	7 transactions for 04/06/	22. Total proceeds a	and cost rep	orted to the IRS.			
	1.000	1.98	04/01/22	1.93	•••	0.05	1 of 7 - Sale <sup>25</sup>
	4.000	7.92	04/01/22	7.71		0.21	2 of 7 - Sale <sup>25</sup>
	5.000	9.90	04/01/22	9.64		0.26	3 of 7 - Sale <sup>25</sup>
	8.000	15.84	04/01/22	15.42	•••	0.42	4 of 7 - Sale <sup>25</sup>
	100.000	197.99	04/01/22	192.76	•••	5.23	5 of 7 - Sale <sup>25</sup>
	100.000	197.99	04/01/22	192.76		5.23	6 of 7 - Sale <sup>25</sup>
	300.000	598.46	04/01/22	578.28	•••	20.18	7 of 7 - Sale <sup>25</sup>
04/06/22	518.000	1.030.08	Various	998.50	•••	31.58	Total of 7 transactions
0 1/00/22	2 transactions for 04/06/	,				000	Total of Fundaments
	280.000	558.56	04/06/22	562.80	4.24 W	0.00	1 of 2 - Sale <sup>25</sup>
	220.000	438.87	04/06/22	442.20	3.33 W	0.00	2 of 2 - Sale <sup>25</sup>
04/06/22	500.000	997.43	Various	1,005.00	7.57 W	0.00	Total of 2 transactions
	10 transactions for 04/08	3/22. Total proceeds					
	20.000	39.40	04/07/22	38.70		0.70	1 of 10 - Sale <sup>25</sup>
	80.000	157.59	04/07/22	154.81	***	2.78	2 of 10 - Sale <sup>25</sup>
	100.000	196.99	04/07/22	193.52		3.47	3 of 10 - Sale <sup>25</sup>
	100.000	197.99	04/07/22	193.52	***	4.47	4 of 10 - Sale <sup>25</sup>
	100.000	197.99	04/07/22	193.51		4.48	5 of 10 - Sale <sup>25</sup>
	100.000	198.14	04/07/22	193.51		4.63	6 of 10 - Sale <sup>25</sup>
	100.000	198.13	04/07/22	192.00		6.13	7 of 10 - Sale <sup>25</sup>
	100.000	198.14	04/07/22	192.00	***	6.1 <i>4</i>	8 of 10 - Sale <sup>25</sup>
	400.000	792.54	04/07/22	768.00	***	24.54	9 of 10 - Sale <sup>25</sup>
	400.000	792.54	04/07/22	768.00	***	24.54	10 of 10 - Sale <sup>25</sup>
04/08/22	1,500.000	2,969.45	Various	2,887.57		81.88	Total of 10 transactions
	14 transactions for 04/13	3/22. Total proceeds	and cost re	ported to the IRS.			
	251.000	436.70	04/08/22	476.90		-40.20	1 of 14 - Sale <sup>25</sup>
	202.000	351.45	04/08/22	383.80	•••	-32.35	2 of 14 - Sale <sup>25</sup>
	149.000	259.24	04/08/22	283.10	•••	-23.86	3 of 14 - Sale <sup>25</sup>
	115.000	200.08	04/08/22	218.50		-18.42	4 of 14 - Sale <sup>25</sup>

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# **Proceeds from Broker and Barter Exchange Transactions**

Account 122666886

**1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1c- Date sold or		1d- Proceeds & 6- Reported	1b- Date	1e- Cost or	1f- Accrued mkt disc (D) & 1g- Wash sale loss	Gain or loss(-) & 7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	also not reported (Z)	Additional information
MEGA MATRIX CORF	P. / CUSIP: 007737109 / Symbol	: (cont'd)					
	115.000	200.08	04/08/22	218.50		-18.42	5 of 14 - Sale <sup>25</sup>
	100.000	173.98	04/08/22	190.00		-16.02	6 of 14 - Sale <sup>25</sup>
	100.000	173.99	04/08/22	190.00		-16.01	7 of 14 - Sale <sup>25</sup>
	100.000	173.99	04/08/22	190.00		-16.01	8 of 14 - Sale <sup>25</sup>
	100.000	173.99	04/08/22	190.00		-16.01	9 of 14 - Sale <sup>25</sup>
	100.000	173.99	04/08/22	190.00		-16.01	10 of 14 - Sale <sup>25</sup>
	68.000	118.31	04/08/22	129.20		-10.89	11 of 14 - Sale <sup>25</sup>
	63.000	109.61	04/08/22	119.70		-10.09	12 of 14 - Sale <sup>25</sup>
	37.000	64.37	04/08/22	70.30		-5.93	13 of 14 - Sale <sup>25</sup>
	1,000.000	1,739.86	04/11/22	1,800.00		-60.14	14 of 14 - Sale <sup>25</sup>
04/13/22	2,500.000	4,349.64	Various	4,650.00		-300.36	Total of 14 transactions
	Security total:	9,346.60		9,541.07	7.57 W	-186.90	
PALANTIR TECHNOL	OGIES INC. CLA SS A COMMO	ON STOCK / CUSIP: 69	608A108 / Syr	mbol:			
05/11/22	75.000	538.48	05/10/22	528.38		10.10	Sale <sup>25</sup>
PALISADE BIO, INC.	COMMON STOC K / CUSIP: 69	6389105 / Symbol:					
03/22/22	70.000	90.99	03/18/22	55.16		35.83	Sale <sup>25</sup>
Totals :		104,837.19		109,122.58	3,048.80 W	-1,236.59	

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This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
Margin charge		02/22/22	-5.00	Margin interest paid	
		02/22/22	-5.00	Margin interest paid	
		02/22/22	-5.00	Margin interest paid	
		03/21/22	-5.00	Margin interest paid	
		04/20/22	-5.00	Margin interest paid	
		05/20/22	-5.00	Margin interest paid	
		06/21/22	-5.00	Margin interest paid	
		07/19/22	-5.00	Margin interest paid	
		08/18/22	-5.00	Margin interest paid	
		09/19/22	-5.00	Margin interest paid	
		10/17/22	-5.00	Margin interest paid	
		11/16/22	-5.00	Margin interest paid	
		12/16/22	-5.00	Margin interest paid	
		-	-65.00	Total Margin interest paid	
		•	-65.00	Total Margin interest paid	

Robinhood Markets Inc. as agent for Robinhood Securities LLC

# **2022 End Notes for Account 122666886**

25 Tax lot closed on a first in first out basis.

#### Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

#### Keep tax documents for your records.

#### 1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or nonSection 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR)

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

#### Instructions for Recipient

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked. box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a harter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to 1009/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

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0.00

0.00

0.00

# Robinhood Markets Inc. as agent for Robinhood Crypto LLC

85 Willow Rd

Menlo Park, CA 94025

Customer Service: 650-940-2700

PAYER'S TIN: 46-4364776

# Tax Information Account 122666886C

Rajesh Moguloju

6565 Wistful Vista Drive 11203 West Des Moines, IA 50266

#### RECIPIENT'S TIN: XXX-XX-7065

11 - [ ] FATCA filing requirement (see instructions)	·	Summary
DIVIDENDS AND DISTRIBUTIONS	2022 1099-DIV*	OMB No. 1545-0110
1a- Total ordinary dividends (includes lines 1b,	5, 2e)	0.00
1b- Qualified dividends		0.00
2a- Total capital gain distributions (includes line	s 2b, 2c, 2d, 2f)	0.00
2b- Unrecaptured Section 1250 gain		0.00
2c- Section 1202 gain		0.00
2d- Collectibles (28%) gain		0.00
2e- Section 897 ordinary dividends		0.00
2f- Section 897 capital gain		0.00
3- Nondividend distributions		0.00
4- Federal income tax withheld		0.00
5- Section 199A dividends		0.00
6- Investment expenses		0.00
8- Foreign country or US possession: 7- F	Foreign tax paid:	0.00
9- Cash liquidation distributions		0.00
10- Noncash liquidation distributions		0.00
12- Exempt-interest dividends (includes line 13)		0.00
13- Specified private activity bond interest divid	ends (AMT)	0.00

### **Summary Information**

13 - [ ] FATCA filing requirement (see instructions)
2022 1099-MISC\* OMB No. 1545-0115

0.00
OMB No. 1545-0715
0.00
0.00
0.00
0.00

MISCELLANEOUS INFORMATION

4- Federal income tax withheld

2- Royalties

3- Other income

Statement Date: 02/13/2023

If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.

#### SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	B (basis not reported to the IRS)	4,865.76	5,033.93	0.00	0.00	-168.17
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	4,865.76	5,033.93	0.00	0.00	-168.17
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	4,865.76	5,033.93	0.00	0.00	-168.17
Withholding Amount		Amount				
Federal income	Federal income tax withheld 0.00					

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

Robinhood Markets Inc. as agent for Account 122666886C **Robinhood Crypto LLC Summary Information** 2022 (continued) 02/13/2023

#### INTEREST INCOME

#### 2022 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
Tax-exempt obligations (covered lots)	0.00
Tax-exempt private activity obligations (AMT, covered lots)	0.00
14- Tax-exempt and tax credit bond CUSIP number	
FATCA filing requirement []	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued Treasury interest paid Tax-exempt accrued interest paid Tax-exempt accrued interest paid (AMT) Taxable accrued nonqualified interest paid Tax-exempt accrued nonqualified interest paid Tax-exempt accrued nonqualified interest paid Tax-exempt accrued nonqualified interest paid (AMT) Nonqualified interest Tax-exempt nonqualified interest Tax-exempt nonqualified interest (AMT) Interest shortfall on contingent payment debt Bond premium- Non Treasury obligations (noncovered lots) Bond premium- Treasury obligations (noncovered lots) Bond premium- Tax-exempt obligations (noncovered lots)	0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.0
Bond premium- Tax-exempt obligations (noncovered lots) Bond premium- Tax-exempt obligations (AMT, noncovered lots)	

#### STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

#### ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

#### RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

Other Descipts & Descriptions Destroyable distributions

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Rec	conciliations- Partnership distributions	0.00
Other Receipts & Rec	conciliations- Foreign tax paid- partnership	0.00
Other Receipts & Rec	conciliations- Return of principal	0.00
Other Receipts & Rec	conciliations- Deferred income payment	0.00
Other Receipts & Rec	conciliations- Deemed premium	0.00
Other Receipts & Rec	conciliations- Income accrual- UIT	0.00
Other Receipts & Rec	conciliations- Basis adjustments	0.00
Other Receipts & Rec	conciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Ma		0.00
	vidends paid on short position	0.00
	erest paid on short position	0.00
	on reportable distribution expense	0.00
Fees & Expenses- Ot	her expenses	0.00
Fees & Expenses- Se		0.00
Fees & Expenses- Or		0.00
Fees & Expenses- Mi		0.00
	x-exempt investment expense	0.00
Foreign Exchange Ga	ains & Losses- Foreign currency gain/loss	0.00

Robinhood Markets Inc. as agent for Robinhood Crypto LLC

## **Proceeds from Broker and Barter Exchange Transactions**

02/13/2023

Account 122666886C

**2022 1099-B\*** OMB No. 1545-0715

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

### SHORT TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)

Report on Form 8949, Part I with Box B checked. Basis is NOT provided to the IRS. (Line 12)

"Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS.

1a- Description	of property/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et		Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	
Dogecoin / CUSI	P: DOGEUSD / Symbol:						
	6 transactions for 01/26	6/22. Total proceeds ar	e reported to	the IRS.			
	23.30999999999998721	3.50	08/08/21	6.16		-2.66	1 of 6 - Sale <sup>25</sup>
	14.000	2.10	08/08/21	3.71		-1.61	2 of 6 - Sale <sup>25</sup>
	840.000	125.94	08/09/21	220.95		-95.01	3 of 6 - Sale <sup>25</sup>
	17.000	2.55	08/09/21	4.48		-1.93	4 of 6 - Sale <sup>25</sup>
	2.68999999999999947	0.40	08/13/21	0.76		-0.36	5 of 6 - Sale <sup>25</sup>
	1.850000000000000089	0.28	08/13/21	0.53		-0.25	6 of 6 - Sale <sup>25</sup>
01/26/22	898.850	134.77	Various	236.59	•••	-101.82	Total of 6 transactions
	5 transactions for 01/27	7/22. Total proceeds ar	e reported to	the IRS.			
	0.1499999999999999	0.02	08/13/21	0.04		-0.02	1 of 5 - Sale <sup>25</sup>
	405.430000000000006821	57.51	01/26/22	59.38		-1.87	2 of 5 - Sale <sup>25</sup>
	277.0699999999993179	39.31	01/26/22	40.58		-1.27	3 of 5 - Sale <sup>25</sup>
	615.16999999999959073	87.27	01/27/22	88.29		-1.02	4 of 5 - Sale <sup>25</sup>

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Account 122666886C

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Robinhood Markets Inc. as agent for Robinhood Crypto LLC

# **Proceeds from Broker and Barter Exchange Transactions**

02/13/2023

**2022 1099-B\*** OMB No. 1545-0715

(continued)

SHORT TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)

Report on Form 8949, Part I with Box B checked. Basis is NOT provided to the IRS. (*Line 12*) "Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS.

1a- Descrip	otion of property/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
	CUSIP: DOGEUSD / Symbol: (cont'd)	. , , , , ,	•		,	, ,	
J	111.93000000000006821	15.88	01/27/22	16.07		-0.19	5 of 5 - Sale <sup>25</sup>
01/27/22	1,409.750	199.99	Various	204.36		-4.37	Total of 5 transactions
01/28/22	652.289999999996362	91.01	01/27/22	93.62		-2.61	Sale <sup>25</sup>
0 1/20/22	3 transactions for 02/03/2					2.0.	
	0.110000000000000001	0.01	01/27/22	0.02		-0.01	1 of 3 - Sale <sup>25</sup>
	2,914.65999999999854481	396.36	02/03/22	402.52		-6.16	2 of 3 - Sale 25
	708.000	96.28	02/03/22	97.77		-1.49	3 of 3 - Sale <sup>25</sup>
02/03/22	3,622.770	492.65	Various	500.31	•••	-7.66	Total of 3 transactions
	3 transactions for 02/04/2	22. Total proceeds ar	e reported t				
	720.309999999994543	100.00	02/04/22	100.03		-0.03	1 of 3 - Sale <sup>25</sup>
	70.5499999999997158	10.00	02/04/22	9.80	•••	0.20	2 of 3 - Sale 25
	279.43000000000006821	39.99	02/04/22	38.81	•••	1.18	3 of 3 - Sale <sup>25</sup>
02/04/22	1,070.290	149.99	Various	148.64		1.35	Total of 3 transactions
	2 transactions for 02/07/2	22. Total proceeds ar	e reported t	o the IRS.			
	472.3999999999977263	76.38	02/04/22	65.59	•••	10.79	1 of 2 - Sale <sup>25</sup>
	1,382.9600000000003638	223.61	02/04/22	192.05		31.56	2 of 2 - Sale <sup>25</sup>
02/07/22	1,855.360	299.99	Various	257.64	•••	42.35	Total of 2 transactions
02/11/22	28.4699999999998863	4.15	02/04/22	3.96		0.19	Sale <sup>25</sup>
	5 transactions for 02/14/2	22. Total proceeds ar	e reported t	o the IRS.			
	27.1000000000001421	3.95	02/04/22	3.76	···	0.19	1 of 5 - Sale <sup>25</sup>
	2,159.59000000000145519	315.45	02/11/22	319.97		-4.52	2 of 5 - Sale <sup>25</sup>
	617.000	90.12	02/11/22	91.41		-1.29	3 of 5 - Sale <sup>25</sup>
	372.8999999999977263	54.30	02/11/22	55.25		-0.95	4 of 5 - Sale <sup>25</sup>
	223.40999999999996589	32.64	02/11/22	33.10		-0.46	5 of 5 - Sale <sup>25</sup>
02/14/22	3,400.000	496.46	Various	503.49		-7.03	Total of 5 transactions
	3 transactions for 02/15/2	22. Total proceeds ar	e reported t	o the IRS.			
	4,200.000	624.79	02/14/22	609.56		15.23	1 of 3 - Sale <sup>25</sup>
	589.4400000000005457	88.29	02/15/22	87.95		0.34	2 of 3 - Sale <sup>25</sup>
	3,610.5599999999994543	540.83	02/15/22	538.76		2.07	3 of 3 - Sale <sup>25</sup>
02/15/22	8,400.000	1,253.91	Various	1,236.27		17.64	Total of 3 transactions
05/19/22	4,650.18000000000291038	400.07	05/11/22	428.34		-28.27	Sale <sup>25</sup>

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Account 122666886C

8

Robinhood Markets Inc. as agent for Robinhood Crypto LLC

# Proceeds from Broker and Barter Exchange Transactions (continued)

02/13/2023

**2022 1099-B\*** OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)

Report on Form 8949, Part I with Box B checked. Basis is NOT provided to the IRS. (*Line 12*) "Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS.

1c- Date		1d- Proceeds &			Accrued mkt disc (D) &	Gain or loss(-) &	
sold or		6- Reported	Date	Cost or	Wash sale loss	7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	also not reported (Z)	Additional information
Dogecoin / CUS	SIP: DOGEUSD / Symbol: (cont'd)						
	2 transactions for 06/14	1/22. Total proceeds ar	e reported to	the IRS.			
	1,850.70000000000045475	104.17	05/11/22	170.47		-66.30	1 of 2 - Sale <sup>25</sup>
	3,829.570000000000163709	215.54	06/12/22	259.10		<i>-43.56</i>	2 of 2 - Sale <sup>25</sup>
06/14/22	5,680.270	319.71	Various	429.57		-109.86	Total of 2 transactions
	3 transactions for 07/05	5/22. Total proceeds ar	e reported to				
	928.000	61.05	06/17/22	50.95		10.10	1 of 3 - Sale <sup>25</sup>
	1,856.000	122.10	06/17/22	101.90	···	20.20	2 of 3 - Sale 25
	2,997.000	197.17	06/17/22	164.55	···	32.62	3 of 3 - Sale 25
07/05/22	5,781.000	380.32	Various	317.40	···	62.92	Total of 3 transactions
	2 transactions for 07/07	7/22. Total proceeds ar	e reported to	the IRS.			
	5,263.60999999999672582	369.11	07/07/22	370.87		-1.76	1 of 2 - Sale <sup>25</sup>
	517.38999999999986358	36.28	07/07/22	36.46	•••	-0.18	2 of 2 - Sale 25
07/07/22	5,781.000	405.39	Various	407.33		-1.94	Total of 2 transactions
	Security total:	4,628.41		4,767.52		-139.11	
Ethereum / CU	SIP: ETHUSD / Symbol:						
	4 transactions for 01/26	6/22. Total proceeds ar	e reported to	the IRS.			
	0.019518000000000001	50.90	01/06/22	67.37		-16.47	1 of 4 - Sale 25
	0.006564	17.11	01/06/22	22.66		-5.55	2 of 4 - Sale 25
	0.002029	5.29	01/06/22	7.00		-1.71	3 of 4 - Sale 25
	0.000853	2.23	01/06/22	2.94	•••	-0.71	4 of 4 - Sale 25
01/26/22	0.028964	75.53	Various	99.97		-24.44	Total of 4 transactions
	4 transactions for 01/28	3/22. Total proceeds ar	e reported to	the IRS.			
	0.000016	0.04	01/06/22	0.06		-0.02	1 of 4 - Sale <sup>25</sup>
	0.021087000000000002	51.26	01/26/22	53.14	•••	-1.88	2 of 4 - Sale 25
	0.003899	9.47	01/26/22	9.82	···	-0.35	3 of 4 - Sale 25
	0.001313	3.19	01/26/22	3.32	···	-0.13	4 of 4 - Sale 25
01/28/22	0.026315	63.96	Various	66.34	···	-2.38	Total of 4 transactions
	3 transactions for 02/1	1/22. Total proceeds ar	e reported to				
	0.000076	0.22	01/26/22	0.19		0.03	1 of 3 - Sale <sup>25</sup>
	0.017245	50.65	02/11/22	51.83		-1.18	2 of 3 - Sale 25
	0.016	46.99	02/11/22	48.08		-1.09	3 of 3 - Sale 25
02/11/22	0.033321	97.86	Various	100.10	•••	-2.24	Total of 3 transactions

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for **Robinhood Crypto LLC** 

# **Proceeds from Broker and Barter Exchange Transactions**

Account 122666886C

2022 **1099-B\*** OMB No. 1545-0715

(continued)

02/13/2023

# SHORT TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)

Report on Form 8949, Part I with Box B checked. Basis is NOT provided to the IRS. (Line 12)

"Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol									
1c- Date		1d- Proceeds &		Accrued mkt disc (D) &	Gain or loss(-) &				
sold or		6- Reported Date	Cost or	Wash sale loss	7- Loss not allowed (X)				
disposed	Quantity	(G)ross or (N)et acquired	other basis	disallowed (W)	also not reported (Z)	Additional information			
Ethereum / CUSIP: ETHUSD / Symbol: (cont'd)									
	Security total:	237.35	266.41		-29.06				
Totals :		4,865.76	5,033.93		-168.17				

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for Robinhood Crypto LLC

# 2022 End Notes for Account 122666886C

25 Tax lot closed on a first in first out basis.

#### Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

#### Keep tax documents for your records.

#### 1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number**. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or nonSection 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR)

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

#### Instructions for Recipient

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked. box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a patter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to 1009/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

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